

# Intuitionistic modal logic

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# Intuitionistic modal logics

## Outline

- ▶ Intermediate logics
- ▶ **Modal logics**
- ▶ Combining logics
- ▶ Two peculiar intuitionistic modal logics
- ▶ A minimal setting

# Modal logics

## Outline

- ▶ Syntax and semantics
- ▶ Proof theory
- ▶ Canonical models and completeness
- ▶ Multimodal languages

## Modal logics: syntax and semantics

# Modal logics: syntax and semantics

## Modal formulas

- ▶  $AF$ : countable set of **atomic formulas**
- ▶  $Fma(AF)$ : set of all **formulas** generated from  $AF$
- ▶ Atomic formulas:  $p \in AF$
- ▶ Formulas:  $\phi \in Fma(AF)$

$$\phi ::= p \mid \perp \mid (\phi_1 \rightarrow \phi_2) \mid \Box \phi$$

# Modal logics: syntax and semantics

Possible readings of  $\Box\phi$

- ▶ It is necessarily true that  $\phi$
- ▶ It will always be true that  $\phi$
- ▶ It ought to be that  $\phi$
- ▶ It is known that  $\phi$
- ▶ It is believed that  $\phi$
- ▶ It is provable in Peano Arithmetic that  $\phi$
- ▶ After the program terminates,  $\phi$

# Modal logics: syntax and semantics

## Other connectives

Negation:  $\neg\phi ::= (\phi \rightarrow \perp)$

Verum:  $\top ::= \neg\perp$

Disjunction:  $(\phi_1 \vee \phi_2) ::= (\neg\phi_1 \rightarrow \phi_2)$

Conjunction:  $(\phi_1 \wedge \phi_2) ::= \neg(\phi_1 \rightarrow \neg\phi_2)$

Equivalence:  $(\phi_1 \leftrightarrow \phi_2) ::= ((\phi_1 \rightarrow \phi_2) \wedge (\phi_2 \rightarrow \phi_1))$

“Diamond”:  $\Diamond\phi ::= \neg\Box\neg\phi$

# Modal logics: syntax and semantics

## Exercise

Decide what  $\Diamond\phi$  means under each of the above readings of  $\Box$ .

Which of the following should be regarded as true under the different readings of  $\Box$ ?

- ▶  $\Box\phi \rightarrow \phi$
- ▶  $\Box\phi \rightarrow \Box\Box\phi$
- ▶  $\Diamond\top$
- ▶  $\Box\phi \rightarrow \Diamond\phi$
- ▶  $\Box\phi \vee \Box\neg\phi$
- ▶  $\Box(\phi \rightarrow \psi) \rightarrow (\Box\phi \rightarrow \Box\psi)$
- ▶  $\Diamond\phi \wedge \Diamond\psi \rightarrow \Diamond(\phi \wedge \psi)$
- ▶  $\Box(\Box\phi \rightarrow \phi) \rightarrow \Box\phi$



# Modal logics: syntax and semantics

## Subformulas

$SF(\phi)$ : set of all **subformulas** of  $\phi \in Fma(AF)$

$$SF(p) = \{p\}$$

$$SF(\perp) = \{\perp\}$$

$$SF(\phi \rightarrow \psi) = \{\phi \rightarrow \psi\} \cup SF(\phi) \cup SF(\psi)$$

$$SF(\Box\phi) = \{\Box\phi\} \cup SF(\phi)$$

# Modal logics: syntax and semantics

## Frames and models

A **frame** is a pair  $\mathcal{F} = (S, R)$  where

- ▶  $S$  is a nonempty set
- ▶  $R \subseteq S \times S$

A **model on a frame** is a triple  $\mathcal{M} = (S, R, V)$  where

- ▶  $V : AF \rightarrow 2^S$

$V(p)$  is to be thought of as the set of points at which  $p$  is “true”

$\mathcal{M} \models_s \phi$ : relation “ $\phi$  is true at point  $s$  in model  $\mathcal{M}$ ”

- ▶  $\mathcal{M} \models_s p$  iff  $s \in V(p)$
- ▶  $\mathcal{M} \not\models_s \perp$
- ▶  $\mathcal{M} \models_s \phi \rightarrow \psi$  iff  $\mathcal{M} \models_s \phi$  implies  $\mathcal{M} \models_s \psi$
- ▶  $\mathcal{M} \models_s \Box \phi$  iff for all  $t \in S$ ,  $sRt$  implies  $\mathcal{M} \models_t \phi$

# Modal logics: syntax and semantics

## Exercise

Show that  $\mathcal{M} \models_s \neg\phi$  iff  $\mathcal{M} \not\models_s \phi$ .

