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Experimental Warming and Drying Increase the Age of Soil Respired Carbon in Lowland Tropical Forests

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1 **Abstract**

2 Tropical forests account for over 50% of the global terrestrial carbon sink, but climate change
3 threatens to alter the carbon balance of these ecosystems. We show that warming and drying of
4 tropical forest soils may increase soil carbon vulnerability, by increasing the degradation of older
5 carbon and decreasing decomposition of fresh inputs. *In situ* whole-profile heating by 4°C and
6 50% throughfall exclusion both increased the average radiocarbon age of soil CO₂ efflux by ~2–
7 3 years, but the mechanisms underlying this shift differed. Warming accelerated decomposition
8 and loss of older carbon as soil CO₂ emissions reflecting increased decomposition and loss of
9 older carbon. Drying suppressed microbial decomposition of fresh carbon inputs as soil CO₂
10 emissions decreased. These findings imply that both warming and drying, by accelerating the
11 loss of older soil carbon and reducing the incorporation of fresh carbon, will exacerbate soil
12 carbon losses in tropical forests under climate change.

13

14 **MAIN**

15 **Introduction**

16 Tropical forests exchange more CO₂ with the atmosphere than any other terrestrial biome^[1], store
17 nearly one-third of global soil carbon stocks^[2], and have the highest soil CO₂ efflux of any
18 ecosystem^[3]. Climate projections suggest a future that will be both warmer and drier for much of
19 the tropics^[4] with increasing drought intensity and dry season length for the Neotropics^[5, 6].
20 Despite the importance of tropical forests and their soils to the global carbon cycle and feedbacks

21 to climate, uncertainty in predicting the response of tropical carbon cycling to future climate
22 change remains high.

23 Soil CO₂ efflux is highly sensitive to temperature and moisture, which together have been shown
24 to determine interannual patterns in emissions globally^[7]. Even in tropical forests, where mean
25 annual temperature is relatively high and temperature variability is relatively low, soil CO₂ efflux
26 has been shown to increase with increasing temperature and peak at intermediate soil moisture
27 content^[8, 9]. Meta-analyses of warming experiments have reported average increases in soil CO₂
28 efflux with warming of 9%^[10] to 12%^[11]; and extrapolation of results from warming experiments
29 suggest that climate warming will stimulate a net loss of global soil carbon to the atmosphere^[12].
30 Importantly, none of the studies included in these analyses were conducted in the tropics. Field
31 warming experiments in tropical forests have only recently been instigated and early results
32 show large increases in soil CO₂ efflux with increased temperature^[13] as have laboratory
33 incubations of tropical soils^[14, 15]. Soil moisture is also an important factor influencing soil
34 microbial activity and respiration, and in the tropics the seasonal variation in moisture is often
35 greater than that of temperature^[8, 9, 16]. However, field drying experiments in tropical forests have
36 reported mixed responses of soil CO₂ efflux to drying, including increases^[17], decreases^[18, 19] and
37 no responses^[20] across forests of differing rainfall and seasonality.

38 Moreover, previous work in tropical forests only considered total CO₂ efflux rates, which are
39 important for determining the overall carbon balance of tropical forests^[21], but are limited in
40 their ability to uncover mechanisms behind observed change. Those mechanisms can be revealed
41 by determination of ¹⁴C values , which indicate the age of the carbon sources being metabolized
42 and released as CO₂^[22]. Given that temperature and moisture are the major climatic drivers of
43 soil CO₂ efflux^[7-9, 13, 16], and that in the tropics both significant warming and drying is predicted

44 this century^[23], there is a critical need for studies that assess the impact of warming and drying
45 together on both the magnitude and source (i.e., age) of soil CO₂ efflux in tropical forests.

