Searching and Sorting

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Today's Plan



Announcements

Searching algorithms and their analysis

Sorting algorithms and their analysis

Announcements and Syllabus Check

Questions?

Searching

Looking for something! In this discussion we will assume searching for an element in an array.

Linear search

Most intuitive

Start at first position and keep looking until you find it

```
int linearSearch(int a[], int size, int value)
{
    for (int i = 0; i < size; i++)
    {
        if (a[i] == value) {
            return i;
        }
    }
    return-1;
}</pre>
```

How long does linear search take?

If you assume value is in the array, on average n/2

If value is not in the array (worst case) n

Either way it's O(n)

What if you know array is sorted?

Can you do better than linear search?

In-Class Task

You are given a sorted array of integers

You can't see the values in it until you "inspect" a location

How would you search for 115? (try to do it in fewer than n steps: don't search sequentially)

You can write pseudocode or succinctly explain your algorithm

In-Class Task

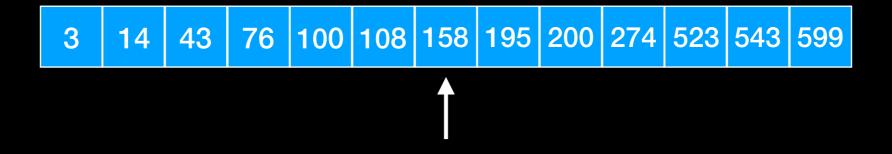
You are given a sorted array of integers

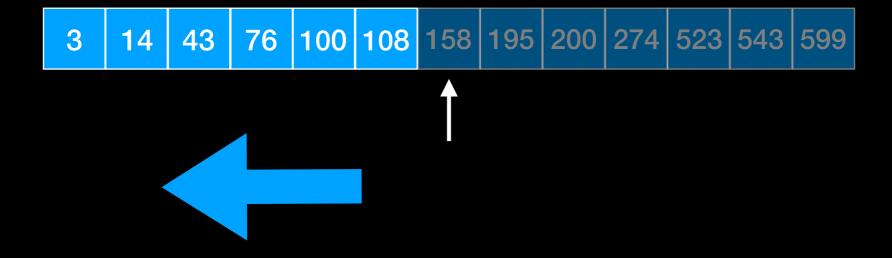
You can't see the values in it until you "inspect" a location

How would you search for 115? (try to do it in fewer than n steps: don't search sequentially)

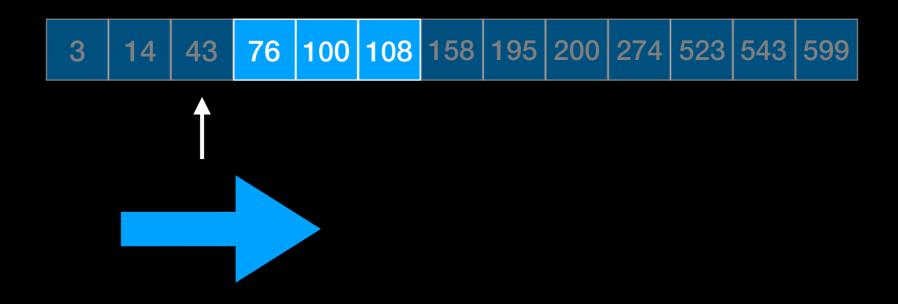
You can write pseudocode or succinctly explain your algorithm



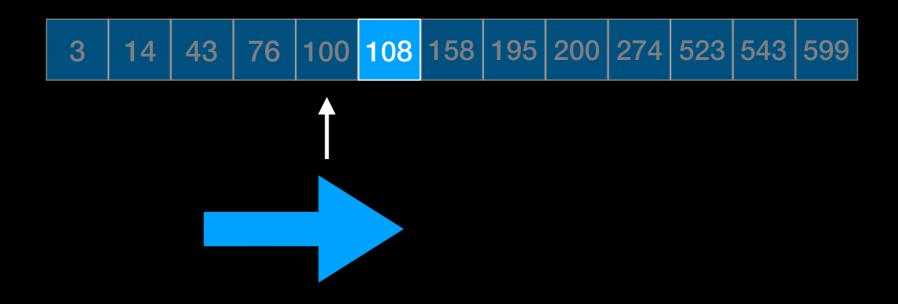














What is happening here?

What is happening here?

Size of search is cut in half at each step

What is happening here?

Size of search is **cut in half** at each step

The running time

Simplification: assume n is a power of 2 so it can be evenly divided in two parts

Let $\dot{T}(n)$ be the running time and assume $n = 2^k$

$$T(n) = T(n/2) + 1$$
One comparison

Search lower OR upper half

What is happening here?

Size of search is cut in half at each step

Let T(n) be the running time and assume $n = 2^k$ T(n) = T(n/2) + 1 T(n/2) = T(n/4) + 1One comparison Search lower OR upper half of n/2

What is happening here?

Size of search is cut in half at each step

Let T(n) be the running time and assume $n = 2^k$ T(n) = T(n/2) + 1 T(n/2) = T(n/4) + 1 T(n) = T(n/4) + 1 + 1

What is happening here?

Size of search is cut in half at each step

Let T(n) be the running time and assume $n = 2^k$

$$T(n) = T(n/2) + 1$$
 $T(n) = T(n/4) + 2$
....

What is happening here?

Size of search is cut in half at each step

Let T(n) be the running time and assume $n = 2^k$ T(n) = T(n/2) + 1

$$T(n) = T(n/4) + 2$$

. . .

$$T(n) = T(n/2^k) + k$$

What is happening here?

Size of search is cut in half at each step

Let T(n) be the running time and assume $n = 2^k$ T(n) = T(n/2) + 1

$$T(n) = T(n/4) + 2$$

$$T(n) = T(n/2^k) + k$$

 $T(n) = T(1) + log_2(n)$

$$n/n = 1$$

The number to which I need to raise 2 to get n And we said $n = 2^k$

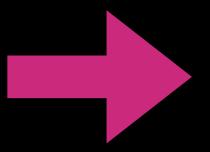
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$$T(n) = T(n/4) + 2$$

....
 $T(n) = T(n/2^k) + k$
 $T(n) = T(1) + log_2(n)$



Binary search is O(log(n))

Sorting

Rearranging a sequence into sorted order!

Several approaches

Can do it in may ways

What is the best way?

Let's find out using Big-O

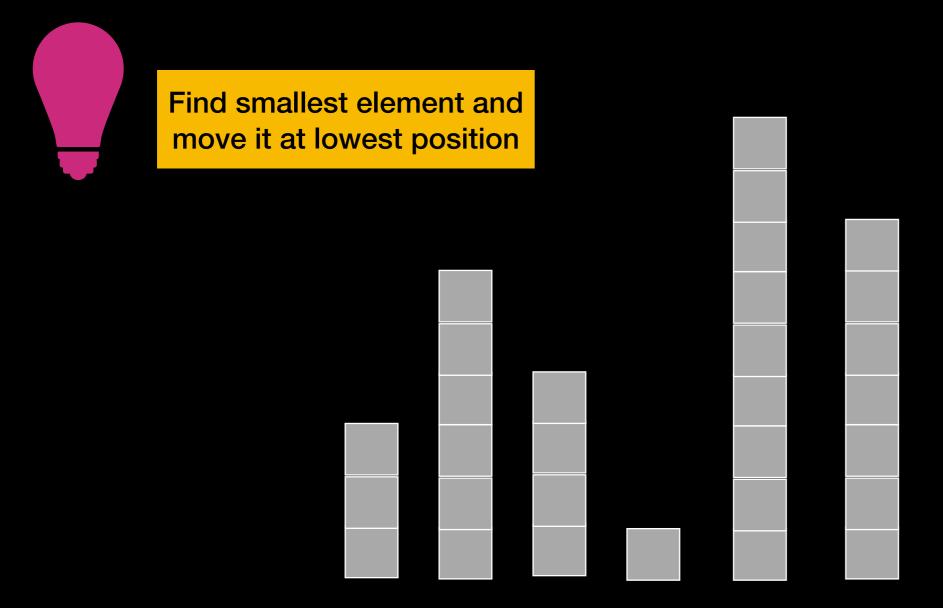
In-Class Task

Assuming you do not have a global view of the array but can see one position at a time, how would you sort this? Write pseudocode or succinctly explain

| 543 | 3 | 523 | 76 | 200 | 158 | 195 | 108 | 43 | 274 | 100 | 14 | 599 |
|-----|---|-----|----|-----|-----|-----|-----|----|-----|-----|----|-----|
|-----|---|-----|----|-----|-----|-----|-----|----|-----|-----|----|-----|







