MODIS Multi-Angle Implementation of Atmospheric Correction (MAIAC) Data User's Guide

Collection 6 (ver. of June 2018)

Version 2.0

Principal Investigator: Alexei Lyapustin

Correspondence e-mail address: Yujie.Wang@nasa.gov; Alexei.I.Lyapustin@nasa.gov;

Prepared by Alexei Lyapustin and Yujie Wang

Published: June 2018

TABLE OF CONTENTS

1. Introduction	. 3
2. Overview of MAIAC products	3
2.1 Tiled File Structure and Naming Convention	. 3
2.2 MAIAC Products: General Description	. 4
2.2.1 Atmospheric Properties File (MCD19A2)	4
2.2.2 Surface Reflectance File (MCD19A1)	5
2.2.3 Surface BRDF File (MCD19A3)	8
3. QA-related Comments (please read)	. 9
3.1 Change in reported AOD for MAIAC AOD users	9
3.2 Use of Adjacency Mask	. 9
3.3 Selecting Best Quality BRF and AOD	. 9
4. MAIAC Data Specification	10
4.1. Surface Reflectance (MCD19A1)	. 10
4.2 Status QA definition for MCD19A1 (16-bit unsigned integer)	11
4.3 Aerosol Optical Depth (MCD19A2)	12
4.4 AOD QA definition for MCD19A2 (16-bit unsigned integer)	13
4.5 8-day BRDF model parameters (MCD19A3)	14
5. Caveats and Known Problems	14
6. Community Validation and Analysis of MAIAC	15
7. Data ordering (browsing)	15
7.1 Data Access.	.15
Deferences	16

1. Introduction

MAIAC is a new advanced algorithm which uses time series analysis and a combination of pixeland image-based processing to improve accuracy of cloud detection, aerosol retrievals and
atmospheric correction (*Lyapustin et al.*, 2011a,b; 2012; publication on current MAIAC is under
preparation). The underlying physical idea behind MAIAC is simple: because surface changes
slowly in time compared to aerosols and clouds given the daily rate of global MODIS observations,
we focus on extensive characterization of the surface background in order to improve all stages of
MAIAC processing. MAIAC starts with gridding MODIS measurements (L1B data) to a fixed grid
at 1km resolution in order to observe the same grid cell over time and work with polar-orbiting
observations as if they were "geostationary". In this regard, this approach is fundamentally different
from the conventional swath-based processing where the footprint changes with orbit and view
geometry (scan angle) making it difficult to characterize an always changing surface background.

To enable the time series analysis, MAIAC implements the sliding window technique by storing from 4 (at poles) to 16 (at equator) days of past observations in operational memory. This helps us retrieve surface BRDF from accumulated multi-angle set of observations, and detect seasonal (slow) and rapid surface change. A detailed knowledge of the previous surface state also helps MAIAC's internal dynamic land-water-snow classification including snow detection and characterization.

Consistently with the entire C6 MODIS land processing, the top-of-atmosphere (TOA) L1B reflectance includes standard C6 calibration (*Toller et al.*, 2014) augmented with polarization correction for MODIS Terra (*Meister et al.*, 2012), residual de-trending and MODIS Terra-to-Aqua cross-calibration (*Lyapustin et.al*, 2014). The L1B data are first gridded into 1km MODIS sinusoidal grid using area-weighted method (*Wolfe et al.*, 1998). Due to cross-calibration, MAIAC processes MODIS Terra and Aqua jointly as a single sensor.

2. Overview of MAIAC products

MAIAC provides a suite of atmospheric and surface products in HDF4 format, including: (1) *daily* MCD19A1 (spectral BRF, or surface reflectance), (2) *daily* MCD19A2 (atmospheric properties), and (3) 8-day MCD19A3 (spectral BRDF/albedo).

2.1 Tiled File Structure and Naming Convention

Products are generated on a 1km sinusoidal grid. The sinusoidal projection is not optimal due to distortions at high latitudes and off the grid-center, but it is a tradeoff made by the MODIS land team for the global data processing. The gridded data are divided into 1200x1200km² standard MODIS tiles shown in Figure 1.

The current dataset presents data per orbit (we do not provide a daily composite image as in standard MODIS surface reflectance product MOD09). Each daily file name follows the standard MODIS name convention, for instance:

MCD19A1.DayOfObservation.TileNumber.Collection.TimeOfCreation.hdf.

DayOfObservation has the format "AYYYYDDD", where YYYY is year, DDD is Julian day.

TileNumber has the standard format, e.g. h11v05 for the east coast USA.

Each daily file usually contains multiple orbit overpasses (1-2 at equator and up to 30 in polar regions for combined Terra and Aqua) which represents the third (time) dimension of MAIAC daily files. The orbit number and the overpass time of each orbit are saved in global attributes "Orbit_amount" and "Orbit_time_stamp" sequentially. The Orbit_time_stamp is in the format of "YYYYDDDHHMM[T/A]", where YYYY is year, DDD is Julian day, HH is hour MM is minute, T stands for Terra and A stands for Aqua. At high latitudes, only the first 16 orbits with largest coverage are selected for processing per day in order to limit the file size.

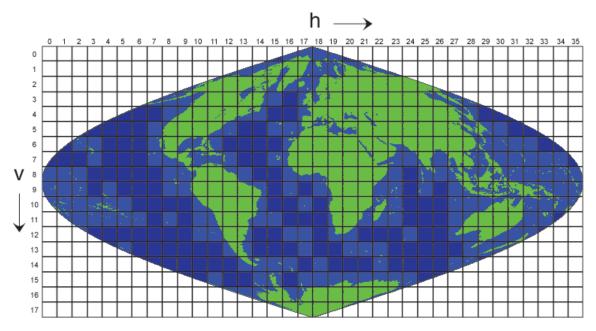


Figure 1. Illustration of MODIS Sinusoidal Tiles.

