

# *Analysis and Design of Algorithms*

## Chapter 3: Brute Force




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# Brute Force

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■ **Brute Force** (蛮力法) : a **straightforward** approach, usually based **directly** on the problem's statement and definitions of the concepts involved. 

■ *Example:*

- ✦ Computing  $a^n$  ( $a > 0$ ,  $n$  and  $a$  are nonnegative integer)  
简单地把1和 $a$ 相乘 $n$ 次
- ✦ Computing  $n!$
- ✦ Consecutive integer algorithm for gcd ( $m$ ,  $n$ )
- ✦ Searching for a key of a given value in a list
- ✦ Multiplying two matrices based on definition

# *Brute Force*

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- 蛮力法的价值:

- ▲ 可能是唯一一种几乎什么问题都可以解决的一般性方法
- ▲ 可以产生一些具备一定价值的算法，不必限制输入规模
- ▲ 如果要解决问题的实例规模不大，蛮力法能够在可接受的速度范围内解决，则不必花费更多代价研究其他高效算法
- ▲ 即使效率通常很低，仍可解决一些小规模问题实例
- ▲ 可作为衡量其他算法效率的准绳

# *Brute-Force Sorting Alg.*

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## ✦ *Sorting Problem* (排序问题)

Given an array of  $n$  **orderable** items (e.g. numbers, characters from some alphabet, character strings), **rearrange** them in **non-decreasing** order.

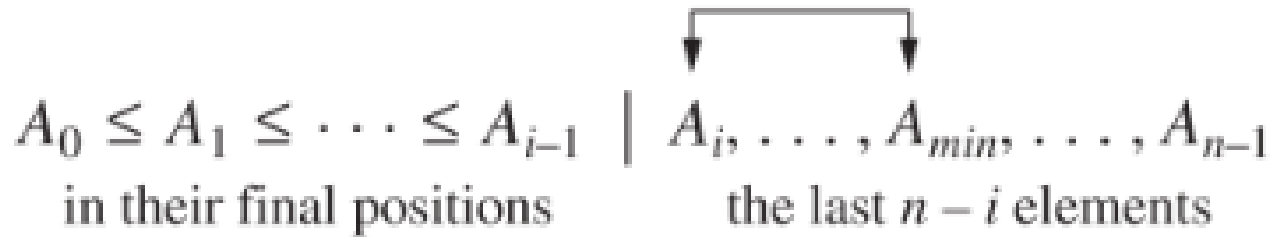
几十种排序算法

<https://www.cnblogs.com/onepixel/p/7674659.html>

选择排序 vs 冒泡排序

# *Selection Sort* (选择排序)

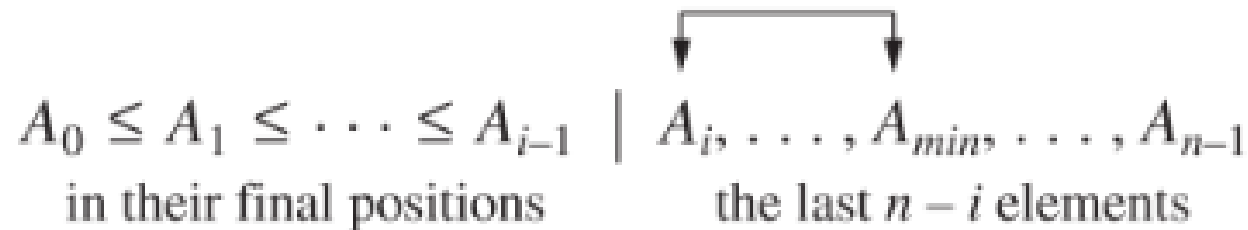
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- **Scan** the entire array to **find its smallest element** and swap it with the first element;
- Starting with the **second** element, to find the smallest **among the next  $n-1$  elements** and swap it with the second element;
- Generally, on pass  $i$  ( $0 \leq i \leq n-2$ ), find the smallest element in  $A[i..n-1]$  and swap it with  $A[i]$ ;
- After  **$n-1$  passes**, the array is sorted.

