# **Extrinsic Relational Subtyping**

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#### 1 INTRODUCTION

Context. Automatically catching errors in programs is a hard enough problem that many languages require users to provide simple specifications to limit that space of correctness. Languages, such as Java and ML, are intrinsically typed, requiring nearly all terms to be associated with some type specified by the user. The clever design of ML allows annotations to be fairly sparse by having types specified at constructor definitions and relying on type inference elsewhere. However, one of the drawbacks of intrinsically typed languages is that they prevent reusing of constructors in contexts that are less precise than their intrinsic specifications. For instance, a cons constructor belonging to a list datatype could not be considered amongst a leaf constructor defined as belong to a tree datatype. The user would have to define a new datatype that includes both isomorphs of both cons and leaf and also write functions that translate between the isomorphs and the list and tree constructors. This not only bloats the codebase, but hurts either the runtime or compiler performance.

For various reasons that may include the reusability drawbacks, intrinsically typed languages have lost favor, and untyped or *extrinsically typed* languages, such as Javascript and Python, have increased in popularity. Untyped languages place less initial burden on the programmer to define the upper bounds on specific combinations of constructors. The flexibility and reusability of writing code that doesn't have to fit some predefined restriction may be seen as one of the benefits of these extrinsically typed languages over the well-studied intrinsically-typed languages. Unfortunately, this freedom makes static analysis or type inference much more challenging.

Despite the ever increasing use of untyped languages in production systems, the need to automatically verify precise and expressive properties of systems has never been greater. To this end, researchers have extended the simple types (such as those found in ML) into *refinement types*, *predicate subtyping*, and *dependent types*.

Refinement types offer greater precision than simple types, but still rely on intrinsic type specifications. Dependent types can express detailed relations, but may require users to provide proofs along with detailed annotations. Predicate subtyping offers some of the expressivity of dependent types, but with the automatic subtyping of refinement types. All of these techniques are based on intrinsic typing and therefore require users to provide additional annotations beyond the runtime behavior of their programs.

The challenge with extrinsically typed languages is that they allow using constructors in any possible combination, rather than prescribing the upper bound of combinations as in the datatype mechanism of ML languages. Thus, the crux of typing extrinsically typed programs is to determine

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a precise type based on how constructors are used. Since the way constructors are use may overlap is various ways, this form of reasoning about types requires a notion of subtyping. Type systems for extrinsically typed languages have relied on unions and intersections between types to represent precise types based on how expressions are used in combination.

*Gap.* Because extrinsically typed languages do not require users to specify the upper bounds of program expressions, there are many untyped programs that cannot benefit from the typing techniques of intrinsically typed languages. Furthermore, extrinsically typed languages do not require users to provide proofs, that have no runtime behavior, as is sometimes necessary in dependently typed systems to verify more expressive types. For instance, the liquid type system [] can verify and infer some relational properties, but it requires users to specify ML-style base types and a set of logical qualifiers to draw from. On the other hand, existing extrinsically typed techniques can not represent richer notions of relations beyond the mere shapes of expressions. Thus, the challenge is to bring rich expressive types to extrinsically typed languages.

*Innovation.* To overcome the limitations of intrinsic type systems and expand the kinds of programs and types that can be type checked, we introduce *extrinsic relational type inference*: a novel system that automatically infers expressive properties from untyped functional programs.

The main idea behind relational typing is to leverage subtyping as a means to express relations between objects. This completely obviates the need for the two-level type language used in liquid types or predicate subtyping. There is no special first-order predicate language. In relational typing, a relation is just a type in a subtyping lattice, just as a shape is just a type in a subtyping lattice. A subtyping judgment can degenerate into a typing judgment when the left side or strong side of subtyping is a singleton type (type with a single inhabitant). TODO: insert example of (succ zero, cons nil) subs nat list Additionally, two separate relations may be compared via subtyping to say that one relation may hold true for a superset of inhabitants of another. TODO: insert example of even list subs nat list By embedding the notion of relations into subtyping the system can reuse techniques for inferring unions and intersections over simple types, which are necessary in an extrinsic setting.

In addition to checking that subtyping holds, the system is able to infer weak parameter types and strong return types of functions, which then serve as constraints to be checked according to the applications of functions.

For comparison, the meaning of subtyping relations in relational types corresponds to the meaning of implication between qualifiers in liquid types.

While the purely functional setting presented in this work is not suitable for practical programming, future work could extend it to incorporate side-effects to make it practical. Alternatively, the purely functional setting could be viewed as an alternative formal foundation more mathematics, allowing for greater proof automation by allowing reuse of proofs across the transitive closure of proposition subtyping.

#### 2 OVERVIEW

TODO: mention somwhere that the second order quantification serves two distinct purposes; 1. polymorphism as in System-F. 2. refinement as in first-order quantification of liquid types. Relational types is able to leverage second-order quantification for refinement, eschewing the first-order quantification used in other systems.

For a given program, type inference constructs a very precise type. Some programs are simple enough such that type inference generates singleton types.

TODO: example of a inference of intersection of function param applied to multiple arguments (not novel)

TODO: example of a inference of intersection of param with multiple functions applied to it (not novel)

TODO: example of a inference of union type of branching (not novel)

TODO: break example program into parts; inline instead of using figure

We illustrate the syntax and semantics of programs and types with the example program shown in Fig. ??.

*Path typing.* Consider the function talky, which completes a simple English phrase:

```
let talky = (
    $ <hello> @ => <world> @
    $ <good> @ => <morning> @
    $ <thank> @ => <you> @
)
```

This program is defined by paths over hardcoded tags. The system infers the type to be an intersection of implication types:

```
TOP & (<hello> @ -> <world> @) & (<good> @ -> <morning> @) & (<thank> @ -> <you> @)
```

Essentially, the program is so simple, that its type has the exact same meaning merely dressed in a different syntax.

Output broadening. Consider the application talky(x) where x has the type (<hello> @) | (<thank> @). Type inference breaks apart the function type's intersection into paths and constructs the strongest output type possible by expanding the output type for each viable path. Since only two of the three paths match the type of the argument, type inference determines the type of the application to be the type (<world> @) | (<you> @). Broadening from the bottom up contrasts with refinement type systems, which start from the weakest type intrinsic to the constructors and refines down to a stronger type through intersections of types or conjunctions of qualifiers.

*Relational typing.* Consider the function repeat that takes a natural number and returns a list of whose length is that number.

```
let repeat = $ x => loop($ self =>
    $ <zero> @ => <nil> @
    $ <succ> n => <cons>(x,self(n))
)
```

Without specifying any requirements besides the function definition, type inference lifts the function into the definitional property as a type. To construct the type, type inference constructs a relation between nats and lists. The type of repeat depends on a least fixed point relation between nats and lists (parametrically named here for readability).

Using the natList relation, type inference then lifts the function repeat into its precise type form.

```
ALL[T] T \rightarrow ALL[X] X \rightarrow EXI[Y ; X*Y <: natList(T)] Y
```

It may be worth noting that there could be semantically equivalent recursive type in terms of intersections instead of unions.

#### TODO: forward reference to correctness/model semantics

```
ALL[T] GFP[R]( TOP
    & (<zero> @)->(<nil> @)
    & (ALL[N L ; R <: N->L](<succ> N)->(<cons> T*L)
)
```

Type inference reasons in terms least fixed points, but the greatest fixed point form could be handled with syntactic sugar and rewriting.

Using the precise type form, type inference can leverage solving and checking subtyping constraints to reason in a number ways: it can reason forward from inputs to outputs (just like the runtime semantics), reason backwards from outputs to inputs (like Prolog), and check against weaker specifications.

Fixed point forward broadening. Consider the application repeat (<succ> <succ> <zero> (a) (x) where x has type T. Type inference constructs a singleton type, mirroring the results achieved by simply running the program.

```
<cons> T * <cons> T * <nil> @
```

Fixed point backwards broadening. Now suppose we have a function foo whose input type is inferred to be an empty list or a singleton list, <nil> @ | <cons> T \* <nil> @. Given the application foo(repeat(n)(x)) where x has type T, type inference can reason backwards to learn that the type of n must be either zero or one.

```
<zero> @ | <succ> <zero> @
```

*Vertical weakening (Factoring).* Now suppose we have a function woo whose input type is inferred to be a list over elements of type T.

Given the application woo(repeat(n)(x)) where x has type T, type inference discovers that the argument type depends on the relation natList(T), and the relation can be factored into a weaker cross product of nats and lists. Therefore, the argument meets the requirements of woo and the type of n must be the natural numbers.

```
LFP[R]( BOT
| <zero> @
| <succ> R
```

*Horizontol weakening (infilling).* Now consider a function boo whose input type is the natural numbers. Suppose we have the application boo (n) where n guaranteed to be an even number.

The application requires that type inference check that the nat type is weaker than the even type. Type inference sees that if both types were to unroll into an infinite sequence of values every value in nat would also be in even, therefore the application type checks. In particular, type inference leverages the inductive hypothesis, by learning that weaker types hold for all the recursive constraints of the stronger type. In the case simple recursive types as shown above, the inductive hypothesis is merely a subtyping constraint on a single variable. In the case of comparing two relations such as natList(T) and a corresponding even version, the inductive hypothesis would be a subtyping constraint on a pair of variables, which may not be decomposable into constraints on single variables, so type inference must learn relational constraints, in addition to simple constraints.

Input refinement. Consider two functions: uno of type  $U \rightarrow V$  and dos of type  $D \rightarrow E$ . Now suppose these two functions are called on the same variable, e.g. (uno(x), dos(x)). Type inference learns that the type of x can be no weaker than the intersection of the functions' input types: U&D.

Path sensitivity. Consider the function max that chooses the maximum of two natural numbers.

```
let less0rEq = loop($ self =>
    $ (<zero> @),y => <true> @
    $ (<succ> x),(<succ> y) => self(x,y)
    $ (<succ> x),(<zero> @) => <false> @
) in
let max = $ (x,y) =>
    if less0rEq(x,y) then y else x
```

The function max must satisfy the property that the result is greater or equal to each of the inputs. Type inference must learn constraints on the inputs to max: x and y, which depends on the output of lessOrEq(x,y). The application lessOrEq(x,y) can evaluate to either <true>@ or <false>@, which result from different paths taken in lessOrEq. Type inference considers both cases and maintains the learned constraints exist in different possible worlds, since they are learned from different paths. Finally, type inference connects the inputs to the outputs by considering the two possible paths of the if-then-else. It first lifts the function lessOrEq into a relational type. For readability, we name the relational type LED (as in "less than or equal decision").

using the LED relation, type inference combines the constraints learned for each possible world and combines them into a function type with multiple paths.

```
TOP
& (EXI [D ; D <: (<true> @)]
    (ALL [X Y Z ; Y <: Z ; ((X*Y)*D) <: LED] (X,Y) -> Z))
& (EXI [D ; D <: (<false> @)]
    (ALL [X Y Z ; X <: Z ; ((X*Y)*D) <: LED] (X,Y) -> Z))
```

We could simplify the type by eliminating simple constraints without loss of safety or precision:

```
TOP
& (ALL [X Y ; ((X*Y)*(<true> @)) <: LED] (X,Y) -> Y)
& (ALL [X Y ; ((X*Y)*(<false> @)) <: LED] (X,Y) -> X)
```

However, we have not included this rewriting in the semantics or implementation.

TODO: show type generated from code

TODO: more motivating and elucidating examples

#### 3 DYNAMIC SEMANTICS

The programming language is pure and applicative. A program is an expression of the form in definition 3.1. The forms of expressions enable function abstraction, function application, tagged constructions, record construction, record projection, and pattern matching. These forms enable us to express non-trivial programs. They also allow for compositions that have no reasonable semantics.

## **Definition 3.1.** Expressions

$$\begin{array}{l} e ::= x \mid @ \mid < l > e \mid \vec{r} \mid \vec{f} \mid e.l \mid e(e) \mid loop(e) \mid ... \\ \vec{r} ::= \epsilon \mid \vec{r} r \\ r ::= \$ l = > e \\ \vec{f} ::= \epsilon \mid \vec{f} f \\ f ::= \$ p = > e \\ p ::= x \mid @ \mid < l > p \mid \vec{k} \\ \vec{k} ::= \epsilon \mid \vec{k} k \\ k ::= \$ l = > p \end{array}$$

*Progression* of an expression, as in definition 3.2, is a small-step operational semantics. It adheres to typical definitions of applicative languages for the most part. One slight departure is that pattern matching is merely a special case of function application. Likewise, a switch is merely a function abstraction. Records are similar to Functions, except that their entries are guarded by literal identifiers. Additionally, records may be abstracted as patterns, but functions may not. The semantics enables recursion via the fixed point combinator loop.

**Definition 3.2.** 
$$e \rightsquigarrow e$$
 Progression

$$\frac{e \leadsto e'}{< l>e} \xrightarrow{e'} \frac{e \leadsto e'}{\vec{r} \$ l => e} \xrightarrow{\vec{r}} \frac{\vec{r} \leadsto \vec{r}'}{\vec{r} \$ l => v} \xrightarrow{e \leadsto e'} \frac{e \leadsto e'}{\vec{r} \$ l => v} \xrightarrow{\vec{r} \$ l => v} \frac{e \leadsto e'}{\vec{r} \$ l => v} \xrightarrow{e : l} \frac{e \leadsto e'}{e : l \leadsto e' : l}$$

$$\frac{\$ l => v \in \vec{g} \qquad \forall e : \$ l => e \in \vec{g} \implies e = v}{\vec{g} : l \leadsto v} \xrightarrow{e : l} \frac{e \leadsto e'}{\vec{f} (e) \leadsto e'_f (e)} \xrightarrow{\vec{f} (e) \leadsto \vec{f} (e')} \frac{\vec{f} (v) \leadsto e'}{\vec{f} (e) \leadsto \vec{f} (e')}$$

$$\frac{\vec{f} (v) \leadsto e'}{(\vec{f} \$ p => e)(v) \leadsto e'} \xrightarrow{e : l} \frac{e \leadsto e'}{\vec{f} (e) \leadsto \vec{f} (e')} \xrightarrow{\vec{f} (e) \leadsto \vec{f} (e')} \frac{\vec{f} (v) \leadsto e'}{(\vec{f} \$ p => e)(v) \leadsto e[\vec{\sigma}]} \xrightarrow{e \leadsto e'} \frac{e \leadsto e'}{\log p(e) \leadsto \log p(e')}$$

$$\frac{\log p(\$ x => e) \leadsto e[x/\log p(\$ x => e)]}{\log p(\$ x => e)}$$

#### 4 STATIC SEMANTICS

The static semantics is a system for checking if the construction of an expression is viable. The system leverages types of the forms in Definition 4.1, which allow expressing properties of expressions with varying levels of precisions.