2.2 MAIAC Products: General Description

In Version 6, MAIAC spectral BRF and BRDF are considered "standard" products while aerosol optical depth (AOD) and other output fields are reported as "internal". MAIAC processing is limited to global land tiles and land-containing ocean tiles (green and light blue colors in Fig. 1).

Over inland, coastal and open ocean waters, MAIAC reports AOD, fine mode fraction, and spectral reflectance of underlight (or equivalent reflectance of water-leaving radiance).

2.2.1 Atmospheric Properties File (MCD19A2)

For each orbit, MAIAC daily MCD19A2 (atmospheric properties) file includes:

Over land:

column water vapor (CWV) retrieved from MODIS near-IR bands B17-B19 at 0.94μm (in cm). CWV is reported for both clear and cloudy pixels. In the latter case, it represents

water vapor above the cloud;

- aerosol optical depth and type (background, biomass burning or dust). The AOD is originally retrieved (and reported) in MODIS Blue band B3 (0.47μm). Because the common input for the chemical transport models and GCMs as well as AOD validation and AOD product intercomparison are standardized to 0.55μm, we also provide the "Green" band (B4) AOD. It is computed from 0.47μm based on spectral properties of regional aerosol model used in retrievals. Validation shows that quality of AOD at 0.55μm is generally close though slightly worse than the original retrieval at 0.47μm. Currently, AOD is not retrieved at high altitudes >4.2km, except when Smoke/Dust aerosol is detected. Rather, we report static "climatology" value of 0.02 which is used for atmospheric correction. Our study showed that in conditions of very low AOD, non-flat terrain and generally bright surface, MAIAC aerosol retrievals at high altitudes are unreliable.
- *AOD uncertainty:* This parameter is evaluated based on the Blue-band B3 surface brightness (reflectance) only, and thus gives only a general indication of possible increase of error over brighter surfaces;
- *Injection Height* of Smoke plume (in m above ground): Reported near detected fire hot spots when smoke plume is optically thick and exhibits brightness temperature contrast with unobscured neighbor land surface. A validation against MISR MINX plume height for the North America fires of 2000-2008 showed a good accuracy within ~500m (publication in preparation).

Over water:

- AOD outside of glint area (glint angle $\geq 40^{\circ}$). When MAIAC detects dust, AOD is also reported for smaller glint angles if the retrieved value is above zero.
- *Fine Mode Fraction (FMF)* is reported along with AOD over open ocean and large inland lakes (like Great Lakes of North America). It is not retrieved over small in-land water bodies.

View Geometry over land and water at 5km:

- Cosines of Solar and View zenith angles, relative azimuth, scattering angle and glint angle.

2.2.2 Surface Reflectance File (MCD19A1)

For each orbit, MAIAC daily MCD19A1 (surface reflectance) file includes:

Over land (for solar zenith angles below 80°):

- *Ikm BRF (surface reflectance)* in MODIS land and unsaturated ocean bands B1-B12. It is produced in cloud-free and clear-to-moderately turbid (AOD_{0.47}<1.5) conditions;
- 500m BRF, nested in 1km grid, in MODIS land bands B1-B7;
- *Ikm BRF uncertainty (Sigma_BRFn)* in MODIS Red (B1) and NIR (B2) bands. BRF uncertainty is required for higher level land algorithms, such as LAI/FPAR (*Chen, Knyazikhin et al.*, 2017), global model assimilation, etc. We define it as a standard

deviation of the geometrically normalized BRFn over a 16-day period under the assumption that the surface is stable or changes linearly in time. As such, this is the most conservative estimate of uncertainty which includes contribution from gridding, undetected clouds, errors of atmospheric correction including those from aerosol retrievals, and of surface change when reflectance change is non-linear over time. As one can see, this definition of uncertainty is much broader than the one that may come from "theoretical" considerations, but it is also much more realistic. Sigma_BRFn in the Red band can serve as a proxy of uncertainty at shorter wavelengths, where the surface is generally darker, and the NIR value can be a proxy for the longer wavelengths with high surface reflectance.

When snow is detected, we also compute snow grain size (diameter, in mm) which governs spectral snow albedo for pure snow, and sub-pixel snow fraction. The algorithm is based on a linear mixture model of spectral snow reflectance (*Lyapustin et al.*, 2010) and pure land spectral BRDF for every land grid cell. Processing uses minimization of MODIS reflectance in bands B1, B5, B7. The residual between the best fit and MODIS observations in B1,B5,B7 is reported in parameter Snow_Fit:

- Snow grain size (diameter, in mm) at 1km;
- Sub-pixel snow fraction (range 0-1) at 1km;
- Snow Fit (rmse for the best fit and MODIS observations in B1,B5,B7) at 1km.

View Geometry and Kernels of RTLS BRDF model at 5km:

- Cosines of Solar and View zenith angles, relative azimuth, Sun azimuth (SAZ), sensor view azimuth (VAZ), scattering angle and glint angle.
- Volumetric (F_v) and geometric-optics (F_G) kernels of RTLS model for the observation geometry. Kernels are provided for geometric- (or BRDF-) normalization of spectral BRFs, which is needed in many tasks, such as change detection, geophysical and calibration trend analysis (e.g., Lyapustin et al., 2012; 2014) etc. Then, the BRDF (or geometry)-normalization can be done using spectral BRDF kernel weights {k_L, k_V, k_G} from file MCD19A3 based on the following formula (see Eqs. (6) and (8) from Lyapustin et al., 2012):

$$BRF_n = BRF * (k_L - 0.0458621*k_V - 1.1068192*k_G)/(k_L + F_V*k_V + F_G*k_G).$$

This equation normalizes BRF from a given view geometry to the fixed geometry of nadir view and 45° solar zenith angle ($F_{0V}(45)$ =-0.0458621, $F_{0G}(45)$ =-1.1068192). One can easily modify normalization to a preferable Sun angle according to latitude or season, by replacing coefficients in the numerator with values from the Table 1 built for different solar zenith angles and nadir view.

