

# Lattice algorithms for the shortest vector problem

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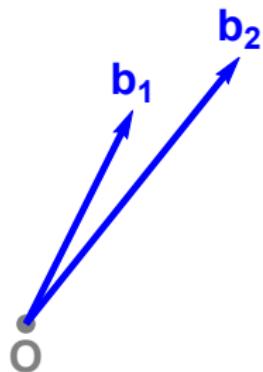
# Lattices

What is a lattice?



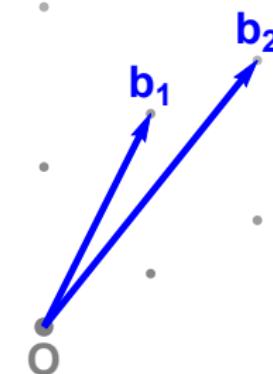
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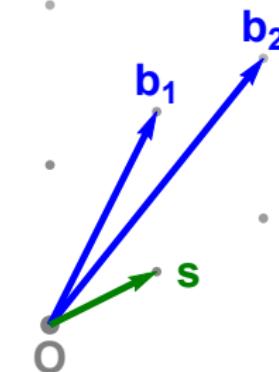
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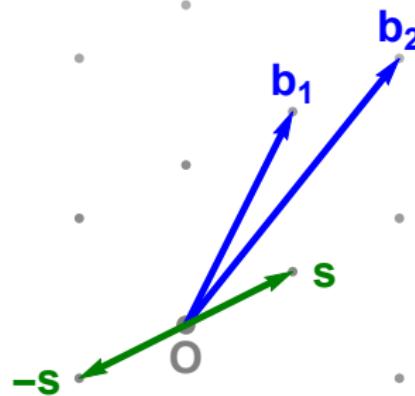
# Lattices

## Shortest Vector Problem (SVP)



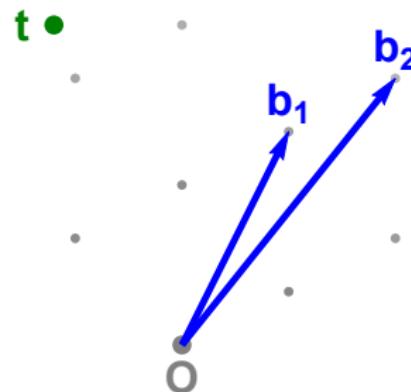
## Lattices

## Shortest Vector Problem (SVP)



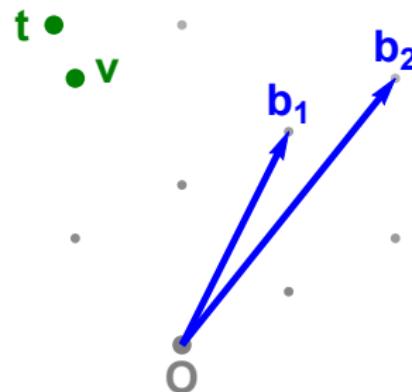
# Lattices

## Closest Vector Problem (CVP)



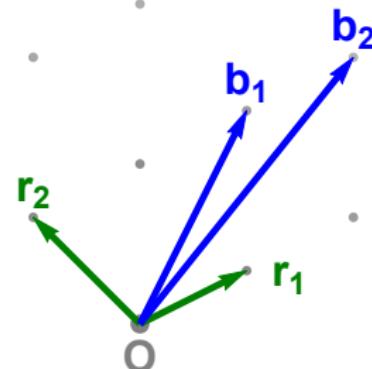
# Lattices

## Closest Vector Problem (CVP)



# Lattices

## Lattice basis reduction



# Lattices

## Lattice-based cryptanalysis

**Problem:** Security of lattice-based cryptographic primitives

- Most lattice problems solvable via (approximate) SVP
- State-of-the-art: BKZ basis reduction [Sch87, SE94, ...]
  - ▶ Leo's algorithmic ant and the sandpile
  - ▶ BKZ uses exact SVP algorithm as subroutine
  - ▶ Complexity of BKZ dominated by *exact* SVP calls

**Problem:** How hard is SVP in high dimensions?

# Outline

Lattices

SVP algorithms

- Enumeration

- Sieving

SVP hardness

- Theory

- Practice

Conclusion

# Outline

- Lattices

- SVP algorithms

- Enumeration

- Sieving

- SVP hardness

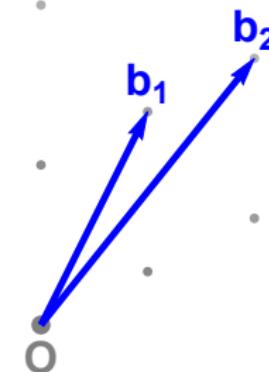
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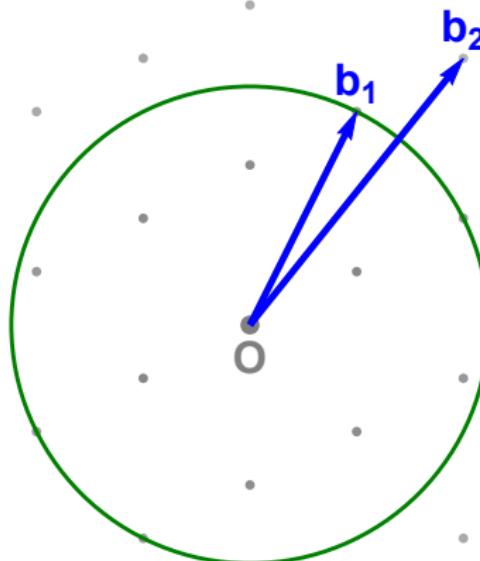
# Enumeration

Determine possible coefficients of  $b_2$



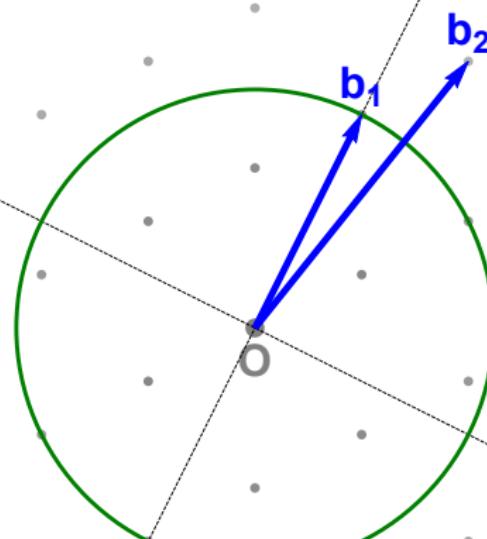
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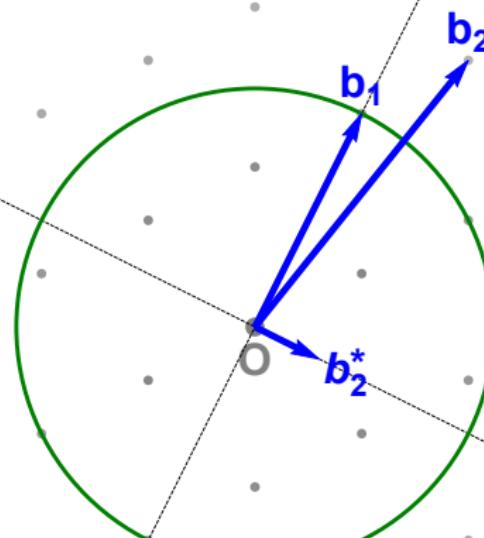
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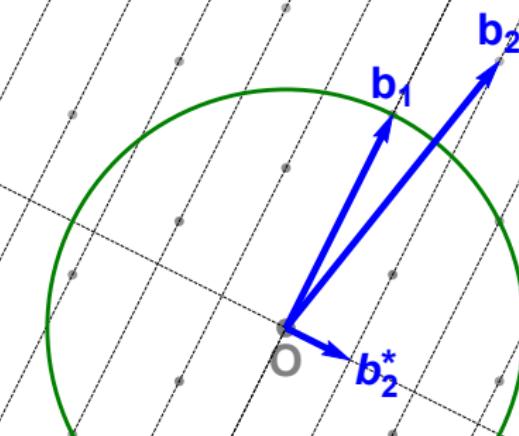
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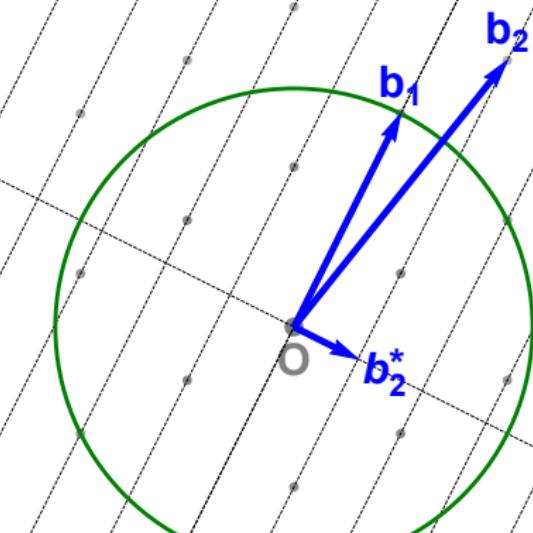
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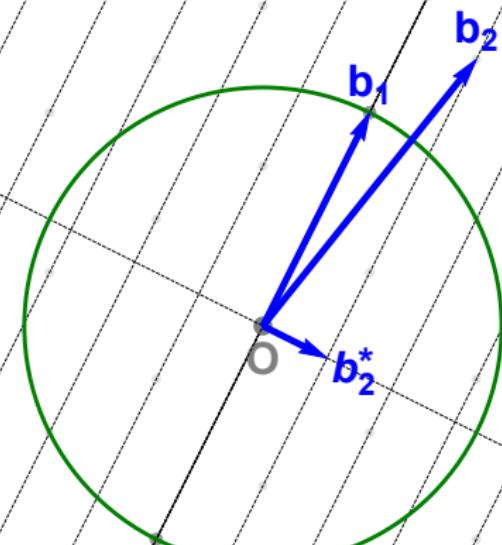
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Find short vectors for each coefficient of  $b_2$



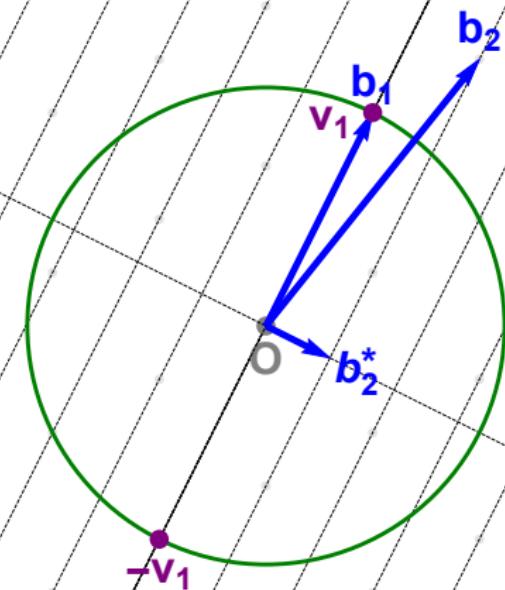
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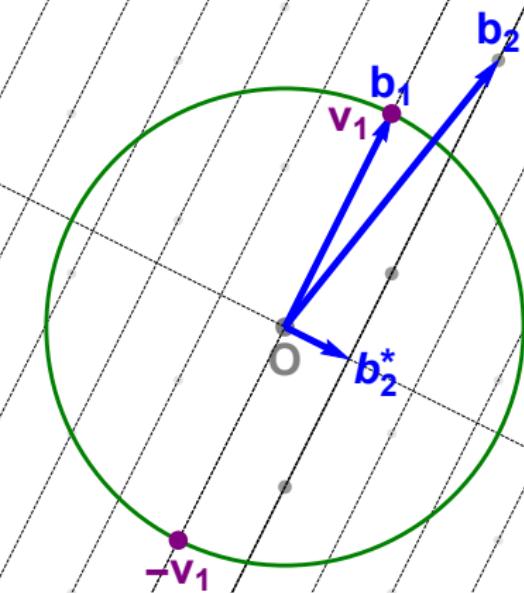
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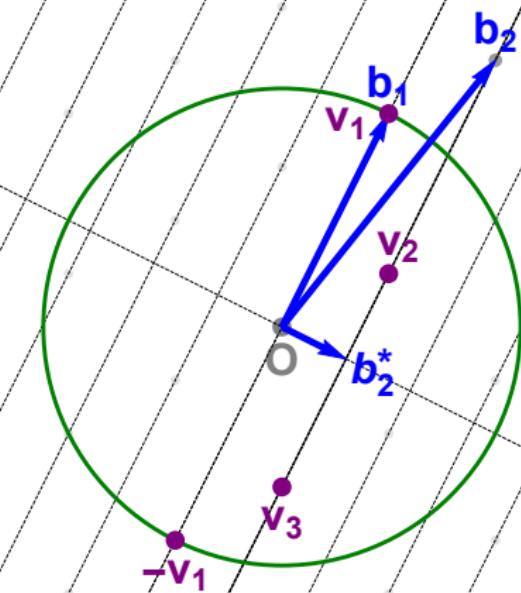
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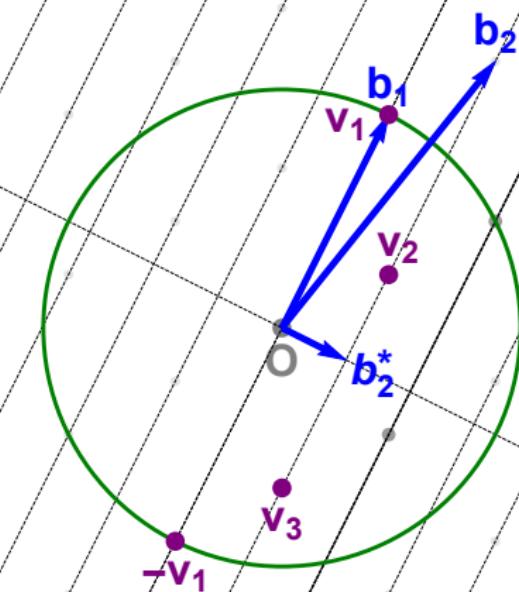
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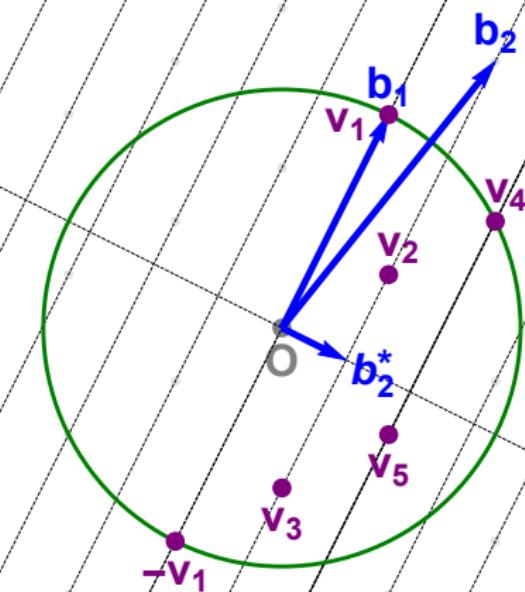
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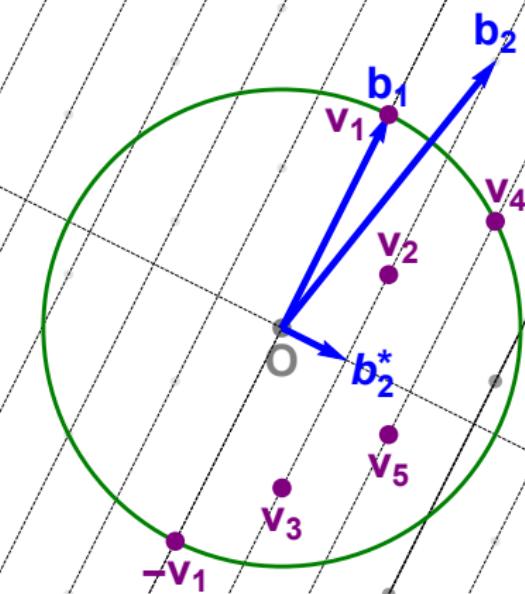
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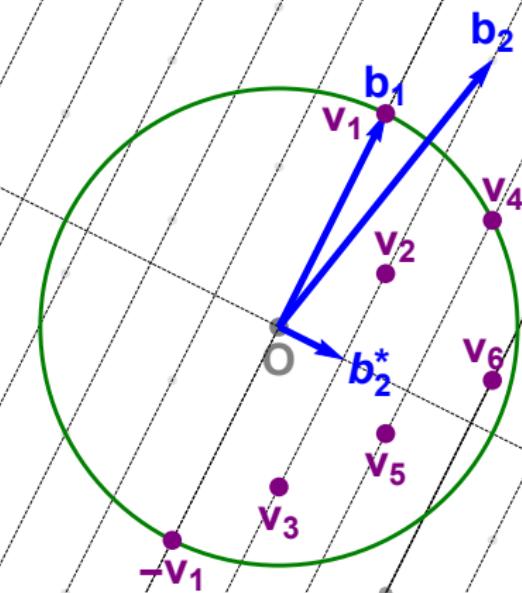
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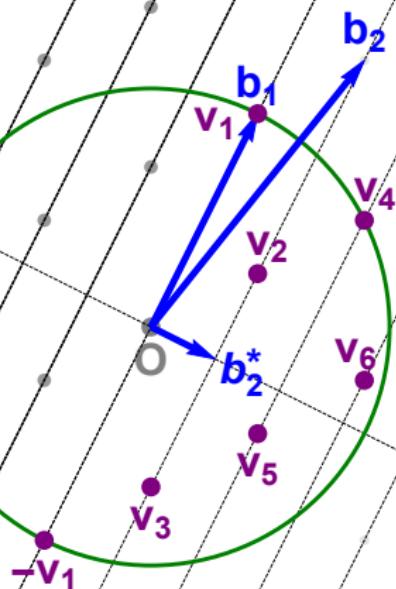
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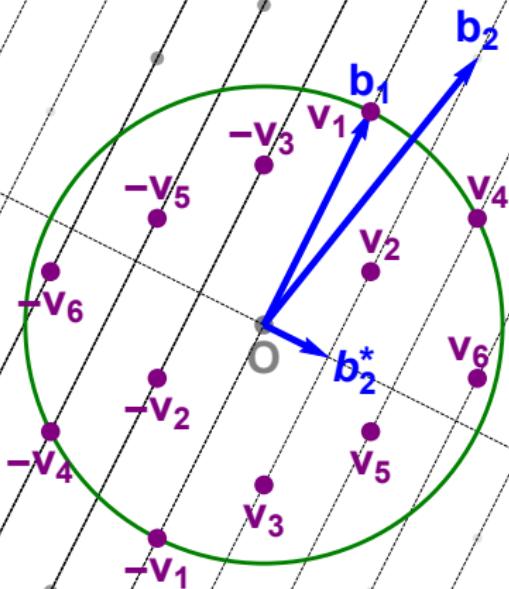
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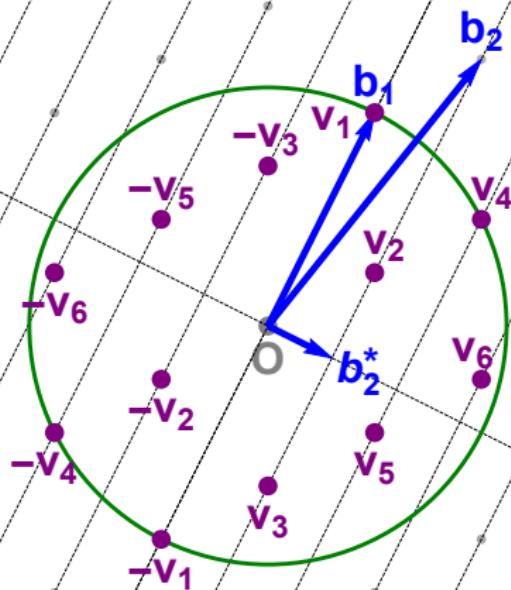
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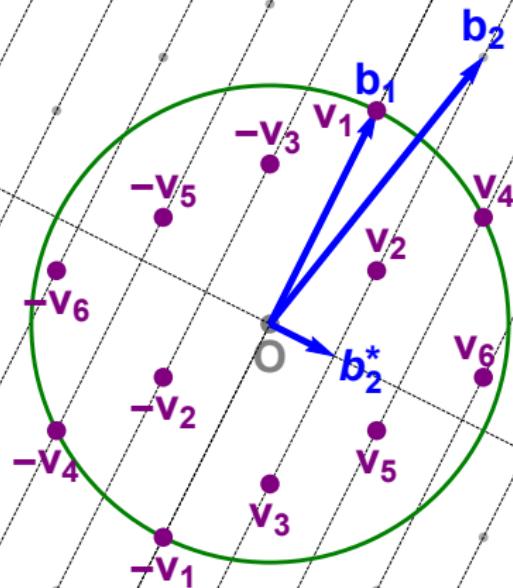
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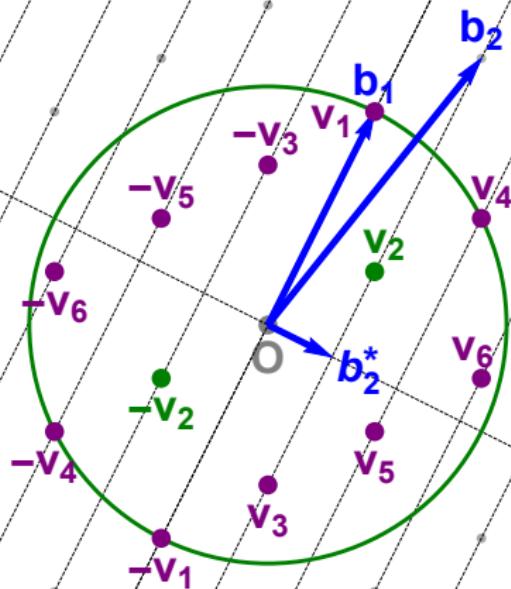
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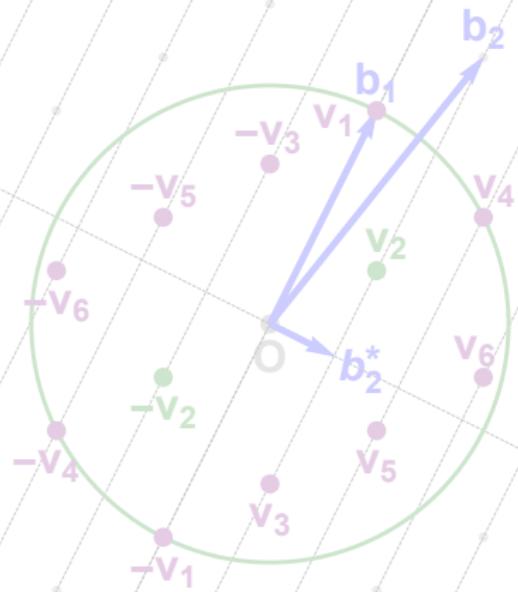
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# Enumeration

## Overview

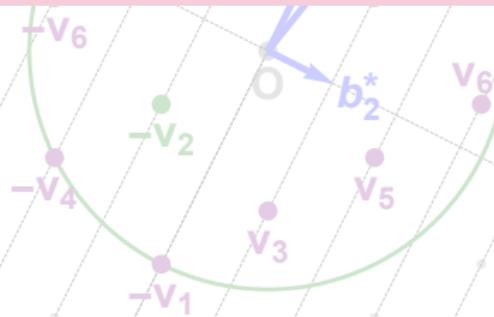


# Enumeration

## Overview

Theorem (Fincke–Pohst, Math. of Comp. '85)

Lattice enumeration solves SVP in time  $2^{O(n^2)}$  and space  $\text{poly}(n)$ .



# Enumeration

## Overview

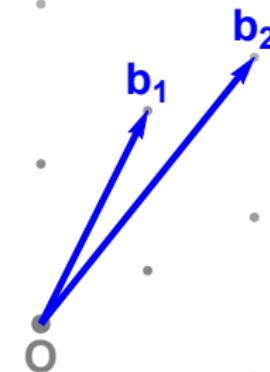
Theorem (Fincke–Pohst, Math. of Comp. '85)

Lattice enumeration solves SVP in time  $2^{O(n^2)}$  and space  $\text{poly}(n)$ .

Essentially reduces  $SVP_n$  ( $CVP_n$ ) to  $2^{O(n)}$  instances of  $CVP_{n-1}$ .

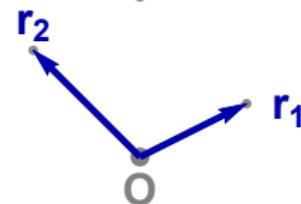
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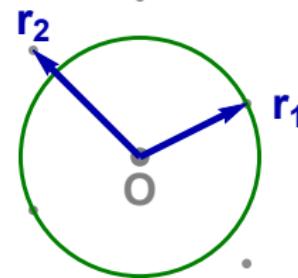
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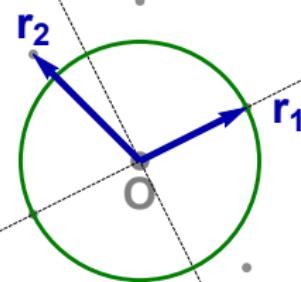
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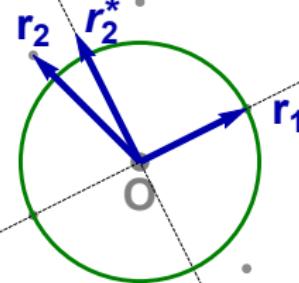
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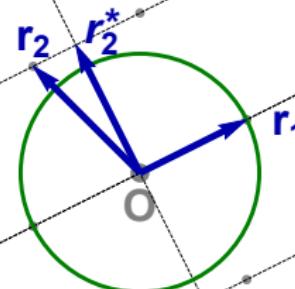
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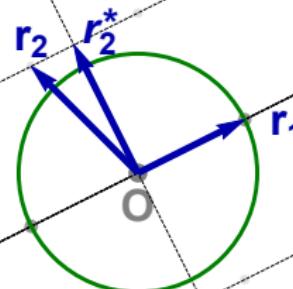
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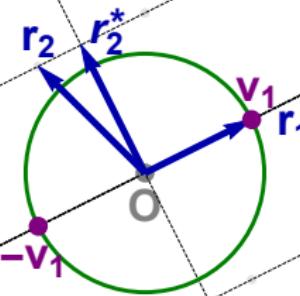
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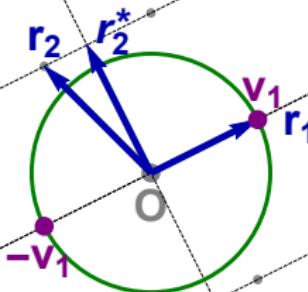
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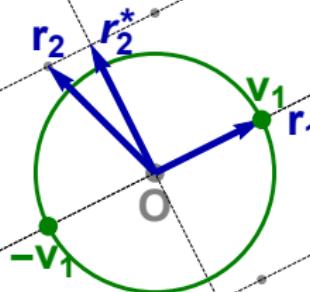
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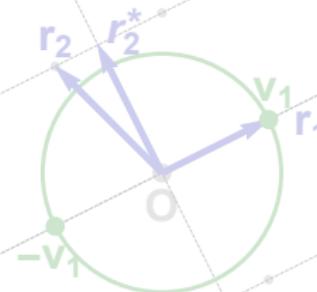
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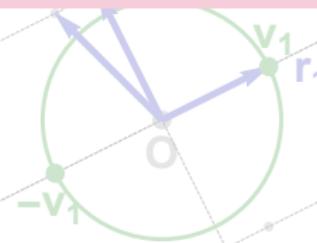


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Better bases

Theorem (Kannan, STOC'83)

Combining enumeration with stronger basis reduction, one can solve SVP in time  $2^{O(n \log n)}$  and space  $\text{poly}(n)$ .



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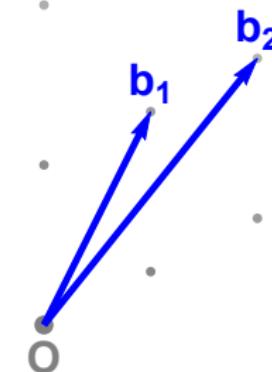
Combining enumeration with stronger basis reduction, one can solve SVP in time  $2^{O(n \log n)}$  and space  $\text{poly}(n)$ .

