

# Software

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# Engineering

## LECTURE 1: Introduction

Ting Su  
East China Normal University

# Self-Introduction

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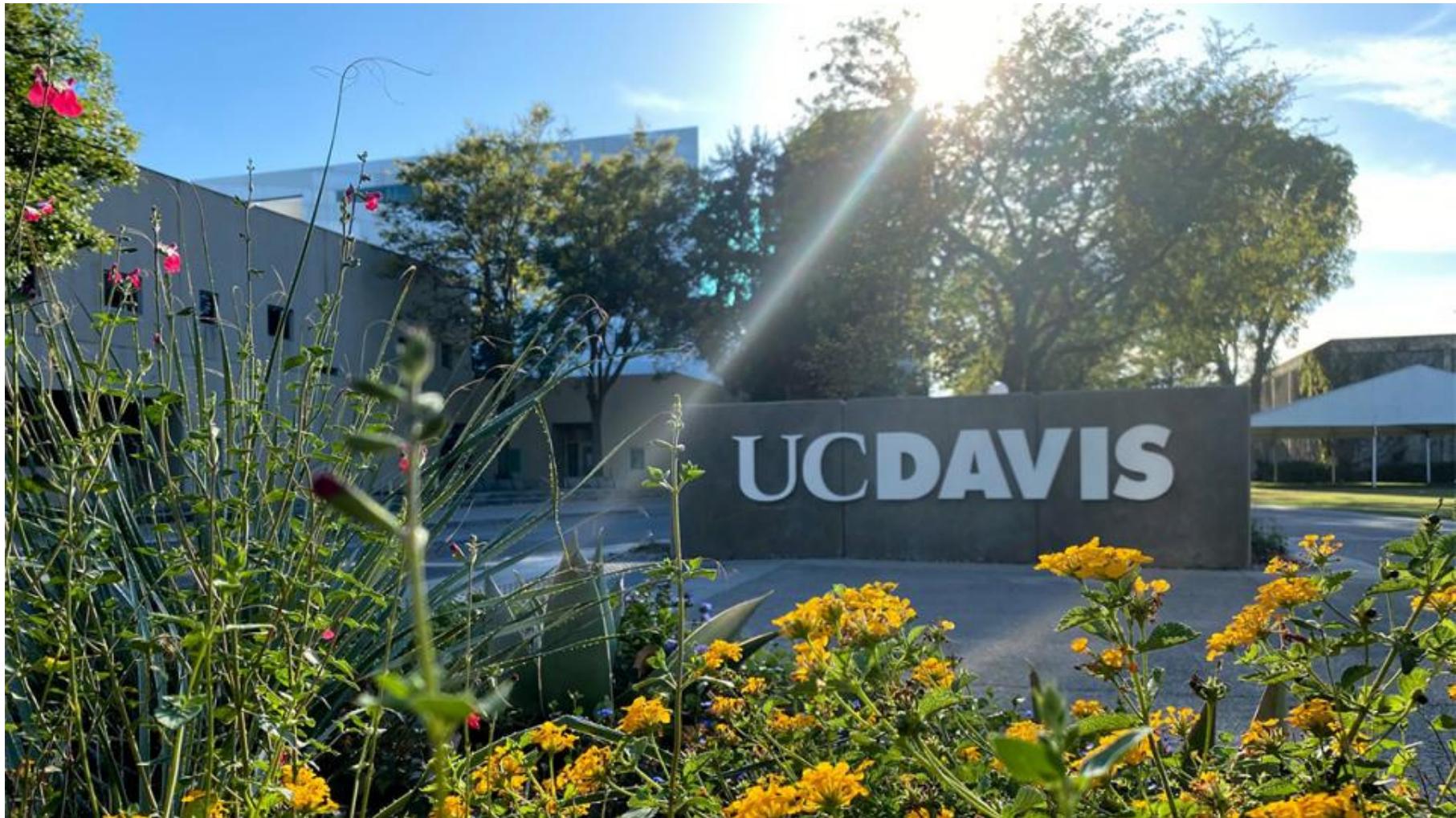
- 苏亭， 软件科学与技术系， 教授
- 个人主页：  
<http://tingsu.github.io> (英文)  
[https://faculty.ecnu.edu.cn/\\_s43/st2/main.psp](https://faculty.ecnu.edu.cn/_s43/st2/main.psp) (中文)
- 研究方向：  
软件分析与验证、软件测试、软件安全、可信人工智能、系统软件
- 实验室  
软件与系统可靠性研究小组 (理科楼B416)
- 联系方式  
理科楼B1103, [tsu@sei.ecnu.edu.cn](mailto:tsu@sei.ecnu.edu.cn)

# ECNU

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# **ECNU → UCD**

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# ECNU → UCD



# ECNU → UCD

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# ECNU → UCD → NTU



# **ECNU → UCD → NTU**

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# **ECNU → UCD → NTU**

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**ECNU -> UCD -> NTU -> ETH**

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**ECNU -> UCD -> NTU -> ETH**

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**ECNU → UCD → NTU → ETH → ECNU**

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# Self-Introduction

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# Course Information

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## □ 课程目标

1. 掌握和熟悉软件工程的理论、概念、方法、和工具
2. 具备分析、设计、开发和管理软件项目的能力

## □ 课程形式:

1. 理论课: 每周一下午第5-6节课
2. 实践课: 双周二下午第5-6节课
3. 考核形式: 出勤: 5%; 项目、作业: 45%; 期末考试: 50%

## □ 参考教材 (see more on the course website)

- 《Software Engineering textbook》, by I. Marsic. (电子书)
- 《Software Engineering-A Practitioner's Approach (Eighth Edition)》, Roger S. Pressman著, 郑人杰等译. 北京: 机械工业出版社, 2015年.
- 软件测试(原书第二版), Patton,R.著, 张小松等译, 北京: 机械工业出版社, 2006.4.

# Course Information

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## □ 课程网站:

<https://tingsu.github.io/files/courses/se.html>

## □ 助教

- 唐文兵 (20级博士)
- 熊一衡 (20级硕士)

# What is Software Engineering?

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## ❑ The IEEE definition:

- *Software Engineering*: (1) *The application of a systematic, disciplined, quantifiable approach to the development, operation, and maintenance of software; that is, the application of engineering to software.* (2) *The study of approaches as in (1).*

# History of Software Engineering

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- ❑ Its origin: 1945 to 1965
- ❑ The software crisis: 1965 to 1985
- ❑ “No Silver Bullet”: 1985 to 1989
- ❑ Prominence of the Internet: 1990 to 1999
- ❑ Lightweight methodologies: 2000 to 2015

# History of Software Engineering



40<sup>TH</sup> INTERNATIONAL CONFERENCE ON  
SOFTWARE ENGINEERING

MAY 27 - JUNE 3 2018  
GOTHENBURG, SWEDEN

Attending ▾

Program ▾

Tracks ▾

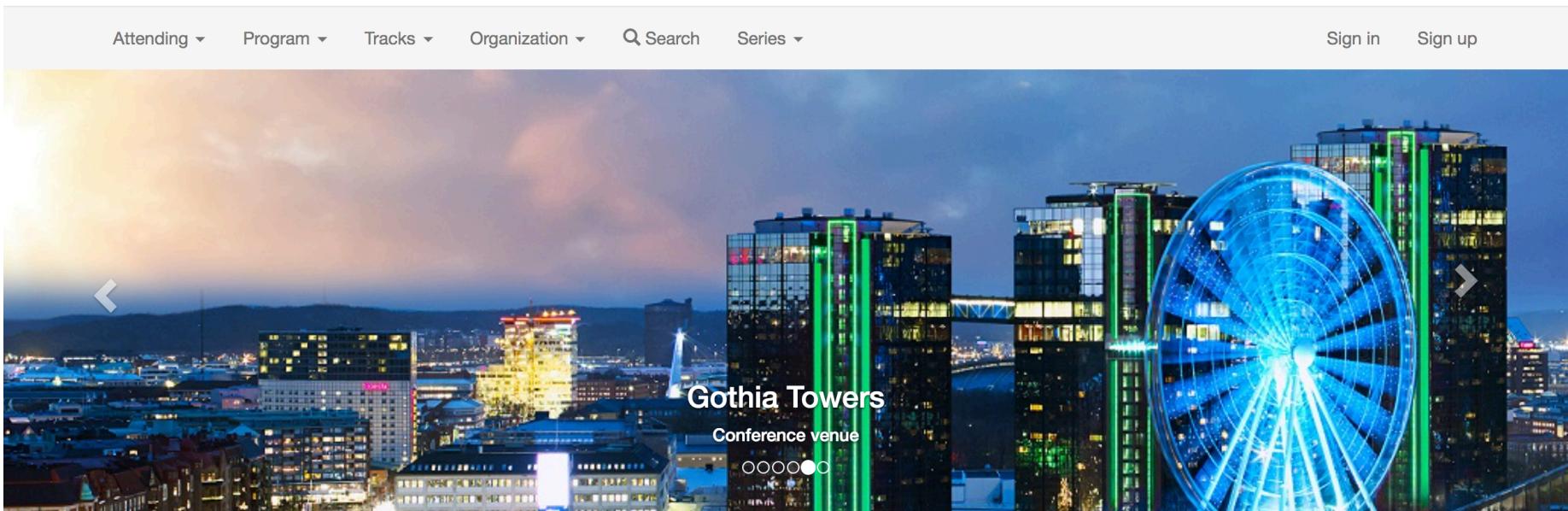
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# History of Software Engineering

SEARCH

**Margaret Heafield Hamilton** is an American computer scientist, systems engineer, and business owner. She was director of the Software Engineering Division of the MIT Instrumentation Laboratory, which developed on-board flight software for NASA's Apollo program. She later

65. The origins (part 1) 

for the term *software engineering*

SEARCH

# What is Software?

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# What is Software?

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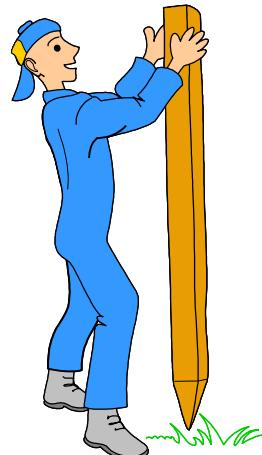
- ❑ Software is: (1) ***instructions*** (computer programs) that when executed provide desired features, function, and performance; (2) ***data structures*** that enable the programs to adequately manipulate information and (3) ***documentation*** that describes the operation and use of the programs.

# Introduction: Software is Complex

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- ❑ Complex ≠ complicated
- ❑ Complex = composed of many simple parts  
related to one another
- ❑ Complicated = not well understood, or explained

# Complexity Example: Scheduling Fence Construction Tasks



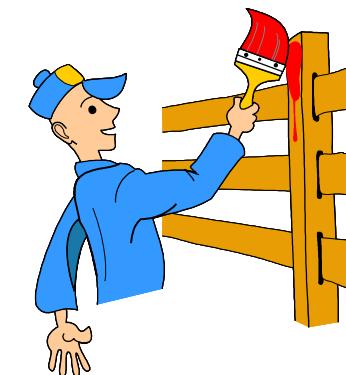
Setting posts  
[ 3 time units ]



Cutting wood  
[ 2 time units ]



Nailing  
[ 2 time units for unpainted;  
3 time units otherwise ]



Painting  
[ 5 time units for uncut wood;  
4 time units otherwise ]

Setting posts < Nailing, Painting

Cutting < Nailing

...shortest possible completion time = ?

# More Complexity

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Suppose today is Tuesday, November 29

What day will be on January 3?

[ To answer, we need to bring the day names and the day numbers into coordination, and for that we may need again a pen and paper ]

# The Frog in Boiling Water

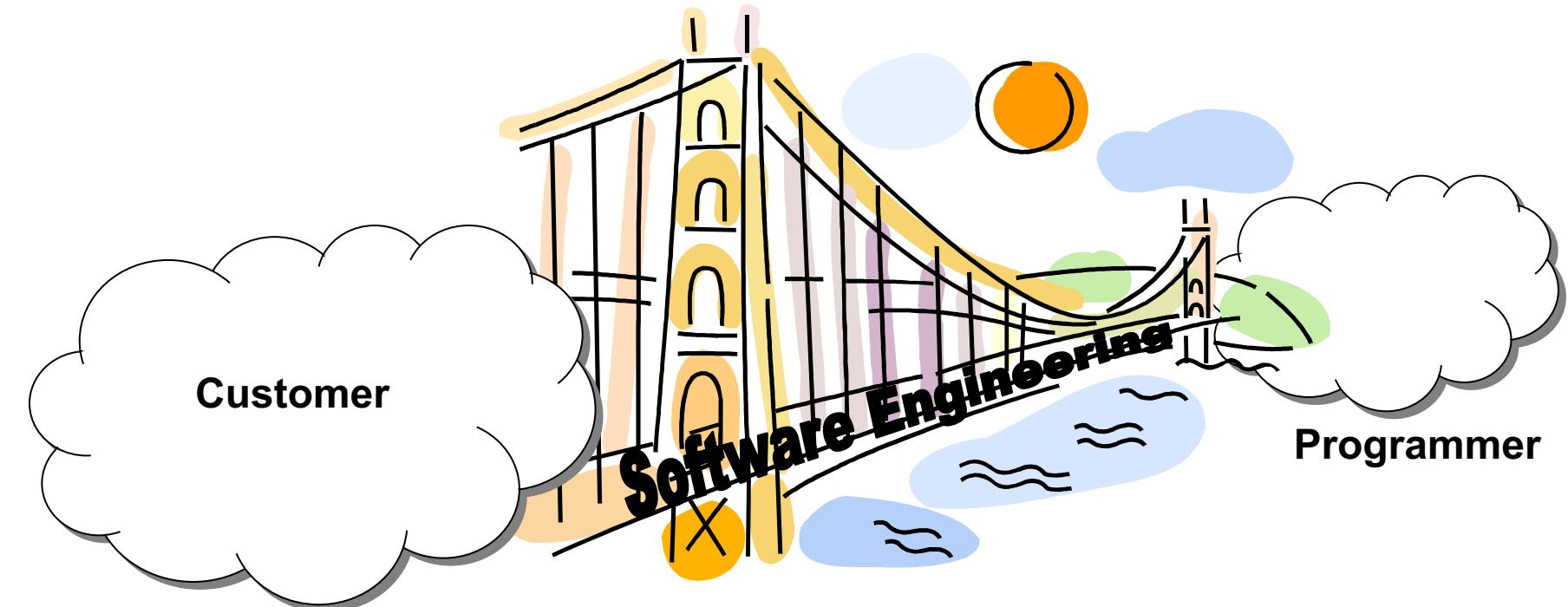
- ❑ Small problems tolerate complacency—lack of immediate penalty leads to inaction
- ❑ Negative feedback accumulates subtly and by the time it becomes painful, the problem is too big to address
- ❑ Frog in gradually heated water analogy:
  - The problem with little things is that none of them is big enough to scare you into action, but they keep creeping up and by the time you get alarmed the problem is too difficult to handle
  - Consequently, “design smells” accumulate, “technical debt” grows, and the result is “software rot”



[https://en.wikipedia.org/wiki/Design\\_smell](https://en.wikipedia.org/wiki/Design_smell)  
[https://en.wikipedia.org/wiki/Technical\\_debt](https://en.wikipedia.org/wiki/Technical_debt)  
[https://en.wikipedia.org/wiki/Software\\_rot](https://en.wikipedia.org/wiki/Software_rot)

