

# DAWSON: Data Augmentation using Weak Supervision On Natural Language

Anonymous EMNLP submission

## Abstract

We propose a novel data augmentation model for text, using all available data through weak supervision. To improve generalization, recent work in the field uses BERT and masked language modeling to conditionally augment data. These models all involve a small, high-quality labeled dataset, but omit the abundance of unlabeled data, which is likely to be present if one considers a model in the first place. Weak supervision methods, such as Snorkel, make use of the vastness of unlabeled data, but largely omit the available ground truth labels. We combine data augmentation and weak supervision techniques into a holistic method, consisting of 4 training phases and 2 inference phases, to efficiently train an end-to-end model when only a small amount of annotated data is available. We outperform the benchmark (Kumar et al., 2020) for the SST-2 task by 1.5, QQP task by 4.4, and QNLI task by 3.0 absolute accuracy points, and show that data augmentation is also effective for natural language understanding tasks, such as QQP and QNLI.

## 1 Introduction

In Natural Language Processing, task-specific vocabulary construction, text cleaning, and model architectures have been rendered mostly obsolete by transformer models (Vaswani et al., 2017), such as BERT (Devlin et al., 2019). However, as model architectures have grown larger, so did the amount of data required to train them. The limiting factor has become the collection of high-quality labels for the training data, which is often expensive to obtain (Hancock et al., 2019). We focus on the common situation, in which there is only a small dataset with high-quality labels, but an abundance of unlabeled data. We present novel techniques to extract more information out of *all* data available, by proposing weak supervision tasks to improve augmentation using the unlabeled data.

In data augmentation, high-quality labeled samples are augmented to create new samples, while entirely omitting the large unlabeled dataset. Data augmentation increases invariance by feature-averaging, and the variance of the augmented samples acts as a regularization term that penalizes model complexity (Dao et al., 2019). In contrast, weak supervision uses external knowledge bases, related datasets, or rules of thumb to generate low-quality label estimates for a large collection of unlabeled data. High-quality labeled data - if available - is typically used for validation only. Both methods aim to solve a different part of the same problem, but are rarely found together in academic research.

In this work, we propose to combine data augmentation and weak supervision, using span extraction, into a holistic methodology that - to the best of our knowledge - is a new contribution to the field. We present the methodology as Data Augmentation using Weak Supervision On Natural Language (DAWSON). The output of DAWSON is a *dataset*, which is a combination of both the original and augmented texts. The aim is to improve the augmentations by adding additional training steps to obtain a better augmentation model (AM).

The paper is structured as follows: in Section 2, we give a brief introduction to existing methods. In Section 3, we present DAWSON. In Section 4, an ablation study is done. Our conclusion is drawn in Section 5. The code is available at XXXX<sup>1</sup>.

## 2 Background

In this section, we give an introduction to the currently used methods that DAWSON is based on. As running example, we use a sentiment classification task for the negative movie review:

*“one relentlessly depressing situation”*

All operations are on *token* level, however, in the examples, they are demonstrated on *word* level.

<sup>1</sup> Anonymized link

## 079 2.1 Data Augmentation

080 In computer vision, augmentations are often trivial  
081 and intuitive. An image can be flipped, cropped,  
082 or manipulated otherwise, and still agreeably show  
083 the same object. The same does not hold for text.

084 To preserve semantically valid sentences, most  
085 methods inject or replace words to augment the  
086 text. The challenge becomes choosing the optimal  
087 words that maintain label quality, while introducing  
088 enough diversity for the augmentation to improve  
089 generalization. Crucially, the word choice needs  
090 to be *conditional* on the label of the sample. Re-  
091 placing with a word that is semantically feasible,  
092 but ignores the label, can harm the meaning of the  
093 sentence, in our example:

094 “one relentlessly **brilliant** situation”

095 would completely negate the sentiment of the re-  
096 view. BERT is normally fine-tuned on a different  
097 type of downstream task, such as classification or  
098 regression, using the masked language modeling  
099 (MLM) task for pre-training only. In MLM, a hid-  
100 den word in a sequence needs to be predicted, thus,  
101 also making BERT an ideal candidate for word re-  
102 placement augmentation. In EDA (Wei and Zou,  
103 2019), a thesaurus such as WordNet (Miller, 1995)  
104 would be used, which is unconditional and might  
105 only be partially applicable to the domain. Kumar  
106 et al. (2020) found that the most effective and sim-  
107 ple way is to train the model using the MLM task  
108 on the labeled dataset, and to simply *prepend* the  
109 label in natural form as follows:

110 “**negative** one relentlessly [MASK] situation”

111 where the label is “negative”. In this manner,  
112 during training, replacement candidates are con-  
113 ditioned on the label.