*"Our algorithm reduces an  $n$ -dimensional problem to polynomially many (instead of  $2^{O(n)}$ )  $(n - 1)$ -dimensional problems. [...] The algorithm we propose, first finds a more orthogonal basis for a lattice in time  $2^{O(n \log n)}$ ."*

– Kannan, STOC'83

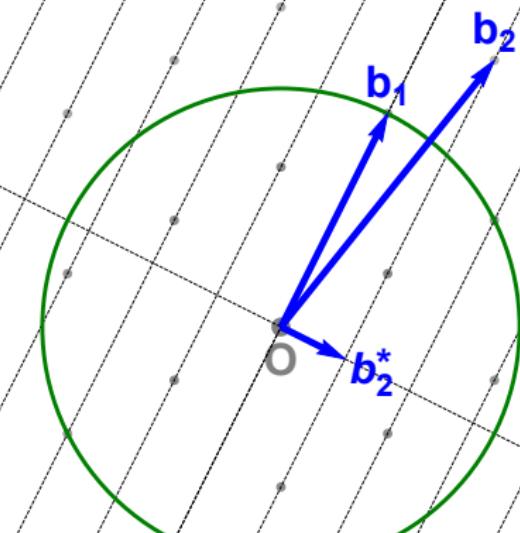
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## Pruning the enumeration tree



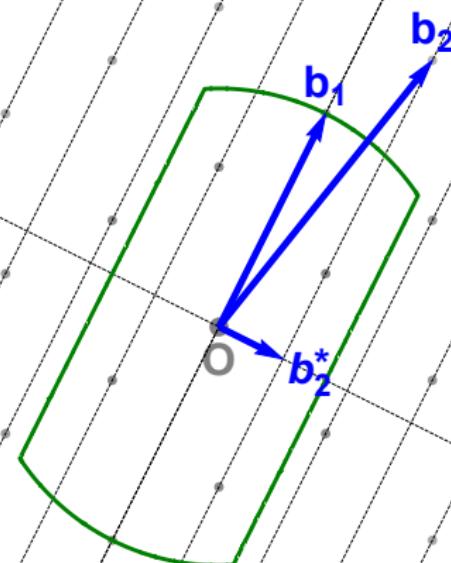
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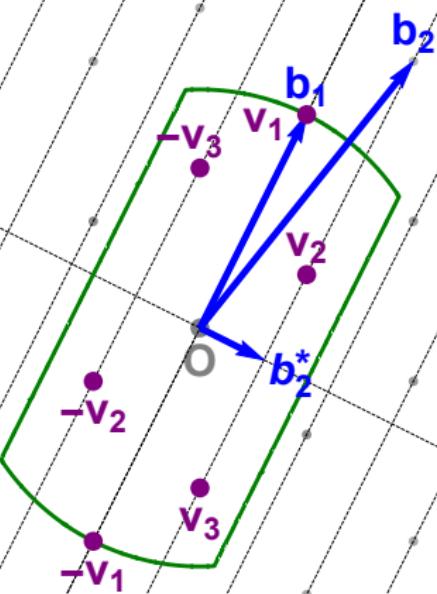
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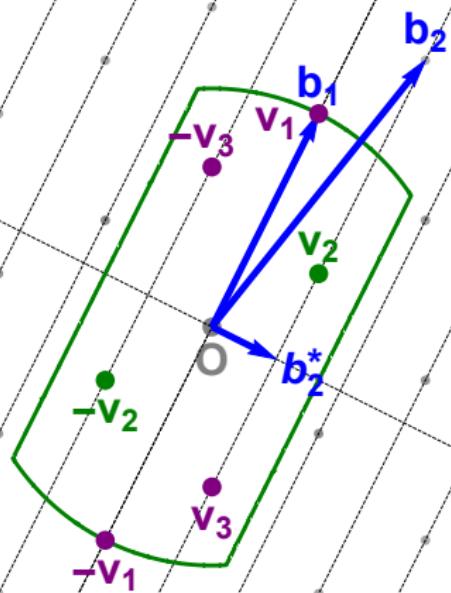
# Enumeration

Pruning the enumeration tree



# Enumeration

Pruning the enumeration tree



# Outline

- Lattices

- SVP algorithms

- Enumeration

- Sieving

- SVP hardness

- Theory

- Practice

- Conclusion

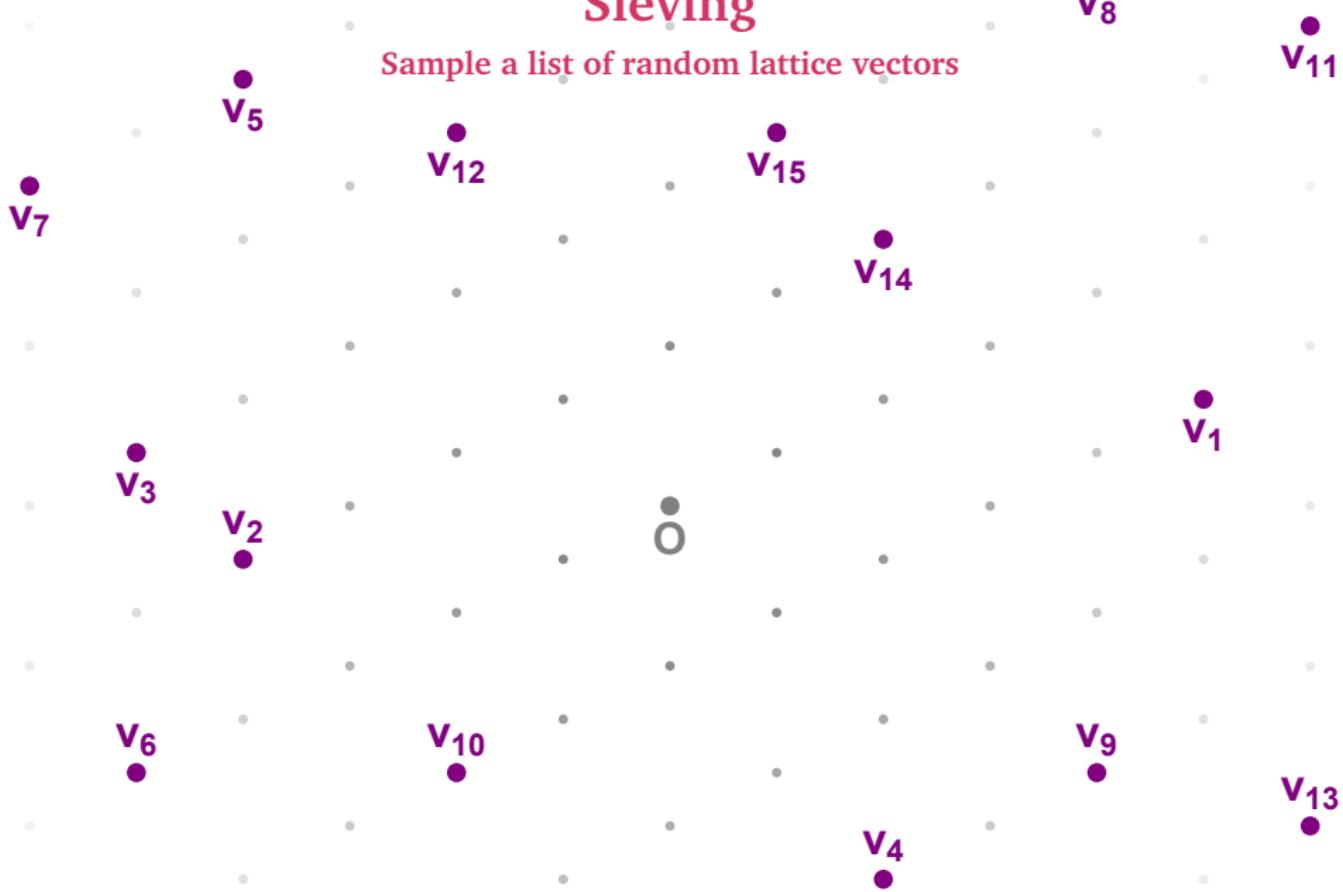
# Sieving

Sample a list of random lattice vectors

O

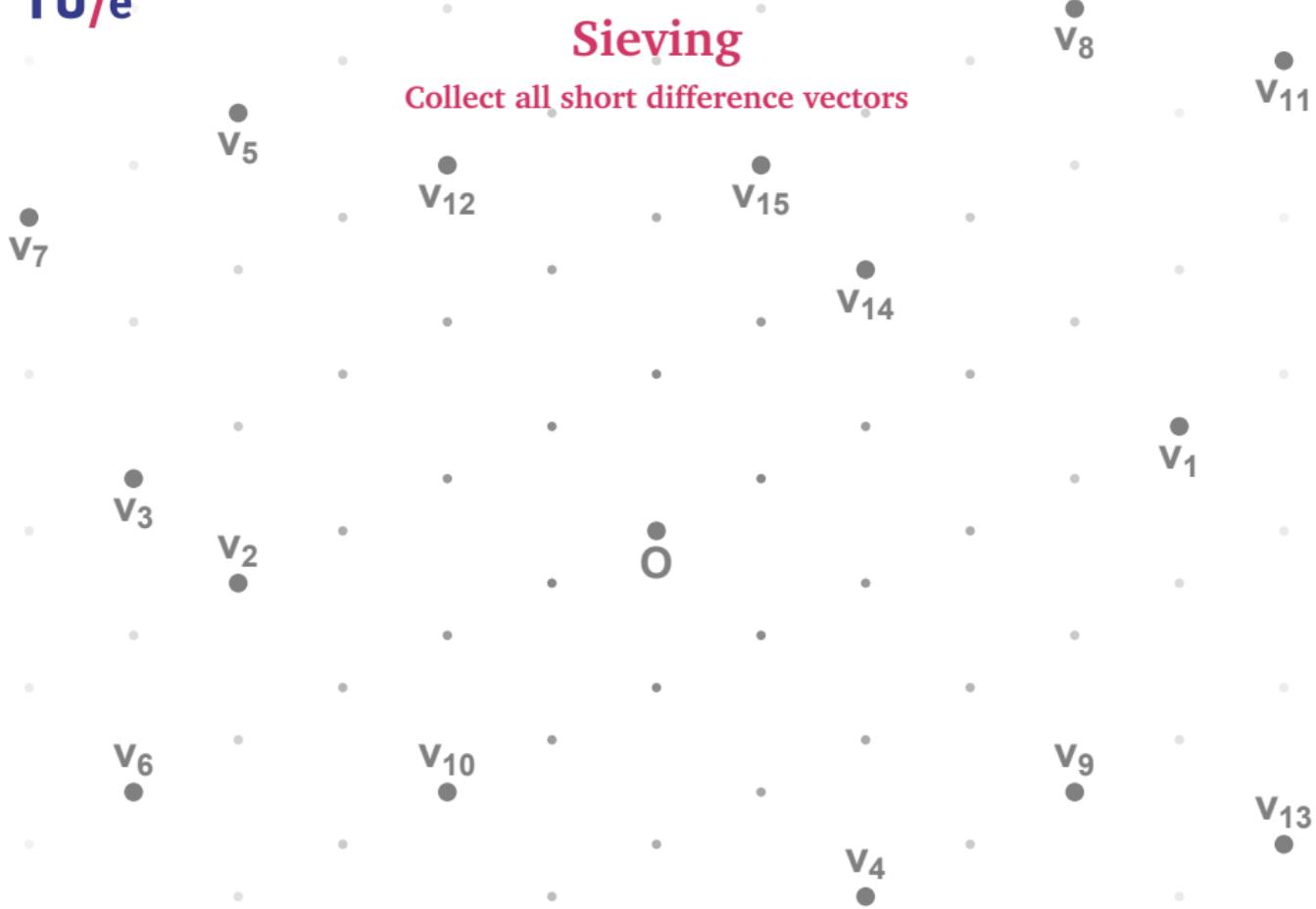
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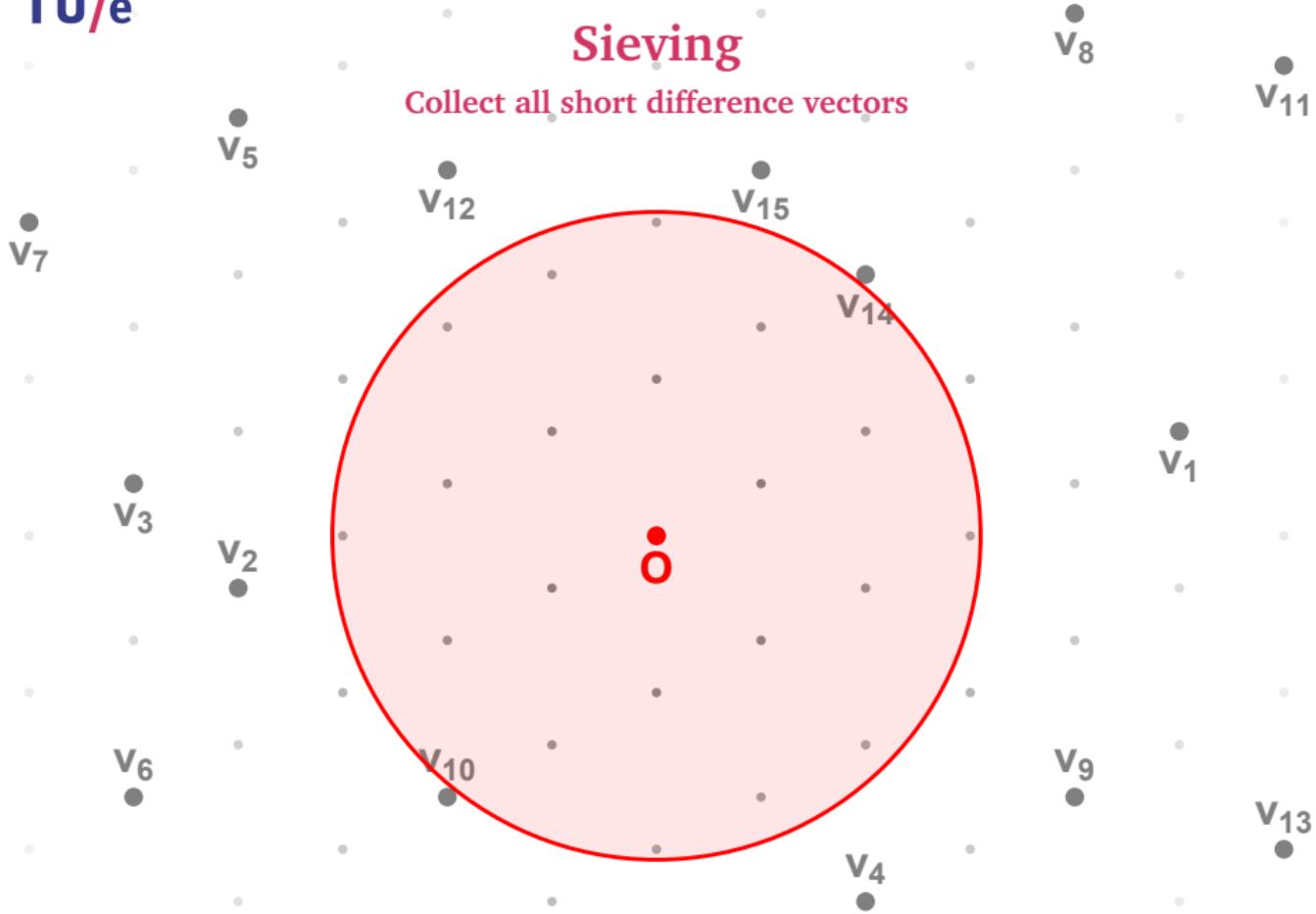
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Collect all short difference vectors



