Encryption Facility for z/OS Version 1.Release 2

Planning and Customizing





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About this document

This document contains information about using IBM Encryption Facility for z/OS (Encryption Facility).

Encryption Facility provides encryption and decryption processing of data for exchange between different systems and platforms and for archiving purposes. It makes use of hardware compression and encryption and relies on a centralized key management based on the z/OS® Integrated Cryptographic Service Facility (ICSF). Encryption Facility consists of the following optional features:

- Encryption Services for z/OS
- Encryption Facility DFSMSdss Encryption

Encryption Facility for Version 1.2 also supports Encryption Facility for OpenPGP, which is part of the Encryption Facility Services priced feature for z/OS. Also provided is the Encryption Facility for z/OS Client, which is separately licensed and consists of two no-charge clients that are downloadable from the web, the Java-based Client and the Decryption for z/OS Client.

This document provides you with information to help you plan the Encryption Facility product including Encryption Services, Encryption Facility for z/OS Client, Encryption Facility for OpenPGP, and DFSMSdss Encryption. It also provides detailed information for the Encryption Services CSDFILEN and CSDFILDE batch programs.

Who should read this document

Anyone who plans, installs, customizes, administers, and uses Encryption Facility should use this document. It should also be used by those who install, configure, or provide support in the following areas:

- z/OS
- Integrated Cryptographic Service Facility (ICSF)
- Security Server Resource Access Control Facility (RACF)
- DFSMSdss

This product assumes that you have experience installing, configuring, and using z/OS, ICSF, RACF, and DFSMSdss. If you plan to use the Java reference code, the product assumes that you understand Java-related concepts and tasks.

How to use this document

This document contains the following topics:

- Chapter 1, "Overview of IBM Encryption Facility for z/OS," on page 1 presents an overview and planning information of Encryption Facility, the functions of the product, and hardware and software requirements.
- Chapter 2, "Getting started," on page 9 presents information about installation and getting started with Encryption Facility.
- Chapter 3, "Encrypting files through CSDFILEN of Encryption Services," on page 13 presents information about using the CSDFILEN batch program for the Encryption Services.
- Chapter 4, "Decrypting files through CSDFILDE of the Encryption Services," on page 29 presents information about using the CSDFILDE batch program for the Encryption Services.
- Chapter 5, "Using Encryption Facility for the Java-based Client," on page 37 presents overview information about using the Java-based Client of Encryption Facility for z/OS Client and how to obtain more information.
- Chapter 6, "Using DFSMSdss Encryption," on page 41 presents overview information about using DFSMSdss Encryption and how to obtain more information.

- Chapter 7, "Using RACF with Encryption Facility," on page 45 presents information about using RACF® with Encryption Facility.
- Chapter 8, "User scenarios," on page 49 presents user scenarios for Encryption Facility.

Where to find more information

Where necessary this document references information in other documents. For complete titles and order numbers for all elements of z/OS, see z/OS Information Roadmap.

Related publications

The Encryption Facility library contains the following documents:

- IBM Encryption Facility for z/OS: Licensed Program Specifications
- IBM Encryption Facility for z/OS: Program Directory
- IBM Encryption Facility for z/OS: Planning and Customizing
- IBM Encryption Facility for z/OS: Using Encryption Facility for OpenPGP

Documentation for Cryptographic Coprocessors is found on the web at CryptoCards (www.ibm.com/security/cryptocards)

Other sources of information

IBM® provides customer-accessible discussion areas where PKI Services and RACF may be discussed by customer and IBM participants. Other information is also available through the Internet.

IBM discussion area

IBM provides the *ibm.servers.mvs.racf* newsgroup for discussion of PKI Services and RACF-related topics. You can find this newsgroup on news (NNTP) server *news.software.ibm.com* using your favorite news reader client.

Internet sources

The following resources are available through the Internet to provide additional information about PKI Services, RACF, and many other security-related topics:

Online library

To view and print online versions of the z/OS publications, see the <u>z/OS Internet library (www.ibm.com/servers/resourcelink/svc00100.nsf/pages/zosInternetLibrary)</u>

Redbooks[™]

The Redbooks® that are produced by the International Technical Support Organization (ITSO) are available at IBM Redbooks (www.ibm.com/redbooks)

· Enterprise systems security

For more information about security on the zSeries® platform and z/OS, see Enterprise security (www.ibm.com/systems/z/solutions/enterprise-security.html)

PKI Services home page

To visit the PKI Services home page, see PKI Services for z/OS (www.ibm.com/systems/z/os/zos/features/pki). Check this site for updates about PKI Services.

Techdocs

To visit the Techdocs - Technical Sales Library home page, see <u>IBM Techdocs (www.ibm.com/support/techdocs/atsmastr.nsf/Web/TechDocs)</u>. Use the search keyword "crypto" to help narrow your search.

RACF home page

You can visit the RACF home page at RACF download page (github.com/IBM/IBM-Z-zOS/tree/master/zOS-RACF/Downloads).

RACF-L discussion list

Customers and IBM participants may also discuss RACF on the RACF-L discussion list. RACF-L is not operated or sponsored by IBM; it is run by the University of Georgia.

To subscribe to the RACF-L discussion and receive postings, send a note to:

```
listserv@listserv.uga.edu
```

Include the following line in the body of the note, substituting your first name and last name as indicated:

```
subscribe racf-l first name last name
```

To post a question or response to RACF-L, send a note, including an appropriate Subject: line, to:

```
racf-l@listserv.uga.edu
```

RACF sample code

You can get sample code, internally-developed tools, and exits to help you use RACF. This code works in our environment, at the time we make it available, but is not officially supported. Each tool or sample has a README file that describes the tool or sample and any restrictions on its use.

To access this code from a web browser, go to the RACF home page and select the "Downloads" topic from the navigation bar, or go to ftp://ftp.software.ibm.com/eserver/zseries/zos/racf/.

The code is also available from ftp.software.ibm.com through anonymous FTP. To get access:

- 1. Log in as user anonymous.
- 2. Change the directory, as follows, to find the subdirectories that contain the sample code or tool you want to download:

```
cd eserver/zseries/zos/racf/
```

An announcement will be posted on RACF-L discussion list and on newsgroup *ibm.servers.mvs.racf* whenever something is added.

Note: Some web browsers and some FTP clients (especially those using a graphical interface) might have problems using ftp.software.ibm.com because of inconsistencies in the way they implement the FTP protocols. If you have problems, you can try the following:

- Try to get access by using a web browser and the links from the RACF home page.
- Use a different FTP client. If necessary, use a client that is based on command line interfaces instead of graphical interfaces.
- If your FTP client has configuration parameters for the type of remote system, configure it as UNIX instead of MVS™.

Restrictions

Because the sample code and tools are not officially supported,

- There are no guaranteed enhancements.
- No APARs can be accepted.

How to send your comments to IBM

We invite you to submit comments about the z/OS product documentation. Your valuable feedback helps to ensure accurate and high-quality information.

Important: If your comment regards a technical question or problem, see instead <u>"If you have a technical</u> problem" on page xv.

Submit your feedback by using the appropriate method for your type of comment or question:

Feedback on z/OS function

If your comment or question is about z/OS itself, submit a request through the <u>IBM RFE Community</u> (www.ibm.com/developerworks/rfe/).

Feedback on IBM Documentation function

If your comment or question is about the IBM Documentation functionality, for example search capabilities or how to arrange the browser view, send a detailed email to IBM Documentation Support at ibmdoc@us.ibm.com.

Feedback on the z/OS product documentation and content

If your comment is about the information that is provided in the z/OS product documentation library, send a detailed email to mhvrcfs@us.ibm.com. We welcome any feedback that you have, including comments on the clarity, accuracy, or completeness of the information.

To help us better process your submission, include the following information:

- Your name, company/university/institution name, and email address
- The following deliverable title and order number: IBM Encryption Facility for z/OS: Planning and Customizing, SA23-2229-40
- The section title of the specific information to which your comment relates
- The text of your comment.

When you send comments to IBM, you grant IBM a nonexclusive authority to use or distribute the comments in any way appropriate without incurring any obligation to you.

IBM or any other organizations use the personal information that you supply to contact you only about the issues that you submit.

If you have a technical problem

If you have a technical problem or question, do not use the feedback methods that are provided for sending documentation comments. Instead, take one or more of the following actions:

- Go to the IBM Support Portal (support.ibm.com).
- · Contact your IBM service representative.
- Call IBM technical support.

Summary of changes

This release of *IBM Encryption Facility for z/OS: Planning and Customizing* contains maintenance information for Version 1.2. This topic summarizes the changes made to this document. This topic does not summarize the changes made to the product.

This information includes terminology, maintenance, and editorial changes. Technical changes or additions to the text and illustrations for the current edition are indicated by a vertical line to the left of the change.

Changes made in IBM Encryption Facility for z/OS Version 1 Release 2

This information contains no technical changes for this release.

Changes made in IBM Encryption Facility for z/OS Version 1 Release 2 as updated June 2014

This document contains information previously presented in SA23-2229-02. This latest information supports IBM z/OS Version 2 Release 1 and earlier.

New information

• Updated IBM Encryption Facility for z/OS hardware and software requirements. See <u>"Hardware requirements"</u> on page 6 and "Software requirements" on page 7.

Changes made in IBM Encryption Facility for z/OS Version 1 Release 2

This document contains information previously presented in SA23-2229-01. This latest information supports IBM z/OS Version 1 Release 13 and earlier.

Changed Information:

References to the RFC 2440 specification for the Encryption Facilities OpenPGP Message Format have been replaced by RFC 4880 specification level for the OpenPGP Message Format, making RFC 2440 obsolete. References to RFC 2440 (tools.ietf.org/html/rfc2440) are now replaced with references to RFC 4880 (tools.ietf.org/html/rfc4880) for OpenPGP support.



Chapter 1. Overview of IBM Encryption Facility for z/OS

This topic presents an overview of IBM Encryption Facility for z/OS (Encryption Facility), the functions of the product, and hardware and software requirements.

What is Encryption Facility?

The need for creating secure archived copies of business data is a critical security concern. Encrypting data that can be recovered at any time offers a high degree of privacy protection from unwanted access. Encryption Facility provides this protection by offering encryption of data for exchange between different systems and platforms and for archiving purposes. It makes use of hardware compression and encryption and relies on a centralized key management based on the z/OS Integrated Cryptographic Service Facility (ICSF) that is highly secure and easy to use.

Encryption Facility can make use of ICSF to perform encryption and decryption and to manage cryptographic keys. To encrypt data files Encryption Facility uses the following kinds of cryptographic keys:

- TDES triple-length keys
- 128-bit AES keys, and for Encryption Facility for OpenPGP of Encryption Services, 128-bit, 192-bit, and 256-bit AES keys.

For information about cryptographic keys, see the following publications:

- z/OS Cryptographic Services ICSF Administrator's Guide
- z/OS Cryptographic Services ICSF Application Programmer's Guide

For information about hardware requirements for Encryption Facility, see <u>"Hardware and software</u> requirements" on page 6.

Features available with Encryption Facility

Version 1 Release 2 of IBM Encryption Facility for z/OS provides the following optional features:

| Table 1. Features for Encryption Facility | |
|--|---|
| Feature | Description |
| IBM Encryption Facility for z/OS Encryption Services | Services for encrypting and decrypting certain IBM Z [®] file formats on z/OS. These services can allow you to transfer data to remote sites within your enterprise, transfer them to partners and vendors, and archive them. See "Encryption Services" on page 2 and "IBM Encryption Facility for z/OS Client" on page 2. |
| IBM Encryption Facility for z/OS DFSMSdss Encryption | Services that run on z/OS DFSMSdss and allow you to use DFSMSdss commands to encrypt and decrypt data. With this feature, you can also use DFSMShsm commands to encrypt and decrypt data. See "DFSMSdss Encryption" on page 2. |

Encryption Services

The Encryption Services feature supports both the Z format (originally introduced in Encryption Facility for z/OS, V1.1) and the OpenPGP format (part of Encryption Facility for z/OS, V1.2). With zEDC support, the OpenPGP format supports hardware-accelerated compression before encryption.

The Encryption Services feature includes:

- Batch programs CSDFILEN to encrypt z/OS data and CSDFILDE to decrypt z/OS data.
- Encryption Facility for OpenPGP to support OpenPGP standards as described in Internet Standard RFC 4880.

IBM Encryption Facility for z/OS Client

The Encryption Facility for z/OS Client is a no-cost, separately licensed program that is offered as is, with no warranty, and is designed to enable the exchange of encrypted data between z/OS systems that have the Encryption Facility installed and systems running on z/OS and other platforms that require the supported functions. The Encryption Facility for z/OS Client consists of the Java-based Client and the Decryption Client for z/OS.

DFSMSdss Encryption

The DFSMSdss Encryption feature enables the encryption of DFSMSdss DUMP data sets. You can decrypt the data through the RESTORE command. With this feature you can also use the DFSMShsm dump class settings to encrypt data dumped through the BACKVOL DUMP command and automatic dump processing and decrypt the data through the RECOVER command. This feature supports hardware-accelerated compression before encryption to tape.

Comparison of Encryption Facility features and functions

<u>Table 2 on page 2</u> summarizes the functions for Encryption Facility CSDFILEN and CSDFILDE batch programs, Encryption Facility for OpenPGP, Encryption Facility for z/OS Client, and DFSMSdss Encryption:

| Table 2. Encryption Facility functions | |
|---|--|
| Encryption Facility features | Functions |
| Encryption Services CSDFILEN and CSDFILDE | Allows encryption and compression of z/OS format data through CSDFILEN. Supports decryption and decompression of z/OS format data through CSDFILDE. Makes use of z/OS centralized key management and access authentication. Uses IBM mainframe server cryptographic and compression capabilities. Can use either public/private key pairs or passwords to create secure exchange between partners. |
| Encryption Facility for OpenPGP | Allows encryption and decryption of z/OS format data as well as message files based on Internet Standard RFC 4880. Makes use of z/OS centralized key management and IBM mainframe cryptographic functions and compression. Can encrypt or decrypt data and message files with the OpenPGP format on z/OS and other platforms that support OpenPGP-compliant applications. Can use either public/private key pairs or passwords to create secure exchange between partners. Allows zEDC support for hardware compression. |

| able 2. Encryption Facility functions (continued) | | |
|---|--|--|
| Encryption Facility features | Functions | |
| Encryption Facility for z/OS | Java-based Client: | |
| Client | Allows client systems to encrypt and decrypt data files created on a z/OS system through the Encryption Services CSDFILEN and CSDFILDE batch programs. | |
| | Can be used on any Java-enabled system. | |
| | Can use either public/private key pairs or passwords to create secure exchange between partners. | |
| | Does not support compression of data for encryption and cannot process encrypted data that has been compressed using Encryption Services. | |
| | Decryption Client for z/OS: | |
| | Allows decryption and decompression of data files created on a z/OS system through the Encryption Services CSDFILEN batch program. | |
| | • Can compress the data that is to be processed for performance benefits and to require less media for exchange purposes. | |
| | Does not support data encryption. | |
| | Can use either public/private key pairs or passwords to create secure exchange between partners. | |
| DFSMSdss Encryption | Allows encryption and compression of dump data sets created by DFSMSdss or DFSMShsm. | |
| | Supports decryption and decompression during RESTORE process for DFSMSdss and RECOVER for DFSMShsm. | |
| | Makes use of z/OS centralized key management and IBM mainframe cryptographic functions and compression. | |
| | Can use either public/private key pairs or passwords to create secure exchange between partners. | |

Security Server RACF enhancements

Enhancements to Security Server RACF (RACF), an element of z/OS, allow you to store internal RSA public and private keys for encrypted data in the ICSF public key data set (PKDS) and specify the PKDS labels for security certificates associated with encrypted data. Although you can use your own programs to load the PKDS, RACF provides a command (RACDCERT) to load the keys.

Encryption Services CSDFILEN and CSDFILDE

Encryption Services batch programs CSDFILEN and CSDFILDE can make use of a symmetric key that is randomly generated to protect Z format data. CSDFILEN encrypts this data key and stores it with the data in a header record. Encryption Services allows you to protect the data key by using public key architecture, by providing an RSA key label, or, if an RSA public/private key pair is not available, by using a key that is generated from a password that you provide.

The encrypted data contains a header with enough information to use for decryption. You can use the CSDFILDE batch program to decrypt the z/OS data.

Decryption Client for z/OS, which is part of the Encryption Facility for z/OS Client, can also make use of CSDFILDE to decrypt data on a z/OS platform. Or you can send the encrypted data to a Java platform where you can use the Java-based Client, also part of Encryption Facility for z/OS Client, to decrypt the data.

You code job control statements (JCL) to control CSDFILEN encryption and CSDFILDE decryption services. You can optionally specify that the data is to be compressed before encryption and that the encrypted data, which is an output sequential file, is to be sent to tape for archiving or transfer.

For detailed information, see Chapter 3, "Encrypting files through CSDFILEN of Encryption Services," on page 13 and Chapter 4, "Decrypting files through CSDFILDE of the Encryption Services," on page 29.