46 In this study, we determined how warming and drying impact the amount and age of carbon
47 released as soil CO₂ efflux in lowland tropical forest. We measured the Δ¹⁴C and δ¹³C of soil
48 respired CO₂ in lowland tropical forests in Panama (Table S1 and Fig. S1) that are subject to
49 either *in situ* experimental soil warming (4 °C above ambient temperature to 1.2 m depth^[13] or *in*
50 *situ* experimental drying (50% throughfall exclusion)^[24]. Our study sites are seasonally moist,
51 semi-deciduous forests within the Barro Colorado Nature Monument (the warming experiment
52 site and one drying site), which enabled us to directly compare how warming and drying both
53 affect the soil CO₂ efflux for this type of forest, and on the northern side of the isthmus where
54 mean annual precipitation is greater (a second drying site). Given the seasonality of these forests,
55 we performed measurements at stages of the seasonal cycle for which we expected the largest
56 variation in CO₂ efflux based on previous studies^[13, 16, 25] – the wet season and dry season or dry-
57 to-wet season transition (see methods). We hypothesized that warming and drying both increase
58 the relative contribution of older soil carbon to CO₂ efflux relative to reference control plots, but
59 via two different mechanisms. Specifically, warming stimulates the decomposition of older soil
60 carbon by increasing the activity of microbes, via priming effects and/or a switch in resource use
61 following the depletion of fresh organic matter^[26]. In contrast, drying reduces microbial activity
62 and limits the mobility, incorporation, and decomposition of recent inputs of plant organic matter
63 ('fresh carbon') in soils. This restricts microbial access to fresh carbon in soils, reducing its

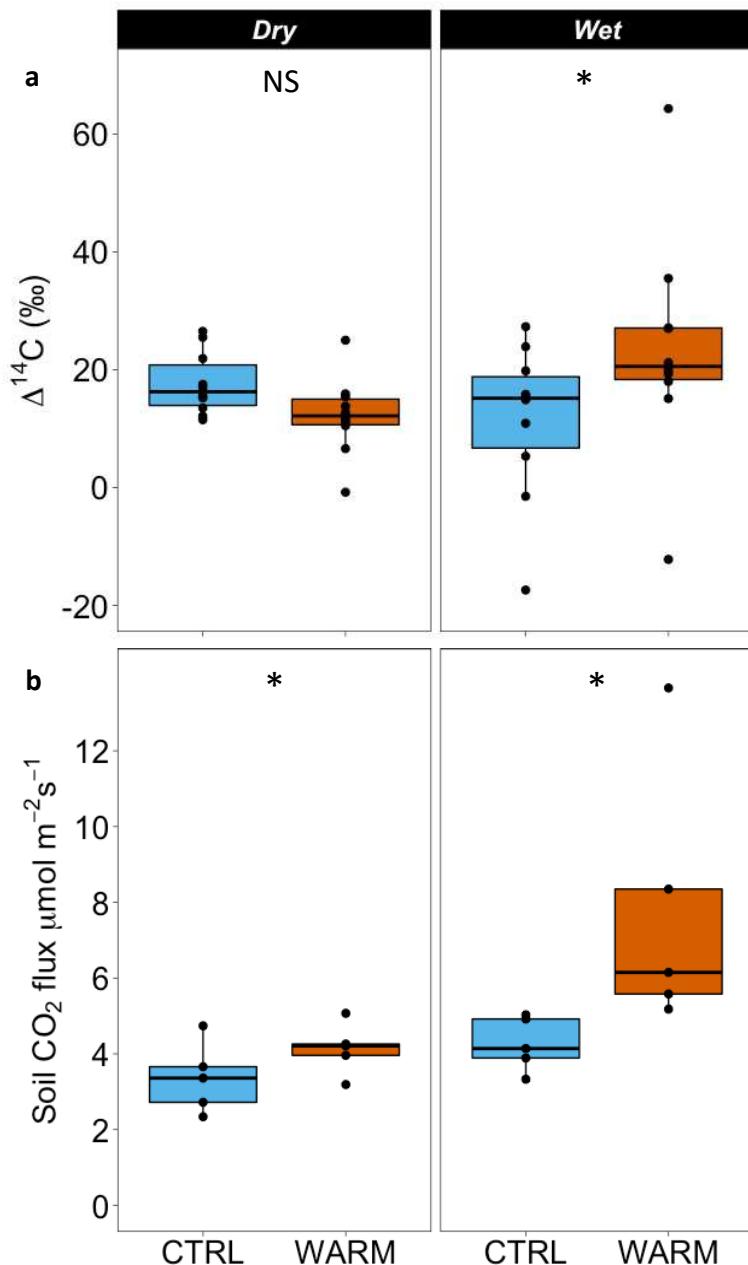
64 contribution to net CO₂ efflux. Thus, under both experimental treatments of warming and drying
65 we hypothesized an increase in the age of the dominant carbon source contributing to CO₂ efflux.