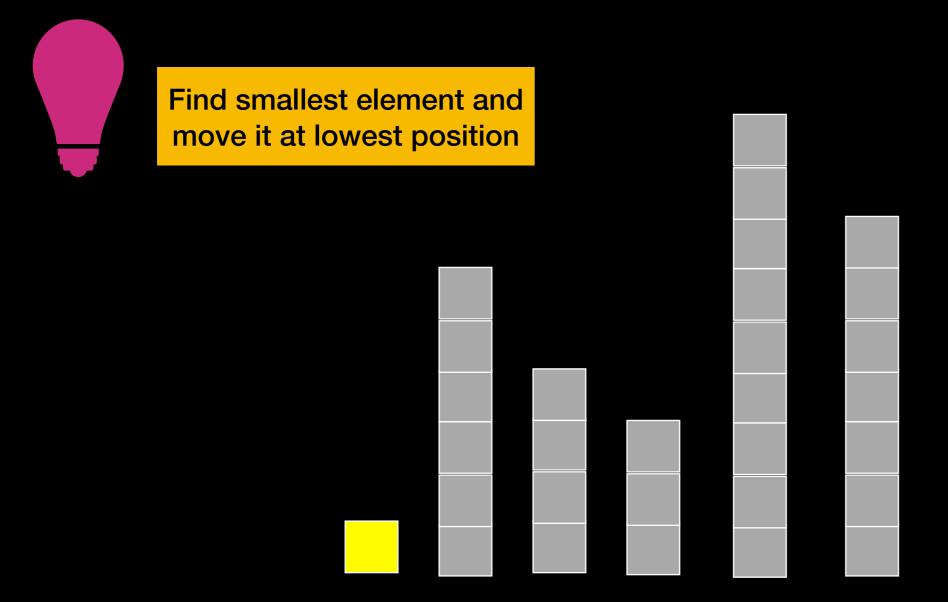






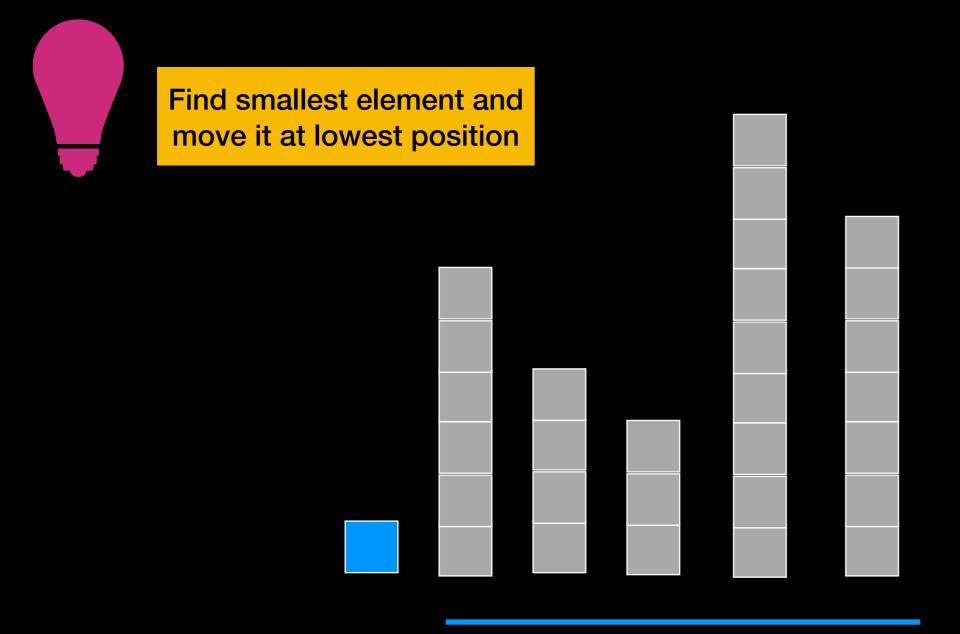






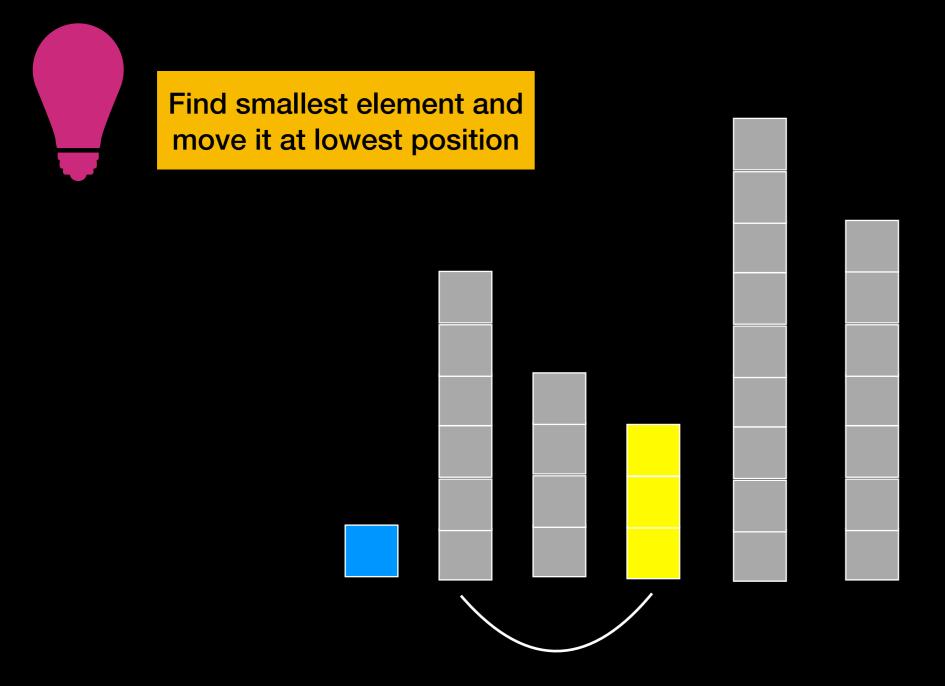






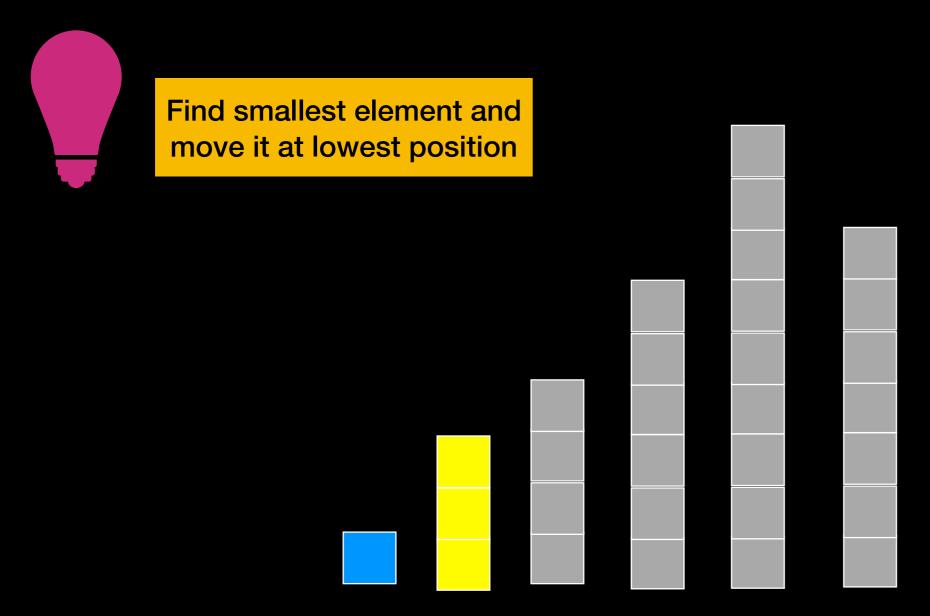






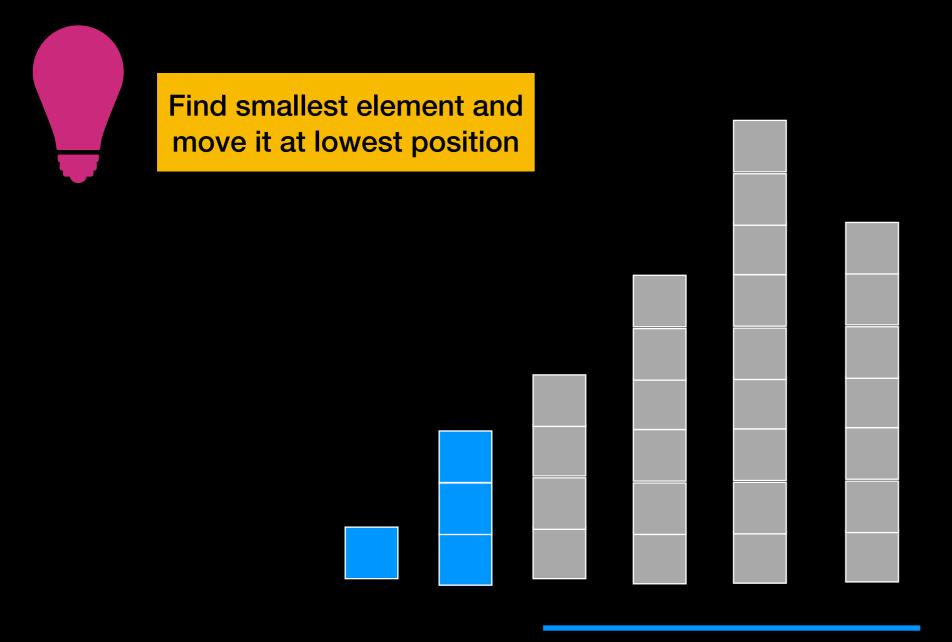






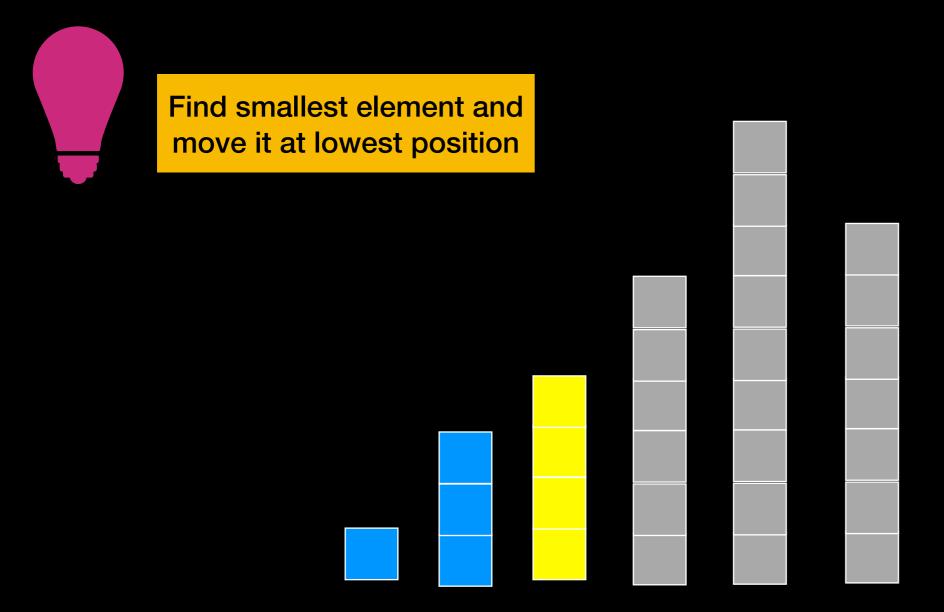






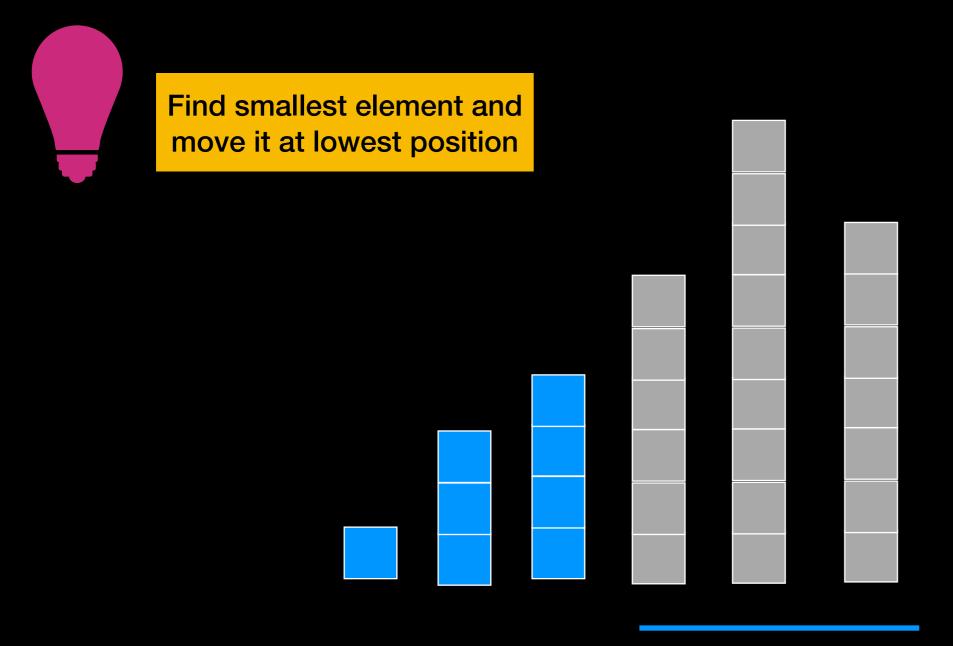






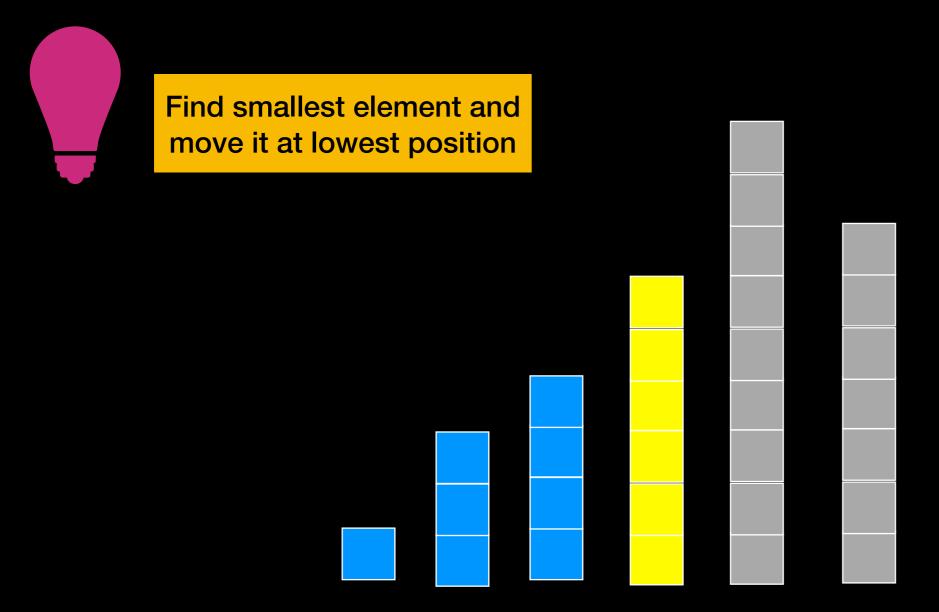






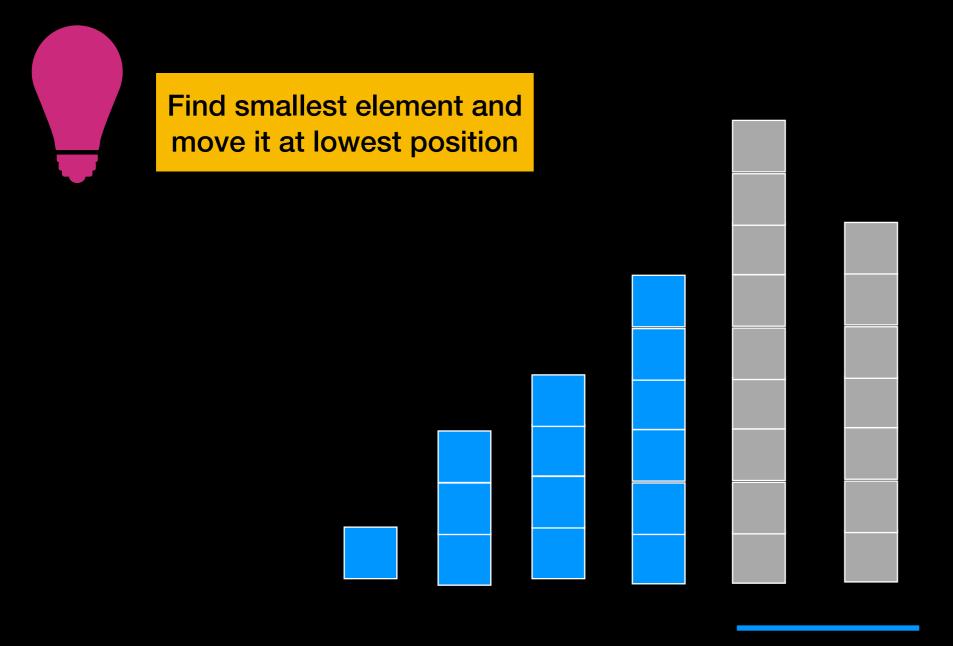






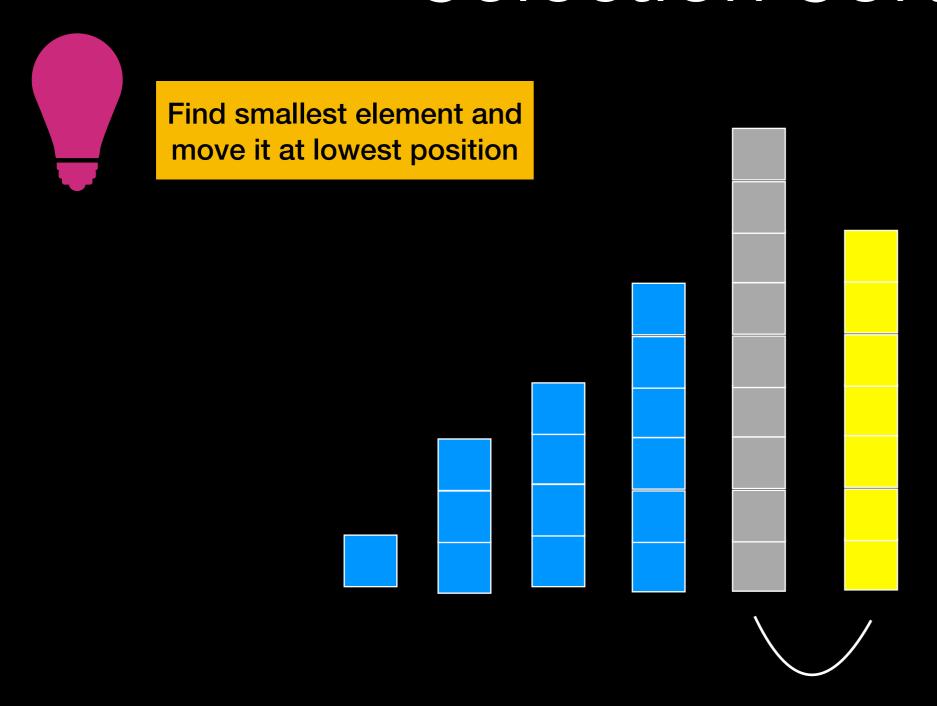






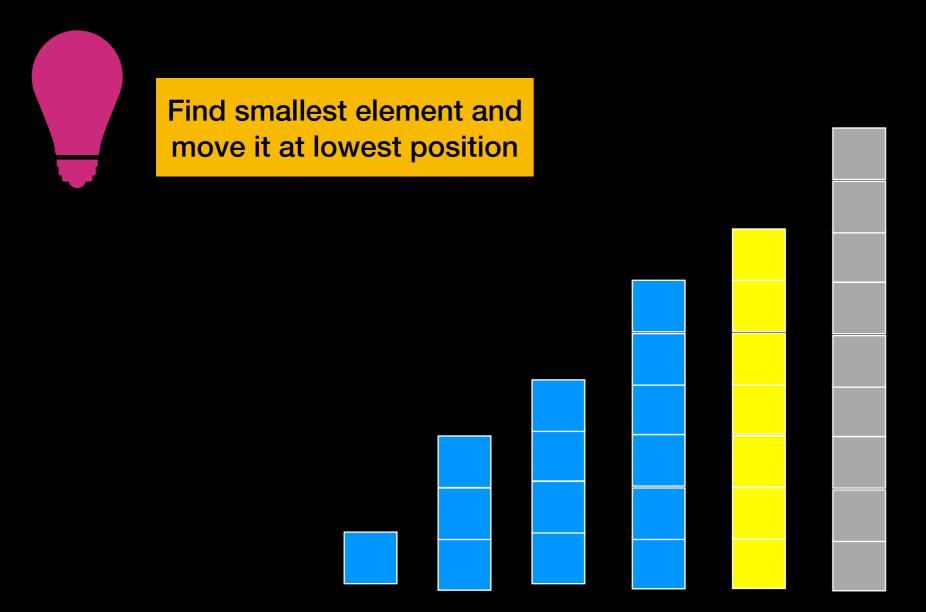






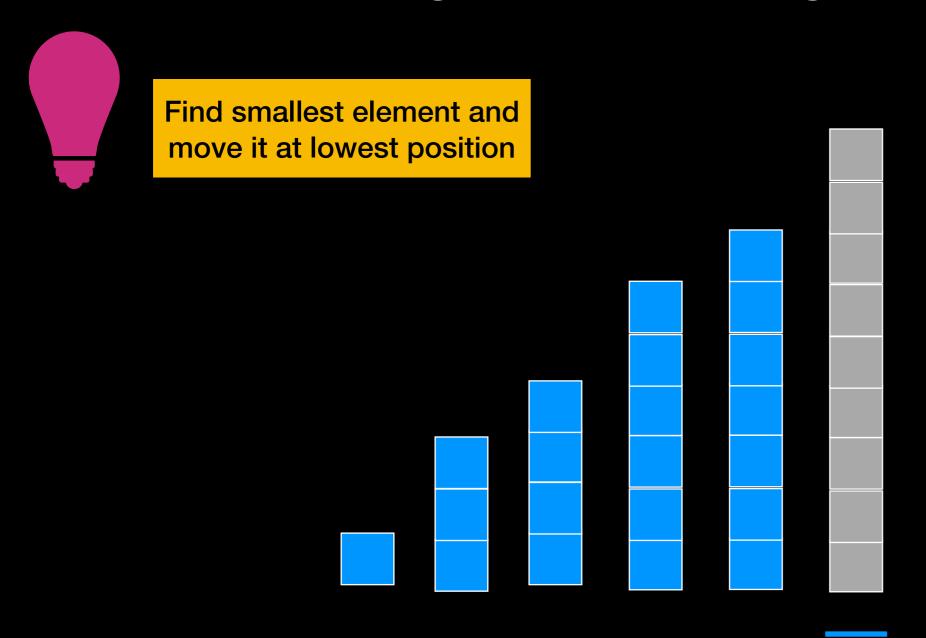






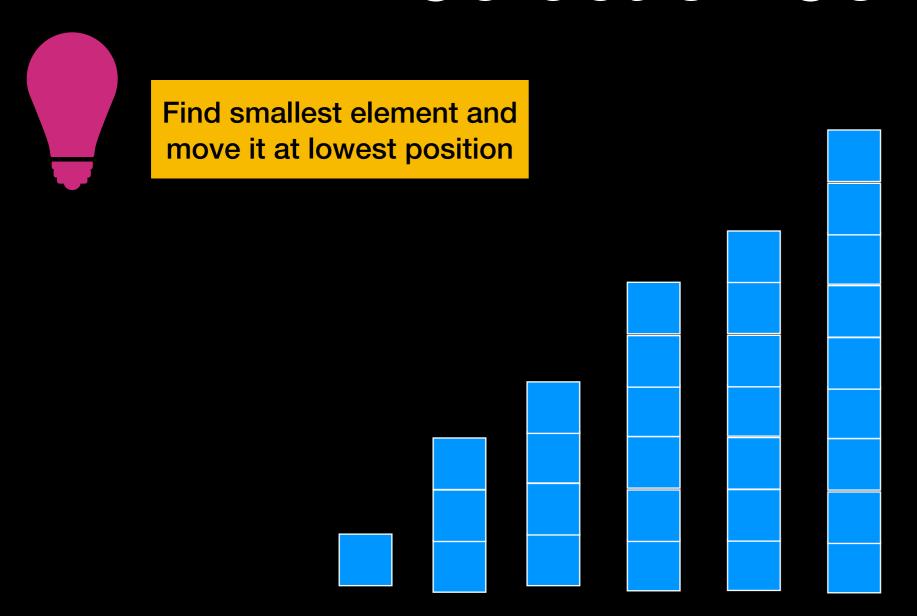












Find the first item and move it at position 1

Find the next-smallest item and move it at position 2

. . .

How much work?

Find smallest: look at n elements

How much work?

Find smallest: look at n elements

Find second smallest: look at n-1 elements

How much work?

Find smallest: look at n elements

Find second smallest: look at n-1 elements

Find third smallest: look at n-2 elements

• • •

How much work?

Find smallest: look at n elements

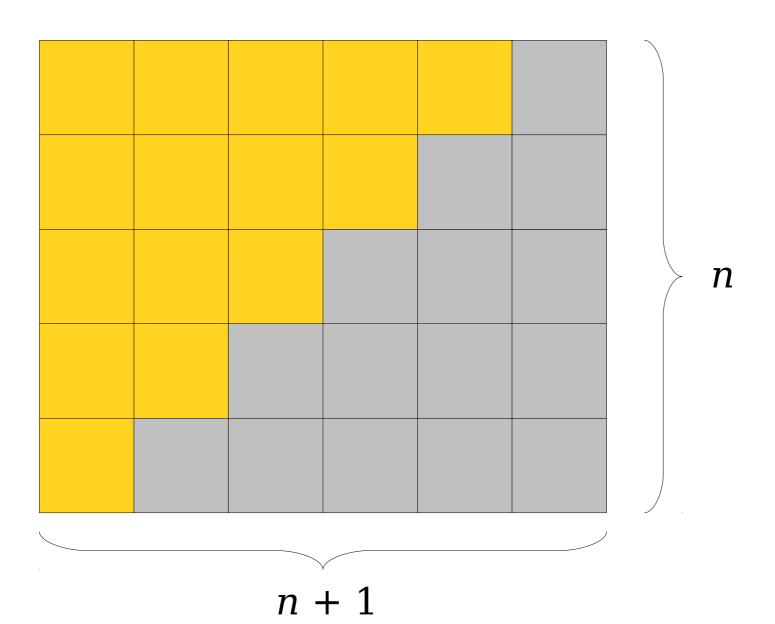
Find second smallest: look at n-1 elements

Find third smallest: look at n-2 elements

• • •

Total work: n + (n-1) + (n-2) + ... + 1

$$n + (n-1) + ... + 2 + 1 = n(n+1) / 2$$



$$T(n) = (n^2+n) / 2 + n = O()$$
?

$$T(n) = (n^2+n) / 2 + n = O()?$$
Ignore constant

Ignore non-dominant terms

$$T(n) = (n^2+n) / 2 + n = O(n^2)$$
Ignore constant

Ignore non-dominant terms

$$T(n) = n(n+1) / 2$$
 comparisons + n data moves = $O()$?

$$T(n) = (n^2+n) / 2 + n = O(n^2)$$

Selection Sort run time is O(n²)

Stability

A sorting algorithm is Stable if elements that are equal remain is same order relative to each other after sorting

Execution time DOES NOT depend on initial arrangement of data => ALWAYS $O(n^2)$

O(n²) comparisons

O(n) data moves

Good choice for small **n** and/or data moves are costly

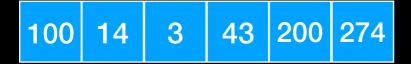
Unstable

Understanding O(n²)

100 14 3 43 200 274

T(n)

Understanding O(n²)



T(n)

$$T(2n) \approx 4T(n)$$

$$(2n)^2 = 4n^2$$

Understanding O(n²)

100 14 3 43 200 274

T(n)

 $T(3n) \approx 9T(n)$

$$(3n)^2 = 9n^2$$

Understanding O(n²) on large input

```
If size of input increases by factor of 100
Execution time increases by factor of 10,000
T(100n) = 10,000T(n)
```

Understanding O(n²) on large input

If size of input increases by factor of 100 Execution time increases by factor of 10,000 T(100n) = 10,000T(n)

Assume n = 100,000 and T(n) = 17 seconds Sorting 10,000,000 takes 10,000 longer

Understanding O(n²) on large input

If size of input increases by factor of 100 Execution time increases by factor of 10,000 T(100n) = 10,000T(n)

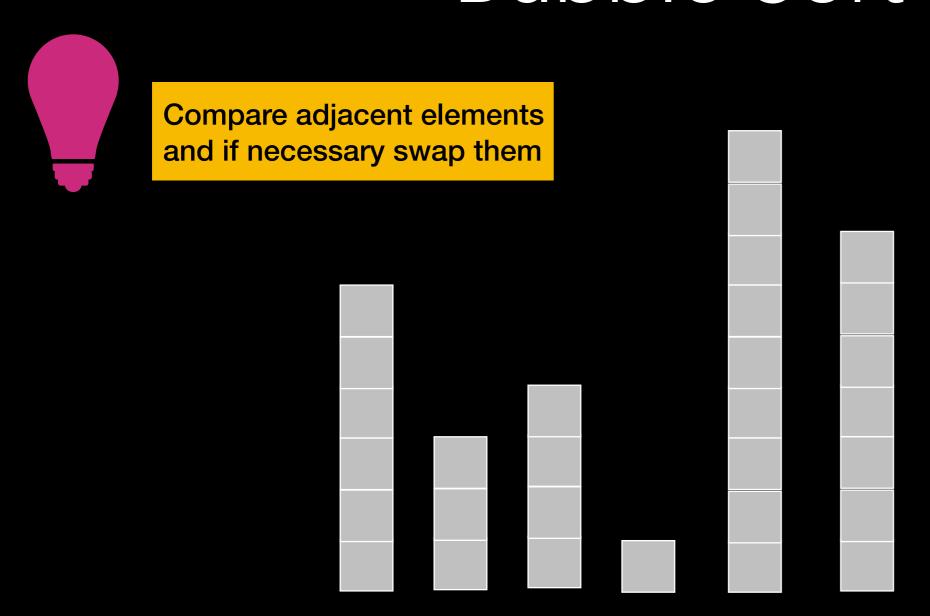
Assume n = 100,000 and T(n) = 17 seconds Sorting 10,000,000 takes 10,000 longer

Sorting 10,000,000 entries takes ≈ 2 days

Multiplying input by 100 to go from 17sec to 2 days!!!



