

Searching and Sorting

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Today's Plan



Recap

Searching algorithms and
their analysis

Sorting algorithms and
their analysis

Announcements

Questions?

Searching

Looking for something!

In this discussion we will assume
searching for an element in an array

Linear search

Most intuitive

Start at first position and keep looking until you find it

```
int linearSearch(int a[], int size, int value)
{
    for (int i = 0; i < size; i++)
    {
        if (a[i] == value) {
            return i;
        }
    }
    return -1;
}
```

How long does linear search take?

If you assume value is in the array and probability of finding it at any location is uniform, on **average $n/2$**

If value is not in the array (worst case) **n**

Either way it's **$O(n)$**

What if you know **array is sorted**?
Can you do better than linear search?

Lecture Activity

You are given a **sorted array** of integers.

How would you search for 115? (try to do it in fewer than n steps: don't search sequentially)

You can write pseudocode or succinctly explain your algorithm



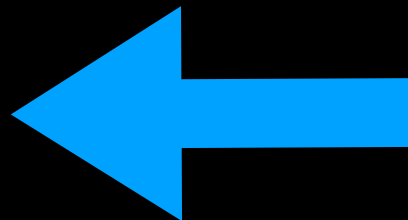
Binary Search

3	14	43	76	100	108	158	195	200	274	523	543	599
---	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----



Binary Search

3	14	43	76	100	108	158	195	200	274	523	543	599
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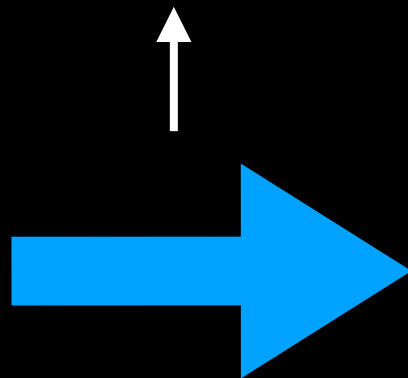
Binary Search

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Binary Search

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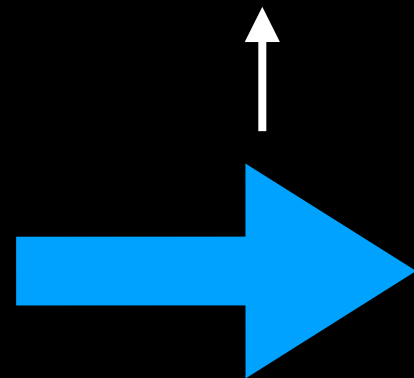
Binary Search

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Binary Search

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Binary Search

3	14	43	76	100	108	158	195	200	274	523	543	599
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Binary Search

3	14	43	76	100	108	158	195	200	274	523	543	599
---	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----



We have seen this before... where?

Binary Search

What is happening here?

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Binary Search

What is happening here?

Size of search is **cut in half** at each step

The running time

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$

One comparison

Search lower OR upper half

Simplification: assume n is a power of 2 so it can be evenly divided in two parts

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$

$$T(n/2) = T(n/4) + 1$$

One comparison

Search lower OR upper half of $n/2$

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$

$$T(n/2) = T(n/4) + 1$$


$$T(n) = T(n/4) + 1 + 1$$


Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$


$$T(n) = T(n/4) + 2$$


...

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$

$$T(n) = T(n/4) + 2$$

...

$$T(n) = T(n/2^k) + k$$

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

$$T(n) = T(n/2) + 1$$

$$T(n) = T(n/4) + 2$$

...

$$T(n) = T(n/2^k) + k$$

$$T(n) = T(1) + \log_2(n)$$

$$n/n = 1$$

The number to which I
need to raise 2 to get n
And we said $n = 2^k$

Binary Search

What is happening here?

Size of search is **cut in half** at each step

Let $T(n)$ be the running time and **assume $n = 2^k$**

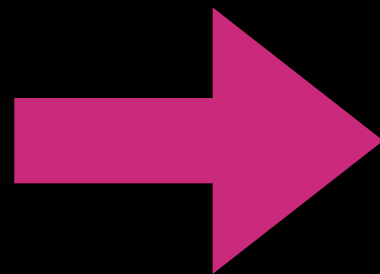
$$T(n) = T(n/2) + 1$$

$$T(n) = T(n/4) + 2$$

...

$$T(n) = T(n/2^k) + k$$

$$T(n) = T(1) + \log_2(n)$$



Binary search
is $O(\log(n))$

Sorting

Rearranging a sequence into increasing
(decreasing) order!

Several approaches

Can do it in many ways

What is the best way?

Let's find out using Big-O

Lecture Activity

Write **pseudocode** to sort an array.

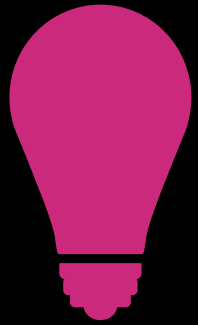
543	3	523	76	200	158	195	108	43	274	100	14	599
-----	---	-----	----	-----	-----	-----	-----	----	-----	-----	----	-----

There are many approaches to sorting
We will look at some comparison-
based approaches here

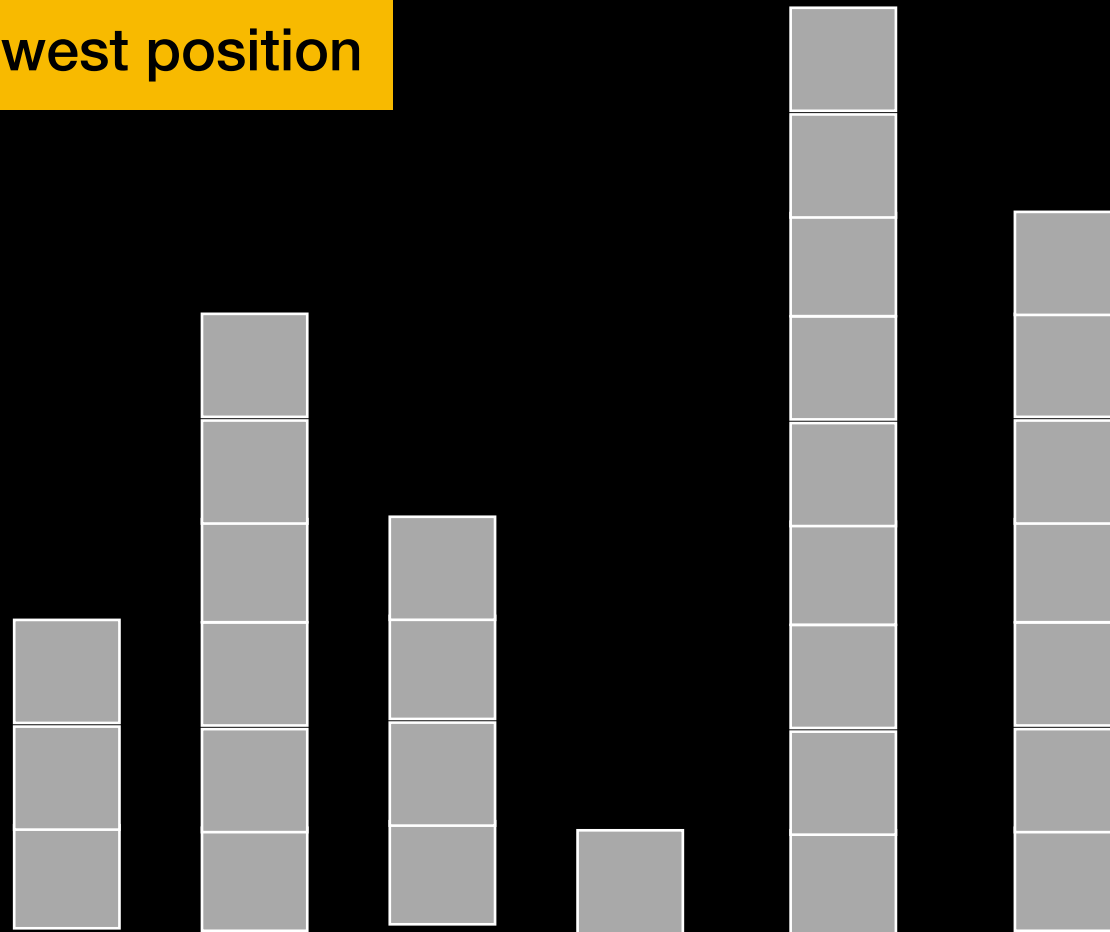
Selection Sort

Selection Sort


 Unsorted
 Sorted

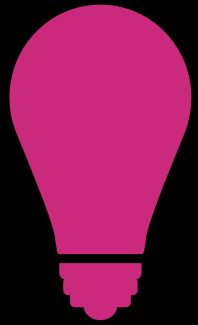


Find smallest element and
move it at lowest position

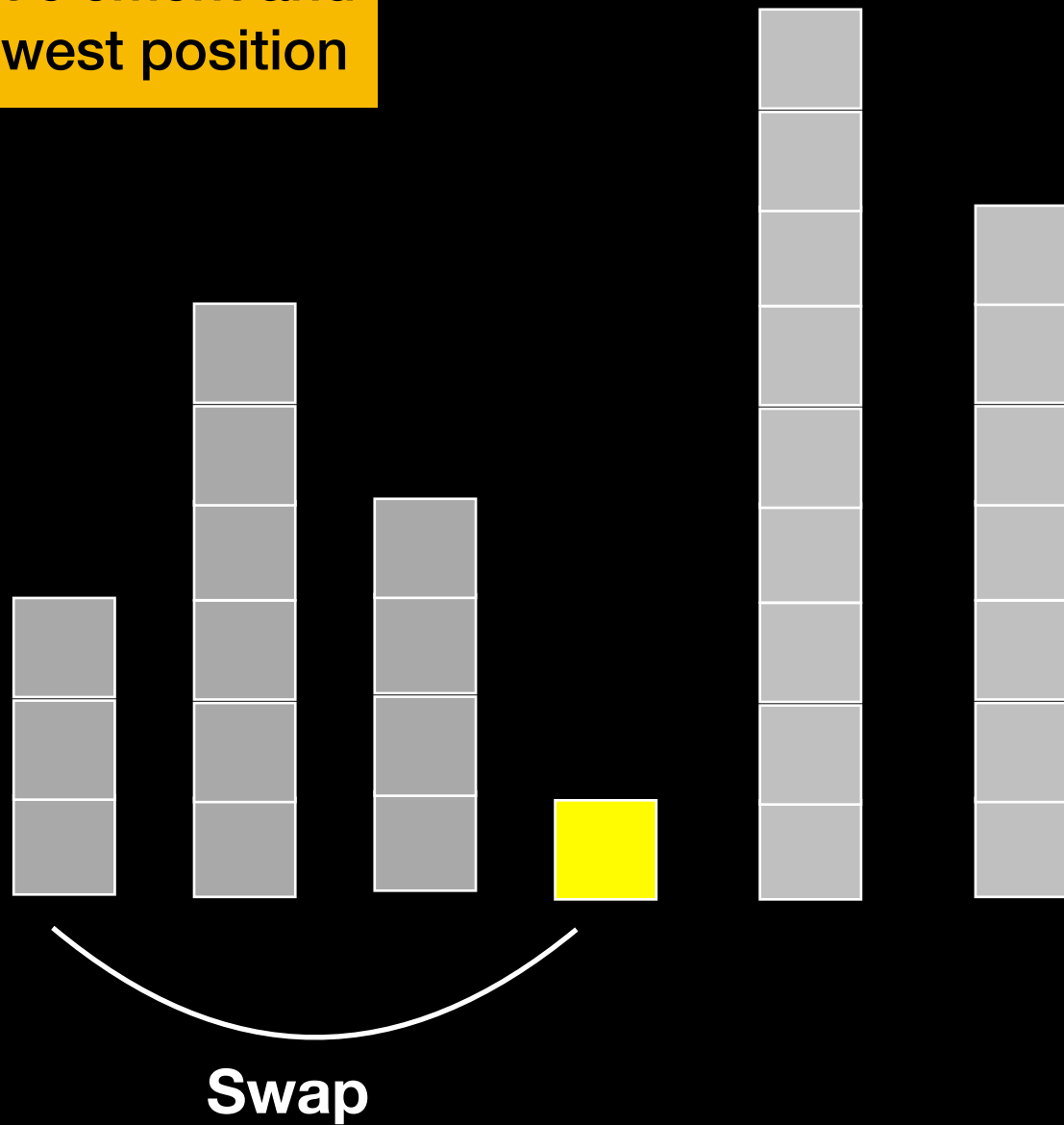


Selection Sort

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 Sorted

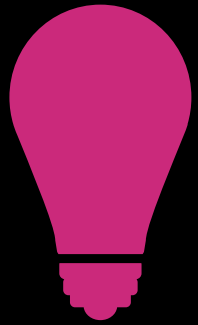


Find smallest element and
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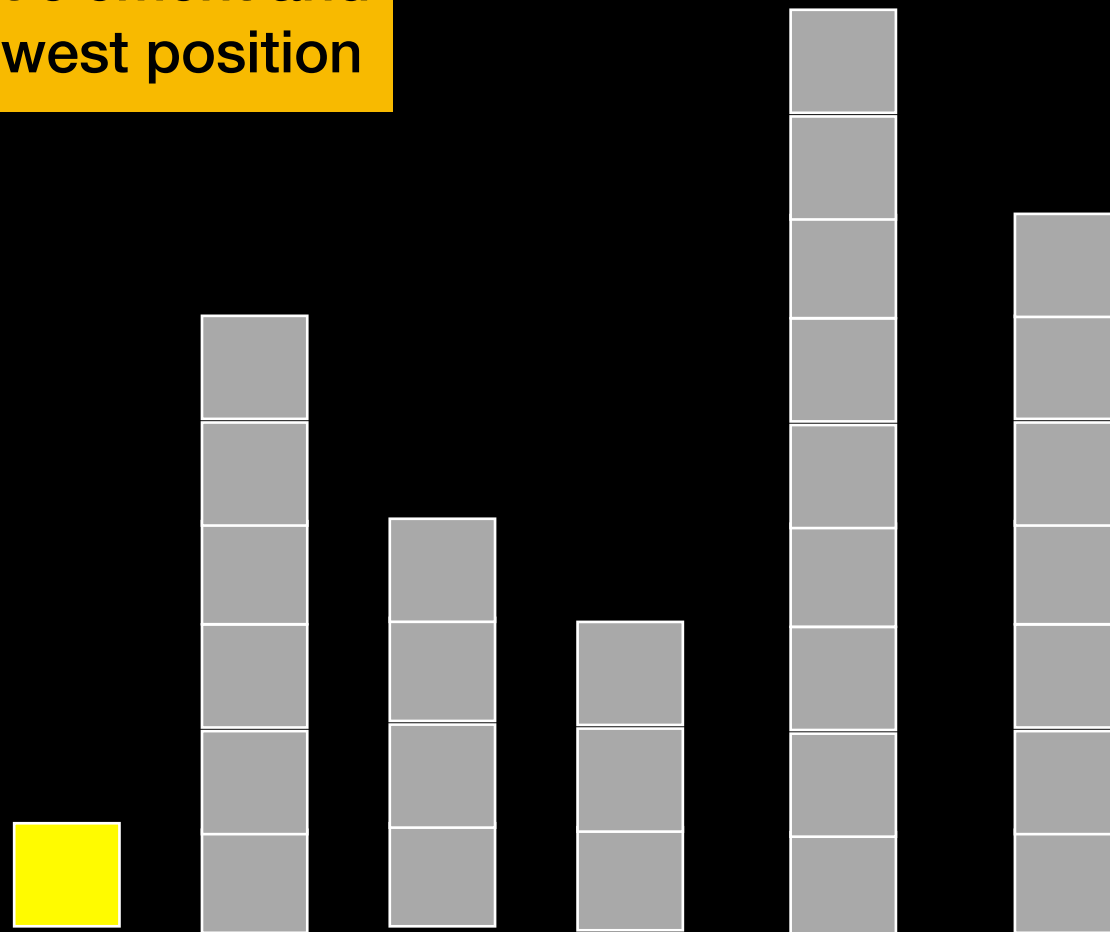


Selection Sort



 Unsorted
 Sorted

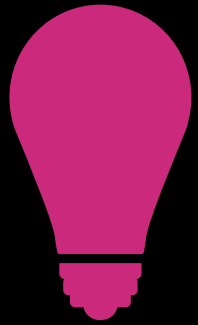


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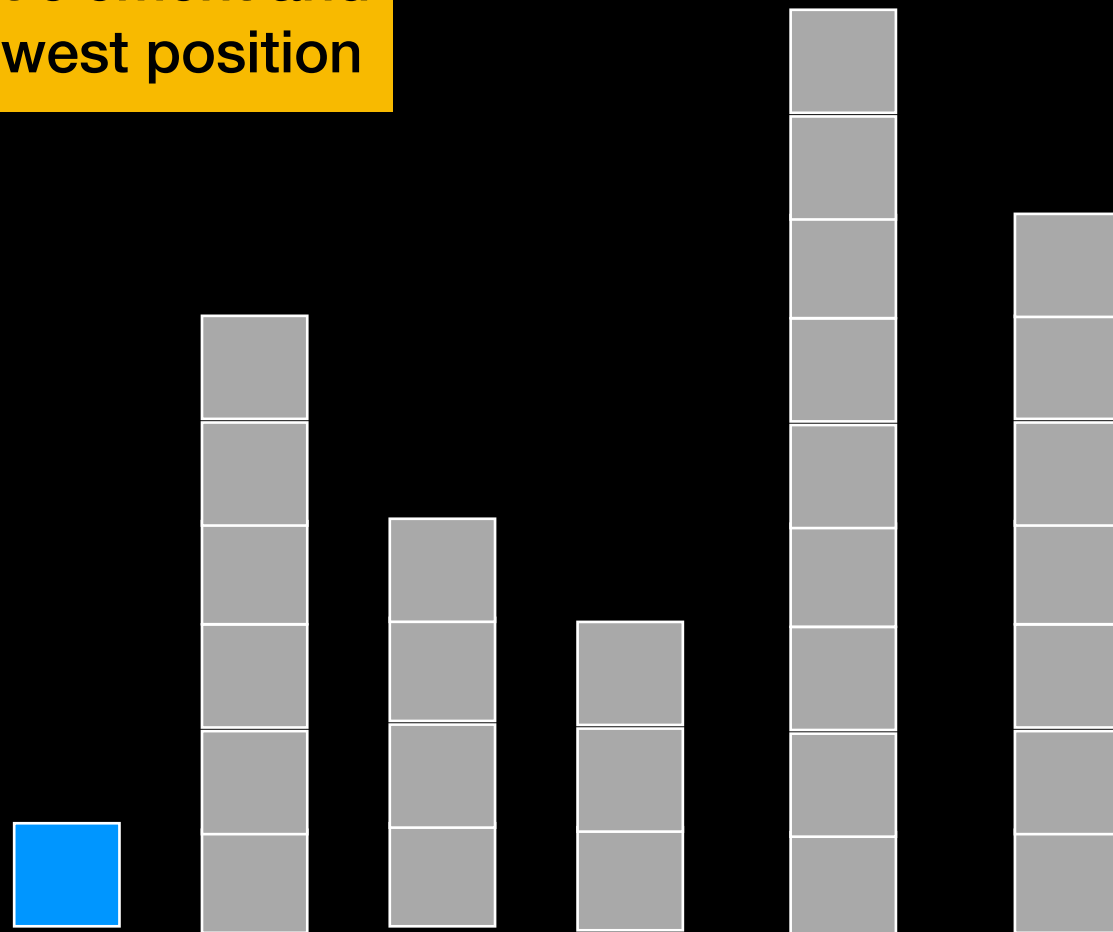


Selection Sort

 Unsorted
 Sorted




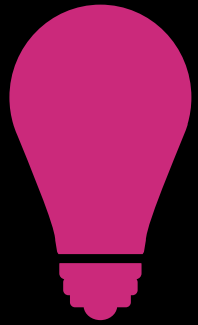
Find smallest element and
move it at lowest position



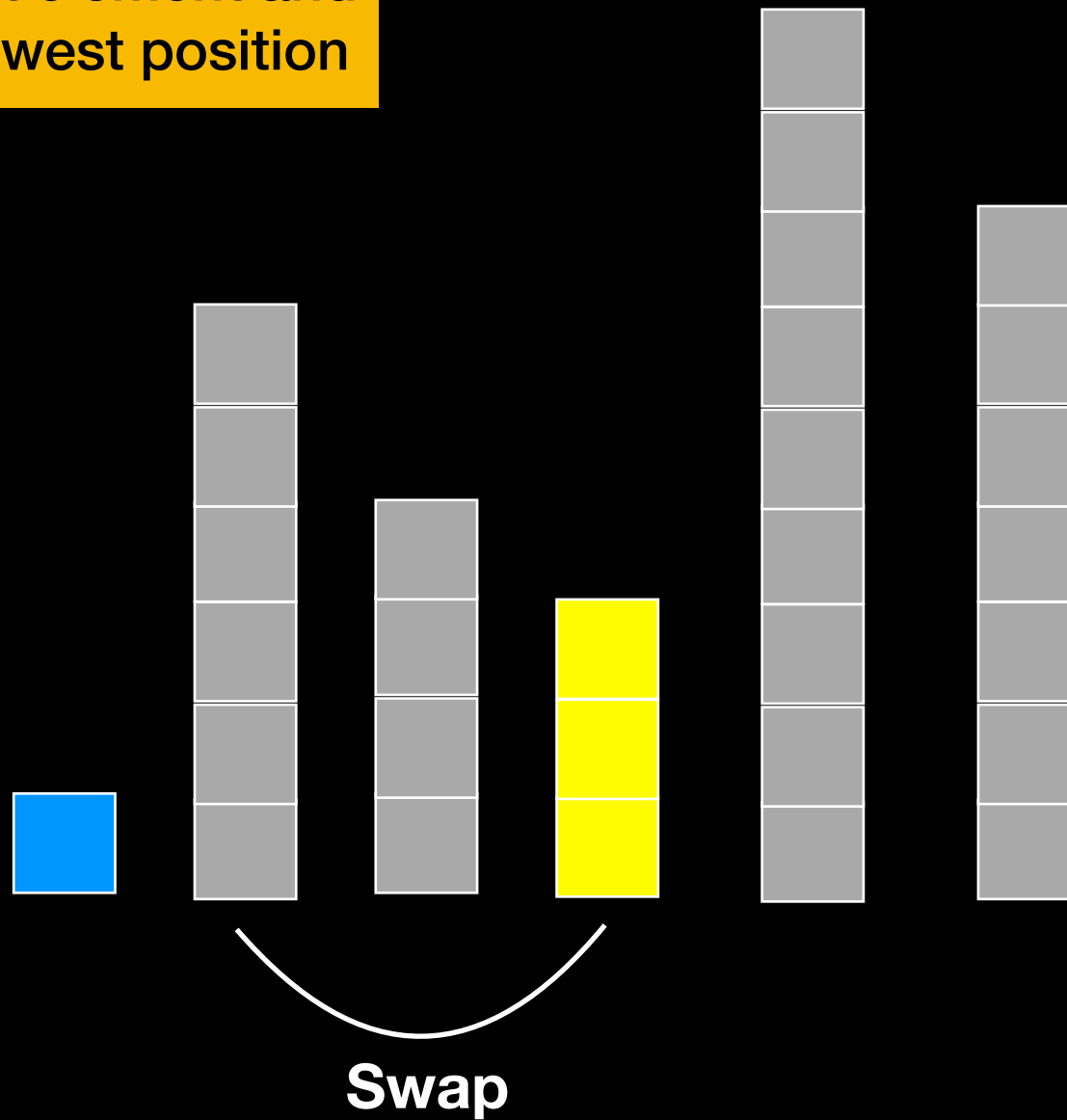
Unsorted

Selection Sort


 Unsorted
 Sorted

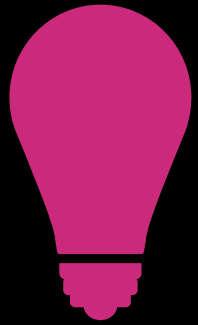


Find smallest element and
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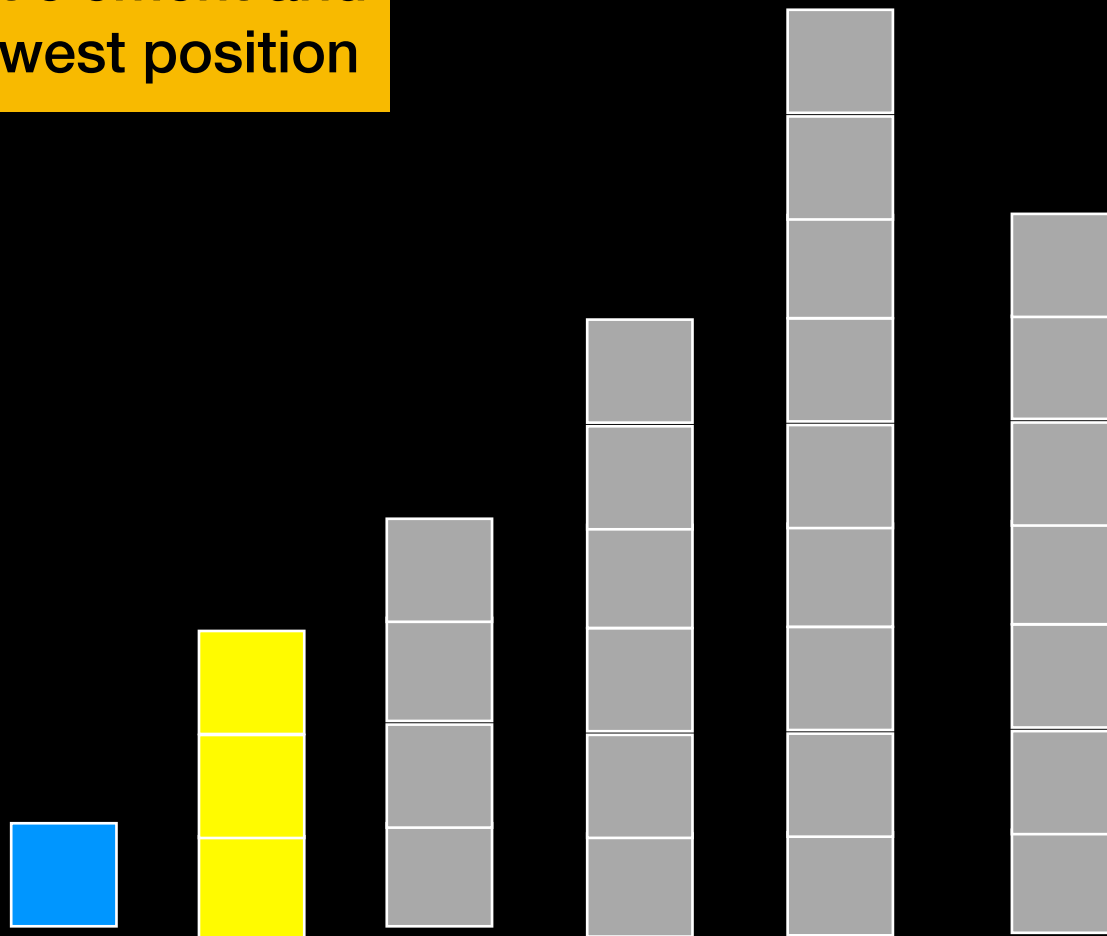


Selection Sort


 Unsorted
 Sorted

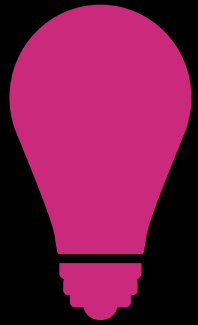


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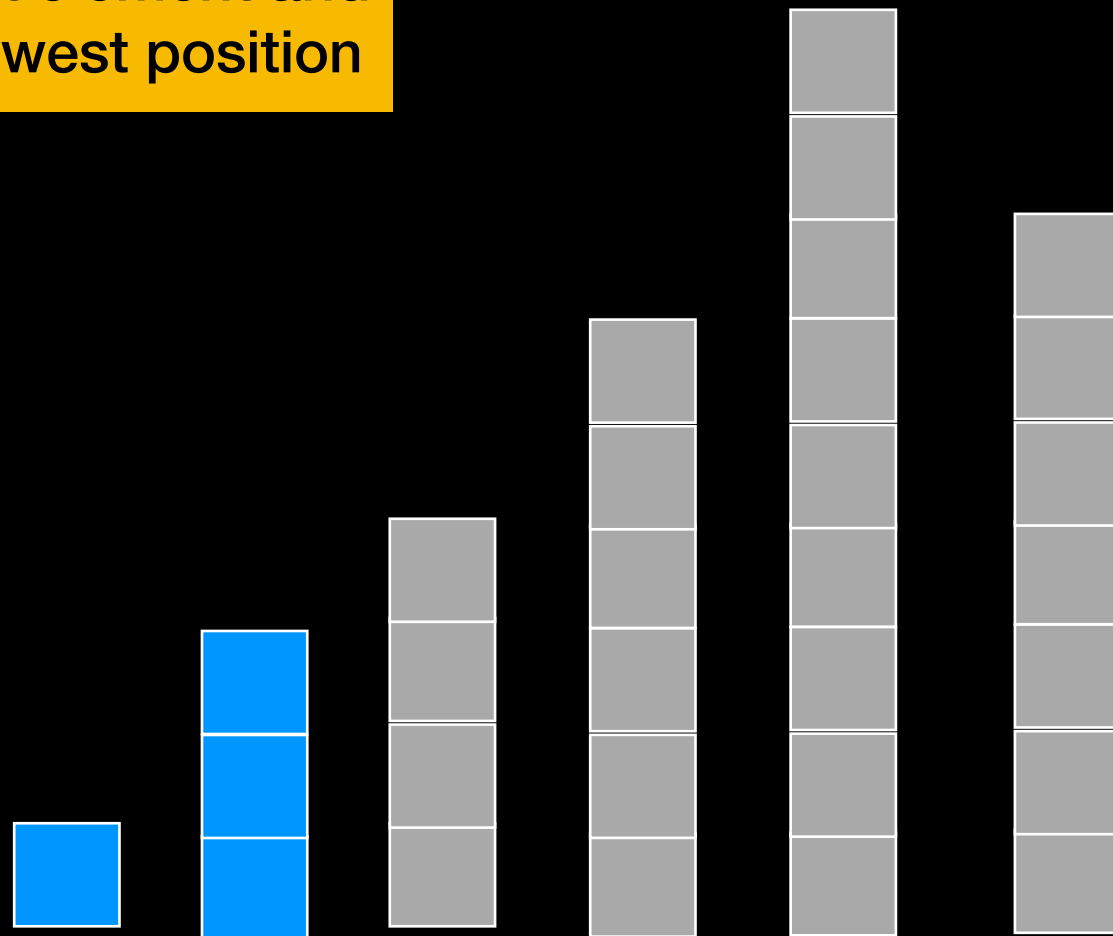


Selection Sort


 Unsorted
 Sorted

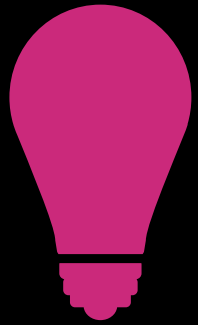


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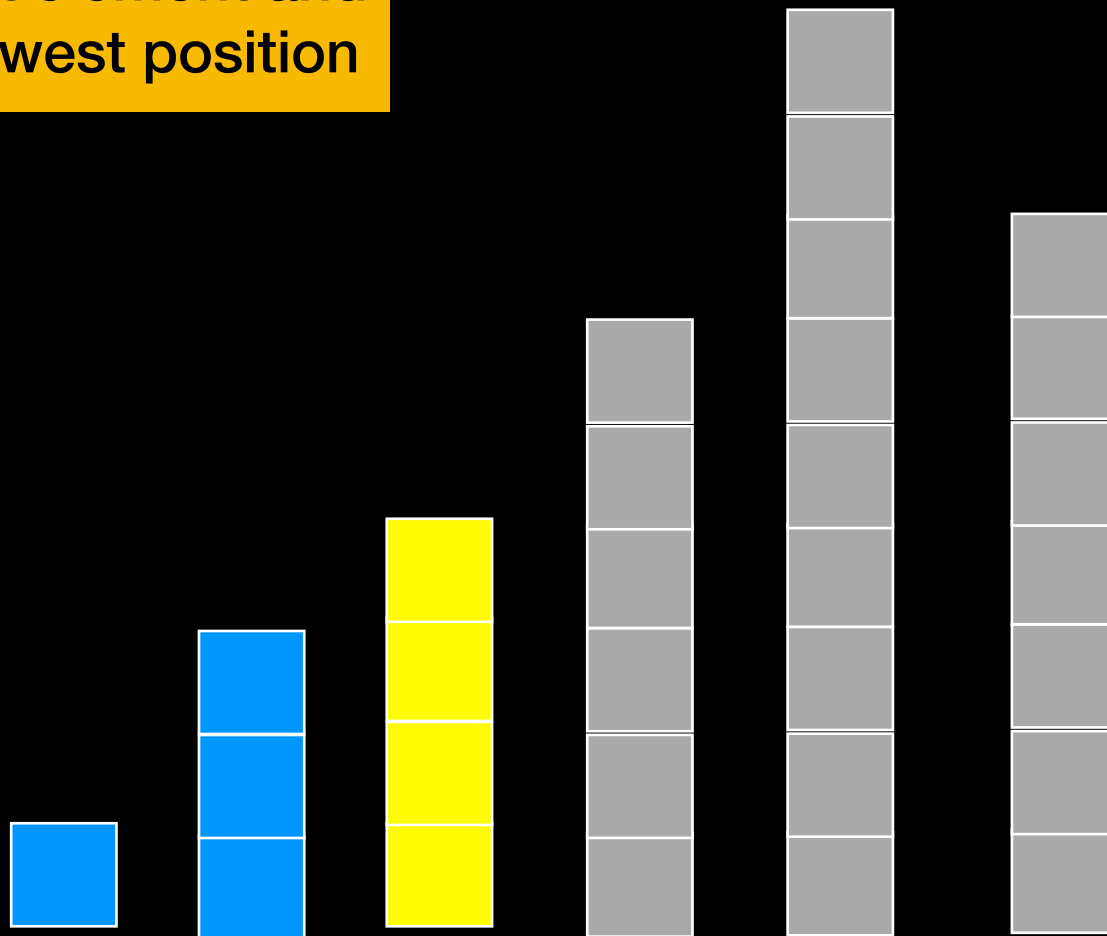


Selection Sort

 Unsorted
 Sorted

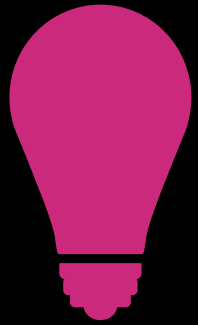


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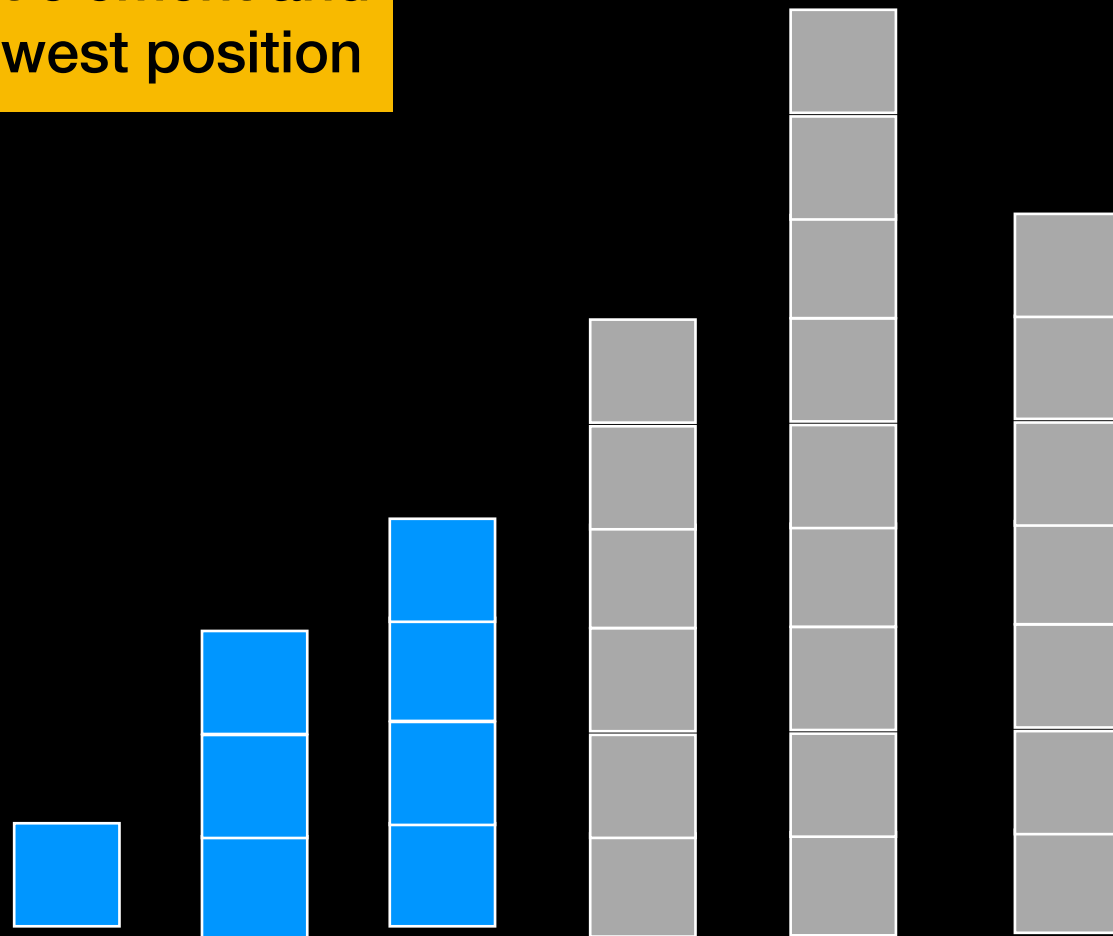


Selection Sort

 Unsorted
 Sorted

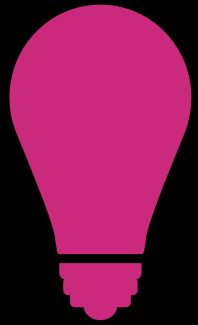


Find smallest element and
move it at lowest position

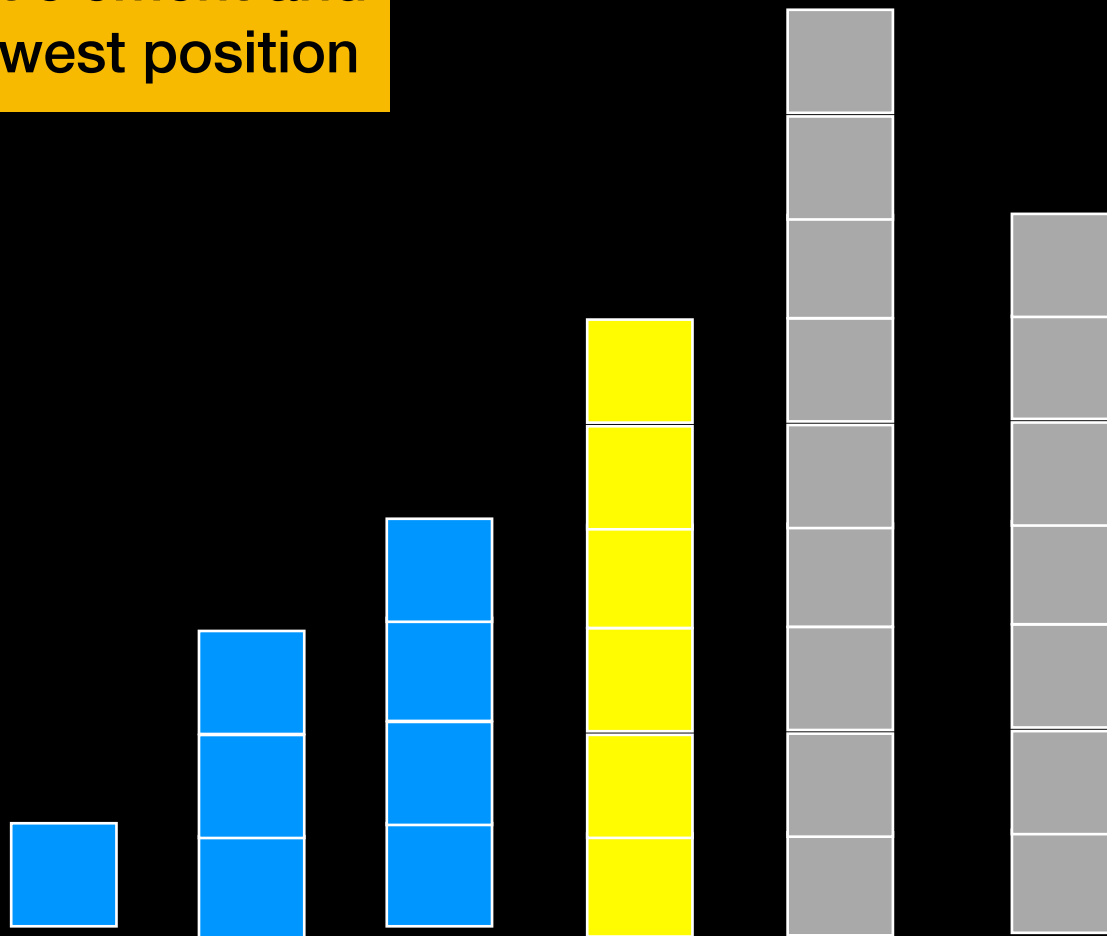


Selection Sort

Unsorted
Sorted

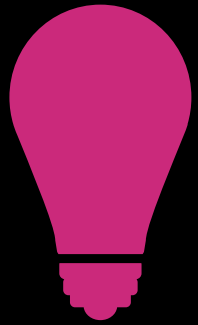


Find smallest element and
move it at lowest position

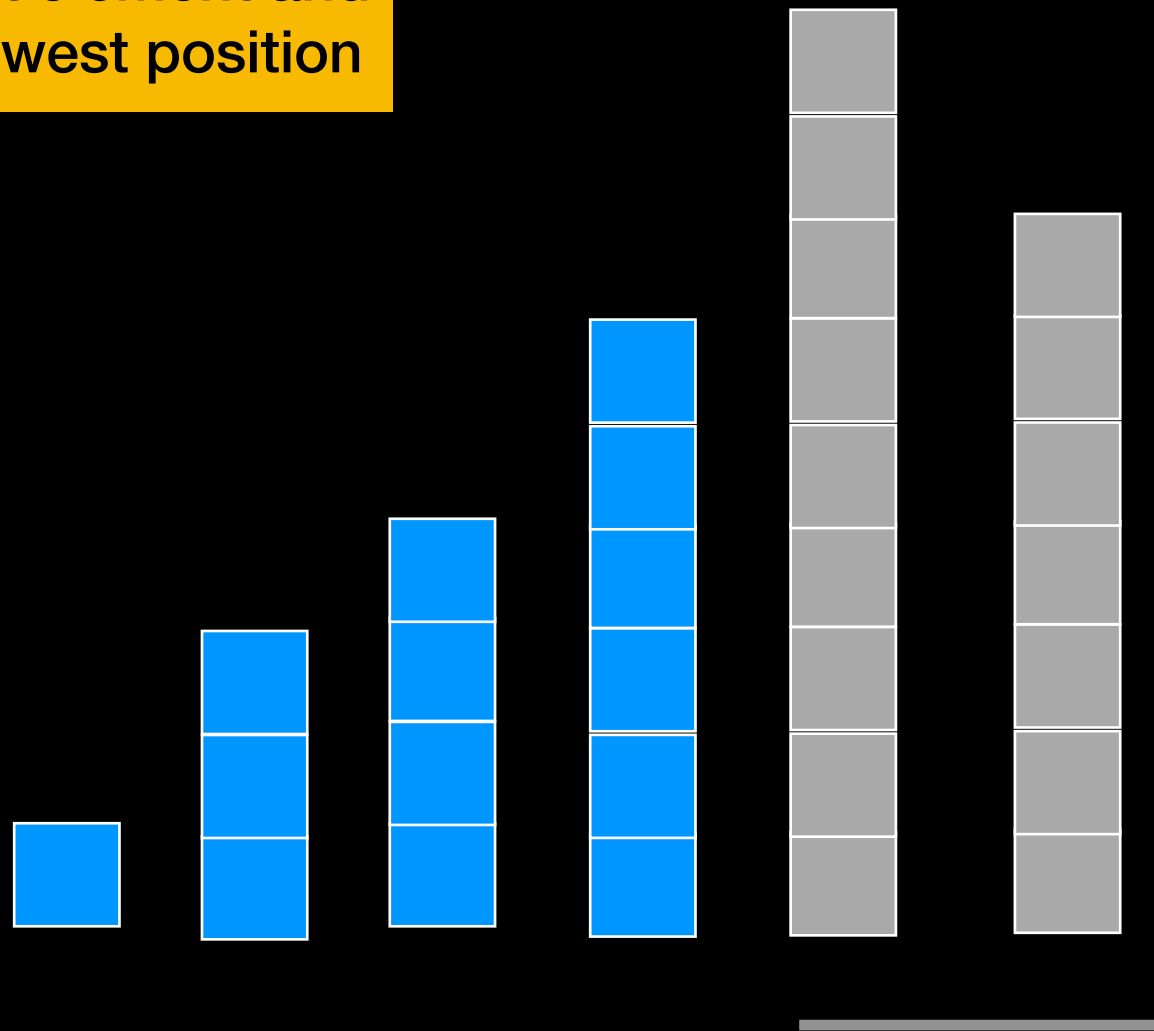


Selection Sort

Unsorted
Sorted

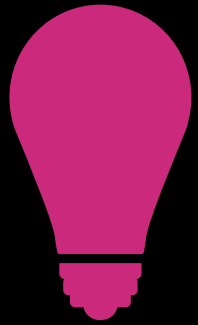


Find smallest element and
move it at lowest position

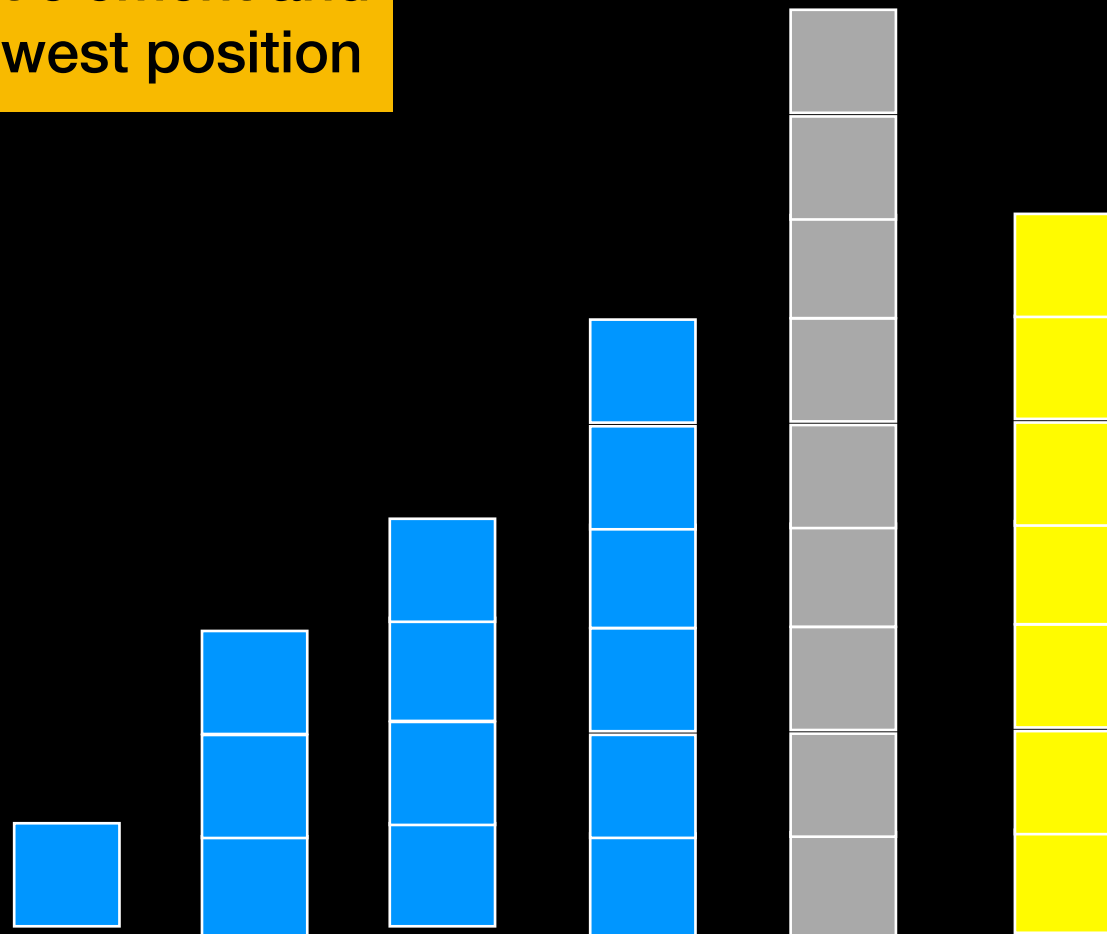


Selection Sort

Unsorted
Sorted



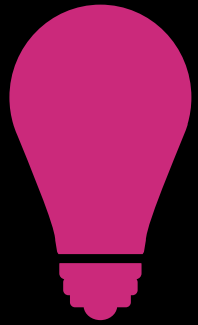
Find smallest element and
move it at lowest position



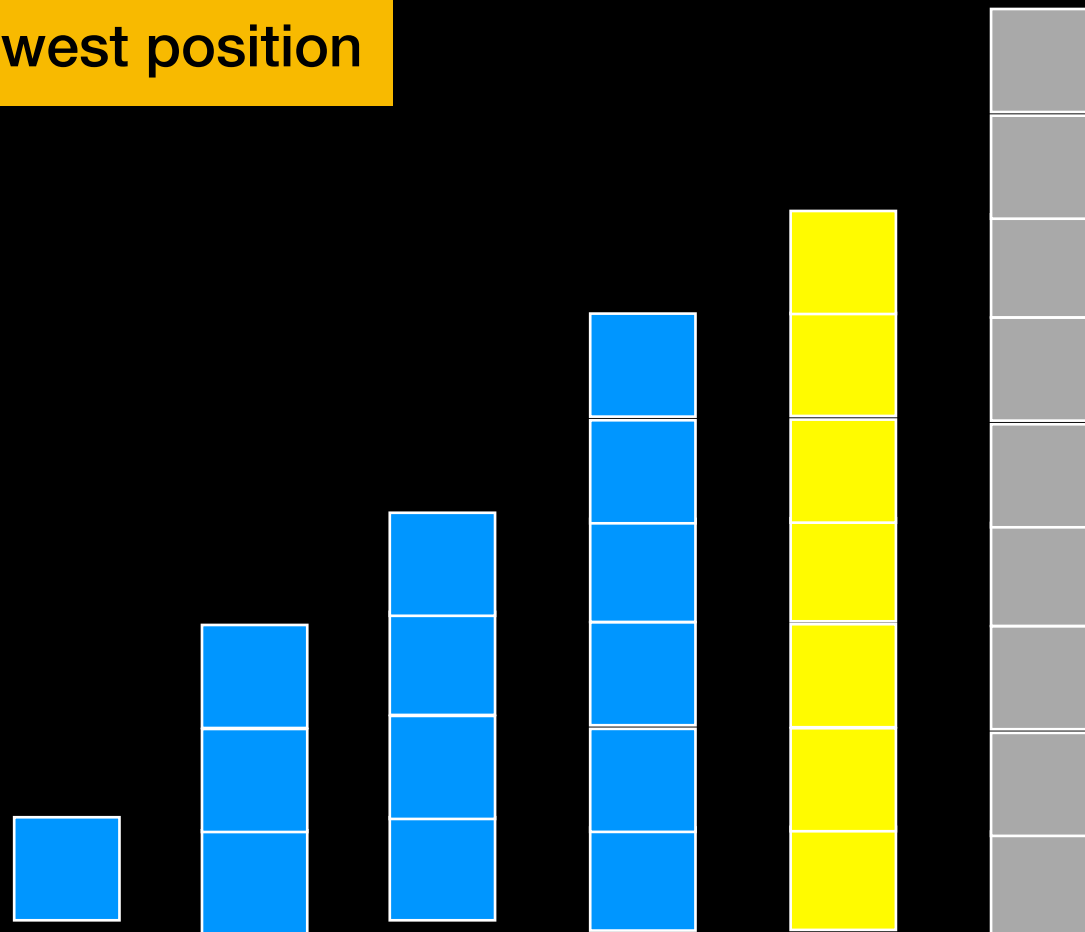
Swap

Selection Sort

Unsorted
Sorted

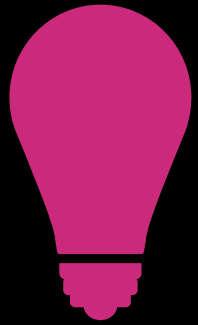


Find smallest element and
move it at lowest position

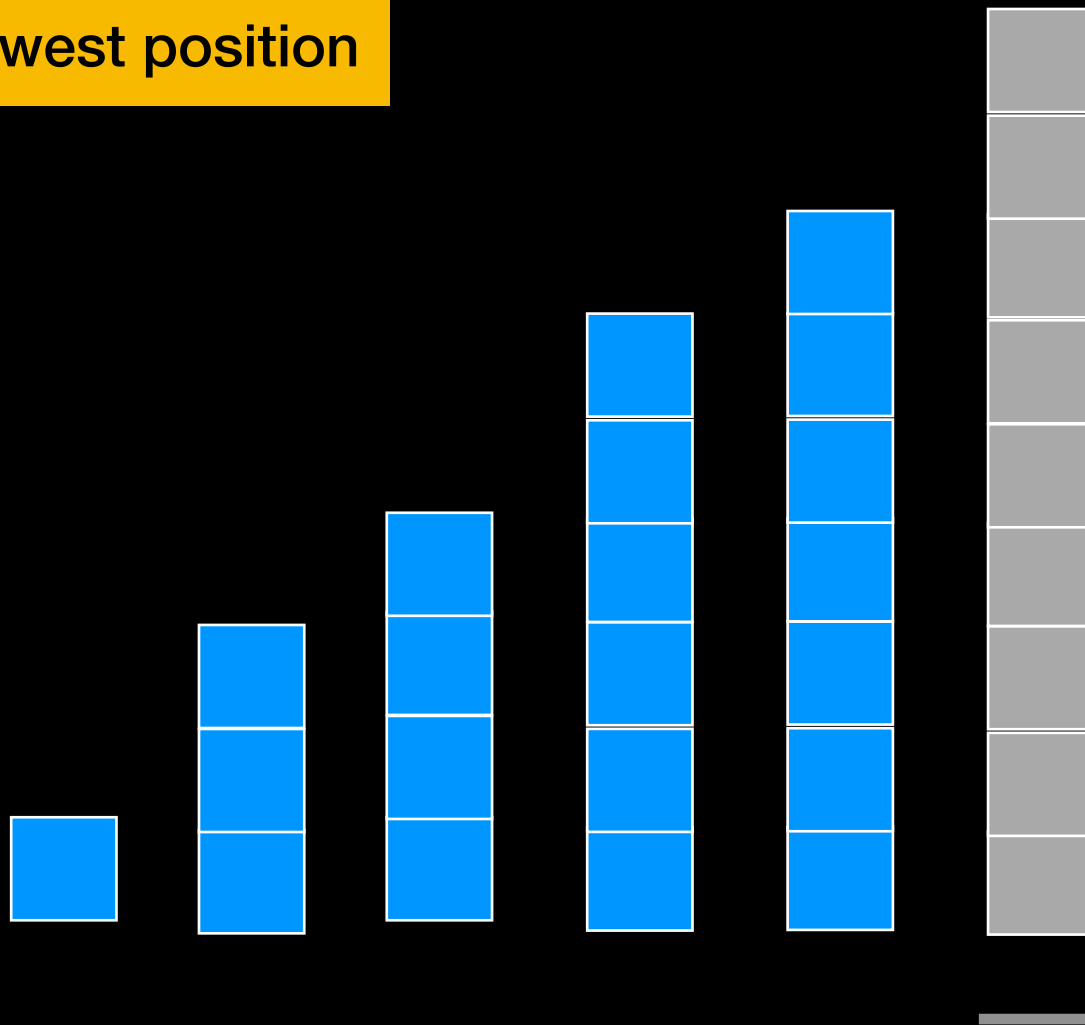


Selection Sort


Unsorted
Sorted

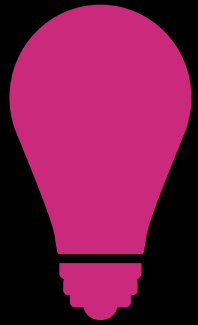


Find smallest element and
move it at lowest position

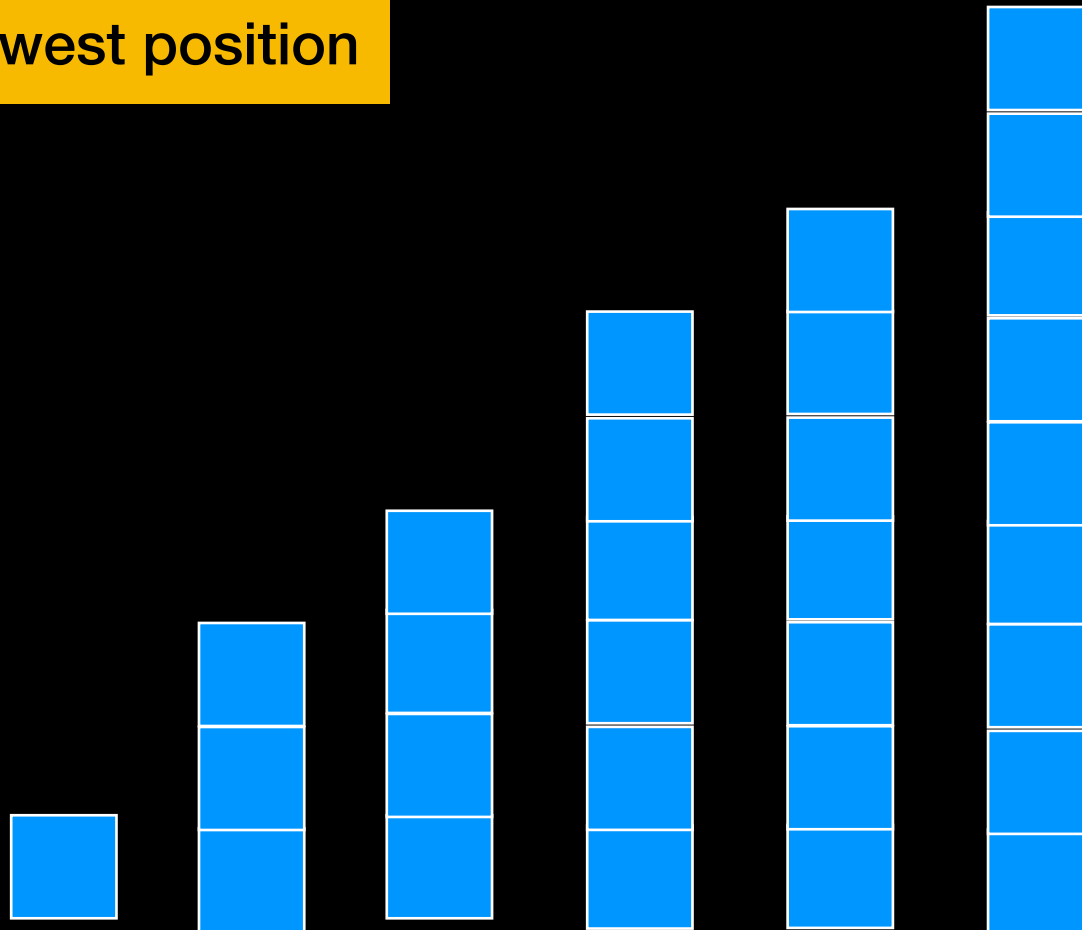


Selection Sort

 Unsorted
 Sorted



Find smallest element and
move it at lowest position



Selection Sort

Find the smallest item and move it at position 1

Find the next-smallest item and move it at position 2

...

Selection Sort Analysis

How much work?

Find smallest: look at **n** elements

Selection Sort Analysis

How much work?

Find smallest: look at n elements

Find second smallest: look at $n-1$ elements

Selection Sort Analysis

How much work?

Find smallest: look at n elements

Find second smallest: look at $n-1$ elements

Find third smallest: look at $n-2$ elements

...

Selection Sort Analysis

How much work?

Find smallest: look at n elements

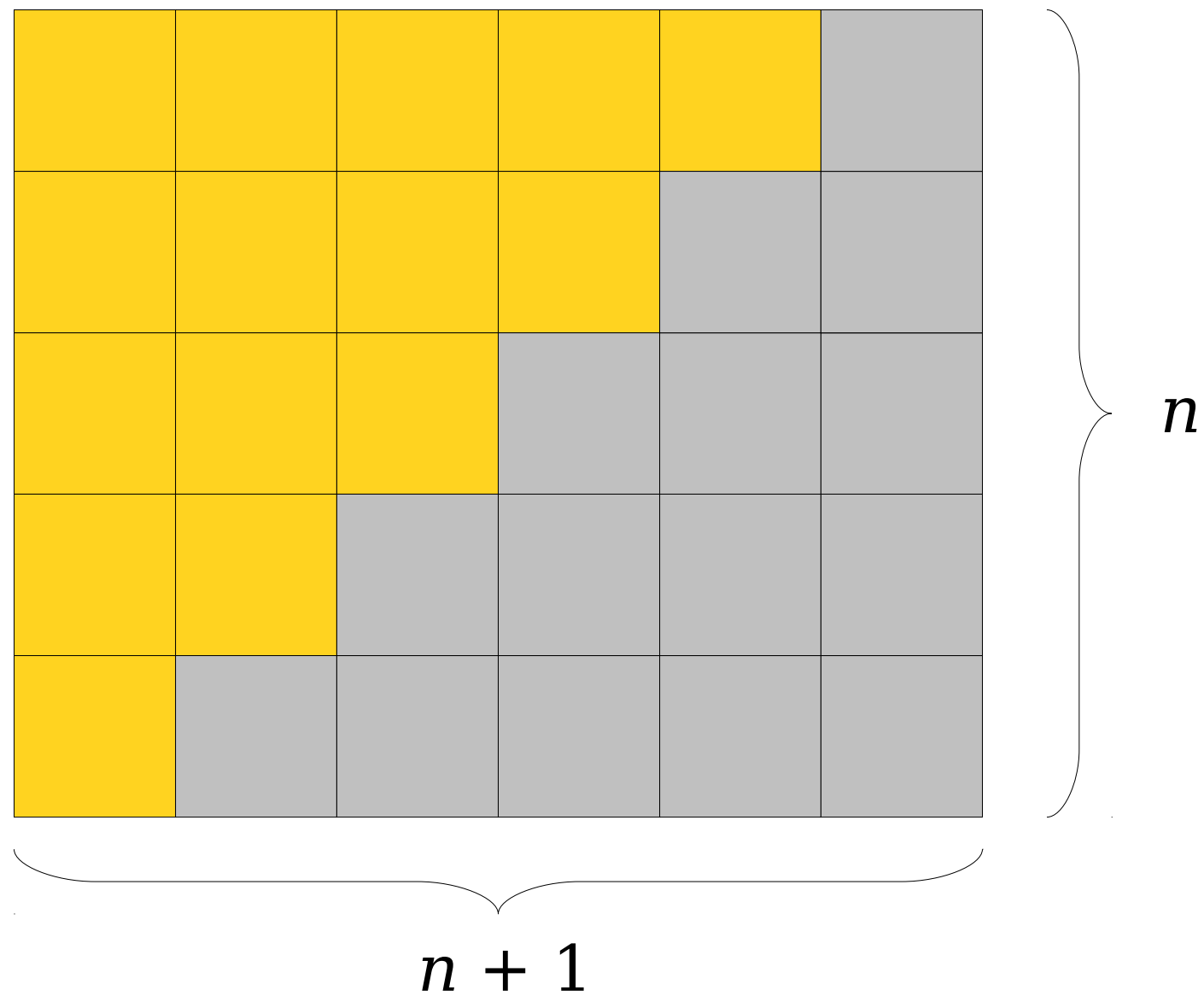
Find second smallest: look at $n-1$ elements

Find third smallest: look at $n-2$ elements

...

