# **Extrinsic Relational Subtyping**

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#### 1 INTRODUCTION

Context. Automatically catching errors in programs is a hard enough problem that many languages require users to provide simple specifications to limit that space of correctness. Languages, such as Java and ML, are intrinsically typed, requiring nearly all terms to be associated with some type specified by the user. The clever design of ML allows annotations to be fairly sparse by having types specified at constructor definitions and relying on type inference elsewhere. However, one of the drawbacks of intrinsically typed languages is that they prevent reusing of constructors in contexts that are less precise than their intrinsic specifications. For instance, a cons constructor belonging to a list datatype could not be considered amongst a leaf constructor defined as belong to a tree datatype. The user would have to define a new datatype that includes both isomorphs of both cons and leaf and also write functions that translate between the isomorphs and the list and tree constructors. This not only bloats the codebase, but hurts either the runtime or compiler performance.

For various reasons that may include the reusability drawbacks, intrinsically typed languages have lost favor, and untyped or *extrinsically typed* languages, such as Javascript and Python, have increased in popularity. Untyped languages place less initial burden on the programmer to define the upper bounds on specific combinations of constructors. The flexibility and reusability of writing code that doesn't have to fit some predefined restriction may be seen as one of the benefits of these extrinsically typed languages over the well-studied intrinsically-typed languages. Unfortunately, this freedom makes static analysis or type inference much more challenging.

Despite the ever increasing use of untyped languages in production systems, the need to automatically verify precise and expressive properties of systems has never been greater. To this end, researchers have extended the simple types (such as those found in ML) into *refinement types*, *predicate subtyping*, and *dependent types*.

Refinement types offer greater precision than simple types, but still rely on intrinsic type specifications. Dependent types can express detailed relations, but may require users to provide proofs along with detailed annotations. Predicate subtyping offers some of the expressivity of dependent types, but with the automatic subtyping of refinement types. All of these techniques are based on intrinsic typing and therefore require users to provide additional annotations beyond the runtime behavior of their programs.

The challenge with extrinsically typed languages is that they allow using constructors in any possible combination, rather than prescribing the upper bound of combinations as in the datatype mechanism of ML languages. Thus, the crux of typing extrinsically typed programs is to determine

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a precise type based on how constructors are used. Since the way constructors are use may overlap is various ways, this form of reasoning about types requires a notion of subtyping. Type systems for extrinsically typed languages have relied on unions and intersections between types to represent precise types based on how expressions are used in combination.

*Gap.* Because extrinsically typed languages do not require users to specify the upper bounds of program expressions, there are many untyped programs that cannot benefit from the typing techniques of intrinsically typed languages. Furthermore, extrinsically typed languages do not require users to provide proofs, that have no runtime behavior, as is sometimes necessary in dependently typed systems to verify more expressive types. For instance, the liquid type system [] can verify and infer some relational properties, but it requires users to specify ML-style base types and a set of logical qualifiers to draw from. On the other hand, existing extrinsically typed techniques can not represent richer notions of relations beyond the mere shapes of expressions. Thus, the challenge is to bring rich expressive types to extrinsically typed languages.

*Innovation.* To overcome the limitations of intrinsic type systems and expand the kinds of programs and types that can be type checked, we introduce *extrinsic relational type inference*: a novel system that automatically infers expressive properties from untyped functional programs.

The main idea behind relational typing is to leverage subtyping as a means to express relations between objects. This completely obviates the need for the two-level type language used in liquid types or predicate subtyping. There is no special first-order predicate language. In relational typing, a relation is just a type in a subtyping lattice, just as a shape is just a type in a subtyping lattice. A subtyping judgment can degenerate into a typing judgment when the left side or strong side of subtyping is a singleton type (type with a single inhabitant). TODO: insert example of (succ zero, cons nil) subs nat list Additionally, two separate relations may be compared via subtyping to say that one relation may hold true for a superset of inhabitants of another. TODO: insert example of even list subs nat list By embedding the notion of relations into subtyping the system can reuse techniques for inferring unions and intersections over simple types, which are necessary in an extrinsic setting.

In addition to checking that subtyping holds, the system is able to infer weak parameter types and strong return types of functions, which then serve as constraints to be checked according to the applications of functions.

For comparison, the meaning of subtyping relations in relational types corresponds to the meaning of implication between qualifiers in liquid types.

While the purely functional setting presented in this work is not suitable for practical programming, future work could extend it to incorporate side-effects to make it practical. Alternatively, the purely functional setting could be viewed as an alternative formal foundation more mathematics, allowing for greater proof automation by allowing reuse of proofs across the transitive closure of proposition subtyping.

### 2 OVERVIEW

TODO: mention somwhere that the second order quantification serves two distinct purposes; 1. polymorphism as in System-F. 2. refinement as in first-order quantification of liquid types. Relational types is able to leverage second-order quantification for refinement, eschewing the first-order quantification used in other systems.

For a given program, type inference constructs a very precise type. Some programs are simple enough such that type inference generates singleton types.

TODO: example of a inference of intersection of function param applied to multiple arguments (not novel)

TODO: example of a inference of intersection of param with multiple functions applied to it (not novel)

TODO: example of a inference of union type of branching (not novel)

TODO: break example program into parts; inline instead of using figure

We illustrate the syntax and semantics of programs and types with the example program shown in Fig. ??.

Path typing. Consider the function talky, which completes a simple English phrase:

```
let talky = (
    $ <hello> @ => <world> @
    $ <good> @ => <morning> @
    $ <thank> @ => <you> @
)
```

This program is defined by paths over hardcoded tags. The system infers the type to be an intersection of implication types:

```
TOP & (<hello> @ -> <world> @) & (<good> @ -> <morning> @) & (<thank> @ -> <you> @)
```

Essentially, the program is so simple, that its type has the exact same meaning merely dressed in a different syntax.

Output broadening. Consider the application talky(x) where x has the type (<hello> @) | (<thank> @). Type inference breaks apart the function type's intersection into paths and constructs the strongest output type possible by expanding the output type for each viable path. Since only two of the three paths match the type of the argument, type inference determines the type of the application to be the type (<world> @) | (<you> @). Broadening from the bottom up contrasts with refinement type systems, which start from the weakest type intrinsic to the constructors and refines down to a stronger type through intersections of types or conjunctions of qualifiers.

*Relational typing.* Consider the function repeat that takes a natural number and returns a list of whose length is that number.

```
let repeat = $ x => loop($ self =>
    $ <zero> @ => <nil> @
    $ <succ> n => <cons>(x,self(n))
)
```

Without specifying any requirements besides the function definition, type inference lifts the function into the definitional property as a type. To construct the type, type inference constructs a relation between nats and lists. The type of repeat depends on a least fixed point relation between nats and lists (parametrically named here for readability).

Using the natList relation, type inference then lifts the function repeat into its precise type form.

```
ALL[T] T \rightarrow ALL[X] X \rightarrow EXI[Y ; X*Y <: natList(T)] Y
```

It may be worth noting that there could be semantically equivalent recursive type in terms of intersections instead of unions.

#### TODO: forward reference to correctness/model semantics

```
ALL[T] GFP[R]( TOP
    & (<zero> @)->(<nil> @)
    & (ALL[N L ; R <: N->L](<succ> N)->(<cons> T*L)
)
```

Type inference reasons in terms least fixed points, but the greatest fixed point form could be handled with syntactic sugar and rewriting.

Using the precise type form, type inference can leverage solving and checking subtyping constraints to reason in a number ways: it can reason forward from inputs to outputs (just like the runtime semantics), reason backwards from outputs to inputs (like Prolog), and check against weaker specifications.

Fixed point forward broadening. Consider the application repeat (<succ> <succ> <zero> (a) (x) where x has type T. Type inference constructs a singleton type, mirroring the results achieved by simply running the program.

```
<cons> T * <cons> T * <nil> @
```

Fixed point backwards broadening. Now suppose we have a function foo whose input type is inferred to be an empty list or a singleton list, <nil> @ | <cons> T \* <nil> @. Given the application foo(repeat(n)(x)) where x has type T, type inference can reason backwards to learn that the type of n must be either zero or one.

```
<zero> @ | <succ> <zero> @
```

*Vertical weakening (Factoring).* Now suppose we have a function woo whose input type is inferred to be a list over elements of type T.

Given the application woo(repeat(n)(x)) where x has type T, type inference discovers that the argument type depends on the relation natList(T), and the relation can be factored into a weaker cross product of nats and lists. Therefore, the argument meets the requirements of woo and the type of n must be the natural numbers.

```
LFP[R]( BOT
| <zero> @
| <succ> R
```

*Horizontol weakening (infilling).* Now consider a function boo whose input type is the natural numbers. Suppose we have the application boo (n) where n guaranteed to be an even number.

The application requires that type inference check that the nat type is weaker than the even type. Type inference sees that if both types were to unroll into an infinite sequence of values every value in nat would also be in even, therefore the application type checks. In particular, type inference leverages the inductive hypothesis, by learning that weaker types hold for all the recursive constraints of the stronger type. In the case simple recursive types as shown above, the inductive hypothesis is merely a subtyping constraint on a single variable. In the case of comparing two relations such as natList(T) and a corresponding even version, the inductive hypothesis would be a subtyping constraint on a pair of variables, which may not be decomposable into constraints on single variables, so type inference must learn relational constraints, in addition to simple constraints.

Input refinement. Consider two functions: uno of type  $U \rightarrow V$  and dos of type  $D \rightarrow E$ . Now suppose these two functions are called on the same variable, e.g. (uno(x), dos(x)). Type inference learns that the type of x can be no weaker than the intersection of the functions' input types: U&D.

Path sensitivity. Consider the function max that chooses the maximum of two natural numbers.

```
let less0rEq = loop($ self =>
    $ (<zero> @),y => <true> @
    $ (<succ> x),(<succ> y) => self(x,y)
    $ (<succ> x),(<zero> @) => <false> @
) in
let max = $ (x,y) =>
    if less0rEq(x,y) then y else x
```

The function max must satisfy the property that the result is greater or equal to each of the inputs. Type inference must learn constraints on the inputs to max: x and y, which depends on the output of less0rEq(x,y). The application less0rEq(x,y) can evaluate to either <true>@ or <false>@, which result from different paths taken in less0rEq. Type inference considers both cases and maintains the learned constraints exist in different possible worlds, since they are learned from different paths. Finally, type inference connects the inputs to the outputs by considering the two possible paths of the if-then-else. It first lifts the function less0rEq into a relational type. For readability, we name the relational type LED (as in "less than or equal decision").

using the LED relation, type inference combines the constraints learned for each possible world and combines them into a function type with multiple paths.

```
TOP
& (EXI [D ; D <: (<true> @)]
    (ALL [X Y Z ; Y <: Z ; ((X*Y)*D) <: LED] (X,Y) -> Z))
& (EXI [D ; D <: (<false> @)]
    (ALL [X Y Z ; X <: Z ; ((X*Y)*D) <: LED] (X,Y) -> Z))
```

We could simplify the type by eliminating simple constraints without loss of safety or precision:

```
TOP
& (ALL [X Y ; ((X*Y)*(<true> @)) <: LED] (X,Y) -> Y)
& (ALL [X Y ; ((X*Y)*(<false> @)) <: LED] (X,Y) -> X)
```

However, we have not included this rewriting in the semantics or implementation.

TODO: show type generated from code

TODO: more motivating and elucidating examples

### 3 DYNAMIC SEMANTICS

The programming language is pure and applicative. A program is an expression of the form in Definition 3.1. The forms of expressions enable function abstraction, function application, tagged constructions, record construction, record projection, and pattern matching. These forms enable us to express non-trivial programs. They also allow for compositions that have no reasonable semantics.

# **Definition 3.1.** Expressions

$$\begin{array}{lll} e ::= x \mid @ \mid < l > e \mid \vec{r} \mid \vec{f} \mid e.l \mid e(e) \mid loop(e) \\ \vec{r} ::= \epsilon \mid \vec{r} r \\ r ::= \$ l = > e \\ \vec{f} ::= \epsilon \mid \vec{f} f \\ f ::= \$ p = > e \\ p ::= x \mid @ \mid < l > p \mid \vec{k} \\ \vec{k} ::= \epsilon \mid \vec{k} k \\ k ::= \$ l = > p \end{array}$$

The dynamic semantics, defined in Definition 3.2 is a small-step operational semantics. It adheres to typical definitions of applicative languages for the most part. One slight departure is that pattern matching is merely a special case of function application. Likewise, a switch is merely a function abstraction. Records are similar to Functions, except that their entries are guarded by literal identifiers. Additionally, records may be abstracted as patterns, but functions may not. The semantics enables recursion via the fixed point combinator loop.

Definition 3.2. 
$$e \leadsto e$$
 Progression
$$\frac{e \leadsto e'}{\langle l \rangle e \leadsto \langle l \rangle e'} \qquad \frac{e \leadsto e'}{\vec{r} \$ l = \rangle e} \qquad \frac{\vec{r} \leadsto \vec{r}'}{\vec{r} \$ l = \rangle v} \qquad \frac{e \leadsto e'}$$

### 4 STATIC SEMANTICS

The static semantics is a system for checking if the construction of an expression is viable. The system leverages types, which allow expressing properties of expressions with varying levels of precisions. *Proof typing* lifts expressions into types and leverages *proof subtyping* to check for viability by comparing compatibility between types. By allowing type annotation in the syntax of expressions, proof typing is also able to check that expressions meet abstract specifications.

# **Definition 4.1.** Types

**Definition 4.2.**  $\Gamma \vdash e : \tau \dashv \Omega$  Proof Typing

The typing is given in Fig. ??. Most of the rules are fairly standard. The rule for function typing is a bit special in that it treats a function as a sequence of paths whose type is an intersection of implications, rather than having a separate pattern matching rule. Likewise, the type of a record is an intersection of field types. The let-binding rule allows for prenex polymorphism by generalizing via subtyping.

