

MACHINE INTELLIGENCE 2

EXERCISE 10

K-means, hierarchical, and soft clustering

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1 10.1 K-means and hierarchical clustering

```
#function
from numpy import *
import matplotlib
import matplotlib.pyplot as plt
import cluster
from mpl_toolkits.mplot3d import Axes3D

def plot3dScatter(X,Y,Z,title,c):
    fig = plt.figure()
    ax = Axes3D(fig)
    ax.plot(X,Y,Z,c)
    ax.set_title(title)
    plt.show()

def plotScatter(X,Y,title,c,ran):
    fig = plt.figure()
    ax = fig.add_subplot(111)
    ax.axis([-ran,ran,-ran,ran])
    ax.plot(X,Y,c+'.')
    ax.set_title(title)
    plt.show()

def plotScatter2(X,Y,title):
    fig = plt.figure()
    ax = fig.add_subplot(111)
    for i in range (len(X)):
        ax.plot(X[i],Y[i], 'g.')
    ax.set_title(title)
    plt.show()

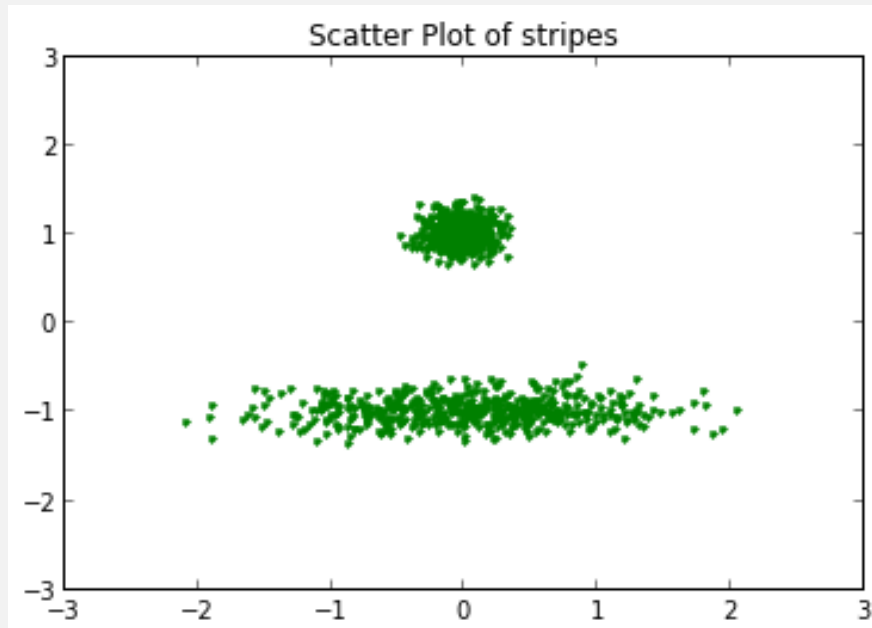
def plotCluster(clusters,colors,title,ran):
    fig = plt.figure()
    ax = fig.add_subplot(111)
    ax.axis([-ran,ran,-ran,ran])
    for i in range (len(clusters)):
        for j in range (len(clusters[i])):
            ax.plot(clusters[i][j][0],clusters[i][j][1],colors[i]+'.')
    ax.set_title(title)
    plt.show()

def plotCluster3d(X,Y,Z,result,colors,title):
    fig = plt.figure()
    ax = Axes3D(fig)
    for i in range (len(X)):
        ax.scatter(X[i],Y[i],Z[i],c=colors[result[i]-1] )
    ax.set_title(title)
    plt.show()
```

1.1 stripes2

```
data = loadtxt("clusters/stripes2.csv",skiprows=1,delimiter=",",usecols=(1,2))
X = data[:,0]
Y = data[:,1]
plotScatter(X,Y,"Scatter Plot of stripes",'g',3)

kdata = [0 for i in range (len(X))]
for i in range (len(X)):
    kdata[i] = (X[i],Y[i])
```



```
#Kmeans
cl = cluster.KMeansClustering(kdata)
result = cl.getclusters(2)
```

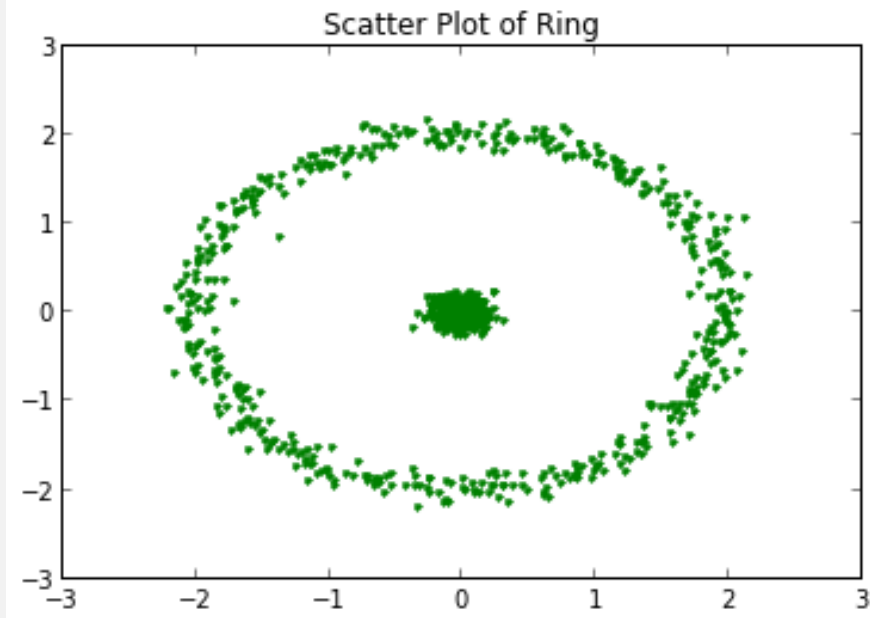
```
colors = ['r','g','b','c','m']
plotCluster(result,colors,"KMeans Clustering of Dataset-stripes2",3)
```



1.2 Ring

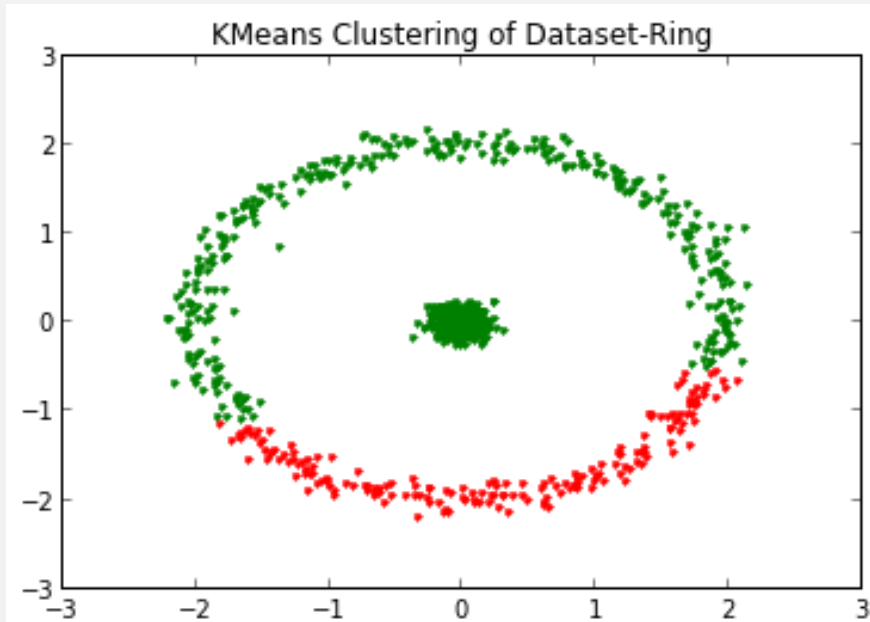
```
data = loadtxt("clusters/ring.csv",skiprows=1,delimiter=",",usecols=(1,2))
X = data[:,0]
Y = data[:,1]
plotScatter(X,Y,"Scatter Plot of Ring",'g',3)

kdata = [0 for i in range (len(X))]
for i in range (len(X)):
    kdata[i] = (X[i],Y[i])
```



