Form Approved – OMB No. 0560-0237 Expiration Date: 10/31/2022

FSA-2330 (02-10-22)

## U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency

Position 3

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#### REQUEST FOR MICROLOAN ASSISTANCE

**INSTRUCTIONS:** FSA suggests applicants use the available corresponding instructions for the proper completion of this form. Assistance is available to you from your local FSA office for any part of the application process. FSA can help you complete the requested forms, explain what information is necessary, and answer any questions you may have.

Farm Loan Teams located at USDA Service Centers or FSA County Offices are responsible for all direct loan applications. You can find the address and telephone number of the nearest Farm Loan Team serving the County where you plan to farm from the Internet at <a href="http://tinyurl.com/7syle36">http://tinyurl.com/7syle36</a>.

The Federal Government requests race, ethnicity and gender information to monitor FSA's compliance with Federal laws prohibiting discrimination against applicants. This information is not used to evaluate an application. Applicants are encouraged to furnish this information yet are not required to do so. Targeted funding may not be received if an applicant is eligible for targeted funding and does not voluntarily provide this information. FSA is required to note race, ethnicity, and gender based on observer identification if it is not furnished.

#### **IMPORTANT NOTICE**

Within 10 calendars days of the date FSA receives your application, FSA will send you a letter that will tell you if your application is complete, or additional information is needed to complete your loan application. If you do not receive this letter within 10 days of the submission of your application, please contact your local FSA office.

#### **APPLICANT IDENTIFICATION**

The loan application must be submitted in the name of the **ACTUAL OPERATOR** of the farm or ranch. This information is entered by all applicants in "**Part A – Applicant**."

**INDIVIDUAL APPLICANTS:** (Note: Credit report fee of \$16.00 is required for individual applicants; checks are made payable to the *Farm Service Agency*)

- "Part B Individual Applicant Information" is completed by applicants who are:
  - Individual, Not Married, Not Operating as a Legal Entity.
  - Married Couple, One Spouse Applying

**ENTITY APPLICANTS:** (Note: Credit report fee of \$24.50 is required for married couples filing jointly. Entity applicants must remit \$50.00 for a commercial credit report plus \$16.00 for each individual entity member. Checks are made payable to the *Farm Service Agency*)

- "Part C Entity Applicant Information" is information about a <u>legal entity</u>. Two or more persons operating together and **not a legal entity** will identify themselves as a "Joint Operation" in Part C, Item 1, "Entity Type". For all entity types and all operating entities, each individual entity member must complete "Part E Individual Entity Member Information." Each page may be reproduced as necessary if there are multiple embedded entities or the number of entity members exceeds the available space.
- Entity applicants are defined as:
  - Individual, Operating as a Legal Entity Select applicable entity type
  - Married Couple, Applying Jointly, Not a Legal Entity
  - Joint Operation, Two or More Persons, Not Married, Not a Legal Entity
  - Entity Applicant

NOTE: Entity Applicants are required to provide supporting documentation such as, and not necessarily limited to, Articles of Incorporation; Articles of Organization; Certificate of Limited Partnership; Formal Partnership Agreement; By-Laws and Operational Authorities of all shareholders, members and owners to verify the legal status of the entity, the authority of the shareholders, members or owners, and the composition of the entity structure(s). Two or more persons operating together without formally written organizational documents will designate themselves as a joint operation and complete Part C.

## PLEASE KEEP THIS PAGE FOR YOUR RECORDS

Form Approved – OMB No. 0560-0237 Expiration Date: 10/31/2022 (See Page 7 for Privacy Act and Paperwork Reduction Act Statements.)

