## **Lecture 2 – Smoothing (Continued)**

- 1. Methods for smoothing
  - a. Add  $\alpha$  (see lecture 2)
  - b. Linear Interpolation (see lecture 2)
  - c. Discounting (Kneser-Ney Smoothing)
    - i. Very successful in NLP
    - ii. Idea: Take some probability mass from all existing bigrams, and redistribute (in some way, the probability among unseen bigrams)
      - 1. Create a new distribution  $c^*(w_{i-1}, w_i)$

2. 
$$c^*(w_{i-1}, w_i) = \max(count(w_{i-1}, w_i) - d, 0), d > 0$$
  
3.  $p(w_i, w_{i-1}) = \begin{cases} \frac{c^*(w_{i-1}, w_i)}{count(w_{i-1})}; & \text{if } count(w_{i-1}, w_i) > 0\\ \alpha(w_{i-1}) \frac{p(w_i)}{\sum_{w \in \{w \mid count(w_i, w) = 0\}} p(w)}; & \text{otherwise} \end{cases}$ 

- iii. Promiscuity
  - 1. Redistributing based on unigram probability not always good
  - 2. Instead, let  $P_C(w)\alpha |\{w_{i-1}|count(w_{i-1}, w_i) > 0\}|$

## **Lecture 3 – Topic Models and EM**

- 1. Syntax trees shown to help statistical NLP, but not neural NLP
- 2. Higher level models semantics trees? Not convincingly helpful as well
- 3. How to model whole documents of text?
  - a. Hierarchical Segmentation?
  - b. Centering?
  - c. RST?
- 4. Topic Models
  - a. Choose topics in a document, and generate text from those topics
    - i. Learned in an unsupervised manner
  - b. Blend = topic distribution (specific to individual documents)

i. 
$$\theta_z \geq 0$$
,  $\sum_z \theta_z = 1$ 

- c. Topic = distribution over words (shared across the collection of documents)
  - i.  $\beta_{w|z,w\in V} \ge 0, \sum_{w\in V} B_{w|z} = 1$
- d. Generally, some topics found make sense...but many (most?) do not
- e. Example

i. 
$$V = \{r, g, b\}$$

ii. Topic 1: 
$$\beta_{r|1} = \beta_{g|1} = .5$$
,  $\beta_{b|1} = 0$ 

iii. Topic 2: 
$$\beta_{r|2} = \beta_{g|2} = 0$$
,  $\beta_{b|1} = 0$ 

iv. 
$$\theta_1 = \theta_2 = \frac{1}{2}$$

- f. How to generate documents, given  $\theta$ ,  $\beta$ , n?
  - i. Model 1 (Not a topic model)

1. 
$$z \sim Categ(\theta_1, \theta_2)$$

2. For 
$$i = 1 ... n$$
,  $w_i \sim Categ(\beta_{w|z})$ 

ii. Model 2 (word order oblivious)

1. For 
$$i=1\dots n, z_i \sim Categ(\theta_1, \theta_2) \wedge w_i \sim Categ(\beta_{w|z_i})$$

- g. How can we compute  $p(w_1, ..., w_n)$ ?
  - i. Model 1

1. 
$$\sum_{z} \theta_{z} \prod_{w} \beta_{w|z}$$

ii. Model 2

1. 
$$\prod_{w} \sum_{z} \theta_{z} \beta_{w|z}$$

- h. How to estimate  $\theta$  and  $\beta$ ?
  - i. Observed case

1. Given 
$$z_1, ..., z_k \wedge w_1, ..., w_n$$

$$\widehat{o} \quad count(z)$$

1. Given 
$$z_1, ..., z_k \wedge w_1, ..., w_n$$
a.  $\hat{\theta}_z = \frac{count(z)}{n}$ 
b.  $\hat{\beta}_{w|z} = \frac{count(w,z)}{count(z)} = \frac{count(w,z)}{\sum_{w'} count(w',z)}$ 

- ii. Unobserved case
  - 1. Use stochastic gradient descent
  - 2. Use EM algorithm (will discuss next time)