



# **Deep Learning for Detecting Amphoras in Ancient Shipwrecks**

by

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Bachelor Thesis in Computer Science

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## Abstract

Amphoras have great archaeological significance and are often found in ancient shipwrecks. The increasingly abundant visual data and the advent of more advanced deep learning algorithms motivated us to automate the detection of underwater amphoras. We trained a convolutional neural network (CNN) based model called Single Shot Detector (SSD) with a 50-layer Residual Network (ResNet-50) backbone on a very small dataset with only 50 images, and we still managed to achieve a 0.238 MS COCO mean average precision ( $mAP_{coco}$ ) and a 0.503 Pascal VOC mAP@0.5. Underwater amphora detection is a challenging task. We believe future studies can achieve better results by increasing the size and resolution of the dataset, training bleeding-edge object detectors like YOLOv5, and defining 2 separate classes for the head and the body of amphoras. The code and the trained model are available at [https://github.com/tillchen/amphora\\_object\\_detection](https://github.com/tillchen/amphora_object_detection).

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# 1 Introduction

## 1.1 Motivation

### 1.1.1 Relevance of Amphoras

The name *amphora* is derived from the Greek word *amphoreus*, which means "two-handled" [1, 2, 3]. It is the combination of two linguistic roots: *amphi* (on both sides) and *phoreus* (bearer) [1, 2, 3]. Amphoras (or amphorae) were commercially used from 1500 B.C.E. to 500 C.E. to ship products throughout the Mediterranean by the ancient Greek and Roman empires [2, 4].

Amphoras were designed to hold large quantities of liquid (wine, oil, and water) and dry products (grain, preserved fish, and nuts) [2, 5, 6]. Like many measure units that are named after the packages, amphoras were also a semi-standard unit for liquid [2]. The structurally strong egg-like shape and the high volume-to-weight ratio made amphoras very efficient packages [2, 4].

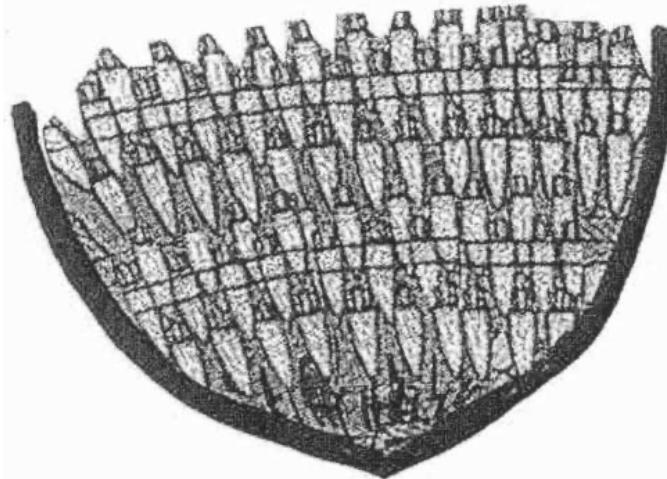


Figure 1: The egg-like shape enabled amphoras to interlock and minimize the waste of space on a ship. Source: [2].

Amphoras' various shapes and stamps - which changed by time, region, producer, contents, and brand identity - were used to identify the package status and the different products inside [2, 4].

Amphoras have great significance in archaeology. They can be used as evidence for the trade patterns throughout the Mediterranean [2]. As they were usually discarded at the trade destination and have been found in shipwrecks, archaeologists use them to recreate the transit routes [2]. Furthermore, researchers can date ruins and shipwrecks by classifying different amphoras [2, 7].

### 1.1.2 Computer Vision for Underwater Archaeology

Computer vision is the science of perceiving and understanding the world through images and videos [8]. There have been multiple exciting applications of computer vision,



Figure 2: Amphoras have various shapes. Source: [4].

including image classification [9], object detection and localization [10, 11], art generation (neural style transfer) [12], image creation with Generative Artificial Networks (GAN) [13], face recognition [14], action and activity recognition [15], human pose estimation [16], and image recommendation system [17].

However, there is still limited research for the application of computer vision and machine learning in archaeology, especially underwater archaeology, compared to other domains [18, 19]. Computer vision, instead of visual inspection, could be used to automate the detection, assessment, and classification of artifacts [18].

Underwater computer vision has proven to be challenging, largely due to: 1) the distortion and attenuation caused by light propagation in water, 2) the unrestricted natural environment with the abundance of marine life and suspended particles, and 3) underwater objects are often broken [19, 20, 21, 22].

Despite the challenges, computer vision has lower costs [20] compared to sonar imagery [23] and laser scanning [24]. Plus, the increasingly abundant visual data obtained through autonomous underwater vehicles (AUVs), unmanned underwater vehicles (UUVs) [21, 25], and seafloor cabled observatories [19] motivate us to utilize deep learning.

Furthermore, the research for deep-water shipwrecks is even more limited, mostly due to the lack of information and accessibility [26]. However, the need to study deep-water sites is in high demand, as the threats to these sites are increasing [26]. One major threat is the new forms of trawling that interfere with the readability by destroying the surface of these sites[26]. This means that many shipwrecks are likely to be damaged before they can be studied [26]. It is thus crucial to implement efficient, accessible, and accurate techniques like deep learning based computer vision to study deep-water shipwrecks.

## 1.2 Deep Learning

Machine learning is the class of algorithms that allow computers to learn and improve from data instead of being explicitly programmed [27, 28]. And deep learning is the subfield of machine learning that builds artificial neural networks with more than one layer between the input and output layers [28, 29, 30]. Deep learning constructs complex representations by combining simpler ones from the previous layers [31].

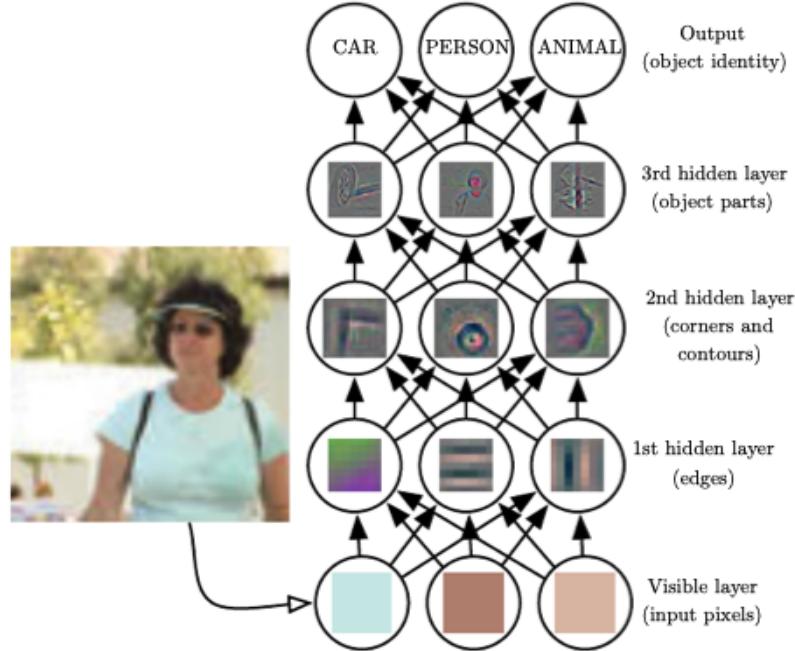


Figure 3: A deep learning system that learns the representations of a person. This is achieved by combining simpler features like corners and contours, which are further expressed by combining simpler features like edges. Source: [31].

### 1.2.1 Artificial Neural Networks (ANNs)

Inspired by the biological neuron, artificial neural networks (ANNs) were first introduced in 1943 using propositional logic [32]. The artificial neuron activates its single binary output when the number of active binary inputs reaches the activation threshold, which enables us to build networks that can perform any logical proposition [28, 32].

