

大偶数表为一个素数及一个不超过二个素数的乘积之和

陈 景 潤

(中国科学院数学研究所)

摘 要

本文的目的在于用筛法证明了: 每一充分大的偶数是一个素数及一个不超过两个素数乘积之和.

关于孪生素数问题亦得到类似的结果.

一、引 言

把命题“每一个充分大的偶数都能表示为一个素数及一个不超过 a 个素数的乘积之和”简记为 $(1, a)$.

不少数学工作者改进了筛法及素数分布的某些结果, 并用以改善 $(1, a)$. 现在我们将 $(1, a)$ 发展历史简述如下:

$(1, c)$ ——Renyi^[1],

$(1, 5)$ ——潘承洞^[2]、Барбан^[3],

$(1, 4)$ ——王元^[4]、潘承洞^[5]、Барбан^[6],

$(1, 3)$ ——Бухштаб^[7]、Виноградов^[8]、Bombieri^[9],

在文献 [10] 中我们给出了 $(1, 2)$ 的证明提要.

命 $P_x(1, 2)$ 为适合下列条件的素数 p 的个数:

$$x - p = p_1 \quad \text{或} \quad x - p = p_2 p_3,$$

其中 p_1, p_2, p_3 都是素数.

用 x 表一充分大的偶数. 命 $C_x = \prod_{\substack{p|x \\ p>2}} \frac{p-1}{p-2} \prod_{p>2} \left(1 - \frac{1}{(p-1)^2}\right)$.

对于任意给定的偶数 h 及充分大的 x , 用 $x_h(1, 2)$ 表示满足下面条件的素数 p 的个数:

$$p \leq x, \quad p + h = p_1 \quad \text{或} \quad p + h = p_2 p_3,$$

其中 p_1, p_2, p_3 都是素数.

本文目的在于证明并改进作者在文献 [10] 内所提及的全部结果, 现在详述如下.

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定理 1. $(1, 2)$ 及 $P_x(1, 2) \geq \frac{0.67xC_x}{(\log x)^2}$.

定理 2. 对于任意偶数 h , 都存在无限多个素数 p , 使得 $p+h$ 的素因子的个数不超过 2 个及 $x_h(1, 2) \geq \frac{0.67xC_x}{(\log x)^2}$.

在证明定理 1 时, 主要用到了本文中的引理 8 和引理 9. 在证明引理 8 时, 我们使用较为简单的数字计算方法; 而证明引理 9 时, 我们使用了 Bombieri 定理^[9]及 Richert^[11] 中的一个结果.

二、几个引理

引理 1. 假设 $y \geq 0$, 而 $[\log x]$ 表示 $\log x$ 的整数部分, $x > 1$,

$$\Phi(y) = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \frac{y^\omega d\omega}{\omega \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{[\log x]+1}}.$$

显见, 当 $0 \leq y \leq 1$ 时, 有 $\Phi(y) = 0$. 对于所有 $y \geq 0$, 则 $\Phi(y)$ 是一个非减函数. 当 $\log x \geq 10^4$ 及 $y \geq e^{2(\log x)^{-0.1}}$ 时, 则有

$$1 - x^{-0.1} \leq \Phi(y) \leq 1.$$

证. 我们先来证明

$$\frac{\partial^r}{\partial \omega^r} \left(\frac{y^\omega}{\omega} \right) = \left(\frac{y^\omega}{\omega} \right) \left\{ (\log y)^r + \sum_{i=1}^r \frac{(-1)^i r \cdots (r-i+1) (\log y)^{r-i}}{\omega^i} \right\} \quad (1)$$

成立. 显见, (1) 式当 $r=1$ 和 $r=2$ 时都成立. 现假定 (1) 式对于 $r=2, \dots, S$ 时都成立, 而证明对于 $S+1$ 也成立. 由于

$$\begin{aligned} \frac{\partial^{S+1}}{\partial \omega^{S+1}} \left(\frac{y^\omega}{\omega} \right) &= \frac{\partial}{\partial \omega} \left\{ y^\omega \left(\frac{(\log y)^S}{\omega} + \sum_{i=1}^S \frac{(-1)^i S \cdots (S-i+1) (\log y)^{S-i}}{\omega^{i+1}} \right) \right\} \\ &= y^\omega \left\{ \frac{(\log y)^{S+1}}{\omega} + \sum_{i=1}^S \frac{(-1)^i S \cdots (S-i+1) (\log y)^{S+1-i}}{\omega^{i+1}} - \frac{(\log y)^S}{\omega^2} \right. \\ &\quad \left. + \sum_{i=1}^S \frac{(-1)^{i+1} S \cdots (S-i+1) (i+1) (\log y)^{S-i}}{\omega^{i+2}} \right\} = \left(\frac{y^\omega}{\omega} \right) \left\{ (\log y)^{S+1} \right. \\ &\quad \left. - \frac{(S+1) (\log y)^S}{\omega} + \frac{(-1)^{S+1} (S+1)!}{\omega^{S+1}} + \sum_{i=2}^S \left(\frac{(-1)^i S \cdots (S-i+1) (\log y)^{S+1-i}}{\omega^i} \right. \right. \\ &\quad \left. \left. + \frac{(-1)^i S \cdots (S+2-i) i (\log y)^{S+1-i}}{\omega^i} \right) \right\} = \left(\frac{y^\omega}{\omega} \right) \left\{ (\log y)^{S+1} \right. \\ &\quad \left. + \sum_{i=1}^{S+1} \frac{(-1)^i (S+1) \cdots (S+1-i+1) (\log y)^{S+1-i}}{\omega^i} \right\}. \end{aligned}$$

故 (1) 式得证.

又当 $y \geq 1$ 时, 我们有

$$\Phi(y) = 1 + \left\{ \frac{(\log x)^{1.1+1.1[\log x]}}{[\log x]!} \right\} \left\{ \frac{\partial^{[\log x]}}{\partial \omega^{[\log x]}} \left(\frac{y^\omega}{\omega} \right) \right\}_{\omega = -(\log x)^{1.1}}$$

$$\begin{aligned}
&= 1 - e^{-(\log x)^{1.1}(\log y)} \sum_{\nu=0}^{[\log x]} \frac{\{(\log x)^{1.1}(\log y)\}^\nu}{\nu!} \\
&= \left\{ \frac{1}{[\log x]!} \right\} \int_0^{(\log x)^{1.1}(\log y)} e^{-\lambda} \lambda^{[\log x]} d\lambda.
\end{aligned}$$

因为 $0 \leq y \leq 1$ 时, $\Phi(y) = 0$. 故由上式得到: 当 $y \geq 0$ 时, 则 $\Phi(y)$ 是一个非减函数. 又当 $y \geq e^{2(\log x)^{-1.0}}$ 时, 有

$$\begin{aligned}
0 < 1 - \Phi(y) &= \left\{ \frac{1}{[\log x]!} \right\} \int_{(\log x)^{1.1}(\log y)}^{\infty} e^{-\lambda} \lambda^{[\log x]} d\lambda \\
&\leq \left\{ \frac{1}{[\log x]!} \right\} \int_{2[\log x]}^{\infty} e^{-\lambda} \lambda^{[\log x]} d\lambda = \left\{ \frac{([\log x]^{1+[\log x]})}{[\log x]!} \right\} \\
&\quad \cdot \int_2^{\infty} e^{-\lambda} \lambda^{[\log x]} d\lambda = \left\{ \frac{e^{-[\log x]} ([\log x]^{1+[\log x]})}{[\log x]!} \right\} \\
&\quad \cdot \int_1^{\infty} e^{-\lambda} \lambda^{[\log x]} (1 + \lambda)^{[\log x]} d\lambda \leq x^{-0.1}.
\end{aligned}$$

其中用到 $\log x \geq 10^4$ 及当 $\lambda \geq 1$ 时, 有 $e^{\log(1+\lambda)} \leq e^{\lambda \log 2}$.

引理 2. 令 $e(\alpha) = e^{2\pi i \alpha}$, $S(\alpha) = \sum_{n=M+1}^{M+N} a_n e(n\alpha)$, $Z = \sum_{n=M+1}^{M+N} |a_n|^2$, 其中 a_n 是任意的实数.

我们用 $\sum_{\chi_q}^*$ 来表示和式之中经过且只经过模 q 的所有原特征, 则有

$$\sum_{q \leq X} \frac{q}{\varphi(q)} \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \leq (X^2 + \pi N) \sum_{n=M+1}^{M+N} |a_n|^2; \quad (2)$$

$$\sum_{D < q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \ll \left(Q + \frac{N}{D} \right) \sum_{n=M+1}^{M+N} |a_n|^2. \quad (3)$$

证. 令 F 是一个周期为 1 的复数值可微函数, 则有 $\left| F\left(\frac{a}{q}\right) \right| = \left| F(\alpha) - \int_{\frac{a}{q}}^a dF(\beta) \right| \leq |F(\alpha)| + \int_{\frac{a}{q}}^a |F'(\beta)| |d\beta|$, 我们用 $I(a, q)$ 来表示以 $\frac{a}{q}$ 为中心, 而长度 $\frac{1}{Q^2}$ 的区间, 显见, 当 $1 \leq a < q$, $(a, q) = 1$, $q \leq Q$ 时, 所有的区间 $I(a, q)$ 都没有共同部分, 故得

$$\begin{aligned}
\sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left| F\left(\frac{a}{q}\right) \right| &\leq \sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left\{ Q^2 \int_{I(a, q)} |F(\alpha)| d\alpha + \frac{1}{2} \int_{I(a, q)} |F'(\beta)| d\beta \right\} \\
&\leq Q^2 \int_0^1 |F(\alpha)| d\alpha + \frac{1}{2} \int_0^1 |F'(\beta)| d\beta.
\end{aligned}$$

