## **Design Project**

#### **Tristan Kuisis**

School of Electrical & Information Engineering, University of the Witwatersrand, Private Bag 3, 2050, Johannesburg, South Africa

Abstract: Abstract Here

**Key words:** Keywords

### 1. INTRODUCTION

Over the last six decades, man has continuously propelled man made objects into space [1]. These objects have been said to exceed speed of 66km/s [2], however, these extremely fast objects often leave the earths orbit and travel into outer space. Since the dawn of space exploration, many countries around the world have attempted, and in many cases, successfully deployed man made objects into the Earths upper atmosphere and into orbits around the Earth.

The organisations running these missions, in the early years, did not have sets of guidelines on how the missions should be carried out. In addition to this, there were many decades, during the Cold War era, that countries and organisations took extreme measures to make these missions a success. Many of the missions involved leaving many parts of the space craft in the Earths orbit [3]. Consequently, the number of debris orbiting the Earth grew over the years. This was not thought to be an issue to the world at large as the belief was that space is immense and so there were no issues with using it as a dumping ground. It was not until the realisation by Kessler that the world began to worry [4]. This theory predicted that as the number of man made satellites (and other objects) in Earths orbit increases, so does the probability of collisions between them increase. When orbiting debris collide, the two objects can fragment (due to the excessive relative speeds with which the two objects travel at) and case multiple cascading collisions. This means that the debris orbiting the Earth would increase and result in greater difficulty for active space craft to undertake their missions. Protecting active missions from this space debris is highly difficult as it is difficult to predict the density of objects in the specific orbit that the missions device should be in.

Guidelines were developed by NASA in the late 70's, however, this simply slowed the rate of introduction of debris and this did nothing to reduce the currently orbiting debris [3]. Recently, the United Nations General Assembly managed to get agreement between a number of countries to reduce the introduction of this debris [5].

There have been a number of methods in which to deal with this issue. The first is to protect the current missions from this debris, the first of these is to make use of a Whipple shield, this is simply an outer coating of space craft that is able to protect the craft against high velocity impacts of objects in outer space [6]. This shield is built to protect the craft from impacts from micrometeoroids in space. This method falls short when the debris becomes large enough to penetrate the craft (and the Whipple shield), resulting in the destruction/damage of the craft.

A recent system is capable of removing debris from the Earths orbit, however, this is still in its early stages and has yet to prove highly effective [7]. The next method that systems currently make use of is object avoidance. This, however, is coupled with the fact that the location and trajectory of debris in orbit should be known and tracked. This forms the major task of this report. Current solutions

make use of either optical sensors which makes use of advanced telescopes in order to visually detect the debris. This system has a major drawback based on the fact that it can only be used during dawn and dusk hours [8–10]. The second implementation makes use of radar techniques. This is the method which is implemented in this system.

This report begins with explaining the background to the task given, this includes an explanation of how the radar system works (in principle). This is then followed up with a description of the space debris as well as a number of calculations on the characteristics of this debris.

Once the debris has been characterised, current implementations used for this application are analysed and compared.

\*\*\* Need more here on the structure of the report.

### 1.1 Background

Due to the increase in Earth-to-Space launches currently taking place globally, it has become pertinent for space agencies to determine the optimal launch times and characteristics of their missions in order to avoid space debris currently in orbit. This signals the requirement of systems that are capable of detecting this debris from the ground. The aim of the system is such that it is able to detect and track this space debris with the use of phased array radars. A number of assumptions are created in order to carry out the design of this system, these are discussed in the following sections. The idea behind radars is such that an electromagnetic (EM) pulse is created and then launched in a specified direction, this EM wave travels in the specified direction and comes into contact with an object. This object then proceeds to scatter these EM waves in a number of directions. The characteristics of this scattering are discussed in minimal detail in order to characterise the object. The system is then used to receive these scattered EM waves and an assumption is created in order to detect and then analyse the signals to identify the object and determine its parameters. It is the design of this system that is undertaken throughout this report.

### 1.2 Space Debris

As discussed in section 1., space debris in Earths orbit has been increasing for many decades, this is mainly due to space missions with poor regulations on the material that is allowed to stay in Earths orbit. The majority of space debris currently is made up of man made air craft components, this includes objects such as: funcioning space craft, non-functional spacecraft, rocket bodies, exhaust products, objects created through deployment operations, and products of deteriorated space craft [8]. Consequently, a large amount of this debris is made up of metallic materials as these materials make up the majority of the space crafts' components. It has, in the past [11], been difficult and costly to retrive the components which were used to get the space craft into orbit, this is a major contributer to the material. This debris can occupy a number of regions within the Earths orbits and this changes its characteristics. The current scope of the project is that it should be capable of tracking space debris that is within the Low Earth Orbit (LEO). This orbit is defined to be approximately 160km to 2000km altitude above the Earths mean sea level.

1.2.1 Space Debris Trajectory Characteristics The second definition of these orbits is based on orbital mechanics, this states that if an object is within an orbit, then it will have a corresponding velocity. This is illustrated by equation 1.

$$V_{Object} = \sqrt{\frac{GM_{Earth}}{r_{Earth} + r_{Altitude}}} \tag{1}$$

Where:

- $\bullet$   $V_{Object}$  is the radial velocity of the object
- G is the gravitational constant  $(6.67408 \cdot 10^{-11} m^3 kg^{-1}s^{-2})$
- $M_{earth}$  is the mass of the Earth  $(5972 \cdot 10^{24} kg)$
- $r_{Earth}$  is the mean radius of the Earth
- r<sub>Altitude</sub> is the altitude of the object above the mean radius of the Earth

This allows for the simple calculation of the minimum and maximum orbital speeds expected from the objects which correspond to the maximum altitude and minimum altitude respectively. These are illustrated in table 1. The velocity in the table is stated as a tangential velocity as it is assumed that the radial velocity of the object is zero. If an object has a radial velocity component, then this implies that it is changing orbits (an object in a specified orbit will have zero radial velocity) and this is either taking place due to a force acting on the object (man made) or it is experiencing a force due to the Earths atmosphere. The vectors which explain this movement of an object is illustrated in figure 1.

The objects orbiting within these ranges have corresponding periods (amount of time it takes for an object to orbit around the Earth once), this means that an object in the lower orbit has a higher period than that of the higher orbits. The periods for the two limits of the LEO objects are also found in table 1.