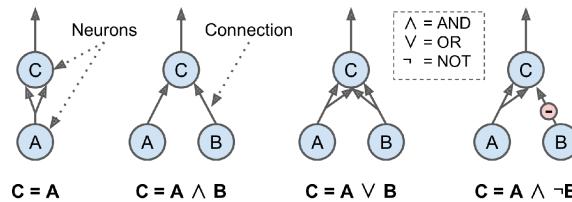


Figure 4: ANNs performing logical computations with 2 as the activation threshold. Source: [28].

Then the Perceptron was introduced in 1957, which is based on a different artificial neuron called threshold logic unit (TLU) or linear threshold unit (LTU) [33]. The input and output are numbers instead of binary values, and each input has a weight. TLU computes the weighted sum of the input and then applies a step function like the Heaviside function  $heaviside(x) = \begin{cases} 0 & x \leq 0 \\ 1 & x \geq 0 \end{cases}$  [28, 33].

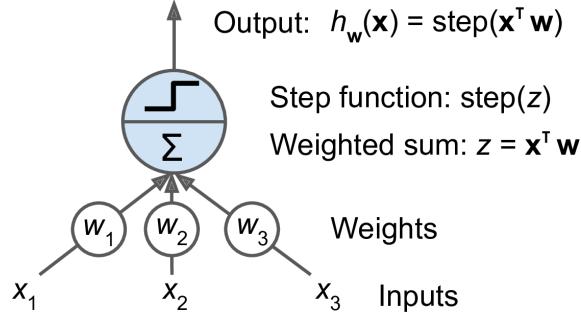


Figure 5: TLU. Source: [28].

A single TLU can be used for simple linear binary classification, while a layer of TLUs plus a bias neuron form a Perceptron capable of multi-output classification [28].

The output of a fully connected layer is computed as follows, where  $\mathbf{X}, \mathbf{W}, \mathbf{b}$ , and  $\phi$  are respectively the input matrix, weight matrix, bias vector, and activation function:

$$h_{\mathbf{W}, \mathbf{b}}(\mathbf{X}) = \phi(\mathbf{X}\mathbf{W} + \mathbf{b})$$

The Perceptron is trained using a variant of the Herbb's rule [34], which is famously summarized as "neurons wire together if they fire together" [35]. However, the Perceptron can not learn complex patterns due to the linear decision boundary of the output neurons, and it can only make predictions based on a hard threshold instead of outputting a class probability [28]. To address these limitations, the Multilayer Perceptron (MLP) was introduced by stacking multiple Perceptrons.

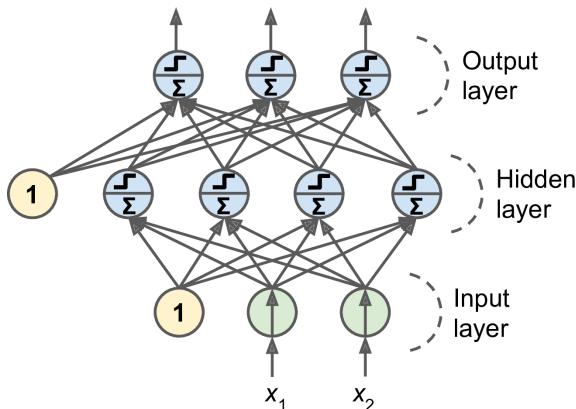


Figure 6: A Multilayer Perceptron with one hidden layer. Source: [28].

Backpropagation [36] is used to train the MLP, which first performs a forward pass to compute the loss, then makes a reverse pass to measure the loss contribution from each connection, and finally reduces the loss by adjusting the weights in the Gradient Descent

[37] step [28]. Activation functions like Rectified Linear Unit  $ReLU(x) = \max(0, x)$  are used to add nonlinearity, which theoretically gives a large enough deep neural network the theoretical ability to approximate any continuous function [28].

### 1.2.2 Convolutional Neural Networks (CNNs)

Convolutional Neural Networks (CNNs) [38] were inspired by the brain's visual cortex, and they have been used in computer vision since the 1980s [28]. We can not simply use a deep neural network with fully connected layers for computer vision, as it breaks down for large images due to the immense number of parameters [28]. CNNs also have successful applications in other domains like recommender systems [39] and natural language processing (NLP) [40].

Hubel et al. [41, 42, 43] found that many biological neurons have a small local receptive field, which means they only fire if the visual stimuli are present in a limited region of the visual field [28]. Some neurons only react to horizontal lines, whereas the others only react to lines oriented differently [28]. Some neurons have larger receptive fields to react to more complex patterns formed by lower-level patterns [28].

The neurons in the first convolutional layer are only connected to pixels in the receptive fields, and those in the next convolutional layer are only connected to the neurons in the receptive fields from the previous layer [28]. This enables CNNs to extract low-level visual features in the first few layers and then combine them into more complex features in the subsequent layers.

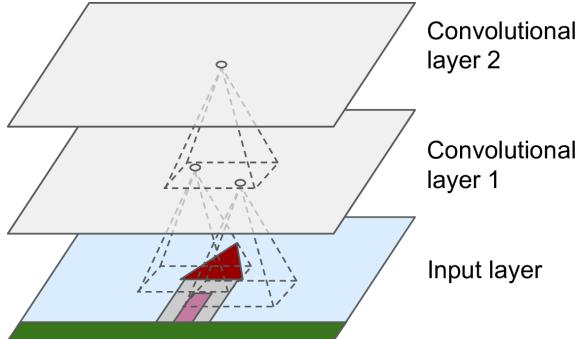


Figure 7: Convolutional layers with rectangular receptive fields. Source: [28].

The filters or convolution kernels, which are learned during training, are neurons' weights that can be presented as small images the size of receptive fields [28]. For example, a dark square with a horizontal white line in the middle (a matrix full of 0s except for the central row with 1s) is a filter that only reacts to the central row in the receptive field. A layer of neurons with the same filter outputs a feature map, which highlights the parts of an image that maximizes the activation of the filter [28].

The pooling layers subsample (i.e. shrink) the input image to reduce the computational load and the number of parameters, which also reduces overfitting [28]. Plus, pooling layers can bring some invariance to small translations, rotations, and scaling [28].

The typical CNN architecture involves the aforementioned convolutional layers, pooling layers, and fully connected layers. Some well-established CNN architectures are LeNet-5

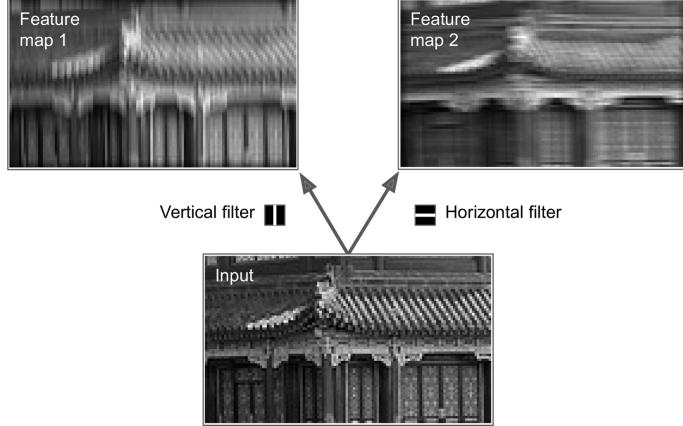


Figure 8: Two feature maps by applying two different filters. Source: [28].

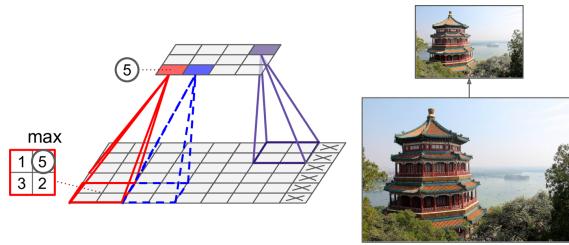


Figure 9: A max pooling layer with  $2 \times 2$  as the kernel size and 2 as the stride. The max value from the receptive field passes to the next layer. Source: [28].

[44], AlexNet [45], GoogLeNet [46], VGGNet [47], ResNet (Residual Network) [48], Xception (Extreme Inception) [49], MobileNet [50, 51], and SENet (Squeeze-and-Excitation Network) [52].

