

# **Are legislators more responsive to high quality evidence? A field experiment**

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# Introduction

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# Evidence-based policymaking: a bipartisan goal

H. R. 4174

## One Hundred Fifteenth Congress of the United States of America

AT THE SECOND SESSION

*Began and held at the City of Washington on Wednesday,  
the third day of January, two thousand and eighteen*

### An Act

To amend titles 5 and 44, United States Code, to require Federal evaluation activities, improve Federal data management, and for other purposes.

*Be it enacted by the Senate and House of Representatives of  
the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) SHORT TITLE.—This Act may be cited as the “Foundations  
for Evidence-Based Policymaking Act of 2018”.

(b) TABLE OF CONTENTS.—The table of contents for this Act  
is as follows:

Sec. 1. Short title; table of contents.

#### TITLE I—FEDERAL EVIDENCE-BUILDING ACTIVITIES

Sec. 101. Federal evidence-building activities.

#### TITLE II—OPEN GOVERNMENT DATA ACT

Sec. 201. Short title.

Sec. 202. OPEN Government data.

#### TITLE III—CONFIDENTIAL INFORMATION PROTECTION AND STATISTICAL EFFICIENCY

Sec. 301. Short title.

Sec. 302. Confidential information protection and statistical efficiency.

Sec. 303. Increasing access to data for evidence.

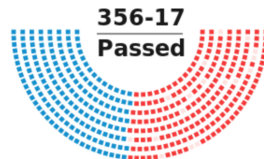
#### TITLE IV—GENERAL PROVISIONS

Sec. 401. Rule of construction.

Sec. 402. Use of existing resources.

Sec. 403. Effective date.

### Ideology Vote Chart



Key: R Yea D Yea R Nay

# Research Questions

- Do policymakers **give more credence** to high quality research?

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- Can policymakers **recognize** differences in research quality?

# Theory

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# Pre-existing literature

- Literature on evidence use in policy-making, on relationship between science, researchers and policy-makers in a democracy
- Existing field/audit experiments reaching out to policy-makers





Figure

# Evidence standards






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  - Strong causal evidence
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  - Low causal evidence
  - High levels of specificity covering cluster-random assignment , IVs , and missingness/attrition , and RDs .

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- Other federal agencies have adopted similar standards 

# DoE evidence standards under ASSA 2015

ESSA's definition of "evidence-based" includes 4 levels of evidence. The top 3 levels require findings of a **statistically significant effect** on improving student outcomes or other relevant outcomes based on:

## (1) Strong

- At least 1 well-designed and well-implemented **experimental** study (i.e., randomized)

## (2) Moderate

- At least 1 well-designed and well-implemented **quasi-experimental** study (i.e., matched)

## (3) Promising

- At least 1 well-designed and well-implemented **correlational** study with statistical controls for selection bias

**Required for school improvement plans funded by 7% set aside (Section 1003)**

**&**

**Eligible for a priority under 7 competitive grants**

The 4<sup>th</sup> level is designed for ideas that do not yet have an evidence base qualifying for the top 3 levels above. Given the requirement in the second bullet below to examine the effects of these ideas, this *evidence-building* level can be referred to as "under evaluation."

## (4) "Under Evaluation"

- **Demonstrates rationale** based on high-quality research or positive evaluation that such activity, strategy, or intervention is likely to improve student outcomes
- Includes **ongoing efforts to examine the effects** of such activity, strategy, or intervention

**Included for all other uses of "evidence-based"**

# Overview of DoE studies

158 interventions examining 49 outcomes

12 interventions with significant results and same outcome, but analyzed by studies with two different research designs.

	Intervention	Outcome
1	ACT/SAT Test Preparation and Coaching Programs	General academic achievement (high school)
2	Dual Enrollment Programs	Access and enrollment
3	Dual Enrollment Programs	Attainment
4	Knowledge is Power Program (KIPP)	English language arts achievement
5	Knowledge is Power Program (KIPP)	General Mathematics Achievement
6	Pre-K Mathematics	General Mathematics Achievement
7	READ 180®	Comprehension
8	READ 180®	Literacy achievement
9	Success for All®	Alphabetics
10	Success for All®	Comprehension
11	Teach for America (TFA)	English language arts achievement
12	Teach for America (TFA)	General Mathematics Achievement

# Design

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# Overview of experimental design

- 2x2 factorial design with two treatments:
  - Evidence standard (low vs. high)
  - Whether evidence standards are explained to policymakers