# The Role of Software Engg. (1)

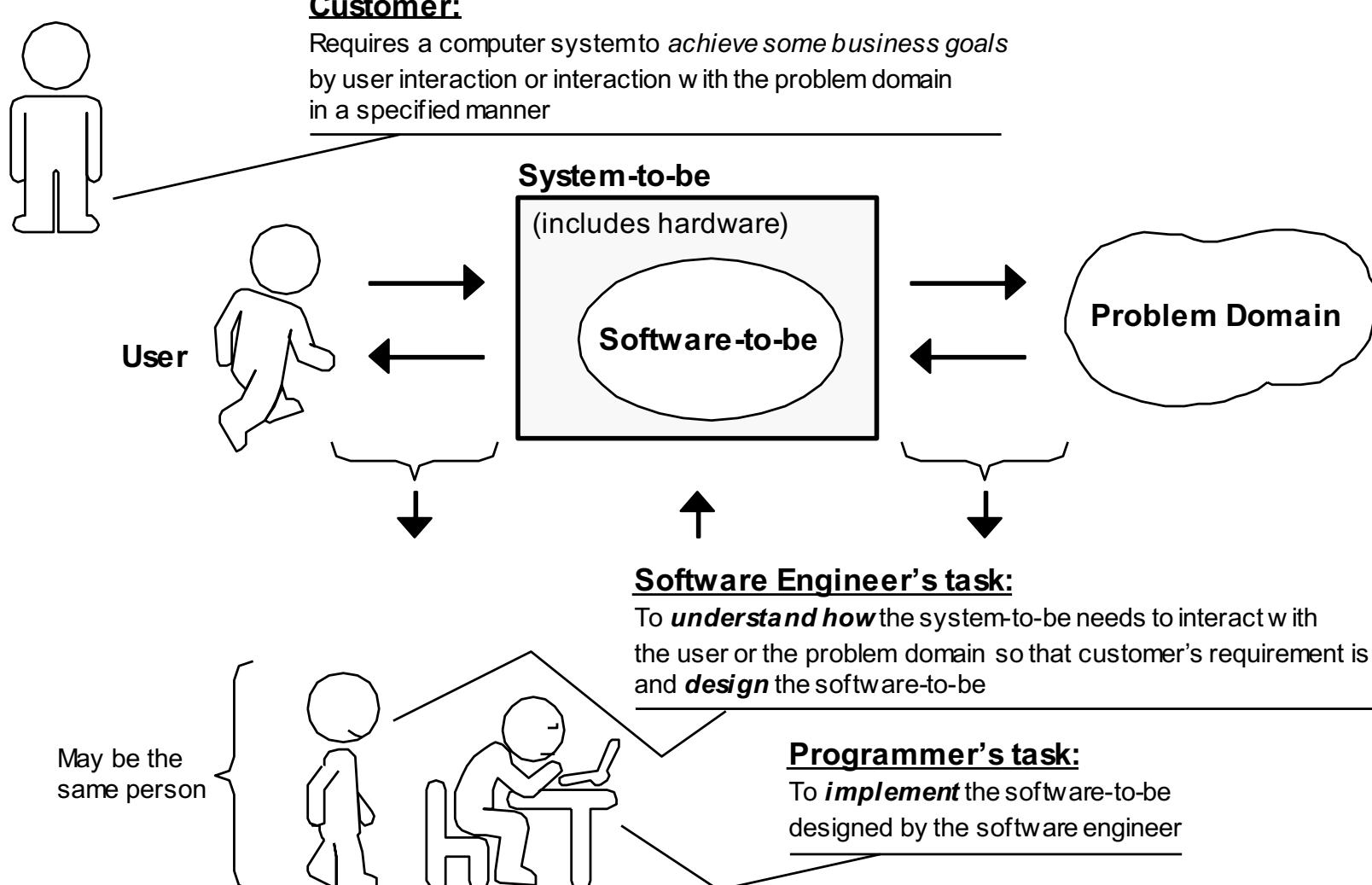
A bridge from customer needs to programming implementation



## First law of software engineering

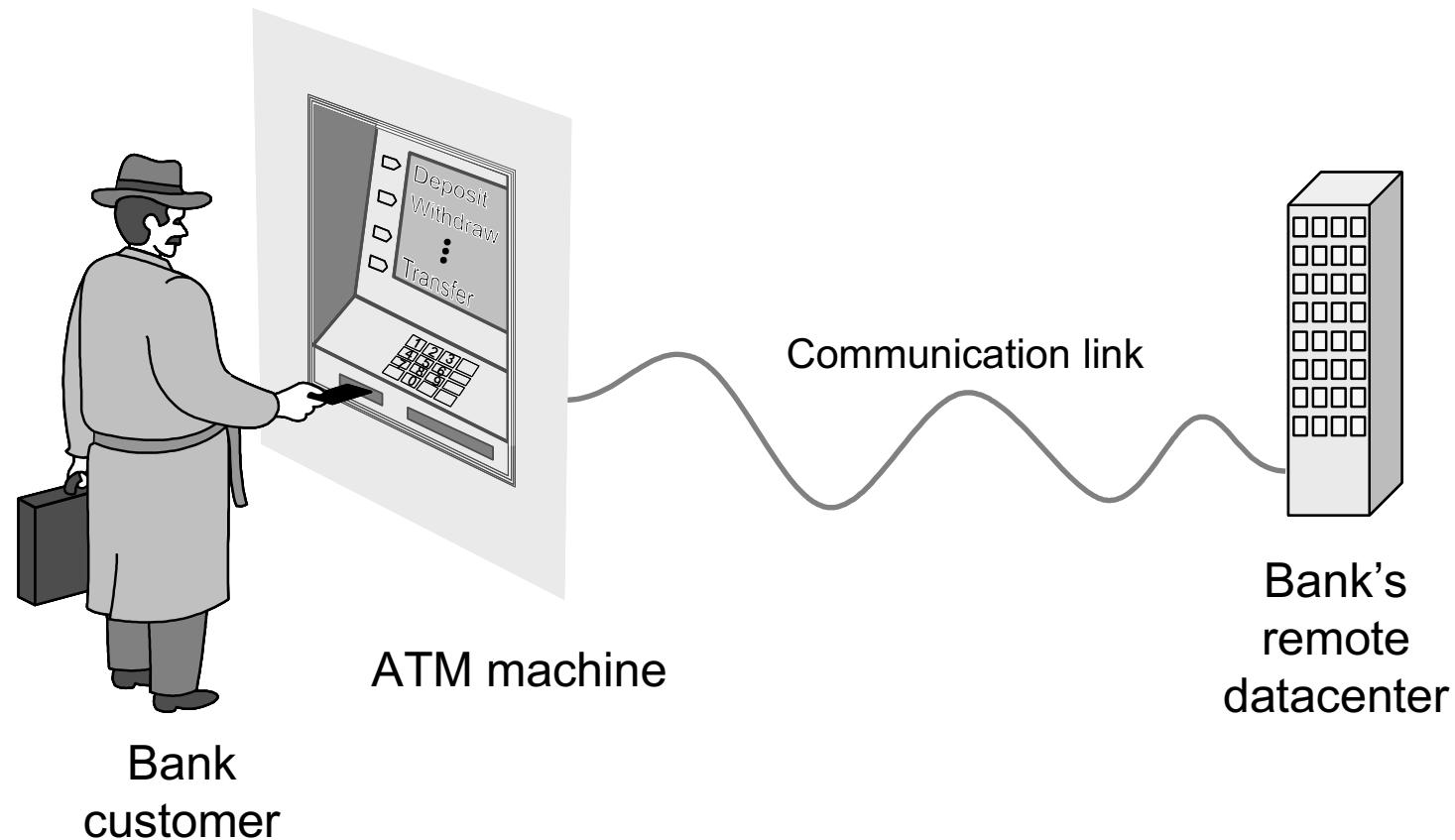
Software engineer is willing to learn the problem domain  
(problem cannot be solved without understanding it first)

# The Role of Software Engg. (2)



# Example: ATM Machine

Understanding the money-machine problem:



# Problem-solving Strategy

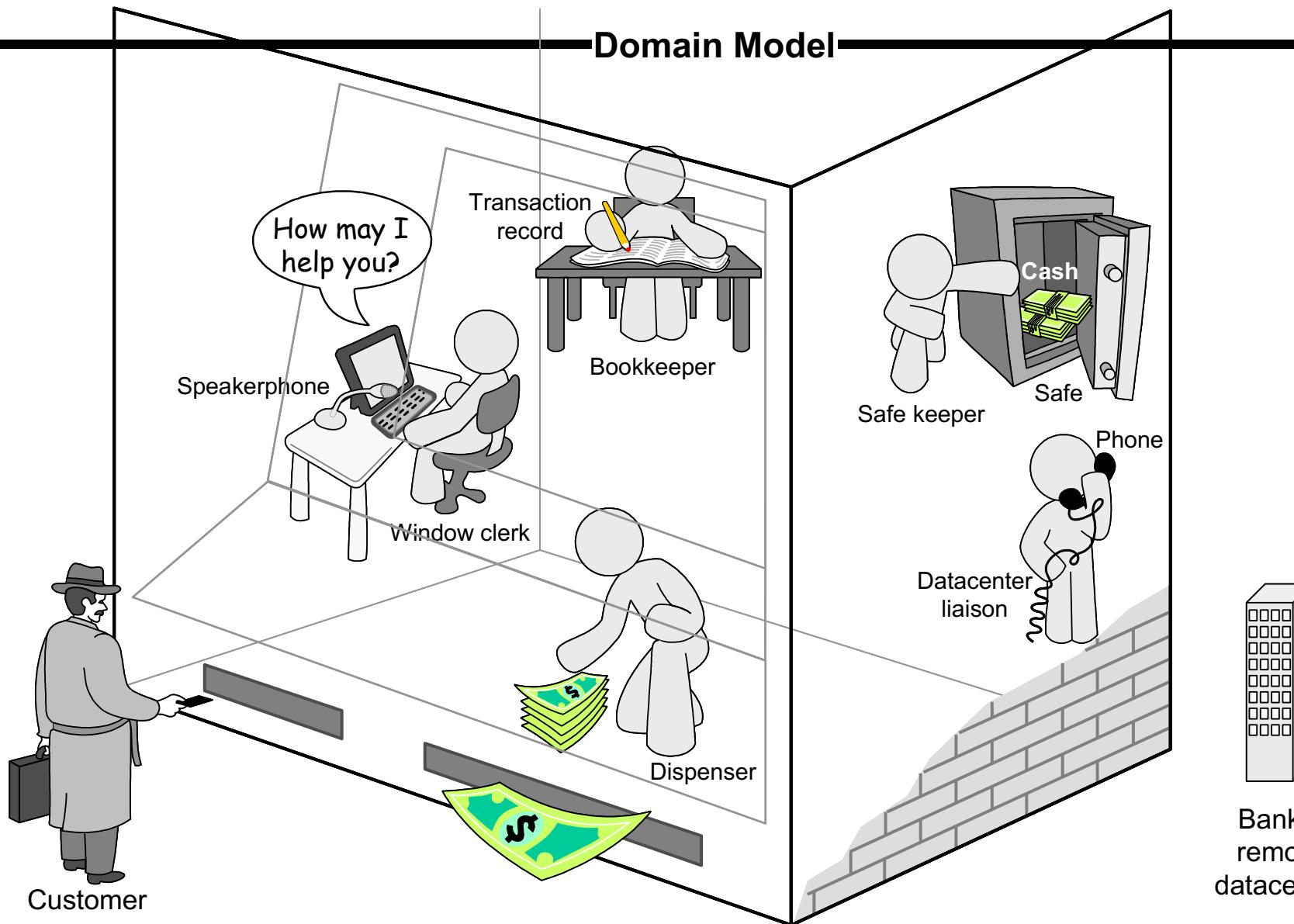
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Divide-and-conquer:

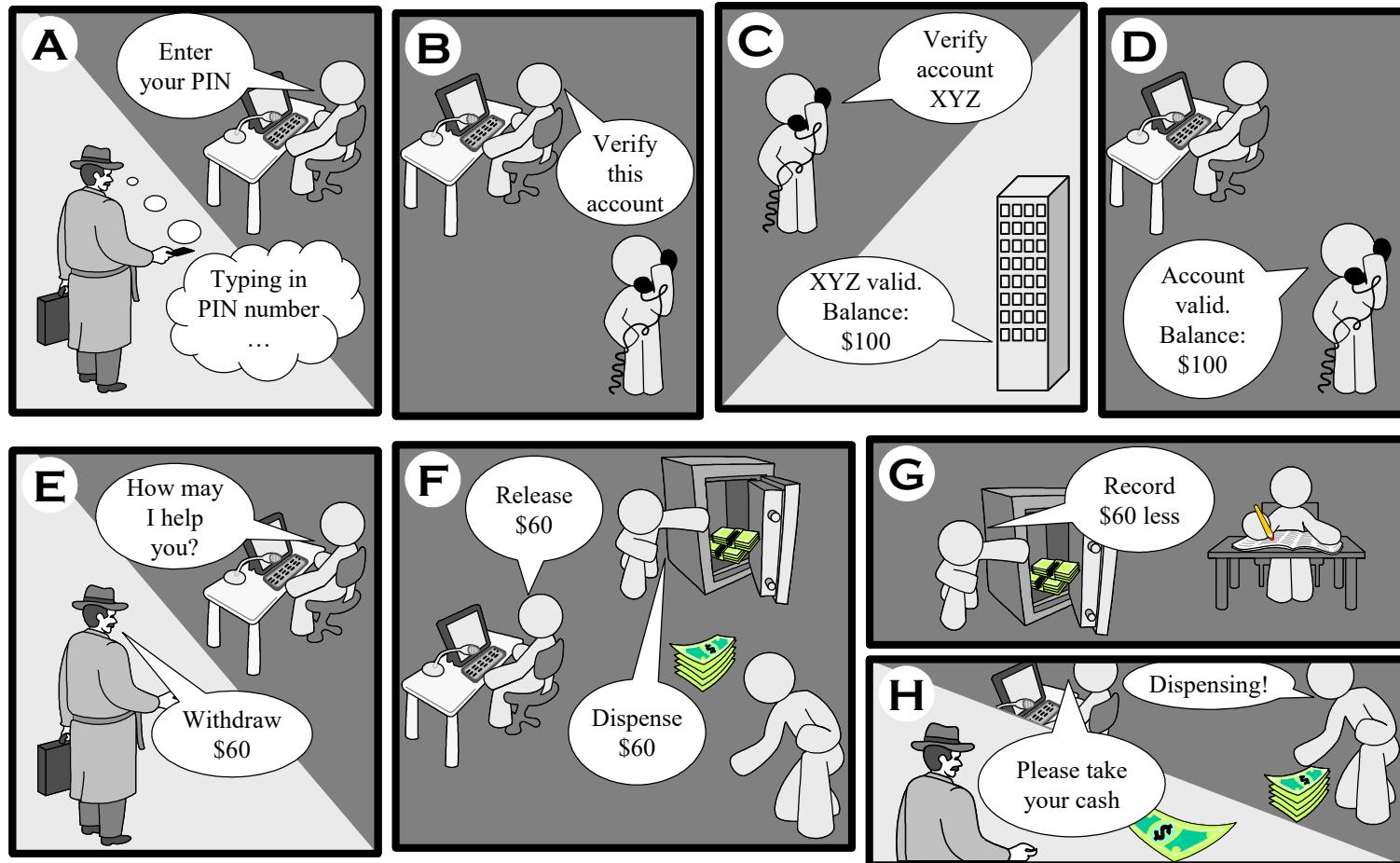
- ❑ Identify logical parts of the system that each solves a part of the problem
- ❑ Easiest done with the help of a domain expert who already knows the steps in the process (“how it is currently done”)
- ❑ Result:  
A Model of the Problem Domain  
(or “domain model”)

# How ATM Machine Might Work

Domain Model



# Cartoon Strip: How ATM Machine Works



# Software Engineering Blueprints

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- Specifying software problems and solutions is like cartoon strip writing
- Unfortunately, most of us are not artists, so we will use something less exciting:  
UML symbols
- However ...