# Selection Sort

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**ALGORITHM** *SelectionSort*( $A[0..n - 1]$ )

//Sorts a given array by selection sort

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Array  $A[0..n - 1]$  sorted in ascending order

**for**  $i \leftarrow 0$  **to**  $n - 2$  **do**

$min \leftarrow i$

**for**  $j \leftarrow i + 1$  **to**  $n - 1$  **do**

**if**  $A[j] < A[min]$      $min \leftarrow j$

        swap  $A[i]$  and  $A[min]$

# Selection Sort

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- *Example:*

Selection Sort on the list {89, 45, 68, 90, 29, 34, 17 }

	89	45	68	90	29	34	<b>17</b>
17		45	68	90	<b>29</b>	34	89
17	29		68	90	45	<b>34</b>	89
17	29	34		90	<b>45</b>	68	89
17	29	34	45		90	<b>68</b>	89
17	29	34	45	68		90	<b>89</b>
17	29	34	45	68	89		90

每一行代表该算法的一次迭代，也就是说，从尾部到竖线的一遍扫描，找到的最小元素用黑体表示。竖线左边的元素已经位于它们的最终位置，所以在当前和后面的循环中，不必再考虑。

# Selection Sort

## ■ Analysis of Selection Sort

```
ALGORITHM SelectionSort( $A[0..n - 1]$ )  
  //Sorts a given array by selection sort  
  //Input: An array  $A[0..n - 1]$  of orderable elements  
  //Output: Array  $A[0..n - 1]$  sorted in ascending order  
  for  $i \leftarrow 0$  to  $n - 2$  do  
     $min \leftarrow i$   
    for  $j \leftarrow i + 1$  to  $n - 1$  do  
      if  $A[j] < A[min]$   $min \leftarrow j$   
    swap  $A[i]$  and  $A[min]$ 
```

- **Input size:** number of elements,  $n$
- **Basic operation:** key comparison  $A[j] < A[min]$
- **Check :** 比较的执行次数仅仅依赖于数组的规模，该算法不需考虑最差、平均和最优效率



# Selection Sort

**ALGORITHM** *SelectionSort*( $A[0..n - 1]$ )

//Sorts a given array by selection sort

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Array  $A[0..n - 1]$  sorted in ascending order

**for**  $i \leftarrow 0$  **to**  $n - 2$  **do**

$min \leftarrow i$

**for**  $j \leftarrow i + 1$  **to**  $n - 1$  **do**

**if**  $A[j] < A[min]$   $min \leftarrow j$

    swap  $A[i]$  and  $A[min]$

- **Time efficiency:**  $\Theta(n^2)$

$$\begin{aligned} C(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-i-1) \\ &= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i = (n-1) \sum_{i=0}^{n-2} 1 - \sum_{i=0}^{n-2} i = (n-1)^2 - \frac{(n-2)(n-1)}{2} \\ &= \frac{n(n-1)}{2} \in \Theta(n^2) \end{aligned}$$

# Selection Sort

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**ALGORITHM** *SelectionSort*( $A[0..n - 1]$ )

//Sorts a given array by selection sort

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Array  $A[0..n - 1]$  sorted in ascending order

**for**  $i \leftarrow 0$  **to**  $n - 2$  **do**

$min \leftarrow i$

**for**  $j \leftarrow i + 1$  **to**  $n - 1$  **do**

**if**  $A[j] < A[min]$   $min \leftarrow j$

        swap  $A[i]$  and  $A[min]$

- **Number of key swaps:**  $n-1$  次,  $\Theta(n)$

在这方面, 选择排序优于许多其他排序方法。

# *Bubble Sort* （冒泡排序）

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$$A_0, \dots, A_j \overset{?}{\leftrightarrow} A_{j+1}, \dots, A_{n-i-1} \mid A_{n-i} \leq \dots \leq A_{n-1}$$

in their final positions

- Compare adjacent elements of the list and exchange them if they are out of order;
- By doing it repeatedly, we end up “bubbling” the largest element to the last position on the list;
- The next pass bubbles up the second largest element, and so on until, after  $n-1$  passes, the list is sorted.