我们取 $F(\alpha) = \{S(\alpha)\}^2$, 则得

$$\begin{aligned}
\int_0^1 |F(\alpha)| d\alpha &= Z \text{ 及 } \frac{1}{2} \int_0^1 |F'(\beta)| d\beta = \int_0^1 |S(\alpha)| |S'(\alpha)| d\alpha \\
&\leq \left\{ \left(\int_0^1 |S(\alpha)|^2 d\alpha \right) \left(\int_0^1 |S'(\alpha)|^2 d\alpha \right) \right\}^{\frac{1}{2}} = Z^{\frac{1}{2}} \left(\int_0^1 |S'(\alpha)|^2 d\alpha \right)^{\frac{1}{2}}.
\end{aligned}$$

故有

$$\sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left| S\left(\frac{a}{q}\right) \right|^2 = \sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left\{ \left| S\left(\frac{a}{q}\right) \right| \left| e\left(-\frac{a\left(M + \left[\frac{N}{2}\right]\right)}{q}\right) \right| \right\}^2$$

$$\begin{aligned}
&= \sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left| \sum_{n=M+1}^{M+N} a_n e \left(\left\{ n - \left(M + \left[\frac{N}{2} \right] \right) \frac{a}{q} \right\} \right) \right|^2 \\
&= \sum_{q \leq Q} \sum_{\substack{(a, q)=1 \\ 1 \leq a < q}} \left| \sum_{n=-\left[\frac{N}{2} \right] + 1}^{N - \left[\frac{N}{2} \right]} a_{n+M+\left[\frac{N}{2} \right]} e \left(\frac{na}{q} \right) \right|^2 \\
&\leq ZQ^2 + Z^{\frac{1}{2}} \left\{ \sum_{n=-\left[\frac{N}{2} \right] + 1}^{N - \left[\frac{N}{2} \right]} \left((2\pi n) a_{n+M+\left[\frac{N}{2} \right]} \right)^2 \right\}^{\frac{1}{2}} \leq ZQ^2 \\
&\quad + \pi NZ^{\frac{1}{2}} \left(\sum_{n=-\left[\frac{N}{2} \right] + 1}^{N - \left[\frac{N}{2} \right]} \left| a_{n+M+\left[\frac{N}{2} \right]} \right|^2 \right)^{\frac{1}{2}} \leq (Q^2 + \pi N)Z. \tag{4}
\end{aligned}$$

令 χ^* 表示原特征, $\tau(\chi_q^*) = \sum_{1 \leq a < q} \chi_q^*(a) e \left(\frac{a}{q} \right)$, $\tau(\overline{\chi_q^*}) \chi_q^*(n) = \sum_{a=1}^q \overline{\chi_q^*}(a) e \left(\frac{na}{q} \right)$. 由于 $|\tau(\overline{\chi_q^*})|^2 = q$, 故得到

$$\begin{aligned}
&\left(\frac{1}{\varphi(q)} \right) \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \leq \left(\frac{1}{q\varphi(q)} \right) \sum_{\chi_q}^* \left| \tau(\overline{\chi_q}) \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \\
&= \left(\frac{1}{q\varphi(q)} \right) \sum_{\chi_q}^* \left| \sum_{a=1}^q \overline{\chi_q}(a) \sum_{n=M+1}^{M+N} a_n e \left(\frac{na}{q} \right) \right|^2 \\
&\leq \left(\frac{1}{q\varphi(q)} \right) \sum_{\chi_q} \left| \sum_{a=1}^q \overline{\chi_q}(a) \sum_{n=M+1}^{M+N} a_n e \left(\frac{na}{q} \right) \right|^2 \\
&\leq \frac{1}{q} \sum_{\substack{a=1 \\ (a, q)=1}}^q \left| \sum_{n=M+1}^{M+N} a_n e \left(\frac{na}{q} \right) \right|^2.
\end{aligned}$$

由上式及 (4) 式, 即得到 (2) 式. 我们定义 h 是一个正整数, 它使得 $2^h D < Q \leq 2^{h+1} D$, 则我们有

$$\begin{aligned}
&\sum_{D < q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \leq \sum_{i=0}^h \left(\sum_{2^i D < q \leq 2^{i+1} D} \frac{1}{\varphi(q)} \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \right) \\
&\leq \sum_{i=0}^h \left(\frac{1}{2^i D} \right) \left(\sum_{2^i D < q \leq 2^{i+1} D} \frac{q}{\varphi(q)} \sum_{\chi_q}^* \left| \sum_{n=M+1}^{M+N} a_n \chi_q(n) \right|^2 \right) \\
&\leq \sum_{i=0}^h \left(2^{i+2} D + \frac{\pi N}{2^i D} \right) \sum_{n=M+1}^{M+N} |a_n|^2 \ll \left(Q + \frac{N}{D} \right) \sum_{n=M+1}^{M+N} |a_n|^2.
\end{aligned}$$

故引理 2 得证.

引理 3. 当 $S = \sigma + it$ 和 $\sigma \geq \frac{1}{2}$ 时, 则有

$$\sum_{q \leq Q} \sum_{\chi_q}^* |L(S, \chi_q)|^4 \ll Q^2 |S|^2 (\log Q)^4.$$

证. 我们有

$$\begin{aligned}
L(S, \chi) &= \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \sum_{n=1}^N \frac{\chi(n)}{n^s} + \sum_{n=N+1}^{\infty} \frac{\sum_{i \leq n} \chi(i) - \sum_{i \leq n-1} \chi(i)}{n^s} \\
&= \sum_{n=1}^N \frac{\chi(n)}{n^s} + \sum_{n=N+1}^{\infty} \left(\sum_{i \leq n} \chi(i) \right) \left(\frac{1}{n^s} - \frac{1}{(n+1)^s} \right) - \frac{\sum_{i \leq N} \chi(i)}{(N+1)^s} \\
&= \sum_{n=1}^N \frac{\chi(n)}{n^s} + O\left(\frac{|S| q^{\frac{1}{2}} \log q}{N^{\sigma}}\right).
\end{aligned}$$

故由引理 2 及 $\sigma \geq \frac{1}{2}$, 我们有

$$\begin{aligned}
\sum_{q \leq Q} \sum_{\chi_q}^* |L(S, \chi_q)|^4 &\ll \sum_{q \leq Q} \sum_{\chi_q}^* \left(\left| \sum_{n=1}^{\lfloor Q|S| \rfloor} \frac{\chi_q(n)}{n^s} \right|^4 + Q^{-2} |S|^2 q^2 (\log q)^4 \right) \\
&\ll |S|^2 Q^2 (\log Q)^4 + (Q^2 + Q^2 |S|^2) \sum_{n=1}^{\lfloor Q|S| \rfloor^2} \frac{d^2(n)}{n} \ll Q^2 |S|^2 (\log Q)^4.
\end{aligned}$$

故本引理得证.

引理 4. 当 k 是无平方因子的奇数, 而 $m \equiv 1$ 时, 则我们有

$$\left| \sum_{\chi_k}^* \chi_k(m) \right| \leq |(m-1, k)|.$$

证. 令 $k = p_1 \cdots p_l$, 而 $p_1 < \cdots < p_l$. 令 g_i 是 $\text{mod } p_i$ 的原根, 则有 $m \equiv g_i^{\xi_i} \pmod{p_i}$, $0 \leq \xi_i \leq p_i - 2$, $i = 1, \dots, l$, 则关于模 k 的所有原特征可表示为

$$\chi_k^*(m) = e^{2\pi i \left(\frac{v_1 \xi_1}{p_1-1} + \cdots + \frac{v_l \xi_l}{p_l-1} \right)},$$

其中 $1 \leq v_i \leq p_i - 2$, 而 $i = 1, \dots, l$.

令 $Z(m, k) = \left| \sum_{\chi_k}^* \chi_k(m) \right|$, 则有

$$Z(m, k) = \prod_{i=1}^l Z(m, p_i) = \prod_{i=1}^l \left| \sum_{\substack{j=1 \\ \xi_j=0}}^{p_i-2} e^{2\pi i \frac{v_j \xi_j}{p_i-1}} \right| = \prod_{i=1}^l (p_i - 2) < \prod_{p_i | (m-1)} p_i = |(m-1, k)|.$$

故本引理得证.