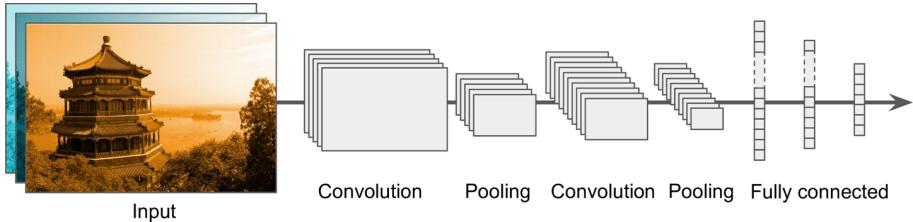


Figure 10: The typical CNN architecture. Source: [28].

Here we give ResNet a more in-depth introduction, as it is the backbone network of the model used in this paper. ResNet introduced skip connections (or shortcut connections), which means the input signal of a layer is also added to the output of a higher-up layer [28, 48]. Skip connections help speed up the training considerably, since: 1) the network preconditions the problem to be the identity function, which is often close to the target function, and 2) the network can start making progress even if some layers have not started learning yet [28, 48]. Batch normalization [53] is used after each convolution, which zero-centers and normalizes each input to reduce the vanishing gradient problem [54] and the need for other regularization techniques like dropout [55]. The global average pooling layer at the end of the network is another type of pooling layer that computes the mean of the entire feature map. Softmax is used in the output layer to ensure that all probabilities add up to 1.

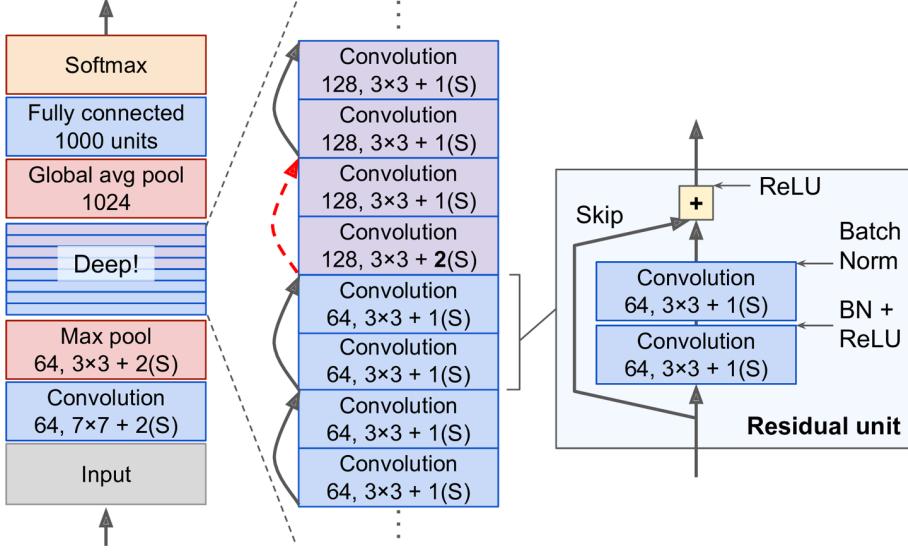


Figure 11: ResNet architecture. Source: [28].

### 1.3 Deep Learning vs. Traditional Computer Vision

The improvements of deep learning algorithms, computing power, image resolution, and the amount of visual data have enabled deep learning to achieve state-of-the-art performance in many computer vision tasks, including image classification, object detection, and semantic segmentation [19, 56, 57].

Traditionally, computer vision requires the manual feature selection and engineering step, which relies on domain knowledge to produce high-quality features [8, 10, 57]. These handcrafted features are further processed by a machine learning classifier like a support vector machine (SVM) [8, 10, 57]. However, manual feature extraction becomes more and more complex as the number of classes increases [57]. Besides, conventional machine learning algorithms' ability to generalize saturates quickly as the size of training data grows [19].

In deep learning, the manual feature extraction step is no longer needed, and neural networks can be trained end-to-end as a feature extractor plus a classifier [8, 57]. Thus, deep learning requires less domain knowledge, and it provides more flexibility as the models can be re-trained with a custom dataset for any specific use case [57].

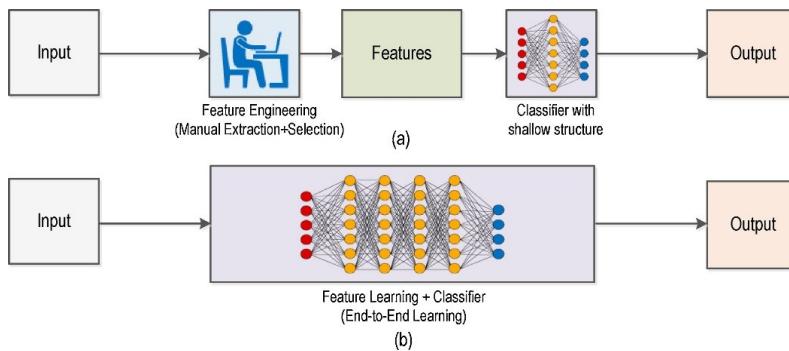


Figure 12: (a) Traditional computer vision workflow. (b) Deep learning workflow. Source: [57].

However, deep learning's performance depends on obtaining large datasets with high image resolution [57]. Some popular public datasets like PASCAL Visual Object Classes (VOC) [58], ImageNet [59], and Microsoft Common Objects in Context (COCO) [60] have respectively 11 thousand, 14 million, and 328 thousand images [11]. Having a highly limited dataset for a task may cause deep learning to have poorer results than traditional computer vision.

## 1.4 Object Detection

Object detection is the computer vision task that localizes and classifies objects in an image [8, 10, 11, 28]. Object detection remains to be one of the most challenging problems in computer vision, as it can be considered as both a regression task (localization by predicting the bounding box) and a classification task (predicting the object class in each bounding box) [8, 61, 28]. Plus, the large variations in viewpoints, poses, occlusions, and lighting conditions add extra difficulties to perform perfect object detection [10, 11].

The traditional sliding window approach is to train a CNN to classify and locate a single object, and then slide it across the image [28, 62, 61, 63]. This approach slides the CNN multiple times with different window sizes to detect objects with various sizes, which causes it to be quite slow [28].

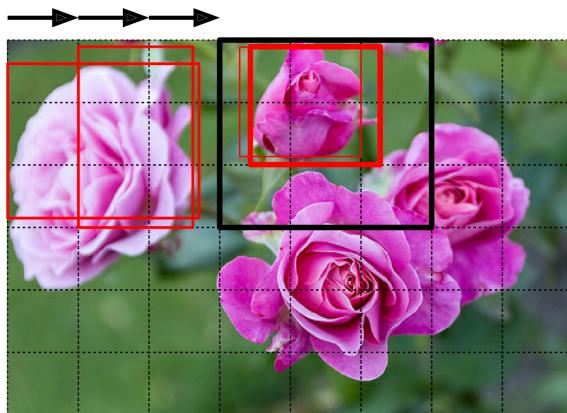


Figure 13: The sliding window approach for object detection. Source: [57].

Luckily, many object detection frameworks based on fully convolutional networks (FCNs) [64] have been introduced. FCNs contain only convolutional layers and pooling layers and only need to process each image once, which means they are faster and the input images can have arbitrary sizes [8, 28, 64]. Here we summarize three well-established and influential object detection framework families: Region-Based Convolutional Neural Networks (R-CNN) [61, 65, 66], Single Shot Detector (SSD) [67], and You Only Look Once (YOLO) [63, 68, 69, 70, 71].

### 1.4.1 General Object Detection Framework Components

Before we dive into specific object detection frameworks, it's worth understanding the four high-level components of general object detection frameworks.

**Region Proposal** The region proposal algorithm finds regions of interest (RoIs) that are then further processed by the model [8]. This is achieved by discarding regions with a low objectness score, which indicates the probability of the region containing an object instead of the background [8].

