

# Relaxation, percolation, and non-spontaneous fluctuation of linguistic behavior in a quasi-isolated system

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6    analysis

## 7    **Abstract**

8    Linguistic behaviors arise from strongly interacting, non-equilibrium systems. There is a wide range of spatial  
9    and temporal scales that are relevant for analysis of speech. This makes it challenging to study language from  
10   physical perspective. This paper reports on a longitudinal experiment designed to address some of the  
11   challenges. Linguistic and social preference behavior were observed in an ad-hoc social network over time.  
12   Eight people participated in weekly sessions for ten weeks, playing a total of 535 map-navigation games.  
13   Analyses of the degree of order in social and linguistic behavior revealed a global relaxation toward more  
14   ordered states. Fluctuations in linguistic behavior were associated with social preferences and with individual  
15   interactions.

## 16    **1    Introduction**

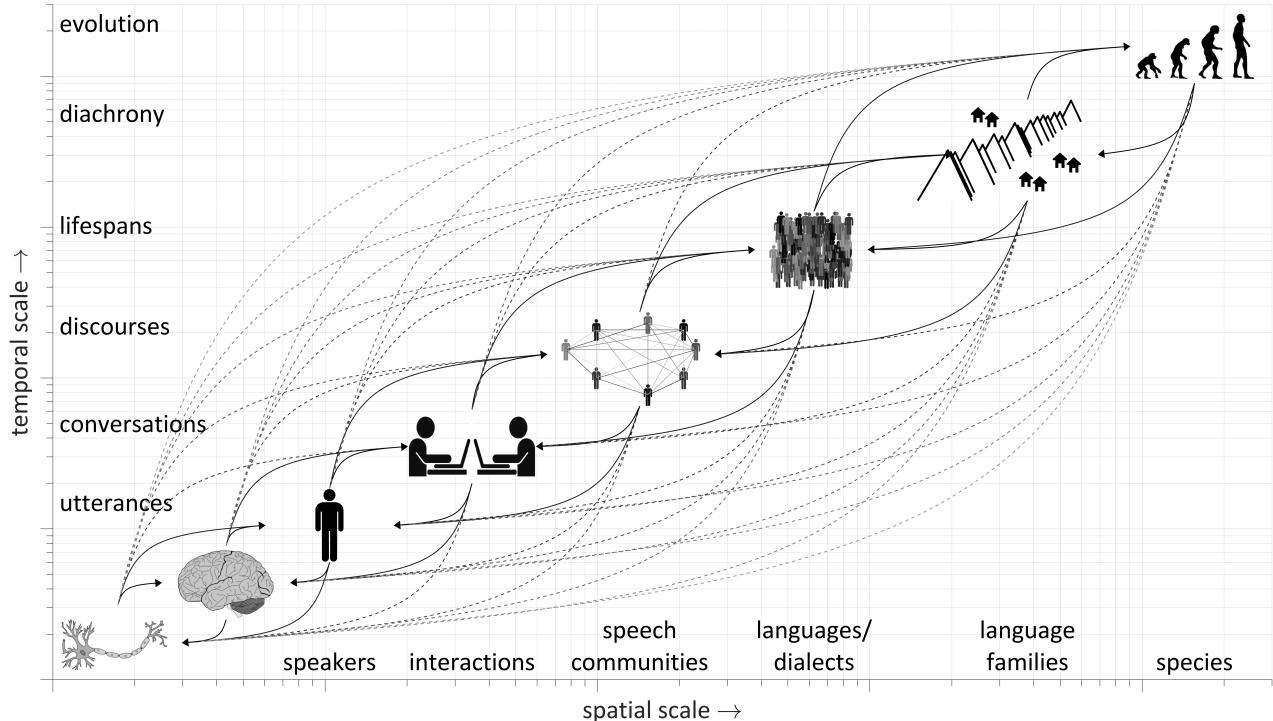
17   The challenge in studying language as a complex system is that our knowledge of the component systems is  
18   limited. Even worse, the surroundings can interact strongly with those components. To make progress, one  
19   has to figure out how to usefully define systems and how to separate them from their surroundings. This  
20   includes constructing explicit state spaces, and attempting to reduce the influence of unobserved external  
21   forces. Efforts to accomplish these things are rarely pursued in linguistic research, and maybe with a good  
22   excuse: speech systems are profoundly complex. The modest aim of this paper is to show ways in which some  
23   of the issues associated with linguistic complexity can be addressed, in an experimental context, by imposing  
24   constraints on behavior.

25   The analyses reported here are primarily concerned with how social and linguistic behavioral states of speakers  
26   evolve over time and over "space," i.e. over a set of speakers. A ten-week longitudinal study was conducted in  
27   which 8 participants played 134 rounds (a total of 535 games) of a dyadic map-navigation task. Various design  
28   decisions were made to increase the degree of "isolation" of the observed system from its surroundings. There  
29   were two main findings. First, it was found that disorder in some of the behavioral systems exhibited an  
30   exponential decay-like pattern over the course of ten weeks. This global relaxation may involve a series of  
31   transitions between steady states. Second, both temporally global and local evidence was found to support  
32   the hypothesis that language change results from a socially modulated accumulation of the effects of  
33   communicative interactions. Linguistic behaviors are shown to percolate through the network of speakers.

## 34    **1.1   The complexity problem in speech**

35   Studying linguistic behavior from a physical perspective is challenging for several reasons. First, the variables  
36   we observe in speech are generated by non-equilibrium, open systems: classical thermodynamic analyses are  
37   not applicable. Second, the range of relevant spatial and temporal scales is large, and speech systems may be

38 strongly coupled to unobserved systems across these scales. Third, analogous to the observer effect (1),  
 39 linguistic systems are often disturbed in the act of observing them. Although these issues cannot be fully  
 40 resolved, being aware of them can lead to methodological innovation. To better appreciate the challenges,  
 41 consider the wide variety of information that is relevant for understanding the speech patterns of an individual  
 42 speaker, illustrated in Figure 1. At any given time and place, our observations are contingent on the states of  
 43 many interacting systems across a wide range of scales. Linguistic observations of speech often index states of  
 44 speaker/utterance scale systems, defined in the phonological domain as sound patterns and in the syntactic  
 45 domain as structures of words and phrases. However, these speaker/utterance scale patterns must emerge  
 46 from the states of smaller-scale systems, i.e. neural populations/circuits responsible for movement and  
 47 perception.



49 Figure 1. The multi-scale nature of speech and bidirectional causality. Horizontal and vertical axes are used to  
 50 indicate the spatial and temporal scales that are commonly used for characterizing the dynamics of systems.  
 51 Labels for spatial and temporal scales are included. Systems across all scales interact.

52 At the same time, individual speaker behavior is better understood when considering patterns on larger scales  
 53 of interactions/conversations and speech communities/discourses, in which the conversational participants,  
 54 goals, and other aspects of context are best defined (2,3). In turn, analyses of conversations and discourses  
 55 are not inseparable from knowledge larger scale organization associated with dialects and languages.  
 56 Remarkably, the analysis of even a single brief speech sounds is not independent of processes which have  
 57 operated on evolutionary timescales (4–6).

58 The general problem can be stated in the following way: the language behaviors we observe on  
 59 speaker/utterance scales are generated by strongly interacting, open, non-equilibrium systems; these systems  
 60 experience forces which are hard to measure, because they are associated with a wide range of scales. An  
 61 appropriate analogy to speech is the unanticipated avalanche in the sandpile model (7,8). An observer who is  
 62 embedded in a system, yet has a spatially or temporally restricted view, may experience an unexpected  
 63 catastrophic change of state (avalanche), due to a small perturbation of the system that occurred elsewhere  
 64 in space or time (a distant shift of a grain). The observer did not have access to the necessary information to

predict the avalanche, or perhaps did not think to include it in their model. Language change seems to be much like this.

The current study is based on an analogy between a group of speakers and a thermodynamic system. The system of speakers may be "quasi-isolated" meaning that steps have been taken to diminish external influences. To flesh this out, consider the "focused" system in Figure 2A. This system is a group of eight individuals, each of whom is a component subsystem. In Figure 2B, each component subsystem is characterized by a high dimensional state space. We examine just a few dimensions of this space by applying dimensionality reduction methods to our observations, which in this case are acoustic speech signals and social preference behaviors. Note that we assume that there are neural systems in the brain which are responsible for generating linguistic/social behaviors. The dimensions we construct from our observations can be viewed as tools to indirectly estimate the states of those neural systems.

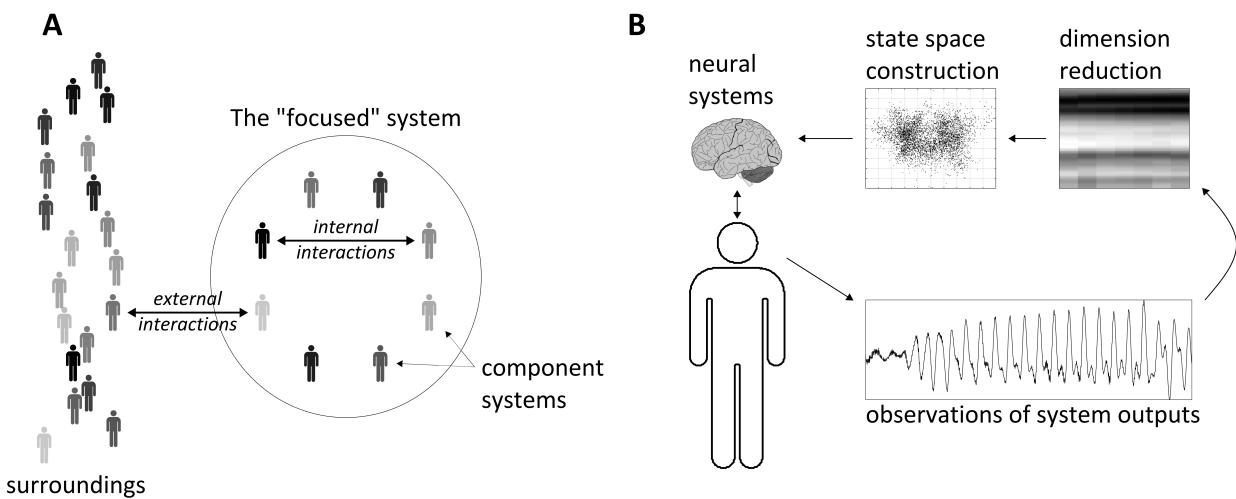


Figure 2. Schematic illustration of the physical system analogy. (A) the focused system is comprised of component systems which may interact with each other and the surroundings. (B) each component system is associated with a state space that we construct through dimensionality reduction methods.

In the analogy, interactions between speakers are energy exchanges. To motivate this, consider a more physically grounded interpretation of communication: when a speaker speaks to a listener, it causes a cascade of energy transfers to occur in the central nervous system of the listener. These transfers begin with pressure waves in cochlear fluid and then a succession of correlated depolarization events in various types of neurons moving from peripheral to cortical areas of the brain. In that sense, any conversational interaction can be viewed as a cause of energy transfer. The energy transfers are also notably associated with local creation of order (i.e. information production/entropy reduction), which begins in the brain of the speaker and ends in the brain of the listener, undergoing many transformations along the way. As shown in Figure 2A, interactions between speakers in our focused system are understood as internal transfers, while interactions with entities outside of the system are external transfers.

To isolate the focused system we need to diminish the influences of external interactions. The current experiment was designed with this goal in mind, and this is the sense in which the system is "quasi-isolated". In other words, the experiment was designed to reduce the influence of uncontrolled events in the daily lives of participants, on behaviors that are observed in the experiment. The quasi-isolation measures that were implemented are far from perfect, but they strengthen our ability to draw inferences about language change.