Based on a number of physical limitations, the system implemented will only be capable of detecting the space debris once it appears within the field of view (FOV). The FOV is discussed in section 9.1. Figure 2 illustrates the system and how its relation to the Earth. The inner circle represents the Earths surface and point P represents the position of the system on the Earths surface. The outer circule represents the path of an object orbiting the Earth. The lengths in this image are not to scale and do not represent the real situation, however, this is used for illustrative purposes. It is highly important to determine the amount of time it takes from when the object first enters the systems FOV, until the point where it exits the systems point of view. In this case it is assumed that the object travels directly over the boresite of the system and so it will take the maximum amount of time to travel over the field of fiew. In most cases, the objects do not travel directly over the FOV, and will therefore not be within the FOV for a reduced amount of time. This illustrates the system in order to create a few starting assumptions/criteria for the system. As can be seen in this image, the angle that is measured can be taken from two different reference points: the center of the Earth and the point P. Based on this, it can be seen that the angles that these two points create are different. This implies that if one were to calculate the maximum distance that an object can be detected (assuming a maximum FOV angle from point P), one cannot make use of the angle  $\alpha$  and the distances of this arc as it would not represent the actual distances of the objects because the circle that the objects orbits around is different to the circle that is assumed the system makes use of. Numbers 1 and 3 represent the points at which the object enters and leaves the FOV, and point 2 represents the position of the systems zenith.

The calculations in this section make use of a specified mean Earth radius, this implies that the observer is positioned at approximately sea level, this is unlikely to be the case for the placement of the system, however, the maximum altitude of feasible locations within South Africa are unlikely to exceed  $\sim 1500m$ , this change in distance will provide a negligible difference to the calculated values.

Based on the movement of objects in differing orbits, it can be seen that objects in higher orbits move slower than those in lower orbits. The objects move with a specific velocity, as illustrated in table 1,

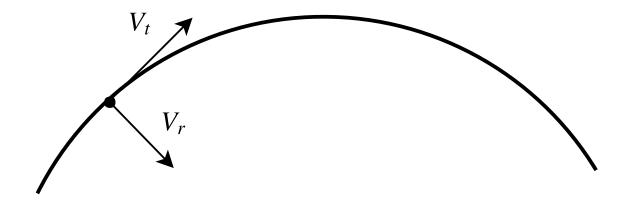


Figure 1: Object Velocity Vectors

this is measured in linear velocity, however, it is also important to represent the motion of these objects with the use of their angular velocity, the angular velocity is simply found with the use of equation 2.

$$\omega = \frac{v}{r} \tag{2}$$

Where:

- $\omega$  represents the angular velocity of the object (Radians per second)
- $\bullet$  v represents the linear velocity of the object
- $\bullet$  r represents the distance from the observer to the object

Once this has been estimated, it is important to evaluate the angle travelled by the object as seen from the observation point  $(\alpha_p)$ . This is measured over a period of time  $(\Delta T)$  and the angular velocity

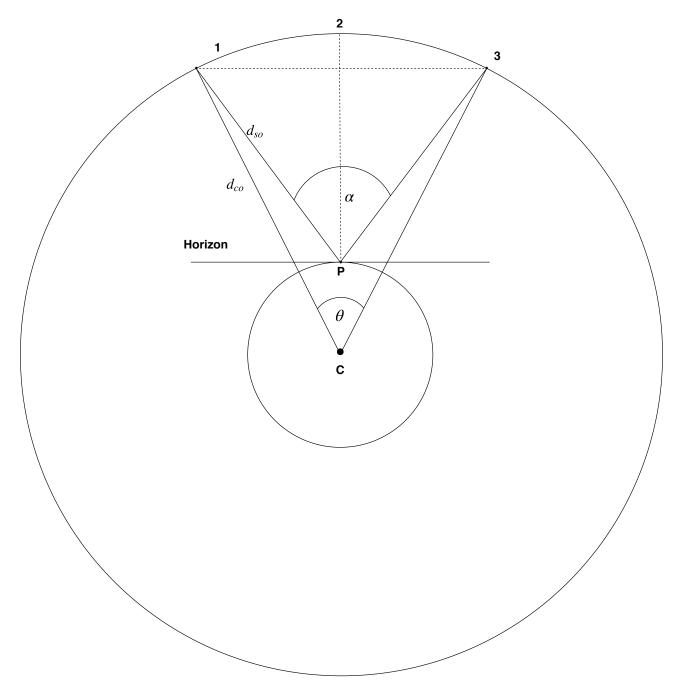


Figure 2: Observable Characteristics

calculated above  $(\omega_P)$  is used, this is found in equation 3

$$\omega_P = \frac{\theta_P}{\Delta T} \tag{3}$$

Where:

- $\omega_P$  is the same as before
- $\bullet$   $\theta_P$  represents the angle of the object seen from the observation point
- $\bullet$   $\Delta T$  represents the amount of time that it takes the object to traverse the angle

This now allows for the calculation of the linear velocity of the object as seen from the observation point (it is the same if the center of the Earth is used as the reference point). This velocity is calculated

with the use of equation 4.

$$v = \omega_P h \tag{4}$$

Where:

- v is the linear velocity of the object (m/s)
- $\omega_P$  represents the apparent angular velocity of the satellite (Radians)
- h represents the altitude of the object

The use of the two equations above require the values for the amount of time that it takes for an object to enter the FOV of the system and exit it again. This is found with the use of equation 5 [12].

$$t_t = \frac{r^{\frac{3}{2}}}{\sqrt{GM}} (\pi - 2E - 2asin(\frac{R}{r}cos(E)))$$

$$\tag{5}$$

Where:

- $t_t$  is the amount of time that an object is in the FOV of the system
- r is the geocentric distance of the object (distance of the object above mean sea level)
- G is the gravitational constant
- M is the mass of the earth
- E represents the elevation of the object above the horizon of the system

The elevation of the system is important as it relates to the FOV of the system. The two are interrelated by the fact that the elevation angle is the complementary angle of the maximum FOV of the system. This implies that if the elevation of the system is known, then the FOV of the system can be calculated.

In table 1, the elevation angle is assumed to be approximately 60°, this is a first estimate of the FOV of the system, further analysis of the system FOV of the system can be found in 9.1. This elevation angle creates a maximum amount of time that the object is visible. This implies that the object travels directly over the boresight of the system. In most circumstances, the objects will not travel directly over the boresight and will therefore be within the FOV for less time than stated in this table.

