



# Evolutionary Design of Nearest Prototype Classifiers

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## Motivação

- Base de dados muito grande
- Existência de parâmetros de aprendizado

## Objetivo

- Criar protótipos para diminuir o tamanho da base
- Eliminar a existência de parâmetros de aprendizado



# Conceitos

- Protótipo rotulado:

$$r_i = \langle p, s \rangle$$

$p$  é o espaço do protótipo

$s$  é a classe a que protótipo pertence

- Classificador:

$$C = \{r_1, \dots, r_n\}$$



# Conceitos

- Padrão:

$v_r$  é cada exemplo usado para treinamento ou teste

$$V = \{r_1, \dots, r_m\}$$

- Classe:

$s_j$

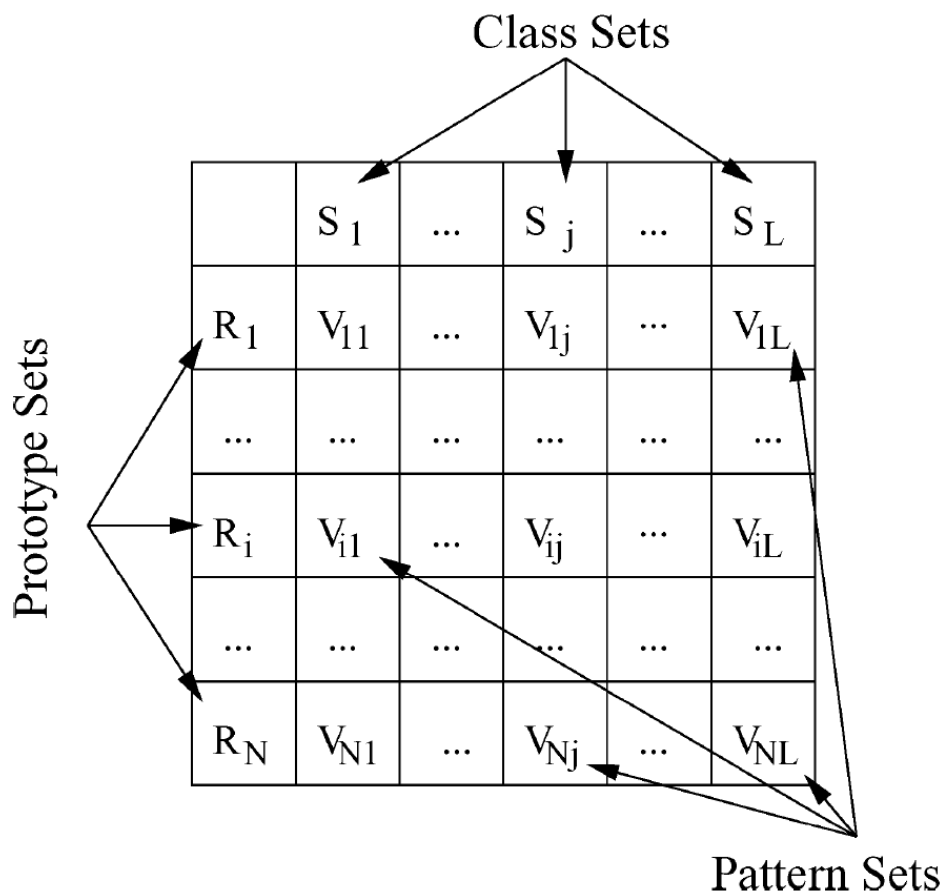
$$S = \{s_1, \dots, s_L\}$$

- Qualidade de um protótipo:

$$quality(r_i)$$



# Estrutura





## Estrutura – Conjunto de Classes

- $\forall v \in V, v = \langle p, s_j \rangle, s_j \in S, \text{então } v \in S_j$
- $s_j \in S$ :
  - $regions(s_j) = \sum_{i=1}^N \delta(r_i, s_j)$ , onde  $\delta = \begin{cases} 1, & \text{sse } r_i = \langle p, s_j \rangle \\ 0, & \text{otherwise} \end{cases}$
  - $expectation(s_j) = \frac{\|s_j\|}{regions(s_j)}$



## Estrutura – Conjunto de Padrões

- $\forall v = \langle p, s_j \rangle \in V, s_j \in S, r_i = \langle p_i, s' \rangle \in C, \text{ então}$   
 $v \in V_{ij} \text{ sse } \forall r_{i'} = \langle p_{i'}, s'' \rangle \in C, d(p_v, p_i) \leq d(p_v, p_{i'})$
- $d(x, y) = \sum_{i=0}^{i \leq K} (x[i] - y[i])^2$



## Estrutura – Conjunto de Protótipos

- $\forall v = \langle p_v, s_j \rangle \in V, r_i = \langle p_i, s' \rangle \in C$ , então  
 $v \in R_i$  sse  $\forall r_{i'} = \langle p_{i'}, s'' \rangle \in C, d(p_v, p_i) \leq d(p_v, p_{i'})$
- $r_i = \langle p, s_j \rangle$ :
  - $accuracy(r_i) = \frac{\|V_{ij}\|}{\|R_i\|}$
  - $apportation(r_i) = \frac{2\|V_{ij}\|}{expectation(s_j)}$
  - $quality(r_i) = \min(1, accuracy(r_i) * apportation(r_i))$





	S1	S2
$R_1 = \langle p_1, s_2 \rangle$	$\ V_{11}\  = 7$	$\ V_{12}\  = 9$
$R_2 = \langle p_2, s_1 \rangle$	$\ V_{21}\  = 10$	$\ V_{22}\  = 2$
$R_3 = \langle p_3, s_2 \rangle$	$\ V_{31}\  = 3$	$\ V_{32}\  = 9$

## Estrutura – Exemplo

- Número de protótipos: 3
- Número de classes: 2
- Número de instâncias: 40
- $regions(s_1)$ : 1 ( $r_2 = \langle p_2, s_1 \rangle$ )
- $\|s_1\|$ : 20 ( $\|V_{11}\| + \|V_{21}\| + \|V_{31}\| = 7 + 10 + 3$ )
- $expectation(s_1)$ : 20  $\left( \frac{\|s_1\|}{regions(s_1)} = \frac{20}{1} \right)$



	S1	S2
$R_1 = \langle p_1, s_2 \rangle$	$\ V_{11}\  = 7$	$\ V_{12}\  = 9$
$R_2 = \langle p_2, s_1 \rangle$	$\ V_{21}\  = 10$	$\ V_{22}\  = 2$
$R_3 = \langle p_3, s_2 \rangle$	$\ V_{31}\  = 3$	$\ V_{32}\  = 9$

