

# **Individual-level causes and population-level consequences of variation in fitness in an alpine rodent**

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# Summary

This thesis investigates the stochastic and selective causes of variation in fitness components, and the evolutionary consequences of this variation in a wild rodent population. It shows the contemporary genetic evolution of body mass and the uncoupling between classic estimates of selection and adaptive evolution.

Understanding variation among living beings is the heart of evolutionary biology. For over 150 years, researchers have documented the causes of within-species variation and how this variation generates diversity among species as well as the fit between organisms and their environment. The question of how wild populations adapt to environmental changes is receiving renewed attention, because methodological advances allow deeper investigation, but also because of ever increasing concerns regarding rapid anthropogenic changes. This new research focus highlights the difficulties to measure natural selection, to disentangle evolution from plastic changes and to predict evolutionary trajectories. The scarcity of robust examples of contemporary evolution in the wild casts doubt on the possibility that evolution could play a role in the face of rapid environmental changes. In this thesis, I investigate the causes of natural selection and evolution in wild population of snow voles (*Chionomys nivalis*). Thanks to 10 years of individual-based monitoring and of genotyping, knowledge of this population includes life-history and morphological data, and a pedigree containing 90% of the parent-offspring links. This population is therefore among the best ones worldwide to measure selection and evolution in action.

The population is nevertheless relatively small and recent publications suggested that the evolutionary potential in such populations would be very limited by stochasticity in fitness components. I assess the methods used in these publications, and demonstrate that variation in fitness components is not purely stochastic. Small populations, including the snow voles, show evolutionary potential.

With collaborators, I then compare four common methodological frameworks to disentangle the contributions of evolution, plasticity and demography to phenotypic changes. We identify important discrepancies between the frameworks, partly originating from the use of different definitions, but also showing different capabilities. Among the frameworks considered, quantitative genetics only can measure genetic change.

Applying methods from quantitative genetics to the snow vole population, I show adaptive evolution in body mass over the study period. I show that phenotypic estimates of selection are not predictive of this evolution: their mean value and their temporal variation are not related to the rate of evolution. This demonstrates that the dominant method to measure selection is at risk of measuring variation in nutritional status instead. Still, based on quantitative genetics, I identify the target of selection and obtain estimations in line with the observed genetic change.

In conclusion, this thesis demonstrates contemporary evolution in a wild popula-



tion and shows that evolutionary responses to environmental changes cannot be reliably estimated nor understood from purely phenotypic methods, an explicit genetic approach is necessary.



# Zusammenfassung

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# Chapter 1

## General introduction

*One can't understand everything at once, we can't begin with perfection all at once! In order to reach perfection one must begin by being ignorant of a great deal. And if we understand things too quickly, perhaps we shan't understand them thoroughly.*

— Fyodor Dostoyevsky, *The Idiot* (1868–9)

*Si nous ne trouvons pas des choses agréables, nous trouverons du moins des choses nouvelles.*

— Voltaire a.k.a. François-Marie Arouet, *Candide* (1759)

### 1.1 Variation in fitness

#### 1.1.1 The idea of variation in evolutionary biology

Understanding the causes of variation among living beings is at the heart of evolutionary questioning (Kruuk, Charmantier, and Garant 2014). It is in fact its very starting point. Darwin opens his book *the Origin of Species* with two chapters describing variability in domestic and wild organisms (Darwin 1859). Building on these observations, Darwin then summarizes the evidence showing that variation within species is the fuel generating the astonishing diversity among species, but also the striking fit between organisms and their environment.

These great answers immediately opened many more questions about the causes and consequences of variation, some of which still keep scientists busy more than 150 years later. In particular, nineteenth century biologists struggled with the sources of variation within species, as is clear in *the Origin of Species* itself: “*Variability is governed by many unknown laws, of which correlated growth is probably the most important. Something, but how much we do not know, may be attributed to the definite action of the conditions of life. Some, perhaps a great, effect may be attributed to the increased use or disuse of parts*” (p. 31 Darwin 1859)<sup>1</sup>. Of course, the effect of ageing was acknowledged and plastic responses to the environment were thought to be predominant (Wilkins 2009). Ageing and the environment does not always provide a satisfactory explanation for variation that appears within a population or even within a litter. Furthermore, Darwinian arguments build on the observation of this special kind of inherited variation that can