This creates the ability to calculate the observed velocity of the object as it passes over the FOV of the system, this is illustrated in figure 6.

$$v = \omega_P h \tag{6}$$

Where:

- v is the linear velocity of the object (m/s)
- $\omega_P$  represents the apparent angular velocity of the satellite (Radians)
- h represents the altitude of the object

In addition to this, with an assumed elevation angle, the expected distances for the objects can be found, these are calculated making use of equation 7 [12].

$$\rho = R(\sqrt{\frac{r^2}{R^2} - (\cos(E))^2} - \sin(E)) \tag{7}$$

Where:

ullet ho is the slant distance from the system to the object

Table 1: Object Parameters

Minimum Object Altitude	160km
Maximum Object Altitude	2000km
Minimum Object Slant Distance	184km
Maximum Object Slant Distance	2223.8km
Minimum Object Tangential Velocity	6897.4m/s
Maximum Object Tangential Velocity	7807.9m/s
Minimum Angular Velocity	0.0034 rad/sec
Maximum Angular Velocity	0.0488 rad/sec
Elevation Angle (assumed for illustration)	60°
Angle (Measured from Zenith)	$30^{\circ}$
Maximum Observable Time	323.37s
Apparent Angular Velocity	0.0032 rad/sec
Minimum Linear Velocity	518.14m/s
Maximum Linear Velocity	6476.8m/s

This equation is important as it provides another criteria for the system, that of the maximum range that is required to be detected by the system. These become important for the Radar Range Equations (RRE) in section 5.2.

1.2.2 Space Debris Physical Characteristics Now that a number of key characteristics of the movement of the objects have been calculated, it is important to detail the sizing and physical characteristics of the objects that are evaluated.

There are multiple ways in which to model the space debris that is being tracked. This includes parameters such as: electron density, ion composition, electron drift velocity, etc. This in depth analysis of the debris is out of the scope of the project and a few simplifying assumptions are chosen for this section of the report. The first of these is to assume that the system is only required to detect hard targets, this refers to the fact that the object is a solid and has a predictable characteristic when reflecting the EM waves incident on it. The soft targets include ionospheric plasma present in the atmosphere, these targets are not important to this report [13].

Based on current implementations of space debris trackers, an assumption is created here that the minimum RCS of an object is assumed to be that of 10cm in diameter. As discussed in the above section, the objects in question are assumed to lie within the LEO, objects that are below this range experience force due to the atmosphere and therefore will slowly lose orbital velocity and will eventually fall into the Earths atmosphere and (commonly) burn up in the process. The majority of the objects lie within the 500km to 1000km altitude band, after this point, the density of objects decreases [14].

The information provided in the sections above create a baseline with which to design the system.

## 1.3 Current Implementations

The baseline for this project is built upon the system created by Leo Labs [15]. The Leo Labs system makes use of two systems that are currently installed. The first of these is the Advanced Modular Incoherent Scatter Radar (AMISR), this is located in Resolute Bay, Canade, it makes use of an array of crossed-dipole antennas that are electrically phased. This implies that the system is electronically steerable. It operates in the frequency range of 430MHz to 450MHz and has a pulse width of approximately  $2\mu s$  [16]. A number of important parameters can be found in [16], this is a system which closely resembles the major requirements for this system. The second system in use by LEOLABS is

Transmitter Transmit/Receive Device Antenna Receiver Signal Processor

Figure 3: System Overview

the Midland Space Radar (MSR), this system is located in Texas and is commissioned by LeoLabs, this system is capable of detecting objects as small as 10cm in diameter. It is not specified whether this value is a Radar Cross Section (RCS) or the physical size of the object. A number of other implementations have been used (not through Leo Labs) which make use of other technologies and are capable of detecting smaller objects [9, 17–21]. A number of these papers are made use of to design the system in this report.

### 2. TECHNOLOGY

Based on the research on previous implementations of this technology, it appears as if the best solution to this problem is to make use of multiple antennas such that they form an array that can be electronically sterred in a specified direction. The basic functionality of the system is that of a radar where the array sends out a pulse through the use of an EM wave which then bounces off of the object and this echo is detected with the use of an array, once this reflection has been received, sampled, and processed, the system is capable of determine a number of characteristics of the object.

The following sections detail the different implementations of this system and determine the advantages and disadvantages of each choice. The system, as an overview is made up of the following components illustrated in figure 3 [22].

These components perform the major tasks of the system, they are each discussed in detail in their own sections, however, before that can take place, these components are used to perform a specific action in order to detect the space debris, sections 3., 4., 4.2, 8.1, and 5.2 introduce the theory behind the operation of this system. The choices for these sections are directly linked to the type of devices and components seen in figure 3. The first highly important parameter of the system is the frequency at which it will operate as this is dependent on a number of factors. This is discussed in section 3..

## 3. Optimal Frequency

A number of constraints exist for the frequency of operation of the system, these range from economical, political, technical, and physical. These constraints and the reasons behind the final choice of frequency is discussed within this section.

## 3.1 Technical and Physical Limitations

The first limitation of the system is the fact that the ionosphere attenuates frequencies from specific frequency range. This is important as it is known that there are two frequency windows at which EM waves penetrate the ionosphere without excessive attenuation [14]. These frequency windows have a high transmission coefficient for frequencies within the 1cm through to the 1m wavelength range, this implies that these frequency bands are highly useful for transmitting EM waves through the Earths atmosphere as the attenuation is low [23]. Frequencies outside of this window (with a few exceptions to some of the visible light spectrum), are closed due to ionospheric attenuations and reflections (at larger wavelengths) and also attenuate due to resonances created by molecules in the atmosphere (at lower wavelengths) [23]. If the wavelength of the EM wave is within the bands above, then the attenuation

can be considered neglible [24], [22, p. 15,124]. It is apparent that frequencies within this range provide an attenuation of less than  $10^{-2}db/km$  which is negligible. Based on these assumptions, the frequency band of interest lies within the 200MHz up to 1GHz, after 1GHz, the atmospheric losses increase accordingly.

The elevation angle of the system is also a contributing factor to the loss of the system as this determines the *volume* of atmosphere that the EM waves travel through to rech the target. An increasing elevation angle results in a lower two-way loss, this implies that in the case that the system directs its beam directly upwards (towards the zenith), this is the direction with the least loss per unit distance [25, p. 70]. This is highly beneficial for the system as it is normally directed upwards and has a FOV that does not greatly increase the *volume* of atmosphere the EM wave has to encounter.

Included in this technical choice is that of currently implemented systems. Many of these operate within the 400MHz to 500MHz frequency bands. The amount of electrical power required for these systems can often be in the megawatt range and components capable of supplying this power in the GHz range become prohibitively expensive, this further backs up the choice for a lower frequency.

The frequency that is transmitted from the radar is likely to be different to the frequency that is returned by the object, this is in part due to the Doppler shift phenomenon. The maximum frequency shift created by the Doppler shift is evaluated with the use of equation 8. This implies that the maximum expected Doppler frequency shift is unlikely to exceed  $\sim 50kHz$ . This value is created with the assumption that the frequency is chosen to be 1GHz (which creates the maximum frequency shift) which is the upper bound of frequencies that can be selected from.

$$f_d = \pm \frac{2v_r}{\lambda} \tag{8}$$

Where:

- $f_d$  is the frequency shift due to the maximum linear velocity of an object
- $v_r$  is the maximum linear velocity of an object
- $\lambda$  represents the wavelength of the frequency of choice.