**Backbone Network** A pre-trained CNN is used as the backbone for feature extraction and performs the bounding-box and class predictions [8]. The bounding-box prediction is the tuple  $(x, y, w, h)$ , where  $x$  and  $y$  are the coordinates of the center and  $w, h$  are the width and the height [8].

**Non-Maximum Suppression (NMS)** The backbone network typically produces multiple overlapping bounding boxes for one object, thus NMS finds the box with the maximum class probability and suppresses the rest [8]. The steps include [8]:

1. Discard boxes with prediction values smaller than the tunable confidence threshold.
2. Select the box with the highest probability.
3. Compute the overlap - intersection over union (IoU) - of the boxes that have the same class prediction. Boxes with high IoU are averaged together.
4. Suppress boxes with an IoU less than the tunable NMS threshold.

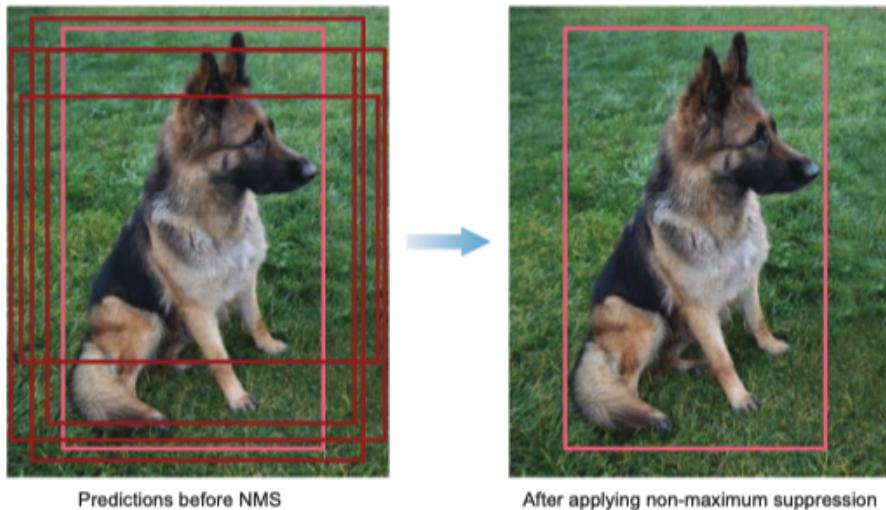


Figure 14: NMS. Source: [8].

**Metrics** The two main metrics for object detection are frames per second (FPS) and mean average precision (mAP) [8, 11, 28, 72]. To understand mAP, we need to understand first the aforementioned intersection over union (IoU) and the precision-recall curve (PR curve). The IoU is also known as the Jaccard index, which is used to measure similarity between two sets [72]. The IoU can be mathematically formulated as follows [8, 72]:

$$IoU = \frac{B_{ground\ truth} \cap B_{prediction}}{B_{ground\ truth} \cup B_{prediction}}$$

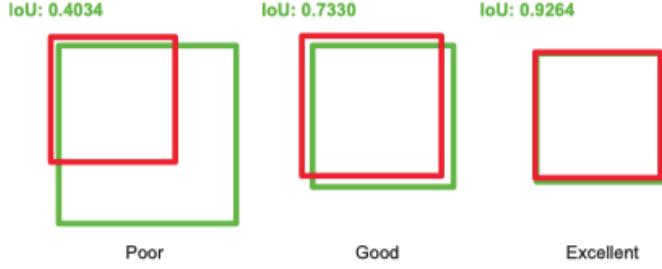


Figure 15: IOU. Source: [8].

We say that a prediction is a true positive (TP) if the predicted class is the same as the ground truth and the IoU value is higher than the tunable threshold, otherwise it is a false positive (FP) [11, 8, 72]. A false negative (FN) is a ground truth that does not have a prediction [72]. Then we can define precision and recall as follows [29, 73]:

$$Precision = \frac{TP}{TP + FP}$$

$$Recall = \frac{TP}{TP + FN}$$

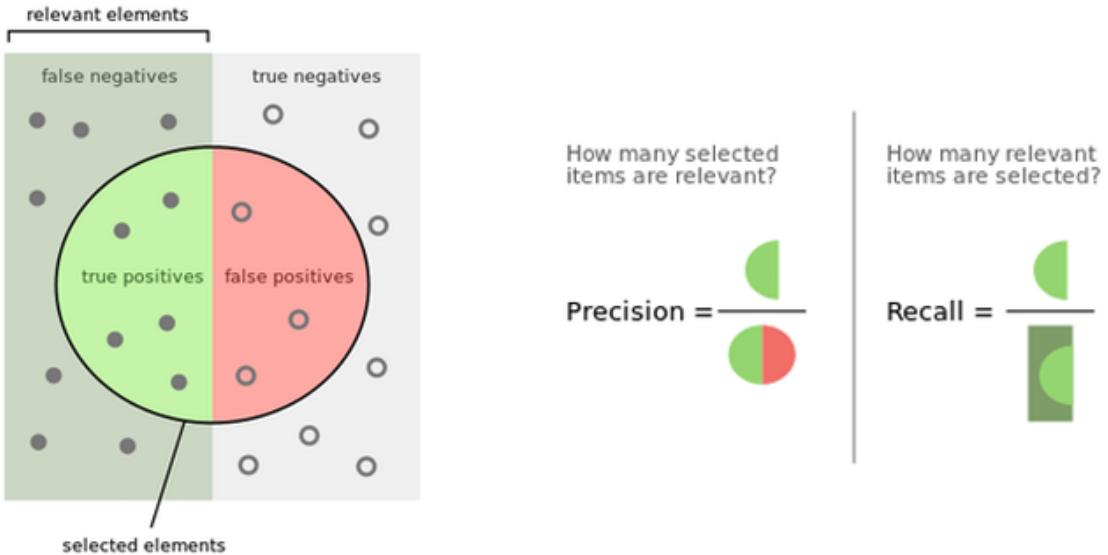


Figure 16: Precision and recall. Source: [74].

There is a trade-off between precision and recall [8, 28, 29, 72]. We can obtain the average precision (AP) by drawing the PR curve and computing the area under the curve (AUC) [8, 72]. Finally, we get the mean average precision (mAP) by averaging the AP over all the classes [8, 28, 72]. The traditional Pascal VOC metric uses mAP@0.5, which means the IoU threshold is 0.5 [11, 58]. The new COCO metric  $mAP_{coco} = mAP@[0.50 : 0.05 : 0.95]$  is averaged over different IoU thresholds from 0.5 to 0.95 in steps of 0.05, which rewards detectors with better localization [11, 75]. Note that AP is sometimes used in the COCO metric to implicitly mean mAP [75], although we use mAP here consistently in this paper. COCO also introduced mAP for different scales:  $mAP^{small}$  for small objects

( $area < 32^2$ ),  $mAP^{medium}$  for medium objects ( $32^2 < area < 96^2$ ), and  $mAP^{big}$  for big objects ( $area > 96^2$ ) [11, 75].

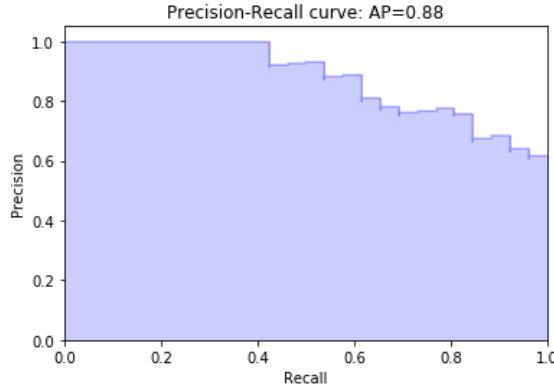


Figure 17: A PR curve with a 0.88 AUC/AP. Source: [72].

#### 1.4.2 Region-Based Convolutional Neural Networks (R-CNN)

The evolution from the original R-CNN [61] to Fast R-CNN [65] and then to Faster R-CNN [66] builds up the R-CNN family.

**R-CNN** R-CNN has four components [8, 61]:

1. Region proposal with a greedy search algorithm called selective search, which finds Rols by combining similar pixels into boxes.
2. A pre-trained CNN as the network backbone.
3. Classification with a linear SVM.
4. Localization with a bounding-box regressor.