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<sup>1</sup> Alternatively, biologists dismissed this within-species variation by considering that species were arbitrary boundaries in a set or continuum of variation. Darwin did not attempt to define species, but by explaining how they originate, he made some definitions indefensible (Wilkins 2009, pp. 129-163).

appear among siblings of a same litter, clutch or pod, and that is subsequently transmitted from parent to offspring (Darwin 1859, Chapter 1). The late nineteenth century was utterly ignorant of the sources of inherited variation within species. Only at the beginning of the twentieth century were the laws of inheritance progressively discovered and spread to the scientific community (e.g. by Mendel, de Vries, Correns and Bateson, none of whom I have read). Four more decades saw these laws formalized into a unified scientific theory to understand variation within populations (R. Fisher 1930), and explained at the molecular level (Oswald, MacLeod, and McCarty 1944; Watson and Crick 1953), thus closing the logical gap in Darwin's argument: Relatives resemble each other because they share similar gene versions on long strands of DNA, a molecule that is copied with high fidelity and transmitted from parent to offspring; There is variation among siblings because of the reshuffling and segregation of parental genes and, on occasions, because DNA mutates. Our understanding of the causes of variation within species and populations has made terrific progresses and now fits elegantly in the broader evolutionary theory. Nevertheless, many aspects of the causes of variation are still to be refined or newly explored, especially in natural populations (Kruuk, Charmantier, and Garant 2014). In particular, the relative importance of genes and the environment in the wild remains studied in only a few populations of a few species, taxonomically biased, and concerns a limited set of traits (Postma 2014).

Additional open research questions relate to the consequences of within-species variation. In particular, a lot of attention is paid to how genetic variation translates into adaptive evolution. Any trait that possesses genetic variation is evolvable, but it can evolve in an adaptive way only if the trait is subject to selection, be it artificial or natural. Selection occurs when the variation in the trait causes variation in what Darwin called *fitness*. Before we discuss the specificity of the causes and consequences of variation in fitness, we must introduce this difficult concept.

### 1.1.2 Variation in the definition of fitness

There has been a great deal written about the concept of fitness, including multiple conflicting definitions, which "*is hardly surprising as every important scientific concept is difficult to understand from first principles, as for instance the notions of space and time, or energy and force*" (p. 1358 Wagner 2010). I will not solve the question of the definition of fitness here, but I will try to make clear how the word is used in this thesis. To start with, in the past, there has been some confusion on whether fitness is a realized reproductive outcome or a propensity to reproduce (Brandon and Beatty 1984). It is now rather consensual that the concept of fitness is more useful when it is defined as a propensity, that is, as an expected value that cannot be measured directly because of stochasticity (Brandon and Beatty 1984; Price 1996; Krimbas 2004) and we will follow this idea. Fitness has been defined at the level of the genetic lineage (e.g. Akçay and Van Cleve 2016), of the individual (e.g. Cam and Monnat 2000), of the genotype (e.g. Steiner and Tuljapurkar 2012), or of the population (e.g. Tienderen 2000)... A propensity definition partly dissolves the problem of the level of the definition, since the expected reproductive outcome of a genotype is the same as the expected reproductive outcome of the individuals bearing this genotype, and the expected reproductive

outcome of a population is the sum of the expectation of the reproductive outcome of its individuals. Here, we will consider fitness at the level of individuals, because they are the unit most easily observable and the primary target of natural selection. Fitness has alternatively been defined as the asymptotic number of descendants or as the contribution to the next generation (Wade 2006). Since we consider fitness at the level of individuals and because most of the work carried out is based on data covering about ten generations only, it is intuitive to consider fitness as the contribution to the next generation. Besides practical considerations, this choice allows for a clear, and conceptually crucial, distinction between selection, inheritance and evolution, that is blurred in asymptotic definitions (R. Fisher 1930; Arnold and Wade 1984). A slightly contentious point is whether fitness should be defined as an absolute number of offspring (Wade 2006) or a relative one (Rousset 2004), that is, whether “relative fitness” is a meaningful phrase or a tautological one. I feel like the relative definition is really closer to the interest of evolutionary biologists and avoids appending *relative* to every occurrence of *fitness*. Nevertheless the field massively favours the absolute definition and for the sake of clarity I attempted to yield to the convention (possibly with some inconsistencies). Finally, instead of a measure of reproductive success, relative fitness has recently been defined as the amount of information about the environment that populations accumulate by selection (Frank 2012). I see great conceptual promises in this view, that brings together Darwin’s original use of the word *fitness* and the scientific field of information theory. An information interpretation of fitness did not directly influence the work and is not necessary to understand it, but it might enlighten some of the results presented here, and evolutionary biology in general. To sum up, we define the fitness of an individual as its expected number of descendant to the next generation.