**Table 2:** Treatment arms

	<b>Lower Tier</b>	<b>Higher Tier</b>
<b>No information</b>	Control	High and no info
<b>Information</b>	Low and info	High and info

# Treatment 1, Choice of policy - descriptive statistics of DoE's database

## Treatment 2, Information on evidence standards

From DoL's CLEAR database:

*"High Causal Evidence standards mean there is strong evidence that the effects estimated in this study are solely attributable to the intervention being examined. This does not necessarily mean that the study found positive impacts, only that the analysis meets high methodological standards and the causal impacts estimated, whether positive, negative, or null, are credible. Currently, only well-implemented randomized controlled trials can receive this rating"*

*"Low Causal Evidence standards mean there is little evidence that the effects estimated in the study are attributable to the intervention being examined, and other factors are likely to have contributed to the results. This does not imply that the study's results are not useful for some purposes, but they should be interpreted with caution. Causal studies that do not meet criteria for a high or moderate evidence rating receive this rating."*



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- Alternatively: email response rates

## Email example

Add screenshot of potential email

# Treatment effect estimation

## Primary effects (ATE)

- Block random assignment.
- $ATE = \sum_{j=1}^J \frac{N_j}{N} ATE_j$ 
  - Where  $J$  is the number of blocks, blocks are indexed by  $j$ , and  $\frac{N_j}{N}$  represents the share of subjects who belong to block  $j$ .
- P-values calculated using randomization inference.
- Control group = Low quality evidence + no information

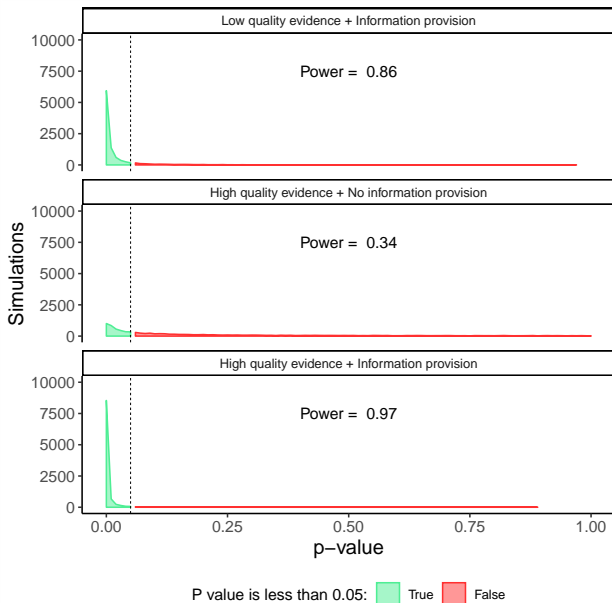
## Heterogenous treatment effects (CATEs)

- Party, ..., ?
- Note preregistration, multiple comparisons, and power.

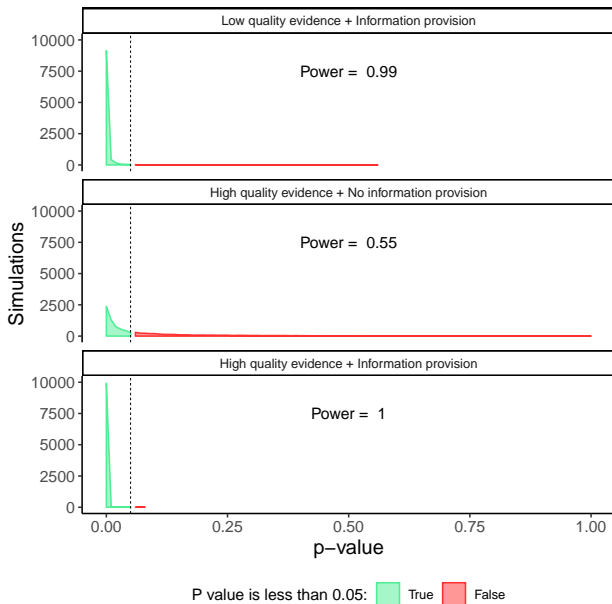
# Power analysis assumptions

- $N = 535$  (federal) and  $1000$  (state)
- Low quality evidence + information provision =  $-10\%$
- High quality evidence + no information provision =  $+5\%$
- High quality evidence + information provision =  $+12.5\%$
- Standard deviation =  $0.08$

# Power analysis: federal



# Power analysis: state





## Conclusion

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# Timeline and questions

- **Ideal timeline:** pre-registration and initial contact with 3rd party organization by end of 2019, roll-out of the experiment in the first half of 2020 (political context)
- Use a **neutral or partisan policy** proposal?
  - Partisan policy proposal might allow us to test legislator's motivated reasoning, but power issues.
- Better **outcome measurements**?
- Suggestions for kind of **organization to partner with**? Is organizational partnering feasible?
- Federal, state, or local level?
- Other suggestions?