The type inference procedure is given in Fig. ??. The procedure depends on four parameters: an type variable set, a subtyping environment, a typing environment, and an expression. The variable set indicates if a type variable's assigned type is allowed to be strengthened or weakened during unification. The subtyping environment indicates the assumed constraints on types containing type variables, including relational constraints and constraints over single type variables, which we also refer to as type assignments. The typing environment indicates the assumed constraints on term variables. The expression indicates the inhabitant of the type that is to be inferred. The procedure returns a set of triples, where each triple consists of a variable set, a subtyping environment, and a type. The unit case simply returns a singleton set with the unit type and the environments

unchanged. The variable case uses the variable as a key to find its type in the typing environment. It returns a singleton set containing the found type along with the adjustment set and subtyping environment. The tag case infers the type of its constituent type and uses its label to construct a tag type. The record case infers the type of its fields and intersects the constructed field types together.

The function case is of particular importance to type inference in an untyped setting. For each path in the function, it extracts the term variables of the pattern and associates the term variables with fresh type variables. It infers the body of each path with an updated variable set and an updated typing environment containing the fresh type variables of the pattern. By adding the pattern's type variables to the adjustment variable set, it implies that the parameter type of the path can be strengthened by applications occurring in the body of the path. This enables adjusting a parameter type to reflect all of its occurrences in the body, rather than reflecting just its first occurrence and failing on subsequent occurrences. Before returning the inferred implication type for the path, the case removes the the pattern's type variables from the adjustment variable set, ensuring that those type variables cannot be strengthened or weakened from the outside. As with the record case, the inferred implications are intersected together.

The projection case infers the type of the record expression. It then calls unify to solve for the projected type by finding a single field type that is subtyped by the record type.

The application case also plays an important role in type inference of untyped programs. It creates a fresh type variable as a placeholder for the inferred type of the application result. It infers the type of the function and the argument, and then solves for the result type by unifying the function's type with an implication from the argument type to the result type. It adds the result type variable to the adjustment variable set when unifying to allow inferring types accounting for all the paths that the function might take. After unifying, it removes the result type variable from the adjustment variable set to ensure that the type cannot be modified from the outside. Additionally, since inference and unification actually return sets of solutions, the application must type check for all function types and all argument types. If even one combination cannot be unified then the inference of application fails, indicated by breaking the for-loop, which then implicitly returns an empty set.

# TODO: update application to merely require some function cases to type check

the let-binding case infers the type of the argument and checks that all possible types subtype the annotation and result in a well-typed body. It generalizes type variables in the inferred argument type while maintaining the constraints indicated by the subtyping environment.

TODO: update let-binding to generalize only if something is a function-type: e.g. intersection, univeral, implication

# TODO: update let-binding to merely require some bodies to type check

The fix case infers inductive types for the parameters and bodies of its target expression. Moreover, it inductively relates the parameter and body types to each other. First, it infers the type of the target and calls unify to deconstruct it into the antecedent and consequent of an implication type. According to the semantics of fix, the antecedent represents and inductive hypothesis, while the consequent represents and inductive conclusion. Thus, it uses the inferred structures of the antecedent and consequent to construct an inductive relation by ensuring that the antecedent subtypes the inductively bound variable of the resulting inductive type. Finally, the implication type is projected from the constructed relation and generalized with universal.

Due to the complexity of types along with two positions for types to occur in subtyping, there are many rules needed to define the proof system of subtyping. For clarity, we show only a subset of the rules in this section in order to explain the essence of the system. We leave the remaining

rules in an annex. The remaining rules include duals and other forms that adhere to similar proof strategies as the rules shown here, as well as additional rules for increased precision.

**Definition 4.3.** 
$$\tau <: \tau \dashv \Omega$$
 Proof Subtyping

$$\frac{\tau_{ll} <: \tau_r + \Omega}{\tau <: \tau + \Omega} \qquad \frac{\tau_{ll} <: \tau_r + \Omega \qquad \tau_{lr} <: \tau_r + \Omega' \qquad \Omega \preceq \Omega'}{\tau_{ll} \mid \tau_{lr} <: \tau_r + \Omega'} \qquad \frac{\Delta + \vec{\alpha}_w, \Delta_w \qquad \vec{\alpha} \# \tau_r}{\tau_l <: \tau_r + \Omega \qquad (\vec{\alpha}_w \vec{\alpha}, \Delta_w) \preceq \Omega}$$

$$\frac{\alpha \notin \vec{\alpha}}{\vec{\alpha}, \Delta \vdash \alpha/\tau_l \dagger \Delta^\dagger \qquad \vec{\alpha}, \Delta \vdash \alpha <: ^\dagger \vec{\tau} \qquad \vec{\alpha}, \Delta \vdash \alpha/\tau_l <: ^\sharp \Delta^\sharp} \\ \frac{\Delta^\dagger \tau_l <: \operatorname{inter}(\vec{\tau}) \dashv \vec{\alpha}', \Delta' \qquad (\vec{\alpha}, \Delta \Delta^\sharp) \preceq (\vec{\alpha}', \Delta')}{\tau_l <: \alpha \dashv (\vec{\alpha}', \Delta' \tau_l <: \alpha)}$$

$$\begin{split} \alpha \in \overrightarrow{\alpha} & \quad \text{factor}(\Delta, \alpha) = \Delta_f \quad \overrightarrow{\alpha}, (\Delta \Delta_f) \vdash \alpha <: \overrightarrow{\tau} \\ & \quad \text{inter}(\overrightarrow{\tau}) <: \tau_r + \overrightarrow{\alpha}', \Delta' \qquad (\overrightarrow{\alpha}, \Delta \Delta_f) \preceq (\overrightarrow{\alpha}', \Delta') \\ \hline & \quad \alpha <: \tau_r + \overrightarrow{\alpha}', \Delta' \qquad \qquad \underbrace{\tau_l <: \tau_{rr} + \Omega}_{\tau_l <: \tau_{rr} + \Omega} \qquad \Delta + \Omega' \qquad \Omega \preceq \Omega' \\ \hline & \quad \underline{\tau_l <: \tau_{rl} + \Omega}_{\tau_l <: \tau_{rl} \mid \tau_{rr} + \Omega} \qquad \underbrace{\frac{\tau_l <: \tau_{rr} + \Omega}{\tau_l <: \tau_{rl} \mid \tau_{rr} + \Omega}}_{\tau_l <: \tau_{rl} \mid \tau_{rr} + \Omega} \qquad \underbrace{\frac{\tau_l (\alpha/\tau_r) <: \tau_r + \Omega}{\mathsf{LFP}[\alpha] \tau_l <: \tau_r + \Omega}}_{\mathsf{LFP}[\alpha] \tau_l <: \tau_r + \Omega} \\ \hline & \quad \underline{\Delta + \tau_l \circlearrowleft \mathsf{LFP}[\alpha] \tau_r} \qquad \tau_l <: \tau_r [\alpha/\mathsf{LFP}[\alpha] \tau_r] + \Omega' \qquad \Omega \preceq \Omega' \\ \hline & \quad \tau_l <: \mathsf{LFP}[\alpha] + \Omega' \\ \hline & \quad \underline{\Delta + \tau_l' <: \tau_n \sim \tau_n <: \mathsf{LFP}[\alpha] \tau_r' + \Omega}_{\tau_l <: \mathsf{LFP}[\alpha] \tau_r' + \Omega} \\ \hline & \quad \tau_l <: \mathsf{LFP}[\alpha] \tau_r' + \Omega \end{aligned}$$

$$\begin{split} \vec{\alpha} \vdash \Delta \wr \mathsf{FTV}(\tau_l) \dashv \vec{\delta} &\vdash \tau_l <: \mathsf{LFP}[\alpha] \tau_r \star \\ \underline{\tau_l}[\vec{\delta}] <: \mathsf{LFP}[\alpha] \tau_r + \vec{\alpha}_1, \Delta_1 & (\vec{\alpha}, \Delta) \preceq (\vec{\alpha}', \Delta') \\ \hline \tau_l <: \mathsf{LFP}[\alpha] \tau_r \dashv \vec{\alpha}', \Delta' \; ; \tau_l <: \mathsf{LFP}[\alpha] \tau_r & \underbrace{\tau_l <: \tau_r + \Omega}_{< l > \tau_l <: < l > \tau_r + \Omega} & \underline{\tau_l <: \tau_r \Omega}_{l -> \tau_l <: l -> \tau_r + \Omega} \\ \hline \underline{\tau_{rl} <: \tau_{rl} + \Omega \quad \tau_{lr} <: \tau_{rr} + \Omega' \quad \Omega \preceq \Omega'}_{\tau_{rl} -> \tau_{rr} + \Omega'} \end{split}$$

The subtyping is given in Fig. ??. Some of the rules are fairly standard, including implication, the union rules, and intersection rules. Note that in addition to left and right rules, union and intersection each have rules for interacting with implication's antecedent and consequent, respectively. The constraint rule checks that a subtyping relation exists as a constraint in the subtyping environment. The right induction rule is standard and simply unrolls the induction. The left induction rule relies on the induction principle to construct an inductive constraint hypothesis. The field and tag rules simply check that the labels match and subtyping holds for their constituent types. The existential rules are quite special, as they involve a subtyping constraint as part of a second-order comprehension. The left existential rule checks that subtyping holds for all variations of the payload where the subtyping constraint holds. The right existential rule checks that subtyping holds for some variation of the payload where the constraint holds. The left universal rule checks that

subtyping holds for some variation of the payload consistent with the variable's upper bound. The right universal rule checks that subtyping holds for all variations of the payload consistent with the variable's upper bound.

The subtype unification procedure is given in Fig. ??. Unification depends on four parameters: a set of type variables, a subtyping environment, and two types. The set of type variables indicates the type of variables that may be adjusted (triggered by the function and application cases of inference). The subtyping environment indicates constraints on type variables. the left type indicates the stronger type, and the right type indicates the weaker type. Both types may contain type variables that are unsolved or partially solved. The procedure returns a set of subtyping environments.

The procedure begins by checking if the two types are syntactically equal. If equal then subtyping holds but there is nothing that can be unified without circular references so the assumed subtyping environment is returned unchanged in a singleton set.

The procedure then pattern matches on the left and right types. The first two cases handle assigning variables to types. The right-variable case first looks up a solution for the variable. If a solution is found, then unification proceeds between the original left type and the solution for the right type. In the case where unification fails, but the type variable is adjustable, the procedure updates the type variable with the union of the left type and the found right type (assuming the constraint is well-formed, which includes avoiding circular references). If no solution is found for the right variable, then the procedure looks for any relational constraints in the subtyping environment where the left side contains the type variable. It checks that the left type is consistent with the relational constraints. If there are no relational constraints, then the variable is assigned to the left type (if the constraint is well-formed). The left-variable rule is similar to the right-variable rule with one difference being that it uses intersection to strengthen the type variable in the case of adjustment. In the case of checking relational constraints, it ensures that the right type is weaker than what the relational constraints would allow.

The subsequent cases decompose the types into subproblems. The decomposition is given in Fig. ??. The order of the rules is critical to ensure that easier constraints are generated. To that end, cases that strengthen the left side or weaken the right side occur before rules that weaken the left side or strengthen the right side.

The left existential case first tries to unify the constraint. It's possible that the constraint cannot be solved, but also isn't invalid, in which case unifying the existential type's constraint simply updates the subtyping environment with the unsolved relational constraint. With the updated environment, the procedure then unifies the existential type's payload with the right type. It must do a safety check to ensure that the left existential's variables are merely assumed and not witnessed. If the constraint is solved then the procedure checks that the payload subtypes the right type for every constraint solution.

The right universal case updates the subtyping environment with the universal's variable constraint and unifies the left type with the payload. As with left existential, the procedure checks that the universal's type variable is merely assumed and not instantiated.

The left induction rule first tries to factor the inductive type and unify the weakened factored type with the right type. For example, a relational type between a natural number and a list, can be factored into a cross product of a natural number type and a list type. If factoring fails, then the procedure leverages the induction principle and substitutes the right type in for the inductive variable of the left type and unifies the new left type with the right type. Note that factoring is employed for the special case where the right type has variables which cannot be unified using induction due to our circularity restriction.

The cases for antecedent union, consequent intersection, left union, and right intersection decompose into sub-problems in the same way as the declarative subtyping semantics.

The remaining cases are continued in Fig. ??. The right existential case simply unifies the left type with the existential's payload and then unifies the existential's constraint, such that unifying the payload may bear witness to a type that leads to verifying the constraint.

TODO: consider using both forward and backtracking in unification and subtyping rules. Since it is not certain which constraint is stronger (in contrast to prolog). Union solutions together

The right existential case resembles the unification and backtracking approach in Prolog, where unification of the payload corresponds to unification of a query with the head of a horn clause, and solving the existential's constraint after the payload unification corresponds to solving the horn clause's body after the head's unification.

The left universal case also unifies in a backtracking fashion analogous to Prolog. The procedure unifies the universal's payload with the right type, possibly instantiating the universal's type variable, which may then be used to unify and check the universal's constraint.

The right induction case attempts to unroll the inductive type just enough to unify with the left type. To avoid potential infinite unrolling the procedure relies on a heuristic to see if the left type's pattern lines up with parts of the the inductive type that are guaranteed to be well-founded. If the left type is a pattern with variables that prevents it from being reduced, then the procedure checks if it is well-formed, meaning it could be solved if more information were specified, and then the unsolved relational constraint is added to the subtyping environment.

The remaining cases closely mirror their counterparts in the declarative subtyping rules.

