





International Crisis Behaviors Event Data

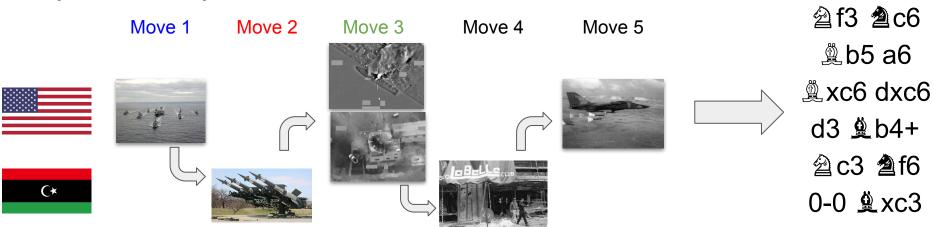
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With Rex Douglass (UCSD) and Shannon Carcelli (UMD) Special Thanks Erik Gartzke and Andres Gannon (UCSD)

Project Overview:

Gulf of Sidra Crisis 1986

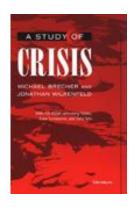
A full-scale foreign policy crisis for Libya was triggered by the implementation of the 20 March announcement, that is, the entry into the Gulf of Syrte of three U.S. naval vessels on 24 March. Libya, perceiving this act as a grave threat to its territorial integrity, fired two Soviet-made SAM-5 missiles on U.S. carrier-based planes, causing no damage. U.S. planes then attacked a Libyan missile ship and a corvette, as well as mounting raids on the radar installations of Libyan batteries at Syrte...



Project Motivations

- Crises requires nuance
 - Deterrence Theory vs. Spiral Model
- Cross-crisis analysis
 - fight familiarity bias
 - Khong "Analogies at War"
- Text to Data process
 - Specially tailored
 - Efficient

Data Collection

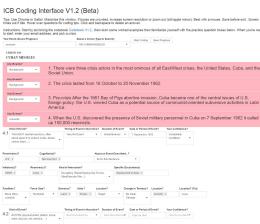


Source: Corpus of 476 qualitative narratives of historical crises

Brecher and Wilkenfeld 2000 Brecher, Wilkenfeld, Beardsley, James, Quinn 2017



Ontology of actors, actions, and details



Coding Interface

Unit of Analysis = Sentence Up to 3 events per Sentence

| Columno | | ACTION | | SPEECH | | |
|------------|-------------------------------------|--------|-------------------------|------------|--|--|
| Columns | | 1. | do_actor1 | 1. | say_actor1 | |
| | THOUGHT | 2. | do actor2 | 2. | say_actor2 | |
| 1. | think actor1 | 3. | do duration | 3. | sayintkind | |
| 2. | think_sentence_events | 4. | do_interact_kind | 4. | sayintkind_react | |
| 3. | thinkkind | 5. | do kind | 5. | consequence | |
| | | 6. | do timing | 6. 7. | condition | |
| 1. | icb_survey_version | 7. | do_timing_reorder | 7. 8. | condition_act_cooperative condition act descalate | |
| 2. | email | 8. | act_cooperative | 9. | condition_act_escalate | |
| 3. | lastviewed | 9. | - : | 10. | condition_act_uncooperative | |
| 4. | crisis | | act_descalate | 11. | condition_do_actor1 | |
| 5. | crisno | 10. | act_escalate | 12. | condition_do_actor2 | |
| 6. | crisno_sentno | 11. | act_uncooperative | 13. | condition_do_interact_kind | |
| 7. | section | 12. | interact_decreasecoop | 14. | condition_do_kind | |
| 8. | sentence | 13. | interact_descalate | 15. | condition_interact_decreasecoop | |
| 9. | sentence_events | 14. | interact_domains | 16. | condition_interact_descalate | |
| 10. | sentence_number | 15. | interact_escalate | 17. | condition_interact_domains | |
| 11. 12. | sentence number int | 16. | interact fatalities | 18. | condition_interact_escalate | |
| 13. | sentence_number_int event_number | 17. | interact_forces | 19. | condition_interact_fatalities | |
| 14. | event_number_int | 18. | interact_geoscope | 20. 21. | condition_interact_forces | |
| 15. | event_type | 19. | interact_increasecoop | 21. | condition_interact_geoscope condition_interact_increasecoop | |
| 16. | date | 20. | interact location | 23. | condition_interact_location | |
| | | 21. | interact_location_other | 24. | condition_interact_location_other | |
| 1. 2. | raterconfidence | | | 25. | condition_interact_territory | |
| 3. | raterconfidence_reason_survey | 22. | interact_territory | 26. | condition_interact_units | |
| 0. | ratereormachee_reason_survey | 23. | interact_units | | | |