Total work: $n + (n-1) + (n-2) + \dots + 1$

$$n + (n-1) + \dots + 2 + 1 = n(n+1) / 2$$



Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ })?$$

Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ })?$$

$$T(n) = (n^2+n) / 2 + n = O(\text{ })?$$

Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ })?$$

$$T(n) = (n^2+n) / 2 + n = O(\text{ })?$$

Ignore constant

Ignore non-dominant terms

Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ })?$$

$$T(n) = (n^2+n) / 2 + n = O(n^2)$$

Ignore constant

Ignore non-dominant terms

Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ })?$$



$$T(n) = (n^2+n) / 2 + n = O(n^2)$$

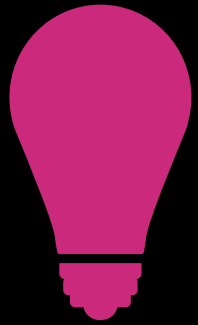
Selection Sort run time is $O(n^2)$

Stability

A sorting algorithm is **Stable** if elements that are equal remain in same order relative to each other after sorting

Selection Sort


 Unsorted
 Sorted

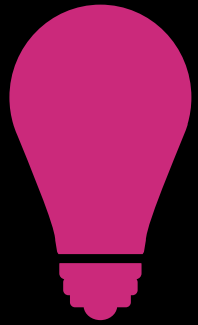


Find smallest element and
move it at lowest position

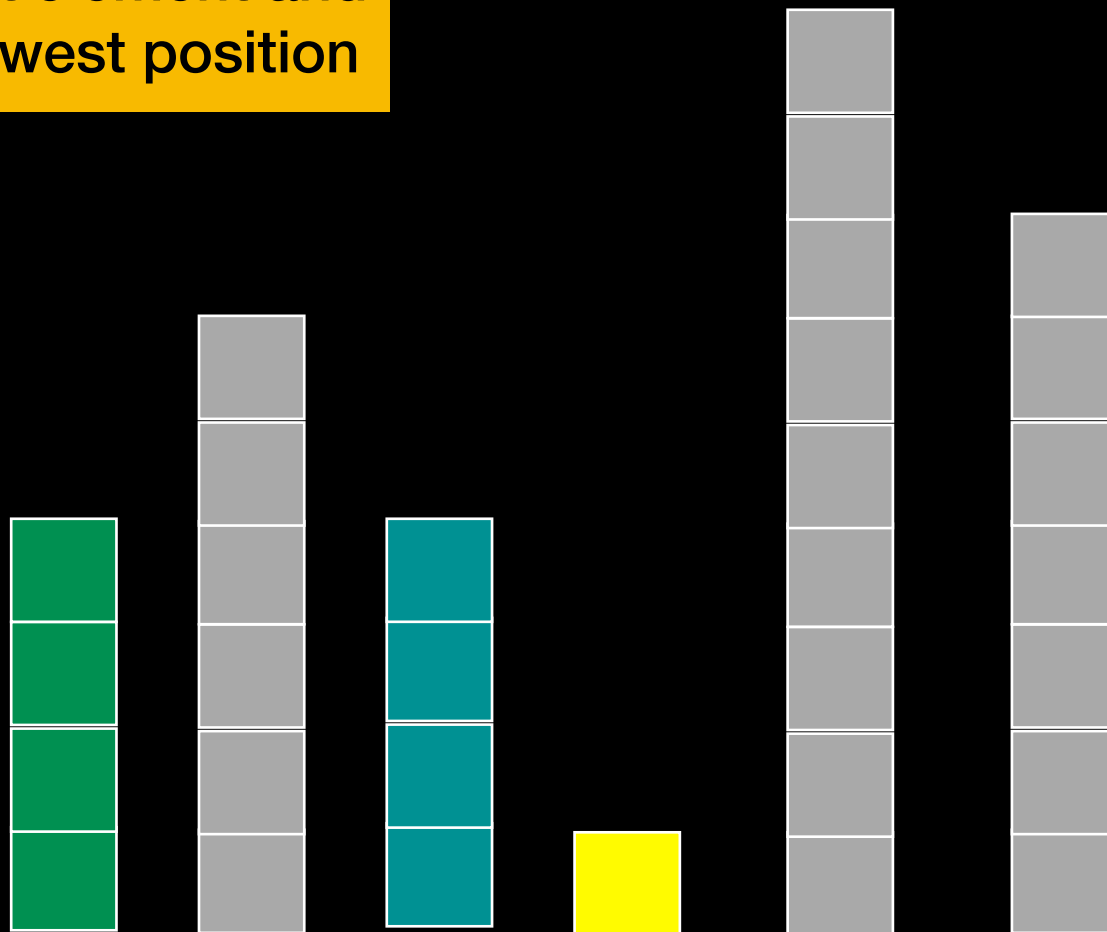


Selection Sort

 Unsorted
 Sorted

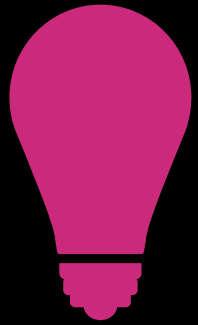


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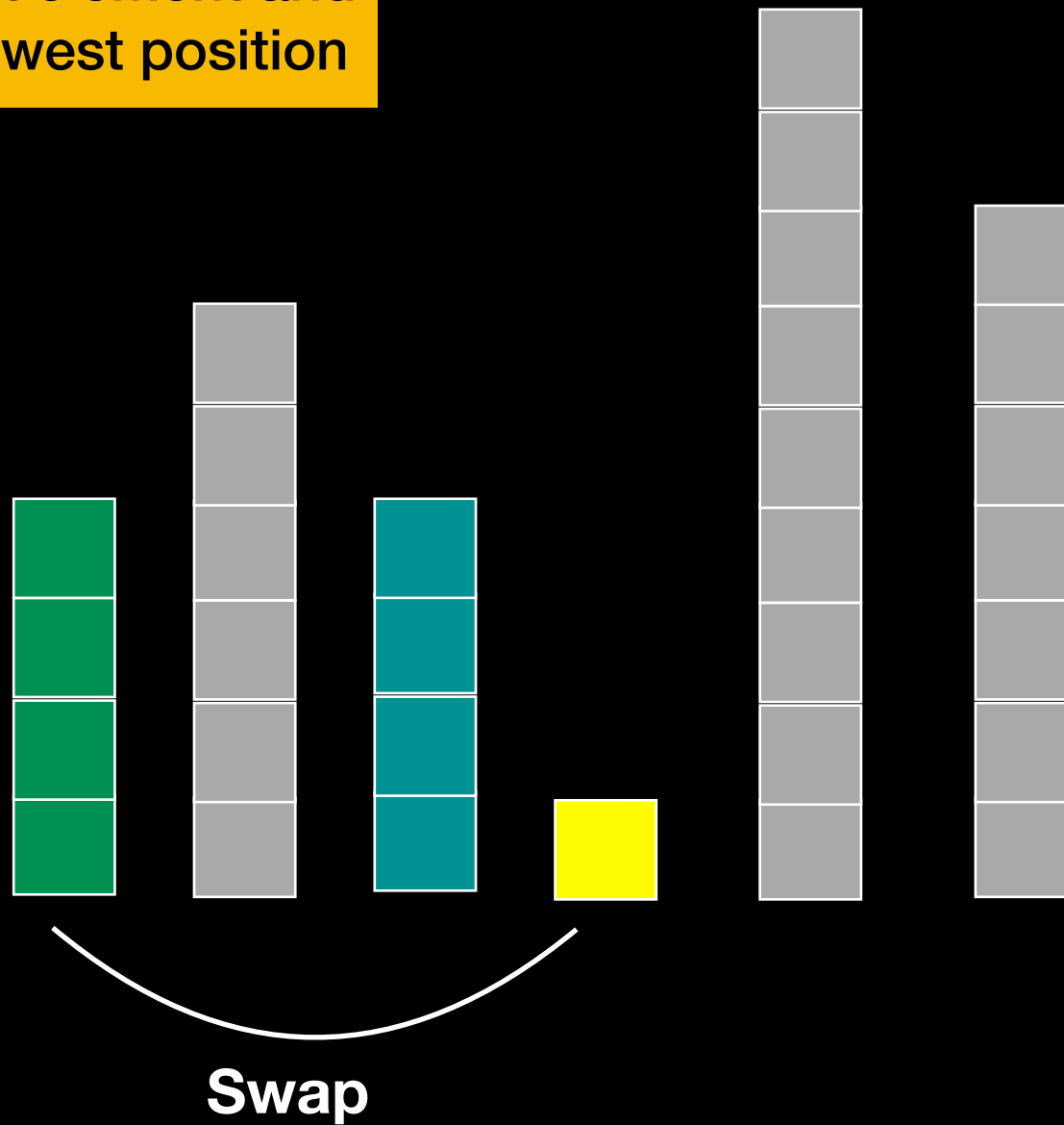


Selection Sort

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Sorted

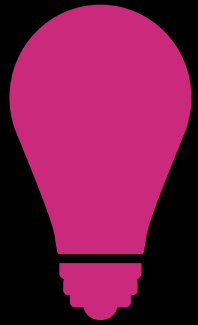


Find smallest element and
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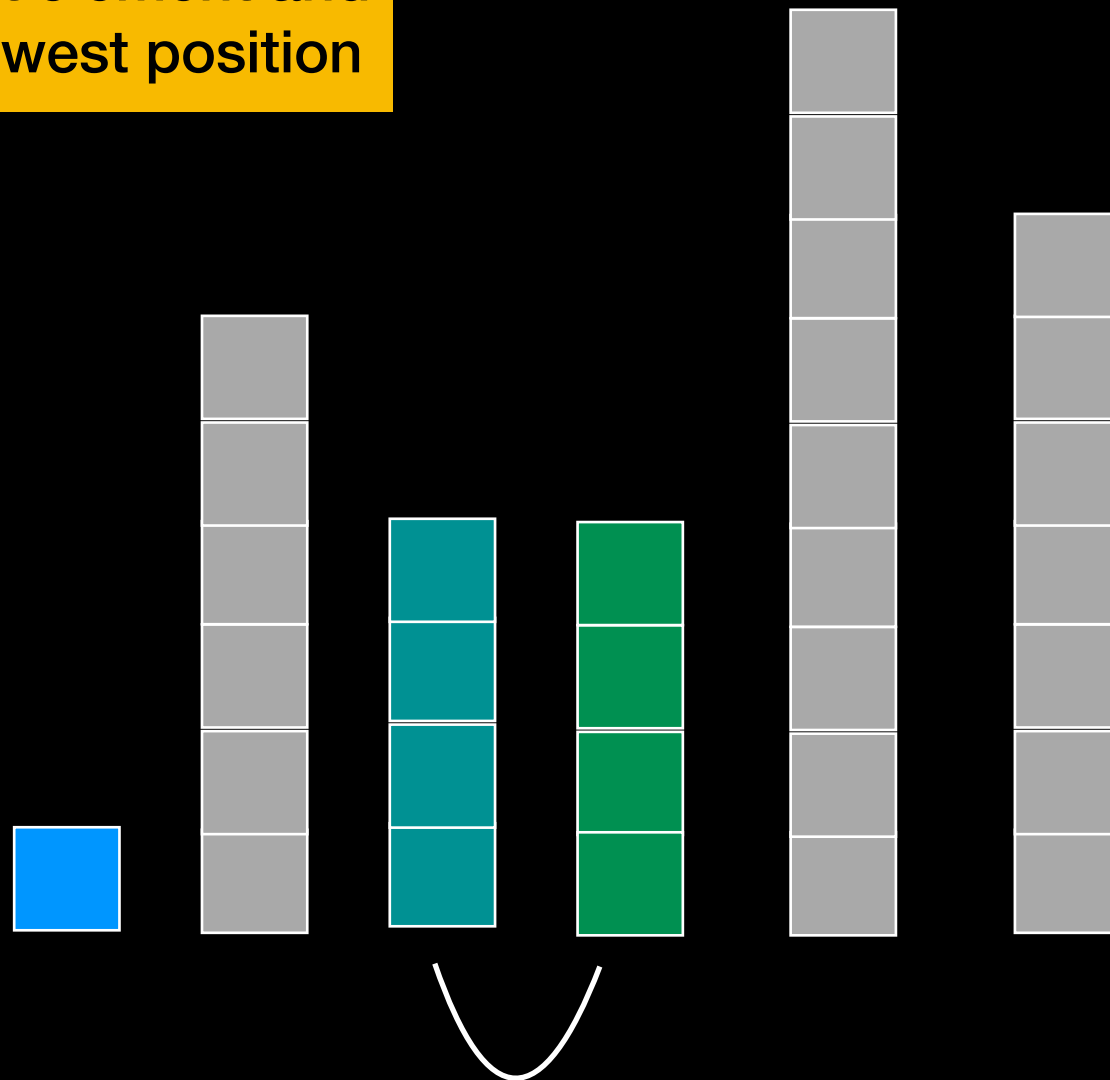


Selection Sort

Unsorted
Sorted



Find smallest element and
move it at lowest position



Unstable

Selection Sort Analysis

Execution time DOES NOT depend on initial arrangement of data => ALWAYS $O(n^2)$

$O(n^2)$ comparisons

Good choice for small n and/or data moves are costly
($O(n)$ data moves)

Unstable

Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

100	14	3	43	200	274	523	108	76	195	599	158
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----

$$T(2n) \approx 4T(n)$$

$$(2n)^2 = 4n^2$$

Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$$T(3n) \approx 9T(n)$$

$$(3n)^2 = 9n^2$$

Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

Execution time increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

!

Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

Execution time increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

Assume $n = 100,000$ and $T(n) = 17$ seconds

Sorting **10,000,000** takes **10,000** longer

!

Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

Execution time increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

Assume $n = 100,000$ and $T(n) = 17$ seconds

Sorting **10,000,000** takes **10,000** longer

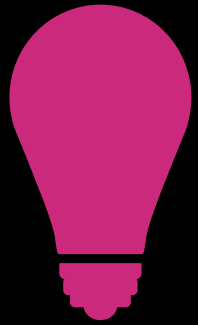
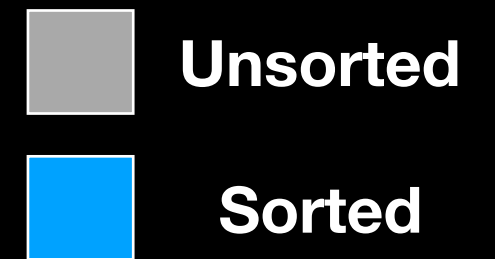
Sorting **10,000,000** entries takes \approx **2 days**

Multiplying input by **100** to go from **17sec** to **2 days!!!**

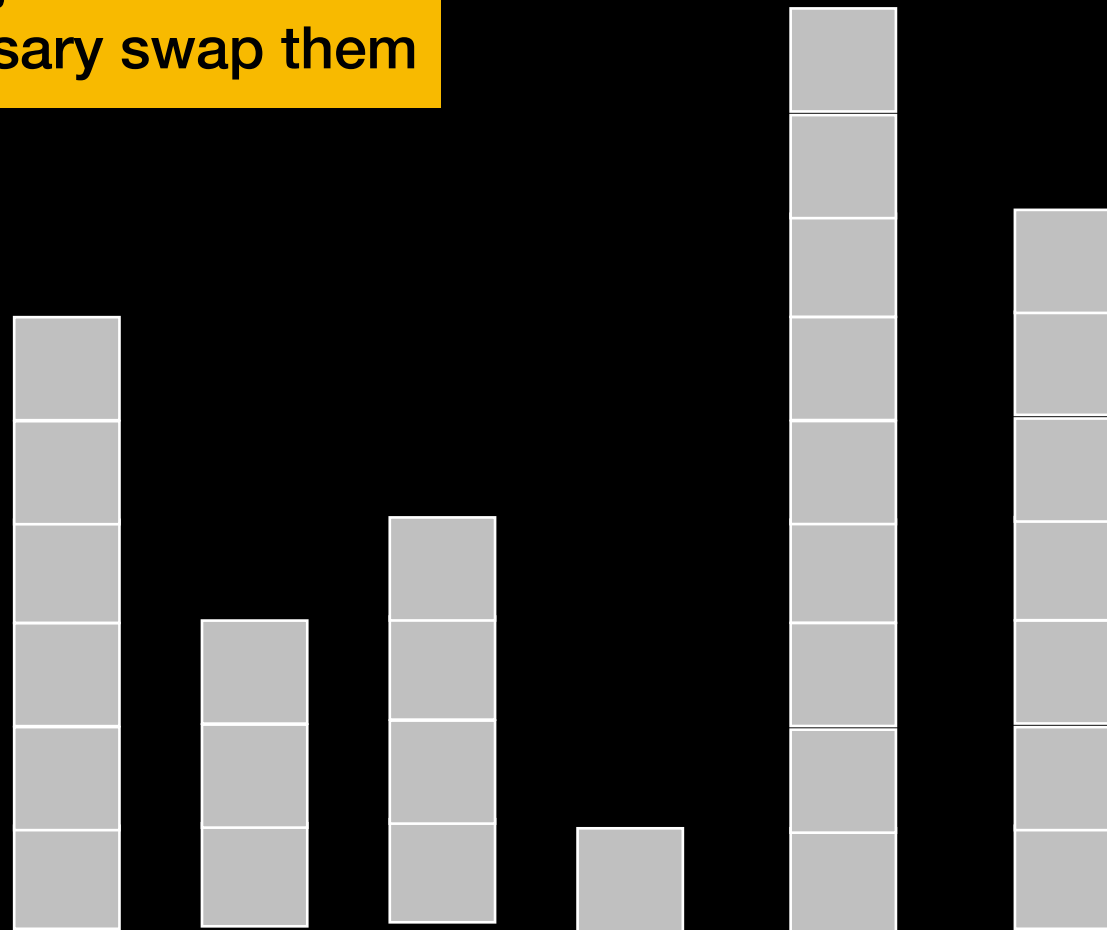
Raise your hand if you had
Selection Sort

Bubble Sort

Bubble Sort



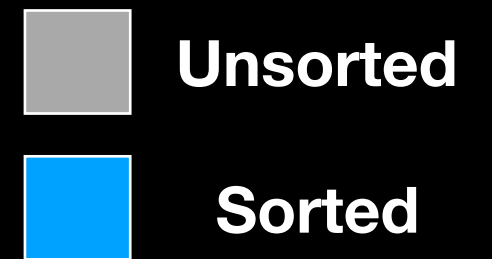
Compare adjacent elements
and if necessary swap them



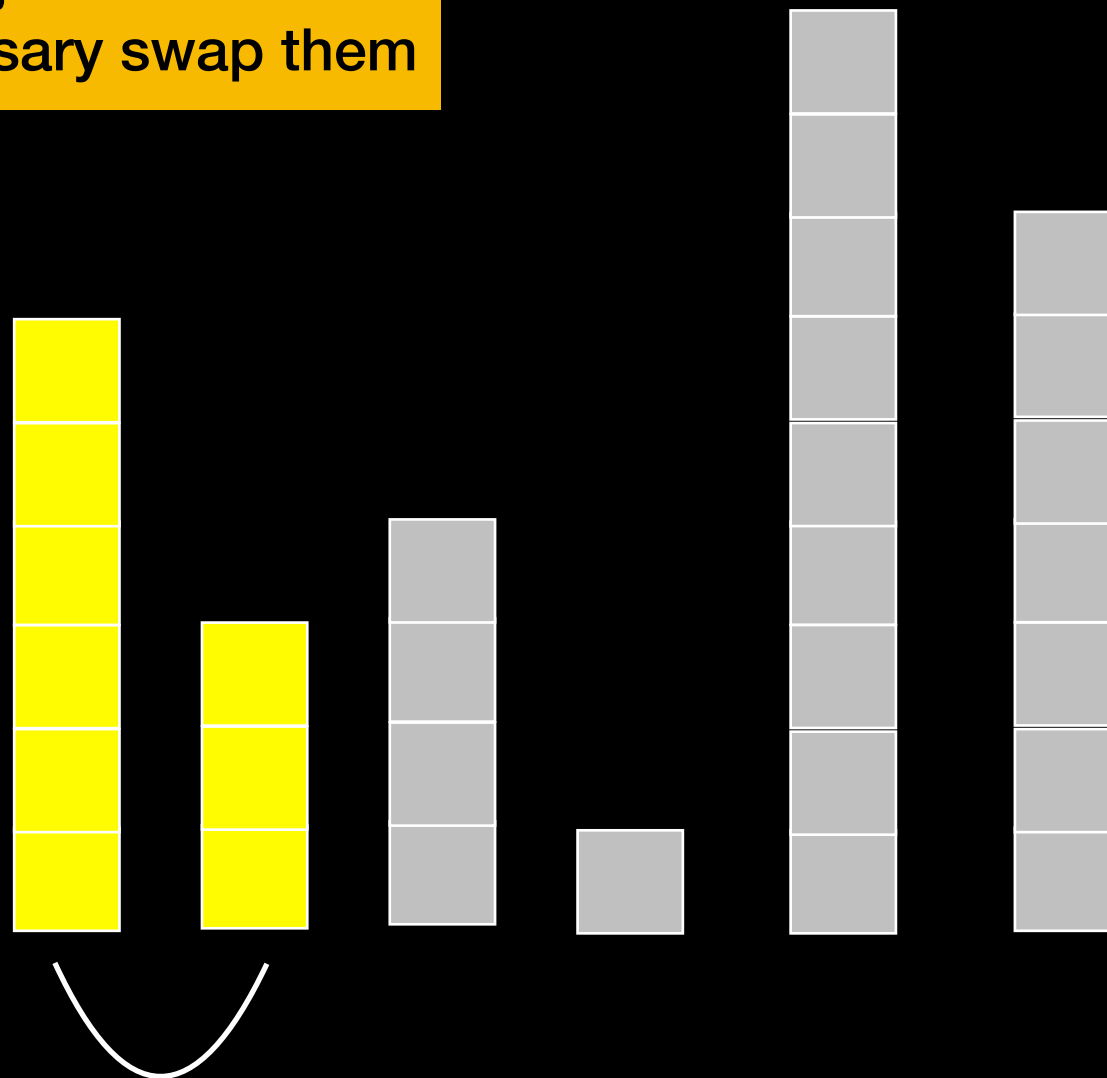
Bubble Sort



Compare adjacent elements
and if necessary swap them



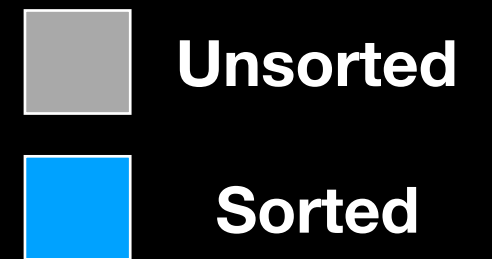
1st Pass



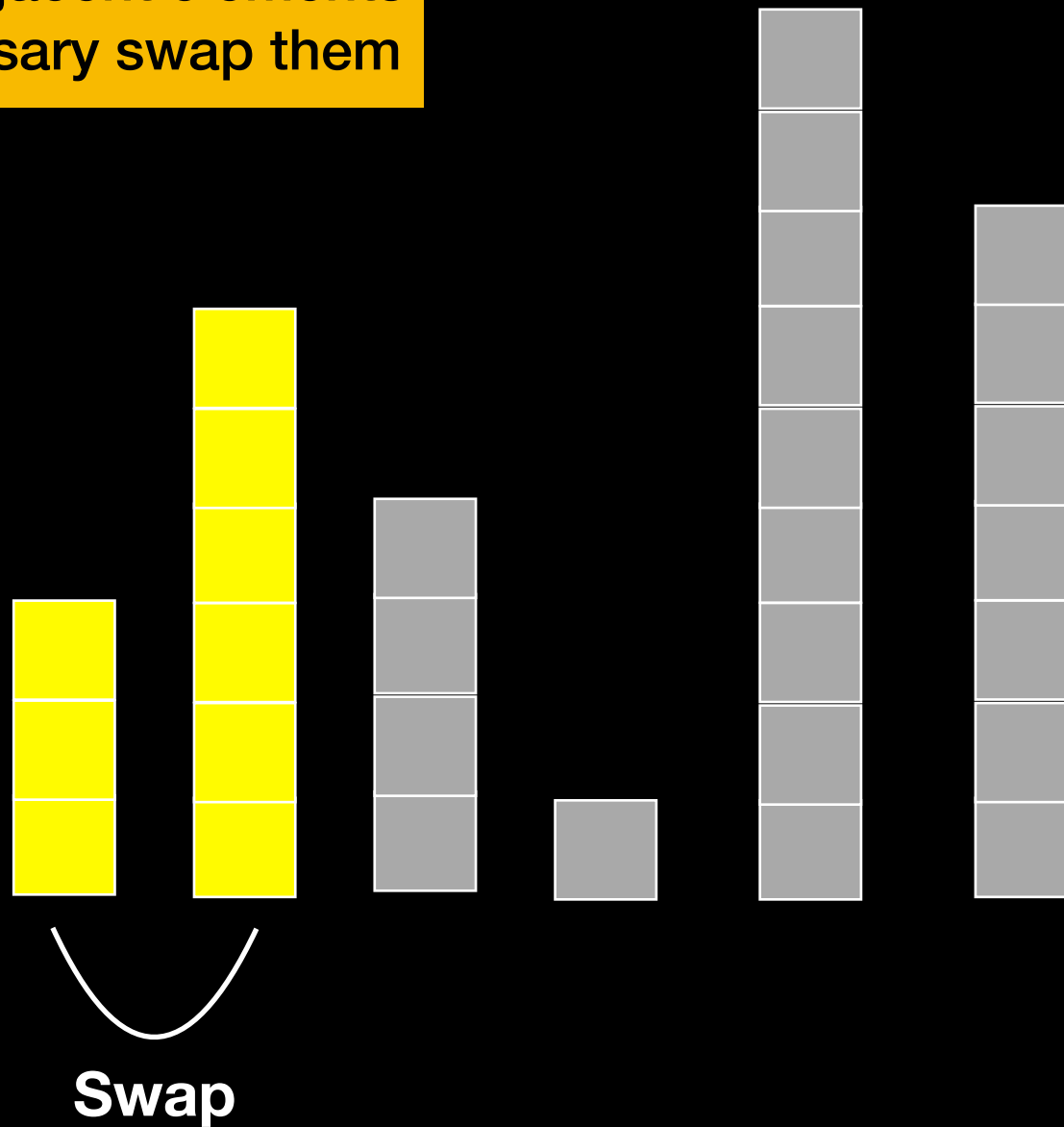
Bubble Sort



Compare adjacent elements
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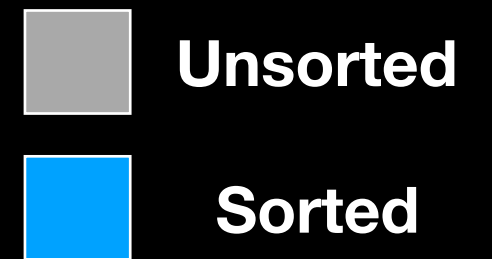
1st Pass



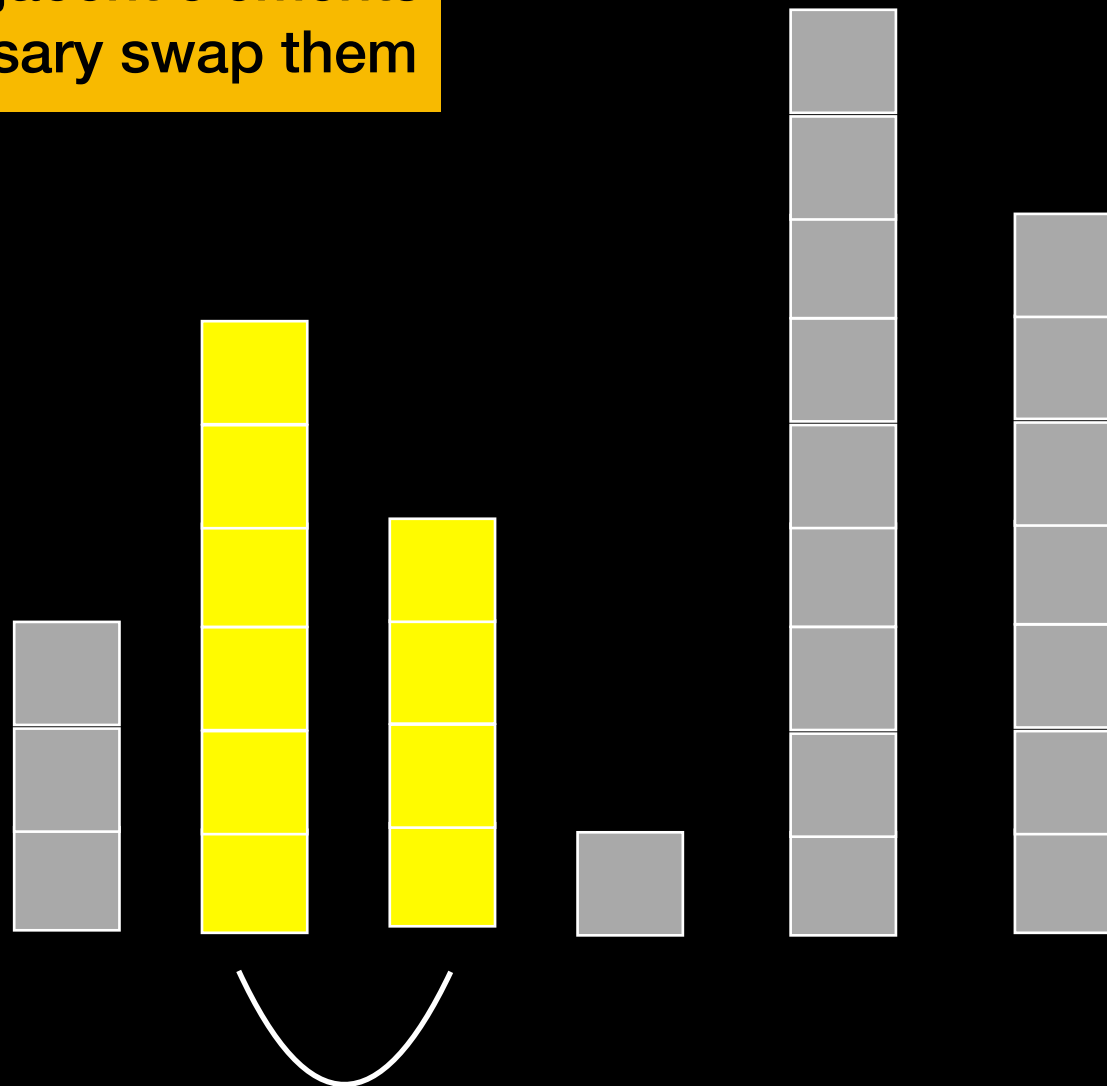
Bubble Sort



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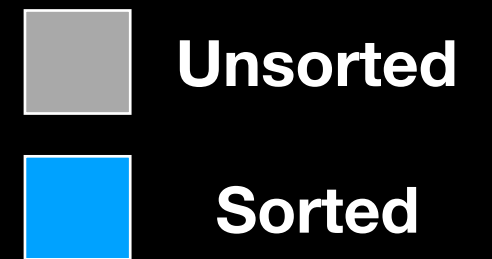
1st Pass



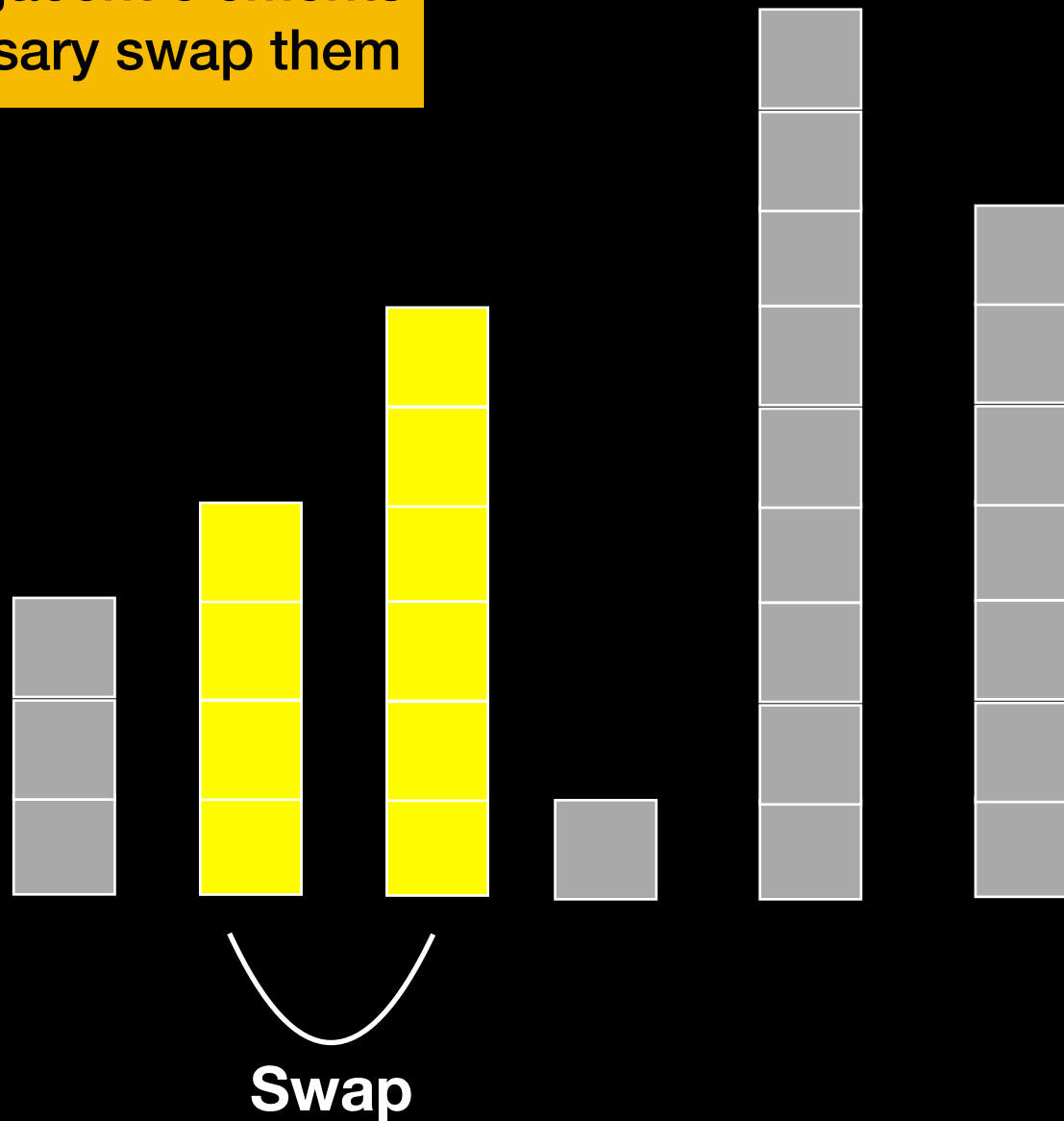
Bubble Sort



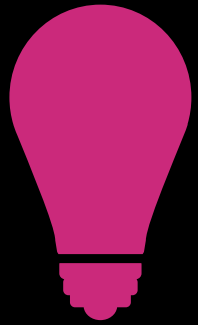
Compare adjacent elements
and if necessary swap them



1st Pass



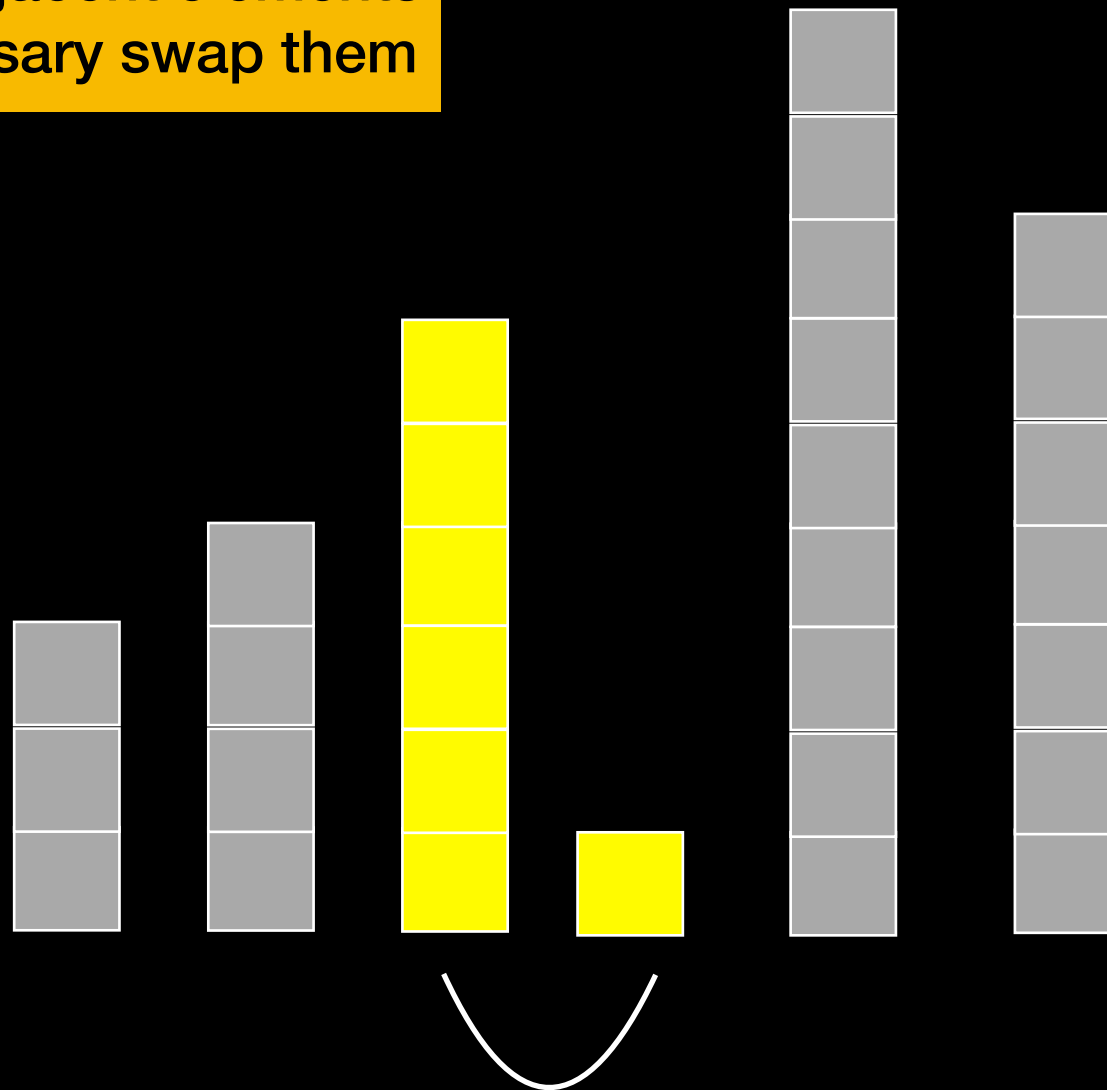
Bubble Sort



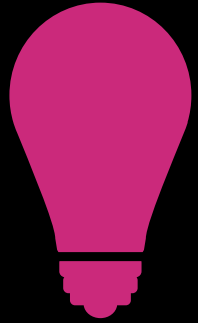
Compare adjacent elements
and if necessary swap them



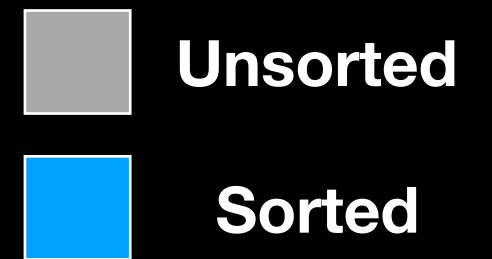
1st Pass



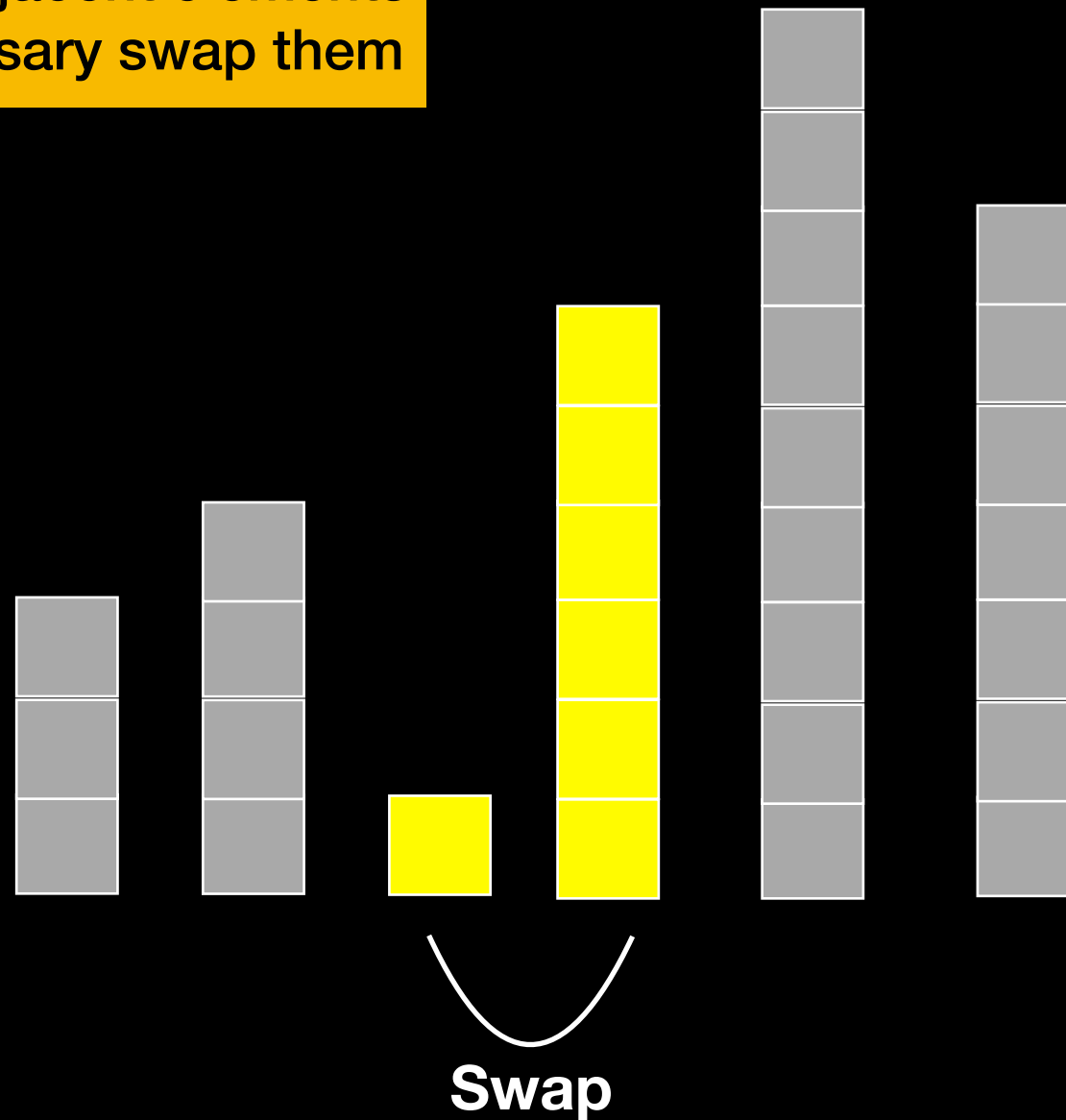
Bubble Sort



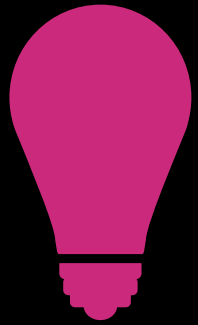
Compare adjacent elements
and if necessary swap them



1st Pass



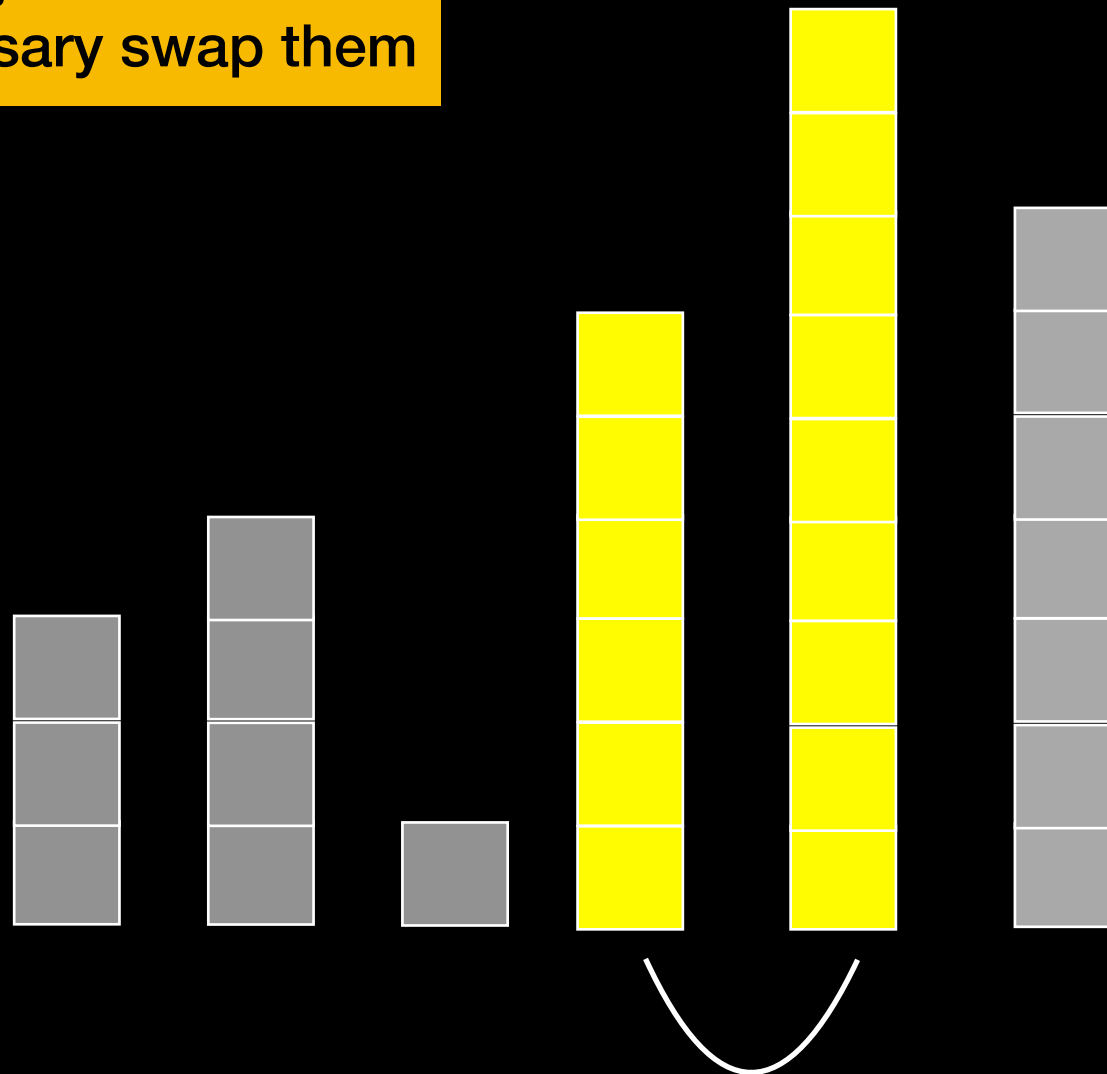
Bubble Sort



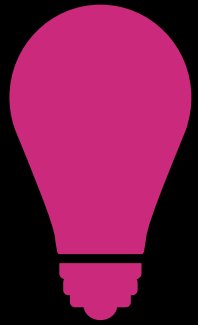
Compare adjacent elements
and if necessary swap them



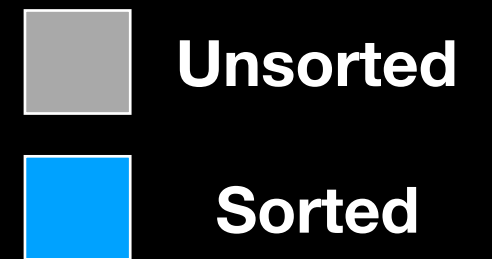
1st Pass



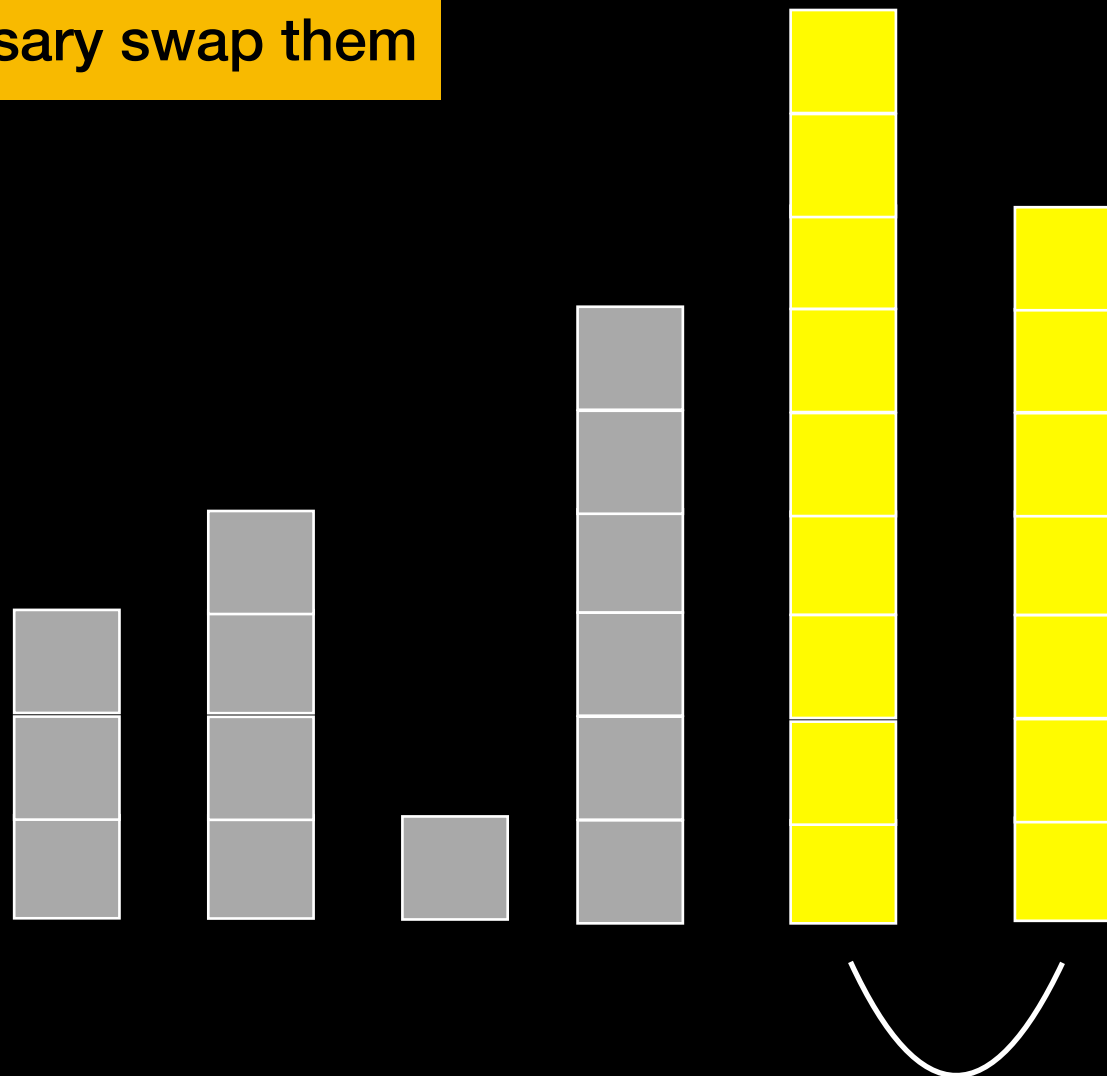
Bubble Sort



Compare adjacent elements
and if necessary swap them



1st Pass



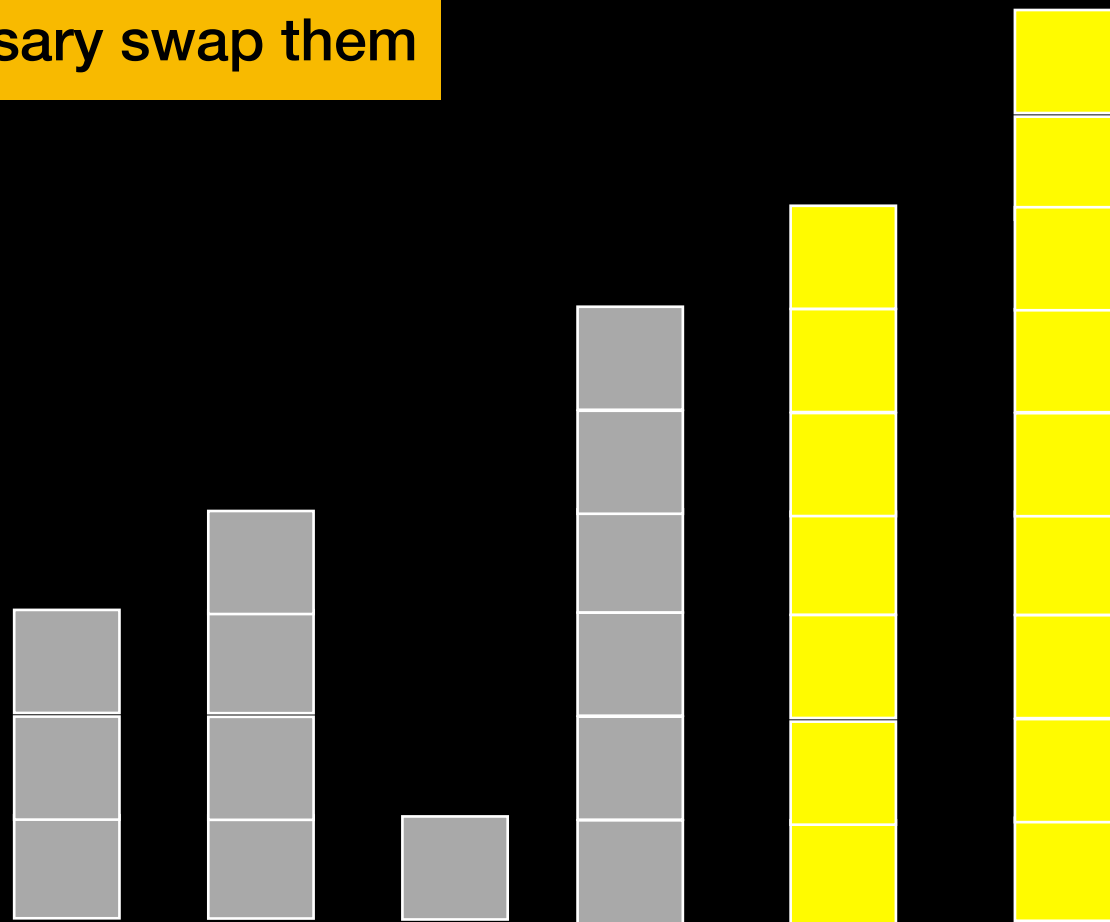
Bubble Sort



Compare adjacent elements
and if necessary swap them



1st Pass

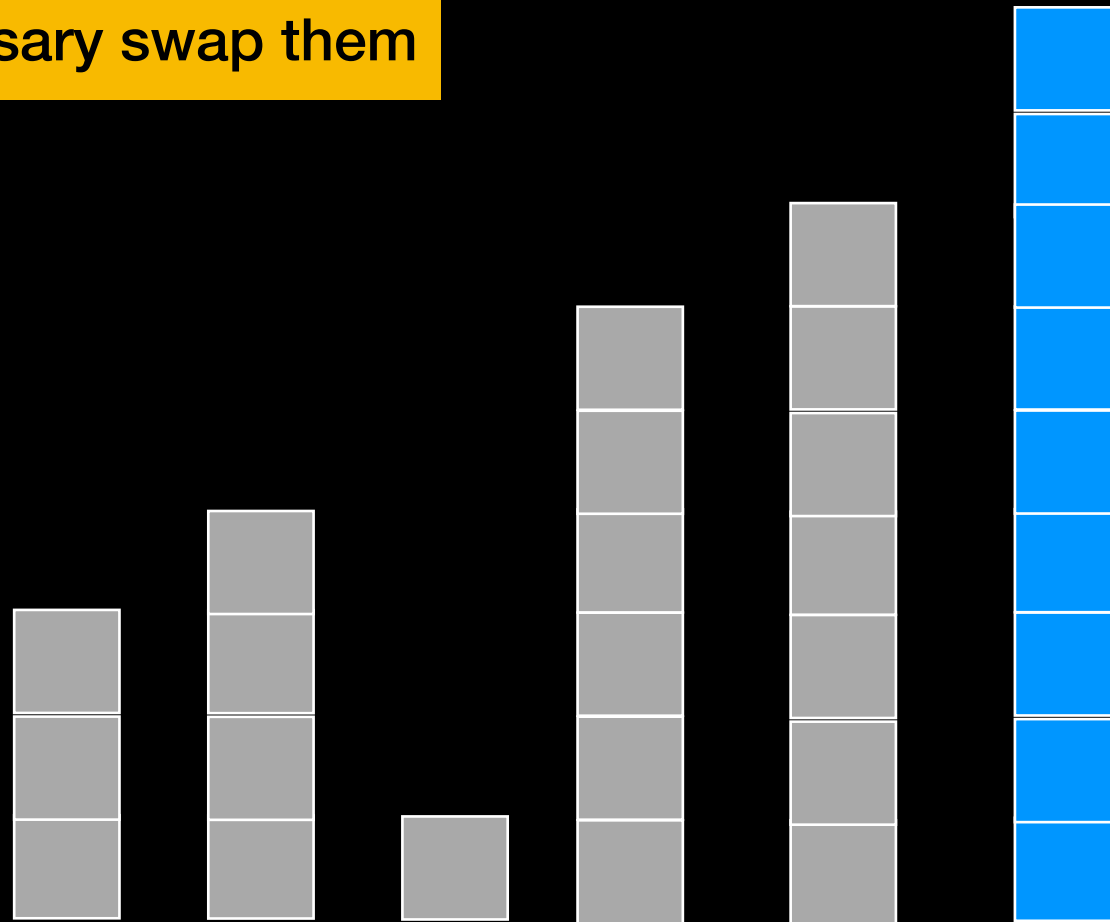


Swap

Bubble Sort



Compare adjacent elements
and if necessary swap them



End of 1st Pass:

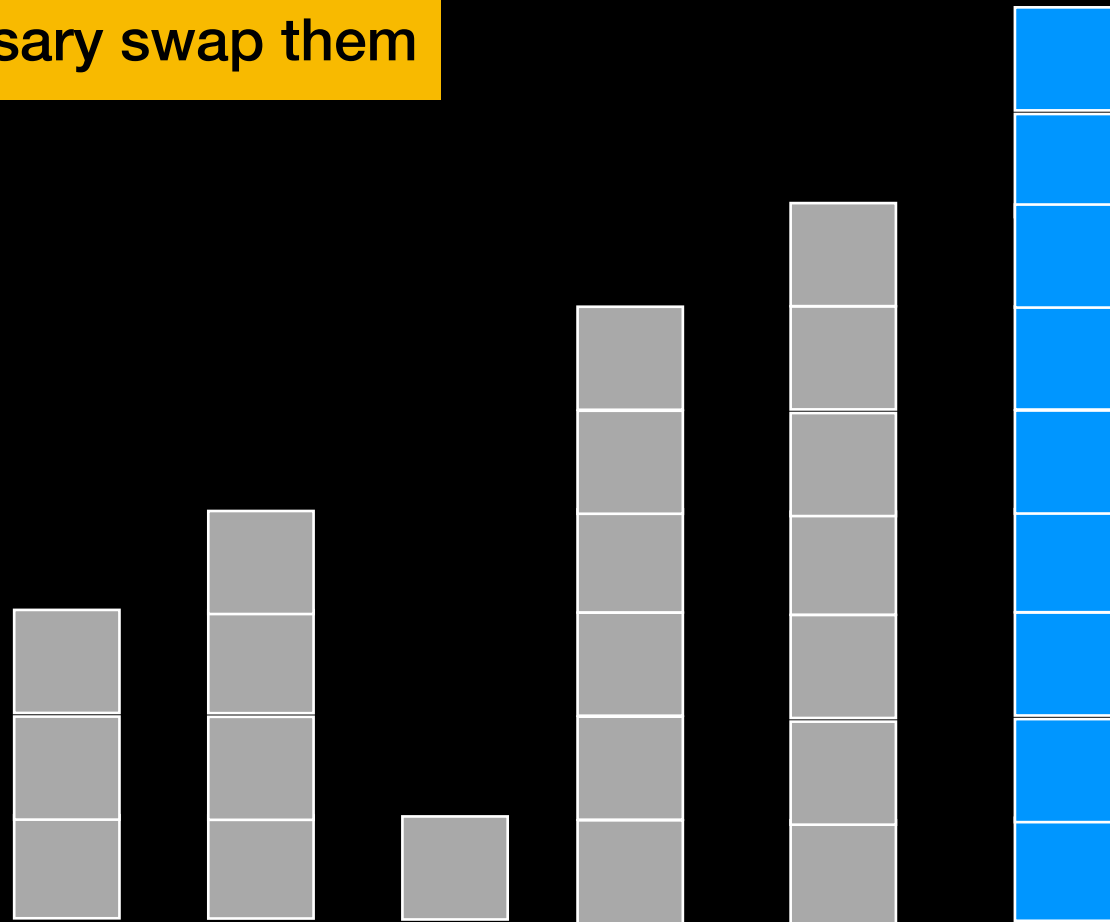
Not sorted, but largest has
"bubbled up" to its proper
position