This assumed Doppler shift implies that the frequency bandwidth should accommodate at least 100kHz on either side of the centre frequency. The Doppler frequency shift of the designed system is discussed in more detail in section 8.1 where further analysis on the required bandwidth is also required: the bandwidth of the system is dependent on a number of components.

The frequency choice is highly dependent on the locality of the system and the current frequency usage by other parties. \*\*\*

## 3.2 Political and Economical Limitations

In addition to the reasons stated above, a further factor that affects the frequency band choice are those frequencies available by the systems regulator. The current communications authority of South Africa is run by ICASA [26]. ICASA keep an updated list of the currently used frequency bands and the regulations that the operators should adhere to [27], this document is used in order to determine the optimal frequency band.

The frequency allocation within a country is highly regulated, and as such it becomes costly to demarcate specified frequency bands for specific uses. The first criteria for the choice of a frequency band is the current usage of the frequency band, the request for in-use bands can expand the budget of the system greatly.

The method used to determine the optimal frequency band is to first define the search range, this

is given in the above section (200MHz) to 1GHz), from this point, the frequency bands that are commonly allocated to astronomy research are narrowed down. The frequencies which lie within this area of interest are: 406.1 - 410MHz and 606 - 614MHz. Critically evaluating these two frequency bands results in the fact that the first option has a possible bandwidth of 4MHz, whereas the second has an 8MHz bandwidth. This larger bandwidth is highly beneficial for the system as there are lower chances of bandwidth bleedover from other bands, the ability to make use of a larger bandwidth (if required) and, in addition to these two facts, this frequency band is used as a primary basis for radio astronomy. This gives a higher likelihood that the systems regulator will grant the frequency band for this usage, as it falls within its intended usage. The bandwidth that this allocation allows is unlikely to be completely used by the system designed, this is due to a number of constraints, namely, the Doppler effects, and the pulse width that the system will make use of.

In the case that an entity wishes to make use of a frequency band within South Africa, a number of rules are applied to the entity as well as a number of costs for the use of the frequency band (depending on the selected one), these costs can become highly prohibitive as well as being highly difficult to find a band where there is little to no interference. As discussed in the previous section, interference is a massive concern for the choice of frequency, this is why the frequency choice, as well as the location of the system are inherently linked.

The choice of location of the system is highly relevant as the interference from external sources can severely affect the ability of the system to function. The first major way in which to avoid these possible interferences is to locate the system in a low density area. An area with a low population density implies that there will be a lower saturation of power over the frequencies of interest.

The first part is to determine the area of South Africa with the largest area and the lowest population density. The province which satisfies this criteria is the Northern Cape [28, p. 18], as it has the lowest population of any province, it also has the largest land area [29, p. 9,15]. This allows for an efficient placement of the system with regard to the frequency of interest.

In addition to the fact that placement in the Northern Cape is beneficial, the Square Kilometer Array (SKA) is also currently located in this province. The development of the SKA has required the implementation of a set of special by-laws which apply to the areas within the province. These by-laws are discussed below.

3.2.1 Astronomy Geographic Advantage Act Due to the fact that the SKA requires an extremely low noise environment in order to undertake its scientific research, it has permission to demarcate specified areas of land for scientific research [30]. The minister has the ability to declare any area or part of an area in the Province of the Northern Cape as an astronomy advantage area. This implies that an area, which is technically advantagous to the functioning of the system can be demarkated by this act. This implies that, within demarcated areas, the SKA is able to allocate specific frequency bands to particular projects/entities. The act enables the purchasing process of land to be facilitated by the National Research Foundation (NRF) which can greatly simplify the process of deterining a location for the system. The act prohibits the use of specific frequencies within these area, this is highly beneficial for the system as it reduces the noise introduced from external factors.

Collaboration between the SKA and this system can be highly beneficial, the scientific research community will be given access to the system on a periodic basis. In order for the SKA to allow this system to be used within the Northern Cape, it should be created such that it does not interfere with their systems, however, still be of benefit to them. In addition to the requirements of the SKA, a number of other considerations are required to take place: local affected businesses, affected agricultural tenants/owners, environmental impacts, nearby electrical and radio interference. The act allows for the allocation of required frequencies (regardless of the frequency allocation of ICASA) [31]. The permits provided by the act create exemptions for the frequency spectrum that is permitted.

Table 2: Frequency Details

Parameter	Value
Frequency Band	606 - 614MHz
Center Frequency	610MHz
${ m Wavelength}$	0.4918m
$\lambda/4$ wavelength	0.12295m
$\lambda/2$ wavelength	0.2459m
$3\lambda/4$ wavelength	0.3689m

This location of the system is discussed further in section 11. where a number of environmental factors are discussed.

### 3.3 Characteristics of Frequency

Based on the frequency choice discussed above, a number of parameters can now be calculated, these are illustrated in table 2

The wavelengths generated within this table are based on the centre frequency of the frequency band. This becomes the primary operating frequency of the system.

## 4. Radar Theory of Operation

This section details the different implementations of radars that are capable of detecting objects and the characteristics of those objects when detected (speed, direction, distance, etc.).

Sections 4.1, 4.2, 4.3 discuss the theory of operation of the system.

### 4.1 Monostatic versus Bistatic

There are two major radar configurations that are currently used, the two are discussed below and then compared.

4.1.1 Bistatic The bistatic configuration makes use of a separate transmitter and receiver in order to implement the system. The two components are placed at differing locations and the separation between the components is required to be sufficiently large in terms of the angles or ranges that they present [20], [32, p. 5], [25, p. 3]. The separation between the transmit and receive systems allows for minimal interference between the two, while allowing for the use of more than one receiver. This implies that the two systems, in the case of Earth-to-Space transmission, are required to be geographically (in the order of kilometers) far apart [33]. The transmitters in these systems generally transmit power in the range of kilowatts to megawatts, this is in order to increase the signal-to-noise (SNR) of the system as there is a significant amount of energy lost in the energy transfer process. The receiver on the other hand, works with milliwatts to nanowatts as it receives this greatly reduced power that is reflected from the objects in question. The bistatic system provides a convenient method of separating out the two systems such that it becomes increasingly difficult to damage the receivers' equipment from the high power generated by the transmitter. This mode of operation allows for the calculation of the distance of the target to the receiving antenna, however, the calculations involved for this are more complex than for the monostatic case, the same applies to the doppler shift measurable by the system.

