

# Abstracting Definitional Interpreters

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Does my program cause a runtime error?

Does my program allocate too much?

Does my program sanitize all untrusted inputs?

Is this proof object computationally relevant?



**My PL Doesn't Have  
a Program Analyzer**



**Should I Write My Own  
Program Analyzer?**



# Writing Your Own Program Analyzer is Easy

*If you know how to write an interpreter*

# *Abstracting Definitional Interpreters*

**Interpreter  $\Rightarrow$  Analyzer**

**Sound   Terminating   Precise   Extensible**

*Context:*

**Abstracting Abstract Machines (AAM): [ICFP '10]**

Sound + Terminating + Easy

Based on low-level *Abstract Machines*



*Context:*

**Abstracting Abstract Machines (AAM):** [ICFP '10]

Sound + Terminating + Easy

Based on low-level *Abstract Machines*

*This Paper:*

**Abstracting Definitional Interpreters (ADI):** [ICFP '17]

Sound + Terminating + *Extra Precision* + *Even Easier*

Based on high-level *Definitional Interpreters*

# Inheriting Precision

Reynolds - Inheriting properties from defining language  
[1972]

This work - Inherit *analysis precision* from the metalanguage

Result - *pushdown analysis*

Many papers on pushdown precision; we get it for free

# Key Challenges

## Soundness:

**AAM:** A single (parameterized) *machine* recovers both concrete and abstract semantics

**ADI:** A single (parameterized) *interpreter* recovers both concrete and abstract semantics

# Key Challenges

## Soundness:

**AAM:** A single (parameterized) *machine* recovers both concrete and abstract semantics

**ADI:** A single (parameterized) *interpreter* recovers both concrete and abstract semantics

## Termination:

**AAM:** Iterating a transition system with finite state space

**ADI:** Caching fixpoint algorithm for unfixed interpreters

Concrete Interpreter

Partial Abstract Interpreter

Total Abstract Interpreter

# Concrete Interpreter

1. Store-allocation style for argument binding
2. Monadic environment and state
3. Parameters for primitive operators and allocation
4. “Unfixed” style

```
; m is monad  
; m is monad-reader[env]  
; m is monad-state[store]  
; ev : exp → m(val)
```

```
env := var ↦ addr  
store := addr ↦ val
```

```
; m is monad
; m is monad-reader[env]      env := var ↦ addr
; m is monad-state[store]     store := addr ↦ val
; ev : exp → m(val)
(define (ev e)
  (match e
    [(num n)      (return n)]
    [(vbl x)      (do ρ ← ask-env
                      (find (lookup x ρ)))])
```



```

; m is monad
; m is monad-reader[env]          env := var ↦ addr
; m is monad-state[store]         store := addr ↦ val
; ev : exp → m(val)
(define (ev e)
  (match e
    [(num n) (return n)]
    [(vbl x) (do ρ ← ask-env
                    (find (lookup x ρ)))]
    [(if0 e1 e2 e3) (do v ← (ev e1)
                        z? ← (zero? v)
                        (ev (if z? e2 e3)))]
    [(op2 o e1 e2) (do v1 ← (ev e1)
                       v2 ← (ev e2)
                       (δ o v1 v2))])

```

```

; m is monad
; m is monad-reader[env]          env := var ↦ addr
; m is monad-state[store]         store := addr ↦ val
; ev : exp → m(val)
(define (ev e)
  (match e
    [(num n) (return n)]
    [(vbl x) (do ρ ← ask-env
                      (find (lookup x ρ)))]
    [(if0 e1 e2 e3) (do v ← (ev e1)
                        z? ← (zero? v)
                        (ev (if z? e2 e3)))]
    [(op2 o e1 e2) (do v1 ← (ev e1)
                       v2 ← (ev e2)
                       (δ o v1 v2))]
    [(lam x e) (do ρ ← ask-env
                   (return (cons (lam x e) ρ)))]
    [(app e1 e2) (do (cons (lam x e') ρ') ← (ev e1)
                     v2 ← (ev e2)
                     a ← (alloc x)
                     (ext a v2)
                     (local-env (update x a ρ') (ev e')))]))