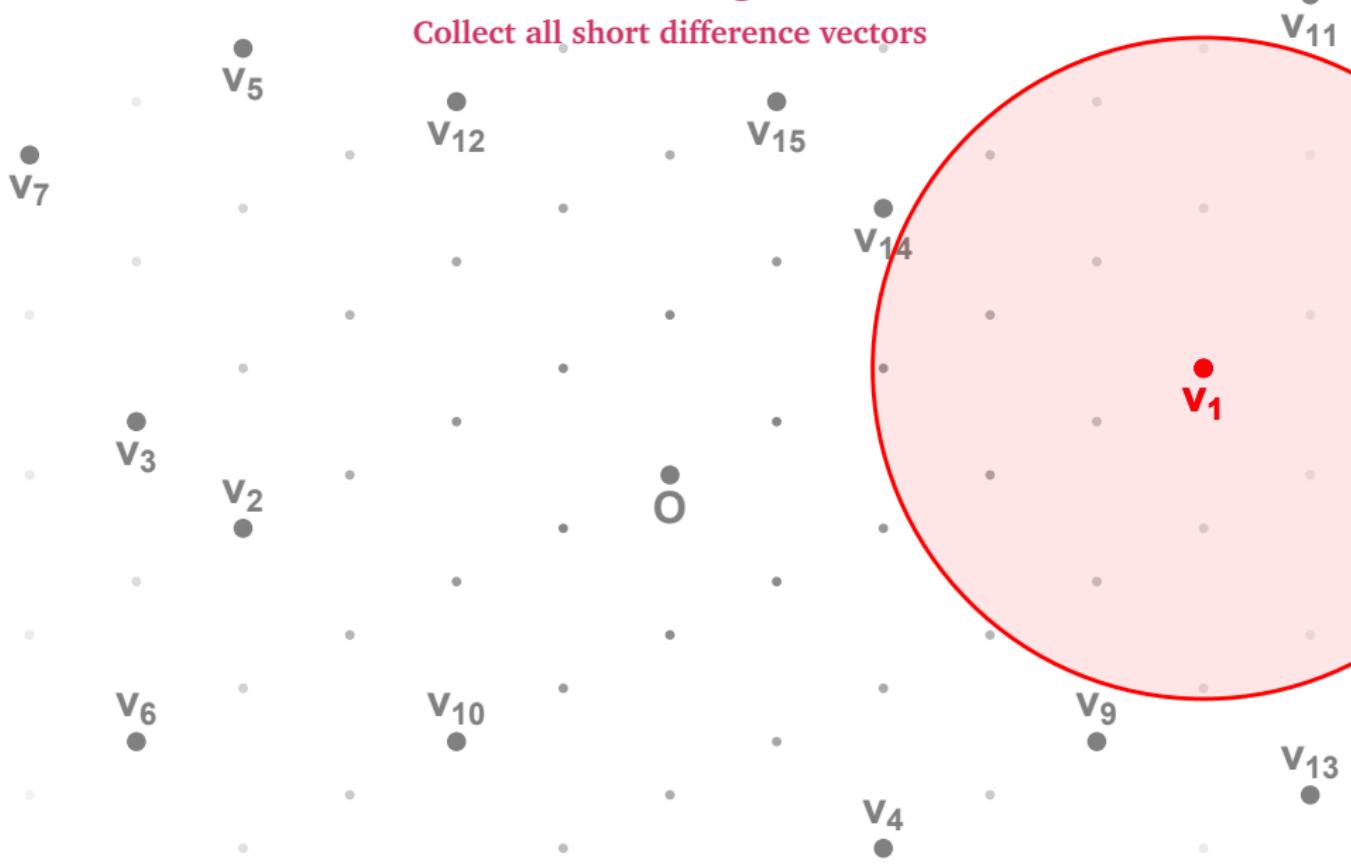
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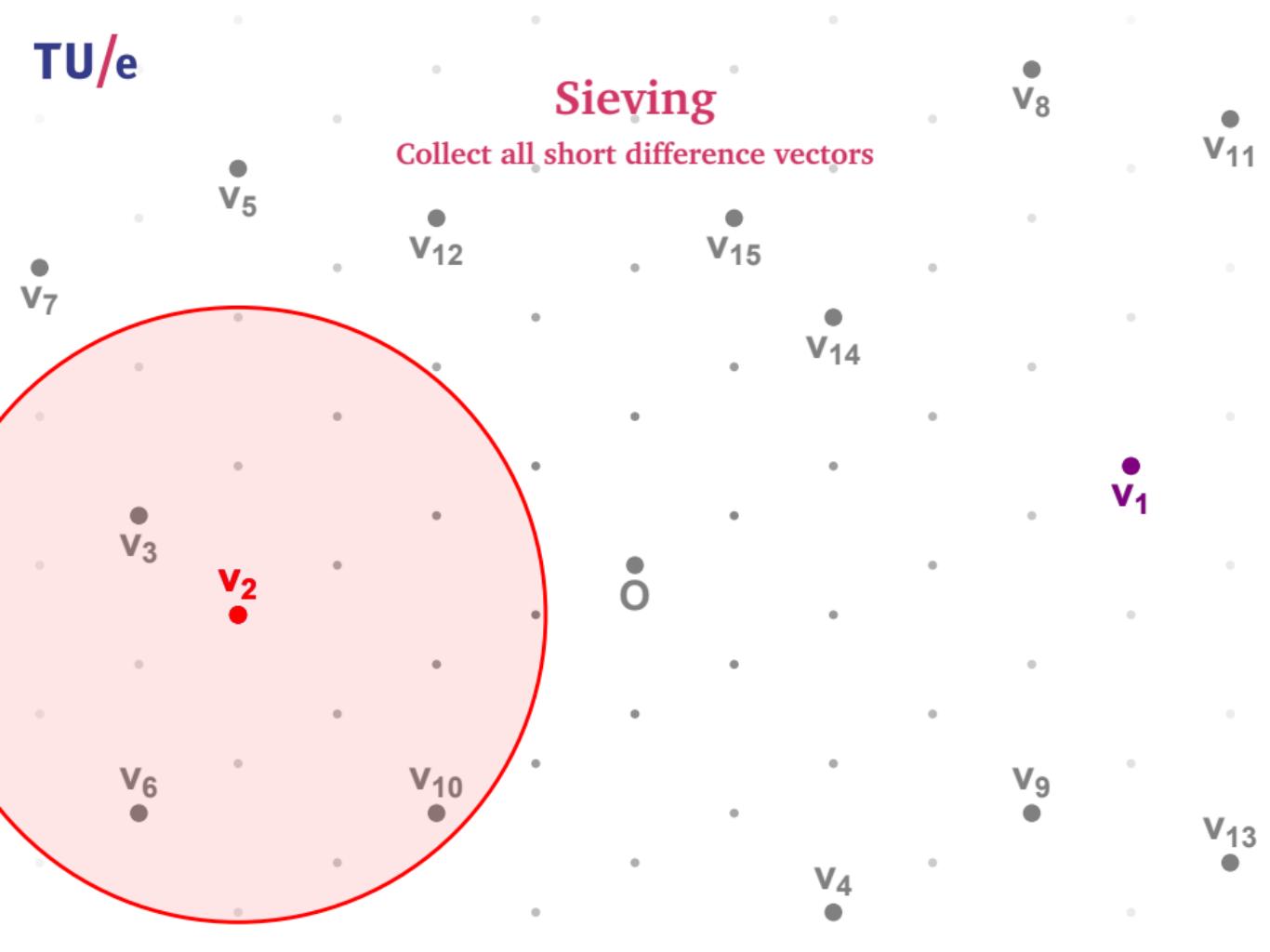
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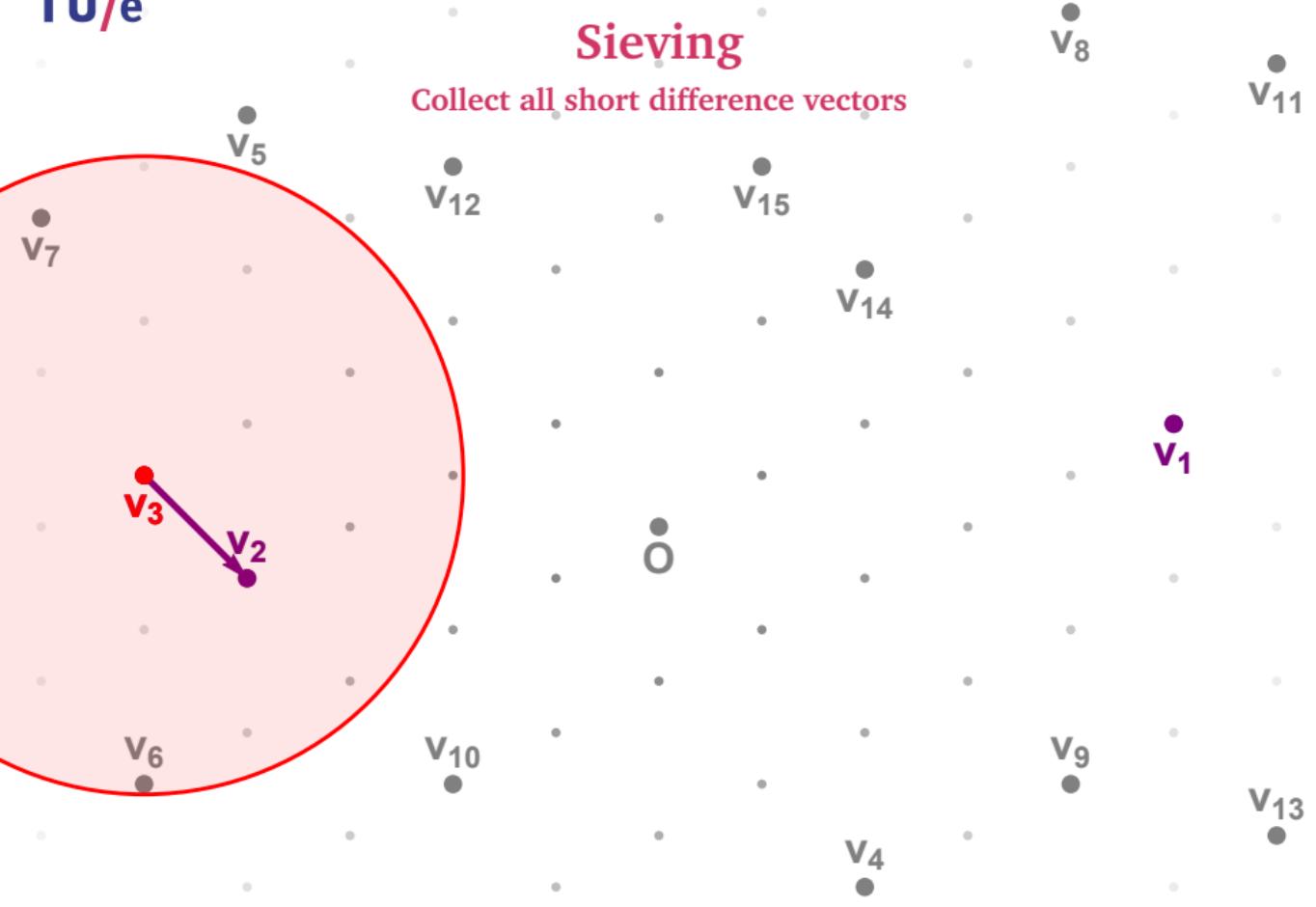
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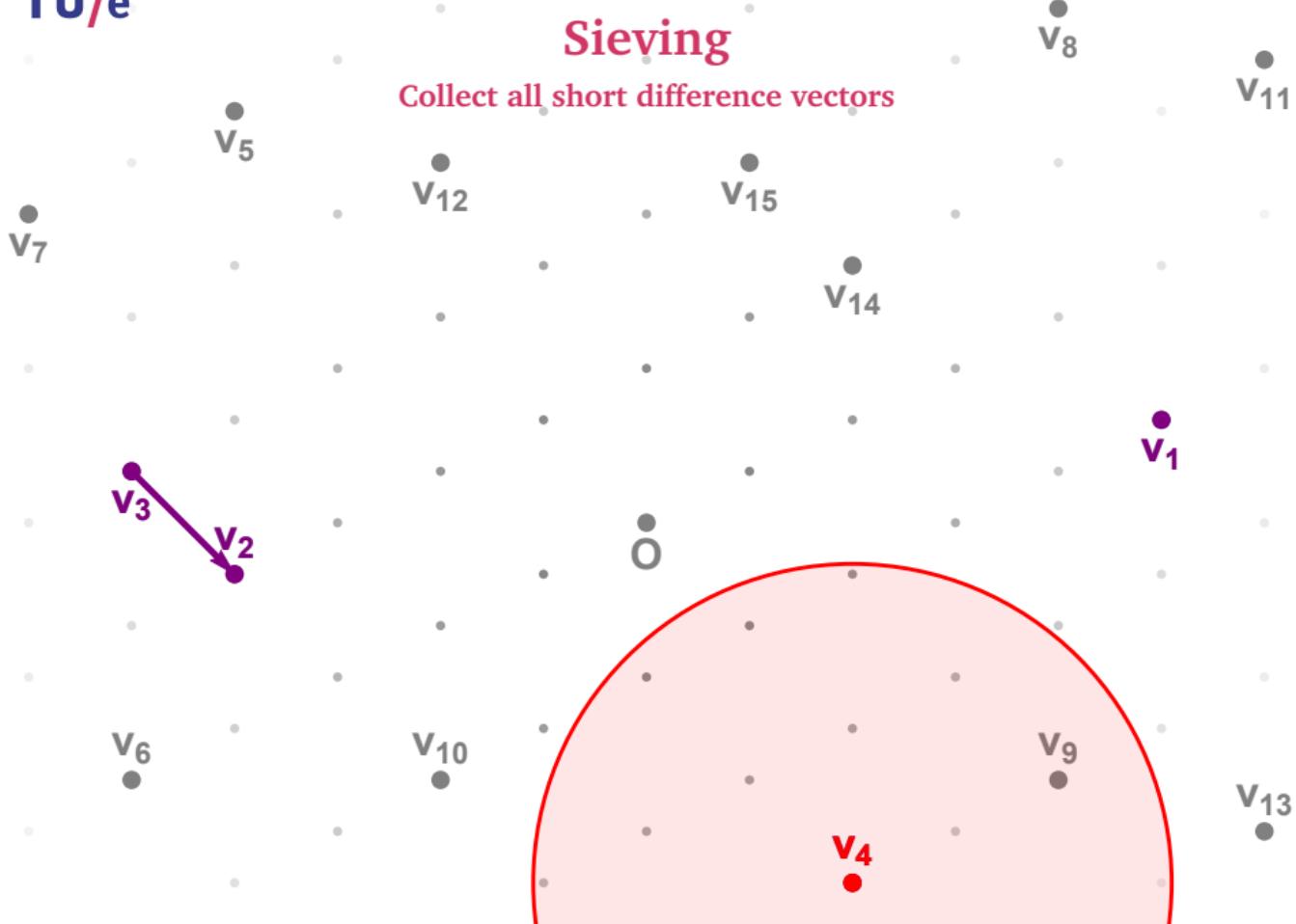
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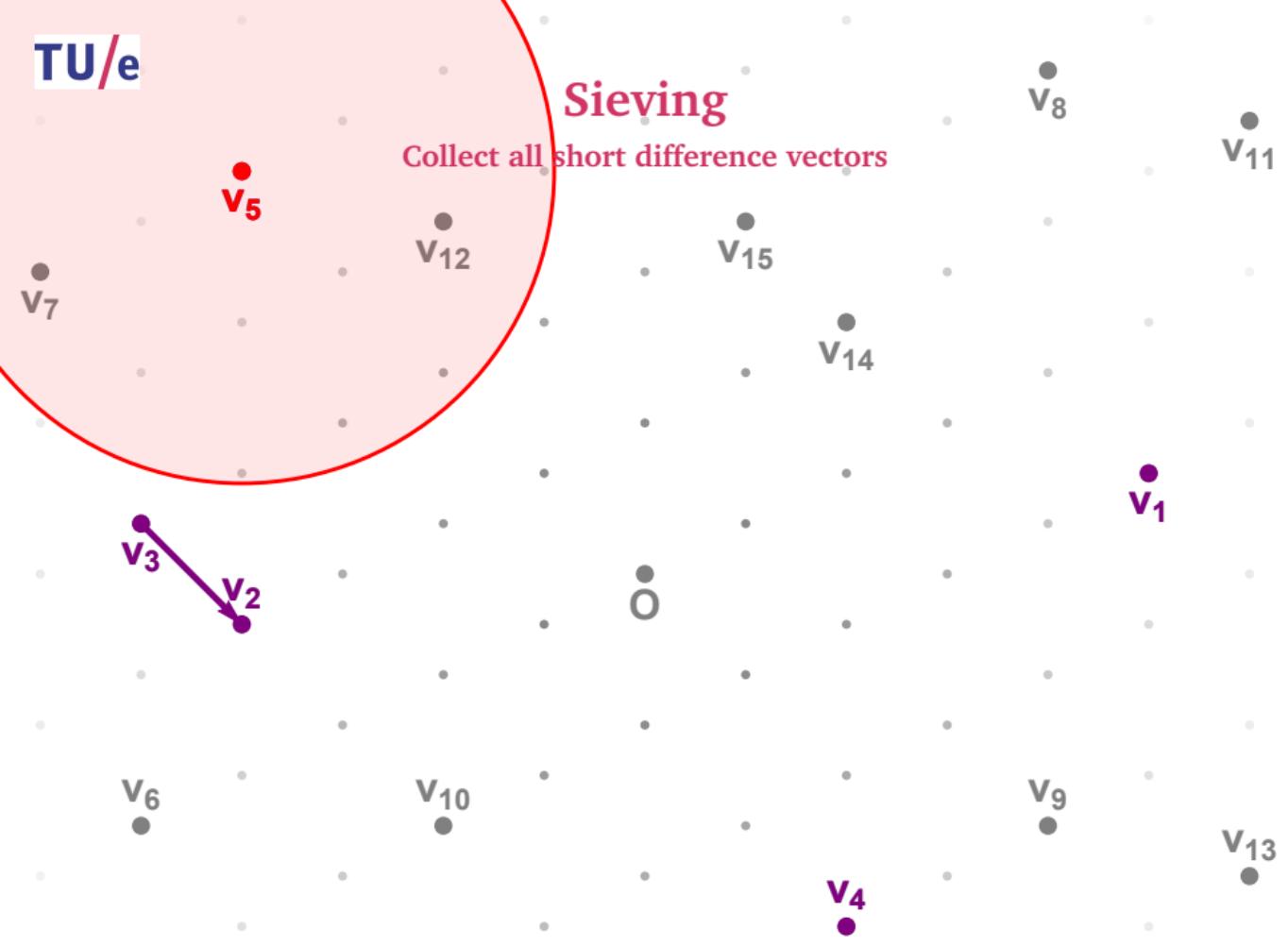
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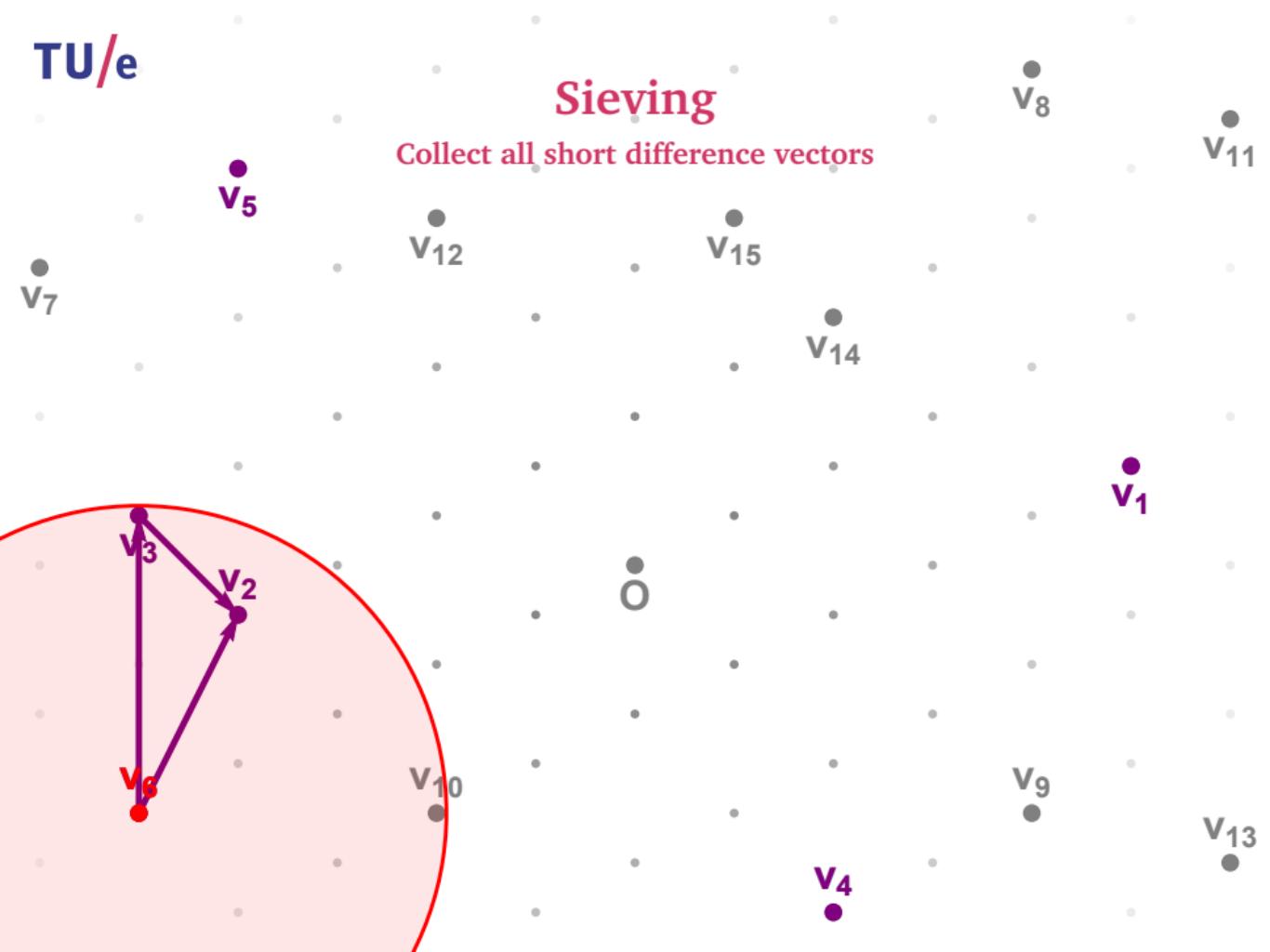
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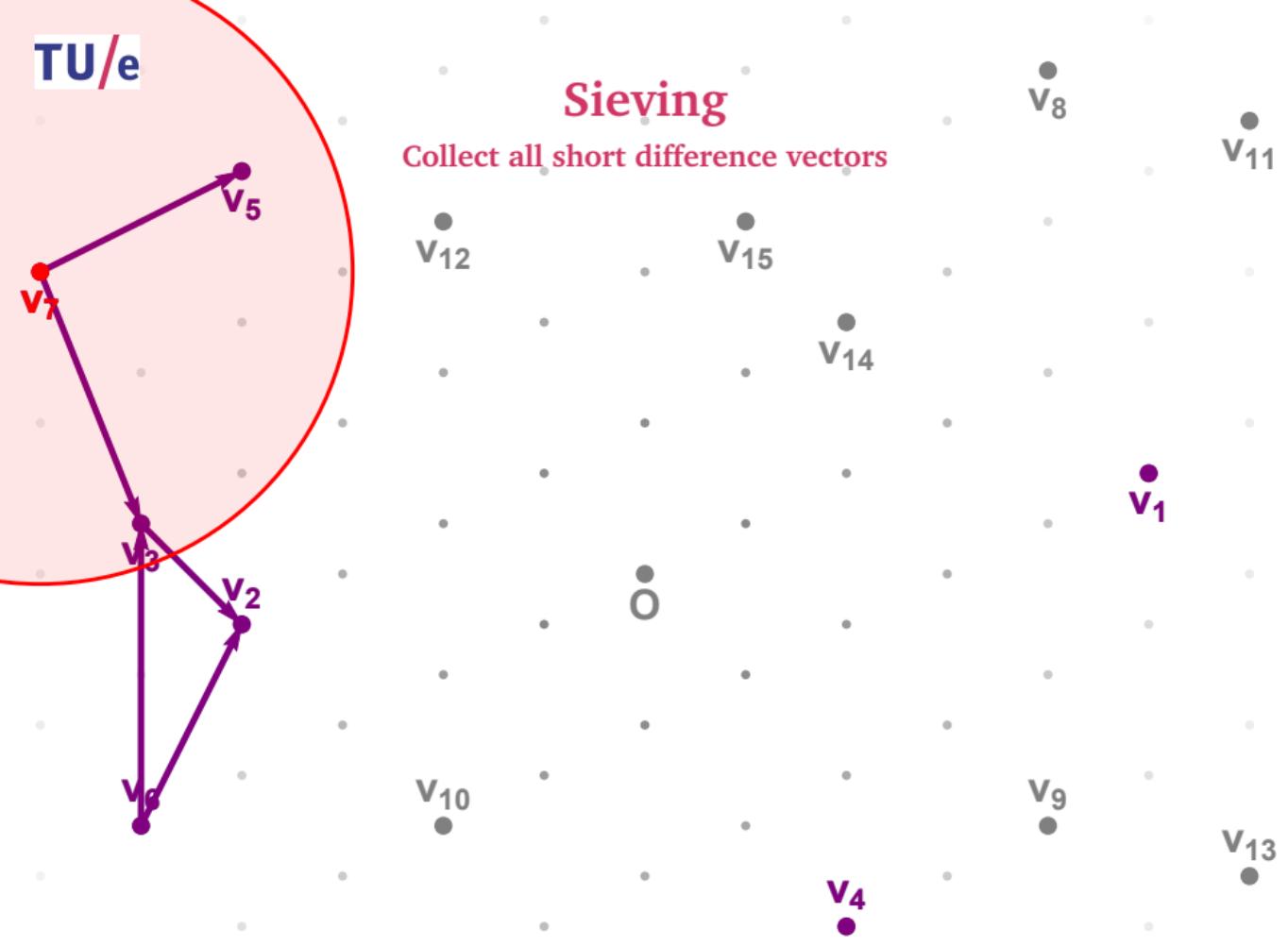
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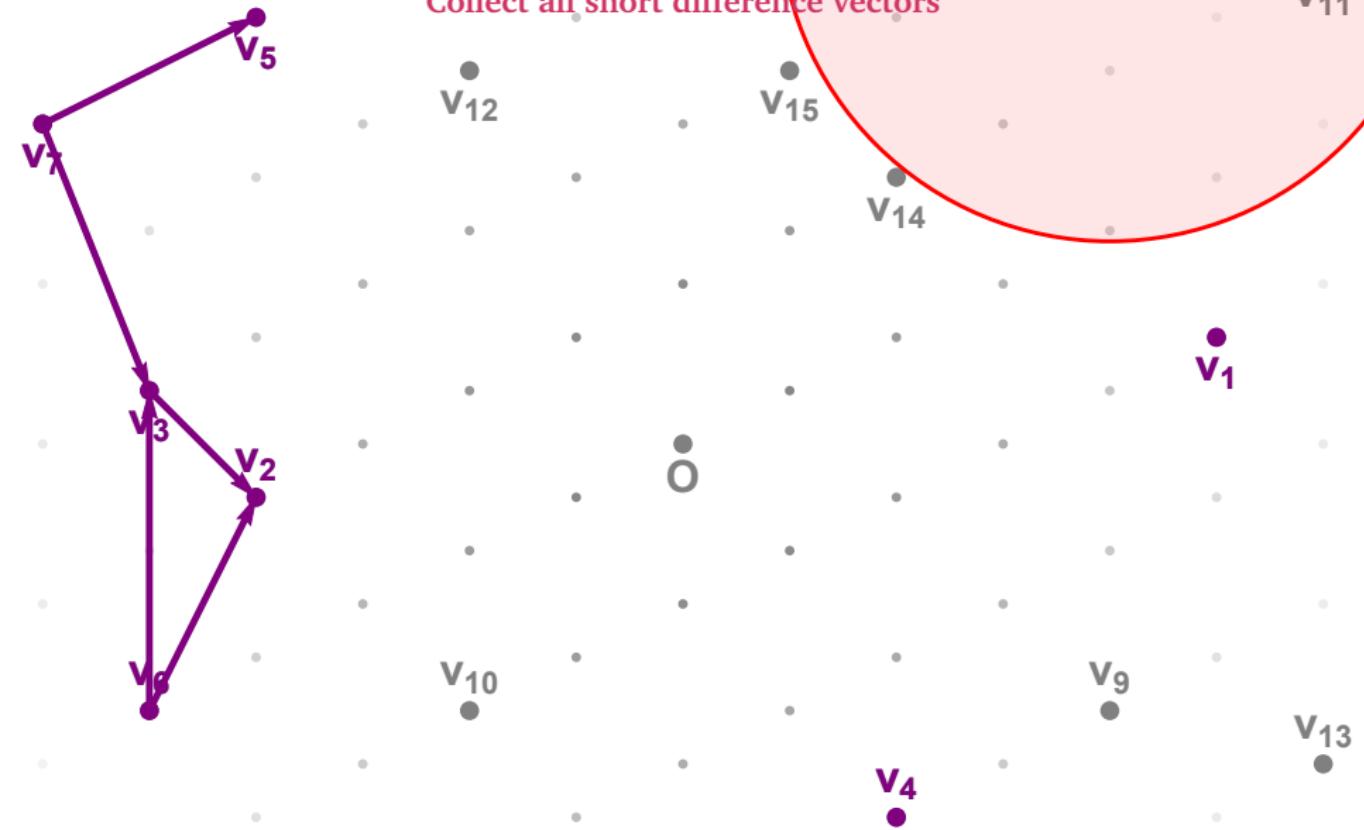
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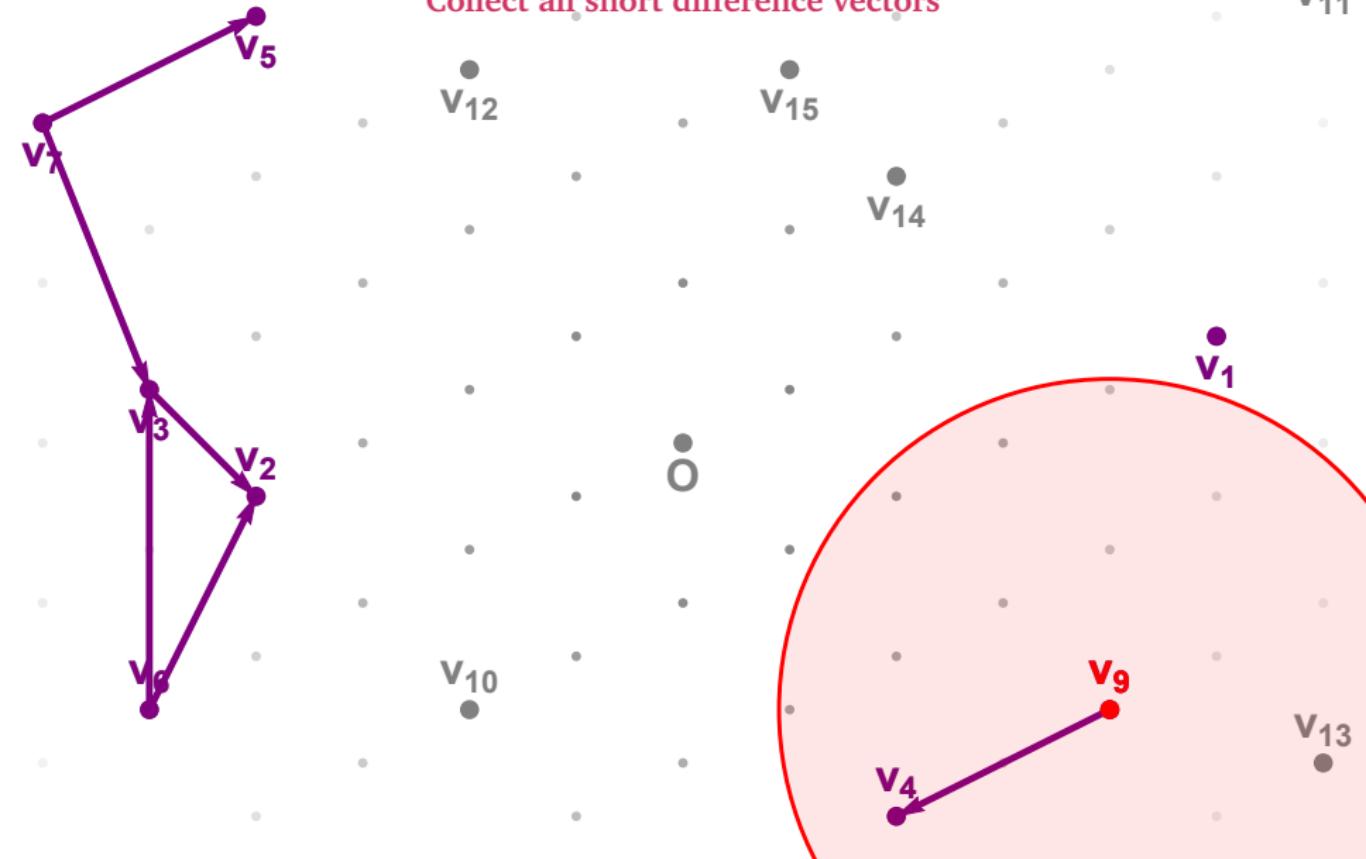
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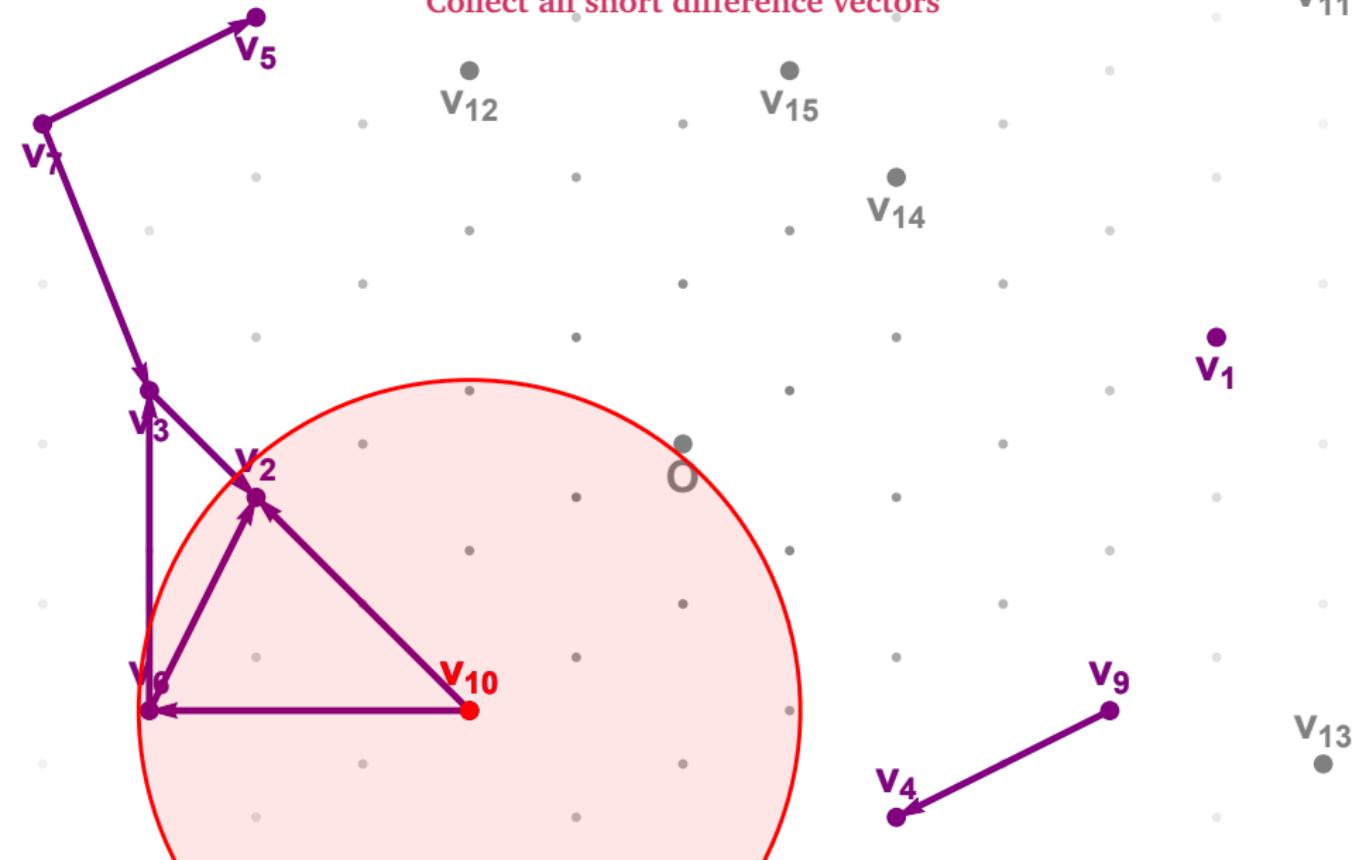
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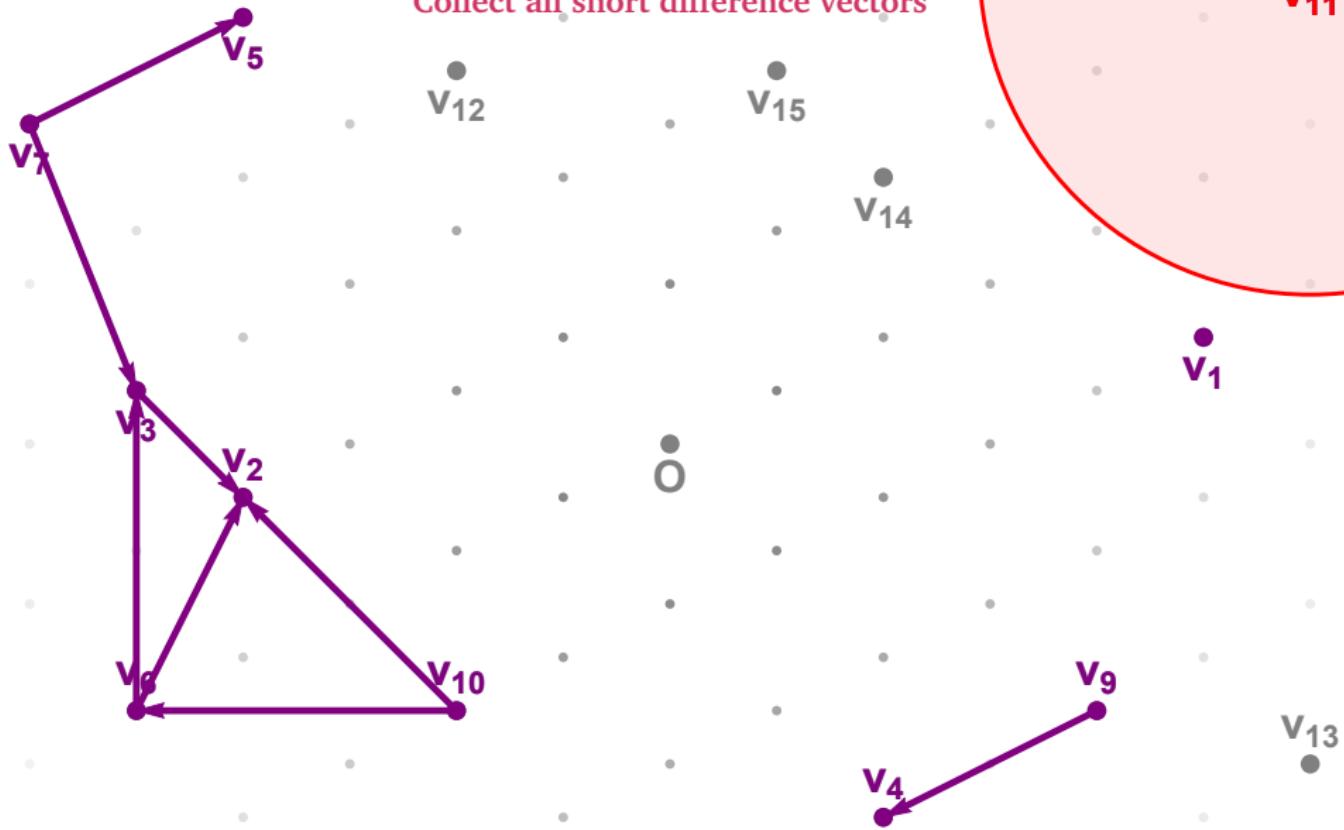
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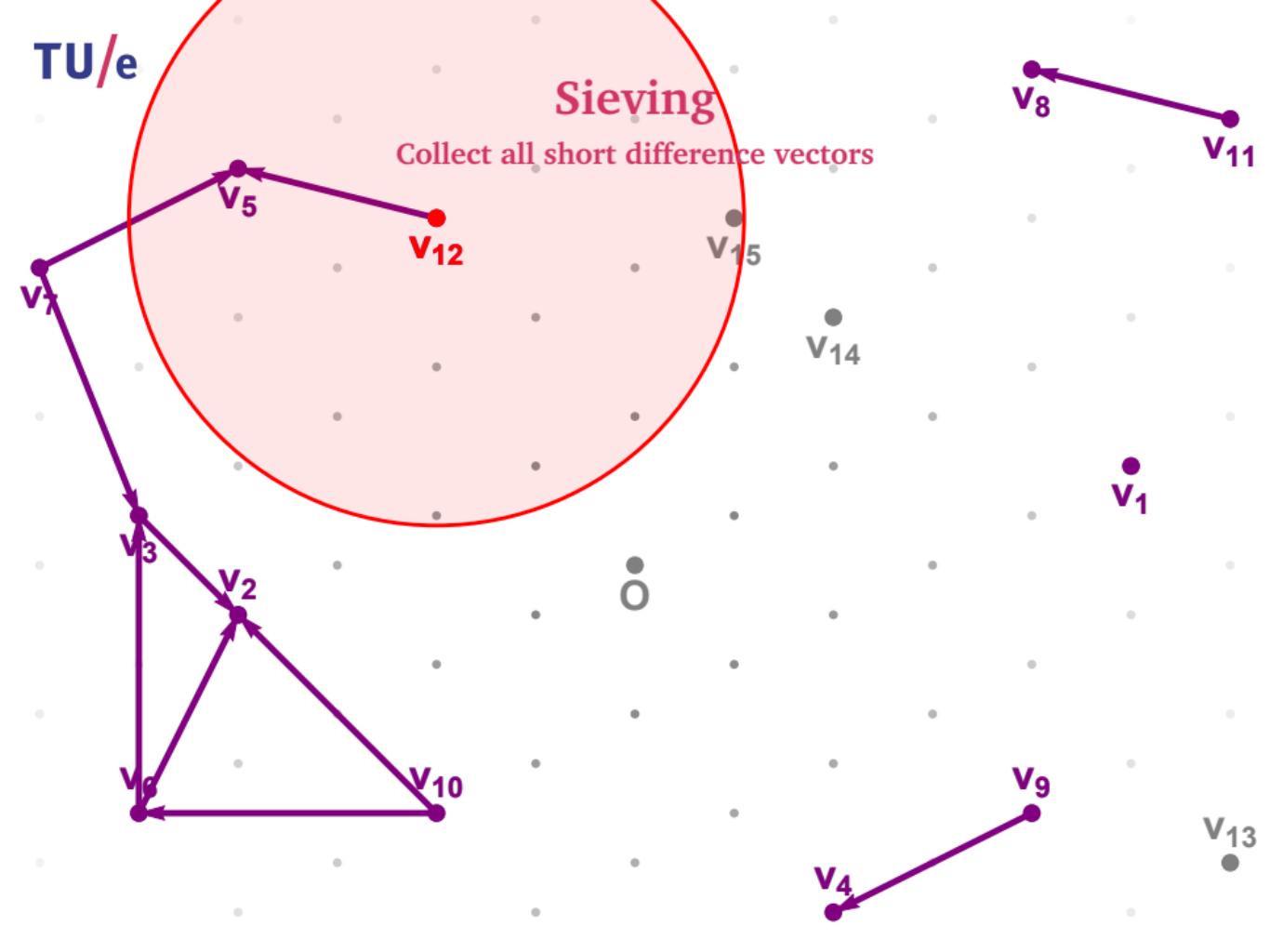
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**TU/e**

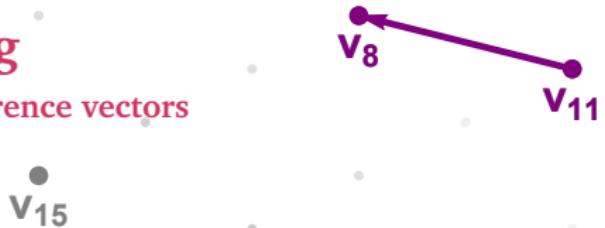
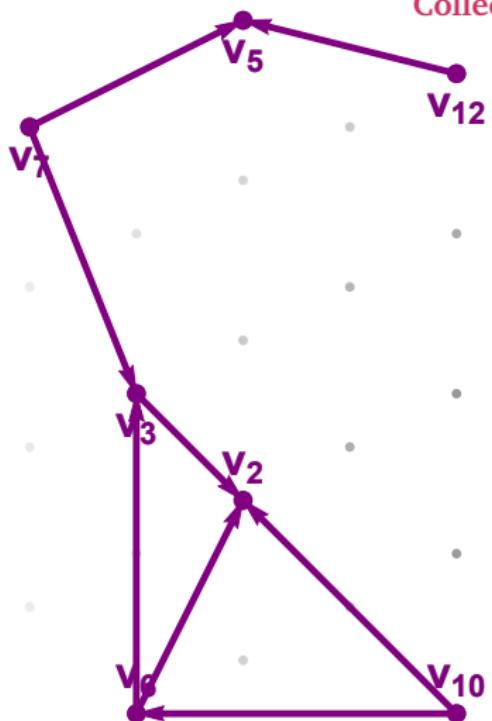
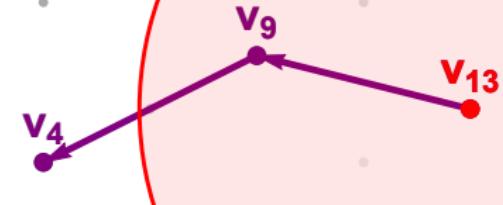
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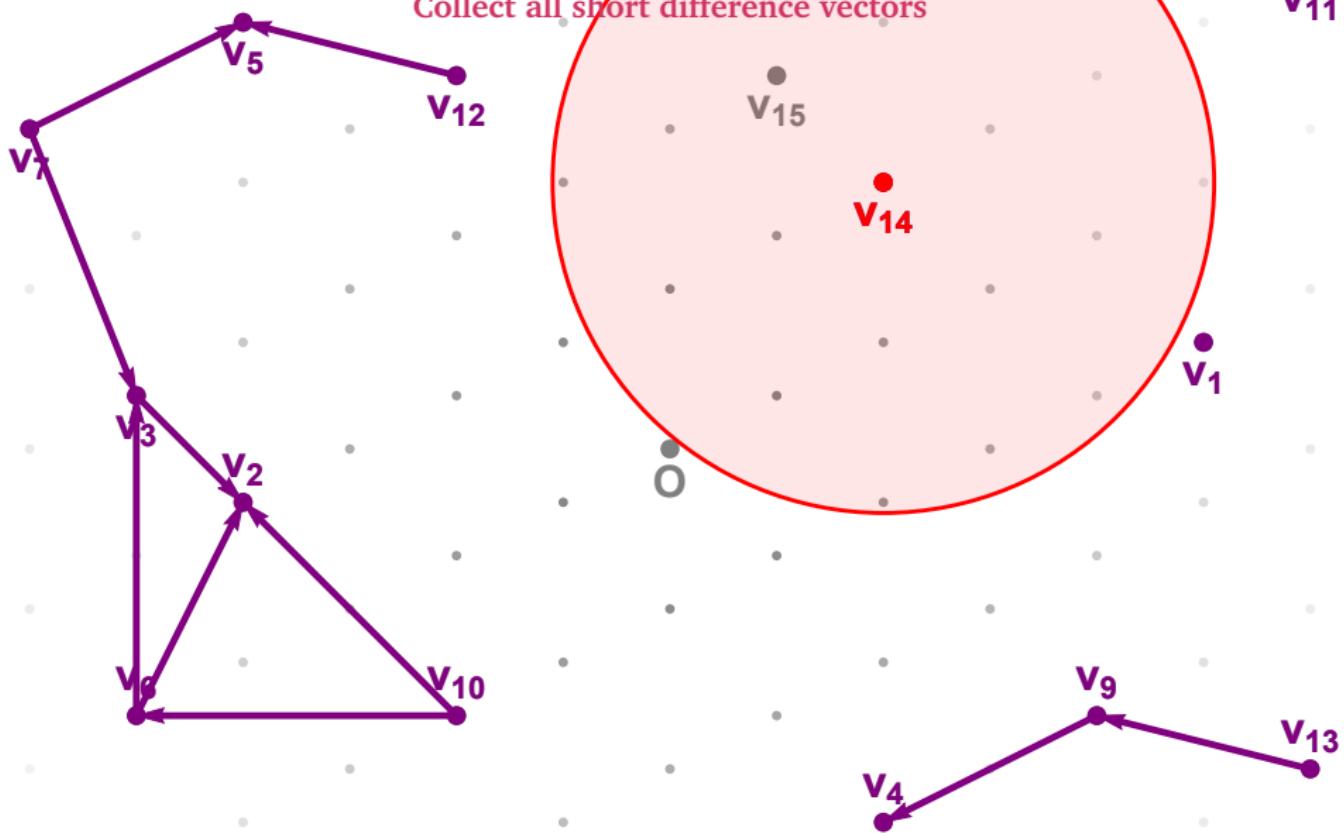
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Collect all short difference vectors

