

1 IDEAL GAS MODELS FOR ESTIMATING ABUNDANCE USING CAMERA  
2 TRAP AND ACOUSTIC SENSOR COUNT DATA

3 **Running title:** Estimating abundance using camera traps and acoustic detectors.

4 **Word count:**

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## 1. ABSTRACT

**Point 1:** It is currently difficult to estimate population densities using data collected using camera traps and acoustic detectors. Current methods often require individual identification or an estimate of the distance between animal and sensor. An approach without these requirements, ‘ideal gas’ models, have so far only been extended for camera traps with a small range of detection angles and acoustically detected animals with directional calls currently do not fit the assumptions of gas models.

**Point 2:** We have generalised the ‘ideal gas’ model so that animal density can be estimated from count data obtained using acoustic detection of animals with directional calls or data from camera trap surveys. We simulated a sensor survey of an animal population moving through space. From these surveys we estimated animal density using our new models and compared this to the known input density of animals to test the validity of the new models.

**Point 3:** The new models can be used to estimate animal density from surveys with any combination of detection angles and call directionality. We find that the models give an unbiased estimate of density. The precision of the estimate increases with survey effort. We find that for a high density population, 24 hours of surveying will give a good estimate of density.

**Point 4:** We conclude that these models provide an effective method to estimate animal density from sensor count data. We anticipate that surveys of acoustically direction species, or camera trap surveys, which before could only give relative metrics of population size will now be able to estimate population density. As sensors such as camera traps and acoustic detectors become cheaper and more practical these models will be increasingly useful for monitoring animal populations across broad spatial, temporal or taxonomic scales.

1.1. **Keywords.** Acoustic detection, animal density, random encounter model, bat detector.

## 2. INTRODUCTION

An estimate of the size of an animal population is one of the fundamental measures needed in ecology and conservation. The absolute size of a population has important implications for a range of issues such as genetic diversity (Fischer *et al.*, 2000, O'Brien *et al.*, 1985, Willi *et al.*, 2005) and sensitivity to stochastic fluctuations (Richter-Dyn & Goel, 1972, Wright & Hubbell, 1983). Random sampling can be easily used as a relative measure to track population changes. However, using a population sample to estimate absolute density can be difficult as detectability, in terms of probability of being in close proximity to an animal and in terms of detecting it once it is nearby, can skew estimates (Foster & Harmsen, 2012, Jennelle *et al.*, 2002).

The methods for sampling populations are varied. Traditionally, human surveyors collecting data were the primary method but technological sensors, such as camera traps (Ahumada *et al.*, 2011, Rowcliffe & Carbone, 2008) and acoustic detectors (Jones *et al.*, 2011, Mellinger & Stafford, 2007, O'Farrell & Gannon, 1999) are becoming increasingly used to survey animal populations. Technological sensors are growing in popularity, as they are efficient, relatively cheap and non-invasive (Gese, 2001, O'Brien *et al.*, 2003, Silveira *et al.*, 2003). With respect to efficiency, the use of autonomous sensors allows for surveys over continental areas, for years or decades.

Some species are much more easily detected or identified by acoustic detectors. For example, while bat identification by hand requires much training, methods are being developed to automatically identify species from their calls (Adams *et al.*, 2010, Walters *et al.*, 2012). With respect to distance (or the radius of detection), large Cetaceans are loud enough to be detected from tens of km away, further than is possible visually Barlow & Taylor (2005), Clark (1995), McDonald (2004).

The problem of converting sampled count data to estimates of density remains. The preferred method for estimating density is capture-recapture methods (Leslie *et al.*, 1953, Schwarz & Seber, 1999) if individuals can be recognised e.g. (Karanth, 1995, Soisalo & Cavalcanti, 2006, Trolle & Kéry, 2003, Trolle *et al.*, 2007). If individual recognition is impossible but the distance between animal and sensor can

1 be estimated transect methods can be used to estimate density, although these of-  
 2 ten ignore animal movement which may bias estimates (Barlow & Taylor, 2005,  
 3 Marques *et al.*, 2011). Finally, methods for density estimation, based on ideal  
 4 gas models (originally formulated by physicists to estimate contact rates between  
 5 molecules) have been developed (Hutchinson & Waser, 2007, Yapp, 1956). The gas  
 6 model has been modified for use with camera traps with an angle of detection (the  
 7 maximum angle from centre that an animal can still be detected) of  $\pi/2$  radians  
 8 (Rowcliffe *et al.*, 2008). These models have not been formulated to account for the  
 9 reduced detection that occurs when an animals' call is directional.

