

CIS PA3

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1 Cartesian Math Package

For our program, we used native Python functions and various libraries—namely Numpy, Pandas, and time—to help perform vector/matrix mathematics, frame transformations, rotations, and ICP. For performing our ICP algorithms, we were able to use a combination of these libraries, the mathematical functions we wrote in PA 1, and our newly written functions to compute the answers. For instance, we used our frame class and registration algorithm from the first programming assignment in writing our ICP algorithm. As always, we relied on the homework instructions and the ways we learned to approach this algorithm in class to complete the assignment.

2 Algorithmic and Mathematical Approach

In the following subsections, we will break down our approach to our complex computations first algorithmically, then it mathematically, and finally we will show how it is reflected in the code. The explanation for our Linear ICP (finding closest point) algorithm, Project On Segment computation, Efficient ICP (using Covariance trees) algorithm, Registration Algorithm, Transformation computation, and Composition computation are all below. Our linear, or simple ICP, will cover the behavior for all of the functions in icp.py, except for project_on_segment(), which is covered in section 2.2 of this report.

2.1 Iterative Closest Point Linear

Our ICP approach can be explained algorithmically by the following:

2.1.1 Algorithmic Approach

1. Perform a registration to calculate the poses of $F_{A,k}$ and $F_{B,k}$ (the registration algorithm and mathematical approach are explained in the registration section). Using the newly computed poses, calculate \vec{d}_k to find the position of the pointer tip with respect to rigid body B .
2. After finding a \vec{d}_k for each frame, find sample points estimated to be on the surface mesh, \vec{s}_k , such that $\vec{s}_k = F_{reg} \cdot \vec{d}_k$
3. Find points \vec{c}_k on the surface mesh that are closest to \vec{s}_k . We essentially find the closest point to the triangle by performing a least squares operation (and projecting our point onto the plane of the triangle if it is not).
4. Our last step is to use this computed point to find the distance from the point on the triangle to the mesh. If this distance is less than our bound, it is the closest distance.

2.1.2 Mathematical Approach

Mathematically, this approach looks like :

1. Using $F_{A,k}$ and $F_{B,k}$ the rigid body poses of A and B respectively, we compute \vec{d}_k , such that:

$$\vec{d}_k = F_{B,k}^{-1} \cdot F_{A,k} \cdot \vec{A}_{tip}$$

2. Compute sample points \vec{s}_k such that:

$$\vec{s}_k = F_{reg} \cdot \vec{d}_k$$

, where $F_{reg} = I$

- Find the points \vec{c}_k on the surface mesh that are closest to s_k , where $\vec{c}_k = F_{reg} \cdot \vec{d}_k$. This will look something like: Perform a least squares operation to solve for μ and λ in the equation

$$\vec{a} - \vec{p} = \lambda(\vec{q} - \vec{p}) + \mu(\vec{r} - \vec{p})$$

, where \vec{p} , \vec{q} , and \vec{r} are the vertices of the triangle and \vec{a} contains the sample points. Compute \vec{c} such that:

$$\vec{c} = \vec{p} + \lambda(\vec{q} - \vec{p}) + \mu(\vec{r} - \vec{p})$$

The results of λ and μ will determine where \vec{c} lies in the triangle, so if $\mu \geq 0$, $\lambda \geq 0$, and $\mu + \lambda \leq 1$, \vec{c} is in the triangle. Otherwise, find a point on the border (the approach to this is explained in the Project onto Segment section).

- The last step to finding \vec{c}_k is to check if $\|\vec{c}_k - \vec{a}\| \leq \text{bound}$. If it is, then $\text{bound} = \|\vec{c}_k - \vec{a}\|$, and \vec{c}_k is the closest point.

2.1.3 Programming Approach

These same steps are reflected in the code by:

- `d_ks = find_rigid_body_pose(a_read, b_read, a_tip, a_leds, b_leds)`

, where the function `find_rigid_body_pose` is :

```
Nf = len(a_frames)

# initialize the array to store the tip coordinates
d_k_cloud = np.zeros((Nf, 3))
# loop through the frames
for i in range(Nf):
    # find the rigid body poses
    F_ak = registration(a_leds, a_frames[i])
    F_bk = registration(b_leds, b_frames[i])
    # calculate the pointer tip location
    d_k = Frame.compose_transform(F_bk.invert(),
                                  Frame.compose_transform(F_ak, a_tip))
    d_k_cloud[i] = d_k
return d_k_cloud
```

- ```
for i in range(len(a_read)):
 F_reg = Frame(np.identity(3), np.zeros(3))
 s_k = find_sample_points(F_reg, d_ks[i])
```

where `find_sample_points` is defined as:

```
sample_points = Frame.compose_transform(F_reg, d_k)
return sample_points
```

- `c_ks[i] = (find_closest_point(vertices, indices, s_k).reshape(1, 3))`

where `find_closest_point` does

```
for i in range(len(indices)):
 cur_c_k = find_closest_point_triangle(mesh_vertices[indices[i]], s_k)
 cur_d_k = find_euclidian_distance(cur_c_k, s_k)
 if cur_d_k < d_min:
 d_min = cur_d_k
 c_min = cur_c_k
return c_min
```

and where `find_closest_point_triangle` computes:

```

p, q, r = vertices
A_minus_p = s_k - vertices[0]
B = np.vstack(((q - p), (r - p))).T
lam, mu = np.linalg.lstsq(B, A_minus_p.T, rcond=None)[0]
c = p + lam * (q - p) + mu * (r - p)

if lam < 0:
 c = project_on_segment(c, r, p)
elif mu < 0:
 c = project_on_segment(c, p, q)
elif lam + mu > 1:
 c = project_on_segment(c, q, r)
return c