Work out the corresponding truth conditions for  $\top$ ,  $\phi \vee \psi$ ,  $\phi \wedge \psi$  and  $\phi \leftrightarrow \psi$ .

Show that  $\mathcal{M} \models_s \Diamond\phi$  iff there exists  $t \in S$  with  $sRt$  and  $\mathcal{M} \models_t \phi$ .

# Modal logics: syntax and semantics

## Motivations

### Alethic logic

- ▶  $sRt$ :  $t$  is a conceivable alternative to  $s$
- ▶  $\Box\phi$ :  $\phi$  is necessarily true

### Deontic logic

- ▶  $sRt$ :  $t$  is a morally ideal alternative to  $s$
- ▶  $\Box\phi$ :  $\phi$  ought to be true

# Modal logics: syntax and semantics

## Motivations

### Temporal logic

- ▶  $sRt$ :  $t$  is after  $s$
- ▶  $\Box\phi$ : henceforth,  $\phi$

### Dynamic logic

- ▶  $sRt$ : there is an execution of the program that starts in  $s$  and terminates in  $t$
- ▶  $\Box\phi$ : after the program terminates,  $\phi$

# Modal logics: syntax and semantics

## Truth and validity

$\mathcal{M} \models \phi$ : relation “ $\phi$  is **true in model**  $\mathcal{M} = (S, R, V)$ ”

$\mathcal{M} \models \phi$  iff  $\mathcal{M} \models_s \phi$  for all  $s \in S$

$\mathcal{F} \models \phi$ : relation “ $\phi$  is **valid in frame**  $\mathcal{F} = (S, R)$ ”

$\mathcal{F} \models \phi$  iff  $\mathcal{M} \models \phi$  for all models  $\mathcal{M} = (S, R, V)$

$\mathcal{C} \models \phi$ : relation “ $\phi$  is **valid in class**  $\mathcal{C}$  of frames”

$\mathcal{C} \models \phi$  iff  $\mathcal{F} \models \phi$  for all frames  $\mathcal{F}$  in  $\mathcal{C}$

# Modal logics: syntax and semantics

## Exercise

Show that the following formulas are valid in all frames.

- ▶  $\Box T$
- ▶  $\Box(p \rightarrow q) \rightarrow (\Box p \rightarrow \Box q)$
- ▶  $\Diamond(p \rightarrow q) \rightarrow (\Box p \rightarrow \Diamond q)$
- ▶  $\Box(p \rightarrow q) \rightarrow (\Diamond p \rightarrow \Diamond q)$
- ▶  $\Box(p \wedge q) \leftrightarrow (\Box p \wedge \Box q)$
- ▶  $\Diamond(p \vee q) \leftrightarrow (\Diamond p \vee \Diamond q)$

# Modal logics: syntax and semantics

## Exercise

Show that the following formulas do not have the property of being valid in all frames.

- ▶  $\Box p \rightarrow p$
- ▶  $\Box p \rightarrow \Box \Box p$
- ▶  $\Box(p \rightarrow q) \rightarrow (\Box p \rightarrow \Diamond q)$
- ▶  $\Diamond \top$
- ▶  $\Diamond p \rightarrow \Box p$
- ▶  $\Box(\Box p \rightarrow q) \vee \Box(\Box q \rightarrow p)$
- ▶  $\Box(p \vee q) \rightarrow (\Box p \vee \Box q)$
- ▶  $\Box(\Box p \rightarrow p) \rightarrow \Box p$



# Modal logics: syntax and semantics

## Exercise

Show that the formulas  $\Diamond \top$  and  $\Box p \rightarrow \Diamond p$  are valid in the same frames.

Exhibit a frame in which  $\Box \perp$  is valid.