- 4.1.2 Monostatic The other, more commonly used system, is the monostatic configuration. This bundles the transmitter and receiver together on the same antenna/antenna array. The nature of this system is such that the transmitter and receiver are required to be isolated while the two transmitting and receiving are taking place. The isolation can be carried out in different ways, a circulator or a switch commonly achieves this. These components are discussed in section 10.. In the monostatic configuration, the transmitter and receiver do not operate at the same time which greatly simplifies the switching apparatus. This mode of operation allows for direct measurement of distance of targets as well as the ability to measure the targets radial velocity (with respect to the observation point).
- 4.1.3 Comparison The configuration designed for this system is the monostatic as it provides a lower cost alternative to the bistatic configuration. It has the added benefit of only requiring one piece of land for the system. The system cost is lower than the bistatic case based on the fact that only one set of antennas are required. There are cases where the monostatic system can be set up with two sets of antennas (for transmitting and receiving), where the separation of the two installations creates a small angle. The bistatic configuration is easier to implement with regards to the protection systems for the receiver, however, this benefit does not out weigh the monostatic systems advantages. The bistatic systems also require a significant amount of communication between the two locations which increases the cost of implementation, most notably when the distance between the two systems is large and communication mediums can become prohibitively expensive.

### 4.2 Continuous Wave and Pulsed Wave

The two commonly used transmission methods are the continuous wave and the pulsed wave, these two systems are also linked to the choice on the system configuration. This implies that if the monostatic configuration is used, then the continuous wave transmission system is not available.

- 4.2.1 Continuous Wave Transmission The continuous wave transmission system, as the name indicates, has the transmitter functioning 100% of the time, which implies that the receiver also functions 100% of the time. The continuous wave transmission system, as indicated above can only be used by the bistatic configuration as the receiver cannot be activated while the transmitter is active. A unique characteristic of the continuous wave system is that it is unable to determine the electromagnetic (EM) waves' round-trip time as there is no set begin and end time of transmission. This can be solved with the use of modulation on the wave, which requires the receiver to know all of the characteristics of the transmitters' waveforms ahead of time if it is to determine round trip times [22, p. 20].
- 4.2.2 Pulsed Waveform Transmission The second method of EM wave transmission makes use of pulses, these occur periodically and their duration is commonly over a short period of time. This system is commonly used in tandem with a monostatic configuration and this provides the ability for components to provide isolation between the transmitter and receiver circuitry. Following the EM wave pulse, the receiver is set to record the resulting EM waves that are returned in the form of echoes after it has reflected from the objects in question. The determination of the pulse length and the period between pulses (the interpulse period (IPP)) is discussed in section 5.2 \*\*\* as this value is dependent on a number of other characteristics of the system. The pulse repitition is also linked to the observable time window of debris, discussed in section 1.2.1.

### 4.3 Threshold Detection

Once the system has transmitted an EM wave and the echo has been received, this energy recieved is analysed to determine if an object was detected, and what its characteristics are. In order to detect a signal, the received signals' power is required to be above a specific threshold. This threshold is defined as the level at which all sources of noise are incorporated and accounted for. When an object is

detected, the signal level is required to rise above this threshold and this is then considered a successful detection.

There are cases, based on the fact that noise is inherently a random variable, that the noise level can exceed the threshold set by the system. This is observed as a false alarm [22, Ch.3]. This implies that there are cases where the targets echo in addition to the noise are capable of being below the threshold, this is when the noise in the system is low, so the addition of the echo is not large enough for the system to detect the object. These two cases are highly unlikely, however, cannot be ignored as they are based on the probabilities of the entire system.

These probabilities are given as two variables: the probability of detection  $(P_D)$ , and the probability of false alarm  $(P_{FA})$ . The probability of detection is the probability that an object-plus-noise exceeds the threshold applied to the system. The probability of false alarm is the probability that the noise (from all components of the system) will exceed the threshold. The best case scenario for these two variables are:

$$P_D = 1$$
 and  $P_{FA} = 0$ 

These values are idealistic and so the correct threshold value is required to be set such that the system can operate as close to these values as possible. When the threshold is increased the probability of false alarm will decrease, however, so will the probability of detection. When the threshold is decreased, the probabilities of both variables increase. A trade-off is required here to determine the optimum threshold level.

There is one way in which to increase the probability of detection while lowering the probability of false alarm, this is achieved by increasing the targets signal power (it should be noted that the signal power should be increased relative to the noise power). The method in which this can be accomplished is to increase the overall Signal-to-Noise-Ratio (SNR) of the system, if the overall SNR is increased above a specified level, then the probabilities discussed above, reach levels that sufficiently adequately satisfy the criteria of the system. the SNR and how it is attained is discussed in section 6..

# 5. Basic Operation

The first important characteristic of a radar system is the ability to measure the distance of a target from the observation point. This is udertaken with the simple calculation of the amount of time that it takes a signal to travel from the transmitter to the target and back again, this is evaluated with the use of equation 9. This equation evaluates the distance of the target (R) by making use of the speed of light (the speed that the EM wave travels, c), and the amount of time that it took the signal to travel to the target and back again  $(\Delta)$ .

$$R = \frac{c\Delta T}{2} \tag{9}$$

This allows for the calculation for the maximum and minimum round trip times for specific placements of objects from the observer. Table 3 illustrates the important round trip times which pertain to this system.

Table 3: Radar Range Round Trip Times

Round Trip Time Parameter	Time (ms)
Minimum Distance (Zenith direction)	1.067
Maximum Distance (Zenith direction	13.34
Maximum Slant Distance	14.83

These values take the assumption that the system is capable of steering up to 30° from the zenith direction. If the system were to steer further, then the maximum slant distance would increase accordingly. The maximum steering ability of the system designed is capable of reaching approximately 50°, however, the maximum slant allowed is reduced in order to increase the reliability of the system. This is discussed in more detail in section 4.\*\*\*.

Based on the maximum slant distance round trip time (14.83ms), this places a number of limitations on the system which relate to the pulse repetition frequency, the minimum distance round trip time (1.067ms), limits the pulse width that the system can transmit over. This also creates a limit on the bandwidth of the system, however the bandwidth of the system is related to a number of characteristics which are discussed in section 6.\*\*\*.

The next step in the evaluation of the power that is required to be transmitted onto the object in order to reliably detect it. This begins with the radar range equation.

## 5.1 Power Density

The first equation defines the power that is incident on the target, this is given by equation 10. This power is generated with the use of the antenna array, the details of this array are discussed in section 8., and the creation of the array requires the use of this equation.

$$P_{inc} = P_t * \frac{G_t}{4 * pi * R^2} [W/m^2]$$
 (10)

Where:

- $P_{inc}$  is the power incident on the target
- $P_t$  is the power transmitted from the system
- $G_t$  is the gain of the system
- R is the distance from the system to the target

This equation assumes the use of a directional antenna (not isotropic) which has a corresponding gain. This allows for the concentration of the beam in a specific direction.