Encryption Facility for OpenPGP

Encryption Facility for OpenPGP can encrypt and decrypt z/OS-type data for use with OpenPGP-compliant systems as well as OpenPGP-compliant messages and files. It includes, but is not limited to the following support:

- Passphrase base encryption of session key.
- Digital signatures of data.
- Importing/exporting of OpenPGP certificates (V3 and V4 for importing, only export V4, unless exporting an imported V3 key).
- RSA (1), ElGamal, and DSA (1) key generation.
- Use of partial data packets.
- ASCII Armor for OpenPGP certificates.
- Data encryption with a randomly generated symmetric session key using AES 128 (1), 192, and 256 bit keys, Triple-DES (1), and Blowfish algorithms (2).
- Symmetric encryption of randomly generated symmetric session key using AES 128 (1), 192, and 256 bit keys, Triple-DES (1), and Blowfish algorithms (2).
- Asymmetric encryption of randomly generated symmetric keys using RSA (1) and ElGamal algorithms.
- Compression using ZIP and ZLIB algorithms.
- zEDC support for hardware compression.
- Digest/Hash using SHA-1 (1), MD5 (1), MD2 (1), SHA-256 (1), SHA-384, SHA-512 algorithms.
- Digital Signature using DSA with SHA1 (1) and RSA (with all supported hashes) (1) algorithm.

For complete information, see IBM Encryption Facility for Z/OS: Using Encryption Facility for OpenPGP.

Encryption Facility for z/OS Client

Encryption Facility for z/OS Client consists of the following services:

- · Java-based Client
- Decryption Client for z/OS

The IBM Encryption Facility for z/OS Client (web download program) includes the Java-based Client that can decrypt the contents of tapes encrypted by the Encryption Services feature running on z/OS and encrypt tapes to be decrypted using the Encryption Services feature on z/OS. This allows the exchange of encrypted data between z/OS systems and other operating systems.

The Decryption Client for z/OS component is designed to decrypt data that has been created through the Encryption Services feature on z/OS. The decryption component can decrypt data that has been processed using compression.

For complete information, see z/OS downloads (www.ibm.com/systems/z/os/zos/downloads).

How CSDFILEN and CSDFILDE and the Encryption Facility for z/OS Client work

Figure 1 on page 5 shows how the encryption process works with Encryption Services CSDFILEN and CSDFILDE and Encryption Facility for z/OS Client. You can archive the encrypted data and decrypt the data through CSDFILDE, the Java-based Client, or the Decryption Client for z/OS:

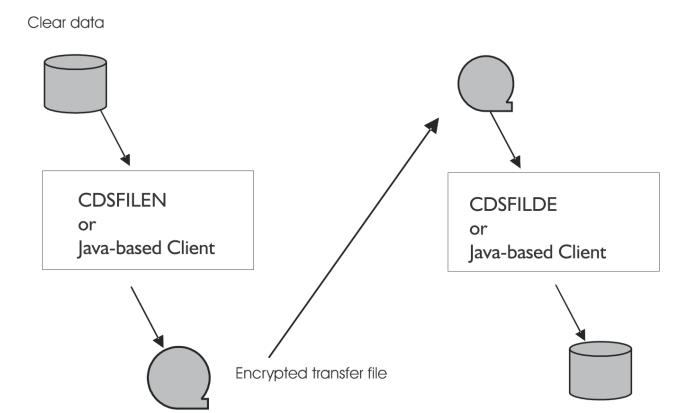


Figure 1. Encrypting and decrypting data with Encryption Services and the Java-based Client of Encryption Facility for z/OS Client

How Encryption facility for OpenPGP works

Figure 2 on page 5 shows the general processing of OpenPGP format data by Encryption Facility for OpenPGP. It shows how Encryption Facility for OpenPGP encrypts and decrypts z/OS-type data, generates X.509 certificates for OpenPGP messages, imports or exports OpenPGP certificates, decrypts or verifies OpenPGP messages, and processes public and private keys for OpenPGP data:

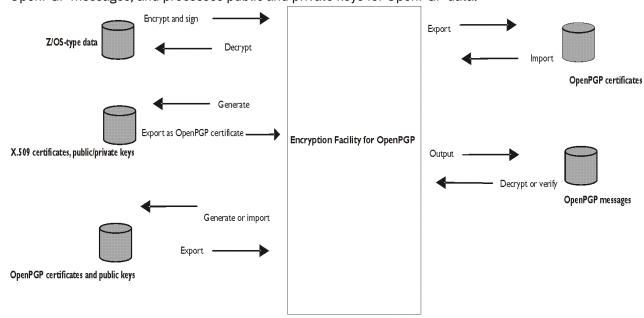


Figure 2. Encrypting and decrypting data and processing certificates with Encryption Facility for OpenPGP

Clear data

How DFSMSdss Encryption works

The encryption/decryption process for DFSMSdss Encryption is similar to that of Encryption Services. The DFSMSdss DUMP command and the DFSMShsm DEFINE DUMPCLASS commands provide some of the same options as those for the CSDFILEN batch program of Encryption Services to encrypt data. The DFSMSdss RESTORE command and the DFSMShsm RECOVER commands provide some of the same options as those for the CSDFILEN batch program of Encryption Services to decrypt the data. You can encrypt data to tape or DASD.

Figure 3 on page 6 shows how the encryption process works with DFSMSdss Encryption. You use DFSMSdss to encrypt data through the DUMP command where you can archive the data. You can then decrypt the data through the RESTORE command:

DRS MS dss
DUMP
command

Dr SMSdss Encryption

Dr DMDdss Encryption

Dr DMDdss Encryption

Encrypted transfer file

Clear data

Figure 3. Encrypting and decrypting data with DFSMSdss Encryption

Hardware and software requirements

The following topics describe hardware and software requirements for Encryption Facility.

Hardware requirements

IBM Encryption Facility for z/OS Version 1.2 runs on Z mainframe processors that are currently in service with IBM. If a Z mainframe processor level goes out of service with IBM, Encryption Facility for z/OS will no longer be supported on that processor level and you must upgrade to a Z mainframe processor level that is still in service.

The cryptographic options for Encryption Services CSDFILEN and CSDFILDE are:

- For the PASSWORD option, use one of the following:
 - CPACF only, or CPACF with PCIXCC / CEX2C / CEX3C / CEX4C
 - CCF
- For the CLRTDES and CLRAES128 (no ENCTDES), use one of the following:
 - CPACF only, or CPACF with PCIXCC / CEX2C / CEX3C / CEX4C
 - CCF, or CCF with PCICC
- For 2048-bit keys, use one of the following:
 - CEX2C / CEX3C / CEX4C
 - PCIXCC

- PCICC with PCI Crypto 2048 bit Enablement Feature 0867
- For RSA keys generated through RACF using ICSF or directly through ICSF, use one of the following:
 - CEX2C / CEX3C / CEX4C
 - PCIXCC
 - PCICC
- For 1024-bit ME keys generated through RACF BSAFE and imported into ICSF, a CCF is required.

Performance for secure key (ENCTDES option) is slower than clear key (CLRTDES or CLRAES128). IBM recommends the use of clear key for encrypting large volumes of data. See <u>z/OS Cryptographic Services</u> ICSF Overview for a description of protected-key CPACF.

OpenPGP support and hardware cryptography

For AES or TDES symmetric encryption, use one of the following:

- CPACF only (no cryptographic coprocessors). The -c command for passphrase-based encryption (PBE) is supported in a CPACF only environment with no cryptographic coprocessors. The -e command for public-key cryptography is not available in a CPACF only environment because a cryptographic coprocessor is required to encrypt the symmetric session key.
- CPACF with PCIXCC / CEX2C / CEX3C / CEX4C
- CCF
- · CCF with PCICC

For signatures or session key encryption using 2048-bit keys or 2048-bit RSA key generation, use one of the following:

- CEX2C / CEX3C / CEX4C
- PCIXCC
- PCICC with PCI Crypto 2048 bit Enablement Feature 0867

For signatures or session key encryption using RSA 1024-bit ME keys generated through RACF BSAFE, imported into ICSF, and prepared for OpenPGP use, a CCF is required.

For signatures or session key encryption using RSA keys generated through RACF using ICSF or directly through ICSF and prepared for OpenPGP use, use one of the following:

- CEX2C / CEX3C / CEX4C
- PCIXCC
- PCICC

Software requirements

Software requirements for Encryption Facility Version 1.2 and later are as follows:

Encryption Services CSDFILEN and CSDFILDE

Encryption Services CSDFILEN and CSDFILDE batch programs require the following:

- z/OS
- Integrated Cryptographic Services Facility (ICSF)

Encryption Services for OpenPGP

The Encryption Services feature of the Encryption Facility for z/OS also requires the following for OpenPGP format:

- z/OS
- IBM 31-bit SDK for z/OS, Java Technology Edition
- Integrated Cryptographic Services Facility (ICSF)

IBM Encryption Facility for z/OS requires its software levels to be at a level that is still in service with IBM. At the time of this publication, the minimum service levels for these software programs are z/OS (5694-A01) Version 1 Release 12 or later release, IBM 31-bit SDK for z/OS, Java Technology Edition (5655-R31) Version 6 or later release, and Integrated Cryptographic Services Facility (ICSF) FMID HCR7770 or later release.

Note: If a software requirement level goes out of service with IBM, Encryption Facility for z/OS will no longer support that software level and you must upgrade to a software level that is still in service.

You cannot use Z format data that has been processed through CSDFILEN, CSDFILDE, and Encryption Facility for z/OS Client in Encryption Facility Version 1.1 and Version 1.2 with Encryption Facility for OpenPGP Version 1.2.

Encryption Facility for z/OS Client (optional)

The Java-based Client of Encryption Facility Client for z/OS requires the following:

- To run on z/OS, one of the following is required:
 - IBM 31-bit SDK for z/OS, Java Technology Edition
- To run on other platforms, one of the following is required:
 - Sun SDK 5.0
 - A supported IBM JVM
 - A JVM with a JCE cryptographic provider installed that supports all the required algorithms.
 See Encryption Facility for z/OS Client documentation for details on the algorithms, modes, and padding schemes needed.

Decryption Client for z/OS requires the following:

- z/OS
- Integrated Cryptographic Services Facility (ICSF)

Decryption Client for z/OS runs only on the z/OS platform and only provides decryption services.

For complete information on Encryption Facility for z/OS Client, see z/OS downloads (www.ibm.com/systems/z/os/zos/downloads). For the Java-based Client, including PTF requirements for iSeries or other platforms, see the README file in the Java™ reference code for Encryption Facility for z/OS Client on the same website.

DFSMSdss Encryption (optional): DFSMSdss Encryption feature of Encryption Facility for z/OS requires the following:

- z/OS
- Integrated Cryptographic Services Facility (ICSF)
- Either the DFSMShsm/DFSMSdss combination priced feature or the DFSMSdss priced feature of z/OS

On any processors with CCFs, the cryptographic key data set (CKDS) must be populated with SYSTEM keys (NOCVKEYS, ANSI, ESYS). See *z/OS Cryptographic Services ICSF Administrator's Guide*.

z/OS Cryptographic Services requires:

• Integrated Cryptographic Services Facility (ICSF)

Security Server (RACF) (optional):

- Use the RACF RACDCERT command to allow the storage of RSA public keys in the ICSF PKDS
- Specify the PKDS labels to be used when storing public or private keys in the PKDS
- List the PKDS labels of existing certificates

Chapter 2. Getting started

This topic describes installation tasks and considerations for getting started using IBM Encryption Facility for z/OS:

- "How do I install IBM Encryption Facility for z/OS?" on page 9
- "Getting started with Encryption Services" on page 9
- "Getting started with ICSF" on page 10
- "Getting started with Encryption Facility for z/OS Client" on page 12
- "Getting started with DFSMSdss Encryption" on page 12
- "Getting started with RACF" on page 12

For information about Encryption Facility for OpenPGP, see *IBM Encryption Facility for z/OS: Using Encryption Facility for OpenPGP*.

How do I install IBM Encryption Facility for z/OS?

You can install Encryption Facility and use any of the following optional features:

- · Encryption Services
- DFSMSdss Encryption

You can download Encryption Facility for z/OS Client from the web.

The following steps are a summary of how to install Encryption Facility. These steps provide only a broad description. For installation information, see *IBM Encryption Facility for z/OS: Program Directory*. For hardware and software requirements for Encryption Facility, see "Hardware and software requirements" on page 6.

- 1. Order and install program product, 5655-P97 (IBM Encryption Facility for z/OS).
- 2. Ensure that you have the required software installed on your z/OS system.
- 3. Apply any service from PSP Buckets.
- 4. Optional: Download Encryption Facility for z/OS Client from <u>z/OS downloads (www.ibm.com/</u> systems/z/os/zos/downloads).

Getting started with Encryption Services

Encryption Services includes the CSDFILEN and CSDFILDE batch programs and the Encryption Facility for OpenPGP. Encryption Services is an SMP/E installable program.

You can use Encryption Services CSDFILEN and CSDFILDE to encrypt and decrypt data on z/OS and perform other functions.

SYS1.SAMPLIB: You can find DDDEF and ALLOC jobs for the Encryption Services in the following SYS1.SAMPLIB members:

- · CSDDDDEF for DDDEF
- · CSDALLOC for ALLOC

For information about Encryption Services CSDFILEN and CSDFILDE, see <u>Chapter 3</u>, "Encrypting files through CSDFILEN of Encryption Services," on page 13 and Chapter 4, "Decrypting files through CSDFILDE of the Encryption Services," on page 29.

As of Encryption Facility Version 1.2 and later, you can use Encryption Services for OpenPGP to process data according to OpenPGP standards as described in Internet Standard RFC 4880. Encryption Facility for OpenPGP allows you to encrypt and decrypt z/OS-type data for use with OpenPGP compliant systems and

manage both X.501 certificates and OpenPGP certificates. For complete information, see <u>IBM Encryption</u> Facility for Z/OS: Using Encryption Facility for OpenPGP.

Getting started with ICSF

If you have ICSF installed, see "Software requirements" on page 7 to ensure that you are using the required level for Encryption Facility.

If you need information about installing, planning, and implementing ICSF, see the following publications:

- z/OS Cryptographic Services ICSF Overview
- z/OS Cryptographic Services ICSF System Programmer's Guide
- z/OS Cryptographic Services ICSF Administrator's Guide

Encryption Facility makes use of ICSF to manage cryptographic keys for encrypted data.

ICSF supports the following cryptographic standards and architectures:

- IBM Common Cryptographic Architecture (CCA) that is based on the ANSI Data Encryption Standard (DES)
- Advanced Encryption Standard (AES).

Cryptographic keys

In the secret key cryptography system based on DES, two parties share secret keys that are used to protect data and keys that are exchanged on the network. Sharing secret keys establishes a secure communications channel. The only way to protect the security of the data in a shared secret key cryptographic system is to protect the secrecy of the secret key.

ICSF also supports triple DES encryption for data privacy. TDES triple-length keys use three, single-length keys to encipher and decipher the data. This results in a stronger form of cryptography than that available with single DES encipherment.

With AES, data can be encrypted and decrypted using 128-bit, 192-bit, and 256-bit clear keys. CBC and ECB encryption are also supported.

For public key cryptography, ICSF supports both the Rivest-Shamir-Adelman (RSA) algorithm 1, and the NIST Digital Signature Standard algorithm. RSA is one of the most widely used public key encryption algorithms. In this system, each party establishes a pair of cryptographic keys, which includes a public key and a private key. Both parties publish their public keys in a reliable information source, and maintain their private keys in secure storage.

Cryptographic keys and Encryption Facility

Encryption Facility makes use of TDES triple-length keys and 128—bit AES keys for data encryption. On a system with secure cryptographic hardware, you can use Encryption Facility to generate TDES and AES keys and encrypt them for protection through RSA public keys. On systems without secure cryptographic hardware, a password allows the generation of clear TDES and AES keys. The use of these cryptographic keys with Encryption Facility depends on the kind of processor and the type of cryptographic hardware that you have installed.

Generating and placing an RSA key in the PKDS

RSA public and private keys for encryption can be stored in the ICSF public key data set (PKDS). These RSA keys are used by Encryption Facility to protect the symmetric keys that protect the data. You can specify multiple RSA keys as input to Encryption Services or Encryption Facility for z/OS Client and copy and distribute the resulting output file to multiple recipients. You can also use ICSF callable services to generate RSA keys and place them in the PKDS. The required ICSF callable services are CSNDPKB PKA key token build and CSNDPKG PKA key generate.

CSNDPKB builds a skeleton PKA token. The principal parameters are as follows:

- Rule array
- Key Value Structure (KVS)
- Generated Key Token (KeyToken).

For example, the parameters for the generation of a skeleton key token for a 1024 bit RSA private key are as follows:

- PKB RULE = "RSA-PRIVKEY-MGMT"
- PKB_KVS = "040000000030000010001"
- PKB_KeyToken = (generated)

CSNDPKG generates key values for the PKA token. The principal parameters are as follows:

- · Rule array
- Skeleton key identifier (SkelKey)
- Generated key identifier (GenKey)

For example, the parameters for a 1024 bit RSA private key are as follows:

- PKG_RULE = "MASTER"
- PKG_SkelKey = PKB_KeyToken
- PKG_GenKey = "THIS.CAN.BE.A.PKDS.LABEL"

If you specify a PKDS key label for GenKey, ICSF writes the token to the PKDS.

Using the ICSF utility panels to create or delete PKDS records and import or export RSA keys

You can use ICSF utility panels to create or delete PKDS records and export or import RSA keys to an x.509 certificate. You use x.509 certificates to certify the transmission of the RSA public keys between senders and receivers of encrypted data. For information about using digital certificates, see "Using RACF to store keys, manage PKDS labels, and send digital certificates" on page 45.