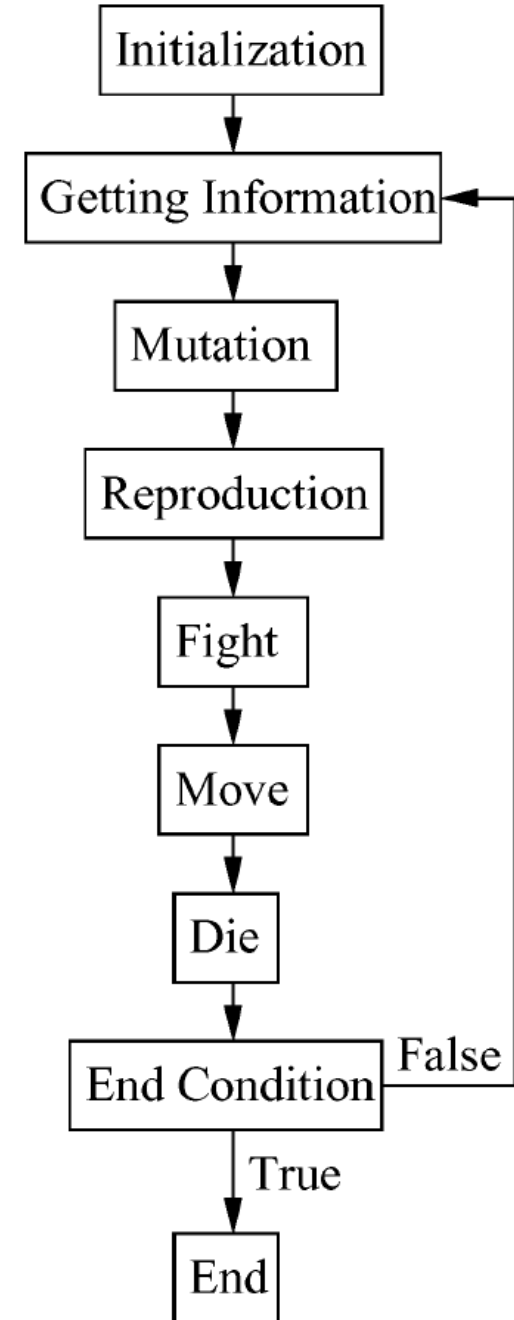
## Estrutura – Exemplo

- $accuracy(r_2): 0.83 \left( \frac{\|V_{ij}\|}{\|R_i\|} = \frac{10}{12} \right)$
- $apportation(r_2): 1 \left( \frac{2\|V_{ij}\|}{expectation(s_j)} = \frac{2*10}{20} \right)$
- $quality(r_2): 0.83 \left( \frac{\min(1, accuracy(r_i) * apportation(r_i))}{\min(1, 0.83 * 1)} \right)$



## Algoritmo - Inicialização

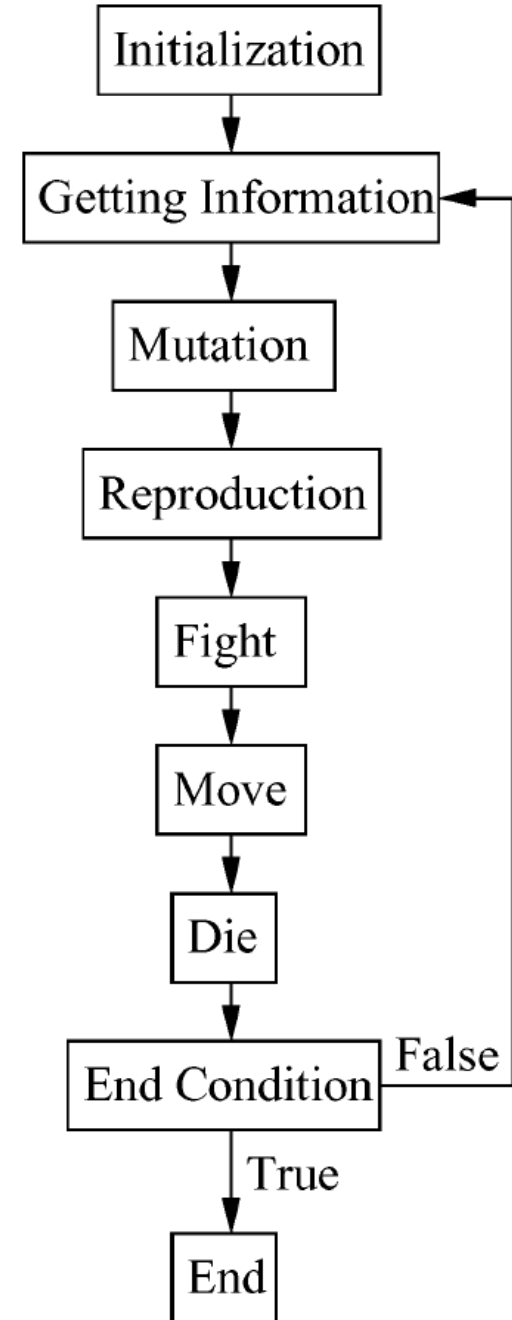
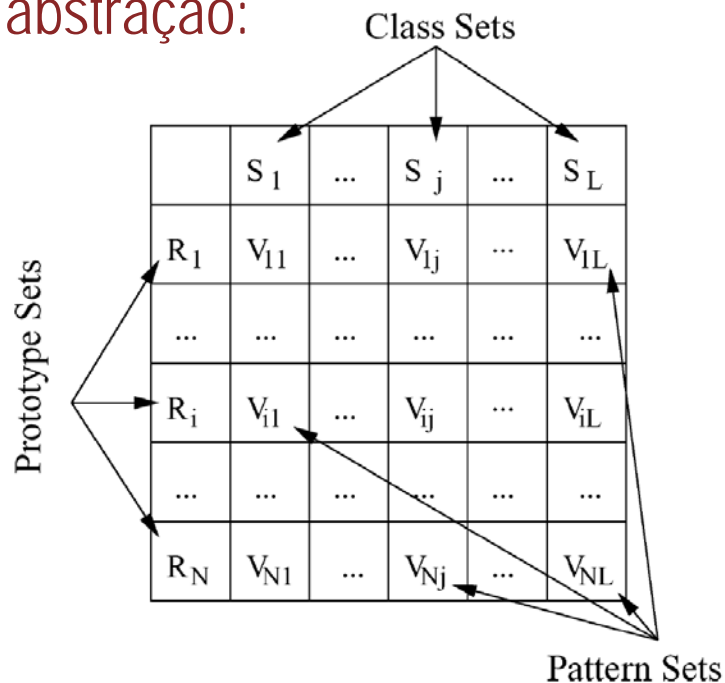
- O número inicial de protótipos é sempre um
- A localização desse protótipo inicial é irrelevante
- Não há parâmetros de aprendizado





# Algoritmo – Obtenção de Informação

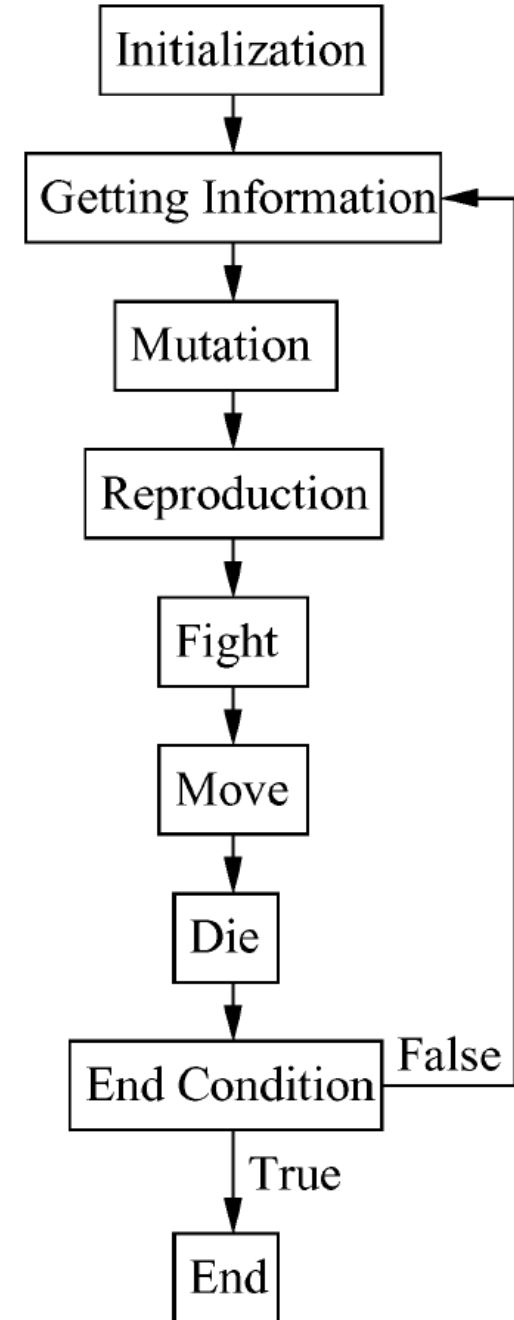
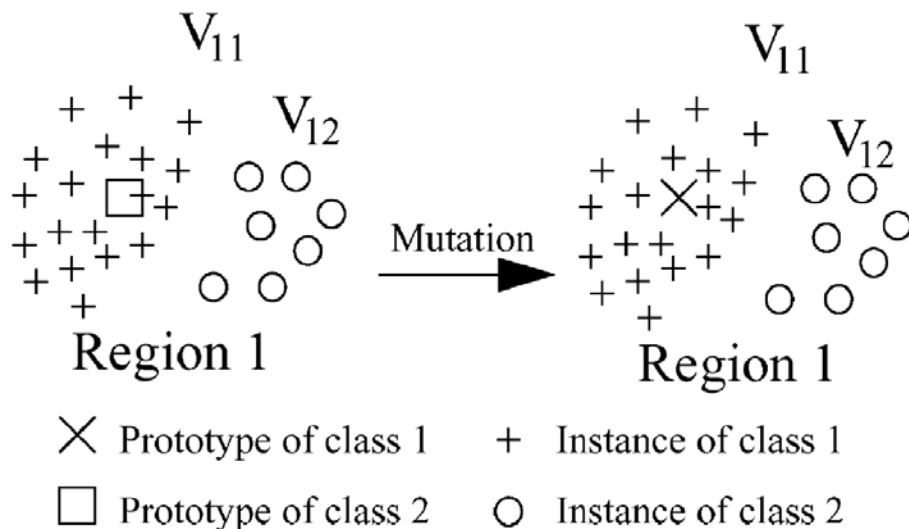
- Gera-se a abstração:





## Algoritmo – Mutação

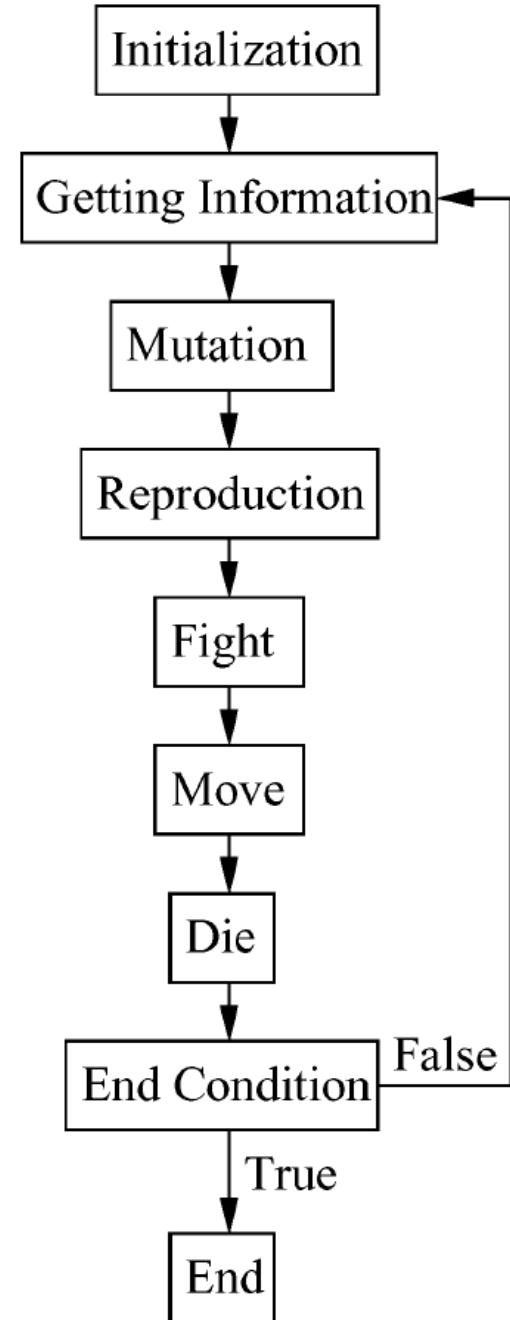
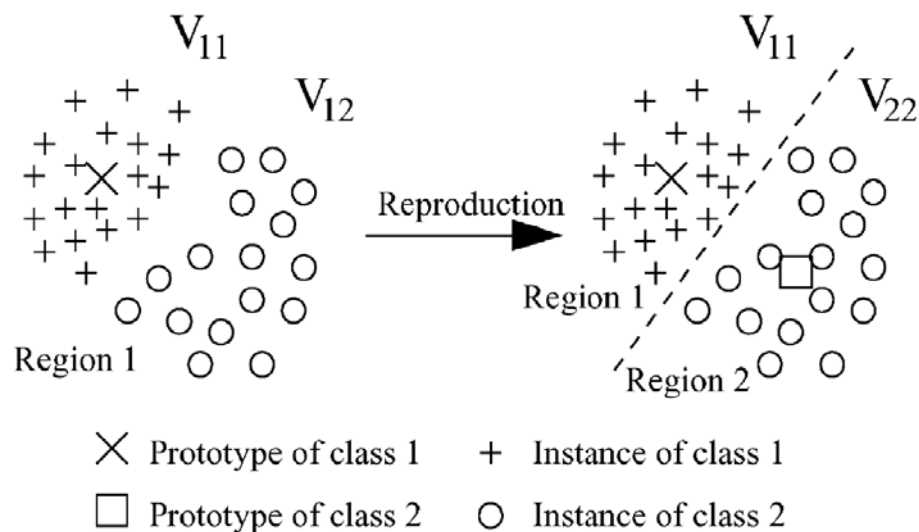
- $\forall r_i = \langle p, s \rangle \in C, s = \arg \max_j \|V_{ij}\|$





## Algoritmo – Reprodução

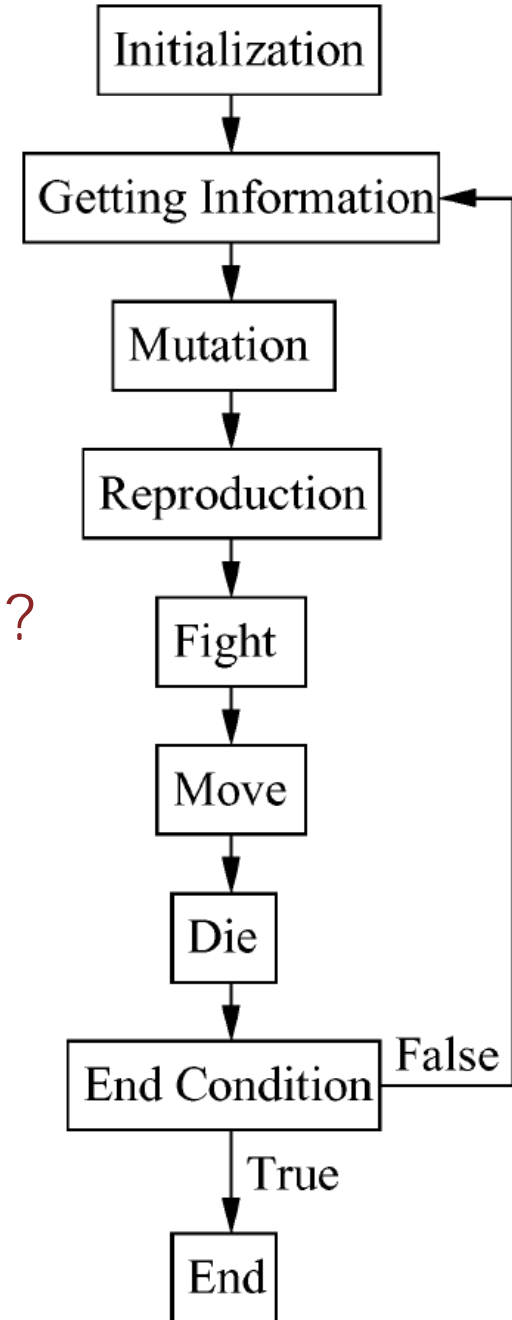
- A escolha do  $S_j$  é baseado no método da roleta
- O tamanho da fatia é proporcional a  $\|V_{ij}\|$





