## Pushdown Automata

Definition

Moves of the PDA

Languages of the PDA

Deterministic PDA's

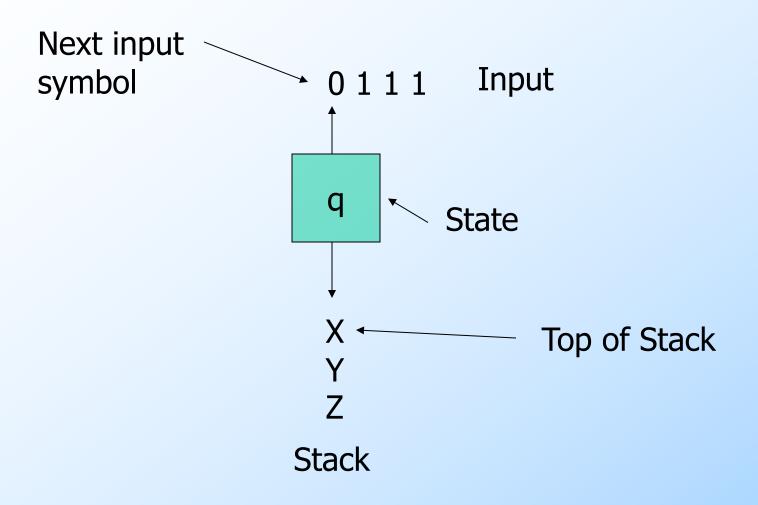
#### Pushdown Automata

- The PDA is an automaton equivalent to the CFG in language-defining power.
- Only the nondeterministic PDA defines all the CFL's.
- But the deterministic version models parsers.
  - Most programming languages have deterministic PDA's.

#### **Intuition: PDA**

- Think of an  $\epsilon$ -NFA with the additional power that it can manipulate a stack.
- Its moves are determined by:
  - 1. The current state (of its "NFA"),
  - 2. The current input symbol (or  $\epsilon$ ), and
  - 3. The current symbol on top of its stack.

## Picture of a PDA



# Intuition: PDA – (2)

- Being nondeterministic, the PDA can have a choice of next moves.
- In each choice, the PDA can:
  - 1. Change state, and also
  - 2. Replace the top symbol on the stack by a sequence of zero or more symbols.
    - Zero symbols = "pop."
    - Many symbols = sequence of "pushes."

#### **PDA Formalism**

- A PDA is described by:
  - 1. A finite set of *states* (Q, typically).
  - 2. An *input alphabet* ( $\Sigma$ , typically).
  - 3. A *stack alphabet* (Γ, typically).
  - 4. A *transition function* ( $\delta$ , typically).
  - 5. A *start state*  $(q_0, in Q, typically)$ .
  - 6. A *start symbol* ( $Z_0$ , in  $\Gamma$ , typically).
  - 7. A set of *final states* ( $F \subseteq Q$ , typically).

#### Conventions

- a, b, ... are input symbols.
  - But sometimes we allow  $\epsilon$  as a possible value.
- ..., X, Y, Z are stack symbols.
- ..., w, x, y, z are strings of input symbols.
- $\bullet \alpha$ ,  $\beta$ ,... are strings of stack symbols.

#### The Transition Function

- Takes three arguments:
  - 1. A state, in Q.
  - An input, which is either a symbol in Σ or ∈.
  - 3. A stack symbol in Γ.
- $\delta(q, a, Z)$  is a set of zero or more actions of the form  $(p, \alpha)$ .
  - **p** is a state;  $\alpha$  is a string of stack symbols.

#### Actions of the PDA

- If  $\delta(q, a, Z)$  contains  $(p, \alpha)$  among its actions, then one thing the PDA can do in state q, with a at the front of the input, and Z on top of the stack is:
  - 1. Change the state to p.
  - 2. Remove a from the front of the input (but a may be  $\epsilon$ ).
  - 3. Replace Z on the top of the stack by  $\alpha$ .

## Example: PDA

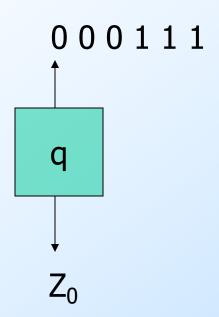
- ♦ Design a PDA to accept  $\{0^n1^n \mid n \ge 1\}$ .
- The states:
  - q = start state. We are in state q if we have seen only 0's so far.
  - p = we've seen at least one 1 and may now proceed only if the inputs are 1's.
  - f = final state; accept.

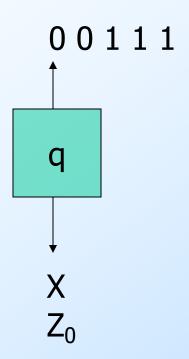
# Example: PDA - (2)

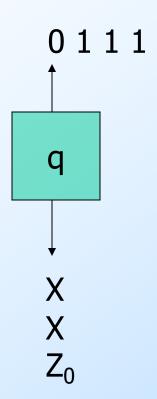
- The stack symbols:
  - $Z_0$  = start symbol. Also marks the bottom of the stack, so we know when we have counted the same number of 1's as 0's.
  - X = marker, used to count the number of 0's seen on the input.

