# **GenAl HW10**

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Deadline: 2024/**06/13** 23:59:59 (UTC+8)

### **Outline**

- Overview
- Task Introduction
  - Fine-tune Stable Diffusion
  - Generate Images
  - Evaluation Metrics
- Grading Policy
- Result Submission

### Link

Training Code

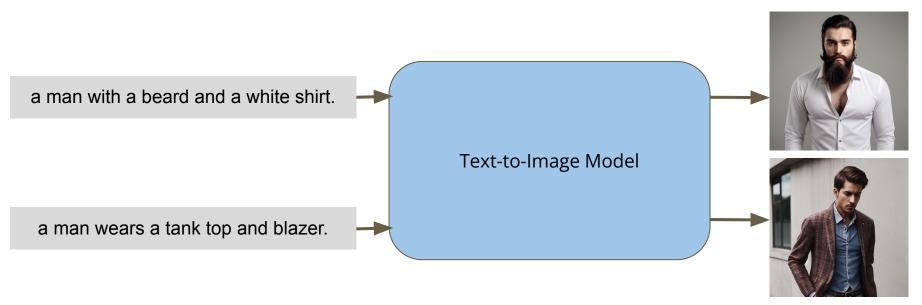
### **Disclaimer**

- The human faces generated using the stable diffusion model for this homework are solely for educational and research purposes. They are generated by artificial intelligence algorithms and may not accurately represent real individuals.
- It is important to acknowledge that the use of AI-generated images may raise ethical considerations, and it is the responsibility of users to use them ethically and responsibly.
- The creators of the stable diffusion model and the developers of the tools utilized in this homework bear no liability for the use or consequences of these generated images.

# **Overview**

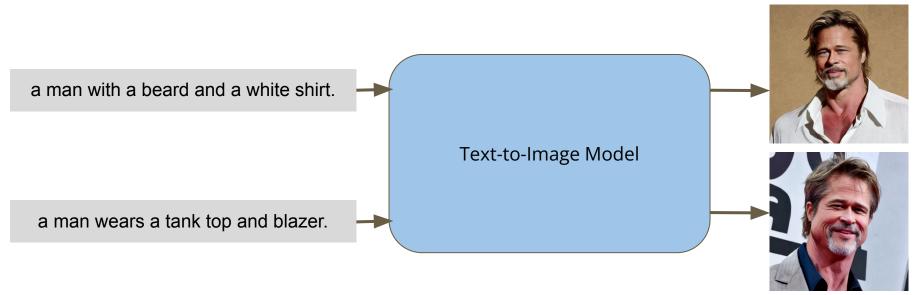
## **Text-to-Image Model**

• Text-to-image model can generate images that matches input description



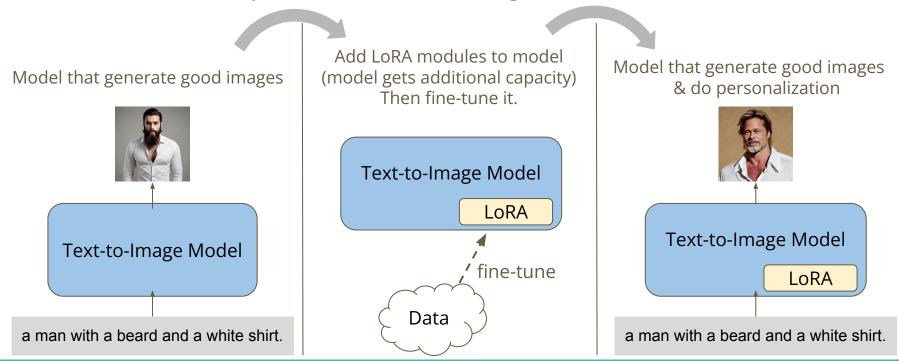
### **Personalization**

Publicly available text-to-image models may not meet everyone's need.
 E.g., a model generating images of a specific person consistently