The next stage is to determine the magnitude of energy that is reflected by the target, as assumed in section 1.2.2, the radar cross section of the target is defined and known.

Equation 11 indicates the magnitude of power reflected by this object.

$$P_{refl} = \frac{P_t G_t \sigma}{4piR^2} \tag{11}$$

Where:

- $P_{refl}$  is the reflected power from the target
- $\sigma$  is the radar cross section (RCS) of the target measured in square meters  $(m^2)$

This reflected power from the target is then received by the system and this is defined by equation 12.

$$P_r = \frac{P_t G_t G_r \lambda^2 \sigma}{(4pi)^3 R^4} \tag{12}$$

Where:

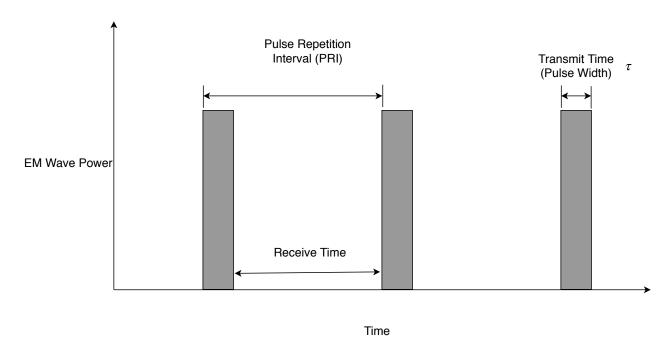


Figure 4: Radar EM Wave Pulse

- $P_r$  is the received power at the system
- $A_e$  is the effective aperture of the system
- $\lambda$  is the wavelength of the system
- $G_r$  is the gain of the receive antenna

It is assumed that the gain is determined with equation 13.

$$G = \frac{4pi\eta_a A}{\lambda^2} = \frac{4piA_e}{\lambda^2} \tag{13}$$

Where  $\eta_a$  is the efficiency of the antenna created which is commonly between 0.5 and 0.8 [22, p. 64].

In the case of the monostatic antenna array, the transmitting and receiving gain are the same as it is the same array. This implies that for bistatic systems, it is possible to have two different ranges for receiving and transmitting [22, p. 64].

At this point it is pertinent to provide an analysis into the losses that can possibly appear throughout the system, these losses are required before the antenna and antenna array can be simulated. These losses are discussed in section 6..

### 5.2 Pulsing Characteristics

In order to calculate a number of characteristics on the SNR of the system, the pulsing characteristics are required. Figure 4 illustrates the method in which the EM waves are sent out with respect to time.

This image illustrates a number of important parameters than can be applied to the system at this point in time. These parameters are related to section 5. and more importantly, table 3. This implies that the maximum pulse width must be less than 1.067ms, the actual pulse width is likely to take a value far less than this. The next parameter is the pulse repetition interval, this is related to the

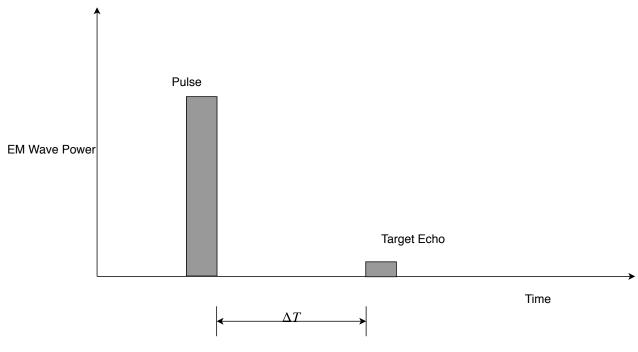


Figure 5: Object Detection

maximum round trip time that a signal can take, which implies that the minimum pulse repetition interval should be greater than 14.83ms. This limits the pulse repetition frequency of the system which is defined as PRF = 1/PRI, which limits the pulse repetition frequency to 67Hz. This is the maximum frequency the system can sustain without limiting the radar range abilities.

\*\* Image of pulse and return pulse The following image represents a case where a pulse is sent outwards and is then followed by, a period of time later, a corresponding echo pulse. The period between the pulse and the echo can be used to determine the altitude that the object has. This illustrates a circumstance of the system detecting an object.

In order to detect the pulse, the time between each sample (sampling by the ADC) should be no less than the pulse width of the transmitter, this is a minimum requirement for the sampling frequency of the receiver subsystem.

There are cases where large objects, further out than the maximum slant distance will return a signal (the large RCS results in a larger reflection of EM energy), this signal will be returned after the next pulse has occurred and depending on how far the away the object is, the system can read this as an object that appears to be closer than the actual object. This results in an incorrect reading. These cases should be accounted for within the system such that they are kept to a minimum. In most cases this is not an issue as the object is required to be much larger in RCS for it to be detected. More cases are ruled out as these echoes will appear at the antenna while the second pulse is still being transmitted, which implies that the receiver is still deactivated. \*\*\* This phenomenon is known as range ambiguity [22, p. 22] The parameters defined in this section are evaluated and analysed in section 5.3.

### 5.3 Resolution

The resolution of the system is made up of three major parameters: range, angle, or/and Doppler frequency. These three parameters highlight the ability for the system to make a distinction between

two objects with differing parameters.

The first of these, the range resolution, is the parameter which defines the ability of the system to make an effective distinction between two targets that are placed differing distances away from the observation point. It is related to the pulse width of the system as illustrated via equation 14. In order to decide on the pulse width required for the system to function, the criteria for the resolution of the range is required.

$$\Delta R = \frac{c\tau}{2} \tag{14}$$

The limits on the range resolution are coupled with the limits of the bandwidth allowed by the frequency band. The pulse width is related to the bandwidth by the equation  $\tau = 1/B$ . The bandwidth allocated to the frequency band is set at 8MHz, which results in a minimum pulse width of approximately  $0.125\mu s$ , which is relatively small when compared to alternative systems [9, 16–21]. The bandwidth required by the maximum Doppler frequency shift of 50kHz, which results in a pulse width of  $20\mu s$ . Lastly, the next parameter which determines the magnitude of the pulse width is the fact that the pulse width cannot be larger than the minimum round trip time (as discussed above) of 1.067ms. This means that the pulse width can be sized with the  $0.125\mu s - 1.067ms$  time span. These limits provide a range resolution of 18.75m and 160.1km. Increasing the pulse length will result in a higherer SNR, however, then the range resolution suffers. Based on this evidence, and current implementations of the system, the pulse width of the system is chosen to be  $1\mu s$ . This provides enough time for the transmitter to deactivate, and the receiver to activate to listen to the targets echoes, this switching time is required for the sytem to perform safely, and to avoid the receiver becoming damaged by the transmitter. This pulse width results in a range resolution of 150m, which is sufficient considering the number of objects in LEO and the volume that those objects are within. The probability of the system detecting two objects within this ambiguous range are unlikely and therefore this is a sufficient assumption.