## **Supplemental material**

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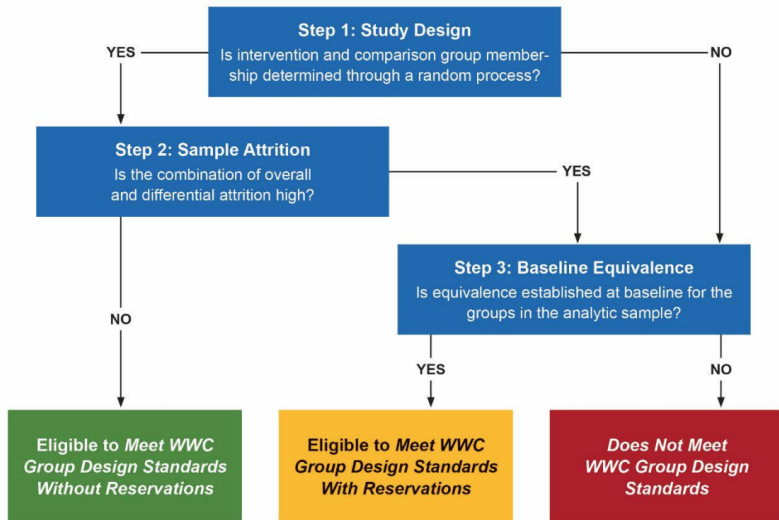
# Existing field/audit experiments

**Table 3:** Audit experiments conducted with U.S. policy-makers

Reference	Federal/State	Arms	Treatment	Design
Bergan (2009)	State (New Hampshire)	1	Contacted by activists	Matched pairs (multimember) Randomization and district s
Butler and Broockman (2011)	4,859 state legislators (44 states)	2x3	Black or white name and party (D/R/blank) of email sender	Block random by state, cha party, and wh legislator is u reelection
Kalla and Broockman (2016)	US Congress 191 offices that had not yet sponsored bill	1	Reveal in email that prospective attendees had contributed to campaigns	Blocks of 3 c similarity on covariates 1 treated, 2 of the 64 blo
Doberstein (2017)	1,108 Canadian bureaucrats	2x2	Source of the policy information (academic, think tanks, research-based advocacy groups)	Sources in treatment gr were falsified Pre treatment for covariates
Zelizer (2018)	18 bills 76 state legislators	1	Assigned to in-person briefings by a committee staffer	Treatment as at legislator-l dyad level block RA
			Received district-specific	

