

Sieving for shortest vectors in lattices using angular locality-sensitive hashing

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(December 1, 2014)

Outline

Lattices

Enumeration algorithms

- Fincke-Pohst enumeration

- Kannan enumeration

- Pruning the enumeration tree

The Voronoi cell algorithm

Sieving algorithms

- Nguyen-Vidick sieve

- Multiple levels

- GaussSieve

Sieving using angular locality-sensitive hashing

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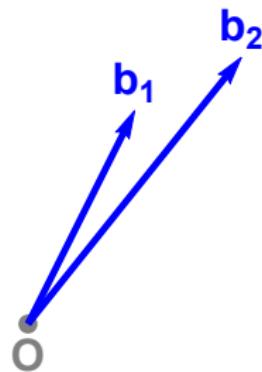
Lattices

What is a lattice?



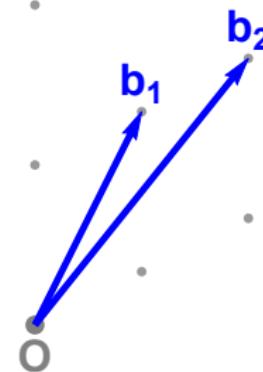
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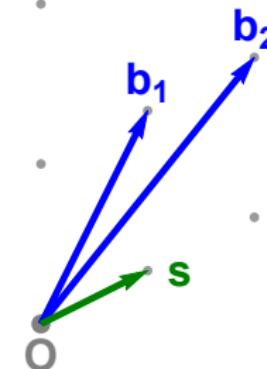
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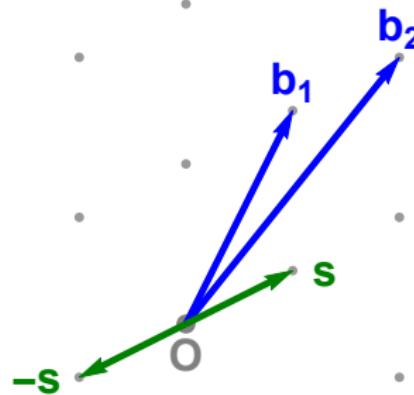
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Shortest Vector Problem (SVP)



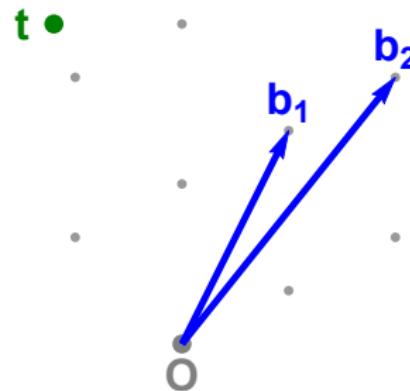
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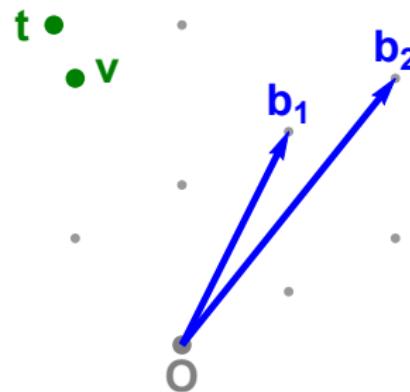
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Lattices

Applications

- “Constructive cryptography”: Lattice-based cryptosystems
 - ▶ Based on hard lattice problems (SVP, CVP)
 - ▶ NTRU cryptosystem [HPS98]
 - ▶ Fully Homomorphic Encryption [Gen09]
 - ▶ Worst-case to average-case reductions [Ajt96]
 - ▶ Candidate for post-quantum cryptography

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 - ▶ Attack knapsack-based cryptosystems [Sha82, LO85]
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 - ▶ Attack lattice-based cryptosystems [Ngu99, JJ00]

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How hard are hard lattice problems such as SVP?

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Overview

Studied since the '80s [Poh81, Kan83, FP85, ..., GNR10, MW14]

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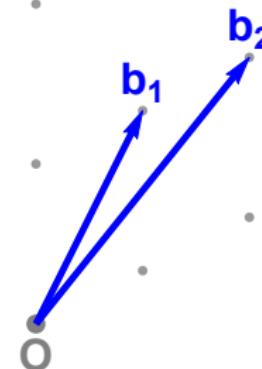
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Recursive: Reduces SVP_n (CVP_n) to several instances of CVP_{n-1}

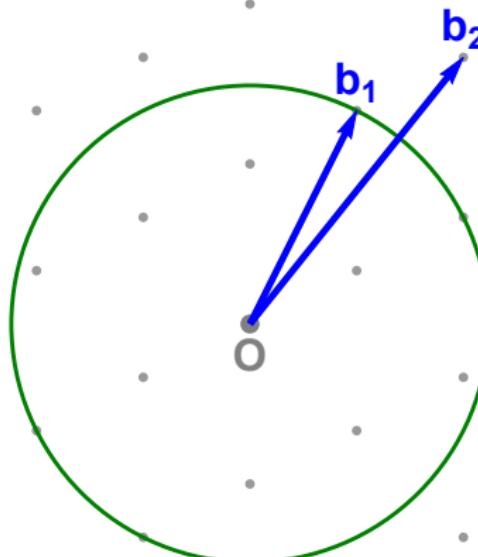
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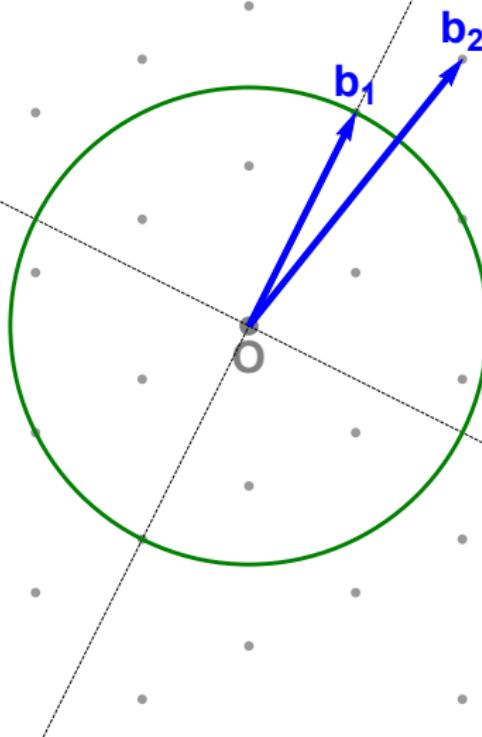
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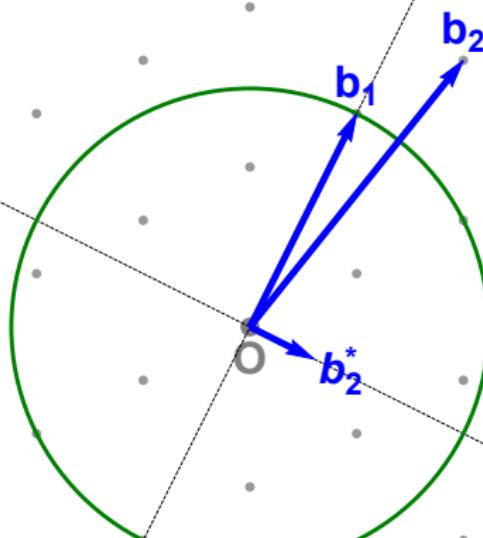
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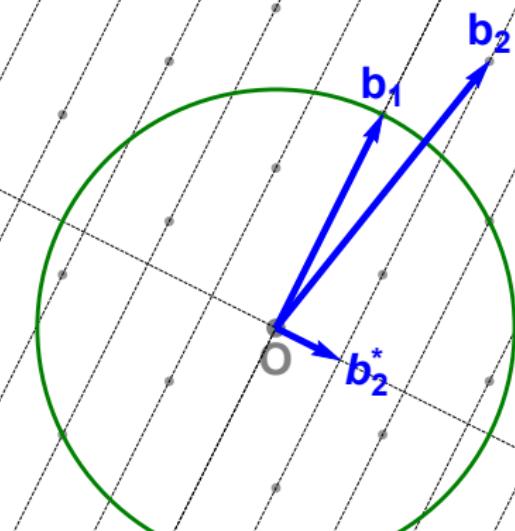
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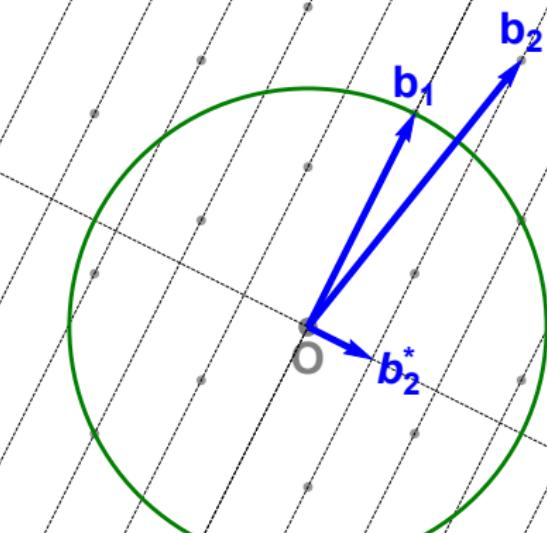
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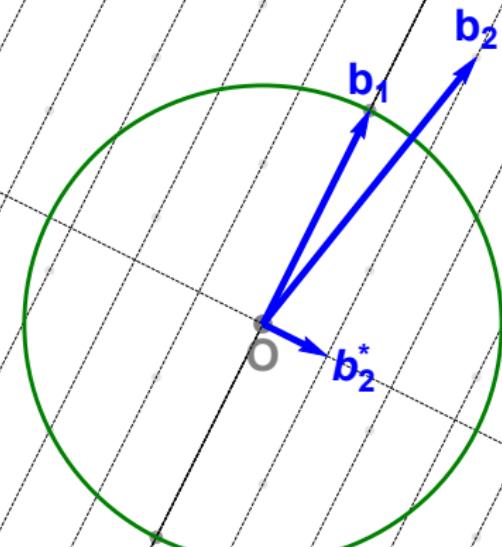
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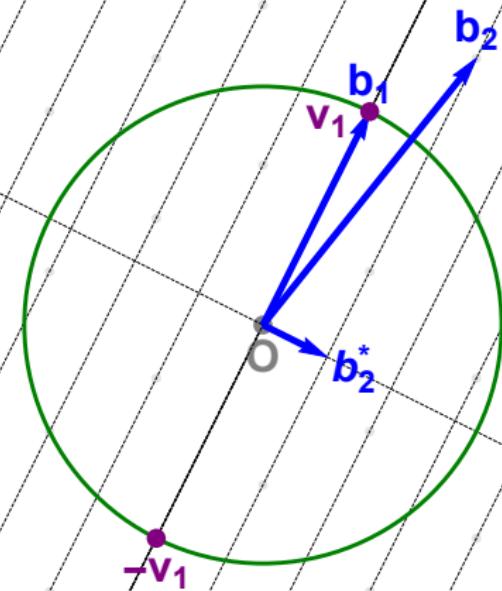
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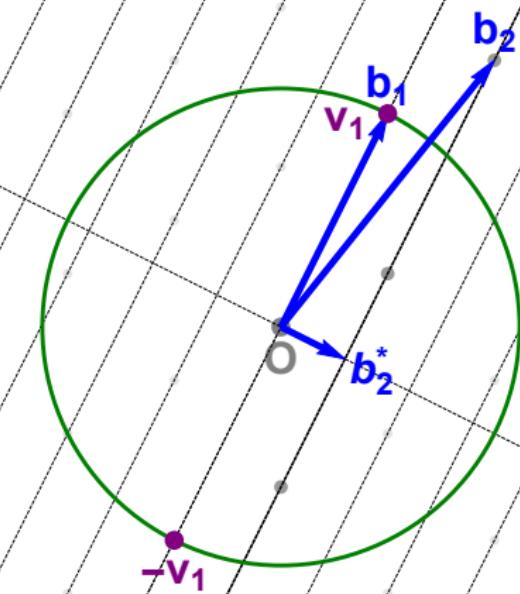
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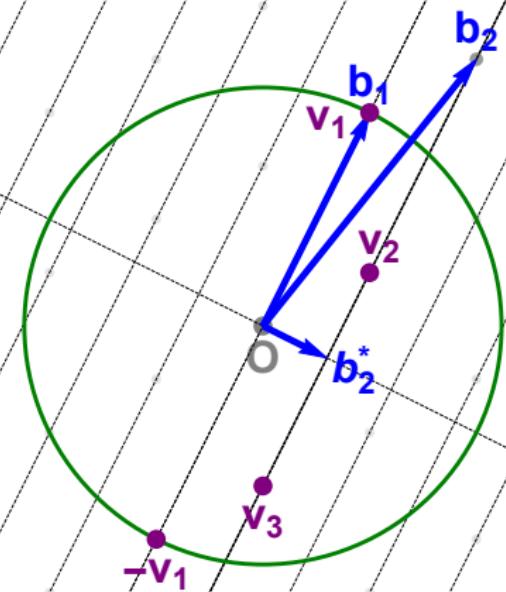
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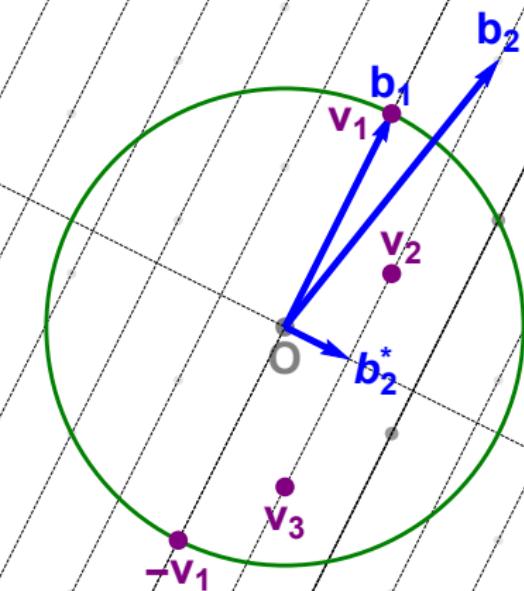
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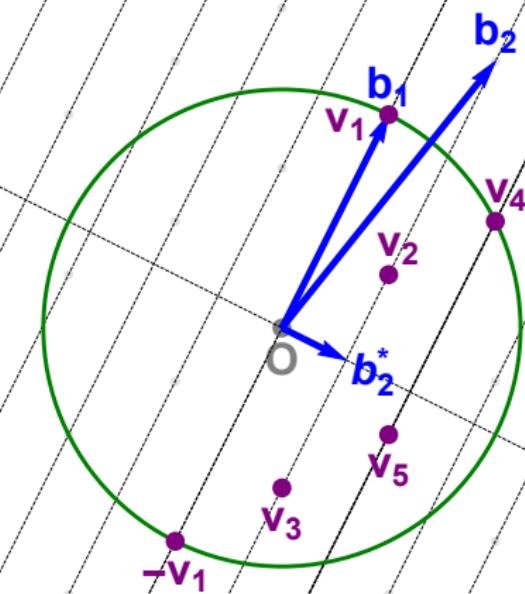
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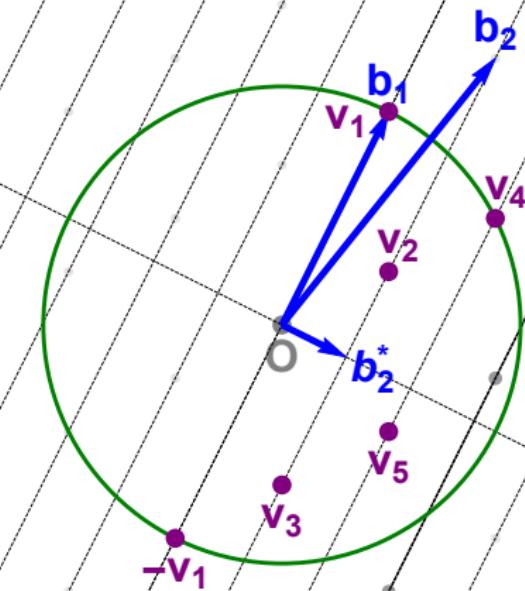
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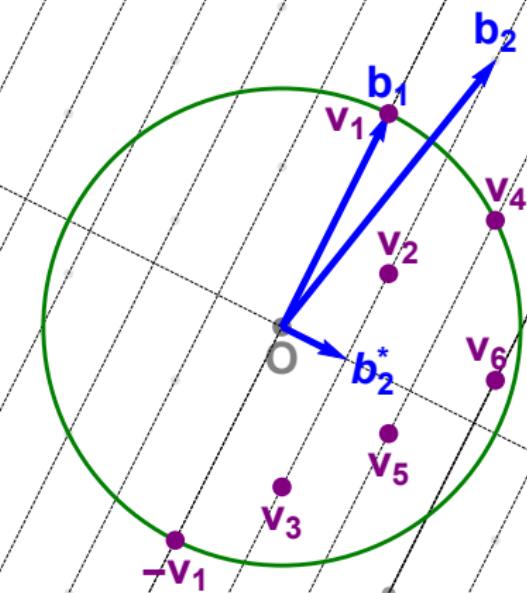
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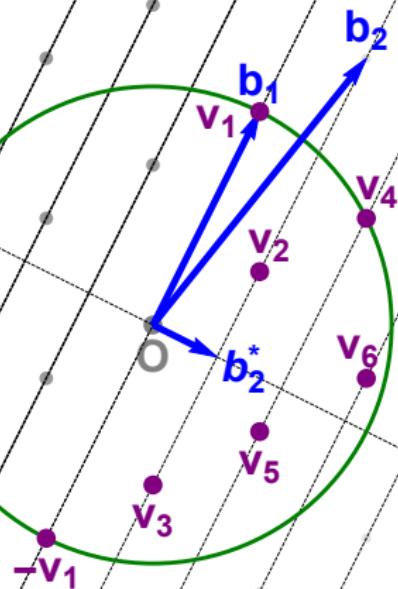
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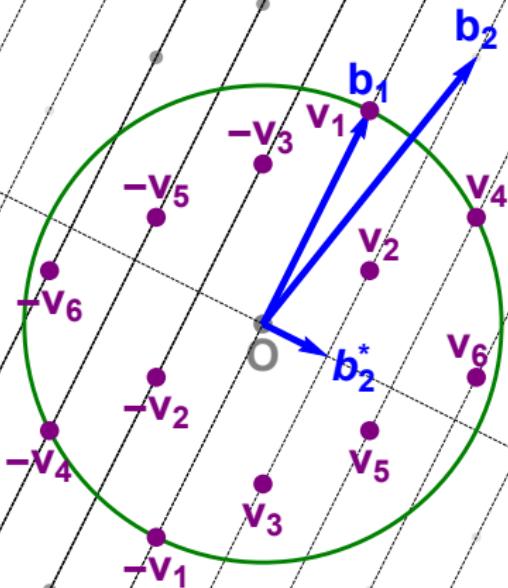
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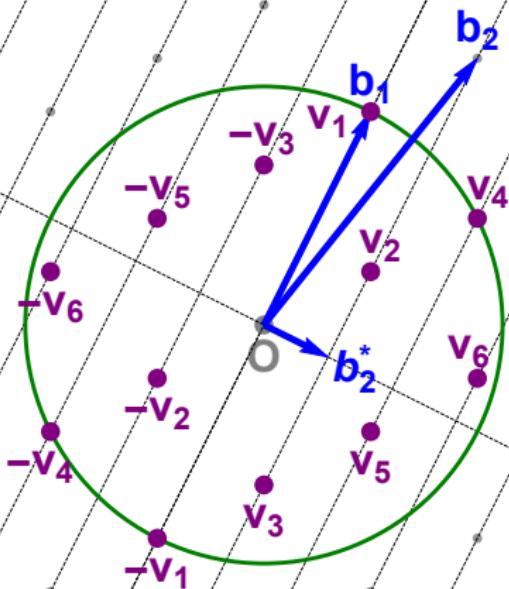
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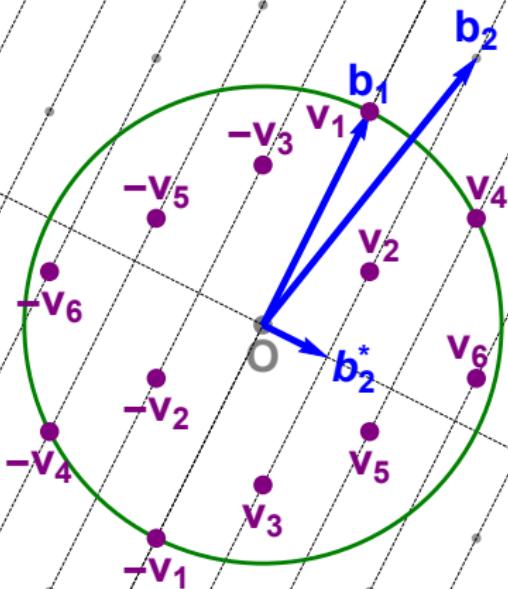
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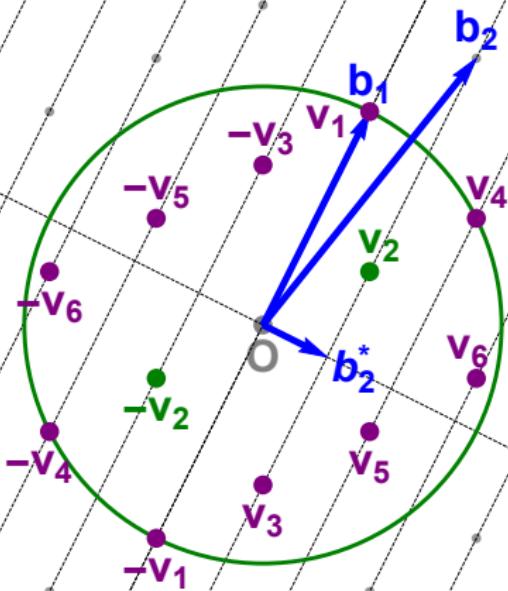
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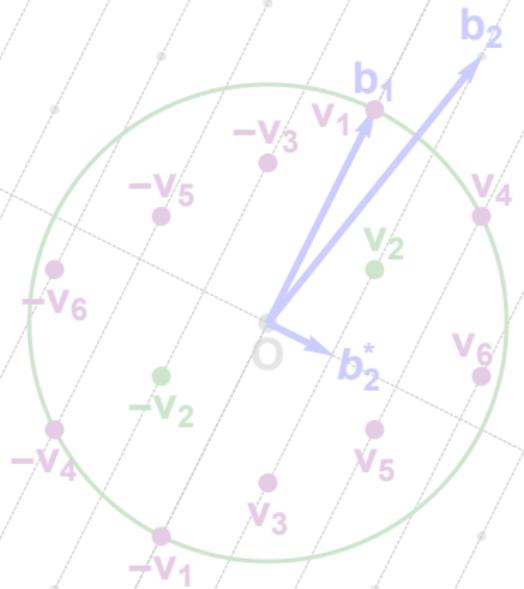
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Fincke-Pohst enumeration

