

Notes of Lectures on Quantum Mechanics by P.M.Dirac

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1 Transformation That Doesn't Change State

If we start with given initial variables and solve for the equations of motion, whose solutions should contain arbitrary functions of time $v_a(t)$ as we discuss above. The initial variables we need would q and p but not the initial value of arbitrary functions $v_a(t)$, and this is quite important because *initial physical state* of the system is uniquely determined by q and p but not v , which is consistent with the system without constraints.

As time evolves, the initial state must determine the state at later time. However, q and p would not be uniquely determined by the initial state due to the arbitrary functions in solutions of equations of motion. That means *the state doesn't uniquely determine q and p but a given set of q and p would uniquely determine a state*. There must be several choices of q and p which correspond to the same physical state. To explicitly show this, consider a general dynamical variable g with initial value g_0 and a short time interval δt . The value of this dynamical variable at time δt would be given by the equations of motion,

$$g(\delta t) = g_0 + \dot{g}\delta t$$

$$\begin{aligned}
&= g_0 + [g, H_T] \delta t \\
&\approx g_0 \delta t \{ [g, H'] + v_a [g, \phi_a] \}
\end{aligned} \tag{1.1}$$

The coefficients v are completely arbitrary thus we can consider different functions of time v' , which therefore gives a different $g(\delta t)$. Define the difference between different coefficients,

$$\Delta g(\delta t) = \epsilon_a [g, \phi_a] \tag{1.2}$$

where

$$\epsilon_a = \delta t (v_a - v'_a) \tag{1.3}$$

which is a small arbitrary parameter, small because of short time interval δt and arbitrary because of v and v' . Consider changing all the Hamiltonian variables (like coordinates and canonical momentum) with the rule eq(1.2) yet would describe exactly the same state. We come to the conclusion that the ϕ_a which appear in the theory as primary, first-class constraints, have the meaning: *as generating functions of infinitesimal contact transformations, they lead to changes in coordinates and canonical momenta that don't affect the physical state.*

To push the theory further by considering successive contact infinitesimal transformations. First by $\epsilon_a \phi_a$ and then $\gamma_{a'} \phi_{a'}$, thus we have,

$$\begin{aligned}
\Delta g &= \epsilon_a [g, \phi_a] \\
\Delta' g &= \gamma_{a'} [g + \Delta g, \phi_{a'}]
\end{aligned} \tag{1.4}$$

Write them altogether,

$$g''_1(\delta t) = g(\delta t) + \epsilon_a [g, \phi_a] + \gamma_{a'} [g + \epsilon_a [g, \phi_a], \phi_{a'}] \tag{1.5}$$

However, if the transformations are applied in the reverse order,

$$g''_2(\delta t) = g(\delta t) + \gamma_{a'} [g, \phi_{a'}] + \epsilon_a [g + \gamma_{a'} [g, \phi_{a'}], \phi_a] \tag{1.6}$$

These two would correspond to different change in q and p , yet both of them wouldn't change the physical state. The difference between these two quantity would yield,

$$\Delta_{12} g \equiv g''_1 - g''_2 \approx \epsilon_a \gamma_{a'} \{ [[g, \phi_a], \phi_{a'}] - [[g, \phi_{a'}], \phi_a] \}$$

Further simplify this by Jacobi identity,

$$[[g, \phi_a], \phi_{a'}] + [[\phi_a, \phi_{a'}], g] + [[\phi_{a'}, g], \phi_a] = 0$$

Then the equation becomes,

$$\Delta_{12}g = \epsilon_a \gamma_{a'} [g, [\phi_a, \phi_{a'}]] \quad (1.7)$$

Therefore, we immediately see that $\Delta_{12}g$ represents a change in Hamiltonian variables yet does not involve any change in the physical state. We use,

$$[\phi_a, \phi_{a'}] \quad (1.8)$$

as a generating function of infinitesimal contact transformation that causes no harm to the physical state.

By the theorem previously proved, the Poisson brackets of first-class constraints would be first-class, too.

The final result is that those transformations of dynamical variables which do not change physical states are infinitesimal contact transformations in which the generating functions is a primary first-class constraint or possibly a secondary first-class constraint.

2 The Problem of Quantization

We were led to the idea that there are certain changes in the p 's and q 's that don't correspond to a change of state, and which have as generators first-class secondary constraints. This implies a more general equation of motion that allows variation of a dynamical variable g with the time contains *not only* any variation given by equation (1.1) $\dot{g} = [g, H_T]$, *but also* any variation which does not correspond to a change of current state.

$$\dot{g} = [g, H_E] \quad (2.1)$$

where H_E stands for the extended Hamiltonian, consisting of the previous total Hamiltonian H_T , plus all generators that don't change the current state, with arbitrary coefficients¹:

$$H_E = H_T + v'_{a'} \phi_{a'} \quad (2.2)$$

Those additional generators $\phi_{a'}$, which haven't been included in H_T are the first-class secondary constraints.

¹Recall that we mentioned in the last section that the arbitrariness of a system is determined by the number of generators that don't change the system.

The general Hamiltonian theory here applies to a finite number of degrees of freedom. We could easily extend N into infinity with the original indices denoting the degrees of freedom is $n = 1, \dots, N$. Furthermore, the suffix could be taken from discrete to continuous, that is, we have q_n 's and p_n 's variables becomes q_x and p_x , where x is the suffix which could be any values in a continuous range. In continuous case, the sums over n in the previous work would become integrals.

However,