# Second Law of Software Engineering

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## Software should be written for people first

- ( Computers run software, but hardware quickly becomes outdated )
- Useful + good software lives long
- To nurture software, people must be able to understand it

# Software Development Methods

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## ➤ Method = work strategy

- The Feynman Problem-Solving Algorithm:
  - (i) Write down the problem
  - (ii) think very hard, and
  - (iii) write down the answer.

## ➤ Waterfall

- Unidirectional, finish this step before moving to the next

## ➤ Iterative + Incremental

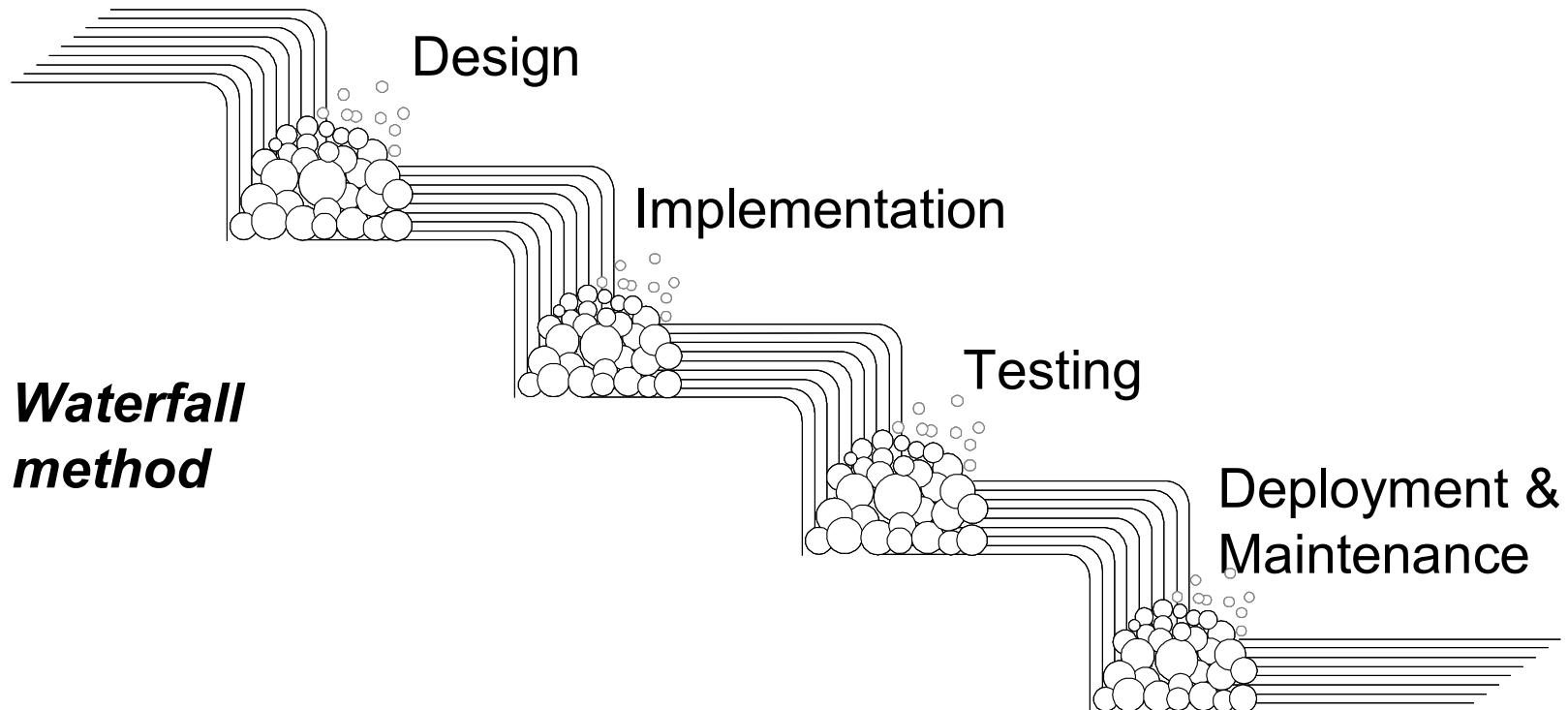
- Develop increment of functionality, repeat in a feedback loop

## ➤ Agile

- *Continuous* user feedback essential; feedback loops on several levels of granularity

# Waterfall Method

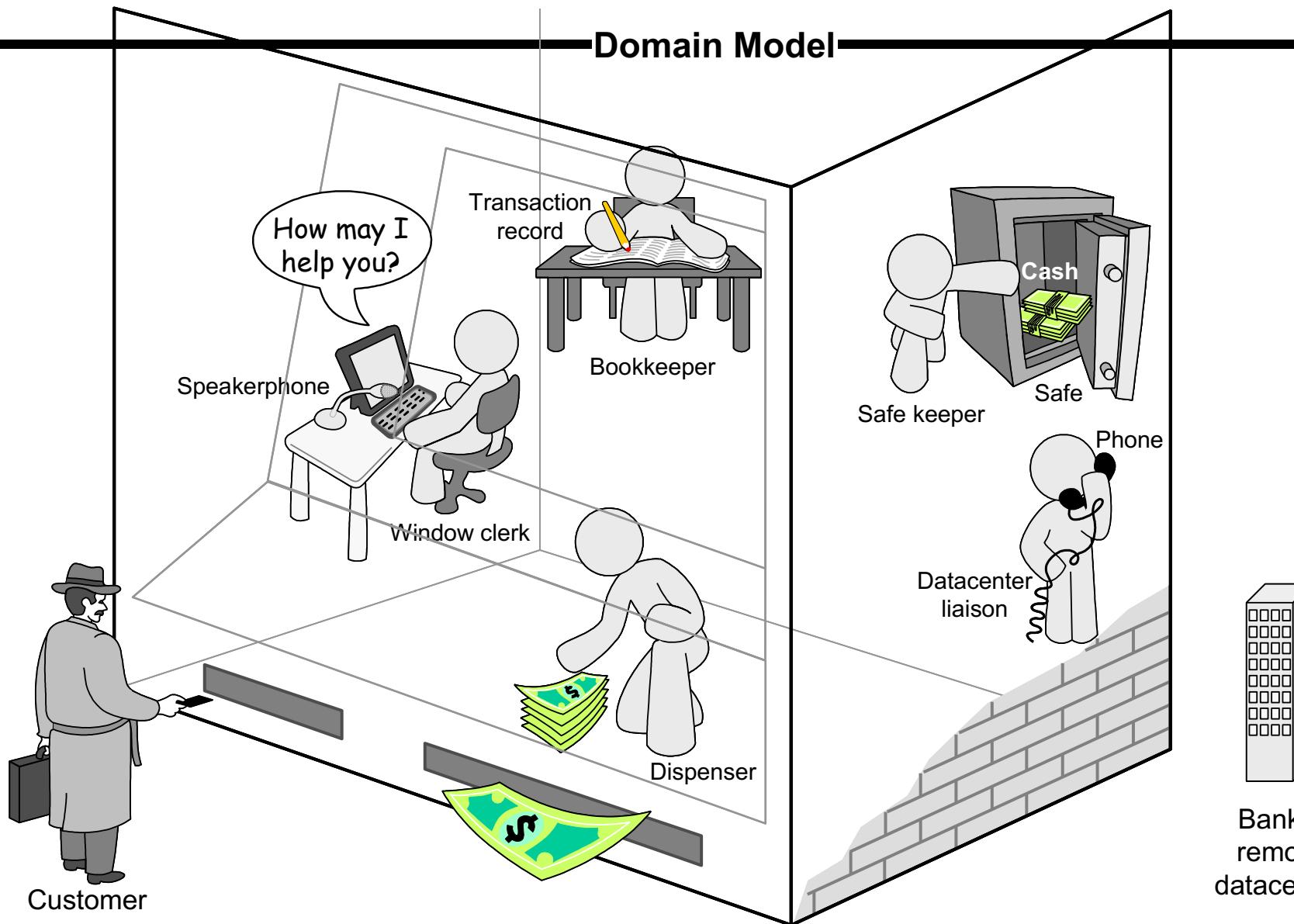
Requirements



**Each activity confined to its “phase”.  
Unidirectional, no way back;  
finish this phase before moving to the next**

# How ATM Machine Might Work

Domain Model



# Understanding the Problem Domain

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❑ System to be developed

❑ Actors

- Agents external to the system that interact with it

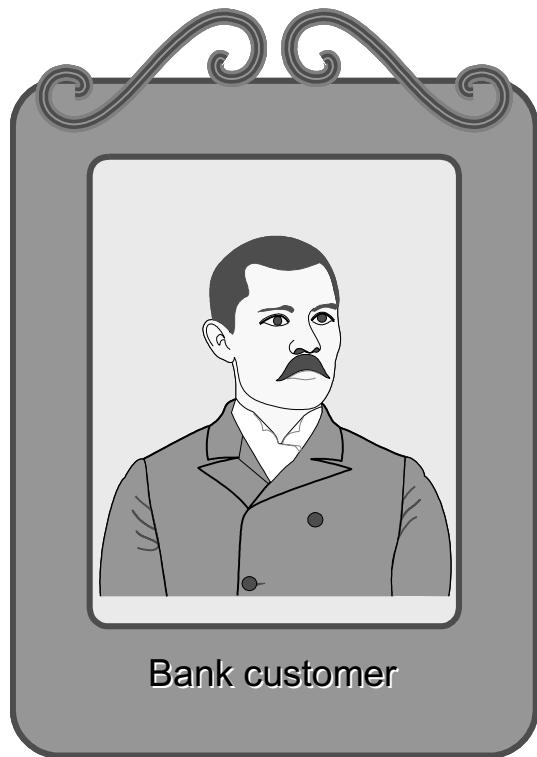
❑ Concepts/ Objects

- Agents working inside the system to make it function

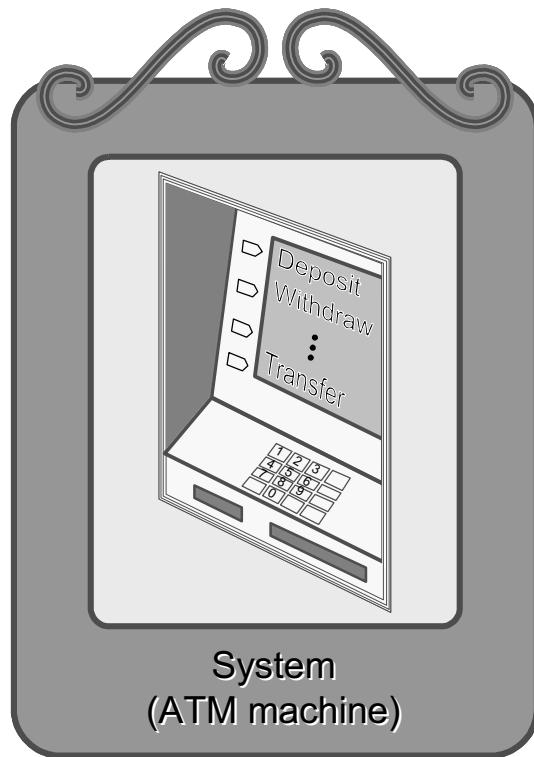
❑ Use Cases

- Scenarios for using the system

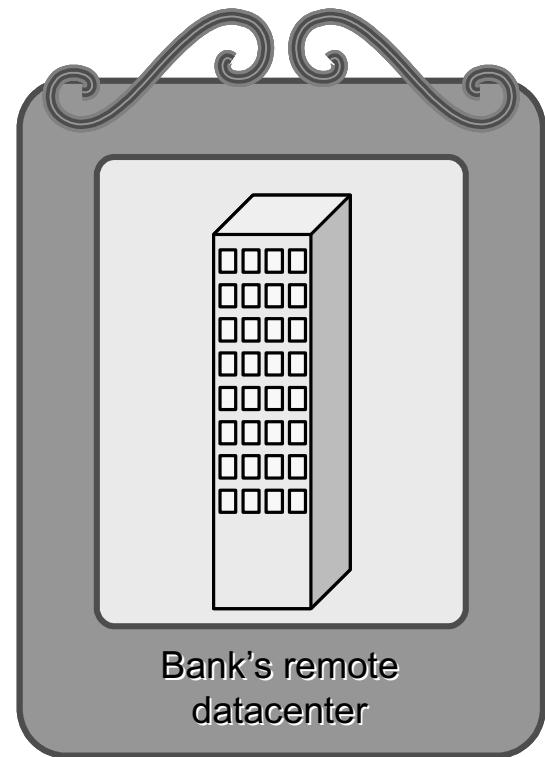
# ATM: Gallery of Players



Bank customer



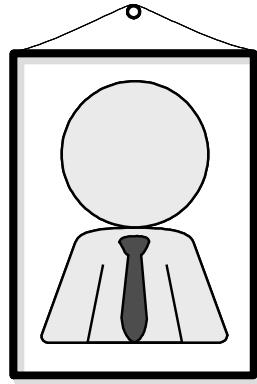
System  
(ATM machine)