### **5 CORRECTNESS**

**TODO:** introduce model typing and soundness properties

**Definition 5.1.** 
$$\overrightarrow{\delta}, \Gamma \models e : \tau$$
 Model typing

$$\frac{\alpha/\tau \in \vec{\delta} \quad \vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\alpha/\tau \notin \vec{\delta} \quad \vec{\delta}, \Gamma \models e : TOP}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \vdash e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \vdash e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta} \sqcup \vec{\delta}' \models Q}{\vec{\delta} \sqcup \vec{\delta}' \vdash Q} \qquad \frac{\vec{\delta} \sqcup \vec{\delta}' \models Q}{\vec{\delta} \sqcup \vec{\delta}' \vdash e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta} \sqcup \vec{\delta}' \models Q}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta} \sqcup \vec{\delta}' \models Q}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta} \sqcup \vec{\delta}' \vdash Q}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_p} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau_p}{\vec{\delta}, \Gamma \models e : \tau_$$

**Definition 5.2.**  $\vec{\delta} \models \tau <: \tau$  Model Subtyping

$$\frac{\forall e \; \Gamma. \; \vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r}{\vec{\delta} \models \tau_l <: \tau_r}$$

**Definition 5.3.**  $|\vec{\delta} \models Q|$  Model Sequence Subtyping

$$\frac{\vec{\delta} \models Q \qquad \vec{\delta} \models \tau_l <: \tau_r}{\vec{\delta} \models Q \qquad \vec{\delta} \models Q \quad \tau_l <: \tau_r}$$

#### **6 EXPERIMENTS**

TODO: develop 12 tree/list experiments

### 7 RELATED WORK

Tree interpolation. Exemplified by CHC duality solver.

Hindley-Milner type inference. Exemplified by ML.

Logic programming. Exemplified by Prolog.

Similar: both have backchaining.

Different: RLT is fully declarative, lacks negations, but has implication. Different: RLT allows comparing inductive relations via subtyping.

Semantic subtyping. Exemplified by XDuce and CDuce. complete subtyping.

Similar: set-like combinators: union and intersection.

Different: RLT uses rigid syntactic rules; incomplete subtyping.

The terminology "semantic subtyping" vs "syntactic subtyping" are confusing terms. "semantics subtyping" means the semantics of types is determined indirectly by the semantics of another structure. "syntactic subtyping" means the semantics of types is determined directly by the type structure

Extrinsic typing. Exemplified by Typescript, which is unsound. Maybe not as lenient? The static behavior of a program is not necessarily specified/prescribed; it may be over-approximated from the program composition. Intrinsic vs extrinsic is orthogonal to static vs dynamic, although static and dynamic are often used to mean the former. All modern languages use a combination of static and dynamic type checking. The term "dynamically typed" some times refers to a language that doesn't prescribe static meaning, even if it uses both static and dynamic type checking. The term "extrinsic typing" is less ambiguous.

Refinement Types. Exemplified by Refinement ML. Base types with intersections and subtyping.

*Predicate Subtyping.* Exemplified by Liquid Types. An extension of refinement types.

Similar: both use type inference to infer expressive relational properties.

Different: RLT starts with an invalid post-condition, then weakens return type to a valid post-condition from outside in by expanding unions.

Different: RLT starts with an invalid pre-condition, then strengthens parameter type to a valid pre-condition from inside out by adding intersections.

Different: Liquid types starts with an invalid post-condition, then uses iterative weakening by dropping conjunctions until a valid post-condition is reached.

Abstraction Refinement. Similar: type unification over subtyping resembles abstraction refinement where solving for variables and failing on different sides of the subtyping relation corresponds to solving with the abstractor vs solving with the refiner.

*Craig interpolation.* Similar: extracting an inductive type with unions and intersections from a recursive program without needing to specify a predicate universe might be similar to craig interpolation.

PDR.. exemplified by IC3.

Similar: RLT infers abstract type for return type, then safely constrains the variables in previous step (fix's antecedent) to subtype the least fixed point. This lazily propagates the type for the last step to the previous steps. This is safe as antecedent is stronger than consequent at any step. Seems similar to the notion in PDR of propagating negation of loss points to previous steps.

Different: RLT isn't cartesian

#### 8 APPENDIX

### **Definition 8.1.** Internals

$$\begin{split} \Gamma & ::= \epsilon \mid \Gamma \, x : \tau \\ \vec{\Omega} & ::= \epsilon \mid \vec{\Omega} \, \Omega \\ \Omega & ::= \vec{\alpha}, \Delta \\ \\ \vec{\tau} & ::= \epsilon \mid \vec{\tau} \, \tau \\ \vec{\phi} & ::= \epsilon \mid \vec{\phi} \, \phi \\ \\ \vec{\pi} & ::= \epsilon \mid \vec{\pi} \, \pi \\ \pi & ::= \vec{\alpha}, \Delta, \tau \text{-->} \tau \\ \\ \vec{\delta} & ::= \epsilon \mid \vec{\delta} \, \delta \\ \delta \, \alpha / \tau \end{split}$$

# **Definition 8.2.** Sugared Expressions

$$e := \dots \mid e, e \mid e \mid > e \mid \text{let } x z = e \text{ in } e \mid (e)$$
  
 $z := \epsilon \mid : \tau$   
 $p := \dots \mid p, p \mid (p)$ 

### **Definition 8.3.** Values

$$\begin{array}{lll} v &:= @ \mid < l > v \mid \vec{g} \mid v, v \mid (v) \mid \vec{f} \\ \vec{g} &:= \epsilon \mid \vec{g} g \\ g &:= \$ l = > v \\ \\ \vec{\sigma} &:= \epsilon \mid \vec{\sigma} \sigma \\ \sigma &:= x/v \end{array}$$

# **Definition 8.4.** $e \rightsquigarrow e$ Sugared Progression

$$\frac{e_b\left(e_a\right)\leadsto e'}{e_a\left|>e_b\leadsto e'\right.} \qquad \frac{e\leadsto e'}{\left(e\right)\leadsto e'} \qquad \frac{\$\mathsf{left}=>e_l\,\$\mathsf{right}=>e_r\leadsto e'}{e_l\,,e_r\leadsto e'}$$
 
$$\frac{(\$\,\,\mathsf{\,\,@=>e_t\,\,\$\,\,\,\mathsf{\,\,@=>e_f)\,(e_c)\leadsto e'}{\mathsf{if}\,\,e_c\,\,\mathsf{then}\,\,e_t\,\,\mathsf{else}\,\,e_f\leadsto e'} \qquad \frac{(\$x=>e_k)\,(e)\leadsto e'}{\mathsf{let}\,\,x:\tau=e\,\,\mathsf{in}\,\,e_k\leadsto e'}$$

# **Definition 8.5.** Sugared Types

$$\tau ::= \dots \mid \mathsf{TOP} \mid \mathsf{BOT} \mid (\tau)$$
  
$$\phi ::= \dots \mid (\phi)$$

**Definition 8.6.**  $\tau <: \tau + M, \Delta$  Proof Subtyping Annex

$$\frac{\tau_l <: (l \colon \tau_{rl}) \, \& \, (l \colon \tau_{rr}) \, \dashv M, \Delta}{\tau_l <: \mathsf{TOP} \dashv M, \Delta} \qquad \frac{\tau_l <: (l \colon \tau_{rl}) \, \& \, (l \colon \tau_{rr}) \, \dashv M, \Delta}{\tau_l <: l \colon (\tau_{rl} \, \& \, \tau_{rr}) \, \dashv M, \Delta}$$

$$\frac{\tau_{l} <: \tau_{rl} + M_{0}, \Delta_{0} \qquad M_{0} \leq M_{1}}{\Delta_{0} \leq \Delta_{1} \qquad \tau_{l} <: \tau_{rr} + M_{1}, \Delta_{1}}$$

$$\frac{\tau_{l} <: \tau_{rl} \delta \tau_{rr} + M_{1}, \Delta_{1}}{\tau_{l} <: \tau_{rl} \delta \tau_{rr} + M_{1}, \Delta_{1}}$$

$$\frac{\tau_{l} <: \tau_{rl} \dashv M_{0}, \Delta_{0} \qquad M_{0} \leq M_{1}}{\Delta_{0} \leq \Delta_{1} \qquad \tau_{l} <: \tau_{rr} \dashv M_{1}, \Delta_{1}} \qquad \frac{\tau_{l} <: (\tau_{ra} -> \tau_{rc}) \& (\tau_{rb} -> \tau_{rc}) \dashv M, \Delta}{\tau_{l} <: \tau_{rl} \& \tau_{rr} \dashv M_{1}, \Delta_{1}} \qquad \frac{\tau_{l} <: (\tau_{ra} -> \tau_{rc}) \& (\tau_{rb} -> \tau_{rc}) \dashv M, \Delta}{\tau_{l} <: \tau_{ra} \mid \tau_{rb} -> \tau_{rc} \dashv M, \Delta}$$

$$\frac{\tau_{l} <: (\tau_{ra} -> \tau_{rb}) \& (\tau_{ra} -> \tau_{rc}) + M, \Delta}{\tau_{l} <: \tau_{ra} -> \tau_{rb} \& \tau_{rc} + M, \Delta}$$

$$\frac{\tau_{l} <: (\tau_{ra} -> \tau_{rb}) \& (\tau_{ra} -> \tau_{rc}) + M, \Delta}{\tau_{l} <: \tau_{ra} -> \tau_{rb} \& \tau_{rc} + M, \Delta} \qquad \frac{Q + M_{0}, \Delta_{0} \qquad A \# \tau_{l}}{\Delta_{0} \preceq \Delta_{1} \qquad \tau_{l} <: \tau_{r} + M_{1}, \Delta_{1}}{\tau_{l} <: \mathsf{ALL}[A \ Q] \tau_{r} + M_{1}, \Delta_{1}}$$

$$\frac{M_0 \preceq M_1}{\Delta_0 \preceq \Delta_1} \frac{\begin{array}{c} \alpha \in M_0 & \Delta_0 \vdash \mathbf{T} <: \alpha \\ \Delta_0 \preceq \Delta_1 & \tau_l <: \mid (\mathbf{T}) \dashv M_1, \Delta_1 \end{array}}{\tau_l <: \alpha \dashv M_1, \Delta_1}$$

$$\frac{M_{0},\Delta_{0} \vdash \mathbf{T} <:^{\dagger} \alpha \qquad \stackrel{\alpha \notin M_{0}}{M_{0}} \stackrel{M_{0},\Delta_{0} \vdash \Delta_{m}}{\Delta_{0} \sqcup \Delta_{m} \preceq \Delta_{1}} \stackrel{|(\mathbf{T}) <: \tau_{r} \dashv M_{1},\Delta_{1}}{\alpha <: \tau_{r} \dashv M_{1},\Delta_{1} \alpha <: \tau_{r}}$$

$$\frac{\tau_{l} <: \tau_{r} \dashv M_{0}, \Delta_{0}}{\Delta_{0} \preceq M_{1} \qquad \Delta_{0} \preceq \Delta_{1} \qquad Q \dashv M_{1}, \Delta_{1}}$$

$$\frac{ALL[A \ Q]\tau_{l} <: \tau_{r} \dashv M_{1}, \Delta_{1}}{\Delta_{1}}$$

$$\frac{\tau_{ll} <: \tau_r \dashv M, \Delta}{\tau_{ll} \& \tau_{lr} <: \tau_r \dashv M, \Delta}$$

$$\frac{\tau_{lr} <: \tau_r \dashv M, \Delta}{\tau_{ll} \& \tau_{lr} <: \tau_r \dashv M, \Delta}$$

**TODO:** create a negation syntax

TODO: prove subtyping is complete for negation syntax

$$\frac{M, \Delta \vdash \tau_l <: \tau_r \mid \tau_n}{M, \Delta \vdash \tau_l \backslash \tau_n <: \tau_r}$$

$$\operatorname{negsyn}(\tau_r \backslash \tau_n) \qquad \tau_l <: \tau_r \dashv M, \Delta$$

$$\forall M' \Delta' . M \preceq M' \implies \Delta \preceq \Delta' \implies \neg(\tau_l <: \tau_n \dashv M', \Delta')$$

$$\tau_l <: \tau_r \backslash \tau_n \dashv M, \Delta$$

**Definition 8.7.**  $\Omega \leq \Omega$  World Ordering

$$\frac{1}{(\vec{\alpha}, \Delta) \leq (\vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta')}$$

**Definition 8.8.**  $\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \blacktriangle \vec{\pi}, \vec{\phi}$  Function Lifting

$$\frac{\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \blacktriangle \vec{\pi}, \vec{\phi} \qquad p : \phi \dashv \Gamma' \qquad \operatorname{neg}(\phi, \vec{\phi}) = \tau_l }{\forall \vec{\alpha}' \Delta' \tau_r. \ (\vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta', \tau_l \rightarrow \tau_r) \in \vec{\pi}' \implies \Gamma \ \Gamma' \vdash e : \tau_r \dashv \vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta'}$$

$$\frac{\exists \pi. \ \pi \in \vec{\pi}'}{\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \ \$p = > e \blacktriangle \vec{\pi} \ \vec{\pi}'. \vec{\phi} \ \phi}$$

$$\overline{\vec{\alpha}, \Delta, \Gamma \vdash \epsilon \blacktriangle \epsilon, \epsilon}$$

**Definition 8.9.**  $\Omega \vdash \tau \circlearrowleft \tau$  Decomposable

$$\frac{\text{TODO: ...}}{\Omega \vdash \tau \circlearrowleft \tau}$$

**Definition 8.10.**  $\tau <: \tau \cong \tau <: \tau$  Normal Constraint Congruence

$$\frac{\text{TODO: ...}}{\tau <: \tau \cong \tau <: \tau}$$

**Definition 8.11.**  $\Delta \vdash \tau' <: \tau \sim$  Normal Constraint Entailment

TODO: ... 
$$\Delta \vdash \tau' <: \tau \sim$$

**Definition 8.12.**  $\vec{\alpha} \vdash \Delta \wr \vec{\alpha} \dashv \vec{\delta}$  Modulo Type Solution

$$\frac{\mathbf{TODO: ...}}{\vec{\alpha} \vdash \Delta \wr \vec{\alpha} \dashv \vec{\delta}}$$

