



**Fig. 3.11** (a) A rotation axis perpendicular to a lattice plane  $x, y$ . (b) Derivation of possible rotation angles for lattices. If lattice points along line  $P_i$   $P_{-i}$  are rotated, new points  $P'_i$   $P'_{-i}$  and  $P''_i$   $P''_{-i}$  are produced.

Without too much difficulty, the types of possible rotation axes in crystals can be derived geometrically. Consider a lattice plane and, perpendicular to it, an  $n$ -fold rotation axis (Figure 3.11a). Symmetry requires that after a rotation of angle  $\phi = 360^\circ/n$ , all points of the rotated lattice plane coincide with points on the original lattice plane, and that after  $n$  rotations the lattice plane is again in the starting position. Now consider a line of points  $P_{-2}P_{-1} P_0 P_1 P_2 \dots$  in the lattice plane with points spaced by a distance  $a$  (Figure 3.11b) and apply the symmetry rotation by an angle  $\phi = 360^\circ/n$  in the counterclockwise direction, which repeats the line as  $P'_{-2} P'_{-1} P'_0 P'_1 P'_2 \dots$ . The line continues to repeat after each rotational increment  $\phi$ . (These lines are not plotted in Figure 3.11b.) Just before rotating back to the initial line again (rotation step  $n - 1$ ), we have a line  $P''_{-2} P''_{-1} P''_0 P''_1 P''_2 \dots$  that is at an angle of  $-\phi$  to the initial line.  $P'_1 P''_{-1}$  are two lattice points defining a lattice line that is parallel to the original line. In order to satisfy the lattice condition, the distance  $P'_1 P''_{-1}$  has to be an integer multiple of the unit cell distance  $a$ . In the right triangle  $P_0 P'_1 X$  we calculate

$$\cos \phi = \frac{N \times \frac{a}{2}}{a} = N/2 \quad (3.1)$$

where  $N$  is an integer, and, since  $|\cos \phi| \leq 1$ , we find the following solutions for  $\phi$ :

$N$	-2	-1	0	1	2
$\cos \phi$	-1	-1/2	0	1/2	1
$\phi$	180°	120°	90°	60°	0° = 360°
$n$ -fold	2	3	4	6	1

This means that only 1-, 2-, 3-, 4-, and 6-fold rotation axes can occur in crystals. A lattice does not allow for axes with  $n = 5, 7, 8$ , or higher. A 1-fold rotation axis means no symmetry, since any object is brought to coincidence after a full  $360^\circ$  rotation.

This derivation for a two-dimensional lattice plane holds for three-dimensional lattices as well. Three-dimensional lattices are simply stacks of identical lattice planes, parallel to each other, with none or some displacement of corresponding points when viewed from above the planes.