The 5km reporting scale for geometry and volumetric and geometric-optics kernels is sufficient as geometry changes slowly.

SZA	F ₀ v(SZA)	F _{0G} (SZA)
0	0	0
1	-0.0000589	-0.0222231

2	-0.0002322	-0.0444532			
3	-0.0005146	-0.0666974			
4	-0.000901	-0.0889604			
5	-0.0013863	-0.1112519			
6	-0.0019654	-0.1335773			
7	-0.0026334	-0.1559435			
8	-0.0033854	-0.1783573			
9	-0.0042163	-0.2008253			
10	-0.0051215	-0.2233558			
11	-0.006096	-0.2459545			
12	-0.0071349	-0.2686286			
13	-0.0082336	-0.2913864			
14	-0.0093873	-0.3142342			
15	-0.0105912	-0.3371795			
16	-0.0118406	-0.3602294			
17	-0.0131308	-0.3833919			
18	-0.0144569	-0.4066738			
19	-0.0158144	-0.4300835			
20	-0.0171985	-0.4536282			
21	-0.0186043	-0.4773155			
22	-0.0200272	-0.5011534			
23	-0.0214623	-0.5251498			
24	-0.0229049	-0.5493125			
25	-0.0243501	-0.5736493			
26	-0.025793	-0.5981684			
27	-0.0272287	-0.6228774			
28	-0.0286523	-0.6477844			
29	-0.0300587	-0.6728968			
30	-0.0314429	-0.6982225			
31	-0.0327997	-0.7237687			
32	-0.0341241	-0.7495425			
33	-0.0354105	-0.7755507			
34	-0.036654	-0.8017994			
35	-0.0378488	-0.8282937			
36	-0.0389896	-0.8550386			
37	-0.0400707	-0.8820373			
38	-0.0410865	-0.9092915			
39	-0.042031	-0.9368016			
40	-0.0428984	-0.964565			
41	-0.0436827 -0.9925762				
42	-0.0443776 -1.0208257				
43	-0.0449768	-1.0492985			

44	-0.0454738	-1.0779734
45	-0.0458621	-1.1068192
46		
	-0.0461346	-1.1357927
47	-0.0462846	-1.1648338
48	-0.0463049	-1.1938568
49	-0.0461881	-1.2227401
50	-0.0459265	-1.2513024
51	-0.0455125	-1.2792587
52	-0.044938	-1.3061037
53	-0.0441948	-1.3305788
54	-0.0432743	-1.3506508
55	-0.0421677	-1.3717234
56	-0.040866	-1.3941458
57	-0.0393597	-1.4180392
58	-0.0376392	-1.44354
59	-0.0356944	-1.4708021
60	-0.033515	-1.5
61	-0.0310901	-1.5313327
62	-0.0284086	-1.5650272
63	-0.0254589	-1.6013447
64	-0.022229	-1.640586
65	-0.0187063	-1.6831008
66	-0.014878	-1.7292967
67	-0.0107305	-1.7796524
68	-0.0062498	-1.8347336
69	-0.0014212	-1.8952141
70	0.0037704	-1.9619021

Table 1. Values of V and G kernels for different SZA and nadir view (VZA=0).

2.2.3 Surface BRDF File (MCD19A3)

The 8-day MCD19A3 (BRDF/albedo) file includes:

- Three parameters of RTLS BRDF model k_{iso} , k_v , k_G (here $k_{iso} = k_L$) in MODIS bands B1-B8 at lkm. The retrievals represent cloud-free and low aerosol (AOD_{0.47}<0.6) conditions;
- Spectral surface albedo in MODIS land bands B1-B8 at 1km. It represents instantaneous
 albedo defined as a ratio of reflected and incident narrowband radiative fluxes at the
 surface. Albedo is related to BRDF retrieval and also represents cloud-free and low
 aerosol conditions.

Please, keep in mind that the current Terra L1B data (based on current C6 re-processed MODIS data) still contain small residual calibration artifacts which sometimes become visible as stripes in both aerosol and surface products on the right part of the scan from about middle to the edge of scan.

3. QA-related Comments (please read)

In *daily* output files, the QA bit contains cloud mask, adjacency mask, surface type (the result of MAIAC dynamic Land-Water-Snow classification), and a surface change mask.

3.1 Change in reported AOD for MAIAC AOD users:

Over land, the current C6 MAIAC AOD is reported for two values of Cloud Mask in QA bit - Clear and Possibly Cloudy.

- Most applications should use AOD ONLY when QA.CloudMask = Clear, which guarantees high product quality.

The Possibly_Cloudy value mostly originates from spatial analysis of retrieved AOD which assumes a certain degree of aerosol spatial homogeneity (this does not apply when MAIAC detects absorbing aerosols (smoke/dust)). This filter detects residual clouds and significantly improves aerosol product quality. However:

- i) The work from air quality community (Allan Just and Itai Kloog et al.) showed that in some locations with high spatial aerosol variability such as Mexico City, this filter may systematically erase AOD retrievals in cloud-free conditions over certain urban areas.
- *ii)* This filter will also erase AOD for parts of spatially variable smoke or dust plumes where MAIAC Smoke/Dust detection failed.