## 1.2 The interaction accumulation hypothesis

99 How do large-scale patterns in language arise from smaller scale systems? It is well-known that language  
 100 variation exists on a range of geographic scales, and that such variation is statistically associated with various  
 101 historical, contextual, and socioeconomic factors (9–12). There is a wealth of research on these large-scale  
 102 linguistic patterns, i.e. patterns that exist on the scale of geographic regions or the relatively smaller scale of  
 103 speech communities. It is almost universally believed that at least some of the regional/community-level  
 104 variation arises from the cumulative effects of communicative interactions between individuals. Specifically,  
 105 by speaking and listening to each other, a person experiences the linguistic behaviors of others. These  
 106 experiences can lead to changes in their subsequent behavior, which is often referred to as accommodation  
 107 or convergence (13,14). Over time small changes may be replicated and propagate through a community,  
 108 giving rise to a large-scale shifts. All theories of language change assume some form of this idea (e.g. (9,15–  
 109 17)), with the main differences being the extent to which accommodation is automatic or conscious and how  
 110 to conceptualize the role of social factors. For current purposes we refer to the general idea as the *interaction*  
 111 *accumulation hypothesis*. Furthermore, it is commonly held that the effects of interactions may be weighted by  
 112 social attitudes of people; we refer to this more specific idea as *social modulation*.

113  
 114 The interaction accumulation hypothesis is almost certainly correct, but it is quite difficult to test empirically.  
 115 At a minimum, it requires frequent (if not complete) observation of interactions within a system of speakers  
 116 over an extended period of time. Plenty of studies have found evidence for accommodation on short  
 117 timescales, i.e. systematic changes over the course of conversational interactions (13,14,18,19). These  
 118 patterns are classified as convergence when speaker behaviors become more similar, and divergence when  
 119 they become less similar; convergence and divergence have been associated with positive and negative  
 120 attitudes, respectively (14,20). Studies have looked at speech both in spontaneous conversations and in  
 121 contexts with experimental manipulations. An important example of the latter comes from (18), where a  
 122 dyadic "map-task" (21) was used to elicit multiple repetitions of certain lexical items from participants.  
 123 Perceptual similarity judgements showed that words produced by speakers during the task were more similar  
 124 to their partner's productions than words produced before the task. Laboratory studies have also extensively  
 125 investigated the ability of listeners to remember talker-specific linguistic information (22–24), which is a  
 126 prerequisite of the interaction aggregation hypothesis.

127  
 128 How can the interaction-aggregation hypothesis be investigated on temporal scales that are more relevant to  
 129 language/dialect-related change? A number of corpus studies have conducted multi-annual longitudinal  
 130 analyses of speech with the general goal of drawing inferences about language change. Some examples include  
 131 studies which have examined the dynamics of phonetic patterns of U.S. Supreme Court justices (25), the  
 132 Scottish parliament (26), or the Queen's English (27). An obvious issue in such studies is a high degree of non-  
 133 isolation: these studies do not observe or control the system-internal or system-external interactions between  
 134 speakers. Such studies can establish that language patterns change over time, but the inference that changes  
 135 result from cumulative effects of interactions with other speakers are necessarily quite indirect.

136  
 137 The corpus study which has come closest to addressing the issue of non-isolation is a study of speech from the  
 138 British version of the television show "Big Brother" (28,29). In the show a set of contestants are required to  
 139 spend about three months in a house together, never leaving the house, and all the while being recorded on  
 140 video. The study extracted several different phonetic variables from audio/video recordings of contestant  
 141 "diaries", and described how those variables evolved over time for a subset of participants. It is tempting to  
 142 believe that this system (the set of contestants) is well-isolated, i.e. that external influences on behavior are  
 143 minimal. Yet contestants on the show frequently interact with producers and camerapersons, and the  
 144 producers periodically force some contestants to leave the house and bring in new ones; this makes the  
 145 composition of the system time-dependent. Most problematically, from the perspective of testing the  
 146 interaction accumulation hypothesis, is that fact that for logistical reasons the analysis in (29) was restricted  
 147 to "diary room" speech in which contestants speak to "Big Brother", rather than interacting with each other.

148 Any inferences about effects of interactions between contestants must necessarily be indirect, since the  
149 interactions themselves are neither observed nor quantified.

150 **1.3 Rationale for experiment design and analyses**

151 The methodological innovations of this study serve the goals of (i) diminishing unobserved/uncontrolled  
152 influences on behavioral systems and (ii) facilitating use of analysis methods commonly applied to physical  
153 systems. In other words, degree of isolation and statistical power are primary concerns. Many of the efforts to  
154 enhance statistical power derive from the task that participants performed. The experiment consisted of  
155 games in which pairs of people played a map-navigation game, often known as the "map task" (18,21). One  
156 person is the "giver" and has a map with a path on it; the other person is the "receiver" with the same map  
157 but without the path. The goal is for the giver to communicate to the receiver how to draw the path. Here the  
158 maps were visible on laptop screens and the receiver drew the path by clicking on the correct locations in the  
159 correct order. The map task allows the experimenter some control over which lexical items will be observed:  
160 givers will inevitably produce the names of locations which are labeled on the map.  
161

162 The map task as implemented in the current experiment was specifically designed to increase statistical power  
163 by increasing observation counts of target behaviors. First, the paths used in the maps were always lines from  
164 one location to another, as opposed to going around locations. Thus at any given stage of a game, there is one  
165 specific location which the giver must communicate to the receiver. Second, in addition to being labeled with  
166 names, the map locations were shown with a small set of properties (three different colors and shapes, and  
167 two different sizes and textures). Thus givers commonly produce phrases that contain the properties which  
168 relate to the next location. Third, only a small set of location names were used; locations generally must be  
169 distinguished by both their names and properties. Fourth, speakers were not allowed to produce lexical items  
170 which were not immediately relevant to the game. The list of allowed items included the location names,  
171 properties, useful directional terms, and common discourse markers; extensive piloting was conducted to  
172 ensure that the list was adequate. These manipulations all served to increase the number of observations of  
173 certain linguistic behaviors that we analyze below.  
174

175 A variety of efforts were made to increase the degree of isolation of social and linguistic systems. For one, a  
176 small set of novel, unfamiliar location names such as *boc*, *dija*, and *shub* were used in the maps. The systems  
177 associated with these lexical items are relatively more isolated than ones associated with familiar lexical items  
178 like *green* or *up*, because the unfamiliar forms are less likely to be produced or experienced by the participants  
179 outside of the experimental setting. Another important isolation technique involved prohibiting participants  
180 from interacting with each other during experimental sessions, except during gameplay. Of course, it is not  
181 possible to prevent people from interacting outside of the experimental sessions. However, all of participants  
182 selected for the experiment self-reported that they did not know each other prior to the experiment.  
183

184 Finally, the two linguistic behaviors/system states which are analyzed here were selected on the basis of quasi-  
185 isolation and statistical power. One of these relates to the vowels which are produced in the novel location  
186 names. Vowel qualities (i.e. spectral distributions of acoustic energy) are known to vary considerably across  
187 speakers and dialects, and so these are good candidates for testing the interaction accumulation hypothesis.  
188 The other linguistic behavior relates to the syntactic organization of utterances in the game which  
189 communicate the next location on the map path; we call these utterances "instructions". The information that  
190 is communicated in each instruction is stereotyped, yet there is ample variation. Developing ways to  
191 characterize the space of that variation is a novel contribution of this study. For convenience, we refer to the  
192 subsystems associated with these behaviors as "vowel systems" and "syntactic systems".  
193

194 In addition to linguistic behaviors, a form of social preference behavior is analyzed. After each game, all players  
195 privately produced a teammate preference ranking—a ranked ordering of all other players—to indicate who

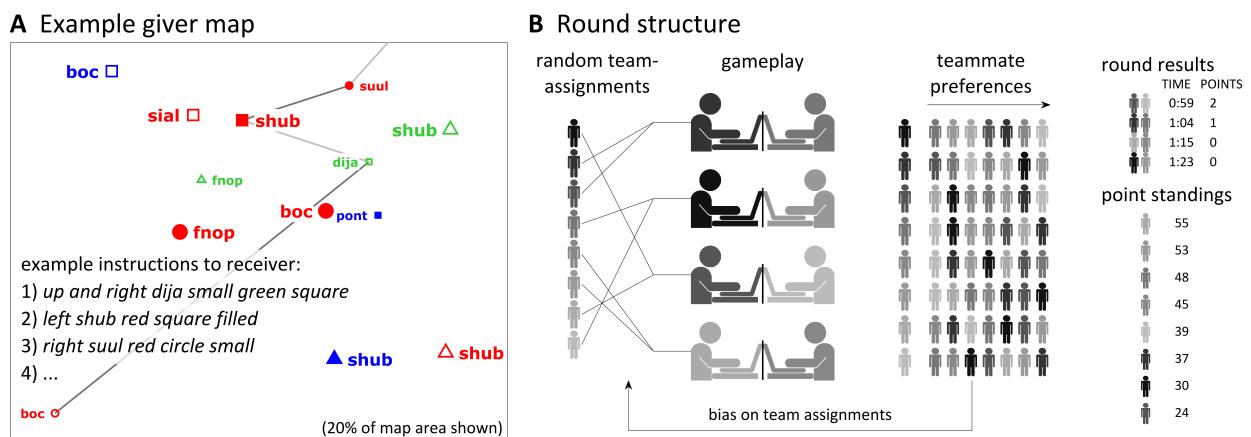
they want to play with in the next round. These rankings are used in analyses to quantify the states of the "social preference systems" that are associated with each participant. Of course, by eliciting teammate preference rankings, we (the observer) have perturbed the social system by drawing the players' attention to this dimension of behavior. This perturbation seems unavoidable if one wants to investigate correlations between social and linguistic behaviors.

## 201 2 Method

### 202 2.1 Experiment Design

203 A longitudinal study was conducted with an ad-hoc group of 8 native English speakers (all college  
 204 freshman/sophomores, 4 females/4 males). The participants played a total of 134 rounds of a two-player  
 205 cooperative map-navigation game over the course of ten weeks, which amounted to 535 games in total. In the  
 206 first 15 minutes of the experiment players were given instructions (see *Supplementary Material: Game*  
 207 *instructions*). Subsequently they repeatedly played the map game. Figure 3A shows part of a map (20% of map  
 208 area, see *Supplementary Material: Map design*) and provides an example of typical giver instructions for the  
 209 first three path segments (twenty locations and thus nineteen total segments were present on each path).  
 210 Players were allowed to say only location names (eight unfamiliar nonwords), location properties (three colors:  
 211 red, green, blue; three shapes: *circle*, *triangle*, *square*; two sizes: *big/large*, *small/little*; two textures: *filled*,  
 212 *unfilled*), and a small set of function words/discourse markers (*up*, *down*, *left*, *right*, *and*, *okay*, etc.—see  
 213 *Supplementary Material: Game Lexicon*).

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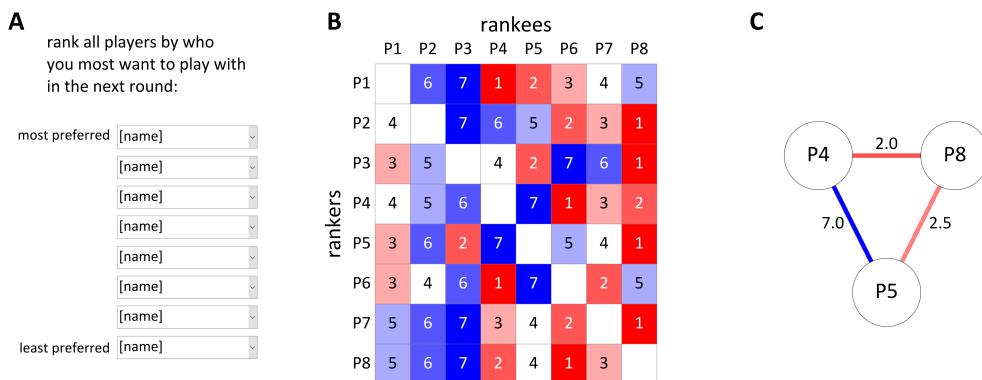
216 Figure 3. Example map and round structure. (A) Giver map with route from starting location. 20% of map area  
 217 is shown. The receiver has an identical map, without the route. Example instructions to receiver for the first  
 218 three path segments are shown. (B) Round structure: each round begins with random team assignments; after  
 219 completing a game, participants produce confidential teammate preference rankings. Points are awarded to  
 220 players on the two fastest teams in the round and cumulative point standings are displayed to all players. The  
 221 teammate preference rankings are used to bias random team assignments in the next round.