# Modal logics: syntax and semantics

## Conditions on $R$

The following is a list of **properties of a binary relation  $R$  that are defined by first-order sentences**

1. Reflexive:  $\forall s(sRs)$
2. Symmetric:  $\forall s\forall t(sRt \rightarrow tRs)$
3. Serial:  $\forall s\exists t(sRt)$
4. Transitive:  $\forall s\forall t\forall u(sRt \wedge tRu \rightarrow sRu)$
5. Euclidean:  $\forall s\forall t\forall u(sRt \wedge sRu \rightarrow tRu)$
6. Partially functional:  $\forall s\forall t\forall u(sRt \wedge sRu \rightarrow t = u)$
7. Functional:  $\forall s\exists!t(sRt)$
8. Weakly dense:  $\forall s\forall t(sRt \rightarrow \exists u(sRu \wedge uRt)$
9. Weakly connected:  $\forall s\forall t\forall u(sRt \wedge sRu \rightarrow tRu \vee t = u \vee uRt)$
10. Weakly directed:  $\forall s\forall t\forall u(sRt \wedge sRu \rightarrow \exists v(tRv \wedge uRv))$

# Modal logics: syntax and semantics

## Conditions on $R$

Corresponding to this list is a list of **formulas**

1.  $\Box p \rightarrow p$
2.  $p \rightarrow \Box \Diamond p$
3.  $\Box p \rightarrow \Diamond p$
4.  $\Box p \rightarrow \Box \Box p$
5.  $\Diamond p \rightarrow \Box \Diamond p$
6.  $\Diamond p \rightarrow \Box p$
7.  $\Diamond p \leftrightarrow \Box p$
8.  $\Box \Box p \rightarrow \Box p$
9.  $\Box(p \wedge \Box p \rightarrow q) \vee \Box(q \wedge \Box q \rightarrow p)$
10.  $\Diamond \Box p \rightarrow \Box \Diamond p$

# Modal logics: syntax and semantics

## Conditions on $R$

Theorem: Let  $\mathcal{F} = (S, R)$  be a frame

- ▶ For each of the properties 1–10, if  $R$  satisfies the property then the corresponding formula is valid in  $\mathcal{F}$

Theorem: If a frame  $\mathcal{F} = (S, R)$  validates any one of the formulas 1–10 then  $R$  satisfies the corresponding property

# Modal logics: syntax and semantics

## Exercise

Give a property of  $R$  that is necessary and sufficient for  $\mathcal{F}$  to validate the formula  $p \rightarrow \Box p$ .

Do the same for  $\Box \perp$ .

# Modal logics: syntax and semantics

## First-order definability

The formula

$$W : \Box(\Box p \rightarrow p) \rightarrow \Box p$$

is valid in frame  $(S, R)$  iff

1.  $R$  is transitive
2. there are no sequences  $s_0, s_1, \dots$  in  $S$  with  $s_n R s_{n+1}$  for all  $n \geq 0$

By the Compactness Theorem of first-order logic, one can prove that there can be no set of first-order sentences that defines the class of frames of  $W$

References:

- Boolos, G.: The Unprovability of Consistency. Cambridge University Press (1979).

# Modal logics: syntax and semantics

## First-order definability

The class of frames of the so-called McKinsey formula

$$M : \Box\Diamond p \rightarrow \Diamond\Box p$$

is not defined by any set of first-order sentences

References:

- ▶ Van Benthem, J.: A note on modal formulas and relational properties. *Journal of Symbolic Logic* **40** (1975) 55–58.
- ▶ Goldblatt, R.: First-order definability in modal logic. *Journal of Symbolic Logic* **40** (1975) 35–40.

# Modal logics: syntax and semantics

## Undefinable conditions

There are some naturally occurring properties of a binary relation  $R$  that do not correspond to the validity of any modal formula

1. Irreflexivity:  $\forall s \neg(sRs)$
2. Antisymmetry:  $\forall s \forall t (sRt \wedge tRs \rightarrow s = t)$
3. Asymmetry:  $\forall s \forall t (sRt \rightarrow \neg(tRs))$



## Modal logics: proof theory

# Modal logics: proof theory

## Logics

Given a language based on a countable set  $AF$  of atomic formulas

- ▶ a **logic** is defined to be any set  $\mathbf{L} \subseteq Fma(AF)$  such that
  - ▶  $\mathbf{L}$  includes all tautologies
  - ▶  $\mathbf{L}$  is closed under the rule of Detachment (modus ponens), i.e.

$$\text{if } \phi \rightarrow \psi \in \mathbf{L} \text{ and } \phi \in \mathbf{L} \text{ then } \psi \in \mathbf{L}$$

- ▶  $\mathbf{L}$  is closed under the rule of substitution, i.e. for all substitutions  $\sigma$

$$\text{if } \phi \in \mathbf{L} \text{ then } \sigma(\phi) \in \mathbf{L}$$

Examples of logics

1. The set **CPL** of all tautologies
2. For any class  $\mathcal{C}$  of frames, the set  
 $\mathbf{Log}_{\mathcal{C}} = \{\phi \in Fma(AF) : \mathcal{C} \models \phi\}$
3.  $Fma(AF)$