## **Definition 4.1.** Types

```
\tau ::= \alpha \mid @ \mid < l > \tau \mid l - > \tau \mid \eta \mid \qquad \qquad \triangleright type \\ \text{EXI}[\vec{\alpha} \; \Delta]\tau \mid \text{ALL}[\vec{\alpha} \; \Delta]\tau \mid \text{LFP}[\alpha]\tau \\ \eta ::= \text{EXI}[\vec{\alpha} \; \Delta]\phi \mid \phi \qquad \qquad \triangleright subtracted \; type \\ \phi ::= \alpha \mid @ \mid < l > \phi \mid l - > \phi \mid \phi \& \phi \mid \qquad \qquad \triangleright pattern \; type \\ \vec{\alpha} ::= \epsilon \mid \vec{\alpha} \; \alpha \qquad \qquad \qquad \triangleright type \; sequence \\ \Delta ::= \epsilon \mid \Delta \; ; \tau <: \tau \qquad \qquad \triangleright subtyping \; environment \\ \end{cases}
```

*Proof typing*, def. 4.2, checks the viability of an expression's form. Additionally, by extending the forms of expressions with type annotations, as in Definition 8.2, proof typing is also able to check that expressions meet abstract specifications. In order to check the viability of constructions and specifications, proof typing lifts expressions into types and leverages subtyping to check compatibility between types. The proof typing predicate represents a typing that holds under the assumptions of a typing environment, and a world, which consists of emphclosed type variables and subtyping constraints.

Whether a variable is a closed or not represents how a variable is quantified.

If proof typing holds, then its typing holds under every interpretation of its closed type variables under some interpretation of its open variables. We formalize the soundness claim in Section 5.

The proof typing predicate,  $\Gamma \vdash e : \tau \dashv \Omega'$ , can be interpreted as an algorithm that takes a typing environment  $\Gamma$ , an expression e, and a world  $\Omega$  as inputs and returns a type and a world  $\Omega'$  as outputs, such that the output world is an extension of the input world ( $\Omega \preceq \Omega'$ ). The input world is not explicitly represented in the predicate, so the algorithm interprets the input world as the smallest world that can satisfy the predicate. Additionally, the outputs of the predicate are not deterministic. Therefore, the algorithm enumerates all possible outputs.

Rule 4.2.1. For unit, @, proof typing simply returns the singleton type of the same form.

*Rule 4.2.2.* For a variable, *x*, proof typing looks for a corresponding typing in the environment, and returns the corresponding type if found.

*Rule 4.2.3.* For a tagged expression, <*l>e*, proof typing recursively constructs the type of the tag's body, and returns the corresponding tag type.

*Rule 4.2.4.* For an empty record, proof typing constructs the top type TOP, which is merely syntactic sugar for  $\mathsf{EXI}[\alpha]\alpha$ .

Rule 4.2.5. For a non empty record,  $\vec{r}$ \$l => e, proof typing recursively constructs the type for each entry, and refines their types against each other via intersection.

Rule 4.2.6. For a function,  $\vec{f}$ , proof typing delegates the work to two helper predicates: function lifting, as in definition 8.8, and constrained implication congruence, as in definition 8.34. Function lifting constructs a sequence of implication types, where each associated with a world, represented by  $\vec{\pi}$ . Constrained implication congruence, constructs a sequence of types congruent with each implication, such that each world is packaged with its corresponding type, resulting in a universally constrained type (if there are type variables in the original implication). During an application, each path of a function is tried in order, which means values matching subsequent patterns, will not be matched by earlier patterns. Thus, function lifting generates types from patterns, and for each pattern, it subtracts the types of previous patterns, represented by prefixes of  $e\vec{t}a$ 

*Rule 4.2.7.* For a projection,  $e \cdot l$ , proof typing leverages subtyping to check that there is an entry with label l in the supposed record e, and it learns a lower bound on the type of the body  $\alpha$  associated with that label.

Rule 4.2.8. For an application,  $e_f(e_a)$ , proof typing leverages subtyping to check that that the function  $e_f$  can actually map the argument  $e_a$  to a result. It learns a lower bound on the type of the result  $\alpha$  associated with that argument.

Rule 4.2.9. For a recursive expression, loop(e), proof typing constructions complex type containing an implication constrained by a least fixed point type. First, it ensures that argument of the fixpoint combinator e is indeed a singe path function. Then, by leveraging subtyping, it finds a lower bound for every path in the body of e, represented by a sequence of worlds  $\vec{\Omega}$ , all associated with a variable implication,  $\alpha_l - > \alpha_r$ . Using all these worlds, it delegates to *fixpoint duality*, as in definition 8.35, it order to construct the cases of a relational least fixpoint type, representing the dual of the greatest fixpoint of implication under intersected over its worlds. Finally, it reconstructs a type for a function, by wrapping the relational type in an existential constraint, and wrapping a generalized implication around that.

Rule 4.2.10. For an annotated definition, let  $x:\tau_a=e$  in e', proof typing checks the definition's source e against the annotation  $\tau_a$ , and adds the annotation to the typing environment when checking the continuation e'.

$$\begin{array}{c} \mathbf{Definition \ 4.2.} \quad \boxed{\Omega, \Gamma \vdash e : \tau \mathrel{\circ} \Omega} \quad \text{Proof Typing} \\ \\ \hline \\ \overline{\Omega, \Gamma \vdash @ : @ \mathrel{\circ} \Omega} \quad \boxed{1} \quad \frac{x : \tau \in \Gamma}{\Omega, \Gamma \vdash x : \tau \mathrel{\circ} \Omega} \quad \boxed{2} \quad \frac{\Omega, \Gamma \vdash e : \tau \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash e : \tau \mathrel{\circ} \Omega'} \quad \boxed{3} \quad \frac{\vec{r} = \epsilon}{\Omega, \Gamma \vdash \vec{r} : \mathsf{TOP} \mathrel{\circ} \Omega} \quad \boxed{4} \\ \\ \hline \\ \frac{\Omega, \Gamma \vdash \vec{r} : \tau \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash \vec{r} : \mathsf{Sl} = \mathsf{>} e : \tau \mathrel{\circ} \mathsf{S} \cdot \mathsf{1} - \mathsf{>} \tau' \mathrel{\circ} \Omega''} \quad \boxed{5} \quad \frac{\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \mathrel{\wedge} \vec{\pi}, \vec{\eta} \quad \Gamma \vdash \vec{\pi} \cong \vec{\tau}}{(\vec{\alpha}, \Delta), \Gamma \vdash \vec{f} : \mathsf{inter}(\vec{\tau}) \mathrel{\circ} \vec{\sigma}, \Delta} \quad \boxed{6} \\ \\ \hline \\ \frac{\Omega, \Gamma \vdash e : \tau_0 \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash e : \tau \mathrel{\circ} 0 \mathrel{\circ} \Omega'} \quad \Omega' \vdash \tau_0 \mathrel{<:} 1 - \mathsf{>} \alpha \mathrel{\circ} \Omega''} \quad \boxed{7} \quad \frac{\Omega, \Gamma \vdash e_f : \tau_f \mathrel{\circ} \Omega'}{(\vec{\alpha}, \Delta), \Gamma \vdash \vec{f} : \mathsf{inter}(\vec{\tau}) \mathrel{\circ} \vec{\sigma}, \Delta} \quad \boxed{6} \\ \\ \hline \\ \frac{(\vec{\alpha}, \Delta), \Gamma \vdash e : \tau_0 \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash e : \tau_0 \mathrel{\circ} \Omega'} \quad \boxed{7} \quad \frac{\Omega, \Gamma \vdash e_f : \tau_f \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash e_f \mathrel{\circ} \tau_a - \mathsf{>} \alpha \mathrel{\circ} \Omega'''} \quad \boxed{8} \\ \\ \hline \\ \frac{(\vec{\alpha}, \Delta), \Gamma \vdash e : \tau_0 \mathrel{\circ} \Omega'}{\Omega, \Gamma \vdash e : \tau_0 \mathrel{\circ} \Omega''} \quad \boxed{7} \quad \frac{(\vec{\alpha}, \Delta), \Gamma \vdash e_f \mathrel{\circ} \tau_a - \mathsf{>} \alpha \mathrel{\circ} \Omega'''}{\Omega, \Gamma \vdash e_f \mathrel{\circ} \tau_a - \mathsf{>} \alpha \mathrel{\circ} \Omega''} \quad \boxed{9} \\ \\ \hline \\ \frac{(\vec{\alpha}, \Delta), \Gamma \vdash \mathsf{loop}(e) : \mathsf{ALL}[\alpha_x] \alpha_x - \mathsf{EXI}[\alpha_y : \alpha_x * \alpha_y \mathrel{<:} \mathsf{LFP}[\alpha^-] \mathsf{union}(\vec{\tau})] \alpha_y \mathrel{\circ} \vec{\alpha}', \Delta'}{\Omega \vdash \Gamma \vdash \mathsf{let} x : \tau_a = e \; \mathsf{in} \; e' : \tau' \mathrel{\circ} \Omega'''} \quad \boxed{10} \\ \hline \\ \end{array}$$

*Proof subtyping*, as in definition 4.3, checks the viability of one type subtyping another type, under the assumption of subtyping constraints.

The predicate,  $\tau_l <: \tau_r \dashv \Omega'$ , can be interpreted as an algorithm that takes the types and an implicit world  $\Omega$  as inputs and return a world  $\Omega'$ , where the output world extends the input world  $(\Omega \leq \Omega')$  and the input world is the smallest world necessary for the proposition to hold.

The order of the rules is critical to ensure that easier constraints are generated. To that end, cases that strengthen the assumed type (left of subtyping) or weaken the guaranteed type (right of subtyping) occur before rules that weaken the assumed type or strengthen the guaranteed type.

Due to the complexity of types along with two positions for types to occur in subtyping, there are many rules needed to define the proof system of subtyping. For clarity, we show only a subset of the rules in this section in order to explain the essence of the system. We leave the remaining rules in the appendix, section 8, definition 8.6. The remaining rules include duals and other forms that adhere to similar proof strategies as the rules shown here, as well as additional rules for increased precision.

- *Rule 4.3.1.* For reflexive subtyping, up to alpha renaming,  $\tau <: \tau$ , proof subtyping simply holds without any updates to the world.
- *Rule 4.3.2.* For elimination of a least fixed point, LFP[ $\alpha$ ] $\tau_l$ , proof subtyping attempts a proof by induction, by unrolling the least fixed point and replacing its self referencing variable with the upper bound.
- *Rule 4.3.3.* For introduction of a difference type,  $\tau_r \setminus \eta$ , proof subtyping, checks that the assumed type subtypes the positive portion of the guaranteed type  $\tau_r$  and does not subtype the subtracted portion  $\eta$ . When relying on negation, one must be careful to preserve soundness. We ensure that proof subtyping is complete for an upper bound of limited form  $\eta$  with no free type variables, which means its negation is sound. We will make the limited notion of completeness precise in section 5.
- *Rule 4.3.4.* For elimination of a union type,  $\tau_{ll} \mid \tau_{lr}$ , proof subtyping ensures that both parts of the assumed type subtype the guaranteed type.

The introduction counterpart of this rule is the introduction of an intersection type (8.6).

*Rule 4.3.5.* For elimination of an existential type,  $\mathsf{EXI}[\vec{\alpha} \ \Delta] \tau_l$ , proof subtyping infers necessary constraints over closed variables from the qualifiers  $\Delta$  of the assumed existential type, which it leverages to prove the guaranteed type.

The introduction counterpart of this rule is the introduction of a universal type (Rule 8.6.?),

*Rule 4.3.6.* For a lower bound closed variable  $\alpha$ , proof subtyping finds a strict interpretation of the variable and checks it against the upper bound. Since the subtyping constraints may contain relational constraints, it factors the relational constraints to find constraints over single variables, which it used to construct the strict interpretation inter( $\vec{\tau}$ ).

The dual of this rule is the one for an upper bound closed variable, in the continued definition 8.6.

Rule 4.3.7. For an upper bound open variable  $\alpha$ , proof subtyping finds a lenient interpretation of the variable and checks it against the lower bound. If safe, it updates the worlds with the subtyping. To find a lenient interpretation in simple constraints, it searches the world for the first upper bounds that are not closed variables. It also looks for relational upper bounds of pattern type containing the open variable  $\alpha$ . Additionally, it learns constraints on closed variables  $\Delta^{\dagger}$  that are transitive upper bounds of the open variable  $\alpha$ .

The dual of this rule is the one for a lower bound open variable, in the continued definition 8.6.

*Rule 4.3.8.* For introduction of an existential type, EXI [ $\vec{\alpha} \Delta$ ]  $\tau_r$ , proof subtyping infers constraints to witness the guaranteed existential type.

The elimination counterpart of this rule is the elimination of a universal type (Rule 8.6.?), in which proof subtyping infers constraints to instantiate assumed the universal type.

*Rule 4.3.9.* For an upper bound union,  $\tau_{rl} \mid \tau_{rr}$ , proof subtyping checks that the left part of the union holds against the lower bound.

The dual of this rule is one for an upper bound intersection, in the continued definition 8.6.