Bubble Sort



Compare adjacent elements
and if necessary swap them

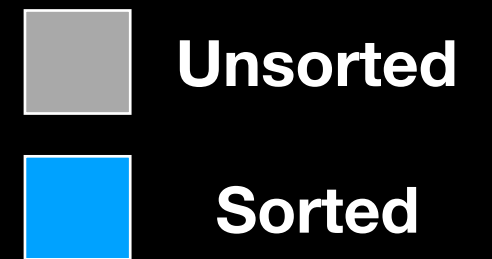
2nd Pass:
Sort **n-1**



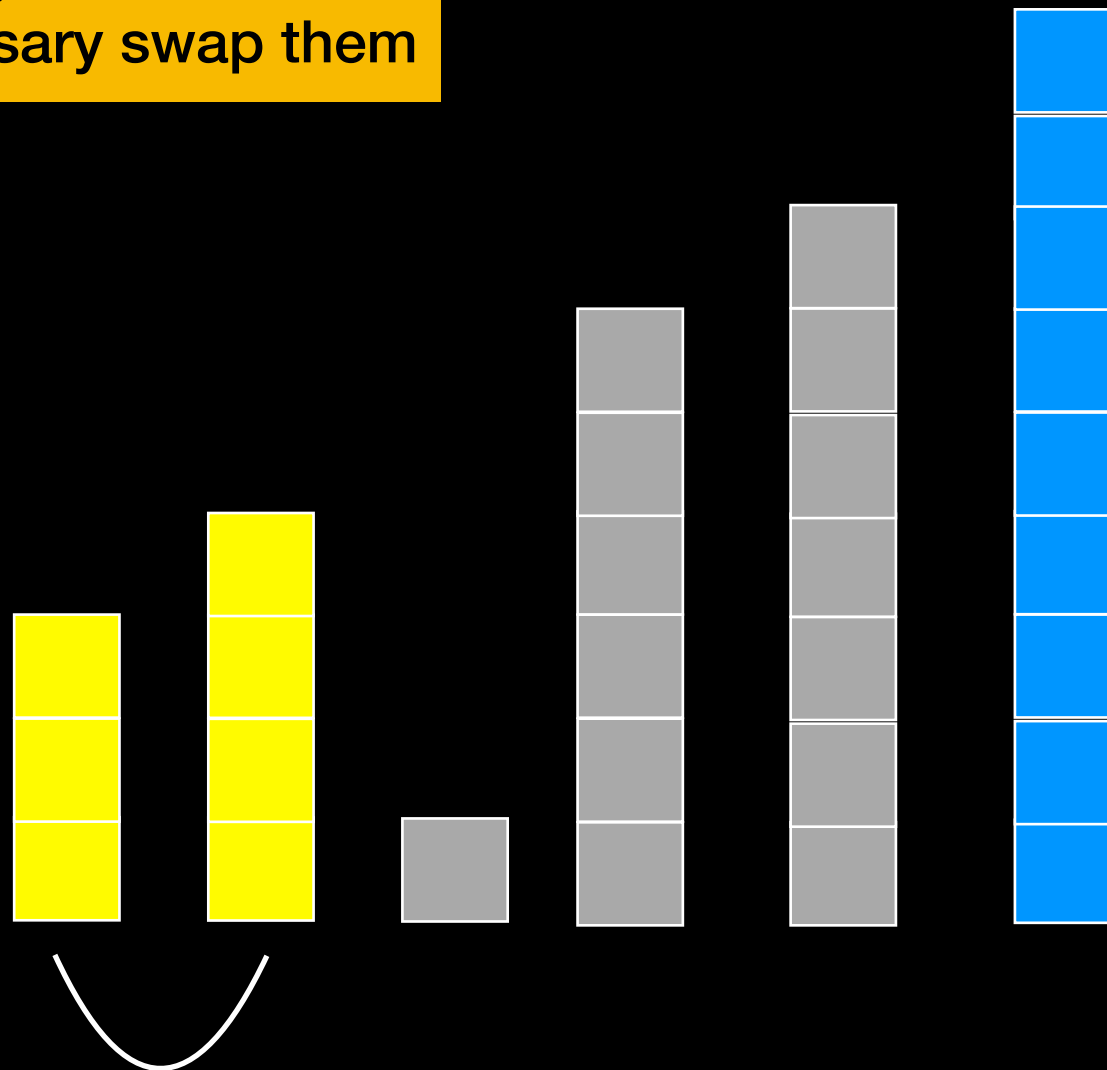
Bubble Sort



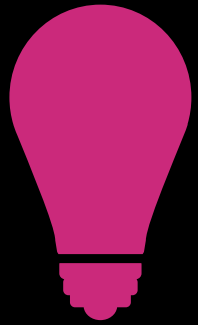
Compare adjacent elements
and if necessary swap them



2nd Pass



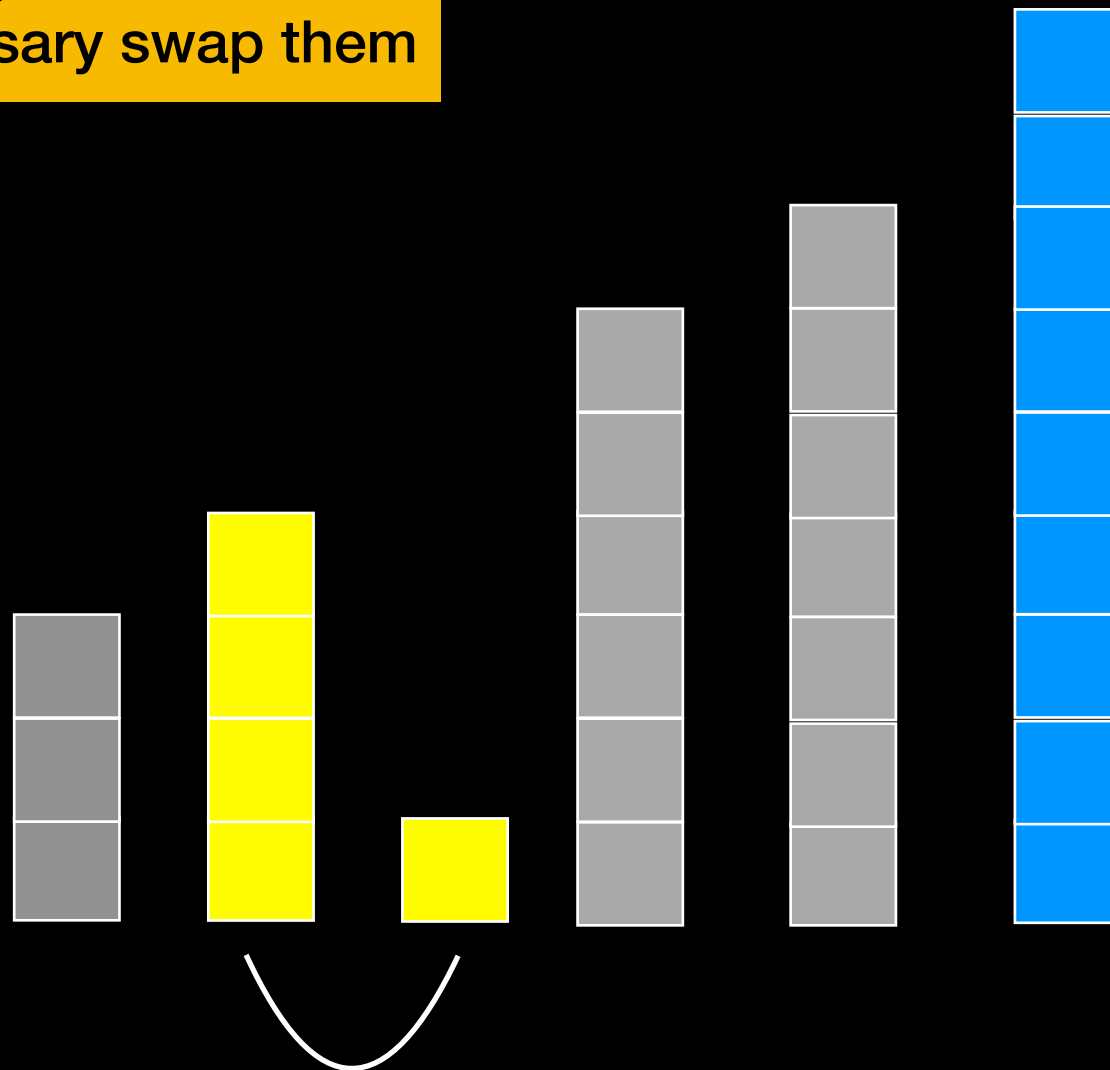
Bubble Sort



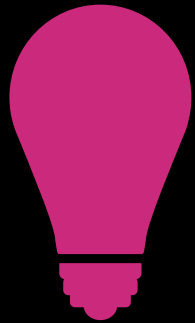
Compare adjacent elements
and if necessary swap them



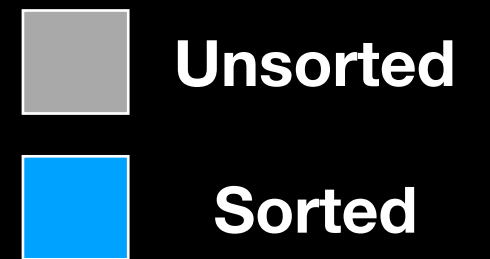
2nd Pass



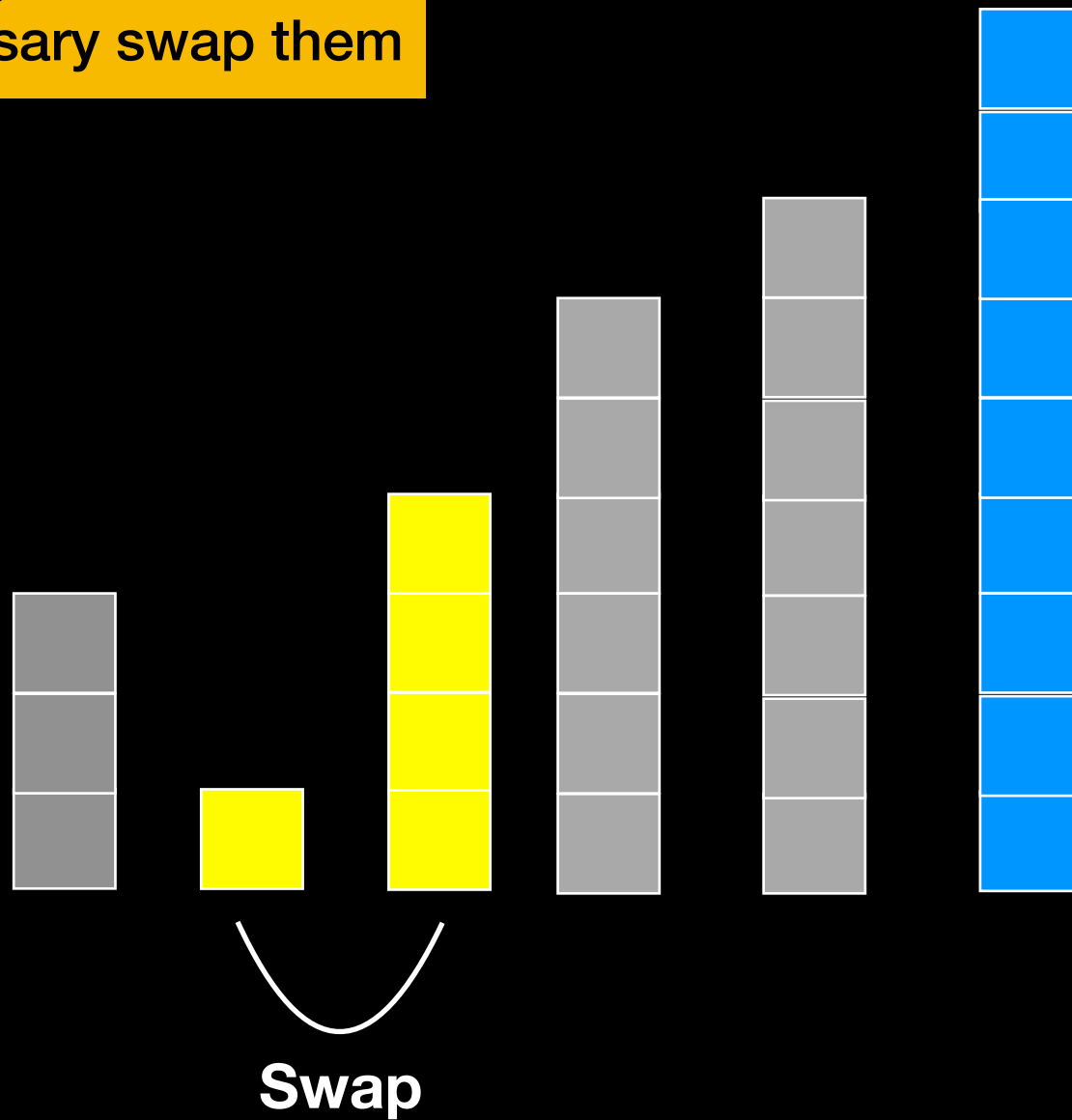
Bubble Sort



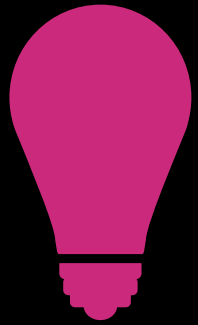
Compare adjacent elements
and if necessary swap them



2nd Pass



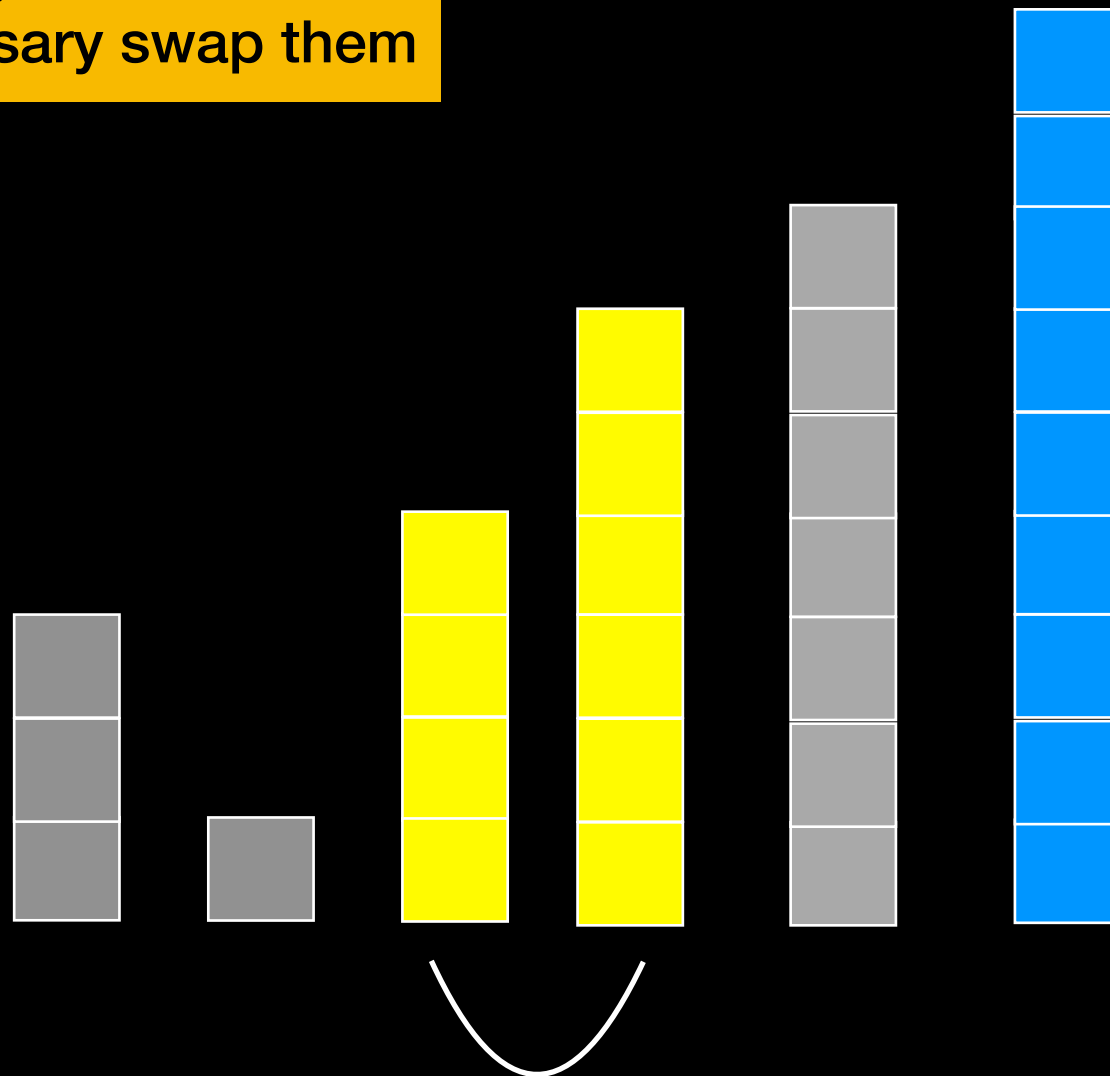
Bubble Sort



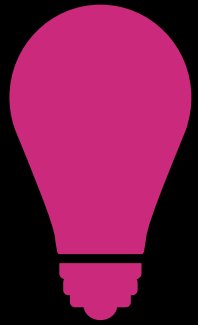
Compare adjacent elements
and if necessary swap them



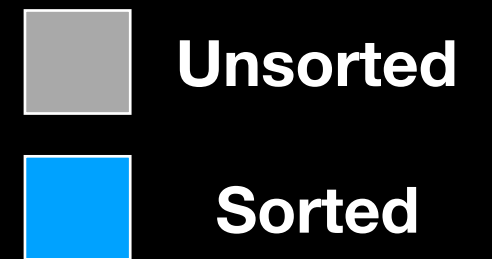
2nd Pass



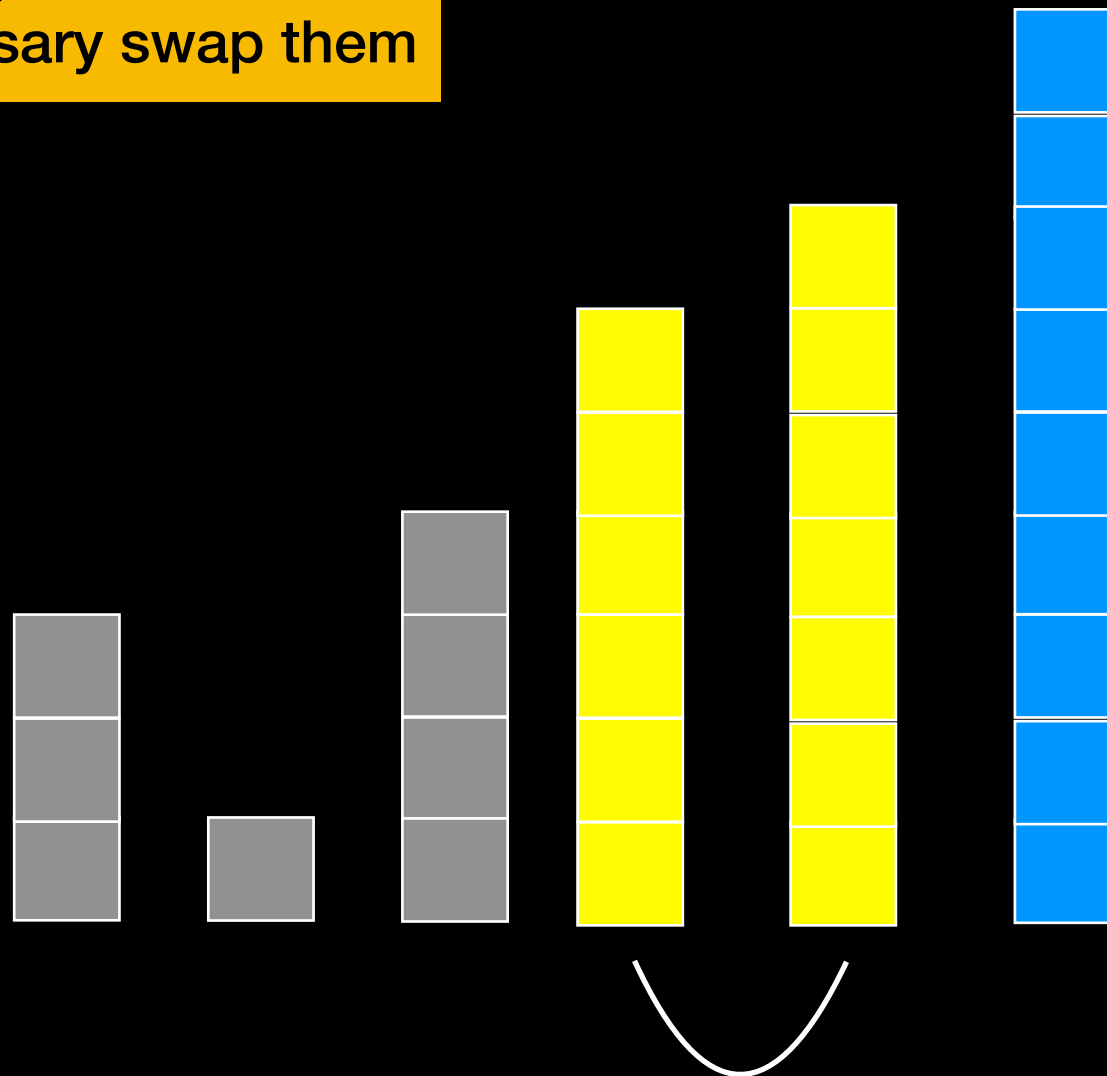
Bubble Sort



Compare adjacent elements
and if necessary swap them



2nd Pass

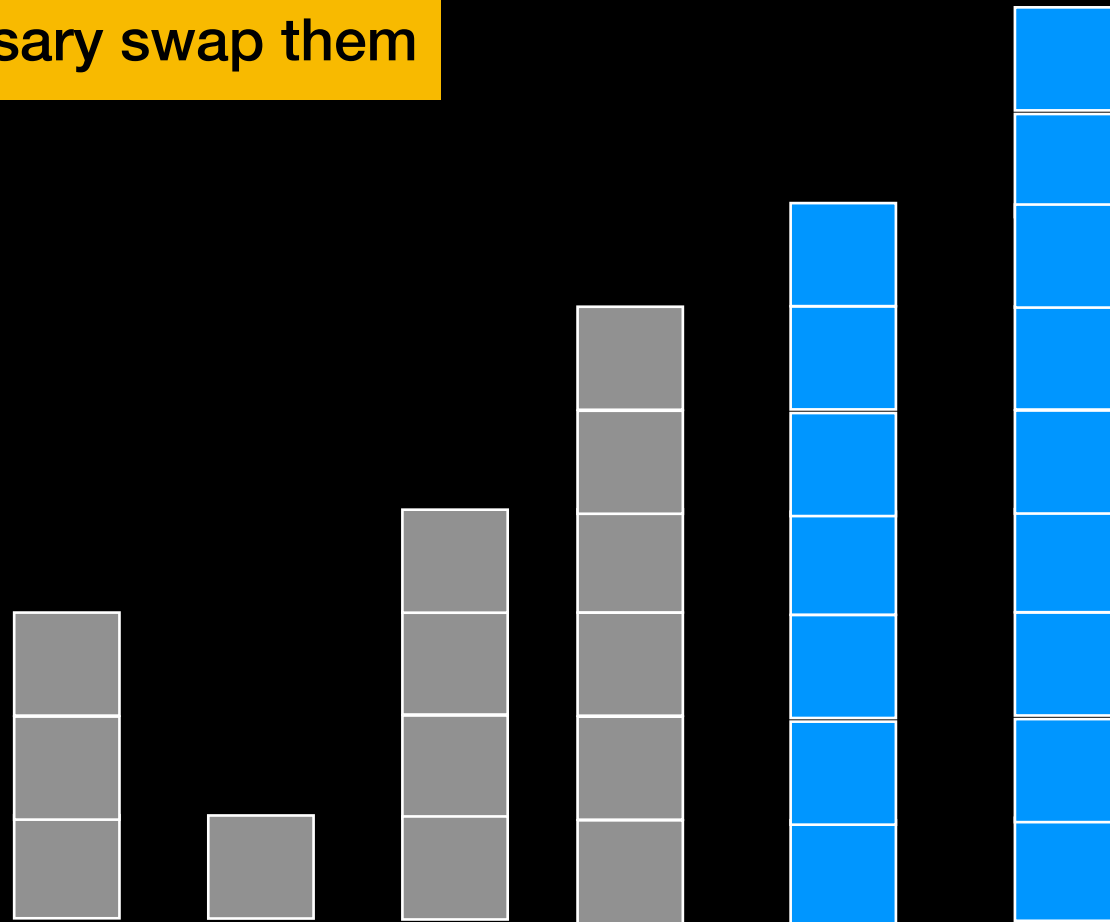


Bubble Sort



Compare adjacent elements
and if necessary swap them

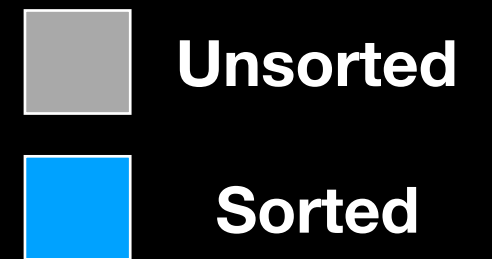
3rd Pass:
Sort **n-2**



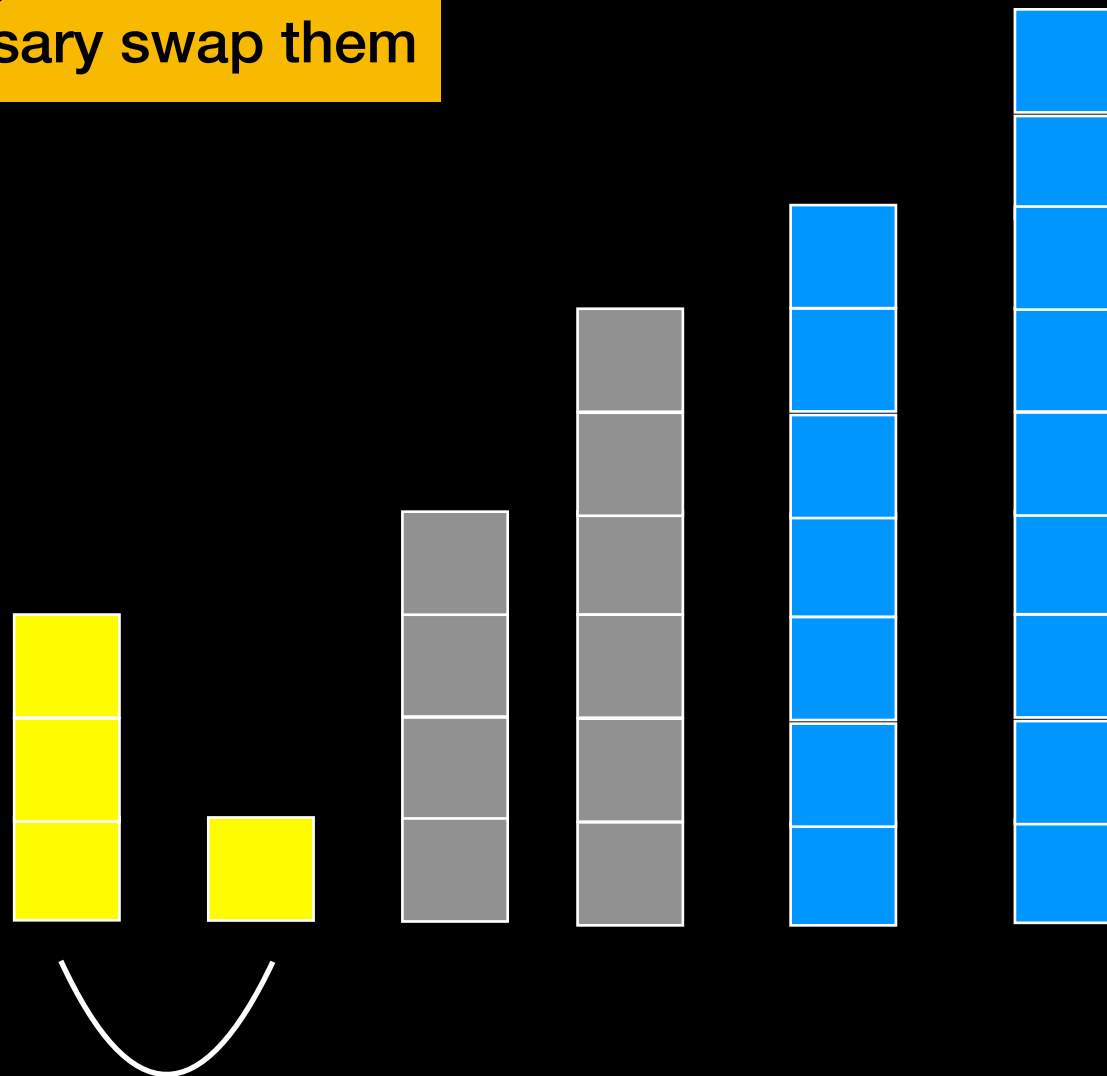
Bubble Sort



Compare adjacent elements
and if necessary swap them



3rd Pass



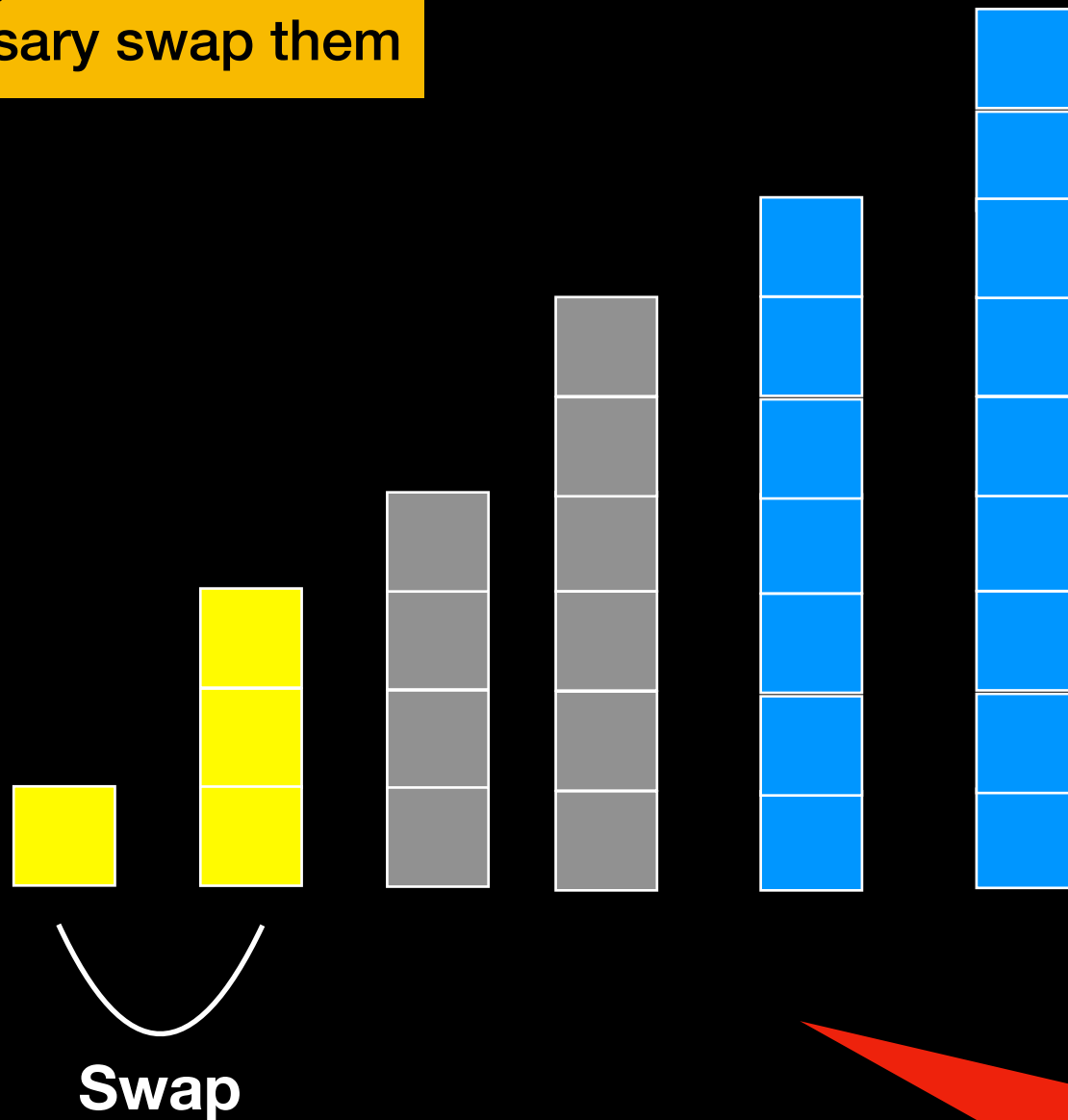
Bubble Sort



Compare adjacent elements
and if necessary swap them

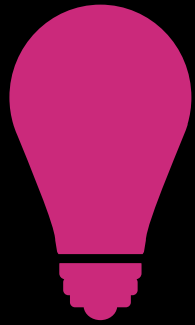


3rd Pass



Array is sorted
But our algorithm doesn't know
It keeps on going

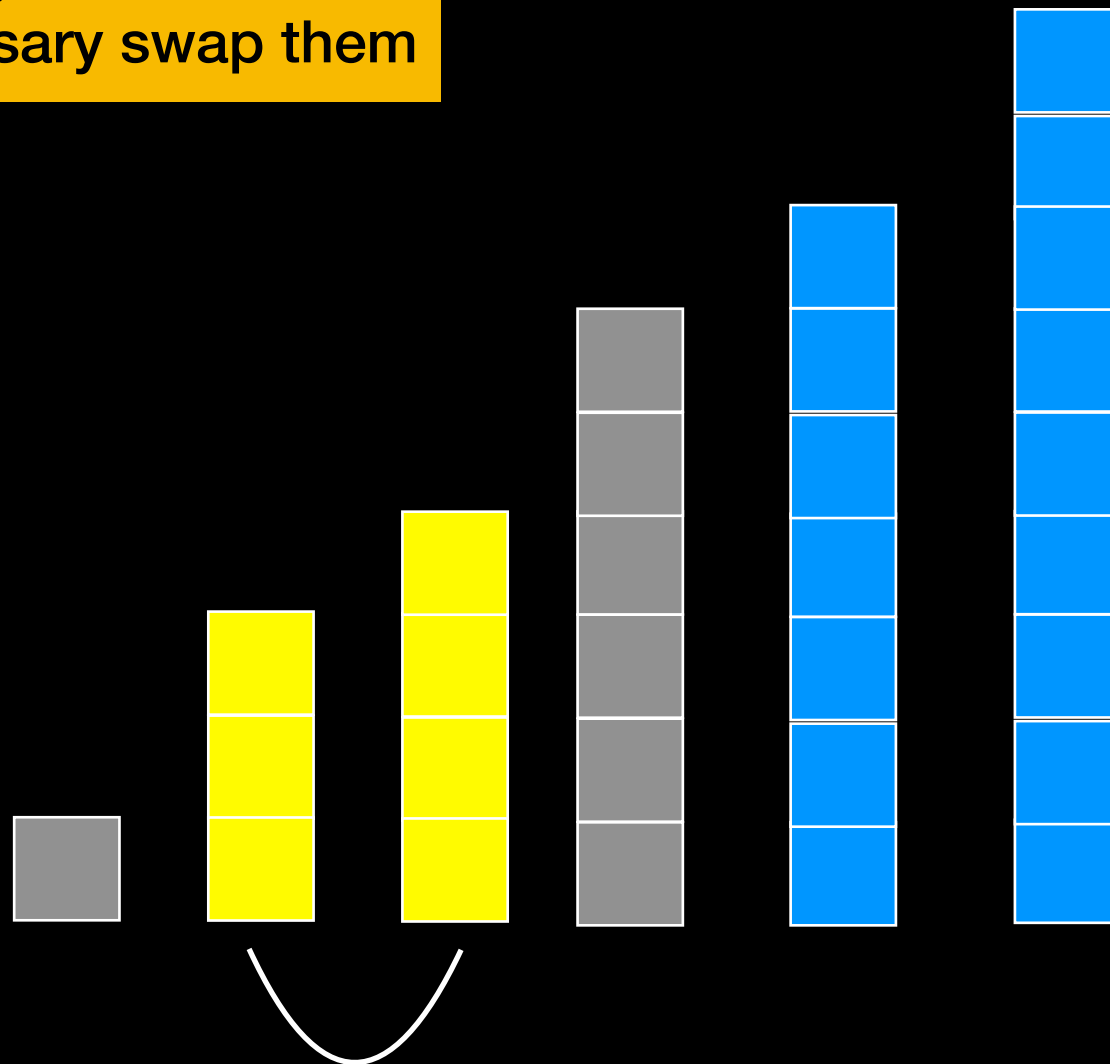
Bubble Sort



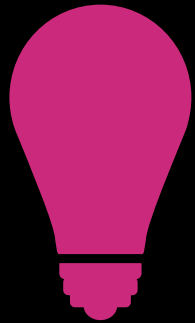
Compare adjacent elements
and if necessary swap them



3rd Pass



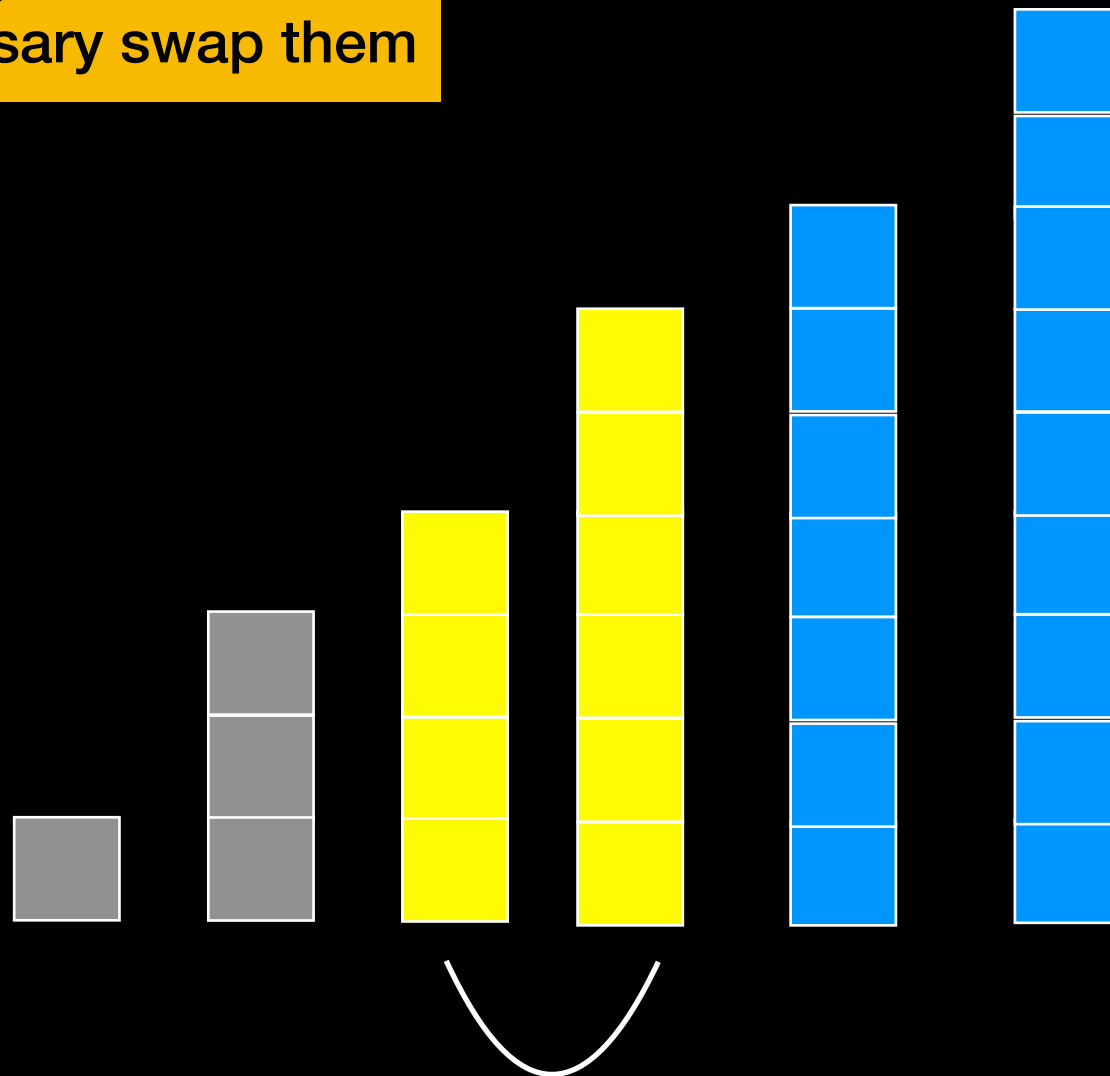
Bubble Sort



Compare adjacent elements
and if necessary swap them



3rd Pass

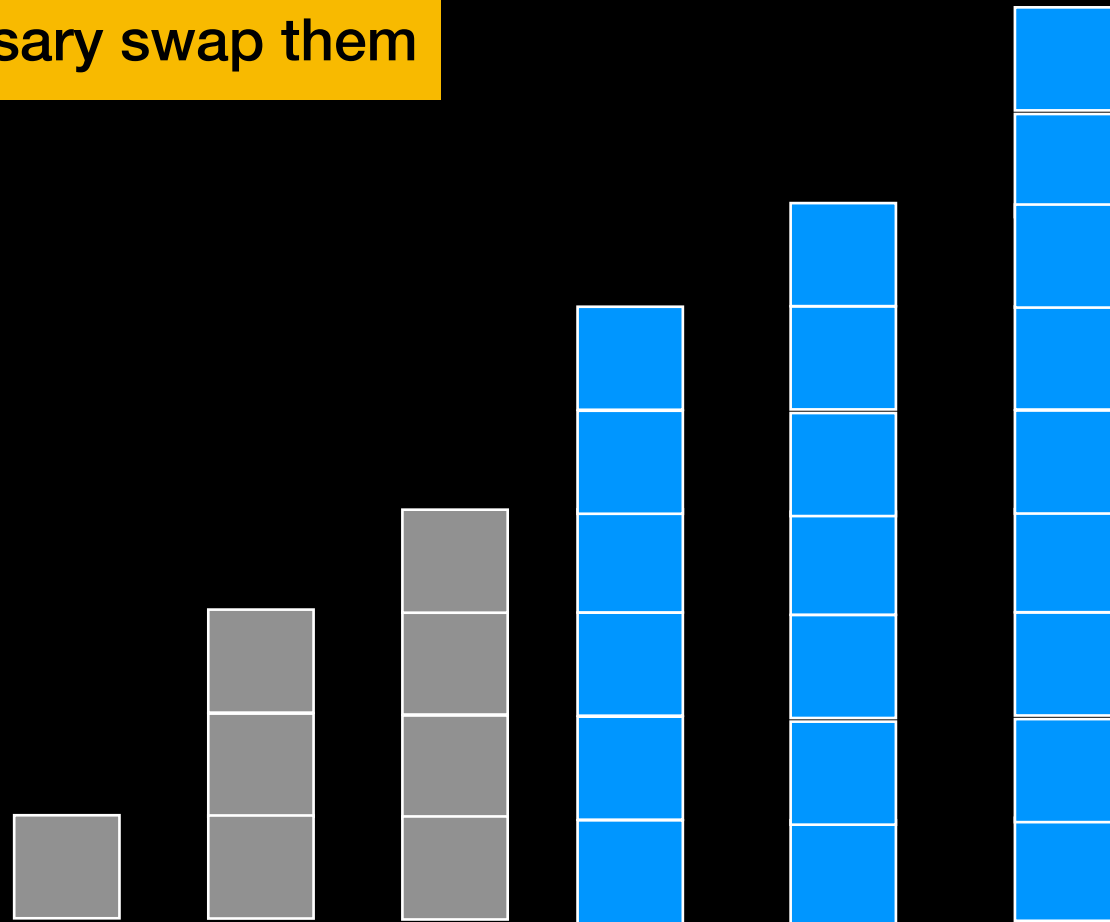


Bubble Sort

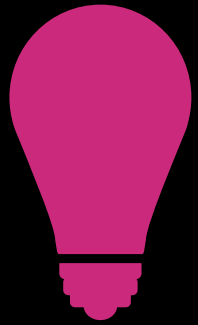


Compare adjacent elements
and if necessary swap them

4th Pass:
Sort **n-3**



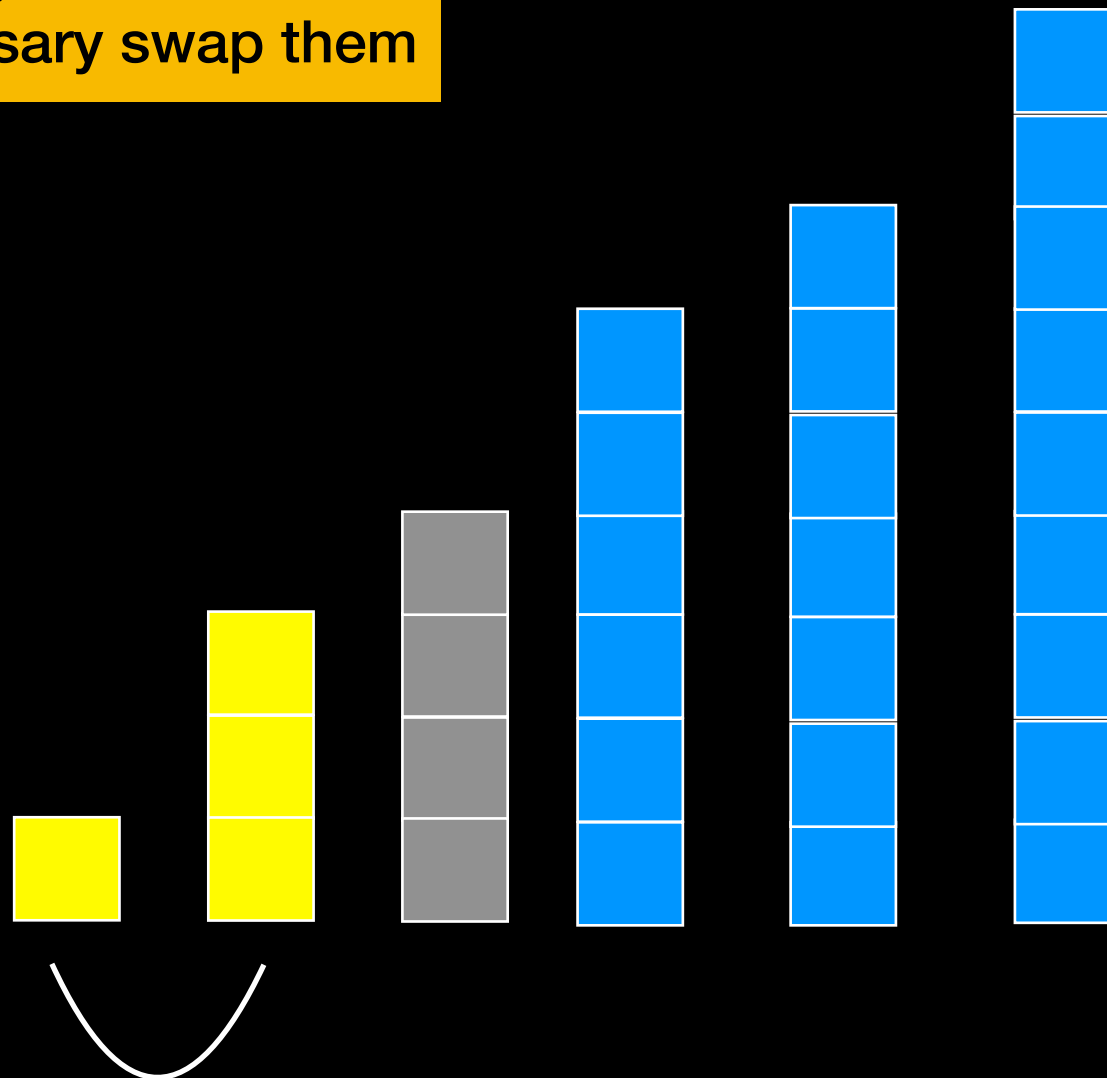
Bubble Sort



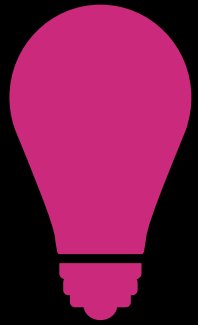
Compare adjacent elements
and if necessary swap them



4th Pass



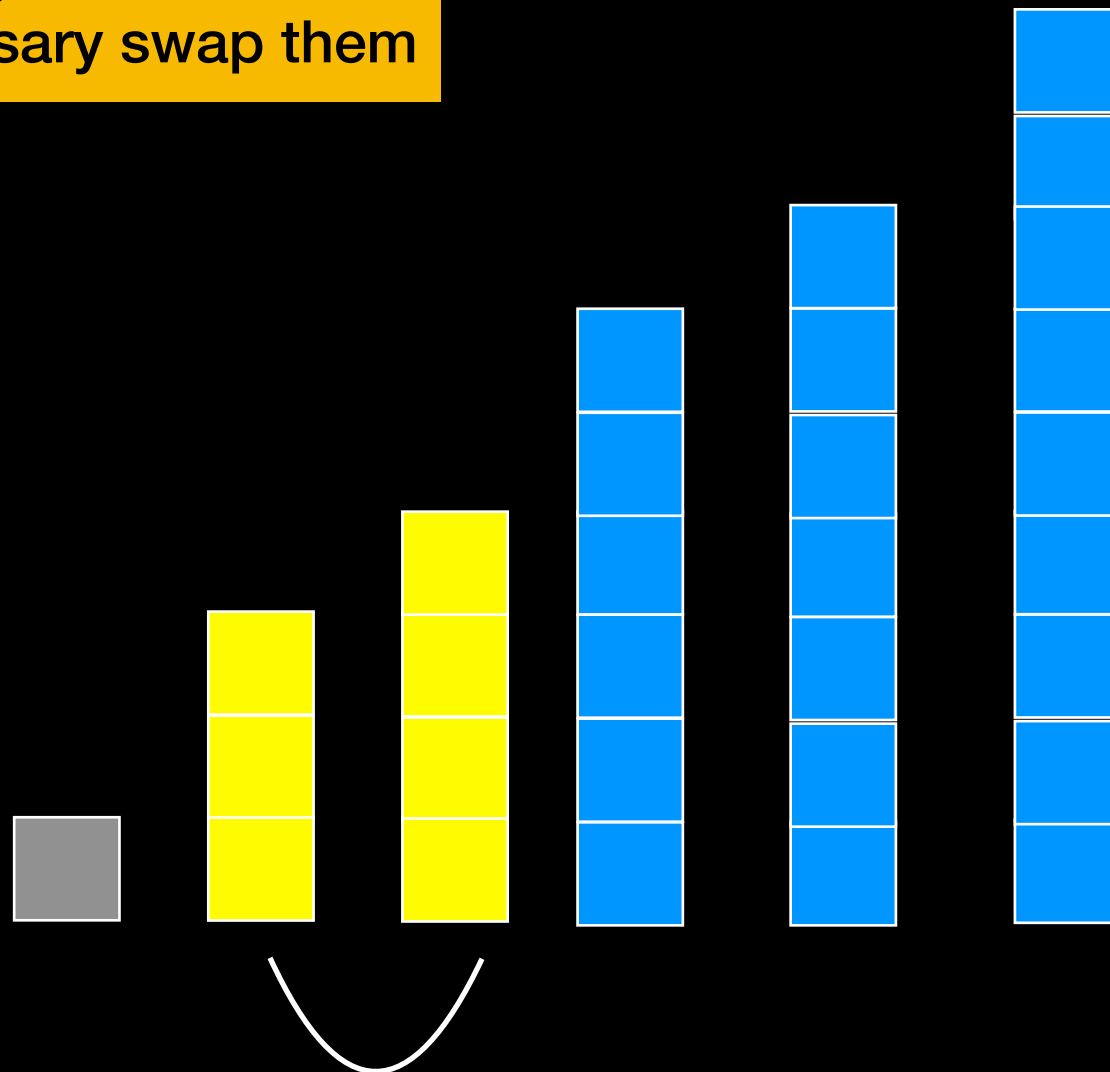
Bubble Sort



Compare adjacent elements
and if necessary swap them



4th Pass

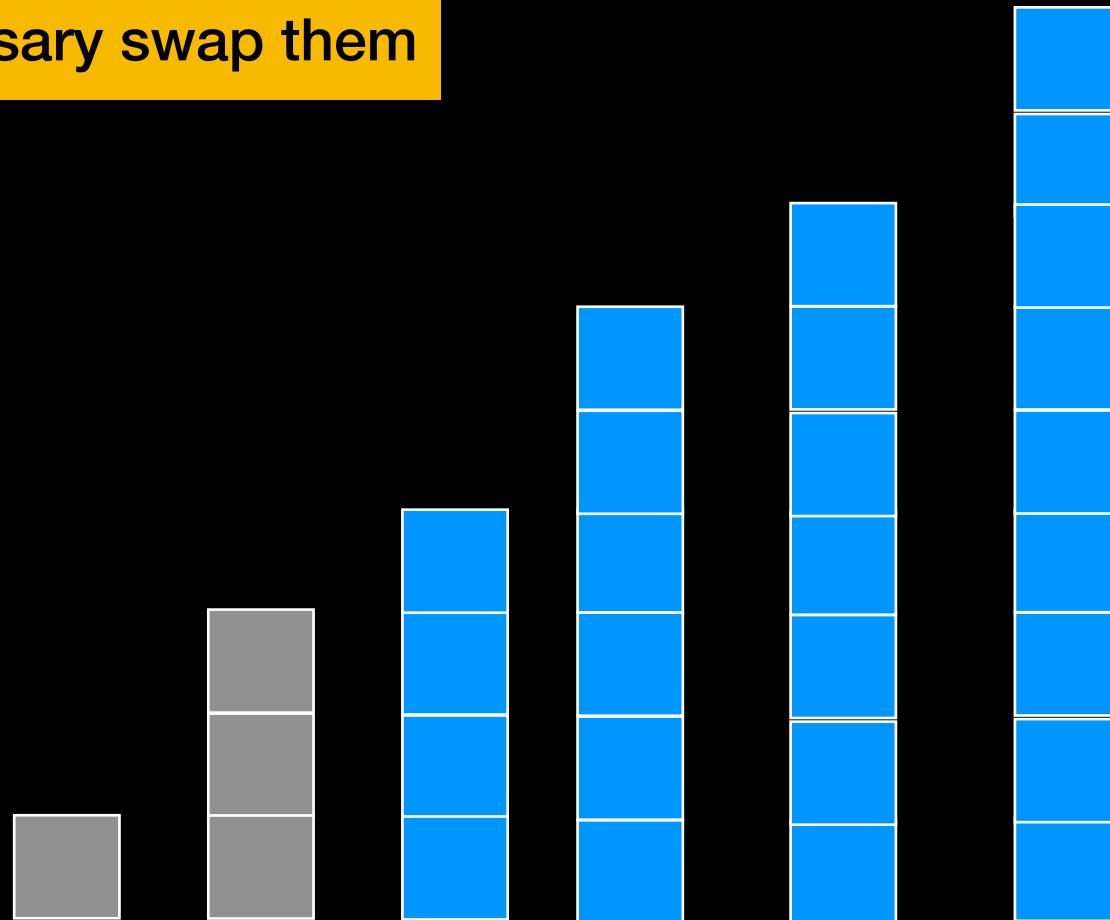


Bubble Sort



Compare adjacent elements
and if necessary swap them

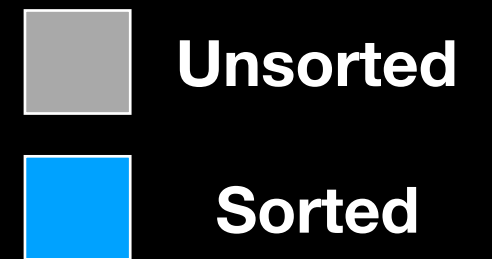
5th Pass:
Sort **n-4**



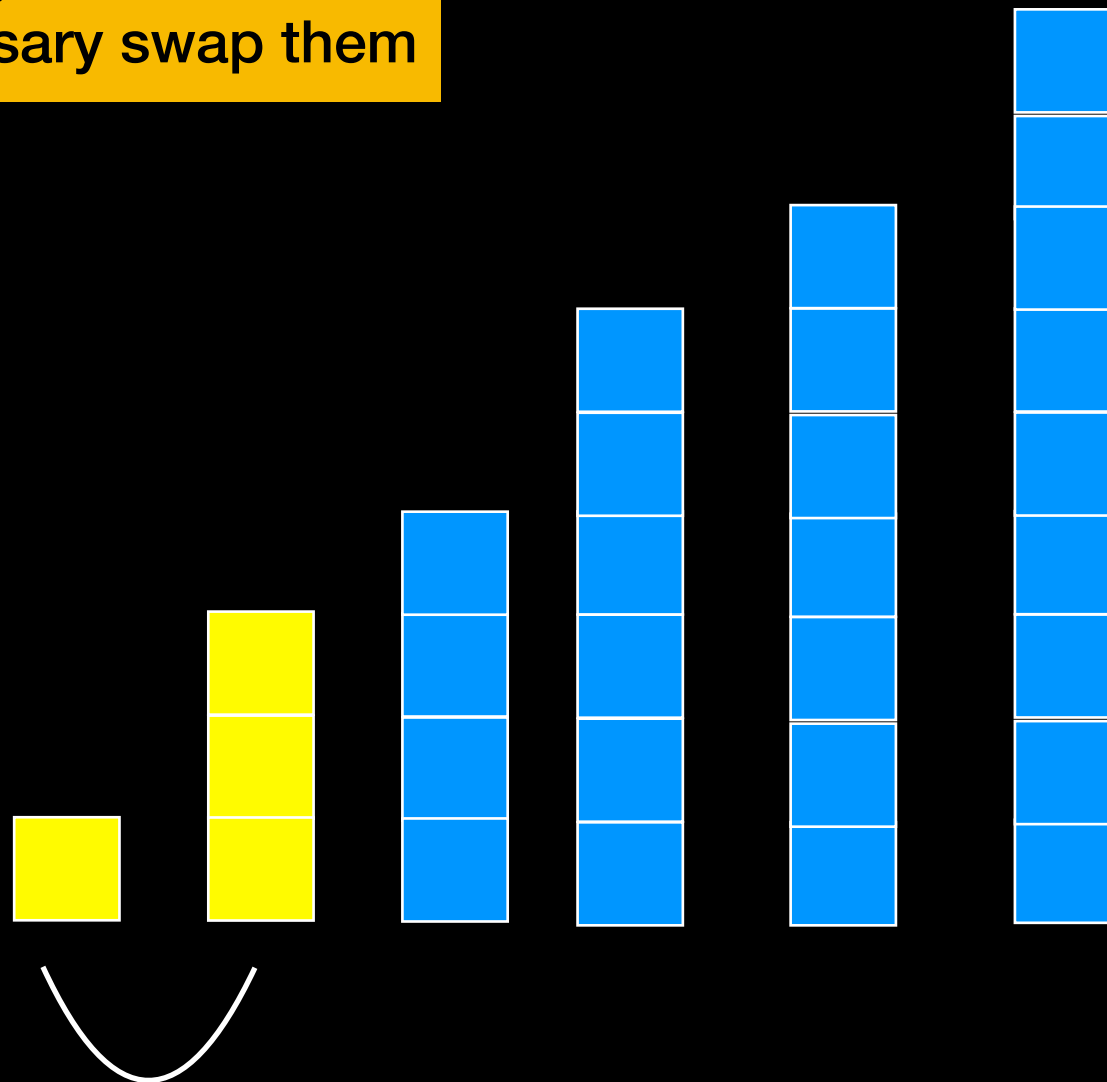
Bubble Sort



Compare adjacent elements
and if necessary swap them



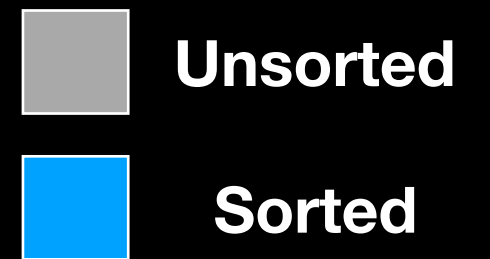
5th Pass



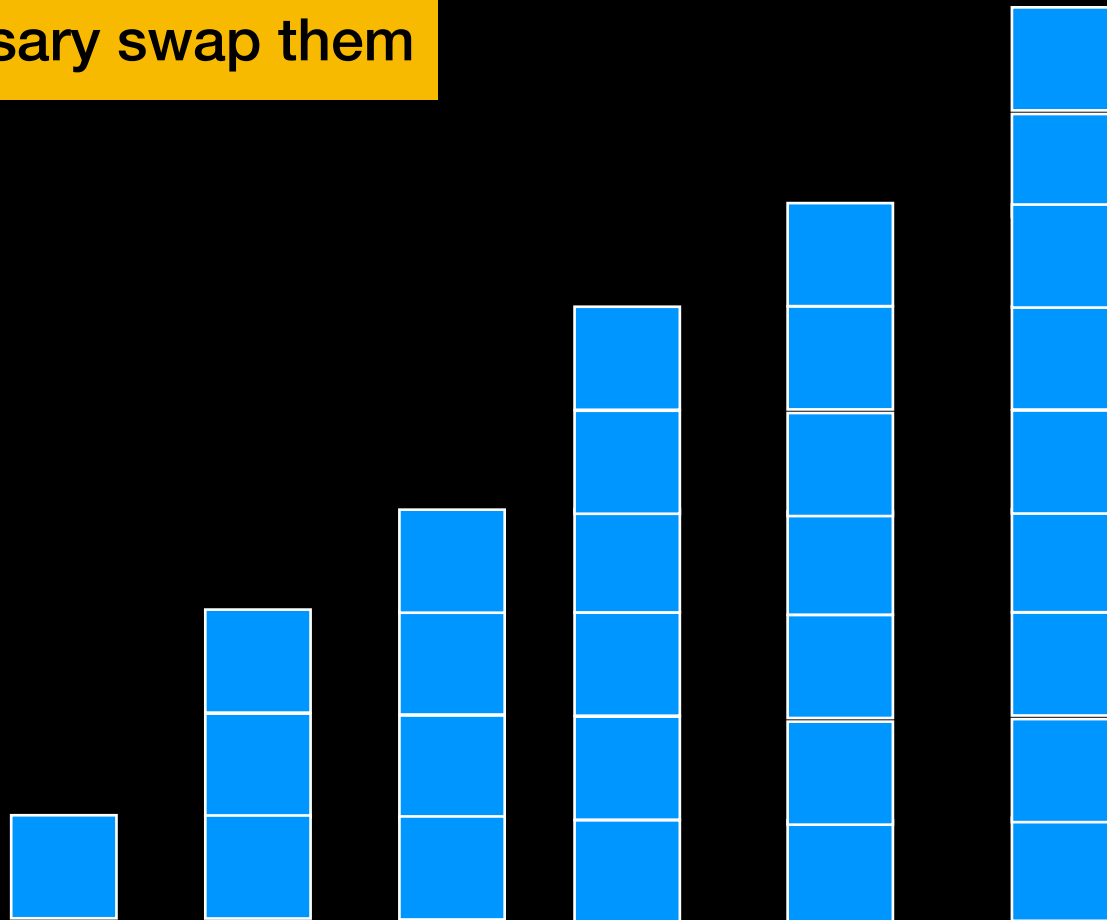
Bubble Sort



Compare adjacent elements
and if necessary swap them



Done!



Bubble Sort Analysis

How much work?

