# **EECE5666 (DSP): Homework-5 Solutions**

### **Default Plot Parameters**

```
set(0,'defaultfigurepaperunits','points','defaultfigureunits','points');
set(0,'defaultaxesfontsize',10); set(0,'defaultaxeslinewidth',1.5);
set(0,'defaultaxestitlefontsize',1.4,'defaultaxeslabelfontsize',1.2);
```

### Problem 5.1

### Text Problem 8.16 (Page 477)

Consider the inverse DFT given in the textbook (8.2) and repeated below:

$$x[n] = \frac{1}{N} \sum_{k=0}^{N-1} X[k] W_N^{-kn}, \qquad n = 0, 1, \dots, N-1$$
 (5.1.1)

(a) Show that (5.1.1) can also be written as

$$x[n] = \frac{1}{N} j \left\{ \sum_{k=0}^{N-1} (jX^*[k]) W_N^{kn} \right\}^*, \quad n = 0, 1, \dots, N-1$$
 (5.1.2)

**Proof**: The IDFT in (5.1.1) can be expressed as

$$x[n] = \frac{1}{N}(1) \sum_{n=0}^{N-1} X[k] W_N^{-kn} = \frac{1}{N} \left( \mathbf{j}(-\mathbf{j}) \right) \sum_{n=0}^{N-1} X[k] W_N^{-kn} = \frac{1}{N} \mathbf{j} \left( \sum_{n=0}^{N-1} (-\mathbf{j} X[k]) W_N^{-kn} \right)$$

$$= \frac{1}{N} \mathbf{j} \left\{ \sum_{k=0}^{N-1} (\mathbf{j} X^*[k]) W_N^{kn} \right\}^*. \quad n = 1, 2, \dots, N-1.$$

which completes the proof.

**(b)** The quantity inside the curly brackets in (5.1.2) is the DFT y[n] of the sequence  $jX^*[k]$ ; thus, the inverse DFT of X[k] is  $x[n] = (1/N)(jy^*[n])$ . Note that if c = a + jb then  $jc^* = b + ja$ . Using this interpretation, draw a block diagram that computes IDFT using a DFT block that has separate real and imaginary input/output ports.

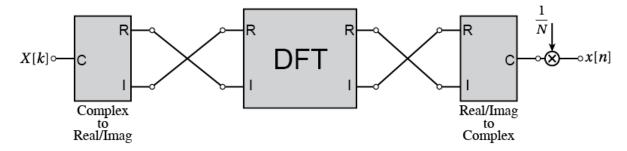
**Solution**: Let  $X[k] = X_R[k] + jX_I[k]$ . Then

$$jX^*[k] = X_I[k] + jX_R[k]$$
 (5.1.3)

which forms the input to the DFT block. The output of the DFT box is  $y[n] = y_R[n] + y_I[n]$ . Finally,

$$x[n] = \frac{1}{N} j y^*[n] = \frac{1}{N} (y_I[n] + j y_R[n]).$$
 (5.1.4)

Thus using 5.1.3) and (5.1.4) we can draw the following block diagram.



(c) Develop a MATLAB function  $\mathbf{x} = \mathbf{idft}(\mathbf{X}, \mathbf{N})$  using the fft function. Verify your function on signal  $x[n] = \{1, 2, 3, 4, 5, 6, 7, 8\}$ .

**MATLAB function**: Enter your **idft** function code below in the code example area for the TA to analyze and grade it. Create your **idft** function at the end of this file.

```
function x = idft(X,N)
    % Compute an N-point idft x[n] of X[k] using the fft function according
    % to block diagram
    읒
    X = X(:).'; Nx = length(X);
    if nargin == 1
        N = Nx;
    elseif N <= Nx</pre>
        X = X(1:N);
    else
        X = [X zeros(1,N-Nx)];
    end
    XR = real(X); XI = imag(X);
    Y = XI+1j*XR; y = fft(Y,N);
    yR = real(y); yI = imag(y);
    x = (1/N)*(yI+1j*yR);
end
```