## 114 2.2 Weak Supervision

115 Weak supervision aims to obtain low-quality la-  
116 bels for the unlabeled data when no high-quality  
117 labels are available. The obtained dataset is used  
118 for further pre-training, or even as the only train-  
119 ing set. Methods such as Snorkel (Ratner et al.,  
120 2020), make use of a combination of expert-defined  
121 heuristics, existing models, and any other sources  
122 of information to estimate training labels without  
123 any access to ground truth data. Snorkel is called a  
124 *generative model*. Next, a *discriminative model* is  
125 trained, using the generative model predictions as  
126 labels, with a noise-aware loss function to appropri-  
127 ately weigh each observation. Ideally, the discrimi-  
128 native model generalizes *beyond* the heuristics of

129 the generative model. For example, a heuristic  
130 might be a list of negative words that contains the  
131 word “*depressing*” but misses the word “*hopeless*”.  
132 When using BERT as the discriminative model,  
133 both words have similar meaning from pre-training,  
134 and will also correctly classify:

135 “one relentlessly **hopeless** situation”

136 Snorkel yields *probabilistic labels* rather than bi-  
137 nary predictions, meaning that each class is as-  
138 signed a probability. Snorkel aims to have the prob-  
139 abilities best reflect the confidence in the labels,  
140 rather than minimizing cross-entropy. Labels with  
141 less confidence have a lower probability, acting as  
142 sample weights. This way, labels can have hetero-  
143 geneous noise levels. In our research, we assume  
144 that a Snorkel-like weak supervision method - with  
145 weighted confidence - is used.

## 146 2.3 Span Extraction

147 In question-answering tasks, a question and a se-  
148 quence of text containing the answer are given. The  
149 model has to highlight only the part of the sequence  
150 that is the answer to the question. Such a task is  
151 categorized as a span extraction problem. The prob-  
152 lem is formulated as a classification problem over  
153 all tokens in the sequence. Typically, there are two  
154 classification heads; one to predict the first token  
155 in the span, and the other for the last token. Keskar  
156 et al. (2019) propose a method to reformulate any  
157 task as a span extraction problem by posing a natu-  
158 ral question, such that a wider variety of tasks and  
159 datasets can be used for transfer learning. In case of  
160 the example, the classification task is to determine  
161 whether the review is positive or negative:

162 “**positive** or **negative** ? one relentlessly  
163 0 0 1 0 0 0  
164 depressing situation”  
165 0 0

166 The labels are shown below the tokens. As the  
167 review is negative, it is the only token with its label  
168 equal to 1.

## 169 3 DAWSON

170 AM is improved by pre-training on weakly-labeled  
171 data and making the augmentation heterogeneous.  
172 The procedure requires a large, weakly-labeled  
173 dataset and a small, high-quality labeled dataset.  
174 The high-quality dataset holds the observations,  
175 which are to be augmented, whereas the weakly-  
176 labeled dataset serves to improve AM with pre-  
177 training. The methodology consists of new and

adjusted tasks. A sequential transfer learning procedure is used consisting of: (1) SpanBERT (Joshi et al., 2020) - an MLM task - to train semantically sound word replacement, (2) (weakly) supervised span-extractive (SpEx) classification tasks to train the co-occurrence relations between words and labels, and (3) heterogeneous augmentation.

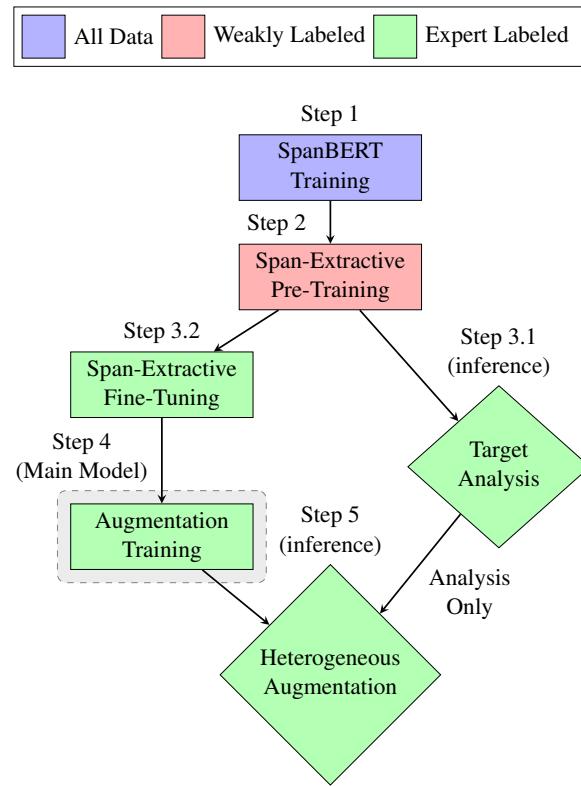


Figure 3.1: Overview of the steps in the methodology. The arrows represent the flow of AM, with as exception the target analysis, where the complexity and attention of the expert-labeled data is passed.

Note that for each step, the training or inference is done on *all* applicable data at once, the steps are *not* executed per observation. For each step, the task-specific head of BERT is changed, and the improvement of AM comes from further pre-training of the weights in the *BERT layer* only.

In contrast to the benchmark (Kumar et al., 2020), which only uses augmentation training (step 4), and augmentation without target analysis (step 5 simplified), the weakly supervised dataset and the span extraction formulation make it *possible* to have more domain-specific pre-training and improved conditional, heterogeneous augmentation. In the next sections, we describe each step in detail. After the augmented dataset is obtained, it is combined with the original labeled dataset to form the final training set for an end-model of choice.