```

```

; m is monad
; m is monad-reader[env]          env := var ↦ addr
; m is monad-state[store]         store := addr ↦ val
; ev : (exp → m(val)) → exp → m(val)
(define ((ev ev') e)
  (match e
    [(num n)          (return n)]
    [(vbl x)          (do ρ ← ask-env
                          (find (lookup x ρ)))]
    [(if0 e1 e2 e3) (do v ← (ev' e1)
                        z? ← (zero? v)
                        (ev' (if z? e2 e3)))]
    [(op2 o e1 e2)   (do v1 ← (ev' e1)
                        v2 ← (ev' e2)
                        (δ o v1 v2))]
    [(lam x e)        (do ρ ← ask-env
                          (return (cons (lam x e) ρ)))
    [(app e1 e2)      (do (cons (lam x e') ρ') ← (ev' e1)
                        v2 ← (ev' e2)
                        a ← (alloc x)
                        (ext a v2)
                        (local-env (update x a ρ') (ev' e')))]))

```

# Running The Interpreter

```
; Y : ((a → m(b)) → a → m(b)) → a → m(b)
(define ((Y f) x)
  ((f (Y f)) x))
```

```
; eval : exp → val × store
(use-monad (ReaderT env (StateT store ID)))
(define (eval e)
  (mrun ((Y ev) e)))
```

# Running The Interpreter

```
; Y : ((a → m(b)) → a → m(b)) → a → m(b)
(define ((Y f) x)
  ((f (Y f)) x))
```

```
; eval : exp → val × store
(use-monad (ReaderT env (StateT store ID)))
(define (eval e)
  (mrun ((Y ev) e)))
```

```
> ((λ (x) (λ (y) x)) 4)
'(((λ (y) x) . ((x . 0)))) . ((0 . 4)))
```

# Interpreter Extensions

Intercept recursive calls in the interpreter

Change monad parameters

Change primitive operators and allocation

# E.G., A Tracing Analysis

```
; m is monad
; m is monad-reader[env]
; m is monad-state[store]
; m is monad-writer[config]
; ev-trace : ((exp → m(val)) → exp → m(val))
              → (exp → m(val)) → exp → m(val)
(define (((ev-trace ev) ev') e)
  (do ρ ← ask-env
      σ ← get-store
      (tell (list e ρ σ))
      ((ev ev') e)))
```

# Running the Analysis

```
; eval : exp → (val × store) × list(config)
(use-monad (ReaderT env (WriterT list (StateT store ID))))
(define (eval e)
  (mrun ((Y (ev-trace ev)) e)))
```



# Running the Analysis

```
; eval : exp → (val × store) × list(config)
(use-monad (ReaderT env (WriterT list (StateT store ID))))
(define (eval e)
  (mrun ((Y (ev-trace ev)) e)))
```

```
> (* (+ 3 4) 9)
'((63 . ())
  (( (* (+ 3 4) 9) () ))
  (( (+ 3 4) () ))
  (3 () )
  (4 () )
  (9 () )))
```

Concrete Interpreter

**Partial Abstract Interpreter**

Total Abstract Interpreter

# Partial Abstract Interpreter

1. Abstracting Primitive Operations
2. Abstracting Allocation

The Game: "Abstract" = finite

# Abstracting Numbers

```
; m is monad-failure
; m is monad-nondeterminism
; num :=  $\mathbb{Z} \cup \{ 'N \}$ 

;  $\delta$  : op num num  $\rightarrow$  m(num)
(define ( $\delta$  o n1 n2)
  (match o
    [ '+' (return 'N) ]
    [ '/ (do z?  $\leftarrow$  (zero? n2)
              (if z? fail (return 'N))) ]))
```

# Abstracting Numbers

```
; m is monad-failure
; m is monad-nondeterminism
; num :=  $\mathbb{Z} \cup \{ 'N \}$ 

;  $\delta : \text{op num num} \rightarrow \text{m(num)}$ 
(define (delta o n1 n2)
  (match o
    [ '+ (return 'N) ]
    [ '/ (do z? ← (zero? n2)
              (if z? fail (return 'N))) ]))

; zero? : num → m(bool)
(define (zero? v)
  (match v
    [ 'N (mplus (return #t) (return #f)) ]
    [ _ (return (= v 0)) ]))
```