**Definition 8.13.**  $\vdash \tau_l <: \tau \star$  Constraint Consistency

$$\frac{\text{TODO: ...}}{\vdash \tau_l <: \mathsf{LFP}[\alpha] \tau_r \star}$$

**Definition 8.14.** A #  $\tau$  Fresh variables

$$\frac{\forall \alpha. \ \alpha \in A \implies \alpha \notin FV(\tau)}{A \# \tau}$$

**Definition 8.15.**  $\tau <: \tau + Z$  (Proof universe subtyping)

$$\frac{\langle M, \Delta \rangle \in Z \qquad \forall M \; \Delta. \; \tau_l <: \tau_r \dashv M, \Delta \iff \langle M, \Delta \rangle \in Z}{\tau_l <: \tau_r \dashv Z}$$

**Definition 8.16.**  $Q \dashv M, \Delta$ 

$$\frac{Q \dashv M_0, \Delta_0 \qquad M_0 \preceq M_1 \qquad \Delta_0 \preceq \Delta_1 \qquad \tau_l <: \tau_r \dashv M_1, \Delta_1}{Q \cdot \tau_l <: \tau_r \dashv M_1, \Delta_1} \qquad \qquad \frac{M_0 \Delta \vdash \epsilon}{M_0 \Delta \vdash \epsilon}$$

**Definition 8.17.** (Collection)

$$C := \epsilon \mid C c$$

**Definition 8.18.** CC = C Concatenation

$$C \epsilon = C \Rightarrow empty$$
  
 $C (C' c) = (C C') c \Rightarrow step$ 

**Definition 8.19.**  $C \diamond C = C$  Filter

$$C \diamond \epsilon = \epsilon$$

$$C \diamond (C' c) = \begin{cases} (C \diamond C') c & \text{if } c \in C \\ (C \diamond C') & \text{otherwise} \end{cases}$$

**Definition 8.20.**  $c \in C$  Collection Containment

$$\frac{c \neq c' \qquad c \in C}{c \in C c'}$$

**Definition 8.21.**  $C \leq C$ 

$$\frac{C \preceq C'}{C \preceq C} \qquad \frac{C \preceq C'}{C \preceq C' c}$$

**Definition 8.22.**  $union(T) = \tau$  Collective Union

$$union(\epsilon) = BOT > empty$$
  
 $union(T \tau) = union(T) | \tau > step$ 

**Definition 8.23.** inter(T) =  $\tau$  Collective Intersection

$$inter(\epsilon) = TOP \triangleright empty$$
  
 $inter(T \tau) = inter(T)\&\tau \triangleright step$ 

**Definition 8.24.**  $M, \Delta \vdash m <: ^{\sharp} \alpha$  Lower skolem subtyping

$$\frac{m \in M \qquad m <: \alpha \in \Delta}{M, \Delta \vdash m <: ^{\sharp} \alpha}$$

**Definition 8.25.**  $M, \Delta \vdash \Delta <:^{\sharp} \alpha/\tau$  Universal lower skolem subtyping

$$\frac{\forall m \ \tau. \ m <: \tau \in \Delta' \iff M, \Delta \vdash m \in M \land m <: \alpha \in \Delta}{M, \Delta \vdash \Delta' <:^{\sharp} \alpha / \tau}$$

**Definition 8.26.**  $M, \Delta \vdash \alpha/\tau <:^{\sharp} \Delta$  Universal upper skolem subtyping

$$\frac{\forall \tau \ m. \ \tau <: m \in \Delta' \iff m \in M \land \alpha <: m \in \Delta}{M, \Delta \vdash \alpha <: \sharp \Delta'}$$

**Definition 8.27.**  $M, \Delta \vdash \tau < :^{\dagger} \alpha$  Lower transitive subtyping

$$\frac{\tau \notin M \qquad \tau <: \alpha \in \Delta}{M, \Delta \vdash \tau <:^{\dagger} \alpha} \qquad \qquad \frac{m \in M \qquad M, \Delta \vdash \tau <:^{\dagger} m \qquad m <: \alpha \in \Delta}{M, \Delta \vdash \tau <:^{\dagger} \alpha}$$

**Definition 8.28.**  $M, \Delta \vdash T <:^{\dagger} \alpha$  Universal lower transitive subtyping

$$\frac{\forall \tau. \ \tau \in \mathbf{T} \iff M, \Delta \vdash \tau <: ^{\dagger} \alpha}{M, \Delta \vdash \mathbf{T} <: ^{\dagger} \alpha}$$

**Definition 8.29.**  $M, \Delta \vdash \alpha <:^{\dagger} \tau$  Upper transitive subtyping

$$\frac{\tau \notin M \qquad \alpha <: \tau \in \Delta}{M, \Delta \vdash \alpha <: \overset{\dagger}{\tau}} \qquad \frac{m \in M \qquad \alpha <: m \in \Delta \qquad M, \Delta \vdash m <: \overset{\dagger}{\tau}}{M, \Delta \vdash \alpha <: \overset{\dagger}{\tau}} \tau$$

**Definition 8.30.**  $M, \Delta \vdash \alpha <:^{\dagger} T$  Universal upper transitive subtyping

$$\frac{\forall \tau. \ \tau \in \mathcal{T} \iff M, \Delta \vdash \alpha <: ^{\dagger} \mathcal{T}}{M, \Delta \vdash \alpha <: ^{\dagger} \mathcal{T}}$$

**Definition 8.31.** 
$$M, \Delta \vdash \alpha \dagger \tau <: \tau$$
 Relational subtyping

$$\frac{\alpha \neq \tau_l \qquad \alpha \in FTV(\tau_l)}{\Delta \vdash \alpha \dagger \tau_l <: \tau_r}$$

**Definition 8.32.**  $M, \Delta \vdash \alpha/\tau \dagger \Delta$  Relational substitution

$$\frac{\forall \tau_l \ \tau_r. \ \tau_l[\alpha/\tau] <: \tau_r \in \Delta' \iff M, \Delta \vdash \alpha \dagger \tau_l <: \tau_r}{M, \Delta \vdash \alpha/\tau \dagger \Delta'}$$

**Definition 8.33.**  $M, \Delta, A \vdash \vec{\delta}$ 

$$\frac{\alpha \notin M \qquad \forall \tau. \ \tau <: \alpha \notin \Delta \qquad M, \Delta, A \vdash \vec{\delta}}{M, \Delta, A \ \alpha \vdash \vec{\delta}}$$

$$\frac{\alpha \notin M \qquad \exists \tau. \ \tau <: \alpha \in \Delta \qquad M, \Delta, A \vdash \vec{\delta}}{M, \Delta, A \ \alpha \vdash \vec{\delta} \ \alpha / \ | \ (\overline{\tau}^{\tau <: \alpha \in \Delta})}$$

**Definition 8.34.**  $\vec{\alpha}, \vec{\alpha} \vdash \vec{\pi} \cong \vec{\tau}$  Constrained Implication Congruence

$$\frac{\vec{\alpha}_f, \vec{\alpha}_m \vdash \vec{\pi} \cong \vec{\tau} \qquad \text{FTV}(\tau_l) = \vec{\alpha}_l \qquad \text{FTV}(\tau_r) = \vec{\alpha}_r}{\Delta \vdash \vec{\alpha}_f \ \vec{\alpha}_m \ \vec{\alpha}_l \ \vec{\alpha}_r \pitchfork \Delta' \qquad \vec{\alpha}_f, \vec{\alpha}_m, \Delta' \vdash \tau_l -> \tau_r \cong^+ \tau}$$
 
$$\frac{\vec{\alpha}_f, \vec{\alpha}_m \vdash \epsilon \cong \epsilon}{\vec{\alpha}_f, \vec{\alpha}_m \vdash \vec{\tau} \ \langle M, \Delta, \tau_l -> \tau_r \rangle \cong \vec{\tau} \ \tau}$$

**Definition 8.35.**  $\vec{\alpha} \vdash \nu\alpha.Z.\alpha \rightarrow \alpha = \mu\alpha.\vec{\tau}$  Fixpoint Duality

TODO: Note the reason for excluding rigids and skolems from quantification is a way to improve precision, but not necessary for soundness. (Right?). Need to conjure up an example to support this idea.

$$\begin{split} \vec{\alpha}_f \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l -> & \alpha_r \vDash \alpha_{h^-} \cdot \mathsf{T} \\ \Delta \vdash \alpha_{h^+} <: \mathsf{T}_h \qquad \Delta \vdash \alpha_l <: \mathsf{T}_l \qquad \Delta \vdash \mathsf{T}_r <: \alpha_r \\ \hline \vec{\tau_l * \tau_r <: \alpha_{h^-}} \vec{\tau_l > \tau_r \in \mathsf{T}_h} = \Delta_h \\ \vec{\alpha}_f \sqcup M \sqcup \mathsf{FTV}(\mathsf{T}_l) \sqcup \mathsf{FTV}(\mathsf{T}_r) \alpha_{h^-} = \mathsf{A} \qquad \Delta \vdash \mathsf{A} \pitchfork \Delta_i \\ \hline \vec{\alpha}_f \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \&(\mathsf{T}_l) * \mid (\mathsf{T}_r) \cong^- \tau \\ \hline \vec{\alpha}_f \vdash \alpha_{h^+} \cdot Z \ \langle M, \Delta \rangle \cdot \alpha_l -> \alpha_r \vDash \alpha_{h^-} \cdot \mathsf{T} \ \tau \end{split}$$

$$\frac{1}{\vec{\alpha}_f \vdash \alpha_{h^+} \cdot \epsilon \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot \epsilon}$$

**Definition 8.36.**  $\triangle \vdash A \pitchfork \triangle$  Influential Filter

$$\frac{\alpha \in A \qquad \alpha \in \mathrm{FTV}(\tau_l) \sqcup \mathrm{FTV}(\tau_r) \qquad \Delta \vdash A \pitchfork \Delta'}{\Delta \tau_l <: \tau_r \vdash N \pitchfork \Delta' \tau_l <: \tau_r}$$

$$\frac{\forall \alpha. \ \alpha \in A \implies \alpha \notin FTV(\tau_l) \sqcup FTV(\tau_r) \qquad \Delta \vdash A \pitchfork \Delta'}{\Delta \ \tau_l <: \tau_r \vdash A \pitchfork \Delta'}$$

**Definition 8.37.**  $\Delta \vdash T <: \alpha$ 

$$\frac{\Delta \vdash T <: \alpha}{\epsilon \vdash \epsilon <: \alpha} \qquad \frac{\tau_r \neq \alpha \qquad \Delta \vdash T <: \alpha}{\Delta \tau <: \alpha \vdash T \tau <: \alpha}$$

**Definition 8.38.**  $\Delta \vdash \alpha \mathrel{<:} T$ 

$$\frac{\Delta \vdash \alpha <: T}{\Delta \vdash \alpha <: \tau} \qquad \frac{\tau_l \neq \alpha \quad \Delta \vdash \alpha <: T}{\Delta \tau_l <: \tau_r \vdash \alpha <: T}$$

**Definition 8.39.** [outer(+|-) = ALL|EXI]

**Definition 8.40.**  $|\operatorname{inner}(+|-) = \operatorname{ALL}|\mathsf{EXI}|$ 

$$inner(+) = ALL \triangleright positive$$
  
 $inner(-) = EXI \triangleright negative$ 

**Definition 8.41.** quantify<sup>+|-</sup>  $(A, \Delta, A, \Delta, \tau) = \tau$ 

$$\begin{array}{rcll} \operatorname{quantify}^{+|-}(\epsilon,\epsilon,\epsilon,\epsilon,\tau) & = & \tau \\ \operatorname{quantify}^{+|-}(\epsilon,\epsilon,A_i,\Delta_i,\tau) & = & \operatorname{inner}(+|-)\left[A_i\Delta_i\right]\tau \\ \operatorname{quantify}^{+|-}(A_o,\Delta_o,\epsilon,\epsilon,\tau) & = & \operatorname{outer}(+|-)\left[A_o\Delta_o\right]\tau \\ \operatorname{quantify}^{+|-}(A_o,\Delta_o,A_i,\Delta_i,\tau) & = & \operatorname{outer}(+|-)\left[A_o\Delta_o\right]\operatorname{inner}(+|-)\left[A_i\Delta_i\right]\tau \end{array}$$

**Definition 8.42.**  $A, A, \Delta \vdash \tau \cong^{+|-|} \tau$ 

$$\frac{\mathbf{A}_{z}, \Delta \vdash \Delta_{o} \wr \Delta_{i}}{(\mathrm{FTV}(\Delta)) \Leftrightarrow \mathbf{A}_{z} = \mathbf{A}_{o} \quad (\mathrm{FTV}(\Delta_{i}) \; \mathrm{FTV}(\tau)) \setminus \mathbf{A}_{z} \setminus \mathbf{A}_{r} = \mathbf{A}_{i}}{\mathrm{quantify}^{+|-}(\mathbf{A}_{o}, \Delta_{o}, \mathbf{A}_{i}, \Delta_{i}, \tau) = \tau'}$$

$$\frac{\mathbf{A}_{r}, \mathbf{A}_{z}, \Delta \vdash \tau \cong^{+|-} \tau'}{\mathbf{A}_{r}, \mathbf{A}_{z}, \Delta \vdash \tau \cong^{+|-} \tau'}$$

**Definition 8.43.**  $A, \Delta \vdash \Delta \wr \Delta$ 

$$\underbrace{ \frac{\text{FTV}(\tau_l) \text{ FTV}(\tau_r) = A_q \qquad \forall \alpha. \ \alpha \in A_q \implies \alpha \in A_z \qquad A_z, \Delta \vdash \Delta_o \wr \Delta_i}_{A_z, \, \alpha \vdash \alpha \vdash \alpha}$$

$$\frac{\text{FTV}(\tau_l) \; \text{FTV}(\tau_r) = \mathbf{A}_q \qquad \alpha \in \mathbf{A}_q \qquad \alpha \notin \mathbf{A}_z \qquad \mathbf{A}_z, \Delta \vdash \Delta_o \wr \Delta_i}{\mathbf{A}_z, \Delta \; \tau_l <: \tau_r \vdash \Delta_o \wr \Delta_i \; \tau_l <: \tau_r}$$

**Definition 8.44.**  $\blacksquare$  *e* 

**Theorem 8.1.** (Typing Soundness)

$$\frac{\vdash e : \tau \dashv Z}{\Vdash e}$$

Proof:

assume  $\vdash e : \tau \dashv Z$ . let  $\vec{\delta} \Gamma' \tau'$  s.t.  $\vec{\delta}, \Gamma' \models e : \tau'$  by Lemma 8.3

```
\vec{\delta}, \vec{\sigma} \models \Gamma' \text{ by ...}
\vdash e[\vec{\sigma}] \text{ by theorem 8.51}
\vdash e[\vec{\sigma}] = e \text{ by ...}
\vdash e \text{ by substitution}
```

**Theorem 8.2.** (Proof typing consistency)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\exists \vec{\delta}. \ \vec{\delta} \models Z}$$

TODO: ...

