

Calculus I

Lecture 15

Extreme Values

Todor Milev

<https://github.com/tmilev/freecalc>

2020

Outline

1 Maximum and Minimum Values

- The Extreme Value Theorem
- Fermat's Theorem

Outline

- 1 Maximum and Minimum Values
 - The Extreme Value Theorem
 - Fermat's Theorem
- 2 Mean Value theorem

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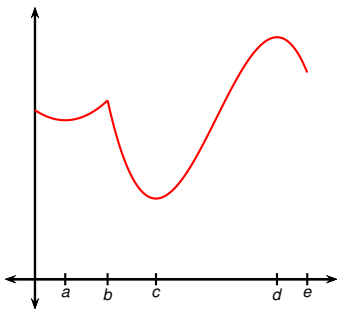
Maximum and Minimum Values

Many real-world problems involve finding minima and maxima (finding minimal costs, maximal profit, shortest time to do a job, etc.).

Examples include

- What shape of can minimizes manufacturing costs?
- What is the maximum acceleration of a space shuttle?
- What is the maximum load an elevator can carry?

Often such questions can be reduced to finding maximum or minimum values of a function. In Calculus I, we study how to minimize and maximize functions in one variable.

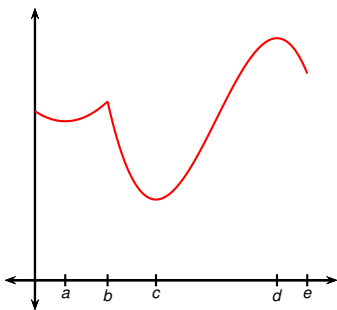


Definition (Absolute Maximum or Minimum)

A function f has an absolute maximum (or global maximum) at c if $f(c) \geq f(x)$ for all x in the domain of f . The number $f(c)$ is called the maximum value of f .

Likewise, f has an absolute minimum at c if $f(c) \leq f(x)$ for all x in the domain of f . $f(c)$ is called the minimum value of f .

Maximum and minimum values of f are called extreme values.



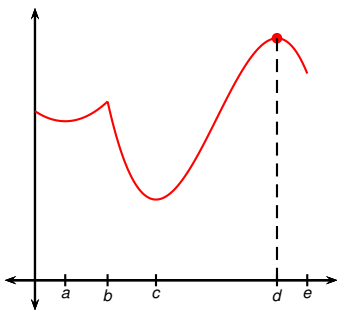
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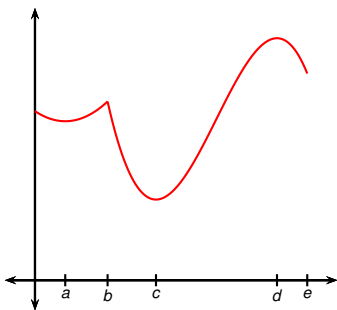
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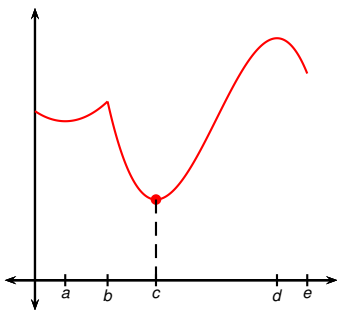
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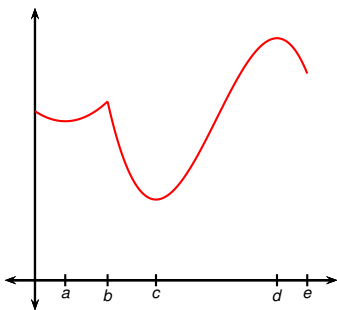
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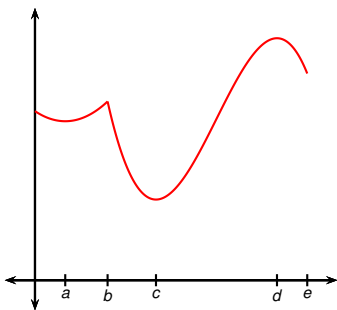
Maximum and minimum values of f are called extreme values.



- Absolute maximum at d .
- Absolute minimum at c .

Definition (Local Maximum or Minimum)

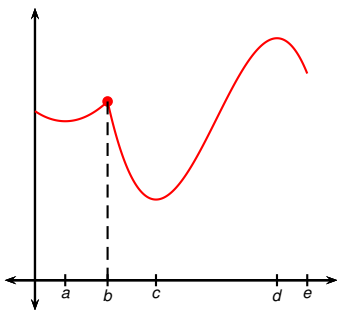
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- Absolute minimum at c .
- Local maximum at ? ? ?
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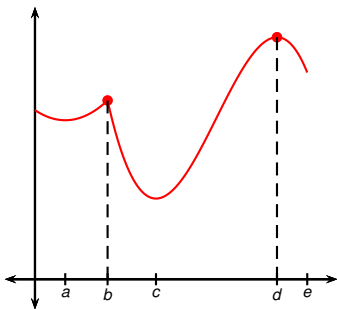
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- Local maximum at b , ? ?
- Local minimum at ? ? ?