# Abstracting Addresses

```
; alloc : var → m(addr)
(define (alloc x)
  (return x))
```

# Abstracting Addresses

```
; alloc : var → m(addr)
(define (alloc x)
  (return x))

; ext : addr × val → m(unit)
(define (ext a v)
  (do σ ← get-store
    (put-store (union σ (dict a (set v))))))
```

# Running the Analysis

```
; eval : exp →  $\wp$ (option(val) × store)
(use-monad (ReaderT env (FailT (StateT store (NondetT ID)))))
(define (eval e)
  (mrun ((Y ev) e)))
```



# Running the Analysis

```
; eval : exp →  $\wp$ (option(val) × store)
(use-monad (ReaderT env (FailT (StateT store (NondetT ID)))))
(define (eval e)
  (mrun ((Y ev) e)))

> (let ((f (λ (x) x)))
  (f 1)
  (f 2))
'(set 1 2)
```

# Running the Analysis

```
; eval : exp →  $\wp$ (option(val) × store)
(use-monad (ReaderT env (FailT (StateT store (NondetT ID)))))
(define (eval e)
  (mrun ((Y ev) e)))
```

```
> (let ((f (λ (x) x)))
  (f 1)
  (f 2))
'(set 1 2)
```

```
> (letrec ((loop (λ (x) (loop x))))
  (loop 1))
```

TIMEOUT

Concrete Interpreter

Partial Abstract Interpreter

**Total Abstract Interpreter**

`[(loop 1)]`



`[(loop 1)]`



`...`

# Total Abstract Interpreters

1. Remember visited configurations

[(loop 1)]



[(loop 1)]

I've already  
seen that  
config...

$\llbracket (\text{loop } 1) \rrbracket$



$\llbracket (\text{loop } 1) \rrbracket$



I've already  
seen that  
config...

# Total Abstract Interpreters

1. Remember visited configurations

(Sufficient for *termination*)

(Unsound for *abstraction*)



```
[(fact 'N)]
```



```
[(if (if (zero? 'N)  
1  
(* 'N (fact (- 'N 1)))))]
```

[[ (fact 'N) ]]



[[ (if (zero? 'N)

1

(\* 'N (fact (- 'N 1)))) ]]



1



[[ (\* 'N (fact (- 'N 1))) ]]

$\llbracket (\text{fact } 'N) \rrbracket$



$\llbracket (\text{if } (\text{zero? } 'N)$

$1$

$( * 'N (\text{fact } (- 'N 1))) \rrbracket$



$1$

$\llbracket (* 'N (\text{fact } (- 'N 1))) \rrbracket$



$\llbracket (* 'N (\text{fact } 'N)) \rrbracket$



$'N \times \llbracket (\text{fact } 'N) \rrbracket$

$\llbracket (\text{fact } 'N) \rrbracket$



$\llbracket (\text{if } (\text{zero? } 'N)$   
 $\quad 1$   
 $\quad (* 'N (\text{fact } (- 'N 1))) ) \rrbracket$



$1$

$\llbracket (* 'N (\text{fact } (- 'N 1))) \rrbracket$



$\llbracket (* 'N (\text{fact } 'N)) \rrbracket$



$'N \times \llbracket (\text{fact } 'N) \rrbracket$

I've already  
seen that  
config...

$\llbracket (\text{fact } 'N) \rrbracket = \{1\}$



$\llbracket (\text{if } (\text{zero? } 'N) \text{ 1 } (* 'N (\text{fact } (- 'N 1)))) \rrbracket$



1



$\llbracket (* 'N (\text{fact } (- 'N 1))) \rrbracket$



$\llbracket (* 'N (\text{fact } 'N)) \rrbracket$



$'N \times \llbracket (\text{fact } 'N) \rrbracket$

I've already  
seen that  
config...

$\llbracket (\text{fact } 'N) \rrbracket = \{1\}$  **X**



$\llbracket (\text{if } (\text{zero? } 'N)$   
1  
 $\quad (* 'N (\text{fact } (- 'N 1))) ) \rrbracket$



1



$\llbracket (* 'N (\text{fact } (- 'N 1))) \rrbracket$



$\llbracket (* 'N (\text{fact } 'N)) \rrbracket$



$'N \times \llbracket (\text{fact } 'N) \rrbracket$

I've already  
seen that  
config...