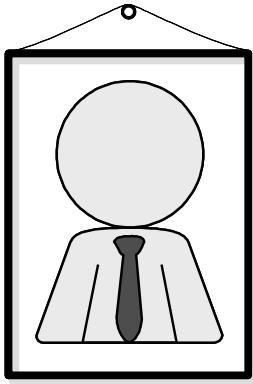
Bank's remote  
datacenter

**Actors** (Easy to identify because they are visible!)

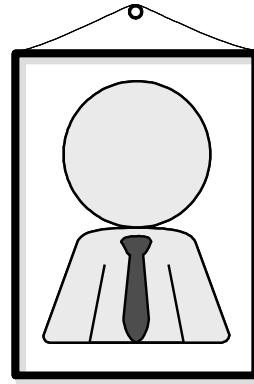
# Gallery of Workers + Tools



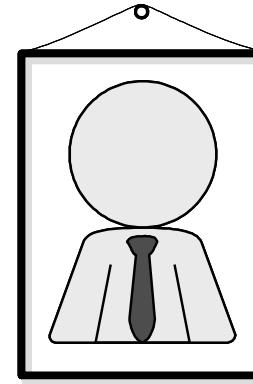
Window clerk



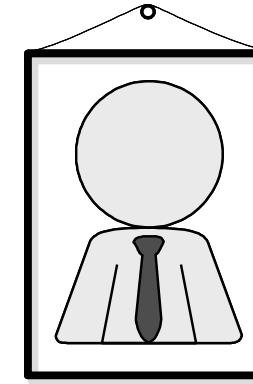
Datacenter  
liaison



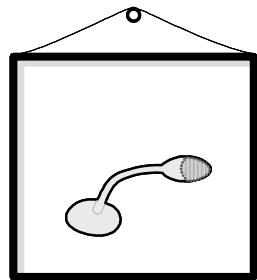
Bookkeeper



Safe keeper



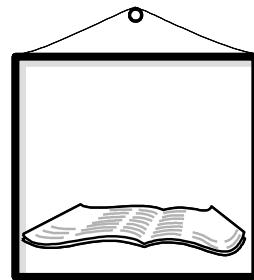
Dispenser



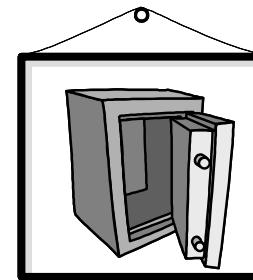
Speakerphone



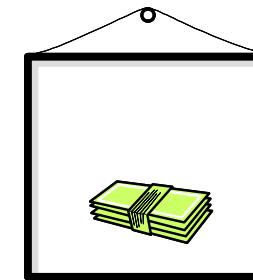
Telephone



Transaction  
record



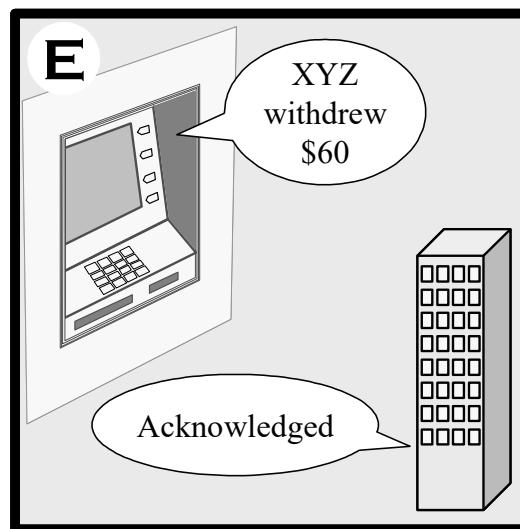
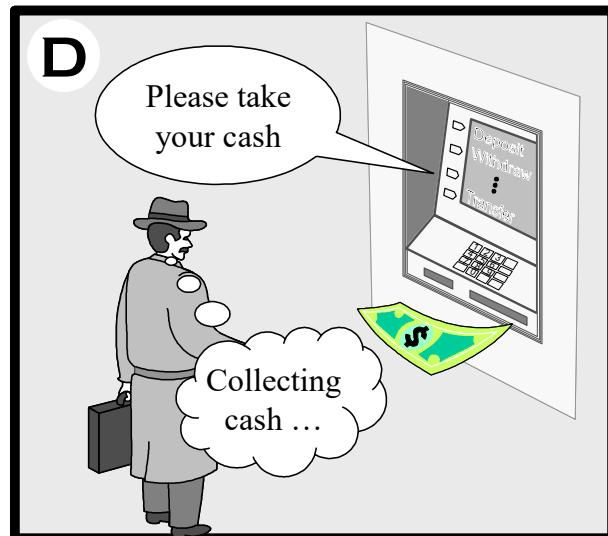
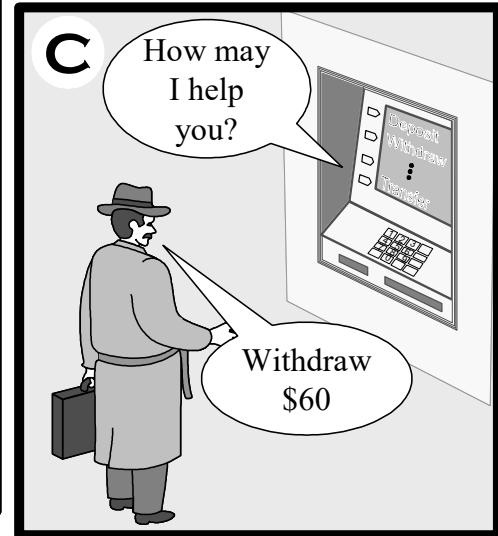
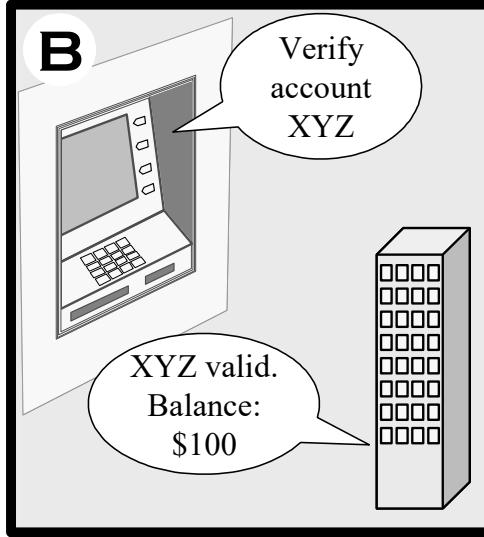
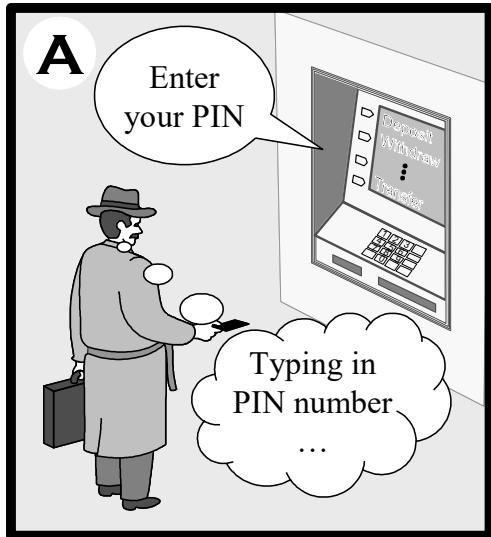
Safe



Cash

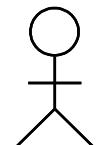
**Concepts** (Hard to identify because they are invisible/imaginary!)

# Use Case: Withdraw Cash

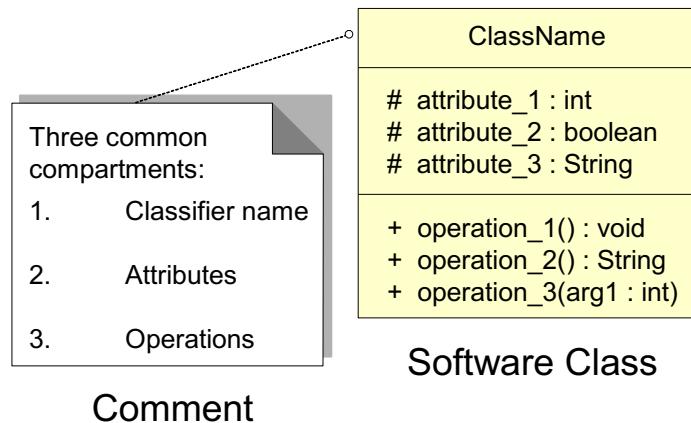


# UML – Language of Symbols

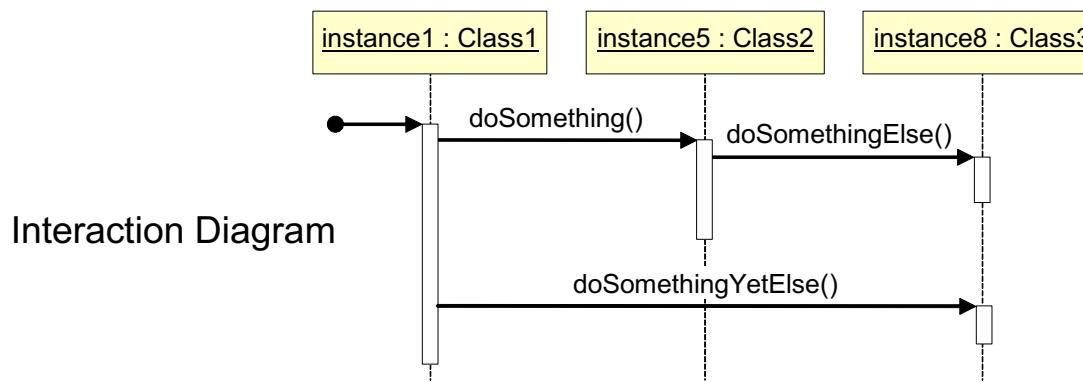
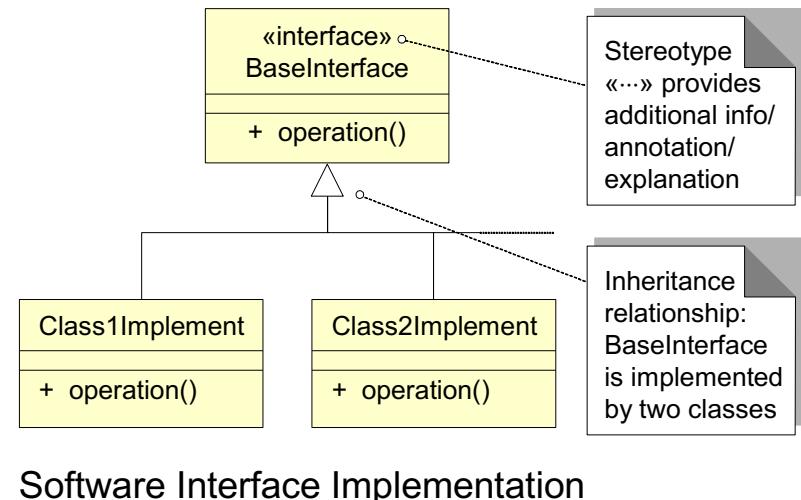
## — UML = Unified Modeling Language —



Actor



Comment



Online information:  
<http://www.uml.org>

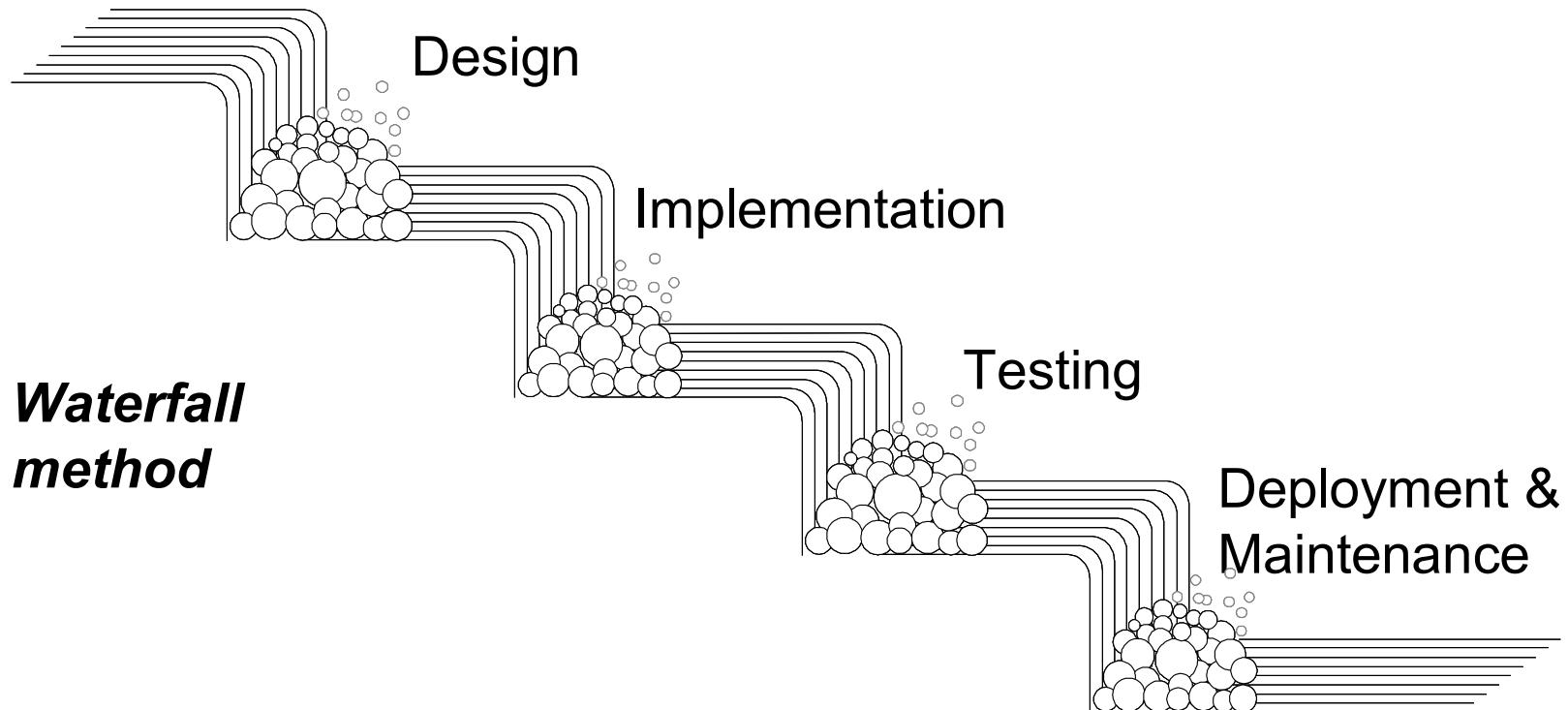
# How Much Diagramming?

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- ❑ Use **informal**, ad-hoc, **hand-drawn**, scruffy diagrams during early stages and within the development team
  - Hand-drawing forces economizing and leads to low emotional investment
    - Economizing focuses on the essential, most important considerations
      - Prioritize substance over the form
    - Not being invested facilitates critique and suggested modifications
  - Always take snapshot to preserve records for future
- ❑ Use **standardized**, neat, **computer-generated** diagrams when consensus reached and designs have “stabilized”
  - Standards like UML facilitate communication with broad range of stakeholders
  - But, invest effort to make neat and polished diagrams only when there is an agreement about the design, so this effort is worth doing
    - Invest in the form, only when the substance is worth such an investment