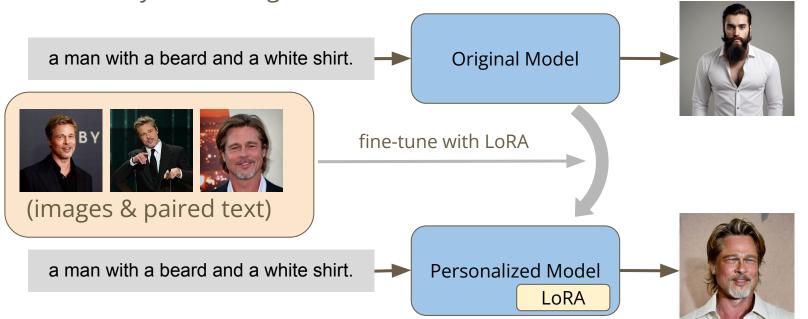
## **Achieve Personalization Using LoRA**

LoRA is a technique to make small changes to a trained model (<u>ref</u>)



### **Goal of This Homework**

 You will learn how to make Stable Diffusion generate consistent facial results by fine-tuning it with identical faces.



### **Goal of This Homework**

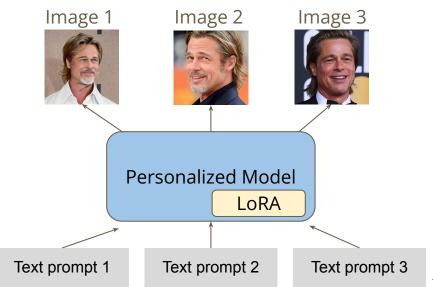
• Given enough training time, Stable Diffusion will start to produce images of the same individual.

### **Training Step**



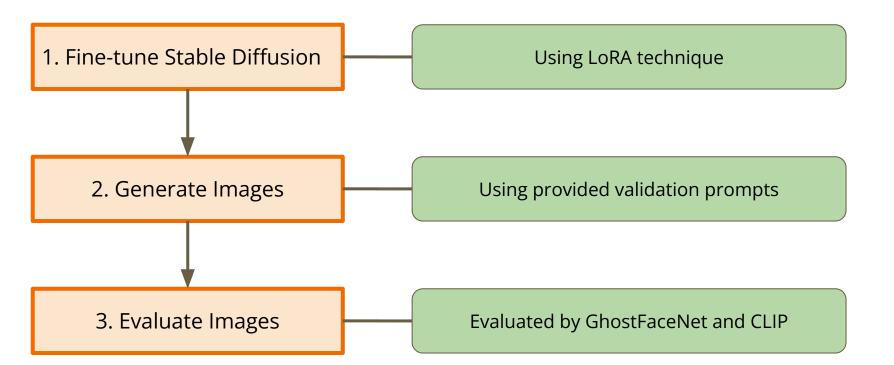
### **Evaluation**

- We will give you some text prompts
- You need to submit images generated from the prompts
- The score will evaluated based on
  - Are the images similar to training data
  - Are the images and text matched
  - Do the images contain human faces



## **Task Introduction**

### **Task Introduction**



### **TODOs**

- Try out different hyperparameters to fine-tune your own model.
   (Using default setting will result in at most 8 points)
- The training time will take about 1 hours. Each account is only able to run the code twice a day. You might have to try different hyperparameters multiple time. Please start to work on this assignment as early as possible. We won't extend the deadline given the long training time.
- Please ensure your **Google Drive has a minimum of 4GB** of available space to accommodate 2 stable diffusion checkpoints.
- We provide 25 different prompts to describe clothing. You have to ensure that your model can generate images with same faces as training dataset based on these prompts.

# **Step 1. Fine-tune Stable Diffusion**

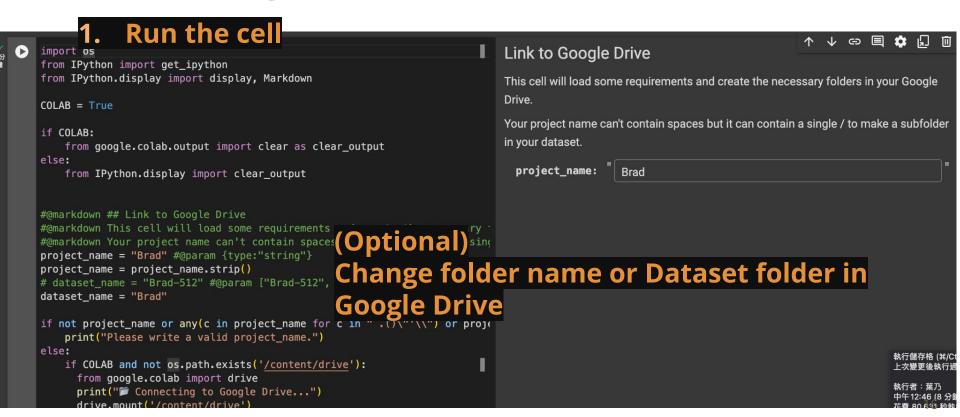
### Fine-tune a Stable Diffusion LoRA Model

• Choose a model based on Stable Diffusion from <u>Hugging Face</u> and use LoRA technique to fine-tune Stable Diffusion.