# Modal logics: proof theory

## Theorems

Remark: If  $(\mathbf{L}_i : i \in I)$  is a set of logics then their intersection is a logic

The members of a logic are called its **theorems**

- ▶ We write  $\vdash_{\mathbf{L}} \phi$  to mean that  $\phi$  is a  $\mathbf{L}$ -theorem

# Modal logics: proof theory

## Soundness and completeness

Let  $\mathcal{C}$  be a class of frames

- ▶ The logic  $\mathbf{L}$  is **sound with respect to  $\mathcal{C}$**  if for all formulas  $\phi$

$$\vdash_{\mathbf{L}} \phi \Rightarrow \mathcal{C} \models \phi$$

- ▶ The logic  $\mathbf{L}$  is **complete with respect to  $\mathcal{C}$**  if for all formulas  $\phi$

$$\mathcal{C} \models \phi \Rightarrow \vdash_{\mathbf{L}} \phi$$

- ▶ The logic  $\mathbf{L}$  is **determined by  $\mathcal{C}$**  if it is both sound and complete with respect to  $\mathcal{C}$

# Modal logics: proof theory

## Deducibility and consistency

A formula  $\phi$  is **deducible from a set  $\Gamma$  of formulas** iff there exists  $n \in \mathbb{N}$  and there exists  $\psi_1, \dots, \psi_n \in \Gamma$  such that

$$\vdash_{\mathbf{L}} \psi_1 \wedge \dots \wedge \psi_n \rightarrow \phi$$

In this case, we write  $\Gamma \vdash_{\mathbf{L}} \phi$

A set  $\Gamma$  of formulas is  **$\mathbf{L}$ -consistent** iff  $\Gamma \not\vdash_{\mathbf{L}} \perp$

# Modal logics: proof theory

## Exercise

Show that

- ▶  $\vdash_{\mathbf{L}} \phi$  iff  $\emptyset \vdash_{\mathbf{L}} \phi$
- ▶ if  $\vdash_{\mathbf{L}} \phi$  then  $\Gamma \vdash_{\mathbf{L}} \phi$
- ▶ if  $\mathbf{L} \subseteq \mathbf{L}'$  then  $\Gamma \vdash_{\mathbf{L}} \phi$  implies  $\Gamma \vdash_{\mathbf{L}'} \phi$
- ▶ if  $\phi \in \Gamma$  then  $\Gamma \vdash_{\mathbf{L}} \phi$
- ▶ if  $\Gamma \subseteq \Delta$  then  $\Gamma \vdash_{\mathbf{L}} \phi$  implies  $\Delta \vdash_{\mathbf{L}} \phi$
- ▶ if  $\Gamma \vdash_{\mathbf{L}} \phi$  and  $\{\phi\} \vdash_{\mathbf{L}} \psi$  then  $\Gamma \vdash_{\mathbf{L}} \psi$
- ▶ if  $\Gamma \vdash_{\mathbf{L}} \phi$  and  $\Gamma \vdash_{\mathbf{L}} \phi \rightarrow \psi$  then  $\Gamma \vdash_{\mathbf{L}} \psi$
- ▶  $\Gamma \cup \{\phi\} \vdash_{\mathbf{L}} \psi$  iff  $\Gamma \vdash_{\mathbf{L}} \phi \rightarrow \psi$
- ▶  $\Gamma \vdash_{\mathbf{L}} \phi$  iff there exists  $n \in \mathbb{N}$  and there exists  $\psi_1, \dots, \psi_n \in Fma(AF)$  such that  $\psi_n = \phi$  and for all  $i \leq n$ ,  $\psi_i \in \Gamma \cup \mathbf{L}$ , or there exists  $j, k < i$  such that  $\psi_j = \psi_k \rightarrow \psi_i$