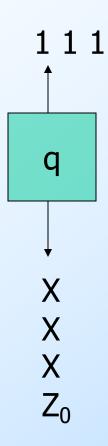
# Example: PDA – (3)

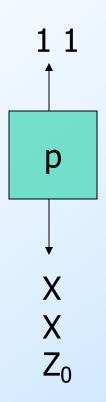
- The transitions:
  - $\delta(q, 0, Z_0) = \{(q, XZ_0)\}.$
  - $\delta(q, 0, X) = \{(q, XX)\}$ . These two rules cause one X to be pushed onto the stack for each 0 read from the input.
  - $\delta(q, 1, X) = \{(p, \epsilon)\}$ . When we see a 1, go to state p and pop one X.
  - $\delta(p, 1, X) = \{(p, ε)\}.$  Pop one X per 1.
  - $\delta(p, \epsilon, Z_0) = \{(f, Z_0)\}.$  Accept at bottom.

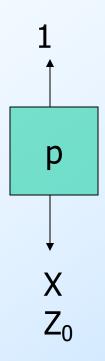


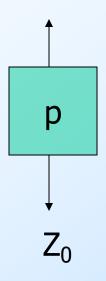


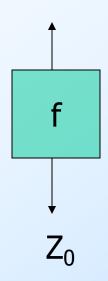












## Instantaneous Descriptions

- We can formalize the pictures just seen with an *instantaneous description* (ID).
- $\bullet$  A ID is a triple (q, w,  $\alpha$ ), where:
  - 1. q is the current state.
  - 2. w is the remaining input.
  - 3.  $\alpha$  is the stack contents, top at the left.

## The "Goes-To" Relation

- ◆To say that ID I can become ID J in one move of the PDA, we write I+J.
- ◆Formally, (q, aw, Xα)+(p, w,  $\beta\alpha$ ) for any w and α, if δ(q, a, X) contains (p,  $\beta$ ).
- ◆Extend + to +\*, meaning "zero or more moves," by:
  - Basis: I⊦\*I.
  - Induction: If  $I \vdash *J$  and  $J \vdash K$ , then  $I \vdash *K$ .

## **Example:** Goes-To

- ◆Using the previous example PDA, we can describe the sequence of moves by:  $(q, 000111, Z_0) \vdash (q, 00111, XZ_0) \vdash (q, 0111, XXZ_0) \vdash (q, 111, XXXZ_0) \vdash (p, 11, XXZ_0) \vdash (p, 11, XXZ_0) \vdash (p, 11, XZ_0) \vdash (p$
- ◆Thus,  $(q, 000111, Z_0)$  ⊦\* $(f, \epsilon, Z_0)$ .
- What would happen on input 0001111?

#### **Answer**

- (q, 0001111,  $Z_0$ )  $\vdash$  (q, 001111,  $XZ_0$ )  $\vdash$  (q, 01111,  $XXZ_0$ )  $\vdash$  (q, 1111,  $XXXZ_0$ )  $\vdash$  (p, 111,  $XXZ_0$ )  $\vdash$  (p, 11,  $XZ_0$ )  $\vdash$  (p, 1,  $Z_0$ )  $\vdash$  (f, 1,  $Z_0$ )
- Note the last ID has no move.
- •0001111 is not accepted, because the input is not completely consumed.

## Language of a PDA

- The common way to define the language of a PDA is by *final state*.
- ♦ If P is a PDA, then L(P) is the set of strings w such that  $(q_0, w, Z_0)$  +\*  $(f, \epsilon, \alpha)$  for final state f and any  $\alpha$ .

# Language of a PDA - (2)

- Another language defined by the same PDA is by *empty stack*.
- ♦ If P is a PDA, then N(P) is the set of strings w such that  $(q_0, w, Z_0)$   $\vdash$ \* (q, ε, ε) for any state q.

# Equivalence of Language Definitions

- 1. If L = L(P), then there is another PDA P' such that L = N(P').
- 2. If L = N(P), then there is another PDA P" such that L = L(P'').

# Proof: L(P) -> N(P') Intuition

- P' will simulate P.
- If P accepts, P' will empty its stack.
- P' has to avoid accidentally emptying its stack, so it uses a special bottommarker to catch the case where P empties its stack without accepting.

# **Proof:** L(P) -> N(P')

- P' has all the states, symbols, and moves of P, plus:
  - 1. Stack symbol  $X_0$  (the start symbol of P'), used to guard the stack bottom.
  - 2. New start state s and "erase" state e.
  - 3.  $\delta(s, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . Get P started.
  - 4. Add  $\{(e, \epsilon)\}$  to  $\delta(f, \epsilon, X)$  for any final state f of P and any stack symbol X, including  $X_0$ .
  - 5.  $\delta(e, \epsilon, X) = \{(e, \epsilon)\}$  for any X.

# Proof: N(P) -> L(P") Intuition

- P" simulates P.
- P" has a special bottom-marker to catch the situation where P empties its stack.
- If so, P" accepts.

# **Proof**: N(P) -> L(P")

- P" has all the states, symbols, and moves of P, plus:
  - 1. Stack symbol  $X_0$  (the start symbol), used to guard the stack bottom.
  - 2. New start state s and final state f.
  - 3.  $\delta(s, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . Get P started.
  - 4.  $\delta(q, \epsilon, X_0) = \{(f, \epsilon)\}$  for any state q of P.

#### Deterministic PDA's

- To be deterministic, there must be at most one choice of move for any state q, input symbol a, and stack symbol X.
- •In addition, there must not be a choice between using input  $\epsilon$  or real input.
  - Formally,  $\delta(q, a, X)$  and  $\delta(q, \epsilon, X)$  cannot both be nonempty.