

Calculus I

Analytical graphing of functions in one variable

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Outline

1 Derivatives and the Shapes of Curves

- What Does f' Say About f ?
- What Does f'' Say About f ?

2 Curve sketching

- Curve sketching summary

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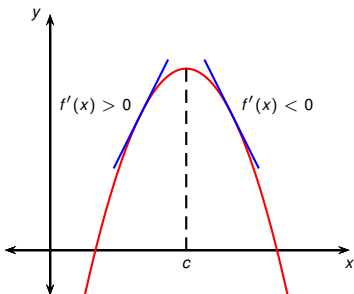
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What Does f' Say About f ?



Increasing/Decreasing Test

- Consider the graph on the left.
- $f'(x) > 0$ to the left of c and $f'(x) < 0$ to the right of c .
- f is increasing to the left of c and decreasing to the right of c .
- This property holds more generally:

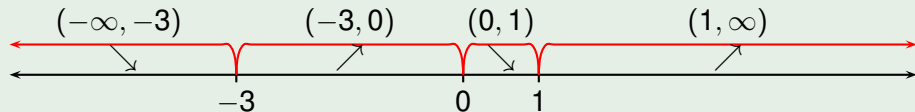
- 1 If $f'(x) > 0$ on an interval, then f is increasing on that interval.
- 2 If $f'(x) < 0$ on an interval, then f is decreasing on that interval.

Example

Find where the function $f(x) = 3x^4 + 8x^3 - 18x^2 + 6$ is increasing and where it is decreasing.

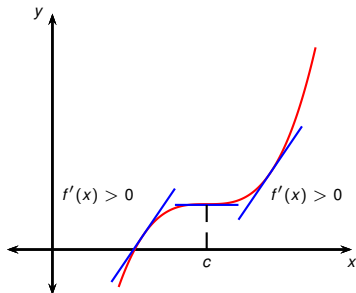
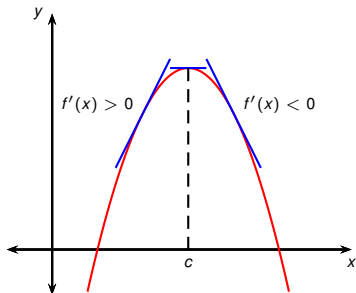
$$f'(x) = 12x^3 + 24x^2 - 36x = 12x(x^2 + 2x - 3) = 12x(x + 3)(x - 1)$$

$f'(x)$ equals zero for $x = -3, 0, 1$. Therefore f' doesn't change sign in the intervals



Interval	$12x$	$x + 3$	$x - 1$	$f'(x)$	f
$(-\infty, -3)$	-	-	-	-	decreasing
$(-3, 0)$	-	+	-	+	increasing
$(0, 1)$	+	+	-	-	decreasing
$(1, \infty)$	+	+	+	+	increasing

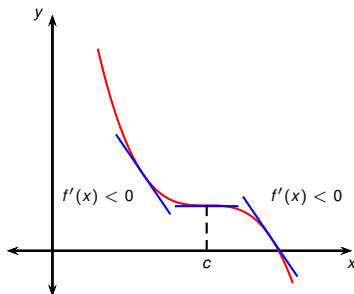
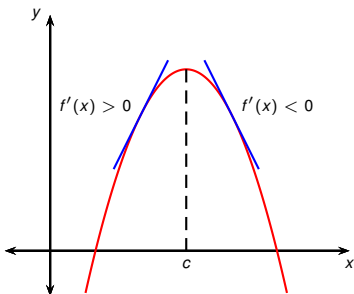
- Recall: if f has a local max at c and $f'(c)$ exists, then $f'(c) = 0$. However if $f'(c) = 0$, it is not necessary that c be a local max.
- In the first picture, $f'(x) > 0$ to the left of c and $f'(x) < 0$ to the right of c .
- In other words, $f'(x)$ changes sign at c .
- In the second picture, $f'(x) > 0$ to the left of c and $f'(x) > 0$ to the right of c . $f'(x)$ doesn't change sign at c .
- In the first picture there's a local maximum, but not in the second.
- This suggests a way of testing for local maxima/minima.



The First Derivative Test

Suppose $f'(c) = 0$ (i.e., f is differentiable at c and c is critical number for f).