**Theorem 8.3.** (Proof typing soundness)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\exists \vec{\delta}. \ \vec{\delta}. \ \Gamma \models e : \tau}$$

TODO: ...

**Theorem 8.4.** (Proof typing weak soundness)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\forall \vec{\delta}. \; \vec{\delta} \models Z \implies \vec{\delta}, \Gamma \models e : \tau}$$

TODO: rewrite inductive hypotheses with just the conclusion implied by the case conditions

TODO: rewrite cases with universal/implication in conclusion/hypotheses

Proof:

```
assume \Gamma \vdash e : \tau \dashv Z
      induct on \Gamma \vdash e : \tau \dashv Z
      case e = 0 \tau = 0
             let \vec{\delta} by definition
      . \vec{\delta}, \Gamma \models @: @ by definition
      . \vec{\delta}, \Gamma \models e : \tau by substitution
              \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
      case e = x x : \tau \in \Gamma
     . let \vec{\delta} by definition
     . \vec{\delta}, \Gamma \models x : \tau by definition
   . \vec{\delta}, \Gamma \models e : \tau by substitution
             \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
     case \Gamma \vdash e' : \tau' \dashv Z \tau = \langle l \rangle \tau' e = \langle l \rangle e'
hypo \Gamma \vdash e' : \tau' \dashv Z \implies \vec{\delta}, \Gamma \vDash e' : \tau'
   wrt e' \tau'
  . let \vec{\delta} by definition
      . \vec{\delta}, \Gamma \models e' : \tau' by application
      . \vec{\delta}, \Gamma \models \langle l \rangle e' : \langle l \rangle \tau' by definition
      . \vec{\delta}, \Gamma \models e : \tau by substitution
             \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
      TODO: remaining trivial introduction cases
```

```
case
   hypo
  wrt
  case \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \hookrightarrow Z_1 \quad \tau_0 \lessdot l \rightarrow Z_1 \quad e = e_0 \cdot l \quad \tau = \alpha \quad Z = Z_1
   hypo \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \implies \vec{\delta}, \Gamma \models e_0 : \tau_0
      wrt \vec{\delta} e' l \alpha \tau_0 Z_0 Z_1
     . \vec{\delta}, \Gamma \models e_0 : \tau_0 by application
      . let M \triangle s.t. \tau_0 <: l -> \alpha \dashv M, \Delta by theorem 8.18
      . \vec{\delta} \models \tau_0 <: l \rightarrow \alpha by theorem 8.23
      . \vec{\delta}, \Gamma \models e_0 : l \rightarrow \alpha by theorem 8.19
  \vec{\delta}, \Gamma \models e_0 \cdot l : \alpha by theorem 8.20
     . \vec{\delta}, \Gamma \models e : \tau by substitution
  . \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
   case \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \longrightarrow Z_1 \quad \Gamma \vdash e_1 : \tau_1 \dashv Z_1 \quad e = e_0(e_1) \quad \tau = \alpha \quad Z = Z_2
  Z_1 \hookrightarrow Z_2 \quad \tau_0 <: \tau_1 -> \alpha \dashv Z_2
  hypo \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \implies \vec{\delta}, \Gamma \models e_0 : \tau_0 \quad \Gamma \vdash e_1 : \tau_1 \dashv Z_1 \implies \vec{\delta}, \Gamma \models e_1 : \tau_1
  wrt e_0 e_1 \alpha \tau_0 \tau_1 Z_0 Z_1 Z_2
     . \delta, \Gamma \models e_0 : \tau_0 by application
     . \vec{\delta}, \Gamma \models e_1 : \tau_1 by application
      . let M \triangle s.t. \tau_0 <: \tau_1 -> \alpha + \langle M, \Delta \rangle by theorem 8.18
      . \vec{\delta}, \Gamma \models \tau_0 <: \tau_1 -> \alpha by theorem 8.23
      \vec{\delta} \models e_0 : \tau_1 \rightarrow \alpha by theorem 8.19
      . \vec{\delta}, \Gamma \models e_0(e_1) : \alpha by theorem 8.39
      . \vec{\delta}, \Gamma \models e : \tau by substitution
      . \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau by substitution
      case e = loop(e')
                \tau = \mathsf{ALL}[\alpha_I'] \alpha_I' -> \mathsf{EXI}[\alpha_r' . \alpha_I' * \alpha_r' <: \mathsf{LFLFP}[\alpha_{h^-}] \quad | \ (\mathsf{T}) \ ] \alpha_r
                \Gamma \vdash e' : \alpha_{h^+} \rightarrow \tau' + Z_0 \quad Z_0 \hookrightarrow Z_1 \quad \tau' \lt : \alpha_l \rightarrow \alpha_r + Z_1
    | FTV(\Gamma) \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l - > \alpha_r = \alpha_{h^-} \cdot T
      hypo \forall \vec{\delta}. \ \vec{\delta} \models Z_0 \implies \vec{\delta}, \Gamma \models e' : \alpha_{h^+} -> \tau'
      wrt e' \tau' \alpha_{h^+} \alpha_l \alpha_r \alpha_{h^-} \alpha'_l \alpha'_r T Z_0 Z_1
            for \vec{\delta} assume \vec{\delta} \models Z
     . . \vec{\delta} \models Z_0 by substitution
      . . \vec{\delta}, \Gamma \models e' : \alpha_{h^+} - > \tau' by instantiation and application
      . . \vec{\delta} \models \tau' <: ALL[\alpha'_1]\alpha'_1 -> EXI[\alpha'_r \cdot \alpha'_1 * \alpha'_r <: LFP[\alpha_{h^-}] \mid (T)]\alpha_r by theorem 8.5
  . . \vec{\delta} \models \tau' <: \tau by substitution
. . . \vec{\delta} \models e' : \alpha_{h^+} \rightarrow \tau by theorem 8.19
. . . \delta = loop(e') : \tau by theorem 8.16
. . \vec{\delta} \models e : \tau by substitution
. . \forall \vec{\delta} \cdot \vec{\delta} \models Z \implies \vec{\delta} \models e : \tau by implication and generalization
. \vec{\delta}, \Gamma \models e : \tau by induction
```

**Theorem 8.5.** (Fixpoint duality soundness (new))

$$\frac{\tau <: \alpha_l -> \alpha_r \dashv Z_1 \qquad \text{FTV}(\tau) \subseteq N \qquad \alpha_l \notin N \qquad \alpha_r \notin N \qquad N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot \mathbf{T}}{\vec{\delta} \vdash \tau <: \mathsf{ALL}\left[\alpha_l'\right] \alpha_l' -> \mathsf{EXI}\left[\alpha_r' \cdot \alpha_l' * \alpha_r' <: \mathsf{LFP}\left[\alpha_{h^-}\right] \mid (\mathbf{T})\right] \alpha_r'}$$

**TODO: ...** 

TODO: Cretin's corresponding theorem is Theorem 101 on p. 134 TODO: See how Cretin proves this without using subject reduction

**Theorem 8.6.** (Fixpoint duality soundness old)

**assume**  $Z_0 \hookrightarrow Z_1 \quad N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T$ . **induct on**  $N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T$ 

$$\frac{Z_0 \hookrightarrow Z_1 \qquad N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r \vDash \alpha_{h^-} \cdot \mathsf{T}}{\forall \tau. \ \tau <: \alpha_l -> \alpha_r \dashv Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_r'.\alpha_l' * \alpha_r' <: \mathsf{LFP}[\alpha_{h^-}] \ |\ (\mathsf{T})] \alpha_r' \dashv Z_0}$$

```
Proof:
```

```
case Z_1 = \epsilon T = \epsilon
. . for \tau
. . assume \tau <: \alpha_l -> \alpha_r \dashv Z_1
. . . \tau <: \alpha_l -> \alpha_r + \epsilon by substitution
. . . let M \triangle s.t. \langle M, \Delta \rangle \in \epsilon by theorem 8.14
. . . . \perp by theorem 8.15
. . . \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: ALL[\alpha'_l]\alpha'_l -> EXI[\alpha'_r \cdot \alpha'_l * \alpha'_r <: LFP[\alpha_{h^-}] \mid (T)]\alpha'_r + Z_0 by
implication
. . \forall \tau. \tau <: \alpha_1 -> \alpha_r \dashv Z_1 \implies \tau <: \mathsf{ALL}[\alpha_1'] \alpha_1' -> \mathsf{EXI}[\alpha_1', \alpha_1' + \alpha_2' <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_1' \dashv Z_0 \text{ by}
generalization
      case Z_1 = Z \langle M, \Delta \rangle T = T_i \tau_i
              N \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T_i
             \begin{array}{ll} M, \Delta, \Delta \vdash \alpha_{h^+} <: T_h \quad M, \Delta, \Delta \vdash \alpha_l <: T_l \quad M, \Delta, \Delta \vdash T_r <: \alpha_r \\ \hline \tau_l * \tau_r <: \alpha_{h^-} \\ \hline \tau_l * \tau_r <: \alpha_h \\ \end{array}
               N \sqcup M \sqcup FTV(T_l) \sqcup FTV(T_r) \ \alpha_{h^-} = A \quad \Delta \vdash A \pitchfork \Delta_i
               N \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \&(\mathsf{T}_l) * | (\mathsf{T}_r) \cong^- \tau_i
  hypo N \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l \rightarrow \alpha_r = \alpha_{h^-} \cdot T_i \implies
\forall \tau. \ \tau <: \alpha_l -> \alpha_r + Z \implies \tau <: ALL[\alpha'_t]\alpha'_t -> EXI[\alpha'_t.(\alpha'_t,\alpha'_r) <: LFP[\alpha_{h^-}] \mid (T_i)]\alpha'_r + Z_0
. wrt Z M \Delta T_i \tau_i
. . for \tau assume \tau <: \alpha_l -> \alpha_r \dashv Z_1
. . \tau <: \alpha_l -> \alpha_r \dashv Z \langle M, \Delta \rangle by substitution
. . \tau <: \alpha_l -> \alpha_r + \langle M, \Delta \rangle by theorem 8.17
. . . \tau <: ALL[\alpha'_1]\alpha'_1 -> EXI[\alpha'_r . (\alpha'_1, \alpha'_r) <: LFP[\alpha_{h^-}] | (T_i)]\alpha'_r + Z_0 by instantiation and
application
. . . \tau <: ALL[\alpha'_1]\alpha'_1 -> EXI[\alpha'_r.(\alpha'_1,\alpha'_r) <: LFP[\alpha_{h^-}] \mid (T_i \ \tau_i)]\alpha'_r + Z_0 by theorem 8.7
. . \forall \tau. \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_l'. (\alpha_l', \alpha_l') <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_l' + Z_0
by implication and generalization
. \forall \tau. \ \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_r'. (\alpha_l', \alpha_r') <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_r' + Z_0 \text{ by }
induction
```

□ by implication

**Theorem 8.7.** (Universe proof typing fixpoint extension)

$$\begin{split} \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \, \alpha_l - \mathsf{>EXI}[\alpha_r \, . \, (\alpha_l \, , \alpha_r) <: \mathsf{LFP}[\alpha_{h^-}] \quad | \, (\mathsf{T}_i) \, ] \, \alpha_r \\ &\quad \tau <: \alpha_l - \mathsf{>} \alpha_r \, \dashv \langle M, \Delta \rangle \\ &\quad \Delta \vdash \alpha_{h^+} <: \mathsf{T}_h \qquad \Delta \vdash \alpha_l <: \mathsf{T}_l \qquad \Delta \vdash \mathsf{T}_r <: \alpha_r \\ \hline \tau_l * \tau_r <: \alpha_{h^-} \tau_l - \mathsf{>} \tau_r \in \mathsf{T}_h = \Delta_h \qquad N \sqcup M \sqcup \mathsf{FTV}(\mathsf{T}_l) \sqcup \mathsf{FTV}(\mathsf{T}_r) \, \alpha_{h^-} = \mathsf{A} \\ &\quad \Delta \vdash \mathsf{A} \pitchfork \Delta_i \qquad N \, \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \& (\mathsf{T}_l) * \mid (\mathsf{T}_r) \cong^- \tau_i \\ \hline \vec{\delta} \models \tau <: \mathsf{ALL}[\alpha_l] \, \alpha_l - \mathsf{>EXI}[\alpha_r \, . \, \alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \quad \mid (\mathsf{T}_i \quad \tau_i) \, ] \, \alpha_r \end{split}$$

Proof:

TODO: ...

