

Elliptic KZB equations via the universal vector extension

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The diagram shows a hand-drawn representation of a punctured elliptic curve. It consists of two concentric ellipses. The outer ellipse is drawn with a black line, and the inner ellipse is drawn with a blue line. A small black 'x' marks a point on the outer ellipse. A red dashed line is drawn across the interior, connecting the two ellipses. The entire expression is enclosed in large parentheses.

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The diagram shows a hand-drawn representation of a punctured elliptic curve. It consists of an outer black ellipse with a small 'x' on its left side. Inside this is a blue ellipse. Within the blue ellipse is a black curve that has a self-intersection. A red dashed line segment is drawn across the lower part of the black curve.

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- ▶ Make a case for the **universal vector extension** of an elliptic curve as the right framework to study the unipotent **de Rham** fundamental group (Deligne, Enriquez–Etingof).
- ▶ Applications to algebraicity and rationality problems for the universal KZB equations.

Unipotent De Rham fundamental group

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$$(\mathcal{V}, \nabla), \quad \nabla : \mathcal{V} \rightarrow \Omega_{X/k}^1 \otimes \mathcal{V}, \quad \nabla^2 = 0$$

with

$$0 = (\mathcal{V}_0, \nabla_0) \subset (\mathcal{V}_1, \nabla_1) \subset \cdots \subset (\mathcal{V}_n, \nabla_n) = (\mathcal{V}, \nabla)$$

such that

$$(\mathcal{V}_i, \nabla_i)/(\mathcal{V}_{i-1}, \nabla_{i-1}) \cong (\mathcal{O}_X \otimes W_i, d_{X/k} \otimes id)$$

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- ▶ $U(X)$ is Tannakian and every $x \in X(k)$ defines a fibre functor

$$\omega_x : U(X) \rightarrow Vect_k, \quad (\mathcal{V}, \nabla) \mapsto x^* \mathcal{V}$$

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$$\mathcal{O}(\pi_1^{dR}(X, x)) \cong T^c H_{dR}^1(X) = \bigoplus_{n \geq 0} H_{dR}^1(X)^{\otimes n}$$

where

$$H_{dR}^1(X) = H^0(\mathbb{P}_k^1, \Omega^1(\log\{0, 1, \infty\})) = k \frac{dz}{z} \oplus k \frac{dz}{1-z}.$$

Nice properties: independence of base points, simple poles.

- Every unipotent flat vector bundle on $X = \mathbb{P}_k^1 \setminus \{0, 1, \infty\}$ is **canonically** isomorphic to some

$$(\mathcal{O}_X \otimes V, d - \frac{dz}{z} \otimes A_0 - \frac{dz}{1-z} \otimes A_1)$$

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- ▶ Proof: consider the canonical extension

$$\overline{\nabla} : \overline{\mathcal{V}} \rightarrow \Omega_{\mathbb{P}_k^1}^1(\log\{0, 1, \infty\}) \otimes \overline{\mathcal{V}}$$

and apply

$$\left. \begin{aligned} H^0(\mathbb{P}_k^1, \mathcal{O}) &= k \\ H^1(\mathbb{P}_k^1, \mathcal{O}) &= \text{Ext}^1(\mathcal{O}, \mathcal{O}) = 0 \end{aligned} \right\} \text{ Deligne's good conditions}$$

Cette dernière hypothèse, très restrictive, est vérifiée si X est rationnelle.

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$$(m + n\tau) \cdot (v_1, v_2, z) = (v_1 + nv_2, v_2, z + m + n\tau)$$

$$\begin{array}{ccc}
 \mathbb{C}^2 \times \mathbb{C} & & \mathcal{V} \\
 \downarrow & \text{mod } \mathbb{Z} + \tau\mathbb{Z} & \downarrow \\
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$$0 \longrightarrow \mathcal{O} \longrightarrow \mathcal{V} \xrightarrow{\quad} \mathcal{O} \longrightarrow 0$$

corresponds to a function $r : E^{an} \rightarrow \mathbb{C}$ satisfying

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- ▶ No such holomorphic r .

► Real-analytic: $r(z) = \text{Im}(z)/\text{Im}(\tau)$ (cf. Brown–Levin)

3.5. Massey products on $\mathcal{E}^{(n)}$. We use the Eisenstein-Kronecker series F to write down some explicit one-forms on $\mathcal{E}^{(n)}$. First consider a single elliptic curve \mathcal{E}^\times with coordinate ξ as above. Write $\xi = s + r\tau$, where $r, s \in \mathbb{R}$ and τ is fixed, and let $\omega = d\xi$ and $\nu = 2\pi i dr$. The classes $[\omega], [\nu]$ form a basis for $H^1(\mathcal{E}^\times; \mathbb{C})$.