*Rule 4.3.10.* For an upper bound union type,  $\tau_{rl} \mid \tau_{rr}$ , proof subtyping also checks that the right part of the union holds against the lower bound.

The dual of this rule is one for an upper bound intersection, in the continued definition 8.6.

*Rule 4.3.11.* For a lower bound difference,  $\tau_l \setminus \eta$ , proof subtyping simply checks that the positive type subtypes the upper bound union with the subtracted type.

*Rule 4.3.12.* For an upper bound least fixed point, LFP[ $\alpha$ ] $\tau_r$ , where subtyping is decomposable, proof subtyping unrolls the least fixed point and checks it against the lower bound.

Rule 4.3.13. For an upper bound least fixed point,  $\mathsf{LFP}[\alpha]\tau$ , where the lower bound is a pattern type containing at least one closed variable, proof typing searches the world for a constraint with a matching pattern type, which provides a strict interpretation of the pattern type, in the form of found constraint's upper bound. It then checks that the strict interpretation of the pattern type holds. For increased coverage, the system rewrites relational constraints into equivalent normal forms

This rule is the relational analog of the closed variable rules.

Rule 4.3.14. For an upper bound least fixed point, LFP[ $\alpha$ ] $\tau$ , where the lower bound is a pattern type whose variables are all open, proof subtyping checks that the subtyping is consistent and checks that a lenient interpretation of the pattern type's open variables holds. If the subtyping is safe, then world is updated with the subtyping constraint.

This rule is the relational analog of the open variable rules.

*Rule 4.3.15.* For subtyping tagged types,  $< l>\tau_l <: < l>\tau_r$ , proof subtyping simply checks that the labels match and subtyping holds for their contents.

*Rule 4.3.16.* For subtyping record types,  $l \rightarrow \tau_l <: l \rightarrow \tau_r + \Omega$  proof subtyping simply checks that the labels match and subtyping holds for their contents.

Rule 4.3.17. For subtyping implication types,  $\tau_{ll} -> \tau_{lr} <: \tau_{rl} -> \tau_{rr}$  proof subtyping simply checks that the space between types of the upper bound contains the space between types of the lower bound.

# **Definition 4.3.** $\Omega \vdash \tau <: \tau \diamond \Omega$ Proof Subtyping

$$\frac{\Omega \vdash \tau_{l} < : \tau_{r} \diamond \Omega'}{\Omega \vdash \tau_{l} < : \tau_{r} \diamond \Omega'} [1] \qquad \frac{\Omega \vdash \tau_{l} [\alpha/\tau_{r}] < : \tau_{r} \diamond \Omega'}{\Omega \vdash \mathsf{LFP}[\alpha] \tau_{l} < : \tau_{r} \diamond \Omega'} [2]$$

$$\frac{\Omega \vdash \tau_{l} < : \tau_{r} \diamond \Omega'}{\Omega \vdash \tau_{l} < : \tau_{r} \lor \alpha'} [TV(\eta) \subseteq \epsilon \\ \frac{\#\Omega'' \cdot \Omega' \vdash \tau_{l} < : \eta \diamond \Omega''}{\Omega \vdash \tau_{l} < : \tau_{r} \lor \alpha'} [3] \qquad \frac{\Omega \vdash \tau_{l} < : \tau_{r} \diamond \Omega'}{\Omega \vdash \tau_{l} \mid \tau_{l} < : \tau_{r} \diamond \Omega''} [4]$$

$$\frac{\Omega \vdash \Delta \diamond \vec{\alpha}_{w}, \Delta_{w} \qquad \vec{\alpha} \neq \tau_{r}}{\Omega \vdash \mathsf{EXI} [\vec{\alpha} \Delta \mid \tau_{l} < : \tau_{r} \diamond \Omega']} [5] \qquad \frac{\alpha \in \vec{\alpha} \qquad \text{factor}(\Delta, \alpha) = \Delta_{f} \qquad \vec{\alpha}, (\Delta \Delta_{f}) \vdash \alpha < : \vec{\tau}}{\vec{\alpha}, \Delta \vdash \alpha < : \tau_{r} \diamond \alpha'} (\vec{\alpha}, \Delta \Delta_{f}) \vdash \text{inter}(\vec{\tau}) < : \tau_{r} \diamond \vec{\alpha}', \Delta'}{\vec{\alpha}, \Delta \vdash \alpha < : \tau_{r} \diamond \alpha'} [6]$$

$$\vec{\alpha}, \Delta \vdash \alpha/\eta \uparrow \Delta^{\dagger} \qquad \vec{\alpha}, \Delta \vdash \alpha < : \vec{\tau} \qquad \vec{\alpha}, \Delta \vdash \alpha/\tau_{l} < : \vec{\pi} \qquad \vec{\alpha}' \qquad \vec{\alpha}' \qquad \vec{\alpha}' \qquad [6]$$

$$\vec{\alpha}, \Delta \vdash \alpha/\eta \uparrow \Delta^{\dagger} \qquad \vec{\alpha}, \Delta \vdash \alpha < : \vec{\tau} \qquad \vec{\alpha}, \Delta \vdash \alpha/\tau_{l} < : \vec{\pi} \qquad \vec{\alpha}' \qquad \vec{\alpha}' \qquad \vec{\alpha}' \qquad [6]$$

$$\vec{\alpha}, \Delta \vdash \alpha/\eta \uparrow \Delta^{\dagger} \qquad \vec{\alpha}, \Delta \vdash \alpha < : \vec{\tau} \qquad \vec{\alpha}, \Delta \vdash \alpha/\tau_{l} < : \vec{\pi} \qquad \vec{\alpha}' \qquad$$

### **5 CORRECTNESS**

TODO: introduce model typing and soundness properties

**Definition 5.1.**  $|\vec{\delta}, \Gamma \models e : \tau |$  Model typing

$$\frac{\alpha/\tau \in \vec{\delta} \qquad \vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\alpha/\tau \notin \vec{\delta} \qquad \vec{\delta}, \Gamma \models e : \mathsf{TOP}}{\vec{\delta}, \Gamma \models e : \alpha} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \sigma} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \sigma} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \sigma} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \sigma} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta} \bowtie \vec{\delta} \vdash e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta}, \Gamma \models e : \tau}{\vec{\delta}, \Gamma \models e : \tau} \qquad \frac{\vec{\delta$$

**Definition 5.2.**  $|\vec{\delta} \models \tau <: \tau |$  Model Subtyping

$$\frac{\forall e \; \Gamma. \; \vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r}{\vec{\delta} \models \tau_l <: \tau_r}$$

**Definition 5.3.**  $|\vec{\delta} \models Q|$  Model Sequence Subtyping

$$\frac{\vec{\delta} \models Q \qquad \vec{\delta} \models \tau_l <: \tau_r}{\vec{\delta} \models Q \qquad \vec{\delta} \models Q \quad .\tau_l <: \tau_r}$$

#### **6 EXPERIMENTS**

TODO: develop 12 tree/list experiments

#### 7 RELATED WORK

**TODO: ...** 

#### 8 APPENDIX

#### **Definition 8.1.** Internals

$$\begin{split} \Gamma &::= \epsilon \mid \Gamma \, x : \tau \\ \vec{\Omega} &::= \epsilon \mid \vec{\Omega} \, \Omega \\ \Omega &::= \vec{\alpha}, \Delta \\ \\ \vec{\tau} &::= \epsilon \mid \vec{\tau} \, \tau \\ \vec{\phi} &::= \epsilon \mid \vec{\phi} \, \phi \\ \\ \vec{\pi} &::= \epsilon \mid \vec{\pi} \, \pi \\ \pi &::= \vec{\alpha}, \Delta, \tau \text{--} \tau \\ \\ \vec{\delta} &::= \epsilon \mid \vec{\delta} \, \delta \\ \delta \, \alpha / \tau \end{split}$$

## **Definition 8.2.** Sugared Expressions

$$e := ... \mid e, e \mid e \mid > e \mid \text{let } x z = e \text{ in } e \mid (e)$$
  
 $z := \epsilon \mid :\tau$   
 $p := ... \mid p, p \mid (p)$ 

#### **Definition 8.3.** Values

$$\begin{array}{lll} v ::= @ \mid < l > v \mid \vec{g} \mid v, v \mid (v) \mid \vec{f} \\ \vec{g} ::= \epsilon \mid \vec{g} g \\ g ::= \$ l = > v \\ \\ \vec{\sigma} ::= \epsilon \mid \vec{\sigma} \sigma \\ \sigma ::= x/v \end{array}$$

# **Definition 8.4.** $e \rightsquigarrow e$ Sugared Progression

$$\frac{e_b\left(e_a\right)\leadsto e'}{e_a\left|>e_b\leadsto e'\right.} \qquad \frac{e\leadsto e'}{\left(e\right)\leadsto e'} \qquad \frac{\$\mathsf{left}=>e_l\,\$\mathsf{right}=>e_r\leadsto e'}{e_l\,,e_r\leadsto e'}$$

$$\frac{(\$\mathsf{}\ @=>e_t\ \$\mathsf{}\ @=>e_f)\,(e_c)\leadsto e'}{\mathsf{if}\ e_c\ \mathsf{then}\ e_t\ \mathsf{else}\ e_f\leadsto e'} \qquad \frac{(\$x=>e_k)\,(e)\leadsto e'}{\mathsf{let}\ x:\tau=e\ \mathsf{in}\ e_k\leadsto e'}$$

## **Definition 8.5.** Sugared Types

$$\tau ::= \dots \mid \mathsf{TOP} \mid \mathsf{BOT} \mid (\tau)$$
  
$$\phi ::= \dots \mid (\phi)$$

**Definition 8.6.**  $\tau <: \tau \dashv M, \Delta$  Continued Proof Subtyping

$$\frac{\tau_{l} <: (l:\tau_{rl}) \& (l:\tau_{rr}) \dashv M, \Delta}{\tau_{l} <: \tau_{r} \dashv M, \Delta}$$

$$\frac{\tau_{l} <: (l:\tau_{rl}) \& (l:\tau_{rr}) \dashv M, \Delta}{\tau_{l} <: l: (\tau_{rl} \& \tau_{rr}) \dashv M, \Delta}$$

$$\frac{\tau_{l} <: \tau_{rl} \dashv M_{0}, \Delta_{0} \qquad M_{0} \preceq M_{1}}{\tau_{l} <: \tau_{rr} \dashv M_{1}, \Delta_{1}}$$

$$\frac{\Delta_{0} \preceq \Delta_{1} \qquad \tau_{l} <: \tau_{rr} \dashv M_{1}, \Delta_{1}}{\tau_{l} <: \tau_{rl} \& \tau_{rr} \dashv M_{1}, \Delta_{1}}$$

$$\frac{\tau_{l} <: (\tau_{ra} -> \tau_{rc}) \& (\tau_{rb} -> \tau_{rc}) \dashv M, \Delta}{\tau_{l} <: \tau_{ra} \mid \tau_{rb} -> \tau_{rc} \dashv M, \Delta}$$

$$\frac{\tau_{l} <: (\tau_{ra} -> \tau_{rb}) \& (\tau_{ra} -> \tau_{rc}) \dashv M, \Delta}{\tau_{l} <: \tau_{ra} -> \tau_{rb} \& \tau_{rc} \dashv M, \Delta}$$

$$\frac{Q \dashv M_{0}, \Delta_{0} \qquad A \# \tau_{l}}{\tau_{l} <: \tau_{rb} -> \tau_{rc} \dashv M, \Delta}$$

$$\frac{Q \dashv M_{0}, \Delta_{0} \qquad A \# \tau_{l}}{\tau_{l} <: \tau_{rb} -> \tau_{rc} \dashv M, \Delta}$$

$$\tau_{l} <: (\tau_{ra} -> \tau_{rb}) \& (\tau_{rb} -> \tau_{rc}) \dashv M, \Delta_{1}$$

$$\frac{M_0 \preceq M_1}{\Delta_0 \preceq M_1} \frac{\alpha \in M_0}{\Delta_0 \preceq \Delta_1} \frac{\Delta_0 \vdash T \lessdot \alpha}{\tau_l \lessdot : \mid (T) \dashv M_1, \Delta_1}$$
$$\tau_l \lessdot \alpha \dashv M_1, \Delta_1$$

$$\frac{M_0, \Delta_0 \vdash \mathbf{T} <: ^\dagger \alpha}{M_0, \Delta_0 \vdash \mathbf{T} <: ^\dagger \alpha} \frac{\alpha \notin M_0 \qquad M_0, \Delta_0 \vdash \Delta_m <: ^\sharp \alpha / \tau_r}{M_0 \preceq M_1 \qquad \Delta_0 \sqcup \Delta_m \preceq \Delta_1 \qquad \big| \ (\mathbf{T}) <: \tau_r \dashv M_1, \Delta_1}{\alpha <: \tau_r \dashv M_1, \Delta_1 \alpha <: \tau_r}$$

$$\frac{\tau_{l} <: \tau_{r} \dashv M_{0}, \Delta_{0}}{\Delta_{0} \preceq \Delta_{1} \qquad Q \dashv M_{1}, \Delta_{1}} \qquad \frac{\tau_{ll} <: \tau_{r} \dashv M, \Delta}{\tau_{ll} \& \tau_{lr} <: \tau_{r} \dashv M, \Delta} \qquad \frac{\tau_{lr} <: \tau_{r} \dashv M, \Delta}{\tau_{ll} \& \tau_{lr} <: \tau_{r} \dashv M, \Delta}$$

**Definition 8.7.**  $\Omega \leq \Omega$  World Ordering

$$\frac{1}{(\vec{\alpha}, \Delta) \leq (\vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta')}$$