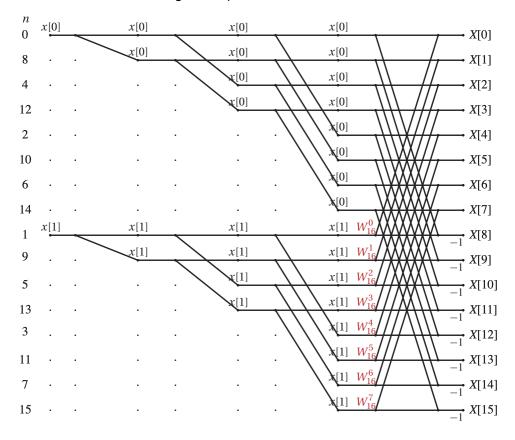
**MATLAB** script for verification:  $x[n] = \{1, 2, 3, 4, 5, 6, 7, 8\}$ .

### Text Problem 8.29 (Page 479)

Let the sequence x[n] be of length L and we wish to compute an N-point DFT of x[n] where  $L \ll N$ . Assume that the first L=2 signal values x[0] and x[1] are non-zero.

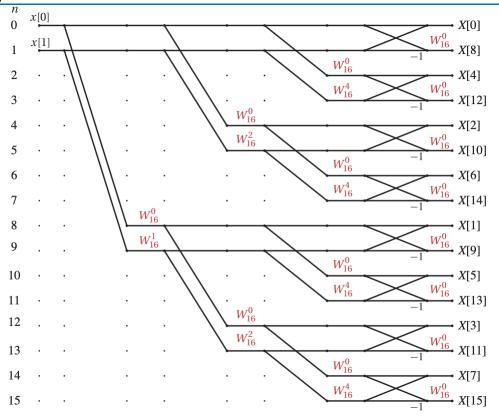
(a) Draw a radix-2 N=16-point DIT-FFT flow-chart in which only those paths originating from the non-zero signal values are retained.

**Solution**: The DIT-FFT flowchart showing the requisite butterflies is shown below.



**(b)** Draw a radix-2 N=16-point DIF-FFT flow-chart in which only those paths originating from the non-zero signal values are retained.

**Solution**: The DIF-FFT flowchart containing the requisite butterflies is shown below.



(c) Determine the total number of complex multiplications in each of the above flow-graphs. Which algorithm gives the fewer number of multiplications? Assume that  $W_{16}^0 = 1 + \mathrm{j}0$  is stored as a complex number.

**Solution**: In part (a), the total number of complex multiplications is 8. For part (b), the total number of complex multiplications is 22. This calculation assumes that  $W_{16}^0 = 1 + 10$  is stored as a complex number.

(d) Develop a general rule in terms of  $L=2^{\ell}$  and  $N=2^{\nu}$  for selecting DIT- or DIF-FFT algorithm in FFT. This approach is called *input pruning*.

**Solution**: We assume that  $N=2^{\nu}$ ,  $L=2^{\ell}$  and that the first L samples are non-zero.

- **DIT-FFT**: From the figure in part (a) we note that, in the case of DIT-FFT flow graph, only  $\ell=1$  stage (the last one) requires the complete set of butterflies. If  $\ell=2$  or L=4, it is easy to see that the last two stages would require a complete set. Furthermore, the remaining  $\nu-\ell$  stages need only copying the input sample (data) values up to the stage with full set. Thus in the DIT-FFT input pruning, the number of complex multiplications are  $\ell N/2$ , since each full stage requires N/2 complex multiplications.
- **DIF-FFT**: The general situation in DIF-FFT is more complicated. From the figure in part (b), we note that  $\ell=1$  stage (the last one) is full but has only unity multipliers. In the remaining  $\nu-\ell$  stages, we have geometrically increasing (two, four , eight, etc.) complex multiplications. Thus the total complex multiplications in the DIF-FFT input pruning is always higher that those in DIT-FFT. The DIF-FFT has advantages in output pruning since this flow graph is similar to the DIT-FFT input pruning.