 $v_{15}$  $v_{14}$  $v_1$  $v_9$  $v_{13}$  $v_4$

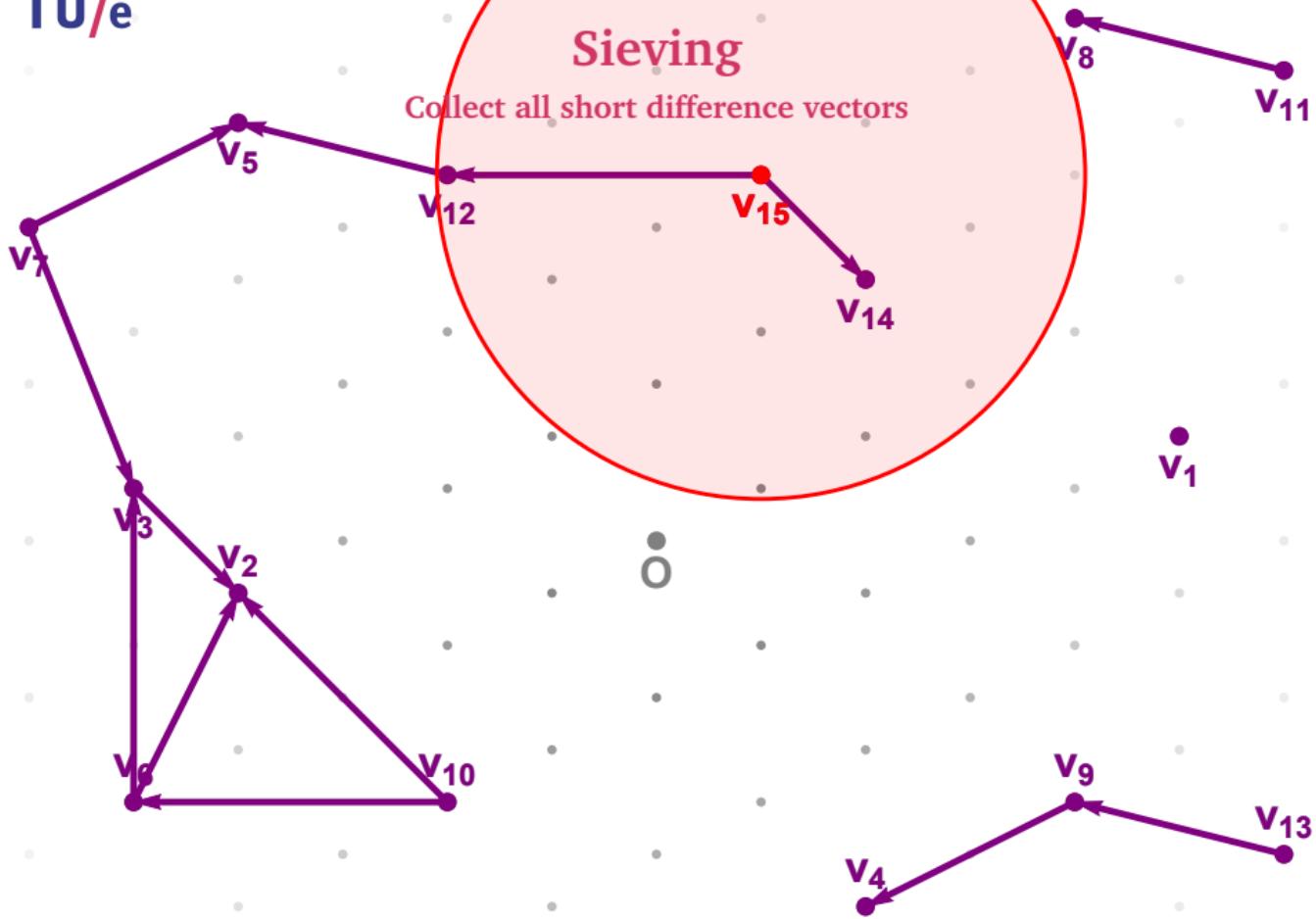
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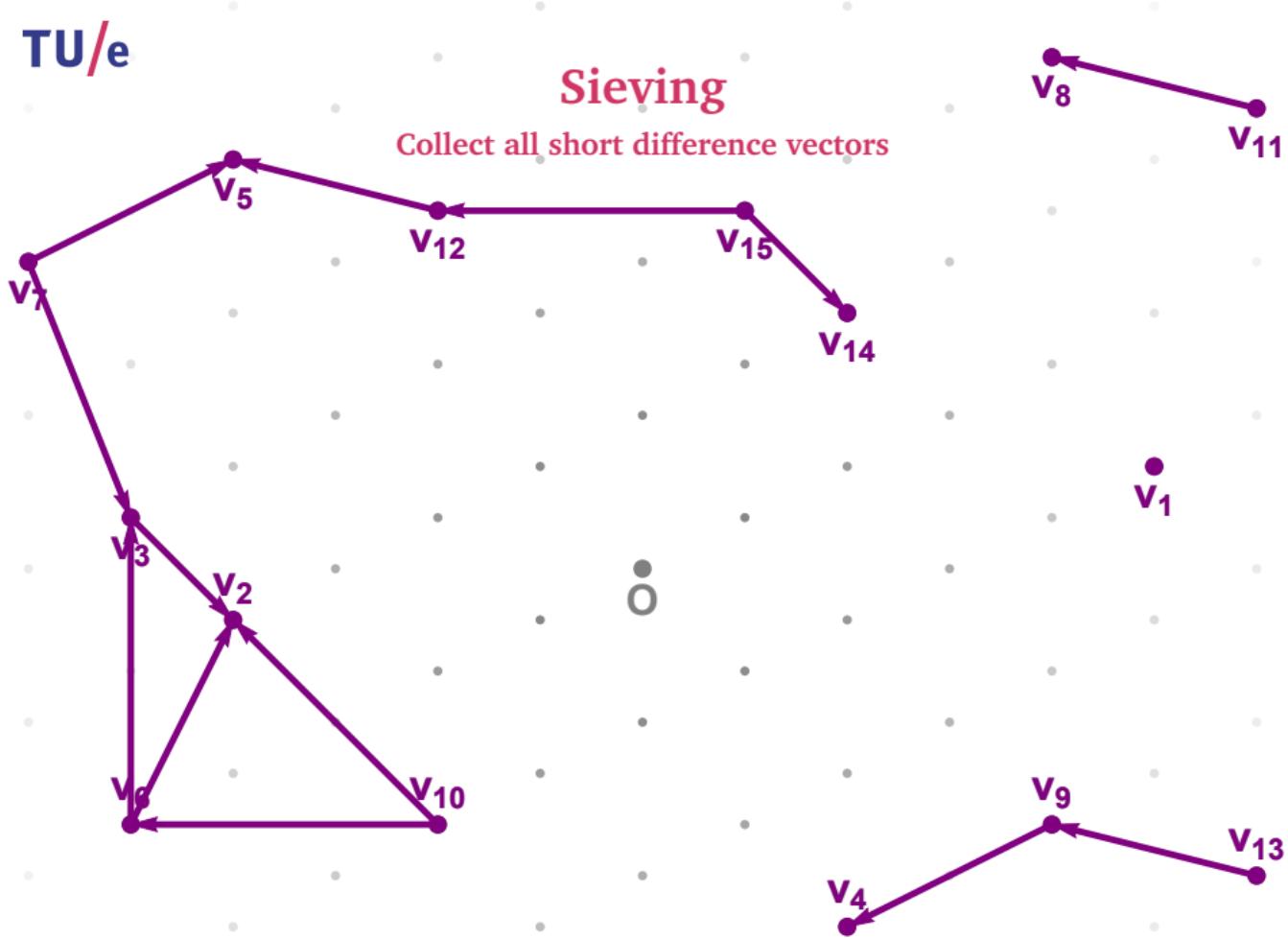
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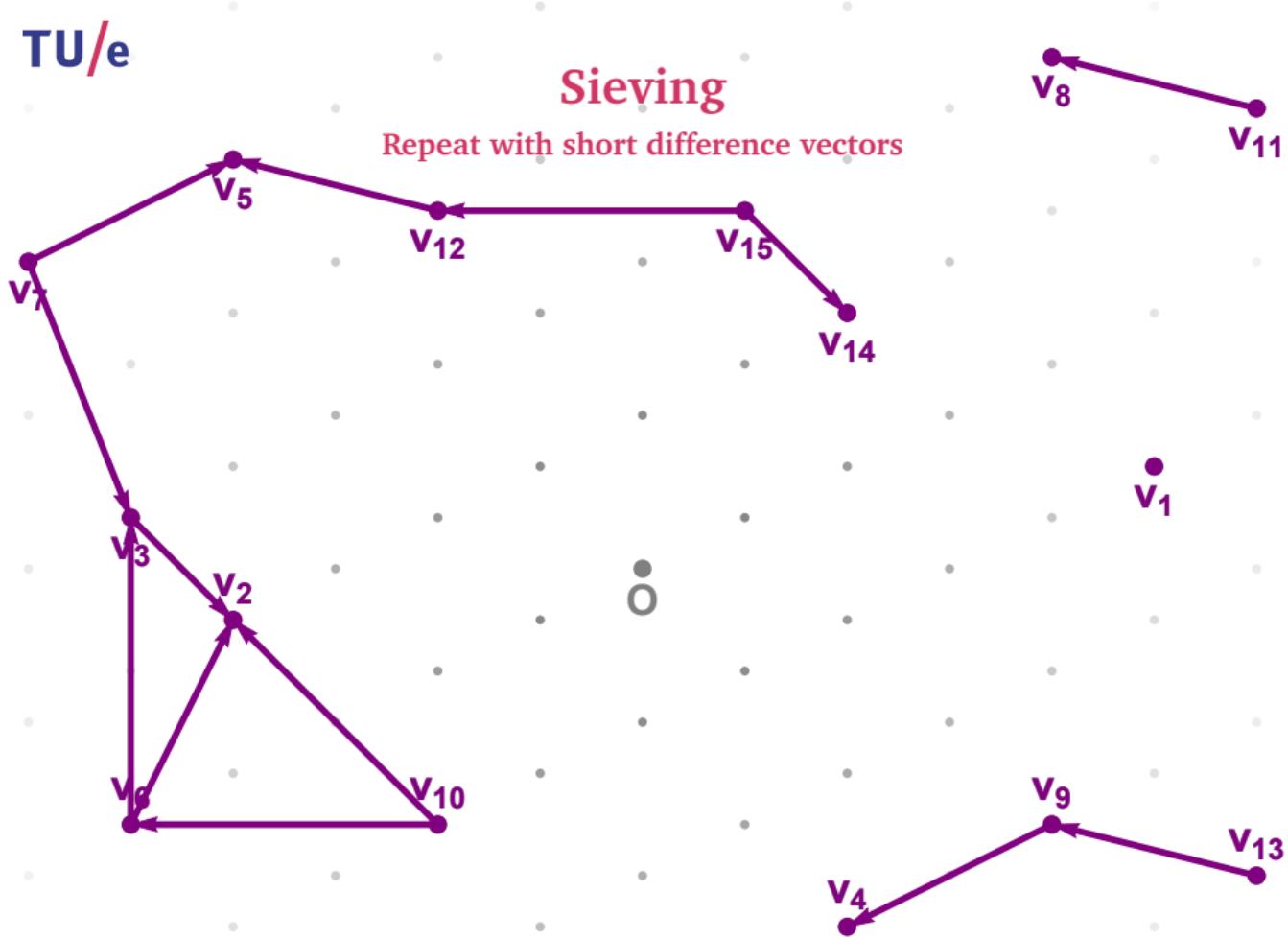
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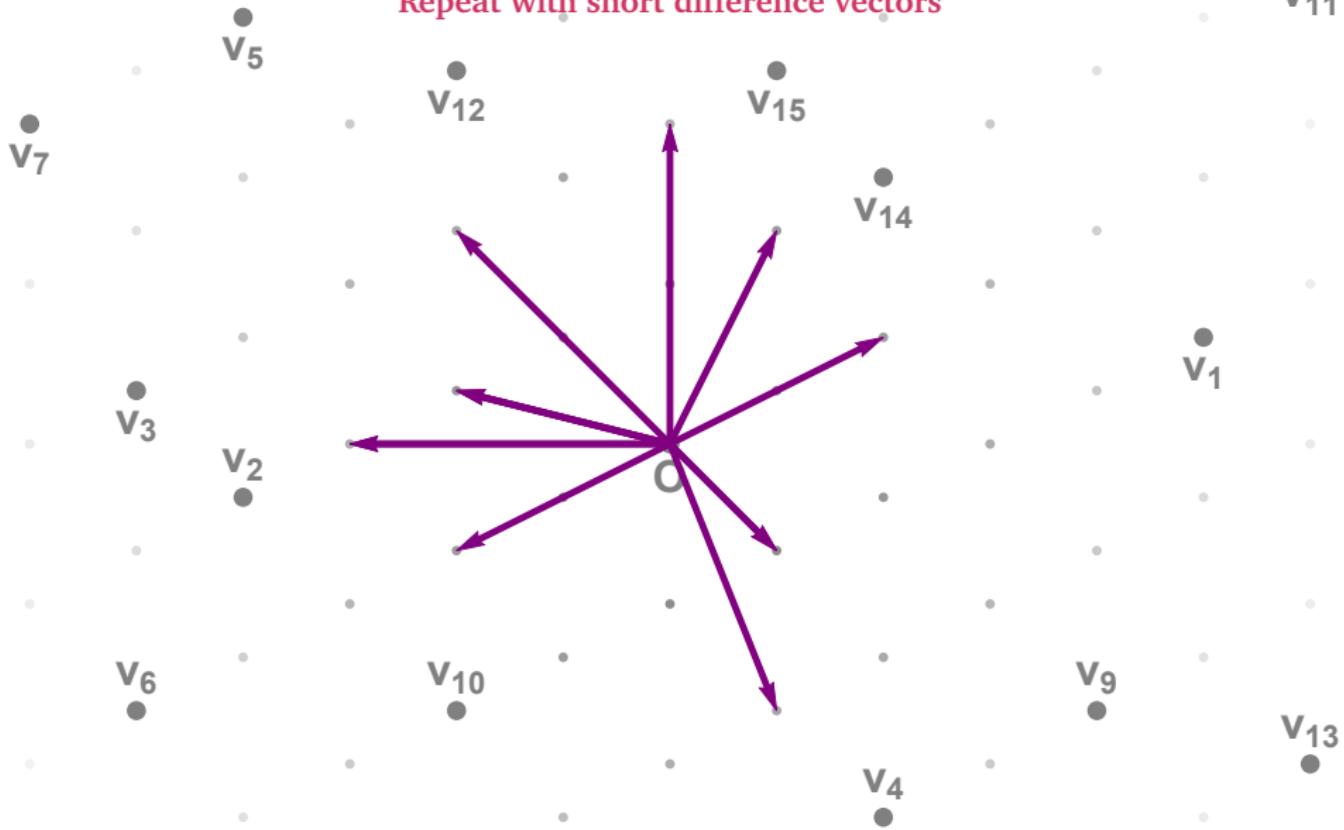
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Repeat with short difference vectors



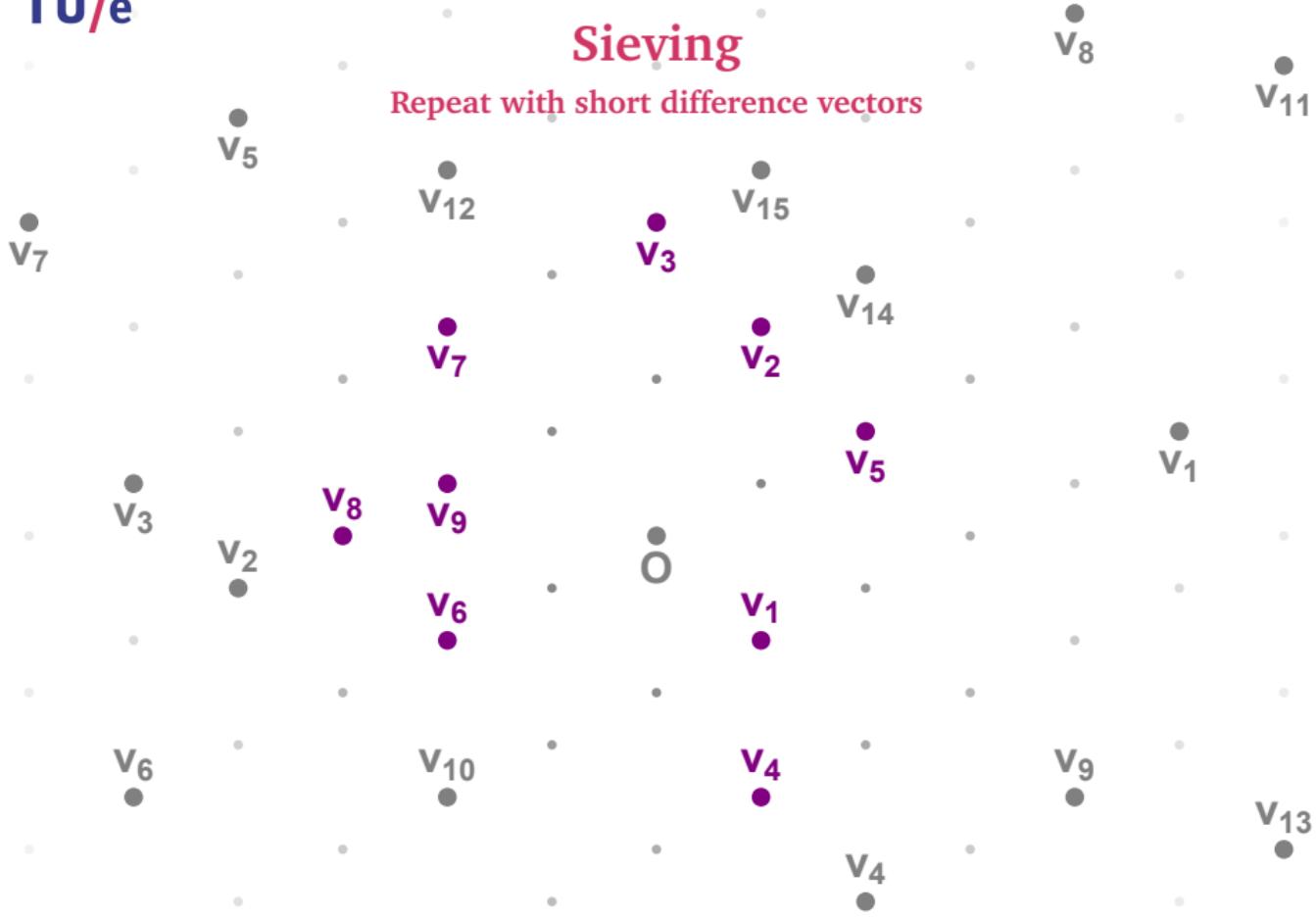
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# Sieving

## Overview



# Sieving

## Overview

Heuristic (Nguyen–Vidick, J. Math. Crypt. '08)

Sieving solves SVP in time  $(4/3)^{n+o(n)}$  and space  $(4/3)^{n/2+o(n)}$ .

# Sieving

## Overview

Heuristic (Nguyen–Vidick, J. Math. Crypt. '08)

Sieving solves SVP in time  $(4/3)^{n+o(n)}$  and space  $(4/3)^{n/2+o(n)}$ .

The list size comes from heuristic packing/saturation arguments,  
the time complexity is quadratic in the list size.

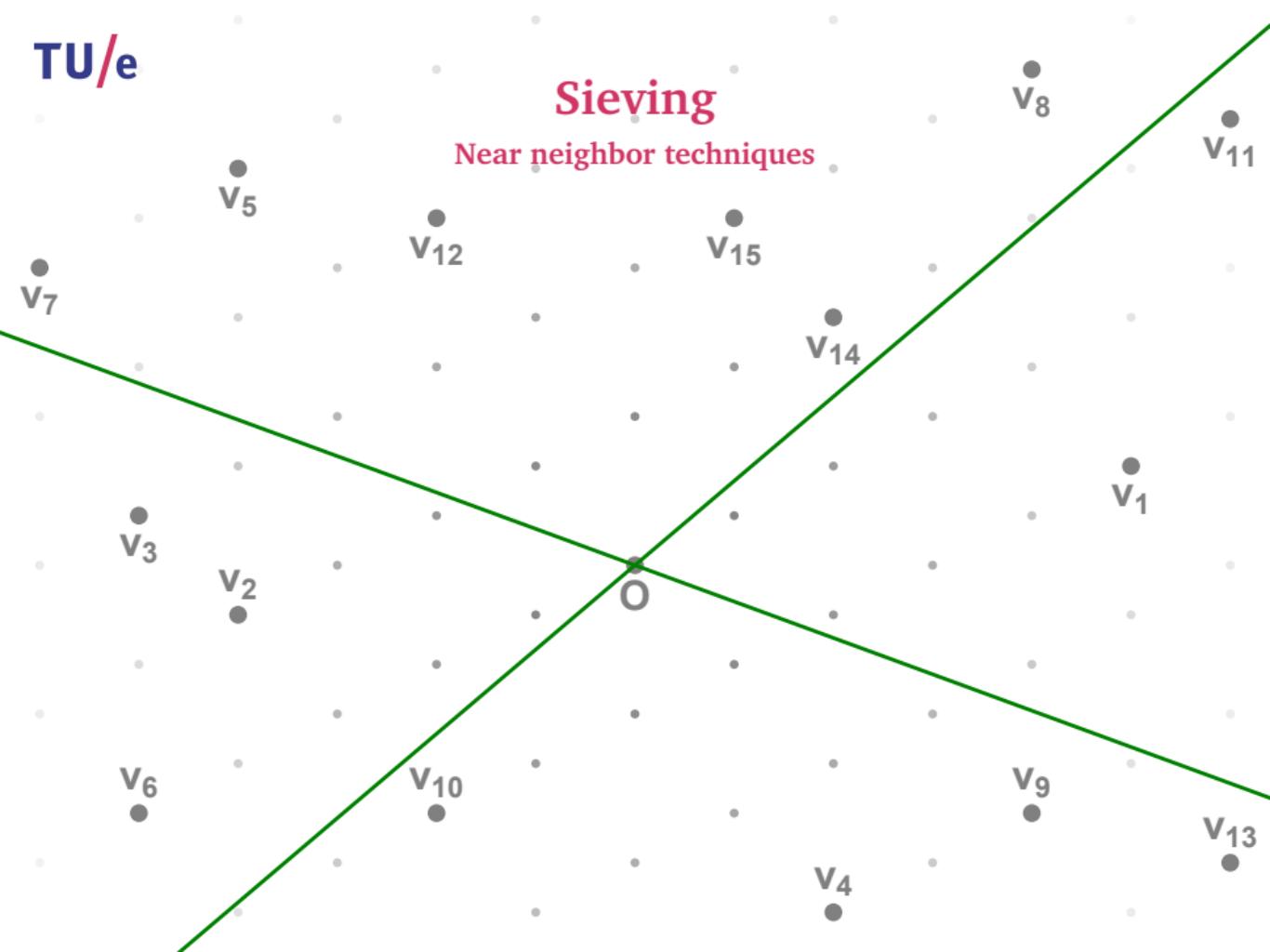
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Near neighbor techniques



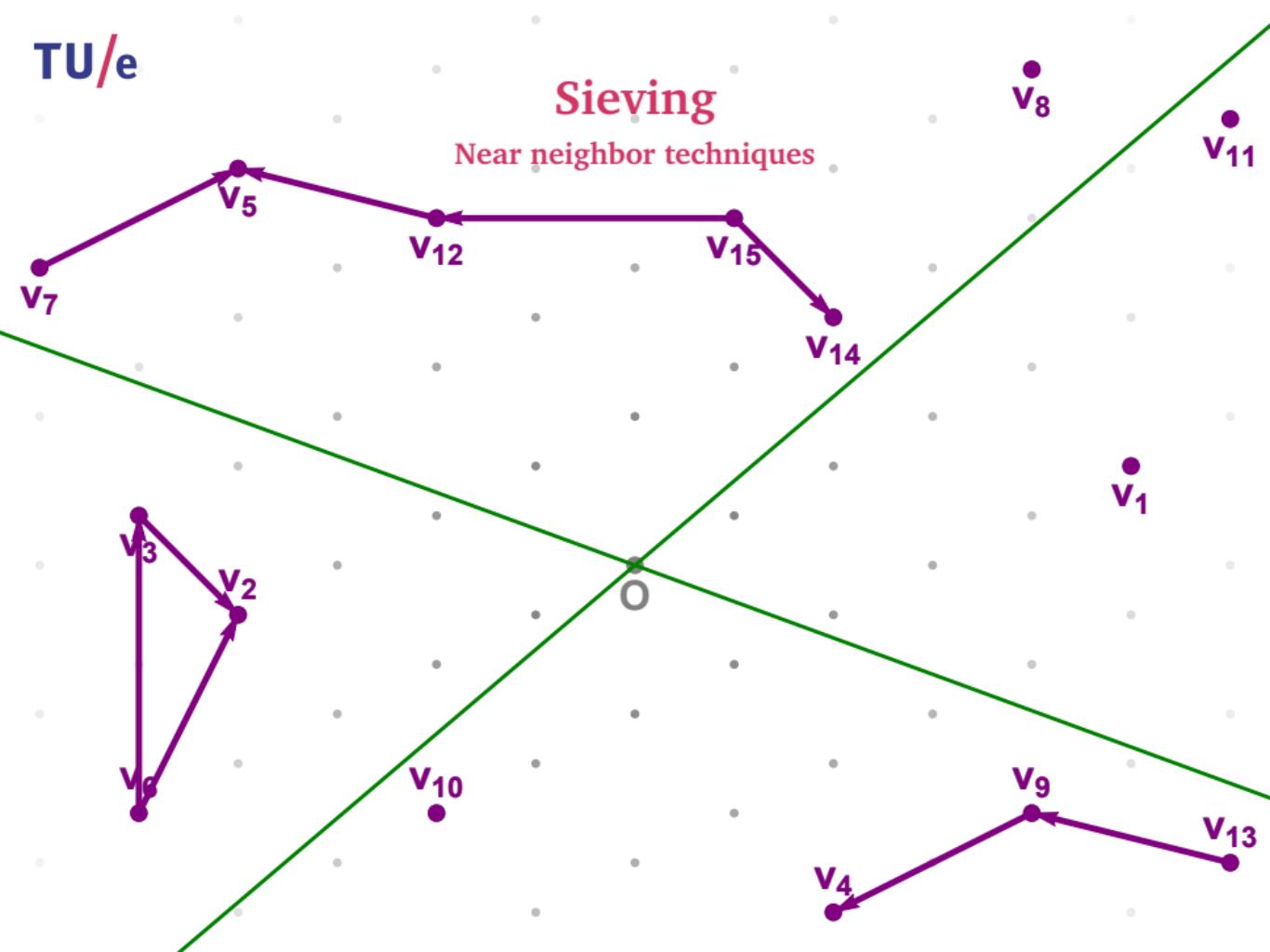
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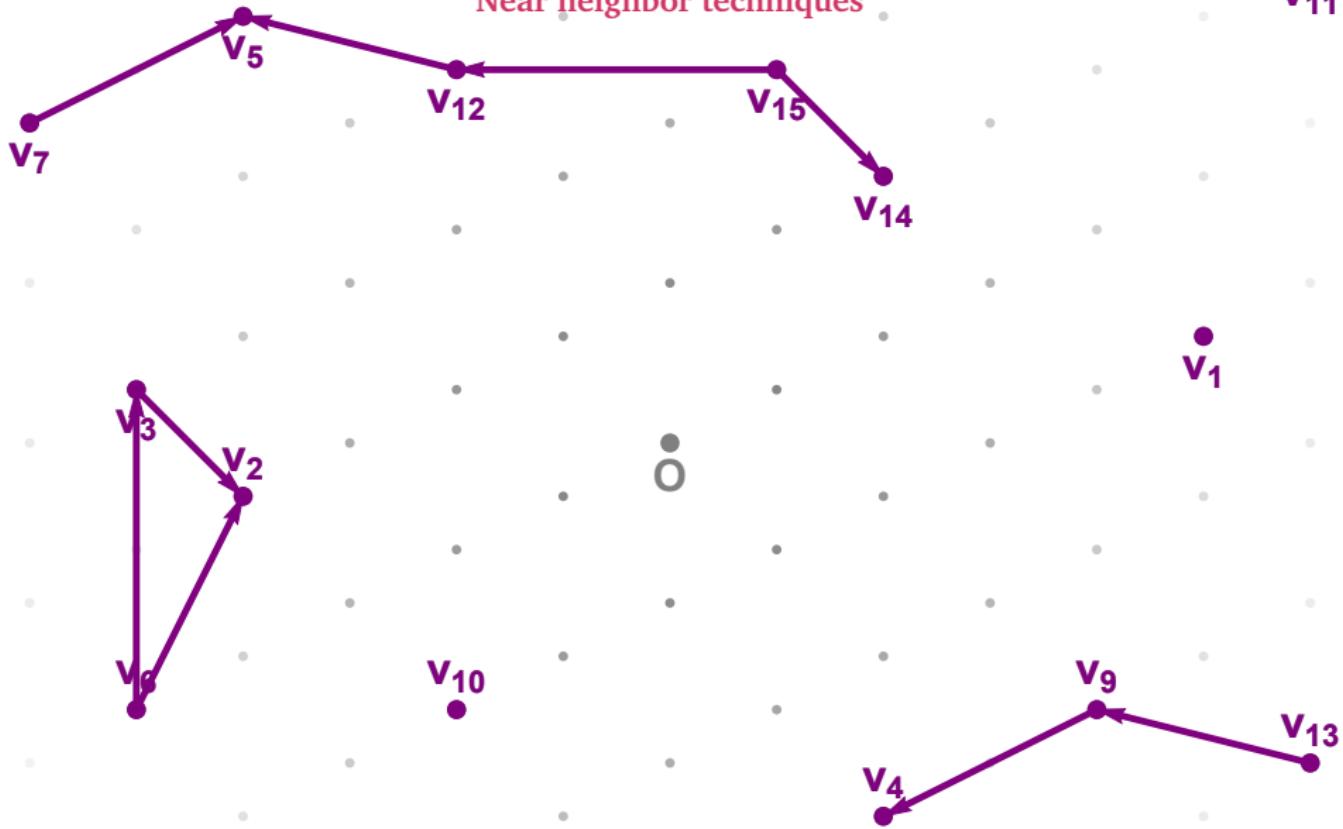
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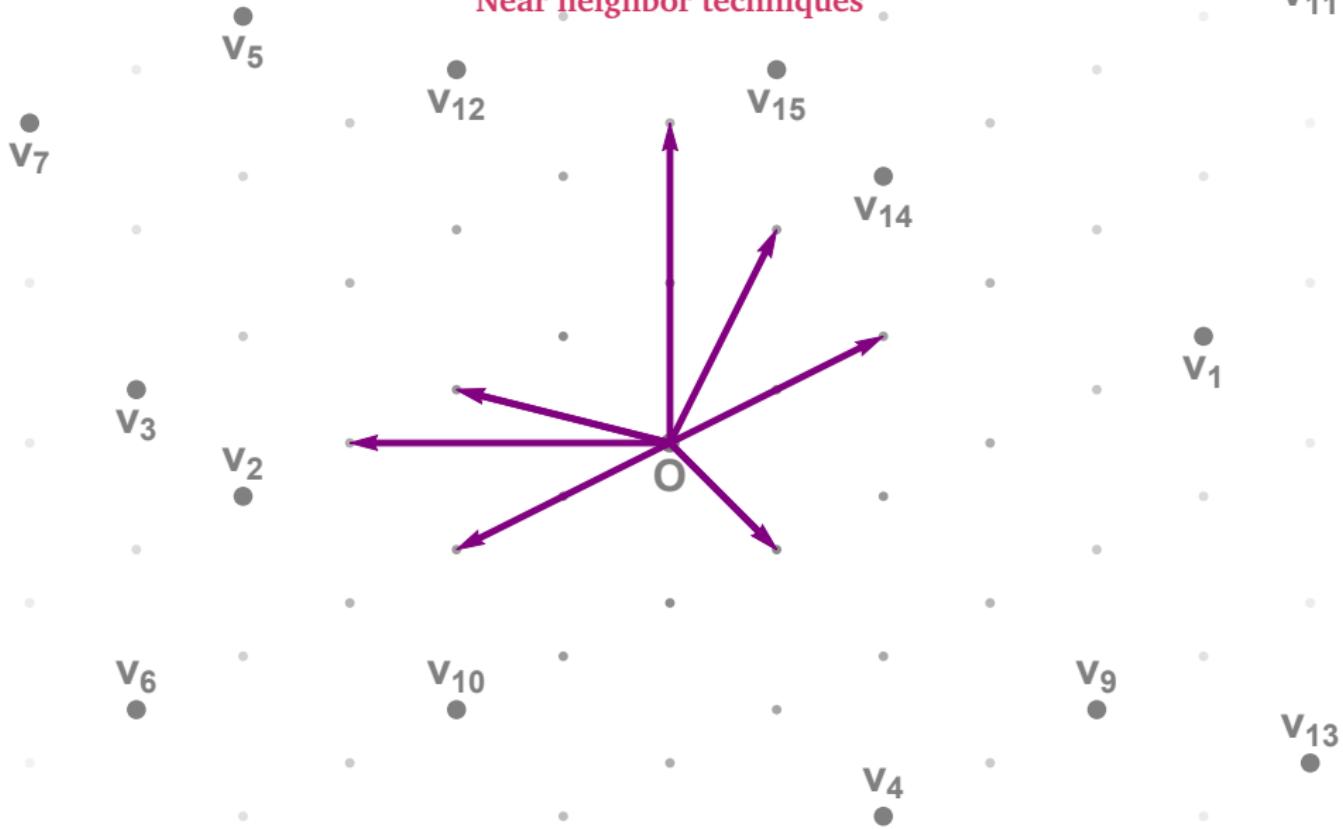
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# Sieving