### 1.1.3 Causes and consequences of fitness variation in the wild

Why is there variation in individual fitness? This question attracted a lot of research attention, because (i) genetic variation in fitness controls the pace of evolution within a population, and because (ii) an intuitive consequence of evolution is the erosion of the genetic variation in fitness. In this thesis, we will not deal with the second point, the fundamental question of appearance and maintenance of genetic variation in fitness (e.g. lek paradox, see XX), but rather with its proximal sources. We will consider these proximal sources from two complementary angles.

First in a descriptive approach, one can decompose variation in fitness into components of variation, without mention of the underlying mechanisms. Apart from genetic variation, variation in fitness can also originate from variation in early-life, micro-environment, or maternal effects (Mcfarlane et al. 2015). In addition, when working with wild sexual organisms, individual fitness as we defined it cannot be observed directly. Indeed, individuals are unique and their realized reproductive success does not equal their expected reproductive success. Therefore, researchers have to rely on fitness proxies, often realized reproductive success and survival, that contain a large stochastic component. Additive genetic variation in fitness is also the rate of evolution in fitness (R. Fisher 1930) and it sets the maximal rate of evolution. A variance decomposition approach is therefore useful to determine whether variation

in fitness proxies is all stochastic and environmental or whether it hides genetic variation in fitness and simultaneously to determine how much adaptive evolution can be expected to happen within a population.

Second, in a more mechanistic approach, one can investigate what characteristics make some individuals fitter than others, that is what traits are under natural selection<sup>2</sup>. The study of natural selection took-off with the development of regression-based methods to accurately measure its strength and predict its effects (Lande 1979; Lande and Arnold 1983). Under some assumptions, the genetic change in response to selection on a trait is the product of a selection gradient and of additive genetic variation in that trait (Lush 1937). Therefore, by understanding what traits cause variation in fitness, one can predict what traits should evolve, as well as their direction and speed of evolution.

The study of natural selection and adaptive evolution in the wild is very topical in the context of unprecedented rates of environmental changes induced by human activities (Parmesan 2006). Anthropogenic changes provide the opportunity of natural experiments to evolutionary biologists (Altermatt, Ebert, and Altermatt 2016; Brookfield 2016), but also come with societal concerns and an ever increasing urge to better understand and predict how living things respond to the selective pressures imposed by environmental changes (Mc Carty 2001; Shaw and Shaw 2014). This regain of focus has highlighted the gaps in the understanding of adaptation in natural populations: it is still challenging to predict, or even understand retrospectively, how natural populations respond to selective pressures (Merilä, Sheldon, and Kruuk 2001; Tafani et al. 2013; Shaw and Shaw 2014; Brookfield 2016).

In order to study the evolutionary potential of wild populations and their response to selective pressure, it is necessary to measure genetic parameters. More specifically, one must determine whether the traits under selection are heritable, whether there is heritable variation in fitness and how what is the rate of genetic change for the traits of interest.

## 1.2 Measuring genetic variation

### 1.2.1 Looking up or down? Two philosophies

How to measure and make sense of genetic variation? For over a century, there have been two main approaches (Liedvogel, Cornwallis, and Sheldon 2012), that can grossly be traced back to the scientific controversy opposing the Mendelians to the biometricalians, and summarized as “bottom-up” and “top-down”. Bottom-up approaches, championed by candidate gene and genome wide association studies, start from molecular data to infer the phenotypic effects of individual genetic loci. Top-down approaches, encompassed within quantitative genetics, attempt to decompose