10 In this study we create a general model, as an extension to the camera trap  
 11 model of Rowcliffe *et al.* (2008), to estimate absolute abundance from count data  
 12 from acoustic detectors or camera traps where the sensor angle can vary from  
 13 0 to  $2\pi$  radians, and the acoustic signal given off from the animal can be direc-  
 14 tional (we call the width of an animals acoustic call the call angle). Specifically,  
 15 we break the model into many different models for different values of the sensor  
 16 angle and the call angle. We then derive the gas model as an illustration of the  
 17 general principle and then derive one of the more complicated models. The re-  
 18 maining derivations are included in the supplementary material (S1). We tested  
 19 the model using simulations in order to assess the validity of the models and in  
 20 order to give suggestions for best practice. Specifically, we test that the analytical  
 21 model can accurately predict density when the assumptions of a homogeneous  
 22 environment and straight-line animal movement are met and that the accuracy of  
 23 the model is not affected by changes in the assumptions we make about animal  
 24 movement. We also quantify the effect of sampling effort, radius of detection, call  
 25 angle and directionality, animal movement speed and density on the precision of  
 26 the analytical models.

### 27 3. METHODS

28 **3.1. Analytical Model.** Our derivations follow the model presented by Rowcliffe  
 29 *et al.* (2008) which extends the gas model to model camera trap surveys. This  
 30 model is derived assuming a sensor with a detection angle less than  $\pi/2$  radians  
 31 and so the sensor is modelled as a circular segment with a central angle between 0

1 and  $\pi/2$  named  $\theta$  (see Table 1 for a list of symbols.) We call this segment the sensor  
 2 region. Furthermore, as Rowcliffe *et al.* (2008) modelled a camera trap, an animal  
 3 can be detected from any angle as long as it is within the segment shaped sensor  
 4 region; an in front of the camera trap but moving away from it can be detected. We  
 5 however want to relax this assumption to allow for acoustically detected animals  
 6 with directional calls. We therefore model the animal as having an associated call  
 7 angle  $\alpha$ . In general we are aiming to derive models for any sensor angle,  $\theta$ , between  
 8 0 and  $2\pi$  and any call angle,  $\alpha$ , between 0 and  $2\pi$ . Although these two parameters  
 9 vary continuously, there are many models which need to be derived and analysed  
 10 separately. The values of  $\theta$  and  $\alpha$  for these different models are shown in Figure 1.  
 11 In this section we show the derivation for the gas model (which is the simplest of  
 12 the models) and outline the general process for deriving other models by working  
 13 through one example.

14 3.1.1. *Gas Model.* Here we derive the gas model which models a sensor that can  
 15 detect animals in any direction and animals which can be detected no matter the  
 16 direction they face ( $\alpha = 2\pi$  and  $\theta = 2\pi$ ). This is the simplest model and serves to  
 17 illustrate the general principle behind all the models. We assume that animals are  
 18 in an homogeneous environment, and move in straight lines of random direction  
 19 with velocity  $v$ . We allow that our stationary sensor can detect animals at a dis-  
 20 tance  $r$  and that if an animal moves within this detection region they are detected  
 21 with a probability of one, independent of distance from the sensor, while animals  
 22 outside the region are never detected.