222 The structure of each round is schematized in Figure 3B. Rounds began with a random assignment of the eight  
 223 players to four teams. Two teams played the game simultaneously in separate rooms, and the other two teams  
 224 stayed in a waiting room until their turn to play. Immediately after each game, players produced a teammate  
 225 preference ranking: drop-down lists (Figure 4A) were used to order the other seven players according to whom  
 226 they most/least wanted to be on a team with in the next round. They also answered four survey questions (see  
 227 *Supplementary Materials: Surveys*). The teammate preference rankings were used to bias the random team  
 228 assignments in the next round. After all four games in a round had been completed, players were gathered in  
 229 a lobby and the game completion times for each team were presented (Figure 3B, "round results"). Two/one

230 points were awarded to players on the fastest/second fastest teams. Cumulative individual player point  
 231 standings were displayed. Subsequently new teams were randomly generated with the preference ranking  
 232 biases. The entire procedure was iterated for 90 minutes in each of the ten sessions. Additional minor details  
 233 of the design are reported in (30) and in *Supplementary Materials: Design*.

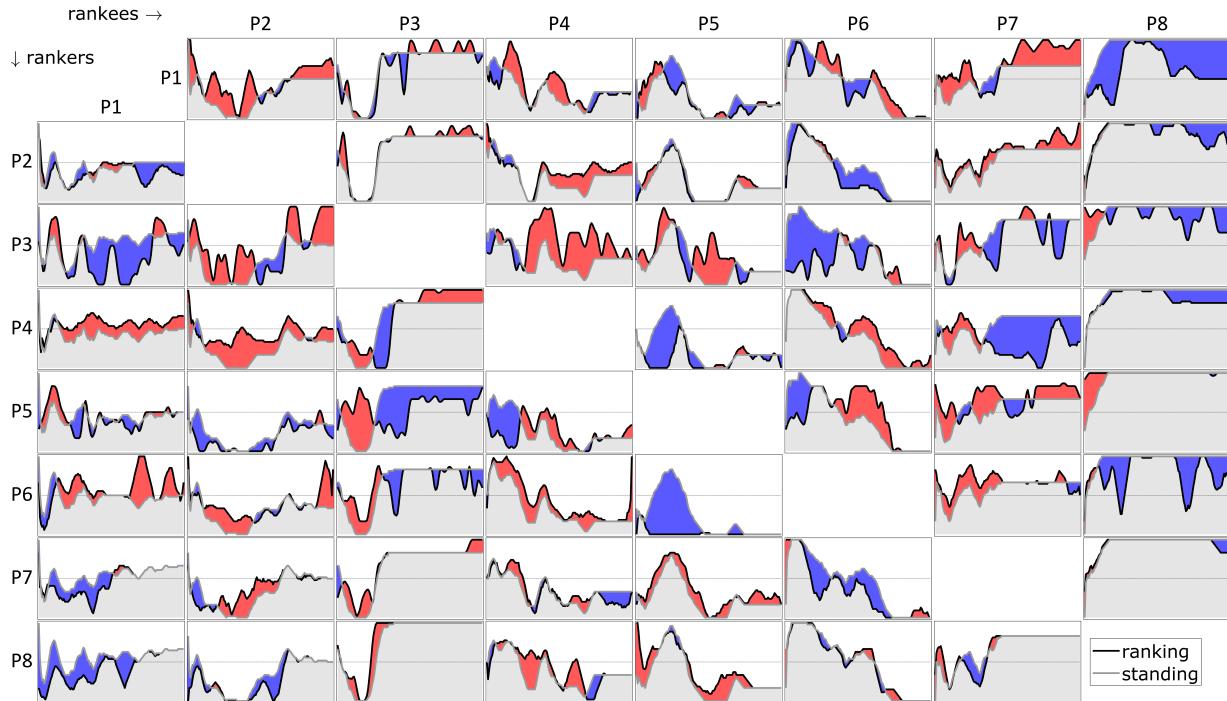
## 234 2.2 Quantification of social system states

235 Teammate preference rankings were used to construct a social distance metric. The social system is  
 236 conceptualized as a fully connected network (graph) of speakers, with bidirectional connections (pairs of  
 237 directed edges). Each edge is associated with a scalar variable that represents a “social distance”; the collection  
 238 of nodes and distances constitutes a state of the social network. As shown in Figure 4A, teammate preferences  
 239 were solicited after each round with the instruction: “rank all players by who you most want to play with in  
 240 the next round”. The rankings were produced by the selection of 7 unique players (excluding the ranker) in  
 241 drop-down lists, arranged vertically on the laptop screen. The initial ordering of player names in each list  
 242 always corresponded to the player point standings (the player with the highest standing was listed first);  
 243 alphabetical ordering was used after games in first round and when there were ties.



245 Figure 4. Example of social distance metric obtained from teammate preference rankings. (A) Players used  
 246 drop-down lists to rank the other players. (B) Asymmetric ranking matrix, values represent teammate  
 247 preference order. (C) Symmetrized social distances for the subnetwork of P4, P5, and P8, derived by averaging  
 248 pairwise asymmetric rankings.

249 For each round an asymmetric ranking matrix is obtained from preference rankings, as in Figure 4B.  
 250 Symmetrized versions of the rankings were calculated by averaging the asymmetric rankings for each player  
 251 pair. An example is shown for a subset of players in Figure 4C. The distances are labeled on the connections  
 252 between player-nodes. Note that social distance is not a metric space and that teammate preferences were  
 253 strictly ordered; consequently total social distance is always conserved. It was deemed important to motivate  
 254 players to care about the teammate preference rankings. Otherwise players might adopt the most expedient  
 255 ranking strategy, which would be to rank players in the default list order. To prevent this, players were explicitly  
 256 informed that their rankings would bias teammate assignments in the next round. The manipulation appears  
 257 to have had the intended effect, as all players frequently produced teammate preference rankings which  
 258 deviated from the most expedient rankings and which fluctuated substantially over time. These properties are  
 259 evident in Figure 5, which shows the full time series of asymmetric rankings for each player-pair, with rows  
 260 corresponding to rankers and columns to rankees. In each panel, the gray lines represent the current standing  
 261 of the rankee (which would be the most expedient choice), and the black line represents the teammate  
 262 preference ranking produced by the ranker. Red/blue areas correspond to rounds in which the ranker ranked  
 263 the rankee more/less highly than their standing.



265 Figure 5. Ranking time series. Rows correspond to rankers, columns to rankees. Gray lines show standings  
 266 (default ranking in drop-down lists), black lines show selected rankings; red/blue indicate positive/negative  
 267 differences between ranking and standing.

## 268 2.3 Acoustic data processing and analysis procedures

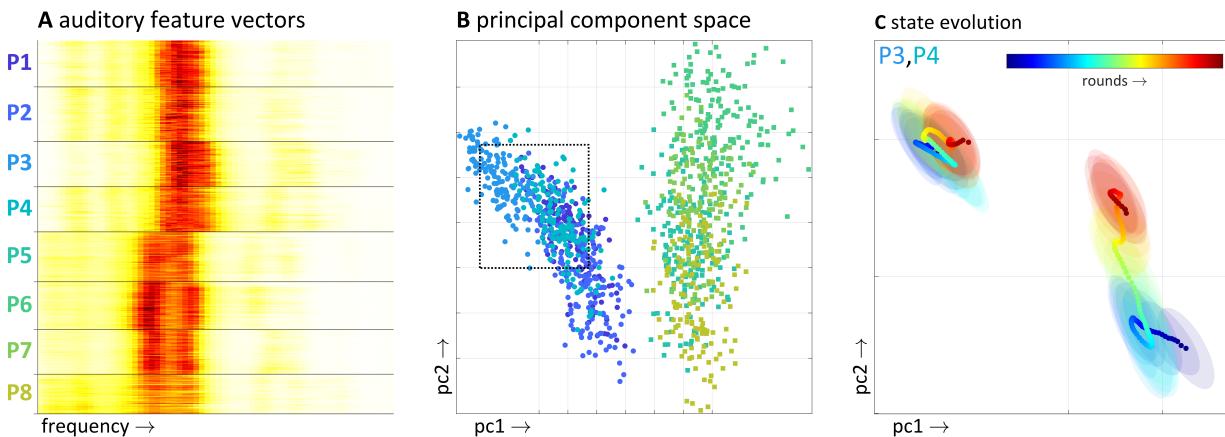
### 269 2.3.1 Segmentation

270 Approximately 26.25 hours (1575 minutes) of audio were collected during the experiment. The HTK-HMM  
 271 speech recognition toolkit (31) was used to generate word- and phone-level time-aligned transcripts for each  
 272 game. The acoustic segmentation involves the following steps: receiver-giver channel alignment, manual  
 273 labeling of training data, phone- and triphone-HMM training, recognition, and manual inspection/correction.  
 274 Details of these procedures are provided in *Supplementary Material: Acoustic Segmentation*.

### 275 2.3.2 Vowel quality states and distance measure

276 The spectral characteristics of a vowel produced by a given speaker at a given time can be interpreted as an  
 277 index of the state of a neural system. This construct is justifiable on the grounds that spectral characteristics  
 278 of waveforms associated with vowels are determined primarily by the geometry of the vocal tract (32), and  
 279 current models of speech motor control agree that parameters must exist which define targets for the  
 280 articulators which determine that geometry (33,34). Vowel quality analyses are restricted to nine vowels from  
 281 the nonword location names. To calculate distance between vowel qualities for each player-pair/vowel, the  
 282 following procedures were used. First, each vowel waveform was transformed to an auditory spectrogram  
 283 using a gammatone filterbank (35) with the following parameters: 64 e.r.b. filters in the range [70 – 10,000  
 284 Hz], 20 ms windows, 10 ms steps. Only the central 50% of the vowel waveform was used in order to diminish  
 285 the influence of coarticulatory effects with flanking consonants. Second, auditory spectrograms were linearly  
 286 time-warped to the median frame length in each vowel category, the median was calculated after excluding  
 287 tokens with z-scored durations exceeding  $\pm 2.32$  normalized units. Auditory feature vectors from just one frame  
 288 (the midpoint) of *boc* are shown in Figure 6A for an example. Third, for each vowel category/player, feature  
 289 vectors were excluded from subsequent analyses when their RMS deviation from the mean was greater than

290 the 99<sup>th</sup> percentile. Fourth, auditory spectra were pooled across speakers and converted to principal  
291 components, as shown in Figure 6B.



293 Figure 6. Example of vowel state estimation. (A) Vowel-midpoint auditory spectra for each token of *boc*. Actual  
294 feature vectors consist of a temporal series of such spectra, i.e., auditory spectrograms. (B) First two principal  
295 components of auditory spectrograms of *boc*. The first component encodes much of the gender-related  
296 variation. (C) Smoothed state-space trajectories over the experiment for players P3 and P4, exemplifying  
297 convergence; region shown corresponds to dashed box in panel (B).