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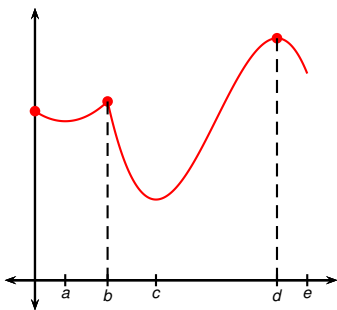
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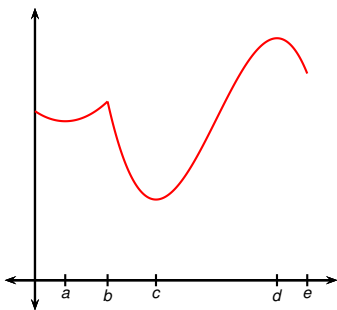
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- Absolute maximum at d .
- Absolute minimum at c .
- Local maximum at b, d and 0 .
- Local minimum at ? ? ?

Definition (Local Maximum or Minimum)

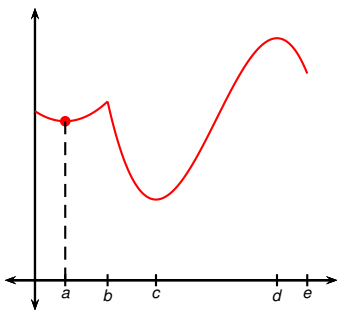
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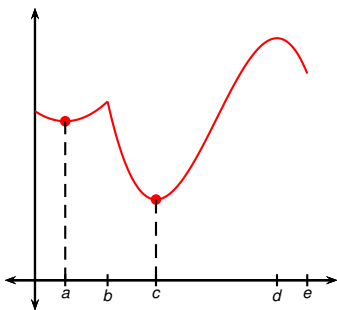
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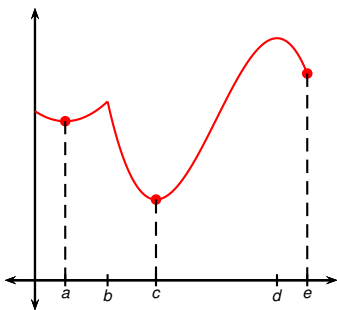
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Question

Is it possible that a function attains its maximum/minimum value for infinitely many values of x ?

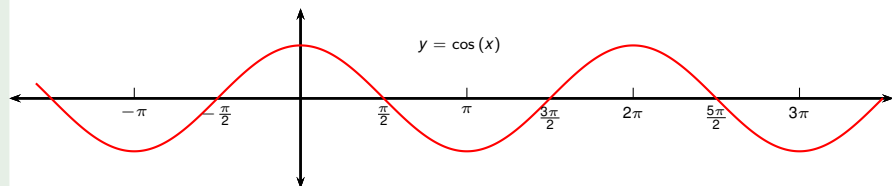
Question

Is it possible that a function attains its maximum/minimum value for infinitely many values of x ?

Example

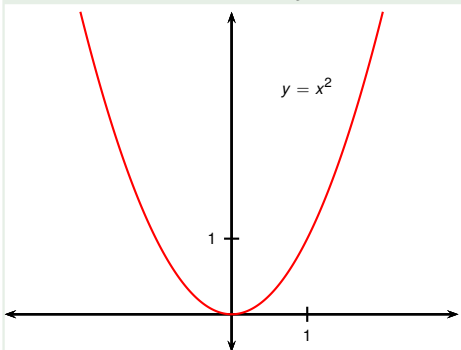
The function $\cos x$ attains its maximum value ($=1$) infinitely many times, since $\cos(2n\pi) = 1$ for any integer n .

Likewise, it attains its minimum value of -1 infinitely many times, because $\cos((2n+1)\pi) = -1$ for all integers n .



Example

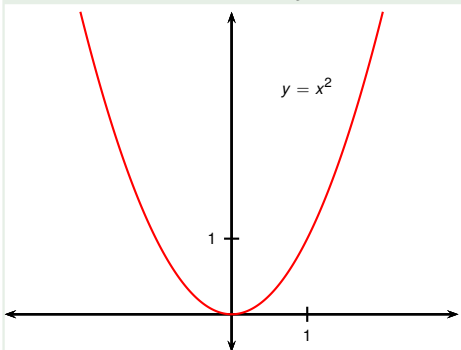
Consider the function $y = x^2$.