23 We then consider relative velocity from the reference frame of the animals so  
 24 that now, all animals are stationary and randomly distributed in space, while the  
 25 sensor moves with velocity  $v$ . If we calculate the area covered by the sensor during  
 26 the study period we can estimate the number of animals it should encounter. As  
 27 a circle moving across a plane, the area covered by the sensor per unit time is  $2rv$ .  
 28 The number of expected encounters,  $z$ , for a survey of duration  $t$ , with an animal  
 29 density of  $D$  is

$$z = 2rvtD. \quad \text{eqn 1}$$

Symbol	Description	Units
$v$	Velocity	$\text{m s}^{-1}$
$\theta$	Angle of detection	Radians
$\alpha$	Animal call/beam width	Radians
$r$	Detection distance	Metres
$p$	Average profile width	Metres
$t$	Time	Seconds
$z$	Number of detections	
$D$	Animal density	animals $\text{m}^{-2}$
$x_i$	Focal Angle $i \in \{1, 2, 3, 4\}$	Radians
$T$	Step length	Seconds
$N$	Number of steps per simulation	
$d$	Time step index	

TABLE 1. List of symbols used

1 However, in practice we have the opposite situation. We know the number of  
 2 encounters and want to estimate the density. We do this by simply rearranging to  
 3 get

$$D = z/2rvt. \quad \text{eqn 2}$$

5 For different values of  $\theta$  and  $\alpha$ , the only thing that changes is that the area covered  
 6 per unit time is no longer given by  $2rv$ . Instead of the sensor having a diameter of  
 7  $2r$ , the sensor has a complex diameter that changes with approach angle. If we call  
 8 this average diameter the profile  $p$ , the rest of the derivation is just calculating this  
 9 value for all values of  $\theta$  and  $\alpha$ . However, there is not one equation that models any  
 10 combination of these parameters. Instead, different areas of parameter space have  
 11 different models which must be derived separately. Therefore we have to identify  
 12 the regions for which the derivation is the same, and then separately derive  $p$  for  
 13 each region.

14 Figure 1 shows the different regions with the upper right being the gas model as  
 15 derived above and p141 is the model from Rowcliffe *et al.* (2008). Parameter space  
 16 is broadly split into three rows ( $\alpha \leq \pi$ ,  $\pi \leq \alpha < 2\pi$  and  $\alpha = 2\pi$ ) and four columns  
 17 ( $\theta \leq \pi/2$ ,  $\pi/2 \leq \theta \leq \pi$ ,  $\pi \leq \theta < 2\pi$  and  $\theta = 2\pi$ ) which define rectangular regions  
 18 we will call cells. The equation for  $p$  in each region is denoted by three numbers  
 19 referring to rows, columns and region within that cell.

20 For regions with profiles that are more complex than a circle we need to explic-  
 21 itly write functions for the width of the profile for every approach angle. We then