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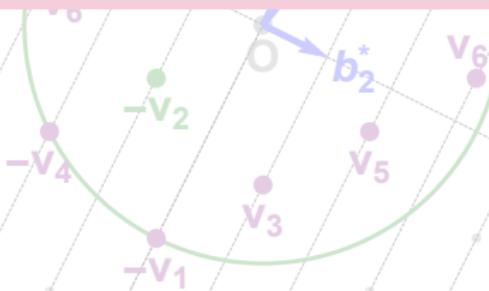


Fincke-Pohst enumeration

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Theorem (Fincke and Pohst, Math. of Comp. '85)

Fincke-Pohst enumeration runs in time $(2^{O(n)})^n = 2^{O(n^2)}$ and space $\text{poly}(n)$.



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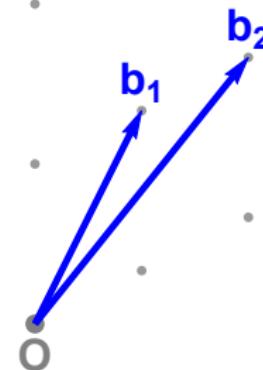
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Essentially reduces SVP_n (CVP_n) to $2^{O(n)}$ instances of CVP_{n-1}

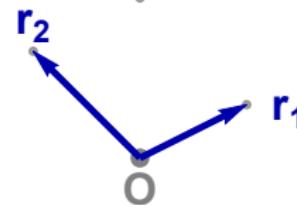
Kannan enumeration

Better bases



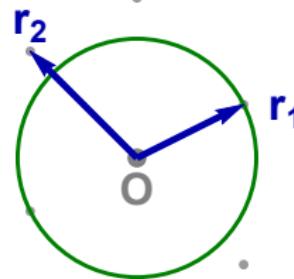
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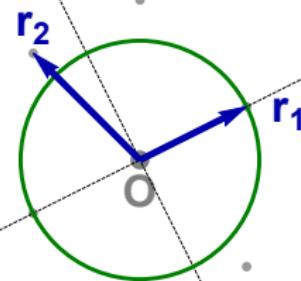
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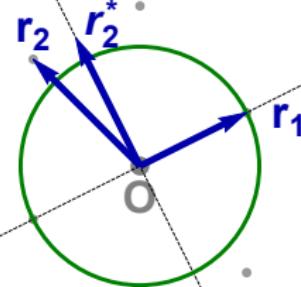
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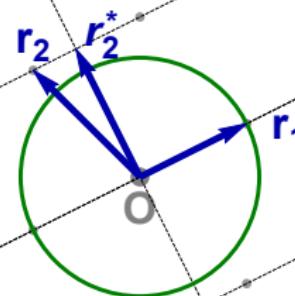
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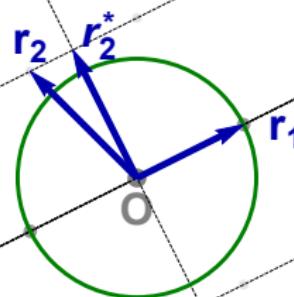
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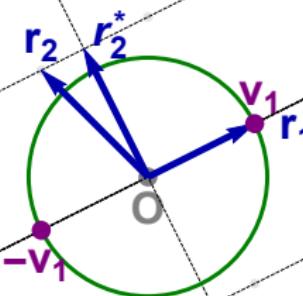
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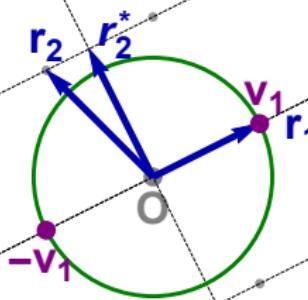
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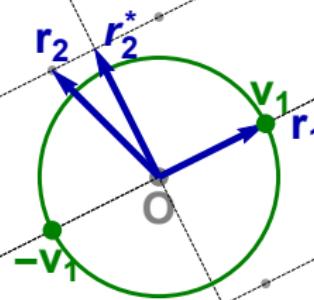
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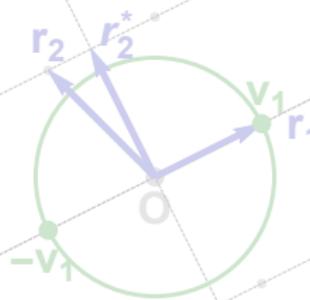
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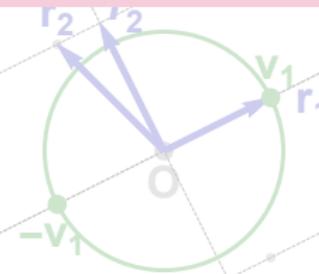


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Kannan enumeration runs in time $2^{O(n \log n)}$ and space $\text{poly}(n)$.

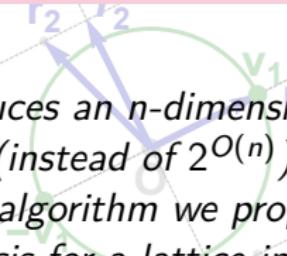


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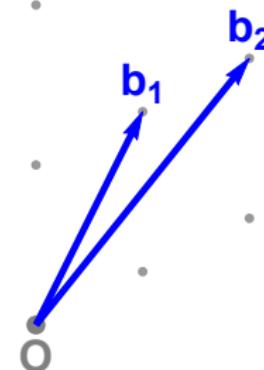


"Our algorithm reduces an n -dimensional problem to polynomially many (instead of $2^{O(n)}$) $(n - 1)$ -dimensional problems. [...] The algorithm we propose, first finds a more orthogonal basis for a lattice in time $2^{O(n \log n)}$."

– Kannan, STOC'83

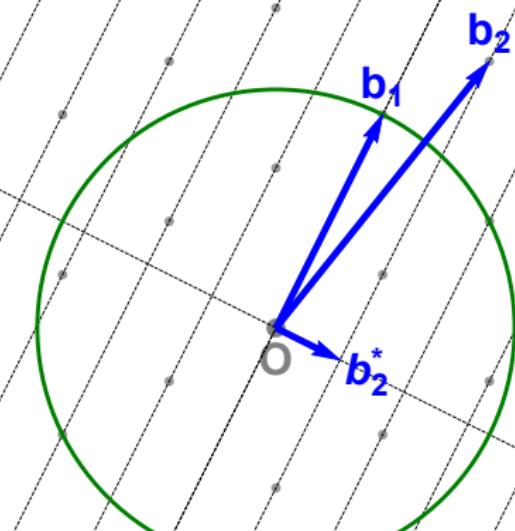
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Reducing the search space



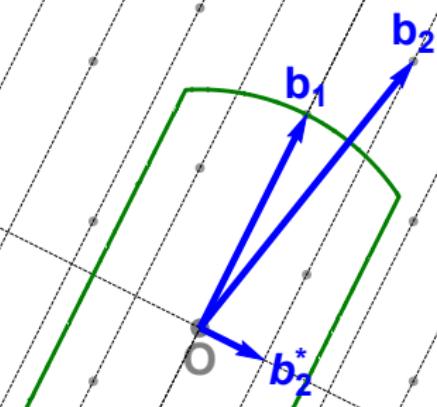
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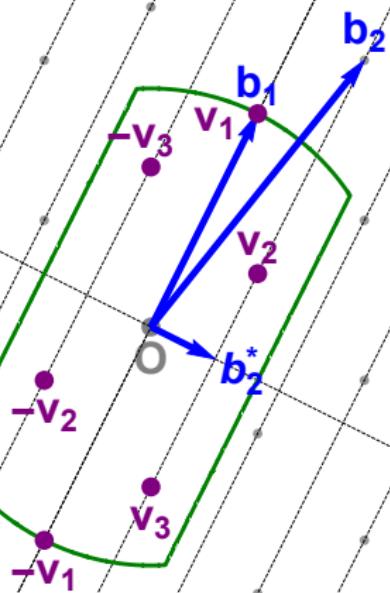
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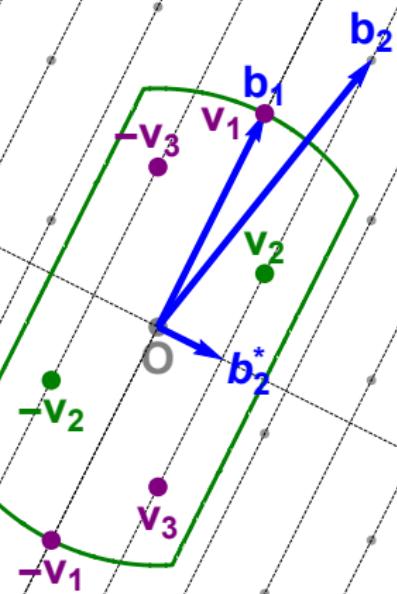
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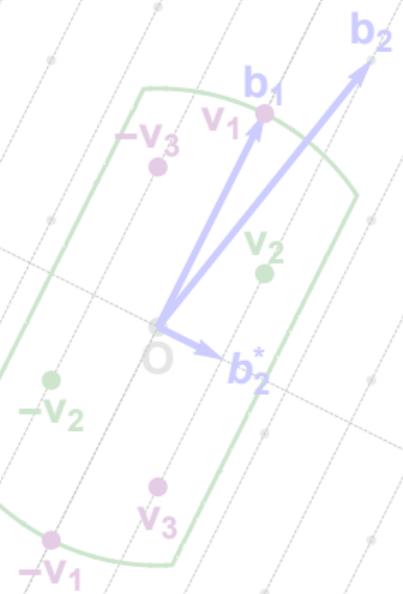
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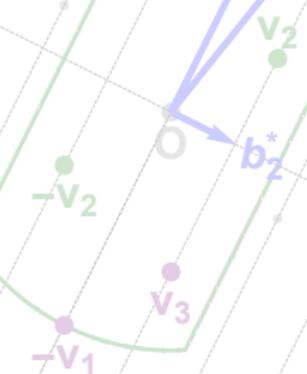


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"Well-chosen bounding functions lead asymptotically to an exponential speedup of about $2^{n/4}$ over basic enumeration, maintaining a success probability $\geq 95\%$."

– Gama et al., EUROCRYPT'10



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"With extreme pruning, the probability of finding the desired vector is actually rather low (say, 0.1%), but surprisingly, the running time of the enumeration is reduced by a much more significant factor (say, much more than 1000)."