 Before fine-tuning, try to understand and adjust hyperparameters of LoRA so that your model can have better performance in the end.

Fine-tuning will take about 30 minutes

## 1. Link to Google Drive



## 1. Link to Google Drive

- We will build GenAI-HW10 folders in your Google drive
- Make sure you have at least 4GB space on the Google drive



## 2. Import required packages for fine-tuning

Import necessary packages

It is recommended NOT to change codes in this cell.

```
import argparse
import logging
import math
import os
import random
import glob
import shutil
from pathlib import Path
import numpy as np
import torch
import torch.nn.functional as F
import torch.utils.checkpoint
import transformers
from PIL import Image
from torchvision import transforms
from torchvision.utils import save_image
from tgdm.auto import tgdm
from peft import LoraConfig
from peft.utils import get_peft_model_state_dict
from transformers import AutoProcessor, AutoModel, CLIPTextModel, CLIPTokenizer
import diffusers
```

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## 3. Adjust the hyperparameters

```
# Do not change the following parameters, or the process may crashed due to
                                                                               Important parameters for fine-tuning Stable Diffusion
output_folder = os.path.join(project_dir, "logs") # 存放model checkpoints跟\
seed = 1126 # random seed
                                                                               Learning Rate
train_batch_size = 2 # training batch size
resolution = 512 # Image size
                                                                               The learning rate is the most important for your results. If you want to train slower with
weight_dtype = torch.bfloat16 #
                                                                               lots of images, or if your dim and alpha are high, move the unet to 2e-4 or lower.
snr_qamma = 5
#####
                                                                               The text encoder helps your Lora learn concepts slightly better. It is recommended to
                                                                               make it half or a fifth of the unet. If you're training a style you can even set it to 0.
#@markdown ## Important parameters for fine-tuning Stable Diffusion
pretrained_model_name_or_path = "stablediffusionapi/cyberrealistic-41"
                                                                                 learning_rate: 1e-4
lora rank = 32
lora alpha = 16
                                                                               Steps
#@markdown ### ▶ Learning Rate
#@markdown The learning rate is the most important for your results. If you
                                                                               Choose your training step and the number of generated images per each validaion
#@markdown The text encoder helps your Lora learn concepts slightly better
learning rate = 1e-4 #@param {type:"number"}
                                                                                 max_train_steps:
unet_learning_rate = learning_rate
text encoder learning rate = learning rate
lr_scheduler_name = "cosine_with_restarts" # 設定學習率的排程
                                                                                 validation_prompt_num: -
lr_warmup_steps = 100 # 設定緩慢更新的步數
#@markdown ### ▶ Steps
                                                                                 validation step ratio: -
#@markdown Choose your training step and the number of generated images per
max train steps = 200 #@param {type:"slider", min:200, max:2000, step:100}
validation_prompt = "validation_prompt.txt"
validation prompt path = os.path.join(prompts folder, validation prompt)
validation prompt num = 3 #@param {type:"slider", min:1, max:5, step:1}
```

## 3. Adjust the hyperparameters

- learning\_rate (recommended): learning rate of model
  - Increase this make model focus more on our training data than text prompt (Face distance↓(good) and CLIP score↓(bad))
- max\_train\_steps: total training step
- validation\_promt\_num: the number of validation images
- validation\_step\_ratio: ratio between validation step and max\_train\_steps

## 3. Adjust the hyperparameters

- lora\_rank: the dimension of LoRA model
- lora\_alpha (recommended): weight of LoRA model
- learning\_rate (recommended): learning rate of model
- $lora_rank \uparrow \Rightarrow Face Distance \downarrow CLIP Score \downarrow$
- learning\_rate  $\uparrow \Rightarrow$  Face Distance  $\downarrow$  CLIP Score  $\downarrow$
- max\_train\_steps: total training step
- validation\_promt\_num: the number of validation images
- validation\_step\_ratio: the ratio between validation step and max\_train\_steps