# Bubble Sort

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$$A_0, \dots, A_j \overset{?}{\leftrightarrow} A_{j+1}, \dots, A_{n-i-1} \mid A_{n-i} \leq \dots \leq A_{n-1}$$

in their final positions

**ALGORITHM** *BubbleSort* ( $A[0 \dots n-1]$ )

// Sorts a given array by bubble sort;

// Input: An array  $A[0 \dots n-1]$  of orderable elements

// Output: Array  $A[0 \dots n-1]$  sorted in ascending order

**for**  $i \leftarrow 0$  to  $n-2$  **do**

**for**  $j \leftarrow 0$  to  $n-2-i$  **do**

**if**  $A[j+1] < A[j]$  swap  $A[j]$  and  $A[j+1]$

# Bubble Sort

## Example:

Bubble Sort on the list {89, 45, 68, 90, 29, 34, 17 }

89	↔?	45		68		90		29		34		17
45		89	↔?	68		90		29		34		17
45		68		89	↔?	90	↔?	29		34		17
45		68		89		29		90	↔?	34		17
45		68		89		29		34		90	↔?	17
45		68		89		29		34		17		90
45	↔?	68	↔?	89	↔?	29		34		17		90
45		68		29		89	↔?	34		17		90
45		68		29		34		89	↔?	17		90
45		68		29		34		17			89	

每次交换了两个元素的位置以后，就另起一行。竖线右边的元素已经位于它们的最终位置，所以后面的循环中就不再考虑。

# Bubble Sort

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## ■ Analysis of Bubble Sort

**ALGORITHM** *BubbleSort* ( $A[0 \dots n-1]$ )

// Sorts a given array by bubble sort;

// Input: An array  $A[0 \dots n-1]$  of orderable elements

// Output: Array  $A[0 \dots n-1]$  sorted in ascending order

**for**  $i \leftarrow 0$  to  $n-2$  **do**

**for**  $j \leftarrow 0$  to  $n-2-i$  **do**

**if**  $A[j+1] < A[j]$  swap  $A[j]$  and  $A[j+1]$

- **Input size:** number of elements,  $n$
- **Basic operation:** key comparison
- **Check:** 对于所有规模为  $n$  的数组，该算法的键值比较次数相同。

# Bubble Sort

**ALGORITHM** *BubbleSort* ( $A[0 \dots n-1]$ )

// Sorts a given array by bubble sort;

// Input: An array  $A[0 \dots n-1]$  of orderable elements

// Output: Array  $A[0 \dots n-1]$  sorted in ascending order

**for**  $i \leftarrow 0$  to  $n-2$  **do**

**for**  $j \leftarrow 0$  to  $n-2-i$  **do**

**if**  $A[j+1] < A[j]$  swap  $A[j]$  and  $A[j+1]$

- **Time efficiency:**  $\Theta(n^2)$

$$\begin{aligned} C(n) &= \sum_{i=0}^{n-2} \sum_{j=0}^{n-2-i} 1 = \sum_{i=0}^{n-2} [(n-2-i) - 0 + 1] = \sum_{i=0}^{n-2} (n-i-1) \\ &= \frac{n(n-1)}{2} \in \Theta(n^2) \end{aligned}$$

# Bubble Sort

**ALGORITHM** *BubbleSort* ( $A[0 \dots n-1]$ )

// Sorts a given array by bubble sort;

// Input: An array  $A[0 \dots n-1]$  of orderable elements

// Output: Array  $A[0 \dots n-1]$  sorted in ascending order

**for**  $i \leftarrow 0$  to  $n-2$  **do**

**for**  $j \leftarrow 0$  to  $n-2-i$  **do**

**if**  $A[j+1] < A[j]$  swap  $A[j]$  and  $A[j+1]$

- **Number of key swaps:** depends on the input （最坏的情况是遇到一个降序排列的数组，此时键比较和键交换的次数相同。）

$$S_{\text{worst}}(n) = C(n) = \frac{n(n-1)}{2} \in \Theta(n^2)$$

**Improvement:** if a pass through the list makes **no exchanges**, the list has been sorted and we can **stop** the algorithm.



# *Brute-Force Searching Alg.*

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## ✦ *Searching Problem* (查找问题)

The searching problem deals with **finding a given value**, called a **search key**, in a given set.