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Very recent algorithm [MV10]

Procedure:

1. Construct the Voronoi cell of the lattice \mathcal{L}

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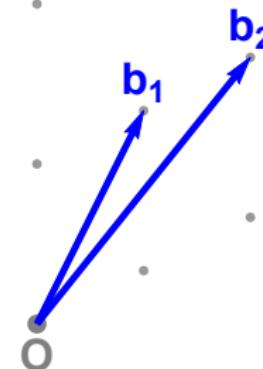
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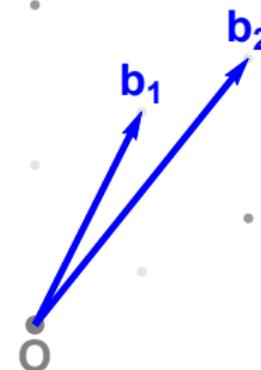
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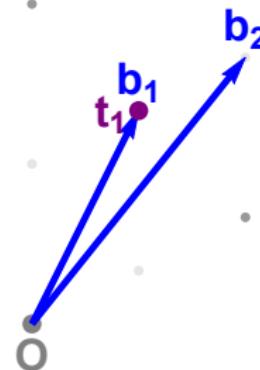
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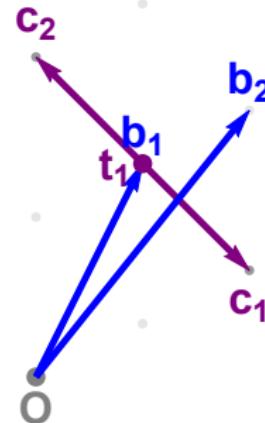
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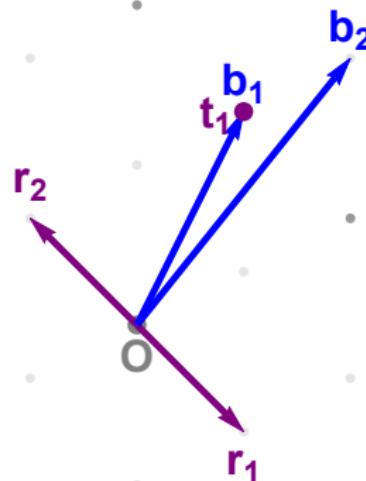
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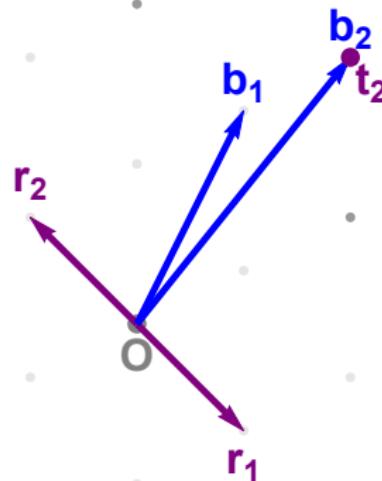
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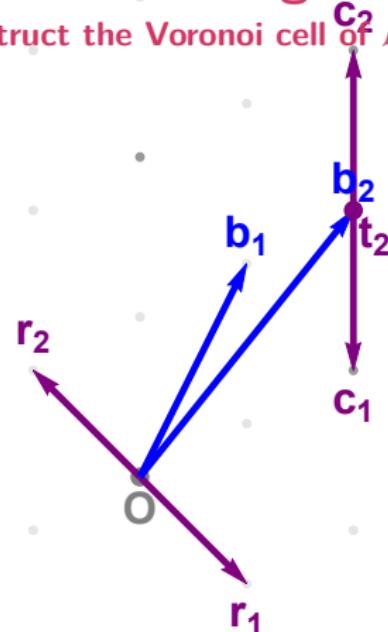
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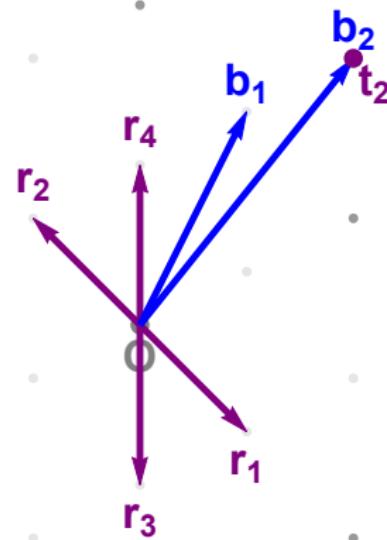
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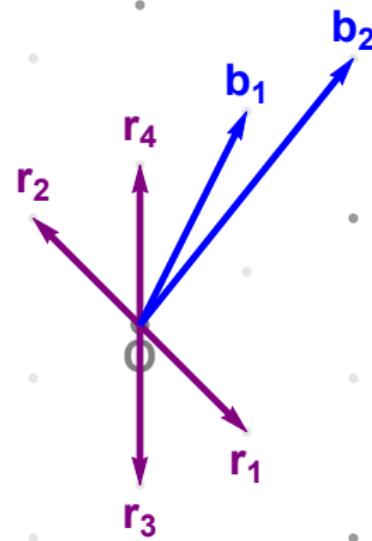
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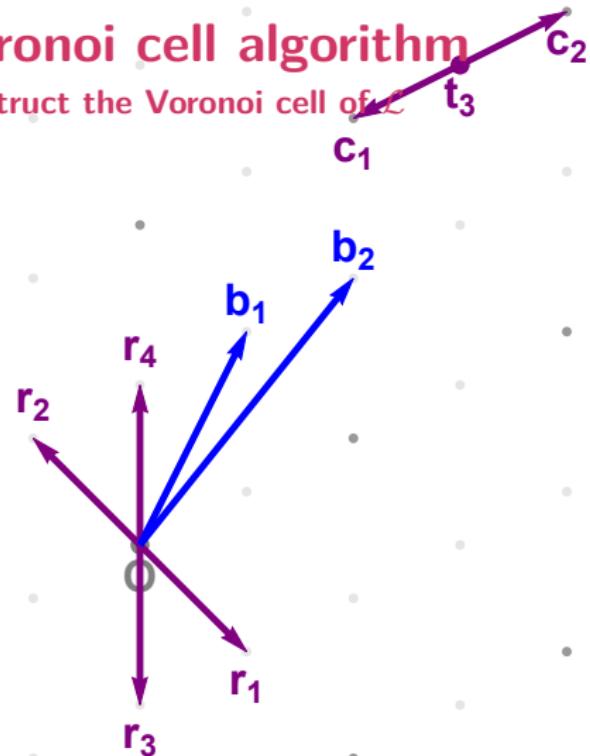
The Voronoi cell algorithm

1. Construct the Voronoi cell of \mathcal{L} t_3



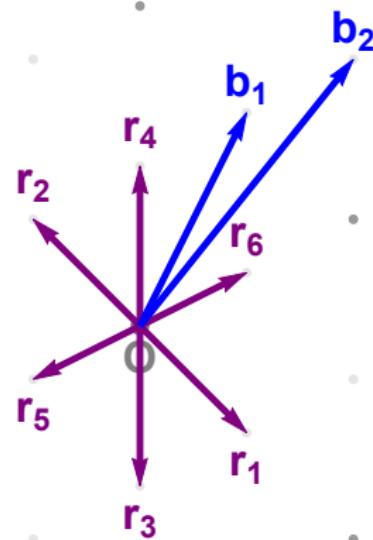
The Voronoi cell algorithm

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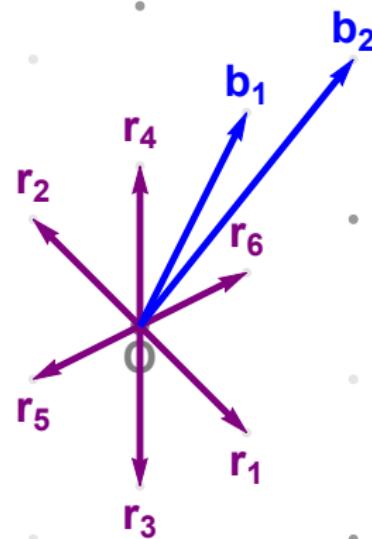
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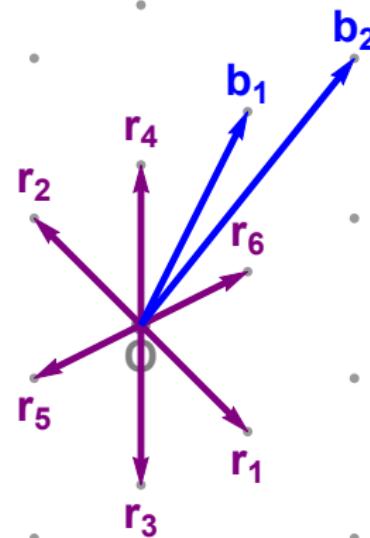
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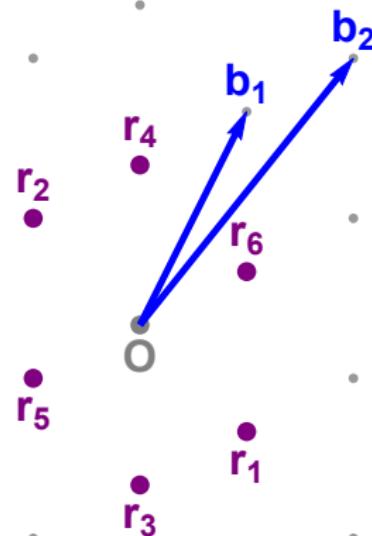
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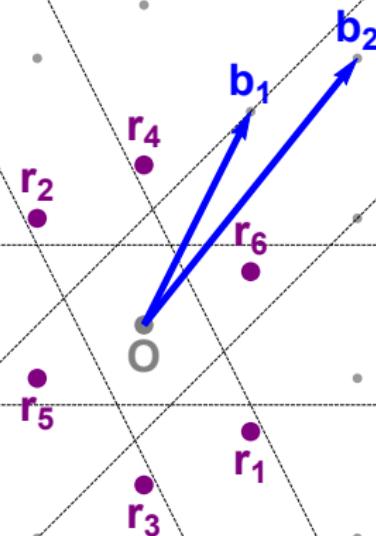
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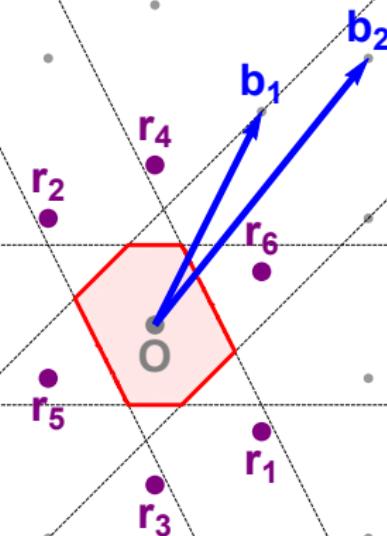
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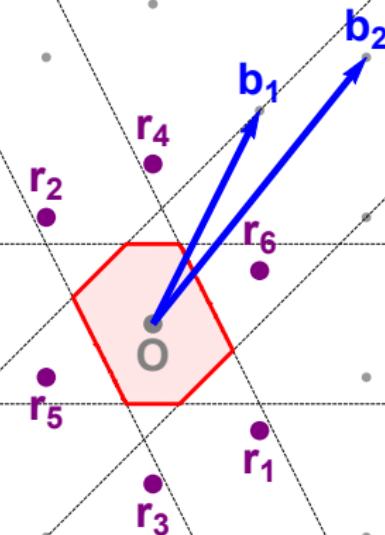
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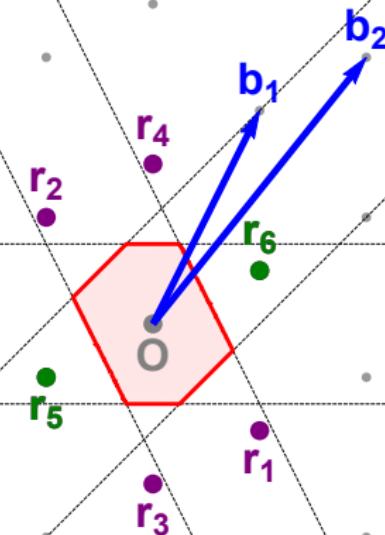
The Voronoi cell algorithm

2. Find a shortest vector among the relevant vectors



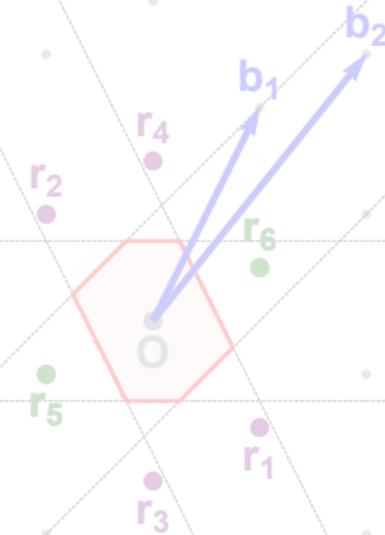
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The Voronoi cell algorithm

Analysis

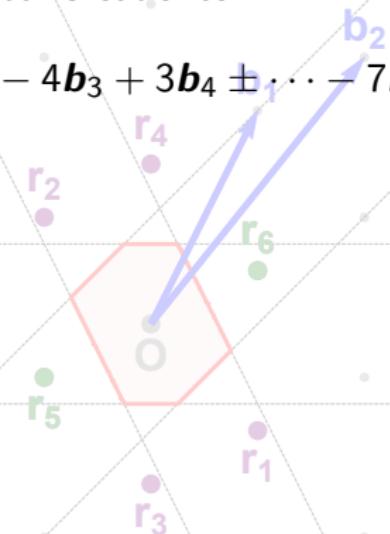


The Voronoi cell algorithm

Analysis

Suppose the shortest vector s satisfies:

$$s = 5\mathbf{b}_1 + 2\mathbf{b}_2 - 4\mathbf{b}_3 + 3\mathbf{b}_4 \pm \dots \mp 7\mathbf{b}_n$$



The Voronoi cell algorithm

Analysis

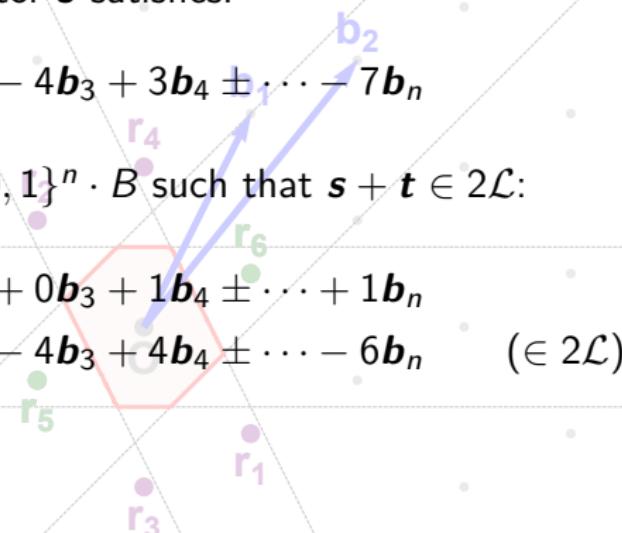
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Choose the vector $t \in \{0, 1\}^n \cdot B$ such that $s + t \in 2\mathcal{L}$:

$$t = 1\mathbf{b}_1 + 0\mathbf{b}_2 + 0\mathbf{b}_3 + 1\mathbf{b}_4 \pm \cdots + 1\mathbf{b}_n$$

$$s + t = 6\mathbf{b}_1 + 2\mathbf{b}_2 - 4\mathbf{b}_3 + 4\mathbf{b}_4 \pm \cdots - 6\mathbf{b}_n \quad (\in 2\mathcal{L})$$



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The vectors closest to t in the lattice $2\mathcal{L}$ are $t \pm s$.

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Theorem (Micciancio and Voulgaris, SODA'10)

The Voronoi cell algorithm runs in time $2^{2n+o(n)}$ and space $2^{n+o(n)}$.

Outline

Lattices

- Enumeration algorithms
 - Fincke-Pohst enumeration
 - Kannan enumeration
- Pruning the enumeration tree.

The Voronoï cell algorithm

Sieving algorithms

- Nguyen-Vidick sieve
- Multiple levels
- GaussSieve

Sieving using angular locality-sensitive hashing

- Nguyen-Vidick sieve
- GaussSieve

Sieving

Studied since 2001 [AKS01, Reg04, NV08, ..., ZPH13, BGJ14]

1. Generate a long list L of random lattice vectors

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1. Generate a long list L of random lattice vectors
2. Split L into two sets C (centers) and R (rest):
 - ▶ Set $C = \emptyset$ and $R = \emptyset$
 - ▶ For each $\mathbf{v} \in L$, find the closest $\mathbf{c} \in C$
 - ▶ If $\|\mathbf{v} - \mathbf{c}\|$ is “large”, add \mathbf{v} to C
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3. Set $L \leftarrow R$ and repeat until L contains a shortest vector