# Waterfall Method

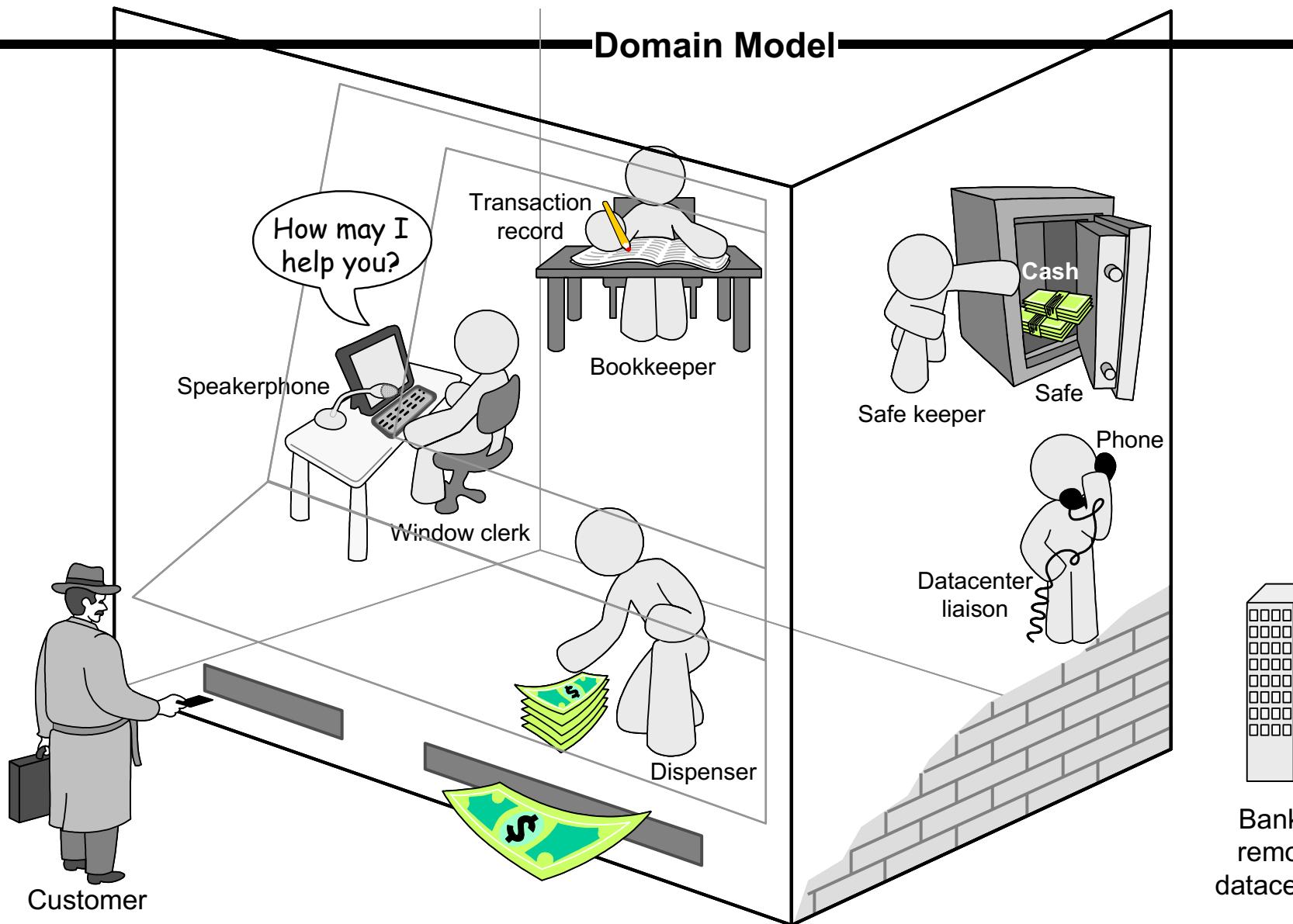
Requirements



**Each activity confined to its “phase”.  
Unidirectional, no way back;  
finish this phase before moving to the next**

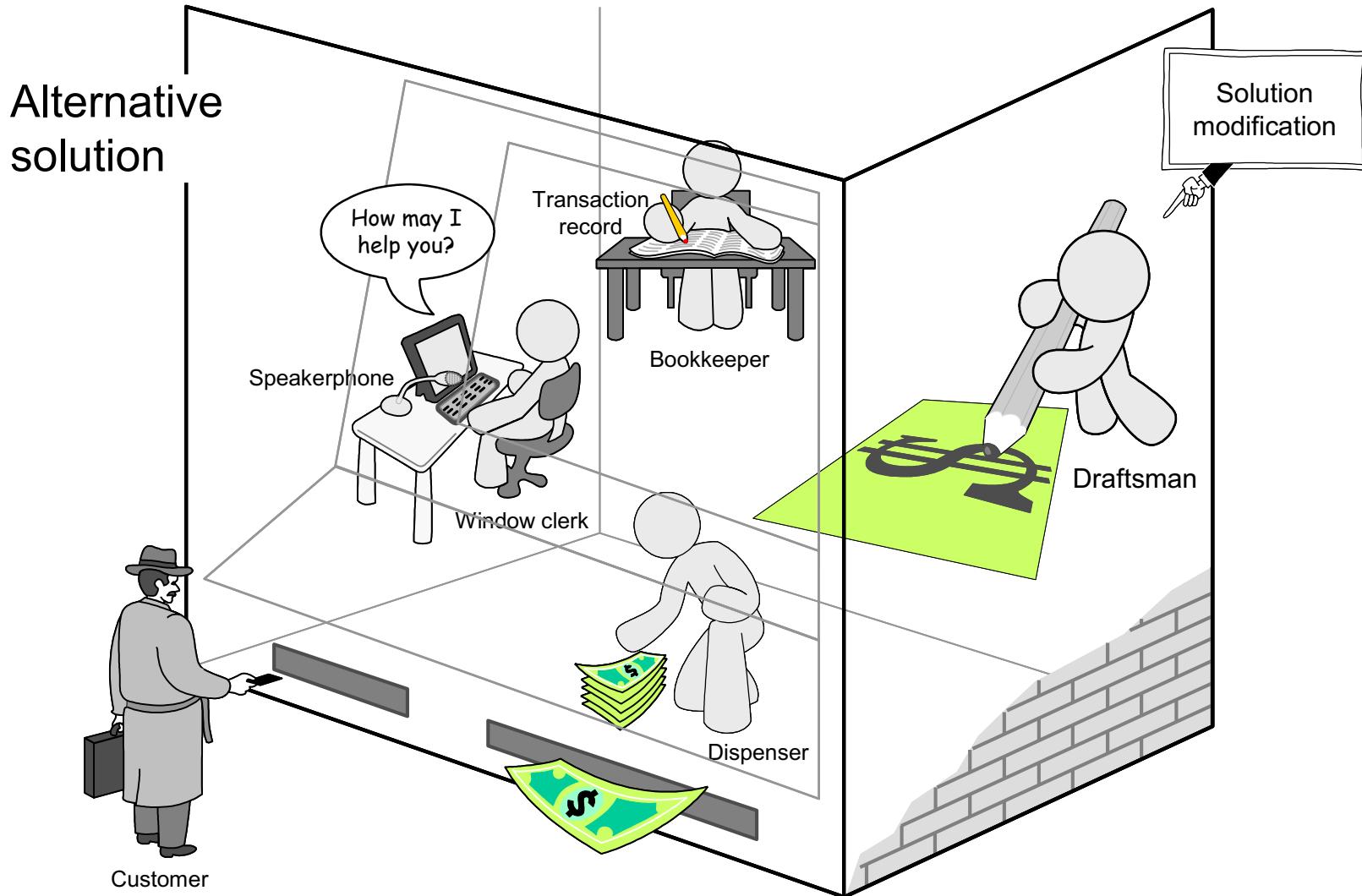
# How ATM Machine Might Work

Domain Model



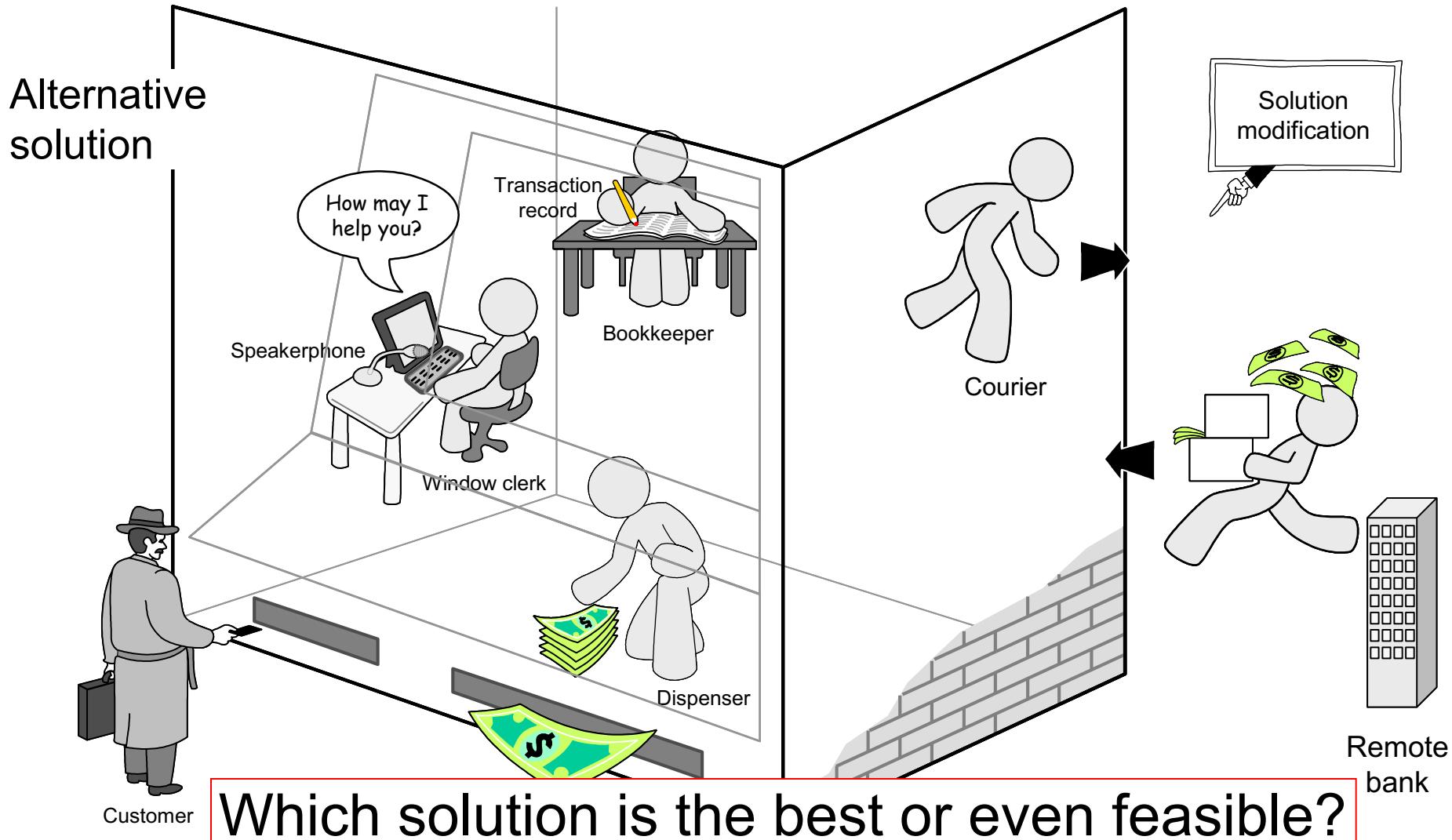
# How ATM Machine Works (2)

## Domain Model (2)



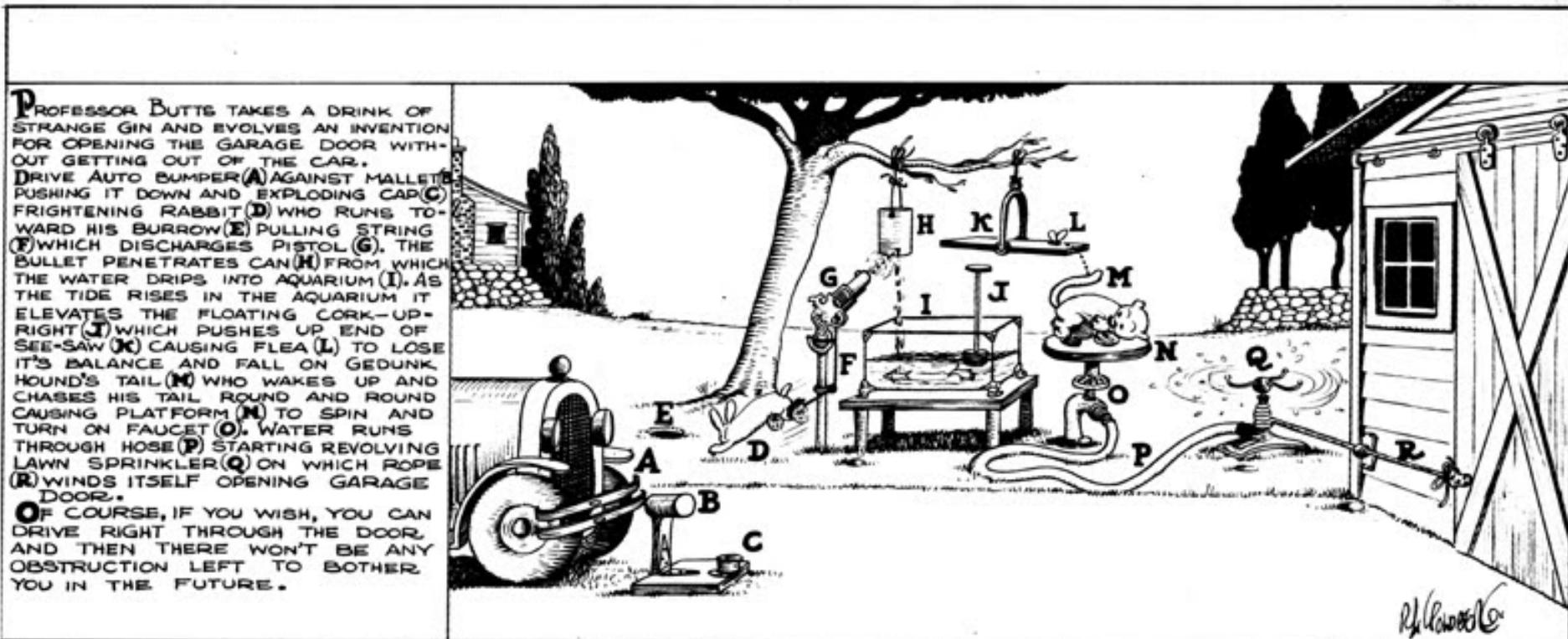
# How ATM Machine Works (3)