Coprocessor Requirements for using the ICSF utility panels

To use the full function of the ICSF utility panels, you must have a PCICC, PCIXCC, or a CEX2C cryptographic coprocessor. If you do not have one of these coprocessors, you cannot generate key pairs using the panels.

For information about using the ICSF utility panels, see <u>"Using ICSF utilities panels for PKDS key management" on page 56</u>. For complete information about using ICSF utility panels and services, see <u>z/OS Cryptographic Services ICSF Administrator's Guide</u>.

ICSF uses the following ICSF callable services to create or delete PKDS records and export or import RSA keys to x.509 certificates:

- CSNDPKB (builds the skeleton key token)
- CSNDKRC (creates the PKDS record)
- CSNDKRD (deletes the PKDS record)
- CSNDKRR (reads the record from the PKDS)
- CSNDPKX (extracts only the public key from the record)
- CSNBOWH (hashes the to-be-signed portion of the generated certificate)
- CSNDDSG (signs the hash)

If you are using RACF or similar security product, ensure that the security administrator authorizes ICSF to use these services and any cryptographic keys that are input. For information about ICSF callable services, see *z/OS Cryptographic Services ICSF Application Programmer's Guide*.

Getting started with Encryption Facility for z/OS Client

Encryption Facility for z/OS Client is a separately licensed, no-charge, web download that includes the Java-based Client and the Decryption for z/OS Client. You can use Encryption Facility for z/OS Client on non-z/OS platforms to encrypt and decrypt z/OS format data that is created through Encryption Services CSDFILEN and CSDFILDE on z/OS. You can use the Decryption for z/OS Client to decrypt data on z/OS platforms that is encrypted through Encryption Services CSDFILEN.

You can download Encryption Facility for z/OS Client from <u>z/OS downloads (www.ibm.com/</u> systems/z/os/zos/downloads).

Be sure to read the README file for complete information about software requirements including any PTFs for iSeries or other platforms.

For overview information, see <u>Chapter 5</u>, "Using Encryption Facility for the Java-based Client," on page 37.

Getting started with DFSMSdss Encryption

You can use DFSMSdss Encryption of Encryption Facility to perform the encryption of output data sets from the DFSMSdss DUMP command to tape or DASD. You can decrypt the data through the DFSMSdss RESTORE command. With this feature you can also use the DFSMShsm dump class settings to encrypt data dumped through the BACKVOL DUMP command and automatic dump processing. You can use the DFSMShsm RECOVER command to decrypt the data.

See Chapter 6, "Using DFSMSdss Encryption," on page 41.

Getting started with RACF

You can use RACF to help you store RSA public and private keys for encryption in the ICSF public key data set (PKDS). You can also specify the PKDS labels to use when you store public or private keys in the PKDS and can list PKDS labels of public/private key pairs from existing certificates that reside in the RACF database.

The certificate management services of RACF allow you to establish a limited scope certificate authority for your internal and external users, issuing and administering digital certificates in accordance with your own organization's policies.

For information about using RACF to store keys and generate labels, see <u>Chapter 7</u>, "Using RACF with Encryption Facility," on page 45.

Chapter 3. Encrypting files through CSDFILEN of Encryption Services

This topic presents information about using the CSDFILEN batch program of Encryption Services to encrypt data.

CSDFILEN is the batch program of Encryption Services that supports Z file formats and encrypts input data on z/OS. Depending on the kind of processor and cryptographic coprocessor, you can specify options to use clear key or secure key encryption, specify options for key protection, and indicate if you want to compress the data before it is to be encrypted.

JCL DD statements for CSDFILEN

CSDFILEN supports the following DD statements or their dynamic allocation equivalents:

| Table 3. DD statements | Table 3. DD statements for CSDFILEN | |
|------------------------|---|--|
| DD statement | Description | |
| SYSPRINT | Specifies the name of the data set to which CSDFILEN writes encryption statistics and diagnostics information. It can be a sequential data set as follows: | |
| | Typically a sysout data set | |
| | DASD or tape data set | |
| | PDS or PDSE member | |
| | CSDFILEN sets the following values: | |
| | • RECFM=FBA | |
| | • LRECL=133 | |
| | The system selects an optimal value for BLKSIZE unless you choose to code BLKSIZE. BLKSIZE must be a multiple of 133 unless it is a sysout DD statement, but coding BLKSIZE on a sysout DD statement is not beneficial. | |
| SYSIN | Specifies the source from which CSDFILEN reads control statements. It can be a sequential data set as follows: | |
| | Typically a spooled system input data set | |
| | ONE of the following specifications: | |
| | - DASD or tape data set | |
| | - PDS or PDSE member | |
| | CSDFILEN requires the following specifications: | |
| | • RECFM=F or FB | |
| | • LRECL=80 | |

| Table 3. DD statements for CSDFILEN (continued) | | |
|---|--|--|
| DD statement | Description | |
| SYSUT1 | Specifies the name of the data set that contains data to be encrypted. It can be a sequential data set as follows: | |
| | DASD or tape data set | |
| | PDS or PDSE member | |
| | z/OS UNIX Systems Services file | |
| | The input to CSDFILEN can also be a system input data set, that is if you code * or DATA on the DD statement. Unlike the other types of input, a dynamic allocation equivalent of this data set does not exist. | |
| | CSDFILEN can read data sets created with BSAM, QSAM, BPAM or EXCP. | |
| | You must first unload VSAM data in order to encrypt it. | |
| | For z/OS UNIX Systems Services files, consider the following coding practices: | |
| | Code the PATH keyword and PATHOPTS=ORDONLY. | |
| | Do not code FILEDATA=TEXT so that CSDFILEN can read the data without character conversion. | |
| | Do not code variable block (VB) for BLKSIZE. | |
| | For all types of input consider the following for record format and DCB information: | |
| | The data set or file can have any record format (RECFM). | |
| | If the data set label (whether on DASD or tape) contains the DCB information, you do not have to code RECFM, LRECL or BLKSIZE on the DD statement. | |
| | If you plan to decrypt the file to a z/OS UNIX Systems Services file or send the file to a non-z/OS system, code RECFM=U so that you get the maximum length of records for better efficiency. | |
| | Normally DASD data sets and standard labelled tapes have the correct DCB information. If the record format is not available in the data set label and you do not code RECFM on the DD statement, CSDFILEN assumes RECFM=U (undefined format). If the block size is not available from the data set label and you do not code BLKSIZE on the DD statement, CSDFILEN assumes the maximum block size supported by the device. If this block size value is much larger than the sizes of the real blocks, the program might run more slowly than you expect. | |
| | IBM suggests that if the input is an unlabeled dump tape created by DFSMSdss, you code BLKSIZE=60000, which is a little larger than the largest block expected. | |
| | If CSDFILEN is using a record format of fixed or variable and the record length is not available from the data set label and you do not code LRECL on the DD statement, CSDFILEN fails. | |

| Table 3. DD stateme | Table 3. DD statements for CSDFILEN (continued) | |
|---------------------|---|--|
| DD statement | Description | |
| SYSUT2 | Specifies the name of the data set that is to contain the encrypted data. It can be a sequential data set as follows: | |
| | DASD or tape data set | |
| | PDS or PDSE member | |
| | CSDFILEN forces RECFM=U. You can specify the maximum block size by coding the BLKSIZE or BLKSZLIM keyword. With either keyword, if the value exceeds the maximum supported by the device, CSDFILEN reduces the value. If you do not code a value, CSDFILEN assumes the optimal value for the device. For information about these values, see the INFO=AMCAP option of the DEVTYPE macro in <i>z/OS DFSMSdfp Advanced Services</i> . | |
| | If you want to copy the file containing the encrypted data to another device (for example, you specify a tape data set on SYSUT2 but later copy the data set to DASD), you might want to code BLKSIZE to reflect a block size that is applicable to the other device (for example, 23476 for 3380 DASD or 27998 for 3390 DASD). In a PDSE or z/OS UNIX Systems Services file, CSDFILDE simulates an optimal block size, which typically is 32760. | |
| | The following list is a summary of default block sizes depending on the kind of specified device: | |
| | • If the device is DASD, the default block size is a half track. | |
| | • If the device is an IBM 3590 or a newer tape device, the default block size is 256 KB. | |
| | • If the device is an IBM 3480 or 3490 Tape Subsystem or an IBM Virtual Tape Server, the default block size is 65535. Note that this data set cannot be an ANSI/ISO standard labelled tape or a tape for which OPTCD=Q is specified. In either case, the system requires the data to be character data and performs character conversion, which thereby destroys encrypted data. | |
| | DO NOT specify DISP=MOD for the SYSUT2 data set on CSDFILEN. You might encounter an error when you try to decrypt the data through CSDFILDE. | |

Control statement keywords for CSDFILEN SYSIN DD

You can specify the following options in the control statement data set (identified by SYSIN DD) to control encryption of the input files. All keywords must start in column 1, and you cannot code a continuation statement. The program treats an asterisk (*) in column 1 as a comment. If you specify the same keyword multiple times, the program uses the last specification:

| Table 4. Keywords for CSDFILEN | |
|--------------------------------|---|
| Description | JCL keyword |
| Descriptive text | DESC='text' Specifies 1 to 64- EBCDIC character bytes of descriptive text to be placed in the header record. CSDFILEN places the information in the header record. The information is used to assist in identifying the source of the encrypted data in the output. You must enclose the text in single quotation marks. Imbedded blanks are allowed. All text must be included on one control statement line. DESC is optional. |

| Table 4. Keywords for CSDFILEN (continued) | | |
|--|--|--|
| Description | JCL keyword | |
| Encryption type | Specifies information about which encryption key you want Encryption Services to generate. You can specify one of the following types. If you do not specify an option, the default is CLRTDES: | |
| | CLRTDES Specifies that the input file is to be encrypted with a clear TDES triple-length key. | |
| | CLRAES128 Specifies that the input file is to be encrypted with a clear 128-bit AES key. | |
| | ENCTDES Specifies that the input file is to be encrypted with a secure TDES triple-length key. | |
| Method to generate and protect the data encrypting key | Specifies the method to be used to generate and protect the data encrypting key. RSA and PASSWORD are mutually exclusive. One of the following keywords is required: | |
| | RSA=label Specifies the 64-byte label of an existing RSA public key that is in the ICSF PKDS. The program uses this key to encrypt the data-encrypting key. The corresponding RSA private key must be present at the recovery site when you decipher the data. RSA= can point to an RSA key that contains both a private and a public key, or you can specify the name of the corresponding RSA private key when you invoke CSDFILDE or Encryption Facility for z/OS Client at the recovery site. | |
| | For Encryption Services you can use from 1 to 16 RSA= keywords to specify from 1 to 16 public key labels. Depending on the number of multiple RSA labels, you can send the encrypted file to up to 16 individual recipients. | |
| | For how to specify multiple RSA key labels, see <u>"Specifying multiple RSA keys"</u> on page 19. | |
| | PASSWORD=password Specifies the 8- to 32- EBCDIC character password to be used to generate a clear TDES triple-length key or a clear 128-bit AES key. Leading and trailing blanks and tab characters are removed; imbedded blanks and tab characters are allowed. Passwords are case sensitive. | |
| | You can specify this option on systems that do not have secure cryptographic hardware (for example, for z890, z990 or z9-109 processors that only use CPACF). | |
| | In order to minimize problems because of code page differences at the encrypting and decrypting sites, IBM suggests that you use only the upper and lower-case letters A through Z, numerals 0 – 9 and the underscore character (_). | |

| Table 4. Keywords for CSDFILEN (continued) | | |
|--|--|--|
| Description | JCL keyword | |
| Number of iterations | ICOUNT=nnnn When you specify a password, specifies the number of iterations that the SHA-1 hash algorithm is to be performed in the generation of the data key and the initial chaining vector (ICV) for encryption. nnnnn is an integer between 1 and 10000. If you do not specify ICOUNT, the default is 16. ICOUNT allows you to strengthen security when you use PASSWORD. If you specify a robust password (32 random characters), the default is sufficient. See "Specifying the ICOUNT value" on page 19. | |
| Compression option | COMPRESSION=NO YES Specifies whether you want compression of the clear input before encryption of the data occurs. COMPRESSION=NO indicates that compression does not occur. COMPRESSION=YES causes compression to be performed before encryption. If you do not specify the COMPRESSION keyword, the default is NO. | |

User guidelines and samples for encrypting data

Guidance information includes the following topics:

- "When should I use CLRTDES or ENCTDES?" on page 17
- "Using PASSWORD and RSA options" on page 18
- "Specifying multiple RSA keys" on page 19
- "Using RSA keys and digital certificates" on page 19
- "Specifying the ICOUNT value" on page 19
- "When should I compress data for encryption?" on page 19
- "Verifying encryption files when you archive" on page 20
- "Using Encryption Facility and UNIX pipes" on page 20

Reference information includes the following topics and samples:

- "Format of the header record for the CSDFILEN output file" on page 20
- "Format of the statistics report file for CSDFILEN" on page 22
- "Return codes for CSDFILEN" on page 25
- "JCL Examples for CSDFILEN" on page 26

When should I use CLRTDES or ENCTDES?

The decision on whether to use CLRTDES or ENCTDES key values depends on the kind of cryptographic hardware you have, the level of security you want, and the level of performance.

For Encryption Facility, a CLRTDES key is a triple-length TDES key that the service generates dynamically. Unlike the ENCTDES key value the CLRTDES key value can appear in application storage. If Encryption Facility is running on a z890, z990, or System z9 109, CSDFILEN encrypts the data using the clear TDES key on the CPACF. This usually results in better performance than if you are using the ENCTDES key value.

The ENCTDES key is a triple-length TDES key that the service generates within the secure boundary of the cryptographic hardware (CCF, PCICC, PCIXCC, or CEX2C), and it uses the ICSF symmetric master key to encrypt the triple-length TDES key that the service generates. The clear value of an ENCTDES key never

leaves the boundary of the secure cryptographic hardware. Encryption and decryption of data using an ENCTDES key requires secure cryptographic hardware to be available.

Each type of key is equally secure in regards to the data that appears in the output file of the CSDFILEN statistics report file; that is, CSDFILEN does not write any clear key information to the file.

Using PASSWORD and RSA options

PASSWORD and RSA options for the encrypted data depend on the processor and cryptographic hardware that you have installed. Base your decisions on the security requirements of the data and on your available hardware.

PASSWORD option

Generally, if you do not have secure cryptographic hardware installed, you can specify the PASSWORD keyword. Passwords are case sensitive.

RSA option

Consider using the RSA keyword that makes use of public/private keys for encryption and the exchange of digital certificates. You specify the label of the public key that is stored in the ICSF PKDS on the RSA option when you encrypt the data. The corresponding RSA private key must be present at the recovery site when you decipher the data. A recipient on another site can decrypt the data through the private key that is specified on the RSA option for CSDFILDE. Optionally, the recipient can decrypt the data through the private key that is specified by the -keyStoreCertificateAlias argument on the Java-based Client of the Encryption Facility for z/OS Client; see "Using RSA keys and certificates" on page 37. You can specify multiple RSA keys as input.

See "Control statement keywords for CSDFILDE SYSIN DD" on page 31.

RSA private tokens

When you use the RSA option with CSDFILEN to encrypt the data-encrypting key, you must consider the cryptographic hardware that exists at the site that decrypts the data. Table 5 on page 18 summarizes the RSA private tokens and required cryptographic hardware for decryption. For details, see <u>z/OS</u> Cryptographic Services ICSF Application Programmer's Guide.

| Table 5. RSA private tokens and required cryptographic hardware | | |
|---|--|--|
| RSA private key token (internal) | Required cryptographic hardware | |
| RSA private key token 1024 Modulus-Exponent Internal form | One of the following: Cryptographic Coprocessor Feature PCI X Cryptographic Coprocessor Crypto Express2 Coprocessor Crypto Express3 Coprocessor Crypto Express4 Coprocessor | |
| RSA private key token 1024 Chinese Remainder Theorem Internal form | One of the following: PCI Cryptographic Coprocessor PCI X Cryptographic Coprocessor Crypto Express2 Coprocessor Crypto Express3 Coprocessor Crypto Express4 Coprocessor | |

| Table 5. RSA private tokens and required cryptograp | hic hardware (continued) |
|---|--|
| RSA private key token (internal) | Required cryptographic hardware |
| RSA private key token 2048 Chinese Remainder | One of the following: |
| Theorem Internal form | PCI Cryptographic Coprocessor with LIC January 2005 or later and z/OS ICSF HCR770B or later |
| | PCI X Cryptographic Coprocessor |
| | Crypto Express2 Coprocessor |
| | Crypto Express3 Coprocessor |
| | Crypto Express4 Coprocessor |

Specifying multiple RSA keys

You can specify multiple RSA control statements. Each control statement identifies the label of one RSA public key in the ICSF PKDS. CSDFILEN supports up to 16 public key labels.

For each RSA public key, Encryption Services creates an identifier that is to be associated with the key. The RSA identifier allows CSDFILDE to associate an RSA private key with the correct RSA key information in the header record of the encrypted output file. To decrypt the file that uses the RSA option, you must specify the RSA= control statement with the label of the RSA private key on CSDFILDE or specify the -keyStoreCertificateAlias parameter for the RSA private key on the Java-based Client of Encryption Facility for z/OS Client. CSDFILDE supports only one RSA= control statement. The statistics report file for CSDFILEN includes any RSA= control statements that you specify as input.