```

and `project_on_segment` does:

```

if np.linalg.norm(p - q) == 0:
 return p

t = np.dot(c - p, q - p) / np.dot(q - p, q - p)
t = np.clip(t, 0, 1)
return p + t * (q - p)

```

## 2.2 Project Onto Segment

Our Project Onto Segment computation is sometimes performed after finding the closest point on the triangle. If the closest point is out of bounds (not in the plane of the triangle), we must find a point on the border of the triangle instead. This section of the report covers the behavior in the function `project_on_segment` from `icp.py`.

### 2.2.1 Algorithmic Approach

Algorithmically, the approach looks like:

1. Perform an orthogonal projection where we project  $\vec{c}$  onto the plane of the triangle by projecting  $\vec{c}$  onto the bounding edge of the triangle between two of the vertices.
2. After computing the projection,  $\lambda$ , confine to the bounds between  $[0,1]$  (essentially, if  $\lambda \leq 0$ , set it to 0, if  $\lambda \geq 1$ , set it to 1).
3. To finally get our correct closest point on the plane of the triangle, add  $\lambda$ , our corrected distance, to the vertex  $\vec{p}$ , and multiply by the bounding edge of the triangle,  $\vec{q} - \vec{p}$ .

### 2.2.2 Mathematical Approach

Mathematically speaking, the following steps are taken:

1.
$$\lambda = \frac{(\vec{c} - \vec{p}) \cdot (\vec{q} - \vec{p})}{(\vec{q} - \vec{p}) \cdot (\vec{q} - \vec{p})}$$
2.
$$\lambda^* = \text{Max}(0, \text{Min}(\lambda, 1))$$
3. Finally, we compute our  $\vec{c}$ ,
$$\vec{c} = \vec{p} + \lambda^* \times (\vec{q} - \vec{p})$$

### 2.2.3 Programming Approach

This is reflected in the code as:

```

def project_on_segment(c: np.ndarray, p: np.ndarray, q: np.ndarray):

 if np.linalg.norm(p - q) == 0:
 return p

 t = np.dot(c - p, q - p) / np.dot(q - p, q - p)
 t = np.clip(t, 0, 1)
 return p + t * (q - p)

```

## 2.3 Compute Covariance Frame

For our computation of the Covariance Frame in the Covariance Tree, we followed Dr. Taylor's "Finding Point-Pairs" slide to construct a Covariance Tree of Thing objects and used a modification of his algorithm to find the Frame.

### 2.3.1 Algorithmic Approach

The algorithmic approach is derived from the pseudocode on the "Finding Point-Pairs" slides. We compute the covariance frame using an approach virtually identical to the registration algorithm. We chose not to use the eigenvector/eigenvalue approach given on the slides because this approach is mathematically the same and allows for correction of the rotation if the determinant is negative. The approach is shown below:

1. Compute the mean of the corners of the triangles in the Covariance Tree
2. Calculate a matrix A by summing the outer products of the centered value of each corner
3. Find the SVD of A
4. Use the results of the SVD, specifically the V matrix to calculate the rotation matrix
5. Calculate the determinant of rotation: it should be 1, or correct the matrix if the determinant is negative one
6. Set the translation component of the frame to the mean of the corners (centroid)
- 7.

### 2.3.2 Mathematical Approach

Mathematically, the algorithmic approach can be represented as:

1.  $centroid = corners - \overline{corners}$
2.  $points = corners - centroid$   
$$A = \sum_{i=1}^N \langle points, points \rangle$$
3.  $A = U\Sigma V^T$
4. Steps 4 and 5 of the algorithm can be combined into:  
$$R = VV$$
  
where  $R$  is our Rotation matrix, assuming the determinant is 1
5.  $p = centroid$   
where  $p$  is our translation component.

### 2.3.3 Programming Approach

Computing the covariance frame is reflected in our code in the function `compute_cov_frame`

- ```
1. def compute_cov_frame(self, ts: np.ndarray):  
  
    points = np.array([ts[i].sort_point() for i in range(len(ts))])  
    n_p = len(points)  
    centroid = np.mean(points, axis=0)  
  
2.    for i in range(n_p):  
        A += np.outer(points[i] - centroid, points[i] - centroid)  
  
3.    u, s, vt = np.linalg.svd(A)  
    u = u.T  
    vt = vt.T
```

```

4.      comp_size = vt.shape[0]
          reflection_comp = np.eye(comp_size)
          reflection_comp[comp_size - 1][comp_size - 1] = np.linalg.det(np.dot(vt, u))

          R = np.dot(vt, np.dot(reflection_comp, vt))
          p = centroid

          return Frame(R, p)

```

2.4 Construct Subtrees and Split Sort

For our construction and sorting of the subtrees in the Covariance Tree, we followed Dr. Taylor's "Finding Point-Pairs" slide to construct a Covariance Tree of Thing objects.

