

NeuroEvolution of Augmenting Topologies (NEAT)

Steuerung eines Mario Jump & Run Spiels

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ABSTRACT

Short summary: in **very few** sentences, describe what was asked, what you did and what the results were

1. GENERAL NOTES

- Do not state things you cannot confirm either by literature or experiment. This is science, not black magic.
- Normalize your data and resulting statistical descriptors (like RMSE, μ)
- Save your experimental results to disk **before you visualize**. You **will** change your plots quite a lot after gathering the data.
- Don't submit reports with many pages containing just a single figure. Scrolling is terrible for our health.
- **Separate** training and test data. Don't use test data in your algorithm to learn or make decisions, they are only allowed to test the end result. If you need a separate sample set to make decisions during training or optimization, create a third (validation) set. Only your test data will really tell you how good your algorithm is.

1.1 Algorithm Parametrization

Develop an actual strategy, preferably on a reduced but similar problem. You can reduce the number of samples, the targetted number of time steps your controller runs in a simulation, or any other non-destructive problem reduction method. If your algorithm has two parameters you need to adjust, it should be no problem to take 5 *sensible* values per parameter and compare all combinations. For stochastic algorithms, like evolutionary approaches, make sure you **repeat your experiments** at least 5-10 times, depending on the amount of randomness. Since your algorithm makes "random" changes, just comparing single runs does not give you a good estimation on its performance. Do **not** pick your

values such that they only confirm the values you **want** to use.

1.2 Structure

1. **Assignment Description:** first provide a brief description of the assignment that was handed out to you. This includes a description of the data.
2. **Approach:** describe the algorithm
3. **Experiments:** describe your experimental setup, algorithm parameters, data preprocessing first. Then include the results and a discussion thereof.
4. **Conclusion:** any conclusions about the algorithm, bugs, future work.

1.3 Visualization

- Label your axes
- Use logarithmic scale when appropriate
- Use descriptive captions below your figures
- Add legends if necessary
- Make font sizes large enough, linewidths thick enough to be readable in the final report.
- When making comparisons, make sure the results are either in the **same** graph or graphs are plotted next to (or close to) each other.
- **In short: make sure people can read your figures**

2. ASSIGNMENT

In this assignment, we implement NEAT according to the original publication [1]. The compatibility threshold for speciation is increased/decreased depending whether the number of species \leq or \geq the target number of species.

NEAT is then applied to create a time sequence predictor for a heart rate dataset that is provided by the university. We find the best hyperparameters (like number of subpopulations etc.). We then compare NEAT to ESP in terms of **convergence time** and **testing error**.

12 datasets are provided, containing the information as shown in Table 1. Using power data, collected from a cyclist in a lab situation, NEAT will be used to predict the heart rate for the next time step¹.

data	timestep	heart rate	power
unit	t	bpm	W
type	-	output	input

Table 1: Heart rate dataset

3. APPROACH

We extend NEAT by including the evolution of the activation function, hoping to produce *leaner* networks that generalize well and take less training².

Algorithm 1 HeteroNEAT

```

1:  $c \leftarrow \text{mutRate, crossRate, numSpecies, actFcns}$  /* Configuration */
   Input: evalFcn
2: function HETERONEAT(Parameters, Input)
3:    $P \leftarrow \text{initPop}(n)$  minimal networks
4:   for generation  $g$  do
5:      $N \leftarrow \text{expressNetwork}(P)$ 
6:      $F \leftarrow \text{evalFcn}(N)$ 
7:      $P \leftarrow (P, N, F)$  /* Assign fitness */
8:      $S \leftarrow \text{speciate}(P, c)$ 
9:     for species  $s$  in  $S$ 
10:       $s'(1) \leftarrow \text{getElite}(s)$ 
11:       $\text{cull}(s, c)$  /* If species is large enough */
12:       $p \leftarrow \text{tournamentSelect}(s)$ 
13:       $\text{crossover}(p, c)$  /* Combine matching connections */
14:       $\text{mutate}(p, c)$ 
15:     end for
16:   end for
17: end function

```

4. EXPERIMENTS

Experiments are repeated 10 times. By the way, this is not enough for a description.

4.1 Parameterization

This would include a description of all parameter tuples and a description on how you reduced the problem to provide small and fast runs for this extensive comparison. Results would be shown in boxplots, as these provide you with the

¹Please also describe **why** you would use NEAT

²This would be something you need to show in your results!

median and 25% and 75% percentile for every parameter tuple. This makes comparison easy, as you can plot multiple boxplots next to each other, similar as in Figure 4.

