

## 2021 IFN680 - Assignment Two (Siamese network)

### Assessment information

- Code and report submission due on **Sunday 24th October, 23.59pm**
- Use **Blackboard** to submit your work
- Recommended group size: three people per submission. Smaller group sizes allowed (1 or 2 people OK. Completion of the same tasks required).

### Overview

- You will implement a deep neural network classifier to predict whether two images belong to the same class.
- The approach you are asked to follow is quite generic and can be applied to problems where we seek to determine whether two inputs belong to the same equivalence class.
- You will write code, perform experiments and report on your results.

### Introduction

A neural network can be trained to learn equivalence relations between objects. The core idea is to learn an embedding function such that equivalent objects are mapped to close points and non-equivalent objects are mapped to points that are far apart. These neural networks are called *Siamese neural networks* because they are used in tandem on two different input vectors.

Applications of Siamese networks range from recognizing handwritten checks, automatic detection of faces in camera images, animal in the wild re-identification and matching queries with indexed documents.

In this assignment, you will design a Siamese network to predict whether two glyphs belong to the same alphabet.

### *Background*

Common machine learning tasks like classification and recognition involve learning an appearance model. These tasks can be interpreted and even reduced to the problem of learning manifolds from a training set. Useful appearance models create an invariant representation of the objects of interest under a range of conditions. A good representation should combine *invariance* and *discriminability*. For example, in facial recognition where the task is to compare two images and determine whether they show the same person, the output of the system should be invariant to the pose of the heads. More generally, the category of an object contained in an image should be invariant to viewpoint changes.

This assignment borrows ideas from a system we developed for a manta ray recognition system. The motivation for our research work was the lack of fully automated identification systems for manta rays. The techniques developed for such systems can also potentially be applied to other marine species that bear a unique pattern on their body. The task of recognizing manta rays is challenging because of the heterogeneity of photographic conditions and equipment used in acquiring manta ray photo ID images like those in the figures below.



*Two images of the same Manta ray*

Many of those pictures are submitted by recreational divers. For those pictures, the camera parameters are generally not known. The state of the art for manta ray recognition is a system that requires user input to manually align and normalize the 2D orientation of the ray within the image. Moreover, the user has to select a rectangular region of interest containing the spot pattern. The images have also to be of high quality. In practice, marine biologists still prefer to use their own decision tree that they run manually.

In order to develop robust algorithms for recognizing manta spot patterns, a research student (Olga Moskvayak) and I have conducted experiments that demonstrate that Siamese<sup>1</sup> convolutional neural networks are able to discriminate between patterns subjected to large homographic transformations. Promising results have been also obtained with real images of manta rays.

Training such a complex neural network requires access to good computing facilities. This is why in this assignment, you will work with a simpler dataset. Namely the *Omniglot* dataset. You will build a neural network to predict whether two images correspond to glyphs (examples below) of the same alphabet or not. Note this is not the same problem as building a glyph classifier!

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<sup>1</sup> Siamese network are defined in the next section.



## Learning equivalence classes

A **Siamese network** consists of two identical sub-networks that share the same weights followed by a distance calculation layer. The input of a Siamese network is a pair of images  $P_i$  and  $P_j$ . If the two images are deemed from the same equivalence classes, the pair is called a **positive pair**, whereas for a pair of images from different equivalence classes, the pair is called a **negative pair**.

The input images  $P_i$  and  $P_j$  are fed to the twin sub-networks to produce two vector representations  $f(P_i)$  and  $f(P_j)$  that are used to calculate a proxy distance. The training of a Siamese network is done with a collection of positive and negative pairs. Learning is performed by optimizing a **contrastive loss** function (see details later). The aim of the training algorithm is to minimize the distance between a pair of images from the same equivalence class while maximizing the distance between a pair of images from different equivalence classes.

With a conventional neural network classifier, we need to know in advance the number of classes. In practice, this is not always possible. Consider a face recognition system. If its use is limited to a fix group of people of let say 10,000 people, you can train a convolutional neural network with 10,000 outputs where each output corresponds to a person. But this approach is not suitable when the group of people is more fluid. We want to be able to use the same network without having to retrain it when new people arrive. What is needed is a neural network that can take two input images and predict whether these are images of the same person. This neural network learns to compare pair of faces in general, and does not learn about specific people. This is a significant difference. You do not have to retrain the neural network if your population changes.