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<sup>2</sup>In this thesis, unless mentioned otherwise, we consider *sexual selection* as part of *natural selection* and of *selection*. Measuring sexual and natural selection separately, would certainly provide a finer understanding of the mechanisms of selection in the study population, but this was beyond the scope of this thesis. Nevertheless, the question was partly explored by García-Navas, Bonnet, Waldvogel, et al. 2016 and García-Navas, Bonnet, Bonal, et al. 2016.

phenotypic variation into genetic variation and other sources of variation, based solely on phenotypic data and on some knowledge of the relatedness between individuals. Some pros and cons of both approaches are nicely illustrated by the confrontation of the quantitative genetics of mass with the genotyping of a candidate gene for mass. The latter is a side project of this PhD that does not appear in the other chapters, and we take the opportunity to present it below.

### 1.2.2 A candidate gene for body mass: insights and limits

We used a candidate gene approach (Fitzpatrick et al. 2005) to uncover the molecular mechanisms underlying variation in body mass. To date, the only candidate gene we fully analysed is an intronic region of the gene *lepr*, which codes for the receptor to leptin. Leptin is a hormone known to regulate fat metabolism, energy expenditure and food intake, including in rodents (Houseknecht et al. 1998).

We found a recessive allele (let call the recessive allele *a*, and the dominant allele *A*) associated with lighter individuals (Fig. 1.1A). Homozygotes *aa* were -2.9 g lighter (95% credibility interval [0.6; 5.1]), that is, 8% lighter than the mean. On average, during their lifetime, these *aa* individuals produced one third less offspring than the *AA* individuals (Fig. 1.1B). This strong difference in fitness is however not statistically significant, meaning that it could very well be the result of chance alone. These results suggest that some of the genetic variation in body mass is due to food intake and/or fat metabolism, which could not be sensed from the estimation of genetic variances and covariances. Based such a strong phenotypic effect, *lepr* could be called a major locus, but how much of the genetic variation does it explain?

Knowing the effect of the three genotypes and the allele frequencies one can compute analytically the additive genetic variances associated with a bi-allelic locus (R. A. Fisher 1941; Lynch and Walsh 1998, p77). Thus, the additive genetic variances associated with *lepr* are 0.052 for body mass and 0.006 for LRS. For both traits, *lepr* explains about 1% of the additive genetic variation as estimated from an animal model. This is a relatively large proportion for a single locus given that quantitative traits loci typically explain a fraction of a percent to a few percents of additive genetic variance ( $V_A$ ), when they have a large enough sample size to mitigate Beavis effect (Flint and Mackay 2009; Jensen, Szulkin, and Slate 2014). Still, 1% of  $V_A$  is not sufficient to infer the evolutionary potential of the trait. Finally, genotyping many more markers, for instance using high-throughput sequencing (Goodwin, McPherson, and McCombie 2016), is unlikely to improve this situation in the snow vole population. Generally, very large sample sizes and high-quality genomic resources are necessary to explain a biologically proportion of genetic variances (Bloom et al. 2013; Jensen, Szulkin, and Slate 2014). For instance XX only 10% height  $h^2$  from 180 QTL. High-throughput sequencing can also been used in a top-down way, that does not identify causal genetic variants, but instead quantifies the phenotypic variation jointly explained by all the genotyped markers. Thus, 3,925 individuals and 294,831 markers were able to explain 45% of the genetic variation in human height (Yang et al. 2010). This is sufficient genetic variation to start however, given knowledge on the relatedness between individuals, quantitative genetics can estimate all the genetic variation in a phenotype, without any genotyping effort.

