# A Mechanized Theory of Communication Analysis in CML

March 4, 2019

#### Concurrent ML

- extension of Standard ML
- concurrency and synchronization
- synchronized communication over channels: send event, receive event
- composition of events: choose event, wrap event ...

#### Concurrent ML

```
type thread_id
val spawn : (unit -> unit) -> thread_id

type 'a chan
val channel : unit -> 'a chan

type 'a event
val sync: 'a event -> 'a
val recvEvt: 'a chan -> 'a event
val sendEvt: 'a channel * 'a -> unit event

val send: 'a chan * 'a -> unit
fun send (ch, v) = sync (sendEvt (ch, v))

val recv: 'a chan -> 'a
fun recv ch = sync (recvEvt ch)
```

#### Concurrent ML

```
structure Serv : SERV =
struct
 datatype serv = S of (int * int chan)
     chan
 fun make () =
 let
   val regCh = channel ()
   fun loop state =
   let
     val (v, replCh) = recv reqCh
     val () = send (replCh, state)
    in
     loop v
   end
   val() = spawn(fn() => loop 0)
 in
   S reqCh
 end
```

```
fun call (server, v) =
let
  val S reqCh = server
  val replCh = channel ()
  val () = send (reqCh, (v, replCh))
in
  recv replCh
  end
end

signature SERV =
sig
  type serv
  val make : unit -> serv
  val call : serv * int -> int
end
```

#### Isabelle/HOL

- interactive theorem proving assistant; proof assistant
- unification and rewriting
- simply typed terms
- propositions as boolean typed terms
- higher order terms
- ▶ inductive data
- computable recursive functions
- inductive predicates
- inductive reasoning
- tactics and composition

#### Isabelle/HOL

```
\vdash P1 \lor P2 \rightarrow 0
proof
  assume P1 V P2:
     case P1:
       have \vdash P1 \rightarrow 0 by A
       have \vdash Q by modus ponens
     case P2:
       have \vdash P2 \rightarrow 0 by B
       have \vdash 0 by modus ponens
     have P1 \vdash 0. P2 \vdash 0
     have ⊢ Q by disjunction elimination
  have P1 \vee P2 \vdash 0
  have \vdash P1 \lor P2 \rightarrow 0
     by implication introduction
aed
```

```
\vdash P1 \lor P2 \rightarrow 0
apply (rule impI)
  P1 \lor P2 \vdash 0
apply (erule disjE)
 apply (insert A)
 P1. P1 \rightarrow 0 \vdash 0
apply (erule mp)
 apply assumption
  apply (insert B)
  P2. P2 \rightarrow 0 \vdash 0
apply (erule mp)
  P2 ⊢ P2
apply assumption
done
```

## **Analysis**

- communication classification: one-shot, one-to-many, many-to-one, many-to-many
- control flow analysis
- channel liveness
- algorithm vs constraints
- structural recursion vs fixpoint accumulation
- performance improvements
- safety

# Synchronization

- uniprocessor; dispatch scheduling
- multiprocessor; mutex and compare-and-swap
- synchronization state
- sender and receiver thread containers
- message containers

## **Syntax**

```
datatype name = Nm string
datatype term =
  Bind name complex term
| Rslt name
and complex =
  Unt
 MkChn
  Atom atom
  Spwn term
 Sync name
 Fst name
  Snd name
  Case name name term name term
  App name name
and atom =
  SendEvt name name
I RecvEvt name
  Pair name name
 Lft name
  Rht name
  Fun name name term
```

## **Dynamic Semantics**

```
predicate dynamicEval:
  pool -> communication -> pool -> communication -> bool
where
  return: pool path n env n_k t_k env_k stack' v comm .
    leaf pool path.
    pool path = Some (Stt (Rslt n) env ((Ctn n_k t_k env<sub>k</sub>) # stack')),
    env n = Some v
  ⊢ dynamicEval
    pool comm
    (pool(
      path @ [DRtn n] ->
        (Stt t_{\nu} env_{\nu}(n_{\nu} \rightarrow v) stack')
    ))
    comm
* seg: pool path n c t' env stack v .
    leaf pool path,
    pool path = Some (Stt (Bind n c t') env stack).
    segEval c env v
  ├ dvnamicEval
    pool comm
    (pool(
      path @ [DSeq n] -> (Stt t' (env(n -> v)) stack)
    ))
    comm
```