## Siamese network architecture

A Siamese network consists of **two identical sub-networks** that **share the same weights** followed by a distance calculation layer. The input of a Siamese network is a pair of images  $(P_i, P_j)$  and a label  $y_{ij}$ . If the two images are deemed from the same equivalence classes, the pair is called a positive pair, and the target value is  $y_{ij} = 0$ . Whereas for a pair of images from different equivalence classes, the pair is called a negative pair, and the target value is  $y_{ij} = 1$ . The target value  $y_{ij}$  can be interpreted as the desired distance between the embedding vectors. The input images  $(P_i, P_j)$  are fed to the twin sub-networks to produce two vector representations  $f(P_i)$ ,  $f(P_j)$  that are used to calculate a proxy distance. The training of a Siamese network is done on a collection of positive and negative

pairs. Learning is performed by optimizing a *contrastive loss function*;

$$\mathcal{L}_c(P_i, P_j) = \begin{cases} \frac{1}{2}D(P_i, P_j)^2, & \text{if } y_{ij} = 0 \\ \frac{1}{2}\max(0, m - D(P_i, P_j))^2, & \text{if } y_{ij} = 1, \end{cases}$$

where  $D(P_i, P_j)$  is the Euclidian distance between  $f(P_i)$  and  $f(P_j)$ . The margin  $m > 0$  determines how far the embeddings of a negative pair should be pushed apart.

## Required tasks and experiments

- Install the tensorflow\_datasets module with the command *pip install tensorflow-datasets*
- Load the Omniglot dataset

```
import tensorflow_datasets as tfds  
ds, ds_info = tfds.load('omniglot', split=['train', 'test'], with_info=True)
```
- Split the dataset such that
  - the glyphs in ‘train’ split are used for training
  - the glyphs in ‘test’ split are only used for testing. None of these glyphs should be used during training.
- Implement and test the contrastive loss function described earlier in this document.
- Implement and test the triplet loss function described in the lecture.
- Build a Siamese network.
- Train your Siamese network on the training set. Plot the training and validation error vs time.
- Evaluate the performance of your network trained with the two different losses by
  - testing it with pairs from the set of glyphs from the training split
  - testing it with pairs from the set of glyphs from the two splits
  - testing it with pairs from the set of glyphs from the test split
- Present your results in tables and figures.

## Implementation hints

- Tensorflow2 (<https://www.tensorflow.org/install>) is accepted, similarly Colab provides an excellent online environment if your PC/laptop is slow.
- You need the functional model of Keras to implement the Siamese network. This is simpler than working with Tensorflow directly. Refer to <https://keras.io/getting-started/functional-api-guide/> for examples.
- For the shared network of the Siamese network, you should use a convolutional neural network. You can use an architecture similar to what is used on the MNIST dataset.

## Submission

You should submit via Blackboard

- A **report in pdf format** strictly **limited to 8 pages in total** in which you present your experimental results using tables and figures. Only one person per group has to submit the assignment. Make sure you list the members of your group in the report and in the code. The feedback will be given to the person submitting the assignment and is expected to be shared with the other team members.
- Your Python file **my\_submission.py** containing all your code and instructions on how to repeat your experiments. In the main function of this file, the marker should only have to uncomment function calls to repeat your all work.

## Marking criteria

- **Report:** 10 marks
  - Structure (sections, page numbers), grammar, no typos.
  - Clarity of explanations.
  - Figures and tables (use for explanations and to report performance).

Levels of Achievement

10 Marks	7 Marks	5 Marks	3 Marks	1 Mark
Report written at the highest professional standard with respect to spelling, grammar, formatting, structure, and language terminology.	Report is very-well written and understandable throughout, with only a few insignificant presentation errors.  Methodology, experiments are clearly presented.	The report is generally well-written and understandable but with a few small presentation errors that make one of two points unclear. Clear figures and tables.  Some conclusions are derived from the experimental results.	Large parts of the report are poorly-written, making many parts difficult to understand. Use of sections with proper section titles. No figures or tables.	The entire report is poorly-written and/or incomplete and/or impossible to understand.  The report is in pdf format.

*To get “i Marks”, the report needs to satisfy all the positive items and none of the negative items of the columns “j Marks” for all  $j < i$ . For example, if your report is not in pdf format, you will not be awarded more than 1 mark.*

- **Code quality:** 10 marks
  - Readability, meaningful variable names.
  - Proper use of Python constructs like numpy arrays, dictionaries and list comprehension.
  - Header comments in classes and functions.
  - Function parameter documentation.
  - In-line comments.

Levels of Achievement

10 Marks	7 Marks	5 Marks	3 Marks	1 Mark
Code is generic. Minimal changes would be needed to run same experiments on a different dataset.	Proper use of numpy array operations. Avoid unnecessary loops. Useful in-line comments.  Code structured so that it is straightforward to repeat the experiments  Correct use of TF and Keras functions	No magic numbers (that is, all numerical constants have been assigned to variables). Appropriate use of auxiliary functions. Each function parameter documented (including type and shape of parameters)	Header comments with instructions on how to run the code to repeat the experiments.	Code looks like a random spaghetti plate

To get “*i Marks*”, the report needs to satisfy all the positive items and none of the negative items of the columns “*j Marks*” for all  $j < i$ .

### Tasks and experiments 20 marks

- Implement and test the contrastive loss function and the triplet loss function : 5 marks.
- Build a Siamese network : 5 marks
- Successful training of the Siamese networks : 5 marks
- Evaluate the generalization capability of the Siamese networks : 5 marks

### Final Remarks

- Do not underestimate the workload. Start early. You are strongly encouraged to ask questions during the practical sessions.
- Preferably, use MS Teams to ask questions outside the pracs.