### Text Problem 8.35 (Page 480)

Suppose we need any  $K \le N$  DFT values of the N-point DFT. We have two choices: the direct approach or the radix-2 DIT-FFT algorithm. At what minimum value of K, the FFT algorithm will become more efficient than the direct approach? Determine these minimum values for N = 128, 1024, and 8192.

Note: Compare the computation complexity using number of complex multiplications.

**Solution**: To compute K DFT values using direct form would require KN complex multiplications while the radix-2 DIT-FFT algorithm would require  $\frac{1}{2}N\log_2 N$  complex multiplications. Note that any FFT algorithm computes all DFT values in one block calculation. Hence, the minimum values K is computed as follow:

$$KN \underset{\text{DIR}}{\gtrless} \frac{1}{2} N \log_2 N \Rightarrow K_{\min} = \lfloor \frac{1}{2} \log_2 N \rfloor.$$

Thus, for N = 128, 1024, and 8192,  $K_{min}$  is 3, 5, and 6, respectively.

# **Problem 5.4**

### Text Problem 8.38 (Page 480)

Consider a 6-point DIF-FFT that uses a mixed-radix implementation. There are two approaches.

(a) In the first approach, combine two inputs in three sequences and take 3-point DFTs to obtain the 6-point DFT. Draw a flow-graph of this approach and properly label all relevant path gains as well as input/output nodes. How many real multiplications and additions are needed? Assume that signals in general are complex-valued and hence multiplication and addition operations are also complex valued.

**Solution**: In this case we first compute three 2-point DFTs and then combine these into two 3-point DFTs to obtain the requisit 6-point DFT. Towards this we consider even- and odd-ordered DFT coefficients as follows:

$$X[2k] = \sum_{n=0}^{5} x[n]W_6^{2nk} = \sum_{n=0}^{5} x[n]W_3^{nk}, \quad k = 0, 1, 2$$

$$= \sum_{n=0}^{2} x[n]W_3^{nk} + \sum_{k=3}^{5} x[n]W_3^{nk} = \sum_{n=0}^{2} x[n]W_3^{nk} + \sum_{k=0}^{2} x[n+3]W_3^{(n+3)k}, k = 0, 1, 2$$

$$A[k] \triangleq \sum_{n=0}^{2} \left(\underbrace{x[n] + x[n+3]}_{a[n], n=0, 1, 2}\right) W_3^{nk}, \quad k = 0, 1, 2$$

$$(5.4.1)$$

Similarly, we have

$$X[2k+1] = \sum_{n=0}^{5} x[n]W_{6}^{(2k+1)n} = \sum_{n=0}^{5} x[n]W_{6}^{n}W_{3}^{nk}, \quad k = 0, 1, 2$$

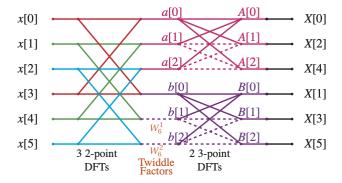
$$= \sum_{n=0}^{2} x[n]W_{6}^{n}W_{3}^{nk} + \sum_{k=3}^{5} x[n]W_{6}^{n}W_{3}^{nk}, \quad k = 0, 1, 2$$

$$= \sum_{n=0}^{2} x[n]W_{6}^{n}W_{3}^{nk} + \sum_{k=0}^{2} x[n+3]W_{6}^{n+3}W_{3}^{(n+3)k}, \quad k = 0, 1, 2$$

$$B[k] \triangleq \sum_{n=0}^{2} \left\{ \underbrace{(x[n] - x[n+3])W_{6}^{n}} \right\} W_{3}^{nk}, \quad k = 0, 1, 2$$

$$(5.4.2)$$

Thus the 3-point DFTs of sequences a[n] and b[n] in (5.4.1) and (5.4.2) result in a decimated set of DFT coefficients. The resulting flow graph is shown below.