This pulse width results in a duty cycle which is evaluated with the use of  $DutyCycle = \tau \cdot PRF$ , which provides a duty cycle of  $67.4 \cdot 10^6$ , this is a relatively small duty cycle, implying that the system is using a small amount of energy with respect to its maximum power requirements. The system constructed should be capable of varying its bandwidth on demand, this provides the ability for the system to scale to meet specific demands. The limits on the pulse width are still present for the detection of space debris, however, this technique can be used to detect smaller debris at the farther distances.

The pulse width is also linked to the SNR of the system through equation 15.

$$SNR = \frac{P_t G_t G_r \lambda^2 \sigma}{((4\pi)^3 R^4 k T_s L_s)} \tag{15}$$

This implies that an increase in pulse width results in an increase in SNR. This is the case based on the fact that the receiver bandwidth is linked to the waveforms bandwidth, this implies that the receivers bandwidth can be substituded for the pulse width in the standard radar range equation [22, p. 776]. This is a simple method in which to increase the SNR without increasing the cost of the overall system.

### 6. SNR

The SNR required for this system is highly dependent on the requirements for the probabilities of detection and false alarm. The first simplifying assumption of this section is to assume that the signal received by the object is non-fluctuating, this results in the ability to determine an operational SNR for successful detection [22, p. 102-103]. The first part of this is to make use of equation 16 in order

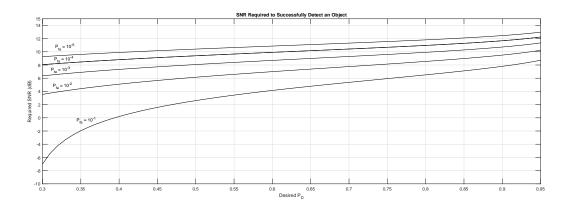


Figure 6: SNR Required for Detection

to map a selected set of probabilities to a required SNR value [22, p. 107].

$$SNR(dB) = -5log_{10}N + \left(6.2 + \frac{4.54}{\sqrt{N+0.44}}\right)log_{10}(A+0.12AB+1.7B)$$
 (16)

Where

$$A = ln(\frac{0.62}{P_{FA}}) \tag{17}$$

and

$$B = ln \left( \frac{P_D}{1 - P_D} \right) \tag{18}$$

This set of equations is used to generate figure 6 (in this case N is the number of pulses, which is assumed to be 1).

This implies that in the case that for realistic values for the two probabilities, the SNR required reaches a value of approximately 10dB. This is therefore defined as the minimum SNR  $(SNR_{min})$  required for the system to function. Based on this equation, it can be seen that if the number of pulses is increased, then the SNR required will become lower. This current approximation makes use of a single pulse on a target.

There are a number of alternative methods in which to specify criteria of the system, these are discussed in section A [22, p. 72]

This first estimate for the minimum SNR required is linked to the noise present in the system, the noise of the system is evaluated in sections 6.1 and 6.2.

### 6.1 Receiver Noise

The three major losses within any system is made up of the transmitter, receiver, and signal-processing losses. Of these, the receivers noise is mostly made up of the noise generated by the primary Low Noise Amplifier (LNA) or mixer (if present) [22, p. 405]. This receiver noise is generated by the phenomenon known as thermal noise, this is discussed in section 6.2.

The noise from the environment is mostly made up by solar effects. This case deals specifically with the noise generated by the sun. This can contribute to large amounts of noise within the signal if the antenna array is pointed in the direction of the sun, however, great care should be taken to avoid pointing the antenna array directly at the sun. There will still be a small contribution of the suns noise from the sidelobes of the antenna array, however, this power is greatly reduced.

The second source of noise, the largest component within the system, is that generated by the receiver electronics. Thermal noise power, being uniform over the frequency spectrum contributes to the noise power over the bandwidth of the system. This implies that the thermal noise power is directly proportional to the receivers bandwidth. This power is determined with the use of equation 19.

$$P_n = kT_s B = kT_0 F B \tag{19}$$

Where:

- k is Boltzmann's constant  $(1.38 \cdot 10^{-23} Watt sec/K)$
- $T_0$  is the standard temperature (normally 290K)
- $T_s$  is the system noise temperature  $(T_s = T_0 F)$
- B is the receivers bandwidth (Hz)
- F is the noise figure of the receiver subsystem.

The noise figure defined here should be given in real amplitude (convert from dB as this is what it is defined as). The bandwidth defined here is a calculated value and is dependent on two factors of the system. Typical noise figures for LNA's range from 2.5 - 5dB, the value used for this calculation is taken to be 2.5dB.

At this point it is possible to evaluate the required parameters of the system with the use of the noise figure that is estimated above. The SNR is defined by equation 20.

$$SNR = \frac{P_t G_t G_r \lambda^2 \sigma}{(4pi)^3 R^4 k T_0 F B} \tag{20}$$

Rearranging this equation and placing the known value on the right hand side results in equation 21.

$$P_t G_t G_r = \frac{SNR_{min} (4\pi)^3 R^4 k T_0 FB}{\lambda^2 \sigma} = \tag{21}$$

Where the values on the right hand side of the equation are listed in table 4. This implies that the product of the variables on the left hand side of the equation are required to be equal to the value on the right hand side for the system to function as designed.

This provides a starting point for the design of the antenna and antenna array such that it can be built to satisfy the requirements thus far.

#### 7. Antenna

The antenna forms the most basic unit of the system. It is responsible for transforming an electrical signal into an EM wave and directing that EM energy into a specific direction. It is also important as it makes use of its reciprocity such that it can receive and transmit with the same characteristics. This makes it ideally suited to this application as it can be used to transmit the EM wave and receive

Table 4: Major Design Features

Parameter	Value
$\overline{SNR_{min}}$	10dB
R	2223.8km
k	$1.38064852 \cdot 10^{-23} m^2 kg s^{-2} K^{-1}$
$T_0$	K
F	val
B	val
$\lambda$	val
σ	val

the wave, thus reducing the cost and complexity of the system. The antenna, and corresponding array is designed here to satisfy the requirements in equation 21, these parameters are the systems gain and the power that the system is required to sustain.