298 Vowel quality distance was defined as the Euclidean distance between the average locations of the vowels in  
299 the first six dimensions of the principal component space. Only the first six dimensions were used because  
300 these accounted for 90-95% of the variance in each vowel category. The trajectories in Figure 6C show an  
301 example of convergent vowel state evolution, calculated with overlapping windows of 30 rounds. For analyses  
302 of vowel state variability, principal components were computed over all players/vowel categories. For analyses  
303 of mutual information between vowel distance and social distance, principal components were computed on  
304 data pooled separately for each pair of players.

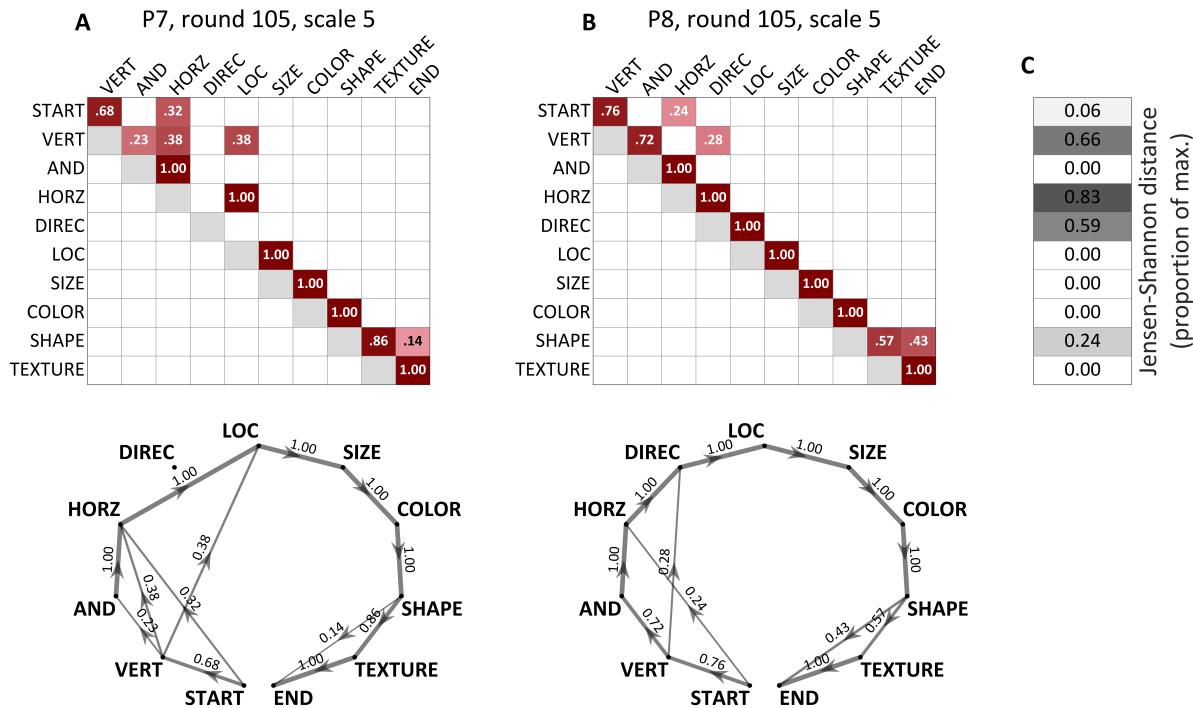
### 305 2.3.3 Syntactic states and distance measure

306 Due to the repetitive, goal-oriented nature of discourse in the current study, along with constraints imposed  
307 on allowable words and their syntactic/semantic categories, there is a relatively high degree of regularity in  
308 the information that speakers communicate and in the syntactic organization of that information. This makes  
309 it possible to quantify variation in word selection and order. To do this we define an "instruction sequence" as  
310 the sequence of word categories that a giver uses when communicating information about each location on  
311 the map path. A total of 10,151 instruction sequences were identified by parsing the word sequences obtained  
312 from the word transcripts, using expected map path properties and receiver correct clicks to infer when each  
313 instruction sequence begins and ends. Sequences which contained low frequency words (e.g. *no*, *not*, *or*,  
314 *repeat*, *sorry*) were excluded from subsequent analyses (1.7%, 175 out of 10151), as these tend to occur when  
315 the giver makes an error. Within each instruction, repetitions of one or two-word sequences were treated as  
316 a single instance, and hesitation words and silent pauses were ignored.  
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318 The quantification of syntactic state is based on the sequence of semantically defined *word categories* that  
319 occur in each instruction, as opposed to words themselves (i.e. lexical items). For example, an instruction that  
320 might be produced for one path segment (e.g. *up and left big red dija filled*) and an instruction that might be  
321 produced for another path segment (e.g. *down and right small green fnop unfilled*) are treated as equivalent,  
322 because their sequences of word categories are identical (VERTICAL AND HORIZONTAL SIZE COLOR LOCATION  
323 TEXTURE). Analysis at the level of categories is preferable because it is lower-dimensional and because lexical  
324 items vary randomly in response to characteristics of the map paths.

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Syntactic states are expressed as a set of discrete probability distributions which together comprise a first-order Markov chain. Two examples are shown in matrix form in Figure 7. Each matrix row is a discrete probability distribution where the row header is the current state and the column header is the subsequent state. The word sequence for each path segment is assumed to have START and END states. Figure 7 also shows directed graph representations of the Markov chains, where each edge is labelled with a transition probability. There are several differences between the two examples, which are taken over five rounds beginning from the 105<sup>th</sup> round of the game. First, P7 used the conjunction *and* between the VERTICAL and HORIZONTAL words less frequently than P8. Second, P7 never used the directional preposition (*to*) before the location. Third, P7 more frequently used the location texture (*filled* or *unfilled*), which is evident from the fact that they transitioned from the SHAPE state to the TEXTURE state at a higher rate.



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Figure 7. Examples of transition probability matrices and Jensen-Shannon distance. (A, B) Transition probability matrices and directed graph representations of Markov chains, calculated over 5 round windows beginning at round 105. (C) Jensen-Shannon distance (proportion of theoretical maximum) for each row.

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To quantify syntactic states and distances, the following procedures were used. First, the instruction sequences were converted to forward word-category transition count matrices. For each player, first-order Markov chain transition probability matrices were calculated from the count matrices, as shown in Figure 7. Next, Jensen-Shannon distance, which provides a metric measure of the similarity between two probability distributions, was calculated for each transition probability distribution between each player-pair at each time step. The Jensen-Shannon distance is the square root of the Jensen-Shannon divergence, which is defined as  $JSD(P||Q) = (KL(P||M) + KL(Q||M))/2$ . The terms  $KL(P||M)$  and  $KL(Q||M)$  are the Kullback-Leibler divergences of P and Q with respect to M, which is an equally weighted average of distributions P and Q. The JSD has the desirable property that it does not require absolute continuity between P and Q (see (36)). Cells with zero probability are ignored, which is motivated by the fact that  $\lim_{x \rightarrow 0} p(x) \log(p(x)) = 0$  (see (37)). An example of the set of Jensen-Shannon distances for the two example states is shown on the right of Figure 7, where they are expressed as proportions of the maximum possible value. The syntactic distance between two

353 Markov chains is defined as the average of the set of Jensen-Shannon distances, including the distance  
 354 associated with START category.

355

### 356 2.3.4 Scale variation procedures

357 Each of the three distance metrics (social distance, vowel distance, and syntactic distance) was calculated  
 358 pairwise between players on all available time-scales, from one round up to half of the experiment scale (134/2  
 359 = 67 rounds). However, not all vowel quality and syntactic states are observed from all players on the single-  
 360 round timescale, because most observations are obtained from givers, and players may go one to several  
 361 rounds without being the giver. The smallest timescale in which all relevant states are observed tends to be  
 362 on the order of 3-5 rounds. For each integer time scale  $\tau$  between 1 and 67 rounds, vowel states for each  
 363 player/vowel category were defined as the average position in principal component space of all vowel tokens  
 364 produced during an analysis window of size  $\tau$  rounds. These state estimates were calculated for a sequence of  
 365 windows that were offset by one round. Thus for scale  $\tau$  there is a time series of  $134 - (\tau - 1)$  state estimates.  
 366 Player-player vowel distance in each analysis window is simply the Euclidean distance between the average  
 367 positions in principal component space. Similarly, distance estimates for syntactic states are calculated from  
 368 Jensen-Shannon distances between forward transition probabilities obtained from word category transition  
 369 counts in analysis windows of scale  $\tau$  rounds. Distance estimates for social system states are the averages of  
 370 the symmetric player-player teammate preference rankings in each analysis window.

371 In order to investigate whether interactions between players (i.e. single games) are associated with changes  
 372 in behavioral states, a set of "interaction series" was identified. These are series of rounds that are well-suited  
 373 for examining interaction effects because they provide maximally isolated estimates of behavioral states  
 374 before and after an interaction. An interaction sequence is defined as a series of rounds in which a particular  
 375 player (henceforth the "target" player) played as receiver exactly once, after they played as giver one or more  
 376 times ( $n_{\text{pre}}$ ) and before they played again as giver one or more times ( $n_{\text{post}}$ ). These giver ( $n_{\text{pre}} \geq 1$ ), receiver  
 377 ( $n=1$ ), giver ( $n_{\text{post}} \geq 1$ ) series are ideal for investigating the interaction scale because the target player  
 378 experiences the behavioral states of the giver (G) in the interaction round, and the target player's state can be  
 379 estimated both before and after that interaction.

### 380 2.3.5 Mutual information estimation

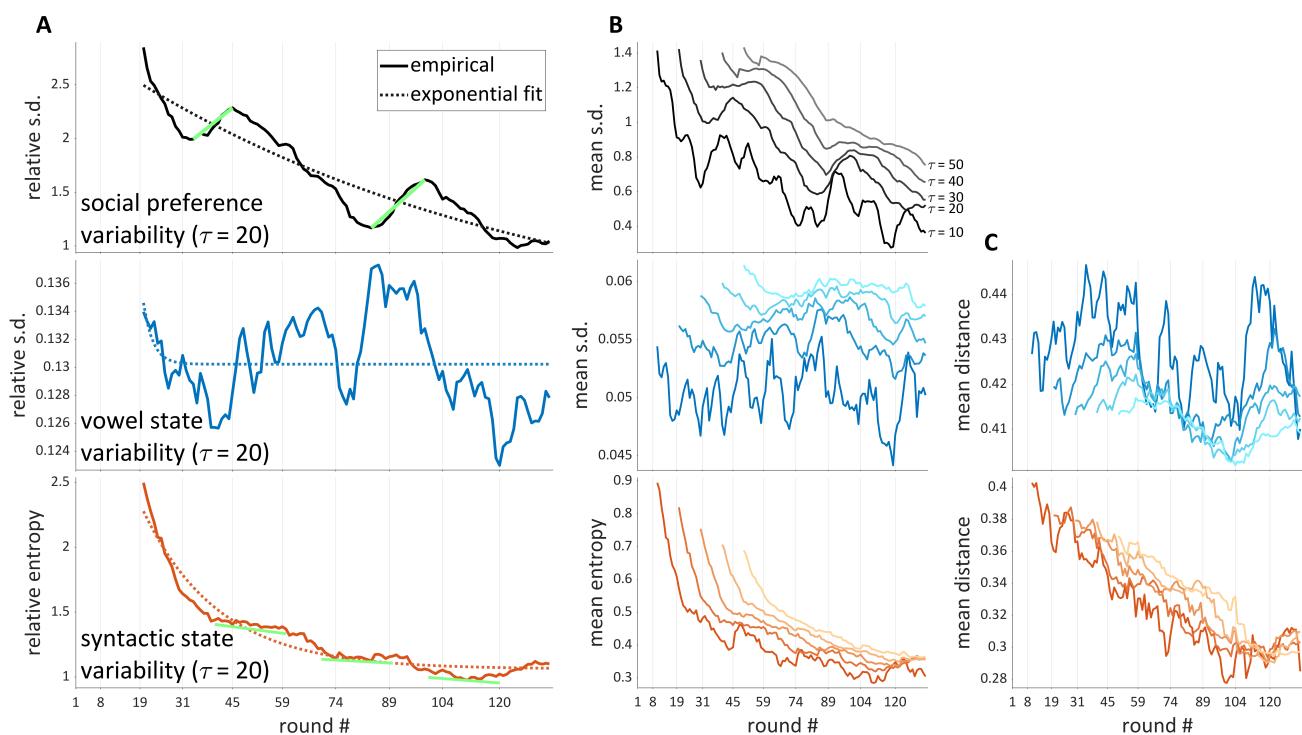
381 Mutual information between vowel distance and social distance time series was calculated as follows. For each  
 382 vowel and analysis scale, a two-dimensional joint distribution of samples of standardized vowel distance and  
 383 standardized social distance was calculated over players and analysis windows. This was done by calculating a  
 384 two-dimensional discrete Gaussian kernel density function, using a 30-point grid from -3.0 to 3.0, with optimal  
 385 bandwidth of  $n^{-\frac{1}{6}}$  (see (38) for motivation), where  $n$  is the number of observations. The density was then  
 386 normalized to sum to unity. Similarly, one-dimensional independent distributions of vowel distances and social  
 387 distances were estimated using the same grids and bandwidths. The mutual information was calculated as the  
 388 sum of the entropy of the independent distributions minus the joint entropy. Because there are 9 vowels and  
 389 28 unique player pairs, there are 252 measures of mutual information between vowel distance and social  
 390 distance, for each analysis scale. In order to obtain expected distributions of mutual information in the absence  
 391 of correlation between vowel distance and social distance, a Monte Carlo procedure was conducted in which  
 392 the time-series of vowel distances for each player pair was randomly permuted before calculating the mutual  
 393 information. The random permutation was repeated 200 times for each player-pair/vowel, on each analysis  
 394 scale. Mutual information between syntactic distance and social distance was calculated with similar  
 395 procedures, using the average of the Jensen-Shannon distances associated with each row of the syntactic  
 396 category transition probability matrix.