For such user-specific applications (e.g., urban air quality analysis; fire smoke monitoring), we retain and report AOD when QA.CloudMask = Possibly_Cloudy.

3.2 Use of Adjacency Mask:

This mask gives information about detected neighboring clouds or snow (in the 2-pixel vicinity). For general applications, we recommend to only use data with QA.AdjacencyMask=Normal. The value 'AdjacentToASingle CloudyPixel' can also be used as it often represents false cloud detection. The other categories of 'AdjacencyMask' are not recommended when using AOD. In land analysis, we do not recommend using values 'AdjacentToCloud' and 'SurroundedByMoreThan8CloudyPixels'.

3.3 Selecting Best Quality BRF and AOD

To select best quality BRF, one should apply the following QA filter:

- 1. QA.AODLevel=low (0)
- 2. QA.AdjacencyMask=Clear
- 3. QA.AlgorithmInitializeStatus= initialized (0).

The best quality AOD is represented by the QA bit 0000 "Best quality". It is a combination of the two filters:

- 1. QA.CloudMask = Clear
- 2. QA.AdjacencyMask=Clear.

4. MAIAC Data Specification

Except three reported parameters (column water vapor (cm), injection height (m above ground) and snow grain size (mm)), all other reported MAIAC products are unitless.

4.1. Surface Reflectance (MCD19A1)

SDS name	Data	Scale	Fill	Valid Range	Description
	Type		Value		_
Sur_refl[1-12]	INT16	0.0001	-28672	-100 – 16000	Surface reflectance for bands
					1-12
Sigma_BRFn[1-2]	INT16	0.0001	-28672	-100 – 16000	BRFn uncertainty over time,
					for bands 1-2
Snow_Fraction	INT16	0.0001	-28672	0 - 10000	Snow fraction (0-1)
Snow_Grain_Size	INT16	0.001	-28672	0 - 32767	Snow grain diameter (mm)
Snow_Fit	INT16	0.0001	-28672	0 - 30000	Land+Snow linear mixture
					model RMSE in bands 1,5,7
Status_QA	UINT16	n/a	0	1 - 65535	QA bits
Sur_refl_500m	INT16	0.0001	-28672	-100 – 16000	Surface reflectance at 500m
[1-7]					for band 1-7
cosSZA	INT16	0.0001	-28672	0 - 10000	Cosine of Solar zenith angle
					(5km)
cosVZA	INT16	0.0001	-28672	0 - 10000	Cosine View zenith angle
					(5km)
RelAZ	INT16	0.01	-28672	-18000 – 18000	Relative azimuth angle (5km)
Scattering_Angle	INT16	0.01	-28672	-18000 – 18000	Scattering Angle (5km)
SAZ	INT16	0.01	-28672	-18000 – 18000	Solar Azimuth Angle (5km)
VAZ	INT16	0.01	-28672	-18000 – 18000	View Azimuth Angle (5km)
Glint_Angle	INT16	0.01	-28672	-18000 – 18000	Glint Angle (5km)
Fv	FLOAT32	n/a	-99999	-100 – 100	RTLS volumetric kernel
					(5km)
Fg	FLOAT32	n/a	-99999	-100 - 100	RTLS geometric kernel
					(5km)

4.2 Status QA definition for MCD19A1 (16-bit unsigned integer)

Bits	Definition						
0-2	Cloud Mask						
	000 Undefined						
	001 Clear						
	010 Possibly Cloudy (detected by AOD filter)						
	011 Cloudy (detected by cloud mask algorithm)						
	101 Cloud Shadow						
	110 Fire hot spot (over land)						
	111 Water Sediments (over water)						
3-4	Land Water Snow/Ice Mask						
	00 Land						
	01 Water						
	10 Snow						
	11 Ice						
5-7	Adjacency Mask						
	000 Normal condition/Clear						
	001 Adjacent to clouds						
	010 Surrounded by more than 8 cloudy pixels						
	011 Adjacent to a single cloudy pixel						
	100 Adjacent to snow						
	101 Snow was previously detected for this pixel						
8	AOD level						
	0 AOD is low (<=0.6)						
	1 AOD is high (> 0.6) or undefined						
9	Algorithm Initialize Status						
	0 Algorithm is initialized						
	1 Algorithm is not initialized						
10	BRF retrieved over snow assuming AOD = 0.05						
	0 no						
	1 yes						
11	Altitude >4.2km (land)/3.5km (water), BRF is retrieved using climatology						
	AOD = 0.02						
	0 no						
10.14	1 yes						
12-14	Surface Change Mask						
	000 No change						
	001 Regular change Green up						
	010 Big change Green up						
	011 Regular change Senescence						
	100 Big change Senescence Regular Change: Pelative change in Red and NIR nadir normalized BRE is						
	Regular Change : Relative change in Red and NIR nadir-normalized BRF is more than 5% but less than 15%						
	Big Change: Relative change in Red and NIR nadir-normalized BRF is more than 15%						
15	Reserved						
13	Nesei veu						