Implementation details and an end-to-end example are given in Appendices B and C, respectively.

### 3.1 SpanBERT MLM

The MLM task is included to both further improve domain-specific augmentation and classification performance. In pre-training, BERT predicts the masked tokens in a sequence. From a sequence of tokens, 15% are randomly selected. Of the selected tokens, 80% is masked, 10% is kept unchanged, and 10% is replaced by a random token. The unchanged set is kept such that the original tokens for the selection remain the most probable. In BERT, MLM is used to learn embeddings of the corpus and the actual performance is not of importance. However, the MLM performance does influence the quality of the augmentations, although there still may be multiple valid candidate words.

SpanBERT (Joshi et al., 2020) extends the MLM task by masking *spans* of tokens, and introducing a Span Boundary Objective (SBO). Joshi et al. (2020) found that SpanBERT is a more challenging pre-training task that not only improves MLM, but also yields greater gains downstream, especially for span extraction tasks, wherefore we include it. Again, 15% of the tokens are masked. However, the words are selected by an iterative process. First, a span length is sampled from a geometric distribution  $l \sim \text{Geo}(p)$ . Next, a starting point is uniformly chosen. For example, if the drawn span length and drawn starting point are both 2, the running example is masked as:

“one [MASK] [MASK] situation”

This is repeated until 15% of the tokens have been masked. Similar to BERT, 80% is actually masked, one half of the remainder is kept unchanged, while the other half is replaced randomly.

The Span Boundary Objective is a second task in addition to the MLM task. The goal is again to predict masked tokens, but using only the non-masked tokens at the boundaries of a span. SBO forces the start-, and end-token embeddings of a span to summarize the content of the masked span.

An alternative embedding is calculated for the masked token using two dense layers, layer normalization (Ba et al., 2016), and GELU activations (Hendrycks and Gimpel, 2016). The first dense layer takes the concatenation of the start-, end-, and positional token as input, reducing the vector back to the normal hidden size. The second dense layer is part of the token classifier, as for MLM.

The probability density, and loss function are identical to the MLM task. The final SpanBERT loss is the sum of both the MLM and SBO task losses. As no labels are required, the SpanBERT task is done on the full corpus, which includes both the labeled and unlabeled datasets.

In our implementation, since we mask within individual observations rather than a continuous text, we calculate an observation-specific geometric mean for the span length, such that on average, 15% of an observation is masked. Furthermore, we only have one span per sequence for simplicity, and never mask boundary tokens. During training, the dataset is repeated 10 times, such that the same observation is included with 10 different spans. In this manner, we adjust for only having a single span and make sure that there is variety in how the model must predict masks in each version of an observation, forcing it to generalize more. Note that we include the *task* but not the trained *model* from Joshi et al. (2020).

### 3.2 Span-Extractive Training

The classification task is included to condition the words in a sequence on the label. As a result, the label actively influences the masked tokens during conditional augmentation. Since the label is placed at the start of the sequence during augmentation, it should be during the training of AM as well. A regular classification architecture would not condition the words on the textual names of the classes. Furthermore, AM is trained on the weakly-labeled dataset, thus, the labels contain noise and prepending the incorrect label is harmful. Similar to weak supervision, probabilistic labels are required to incorporate the confidence of a sample, while conditioning the labels. We propose to pre-train AM using a weakly-supervised span extraction formulation. Both the positive and negative labels are prepended as words, and the objective is to select the span containing the correct label.

We diverge from Keskar et al. (2019) by using a noise-aware loss function, not posing a natural question, and selecting a single token only instead of a span where possible, in order to best mirror the task at the augmentation stage and to reduce complexity. Only the labels are included, omitting the tokens needed to phrase a natural question. Suppose that in the example, the weak supervision estimates with 70% probability that the review is negative, the training input is:

*"positive negative one relentlessly depressing situation"*

with the labels shown below their respective tokens. Unlike the original formulation, the order of the textual *labels* is also randomly *shuffled* for each observation, such that the model is forced to train on the actual label rather than token position.

In span extraction tasks, there are two trainable parameter vectors, one for the start-, and end-token. However, most simple natural labels - such as *positive* and *negative* in our example - will be present in the vocabulary, and not be split-up in multiple tokens. If this is the case, we propose to simplify the span extraction task to only one trainable parameter vector,  $s$ . The probability of token  $x_i$  being selected is computed as:

$$p_{SE}(y = x_i) = \frac{e^{\mathbf{s} \cdot \mathbf{x}_i}}{\sum_{j=1}^N e^{\mathbf{s} \cdot \mathbf{x}_j}} \quad (3.2.1)$$

In case the natural label consists of multiple tokens, the implementation remains a standard span extraction task, where two trainable vectors are used to predict the start-, and end-token of the label.