顺序查找 vs 字符串匹配

# Sequential Search (顺序查找)

**ALGORITHM** *SequentialSearch2*( $A[0..n]$ ,  $K$ )

//Implements sequential search with a search key as a sentinel

//Input: An array  $A$  of  $n$  elements and a search key  $K$

//Output: The index of the first element in  $A[0..n - 1]$  whose value is  
// equal to  $K$  or  $-1$  if no such element is found

$A[n] \leftarrow K$

$i \leftarrow 0$

**while**  $A[i] \neq K$  **do**

$i \leftarrow i + 1$

**if**  $i < n$  **return**  $i$

**else return**  $-1$

**Improvement:** 如果给定数组是有序的（非降序），只要遇到一个大于或等于查找键的元素，查找就可以停止了。

# *String Matching* (字符串匹配)

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**ALGORITHM** *BruteForceStringMatch*( $T[0..n - 1]$ ,  $P[0..m - 1]$ )

//Implements brute-force string matching

//Input: An array  $T[0..n - 1]$  of  $n$  characters representing a text and

//        an array  $P[0..m - 1]$  of  $m$  characters representing a pattern

//Output: The index of the first character in the text that starts a

//        matching substring or  $-1$  if the search is unsuccessful

**for**  $i \leftarrow 0$  **to**  $n - m$  **do**

$j \leftarrow 0$

**while**  $j < m$  **and**  $P[j] = T[i + j]$  **do**

$j \leftarrow j + 1$

**if**  $j = m$  **return**  $i$

**return**  $-1$

**Worst case:**  $O(mn)$

# *Exhaustive Search* (穷举查找)

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## ■ *Searching Problem*

- Searching for an element with a special property, in a domain that grows exponentially (or faster) with an instance size.
- Usually involve combinatorial objects such as permutations, combinations, or subsets of a set.
- Many such problems are optimization problems, to find an element that maximizes or minimizes some desired characteristic, such as a path's length or an assignment's cost.

# *Exhaustive Search*

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## ■ **Exhaustive Search— Brute-Force for combinatorial**

- Generate a list of **all potential solutions** to the problem in a systematic manner;
- Selecting those of them that **satisfy all the constraints**;
- Evaluate potential solutions **one by one**, disqualifying infeasible ones and, for an optimization problem, keeping track of the best one found so far;
- Search ends, announce the desired solution(s) found (e.g. the one that optimizes some objective function).

# *Exhaustive Search: Traveling Salesman Problem*

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## ✦ *Problem*

Given  $n$  cities with known distances between each pair, find the shortest tour that passes through all the cities exactly once before returning to the starting city.

## ✦ *Idea*

- Weighted graph:

**vertices:** cities;    **edge weights:** distances

The TSP problem is converted into finding the shortest **Hamiltonian circuit** in a weighted connected graph.

***Hamiltonian circuit:** a cycle that passes through all the vertices of the graph exactly once.*

# *Exhaustive Search: Traveling Salesman Problem*

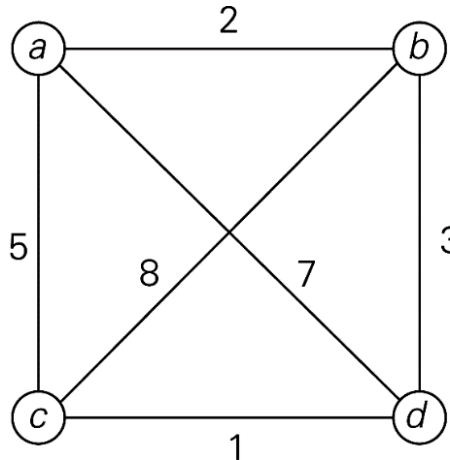
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## ✦ Idea

- Hamiltonian circuit can be defined as a sequence of  $n+1$  adjacent vertices  $v_{i0}, v_{i1}, v_{i2}, \dots, v_{in-1}, v_{i0}$ ;
- Generating all the permutations of  $n-1$  intermediate cities;
- Computing the tour lengths;
- Find the shortest among them.