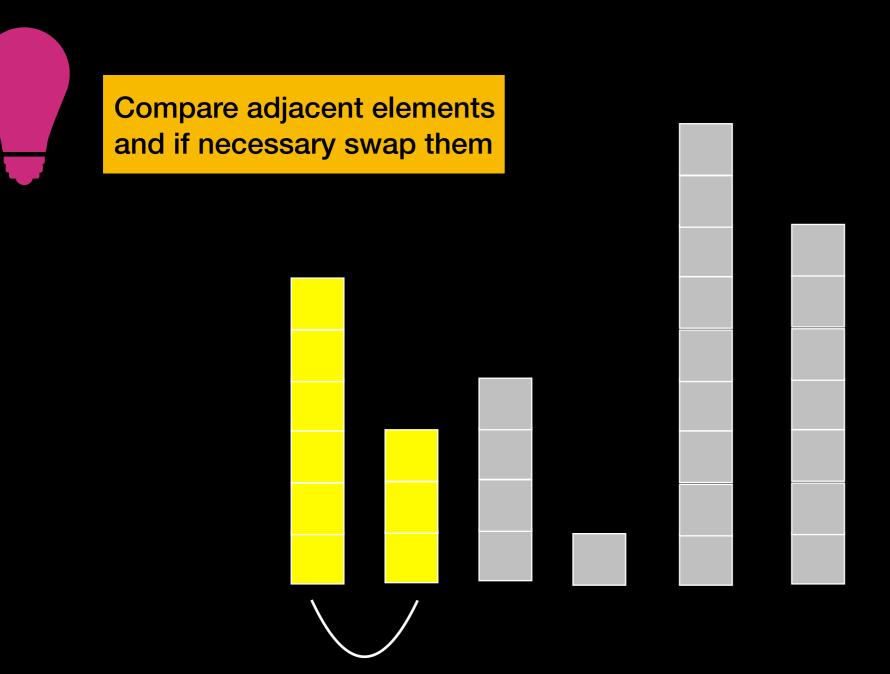










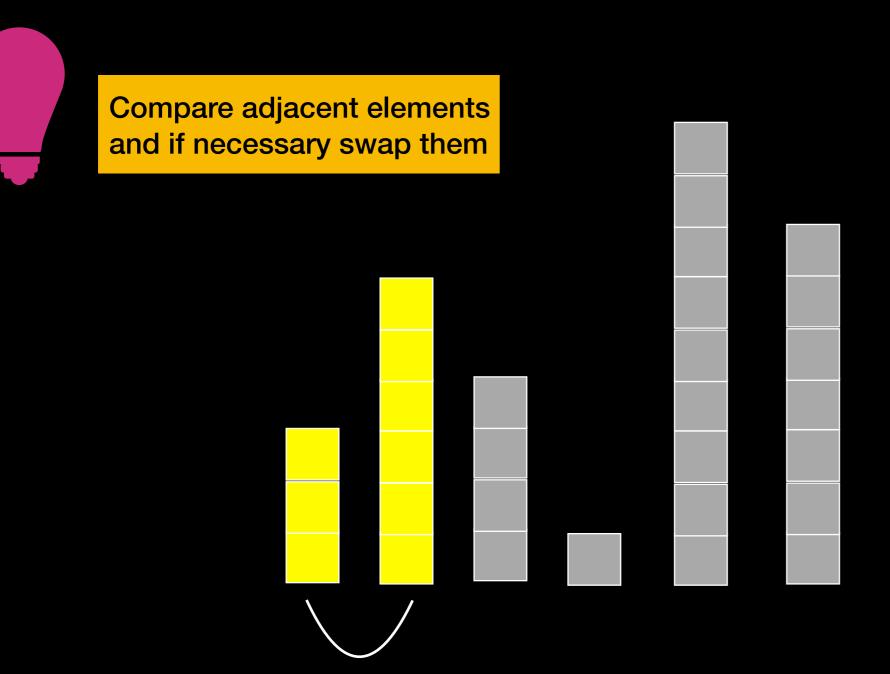






Sorted

1st Pass

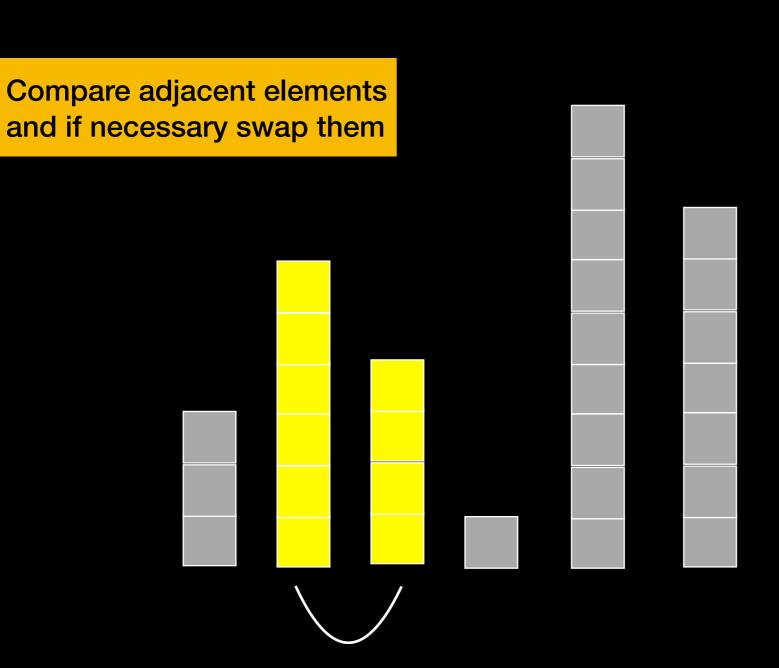






Sorted

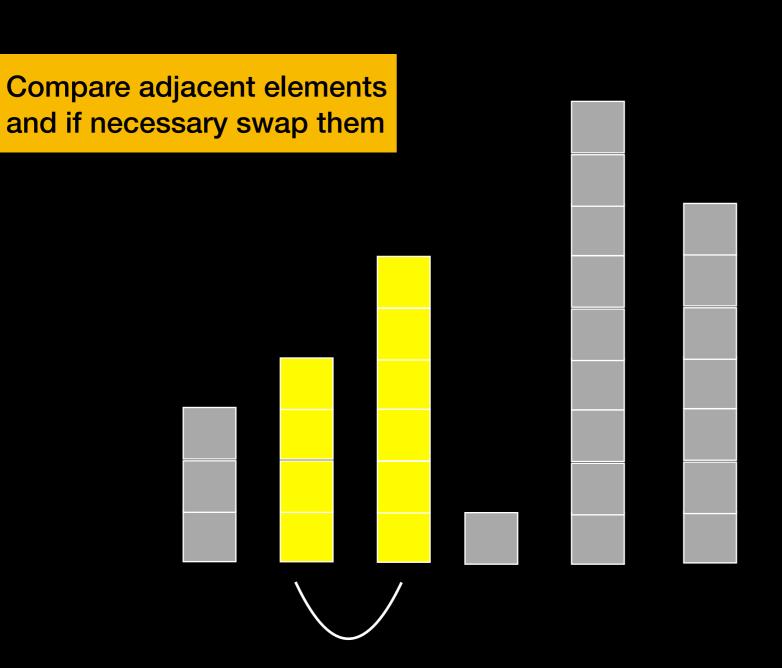
1st Pass







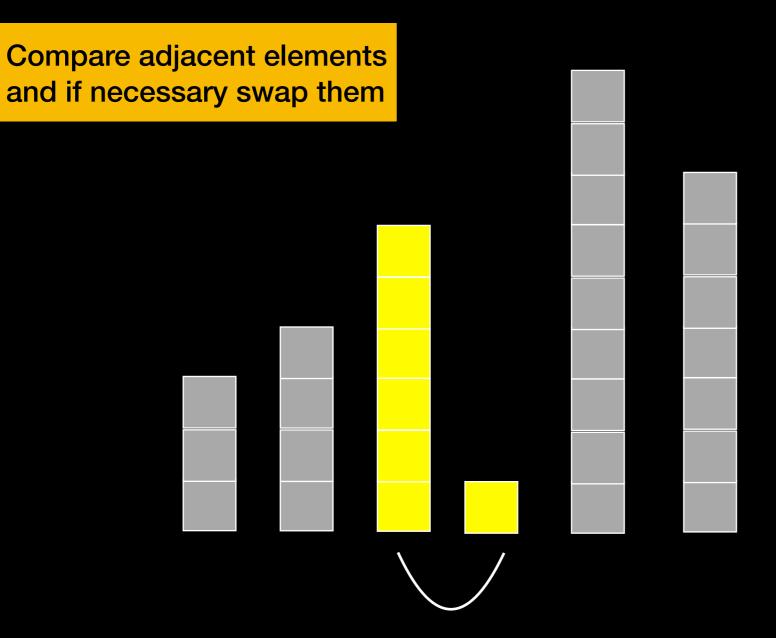








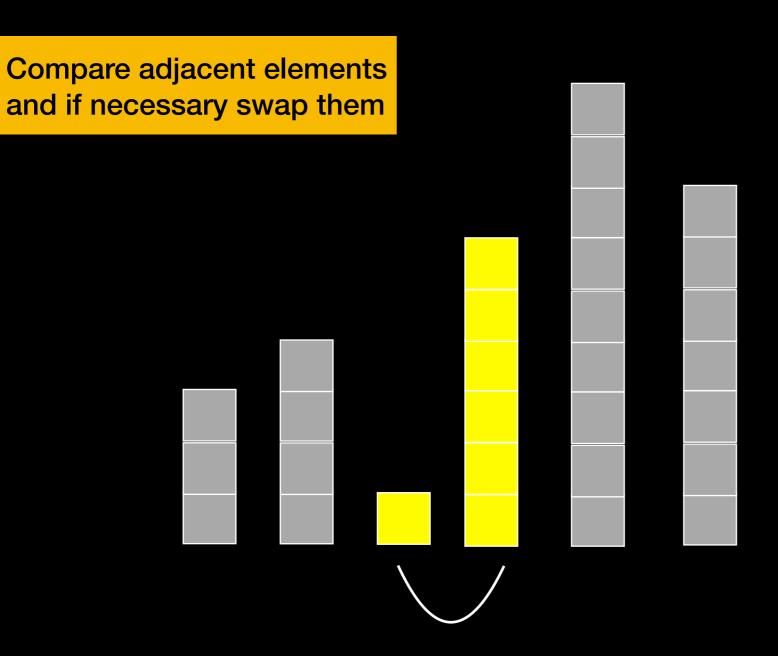








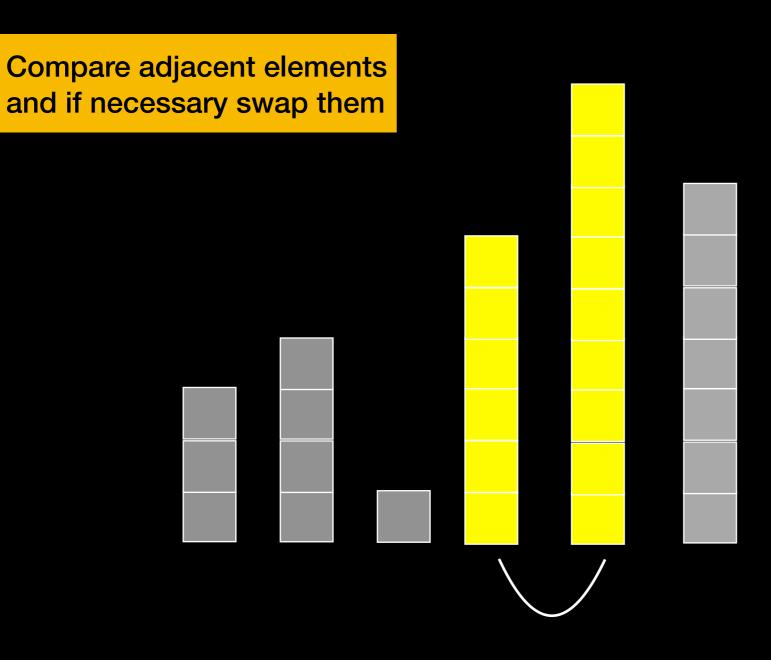








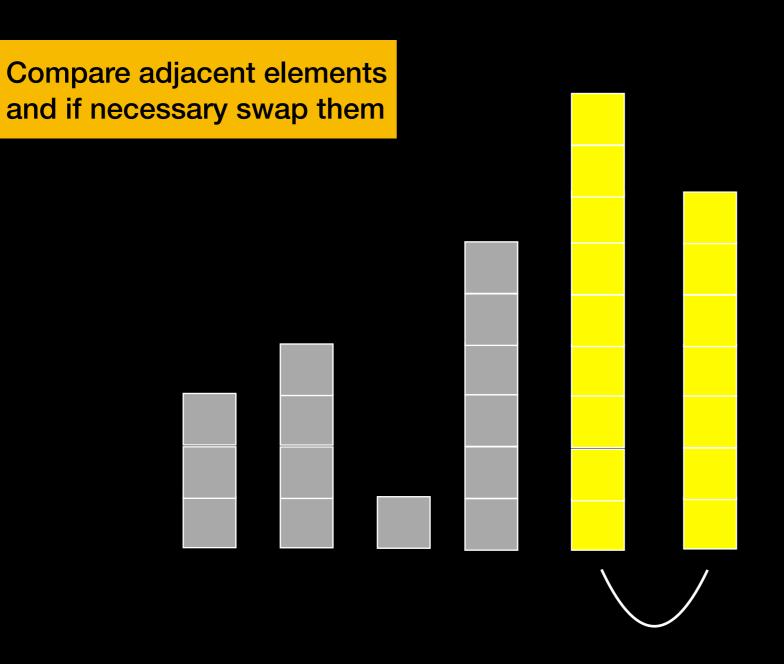










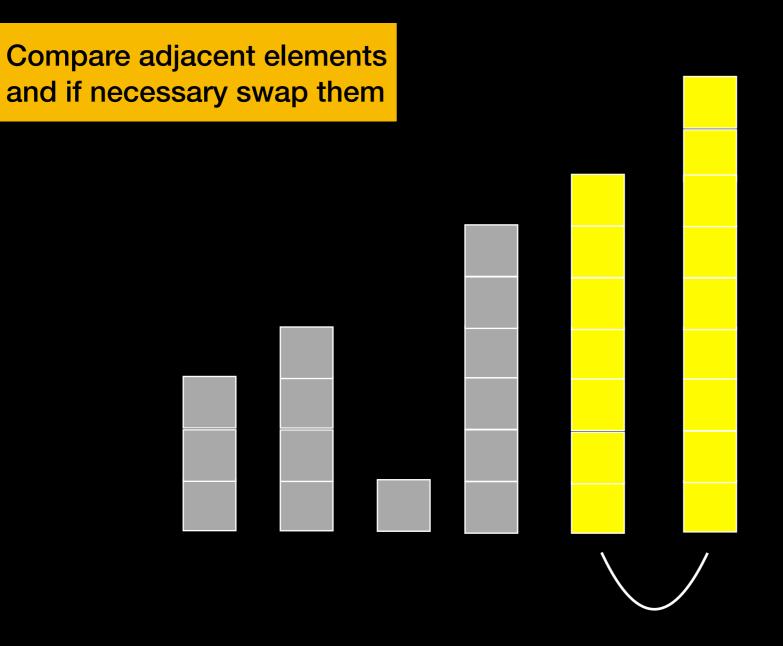






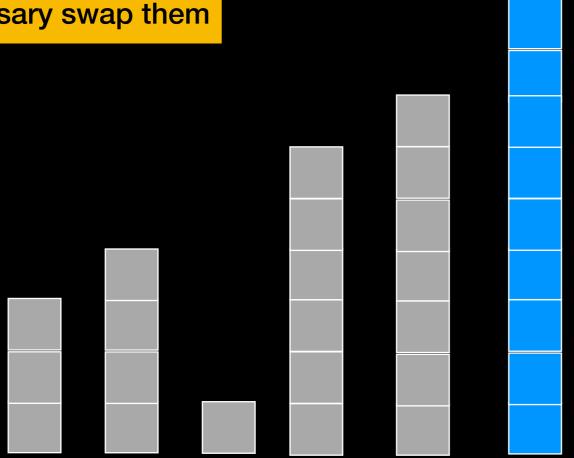
Sorted

1st Pass



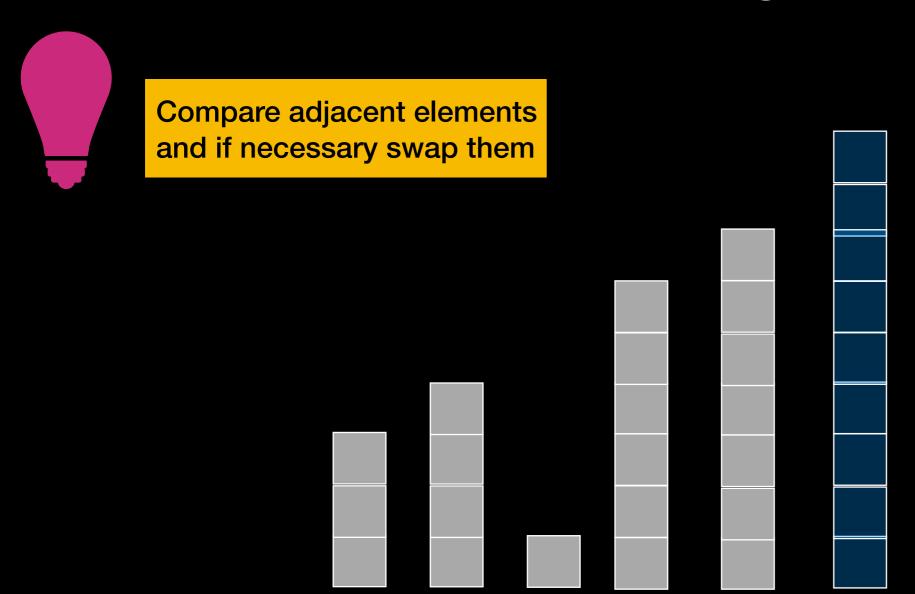


Compare adjacent elements and if necessary swap them



End of1st Pass:

Not sorted, but largest has "bubbled up" to its proper position



2nd Pass:

Sort **n-1**

... and so on

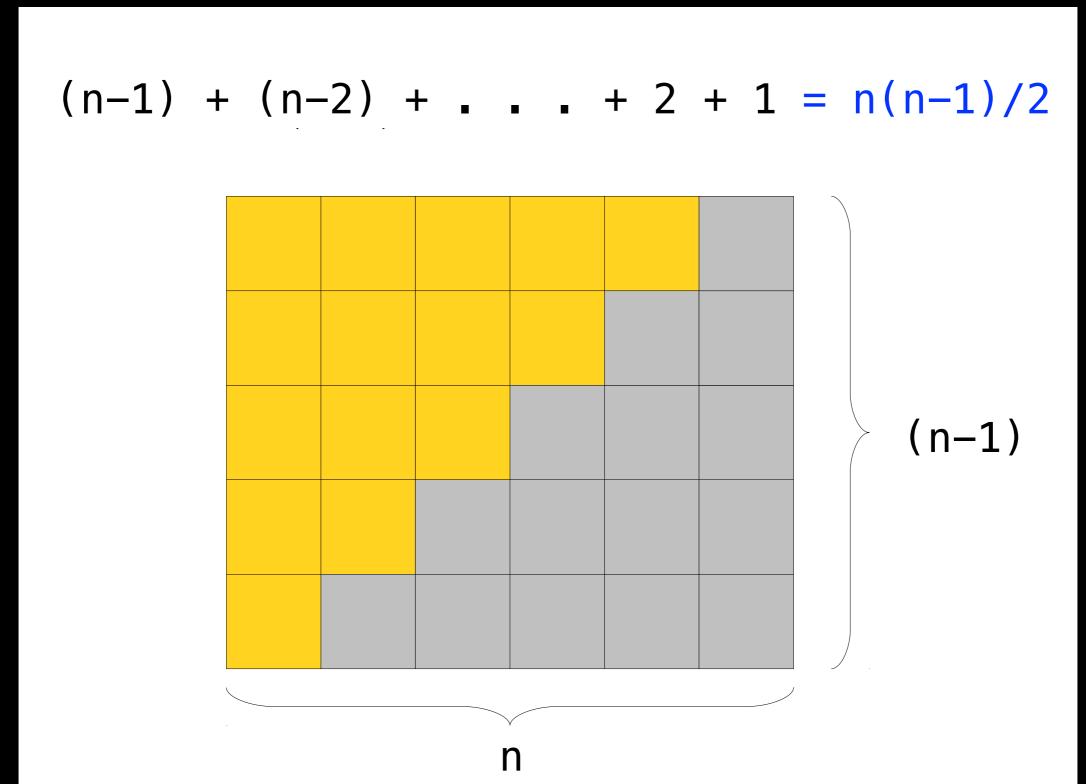
How much work?

First pass: n-1 comparisons and at most n-1 swaps

Second pass: n-2 comparisons and at most n-2 swaps

Third pass: n-3 comparisons and at most n-3 swaps

Total work: (n-1) + (n-2) + ... + 1



T(n) = n(n-1) / 2 comparisons + n(n-1) / 2 swaps = <math>O()?

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O()$$
?

$$T(n) = n(n-1) / 2 comparisons + n(n-1) / 2 swaps = $O()$?$$

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$$T(n) = 2(n(n-1) / 2) = O()$$
?

$$T(n) = 2((n^2-n)/2) = O()$$
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A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O()$$
?

$$T(n) = 2((n^2-n)/2) = O()$$
?

$$T(n) = n^2 - n = O()$$
?

Ignore non-dominant terms

$$T(n) = n(n-1) / 2 comparisons + n(n-1) / 2 swaps = $O()$?$$

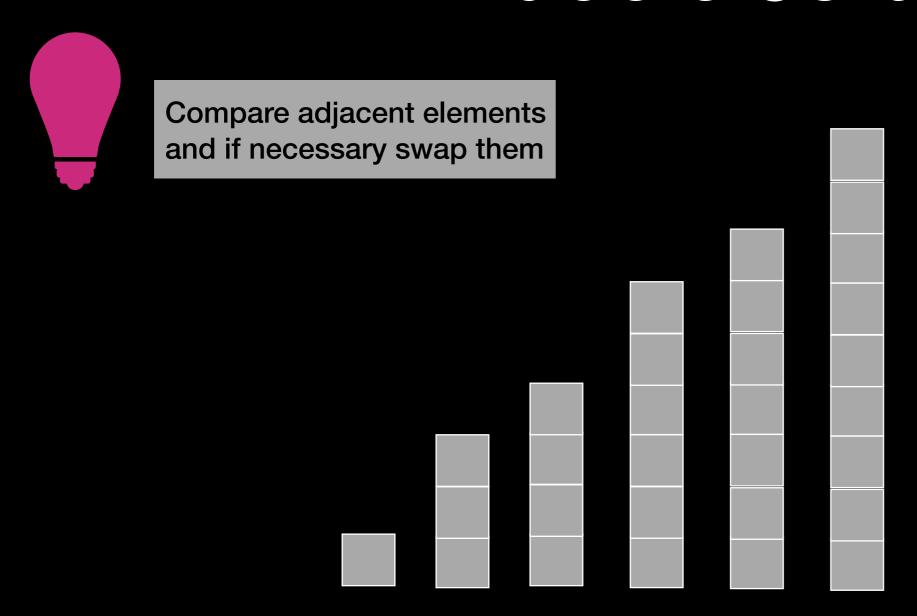
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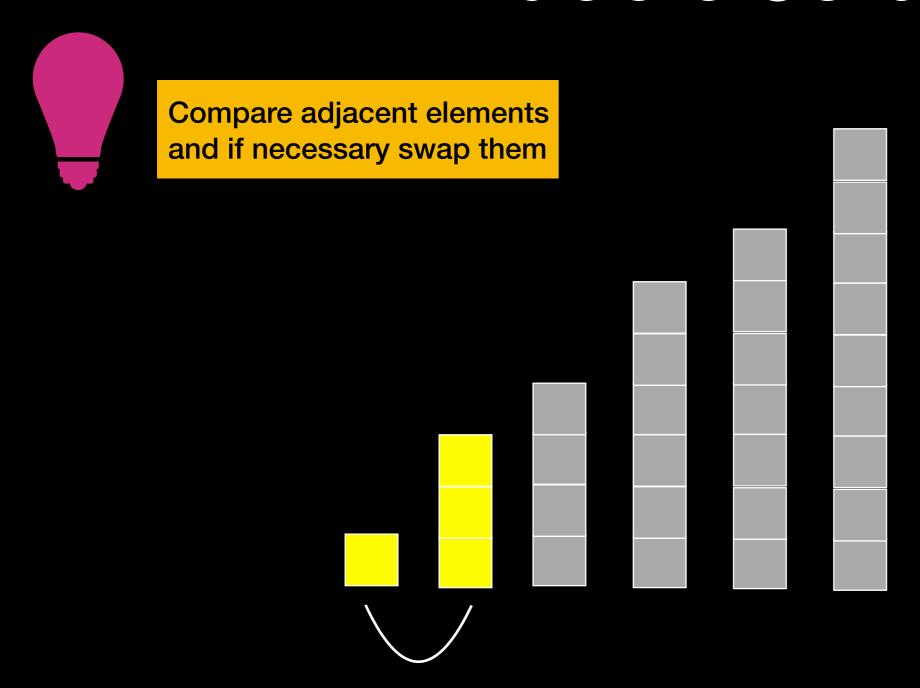
$$T(n) = 2(n(n-1) / 2) = O()?$$