**Definition 8.8.**  $|\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \blacktriangle \vec{\pi}, \vec{\eta}|$  Function Lifting

$$\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \blacktriangle \vec{\pi}, \vec{\eta} \qquad p : \phi \dashv \Gamma' \qquad \text{diff}(\phi, \vec{\eta}) = \tau_l \\ \forall \vec{\alpha}' \ \Delta' \ \tau_r. \ (\vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta', \tau_l -> \tau_r) \in \vec{\pi}' \implies \Gamma \ \Gamma' \vdash e : \tau_r \dashv \vec{\alpha} \ \vec{\alpha}', \Delta \ \Delta' \\ \exists \pi. \ \pi \in \vec{\pi}' \qquad \text{close}(\phi) = \eta \\ \vec{\alpha}, \Delta, \Gamma \vdash \epsilon \blacktriangle \epsilon, \epsilon$$
 
$$\vec{\alpha}, \Delta, \Gamma \vdash \vec{f} \ \$p => e \blacktriangle \vec{\pi} \ \vec{\pi}', \vec{\eta} \ \eta$$

**Definition 8.9.**  $\Omega \vdash \tau \circlearrowleft \tau$  Decomposable

$$\frac{\text{TODO: ...}}{Q \vdash \tau \ (\ ) \ \tau}$$

**Definition 8.10.**  $\tau <: \tau \cong \tau <: \tau$  Normal Constraint Congruence

$$\frac{\text{TODO: ...}}{\tau <: \tau \cong \tau <: \tau}$$

**Definition 8.11.**  $\Delta \vdash \tau' <: \tau \sim$  Normal Constraint Entailment

$$\frac{\text{TODO: ...}}{\bigwedge \vdash \tau' <: \tau \circ$$

**Definition 8.12.**  $|\vec{\alpha} \vdash \Delta \wr \vec{\alpha} \dashv \vec{\delta}|$  Modulo Type Solution

$$\frac{\mathbf{TODO: ...}}{\vec{\alpha} \vdash \Delta \wr \vec{\alpha} \dashv \vec{\delta}}$$

**Definition 8.13.**  $\vdash \tau_l <: \tau \star$  Constraint Consistency

$$\frac{\text{TODO: ...}}{\vdash \tau_l <: \mathsf{LFP}[\alpha] \tau_r \star}$$

**Definition 8.14.** A #  $\tau$  Fresh variables

$$\frac{\forall \alpha. \ \alpha \in A \implies \alpha \notin FV(\tau)}{A \ \# \ \tau}$$

**Definition 8.15.**  $\tau <: \tau \dashv Z$  (Proof universe subtyping)

$$\frac{\langle M, \Delta \rangle \in Z \qquad \forall M \; \Delta. \; \tau_l <: \tau_r \dashv M, \Delta \iff \langle M, \Delta \rangle \in Z}{\tau_l <: \tau_r \dashv Z}$$

**Definition 8.16.**  $Q \dashv M, \Delta$ 

$$\frac{Q \dashv M_0, \Delta_0 \qquad M_0 \preceq M_1 \qquad \Delta_0 \preceq \Delta_1 \qquad \tau_l <: \tau_r \dashv M_1, \Delta_1}{Q \cdot \tau_l <: \tau_r \dashv M_1, \Delta_1} \qquad \qquad \frac{M_0 \Delta \vdash \epsilon}{M_0 \Delta \vdash \epsilon}$$

**Definition 8.17.** (Collection)

$$C := \epsilon \mid C c$$

**Definition 8.18.** CC = C Concatenation

$$C \epsilon = C \Rightarrow empty$$
  
 $C (C' c) = (C C') c \Rightarrow step$ 

**Definition 8.19.**  $C \diamond C = C$  Filter

$$C \diamond \epsilon = \epsilon$$

$$C \diamond (C' c) = \begin{cases} (C \diamond C') c & \text{if } c \in C \\ (C \diamond C') & \text{otherwise} \end{cases}$$

**Definition 8.20.**  $c \in C$  Collection Containment

$$\frac{c \neq c' \qquad c \in C}{c \in C c'}$$

**Definition 8.21.**  $C \leq C$ 

$$\frac{C \preceq C'}{C \preceq C} \qquad \frac{C \preceq C'}{C \preceq C' c}$$

**Definition 8.22.**  $union(T) = \tau$  Collective Union

$$union(\epsilon) = BOT \triangleright empty$$
  
 $union(T \tau) = union(T) \mid \tau \triangleright step$ 

**Definition 8.23.** inter(T) =  $\tau$  Collective Intersection

$$inter(\epsilon) = TOP > empty$$
  
 $inter(T \tau) = inter(T) \& \tau > step$ 

**Definition 8.24.**  $M, \Delta \vdash m <: ^{\sharp} \alpha$  Lower closed subtyping

$$\frac{m \in M \qquad m <: \alpha \in \Delta}{M, \Delta \vdash m <: ^{\sharp} \alpha}$$

**Definition 8.25.**  $M, \Delta \vdash \Delta <: ^{\sharp} \alpha / \tau$  Universal lower closed subtyping

$$\frac{\forall m \ \tau. \ m <: \tau \in \Delta' \iff M, \Delta \vdash m \in M \land m <: \alpha \in \Delta}{M, \Delta \vdash \Delta' <:^{\sharp} \alpha / \tau}$$

**Definition 8.26.**  $M, \Delta \vdash \alpha/\tau <:^{\sharp} \Delta$  Universal upper closed subtyping

$$\frac{\forall \tau \ m. \ \tau <: m \in \Delta' \iff m \in M \land \alpha <: m \in \Delta}{M, \Delta \vdash \alpha <: \sharp \Delta'}$$

**Definition 8.27.**  $M, \Delta \vdash \tau <: ^{\dagger} \alpha$  Lower transitive subtyping

$$\frac{\tau \notin M \qquad \tau <: \alpha \in \Delta}{M, \Delta \vdash \tau <: \dot{\tau} \alpha}$$

$$\frac{m \in M \qquad M, \Delta \vdash \tau <: ^{\dagger} m \qquad m <: \alpha \in \Delta}{M, \Delta \vdash \tau <: ^{\dagger} \alpha}$$

**Definition 8.28.**  $M, \Delta \vdash T <: ^{\dagger} \alpha$  Universal lower transitive subtyping

$$\frac{\forall \tau.\; \tau \in \mathcal{T} \iff M, \Delta \vdash \tau <: ^{\dagger} \alpha}{M, \Delta \vdash \mathcal{T} <: ^{\dagger} \alpha}$$

**Definition 8.29.**  $M, \Delta \vdash \alpha <:^{\dagger} \tau$  Upper transitive subtyping

$$\frac{\tau \notin M \qquad \alpha <: \tau \in \Delta}{M, \Delta \vdash \alpha <: \dot{\tau} \qquad \qquad \frac{m \in M \qquad \alpha <: m \in \Delta \qquad M, \Delta \vdash m <: \dot{\tau} \tau}{M, \Delta \vdash \alpha <: \dot{\tau} \tau}$$

**Definition 8.30.**  $M, \Delta \vdash \alpha <:^{\dagger} T$  Universal upper transitive subtyping

$$\frac{\forall \tau. \ \tau \in \mathbf{T} \iff M, \Delta \vdash \alpha <: ^{\dagger} \mathbf{T}}{M, \Delta \vdash \alpha <: ^{\dagger} \mathbf{T}}$$

**Definition 8.31.**  $M, \Delta \vdash \alpha \dagger \tau <: \tau$  Relational subtyping

$$\frac{\alpha \neq \tau_l \qquad \alpha \in \text{FTV}(\tau_l)}{\Delta \vdash \alpha \dagger \tau_l <: \tau_r}$$

**Definition 8.32.** 
$$M, \Delta \vdash \alpha/\tau \dagger \Delta$$
 Relational substitution

$$\frac{\forall \tau_l \ \tau_r. \ \tau_l[\alpha/\tau] <: \tau_r \in \Delta' \iff M, \Delta \vdash \alpha \dagger \tau_l <: \tau_r}{M, \Delta \vdash \alpha/\tau \dagger \Delta'}$$

**Definition 8.33.**  $M, \Delta, A \vdash \vec{\delta}$ 

$$\frac{\alpha \notin M \qquad \forall \tau. \ \tau <: \alpha \notin \Delta \qquad M, \Delta, A \vdash \vec{\delta}}{M, \Delta, A \ \alpha \vdash \vec{\delta}}$$

$$\frac{\alpha \notin M \qquad \exists \tau. \ \tau <: \alpha \in \Delta \qquad M, \Delta, A \vdash \vec{\delta}}{M, \Delta, A \ \alpha \vdash \vec{\delta} \ \alpha / \ | \ (\overline{\tau}^{\tau <: \alpha \in \Delta})}$$

**Definition 8.34.**  $\vec{\alpha}, \vec{\alpha} \vdash \vec{\pi} \cong \vec{\tau}$  Constrained Implication Congruence

$$\frac{\vec{\alpha}_{f}, \vec{\alpha}_{m} \vdash \vec{\pi} \cong \vec{\tau} \quad \text{FTV}(\tau_{l}) = \vec{\alpha}_{l} \quad \text{FTV}(\tau_{r}) = \vec{\alpha}_{r}}{\Delta \vdash \vec{\alpha}_{f} \vec{\alpha}_{m} \vec{\alpha}_{l} \vec{\alpha}_{r} \pitchfork \Delta' \quad \vec{\alpha}_{f}, \vec{\alpha}_{m}, \Delta' \vdash \tau_{l} -> \tau_{r} \cong^{+} \tau}$$

$$\frac{\vec{\alpha}_{f}, \vec{\alpha}_{m} \vdash \epsilon \cong \epsilon}{\vec{\alpha}_{f}, \vec{\alpha}_{m} \vdash \vec{\pi} \langle M, \Delta, \tau_{l} -> \tau_{r} \rangle \cong \vec{\tau} \tau}$$

**Definition 8.35.**  $\overrightarrow{\alpha} \vdash \nu\alpha.Z.\alpha \rightarrow \alpha = \mu\alpha.\overrightarrow{\tau}$  Fixpoint Duality

TODO: Note the reason for excluding rigids and closeds from quantification is a way to improve precision, but not necessary for soundness. (Right?). Need to conjure up an example to support this idea.

$$\begin{split} \vec{\alpha}_f \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l -> & \alpha_r \vDash \alpha_{h^-} \cdot \mathbf{T} \\ \Delta \vdash \alpha_{h^+} <: \mathbf{T}_h \qquad \Delta \vdash \alpha_l <: \mathbf{T}_l \qquad \Delta \vdash \mathbf{T}_r <: \alpha_r \\ \hline \tau_l * \tau_r <: \alpha_{h^-} \tau_{l^-} > \tau_r \in \mathbf{T}_h = \Delta_h \\ \vec{\alpha}_f \sqcup M \sqcup \mathrm{FTV}(\mathbf{T}_l) \sqcup \mathrm{FTV}(\mathbf{T}_r) \alpha_{h^-} = \mathbf{A} \qquad \Delta \vdash \mathbf{A} \pitchfork \Delta_i \\ \hline \vec{\alpha}_f \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \&(\mathbf{T}_l) * \mid (\mathbf{T}_r) \cong^- \tau \\ \hline \vec{\alpha}_f \vdash \alpha_{h^+} \cdot Z \ \langle M, \Delta \rangle \cdot \alpha_l -> \alpha_r \vDash \alpha_{h^-} \cdot \mathbf{T} \ \tau \end{split}$$

$$\frac{\overrightarrow{\alpha}_f \vdash \alpha_{h^+} \cdot \epsilon \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot \epsilon}{\alpha_{h^+} \cdot \epsilon \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot \epsilon}$$

**Definition 8.36.**  $\Delta \vdash A \pitchfork \Delta$  Influential Filter

$$\frac{\alpha \in A \qquad \alpha \in FTV(\tau_l) \sqcup FTV(\tau_r) \qquad \Delta \vdash A \pitchfork \Delta'}{\Delta \tau_l <: \tau_r \vdash N \pitchfork \Delta' \tau_l <: \tau_r}$$

$$\frac{\forall \alpha. \ \alpha \in A \implies \alpha \notin FTV(\tau_l) \sqcup FTV(\tau_r) \qquad \Delta \vdash A \pitchfork \Delta'}{\Delta \ \tau_l <: \tau_r \vdash A \pitchfork \Delta'}$$

**Definition 8.37.**  $\Delta \vdash T <: \alpha$ 

$$\frac{\Delta \vdash T <: \alpha}{\Delta \vdash \tau <: \alpha} \qquad \frac{\tau_r \neq \alpha \qquad \Delta \vdash T <: \alpha}{\Delta \tau_l <: \tau_r \vdash T <: \alpha}$$

**Definition 8.38.**  $\Delta \vdash \alpha \mathrel{<:} T$ 

$$\frac{\Delta \vdash \alpha <: T}{\Delta \alpha <: \tau \vdash \alpha <: T \tau} \qquad \frac{\tau_l \neq \alpha \qquad \Delta \vdash \alpha <: T}{\Delta \tau_l <: \tau_r \vdash \alpha <: T}$$

**Definition 8.39.** | outer(+|-) = ALL|EXI

$$outer(+) = EXI > positive$$
  
 $outer(-) = ALL > negative$ 

**Definition 8.40.** | inner(+|-) = ALL|EXI

$$inner(+) = ALL \triangleright positive$$
  
 $inner(-) = EXI \triangleright negative$ 

**Definition 8.41.** quantify<sup>+|-</sup>  $(A, \Delta, A, \Delta, \tau) = \tau$ 

$$\begin{array}{rcll} \operatorname{quantify}^{+|-}(\epsilon,\epsilon,\epsilon,\epsilon,\tau) & = & \tau \\ \operatorname{quantify}^{+|-}(\epsilon,\epsilon,A_i,\Delta_i,\tau) & = & \operatorname{inner}(+|-) \left[A_i \Delta_i\right] \tau \\ \operatorname{quantify}^{+|-}(A_o,\Delta_o,\epsilon,\epsilon,\tau) & = & \operatorname{outer}(+|-) \left[A_o \Delta_o\right] \tau \\ \operatorname{quantify}^{+|-}(A_o,\Delta_o,A_i,\Delta_i,\tau) & = & \operatorname{outer}(+|-) \left[A_o \Delta_o\right] \operatorname{inner}(+|-) \left[A_i \Delta_i\right] \tau \end{array}$$