66 **Effects of experimental warming on the average age of respired CO₂**

67 We investigated the effects of soil warming at the Soil Warming Experiment in Lowland
68 Tropical Rainforest (SWELTR)^[13]. Soil warming increased the ¹⁴C value of respired CO₂ during
69 the wet season, indicating greater efflux of modern ‘bomb’ carbon under warmed and wet
70 conditions (Figure 1a). Specifically, $\Delta^{14}\text{C}$ of respired CO₂ was $12 \pm 5\text{‰}$ higher in warmed plots
71 than control plots in the wet season ($p = 0.02$). In the warmed plots, $\Delta^{14}\text{C}$ of respired CO₂ was 11
72 $\pm 5\text{‰}$ higher in the wet season than in the dry season ($p = 0.03$).

73 The observed increase in the $\Delta^{14}\text{C}$ of respired CO₂, indicates that carbon fixed nearer to the
74 bomb spike (circa 1963; see Figure S4 for reference), or decadal-aged carbon, contributed more
75 to soil CO₂ flux under warmer and wetter conditions (with warming in the wet season) compared
76 to cooler and drier conditions. Neither $\Delta^{14}\text{C}$ nor $\delta^{13}\text{C}$ (Figure S3) values differed between total
77 and root-free CO₂ flux (using root exclusion columns). Considering roots typically respire CO₂
78 with $\Delta^{14}\text{C}$ values close to current atmosphere (see Methods)^[22], this suggests this shift was
79 attributable to microbial (not live root) CO₂ flux. These results suggest increased decomposition

80 and loss of decadal-aged soil carbon under warmer and wetter conditions, while recently fixed
81 carbon was the dominant source of carbon respiration under drier and cooler conditions.



82
83 **Fig. 1: Soil warming effects on total soil respiration CO_2 flux and ^{14}C values during the dry and wet season in**
84 **2019. a,** ^{14}C of respiration CO_2 from single time-point measurements for $n=2$ per plot (total $n = 10$). **b,** Monthly
85 average total soil respiration CO_2 flux for March and October 2019 ($n = 5$ paired plots). The figures show plots
86 warmed by $+4^\circ\text{C}$ (WARM) and controls (CTRL). Lines indicate medians, ends of boxes show the upper (Q3) and
87 lower (Q1) quartiles, whiskers indicate minimum and maximum ranges (calculated from quartiles), solid points are
88 individual observations. Asterisks indicate statistically significant differences between control and warmed plots
89 where $p \leq 0.05$ whereas NS indicates non-significant differences ($p > 0.05$).

90 During the time periods of our study, total soil CO₂ flux rates were also higher in warmed and
91 wet conditions (Fig. 1b). We found that total soil CO₂ flux increased from the dry season
92 (March) to the wet season (October) by 60% ($p = 0.03$) and that experimental warming increased
93 total soil CO₂ flux from $3.8 \pm 0.4 \text{ } \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ in control plots to $6.0 \pm 1.4 \text{ } \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$
94 in warmed plots ($p = 0.03$). The partitioning of total soil CO₂ flux for the sampling periods in this
95 study into heterotrophic (soil-derived) and autotrophic (root-derived) CO₂ flux from root
96 exclusion and ingrowth cores, showed that $74 \pm 7 \%$ of total soil CO₂ flux was heterotrophic
97 (Fig. S2). These results are consistent with a published 2-year time series of soil CO₂ flux from
98 this experiment^[13], which showed a 55% increase in soil CO₂ flux with warming that was
99 attributed primarily to soil microbial (rather than live root) CO₂ flux.

100 Our results unequivocally show that warming caused an increase in the emission of older carbon
101 (with a higher $\Delta^{14}\text{C}$ value) from soil organic matter into the atmosphere, which can be explained
102 by different mechanisms that are not mutually exclusive. First, warming-stimulated soil CO₂
103 efflux during the 18-24 months preceding our measurements^[13] may have depleted the pool of
104 fresh soil organic carbon (i.e., with a $\Delta^{14}\text{C}$ value closer to 0%) leading to a switch in microbial
105 substrate use to older pools of carbon^[27]. Second, warming may have increased the degradation
106 of older carbon pools via priming, whereby the rapid metabolism of plant-carbon inputs provided
107 the necessary energy for microbes to synthesize enzymes to access to more longer-lived carbon
108 pools^[26] – a process that may be exacerbated in forests like our study sites where microbial
109 growth is N and/or P limited^[28]. In support of this mechanism, during the wet season in warmed
110 soil we observed increased soil CO₂ efflux (Figure 1)^[13], increased activity of soil extracellular