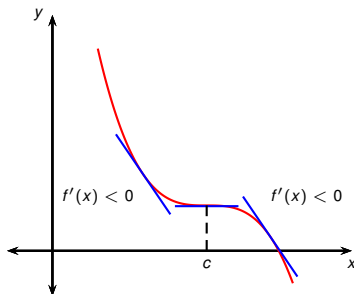
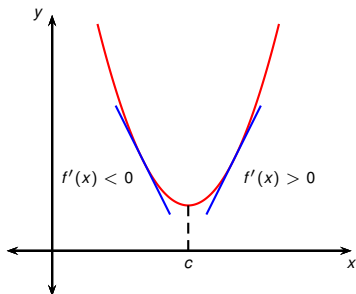
- 1 If f' changes from positive to negative at c , then f has a local maximum at c .
- 2 If f' changes from negative to positive at c , then f has a local minimum at c .
- 3 If f' doesn't change signs at c , then f has no local maximum or minimum at c .



The First Derivative Test

Suppose $f'(c) = 0$ (i.e., f is differentiable at c and c is critical number for f).

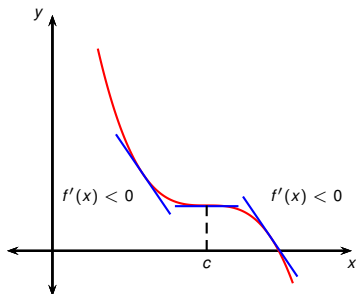
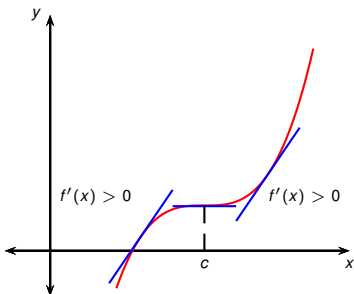
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The First Derivative Test

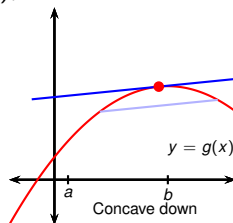
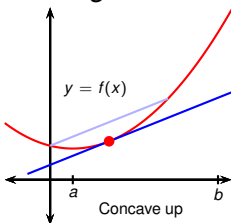
Suppose $f'(c) = 0$ (i.e., f is differentiable at c and c is critical number for f).

- 1 If f' changes from positive to negative at c , then f has a local maximum at c .
- 2 If f' changes from negative to positive at c , then f has a local minimum at c .
- 3 If f' doesn't change signs at c , then f has no local maximum or minimum at c .



What Does f'' Say About f ?

f and g are both increasing on (a, b) , but “bend” in different directions.

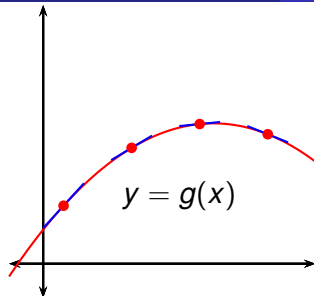
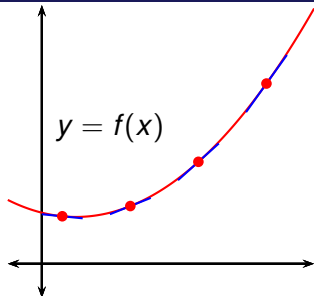


Definition (Concave Up/Concave Down, most general definition)

A function is called concave up/down if the line segment between any two points on its graph lies above/below the graph.

Theorem (Can be taken as a definition)

Let f be a differentiable function on an interval I . Then f is concave up (on I) if its graph lies above all of its tangents (on I), and f is concave down (on I) if its graph lies below all of its tangents (on I).



- In the graph of f the slopes of the tangent lines increase as we move from left to right.
- This means f' is an increasing function.
- This means f'' is positive on (a, b) .
- Similarly g'' is negative on (a, b) .

Concavity Test

- 1 If $f''(x) > 0$ for all x in I , then the graph of f is concave up on I .
- 2 If $f''(x) < 0$ for all x in I , then the graph of f is concave down on I .

Definition (Inflection Point)

A point $P = (x, f(x))$ on a curve $y = f(x)$ is called an inflection point if

- $f''(x)$ exists
- the graph of f changes from concave up to concave down or from concave down to concave up at P .

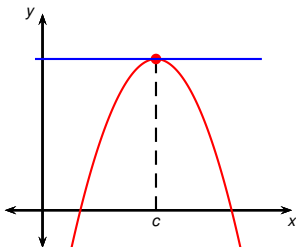
In other words $P = (x, f(x))$ is an inflection point if f'' exists and changes signs at x .

This gives us a new way of checking if critical points are local maxima or local minima:

The Second Derivative Test

Suppose f'' exists near c .

