

Computing Galois groups of Fano problems

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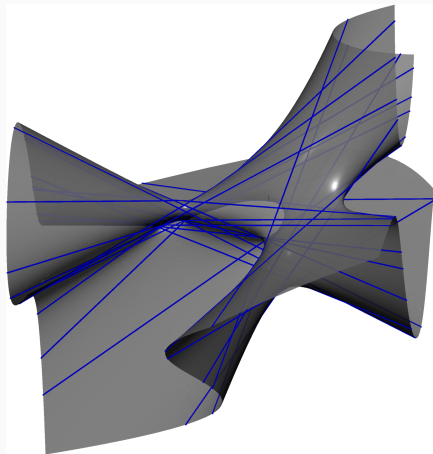
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The problem of lines on a cubic surface

- Cayley and Salmon showed there are 27 distinct lines that lie on a smooth cubic surface.
- Schläfli determined these lines lie in a “remarkable configuration”
- A [Fano problem](#) is the problem of enumerating linear spaces of a fixed dimension on a variety.



Fano problems

- For fixed degrees $d_\bullet = (d_1, \dots, d_s)$ choose homogeneous polynomials in $n + 1$ variables, $F = (f_1, \dots, f_s)$.
- Enumerate the r -planes that lie on the zero set $X_F = V(F) \subseteq \mathbb{P}^n$.

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- The [Fano scheme](#) $V_r(X_F)$ is the set of r -planes on X_F as a subvariety of the Grassmanian $\mathbb{G}(r, \mathbb{P}^n)$.
- The combinatorial data (r, n, d_\bullet) determines a Fano problem when $n - s - 2r \geq 0$ and

$$(r + 1)(n - r) - \sum_{i=1}^s \binom{d_i + r}{r} = 0.$$

Examples

Debarre and Manivel explicitly determined the number of solutions to the Fano problem determined by the data (r, n, d_\bullet) by intersection theoretic means. Write this number as $\deg(r, n, d_\bullet)$.

We list all Fano problems with less than 1000 solutions below.

| r | n | d_\bullet | $\deg(r, n, d_\bullet)$ | Galois group |
|-----|-----|--------------|-------------------------|--------------|
| 1 | 4 | (2, 2) | 16 | D_5 |
| 1 | 3 | (3) | 27 | E_6 |
| 2 | 6 | (2, 2) | 64 | D_7 |
| 3 | 8 | (2, 2) | 256 | D_9 |
| 1 | 7 | (2, 2, 2, 2) | 512 | S_{512} |
| 1 | 6 | (2, 2, 3) | 720 | S_{720} |

Incidence correspondence

Write $\mathbb{C}^{(r,n,d_\bullet)}$ for the parameter space of homogeneous forms $F = (f_1, \dots, f_s)$ in $n+1$ variables of degrees $d_\bullet = (d_1, \dots, d_s)$.

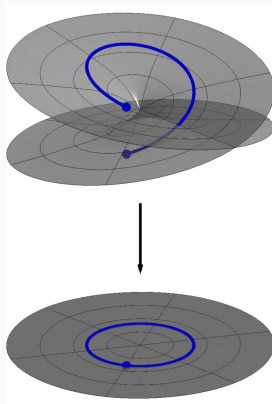
Fix (r, n, d_\bullet) . There is an incidence correspondence

$$\begin{array}{ccc} \Gamma_{(r,n,d_\bullet)} = \{(F, \ell) \in \mathbb{C}^{(r,n,d_\bullet)} \times \mathbb{G}(r, \mathbb{P}^n) : \ell \in V_r(X_F)\} & & \\ \pi_{(r,n,d_\bullet)} \downarrow & \searrow & \\ \mathbb{C}^{(r,n,d_\bullet)} & & \mathbb{G}(r, \mathbb{P}^n) \end{array}$$

- $\Gamma_{(r,n,d_\bullet)}$ is irreducible.
- $\deg \pi_{(r,n,d_\bullet)} = \deg(r, n, d_\bullet)$ and the fiber over $F \in \mathbb{C}^{(r,n,d_\bullet)}$ is the set of r -planes $V_r(X_F)$.
- $\pi_{(r,n,d_\bullet)}$ restricts to a covering space over a Zariski open set.

Galois groups of Fano problems

- The Galois group, $\mathcal{G}_{(r,n,d_\bullet)}$, of the Fano problem determined by (r, n, d_\bullet) is the monodromy group of $\pi_{(r,n,d_\bullet)}$.
- Jordan/Harris: $\mathcal{G}_{(1,3,(3))} = E_6$
- Harris: $\mathcal{G}_{(1,n,(2n-3))}$ is symmetric for $n \geq 4$
- Hashimoto/Kadets: $\mathcal{G}_{(r,2r+2,(2,2))} = D_{2r+3}$
- Hashimoto/Kadets: If $d_\bullet \neq (3), (2,2)$ then $\mathcal{G}_{(r,n,d_\bullet)}$ contains the alternating group



Harris' method of proof

Lemma (Harris)

Let $\pi : Y \mapsto Z$ be a smooth map of degree k between irreducible varieties. If there exists a point $p \in Z$ such that the fiber $\pi^{-1}(p)$ consists of exactly $k - 2$ simple points and one double point then the monodromy group of π contains a simple transposition.