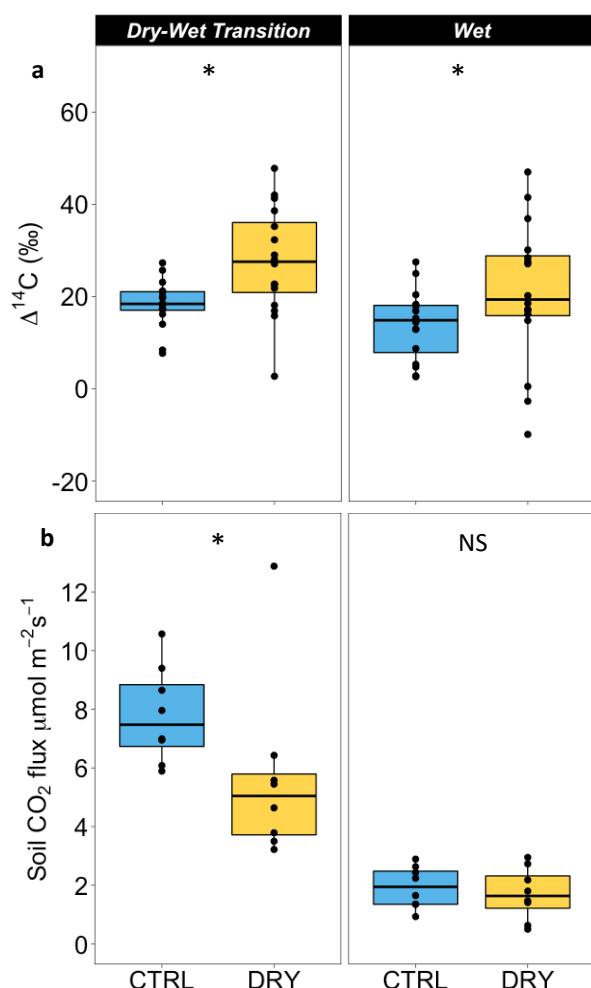
111 enzymes^[13], and increased variability in $\Delta^{14}\text{C}$ of respired CO_2 reflecting increased connectivity
112 of soil organisms with a wider variety of carbon sources available for microbial metabolism.

113 Other experiments that warmed the soil profile (by 4.5 °C) have reported increases in annual soil
114 CO_2 flux of approximately 35 % in temperate forest^[29] and 14% in boreal peat forest^[30],
115 considerably less than the 55% increase in soil CO_2 flux with whole-profile warming reported for
116 our site^[13]. Few warming experiments have published $\Delta^{14}\text{C}$ values of respired CO_2 . No change in
117 the $\Delta^{14}\text{C}$ values of respired CO_2 was reported by warming experiments in two temperate forests
118 where emissions were sustained by decadal-aged C^[29, 31]. However, more similar to our
119 observations, a 31% increase in summer soil CO_2 flux coincided with increased $\Delta^{14}\text{C}$ of respired
120 CO_2 with warming in a boreal forest^[32], indicating a greater contribution of decadal-aged carbon
121 to the total flux. Experimental warming also increased the age of CO_2 in porewater profiles in a
122 boreal bog^[33] and in soil pore spaces in tundra^[34]. These results suggest a variable, but
123 potentially widespread, shift toward increased mobilization and loss of older soil carbon with
124 climate warming.

125 **Experimental drying effects on the average age of respired CO_2**

126 We investigated the effects of soil drying at two sites that are part of the Panama Rainforest
127 Changes with Experimental Drying (PARCHED) study^[24]. We selected the P12 site for its
128 similarity to the warming experiment as the two sites have equivalent MAP and similar soils,
129 while the San Lorenzo (SL) experimental drying site receives about 800 mm more annual rainfall
130 than the other two sites. We found that experimental drying led to an increase in the $\Delta^{14}\text{C}$ of
131 respired CO_2 of $8 \pm 3\text{‰}$ averaged across sites and sampling periods ($p = 0.03$, Fig. 2b). Soil CO_2
132 $\Delta^{14}\text{C}$ values decreased from the wet-to-dry season transition in May to the late wet season in