设 x 是偶数, 令 $\lambda_1 = 1$; 当 $d > x^{\frac{1}{4}-\epsilon}$ 时, 令 $\lambda_d = 0$; 而当 $1 < d \leq x^{\frac{1}{4}-\epsilon}$ 时, 令

$$\lambda_d = \frac{\mu(d)}{f(d)g(d)} \left\{ \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/d \\ (k, x, d)=1}} \frac{\mu^2(k)}{f(k)} \right\} \left\{ \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k, x)=1}} \frac{\mu^2(k)}{f(k)} \right\}^{-1}.$$

其中 $g(k) = \frac{1}{\varphi(k)}$, $f(k) = \varphi(k) \prod_{p|k} \frac{p-2}{p-1}$. 又当 d 为奇数, $\mu(d) \neq 0$ 时, 有

$$\begin{aligned}
\sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k, x)=1}} \frac{\mu^2(k)}{f(k)} &= \sum_{i|d} \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k, x)=1, (k, d)=i}} \frac{\mu^2(k)}{f(k)} = \sum_{i|d} \left\{ \frac{1}{\prod_{p|i} (p-2)} \right\} \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/i \\ (k, x, d)=1}} \frac{\mu^2(k)}{f(k)} \\
&\geq \left\{ \prod_{p|d} \left(1 + \frac{1}{p-2} \right) \right\} \left\{ \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/d \\ (k, x, d)=1}} \frac{\mu^2(k)}{f(k)} \right\}.
\end{aligned}$$

故对于所有正整数 d , 都有 $|\lambda_d| \leq 1$. 设 x 是偶数, $\log x > 10^4$, 又令 $Q = \prod_{d \leq p \leq x^{\frac{1}{2}}} p$,

$$Q = \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}} \\ p_3 \leq \frac{x}{p_1 p_2} \\ (x - p_1 p_2 p_3, Q) = 1}} 1, \quad M = \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \left(\sum_{\substack{n \leq \frac{x}{p_1 p_2} \\ (x - p_1 p_2 n, Q) = 1}} \Lambda(n) \right),$$

$$\text{则有 } Q \leq \frac{M}{1 - \epsilon} + N, \quad \text{其中 } N \ll \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{x}{p_1 p_2} \right)^{1-\epsilon} \ll x^{1-\epsilon} \int_{x^{\frac{1}{10}}}^{x^{\frac{1}{3}}} \frac{dS}{S^{1-\epsilon}} \int_{x^{\frac{1}{3}}}^{(\frac{x}{S})^{\frac{1}{2}}} \frac{dt}{t^{1-\epsilon}} \\ \ll x^{1-\frac{\epsilon}{2}} \int_{x^{\frac{1}{10}}}^{x^{\frac{1}{3}}} \frac{dS}{S^{1-\frac{\epsilon}{2}}} \ll x^{1-\frac{\epsilon}{3}}.$$

由引理 1, 我们有

$$M \leq \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \sum_{\substack{n \leq \frac{x}{p_1 p_2} \\ (x - p_1 p_2 n, Q) = 1}} \Lambda(n) \Phi \left(\frac{x}{p_1 p_2 n} \right) + O \left(\frac{x}{(\log x)^{2.01}} \right) \\ \leq \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \sum_{n \leq \frac{x}{p_1 p_2}} \Lambda(n) \Phi \left(\frac{x}{p_1 p_2 n} \right) \left(\sum_{\substack{d | (x - p_1 p_2 n, Q) \\ (d, x) = 1}} \lambda_d \right)^2 \\ + O \left(\frac{x}{(\log x)^{2.01}} \right) = \sum_{\substack{(d_1, x) = 1 \\ d_1 | Q}} \sum_{\substack{(d_2, x) = 1 \\ d_2 | Q}} \lambda_{d_1} \lambda_{d_2} N_{\frac{d_1 d_2}{(d_1, d_2)}} + O \left(\frac{x}{(\log x)^{2.01}} \right). \quad (5)$$

其中

$$N_{\frac{d_1 d_2}{(d_1, d_2)}} = \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \sum_{\substack{n \leq \frac{x}{p_1 p_2} \\ x - p_1 p_2 n \equiv 0 \pmod{\frac{d_1 d_2}{(d_1, d_2)}}}} \Lambda(n) \Phi \left(\frac{x}{p_1 p_2 n} \right) \\ = \left\{ \frac{1}{\varphi \left(\frac{d_1 d_2}{(d_1, d_2)} \right)} \right\} \left\{ \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \Lambda(n) \Phi \left(\frac{x}{p_1 p_2 n} \right) \right. \\ \left. + \sum_{\substack{x \frac{d_1 d_2}{(d_1, d_2)} \neq x_0 \\ (d_1, d_2)}} \overline{\chi_{\frac{d_1 d_2}{(d_1, d_2)}}}(x) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}} \\ n \leq \frac{x}{p_1 p_2} \\ (p_1 p_2 n, d_1 d_2) = 1}} \left(\frac{\Lambda(n)}{\log \frac{x}{p_1 p_2}} \right) \Phi \left(\frac{x}{p_1 p_2 n} \right) \chi_{\frac{d_1 d_2}{(d_1, d_2)}}(p_1 p_2 n) \right\} \\ = \left\{ \frac{1}{\varphi \left(\frac{d_1 d_2}{(d_1, d_2)} \right)} \right\} \left\{ \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \Lambda(n) \Phi \left(\frac{x}{p_1 p_2 n} \right) \right\} - \left\{ \frac{1}{2\pi i \varphi \left(\frac{d_1 d_2}{(d_1, d_2)} \right)} \right\} \\ \cdot \left\{ \int_{2-i\infty}^{2+i\infty} \left(1 + \frac{\omega}{(\log x)^{1.1}} \right)^{-[\log x]-1} \left(\frac{x^\omega}{\omega} \right) \sum_{\substack{x \frac{d_1 d_2}{(d_1, d_2)} \neq x_0 \\ (d_1, d_2)}} \overline{\chi_{\frac{d_1 d_2}{(d_1, d_2)}}}(x) \frac{L'}{L}(\omega, \chi_{\frac{d_1 d_2}{(d_1, d_2)}}) \right. \\ \left. \cdot \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq (\frac{x}{p_1})^{\frac{1}{2}}} \chi_{\frac{d_1 d_2}{(d_1, d_2)}}(p_1 p_2) \cdot \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \left(\frac{d\omega}{(p_1 p_2)^\omega} \right) \right\}. \quad (6)$$

令

$$M_1 = \sum_{(d_1, x)=1} \sum_{(d_2, x)=1} \frac{\lambda_{d_1} \lambda_{d_2}}{\varphi\left(\frac{d_1 d_2}{(d_1, d_2)}\right)} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ n \leq \frac{x}{p_1 p_2}}} \left(\frac{1}{\log \frac{x}{p_1 p_2}}\right) \Lambda(n) \Phi\left(\frac{x}{p_1 p_2 n}\right),$$

$$M_2 = \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \left| \frac{\mu(d)}{\varphi(d)} \right| \sum_{\chi_d \neq \chi_0} \overline{\chi_d^*}(x) \int_{2-i\infty}^{2+i\infty} \left(\frac{x^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \\ \cdot \frac{L'}{L}(\omega, \chi_d^*) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \chi_d^*(p_1 p_2) \left((p_1 p_2)^\omega \log \frac{x}{p_1 p_2}\right)^{-1} d\omega \Big|.$$

其中 d^* 是 χ_d 的 conductor, 而 χ_d^* 是等价于 χ_d 的 mod d^* 的原特征. $\nu(d)$ 是 d 的素数因子的个数.

引理 5. 设 x 是偶数, 则有

$$\Omega \leq \frac{M_1 + M_2}{1 - \varepsilon} + O\left(\frac{x}{(\log x)^{2.01}}\right).$$

证. 由(5)式和(6)式, 我们有

$$M \leq M_1 + |M_3| + M_4 + O\left(\frac{x}{(\log x)^{2.01}}\right), \quad (7)$$

其中

$$M_3 = \sum_{(d_1, x)=1} \sum_{(d_2, x)=1} \frac{\lambda_{d_1} \lambda_{d_2}}{\varphi\left(\frac{d_1 d_2}{(d_1, d_2)}\right)} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ n \leq \frac{x}{p_1 p_2} \\ (d_1 d_2, p_1 p_2 n) > 1}} \left(\frac{1}{\log \frac{x}{p_1 p_2}}\right) \Lambda(n) \Phi\left(\frac{x}{p_1 p_2 n}\right).$$

$$M_4 = \sum_{(d_1, x)=1} \sum_{(d_2, x)=1} \left(-\frac{\lambda_{d_1} \lambda_{d_2}}{2\pi i \varphi\left(\frac{d_1 d_2}{(d_1, d_2)}\right)}\right) \int_{2-i\infty}^{2+i\infty} \left(\frac{x^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \\ \cdot \sum_{\substack{\chi_{\frac{d_1 d_2}{(d_1, d_2)}} \neq \chi_0}} \overline{\chi_{\frac{d_1 d_2}{(d_1, d_2)}}}(x) \frac{L'}{L}(\omega, \chi_{\frac{d_1 d_2}{(d_1, d_2)}}) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}}} \frac{\chi_{\frac{d_1 d_2}{(d_1, d_2)}}(p_1 p_2)}{(p_1 p_2)^\omega \log \frac{x}{p_1 p_2}} d\omega.$$

首先估计 M_3 ,

$$M_3 \ll x^\epsilon \sum_{d \leq x^{\frac{1}{2}-\epsilon}} \frac{1}{d} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ n \leq \frac{x}{p_1 p_2} \\ (d, p_1 p_2 n) > 1}} \Lambda(n) \ll \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}}} \left(\frac{x^{1+\epsilon}}{p_1 p_2}\right) \left(\sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ p_1 | d}} \frac{1}{d}\right) \\ + \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ p_2 | d}} \left(\frac{1}{d}\right) + \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ p \leq \frac{x}{p_1 p_2}}} (\log p) \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ p | d}} \frac{x^\epsilon}{d} + x^{1-\epsilon} \ll x^{1-\epsilon}. \quad (8)$$

再估计 M_4 , 设 $\mu(d) \neq 0$, $d = p_1 \cdots p_k$, 则正整数 d_1 和 d_2 满足 $\frac{d_1 d_2}{(d_1, d_2)} = d$ 的充分和必要的

条件是 $d_1 = p_1^{\alpha_1} \cdots p_k^{\alpha_k}$, $d_2 = p_1^{\beta_1} \cdots p_k^{\beta_k}$, 其中 $0 \leq \alpha_i \leq 1$, $0 \leq \beta_i \leq 1$, $\alpha_i + \beta_i \geq 1$ ($1 \leq i \leq k$). 故当 $d > 0$, $\mu(d) \neq 0$ 时, 则满足 $\frac{d_1 d_2}{(d_1, d_2)} = d$ 的正整数 d_1, d_2 的组数为 $3^{v(d)}$. 由于 $|\lambda_d| \leq 1$, 故有

$$M_4 \leq \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \frac{3^{v(d)} |\mu(d)|}{\varphi(d)} \left| \sum_{\chi_d \neq \chi_0} \int_{2-i\infty}^{2+i\infty} \left(\frac{x^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \right. \\ \left. \cdot \overline{\chi_d}(x) \frac{L'}{L}(\omega, \chi_d) \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}} \left(\frac{\chi_d(p_1 p_2)}{(p_1 p_2)^\omega}\right) \left(\frac{d(\omega)}{\log \frac{x}{p_1 p_2}}\right) \right|.$$

