# Timothy Smith, Ph.D.

## Research Scientist

• timothyas timothyas.github.io

#### RESEARCH INTERESTS

Machine Learning
Coupled Data Assimilation
Cloud Computing

Uncertainty Quantification Ocean Modeling Observing System Design Probabilistic Forecasting Open Source Software Development Ice-Ocean Interactions

#### EDUCATION

## Ph.D. in Computational Science, Engineering, and Mathematics; December 2021

The University of Texas at Austin

Thesis: Uncertainty Quantification of Ocean Driven Melting Under the Pine Island Ice Shelf

Overall GPA: 3.96/4.00

#### M.S. in Computational Science, Engineering, and Mathematics; May 2017

The University of Texas at Austin

Overall GPA: 3.96/4.00

#### B.S. in Mechanical Engineering with High Honors; May 2014

Certificate in Scientific Computation

Thesis: Modeling Coupled Photovoltaic Power Plants with Compressed Air Energy Storage in Texas

The University of Texas at Austin

Overall GPA: 3.91/4.00

#### Research Experience

#### Research Physical Scientist, February 2024 - present

NOAA Physical Sciences Laboratory (PSL), Earth System Research Laboratories (ESRL)

Developing ML weather emulators to represent covariances for Data Assimilation

Developing multi-resolution global ML weather emulators, with 3km resolution over the U.S.

Leading development of ML preprocessing, training, and evaluation infrastructure at PSL

Publicized NOAA's UFS Replay dataset in Zarr on Google Cloud Storage ( $\sim 1 \text{ Pb}$ )

Publicized the CONUS404 dataset in Zarr on Microsoft's Planetary Computer ( $\sim 2 Pb$ )

#### Research Scientist, December 2020 - February 2024

Cooperative Institute for Research in Environmental Sciences (CIRES), CU Boulder Physical Sciences Laboratory (PSL), NOAA Earth System Research Laboratories (ESRL)

Integrated recurrent neural network emulators with data assimilation techniques

Developed framework for emulating large scale geophysical turbulence with echo state networks

Developed prototype machine learning model targeting strongly coupled data assimilation

#### Graduate Research Assistant, August 2015 - December 2021

Oden Institute for Computational Engineering and Sciences; The University of Texas at Austin Advisor: Dr. Patrick Heimbach

Developed computational framework for oceanographic uncertainty quantification

Evaluated ice shelf meltrate uncertainty reduction from sparse, in situ ocean observations

Developed and implemented anisotropic, nonstationary prior covariance model

#### Undergraduate Research Assistant, December 2011 - May 2014

Department of Mechanical Engineering; The University of Texas at Austin

Advisor: Dr. Mark Deinert

Developed Monte Carlo neutron transport model to better parameterize nuclear reaction rates
Implemented Monte Carlo radiation transport model in C for parameterization validation
Explored the potential solar energy cost reduction via positive feedback from economies of scale

#### Undergraduate Research Assistant, Summer 2013

Oden Institute for Computational Engineering and Sciences; The University of Texas at Austin Advisor: Dr. Michael Sacks

Developed tetrahedral human heart model for mechanical deformation simulations Established workflow for collaboration with Medtronic (industry partners)

#### AWARDED GRANTS AND FUNDING

- J. Estep (PI), **Smith, T.A. (PI)**. Cloud Data Analytics Medium Range Weather Project. Subgrant through West Virginia High Tech Foundation (HTF-22OAR-01-20230124), from prime award NOAA Community Project (NA22OAR4690670). \$212,494. March 2023-September 2024.
- Smith, T.A. (PI). Ensemble Weather Generators for Data Assimilation and Forecasting at NOAA. NERSC Generative AI HPC for Science Program. AY 2024. July Dec 2024 (with annually renewing support to present).

