# Regular Expressions

Definitions
Equivalence to Finite Automata

## RE's: Introduction

- Regular expressions describe languages by an algebra.
- They describe exactly the regular languages.
- ◆If E is a regular expression, then L(E) is the language it defines.
- We'll describe RE's and their languages recursively.

# Operations on Languages

- RE's use three operations: union, concatenation, and Kleene star.
- The union of languages is the usual thing, since languages are sets.
- **Example**:  $\{01,111,10\}\cup\{00,01\} = \{01,111,10,00\}.$

### Concatenation

- ◆The concatenation of languages L and M is denoted LM.
- It contains every string wx such that w is in L and x is in M.
- ◆Example: {01,111,10}{00, 01} = {0100, 0101, 11100, 11101, 1000, 1001}.

## Kleene Star

- ◆ If L is a language, then L\*, the *Kleene star* or just "star," is the set of strings formed by concatenating zero or more strings from L, in any order.
- **◆Example**:  $\{0,10\}^* = \{\epsilon, 0, 10, 00, 010, 100, 1010, ...\}$

## RE's: Definition

- ◆Basis 1: If a is any symbol, then a is a RE, and L(a) = {a}.
  - Note: {a} is the language containing one string, and that string is of length 1.
- ♦ Basis 2:  $\epsilon$  is a RE, and  $L(\epsilon) = {\epsilon}$ .
- ♦ Basis 3:  $\emptyset$  is a RE, and L( $\emptyset$ ) =  $\emptyset$ .

# RE's: Definition -(2)

- ◆Induction 1: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1+E_2$  is a regular expression, and  $L(E_1+E_2) = L(E_1) \cup L(E_2)$ .
- ◆Induction 2: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1E_2$  is a regular expression, and  $L(E_1E_2) = L(E_1)L(E_2)$ .
- ◆Induction 3: If E is a RE, then E\* is a RE, and L(E\*) = (L(E))\*.

# Precedence of Operators

- Parentheses may be used wherever needed to influence the grouping of operators.
- Order of precedence is \* (highest), then concatenation, then + (lowest).

# Examples: RE's

- $\bullet$ L(**01**) =  $\{01\}$ .
- $\bullet$ L(**01**+**0**) =  $\{01, 0\}$ .
- $\bullet$ L(**0**(**1**+**0**)) =  $\{01, 00\}$ .
  - Note order of precedence of operators.
- $\bullet$ L(**0**\*) = { $\epsilon$ , 0, 00, 000,...}
- ◆L(( $\mathbf{0}+\mathbf{10}$ )\*( $\epsilon+\mathbf{1}$ )) = all strings of 0's and 1's without two consecutive 1's.

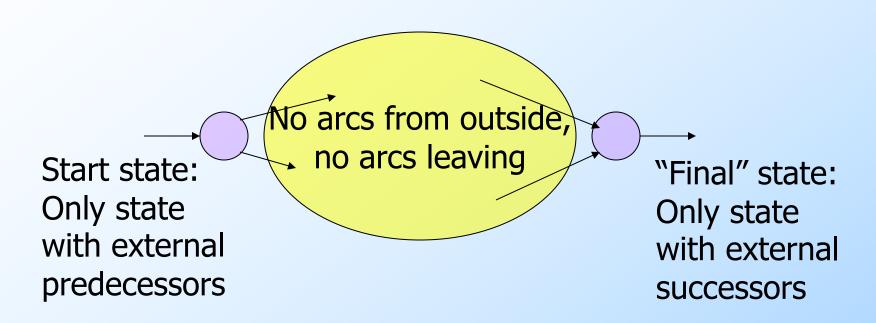
# Equivalence of RE's and Finite Automata

- We need to show that for every RE, there is a finite automaton that accepts the same language.
  - Pick the most powerful automaton type: the  $\epsilon$ -NFA.
- And we need to show that for every finite automaton, there is a RE defining its language.
  - Pick the most restrictive type: the DFA.

## Converting a RE to an $\epsilon$ -NFA

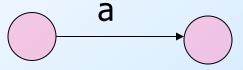
- Proof is an induction on the number of operators (+, concatenation, \*) in the RE.
- We always construct an automaton of a special form (next slide).