4.3 Aerosol Optical Depth (MCD19A2)

SDS name	Data	Scale	Fill	Valid Range	Description
	Type		Value		
Optical_Depth_047	INT16	0.001	-28672	-100 - 5000	Blue band aerosol optical
					depth
Optical_Depth_055	INT16	0.001	-28672	-100 - 5000	Green band aerosol optical
					depth
AOD_Uncertainty	INT16	0.0001	-28672	-100 - 30000	AOD uncertainty
FineModeFraction	INT16	0.0001	-28672	0 - 10000	Fine mode fraction for
					ocean
Column_WV	INT16	0.001	-28672	0 - 30000	Column Water Vapor (cm)
Injection_Height	FLOAT32	n/a	-99999	0 - 10000	Smoke injection height (m
					above ground)
AOD_QA	UINT16	n/a	0	1 - 65535	AOD QA
AOD_MODEL	UINT8	n/a	255	0 - 100	AOD model used in
					retrieval
cosSZA	INT16	0.0001	-28672	0 - 10000	Cosine of Solar zenith angle
					(5km)
cosVZA	INT16	0.0001	-28672	0 - 10000	Cosine of View zenith angle
					(5km)
RelAZ	INT16	0.01	-28672	-18000 -	Relative azimuth angle
				18000	(5km)
Scattering_Angle	INT16	0.01	-28672	-18000 -	Scattering Angle (5km)
				18000	
Glint_Angle	INT16	0.01	-28672	-18000 -	Glint Angle (5km)
				18000	

4.4 AOD QA definition for MCD19A2 (16-bit unsigned integer)

Bits	Definition							
0-2	Cloud Mask							
	000 Undefined							
	001 Clear							
	010 Possibly Cloudy (detected by AOD filter)							
	011 Cloudy (detected by cloud mask algorithm)							
	101 Cloud Shadow							
	110 Hot spot of fire							
	111 Water Sediments							
3-4	Land Water Snow/ice Mask							
	00 Land							
	01 Water							
	10 Snow							
	11 Ice							
5-7	Adjacency Mask							
	000 Normal condition/Clear							
	001 Adjacent to clouds							
	010 Surrounded by more than 8 cloudy pixels							
	011 Adjacent to a single cloudy pixel							
	100 Adjacent to snow							
	101 Snow was previously detected in this pixel							
8-11	QA for AOD							
	0000 Best quality							
	0001 Water Sediments are detected (water)							
	0011 There is 1 neighbor cloud							
	0100 There is >1 neighbor clouds							
	0101 No retrieval (cloudy, or whatever)							
	0110 No retrievals near detected or previously detected snow							
	0111 Climatology AOD: altitude above 3.5km (water) and 4.2km (land)							
	1000 No retrieval due to sun glint (water)							
	1001 Retrieved AOD is very low (<0.05) due to glint (water)							
	1010 AOD within +-2km from the coastline (may be unreliable)							
	1011 Land, research quality: AOD retrieved but CM is possibly cloudy							
12	Glint Mask							
	0 No glint							
	1 Glint (glint angle < 40°)							
13-14	Aerosol Model							
	00 Background model (regional)							
	01 Smoke model (regional)							
1.7	10 Dust model							
15	Reserved							

4.5 8-day BRDF model parameters (MCD19A3)

SDS name	Data	Scale	Fill	Valid Range	Description
	Type		Value		
Kiso	INT16	0.0001	-32767	-32766 —	RTLS isotropic kernel parameter for
				32767	band 1-8
Kvol	INT16	0.0001	-32767	-32766 —	RTLS volumetric kernel parameter
				32767	for band 1-8
Kgeo	INT16	0.0001	-32767	-32766 —	RTLS geometric kernel parameter
				32767	for band 1-8
Sur_albedo	INT16	0.0001	-28672	-100 – 16000	Surface albedo
					for band 1-8
UpdateDay	UINT8	n/a	255	0 - 254	Number of days since last update to the
					current day

5. Caveats and Known Problems

- 1. The maximum value of LUT $AOD_{0.47}$ is 4.0 which limits characterization of strong aerosol emissions.
- 2. MAIAC LUTs are built assuming pseudo-spherical correction in single scattering which has a reduced accuracy for high sun/view zenith angles. A reduced MAIAC performance is expected at solar zenith angles > 70°.
- 3. MAIAC may be missing bright salt pans in several world deserts. In such cases, it generates a persistent high AOD resulting in missing surface retrievals.
- 4. Geographic AOD boundaries may sometimes be observed on borders of the regional aerosol models.
- 5. Because of inherent uncertainties of gridding on the coastline, the area of ± 1 -3 pixels from the coastline may contain frequent artifacts in cloud mask (usually over-detection), AOD (higher values) and surface BRF. Users should exercise caution near the coastline as indicated by QA.QA AOD.
- 6. AC over detected snow: as MAIAC does not retrieve AOD over snow, it assumes a low climatology AOD=0.05 globally and 0.02 at high elevations (H>4.2km). Over north-central China, which is often heavily polluted and low AOD assumption can lead to a significant bias, we use AOD averaged over mesoscale area of 150km using reliable AOD retrievals over snow-free pixels. Such approach does improve quality of AC as compared to low-AOD assumption as judged by the reduced boundaries and color artefacts, but it does not account for the aerosol variability inside 150km area.
- 7. Ice mask is currently unreliable.
- 8. Consistently miss a particular type of clouds (moderately thin and homogeneous cumulus) over water generating high AOD.
- 9. MAIAC uses a specialized "Bay" mask for aerosol retrievals over coastal waters with high sediments. The current "Bay" mask misses at list one known area (gulf of Martaban, off the coast of Thailand) where AOD retrievals may be biased high.

We are working to resolve these issues.