## 397 3 Results

398 Below we examine how variability in behavioral states changed over the course of experiment, finding in some  
 399 cases a relaxation-like pattern. We then report evidence supporting the interaction-accumulation hypothesis,  
 400 using both relatively global and relatively local analyses.

401 **3.1 Relaxation and fluctuations in system states**

402 Analyses of the time evolution of variability in system states shows relaxation-like decreases of disorder in  
 403 some cases. Specifically, social and syntactic states—but not vowel states—showed exponential decay-like  
 404 decreases in variability, which are suggestive of relaxation processes. Figure 8A shows the time evolution of  
 405 variability measures of the three systems. For social preference states, the average standard deviation of  
 406 teammate preference rankings is shown, calculated over windows of 20 rounds; the value is expressed relative  
 407 to the mean social distance. For vowel states, the ratio of the average standard deviation to the mean distance  
 408 is shown. For syntactic states, it is the ratio of entropy to mean Jensen-Shannon distance. By expressing all  
 409 three variability measures relative to the mean player-player distances, it is easier to see that the reduction in  
 410 variability of social and syntactic states was far more substantial than the reduction in variability of vowel  
 411 states. The dotted lines are best-fitting negative exponential models; in the case of vowel state variability the  
 412 model is a very poor fit. Figure 8B shows the time evolution of non-relativized average variability measures for  
 413 timescales of 10, 20, 30, 40, and 50 rounds, and Figure 8C shows mean distances. The time evolution of mean  
 414 social distance is not shown because it is constant.



416 Figure 8. Temporal evolution of system states. (A): average measures of variability of social, vowel, and  
 417 syntactic states calculated over 20 round windows; values are expressed relative to mean distances and are  
 418 plotted at the end of the window. Green lines indicate potentially important departures from exponential  
 419 decay. (B) time evolution of average variability measures calculated on a range of timescales. (C) time evolution  
 420 of mean distances.

421 The exponential decay-like evolution of social and syntactic variability suggests that these systems undergo a  
 422 relaxation-like process. Note however, that both the social and syntactic state variabilities show departures  
 423 from exponential decay (green lines in Figure 8A). In the case of social preference states, there are two epochs

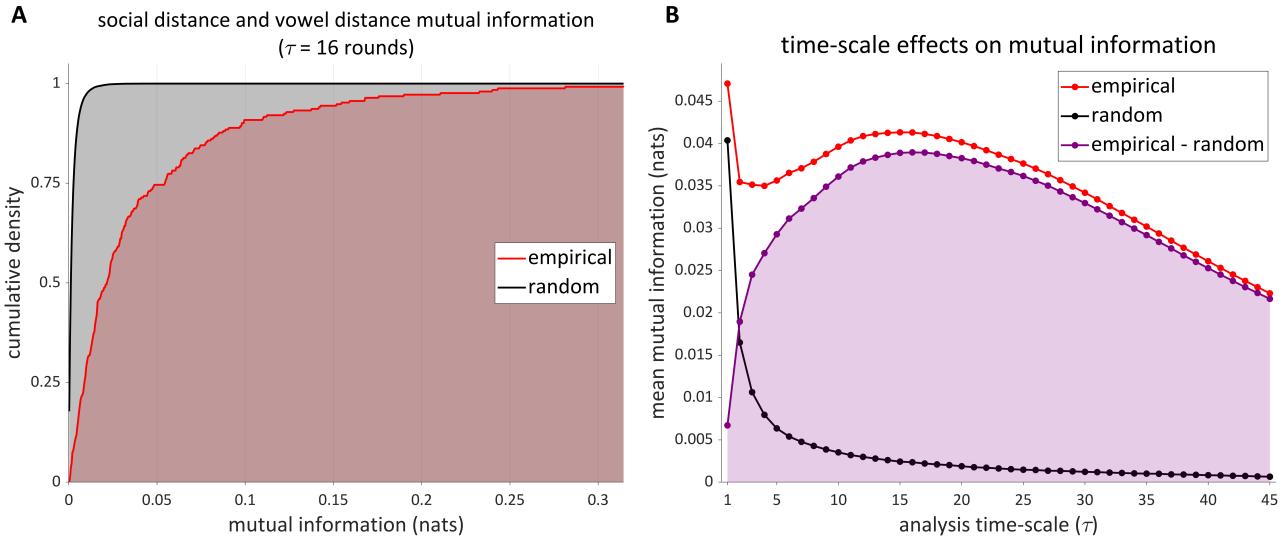
424 (circa rounds 35-45 and rounds 80-95) in which variability increases. In the case of syntactic states, there are  
 425 several epochs where the variability of states transiently flattens out. In the general discussion we consider  
 426 how these departures from exponential decay bear upon our interpretation of the relaxation processes, as  
 427 well as reasons why the relaxation pattern may be absent for vowel system states.  
 428

429 An important consideration in interpreting the time evolution of the systems is that the patterns may depend  
 430 on the timescale used for estimating variability. Not surprisingly, smaller timescales reveal more complex  
 431 dynamics of system state evolution. For example, the syntactic state variabilities (mean entropies) in Figure 8B  
 432 suggest a smooth exponential decay on the relatively long timescale of  $\tau = 50$  rounds, but reveals transient  
 433 fluctuations on the relatively short timescale of  $\tau = 10$  rounds.

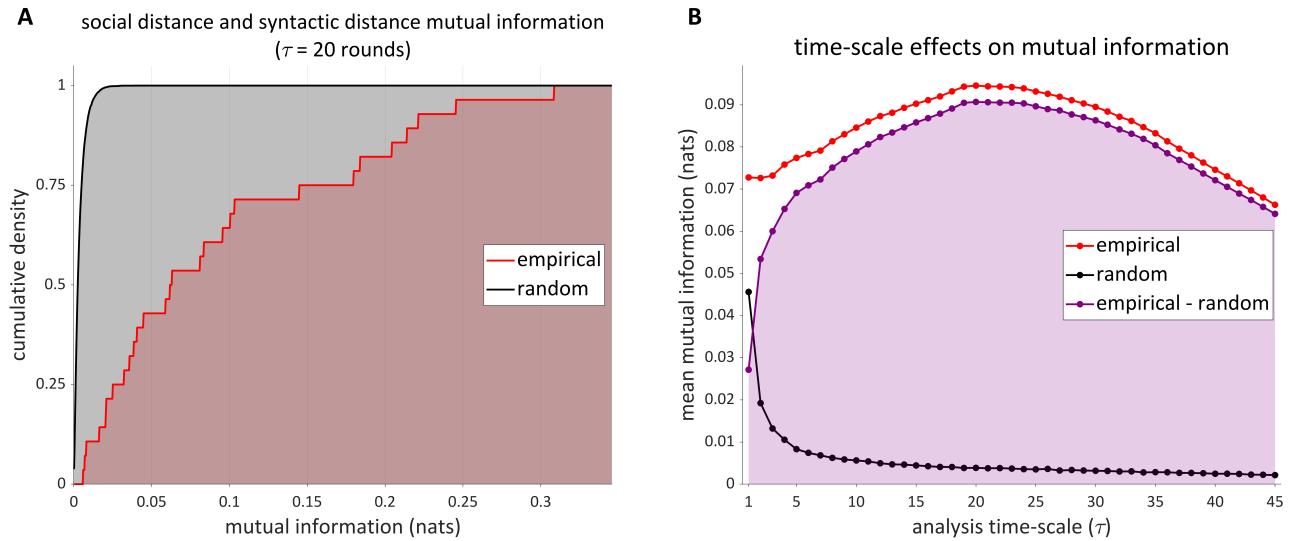
### 434 **3.2 Correlations between social and linguistic behavioral distance**

435 Are the fluctuations in linguistic system states purely spontaneous or are they at least partly driven by  
 436 influences of social forces? In other words, do speakers converge/diverge based upon their social preferences.  
 437 To test this hypothesis, we first adopt a relatively global analysis to examine whether there exist correlations  
 438 between the linguistic system state distances and social distances (see sections 2.3 for definition of distance  
 439 measures). Specifically, we use mutual information between social distance time series and linguistic  
 440 behavioral distance (i.e. vowel distance or syntactic distance) time series as a measure of correlation, and  
 441 compare the distribution of mutual information estimates to the distribution obtained when the time series  
 442 are randomly permuted (see section 2.3.5).

443 For vowel distances, there are  $9 \text{ vowels} \times 28 \text{ player-pairs} = 252$  estimates of mutual information. Figure 9A  
 444 shows the empirical cumulative density of these estimates (red line) along with the cumulative density of  
 445 estimates from randomly permuted time series (200 permutations for each vowel/player-pair). The random  
 446 estimates represent the distribution of mutual information estimates that would be obtained from chance, if  
 447 there is no correlation between distance measures over time. The cumulative density distributions show that  
 448 there is a substantial excess of mutual information in the empirical estimates compared to the random one.  
 449 Moreover, the excess mutual information is observed across analysis timescales. Figure 9B shows mean mutual  
 450 information as a function of analysis timescale for both empirical (red line) and randomly permuted time-series  
 451 (black line), along with the difference between means. The maximum difference occurs at  $\tau = 16$  rounds. This  
 452 suggests that timescales in the neighborhood of 16 rounds are best-suited for capturing the correlation  
 453 between vowel distances and social distance.



455 Figure 9. Mutual information between social distance and vowel distance time series. (A) Cumulative density  
 456 of estimates of mutual information on a timescale of  $\tau = 16$  rounds (red line; black line: obtained  
 457 from random permutation). (B) Mean mutual information as a function of analysis timescale, along with the  
 458 difference between values associated with empirical and randomly permuted data (purple).