由于 $\frac{L'}{L}(\omega, \chi_d) = \frac{L'}{L}(\omega, \chi_{d^*}^*) + \sum_{p \mid \frac{d}{d^*}} \frac{\chi_{d^*}^*(p) \log p}{p^\omega - \chi_{d^*}^*(p)}$, 故有

$$M_4 \leq M_2 + M_5, \quad (9)$$

其中

$$M_5 = \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{\varphi(d)} \left| \sum_{\chi_d \neq \chi_0} \overline{\chi_{d^*}^*}(x) \int_{2-i\infty}^{2+i\infty} \left(\frac{x^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \right. \\ \left. \cdot \left(\sum_{p \mid \frac{d}{d^*}} \frac{\chi_{d^*}^*(p) \log p}{p^\omega - \chi_{d^*}^*(p)} \right) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \frac{\chi_{d^*}^*(p_1 p_2)}{(p_1 p_2)^\omega \log \frac{x}{p_1 p_2}} d\omega \right|.$$

又当 $\operatorname{Re} \omega = 2$ 时, 有 $\frac{\chi_{d^*}^*(p)}{p^\omega - \chi_{d^*}^*(p)} = \sum_{\lambda=1}^{\infty} \left(\frac{\chi_{d^*}^*(p)}{p^\omega}\right)^\lambda$. 又当 $\lambda \geq 1$, $\mu(d^*) \neq 0$, $(d^*, x p_1 p_2 p^\lambda) = 1$

时, 则使用引理 4, 我们有

$$\left| \sum_{\chi_{d^*}^*}^* \overline{\chi_{d^*}^*}(x) \chi_{d^*}^*(p_1 p_2 p^\lambda) \right| = \left| \sum_{\chi_{d^*}^*}^* \chi_{d^*}^*(p_1 p_2 p^\lambda y) \right| \\ \leq |(p_1 p_2 p^\lambda y - 1, d^*)| = |(x - p_1 p_2 p^\lambda, d^*)|, \quad (10)$$

其中 y 满足 $xy \equiv 1 \pmod{d^*}$ 的解. 又由 (10) 式及引理 1 得到

$$M_5 \ll \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{\varphi(d)} \left| \sum_{\substack{d^* \mid d \\ d^* > 1}} \sum_{p \mid \frac{d}{d^*}} (\log p) \sum_{\lambda=1}^{\infty} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \sum_{\chi_{d^*}^*}^* \overline{\chi_{d^*}^*}(x) \chi_{d^*}^*(p_1 p_2 p^\lambda) \right. \\ \left. \cdot \left(\frac{1}{\log \frac{x}{p_1 p_2}}\right) \Phi\left(\frac{x}{p_1 p_2 p^\lambda}\right) \right| \ll \sum_{\substack{d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{\varphi(d)} \sum_{\substack{d^* \mid d \\ d^* > 1}} \sum_{p \mid \frac{d}{d^*}} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \sum_{\chi_{d^*}^*}^* \\ \cdot \sum_{1 \leq \lambda \leq (\log \frac{x}{p_1 p_2})^{-1}} \left(\frac{\log p}{\log \frac{x}{p_1 p_2}}\right) (x - p_1 p_2 p^\lambda, d^*) \ll \sum_{\substack{k_1 k_2 \leq x^{\frac{1}{2}-\epsilon} \\ (k_1 k_2, x)=1}} \frac{|\mu(k_1)| |\mu(k_2)| x^{\frac{\epsilon}{4}}}{\varphi(k_1) \varphi(k_2)} \\ \cdot \sum_{p_1 k_2} \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}} \sum_{1 \leq \lambda \leq (\log \frac{x}{p_1 p_2})^{-1}} (x - p_1 p_2 p^\lambda, k_1) \ll x^{\frac{\epsilon}{3}} \sum_{\substack{k_1 \leq x^{\frac{1}{2}-\epsilon} \\ (k_1, x)=1}} \\ \cdot \frac{1}{k_1} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ p^\lambda \leq \frac{x}{p_1 p_2}}} (x - p_1 p_2 p^\lambda, k_1) \sum_{\substack{k_2 \leq x^{\frac{1}{2}-\epsilon} \\ k_2 \equiv 0 \pmod{p}}} \frac{1}{k_2} \ll x^{\frac{\epsilon}{2}} \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ p^\lambda \leq \frac{x}{p_1 p_2}}} \sum_{\substack{k_1 k_2 \leq x^{\frac{1}{2}-\epsilon} \\ (k_1 k_2, x)=1}} \frac{|\mu(k_1)| |\mu(k_2)| x^{\frac{\epsilon}{4}}}{\varphi(k_1) \varphi(k_2)}$$

$$\frac{1}{p} \sum_{d|(x-p_1 p_2 p^k)} d \sum_{\substack{k_1 \leq x^{\frac{1}{2}-\epsilon} \\ d|k_1}} \frac{1}{k_1} \ll x^{1-\epsilon}. \quad (11)$$

由(7)式, (8)式, (9)式及(11)式, 本引理得证.

引理 6. 我们有

$$M_2 \ll \frac{x}{(\log x)^{2.01}}.$$

证. 令

$$\begin{aligned} \Phi(y, \chi) &= \int_{2-i\infty}^{2+i\infty} \left(\frac{y^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \frac{L'}{L}(\omega, \chi) d\omega \\ &= \int_{1+\frac{1}{\log x}-i\infty}^{1+\frac{1}{\log x}+i\infty} \left(\frac{y^\omega}{\omega}\right) \left(1 + \frac{\omega}{(\log x)^{1.1}}\right)^{-[\log x]-1} \frac{L'}{L}(\omega, \chi) d\omega. \end{aligned}$$

则有

$$\begin{aligned} M_2 &\leq \sum_{\substack{1 < l \leq x^{\frac{1}{2}-\epsilon} \\ (l, x)=1}} \left\{ \sum_{\substack{1 < d \leq x^{\frac{1}{2}-\epsilon} \\ l|d, (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{\varphi(d)} \right\} \left| \sum_{\chi_l}^* \overline{\chi_l}(x) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \left(\frac{1}{p_1 p_2} \log \frac{x}{p_1 p_2}\right) \right. \\ &\quad \cdot \Phi\left(\frac{x}{p_1 p_2}, \chi_l\right) \chi_l(p_1 p_2) \Big| \leq \sum_{\substack{1 < d \leq x^{\frac{1}{2}-\epsilon} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{\varphi(d)} \\ &\quad \cdot \left\{ \sum_{\substack{1 < l \leq x^{\frac{1}{2}-\epsilon} \\ (l, x)=1}} \frac{|\mu(l)| 3^{v(l)}}{\varphi(l)} \right\} \left| \sum_{\chi_l}^* \overline{\chi_l}(x) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, d)=1}} \left(\frac{1}{p_1 p_2} \log \frac{x}{p_1 p_2}\right) \right. \\ &\quad \cdot \Phi\left(\frac{x}{p_1 p_2}, \chi_l\right) \chi_l(p_1 p_2) \Big|. \end{aligned}$$

令 $\tau(l) = \sum_{d|l} 1$, 则有

$$\sum_{1 < d \leq x^{\frac{1}{2}-\epsilon}} \frac{3^{v(d)} |\mu(d)|}{\varphi(d)} \ll (\log x) \sum_{d \leq x^{\frac{1}{2}-\epsilon}} \frac{(\tau(d))^2}{d} \ll (\log x)^5.$$

故有

$$M_2 \ll (\log x)^6 \max_{1 < m \leq x^{\frac{1}{2}}} N_m. \quad (12)$$

其中

$$\begin{aligned} N_m &= \sum_{\substack{1 < l \leq x^{\frac{1}{2}-\epsilon} \\ (l, x)=1}} \frac{|\mu(l)| 3^{v(l)}}{l} \left| \sum_{\chi_l}^* \overline{\chi_l}(x) \sum_{\substack{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, m)=1}} \left(\frac{1}{p_1 p_2} \log \frac{x}{p_1 p_2}\right) \right. \\ &\quad \cdot \Phi\left(\frac{x}{p_1 p_2}, \chi_l\right) \chi_l(p_1 p_2) \Big|. \end{aligned}$$

我们用 $\sum_{(k, m)}$ 来表示一个和式, 其中的 p_1 和 p_2 经过且只经过 $x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}$,

$x^{\frac{13}{30}} 2^k < p_1 p_2 \leq x^{\frac{13}{30}} 2^{k+1}$, $(p_1 p_2, m) = 1$. 令 I_1 是一个正整数, 满足 $2^{I_1-1} (\log x)^{100} < x^{\frac{1}{2}-\epsilon} <$

$2^{l_1}(\log x)^{100}$, $l_2 = \left\lfloor \frac{7 \log x}{30 \log 2} \right\rfloor$, 则有

$$N_m \leq \sum_{l=0}^{l_1} \sum_{k=0}^{l_2} N_m^{(l,k)}. \quad (13)$$

其中

$$N_m^{(0,k)} = \sum_{\substack{1 < d \leq (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)| 3^{v(d)}}{d} \left| \sum_{\chi_d}^* \overline{\chi_d}(x) \sum_{(k,m)} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \Phi \left(\frac{x}{p_1 p_2}, \chi_d \right) \chi_d(p_1 p_2) \right|.$$