6. Community Validation and Analysis of MAIAC

Since its introduction in 2011-2012, we significantly changed and improved several key parts of MAIAC, namely cloud and snow detection, characterization of spectral regression coefficient (SRC) and aerosol retrieval, and transformed the algorithm from regional to global. The intermediate versions of MAIAC were extensively tested by the land and air quality communities using our re-processing of MODIS data with the NASA Center for Climate Simulations (NCCS) and product release via NCCS ftp portal (ftp://maiac@dataportal.nccs.nasa.gov/DataRelease). Analysis by Hilker et al. [2012; 2014; 2015], Maeda et al. [2016] and others showed a dramatic (up to a factor of 3-5) increase in the accuracy of MAIAC surface reflectance compared to MODIS standard products MOD09 and MOD035 over tropical Amazon. Since 2014, all major studies of Amazon tropical forests, which used MODIS data, relied on MAIAC processing [e.g., Saleska et al., 2016; Lopes et al., 2016; Alden et al., 2016; Guan et al., 2015; Bi et al., 2015, 2016; Jones et al., 2014; Maeda et al., 2017; Wagner et al., 2017]. Recently, Chen et al. [2017] reported an improvement in the leaf area index (LAI) retrievals with MODIS standard LAI/FPAR algorithm based on MAIAC instead of MOD09 input. A good accuracy, high 1km spatial resolution and high retrieval coverage made MAIAC aerosol optical depth (AOD) a focus of numerous air quality studies, e.g. [Chudnovsky et al., 2013; Kloog et al., 2014; Just et al., 2015; Di et al., 2016; Tang et al., 2017; Xiao et al., 2017] just to name a few. Currently published validation studies [Martins et al., 2017; Superczynski et al., 2017] show a good MAIAC AOD accuracy over American continents, and a significantly better accuracy and coverage over North America than the operational VIIRS algorithm [Superczynski et al, 2017]. An emerging comparative aerosol validation analysis over North America [Jethva et al., to be submitted] and South Asia [Mhawish et al., in review] shows that MAIAC has a comparable or improved accuracy to the Dark Target [Levy et al., 2013] algorithm over dark surfaces and generally improves accuracy over the Deep Blue (DB) algorithm [Hsu et al., 2013] over bright surfaces.

At this stage, most accuracy assessments of the standard MODIS algorithms can be applied to MAIAC products. For instance, MAIAC AOD accuracy can be evaluated as $\pm 0.05 \pm 0.15$ AOD.

According to the MODIS land team guidelines (https://landweb.modaps.eosdis.nasa.gov/cgibin/QA-WWW/newPage.cgi?fileName=maturity), the current MAIAC validation status can be characterized as follows:

- Atmospheric Products (MCD19A2):
 - AOD: Stage 3;
 - Column Water Vapor: between stage 2 and 3 (globally validated for 2000-2005; validation for South America for 2000-2015);
 - Aerosol Plume Height: Stage 1;
- Land Surface Reflectance Suite (MCD19A1): Stage 1;
- BRDF/Albedo (MCD19A3): Stage 1.

7. Data ordering (browsing)

7.1. Data Access

The following tools offer options to search the LP DAAC ((Land Processes Distributed Active Archive Center) data holdings and provide access to the data:

- 1) Bulk download: <u>LP DAAC Data Pool (https://lpdaac.usgs.gov/data_access/data_pool)</u> and <u>DAAC2Disk (https://lpdaac.usgs.gov/data_access/daac2disk)</u>
- 2) Search and browse: <u>USGS EarthExplorer (https://earthexplorer.usgs.gov/)</u> and <u>NASA Earthdata Search (https://search.earthdata.nasa.gov/search)</u>
- 3) MODIS Land Global Browse Images
 5-km versions of selected product to enable synoptic quality assessment.

 Link: http://landweb.nascom.nasa.gov/cgi-bin/browse/browse.cgi

Note: USGS EarthExplorer access to the data will follow soon after public release.

REFERENCES

- Alden, C. B. et al., (2016), Regional atmospheric CO2 inversion reveals seasonal and geographic differences in Amazon net biome exchange. *Glob Change Biol.* doi:10.1111/gcb.13305.
- Bi J, Knyazikhin Y, Choi S, Park T, Barichivich J, Ciais P, Fu R, Ganguly S, Hall F, Hilker T, Huete A, Jones M, Kimball J, Lyapustin A, Mottus M, Nemani R, Piao S, Poulter B, Saleska S, Saatchi S, Xu L, Zhou L, Myneni R., Sunlight mediated seasonality in canopy structure and photosynthetic activity of Amazonian rainforests, *Environ. Res. Lett.*, 10(6):6, 2015, 10.1088/1748-9326/10/6/064014.
- Bi, J., R. Myneni, A. Lyapustin, Y. Wang, T. Park, C. Chen, K. Yan, Y. Knyazikhin, Amazon forests' response to droughts: a perspective from the MAIAC product, *Remote Sens.*, 2016, 8, 356; doi:10.3390/rs8040356.
- Chen, C., Y. Knyazikhin, T. Park, K. Yan, A. Lyapustin, Y. Wang, B. Yang and R. B. Myneni, Prototyping of LAI and FPAR Algorithm with MODIS MultiAngle Implementation of Atmospheric Correction (MAIAC) data, *Rem. Sensing*, 2017, 9, 370; doi:10.3390/rs9040370.
- Chudnovsky, A., Tang, C., Lyapustin, A., Wang, Y., Schwartz, J., and Koutrakis, P., 2013: A Critical Assessment of High Resolution Aerosol Optical Depth (AOD) Retrievals for fine particulate matter (PM) Predictions, *Atmos. Chem. Phys.*, 13, 10907-10917, https://doi.org/10.5194/acp-13-10907-2013, 2013.
- Di, Qian, I. Kloog, P. Koutrakis, A. Lyapustin, Y. Wang and J. Schwartz, Assessing PM2.5 Exposures with High Spatiotemporal Resolution across the Continental United States, *Environmental science & technology*, 50 9 (2016): 4712-21, **doi:** 10.1021/acs.est.5b06121.
- Guan, K., Pan, M., Li, H., Wolf, A., Wu, J., Medvigy, D., Caylor, K. K., Sheffield J., Wood, E.F., Malhi, Y., Liang, M., Kimball, J. S., Saleska, S., Berry, J., Joiner, J., and Lyapustin, A. I. (2015) "Photosynthetic seasonality of global tropical forests constrained by hydroclimate", *Nature Geoscience*, 8, 284-289, doi: 10.1038/NGEO2382.
- Hilker, T., A. Lyapustin, F. G. Hall, Y. Wang, N. C. Coops, G. Drolet, & T. A. Black, 2009: An assessment of photosynthetic light use efficiency from space: Modeling the atmospheric and directional impacts on PRI reflectance. *Rem. Sens. Environment*, 113, 2463-2475.
- Hilker, T., Hall, F., Coops, N.C., Lyapustin, A., Wang, Y., Nesic, Z., Grant, N., Black, T.A. Wulder, MA., Kljun, N., Hopkinson, C., Chaser, L., 2010: Remote sensing of photosynthetic light-use efficiency across two forested biomes: Spatial scaling. *Rem. Sens. Environ.*, 114, 2863-2874.