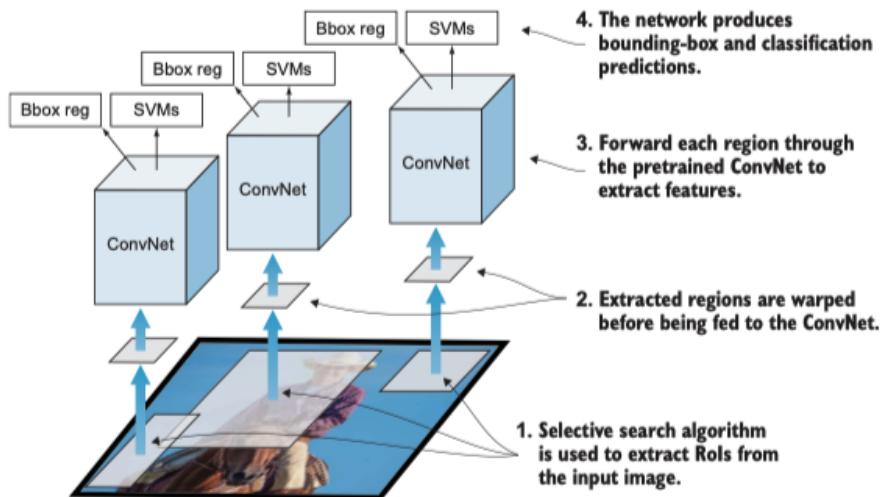


Figure 18: R-CNN. Source: [8].

R-CNN has the following disadvantages [8, 61, 65]:

- The FPS is very low. The selective search algorithm proposes about 2000 Rols, which is computationally expensive as the CNN has to process each proposal separately.
- The training is multi-stage, inelegant, expensive, and not end-to-end. It involves training three components separately: the CNN, the SVM, and the bounding-box regressor.

**Fast R-CNN** Fast R-CNN makes the following changes from R-CNN [8, 65]:

- The CNN goes before region proposal instead of after, so that the image only goes through the CNN once instead of 2000 Rols going through the CNN separately.
- Classification is performed by the softmax layer of the CNN instead of the SVM. And localization is also an output layer of the CNN.
- A Roi max pooling layer is added after region proposal to reshape the input size for the fully connected layers.
- A multi-task loss function is used.

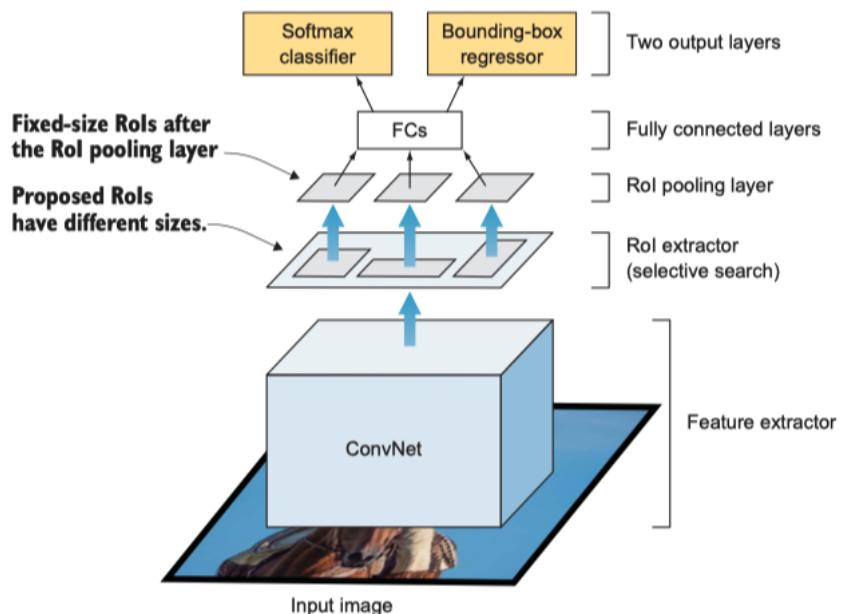


Figure 19: Fast R-CNN. Source: [8].

Fast R-CNN is much faster than R-CNN, although the selective search algorithm still exists as the bottleneck [8, 65, 66].

**Faster R-CNN** Faster R-CNN makes the following improvements from Fast R-CNN [8, 66]:

- Region Proposal Network (RPN) or attention network replaces selective search, which reduces the number of proposals, speeds up the model, and makes the

model training end-to-end. RPN is an FCN that outputs objectness scores and Rols, and it can be used as a standalone network for single-class object detection. It also shares the features with the detection network, which enables region proposal to be nearly cost-free.

- Anchors are introduced as reference boxes at different scales and aspect ratios. Thus the regression layer only needs to output the offsets of the coordinates, width, and height from the anchors. The anchors are created using the sliding-window approach. By default 9 anchors (3 scales and 3 aspect ratios) centered at each sliding window are created for each window.

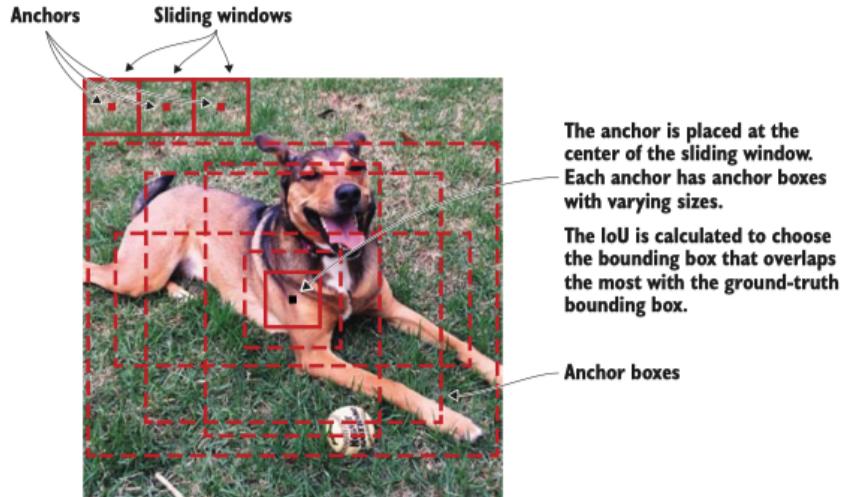


Figure 20: Anchors in Faster R-CNN. Source: [8].

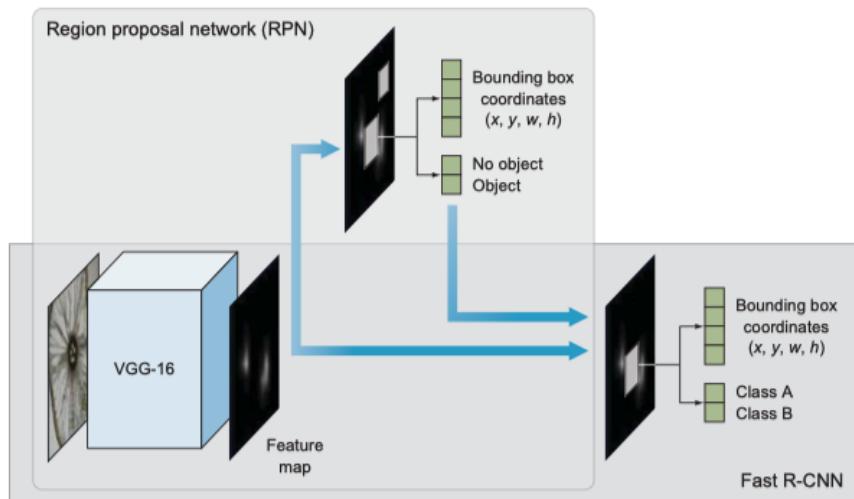


Figure 21: Faster R-CNN. Source: [8].