而当 $l \geq 1$ 时,

$$N_m^{(l,k)} = \sum_{\substack{2^{l-1} \log x < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)| 3^{v(d)}}{d} \cdot \left| \sum_{\chi_d}^* \overline{\chi_d}(x) \sum_{(k,m)} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right) \Phi \left(\frac{x}{p_1 p_2}, \chi_d \right) \chi_d(p_1 p_2) \right|.$$

令 $S(H, \omega, \chi_d) = \sum_{n=1}^H \frac{\mu(n) \chi_d(n)}{n^\omega}$, 其中 $H \ll x$. 我们知道当 $\operatorname{Re} \omega \geq 1$ 时, 有

$$S(H, \omega, \chi_d) \ll \log x; \quad L(\omega, \chi_d) = \sum_{n=1}^H \frac{\chi_d(n)}{n^\omega} + O\left(\frac{|\omega| d^{\frac{1}{2}} \log d}{H}\right).$$

故得到当 $\operatorname{Re} \omega \geq 1$ 时, 有

$$1 - L(\omega, \chi_d) S(H, \omega, \chi_d) = \sum_{n=1}^{\infty} \frac{C_H(n) \chi_d(n)}{n^\omega} + O\left(\frac{|\omega| d^{\frac{1}{2}} (\log x)^2}{H}\right),$$

其中 $C_H(1) = 0$, 当 $n > H^2$ 时, $C_H(n) = 0$; 而当 $n > 1$ 时, $C_H(n) = - \sum_d \mu(d)$, 其中 d

经过 n 的因子, 它使得 $1 \leq d \leq H$ 及 $\frac{n}{d} \leq H$; 当 $1 \leq n \leq H$ 时, 有 $C_H(n) = 0$; 而当 $n > H$

时, $C_H(n) \leq \tau(n)$. 故 $H \ll x$ 时, 由 Schwarz 不等式得到

$$\left| \sum_{n=1}^{\infty} \frac{C_H(n) \chi_d(n)}{n^\omega} \right|^2 \ll (\log x) \sum_{l=0}^{3l_1} \left| \sum_{n=2^{lH+1}}^{2^{l+1}H} \frac{C_H(n) \chi_d(n)}{n^\omega} \right|^2.$$

令 $\alpha = 1 + \frac{1}{\log x}$, 由上式、 $\sum_{n \leq x} \tau^2(n) \ll x(\log x)^3$ 及 (3) 式我们得到: 当 $Q \ll x$ 时, 有

$$\begin{aligned} \sum_{D < d \leq Q} \frac{1}{\varphi(d)} \sum_{\chi_d}^* \left| \sum_{n=2^{lH+1}}^{2^{l+1}H} \frac{C_H(n) \chi_d(n)}{n^{\alpha+iv}} \right|^2 &\ll \left(Q + \frac{2^l H}{D} \right) \sum_{n=2^{lH+1}}^{2^{l+1}H} \frac{(\tau(n))^2}{n^2} \\ &\ll \left(\frac{Q}{2^{lH}} + \frac{1}{D} \right) (\log x)^3, \end{aligned}$$

及

$$\begin{aligned} \sum_{D < d \leq Q} \frac{1}{\varphi(d)} \sum_{\chi_d}^* |1 - L(\alpha + iv, \chi_d) S(H, \alpha + iv, \chi_d)|^2 \\ \ll \sum_{D < d \leq Q} \frac{1}{\varphi(d)} \sum_{\chi_d}^* \left| \sum_{n=1}^{\infty} \frac{C_H(n) \chi_d(n)}{n^{\alpha+iv}} \right|^2 + \frac{|\alpha + iv|^2 Q^2 (\log x)^4}{H^2} \end{aligned}$$

$$\ll \left(\frac{Q}{H} + \frac{1}{D} + \frac{|\alpha + i\nu|^2 Q^2}{H^2} \right) (\log x)^5. \quad (14)$$

令 $\beta = \frac{1}{2} + \frac{1}{\log x}$, 由于 $\{S(H, \beta + i\nu, \chi_d)\}^2 = \sum_{n=1}^{H^2} \frac{j(n)\chi_d(n)}{n^{\beta+i\nu}}$, 其中 $|j(n)| \leq \tau(n)$, 故由

(3)式可知, 当 $l \geq 1, H \ll x$ 时, 有

$$\begin{aligned} & \sum_{2^{l-1}(\log x)^{100} < d \leq 2^l(\log x)^{100}} \frac{1}{\varphi(d)} \sum_{\chi_d}^* |S(H, \beta + i\nu, \chi_d)|^4 \\ & \ll \left(2^l(\log x)^{100} + \frac{H^2}{2^l(\log x)^{100}} \right) \sum_{n=1}^{H^2} \frac{(\tau(n))^2}{n} \ll 2^l(\log x)^{104} + \frac{H^2}{2^l(\log x)^{96}}. \end{aligned} \quad (15)$$

由于 $L'(\omega, \chi_d) = \frac{1}{2\pi i} \int_r \frac{L(\xi, \chi_d)}{(\xi - \omega)^2} d\xi$, 其中 r 是以 ω 为中心, $(\log x)^{-1}$ 为半径的圆, 故有

$$|L'(\omega, \chi_d)| \ll (\log x)^2 \int_r |L(\xi, \chi_d)| d\xi.$$

利用 Holder 不等式, 得到

$$|L'(\omega, \chi_d)|^4 \ll (\log x)^5 \int_r |L(\xi, \chi_d)|^4 d\xi.$$

又由引理 3, 我们有

$$\sum_{2^{l-1}(\log x)^{100} < d \leq 2^l(\log x)^{100}} \left(\frac{1}{\varphi(d)} \right) \sum_{\chi_d}^* |L'(\beta + i\nu, \chi_d)|^4 \ll 2^l(\log x)^{109} (|\beta + i\nu|)^2.$$

当 $\operatorname{Re} \omega \geq \alpha = 1 + \frac{1}{\log x}$ 时, 我们得到

$$\frac{L'}{L}(\omega, \chi_d) = \left\{ \frac{L'}{L}(\omega, \chi_d) \right\} \{1 - L(\omega, \chi_d)S(H, \omega, \chi_d)\} + L'(\omega, \chi_d)S(H, \omega, \chi_d). \quad (16)$$

令

$$\begin{aligned} A(l, k, \omega, m, H) &= \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l(\log x)^{100} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{d} \\ &\quad \cdot \sum_{\chi_d}^* \left| \sum_{(k, m)} \frac{\chi_d(p_1 p_2)}{(p_1 p_2)^\omega \log \frac{x}{p_1 p_2}} \right| |1 - L(\omega, \chi_d)S(H, \omega, \chi_d)|. \\ B(l, k, \omega, m, H) &= \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l(\log x)^{100} \\ (d, x)=1}} \frac{|\mu(d)| 3^{v(d)}}{d} \\ &\quad \cdot \sum_{\chi_d}^* \left| \sum_{(k, m)} \frac{\chi_d(p_1 p_2)}{(p_1 p_2)^\omega \log \frac{x}{p_1 p_2}} \right| |L'(\omega, \chi_d)S(H, \omega, \chi_d)|. \end{aligned}$$

若 $l \geq 1$ 时, 由(16)式我们有

$$\begin{aligned} N_m^{(l, k)} &\ll x(\log x)^2 \int_0^\infty \frac{A(l, k, \alpha + i\nu, m, H)}{|\alpha + i\nu| \left(1 + \frac{|\alpha + i\nu|}{(\log x)^{1.1}} \right)^{(\log x)+1}} d\nu \\ &\quad + x^{\frac{1}{2}} \int_0^\infty \frac{B(l, k, \beta + i\nu, m, H)}{|\beta + i\nu| \left(1 + \frac{|\beta + i\nu|}{(\log x)^{1.1}} \right)^{(\log x)+1}} d\nu. \end{aligned} \quad (17)$$

显见,当 $|\mu(d)| \asymp 0$ 及 d 很大时,有

$$3^{v(d)} \leq e^{\frac{3 \log d}{\log \log d}}. \quad (18)$$

现在我们首先对 $l \geq 1$ 时, $2^k x^{\frac{13}{30}} > x^{\frac{1}{2}-\epsilon}$ 及 $x^{\frac{1}{2}-\epsilon} \geq 2^k x^{\frac{13}{30}} > 2^l (\log x)^{100}$ 这二种情形的 $N_m^{(l,k)}$

