

# Properties of Context-Free Languages

Decision Properties

Closure Properties

# Summary of Decision Properties

- ◆ As usual, when we talk about “a CFL” we really mean “a representation for the CFL, e.g., a CFG or a PDA accepting by final state or empty stack.
- ◆ There are algorithms to decide if:
  1. String  $w$  is in CFL  $L$ .
  2. CFL  $L$  is empty.
  3. CFL  $L$  is infinite.

# Non-Decision Properties

- ◆ Many questions that can be decided for regular sets cannot be decided for CFL's.
- ◆ **Example:** Are two CFL's the same?
- ◆ **Example:** Are two CFL's disjoint?
  - ▶ How would you do that for regular languages?
- ◆ Need theory of Turing machines and decidability to prove no algorithm exists.

# Testing Emptiness

- ◆ We already did this.
- ◆ We learned to eliminate useless variables.
- ◆ If the start symbol is one of these, then the CFL is empty; otherwise not.

# Testing Membership

- ◆ Want to know if string  $w$  is in  $L(G)$ .
- ◆ Assume  $G$  is in CNF.
  - ◆ Or convert the given grammar to CNF.
  - ◆  $w = \epsilon$  is a special case, solved by testing if the start symbol is nullable.
- ◆ Algorithm (*CYK*) is a good example of *dynamic programming* and runs in time  $O(n^3)$ , where  $n = |w|$ .

# CYK Algorithm

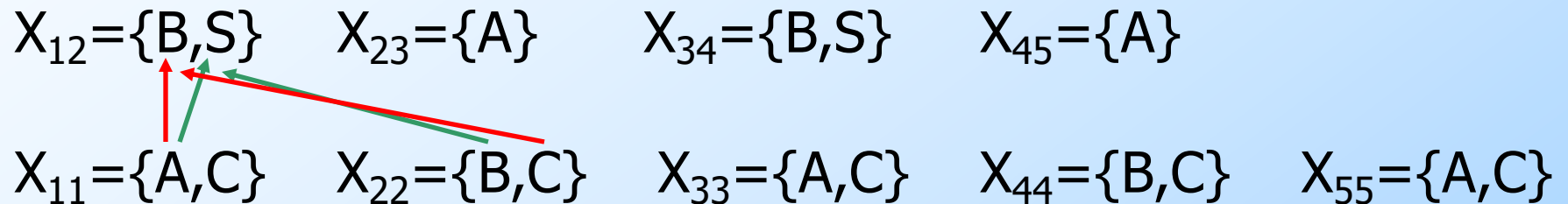
- ◆ Let  $w = a_1 \dots a_n$ .
- ◆ We construct an  $n$ -by- $n$  triangular array of sets of variables.
- ◆  $X_{ij} = \{\text{variables } A \mid A \Rightarrow^* a_i \dots a_j\}$ .
- ◆ Induction on  $j-i+1$ .
  - ◆ The length of the derived string.
- ◆ Finally, ask if  $S$  is in  $X_{1n}$ .

# CYK Algorithm – (2)

- ◆ **Basis:**  $X_{ii} = \{A \mid A \rightarrow a_i \text{ is a production}\}$ .
- ◆ **Induction:**  $X_{ij} = \{A \mid \text{there is a production } A \rightarrow BC \text{ and an integer } k, \text{ with } i \leq k < j, \text{ such that } B \text{ is in } X_{ik} \text{ and } C \text{ is in } X_{k+1,j}\}$ .