FSA-2330 (02-10-22)	U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency	Position 3
	REQUEST FOR MICROLOAN ASSISTANCE	E
Instructions:	All applicants must complete Part A. Individual applicant's complete Parts B, D, F and G. Two or n persons, are considered an entity. Entities must complete Parts C, D, F and G. Entity members muniformationals and qualified aliens must provide appropriate documentation under Federal immigration be requested by the Federal Government to monitor FSA's compliance with Federal laws prohibiting direquired to furnish this information but are encouraged to do so. Failure to provide this information the applicant may be eligible. One or more boxes may be selected for race. This information will required to note race, ethnicity and gender on the basis of observer identification if you do not furnis	ust use the sheets provided on Part E. Non-citizen aw. *Race, ethnicity, and gender information is scrimination against applicants. Applicants are not may result in not receiving targeted funds for which of be used to evaluate the application. FSA is
	APPLICANT Legal Name 2. Address	3. Contact Information:
I. Exact of	I—I	A. Home Telephone No. (Include Area Code)
		, , , , , , , , , , , , , , , , , , , ,
		B. Cell Telephone No. (Include Area Code)
		C. E-Mail Address
	NDIVIDUAL APPLICANT INFORMATION	
1. Social Se	curity Number (9 digit N 2. Birth Date (MM-DD-YYYY) 3. County of Operation	on Headquarters
4. Veteran S	5. Marital Status 6. Applicant Is:	
YES	Married Separated Unmarried U.S. Citizen	*Non-Citizen National
□ TES	*Resident Alien (I-551)	*Refugee or Other
	Divorced Married, Applying as Individual	
		ed to provide I-551 and/ or other proper tatus as found under PRWORA (8 U.S.C. 1641).
*7. Ethnicity	*8. Race	*9. Gender
Hispanic	or Latino American Indian/Alaskan Native Asian	Male Female
Not Hispa	nic or Latino Black/African American I prefer not to share	Non-binary I prefer not to share
I prefer no	t to share Native Hawaiian/Other Pacific Islander White	
	NOTE: More than one box may be selected.	
		PROCEED TO PART D
PART C E	NTITY APPLICANT INFORMATION	
	ual liability will be required regardless of the entity type. Informal entities may leave Items 2 the ify that you have read and understand the statements and certifications on Pages 4 through 6	
1. Entity Typ		
Coopera		Operation (Including married filing together)
I Limited L		cable Trust
2. State of F		r
4. Tax Ident	fication Number <i>(9 Digit No.)</i> 5. Exact Full Legal Nar	ne of Primary Entity Contact
6. Does Enti	ty Contain Embedded Entity?7. List all Embedded E	ntities
☐ YES	(Complete Items 7, 8, and 9 for each entity) (Proceed t	
	Proceed to Part D)	
8. Percentag	e of Interest  9. Number of Entity Me	embers
Initials:	Date:	

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	PART D FINANCIAL STATEMENTS FOR PROJECTED ANNUAL INCOME AND E		R ENTITY	APPL	ICANT				
A. DESCRIPTION (Include income from crops and livestock):  Livestock:  2. Total Annual Farm Income: 3. EXPENSES: A. DESCRIPTION: B. \$ Amount		VI ENGES							
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	IVIDUAL ENTITY MEMBER INF							
will be conside 1I for each enti	Two or more persons, including red a joint operation. In Part C, ty member. *Items 1K through	marrie 1M are	d persons applyir voluntary. Provi	ng jointl de bala	y check the "Joint nce sheet informa	t Operation" box. Comp ation for each entity mer	lete Items 1A through	
NOTE: Individ	low must be completed for all er ual liability will be required regar	dless	of the entity type.				nave read and	
	statements and certifications or Legal Name of Entity Member	n Page	es 4 through 6 1B. Social Secur	ity No.	(9 Digit No.)	1C. Birth Date (MM-DD	-YYYY)	
1D. Address			1E. Contact Nur	nbers		1F. Percent of Ownership		
							%	
			1G. Email Addre	ess		1H. Annual Non-Farm	Income	
11. Marital Status  Married Separated	1J. Applicant Is:  U.S. Citizen  *Non-citizen National  *Resident Alien (I-551)	☐ His	Ethnicity spanic/Latino ot Hispanic/ atino	Na Na	nerican Indian/Alasi ative ian	☐ Male ☐ Female ☐ Non-hinary	1N. Veteran Status  YES NO	
		prefer not to share  Black/African America  Native Hawaiian/Other Pacific Islander  White I prefer not to share  NOTE: More than one box be selected.		I prefer not to	share			
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3. TOTAL AS	SETS:				5. TOTAL DEB	TS:		
					6. To	otal Assets from Item 3	:	
					7. Tota	al Debts from Item 5: (-)	)	
				8. N	let Worth (Subtra	act Item 7 from Item 6)	:	
9. Signature						10. Date		
PROCEED TO	O PART F							
Initials:	Date:							

Date:

Initials:

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#### 9. SPECIAL PROGRAM INFORMATION:

Certain FSA programs are, by law, designed to reach targeted applicants. If you are interested in any of the programs described here, or have questions about these programs and whether you may qualify for a specific program, the FSA office processing your application will help you.