进行估计,此时我们取 $H = 2^l (\log x)^{200} I_{l,x}$, 其中 $I_{l,x} = e^{\frac{6 \log \{2^l (\log x)^{100}\}}{\log \log \{2^l (\log x)^{100}\}}}$. 则根据(14)–(18)式,我们有

$$\begin{aligned} N_m^{(l,k)} &\ll x(\log x)^4 \int_0^\infty \left[\sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| \sum_{\substack{(k,m) \\ (p_1 p_2)^{a+iv} \log \frac{x}{p_1 p_2}}} \frac{\chi_d(p_1 p_2)}{(p_1 p_2)^{a+iv} \log \frac{x}{p_1 p_2}} \right|^2 \right] \\ &\quad \cdot \left\{ \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| 1 - L(\alpha + iv, \chi_d) S(H, \alpha + iv, \chi_d) \right|^2 \right\} I_{l,x}^{\frac{1}{2}} \\ &\quad \cdot \left(\frac{dv}{1+v^{2.1}} \right) + x^{\frac{1}{2}} (\log x)^4 \int_0^\infty \left\{ (I_{l,x}) \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \right. \\ &\quad \cdot \left| \sum_{\substack{(k,m) \\ (p_1 p_2)^{\beta+iv} \log \frac{x}{p_1 p_2}}} \frac{\chi_d(p_1 p_2)}{(p_1 p_2)^{\beta+iv} \log \frac{x}{p_1 p_2}} \right|^2 \left\{ \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| S(H, \beta + iv, \chi_d) \right|^4 \right\}^{\frac{1}{4}} \\ &\quad \cdot \left(\frac{dv}{1+v^4} \right) \left\{ \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100} \\ (d,x)=1}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| L'(\beta + iv, \chi_d) \right|^4 \right\}^{\frac{1}{4}} \\ &\ll x(\log x)^8 \int_0^\infty \left\{ \left(2^l (\log x)^{100} + \frac{2^k x^{\frac{13}{30}}}{2^l (\log x)^{100}} \right) \left(\sum_{\substack{2^k x^{\frac{13}{30}} < n \leq 2^{k+1} x^{\frac{13}{30}} \\ n^2}} \frac{1}{n^2} \right) \left(\frac{2^l (\log x)^{100}}{H} \right. \right. \\ &\quad \left. \left. + \frac{1}{2^l (\log x)^{100}} + \frac{(1+v^2)2^{2l} (\log x)^{200}}{H^2} \right) (I_{l,x}) \right\}^{\frac{1}{2}} \left(\frac{dv}{1+v^{2.1}} \right) + x^{\frac{1}{2}} (\log x)^8 \int_0^\infty \left\{ \left(2^l (\log x)^{100} \right. \right. \\ &\quad \left. \left. + \frac{2^k x^{\frac{13}{30}}}{2^l (\log x)^{100}} \right) (I_{l,x}) \right\}^{\frac{1}{2}} \left\{ 2^{2l} (\log x)^{213} + H^2 (\log x)^{13} \right\}^{\frac{1}{4}} (1+v^2)^{\frac{1}{4}} \left(\frac{dv}{1+v^4} \right) \ll \frac{x}{(\log x)^{20}}. \quad (19) \end{aligned}$$

现在我们对 $2^k x^{\frac{13}{30}} \leq 2^l (\log x)^{100} \leq 2x^{\frac{1}{2}-\epsilon}$ 时的 $N_m^{(l,k)}$ 进行估计,此时我们取

$$H = \max(2^{2l-k} x^{-\frac{13}{30}} (\log x)^{400} I_{l,x}, x^{\frac{1}{2}-\epsilon}),$$

则有

$$\begin{aligned} N_m^{(l,k)} &\ll x(\log x)^8 \int_0^\infty \left\{ \left(2^l (\log x)^{100} + \frac{2^k x^{\frac{13}{30}}}{2^l (\log x)^{100}} \right) \left(\sum_{\substack{2^k x^{\frac{13}{30}} < n \leq 2^{k+1} x^{\frac{13}{30}} \\ n^2}} \frac{1}{n^2} \right) \right. \\ &\quad \cdot \left(\frac{2^l (\log x)^{100}}{H} + \frac{1}{2^l (\log x)^{100}} + \frac{(1+v^2)2^{2l} (\log x)^{200}}{H^2} \right) (I_{l,x}) \left. \right\}^{\frac{1}{2}} \left(\frac{dv}{1+v^{2.1}} \right) \\ &\quad + x^{\frac{1}{2}} (\log x)^4 \int_0^\infty \left\{ \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100}}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| S(H, \beta + iv, \chi_d) \right|^2 \right\}^{\frac{1}{2}} (I_{l,x})^{\frac{1}{2}} \\ &\quad \cdot \left\{ \sum_{\substack{2^{l-1}(\log x)^{100} < d \leq 2^l (\log x)^{100}}} \frac{|\mu(d)|}{d} \sum_{\chi_d}^* \left| L'(\beta + iv, \chi_d) \right|^4 \right\}^{\frac{1}{4}} \end{aligned}$$

$$\begin{aligned} & \cdot \left\{ \sum_{2^{l-1}(\log x)^{100} < d \leq 2^l(\log x)^{100}} \frac{|\mu(d)|}{d} \sum_{x_d}^* \left| \left(\sum_{(k,m)} \frac{\chi_d(p_1 p_2)}{(p_1 p_2)^{\beta + i\nu} \log \frac{x}{p_1 p_2}} \right)^2 \right|^{\frac{1}{4}} \right\}^{\frac{1}{4}} \left(\frac{dv}{1+v^4} \right) \\ & \ll \frac{x}{(\log x)^{20}} + x^{\frac{1}{2}} (\log x)^{20} \left\{ 2^l (\log x)^{100} + \frac{H}{2^l (\log x)^{100}} \right\}^{\frac{1}{2}} (I_{l,x})^{\frac{1}{2}} (2^l (\log x)^{100})^{\frac{1}{4}} \\ & \cdot \left(2^l (\log x)^{100} + \frac{2^{2k} x^{\frac{13}{15}}}{2^l (\log x)^{100}} \right)^{\frac{1}{4}} \int_0^\infty \frac{(1+v^2)^{\frac{1}{4}}}{1+v^4} dv \ll \frac{x}{(\log x)^{20}}. \end{aligned} \quad (20)$$

现在来估计 $N_m^{(0,k)}$, 其中 $0 \leq k \leq l_2$. 当 χ_d 是原特征及 $\operatorname{Re} S \geq 1 - \frac{c}{d^{\frac{1}{200}}}$ 时, $L(S, \chi_d) \neq 0$.

其中 c 是一个常数, 故有

$$\begin{aligned} N_m^{(0,k)} & \ll \sum_{1 < d \leq (\log x)^{100}} \frac{3^{\nu(d)} |\mu(d)|}{d} \sum_{x_d}^* \left| \int_{1 - \frac{1}{(\log x)^{1/2}} - i\infty}^{1 - \frac{1}{(\log x)^{1/2}} + i\infty} \sum_{(k,m)} \left(\frac{1}{\log \frac{x}{p_1 p_2}} \right. \right. \\ & \quad \cdot \chi_d(p_1 p_2) \left(\frac{x}{p_1 p_2} \right)^\omega \left(1 + \frac{\omega}{(\log x)^{1.1}} \right)^{-[\log x] - 1} \frac{L'}{L}(\omega, \chi_d) \frac{d\omega}{\omega} \Big| \\ & \ll (\log x)^{200} \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1} \right)^{\frac{1}{2}}} \left(\frac{x}{p_1 p_2} \right)^{1 - \frac{1}{(\log x)^{1/2}}} \ll \frac{x}{(\log x)^{20}}. \end{aligned} \quad (21)$$

由 (12), (13) 式及 (19)–(21) 式, 本引理得证.

引理 7. 对于大偶数 x , 我们有

$$M_1 \leq \left\{ \frac{(8 + 24\epsilon)x C_x}{\log x} \right\} \left\{ \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1} \right)^{\frac{1}{2}}} \frac{1}{p_1 p_2 \log \frac{x}{p_1 p_2}} \right\},$$

其中 $C_x = \prod_{\substack{p \mid x \\ p > 2}} \frac{p-1}{p-2} \prod_{p > 2} \left(1 - \frac{1}{(p-1)^2} \right)$.

证. 令 $S = \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k,x)=1}} \frac{\mu^2(k)}{f(k)}$, 则有

$$\lambda_d g(d) = \left(\frac{1}{S} \right) \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/d \\ (k,xd)=1}} \frac{\mu(kd)\mu(k)}{f(kd)}.$$

当 $(m, x) = 1$ 时, 我们有

$$\begin{aligned} \sum_{\substack{d \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d,x)=1, m \mid d}} \lambda_d g(d) & = \left(\frac{1}{S} \right) \left(\sum_{\substack{d \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d,x)=1, m \mid d}} \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/d \\ (k,xd)=1}} \frac{\mu(kd)\mu(k)}{f(kd)} \right) \\ & = \left(\frac{1}{S} \right) \sum_{\substack{1 \leq r \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (r,x)=1}} \frac{\mu(r)}{f(r)} \sum_{m \mid d \mid r} \mu\left(\frac{r}{d}\right) = \frac{\mu(m)}{S f(m)}. \end{aligned}$$

由于 $\frac{1}{\varphi\left(\frac{d_1 d_2}{(d_1, d_2)}\right)} = g(d_1)g(d_2) \sum_{d \mid (d_1, d_2)} f(d)$. 故有

$$\sum_{\substack{d_1 \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d_1 d_2, x)=1}} \sum_{\substack{d_2 \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d_1 d_2, x)=1}} \frac{\lambda_{d_1} \lambda_{d_2}}{\varphi\left(\frac{d_1 d_2}{(d_1, d_2)}\right)} = \sum_{\substack{d_1 \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d_1 d_2, x)=1}} \sum_{\substack{d_2 \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (d_1 d_2, x)=1}} \lambda_{d_1} \lambda_{d_2} g(d_1) g(d_2) \sum_{k \mid (d_1, d_2)} f(k)$$

$$= \sum_{\substack{k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k,x)=1}} f(k) \left(\sum_{\substack{d \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ k|d, (d,x)=1}} \lambda_d g(d) \right)^2 = \frac{1}{S}. \quad (22)$$

令 $V_k(x) = \sum_{\substack{1 \leq n \leq x \\ (n,k)=1}} \frac{\mu^2(n)}{\varphi(n)}$, 则有

$$\begin{aligned} \log x &\leq \sum_{n=1}^x \frac{1}{n} \leq \sum_{1 \leq n \leq x} \frac{\mu^2(n)}{n} \prod_{p|n} \left(\sum_{l=0}^{\infty} \frac{1}{p^l} \right) = \sum_{1 \leq n \leq x} \frac{\mu^2(n)}{n} \prod_{p|n} \left(1 - \frac{1}{p} \right)^{-1} \\ &= V_1(x) = \sum_{d|k} \sum_{\substack{1 \leq n \leq x \\ (n,k)=d}} \frac{\mu^2(n)}{\varphi(n)} = \sum_{d|k} \frac{\mu^2(d)}{\varphi(d)} \sum_{\substack{1 \leq m \leq x/d \\ (m,k)=1}} \frac{\mu^2(m)}{\varphi(m)} \leq \sum_{d|k} \frac{\mu^2(d)}{\varphi(d)} V_k(x) \\ &= \frac{kV_k(x)}{\varphi(k)}, \end{aligned}$$