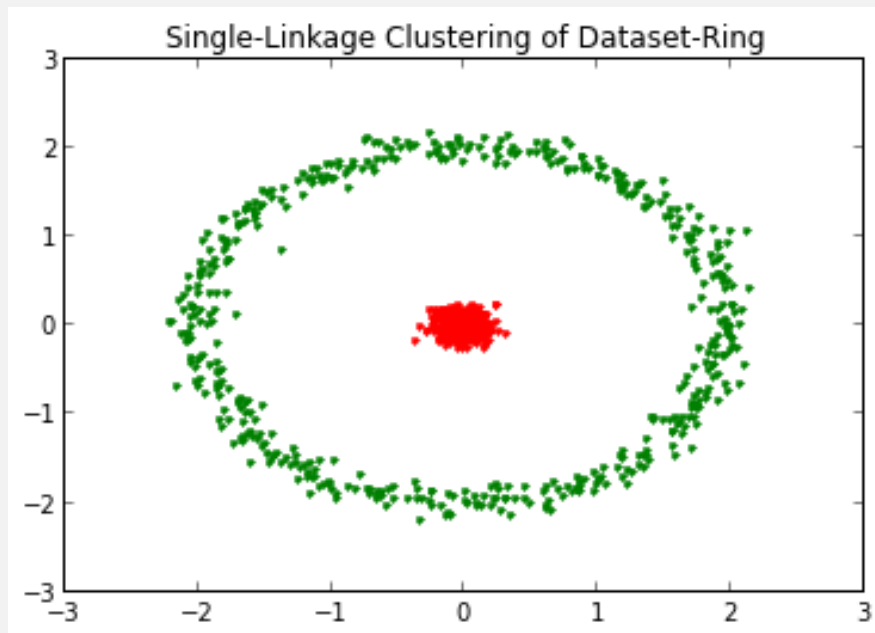
```
#Kmeans  
cl = cluster.KMeansClustering(kdata)  
result = cl.getclusters(2)
```

```
colors = ['r','g','b','c','m']  
plotCluster(result,colors,"KMeans Clustering of Dataset-Ring",3)
```



```
#Single-Linkage
cl = cluster.HierarchicalClustering(kdata, lambda (x1,y1),(x2,y2): math.sqrt((x1-x2)
    **2+(y1-y2)**2),'single' )
result = cl.getlevel(1)
```

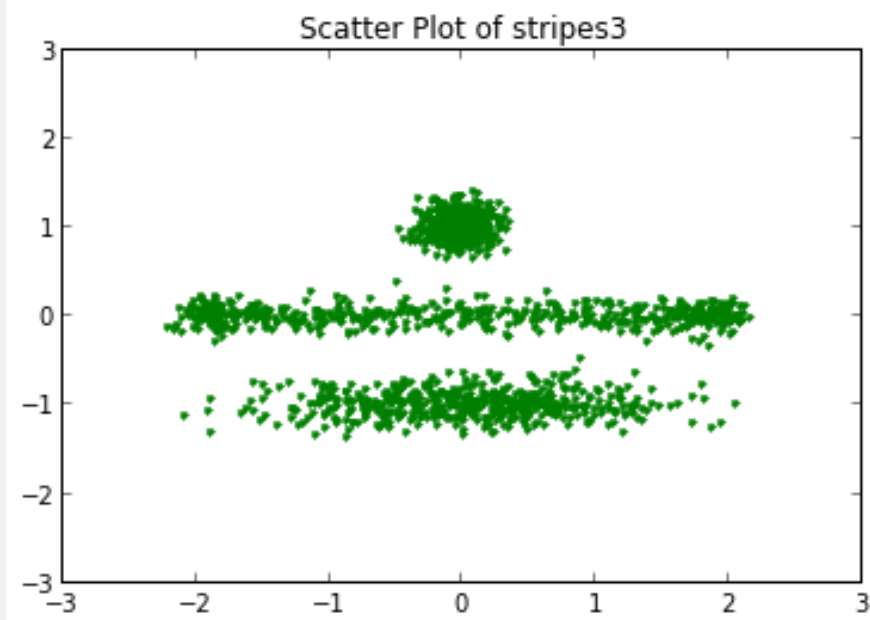
```
colors = ['r','g','b','c','m']
plotCluster(result,colors,"Single-Linkage Clustering of Dataset-Ring",3)
```



1.3 stripes3

```
data = loadtxt("clusters/stripes3.csv",skiprows=1,delimiter=",",usecols=(1,2))
X = data[:,0]
Y = data[:,1]
plotScatter(X,Y,"Scatter Plot of stripes3",'g',3)

kdata = [0 for i in range (len(X))]
for i in range (len(X)):
    kdata[i] = (X[i],Y[i])
```



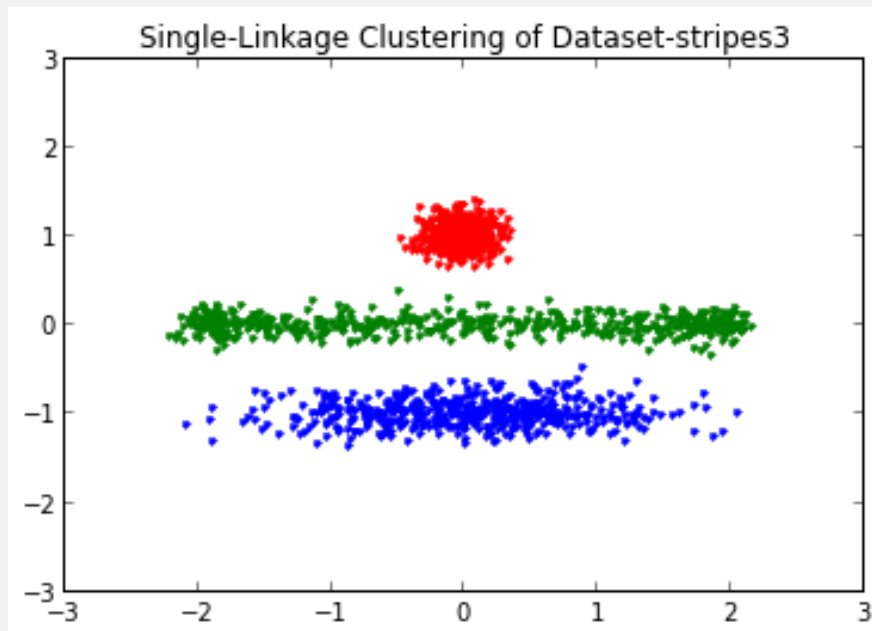
```
#Kmeans  
cl = cluster.KMeansClustering(kdata)  
result = cl.getclusters(2)
```

```
colors = ['r','g','b','c','m']  
plotCluster(result,colors,"KMeans Clustering of Dataset-stripes3",3)
```



```
#Single-Linkage
cl = cluster.HierarchicalClustering(kdata, lambda (x1,y1),(x2,y2): math.sqrt((x1-x2)
    **2+(y1-y2)**2),'single' )
result = cl.getlevel(0.3)
```

```
colors = ['r','g','b','c','m']
plotCluster(result,colors,"Single-Linkage Clustering of Dataset-stripes3",3)
```

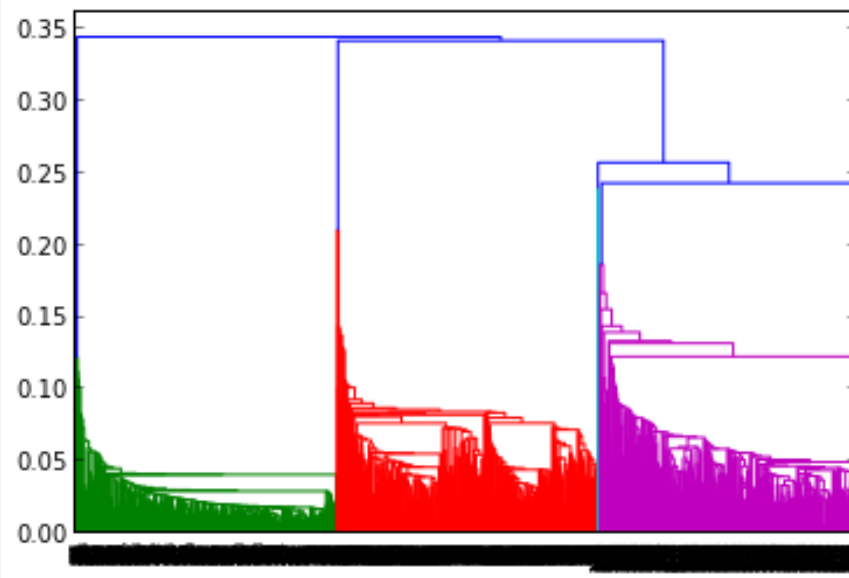


```
#linkage
import scipy.cluster.hierarchy as ch

kdata = [[0 for j in range (2)] for i in range (len(X))]
for i in range (len(X)):
    kdata[i][0] = X[i]
    kdata[i][1] = Y[i]

dis = scipy.spatial.distance.pdist(matrix(kdata))

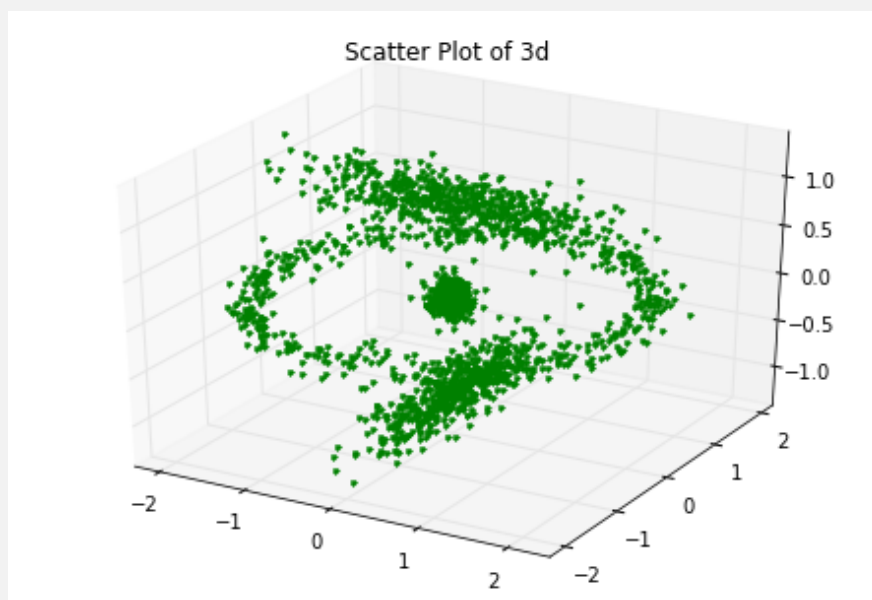
linkresult = ch.linkage(dis)
den = ch.dendrogram(linkresult,get_leaves=False,show_leaf_counts=False)
```