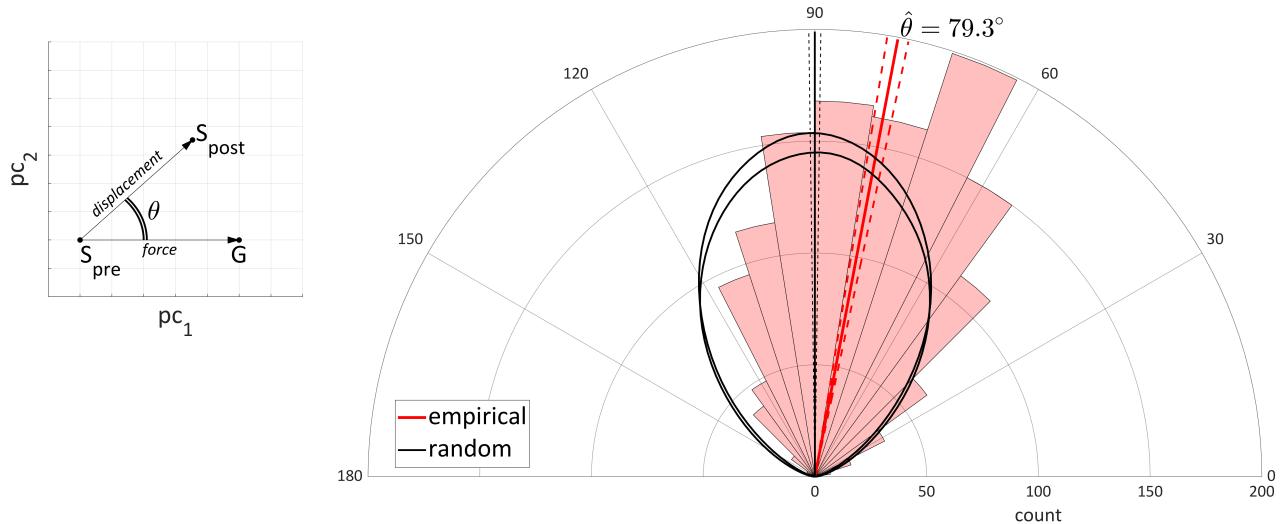
460 Figure 10. Mutual information between social distance and syntactic distance time series. (A) Cumulative  
 461 density of estimates of mutual information on a timescale of  $\tau = 20$  rounds (red line); cumulative density of  
 462 estimates obtained from randomly permuted time series (black line). (B) Mean mutual information as a  
 463 function of analysis timescale, along with the difference (purple).

464 Excess mutual information is also observed between syntactic distance and social distance time series. In this  
 465 case the syntactic distance is defined as the average Jensen-Shannon distance of each row of the word category  
 466 transition probability matrix (see 2.3.3). Hence there is one distance measure and mutual information estimate  
 467 for each player-pair. Figure 10A shows the cumulative distribution of these estimates (red line) along with  
 468 estimates obtained when the time series are randomly permuted 200 times (black line), both on the timescale  
 469 of  $\tau = 20$  rounds. The density in the empirical distribution is shifted toward substantially higher values of mutual  
 470 information than would be expected by chance. Figure 10B shows that this holds across analysis timescales;

471 the maximum difference is obtained at  $\tau = 20$  rounds. The correlations between social distance and linguistic  
 472 behavioral distance are consistent with the interaction accumulation hypothesis, as well as the more specific  
 473 hypothesis of social modulation. However, because the correlation analysis is relatively global, we cannot rule  
 474 out the possibility that unobserved external systems are responsible.

475 **3.3 Interaction-scale analyses of changes in behavioral states**

476 To draw stronger inferences relevant to the interaction-accumulation hypothesis, we investigate whether  
 477 changes in behavioral states are associated with individual interactions (i.e. single games). Specifically we  
 478 examine behavioral state changes using the interaction series described in section 2.3.4. Interaction series are  
 479 series of rounds in which a target player participates as receiver exactly once, after participating as giver one  
 480 or more times and before participating again as giver one or more times. Observations selected from these  
 481 series provide estimates of the pre- and post-interaction behavioral states of the target player ( $S_{\text{pre}}$  and  $S_{\text{post}}$ ),  
 482 as well as the an estimate of the state that the target player experienced from the giver (G). If conversational  
 483 interactions are partly responsible for mutual information between social distance and vowel distance, there  
 484 should be relations between the G state estimate and the change in target player state. For vowel system  
 485 states, which are represented as locations in a six-dimensional principal component space, these relations are  
 486 quantified as angles between vectors. As schematized in two dimensions in Figure 11, the vector defined from  
 487  $S_{\text{pre}}$  to  $S_{\text{post}}$  is conceptualized as a "displacement vector", and the vector defined from  $S_{\text{pre}}$  to G is conceptualized  
 488 as a "force vector".



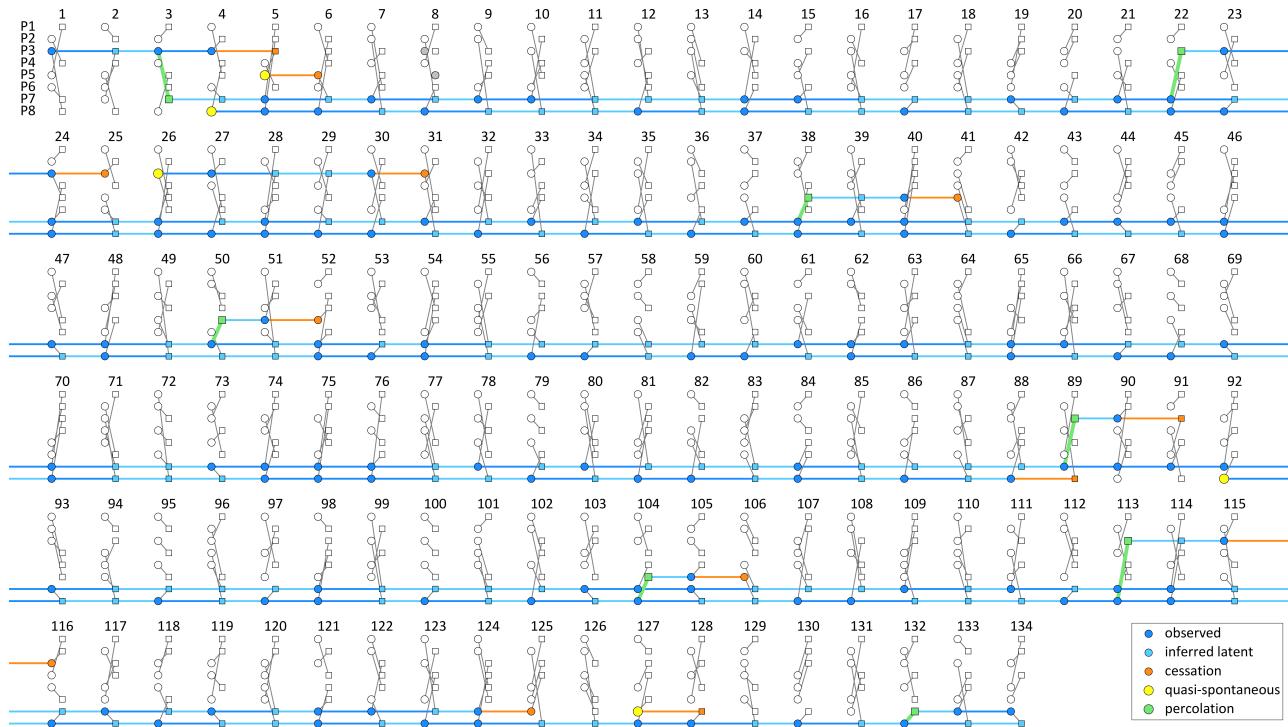
490 Figure 11. Distribution of angles between interaction force and displacement vectors. Left: schematic  
 491 illustration of interaction force and displacement vectors in two dimensions. Right: polar histogram (empirical  
 492 data) and density (random data) of angles calculated from first six principal components of vowel state space.  
 493 Red lines: mean and  $\pm 2.0$  s.e. of empirical angles. Black lines:  $\pm 2.0$  s.d. of mean angle over 1000 random  
 494 permutations.

495 The semi-polar histogram (red boxes) in Figure 11 shows the distribution of angles over all interactions. The  
 496 mean empirical value is  $\theta = 79.3^\circ$ , shown with a red line (dashed red lines show  $\pm 2.0$  s.e.). For comparison,  
 497 black lines show outlines of the  $\pm 2.0$  s.d. of angles obtained from 1000 displacement and force vectors selected  
 498 randomly with replacement from all interactions. These show that if giver vowel state has no influence on  
 499 receiver vowel state in an interaction, the mean angle between randomly paired displacement and force  
 500 vectors would be approximately  $90^\circ$ . Comparison of the empirical distribution and random one shows that the  
 501 empirical angles are substantially biased away from  $90^\circ$  toward  $0^\circ$ . Not only does this show that individual

502 interactions have an effect on vowel quality states, but it also indicates that convergence is more common  
 503 than divergence (which would be a bias toward 180°).

#### 504 3.4 Percolation of syntactic states

505 Another prediction of the interaction-accumulation hypothesis is that the spread of states through a group of  
 506 speakers depends on the spatio-temporal pattern of interactions between those speakers. Here we refer to  
 507 this sort of pattern as “percolation” by analogy to lattice-percolation models of physical systems, and we  
 508 imagine that in each round the previous connections between nodes (players) are removed while new ones  
 509 are created. The states analyzed here are associated with individual transitions in the Markov chain description  
 510 of syntactic behavior; we treat these states as binary: a particular type of transition is or is not produced by a  
 511 given player in a given round. To illustrate, Figure 12 shows an example of percolation of the VERTICAL→AND  
 512 transition over time. The behavior which spreads in this example is the use of the word *and* after a vertical  
 513 term (*up* or *down*) in instruction sequences. The figure shows for each round the pairs of givers (circles) and  
 514 receivers (squares) who interacted. Players are rows and rounds are columns. When there is evidence for the  
 515 target state by a giver in a round, the corresponding circle is colored blue. When the target behavior is  
 516 observed from a player in consecutive rounds as a giver, with intervening rounds as receiver, the player is  
 517 inferred to retain that state in the intervening rounds as giver; these latent states are shaded light blue.



519 Figure 12. Behavioral state transition analysis for VERTICAL→AND transition. Rounds increase from left to right  
 520 and then top to bottom. Giver and receiver states are indicated by circles and squares respectively. Giver-  
 521 receiver pairings are indicated by connections within rounds. Unfilled circles represent the absense of the use  
 522 of a VERTICAL→AND transition (either overt or inferred). Dark blue: overt evidence for target state by giver;  
 523 light blue: inferred latent state; orange: cessation of state; yellow: quasi-spontaneous emergence; green:  
 524 possible percolation of state.

525 The percolation analysis is concerned with the relative frequency of two types of adoption events associated  
 526 with Markov chain transitions which were neither previously observed nor latent. First, there are putative  
 527 “percolation” events (green lines/dots) in which a player, who did not produce the transition in their most

recent round as giver, adopts it immediately after a series of rounds as a receiver, during which they experienced at least one instance of the transition. For example, Figure 12 shows that in round three, player P7 experienced the VERTICAL→AND sequence from player P3, and in the next round in which P7 was a giver, they adopted this behavior. We conceptualize this pattern as a percolation of a state, made possible by a network link that was present in round three. The second type of event is a “quasi-spontaneous” adoption (yellow dots). These correspond to cases in which the target state which is adopted is not associated with an experience of that state in immediately preceding rounds as receiver. A third type of event is the cessation of the use of a transition (orange dots): these are rounds in which a player as giver did not produce the transition despite having produced it in the most recent preceding round as giver.

Adoption events which are identified as percolation are not necessarily caused by interactions: they could simply be instances of quasi-spontaneous adoption which happen to occur when the criteria for percolation hold. To assess this, percolation and adoption rates were compared. Percolation rate is defined as the number of percolation occurrences per total number of interactions in which a percolation is possible. The adoption rate is defined as the sum of percolation and quasi-spontaneous emergence events per total number of interactions in which either of these is possible. Figure 13A shows the ratios of percolation rate to adoption rate for all Markov chain transitions for which there were at least twenty opportunities for a percolation event to occur. When this ratio is greater than one it indicates that percolative adoption occurred more frequently than would be expected if it was simply an instance of quasi-spontaneous adoption.