# Example: CYK Algorithm

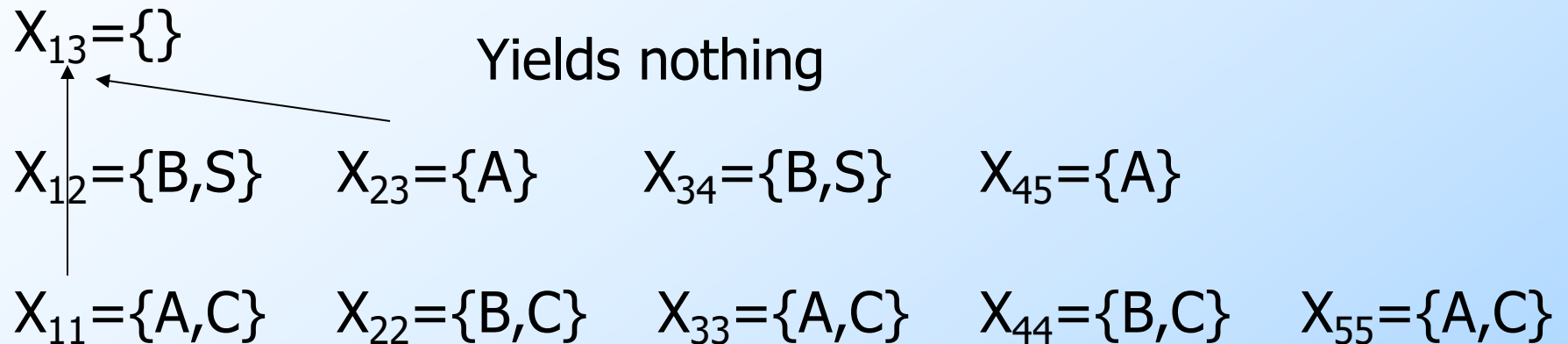
Grammar:  $S \rightarrow AB$ ,  $A \rightarrow BC \mid a$ ,  $B \rightarrow AC \mid b$ ,  $C \rightarrow a \mid b$   
String  $w = ababa$