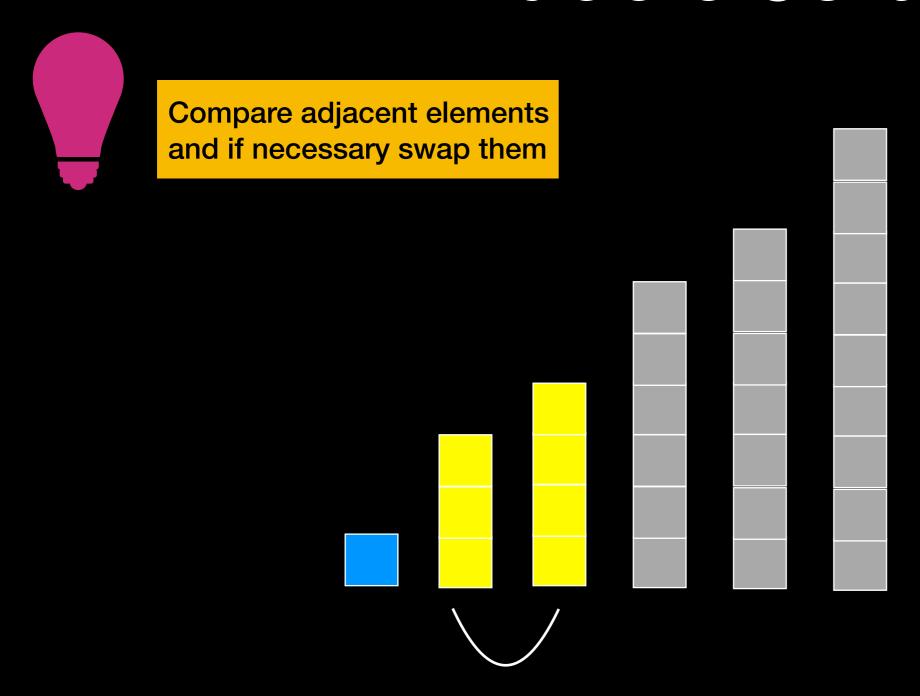
$$T(n) = 2((n^2-n)/2) = O()?$$

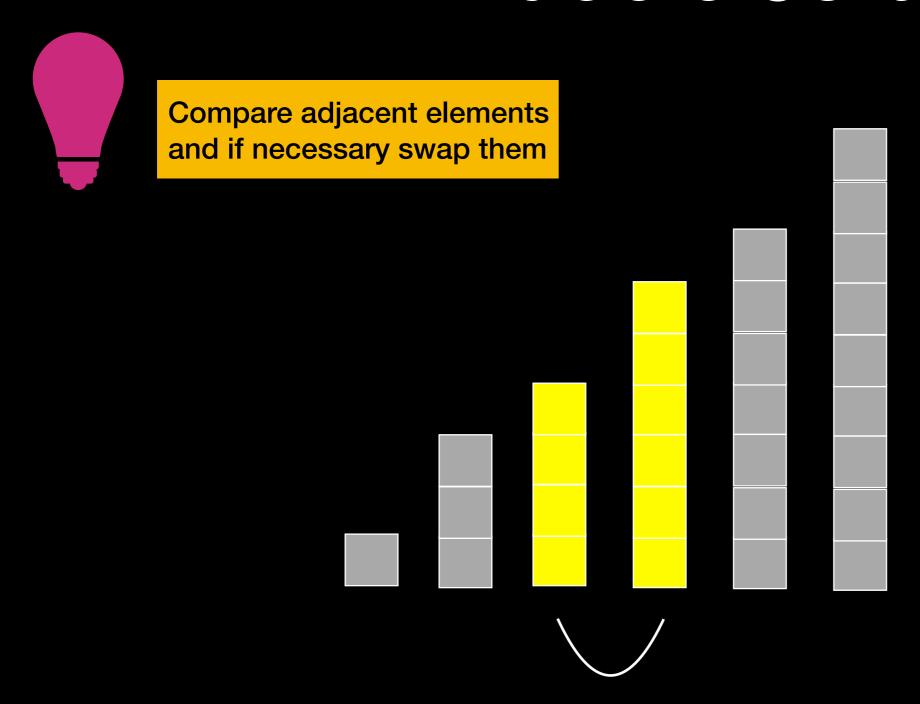
$$T(n) = n^2 - n = O(n^2)$$

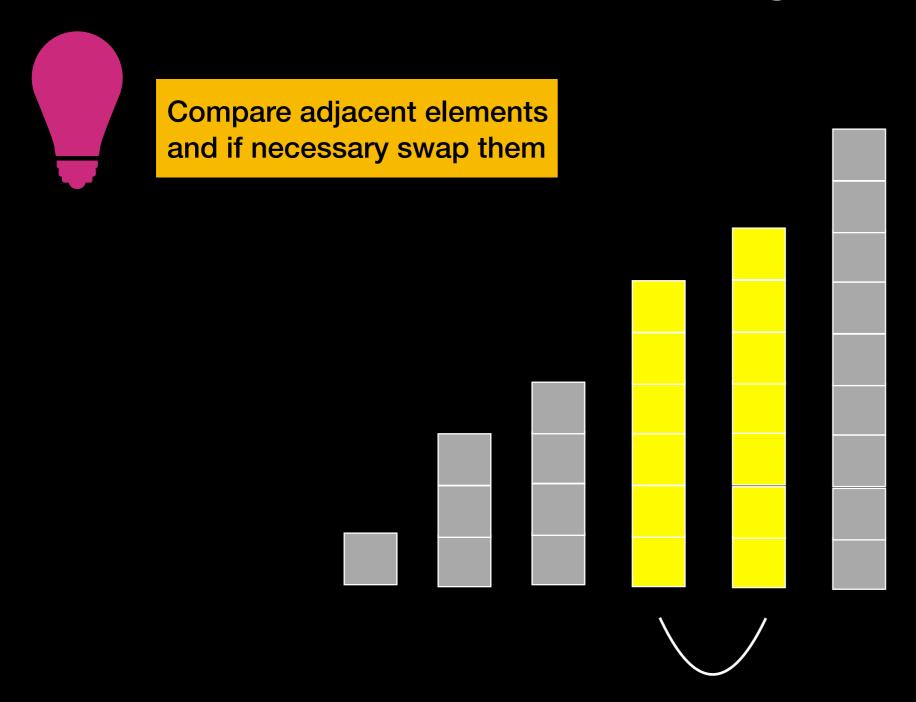
Bubble Sort run time is $O(n^2)$

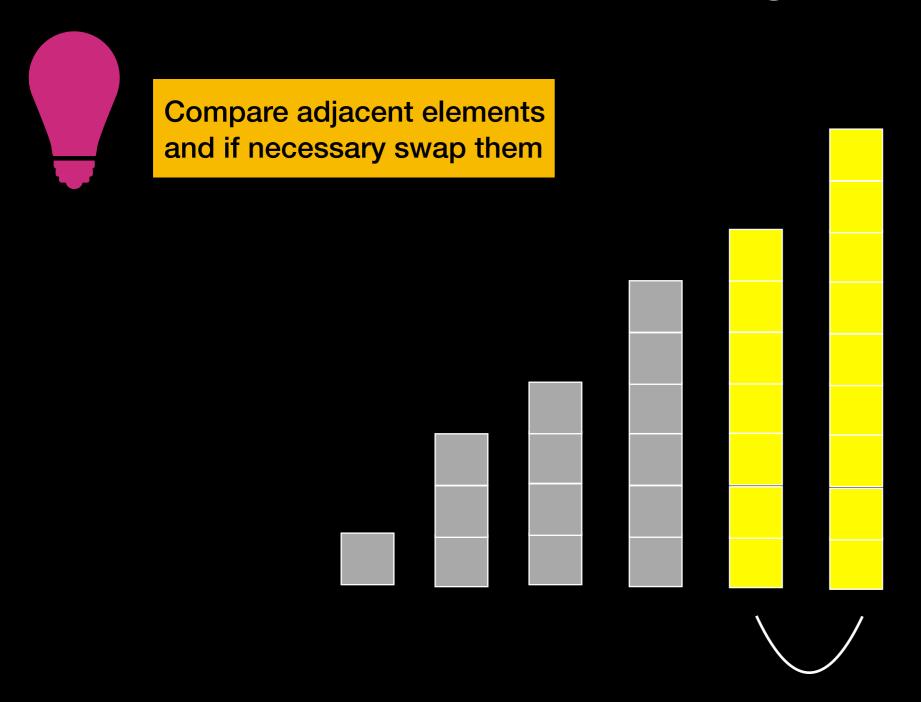


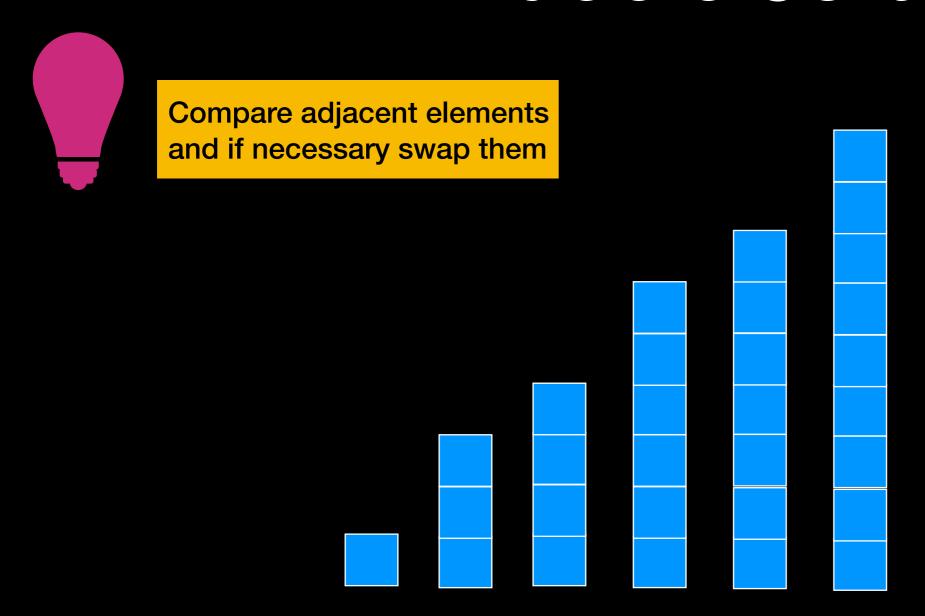












Execution time DOES depend on initial arrangement of data

O(n²) comparisons and data moves

 $\Omega(n)$

Stable

If array is already sorted bubble sort will stop after first pass and no swaps => good choice for small n and data likely somewhat sorted

https://www.youtube.com/watch?v=lyZQPjUT5B4

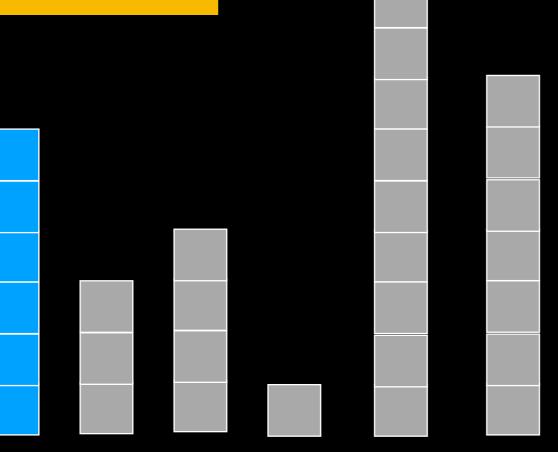






Sorted



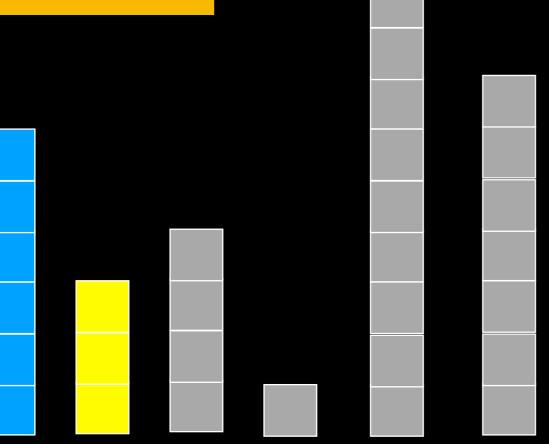






Sorted



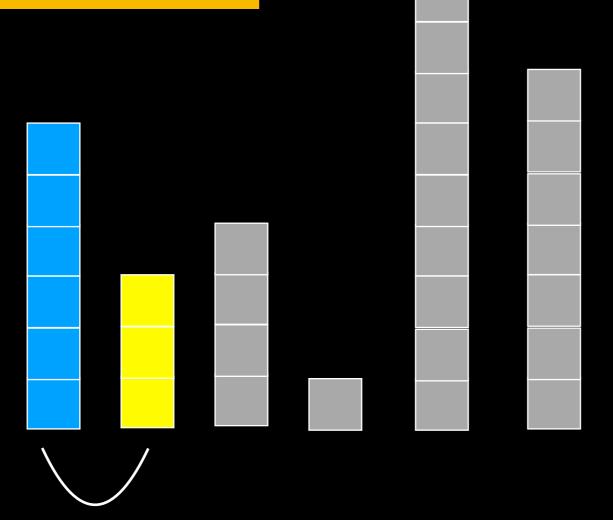






Sorted



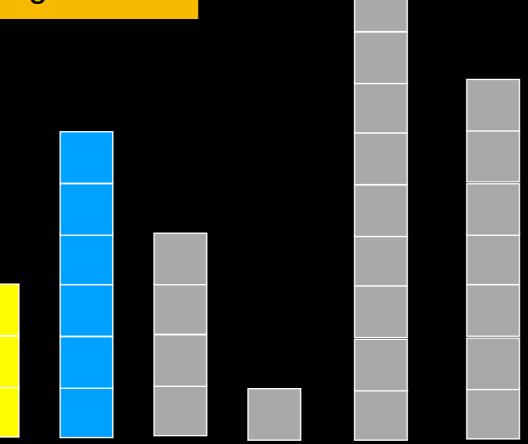






Sorted



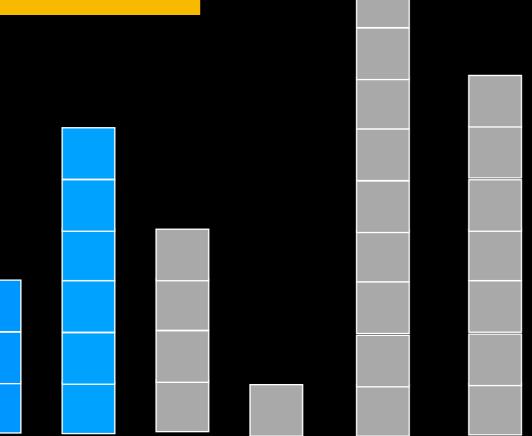






Sorted



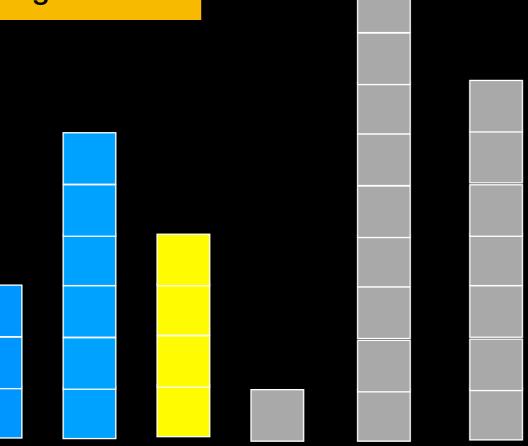






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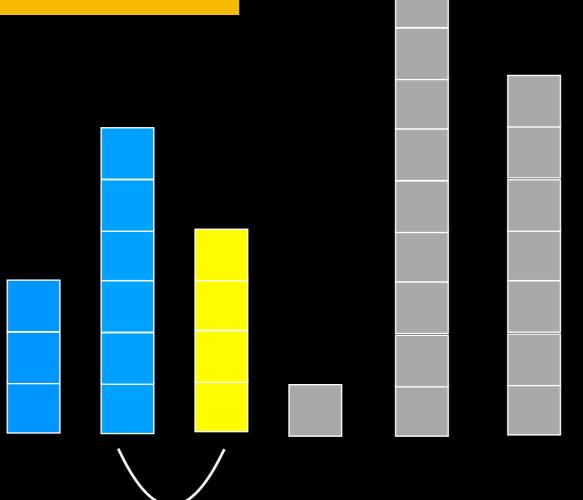






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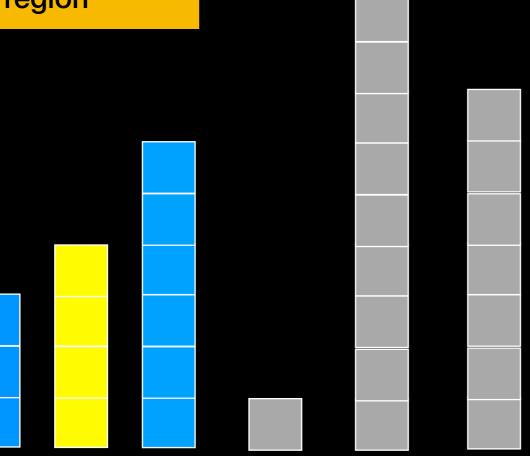






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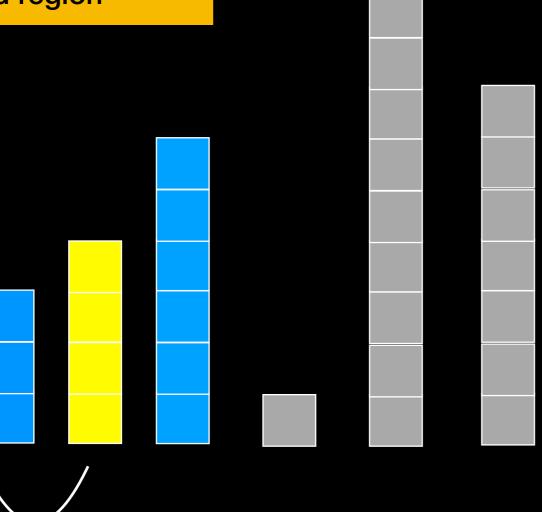






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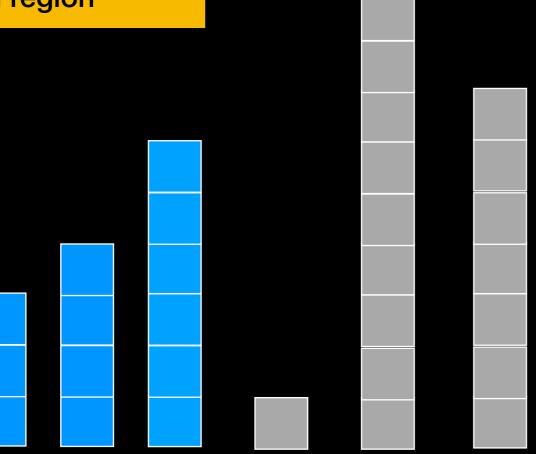






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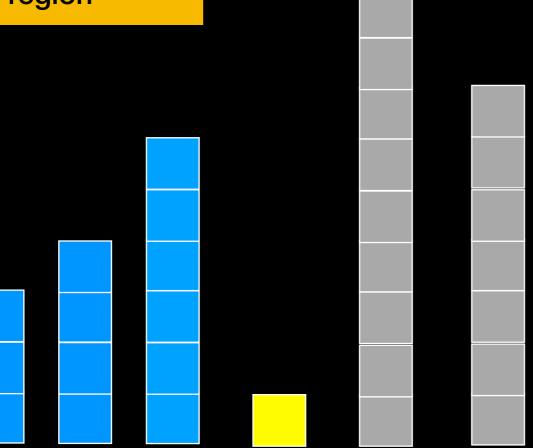






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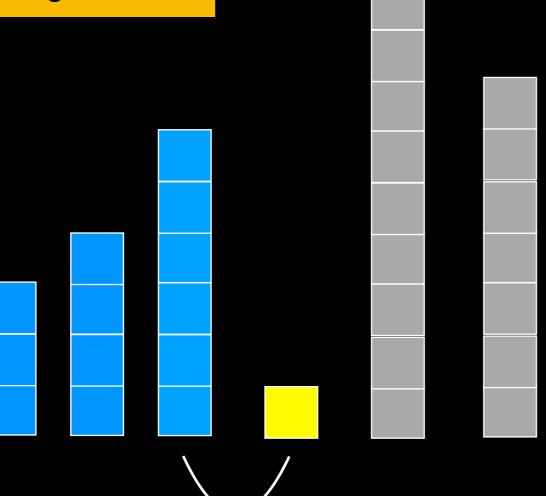






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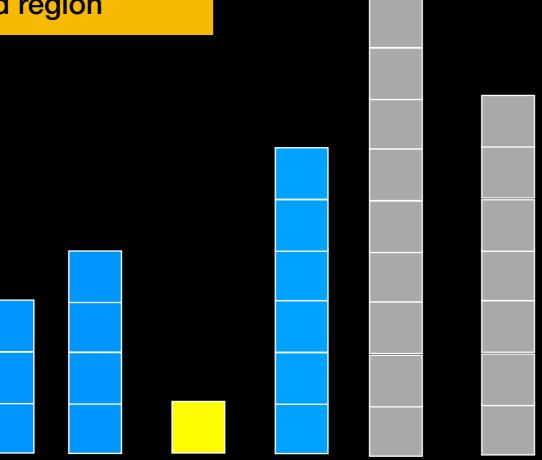






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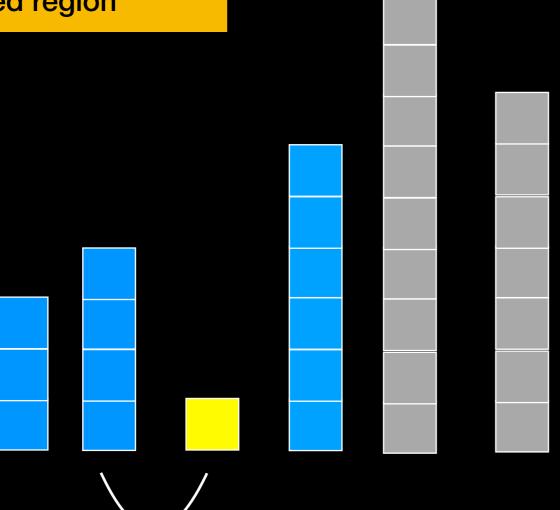






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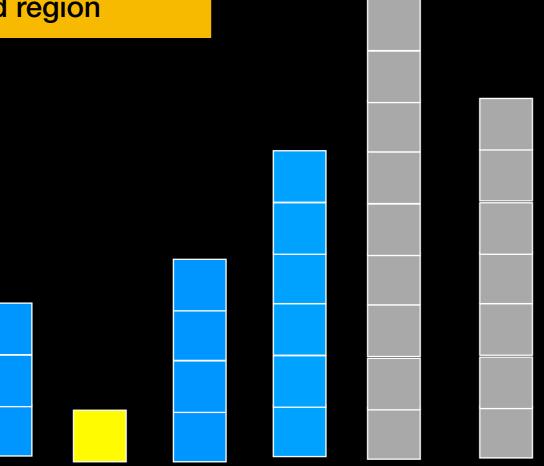






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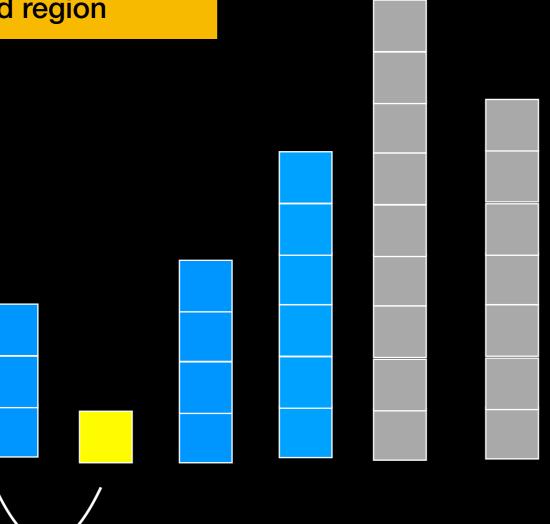






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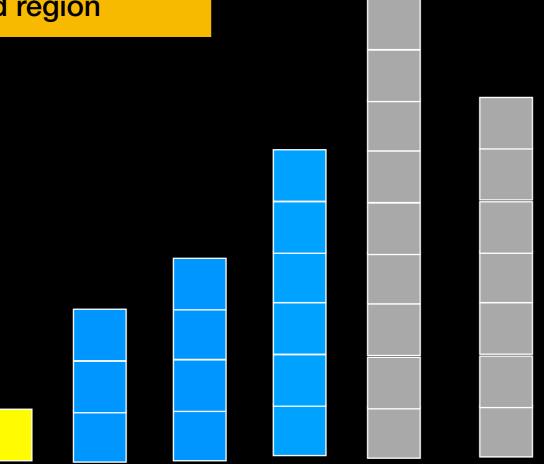






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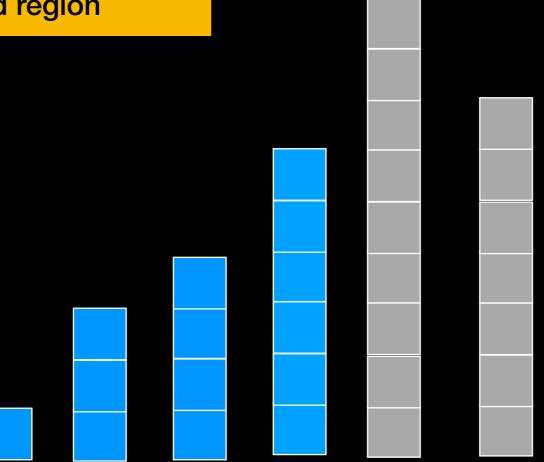






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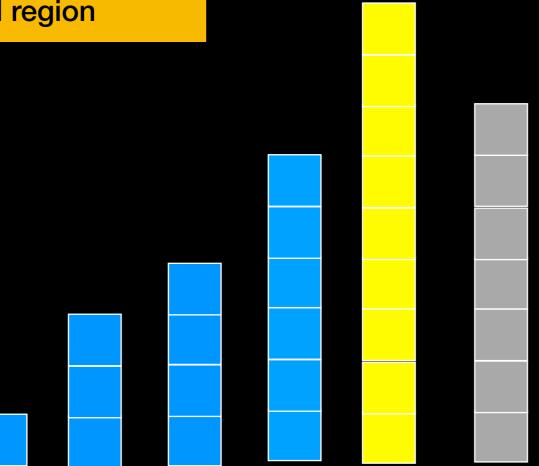






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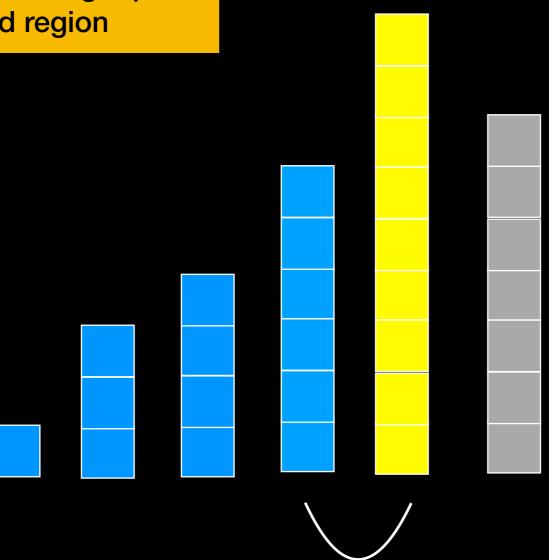