We add a noise-aware loss function to make use of the noise information of the weak supervision. Ground truth labels are unknown, but from the weak supervision phase, probabilistic labels are obtained. Let  $\tilde{y}$  be the weak supervision label for a sample. We extend the labels by including all other tokens:

$$p_{SE}(y = x_i) = \begin{cases} p_{WS}(\tilde{y} = x_i) & \text{if } x_i \text{ is label} \\ 0 & \text{if } x_i \text{ is not label} \end{cases} \quad (3.2.2)$$

The confidence is incorporated in the loss function to act as a sample weight using cross-entropy:

$$\mathcal{L}_{SE} = - \sum_{i=1}^N p_{SE}(y = x_i) \log p_{SE}(y = x_i) \quad (3.2.3)$$

First, the model is pre-trained on the large, weakly-labeled data, after which the model is fine-tuned on the expert-labeled data. Although the datasets could be merged for a single training step, they are kept separate, such that a target analysis of the labeled data can be done, as well as to ensure that the final training is on the highest quality data only. For both pre-training and fine-tuning, the model is trained for at most 10 epochs, but with an early stopping rule using the development dataset to prevent over-fitting.

### 3.3 Target Analysis

Samples may have different levels of complexities and the extent to which a sample can be augmented while preserving label quality varies. By including the weakly supervised training step, a classifier for the task is obtained, for which the labeled data is out-of-sample. By comparing the predictions for the labeled data and the ground truth labels, an error  $e_s$  is obtained, which gives an estimate for the difficulty of classifying a sample  $s$ .

The relative importance of the tokens is estimated using attention. In AM (BERT-Base), there are 12 layers, and for each layer, 12 attention heads. An attention head yields a probability density for every token, over all tokens in the sentence. The probabilities act as weights that are used when calculating the embedding for the token. We take the attentions from the last layer only, and compute the average over all heads and tokens to obtain a final vector or probability density, which is considered as the weights of importance of the tokens.

### 3.4 Augmentation Training

AM is fine-tuned on the labeled data itself using the augmentation task. First, the dataset is duplicated 10 times, tokens are randomly masked, and the label prepended. The duplication is used in order to train different masks for the same sentence, as in Section 3.1. The model is trained for up to 15 epochs, but again with early stopping using the validation dataset to prevent over-fitting. The initial learning rate is set to  $2\epsilon - 5$ . The MLM training is as the standard BERT task, but with the label prepended as token. Note that the span masking strategy and SBO are omitted, and the masking is uniform, instead of using the attention from the target analysis, to train a generalized AM.

### 3.5 Heterogenous Augmentation

Using the target analysis, information about each observation is incorporated in *which* tokens are masked, and *how* they are replaced. Also, the probabilities of the replacement tokens can be used to estimate probabilistic labels. We consider the observation-specific augmentation *heterogeneous*.

The level of augmentation can be controlled in two directions: the amount of augmented tokens, and the likelihood of the replacement candidates. Again, the amount of masked tokens is kept fixed at 15%. During *inference*, the masked positions are sampled using the *attention vector* from the target

analysis instead of a uniform distribution. This selection strategy is more efficient, as the augmented tokens are more important to the classifier.

AM computes a distribution of probabilities for the token candidates of a masked position. If a sample is complex and already hard to classify, more probable tokens are selected to preserve label quality. Only the expert-labeled dataset is used for both training and augmentation.

#### 3.5.1 Candidate Selection

Depending on the prediction error for a sample during the target analysis, more or less token-diversity is permitted. A task-specific upper bound (UB) and lower bound (LB) are set empirically for the probability range of eligible replacement tokens. Using the prediction error  $e_s$  for observation  $s$ , an observation-specific lower bound  $\text{LB}_s$  is used:

$$\text{LB}_s = \text{LB} + (\text{UB} - \text{LB})e_s \quad (3.5.1)$$

The tokens in the vocabulary are sorted by probability for each observation, and a token is discarded if the cumulative probability up to and including that token is out-of-bounds. The leftover candidate tokens are re-weighted, using a softmax mapping based on their original probabilities. The resulting probabilities are used to sample the final selected token. By setting the upper and lower bound on the cumulative distribution of candidate tokens, tokens that are not diverse enough or too unlikely can be omitted. Thus, the overall level of noise can be controlled. As AM improves through (pre-)training, the probability of suitable tokens increases, while the probability for the rest of the vocabulary decreases, thus, allowing for more diverse sampling while preserving quality.

#### 3.5.2 Probabilistic Labels

In contrast to Kumar et al. (2020), we make use of probabilistic labels as in weak supervision. Normally, the *original* binary labels are used. The augmented samples introduce uncertainty and noise, and, as the degree of augmentation is known, an estimation of the reliability of a label can be made. In determining a formulation for the probabilistic label, the following considerations have been made:

- The probabilistic label is a function of token probabilities;
- Adding a token mask should always decrease confidence;

- 440     • The label should be roughly in the neighbor-  
 441     hood of the lowest token probability;  
 442     • The probability of a candidate token is relative  
 443     to all other tokens in the vocabulary. As the  
 444     vocabulary is large - and many tokens may be  
 445     feasible - even the largest token probabilities  
 446     are typically below 10%;  
 447     • The label of the observation may never flip,  
 448     thus, the confidence is at least 50%.