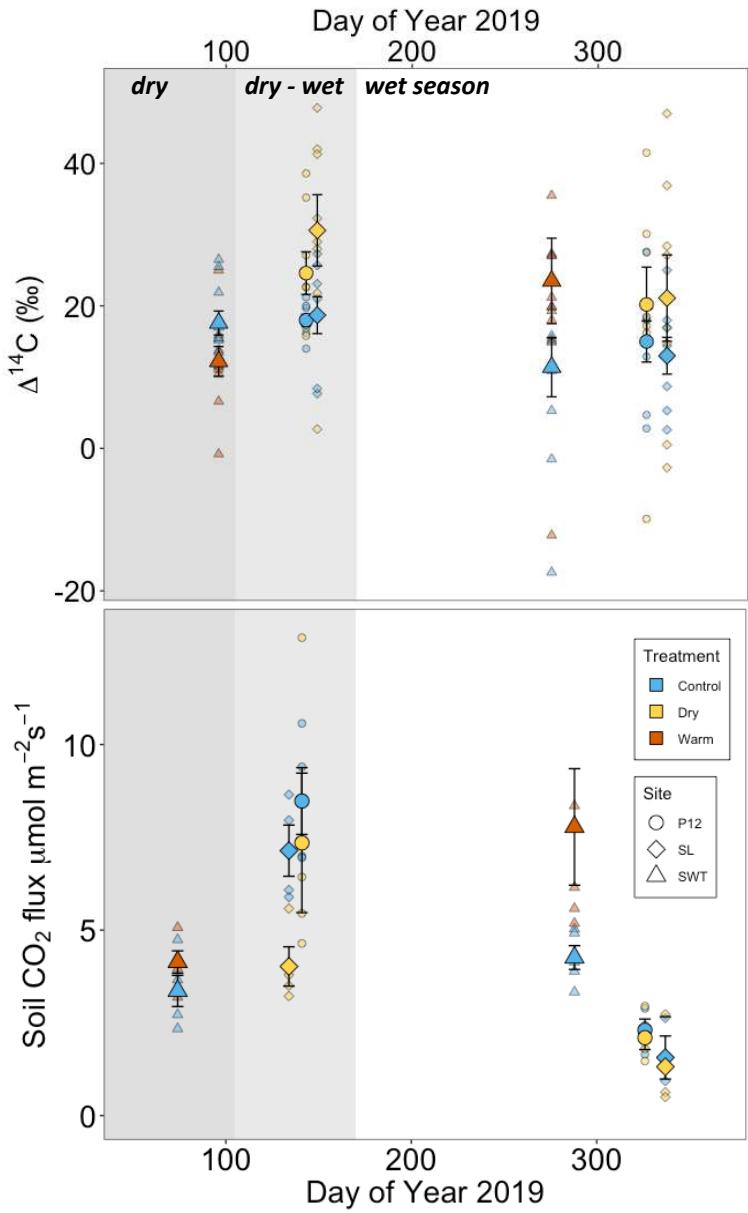
133 November/December by $6 \pm 3\%$ averaged across sites and treatment ($p < 0.01$), possibly
 134 reflecting increased utilization of newer carbon as litter from the previous dry season was
 135 decomposed during the wet season. The $\Delta^{14}\text{C}$ of respired CO_2 did not differ between the drier
 136 (P12) and wetter (SL) site (Fig. 3) and did not differ between total and root-free soil CO_2 flux
 137 (Fig. S7).



138

139 **Fig. 2: Soil drying effects on total soil respiration CO_2 flux and ^{14}C values during the dry-to-wet transition and**
 140 **wet season in 2019 from single-time point measurements at PARCHED.** a, ^{14}C of respired CO_2 for both P12 and
 141 SL for $n=2$ per plot (total $n = 16$). b, Single time-point total soil respiration CO_2 efflux for both P12 and SL ($n = 8$
 142 paired plots). The figures show plots with 50% of throughfall excluded (DRY) and controls (CTRL). Lines indicate
 143 medians, ends of boxes show the upper (Q3) and lower (Q1) quartiles, whiskers indicate minimum and maximum
 144 ranges (calculated from quartiles), solid points are individual observations. Asterisks indicate statistically significant
 145 differences between control and throughfall exclusion plots where $p \leq 0.05$ whereas NS indicates non-significant
 146 differences ($p > 0.05$).