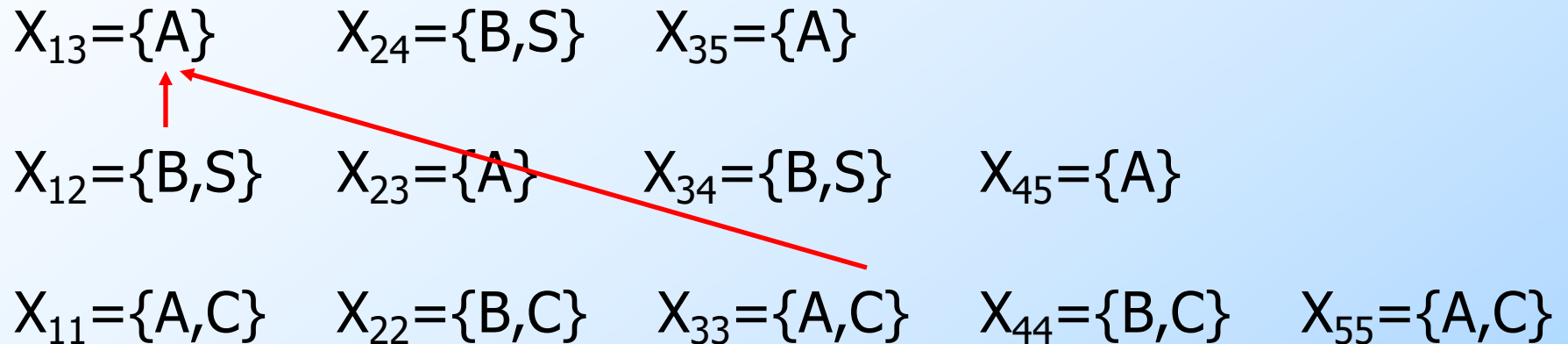
# Example: CYK Algorithm

Grammar:  $S \rightarrow AB, A \rightarrow BC \mid a, B \rightarrow AC \mid b, C \rightarrow a \mid b$   
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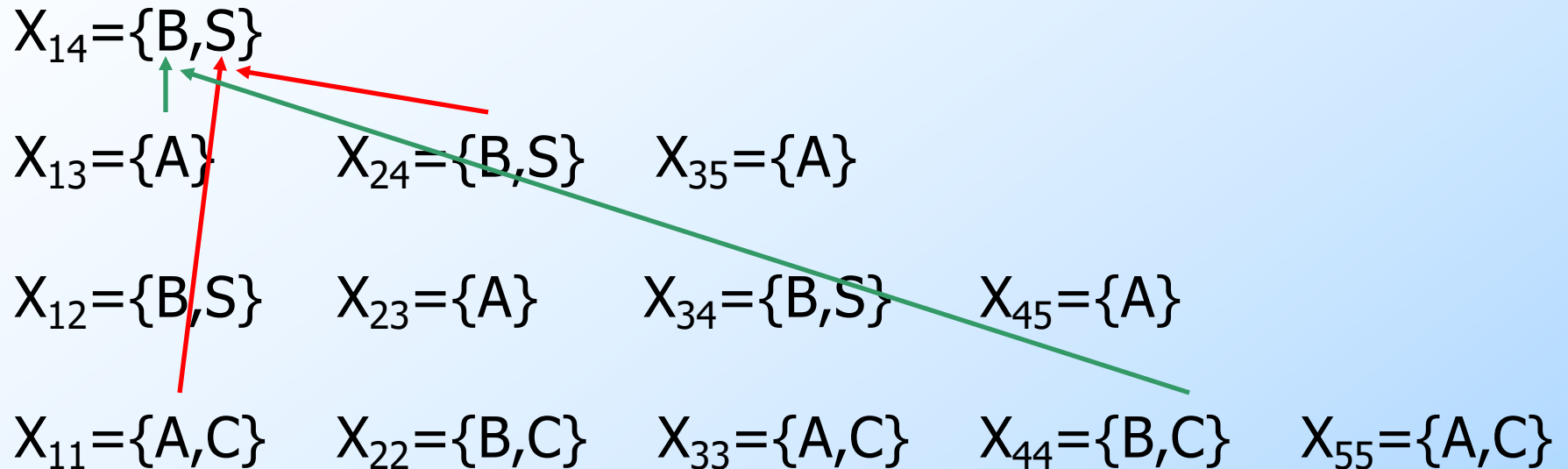
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# Example: CYK Algorithm

Grammar:  $S \rightarrow AB$ ,  $A \rightarrow BC \mid a$ ,  $B \rightarrow AC \mid b$ ,  $C \rightarrow a \mid b$

String  $w = ababa$

$X_{15} = \{A\}$



$X_{14} = \{B, S\}$

$X_{25} = \{A\}$

$X_{13} = \{A\}$

$X_{24} = \{B, S\}$

$X_{35} = \{A\}$

$X_{12} = \{B, S\}$

$X_{23} = \{A\}$

$X_{34} = \{B, S\}$

$X_{45} = \{A\}$

$X_{11} = \{A, C\}$

$X_{22} = \{B, C\}$

$X_{33} = \{A, C\}$

$X_{44} = \{B, C\}$

$X_{55} = \{A, C\}$

# Testing Infiniteness

- ◆ The idea is essentially the same as for regular languages.
- ◆ Use the pumping lemma constant  $n$ .
- ◆ If there is a string in the language of length between  $n$  and  $2n-1$ , then the language is infinite; otherwise not.

# Closure Properties of CFL's

- ◆ CFL's are closed under union, concatenation, and Kleene closure.
- ◆ Also, under reversal, homomorphisms and inverse homomorphisms.
- ◆ But not under intersection or difference.

# Closure of CFL's Under Union

- ◆ Let  $L$  and  $M$  be CFL's with grammars  $G$  and  $H$ , respectively.
- ◆ Assume  $G$  and  $H$  have no variables in common.
  - ◆ Names of variables do not affect the language.
- ◆ Let  $S_1$  and  $S_2$  be the start symbols of  $G$  and  $H$ .