## Algoritmo – Disputa

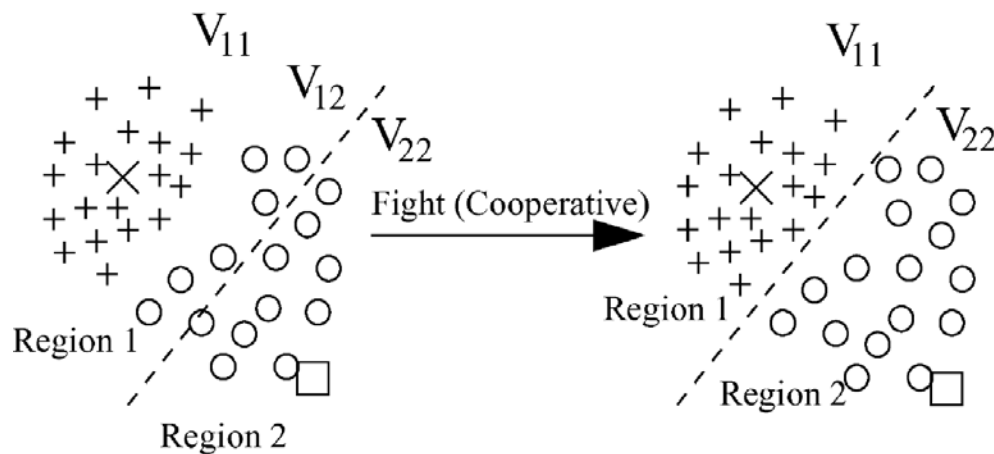
- Quais protótipos  $r_{i'}$ , tentarão desafiar o protótipo  $r_i$ ?
  - Os protótipos  $r_{i'} \in neighbours(r_i)$
- Qual protótipo  $r_{i'}$  desafiará o protótipo  $r_i$ ?
  - Método da roleta
  - O tamanho da fatia é proporcional a:
    - $|quality(r_i) - quality(r_{i'})|$
- O que determina se  $r_i$  aceita o desafio?
  - $P_{fight}(r_i, r_{i'}) = |quality(r_i) - quality(r_{i'})|$



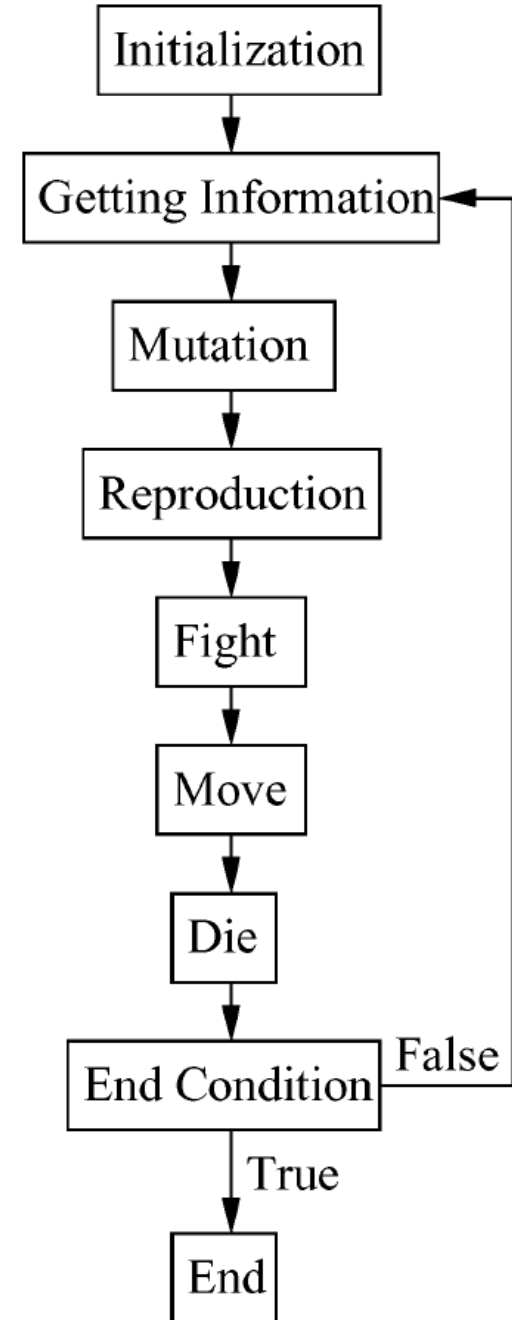


# Algoritmo – Disputa

- $r_{i'} = \langle p_{i'}, s_{i'} \rangle$ ,  $r_i = \langle p_i, s' \rangle$  e  $s_i \neq s_j$ :



X Prototype of class 1    + Instance of class 1  
 □ Prototype of class 2    O Instance of class 2