1.4 3d

```
data = loadtxt("clusters/3d.csv",skiprows=1,delimiter=",",usecols=(1,2,3))
X = data[:,0]
Y = data[:,1]
Z = data[:,2]
plot3dScatter(X,Y,Z,"Scatter Plot of 3d",'g.')

kdata = [0 for i in range (len(X))]
for i in range (len(X)):
    kdata[i] = (X[i],Y[i],Z[i])
```

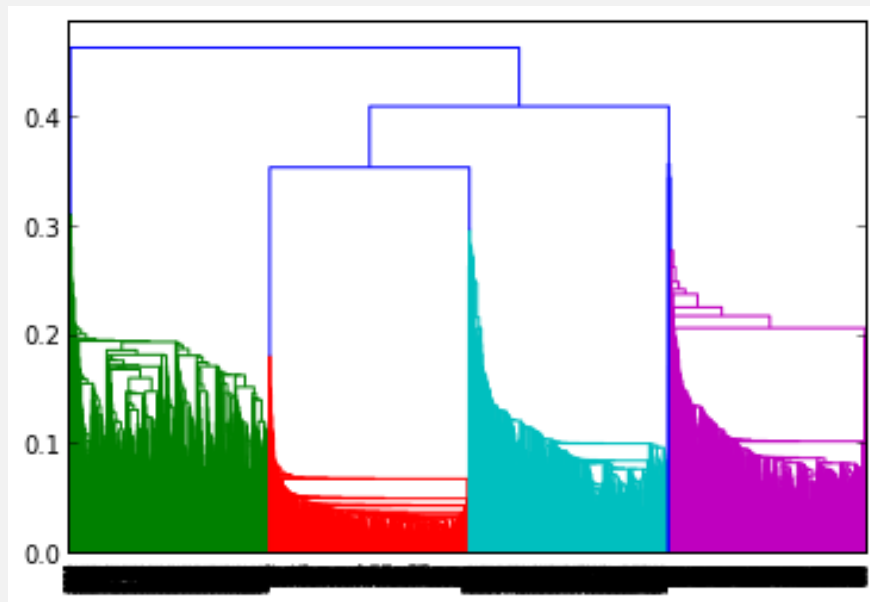


```
#linkage
import scipy.cluster.hierarchy as ch

hdata = [[0 for j in range (3)] for i in range (len(X))]
for i in range (len(X)):
    hdata[i][0] = X[i]
    hdata[i][1] = Y[i]
    hdata[i][2] = Z[i]

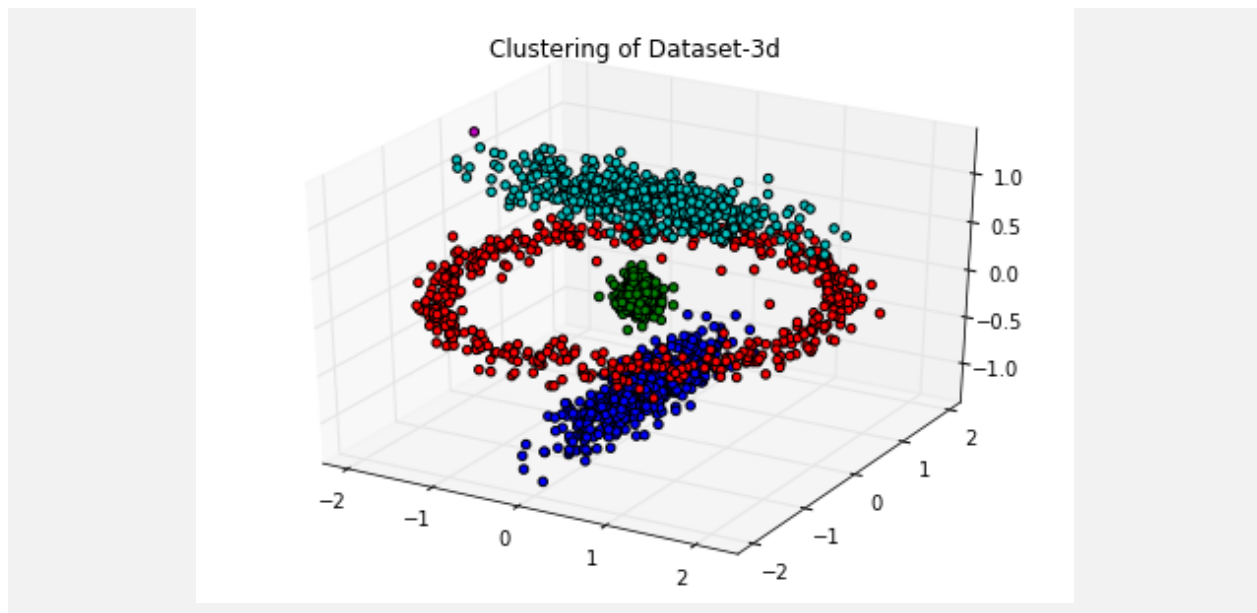
dis = scipy.spatial.distance.pdist(matrix(hdata))

linkresult = ch.linkage(dis)
den = ch.dendrogram(linkresult,get_leaves=False,show_leaf_counts=False)
```



```
R = ch.fcluster(linkresult,t=0.35,criterion='distance')
```

```
colors = ['r','g','b','c','m']
plotCluster3d(X,Y,Z,R,colors,"Clustering of Dataset-3d")
```



2 10.2 Soft K-means Clustering

```
#init W
def init_w(k,rSeed):
    random.seed(rSeed)
    W_init = [[0 for j in range(2)] for i in range(k)]
    for p in range(k):
        W_init[p][0] = random.random() * 6 - 3
        W_init[p][1] = random.random() * 6 -3
    return W_init
```

```
#soft-Kmeans
def kmeans_soft(X,Y,k,gamma,W_init,beta0,ita,betaf):
    beta = beta0
    W = [[ W_init[i][j] for j in range (len(W_init[0])) ] for i in range (len(W_init)) ]
    W_new = [[ W_init[i][j] for j in range (len(W_init[0])) ] for i in range (len(W_init)) ]
    m = [[0 for j in range(k)] for i in range(len(X))]

    while True:

        end = False
        while True:
            for alpha in range (len(X)):
                tmpSum = 0.
                for q in range (k):
                    tmpSum += math.exp(-beta/2 * ( (X[alpha] - W[q][0])**2 + (Y[alpha] - W[q][1])**2 ) )
                for p in range (k):
                    m[alpha][p] = math.exp(-beta/2 * ( (X[alpha] - W[p][0])**2 + (Y[alpha]
```

```

        - W[p][1])**2 ) ) / tmpSum
for p in range (k):
    mx_sum = 0.
    my_sum = 0.
    m_sum = 0.
    for alpha in range (len(X)):
        mx_sum += m[alpha][p] * X[alpha]
        my_sum += m[alpha][p] * Y[alpha]
        m_sum += m[alpha][p]
    W_new[p][0] = mx_sum / m_sum
    W_new[p][1] = my_sum / m_sum

    count = 0
    for q in range(k):
        if( math.sqrt( (W_new[q][0] - W[q][0])**2 + (W_new[q][1] - W[q][1])**2 ) <
            gamma):
            count += 1
    if(count == k):
        end = True

    W = W_new[:, :]

    if (end):
        break

    beta = ita * beta
    if(beta > betaf):
        break

return W,m

```

```

def plotCluster(X,Y,title,colors,ran,m, W):
    fig = plt.figure()
    ax = fig.add_subplot(111)
    ax.axis([-ran,ran,-ran,ran])
    for i in range (len(X)):
        #find the max probability
        for q in range (k):
            if(q == 0):
                m_max = m[i][q]
                p = q
            else:
                if(m_max < m[i][q]):
                    m_max = m[i][q]
                    p = q
        ax.plot(X[i],Y[i],colors[p]+'.')
    for i in range (len(W)):
        ax.plot(W[i][0],W[i][1],colors[i]+'D')
        ax.plot(W[i][0],W[i][1], 'y'+ 'H')
    ax.set_title(title)
    plt.show()

