

# NeuroEvolution of Augmenting Topologies (NEAT)

## Steuerung eines Mario Jump & Run Spiels

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### ABSTRACT

Ziel war es eine Mario Spielfigur mit bestimmten Szenarien zu trainieren, sodass diese dann das/die Level best möglichst lösen kann.

## 1. GENERAL NOTES

- Do not state things you cannot confirm either by literature or experiment. This is science, not black magic.
- Normalize your data and resulting statistical descriptors (like RMSE,  $\mu$ )
- Save your experimental results to disk **before you visualize**. You **will** change your plots quite a lot after gathering the data.
- Don't submit reports with many pages containing just a single figure. Scrolling is terrible for our health.
- **Separate** training and test data. Don't use test data in your algorithm to learn or make decisions, they are only allowed to test the end result. If you need a separate sample set to make decisions during training or optimization, create a third (validation) set. Only your test data will really tell you how good your algorithm is.

### 1.1 Algorithm Parametrization

Develop an actual strategy, preferably on a reduced but similar problem. You can reduce the number of samples, the targetted number of time steps your controller runs in a simulation, or any other non-destructive problem reduction method. If your algorithm has two parameters you need to adjust, it should be no problem to take 5 *sensible* values per parameter and compare all combinations. For stochastic algorithms, like evolutionary approaches, make sure you **repeat your experiments** at least 5-10 times, depending on the amount of randomness. Since your algorithm makes "random" changes, just comparing single runs does not give

you a good estimation on its performance. Do **not** pick your values such that they only confirm the values you **want** to use.

### 1.2 Structure

1. **Assignment Description:** first provide a brief description of the assignment that was handed out to you. This includes a description of the data.
2. **Approach:** describe the algorithm
3. **Experiments:** describe your experimental setup, algorithm parameters, data preprocessing first. Then include the results and a discussion thereof.
4. **Conclusion:** any conclusions about the algorithm, bugs, future work.

### 1.3 Visualization

- Label your axes
- Use logarithmic scale when appropriate
- Use descriptive captions below your figures
- Add legends if necessary
- Make font sizes large enough, linewidths thick enough to be readable in the final report.
- When making comparisons, make sure the results are either in the **same** graph or graphs are plotted next to (or close to) each other.
- **In short: make sure people can read your figures**

## 2. ASSIGNMENT

Aufgabe war es den für die HeartRate Prediction implementierten NEAT Algorithmus, der sich an dem Originalpaper [1] orientiert, so zu verändern und anzupassen, dass er ein Problem eines Projektes löst, das sich ausgedacht werden konnte.

In unserem Fall hieß das, eine Mario Figur durch ein Level zu bringen. Dafür muss eine neue Parametrisierung gefunden werden.

Als Trainingsdaten konnten Level generiert werden, die einen gewünschten Schwierigkeitsgrad besaßen. Die Daten, die man aus dieser Map ziehen konnte, sind die Blöcke zentriert um den Mario herum (siehe Figure 1). Dabei ist jedem Blocktyp eine eigene Id zugewiesen. Aufgrund von diesen Daten soll NEAT eine Tastenkombination wählen, um den Mario zu steuern.

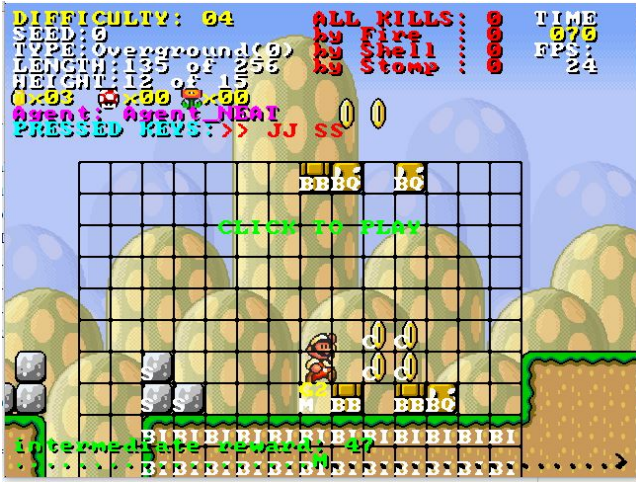


Figure 1: Umgebungsblöcke des Marios für den Input

## 3. APPROACH

We extend NEAT by including the evolution of the activation function, hoping to produce *leaner* networks that generalize well and take less training<sup>1</sup>.