Lemma 6. *The form $\Omega(\xi; \alpha) = \mathbf{e}(\alpha r)F(\xi; \alpha)d\xi$ is invariant under elliptic transformations $\xi \mapsto \xi + \tau$ and $\xi \mapsto \xi + 1$, and satisfies $d\Omega(\xi; \alpha) = \nu\alpha \wedge \Omega(\xi; \alpha)$.*

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- How to algebraize? Consider \mathbb{C}^2 with coordinates (z, r) , and lift the action of $\mathbb{Z} + \mathbb{Z}\tau$ by

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► In fact,

$$\mathbb{C}^2/(\mathbb{Z} + \mathbb{Z}\tau) \cong H_{dR}^1(E^{an})/H^1(E^{an}, \mathbb{Z}) \cong H^1(E^{an}, \mathbb{C}^\times)$$

classifies holomorphic flat line bundles on E^{an} .

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- Rosenlicht, Grothendieck, Mazur-Messing:

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is universal for extensions of E by vector groups.

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- Laumon, Coleman: if k has characteristic zero, then $H^0(E^\natural, \mathcal{O}) = k$ and $H^1(E^\natural, \mathcal{O}) = 0$.

Let $Z \subset E[N](k)$, and set $D := \pi^{-1}(Z) \subset E^{\natural}$.

Theorem (F.–Matthes; cf. Enriquez–Etingof)

There is a canonical decomposition

$$\Gamma(E^{\natural}, \Omega^1(\log D)) = \Gamma(E^{\natural}, \Omega^1) \oplus K^{(1)} \oplus K^{(2)} \oplus \dots$$

where $K^{(n)}$ are 1-dimensional k -subspaces uniquely determined by:

1. $dK^{(n)} = \Gamma(E^{\natural}, \Omega^1) \wedge K^{(n-1)}$, where $K^{(0)} := \pi^* \Gamma(E, \Omega^1)$,
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We can find $\nu, \omega^{(0)}, \omega_p^{(1)}, \omega_p^{(2)}, \dots$ such that

$$\Gamma(E^{\natural}, \Omega^1) = k\nu \oplus k\omega^{(0)}, \quad K^{(n)} = \bigoplus_{P \in Z} k\omega_P^{(n)}$$

and $d\omega_p^{(n)} = \nu \wedge \omega_p^{(n-1)}$, $\omega_p^{(n)} \wedge \omega^{(0)} = 0$, $\text{Res}_D(\omega_p^{(n)}) = t_p^{n-1}/(n-1)!$.

Corollary

Every unipotent flat connection on $E^{\natural} \setminus D$ is isomorphic to

$$(\mathcal{O} \otimes V, d - \nu \otimes A - \omega^{(0)} \otimes B - \sum_{n \geq 1} \sum_{P \in Z} \omega_P^{(n)} \otimes \text{ad}_A^{n-1}(C_P))$$

with $A, B, C_P \in \text{End}_k(V)$ “simultaneously nilpotent”, and $\sum_{P \in Z} C_P = [A, B]$.

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For every $x \in (E^\natural \setminus D)(k)$, there are canonical isomorphism

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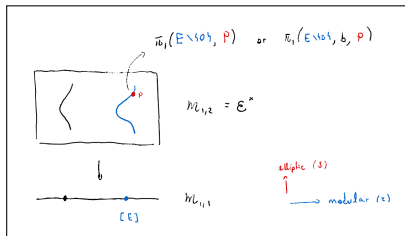
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Note: if $Q = \pi(x)$, then $\pi_1^{dR}(E^{\natural} \setminus D, x) \cong \pi_1^{dR}(E \setminus Z, Q)$, and $\Gamma(E^{\natural}, \Omega^1(\log D))^{d=0} \cong H_{dR}^1(E \setminus Z)$.

Universal elliptic KZB equations

- Differential equations satisfied by multiple elliptic polylogarithms. Calaque–Enriquez–Etingof, Levin–Racinet, Hain, Luo.