## Form of $\epsilon$ -NFA's Constructed



## RE to $\epsilon$ -NFA: Basis

◆Symbol **a**:



**♦**€:

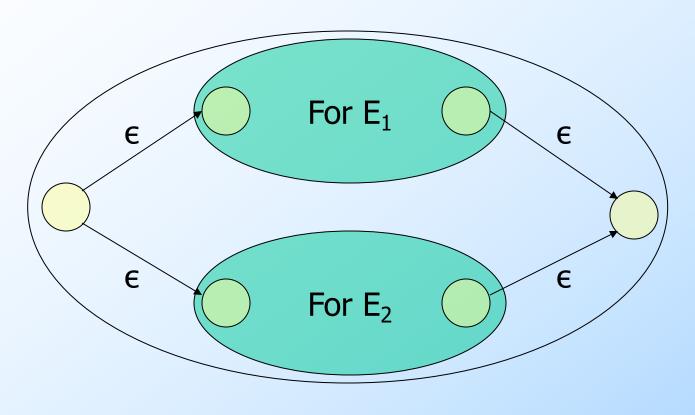


**♦** ∅:



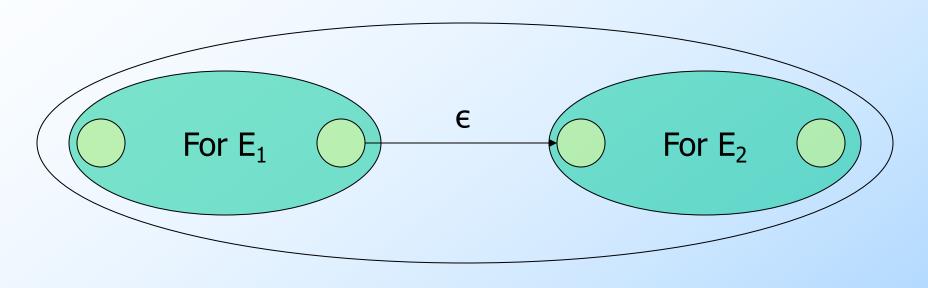


## RE to $\epsilon$ -NFA: Induction 1 — Union



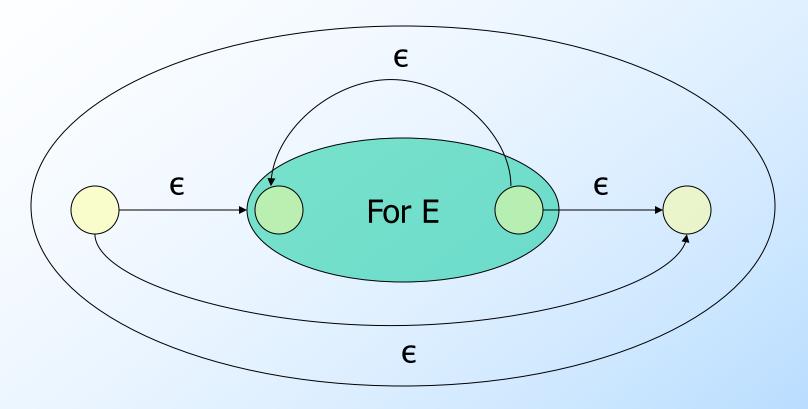
For  $E_1 \cup E_2$ 

# RE to $\epsilon$ -NFA: Induction 2 — Concatenation



For E<sub>1</sub>E<sub>2</sub>

## RE to $\epsilon$ -NFA: Induction 3 — Closure



For E\*

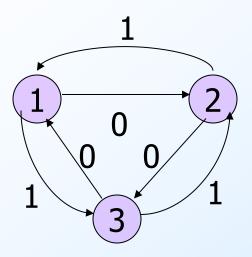
### DFA-to-RE

- A strange sort of induction.
- ◆States of the DFA are named 1,2,...,n.
- ◆Induction is on k, the maximum state number we are allowed to traverse along a path.

### k-Paths

- ◆A k-path is a path through the graph of the DFA that goes through no state numbered higher than k.
- Endpoints are not restricted; they can be any state.
- n-paths are unrestricted.
- ◆RE is the union of RE's for the n-paths from the start state to each final state.

# Example: k-Paths



0-paths from 2 to 3: RE for labels =  $\mathbf{0}$ .

1-paths from 2 to 3: RE for labels =  $\mathbf{0}+\mathbf{11}$ .

2-paths from 2 to 3: RE for labels = (10)\*0+1(01)\*1

3-paths from 2 to 3: RE for labels = ??

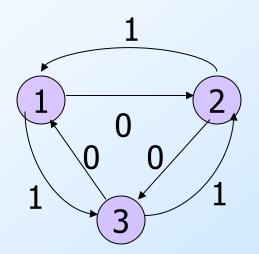
#### DFA-to-RE

- Basis: k = 0; only arcs or a node by itself.
- ◆Induction: construct RE's for paths allowed to pass through state k from paths allowed only up to k-1.