- Absolute maximum:
- Absolute minimum:
- Local maximum:
- Local minimum:

Example

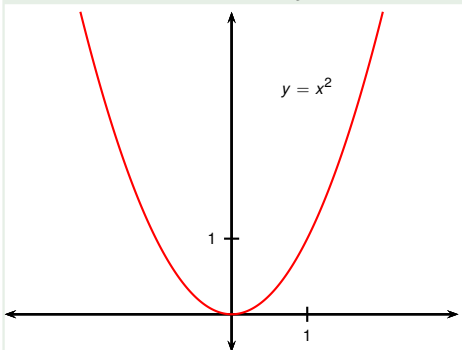
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- Absolute maximum: ?
- Absolute minimum:
- Local maximum:
- Local minimum:

Example

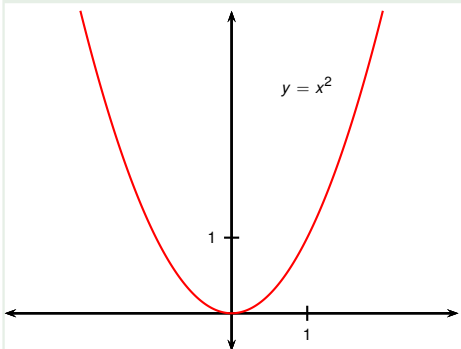
Consider the function $y = x^2$.



- **Absolute maximum: None**
- Absolute minimum:
- Local maximum:
- Local minimum:

Example

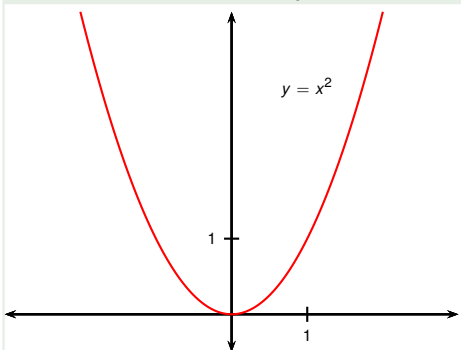
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- Absolute maximum: None
- Absolute minimum: ?
- Local maximum:
- Local minimum:

Example

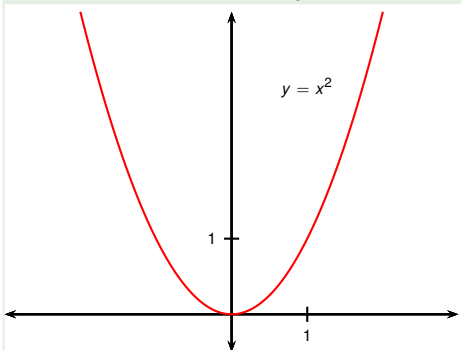
Consider the function $y = x^2$.



- Absolute maximum: None
- Absolute minimum: at 0
- Local maximum:
- Local minimum:

Example

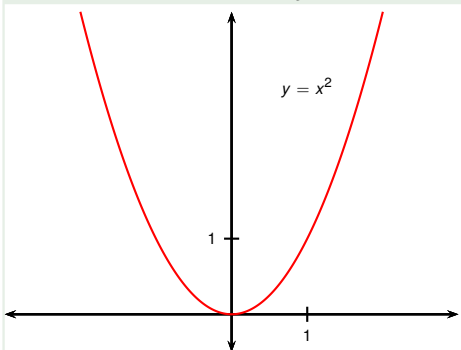
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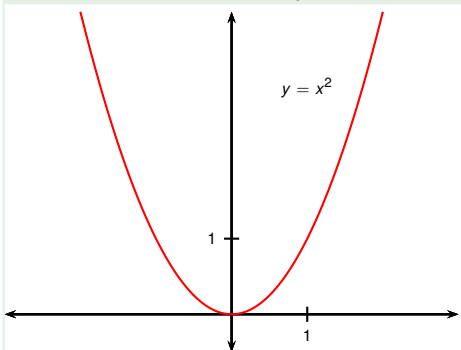
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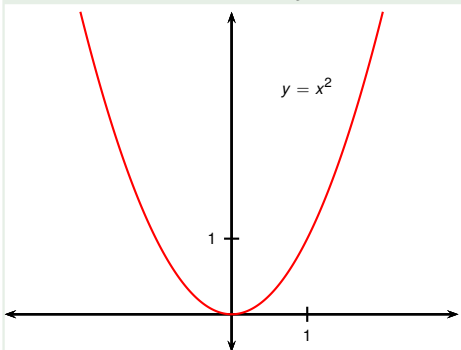
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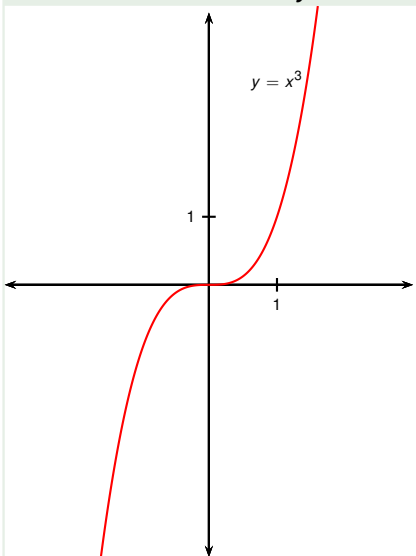
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- Absolute maximum: None
- Absolute minimum: at 0
- Local maximum: None
- Local minimum: at 0