```

```

def assignPoint(W,x,y):
    k = len(W)
    for i in range(k):
        if(i==0):
            min_distance = distance(W[i][0],W[i][1],x,y)
            min_p = i
        else:
            d = distance(W[i][0],W[i][1],x,y)
            if(d < min_distance):
                min_distance = d
                min_p = i
    return min_p

def drawBoundary(X,Y,title,ran, W_init, W, fine):
    fig = plt.figure()
    ax = fig.add_subplot(111)
    ax.axis([-ran,ran,-ran,ran])

    ax.plot(X,Y,'g'+'.')

    for i in range (len(W_init)):
        ax.plot(W_init[i][0],W_init[i][1], 'r'+ 'H')

    for i in range (len(W)):
        ax.plot(W[i][0],W[i][1], 'k'+ 'H')

    ax.set_title(title)

    ran = float(ran)
    unit = 2*ran/fine
    print 'unit',unit
    for i in range(fine):
        x = -ran + i*unit
        for j in range(fine):
            y = -ran + j*unit
            #print x,y
            p0 = assignPoint(W,x,y)
            p1 = assignPoint(W,x+unit,y)
            p2 = assignPoint(W,x-unit,y)
            p3 = assignPoint(W,x,y+unit)
            p4 = assignPoint(W,x,y-unit)
            if(p0==p1 and p1==p2 and p2==p3 and p3==p4):
                continue
            else:
                ax.plot(x,y,'y.')
    plt.show()

```

```

#read data
data = loadtxt("cluster.dat")
print data.shape

```

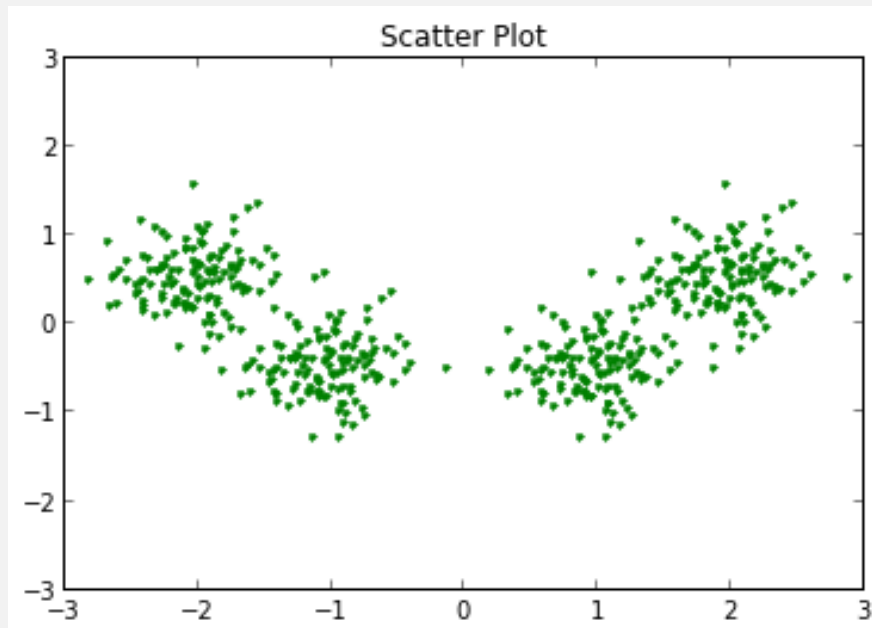
```

X = data[0,:]
Y = data[1,:]

plotScatter(X,Y,"Scatter Plot", 'g', 3)

```

(2, 500)



2.1 Non-Annealing

```

k = 8
rSeed = 100
W_init = init_w(k,rSeed)
print "W_init"
print matrix(W_init)
gamma = 0.01
ita = 1.1
W_result = [ W_init[:, :] for i in range (100)]
m_result = [ m[:, :] for i in range (100)]
for t in range(100):
    beta0 = 0.2 * (t+1)
    betaf = beta0
    W_return , m_return = kmeans_soft(X,Y,k,gamma,W_init,beta0,ita,betaf)
    W_result[t] = W_return[:, :]
    m_result[t] = m_return[:, :]
    if(t<10 or t%10==0):
        W_plot = W_result[t][:, :]
        drawBoundary(X,Y,"Boundary(beta="+str(beta0)+")",3, W_init, W_plot, 100)

```

```

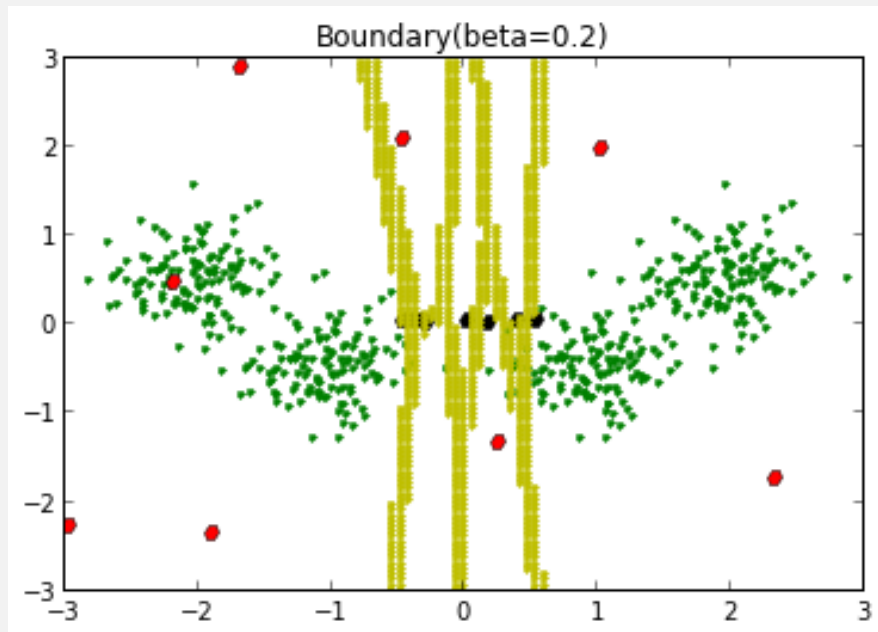
W_init
[[ 0.26042965 -1.32978369]

```

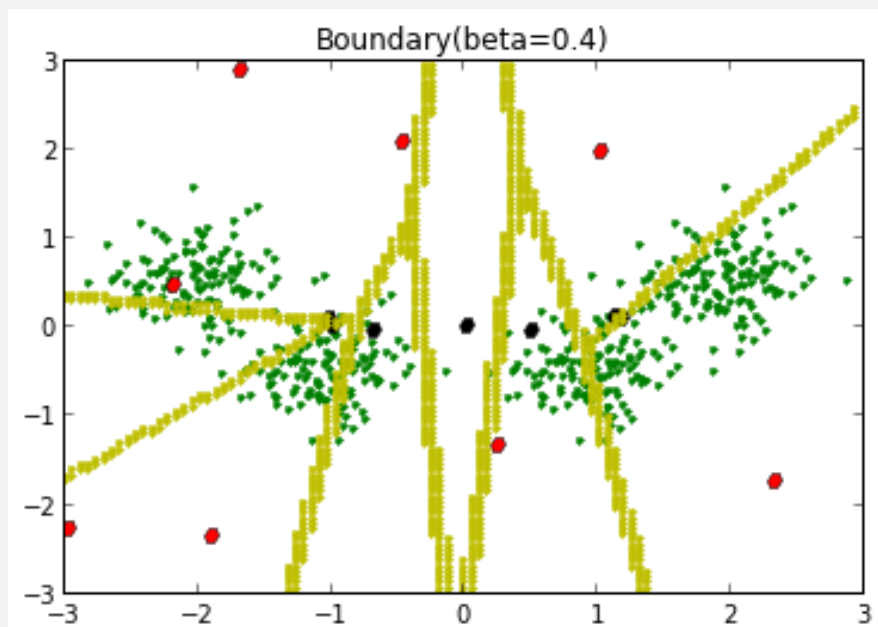
```
[ -0.45289446  2.06865679]  
[ -2.97168686 -2.27058528]  
[  1.02449451  1.95511653]  
[ -2.17976046  0.45055998]  
[  2.34793173 -1.74478727]  
[ -1.88803068 -2.34973866]  
[ -1.68181504  2.87174271]]
```