# Traveling Salesman Problem

## ■ Example:



Tour

Length

$$a \rightarrow b \rightarrow c \rightarrow d \rightarrow a \quad l = 2 + 8 + 1 + 7 = 18$$

$$a \rightarrow b \rightarrow d \rightarrow c \rightarrow a \quad l = 2 + 3 + 1 + 5 = 11 \quad \text{optimal}$$

$$a \rightarrow c \rightarrow b \rightarrow d \rightarrow a \quad l = 5 + 8 + 3 + 7 = 23$$

$$a \rightarrow c \rightarrow d \rightarrow b \rightarrow a \quad l = 5 + 1 + 3 + 2 = 11 \quad \text{optimal}$$

$$a \rightarrow d \rightarrow b \rightarrow c \rightarrow a \quad l = 7 + 3 + 8 + 5 = 23$$

$$a \rightarrow d \rightarrow c \rightarrow b \rightarrow a \quad l = 7 + 1 + 8 + 2 = 18$$



# *Traveling Salesman Problem*

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## ■ *Analysis of Exhaustive Search for TSP*

- Number of permutations:  $(n-1)!$

# *Exhaustive Search: Knapsack Problem*

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## ✦ Problem

Given

weights:  $w_1 \quad w_2 \quad \dots \quad w_n$

values:  $v_1 \quad v_2 \quad \dots \quad v_n$

a knapsack of capacity:  $W$

find the most valuable subset of the items that fit into the knapsack.

# *Exhaustive Search: Knapsack Problem*

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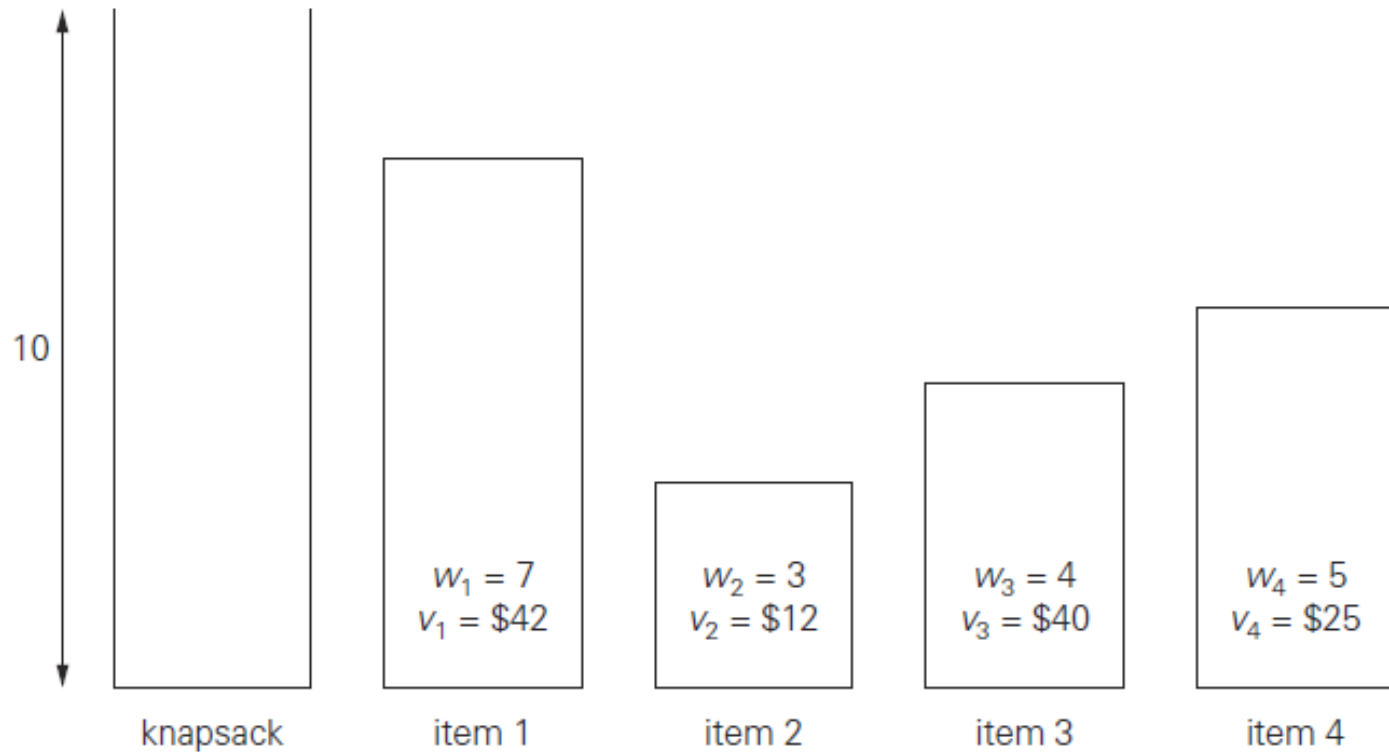
## ✦ *Idea*

- Generating all subsets of the set of  $n$  items given;
- Computing the total weight of each feasible subset (i.e. the ones with the total weight not exceeding the knapsack's capacity);
- Finding a subset of the largest value among them.