Example

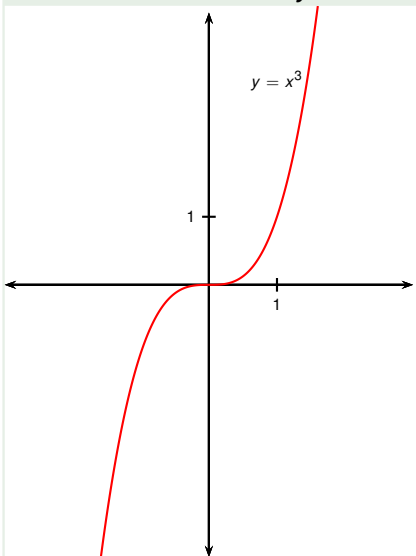
Consider the function $y = x^3$.



- Absolute maximum:
- Absolute minimum:
- Local maximum:
- Local minimum:

Example

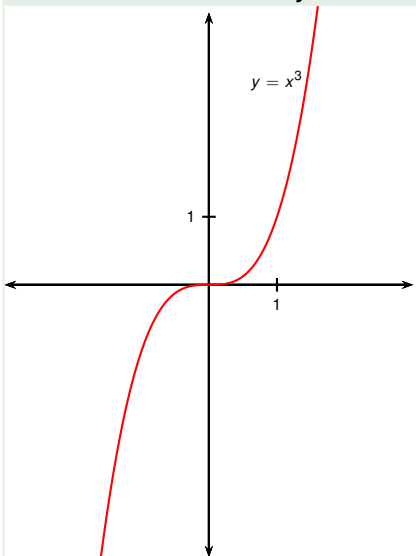
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- Absolute maximum: ?
- Absolute minimum:
- Local maximum:
- Local minimum:

Example

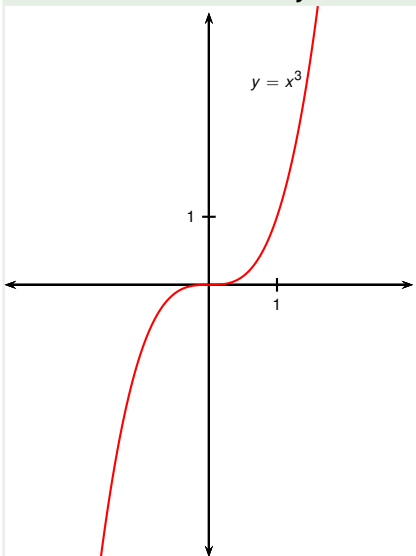
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- Absolute maximum: None
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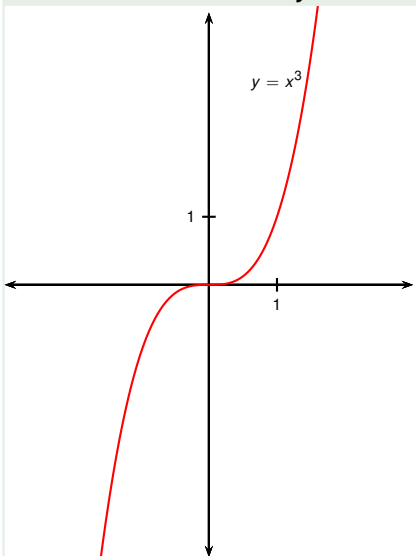
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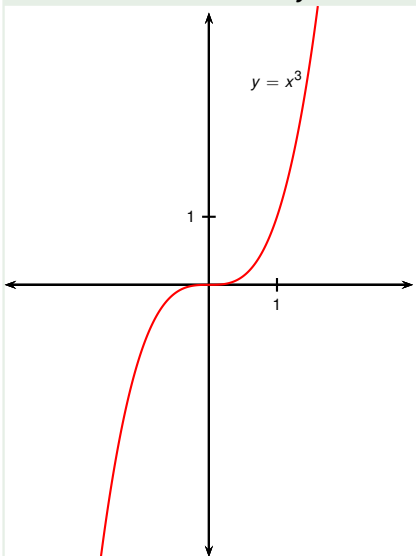
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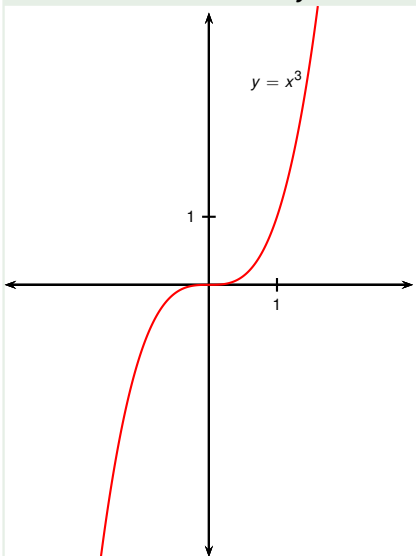
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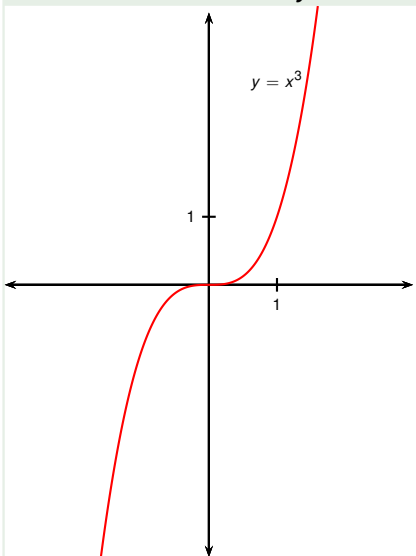
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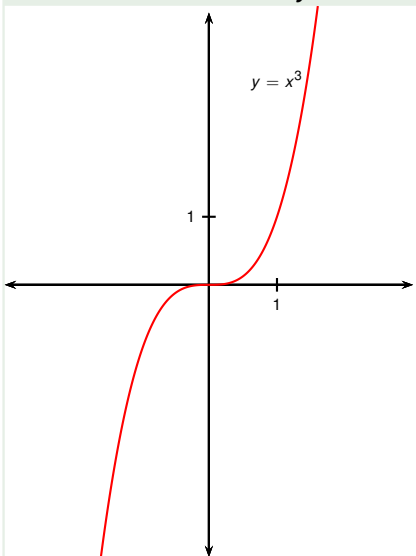
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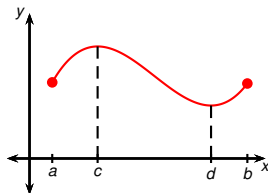
The Extreme Value Theorem