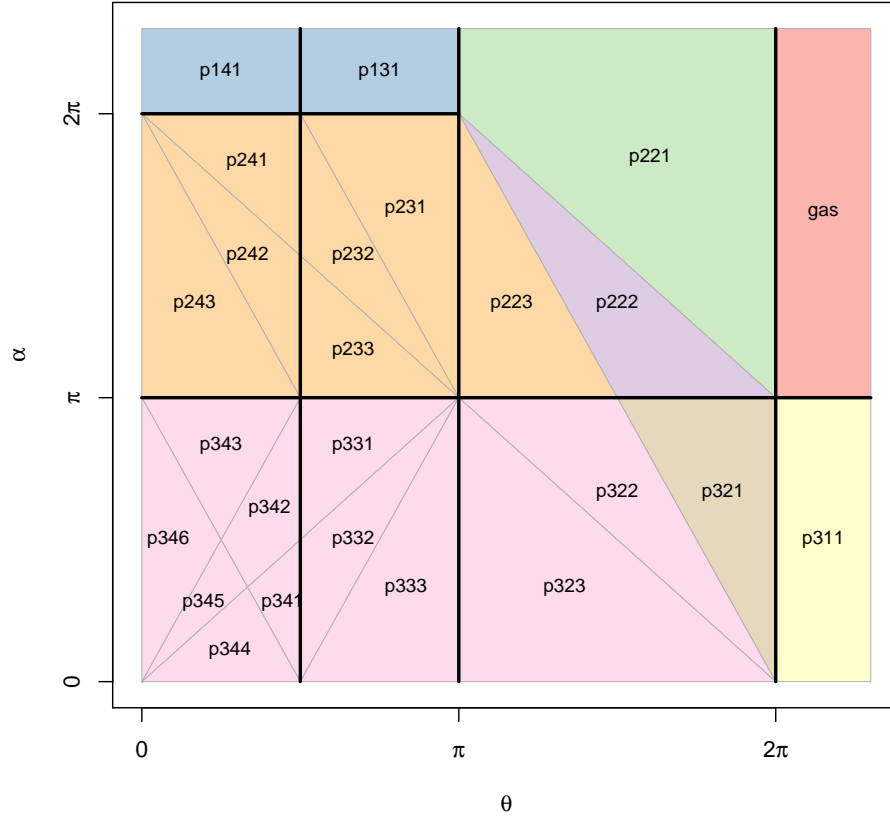


FIGURE 1. The two major parameters, call width  $\alpha$  and sensor width  $\theta$ . Grey lines divide parameter space into a set of models which must be derived separately. Despite independent derivation the results of many models are equal. Those that are equal are filled with the same colour. Models with similar derivation are grouped together in cells which are shown with black lines. The rows and columns of these cells are used to number the models, e.g. the three models in the second row and third column (from the top right) are called p231–p233.

- 1 use these functions to find the average profile for all approach angles by integrat-
  - 2 ing across all  $2\pi$  angles of approach and dividing by  $2\pi$ . In practice, as the models
  - 3 are all left/right symmetrical we can integrate across  $\pi$  angles of approach and
  - 4 divide by  $\pi$ .
- 5 3.1.2. *Example derivation.* To work through one example that contains both  $\theta$  and
- 6  $\alpha$  we will examine p321. All other derivations are described in S1 with computer
- 7 algebra scripts in S2.



We use  $x_i$  to denote the focal angle which is the angle we integrate over. The subscript  $i$  distinguishes different angles. For model p321 we examine  $x_1$  with  $x_1 = \pi/2$  being an approach angle directly towards the sensor (see Figure 2). Rowcliffe *et al.* (2008) use the notation  $\gamma_i$  with different numbering.

We can see that, rotating anticlockwise, from  $x_1 = \pi/2$  the detection region is  $2r$  wide. However, an animal will only be detected if it approaches the detector so that as it enters the detection region the angle between the direction of approach and the direction towards the sensor is less than  $\alpha/2$ . The width of the profile within which the animal will be detected is therefore  $2r \sin(\alpha/2)$ . At  $x_1 = \theta/2 + \pi/2 - \alpha/2$  we reach a point where the right hand side of the profile (relative to the approach direction) is not limited by the call angle but is limited by the detection angle instead. From here the profile width is therefore  $r \sin(\alpha/2) + r \cos(x_1 - \theta/2)$ . Finally, at  $x_1 = 5\pi/2 - \theta/2 - \alpha/2$  an animal can again be detected from the right side of the detector; the approach angle is far enough round to see past the ‘blind spot’ of the sensor. In this region, until  $x_1 = 3\pi/2$ , the width of the profile is again  $2r \sin(\alpha/2)$ . We have therefore characterised the profile width for  $\pi$  radians of rotation (from directly towards the sensor to directly behind the sensor.) To find the average profile width for any angle of approach, we integrate these functions over their appropriate intervals of  $x_1$  and divide by  $\pi$  giving us:

$$p_{321} = \frac{1}{\pi} \left( \int_{\frac{\pi}{2}}^{\frac{\pi}{2} + \frac{\theta}{2} - \frac{\alpha}{2}} 2r \sin\left(\frac{\alpha}{2}\right) dx_1 + \int_{\frac{\pi}{2} + \frac{\theta}{2} - \frac{\alpha}{2}}^{\frac{5\pi}{2} - \frac{\theta}{2} - \frac{\alpha}{2}} r \sin\left(\frac{\alpha}{2}\right) + r \cos\left(x_1 - \frac{\theta}{2}\right) dx_1 + \int_{\frac{5\pi}{2} - \frac{\theta}{2} - \frac{\alpha}{2}}^{\frac{3\pi}{2}} 2r \sin\left(\frac{\alpha}{2}\right) dx_1 \right) \quad \text{eqn 3}$$

$$p_{321} = \frac{r}{\pi} \left( \theta \sin\left(\frac{\alpha}{2}\right) - \cos\left(\frac{\alpha}{2}\right) + \cos\left(\frac{\alpha}{2} + \theta\right) \right) \quad \text{eqn 4}$$