故有 $V_k(x) \geq \frac{\varphi(k) \log x}{k}$. 令 $\phi(1) = 1$, 而当 $q > 2$ 时, 令 $\phi(q) = \prod_{p|q} (p-2)$, 则有

$$\begin{aligned} S &= \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k,x)=1}} \frac{\mu^2(k)}{\varphi(k)} \prod_{p|k} \left(1 + \frac{1}{p-2} \right) = \sum_{\substack{1 \leq k \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (k,x)=1}} \frac{\mu^2(k)}{\varphi(k)} \sum_{q|k} \frac{1}{\phi(q)} \\ &= \sum_{\substack{q \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (q,x)=1}} \frac{\mu^2(q)}{\phi(q) \varphi(q)} \sum_{\substack{r \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}}/q \\ (r,qx)=1}} \frac{\mu^2(r)}{\varphi(r)} \geq \sum_{\substack{q \leq (x^{\frac{1}{2}-\epsilon})^{\frac{1}{2}} \\ (q,x)=1}} \frac{\mu^2(q)}{\phi(q) \varphi(q)} \left\{ \frac{\varphi(qx)}{qx} \log x^{\frac{1-\epsilon}{4}} \right\} \\ &= \left(\frac{\varphi(x)}{x} \right) (\log x^{\frac{1-\epsilon}{4}})^{-1} \prod_{p|x} \left(1 + \frac{1}{p(p-2)} \right) + o(1) = \frac{\left(\frac{1}{8} - \frac{\epsilon}{4} \right) (\log x)}{C_x} + o(1). \end{aligned}$$

由 (22) 式及上式, 当 x 很大时, 有

$$M_1 \leq (8 + 24\epsilon) C_x (\log x)^{-1} \sum_{\substack{x^{\frac{1}{10}} < p_1 < x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}} \\ n \leq \frac{x}{p_1 p_2}}} \left(\frac{\Lambda(n)}{\log \frac{x}{p_1 p_2}} \right) \Phi \left(\frac{x}{p_1 p_2 n} \right).$$

由引理 1, 本引理得证.

引理 8. 设 x 是大偶数, 则有

$$Q \leq \frac{3.9404 x C_x}{(\log x)^2}.$$

证. 当 x 很大时, 由引理 5 到引理 7, 我们有

$$Q \leq \left\{ \frac{8(1+5\epsilon)x C_x}{\log x} \right\} \left\{ \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}} \frac{1}{p_1 p_2 \log \frac{x}{p_1 p_2}} \right\}, \quad (23)$$

又有

$$\begin{aligned} \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}} < p_2 \leq \left(\frac{x}{p_1}\right)^{\frac{1}{2}}} \frac{1}{p_1 p_2 \log \frac{x}{p_1 p_2}} &\leq (1+\epsilon) \sum_{x^{\frac{1}{10}} < p_1 \leq x^{\frac{1}{3}}} \int_{x^{\frac{1}{3}}}^{\left(\frac{x}{p_1}\right)^{\frac{1}{2}}} \frac{dt}{p_1 t (\log t) \log \frac{x}{p_1 t}} \\ &\leq (1+2\epsilon) \int_{x^{\frac{1}{10}}}^{\frac{1}{3}} \frac{dS}{S \log S} \int_{x^{\frac{1}{3}}}^{\left(\frac{x}{S}\right)^{\frac{1}{2}}} \frac{dt}{t (\log t) \left(\log \frac{x}{St} \right)} = (1+2\epsilon) \int_{\frac{1}{10}}^{\frac{1}{3}} \frac{d\alpha}{\alpha} \int_{\frac{1}{3}}^{\frac{1-\alpha}{2}} \frac{d\beta}{\beta (1-\alpha-\beta) \log x}, \end{aligned}$$

及

$$\begin{aligned}
& \int_{\frac{1}{10}}^{\frac{1}{3}} \frac{d\alpha}{\alpha} \int_{\frac{1}{3}}^{\frac{1-\alpha}{2}} \left(\frac{1}{1-\alpha} \right) \left(\frac{1}{\beta} + \frac{1}{1-\alpha-\beta} \right) d\beta = \int_{\frac{1}{10}}^{\frac{1}{3}} \frac{\log \frac{1-\alpha}{2} - \log \frac{1}{3} - \log \frac{1-\alpha}{2} + \log \left(\frac{2}{3} - \alpha \right)}{\alpha(1-\alpha)} d\alpha \\
& = \int_{\frac{1}{10}}^{\frac{1}{3}} \frac{\log(2-3\alpha)}{\alpha(1-\alpha)} d\alpha = \sum_{i=0}^6 \int_{\frac{1}{10}+\frac{i}{30}}^{\frac{1}{10}+\frac{i+1}{30}} \frac{\log \left(1.6 - \frac{i}{10} \right)}{\alpha(1-\alpha)} d\alpha + \sum_{i=0}^6 \int_{\frac{1}{10}+\frac{i}{30}}^{\frac{1}{10}+\frac{i+1}{30}} \frac{\log \frac{2-3\alpha}{1.6-0.i}}{\alpha(1-\alpha)} d\alpha \\
& \leq \sum_{i=0}^6 \left\{ \log \left(1.6 - \frac{i}{10} \right) \right\} \left\{ \log \frac{\frac{9}{10} - \frac{i}{30}}{\frac{1}{10} + \frac{i}{30}} - \log \frac{\frac{9}{10} - \frac{1}{30} - \frac{i}{30}}{\frac{1}{10} + \frac{i+1}{30}} \right\} \\
& + \sum_{i=0}^6 \int_{\frac{1}{10}+\frac{i}{30}}^{\frac{1}{10}+\frac{i+1}{30}} \frac{(0.4 + 0.i - 3\alpha)}{(1.6 - 0.i)\alpha(1-\alpha)} d\alpha \leq \sum_{i=0}^6 \left\{ \log(1.6 - 0.i) + \frac{4+i}{16-i} \right\} \left\{ \log \frac{27-i}{3+i} \right. \\
& \left. - \log \frac{26-i}{4+i} \right\} - 3 \sum_{i=0}^6 \int_{\frac{1}{10}+\frac{i}{30}}^{\frac{1}{10}+\frac{i+1}{30}} \frac{d\alpha}{(1.6 - 0.i)(1-\alpha)} = \sum_{i=0}^6 \left\{ \log(1.6 - 0.i) + \frac{4+i}{16-i} \right\} \\
& \cdot \left\{ \log \frac{108 + 23i - i^2}{78 + 23i - i^2} \right\} - 3 \sum_{i=0}^6 \left(\frac{1}{1.6 - 0.i} \right) \left(\log \frac{27-i}{26-i} \right) \\
& \leq (0.47 + 0.25)(0.32542) + (0.40547 + 0.33334)(0.26236) + (0.33647 \\
& + 0.42858)(0.22315) + (0.26236 + 0.53847)(0.19671) + (0.18232 \\
& + 0.66667)(0.17799) + (0.09531 + 0.81819)(0.16431) \\
& + 0.15415 - 3 \left(\frac{0.03774}{1.6} + \frac{0.03922}{1.5} + \frac{0.04082}{1.4} + \frac{0.04256}{1.3} + \frac{0.04445}{1.2} \right. \\
& \left. + \frac{0.04652}{1.1} + 0.04879 \right) \leq 0.234303 + 0.193837 + 0.17073 + 0.15754 + 0.151115 \\
& + 0.1501 + 0.15415 - 3(0.023587 + 0.026146 + 0.029157 + 0.032738 \\
& + 0.037041 + 0.04229 + 0.04879) \leq 1.21178 - 0.71924 = 0.49254. \quad (24)
\end{aligned}$$

由(23)和(24)式,引理8得证.

设 x 是一大偶数, 令 $P_x(x, x^{\frac{1}{10}})$ 表示满足下面条件的素数 p 的个数: $p \leq x$, $p \not\equiv x \pmod{p_i}$ ($1 \leq i \leq j$), 其中 $3 = p_1 < p_2 < \cdots < p_j \leq x^{\frac{1}{10}}$. 对于一个素数 p' , 则令 $P_x(x, p', x^{\frac{1}{10}})$ 表示满足下面条件的素数 p 的个数: $p \leq x$, $p \equiv x \pmod{p'}$, $p \not\equiv x \pmod{p_i}$ ($1 \leq i \leq j$). 其中 $3 = p_1 < p_2 < \cdots < p_j \leq x^{\frac{1}{10}}$.