Near neighbor techniques



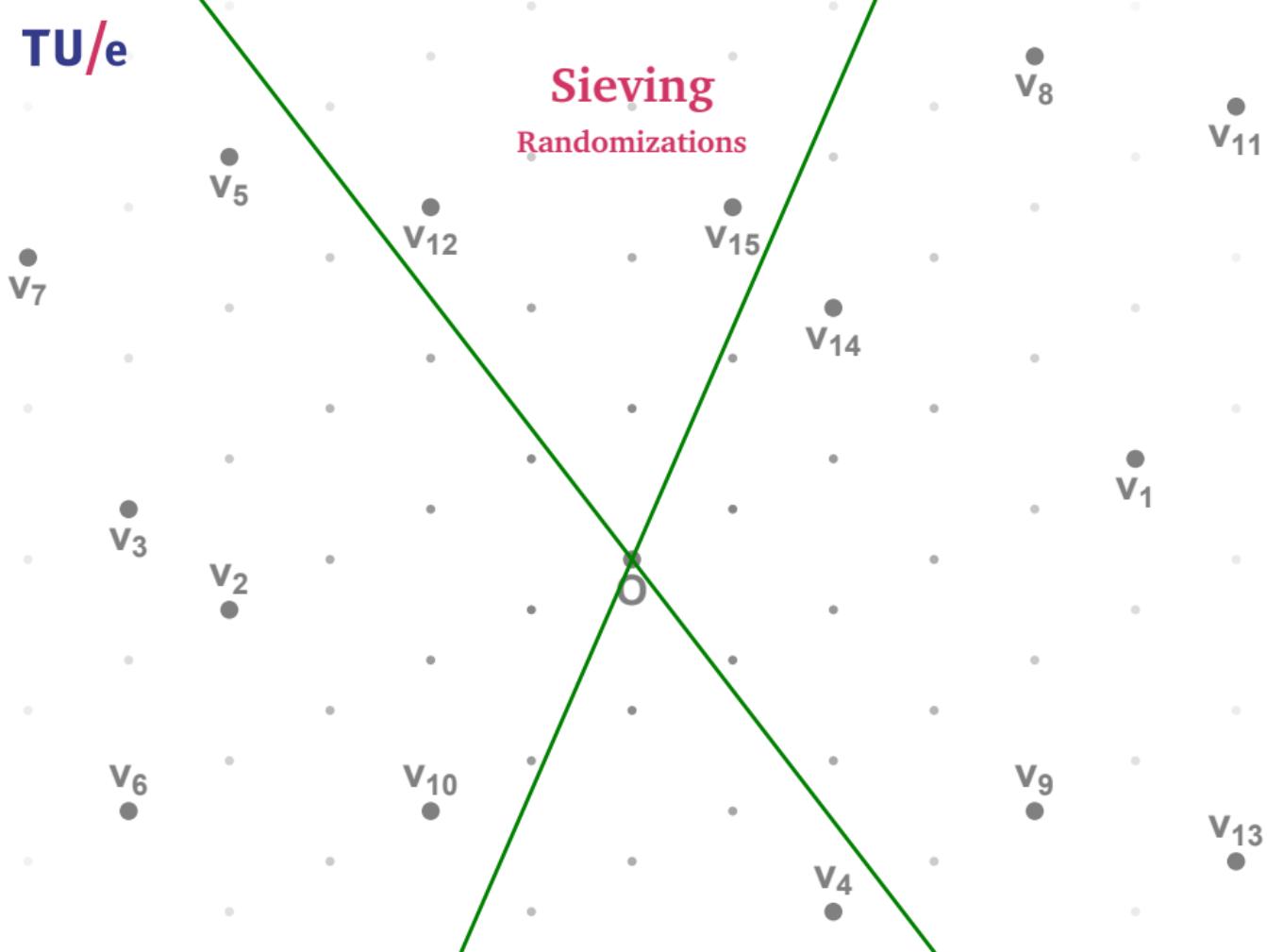
# Sieving

## Randomizations



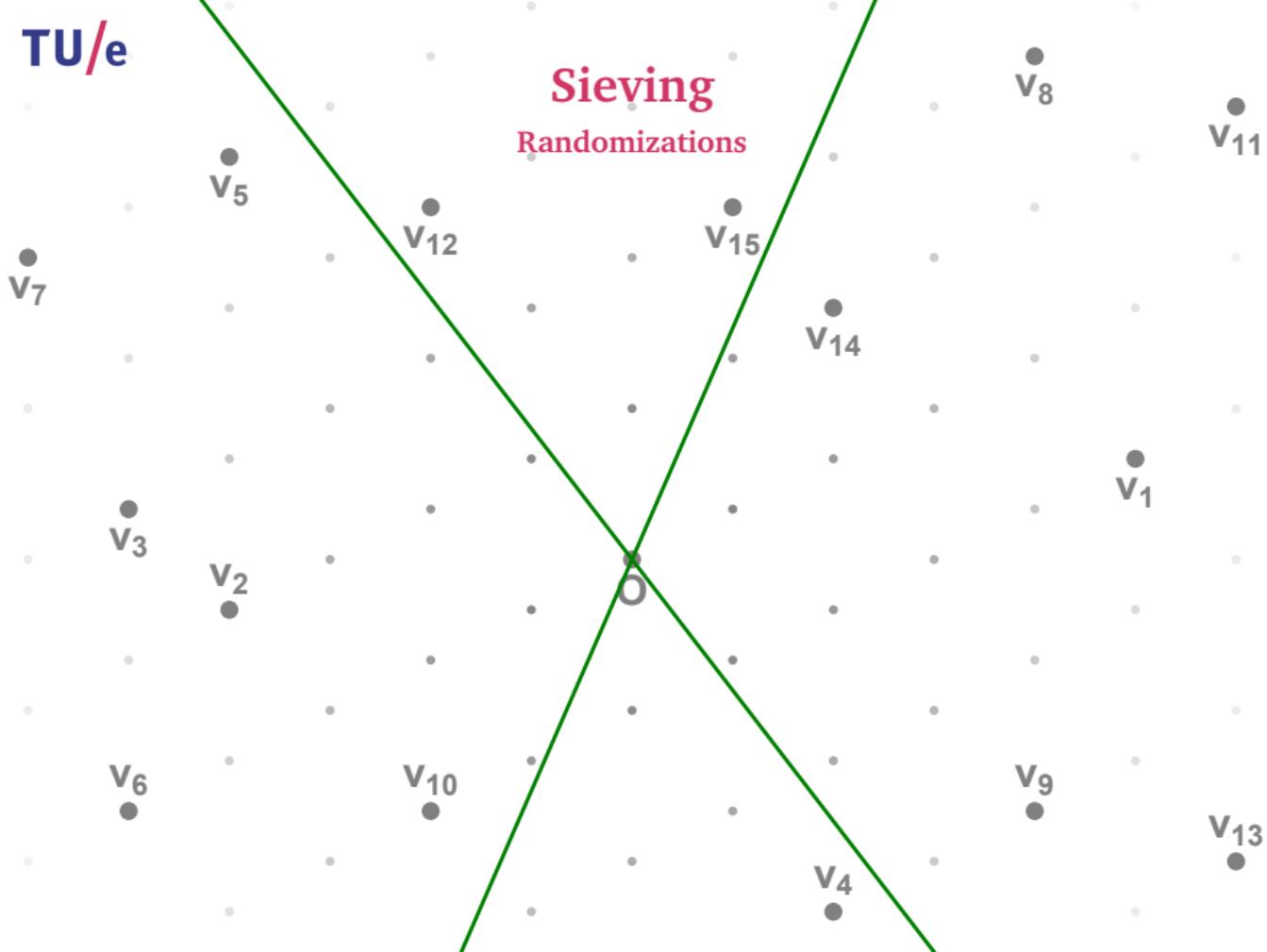
# Sieving

## Randomizations



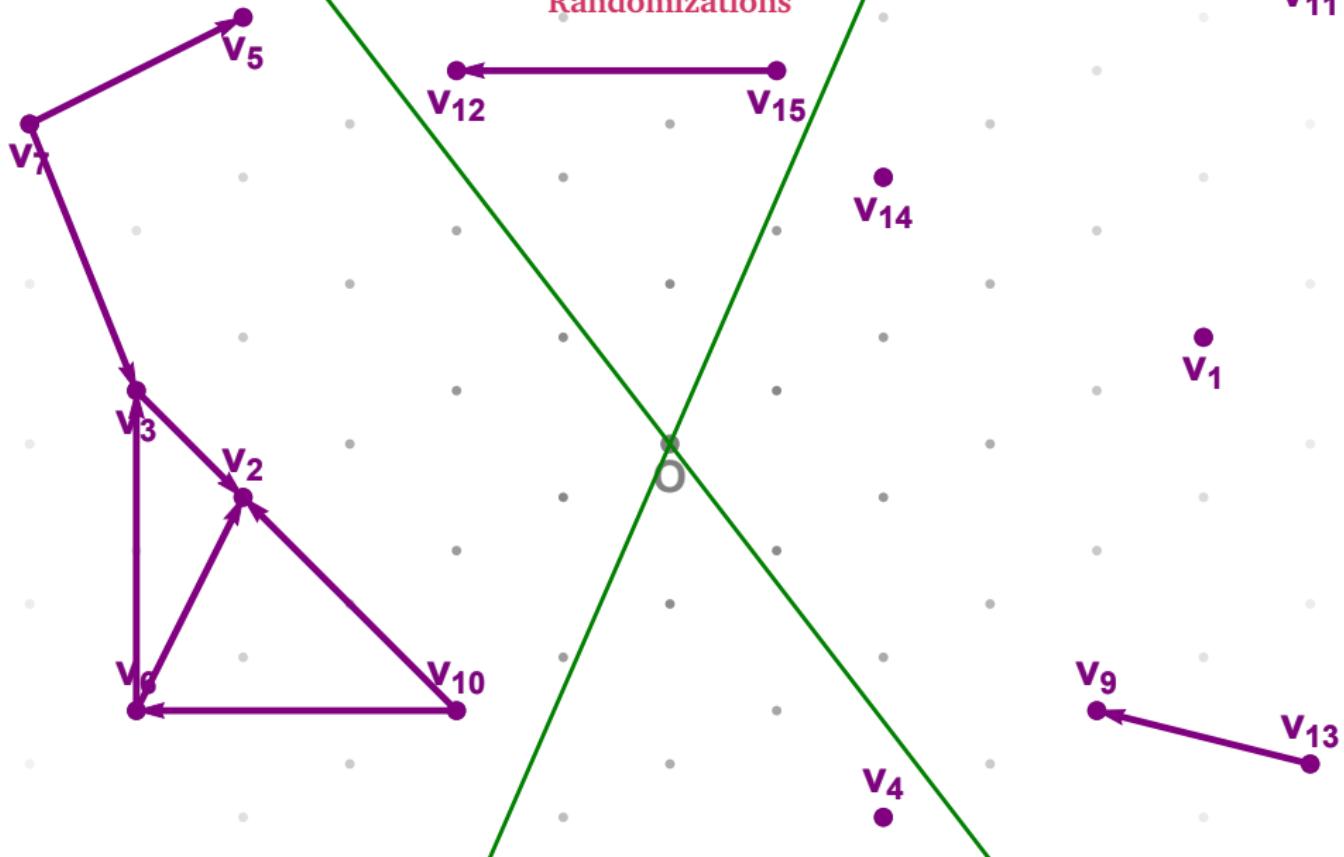
# Sieving

## Randomizations



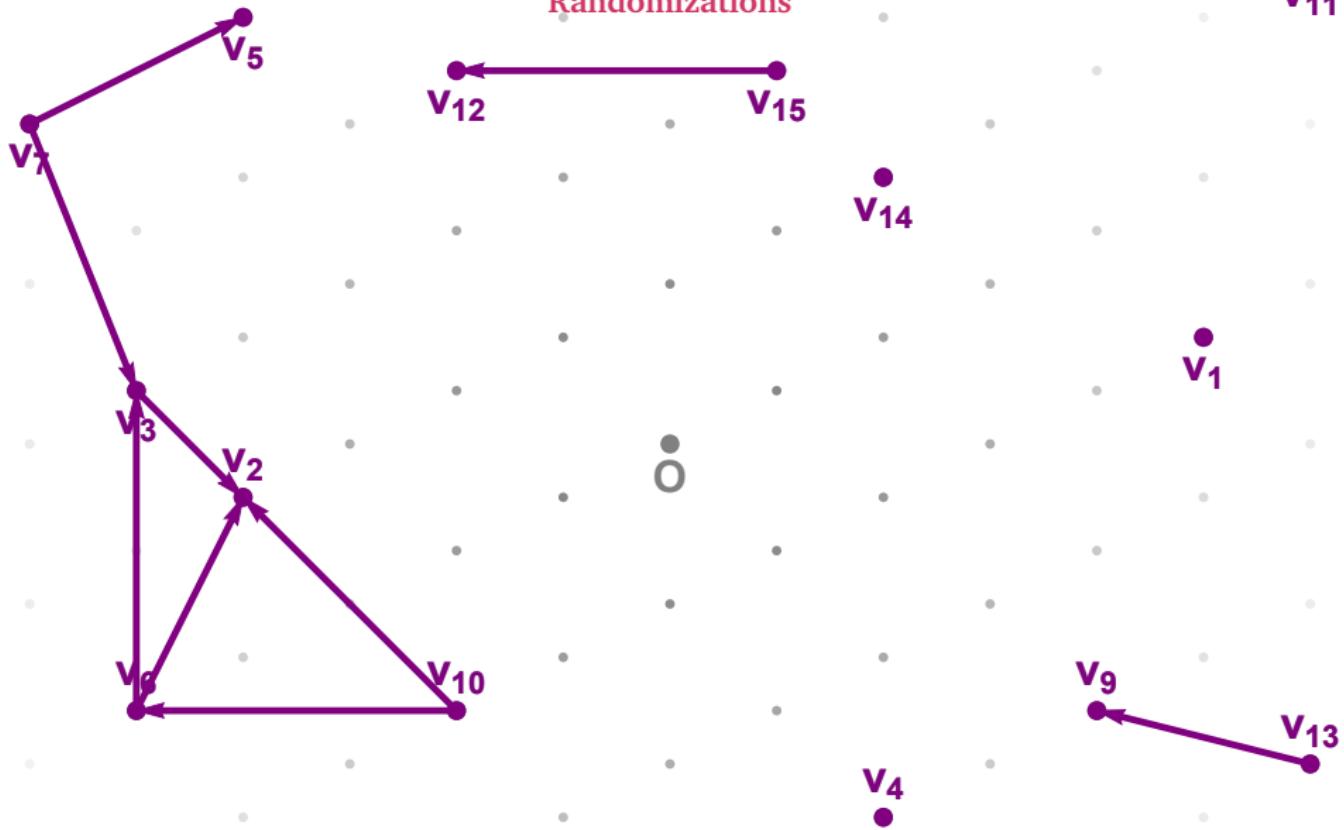
## Sieving

Randomizations



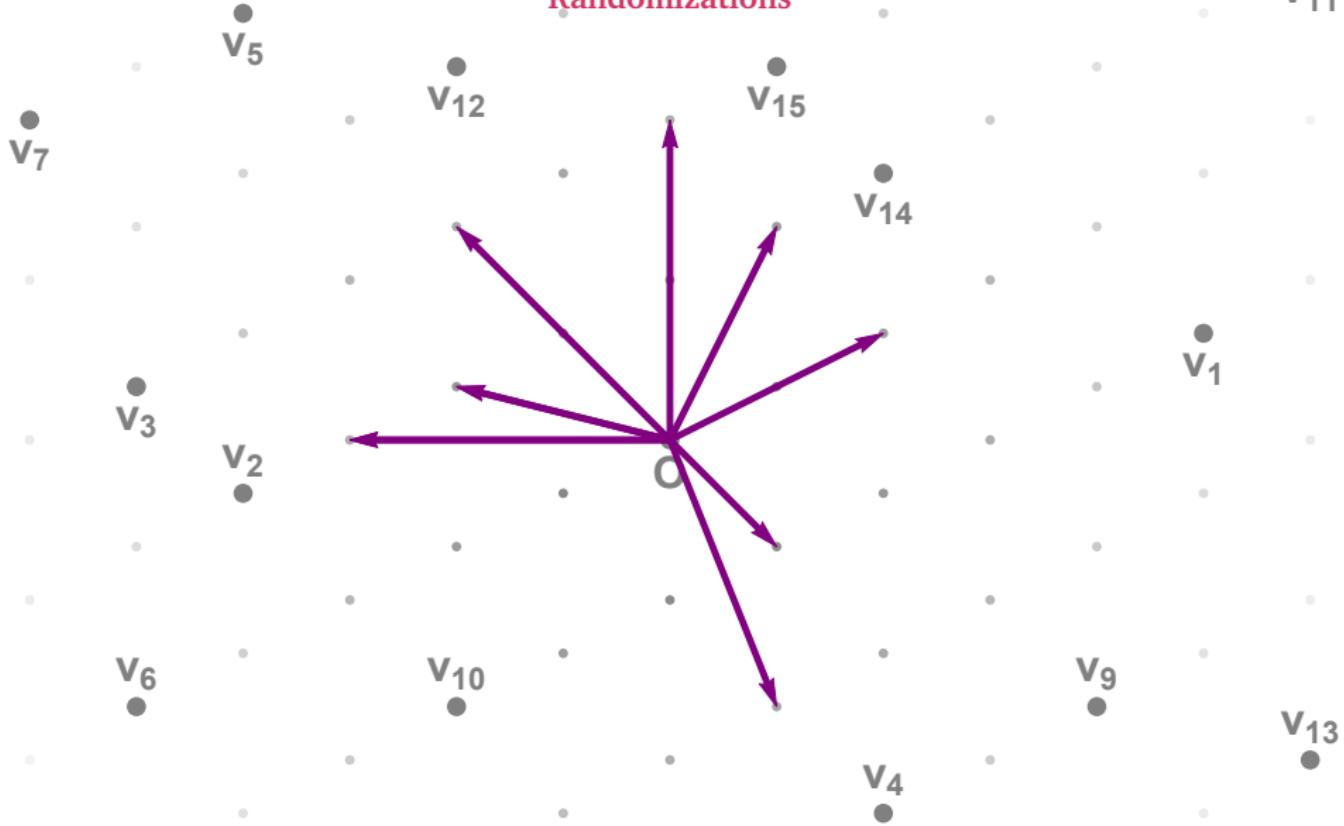
## Sieving

Randomizations



# Sieving

## Randomizations



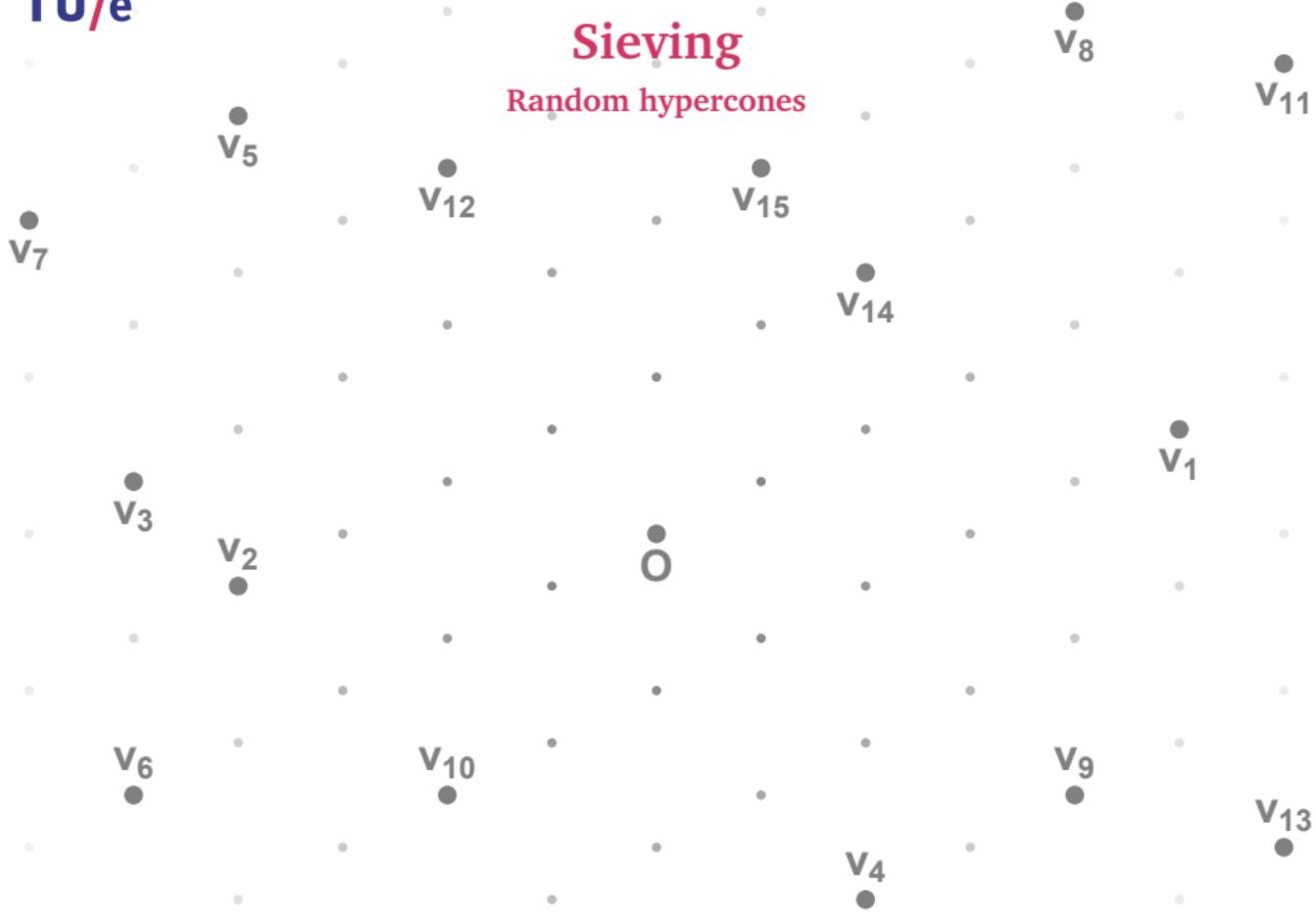
# Sieving

Randomizations



# Sieving

Random hypercones



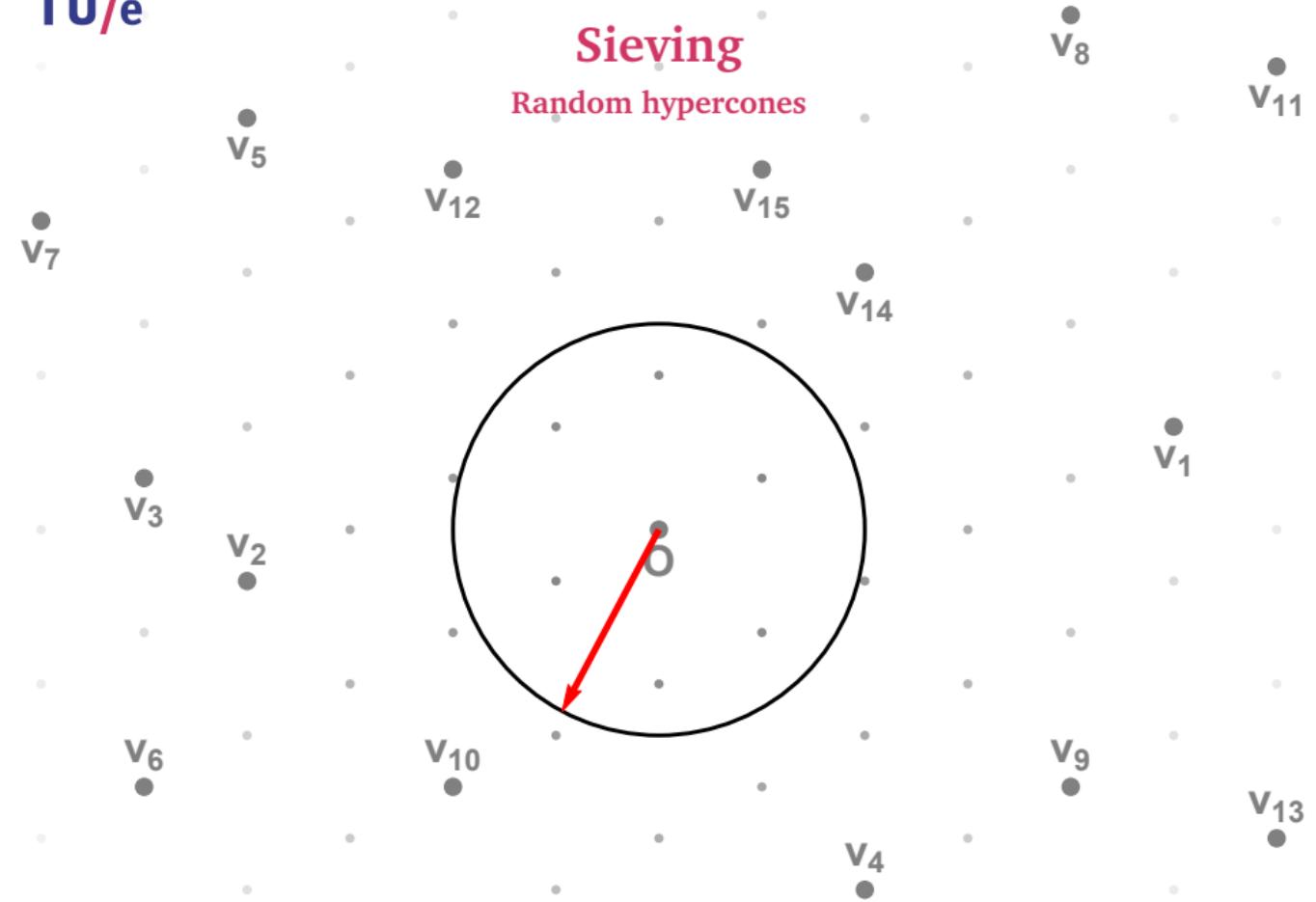
## Sieving

Random hypercones



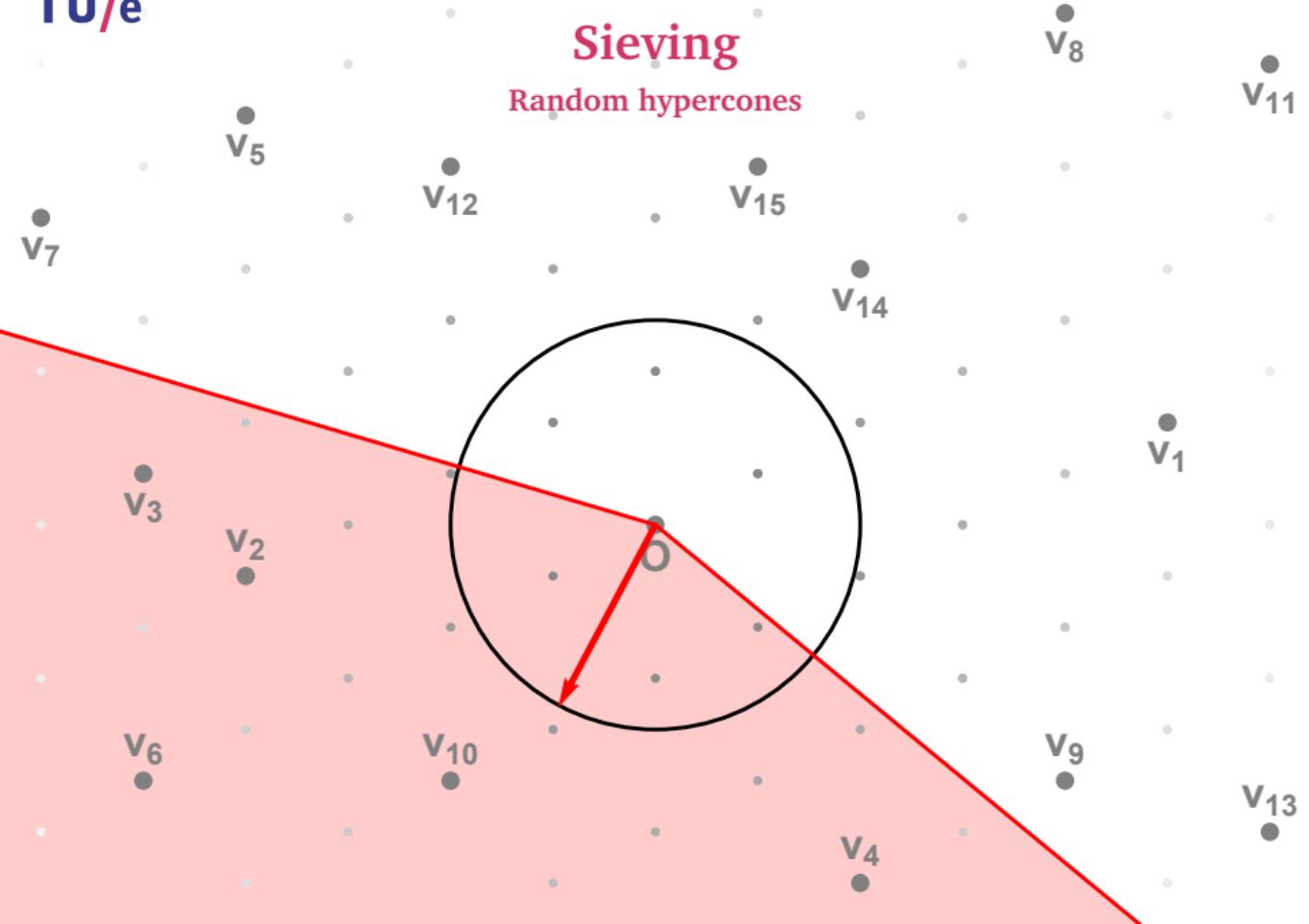
# Sieving

Random hypercones



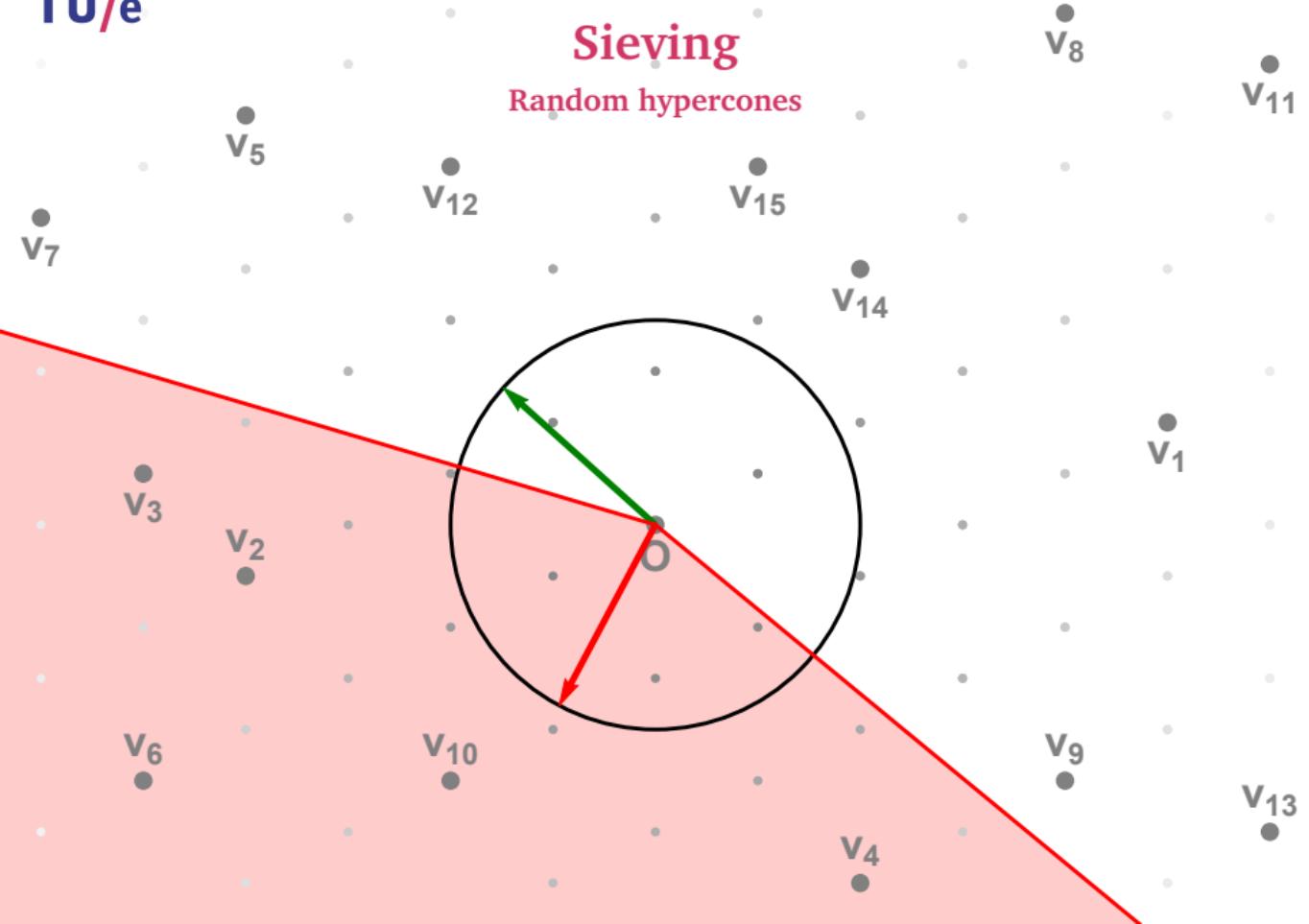
## Sieving

Random hypercones