# Knapsack Problem

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- *Example:*



## ***Knapsack Problem***

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- ***Example:***

**Weights:** 7, 3, 4, 5

**values:** \$42, \$12, \$40, \$25

**knapsack-capacity:** 10

Subset	Total weight	Total value
$\emptyset$	0	\$ 0
{1}	7	\$42
{2}	3	\$12
{3}	4	\$40
{4}	5	\$25
{1, 2}	10	\$54
{1, 3}	11	not feasible
{1, 4}	12	not feasible
{2, 3}	7	\$52
{2, 4}	8	\$37
<b>{3, 4}</b>	<b>9</b>	<b>\$65</b>
{1, 2, 3}	14	not feasible
{1, 2, 4}	15	not feasible
{1, 3, 4}	16	not feasible
{2, 3, 4}	12	not feasible
{1, 2, 3, 4}	19	not feasible

# *Knapsack Problem*

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## ■ *Analysis of Exhaustive Search for Knapsack*

- Number of subsets for an  $n$ -element set:  $2^n$

For **exhaustive search** for Knapsack problem and TSP problem,

- examples of so-called **NP-hard** problem
- **no polynomial-time algorithm** is known for **NP-hard** problem

# *Exhaustive Search: Assignment Problem*

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## ✦ Problem

- There are  $n$  people who need to be assigned to  $n$  jobs, one person per job.
- Each person is assigned to **exactly one job**, and each job is assigned to **exactly one person**.
- The cost of assigning person  $i$  to job  $j$  is  $C[i, j]$ .
- Find an assignment that **minimizes** the total cost.

# *Exhaustive Search: Assignment Problem*

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## ✦ *Idea*

Describe the feasible solutions to the Assignment Problem as  $n$ -tuples  $\langle J_1, \dots, J_i, \dots, J_n \rangle$  in which the  $i$ -th component indicates the column of the element selected in the  $i$ -th row (i.e. job number assigned to the  $i$ -th person).

- generating all legitimate assignments
- compute their costs
- select the cheapest one



# Assignment Problem

## ■ Example:

	Job 1	Job 2	Job 3	Job 4
Person 1	9	2	7	8
Person 2	6	4	3	7
Person 3	5	8	1	8
Person 4	7	6	9	4

Pose the problem as the one about a **cost matrix**:

$$C = \begin{bmatrix} 9 & 2 & 7 & 8 \\ 6 & 4 & 3 & 7 \\ 5 & 8 & 1 & 8 \\ 7 & 6 & 9 & 4 \end{bmatrix}$$

$\langle 1, 2, 3, 4 \rangle$	cost = 9 + 4 + 1 + 4 = 18
$\langle 1, 2, 4, 3 \rangle$	cost = 9 + 4 + 8 + 9 = 30
$\langle 1, 3, 2, 4 \rangle$	cost = 9 + 3 + 8 + 4 = 24
$\langle 1, 3, 4, 2 \rangle$	cost = 9 + 3 + 8 + 6 = 26
$\langle 1, 4, 2, 3 \rangle$	cost = 9 + 7 + 8 + 9 = 33
$\langle 1, 4, 3, 2 \rangle$	cost = 9 + 7 + 1 + 6 = 23

etc.

**思考：** 可不可以选择每行中最小的元素？

# Assignment Problem

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## ■ *Analysis of Exhaustive Search for Assignment*

- Number of permutations:  $n!$

*-- NP-hard problem*

# Summary

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- 蛮力法是一种简单直接地解决问题的方法，通常直接基于问题的描述和所涉及的概念定义。
- 蛮力法的优点：广泛适用性和简单性；  
蛮力法的缺点：大多效率低。
- 蛮力法一般是得到一个算法，为设计改进算法提供比较依据。
- 穷举法是蛮力法之一，包括旅行商问题，背包问题和分配问题。

# *Next*

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■ 深度优先搜索

■ 广度优先搜索