unit

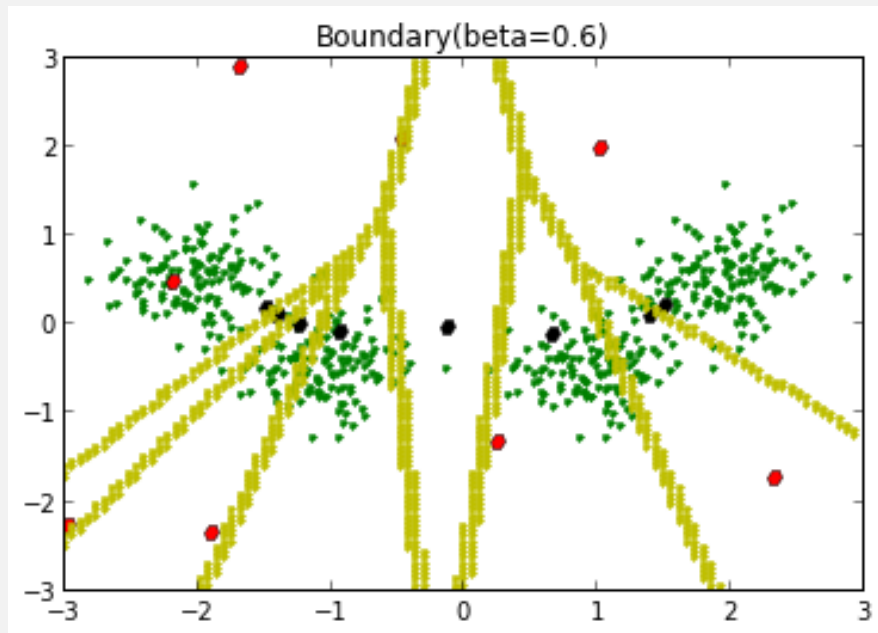
0.06



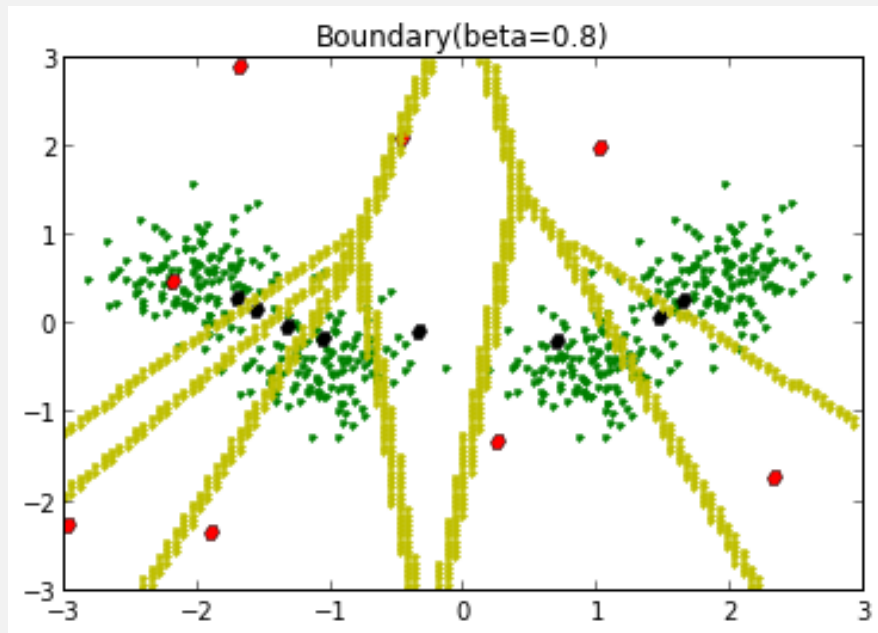
unit 0.06



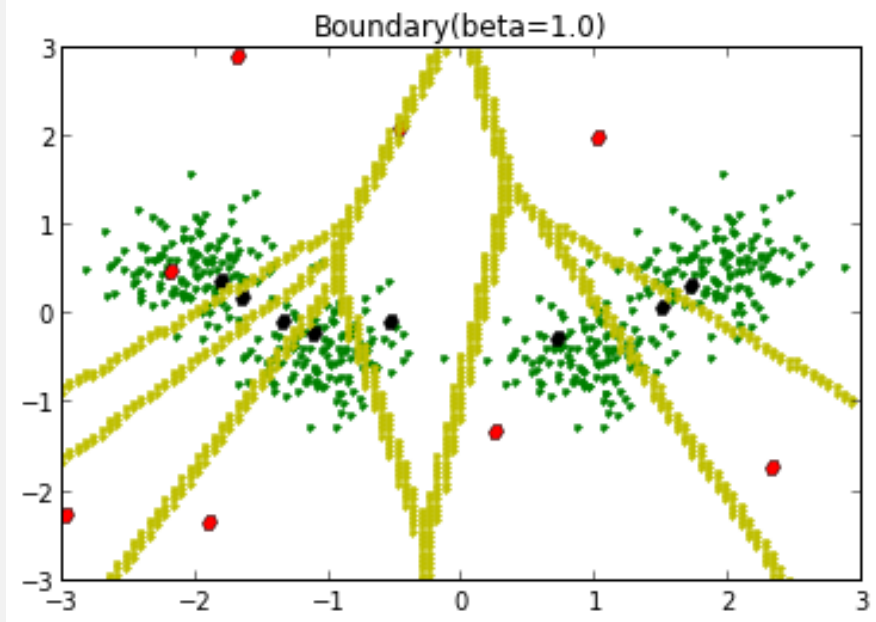
unit 0.06



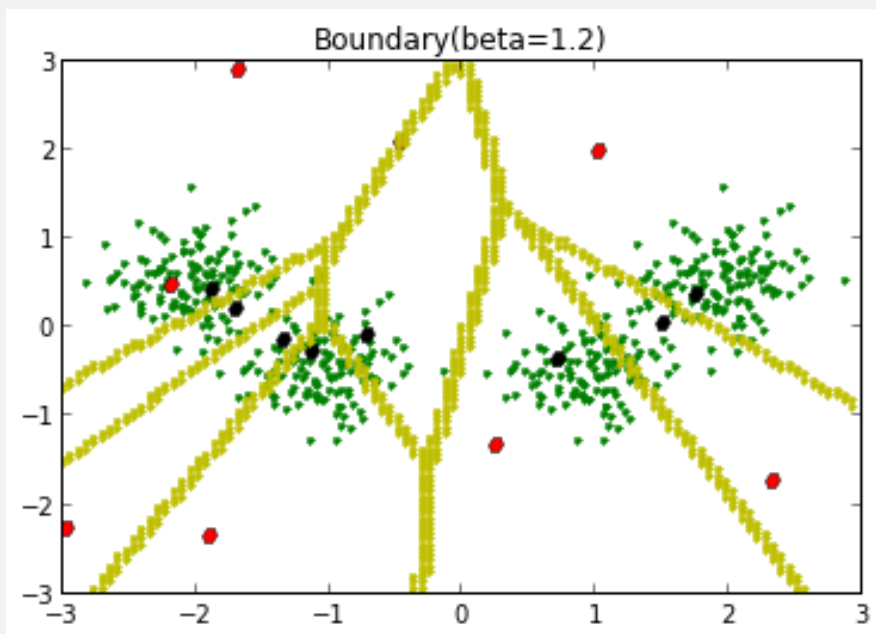
unit 0.06



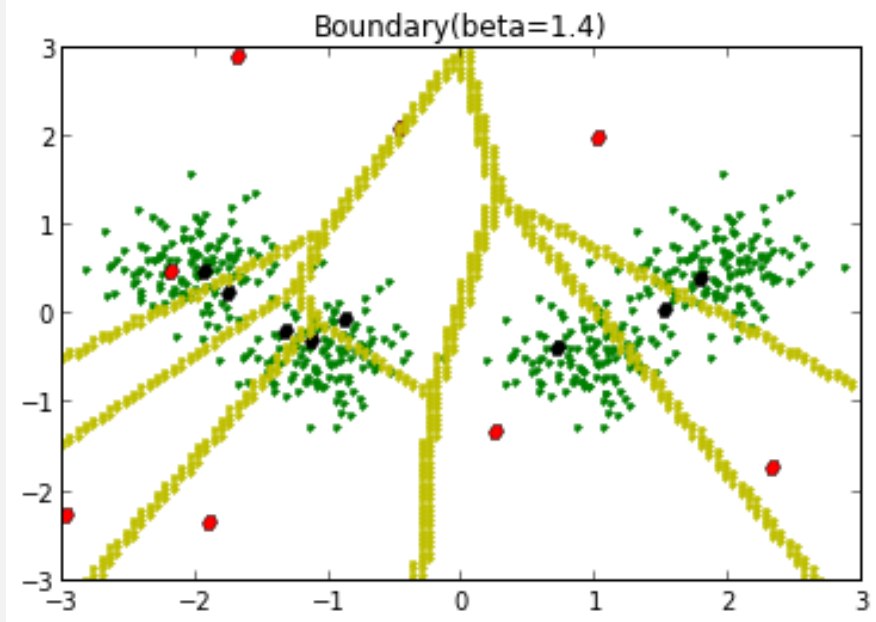
unit 0.06



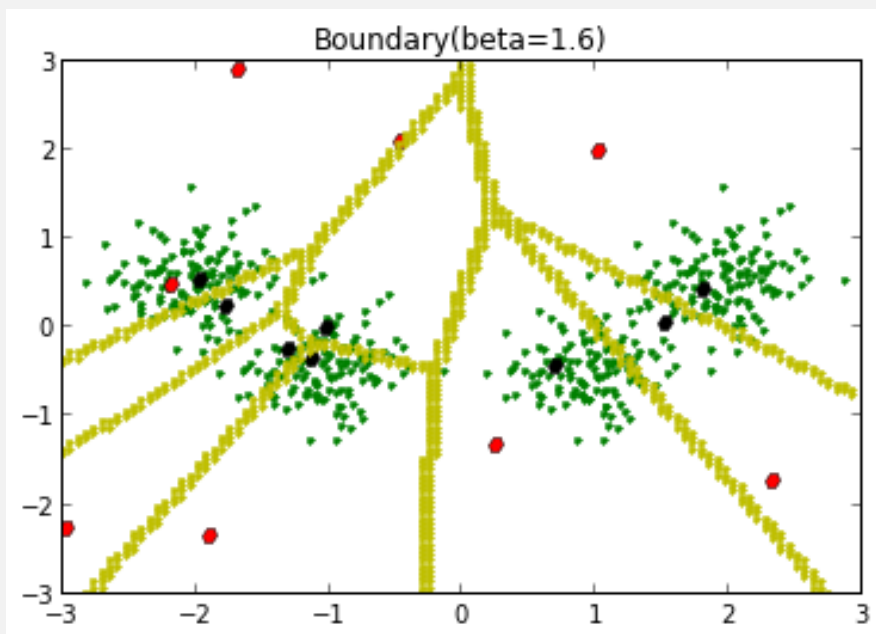
unit 0.06



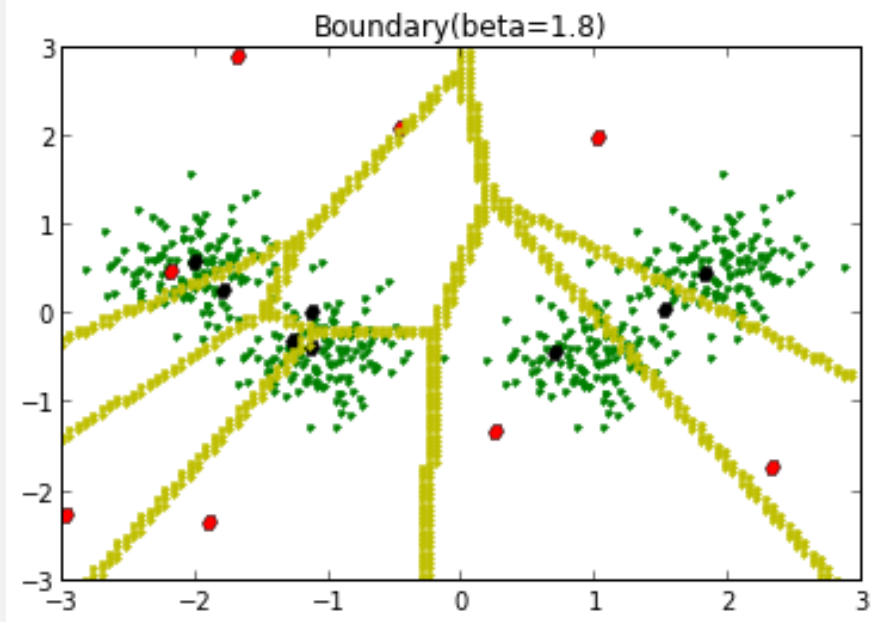
unit 0.06



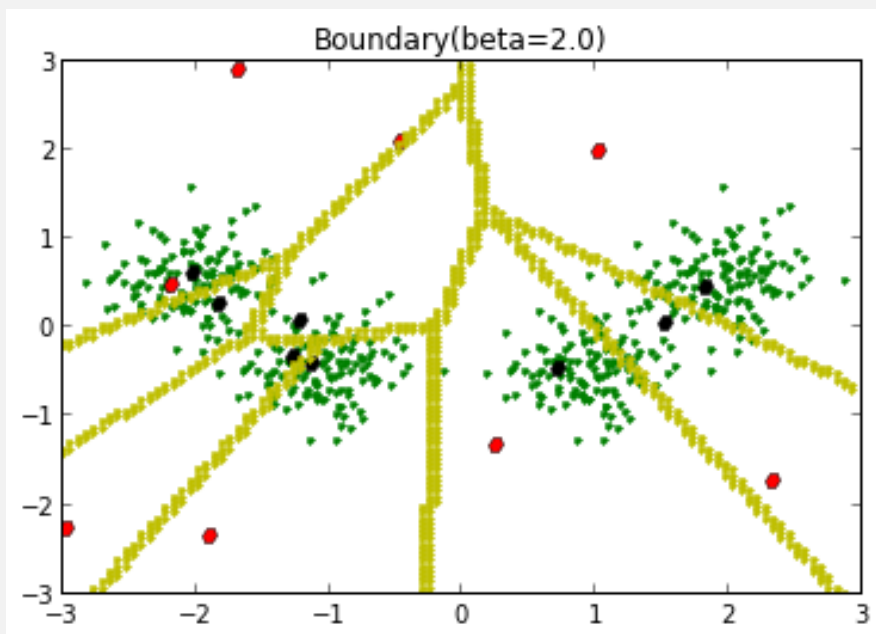
unit 0.06



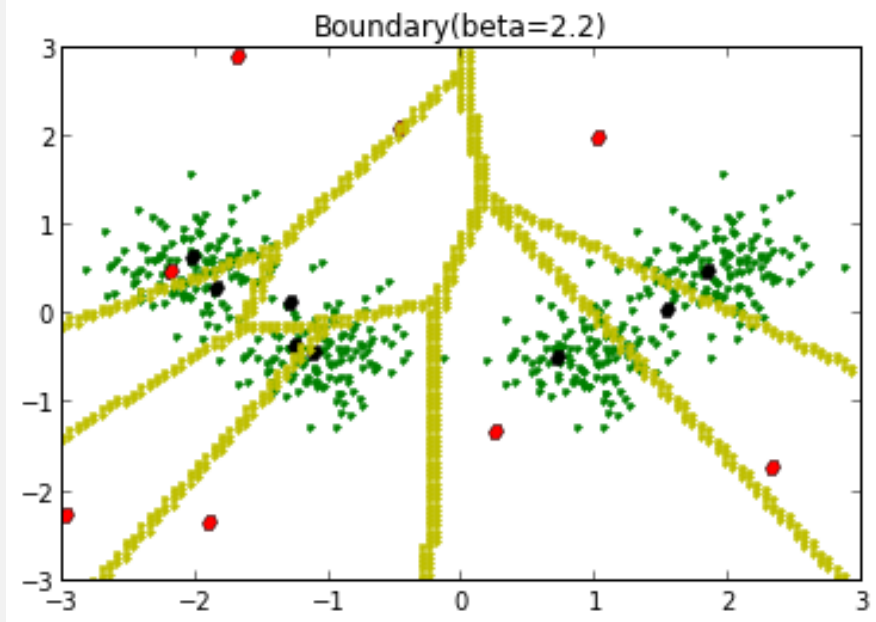
unit 0.06



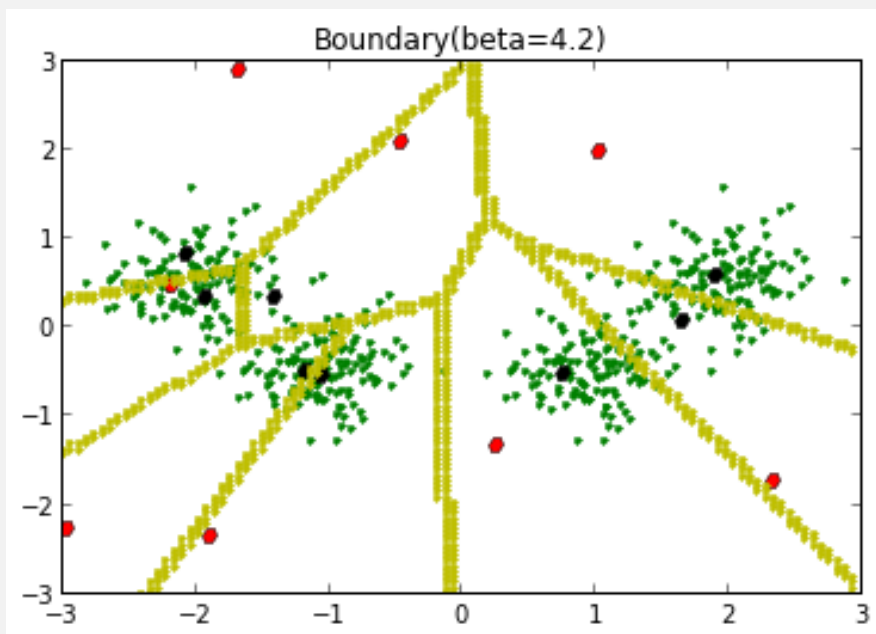