**Theorem 8.8.** (Universe proof typing case soundness)

$$\begin{split} \tau <: & \alpha_{l} - > \alpha_{r} + \langle M, \Delta \rangle \\ \Delta \vdash & \alpha_{h^{+}} <: T_{h} \qquad \Delta \vdash \alpha_{l} <: T_{l} \qquad \Delta \vdash T_{r} <: \alpha_{r} \\ \hline \overline{\tau_{l} * \tau_{r} <: \alpha_{h^{-}}} \tau_{l^{-}} > \tau_{r} \in T_{h}} &= \Delta_{h} \qquad N \sqcup M \sqcup \mathrm{FTV}(T_{l}) \sqcup \mathrm{FTV}(T_{r}) \; \alpha_{h^{-}} = \mathrm{A} \\ \underline{\Delta \vdash \mathrm{A} \pitchfork \Delta_{i}} \qquad N \; \alpha_{h^{-}}, M, \Delta_{i} \sqcup \Delta_{h} \vdash \&(T_{l}) * \mid (T_{r}) \cong^{-} \tau_{i}} \\ \hline \overline{\mathring{\delta} \vdash \tau} <: \mathrm{ALL}[\alpha_{l}] \alpha_{l} - > \mathrm{EXI}[\alpha_{r} \cdot \alpha_{l} * \alpha_{r} <: \mathrm{LFP}[\alpha_{h^{-}}] \; \tau_{i}] \alpha_{r} \end{split}$$

Proof:

TODO: ...

**Theorem 8.9.** (Universe proof typing fixpoint union)

$$\begin{split} \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r.\alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_r \\ \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r.\alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \mid \tau] \alpha_r \\ \hline \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r.\alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T} \mid \tau)] \alpha_r \end{split}$$

Proof:

TODO: ...

П

Theorem 8.10. Influential soundness

TODO: Prove that any constraints on non-influential variables with have been transitively applied to the influential variables

$$\begin{split} \tau <: \alpha_l -> \alpha_r \dashv M, \Delta & \Delta \vdash \alpha_l <: \mathsf{T}_l & \Delta \vdash \mathsf{T}_r <: \alpha_r \\ \Delta \vdash A \pitchfork \Delta_i & \mathsf{FTV}(\tau) \subseteq A \\ \underline{N, M, \Delta \sqcup \Delta' \dashv \&(\mathsf{T}_l) * \mid (\mathsf{T}_r) \cong^- \tau} & N, M, \Delta_i \sqcup \Delta' \dashv \&(\mathsf{T}_l) * \mid (\mathsf{T}_r) \cong^- \tau_i \\ \hline \vec{\delta} \vDash \tau <: \tau_i \land \vec{\delta} \vDash \tau_i <: \tau \end{split}$$

Proof:

**TODO: ...** 

**Theorem 8.11.** (Universe proof typing implication expansion)

$$\frac{\tau_{l} -> \tau_{r} <: \mathsf{ALL}[\alpha_{l}] \alpha_{l} -> \mathsf{EXI}[\alpha_{r} . (\alpha_{l}, \alpha_{r}) <: (\tau_{l}, \tau_{r})] \alpha_{r} + M, \Delta}{\mathsf{ALL}[\alpha_{l}] \alpha_{r} -> \mathsf{EXI}[\alpha_{r} . (\alpha_{l}, \alpha_{r}) <: (\tau_{l}, \tau_{r})] \alpha_{r} + M, \Delta}$$

Proof:

**TODO:**  $P \Longrightarrow Q$  is equivalent to  $\neg (P \land \neg Q)$  **TODO:**  $X \to Y$  is equivalent to  $\forall x \in X$ .  $\exists y$ .  $(x, y) \in (X \times Y)$ **TODO:**  $X \to Y$  is equivalent to  $\neg (\exists x \in X \land \nexists y \in Y)$ 

Theorem 8.12. (Upper bound interpretation sound)

$$\frac{M, \Delta, \Delta \vdash \alpha \mathrel{<:} \mathsf{T}}{\alpha \mathrel{<:} \&(\mathsf{T}) \dashv \langle M, \Delta \rangle}$$

Proof:

**TODO: ...** 

Theorem 8.13. (Lower bound interpretation sound)

$$\frac{M, \Delta, \Delta \vdash T <: \alpha}{\mid (T) <: \alpha \dashv \langle M, \Delta \rangle}$$

Proof:

**TODO: ...** 

**Theorem 8.14.** (Universe proof typing worldly)

$$\frac{\tau_l <: \tau_r \dashv Z}{\exists M \ \Delta \ . \ \langle M, \Delta \rangle \in Z}$$

Proof:

**TODO: ...** 

Theorem 8.15. (Empty containment absurd)

$$\frac{\langle M, \Delta \rangle \in \epsilon}{}$$

Proof:

**TODO: ...** 

**Theorem 8.16.** (Model typing implication independence)

$$\frac{\vec{\delta}, \Gamma \models e : \tau_l - > \tau_r \qquad \vec{\delta} \models \tau_r}{\vec{\delta}, \Gamma \models \mathsf{loop}(e) : \tau_r}$$

Proof:

TODO: ...

Theorem 8.17. (Proof subtyping decomposition)

$$\frac{\tau_l <: \tau_r \dashv Z \ \langle M, \Delta \rangle}{\tau_l <: \tau_r \dashv \langle M, \Delta \rangle}$$

Proof:

**TODO: ...** 

**Theorem 8.18.** (Proof subtyping choice)

$$\frac{\tau_l <: \tau_r + Z}{\exists M \; \Delta. \; \tau_l <: \tau_r + M, \Delta}$$

Proof:

**TODO: ...** 

**Theorem 8.19.** (Model subtyping elimination)

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \vec{\delta}, \Gamma \models e : \tau_l}{\vec{\delta}, \Gamma \models e : \tau_r}$$

Proof:

**assume**  $\vec{\delta} \models \tau_l <: \tau_r \quad \vec{\delta}, \Gamma \models e : \tau_l$ 

. **invert on**  $\vec{\delta} \models \tau_l <: \tau_r$ 

. case  $\forall e' \ \Gamma' . \ \vec{\delta}, \ \Gamma' \models e' : \tau_l \implies \vec{\delta}, \ \Gamma' \models e' : \tau_r$ 

. .  $\vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r$  by instantiation

. .  $\vec{\delta}$ ,  $\Gamma \models e : \tau_r$  by application

.  $\vec{\delta}$ ,  $\Gamma \models e : \tau_r$  by inversion

□ by implication

**Theorem 8.20.** (Model typing record elimination)

$$\frac{\vec{\delta}, \Gamma \models e : l \rightarrow \tau}{\vec{\delta}, \Gamma \models e . l : \tau}$$

Proof:

assume  $\vec{\delta}$ ,  $\Gamma \models e : l \rightarrow \tau$ 

. induct on  $\vec{\delta}$ ,  $\Gamma \models e : l \rightarrow \tau$ 

. case e = G  $\$l = \gt v \in G$   $\vec{\delta}, \Gamma \models v : \tau \quad \forall v'. \$l = \gt v' \in G \implies v' = v$ 

. **wrt** *G v* 

 $\vec{\delta}$ ,  $\Gamma \models G : l \rightarrow \tau$  by substitution

. .  $G.l \rightsquigarrow v$  by definition

. **let**  $\vec{\sigma}$  **s.t.**  $\vec{\delta}$ ,  $\Gamma \models \vec{\sigma}$  by theorem ??

. .  $G.l[\vec{\sigma}] \rightsquigarrow v$  by definition

. .  $v = v[\vec{\sigma} \sqcup \epsilon]$  by definition

. .  $G.l[\vec{\sigma}] \rightsquigarrow v[\vec{\sigma} \sqcup \epsilon]$  by substitution

. .  $\vec{\delta}$ ,  $\epsilon \models \epsilon$  by definition

 $\vec{\delta}, \Gamma \sqcup \epsilon \models v : \tau$  by definition

. .  $\vec{\delta}$ ,  $\Gamma \models G \cdot l : \tau$  by definition

 $\vec{\delta}$ ,  $\Gamma \models e \cdot l : \tau$  by substitution

 $\mathbf{case}\ \vec{\delta}, \vec{\sigma} \models \Gamma \quad e[\vec{\sigma}] \leadsto e'[\vec{\sigma} \sqcup \vec{\sigma}'] \quad \vec{\delta}, \vec{\sigma}' \models \Gamma' \quad \vec{\delta}, \Gamma \sqcup \Gamma' \models e' : l -> \tau$ 

. **hypo**  $\vec{\delta}$ ,  $\Gamma \sqcup \Gamma' \models e' : l \rightarrow \tau \implies \vec{\delta}$ ,  $\Gamma \sqcup \Gamma' \models e' . l : \tau$ 

. wrt  $\vec{\sigma}$  e'  $\vec{\sigma}'$   $\Gamma'$ 

. .  $\vec{\delta}$ ,  $\Gamma \sqcup \Gamma' \models e' . l : \tau$  by application

. .  $e[\vec{\sigma}] \cdot l \rightsquigarrow e'[\vec{\sigma} \sqcup \vec{\sigma}'] \cdot l$  by definition

```
. . e[\vec{\sigma}] \cdot l = e \cdot l[\vec{\sigma}] by definition

. . e'[\vec{\sigma} \sqcup \vec{\sigma}'] \cdot l = e' \cdot l[\vec{\sigma} \sqcup \vec{\sigma}'] by definition

. . e \cdot l[\vec{\sigma}] \leadsto e' \cdot l[\vec{\sigma} \sqcup \vec{\sigma}'] by substitution

. . \vec{\delta}, \Gamma \models e \cdot l : \tau by definition

. . \vec{\delta}, \Gamma \models e \cdot l : \tau by induction
```

Theorem 8.21. (Proof subtyping consistency)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\exists \vec{\delta}. \ \vec{\delta} \models \Lambda}$$

**Theorem 8.22.** (Proof subtyping soundness)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\exists \vec{\delta}. \ \vec{\delta} \models \tau_l <: \tau_r}$$

**Theorem 8.23.** (Proof subtyping weak soundness)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\forall \vec{\delta}. \, \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r}$$

TODO: skolems simply remove variables from soundness consideration Proof:

TODO: think about how to handle the mutually recursive definition assume  $\tau_l <: \tau_r \dashv M, \Delta$ **induct on**  $\tau_l <: \tau_r \dashv M, \Delta$ case  $\tau_l = \tau$   $\tau_r = \tau$ wrt  $\tau$ . for  $\vec{\delta}$  assume  $\vec{\delta} \models \Delta$ . .  $\vec{\delta} \models \tau <: \tau$  by theorem 8.37 . .  $\vec{\delta} \models \tau_l <: \tau_r$  by substitution .  $\forall \vec{\delta} \cdot \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r$  by implication and generalization case  $\tau_l = BOT$ . for  $\vec{\delta}$  assume  $\vec{\delta} \models \Delta$ . .  $\vec{\delta} \models \mathsf{BOT} <: \tau_r$  by definition . .  $\vec{\delta} \models \tau_l <: \tau_r$  by substitution .  $\forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r$  by implication and generalization case  $\tau_r = \mathsf{TOP}$ . for  $\vec{\delta}$  assume  $\vec{\delta} \models \Delta$ . .  $\vec{\delta} \models \tau_l <: \mathsf{TOP}$  by definition . .  $\vec{\delta} \models \tau_l <: \tau_r$  by substitution .  $\forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r$  by implication and generalization case  $\tau_r = l - > (\tau_{rl} \& \tau_{rr})$   $\tau_l <: (l - > \tau_{rl}) \& (l - > \tau_{rr}) + M, \Delta$ **hypo**  $\forall \vec{\delta}. \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: (l -> \tau_{rl}) \& (l -> \tau_{rr})$ wrt  $l \tau_{rl} \tau_{rr}$ for  $\vec{\delta}$  assume  $\vec{\delta} \models \Delta$ . .  $\vec{\delta} \models \tau_l <: (l - > \tau_{rl}) \& (l - > \tau_{rr})$  by application . . for  $e \Gamma$  assume  $\vec{\delta}$ ,  $\Gamma \models e : \tau_1$ 