Coding. Description

convinced

| Coding. | Description | | | | |
|-------------------------|---|--|--|--|--|
| Alert - Start of crisis | Actor perceived the start of a crisis or thought that a crisis had now | | | | |
| | begun. | | | | |
| Alert - End of crisis | Actor perceived the end of a crisis or thought that a crisis had now | | | | |
| | ended. | | | | |
| Wishes - Desire | The actor wishes that something would occur. | | | | |
| Wishes - Fear | The actor is concerned that something would occur. | | | | |
| Evaluation - Victory | The actor perceived a victory in the crisis for their side. | | | | |
| Evaluation - Defeat | The actor perceived a defeat in the crisis for their side. | | | | |
| Aims - Territory | The actor had an interest about a territorial goal. | | | | |
| Aims - Policy | Actor had a thought about a policy it hopes is carried out or not carried | | | | |
| | out. | | | | |
| Aims - Regime or gov- | The actor had an interest in another country's government changing. | | | | |
| ernment change | | | | | |
| Aims - Preemption | The actor had an interest in taking action before another actor takes | | | | |
| | action. This can be military preemption as well as any broader pre- | | | | |
| | emptive act. | | | | |
| Awareness - Discover | The actor was made aware of something happening in the world. | | | | |
| fact | | | | | |
| Awareness - Become | The actor confirmed that something was or was not true. | | | | |

Table 10: Cognitive Events

| Preparation - Raise in | The actor increased the state of readiness of its armed forces. This | | | | | |
|-------------------------|---|--|--|--|--|--|
| alert | occurred as part of an interaction with another actor. | | | | | |
| Preparation - Mobiliza- | he actor prepared military forces for conflict. This occurred as part | | | | | |
| tion | f an interaction with another actor. | | | | | |
| Preparation - Fortify | The actor strengthened or built up their military forces. This occurred | | | | | |
| | as part of an interaction with another actor. | | | | | |
| Preparation - Exercise | The actor engaged in military training or practice. This occurred as | | | | | |
| | part of an interaction with another actor. | | | | | |
| Preparation - Weapons | The actor engaged in testing weaponry to determine weapon effective- | | | | | |
| test | ness. This occurred as part of an interaction with another actor. | | | | | |
| Maneuver - Deployment | Troops were moved from one location to another in preparation for | | | | | |
| to area | battle or work. Deployment to an area is distinct from engaging in di- | | | | | |
| | rect combat with enemy forces. This occurred as part of an interaction | | | | | |

Table 9: Escalatory Interaction Events

Description

Coding

lation

with another actor. Show Maneuver -The actor demonstrated its military capacity as a warning or to intimidate. This occurred as part of an interaction with another actor. force Maneuver - Blockade The actor restricted the target's movement, mobility, or access outside

of direct combat. This occurred as part of an interaction with another

This occurred as part of an interaction with another actor.

actor.

Maneuver - Border Vio-The actor threatened the target's territorial sovereignty or control.

| of an interaction with another actor. | | | | |
|--|--|--|--|--|
| The actor initiated conflict with the target. This occurred as part of | | | | |
| an interaction with another actor. | | | | |
| The actor entered with the intention of taking over some area of the | | | | |
| target's territory. This is distinct from a speech act declaring an intent | | | | |
| or desire to invade and instead only includes the actual invasion itself. | | | | |
| The actor bombed the target. This occurred as part of an interaction | | | | |
| with another actor. | | | | |
| The actor declared war against its target for the first time. This oc- | | | | |
| curred as part of an interaction with another actor. | | | | |
| The actor joined an ongoing war between other parties. The 'receiver' | | | | |
| in this instance is the enemy/opponents of the actor. | | | | |
| Fighting picked back up or re-emerged. This occurred as part of an | | | | |
| interaction with another actor. | | | | |
| The actor reasserted control over a territory where it previously had | | | | |
| strong control (ie a colonial power). This occurred as part of an inter- | | | | |
| action with another actor. | | | | |
| The actor annexed a portion of its territory by giving up its claim of | | | | |
| sovereignty. This occurred as part of an interaction with another actor. | | | | |
| The actor reasserted its sovereignty and internal control vis-a-vis the | | | | |
| target/recipient | | | | |
| | | | | |