unit 0.06



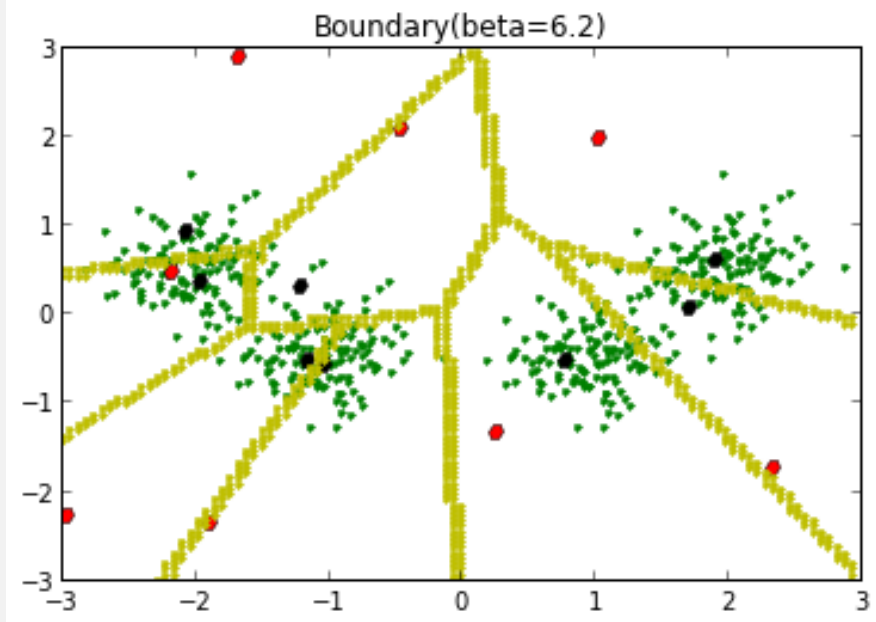
unit 0.06



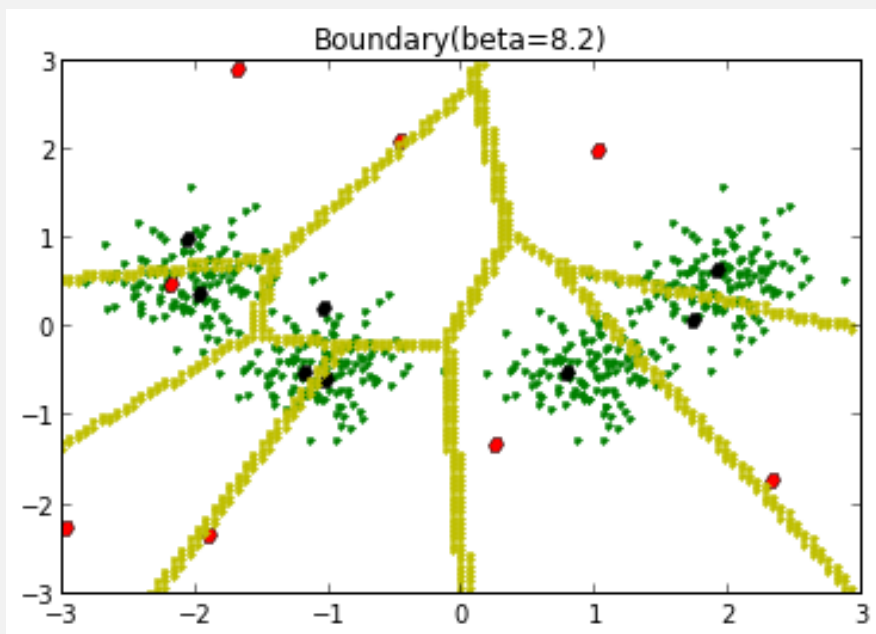
unit 0.06



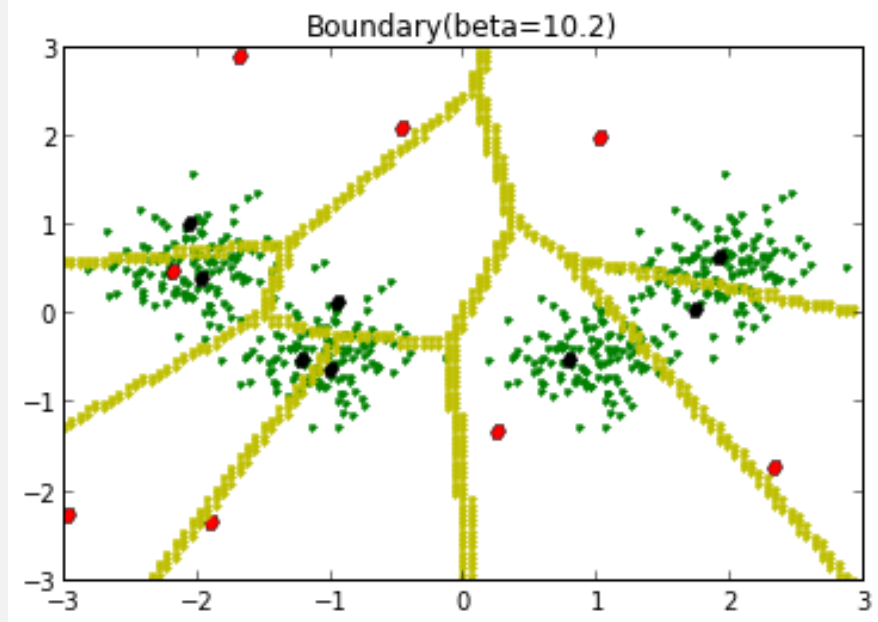
unit 0.06



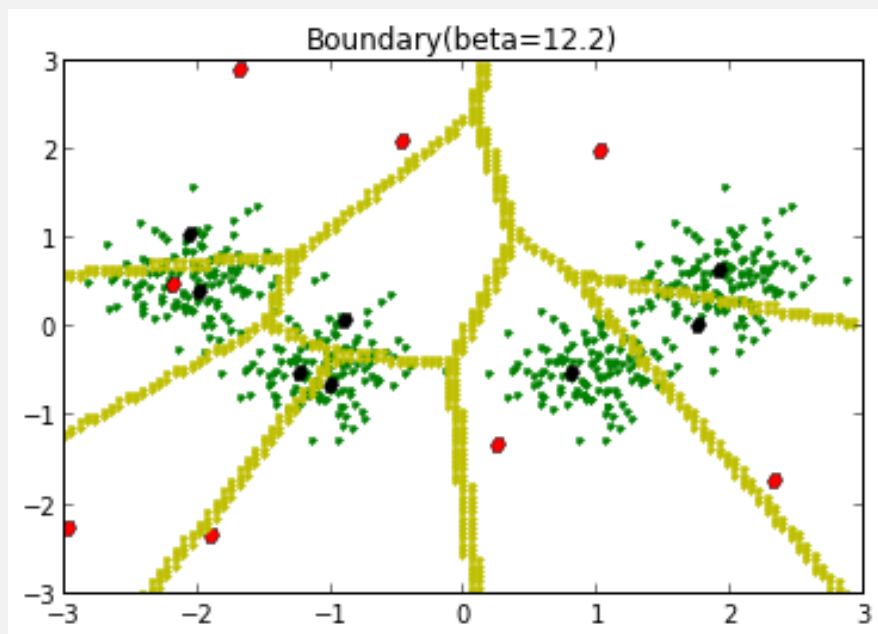
unit 0.06



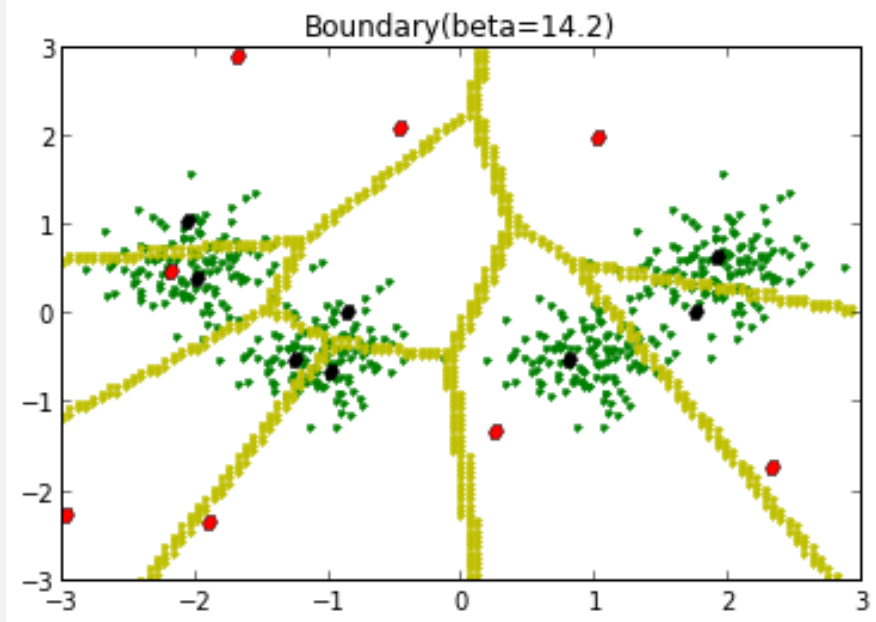
unit 0.06



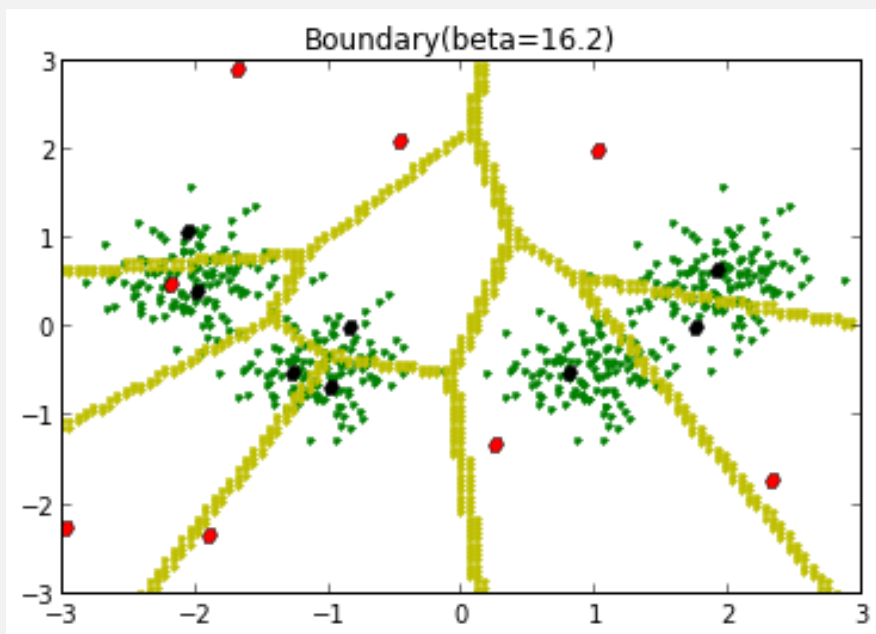
unit 0.06



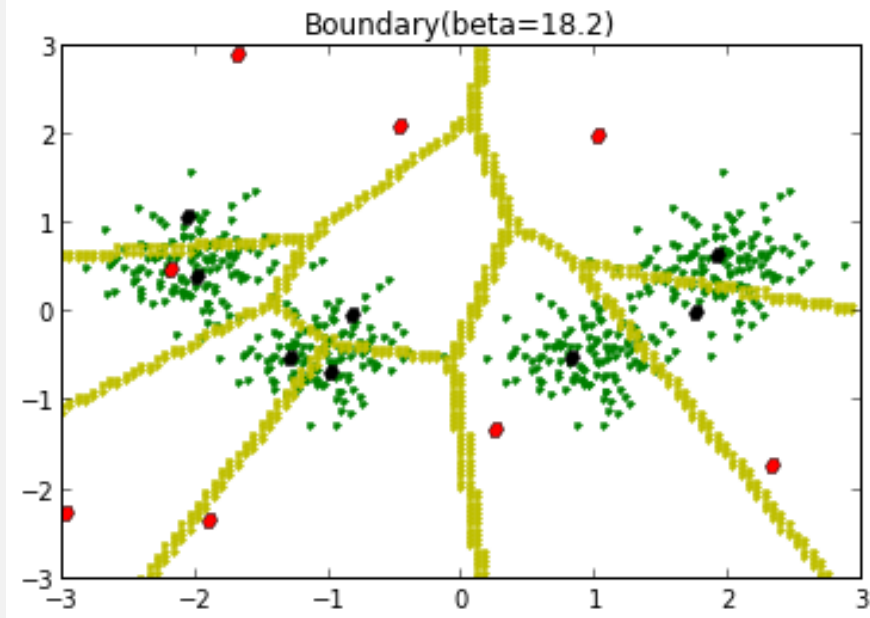
unit 0.06



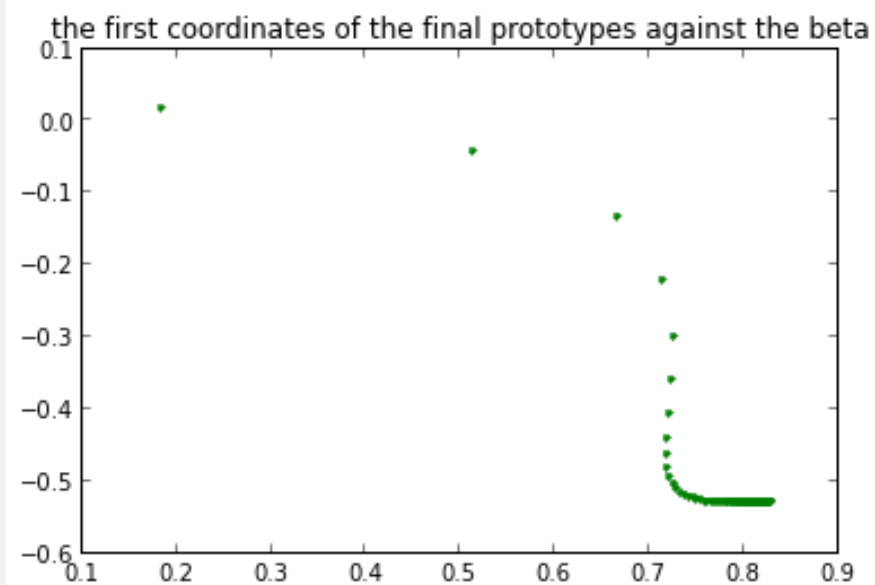
unit 0.06



unit 0.06



```