- A. SOCIALLY DISADVANTAGED APPLICANTS: A portion of FSA farm ownership, operating, and conservation loan funds are, by law, targeted to applicants who have been subjected to racial, ethnic or gender prejudice because of their identity as a member of a group, without regard to individual qualities. Under the applicable law, groups meeting this condition are: American Indians/Alaskan Natives, Asians, Blacks or African Americans, Native Hawaiians/Other Pacific Islanders, Hispanics and women. In addition, FSA has a down payment program, which receives special funding.
- **B. BEGINNING FARMER ASSISTANCE:** FSA has the authority to assist beginning farmers through the farm ownership, operating, and conservation loan programs. A portion of FSA farm ownership, operating, and conservation loan funds are, by law, targeted to beginning farmers. In addition, FSA has a down payment program, which receives special funding. In some States, FSA has agreements with State beginning farmer programs to help meet the credit needs of beginning farmers.
- C. LIMITED RESOURCE LOANS: Limited resource farm ownership and operating loans are available to qualified applicants. This program provides loans at reduced interest rates to low-income farmers whose operations and resources are so limited that they cannot pay the regular rates for FSA loans. The program is also intended to provide beginning farmers the opportunity to start a successful farming operation.

#### 10. RIGHTS AND POLICIES:

- A. RIGHT TO FINANCIAL PRIVACY ACT OF 1978 (Public Law 95-630): FSA has a right of access to financial records held by financial institutions in connection with providing assistance to you as well as collecting on loans made to you or guaranteed by the Government. Financial records involving your transaction will be available to FSA without further notice or authorization but will not be disclosed or released by this institution to another Government Agency or Department without your consent except as required by law.
- **B.** THE FEDERAL EQUAL CREDIT OPPORTUNITY ACT: Prohibits creditors from discriminating against applicants on the basis of race, color, religion, sex, national origin, marital status, age (provided the applicant has the capacity to enter into a binding contract), because all or a part of the applicant's income derives from any public assistance program, or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act.
- C. FEDERAL COLLECTION POLICIES: Delinquencies, defaults, foreclosures and abuses of mortgage loans involving programs of the Federal Government can be costly and detrimental to your credit, now and in the future. The mortgage lender in this transaction, its agents and assigns as well as the Federal Government, its agencies, agents and assigns, are authorized to take any and all of the following actions in the event loan payments become delinquent on the mortgaged loan described in the attached application: (1) Report your name and account information to a credit bureau; (2) Assess additional interest and penalty charges for the period of time that payment is not made; (3) Assess charges to cover additional administrative costs incurred by the Government to service your account; (4) Offset amounts owed to you under other Federal programs; (5) Refer your account to a private attorney, collection agency or mortgage servicing agency to collect the amount due, foreclose the mortgage, sell the property and seek judgment against you for any deficiency; (6) Refer your account to the Department of Justice for litigation; (7) If you are a current or retired Federal employee, take action to offset your salary, or civil service retirement benefits; (8) Refer your debt to the Department of the Treasury for cross-servicing and offset against any amount owed to you by any Federal Agency such as an income tax refund; and (9) Report any resulting written-off debt to the Internal Revenue Service as taxable income. All of these actions can and will be used to recover debts owed to the Federal Government when in its best interests.

## 11. RESTRICTIONS AND DISCLOSURE OF LOBBYING ACTIVITIES:

## A. The applicant:

- (1) Certifies that if any funds, by or on behalf of the applicant, have been or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, or loan, the applicant shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (2) Shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and subgrants, under grants and loans) and that all subrecipients shall certify and disclose accordingly.

nitials:			Date:		
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## RESTRICTIONS AND DISCLOSURE OF LOBBYING ACTIVITIES: (CONTINUED)

**B.** This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this statement is a prerequisite for making or entering into this transaction. Any person who fails to file the required statement shall be subject to a civil penalty imposed by 31 U.S.C. 1352.