The actor engaged in conflict with the target. This occurred as part

Combat - Battle/clash

Data Cleaning

- Actions
- Actors
- Dates

value

maltreatment and confiscation of property of salvadoran refugees in honduras march into goa as a peaceful mass invasion

Interaction Decrease Cooperation; off book codings

nk propaganda broadcasts nonintervention in indochina

oas sanctions oil prospecting near greece other sanctions

persona non grata

overthrow peace talks fail

Dates: Machine Readable vs. Not So Much

13 october 1981 1981-10-13 19 february 1987

1987-02-19 1976-06-17

1975-MM-DD 1993-03-19

march 1993 beginning as far as back as at least 1962 1962-MM-DD

17 june 1976 end of 1975

2015-12-20

mid or late december 2015 1921-03-18

early 1970s to 1991 march 14-15 1939 25 or 26 august 1940

26 or 27 june 1940

end of february

sometime between 25 and 31 december 1978

sometime between 10 july 1951 and 15 july 1951

sometime between 2 november 1949 and 27 december 1949

18 march 1921 13 january 13 fedurary YYYY-01-13 february 1945, before 24 february august 17, 1947 1947-08-17

Coder Agreement

BERLIN BLOCKADE, s15: "The response by the Western powers, on 26 June 1948, was to step up the airlift to Berlin, which had begun two months earlier, and to continue with plans for the rehabilitation of Germany as part of Western Europe

Four Coders

- 1. US, UK, and France undertaking (a) an escalatory air blockade against USSR and (b) a cooperative meeting and economic, military, and political support between US, UK, and France on one side and Germany on the other
- 2. US, UK, and France provide cooperative humanitarian, military, and unspecified aid
- 3. US, UK, and France providing humanitarian aid to Berlin
- 4. US, UK, and France providing humanitarian aid to USSR and West Germany

Data Summary of ICB Event Data

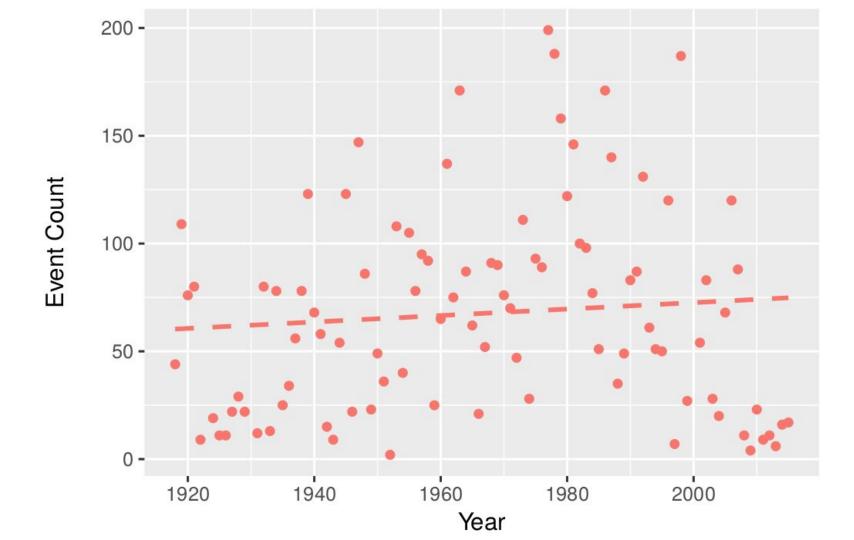
- 37,509 Events
- 6,427 with multicoder agreement
 - o 14 per crisis . . .

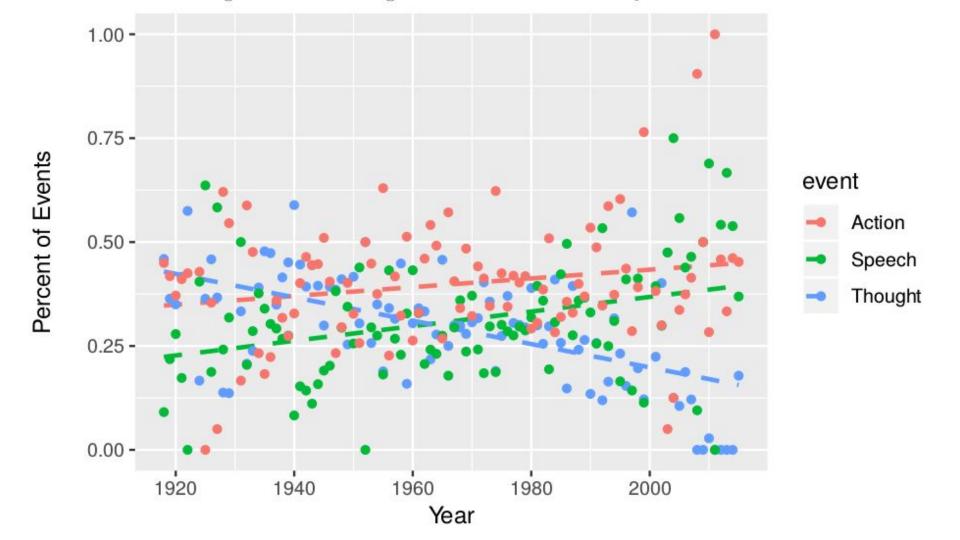
Table 1: Count of Events by Type and Category