- Hilker, T., A. I. Lyapustin, C. J. Tucker, P. J. Sellers, F. G. Hall, Y. Wang, 2012: Remote Sensing of Tropical Ecosystems: Atmospheric Correction and Cloud Masking Matter. *Rem. Sens. Environ.*, http://dx.doi.org/10.1016/j.rse.2012.08.035.
- Hilker, T., A. I. Lyapustin, C. J. Tucker, F. G. Hall, R. B. Myneni, Y. Wang, J. Bi, Y. M. de Moura, P. J. Sellers (2014), Vegetation dynamics and rainfall sensitivity of the Amazon, *PNAS*, 111 (45), 16041-16046, doi:10.1073/pnas.1404870111.
- Hilker, T., A. I. Lyapustin, Y. Wang, F. G. Hall, C. J. Tucker, P. J. Sellers, On the measurability of change in Amazon vegetation from MODIS, *Remote Sens. Environ.*, 166, 233-242, 2015.
- Hsu, N.-Y. C., M.-J. Jeong, C. Bettenhausen, et al. A. M. Sayer, R. A. Hansell, C. S. Seftor, J. Huang, and S.-C. Tsay. 2013. "Enhanced Deep Blue aerosol retrieval algorithm: The second generation." *J. Geophys. Res. Atmos.*, 118 (16): 9296–9315 [10.1002/jgrd.50712.
- Hu, X., L. A. Waller, A. Lyapustin, Y. Wang, M.Z. Al-Hamdan, W.L. Crosson, M.G. Estes Jr., S.M. Estes, D.A. Quattrochi, S.J. Puttaswamy, and Y. Liu, 2014: Estimating Ground-Level PM2.5 Concentrations in the Southeastern United States Using MAIAC AOD Retrievals and a Two-Stage Model, *Rem. Sens. Environ*, 140, 220-232.
- Jackson, J. M., H. Liu, I. Laszlo, S. Kondragunta, L. A. Remer, J. Huang, and H. C. Huang (2013), Suomi-NPP VIIRS aerosol algorithms and data products, J. Geophys. Res. Atmos., 118, 12–673, doi:10.1002/2013JD020449.
- Jones, M. O., Kimball J. S., and Nemani R. R., Asynchronous Amazon forest canopy phenology indicates adaptation to both water and light availability, *Environ. Res. Letters*, 9, 1-10, 2014, doi: 10.1088/1748-9326/9/12/124021.
- Just A, Wright R, Schwartz J, Coull B, Baccarelli A, Maria Tellez-Rojo M, Moody E, Wang Y, Lyapustin A, Kloog I. Using High-Resolution Satellite Aerosol Optical Depth To Estimate Daily PM2.5 Geographical Distribution in Mexico City, *Environ. Sci. Technol.*, 49 (14):8576-8584, 2015, 10.1021/acs.est.5b00859.
- Kloog, I., A. A. Chudnovsky, A. C. Just, F. Nordio, P. Koutrakis, B. A. Coull, A. I. Lyapustin, Y. Wang, and J. Schwartz. 2014. "A new hybrid spatio-temporal model for estimating daily multi-year PM2.5 concentrations across northeastern USA using high resolution aerosol optical depth data." *Atmos. Environ.*, 95, 581–590, doi:10.1016/j.atmosenv.2014.07.014.
- Levy, R. C., Mattoo, S., Munchak, L. A., Remer, L. A., Sayer, A. M., Patadia, F., and Hsu, N. C.: The Collection 6 MODIS aerosol products over land and ocean, *Atmos. Meas. Tech.*, 6, 2989–3034, doi:10.5194/amt-6-2989-2013, 2013.
- Lopes, A.P., et al. (2016), Leaf flush drives dry season green-up of the Central Amazon, *Rem. Sens. Environ.*, 182, 90–98.
- Lyapustin, A., Y. Wang, S. Korkin, MODIS Collection 6 MAIAC Algorithm, *Atmos. Meas. Tech.*, in review
- Lyapustin, A., C. K. Gatebe, R. Kahn, R. Brandt, J. Redemann, P. Russell, M. D. King, C. A. Pedersen, S. Gerland, R. Poudyal, A. Marshak, Y. Wang, C. Schaaf, D. Hall, and A. Kokhanovsky, 2010: Analysis of Snow Bidirectional Reflectance from ARCTAS Spring-2008 Campaign. *Atmos. Chem. Phys.*, **10**, 4359-4375.
- Lyapustin, A., J. Martonchik, Y. Wang, I. Laszlo, S. Korkin, 2011a: Multi-Angle Implementation of Atmospheric Correction (MAIAC): Part 1. Radiative Transfer Basis and Look-Up Tables, *J. Geophys. Res.*, 116, D03210, doi:10.1029/2010JD014985.