4.2 NEAT vs ESP

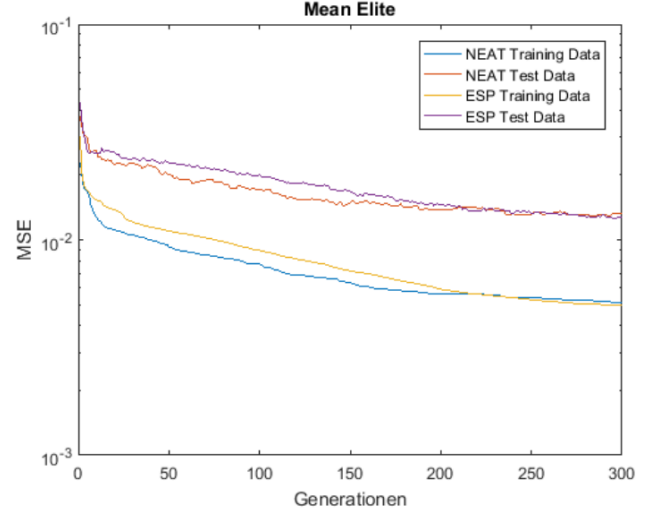


Figure 1: Development of average mean square error, comparing NEAT and ESP

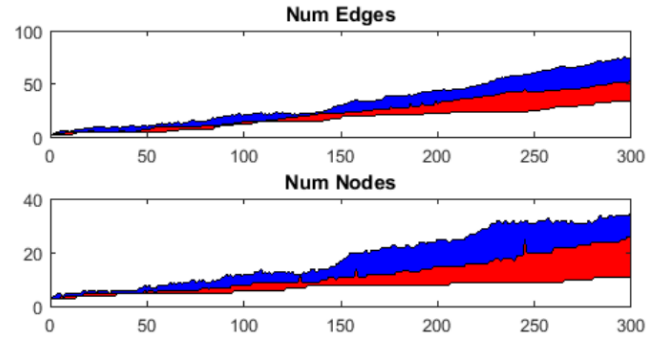


Figure 2: Development of min/mean/max number of nodes and edges. *Legend is missing!*

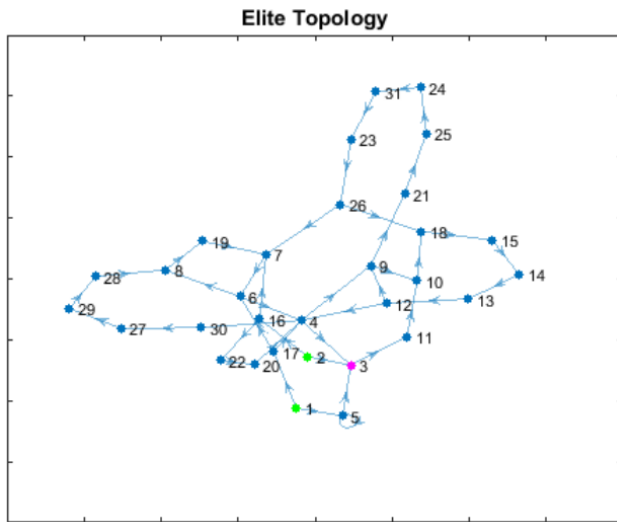


Figure 3: Elite topology. Nodes are assigned their IDs. Green are input nodes, purple are output nodes.

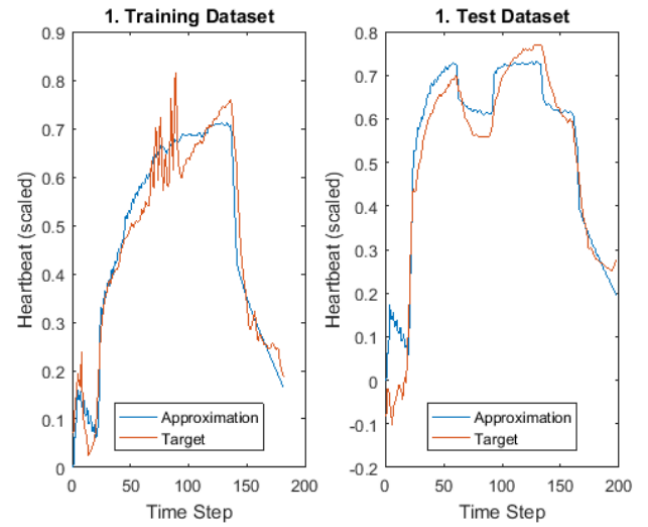


Figure 5: Approximated heart rates after 300 generations

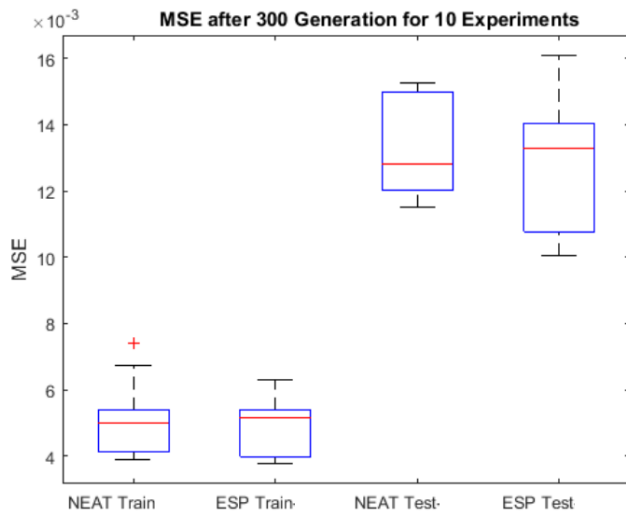


Figure 4: Comparing MSE of NEAT and ESP

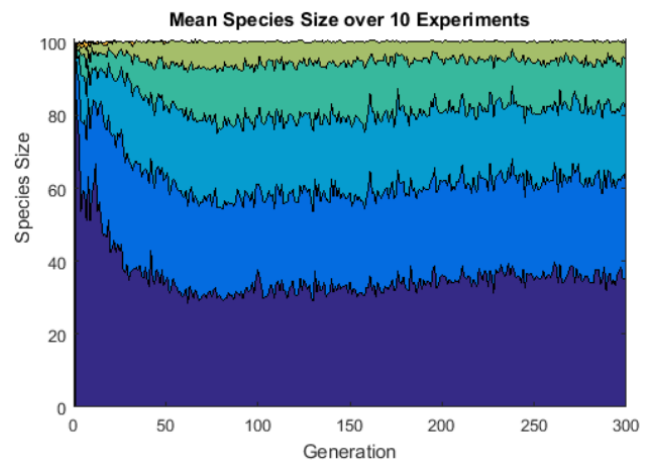


Figure 6: Development of species over time, averaged over 10 generations

5. CONCLUSION

6. REFERENCES

- [1] K. O. Stanley and R. Miikkulainen. Evolving Neural Networks through Augmenting Topologies. *Evolutionary Computation*, 10(2):99–127, 2002.