To determine the computational complexity we will assume that signals in general may be complex-valued and hence the multiplication and addition operations are also complex valued. Now one complex multiplication requires 4 real multiplications and 2 real additions while a complex addition requires 2 real additions. From the flow graph above, we have three 2-point DFTs, followed by two twiddle factors, and finally two 3-point DFTs.

- <u>Three 2-point DFTs</u>: Each 2-point DFT has two complex-additions or four real additions for a total of 12 real additions.
- <u>Two twiddle factors</u>: These require two complex multiplications or 8 real multiplications and 4 real additions.
- <u>Two 3-point DFTs</u>: Each 3-point DFT requires four complex multiplications and six complex additions or 16 real multiplications and 8 + 12 = 20 real additions for a total of 32 real multiplications and 40 real additions.

Hence the total computational complexity is

Real-multiplications = 
$$8 + 32 = 40$$
, Real-additions =  $12 + 4 + 40 = 56$ .

**(b)** In the second approach combine three inputs in two sequences and take 2-point DFTs to obtain the 6-point DFT. Draw a flow-graph of this approach and properly label all relevant path gains as well as input/output nodes. How many real multiplications and additions are needed? Again, assume that signals in general are complex-valued and hence multiplication and addition operations are also complex valued.

**Solution**: In this case we first compute two 3-point DFTs and then combine these into three 2-point DFTs to obtain the 6-point DFT. Towards this we consider every third DFT coefficient as follows:

$$X[3k] = \sum_{n=0}^{5} x[n]W_6^{n(3k)} = \sum_{n=0}^{5} x[n]W_2^{nk}, \quad k = 0, 1$$

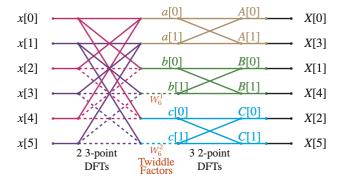
$$A[k] \triangleq \sum_{n=0}^{1} \left(\underbrace{x[n] + x[n+2] + x[n+4]}_{a[n],n=0,1}\right)W_2^{nk}, \quad k = 0, 1 \quad (5.4.3)$$

Similarly we obtain

$$B[k] \triangleq X[3k+1] = \sum_{n=0}^{1} \left\{ \underbrace{\left(x[n] + x[n+2]W_3 + x[n+4]W_3^2\right)W_6^n}_{b[n], n=0,1} \right\} W_2^{nk}, \quad k = 0, 1$$
 (5.4.4)

$$C[k] \triangleq X[3k+2] = \sum_{n=0}^{1} \left\{ \underbrace{\left(x[n] + x[n+2]W_3^2 + x[n+4]W_3\right)W_6^n}_{c[n], n=0, 1} \right\} W_2^{nk}, \quad k = 0, 1$$
 (5.4.5)

Once again the 2-point DFTs of sequences a[n], b[n], and c[n] in (5.4.3) - (5.4.5) result in a decimated set of DFT coefficients. The resulting flow graph is shown below from which we note that it requires two 3-point DFTs, followed by two twiddle factors, and finally three 2-point DFTs.



- <u>Two 3-point DFTs</u>: Each 3-point DFT requires four complex multiplications and six complex additions or 16 real multiplications and 8 + 12 = 20 real additions for a total of 32 real multiplications and 40 real additions.
- <u>Two twiddle factors</u>: These require two complex multiplications or 8 real multiplications and 4 real additions.
- <u>Three 2-point DFTs</u>: Each 2-point DFT has two complex-additions or four real additions for a total of 12 real additions.

Hence the total computational complexity is

Real-multiplications = 
$$32 + 8 = 40$$
, Real-additions =  $40 + 4 + 12 = 56$ .