To summarize, the R-CNN family are two-stage detectors that separate region proposal and detection [8, 11]. They can not achieve real-time detection (only 7 FPS), and they are very computationally intensive [8, 67, 63]. One-stage detectors like Single Shot Detector (SSD) and You Only Look Once (YOLO) skip the region proposal to achieve real-time detection speed [8]. In general, one-stage detectors sacrifices some accuracy for speed [8, 76].

### 1.4.3 Single Shot Detector (SSD)

Single Shot Detector (SSD) makes both the objectness and class probability predictions directly in one shot [8, 67]. It has three main components [8, 67]:

- The backbone network, which is VGG-16 in the original paper. It also uses anchors called priors like in Faster R-CNN. But the network sends the bounding box offsets and the class scores to NMS directly when it finds a bounding box that contains the object features.
- Multi-scale feature layers, which are convolutional layers that decrease in size progressively to detect objects at multiple scales. The resolution of feature maps decreases as the CNN reduces the spatial dimension.
- NMS.

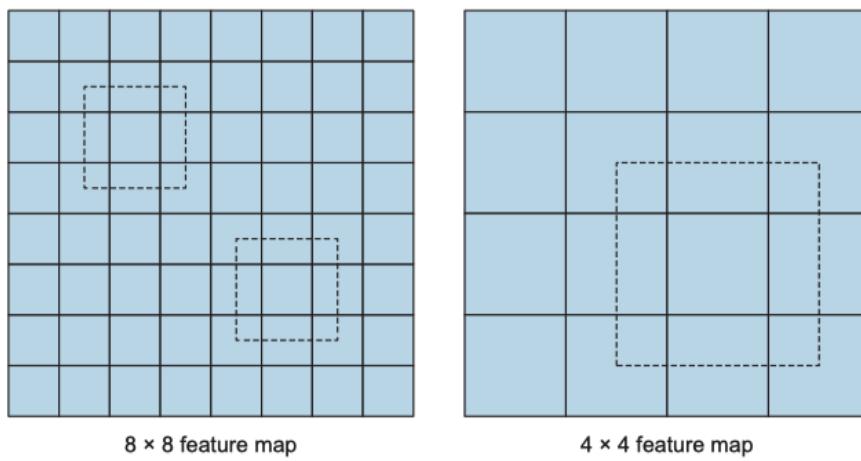


Figure 22: Multi-scale feature maps in SSD. Higher-resolution feature maps (left) detect smaller objects. Lower-resolution feature maps (right) detect bigger objects. Source: [8].

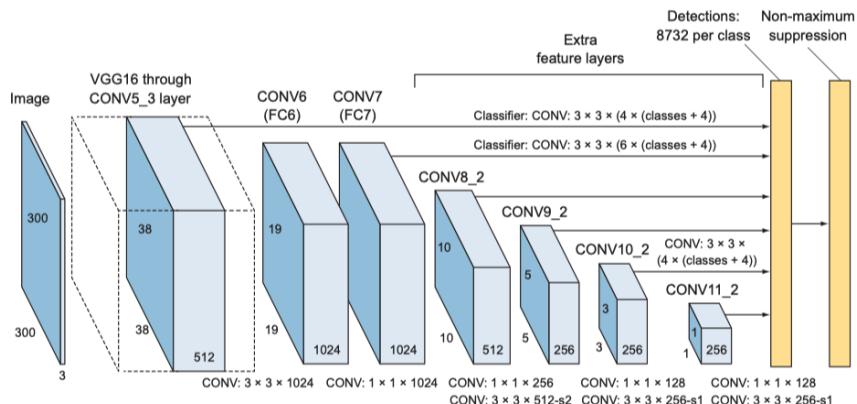


Figure 23: SSD. Source: [8].

#### 1.4.4 You Only Look Once (YOLO)

Like the R-CNN family, the YOLO family has been going through a series of improvements since the original YOLO paper was published. YOLO is also a one-stage real-time detector similar to SSD. YOLOv1 [63] introduces the general architecture; YOLOv2 [68] adds anchors similar to Faster R-CNN and SSD; YOLOv3 [69] further refines the architecture and the training process.

YOLO divides the image into a grid, and a grid cell is responsible for detecting an object if the center of the object is inside the cell [8, 63]. The backbone network is called DarkNet, which is inspired by GoogLeNet [8, 63].

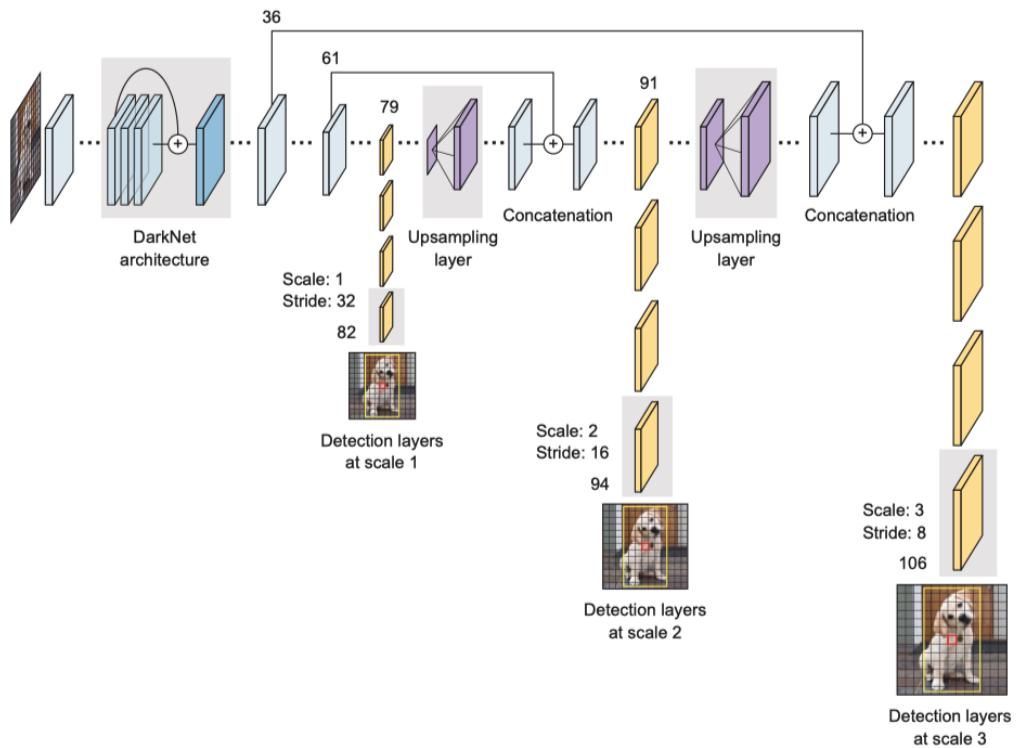


Figure 24: YOLOv3 architecture. YOLO performs detections at 3 different scales. Layer 79 makes a grid of  $13 \times 13$  to detect large objects. Layer 91 makes a grid of  $26 \times 26$  to detect medium objects. And finally layer 106 makes a grid of  $52 \times 52$  to detect small objects. Source: [8].

YOLOv4 [70], a bleeding-edge detector introduced in 2020, utilizes numerous new features to improve the performance from YOLOv3, including DropBlock regularization [77], Mish activation [78], Self-Adversarial Training [70], etc.

YOLOv5 [71] is under active development and the authors have yet to publish a paper.

## 2 Related Work

As we have mentioned in section 1.1.2, there is limited research on the application of deep learning for underwater archaeology and vision-based underwater object detection

in general.

For fish detection, Qin et al. [19, 79], Zhang et al. [80], Villon et al. [81], Xu et al. [82], and Konovalov et al. [83] respectively used Fast R-CNN, a model similar to R-CNN, sliding window with a CNN, YOLOv3, and Xception.

For crab detection, Can et al. [84] proposed a detector called Faster MSSDLite, which is based on SSD with a MobileNetv2 backbone and Feature Pyramid Network (FPN) [85].

For planktons and corals, we have only found research for classification but not object detection [19, 25].

For amphora detection, Pasquet et al. [22, 62] used sliding window with a CNN on high-resolution orthophotos (aerial photos). And this is the only study we have found on amphora detection with deep learning.