引理 9. 设 x 是大偶数, 则有

$$P_x(x, x^{\frac{1}{10}}) - \left(\frac{1}{2} \right) \sum_{x^{\frac{1}{10}} < p \leq x^{\frac{1}{3}}} P_x(x, p, x^{\frac{1}{10}}) \geq \frac{2.6408x C_x}{(\log x)^2},$$

$$\text{其中 } C_x = \prod_{\substack{p \mid x \\ p > 2}} \frac{p-1}{p-2} \prod_{p > 2} \left(1 - \frac{1}{(p-1)^2} \right).$$

证. 在文献[11]中取 $r(p) = \frac{p}{p-1}$, $K = x$, $Z = x^{\frac{1}{10}}$, 则显见文献[11]中的条件 (A_1) 和

(A_2) 都满足, 由文献 [11] 中的 (2.11) 式, 我们有

$$\begin{aligned} \Gamma_x(x^{\frac{1}{10}}) &= \frac{x}{\varphi(x)} \prod_{p \nmid x} \frac{1 - \frac{1}{p-1}}{1 - \frac{1}{p}} \frac{e^{-r}}{\log x^{\frac{1}{10}}} \left\{ 1 + O\left(\frac{1}{\log x}\right) \right\} \\ &= \frac{x}{\varphi(x)} \prod_{\substack{p \nmid x \\ p > 2}} \frac{(p-1)^2}{p(p-2)} \prod_{p > 2} \left(1 - \frac{1}{(p-1)^2} \right) \frac{e^{-r}}{\log x^{\frac{1}{10}}} \left\{ 1 + O\left(\frac{1}{\log x}\right) \right\} \\ &= \frac{20e^{-r}C_x}{\log x} \left\{ 1 + O\left(\frac{1}{\log x}\right) \right\}. \end{aligned} \quad (25)$$

其中 r 是 Euler 常数. 又当 $0 < u \leq 2$ 时, 令 $F(u) = \frac{2e^r}{u}$, $f(u) = 0$. 而当 $u \geq 2$ 时, 令 $(uF(u))' = f(u-1)$, $(uf(u))' = F(u-1)$, 当 $2 < u \leq 3$ 时, 有 $uF(u) = 2F(2)$, $F(u) = \frac{2e^r}{u}$. 又当 $2 < u \leq 4$ 时, 则有

$$uf(u) = \int_2^u F(t-1)dt = 2e^r \log(u-1), \quad f(u) = \frac{2e^r \log(u-1)}{u}.$$

当 $3 \leq u \leq 4$ 时, 我们有

$$uF(u) = 2e^r + \int_3^u f(t-1)dt = 2e^r \left(1 + \int_2^{u-1} \frac{\log(t-1)}{t} dt \right),$$

又有

$$5f(5) = 2e^r \log 3 + \int_4^5 F(u-1)du = 2e^r \left(\log 4 + \int_3^4 \frac{du}{u} \int_2^{u-1} \frac{\log(t-1)}{t} dt \right).$$

在文献[11]的定理 A 中, 取 $\xi^2 = x^{\frac{1}{2}-\epsilon}$, $q = 1$, $z = x^{\frac{1}{10}}$, 则由 (25) 式及文献 [11] 中的 (2.19), (4.18) 及 (3.24) 式, 我们知道当 x 很大时, 有

$$\begin{aligned} P_x(x, x^{\frac{1}{10}}) &\geq \frac{2(1 - \sqrt{\epsilon})e^{-r}xC_x f(5)}{(\log x)(\log x^{\frac{1}{10}})} \geq \left\{ \frac{8(1 - \sqrt{\epsilon})xC_x}{(\log x)^2} \right\} \\ &\quad \cdot \left\{ \log 4 + \int_3^4 \frac{du}{u} \int_2^{u-1} \frac{\log(t-1)}{t} dt \right\}. \end{aligned} \quad (26)$$

又在文献[11]的定理 A 中取 $\xi^2 = \frac{x^{\frac{1}{2}-\epsilon}}{p}$, $q = p$, $z = x^{\frac{1}{10}}$, 则由 (25) 式及文献 [11] 中的 (2.18), (3.24) 及 (4.18), 我们有

$$\begin{aligned} \sum_{x^{\frac{1}{10}} < p \leq x^{\frac{1}{3}}} P_x(x, p, x^{\frac{1}{10}}) &\leq \left\{ \frac{20(1 + \sqrt{\epsilon})e^{-r}xC_x}{(\log x)^2} \right\} \left\{ \sum_{x^{\frac{1}{10}} < p \leq x^{\frac{1}{3}}} \left(\frac{2e^r}{p} \right) \right. \\ &\quad \cdot \left. \left(1 + \int_2^{4 - \frac{10 \log p}{\log x}} \frac{\log(t-1)}{t} dt \right) \left(\frac{\log x^{\frac{1}{10}}}{\log \frac{x^{\frac{1}{2}}}{p}} \right) + \sum_{x^{\frac{1}{3}} < p \leq x^{\frac{1}{2}}} \frac{2e^r \log x^{\frac{1}{10}}}{p \log \frac{x^{\frac{1}{2}}}{p}} \right\} \\ &\leq \left\{ \frac{(4 + 5\sqrt{\epsilon})xC_x}{\log x} \right\} \left\{ \int_{x^{\frac{1}{10}}}^{x^{\frac{1}{3}}} \frac{dS}{S(\log S) \left(\log \frac{x^{\frac{1}{2}}}{S} \right)} \int_2^{4 - \frac{10 \log S}{\log x}} \frac{\log(t-1)}{t} dt \right. \end{aligned}$$

$$\begin{aligned}
& + \int_{x^{\frac{1}{10}}}^{x^{\frac{1}{5}}} \frac{dS}{S(\log S) \left(\log \frac{x^{\frac{1}{2}}}{S} \right)} \Bigg\} = \left\{ \frac{(4 + 5\sqrt{\varepsilon})x C_x}{(\log x)^2} \right\} \left\{ \int_{\frac{1}{10}}^{\frac{1}{5}} \frac{d\alpha}{\alpha \left(\frac{1}{2} - \alpha \right)} \int_2^{4-10\alpha} \frac{\log(t-1)}{t} dt \right. \\
& + \left. \int_{\frac{1}{10}}^{\frac{1}{5}} \frac{d\alpha}{\alpha \left(\frac{1}{2} - \alpha \right)} \right\} = \left\{ \frac{(8 + 10\sqrt{\varepsilon})x C_x}{(\log x)^2} \right\} \\
& \cdot \left\{ \log 8 + \int_{\frac{1}{10}}^{\frac{1}{5}} \frac{d\alpha}{2\alpha \left(\frac{1}{2} - \alpha \right)} \int_2^{4-10\alpha} \frac{\log(t-1)}{t} dt \right\}.
\end{aligned}$$

令 $4 - 10\alpha = u - 1$, $\alpha = \frac{5-u}{10}$, $\frac{d\alpha}{\alpha \left(\frac{1}{2} - \alpha \right)} = -\frac{10du}{u(5-u)}$, 又当 $\alpha = \frac{1}{10}$ 时, 有 $u = 4$,

而当 $\alpha = \frac{1}{5}$ 时, $u = 3$, 故有

$$\int_{\frac{1}{10}}^{\frac{1}{5}} \frac{d\alpha}{\alpha \left(\frac{1}{2} - \alpha \right)} \int_2^{4-10\alpha} \frac{\log(t-1)}{t} dt = \int_3^4 \frac{10du}{u(5-u)} \int_2^{u-1} \frac{\log(t-1)}{t} dt.$$

显见, 当 $1 \leq x \leq 2$ 时, 有 $\log x \leq \frac{x-1}{2} + \frac{x-1}{1+x}$, 故有

$$\begin{aligned}
& \int_3^4 \frac{du}{u} \int_2^{u-1} \frac{\log(t-1)}{t} dt - \left(\frac{1}{4} \right) \int_{\frac{1}{10}}^{\frac{1}{5}} \frac{d\alpha}{\alpha \left(\frac{1}{2} - \alpha \right)} \int_2^{4-10\alpha} \frac{\log(t-1)}{t} dt \\
& = \int_3^4 \left(\frac{1}{u} - \frac{2.5}{u(5-u)} \right) du \int_2^{u-1} \frac{\log(t-1)}{t} dt \geq \int_3^4 \left\{ \frac{2.5-u}{u(5-u)} \right\} du \\
& \quad \cdot \int_2^{u-1} \left(\frac{t-2}{2} + \frac{t-2}{t} \right) \left(\frac{dt}{t} \right) = \int_3^4 \left\{ \frac{2.5-u}{2u(5-u)} \right\} \left(u-3 + \frac{4}{u-1} - 2 \right) du \\
& = \int_3^4 \left(\frac{1}{2} - \frac{2.25}{u} - \frac{1}{4(5-u)} + \frac{0.75}{u-1} \right) du = \frac{1}{2} - 2.25 \log \frac{4}{3} - \frac{\log 2}{4} \\
& \quad + 0.75 \log \frac{3}{2} = \frac{1}{2} + 0.75 \log \frac{9}{8} - 1.5 \log \frac{4}{3} - \frac{\log 2}{4} \\
& \geq 0.588335 - 0.6048075 = -0.0164725.
\end{aligned} \tag{27}$$

由 (26) 和 (27) 式, 我们有

$$\begin{aligned}
P_x(x, x^{\frac{1}{10}}) - \left(\frac{1}{2} \right) \sum_{\frac{1}{10} < p \leq x^{\frac{1}{5}}} P_x(x, p, x^{\frac{1}{10}}) & \geq \left(\frac{(8 - 50\sqrt{\varepsilon})x C_x}{(\log x)^2} \right) \\
& \cdot \left(\log 4 - \frac{\log 8}{2} - 0.0164725 \right) \geq \frac{(8x C_x)(0.3301)}{(\log x)^2}.
\end{aligned}$$

故引理 9 得证.

三、结 果

显见,我们有

$$P_x(1, 2) \geq P_x(x, x^{\frac{1}{10}}) - \left(\frac{1}{2}\right) \sum_{\substack{\frac{1}{10} < p \leq x^{\frac{1}{5}}}} P_x(x, p, x^{\frac{1}{10}}) - \frac{Q}{2} - x^{0.91}. \quad (28)$$

由(28)式、引理 8 和引理 9, 即得到定理 1

$$(1, 2) \text{ 及 } P_x(1, 2) \geq \frac{0.67xC_x}{(\log x)^2}$$

的证明.

完全类似的方法可得到定理 2 的证明.

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