**Definition 8.42.**  $A, A, \Delta \vdash \tau \cong^{+\mid -\mid} \tau$ 

$$\frac{A_{z}, \Delta \vdash \Delta_{o} \wr \Delta_{i}}{(\text{FTV}(\Delta) \text{ FTV}(\tau)) \mathrel{\Diamond} A_{z} = A_{o} \qquad (\text{FTV}(\Delta_{i}) \text{ FTV}(\tau)) \mathrel{\backslash} A_{z} \mathrel{\backslash} A_{r} = A_{i}}{\text{quantify}^{+\mid -}(A_{o}, \Delta_{o}, A_{i}, \Delta_{i}, \tau) = \tau'}$$

$$\frac{A_{r}, A_{z}, \Delta \vdash \tau \cong^{+\mid -} \tau'}{A_{r}, \Delta_{o}, \Delta \vdash \tau \cong^{+\mid -} \tau'}$$

**Definition 8.43.**  $A, \Delta \vdash \Delta \wr \Delta$ 

$$\frac{\text{FTV}(\tau_l) \text{ FTV}(\tau_r) = A_q \qquad \forall \alpha. \ \alpha \in A_q \implies \alpha \in A_z \qquad A_z, \Delta \vdash \Delta_o \wr \Delta_i }{A_z, \alpha \vdash \alpha \circ \tau_l <: \tau_r \vdash \Delta_o \ \tau_l <: \tau_r \wr \Delta_i }$$

$$\frac{\text{FTV}(\tau_l) \text{ FTV}(\tau_r) = A_q \qquad \alpha \in A_q \qquad \alpha \notin A_z \qquad A_z, \Delta \vdash \Delta_o \wr \Delta_i}{A_z, \Delta \tau_l <: \tau_r \vdash \Delta_o \wr \Delta_i \ \tau_l <: \tau_r}$$

**Definition 8.44.**  $\models e$ 

**Theorem 8.1.** (Typing Soundness)

$$\frac{\vdash e : \tau \dashv Z}{\Vdash e}$$

Proof:

assume 
$$\vdash e : \tau \dashv Z$$
  
. let  $\vec{\delta} \Gamma' \tau'$  s.t.  $\vec{\delta}, \Gamma' \models e : \tau'$  by Lemma 8.3

- $\vec{\delta}, \vec{\sigma} \models \Gamma' \text{ by } \dots$
- $\models e[\vec{\sigma}]$  by theorem 8.51
- $e[\vec{\sigma}] = e$  by ...
- $\models$  *e* by substitution

**Theorem 8.2.** (Proof typing consistency)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\exists \vec{\delta}. \ \vec{\delta} \models Z}$$

**TODO: ...** 

**Theorem 8.3.** (Proof typing soundness)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\exists \vec{\delta}. \ \vec{\delta}. \ \Gamma \models e : \tau}$$

TODO: ...

**Theorem 8.4.** (Proof typing weak soundness)

$$\frac{\Gamma \vdash e : \tau \dashv Z}{\forall \vec{\delta}. \ \vec{\delta} \models Z \implies \vec{\delta}, \Gamma \models e : \tau}$$

TODO: rewrite inductive hypotheses with just the conclusion implied by the case conditions

TODO: rewrite cases with universal/implication in conclusion/hypotheses

```
Proof:
```

```
assume \Gamma \vdash e : \tau \dashv Z
      induct on \Gamma \vdash e : \tau \dashv Z
      case e = 0 \tau = 0
      . let \vec{\delta} by definition
      . \vec{\delta}, \Gamma \models @ : @ by definition
      . \vec{\delta}, \Gamma \models e : \tau by substitution
            \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
      case e = x x : \tau \in \Gamma
      \mathbf{wrt} x
   . let \vec{\delta} by definition
     . \vec{\delta}, \Gamma \models x : \tau by definition
     . \vec{\delta}, \Gamma \models e : \tau by substitution
            \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
   case \Gamma \vdash e' : \tau' \dashv Z \quad \tau = \langle l \rangle \tau' \quad e = \langle l \rangle e'
  hypo \Gamma \vdash e' : \tau' \dashv Z \implies \vec{\delta}, \Gamma \models e' : \tau'
  wrt e' \tau'
   . let \delta by definition
   . \vec{\delta}, \Gamma \models e' : \tau' by application
      . \vec{\delta}, \Gamma \models \langle l \rangle e' : \langle l \rangle \tau' by definition
      . \vec{\delta}, \Gamma \models e : \tau by substitution
             \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
   TODO: remaining trivial introduction cases
. case
. hypo
. case \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \hookrightarrow Z_1 \quad \tau_0 \lessdot: l \rightarrow \alpha \dashv Z_1 \quad e = e_0 \cdot l \quad \tau = \alpha \quad Z = Z_1
```

**hypo**  $\Gamma \vdash e_0 : \tau_0 \dashv Z_0 \implies \vec{\delta}, \Gamma \vDash e_0 : \tau_0$ 

```
wrt \vec{\delta} e' l \alpha \tau_0 Z_0 Z_1
       . \vec{\delta}, \Gamma \models e_0 : \tau_0 by application
         let M \triangle s.t. \tau_0 <: l \rightarrow \alpha + M, \Delta by theorem 8.18
       . \delta \models \tau_0 <: l \rightarrow \alpha by theorem 8.23
       . \vec{\delta}, \Gamma \models e_0 : l \rightarrow \alpha by theorem 8.19
      \vec{\delta}, \Gamma \models e_0 \cdot l : \alpha by theorem 8.20
      . \vec{\delta}, \Gamma \models e : \tau by substitution
    . \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau \text{ by witness}
   case \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \longrightarrow Z_1 \quad \Gamma \vdash e_1 : \tau_1 \dashv Z_1 \quad e = e_0(e_1) \quad \tau = \alpha \quad Z = Z_2
  Z_1 \hookrightarrow Z_2 \quad \tau_0 <: \tau_1 -> \alpha \dashv Z_2
  hypo \Gamma \vdash e_0 : \tau_0 \dashv Z_0 \implies \vec{\delta}, \Gamma \models e_0 : \tau_0 \quad \Gamma \vdash e_1 : \tau_1 \dashv Z_1 \implies \vec{\delta}, \Gamma \models e_1 : \tau_1
     wrt e_0 e_1 \alpha \tau_0 \tau_1 Z_0 Z_1 Z_2
  . \vec{\delta}, \Gamma \models e_0 : \tau_0 by application
     . \vec{\delta}, \Gamma \models e_1 : \tau_1 by application
       . let M \triangle s.t. \tau_0 <: \tau_1 -> \alpha + \langle M, \Delta \rangle by theorem 8.18
       \vec{\delta}, \Gamma \models \tau_0 <: \tau_1 \rightarrow \alpha by theorem 8.23
       . \vec{\delta} \models e_0 : \tau_1 -> \alpha by theorem 8.19
      \vec{\delta}, \Gamma \models e_0(e_1) : \alpha by theorem 8.39
      . \vec{\delta}, \Gamma \models e : \tau by substitution
       . \exists \vec{\delta}. \ \vec{\delta}, \Gamma \models e : \tau by substitution
       case e = loop(e')
       \tau = \mathsf{ALL}[\alpha_1'] \alpha_1' - \mathsf{EXI}[\alpha_1' \cdot \alpha_1' * \alpha_1' < : \mathsf{LFLFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_r
   \Gamma \vdash e' : \alpha_{h^+} -> \tau' + Z_0 \qquad Z_0 \hookrightarrow Z_1 \qquad \tau' <: \alpha_l -> \alpha_r + Z_1
    | FTV(\Gamma) \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l - > \alpha_r = \alpha_{h^-} \cdot T
   hypo \forall \vec{\delta}. \ \vec{\delta} \models Z_0 \implies \vec{\delta}, \Gamma \models e' : \alpha_{h^+} - > \tau'
      wrt e' \tau' \alpha_{h^+} \alpha_l \alpha_r \alpha_{h^-} \alpha'_l \alpha'_r T Z_0 Z_1
. for \vec{\delta} assume \vec{\delta} \models Z
      . . \vec{\delta} \models Z_0 by substitution
      . . \vec{\delta}, \Gamma \models e' : \alpha_{h^+} - > \tau' by instantiation and application
      . . \vec{\delta} \models \tau' \iff \text{ALL}[\alpha'_1] \alpha'_1 \implies \text{EXI}[\alpha'_r, \alpha'_1 * \alpha'_r \iff \text{LFP}[\alpha_{h^-}] \mid (T)] \alpha_r by theorem 8.5
. . \vec{\delta} \models \tau' <: \tau by substitution
   . . \vec{\delta} \models e' : \alpha_{h^+} \rightarrow \tau by theorem 8.19
     . . \vec{\delta} = \text{loop}(e') : \tau by theorem 8.16
  . . \vec{\delta} \models e : \tau by substitution
  . \forall \vec{\delta}. \ \vec{\delta} \models Z \implies \vec{\delta} \models e : \tau by implication and generalization
      \vec{\delta}, \Gamma \models e : \tau by induction
Theorem 8.5. (Fixpoint duality soundness (new))
    \frac{\tau <: \alpha_{l} -> \alpha_{r} + Z_{1} \quad \text{FTV}(\tau) \subseteq N \quad \alpha_{l} \notin N \quad \alpha_{r} \notin N \quad N \vdash \alpha_{h^{+}} \cdot Z_{1} \cdot \alpha_{l} -> \alpha_{r} = \alpha_{h^{-}} \cdot \mathbf{T}}{\vec{\delta} \vdash \tau <: \mathsf{ALL}\left[\alpha'_{l}\right] \alpha'_{l} -> \mathsf{EXI}\left[\alpha'_{r} \cdot \alpha'_{l} * \alpha'_{r} <: \mathsf{LFP}\left[\alpha_{h^{-}}\right] \mid (\mathbf{T})\right] \alpha'_{r}}
TODO: ...
```

TODO: Cretin's corresponding theorem is Theorem 101 on p. 134

## TODO: See how Cretin proves this without using subject reduction

**Theorem 8.6.** (Fixpoint duality soundness old)

```
\frac{Z_0 \hookrightarrow Z_1 \qquad N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r \vDash \alpha_{h^-} \cdot \mathsf{T}}{\forall \tau. \ \tau <: \alpha_l -> \alpha_r \dashv Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_l' \cdot \alpha_l' * \alpha_l' * \alpha_l' <: \mathsf{LFP}[\alpha_{h^-}] \ |\ (\mathsf{T})] \alpha_l' \dashv Z_0}
Proof:
assume Z_0 \hookrightarrow Z_1 \quad N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T
      induct on N \vdash \alpha_{h^+} \cdot Z_1 \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T
      case Z_1 = \epsilon T = \epsilon
      . for \tau
. . assume \tau <: \alpha_l -> \alpha_r \dashv Z_1
    . . . \tau <: \alpha_l -> \alpha_r + \epsilon by substitution
  . . let M \triangle s.t. \langle M, \Delta \rangle \in \epsilon by theorem 8.14
. . . . ⊥ by theorem 8.15
  \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_r' \cdot \alpha_l' + \alpha_r' <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_r' + Z_0 \text{ by}
implication
. . \forall \tau. \tau <: \alpha_I -> \alpha_r \dashv Z_1 \implies \tau <: \mathsf{ALL}[\alpha_I'] \alpha_I' -> \mathsf{EXI}[\alpha_I', \alpha_I' + \alpha_I' <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_I' \dashv Z_0 \text{ by}
generalization
      case Z_1 = Z \langle M, \Delta \rangle T = T_i \tau_i
                N \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T_i
               M, \Delta, \Delta \vdash \alpha_{h^+} <: \mathsf{T}_h \quad \  \  \, \stackrel{M}{\_}, \Delta, \Delta \vdash \alpha_l <: \mathsf{T}_l \quad M, \Delta, \Delta \vdash \mathsf{T}_r <: \alpha_r
              \frac{\tau_l * \tau_r < : \alpha_{h^-}}{\tau_l} \tau_r \cdot \tau_r \cdot \tau_r = \Delta_h
                N \sqcup M \sqcup FTV(T_l) \sqcup FTV(T_r) \alpha_{h^-} = A \quad \Delta \vdash A \pitchfork \Delta_i
                N \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \&(\mathsf{T}_l) * | (\mathsf{T}_r) \cong^- \tau_i
  hypo N \vdash \alpha_{h^+} \cdot Z \cdot \alpha_l -> \alpha_r = \alpha_{h^-} \cdot T_i \implies
\forall \tau. \ \tau <: \alpha_l -> \alpha_r + Z \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_r'. (\alpha_l', \alpha_r') <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T}_i)] \alpha_r' + Z_0
. wrt Z M \Delta T_i \tau_i
. . for \tau assume \tau <: \alpha_l -> \alpha_r \dashv Z_1
. . \tau <: \alpha_l -> \alpha_r \dashv Z \langle M, \Delta \rangle by substitution
. . . \tau <: \alpha_l -> \alpha_r + \langle M, \Delta \rangle by theorem 8.17
  . . \tau <: ALL[\alpha'_1]\alpha'_1 -> EXI[\alpha'_r . (\alpha'_1, \alpha'_r) <: LFP[\alpha_{h^-}] | (T_i)]\alpha'_r + Z_0 by instantiation and
application
. . . \tau <: ALL[\alpha'_1]\alpha'_1 -> EXI[\alpha'_r.(\alpha'_1,\alpha'_r) <: LFP[\alpha_{h^-}] \mid (T_i \tau_i)]\alpha'_r \dashv Z_0 by theorem 8.7
. . \forall \tau. \ \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: ALL[\alpha_l'] \alpha_l' -> EXI[\alpha_l'. (\alpha_l', \alpha_l') <: LFP[\alpha_{h^-}] \mid (T)] \alpha_l' + Z_0
by implication and generalization
. \forall \tau. \ \tau <: \alpha_l -> \alpha_r + Z_1 \implies \tau <: \mathsf{ALL}[\alpha_l'] \alpha_l' -> \mathsf{EXI}[\alpha_r'. (\alpha_l', \alpha_r') <: \mathsf{LFP}[\alpha_{h^-}] \mid (\mathsf{T})] \alpha_r' + Z_0 \text{ by }
induction
□ by implication
```