Sorted



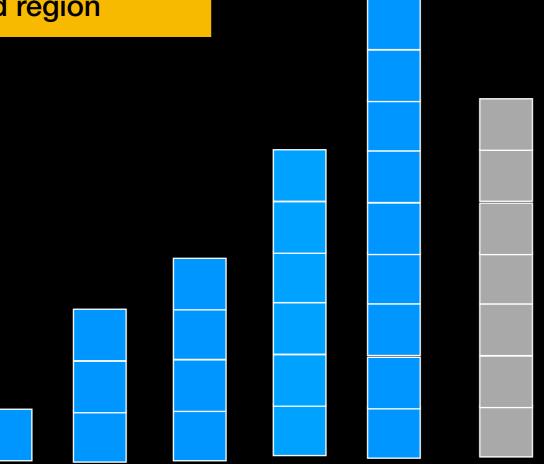






Sorted



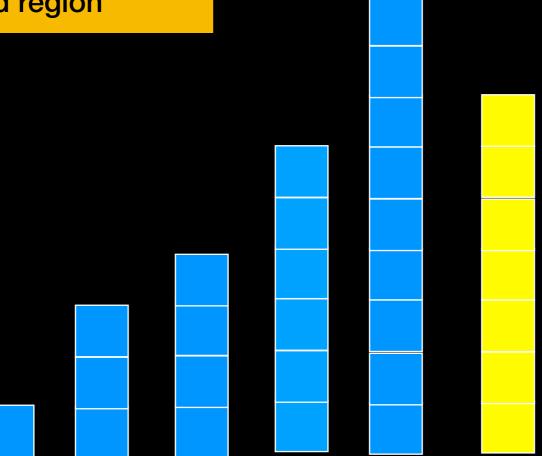






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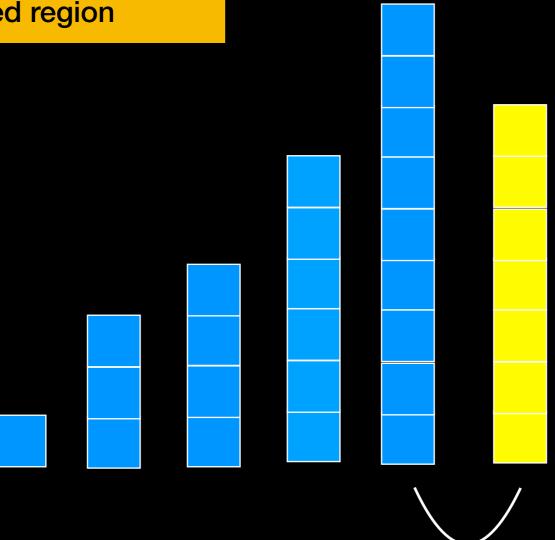






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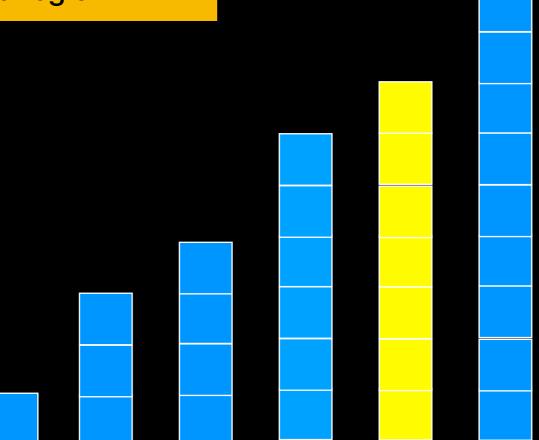






Sorted



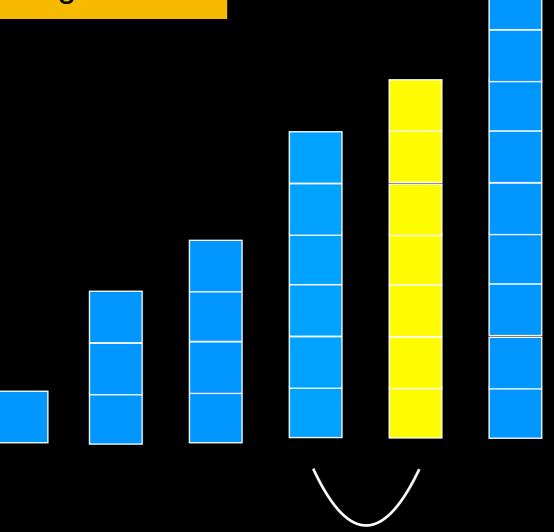






Sorted



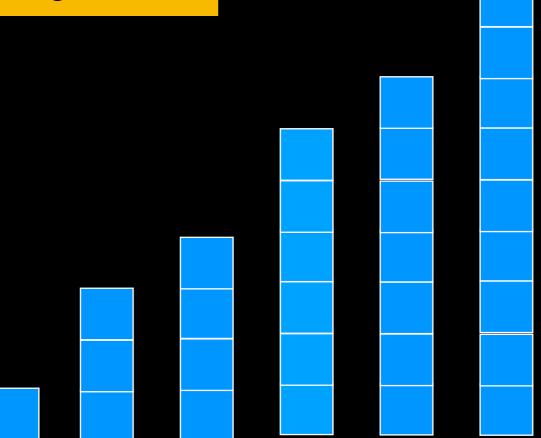






Sorted





Insertion Sort Analysis

How much work?

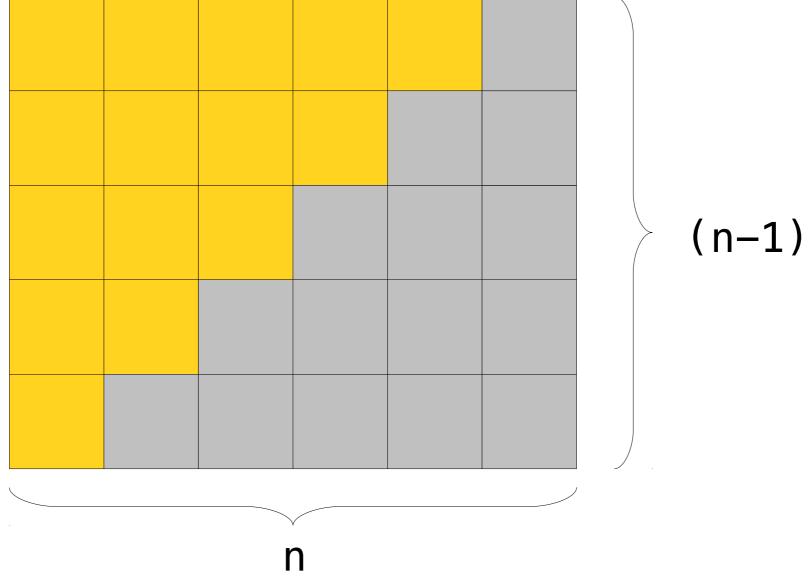
First pass: 1 comparison and at most 1 swaps

Second pass: at most 2 comparisons and at most 2 swaps

Third pass: at most 3 comparisons and at most 3 swaps

Total work: 1 + 2 + 3 + ... + (n-1)

$$1 + 2 + . . . (n-2) + (n-1) = n(n-1)/2$$



Insertion Sort Analysis

$$T(n) = n(n-1) / 2 comparisons + n(n-1) / 2 swaps = $O()$?$$

$$T(n) = 2((n^2-n)/2) = O()?$$

$$T(n) = n^2 - n = O(n^2)$$

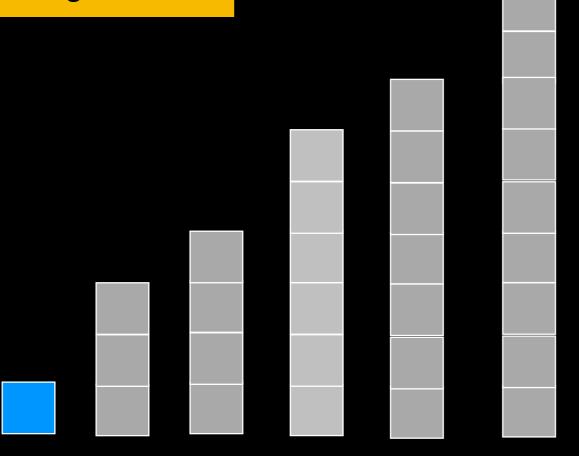
Insertion Sort run time is O(n²)





Sorted



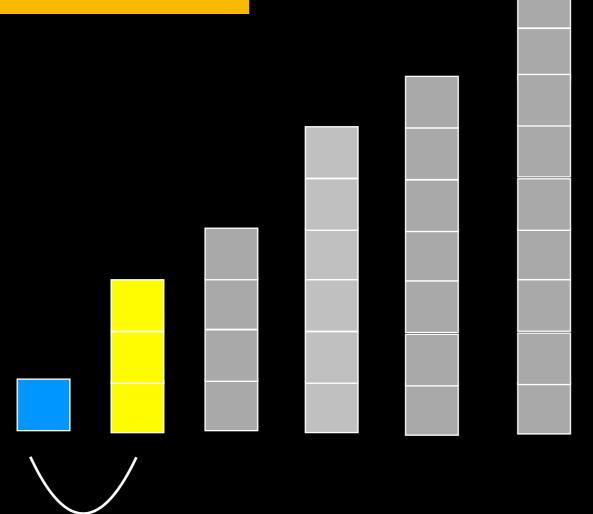






Sorted



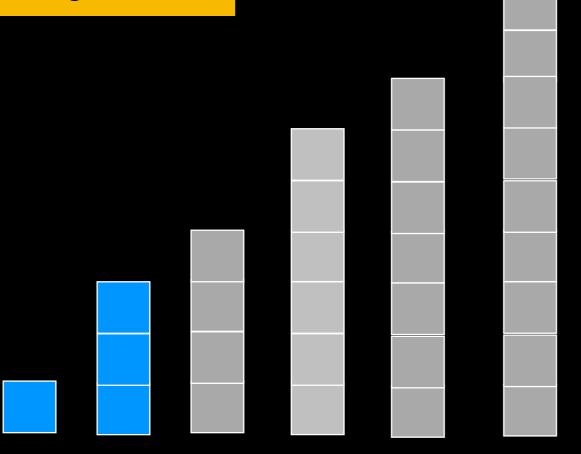






Sorted



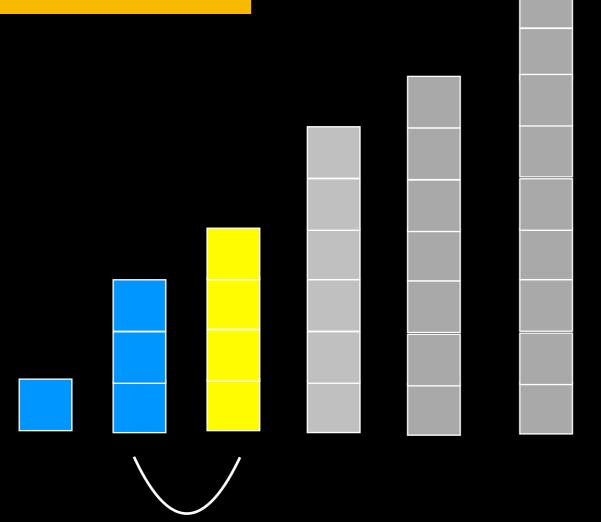






Sorted



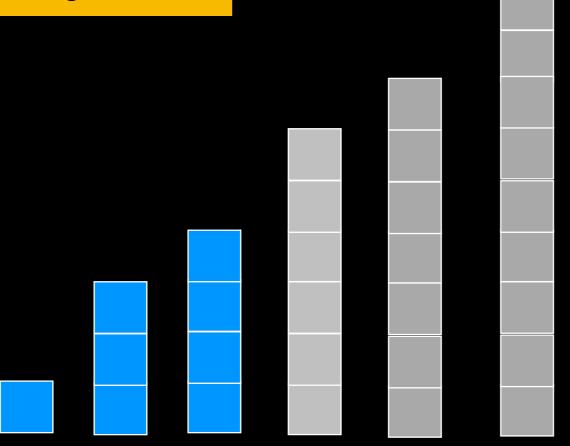






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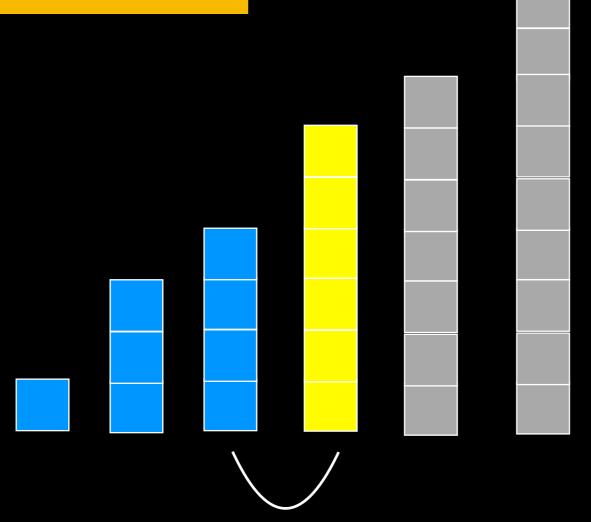






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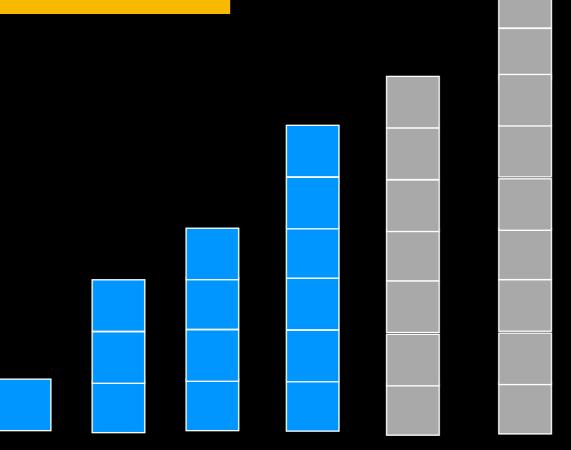






Sorted



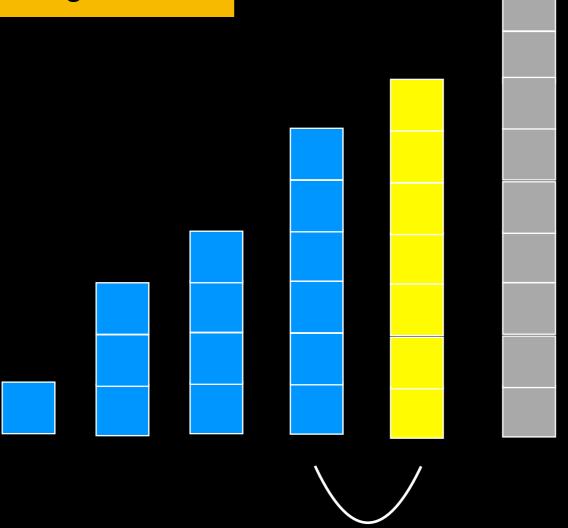






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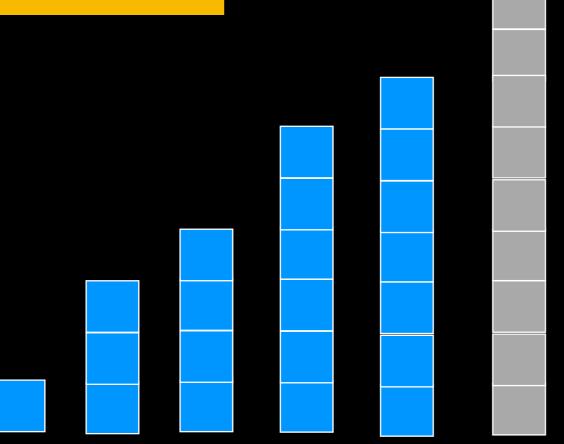






Sorted



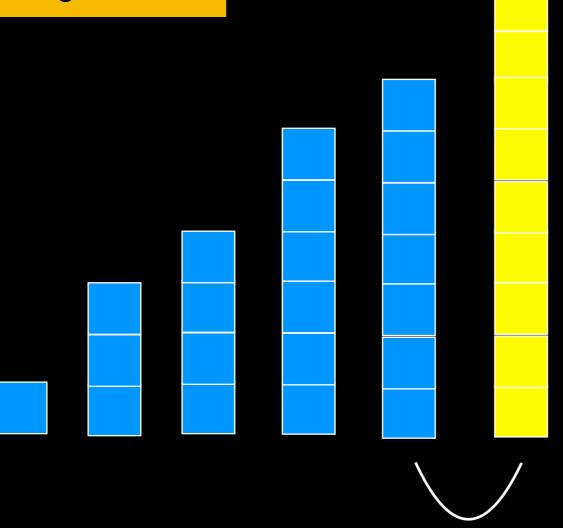






Sorted



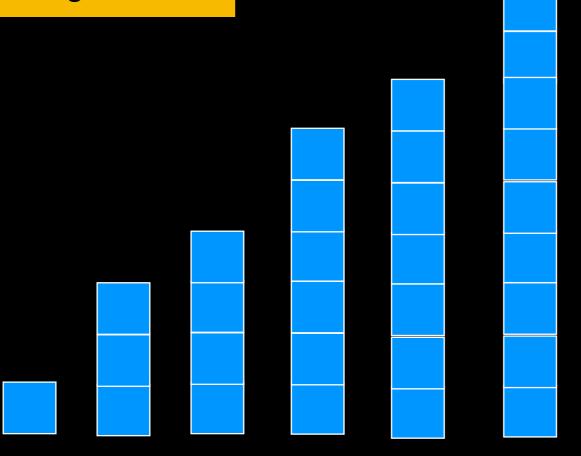






Sorted





Insertion Sort Analysis

Execution time DOES depend on initial arrangement of data

O(n²) comparisons and data moves

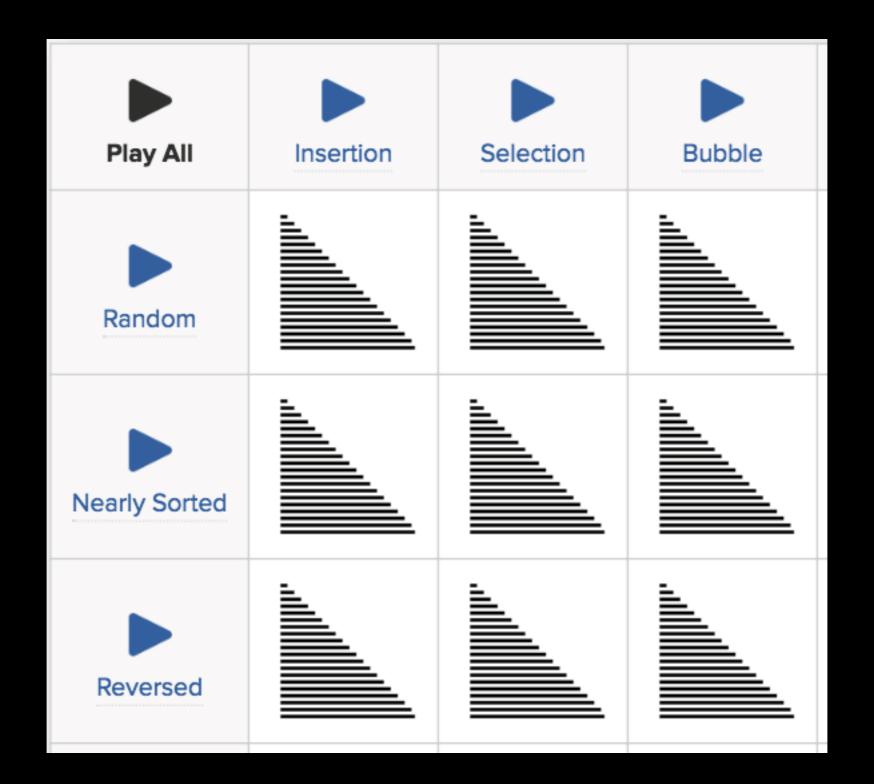
 $\Omega(n)$

Stable

If array is already sorted Insertion sort will do only n comparisons and no swaps => good choice for small n and data likely somewhat sorted

What we have so far

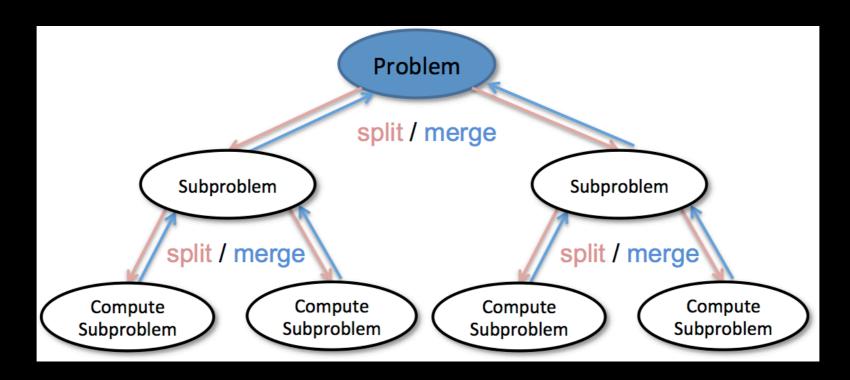
| | 0 | Ω |
|----------------|---------------------|--------------------|
| Selection Sort | O(n ²) | Ω (n^2) |
| Bubble Sort | O(n ²) | Ω (n) |
| Insertion Sort | O(n ²) | Ω (n) |



Can we do better?

Can we do better?