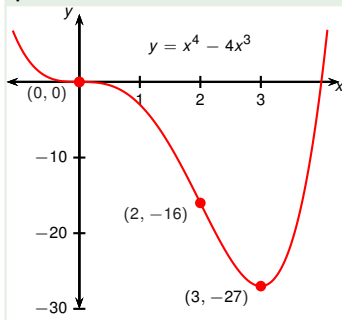
- 1 If $f'(c) = 0$ and $f''(c) > 0$, then f has a local minimum at c .
- 2 If $f'(c) = 0$ and $f''(c) < 0$, then f has a local maximum at c .



- $f'(c) = 0$, so f has a horizontal tangent at c .
- $f''(c) < 0$, so f is concave down near c .
- This means f lies below its horizontal tangent.
- This means $f(c)$ is a local maximum.

Example

Discuss the curve $y = f(x) = x^4 - 4x^3$ with respect to concavity, points of inflection, and local maxima and minima. Sketch the curve.

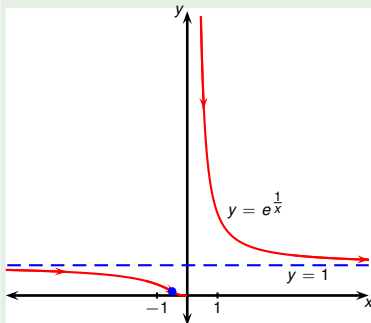


- $f'(x) = 4x^3 - 12x^2 = 4x^2(x - 3)$.
- $f''(x) = 12x^2 - 24x = 12x(x - 2)$.
- Critical numbers: 0 and 3.
- $f''(0) = 0$ and $f''(3) = 36 > 0$.
- Second Derivative Test:
- Local minimum at 3. $f(3) = -27$.
- No information about 0.
- First Derivative Test:
- f' is $-$ on $(-\infty, 0)$ and $-$ on $(0, 3)$.
- No local max or min at 0.
- Inflection points: $(0, 0)$ and $(2, -16)$.

Interval	$f''(x)$	Concave
$(-\infty, 0)$	+	up
$(0, 2)$	-	down
$(2, \infty)$	+	up

Example

Draw the graph of $f(x) = e^{1/x}$.



- $f(x)$ is always positive.
- Domain: everything but 0.
- Check for vertical asymptote at 0.
- $t = 1/x$: $\lim_{x \rightarrow 0^+} e^{1/x} = \lim_{t \rightarrow \infty} e^t = \infty$.
- $t = 1/x$: $\lim_{x \rightarrow 0^-} e^{1/x} = \lim_{t \rightarrow -\infty} e^t = 0$.
- As $x \rightarrow \pm\infty$, $1/x \rightarrow 0$.
- Therefore $\lim_{x \rightarrow \pm\infty} e^{1/x} = 1$
- $y = 1$ is a horizontal asymptote.

$$f'(x) = e^{1/x} \left(\frac{1}{x} \right)' = e^{1/x} (-x^{-2}) = -\frac{e^{1/x}}{x^2}.$$

$$f''(x) = -\frac{\left(-\frac{e^{1/x}}{x^2} \right) x^2 - e^{1/x} (2x)}{x^4} = \frac{e^{1/x} (1 + 2x)}{x^4}.$$

Always decreasing. Inflection point: $(-1/2, e^{-2})$.

Guidelines for Sketching a Curve

The following items are to be considered when drawing a curve. Not every item is relevant to every function.

- 1 Determine the domain of the function.
- 2 Depending on availability, use computer software to plot.
- 3 Compute x , y intercepts.
- 4 Determine symmetries, periodicity.
- 5 Compute asymptotes - vertical, horizontal, optional - slanted.
- 6 Compute intervals of increase or decrease.
- 7 Compute local and global maxima and minima.
- 8 Compute concavity and points of inflection.

1 Domain

- Find the domain of the function.
- Remember the two restrictions: no dividing by 0, and no taking the even root of a negative number.

2 You can use computer software to plot your function.

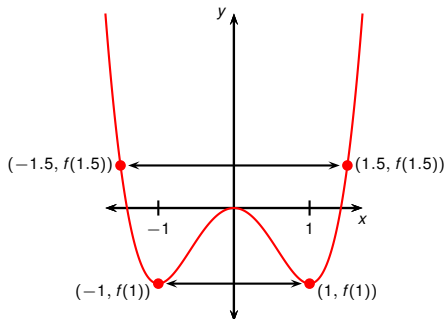
- Most computer software will ask you to specify the domain of the function explicitly.
- Some software may be able to determine the (implied) domain of your function.
- Software may not be always available (example: Calculus I exams).

3 Intercepts

- Find the intercepts of the function.
- $f(0)$ is the y -intercept.
- To find the x -intercepts, set $y = 0$ and solve for x .
- You can sometimes skip this step if the equation is too difficult to solve.