**Theorem 8.7.** (Universe proof typing fixpoint extension)

```
\begin{split} \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l \text{->EXI}[\alpha_r . \ (\alpha_l \,, \alpha_r) <: \mathsf{LFP}[\alpha_{h^-}] \quad | \ (\mathsf{T}_i)] \alpha_r \\ &\quad \tau <: \alpha_l \text{->} \alpha_r \, \dashv \langle M, \Delta \rangle \\ &\quad \Delta \vdash \alpha_{h^+} <: \mathsf{T}_h \qquad \Delta \vdash \alpha_l <: \mathsf{T}_l \qquad \Delta \vdash \mathsf{T}_r <: \alpha_r \\ \hline \tau_l * \tau_r <: \alpha_{h^-} \tau_l \text{->} \tau_r \in \mathsf{T}_h = \Delta_h \qquad N \sqcup M \sqcup \mathsf{FTV}(\mathsf{T}_l) \sqcup \mathsf{FTV}(\mathsf{T}_r) \ \alpha_{h^-} = \mathsf{A} \\ &\quad \Delta \vdash \mathsf{A} \pitchfork \Delta_i \qquad N \ \alpha_{h^-}, M, \Delta_i \sqcup \Delta_h \vdash \&(\mathsf{T}_l) * \mid (\mathsf{T}_r) \cong^- \tau_i \\ \hline \vec{\delta} \models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l \text{->EXI}[\alpha_r . \alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \quad \mid (\mathsf{T}_i \ \tau_i)] \alpha_r \end{split}
```

Proof:

**TODO: ...** 

**Theorem 8.8.** (Universe proof typing case soundness)

$$\begin{split} \tau <: \alpha_{l} -> \alpha_{r} \dashv \langle M, \Delta \rangle \\ \Delta \vdash \alpha_{h^{+}} <: T_{h} & \Delta \vdash \alpha_{l} <: T_{l} & \Delta \vdash T_{r} <: \alpha_{r} \\ \hline \tau_{l} * \tau_{r} <: \alpha_{h^{-}} \tau_{l} -> \tau_{r} \in T_{h} & \Delta \vdash \Delta_{l} & \text{If } TV(T_{l}) \sqcup FTV(T_{r}) \alpha_{h^{-}} = A \\ & \Delta \vdash A \pitchfork \Delta_{i} & N \alpha_{h^{-}}, M, \Delta_{i} \sqcup \Delta_{h} \vdash \&(T_{l}) * \mid (T_{r}) \cong^{-} \tau_{i} \\ \hline \vec{\delta} \vDash \tau <: \mathsf{ALL}[\alpha_{l}] \alpha_{l} -> \mathsf{EXI}[\alpha_{r} . \alpha_{l} * \alpha_{r} <: \mathsf{LFP}[\alpha_{h^{-}}] \ \tau_{i}] \alpha_{r} \end{split}$$

Proof:

TODO: ...

**Theorem 8.9.** (Universe proof typing fixpoint union)

$$\begin{split} \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r \,.\, \alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \quad | \ (\mathsf{T}) \,] \alpha_r \\ \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r \,.\, \alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \quad \tau \,] \alpha_r \\ \hline \vec{\delta} &\models \tau <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r \,.\, \alpha_l * \alpha_r <: \mathsf{LFP}[\alpha_{h^-}] \quad | \ (\mathsf{T} \quad \tau) \,] \alpha_r \end{split}$$

Proof:

TODO: ...

**Theorem 8.10.** Influential soundness

TODO: Prove that any constraints on non-influential variables with have been transitively applied to the influential variables

$$\begin{split} \tau <: \alpha_{l} -> \alpha_{r} + M, \Delta & \Delta \vdash \alpha_{l} <: \mathsf{T}_{l} & \Delta \vdash \mathsf{T}_{r} <: \alpha_{r} \\ \Delta \vdash \mathsf{A} \pitchfork \Delta_{i} & \mathsf{FTV}(\tau) \subseteq \mathsf{A} \\ N, M, \Delta \sqcup \Delta' \dashv \&(\mathsf{T}_{l}) * \mid (\mathsf{T}_{r}) \cong^{-} \tau & N, M, \Delta_{i} \sqcup \Delta' \dashv \&(\mathsf{T}_{l}) * \mid (\mathsf{T}_{r}) \cong^{-} \tau_{i} \\ \hline \vec{\delta} \vDash \tau <: \tau_{i} \land \vec{\delta} \vDash \tau_{i} <: \tau \end{split}$$

Proof:

TODO: ...

П

**Theorem 8.11.** (Universe proof typing implication expansion)

$$\overline{\tau_l -> \tau_r <: \mathsf{ALL}[\alpha_l] \alpha_l -> \mathsf{EXI}[\alpha_r.(\alpha_l, \alpha_r) <: (\tau_l, \tau_r)] \alpha_r + M, \Delta}$$

Proof:

**TODO:**  $P \Longrightarrow Q$  is equivalent to  $\neg (P \land \neg Q)$  **TODO:**  $X \to Y$  is equivalent to  $\forall x \in X$ .  $\exists y$ .  $(x, y) \in (X \times Y)$ **TODO:**  $X \to Y$  is equivalent to  $\neg (\exists x \in X \land \nexists y \in Y)$ 

**Theorem 8.12.** (Upper bound interpretation sound)

$$\frac{M, \Delta, \Delta \vdash \alpha \mathrel{<:} \mathsf{T}}{\alpha \mathrel{<:} \&(\mathsf{T}) \dashv \langle M, \Delta \rangle}$$

Proof:

TODO: ...

Theorem 8.13. (Lower bound interpretation sound)

$$\frac{M, \Delta, \Delta \vdash T <: \alpha}{\mid (T) <: \alpha \dashv \langle M, \Delta \rangle}$$

Proof:

TODO: ...

**Theorem 8.14.** (Universe proof typing worldly)

$$\frac{\tau_l <: \tau_r \dashv Z}{\exists M \ \Delta \ . \ \langle M, \Delta \rangle \in Z}$$

Proof:

TODO: ...

Theorem 8.15. (Empty containment absurd)

$$\frac{\langle M,\Delta\rangle\in\epsilon}{\bot}$$

Proof:

**TODO: ...** 

**Theorem 8.16.** (Model typing implication independence)

$$\frac{\vec{\delta}, \Gamma \models e : \tau_l -> \tau_r \qquad \vec{\delta} \models \tau_r}{\vec{\delta}, \Gamma \models \mathsf{loop}(e) : \tau_r}$$

Proof:

**TODO: ...** 

Theorem 8.17. (Proof subtyping decomposition)

$$\frac{\tau_l <: \tau_r \dashv Z \ \langle M, \Delta \rangle}{\tau_l <: \tau_r \dashv \langle M, \Delta \rangle}$$

Proof:

**TODO: ...** 

**Theorem 8.18.** (Proof subtyping choice)

$$\frac{\tau_l <: \tau_r \dashv Z}{\exists M \ \Delta. \ \tau_l <: \tau_r \dashv M, \Delta}$$

Proof:

**TODO: ...** 

## **Theorem 8.19.** (Model subtyping elimination)

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \vec{\delta}, \Gamma \models e : \tau_l}{\vec{\delta}, \Gamma \models e : \tau_r}$$

Proof:

**assume**  $\vec{\delta} \models \tau_l <: \tau_r \quad \vec{\delta}, \Gamma \models e : \tau_l$ . **invert on**  $\vec{\delta} \models \tau_l <: \tau_r$ . **case**  $\forall e' \ \Gamma' . \vec{\delta}, \Gamma' \models e' : \tau_l \implies \vec{\delta}, \Gamma' \models e' : \tau_r$ . .  $\vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r$  by instantiation . .  $\vec{\delta}, \Gamma \models e : \tau_r$  by application . .  $\vec{\delta}, \Gamma \models e : \tau_r$  by inversion  $\Box$  by implication

## **Theorem 8.20.** (Model typing record elimination)

$$\frac{\vec{\delta}, \Gamma \models e : l \rightarrow \tau}{\vec{\delta}, \Gamma \models e \cdot l : \tau}$$

```
Proof:
assume \vec{\delta}, \Gamma \models e : l \rightarrow \tau
       induct on \vec{\delta}, \Gamma \models e : l \rightarrow \tau
       case e = G \$l = > v \in G \vec{\delta}, \Gamma \models v : \tau \quad \forall v'. \$l = > v' \in G \implies v' = v
       wrt G v
      . \vec{\delta}, \Gamma \models G : l \rightarrow \tau by substitution
       . G.l \rightsquigarrow v by definition
       . let \vec{\sigma} s.t. \vec{\delta}, \Gamma \models \vec{\sigma} by theorem ??
      . G.l[\vec{\sigma}] \rightsquigarrow v by definition
      . v = v[\vec{\sigma} \sqcup \epsilon] by definition
       . G.l[\vec{\sigma}] \rightsquigarrow v[\vec{\sigma} \sqcup \epsilon] by substitution
  \vec{\delta}, \epsilon \models \epsilon by definition
      . \vec{\delta}, \Gamma \sqcup \epsilon \models v : \tau by definition
      . \vec{\delta}, \Gamma \models G \cdot l : \tau by definition
      . \vec{\delta}, \Gamma \models e \cdot l : \tau by substitution
      case \vec{\delta}, \vec{\sigma} \models \Gamma e[\vec{\sigma}] \rightsquigarrow e'[\vec{\sigma} \sqcup \vec{\sigma}'] \vec{\delta}, \vec{\sigma}' \models \Gamma' \vec{\delta}, \Gamma \sqcup \Gamma' \models e' : l \rightarrow \tau
      hypo \vec{\delta}, \Gamma \sqcup \Gamma' \models e' : l \rightarrow \tau \implies \vec{\delta}, \Gamma \sqcup \Gamma' \models e' . l : \tau
       wrt \vec{\sigma} e' \vec{\sigma}' \Gamma'
   . \vec{\delta}, \Gamma \sqcup \Gamma' \models e' . l : \tau by application
      . e[\vec{\sigma}] \cdot l \rightsquigarrow e'[\vec{\sigma} \sqcup \vec{\sigma}'] \cdot l by definition
  . e[\vec{\sigma}] \cdot l = e \cdot l[\vec{\sigma}] by definition
      . e'[\vec{\sigma} \sqcup \vec{\sigma}'] . l = e' . l[\vec{\sigma} \sqcup \vec{\sigma}'] by definition
      . e.l[\vec{\sigma}] \rightsquigarrow e'.l[\vec{\sigma} \sqcup \vec{\sigma}'] by substitution
      . \vec{\delta}, \Gamma \models e \cdot l : \tau by definition
       \vec{\delta}, \Gamma \models e \cdot l : \tau by induction
```

**Theorem 8.21.** (Proof subtyping consistency)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\exists \vec{\delta} : \vec{\delta} \models \Delta}$$

**Theorem 8.22.** (Proof subtyping soundness)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\exists \vec{\delta}. \; \vec{\delta} \models \tau_l <: \tau_r}$$

**Theorem 8.23.** (Proof subtyping weak soundness)

$$\frac{\tau_l <: \tau_r \dashv M, \Delta}{\forall \vec{\delta}. \; \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r}$$