 $\vec{\delta}$ ,  $\Gamma \models e : (l \rightarrow \tau_{rl}) \& (l \rightarrow \tau_{rr})$  by theorem 8.38

```
. . . \vec{\delta}, \Gamma \models e : l \rightarrow \tau_{rl} by theorem 8.36
  . . . \vec{\delta}, \Gamma \models e : l \rightarrow \tau_{rr} by theorem 8.36
. . . \vec{\delta}, \Gamma \models e \cdot l : \tau_{rl} by theorem 8.20
. . . \vec{\delta}, \Gamma \models e \cdot l : \tau_{rr} by theorem 8.20
. . . \vec{\delta}, \Gamma \models e.l : \tau_{rl} \& \tau_{rr} by definition
   . . . \vec{\delta}, \Gamma \models e : l \rightarrow (\tau_{rl} \& \tau_{rr}) by theorem 8.34
      . . \vec{\delta}, \Gamma \models e : \tau_r by substitution
. . . \forall e \ \Gamma. \ \vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r by implication and generalization
  . . \vec{\delta} \models \tau_l <: \tau_r by definition
  . \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by implication and generalization}
. case \tau_l = \tau_{ll} \mid \tau_{lr} M = M_1 \Delta = \Delta_1
. | \tau_{ll} <: \tau_r \dashv M_0, \Delta_0 M_0 \preceq M_1 \Delta_0 \preceq \Delta_1 \tau_{lr} <: \tau_r \dashv M_1, \Delta_1
. hypo \forall \vec{\delta}. \ \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models \tau_{ll} <: \tau_r \quad \forall \vec{\delta}. \ \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models \tau_{lr} <: \tau_r
. wrt \tau_{ll} \ \tau_{lr}
. . for \vec{\delta} assume M, \vec{\delta} \models \Delta
. . \vec{\delta} \models \Delta_1 by substitution
. . . \vec{\delta} \models \tau_{lr} <: \tau_r by application
   . . \vec{\delta} \models \Delta_0 by theorem ?? TODO: ...
   . . \vec{\delta} \models \tau_{ll} <: \tau_r by application
  . . \vec{\delta} \models \tau_{ll} \mid \tau_{lr} <: \tau_r by definition
. . . \vec{\delta} \models \tau_l <: \tau_r by substitution
. . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_I <: \tau_r by implication and generalization
  case \tau_r = \tau_{rl} \& \tau_{rr} M = M_1 \Delta = \Delta_1
      | \tau_{l} <: \tau_{rl} + M_{0}, \Delta_{0} \quad M_{0} \leq M_{1} \quad \Delta_{0} \leq \Delta_{1} \quad \tau_{l} <: \tau_{rr} + M_{1}, \Delta_{1} 
 \mathbf{hypo} \ \forall \vec{\delta}. \ \vec{\delta} \models \Delta_{0} \implies \vec{\delta} \models \tau_{l} <: \tau_{rl} \quad \forall \vec{\delta}. \ \vec{\delta} \models \Delta_{1} \implies \vec{\delta} \models \tau_{l} <: \tau_{rr} 
   . for \vec{\delta} assume \vec{\delta} \models \Delta
      . . \vec{\delta} \models \Delta_1 by substitution
. . . \vec{\delta} \models \tau_l <: \tau_{rr} by instantiation and application
     . . \vec{\delta} \models \Delta_0 by theorem ?? TODO: ...
      . . \vec{\delta} \models \tau_l <: \tau_{rl} by instantiation and application
     . . \vec{\delta} \models \tau_l <: \tau_{rl} \& \tau_{rr} by definition
      . . \vec{\delta} \models \tau_l <: \tau_r by substitution
            \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_I <: \tau_r \text{ by implication and generalization}
    case
  hypo
            TODO: ...
    case
   hypo
  wrt
. . TODO: ...
   case \tau_l = \text{EXI}[A \ Q] \tau_b \quad M = M_1 \quad \Delta = \Delta_1
   Q \dashv M_0, \Delta_0 \quad A \# \tau_r \quad M_0 \sqcup A \preceq M_1 \quad \Delta_0 \preceq \Delta_1 \quad \tau_b <: \tau_r \dashv M_1, \Delta_1
```

```
hypo \forall \vec{\delta} . \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models M_1, \tau_b <: \tau_r
     mutu \forall \vec{\delta}. \ \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models Q by theorem ?? TODO: sequence soundness / mutual
dependence
     wrt A Q \tau_b M_1 \Delta_1 M_0 \Delta_0
            for \vec{\delta} assume \vec{\delta} \models \Delta
           . \vec{\delta} \models \Delta_1 by substitution
      . . \vec{\delta} \models \tau_b <: \tau_r by application
          . \vec{\delta} \models \Delta_0 by theorem 8.28
     . . \vec{\delta} \models Q by application
     . . for e assume \vec{\delta} \models e : \tau_1
      . . . \vec{\delta} \models e : \mathsf{EXI}[A \ \mathcal{O}] \tau_b by substitution
     . . . \vec{\delta} \models e : \tau_r by theorem 8.24
    . . \forall e. \vec{\delta} \models e : \tau_l \implies \vec{\delta} \models e : \tau_r
     . . \vec{\delta} \models \tau_l <: \tau_r by definition
     . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
   case \tau_r = ALL[A \ Q]\tau_b \quad M = M_1 \quad \Delta = \Delta_1
               Q \dashv M_0, \Delta_0 \quad A \# \tau_l \quad M_0 \sqcup A \preceq M_1 \quad \Delta_0 \preceq \Delta_1 \quad \tau_l <: \tau_b \dashv M_1, \Delta_1
     hypo \forall \vec{\delta}. \ \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models \tau_l <: \tau_b
     mutu \forall \vec{\delta}. \ \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models Q by theorem ?? TODO: sequence soundness / mutual
dependence
. wrt A Q \tau_b M_1 \Delta_1 M_0 \Delta_0
. . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \Delta_1 by substitution
     . . \vec{\delta} \models \tau_l <: \tau_b by application
     . . \vec{\delta} \models \Delta_0 by theorem 8.28
     . . \vec{\delta} \models Q by application
     . . for e assume \vec{\delta} \models e : \tau_1
      . . . \vec{\delta} \models e : ALL[A \ Q]\tau_b by theorem 8.25
     . . . \vec{\delta} \models e : \tau_r by substitution
     . \forall e. \ \vec{\delta} \models e : \tau_l \implies \vec{\delta} \models e : \tau_r
     . . \vec{\delta} \models \tau_l <: \tau_r by definition
      . \forall \vec{\delta} \cdot \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_I <: \tau_r by implication and generalization
   . TODO: ...
     case \tau_l = \alpha M = M_1 \Delta = \Delta_1 \alpha <: \tau_r
              \alpha \notin M_0 M_0, \Delta_0 \vdash \Delta_m <:^{\sharp} \alpha/\tau_r M_0, \Delta_0 \vdash T <:^{\dagger} \alpha M_0 \preceq M_1
               \Delta_0 \sqcup \Delta_m \preceq \Delta_1 \quad | (T) <: \tau_r \dashv M_1, \Delta_1
   hypo \forall \vec{\delta}. \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models | (T) <: \tau_r
      wrt \alpha M_1 \Delta_1 M_0 \Delta_0 \Delta_m T
      . for \vec{\delta} assume \vec{\delta} \models \Delta
      . . \vec{\delta} \models \Delta_1(\alpha <: \tau_r) by substitution
     . . \vec{\delta} \models \alpha <: \tau_r by theorem 8.26
     . . \vec{\delta} \models \tau_l <: \tau_r by substitution
        \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by implication and generalization}
           TODO: ...
```

```
case
   hypo
   wrt
 . TODO: ...
   case
  hypo
  wrt
. TODO: ...
  case
  hypo
  wrt
. TODO: ...
   case
  hypo
  wrt
. TODO: ...
   case
. hypo
  wrt
. TODO: ...
   case
  hypo
  wrt
. TODO: ...
  case
. hypo
 wrt
. TODO: ...
   case
  hypo
 wrt
. TODO: ...
  case
. hypo
 wrt
 ... TODO: ...
   \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by induction}
□ by implication
```

**Theorem 8.24.** Model typing existential elimination

$$\frac{\vec{\delta} \vDash e : \mathsf{EXI} \left[ \mathsf{A} \ \mathcal{Q} \right] \tau_l \qquad \vec{\delta} \vDash \mathcal{Q} \qquad \vec{\delta} \vDash \tau_l <: \tau_r \qquad \mathsf{A} \# \tau_r}{\vec{\delta} \vDash e : \tau_r}$$

Proof:

TODO: depends on proof subtyping. can we abstract away proof subtyping?

**Theorem 8.25.** Model typing universal introduction

$$\frac{\vec{\delta} \models e : \tau_l \qquad \vec{\delta} \models Q \qquad \vec{\delta} \models \tau_l <: \tau_r \qquad A \# \tau_l}{\vec{\delta} \models e : \mathsf{ALL}[A \ Q] \tau_r}$$

Proof:

TODO: depends on proof subtyping. can we abstract away proof subtyping?

**Theorem 8.26.** Model subtyping sequence last

$$\frac{\vec{\delta} \models \Delta \ (\tau_l <: \tau_r)}{\vec{\delta} \models \tau_l <: \tau_r}$$

Proof:

TODO: ...

**Theorem 8.27.** Model subtyping sequence reduction

$$\frac{\vec{\delta} \models \Delta \delta}{\vec{\delta} \models \Delta}$$

Proof:

**TODO: ...** 

Theorem 8.28. Model subtyping sequence prefix

$$\frac{\vec{\delta} \models \Delta' \qquad \Delta \preceq \Delta'}{\vec{\delta} \models \Delta}$$

Proof:

TODO: ...

**Theorem 8.29.** Model subtyping sequence uncat

$$\frac{\vec{\delta} \models \Delta \sqcup \Delta'}{\vec{\delta} \models \Delta}$$

Proof:

TODO: ...

**Theorem 8.30.** concatenation prefix

$$\overline{\Delta \preceq \Delta \sqcup \Delta'}$$

Proof:

TODO: ...

**Theorem 8.31.** Model subtyping unsub left

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \alpha/\tau_l \in \vec{\delta}}{\vec{\delta} \models \alpha <: \tau_r}$$

Proof:

TODO: ...

**Theorem 8.32.** Model subtyping unsub right

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \alpha/\tau_r \in \vec{\delta}}{\vec{\delta} \models \tau_l <: \alpha}$$

Proof:

TODO: ...

Theorem 8.33. Model subtyping something

$$\frac{\vec{\delta} \models \Delta \qquad M, \Delta \vdash T <: ^{\sharp} \alpha}{\alpha / \mid (T) \in \vec{\delta}}$$

Proof:

**TODO: ...** 

Theorem 8.34. Model typing record introduction

$$\frac{\vec{\delta} \models e.l : \tau}{\vec{\delta} \models e:l \to \tau}$$

Proof:

TODO: ...

Theorem 8.35. Model typing implication introduction TODO: this is really messed up

$$\frac{\vec{\delta} \models e_0\left(e_1\right) : \tau_r \qquad \vec{\delta} \models e_1 : \tau_l \qquad \forall \tau. \ \vec{\delta} \models e_1 : \tau \implies \tau_l <: \tau}{\vec{\delta} \models e_0 : \tau_l -> \tau_r}$$

Proof:

TODO: ...

**Theorem 8.36.** Model typing intersection elimination

$$\frac{\vec{\delta} \models e : \tau_l \& \tau_r}{\vec{\delta} \models e : \tau_l \land \vec{\delta} \models e : \tau_r}$$

Proof:

TODO: ...

**Theorem 8.37.** Model typing reflexivity

$$\frac{\phantom{a}}{\vec{\delta} \models \tau <: \tau}$$

Proof:

**for**  $e \Gamma$  **assume**  $\vec{\delta}$ ,  $\Gamma \models e : \tau$ 

.  $\vec{\delta}$ ,  $\Gamma \models e : \tau$  by identity

 $\forall e \; \Gamma. \; \vec{\delta}, \Gamma \models e : \tau \implies \vec{\delta}, \Gamma \models e : \tau \text{ by implication and generalization}$   $\Box$  by definition

Theorem 8.38. (Model typing subsumption)

$$\frac{\vec{\delta}, \Gamma \models e : \tau_l \qquad \vec{\delta}, \Gamma \models \tau_l <: \tau_r}{\vec{\delta}, \Gamma \models e : \tau_r}$$

Proof:

```
assume \vec{\delta}, \Gamma \models e : \tau_l \vec{\delta}, \Gamma \models \tau_l <: \tau_r

. invert on \vec{\delta}, \Gamma \models \tau_l <: \tau_r

. case \forall e' : \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r

. . \forall e' : \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r by identity

. \forall e' : \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r by inversion

. \vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r by instantiation

. \vec{\delta}, \Gamma \models e : \tau_r by application

□ by implication
```

Theorem 8.39. (Model typing implication elimination)

$$\frac{\vec{\delta}, \Gamma \models e_0 : \tau_l \rightarrow \tau_r \qquad \vec{\delta}, \Gamma \models e_1 : \tau_l}{\vec{\delta}, \Gamma \models e_0 (e_1) : \tau_r}$$

```
assume \vec{\delta}, \Gamma \models e_0 : \tau_l \rightarrow \tau_r \vec{\delta}, \Gamma \models e_1 : \tau_l
      let \vec{\sigma} s.t. \vec{\delta}, \vec{\sigma} \models \Gamma by theorem ??
      induct on \vec{\delta}, \Gamma \models e_0 : \tau_l \rightarrow \tau_r
      case TODO: ...
     case e_0 = F \$ p = > e_2 \quad \vec{\delta}, \Gamma \models F : \tau_l - > \tau_r
      hypo \vec{\delta}, \Gamma \models F(e_1) : \tau_r
      wrt F p e_2
            \models F(e_1)[\vec{\sigma}] by theorem 8.51
            invert on \models F(e_1)[\vec{\sigma}]
        case F(e_1)[\vec{\sigma}] = v
         . F(e_1)[\vec{\sigma}] \neq v by definition
                   ⊥ by application
            case (F(e_1))[\vec{\sigma}] \rightsquigarrow e_3 \quad \vec{\delta}, \Gamma \models e_3 : \tau_r
             wrt ea
            . (F(e_1))[\vec{\sigma}] = F[\vec{\sigma}](e_1[\vec{\sigma}]) by definition
           . F[\vec{\sigma}](e_1[\vec{\sigma}]) \rightsquigarrow e_3 by substitution
           . let F' s.t. F[\vec{\sigma}] = F' by theorem ?? TODO: ...
            . let e'_1 s.t. e_1[\vec{\sigma}] = e'_1 by theorem ?? TODO: ...
            . FV(e_2[\vec{\sigma} \setminus FV(p)]) \subseteq FV(p) by theorem ?? TODO: ...
            . F'(e'_1) \rightsquigarrow e_3 by substitution
         . (F' p = e_2[\vec{\sigma} \setminus FV(p)]) (e'_1) \rightsquigarrow e_3 by definition
         . (F[\vec{\sigma}] p = e_2[\vec{\sigma} \setminus FV(p)]) (e_1[\vec{\sigma}]) \rightsquigarrow e_3 by substitution
           . ((F \not p = > e_2)(e_1))[\vec{\sigma}] = (F[\vec{\sigma}] \not p = > e[\vec{\sigma} \setminus FV(p)])(e_1[\vec{\sigma}]) by definition
      . . ((F p = e_2) (e_1)) [\vec{\sigma}] \rightsquigarrow e_3 by substitution
                  \vec{\delta}, \Gamma \models (F p = e) (e_1) : \tau_r by definition
      . \vec{\delta}, \Gamma \models (F p = e) (e_1) : \tau_r by inversion
     case \vec{\delta}, \vec{\sigma} \models \Gamma e_0[\vec{\sigma}] \rightsquigarrow e'_0 \vec{\delta}, \Gamma \models e'_0 : \tau_l - \tau_r

hypo \vec{\delta}, \Gamma \models e'_0 : \tau_l - \tau_r \implies \vec{\delta}, \Gamma \models e'_0 (e_1) : \tau_r
      wrt \vec{\sigma} e'_0
  . \vec{\delta}, \Gamma \models e'_0(e_1) : \tau_r by application
   e_0[\vec{\sigma}](e_1) \rightsquigarrow e'_0(e_1)
      . (e_0(e_1))[\vec{\sigma}] \rightsquigarrow e'_0(e_1)
```

```
. . \vec{\delta}, \Gamma \models e_0(e_1) : \tau_r

. \vec{\delta}, \Gamma \models e_0(e_1) : \tau_r by induction
```