# Modal logics: proof theory

## Exercise

Show that

- ▶  $\{\phi \in Fma(AF) : \Gamma \vdash_{\mathbf{L}} \phi\}$  is the smallest logic containing  $\Gamma \cup \mathbf{L}$
- ▶ if  $\mathcal{M} \models_s \Gamma \cup \mathbf{L}$  and  $\Gamma \vdash_{\mathbf{L}} \phi$  then  $\mathcal{M} \models_s \phi$
- ▶  $\Gamma$  is  $\mathbf{L}$ -consistent iff there exists a formula  $\phi$  such that  $\Gamma \not\vdash_{\mathbf{L}} \phi$
- ▶  $\Gamma$  is  $\mathbf{L}$ -consistent iff there exists no formula  $\phi$  having both  $\Gamma \vdash_{\mathbf{L}} \phi$  and  $\Gamma \vdash_{\mathbf{L}} \neg\phi$
- ▶  $\Gamma \vdash_{\mathbf{L}} \phi$  iff  $\Gamma \cup \{\neg\phi\}$  is not  $\mathbf{L}$ -consistent
- ▶  $\Gamma \cup \{\phi\}$  is  $\mathbf{L}$ -consistent iff  $\Gamma \not\vdash_{\mathbf{L}} \neg\phi$
- ▶ if  $\Gamma$  is  $\mathbf{L}$ -consistent then for any formula  $\phi$ , at least one of  $\Gamma \cup \{\phi\}$  and  $\Gamma \cup \{\neg\phi\}$  is  $\mathbf{L}$ -consistent

# Modal logics: proof theory

## Maximal sets

Given a model  $\mathcal{M} = (S, R, V)$  of a logic  $\mathbf{L}$ , i.e.  $\mathcal{M} \models \mathbf{L}$ , we associate with each  $s \in S$  the set

$$\Gamma_s = \{\phi \in Fma(AF) : \mathcal{M} \models_s \phi\}$$

Then

- ▶  $\Gamma_s$  is  $\mathbf{L}$ -consistent
- ▶ for each formula  $\phi$ , one of  $\phi$  and  $\neg\phi$  is in  $\Gamma_s$

A set  $\Gamma \subseteq Fma(AF)$  is said to be **L-maximal** if

- ▶  $\Gamma$  is  $\mathbf{L}$ -consistent
- ▶ for each formula  $\phi$ , one of  $\phi$  and  $\neg\phi$  is in  $\Gamma$



# Modal logics: proof theory

## Exercise

Suppose  $\Gamma$  is  $\mathbf{L}$ -maximal and show that

- ▶  $\Gamma \vdash_{\mathbf{L}} \phi$  implies  $\phi \in \Gamma$
- ▶ if  $\phi \notin \Gamma$  then  $\Gamma \cup \{\phi\}$  is not  $\mathbf{L}$ -consistent
- ▶ for any formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  belongs to  $\Gamma$
- ▶  $\mathbf{L} \subseteq \Gamma$
- ▶  $\perp \notin \Gamma$
- ▶  $\phi \rightarrow \psi \in \Gamma$  iff ( $\phi \in \Gamma$  implies  $\psi \in \Gamma$ )
- ▶  $\phi \wedge \psi \in \Gamma$  iff  $\phi, \psi \in \Gamma$
- ▶  $\phi \vee \psi \in \Gamma$  iff  $\phi \in \Gamma$ , or  $\psi \in \Gamma$
- ▶  $\phi \leftrightarrow \psi \in \Gamma$  iff ( $\phi \in \Gamma$  iff  $\psi \in \Gamma$ )

# Modal logics: proof theory

## Existence of maximal sets

Let  $S^{\mathbf{L}} = \{\Gamma \subseteq Fma(AF) : \Gamma \text{ is } \mathbf{L}\text{-maximal}\}$

Lindenbaum Lemma: Every  $\mathbf{L}$ -consistent set of formulas is contained in a  $\mathbf{L}$ -maximal set

Corollary:

1.  $\{\phi : \Gamma \vdash_{\mathbf{L}} \phi\} = \bigcap \{\Delta \in S^{\mathbf{L}} : \Gamma \subseteq \Delta\}$
2.  $\vdash_{\mathbf{L}} \phi$  iff  $\phi$  belongs to every  $\mathbf{L}$ -maximal set

# Modal logics: proof theory

## Normal logics

A logic  $\mathbf{L}$  is **normal** if it contains all formulas of the syntactic form

$$K : \Box(\phi \rightarrow \psi) \rightarrow (\Box\phi \rightarrow \Box\psi)$$

and is closed under the rule of necessitation, i.e.

$$\text{if } \vdash_{\mathbf{L}} \phi \text{ then } \vdash_{\mathbf{L}} \Box\phi$$