TODO: closed variabless simply remove variables from soundness consideration Proof: TODO: think about how to handle the mutually recursive definition

```
assume \tau_l <: \tau_r \dashv M, \Delta
      induct on \tau_l <: \tau_r \dashv M, \Delta
      case \tau_l = \tau  \tau_r = \tau
     . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \tau <: \tau by theorem 8.37
     . . \vec{\delta} \models \tau_l <: \tau_r by substitution
     . \forall \vec{\delta} \cdot \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
      case \tau_l = BOT
     . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \mathsf{BOT} <: \tau_r by definition
     . . \vec{\delta} \models \tau_l <: \tau_r by substitution
     . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
      case \tau_r = \mathsf{TOP}
     . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \tau_l <: \mathsf{TOP} by definition
    . . \vec{\delta} \models \tau_l <: \tau_r by substitution
     . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
     case \tau_r = l - > (\tau_{rl} \& \tau_{rr})  \tau_l <: (l - > \tau_{rl}) \& (l - > \tau_{rr}) + M, \Delta
     hypo \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: (l > \tau_{rl}) \& (l > \tau_{rr})
     wrt l \tau_{rl} \tau_{rr}
   . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \tau_l <: (l \rightarrow \tau_{rl}) \& (l \rightarrow \tau_{rr}) by application
     . . for e \Gamma assume \vec{\delta}, \Gamma \models e : \tau_1
     . . . \vec{\delta}, \Gamma \models e : (l \rightarrow \tau_{rl}) \& (l \rightarrow \tau_{rr}) by theorem 8.38
. . . \vec{\delta}, \Gamma \models e : l \rightarrow \tau_{rl} by theorem 8.36
. . . \vec{\delta}, \Gamma \models e : l \rightarrow \tau_{rr} by theorem 8.36
. . . \delta, \Gamma \models e.l : \tau_{rl} by theorem 8.20
. . . \vec{\delta}, \Gamma \models e \cdot l : \tau_{rr} by theorem 8.20
. . . \vec{\delta}, \Gamma \models e.l : \tau_{rl} \& \tau_{rr} by definition
   . . . \vec{\delta}, \Gamma \models e : l \rightarrow (\tau_{rl} \& \tau_{rr}) by theorem 8.34
```

```
. . . \vec{\delta}, \Gamma \models e : \tau_r by substitution
       . . \forall e \; \Gamma. \; \vec{\delta}, \; \Gamma \models e : \tau_l \implies \vec{\delta}, \; \Gamma \models e : \tau_r \text{ by implication and generalization}
      . . \vec{\delta} \models \tau_l <: \tau_r by definition
      . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \dot{\vec{\delta}} \models \tau_l <: \tau_r by implication and generalization
       case \tau_l = \tau_{ll} \mid \tau_{lr} M = M_1 \Delta = \Delta_1
      | \tau_{ll} <: \tau_r \dashv M_0, \Delta_0 \quad M_0 \preceq M_1 \quad \Delta_0 \preceq \Delta_1 \quad \tau_{lr} <: \tau_r \dashv M_1, \Delta_1
\mathbf{hypo} \ \forall \vec{\delta}. \ \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models \tau_{ll} <: \tau_r \quad \forall \vec{\delta}. \ \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models \tau_{lr} <: \tau_r
      wrt \tau_{ll} \ \tau_{lr}
             for \vec{\delta} assume M, \vec{\delta} \models \Delta
      . . \vec{\delta} \models \Delta_1 by substitution
       . . \vec{\delta} \models \tau_{lr} <: \tau_r by application
      . . \vec{\delta} \models \Delta_0 by theorem ?? TODO: ...
      . . \vec{\delta} \models \tau_{ll} <: \tau_r by application
      . . \vec{\delta} \models \tau_{ll} \mid \tau_{lr} <: \tau_r by definition
      . . \vec{\delta} \models \tau_l <: \tau_r by substitution
       . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
      case \tau_r = \tau_{rl} \& \tau_{rr} M = M_1 \Delta = \Delta_1
     | \tau_{l} <: \tau_{rl} + M_{0}, \Delta_{0} \quad M_{0} \leq M_{1} \quad \Delta_{0} \leq \Delta_{1} \quad \tau_{l} <: \tau_{rr} + M_{1}, \Delta_{1}
\mathbf{hypo} \ \forall \vec{\delta}. \ \vec{\delta} \models \Delta_{0} \implies \vec{\delta} \models \tau_{l} <: \tau_{rl} \quad \forall \vec{\delta}. \ \vec{\delta} \models \Delta_{1} \implies \vec{\delta} \models \tau_{l} <: \tau_{rr}
      . for \vec{\delta} assume \vec{\delta} \models \Delta
      . . \delta \models \Delta_1 by substitution
       . . \vec{\delta} \models \tau_l <: \tau_{rr} by instantiation and application
      . . \vec{\delta} \models \Delta_0 by theorem ?? TODO: ...
       . . \vec{\delta} \models \tau_l <: \tau_{rl} by instantiation and application
       . . \vec{\delta} \models \tau_l <: \tau_{rl} \& \tau_{rr} by definition
      . . \vec{\delta} \models \tau_l <: \tau_r by substitution
             \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by implication and generalization}
      hypo
      wrt
      . TODO: ...
      hypo
      wrt
      TODO: ...
    case \tau_l = \text{EXI}[A \ Q]\tau_b \quad M = M_1 \quad \Delta = \Delta_1
                  Q \dashv M_0, \Delta_0 \quad A \# \tau_r \quad M_0 \sqcup A \preceq M_1 \quad \Delta_0 \preceq \Delta_1 \quad \tau_b <: \tau_r \dashv M_1, \Delta_1
      hypo \forall \vec{\delta}. \ \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models M_1, \tau_b <: \tau_r
      mutu \forall \vec{\delta}. \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models Q by theorem ?? TODO: sequence soundness / mutual
dependence
    wrt A Q \tau_b M_1 \Delta_1 M_0 \Delta_0
      . for \vec{\delta} assume \vec{\delta} \models \Delta
  . . \vec{\delta} \models \Delta_1 by substitution
             . \vec{\delta} \models \tau_h <: \tau_r by application
```

```
. . . \vec{\delta} \models \Delta_0 by theorem 8.28
           . \vec{\delta} \models Q by application
   . . for e assume \vec{\delta} \models e : \tau_l
      . . . \vec{\delta} \models e : \mathsf{EXI}[A \ \mathcal{O}] \tau_b by substitution
   . . . \vec{\delta} \models e : \tau_r by theorem 8.24
     . . \forall e. \vec{\delta} \models e : \tau_l \implies \vec{\delta} \models e : \tau_r
   . . \vec{\delta} \models \tau_l <: \tau_r by definition
    . \forall \vec{\delta} . \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r by implication and generalization
     case \tau_r = ALL[A \ Q]\tau_b \quad M = M_1 \quad \Delta = \Delta_1
              Q \dashv M_0, \Delta_0 \quad A \# \tau_l \quad M_0 \sqcup A \preceq M_1 \quad \Delta_0 \preceq \Delta_1 \quad \tau_l <: \tau_b \dashv M_1, \Delta_1
     hypo \forall \vec{\delta}. \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models \tau_l <: \tau_b
     mutu \forall \vec{\delta}, \vec{\delta} \models \Delta_0 \implies \vec{\delta} \models Q by theorem ?? TODO: sequence soundness / mutual
dependence
. wrt A Q \tau_b M_1 \Delta_1 M_0 \Delta_0
. . for \vec{\delta} assume \vec{\delta} \models \Delta
     . . \vec{\delta} \models \Delta_1 by substitution
           . \vec{\delta} \models \tau_l <: \tau_b by application
     . . \vec{\delta} \models \Delta_0 by theorem 8.28
     . . \vec{\delta} \models Q by application
. . . for e assume \vec{\delta} \models e : \tau_l
     . . . \vec{\delta} \models e : ALL[A \ Q]\tau_b by theorem 8.25
      . . . \vec{\delta} \models e : \tau_r by substitution
     . . \forall e. \ \vec{\delta} \models e : \tau_l \implies \vec{\delta} \models e : \tau_r
     . . \vec{\delta} \models \tau_l <: \tau_r by definition
          \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \dot{\vec{\delta}} \models \tau_l <: \tau_r \text{ by implication and generalization}
     . TODO: ...
   case \tau_l = \alpha M = M_1 \Delta = \Delta_1 \alpha <: \tau_r
              \alpha \notin M_0 M_0, \Delta_0 \vdash \Delta_m <: ^{\sharp} \alpha/\tau_r M_0, \Delta_0 \vdash T <: ^{\dagger} \alpha M_0 \preceq M_1
               \Delta_0 \sqcup \Delta_m \preceq \Delta_1 \quad | (\mathbf{T}) <: \tau_r \dashv M_1, \Delta_1
     hypo \forall \vec{\delta}. \vec{\delta} \models \Delta_1 \implies \vec{\delta} \models | (T) <: \tau_r
      wrt \alpha M_1 \Delta_1 M_0 \Delta_0 \Delta_m T
           for \vec{\delta} assume \vec{\delta} \models \Delta
      . . \vec{\delta} \models \Delta_1(\alpha <: \tau_r) by substitution
         . \vec{\delta} \models \alpha <: \tau_r by theorem 8.26
          . \vec{\delta} \models \tau_l <: \tau_r by substitution
           \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by implication and generalization}
           TODO: ...
    case
     hypo
      wrt
   TODO: ...
     case
     hypo
      wrt
    TODO: ...
```

```
case
   hypo
   wrt
  . TODO: ...
   case
   hypo
   wrt
 . TODO: ...
   case
  hypo
   wrt
 . TODO: ...
   case
   hypo
   wrt
 . TODO: ...
   case
 hypo
   wrt
 . TODO: ...
   case
  hypo
   wrt
. TODO: ...
   case
  hypo
   wrt
  . TODO: ... \forall \vec{\delta}. \ \vec{\delta} \models \Delta \implies \vec{\delta} \models \tau_l <: \tau_r \text{ by induction}
□ by implication
```

**Theorem 8.24.** Model typing existential elimination

$$\frac{\vec{\delta} \vDash e : \mathsf{EXI} \left[ \mathsf{A} \ \mathcal{Q} \right] \tau_l \qquad \vec{\delta} \vDash \mathcal{Q} \qquad \vec{\delta} \vDash \tau_l <: \tau_r \qquad \mathsf{A} \# \tau_r}{\vec{\delta} \vDash e : \tau_r}$$

Proof:

TODO: depends on proof subtyping. can we abstract away proof subtyping?

Theorem 8.25. Model typing universal introduction

$$\frac{\vec{\delta} \models e : \tau_{l} \qquad \vec{\delta} \models Q \qquad \vec{\delta} \models \tau_{l} <: \tau_{r} \qquad A \# \tau_{l}}{\vec{\delta} \models e : ALL[A \ Q] \tau_{r}}$$

Proof

TODO: depends on proof subtyping. can we abstract away proof subtyping?

Theorem 8.26. Model subtyping sequence last

$$\frac{\vec{\delta} \models \Delta \ (\tau_l <: \tau_r)}{\vec{\delta} \models \tau_l <: \tau_r}$$

Proof:

TODO: ...

Theorem 8.27. Model subtyping sequence reduction

$$\frac{\vec{\delta} \models \Delta \, \delta}{\vec{\delta} \models \Lambda}$$

Proof:

TODO: ...

**Theorem 8.28.** Model subtyping sequence prefix

$$\frac{\vec{\delta} \models \Delta' \qquad \Delta \preceq \Delta'}{\vec{\delta} \models \Lambda}$$

Proof:

TODO: ...

Theorem 8.29. Model subtyping sequence uncat

$$\frac{\vec{\delta} \models \Delta \sqcup \Delta'}{\vec{\delta} \models \Delta}$$

Proof:

TODO: ...

**Theorem 8.30.** concatenation prefix

$$\overline{\Lambda \prec \Lambda \sqcup \Lambda'}$$

Proof:

**TODO: ...** 

**Theorem 8.31.** Model subtyping unsub left

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \alpha/\tau_l \in \vec{\delta}}{\vec{\delta} \models \alpha <: \tau_r}$$

Proof:

TODO: ...

**Theorem 8.32.** Model subtyping unsub right

$$\frac{\vec{\delta} \models \tau_l <: \tau_r \qquad \alpha/\tau_r \in \vec{\delta}}{\vec{\delta} \models \tau_l <: \alpha}$$

Proof:

**TODO: ...** 

**Theorem 8.33.** Model subtyping something

$$\frac{\vec{\delta} \models \Delta \qquad M, \Delta \vdash T <: ^{\sharp} \alpha}{\alpha / \mid (T) \in \vec{\delta}}$$

Proof:

TODO: ...

**Theorem 8.34.** Model typing record introduction

$$\frac{\vec{\delta} \models e.l : \tau}{\vec{\delta} \models e : l \to \tau}$$

Proof:

TODO: ...

Theorem 8.35. Model typing implication introduction TODO: this is really messed up

$$\frac{\vec{\delta} \models e_0 \, (e_1) \, : \tau_r \qquad \vec{\delta} \models e_1 \, : \tau_l \qquad \forall \tau. \, \vec{\delta} \models e_1 \, : \tau \implies \tau_l <: \tau}{\vec{\delta} \models e_0 \, : \tau_l > \tau_r}$$

Proof:

TODO: ...

**Theorem 8.36.** Model typing intersection elimination

$$\frac{\vec{\delta} \models e : \tau_l \& \tau_r}{\vec{\delta} \models e : \tau_l \land \vec{\delta} \models e : \tau_r}$$

Proof:

TODO: ...

**Theorem 8.37.** Model typing reflexivity

$$\overline{\vec{\delta}} \models \tau <: \tau$$

Proof:

for  $e \Gamma$  assume  $\vec{\delta}, \Gamma \models e : \tau$ 

.  $\vec{\delta}$ ,  $\Gamma \models e : \tau$  by identity

 $\forall e \; \Gamma. \; \vec{\delta}, \Gamma \models e : \tau \implies \vec{\delta}, \Gamma \models e : \tau \text{ by implication and generalization}$   $\Box$  by definition

**Theorem 8.38.** (Model typing subsumption)

$$\frac{\vec{\delta}, \Gamma \models e : \tau_l \qquad \vec{\delta}, \Gamma \models \tau_l <: \tau_r}{\vec{\delta}, \Gamma \models e : \tau_r}$$

Proof:

**assume**  $\vec{\delta}$ ,  $\Gamma \models e : \tau_l \quad \vec{\delta}$ ,  $\Gamma \models \tau_l <: \tau_r$ 

. **invert on**  $\vec{\delta}$ ,  $\Gamma \models \tau_l <: \tau_r$ 

. case  $\forall e' . \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r$ 

. .  $\forall e' . \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r$  by identity

.  $\forall e' . \vec{\delta}, \Gamma \models e' : \tau_l \implies \vec{\delta}, \Gamma \models e' : \tau_r \text{ by inversion}$ 

.  $\vec{\delta}, \Gamma \models e : \tau_l \implies \vec{\delta}, \Gamma \models e : \tau_r$  by instantiation