## 4. Prepare Dataset, LoRA model, and Optimizer

```
Prepare Dataset, Lora model, and Optimizer
Declare everything needed for Stable Diffusion fine-tuning.
♠ tokenizer, noise_scheduler, unet, vae, text_encoder = prepare_lora_model(pretrained_model_name_or_path, lora_rank, lora_alpha)
                                                         = prepare optimizer(unet, text encoder, unet learning rate, text encoder learning rate)
    optimizer
    lr_scheduler = get_scheduler(
        lr scheduler.
        optimizer=optimizer,
        num warmup steps=lr warmup steps,
        num_training_steps=max_train_steps,
        num_cycles=3
    dataset = Text2ImageDataset(
        images folder=images folder,
        captions_folder=captions_folder,
        transform=train_transform,
        tokenizer=tokenizer,
    def collate fn(examples):
        pixel_values = []
        input_ids = []
        for tensor, input_id in examples:
            pixel_values.append(tensor)
            input_ids.append(input_id)
        pixel values = torch.stack(pixel values, dim=0).float()
        input ids = torch.stack(input ids, dim=0)
        return {"pixel_values": pixel_values, "input_ids": input_ids}
    train dataloader = torch.utils.data.DataLoader(
        dataset,
        shuffle=True,
        collate fn=collate fn,
        batch size=train batch size,
        num_workers=8,
    print("Preparation Finished!")
```

## 5. Start Fine-tuning

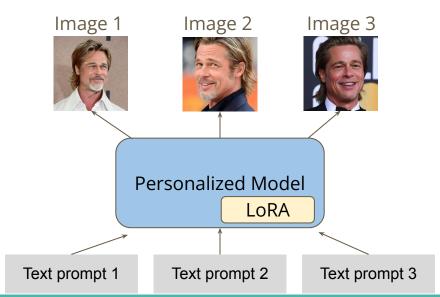
#### Start Fine-tuning

This cell takes 25 minutes to run in the default setting, but it may vary depending on the condition of Colab and max\_train\_step.

```
os.environ["TOKENIZERS_PARALLELISM"] = "false"
torch.backends.cuda.enable mem efficient sdp(False)
torch.backends.cuda.enable_flash_sdp(False)
progress_bar = tqdm(
    range(0, max_train_steps),
    initial=0,
    desc="Steps",
qlobal step = 0
num_epochs = math.ceil(max_train_steps / len(train_dataloader))
validation step = int(max train steps * validation step ratio)
best_face_score = float("inf")
for epoch in range(num epochs):
    unet.train()
    text encoder.train()
    for step, batch in enumerate(train_dataloader):
        if global_step >= max_train_steps:
            break
        latents = vae.encode(batch["pixel values"].to(DEVICE, dtype=weight dtype)).latent dist.sample()
        latents = latents * vae.config.scaling_factor
        # Sample noise that we'll add to the latents
        noise = torch.randn_like(latents)
```

# **Step 3. Generate Images**

- Use TA's validation prompts and your fine-tuned model to generate images.
- Testing will take at least 15 minutes