Using RSA keys and digital certificates

When you use RSA for cryptographic key management instead of PASSWORD (derived key option), digital certificates form the basis of the key exchange. The "public keys" that are stored in the ICSF PKDS are almost always derived from digital certificates. You can use your own program to store public and private RSA keys and manage certificates, but if you use RACF, the RACDCERT command allows you to perform the following functions:

- Store internal public/private RSA keys in the ICSF PKDS
- · Manage PKDS labels for the keys
- Establish a limited scope certificate authority for your users

See Chapter 7, "Using RACF with Encryption Facility," on page 45.

Specifying the ICOUNT value

The iteration count (ICOUNT) in Password Based Encryption (PBE) is intended to strengthen weak passwords. The default of 16 provides reasonable security and performance if the password is robust (that is, 32 random characters). Most PBE schemes assume that you choose a weak password; thus, iteration counts of 1000 or higher are often normal.

When should I compress data for encryption?

When you plan to archive large amounts of encrypted data, you might consider compressing the data (for example, to reduce the number of tape volumes you might need).

Some tape devices make use of their own compression when you store data. By definition, data that is encrypted should not be compressible; if you can compress encrypted data it is probably not well encrypted. You might want to compress your data before you encrypt it using the COMPRESSION option of Encryption Services.

If you plan to use the Java-based Client of the Encryption Facility for z/OS Client to decrypt z/OS data, note that it cannot decrypt data that has been compressed from the CSDFILEN batch program. On any

supported z/OS platform, you can use the Decryption for z/OS Client of Encryption Facility for z/OS Client to decompress and decrypt data that has been encrypted through CSDFILEN.

CSDFILEN tries to compress input data that is 64 KB or more. If you try to compress less than that amount, the statistics report output for CSDFILEN indicates that 0 bytes of data have been compressed. If you try to decompress the data through CSDFILDE, the statistics report output for CSDFILDE indicates that 0 bytes have been expanded.

Verifying encryption files when you archive

Before you send any files that you encrypt to other systems for archiving, verify that you can decrypt the file on the same system where you encrypted it. Also, be sure to retain your keys for encrypted data especially if you plan to archive the data for a long time. See Chapter 4, "Decrypting files through CSDFILDE of the Encryption Services," on page 29.

Using Encryption Facility and UNIX pipes

You can utilize a UNIX pipe to improve performance of Encryption Services if you have a utility that writes data to a data set and the data set is to be input to Encryption Services. Instead of using an intermediate file or data set, you can make use of a UNIX pipe to pipe the data directly to the Encryption Services. As a result, you might obtain significant performance improvements for UNIX Systems Services applications.

UNIX pipes are supported wherever you use either a fixed or undefined record format file for the pipe data set, as long as the JCL provides appropriate values for LRECL, BLKSIZE, and RECFM on the DD statement. For example, the following DD statement defines a fixed record format for a UNIX pipe that another application has created and opened for writing:

```
//SYSUT1 DD PATH='/tmp/temppipe',
// LRECL=4160,BLKSIZE=4160,RECFM=FB,
// DSNTYPE=PIPE,
// FILEDATA=BINARY,
// PATHOPTS=(ORDONLY),
// PATHMODE=SIRWXU
```

For complete information about UNIX pipes, see z/OS UNIX System Services User's Guide.

Format of the header record for the CSDFILEN output file

The output of the CSDFILEN program contains the encrypted data with a header record that contains the information you need for the CSDFILDE program or Encryption Facility for z/OS Client to decrypt the data. Table 6 on page 20 shows the format of the header record:

| Table 6. | CSDFILEN | header | file | format |
|----------|----------|--------|------|--------|
|----------|----------|--------|------|--------|

| Offset | Name of Header | Type of | |
|-----------|----------------|-----------|---|
| (Decimal) | field | data | Description |
| 0 | HEADER_EYE | Character | Eyecatcher: "HEADER" in EBCDIC. |
| 6 | HDR_VERSION | Character | Version of the header record for Encryption Facility. |
| 8 | HDR_DESC | Character | EBCDIC description (DESC keyword) of CSDFILEN input file. |
| 72 | HDRLEN | Integer | Length of entire header record (integer format). |
| 76 | HDRSALT | Character | 8-byte field (salt value) used with password. |
| 84 | HDRICNT | Integer | Iteration count (ICOUNT keyword), integer format from 1 - 10000 to be used with password. |
| 88 | HDRKEYLN | Character | Modulus length (hexadecimal format from 512 – 2048) in bits of the RSA public/private key taken from the RSA keyword information. |

| Offset (Decimal) | Name of Header field | Type of data | Description |
|---------------------|-------------------------|--------------|--|
| 90 | HDRRSA | Character | 64-byte label (RSA keyword) of the RSA public/private key in ICSF PKDS. |
| 154 | HDRICV | Character | Initialization chaining vector to be used with encryption/decryption. |
| 170 | | | Reserved for IBM use. |
| 174 | HDAESDES | Bit | Type of key to be used to encrypt/decrypt data: |
| | | | • x'01' use a clear TDES triple–length key |
| | | | • x'02' use a clear 128-bit AES key |
| | | | • x'03' use a secure TDES triple—length key . |
| 175 | HDRFLAGS | Bit | Bit string that indicates type of output, compression options, and format of encrypted data: |
| | | | • Bit 0 = '1'b: unused |
| | | | • Bit 1 = '1'b: indicates output data compressed |
| | | | • Bit 2 = '1' b: indicates compression dictionary is present in the encrypted data |
| | | | • Bit 3 = '1'b: indicates clear data is binary |
| | | | • Bit 3 = '0'b: indicates clear data is text (not used by z/OS) . |
| 176 | HDR_COMPVER | Character | Version of Encryption Facility compression used. |
| 178 | HDRIRECF | Bit | Input file record format: |
| | | | • Bit 0 = '1'b, Bit 1 = '0'b: Fixed |
| | | | • Bit 0 = '0'b, Bit 1 = '1'b: Variable |
| | | | Bit 0 = '1'b, Bit 1 = '1'b: Undefined |
| | | | • Bit 3 = '1'b: Blocked records |
| | | | • Bit 5 = '1'b: ASA control character |
| | | | • Bit 6 = '1'b: Machine control character. |
| 179 | HDRIRECL | Integer | Input file logical record length |
| 181 | HDRIBLKS | Integer | Input file block size |
| 185 | HDRORECF | Bit | Output file record format: |
| | | | • Bit 0 = '1'b, Bit 1 = '0'b: Fixed |
| | | | • Bit 0 = '0'b, Bit 1 = '1'b: Variable |
| | | | • Bit 0 = '1'b, Bit 1 = '1'b: Undefined |
| | | | • Bit 3 = '1'b: Blocked records |
| | | | • Bit 5 = '1'b: ASA control character |
| | | | • Bit 6 = '1'b: Machine control character. |
| 186 | HDRORECL | Integer | Length of output file logical record. |
| 188 | HDROBLKS | Integer | Output file block size. |
| 192 | HDR_KEYVAL | Integer | Encrypted data-encrypting key. |

| Table 6. CSD | FILEN header file form | at (continue | d) |
|---------------------|-------------------------|--------------|---|
| Offset (Decimal) | Name of Header field | Type of data | Description |
| 448 | | | Reserved for IBM use. |
| 464 | HDR_RSA_CNT | Integer | Applies only when the "HEADER" is version X'0002' or greater: Number of RSA= control statements. |
| 468 | HDR_RSA | Character | Applies only when the "HEADER" is version X'0002' or greater: An array consisting of information for multiple RSA= control statements. The length is variable based on the number of RSA= control statements with each entry 344 bytes in length. |
| | HDR_RSA_LAB | Character | An element of HDR_RSA consisting of a 64-byte label of one of the RSA public/private keys in ICSF PKDS. |
| 532 | HDR_KEY_LN | Character | An element of HDR_RSA consisting of Modulus length (hexadecimal format from 512 - 2048) in bits of the RSA public/private key in this entry. |
| 534 | | Character | Two-byte placeholder of HDR_RSA. |
| 536 | HDR_KEY_VAL | Character | An element of HDR_RSA consisting of the hexadecimal encrypted data-encrypting key. This value is encrypted by the RSA key in this entry. |
| 792 | HDR_RSA_TAG | Character | An element of HDR_RSA consisting of a hexadecimal value used for validation. |
| 812 | | | End of Header record. |

Format of the statistics report file for CSDFILEN

The output of the statistics report file depends on whether the encrypted data has been compressed.

COMPRESSION=NO: The following example shows the output of the statistics report file from CSDFILEN when compression is not specified (COMPRESSION=NO):

```
CSDFILEN Encryption Utility 09/28/2005 (MM/DD/YYYY) 12:43:38 (HH:MM:SS) INPUT: DESC='DATA TO SEND TO PARTNER'
          RSA=CCA.PVT06.INT.ENC.1024S0F
INPUT:
          COMPRESSION=NO
INPUT:
CSDFILEN: RSA-PUB: CCA.PVT06.INT.ENC.1024S0F
INPUT: LRECL 121 BLKSIZE 484 RECFM FB
OUTPUT: BLKSIZE 32760
ENCRYPTION OF DATA: CLEAR
                              AR TDES KEY USING CPACF 22,653 WRITTEN:
  88
BYTES WRITTEN: 2,877,408 WITH HEADER AND PAD CIPHER TIMES (IN SECONDS): HIGH: 0.001969 DATA: 306704 LOW:
                                                                                     0.000747 DATA: 116600
 TOTAL CIPHER TIME (IN SECONDS):
                                              0.018274 CIPHERS:
                                                                                     10
  TOTAL ELAPSED TIME:
                              0:00:04.12
```

COMPRESSION=YES: The following example shows the output of the statistics report file from CSDFILEN when compression is specified (COMPRESSION=YES):

CSDFILEN Encryption Utility 09/28/2005 (MM/DD/YYYY) 12:51:20 (HH:MM:SS) INPUT: DESC='COMPRESSED DATA FOR FILE XYZ'
INPUT: CLRAES128 INPUT: COMPRESSION=YES CSDFILEN: INPUT: LRECL 121 BLKSIZE 484 RECFM FB OUTPUT: BLKSIZE 32760 ENCRYPTION OF DATA: CLEAR AES KEY USING CSNBSYE RECORDS READ: 22,653 WRITTEN: 2,741,013 BYTES READ: BYTES WRITTEN: 789,376 WITH HEADER AND PAD CIPHER TIMES (IN SECONDS): HIGH: 0.003655 DATA: 123 TOTAL CIPHER TIME (IN SECONDS): 0.022709 CIPHERS: 123376 LOW: 0.000880 DATA: 29968 TOTAL COMPRESS TIME (IN SECONDS): 0.232279 TOTAL COMPRESS BYTES: TOTAL ELAPSED TIME: 0:00:02.43 723,320

If you try to compress less than 64 K of data, CSDFILEN does not compress the data, and the statistics report indicates the following for COMPRESS BYTES:

```
COMPRESS BYTES: 0
```

See "When should I compress data for encryption?" on page 19.

Multiple RSA control statements: The following example shows the output of the statistics report file from CSDFILEN that includes the maximum of 16 RSA label definitions:

```
CSDFILEN Encryption Utility 02/15/2006 (MM/DD/YYYY) 18:21:
INPUT:
INPUT:
             ENC4: Multiple RSA + CLRTDES Encryption + Compression
INPUT:
INPUT:
        DESC='Multiple RSA + CLRTDES Encryption + Compression'
INPUT:
        RSA=RSA.ME02.512.PRIV.KEYMGMT.CLEAR
INPUT:
        RSA=RSA.514.PUBLIC
INPUT:
        RSA=RSA.799.INTERNAL.PRIVATE.TOKEN
INPUT:
        RSA=RSA.ME06.513.PRIV.KMONLY
INPUT:
        COMPRESSION=YES
INPUT:
        RSA=RSA.ME06.651.PRIV.KEYMGMT
INPUT:
        RSA=RSA.ME06.1023.PRIV.KEYMGMT
INPUT:
        RSA=RSA.ME06.1024.PRIV.KMONLY
INPUT:
        RSA=RSA.CRT08.512.PUB.KEYMGMT.CLEAR
INPUT:
        RSA=RSA.CRT08.675.PRIV.KMONLY.CLEAR
INPUT:
        CLRTDES
INPUT:
        RSA=RSA.CRT08.1024.PRIV.KEYMGMT
INPUT:
        RSA=RSA.CRT08.1243.PRIV.KMONLY.CLEAR
INPUT:
        RSA=RSA.CRT08.1666.PRIV.KEYMGMT.CLEAR
INPUT:
        RSA=RSA.CRT08.2048.PUB.KEYMGMT.CLEAR
INPUT:
        RSA=RSA.CRT08.PRIV.1536.BIT.MODULUS
INPUT:
        RSA=RSA.ME06.PRIV.830.BIT.MODULUS
INPUT:
        RSA=RSA.ME06.PRIV.833.BIT.MODULUS
 CSDFILEN:
              RSA-PUB : RSA.ME02.512.PRIV.KEYMGMT.CLEAR
 CSDFILEN:
              RSA-PUB:
                        RSA.514.PUBLIC
              RSA-PUB :
                        RSA.799.INTERNAL.PRIVATE.TOKEN
 CSDFILEN:
 CSDFILEN:
                        RSA.ME06.513.PRIV.KMONLY
              RSA-PUB:
                        RSA.ME06.651.PRIV.KEYMGMT
 CSDFILEN:
 CSDFILEN:
              RSA-PUB:
                        RSA.ME06.1023.PRIV.KEYMGMT
 CSDFILEN:
              RSA-PUB:
                        RSA.ME06.1024.PRIV.KMONLY
              RSA-PUB :
RSA-PUB :
                        RSA.CRT08.512.PUB.KEYMGMT.CLEAR
 CSDFTLEN:
                        RSA.CRT08.675.PRIV.KMONLY.CLEAR
 CSDFILEN:
              RSA-PUB:
 CSDFILEN:
                        RSA.CRT08.1024.PRIV.KEYMGMT
 CSDFILEN:
              RSA-PUB:
                        RSA.CRT08.1243.PRIV.KMONLY.CLEAR
              RSA-PUB:
                        RSA.CRT08.1666.PRIV.KEYMGMT.CLEAR
 CSDFILEN:
              RSA-PUB :
                        RSA.CRT08.2048.PUB.KEYMGMT.CLEAR
 CSDFILEN:
                        RSA.CRT08.PRIV.1536.BIT.MODULUS
              RSA-PUB
 CSDFILEN:
 CSDFILEN:
              RSA-PUB:
                        RSA.ME06.PRIV.830.BIT.MODULUS
 CSDFILEN:
              RSA-PUB
                        RSA.ME06.PRIV.833.BIT.MODULUS
OUTPUT: BLKSIZE 32760
ENCRYPTION OF
                              32760 RECFM FB
ENCRYPTION OF DATA: CLEAR
                               TDES KEY USING CCF
                            679 WRITTEN:
  RECORDS READ:
  BYTES READ:
                        171,108
  BYTES WRITTEN:
                            100,564 WITH HEADER AND PAD
CIPHER TIMES (IN SECONDS): HIGH:
                                     0.000164 DATA:
                                                       94592 LOW:
                                                                      0.000164 DATA:
                                                                                         94592
 TOTAL CIPHER TIME (IN SECONDS):
                                      0.000164 CIPHERS:
  TOTAL COMPRESS TIME (IN SECONDS):
                                         0.092435 TOTAL COMPRESS BYTES:
                                                                                 29,047
  TOTAL ELAPSED TIME:
                        0:00:20.82
```

Understanding the statistics report

In the statistics report, the line that starts CIPHER TIMES (IN SECONDS): shows the longest time (HIGH) that the program takes to encipher a chunk of data and the number of bytes of clear data that are in that chunk. CIPHER TIMES (IN SECONDS) also shows the shortest time (LOW) that the program takes to encipher a chunk of data, and the number of bytes in that chunk. For example if the statistics report contains the following line:

```
CIPHER TIMES (IN SECONDS): HIGH: 0.003655 DATA: 123376 LOW: 0.000880 DATA: 29968
```

the longest amount of time taken for a single encipher is .003655 seconds to encipher a block of 123376 bytes. The shortest amount of time taken for a single encipher is .000880 seconds to encipher a block of 29968 bytes.

CSDFILEN diagnostics

CSDFILEN might write diagnostic information to the statistics report file.