.  $\vec{\delta}$ ,  $\Gamma \models e : \tau_r$  by application

□ by implication

## **Theorem 8.39.** (Model typing implication elimination)

```
\frac{\vec{\delta}, \Gamma \models e_0 : \tau_l -> \tau_r \qquad \vec{\delta}, \Gamma \models e_1 : \tau_l}{\vec{\delta}, \Gamma \models e_0 \left(e_1\right) : \tau_r}
assume \vec{\delta}, \Gamma \models e_0 : \tau_l -> \tau_r \quad \vec{\delta}, \Gamma \models e_1 : \tau_l
       let \vec{\sigma} s.t. \vec{\delta}, \vec{\sigma} \models \Gamma by theorem ??
      induct on \vec{\delta}, \Gamma \models e_0 : \tau_I -> \tau_r
      case TODO: ...
   case e_0 = F \$ p = > e_2 \vec{\delta}, \Gamma \models F : \tau_1 - > \tau_r
      hypo \vec{\delta}, \Gamma \models F(e_1) : \tau_r
       wrt F p e_2
     . \models F(e_1)[\vec{\sigma}] by theorem 8.51
       . invert on \models F(e_1)[\vec{\sigma}]
       . case F(e_1)[\vec{\sigma}] = v
       . . F(e_1)[\vec{\sigma}] \neq v by definition
                     ⊥ by application
       . case (F(e_1))[\vec{\sigma}] \rightsquigarrow e_3 \quad \vec{\delta}, \Gamma \models e_3 : \tau_r
       . wrt e<sub>3</sub>
       . . (F(e_1))[\vec{\sigma}] = F[\vec{\sigma}](e_1[\vec{\sigma}]) by definition
       . . F[\vec{\sigma}](e_1[\vec{\sigma}]) \rightsquigarrow e_3 by substitution
       . . let F' s.t. F[\vec{\sigma}] = F' by theorem ?? TODO: ...
       let e'_1 s.t. e_1[\vec{\sigma}] = e'_1 by theorem ?? TODO: ...

FV(e_2[\vec{\sigma} \setminus \text{FV}(p)]) \subseteq \text{FV}(p) by theorem ?? TODO: ...

F'(e'_1) \leadsto e_3 by substitution
       . . (F' p = e_2[\vec{\sigma} \setminus FV(p)]) (e'_1) \rightsquigarrow e_3 by definition
       . . (F[\vec{\sigma}] p = e_2[\vec{\sigma} \setminus FV(p)]) (e_1[\vec{\sigma}]) \rightsquigarrow e_3 by substitution
      . . ((F p = e_2)(e_1))[\vec{\sigma}] = (F[\vec{\sigma}] p = e[\vec{\sigma} \setminus FV(p)])(e_1[\vec{\sigma}]) by definition
      . . ((F^p=>e_2)(e_1))[\vec{\sigma}] \rightsquigarrow e_3 by substitution
     . . \vec{\delta}, \Gamma \models (F p = > e) (e_1) : \tau_r by definition
   \vec{\delta}, \Gamma \models (F p = e) (e_1) : \tau_r \text{ by inversion}
  case \vec{\delta}, \vec{\sigma} \models \Gamma e_0[\vec{\sigma}] \rightsquigarrow e'_0 \quad \dot{\vec{\delta}}, \Gamma \models e'_0 : \tau_l \rightarrow \tau_r

hypo \vec{\delta}, \Gamma \models e'_0 : \tau_l \rightarrow \tau_r \implies \vec{\delta}, \Gamma \models e'_0 (e_1) : \tau_r
. . \vec{\delta}, \Gamma \models e'_0(e_1) : \tau_r by application
  e_0[\vec{\sigma}](\vec{e}_1) \rightsquigarrow e'_0(\vec{e}_1)
. . (e_0(e_1))[\vec{\sigma}] \leadsto e'_0(e_1)
. . \vec{\delta}, \Gamma \models e_0(e_1) : \tau_r
       \vec{\delta}, \Gamma \models e_0(e_1) : \tau_r by induction
```

Theorem 8.40. Model typing reduced implication elimination

$$\frac{\vec{\delta}, \Gamma \models (F \$ p = > e) : \tau_l - > \tau_r \qquad \vec{\delta}, \Gamma \models e_1 : \tau_l \qquad F = \epsilon \lor \vec{\delta}, \Gamma \models F(e_1) : \tau_r}{\vec{\delta}, \Gamma \models (F \$ p = > e) (e_1) : \tau_r}$$

```
assume \models e_1[\vec{\sigma}]
     let \vec{\sigma} s.t. \vec{\delta}, \vec{\sigma} \models \Gamma by theorem 8.50
     \Vdash e_1[\vec{\sigma}] by theorem 8.51
     induct on \models e_1[\vec{\sigma}]
     case e_1[\vec{\sigma}] = v_1
     wrt v_1
 \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by theorem 8.41
     case e_1[\vec{\sigma}] \rightsquigarrow e'_1 \models e'_1
     hypo \vDash e'_1 \implies \vec{\delta}, \Gamma \vDash (F p = > e) (e'_1) : \tau_r
     . \vec{\delta}, \Gamma \models (F p = e) (e'_1) : \tau_r by application
          (F p = e) [\vec{\sigma}] (e_1 [\vec{\sigma}]) \rightsquigarrow (F p = e) [\vec{\sigma}] (e'_1) by definition
     . \forall x. \ x \notin \mathbf{FV}(e'_1) by theorem 8.48
     . e'_1 = e'_1[\vec{\sigma}] by by theorem 8.49
     . (F$p=>e)[\vec{\sigma}](e_1[\vec{\sigma}]) \rightsquigarrow (F$p=>e)[\vec{\sigma}](e'_1[\vec{\sigma}]) by substitution
     . ((F^p=>e)(e_1))[\vec{\sigma}] \rightsquigarrow ((F^p=>e)(e_1))[\vec{\sigma}] by definition
     . \vec{\sigma} \sqcup \epsilon = \vec{\sigma} by definition
     . ((F p = e) (e_1)) [\vec{\sigma}] \rightsquigarrow ((F p = e) (e'_1)) [\vec{\sigma} \sqcup \epsilon] by substitution
     . \Gamma \sqcup \epsilon = \Gamma by definition
     . \vec{\delta}, \epsilon \models \epsilon by definition
     . \vec{\delta}, \Gamma \sqcup \epsilon \models (F \not p = > e) (e'_1) by substitution
       \vec{\delta}, \Gamma \models (F \not p = > e) (e_1) : \tau_r by definition
      \vec{\delta}, \Gamma \models (F \not\models p = > e) (e_1) : \tau_r by induction
□ by implication
```

**Theorem 8.41.** Model typing fully reduced implication elimination

```
\begin{split} \vec{\delta}, \Gamma \vDash (F \$ p => e) : \tau_l -> \tau_r \\ F = \epsilon \lor \vec{\delta}, \Gamma \vDash F(e_1) : \tau_r & \vec{\delta} \vDash e_1 : \tau_l & \vec{\delta}, \vec{\sigma} \vDash \Gamma & e_1[\vec{\sigma}] = v_1 \\ \vec{\delta}, \Gamma \vDash (F \$ p => e) (e_1) : \tau_r \end{split}
```

```
Proof:
```

```
assume F = \epsilon \lor \vec{\delta}, \Gamma \models F : \tau_l \rightarrow \tau_r TODO: add more assumptions
      \vec{\delta} \models e_1[\vec{\sigma}] : \tau_l by theorem 8.45
      \vec{\delta} \models v_1 : \tau_l by substitution
      invert on F = \epsilon \vee \vec{\delta}, \Gamma \models F : \tau_1 \rightarrow \tau_r
      case F = \epsilon
      . \vec{\delta}, \Gamma \models F \not = e : \tau_l - \tau_r by theorem ??
             \vec{\delta}, \Gamma \models p = e : \tau_l - \tau_r by substitution
            let \vec{\sigma}' s.t. p \equiv v_1 + \vec{\sigma}' by theorem 8.46
            for e'
                   \neg \epsilon[\vec{\sigma}](v_1) \rightsquigarrow e' by definition
                   \neg F[\vec{\sigma}](v_1) \rightsquigarrow e' by substitution
      . \forall e'. \neg F[\vec{\sigma}](v_1) \rightsquigarrow e' by generalization
      . (F[\vec{\sigma}] p = e[\vec{\sigma} \setminus FV(p)]) (v_1) \rightsquigarrow e[\vec{\sigma} \setminus FV(p)][\vec{\sigma}] by definition
      . \forall x. \ x \in \mathbf{FV}(p) \iff x \in \mathbf{dom}(\vec{\sigma}') by theorem 8.42
             \vec{\sigma} \setminus \mathbf{FV}(p) = \vec{\sigma} \setminus \mathbf{dom}(\vec{\sigma}') by theorem 8.43
```

Theorem 8.42. (Pattern matching consistency)

$$\frac{p \equiv v + \vec{\sigma}}{\forall x. \ x \in \mathbf{FV}(p) \iff x \in \mathbf{dom}(\vec{\sigma})}$$

Proof:

**TODO: ...** 

Theorem 8.43. (Consistency diffing)

$$\frac{\forall x. \ x \in X_l \iff x \in X_r}{\vec{\sigma} \backslash X_l = \vec{\sigma} \backslash X_r}$$

Proof:

**TODO: ...** 

П

**Theorem 8.44.** (Concatenation Substitution )

$$\overline{e[\vec{\sigma} \backslash \mathbf{dom}(\vec{\sigma}')][\vec{\sigma}'] = e[\vec{\sigma} \sqcup \vec{\sigma}']}$$

Proof:

**TODO: ...** 

**Theorem 8.45.** (Model typing valuation)

$$\frac{\vec{\delta}, \Gamma \models e : \tau \qquad \vec{\delta}, \vec{\sigma} \models \Gamma}{\vec{\delta} \models e[\vec{\sigma}] : \tau}$$

Proof:

**assume**  $\vec{\delta}$ ,  $\Gamma \models e : \tau \quad \vec{\delta}$ ,  $\vec{\sigma} \models \Gamma$ 

**TODO: ...** 

**Theorem 8.46.** (Model typing pattern matching)

$$\frac{\vec{\delta}, \Gamma \models \$p{=}{>}e : \tau_l{\,}{-}{>}\tau_r \qquad \vec{\delta} \models v : \tau_l}{\exists \vec{\sigma}.\ p \equiv v \dashv \vec{\sigma}}$$

Proof:

assume  $\vec{\delta}$ ,  $\Gamma \models p > e : \tau_l - \tau_r \quad \vec{\delta} \models v : \tau_l$ TODO: ...

Theorem 8.47. (Well-formed function valuation)

$$\frac{\models F}{\exists v.\ v = F}$$

Proof.

assume  $\models F$ 

- . invert on  $\models F$
- $\mathbf{case}\ v = F$
- . v = F by identity
- . case  $F \rightsquigarrow e$
- . wrt e
- . .  $\neg F \rightsquigarrow e$  by definition
- . .  $\perp$  by application
- . v = F by inversion

Theorem 8.48. (Reduction closed)

$$\frac{e \rightsquigarrow e'}{\forall x. \ x \notin \mathbf{FV}(e')}$$

Proof:

assume  $e \rightsquigarrow e'$ 

TODO: ...

Theorem 8.49. (Closed substitution)

$$\frac{\forall x. \ x \notin \mathbf{FV}(e)}{e = e[\vec{\sigma}]}$$

Proof:

assume  $\forall x. x \notin FV(e)$ 

**TODO: ...** 

**Theorem 8.50.** (Model typing assignability)

$$\frac{\vec{\delta},\Gamma \models e:\tau}{\exists \vec{\sigma}.\; \vec{\delta},\vec{\sigma} \models \Gamma}$$

Proof:

**TODO: ...** 

## **Theorem 8.51.** (Model typing soundness)

$$\frac{\vec{\delta}, \Gamma \models e : \tau}{\forall \vec{\sigma}. \ \vec{\delta}, \vec{\sigma} \models \Gamma \implies \Vdash e[\vec{\sigma}]}$$

Proof:

```
TODO: redo using universal/implication
```

```
assume \vec{\delta}, \vec{\sigma} \models \Gamma \vec{\delta}, \Gamma \models e : \tau
      case e = 0
            let v s.t. 0 = v
        e[\vec{\sigma}] = v
             \Vdash e[\vec{\sigma}]
      case \vec{\delta}, \Gamma \models e' : \tau' \quad e = \langle l \rangle e' \quad \tau = \langle l \rangle \tau'
            \Vdash e' by induction hypothesis
            case e'[\vec{\sigma}] = v
           . let v' s.t. \langle l \rangle v = v'
           |\cdot| < l > e'[\vec{\sigma}] = v'
      . . (\langle l \rangle e')[\vec{\sigma}] = v'
           e[\vec{\sigma}] = v'
                  \models e[\vec{\sigma}]
            case e'[\vec{\sigma}] \rightsquigarrow e'' \models e''
      . \langle l \rangle e'[\vec{\sigma}] \rightsquigarrow \langle l \rangle e''
           . ⊫ <l>e''
   . . ⊫ <l>e' [σ̄]
   . . \Vdash (\langle l \rangle e')[\vec{\sigma}]
                  \Vdash e[\vec{\sigma}]
            \models e[\vec{\sigma}] by cases on \models e'
      TODO: remaining introduction cases
      case x : \tau \in \Gamma  x/v \in \vec{\sigma}  e = x
      x[\vec{\sigma}] = v
      e[\vec{\sigma}] = v
   . ⊫ e[σ]
      case e[\vec{\sigma}] \rightsquigarrow e' \quad \vec{\delta}, \Gamma \models e' : \tau
             \models e'[\vec{\sigma}] by induction hypothesis
            \models e[\vec{\sigma}]
      \models e[\vec{\sigma}] by induction on \vec{\delta}, \Gamma \models e : \tau
```

## TODO: Cretin's corresponding theorem is by definition of pretypes on p. 125

**NOTE:** The induction hypothesis includes the generalized assumption, e.g.  $\forall e'$ .  $e' < e \implies Q(e')$  if inducting on e or  $\forall e'$ .  $(P(e') \implies P(e))$ ,  $P(e') \implies Q(e')$  if inducting on predicate P

**NOTE:** we induct on  $\vec{\delta}$ ,  $\Gamma \models e : \tau$  instead of e, as the predicate acts as a guard/ordering in lieu of a decreasing e. This allows us to use the induction hypothesis on the reduction step result in the elimination case.

**NOTE:** Kozen says, "Intuitively, one can appeal to the coinductive hypothesis as long as there has been progress in observing the elements of the stream (guardedness) and there is no further analysis of the tails (opacity)". Kozen demonstrates a legal proof by induction on infinite streams too

**Definition 8.45.** 
$$[\vec{\delta}, \vec{\sigma} \models \Gamma]$$

$$\frac{\vec{\delta}, \vec{\sigma} \models \Gamma \qquad \vec{\delta} \models v : \tau}{\vec{\delta}, \vec{\sigma} \models \epsilon} \qquad \frac{\vec{\delta}, \vec{\sigma} \models \Gamma \qquad \vec{\delta} \models v : \tau}{\vec{\delta}, \vec{\sigma} \mid x/v \models \Gamma \mid x : \tau}$$