# Total Abstract Interpreters

1. Remember visited configurations
2. Bottom out to a “cached” result

$\llbracket (\text{fact } 'N) \rrbracket$



$\llbracket (\text{if } (\text{zero? } 'N)$

$1$

$( * 'N (\text{fact } (- 'N 1))) ) \rrbracket$



$1$

$\llbracket ( * 'N (\text{fact } (- 'N 1))) \rrbracket$



$\llbracket ( * 'N (\text{fact } 'N) ) \rrbracket$



$'N \times \llbracket (\text{fact } 'N) \rrbracket$



`[(fact 'N)]`



`[(if (zero? 'N)  
1  
(* 'N (fact (- 'N 1))))]`



`1`



`[( * 'N (fact (- 'N 1)) )]`



`[( * 'N (fact 'N) )]`



`'N × $[(fact 'N)]`

$$\llbracket (\text{fact } 'N) \rrbracket = \{\mathbf{1}\} \cup \{ 'N \times \$\llbracket (\text{fact } 'N) \rrbracket \}$$



$\llbracket (\text{if } (\text{zero? } 'N)$   
 $\quad \mathbf{1}$   
 $\quad (* 'N (\text{fact } (- 'N \mathbf{1}))) \rrbracket$



$\mathbf{1}$



$\llbracket (* 'N (\text{fact } (- 'N \mathbf{1}))) \rrbracket$



$\llbracket (* 'N (\text{fact } 'N)) \rrbracket$



$'N \times \$\llbracket (\text{fact } 'N) \rrbracket$

$$[(\text{fact } 'N)] = \{1\} \cup \{'N \times \$[(\text{fact } 'N)]\}$$

***Q: How to compute  $\$[(\text{fact } 'N)]$ ?***

$$\llbracket (\text{fact } 'N) \rrbracket = \{1\} \cup \{ 'N \times \$\llbracket (\text{fact } 'N) \rrbracket \}$$

***Q: How to compute  $\$(\text{fact } 'N)$ ?***

$$\$(\text{fact } 'N) \approx \llbracket (\text{fact } 'N) \rrbracket$$

$$\llbracket (\text{fact } 'N) \rrbracket = \{1\} \cup \{ 'N \times \$\llbracket (\text{fact } 'N) \rrbracket \}$$

***Q: How to compute  $\$ \llbracket (\text{fact } 'N) \rrbracket$ ?***

$$\$ \llbracket (\text{fact } 'N) \rrbracket \approx \llbracket (\text{fact } 'N) \rrbracket$$

***A: Compute least-fixpoint of equations***

```
(define (eval e)  
  (mrrun ((fix-cache (Y (ev-cache ev))) e)))
```



**Intercepts recursion  
to call the cache**

```
(define (eval e)  
  (mrrun ((fix-cache (Y (ev-cache ev))) e)))
```



**Computes the  
least-fixpoint**

```
(define (eval e)
  (mrun ((fix-cache (Y (ev-cache ev))) e)))

> (letrec ((loop (λ (x) (loop x))))
  (loop 1))
(set)
```



```
(define (eval e)  
  (mrun ((fix-cache (Y (ev-cache ev))) e)))
```

```
> (letrec ((loop (λ (x) (loop x))))  
  (loop 1))  
(set)
```

```
> (letrec ((fact (λ (x)  
  >      (if0 x  
  >          1  
  >          (* x (fact (- x 1)))))))  
> (fact 6))  
(set 'N)
```

# Total Abstract Interpreters

1. Remember visited configurations
2. Bottom out to a “cached” result
3. Compute least-fixpoint of the cache

(See full caching algorithm in the paper)

# Extra Precision

We've actually recovered *pushdown* OCFA

There is no approximation for stack frames

Call/return semantics is implemented by the *metalanguage* (Racket)

Precise call/return semantics = pushdown precision

# What Else is in the Paper?

- Pushdown analysis
- Global store-widening
- A more precise arithmetic abstraction
- (Sound) Symbolic execution
- Abstract garbage collection
- Proof of soundness via big-step reachability semantics (supp. material)



# Go and Write Your Own Program Analyzer

*It's just a slightly fancy interpreter*

# *Abstracting Definitional Interpreters*

**Interpreter  $\Rightarrow$  Analyzer**

**Sound   Terminating   Precise   Extensible**