Divide and Conquer!!!



| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| | | | | | | | | | | | | | | | | | |

T(n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| | | | | | | | | | | | | | | | | | |

T(n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 |
|-----|----|---|----|-----|-----|-----|-----|----|
|-----|----|---|----|-----|-----|-----|-----|----|

| 195 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|---------|-----|---|-----|----|----|-----|---|
|---------|-----|---|-----|----|----|-----|---|

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| | | | | | | | | | | | | | | | | | |

T(n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 |
|-----|----|---|----|-----|-----|-----|-----|----|
|-----|----|---|----|-----|-----|-----|-----|----|

| 195 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|---------|-----|---|-----|----|----|-----|---|
|---------|-----|---|-----|----|----|-----|---|

T(1/2n)

T(1/2n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| , | | | | | | | | | | | | | | | | | |

T(n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 |
|-----|----|---|----|-----|-----|-----|-----|----|
|-----|----|---|----|-----|-----|-----|-----|----|

| 195 599 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-------------|---|-----|----|----|-----|---|
|-------------|---|-----|----|----|-----|---|

T(1/2n)

T(1/2n)

 $(n/2)^2 = n^2/4$

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| , | | | | | | | | | | | | | | | | | |

T(n)

| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 |
|-----|----|---|----|-----|-----|-----|-----|----|
| | | | | | | | | |

$$T(^{1}/_{2}n)\approx ^{1}/_{4}T(n)$$

$$T(^{1}/_{2}n)\approx ^{1}/_{4}T(n)$$

$$(n/2)^2 = n^2/4$$

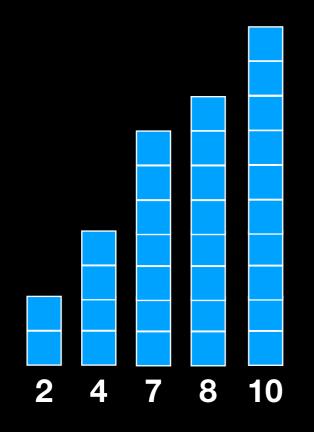
| 100 | 14 | 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|-----|----|---|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
| | | | | | | | | | | | | | | | | | |

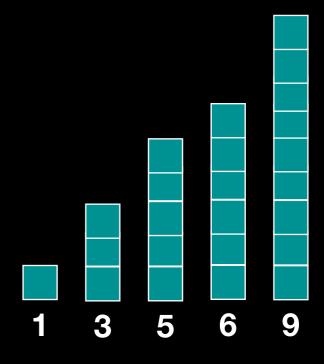
T(n)