### **Dynamic Semantics**

```
* call: pool path n c t' env stack to envo comm .
    leaf pool path.
    pool path = Some (Stt (Bind n c t') env stack),
    callEval c env t<sub>c</sub> env<sub>c</sub>
  ⊢ dynamicEval
    pool comm
    (pool(
      path @ [DCll n] -> (Stt tc envc ((Ctn n t' env) # stack))
    )) comm
* makeChan: pool path n t' env stack .
    leaf pool path.
    pool path = Some (Stt (Bind n MkChn t') env stack)
  ⊢ dvnamicEval pool comm
    (pool(
      path @ [DSeq n] ->
        (Stt t' (env(n -> (VChn (Chan path n)))) stack)
    )) comm
* spawn: pool path n t<sub>c</sub> t' env stack comm .
    leaf pool path,
    pool path = Some (Stt (Bind n (Spwn t_c) t') env stack)

    ⊢ dynamicEval pool comm

    (pool(
      path @ [DSeg n] -> (Stt t' (env(n -> VUnt)) stack),
      path @ [DSpwn n] -> (Stt tc env [])
    )) comm
```

## **Dynamic Semantics**

```
* sync: pool paths ns nse ts envs stacks nsc nm
  env_{se} path<sub>r</sub> n_r n_{re} t_r env_r stack<sub>r</sub> n_{rc} env_{re} chan comm .
     leaf pool paths,
     pool path_{S} = Some
        (Stt (Bind n_s (Sync n_{se}) t_s) env<sub>s</sub> stack<sub>s</sub>),
     env_s n_{s\rho} = Some
        (VAtm (SendEvt n_{sc} n_{m}) env<sub>se</sub>),
     leaf pool path_r,
     pool path r = Some
        (Stt (Bind n_r (Sync n_{re}) t_r) env<sub>r</sub> stack<sub>r</sub>),
     env_r n_{re} = Some
        (VAtm (RecvEvt n_{rc}) env<sub>re</sub>),
     env_{Se} n_{SC} = Some (VChn chan),
     env_{re} n_{rc} = Some (VChn chan),
     env_{se} n_m = Some v_m
  ⊢ dynamicEval
     pool comm
     (pool(
       paths @ [DSeq ns] -> (Stt ts (envs(ns -> VUnt)) stacks),
        path<sub>r</sub> @ [DSeq n_r] -> (Stt t_r (env<sub>r</sub>(n_r -> v_m)) stack<sub>r</sub>)
     ))
     (comm \cup \{(path_s, chan, path_r)\})
```

## **Dynamic Communication**

```
predicate isSendPath: pool -> chan -> dynamic_path -> bool where
  intro: pool path n ne t' env stack nsc nm enve chan .
    pool path = Some (Stt (Bind n (Sync n_e) t') env stack),
    env n_e = Some (VAtm (SendEvt n_{sc} n_m) env<sub>e</sub>),
    env_e n_{sc} = Some (VChn chan)
  ⊢ isSendPath pool chan path
predicate isRecvPath: pool -> chan -> dvnamic_path -> bool where
  intro: pool path n n_e t' env stack n_{rc} env_e chan .
    pool path = Some (Stt (Bind n (Sync n_e) t') env stack),
    env n_e = Some (VAtm (RecvEvt n_{rc}) env<sub>e</sub>),
    env_e n_{rc} = Some (VChn chan)
  isRecvPath pool chan path
predicate forEvervTwo: ('a -> bool) -> ('a -> 'a -> bool) -> bool where
  intro: p r .
    \forall path1 path2 .
      p path1 \wedge p path2 \rightarrow r path1 path2
  ├ forEveryTwo p r
predicate ordered: 'a list -> 'a list -> bool where
  first: path1 path2 .
    prefix path1 path2
  burdered path1 path2
* second: path2 path1 .
    prefix path2 path1
  ⊢ ordered path1 path2
```