9.2. **The formula.** The connection is defined by a 1-form

$$\omega \in \Omega^1(\mathbb{C} \times \mathfrak{h}, \log A) \otimes \text{End } \mathfrak{p},$$

via the formula

$$\nabla f = df + \omega f$$

where $f : \mathbb{C} \times \mathfrak{h} \rightarrow \mathfrak{p}$ is a (locally defined) section of (9.1). Specifically,

$$\omega = \frac{1}{2\pi i} d\tau \otimes \mathbf{a} \frac{\partial}{\partial \mathbf{t}} + \psi + \nu$$

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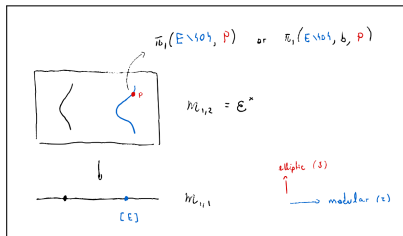
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$$\nu = tF(\xi, t, \tau) \cdot \mathbf{a} d\xi + \frac{1}{2\pi i} \left(\frac{1}{t} + t \frac{\partial F}{\partial t}(\xi, t, \tau) \right) \cdot \mathbf{a} d\tau.$$

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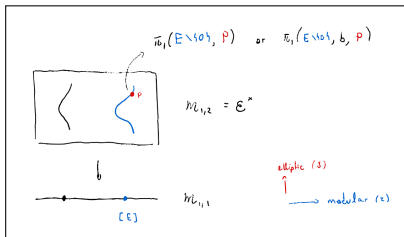
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- “Higher level” version: Calaque–Gonzales, Hopper.

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- Algebraicity results: Hain, Luo.

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- ▶ All of the above results generalize to families and are compatible with base change. “Relative fundamental Hopf algebra”:

$$\mathcal{H} := H^0(B(f_*\Omega_{E^\natural/S}^\bullet(\log D))).$$

Locally over S :

$$\mathcal{H}^\vee = \mathcal{O}_S \langle\langle a, b, c_P : P \in Z \rangle\rangle / \langle \sum_{P \in Z} c_P - [a, b] \rangle.$$

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- ▶ Universal vector extension behaves well in families: given $p : E \rightarrow S$, get $f : E^{\natural} \rightarrow S$.
- ▶ All of the above results generalize to families and are compatible with base change. “Relative fundamental Hopf algebra”:

$$\mathcal{H} := H^0(B(f_*\Omega_{E^{\natural}/S}^{\bullet}(\log D))).$$

Locally over S :

$$\mathcal{H}^{\vee} = \mathcal{O}_S \langle\langle a, b, c_P : P \in Z \rangle\rangle / \langle \sum_{P \in Z} c_P - [a, b] \rangle.$$

- ▶ Elliptic KZB (vertical direction): integrable S -connection

$$\nabla : f^*\mathcal{H}^{\vee} \rightarrow \Omega_{E^{\natural}/S}^1(\log D) \hat{\otimes} f^*\mathcal{H}^{\vee}, \quad \nabla = d - \Omega$$

$$\Omega = \nu \otimes a + \omega^{(0)} \otimes b + \sum_{n \geq 1} \sum_{P \in Z} \omega_P^{(n)} \otimes ad_a^{n-1}(c_P)$$

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- ▶ Key: the universal vector extension is a **crystal**. Canonical splitting (with integrability condition) of

$$0 \longrightarrow f^* \Omega_{S/k}^1 \longrightarrow \Omega_{E^\natural/k}^1 \longrightarrow \Omega_{E^\natural/S}^1 \longrightarrow 0$$

or equivalently of

$$0 \longrightarrow \Omega_{S/k}^1 \longrightarrow f_* \Omega_{E^\natural/k}^1 \longrightarrow f_* \Omega_{E^\natural/S}^1 \longrightarrow 0$$

Theorem (F.–Matthes)

The sequence

$$0 \longrightarrow \Omega_{S/k}^1 \longrightarrow f_* \Omega_{E^{\natural}/k}^1(\log D) \longrightarrow f_* \Omega_{E^{\natural}/S}^1(\log D) \longrightarrow 0$$

is exact and canonically split.

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► Get “canonical lifts” of logarithmic forms. Example:

$$\tilde{\omega}^{(1)} = \left(\frac{\theta'_\tau(z)}{\theta_\tau(z)} + 2\pi i r \right) dz + \frac{1}{2\pi i} \left(\frac{1}{2} \frac{\theta''_\tau(z)}{\theta_\tau(z)} - \frac{1}{6} \frac{\theta'''_\tau(0)}{\theta'_\tau(0)} - \frac{(2\pi i r)^2}{2} \right) d\tau$$

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- $\nabla_{KZB} := f^* \nabla_{GM}^\vee - \tilde{\Omega}.$