Theorem 8.40. Model typing reduced implication elimination

```
\frac{\vec{\delta}, \Gamma \models (F \$ p = > e) : \tau_l -> \tau_r \qquad \vec{\delta}, \Gamma \models e_1 : \tau_l \qquad F = \epsilon \lor \vec{\delta}, \Gamma \models F(e_1) : \tau_r}{\vec{\delta}, \Gamma \models (F \$ p = > e) (e_1) : \tau_r}
assume \models e_1[\vec{\sigma}]
     let \vec{\sigma} s.t. \vec{\delta}, \vec{\sigma} \models \Gamma by theorem 8.50
     \models e_1[\vec{\sigma}] by theorem 8.51
  induct on \models e_1[\vec{\sigma}]
. case e_1[\vec{\sigma}] = v_1
. . \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by theorem 8.41
   case e_1[\vec{\sigma}] \rightsquigarrow e'_1 \models e'_1
     hypo \vDash e'_1 \Longrightarrow \vec{\delta}, \Gamma \vDash (F \not p = > e) (e'_1) : \tau_r
      wrt e'_1
      . \vec{\delta}, \Gamma \models (F \not p = > e) (e'_1) : \tau_r by application
      . (F p = e) [\vec{\sigma}] (e_1 [\vec{\sigma}]) \rightsquigarrow (F p = e) [\vec{\sigma}] (e'_1) by definition
      . \forall x. \ x \notin \mathbf{FV}(e_1') by theorem 8.48
      . e'_1 = e'_1[\vec{\sigma}] by by theorem 8.49
      . (F$p=>e)[\vec{\sigma}](e_1[\vec{\sigma}]) \rightsquigarrow (F$p=>e)[\vec{\sigma}](e_1'[\vec{\sigma}]) by substitution
      . ((F^p)=e)(e_1)[\vec{\sigma}] \rightsquigarrow ((F^p)=e)(e_1)[\vec{\sigma}] by definition
     . \vec{\sigma} \sqcup \epsilon = \vec{\sigma} by definition
  . ((F p = e) (e_1))[\vec{\sigma}] \rightsquigarrow ((F p = e) (e'_1))[\vec{\sigma} \sqcup \epsilon] by substitution
      . \Gamma \sqcup \epsilon = \Gamma by definition
. . \delta, \epsilon \models \epsilon by definition
  . \vec{\delta}, \Gamma \sqcup \epsilon \models (F \not p = > e) (e'_1) by substitution
      . \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by definition
      \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by induction
□ by implication
```

**Theorem 8.41.** Model typing fully reduced implication elimination

$$\frac{\vec{\delta}, \Gamma \vDash (F \$ p \Rightarrow e) : \tau_{I} \rightarrow \tau_{r}}{\vec{\delta}, \Gamma \vDash F(e_{1}) : \tau_{r} \qquad \vec{\delta} \vDash e_{1} : \tau_{I} \qquad \vec{\delta}, \vec{\sigma} \vDash \Gamma \qquad e_{1}[\vec{\sigma}] = v_{1}}{\vec{\delta}, \Gamma \vDash (F \$ p \Rightarrow e) (e_{1}) : \tau_{r}}$$

Proof:

```
assume F = \epsilon \lor \vec{\delta}, \Gamma \models F : \tau_l -> \tau_r TODO: add more assumptions

. \vec{\delta} \models e_1[\vec{\sigma}] : \tau_l by theorem 8.45

. \vec{\delta} \models v_1 : \tau_l by substitution

. invert on F = \epsilon \lor \vec{\delta}, \Gamma \models F : \tau_l -> \tau_r

. case F = \epsilon

. \vec{\delta}, \Gamma \models F \not\models p => e : \tau_l -> \tau_r by theorem ??
```

```
\vec{\delta}, \Gamma \models p = e : \tau_l - \tau_r by substitution
             let \vec{\sigma}' s.t. p \equiv v_1 + \vec{\sigma}' by theorem 8.46
             for e'
                    \neg \epsilon[\vec{\sigma}](v_1) \rightsquigarrow e' by definition
                   \neg F[\vec{\sigma}](v_1) \rightsquigarrow e' by substitution
             \forall e'. \neg F[\vec{\sigma}](v_1) \rightsquigarrow e' by generalization
             (F[\vec{\sigma}] p = e[\vec{\sigma} \setminus FV(p)]) (v_1) \rightsquigarrow e[\vec{\sigma} \setminus FV(p)][\vec{\sigma}] by definition
             \forall x. \ x \in \mathbf{FV}(p) \iff x \in \mathbf{dom}(\vec{\sigma}') \text{ by theorem } 8.42
             \vec{\sigma} \backslash \mathbf{FV}(p) = \vec{\sigma} \backslash \mathbf{dom}(\vec{\sigma}') by theorem 8.43
             e[\vec{\sigma} \setminus \mathbf{dom}(\vec{\sigma}')][\vec{\sigma}'] = e[\vec{\sigma} \sqcup \vec{\sigma}'] by theorem 8.44
             (F[\vec{\sigma}] p = e[\vec{\sigma} \setminus FV(p)]) (v_1) \rightsquigarrow e[\vec{\sigma} \setminus dom(\vec{\sigma}')][\vec{\sigma}] by substitution
             (F[\vec{\sigma}] p = e[\vec{\sigma} \setminus FV(p)]) (v_1) \rightsquigarrow e[\vec{\sigma} \sqcup \vec{\sigma}'] by substitution
             (F p = e) [\vec{\sigma}] (v_1) \rightsquigarrow e[\vec{\sigma} \sqcup \vec{\sigma}'] by definition
           (F p = e) [\vec{\sigma}] (e_1 [\vec{\sigma}]) \rightsquigarrow e [\vec{\sigma} \sqcup \vec{\sigma}'] by substitution
             (F p = e) [\vec{\sigma}] (e_1 [\vec{\sigma}]) = ((F p = e) (e_1)) [\vec{\sigma}] by definition
             ((F p = e) (e_1))[\vec{\sigma}] \rightsquigarrow e[\vec{\sigma} \sqcup \vec{\sigma}'] by substitution
             \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by definition
      case \vec{\delta}, \Gamma \models F(e_1) : \tau_r
             let e' s.t. (F(e_1))[\vec{\sigma}] \rightsquigarrow e' \land \vec{\delta}, \Gamma \models e' : \tau_r by theorem ??
             ((F p = e) (e_1))[\vec{\sigma}] \rightsquigarrow e' by definition
             \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by definition
       \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by inversion
□ by implication
```

**Theorem 8.42.** (Pattern matching consistency)

$$\frac{p \equiv v \dashv \vec{\sigma}}{\forall x. \ x \in \mathbf{FV}(p) \iff x \in \mathbf{dom}(\vec{\sigma})}$$

Proof:

**TODO: ...** 

**Theorem 8.43.** (Consistency diffing)

$$\frac{\forall x. \ x \in X_l \iff x \in X_r}{\vec{\sigma} \backslash X_l = \vec{\sigma} \backslash X_r}$$

Proof:

TODO: ...

**Theorem 8.44.** (Concatenation Substitution )

$$\overline{e[\vec{\sigma} \backslash \mathbf{dom}(\vec{\sigma}')][\vec{\sigma}']} = e[\vec{\sigma} \sqcup \vec{\sigma}']$$

Proof:

**TODO: ...** 

**Theorem 8.45.** (Model typing valuation)

$$\frac{\vec{\delta}, \Gamma \models e : \tau \qquad \vec{\delta}, \vec{\sigma} \models \Gamma}{\vec{\delta} \models e[\vec{\sigma}] : \tau}$$

Proof:

assume  $\vec{\delta}$ ,  $\Gamma \models e : \tau \quad \vec{\delta}$ ,  $\vec{\sigma} \models \Gamma$ 

TODO: ...

**Theorem 8.46.** (Model typing pattern matching)

$$\frac{\vec{\delta}, \Gamma \models \$p{=}{>}e : \tau_l{\,}{-}{>}\tau_r \qquad \vec{\delta} \models v : \tau_l}{\exists \vec{\sigma}.\ p \equiv v \dashv \vec{\sigma}}$$

Proof:

 $\mathbf{assume}\ \vec{\delta}, \Gamma \models \$p{=}{>}e : \tau_l {\:\raisebox{3.5pt}{\text{--}}}{>} \tau_r \quad \vec{\delta} \models v : \tau_l$ 

TODO: ...

**Theorem 8.47.** (Well-formed function valuation)

$$\frac{\vdash F}{\exists v.\ v = F}$$

Proof.

assume  $\models F$ 

- . invert on  $\models F$
- . case v = F
- . v = F by identity
- . case  $F \rightsquigarrow e$
- . wrt e
- . .  $\neg F \rightsquigarrow e$  by definition
- . . ⊥ by application
- v = F by inversion

Theorem 8.48. (Reduction closed)

$$\frac{e \rightsquigarrow e'}{\forall x. \ x \notin \mathbf{FV}(e')}$$

Proof:

assume  $e \rightsquigarrow e'$ 

**TODO: ...** 

Theorem 8.49. (Closed substitution)

$$\frac{\forall x. \ x \notin \mathbf{FV}(e)}{e = e[\vec{\sigma}]}$$

Proof:

assume  $\forall x. x \notin FV(e)$ 

```
TODO: ...
```

**Theorem 8.50.** (Model typing assignability)

$$\frac{\vec{\delta}, \Gamma \models e : \tau}{\exists \vec{\sigma}. \ \vec{\delta}, \vec{\sigma} \models \Gamma}$$

Proof:

TODO: ...

**Theorem 8.51.** (Model typing soundness)

$$\frac{\vec{\delta}, \Gamma \models e : \tau}{\forall \vec{\sigma}. \ \vec{\delta}, \vec{\sigma} \models \Gamma \implies \Vdash e[\vec{\sigma}]}$$

Proof:

TODO: redo using universal/implication

```
assume \vec{\delta}, \vec{\sigma} \models \Gamma \quad \vec{\delta}, \Gamma \models e : \tau
     case e = 0
     . let v s.t. @=v
           e[\vec{\sigma}] = v
  . ⊫e[σੋ]
     case \vec{\delta}, \Gamma \models e' : \tau' \quad e = \langle l \rangle e' \quad \tau = \langle l \rangle \tau'
     . \Vdash e' by induction hypothesis
           case e'[\vec{\sigma}] = v
     . . let v' s.t. < l > v = v'
   . \langle l \rangle e'[\vec{\sigma}] = v'
     . (\langle l \rangle e')[\vec{\sigma}] = v'
     . . e[\vec{\sigma}] = v'
     . . \models e[\vec{\sigma}]
     . case e'[\vec{\sigma}] \rightsquigarrow e'' \models e''
     . \langle l \rangle e'[\vec{\sigma}] \rightsquigarrow \langle l \rangle e''
  . . ⊫ <l>e''
  . . ⊫ <l>e' [σ̄]
. . \Vdash (\langle l \rangle e')[\vec{\sigma}]
. . . \Vdash e[\vec{\sigma}]
            \models e[\vec{\sigma}] by cases on \models e'
     TODO: remaining introduction cases
     case x : \tau \in \Gamma  x/v \in \vec{\sigma}  e = x
  x[\vec{\sigma}] = v
     e[\vec{\sigma}] = v
  . ⊫ e[σ]
     case e[\vec{\sigma}] \rightsquigarrow e' \quad \vec{\delta}, \Gamma \models e' : \tau
     . \Vdash e'[\vec{\sigma}] by induction hypothesis
            \models e[\vec{\sigma}]
     \models e[\vec{\sigma}] by induction on \vec{\delta}, \Gamma \models e : \tau
```

TODO: Cretin's corresponding theorem is by definition of pretypes on p. 125

**NOTE:** The induction hypothesis includes the generalized assumption, e.g.  $\forall e'$ .  $e' < e \implies Q(e')$  if inducting on e or  $\forall e'$ .  $(P(e') \implies P(e)), P(e') \implies Q(e')$  if inducting on predicate P

**NOTE:** we induct on  $\vec{\delta}$ ,  $\Gamma \models e : \tau$  instead of e, as the predicate acts as a guard/ordering in lieu of a decreasing e. This allows us to use the induction hypothesis on the reduction step result in the elimination case.

**NOTE:** Kozen says, "Intuitively, one can appeal to the coinductive hypothesis as long as there has been progress in observing the elements of the stream (guardedness) and there is no further analysis of the tails (opacity)". Kozen demonstrates a legal proof by induction on infinite streams too

**Definition 8.45.** 
$$\vec{\delta}, \vec{\sigma} \models \Gamma$$
 
$$\frac{\vec{\delta}, \vec{\sigma} \models \Gamma \qquad \vec{\delta} \models v : \tau}{\vec{\delta}, \vec{\sigma} \lor \vec{c}}$$
  $\vec{\delta}, \vec{\sigma} \lor \vec{c} \lor \vec{c}$