Examples of normal logics

1. For any class  $\mathcal{C}$  of frames, the set  
 $\mathbf{Log}_{\mathcal{C}} = \{\phi \in Fma(AF) : \mathcal{C} \models \phi\}$
2.  $Fma(AF)$

# Modal logics: proof theory

## Normal logics

Remark: If  $(\mathbf{L}_i : i \in I)$  is a set of normal logics then their intersection is a normal logic

In particular, the intersection  $K$  of all normal logics is the smallest normal logic

# Modal logics: proof theory

## Exercise

Suppose  $\mathbf{L}$  is a normal logic and show that

- ▶  $\vdash_{\mathbf{L}} \phi \rightarrow \psi$  implies  $\vdash_{\mathbf{L}} \Box\phi \rightarrow \Box\psi$  and  $\vdash_{\mathbf{L}} \Diamond\phi \rightarrow \Diamond\psi$
- ▶  $\vdash_{\mathbf{L}} \phi \leftrightarrow \psi$  implies  $\vdash_{\mathbf{L}} \Box\phi \leftrightarrow \Box\psi$  and  $\vdash_{\mathbf{L}} \Diamond\phi \leftrightarrow \Diamond\psi$
- ▶  $\vdash_{\mathbf{L}} \Diamond\neg\phi \leftrightarrow \neg\Box\phi$
- ▶  $\vdash_{\mathbf{L}} \Box\phi \wedge \Box\psi \leftrightarrow \Box(\phi \wedge \psi)$
- ▶  $\vdash_{\mathbf{L}} \Diamond(\phi \vee \psi) \leftrightarrow \Diamond\phi \vee \Diamond\psi$
- ▶  $\vdash_{\mathbf{L}} \Box\phi \vee \Box\psi \rightarrow \Box(\phi \vee \psi)$
- ▶  $\vdash_{\mathbf{L}} \Diamond(\phi \wedge \psi) \rightarrow \Diamond\phi \wedge \Diamond\psi$

Show that a logic  $\mathbf{L}$  is normal iff for all  $n \in \mathbb{N}$

- ▶ if  $\vdash_{\mathbf{L}} \phi_1 \wedge \dots \wedge \phi_n \rightarrow \psi$  then  $\vdash_{\mathbf{L}} \Box\phi_1 \wedge \dots \wedge \Box\phi_n \rightarrow \Box\psi$

# Modal logics: proof theory

## Exercise

Show that a logic  $\mathbf{L}$  is normal iff it satisfies the following three conditions.

- ▶  $\vdash_{\mathbf{L}} \Box \top$
- ▶  $\vdash_{\mathbf{L}} \Box \phi \wedge \Box \psi \rightarrow \Box(\phi \wedge \psi)$
- ▶  $\vdash_{\mathbf{L}} \phi \rightarrow \psi$  implies  $\vdash_{\mathbf{L}} \Box \phi \rightarrow \Box \psi$

# Modal logics: proof theory

## Exercise

Show that

- ▶ if a normal logic contains all formulas of the syntactic form  $\Diamond\phi \rightarrow \Box\phi$  then it contains all formulas of the syntactic forms  $\Box(\phi \vee \psi) \leftrightarrow (\Box\phi \vee \Box\psi)$  and  $(\Box\phi \rightarrow \Box\psi) \leftrightarrow \Box(\phi \rightarrow \psi)$

Show that

- ▶  $\vdash_K \phi$  iff there exists  $n \in \mathbb{N}$  and there exists  $\psi_1, \dots, \psi_n \in Fma(AF)$  such that  $\psi_n = \phi$  and for all  $i \leq n$ ,  $\psi_i$  is a tautology, or  $\psi_i$  is a formula of the syntactic form  $K$ , or there exists  $j, k < i$  such that  $\psi_j = \psi_k \rightarrow \psi_i$ , or there exists  $j < i$  such that  $\psi_i = \Box\psi_j$

# Modal logics: proof theory

## Some standard logics

We use the notation

$$K\Sigma_1 \dots \Sigma_n$$

to refer to the smallest normal logic containing all formulas of the syntactic forms  $\Sigma_1, \dots, \Sigma_n$