449     The probability for the augmented observation la-  
 450     bel  $y^*$  is calculated using average probability for  
 451     the tokens in the sentence, that is:

$$Pr(y^* = y) = \max \left( \frac{N - K + \sum_{k=1}^K p_{MLM}(m_k = \hat{x}_{\pi_k})}{N}, 0.50 \right) \quad (3.5.2)$$

452     where  $\hat{x}_{\pi_k}$  is the selected replacement token for  
 453     mask  $m_k$  at position  $\pi_k$ ,  $p_{MLM}(m_k = \hat{x}_{\pi_k})$  is the  
 454     MLM probability of  $\hat{x}_{\pi_k}$ , and  $N$  and  $K$  are the  
 455     total and masked number of tokens, respectively.  
 456

## 4 Experiments

458     The methodology is evaluated on multiple types  
 459     of binary classification tasks. An ablation study is  
 460     done to understand the contribution of the different  
 461     components to the overall performance.

### 4.1 Benchmark Tasks

463     We make use of a selection of the GLUE tasks  
 464     (Wang et al., 2018) which form the benchmark  
 465     for leading language models. We consider three  
 466     tasks: (1) the Stanford Sentiment Treebank (SST-2,  
 467     Socher et al., 2013) is a binary sentiment classifi-  
 468     cation task on movie reviews, (2) the Quora Ques-  
 469     tion Pairs (QQP) task (Iyer et al., 2017) consists of  
 470     pairs of questions that are classified as semantically  
 471     equivalent or not, and (3) the Question-answering  
 472     NLI (QNLI) task is a reformulation from SQuAD  
 473     (Rajpurkar et al., 2016) where it needs to be eval-  
 474     uated if a question is answered by a randomly paired  
 475     paragraph.

#### 4.1.1 Expert-Labeled Dataset Selection

477     The selected datasets are large and therefore suit-  
 478     able candidates for the weak supervision approach,  
 479     resembling most practical use cases. Not all test  
 480     sets are publicly available, for consistency, we fully  
 481     omit these. To simulate having a small dataset with

482     high-quality labels, for each iteration of an experi-  
 483     ment, two small datasets are sampled from the train-  
 484     ing data; one serving as the small expert-labeled  
 485     dataset and the other as the test set for the experi-  
 486     ment. The remaining training data is treated as if it  
 487     is unlabeled, and a weak supervision method has  
 488     generated weak labels. The original development  
 489     sets are used for early stopping, if indicated in the  
 490     methodology, to ensure a comparable optimization  
 491     as to any other GLUE based research. For SST-2  
 492     and QNLI, the sampled datasets consist of 1% of  
 493     the original training data, and 0.5% for QQP.

Task	Weakly Labeled	Expert Labeled / Test	Dev.	Mean Token Length
SST-2	66,002	673	872	13.3
QQP	360,211	1,819	40,430	30.4
QNLI	102,648	1,047	5,463	50.0

Table 4.1: The average number of observations and sequence length in tokens for the experimental datasets.

### 4.1.2 Simulating Weak Supervision

To simulate weak supervision, the true labels are assigned a probability. The Beta distribution is selected due to its domain of [0, 1] and flexible shape, allowing for different types of noise settings. We use the Matthews Correlation Coefficient (MCC), proposed by Matthews (1975), to evaluate the quality of the generated noisy labels. To simulate a real-life weak supervision scenario for complex tasks, we empirically set  $\mu = 0.57$  and  $\sigma^2 = 0.05$ . Figure D.1 shows a histogram of draws from the Beta distribution to visualize the generated noise.

	SST-2	QQP	QNLI
MCC	0.244	0.235	0.242
Accuracy	0.623	0.622	0.621

Table 4.2: Metrics of the simulated weak supervision method compared to the ground truth.

For all datasets, the noisy labels are better than random, and thus, contain information that a discriminative model can generalize. However, the labels are of low enough quality to simulate a weak supervision method.

## 511 4.2 Evaluation Criteria

512 For a direct comparison to the state-of-the-art, we  
513 follow Kumar et al. (2020) in the intrinsic and ex-  
514 trinsic evaluation methods.

515 The intrinsic evaluation consists of semantic fi-  
516 delity and generated diversity of the augmented  
517 samples. The semantic fidelity is determined by  
518 training a BERT-Base model on all labeled data  
519 originally available, with true labels, and use its  
520 predictions as ground truth for the augmented data  
521 to estimate if the labels are still valid. The gen-  
522 erated diversity is measured using the type-token  
523 ratio (Roemmele et al., 2017), which is the num-  
524 ber of unique predicted tokens (types) divided by all  
525 predicted tokens in the dataset.

526 The extrinsic evaluation is the end-to-end per-  
527 formance - using any classifier - for a regular clas-  
528 sification task trained on the combined dataset  
529 (original+augmented). We compare two classifiers  
530 for the extrinsic evaluation: a BERT-Base model  
531 (*Base*) - only pre-trained by Devlin et al. (2019) -  
532 and AM itself, to make use of the transfer learning  
533 from the domain-specific tasks. Both models have  
534 the same architecture with a newly initialized clas-  
535 sification head, the *only* difference is the starting  
536 point of the *weights* of the BERT layer before fine-  
537 tuning. Note that this implies that AM will train on  
538 the samples it has augmented.