## **Dynamic Communication**

```
predicate oneToManv: tm -> chan -> bool where
 intro: to chan .
    star dynamicEval [[] -> (Stt t_0 [->] [])] {} pool comm,
    forEveryTwo (isSendPath pool chan) ordered
 ├ oneToMany pool chan
predicate manyToOne: tm -> chan -> bool where
  intro: to chan .
    star dynamicEval [[] -> (Stt t_0 [->] [])] {} pool comm,
    forEveryTwo (isRecvPath pool chan) ordered
 ⊢ manyToOne to chan
predicate oneToOne: tm -> chan -> bool where
  intro: to chan .
    star dynamicEval [[] -> (Stt t_0 [->] [])] {} pool comm,
    forEveryTwo (isSendPath pool chan) ordered,
    forEveryTwo (isRecvPath pool chan) ordered
 ⊢ oneToOne to chan
```

## **Dynamic Communication**

```
predicate staticEval:
  static_value_map -> static_value_map -> term -> bool
where
  result: staticEnv staticComm n .
  ├ staticEval staticEnv staticComm (Rslt n)
* unit: staticEnv n staticComm t' .
   SUnt \in staticEnv n,
   staticEval staticEnv staticComm t'

    ⊢ staticEval staticEnv staticComm (Bind n Unt t')

* makeChan: n staticEnv staticComm t' .
    (SChn n) \in staticEnv n,
    staticEval staticEnv staticComm t'
 * sendEvt: n_c n_m staticEnv n staticComm t'.
    (SAtm (SendEvt n_c n_m)) \in staticEnv n_t
   staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Atom (SendEvt n_c n_m)) t')
* recvEvt: n<sub>c</sub> staticEnv n staticComm t' .
    (SAtm (RecvEvt n_c)) \in staticEnv n_c
    staticEval staticEnv staticComm t'
  ├ staticEval staticEnv staticComm (Bind n (Atom (RecvEvt n<sub>c</sub>)) t')
```

```
* pair: n<sub>1</sub> n<sub>2</sub> staticEnv n staticComm t'.
    (SAtm (Pair n_1 n_2)) \in staticEnv n,
    staticEval staticEnv staticComm t'

    ⊢ staticEval staticEnv staticComm (Bind n (Atom (Pair n<sub>1</sub> n<sub>2</sub>)) t')

* left: na staticEnv n staticComm t' .
    (SAtm(Lft n_a)) \in staticEnv n,
    staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Atom (Lft n_a)) t')
* right: na staticEnv n staticComm t' .
    (SAtm(Rht n_a)) \in staticEnv n,
    staticEval staticEnv staticComm t
  * function: nf nt th staticEnv staticComm n t' .
    (\mathsf{SAtm}\ (\mathsf{Fun}\ \mathsf{n}_f\ \mathsf{n}_t\ \mathsf{t}_b)) \in \mathsf{staticEnv}\ \mathsf{n}_f,
    staticEval staticEnv staticComm th,
    (SAtm (Fun n_f n_t t_b)) \in staticEnv n,
    staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Atom (Fun n_f n_t t_b)) t')
* spawn: n<sub>f</sub> n<sub>t</sub> t<sub>b</sub> staticEnv staticComm n t'.
    SUnt ∈ staticEnv n.
    staticEval staticEnv staticComm t<sub>c</sub>,
    staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Spwn t_c) t')
                                                                  ◆□▶◆□▶◆□▶◆□▶ □ りゅ○
```

```
* sync: staticEnv n<sub>e</sub> n staticComm t'.
     \forall \mathsf{n}_{sc} \mathsf{n}_{m} \mathsf{n}_{c} .
        (SAtm (SendEvt n_{sc} n_m)) \in staticEnv n_e
     \rightarrow SChn n<sub>c</sub> \in staticEnv n<sub>sc</sub>
     \rightarrow SUnt \in staticEnv n \land staticEnv n<sub>m</sub> \subseteq staticComm n<sub>c</sub>,
     \forall n_{rc} n_c .
        (SAtm (RecvEvt n_{rc})) \in staticEnv n_e
     \rightarrow SChn n<sub>c</sub> \in staticEnv n<sub>rc</sub>

ightarrow staticComm \mathbf{n}_c\subseteq staticEnv \mathbf{n},
     staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Sync n_e) t')
* first: staticEnv n<sub>f</sub> n staticComm t' .
     \forall n<sub>1</sub> n<sub>2</sub> .
        (SAtm (Pair n_1 n_2)) \in staticEnv n_t
     \rightarrow staticEnv n<sub>1</sub> \subseteq staticEnv n,
     staticEval staticEnv staticComm t'
  * second: staticEnv n<sub>f</sub> n staticComm t' .
    \forall n<sub>1</sub> n<sub>2</sub> .
        (SAtm (Pair n_1 n_2)) \in staticEnv n_t
     \rightarrow staticEnv n<sub>2</sub> \subseteq staticEnv n,