Nguyen-Vidick sieve

1. Sample a list L of random lattice vectors



O

Nguyen-Vidick sieve

1. Sample a list L of random lattice vectors



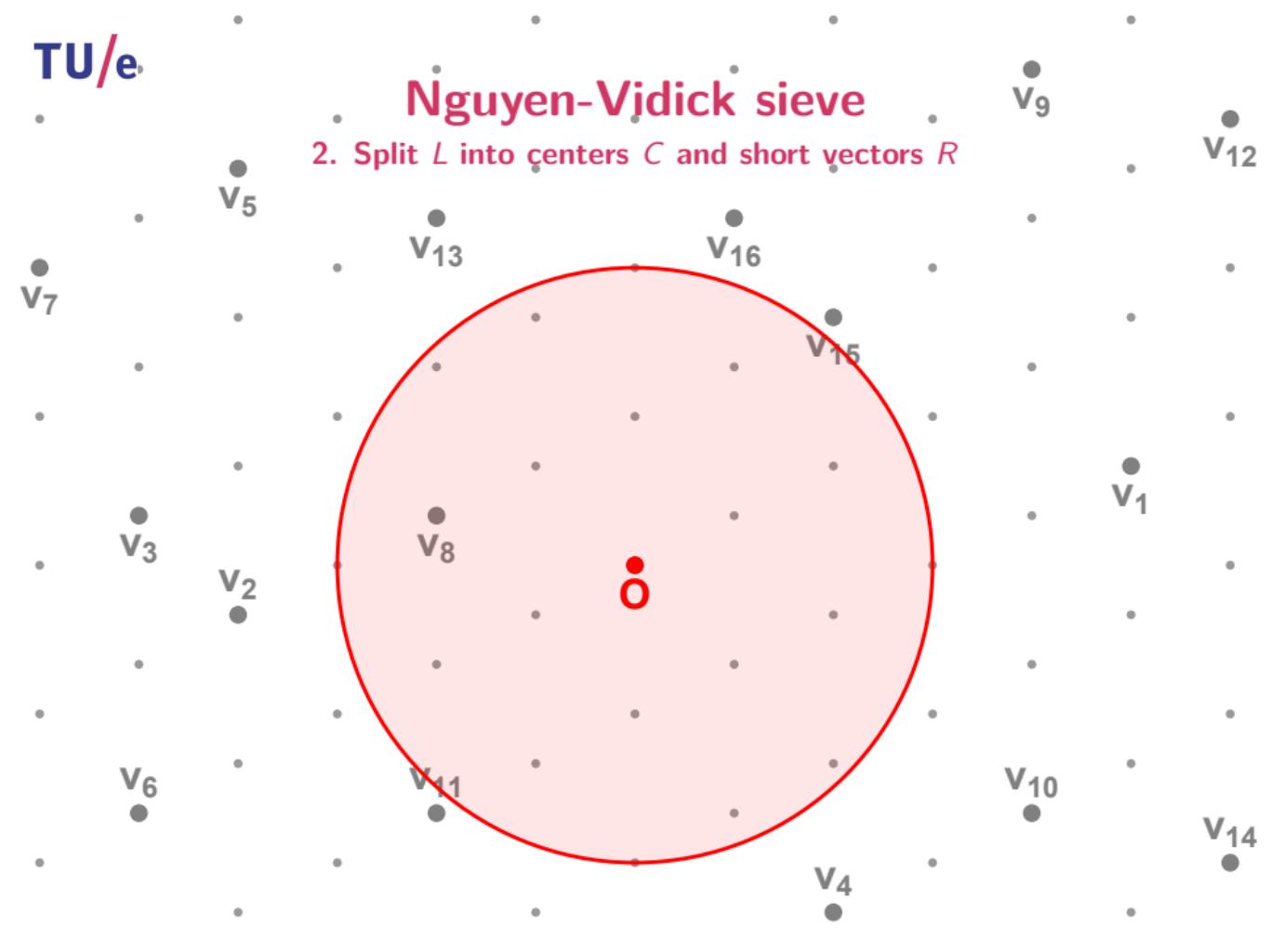
Nguyen-Vidick sieve

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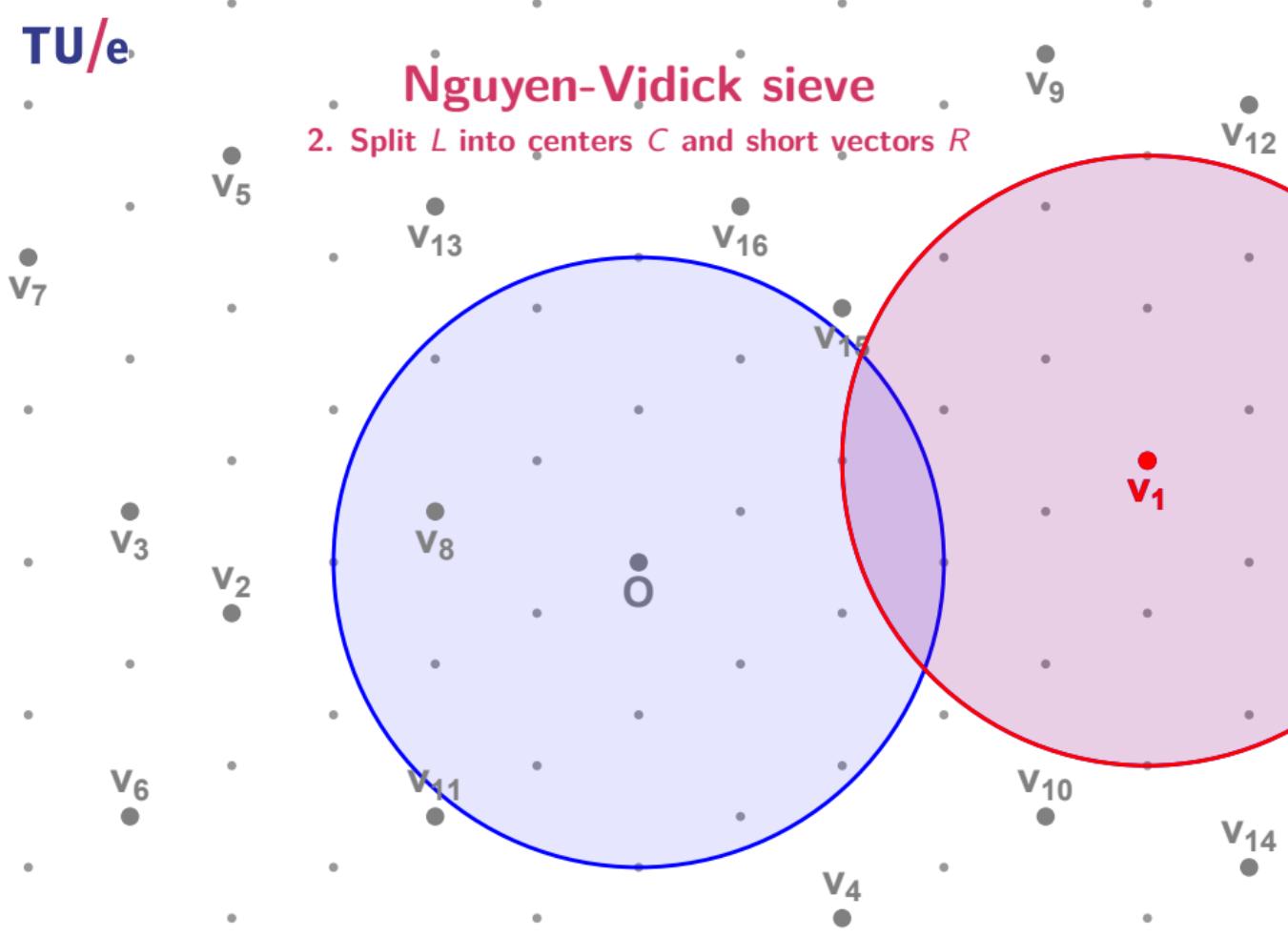
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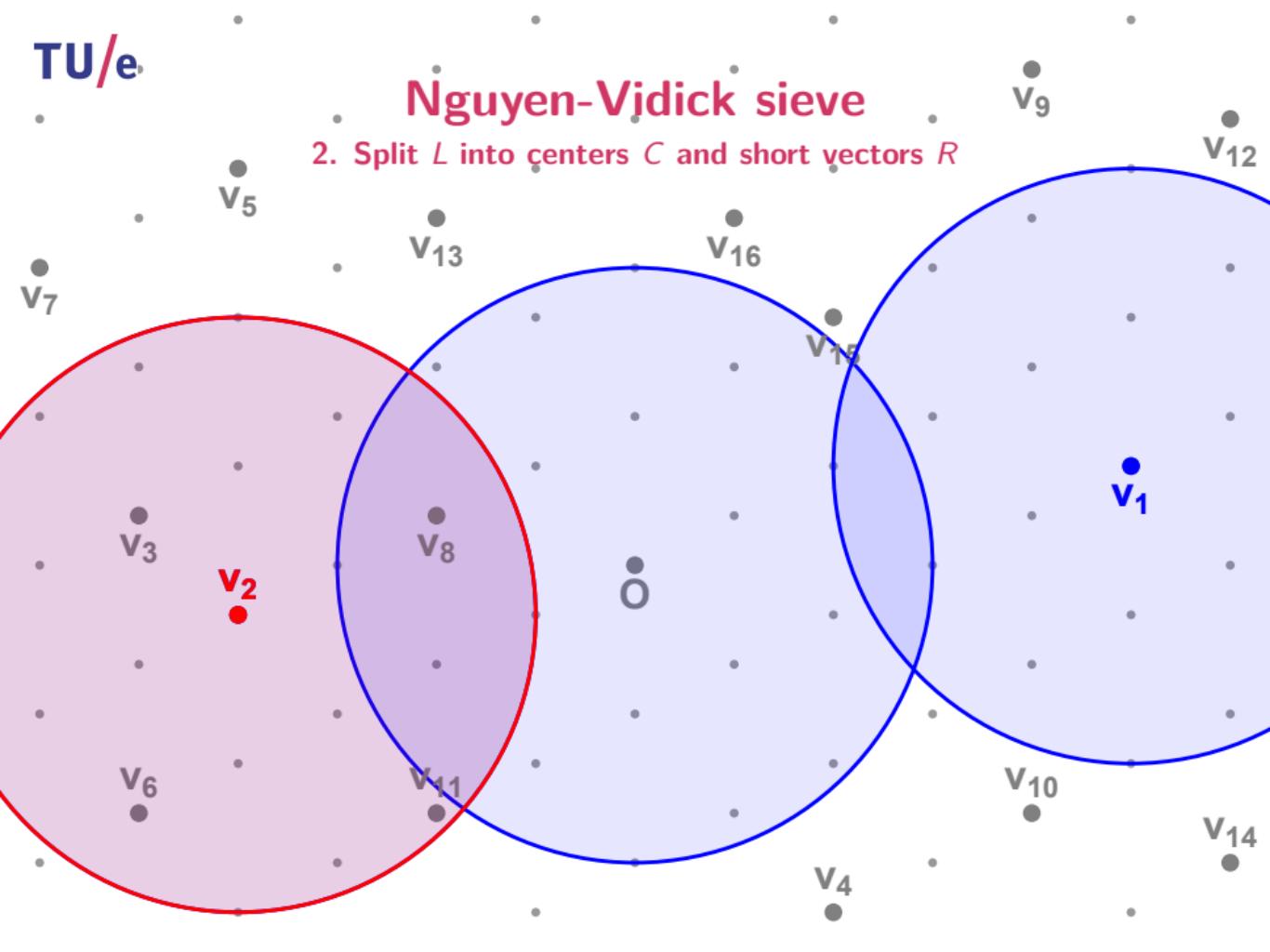
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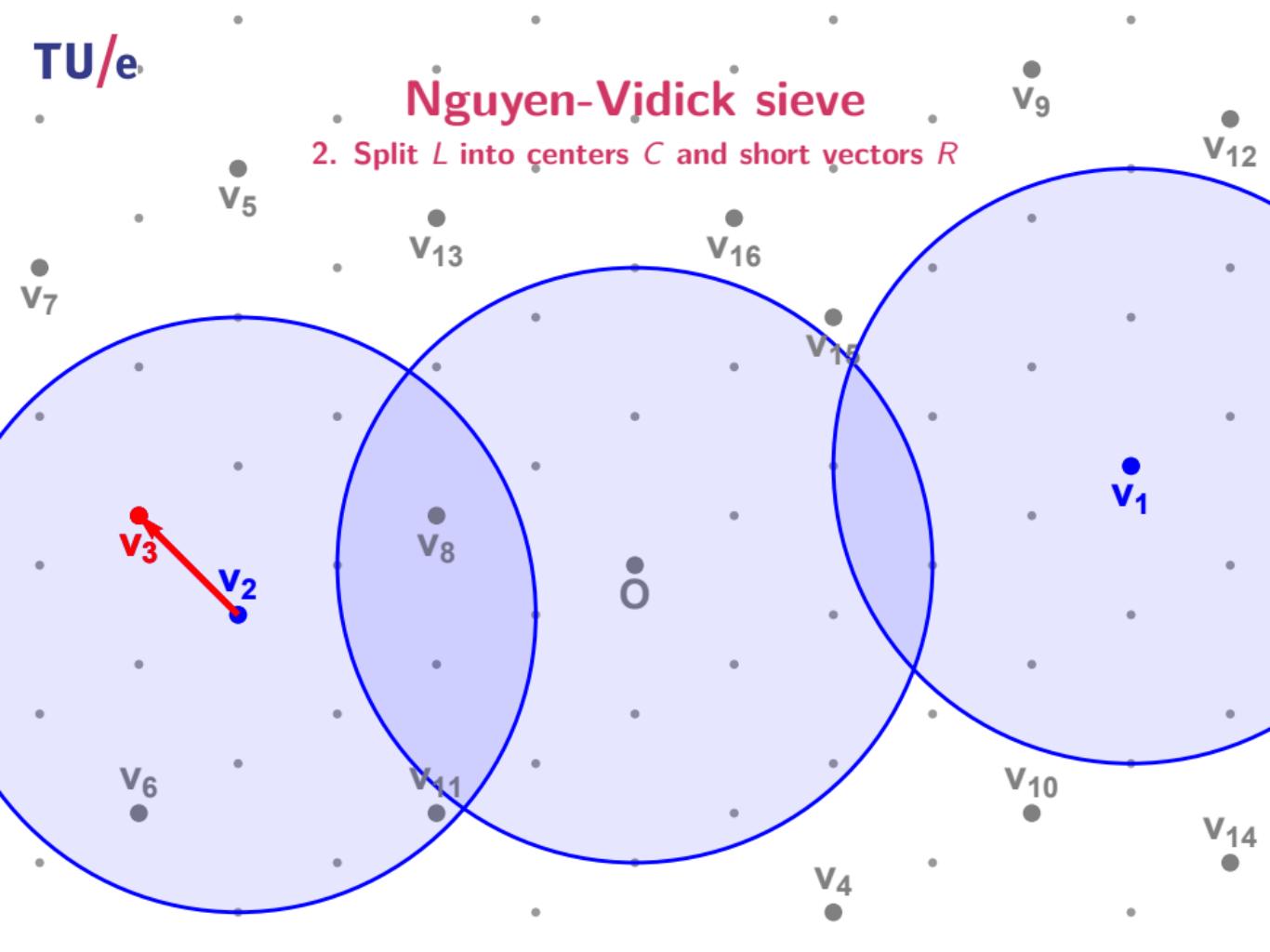