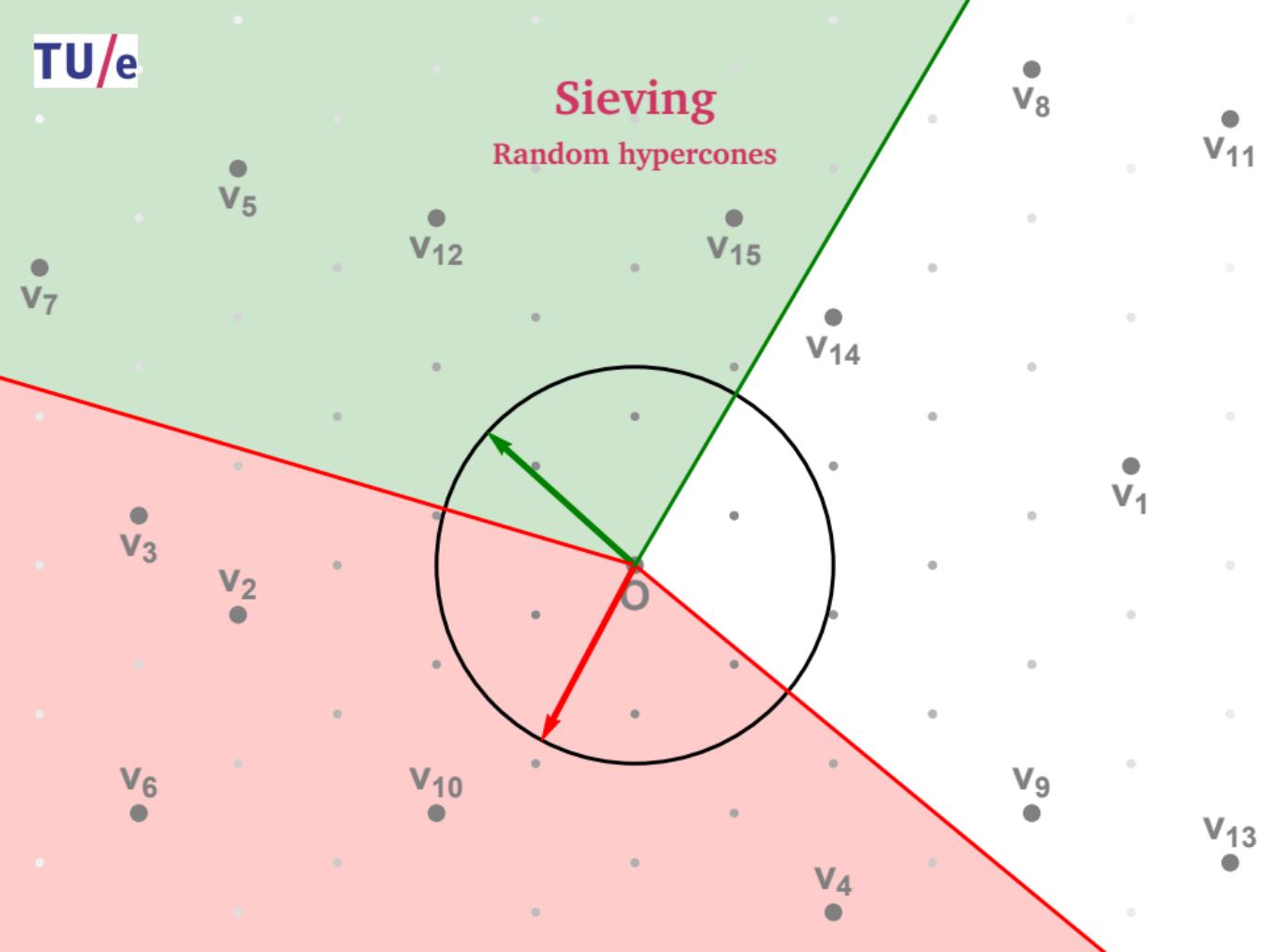
## Sieving

Random hypercones



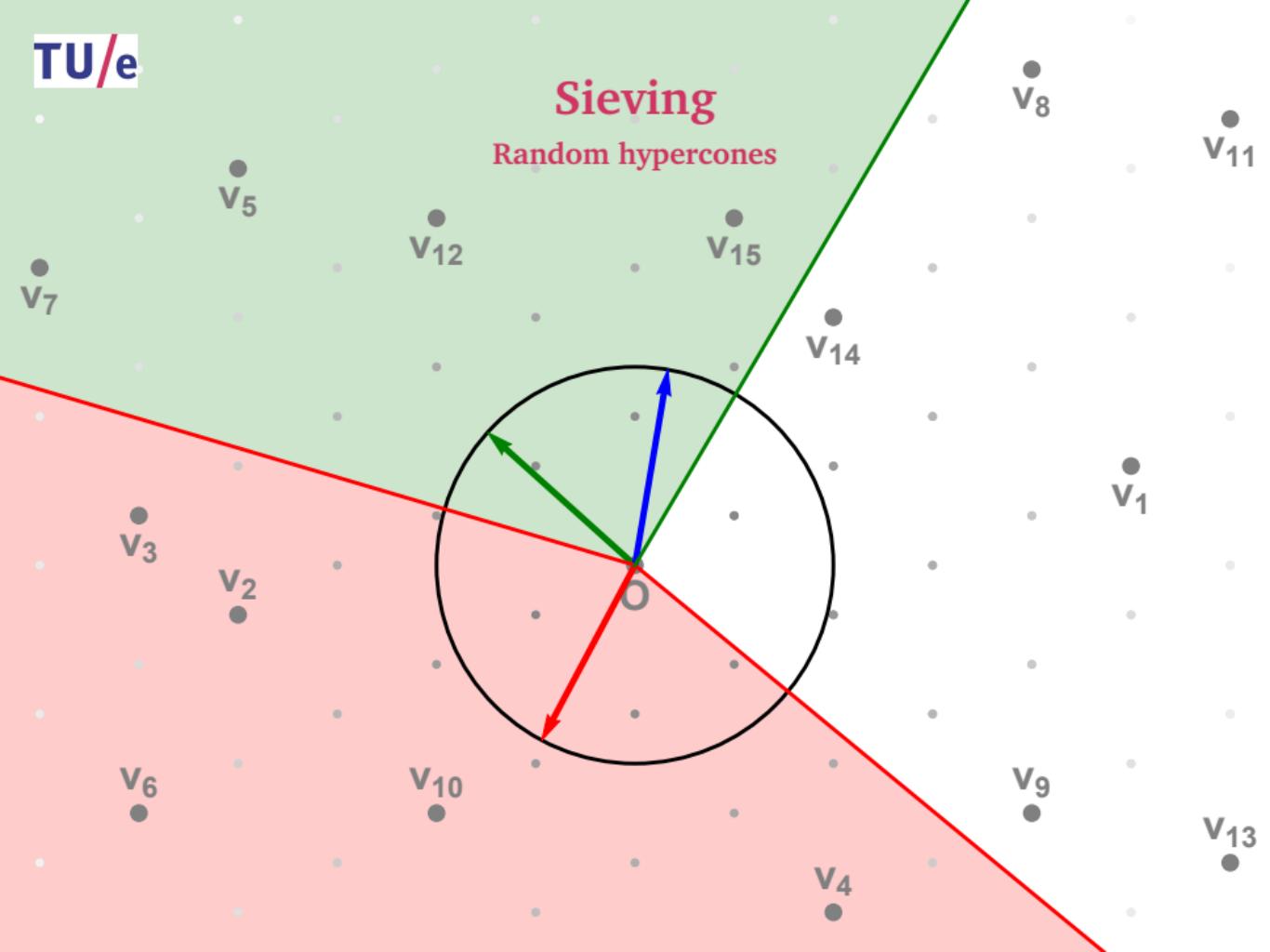
## Sieving

Random hypercones



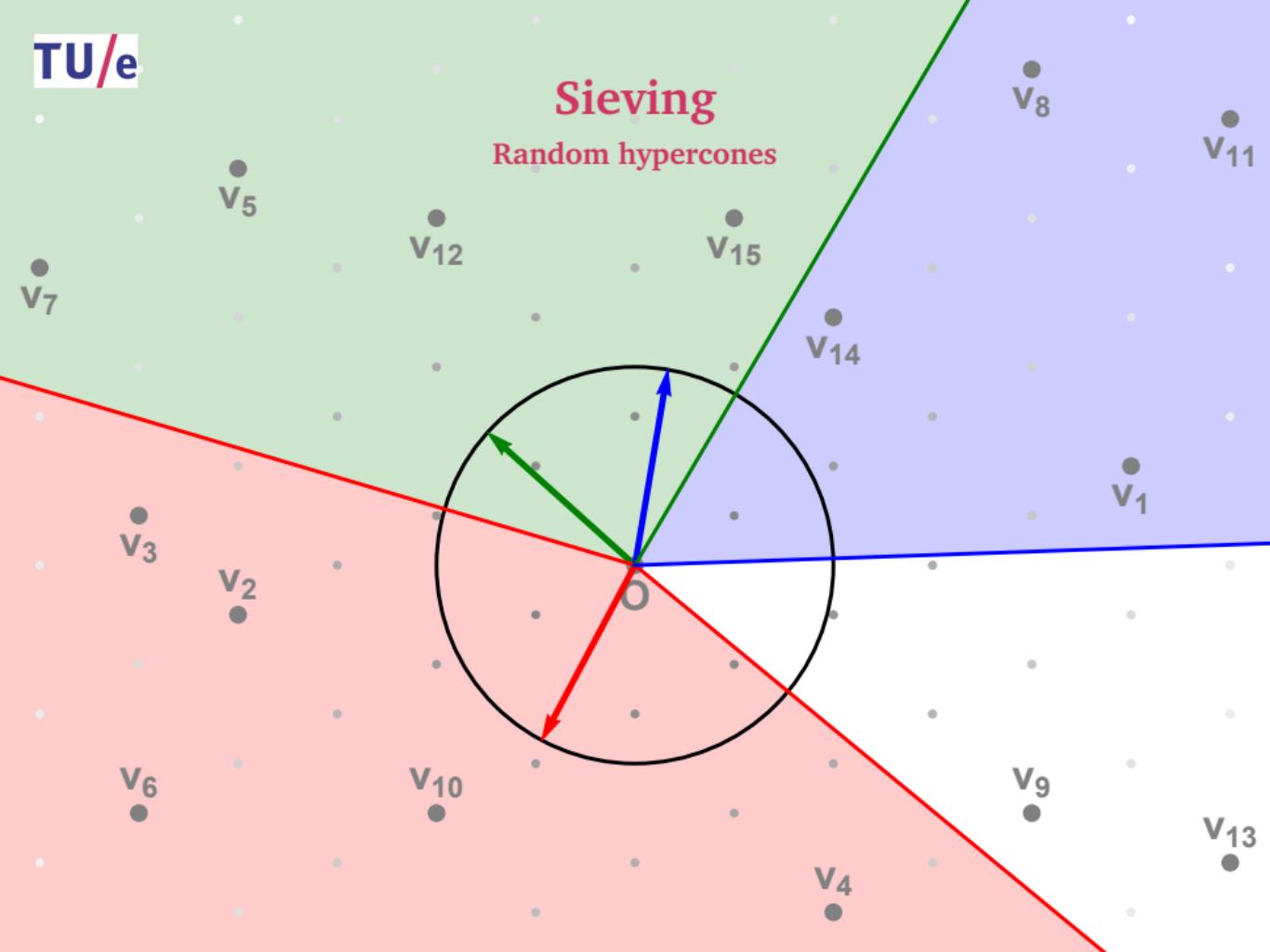
## Sieving

Random hypercones



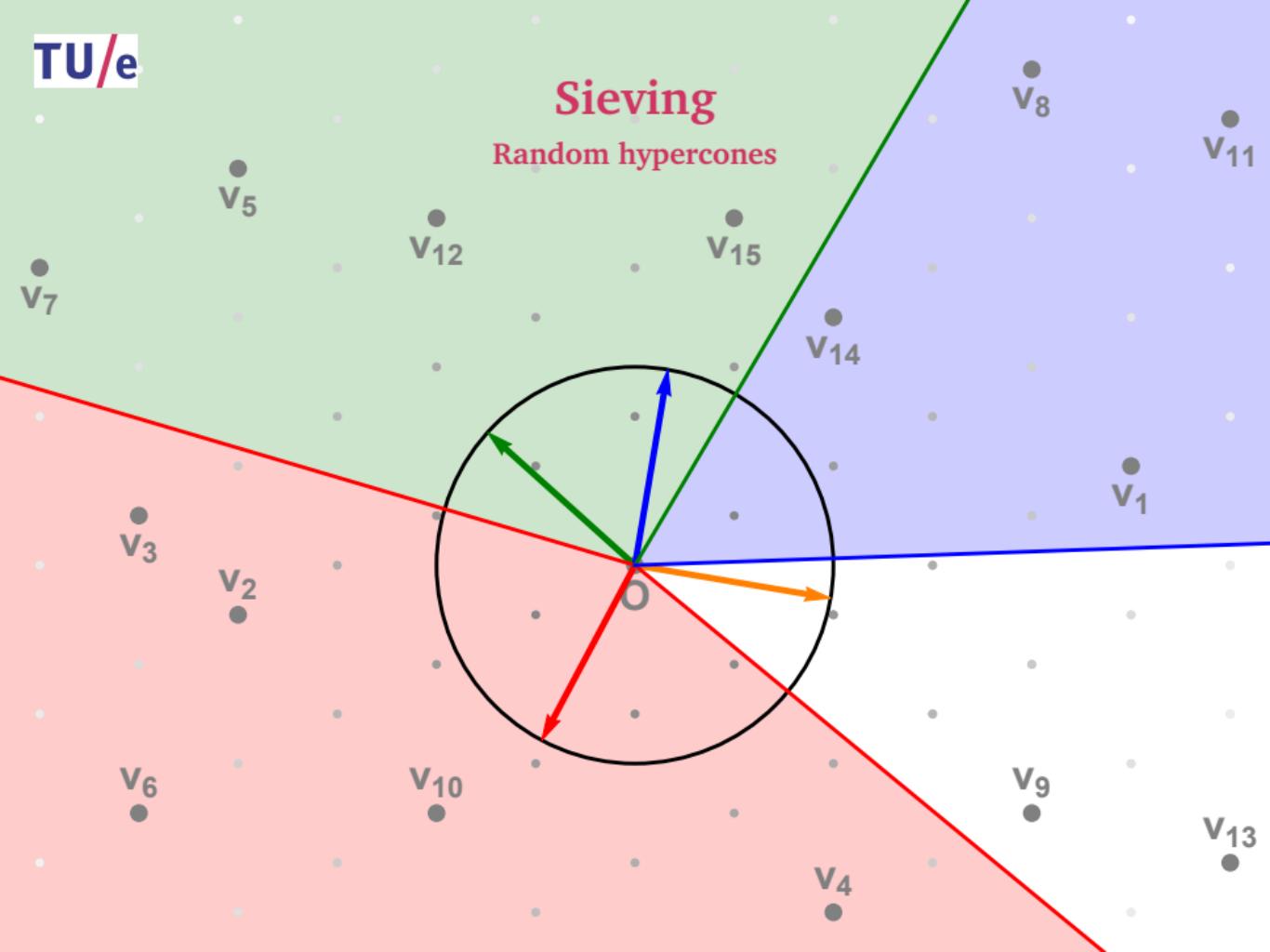
## Sieving

Random hypercones



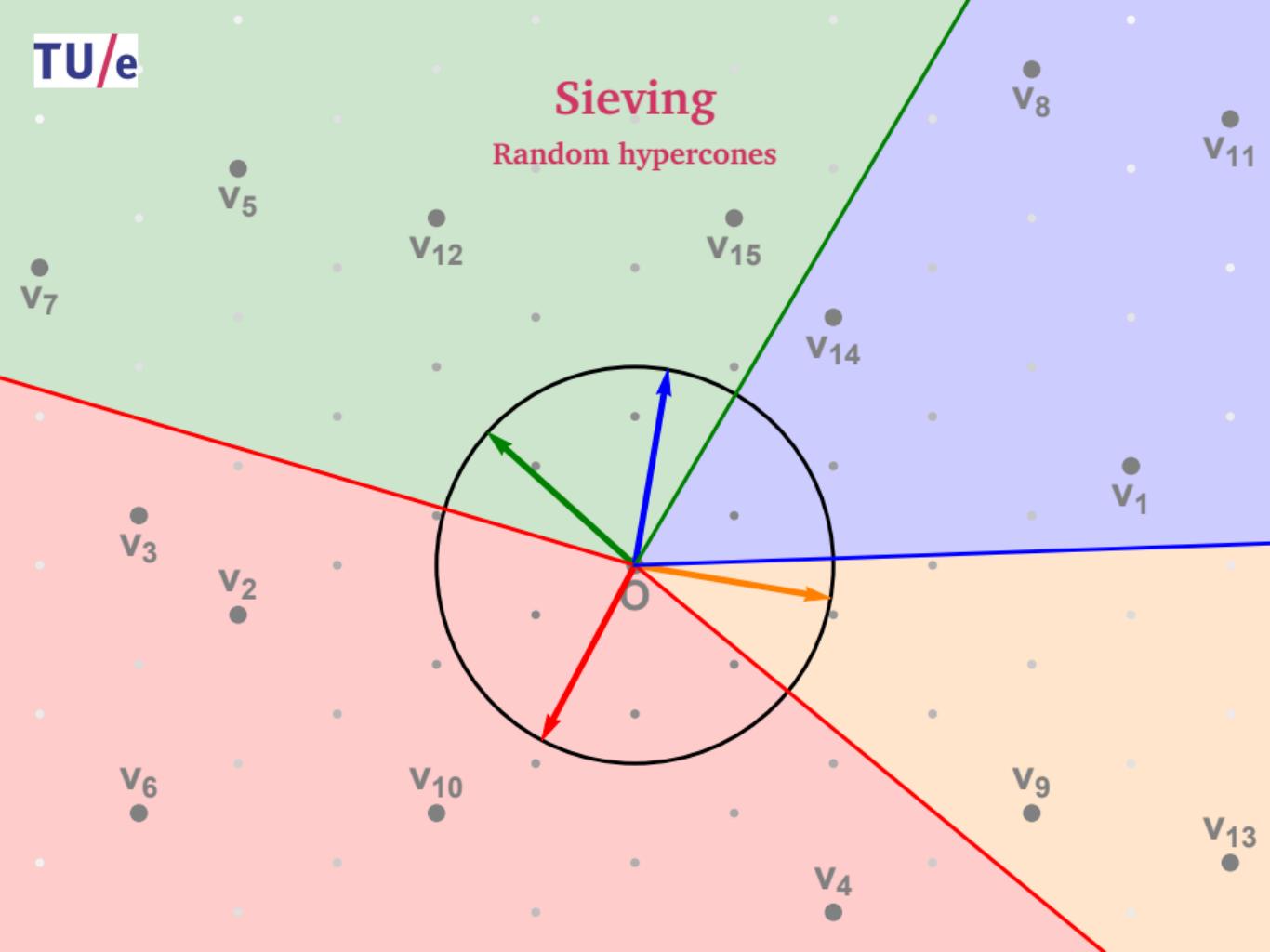
## Sieving

Random hypercones



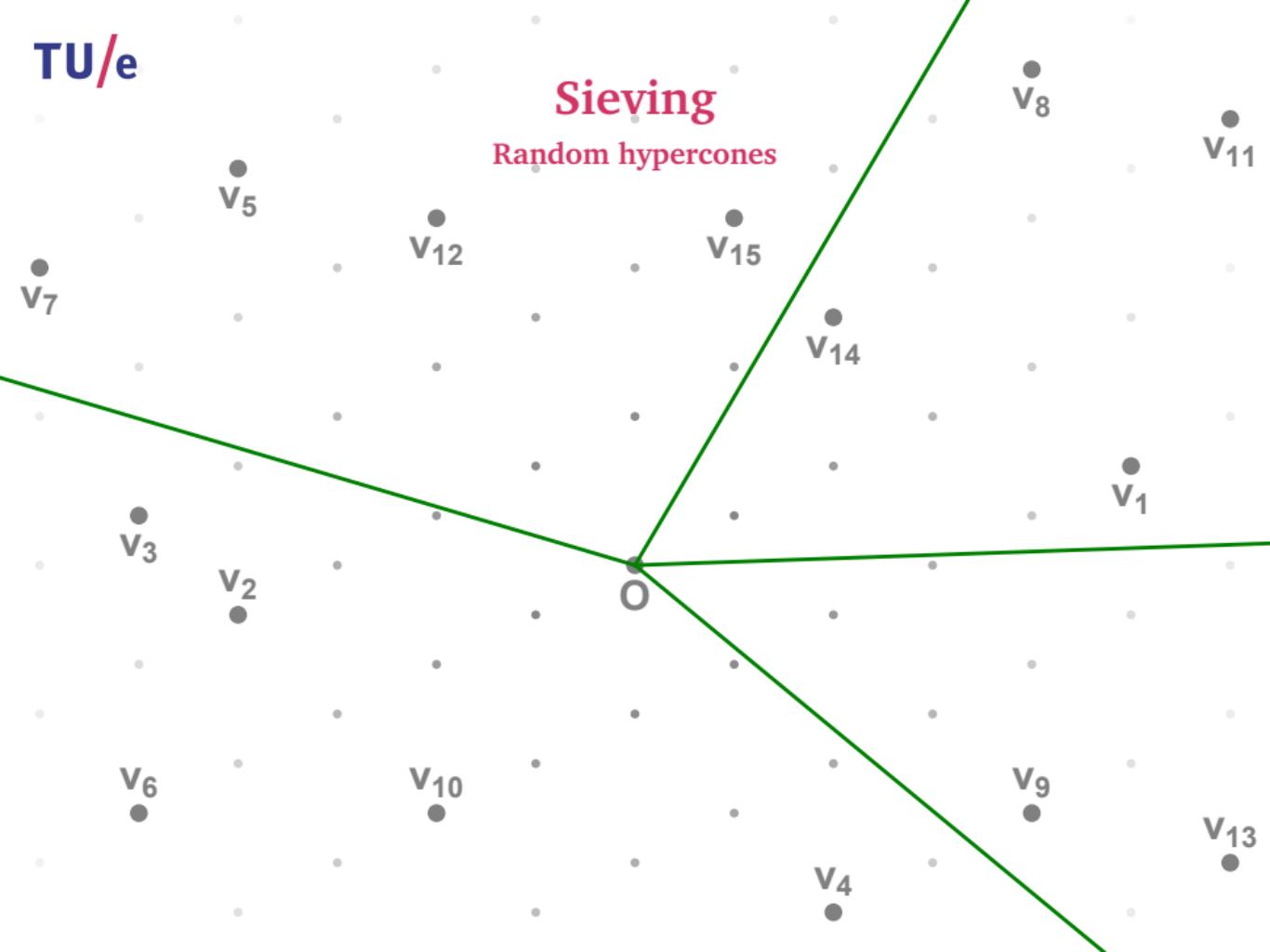
## Sieving

Random hypercones



# Sieving

Random hypercones



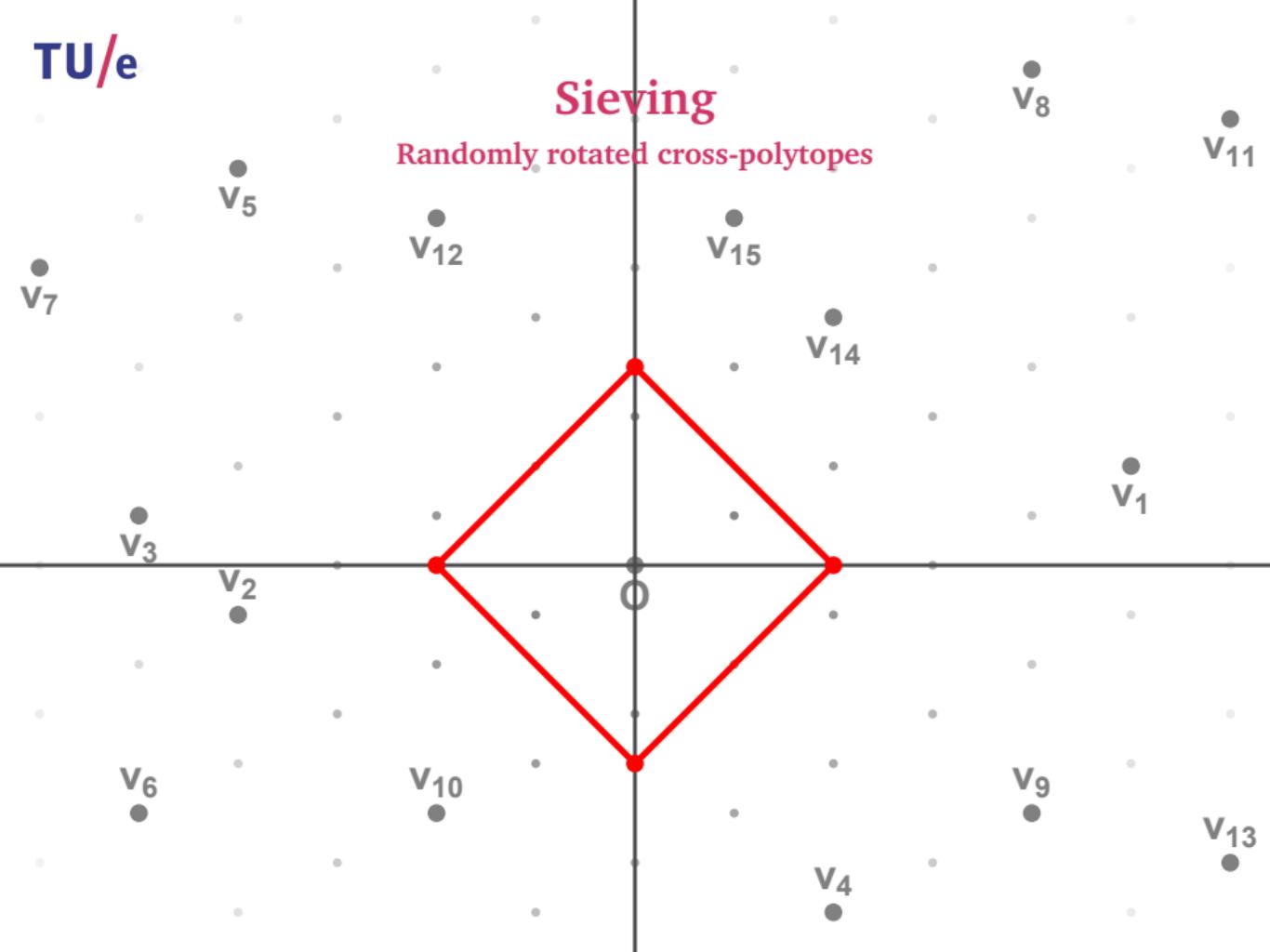
## Sieving

Randomly rotated cross-polytopes



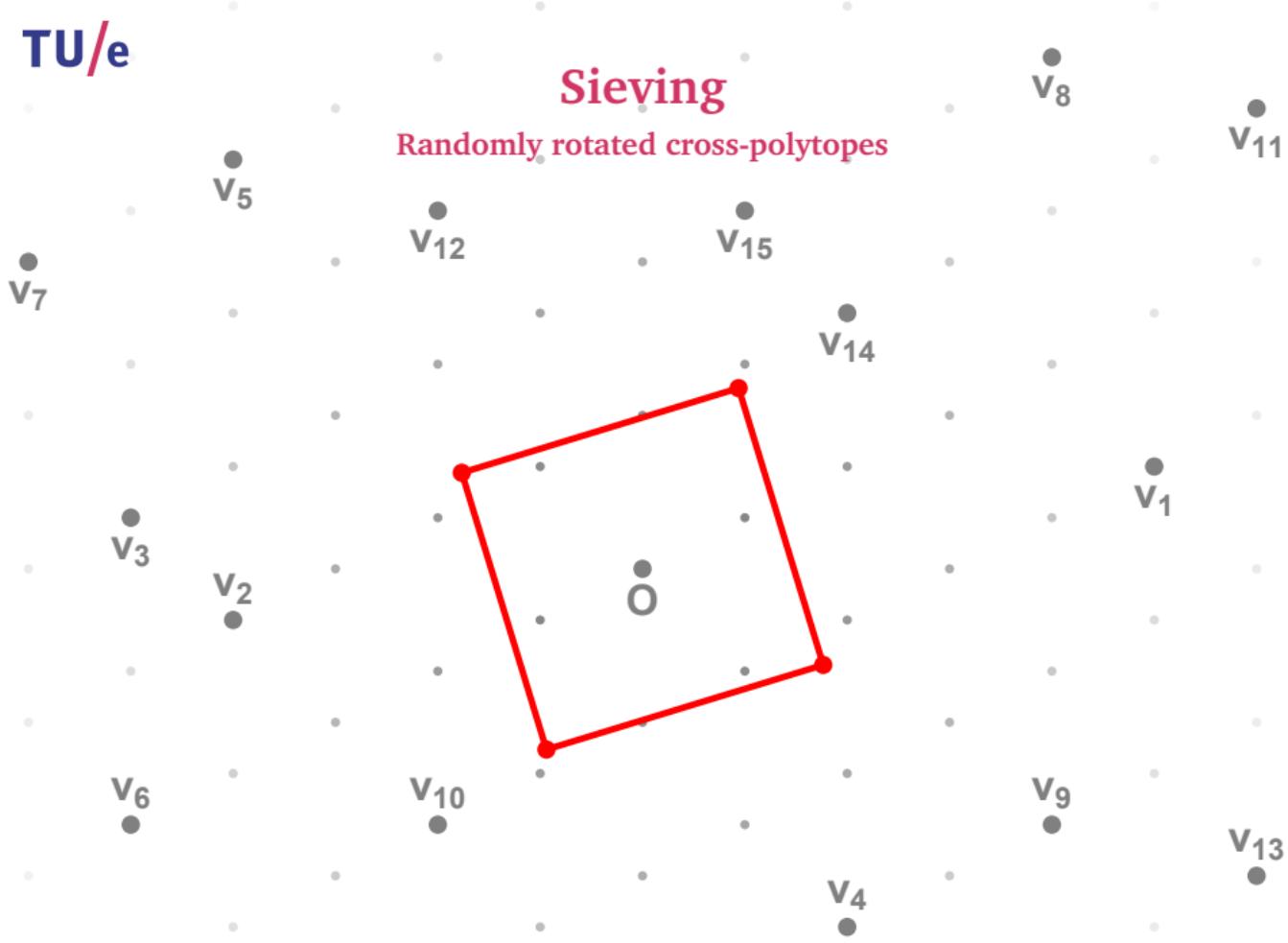
# Sieving

Randomly rotated cross-polytopes



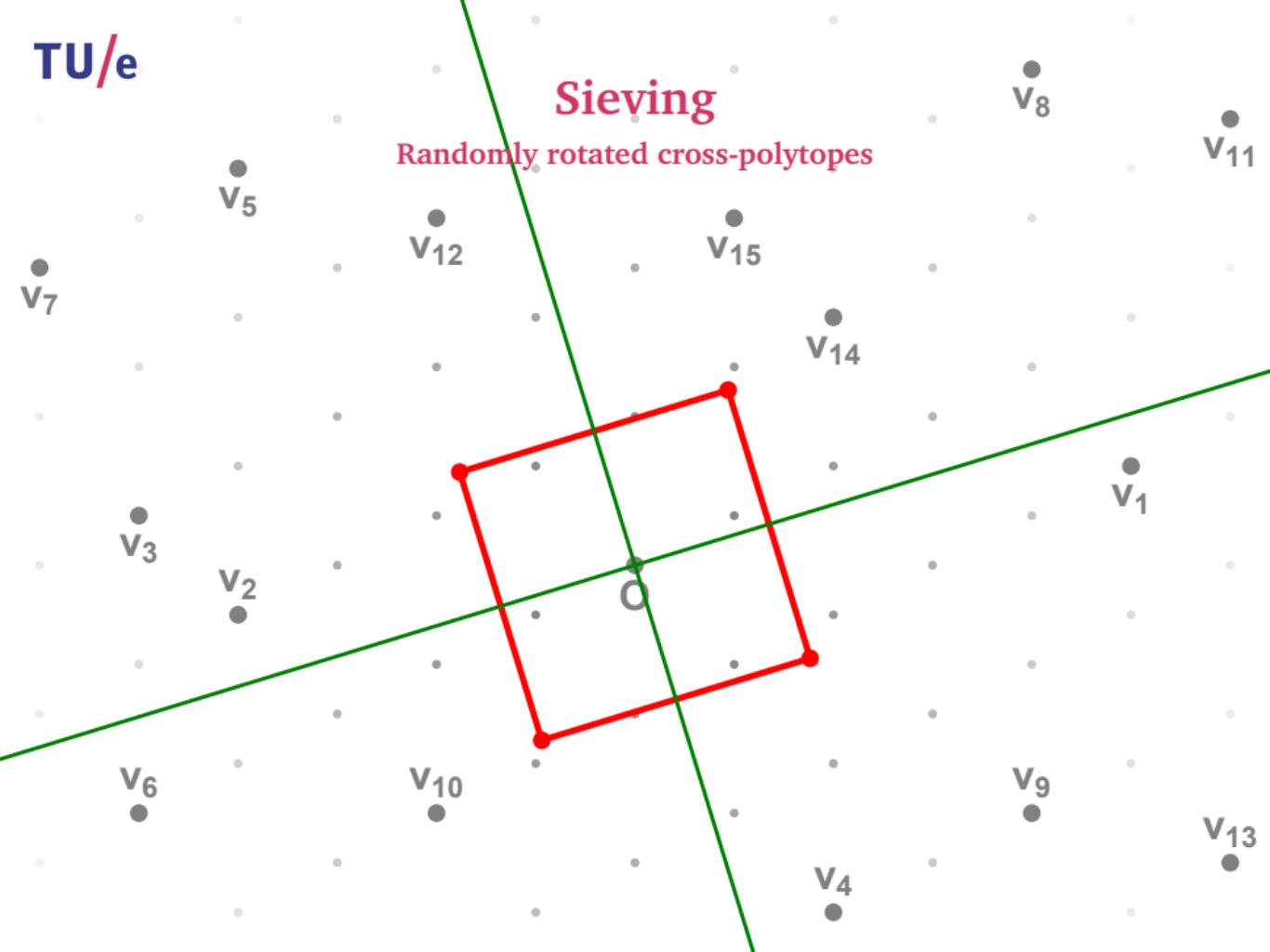
## Sieving

Randomly rotated cross-polytopes