First pass: $n-1$ comparisons and at most $n-1$ swaps

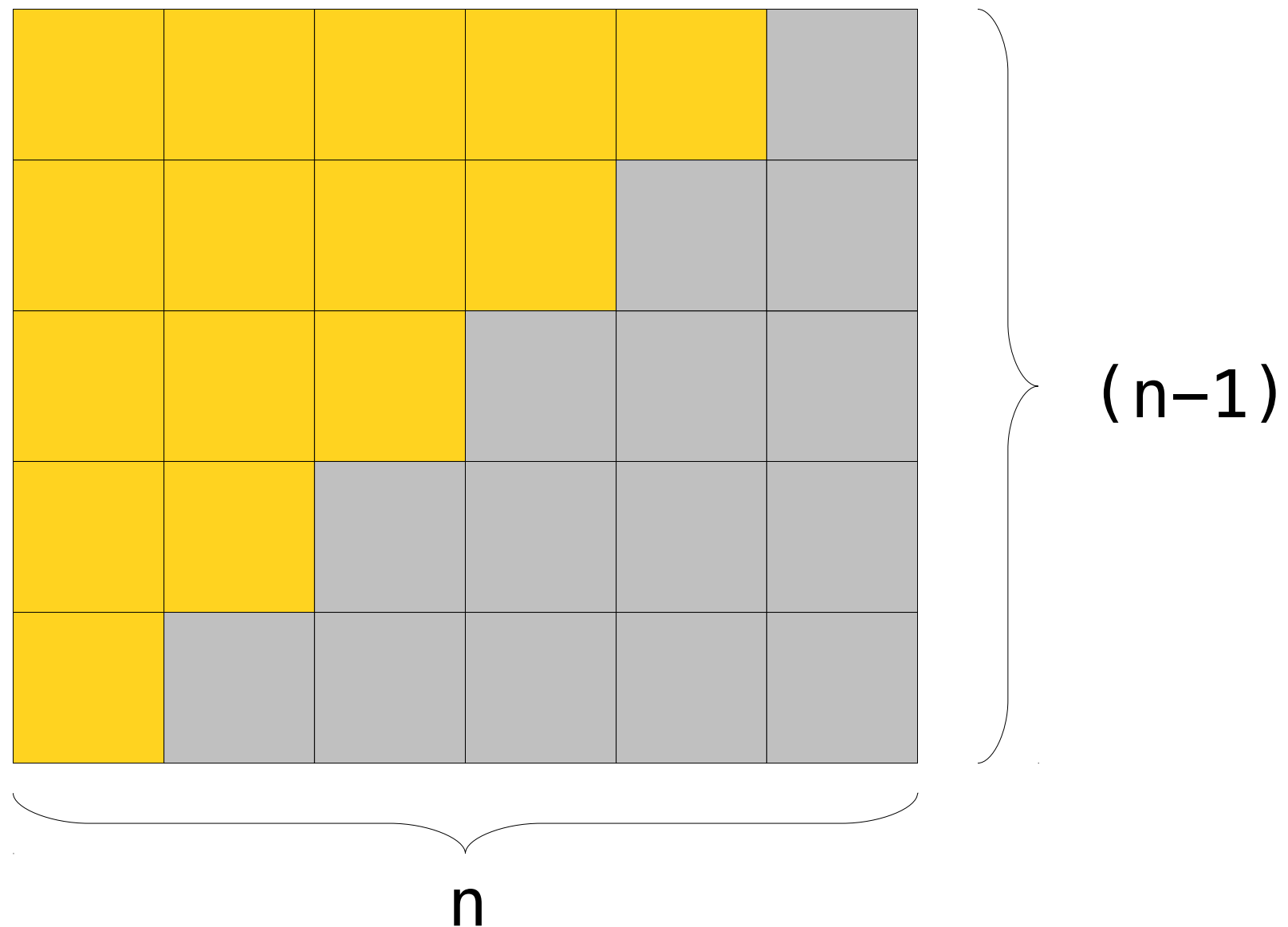
Second pass: $n-2$ comparisons and at most $n-2$ swaps

Third pass: $n-3$ comparisons and at most $n-3$ swaps

...

Total work: $(n-1) + (n-2) + \dots + 1$

$$(n-1) + (n-2) + \dots + 2 + 1 = n(n-1)/2$$



Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O(\text{ })?$$

Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O(\text{ })?$$

$$T(n) = 2((n^2-n) / 2) = O(\text{ })?$$

Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O(\text{ })?$$

$$T(n) = 2((n^2 - n) / 2) = O(\text{ })?$$

$$T(n) = n^2 - n = O(\text{ })?$$

Ignore non-dominant terms

Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2(n(n-1) / 2) = O(\text{ })?$$

$$T(n) = 2((n^2-n) / 2) = O(\text{ })?$$

$$T(n) = n^2 - n = O(n^2)$$

Bubble Sort run time is $O(n^2)$

Optimize!

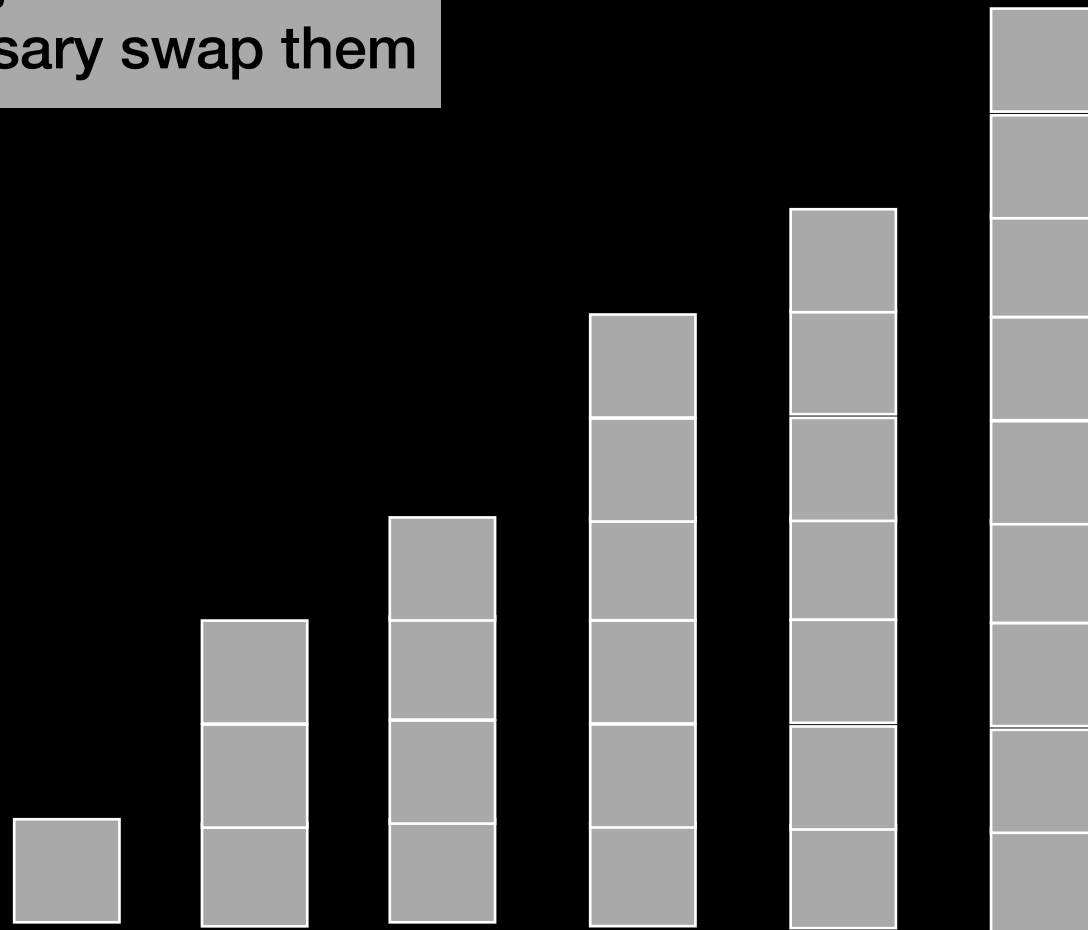
Easy to check:

if there are no swaps in any given phase
stop because it is sorted

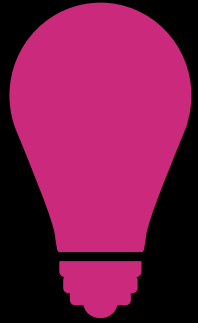
Bubble Sort



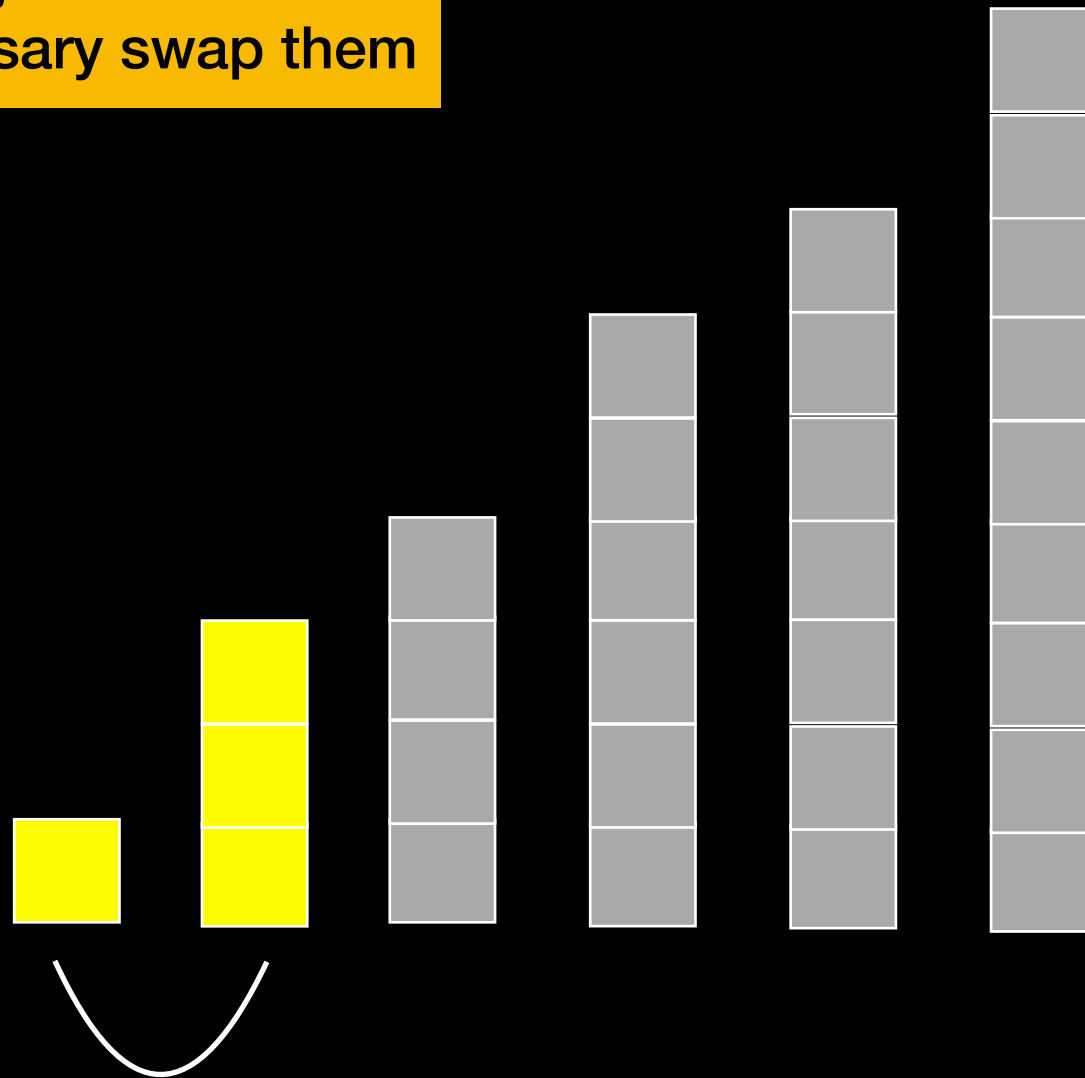
Compare adjacent elements
and if necessary swap them



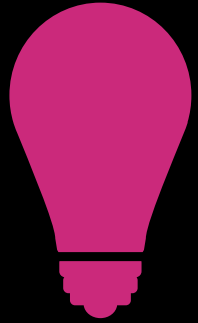
Bubble Sort



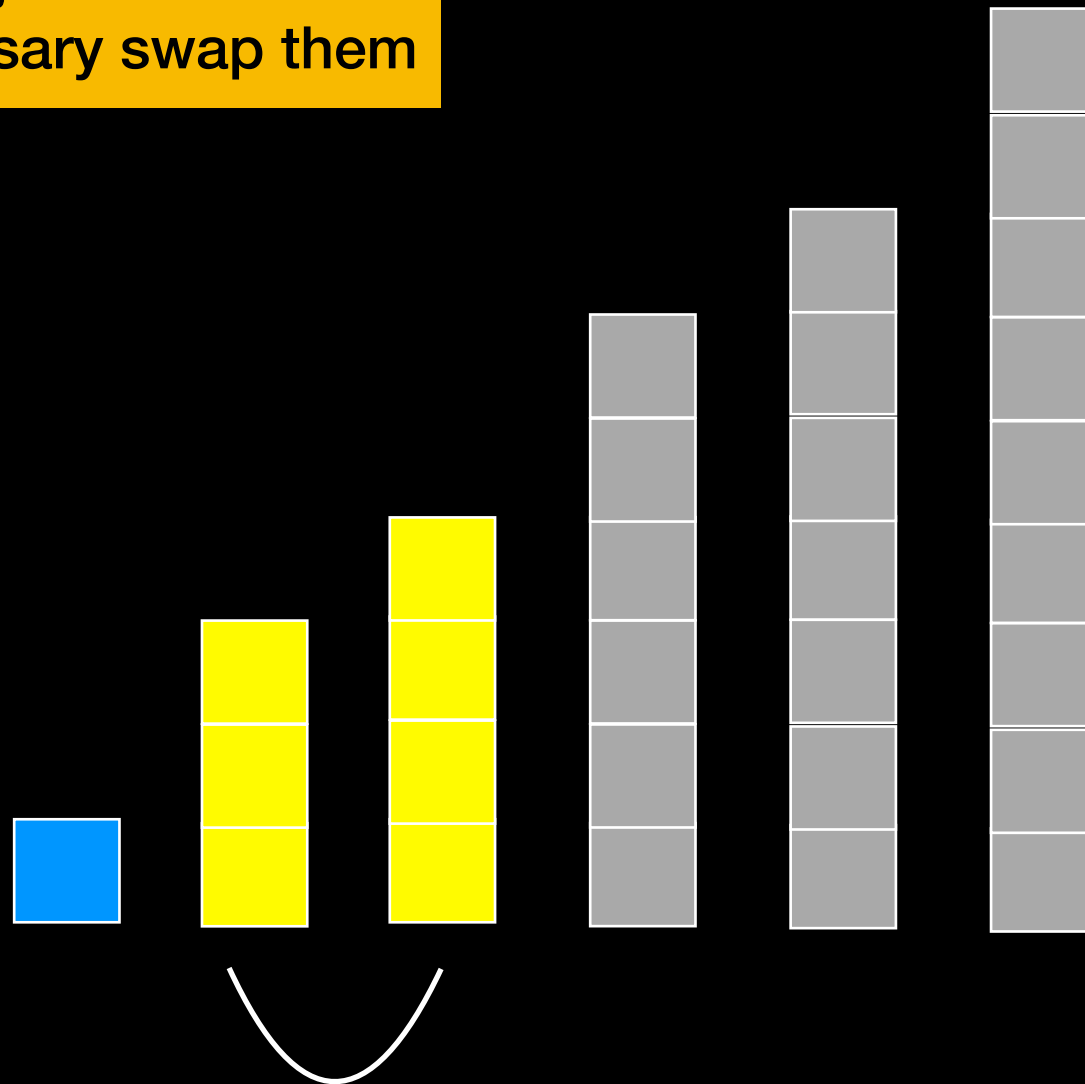
Compare adjacent elements
and if necessary swap them



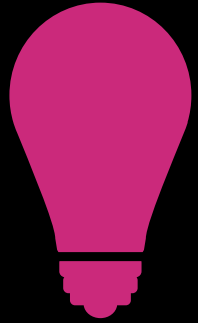
Bubble Sort



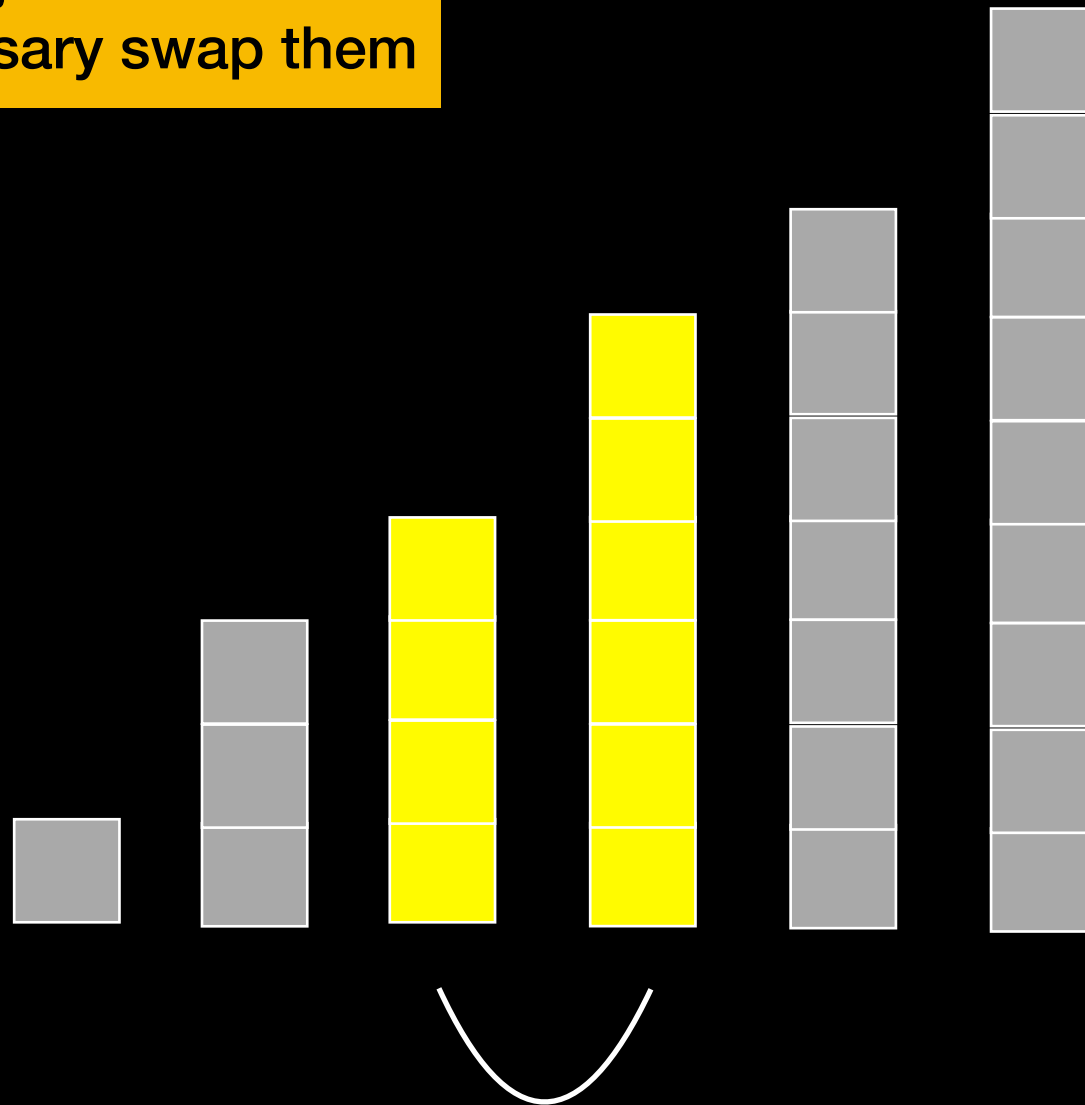
Compare adjacent elements
and if necessary swap them



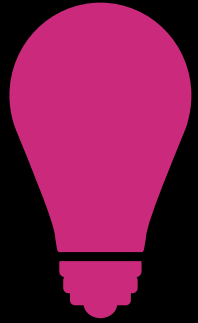
Bubble Sort



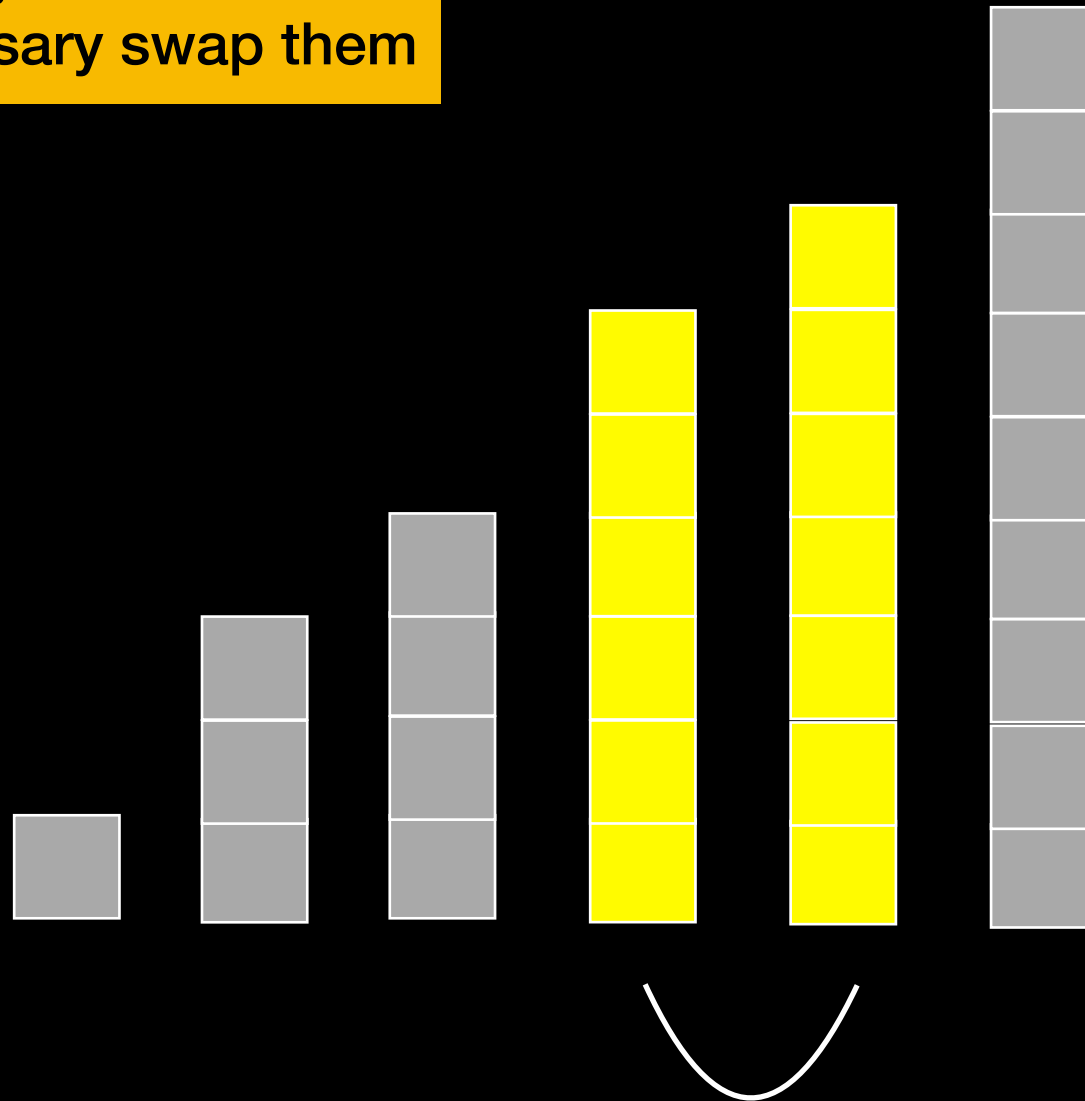
Compare adjacent elements
and if necessary swap them



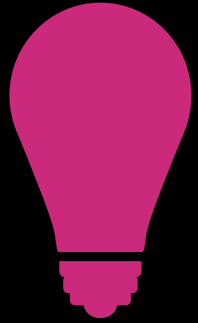
Bubble Sort



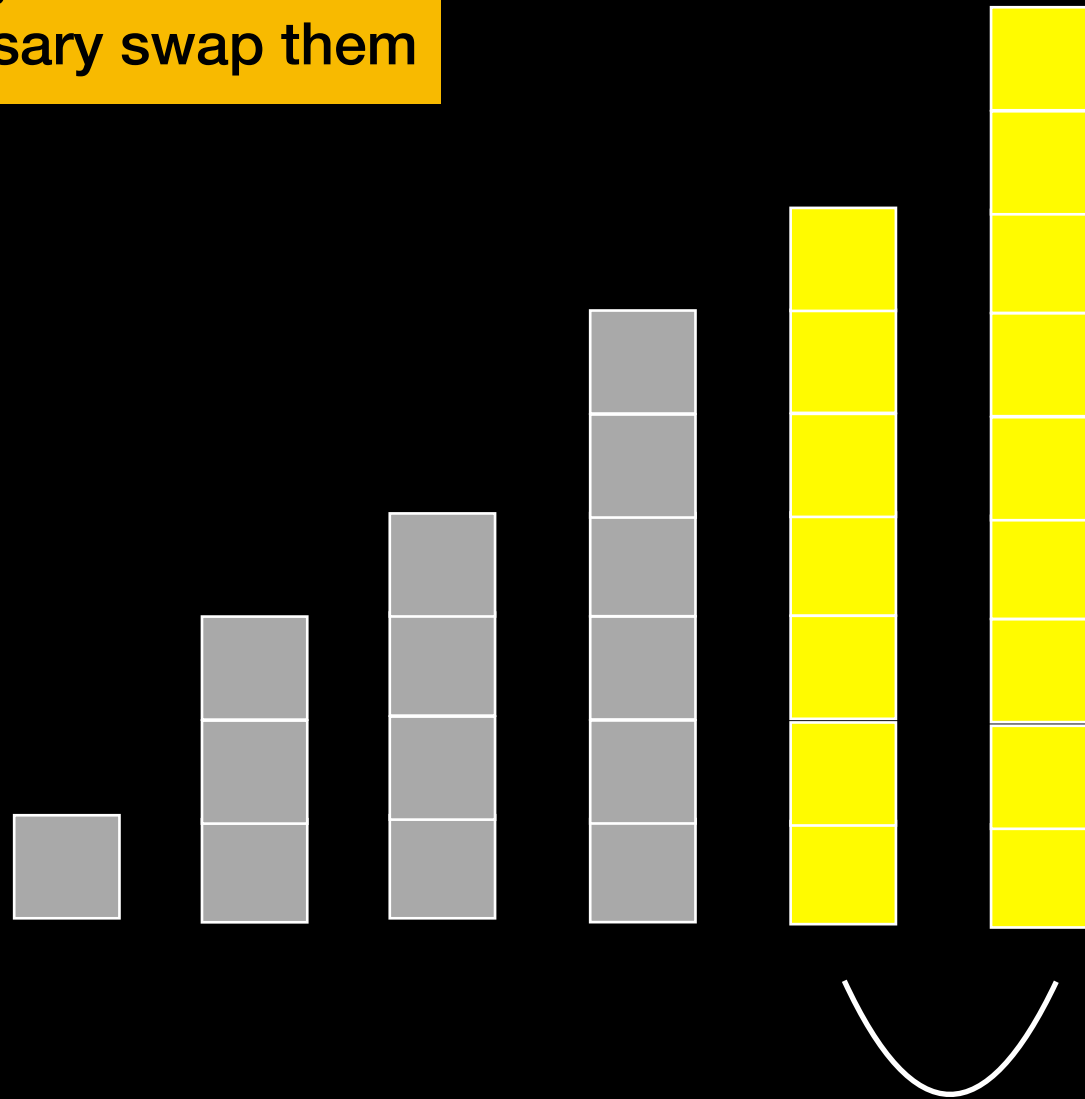
Compare adjacent elements
and if necessary swap them



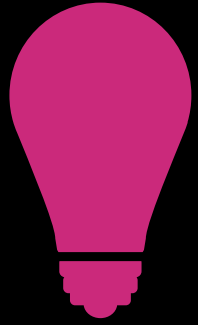
Bubble Sort



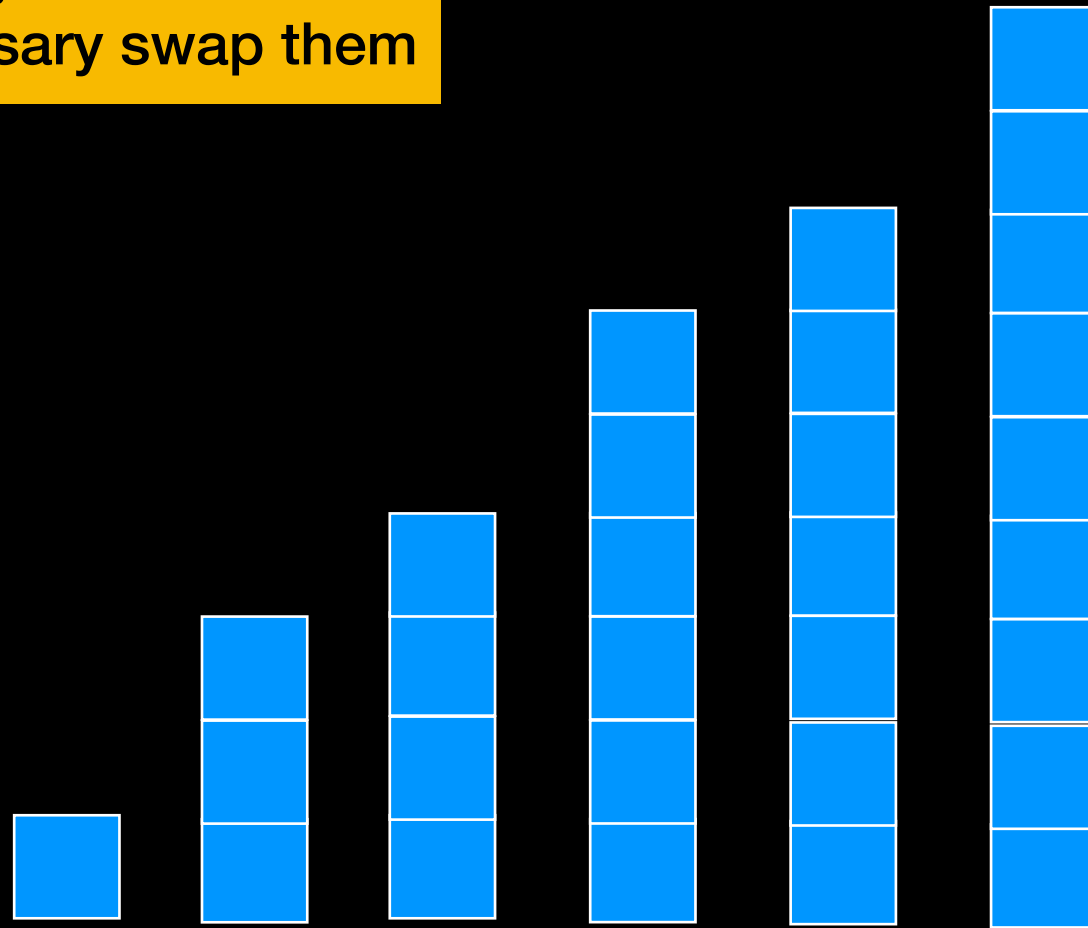
Compare adjacent elements
and if necessary swap them



Bubble Sort



Compare adjacent elements
and if necessary swap them



Bubble Sort Analysis

Execution time DOES depend on initial arrangement of data

Worst case: $O(n^2)$ comparisons and data moves

Best case: $O(n)$ comparisons and data moves

Stable

If array is already sorted bubble sort will stop after first pass and no swaps => good choice for **small n** and data likely **somewhat sorted**

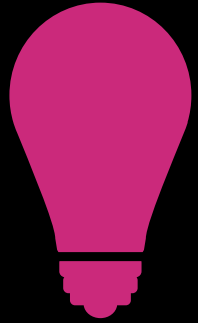
Raise your hand if you had
Bubble Sort

<https://www.youtube.com/watch?v=lyZQPjUT5B4>



Insertion Sort

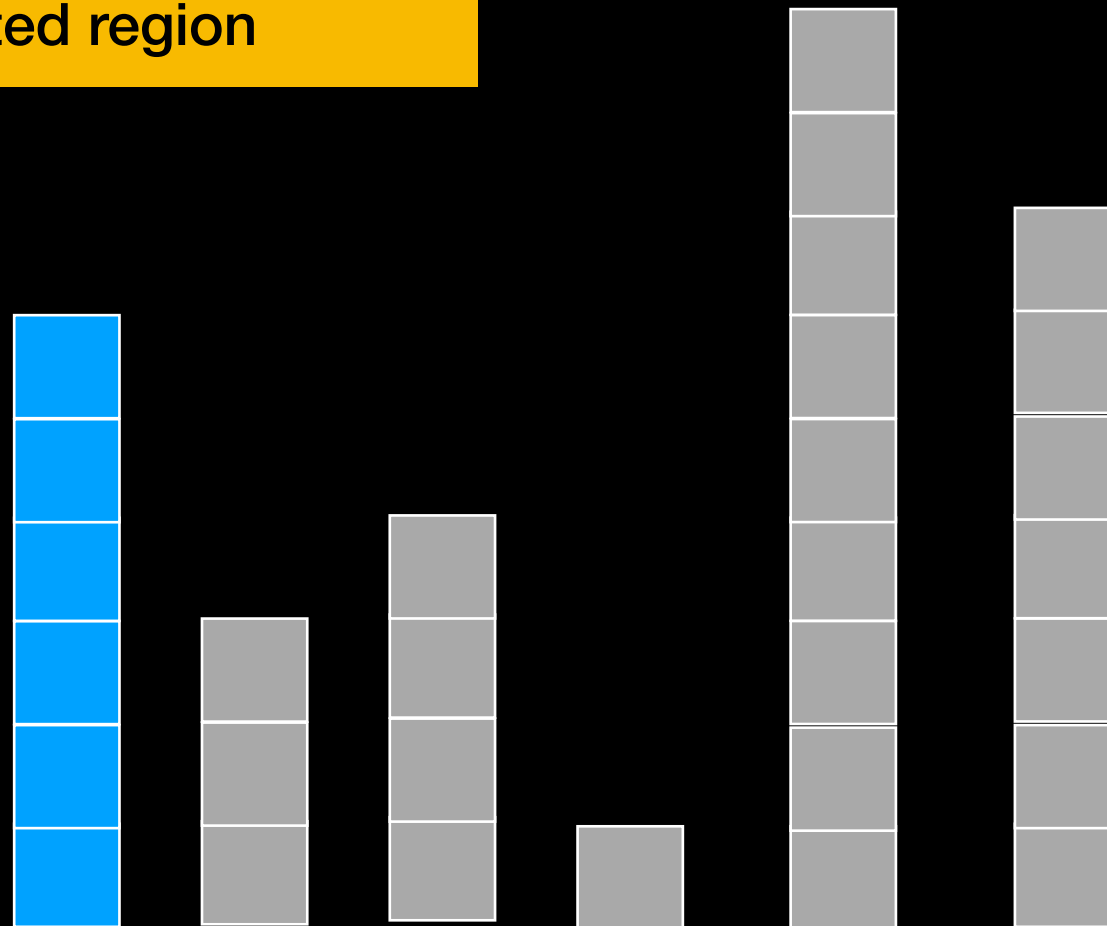
Insertion Sort



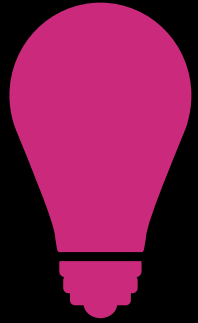
Pick first element in unsorted region and put it in right place in sorted region

 Unsorted
 Sorted

1st Pass



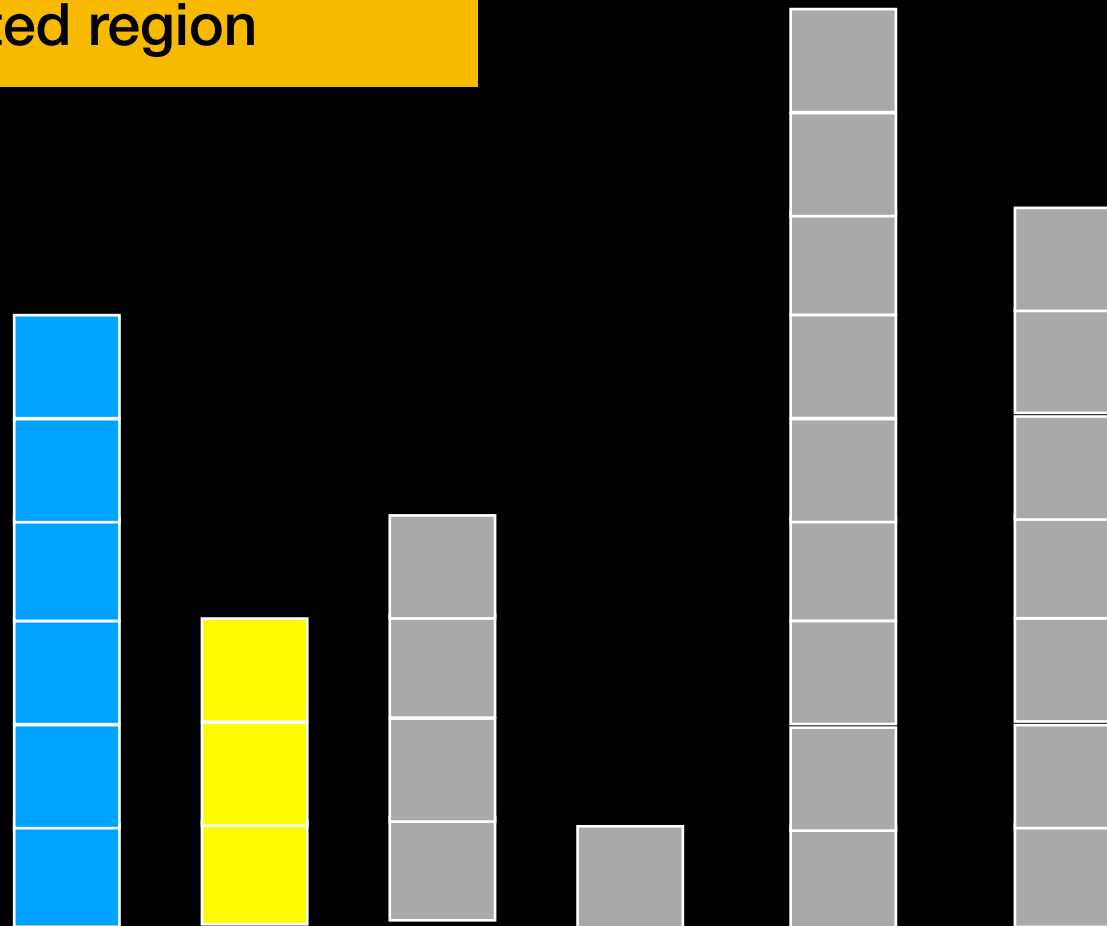
Insertion Sort



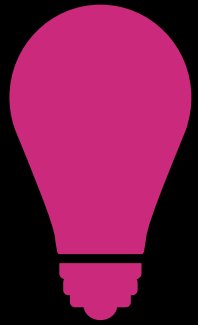
Pick first element in unsorted region and put it in right place in sorted region



1st Pass



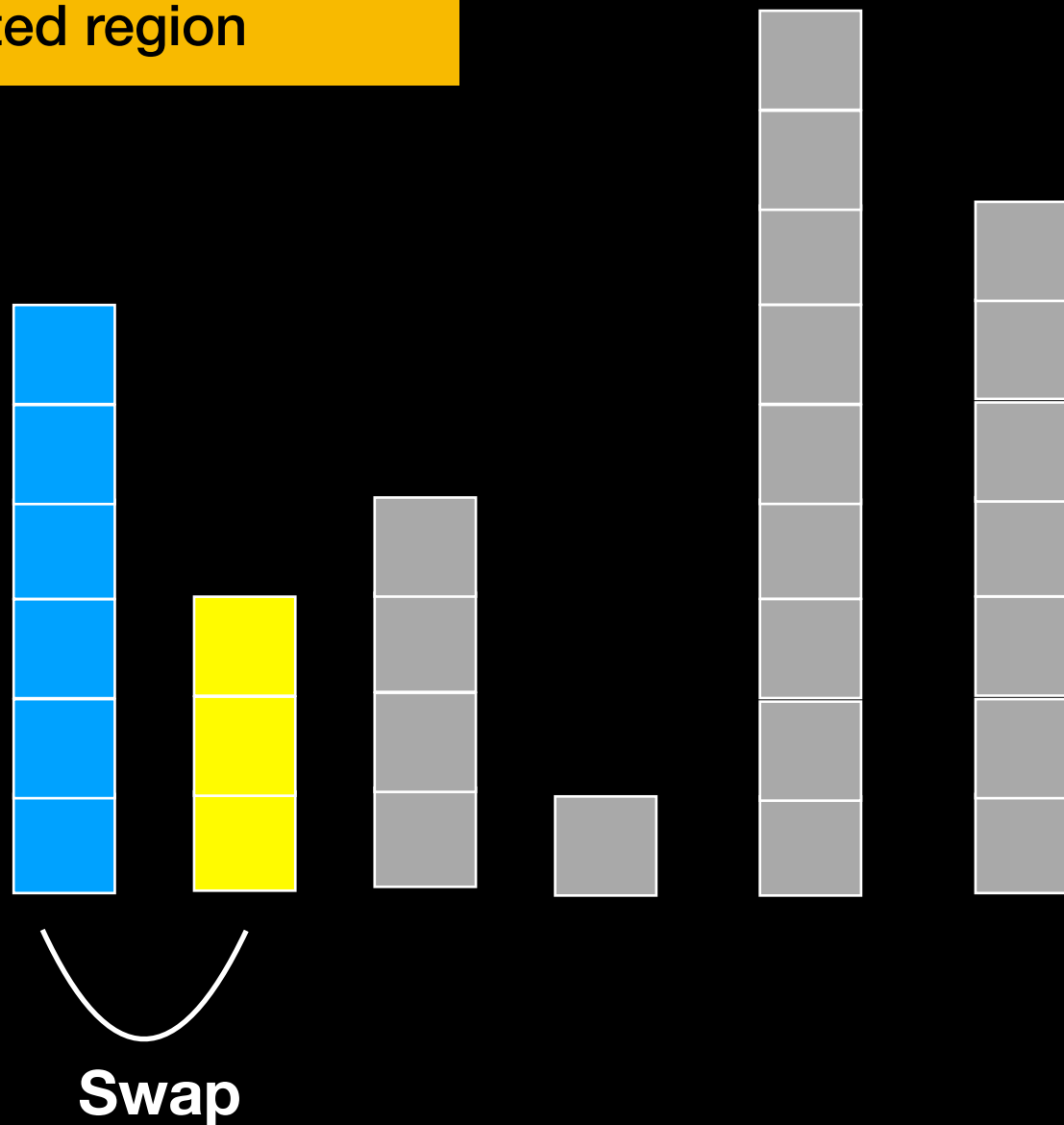
Insertion Sort



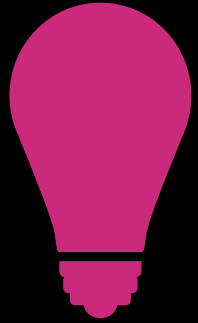
Pick first element in unsorted region and put it in right place in sorted region



1st Pass



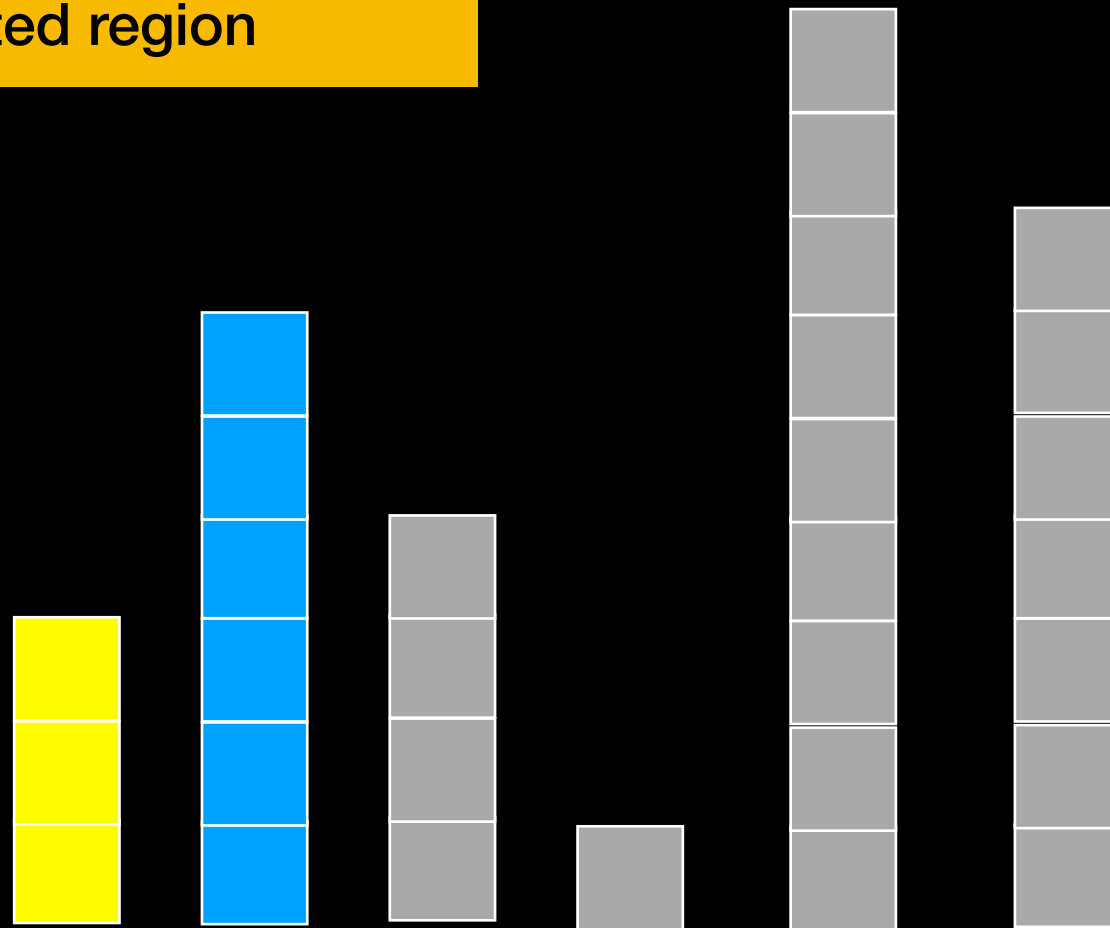
Insertion Sort



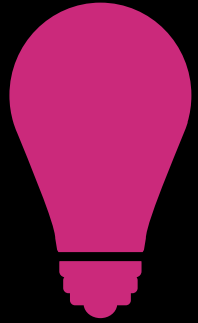
Pick first element in unsorted region and put it in right place in sorted region



1st Pass

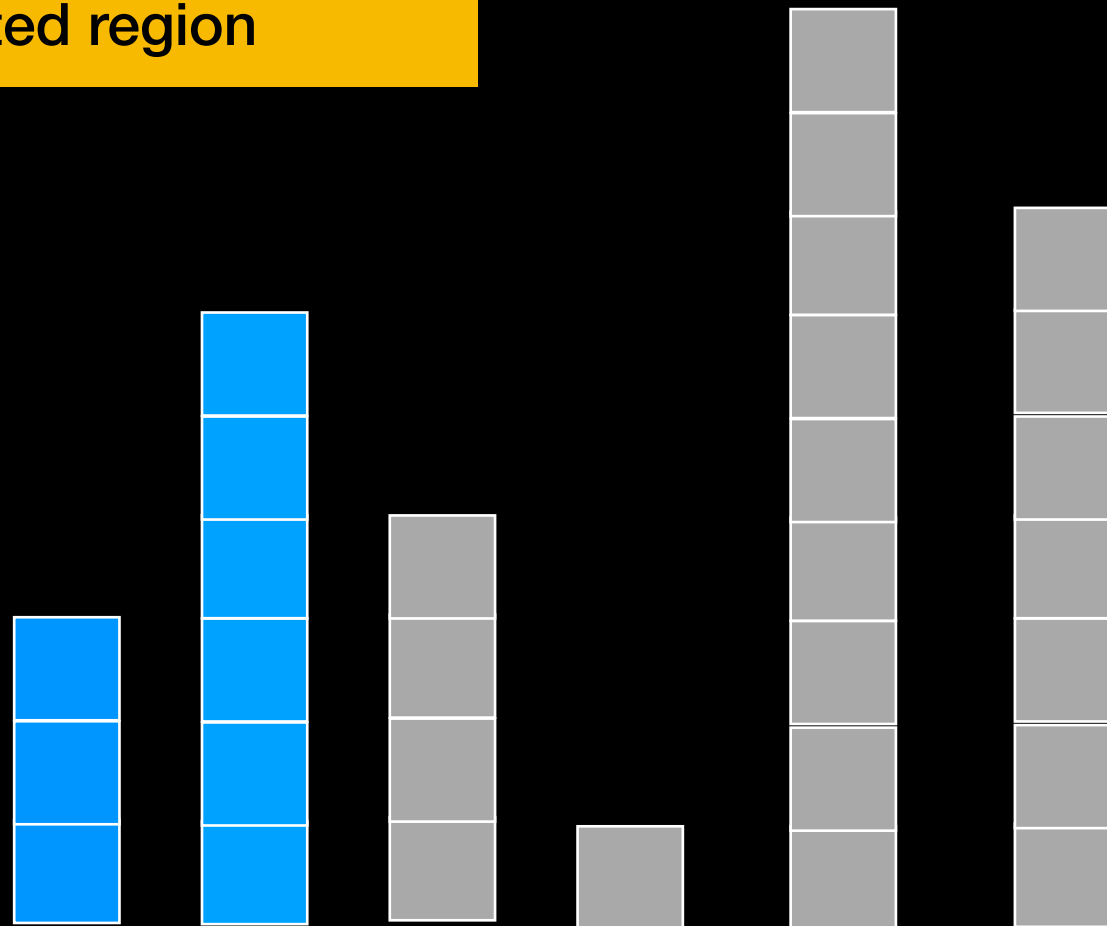


Insertion Sort

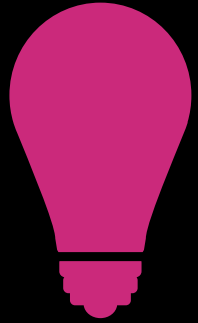


Pick first element in unsorted region and put it in right place in sorted region


Unsorted
Sorted



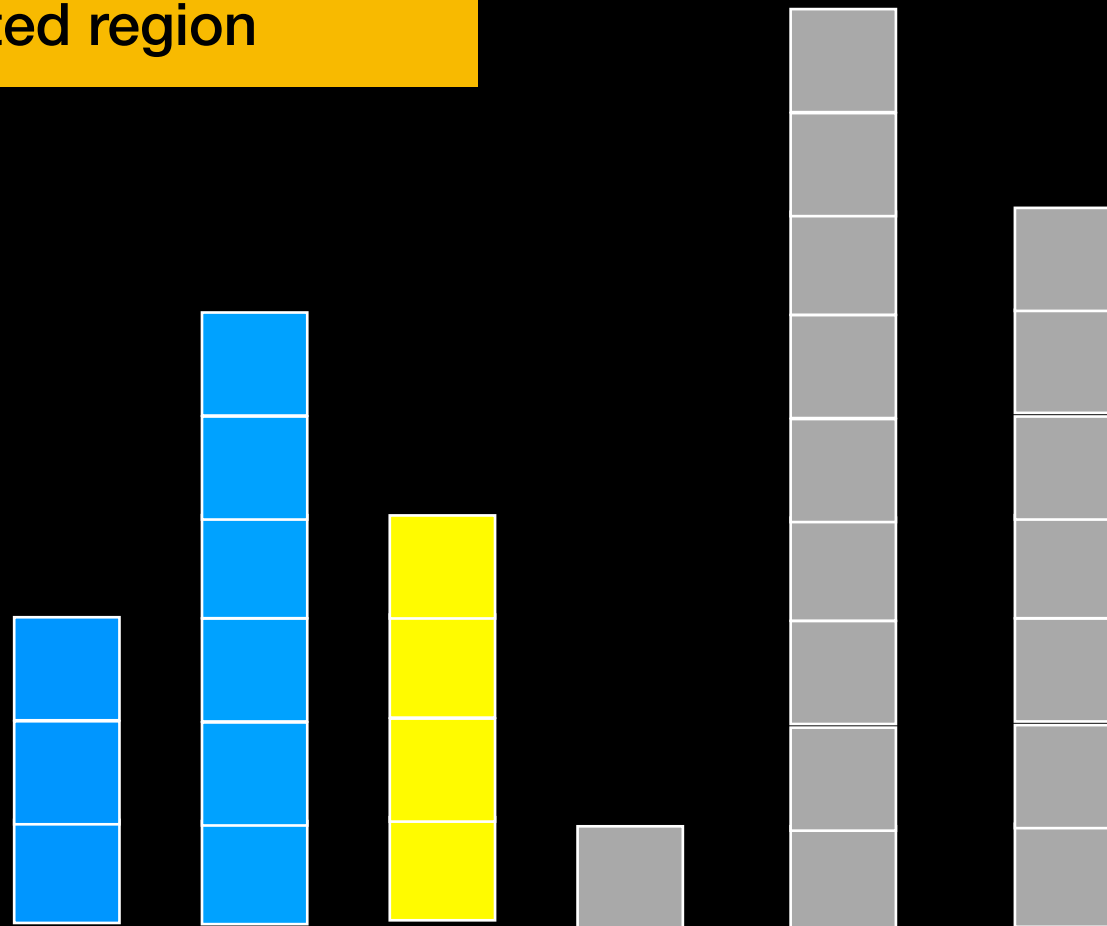
Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

 Unsorted
 Sorted

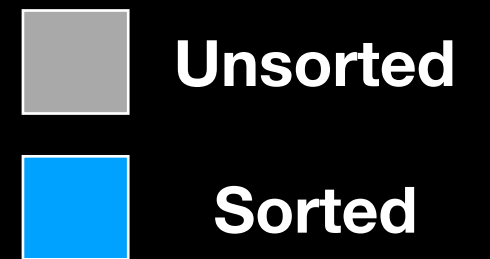
2nd Pass



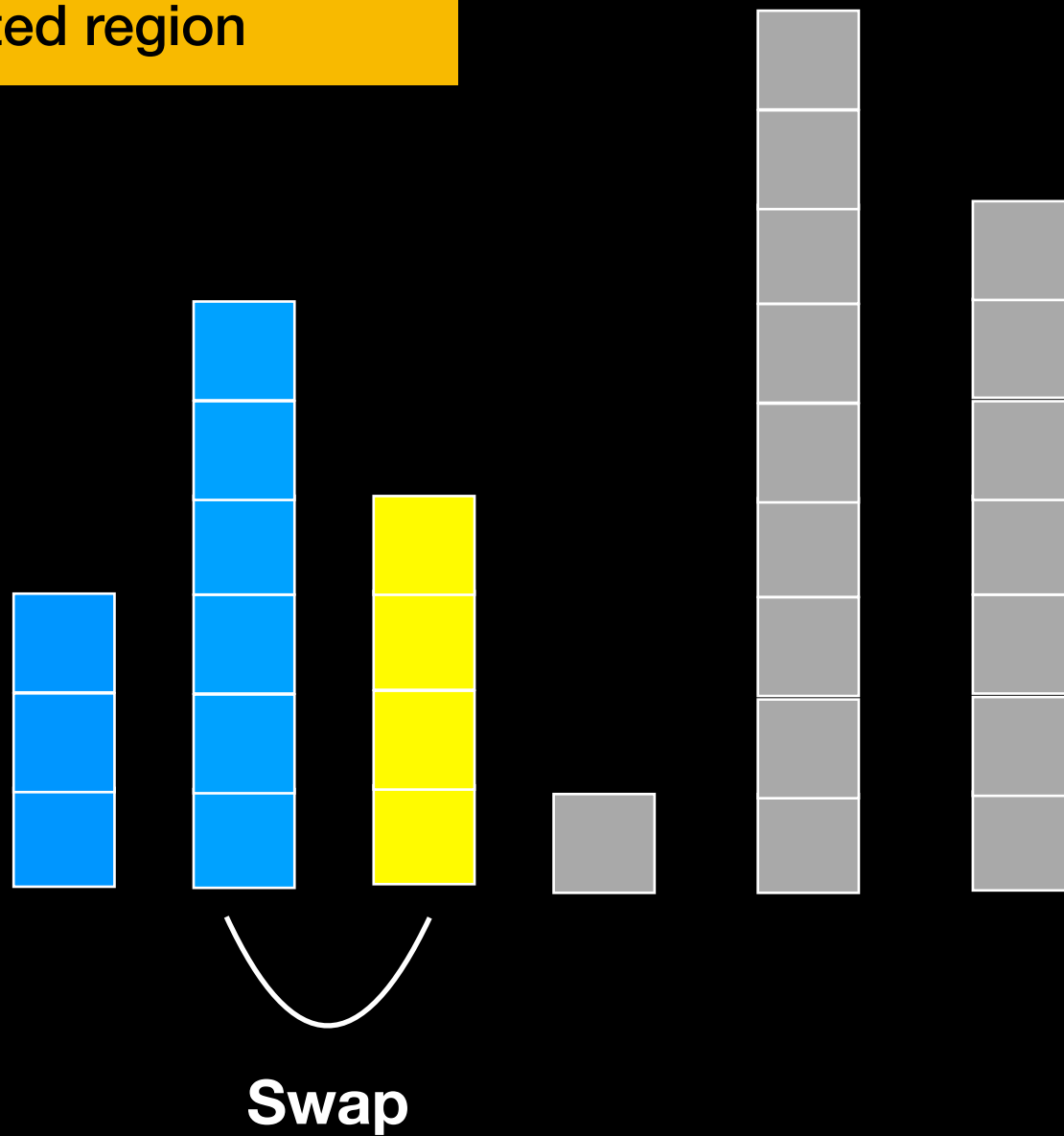
Insertion Sort



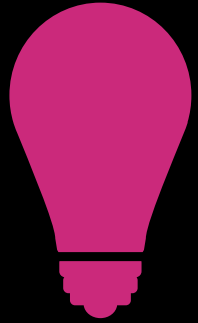
Pick first element in unsorted region and put it in right place in sorted region



2nd Pass



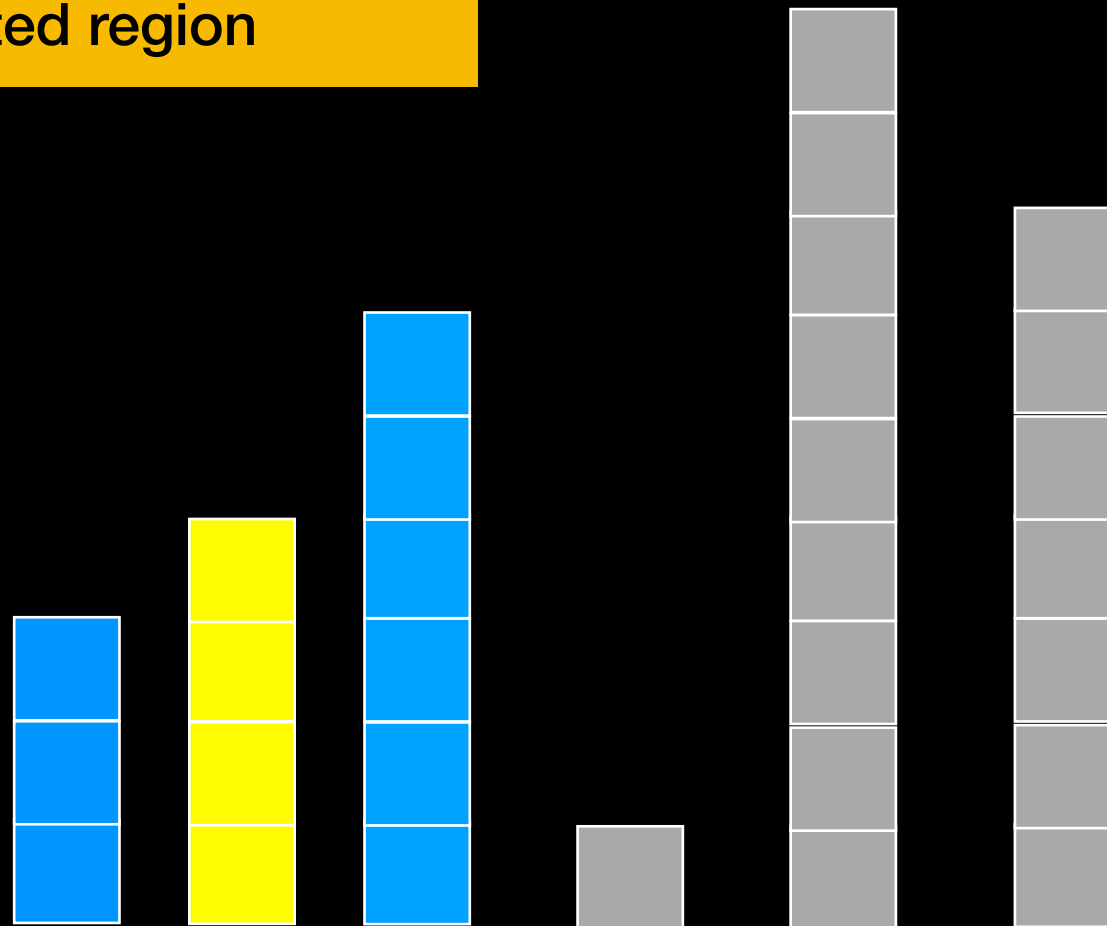
Insertion Sort



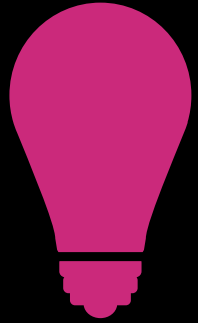
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

2nd Pass



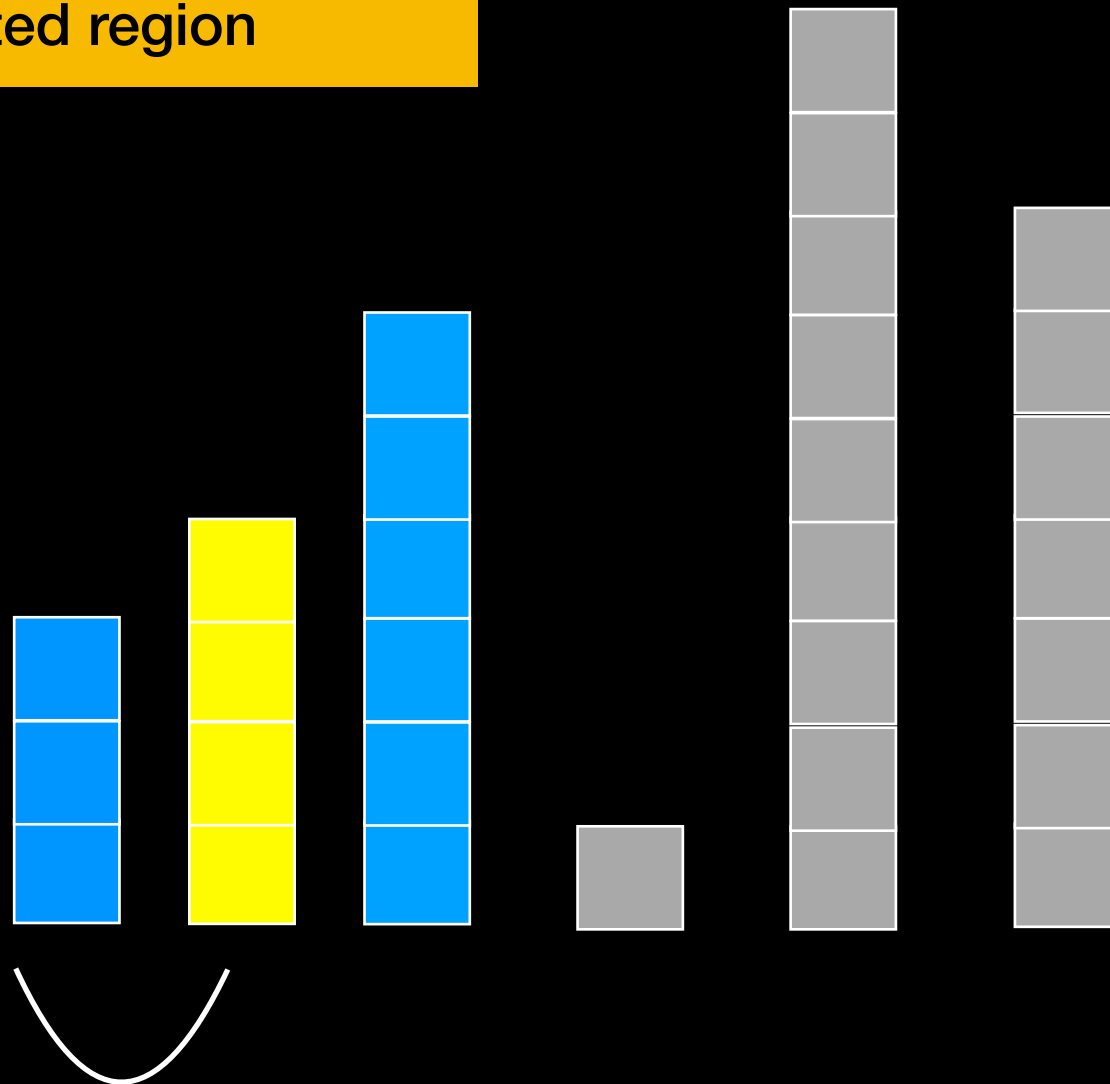
Insertion Sort



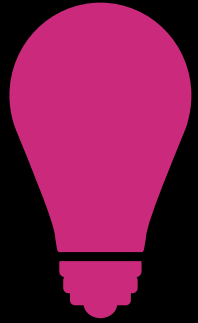
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted



2nd Pass

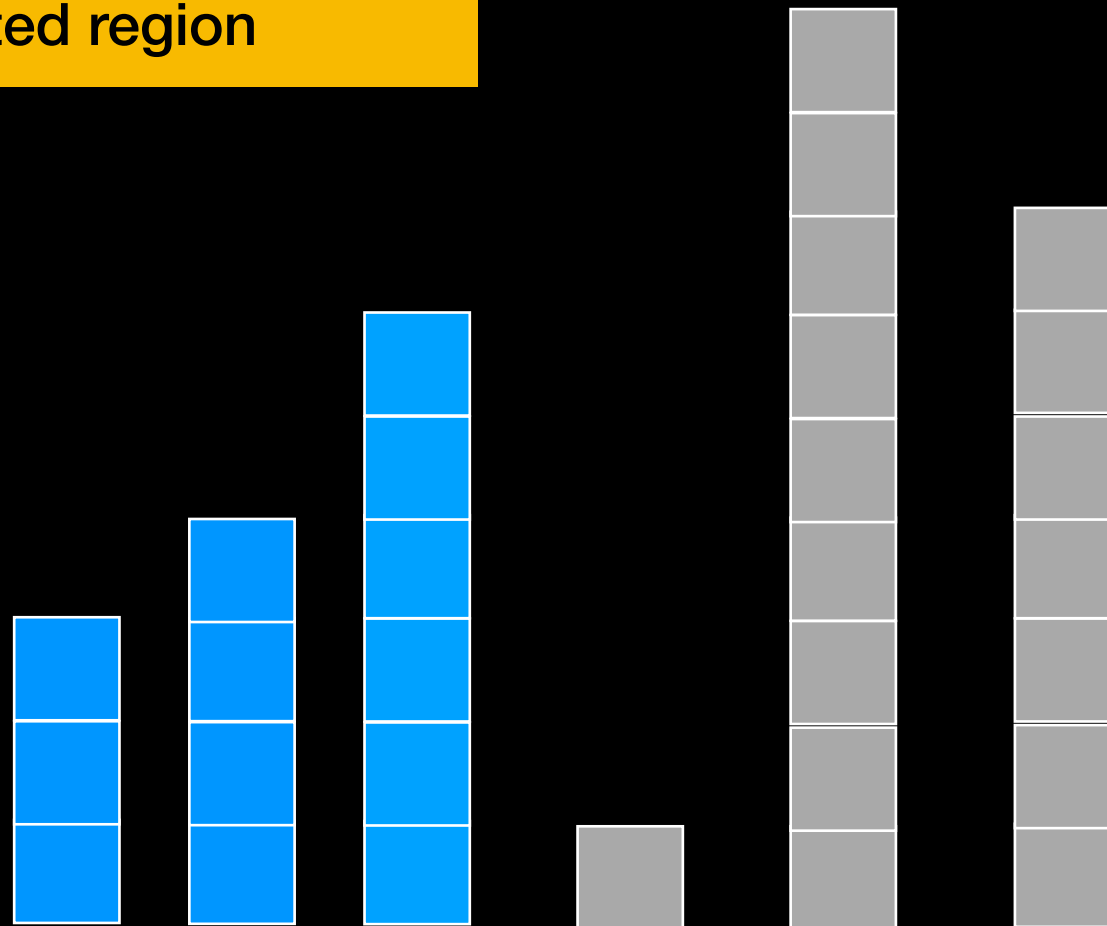


Insertion Sort

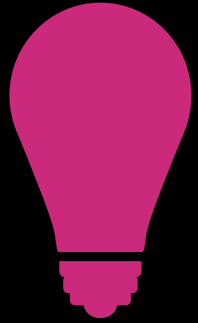


Pick first element in unsorted region and put it in right place in sorted region

 Unsorted
 Sorted



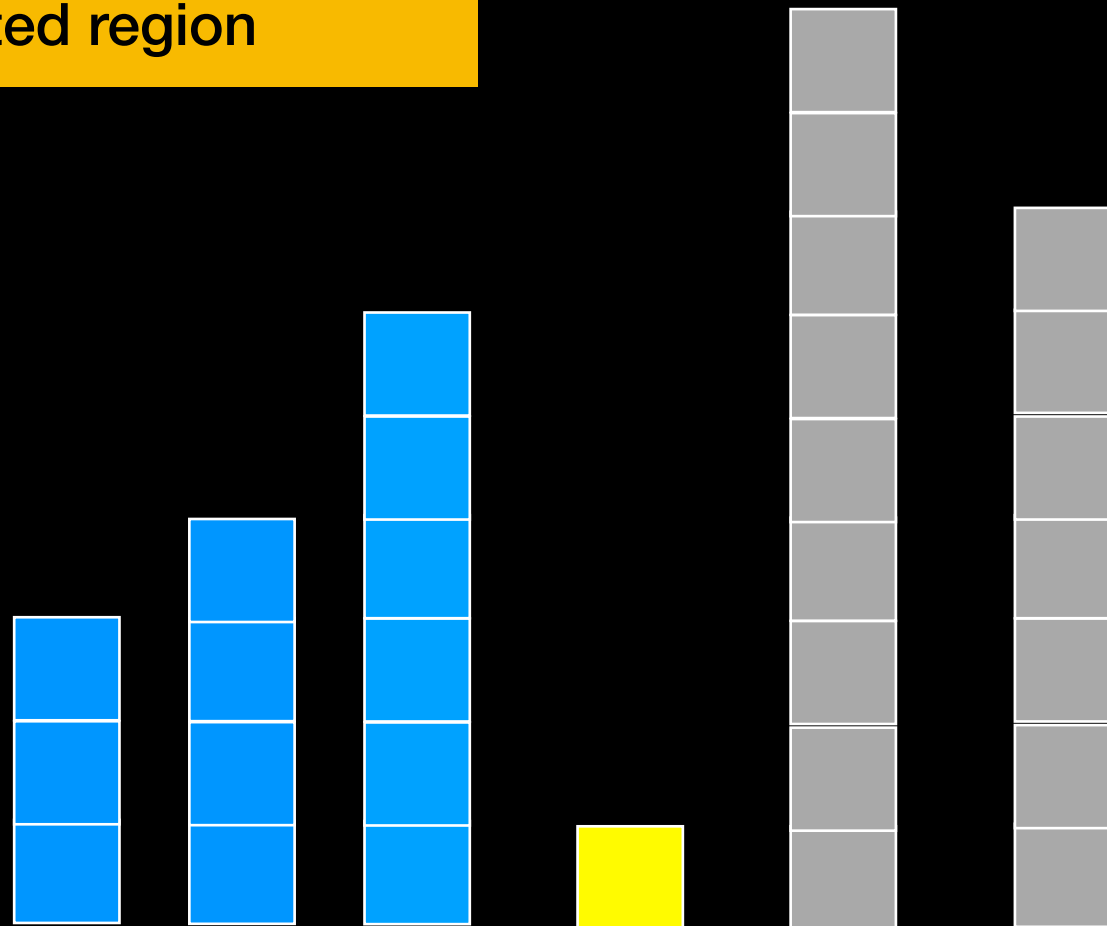
Insertion Sort



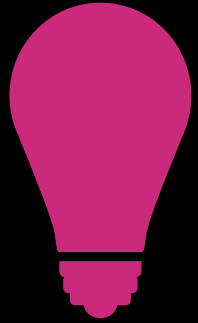
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

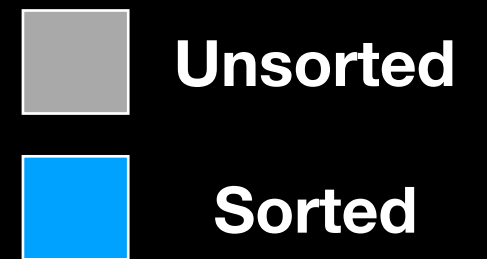
3rd Pass



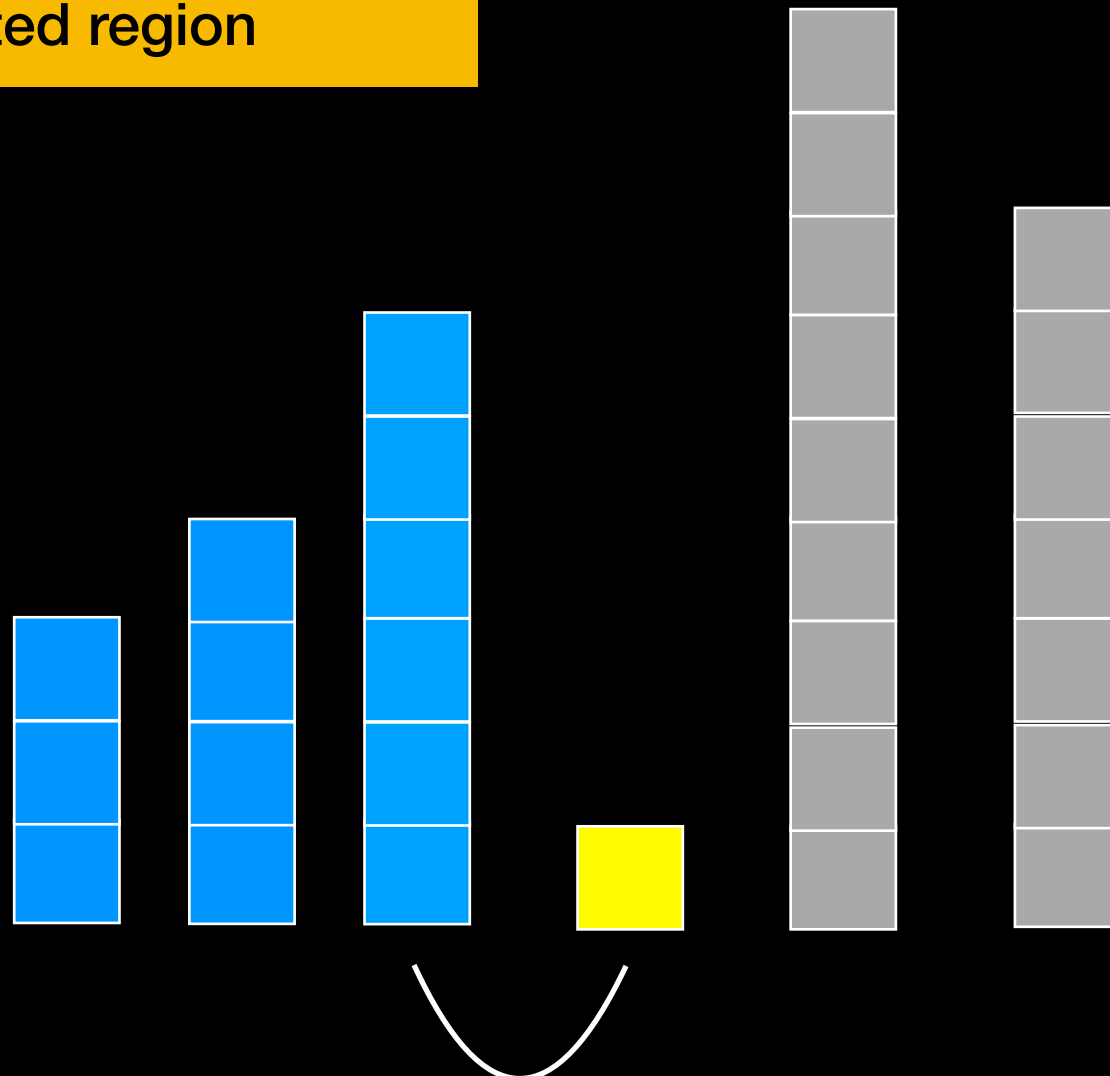
Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

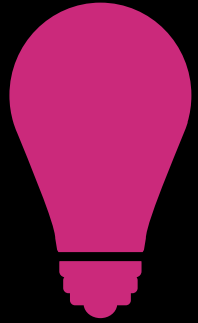


3rd Pass

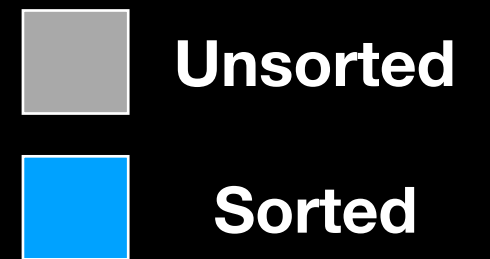


Swap

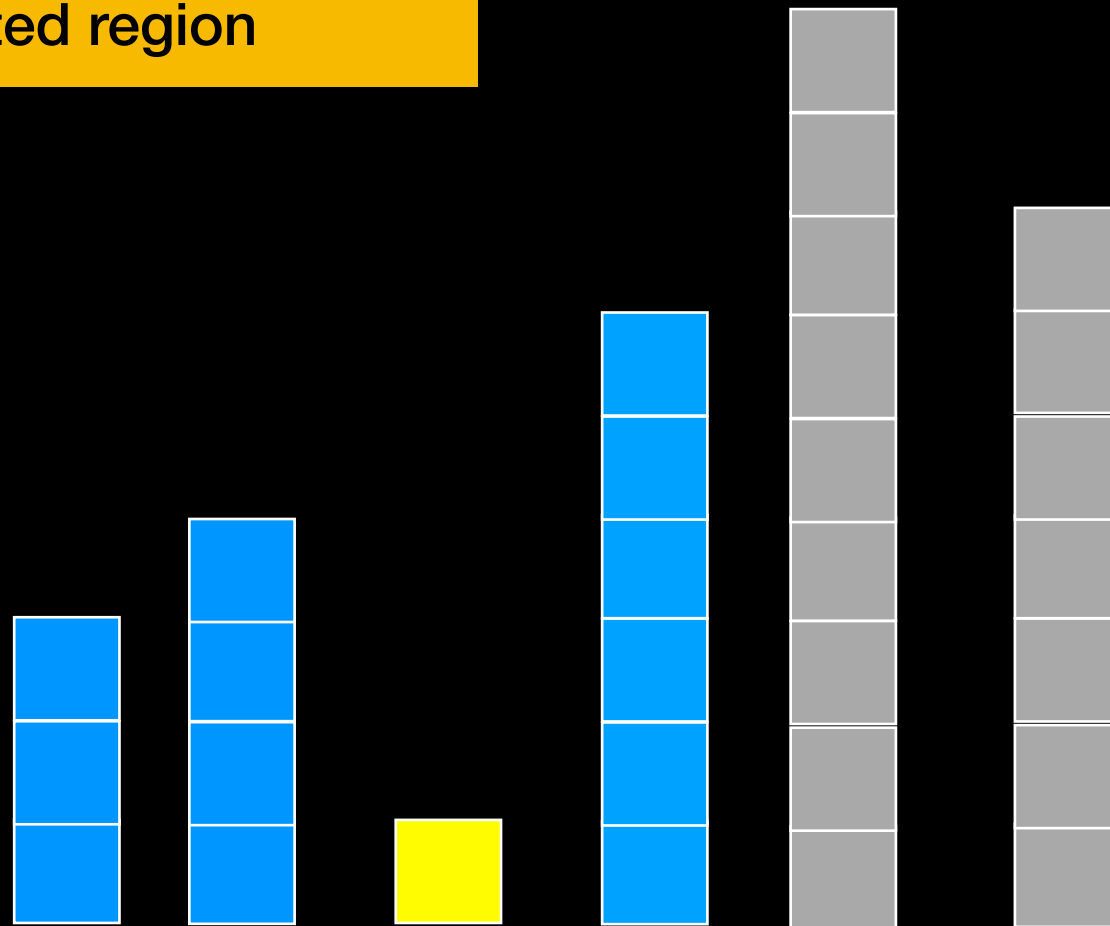
Insertion Sort



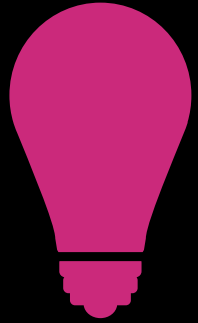
Pick first element in unsorted region and put it in right place in sorted region



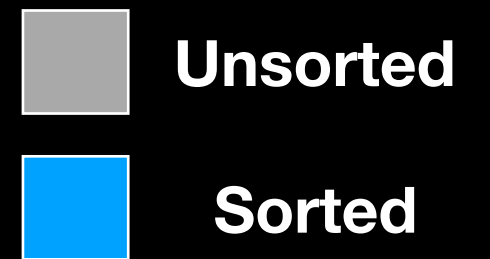
3rd Pass



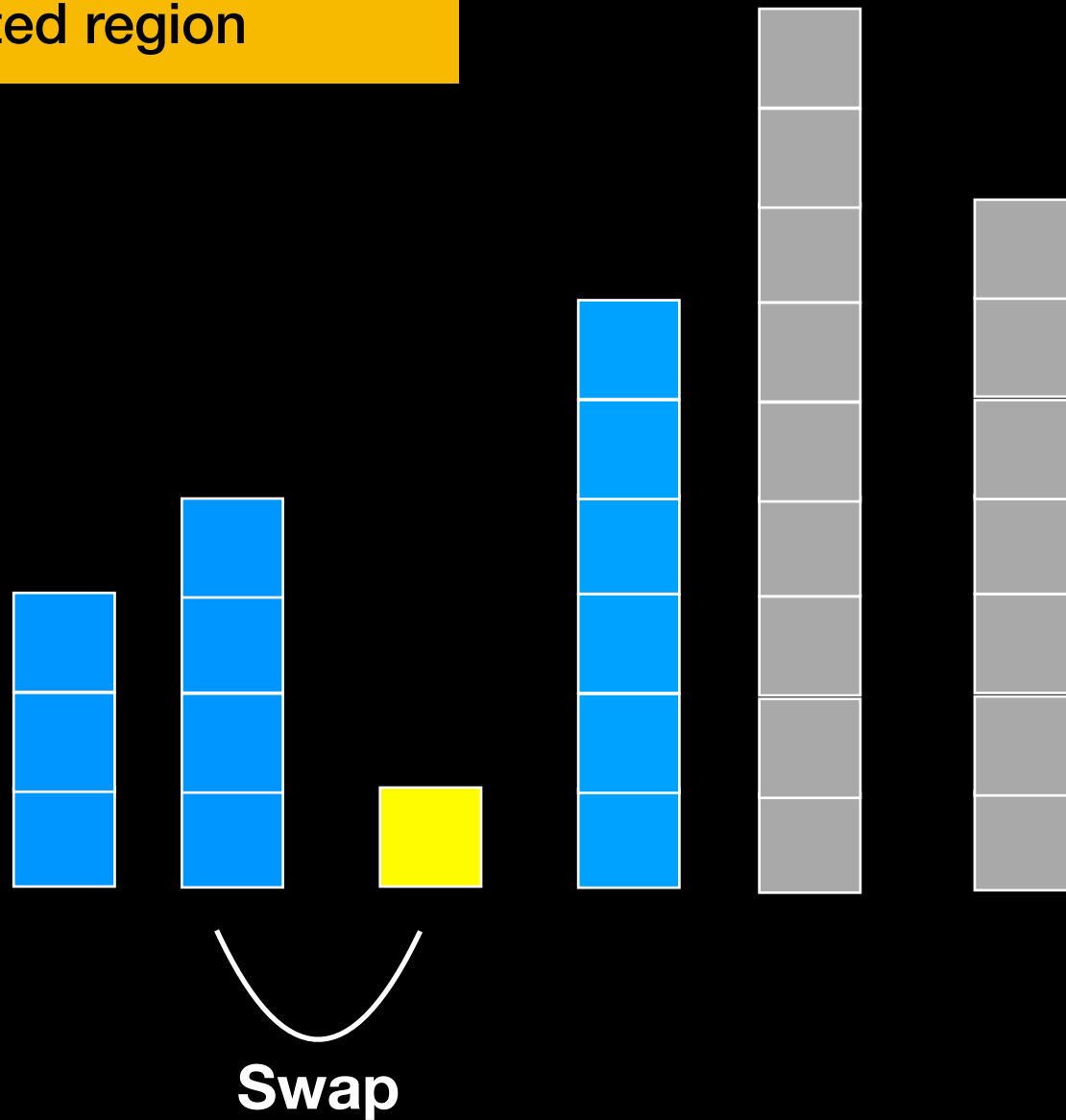
Insertion Sort



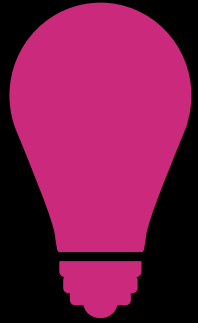
Pick first element in unsorted region and put it in right place in sorted region



3rd Pass



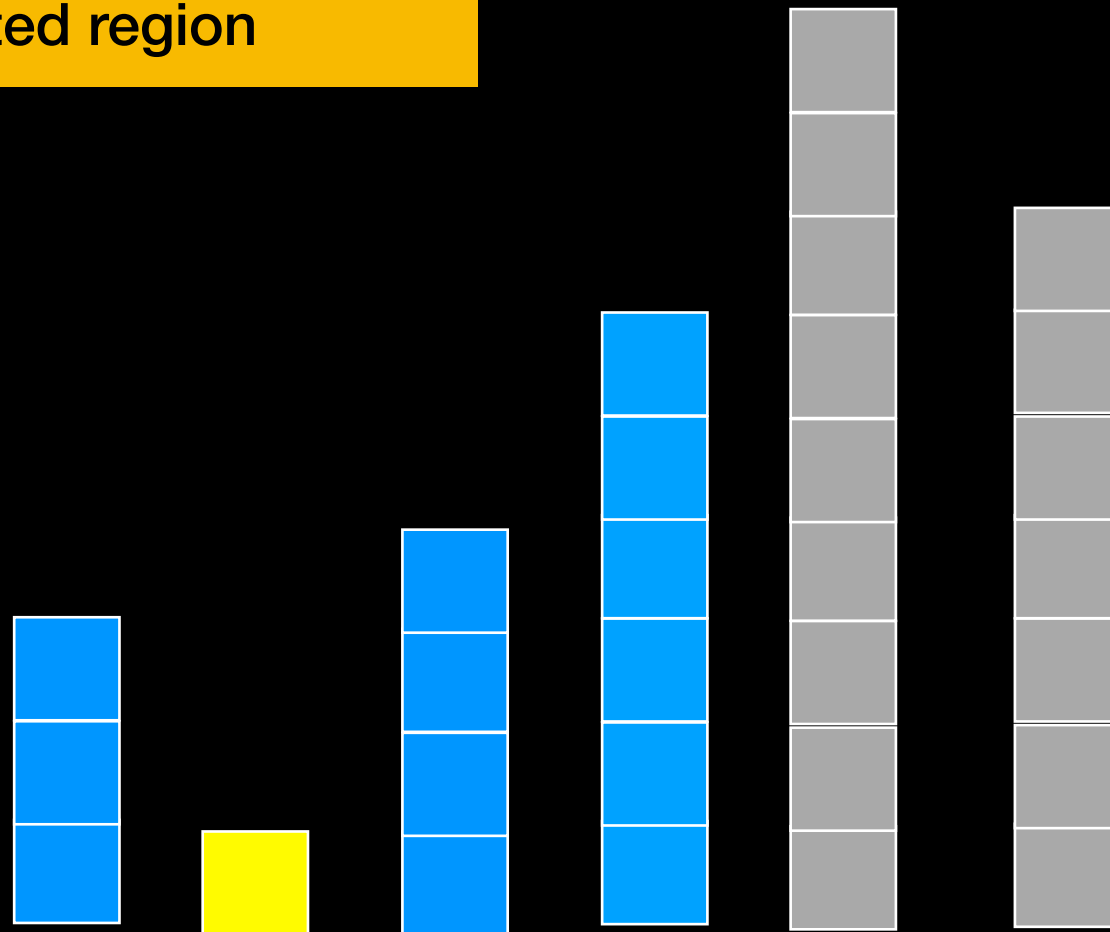
Insertion Sort



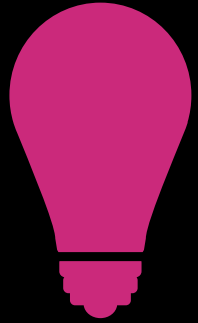
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

3rd Pass



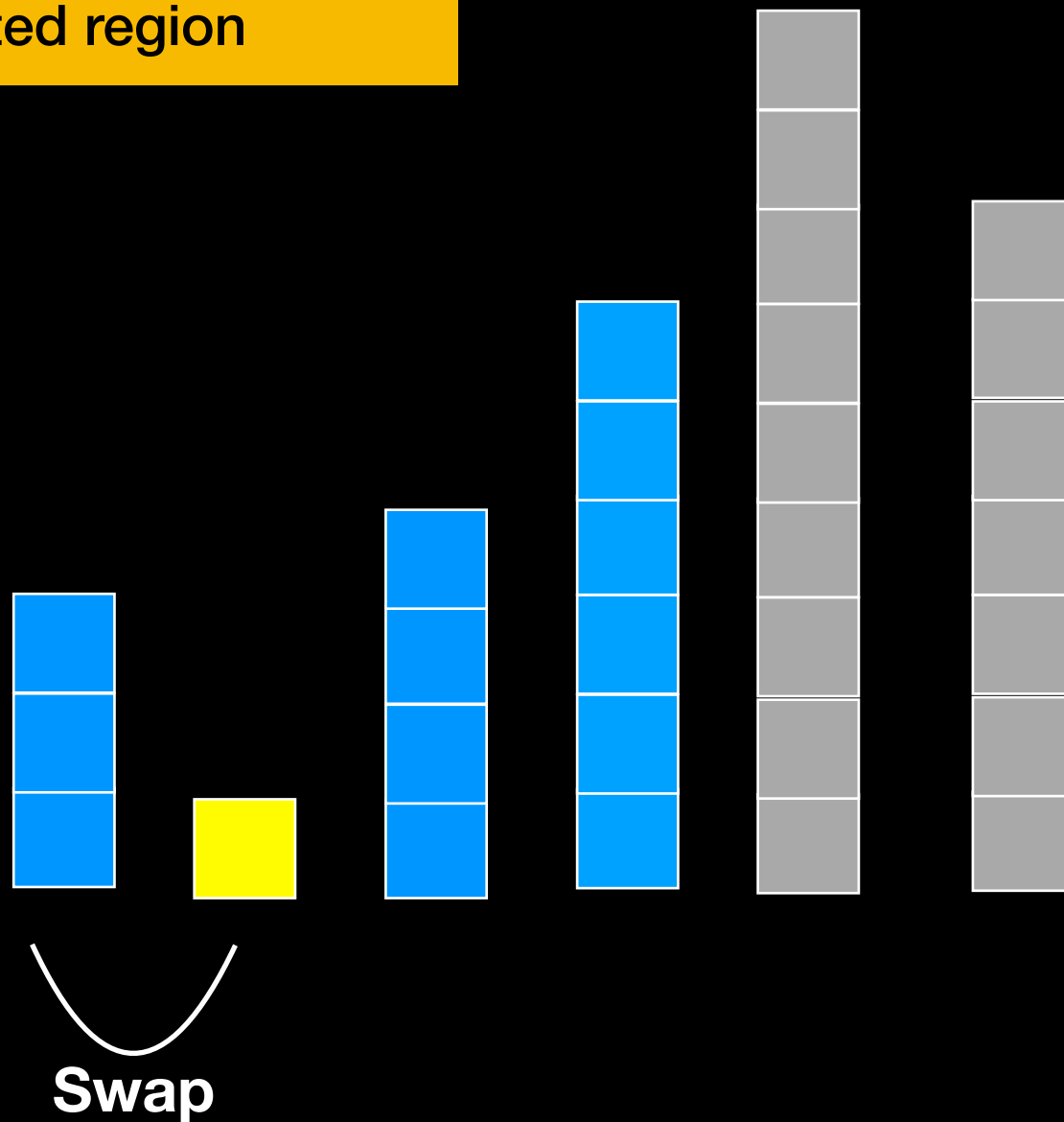
Insertion Sort



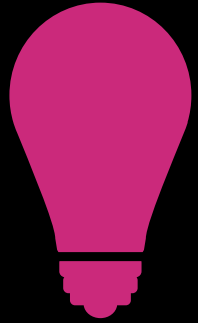
Pick first element in unsorted region and put it in right place in sorted region



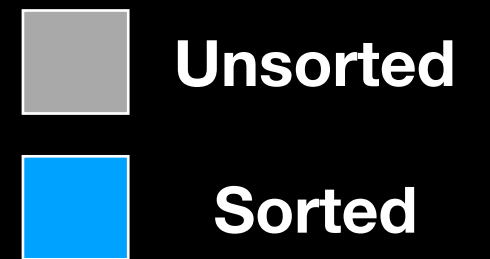
3rd Pass



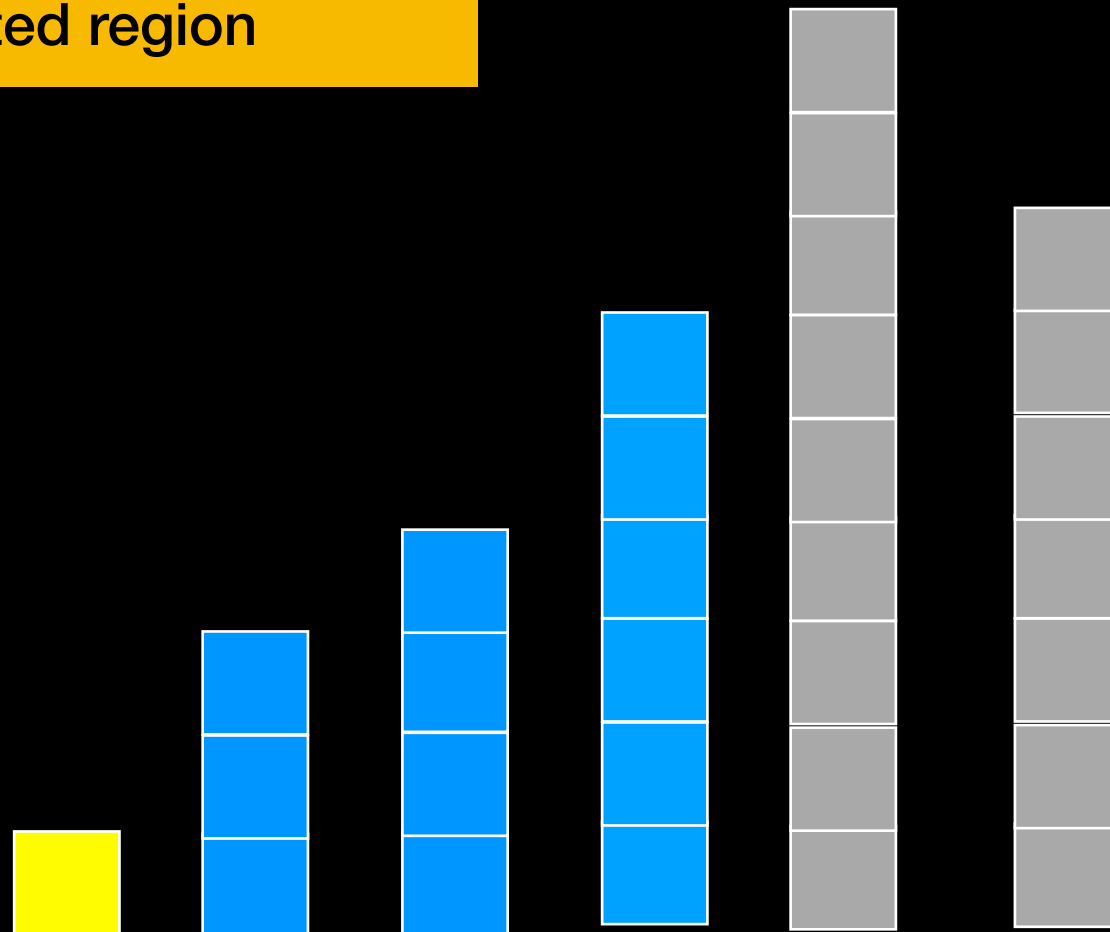
Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

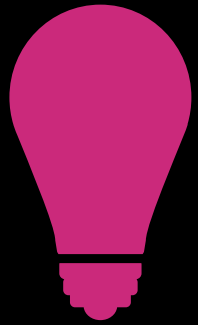


3rd Pass

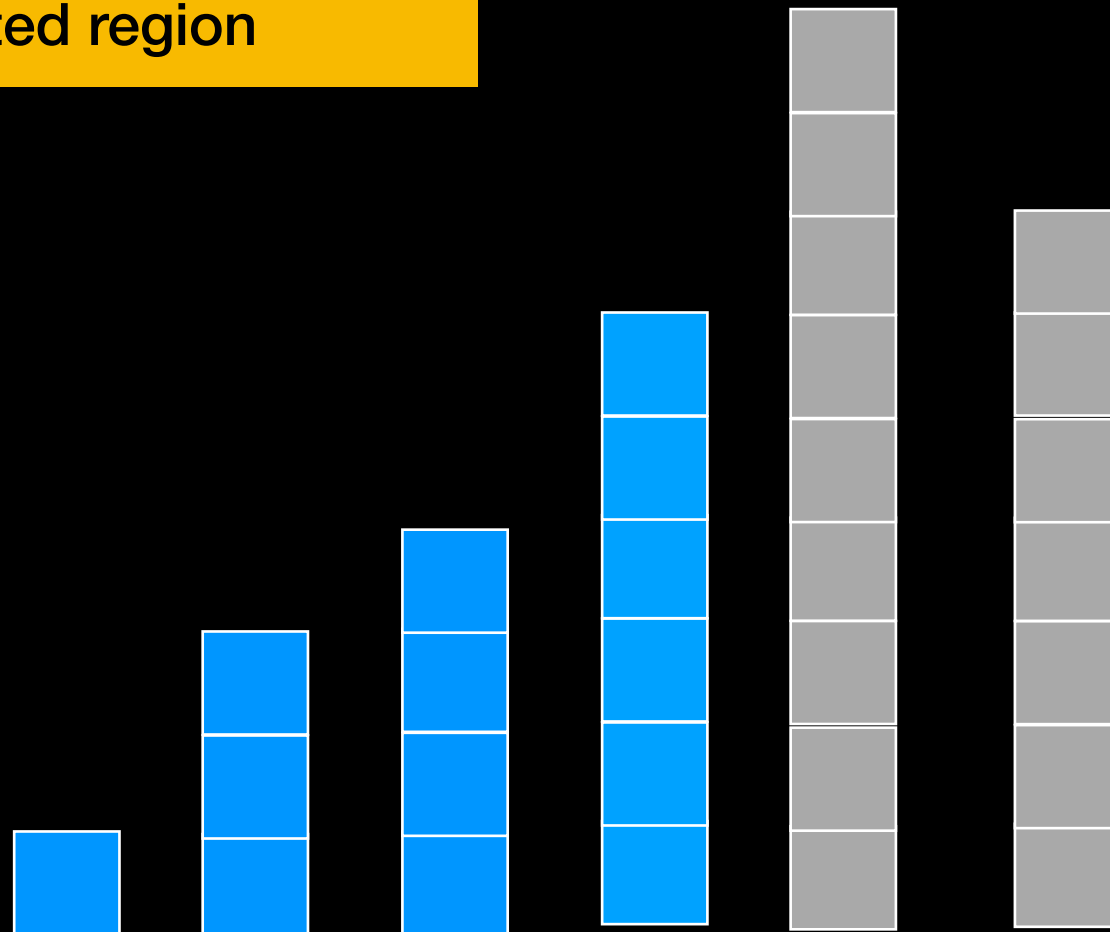


Insertion Sort

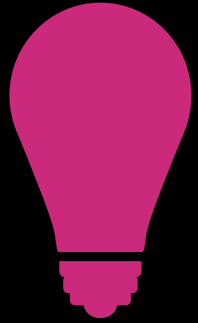
■ Unsorted
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



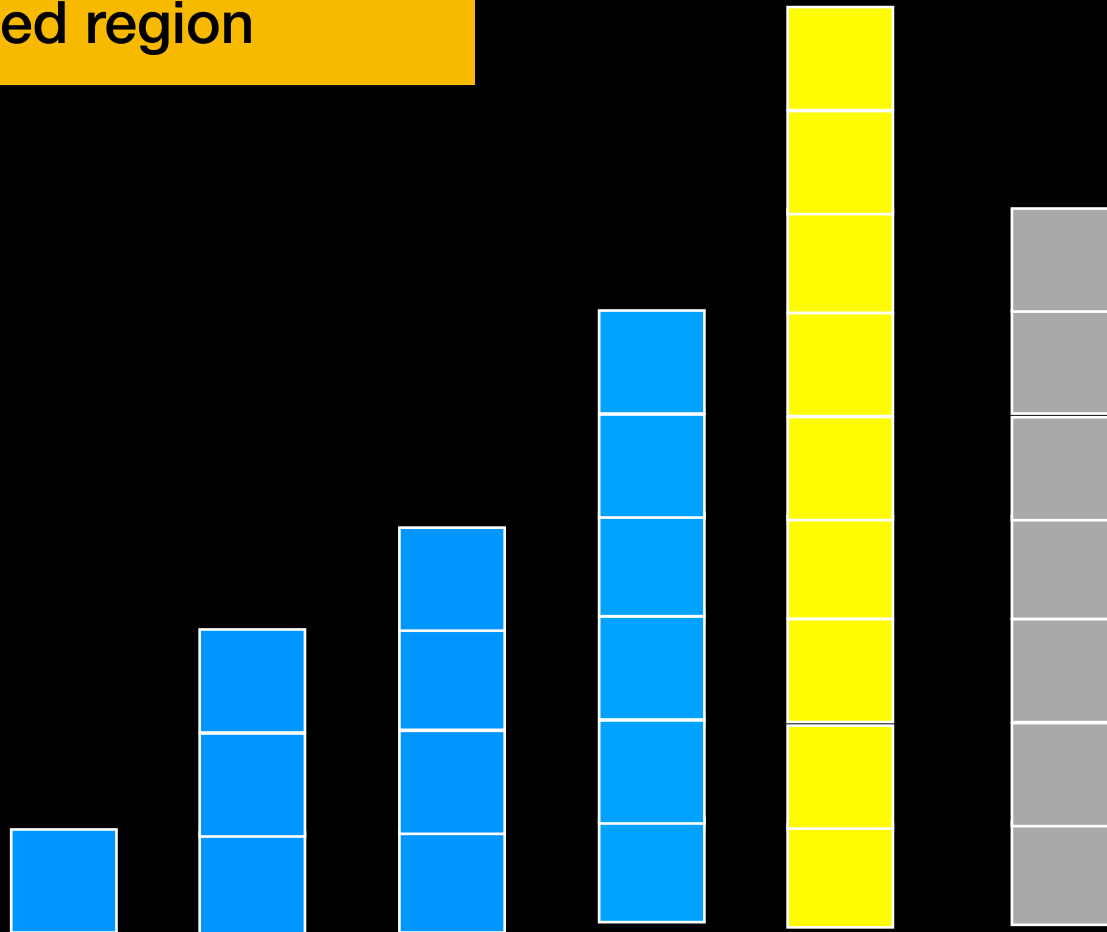
Insertion Sort



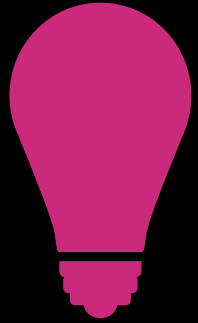
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

4th Pass



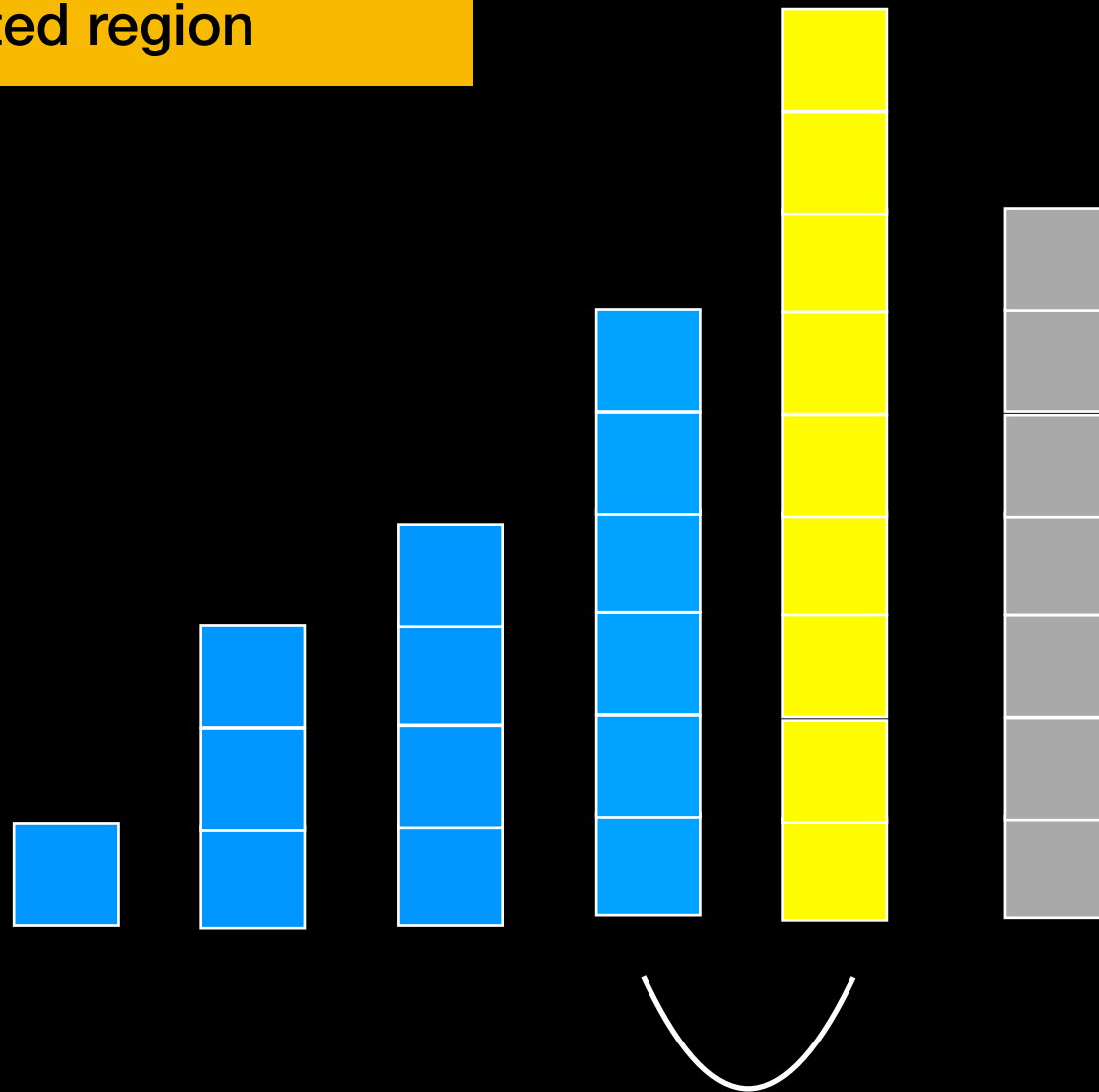
Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

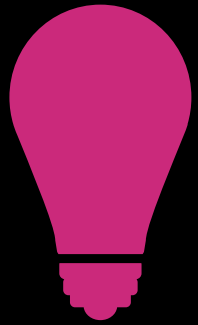
Unsorted
Sorted

4th Pass

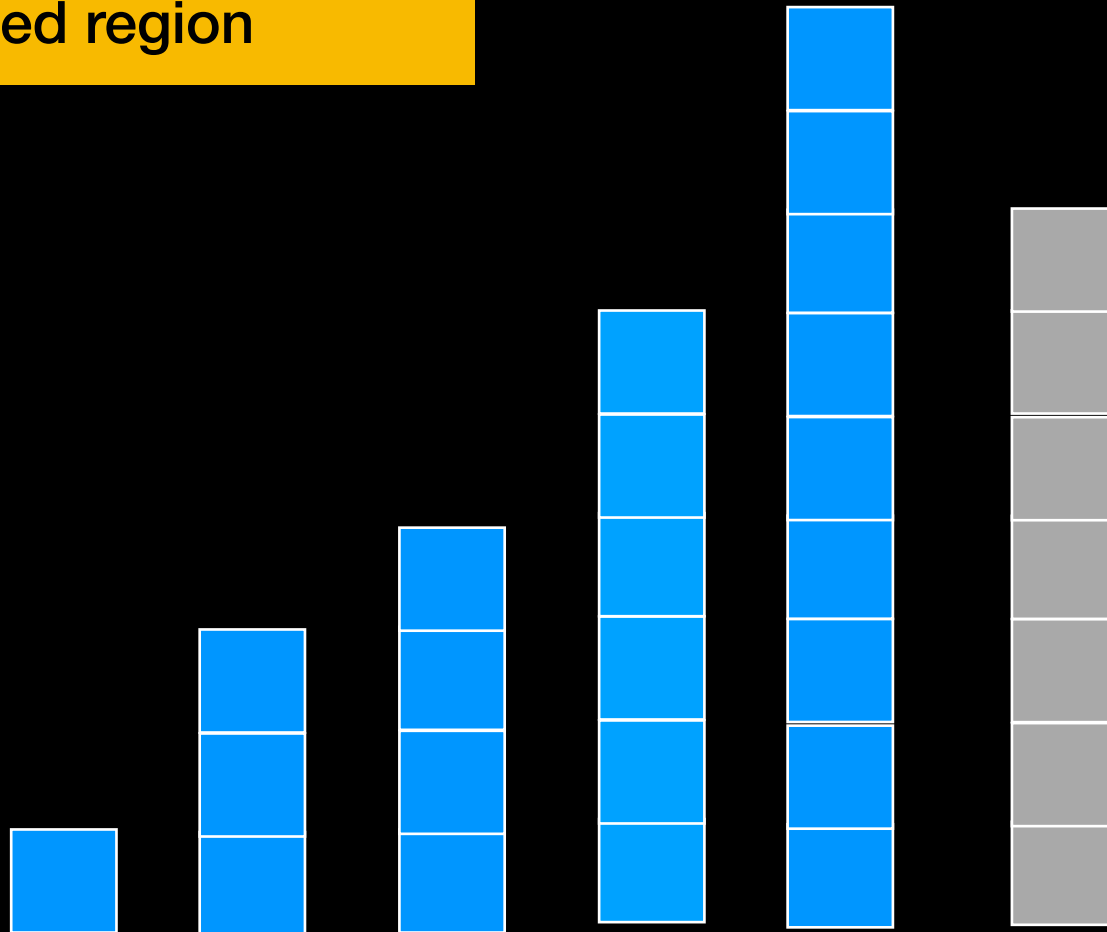


Insertion Sort

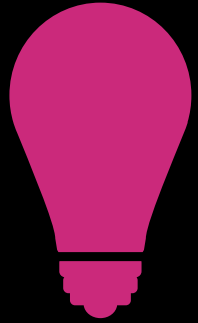
 Unsorted
 Sorted



Pick first element in unsorted region and put it in right place in sorted region



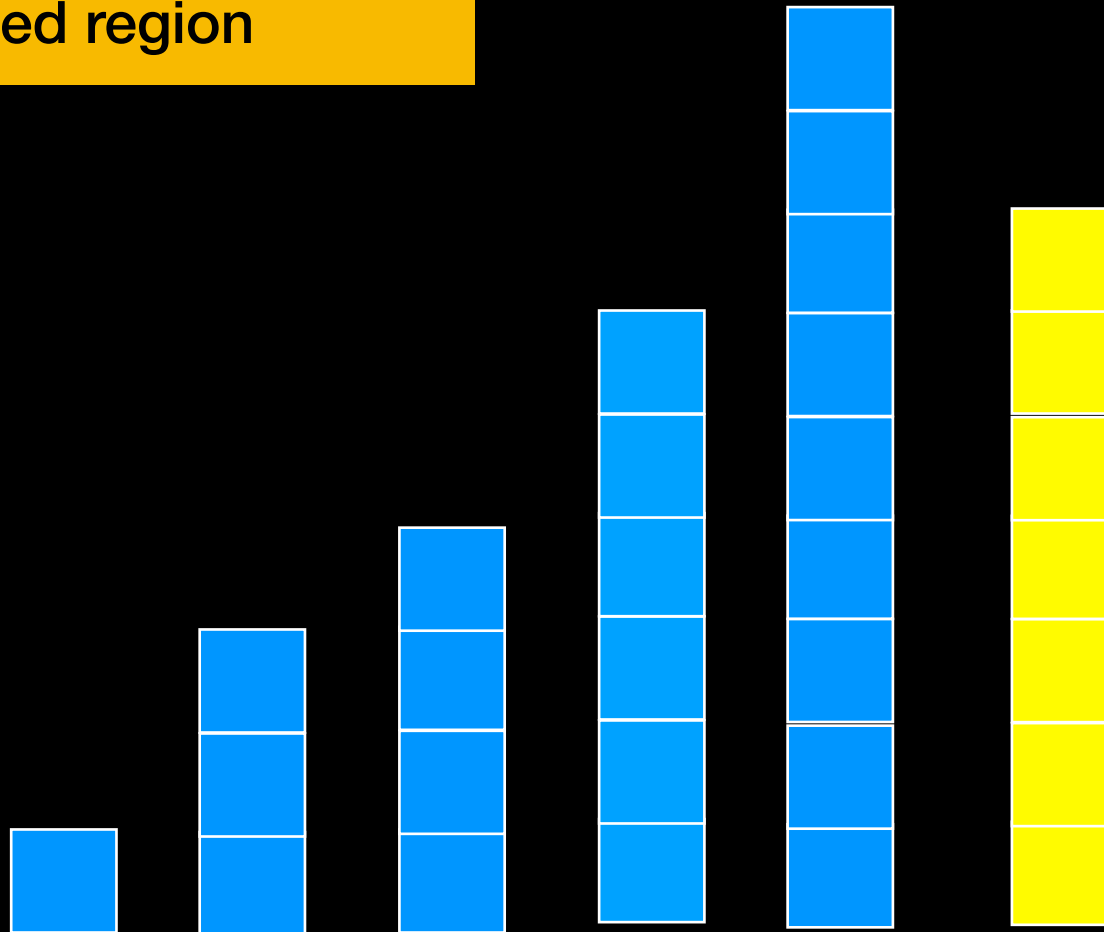
Insertion Sort



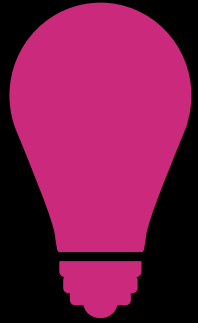
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

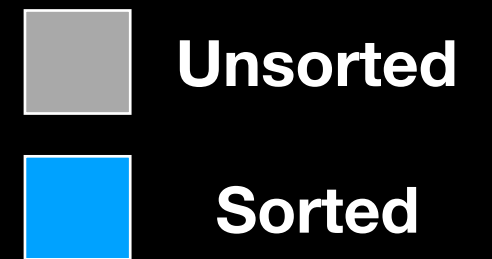
5th Pass



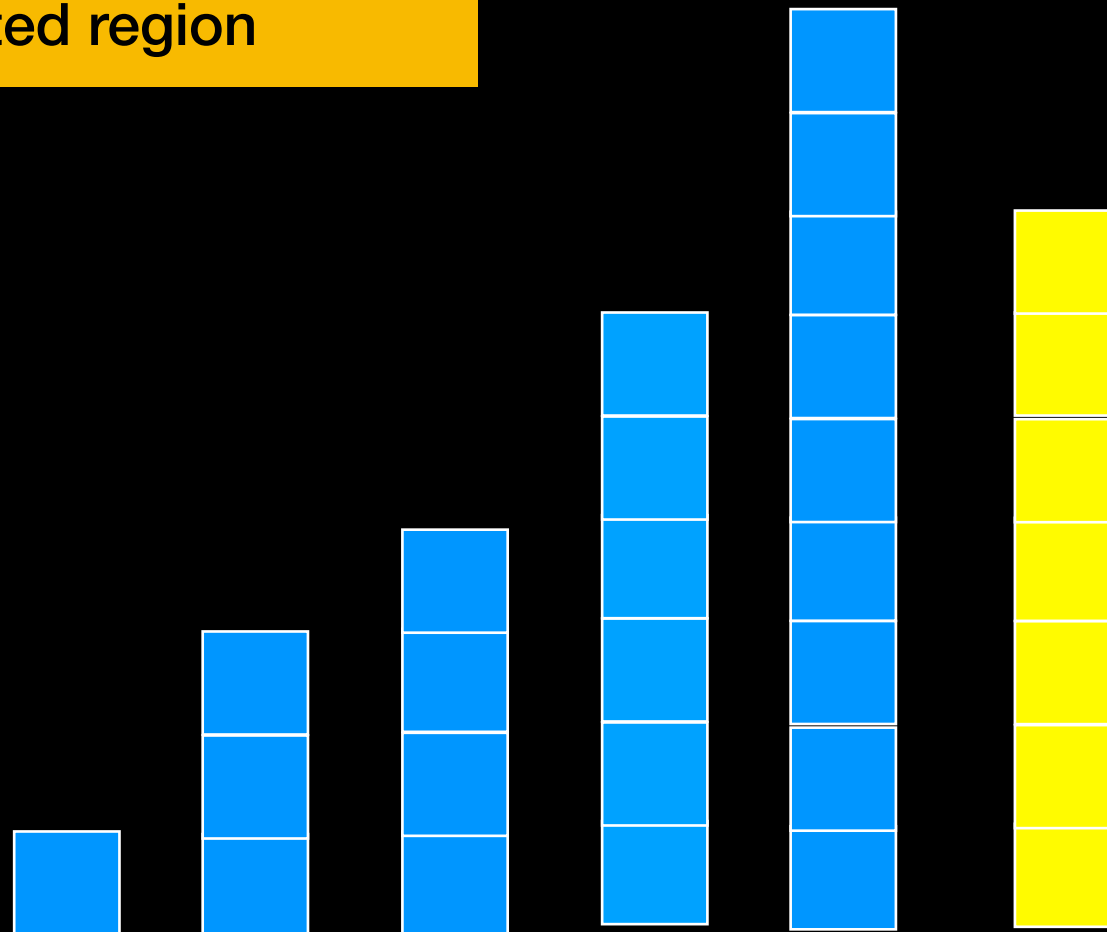
Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

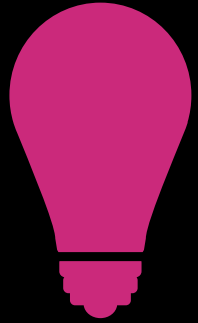


5th Pass



Swap

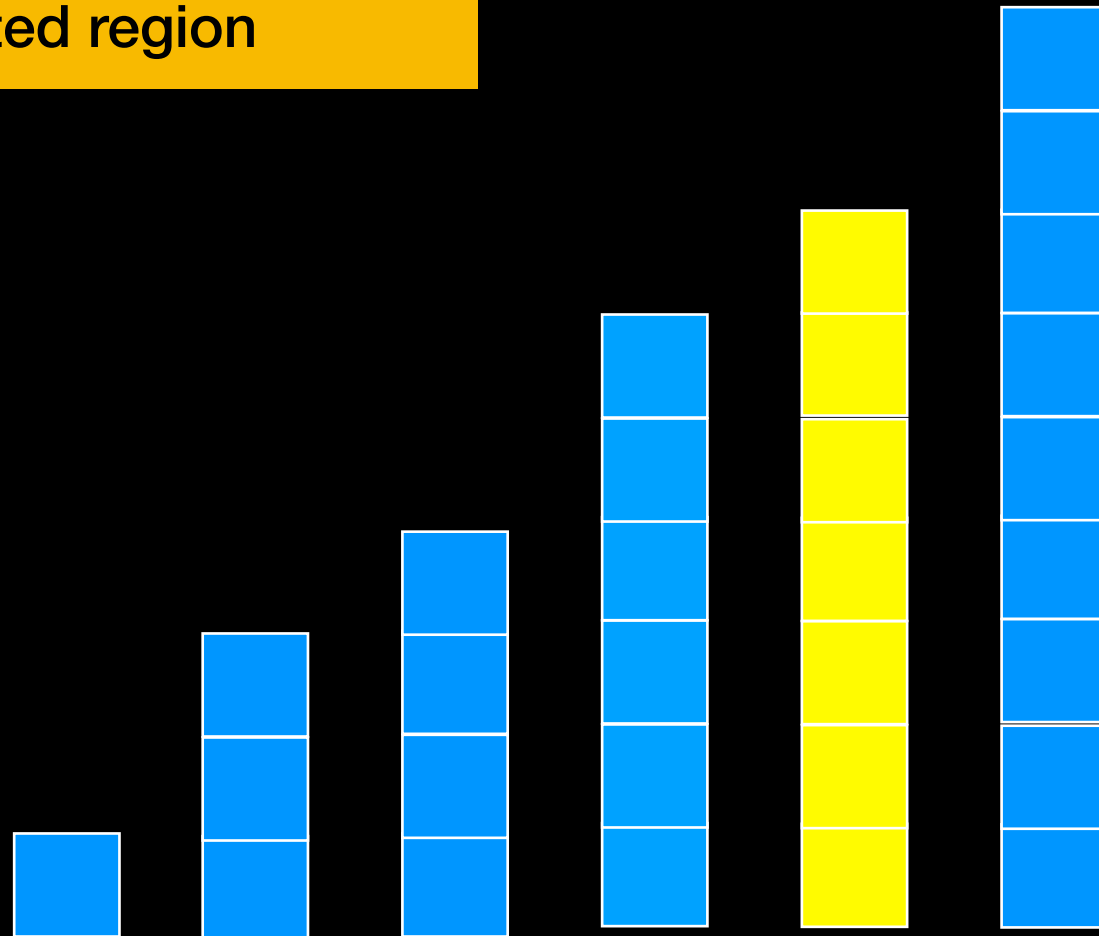
Insertion Sort



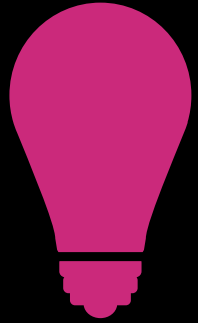
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

5th Pass



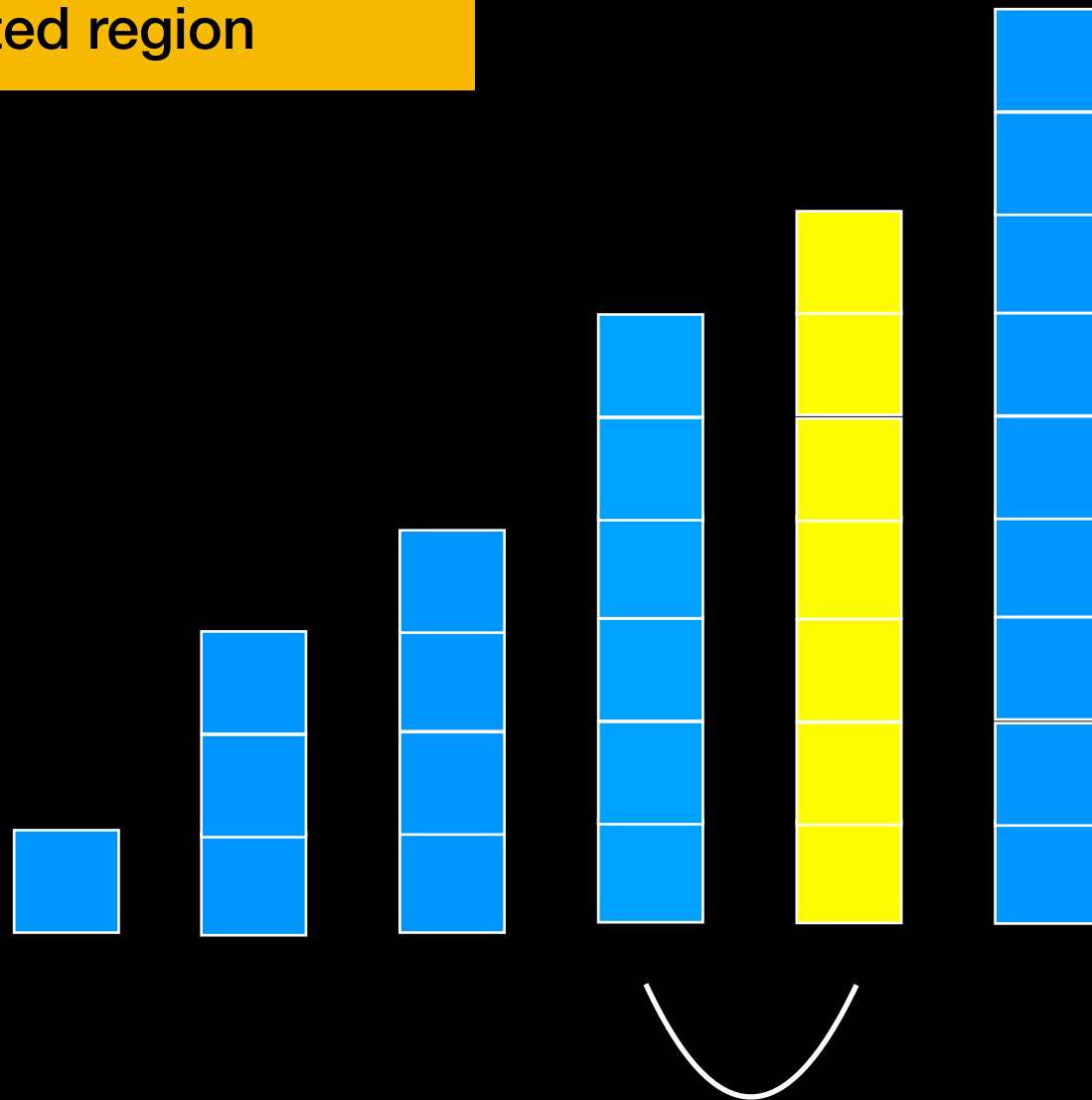
Insertion Sort




Pick first element in unsorted region and put it in right place in sorted region

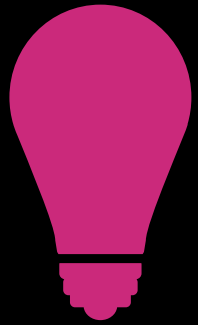
Unsorted
Sorted

5th Pass

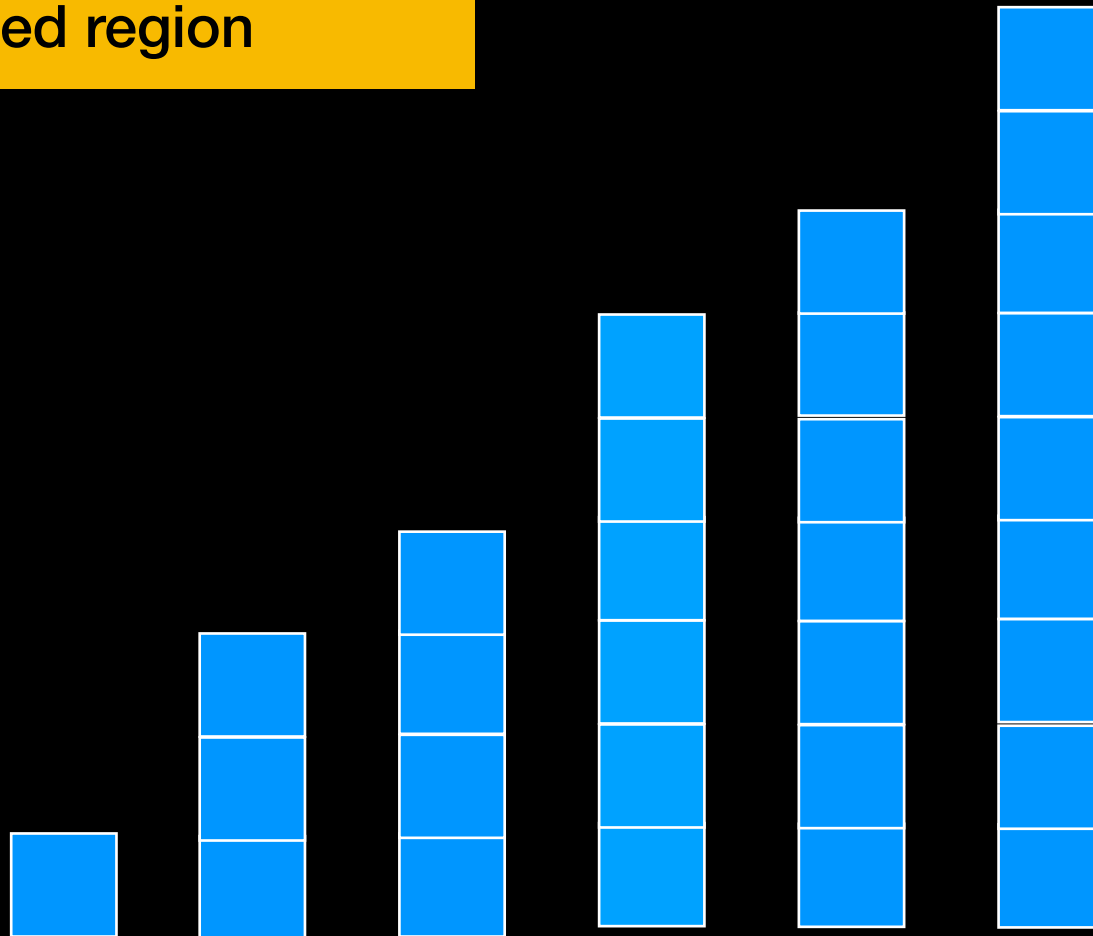


Insertion Sort

 Unsorted
 Sorted



Pick first element in unsorted region and put it in right place in sorted region



Insertion Sort Analysis

How much work?