Recall that some functions (such as $y = \cos x$) have extreme values, while other functions (such as $y = x^3$) do not. The next theorem, which we will not prove, gives a condition under which f must have extreme values.

Theorem (The Extreme Value Theorem)

If f is continuous on a closed and bounded interval $[a, b]$, then f attains its maximum and minimum value, each at least once. In other words, there exist numbers c and d in $[a, b]$ such that

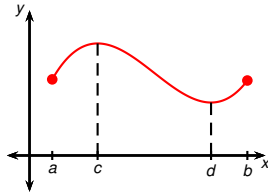
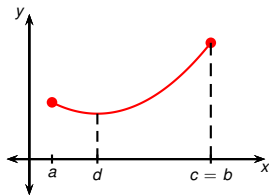
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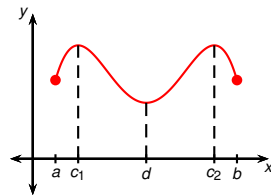
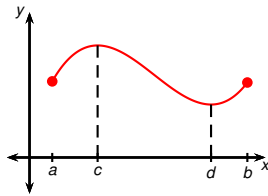
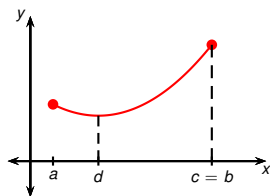


- Extreme values might happen at endpoints.

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$$f(c) \geq f(x) \geq f(d) \quad \text{for all } x \in [a, b]$$



- Extreme values might happen at endpoints.
- Extreme values might happen twice.

Theorem (The Extreme Value Theorem)

If f is continuous on a closed interval $[a, b]$, then f attains its maximum and minimum value, each at least once.

- Do we need all of the hypotheses of the theorem?

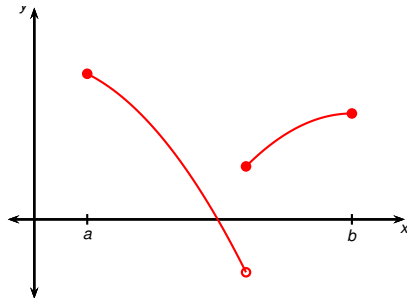
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If f is continuous on a closed interval $[a, b]$, then f attains its maximum and minimum value, each at least once.

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- Do we need f to be continuous?
- Do we need the interval to be closed?