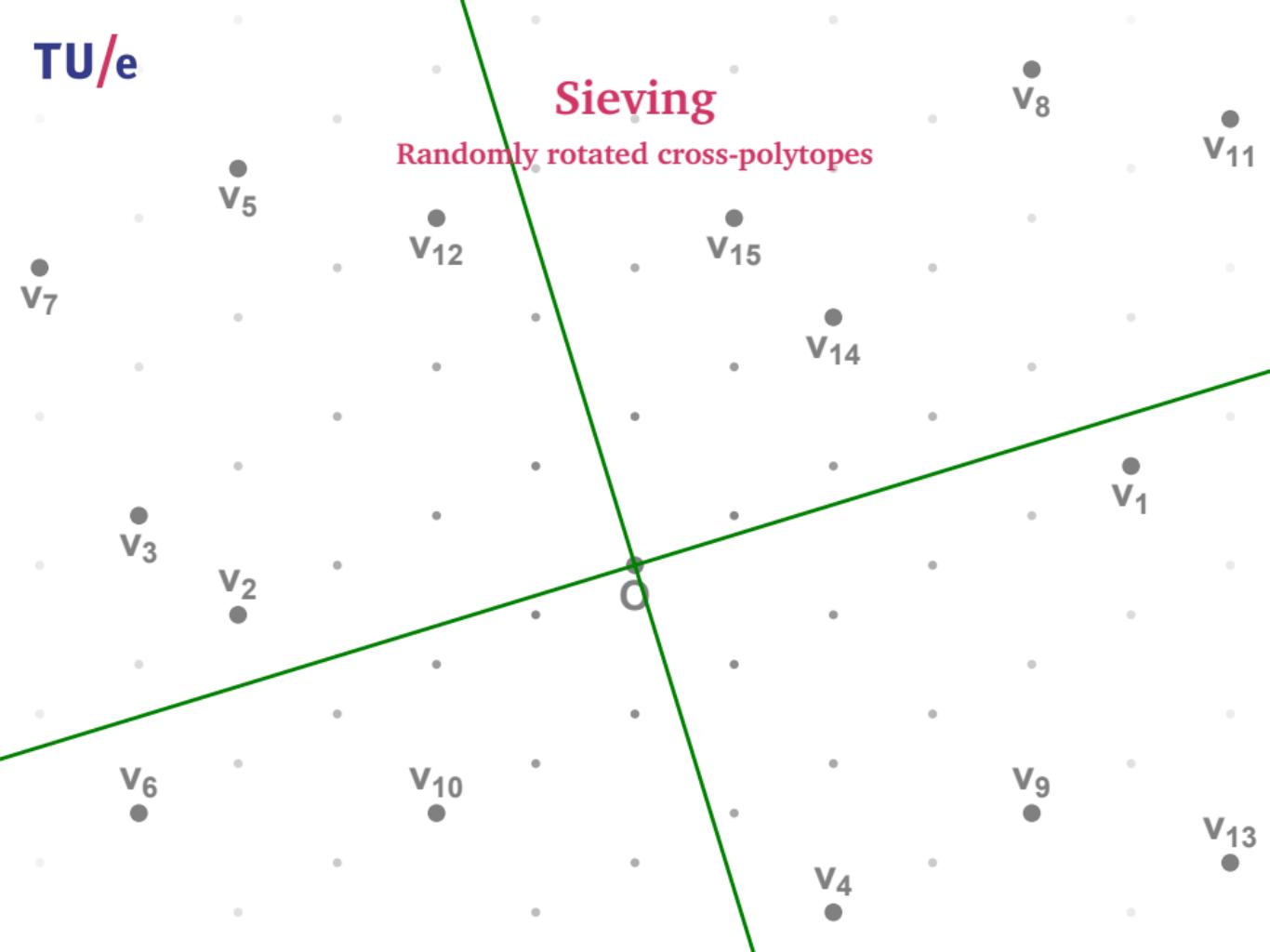
## Sieving

Randomly rotated cross-polytopes



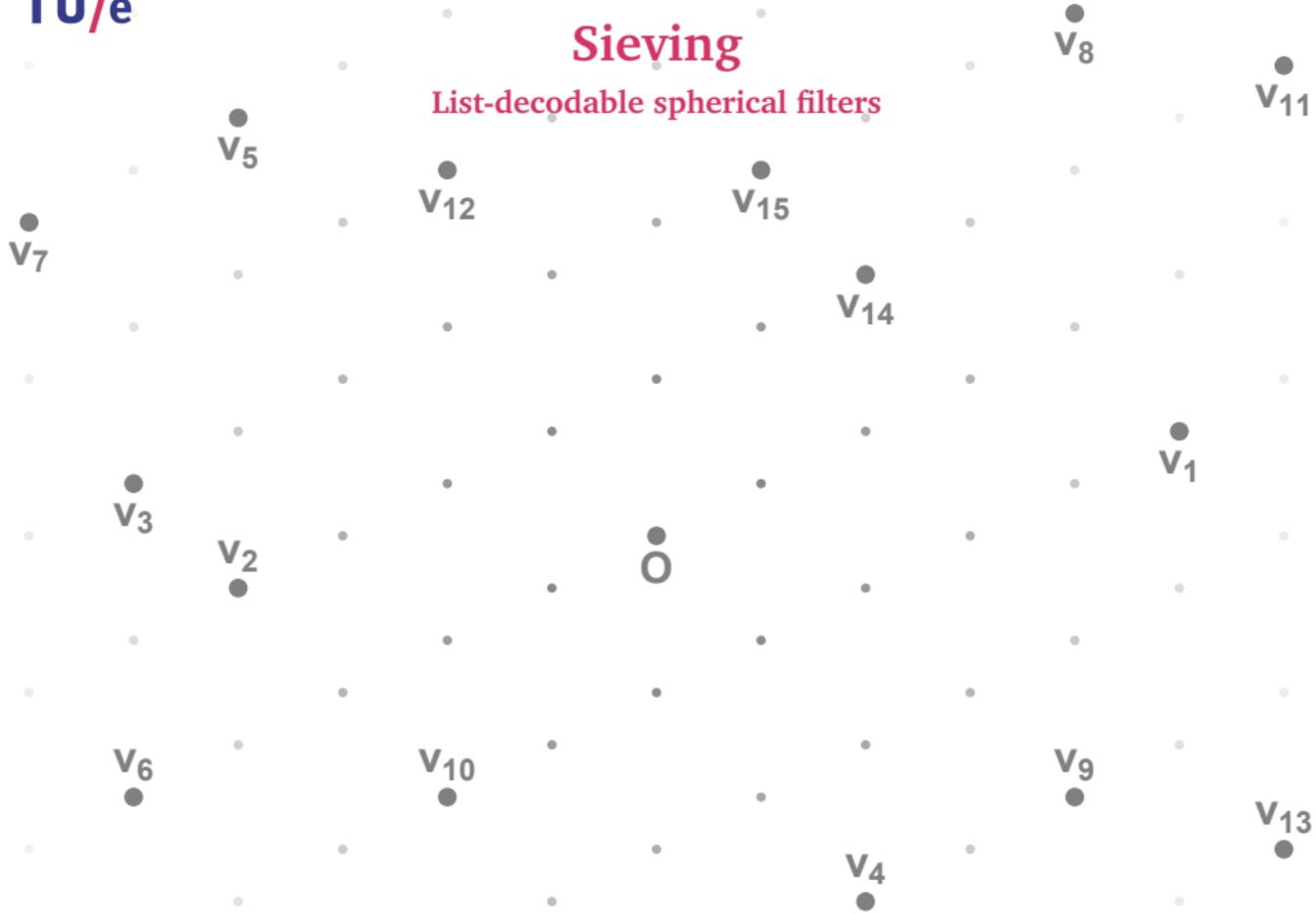
## Sieving

Randomly rotated cross-polytopes



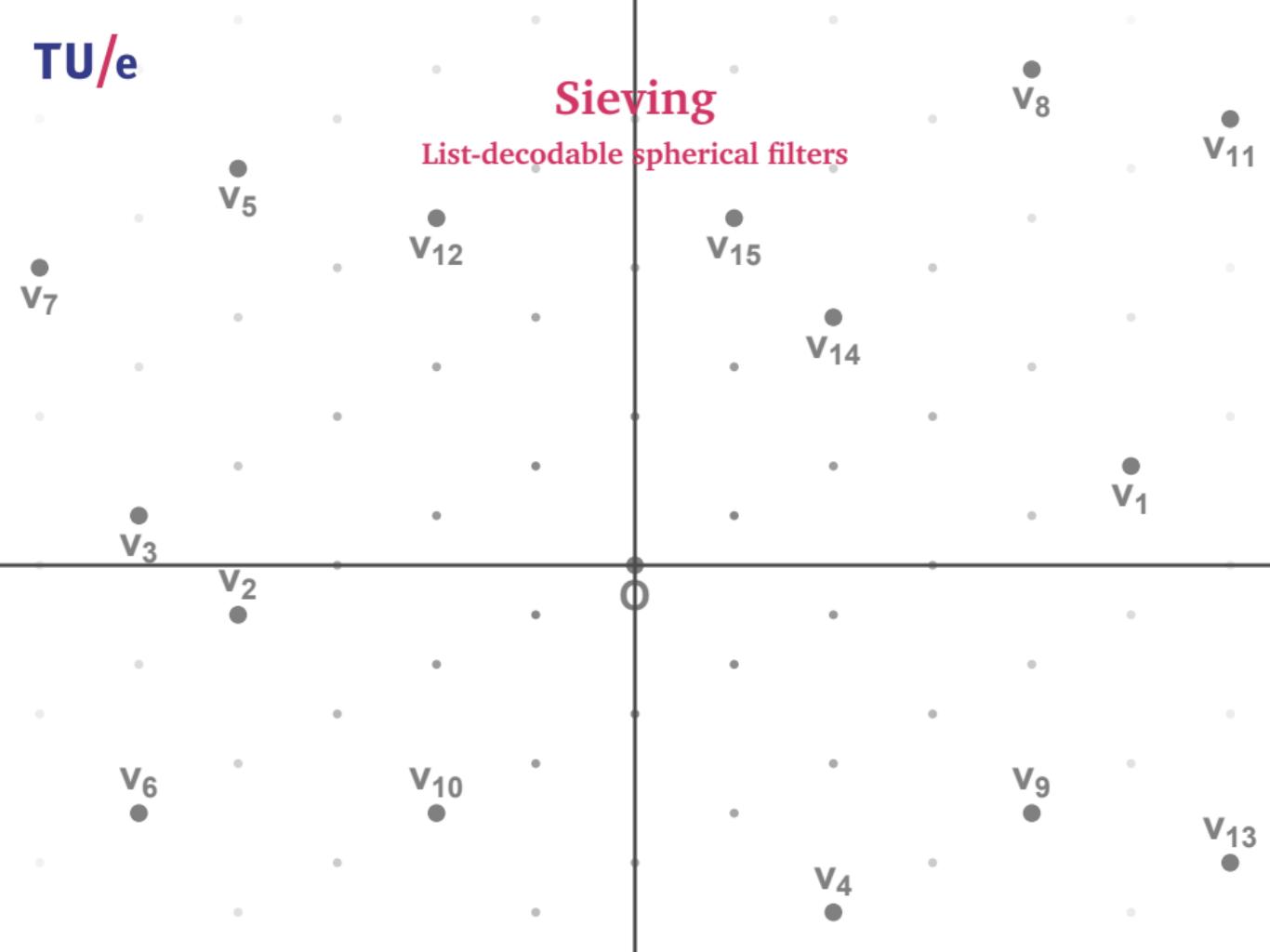
# Sieving

List-decodable spherical filters



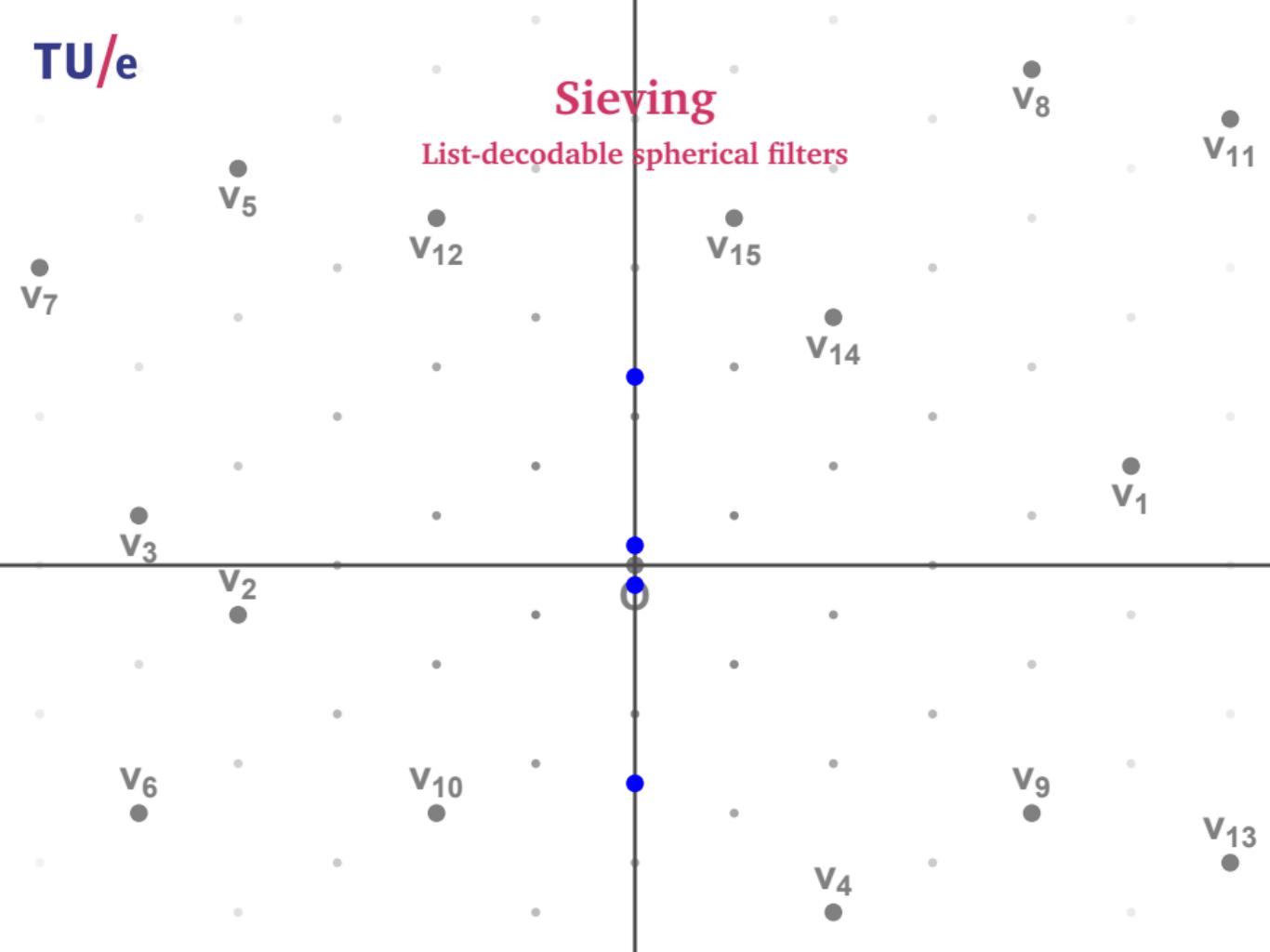
# Sieving

List-decodable spherical filters



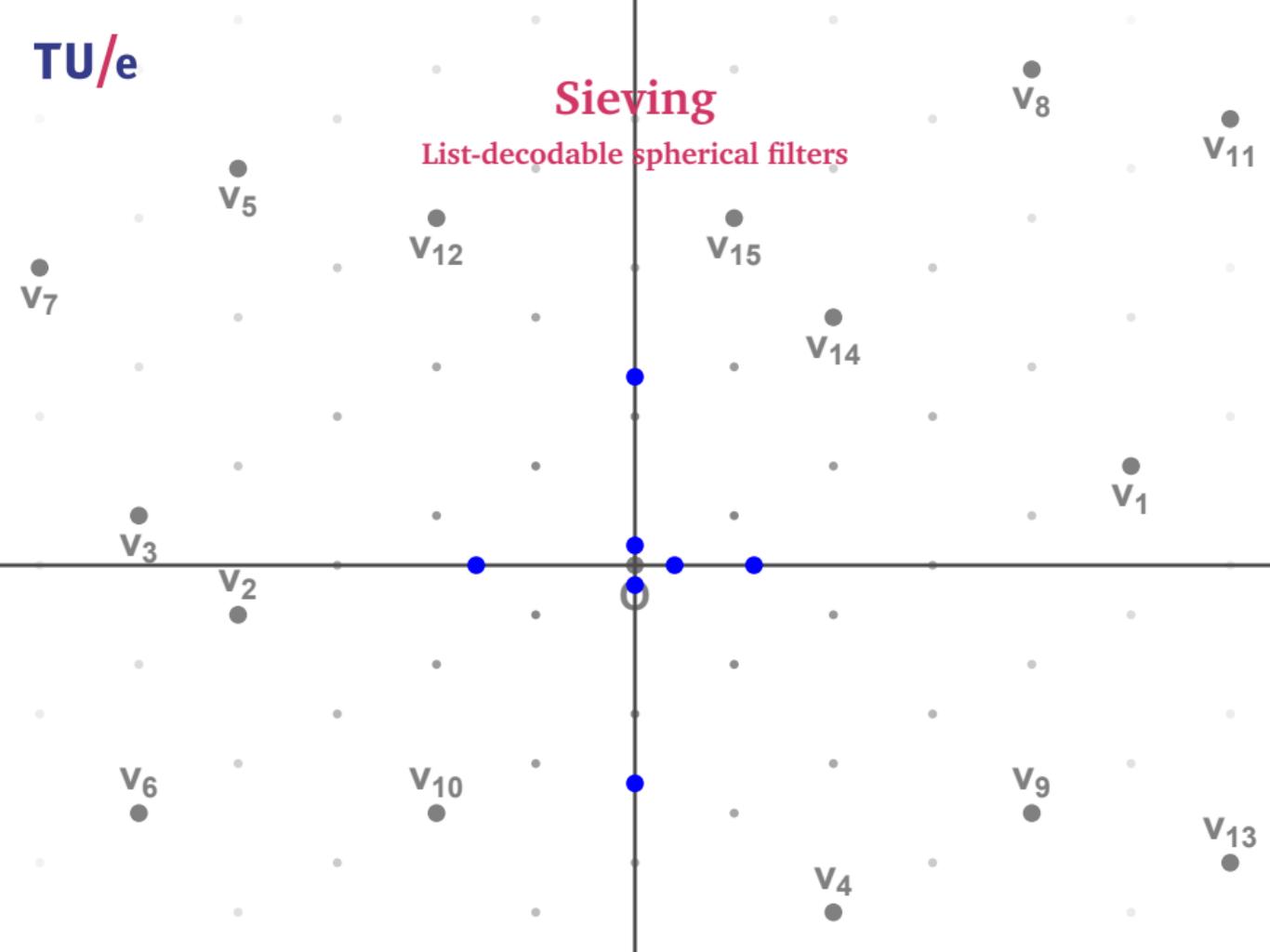
# Sieving

List-decodable spherical filters



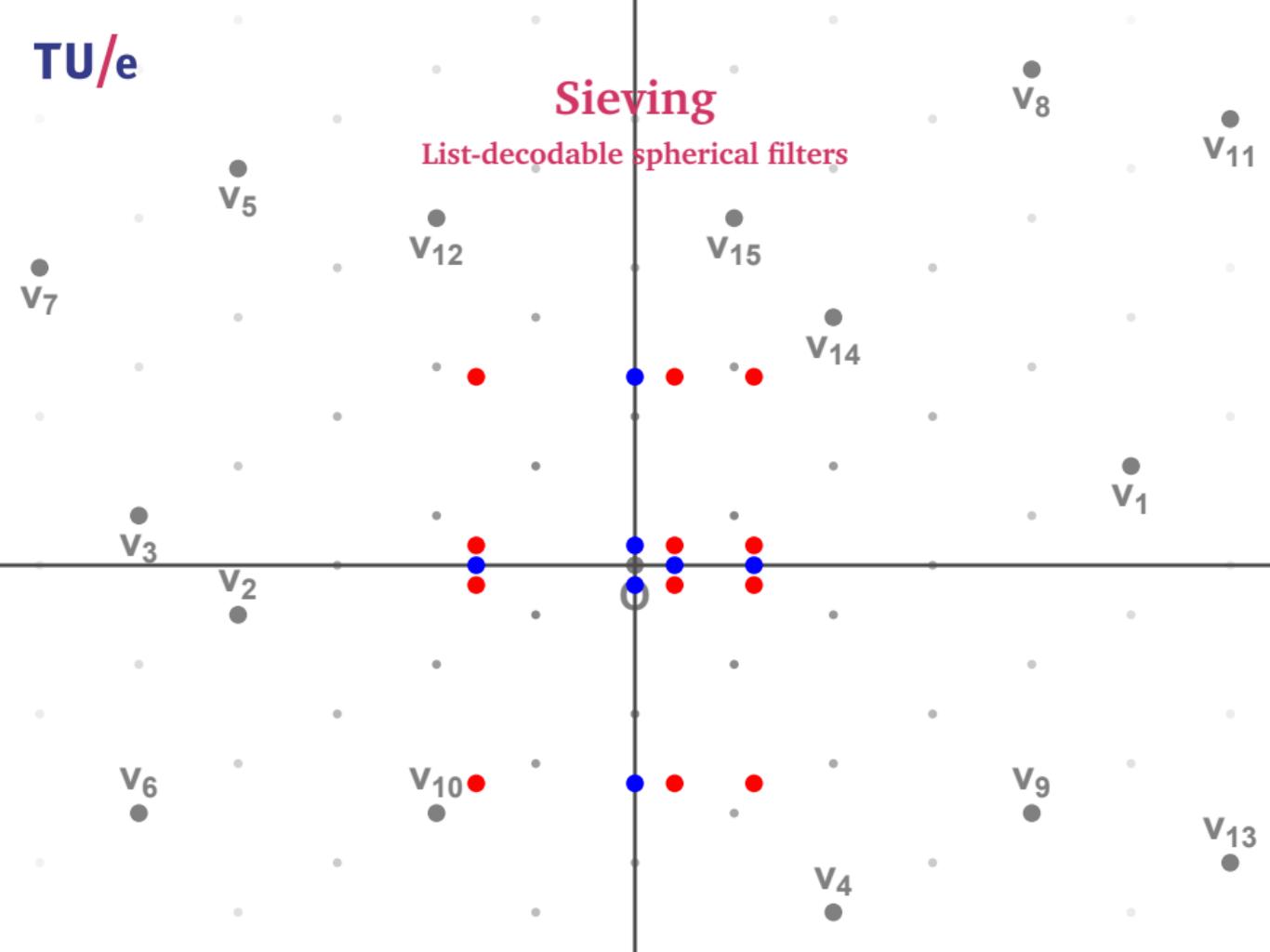
# Sieving

List-decodable spherical filters



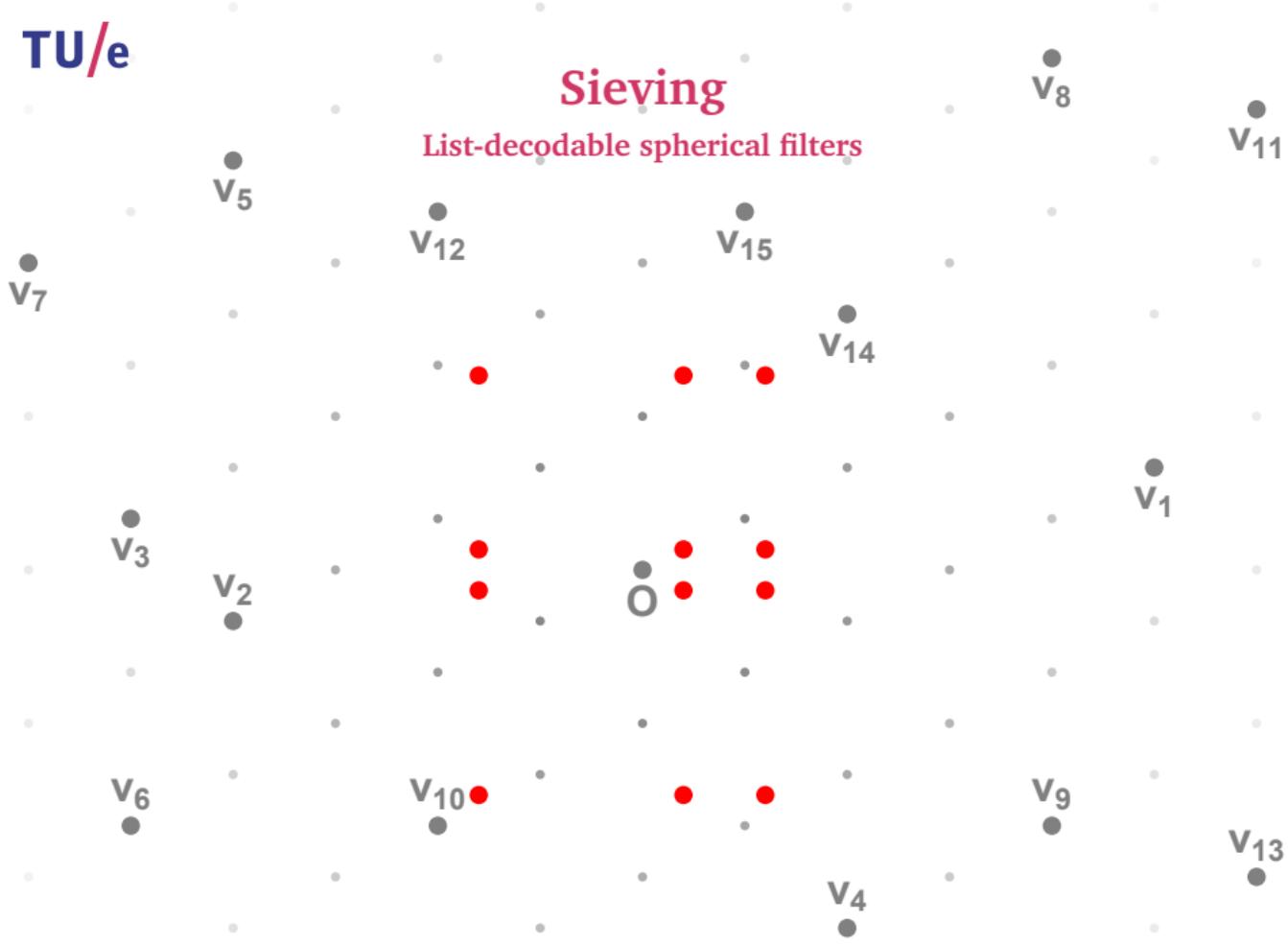
# Sieving

List-decodable spherical filters



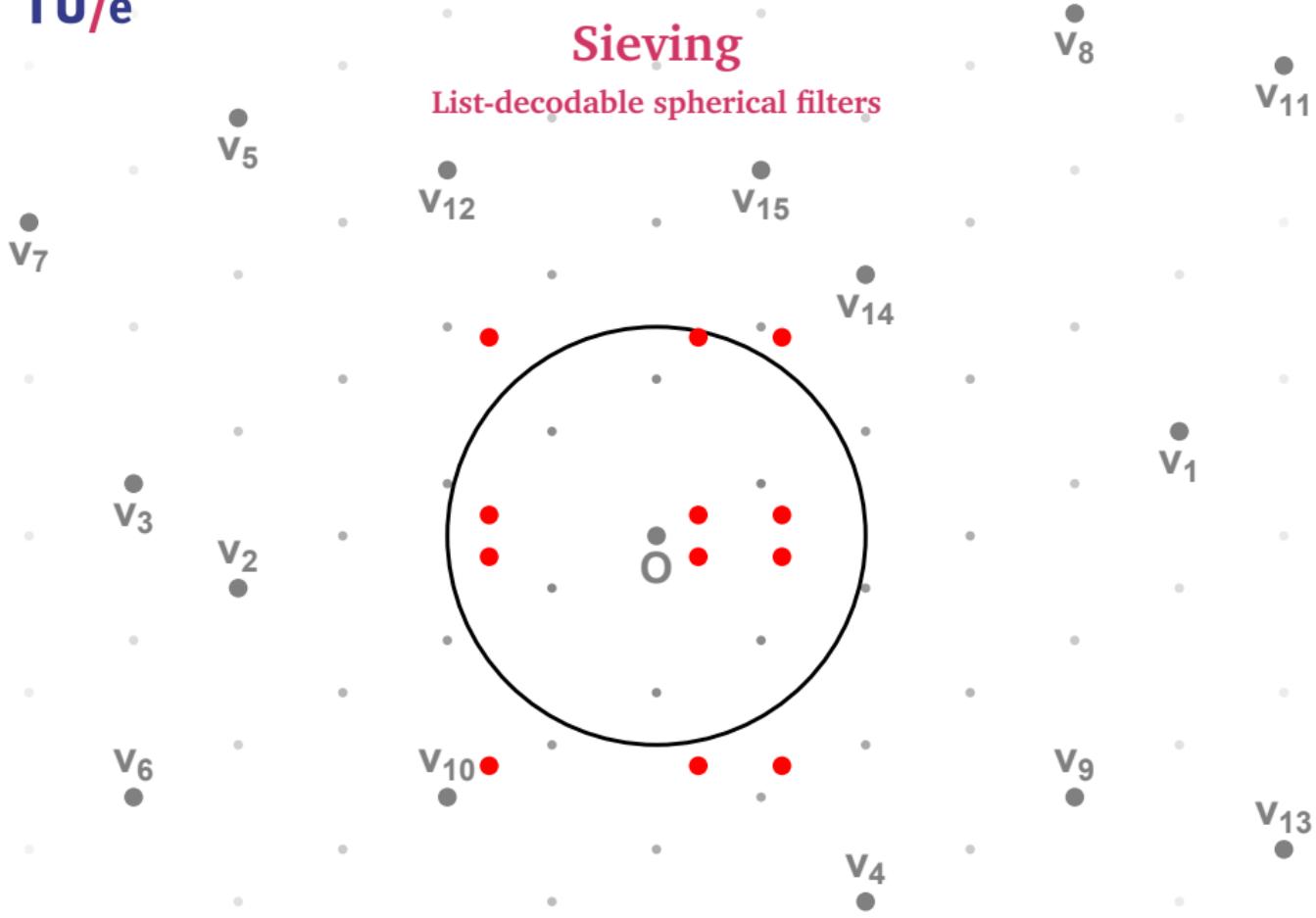
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List-decodable spherical filters