2.4.1 Algorithmic Approach

The algorithmic approach to solving the subtree problem is summarized below:

1. If the number of triangles or the size of the bounds is less than our accepted limits, we do not construct further subtrees
2. We split the points in the Node into subtrees by putting each corner from the triangle into the frame of the node. If the x value is below zero, we add it to the left tree and otherwise, we add to the right tree.
3. We construct new subtrees from these subtrees in a recursive loop, ending the loop if the size of either the left or right tree is the same size as the number of points in the node (indicating that the subtrees are no longer splitting the node)

2.4.2 Mathematical Approach

Our mathematical approach for the construction and sorting of subtrees is as follows considering we have not reached the exit conditions described above:

1. Convert each corner of a triangle into the frame of the node.

$$v_x = (F_{node}^{-1} * [x, y, z]_{corner}).x$$

2. Add to the left or right subtree and return the subtrees

2.4.3 Programming Approach

Subtree construction and sorting is reflected in our code in the file cov_tree.py. Specifically, in the functions construct_subtrees and split_sort

1.

```
if n_t <= min_count or np.linalg.norm(self.UB - self.LB) <= min_diag:
    return None, None, False
```
2.

```
left_tree, right_tree = self.split_sort(n_t)
```

where split_sort is defined by:

- ```

ts = self.things
for i in range(n_t):
 # transform triangle corners into the frame of the node
 if self.F.invert().compose_transform(ts[i].sort_point().reshape(1, 3))[0][0] < 0:
 left_tree.append(ts[i])
 else:
 right_tree.append(ts[i])
return np.array(left_tree), np.array(right_tree)

```
3. 

```
if len(left_tree) == n_t or len(right_tree) == n_t:
 return None, None, False
left, right = CovTreeNode(left_tree, len(left_tree)),
 CovTreeNode(right_tree, len(right_tree))
return left, right, True
```

## 2.5 Efficient ICP

For our efficient ICP implementation, we followed Dr. Taylor's "Finding Point-Pairs" slide to construct a Covariance Tree of Thing objects to find the closest point.

## 2.6 Algorithmic/Mathematical Approach

I am combining our Algorithmic and Mathematical approach given that much of the algorithm involves if/else statements. I will give the mathematical representation of the statements along with their usage.

1. First, we find the  $d_k$ s as described in the Simple ICP Approach
2. We create an array of Thang (Thing) objects, representing the triangles of the surface mesh of the given object
3. We then create a CovTreeNode object using the computation of covariance frame and subtree construction algorithms described earlier. We initialize the previous closest value for this iterative approach to the first vertex in our surface mesh.
4. For the closest point computation, we iterate through each point in our  $d_k$  cloud, finding the norm between each point and our previous closest:  $\|s - d_k\|$
5. We now find the closest point:

- (a) Find the local frame of the point:

$$v_{local} = F_{node}^{-1} * v$$

- (b) Ensure  $v_{local}$  is in the bounds defined by the covariance tree

$$LB < v_{local} < UB$$

- (c) If the node has subtrees and  $v_{local}.xis < -bound$ , search the left subtree recursive for a closest point. Else, search the right.
- (d) If the node does not have subtrees, complete a linear search along the nodes points, updating the new closest point

### 2.6.1 Programming approach

Our code has the efficient ICP algorithm in `pa.three.py` in our `efficient_icp`, which calls the method `find_closest_point` in `cov_tree.py`. These two methods combined perform the efficient ICP algorithm. This looks like:

1. `d_ks = icp.find_rigid_body_pose(a_read, b_read, a_tip, a_leds, b_leds)`
2. `ts = np.array([thang.Thang(vertices[indices[i]]) for i in range(len(indices))])`
3. `root = ct.CovTreeNode(ts, len(ts))`  
`previous_closest = ts[0].corners[0]`
4. `for _, s in enumerate(d_ks):`  
`bound = np.linalg.norm(s - previous_closest)`  
`closest.append(root.find_closest_point(s, bound, previous_closest))`
5. `previous_closest = closest[-1]`  
`c_ks = np.array(closest)`  
  
`mag_dif = icp.find_euclidian_distance(c_ks, d_ks)`

where `find_closest_point` is defined as:

```

v_local = self.F.invert().compose_transform(v.reshape(1, 3))
for i in range(3):
 if v_local[0, i] > self.UB[0, i] + bound or v_local[0, i]
 < self.LB[0, i] - bound:
 return
if self.have_subtrees:
 if v_local[0, 0] < -bound:
 return self.left.find_closest_point(v, bound, closest)
 elif v_local[0, 0] > bound:
 return self.right.find_closest_point(v, bound, closest)
 else:
 left = self.left.find_closest_point(v, bound, closest)
 right = self.right.find_closest_point(v, bound, closest)
 if left is not None and right is None:
 return left
 elif left is None and right is not None:
 return right
 elif left is None and right is None:
 return closest
 else:
 return min(left, right, key=lambda x: np.linalg.norm(x - v))
else:
 for i in range(self.n_things):
 bound, closest = self.update_closest(self.things[i], v, bound, closest)
 return closest

```

## 2.7 Registration algorithm

For our registration algorithm, we used an approach developed by Arun et al., in which the authors explored a non-iterative algorithm which employs Singular Value Decomposition. Additionally, we compensated for rotation matrices with a determinant of negative one using an approach described by Sorkine-Hornung et al. This explanation will cover the behavior of the registration.py file.