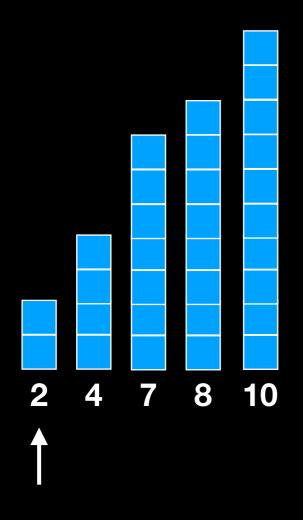
$$T(^{1}/_{2}n)\approx ^{1}/_{4}T(n)$$

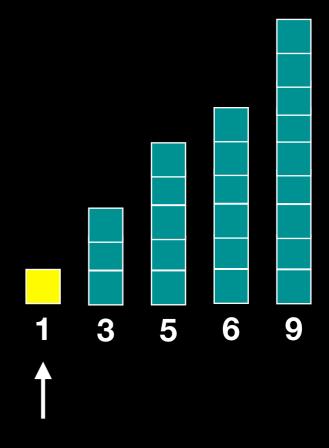
$$T(^{1}/_{2}n)\approx ^{1}/_{4}T(n)$$

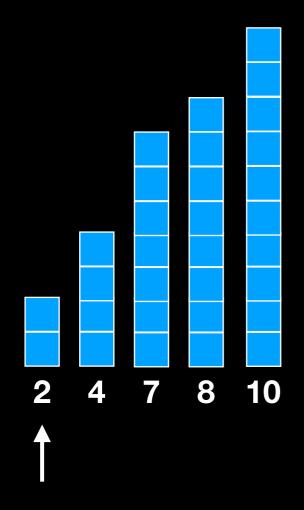
$$(n/2)^2 = n^2/4$$

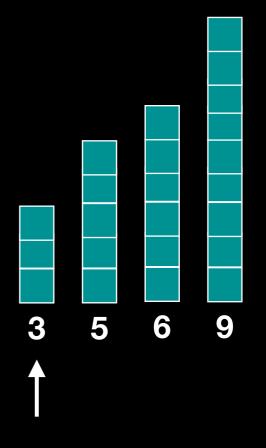




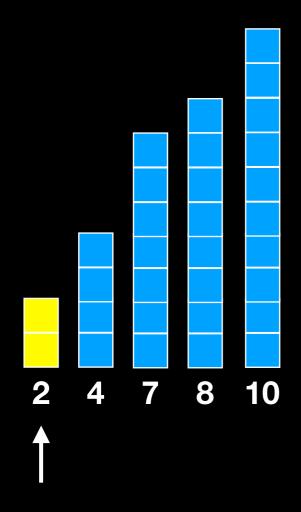


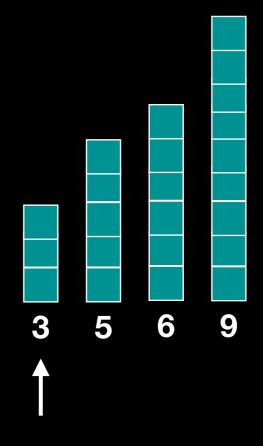




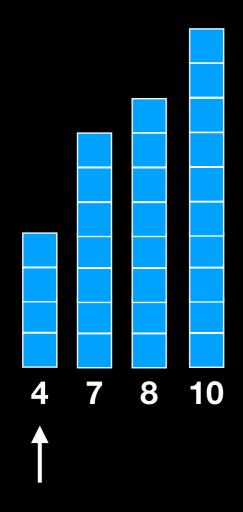


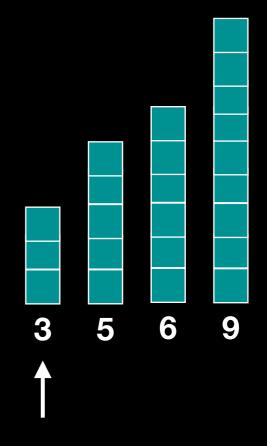




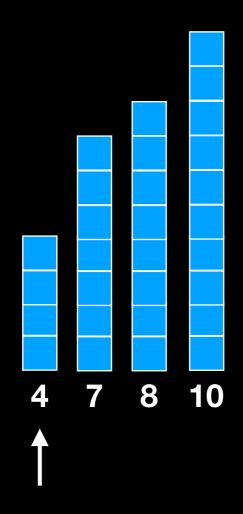


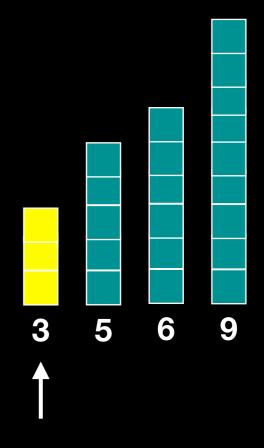




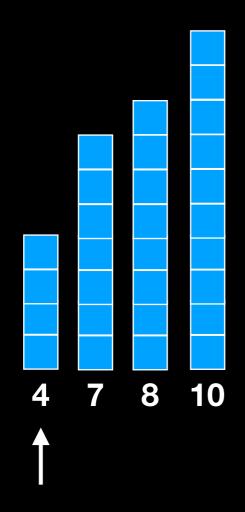


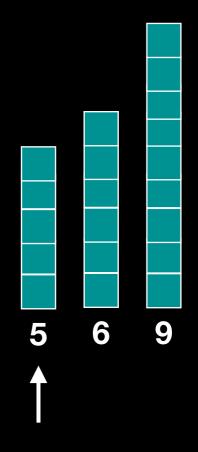


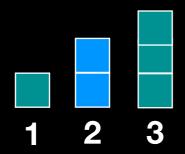


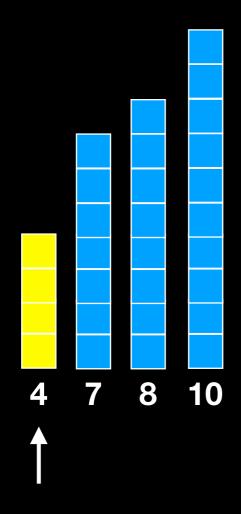


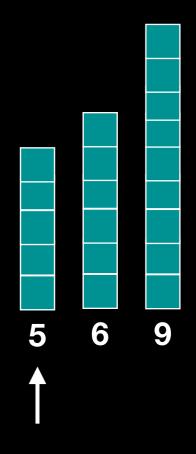


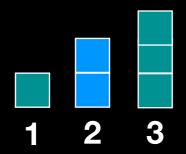


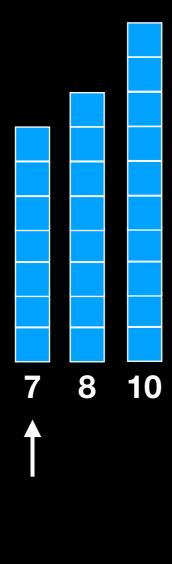


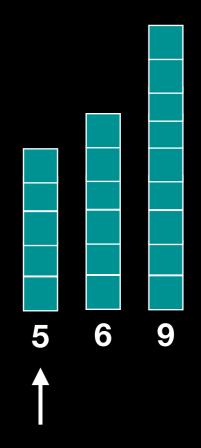


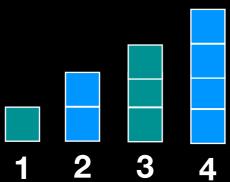


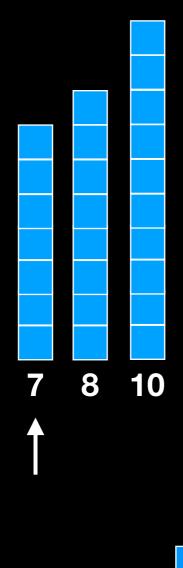


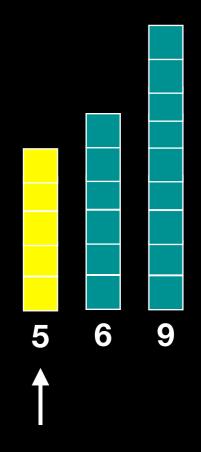


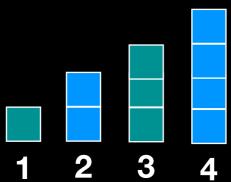


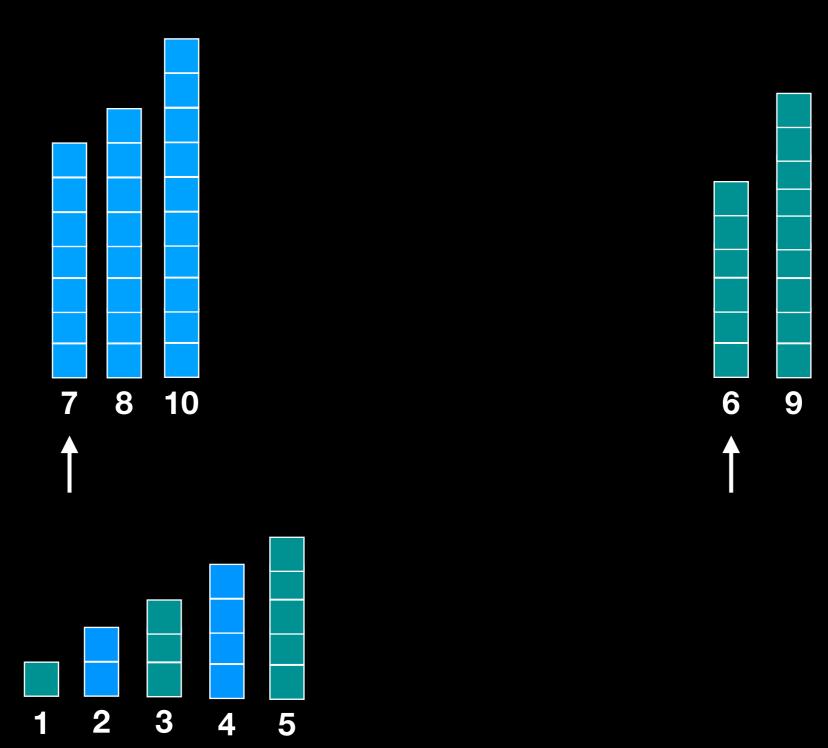


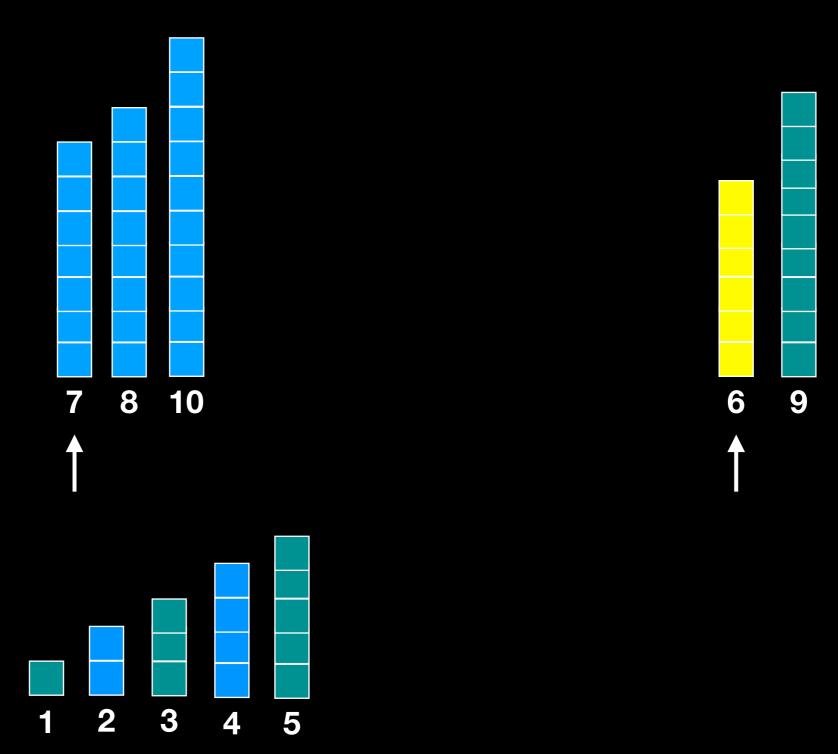


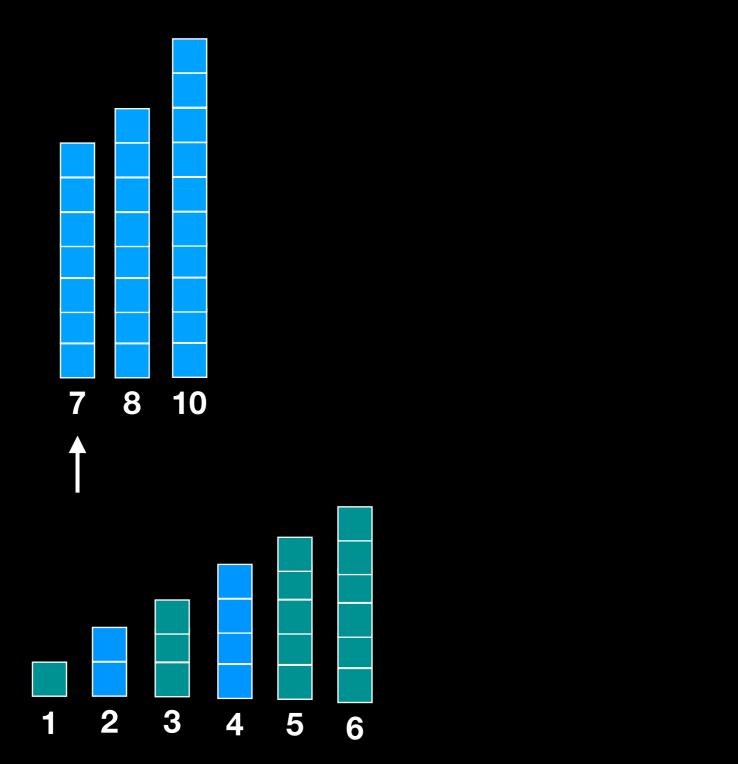


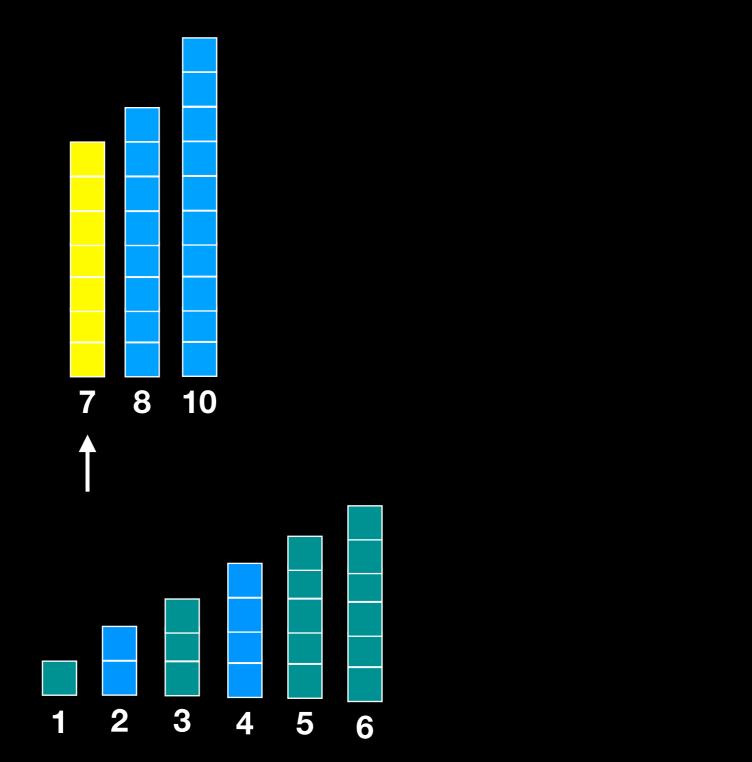


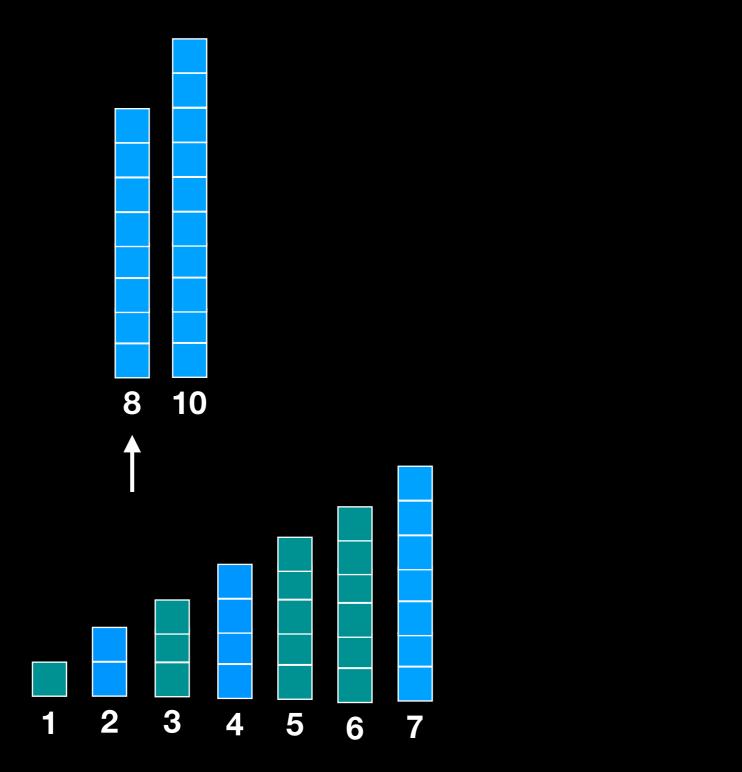


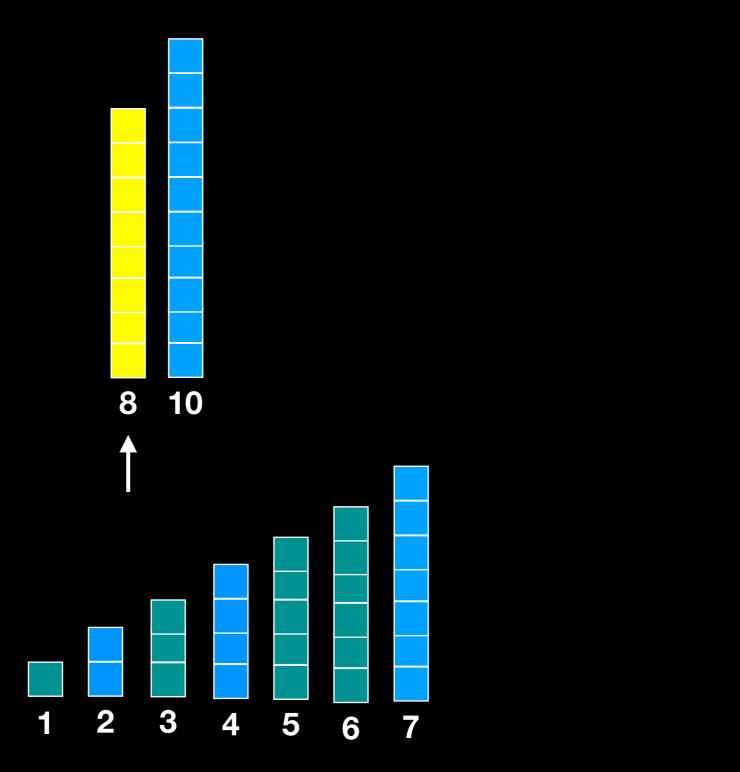


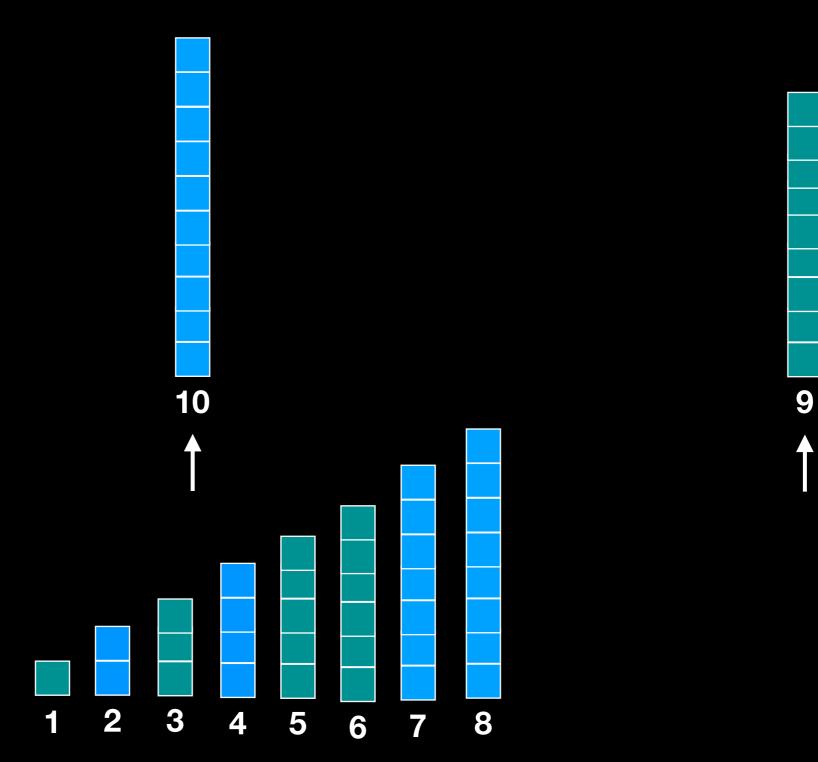


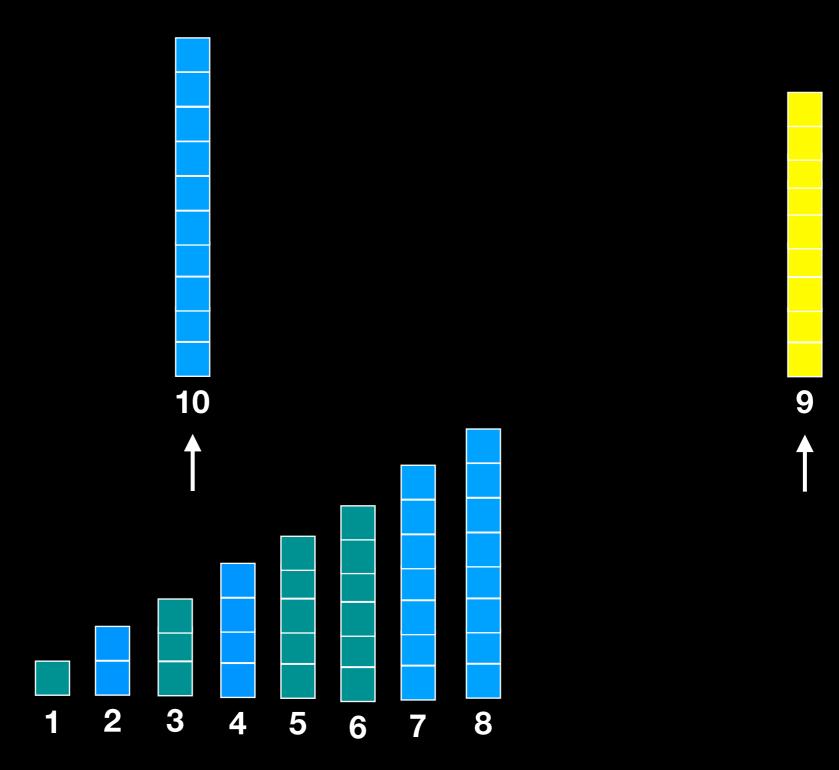


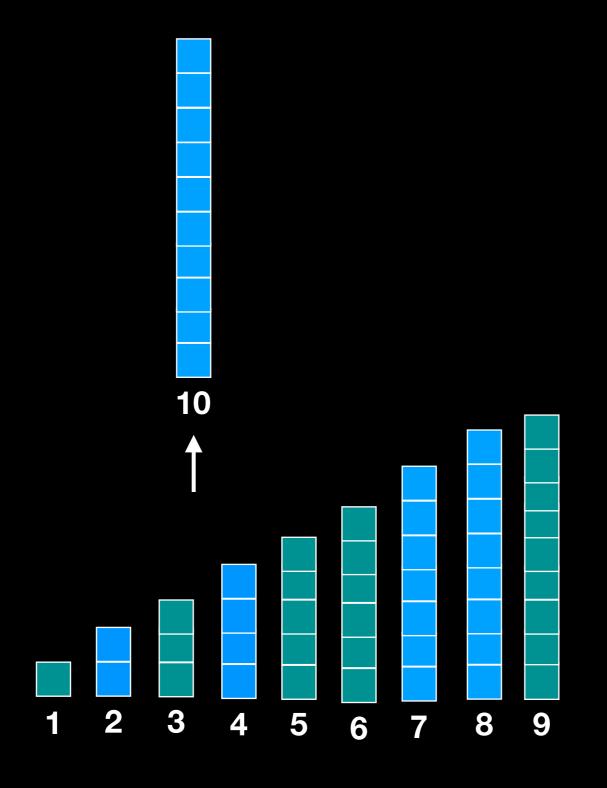


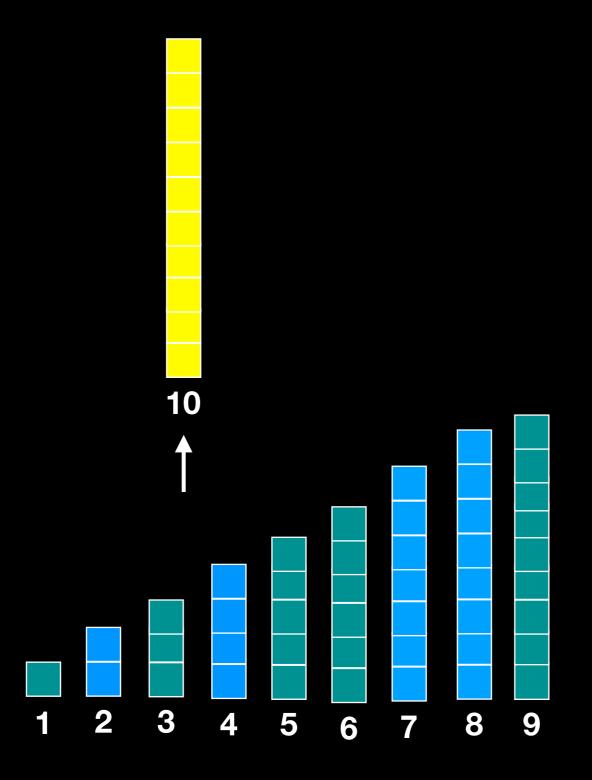


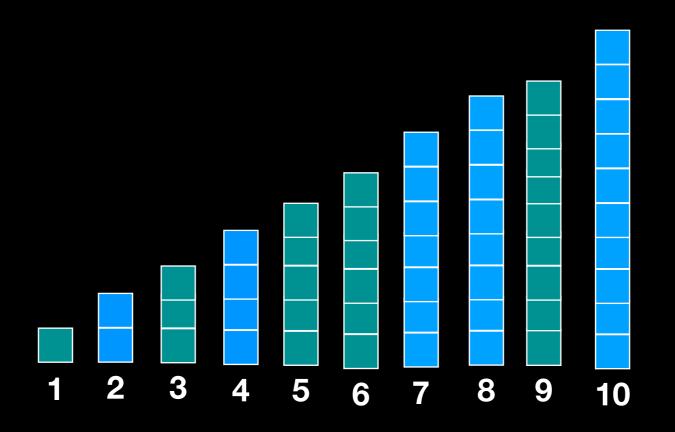






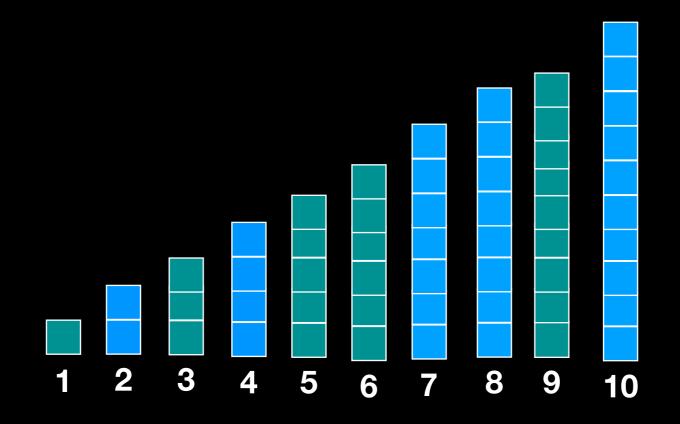






Each step makes one comparison and reduces the number of elements to be merged by 1.

If there are *n* total elements to be merged, merging is **O(n)**



| 100 14 3 43 200 274 523 108 76 195 599 158 2 260 11 64 932 | 100 1 | 14 3 | 43 | 200 | 274 | 523 | 108 | 76 | 195 | 599 | 158 | 2 | 260 | 11 | 64 | 932 | 5 |
|--|-------|------|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|
|--|-------|------|----|-----|-----|-----|-----|----|-----|-----|-----|---|-----|----|----|-----|---|

T(n)

| 11 64 158 195 260 599 932 |
|---|
|---|

$$T(^{1}/_{2}n)\approx ^{1}/_{4}T(n)$$

$$T(1/2n) \approx 1/4 T(n)$$



T(n)

$$T(1/2n) \approx 1/4 T(n)$$

$$T(1/2n) \approx 1/4 T(n)$$

$$T(n) \approx \frac{1}{2}T(n) + n$$

Speed up insertion sort by a factor of two by splitting in half, sorting separately and merging results!

Splitting in two gives 2x improvement.

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

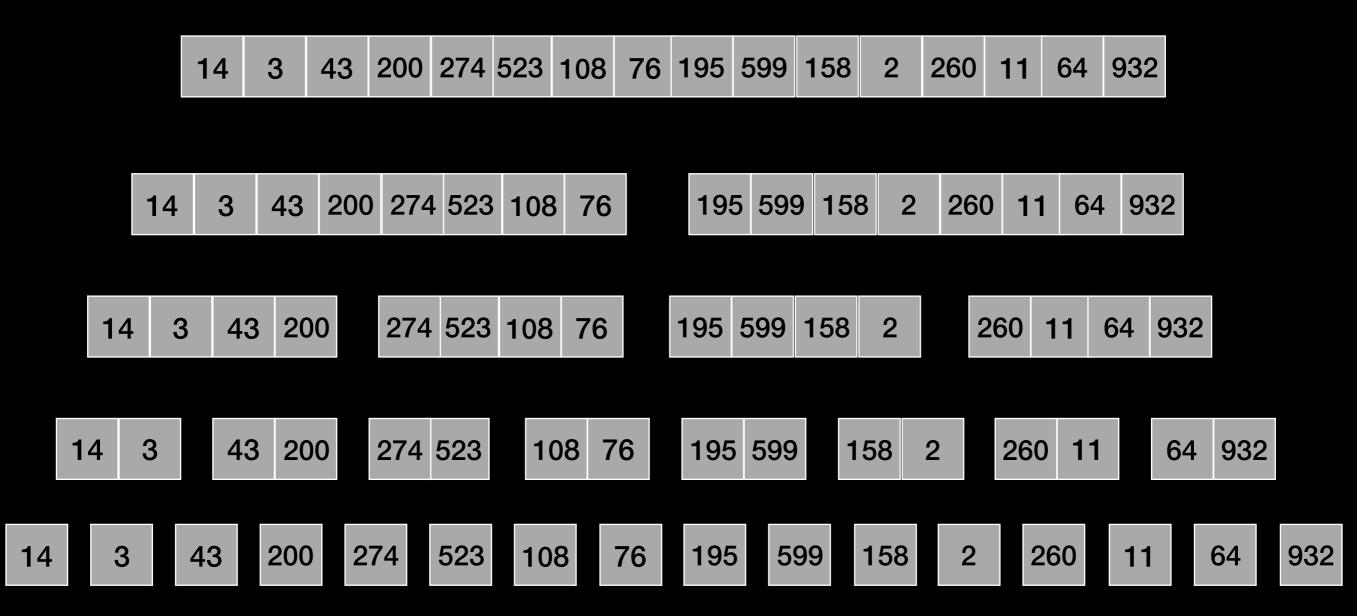
Splitting in eight gives 8x improvement.

Splitting in two gives 2x improvement.

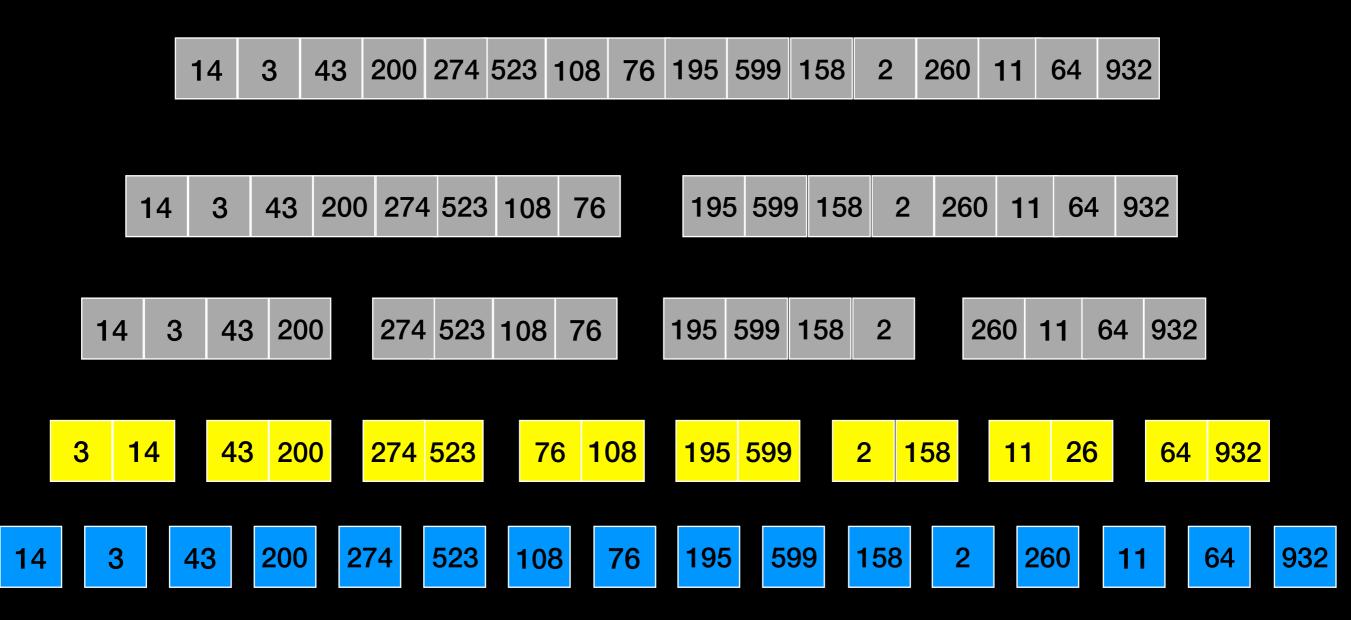
Splitting in four gives 4x improvement.

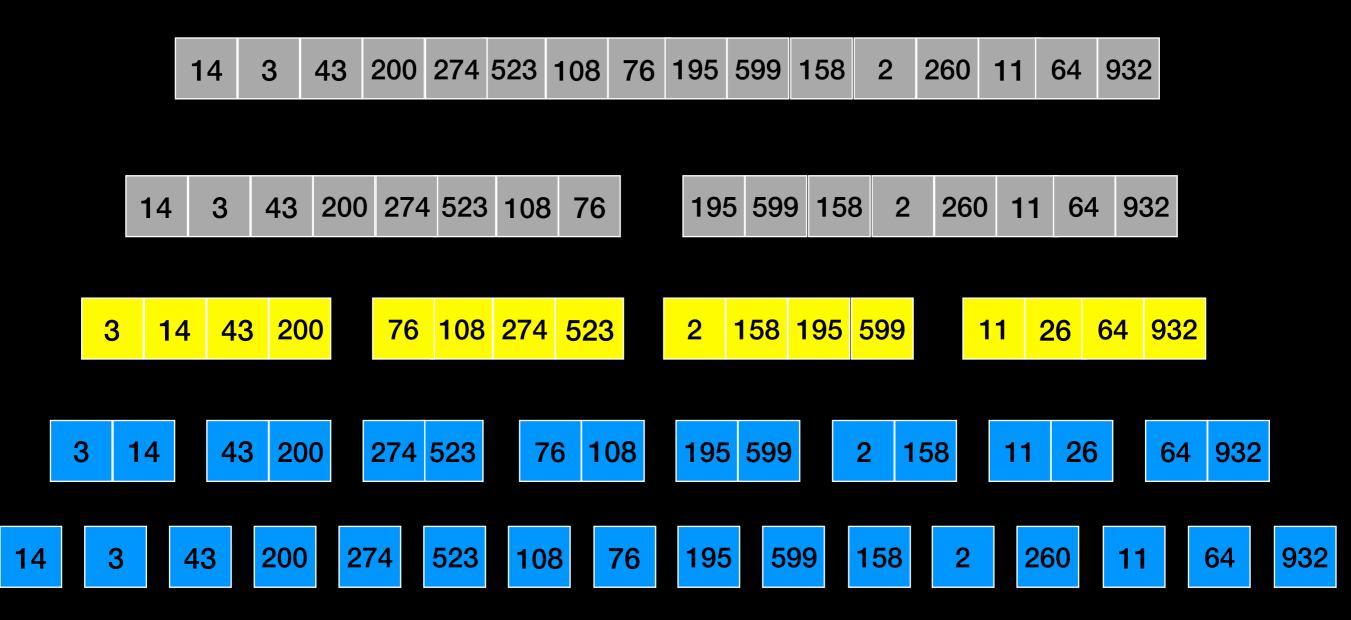
Splitting in eight gives 8x improvement.

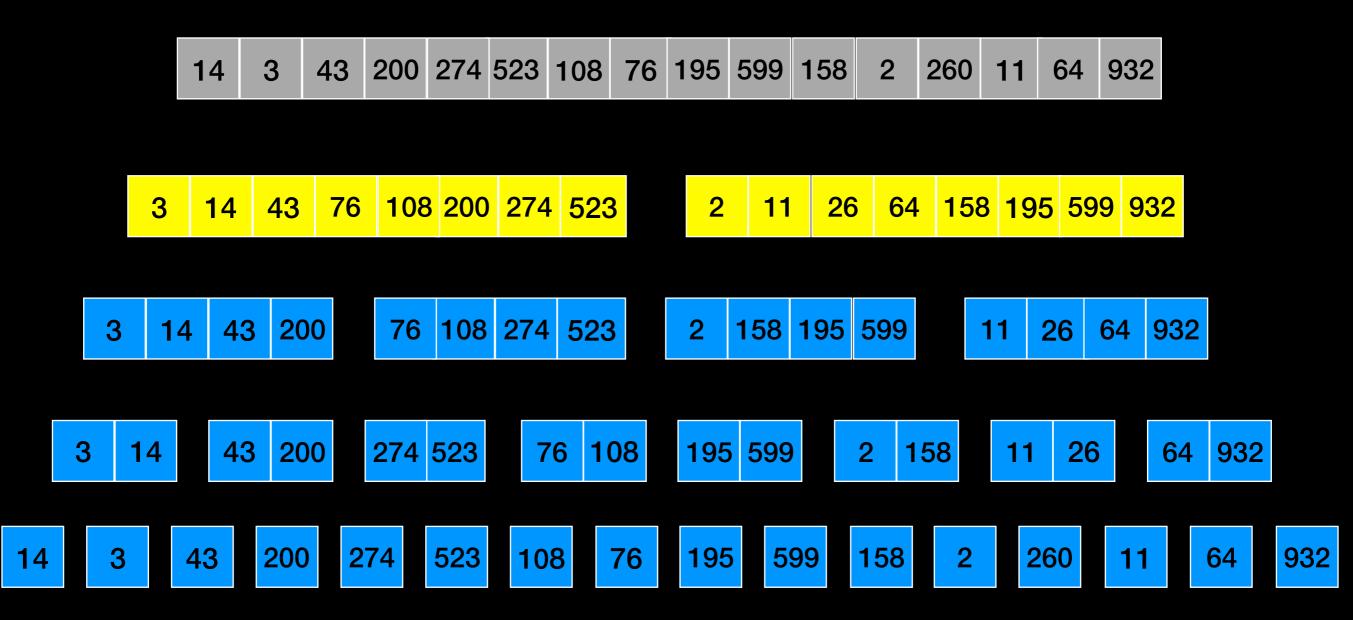
What if we never stop splitting?