     staticEval staticEnv staticComm t'
```

```
* distinction: staticEnv n_s n_l t_l n staticComm n_r t_r t' .
     \forall n<sub>c</sub>.
        (\overline{SAtm} (Lft n_c)) \in staticEnv n_s
     \rightarrow staticEnv n<sub>c</sub> \subseteq staticEnv n<sub>I</sub>,
     staticEnv (resultName t_I) \subseteq staticEnv n,
     staticEval staticEnv staticComm t<sub>I</sub>,
     \forall n<sub>c</sub>.
        (SAtm (Rht n_c)) \in staticEnv n_c
     \rightarrow staticEnv n<sub>c</sub> \subseteq staticEnv n<sub>r</sub>,
     staticEnv (resultName t_r) \subseteq staticEnv n,
     staticEval staticEnv staticComm tr.
     staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (Case n_s n_l t_l n_r t_r) t')
st application: staticEnv n_f n_a n staticComm t'.
     \forall n<sub>f</sub>' n<sub>f</sub> t<sub>b</sub>.
        (SAtm (Fun n_f' n_t t_b)) \in staticEnv n_f
     \rightarrow staticEnv n_a \subseteq staticEnv n_t,
     staticEnv (resultName t_h) \subseteq staticEnv n),
     staticEval staticEnv staticComm t'
  \vdash staticEval staticEnv staticComm (Bind n (App n_f n_a) t')
```

```
bind u1 = unt
bind r1 = rht u1
bind l1 = lft r1
hind 12 = 1ft 11
bind mksr = fun x2 =>
  bind k1 = mkChn
  bind srv = fun srv' x3 =>
    bind e1 = recvEvt k1
    bind p1 = sync e1
    bind v1 = fst p1
    bind k2 = snd p1
    hind e2 = sendEvt k2 x3
    bind z5 = svnc e2
    bind z6 = app srv' v1
    rs1t 76
  bind z7 = spawn
    bind z8 = app srv r1
    rslt z8
  rslt k1
```

```
bind rast = fun x4 =>
 hind k3 = fst x4
 bind v2 = snd \times 4
 bind k4 = mkChn
 bind p2 = pair v2 k4
 bind e3 = sendEvt k3 p2
 bind z9 = svnc e3
 bind e4 = recvEvt k4
 bind v3 = sync e4
 rslt v3
hind srvr = mksr u1
bind z10 = spawn
 bind p3 = pair srvr l1
 bind z11 = app rqst p3
  rslt 711
bind p4 = pair srvr l2
bind z12 = app rqst p4
rslt z12
```

```
x4 -> {pair srvr l1, pair srvr l2},
val staticEnv: name -> static value set =
                                                        k3 -> \{chn \ k1\},
                                                        v2 -> {lft r1. lft l1}.
  u1 -> {unt}.
                                                        k4 -> \{chn \ k4\},
  r1 -> {rht u1},
                                                        p2 -> {pair v2 k4},
  l1 -> {lft r1}.
                                                        e3 -> {sendEvt k3 p2},
  l2 -> {lft l1},
                                                        z9 \rightarrow \{unt\},
  mksr -> \{fun _ x2 => ...\},
                                                        e4 -> {recvEvt k4}.
  x2 \rightarrow \{unt\}.
                                                        v3 -> {rht u1, lft r1, lft r2},
  k1 -> \{chn \ k1\},
                                                        srvr -> {chn k1},
  srv -> {fun srv' x3 => ...},
                                                        z10 -> \{unt\}.
  srv' -> {fun srv' x3 => ...}.
                                                        p3 -> {pair srvr l1},
  x3 -> {rht u1, lft r1, lft l1},
                                                        z11 -> {rht u1, lft r2},
  e1 -> {recvEvt k1}.
                                                        p4 -> {pair srvr l2}.
  p1 -> {pair v2 k4},
                                                        z12 -> {rht u1, lft l1}
  v1 -> {lft r1, lft l1},
  k2 -> \{chn \ k4\}.
  e2 -> {sendEvt k2 x3},
                                                      val staticComm: name -> static value set =
  z5 \rightarrow \{unt\},\
  z7 \rightarrow \{unt\}.
                                                        k1 -> {pair v2 k4}.
  u5 \rightarrow \{unt\},
                                                        k4 -> {rht u1, lft l1, lft l2}
  rgst -> {fun _ x4 => ...},
```