### 2.7.1 Algorithmic Approach

The algorithmic approach using can be broken down into roughly five or so steps:

1. Center the point sets
2. Calculate a matrix H by multiplying one matrix by the transpose of the other
3. Find the SVD of H
4. Use the results of the SVD to calculate a matrix X
5. Calculate the determinant of X: it should be 1, or correct the matrix if the determinant is negative one

### 2.7.2 Mathematical Approach

Mathematically speaking, the function would look like

1.  $a_i = a - \bar{a}$   
 $b_i = b - \bar{b}$

2.  $H = \sum_{i=1}^N a_i b_i^T$

3.  $H = U \Sigma V^T$

4. Steps 4 and 5 of the algorithm can be combined into:

$$X = V U^T$$

where X is our Rotation matrix, assuming the determinant is 1

### 2.7.3 Programming Approach

Reflected in the code, this looked like:

1. 

```
a_mean = np.mean(A.points, axis=1, keepdims=True)
b_mean = np.mean(B.points, axis=1, keepdims=True)
centered_a = A.points - a_mean
centered_b = B.points - b_mean
```
2. 

```
H = np.dot(centered_a, centered_b.transpose())
```
3. 

```
u, s, vt = np.linalg.svd(H)
```
4. 

```
u = u.transpose()
vt = vt.transpose()
```
5. 

```
comp_size = vt.shape[0]
reflection_comp = np.eye(comp_size)
reflection_comp[comp_size - 1][comp_size - 1] =
np.linalg.det(np.dot(vt, u))
```

6. And with the newly found rotation matrix, we returned a Frame:

```
R = np.dot(vt, np.dot(reflection_comp, u))
p = b_mean - np.dot(R, a_mean)
return Frame(R, p)
```

## 2.8 Transformation

Our frame transformation function, `compose_transform`, in `frame.py` looked something like:

### 2.8.1 Algorithmic Approach

1. Iterate through each row in the rotation matrix of the frame,  $R$ , and compute the dot product of that vector with the points to transform and add to it the translation vector,  $p$ .

### 2.8.2 Mathematical Approach

Mathematically, this looks like:

1.  $v = F \cdot b$   
 $v = [R, p] \cdot b$   
 $v = R \cdot b + p$

### 2.8.3 Programming Approach

Reflected in the code, this looks like:

1. 

```
for i in range(frame_size):
 t_points[i] = np.dot(self.R, points[i]) + self.p
```

## 2.9 Composition

Our frame composition function, `compose_frame`, in `frame.py` looks something like:

### 2.9.1 Algorithmic Approach

1. Compute the dot product between Frame 1's rotation Matrix and Frame 2's rotation matrix
2. Compute the dot product between Frame 1's rotation Matrix and Frame 2's translation vector. Add to it Frame 1's translation vector



### 2.9.2 Mathematical Approach

Mathematically speaking, this looks like:

$$\begin{aligned} 1. \quad F_1 \cdot F_2 &= [R_1, p_1] \cdot [R_2, p_2] \\ F_1 \cdot F_2 &= [R_1 \cdot R_2, R_1 p_2 + p_1] \end{aligned}$$

### 2.9.3 Programming Approach

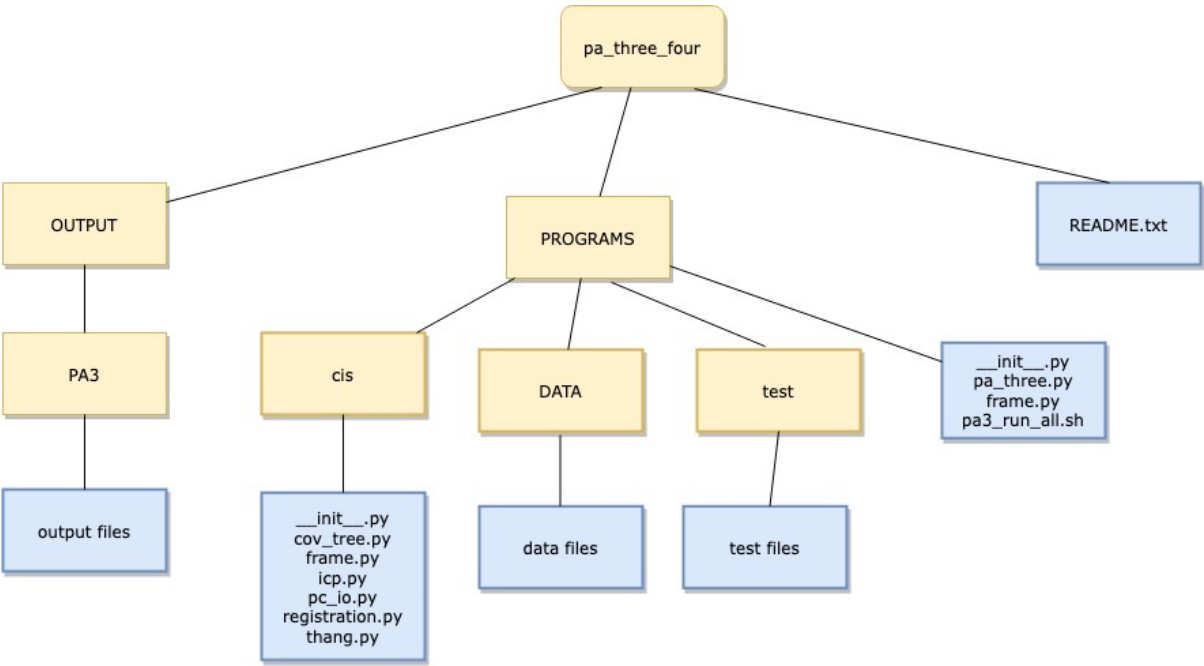
This was represented in the code with the lines:

```
1. mat = np.dot(self.R, other_frame.R)

2. vec = np.dot(self.R, other_frame.p) + self.p
```