Then, as with the gas model, this term is used to calculate density

$$D = z/vtp_{321} \quad \text{eqn 5}$$

We can also see what causes this model to be discontinuously different to p322. Examine the profile at  $x_1 = \theta/2 + \pi/2$  (the profile is perpendicular to the edge of the blind spot.) We see that there is potentially a case where the left side of

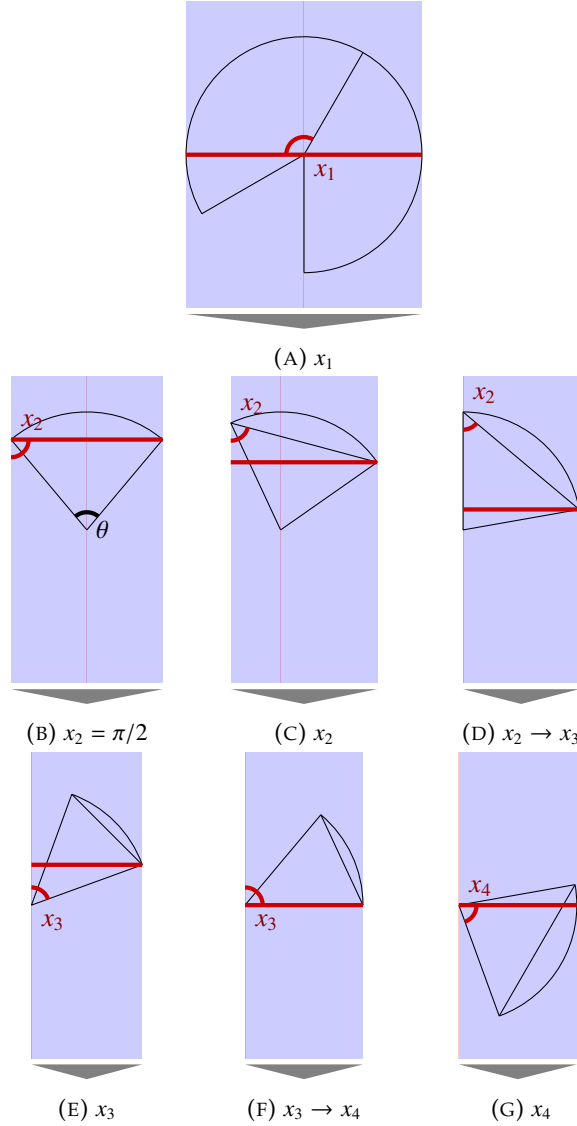


FIGURE 2. The location of the focal angles  $x_{i \in [1,4]}$  and the transitions between them. These are the angles that are integrated over to find the average profile size. In these figures, the segment shaped detection region is shown in black. The widest part of this region (the profile) is shown with a thick red line and a blue rectangle. The direction of animal movement is always downwards, as indicated by the grey arrow.

1 the profile is  $r \sin(\alpha/2)$  while the right side is zero. This profile does not exist if  
 2 we return to the full  $2r \sin(\alpha/2)$  profile before  $x_1 = \theta/2 + \pi/2$ . Therefore we solve  
 3  $5\pi/2 - \theta/2 - \alpha/2 < \theta/2 + \pi/2$ . We find that this new profile only exists if  $\alpha < 4\pi - 2\theta$ .  
 4 This inequality defines the line separating p321 and p322.

5 While specifying the models had to be done by hand, the calculation of the  
 6 solutions was done using SymPy (SymPy Development Team, 2014) in Python.

1 The models are checked for errors with a number of tests. The models are checked  
 2 against each other by checking that models which are adjacent in parameter space  
 3 are equal at the boundary between them (e.g. eqn 4 is equal to  $2r$  as in the  
 4 gas model when  $\alpha = \pi$  and  $\theta = 2\pi$ ). Models that border  $\alpha = 0$  should have  $p = 0$   
 5 when  $\alpha = 0$  and this is checked for (e.g. eqn 4 is zero when  $\alpha = 0$  and  $\theta = 2\pi$ ).  
 6 We checked that all solutions are between 0 and  $2r$  and that each integral, divided  
 7 by the range of angles that it is integrated over is between 0 and  $2r$ . These tests,  
 8 as well as analytical derivations, are in supplementary script S2. The models are  
 9 implemented in an R script in S3.