#### **Static Communication**

#### Static Communication

```
predicate staticOneShot: term -> name -> bool where
  intro: staticEnv staticComm t graph n<sub>c</sub> .
    staticEval staticEnv staticComm t,
    staticFlowsAccept staticEnv graph t,
    forEvervTwo (staticTraceable graph (termId t)
       (staticSendId staticEnv t n<sub>c</sub>)) singular
  ⊢ staticOneShot t n<sub>c</sub>
predicate staticOneSvnc: term -> name -> bool where
  intro: staticEnv staticComm t graph n<sub>c</sub> .
    staticEval staticEnv staticComm t,
    staticFlowsAccept staticEnv graph t.
    forEveryTwo (staticTraceable graph (termId t) (staticSendId staticEnv t n<sub>c</sub>))
      singular,
    forEveryTwo (staticTraceable graph (termId t) (staticRecvId staticEnv t n_c))
      uncompetitive
  ⊢ staticOneSvnc t n<sub>c</sub>
```

#### Soundness

- induction on transition system
- generalization for direct derivation
- equivalent defintions with different structures
- preservation under transitions

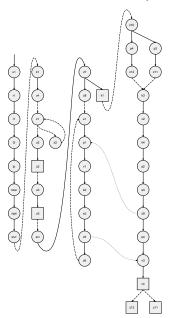
#### Soundness

```
theorem staticOneToManySound: t_0 n_c path<sub>c</sub> .
   staticOneToMany t_0 n_c
\vdash oneToMany t_0 (Chan path n_c)
theorem staticManyToOneSound: t_0 n_c path<sub>c</sub>.
   staticManyToOne to nc
\vdash manyToOne t<sub>0</sub> (Chan path<sub>c</sub> n<sub>c</sub>)
theorem staticOneToOneSound: to no patho.
   staticOneToOne staticEnv t_0 n_c
\vdash oneToOne t<sub>0</sub> (Chan path _{c} n<sub>c</sub>)
theorem staticOneShotSound: t_0 n_c path<sub>c</sub> .
   staticOneShot to nc
\vdash oneShot t<sub>0</sub> (Chan path<sub>c</sub> n<sub>c</sub>)
theorem staticOneShotSound: t_0 n_c path<sub>c</sub> .
   staticOneSync to nc
\vdash oneSync t<sub>0</sub> (Chan path<sub>c</sub> n<sub>c</sub>)
```