## 3 Program Structure

Our program structure is broken down into two main sections: the programs and input files, located in the PROGRAMS folder, and outputs, located in the OUTPUTS folder. Inside PROGRAMS, is our README.txt, and main method for programming assignment 3, in pa.py. We also have a folder containing all of the input files, called DATA. Lastly, in PROGRAMS there is a folder titled cis; this is where the bulk of our program lies. cov.py, frame.py, icp.py, pc.py, registration.py, and thang.py. The overall structure of our program can be seen in the diagram below, and is further elaborated following the diagram.



### 3.1 cov\_tree.py

This file contains the CovTreeNode class which is the class we used to represent Covariance Tree Functions. This class has the following fields:

1. things: a 3x3 matrix used to represent the rotation
2. n\_things: an integer used to represent the number of things
3. F : the frame of the covariance tree node
4. UB: The upper bound of the covariance tree node
5. LB: The lower bound of the covariance tree node
6. left: The left subtree of the covariance tree node
7. right: The right subtree of the covariance tree node
8. have\_subtrees: A boolean representing whether or not the covariance tree node has subtrees

In this file there is the constructor and methods compute\_cov\_bounds, compute\_cov\_frame, construct\_subtrees, split\_sort, find\_closest\_point, and update\_closest.

1. The constructor takes in parameters ts, representing the triangles of the covariance tree node, and n\_t, the number of triangles in the covariance tree node. It initializes the fields above, and does with help from the methods compute\_cov\_frame and construct\_subtrees.
2. compute\_cov\_bounds is the method for computing the covariance bounds of a node. It takes in parameters ts, representing the triangles of the covariance tree node, and n\_t, the number of triangles in the covariance tree node, and returns UB, the upper bound of the covariance tree node, and LB, the lower bound of the covariance tree node. To compute the minimum and maximum bounds of the triangle points, we iterate through the triangles and call the method enlarge\_bounds.
3. compute\_cov\_frame is a method for computing the covariance frame of a node. It takes in the parameter ts, the triangles of the covariance tree node. To find the frame, we compute a covariance matrix  $A$  by centering the triangles. We then perform SVD resulting in vectors  $\vec{u}$  and  $\vec{v}_t$ , and validate the result by checking the determinant to account for any potential issues. Lastly, we return our newly computed frame.
4. construct\_subtrees is a method for constructing the subtrees of a node. It takes in parameters, n\_t, the number of triangles in the covariance tree node, min\_count, the minimum number of triangles in a subtree, and min\_diag, the minimum diagonal of a subtree. This function then returns the left and right subtrees of the covariance tree node.
5. split\_sort is a method for dividing the triangles of a node into two subtrees. Then transforming the triangle corners into the frame of the node, we return the left and right subtrees.
6. find\_closest\_point is the method for finding the closest point to a given point where the parameters are v, the point to find the closest point to, bound, the current closest distance, and closest, the current closest point.
7. update\_closest is the method for updating the closest point to a given point.

### 3.2 frame.py

this file was created in programming assignment 1 and contains the frame class. The functions of the class are `compose_frame`, `compose_transform`, and `invert`.

1. `compose_frame` is called on a frame and takes in another frame as the additional argument. It then computes a frame composition and returns the final frame.
2. `compose_transform` is also called on a frame and takes in a point set as the additional argument. The function computes a frame transform and returns the corresponding points.
3. `invert` is called on a frame and performs the frame inversion calculations. The final resulting frame is returned.

### 3.3 icp.py

this file contains the method (and corresponding helper methods) to our ICP algorithm. We have `find_rigid_body_pose`, `find_sample_points`, `find_closest_point`, `find_closest_point_triangle`, `project_on_segment`, `find_euclidian_distance`, and, of course, `ICP_linear`.

1. `find_rigid_body_pose` is, as the name suggests, the method for finding the rigid body pose given arguments `a_frames`, the xyz coordinates of A, `m`, body LED markers in tracker coordinates, `b_frames`, the xyz coordinates of B body LED markers in tracker coordinates, `a_tip`, the xyz coordinates of the tip in tracker coordinates, `a_leds`, the xyz coordinates of A body LED markers in body coordinates, and `b_leds`, the xyz coordinates of B body LED markers in body coordinates. After iterating through the frames and finding the pose, we return `d_k_cloud`, the xyz coordinates of the pointer tip with respect to rigid body B. This method calls the registration method and the `compose_transform` method.
2. `find_sample_points` is a method for finding sample points to match to the surface mesh given `F_reg`, the frame transformation of the surface mesh from the pointer tip, and `d_k`, the xyz coordinates of the tip with respect to rigid body B. This method returns `sample_points`, which are the xyz coordinates of sample points estimated to be on the surface mesh. This method calls the `compose_transform` method.
3. `find_closest_point` is a method for finding the closest point on the surface mesh. It takes in parameters `mesh_vertices`, the xyz coordinates of the vertices of the surface mesh, `indices`, the indices of the vertices of the surface mesh, and `s_k`, the xyz coordinates of the sample points. After calculating the closest point, this method returns `c_min`, the xyz coordinates of the closest point on the surface mesh. This method also calls the methods `find_closest_point_triangle` and `find_euclidian_distance`.
4. `find_closest_point_triangle` is the method for finding the closest point on a triangle given arguments `vertices`, the xyz coordinates of the vertices of the triangle, and `s_k`, the xyz coordinates of the sample points. It returns `c` the xyz coordinates of the closest point on the triangle. This method also calls the helper method `project_on_segment`.
5. `project_on_segment` is the method for projecting a point on a segment given arguments `c`, the xyz coordinates of the point to be projected, `p`, the xyz coordinates of the first point on the segment, and `q`, the xyz coordinates of the second point on the segment. This then returns the xyz coordinates of the projected point.
6. `find_euclidian_distance` is the method for finding the euclidian distance between the sample points and the surface mesh given `c_k`, the xyz coordinates on the surface mesh found from `F_reg * d_k`, and `d_k`, the xyz coordinates of the tip with respect to rigid body B. This then returns `mag_dif`, the euclidian distance between the sample points and the surface mesh.