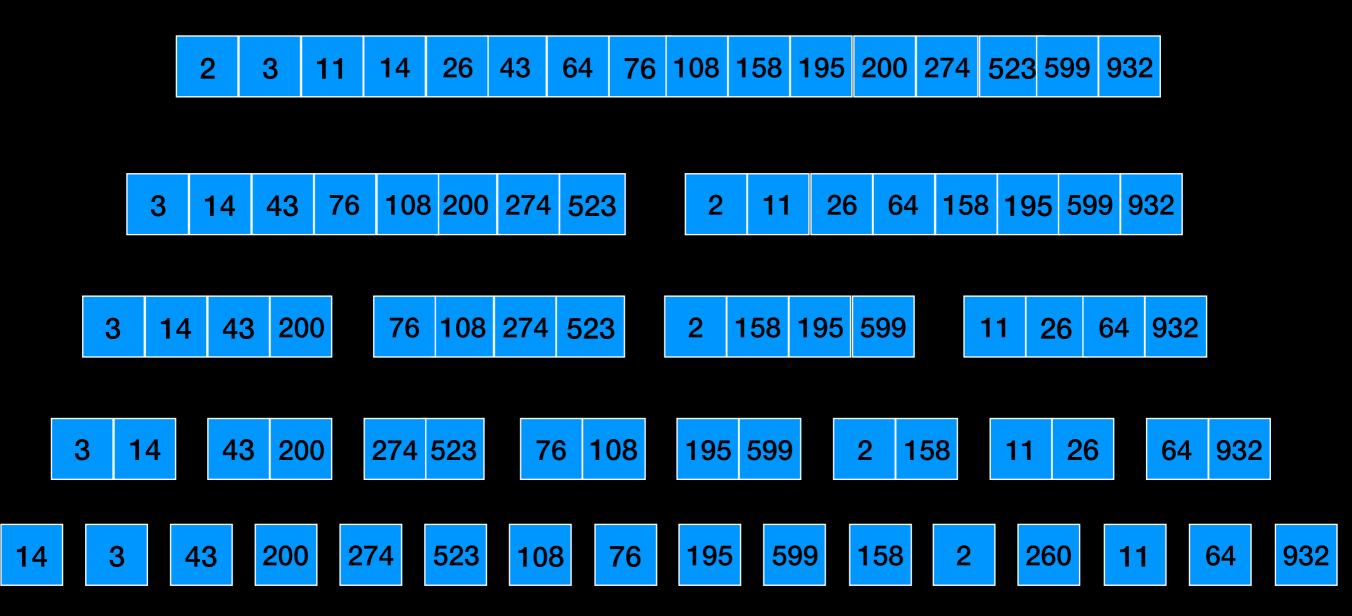


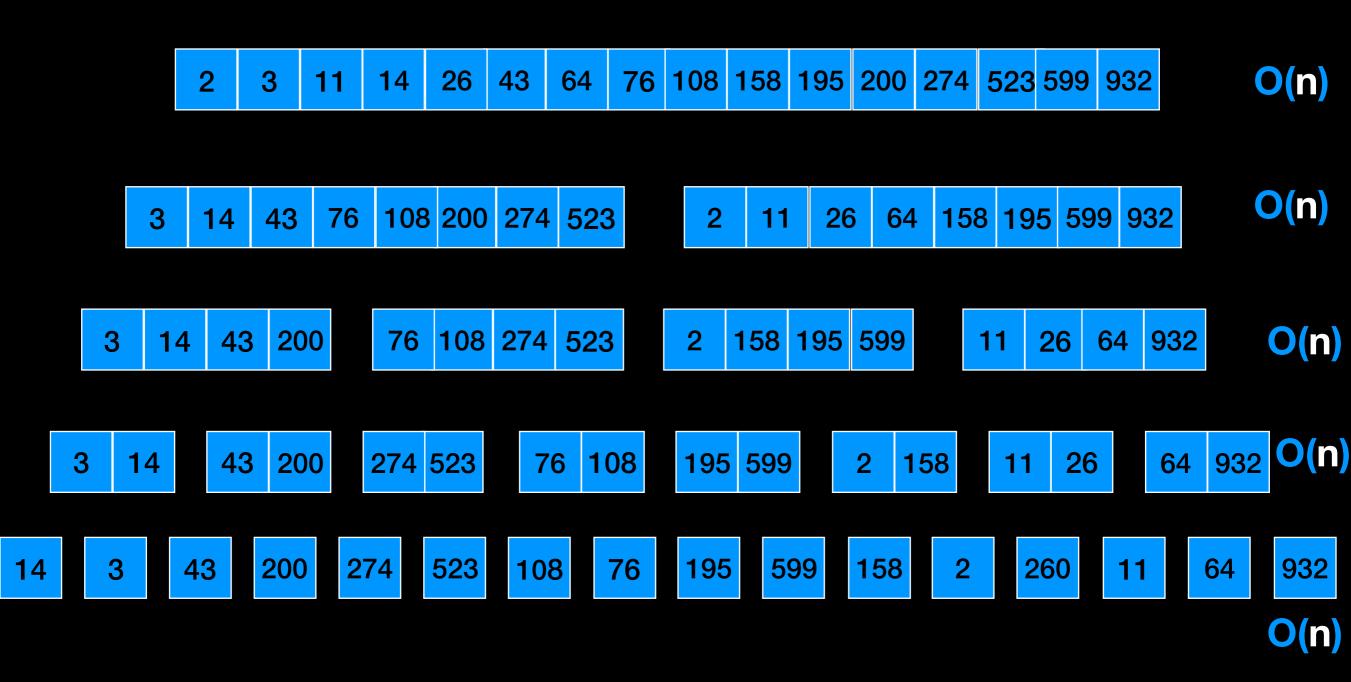


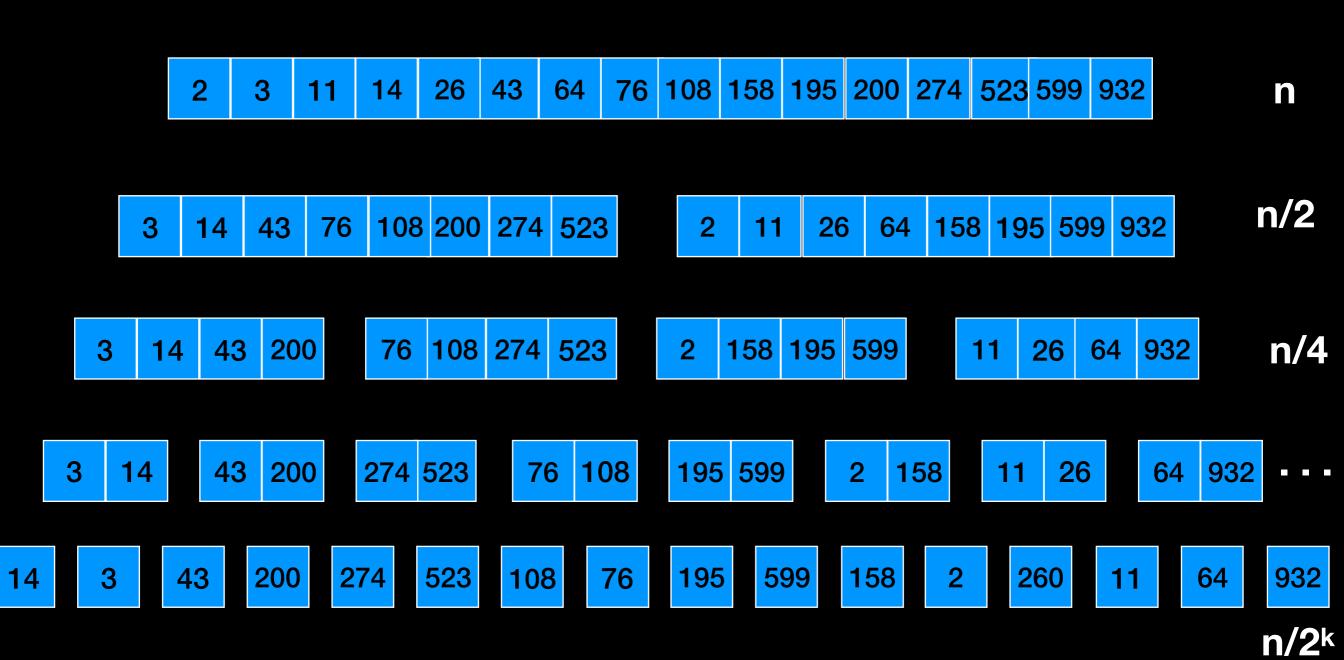




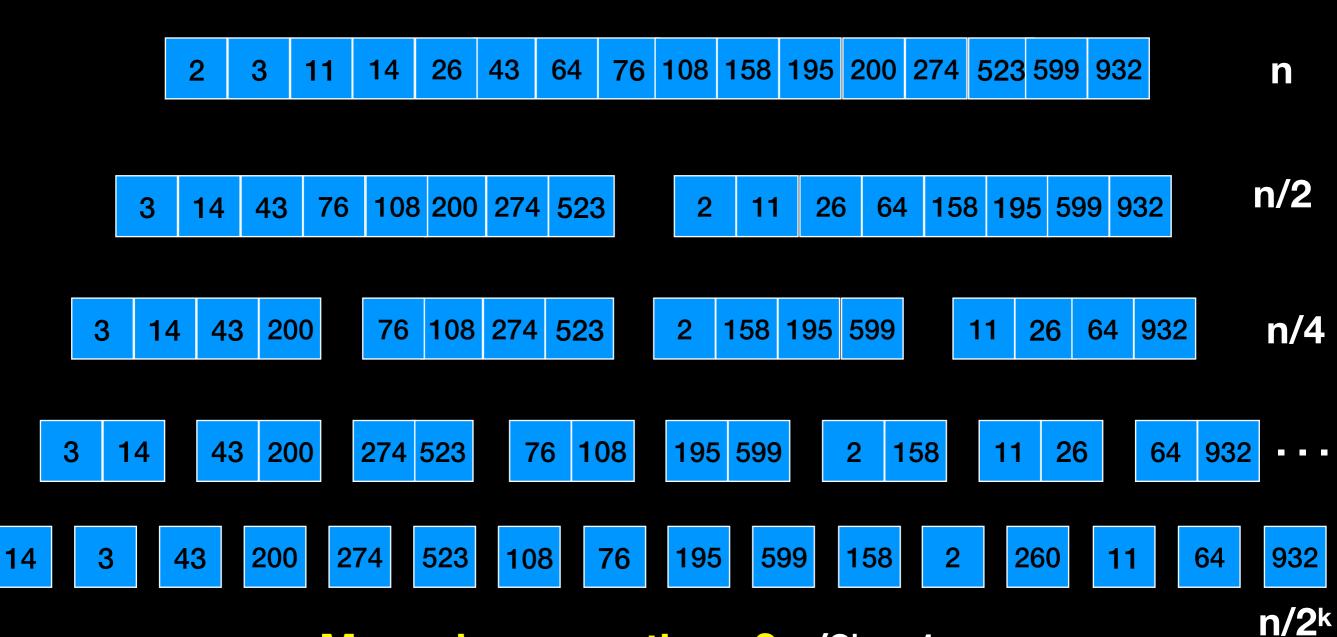








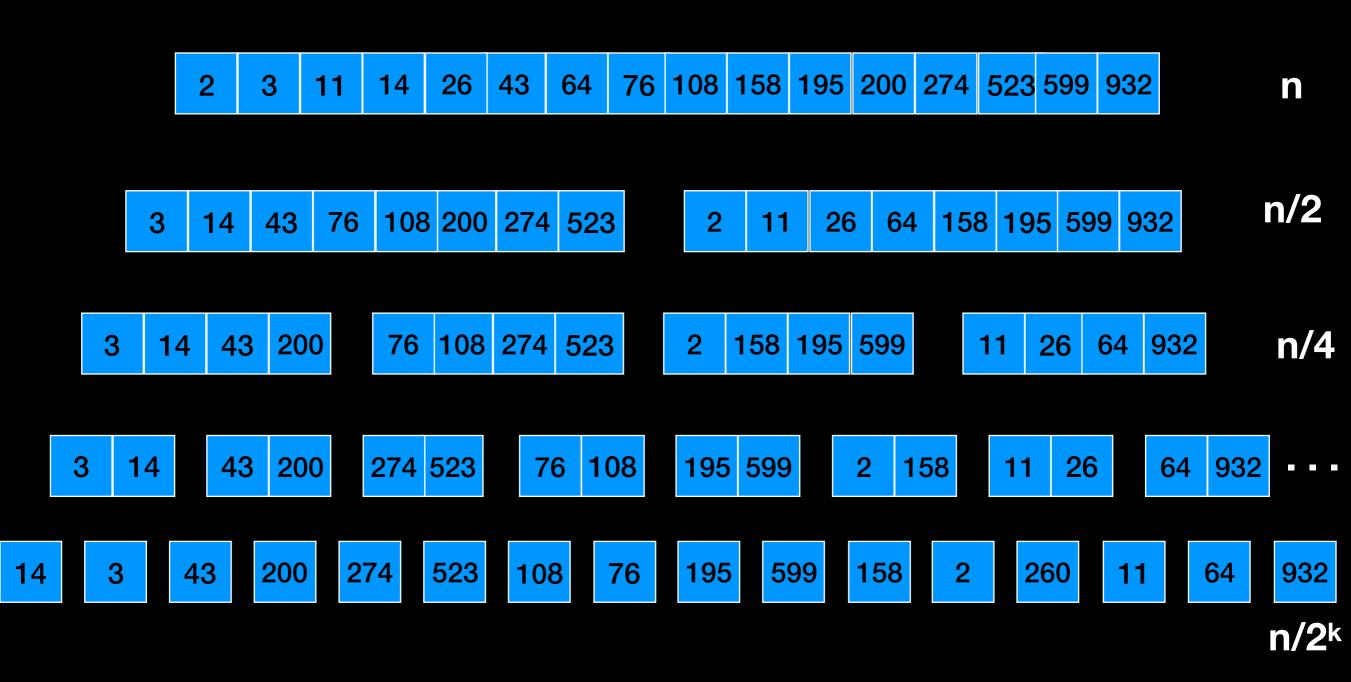
Merge how many times?



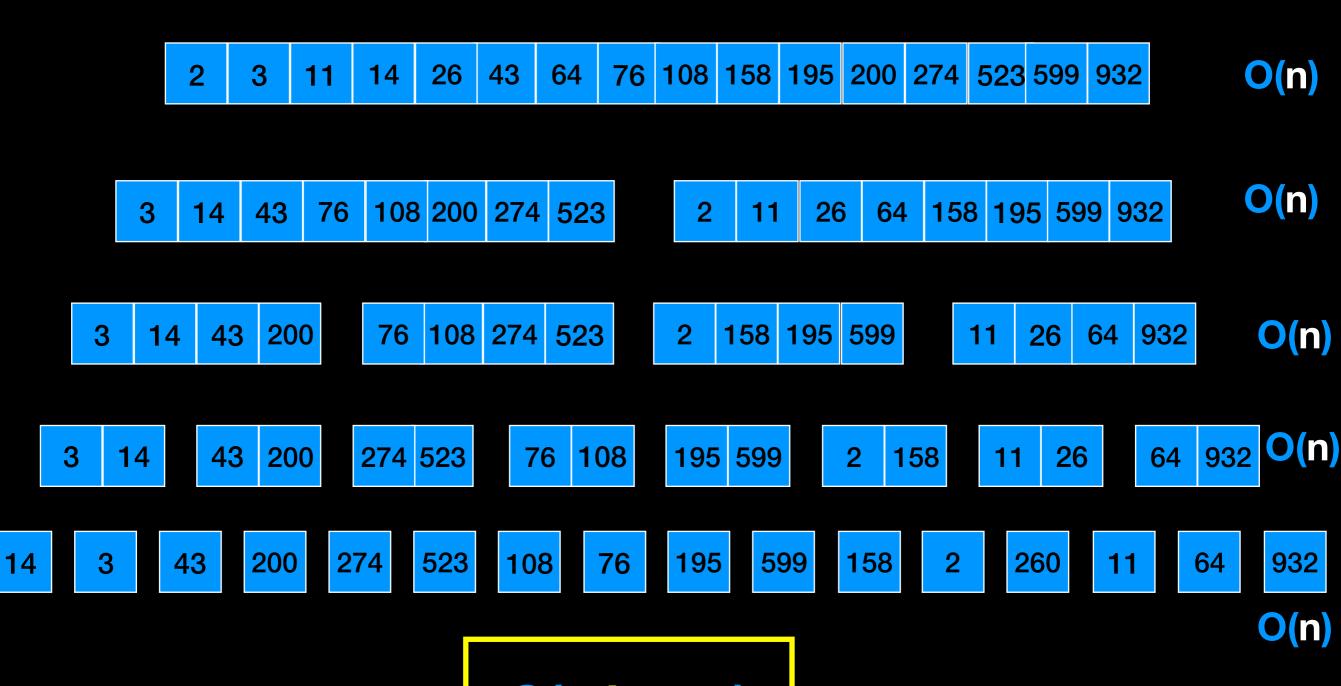
Merge how may times? $n/2^k = 1$

$$n = 2^k$$

$$\log_2 n = k$$



Merge log₂ n times



O(n log n)

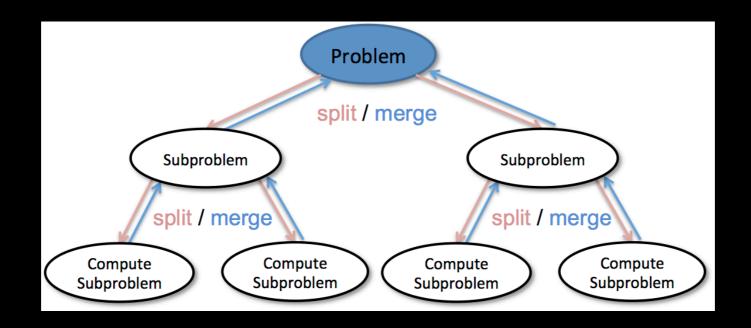
Merge Sort

How would you code this up?

Merge Sort

How would you code this up?

Hint: Divide and Conquer!!!



Merge Sort

Merge Sort Analysis

Execution time does NOT depend on initial arrangement of data

O(n logn) comparisons and data moves

 Ω (n log n)

Stable

Best we can do with <u>comparison-based</u> sorting in the worst case => can't beat O(n log n)

Space overhead: auxiliary array at each merge step

What we have so far

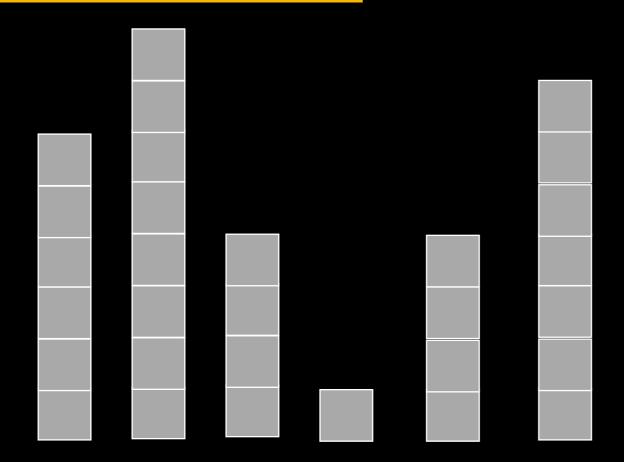
| | 0 | Ω |
|----------------|---------------------|--------------------|
| Selection Sort | O(n ²) | Ω (n^2) |
| Insertion Sort | O(n ²) | Ω(n) |
| Bubble Sort | O(n ²) | Ω(n) |
| Merge Sort | O(nlogn) | Ω (nlogn) |





Sorted



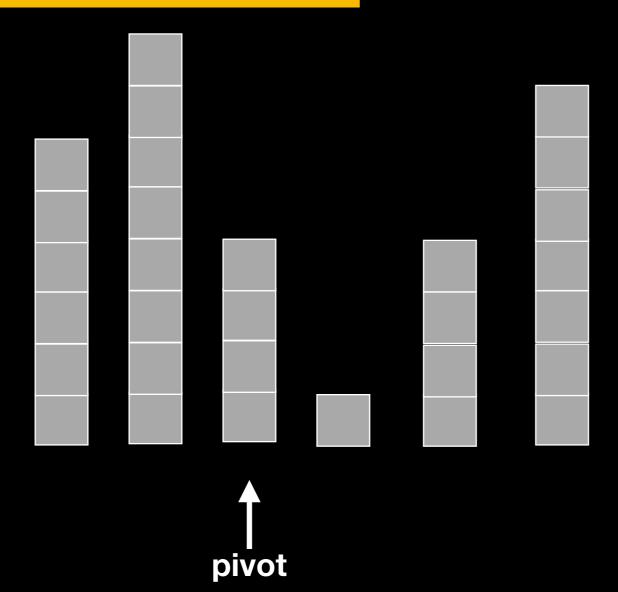






Sorted



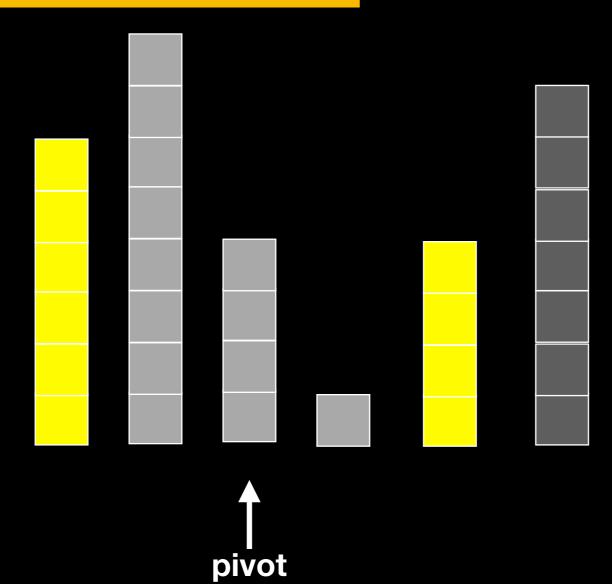






Sorted



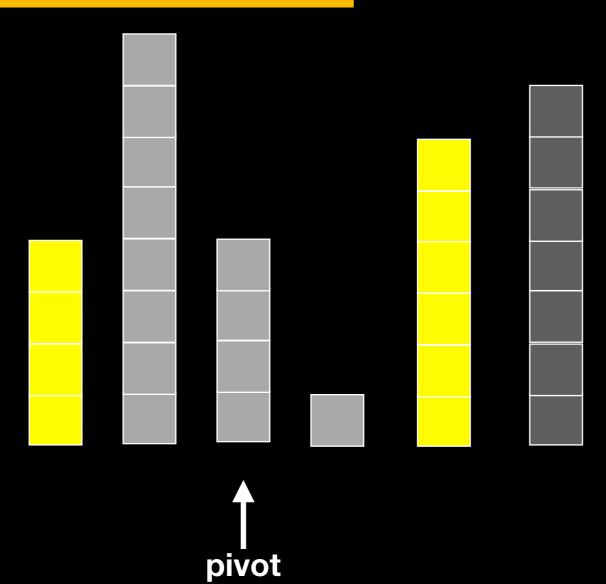






Sorted



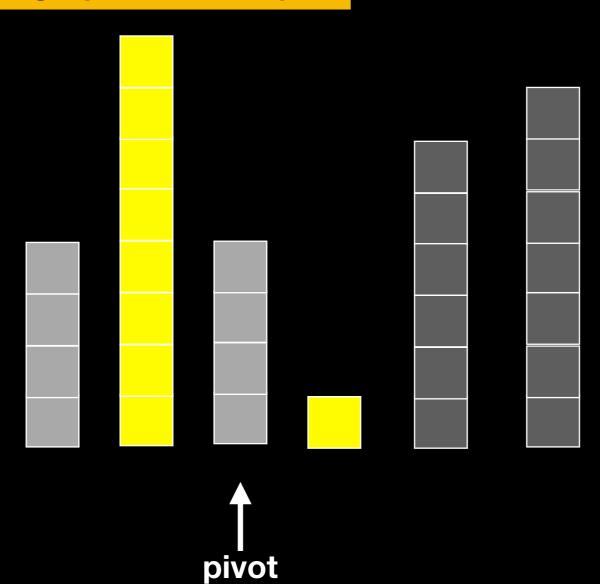






Sorted



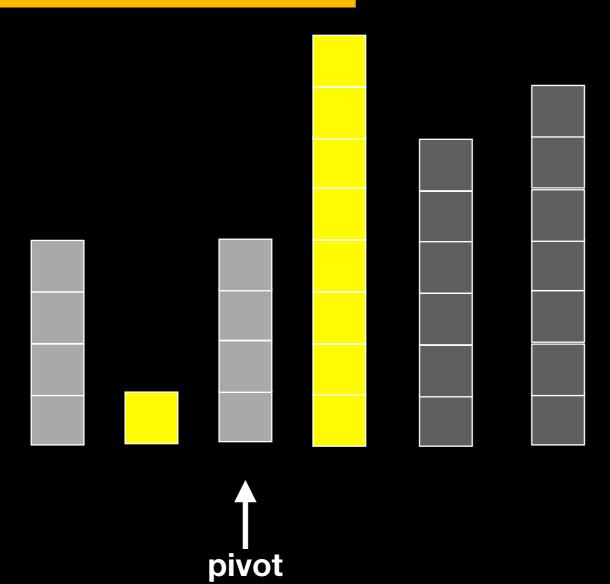






Sorted



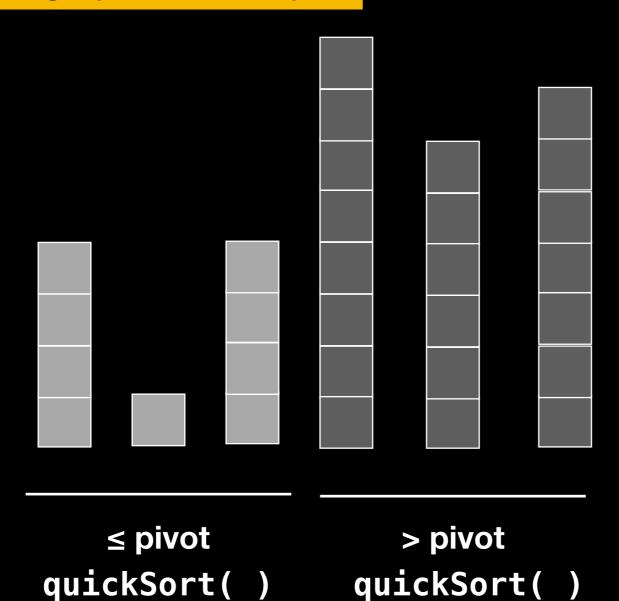






Sorted

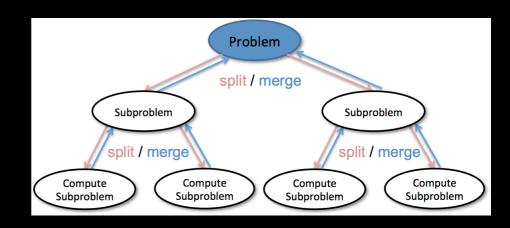




Quick Sort Analysis

Divide and Conquer

n comparisons for each partition



How many subproblems? => Depends on pivot selection

Ideally partition divides problem into 2 n/2 subproblems for log n recursive calls (Best case)

Possibly each partition has 1 empty subarray for n recursive calls (Worst case)

Ideally median

Need to sort array to find median



Other ideas?

Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them making middle the pivot

95 6 13

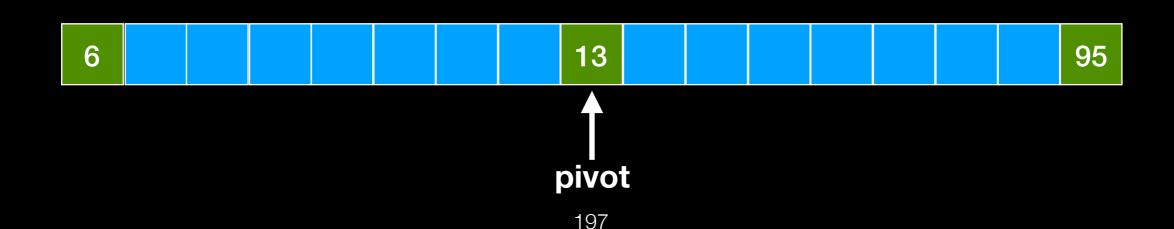
Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them making middle the pivot



Quick Sort Analysis

Execution time DOES depend on initial arrangement of data AND on PIVOT SELECTION (luck?) => on random data can be faster than Merge Sort

Implementation tweaks (e.g. smart pivot selection, speed up base case) can improve actual runtime

O(n²) comparisons and data moves

 Ω (n log n)

Unstable

```
void quickSort(array, first, last)
{
   if last - first + 1 = MIN_SIZE
        //base case with improvement
        insertionSort(array, first, last)
   else
        pivot_index = partition(array, first, last)
        quickSort(array, first, pivot_index - 1)
        quickSort(array, pivot_index + 1, last)
}
```

What we have so far

| | 0 | Ω |
|----------------|---------------------|-----------------------------|
| Selection Sort | O(n ²) | Ω (n ²) |
| Insertion Sort | O(n ²) | Ω (n) |
| Bubble Sort | O(n ²) | Ω (n) |
| Merge Sort | O(nlogn) | Ω (n log n) |
| Quick Sort | O(n ²) | Ω (nlogn) |