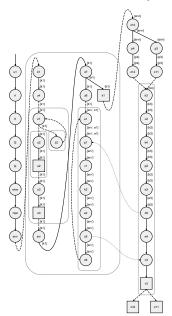
#### Soundness

```
\label{eq:lemma} \begin{tabular}{ll} \textbf{lemma} & staticEvalSound: $t_0$ pool comm staticEnv staticComm path $t$ env stack $n$ $v$ . staticEval staticEnv staticComm $t_0$, star dynamicEval [[] -> (Stt $t_0$ [->] [])] {} pool comm, pool path = Some (Stt $t$ env stack), env $n$ = Some $v$ $\vdash$ abstract $v$ $\in$ staticEnv $n$ $\end{tabular}
```

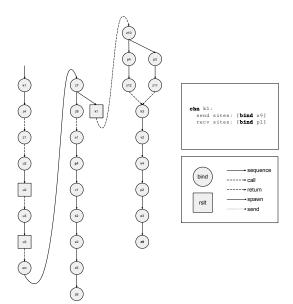
- different channel instances with same name
- channel liveness analysis
- trimmed graph
- paths from channel creation site
- paths along sending transitions

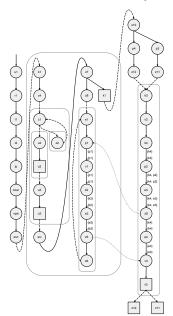




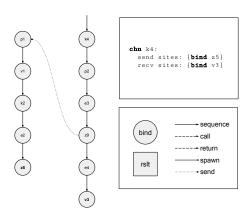












```
predicate staticOneToMany: term -> name -> bool where
  intro: staticEnv staticComm t graph entr exit n<sub>c</sub>
    staticEval staticEnv staticComm t,
    staticFlowsAccept staticEnv graph t,
    staticChanLive staticEnv entr exit n<sub>c</sub> t.
    forEveryTwo (staticTraceable graph entr exit (IdBind n<sub>c</sub>)
       (staticSendId staticEnv t n_c)) uncompetitive
  ⊢ staticOneToMany t n<sub>c</sub>
predicate staticManyToOne: term -> name -> bool where
  intro: staticEnv staticComm t graph entr exit n<sub>c</sub>
    staticEval staticEnv staticComm t.
    staticFlowsAccept staticEnv graph t,
    staticChanLive staticEnv entr exit n<sub>c</sub> t,
    forEveryTwo (staticTraceable graph entr exit (IdBind n<sub>c</sub>)
       (staticRecvId staticEnv t n_c)) uncompetitive
  ⊢ staticManvToOne t n<sub>c</sub>
```

```
predicate staticOneToOne: term -> name -> bool where
  intro: staticEnv staticComm t graph entr exit n<sub>c</sub>
    staticEval staticEnv staticComm t.
    staticFlowsAccept staticEnv graph t,
    staticChanLive staticEnv entr exit n<sub>c</sub> t.
    forEveryTwo (staticTraceable graph entr exit (IdBind n<sub>c</sub>)
       (staticSendId staticEnv t n_c)) uncompetitive,
    forEveryTwo (staticTraceable graph entr exit (IdBind n<sub>c</sub>)
       (staticRecvId staticEnv t n_c)) uncompetitive
  ⊢ staticOneToOne t n<sub>c</sub>
predicate staticOneShot: term -> name -> bool where
  intro: staticEnv staticComm t graph entr exit n_c .
    staticEval staticEnv staticComm t,
    staticFlowsAccept staticEnv graph t.
    staticChanLive staticEnv entr exit n<sub>c</sub> t,
    forEveryTwo (staticTraceable graph entr exit (IdBind n<sub>c</sub>)
       (staticSendId staticEnv t n_c)) singular
  ⊢ staticOneShot t n<sub>c</sub>
```

## Discussion