# Text Problem 9.19 parts (a) and (c) only (Page 531)

A discrete-time system is given by

$$H(z) = \frac{1 - 3.39z^{-1} + 5.76z^{-2} - 6.23z^{-3} + 3.25z^{-4}}{1 + 1.32z^{-1} + 0.63z^{-2} + 0.4z^{-3} + 0.25z^{-4}}.$$

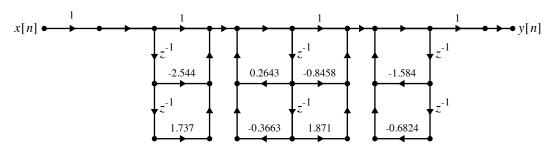
Determine and draw each of the following structures.

### (a) A cascade form with second-order sections in normal direct form I

**Solution**: The cascade form coefficients are computed using the following script.

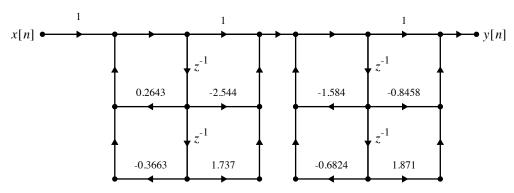
```
clc; close all; clear;
b = [1 -3.39 5.76 -6.23 3.25];
a = [1 \ 1.32 \ 0.63 \ 0.4 \ 0.25];
[sos,G] = tf2sos(b,a); sos, G
sos = 2 \times 6
     1.0000
              -2.5442
                           1.7367
                                                             0.3663
                                      1.0000
                                                 -0.2643
               -0.8458
     1.0000
                           1.8714
                                      1.0000
                                                 1.5843
                                                             0.6824
G = 1
```

The signal flow graph is shown below.



### (b) A cascade form with second-order sections in normal direct form II

**Solution**: The above computed cascade form coefficients are still applicable in this part. The signal flow graph is shown below.



### Text Problem 9.23 (Page 532)

An IIR system is given by

$$H(z) = \frac{376.63 - 89.05z^{-1}}{1 - 0.91z^{-1} + 0.28z^{-2}} + \frac{-393.11 + 364.4z^{-1}}{1 - 1.52z^{-1} + 0.69z^{-2}} + \frac{20.8}{1 + 0.2z^{-1}}.$$

Determine and draw the following structures.

### (a) Direct form II (normal)

**Solution**: We have to first convert the above parallel form into a rational function form for to obtain direct form coefficients. This calculation is done using the following script.

```
clc; close all; clear;
b1 = [376.63 -89.05]; b2 = [-393.11 364.4]; b3 = 20.8;
a1 = [1 -0.91 0.28]; a2 = [1 -1.52 0.69]; a3 = [1 0.2];
[r1,p1,k1] = residuez(b1,a1); [r2,p2,k2] = residuez(b2,a2);
[r3,p3,k3] = residuez(b3,a3);
r = [r1;r2;r3]; p = [p1;p2;p3]; k = [k1;k2;k3];
[b,a] = residuez(r,p,k); real(b), real(a)
```

```
ans = 1 \times 5

4.3200 6.7625 14.6230 9.3859 12.1361

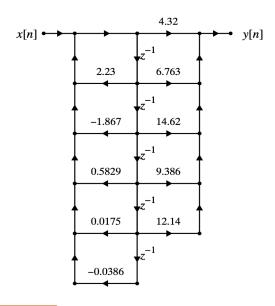
ans = 1 \times 6

1.0000 -2.2300 1.8672 -0.5829 -0.0175 0.0386
```

Hence, the rational function form is

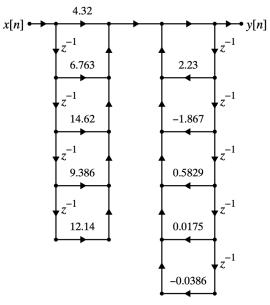
$$H(z) = \frac{4.32 + 6.7625z^{-1} + 14.623z^{-2} + 9.3859z^{-3} + 12.1361z^{-4}}{1 - 2.23z^{-1} + 1.8672z^{-2} - 0.5829z^{-3} - 0.0175z^{-4} + 0.0386z^{-5}}$$

The resulting signal flow graph is shown below.