Historical names for some well-known syntactical forms are

$$D: \Box\phi \rightarrow \Diamond\phi$$

$$T: \Box\phi \rightarrow \phi$$

$$4: \Box\phi \rightarrow \Box\Box\phi$$

$$B: \phi \rightarrow \Box\Diamond\phi$$

$$5: \Diamond\phi \rightarrow \Box\Diamond\phi$$

$$L: \Box(\phi \wedge \Box\phi \rightarrow \psi) \vee \Box(\psi \wedge \Box\psi \rightarrow \phi)$$

$$W: \Box(\Box\phi \rightarrow \phi) \rightarrow \Box\phi$$



# Modal logics: proof theory

## Some standard logics

Names of some well-known logics are

$S4$ :  $KT4$

$S5$ :  $KT4B$

$G$ :  $KW$

$K4.3$ :  $K4L$

$S4.3$ :  $KT4L$

# Modal logics: proof theory

## Exercise

Show that  $KD$  is the smallest normal logic containing the formula

$$\Diamond T$$

Show that

$$KB4 = KB5$$

Show that

$$S5 = KDB4 = KDB5 = KT5$$

Show that

$$K4 \subseteq G$$

## Modal logics: canonical models and completeness

# Modal logics: canonical models and completeness

## Canonical models

$\mathcal{M}^{\mathbf{L}} = (S^{\mathbf{L}}, R^{\mathbf{L}}, V^{\mathbf{L}})$ : canonical model of a normal logic  $\mathbf{L}$

- ▶  $S^{\mathbf{L}} = \{s \subseteq Fma(AF) : s \text{ is } \mathbf{L}\text{-maximal}\}$
- ▶  $sR^{\mathbf{L}}t$  iff  $\{\phi \in Fma(AF) : \Box\phi \in s\} \subseteq t$
- ▶  $V^{\mathbf{L}}(p) = \{s \in S^{\mathbf{L}} : p \in s\}$

$\mathcal{F}^{\mathbf{L}} = (S^{\mathbf{L}}, R^{\mathbf{L}})$ : canonical frame of a normal logic  $\mathbf{L}$

Remark:  $sR^{\mathbf{L}}t$  iff  $\{\neg\Box\phi : \phi \notin t\} \subseteq s$  iff  $\{\Diamond\phi : \phi \in t\} \subseteq s$

Theorem: For any  $s \in S^{\mathbf{L}}$  and any  $\phi \in Fma(AF)$

- ▶  $\Box\phi \in s$  iff for all  $t \in S^{\mathbf{L}}$ ,  $sR^{\mathbf{L}}t$  implies  $\phi \in t$

# Modal logics: canonical models and completeness

## Canonical models

Truth Lemma: For any  $s \in S^{\mathbf{L}}$  and any  $\phi \in Fma(AF)$

- ▶  $\mathcal{M}^{\mathbf{L}} \models_s \phi$  iff  $\phi \in s$

Corollary: For any  $\phi \in Fma(AF)$

- ▶  $\mathcal{M}^{\mathbf{L}} \models \phi$  iff  $\vdash_{\mathbf{L}} \phi$

Theorem (Determination of  $K$ ): For any  $\phi \in Fma(AF)$

- ▶  $\vdash_K \phi$  iff  $\phi$  is valid in all frames

# Modal logics: canonical models and completeness

## Completeness theorems

Theorem: If a normal logic  $\mathbf{L}$  contains all formulas of the syntactic form corresponding to any one of the formulas 1–10 then  $R^{\mathbf{L}}$  satisfies the corresponding first-order condition

Theorem:  $S4$  is determined by the class of all reflexive and transitive frames

# Modal logics: canonical models and completeness

## Exercise

Show that

- ▶  $KD$  is determined by the class of all serial frames
- ▶  $S5$  is determined by the class of all equivalence relations
- ▶  $K4.3$  is determined by the class of all transitive weakly-connected frames

Let  $S4.2$  be the smallest normal logic containing  $S4$  and all formulas of the syntactic form

$$2: \Diamond\Box\phi \rightarrow \Box\Diamond\phi$$

Show that

- ▶  $S4.2$  is determined by the class of all reflexive transitive weakly-directed frames

# Modal logics: canonical models and completeness

## Exercise

Axiomatise the logics determined by

- ▶ the class of all partially-functional frames
- ▶ the class of all functional frames
- ▶ the class of all weakly-dense frames

For fixed  $k, l, m, n \in \mathbb{N}$ , let  $\mathbf{L}$  be a normal logic containing all formulas of the syntactic form

$$\Diamond^k \Box^l \phi \rightarrow \Box^m \Diamond^n \phi$$

Show that for any  $s, t, u \in S^{\mathbf{L}}$

- ▶ if  $s(R^{\mathbf{L}})^k t$  and  $s(R^{\mathbf{L}})^m u$  then there exists  $v \in S^{\mathbf{L}}$  such that  $t(R^{\mathbf{L}})^l v$  and  $u(R^{\mathbf{L}})^n v$