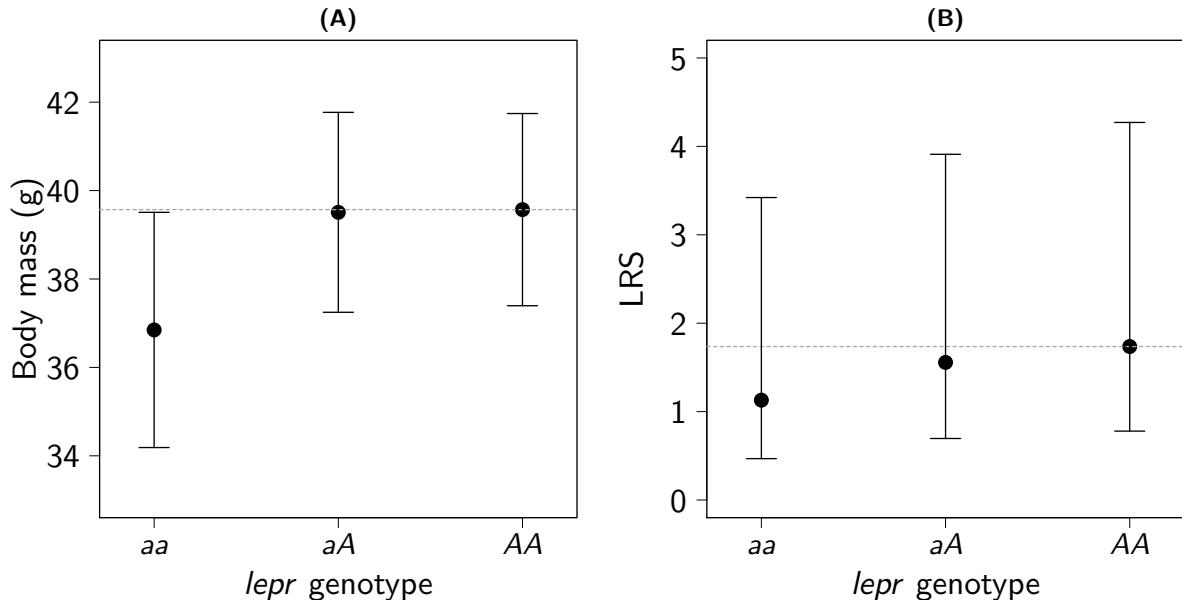


Figure 1.1: Body mass and lifetime reproductive success (LRS) as a function of *lepr* genotypes. (A) Expected body mass of snow voles bearing the three *lepr* genotypes. The expectations and 95% confidence intervals were predicted from a linear mixed model fitted to the 2311 mass measurement of 532 snow voles. The model accounted for sex, age, date of capture and their two-ways interactions, as well as year of capture and multiple measurements of the same individual. (B) Expected LRS of snow voles bearing the three *lepr* genotypes. The expectations and 95% confidence intervals were predicted from a Poisson generalized linear mixed model fitted to the LRS of 611 snow voles. The model accounted for inbreeding coefficient, year of birth and over-dispersion (using an observation-level random effect). For both panels, the dashed horizontal line projects the expected value of genotype *AA* to ease comparison with *Aa* and *aa*.

To conclude, bottom-up approaches can better unravel the molecular mechanisms underlying phenotypes, but they are not effective at estimating the genetic parameters of phenotypes. On the other hand, quantitative genetics lump all the effects of individual genes and their interactions into only a few parameters, non-informative about the underlying genetic architecture (Mackay 2001; Nietlisbach and Hadfield 2015; Huang and Mackay 2016). This summarized estimation provides simple and direct measures of genetic parameters. Quantitative genetics work directly on the phenotype which is the target of selection, and the source of ecological interactions. They therefore provide simple measures of genetic parameters that can directly be interpreted within the ecology of organisms. This thesis is concerned with the genetics and evolution at the level of organisms, in relation to their environment, and accordingly, most of my work relies on quantitative genetics.



## 1.3 This thesis

### 1.3.1 Objectives

In this thesis, I investigate the proximal causes of individual-level variation in fitness, and the consequences of this variation at the population level. This thesis aims at better measuring and better understanding selection and evolution in the wild. It examines the relative importance of stochasticity and selection in shaping reproductive success and survival, disentangles evolutionary from plastic changes and explores the link between selection and evolution. These questions are addressed using a combination of computer simulations and of data from the long-term individual-based monitoring of a snow vole population.

### 1.3.2 Snow voles in Churwalden

The snow vole (*Chionomys nivalis*, Martins 1842) is a medium-sized rodent, its adult body size ranges from 10 to 14 cm, without the tail (5 to 7.5 cm long). Contrary to a widespread intuition, snow voles are not white (Fig. 1.2). The fur colour of the upper-parts varies from light to dark taupe grey, sometimes tinted with brown or dark red. This misconception highlights that the species could favourably renamed *rock vole*: it is a rock, rather than a snow, specialist (Luque-larena, López, and Gosálbez 2002) and might be associated with high elevations only because rocky areas are more widespread there. It is sparsely distributed across southern Europe and Asia Minor, from sea level up to 4000 m of elevation (Janeau and Aulagnier 1997).