#Plot the first coordinates of the final prototypes against the beta
W_first_x = [0 for i in range (100)]
W_first_y = [0 for i in range (100)]
for t in range(100):
    beta0 = 0.2 * (t+1)
    W_first_x[t] = W_result[t][0][0]
    W_first_y[t] = W_result[t][0][1]
plotScatter2(W_first_x[:], W_first_y[:], "the first coordinates of the final prototypes
against the beta")
```



2.2 Annealing

```

k_array = [ 4, 6, 8 ]
rSeed = 100

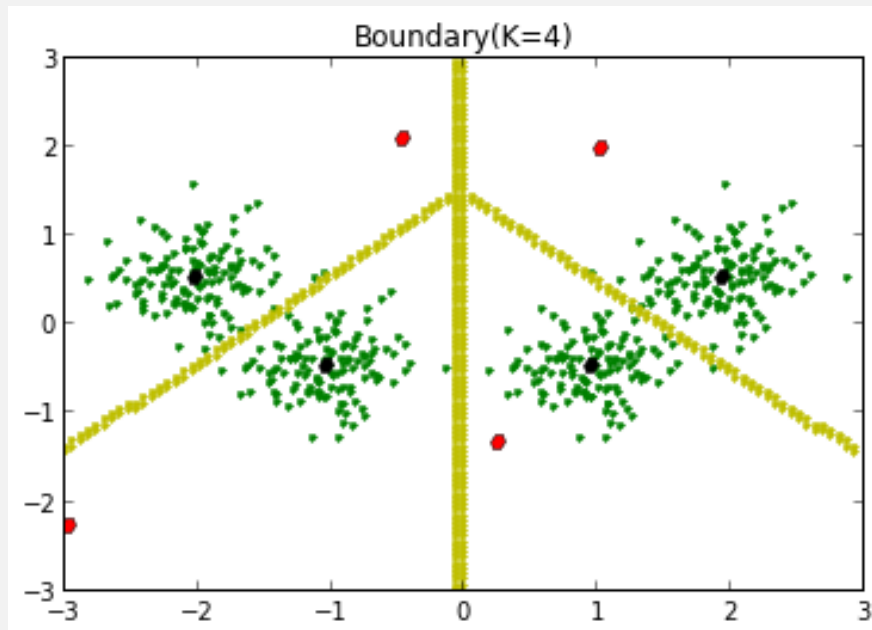
gamma = 0.01
ita = 1.1
beta0 = 0.2
betaf = 20