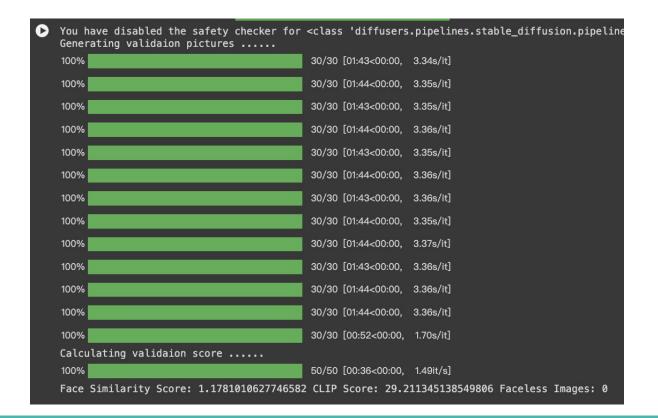


#### Testing

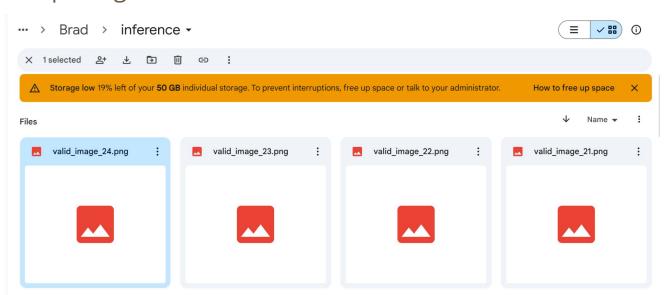
The fine-tuning process is done. We then want to test our model.

We will first load the fine-tuned model for checkpoint we saved and calculate the face similarity, CLIP score, and the number of faceless images.

```
Checkpoint_path = os.path.join(output_folder, f"checkpoint-best") # 設定使用哪個checkpoint inference
   unet_path = os.path.join(checkpoint_path, "unet.pt")
   text_encoder_path = os.path.join(checkpoint_path, "text_encoder.pt")
   inference_path = os.path.join(project_dir, "inference")
   os.makedirs(inference_path, exist_ok=True)
   train image paths = []
   for ext in IMAGE EXTENSIONS:
       train_image_paths.extend(glob.glob(f"{images_folder}/*{ext}"))
   train image paths = sorted(train image paths)
   train emb = torch.tensor([DeepFace.represent(img path, detector backend="ssd", model name="GhostFaceNet", enforce detection=False)[0]['embedding'] for img path in train image paths])
   face score, clip score, mis = evaluate(
       pretrained_model_name_or_path=pretrained_model_name_or_path,
       weight_dtype=weight_dtype,
       seed=seed,
       unet path=unet path,
       text_encoder_path=text_encoder_path,
       validation_prompt=validation_prompt,
       output_folder=inference_path,
       train emb=train emb,
   print("Face Similarity Score:", face_score, "CLIP Score:", clip_score, "Faceless Images:", mis)
```



- Please zip images in this folder and submit it to NTU Cool.



# **Step 4. Evaluate Images**

- For each generated face, we find its average distance to training data and average this distance across all generated faces.
- Distances between faces determined by a neural network, <u>GhostFaceNet</u>
- Since we want a model that generates a specific person, less average distance is better.

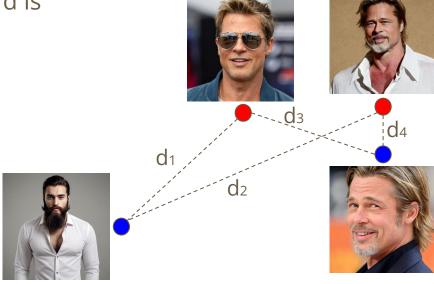
$$F(D_G, D_T) = rac{1}{\|D_G\| \|D_T\|} \sum_{d_G \in D_G} \sum_{d_t \in D_T} f(d_G, d_T)$$

where  $D_G$  is generated faces,  $D_T$  is training data, f(\*) is GhostFaceNet

Paper reference: GhostFaceNets 30

- d<sub>1</sub>, d<sub>2</sub>, d<sub>3</sub>, d<sub>4</sub> are computed by <u>GhostFaceNet</u>
- Face distance score is the average of d<sub>1</sub>, d<sub>2</sub>, d<sub>3</sub>, d<sub>4</sub>
- The more similar the smaller d is (smaller is better)

- ullet: Training Dataset $(D_T)$
- ullet: Submitted Images $(D_G)$



 However, merely calculating the face similarity is not ideal since it can easily be hacked by submitting 25 pictures of same person.

**Training Dataset** 



### **Submitted Validation Images**



Or just submit same picture 25 times.