7. `ICP_linear` is our method for finding the rigid body pose using ICP. It takes in `mesh_vertices`, the xyz coordinates of the vertices of the surface mesh, `indices`, the indices of the vertices of the surface mesh, `a_frames`, the xyz coordinates of A body LED markers in tracker coordinates, `b_frames`, the xyz coordinates of B body LED markers in tracker coordinates, `a_tip`, the xyz coordinates of the tip in tracker coordinates, `a_leds`, the xyz coordinates of A body LED markers in body coordinates, `b_leds`, the xyz coordinates of B body LED markers in body coordinates. This then returns the xyz coordinates of the pointer tip with respect to rigid body B. This method makes calls to helper methods `find_rigid_body_pose`, `find_sample_points`, `find_closest_point`, and `find_euclidian_distance`.

### 3.4 `pc_io.py`

This file contains all of the methods to read from the input files and to write to the output files. We have the methods `import_rigid_body`, `import_surface_mesh`, `import_sample_readings`, and `output_pa34`.

1. `import_rigid_body` is the method for importing the rigid body design data. It takes in the parameter `fName`, which is the name of the data file, and, after reading the file, returns the point clouds representing the xyz coordinates of the marker LEDs in body coordinates, and the xyz coordinate of the tip in body coordinates.
2. `import_surface_mesh` is our method for importing body surface definition data. It also takes in the argument `fName`, which is the name of the data file, and after reading the file, returns the point clouds representing the xyz coordinates of vertices in CT coordinates and the xyz coordinates of the triangle indices.
3. `import_sample_readings` is our method for importing sample readings. It takes in the arguments `fName`, the name of the data file, `Na`, the number of A markers, and `Nb`, the number of B markers. After reading the file, it returns the point clouds representing frames of xyz coordinates of A body LED markers, B body LED markers, and `D` (unneeded) body LED markers.
4. `output_pa34` is our method for outputting PA34 data, and takes in parameters: `output_dir`, the directory to output the data, `name`, the name of the data output file, `cs`, the xyz coordinates on the surface mesh found from  $F_{reg} * d_k$ , `ds`, the xyz coordinates of the tip with respect to rigid body B, and `mag_dif`, the magnitude of the difference between the tip in CT coordinates and the tip in DCS coordinates.

### 3.5 `registration.py`

This file contains the registration function for our registration algorithm from assignment 1, `registration()`.

1. `registration` is our method performing a non-Iterative registration, employing Arun, Huang, and Blostein's algorithm. It takes in arguments `A` and `B`, the point cloud and the point cloud to be mapped to, respectively, and returns `Frame`, the point cloud transformation for the two point cloud inputs.

### 3.6 `thang.py`

This file contains the `thang` class, which is the class used to represent triangles in 3D space. This class has the field `corners`, a 3x3 matrix representation of the corners of the triangle. In addition to the constructor, it has the methods, `sort_point`, `closest_point_to`, `enlarge_bounds`, `bounding_box`, and `may_be_in_bounds`.

1. `sort_point` is the method for sorting the points of the triangle. Taking no parameters, this function returns one corner of the triangle.
2. `closest_point_to` is the method for finding the closest point on the triangle to a given point. It takes in the parameter `point`, which is the point to find the closest point on the triangle to. It calls the function `find_closest_point_triangle` from `icp.py`, and returns the resulting closest point on the triangle to the given point.
3. `enlarge_bounds` is a method for finding the bounding box of the triangle. It takes the parameters `frame`, the frame to be composed with, `LB`, the lower bound of the bounding box, and `UB`, the upper bound of the bounding box. This function then returns `LB`, the lower bound of the bounding box, and `UB`, the upper bound of the bounding box. It also calls the functions `invert` and `compose_transform`.
4. `bounding_box` is the method for finding the bounding box of the triangle. It takes in `frame`, the frame to be composed with, as an argument. It calls the function `enlarge_bounds` and then returns `LB`, the lower bound of the bounding box, and `UB`, the upper bound of the bounding box.
5. `may_be_in_bounds` is the method for checking if the triangle is in the bounding box. It takes in parameters `frame`, the frame to be composed with, `LB`, the lower bound of the bounding box, and `UB`, the upper bound of the bounding box. It calls the functions `invert` and `compose_transform` and eventually returns a boolean representing whether the triangle is in the bounding box.