#### **PUBLICATIONS**

- 1. Chen, T.-C., Penny, S. G., **Smith, T. A.**, & Platt, J. A. (2025). Machine Learned Empirical Numerical Integrator from Simulated Data. *Artificial Intelligence for the Earth Systems*, 4, e230088, https://doi.org/10.1175/AIES-D-23-0088.1.
- Slivinski, L. C., Whitaker, J. S., Frolov, S., Smith, T. A., & Agarwal, N. (2025). Assimilating Observed Surface Pressure into ML Weather Prediction Models. Geophysical Research Letters, 52, e2024GL114396. https://doi.org/10.1029/2024GL114396
- 3. Heimbach, P., O'Donncha, F., **Smith, T. A.**, Garcia-Valdecasas, J. M., Arnaud, A., & Wan, L. (2024). Crafting the Future: Machine Learning for Ocean Forecasting. *In press at: State of the Planet.* **Preprint:** here. https://doi.org/10.5194/sp-2024-18
- 4. Smith, T. A., Penny, S. G., Platt, J. A., & Chen, T.-C. (2024). xesn: Echo state networks powered by Xarray and Dask. *Journal of Open Source Software*, 9(103), 7286. https://doi.org/10.21105/joss.07286
- 5. Worsnop, R. P., Scheuerer, M., Hamill, T. M., **Smith, T. A.**, & Schlör, J. (2024). RUFCO: a deep-learning framework to post-process subseasonal precipitation accumulation forecasts. *Artificial Intelligence for the Earth Systems*. https://doi.org/10.1175/AIES-D-24-0020.1
- 6. Smith, T. A., Penny, S. G., Platt, J. A., & Chen, T.-C. (2023). Temporal Subsampling Diminishes Small Spatial Scales in Recurrent Neural Network Emulators of Geophysical Turbulence. *Journal of Advances in Modeling Earth Systems*, 15(12), e2023MS003792. https://doi.org/10.1029/2023MS003792
- 7. Halpern, D., Le, M. K., **Smith, T. A.**, & Heimbach, P. (2023). Comparison of ADCP and ECCOv4r4 Currents in the Pacific Equatorial Undercurrent. *Journal of Atmospheric and Oceanic Technology*, 40(12), 1369-1381. https://doi.org/10.1175/JTECH-D-23-0013.1
- 8. Platt, J. A., Penny, S. G., **Smith, T. A.**, Chen, T.-C., & Abarbanel, H. D. I. (2023). Constraining chaos: Enforcing dynamical invariants in the training of reservoir computers. *Chaos: An Interdisciplinary Journal of Nonlinear Science*, 33(10), 103107. https://doi.org/10.1063/5.0156999

- 9. Platt, J. A., Penny, S. G., **Smith, T. A.**, Chen, T.-C., and Abarbanel, H. D. I. (2022). A systematic exploration of reservoir computing for forecasting complex spatiotemporal dynamics. *Neural Networks*, 153, 530–552. https://doi.org/10.1016/j.neunet.2022.06.025
- 10. Penny, S. G., **Smith, T. A.**, Chen, T.-C., Platt, J. A., Lin, H.-Y., Goodliff, M., and Abarbanel, H.D.I. (2022). Integrating Recurrent Neural Networks with Data Assimilation for Scalable Data-Driven State Estimation. *Journal of Advances in Modeling Earth Systems*. 14, e2021MS002843. https://doi.org/10.1029/2021MS002843
- 11. **Smith, T. A.** A Practical Formulation for an Anisotropic and Nonstationary Matérn Class Correlation Operator. (2022). **Preprint:** https://essopenarchive.org/doi/full/10.1002/essoar.10511974.1
- 12. **Smith, T. A.**. (2021). Flow aware parameterizations invigorate the simulated ocean circulation under the Pine Island ice shelf, West Antarctica. **Preprint:** https://essopenarchive.org/doi/full/10.1002/essoar.10507839.2
- 13. Kostov, Y., Johnson, H., Marshall, D., Forget, G., Heimbach, P., Holliday, P., Li, F., Lozier, S., Pillar, H., & Smith, T. (2021). Contrasting sources of variability in subtropical and subpolar Atlantic overturning. Nature Geosciences. https://doi.org/10.1038/s41561-021-00759-4
- 14. Nguyen, A. T., Pillar, H., Ocaña, V., Bigdeli, A., **Smith, T. A.**, & Heimbach, P. (2021). The Arctic Subpolar gyre sTate Estimate (ASTE): Description and assessment of a data-constrained, dynamically consistent ocean-sea ice estimate for 2002–2017. *Journal of Advances in Modeling Earth Systems*, 13, e2020MS002398. https://doi.org/10.1029/2020MS002398
- 15. Laguë, M. M., Pietschnig, M., Ragen, S., **Smith, T. A.**, & Battisti, D. S. (2021). Terrestrial Evaporation and Global Climate: Lessons from Northland, a Planet with a Hemispheric Continent. *Journal of Climate*, 34(6), 2253-2276. https://doi.org/10.1175/JCLI-D-20-0452.1
- 16. Abernathey, R., Busecke, J., Banihirwe, A., Zhang, C., & **Smith, T.** Xgcm: a python package for analyzing data from general circulation models. *In review at: Journal of Open Source Software*. **Preprint:** https://github.com/xgcm/xgcm/blob/master/paper.md
- 17. Goldberg, D. N., **Smith, T. A.**, Narayanan, S. H. K., Heimbach, P., & Morlighem, M. (2020). Bathymetric Influences on Antarctic Ice-Shelf Melt Rates. Journal of Geophysical Research: Oceans, 125(11), e2020JC016370. https://doi.org/10.1029/2020JC016370
- 18. Smith, T. & Heimbach, P. (2019). Atmospheric Origins of Variability in the South Atlantic Meridional Overturning Circulation. Journal of Climate, 32(5), 1483–1500. https://doi.org/10.1175/JCLI-D-18-0311.1
- 19. Stoll, B. L., **Smith, T. A.**, & Deinert, M. R. (2013). Potential for rooftop photovoltaics in Tokyo to replace nuclear capacity. Environmental Research Letters, 8(1), 014042. https://doi.org/10.1088/1748-9326/8/1/014042
- 20. Osborne, A. G., **Smith, T. A.**, & Deinert, M. R. (2013). Comparison of actinide production in traveling wave and pressurized water reactors. In Proceedings of GLOBAL 2013: International Nuclear Fuel Cycle Conference-Nuclear Energy at a Crossroads.