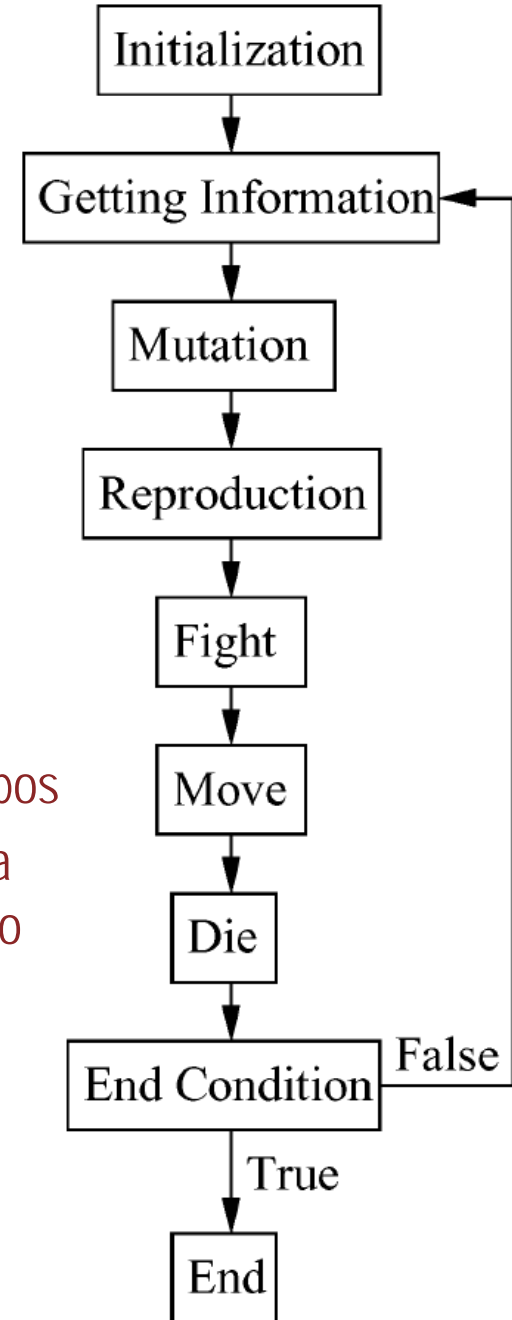






## Algoritmo – Disputa

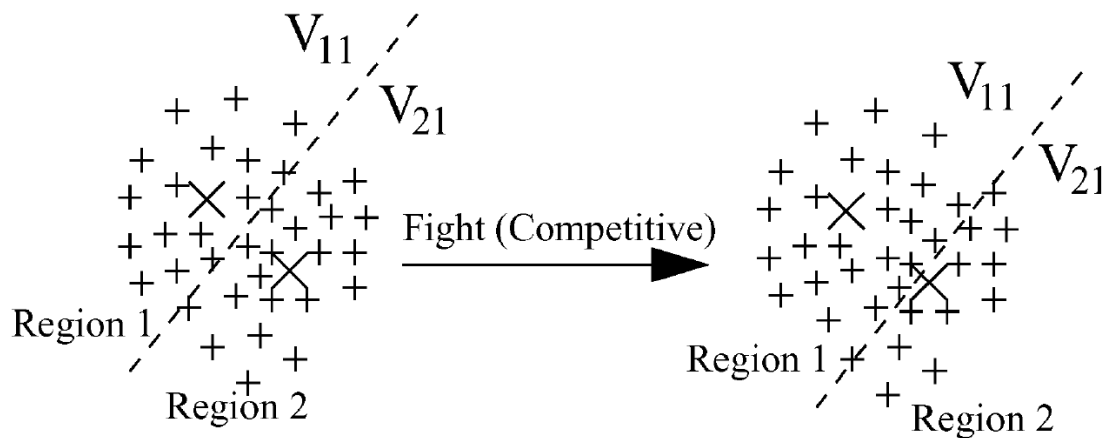
- $r_{i'} = \langle p_{i'}, s_{i'} \rangle$ ,  $r_i = \langle p_i, s' \rangle$  e  $s_i = s_j$ :
  - Método da roleta para decidir o vencedor
  - O tamanho da fatia é proporcional a qualidade dos protótipos
  - A quantidade de padrões que são transferidos depende da probabilidade proporcional as qualidades de cada protótipo



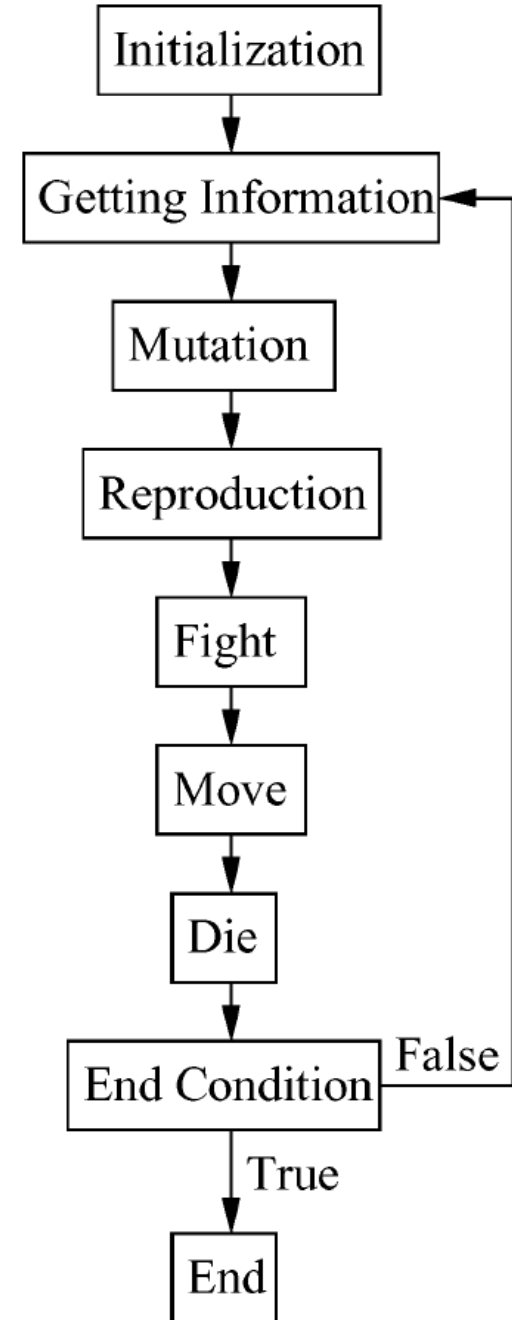


## Algoritmo – Disputa

- $r_{i'} = \langle p_{i'}, s_{i'} \rangle$ ,  $r_i = \langle p_i, s' \rangle$  e  $s_i = s_j$ :



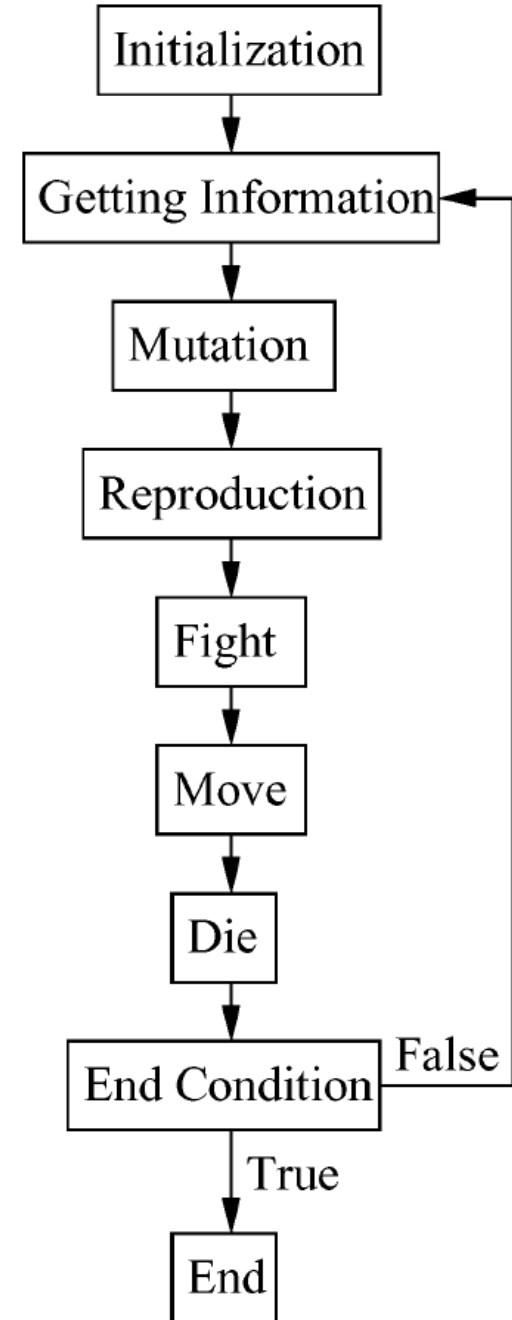
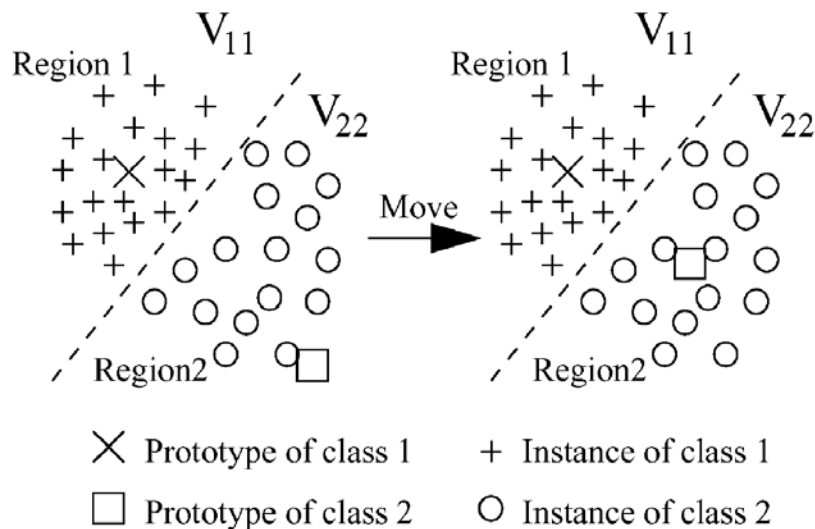
X Prototype of class 1    + Instance of class 1  
 □ Prototype of class 2    O Instance of class 2