### 3.7 `pa_three.py`

This file is our file that essentially runs the program. It contains our main method, the method `simple_ICP`, and the method `efficient_ICP`.

1. `main` is our main method for PA3 that runs our simple and efficient ICP algorithms. It takes in the parameters `data_dir`, the directory of the data files, `sample_readings.type`, the name of the sample readings file, `output_dir`, the directory to output the data, and `name`, the name of the data output file. This main method calls our other methods from `pc_io.py`, `import_surface_mesh`, `import_sample_readings`, and `output_pa34`, and also calls methods `simple_ICP`, and `efficient_ICP`.
2. `simple_ICP` is our method for performing a simple ICP. It takes in parameters `a_read`, the readings from the first rigid body, `b_read`, the readings from the second rigid body, `a_tip`, the tip of the first rigid body, `a_leds`, the LEDs of the first rigid body, `b_leds`, the LEDs of the second rigid body, `vertices`, the vertices of the surface mesh, and `indices`, the indices of the surface mesh. This function calls the `ICP_linear` function from `icp.py`, and returns the resulting `d_ks`, the points on the surface mesh, `c_ks`, the points on the rigid body, and `mag_dif`, the magnitude of the difference between the points.
3. `efficient_ICP` is our method for performing an efficient ICP. It takes in parameters `a_read`, the readings from the first rigid body, `b_read`, the readings from the second rigid body, `a_tip`, the tip of the first rigid body, `a_leds`, the LEDs of the first rigid body, `b_leds`, the LEDs of the second rigid body, `vertices`, the vertices of the surface mesh, and `indices`, the indices of the surface mesh. This function calls `find_rigid_body_pose` from `icp.py`, the `thang` constructor, the `CovTreeNode` constructor, `find_closest_point` from `cov_tree.py`, and `find_euclidian_distance` from `icp.py`. It returns the resulting `d_ks`, the points on the surface mesh, `c_ks`, the points on the rigid body, and `mag_dif`, the magnitude of the difference between the points.

## 4 Verification

We compared our output to the debug output to verify our program. Our results were nearly exactly the same as the debugging output, with occasional micrometer differences that are negligible. Moreover, between our two algorithms, the results were identical. In our main method, we added a print statement that computed and logged the MSE between the simple and efficient ICP output coordinates, and the MSE was always 0. We also created unit tests to verify our algorithms.

To verify our code, we create a test folder using pytest in order to complete unit testing on our common functions.

For testing registration, we used a simple frame generator to compute a transformed point set from a simple set. We check if our registration algorithm can find the original frame transform from the transformed and original point sets.

For testing the covariance tree methods, we create a simple cubic arrangement of vertices around the origin and selected points to create a mesh through which we could easily calculate a centered frame, bounds, a closest point, and an updated closest point. We implemented these methods after working out the simple calculations on paper to test if the methods work as they should. Specifically, we tested the *compute\_cov\_bounds*, *compute\_cov\_frame*, *find\_closest\_point*, and *update\_closest\_method*.

Similar to our testing for the covariance tree, we tested our Thang object by finding the expected output of each method given a simple mesh. We tested all methods inside the Thang class.

Finally, to test our simple ICP implementation, we created a sample mesh of vertices in the shape of a pyramid and used an array of indices to pull triangles that formed a bottomless pyramid from the vertices. We tested all of the ICP implementation methods with the sample calculations pull from this pyramid.

## 5 Results

All of our results can be found in the OUTPUT directory in the subdirectory PA3. An overview of our results are as follows:

### 5.1 pa3-A-output-1.txt

| $d_x, d_y, d_z$      | $c_x, c_y, c_z$      | $ \vec{d}_k - \vec{c}_k $ |
|----------------------|----------------------|---------------------------|
| 5.76 19.75 -3.02     | 5.76 19.75 -3.02     | 0.002                     |
| 17.91 25.33 -18.84   | 17.91 25.32 -18.84   | 0.004                     |
| -39.30 -22.33 -30.75 | -39.30 -22.33 -30.75 | 0.004                     |
| -9.83 -13.44 -1.22   | -9.83 -13.44 -1.22   | 0.000                     |
| $\vdots$             | $\vdots$             | $\vdots$                  |

### 5.2 pa3-B-output-1.txt

| $d_x, d_y, d_z$      | $c_x, c_y, c_z$      | $ \vec{d}_k - \vec{c}_k $ |
|----------------------|----------------------|---------------------------|
| 11.16 19.13 -26.09   | 11.24 19.11 -26.08   | 0.085                     |
| -29.93 -33.23 -31.16 | -28.97 -30.95 -30.73 | 2.516                     |
| -8.33 2.92 31.07     | -7.64 3.03 30.92     | 0.717                     |
| 1.16 11.02 -8.30     | 1.18 10.95 -8.27     | 0.083                     |
| $\vdots$             | $\vdots$             | $\vdots$                  |

5.3 pa3-C-output-1.txt

| $d_x, d_y, d_z$     | $c_x, c_y, c_z$     | $ \vec{d}_k - \vec{c}_k $ |
|---------------------|---------------------|---------------------------|
| -6.63 -11.23 -45.62 | -7.42 -11.13 -44.72 | 1.202                     |
| 1.27 20.51 40.69    | 1.27 20.57 40.70    | 0.061                     |
| 9.17 -6.25 41.39    | 9.21 -6.57 41.38    | 0.324                     |
| 33.32 10.74 -22.81  | 33.24 10.72 -22.77  | 0.089                     |
| $\vdots$            | $\vdots$            | $\vdots$                  |