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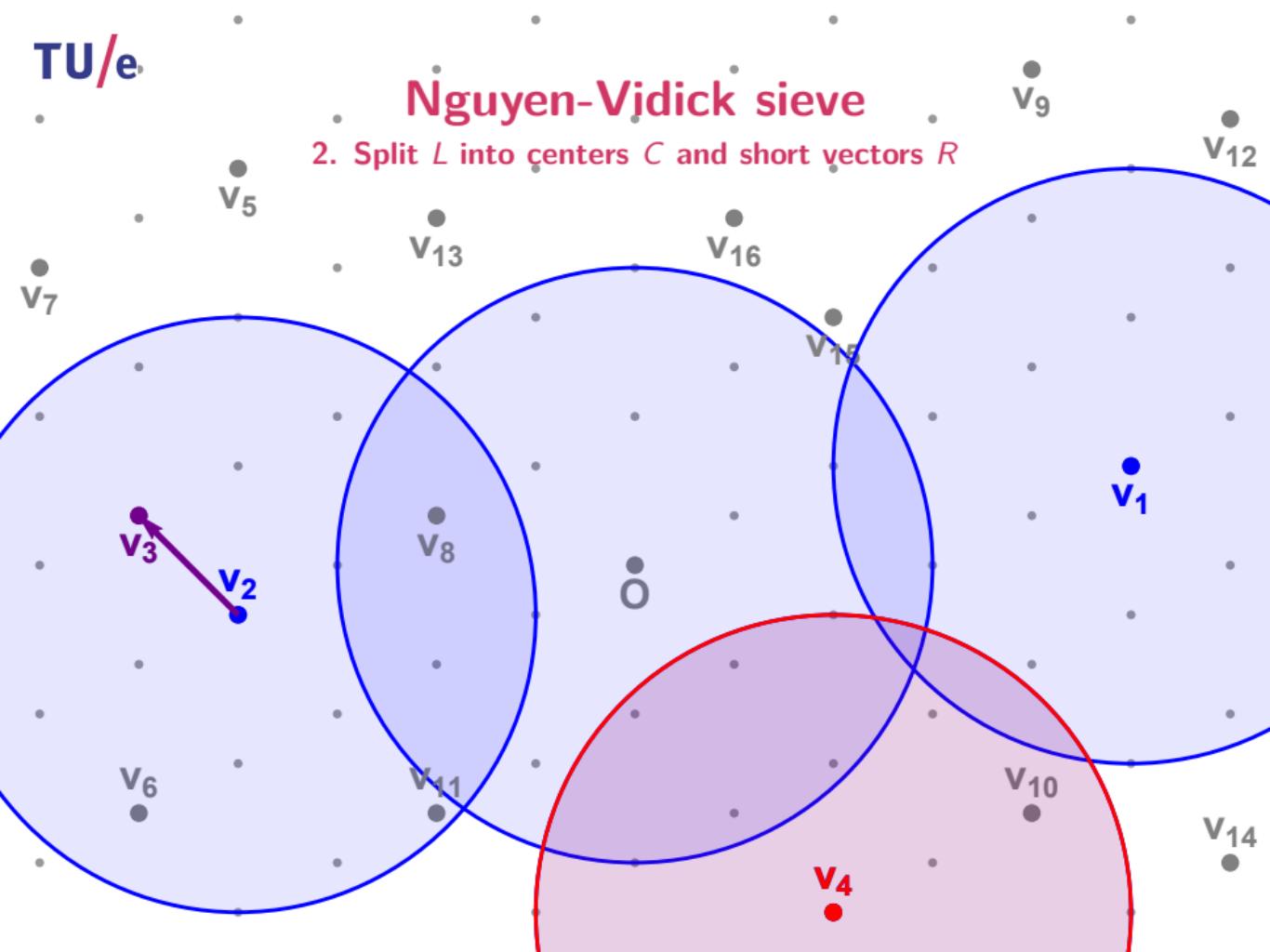
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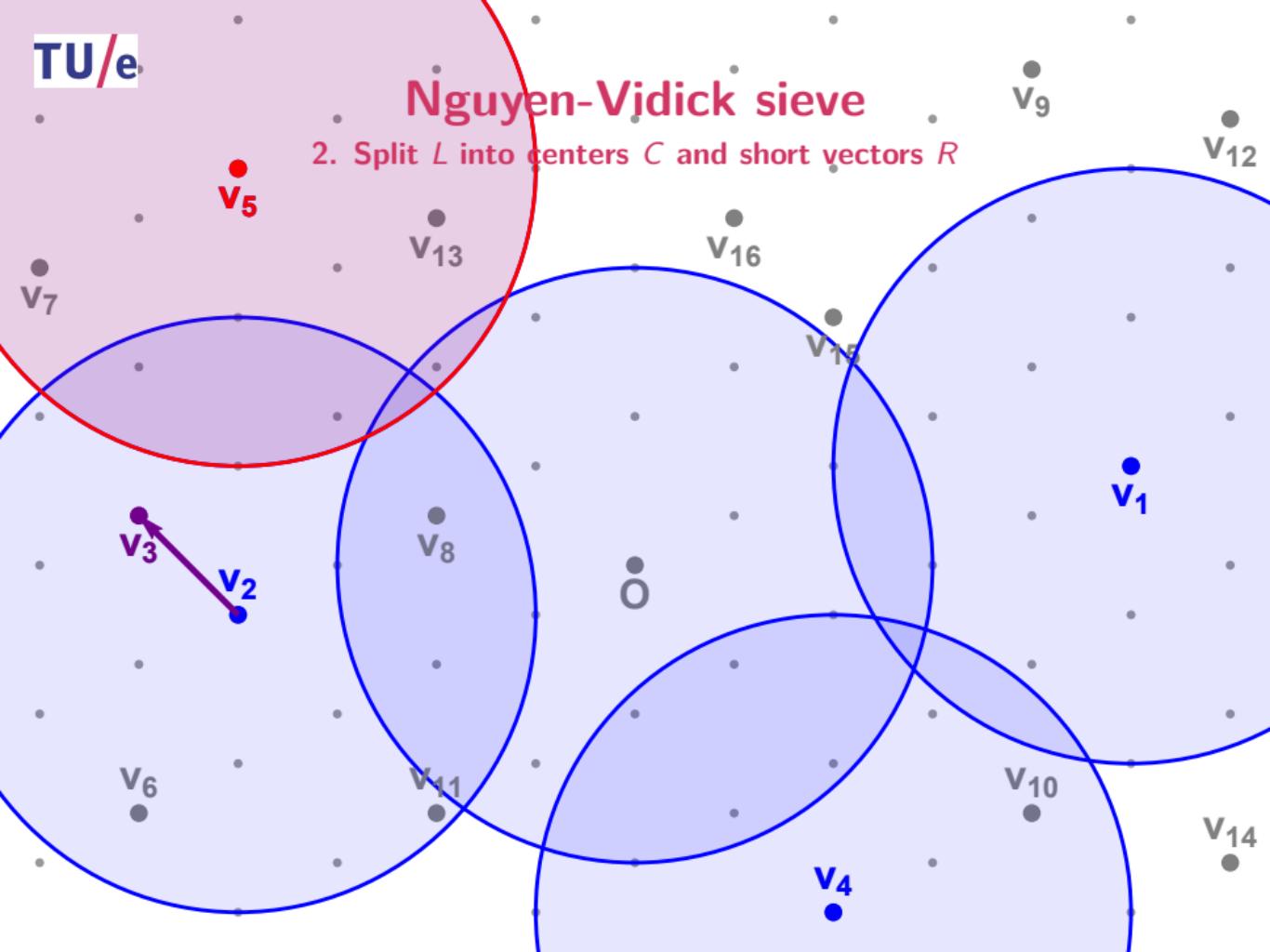
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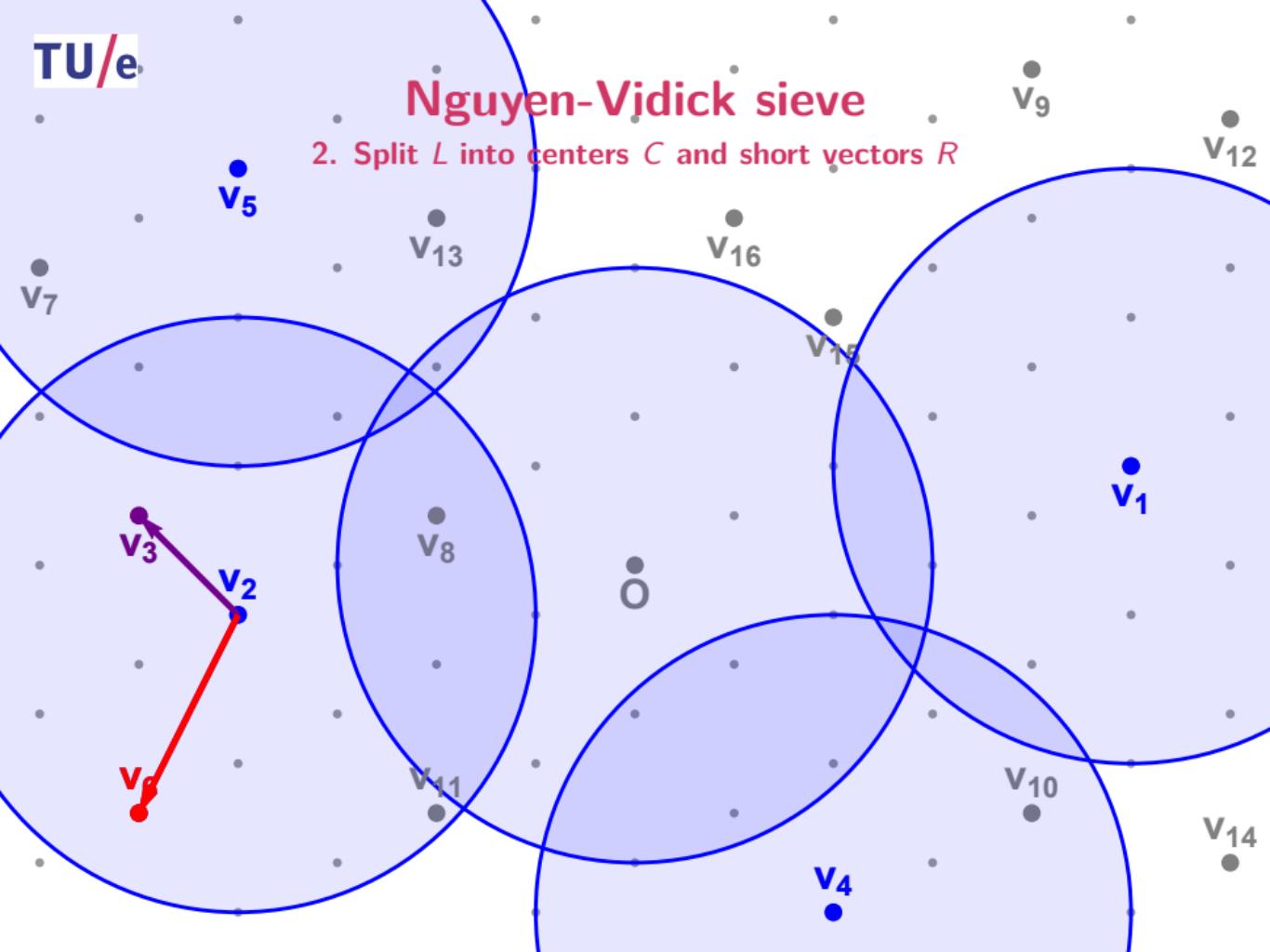
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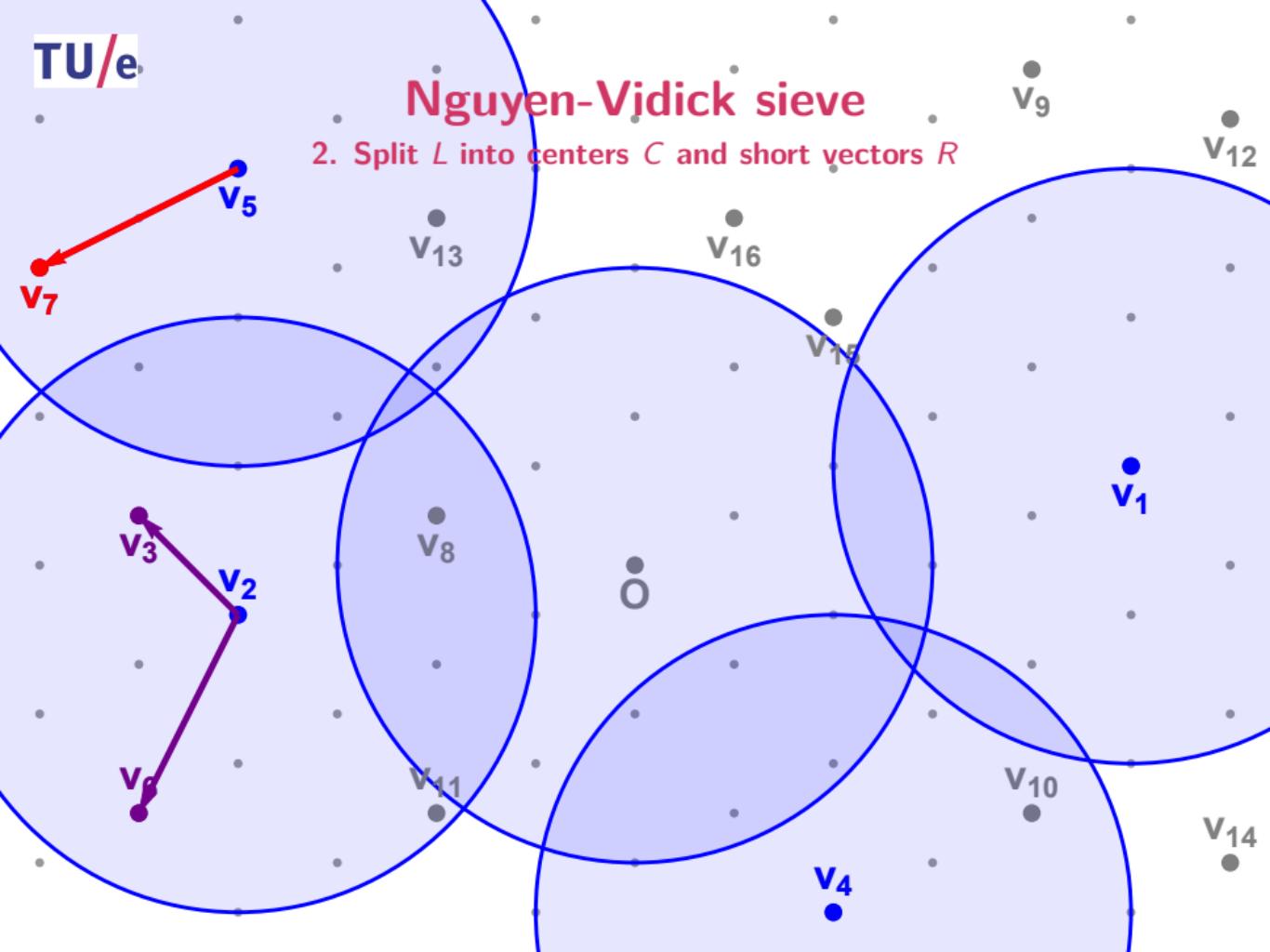
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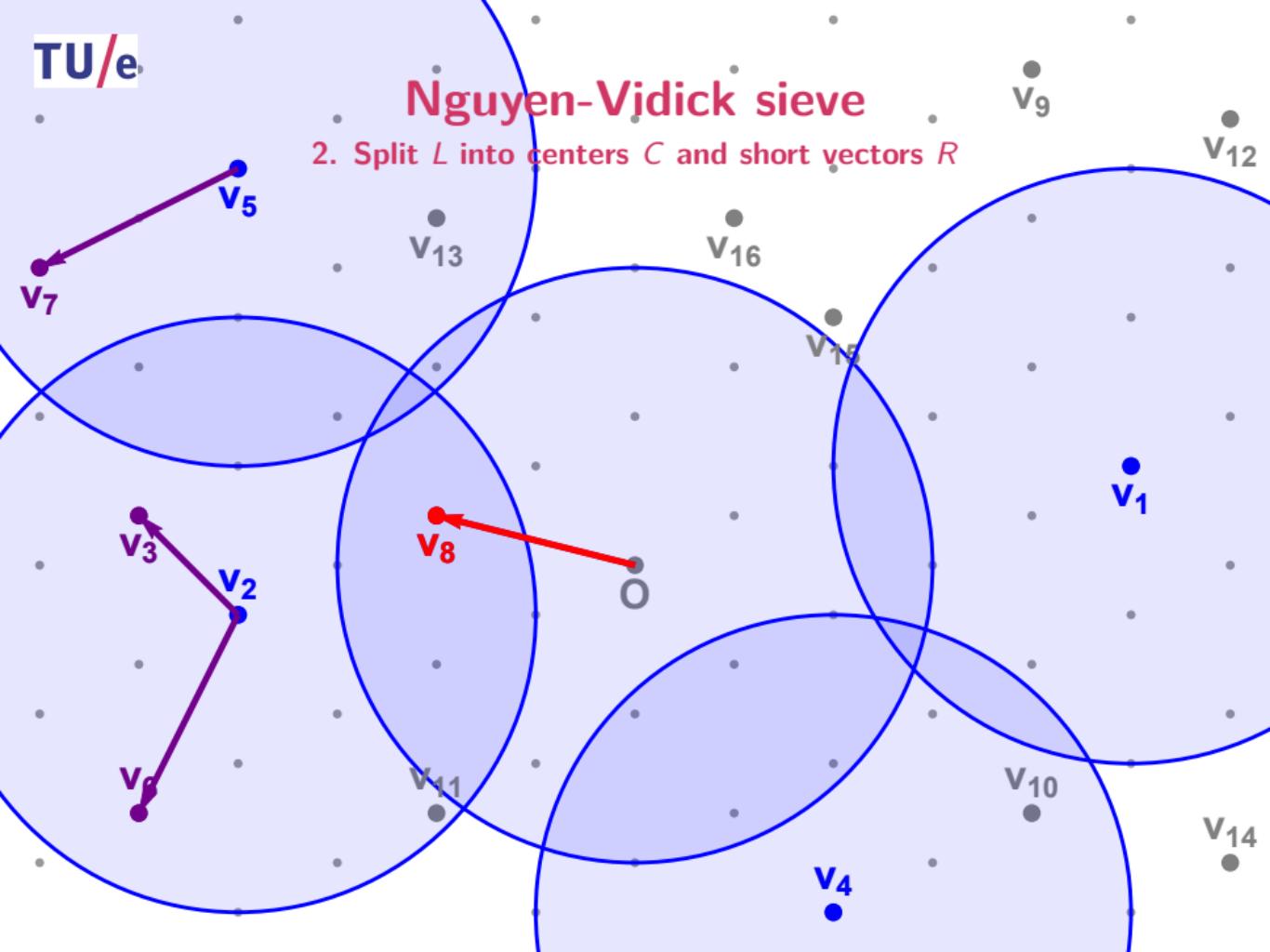
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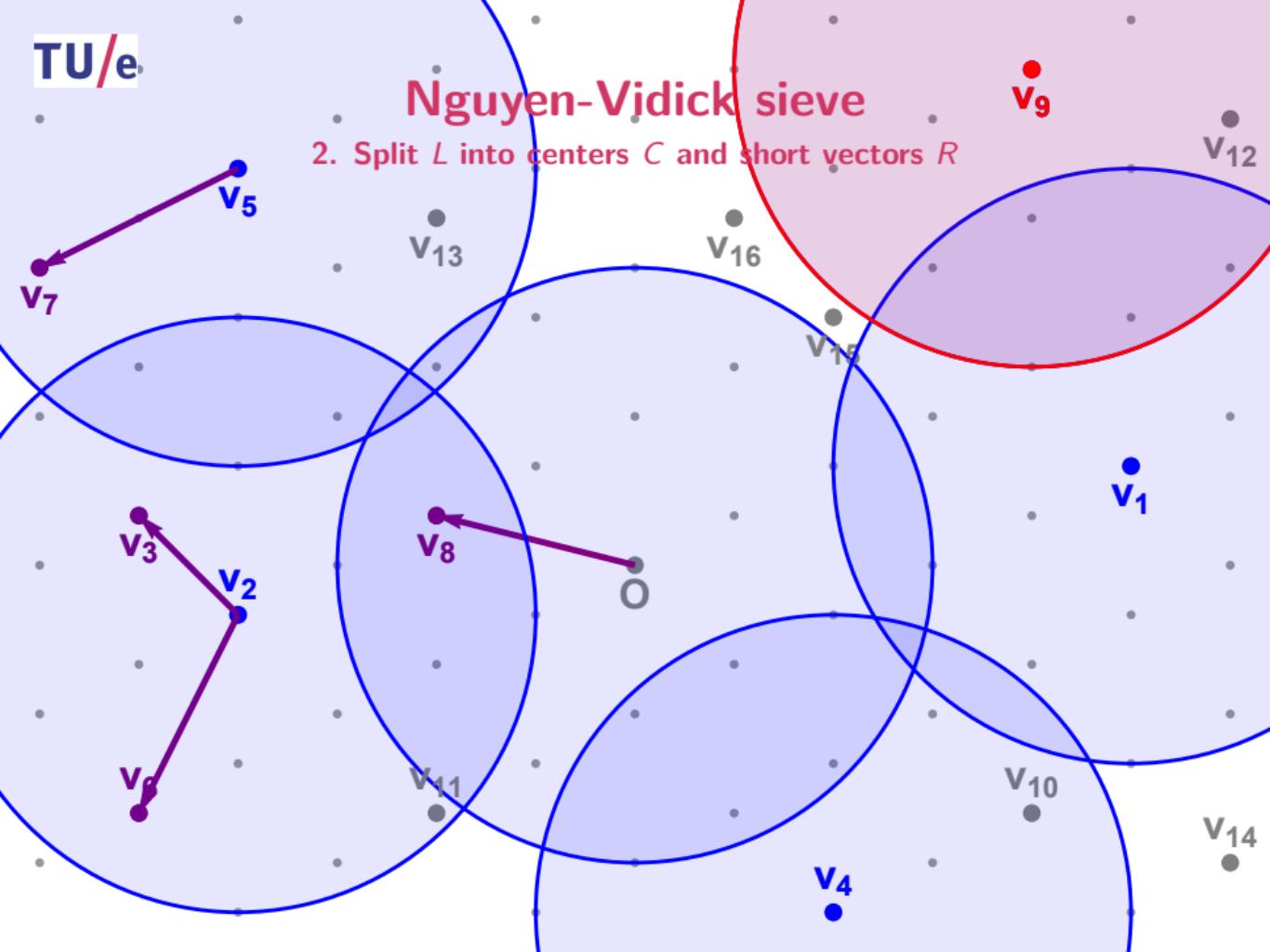