Figure 1.2: Juvenile (left picture) and adult (right picture) snow voles in their habitat in Churwalden, Switzerland. Juveniles always lack the brown hue generally found in adults. Neither adults nor juveniles are white.

Snow voles excavate burrows under the rocks, but can also use natural clefts between rocks sometimes carrying small stones to build walls (Niederer 2008). A burrow consists of tunnels connecting chambers, one for the nest and multiple ones to stock dry plants (Janeau and Aulagnier 1997). The species is not known to hibernate. Adult females actively defend small territories against non-relatives and tend to form



matrilineal clusters of territories, whereas adult males wander, and fight, across large overlapping home-ranges (Luque-larena, López, and Gosálbez 2004; García-Navas, Bonnet, Waldvogel, et al. 2016). The matting system is promiscuous and a same litter can be sired by multiple males. Females normally produce 1 to 4 litters of 1 to 5 pups between May and September. Juveniles generally do not reproduce in their first civil year. Although they can eat flour worms in the lab, there is no evidence that snow voles are not strictly herbivorous in the wild (Janeau and Aulagnier 1997). In the Swiss Alps, snow voles suffer predation from red foxes, stoats, various owls and corvids, and parasitism from flees, lices and ticks (Janeau and Aulagnier 1997; Martinoli et al. 2001).

The study area is located by the Churer Joch, Churwalden, in the Swiss canton Graubünden (coordinates 46°48' N, 9°34' E), and covers about 5 ha between 1980 m and 2100 m above sea level. It consist of a west-exposed scree interspersed with small coniferous trees and with patches of alpine meadow. The study area is demarcated by extensive meadows to the south and to the north, by a coniferous forest to the west and by cliff to the east. Another scree, called Wolfgruoben, offers about 1 ha of favourable habitat, starting 300 m north-east to the monitored area. Wolfgruoben was trapped in 2008 and 2013. The snow vole density was rather low, with on average five captures per night of trapping, versus 18 on the main study area. More habitat favourable to snow voles can be found 2 Km to the south. The study population is moderately isolated and receives 5 to 10 immigrants per year (García-Navas, Bonnet, Waldvogel, et al. 2016).

The monitoring of this snow vole population was initiated in 2006 by Dr. Peter W. Wandeler. Dr. Erik Postma took the monitoring over in 2012, but the protocol has remained practically unchanged. This thesis contains data collected up to the year 2015. Every year from 2006 to 2016, snow voles were life-trapped multiple times between