## Domain Model (3)

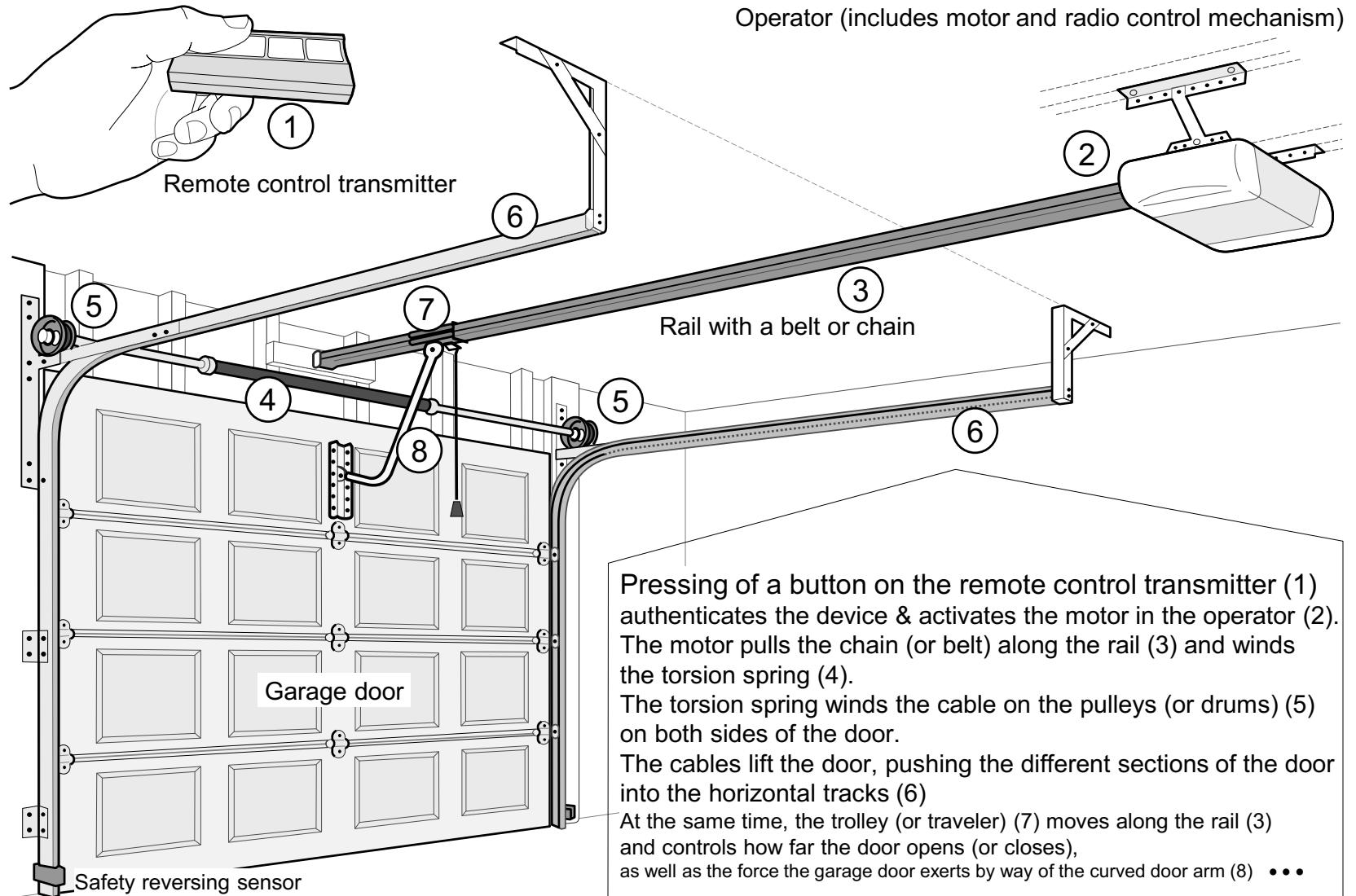


# Rube Goldberg Design

Garage door opener



# Actual Design



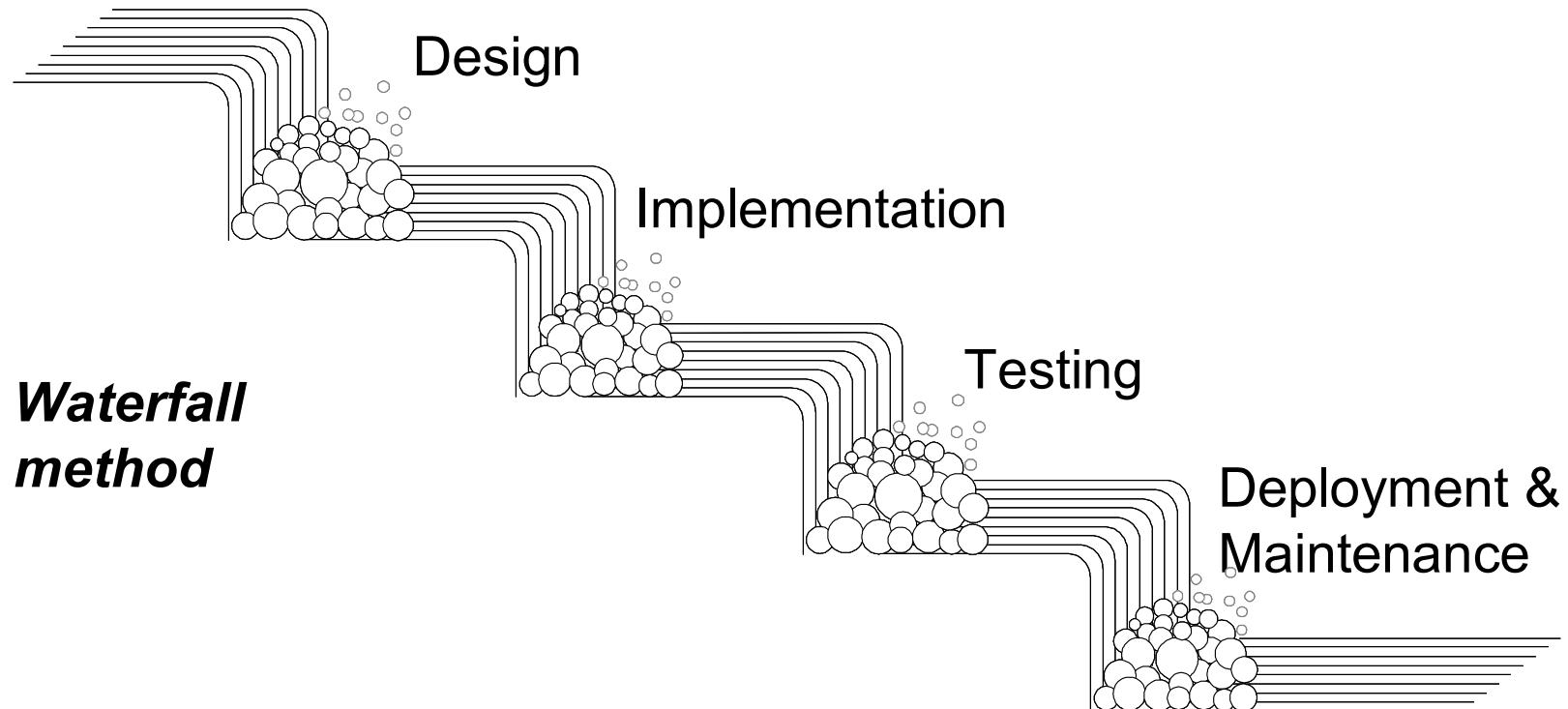
# Feasibility & Quality of Designs

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- ❑ Judging feasibility or quality of a design requires great deal of domain knowledge (and commonsense knowledge!)

# Waterfall Method

Requirements



**Each activity confined to its “phase”.  
Unidirectional, no way back;  
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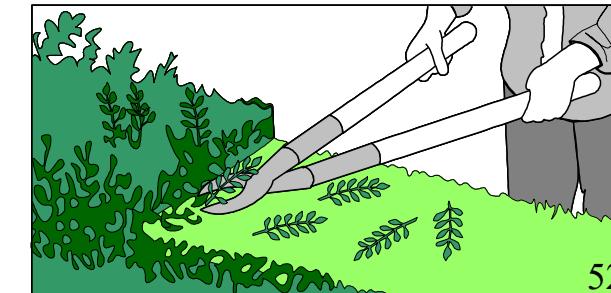
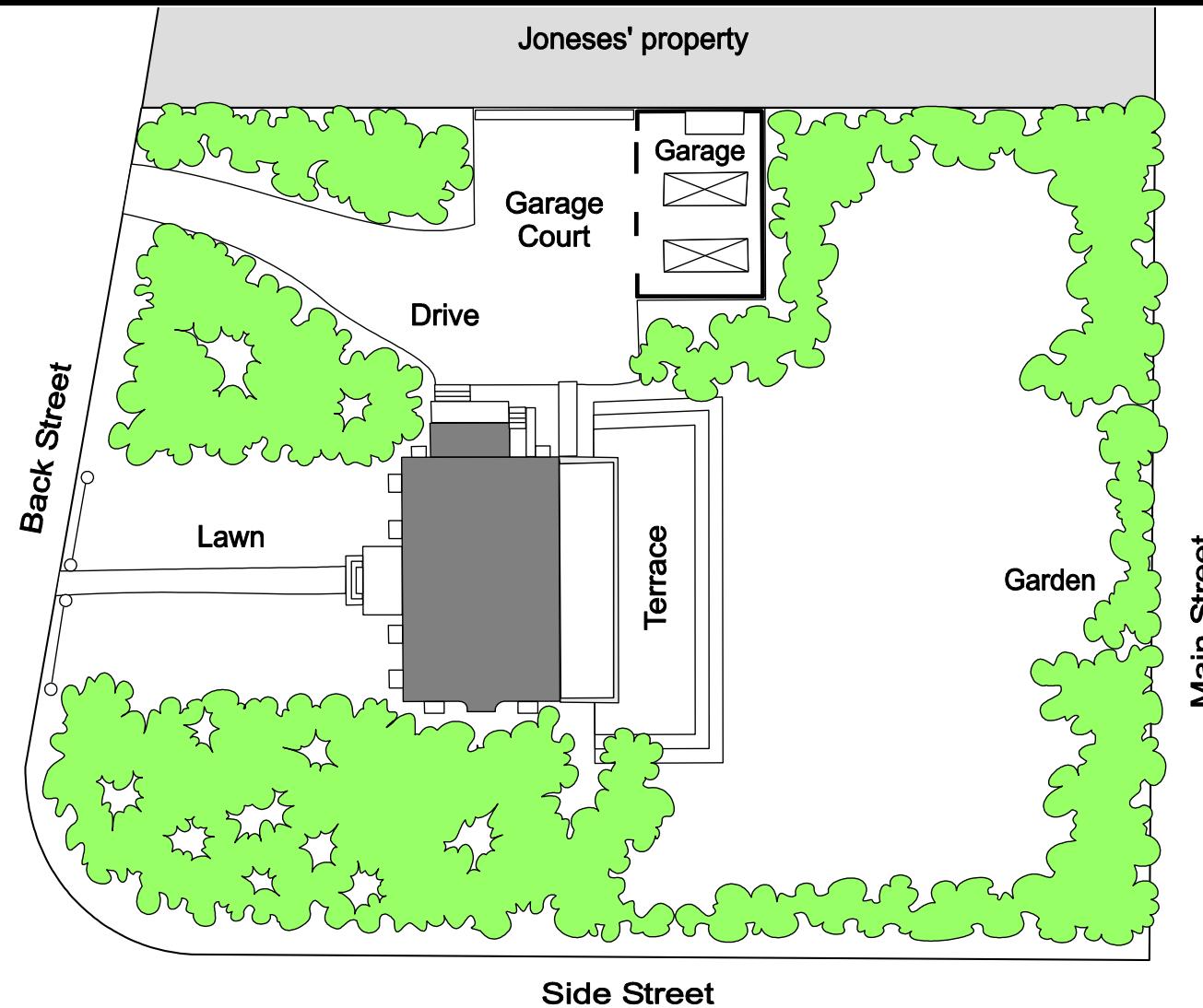
# Software Measurement

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## ❑ What to measure?

- Project (developer's work),  
for budgeting and scheduling
- Product,  
for quality assessment

# Formal hedge pruning



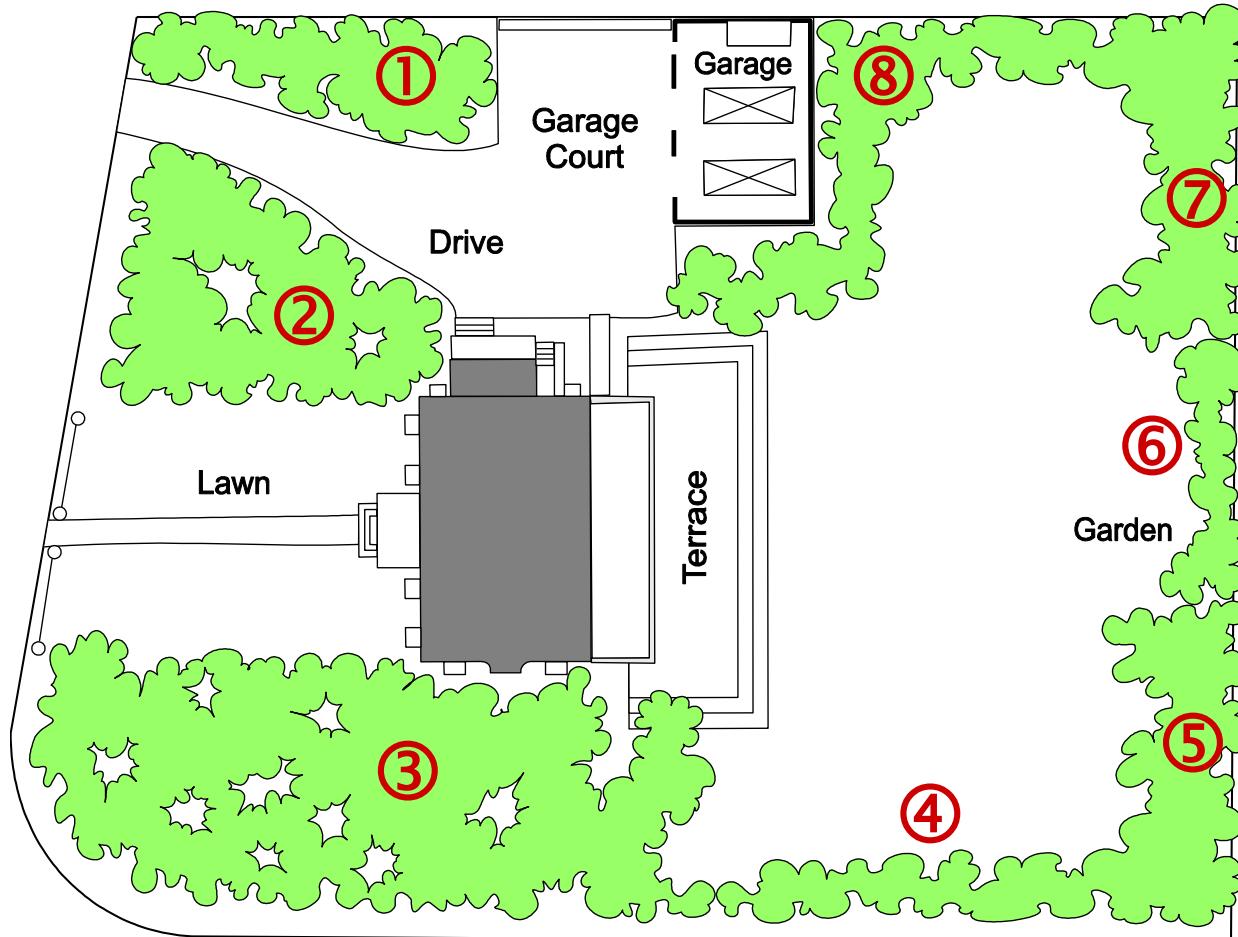
# Work Estimation Strategy

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1. Make initial guess for a little part of the work
2. Do a little work to find out how fast you can go
3. Make correction on your initial estimate
4. Repeat until no corrections are needed  
or work is completed

# Sizing the Problem (1)

Step 1: Divide the problem into *small & similar* parts



Step 2:  
Estimate *relative*  
sizes of all parts

$$\text{Size}( \textcircled{1} ) = 4$$

$$\text{Size}( \textcircled{2} ) = 7$$

$$\text{Size}( \textcircled{3} ) = 10$$

$$\text{Size}( \textcircled{4} ) = 3$$

$$\text{Size}( \textcircled{5} ) = 4$$

$$\text{Size}( \textcircled{6} ) = 2$$

$$\text{Size}( \textcircled{7} ) = 4$$

$$\text{Size}( \textcircled{8} ) = 7$$

# Sizing the Problem (2)

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- ❑ Step 3: Estimate the size of the total work

$$\text{Total size} = \sum \text{points-for-section } i \quad (i = 1..N)$$

- ❑ Step 4: Estimate speed of work (velocity)

- ❑ Step 5: Estimate the work duration

$$\text{Travel duration} = \frac{\text{Path size}}{\text{Travel velocity}}$$

# Sizing the Problem (3)

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## ❑ Assumptions:

- Relative size estimates are accurate
  - That's why parts should be small & similar-size!

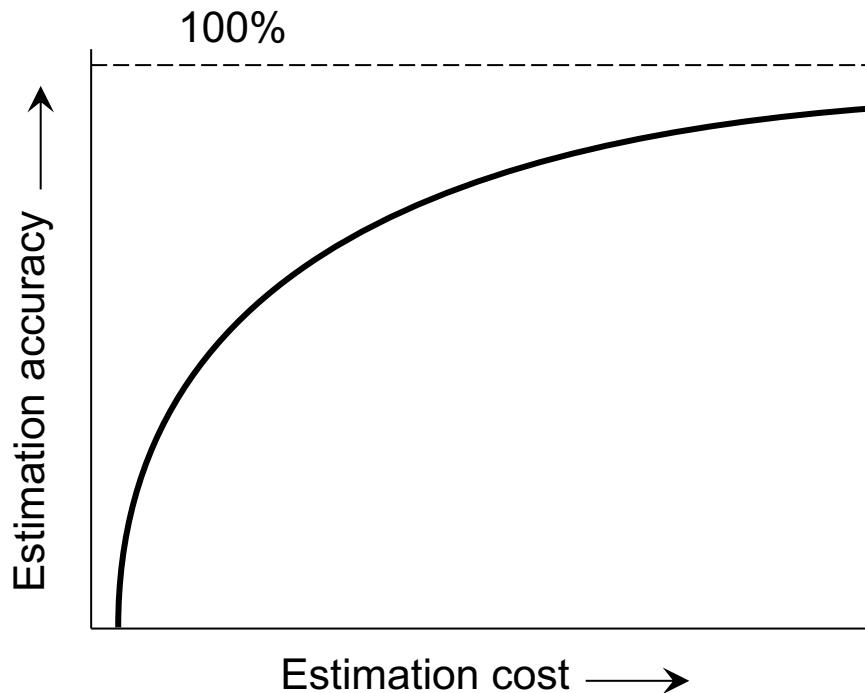
## ❑ Advantages:

- Velocity estimate may need to be adjusted (based on observed progress)
- However, the total duration can be recomputed quickly
  - Provided that the relative size estimates of parts are accurate
    - accuracy easier achieved if the parts are small and similar-size