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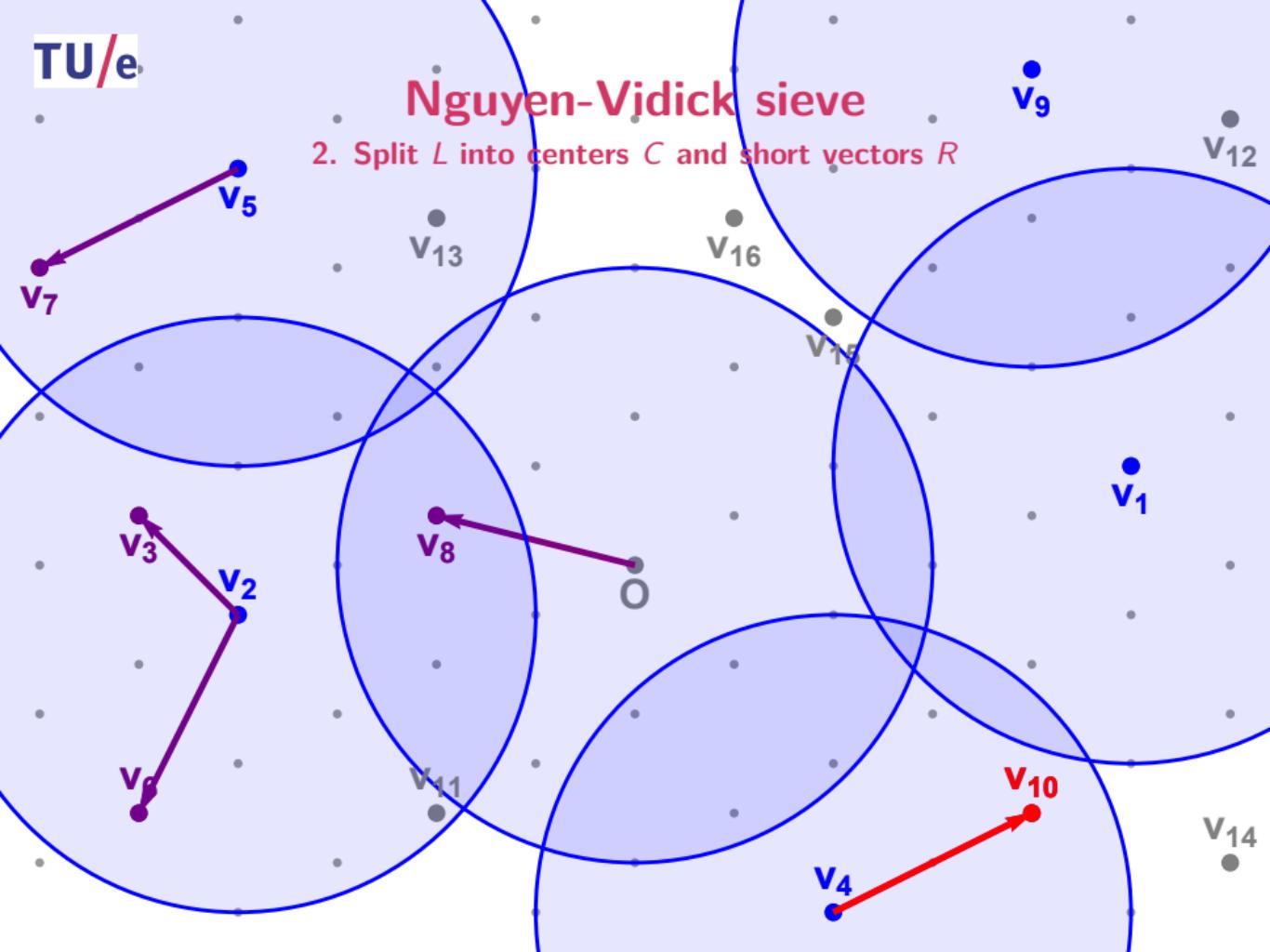
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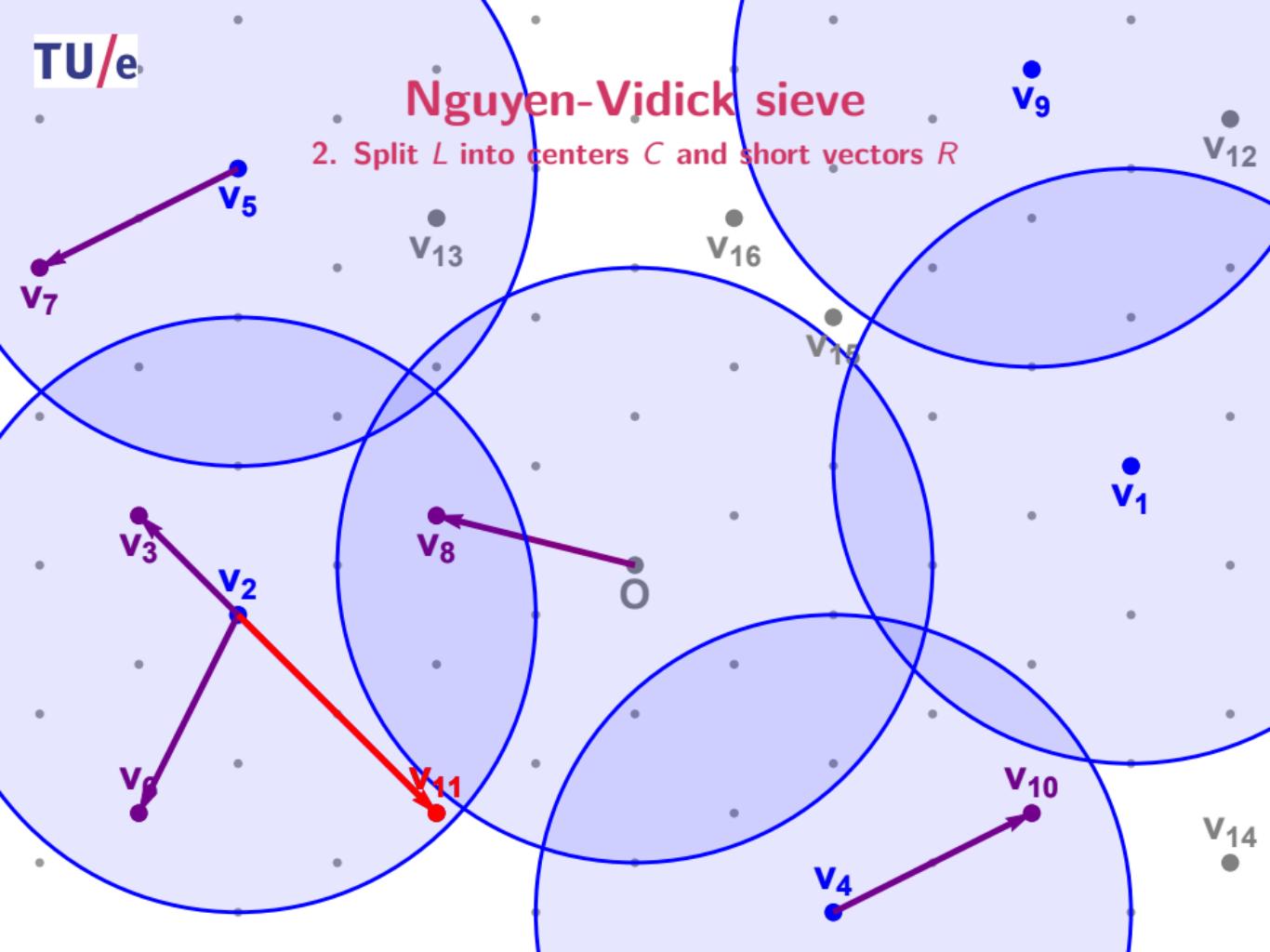
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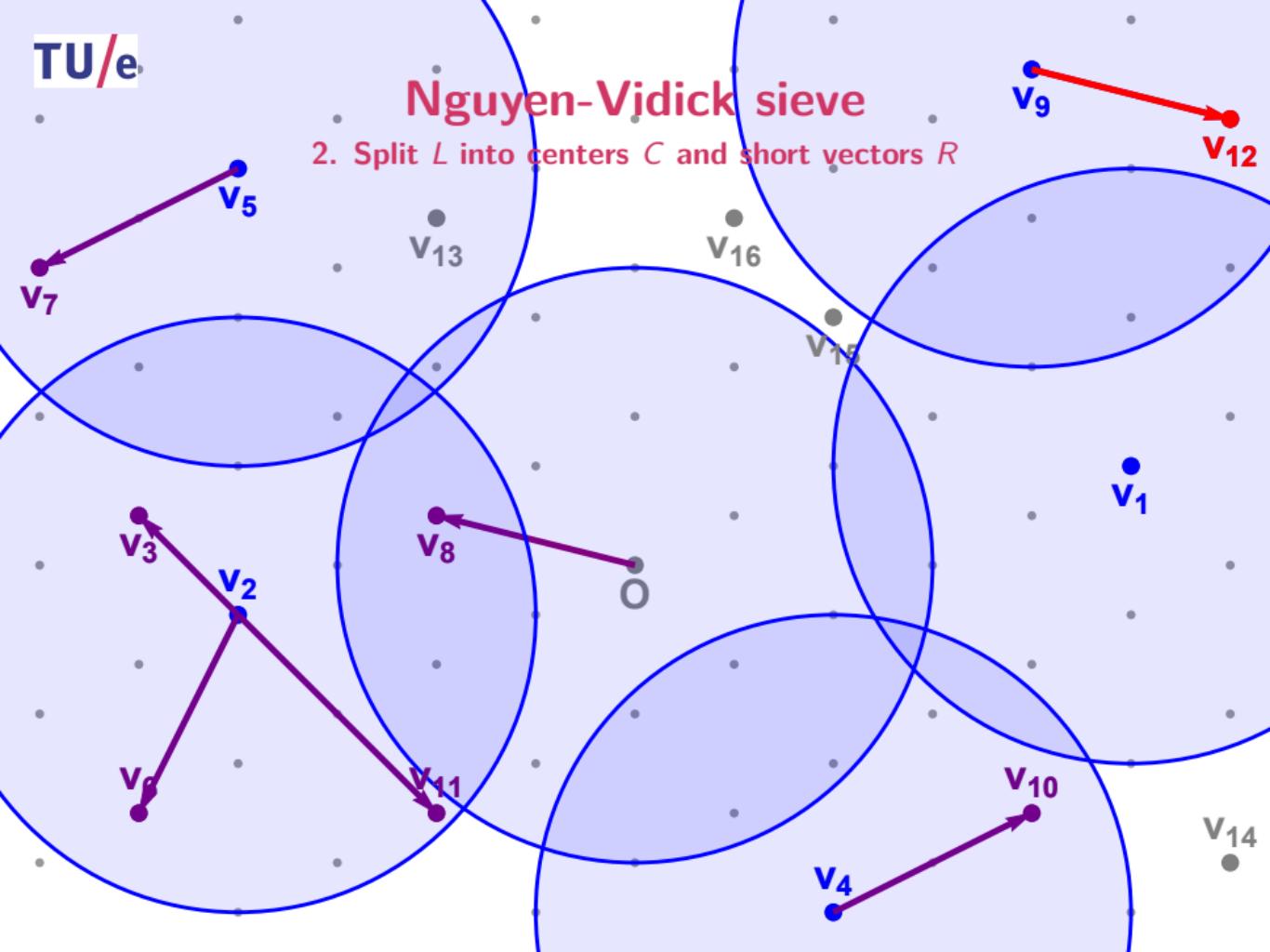
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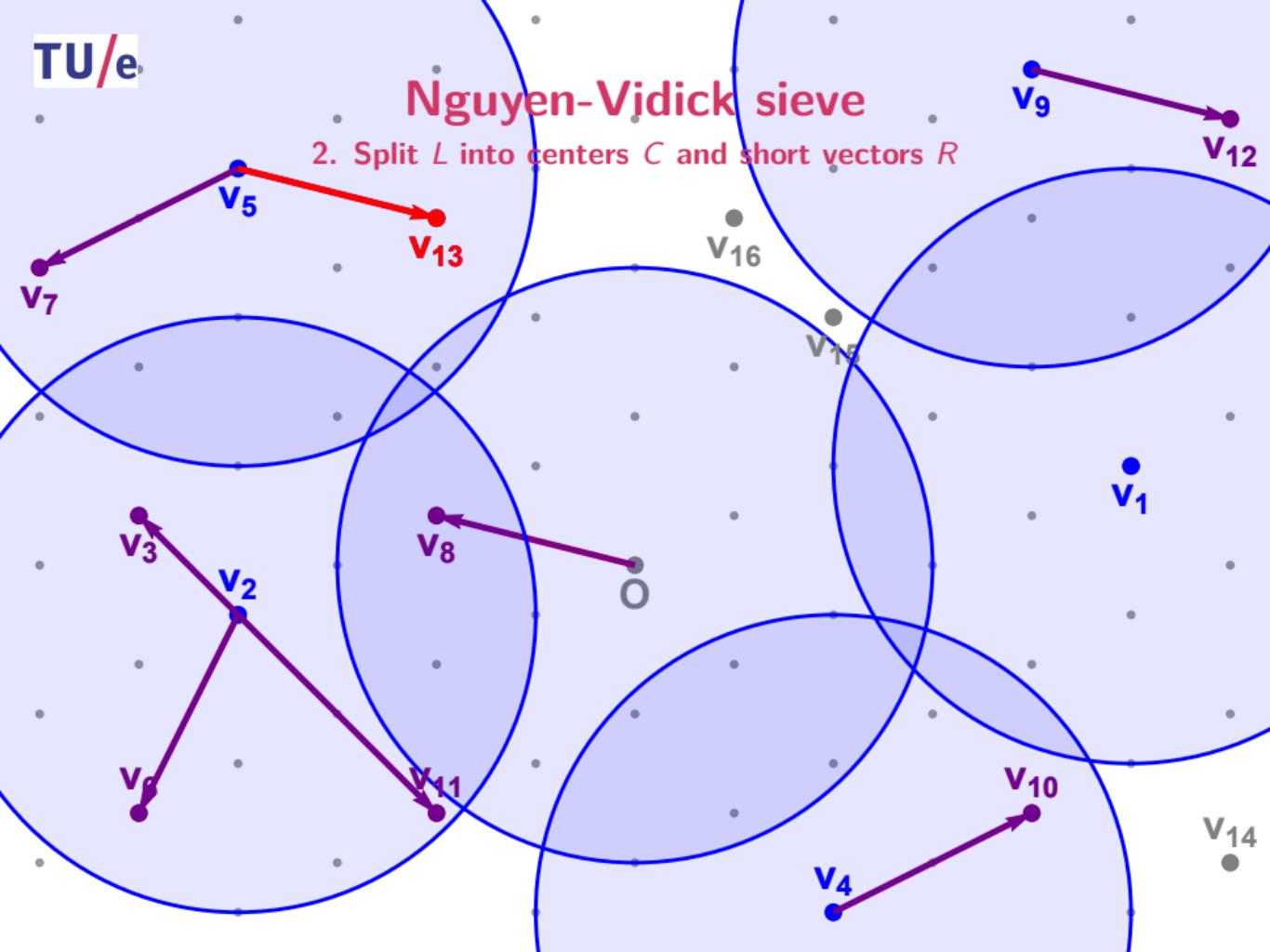
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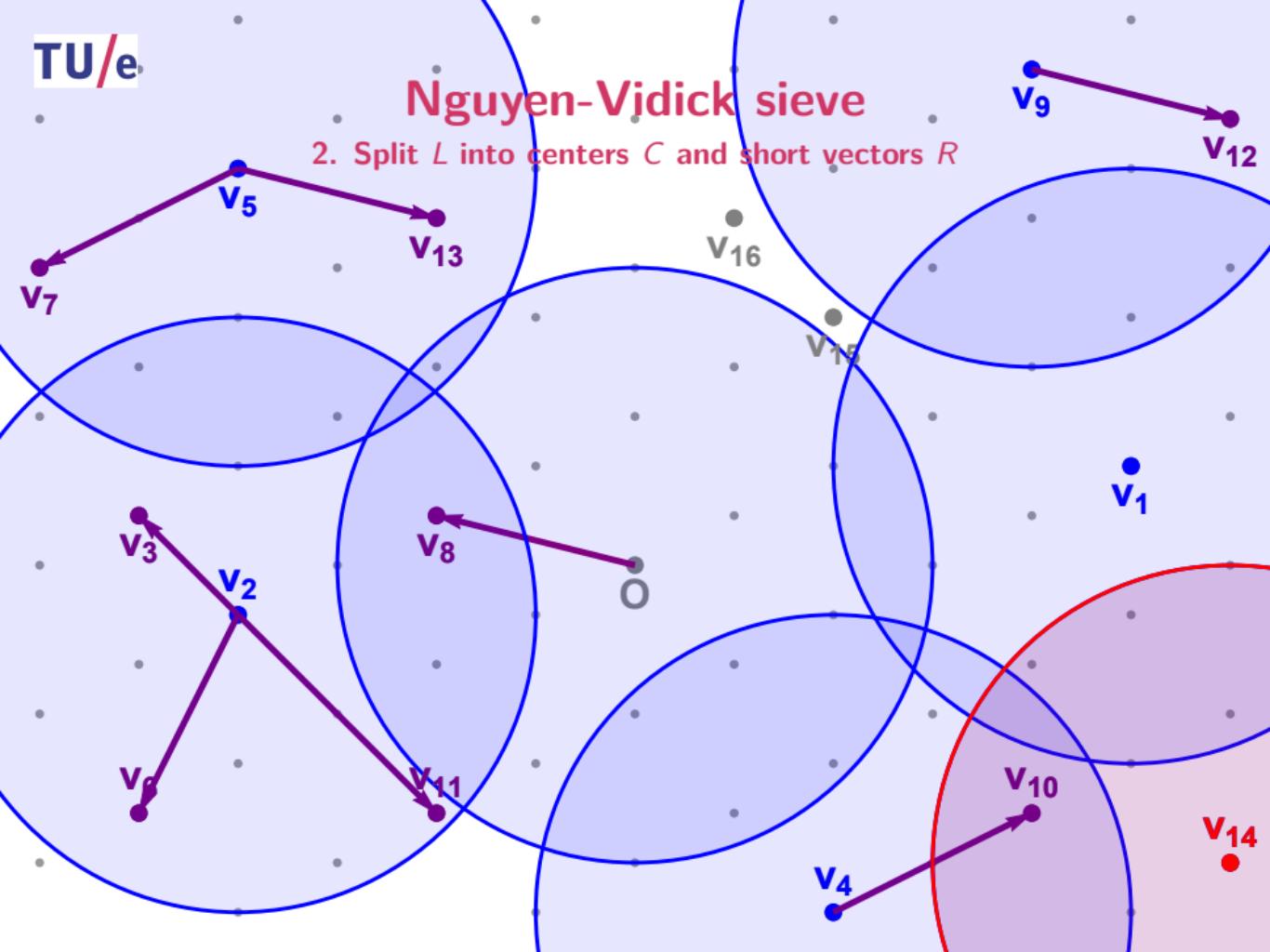