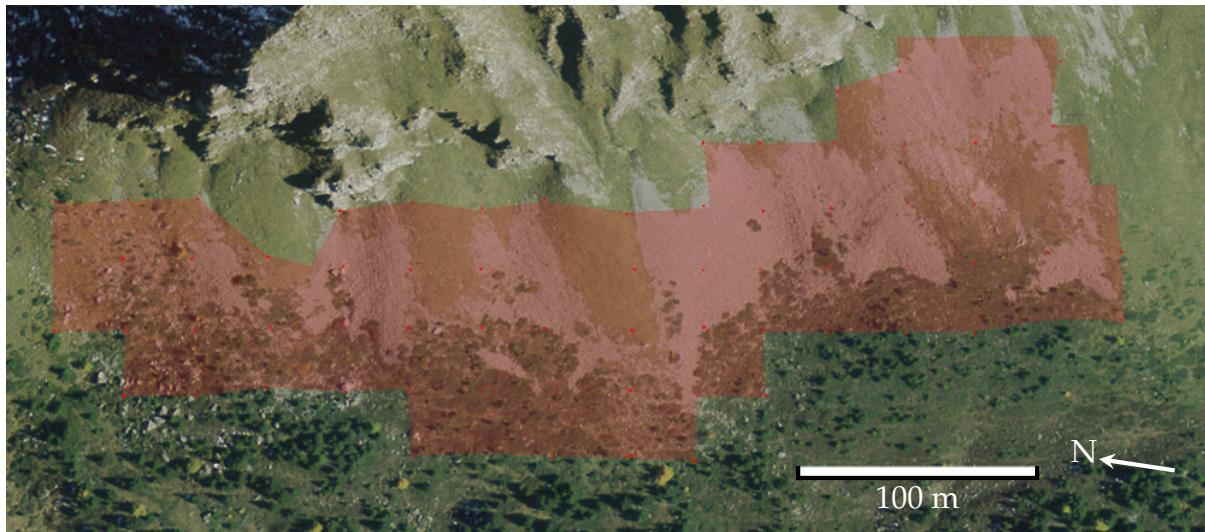


Figure 1.3: Orthophoto of the study site, from 2008. The red shading indicates the approximate area where traps are set.

late May and early October. Traps were set during the day, opened around sunset

and checked the next morning. For every snow vole capture<sup>3</sup>, we recorded sex, age, body mass, body length, tail length, date, location and signs of reproductive activity (pregnancy, lactation, swollen scrotum). In addition, all newly-captured snow voles were individually marked and genotyped for 18 microsatellites Wandeler, Ravaioli, and Bucher 2008. Based on the autosomal microsatellite genotypes, we reconstruct the pedigree of the population. This pedigree is the raw material for most of the work carried out during this thesis. In particular, the pedigree is used to define reproductive success, as well as to estimate the relatedness between all pairs of individuals. These two statistics are essential to estimate selection, fitness and genetic variation.

### 1.3.3 Thesis outline

In natural populations, fitness is generally measured using individual measures of reproductive success and survival. These proxies are not fitness itself and their variation is largely stochastic, leading some authors to doubt that there is any significant variation in fitness in natural populations. Recent methodological developments appeared to support the view that variation in reproduction and survival was purely stochastic, and suggested that the potential for selection and evolution in the wild was largely over-estimated. In Chapter ?? we examine these methods and, based on computer simulations, demonstrate that they lack statistical power to detect latent variation in fitness components. Using an alternative approach we show the presence of significant variation in the propensity of reproductive success in the snow vole population, thereby showing some potential for selection and adaptive evolution in this population. We also attempt to clarify some conceptual misunderstandings between the proponents of the two methodological schools.

A similar attempt motivated chapter ??, where we review and compare four methodological frameworks to disentangle the causes of phenotypic changes. In particular, these frameworks differ in their estimation of the relative roles of plasticity, demography and genetic change. Based on computer simulations and on mathematical comparisons, we show that the discrepancies between the frameworks primarily originates from different definitions of the components of change. Nevertheless, one of these frameworks, the quantitative genetics *animal model*, stands out as the only framework able to estimate genetic change and the response to selection (that is, the trans-generational consequence of variation in fitness). We relied heavily on this framework for the two next chapters.

In chapter ??, we explore the reasons of the mismatch between apparent phenotypic selection, phenotypic change and genetic change for body mass. We describe one of the first case of contemporary evolution of a quantitative trait in the wild and show that this genetic change is adaptive. Both the evolution and the selective pressure responsible for it are invisible to purely phenotypic approaches, however. Using multivariate animal models, we identify the main component of selection as juvenile viability. We then infer that the target of selection is potential adult mass in juveniles and that selection is related to a recent change in climatic conditions.

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<sup>3</sup>Other species (bank voles, pine voles, wood mice, stoats, black salamanders, slugs...) were released without taking measurements.

The previous chapter considered selection and evolution averaged over the whole study period. The temporal variation of selection is known to be a possible brake on evolution. Nevertheless, unbiased measures of the variation of selection are rare and of the coupling between variation in selection and variation in evolution has been ignored. Chapter ?? shows that selection fluctuates in the study population, mainly due to variation in fertility selection. The rate of adaptive evolution is, however, remarkably constant, because viability selection, the driver of body mass evolution, does not vary. In this case the fluctuation of selection is evolutionary irrelevant. These two last chapters highlight the dangers of relying on phenotypic estimates of selection to understand the evolutionary dynamics of natural populations.

Finally, in chapter ??, I summarize the progresses made during this PhD on the understanding of natural populations and discuss some of the remaining challenges and future working directions.

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## Chapter 1 General introduction

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