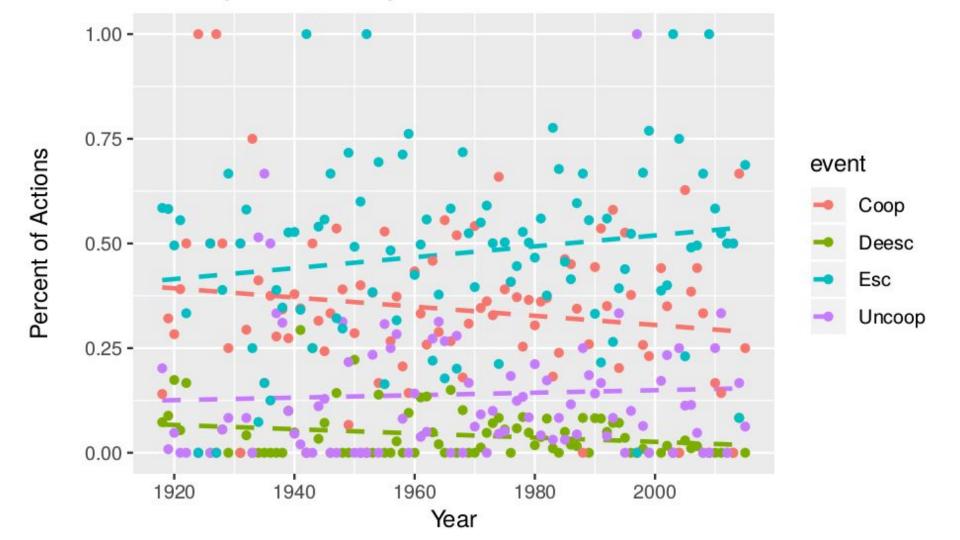
| Event.Type | Event.Category | Count |
|------------|----------------|-------|
| speak | speak | 2041 |
| think | think | 1630 |
| action | cooperative | 1129 |
| action | deescalate | 146 |
| action | escalate | 1155 |
| action | uncooperative | 326 |

Table 2: 10 Most Common Specific Events

| Event Category | Specific Event | Count |
|----------------|--------------------|-------|
| think | start of crisis | 720 |
| think | end of crisis | 686 |
| speak | appeal | 494 |
| cooperative | mediation | 317 |
| cooperative | meeting | 314 |
| speak | disapprove | 288 |
| escalate | attack | 274 |
| speak | accuse | 266 |
| speak | reject | 262 |
| escalate | deployment to area | 188 |







Event Makeup by Crisis Feature

Principal Crisis Management Technique

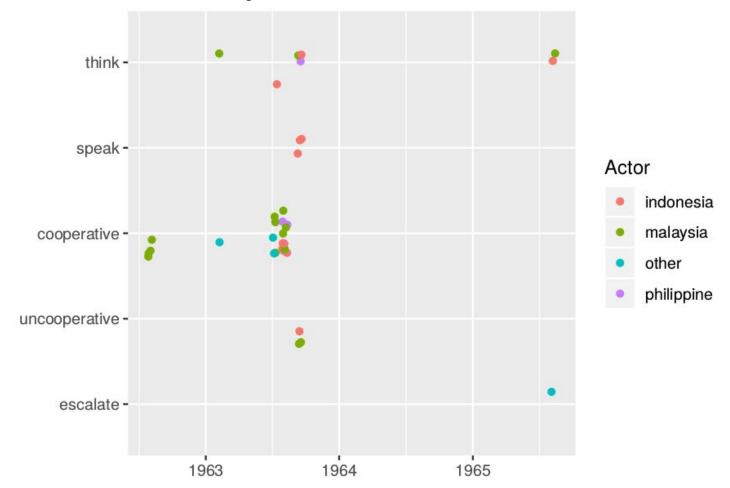
| Management | Thought | Speech | Action | Deesc | Coop | Uncoop | Esc |
|------------------------|---------|--------|--------|-------|------|--------|----------------------|
| Negotiation | 30% | 38% | 32% | 3% | 42% | 15% | 40% |
| Mediation | 29% | 45% | 26% | 0% | 58% | 0% | 42% |
| Multiple no violence | 34% | 32% | 34% | 3% | 33% | 16% | 48% |
| Non-military pressure | 30% | 32% | 39% | 0% | 38% | 38% | 25% |
| Non-violent military | 37% | 31% | 33% | 5% | 34% | 15% | 47% |
| Multiple with violence | 27% | 30% | 43% | 4% | 39% | 10% | 47% |
| Violence | 33% | 23% | 44% | 6% | 24% | 13% | 57% |