### 3 Data and Methods

#### 3.1 Data

The dataset consists of 50 images (294 objects) in the training set and 7 images (31 objects) in the validation set, which maintains the 90%: 10% ratio for the object count. Two additional images were used as the test set. All the images were obtained through various sources [86, 87, 88, 89, 90, 91, 92, 93, 94, 95] and were labeled with labellmg [96].

#### 3.2 Model

Out of the three families of object detection frameworks we have discussed in section 1.4, SSD was chosen due to the following reasons:

- Faster R-CNN is too computationally intensive and too slow for AUVs and UUVs.
- YOLOv5, the state-of-art model of the YOLO family, is under active development and the authors have yet to publish a paper.

The TensorFlow Object Detection API [97, 98] was used. `ssd_resnet_50_fpn_coco` is the model name in the TensorFlow 1 Detection Model Zoo [99]. The backbone network is ResNet-50 (50-layer ResNet) (see section 1.2.2) instead of VGG-16 in the original SSD paper, as it improves the mAP significantly [48]. Similar to the approach from Can et al. [84] for crab detection, Feature Pyramid Network (FPN) was added to improve the detection at different scales [85].

#### 3.3 Model Training

Transfer learning [100] was used as the model was pre-trained on the COCO dataset, which means the weights and biases are restored instead of being randomly initialized and the model does not have to learn the low-level features from scratch [28]. `num_classes`, `batch_size`, and `num_examples` in `eval_config` were adjusted to 1, 8, and

7 respectively in the configuration file, while the rest of fields were unchanged. The images were reshaped to 640 x 640 with the `fixed_shape_resizer`. The activation function is ReLU6, a modified version of ReLU that caps the maximum value at 6 and thus encourages the model to learn sparse features earlier [101]. We used  $L_2$  regularization [102] to reduce overfitting [103]. Data augmentation [45] - a method to artificially enrich the dataset by randomly flipping horizontally and cropping the images - was also used as a regularization technique. We chose the momentum optimizer [104] as it converges faster than Stochastic Gradient Descent [105] by keeping accelerating to the optimum [28]. Learning rate warmup and learning rate scheduling by cosine decay were also added to speed up the convergence, so that the learning rate starts small, increases gradually, and then decreases after reaching the maximum [28, 106, 107, 108]. The model was trained on Google Colaboratory [109] with a GPU runtime, and the training took 2 hours and 40 minutes to reach the peak mAP result at step 8621.

## 4 Evaluation

The main metric  $mAP_{coco}$  (see section 1.4.1) from our model is 0.238, while the traditional mAP@0.5 is 0.503. The documented  $mAP_{coco}$  in the TensorFlow 1 Detection Model Zoo for `ssd_resnet_50_fpn_coco` is 0.35, which was measured on the COCO validation set. Our model's performance is indeed lower than that in the model zoo. And it is somewhat difficult to perform a direct comparison with the result from Pasquet et al. [62], as they did not compute the  $mAP$  and only mentioned that they detected around 90.3 percent of amphoras. However, we can conclude that our model did not detect more than 90.3 percent based on the visual inspection of the validation images in figure 25.

Nevertheless, our model's performance is still impressive due to the following reasons:

- Our dataset is very small. As we have mentioned before in section 1.3, the COCO dataset has 328 thousand images compared to our 57 images plus 2 test images. For Pasquet et al., they split one 38000 x 15000 orthophoto into 400 x 400 images and used 25% of them for training, which means the training set alone was around 890 images.
- Our dataset is more diverse than that of Pasquet et al. Since our dataset images are obtained through numerous sources instead of from a single orthophoto, they reflect better the various shapes of amphoras (see figure 2). Plus, our dataset has both large and medium instances of amphoras that vary significantly in terms of scale. The diversity of our dataset made it harder to achieve a high mAP, although it encouraged the model to generalize better.
- Underwater object detection is more challenging than general object detection performed by the COCO dataset. As we have mentioned before in section 1.1.2, the same challenges also apply to amphora detection. In fact, the undetected amphoras in figure 25 are almost all either broken, or partially buried in sand, or blocked by suspended particles. We only defined one class for all amphoras even if they are broken, while Pasquet et al. defined 2 separate classes for the head and the body to better detect broken instances.
- Our mAP@0.5 score is comparable with that from Xu et al. [82] for fish detection. They achieved a 0.5392 mAP@0.5 using YOLOv3, although they did not compute

the  $mAP_{coco}$ .

We also ran the model on 2 test images shown in figure 26. To our surprise, the model managed to detect the amphora in the first image even though it is so broken that only half of it is present. As for the second image, none of the amphoras was detected. The size of the second image is only 709 x 411, while it has hundreds of amphora instances. This means that they are mostly small instances defined by the COCO metric, which our training set does not include. It is a known issue that small objects are significantly harder to detect than medium and large objects, mainly due to the low resolution and thus the lack of features to learn [110, 111, 112]. Plus, the image can be described as a crowded and densely packed scene, which is also notoriously challenging for object detectors since the objects overlap with each other so strongly that it is even impossible for human experts to differentiate the instances [62, 113, 114, 115]. We tried to split the image and add parts of it to the training set. However, the inter-occlusion of the instances caused many labeling errors, and the resolution of each instance was so low that the training could not converge to a solution.