10 **3.2. Simulation Model.** We wrote spatially explicit simulations of animal move-  
 11 ment to validate the analytical models. By simulating animal movement and mod-  
 12 elling a sensor surveying the population we got count data on how many animals  
 13 the sensors detected. We applied the analytical models to this data and compared  
 14 it to the known input animal density. We then tested whether the estimates are  
 15 accurate (unbiased) and examined how precise they are.

16 To reduce computation effort, a single set of 100 simulations were run at the  
 17 highest animal density and longest survey duration. Six sensors, with different  
 18 sensor angles, were simulated during each simulation. From this one set of sim-  
 19 ulations, data for all parameter values could be computed by subsampling. To  
 20 get data for lower densities, only detections of a subset of the animal population  
 21 was counted. The details of each individual capture event, including the angle be-  
 22 tween the animals heading and the sensor were saved from the simulation. From  
 23 this the number of capture events can be calculated for different call widths. And  
 24 finally, to achieve different survey periods, only part of the simulation was used  
 25 e.g. to achieve a survey period half that of the longest survey, only the first half of  
 26 the simulation was used. Therefore we get 100 replicates of each set of parameters.

27 Each of the 100 simulations consisted of a 7.5 km by 7.5 km square (with peri-  
 28 odic boundaries) and was populated with a density of 70 animals  $\text{km}^{-2}$  to match  
 29 an expected maximum density of mammals in the wild (Damuth, 1981), creating a  
 30 total of 3937 animals per simulation randomly placed at the start of the simulation.  
 31 Animal movement was simulated with a simple movement model, characterised

1 by a random movement distance for each discrete time step. The animals do not  
 2 change direction during the simulation. The simulation lasts for  $N$  steps of du-  
 3 ration  $T$  during which the animals move with an average speed,  $v$ . The distance  
 4 travelled in each time step,  $d$ , was sample from a Normal distribution with mean  
 5 distance,  $\mu_d = vT$ , and standard deviation of  $\sigma_d = vT/10$ . An average speed,  $v =$   
 6 40 km days<sup>-1</sup>, was chosen as this represents the largest day range of terrestrial an-  
 7 imals (Carbone *et al.*, 2005), and represents the upper limit of realistic speeds. The  
 8 detection radius  $r$  is set to a value of 100m and simulations are run for 150 days.

9 The total number of capture events were counted for each simulation and each  
 10 set of parameters and the analytical model applied to the results in order to esti-  
 11 mate the density in the simulation. From this the difference between the true and  
 12 estimated densities were used to evaluate the bias in the analytical models. If the  
 13 analytical models are correct the mean difference between the two values were  
 14 expected to converge to zero as sample size increases. As 100 simulations were  
 15 run for each set of parameters we got an approximately normal distribution of es-  
 16 timates. We constructed 95% confidence intervals for these normal distributions  
 17 and used these to graphically test for significant differences between the true and  
 18 estimated densities. The standard deviation of these distributions is our metric of  
 19 precision.

20 To be able to produce guidelines for best practise we identified when the stan-  
 21 dard deviation of the percentage error fell below a benchmark value which we  
 22 considered acceptably precise. We chose this benchmark to be a standard devia-  
 23 tion of less than five. In real terms this can be equated to saying that at this point if  
 24 you were to sample the same area multiple times, approximately 95% of the den-  
 25 sity estimates would be within  $\pm 10\%$  of the true density. From the gas model it is  
 26 possible to see that the number of captures is directly proportional to the length  
 27 of the survey and the speed of the animal population (eqn eqn 5). The standard  
 28 deviation of estimates, and subsequently appropriate survey duration is closely  
 29 linked to the number of captures. Rather than looking at the animal speed and  
 30 survey time separately we studied them together as the average distance moved  
 31 by the animal within the duration of the survey.