# Algoritmo – Deslocamento

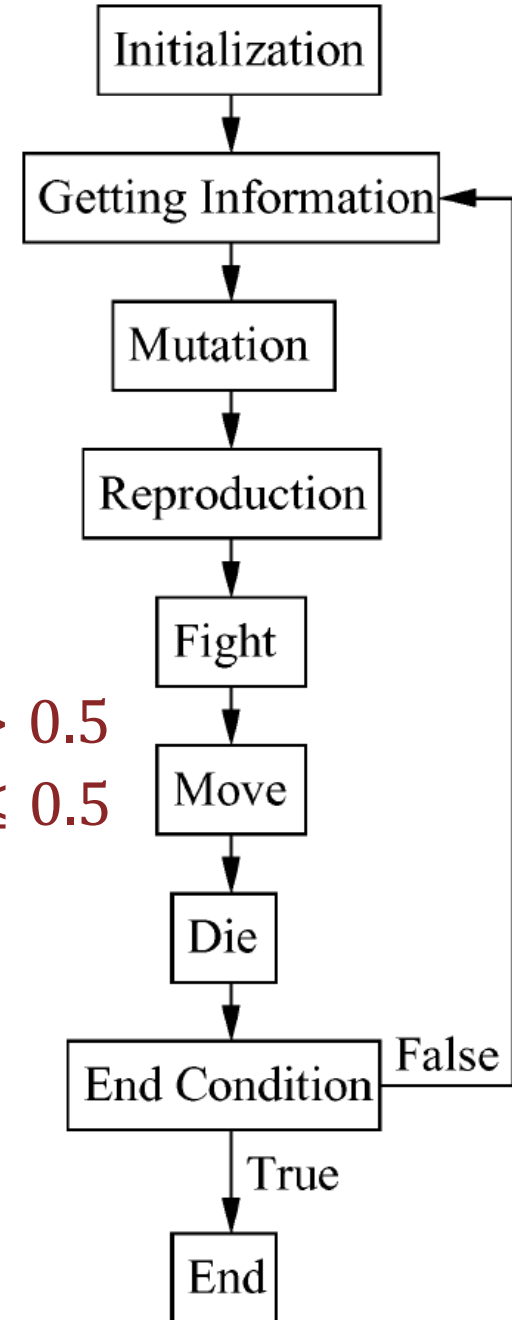
- $r_i = \langle p_i, s_j \rangle \rightarrow \langle \text{centroide}(V_{ij}), s_j \rangle$





## Algoritmo – Exclusão de Protótipos

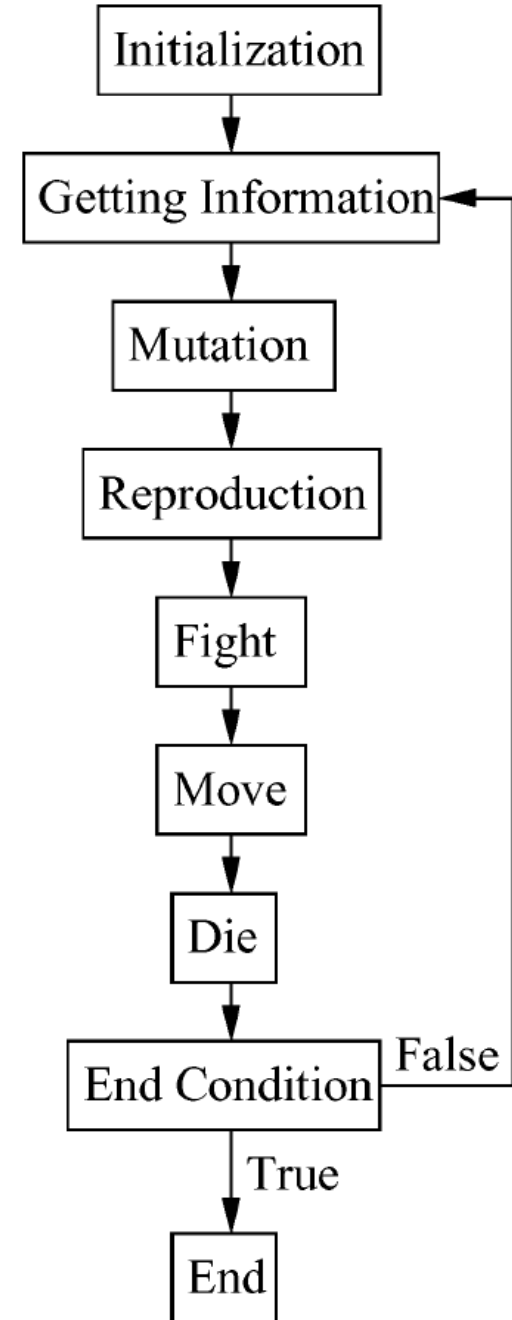
- $P_{die}(r_i) = \begin{cases} 0 & , quality(r_i) > 0.5 \\ 1 - 2 * quality(r_i) & , quality(r_i) \leq 0.5 \end{cases}$





## Algoritmo – Condição de Parada

- Número máximo de iterações
- Acurácia desejada
- Convergência do número de protótipos
- Convergência da acurácia
- Combinação das abordagens citadas acima





# Avaliação – ENPC – Bases Desbalanceadas

Dataset	Iterações	Gen. Accuracy		Maj. Accuracy		Min. Accuracy		AUC. Accuracy		Data Reduction	
glass1	100	0.80	0.03	0.85	0.04	0.71	0.04	0.78	0.03	0.89	0.04
	200	0.81	0.05	0.86	0.03	0.71	0.09	0.79	0.05	0.87	0.03
ecoli-0_vs_1	100	0.98	0.02	0.95	0.05	1.00	0.00	0.97	0.02	0.94	0.03
	200	0.96	0.02	0.91	0.07	0.99	0.01	0.95	0.03	0.95	0.02
iris0	100	1.00	0.00	1.00	0.00	1.00	0.00	1.00	0.00	0.94	0.02
	200	1.00	0.00	1.00	0.00	1.00	0.00	1.00	0.00	0.93	0.03
glass0	100	0.79	0.07	0.81	0.06	0.74	0.12	0.78	0.08	0.89	0.02
	200	0.81	0.08	0.83	0.04	0.76	0.25	0.80	0.12	0.84	0.06
ecoli1	100	0.91	0.04	0.95	0.05	0.78	0.13	0.87	0.06	0.94	0.03
	200	0.91	0.03	0.95	0.04	0.80	0.01	0.88	0.01	0.96	0.01
new-thyroid2	100	0.95	0.04	0.98	0.02	0.83	0.17	0.90	0.09	0.96	0.02
	200	0.97	0.02	0.98	0.02	0.94	0.11	0.96	0.05	0.97	0.01
new-thyroid1	100	0.98	0.02	0.98	0.02	1.00	0.00	0.99	0.01	0.95	0.03
	200	0.99	0.02	0.98	0.02	1.00	0.00	0.99	0.01	0.96	0.02
ecoli2	100	0.95	0.02	0.96	0.03	0.91	0.06	0.93	0.03	0.97	0.01
	200	0.96	0.02	0.97	0.02	0.91	0.06	0.94	0.03	0.96	0.01
glass6	100	0.90	0.06	0.91	0.07	0.83	0.15	0.87	0.08	0.99	0.00
	200	0.90	0.05	0.91	0.06	0.83	0.15	0.87	0.07	0.98	0.01
glass2	100	0.87	0.07	0.93	0.10	0.15	0.30	0.54	0.10	0.95	0.04
	200	0.89	0.04	0.97	0.05	0.00	0.00	0.48	0.02	0.97	0.01
shuttle-c2-vs-c4	100	0.99	0.02	1.00	0.00	0.90	0.20	0.95	0.10	0.92	0.01
	200	0.99	0.02	1.00	0.00	0.90	0.20	0.95	0.10	0.95	0.02
glass-0-1-6_vs_5	100	0.95	0.01	0.99	0.01	0.10	0.20	0.55	0.09	0.98	0.02
	200	0.94	0.03	0.98	0.03	0.10	0.20	0.54	0.08	0.98	0.02