Theorem (The Extreme Value Theorem)

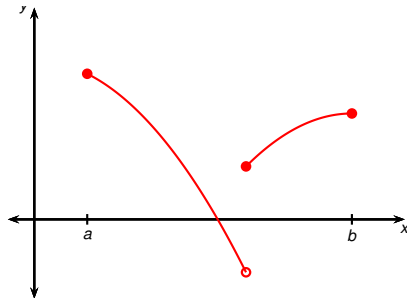
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Theorem (The Extreme Value Theorem)

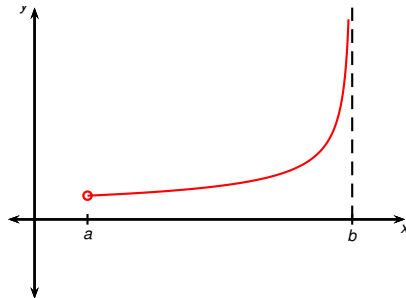
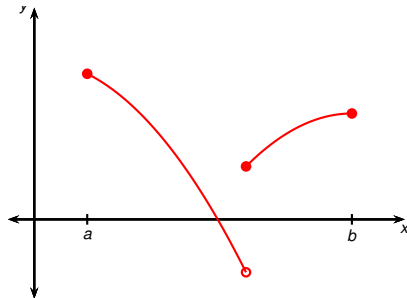
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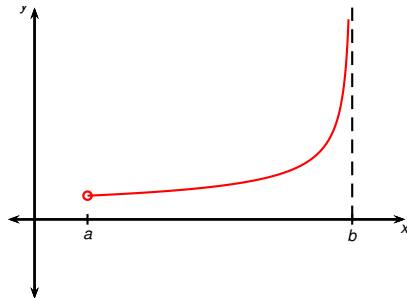
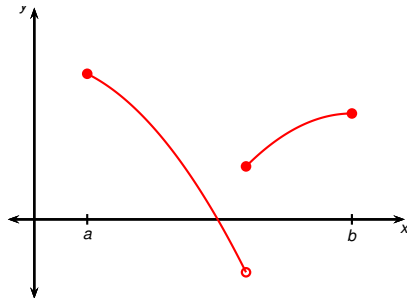
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If f is continuous on a **closed interval** $[a, b]$, then f attains its maximum and minimum value, each at least once.



- Do we need all of the hypotheses of the theorem?
- Do we need f to be continuous? Yes.
- Do we need the interval to be closed? Yes.

Fermat's Theorem

The next theorem gives a condition that can help to find local maxima and minima.

Theorem (Fermat's Theorem)

Let f be a function defined in an open interval around c and such that $f'(c)$ exists. If f has a local maximum or minimum at c , then $f'(c) = 0$.

Proof.

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- We prove the theorem only when f has a local maximum at c .

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- This means that $f(x) \leq f(c)$ for all x close to c .

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Proof.

- We prove the theorem only when f has a local maximum at c .
- This means that $f(x) \leq f(c)$ for all x close to c .
- If $|h|$ is sufficiently small, then $f(c + h) - f(c) \leq 0$.

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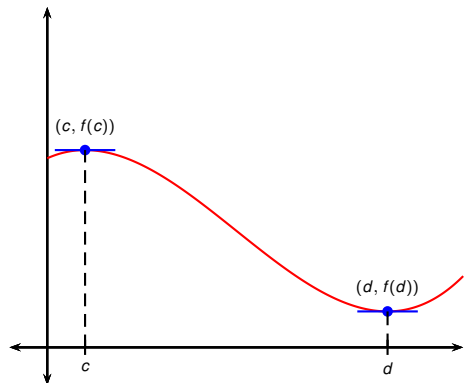
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- Therefore $f'(c) \leq 0$ and $f'(c) \geq 0$, so $f'(c) = 0$. □