# Closure Under Union – (2)

- ◆ Form a new grammar for  $L \cup M$  by combining all the symbols and productions of  $G$  and  $H$ .
- ◆ Then, add a new start symbol  $S$ .
- ◆ Add productions  $S \rightarrow S_1 \mid S_2$ .



# Closure Under Union – (3)

- ◆ In the new grammar, all derivations start with  $S$ .
- ◆ The first step replaces  $S$  by either  $S_1$  or  $S_2$ .
- ◆ In the first case, the result must be a string in  $L(G) = L$ , and in the second case a string in  $L(H) = M$ .

# Closure of CFL's Under Concatenation

- ◆ Let  $L$  and  $M$  be CFL's with grammars  $G$  and  $H$ , respectively.
- ◆ Assume  $G$  and  $H$  have no variables in common.
- ◆ Let  $S_1$  and  $S_2$  be the start symbols of  $G$  and  $H$ .

# Closure Under Concatenation – (2)

- ◆ Form a new grammar for LM by starting with all symbols and productions of G and H.
- ◆ Add a new start symbol S.
- ◆ Add production  $S \rightarrow S_1S_2$ .
- ◆ Every derivation from S results in a string in L followed by one in M.

# Closure Under Star

- ◆ Let  $L$  have grammar  $G$ , with start symbol  $S_1$ .
- ◆ Form a new grammar for  $L^*$  by introducing to  $G$  a new start symbol  $S$  and the productions  $S \rightarrow S_1 S \mid \epsilon$ .
- ◆ A rightmost derivation from  $S$  generates a sequence of zero or more  $S_1$ 's, each of which generates some string in  $L$ .

# Closure of CFL's Under Reversal

- ◆ If  $L$  is a CFL with grammar  $G$ , form a grammar for  $L^R$  by reversing the body of every production.
- ◆ **Example:** Let  $G$  have  $S \rightarrow 0S1 \mid 01$ .
- ◆ The reversal of  $L(G)$  has grammar  $S \rightarrow 1S0 \mid 10$ .

# Closure of CFL's Under Homomorphism

- ◆ Let  $L$  be a CFL with grammar  $G$ .
- ◆ Let  $h$  be a homomorphism on the terminal symbols of  $G$ .
- ◆ Construct a grammar for  $h(L)$  by replacing each terminal symbol  $a$  by  $h(a)$ .

# Example: Closure Under Homomorphism

- ◆  $G$  has productions  $S \rightarrow 0S1 \mid 01$ .
- ◆  $h$  is defined by  $h(0) = ab$ ,  $h(1) = \epsilon$ .
- ◆  $h(L(G))$  has the grammar with productions  $S \rightarrow abS \mid ab$ .

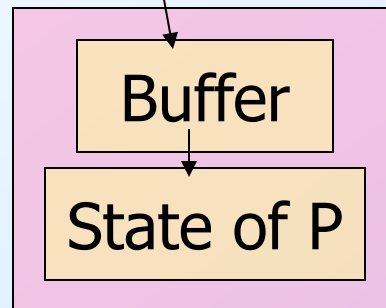
# Closure of CFL's Under Inverse Homomorphism

- ◆ Here, grammars don't help us, but a PDA construction serves nicely.
- ◆ Let  $L = L(P)$  for some PDA  $P$ .
- ◆ Construct PDA  $P'$  to accept  $h^{-1}(L)$ .
- ◆  $P'$  simulates  $P$ , but keeps, as one component of a two-component state a buffer that holds the result of applying  $h$  to one input symbol.



# Architecture of $P'$

Input: 0 0 1 1  
           $h(0)$



Read first remaining  
symbol in buffer as  
if it were input to  $P$ .