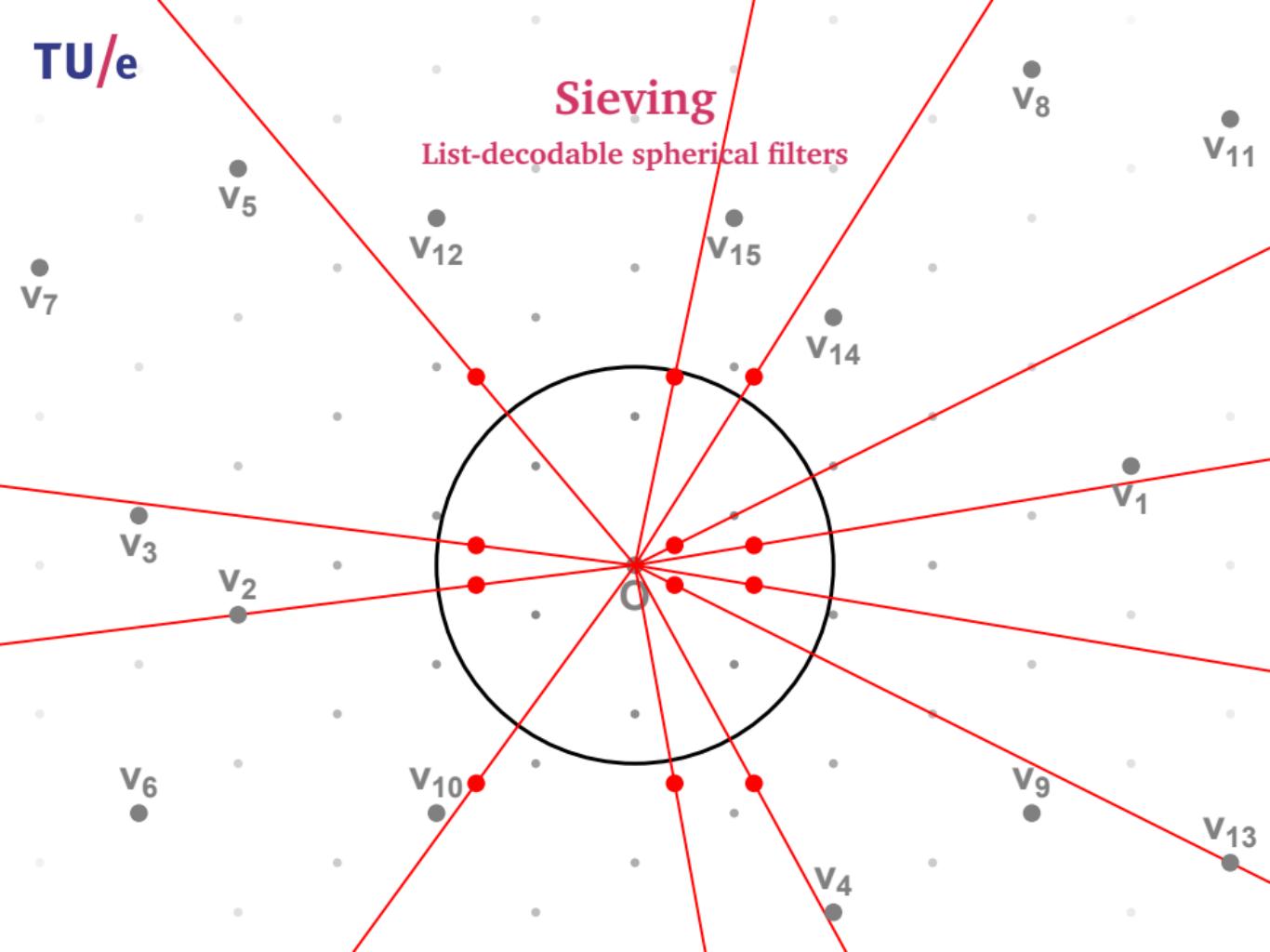
# Sieving

List-decodable spherical filters



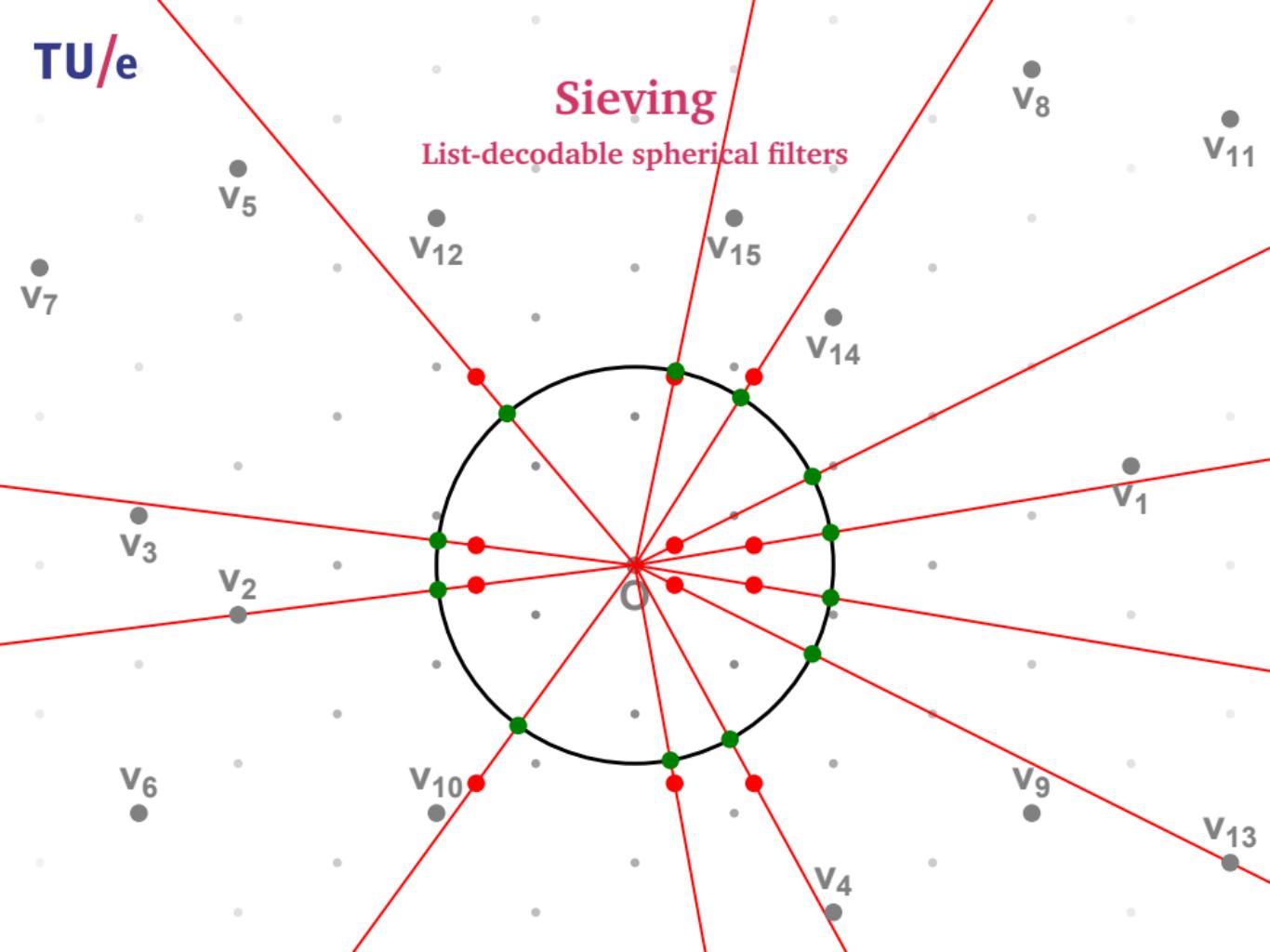
## Sieving

List-decodable spherical filters



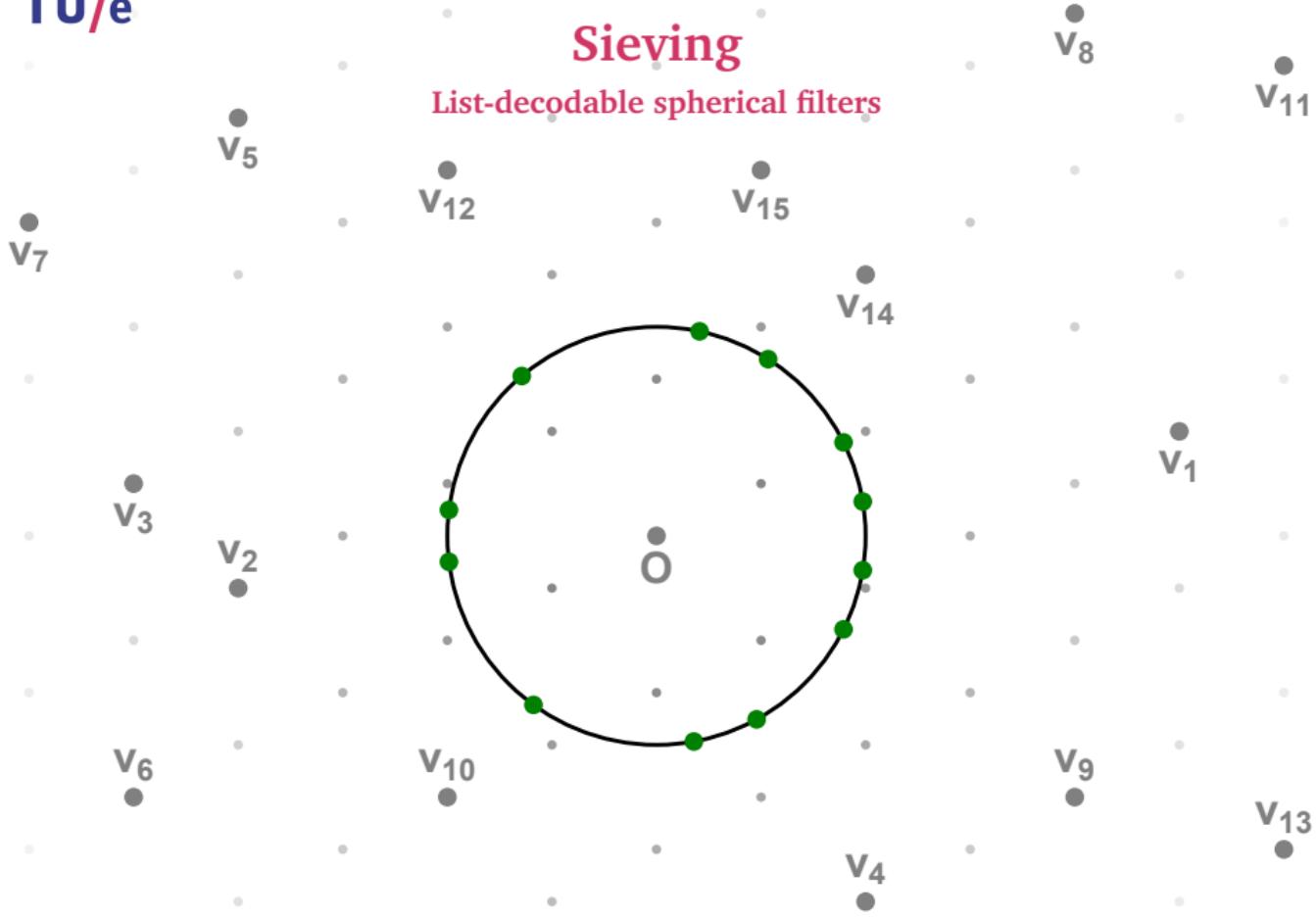
# Sieving

List-decodable spherical filters



# Sieving

List-decodable spherical filters



# Outline

- Lattices

- SVP algorithms

- Enumeration
- Sieving

- SVP hardness

- Theory
- Practice

- Conclusion

# SVP hardness

Theory (March 2019)

Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$
Worst-case SVP	Enumeration [Poh81, Kan83, ..., MW15, AN17]	$O(n \log n)$
	AKS-sieve [AKS01, NV08, MV10, HPS11]	$3.398n$
	ListSieve [MV10, MDB14]	$3.199n$
	Birthday sieves [PS09, HPS11]	$2.465n$
	Enumeration/DGS hybrid [CCL17]	$2.048n$
	Voronoi cell algorithm [AEVZ02, MV10b]	$2.000n$
	Quantum sieve [LMP13, LMP15]	$1.799n$
	Quantum enum/DGS [CCL17]	$1.256n$
Average-case SVP	Discrete Gaussian sampling [ADRS15, ADS15, AS18]	<b>1.000n</b>
	The Nguyen–Vidick sieve [NV08]	$0.415n$
	GaussSieve [MV10, ..., IKMT14, BNvdP16, YKCY17]	$0.415n$
	Triple sieve [BLS16, HK17]	$0.396n$
	Two-level sieve [WLTB11]	$0.384n$
	Kleinjung sieve [Kle14]	$0.379n$
	Three-level sieve [ZPH13]	$0.378n$
	Overlattice sieve [BGJ14]	$0.377n$
	Triple sieve with NNS [HK17, HKL18]	$0.359n$
	Single filters [DL17, ADH+19]	$0.349n$
	Hyperplane LSH [Cha02, FBB+14, Laa15, ..., LM18]	$0.337n$
	Graph-based NNS [EPY99, DCL11, MPLK14, Laa18]	$0.327n$
	Hypercube LSH [TT07, Laa17]	$0.322n$
	May–Ozerov NNS [MO15, BGJ15]	$0.311n$
	Quantum sieve [LMP13]	$0.311n$
	Spherical LSH [AINR14, LdW15]	$0.297n$
	Cross-polytope LSH [TT07, AILRS15, BL16, KW17]	$0.297n$
	Spherical LSF [BDGL16, MLB17, ALRW17, Chr17]	<b>0.292n</b>
	Quantum NNS sieve [LMP15, Laa16]	$0.265n$

# SVP hardness

Theory (March 2019)

Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$
Worst-case SVP	Enumeration [Poh81, Kan83, ..., MW15, AN17]	$O(n \log n)$
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	Birthday sieves [PS09, HPS11]	2.465n
	Enumeration/DGS hybrid [CCL17]	2.048n
	Voronoi cell algorithm [AEVZ02, MV10b]	2.000n
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Average-case SVP	Discrete Gaussian sampling [ADRS15, ADS15, AS18]	<b>1.000n</b>
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	Quantum NNS sieve [LMP15, Laa16]	<b>0.265n</b>
		0.265n

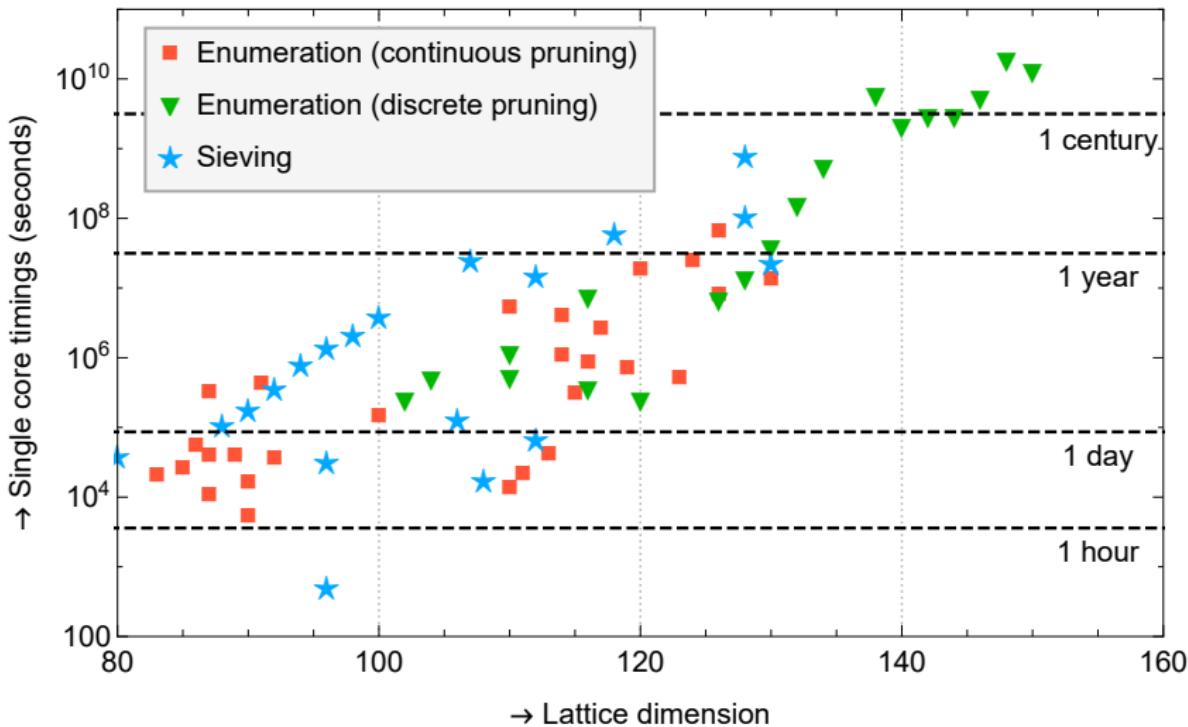
# SVP hardness

Theory (March 2019)

Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$	Max. $n$
Worst-case SVP			
Enumeration [Poh81, Kan83, ..., MW15, AN17]	$O(n \log n)$	$O(\log n)$	150
AKS-sieve [AKS01, NV08, MV10, HPS11]	$3.398n$	$1.985n$	—
ListSieve [MV10, MDB14]	$3.199n$	$1.327n$	70
Birthday sieves [PS09, HPS11]	$2.465n$	$1.233n$	—
Enumeration/DGS hybrid [CCL17]	$2.048n$	$0.500n$	—
Voronoi cell algorithm [AEVZ02, MV10b]	$2.000n$	$1.000n$	40
Quantum sieve [LMP13, LMP15]	$1.799n$	$1.286n$	—
Quantum enum/DGS [CCL17]	$1.256n$	<b>0.500n</b>	—
Discrete Gaussian sampling [ADRS15, ADS15, AS18]	<b>1.000n</b>	$1.000n$	—
Average-case SVP			
The Nguyen–Vidick sieve [NV08]	$0.415n$	$0.208n$	50
GaussSieve [MV10, ..., IKMT14, BNvdP16, YKYC17]	$0.415n$	$0.208n$	130*
Triple sieve [BLS16, HK17]	$0.396n$	$0.189n$	80
Two-level sieve [WLTB11]	$0.384n$	$0.256n$	—
Kleinjung sieve [Kle14]	$0.379n$	$0.189n$	116
Three-level sieve [ZPH13]	$0.378n$	$0.283n$	—
Overlattice sieve [BGJ14]	$0.377n$	$0.293n$	90
Triple sieve with NNS [HK17, HKL18]	$0.359n$	<b>0.189n</b>	76
Single filters [DL17, ADH+19]	$0.349n$	$0.246n$	155
Hyperplane LSH [Cha02, FBB+14, Laa15, ..., LM18]	$0.337n$	$0.337n$	107
Graph-based NNS [EPY99, DCL11, MPLK14, Laa18]	$0.327n$	$0.282n$	—
Hypercube LSH [TT07, Laa17]	$0.322n$	$0.322n$	—
May–Ozerov NNS [MO15, BGJ15]	$0.311n$	$0.311n$	—
Quantum sieve [LMP13]	$0.311n$	$0.208n$	—
Spherical LSH [AINR14, LdW15]	$0.297n$	$0.297n$	—
Cross-polytope LSH [TT07, AILRS15, BL16, KW17]	$0.297n$	$0.297n$	80
Spherical LSF [BDGL16, MLB17, ALRW17, Chr17]	<b>0.292n</b>	$0.292n$	92
Quantum NNS sieve [LMP15, Laa16]	<b>0.265n</b>	$0.265n$	—

## SVP hardness

Practice (July 2017)



# The General Sieve Kernel and New Records in Lattice Reduction

Martin R. Albrecht<sup>1</sup>, Léo Ducas<sup>2</sup>, Gottfried Herold<sup>3</sup>,  
Elena Kirshanova<sup>3</sup>, Eamonn W. Postlethwaite<sup>1</sup>, Marc Stevens<sup>2\*</sup>

<sup>1</sup> Information Security Group, Royal Holloway, University of London

<sup>2</sup> Cryptology Group, CWI, Amsterdam, The Netherlands

<sup>3</sup> ENS Lyon

**Abstract.** We propose the General Sieve Kernel (G6K, pronounced /ʒe.si.ka/), an abstract stateful machine supporting a wide variety of lattice reduction strategies based on sieving algorithms. Using the basic instruction set of this abstract stateful machine, we first give concise formulations of previous sieving strategies from the literature and then propose new ones. We then also give a light variant of BKZ exploiting the features of our abstract stateful machine. This encapsulates several recent suggestions (Ducas at Eurocrypt 2018; Laarhoven and Mariano at PQCrypto 2018) to move beyond treating sieving as a blackbox SVP oracle and to utilise strong lattice reduction as preprocessing for sieving. Furthermore, we propose new tricks to minimise the sieving computation required for a given reduction quality with mechanisms such as recycling vectors between sieves, on-the-fly lifting and flexible insertions akin to Deep LLL and recent variants of Random Sampling Reduction.

Moreover, we provide a highly optimised, multi-threaded and tweakable implementation of this machine which we make open-source. We then illustrate the performance of this implementation of our sieving strategies by applying G6K to various lattice challenges. In particular, our approach allows us to solve previously unsolved instances of the Darmstadt SVP (151, 153, 155) and LWE (e.g. (75, 0.005)) challenges. Our solution for the SVP-151 challenge was found 400 times faster than the time reported for the SVP-150 challenge, the previous record. For exact SVP, we observe a performance crossover between G6K and FPLLL's state of the art implementation of enumeration at dimension 70.

# The General Sieve Kernel and New Records in Lattice Reduction

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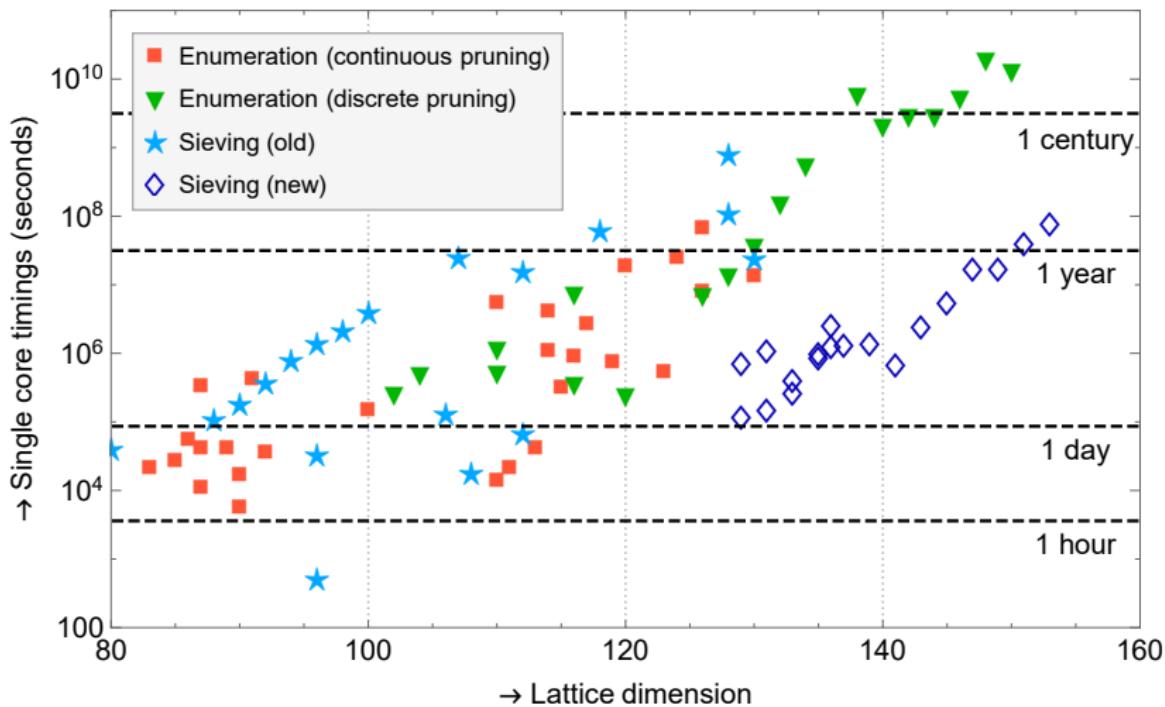
**Abstract.** We propose the General Sieve Kernel (G6K, pronounced /ʒe.si.ka/), an abstract stateful machine supporting a wide variety of lattice reduction strategies based on sieving algorithms. Using the basic instruction set of this abstract stateful machine, we first give concise formulations of previous sieving strategies from the literature and then propose new ones. We then also give a light variant of BKZ exploiting the features of our abstract stateful machine. This encapsulates several recent suggestions (Ducas at Eurocrypt 2018; Laarhoven and Mariano at PQCrypto 2018) to move beyond treating sieving as a blackbox SVP oracle and to utilise strong lattice reduction as preprocessing for sieving. Furthermore, we propose new tricks to minimise the sieving computation required for a given reduction quality with mechanisms such as recycling vectors between sieves, on-the-fly lifting and flexible insertions akin to Deep LLL and recent variants of Random Sampling Reduction.

Moreover, we provide a highly optimised, multi-threaded and tweakable implementation of this machine which we make open-source. We then illustrate the performance of this implementation of our sieving strategies

(151, 153, 155) and LWE (e.g. (75, 0.005)) challenges. Our solution for the SVP-151 challenge was found 400 times faster than the time reported for the SVP-150 challenge, the previous record. For exact SVP, we observe a performance crossover between G6K and FPLLL's state of the art implementation of enumeration at dimension 70.

## SVP hardness

Practice (February 2019)



# SVP hardness

## NIST submissions – Round 1 (December 2017)

Title	S	E	O	Submitters
CRYSTALS–Dilithium	•			Lyubashevsky, Ducas, Kiltz, Lepoint, Schwabe, Seiler, Stehlé
CRYSTALS–Kyber	•			Schwabe, Avanzi, Bos, Ducas, Kiltz, Lepoint, Lyubashevsky, Schanck, ...
Ding Key Exchange	•			Ding, Takagi, Gao, Wang
DRS			•	Plantard, Sipasseuth, Dumondelle, Susilo
(R.)EMBLEM	•			Seo, Park, Lee, Kim, Lee
FALCON	•			Prest, Fouque, Hoffstein, Kirchner, Lyubashevsky, Pornin, Ricosset, ...
FrodoKEM	•			Naehrig, Alkim, Bos, Ducas, Easterbrook, LaMacchia, Longa, Mironov, ...
Giophantus	•			Akiyama, Goto, Okumura, Takagi, Nuida, Hanaoka, Shimizu, Ikematsu
HILA5	•			Saarinen
KCL	•			Zhao, Jin, Gong, Sui
KINDI	•			El Bansarkhani
LAC	•			Lu, Liu, Jia, Xue, He, Zhang
LIMA	•			Smart, Albrecht, Lindell, Orsini, Osheter, Paterson, Peer
Lizard	•			Cheon, Park, Lee, Kim, Song, Hong, Kim, Kim, Hong, Yun, Kim, Park, ...
LOTUS		•		Phong, Hayashi, Aono, Moriai
NewHope	•			Pöppelmann, Alkim, Avanzi, Bos, Ducas, De La Piedra, Schwabe, Stebila
NTRUEncrypt	◦	◦		Zhang, Chen, Hoffstein, Whyte
NTRU-HRSS-KEM	•			Schanck, Hülsing, Rijneveld, Schwabe
NTRU Prime		•		Bernstein, Chuengsatiansup, Lange, Van Vredendaal
Odd Manhattan		•		Plantard
pqNTRUSign	◦	◦		Zhang, Chen, Hoffstein, Whyte
qTESLA	•			Bindel, Akleylek, Alkim, Barreto, Buchmann, Eaton, Gutoski, Krämer, ...
Round2	•			Garcia-Morchon, Zhang, Bhattacharya, Rietman, Tolhuizen, Torre-Arce
SABER	•			D'Anvers, Karmakar, Roy, Vercauteren
Three Bears	•			Hamburg
Titanium	•			Steinfeld, Sakzad, Zhao
<b>Totals:</b>	<b>24</b>	<b>4</b>	<b>2</b>	<b>Total: 26 proposals with SVP hardness estimates</b>

\*Not included in the overview: Compact LWE, Mersenne, Ramstake, ...

# SVP hardness

## NIST submissions – Round 1 (merges)

Title	S	E	O	Submitters
CRYSTALS-Dilithium	•			Lyubashevsky, Ducas, Kiltz, Lepoint, Schwabe, Seiler, Stehlé
CRYSTALS-Kyber	•			Schwabe, Avanzi, Bos, Ducas, Kiltz, Lepoint, Lyubashevsky, Schanck, ...
Ding Key Exchange	•			Ding, Takagi, Gao, Wang
DRS		•		Plantard, Sipasseuth, Dumondelle, Susilo
(R.)EMBLEM	•			Seo, Park, Lee, Kim, Lee
FALCON	•			Prest, Fouque, Hoffstein, Kirchner, Lyubashevsky, Pornin, Ricosset, ...
FrodoKEM	•			Naehrig, Alkim, Bos, Ducas, Easterbrook, LaMacchia, Longa, Mironov, ...
Giophantus	•			Akiyama, Goto, Okumura, Takagi, Nuida, Hanaoka, Shimizu, Ikematsu
KCL	•			Zhao, Jin, Gong, Sui
KINDI	•			El Bansarkhani
LAC	•			Lu, Liu, Jia, Xue, He, Zhang
LIMA	•			Smart, Albrecht, Lindell, Orsini, Osheter, Paterson, Peer
Lizard	•			Cheon, Park, Lee, Kim, Song, Hong, Kim, Kim, Hong, Yun, Kim, Park, ...
LOTUS		•		Phong, Hayashi, Aono, Moriai
NewHope	•			Pöppelmann, Alkim, Avanzi, Bos, Ducas, De La Piedra, Schwabe, Stebila
NTRU	◦	◦		Zhang, Chen, Hoffstein, Hülsing, Rijneveld, Schanck, Schwabe, Whyte
NTRU Prime		•		Bernstein, Chuengsatiansup, Lange, Van Vredendaal
Odd Manhattan		•		Plantard
pqNTRUSign	◦	◦		Zhang, Chen, Hoffstein, Whyte
qTESLA	•			Bindel, Akleylek, Alkim, Barreto, Buchmann, Eaton, Gutoski, Krämer, ...
Round5	•			Garcia-Morchon, Saarinen, Zhang, Bhattacharya, Rietman, Tolhuizen, ...
SABER	•			D'Anvers, Karmakar, Roy, Vercauteren
Three Bears	•			Hamburg
Titanium	•			Steinfeld, Sakzad, Zhao
<b>Totals:</b>	<b>20</b>	<b>4</b>	<b>2</b>	<b>Total: 24 proposals with SVP hardness estimates</b>

\*Not included in the overview: Compact LWE, Mersenne, Ramstake, ...

# SVP hardness

## NIST submissions – Round 2 (February 2019)

Title	S	E	O	Submitters
CRYSTALS-Dilithium	•			Lyubashevsky, Ducas, Kiltz, Lepoint, Schwabe, Seiler, Stehlé
CRYSTALS-Kyber	•			Schwabe, Avanzi, Bos, Ducas, Kiltz, Lepoint, Lyubashevsky, Schanck, ...
Ding Key Exchange	•			Ding, Takagi, Gao, Wang
DRS			•	Plantard, Sipasseuth, Dumondelle, Susilo
(R.)EMBLEM	•			Seo, Park, Lee, Kim, Lee
FALCON	•			Prest, Fouque, Hoffstein, Kirchner, Lyubashevsky, Pornin, Ricosset, ...
FrodoKEM	•			Naehrig, Alkim, Bos, Ducas, Easterbrook, LaMacchia, Longa, Mironov, ...
Giophantus	•			Akiyama, Goto, Okumura, Takagi, Nuida, Hanaoka, Shimizu, Ikematsu
KCL	•			Zhao, Jin, Gong, Sui
KINDI	•			El Bansarkhani
LAC	•			Lu, Liu, Jia, Xue, He, Zhang
LIMA	•			Smart, Albrecht, Lindell, Orsini, Osheter, Paterson, Peer
Lizard	•			Cheon, Park, Lee, Kim, Song, Hong, Kim, Kim, Hong, Yun, Kim, Park, ...
LOTUS	•			Phong, Hayashi, Aono, Moriai
NewHope	•			Pöppelmann, Alkim, Avanzi, Bos, Ducas, De La Piedra, Schwabe, Stebila
NTRU	○	○		Zhang, Chen, Hoffstein, Hülsing, Rijneveld, Schanck, Schwabe, Whyte
NTRU Prime		•		Bernstein, Chuengsatiansup, Lange, Van Vredendaal
Odd Manhattan			•	Plantard
pqNTRUSign	○	○		Zhang, Chen, Hoffstein, Whyte
qTESLA	•			Bindel, Akleylek, Alkim, Barreto, Buchmann, Eaton, Gutoski, Krämer, ...
Round5	•			Garcia-Morchon, Saarinen, Zhang, Bhattacharya, Rietman, Tolhuizen, ...
SABER	•			D'Anvers, Karmakar, Roy, Vercauteren
Three Bears	•			Hamburg
Titanium	•			Steinfeld, Sakzad, Zhao
<b>Totals:</b>	<b>11</b>	<b>2</b>	<b>0</b>	<b>Total: 12 proposals with SVP hardness estimates</b>

\*Not included in the overview: Compact LWE, Mersenne, Ramstake, ...

# Estimate all the {LWE, NTRU} schemes!



Model	Schemes
$0.292\beta$	CRYSTALS [LDK <sup>+</sup> 17, SAB <sup>+</sup> 17] SABER [DKRV17] Falcon [PFH <sup>+</sup> 17] ThreeBears [Ham17] HILA5 [Saa17]
$0.265\beta$	Titanium [SSZ17] KINDI [Ban17] NTRU HRSS [SHRS17] LAC [LLJ <sup>+</sup> 17] NTRUEncrypt [ZCHW17a] New Hope [PAA <sup>+</sup> 17] pqNTRUSign [ZCHW17b]
$0.292\beta + 16.4$	LIMA [SAL <sup>+</sup> 17]
$0.265\beta + 16.4$	
$0.368\beta$	NTRU HRSS [SHRS17]
$0.2975\beta$	
$0.292\beta + \log(\beta)$	Frodo [NAB <sup>+</sup> 17] KCL [ZjGS17]
$0.265\beta + \log(\beta)$	Lizard [CPL <sup>+</sup> 17] Round2 [GMZB <sup>+</sup> 17]
$0.292\beta + 16.4 + \log(8d)$	Ding Key Exchange [DTGW17] EMBLEM [SPL <sup>+</sup> 17]
$0.265\beta + 16.4 + \log(8d)$	qTESLA [BAA <sup>+</sup> 17]
$0.187\beta \log \beta - 1.019\beta + 16.1$	NTRU HRSS [SHRS17] pqNTRUSign [ZCHW17b] NTRUEncrypt [ZCHW17a]
$\frac{1}{2}(0.187\beta \log \beta - 1.019\beta + 16.1)$	NTRU HRSS [SHRS17]
$0.000784\beta^2 + 0.366\beta - 0.9 + \log(8d)$	NTRU Prime [BCLvV17]
$0.125\beta \log \beta - 0.755\beta + 2.25$	LOTUS [PHAM17]

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# SVP hardness

NIST submissions

Most common hardness estimates:

- Cost of BKZ( $\beta$ )  $\geq$  Cost of SVP( $\beta$ )
- Ignore space complexity, ignore  $o(n)$  in time complexity
- Classical sieving:  $2^{0.292n}$  time [BDGL16]
- Quantum sieving:  $2^{0.265n}$  time [Laa16]
- “Paranoid bound”:  $2^{0.208n}$  time

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  - Quantum sieving:  $2^{0.265n}$  time [Laa16]
  - “Paranoid bound”:  $2^{0.208n}$  time
- Classical lower bound:  $2^{0.277n}$  time

# Conclusion

## Lattice-based cryptography

- Security relies on hardness of finding short vectors
- State-of-the-art approach: BKZ with fast SVP subroutine
- Cost of BKZ dominated by cost of exact SVP algorithm

## SVP algorithms

- Lattice enumeration: Brute-force approach
- Lattice sieving: Memory-intensive approach

## SVP hardness

- Theory: Sieving superior in high dimensions
- Practice: Sieving superior in moderate/high dimensions
- Hardness estimates: Commonly based on sieving

# Questions?