## Unfortunately:

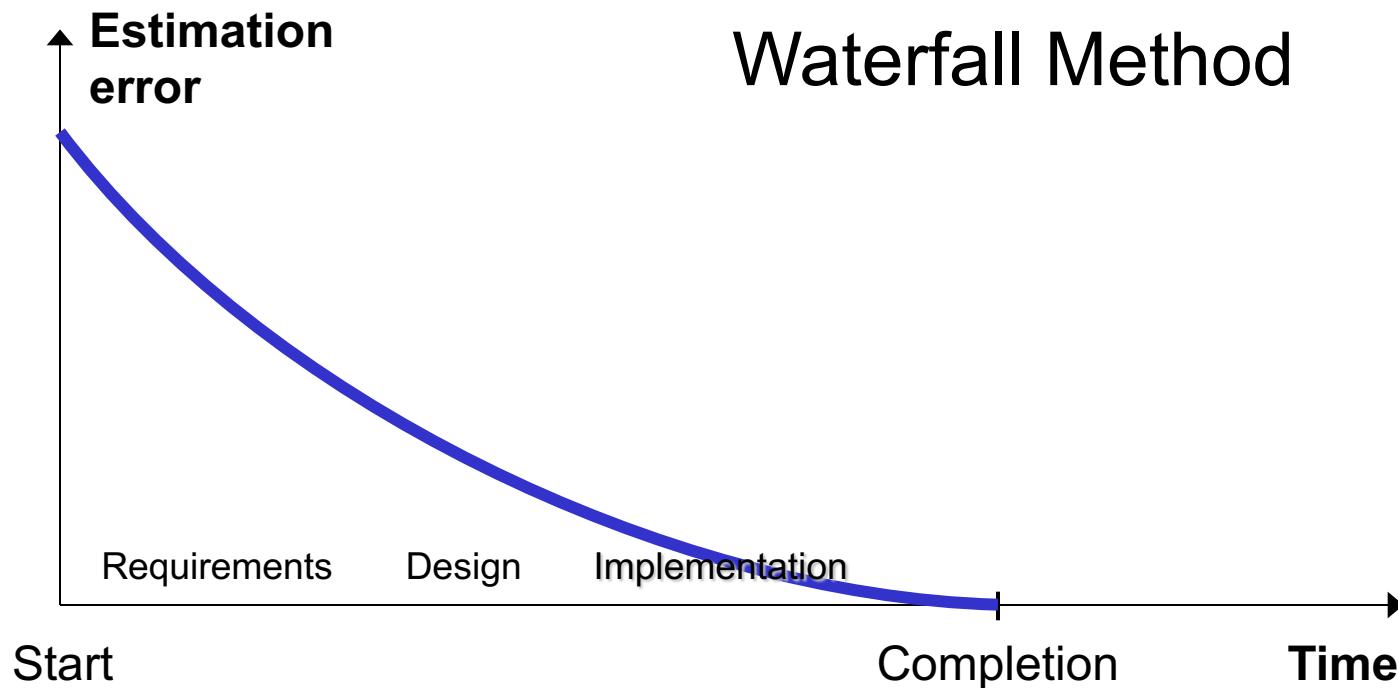
- ❑ Unlike hedges, software is mostly **invisible** and **does not exist** when project is started
  - ➔ The initial estimate hugely depends on experience and imagination

# Exponential Cost of Estimation



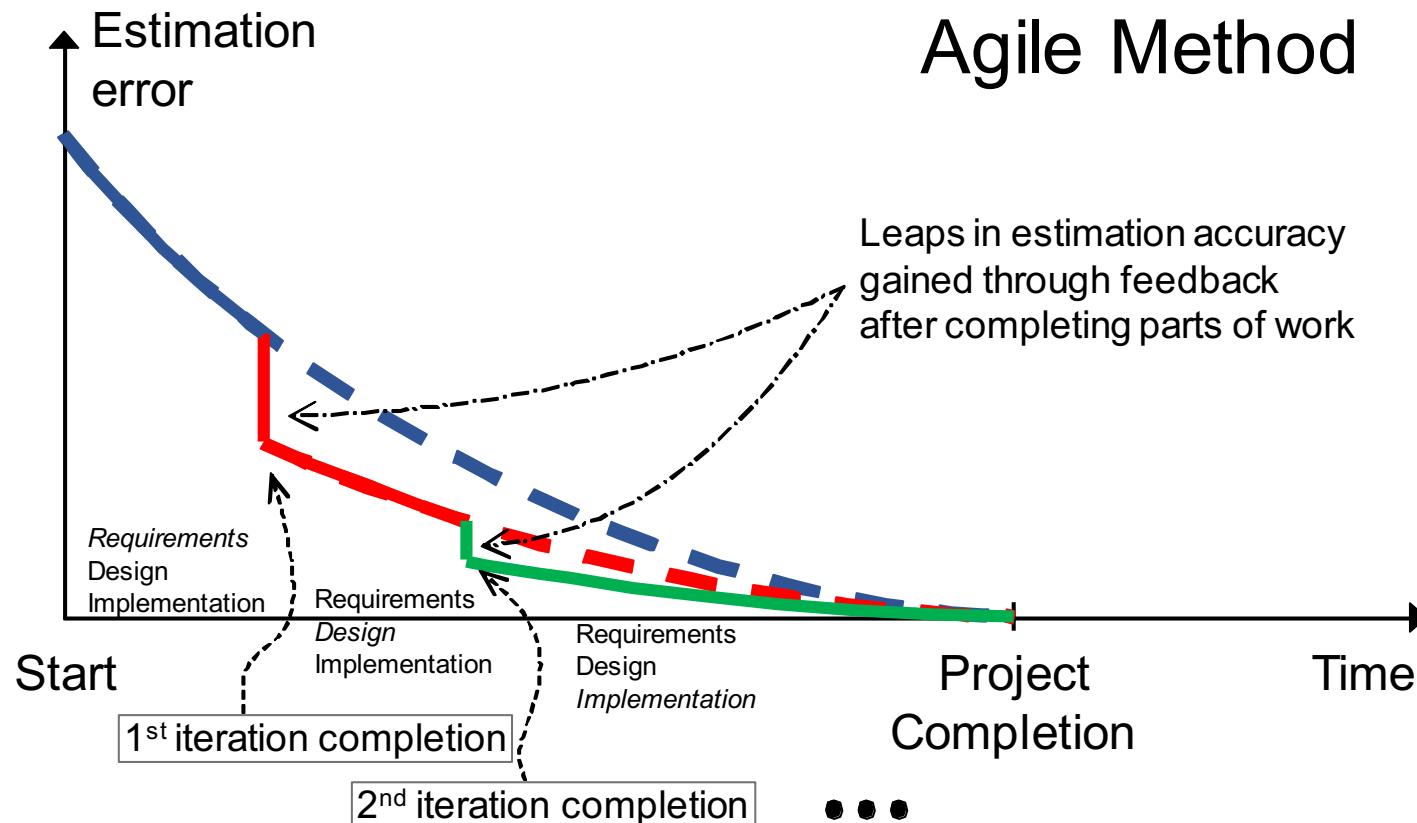
- Improving accuracy of estimation beyond a certain point requires huge cost and effort (known as the law of diminishing returns)**
- In the beginning of the curve, a modest effort investment yields huge gains in accuracy**

# Estimation Error Over Time



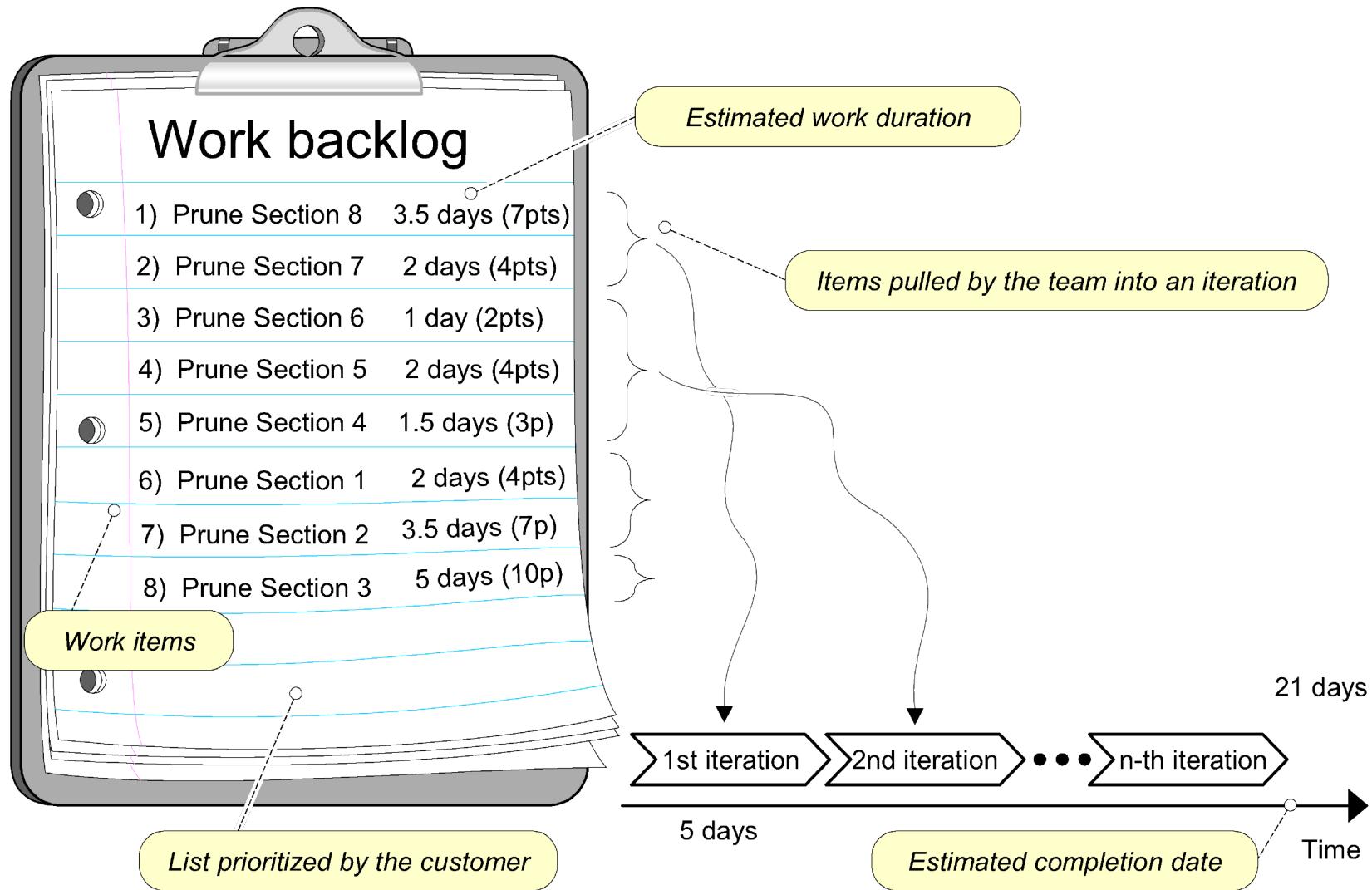
Waterfall method *cone of uncertainty* starts high and *gradually* converges to zero as the project approaches completion.

# Estimation Error Over Time

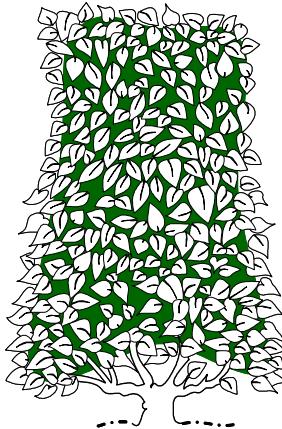


Agile method *cone of uncertainty* starts high and *in leaps* converges to zero as the project approaches completion.

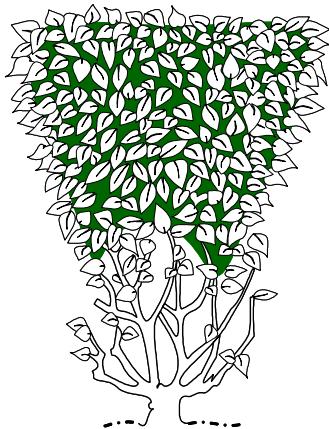
# Agile Project Effort Estimation



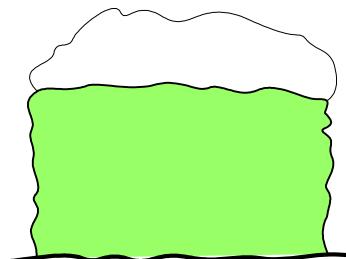
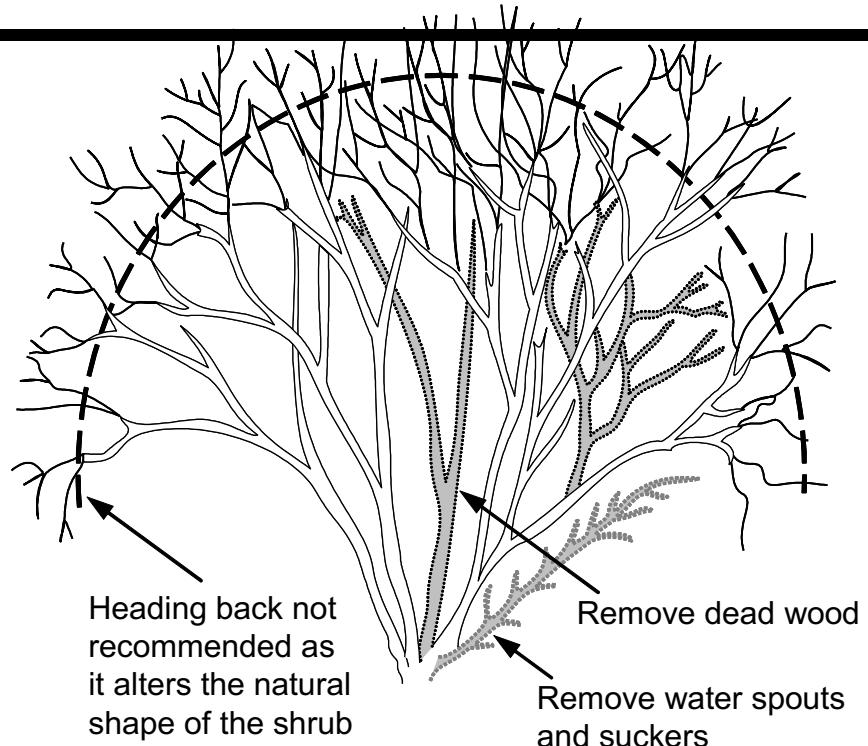
# Measuring Quality of Work



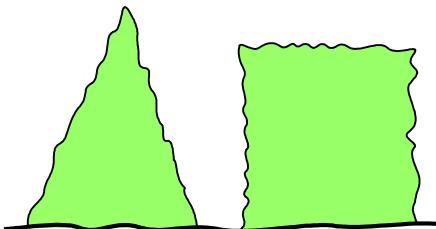
Good Shape  
(Low branches get sun)



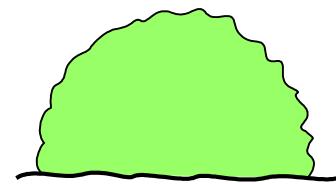
Poor Shape  
(Low branches shaded from sun)



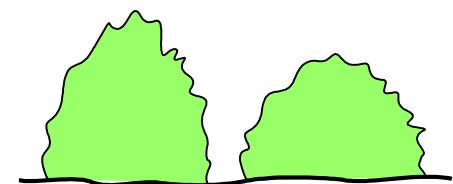
Snow accumulates on broad flat tops



Straight lines require more frequent trimming



Peaked and rounded tops hinder snow accumulation



Rounded forms, which follow nature's tendency, require less trimming

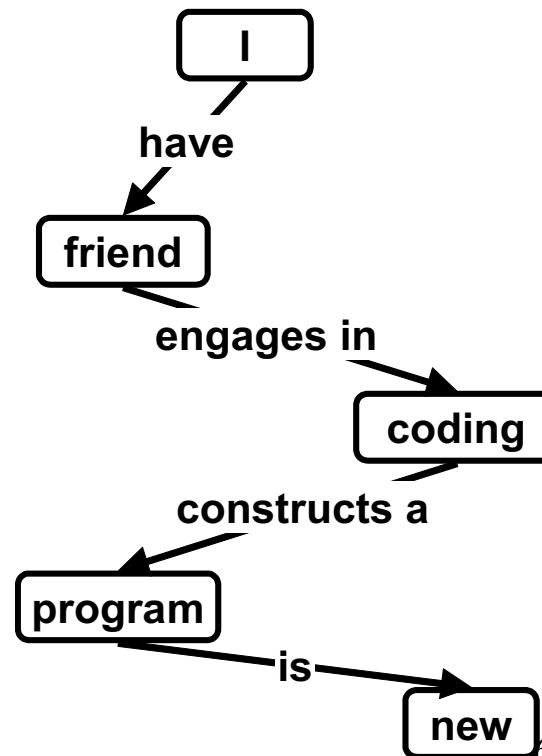
# Concept Maps

Useful tool for problem domain description

SENTENCE: “My friend is coding a new program”

translated into propositions

Proposition	Concept	Relation	Concept
1.	I	have	friend
2.	friend	engages in	coding
3.	coding	constructs a	program
4.	program	is	new