**Training Dataset** 



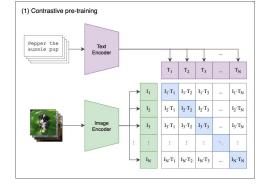
### **Submitted Validation Images**



### **Evaluation Metrics - CLIP Score**

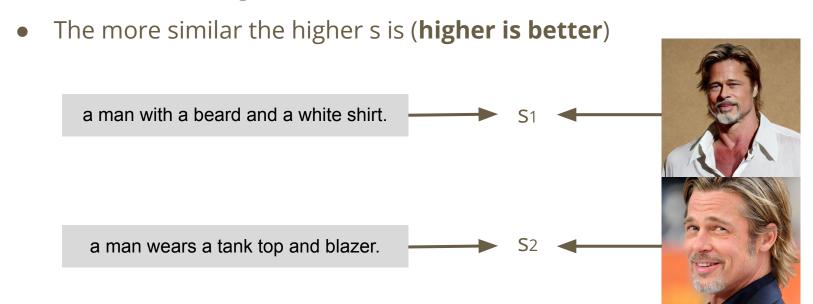
- To avoid such cases, we will calculate <u>CLIP</u> score to ensure that prompts are truly related to image.
- CLIP score can measure the similarity between text and image, where higher scores indicates greater relevance between the two modalities.
- By doing so, unless you can find images of the same person with 25 different clothes mentioned in validation prompts, or you'll have to

fine-tune your own text-to-image model.



### **Evaluation Metrics - CLIP Score**

- s<sub>1</sub>, s<sub>2</sub> are computed by CLIP
- CLIP is the average of s<sub>1</sub>, s<sub>2</sub>



## **Evaluation Metrics - The number of Faceless Images**

- Since it is inevitable that sometimes
   Stable Diffusion generates images
   that are related to input text but
   faceless.
- To avoid rerunning generation process, we tolerate at most 5 submitted validation images without faces.



a man dressed casually in a hoodie and jeans

## **Grading Policy**

- You have to use validation prompt with same gender as your training dataset to generate images.
- Scoring:
  - Face distance:
    - $\leq 1.2 \rightarrow 10 \text{ pts}$
    - $\leq$  1.22  $\rightarrow$  8 pts
    - $\leq$  1.25  $\rightarrow$  6 pts
    - $\leq$  1.28  $\rightarrow$  4 pts
    - $\blacksquare$   $\leq 1.3 \rightarrow 2 \text{ pts}$
  - CLIP Score > 22, or you'll get 0 pts
  - The number of faceless images ≤ 5, or you'll get 0 pts
- Default setting in sample code can obtain at least 8 pts

### **Submission & Deadline**

- Submit your homework to NTU Cool
- Please submit <student-id>.zip (start with capital) to NTU Cool. The directory after unzipping should be like:
  - o B09902008
    - valid\_image\_0.png
    - valid\_image\_1.png
    - **...**
    - valid\_image\_24.png
- 2024/**06/13** 23:59:59 (UTC+8)
- No late submission is allowed

## **Grading Release Date**

• The grading of the homework will be released by 2024/**06/21** 23:59:59 (UTC+8)

## 需要提早送出成績的同學

- 本課程學期成績預計於 6/30送出
- 若有同學因為要畢業需要提早拿到學期成績,請在 6/12 23:59:59 (UTC+8) 之前寄信跟助教說明需要提早送出成績的原因,我們會在 6/17 送出你的成績。寄信說明如下:
  - 助教信箱: <u>ntu-gen-ai-2024-spring-ta@googlegroups.com</u>
  - 信件標題: [GenAl 2024 Spring **提早送成績**]
  - An email with the wrong title will be moved to trash automatically
  - 寄給助教的信件應該包含以下資訊:
    - 姓名
    - 學號
    - 你需要提早送出成績的理由
- 需要提早送成績的同學,我們會在 6/16 公佈你的HW10成績。若同學覺得HW10成績有問題,需要在 6/17 前反應,成績送出後不會再受理修改成績的要求

## **If You Have Any Questions**

- NTU Cool **HW10** 作業討論區
  - 如果同學的問題不涉及作業答案或隱私,請**一律使用**NTU Cool 討論區
  - 助教們會優先回答NTU Cool討論區上的問題
- Email: <a href="mailto:ntu-gen-ai-2024-spring-ta@googlegroups.com">ntu-gen-ai-2024-spring-ta@googlegroups.com</a>
  - Title should start with [GenAl 2024 Spring HW10]
  - Email with the wrong title will be moved to trash automatically
- TA Hours
  - Time: 5/31 (Fri.) 16:30 ~ 17:20, 6/7 (Fri.) 14:20~16:10
  - Location: 綜合大講堂