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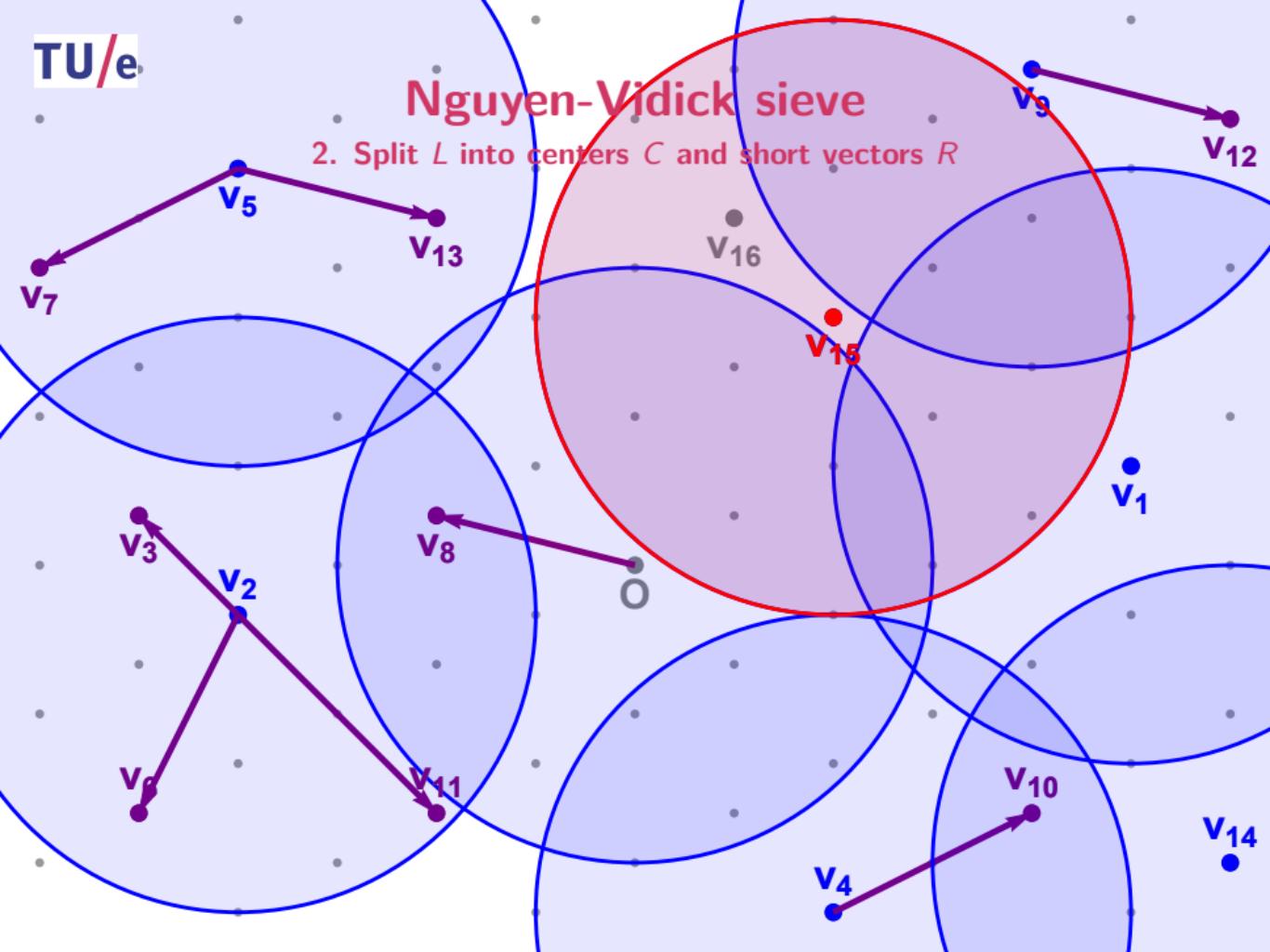
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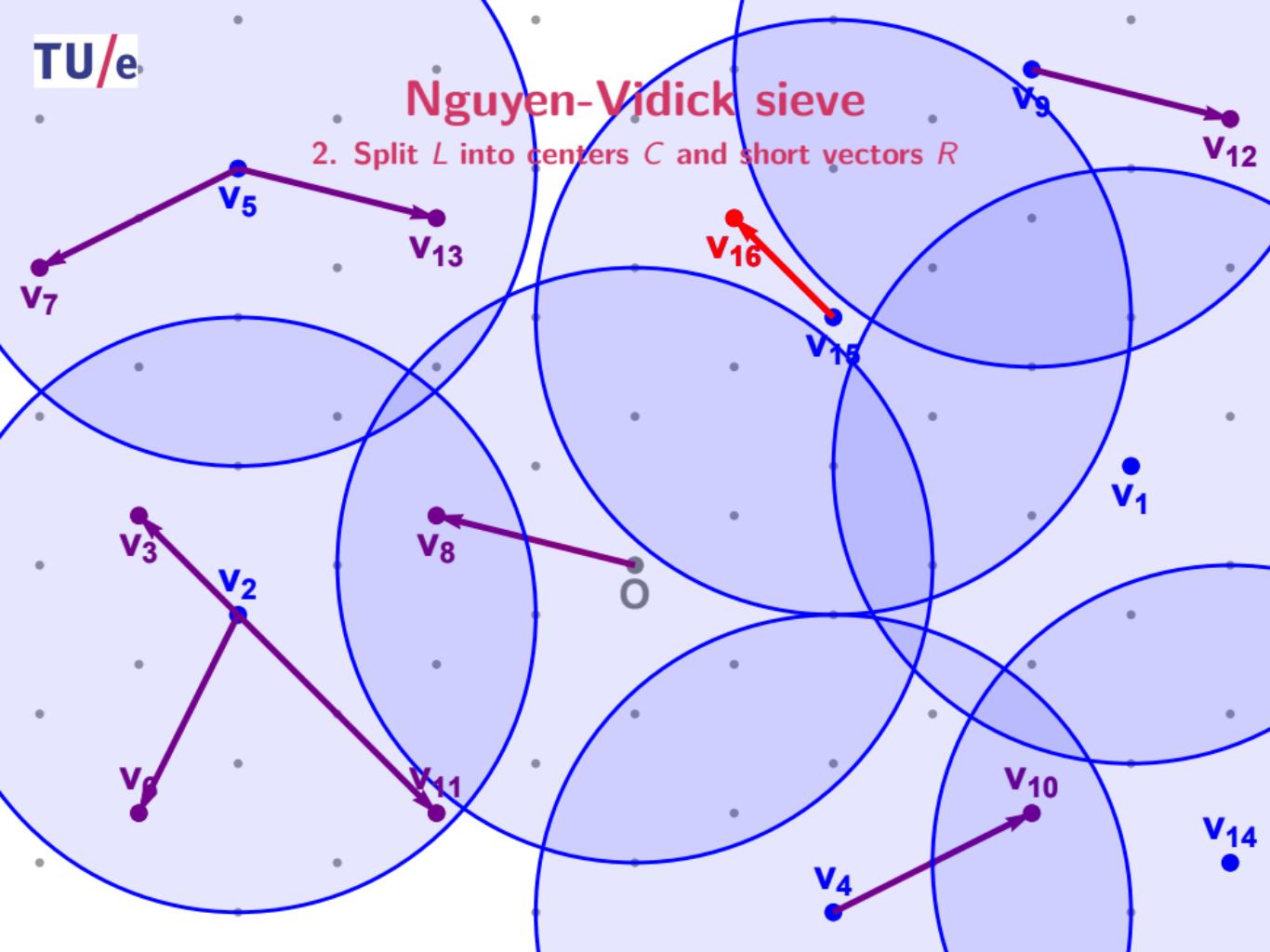
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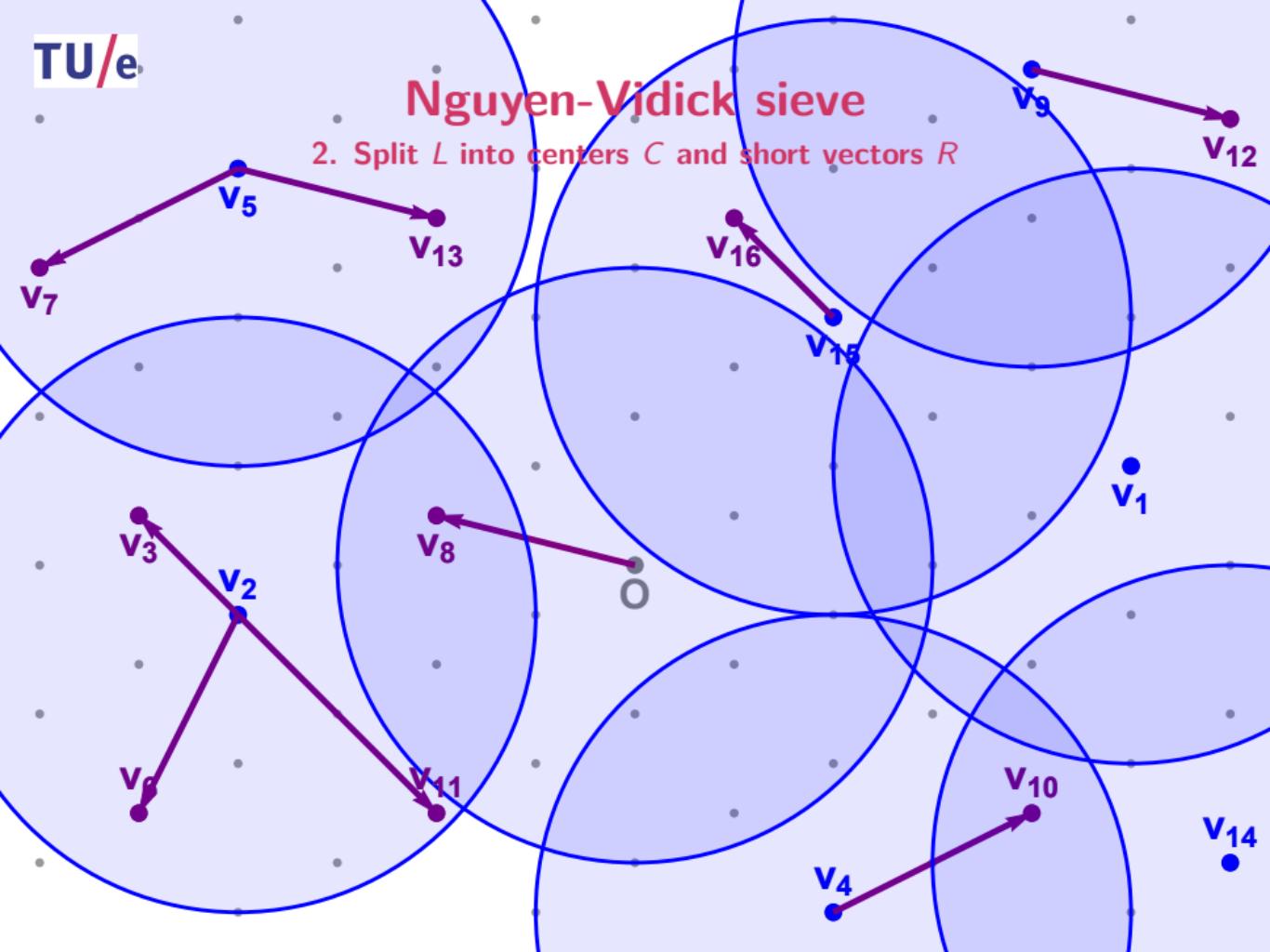
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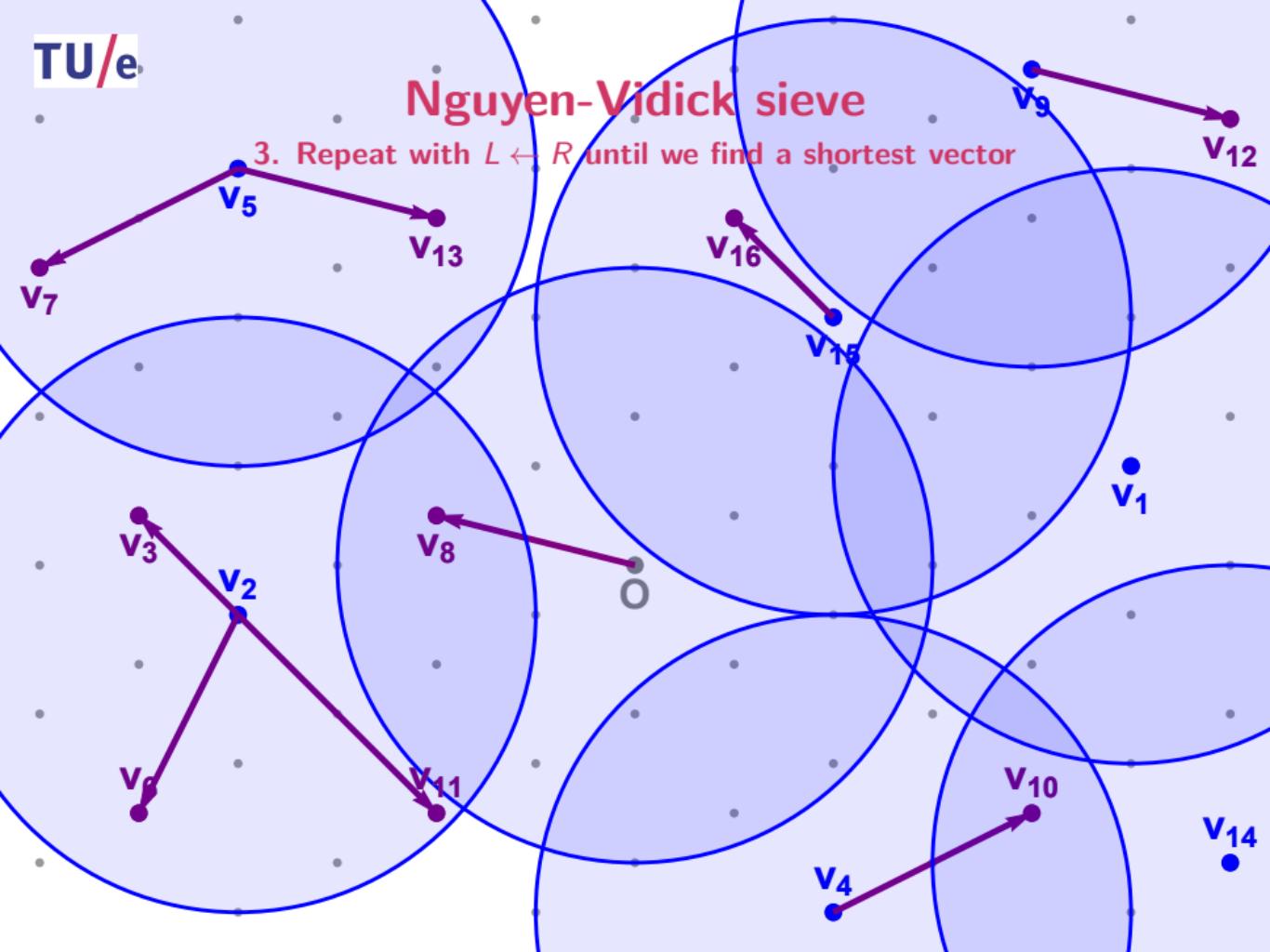
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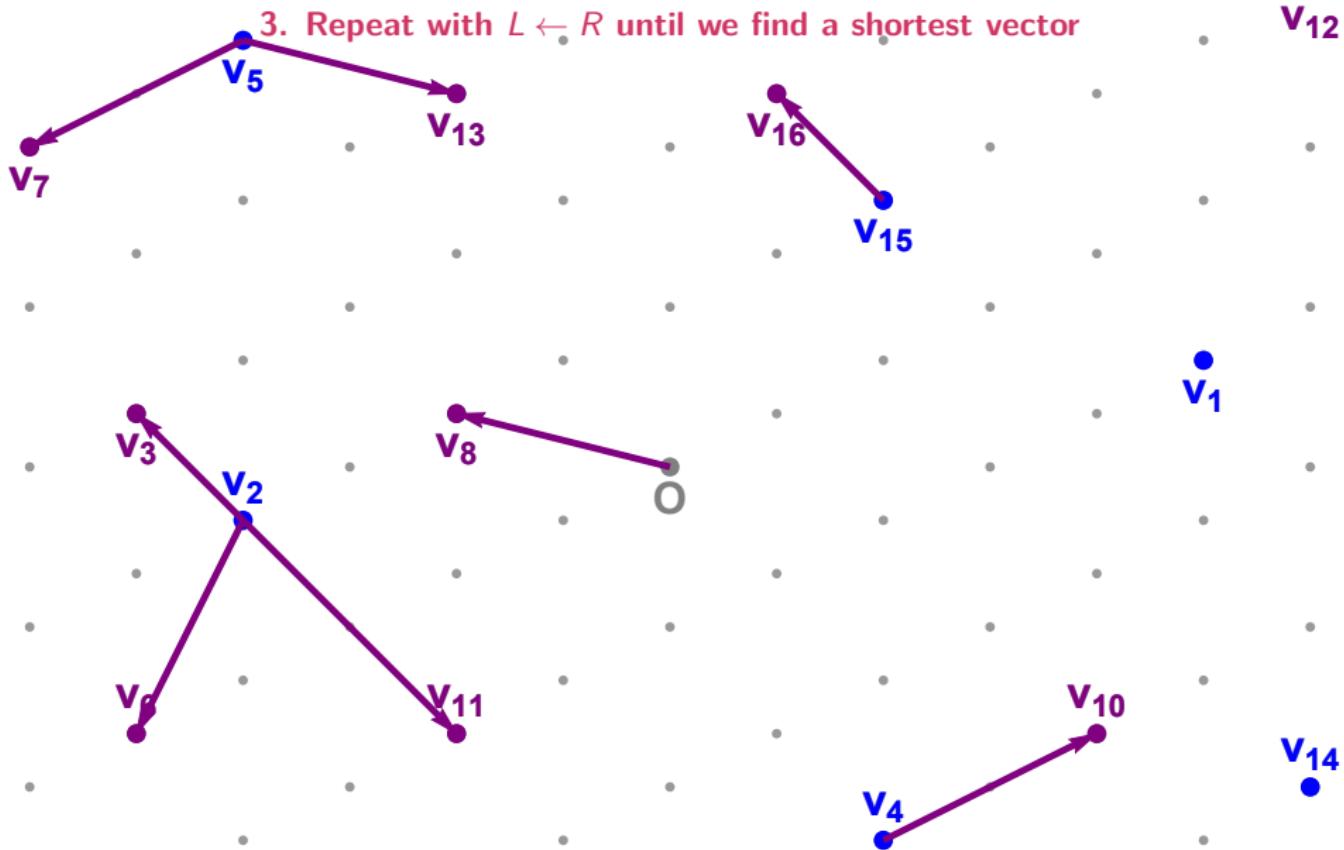
Nguyen-Vidick sieve