<sup>1</sup>This would be something you need to show in your results!

## Algorithm 1 HeteroNEAT

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```

1:  $c \leftarrow \text{mutRate, crossRate, numSpecies, actFcns}$  /* Configuration */
   Input: evalFcn
2: function HETERONEAT(Parameters, Input)
3:    $P \leftarrow \text{initPop}(n)$  minimal networks
4:   for generation  $g$  do
5:      $N \leftarrow \text{expressNetwork}(P)$ 
6:      $F \leftarrow \text{evalFcn}(N)$ 
7:      $P \leftarrow (P, N, F)$  /* Assign fitness */
8:      $S \leftarrow \text{speciate}(P, c)$ 
9:     for species  $s$  in  $S$ 
10:       $s'(1) \leftarrow \text{getElite}(s)$ 
11:       $\text{cull}(s, c)$  /* If species is large enough */
12:       $p \leftarrow \text{tournamentSelect}(s)$ 
13:       $\text{crossover}(p, c)$  /* Combine matching connections */
14:       $\text{mutate}(p, c)$ 
15:     end for
16:   end for
17: end function

```

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## 4. EXPERIMENTS

Experiments are repeated 10 times. By the way, this is not enough for a description.

### 4.1 Parameterization

This would include a description of all parameter tuples and a description on how you reduced the problem to provide small and fast runs for this extensive comparison. Results would be shown in boxplots, as these provide you with the median and 25% and 75% percentile for every parameter tuple. This makes comparison easy, as you can plot multiple boxplots next to each other, similar as in Figure 5.

### 4.2 NEAT vs ESP

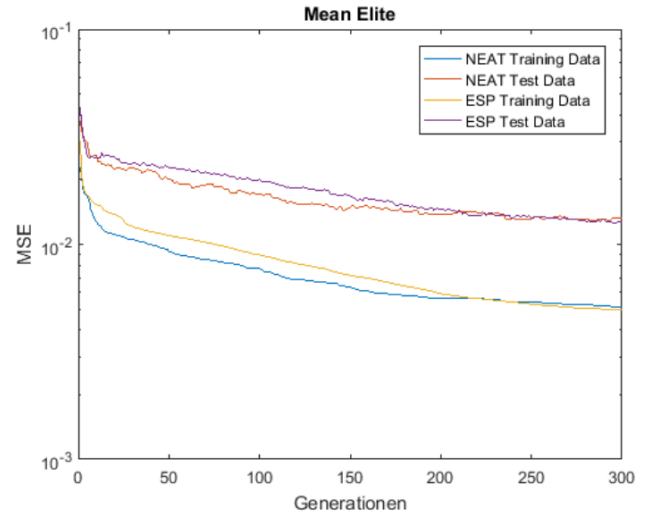


Figure 2: Development of average mean square error, comparing NEAT and ESP

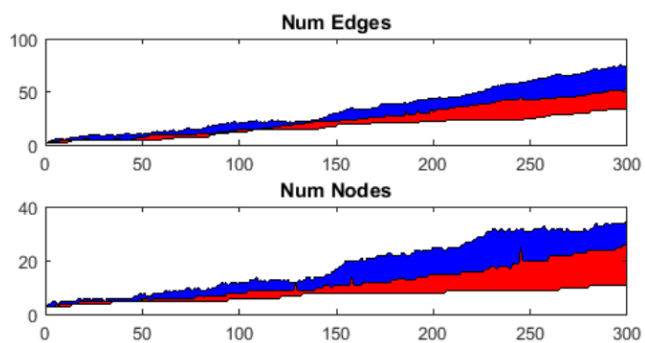


Figure 3: Development of min/mean/max number of nodes and edges. *Legend is missing!*

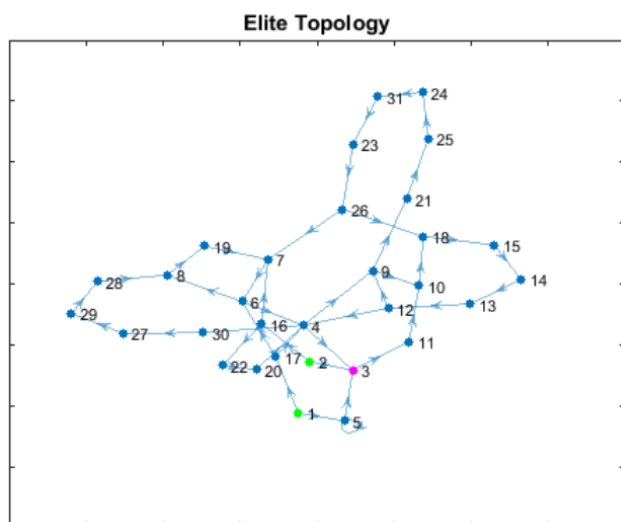


Figure 4: Elite topology. Nodes are assigned their IDs. Green are input nodes, purple are output nodes.

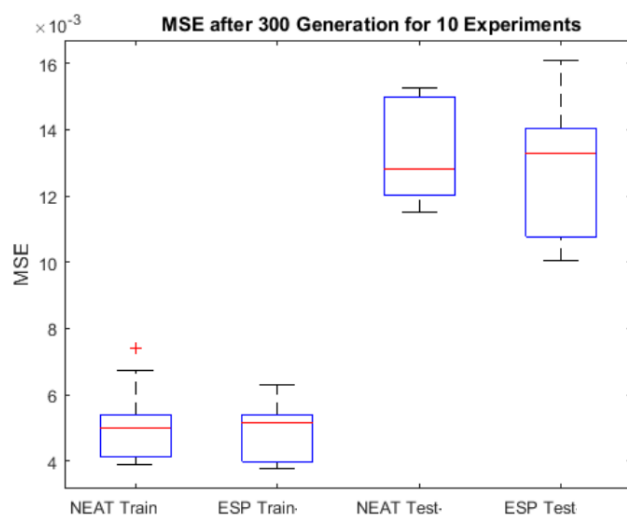


Figure 5: Comparing MSE of NEAT and ESP

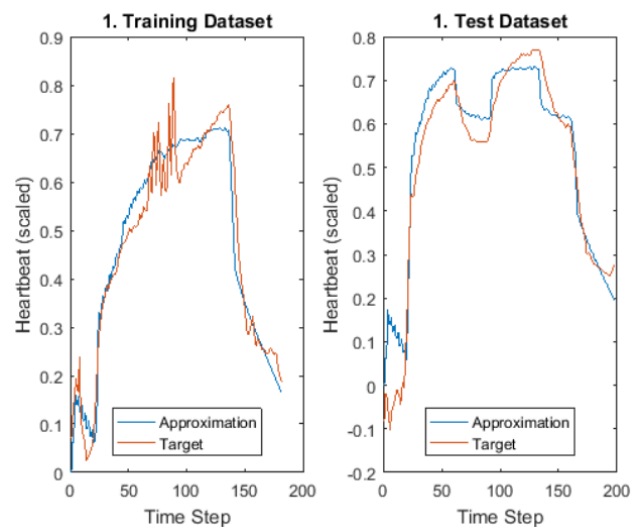


Figure 6: Approximated heart rates after 300 generations

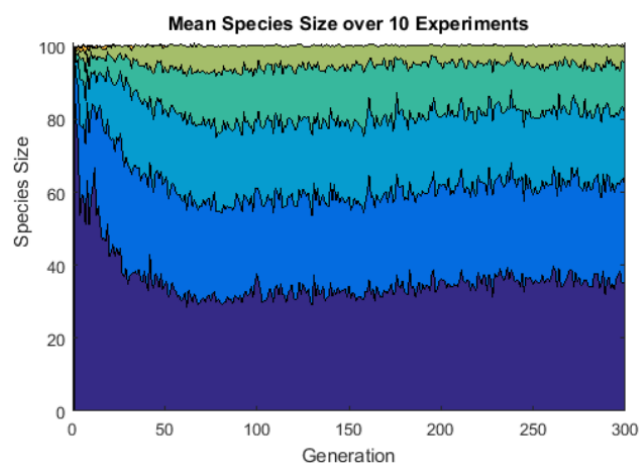


Figure 7: Development of species over time, averaged over 10 generations

## **5. CONCLUSION**

## **6. REFERENCES**

- [1] K. O. Stanley and R. Miikkulainen. Evolving Neural Networks through Augmenting Topologies. *Evolutionary Computation*, 10(2):99–127, 2002.