1 Four models, p141 (REM model), p343, p221, and p322, were selected to demon-  
 2 strate how the precision and accuracy varied with model inputs. These models  
 3 were chosen as they represent one model from each quadrant of Figure 1. The  
 4 accuracy and precision of all models should be similar to these.

#### 5 4. RESULTS

6 **4.1. Analytical model.** Model results have been derived for each region in Fig-  
 7 ure 1 with all models except the gas model and p141 being newly derived here.  
 8 However, many models, although derived separately, have the same expression  
 9 for  $p$ . Figure 3 shows the expression for  $p$  in each case. The general equation for  
 10 density, using the correct model for  $p$  is then

$$11 \quad D = z/pvt. \quad \text{eqn 6}$$

12 Although more thorough checks are performed in S3, it can be seen that all  
 13 adjacent expressions in Figure 3 are equal when expressions for the boundaries  
 14 between them are substituted in.

15 **4.2. Simulation model.** None of the estimated densities from the simulation showed  
 16 significant deviation from the true density in the simulation (Figure 4a). The pre-  
 17 cision of the models do vary however. The standard deviation of the estimate is  
 18 strongly related to the call and sensor width (Figure 4b), such that larger widths  
 19 have greater precision. Even the models with small call and sensor widths have  
 20 an acceptable level of precision relative to our benchmark when the full length  
 21 simulation is used.

22 Neither the average distance travelled by an animal during the survey, or an-  
 23 imal density, had a significant impact on the accuracy of the model (Figure 5).  
 24 However, both these factors affected the precision of estimates. The precision of  
 25 estimates increased with distance travelled, Figure 5a. The precision of the model  
 26 fell below the benchmark when the animals on average moved less than 40 km,  
 27 55 km, 25 km and 25 km for models p141, p343, p221, and p322 respectively. The  
 28 density of the population also affected the precision of the estimates with larger  
 29 population densities being associated with greater precision (Figure 5b).

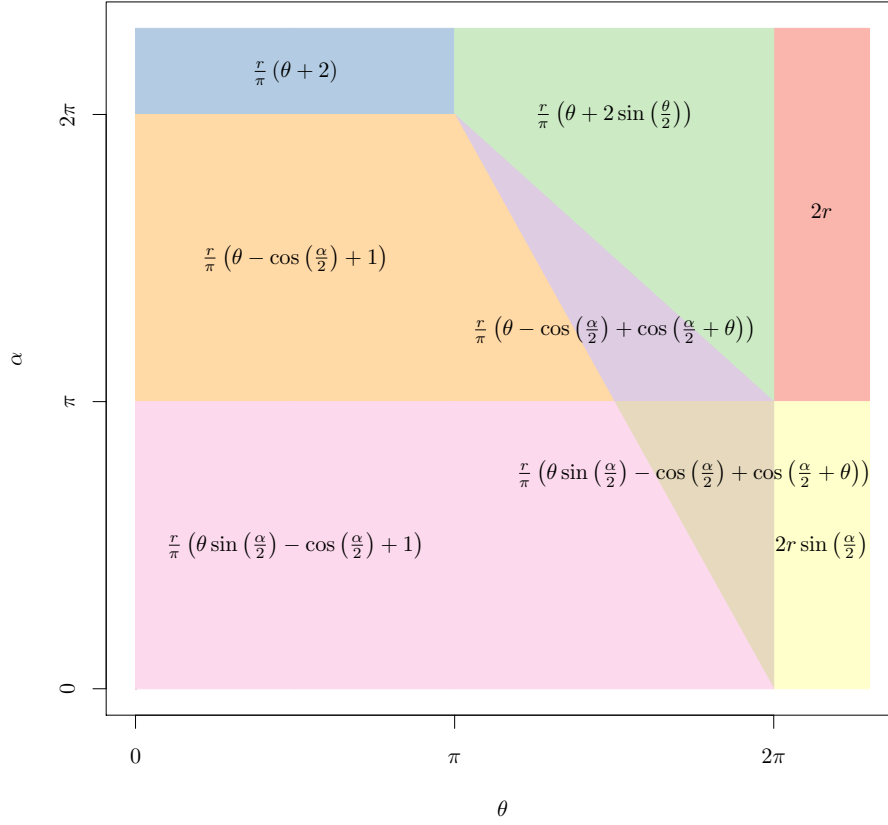
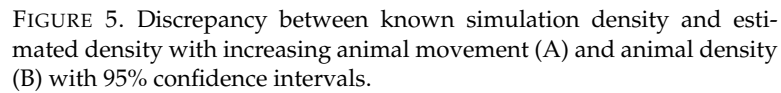
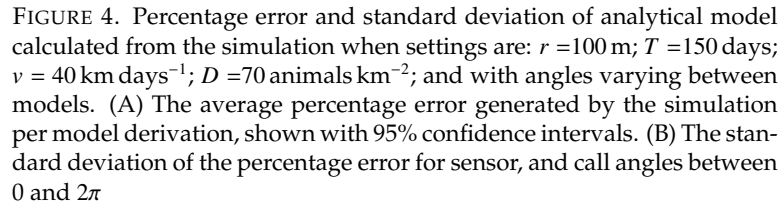