W_result2 = [ W_init[:, :] for i in range (len(k_array))]
m_result2 = [ m[:, :] for i in range (len(k_array))]

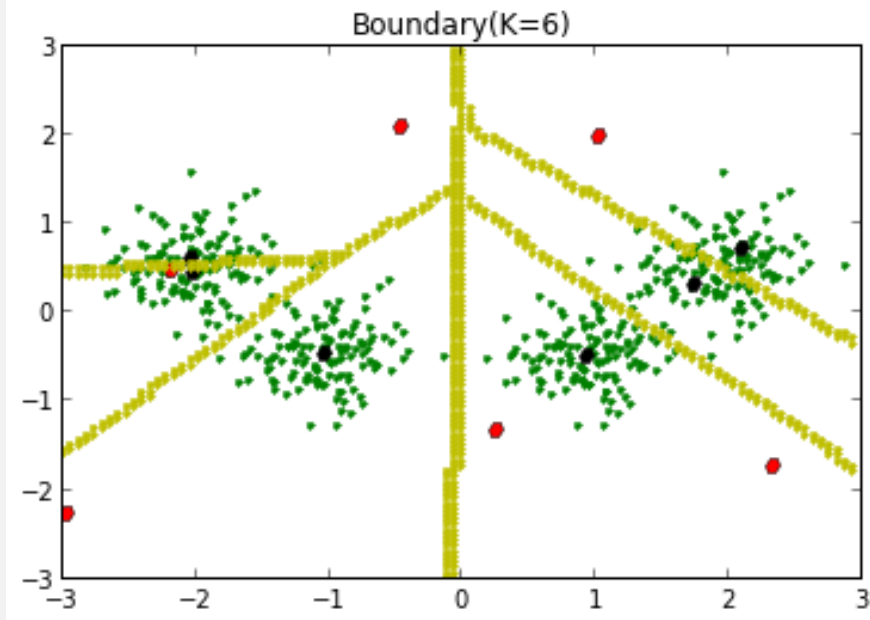
for t in range(len(k_array)):
    W_init = init_w(k_array[t], rSeed)
    W_return , m_return = kmeans_soft(X, Y, k_array[t], gamma, W_init, beta0, ita, betaf)
    W_result[t] = W_return[:, :]
    m_result[t] = m_return[:, :]
    W_plot = W_result[t][:, :]
    drawBoundary(X, Y, "Boundary(K="+str(k_array[t])+")", 3, W_init, W_plot, 100)

```

unit 0.06



unit 0.06



unit 0.06