The following error line begins with the characters **ERROR** and indicates that more than 16 RSA= keywords are specified. CSDFILEN discontinues processing:

```
RSA SPECIFIED MORE TIMES THAN THE ALLOWED MAXIMUM
```

Each of the following error lines begins with the characters **ERROR** and ends the processing of CSDFILEN:

```
DISP=MOD NOT ALLOWED

DESCRIPTION TEXT MUST BE ENCLOSED IN SINGLE QUOTES

SPECIFY ONE OF RSA/PASSWORD ONLY

ONE OF RSA/PASSWORD MUST BE SPECIFIED

ENCTDES NOT VALID WITH PASSWORD

ITERATION COUNT NOT VALID

UNEXPECTED ERROR DURING COMPRESSION (RETCODE=nn)

SYSUT1 FILE FAILED TO OPEN

SYSUT2 FILE FAILED TO OPEN

MULTIPLE ENCRYPTION TYPES SPECIFIED

PASSWORD ENTERED NOT VALID

RECORD FORMAT FOR SYSUT2 NOT VALID. RECFM(U) IS REQUIRED.

CONFLICTING LRECL, BLKSIZE, AND RECFM

PRODUCT DEREGISTRATION FAILED
```

CSDFILEN might also write the following diagnostic information (beginning with the characters **INFO**) to the statistics report file:

```
CSNBOWH possible SAF authority violation
```

Compression warnings and errors

The following error lines occur together and begin with the characters **WARNING**. They indicate that because of the randomness of the input data, compression does NOT reduce the size of the output file. This is a minor error. CSDFILEN disables compression, but encryption of the data continues:

```
**WARNING** MINOR ERROR OCCURRED BUILDING THE COMPRESSION DICTIONARY.
**WARNING** ENCRYPTION CONTINUING WITHOUT COMPRESSION.
```

The following error lines occur together and begin with the characters **ERROR**. They indicate that an unrecoverable error occurred during compression. This is a major error, and CSFDFILEN cannot continue processing. Turn off compression and rerun the job:

```
**ERROR** CATASTROPHIC ERROR WHILE BUILDING THE COMPRESSION DICTIONARY.
**ERROR** PROCESSING HALTED, RERUN WITHOUT COMPRESSION.
```

ICSF callable services and diagnostics

If CSDFILEN invokes an ICSF callable service and that service encounters a failure, CSDFILEN writes the following diagnostic information to the statistics report file. In this example, the service CSNDSYI was invoked and returned a return code of 8 and a reason code of X'271C'.

```
**ERROR**CSNDSYI 08 00271C
```

For information about ICSF return codes and reason codes, see *z/OS Cryptographic Services ICSF Application Programmer's Guide*.

Return codes for CSDFILEN

CSDFILEN can issue the following return codes (decimal values in general register 15):

| Table 7. CSDFILE | N return codes |
|------------------|----------------------|
| Return code | Meaning |
| 0 | Successful operation |

| Table 7. CSDFIL | .EN return codes (continued) |
|-----------------|--|
| Return code | Meaning |
| 8 | User error |
| 20 | Physical error occurred on input file |
| 24 | Physical error occurred on output file |
| 28 | SYSPRINT file failed to open |
| 32 | SYSUT1 file failed to open |

CSDFILEN can also return the following return codes that the ICSF callable service passes back to the routine. In this case, the return code and reason codes from the ICSF service are also recorded in the statistics report file:

Table 8. ICSF return codes for CSDFILEN

SYSUT2 file failed to open

Product registration/deregistration failed

| Return code | Meaning |
|-------------|-------------------|
| 4 | Warning |
| 8 | Application error |
| 12 | CSF error |
| 16 | Terminating error |

JCL Examples for CSDFILEN

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Example 1: In this example CSDFILEN reads data from a DASD data set named LAB.MASTER DATA. It creates an encrypted version in a DASD data set named LAB.MASTER.DATA.SAFE. The SYSIN options are for a z890 or z990 processor with PCIXCC or CEX2C or a z9 109 processor with CEX2C. The options include a description for the encrypted data, a request to generate a secure triple-length TDES key (ENCTDES) protected in the header with a public RSA key:

```
//ENCRYPT1 EXEC PGM=CSDFILEN
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD DSN=LAB.MASTER.DATA,DISP=SHR
//SYSUT2 DD DSN=LAB.MASTER.DATA.SAFE,
// UNIT=SYSDA,DISP=(NEW,CATLG),
// SPACE=(1024,(60,10)),AVGREC=K
//SYSIN DD *
DESC='My Secure Data'
ENCTDES
RSA=ICSFEHN.RSAPUB
/*
//
```

Example 2: In this example CSDFILEN reads data from a DASD data set named LAB.MASTER DATA. It creates an encrypted version in a DASD data set named LAB.MASTER.DATA.SAFE. The SYSIN options are for a z890 or z990 processor with PCIXCC or CEX2C or a z9 109 processor with CEX2C. The options include a description for the encrypted data, a request to generate a secure triple-length TDES key (ENCTDES) protected in the header with multiple (5) RSA keys:

```
//ENCRYPT1 EXEC PGM=CSDFILEN
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD DSN=LAB.MASTER.DATA,DISP=SHR
//SYSUT2 DD DSN=LAB.MASTER.DATA.SAFE,
// UNIT=SYSDA,DISP=(NEW,CATLG),
// SPACE=(1024,(60,10)),AVGREC=K
//SYSIN DD *
```

```
DESC='My Secure Data'
ENCTDES
RSA=ICSFEHN.RSAPUB
RSA=RSA.ME02.512.PRIV.KEYMGMT.CLEAR
RSA=RSA.514.PUBLIC
RSA=RSA.799.INTERNAL.PRIVATE.TOKEN
RSA=RSA.ME06.513.PRIV.KMONLY
/*
//
```

Example 3: In this example CSDFILEN reads data from an IBM standard labeled tape data set named ADRDSSU.DUMPFILE, which is a cataloged data set. In the case of multiple volumes, CSDFILEN allocates two drives to improve performance. It creates an encrypted version on another tape whose volume serial is ARCHIV. The system adds the name of the resulting data set to the system catalog. The SYSIN options are for a z9 109 processor with CPACF. The options include a description for the encrypted data, a request to generate a clear triple-length TDES key with a password. An iteration count is also specified and the data is to be compressed.

```
//ENCRYPT2 EXEC PGM=CSDFILEN
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD UNIT=(,2),DSN=ADRDSSU.DUMPFILE,DISP=0LD
//SYSUT2 DD UNIT=3590-1,DISP=(,CATLG),VOL=SER=ARCHIV,
// DSN=FILE.ARCHIVE
//SYSIN DD *
DESC='My Secure Data'
CLRTDES
PASSWORD=1509TY6E
ICOUNT=3200
COMPRESSION=YES
/*
//
```

Example 4: In this example CSDFILEN reads data from a z/OS UNIX Systems Services file named /u/Lab/experiments/test3. It creates an encrypted version on scratch tapes to be retained. The output data set name is not to be added to the system catalog. The volume serial number for the data set is indicated in the messages for the job. The SYSIN options are for a z890, z990, or z9 109 processor with CPACF. For hardware encryption, 128-bit AES must be enabled on the processor; otherwise, software encryption occurs. The options include a description for the encrypted data, a request to generate a clear 128-bit AES key with a password. An iteration count is also specified:

ICSF callable services for **CSDFILEN**

The Encryption Services invokes the following ICSF callable services for CSDFILEN. If you are using RACF or similar security product, ensure that the security administrator authorizes the Encryption Services to use the following services and any cryptographic keys that are input. For information about the ICSF callable services, see *z/OS Cryptographic Services ICSF Application Programmer's Guide*.

- · CSFCKM Multiple clear key Import
- · CSFENC Encipher
- · CSFRNG Generate a random number
- CSFSYE Encipher using clear DES/AES key
- CSFPKE Public key encrypt
- CSFSYG Generate and wrap a symmetric key

- CSFSYX Export a symmetric key
- CSFOWH One-way hash

Encryption Services might use the following ICSF callable service without the need for authorization:

• CSFXBC Convert binary string to character

Chapter 4. Decrypting files through CSDFILDE of the Encryption Services

This topic presents information about using the CSDFILDE batch program of the Encryption Services to decrypt data.

CSDFILDE is a batch program of the Encryption Services that supports Z file formats and decrypts encrypted file output from CSDFILEN or the Java-based Client of Encryption Facility for z/OS Client. You can specify the same options for key protection that were used when CSDFILEN or the Java-based Client of the Encryption Facility for z/OS Client encrypted the file. You can also specify an option (INFO) to provide information on the original clear key file information and the keys to be used by decryption processing.

JCL DD statements for CSDFILDE

CSDFILDE supports the following DD statements or their dynamic allocation equivalents:

| Table 9. DD statem | ents for CSDFILDE |
|--------------------|---|
| DD statement | Description |
| SYSPRINT | Specifies the name of the data set to which CSDFILDE writes encryption statistics and diagnostics information. It can be a sequential data set as follows: |
| | Typically a sysout data set |
| | DASD or tape data set |
| | PDS or PDSE member |
| | CSDFILDE sets the following values: |
| | • RECFM=FBA |
| | • LRECL=133 |
| | The system selects an optimal value for BLKSIZE unless you choose to code BLKSIZE. BLKSIZE must be a multiple of 133 unless it is a sysout DD statement, but coding BLKSIZE on a sysout DD statement is not beneficial. |
| SYSIN | Specifies the source from which CSDFILDE reads control statements. It can be a sequential data set as follows: |
| | Typically a spooled system input data set |
| | ONE of the following specifications: |
| | - DASD or tape data set |
| | - PDS or PDSE member |
| | CSDFILDE requires the following specifications: |
| | • RECFM=F or FB |
| | • LRECL=80 |

| Table 9. DD stateme | ents for CSDFILDE (continued) |
|---------------------|---|
| DD statement | Description |
| SYSUT1 | Specifies the name of the data set that contains the encrypted data to be decrypted. This is the encrypted data from CSDFILEN or the Encryption Facility for z/OS Client. It can be a sequential data set as follows: |
| | DASD or tape data set |
| | PDS or PDSE member |
| | If you copy the file that is the output of CSDFILEN to another z/OS file, DO NOT change the LRECL or RECFM DCB parameters. |

| Table 9. DD statements | for CSDFILDE (continued) |
|------------------------|---|
| DD statement | Description |
| SYSUT2 | Specifies the name of the output data set that contains the decrypted data. It can be a sequential data set as follows: |
| | DASD or tape data set |
| | PDS or PDSE member |
| | z/OS UNIX Systems Services file |
| | The output from CSDFILDE can also be a sysout data set (SYSOUT=x). |
| | DISP: You can code any of the following values for DISP on SYSUT2: |
| | DISP=(NEW,CATLG). The data set did not exist before this job step. After the job step, the system is to catalog and keep the data set. |
| | • DISP=(NEW,KEEP). The data set did not exist before this job step. If the new data set is not SMS-managed, the system is to keep the data set but does not catalog it so you must keep track of the volume where the data set is to reside, including disk and tape. |
| | If the new data set is SMS-managed, the system treats the data set as if you had coded CATLG. On z/OS DASD data sets are usually SMS-managed. |
| | DISP=(NEW,PASS). The data set did not exist before this job step and a following job step determines the final disposition of the data set. |
| | DISP=(MOD,xxxx) where the xxxx is CATLG, KEEP, or PASS. If the data set did not exist before this job step, the system is to allocate the space for the data set. The result is the same as if you had coded DISP=(NEW,xxxx). In this case, you must also code SPACE. |
| | If the data set existed before this job step, the system uses it and QSAM adds the new records to the logical end of the existing data set. In this case, SPACE has no effect, but if more space is needed, the system uses the secondary space amount, which is a temporary override for the space. A subsequent program that appends more records if needed uses the originally-coded secondary space amount. |
| | RECFM and LRECL: You do not need to code RECFM or LRECL for SYSUT2. CSDFILDE assumes that you want to use the original values for RECFM and LRECL for the clear data set. |
| | BLKSIZE and BLKSZLIM: You do not need to specify BLKSIZE for SYSUT2. Consider the following situations: |
| | • If the input device to CSDFILEN is a tape without a standard header label (RECFM=U, BLKSIZE=0), the output device for decryption determines the recovered block size (32K or 64K for tape or 27998 K for disk). In this case, the record format and block size of the input to decryption (RECFM=U) is unrelated to the output record format, record length, and block size. |
| | If the input device has a standard header label and specifies a non-zero value for BLKSIZE, that value is used by CSDFILDE, even if the output does not result in optimized processing. For example, if the input device specifies a 32K tape, and the data is decrypted on disk, CSDFILDE uses 32K, even though for optimum utilization, 27998 is a better BLKSIZE value for disk. |

Control statement keywords for CSDFILDE SYSIN DD

You can specify the following options in the control statement data set (identified by SYSIN DD) to control decryption of the input files. All keywords must start in column 1, and you cannot code a continuation statement. The program treats an asterisk (*) in column 1 as a comment.

All of the following keywords are optional unless the following conditions apply:

- You specified PASSWORD= as input to CSDFILEN. In this case you must supply the correct password on the SYSIN control statement.
- The label of the RSA private key to be used to decrypt the data-encrypting key is different from the label of the RSA public key used by CSDFILEN. In this case you must supply the label of the RSA private key on the JCL statement for CSDFILDE.

| Table 10. Keywords for CSDFILDE |
|---------------------------------|
|---------------------------------|

| Description | JCL keyword | |
|--|---|--|
| Method to generate and protect the data encrypting key | Specifies the method that is used to generate and protect the data encrypting key. RSA and PASSWORD are mutually exclusive. | |
| | RSA=label Specifies the 64-byte label of an RSA private key that is in the ICSF PKDS. This RSA private key that corresponds to the public key is used to decrypt the data-encrypting key that is present in the header record of the encrypted data from CSDFILEN or Encryption Facility for z/OS Client. | |
| | If you are decrypting data that is encrypted with a single RSA= control statement and the RSA private key label is the same as the RSA public key label used to protect the data-encrypting key, the RSA keyword is optional because the RSA key label is stored in the header record. For data encrypted with multiple RSA= control statements, you must specify one RSA keyword for decryption on CSDFILDE. CSDFILDE does not allow multiple RSA keywords. See "Specifying multiple RSA keys" on page 19. | |
| | PASSWORD=password Specifies the 8- to 32-EBCDIC character password to be used to regenerate the clear TDES triple-length key or the clear 128-bit AES key that is used for the data encryption. This password must match that specified as input to CSDFILEN or Encryption Facility for z/OS Client. Passwords are case sensitive. | |
| | In order to minimize problems because of code page differences at the encrypting and decrypting sites, IBM suggests that you use only the upper and lower-case letters A through Z, numerals 0 – 9 and the underscore character (_). | |
| Information only | INFO Specifies that file decryption is not to be performed, but that information about the defaults that CSDFILDE establishes is to be recovered and written to the SYSPRINT file. When the information is written, CSDFILDE processing ends. This option is useful when you want to determine the original clear text file DCB information and to ensure that a specified RSA key is present in the current ICSF system. | |

User reference information for decrypting data

Reference information includes the following topics and samples:

- "Format of the statistics report file for CSDFILDE" on page 33
- "Return codes for CSDFILDE" on page 35
- "JCL examples for CSDFILDE" on page 35

Format of the statistics report file for CSDFILDE

The output of the statistics report file depends on whether the encrypted data has been compressed.

COMPRESSION=NO: The following example shows the output of the statistics report file from CSDFILDE when compression has not been specified for the encrypted data:

COMPRESSION=YES: The following example shows the output of the statistics report file from CSDFILDE when compression has been specified for the encrypted data:

```
CSDFILDE Decryption Utility 09/28/2005 (MM/DD/YYYY) 14:47:50 (HH:MM:SS)

CSDFILDE: RSA-PUB: CCA.PVT06.INT.ENC.1024S0F
INPUT: DESC = DATA TO SEND TO PARTNER
INPUT: LRECL 121 BLKSIZE 484 RECFM FB
INPUT: RSA=CCA.PVT06.INT.ENC.1024S0F
RECORDS READ: 25 WRITTEN: 22,653
BYTES READ: 789,368 BYTES RECOVERED: 2,741,013
CIPHER TIMES (IN SECONDS): HIGH: 0.001854 DATA: 294376 LOW: 0.001431 DATA: 229320
TOTAL CIPHER TIME (IN SECONDS): 0.005120 CIPHERS: 3
TOTAL EXPAND TIME (IN SECONDS): 0.037375 TOTAL EXPANDED BYTES: 2,760,344
TOTAL ELAPSED TIME: 0:00:09.57
```

If the report indicates 0 for EXPANDED BYTES, an attempt to compress data that is less than 64 K bytes probably occurred with CSDFILEN. See "When should I compress data for encryption?" on page 19

If one or more RSA keywords have been specified for the encrypted data input, and if the INFO keyword is specified as input to CSDFILDE, the output of the statistics report file from CSDFILDE includes all of the RSA key labels (in the previous example, CSDFILDE: RSA-PUB: CCA.PVT06.INT.ENC.1024S0F). If the PASSWORD keyword has been specified for the encrypted data input, and if the INFO keyword is specified as input to CSDFILDE, the output from CSDFILDE in the statistics report file shows blanks in the second line as follows:

```
CSDFILDE Decryption Utility 09/15/2005 (MM/DD/YYYY) 10:51:27 (HH:MM:SS)

CSDFILDE: :
INPUT: DESC = UR0.B32760 INPUT
INPUT: LRECL 80 BLKSIZE 32720 RECFM FB
INPUT: *-----*
INPUT: * INFO KEYWORD ONLY *
INPUT: *----*
INPUT: INFO
```

Understanding the statistics report

In the statistics report, the line that starts CIPHER TIMES (IN SECONDS) shows the longest time (HIGH) that the program takes to decipher a chunk of data and the number of bytes of clear data that are in that chunk. CIPHER TIMES (in seconds) also shows the shortest time (LOW) that the program takes to decipher a chunk of data, and the number of bytes in that chunk. For example if the statistics report contains the following line:

```
CIPHER TIMES (IN SECONDS): HIGH: 0.001854 DATA: 294376 LOW: 0.001431 DATA: 229320
```

the longest amount of time taken for a single decipher is .001854 seconds to decipher a block of 294376 bytes. The shortest amount of time taken for a single decipher is .001431 seconds to decipher a block of 229320 bytes.