Stack  
of  $P$

# Formal Construction of $P'$

- ◆ States are pairs  $[q, w]$ , where:
  1.  $q$  is a state of  $P$ .
  2.  $w$  is a suffix of  $h(a)$  for some symbol  $a$ .
    - ◆ Thus, only a finite number of possible values for  $w$ .
- ◆ Stack symbols of  $P'$  are those of  $P$ .
- ◆ Start state of  $P'$  is  $[q_0, \epsilon]$ .

# Construction of $P'$ – (2)

- ◆ Input symbols of  $P'$  are the symbols to which  $h$  applies.
- ◆ Final states of  $P'$  are the states  $[q, \epsilon]$  such that  $q$  is a final state of  $P$ .

# Transitions of $P'$

1.  $\delta'([q, \epsilon], a, X) = \{([q, h(a)], X)\}$  for any input symbol  $a$  of  $P'$  and any stack symbol  $X$ .
  - ◆ When the buffer is empty,  $P'$  can reload it.
2.  $\delta'([q, bw], \epsilon, X)$  contains  $([p, w], \alpha)$  if  $\delta(q, b, X)$  contains  $(p, \alpha)$ , where  $b$  is either an input symbol of  $P$  or  $\epsilon$ .
  - ◆ Simulate  $P$  from the buffer.

# Proving Correctness of $P'$

- ◆ We need to show that  $L(P') = h^{-1}(L(P))$ .
- ◆ **Key argument:**  $P'$  makes the transition  $([q_0, \epsilon], w, Z_0) \vdash^* ([q, x], \epsilon, \alpha)$  if and only if  $P$  makes transition  $(q_0, y, Z_0) \vdash^* (q, \epsilon, \alpha)$ ,  $h(w) = yx$ , and  $x$  is a suffix of the last symbol of  $w$ .
- ◆ **Proof** in both directions is an induction on the number of moves made.

# Nonclosure Under Intersection

- ◆ Unlike the regular languages, the class of CFL's is not closed under  $\cap$ .
- ◆ We know that  $L_1 = \{0^n 1^n 2^n \mid n \geq 1\}$  is not a CFL (use the pumping lemma).
- ◆ However,  $L_2 = \{0^n 1^n 2^i \mid n \geq 1, i \geq 1\}$  is.
  - ◆ CFG:  $S \rightarrow AB, A \rightarrow 0A1 \mid 01, B \rightarrow 2B \mid 2$ .
- ◆ So is  $L_3 = \{0^i 1^n 2^n \mid n \geq 1, i \geq 1\}$ .
- ◆ But  $L_1 = L_2 \cap L_3$ .