## 539 4.3 Ablation Study

540 To understand which aspects are an improvement  
541 over direct data augmentation, an ablation study of  
542 the training tasks is done. The benchmark is the  
543 conditional augmentation, as proposed by Kumar  
544 et al. (2020). We implement our own version to con-  
545 trol the experimental setting and obtain results for  
546 the new datasets. The heterogeneous augmentation  
547 addition expands the benchmark augmentation with  
548 the attention-based sampling of the mask positions  
549 and error analysis-based token selection. However,  
550 the probabilistic labels are added separately. The  
551 extrinsic metrics are chosen to be in line with the  
552 GLUE benchmark. For the extrinsic evaluation, the  
553 models are trained with an unbounded number of  
554 epochs, but with early stopping until the validation  
555 accuracy decreases. This strategy prevents that the  
556 difference between results may be attributed to the  
557 number of training epochs, as every configuration  
558 is trained based on the same criteria for optimal  
559 performance. The maximum sequence length for  
560 all tasks is set to 200 tokens, which is 4 times the

561 longest mean token length (which is of QNLI). The  
562 UB and LB are empirically set to 1.0 and 0.6, re-  
563 spectively. The experiments are repeated 15 times  
564 with different expert-labeled datasets.

## 565 4.4 Results

566 The results of the ablation study are given in Table  
567 4.3. When AM is used as downstream classifier, it  
568 has *only* been pre-trained up to the included steps.  
569 For all three tasks, the best-performing configura-  
570 tion is the proposed methodology, sometimes  
571 excluding the probabilistic labels, and using the  
572 augmentation model as final classifier. The benefit  
573 from weak supervision and transfer learning is pro-  
574 portional to the amount of unlabeled data available.  
575 The heterogeneous augmentation and probabilistic  
576 labels provide a small additional gain. Not using  
577 any augmentation, for all tasks, results in large  
578 variance in extrinsic accuracies across experiments,  
579 showing the need for robustness from augmenta-  
580 tion. The AM classifier outperforms the Base clas-  
581 sifier, providing an additional performance gain  
582 from transfer learning without any extra work.

583 SST-2 is the only task shared with the other re-  
584 search in the field. Data augmentation is mostly  
585 tested on topic classification or sentiment analysis.  
586 To the best of our knowledge, this is the first paper  
587 to apply textual augmentation to any natural lan-  
588 guage understanding task. One could argue that,  
589 intuitively, a topic classification task is easier to  
590 augment. However, to our surprise, both the QQP  
591 and QNLI tasks have greater absolute performance  
592 improvements than SST-2. This might be related  
593 to the spread in performance between using the  
594 small sampled dataset, and when all data is avail-  
595 able, or simply because QQP and QNLI have more  
596 data. When comparing the relative performance  
597 improvements, SST-2 still has the smallest gain,  
598 but the results are closer. The sampled dataset for  
599 SST-2 has the smallest number of observations, but  
600 the baseline without augmentation is 83%, com-  
601 pared to 76% for QQP and 71% for QNLI. Thus,  
602 SST-2 is clearly an easier task for a BERT classifier.  
603 Therefore, even though SST-2 intuitively is more  
604 suited for augmentation, there is less performance  
605 to be gained from it, similarly to how a less com-  
606 plex model (e.g. logistic regression) will be closer  
607 to a BERT model in performance for a simple task  
608 than for a complex task.

609 For QNLI, both the benchmark and best type-  
610 token ratios are larger than for either the SST-2 or

Task	SST-2		QQP		QNLI		
	Extrinsic Classifier	Base	AM	Base	AM	Base	AM
No Augmentation	83.3 (7.8)			75.6 (3.5)		70.6 (11.1)	
Benchmark Aug.	86.0 (2.3)	85.2 (2.4)		77.0 (1.3)	76.4 (1.3)	76.6 (1.7)	77.0 (1.1)
+ SpEx Fine-Tuning	86.2 (1.5)	85.2 (1.6)		76.6 (1.0)	76.1 (1.3)	76.0 (2.1)	77.1 (1.4)
+ SpEx Pre-Training	86.4 (1.4)	86.4 (2.1)		77.1 (1.0)	80.8 (1.5)	75.9 (1.9)	79.2 (1.4)
+ SpanBERT Training	<b>87.2 (1.3)</b>	87.1 (1.6)		76.9 (1.3)	81.2 (1.4)	76.0 (2.0)	79.5 (1.1)
+ Heterogenous Aug.	86.9 (1.5)	87.3 (1.5)		77.4 (1.3)	<b>81.4 (1.2)</b>	<b>77.2 (1.4)</b>	<b>79.6 (1.3)</b>
+ Probabilistic Labels	86.6 (1.1)	<b>87.5 (1.7)</b>		<b>77.6 (1.5)</b>	81.3 (1.2)	76.3 (1.5)	79.6 (1.5)
All Data	93.4 (1.4)			88.6 (1.5)		88.7 (1.0)	
Intrinsic Metric	TTR	SF	TTR	SF	TTR	SF	
Benchmark Aug.	9.2 (0.7)	87.3 (1.0)	13.4 (1.5)	86.7 (1.6)	13.8 (0.5)	84.8 (0.8)	
+ SpEx Fine-Tuning	9.0 (0.4)	86.8 (1.2)	13.0 (1.8)	86.3 (1.6)	13.1 (0.5)	83.9 (0.6)	
+ SpEx Pre-Training	8.9 (0.7)	87.8 (1.3)	11.7 (2.1)	85.9 (1.6)	12.7 (0.5)	84.1 (1.2)	
+ SpanBERT Training	14.1 (0.7)	89.0 (1.6)	14.2 (0.8)	87.4 (0.8)	<b>15.6 (0.4)</b>	85.5 (1.0)	
+ Heterogenous Aug.	<b>14.3 (0.7)</b>	89.0 (1.4)	<b>14.3 (0.9)</b>	<b>87.5 (0.8)</b>	15.5 (0.3)	<b>85.8 (1.0)</b>	
+ Probabilistic Labels	14.2 (0.8)	<b>89.6 (1.3)</b>	<b>14.3 (0.9)</b>	87.3 (0.9)	15.5 (0.4)	85.6 (0.9)	