# Modal logics: canonical models and completeness

## S5: logical necessity and introspective knowledge

A **logically necessary truth** is one which is true in all possible worlds whatsoever, suggesting the semantic analysis

- ▶  $\mathcal{M} \models_s \Box\phi$  iff for all  $t \in S$ ,  $\mathcal{M} \models_t \phi$

A frame  $\mathcal{F} = (S, R)$  is **universal** iff

- ▶  $R = S \times S$

Theorem: S5 is determined by the class of all universal frames

Among the theorems of S5 are

- ▶  $\Box\phi \rightarrow \Box\Box\phi$
- ▶  $\neg\Box\phi \rightarrow \Box\neg\Box\phi$

# Modal logics: canonical models and completeness

## Connectedness

A frame  $\mathcal{F} = (S, R)$  is **connected** iff for any  $s, t \in S$

- ▶  $sRt$ , or  $s = t$ , or  $tRs$

Remarks:

- ▶ any connected frame is weakly-connected
- ▶ a frame validates the formula

$$\Box(p \wedge \Box p \rightarrow q) \vee \Box(q \wedge \Box q \rightarrow p)$$

iff it is weakly-connected

There is no formula that is valid in precisely the connected frames

## Modal logics: multimodal languages

# Modal logics: multimodal languages

## Syntax

- ▶  $AF$ : countable set of atomic formulas
  - ▶  $I$ : countable set of indices
  - ▶  $Fma(AF, I)$ : set of all formulas generated from  $AF$  and  $I$
- 
- ▶ Atomic formulas:  $p \in AF$
  - ▶ Indices:  $i \in I$
  - ▶ Formulas:  $\phi \in Fma(AF, I)$

$$\phi ::= p \mid \perp \mid (\phi_1 \rightarrow \phi_2) \mid [i]\phi$$

“Diamond”

- ▶  $\langle i \rangle \phi ::= \neg [i] \neg \phi$

# Modal logics: multimodal languages

## Semantics

A **frame** is a pair  $\mathcal{F} = (S, \{R_i : i \in I\})$  where

- ▶  $S$  is a nonempty set
- ▶  $R_i \subseteq S \times S$  for each  $i \in I$

A **model on a frame** is a triple  $\mathcal{M} = (S, \{R_i : i \in I\}, V)$  where

- ▶  $V : AF \rightarrow 2^S$

The relation  $\mathcal{M} \models_s \phi$  has the new clause

$\mathcal{M} \models_s [i]\phi$  iff for all  $t \in S$ ,  $sR_it$  implies  $\mathcal{M} \models_t \phi$

The definitions of “**truth in a model**” ( $\mathcal{M} \models \phi$ ), “**validity in a frame**” ( $\mathcal{F} \models \phi$ ) and “**validity in a class of frames**” ( $\mathcal{C} \models \phi$ ) are unchanged

# Modal logics: multimodal languages

## Logics

The definitions of “logics”, “**L**-deducibility”, “**L**-consistency”, “**L**-maximality” are unchanged

A logic **L** is **normal** if it contains all formulas of the syntactic form

$$K : [i](\phi \rightarrow \psi) \rightarrow ([i]\phi \rightarrow [i]\psi)$$

and is closed under the rules of necessitation, i.e.

$$\text{if } \vdash_{\mathbf{L}} \phi \text{ then } \vdash_{\mathbf{L}} [i]\phi$$

for every  $i \in I$

The smallest normal logic will be denoted  $K_I$

# Modal logics: multimodal languages

## Canonical model

For a normal logic  $\mathbf{L}$ , the model

$$\mathcal{M}^{\mathbf{L}} = (S^{\mathbf{L}}, \{R_i^{\mathbf{L}} : i \in I\}, V^{\mathbf{L}})$$

has

$$sR_i^{\mathbf{L}}t \text{ iff } \{\phi \in Fma(AF) : [i]\phi \in s\} \subseteq t$$

with the definitions of  $S^{\mathbf{L}}$  and  $V^{\mathbf{L}}$  remaining the same

Truth Lemma for  $\mathcal{M}^{\mathbf{L}}$ , i.e.

$$\mathcal{M}^{\mathbf{L}} \models_s \phi \text{ iff } \phi \in s$$

continues to work as previously

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