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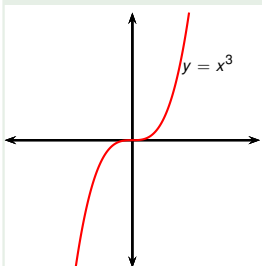
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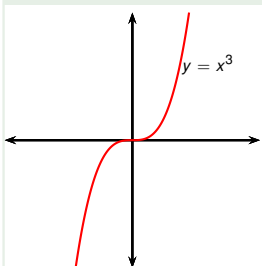
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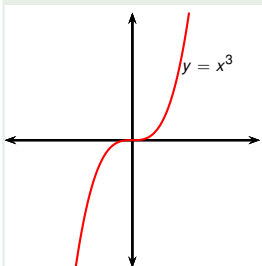
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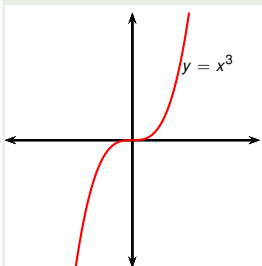
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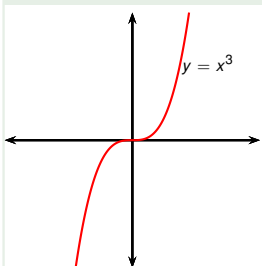
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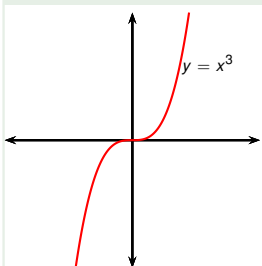
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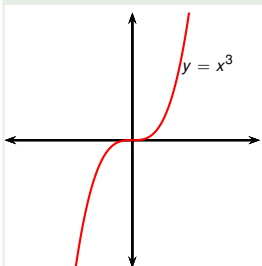
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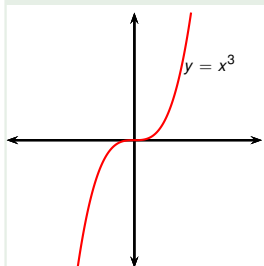
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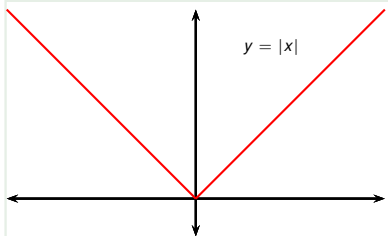
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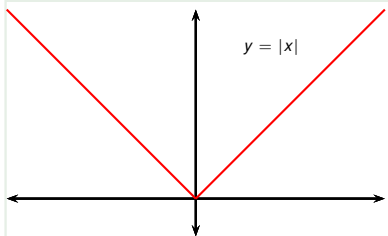
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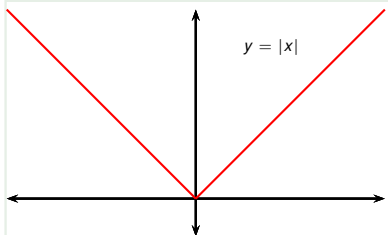
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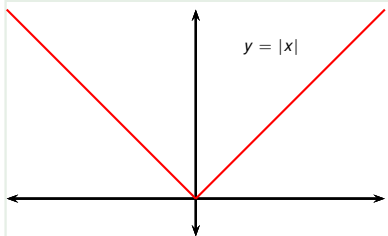
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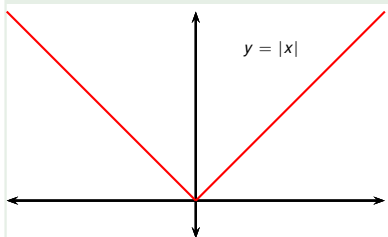
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The Mean Value Theorem

- The first derivative test, the results on concavity and curve sketching, as well as the (soon to be covered) topics of linear approximation and integration depend on an important theorem.
- This is the Mean Value Theorem.
- We will give a complete proof of the Mean Value Theorem.
- We start with a prerequisite result called Rolle's Theorem.

Theorem (Rolle's Theorem)

Let f be a function that satisfies the following three conditions:

- *f is continuous on the closed interval $[a, b]$.*
- *f is differentiable on the open interval (a, b) .*
- *$f(a) = f(b)$.*

Then there is a number c in (a, b) such that $f'(c) = 0$.

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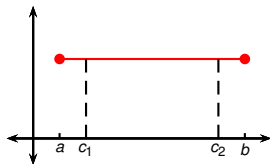
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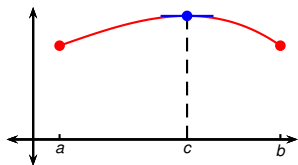
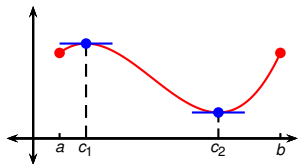
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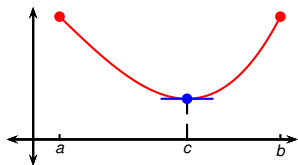
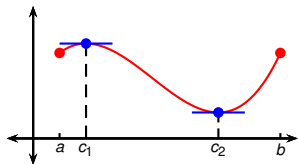
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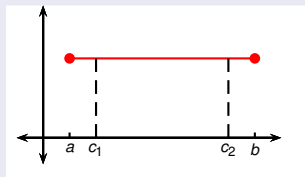
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Let f be a function that satisfies the following three conditions:

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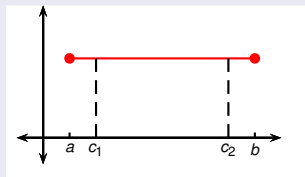
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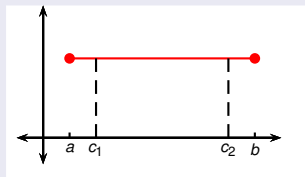
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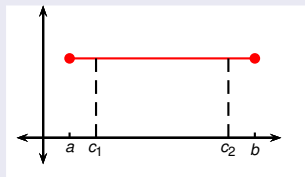
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Proof.