In the line that starts TOTAL CIPHER TIME (IN SECONDS):, CIPHERS: indicates the number of times CSDFILDE invokes an ICSF callable service to perform decryption. In the following example, CSDFILEN invoked callable service CSNBDEC 17647 times:

```
TOTAL CIPHER TIME (IN SECONDS): 32.842984 CIPHERS: 17,647
```

The value is not the same as the number of hardware instructions executed because the hardware instruction processes a CPU-determined number of blocks, each of which are a multiple of the algorithm length (8 bytes for TDES or 16 bytes for AES). The system might require multiple invocations of the hardware instruction to process one "chunk" of data that ICSF processes.

CSDFILDE diagnostics

CSDFILDE might write diagnostic information to the statistics report file. Each of the following error lines begins with the characters **ERROR** and ends the processing of CSDFILDE:

```
CONFLICTING OUTPUT LRECL
CONFLICTING OUTPUT RECFM
EOF REACHED ON INPUT FILE BEFORE END OF HEADER
SPECIFY ONE OF RSA/PASSWORD ONLY
PASSWORD MUST BE SPECIFIED
PASSWORD ENTERED NOT VALID
INCORRECT PASSWORD ENTERED
HEADER RECORD NOT VALID
UNEXPECTED ERROR DURING EXPANSION (RETCODE=nn)
SYSUT1 FILE FAILED TO OPEN
SYSUT2 FILE FAILED TO OPEN
UNSUPPORTED VERSION OF ENCRYPTED DATA
OUTPUT MUST SUPPORT QSAM LARGE BLOCK INTERFACE (LBI)
RECORD FORMAT FOR SYSUT1 NOT VALID. RECFM(U) IS REQUIRED.
PASSWORD NOT ALLOWED WITH RSA OPTION
PRODUCT DEREGISTRATION FAILED
```

The following diagnostic information (beginning with the characters **WARNING**) indicates that the output data set (SYSUT2) of CSDFILDE has a different BLKSIZE(nnnnnn) from the input data set (SYSUT1) specified on CSDFILEN. CSDFILDE processing continues:

```
NEW OUTPUT BLKSIZE. REQUESTED: nnnnnn
```

CSDFILDE might also write the following diagnostic information (beginning with the characters **INFO**) to the statistics report file:

```
CSNBOWH possible SAF authorization violation
```

ICSF callable services and diagnostics

If CSDFILDE invokes an ICSF callable service and that service encounters a failure, CSDFILDE writes the following diagnostic information to the statistics report file. In this example, the service CSNDPKD was invoked and returned a return code of 8 and a reason code of X'271C'.

```
**ERROR**CSNDPKD 08 00271C
```

For information about ICSF return codes and reason codes, see <u>z/OS Cryptographic Services ICSF</u> Application Programmer's Guide.

Corrupted compression dictionary

A system abend code of 0C7 usually means that the compressed data has not been decompressed because either the compression dictionary or the data is corrupted.

Return codes for CSDFILDE

CSDFILDE can issue the following return codes (decimal values in general register 15):

| Table 11. CSDFILDE return codes | | |
|---------------------------------|--|--|
| Return code | Meaning | |
| 0 | Successful operation | |
| 4 | Warning | |
| 8 | User error | |
| 20 | Physical error occurred on input file | |
| 24 | Physical error occurred on output file | |
| 28 | SYSPRINT file failed to open | |
| 32 | SYSUT1 file failed to open | |
| 36 | SYSUT2 file failed to open | |
| 40 | Error reading header from input file | |
| 44 | Bad data found during expansion of compressed data | |
| 48 | No expansion dictionary in decrypted input data | |
| 50 | Product registration/deregistration failed | |

CSDFILDE can also return the following return codes that the ICSF callable service passes back to the routine. In this case, the return and reason codes from the ICSF service are also recorded in the statistics report file:

Table 12. ICSF return codes for CSDFILDE

| Return code | Meaning |
|-------------|-------------------|
| 4 | Warning |
| 8 | Application error |
| 12 | CSF error |
| 16 | Terminating error |

JCL examples for CSDFILDE

Example 1: In this example CSDFILDE reads data from a DASD data set named PARTNER.XYZ.ENCDATA. It writes the decrypted data to a DASD data set named PARTNER.XYZ.INVENTORY, which did not previously exist. The system creates and catalogs the data set. All of the defaults are used for the SYSIN options. Because the original data has been encrypted with a CLRTDES key that is protected with an RSA private key and the RSA key label is available in the header, you do not need to specify RSA= if the PKDS of the decrypting system contains the same RSA private key stored with the same label.

```
//DECRYPT EXEC PGM=CSDFILDE
//SYSPRINT DD SYSOUT=*
```

```
//SYSUT1 DD DSN=PARTNER.XYZ.ENCDATA,DISP=SHR
//SYSUT2 DD DSN=PARTNER.XYZ.INVENTORY,
// UNIT=SYSDA,DISP=(NEW,CATLG),
// SPACE=(1024,(60,10)),AVGREC=K
//SYSIN DD *
/*
//
```

Example 2: In this example CSDFILDE reads encrypted data from an IBM standard labeled tape data set that is named XYZ.FILE.ARCHIV. The data set is also a cataloged data set. CSDFILDE writes the decrypted data to a new tape data set XYZ.DATA, on volume serial ARCHIV. This data set is added to the system catalog. Because the original clear data was encrypted using PASSWORD, you must specify the same password as input to the CSDFILDE program. The program retrieves the iteration count and salt value used by CSDFILDE from the header record that is contained in the SYSUT1 input file. It uses those values along with the password to recover the clear key for decryption:

```
//DECRYPT2 EXEC PGM=CSDFILDE
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD UNIT=3590,DSN=XYZ.FILE.ARCHIVE,DISP=OLD
//SYSUT2 DD UNIT=3590,DISP=(NEW,CATLG),VOL=SER=ARCHIV,
// DSN=XYZ.DATA
//SYSIN DD *
PASSWORD=ASK NOT FOR WHOM THE BELL TOLLS
/*
//
```

Example 3: In this example CSDFILDE reads data from a z/OS UNIX Systems Services file named /u/Lab/encdata/partner3. It writes the decrypted data to an existing data set, PARTNER3.INVENTORY, on a 3390 DASD volume named CSDUS1. The original clear data has been encrypted with a key that is protected by an RSA public key. The RSA private key that corresponds to the public key is stored in the PKDS of this system with the label MY.PRIV.2048.KEY. Because this label is different from the label of the RSA public key that has been used for encryption, you must specify the label of the private key for RSA as input to the CSDFILDE program.

```
//DECRYPT3 EXEC PGM=CSDFILDE
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD PATH='/u/Lab/encdata/partner3',PATHOPTS=ORDONLY
//SYSUT2 DD DSN=PARTNER3.INVENTORY,
// UNIT=3390,VOL=SER=CSDUS1,DISP=OLD
//SYSIN DD *
RSA=MY.PRIV.2048.KEY
/*
//
```

ICSF callable services for CSDFILDE

The Encryption Services invokes the following ICSF callable services for CSDFILDE. If you are using RACF or similar security product, ensure that the security administrator authorizes Encryption Services to use the following services and any cryptographic keys that are specified as input to CSDFILDE. For information about the ICSF callable services, see *z/OS Cryptographic Services ICSF Application Programmer's Guide*.

- CSFCKM Multiple clear key import
- · CSFDEC Decipher
- CSFSYD Decipher using clear DES/AES key
- CSFOWH One-way hash
- CSFPKD Public key decrypt
- CSFSYI Import a symmetric key

Encryption Services might use the following ICSF callable service without the need for authorization:

CSFXBC Convert binary string to character

Chapter 5. Using Encryption Facility for the Javabased Client

You can use the Java-based Client of Encryption Facility for z/OS Client to encrypt and decrypt Z format data on supported Java platforms. You can also use the Decryption for z/OS Client of Encryption Facility for z/OS Client on a z/OS platform to decrypt data that has been encrypted through CSDFILEN. This topic presents information about using the Java-based Client of Encryption Facility for z/OS Client. For information about the Decryption Client for z/OS, see z/OS downloads (www.ibm.com/systems/z/os/zos/downloads).

Encryption and decryption functions

The Java-based Client works with the CSDFILEN and CSDFILDE programs to perform the following functions:

- Decrypts data that is encrypted through the CSDFILEN program on z/OS
- Encrypts data that the CSDFILDE program is able to decrypt on z/OS

Installing the Java code

Before you use Encryption Facility for z/OS Client to encrypt or decrypt data on a z/OS system, ensure that you perform the following steps:

- 1. Download Encryption Facility for z/OS Client zip file from the Java website to where you want to run the program. See "Getting started with Encryption Facility for z/OS Client" on page 12.
- 2. Extract the zip files and place the Java source on the z/OS system.
- 3. Compile the source code on z/OS.

Java classes: The Java-based Client includes the following Java classes:

- EncryptionFacility (application with options to encrypt or decrypt a binary file)
- Messages (class that contains the messages for the Java-based Client)

For complete information about these classes and the use of the Java-based Client, see the documentation in the JavaDocsPublic directory within the downloadable zip file.

Using RSA keys and certificates

Using RSA keys

When you encrypt data through the Java-based Client , you can specify multiple RSA public keys through the -keyStoreCertificateAlias parameter.

For example, you can use two RSA -keyStoreCertificateAlias parameters for certificate1 and certficate2 as input for encryption:

```
-keyStoreCertificateAlias="certificate1 alias with imbedded blanks"
-keyStoreCertificateAlias="certificate2 alias with imbedded blanks"
```

You can specify up to 16 RSA key labels for encryption.

When you use the Java-based Client to decrypt data, use the -keyStoreCertificateAlias parameter to specify RSA labels. The decryption process uses the public key portion of the RSA key that is specified by -keyStoreCertificateAlias to identify the appropriate encrypted data encryption key in the header. The Java-based Client then uses the RSA private key to unwrap the data encryption key.

To use RSA keys and certificates with the Java Client you must store your keys in a Java keystore. The most common way to do this is with a utility called keytool. For the documentation on the Java keytool utility, see Java SE 6 keytool utility (docs.oracle.com/javase/6/docs/technotes/tools/solaris/keytool.html) or Java SE 8 keytool utility (docs.oracle.com/javase/8/docs/technotes/tools/unix/keytool.html).

Using certificates

Generally speaking, what you need to do with keytool depends on how you intend to use the Java Client.

• To send encrypted data to another system, you need the certificate of the other system. For example, the following code shows how to import a certificate (Acertfile.cer) from another system into your keystore:

```
keytool -import -alias sysA -file Acertfile.cer
```

• To receive encrypted data from another system, the other system needs your certificate. For example, the following code shows how to create a keypair and certificate in your keystore:

```
keytool -genkey -dname "CN=System B, OU=MyUnit, O=MyOrg, L=MyLocal, S=MyState, C=MyCountry" -alias sysB
```

The following code shows how to export your certificate (Bcertfile.cer) from your keystore to another system:

```
keytool -export -alias sysB -file Bcertfile.cer
```

Considerations using the Java-based Client

Consider the following uses of the Java-based Client for encrypting or decrypting z/OS format data:

Java policy files: To run the Java-based Client you need to copy the unrestricted Java policy files to your Java Virtual Machine (JVM). This allows you to use large key sizes.

Data compression: The Java-based Client cannot compress data for encryption. Also, the Java-based Client cannot process encrypted data that has been compressed by Encryption Facility on z/OS.

Encrypted data from CSDFILEN: The Java-based Client cannot decrypt data that is encrypted through encrypted keys (that is, if you have specified the ENCTDES keyword on CSDFILEN when you encrypted the data).

Data conversions between systems: Encryption Facility does not handle data conversions that need to take place between z/OS and non-z/OS systems. You must handle all data conversions (for example, adding new line characters or making ASCII, EBCDIC, and other kinds of code conversions).

Editing encrypted files on z/OS: Do not use an editor on z/OS to access a file that has been encrypted with the Java-based Client, or you might corrupt the file format.

Record format and size: You can use the Java-based Client of Encryption Facility for z/OS Client to encrypt data that meets the requirements of z/OS fixed or undefined record formats (but not variable length format). The encrypted file always has an undefined record format. Unlike CSDFILEN, the Java-based Client does not recognize the record format attribute of a file unless you specify it. Use recordFormat and recordSize as follows:

- To indicate a fixed record format, specify -recordFormat as FIXED and a valid value for recordSize. Allowable record sizes for FIXED record format are from 1 to 32760. The Java-based Client encrypts the data according to the specified record size and sets the header information to reflect that the data is in fixed record format. If the file size is not a multiple of the record size, the Java-based Client pads the last record with binary zeroes.
- To indicate an undefined record format, use the default value or specify UNDEFINED for recordFormat. If you use the default value or specify UNDEFINED for –recordFormat, you cannot

specify a value for -recordSize. If you specify a value for -recordSize, the Java-based Client indicates an exception.

Exchanging files between operating systems: If you are exchanging data between the Java file system and z/OS, you must be aware of the file characteristics (record format and length) for z/OS data. If you encrypt a file from the Java-based Client, the Java-based Client stores the specified record format information in the header. However, because the Java file system does not recognize record boundaries, the result is a data stream of bytes. If you re-encrypt the data on the Java file system and send it back to z/OS, the z/OS system cannot restore the original file characteristics because the Java-based Client does not include that information. To use CSDFILDE to decrypt the data from the Java-based Client, you must specify the record format and length on the JCL.

If CSDFILEN has encrypted the data, you can use INFO on CSDFILDE to display the original LRECL and BLKSIZE values. (These value are in the header of the encrypted file). If you decrypt that data on CSDFILDE, you do not need to specify the record format or length.

Chapter 6. Using DFSMSdss Encryption

You can use DFSMSdss Encryption to encrypt and decrypt data through DFSMSdss and DFSMShsm commands. For complete information, see *z/OS DFSMSdfp Storage Administration*.

DFSMShsm documentation

For complete information about using DFSMShsm commands to encrypt and decrypt data, see the following DFSMShsm publications:

- z/OS DFSMShsm Storage Administration
- z/OS DFSMShsm Implementation and Customization Guide

For DFSMSdss you can use the DUMP command to encrypt an output data set and specify that the encrypted data is to reside on tape or DASD. You can specify the following options on the DUMP command:

| Table 13 | DUMP | command | ontions |
|-----------|-------|---------------|----------|
| Tuble IJ. | ν | communication | UDLIUILS |

| Description | DUMP option | |
|--|---|--|
| Encryption type | ENCRYPT Specifies information about which encryption key you want to generate. You can specify one of the following types. | |
| | CLRTDES Specifies that the input file is to be encrypted with a clear TDES triple-length key in the DFSMSdss address space | |
| | CLRAES128 Specifies that the input file is to be encrypted with a clear 128-bit AES key ENCTDES in the DFSMSdss address space | |
| | ENCTDES Specifies that the input file is to be encrypted with a secure TDES triple-length key in the DFSMSdss address space | |
| Method to generate and protect the data encrypting key | Specifies the method to be used to generate and protect the data encrypting key. RSA and PASSWORD are mutually exclusive. One of the following keywords is required: | |
| | RSA(label) Specifies the 64-byte label of an existing RSA public key that is present in the ICSF cryptographic key data set (PKDS). | |
| | KEYPASSWORD(password) Specifies a password between 8 and 32 characters that is used to generate a data key to encrypt the user data. If you specify KEYPASSWORD on the DUMP command, you must also specify the same KEYPASSWORD on the RESTORE command. | |
| | IBM suggests that you use only the upper and lower-case letters A through Z, numerals 0 – 9 and the underscore character (_) . | |
| Compression option | HWCOMPRESS | |
| | Specifies whether you want compression of the clear input before encryption of the data occurs. If you want compression, specify the keyword HWCOMPRESS. Omit the keyword if you do not want compression. | |

To decrypt the data from the DUMP command, you can use the RESTORE command with the following options:

Table 14. Keywords for DFSMSdss Encryption

| Description | RESTORE option | |
|---|--|--|
| Method used to generate and protect the data encrypting key | Specifies the method to be used to generate and protect the data encrypting key. RSA and PASSWORD are mutually exclusive: | |
| | RSA(label) Specifies the 64-byte label of an existing RSA private key that is present in the ICSF PKDS. The RSA option on the RESTORE command is optional. Use RSA if you want to specify a different label for an RSA key. If you do not specify the RSA keyword on the RESTORE command, DFSMSdss uses the original label specified on the DUMP command. | |
| | KEYPASSWORD(password) Specifies a password between 8 and 32 characters that is used to generate a data key to encrypt the user data. If KEYPASSWORD has been specified on the DUMP command, you must also specify the same KEYPASSWORD on the RESTORE command. IBM suggests that you use only the upper and lower-case letters A through Z, numerals 0 – 9 and the underscore character (_). | |

JCL examples for DFSMSdss Encryption

Example 1: This example shows the JCL for encrypting data for a full volume dump to tape:

```
//SYSIN DD *
DUMP -
FULL -
INDD ( -
DC9SS01 -
) -
ENCRYPT(CLRAES128) -
HWCOMPRESS -
RSA(CCA.CRT08.INT.ENC.1024S0F) -
OUTDDNAME (DDTAPE1)
/*
```

Example 2: This example shows the JCL for encrypting a logical dump data set and decrypting the data through the RESTORE command:

```
//SYSIN
           DD *
  DUMP
       DS(INCLUDE(SOURCE.**)) -
           LOGINDD ( -
               DC9SS01
               DC9SS02
           ENCRYPT(CLRTDES) -
           KEYPASSWORD (MYPASSWORD) -
           HWCOMPRESS
           ALLDATA(*) -
           ALLEXCP
           OUTDDNAME (DDTAPE1)
/*
//SYSIN
           DD *
  RESTORE -
          DS(INCLUDE(SOURCE.**)) -
    OUTDYNAM ( -
    (T9SS01)
    (T9SS02)
    (T9SS03)
    KEYPASSWORD (MYPASSWORD) -
    RENAMEU(TARGET) -
```

```
REPLACEU -
STORCLAS(SC9TG016) -
INDDNAME (DDTAPE1)
/*
```

Chapter 7. Using RACF with Encryption Facility

You can use RACF to help you store RSA public and private keys for encryption in the ICSF public key data set (PKDS). You can also specify the PKDS labels to use when you store public or private keys and can list PKDS labels of existing security certificates as well as establish a PKI to manage the digital certificate authority for users.