First pass: **1** comparison and **at most 1** swap

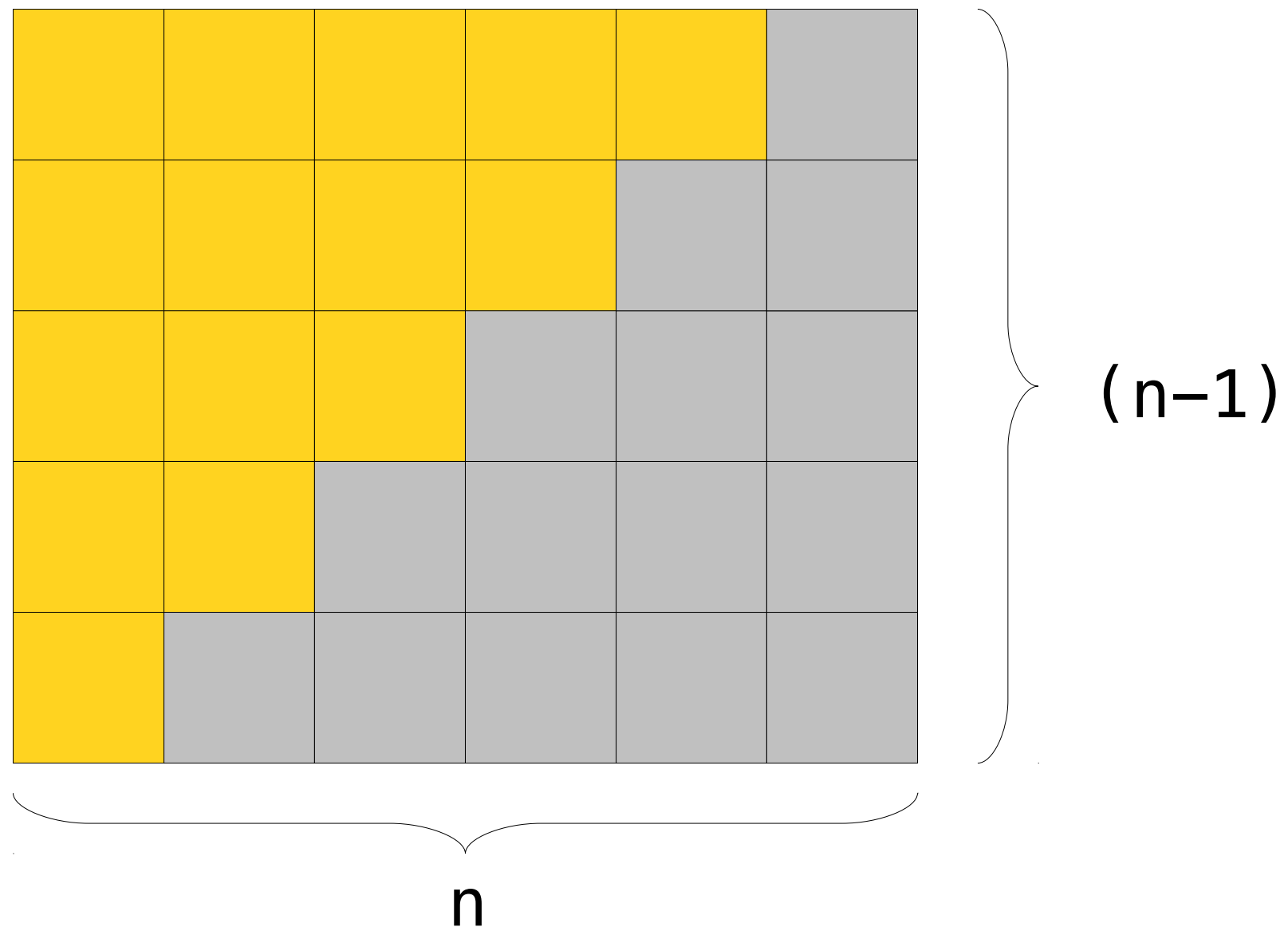
Second pass: **at most 2** comparisons and **at most 2** swaps

Third pass: **at most 3** comparisons and **at most 3** swaps

...

Total work: **$1 + 2 + 3 + \dots + (n-1)$**

$$1 + 2 + \dots + (n-2) + (n-1) = n(n-1)/2$$



Insertion Sort Analysis



$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

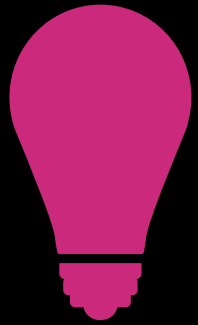
$$T(n) = 2((n^2-n) / 2) = O(\text{ })?$$

$$T(n) = n^2 - n = O(n^2)$$

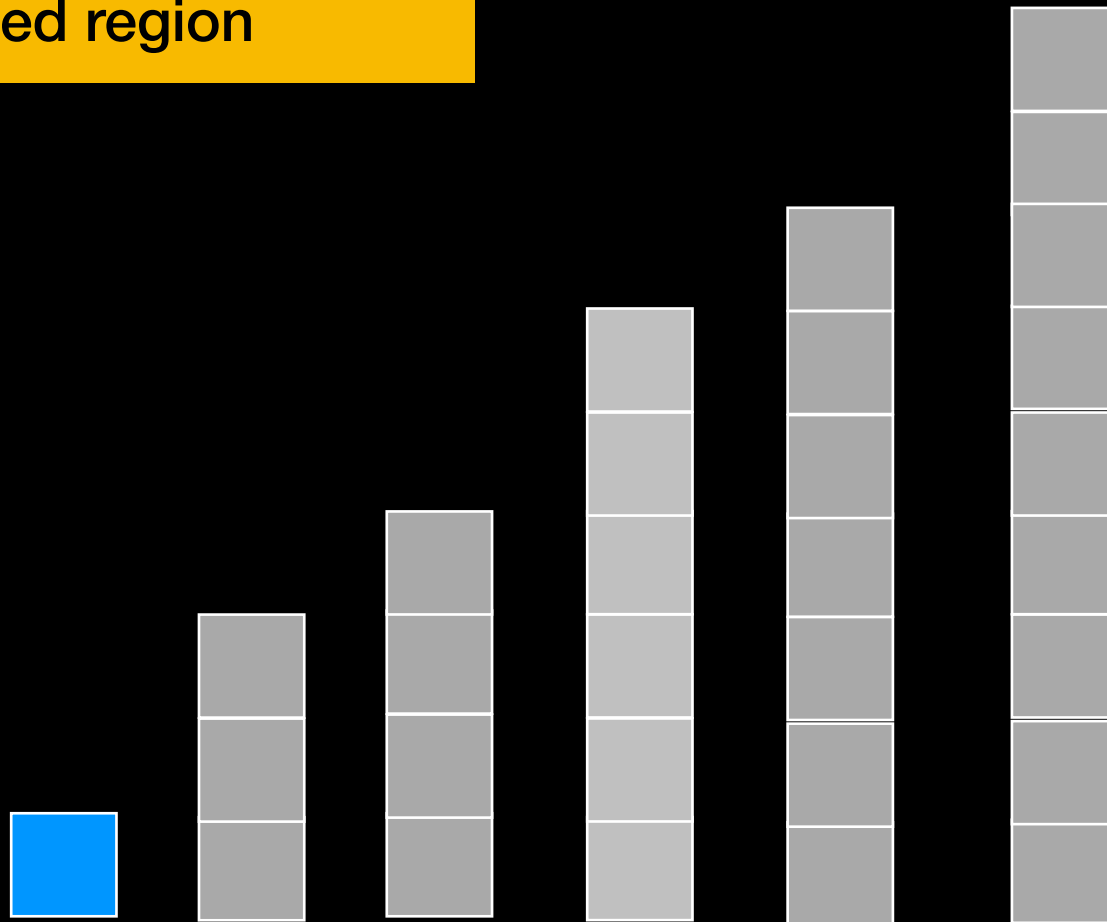
Insertion Sort run time is $O(n^2)$

Insertion Sort



 Unsorted
 Sorted

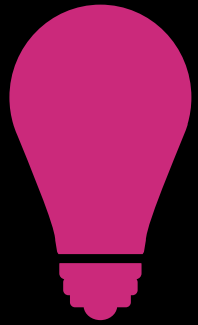


Pick first element in unsorted region and put it in right place in sorted region

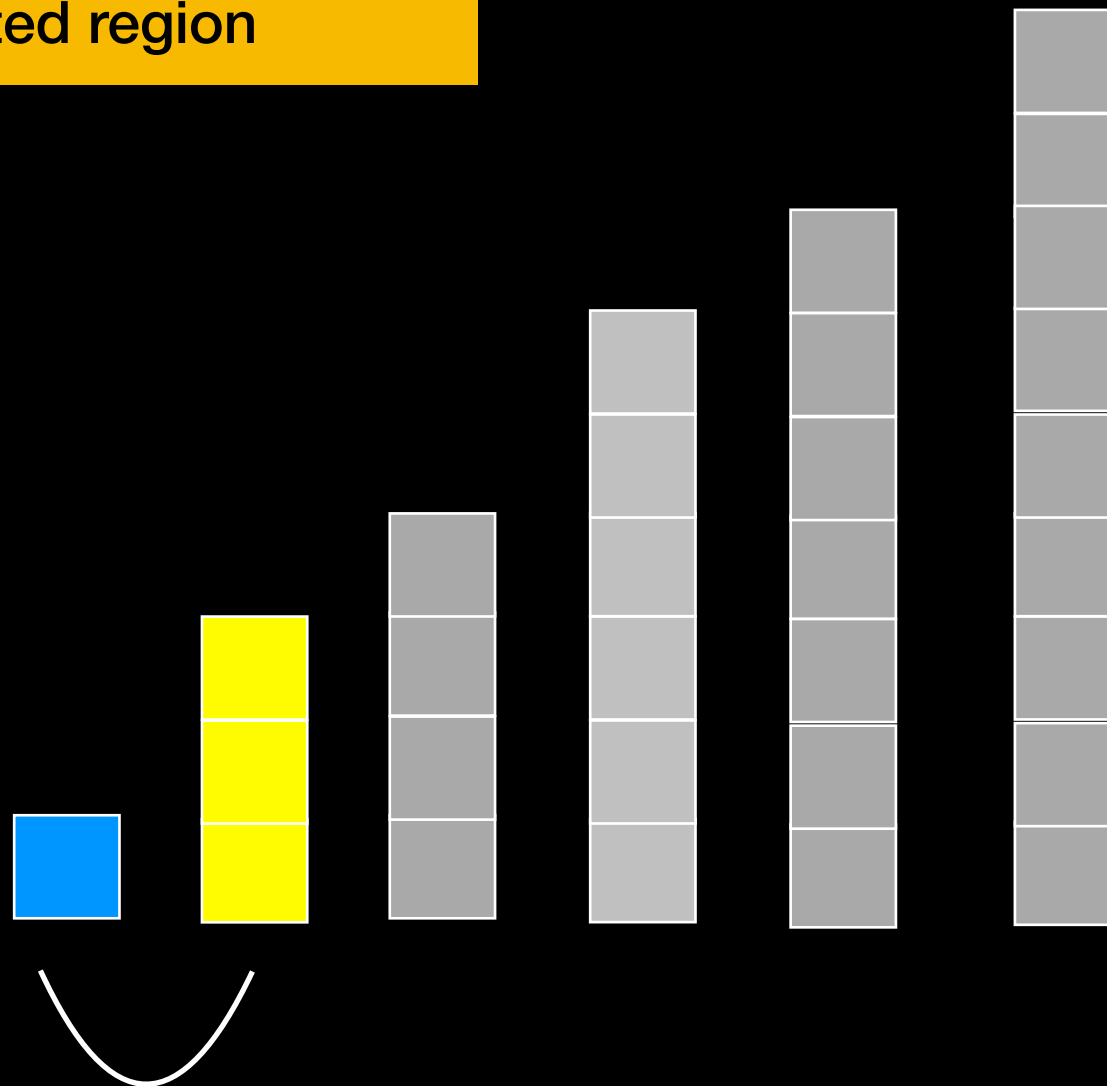


Insertion Sort


 Unsorted
 Sorted

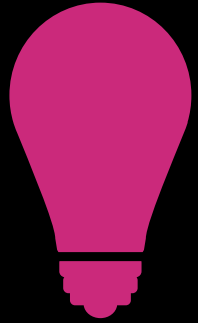


Pick first element in unsorted region and put it in right place in sorted region

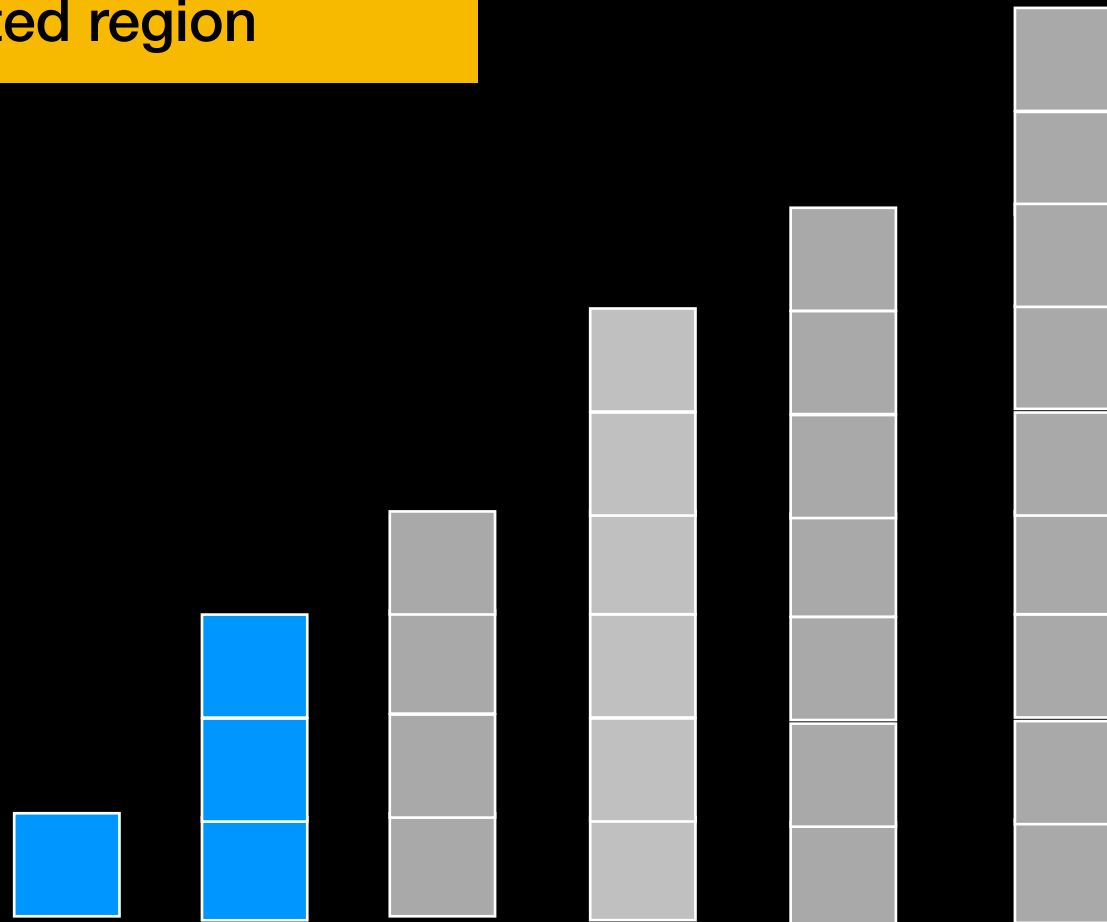


Insertion Sort



 Unsorted
 Sorted

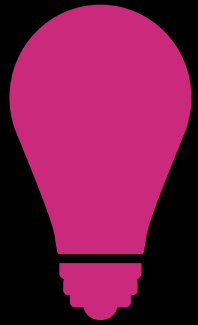


Pick first element in unsorted region and put it in right place in sorted region

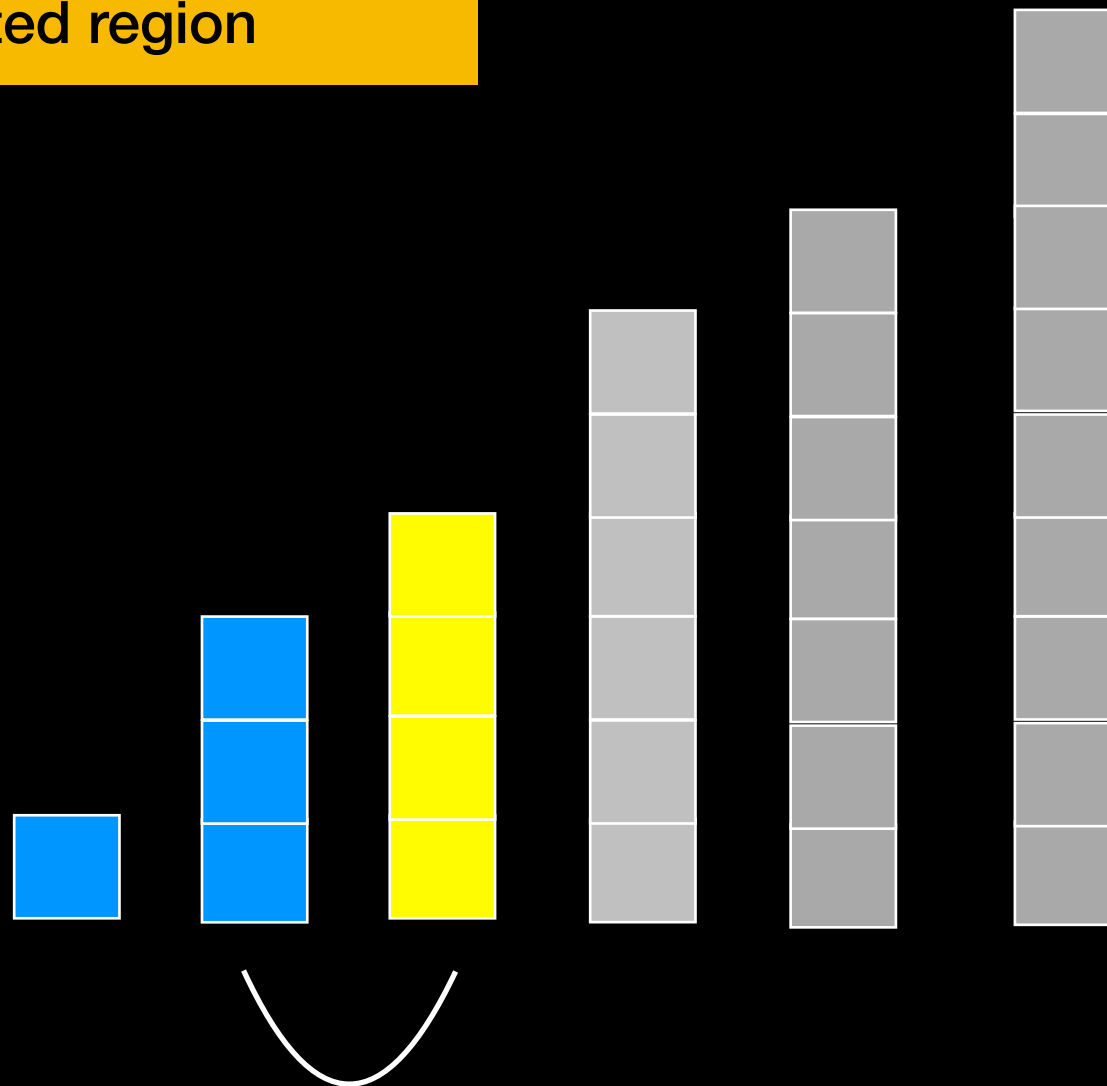


Insertion Sort

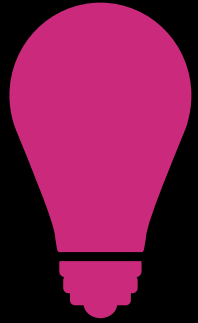
 Unsorted
 Sorted



Pick first element in unsorted region and put it in right place in sorted region

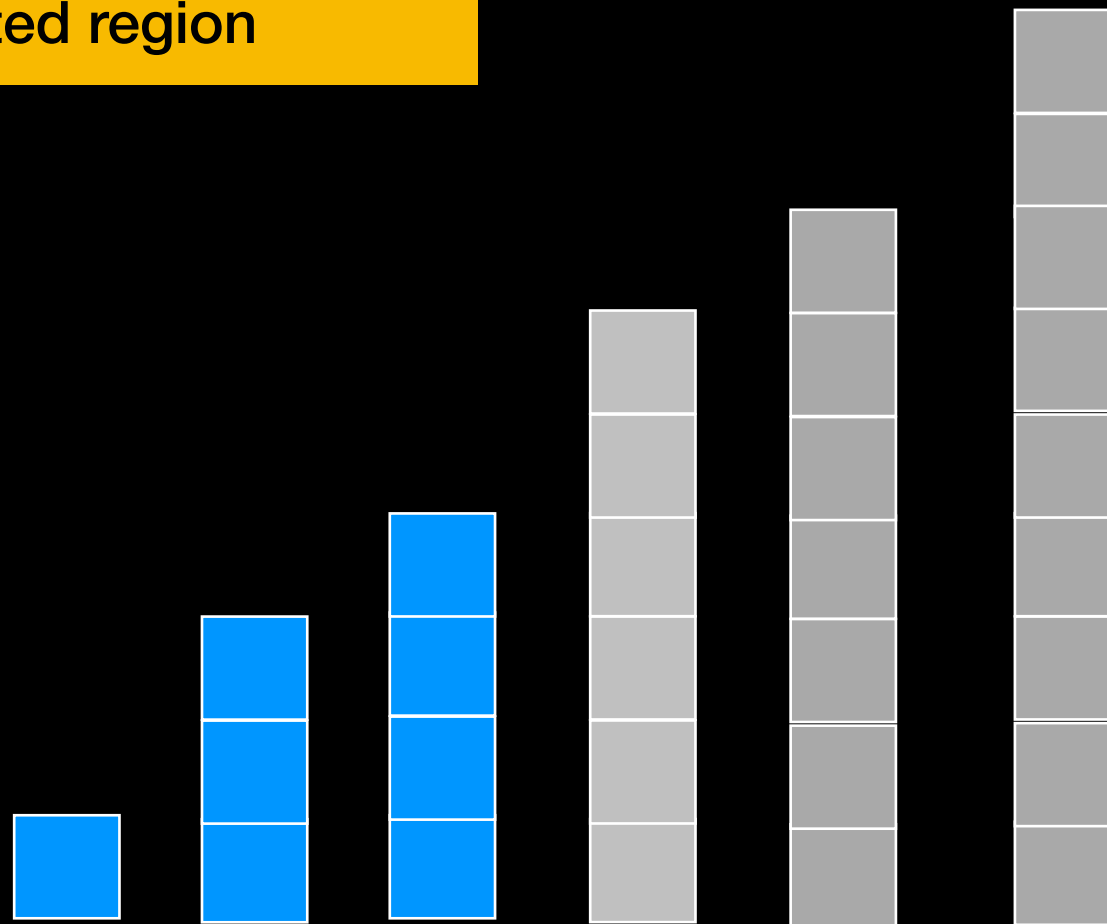


Insertion Sort



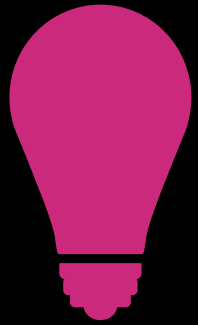
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

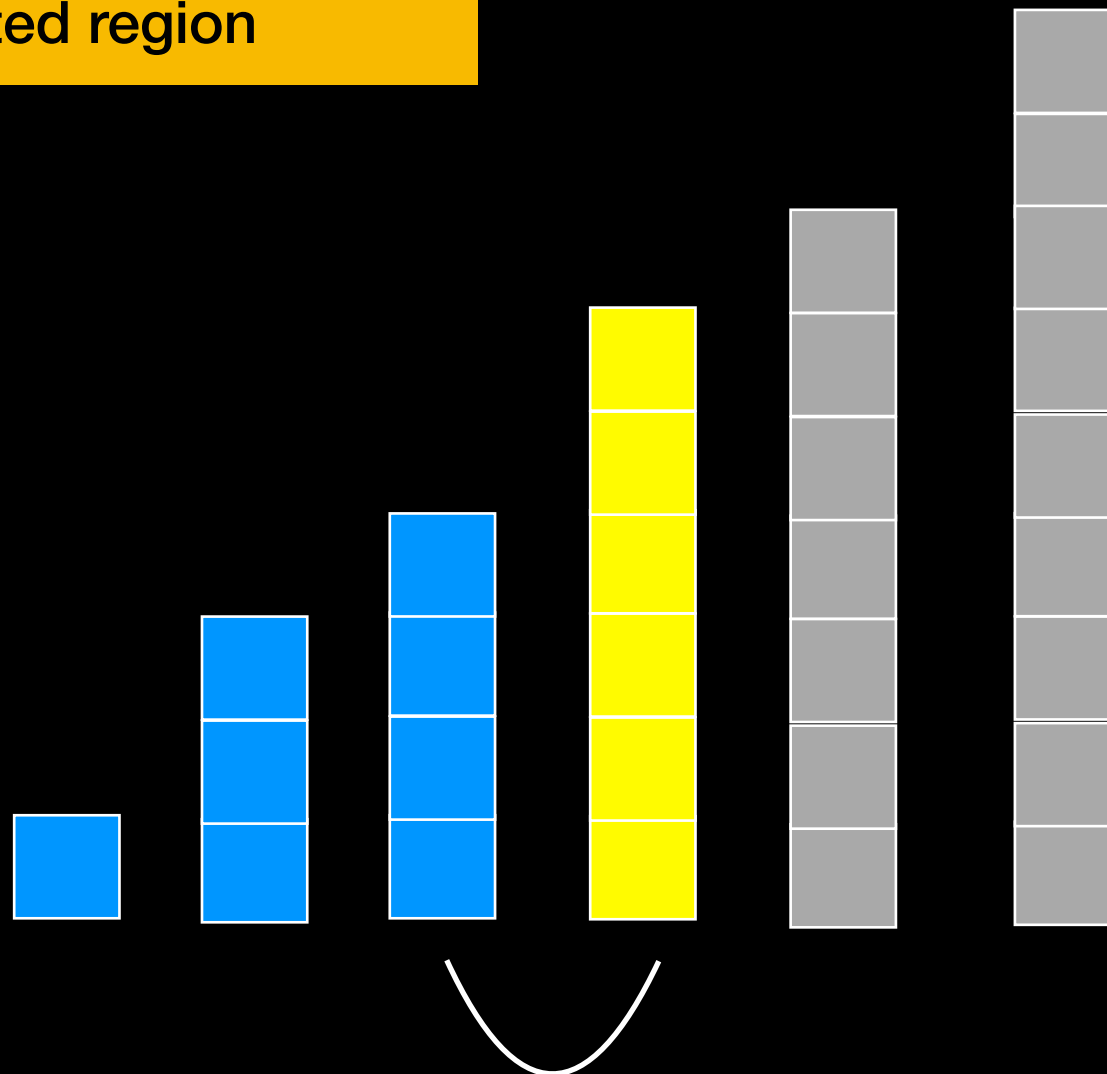


Insertion Sort

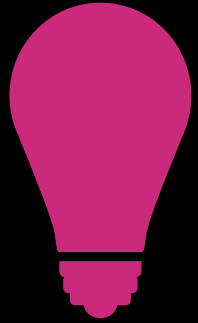
■ Unsorted
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region

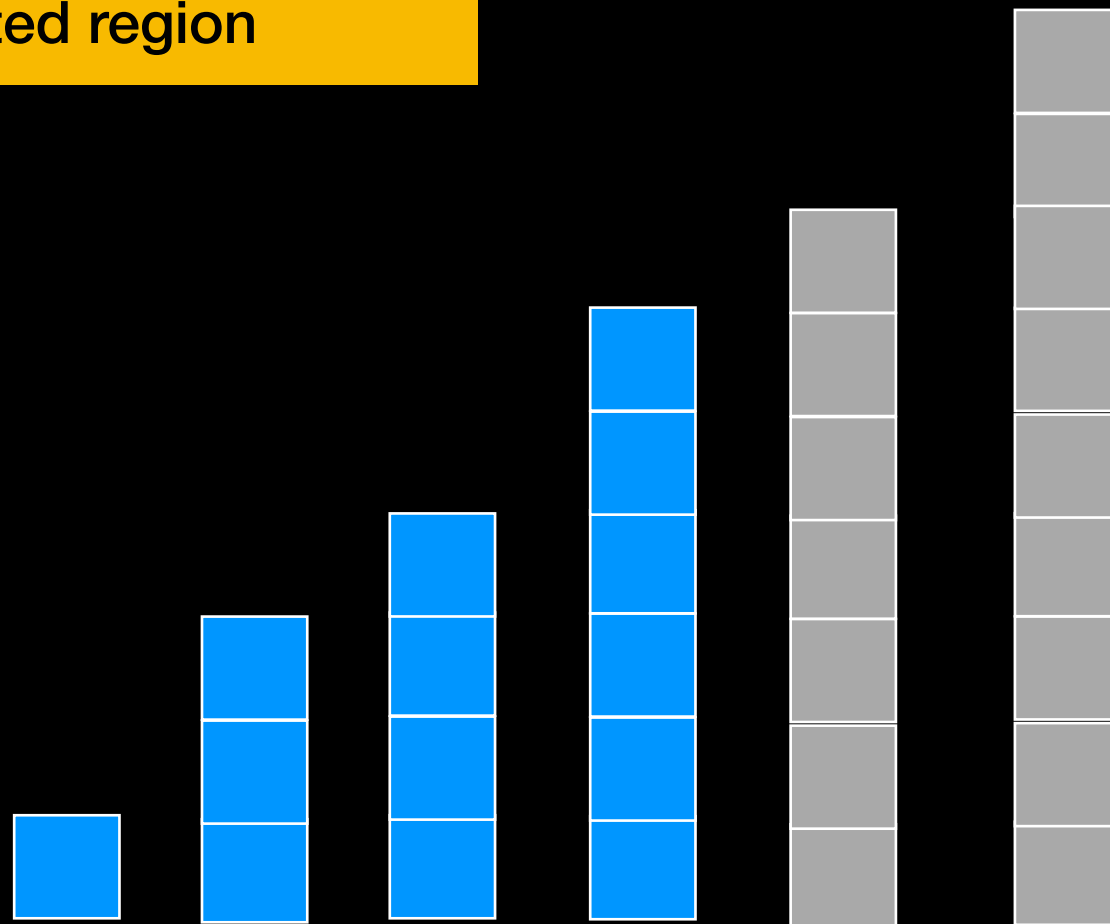


Insertion Sort



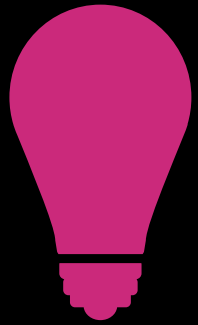
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

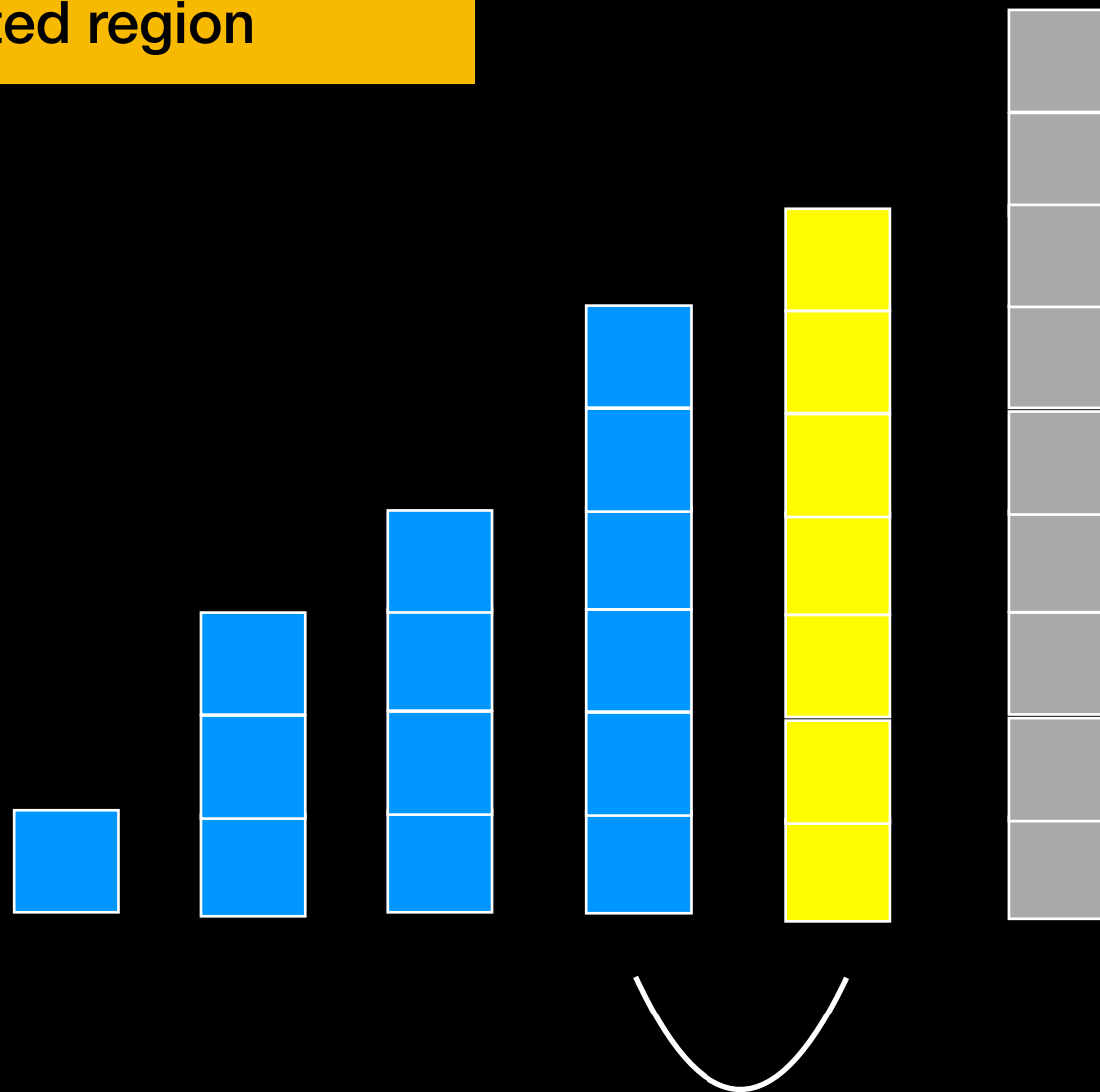


Insertion Sort

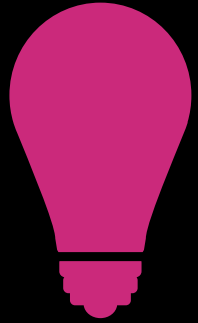
Unsorted
Sorted



Pick first element in unsorted region and put it in right place in sorted region

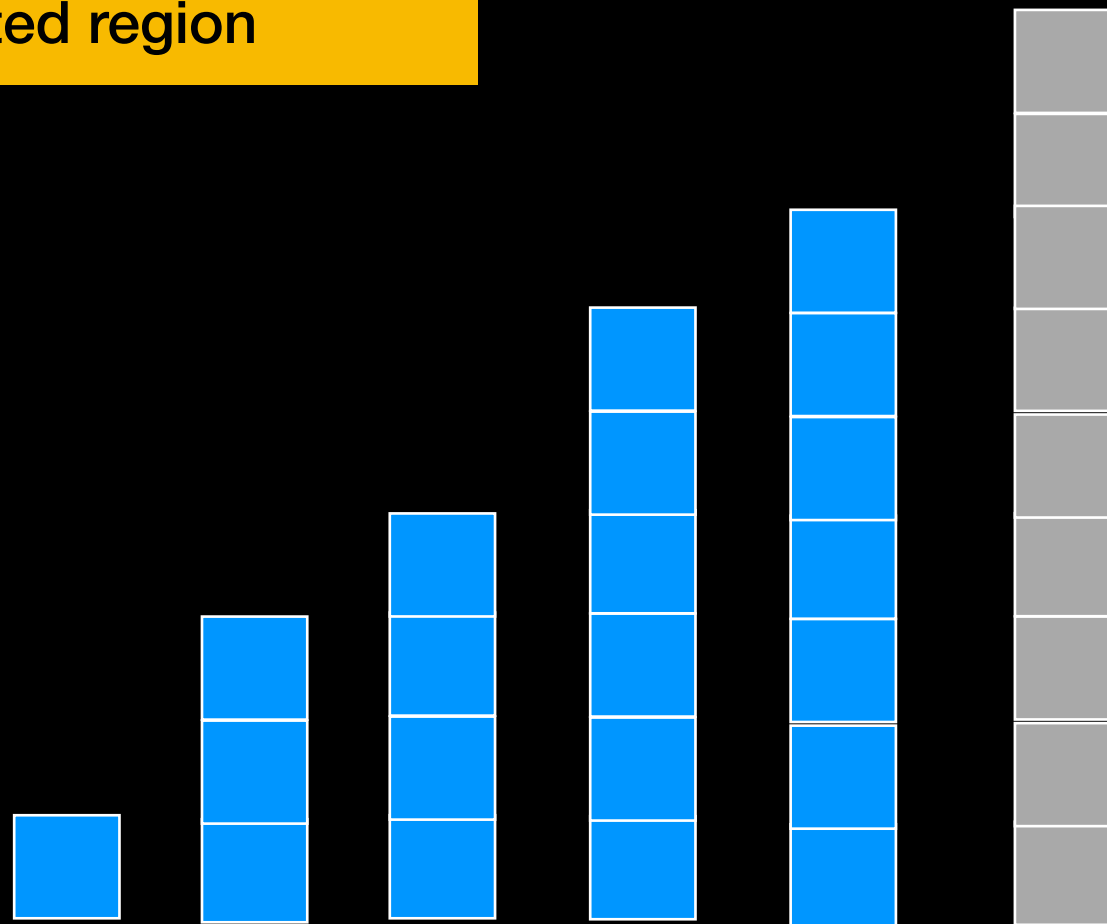


Insertion Sort




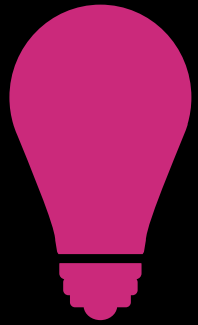
Pick first element in unsorted region and put it in right place in sorted region

Unsorted
Sorted

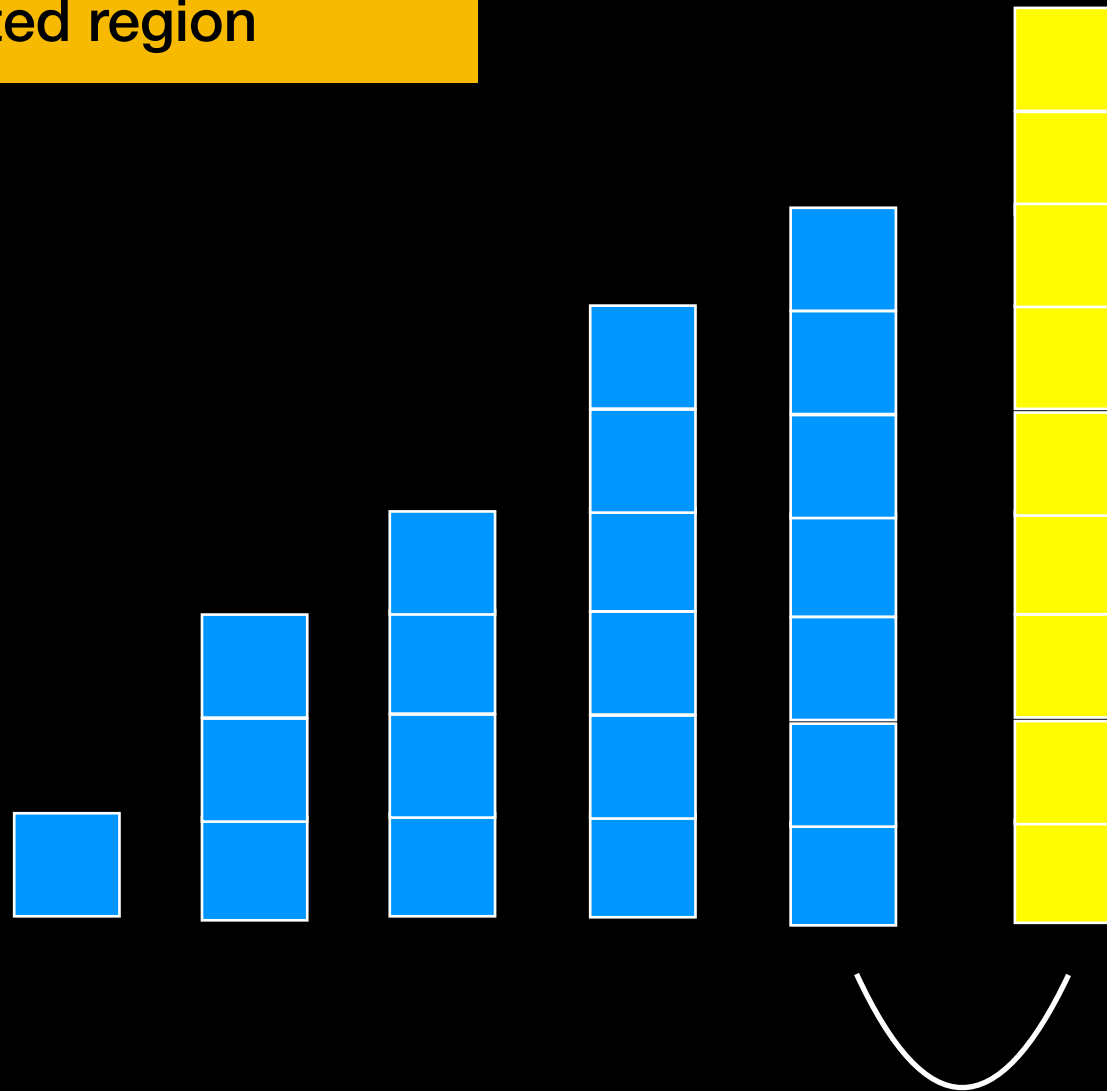


Insertion Sort



 Unsorted
 Sorted

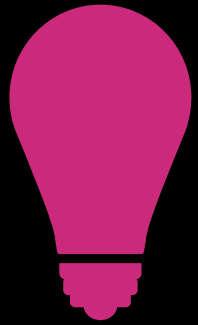


Pick first element in unsorted region and put it in right place in sorted region

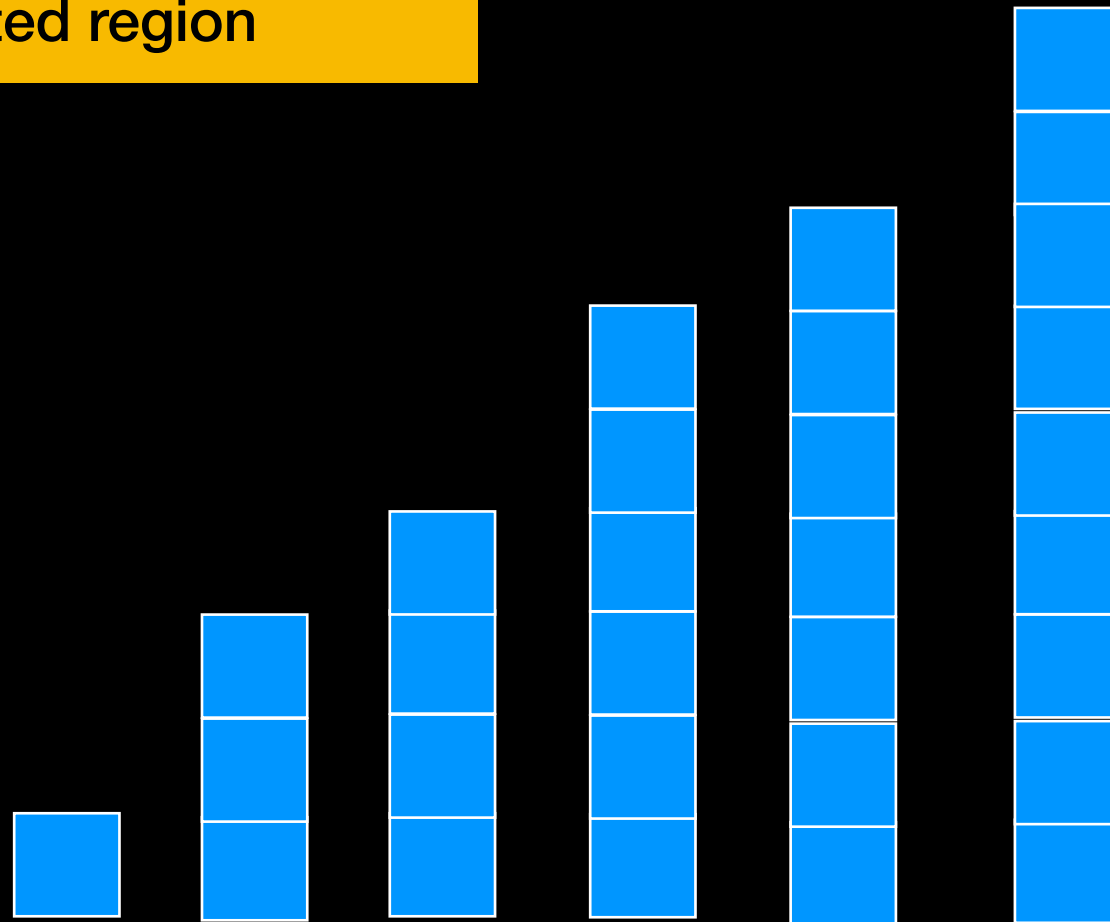


Insertion Sort

 Unsorted
 Sorted



Pick first element in unsorted region and put it in right place in sorted region



Insertion Sort Analysis

Execution time DOES depend on initial arrangement of data

Worst case: $O(n^2)$ comparisons and data moves

Best case: $O(n)$ comparisons and data moves

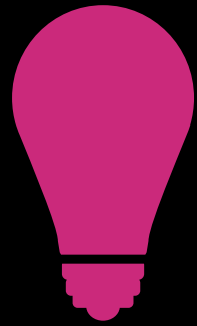
Stable

If array is already sorted Insertion sort will do only n comparisons and no swaps => good choice for **small n** and data likely **somewhat sorted**

Raise your hand if you had
Insertion Sort

What we have so far

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$
Insertion Sort	$O(n^2)$	$O(n)$

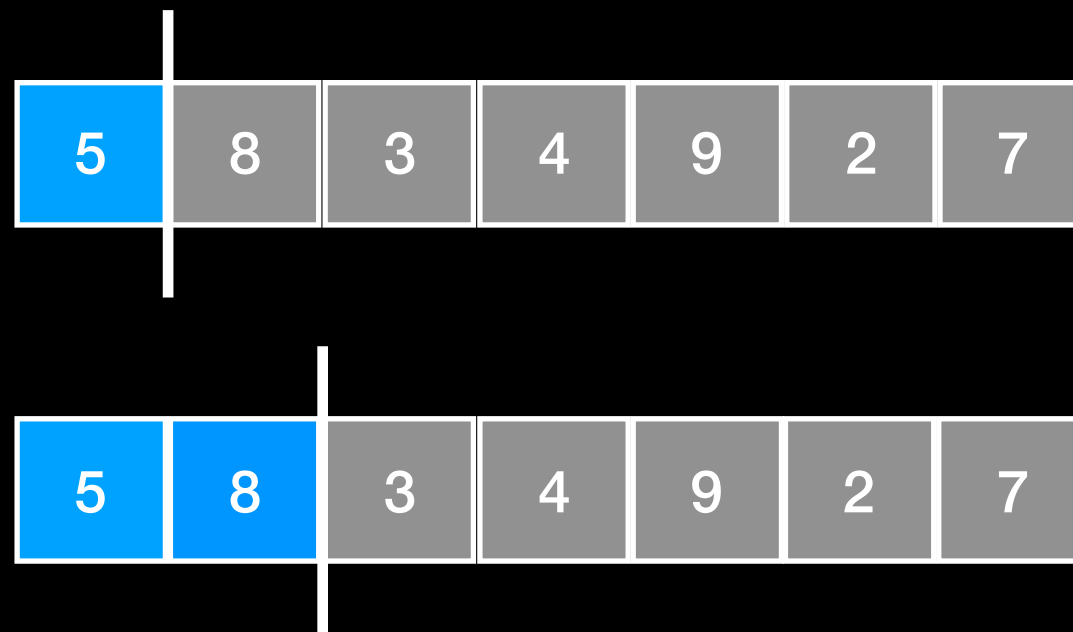


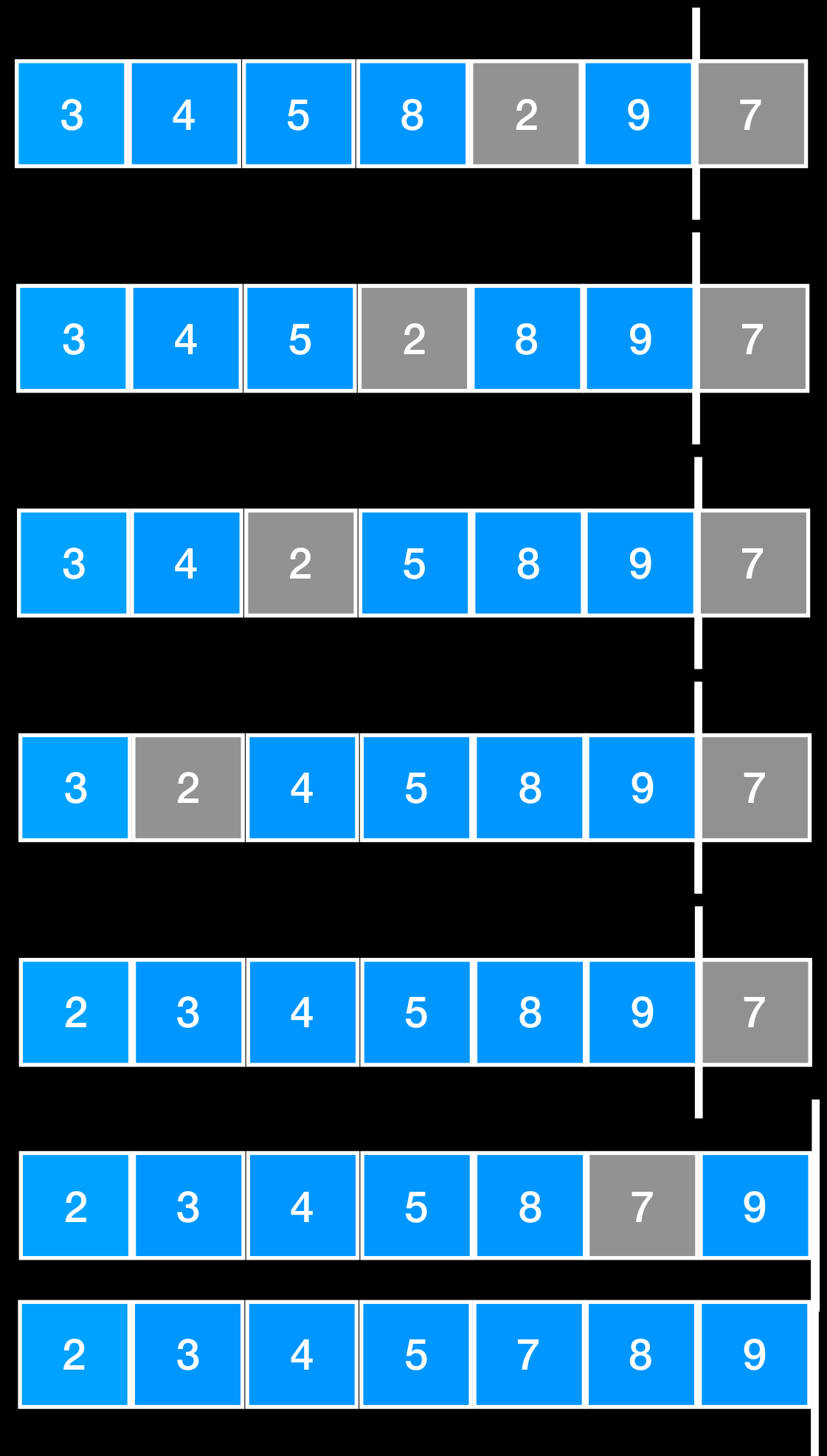
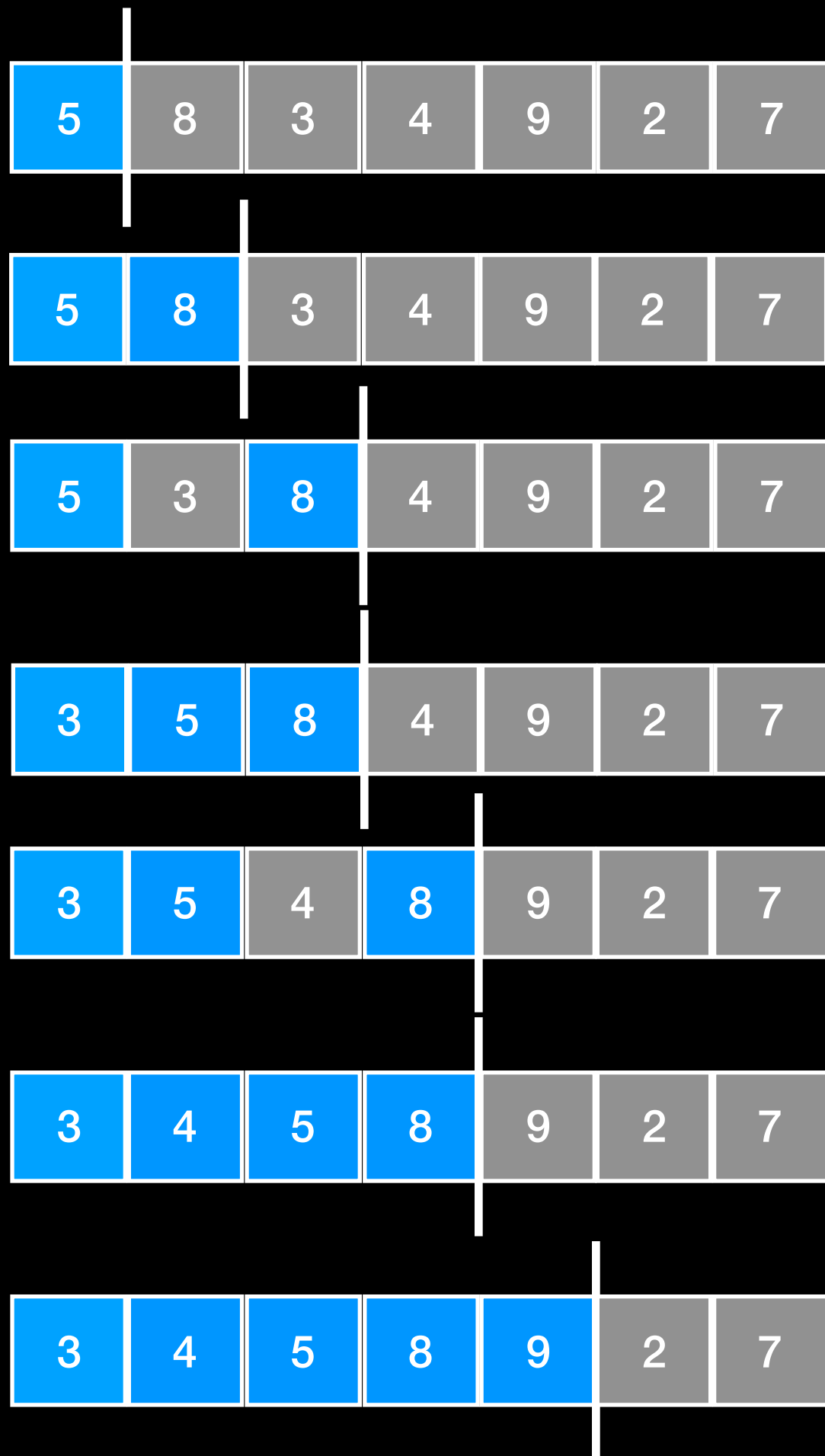
Pick first element in
unsorted region and put it in
right place in sorted region

Lecture Activity

















Sort the array using **Insertion Sort**

Show the entire array after each comparison/swap operation and at each step mark clearly the division between the sorted and unsorted portions of the array





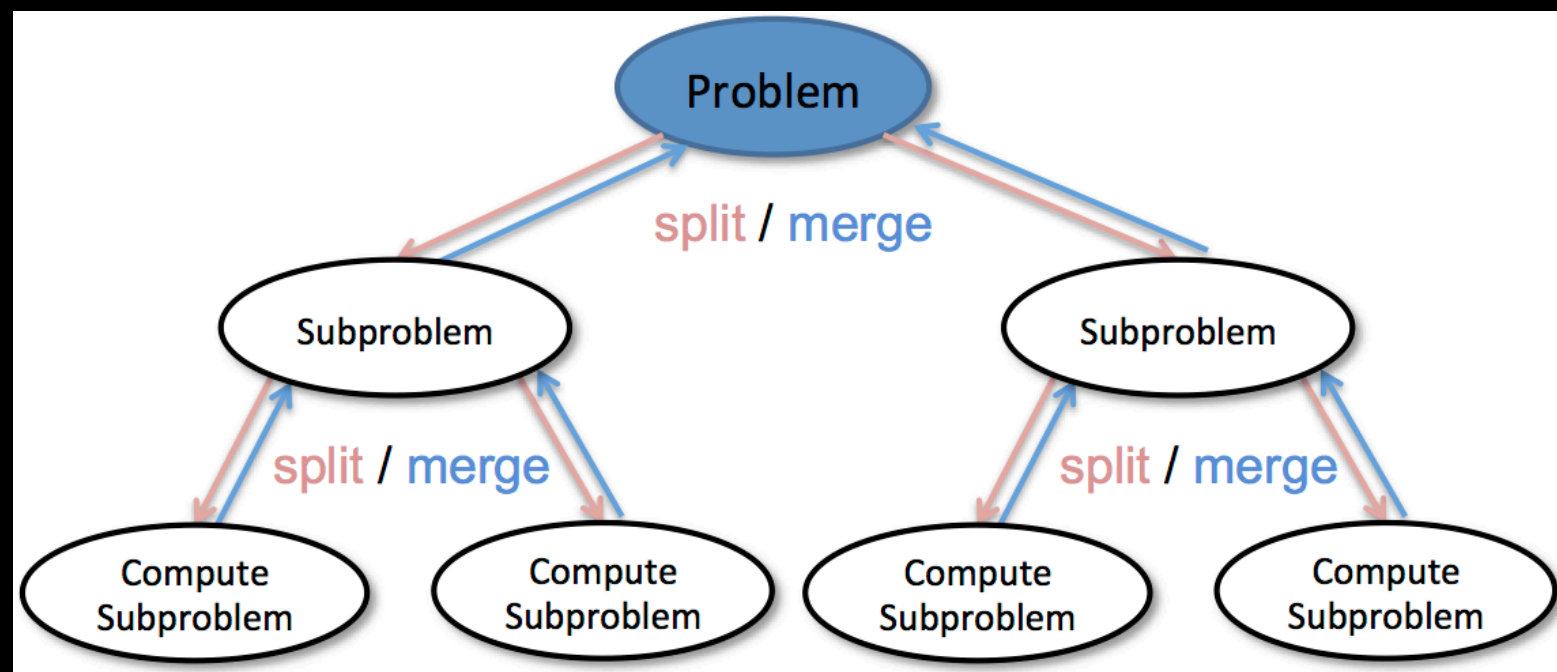
<https://www.toptal.com/developers/sorting-algorithms>

 Play All	 Insertion	 Selection	 Bubble
 Random			
 Nearly Sorted			
 Reversed			

Can we do better?

Can we do better?

Divide and Conquer!!!