147



148
149 **Fig. 3: Total soil respired CO_2 flux and ^{14}C values over 2019 at SWELTR, P12, and San Lorenzo.** **a**, Total soil
150 respiration rates are monthly averages for SWELTR and single time-point measurements at P12 and San
151 Lorenzo **b**, Single time-point ^{14}C of respired CO_2 . The figures show means as large symbols with standard errors and
152 individual measurements as small symbols. Dark gray shading denotes the dry season, light gray shading denotes the
153 dry-to-wet seasonal transition period, and no shading denotes the wet season.

154 During our study period, experimental drying led to decreased total soil CO_2 efflux, from $7.8 \pm$
155 $0.5 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ (control plots) to $5.7 \pm 1.0 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ (throughfall exclusion
156 plots), during the dry-to-wet season transition ($p < 0.01$, Fig. 2a). Partitioning of soil CO_2
157 efflux into heterotrophic (soil-derived) and autotrophic (root derived) components suggested that

158 this response was driven by a reduction in heterotrophic CO₂ flux rates ($p < 0.01$), since root CO₂
159 flux was unaffected by treatment (Fig. S5). Overall, total, root, and heterotrophic soil CO₂ flux
160 rates were higher during the dry-to-wet season transition compared to the wet season ($p < 0.01$),
161 consistent with seasonal trends based on time-series reported for these and other sites in the
162 region^[25].

163 Drought decreases soil respiration as moisture limits both microbial activity and the transport of
164 organic matter^[35] as demonstrated following throughfall exclusion in other tropical forests^{[18, 36,}
165 ^{37]}. Our finding that the $\Delta^{14}\text{C}$ of respired CO₂ increased under partial throughfall exclusion (Fig.
166 2) is consistent with this idea, indicating a reduced contribution of fresh plant-carbon inputs to
167 soil respiration. However, our finding has further, potentially major, implications: it suggests that
168 the turnover of older decadal-aged carbon was less affected by drying than the turnover of recent
169 plant carbon inputs. We suggest that this reflects a greater contribution to surface CO₂ efflux of
170 soil organic matter from deeper in the soil profile, where soil moisture is higher^[36], soil carbon is
171 older, and microbial activity is less affected by changes in the surface soil moisture, litter inputs,
172 and litter decomposition rates. At the same time, decomposition of fresh litter and transport of
173 new carbon may slow under drying treatments, reducing the accessibility of young soil organic
174 matter to soil microbes, and necessitating a shift toward slightly older, but accessible, C sources.
175 Indeed, throughfall exclusion decreased litter decomposition rates in a forest in Costa Rica^[38]
176 and increased accumulation of forest floor material in temperate forests^[39, 40]. Furthermore, a
177 suppression of respiration at all four PARCHED sites with throughfall exclusion has been
178 attributed to a reduction in vertical flushing and nutrient delivery to soils^[25]. Together, these

179 mechanisms explain our observation of higher $\Delta^{14}\text{C}$ of respired CO_2 in the dry-to-wet seasonal
180 transition than in the late wet season when soil moisture is higher.

181 Surprisingly, the increase in $\Delta^{14}\text{C}$ of respired CO_2 in drying plots relative to control plots
182 observed in the dry-to-wet season transition persisted into the wet season as respiration rates
183 decreased and wetter conditions should have resulted in a greater connectivity among soil
184 organisms and fresh carbon inputs even in throughfall exclusion plots. Our observed pattern
185 could reflect a decrease in surface fine root production or turnover in the drying plots, which
186 would reduce inputs of fresh root-derived carbon to soils even though root respiration flux did
187 not change with treatment. Preliminary data from the drying sites suggests that indeed drying
188 suppresses surface root growth, which may be moving to deeper soils (<60 cm) to access water
189 (Cordeiro et al, unpublished). Such a shift of root activity to deeper depths to access available
190 water was observed in Amazonian rainforest^[19, 41], and is a general pattern across tropical forests
191 during dry seasons and droughts^[42].