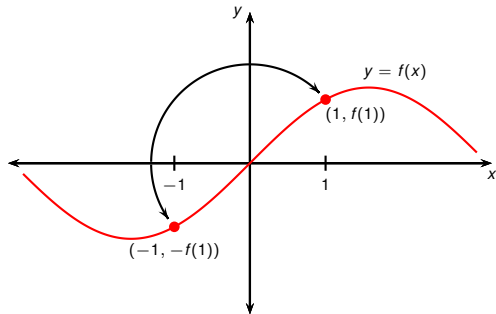
4 Symmetry, Periodicity

- If $f(-x) = f(x)$ for all x , then f is even.
- If $f(-x) = -f(x)$ for all x , then f is odd.
- If there is some number p such that $f(a + p) = f(a)$ for all a , then f is called periodic. The smallest such p is called its period.



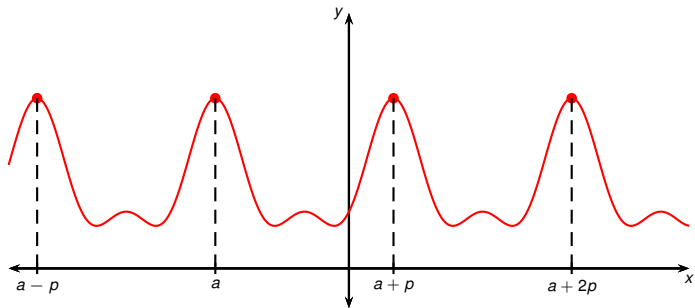
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5 Asymptotes

- **Horizontal asymptotes** can be found by finding $\lim_{x \rightarrow \infty} f(x)$ and $\lim_{x \rightarrow -\infty} f(x)$.
- If either of these equals a number L , then $y = L$ is a horizontal asymptote of f .
- If neither limit exists, there is no horizontal asymptote.
- The line $x = a$ is a **Vertical asymptote** of f if any of the following is true

$$\begin{array}{ll} \lim_{x \rightarrow a^+} f(x) = \infty & \lim_{x \rightarrow a^-} f(x) = \infty \\ \lim_{x \rightarrow a^+} f(x) = -\infty & \lim_{x \rightarrow a^-} f(x) = -\infty \end{array}$$

- We may discuss slant asymptotes in another lecture if time allows.

6 Intervals of increase or decrease

- To find intervals of increase or decrease, use the increasing/decreasing test.
- Compute f' .
- Find where f' is positive or negative.
- Where f' is positive, f is increasing.
- Where f' is negative, f is decreasing.

7 Local maxima and minima

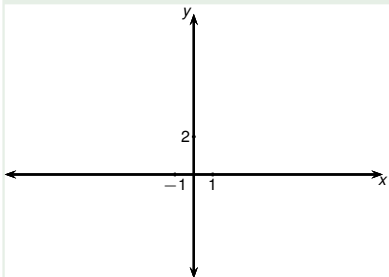
- Find the critical numbers of f (the numbers c where $f'(c)$ doesn't exist or $f'(c) = 0$).
- Use the First Derivative Test on each of these numbers:
- If f' changes from positive to negative at a critical number c , then c is a local maximum.
- If f' changes from negative to positive at a critical number c , then c is a local minimum.
- If f' doesn't change sign at a critical number c , then c is neither a local maximum nor a local minimum.

8 Concavity and points of inflection

- To find inflection points and intervals of concavity, use the concavity test.
- Compute f'' .
- Find where f'' is positive or negative.
- Where f'' is positive, f is concave up.
- Where f'' is negative, f is concave down.
- Inflection points occur when f'' changes signs.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.

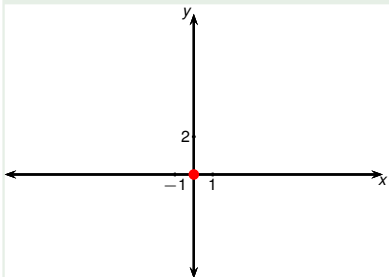


1 Domain

The domain of the function is $(-\infty, -1) \cup (-1, 1) \cup (1, \infty)$.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.

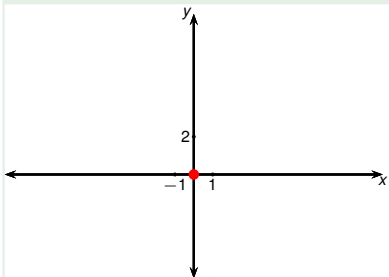


3 Intercepts

- y-intercept: $f(0) = 0$.
- x-intercept: $f(x) = 0$ when $x = 0$.
- The only intercept is $(0, 0)$.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.