Merge Sort

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

$T(1/2n)$

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$T(1/2n)$

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

$T(1/2n)$

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$T(1/2n)$

$$(n/2)^2 = n^2/4$$

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$$T(1/2n) \approx 1/4 T(n)$$

$$T(1/2n) \approx 1/4 T(n)$$

$$(n/2)^2 = n^2/4$$

Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

3	14	43	76	100	108	200	274	523
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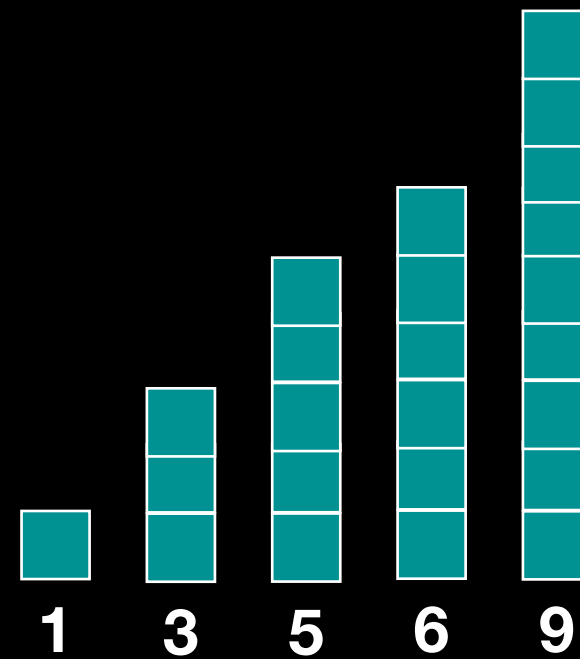
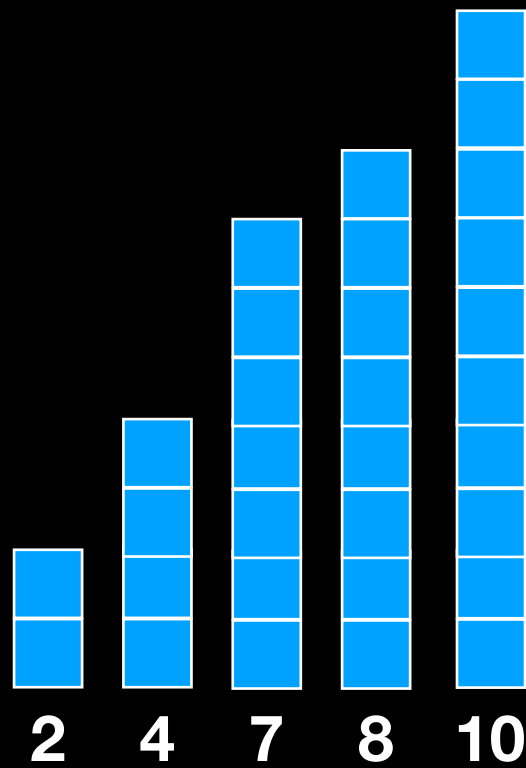
2	5	11	64	158	195	260	599	932
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$$T(1/2n) \approx 1/4 T(n)$$

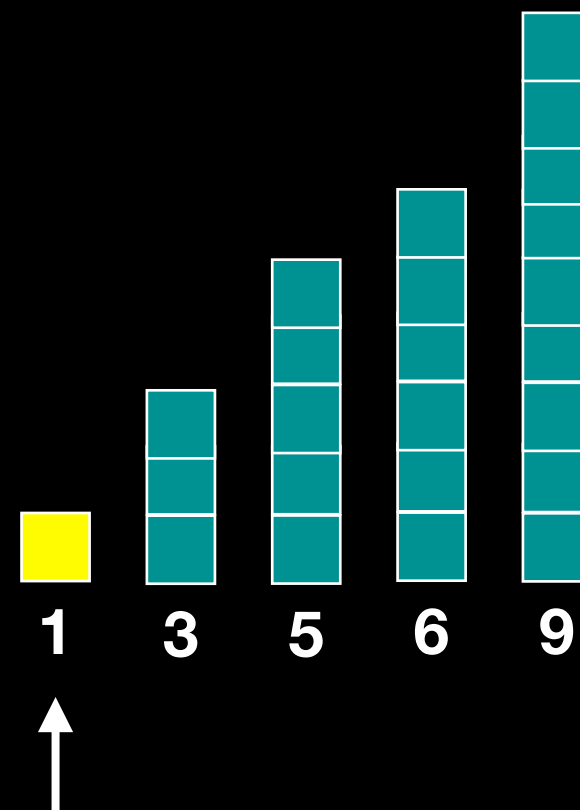
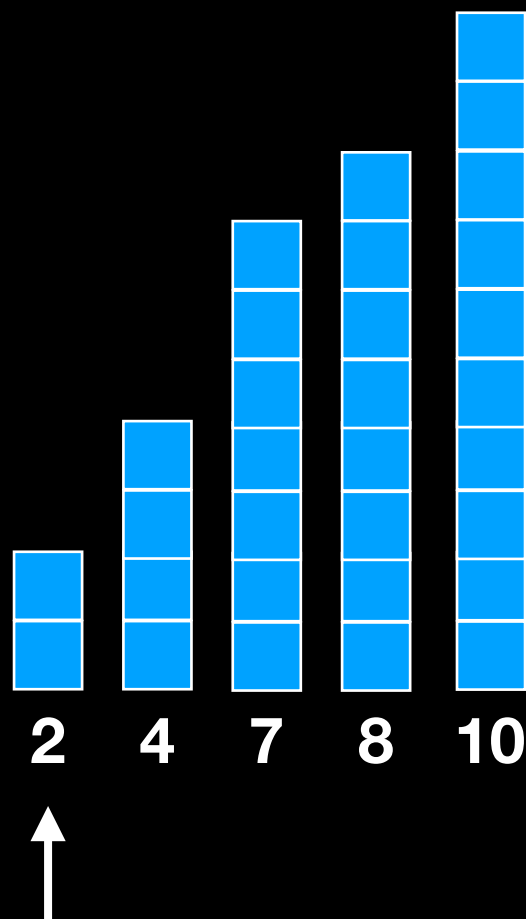
$$T(1/2n) \approx 1/4 T(n)$$

$$(n/2)^2 = n^2/4$$

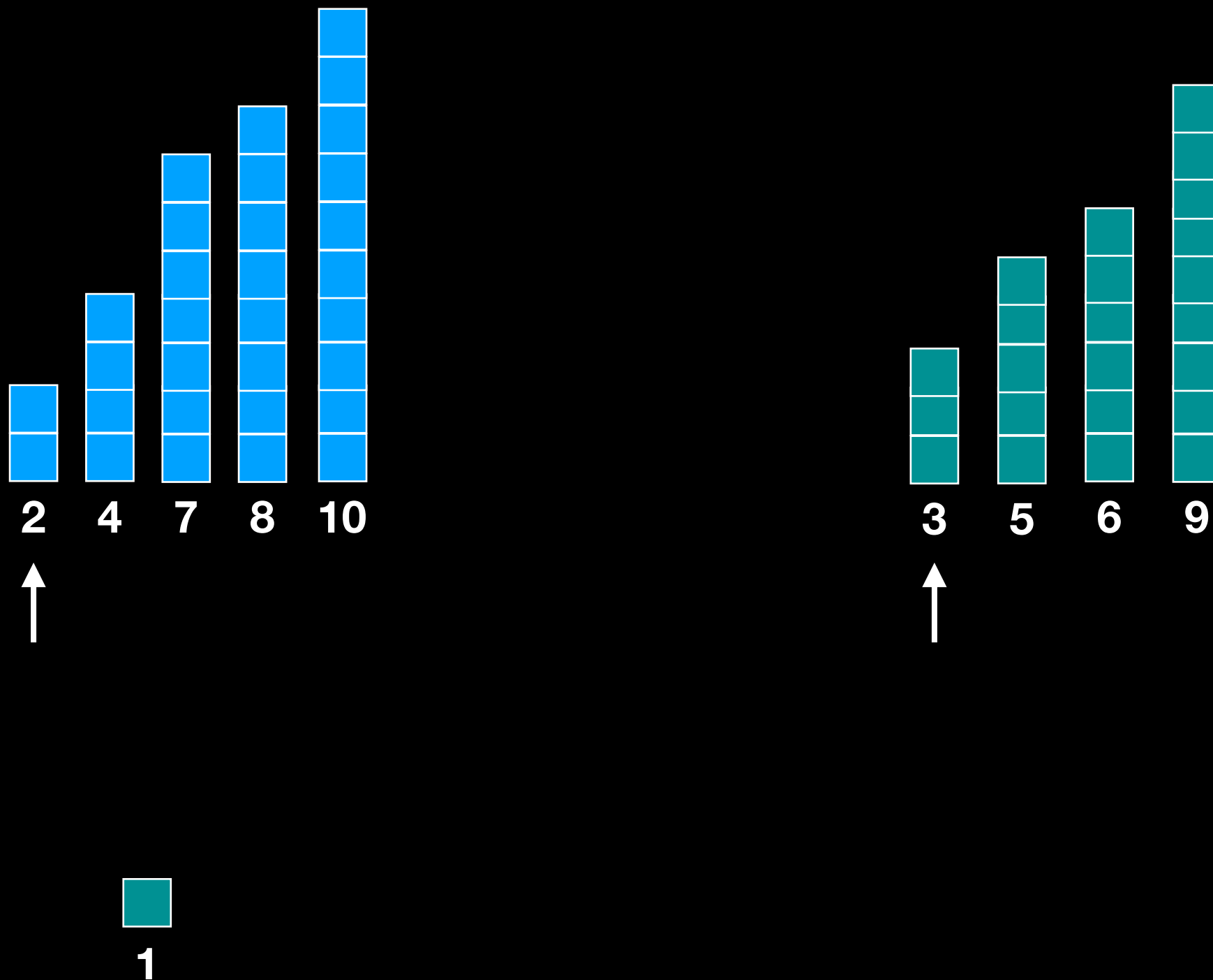
Key Insight: Merge is linear



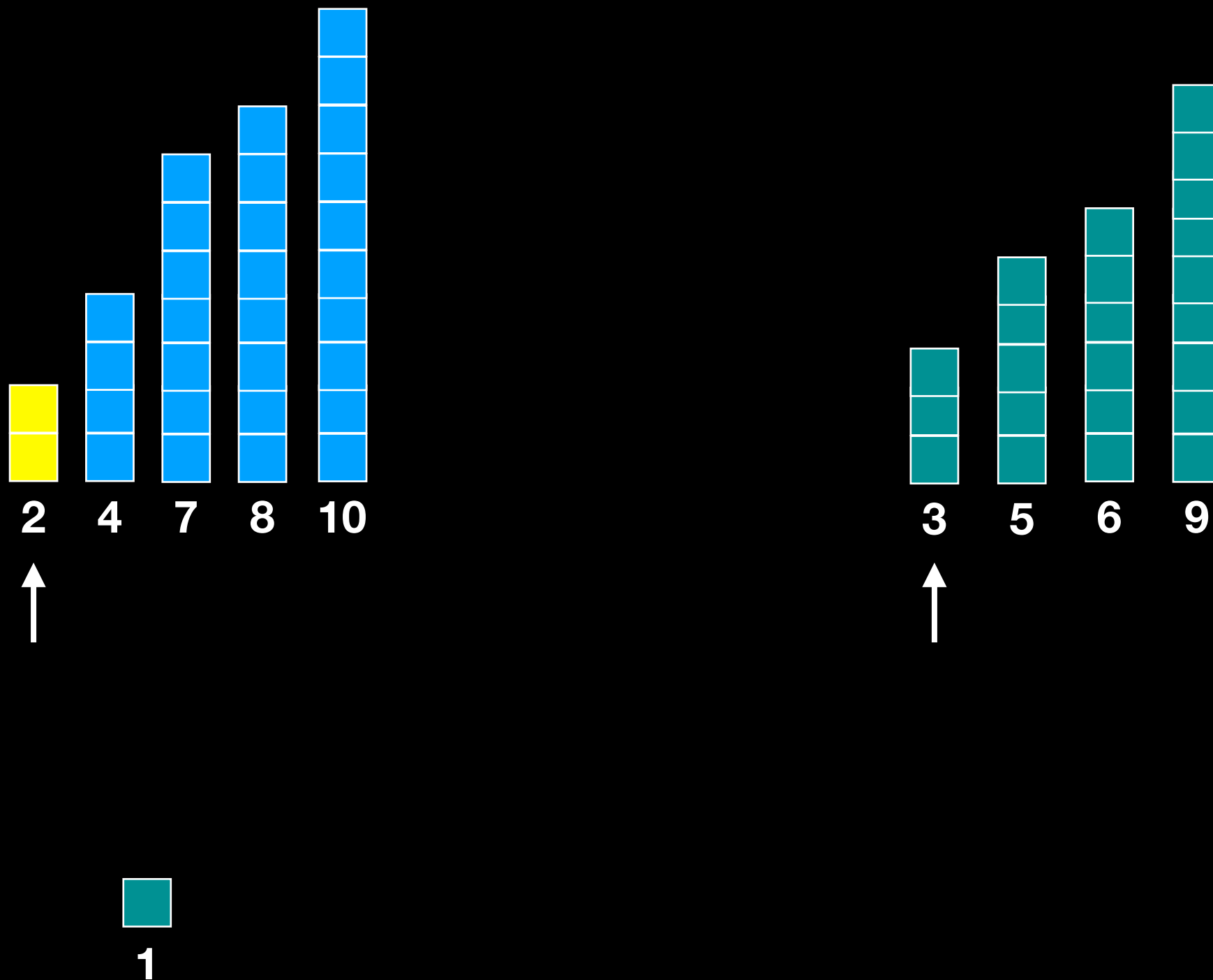
Key Insight: Merge is linear



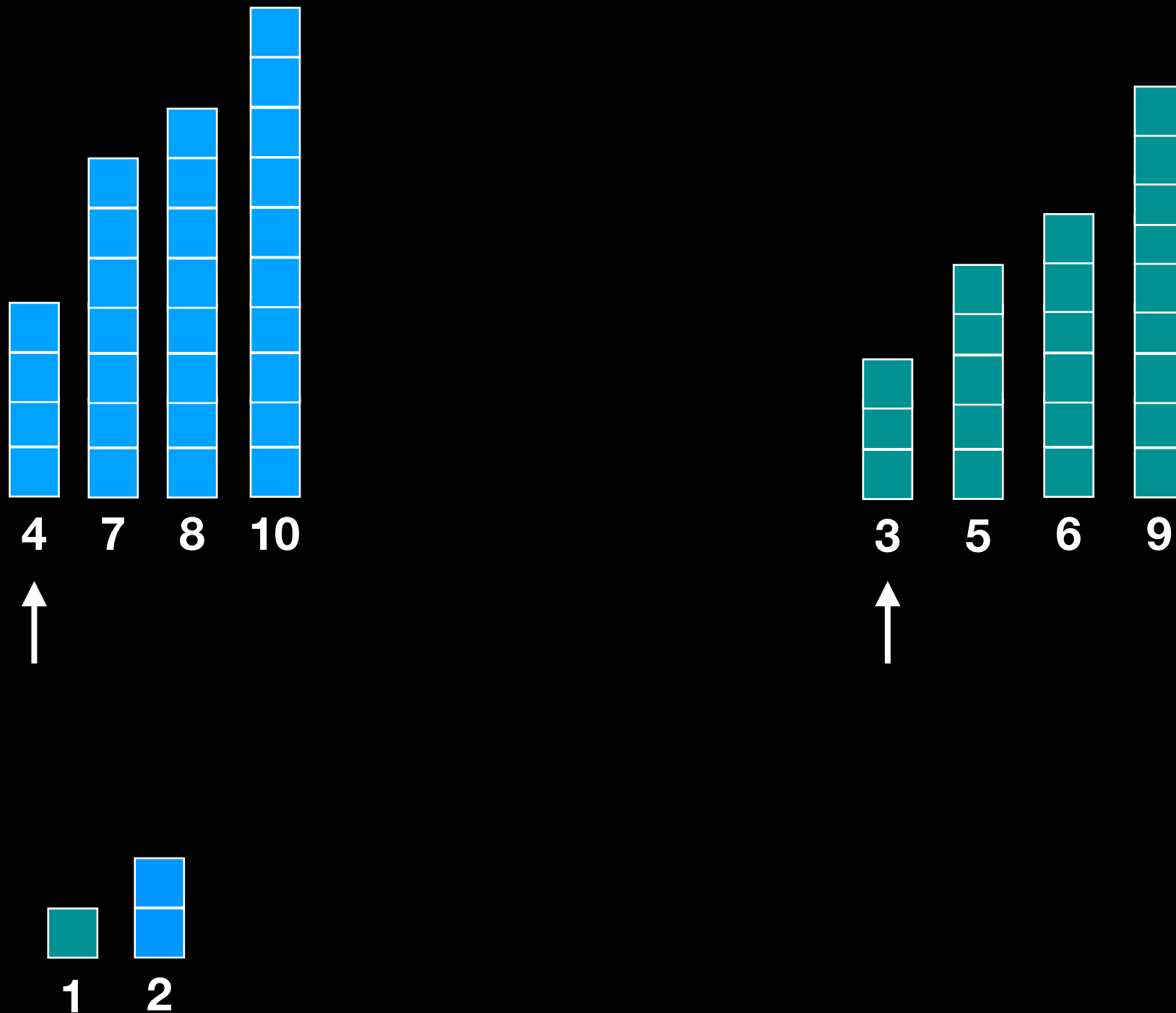
Key Insight: Merge is linear



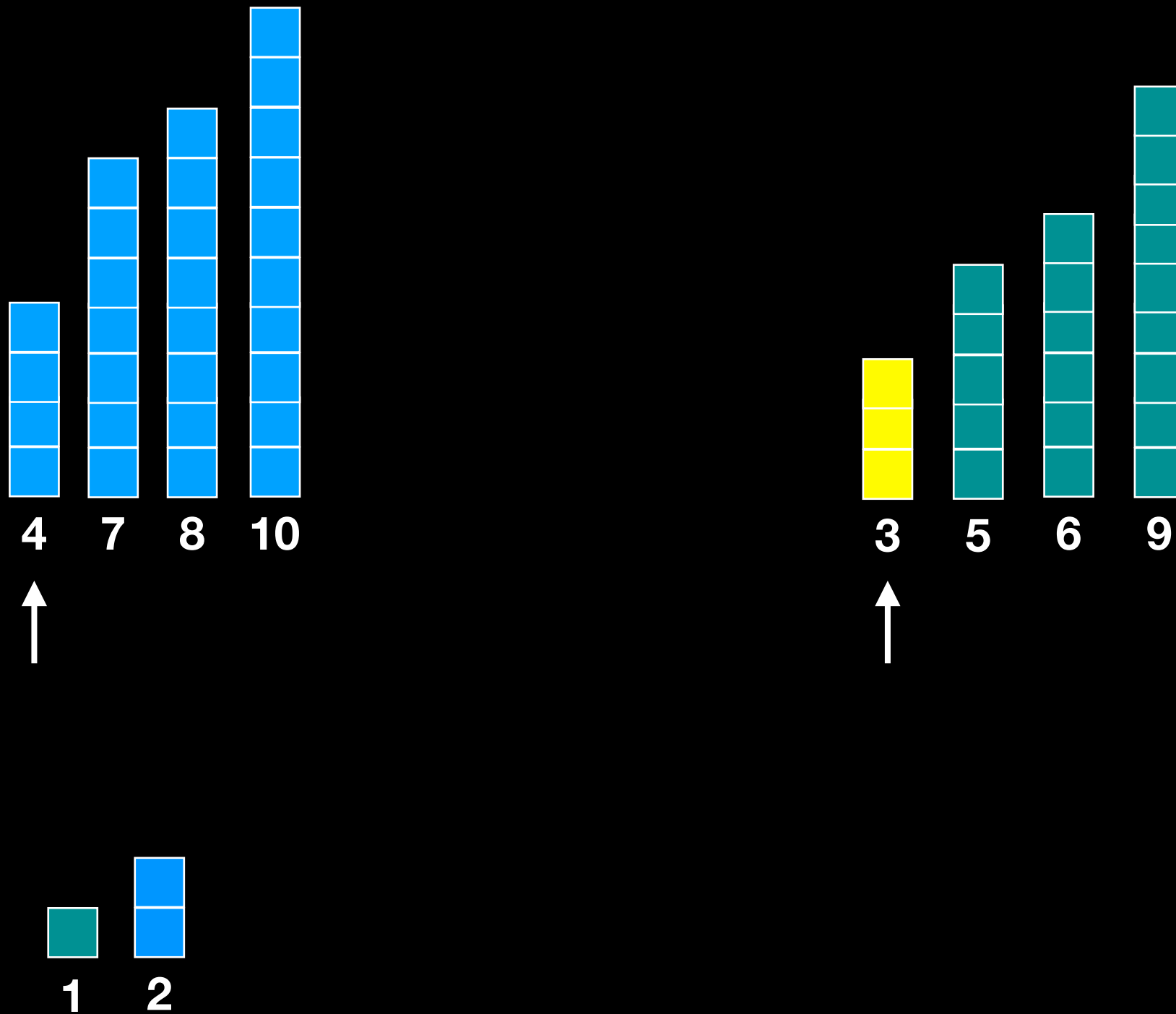
Key Insight: Merge is linear



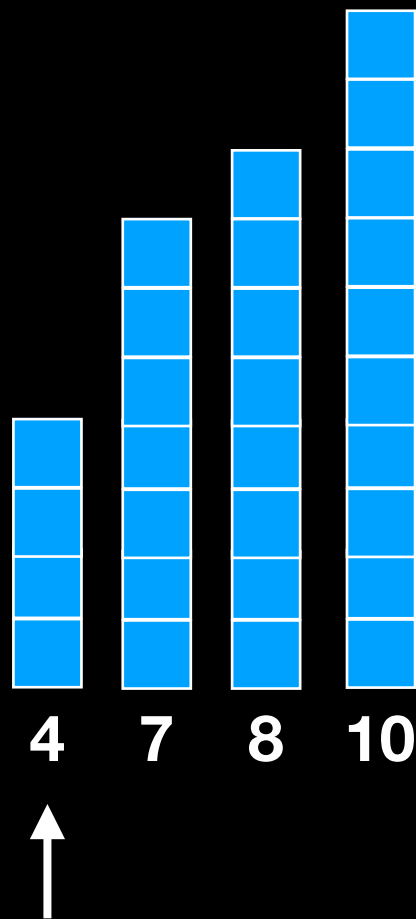
Key Insight: Merge is linear



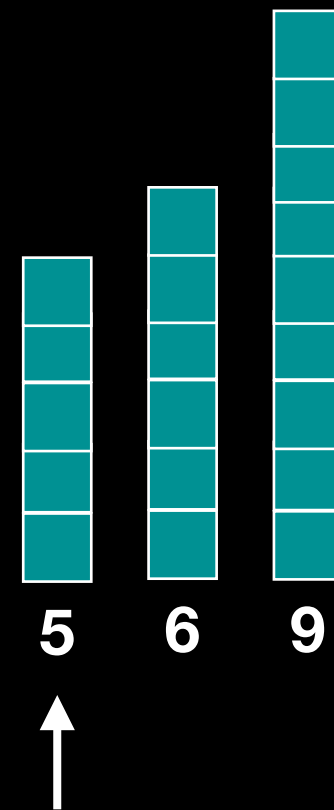
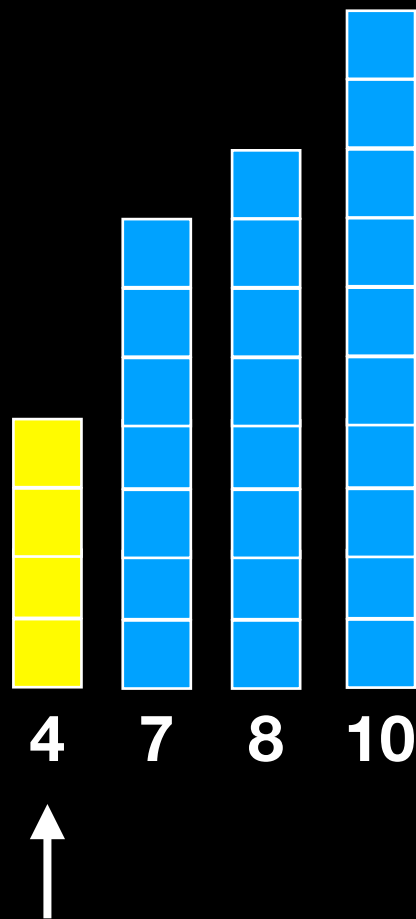
Key Insight: Merge is linear