192 The observed seasonal pattern in soil CO_2 efflux likely reflects a combination of favorable
193 conditions for microbial activity during seasonal rewetting: litter accumulated over the dry
194 season provides ample substrate^[16, 43], dissolved organic carbon (DOC) production and transport
195 facilitate microbial access to substrate^[44], and rewetting of soil following drought strongly
196 stimulates microbial activity^[19, 45]; while the effect of throughfall exclusion in decreasing soil
197 moisture attenuates during the wet season. In these seasonally moist forests, litter and free
198 organic debris builds up over the dry season and it is likely that this buildup of fresh organic
199 matter fuels the sharp increase in respiration rates that we report during the dry-to-wet season
200 transition period at P12 and SL^[16, 25]. Indeed, studies in nearby forests including the warming
201 site^[13, 46] and elsewhere in the tropics^[19, 41] show a similar increase in soil respiration rates during

202 this dry-to-wet transition period, driven by seasonal patterns of moisture availability, microbial
203 biomass^[24], and leaf-litterfall input^[43].

204 **Discussion: Potential combined effects of warming and drying**

205 Climate change is expected to alter rates of soil carbon cycling in tropical forests through
206 warming^[47] and altered rainfall regimes^[48]. Unfortunately, no experiments have manipulated
207 warming and drying together, although climate warming and drying are expected to occur
208 together throughout much of the tropics and currently occur together during cycles of the El
209 Niño-Southern Oscillation. Our results, based on individual responses to *in situ* experimental soil
210 warming and soil drying, demonstrate that warming and drying change, not only the emission of
211 CO₂ from soils, but also the age of the carbon being emitted. Specifically, we found that
212 warming increased the utilization of older soil carbon (while increasing total CO₂ efflux) and
213 drying reduced the utilization of new carbon inputs (while decreasing total CO₂ efflux). Thus,
214 warming and drying together may result in the loss of older soil carbon.

215 The consequences of our findings will have wider implications when the impact of warming and
216 drying on aboveground processes are considered alongside belowground effects. Both warming
217 and drying have been shown to have detrimental effects on tropical forest productivity, based on
218 *in situ* drying^[48] and warming^[49] experiments as well as field studies^[50] that show limited
219 evidence for acclimation of photosynthetic activity. In the context of our results, warming and
220 drying together may decrease inputs of fresh carbon to soils as well as degradation rates of
221 detritus, with negative effects on plant growth as nutrients remain immobilized in undecayed

222 litter. Meanwhile increased CO₂ release from warmed subsoil carbon will continue, decreasing
223 soil carbon storage, until drying extends to deeper soil horizons.

224 Importantly, our reported increases in ¹⁴C with experimental warming and drying reflect changes
225 in the average age of carbon being respired (the equivalent of 2–3 years in the mean age of
226 respiration, see Fig. S4) but do not provide insight into the distribution of carbon ages
227 contributing to these averages. Our ongoing work using $\Delta^{14}\text{C}$ to study soil carbon storage and
228 cycling in these and other sites along the Panama Canal-zone rainfall gradient shows that soils in
229 these forests store large amounts of young soil carbon (Finstad et al., unpublished). Thus, we
230 conclude that our observed shifts in the age of respired carbon are striking, especially
231 considering that these results were observed following relatively short-term (1 to 3 years)
232 experimental treatments.

233 **5. Conclusions**

234 In summary, we demonstrate how warming and drying affect the rate and age of soil carbon
235 emission to the atmosphere in tropical forests, by determining the $\Delta^{14}\text{C}$ of soil CO₂ efflux
236 following experimental soil warming (whole-profile heating by 4°C) and soil drying (50%
237 throughfall exclusion). Experimental warming increased soil CO₂ efflux and, during the wet
238 season, increased the age of respired soil carbon by roughly 2–3 years. In contrast, experimental
239 drying decreased heterotrophic respiration rates and increased the age of respired soil carbon by
240 about two years. Together, these results indicate an increase in the vulnerability of soil carbon
241 and a relative shift in microbial carbon use towards older sources: warming by depleting the pool
242 of rapidly cycling carbon and stimulating the decomposition of old carbon; drying by reducing
243 the accessibility and subsequent decomposition of new carbon inputs. These findings imply a

244 destabilization of old soil carbon under warming and a suppression of new carbon turnover under
245 drying, which will have major implications for the tropical forest biogeochemical cycles under
246 climate change. Our findings of differential responses of soil respiration rates and carbon sources
247 to experimental warming and drying point to a need to study the effects of modified soil moisture
248 and temperature together to improve our understanding of these interacting controls on soil
249 respiration and carbon cycling and better represent these controls in soil carbon and land surface
250 models.

251

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266

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