The most important design requirement of the antenna is that is should have sufficient gain, it should be able to direct its energy in a specific direction. The second component of the antenna is that it should be capable of sending out this EM wave and receiving the echo with minimal losses throughout the process. This implies that it is designed to be as efficient as possible. The array, discussed in section 4. discusses the design of multiple antennas and their interconnectedness such that the system can benefic from multiple antennas working together.

The first design decision is that of the type of antenna to make use of. The design methodology for this has the following criteria: cost, construction, bandwidth, sidelobes, gain, efficiency, power capabilities, durability, etc. These parameters of the antenna are discussed below and the design is drawn up based on these criteria.

### 8. Antenna Array

- 8.1 Radar Functions
- 8.1.1 Search/Detect
- 8.1.2 Track
- 8.1.3 Image
- 8.1.4 Multi-Pulsing Need to talk about how the SNR can be improved with the use of multiple pulses.

## 8.2 Directions

Discuss how the system cannot determine the direction of the object, it can only determine if the object is moving towards the antenna's boresight or away (with an increased doppler freq or a decreased doppler freq)

### 9. INDIVIDUAL AND ARRAY SIMULATIONS

### 9.1 Field of View

## 9.2 Polarization

The radar equation forms the initial stage for the design process.

Primary functions:

\* Impedance transformation (free-space fields to guided waves) \* Propagation-mode adapter (free-space to guided waves) \* Spatial filter (radiation pattern - direction-dependent sensitivity) \* Polariation filter (polarization-dependent sensitivity)

The antenna is used to fix the mismatch between free-space and the rest of the system. Intrinsic impedance of free-space:  $n_0 = E/H$   $n_0 = sqrt(u_0/e_0) = 120 * pi = 376.7\Omega$ 

Characteristic impedance of tx line,  $Z_0 = V/I$  (typically 50 $\Omega$ )

Make use of [this](https://etd.ohiolink.edu/!etd.send\_file?accession=ohiou1345227397&disposition=inline) to speak about the axial ratio and how polarization is done.

#### 10. Feeding Networks

### 10.1 Mixer

### 11. Environmental Considerations

## 11.1 Weather Dependence

Maximum and minimum temperatures. Average temperatures, temperature swings and their gradients. Wind. Fog Sun angles

Light intensities

Air quality Humidity Icing of instruments Snow

Light polution

# 11.2 Land

[This](https://www.icasa.org.za/legislation-and-regulations/protection-of-karoo-central-astronomy-advantage-areas-regulations-2017) will be useful for talking about how the area will be protected. Land can be given by the [SKA](https://www.ska.ac.za/about/astronomy-geographic-advantage-act/). One can argue that the system will not be used all the time, as such, the SKA may be able to make use of the system for research purposes. The lay of the land Mountains? Flat land? How difficult will it be to lay foundations Shipping material Construction side of things Altitude? Height above sea level? Nearby roads? Quality of these roads? Will they need upgrading? Power distribution? Communications? Water? Sewage? Disturbances of power lines and transformers to the system? Frequency of the power?

## Lightning?

Nearby electromagnetic interference? Can this interference be removed? Must it be quantified and then subtracted from the overall signal? Is this sufficient?

Possible impact from telecommunication in the area. Do radio links exist? One must look at the sidelobes and the distribution of power in the various harmonics

Air traffic interference? Airports? planes overhead? Mostly planes? Helicopters? They pose different interferences as they fly at different altitudes and use different communication systems.

In the design paper, they say that helicopters frequent the area, however, on days of good visibility they fly low and follow the valleys where they will be shielded against the radar beam.

It may be worth installing a separate small surveillance radar that is capable of detecting aircraft and then switch off the main radar (depending on the situation)

Is it worth contacting the air ports and the control towers for some of this? Get real time tracking of planes?

Beacons in the area?

Displacement of plants and animals?

Elevation of the horizon? Does it change in different directions? Does this affect the system?

### 12. Construction

How things fit together, how the cables are placed What kind of cables What communications medium is used between modules

#### 13. COST OPTIMISATION

### 14. LOCATION

### 15. SENSITIVITY ANALYSIS

# ACKNOWLEDGEMENT

### 16. DEREK

Radiation after the big bang (4 Kelvin). Noise floor.

Specify a minimum size of objects: approx 10 cm (RCS (radio cross section))

Choose a receiver sensitivity: randomly: -120 dBm

The gain of the system needs to be determined as well as the transmitting power as you are required to receive at least -120 dBm of power back from an object of 10 cm

How narrow must your beam be in order to pick out individual elements floating around (this is a resolution issue)

Trade off between power and gain

Specify a frequency this can be because of monetary reasons as well as physical reasons.

Building an amp is a big mission. Lower frequency amps are easier to design/purchase.

Broadcast dipoles and how to make low VSWR versions

Degradation in the gain as you swing your major direction

Where will all of the side lobes go!?!?!? Will they affect other systems? Will they affect the readings and pick up objects that you dnot want?

Using the binomial you will reduce your sidelobes however you will reduce your overall gain.

What kind of beam sweep will you be looking for?

When it hits the object it will change polarization. We must check how many times if flips polarization and how it does this.

Maximum ratio combining (to do with the polarization things) This must be thought of, in how you combine these signals together.

You need to provide time for "switches" to work, this is to give "dead time" for the system to set itself up.

How do you swap between the receiver and the transmitter. This may be a case where a "[circulator](https://en.wikipedia.org/wiki/Circulator)" can be used.

Wilkinson splitter (for feeding the antenna)

The feeding network is different to how the antenna is connected together (Wilkinson splitter)

Hartebeespoort visit.

Where is the processing done? SHould the data be transmitted elsewhere and then processed? Then you won't need a server farm in the karoo and you can use the cloud.

## Corporate feed

Determine the power that is present in the side lobes. I do not believe that it is worth using a binomial array, even though it reduces the side lobes, you still have to consider how the power system is structured. How do you distribute the amplifiers? Do you then have a case where you have a multitude of differently sized amplifiers in order to reduce the cost?

Apply some form of taper for the amplitude over the area of the array. In order to reduce the sidelobes, you must find a happy medium between gaussian distribution and a standard distribution.

Determine an acceptable dB level for the biggest sidelobes. Check for the power in the lobes and their direction (if they are pointing in dangerous areas or not). Another way to specify the first sidelobe level is to assume a maximum object size at the lowest altitude and then determine the gain for this sidelobe at which the signal is below acceptable levels.

Think about build up of static electricity within the system and consider how to protect circuitry from this (the receiver is commonly the most sensitive device)

It may make sense to apply a modulation to the signal as this can tell you if it is indeed your signal which you are detecting.

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#### **Appendix**

## A Parameter Estimation