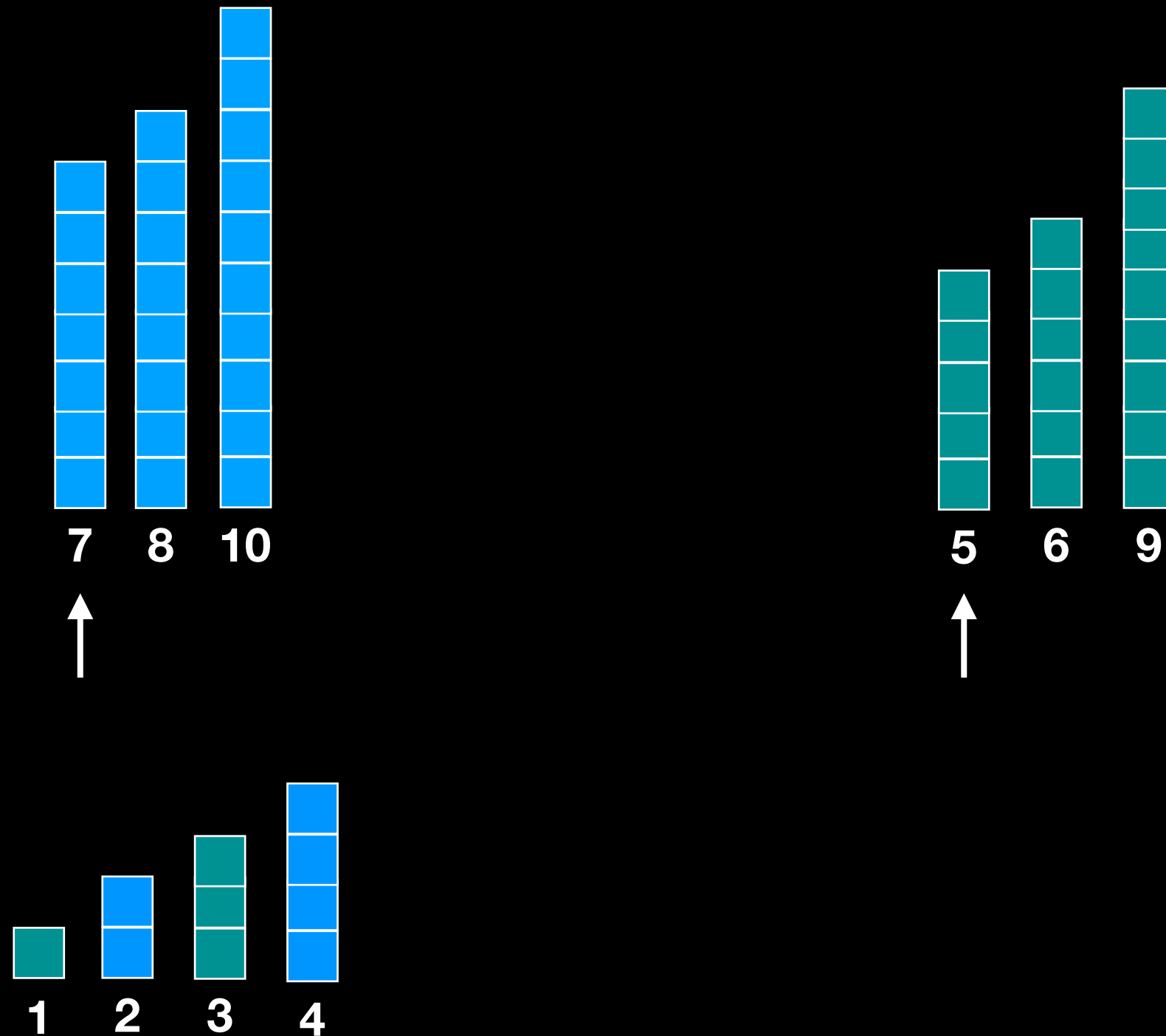
Key Insight: Merge is linear



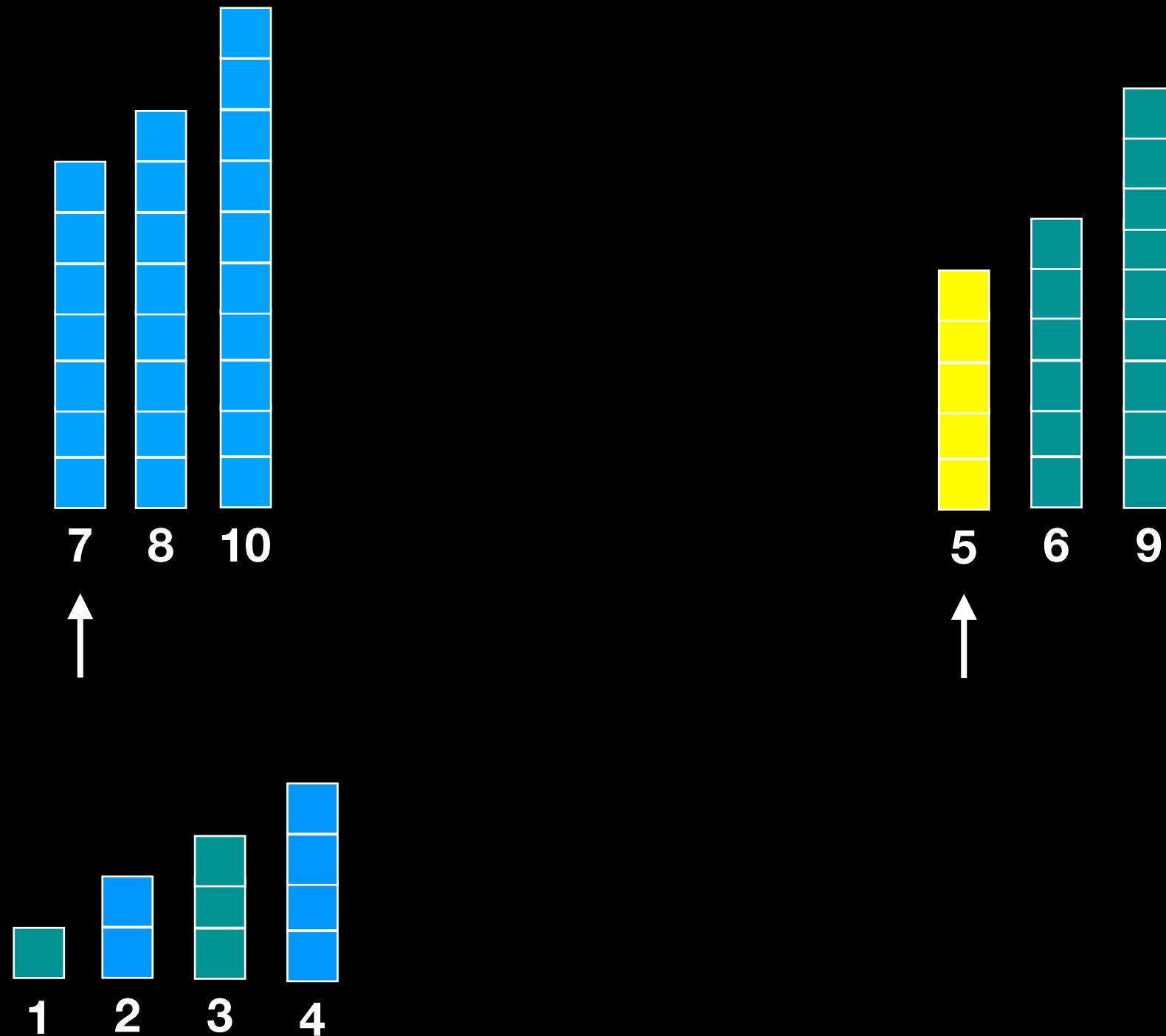
Key Insight: Merge is linear



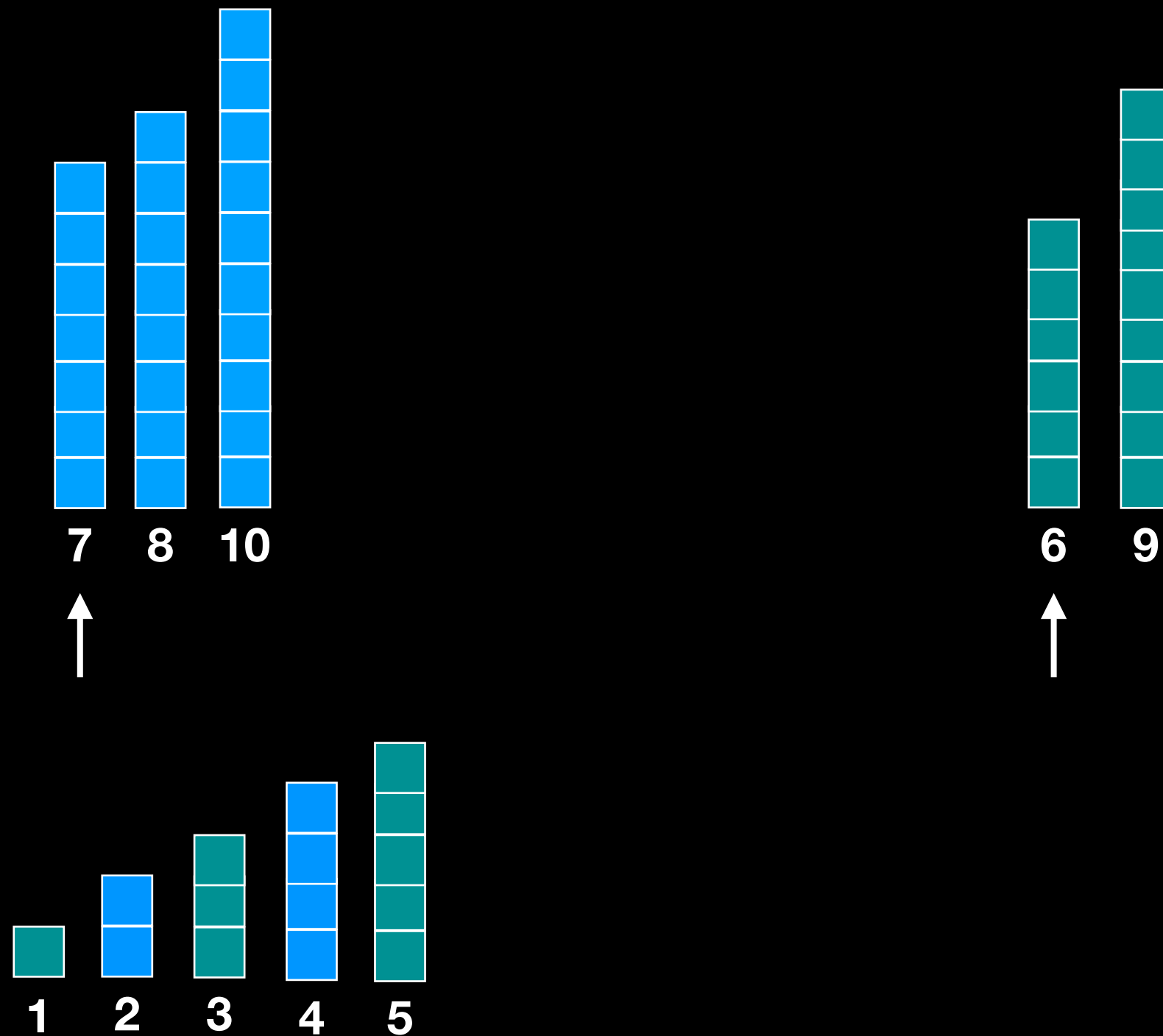
Key Insight: Merge is linear



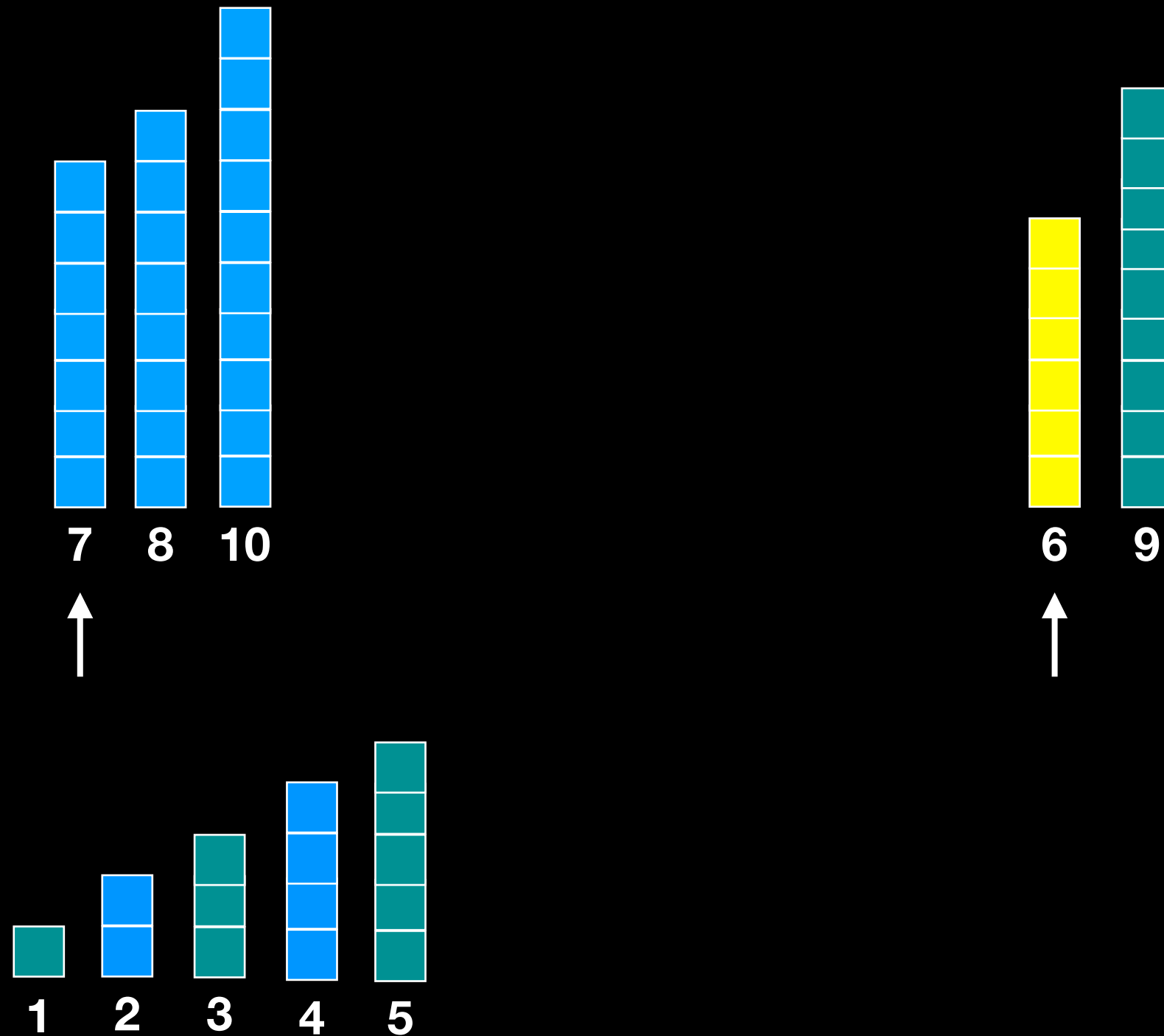
Key Insight: Merge is linear



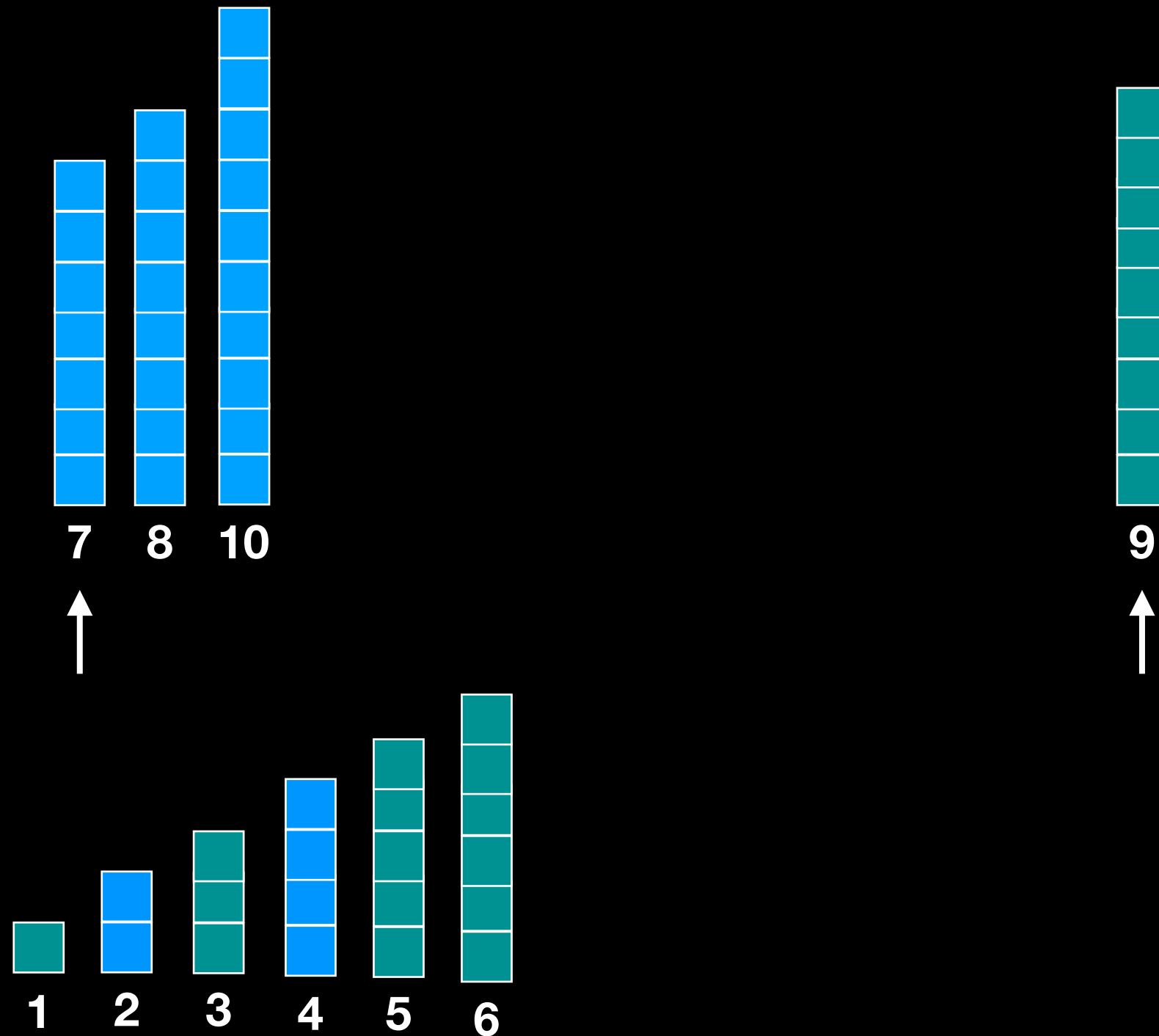
Key Insight: Merge is linear



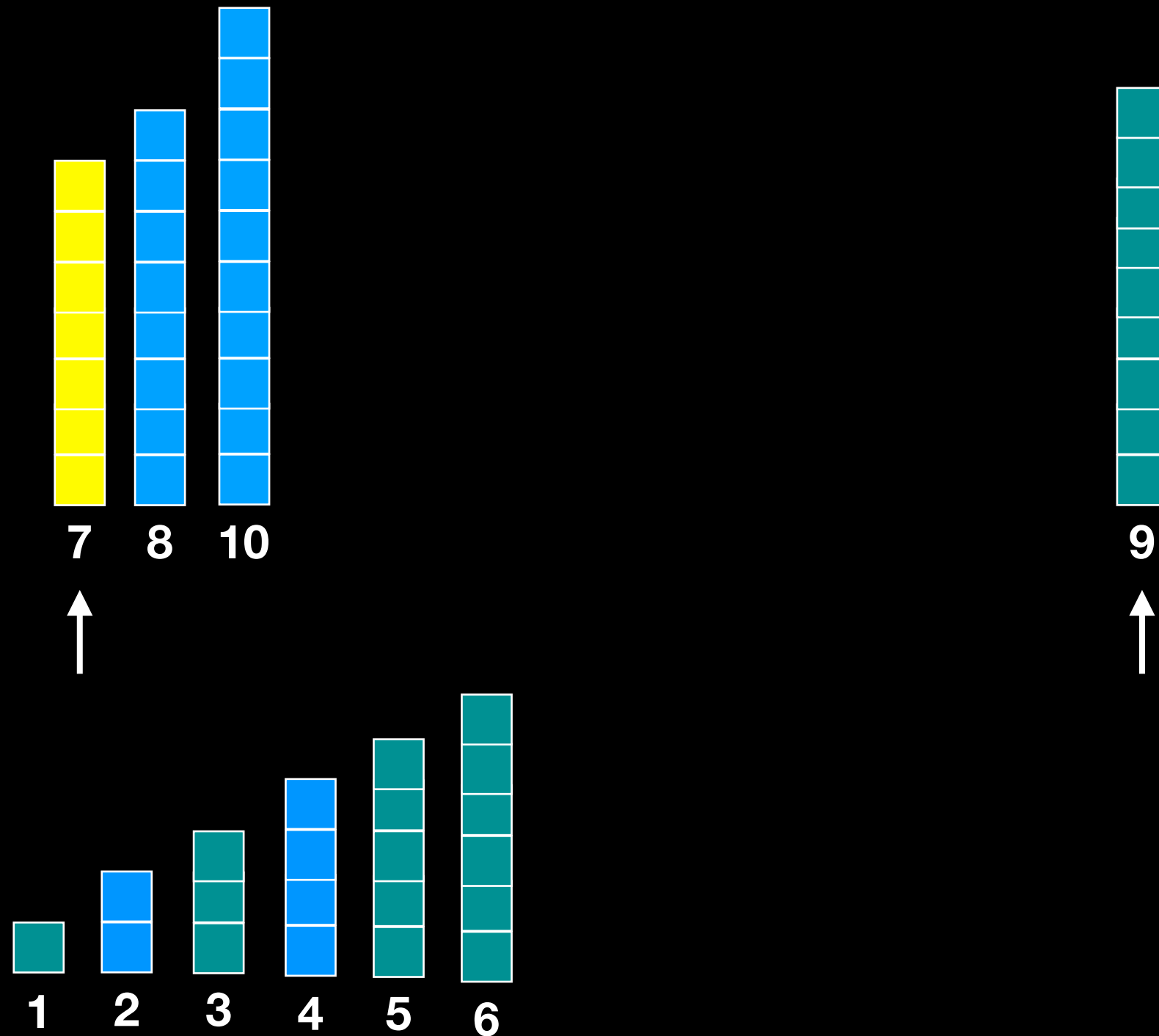
Key Insight: Merge is linear



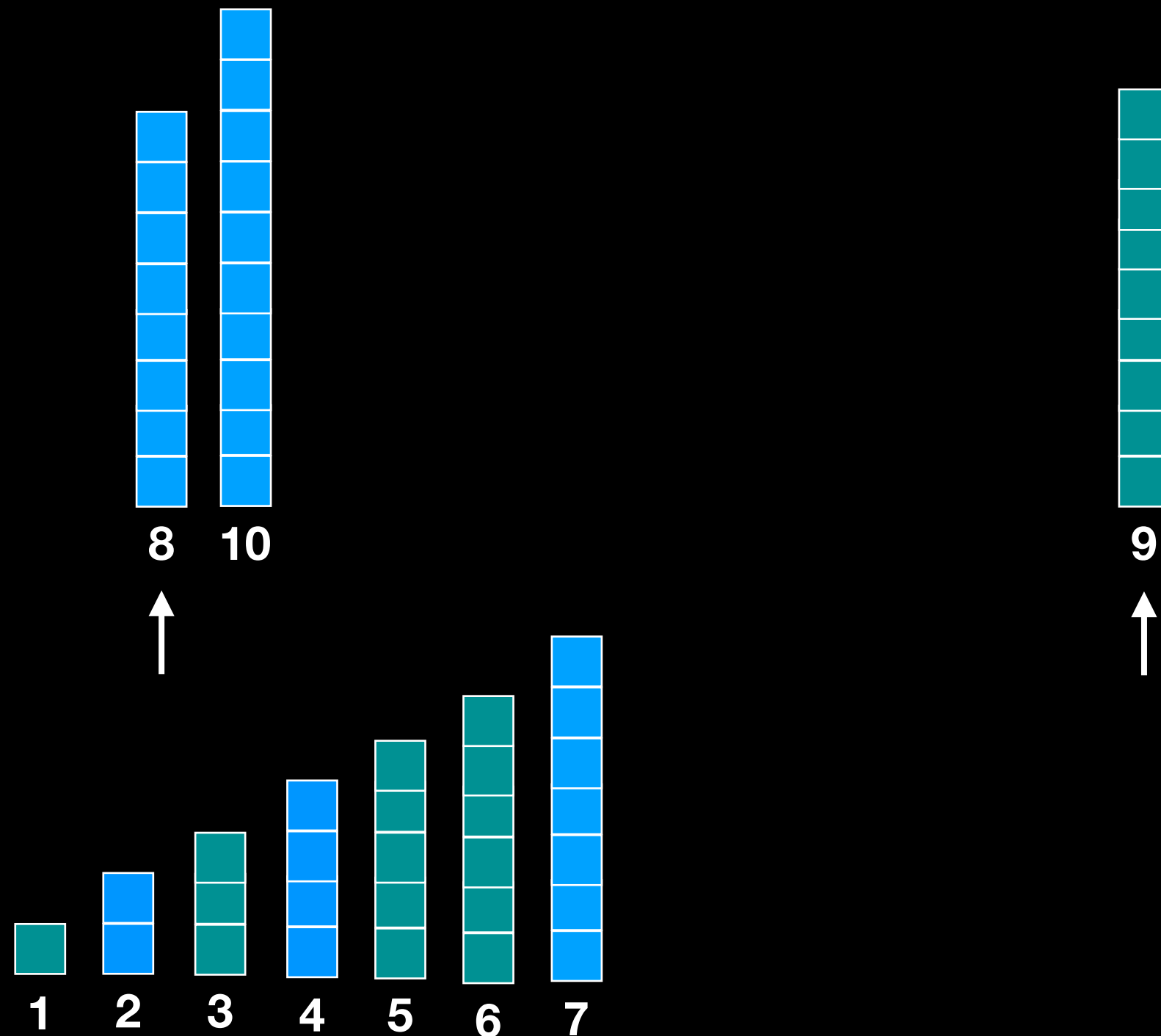
Key Insight: Merge is linear



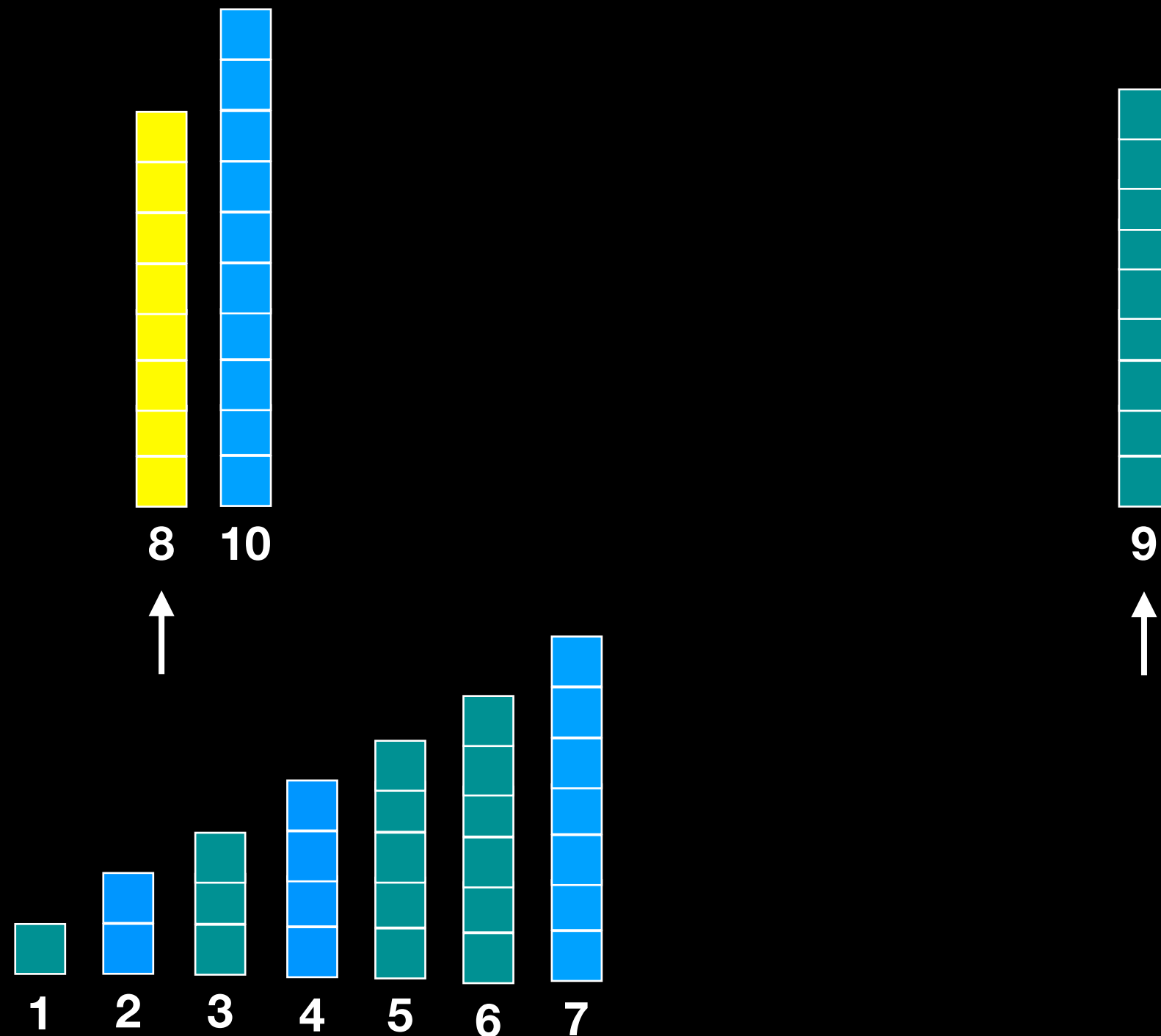
Key Insight: Merge is linear



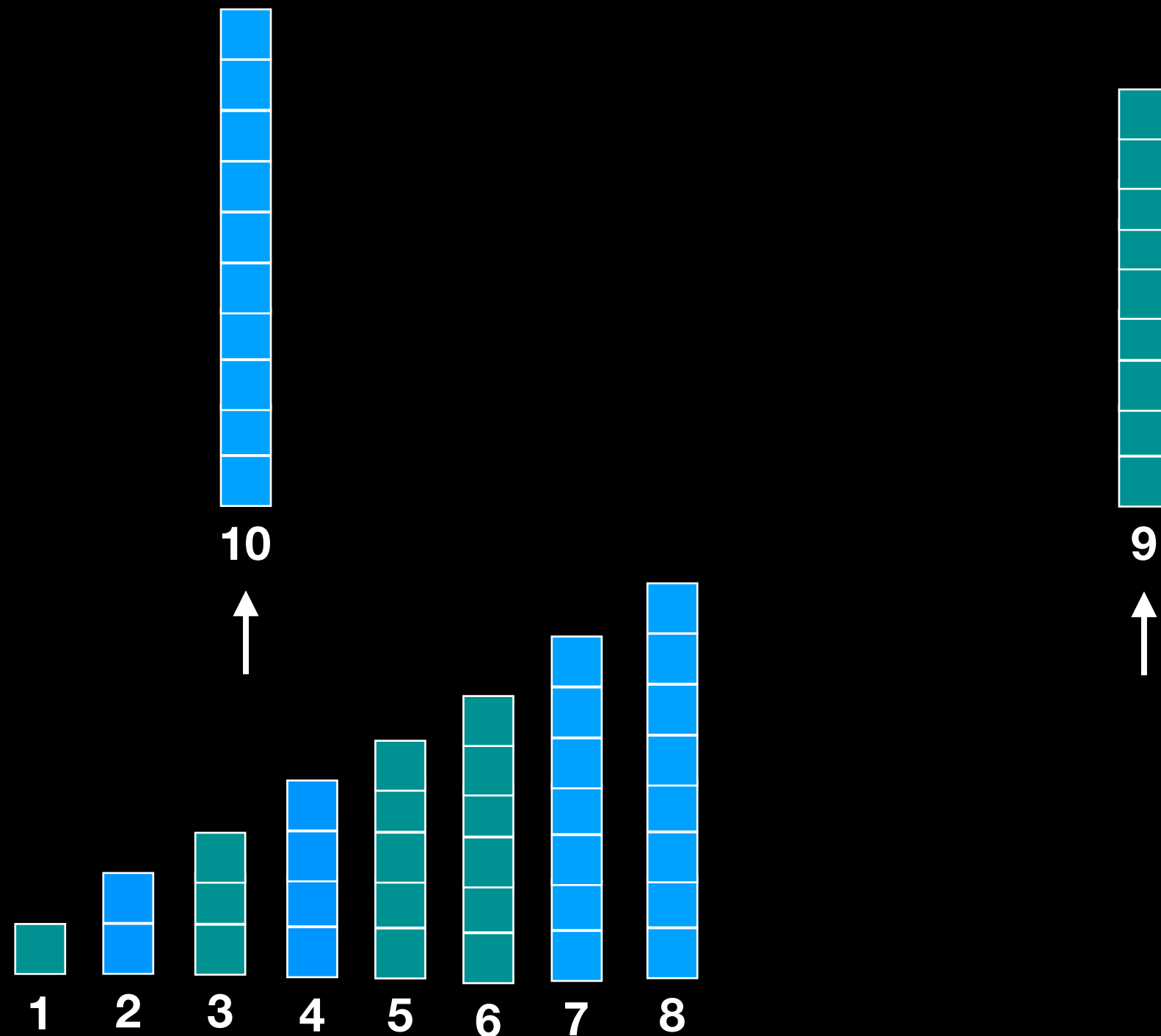
Key Insight: Merge is linear



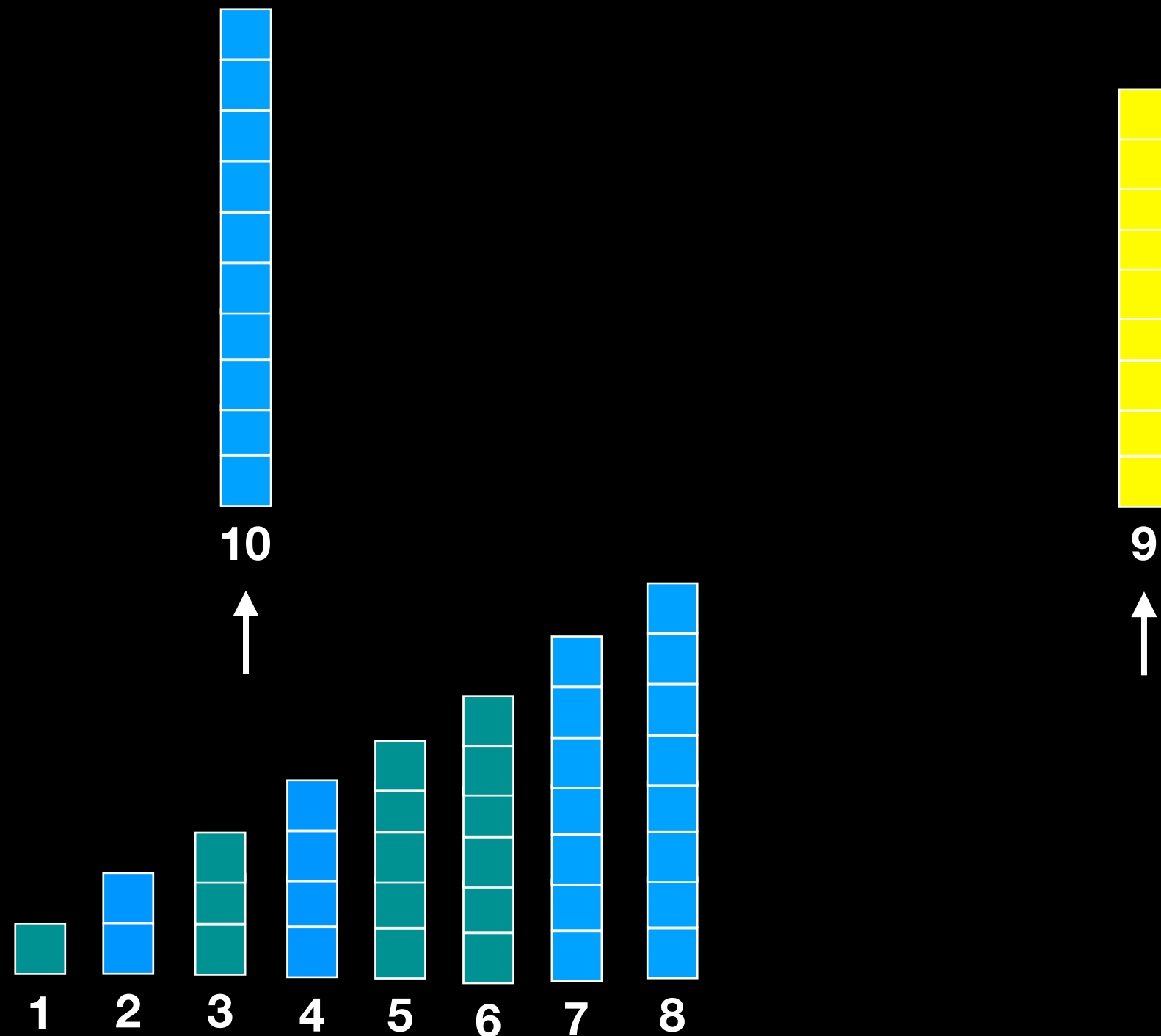
Key Insight: Merge is linear



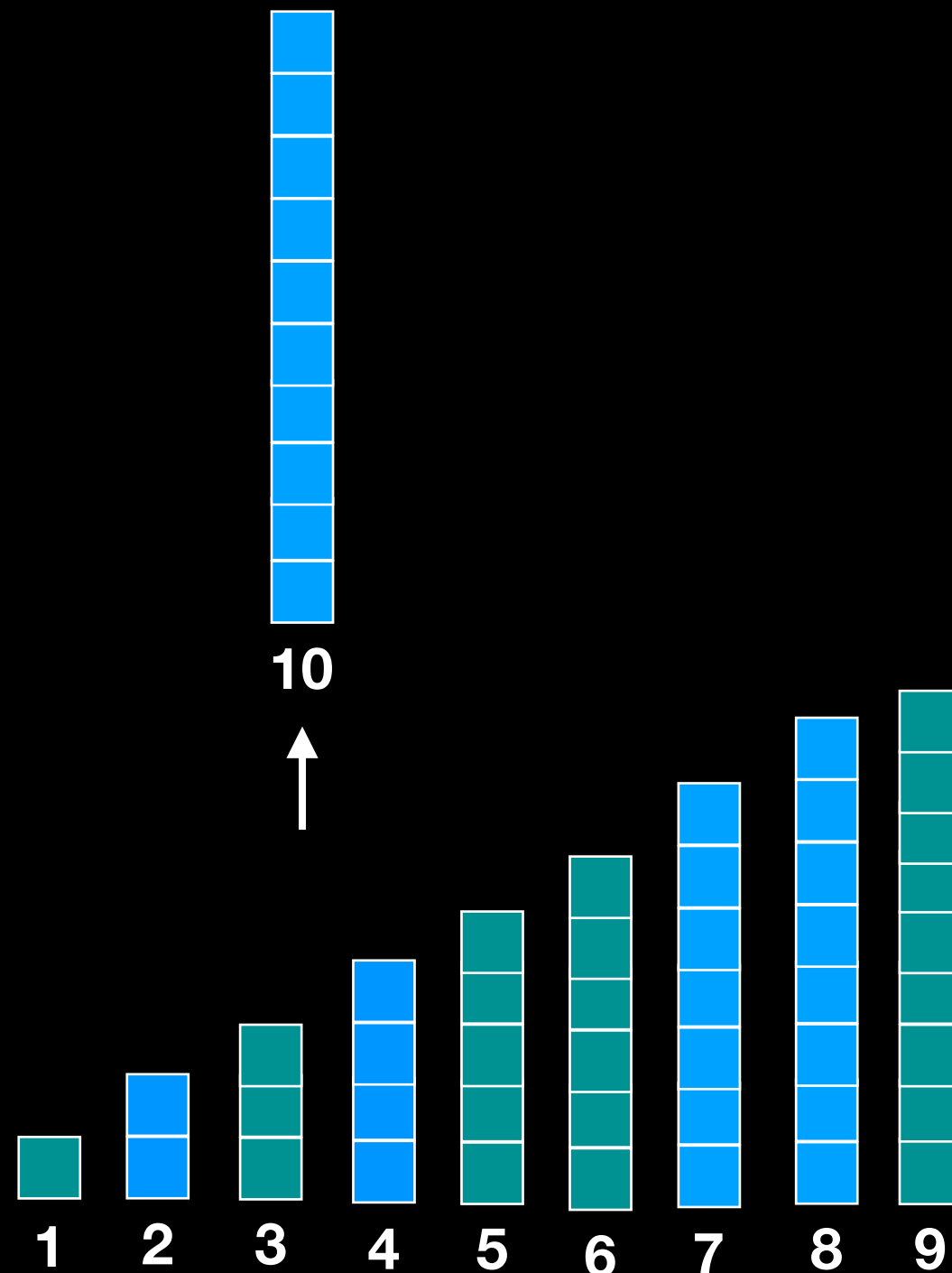
Key Insight: Merge is linear



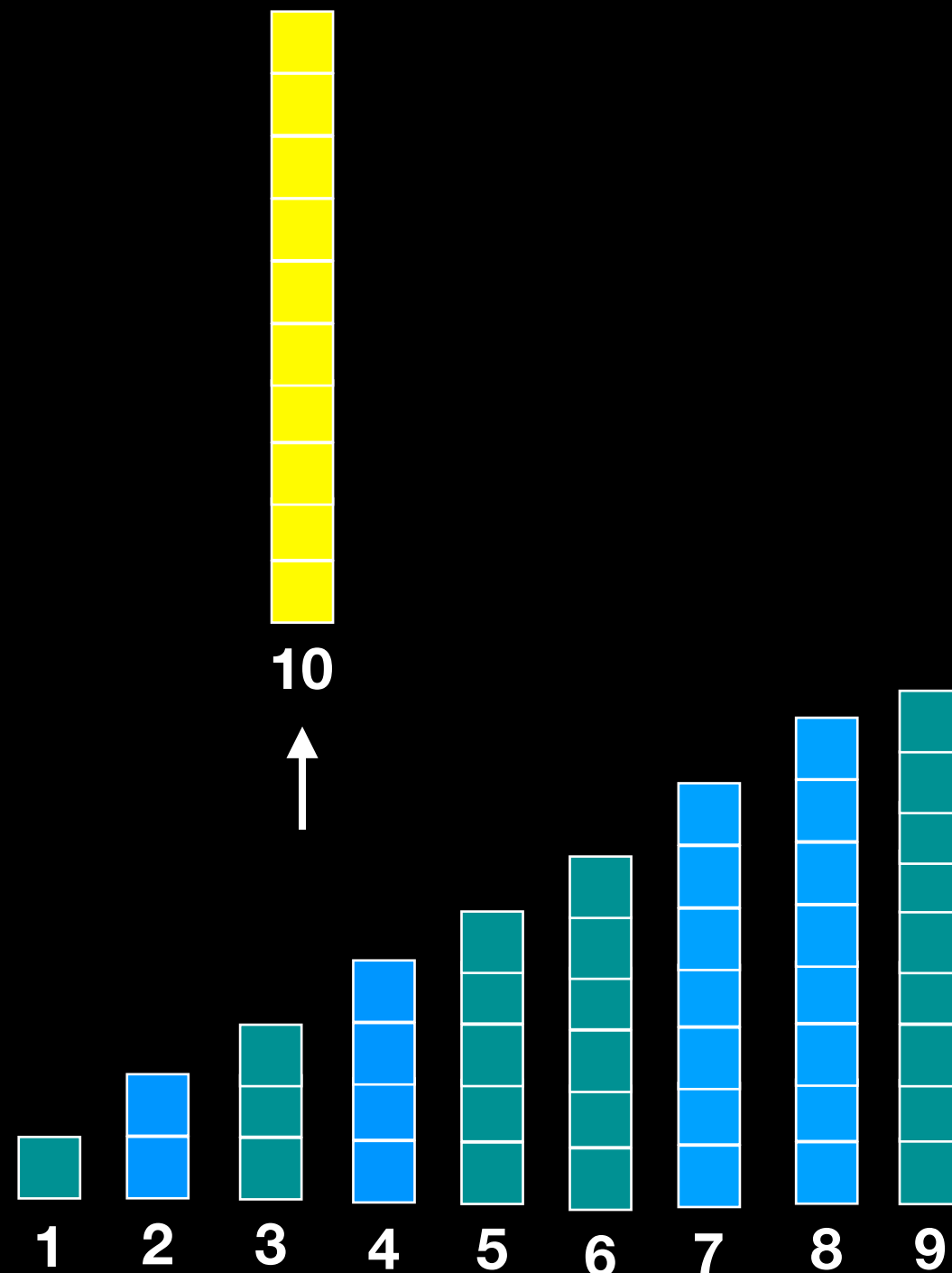
Key Insight: Merge is linear



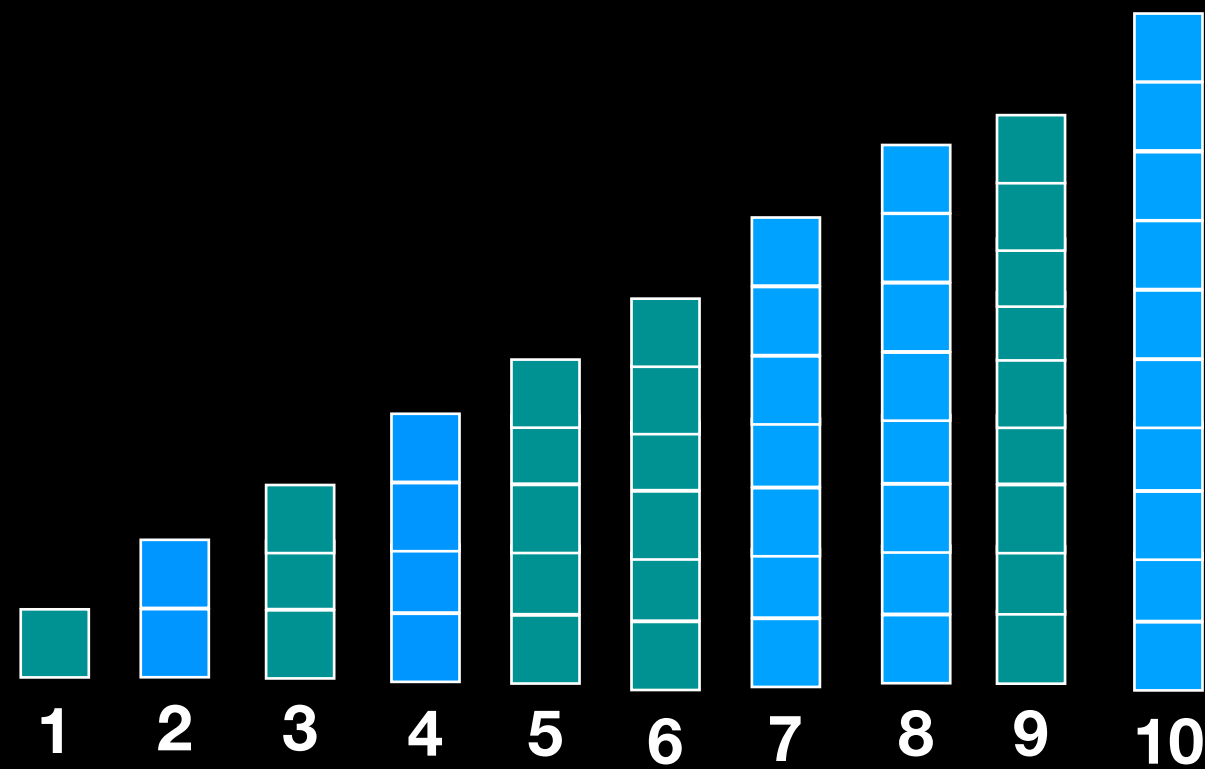
Key Insight: Merge is linear



Key Insight: Merge is linear



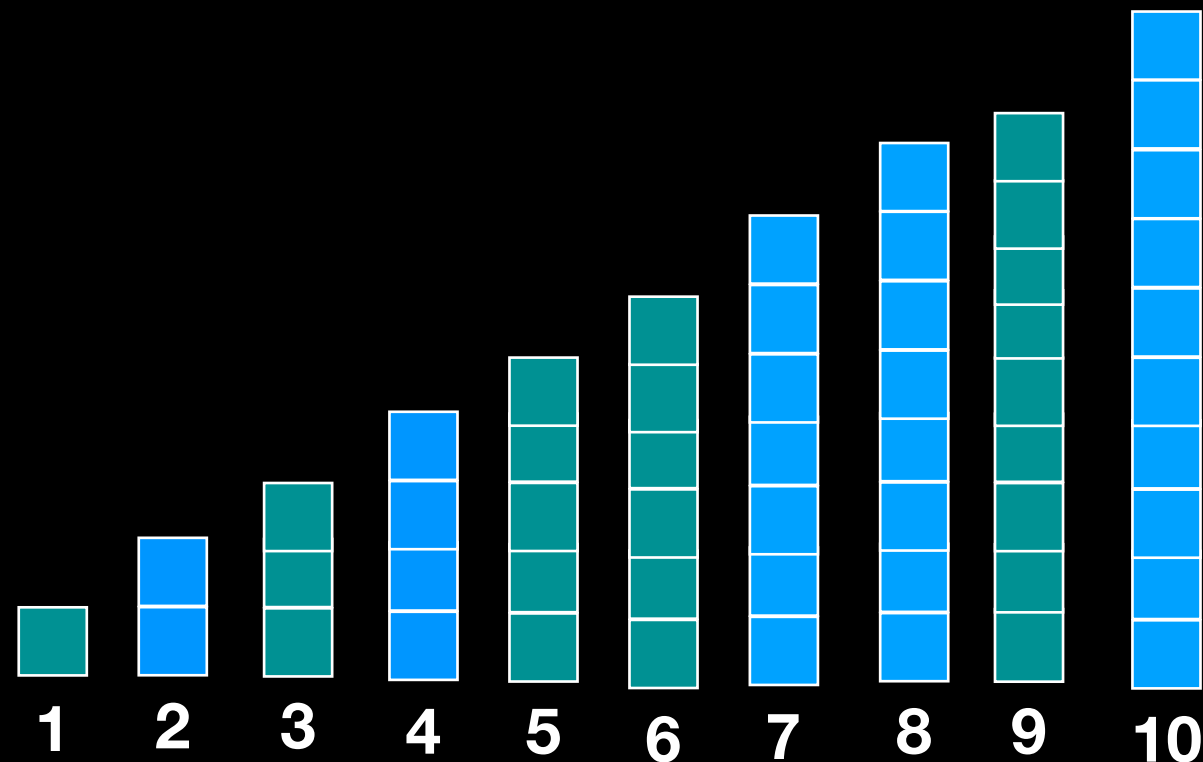
Key Insight: Merge is linear



Key Insight: Merge is linear

Each step makes one comparison and reduces the number of elements to be merged by 1.

If there are n total elements to be merged, merging is $O(n)$



Divide and Conquer

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

14	43	76	100	108	200	274	523
----	----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

11	64	158	195	260	599	932
----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

Divide and Conquer

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

14	43	76	100	108	200	274	523
----	----	----	-----	-----	-----	-----	-----

11	64	158	195	260	599	932
----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

$T(1/2n) \approx 1/4 T(n)$

2	3	5	11	14	43	64	76	100	108	158	195	200	260	274	523	599	932
---	---	---	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

$T(n) \approx 1/2 T(n) + n$

Speed up insertion sort by a **factor of two** by splitting in half, sorting separately and merging results!

Divide and Conquer

Splitting in two gives 2x improvement.

Divide and Conquer

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

Divide and Conquer

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

Splitting in eight gives 8x improvement.

Divide and Conquer

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

Splitting in eight gives 8x improvement.

What if we never stop splitting?

Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

14	3	43	200	274	523	108	76
----	---	----	-----	-----	-----	-----	----

195	599	158	2	260	11	64	932
-----	-----	-----	---	-----	----	----	-----

14	3	43	200
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274	523	108	76
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195	599	158	2
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260	11	64	932
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14	3
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43	200
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274	523
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108	76
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195	599
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158	2
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260	11
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64	932
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14

3

43

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932

Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
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14	3	43	200	274	523	108	76
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195	599	158	2	260	11	64	932
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14	3	43	200
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274	523	108	76
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195	599	158	2
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260	11	64	932
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14	3
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43	200
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274	523
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108	76
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195	599
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158	2
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260	11
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64	932
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14

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Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
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14	3	43	200	274	523	108	76
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195	599	158	2	260	11	64	932
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14	3	43	200
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274	523	108	76
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195	599	158	2
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260	11	64	932
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3	14
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43	200
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274	523
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76	108
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195	599
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2	158
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11	26
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64	932
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14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
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Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
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14	3	43	200	274	523	108	76
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195	599	158	2	260	11	64	932
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3	14	43	200
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76	108	274	523
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Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
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3	14	43	76	108	200	274	523
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Merge Sort

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
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3	14	43	76	108	200	274	523
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2	11	26	64	158	195	599	932
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3	14	43	200
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76	108	274	523
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11	26	64	932
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274	523
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195	599
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2	158
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11	26
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64	932
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932

Merge Sort

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

3	14	43	76	108	200	274	523
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2	11	26	64	158	195	599	932
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3	14	43	200
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76	108	274	523
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2	158	195	599
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11	26	64	932
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3	14
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43	200
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274	523
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76	108
----	-----

195	599
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2	158
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11	26
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64	932
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14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

Merge Sort Analysis

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

$O(n)$

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

$O(n)$

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

$O(n)$

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

$O(n)$

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

$O(n)$

Merge Sort Analysis

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

n

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

n/2

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

n/4

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

...

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

n/2^k

Merge how many times?

Merge Sort Analysis

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

n

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

n/2

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

n/4

3	14
---	----

43	200
----	-----

274	523
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76	108
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195	599
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2	158
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11	26
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64	932
----	-----

...

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

n/2^k

Merge how many times? $n/2^k = 1$

$$n = 2^k$$

$$\log_2 n = k$$

Merge Sort Analysis

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

n

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

n/2

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

n/4

3	14
---	----

43	200
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274	523
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----	-----

...

14

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200

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523

108

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599

158

2

260

11

64

932

n/2^k

Merge **log₂ n** times

Merge Sort Analysis

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

$O(n)$

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

$O(n)$

3	14	43	200
---	----	----	-----

76	108	274	523
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2	158	195	599
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11	26	64	932
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$O(n)$

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
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11	26
----	----

64	932
----	-----

$O(n)$

14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----

$O(n)$

$O(n \log n)$

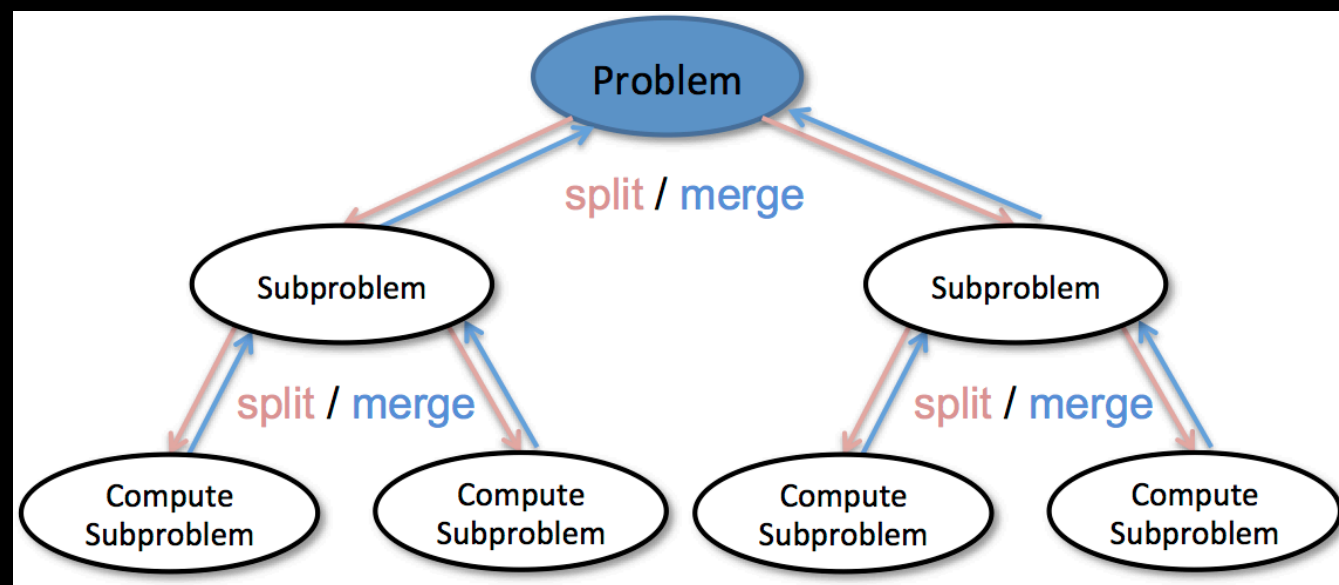
Merge Sort

How would you code this?

Merge Sort

How would you code this?

Hint: Divide and Conquer!!!



Merge Sort

```
void mergeSort(array)
{
    if array size <= 1
        return //base case
    split array into left_array and right_array
    → mergeSort(left_array)
    → mergeSort(right_array)
    merge(left_array, right_array, sorted_array)
}
```

Merge Sort Analysis

Execution time does NOT depend on initial arrangement of data

Worst Case: $O(n \log n)$ comparisons and data moves

Best Case: $O(n \log n)$ comparisons and data moves

Stable

Best we can do with comparison-based sorting that does not rely on a data structure in the worst case \Rightarrow can't beat $O(n \log n)$



Space overhead: auxiliary array at each merge step

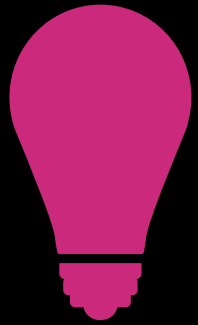
What we have so far

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$
Bubble Sort	$O(n^2)$	$O(n)$
Merge Sort	$O(n \log n)$	$O(n \log n)$

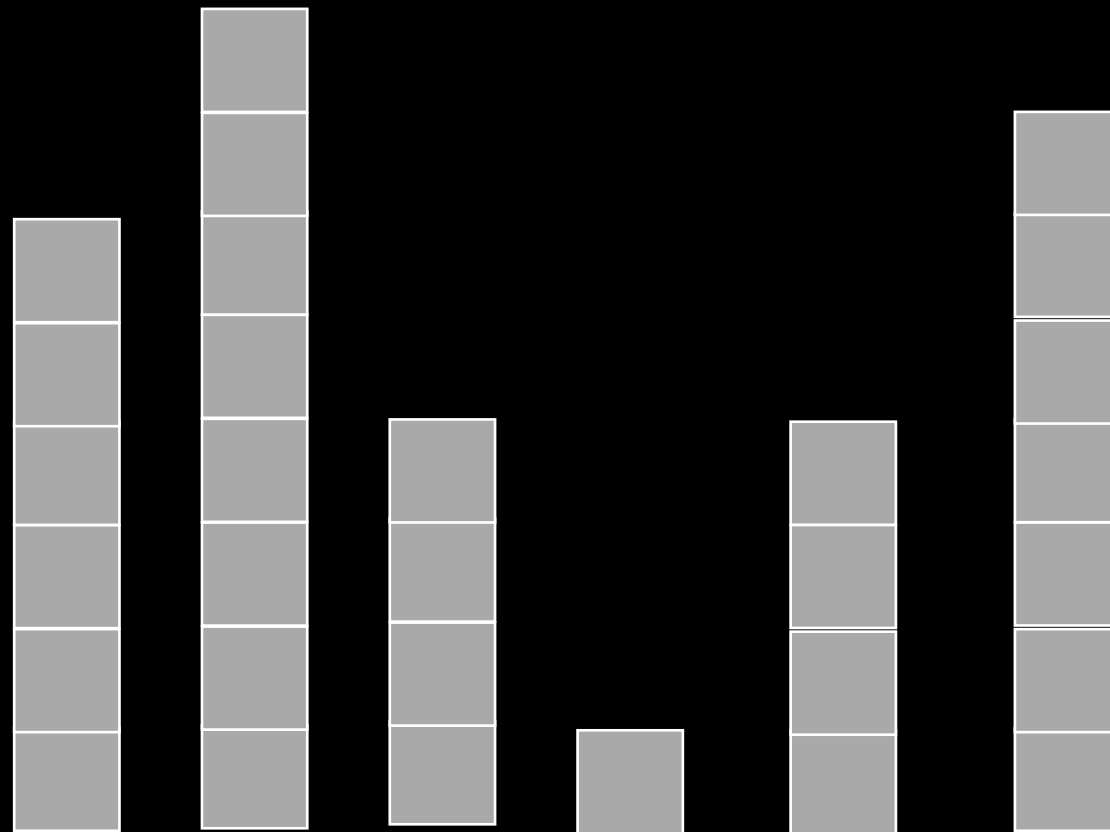
Quick Sort

Quick Sort



 \leq pivot
 $>$ pivot

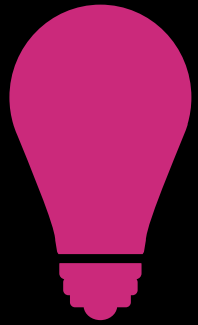


Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
and entries in **right partition** are $>$ pivot

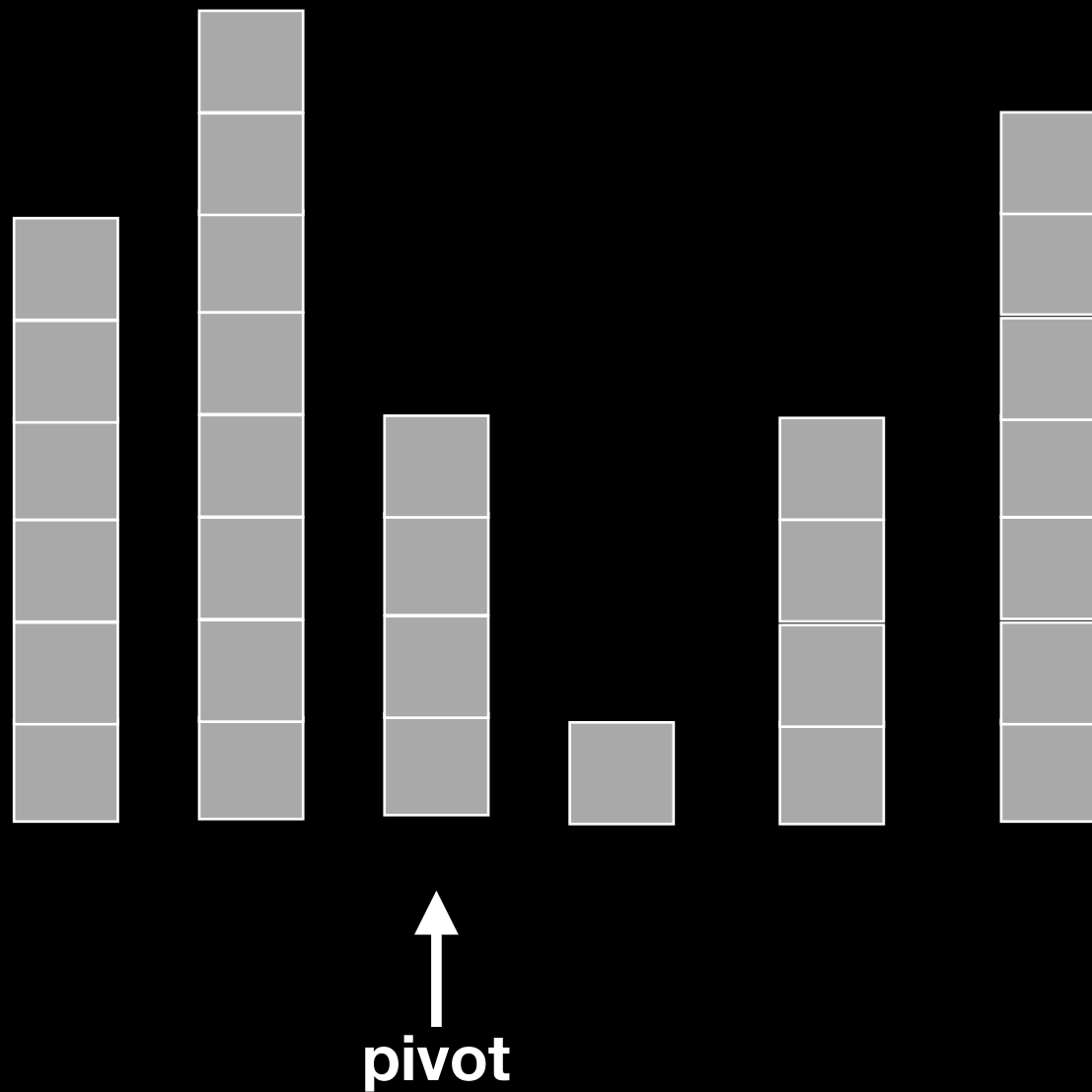


Quick Sort

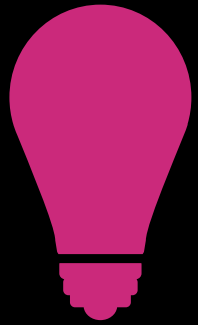
 \leq pivot
 $>$ pivot





Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
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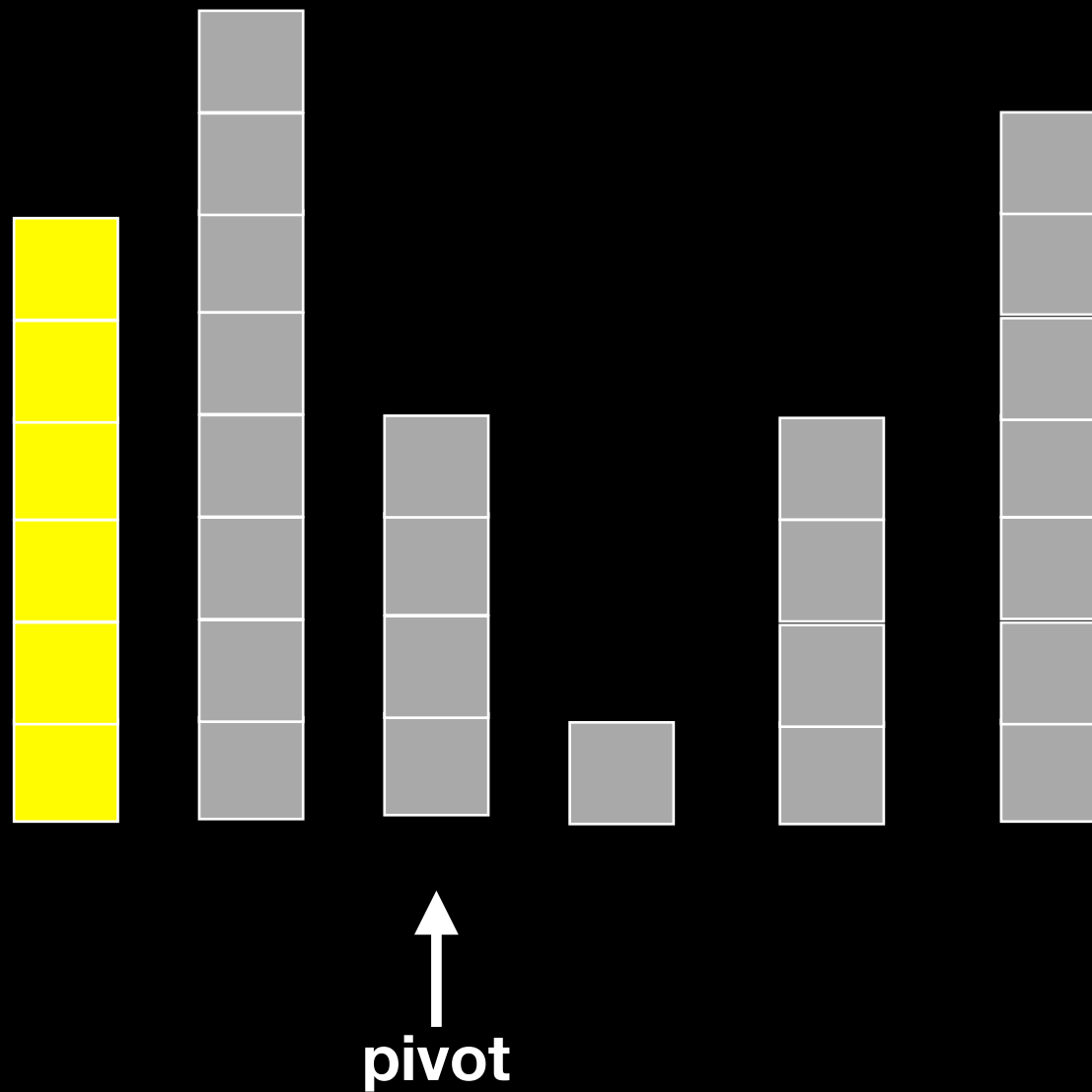


Quick Sort





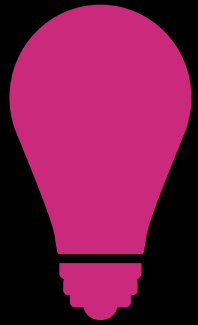
Select a pivot. Arrange other entries
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 \leq pivot
 $>$ pivot

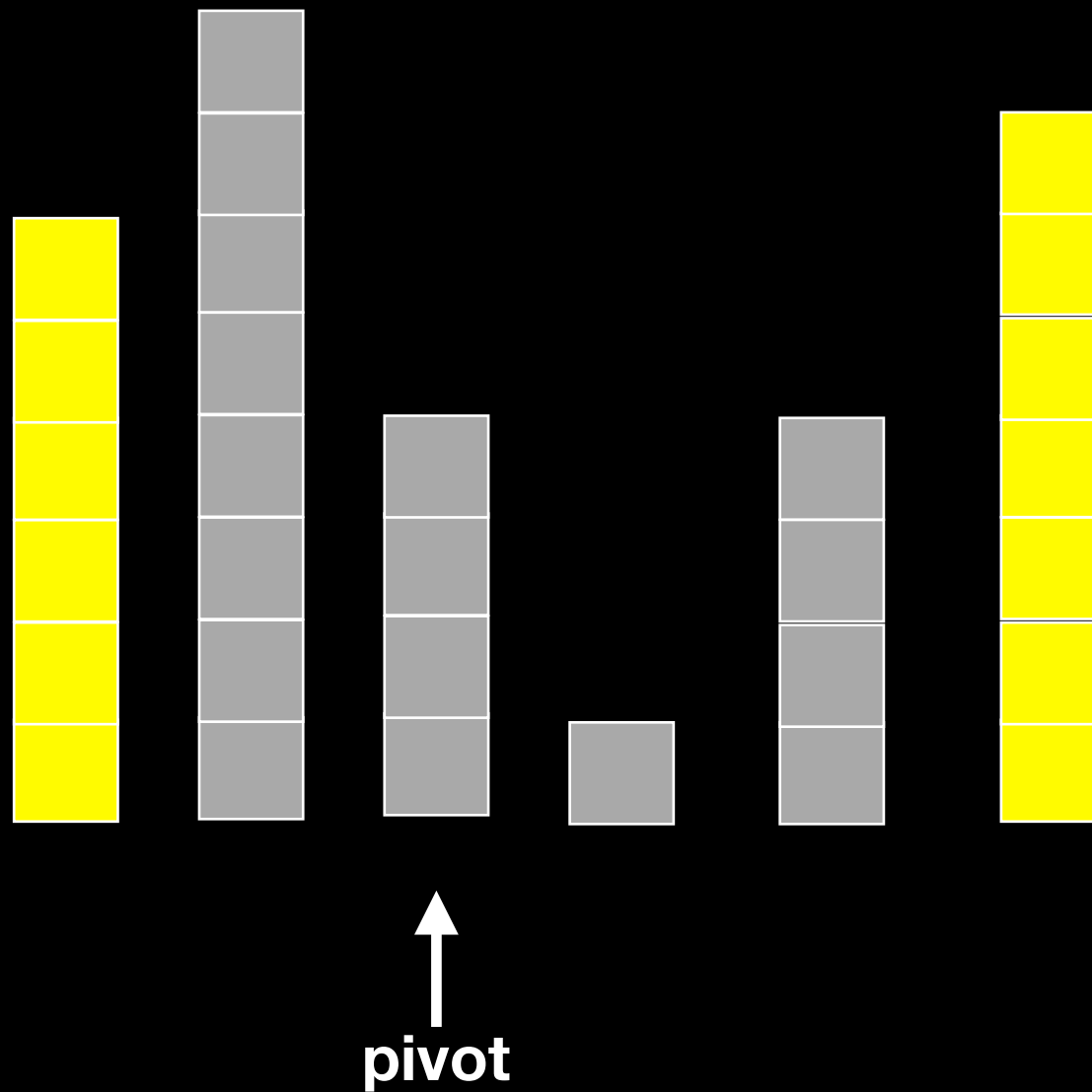


Quick Sort

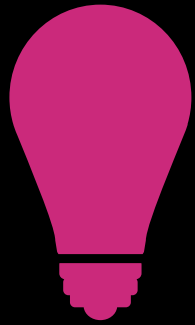
 \leq pivot
 $>$ pivot





Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
and entries in **right partition** are $>$ pivot

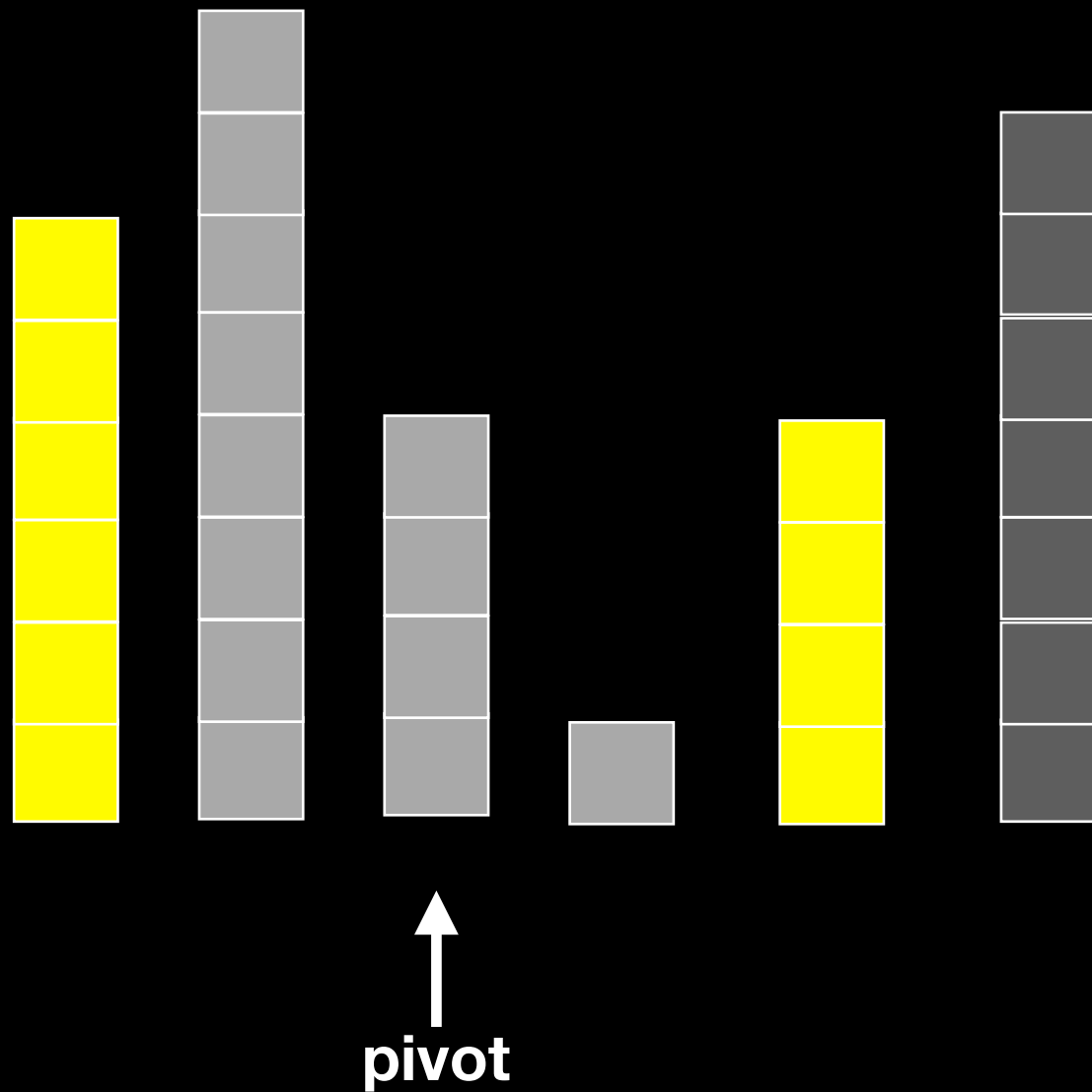


Quick Sort

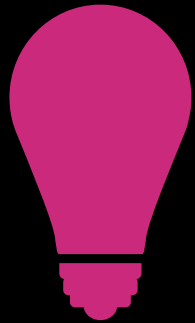


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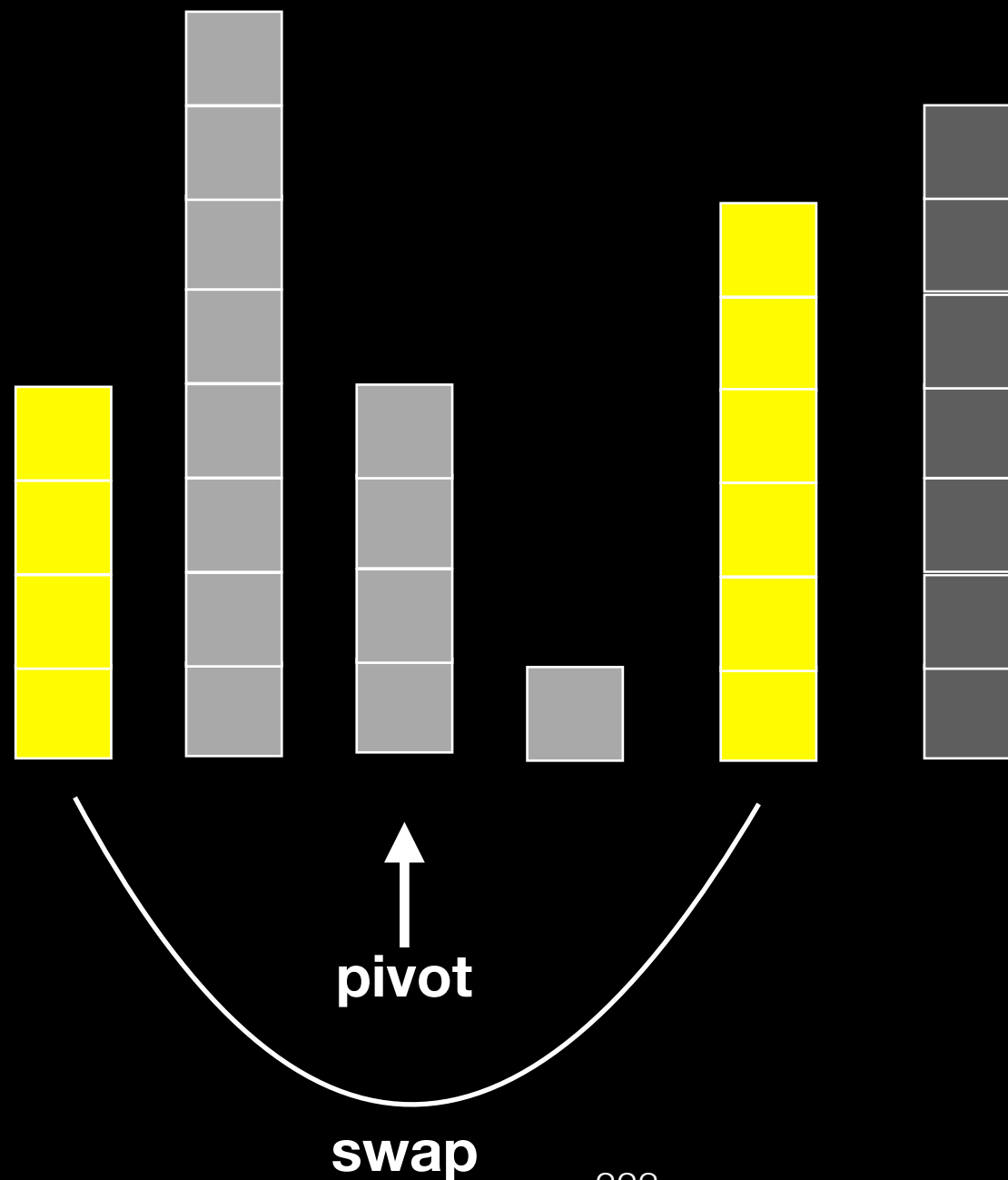
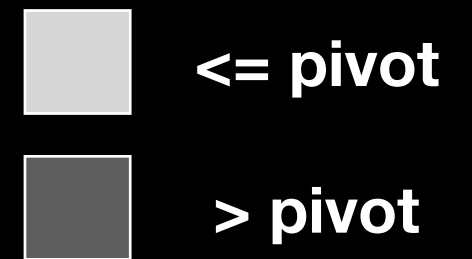
 \leq pivot
 $>$ pivot



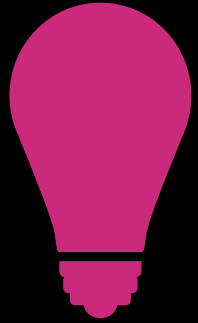
Quick Sort



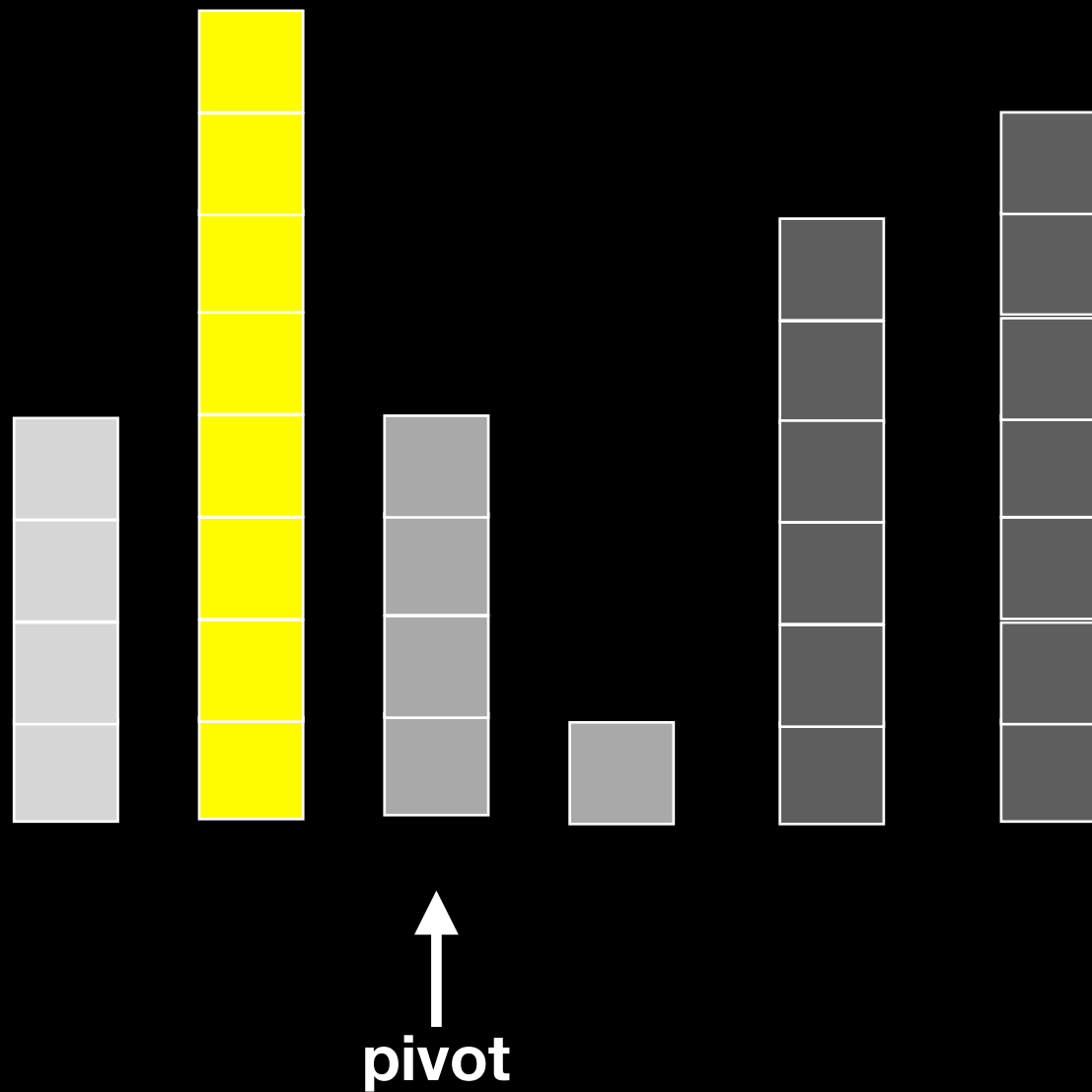
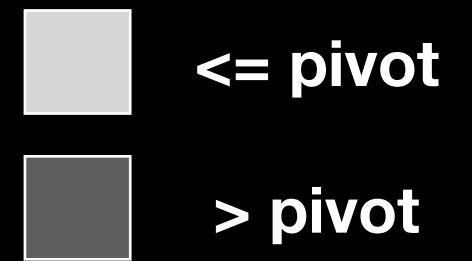
Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
and entries in **right partition** are $>$ pivot



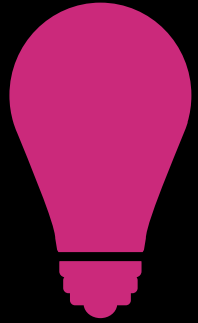
Quick Sort





Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
and entries in **right partition** are $>$ pivot

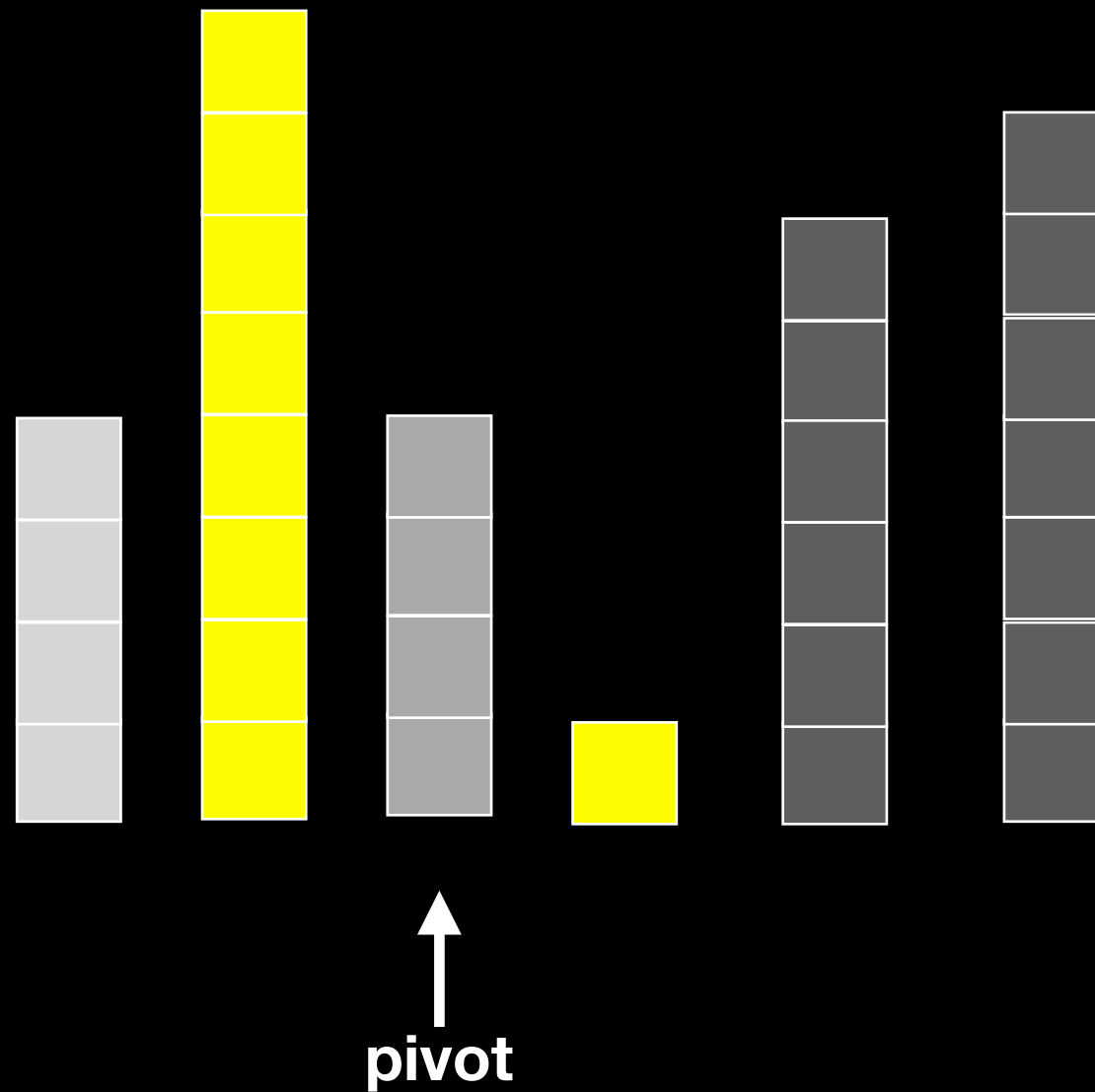


Quick Sort





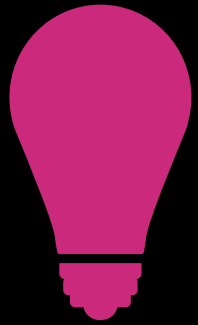
Select a **pivot**. Arrange other entries
s.t. entries in **left partition** are \leq **pivot**
and entries in **right partition** are $>$ **pivot**

 \leq **pivot**
 $>$ **pivot**

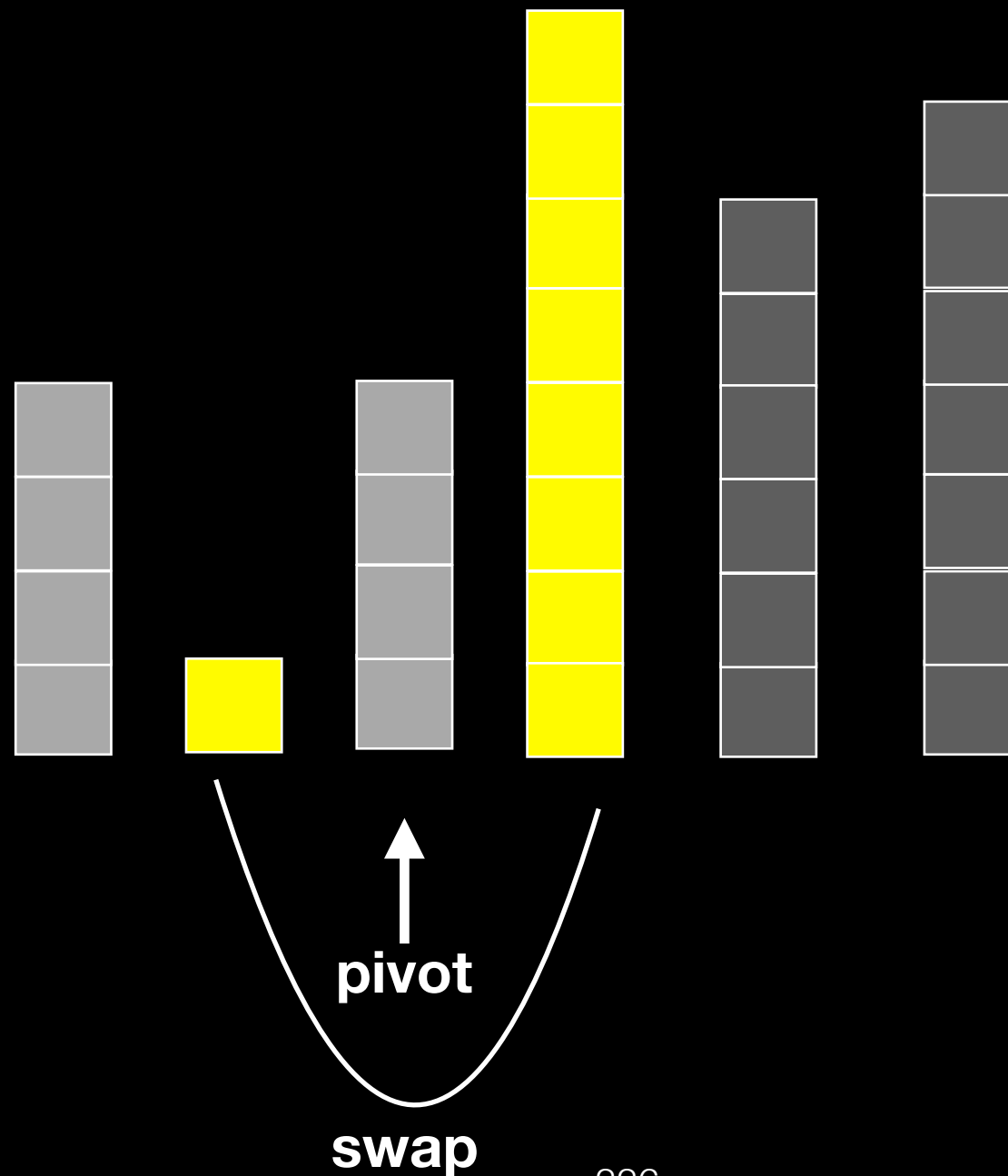


Quick Sort

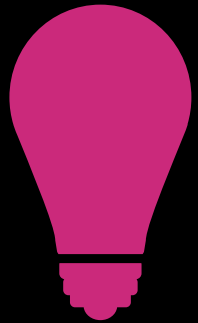
 \leq pivot
 $>$ pivot



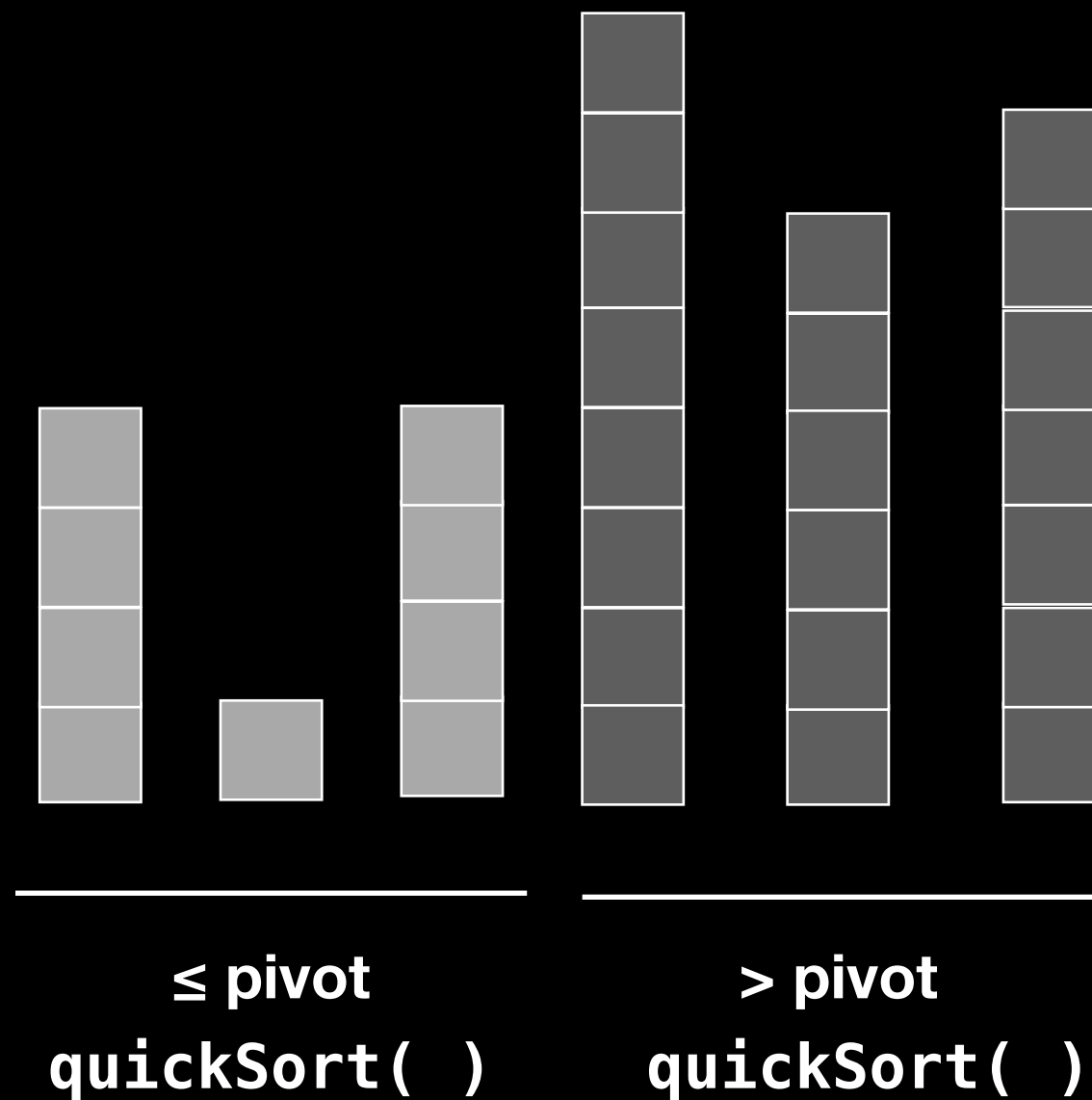
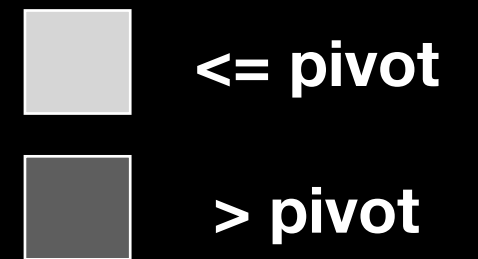
Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
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Quick Sort



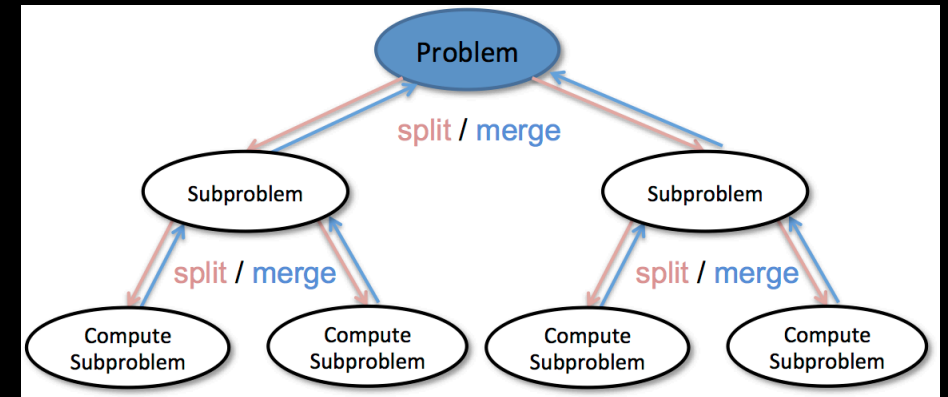
Select a pivot. Arrange other entries
s.t. entries in **left partition** are \leq pivot
and entries in **right partition** are $>$ pivot



Quick Sort Analysis

Divide and Conquer

n comparisons for each partition



How many subproblems? => Depends on pivot selection

Ideally partition divides problem into two $n/2$ subproblems for $\log n$ recursive calls (Best case)

Possibly (though unlikely) each partition has 1 empty subarray for n recursive calls (Worst case)

How to select pivot?

How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them
making middle the pivot



How to select pivot?

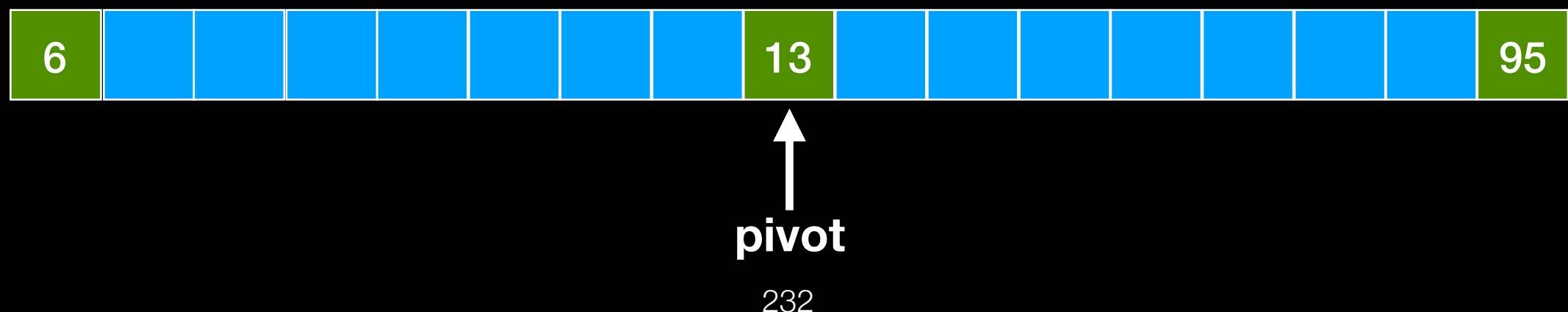
Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them making middle the pivot



Quick Sort Analysis

Execution time DOES depend on initial arrangement of data AND on PIVOT SELECTION (luck?) => on random data can be faster than Merge Sort

Optimization (e.g. smart pivot selection, speed up base case, iterative instead of recursive implementation) can improve actual runtime -> fastest comparison-based sorting algorithm **on average**

Worst Case: $O(n^2)$ comparisons and data moves

Best Case: $O(n \log n)$ comparisons and data moves

















Unstable

Quick Sort

```
void quickSort(array, first, last)
{
    if last - first + 1 = MIN_SIZE
        //base case with improvement
        insertionSort(array, first, last)
    else
        pivot_index = partition(array, first, last)
        quickSort(array, first, pivot_index - 1)
        quickSort(array, pivot_index + 1, last)
}
```

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$
Bubble Sort	$O(n^2)$	$O(n)$
Merge Sort	$O(n \log n)$	$O(n \log n)$
Quick Sort	$O(n^2)$	$O(n \log n)$

<https://www.toptal.com/developers/sorting-algorithms>

 Play All	 Insertion	 Selection	 Bubble
 Random			
 Nearly Sorted			
 Reversed			

<https://www.youtube.com/watch?v=kPRA0W1kECg>