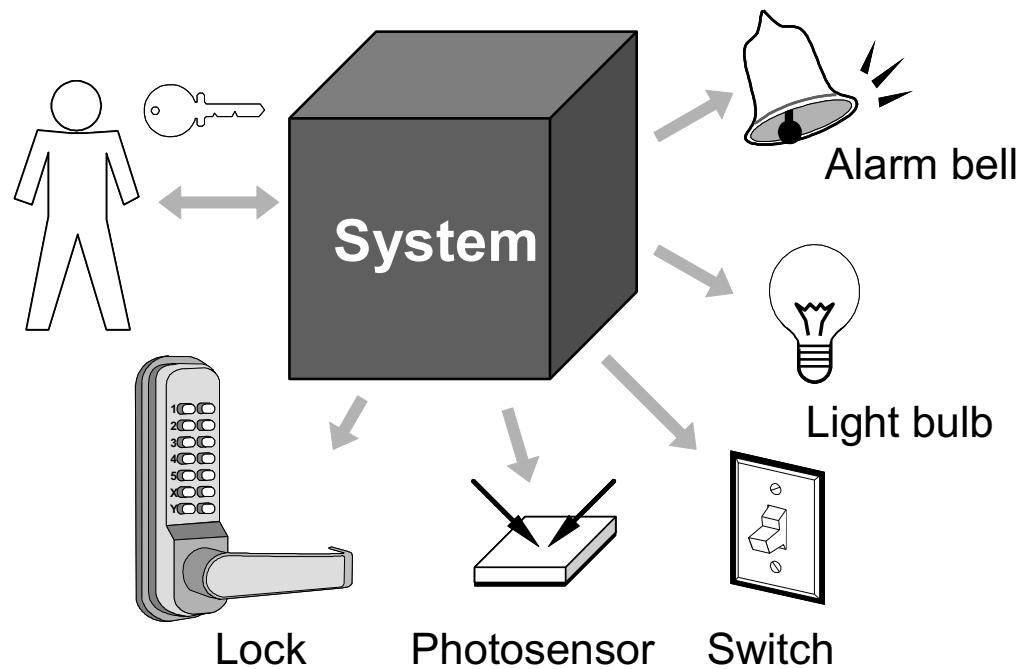


Search the Web for Concept Maps

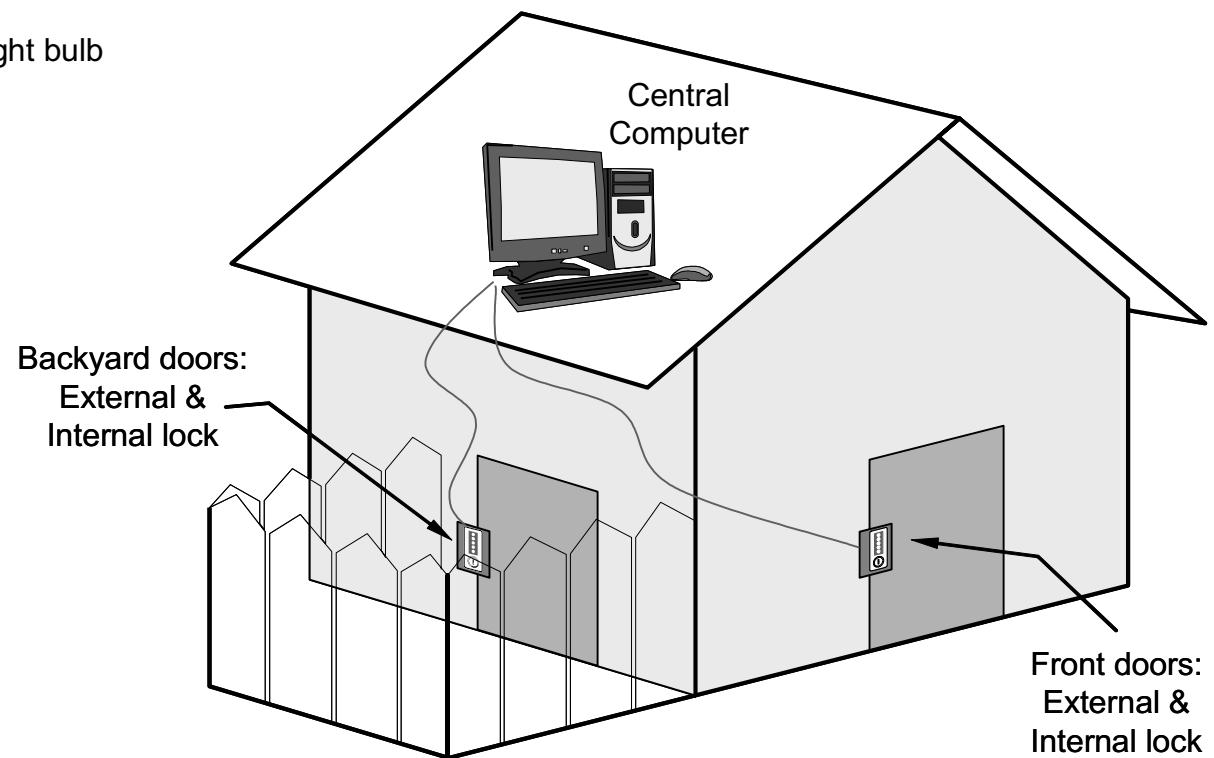
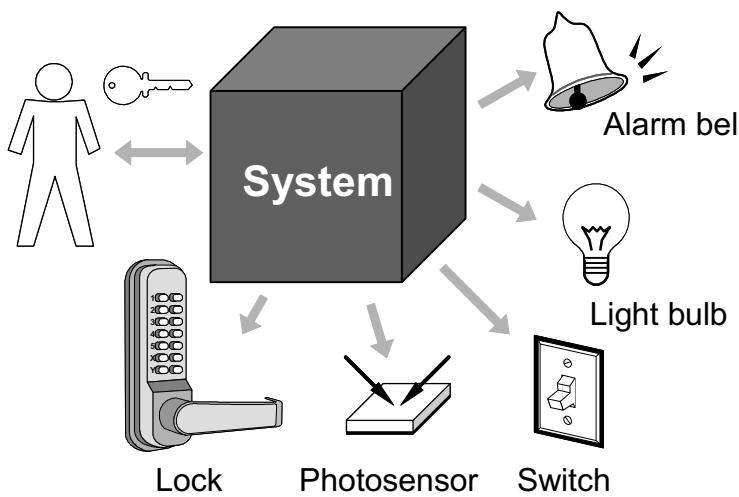
# Case Study: Home Access Control

❑ Objective: Design an electronic system for:

- Home access control
  - Locks and lighting operation
- Intrusion detection and warning

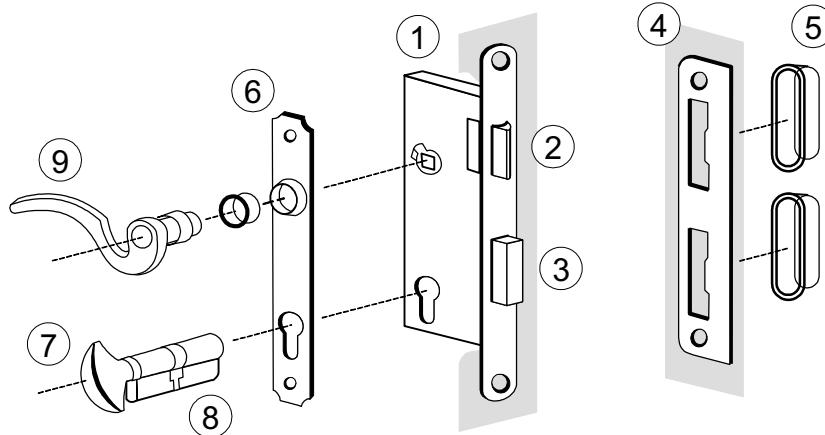


# Case Study – More Details

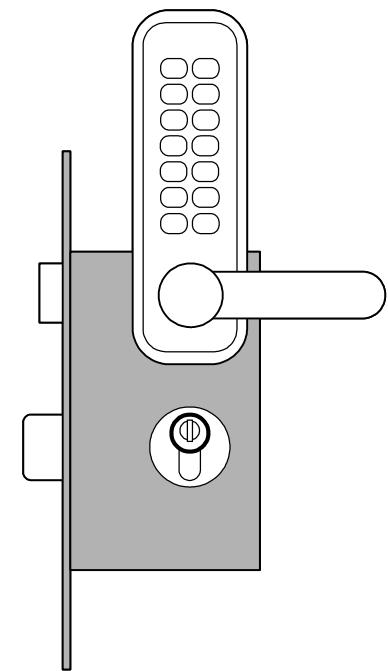
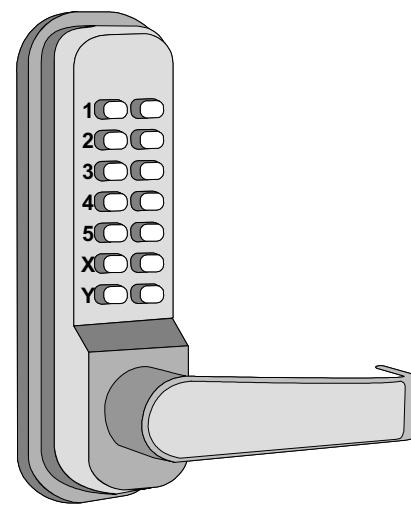


# Know Your Problem

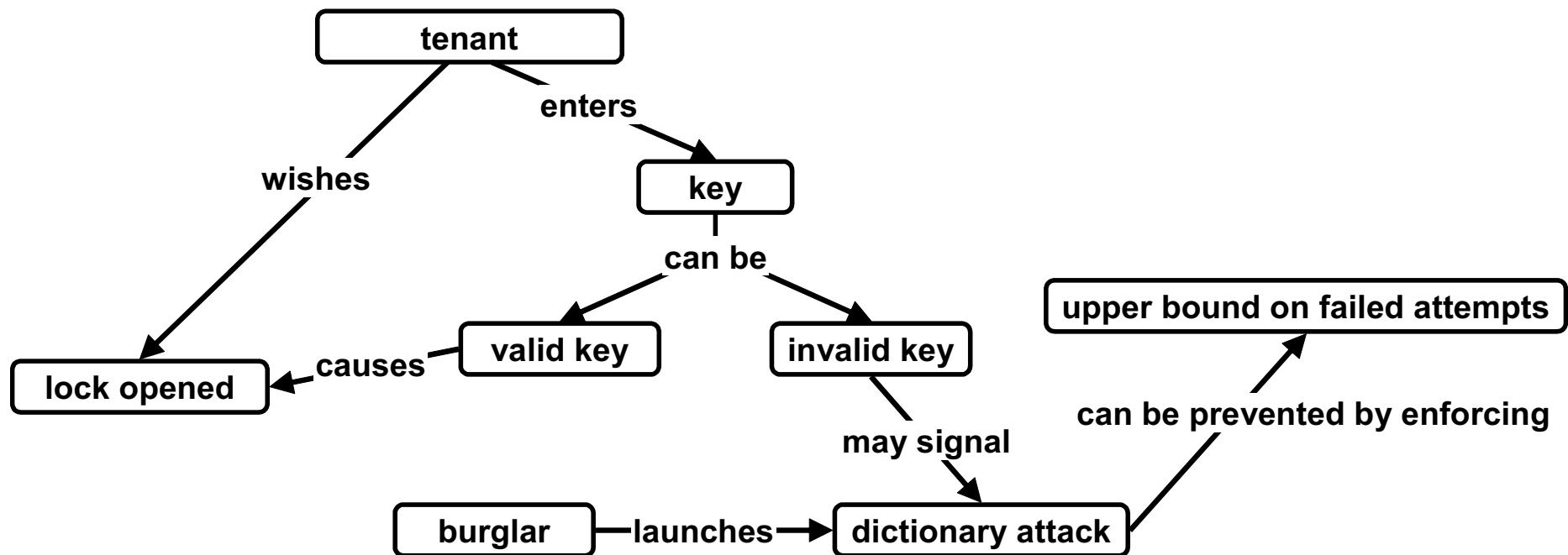
## Mortise Lock Parts



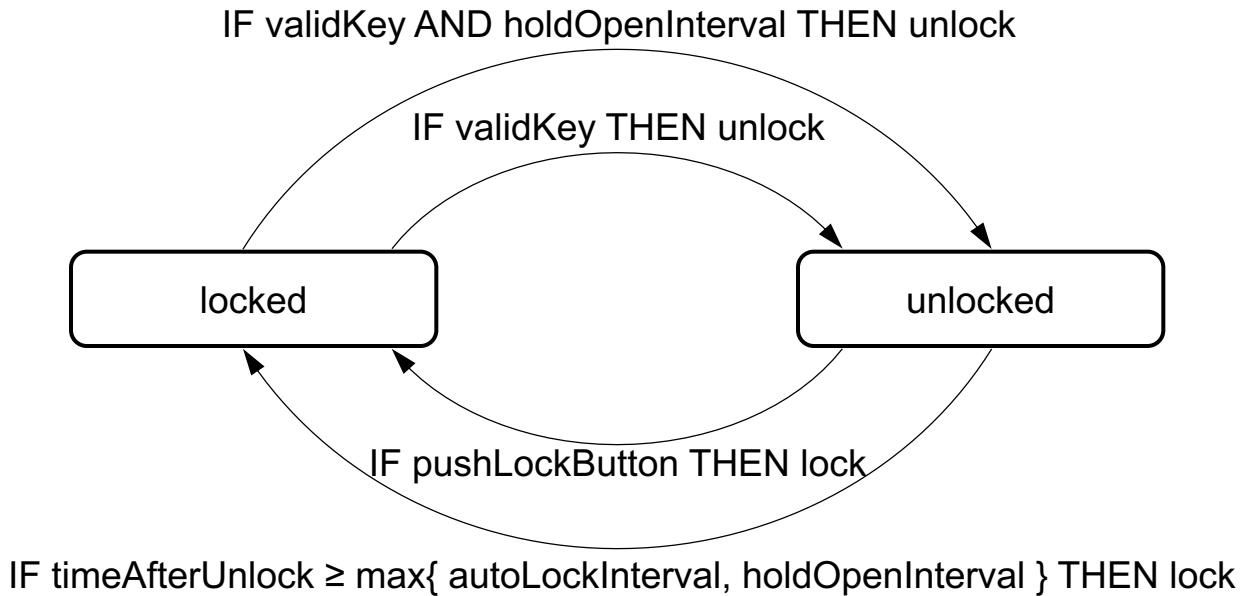
- ① Lock case
- ② Latch bolt
- ③ Dead bolt
- ④ Strike plate
- ⑤ Strike box
- ⑥ Protective plate
- ⑦ Thumb-turn
- ⑧ Lock cylinder
- ⑨ Left hand lever



# Concept Map for Home Access Control



# States and Transition Rules



... what seemed a simple problem, now is becoming complex