Table 4.3: Results of the ablation study. All measures are reported as the mean and standard deviation over the 15 repeated experiments, multiplied by 100. The extrinsic results are reported in accuracy for the Base and AM classifier as downstream model. For the intrinsic evaluation, the Type-Token Ratio (TTR) and Semantic Fidelity (SF) are reported.

QQP tasks. QQP has more unlabeled data, but a smaller average number of tokens in the sequences (Table 4.1). We hypothesize that the better type-token ratio is explained by the larger mean token length. Recall that, in our implementation, SpanBERT uses span lengths drawn from a geometric distribution, with as mean, 15% of the number of tokens of that specific observation. Therefore, the span lengths in QNLI are larger on average (7.5 tokens) than the spans in QQP (4.6 tokens), and thus more challenging. This would also explain the smaller type-token ratio for SST-2, where the average span length is only 2.0 tokens. However, the difference might also be explained simply by the difference in corpora, and their similarity to the datasets used by for the initial pre-training.

#### 4.5 Discussion and Limitations

The ablation study is computationally expensive. For example, a single iteration for QQP, on an NVIDIA V100 GPU with 16GB of RAM from Google Colab, takes over 12 hours. Thus, we are constraint in the number of configurations that can feasibly be compared. There are numerous variations on our experiments that could be done to further understand the methodology. These variations include: (1) different textual labels, (2) different

levels of simulated noise, (3) other formulations for probabilistic labels, and (4) a real-life weak supervision method.

## 5 Conclusion

We proposed a new methodology for data augmentation, using weak supervision and span extraction. Multiple methods of transfer learning and pre-training are combined that were previously considered disjoint solutions to the same problem. We outperform the benchmark for the SST-2 task by 1.5, QQP task by 4.4, and QNLI task by 3.0 absolute accuracy points. This shows that the advantages of weak supervision and span extraction extend beyond the direct benefits, as they also allow for the further improvement of data augmentation. Additionally, the downstream model improves further when it has been pre-trained using DAWSON, and we show that data augmentation is not only possible for natural language understanding, but more effective than for a simpler task. As DAWSON does not require any domain-specific adjustment, we argue that in an era where unlabeled data is abundant, computational resources are cheap and Moore’s law is still valid, combining weak supervision and data augmentation is a scalable and effective way to improve downstream models.