5.4 pa3-D-output-1.txt

| $d_x, d_y, d_z$      | $c_x, c_y, c_z$      | $ \vec{d}_k - \vec{c}_k $ |
|----------------------|----------------------|---------------------------|
| -26.32 -16.09 -49.89 | -25.76 -15.84 -47.78 | 2.204                     |
| 33.13 14.14 -13.38   | 34.70 14.86 -13.98   | 1.821                     |
| 31.72 5.51 -25.26    | 32.30 5.47 -25.60    | 0.672                     |
| 2.86 -10.65 14.82    | 2.85 -10.60 14.81    | 0.056                     |
| $\vdots$             | $\vdots$             | $\vdots$                  |

5.5 pa3-E-output-1.txt

| $d_x, d_y, d_z$      | $c_x, c_y, c_z$      | $ \vec{d}_k - \vec{c}_k $ |
|----------------------|----------------------|---------------------------|
| 17.66 10.82 54.13    | 21.14 12.03 54.32    | 3.690                     |
| -32.65 -30.13 -39.43 | -30.96 -27.18 -37.64 | 3.847                     |
| -17.78 -19.37 -49.06 | -18.09 -18.82 -47.17 | 1.998                     |
| -31.03 6.14 -36.12   | -31.28 6.59 -36.34   | 0.557                     |
| $\vdots$             | $\vdots$             | $\vdots$                  |

5.6 pa3-F-output-1.txt

| $d_x, d_y, d_z$      | $c_x, c_y, c_z$      | $ \vec{d}_k - \vec{c}_k $ |
|----------------------|----------------------|---------------------------|
| -33.94 -28.71 -20.34 | -33.42 -28.06 -20.53 | 0.855                     |
| 4.31 -20.12 -14.89   | 3.13 -18.04 -14.91   | 2.388                     |
| -6.38 -5.71 33.41    | -5.16 -4.61 32.81    | 1.746                     |
| -9.27 -29.37 -38.64  | -10.82 -27.30 -37.41 | 2.859                     |
| $\vdots$             | $\vdots$             | $\vdots$                  |

5.7 pa3-G-output-1.txt

| $d_x, d_y, d_z$    | $c_x, c_y, c_z$    | $ \vec{d}_k - \vec{c}_k $ |
|--------------------|--------------------|---------------------------|
| -13.66 12.38 30.03 | -13.96 11.96 30.24 | 0.558                     |
| 16.01 24.41 8.54   | 16.55 26.04 9.01   | 1.776                     |
| 9.75 15.57 -10.16  | 9.92 15.53 -9.94   | 0.279                     |
| 5.92 -14.03 6.06   | 6.05 -12.46 5.68   | 1.618                     |
| $\vdots$           | $\vdots$           | $\vdots$                  |



5.8 pa3-H-output-1.txt

| $d_x, d_y, d_z$     | $c_x, c_y, c_z$     | $ \vec{d}_k - \vec{c}_k $ |
|---------------------|---------------------|---------------------------|
| 2.52 -13.64 8.64    | 2.83 -11.97 8.47    | 1.716                     |
| -4.31 -12.34 -37.46 | -2.15 -12.27 -38.17 | 2.280                     |
| -35.98 -7.63 -42.14 | -36.66 -7.35 -42.92 | 1.075                     |
| -12.46 -5.14 -43.20 | -10.63 -3.82 -45.43 | 3.172                     |
| $\vdots$            | $\vdots$            | $\vdots$                  |

5.9 pa3-J-output-1.txt

| $d_x, d_y, d_z$   | $c_x, c_y, c_z$    | $ \vec{d}_k - \vec{c}_k $ |
|-------------------|--------------------|---------------------------|
| 21.48 -0.93 49.73 | 20.60 -0.49 49.59  | 0.995                     |
| 25.36 6.04 25.74  | 27.53 5.83 26.43   | 2.289                     |
| 8.03 10.09 -11.71 | 7.98 10.55 -12.00  | 0.554                     |
| -18.63 -7.60 0.56 | -17.11 -7.31 -0.36 | 1.806                     |
| $\vdots$          | $\vdots$           | $\vdots$                  |

6 Discussion

As described in the Verification section, we added print statements to the main to check the MSE between the simple and efficient ICP output coordinates, and the MSE was always 0. In addition to this print statement, we added print statements to check how long our two ICP algorithms took. Theoretically our simple ICP should take longer than our efficient ICP, since our efficient ICP is, well, more efficient. However, it is important to note that this is not always the case. When running our program, we noticed that the efficient ICP is sometimes slower than our simple ICP. We can attribute this discrepancy to the fact that the efficient ICP has to build the covariance tree first, and we include the tree building when we time how long the algorithm takes. Because of this, there are some cases where the efficient ICP can appear to take longer.

7 Contributions

Ilana: created the initial files and structured the program, wrote the frame.py and registration.py files, and worked on README.txt. Wrote the entire report and program structure diagram. Arijit: created cov\_tree.py, pc\_io.py, icp.py, thang.py, pa\_three.py, and worked on the README.txt. Also did program testing.

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