# Avaliação – ENPC x KNN – Bases Desbalanceadas

Dataset	Algoritmo	Gen. Accuracy		Maj. Accuracy		Min. Accuracy		AUC. Accuracy		Data Reduction	
glass1	ENPC - 200	0.81	0.05	0.86	0.03	0.71	0.09	0.79	0.05	0.87	0.03
	KNN	0.81	0.05	0.89	0.02	0.67	0.12	0.78	0.06	-	-
ecoli-0_vs_1	ENPC - 100	0.98	0.02	0.95	0.05	1.00	0.00	0.97	0.02	0.94	0.03
	KNN	0.97	0.02	0.95	0.07	0.98	0.03	0.96	0.03	-	-
iris0	ENPC - 200	1.00	0.00	1.00	0.00	1.00	0.00	1.00	0.00	0.93	0.03
	KNN	1.00	0.00	1.00	0.00	1.00	0.00	1.00	0.00	-	-
glass0	ENPC - 200	0.81	0.08	0.83	0.04	0.76	0.25	0.80	0.12	0.84	0.06
	KNN	0.84	0.06	0.86	0.08	0.80	0.08	0.83	0.06	-	-
ecoli1	ENPC - 200	0.91	0.03	0.95	0.04	0.80	0.01	0.88	0.01	0.96	0.01
	KNN	0.86	0.04	0.92	0.04	0.67	0.12	0.80	0.06	-	-
new-thyroid2	ENPC - 200	0.97	0.02	0.98	0.02	0.94	0.11	0.96	0.05	0.97	0.01
	KNN	0.99	0.01	0.99	0.01	0.97	0.06	0.98	0.03	-	-
new-thyroid1	ENPC - 200	0.99	0.02	0.98	0.02	1.00	0.00	0.99	0.01	0.96	0.02
	KNN	0.98	0.01	0.98	0.01	0.97	0.06	0.98	0.02	-	-
ecoli2	ENPC - 200	0.96	0.02	0.97	0.02	0.91	0.06	0.94	0.03	0.96	0.01
	KNN	0.95	0.04	0.96	0.04	0.87	0.12	0.92	0.07	-	-
glass6	ENPC - 200	0.90	0.05	0.91	0.06	0.83	0.15	0.87	0.07	0.98	0.01
	KNN	0.96	0.02	0.99	0.01	0.79	0.14	0.89	0.07	-	-
glass2	ENPC - 200	0.89	0.04	0.97	0.05	0.00	0.00	0.48	0.02	0.97	0.01
	KNN	0.87	0.05	0.92	0.05	0.22	0.19	0.57	0.11	-	-
shuttle-c2-vs-c4	ENPC - 100	0.99	0.02	1.00	0.00	0.90	0.20	0.95	0.10	0.92	0.01
	KNN	0.99	0.02	1.00	0.00	0.90	0.20	0.95	0.10	-	-
glass-0-1-6_vs_5	ENPC - 100	0.95	0.01	0.99	0.01	0.10	0.20	0.55	0.09	0.98	0.02
	KNN	0.96	0.02	0.97	0.02	0.80	0.24	0.89	0.12	-	-



# Avaliação – ENPC – Bases Balanceadas

Dataset	Iterações	Gen. Accuracy		AUC. Accuracy		Data Reduction	
glass	100	0.71	0.09	0.72	0.09	0.68	0.01
	200	0.70	0.09	0.71	0.10	0.68	0.02
image_segmentation	100	0.93	0.01	0.96	0.01	0.98	0.00
	200	0.92	0.02	0.95	0.01	0.98	0.00
ionosphere	100	0.88	0.05	0.86	0.06	0.98	0.01
	200	0.89	0.03	0.87	0.03	0.97	0.01
iris	100	0.97	0.04	0.96	0.06	0.95	0.01
	200	0.97	0.03	0.96	0.04	0.94	0.02
liver	100	0.58	0.08	0.57	0.09	0.66	0.02
	200	0.62	0.08	0.61	0.08	0.66	0.01
pendigits	50	0.94	0.03	0.92	0.03	1.00	0.00
	100	0.95	0.01	0.92	0.03	1.00	0.00
pima_diabetes	100	0.71	0.06	0.68	0.07	0.79	0.03
	200	0.70	0.06	0.68	0.08	0.76	0.02
sonar	100	0.88	0.05	0.87	0.05	0.88	0.01
	200	0.86	0.06	0.85	0.06	0.88	0.02
spambase	100	0.82	0.03	0.81	0.02	1.00	0.00
	200	0.82	0.03	0.81	0.04	1.00	0.00
vehicle	100	0.65	0.03	0.60	0.05	0.73	0.01
	200	0.66	0.05	0.61	0.06	0.73	0.01
vowel	100	0.95	0.04	0.96	0.05	0.84	0.00
	200	0.96	0.02	0.97	0.03	0.84	0.00
wine	100	0.95	0.05	0.96	0.05	0.94	0.02
	200	0.97	0.04	0.96	0.05	0.95	0.01
yeast	100	0.48	0.03	0.47	0.04	0.57	0.07
	200	0.50	0.03	0.49	0.03	0.51	0.01





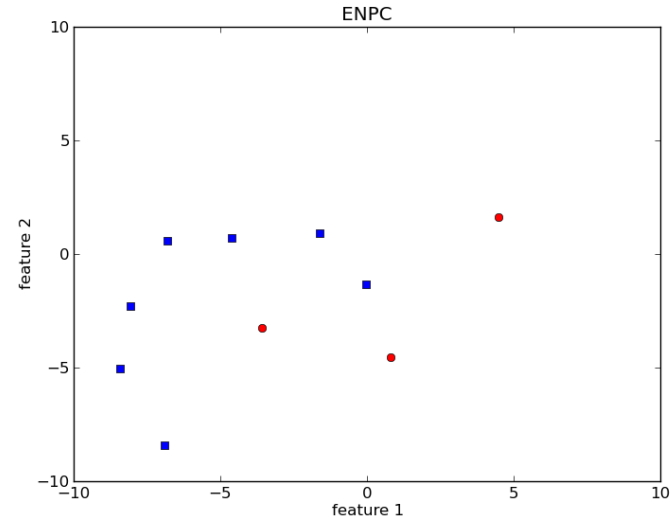
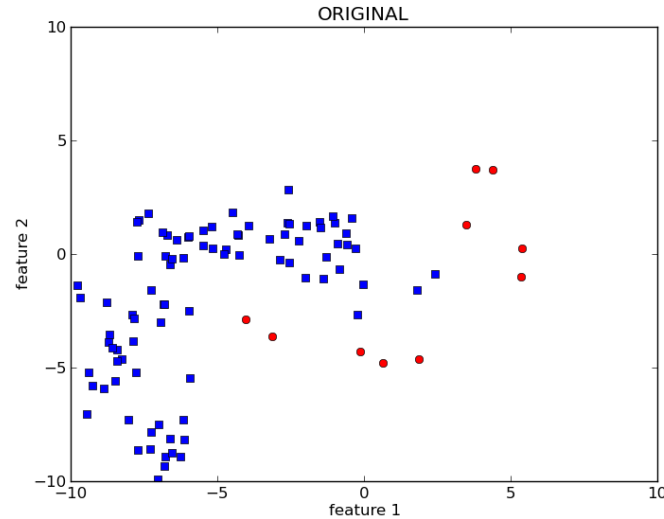
# Avaliação – ENPC x KNN – Bases Balanceadas