## k-Path Induction

- ◆Let R<sub>ij</sub><sup>k</sup> be the regular expression for the set of labels of k-paths from state i to state j.
- ♦ Basis: k=0.  $R_{ij}^{0} = \text{sum of labels of arc}$  from i to j.
  - Ø if no such arc.
  - **)** But add  $\epsilon$  if i=j.

## Example: Basis



$$R_{12}^{0} = 0.$$

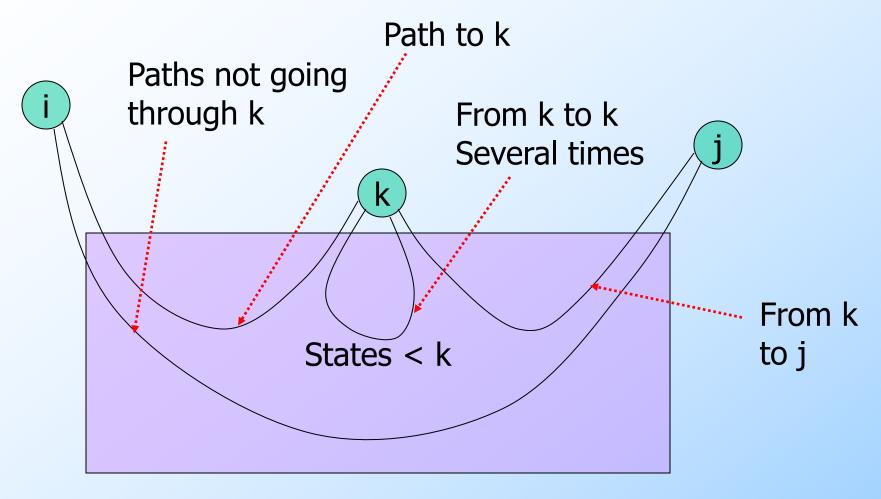
Notice algebraic law:  $\emptyset$  plus anything = that thing.

## k-Path Inductive Case

- A k-path from i to j either:
  - 1. Never goes through state k, or
  - 2. Goes through k one or more times.

$$R_{ij}{}^k = R_{ij}{}^{k-1} + R_{ik}{}^{k-1}(R_{kk}{}^{k-1})^* R_{kj}{}^{k-1}.$$
Goes from Then, from k to j more times from k to k

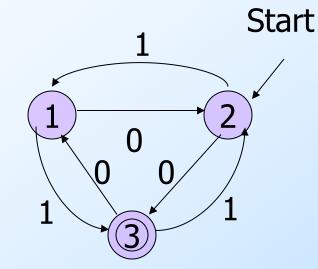
## Illustration of Induction



# Final Step

- The RE with the same language as the DFA is the sum (union) of R<sub>ij</sub><sup>n</sup>, where:
  - 1. n is the number of states; i.e., paths are unconstrained.
  - 2. i is the start state.
  - 3. j is one of the final states.

# Example



- $\bullet R_{23}^3 = R_{23}^2 + R_{23}^2 (R_{33}^2) R_{33}^2 = R_{23}^2 (R_{33}^2) R_{33}^2$
- $R_{23}^2 = (10)*0+1(01)*1$
- $R_{33}^2 = \epsilon + O(01)*(1+00) + 1(10)*(0+11)$
- $R_{23}^{3} = [(10)*0+1(01)*1] [\epsilon + (0(01)*(1+00) + 1(10)*(0+11))]*$

# Summary

◆ Each of the three types of automata (DFA, NFA,  $\epsilon$ -NFA) we discussed, and regular expressions as well, define exactly the same set of languages: the regular languages.

# Algebraic Laws for RE's

- Union and concatenation behave sort of like addition and multiplication.
  - + is commutative and associative;
     concatenation is associative.
  - Concatenation distributes over +.
  - Exception: Concatenation is not commutative.

## **Identities and Annihilators**

- $\bullet$   $\varnothing$  is the identity for +.
  - $\triangleright$  R +  $\varnothing$  = R.
- $\bullet$   $\epsilon$  is the identity for concatenation.
  - $\mathbf{E} \in \mathbf{R} = \mathbf{R} \in \mathbf{R}$
- $\bullet$   $\varnothing$  is the annihilator for concatenation.
  - $\triangleright \varnothing R = R\varnothing = \varnothing.$