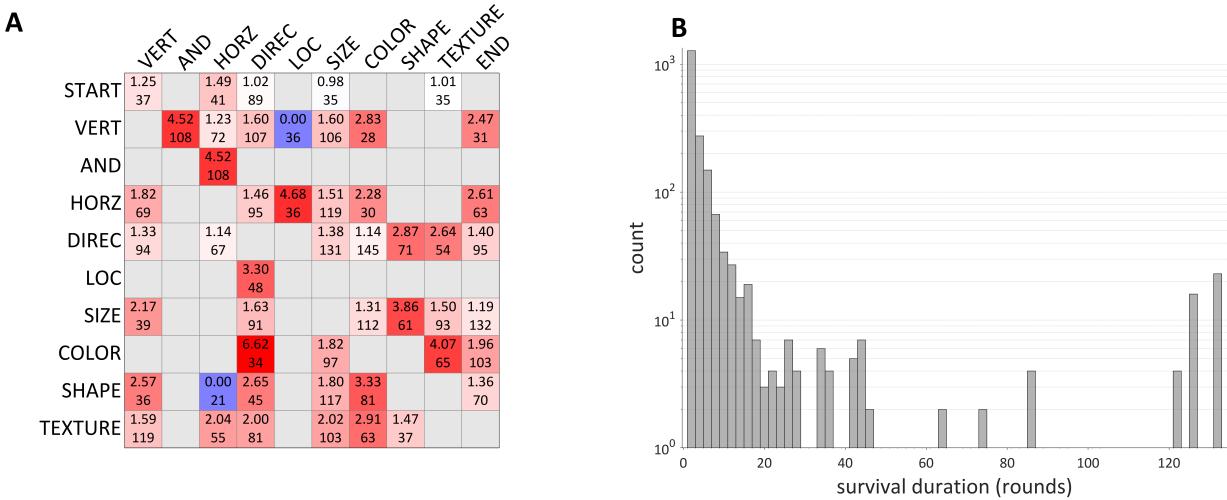


Figure 13. Analysis of interaction effects on Markov chain changes. (A) ratio of percolation rate to adoption rate for occurrences of particular transitions in instruction sequences; number of opportunities for percolation are shown as well. Gray cells are transitions which had fewer than 20 percolation opportunities. (B) histogram of survival durations of states, i.e. number of rounds that use of a transition persisted after being adopted; vertical axis is logarithmic.

The total percolation and adoption rates were 0.12 and 0.05 cases per opportunity, a ratio of 2.55. In other words, percolative adoptions were two-and-a-half times as likely to occur as adoptions. Furthermore, most of the ratios in Figure 13A are greater than one, indicating that it is not one particular Markov chain transition driving the difference. Notice that the same ratios and numbers of opportunities were associated with VERTICAL→AND and AND→HORIZONTAL transitions; this follows from the fact that the word *and*, when used, always occurred between the VERTICAL and HORIZONTAL words. Because words often form larger phrasal units, the individual Markov chain transitions which are analyzed here cannot be taken as fully independent. Nonetheless, the analysis supports the inference that interactions between speakers, and specifically the experience of a particular linguistic behavior during the interaction, cause changes that are analogous to percolation. Figure 13B shows a histogram of the distribution of survival durations for all of the Markov chain

562 transitions which were analyzed; counts are plotted on a logarithmic scale because most of the durations are  
 563 relatively short, on the order of 1-10 rounds. However, in a handful of cases particular transition persisted for  
 564 much longer periods of time.

565 **4 General discussion and conclusion**

566 There are two main findings of the current experiment. First, some but not all behavioral systems exhibited a  
 567 pattern of relaxation over time. This is important because it raises questions about the mechanisms which  
 568 underly those relaxation processes and the nature of equilibria which may exist for the systems. Second,  
 569 fluctuations in linguistic system states appear to be "non-spontaneous" both globally and locally, in the sense  
 570 that they are correlated with social system states or associated with conversational interactions. These findings  
 571 support the interaction accumulation hypothesis, which holds that language change results from the  
 572 cumulative effects of interactions between language users. Below we discuss the findings in detail and  
 573 speculate on various interpretations. However, it is worth noting that inferences which we wish to draw from  
 574 the analyses are limited due to temporal and spatial bounds on the system. Nonetheless, by demonstrating  
 575 the feasibility of implementing quasi-isolative experimental methods, we have shown that there is potential  
 576 value in conducting larger scale studies.

577 **4.1 Relaxation and equilibria**

578 Syntactic and social systems appear to have relaxed over time. This was seen in time series of measures of  
 579 variability, which can be viewed as indices of the internal disorder of the systems. The variability measures  
 580 showed exponential decay-like patterns over the ten weeks of the study. Consider that exponential decay in  
 581 physical contexts is often observed when a system is displaced from its equilibrium. Should we therefore infer  
 582 that the syntactic and social systems of the experiment were initially displaced their equilibria? On one hand,  
 583 the initial displacement interpretation makes a lot of sense. Regarding the social system, the players were  
 584 unfamiliar with each other at the beginning of the experiment. Their teammate preference rankings early on  
 585 were likely based mostly on scarce, non-verbal information. As more games were played, players had more  
 586 opportunities to interact with each other, thereby acquiring more information. This plausibly led to stronger  
 587 social preferences and more regularity in teammate preference rankings. Regarding initial conditions of the  
 588 syntactic system, the task was unfamiliar to the players at the beginning of the experiment, and it is quite  
 589 sensible to view the relaxation pattern as a consequence of learning: over time, players learned how to more  
 590 effectively communicate the location of the next location on the map path.

591 If the relaxation interpretation is viewed as generally useful, an important question is: what is the nature of  
 592 the states toward which the syntactic and social systems evolve? Specifically: do end states of the experiment  
 593 seem like stable equilibria which are global minima of disorder, or are they unstable, local minima? Perhaps  
 594 an appropriate physical analogy here involves annealing vs. quenched cooling of glass materials. When cooled  
 595 very slowly, glass will obtain a more regular, i.e. more ordered, crystalline structure, which may approach a  
 596 minimum entropy, minimum energy state. However, when cooled more quickly, it will get stuck in local energy  
 597 minima, and depending on the cooling rate, will transition through a series of local minima. The quenched  
 598 cooling process seems more appropriate in this case. Consider that in the absence of any fluctuations, the  
 599 global minimum of the syntactic system disorder would be zero (all players would use the same fixed word  
 600 order). The empirically observed syntactic distance at the end of the experiment reflected only an  
 601 approximately 25% decrease from the initial value, and it was still far from zero (Figure 8C).

602 A second reason that quenched cooling is more appropriate is that the relaxations that are observed are only  
 603 very grossly exponential. From inspection of Figure 8 it is clear that social and syntactic systems exhibited  
 604 substantial deviations from exponential decay; these deviations could be associated with transitions between  
 605 a series of local minima. However, an alternative possibility is that the deviations result from the influence of  
 606 unobserved social forces. A third case to be made for the quenching analogy is that, if the experiment were

607 repeated many times (with initial conditions as similar as possible), it seems likely that syntactic behaviors  
 608 observed at the end of the experiment would differ substantially. This would support the quenching  
 609 interpretation, in which the final state depends on the stochastic path taken by the system. Of course, this is  
 610 just a guess about what might happen, and it speaks to the need for such experiments to be conducted multiple  
 611 times.

612 Indeed, a major shortcoming of the current study is that it is just one sample of system evolution, and its time  
 613 horizon is fairly limited. Experimental studies of relaxation processes in physical systems with stochastic  
 614 components would typically observe the process many times. Having just one sample severely limits our ability  
 615 to draw inferences. Furthermore, physical experiments would typically be conducted long enough for a quasi-  
 616 stationary state to be achieved. The limited scope of the current study ultimately compromises our ability to  
 617 draw strong inferences about the mechanisms behind the exponential decay. Let's pretend that the  
 618 experiment were continued for one hundred weeks, and consider several different hypothetical outcomes.  
 619 One is that the variabilities of social and syntactic systems would in effect remain stationary, at the values  
 620 which were observed near the end of the actual experiment. This is the least plausible outcome since we have  
 621 almost no reason to assume that system states at the end of the 10 weeks were stationary. Another potential  
 622 outcome is that the syntactic and social systems would sporadically exhibit transitions to more ordered states,  
 623 and perhaps given long enough global minima would be achieved. This is a more plausible outcome given the  
 624 arguments we have made above for the quenched glass-cooling analogy. A third potential outcome is that  
 625 unobserved external forces will sporadically perturb the system, leading to a perpetual series of excitations  
 626 and relaxations. The extent to which this outcome is plausible depends on how well we have isolated the  
 627 systems which we observe.

628 Why did vowel systems evolve differently than social/syntactic ones? There are several aspects of the vowel-  
 629 related behavior that might account for the absence of exponential decay. First, even though the location  
 630 names were unfamiliar, novel word forms, the vowel categories themselves are highly familiar. For instance,  
 631 the vowel of the orthographic form *boc* was interpreted as an /a/ (i.e. a low, central vowel), which belongs to  
 632 the same category as the vowels in highly familiar words like *rock* or *sock*. Prior to participating in the  
 633 experiment, players have heard many instances of this vowel. In exemplar theories of linguistic memory  
 634 (17,39,40), each of these instances—which are called "exemplars"—has an influence on the way that vowel is  
 635 subsequently perceived and produced. As speakers perceive more exemplars of a category, each new exemplar  
 636 contributes less to subsequent behavior. Perhaps vowel systems did not exhibit relaxation because they are  
 637 already near an equilibrium. Alternatively, the vowel systems might relax more slowly than other systems, in  
 638 which case a longer observation period is required.

639 Another consideration is that the vowel system and syntactic system states are quite different when it comes  
 640 to external physical/physiological constraints. These constraints are ultimately incommensurate. The vowel  
 641 inventories of languages (i.e. sets of systematically related phonemic categories) are influenced strongly by  
 642 nonlinearities in the mapping of articulatory configurations to acoustic spectral patterns (41,42). Hence there  
 643 are articulatory constraints on the space of spectral patterns which can be generated. In addition, there are  
 644 perceptual constraints on how similar or different two spectral patterns must be in order for them to be  
 645 reliably perceived as members of distinct phonemic categories. Combinations of articulatory and perceptual  
 646 constraints has been used to model the long-timescale (historical) evolution of vowel categories as objects  
 647 which exert repulsive forces on each other in a bounded space (43).

648 In contrast to vowel system states, the syntactic system states observed in this study—i.e. probability  
 649 distributions associated with first-order Markov chains—are constrained in very different ways. The order in  
 650 which word categories occur is likely influenced by the salience and informativeness of the information that is  
 651 relevant to identifying the next location on the path. This information includes the name of the location, its  
 652 vertical and horizontal positions relative to the previous location, and properties of color, shape, size, and

653 texture. Players did not just merely adopt one stereotyped template for ordering this information in a given  
 654 game; rather, the categories that were included in any particular instruction appear to have been contingent  
 655 on the extent to which those categories provided useful information. For example, if the angle of the target  
 656 location was less than  $\pm 5^\circ$  from the previous one, givers were more likely to omit the vertical category than if  
 657 the angle was  $\pm 50^\circ$ . Of course, the absence of the category in a particular instruction is itself a form of  
 658 information. Future analyses are planned to investigate these sorts of influences.