#### SELECTED PRESENTATIONS

#### ORAL PRESENTATIONS

- 1. Transforming NOAA Data to Analysis Ready Format in the Cloud for ML. NOAA's 7th AI Workshop Series Generative AI. Virtual. March 3, 2025.
- 2. Recurrent Neural Network Emulation for High Resolution Forecasting. ECMWF-ESA Workshop on Machine Learning for Earth Observation and Prediction. Reading, UK. November 21-24, 2022.

- 3. Quantifying uncertainties in ocean driven melting under the Pine Island ice shelf. SIAM Conference on Mathematical and Computational Issues in the Geosciences. Virtual Conference. June 21-24, 2021.
- 4. ecco\_v4\_py demo: analysis tools for the ECCO state estimate in python with xarray and dask. ECCO Townhall, Ocean Sciences Meeting 2020. San Diego, California. February, 2020.
- 5. Atmospheric origins of variability in the South Atlantic meridional overturning circulation. Workshop on Sensitivity Analysis and Data Assimilation in Meteorology and Oceanography. Aveiro, Portugal. July, 2018.

#### POSTER PRESENTATIONS

- 1. Recurrent Neural Network Emulation of Turbulent Geophysical Fluids. AGU Fall Meeting 2022. Chicago, IL. December, 2022.
- 2. Uncertainty Quantification of Ocean Driven Melting Under the Pine Island Ice Shelf, West Antarctica. Invited Poster at Ocean Sciences Meeting. February March, 2022. See it here.
- 3. Informing bathymetry through an ocean model. Workshop on UQ for inverse problems in complex systems. Cambridge, UK. April, 2018.
- 4. A dynamical reconstruction of AMOC variability at the mouth of the South Atlantic. SIAM Conference on Mathematical and Computational Issues in the Geosciences. Erlangen, Germany. September, 2017.

## SOFTWARE CONTRIBUTIONS AND COMPUTATIONAL SKILLS

#### I AM A CORE DEVELOPER OF...

- ufs2arco: prepare NOAA forecast and reanalysis datasets for analysis, Machine Learning workflows, and/or cloud deployment (Python)
- **xesn**: design Echo State Networks for large-scale forecasting tasks in using xarray, dask, CuPy on GPUs, and NumPy on CPUs (Python)
- ecco\_v4\_py: analyze ECCOv4 ocean state estimate output with xarray, dask, xgcm, & xmitgcm (Python)
- sparc: educational tool for solving the sparse page rank problem in on multicore (KNL) architecture (C++)

#### I am a contributor to...

- MITgcm: general circulation model for a variety of oceanographic applications (Fortran)
- xgcm: analyze and postprocess general circulation model output (Python)
- xmitgcm: read MITgcm binary output to xarray (Python)