#### 12. CONTROLLED SUBSTANCES:

The applicant certifies that as an individual, or any member of an entity applicant, has not been convicted under Federal or State law of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the previous 5 crop years. See the Food Security Act of 1985 (Public Law 99-198). The applicant also certifies that as an individual, or any member of an entity applicant, is not ineligible for Federal benefits based on a conviction for the distribution of controlled substances or any offense involving the possession of a controlled substance under 21 U.S.C. § 862.

## 13. DISQUALIFICATION DUE TO FEDERAL CROP INSURANCE FRAUD:

The applicant certifies that as an individual or any member of the entity, has not been disqualified for Federal benefits as provided in Section 515(h) of the Federal Crop Insurance Act (FCIA). Applicants who willfully and intentionally provide false or inaccurate information to the Federal Crop Insurance Corporation (FCIC) or to an approved insurance provider with respect to a policy or plan of FCIC insurance, after notice and an opportunity for a hearing on the record, will be subject to one or more of the sanctions described in Section 515(h)(3) of FCIA.

#### 14. TEST FOR CREDIT:

The applicant certifies that the needed credit, with or without a loan guarantee, cannot be obtained by (1) the individual applicant; (2) in the case of an entity, considering all assets owned by the entity and all of the individual members.

# 15. PERMISSION TO FILE FINANCING STATEMENT, ORDER A CREDIT REPORT, AND VERIFY CREDIT INFORMATION:

Under the Uniform Commercial Code, you do not have to sign the financing statement which allows FSA to obtain a security interest in your property. If the loan is approved and funded, FSA will file a financing statement at the earliest possible date, before you enter into a SECURITY AGREEMENT. BY SIGNING BELOW OR PART E, I GIVE FSA PERMISSION TO FILE A FINANCING STATEMENT PRIOR TO THE EXECUTION OF THE SECURITY AGREEMENT AS WELL AS TO FILE AMENDMENTS AND CONTINUATIONS OF THE FINANCING STATEMENT THEREAFTER. I FURTHER AUTHORIZE FSA TO ORDER A CREDIT REPORT AND VERIFY ANY OTHER CREDIT INFORMATION.

## 16. CERTIFICATION:

I certify that the information provided is true, complete, and correct to the best of my knowledge and is provided in good faith to obtain a loan. (WARNING: Section 1001 of Title 18, United States Code, provides for criminal penalties to those who provide false statements to the Government. If any information is found to be false or incomplete, such finding may be grounds for denial of the requested action).

17A	Signature of Individual Applicant, Spouse or Entity Member	17B. Capacity	17C. Date Signed (MM-DD-YYYY)
		☐ Self	
		☐ Entity Representative	
18A	Signature of Individual Applicant, Spouse or Entity Member	18B. Capacity	18C. Date Signed (MM-DD-YYYY)
		☐ Self	
		☐ Entity Representative	
19A	Signature of Individual Applicant, Spouse or Entity Member	19B. Capacity	19C. Date Signed (MM-DD-YYYY)
		☐ Self	
		☐ Entity Representative	
20A	Signature of Individual Applicant, Spouse or Entity Member	20B. Capacity	20C. Date Signed (MM-DD-YYYY)
		☐ Self	
		☐ Entity Representative	
21A	Signature of Individual Applicant, Spouse or Entity Member	21B. Capacity	21C. Date Signed (MM-DD-YYYY)
		☐ Self	
		Entity Representative	

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PART H FSA USE ONLY			
Date Form FSA-2330 Receiv	red	2. Date Application Complete	
3. Credit Report Fee	4. Date Received	Name of Agency Official	

NOTE: The following is made in accordance with the Privacy Act of 1974 (5 USC 552a – as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act (7 U.S.C. 1921 et seq.), 7 CFR Part 761, and 7 CFR Part 764. The information will be used to determine applicant or entity eligibility for microloan assistance. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a determination of applicant or entity ineligibility for microloan assistance.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE**.

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