- Lyapustin, A., Y. Wang, I. Laszlo, R. Kahn, S. Korkin, L. Remer, R. Levy, and J. S. Reid, 2011b: Multi-Angle Implementation of Atmospheric Correction (MAIAC): Part 2. Aerosol Algorithm, *J. Geophys. Res.*, 116, D03211, doi:10.1029/2010JD014986.
- Lyapustin, A., Y. Wang, I. Laszlo, T. Hilker, F. Hall, P. Sellers, J. Tucker, S. Korkin, 2012: Multi-Angle Implementation of Atmospheric Correction for MODIS (MAIAC). 3: Atmospheric Correction. *Rem. Sens. Environ.* (2012), http://dx.doi.org/10.1016/j.rse.2012.09.002.
- Lyapustin, A., Y. Wang, X. Xiong, G. Meister, S. Platnick, R. Levy, B. Franz, S. Korkin, T. Hilker, J. Tucker, F. Hall, P. Sellers, A. Wu, A. Angal (2014), Science Impact of MODIS C5 Calibration Degradation and C6+ Improvements, *Atmos. Meas. Tech.*, 7, 4353-4365, doi:10.5194/amt-7-4353-2014.
- Maeda, E.E., Mendes Moura, Y., Wagner, F., Hilker, T., Lyapustin, A.I., Wang, Y., Mõttus, M., Aragão, L.E.O.C., Shimabukuro Y. Consistency of vegetation index seasonality across the Amazon rainforest. Int. J. Appl. Earth Observation & Geoinformation, 52, 42-53, 2016.
- Maeda, E.E., et al., Evapotranspiration seasonality across the Amazon basin, *Earth Syst. Dynam. Discuss.*, doi:10.5194/esd-2016-75, 2017.
- Martins, V. S., A. Lyapustin, L. A. S. de Carvalho, C. C. F. Barbosa, and E. M. L. M. Novo (2017), Validation of high-resolution MAIAC aerosol product over South America, *J. Geophys. Res. Atmos.*, 122, doi:10.1002/2016JD026301.
- Meister, G., B. Franz, E. Kwiatkowska, and C. McClain (2012). Corrections to the Calibration of MODIS Aqua Ocean Color Bands derived from SeaWiFS Data, *IEEE TGARS*, *50*(1), 310 319, doi: 10.1109/TGRS.2011.2160552.
- Saleska, S. R., Wu, J., Guan, K., Restrepo-Coupe, N., Nobre, A. D., Araujo, A., & Huete, A. R. (2016). Dry-season greening of Amazon forests. *Nature Brief Communication Arising*, 531(7594), E4–E5. http://dx.doi.org/10.1038/nature16457.
- de Sousa C., T. Hilker, R. Waring, Y. de Moura, A. Lyapustin, Progress in Remote Sensing of Photosynthetic Activity over the Amazon Basin, *Remote Sens.* **2017**, *9*(1), 48; doi:10.3390/rs9010048.
- Stafoggia, M., J. Schwartz, C. Badaloni, T. Bellander, E. Alessandrini, G. Cattani, F. de' Donato, A. Gaeta, G. Leone, A. Lyapustin, M. Sorek-Hamer, K. de Hoogh, Q. Di, F. Forastiere, I. Kloog, Estimation of daily PM10 concentrations in Italy (2006–2012) using finely resolved satellite data, land use variables and meteorology, *Environ. Int.* (2016), http://dx.doi.org/10.1016/j.envint.2016.11.024.
- Superczynski S., S. Kondragunta, A. Lyapustin. Evaluation of the Multi-Angle Implementation of Atmospheric Correction (MAIAC) Aerosol Algorithm through Intercomparison with VIIRS Aerosol Products and AERONET, J. Geophys. Res.-Atmos., 2017, 122, 3005-3022, doi:10.1002/2016JD025720.
- Toller, G., X. Xiong, J. Sun, B. N. Wenny, X. Geng, J. Kuyper, A. Angal, H. Chen, S. Madhavan, and A. Wu, "Terra and Aqua Moderate-resolution Imaging Spectroradiometer Collection 6 Level 1B Algorithm", J. Applied Remote Sensing, 7(1), 2013, 0001;7(1):073557-073557. doi:10.1117/1.JRS.7.073557.
- Vermote, E. F., and Kotchenova, S., 2008: Atmospheric correction for the monitoring of land surfaces. *J. Geophys. Res.*, 113, D23S90, doi:10.1029/2007JD009662.
- Wagner, F.H., B. Herault, V. Rossi, T. Hilker, E.E. Maeda, A. Sanchez, A.I. Lyapustin, L.S. Galvao, Y. Wang, L.E.O.C. Aragao, Climate drivers of the Amazon forest greening, *Public Library of Science (PLOS ONE)*, July 2017.
- Wolfe, R. E., Roy, D. P., and Vermote (1998). E. MODIS Land Data Storage, Gridding, and Compositing Methodology: Level 2 Grid. *IEEE Trans. Geosci. Remote Sens.*, *36*, 1324–1338.

Xiao, Q., Y. Wang, H.H. Chang, X. Meng, G. Geng, A. Lyapustin, Y. Liu, Full-coverage high-resolution daily PM_{2.5} estimation using MAIAC AOD in the Yangtze River Delta of China, *Rem. Sens. Environ.*, 2017 http://dx.doi.org/10.1016/j.rse.2017.07.023.

We are looking forward to your comments, suggestions, etc. which you may forward either to myself (Alexei.I.Lyapustin@nasa.gov) or to Yujie (Yujie.Wang@nasa.gov).

We acknowledge the support from MODAPS and MODIS LAADS in accommodating processing and data archive.