### (b) Direct form I (normal)

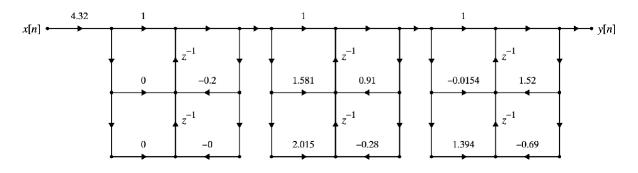
**Solution**: The above computed direct form coefficients are still applicable in this part. The signal flow graph is shown below.



# (c) Cascade form with transposed second-order sections

**Solution**: We convert the direct form into cascade form containing second-order sections using the following script.

The signal flow graph is shown below.



### Text Problem 9.26 (Page 532)

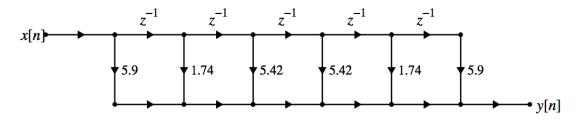
A discrete time system is described by the difference equation

$$y[n] = 5.9x[n] + 1.74x[n-1] + 5.42x[n-2] + 5.42x[n-3] + 1.74x[n-4] + 5.9x[n-5].$$
 (5.7.1)

Determine and draw the following structures.

### (a) Direct form

**Solution**: In this structure we implement the difference equation (1) as given using signal flow graph elements which is shown below.



#### (b) Cascade form

1.0000

G = 5.9000

**Solution**: Using the following script we compute second-order section coefficients.

1.0000

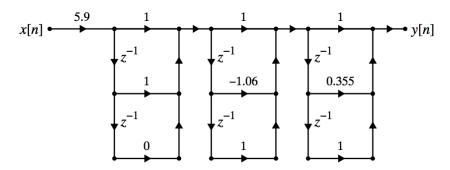
```
clc; close all; clear;
b = [5.9, 1.74, 5.42, 5.42, 1.74, 5.9];
[sos,G] = tf2sos(b,1)
sos = 3 \times 6
                1.0000
     1.0000
                                       1.0000
                                                         0
                                                                    0
                                 0
     1.0000
               -1.0600
                            1.0000
                                       1.0000
                                                         0
                                                                    0
```

0

1.0000

The resulting signal flow graph is shown below.

0.3550

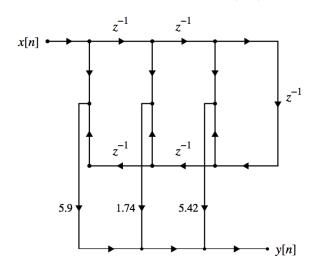


### (c) Linear-Phase Form

**Solution**: From the given difference equation (5.7.1) the impulse response is

$$h[n] = \{5.9, 1.74, 5.42, 5.42, 1.74, 5.9\}$$

which has an even symmetry with respect to n = 2.5. The resulting signal flow graph is shown below.



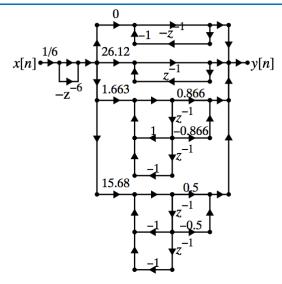
### (c) Frequency sampling form

Solution: We determine this structure using the dir2fs function.

# [C,B,A] = dir2fs(b)

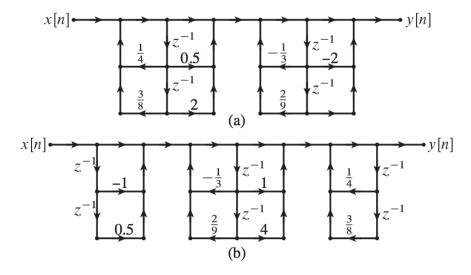
```
C = 4 \times 1
    1.6628
   15.6800
   26.1200
B = 2 \times 2
               -0.8660
    0.8660
               -0.5000
    0.5000
A = 4 \times 3
    1.0000
               -1.0000
                            1.0000
               1.0000
                             1.0000
    1.0000
               -1.0000
                                   0
    1.0000
                1.0000
    1.0000
```

The resulting signal flow graph is shown below.