We have a smooth map $\pi_{(r,n,d_\bullet)} : \Gamma_{(r,n,d_\bullet)} \rightarrow \mathbb{C}^{(r,n,d_\bullet)}$ of degree $\deg(r, n, d_\bullet)$ between irreducible varieties.

- Heuristically choose $F \in \mathbb{C}^{(r,n,d_\bullet)}$ so that $V_r(X_F)$ consists of $\deg(r, n, d_\bullet) - 2$ simple points and one double point.
- Solve a system \bar{F} describing $V_r(X_F)$ in local coordinates.
- Verify claims with exact computation and numerical certification.

Choose $F \in \mathbb{C}^{(r,n,d\bullet)}$, write \overline{F} , and solve

Choose local coordinates on $\mathbb{G}(r, \mathbb{P}^n)$ and for $\omega \in \mathbb{G}(r, \mathbb{P}^n)$ use local coordinates ω^* to parameterize ω .

- Write \overline{F} as the system sending local coordinates of $\omega \in \mathbb{G}(r, \mathbb{P}^n)$ to the coefficients of $F|_\omega$ in local coordinates on ω .
- Choose $\ell \in \mathbb{G}(r, \mathbb{P}^n)$ and a nonzero vector $v \in \mathbb{C}^{(r+1)(n-r)}$.
- Randomly select $F \in \mathbb{C}^{(r,n,d\bullet)}$ satisfying the linear conditions $\overline{F}(\ell^*) = 0$ and $D\overline{F}(\ell^*)v = 0$.
- Use your favorite solver to enumerate the solutions of \overline{F} .

Note: If ℓ and v have rational coordinates, F can be chosen to have rational coordinates as well.

Simple double roots and numerical certification

A simple double root of a system G is a root x satisfying $\ker DG(x) = \langle v \rangle$ and $D^2G(x)(v, v) \notin \operatorname{im} DG(x)$.

- By work of Shub, simple double roots are isolated solutions of multiplicity 2.
- If ℓ , v , and F have rational coordinates, these conditions can be checked exactly.

There are 2 methods of isolating the remaining solutions.

- α -theory
- Interval arithmetic

Smale's α -theory

Given a system $G : \mathbb{C}^m \rightarrow \mathbb{C}^m$ and $x \in \mathbb{C}^m$, there are associated quantities $\alpha(G, x)$, $\beta(G, x)$, and $\gamma(G, x)$.

Theorem (Smale et al.)

If G is a system and x is such that

$$\alpha(G, x) < \frac{13 - 3\sqrt{17}}{4},$$

then x converges (quadratically) under Newton's method to a solution y of G . Further, $\|x - y\| \leq 2\beta(G, x)$.

- When G and x are given by exact coordinates, this provides an exact isolating ball for the solution y .
- `alphaCertified` will check the inequality above and certify balls isolating solutions are disjoint, with exact arithmetic.

Interval Arithmetic

Given a system $G : \mathbb{C}^m \rightarrow \mathbb{C}^m$, $x \in \mathbb{C}^m$, and $Y \in \text{GL}_m(\mathbb{C})$, the Krawczyk operator $K_{x,Y}$ on the space of complex intervals generalizes the Newton operator.

Theorem

If G is a system, and x is a point, and I is a complex interval such that

$$K_{x,Y}(I) \subseteq I,$$

then I contains a zero of G .

- Certifies computations using floating point arithmetic.
- `HomotopyContinuation.jl` will attempt to find a complex interval around an approximate solution to isolate solutions.

Results and timings

Theorem (Y.)

The Fano problems with $d_{\bullet} \neq (3), (2, 2)$ and less than 40,000 solutions have full symmetric Galois group.

Timing: (NAG4M2,alphaCertified,HomotopyContinuation.jl)

| r | n | d_{\bullet} | $\deg(r, n, d_{\bullet})$ | M2 (h) | julia (s) |
|-----|-----|--------------------|---------------------------|--------|-----------|
| 1 | 7 | (2, 2, 2, 2) | 512 | 2.66 | .61 |
| 1 | 6 | (2, 2, 3) | 720 | 2.88 | .87 |
| 2 | 8 | (2, 2, 2) | 1024 | 27.32 | 1.57 |
| 1 | 5 | (3, 3) | 1053 | 2.69 | .32 |
| 1 | 5 | (2, 4) | 1280 | 6.09 | .73 |
| 1 | 10 | (2, 2, 2, 2, 2, 2) | 20480 | - | 15.44 |
| 1 | 9 | (2, 2, 2, 2, 3) | 27648 | - | 25.97 |
| 2 | 10 | (2, 2, 2, 2) | 32768 | - | 36.67 |
| 1 | 8 | (2, 2, 3, 3) | 37584 | - | 38.23 |

Moving forward

Data for these systems and code verifying the data is available at

`github.com/tjyahl/FanoGaloisGroups`

There is more to do!

- Generate systems with a single simple double root for larger Fano problems (in progress).
- Turn this into a proof for ALL Fano problems with $d \neq (3), (2, 2)$.
- Explore using numerical certification for proving more about Galois groups.

Thank you all for your time!