This topic includes:

- "Using RACF to store keys, manage PKDS labels, and send digital certificates" on page 45
- "Using the RACDCERT command" on page 46

Using RACF to store keys, manage PKDS labels, and send digital certificates

Encryption Facility encrypts data for archival and recovery purposes. Long term storage of archived encrypted data helps with disaster recovery. Moreover, Encryption Facility allows you to safely transport encrypted data to interested parties on other sites where it can be decrypted or stored for future use. RACF provides public/private key support and the management of PKDS labels associated with the keys for both archiving and recovery of the encrypted data. Through RACF, you can also set up a limited scope certificate authority that allows you to exchange key information for the encrypted data.

For data archival and recovery, the encryption and decryption process can make use of the RSA public/private key pair. The public part is meant for encrypting data that can be archived, and the private part for decrypting or recovering the data.

For data transit, the sending side needs to use the recipient's public key to encrypt the data, while the receiving side uses the corresponding private key of the public/private pair to decrypt the data. The sender expects to receive the public key of the recipient in the form of an x.509 certificate, and the sender needs to store the public key, without the equivalent private key, in the ICSF PKDS.

If you are using RSA private/public keys to encrypt or decrypt data, you can make use of RACF to allow you to exchange keys with the senders or recipients of the data. This exchange involves using digital certificates to identify users and their keys. You can also use ICSF utility panels to create or delete PKDS records and export or import RSA keys to x.509 certificates. For a scenario, see "Using ICSF utilities panels for PKDS key management" on page 56. For complete information, see z/OS Cryptographic Services ICSF Administrator's Guide.

RACF and certificates

RACF lets you create certificates with a private/public RSA key pair for the decryption of data sent to you by another party. To accomplish this, the sender must encrypt the data using your public key. Before encryption, this public key needs to be sent to the other party enclosed in the certificate created by RACF.

This certificate identifies you as the owner of the key pair. The other party can receive the certificate and use RACF to create a PKDS label for the public key in the other party's ICSF PKDS. In addition, if you want to encrypt data to return to the other party, a second key exchange must occur, where the other party creates the key pair and sends you the public key certificate from that system.

Figure 4 on page 46 shows how this exchange of certificates works with RSA keys that are stored in the PKDS of the sending and receiving systems. On z/OS you can use RACF to generate a digital certificate and key pair. You (the z/OS customer) send the digital certificate to a receiver (the business partner) on a remote system who can also use RACF or another program or service to create a digital certificate and key pair and send the certificate to you.

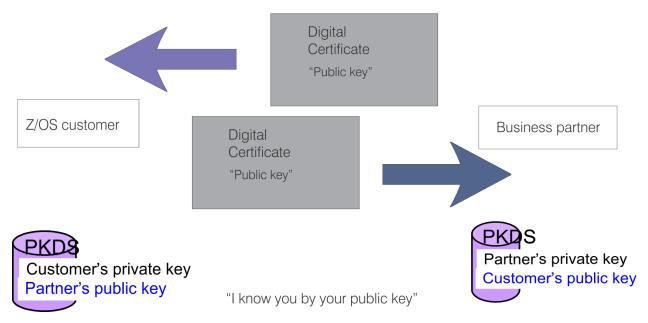


Figure 4. Establishing a trusted exchange through digital certificates

Using the RACDCERT command

RACDCERT is used to install and maintain digital certificates, key rings, and digital certificate mappings in RACF. RACDCERT should be used for all maintenance of the DIGTCERT, DIGTRING, and DIGTNMAP class profiles. For complete information, see *z/OS Security Server RACF Command Language Reference*.

For Encryption Facility, RACF provides the following support for the RACDCERT command:

- RACDCERT ADD that includes the keyword PCICC in addition to the ICSF keyword to allow user-defined PKDS labels.
- RACDCERT GENCERT PCICC, ICSF, and DSA keywords to indicate how RACF should generate the key pair and how the key should be stored for future use.
- RACDCERT REKEY PCICC and ICSF keywords to indicate how RACF should generate the key pair and how the private key should be stored for future use if the key algorithm of the original certificate is RSA.
- RACDCERT LIST that displays the digital certificate information, including certificate authority and site certificate information.

The following sample is output from the RACDCERT LIST command that shows the PKDS Label IRR.DIGTCERT.GEORGEM.SY1.BD7103108611F42F:

```
Digital certificate information for user GEORGEM:
   Label: New Cert Type - Ser # 00
Certificate ID: 2QfHxdbZx8XU1YWmQMOFmaNA46iXhUBgQOKFmUB7QPDw
   Status: TRUST
   Start Date: 1996/04/18 03:01:13
               1998/02/13 03:01:13
   End Date:
   Serial Number:
       >00<
   Issuer's Name:
       >OU=Internet Demo CertAuth.O=TheCert Software Inc.<
   Subject's Name:
       >OU=Internet Demo CertAuth.O=TheCert Software Inc.<
   Private Key Type: ICSF
   Private Key Size: 1024
PKDS Label: IRR.DIGTCERT.GEORGEM.SY1.BD7103108611F42F
   Ring Associations:
   Ring Owner: GEORGEM
      >GEORGEMsNewRing01<
   Ring Owner: GEORGEM
   Ring:
      >GEORGEMsRing<
```

For a RACF scenario, see "Using the RACDCERT command for key and certificate management of encrypted data" on page 55.

For complete command syntax information, see z/OS Security Server RACF Command Language Reference.

Considerations using RACDCERT

RACF database and PKDS in a sysplex: If you are sharing a RACF database in a sysplex environment, you must ensure that you are also sharing the PKDS where Encryption Facility resides. For example, if you use RACDCERT GENCERT to generate an RSA key pair and you try to load the public key into the PKDS of another partition that does not share the RACF database, RACF fails the command.

Archiving RSA key data: If you use RACF to create private key entries in the PKDS for use with Encryption Facility, do not issue the following RACF commands that might delete the PKDS entries:

- RACDCERT DELETE deletes a certificate from RACF and its corresponding entry in the PKDS.
- DELUSER deletes any certificates owned by the user and any corresponding entries in the PKDS.
- RACDCERT ROLLOVER command retires a certificate's private key and deletes its entry in the PKDS

As a precaution, backup the certificate and its private key **before** you place it in the ICSF PKDS as follows:

- 1. Generate the certificate and key pair.
- 2. Export the pair to a data set in PKCS12 format (password protected).
- 3. Migrate the key to ICSF.

The following example shows the RACF commands you can use:

```
RACDCERT GENCERT... /* Do not specify the ICSF, PCICC, or DSA keywords */
RACDCERT EXPORT(LABEL('cert-label')) DSN(backup-data-set-name) FORMAT(PKCS12DER) PASSWORD('secret-password')
RACDCERT ADD(backup-data-set-name) PASSWORD('secret-password') ICSF(pkds-label) | PCICC(pkds-label)
```

ICSF and RACDCERT: RACDCERT processing makes use of ICSF services. If your installation has established access control over ICSF services, the issuers of RACDCERT need to be granted READ authority to ICSF services as follows:

| Table 15. RACDCERT command authority and ICSF services | | | |
|--|---------------|--|--|
| RACDCERT command | Keywords | ICSF Service | Comments |
| GENCERT or REKEY | | • CSFRNG | Only required if ICSF is active |
| GENCERT or REKEY | PCICC | • CSFPKG • CSFPKX | |
| GENCERT or REKEY | ICSF or PCICC | CSFPKRC CSFPKRR CSFPKRW | |
| GENCERT | SIGNWITH | • CSFDSG | Only required if the signing certificate has an ICSF or PCICC key |
| DELETE | | • CSFPKRD | Only required if the certificate being deleted has an ICSF or PCICC key |

Chapter 8. User scenarios

The following scenarios show some ways that you can use Encryption Facility to encrypt and decrypt files and RACF to perform certificate exchange.

- "Encrypting data using z/OS and decrypting using the Java-based Client" on page 49
- "Using the RACDCERT command for key and certificate management of encrypted data" on page 55
- "Using ICSF utilities panels for PKDS key management" on page 56

Also, see "JCL Examples for CSDFILEN" on page 26, "JCL examples for CSDFILDE" on page 35, and "JCL examples for DFSMSdss Encryption" on page 42.

Encrypting data using z/OS and decrypting using the Java-based Client

The following scenarios describe encrypting files on z/OS and decrypting the files on the Java-based Client

Scenario 1

In this scenario the JCL for CSDFILEN on z/OS specifies that the data is to be encrypted using a password and a clear 128-bit AES key (CLRAES128). An iteration count (ICOUNT) of 9 is also specified. On z/OS, the device type is a 3390, the data set to be encrypted is a member of a partitioned data set (PO) with a record format of FB, record length of 80, and a block size of 23440. The user saves the encrypted file in the hierarchical file system (HFS) that an xSeries system can access.

```
JOB ((ENCRYPT,UC), 'ZEF.TEST'
//
           NOTIFY=, MSGLEVEL=(1,1), MSGCLASS=H,
           REGION=4M
   //**********************
//* FOLLOWING JOB TAKES DATA FROM ONE DSN AND ENCRYPTS IT
    AND PLACES THE RESULT IN ANOTHER DATASET
   //********************
   //*-----
   //*
             ENCRYPT
           (ONLY MANDATORY ENTRY IS EITHER RSA OR PASSWORD)
   //ENC
             EXEC PGM=CSDFILEN
   //SYSPRINT DD SYSOUT=*
   //STEPLIB DD DSN=ICSFTST.HCF7730.LINKLIB,DISP=SHR
   //SYSUDUMP DD SYSOUT=*
   //SYSOUT
             DD SYSOUT=*
   //SYSIN
             DD *
   .
DESC='ENCRYPTION, PW length of 9 Mixedcase, fb data to java x-series'
   PASSWORD=pw0FNINE9
  ICOUNT=9
  CLRAES128
   //SYSUT1
             DD DSN=TSTFLR6.FXT.CLIST(AMIOUT), DISP=SHR
            DD DSN=PAYROLL.G118.FB80.TOJEFF.SEP29T1,
UNIT=SYSDA,DISP=(NEW,CATLG),
   //SYSUT2
                SPACE=(1024, (60, 10))
```

The user on the z/OS system copies the encrypted file to the HFS with the file name PAYROLL.ZSERIES.ENCRYPTD (not shown).

On the Java statements for the Java-based Client, the user on the xSeries system specifies the same password used to encrypt the data to perform decryption as follows. In this scenario, Encryption Facility for z/OS Client automatically detects the key used for the encryption and the iteration count:

```
java -Djava.encryption.facility.debuglevel=0 \
com.ibm.encryptionfacility.EncryptionFacility \
-mode decrypt \
-password "pwOFNINE9" \
-inputFile PAYROLL.ZSERIES.ENCRYPTD \
-outputFile PAYROLL.XSERIES.DECRYPTD
```

Scenario 2

In this scenario, two files are encrypted through CSDFILEN on a z/OS system. The files are encrypted using a CLRTDES data-encryption key. One file protects the data-encryption key with an RSA public key extracted from the ICSF PKDS. The other file uses a password to generate the data-encryption key used. The encrypted files are sent to a system where the Java-based Client decrypts the files.

Using RSA to encrypt on z/OS: CSDFILEN encrypts a file using a CLRTDES key data-encrypting key. The data-encrypting key is then encrypted with an existing RSA public key that is retrieved from ICSF PKDS with the label specified by RSA=.

Using PASSWORD to encrypt on z/OS: CSDFILEN encrypts a file using a CLRTDES data-encrypting key. The data-encrypting key is generated using the specified password.

Using RSA keys and certificates on the Java-based Client: To use RSA keys and certificates with the Java-based Client, you must store your keys in a Java keystore. The most common way to do this is with a utility called keytool. To transfer the RSA public key between systems, you must use X.509 certificates. For information about using the Java-based Client, see the README file documentation at z/OS downloads (www.ibm.com/systems/z/os/zos/downloads).

Decrypting the file protected with RSA: The recipient of the encrypted file copies the file into zef.rsa.enc. Encryption Facility for z/OS Client is used to decrypt the RSA protected file. The data-encrypting key is recovered using an RSA private key from the Java keystore. The KEYPW on the export command specifies a password used to recover the RSA key:

```
export MODE='decrypt'
export KEYSTORETYPE='jks'
export KEYSTORENAME='/home/gla5445/edar/test/keystores/keystore_jks'
export ALIAS_1='peggyrac'
export KEYPW='xyz12abc'

java com.ibm.encryptionfacility.EncryptionFacility \
-mode $MODE \
-password $MODE \
-password $KEYPW \
-keyStoreType $KEYSTORETYPE \
-keyStoreName $KEYSTORENAME \
-keyStoreCertificateALIAS $ALIAS_1 \
-inputFile zef.rsa.enc \
-outputFile zef.rsa.dec
```

Decrypting the file protected with PASSWORD: The recipient of the encrypted file copies the file into zef.pw.enc. Encryption Facility for z/OS Client is used to decrypt the password protected file. The data-encrypting key is regenerated using the password provided in the Java option: -password \$PASSWORD. The password is the same password that was used to encrypt the original file.

```
export MODE='decrypt'
export PASSWORD='ABCD1234'

java com.ibm.encryptionfacility.EncryptionFacility \
-mode $MODE \
-password $PASSWORD \
-inputFile zef.pw.enc \
-outputFile zef.pw.dec
```

Scenario 3

In this scenario, a z/OS system that has access to a UNIX Systems Services (USS) file system creates an encrypted data set on tape and copies the encrypted data set to a USS file. USS then invokes a batch job for Encryption Facility for z/OS Client to decrypt the file to another USS file and copies the decrypted USS file to a z/OS data set.

Encrypting data using z/OS and placing the encrypted data in a tape data set: The following JCL for CSDFILEN encrypts the z/OS data set HLQ.INPUT.DATASET and places the output into a tape data set. CSDFILEN uses a password (TEST1PASSWORD) to encrypt the data and places the encrypted data in the data set HLQ.ENCRYPTED.TAPE.DATASET:

```
//ESE2TAPE JOB 'JOB INFORMATION', 'TEST', MSGLEVEL=(1,1),
// MSGCLASS=H,CLASS=A,NOTIFY=system_id,REGION=4M
//**********************
//* This is sample JCL which can be used to encrypt data and place *
//* it into a tape dataset using the Encryption Services provided * //* by the IBM Encryption Facility for z/OS *
^{'} //\star This job uses the CSDFILEN batch program of the Encryption \star
//* Services to encrypt the data to tape. The SYSUT1 DD statement *
//* specifies the name of the dataset that contains the data to \star //* be encrypted. The SYSUT2 DD statement contains the name of the \star
//* tape dataset that will contain the encrypted data. *
//* Refer to the IBM Encryption Facility for z/OS: User's Guide for *
//* additional information. *
//***********************
//ENC EXEC PGM=CSDFILEN
//SYSPRINT DD SYSOUT=*
//SYSUDUMP DD SYSOUT=*
//SYSOUT DD SYSOUT=*
//SYSIN DD *
PASSWORD=TEST1PASSWORD
//SYSUT1 DD DSN=HLQ.INPUT.DATASET,DISP=SHR
//SYSUT2 DD UNIT=3590-1,DSN=HLQ.ENCRYPTED.TAPE.DATASET,
// DISP=OLD, VOL=SER=ABCDEF
```

Copying the data to USS and using batch services to invoke Encryption Facility for z/OS Client: The JCL performs the following steps:

- 1. In STEP1 the IEBGENER copy program on z/OS copies the encrypted data set HLQ.ENCRYPTED.TAPE.DATASET from the tape and places it into a USS file called /filesys/input.encrypt.file on the USS file system.
- 2. In STEP2 a BPXBATCH program on USS runs the shell script javadecrypt_pw.sh to invoke Encryption Facility for z/OS Client that decrypts the data in the USS file /filesys/input.encrypt.file. The JCL for BPXBATCH specifies that the decrypted file is to be placed into another USS file /filesys/output.decrypt.file.
 - For the sample script that Encryption Facility for z/OS Client uses to decrypt the data, see <u>Running the</u> Encryption Facility for z/OS Client sample script.
- 3. In STEP3 IEBGENER copies the decrypted USS file /filesys/output.decrypt.file from STEP2 to a z/OS data set HLQ.DECRYPT.OUTPUT.

```
//JCD2DSN JOB 'JOB INFORMATION', MSGLEVEL=(1,1),
// MSGCLASS=H,CLASS=A,NOTIFY=system_id
//* This is sample JCL which can be used to receive an encrypted dataset on a tape and perform
//* decryption using the Encryption Facility for z/OS JAVA client. The resulting decrypted data
//* is placed into a z/OS dataset.
//* Overview of job steps
//* - STEP1 - this will use IEBGENER to copy an encrypted dataset from a tape and place it
//* into a file within the USS file system. //* - STEP2 - this will use the USS file created by STEP1 and invoke BPXBATCH to run a shell
//* script named javadecrypt_pw.sh to decrypt the data into another USS file within a file
//\star - STEP3 - this will use IEBGENER to copy the decrypted file from the USS file system and
//* place it into an z/OS data set.
//\star File, dataset and JOB considerations: The originating encrypted dataset is assumed to be
//* of Fixed Block (FB) Record Format (RECFM).
//*
^{'}/^{\prime}* - All steps within this job specify the REGION= parameter. ^{\prime}//* This is critical to STEP2 to ensure that JAVA has sufficient memory to execute and decrypt
//* the file.
//\star - The user must ensure that there is sufficient space available within the USS file system
//st to contain the encrypted file (which came from tape) and the decrypted file (which was the
\dot{//}* output of the EF JAVA decryption client).
//* - The customer's client (receiver of the encrypted data) must know the data set attributes //* (BLKSIZE,RECFM,LRECL) of the original (clear) source dataset. This information is required //* for STEP3 of this job. It is specified on STEP3 SYSUT1 DD in this sample.
//* - The customer's client (receiver of the encrypted data) must also know the size of the
//* original (clear) source dataset. This information is required for STEP3 of this job. It is
//* specified on STEP3, SYSUT2 DD for the SPACE value.
//* Note: USS refers to Unix System Services
^{\prime\prime}/* STEP1 - This jobstep will copy an encrypted file from a tape dataset to an HFS file within ^{\prime\prime}* Unix System Services. The HFS is automatically created via the OCREAT specified on the ^{\prime\prime}* PATHOPTS keyword. The PATHDISP keyword will keep the file active across to the next step.
//* Refer to the Unix System Services User's Guide for additional explanation on PATHOPTS, //* PATHMODE and PATHDISP.
//STEP1 EXEC PGM=IEBGENER, REGION=6M
//SYSUT1 DD UNIT=3590-1, DSN=HLQ.ENCRYPTED.TAPE.DATASET,
// DISP=(SHR), VOL=SER=ABCDEF
//SYSUT2 DD PATH='/filesys/input.encrypt.file',
// PATHOPTS=(OWRONLY,OCREAT),
// PATHMODE=SIRWXU,
// PATHDISP=KEEP
//SYSPRINT DD SYSOUT=*
//SYSIN DD DUMMY
//*
```

```
///* STEP2 - This jobstep will use BPXBATCH to invoke a USS shell script which invokes the EF JAVA *
//* decryption client.The JAVA decryption client uses STDIN as input to specify the USS file *
//* containing the encrypted data. The JAVA decryption client uses STDOUT as the output USS file //* into which decrypted data will be placed.
^{\prime\prime}/\star The shell script is named javadecrypt_pw.sh and the names of the files specified in STDIN and \star //\star STDOUT DDs must match the input and output file specifications in the shell script. The PARM \star
//★ specified on BPXBATCH assumes that the shell script resides in the USS filesystem in
//* a path specified by /path.
//\star STDERR specifies a USS file into which error messages from the JAVA decryption
//* client will be placed.
//* Repeating from the comments, REGION=0M is critical on STEP2 to ensure that JAVA has //* sufficient storage to decrypt the input file.
//STEP2 EXEC PGM=BPXBATCH,REGION=OM,
// PARM='SH /path/javadecrypt_pw.sh'
//STDIN DD PATH='/filesys/input.encrypt.file',
// PATHOPTS=(ORDONLY),
// PATHMODE=SIRWXU,
 PATHDISP=KEEP
//STDOUT DD PATH='/filesys/output.decrypt.file',
// PATHOPTS=(OWRONLY,OCREAT),
// PATHMODE=SIRWXU,
// PATHDISP=KEEP
//STDERR DD PATH='/path/stderr.log',
// PATHOPTS=(OWRONLY,OCREAT,OTRUNC),PATHMODE=SIRWXU
//* STEP3 - This jobstep will copy a decrypted file from a USS file within the file system to a
//* z/OS dataset via IEBGENER. The BLKSIZE, LRECL, and RECFM on SYSUT1 will drive the attributes
//* that will be used on SYSUT2 when it is created.
//* The space required to handle the decrypted output in the z/OS dataset must be consistent with
//st the original (clear) source dataset that came from the originator partner. In this sample,
//* the dataset required 300 cylinders on a 3390.
//STEP3 EXEC PGM=IEBGENER,REGION=6M
//SYSUT1 DD PATH='/filesys/output.decrypt.file',
// BLKSIZE=6144, LRECL=1024, RECFM=FB,
  PATHOPTS=(ORDONLY),
// PATHMODE=SIRWXU,
// PATHDISP=KEEP
//SYSUT2 DD DSN=HLQ.DECRYPT.OUTPUT,
// DISP=(NEW,CATLG),
// SPACE=(CYL,(2,1)),UNIT=SYSDA
//SYSPRINT DD SYSOUT=*
//SYSIN DD DUMMY
```

Running the Encryption Facility for z/OS Client sample script: STEP2 of the JCL specifies the shell script javadecrypt_pw.sh that invokes Encryption Facility for z/OS Client. The following sample script shows how Encryption Facility for z/OS Client uses the password TEST1PASSWORD to decrypt the USS file /filesys/input.encrypt.file:

```
# Sample script which may be invoked via z/os Batch process, IE: BPXBATCH
# PATH statement needs to specify the absolute path to the java 'bin' directory.
# CLASSPATH must include the java encryption java client's absolute path and name.
# IE: /path/efclient.zip
# it is important to 'cd' to the directory where the efclient.zip file resides.
# Please note: This example utilizes decryption via PASSWORD.
# This example uses '/filesys/input.encrypt.file' as it's encrypted data source,
# which is also specified in BPXBATCH job as STDIN.
# This example uses '/filesys/output.decrypt.file' for expected decrypted output,
# which is also specified in BPXBATCH job as STDOUT.
# Important: Ensure the 'REGION=OM', parm is included in the BPXBATCH job step,
# to ensure the java virtual machine
# has sufficient storage available.
# export PATH=/usr/lpp/java/cur13secure/bin:.:$PATH
export CLASSPATH=/feu/efclient.zip:.:$CLASSPATH
cd /path
java -Djava.encryption.facility.debuglevel=0 \
com.ibm.encryptionfacility.EncryptionFacility \
-mode decrypt \
-password TESTIPASSWORD \
-inputFile /filesys/input.encrypt.file \
```

Using the RACDCERT command for key and certificate management of encrypted data

In this scenario you want to create a new certificate with a 2048 bit RSA public/private key pair for an encrypted data set that is sent from a remote site. You plan to send the certificate to the remote site so the recipient can create a PKDS label and store the certificate in the ICSF PKDS on the remote system:

1. Use the RACDCERT command on your site to create the PKDS label for the key pair:

```
RACDCERT GENCERT SUBJECTSDN(CN('Sally''s Data Encryption')) WITHLABEL('Sally''s Data Encryption')
SIZE(2048)
PCICC NOTAFTER(DATE(2020/08/10)
```

2. Use the RACDCERT LIST command to view the name of the PKDS label that RACF has created:

3. To send the certificate you need to extract it from RACF. Use the RACDCERT EXPORT command to extract it from RACF:

```
RACDCERT EXPORT(LABEL('Sally''s Data Encryption')) DSN(FOR.JACOB.CRT)
```

- 4. Send the certificate to the remote site so the recipient can return encrypted data. (You can use e-mail, FTP, or whatever program your installation uses to send the certificate to the remote site. Note that you do not send the private key to the recipient so the certificate does not need to be protected.)
- 5. The recipient at the remote site receives the certificate that you sent in step <u>"4" on page 56</u> into a data set (SALLY.CRT in this example). The recipient needs the public key from this certificate to send you encrypted data. The recipient adds the certificate to the RACF data base as a SITE certificate, and gives it the name "Sally". The command also creates a PKDS label with the same value "Sally."

```
RACDCERT SITE ADD(SALLY.CRT) WITHLABEL('Sally') ICSF(*)
```

6. From the remote site the recipient uses the following RACDCERT command to list out the certificate that has been added to RACF. Note that RACF changes PKDS label text to uppercase:

Using ICSF utilities panels for PKDS key management

This scenario shows how to use the ICSF utilities panels to manage PKDS keys.

1. From the ICSF primary options utility panel, select option 5, UTILITY and press ENTER. You receive the ICSF utilities panel CSFUTL00:

2. From CSFUTL00 select option 6: PKDSKEYS and press enter. You receive the ICSF PKDS keys panel CSFPKY00.

```
Enter the PKDS record's label for the actions below
=>>

Select one of the following actions then press ENTER to process:

Generate a new PKDS key pair record
Enter the key length ===> 512, 1024, or 2048
Enter Private Key Name (optional)
==>

Delete the existing public key or key pair PKDS record

Export the PKDS record's public key to a certificate data set Enter the DSN ===>
Enter desired subject's common name (optional)
CN=

Create a PKDS public key record from an input certificate.
Enter the DSN ===>

COMMAND ===>
```

CSFPKY00 allows you to perform the following PKDS key management:

- · Generate an RSA key pair PKDS record
- · Delete an existing PKDS record
- Export an existing public key to an x.509 certificate
- Import a public key from an x.509 certificate

Coprocessor Requirements for using the ICSF utility panels: To use the full function of the ICSF utility panels, you must have a PCICC, PCIXCC, or a CEX2C cryptographic coprocessor. If you do not have one of these coprocessors, you cannot generate key pairs using the panels.

For example, to generate a new PKDS RSA key pair, enter the PKDS record label (MY.PKDS.KEY.PAIR) and the key length in bits. You can also specify an optional private key name that ICSF can imbed into the key token. This service creates an RSA public/private key PKDS record. You can use the key pair that ICSF generates to encrypt and recover archive data. You can also use it to recover encrypted data that another party transmits to you if you make the public key portion available to the partner:

```
Enter the PKDS record's label for the actions below
==>MY.PKDS.KEY.PAIR

Select one of the following actions then press ENTER to process:

Generate a new PKDS key pair record
Enter the key length ===> 1024 512, 1024, or 2048
Enter Private Key Name (optional)
==>

Delete the existing public key or key pair PKDS record

Export the PKDS record's public key to a certificate data set Enter the DSN ===>
Enter desired subject's common name (optional)
CN=

Create a PKDS public key record from an input certificate.
Enter the DSN ===>
```

If the system successfully generates the new key pair record, you receive confirmation panel CSFPKY01:

```
Label ==> MY.PKDS.KEY.PAIR

Key function completed successfully
Press ENTER or END to return to the previous menu.

COMMAND ===>
```

For complete information about using the ICSF utilities panels, see <u>z/OS Cryptographic Services ICSF</u> Administrator's Guide.

Appendix A. Accessibility

Accessible publications for this product are offered through IBM Documentation (www.ibm.com/docs/en/zos).

If you experience difficulty with the accessibility of any z/OS information, send a detailed message to the <u>Contact the z/OS team web page (www.ibm.com/systems/campaignmail/z/zos/contact_z)</u> or use the following mailing address.

IBM Corporation
Attention: MHVRCFS Reader Comments
Department H6MA, Building 707
2455 South Road
Poughkeepsie, NY 12601-5400
United States

Accessibility features

Accessibility features help users who have physical disabilities such as restricted mobility or limited vision use software products successfully. The accessibility features in z/OS can help users do the following tasks:

- Run assistive technology such as screen readers and screen magnifier software.
- Operate specific or equivalent features by using the keyboard.
- Customize display attributes such as color, contrast, and font size.

Consult assistive technologies

Assistive technology products such as screen readers function with the user interfaces found in z/OS. Consult the product information for the specific assistive technology product that is used to access z/OS interfaces.

Keyboard navigation of the user interface

You can access z/OS user interfaces with TSO/E or ISPF. The following information describes how to use TSO/E and ISPF, including the use of keyboard shortcuts and function keys (PF keys). Each guide includes the default settings for the PF keys.

- z/OS TSO/E Primer
- z/OS TSO/E User's Guide
- z/OS ISPF User's Guide Vol I

Dotted decimal syntax diagrams

Syntax diagrams are provided in dotted decimal format for users who access IBM Documentation with a screen reader. In dotted decimal format, each syntax element is written on a separate line. If two or more syntax elements are always present together (or always absent together), they can appear on the same line because they are considered a single compound syntax element.

Each line starts with a dotted decimal number; for example, 3 or 3.1 or 3.1.1. To hear these numbers correctly, make sure that the screen reader is set to read out punctuation. All the syntax elements that have the same dotted decimal number (for example, all the syntax elements that have the number 3.1)

are mutually exclusive alternatives. If you hear the lines 3.1 USERID and 3.1 SYSTEMID, your syntax can include either USERID or SYSTEMID, but not both.

The dotted decimal numbering level denotes the level of nesting. For example, if a syntax element with dotted decimal number 3 is followed by a series of syntax elements with dotted decimal number 3.1, all the syntax elements numbered 3.1 are subordinate to the syntax element numbered 3.

Certain words and symbols are used next to the dotted decimal numbers to add information about the syntax elements. Occasionally, these words and symbols might occur at the beginning of the element itself. For ease of identification, if the word or symbol is a part of the syntax element, it is preceded by the backslash (\) character. The * symbol is placed next to a dotted decimal number to indicate that the syntax element repeats. For example, syntax element *FILE with dotted decimal number 3 is given the format 3 * FILE. Format 3* FILE indicates that syntax element FILE repeats. Format 3* * FILE indicates that syntax element * FILE repeats.

Characters such as commas, which are used to separate a string of syntax elements, are shown in the syntax just before the items they separate. These characters can appear on the same line as each item, or on a separate line with the same dotted decimal number as the relevant items. The line can also show another symbol to provide information about the syntax elements. For example, the lines $5.1 \star$, 5.1 LASTRUN, and 5.1 DELETE mean that if you use more than one of the LASTRUN and DELETE syntax elements, the elements must be separated by a comma. If no separator is given, assume that you use a blank to separate each syntax element.

If a syntax element is preceded by the % symbol, it indicates a reference that is defined elsewhere. The string that follows the % symbol is the name of a syntax fragment rather than a literal. For example, the line 2.1 %0P1 means that you must refer to separate syntax fragment OP1.

The following symbols are used next to the dotted decimal numbers.

? indicates an optional syntax element

The question mark (?) symbol indicates an optional syntax element. A dotted decimal number followed by the question mark symbol (?) indicates that all the syntax elements with a corresponding dotted decimal number, and any subordinate syntax elements, are optional. If there is only one syntax element with a dotted decimal number, the ? symbol is displayed on the same line as the syntax element, (for example 5? NOTIFY). If there is more than one syntax element with a dotted decimal number, the ? symbol is displayed on a line by itself, followed by the syntax elements that are optional. For example, if you hear the lines 5 ?, 5 NOTIFY, and 5 UPDATE, you know that the syntax elements NOTIFY and UPDATE are optional. That is, you can choose one or none of them. The ? symbol is equivalent to a bypass line in a railroad diagram.

! indicates a default syntax element

The exclamation mark (!) symbol indicates a default syntax element. A dotted decimal number followed by the ! symbol and a syntax element indicate that the syntax element is the default option for all syntax elements that share the same dotted decimal number. Only one of the syntax elements that share the dotted decimal number can specify the ! symbol. For example, if you hear the lines 2? FILE, 2.1! (KEEP), and 2.1 (DELETE), you know that (KEEP) is the default option for the FILE keyword. In the example, if you include the FILE keyword, but do not specify an option, the default option KEEP is applied. A default option also applies to the next higher dotted decimal number. In this example, if the FILE keyword is omitted, the default FILE(KEEP) is used. However, if you hear the lines 2? FILE, 2.1, 2.1.1! (KEEP), and 2.1.1 (DELETE), the default option KEEP applies only to the next higher dotted decimal number, 2.1 (which does not have an associated keyword), and does not apply to 2? FILE. Nothing is used if the keyword FILE is omitted.

* indicates an optional syntax element that is repeatable

The asterisk or glyph (*) symbol indicates a syntax element that can be repeated zero or more times. A dotted decimal number followed by the * symbol indicates that this syntax element can be used zero or more times; that is, it is optional and can be repeated. For example, if you hear the line 5.1* data area, you know that you can include one data area, more than one data area, or no data area. If you hear the lines 3*, 3 HOST, 3 STATE, you know that you can include HOST, STATE, both together, or nothing.

Notes:

- 1. If a dotted decimal number has an asterisk (*) next to it and there is only one item with that dotted decimal number, you can repeat that same item more than once.
- 2. If a dotted decimal number has an asterisk next to it and several items have that dotted decimal number, you can use more than one item from the list, but you cannot use the items more than once each. In the previous example, you can write HOST_STATE, but you cannot write HOST_HOST.
- 3. The * symbol is equivalent to a loopback line in a railroad syntax diagram.

+ indicates a syntax element that must be included

The plus (+) symbol indicates a syntax element that must be included at least once. A dotted decimal number followed by the + symbol indicates that the syntax element must be included one or more times. That is, it must be included at least once and can be repeated. For example, if you hear the line 6.1+ data area, you must include at least one data area. If you hear the lines 2+, 2 HOST, and 2 STATE, you know that you must include HOST, STATE, or both. Similar to the * symbol, the + symbol can repeat a particular item if it is the only item with that dotted decimal number. The + symbol, like the * symbol, is equivalent to a loopback line in a railroad syntax diagram.

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