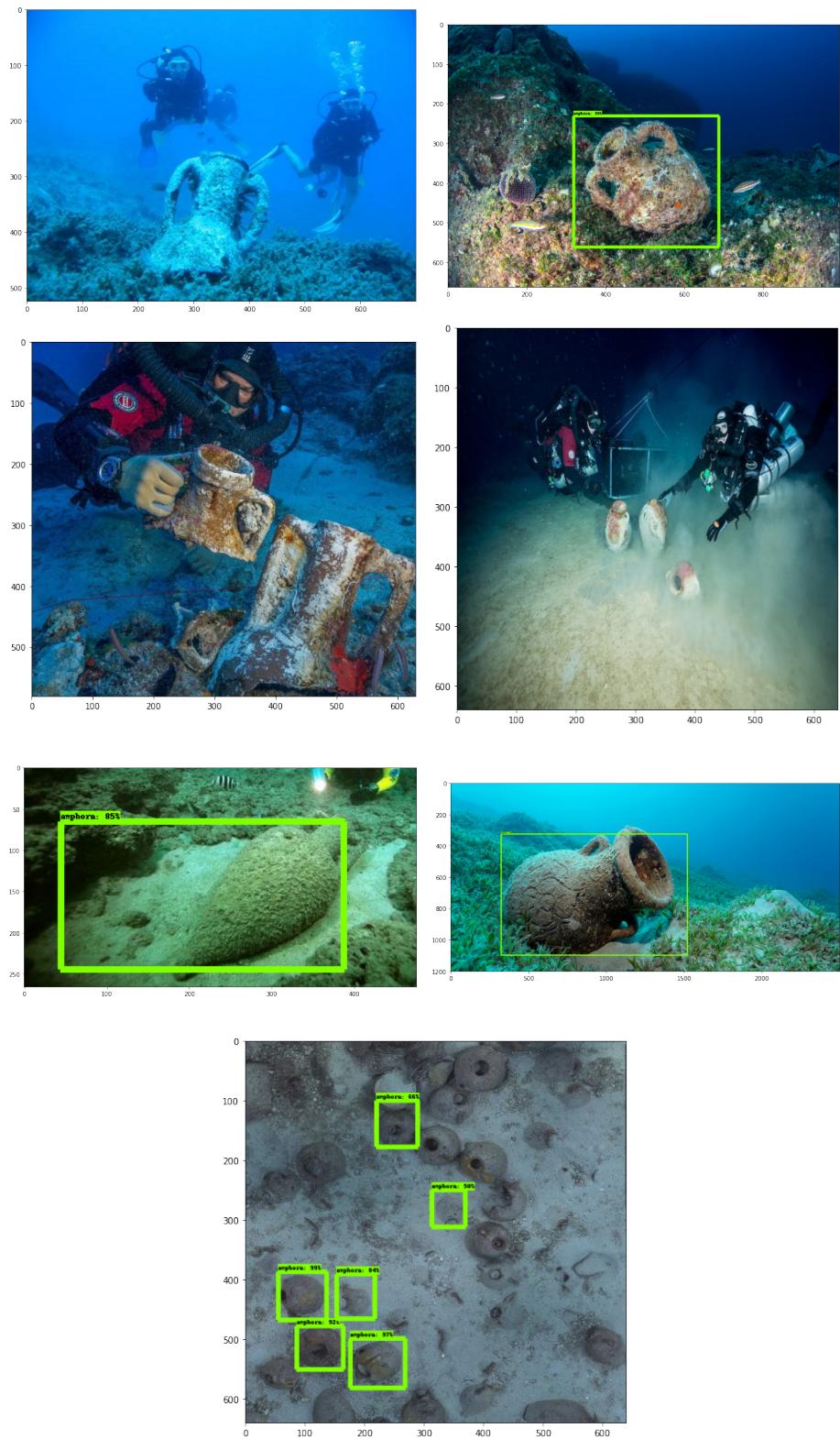


Figure 25: The validation images. Source: [87, 88, 89, 90, 91, 92, 93].

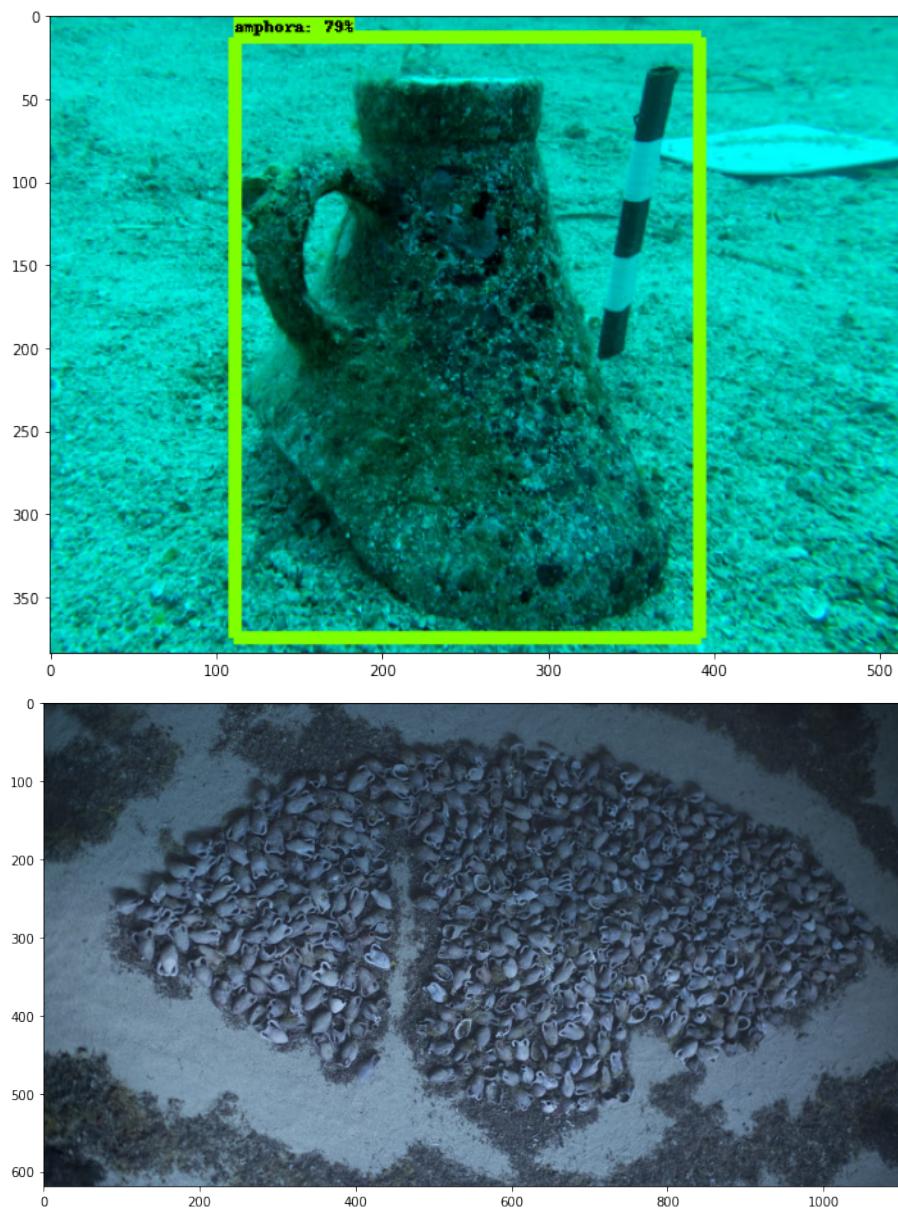


Figure 26: The test images. Source: [94, 95].

## 5 Conclusion and Future Work

In this paper, we reviewed the relevance of amphoras, computer vision’s potential in underwater archaeology, deep learning, and three major object detector families. We then trained an SSD model with a ResNet-50 backbone on a very small and low-resolution dataset, and we still managed to achieve a  $0.238 mAP_{coco}$  and a  $0.5392 mAP@0.5$ . Detecting underwater amphoras is a challenging task, as the object detectors have to overcome the same difficulties posed by general underwater object detection (see section 1.1.2), the considerable variations of the amphoras’ shapes (see figure 2), and the crowdedness of the scenes in some shipwrecks (see figure 26).

We hope this paper can serve as a proof of concept for future studies since there are many aspects to improve upon:

- The small number and the low resolution of the images in our dataset are the bottlenecks towards higher performance. There are indeed very limited publicly available high-resolution images for underwater amphoras [62]. Future studies may focus on obtaining more amphora images through AUVs and UUVs to improve the mAP. Higher resolution images like orthophotos can especially address the issue of detecting small objects [110] and also the issue of detecting overlapping objects by reducing labeling errors. Plus, more data augmentation techniques like randomly change to grayscale and randomly adjust brightness, contrast, and hue can be easily added in the data\_augmentation\_options from the TensorFlow Object Detection API to potentially further enrich the dataset.
- Many bleeding-edge object detectors with better performance are emerging. The SSD model in this study has a  $0.35 mAP_{coco}$  and a 76 ms detection speed on the COCO validation set. YOLOv5 can achieve a  $0.367 mAP_{coco}$  with a mere 2 ms detection speed or a  $0.504 mAP_{coco}$  with a 6.1 ms detection speed for  $640 \times 640$  images, and a  $0.55 mAP_{coco}$  with a 70.8 ms detection speed for  $1280 \times 1280$  images. It will be worth experimenting with YOLOv5 after its authors publish a paper and its active development stabilizes. Some other models in the TensorFlow 2 Detection Model Zoo [116] are also interesting to look into. Note that we used the SSD model in the TensorFlow 1 Detection Model Zoo, as the TensorFlow 2 version requires two scripts to be running to train and evaluate at the same time, and it is not possible to do so on Google Colaboratory. But one with access to GPUs can easily train an EfficientDet [117] D1  $640 \times 640$  model with a  $0.384 mAP_{coco}$  and a 54 ms detection speed or an EfficientDet D7  $1536 \times 1536$  model with a  $0.512 mAP_{coco}$  and a 325 ms detection speed from the TensorFlow 2 Detection Model Zoo. CenterNet [118], a novel keypoint-based detector that models an object as a single center point and regresses to the object size without NMS (see section 1.4.1), is also available in the TensorFlow 2 Detection Model Zoo. CenterNet can achieve a  $0.417 mAP_{coco}$  with a 6 ms detection speed or a  $0.614 mAP_{coco}$  with a 76 ms detection speed for  $512 \times 512$  images, and a  $0.645 mAP_{coco}$  with a 211 ms detection speed for  $1024 \times 1024$  images.
- Following the approach from Pasquet et al. [62], we could try to define 2 separate classes for the head and the body to better detect broken instances.

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