# Nonclosure Under Difference

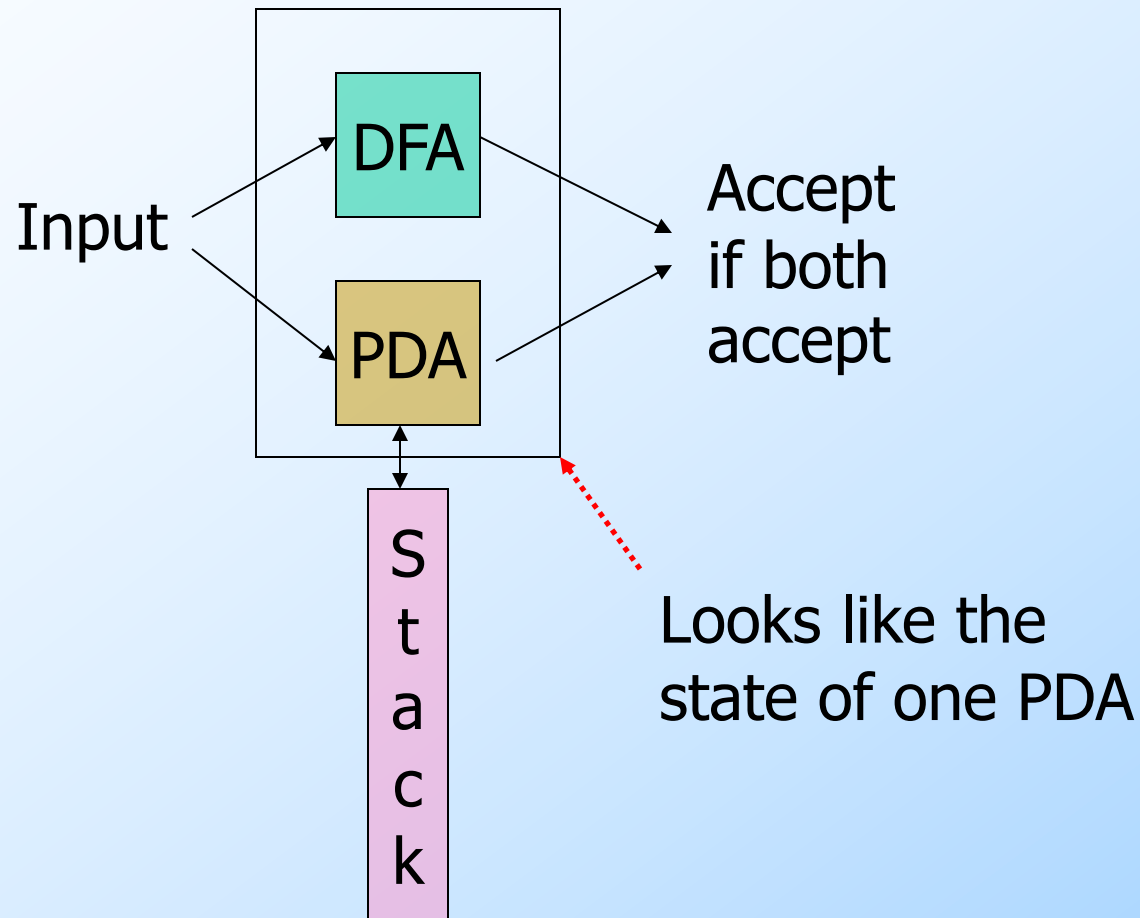
- ◆ We can prove something more general:
  - ◆ Any class of languages that is closed under difference is closed under intersection.
- ◆ **Proof:**  $L \cap M = L - (L - M)$ .
- ◆ Thus, if CFL's were closed under difference, they would be closed under intersection, but they are not.

# Intersection with a Regular Language

- ◆ Intersection of two CFL's need not be context free.
- ◆ But the intersection of a CFL with a regular language is always a CFL.
- ◆ **Proof** involves running a DFA in parallel with a PDA, and noting that the combination is a PDA.
  - ◆ PDA's accept by final state.



# DFA and PDA in Parallel



# Formal Construction

- ◆ Let the DFA  $A$  have transition function  $\delta_A$ .
- ◆ Let the PDA  $P$  have transition function  $\delta_P$ .
- ◆ States of combined PDA are  $[q,p]$ , where  $q$  is a state of  $A$  and  $p$  a state of  $P$ .
- ◆  $\delta([q,p], a, X)$  contains  $([\delta_A(q,a),r], \alpha)$  if  $\delta_P(p, a, X)$  contains  $(r, \alpha)$ .
  - ◆ Note  $a$  could be  $\varepsilon$ , in which case  $\delta_A(q,a) = q$ .

# Formal Construction – (2)

- ◆ Final states of combined PDA are those  $[q,p]$  such that  $q$  is a final state of  $A$  and  $p$  is an accepting state of  $P$ .
- ◆ Initial state is the pair  $[q_0,p_0]$  consisting of the initial states of each.
- ◆ **Easy induction:**  $([q_0,p_0], w, Z_0) \vdash^* ([q,p], \varepsilon, \alpha)$  if and only if  $\delta_A(q_0, w) = q$  and in  $P$ :  $(p_0, w, Z_0) \vdash^* (p, \varepsilon, \alpha)$ .