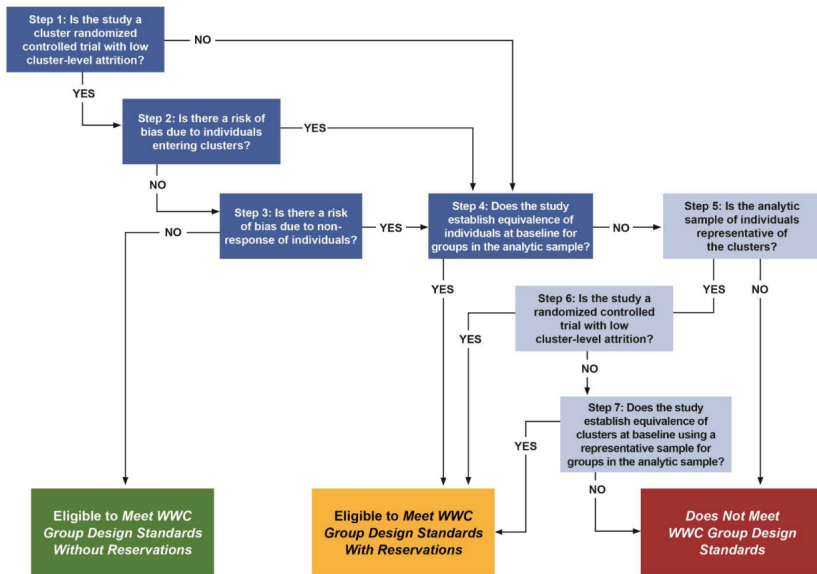
# Evidence tiers

**Figure II.1. Study Ratings for Individual-Level RCTs and QEDs**



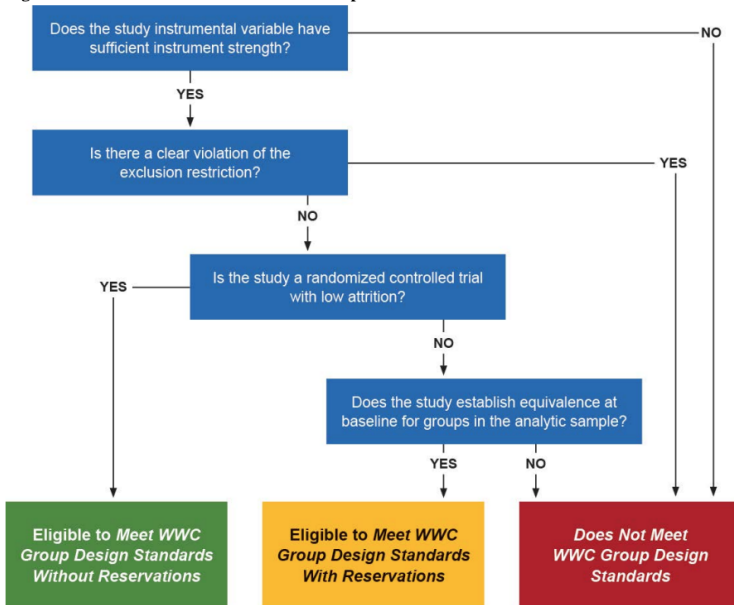
# Evidence tiers: cluster random assignment

Figure II.4. Review Process for Cluster-Level Assignment Studies



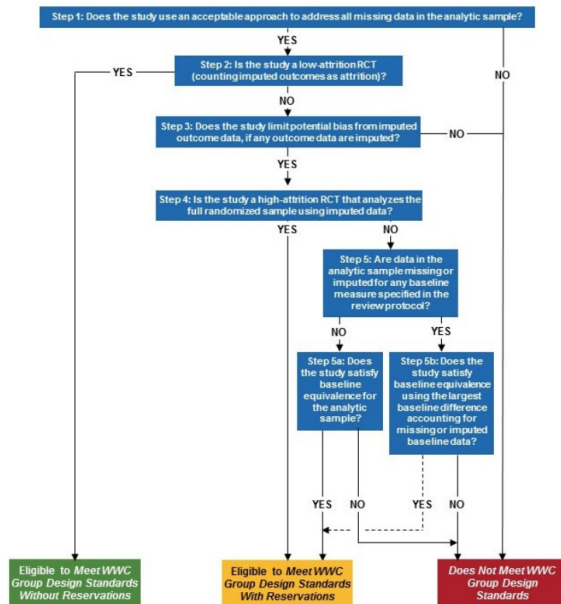
# Evidence tiers: instrumental variables

**Figure II.6. Review Process for Studies that Report a CACE Estimate**



# Evidence tiers: missingness and attrition

Figure II.5. Study Ratings for RCTs and QEDs with Missing Outcome or Baseline Data





# Evidence tiers: regression discontinuity

**Table III.1. RDD Study Ratings**

Standard	To be rated <i>Meets WWC RDD Standards Without Reservations</i> , studies must:	To be rated <i>Meets WWC RDD Standards With Reservations</i> , studies must:
1: Integrity of the forcing variable	Completely satisfy	Partially satisfy
2: Sample attrition	Completely satisfy	Partially satisfy at least one of these two standards
3: Continuity	Completely satisfy	
4. Bandwidth/Functional form	Completely satisfy	Partially satisfy
5. Fuzzy RDD	Completely satisfy	Partially satisfy

## Other federal evidence standards and databases

- [Department of Labor](#) (DoL)'s CLEAR's clearinghouse: evidence on labor topics
- [Corporation for National and Community Service](#) (CNCS): evidence on what works in national service, social innovation, civic engagement, and volunteering
- [U.S. Agency for International Development](#) (USAID), YouthPower: evidence on what works in youth and peacebuilding, youth and health, youth and agriculture, food security, and nutrition
- [US Departments of Agriculture and Defense](#)'s ClearingHouse for military family readiness: evidence on wide-ranging family and mental health issues.
- [US Department of Health and Human services](#): multiple databases on programs whose purpose is to prevent and/or reduce delinquency or other problem behaviors in young people, teen pregnancy and substance prevention programs, etc.
- [US Department of Justice](#): multiple databases on drugs and substance abuse, juveniles, crime and crime prevention, victims and victimization, law enforcement, technology and forensics, corrections and reentry, and courts

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