FIGURE 3. The derived expressions of for calculating the average profile width  $p$ . Despite independent derivation, many models result in the same expression. These are collected together and presented as one block of colour.

## 5. DISCUSSION

We have developed a number of models that can be used to estimate density from acoustic and optical sensors. This has entailed a generalisation of the gas model and the model in Rowcliffe *et al.* (2008) to be applicable to any combination of sensor width and call directionality. We have used simulations to show, as a proof of principle, that these models are accurate and precise.

These model are therefore available for the estimation of density of a number of taxa of importance to conservation, zoonotic diseases and ecosystem services. The models are suitable for certain groups for which there are currently no, or few, effective methods for density estimation.



Importantly the methods are noninvasive and do not require human marking (as required for mark-recapture models). This makes them suitable for large, continuous monitoring projects with limited human resources. It also makes them suitable for sensitive species or species that are difficult or dangerous to catch.

1     Although we have used simulations to validate these models, much more ro-  
2     bust testing is needed. Although difficult, proper field test validation would be  
3     required before the models could be fully trusted. Note, however, that the gas  
4     model and model of Rowcliffe *et al.* (2008) have been field tested and many  
5     of the assumptions between these models and those derived here are the same.  
6     As the utility of the models is that they can be used with taxa that are difficult to  
7     study with other methods, there are not many obvious groups that have reliable,  
8     gold standard estimates of density from other methods that could then be used to  
9     validate these models.

10    As easier way to continue to evaluate the models is to run more extensive sim-  
11    ulations which break the assumptions of the analytical models. The main ele-  
12    ment that cannot be analytically treated is the complex movement of real ani-  
13    mals. Therefore testing these methods against true animal traces, or more complex  
14    movement models would be useful.

15    There are many possible extensions to these models. As has been noted before  
16    (Hutchinson & Waser, 2007, Rowcliffe *et al.*, 2008) altering the equations to esti-  
17    mate animal density of group living species is relatively simple. However, the  
18    models herein would have to be carefully rederived to account for group living  
19    as directional calls are not considered in previous work, and may have important  
20    effects.

21    The original gas model was formulated for the case where both the animal pop-  
22    ulation and the sensors are moving. Indeed any of the models with animals that  
23    are equally detectable in all directions ( $\alpha = 2\pi$ ) can be trivially expanded for mov-  
24    ing by substituting the sum of the average animal velocity and the sensor velocity  
25    for  $v$  as used here. However, when the animal has a directional call, the extension  
26    becomes much less simple. The approach would be to calculate again the mean  
27    profile width. However, for each angle of approach, one would have to average  
28    the profile width for an animal facing in any direction (i.e. not necessarily moving  
29    towards the sensor) weighted by the relative velocity of that direction.

30    An interesting, and so far unstudied problem, is edge effects caused by trig-  
31    ger delays (the delay between sensing an animal and attempting to record the  
32    encounter) and time expansion acoustic detectors which repeatedly turn on an off



1 during sampling. Both of these have potential biases as animals can move through  
2 the detection region without being detected. The models herein are formulated as-  
3 suming constant surveillance and so the error quickly becomes negligible.

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