- 1 f is a horizontal line.
- Then $f'(x) = 0$.
- Therefore we can take c to be any number in (a, b) . □

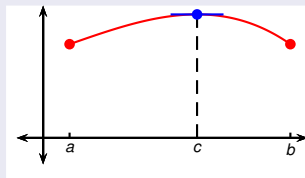
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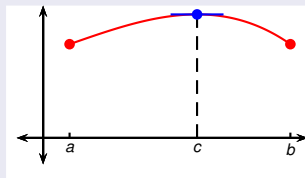
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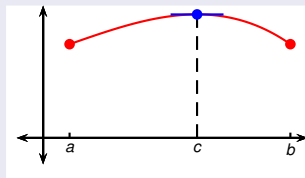
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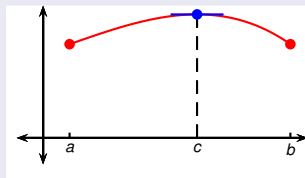
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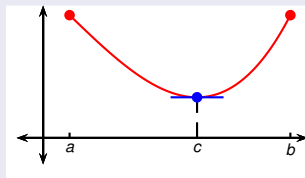
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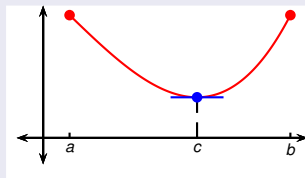
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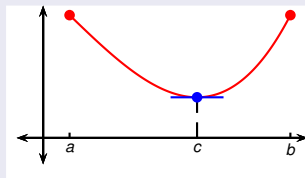
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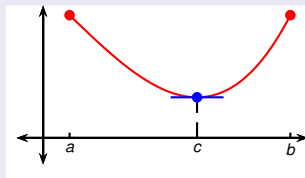
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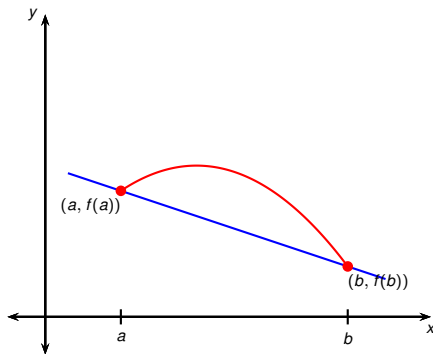
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- $f'(x) = 3x^2 + 4$.
- Therefore $f'(x)$ is always positive.
- **Contradiction.**

Theorem (The Mean Value Theorem)

Let f be a function that is continuous on $[a, b]$ and differentiable on (a, b) . Then there is a number c in (a, b) such that $f'(c) = \frac{f(b)-f(a)}{b-a}$.

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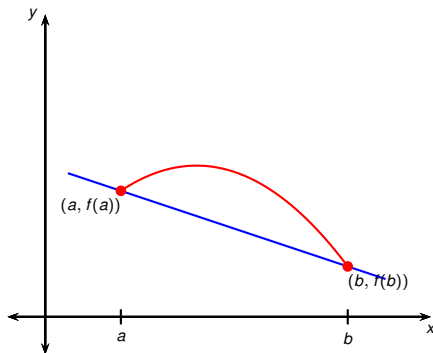
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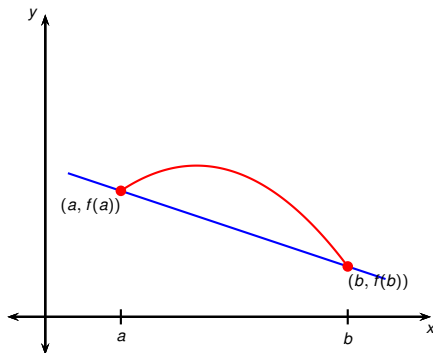
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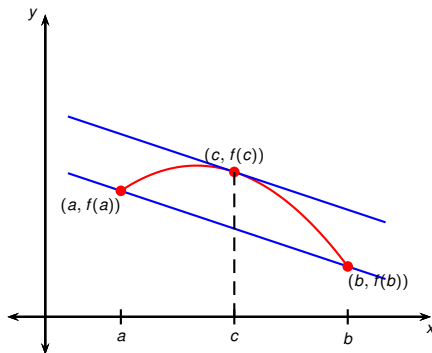
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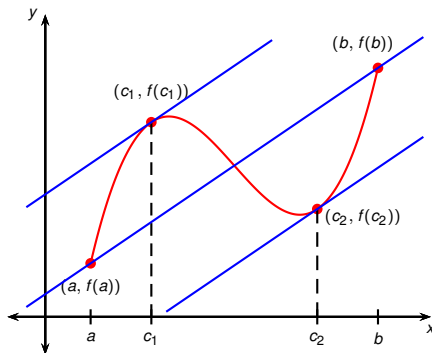
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- **L is linear, so it's continuous and differentiable everywhere.**
- **$f - L$ is continuous on $[a, b]$**

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- $(f - L)(a) = ?$
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- $(f - L)(a) = f(a) - f(a) - \frac{f(b)-f(a)}{b-a}(a - a) = 0$.
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Therefore f is constant on (a, b) . □

Corollary

If $f'(x) = g'(x)$ for all x in an interval (a, b) , then $f - g$ is constant on (a, b) ; that is, $f(x) = g(x) + c$ where c is constant.

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- By the previous theorem, F is constant, so $f - g$ is constant. □