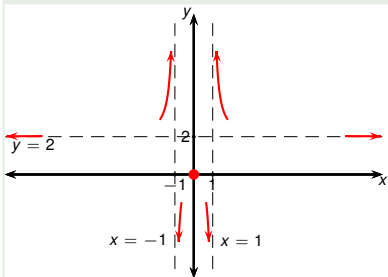
4 Symmetry

$$f(-x) = \frac{2(-x)^2}{(-x)^2 - 1} = \frac{2x^2}{x^2 - 1} = f(x)$$

Therefore f is even.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.



5 Asymptotes

$$\lim_{x \rightarrow \pm\infty} \frac{2x^2}{x^2 - 1} = \lim_{x \rightarrow \pm\infty} \frac{2}{1 - 1/x^2} = 2$$

$y = 2$ is a horizontal asymptote.

$$\lim_{x \rightarrow 1^+} \frac{2x^2}{x^2 - 1} = \infty$$

$$\lim_{x \rightarrow 1^-} \frac{2x^2}{x^2 - 1} = -\infty$$

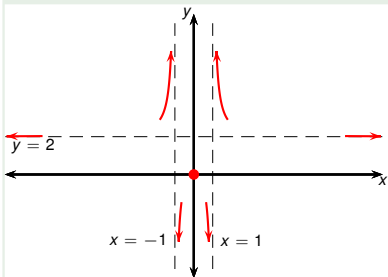
$$\lim_{x \rightarrow -1^+} \frac{2x^2}{x^2 - 1} = -\infty$$

$$\lim_{x \rightarrow -1^-} \frac{2x^2}{x^2 - 1} = \infty$$

$x = \pm 1$ are vertical asymptotes.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.



Interval	I/D	Concavity
$(-\infty, -1)$	I	
$(-1, 0)$	I	
$(0, 1)$	D	
$(1, \infty)$	D	

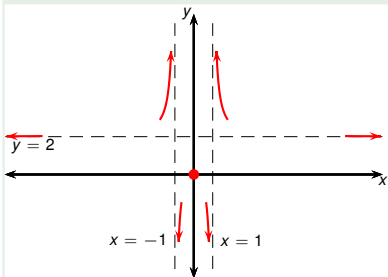
⑥ Intervals of increase or decrease

$$\begin{aligned}
 f'(x) &= \frac{(x^2 - 1)(4x) - 2x^2(2x)}{(x^2 - 1)^2} \\
 &= \frac{-4x}{(x^2 - 1)^2}
 \end{aligned}$$

	$-4x$	$(x^2 - 1)^2$	f'
$(-\infty, -1)$	+	+	+
$(-1, 0)$	+	+	+
$(0, 1)$	-	+	-
$(1, \infty)$	-	+	-

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.



Interval	I/D	Concavity
$(-\infty, -1)$	I	
$(-1, 0)$	I	
$(0, 1)$	D	
$(1, \infty)$	D	

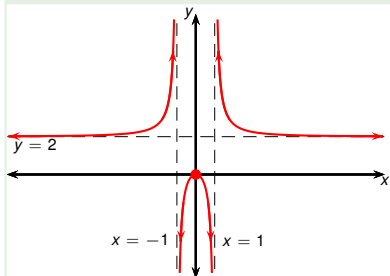
7 Local maxima and minima

	$-4x$	$(x^2 - 1)^2$	f'
$(-\infty, -1)$	+	+	+
$(-1, 0)$	+	+	+
$(0, 1)$	-	+	-
$(1, \infty)$	-	+	-

- f' changes sign from + to - at 0.
- Therefore $(0, 0)$ is a local maximum.

Example

Sketch the curve $y = \frac{2x^2}{x^2 - 1}$.



Interval	I/D	Concavity
$(-\infty, -1)$	I	up
$(-1, 0)$	I	down
$(0, 1)$	D	down
$(1, \infty)$	D	up

8 Concavity and points of inflection

$$\begin{aligned}
 f''(x) &= \frac{-4(x^2 - 1)^2 + 4x \cdot 2(x^2 - 1)2x}{(x^2 - 1)^4} \\
 &= \frac{12x^2 + 4}{(x^2 - 1)^3}
 \end{aligned}$$

	$12x^2 + 4$	$(x^2 - 1)^3$	f''
$(-\infty, -1)$	+	+	+
$(-1, 1)$	+	-	-
$(1, \infty)$	+	+	+

No points of inflection because ± 1 are not in the domain of f .