Dataset	Iterações	Gen. Accuracy		AUC. Accuracy		Data Reduction	
glass	ENPC - 100	0.71	0.09	0.72	0.09	0.68	0.01
	KNN	0.70	0.05	0.71	0.06	0.00	0.00
image_segmentation	ENPC - 100	0.93	0.01	0.96	0.01	0.98	0.00
	KNN	0.97	0.01	0.98	0.00	0.00	0.00
ionosphere	ENPC - 200	0.89	0.03	0.87	0.03	0.97	0.01
	KNN	0.86	0.04	0.82	0.05	0.00	0.00
iris	ENPC - 200	0.97	0.03	0.96	0.04	0.94	0.02
	KNN	0.95	0.05	0.95	0.07	0.00	0.00
liver	ENPC - 200	0.62	0.08	0.61	0.08	0.66	0.01
	KNN	0.62	0.06	0.61	0.06	0.00	0.00
pendigits	ENPC - 100	0.95	0.01	0.92	0.03	1.00	0.00
	KNN	0.99	0.00	0.99	0.00	0.00	0.00
pima_diabetes	ENPC - 100	0.71	0.06	0.68	0.07	0.79	0.03
	KNN	0.70	0.05	0.66	0.06	0.00	0.00
sonar	ENPC - 100	0.88	0.05	0.87	0.05	0.88	0.01
	KNN	0.87	0.11	0.86	0.12	0.00	0.00
spambase	ENPC - 100	0.82	0.03	0.81	0.02	1.00	0.00
	KNN	0.91	0.01	0.91	0.01	0.00	0.00
vehicle	ENPC - 200	0.66	0.05	0.61	0.06	0.73	0.01
	KNN	0.70	0.05	0.63	0.07	0.00	0.00
vowel	ENPC - 200	0.96	0.02	0.97	0.03	0.84	0.00
	KNN	0.99	0.02	0.98	0.04	0.00	0.00
wine	ENPC - 200	0.97	0.04	0.96	0.05	0.95	0.01
	KNN	0.96	0.03	0.97	0.02	0.00	0.00
yeast	ENPC - 100	0.50	0.03	0.49	0.03	0.51	0.01
	KNN	0.52	0.04	0.51	0.05	0.00	0.00



# Avaliação – Visualização da redução - Banana

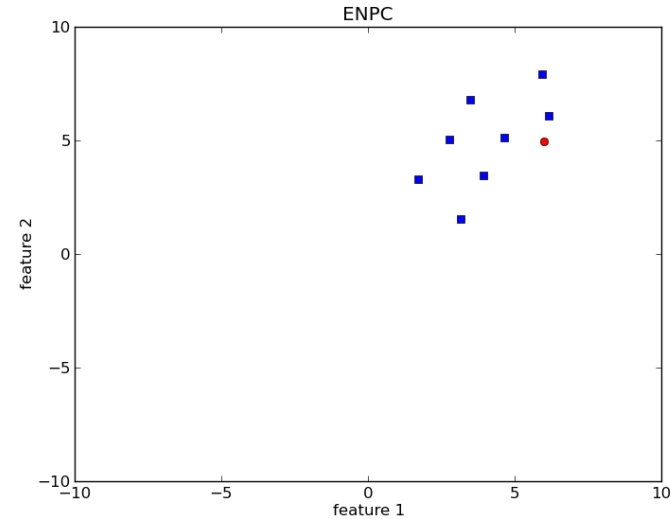
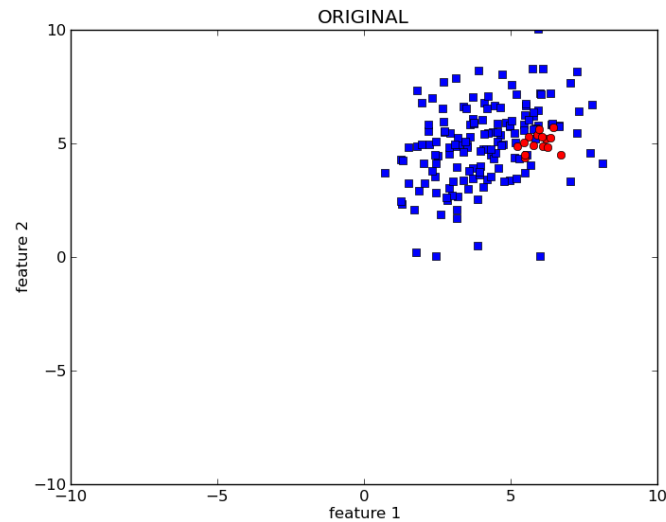
- 200 Iterações





# Avaliação – Visualização da redução - Normal

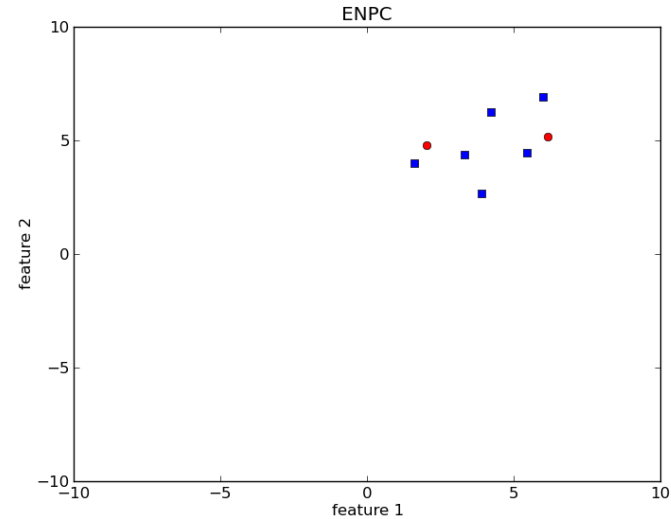
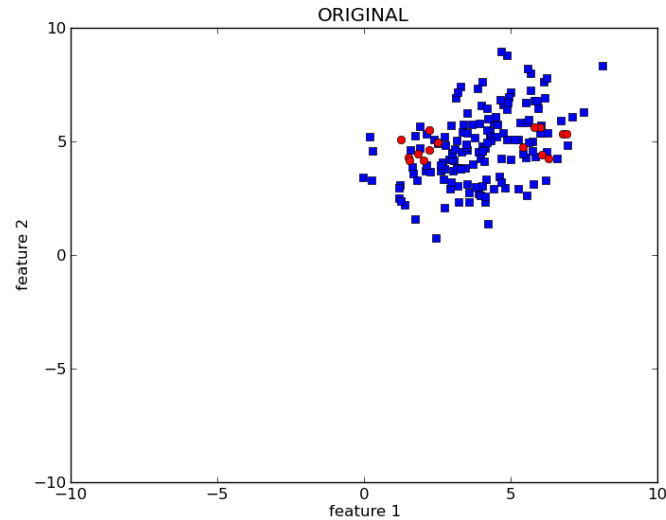
- 200 Iterações





# Avaliação – Visualização da redução – Norma Multimodal

- 200 Iterações





## Conclusão

- Ótimo desempenho em bases desbalanceadas, mas dependendo da disposição dos dados, tende a não gerar protótipos da classe minoritária. Chega a ser melhor que o KNN;
- Bom desempenho em bases balanceadas chegando a ser tão bom quanto o KNN, perdendo, em alguns casos, por pouco.



# Evolutionary Design of Nearest Prototype Classifiers

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