### COMPUTATIONAL SKILLS

Python, with experience using

JAX, PyTorch, and CuPy for Machine Learning and GPU acceleration

Dask and mpi4py for parallel computing

seaborn and matplotlib for visualization

xarray and Zarr for data processing and storage

Fortran & C/C++ with experience using MPI and OpenMP

Git/Github

- Certificate of Recognition, UT Austin SIAM Student Chapter. 2018.
- Poster Presentation Award, SIAM Conference for Mathematical and Computational Issues in the Geosciences. September, 2017
- Professional Development Award for Travel, UT Office of Graduate Studies. Fall, 2017.
- CSEM Fellowship, Oden Institute, UT Austin. 2014 2018
- Graham F. Carey Undergraduate Scholarship in Computational Science, Oden Institute, UT Austin. 2014
- Fuel Cycle Research Award, US DOE Office of Fuel Cycle Technologies. 2013
- Nuclear Energy University Program Scholarship, US DOE Integrated University Program. 2012
- Undergraduate Research Fellowship, UT Austin, 2012

#### TEACHING EXPERIENCE, SERVICE, AND LEADERSHIP ROLES

#### Teaching

• Computing Mentor, Significant Opportunities in Atmospheric Research and Science (SOARS). May - July, 2022.

Assisting protégé with computing needs, mostly establishing a consistent and efficient, python-based work-flow for analyzing atmospheric data and model output.

• Instructor and Co-Organizer, ECCO Summer School. May, 2019.

Presented Jupyter notebook tutorials, demonstrating ECCO state estimate analysis in python Taught students to use Git and GitHub

Organized computational resources for remote analysis via the Texas Advanced Computing Center

• Mentor, for Andrew Xiao (undergraduate), UT Austin. Spring, 2019.

Mentored undergraduate student during his final thesis project, titled:

Comparing Volumetric Transport from the Arctic with Estimated Transport using ECCO and ASTE

• Teaching Assistant, Descriptive Physical Oceanography, UT Austin. Spring, 2019.

Presented lecture and provided course notes on air-sea interactions at undergraduate & graduate level

• K-12 Outreach Tutor & Committee Chair, Tau Beta Pi Engineering Honor Society. 2013-2014.

Tutored students in high school mathematics

Organized supplemental Saturday tutoring sessions

• Undergraduate Tutor, Mechanical Engineering, UT Austin. 2011-2012.

Tutored undergraduate level thermodynamics, fluid mechanics, dynamics, & computational methods

#### PROFESSIONAL SERVICE AND LEADERSHIP

- Reviewer: Artificial Intelligence for the Earth Systems, Frontiers in Oceanography, Journal of Advances in Modeling Earth Systems, Journal of Climate, Journal of Geophysical Research: Oceans, Journal of Open Source Software, Ocean Science, Quarterly Journal of the Royal Meteorological Society.
- Co-Organizer, Texas Applied Mathematics and Engineering Symposium. September, 2017.

Helped organize and run a 3 day, student led conference, initiated by the UT Austin Chapter of SIAM

• Industry Liaison, UT Austin Chapter of SIAM. 2016-2018.

Invited speakers from industry and national laboratories to give talks aimed at graduate students Organized one-on-one meetings between representatives and students

#### OUTREACH AND VOLUNTEERING

• Zero Waste Volunteer, Oden Institute, UT Austin. January 2020 - January 2021.

Co-leading institute initiative to curtail landfill waste, implement composting, and reduce carbon footprint

• Volunteer, UT Girl Day. February, 2020.

Organized and demonstrated Arctic-Ocean themed scientific experiments for girls in grades K-12

#### COURSEWORK AND SUMMER SCHOOLS

#### SELECTED GRADUATE COURSEWORK

Mathematics: Variational Methods for Inverse Problems, Functional Analysis, Multiscale Modeling, Statistical Estimation Theory

Computational Science: Parallel Algorithms, Validation and Uncertainty Quantification in Computational Models, Numerical Methods for Differential Equations

Fluid Mechanics & Oceanography: Dynamics of Turbulent Flows, Fluid Dynamics of the Atmosphere and Ocean, Computational Ocean Modeling, Observational Physical Oceanography

#### SUMMER SCHOOLS

Advanced Climate Dynamics Course, September 2018

Global Ocean Data Assimilation Experiment (GODAE) Ocean View International School, October 2017 Statistical and Applied Mathematical Sciences Institute (SAMSI) Optimization Summer School, August 2016