# Text Problem 9.29 (Page 533)

Two signal flow graphs are shown below.



(a) Determine the difference equation relating y[n] to x[n] corresponding to signal flow graph (a) above.

**Solution:** Let the signal in the middle branch for system (a) be w[n]. Then we have

$$w[n] = \frac{1}{4}w[n-1] + \frac{3}{8}w[n-2] + x[n] + 0.5x[n-1] + 2x[n-2],$$
 (5.8.1)

$$y[n] = -\frac{1}{3}y[n-1] + \frac{2}{9}y[n-2] + w[n] - 2w[n-1] + w[n-2].$$
 (5.8.2)

From equation (5.8.1), we have

$$\frac{W(z)}{X(z)} = \frac{1 + \frac{1}{2}z^{-1} + 2z^{-2}}{1 - \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2}}.$$

From equation (5.8, 2), we have

$$\frac{Y(z)}{W(z)} = \frac{1 - 2z^{-1} + z^{-2}}{1 + \frac{1}{3}z^{-1} - \frac{2}{9}z^{-2}}.$$

Hence, the system function of system (a) is:

$$H_{a}(z) = \frac{Y(z)}{W(z)} \frac{W(z)}{X(z)} = \frac{(1 + \frac{1}{2}z^{-1} + 2z^{-2})(1 - 2z^{-1} + z^{-2})}{(1 - \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2})(1 + \frac{1}{3}z^{-1} - \frac{2}{9}z^{-2})}$$

$$= \frac{1 - 1.5z^{-1} + 2z^{-2} - 3.5z^{-3} + 2z^{-4}}{1 + 0.0833z^{-1} - 0.6806z^{-2} - 0.0694z^{-3} + 0.0833z^{-4}}.$$
 (5.8.3)

**(b)** Determine the difference equation relating y[n] to x[n] corresponding to signal flow graph (b) above.

**Solution**: For system (b) let the signals in the left middle branch be v[n] and in the right middle branch be w[n]. Then we have

$$v[n] = x[n] - x[n-1] + 0.5x[n-2], (5.8.4)$$

$$w[n] = -\frac{1}{3}w[n-1] + \frac{2}{9}w[n-2] + v[n] + v[n-1] + 4v[n-2],$$
 (5.8.5)

$$y[n] = w[n] + \frac{1}{4}y[n-1] + \frac{3}{8}y[n-2].$$
 (5.8.6)

From equation (5.8.4), we have

$$\frac{V(z)}{X(z)} = 1 - z^{-1} + 0.5z^{-2}.$$

From equation (5.8.5), we have

$$\frac{W(z)}{V(z)} = \frac{1 + z^{-1} + 4z^{-2}}{1 + \frac{1}{3}z^{-1} - \frac{2}{9}z^{-2}}.$$

From equation (5.8.6), we have

$$\frac{Y(z)}{W(z)} = \frac{1}{1 - \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2}}.$$

Hence, the system function of system (b) is:

$$H_{b}(z) = \frac{Y(z)}{W(z)} \frac{W(z)}{V(z)} \frac{V(z)}{X(z)} = \frac{(1 - z^{-1} + 0.5z^{-2})(1 + z^{-1} + 4z^{-2})}{(1 - \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2})(1 + \frac{1}{3}z^{-1} - \frac{2}{9}z^{-2})}$$

$$= \frac{1 + 3.5z^{-2} - 3.5z^{-3} + 2z^{-4}}{1 + 0.0833z^{-1} - 0.6806z^{-2} - 0.0694z^{-3} + 0.0833z^{-4}}$$
(5.8.7)

(c) Determine if the above two signal flow graphs represent the same discrete-time system.