## References

- 663 Jimmy Lei Ba, Jamie Ryan Kiros, and Geoffrey E Hin-  
664 ton. 2016. Layer normalization. *arXiv preprint arXiv:1607.06450*.  
665  
666
- 667 Tri Dao, Albert Gu, Alexander Ratner, Virginia Smith,  
668 Chris De Sa, and Christopher Ré. 2019. A kernel theory of modern data augmentation. In *International Conference on Machine Learning (ICML 2019)*, pages 1528–1537. PMLR.  
669  
670  
671
- 672 Jacob Devlin, Ming-Wei Chang, Kenton Lee, and  
673 Kristina Toutanova. 2019. BERT: Pre-training of  
674 deep bidirectional transformers for language under-  
675 standing. In *Proceedings of the 2019 Conference of the North American Chapter of the Association  
676 for Computational Linguistics: Human Language  
677 Technologies, Volume 1 (Long and Short Papers)*,  
678 pages 4171–4186, Minneapolis, Minnesota. Association  
679 for Computational Linguistics.  
680
- 681 Braden Hancock, Clara McCreery, Ines Chami, Vin-  
682 cent S. Chen, Sen Wu, Jared Dunnmon, Paroma  
683 Varma, Max Lam, and Chris Ré. 2019. Massive  
684 multi-task learning with Snorkel MeTaL: Bringing  
685 more supervision to bear.  
686
- 687 Dan Hendrycks and Kevin Gimpel. 2016. Gaussian  
688 error linear units (GELUs). *arXiv preprint arXiv:1606.08415*.  
689
- 690 Shankar Iyer, Nikhil Dandekar, and Kornel Csernai.  
691 2017. First quora dataset release: Question pairs.  
692
- 693 Mandar Joshi, Danqi Chen, Yinhua Liu, Daniel S.  
694 Weld, Luke Zettlemoyer, and Omer Levy. 2020.  
695 SpanBERT: Improving pre-training by representing  
696 and predicting spans. *Transactions of the Association  
697 for Computational Linguistics*, 8:64–77.  
698
- 699 Nitish Shirish Keskar, Bryan McCann, Caiming Xiong,  
700 and Richard Socher. 2019. Unifying question an-  
701 swering, text classification, and regression via span  
702 extraction. *arXiv preprint arXiv:1904.09286*.  
703
- 704 Varun Kumar, Ashutosh Choudhary, and Eunah Cho.  
705 2020. Data augmentation using pre-trained trans-  
706 former models. In *Proceedings of the 2nd Workshop  
707 on Life-long Learning for Spoken Language Systems*,  
708 pages 18–26, Suzhou, China. Association for Com-  
709 putational Linguistics.  
710
- 711 Ilya Loshchilov and Frank Hutter. 2018. Decoupled  
712 weight decay regularization. In *International Con-  
713 ference on Learning Representations (ICLR 2019)*.  
714 OpenReview.net.  
715
- 716 Brian W Matthews. 1975. Comparison of the pre-  
717 dicted and observed secondary structure of t4 phage  
718 lysozyme. *Biochimica et Biophysica Acta (BBA)-*  
719 *Protein Structure*, 405(2):442–451.  
720
- 721 George A Miller. 1995. WordNet: a lexical  
722 database for English. *Communications of the ACM*,  
723 38(11):39–41.  
724
- 725 Pranav Rajpurkar, Jian Zhang, Konstantin Lopyrev, and  
726 Percy Liang. 2016. SQuAD: 100,000+ questions for  
727 machine comprehension of text. In *Proceedings of  
728 the 2016 Conference on Empirical Methods in Natu-  
729 ral Language Processing*, pages 2383–2392, Austin,  
730 Texas. Association for Computational Linguistics.  
731
- 732 Alexander Ratner, Stephen Bach, Henry Ehrenberg,  
733 Jason Fries, Sen Wu, and Christopher Ré. 2020.  
734 Snorkel: rapid training data creation with weak su-  
735 pervision. *The VLDB Journal*, 29:709–730.  
736
- 737 Melissa Roemmele, Andrew S Gordon, and Reid  
738 Swanson. 2017. Evaluating story generation sys-  
739 tems using automated linguistic analyses. In  
740 *2017 Workshop on Machine Learning for Creativity  
(ML4Creativity 2017)*, pages 13–17. ACM.  
741
- 742 Richard Socher, Alex Perelygin, Jean Wu, Jason  
743 Chuang, Christopher D. Manning, Andrew Ng, and  
744 Christopher Potts. 2013. Recursive deep models  
745 for semantic compositionality over a sentiment tree-  
746 bank. In *Proceedings of the 2013 Conference on  
747 Empirical Methods in Natural Language Processing*,  
748 pages 1631–1642, Seattle, Washington, USA. Asso-  
749 ciation for Computational Linguistics.  
750
- 751 Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob  
752 Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz  
753 Kaiser, and Illia Polosukhin. 2017. Attention is all  
754 you need. In *31st International Conference on Neu-  
755 ral Information Processing Systems (NIPS 2017)*,  
756 page 6000–6010. Curran Associates Inc.  
757
- 758 Alex Wang, Amanpreet Singh, Julian Michael, Fe-  
759 lix Hill, Omer Levy, and Samuel Bowman. 2018.  
760 GLUE: A multi-task benchmark and analysis plat-  
761 form for natural language understanding. In *Pro-  
762 ceedings of the 2018 EMNLP Workshop Black-  
763 boxNLP: Analyzing and Interpreting Neural Net-  
764 works for NLP*, pages 353–355, Brussels, Belgium.  
765 Association for Computational Linguistics.  
766
- 767 Jason Wei and Kai Zou. 2019. EDA: Easy data aug-  
768 mentation techniques for boosting performance on  
769 text classification tasks. In *Proceedings of the  
770 2019 Conference on Empirical Methods in Natu-  
771 ral Language Processing and the 9th Interna-  
772 tional Joint Conference on Natural Language Proces-  
773 sing (EMNLP-IJCNLP)*, pages 6382–6388, Hong Kong,  
774 China. Association for Computational Linguistics.  
775
- 776 Yang You, Jing Li, Sashank Reddi, Jonathan Hseu,  
777 Sanjiv Kumar, Srinadh Bhojanapalli, Xiaodan Song,  
778 James Demmel, Kurt Keutzer, and Cho-Jui Hsieh.  
779 2020. Large batch optimization for deep learn-  
780 ing: Training BERT in 76 minutes. In *8th Inter-  
781 national Conference on Learning Representations  
(ICLR 2020)*. OpenReview.net.  
782
- 783