659 Ultimately, it is clear that the vowel systems are constrained in different ways than the syntactic ones; semantic  
 660 information is highly relevant for the syntactic system states, while vowel system states are physiologically  
 661 constrained in specific ways. These differences are likely a part of the reason why the two types of systems do  
 662 not exhibit similar patterns of evolution over the experiment. Future analyses of other phonological/phonetic  
 663 systems, such as the spectra of sibilants (/s/ and /sh/ sounds), may shed some more light on these issues.

#### 664 **4.2 Support for the interaction accumulation hypothesis**

665 Several forms of support for the interaction accumulation hypothesis were observed. This hypothesis holds  
 666 that language change arises from the cumulative effects of communicative interactions. A more specific  
 667 hypothesis is that the effects of interactions are socially modulated. First, it was shown there exists more  
 668 mutual information between linguistic behavioral distances (vowel and syntactic distance) and social distance  
 669 than would be expected by chance. This was the case for all analysis timescales. In other words, by knowing  
 670 the preferences that players have for their teammates, we can make better predictions about their vowel  
 671 qualities and word order patterns. This global pattern of correlation is predicted by the social modulation  
 672 hypothesis: the social valence of interactions modulates their influence on behavior.

673 However, the global analysis provides only a relatively indirect form of evidence for socially modulated effects  
 674 of interactions, because there could be unobserved external forces that are responsible for the correlations.  
 675 Along these lines, it is curious that maximal excess mutual information was observed on timescales of 16/20  
 676 rounds for vowel/syntactic systems, respectively. It happens to be the case that, after the first three of the ten  
 677 weekly sessions, the average number of rounds played per session was about 15. Is it a coincidence that excess  
 678 mutual information peaks around the same analysis timescale that encodes the session periods for most of  
 679 the experiment? Consider that the temporal analyses, by using rounds as time indices, effectively ignore that  
 680 fact that in real time, observations are quite sporadic—taken over just 1.5 hours each week. We cannot rule  
 681 out the possibility that the weekly structure of the experiment itself may be associated with unknown forces  
 682 that give rise to the global correlations.

683 Another issue in interpreting the global correlation is that there is an interplay between the size of the system  
 684 (number of players) and the rate at which players interact—these factors influence the expected times  
 685 between interactions. This parameter of the system may have a strong influence on global analyses of  
 686 correlation. For example, correlations might be weaker if the system were larger, because any two players  
 687 would tend to interact less frequently. On the other hand, increasing the interaction rate (for example by  
 688 shortening average game time from about two minutes to one minutes) might have the opposite effect. One  
 689 confound worth mentioning here is that, because the teammate preference rankings were used to exert a bias  
 690 on team generation, there is by design an association between social distance and interaction rate (see  
 691 *Supplementary Material: Team generation*). This means that players who had higher preferences for one  
 692 another tended to interact more frequently. The downside of this is that the excess mutual information which  
 693 was observed could be more due simply to greater interaction rates. On the other hand, in real world social  
 694 networks it is quite plausible that interaction rates are conditioned on social preferences, and so this aspect of  
 695 the design makes the experimental system more natural.

696 Analyses conducted on the relatively local scale of interactions provide further support for the interaction  
 697 accumulation hypothesis. With respect to vowel system states, this was found by calculating the angle

698 between vectors defined in principal component space. Recall that  $S_{\text{pre}}$  is the state of a vowel system for a  
 699 target player before an interaction,  $G$  is the vowel system state the player experiences during the interaction,  
 700 and  $S_{\text{post}}$  is the vowel system state of the target player after the interaction. Taking  $S_{\text{pre}}$  as an origin, the vector  
 701 from  $S_{\text{pre}}$  to  $S_{\text{post}}$  is viewed as a displacement in vowel state space. Likewise, the vector from  $S_{\text{pre}}$  to  $G$  defines a  
 702 force that the interaction exerts on the vowel system. If this "force" did not have any effect on the vowel  
 703 system, the angles between displacement and force vectors would be randomly distributed with a mean of  
 704  $90^\circ$ . To the contrary, the mean empirical angle was substantially less than that, indicating that player states  
 705 tend to be "pulled" toward the states of other players who they interact with. Note that this attraction effect  
 706 was found for principal component spaces of 2 to 6 dimensions.

707 The result also indicates that interaction effects were predominantly convergent. An angle of  $0^\circ$  between the  
 708 force and displacement vectors corresponds to greater similarity of states and an angle of  $180^\circ$  to greater  
 709 dissimilarity; thus we can infer that interactions observed in this study tended to make vowel systems more  
 710 similar. This is a more specific finding than what the global mutual information analysis tells us. However, it  
 711 does not bear directly on the social modulation hypothesis because it does not account for social distance  
 712 between players. Furthermore, we should not infer that all interactions are convergent, and it seems plausible  
 713 that with a larger system or a different sample we might observe a second mode in the semipolar histogram  
 714 which is greater than  $90^\circ$ .

715 One shortcoming of the interaction scale analysis of vowel system states is that it ignores quite a bit of the  
 716 data. There were 182 interactions identified which met the pattern of a giver ( $n_{\text{pre}} \geq 1$ ), receiver ( $n=1$ ), giver  
 717 ( $n_{\text{post}} \geq 1$ ) sequence—i.e. the target player plays as receiver exactly once. These 182 interactions are just 17%  
 718 of the total number of times that a player played a game ( $1070 = 2 \times 535$  games). On the other hand, there are  
 719 9 vowel systems states which are analyzed for each interaction. More importantly, the interactions constitute  
 720 a subset of the data in which system states are more controlled than otherwise is the case, due to the  
 721 restriction that the player plays exactly one round as receiver. This allows us to be a bit more confident that  
 722 the effects of the interaction are associated with that interaction per se.

723 With respect to syntactic systems, support for the interaction accumulation hypothesis was found by adopting  
 724 a percolation analogy for describing changes in syntactic states. Percolation rates for the occurrence of specific  
 725 word category transitions were compared to generic adoption rates for those same transitions. Overall,  
 726 percolation rates were higher than the generic adoption rates (0.12 vs. 0.05 adoptions per interaction),  
 727 suggesting that syntactic system state changes were caused by interactions.

728 However, there are several qualifications to make regarding the percolation analysis. First, the analysis treated  
 729 the occurrence of a word category transition as a binary variable and thus discards information about how  
 730 frequent a category sequence is. Second, it does not account for cases in which more than two word categories  
 731 interact (as in VERTICAL-AND-HORIZONTAL sequences), and thus may overcount some adoptions. Third, in all  
 732 likelihood few cases of "quasi-spontaneous" adoption are truly spontaneous. Recall that these events were  
 733 identified as cases in which a player begins to use a transition not having experienced it in immediately  
 734 preceding rounds as receiver nor having produced it in the most recent round as giver. This definition ignores  
 735 the possibility the player experienced or produced the form in earlier rounds; in effect, it underestimates the  
 736 memory that a player may have for previously heard forms. Note that this shortcoming suggests that we have  
 737 underestimated percolative adoptions, and thus does not invalidate the inference that changes in syntactic  
 738 states are associated with individual interactions. It is not far-fetched to imagine that players can remember  
 739 the syntactic system states other players, and that they adopt states not simply based on recent experience  
 740 but taking into account their current teammate. This relates to yet another shortcoming of the analysis, which  
 741 is that, like the interaction-scale analysis of vowel system states, the percolation analysis does not address the  
 742 social modulation hypothesis; it simply tells us that communicative interactions are directly associated with  
 743 linguistic change.

## 744 5 Conclusion and future directions

745 This experiment found that an exponential decay-like pattern was present in social and syntactic behavioral  
 746 systems; the patterns were interpreted as the result of a relaxation process. Furthermore, fluctuations in  
 747 linguistic behavior were partly non-spontaneous: they were associated with communicative interactions. This  
 748 association appears to be modulated by social preferences, at least when viewed on a large scale. The findings  
 749 are necessarily conditioned on the specific experimental context and constraints which were imposed, where  
 750 the goal was to enhance isolations of the system. And yet, these constraints may be necessary to facilitate  
 751 robust observation of behavioral systems. Hence there is a bit of paradox: in order study language as a complex  
 752 system, we must impose constraints on that system. Otherwise, unobserved external interactions may  
 753 compromise our ability to draw inferences. Even with the manipulations of the current experiment, there  
 754 remains a fair bit of uncertainty in our inferences.

755 For an experiment that records acoustic signals of speech in a carefully controlled setting, the time horizon  
 756 and system size of the current study are very large. Nonetheless, it is clear that even larger scales would be  
 757 useful. There are three ways in which the experiment might be scaled up: (i) increasing the time period, (ii)  
 758 increasing the spatial size (more players), and (iii) collecting multiple instances of the system (different  
 759 participants). Expanding the time period would allow for better inferences regarding relaxation processes and  
 760 the nature of equilibria which may or may not be obtained by systems. Expanding the spatial scale would allow  
 761 for more interesting analyses of the spatial distribution of behavior in the system, but it also reduces the  
 762 expected interaction rate, increasing the time it would take for behavioral states to spread throughout the  
 763 system. Collecting multiple instances of the experiment, each with different set of component subsystems (i.e.  
 764 speakers) is highly desirable because it would allow for inferences regarding how system evolution depends  
 765 on initial conditions.

766 Future experiments which aim to quasi-isolate systems may benefit from lessons learned by this one. Which  
 767 methodological constraints were most influential, and might they be usefully revised or examined? One of the  
 768 most important constraints was that all interactions were restricted to being dyadic—this greatly simplifies  
 769 analyses but it also lowers the interaction rate. An altered version of the map task which allows for more than  
 770 two-way interactions might be used to increase interaction rates. On that note, the map task itself is a highly  
 771 asymmetric communicative interaction: the giver has all of the relevant information. The task could be made  
 772 more symmetric, for instance by distributing different parts of the path to each player. Yet this would  
 773 undoubtedly complicate interaction-scale analyses and necessitate analyses on the even smaller scale of  
 774 conversational turns. Finally, it is unclear whether the vocabulary constraints are entirely necessary. Perhaps  
 775 even in their absence, the nature of task is sufficient to ensure adequate sampling of behavior. The risk of an  
 776 unconstrained vocabulary is that participants might develop radically different ways to perform the task which  
 777 were unanticipated and which complicate analyses.

778 The teammate preference observation method is another important design feature, in part because it was  
 779 intended not to do too much. It is tempting to seek information about other socially relevant dimensions of  
 780 behavior, such as perceived attractiveness, likeability, and various aspects of social identity. The problem with  
 781 eliciting such information is that it brings greater attention to those dimensions, thereby perturbing social system  
 782 states in unknown ways. The teammate preferences are useful precisely because they avoid bringing attention  
 783 to interpersonal social attitudes, and even more so because they cannot be readily interpreted in more familiar  
 784 social terms (such as whether a given player "liked" another). At most, the social distance metric should be viewed  
 785 as a dimension-reducing projection of complex cognitive processes that underlie the teammate preference  
 786 rankings. This neutral interpretation discourages us from pursuing ad hoc explanations for influences of social  
 787 system states on linguistic behavior. One downside of the teammate preference sampling method used here  
 788 is that, by forcing a strict ordering of preferences, the ordering may not index actual preferences very closely.  
 789 This might be avoided by allowing for partial orderings, where two players may be ranked as equally preferred.

790 Language can be studied experimentally in the way that physical systems are studied. So doing, we may benefit  
 791 from drawing analogies between physical systems and social/linguistic ones. However, this endeavor requires  
 792 that we be explicit in constructing definitions of systems and state spaces, and that we pay attention to how  
 793 our system interacts with its surroundings. An experimental approach is valuable because the "actual sample"  
 794 of language that we obtain from history and from "speech in the wild" ultimately represents just one system  
 795 state trajectory out of an enormous space of possible trajectories. To understand language as a complex  
 796 system, we must work toward understanding the likelihood that any particular trajectory in that space would  
 797 be observed. The first step in this endeavor is to construct quasi-isolated systems and repeatedly sample their  
 798 evolution.

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