**Solution**: Comparing (5.8.3) and (5.8.7), we can conclude that the two systems have the same denominator but different numerators. Hence the systems are not identical.

#### Problem 5.9

# Text Problem 9.32 (Page 534)

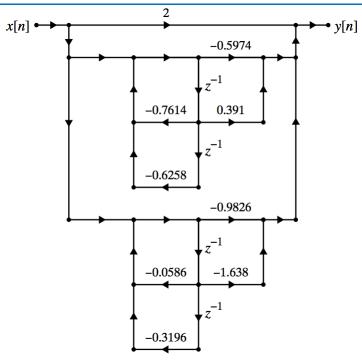
The system function of an IIR system is given by

$$H(z) = \frac{0.42 - 0.39z^{-1} - 0.05z^{-2} - 0.34z^{-3} + 0.4z^{-4}}{1 + 0.82z^{-1} + 0.99z^{-2} + 0.28z^{-3} + 0.2z^{-4}}.$$

(a) Determine and draw a parallel form structure with second-order sections in direct form II (normal).

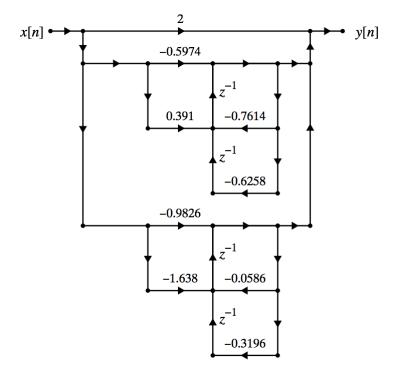
**Solution:** We use the **dir2par** function to obtain the required parallel form structure.

The parallel form structure with direct form II (normal) is shown below.



(b) Determine and draw a parallel form structure with second-order sections in direct form II (transposed).

**Solution:** The parallel form coefficients from part (a) are still applicable. The parallel form structure with direct form II (transposed) is shown below.



### Text Problem 9.39, parts (c) and (f) only (Page 535)

Consider the FIR system function

$$H(z) = (1 - 3z^{-1} + z^{-2})^5$$
.

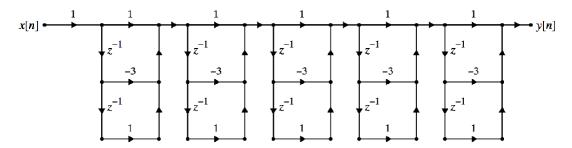
Determine and draw the following structures.

### (c) Cascade of second-order sections

**Solution**: The given system function is in the proper cascade from

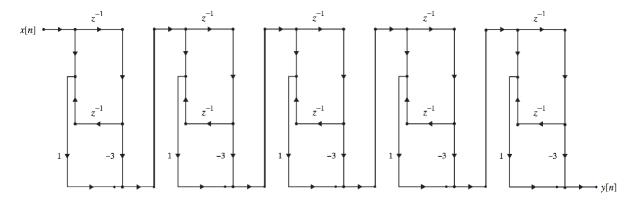
$$H(z) = (1 - 3z^{-1} + z^{-2})(1 - 3z^{-1} + z^{-2})(1 - 3z^{-1} + z^{-2})(1 - 3z^{-1} + z^{-2})(1 - 3z^{-1} + z^{-2}).$$

Hence the signal flow graph is



# (f) Cascade of five linear-phase form

**Solution**: Each second-order section above is in even symmetry format which can be exploited as follows.



Create your MATLAB functions below.

```
function x = idft(X,N)
    % Compute an N-point idft x[n] of X[k] using the fft function according
    % to block diagram
    %
    X = X(:).'; Nx = length(X);
    if nargin == 1
        N = Nx;
    elseif N <= Nx</pre>
        X = X(1:N);
    else
        X = [X zeros(1,N-Nx)];
    end
    XR = real(X); XI = imag(X);
    Y = XI+1j*XR; y = fft(Y,N);
    yR = real(y); yI = imag(y);
    x = (1/N)*(yI+1j*yR);
end
```