Event Makeup by Crisis Feature

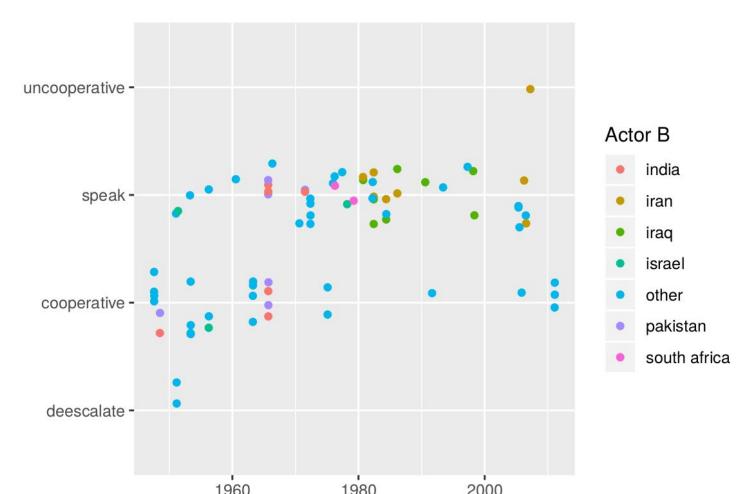
Satisfaction of Crisis Actors with the Conclusion

| Satisfaction | Thought | Speech | Action | Deesc | Coop | Uncoop | Esc |
|----------------|---------|--------|--------|-------|------|--------|----------------------|
| All sat | 28% | 29% | 44% | 3% | 41% | 10% | 45% |
| Mostly sat | 34% | 25% | 41% | 8% | 33% | 13% | 46% |
| mixed | 32% | 32% | 36% | 4% | 36% | 16% | 44% |
| Mostly dissat | 31% | 27% | 43% | 8% | 29% | 13% | 50% |
| All dissat | 26% | 31% | 43% | 1% | 36% | 8% | 55% |
| Single-actor | 30% | 32% | 38% | 4% | 27% | 13% | 56% |
| No adversarial | 48% | 25% | 26% | 10% | 36% | 17% | 38% |

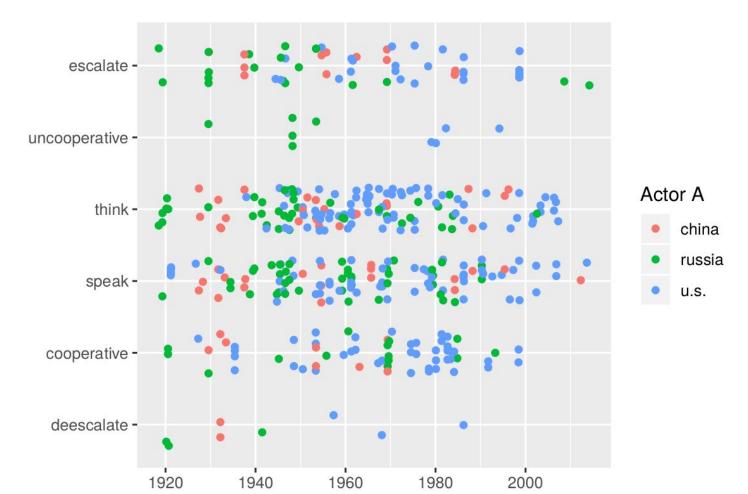
Crisis Timeline: Malaysia Federation Crisis



UN Crisis Actions over time



Great Power Crisis Actions over time



Conclusion

- Lots of work to do
- Lots of caveats
- Lots of potential?
- Comments encouraged!
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Thank you!