3. Repeat with $L \leftarrow R$ until we find a shortest vector



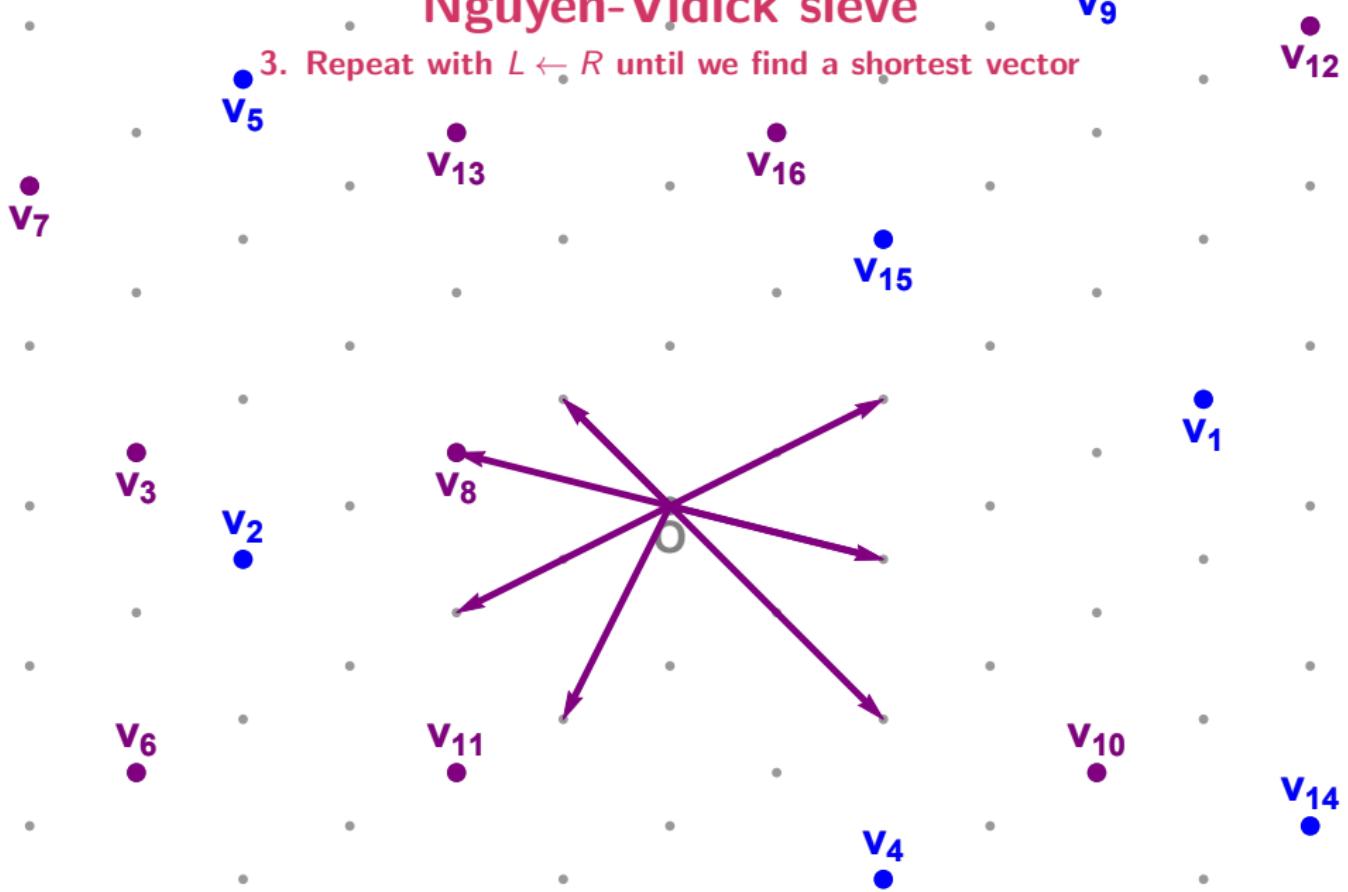
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Nguyen-Vidick sieve

Overview



Nguyen-Vidick sieve

Overview

Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The Nguyen-Vidick sieve runs in time $(4/3)^n$ and space $\sqrt{4/3}^n$.



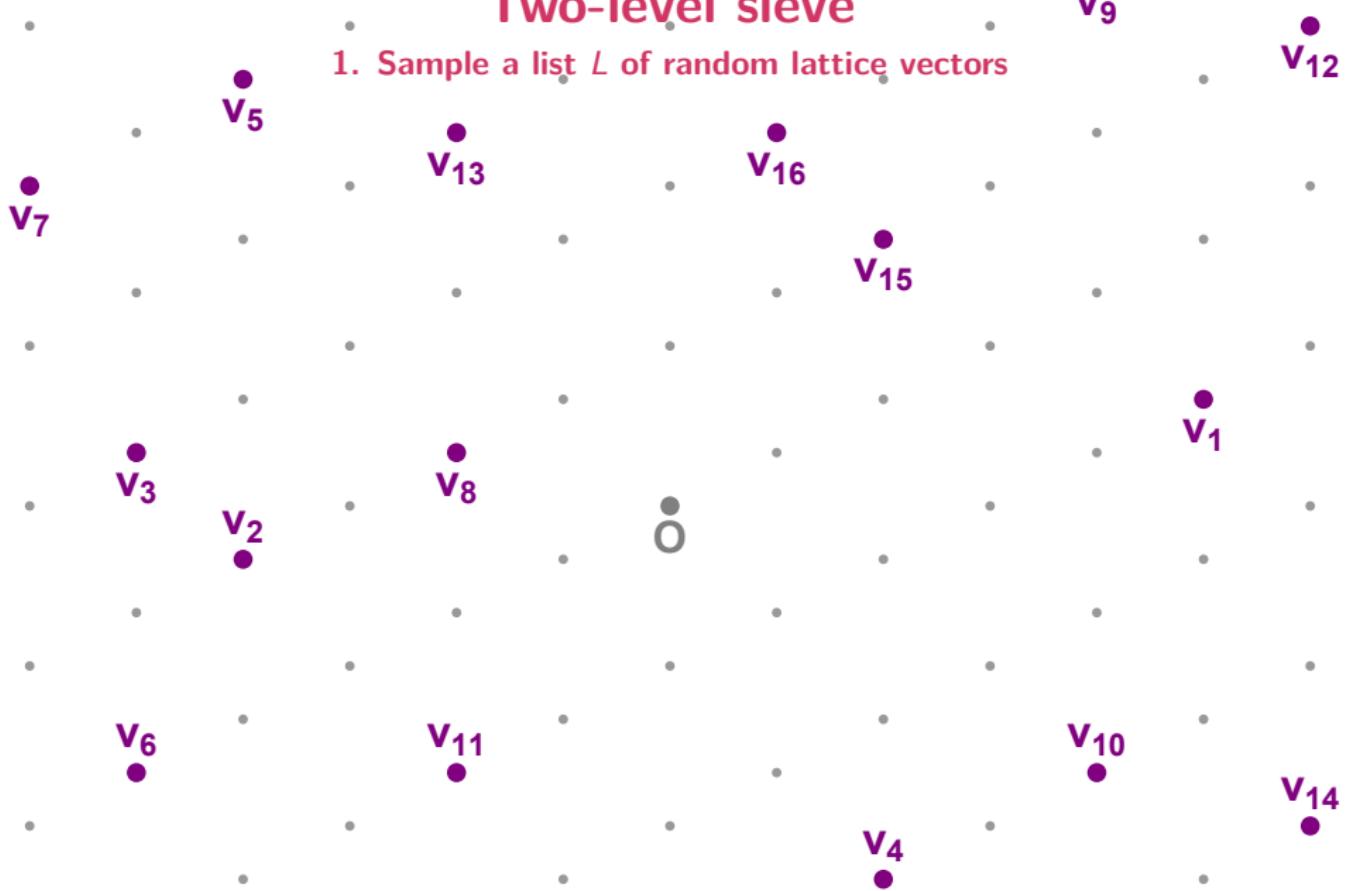
Two-level sieve

1. Sample a list L of random lattice vectors



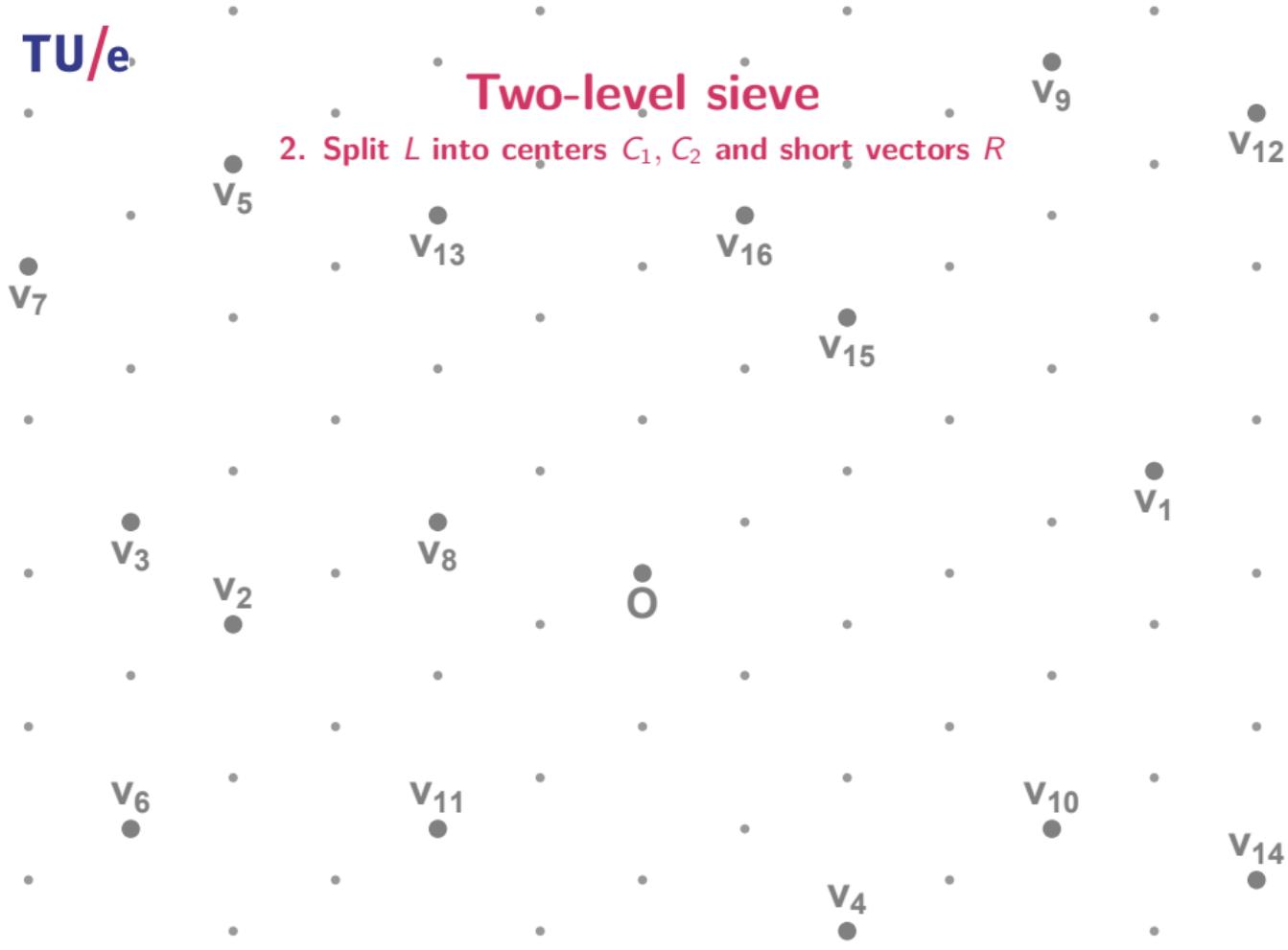
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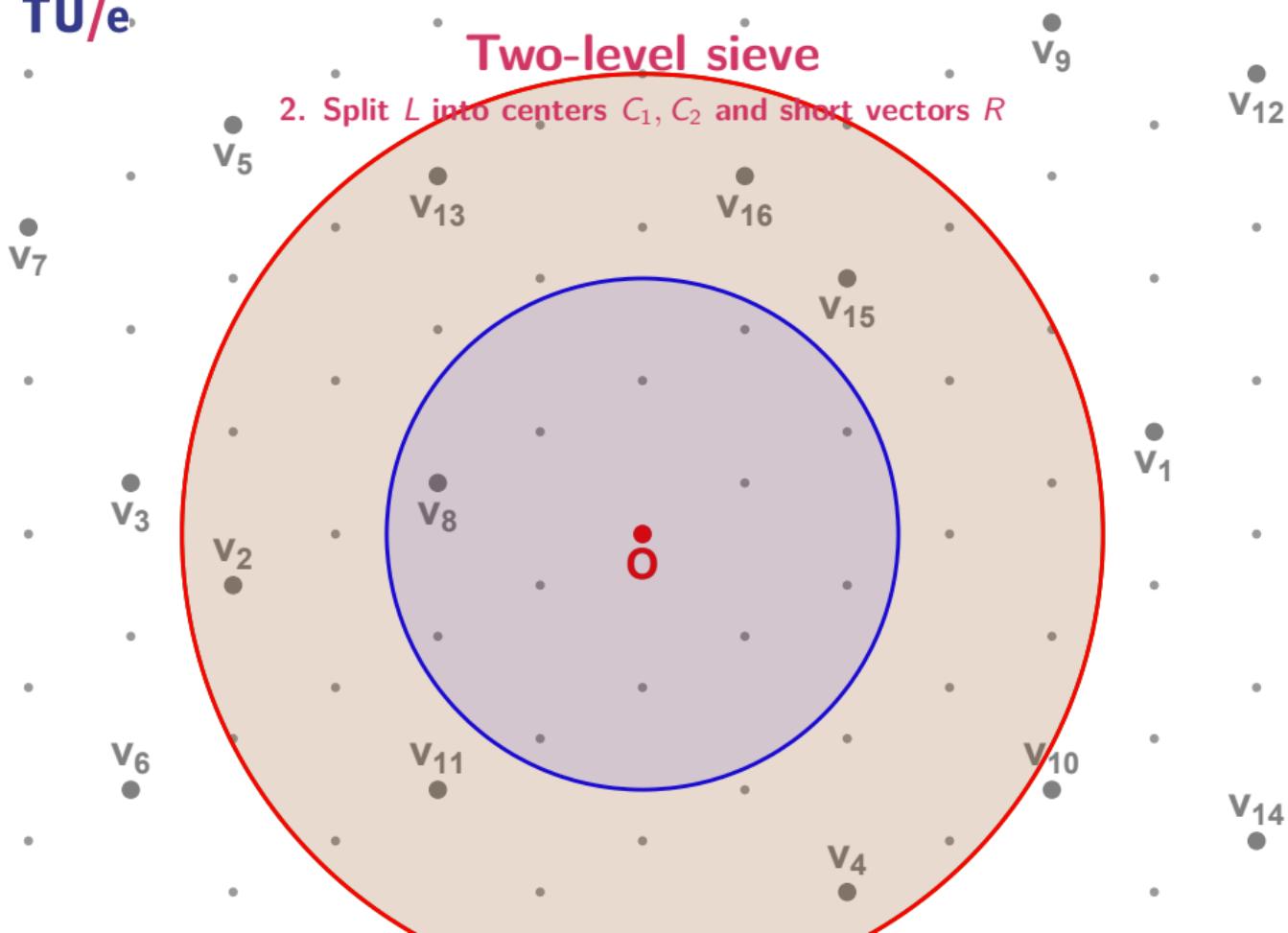
Two-level sieve

2. Split L into centers C_1, C_2 and short vectors R



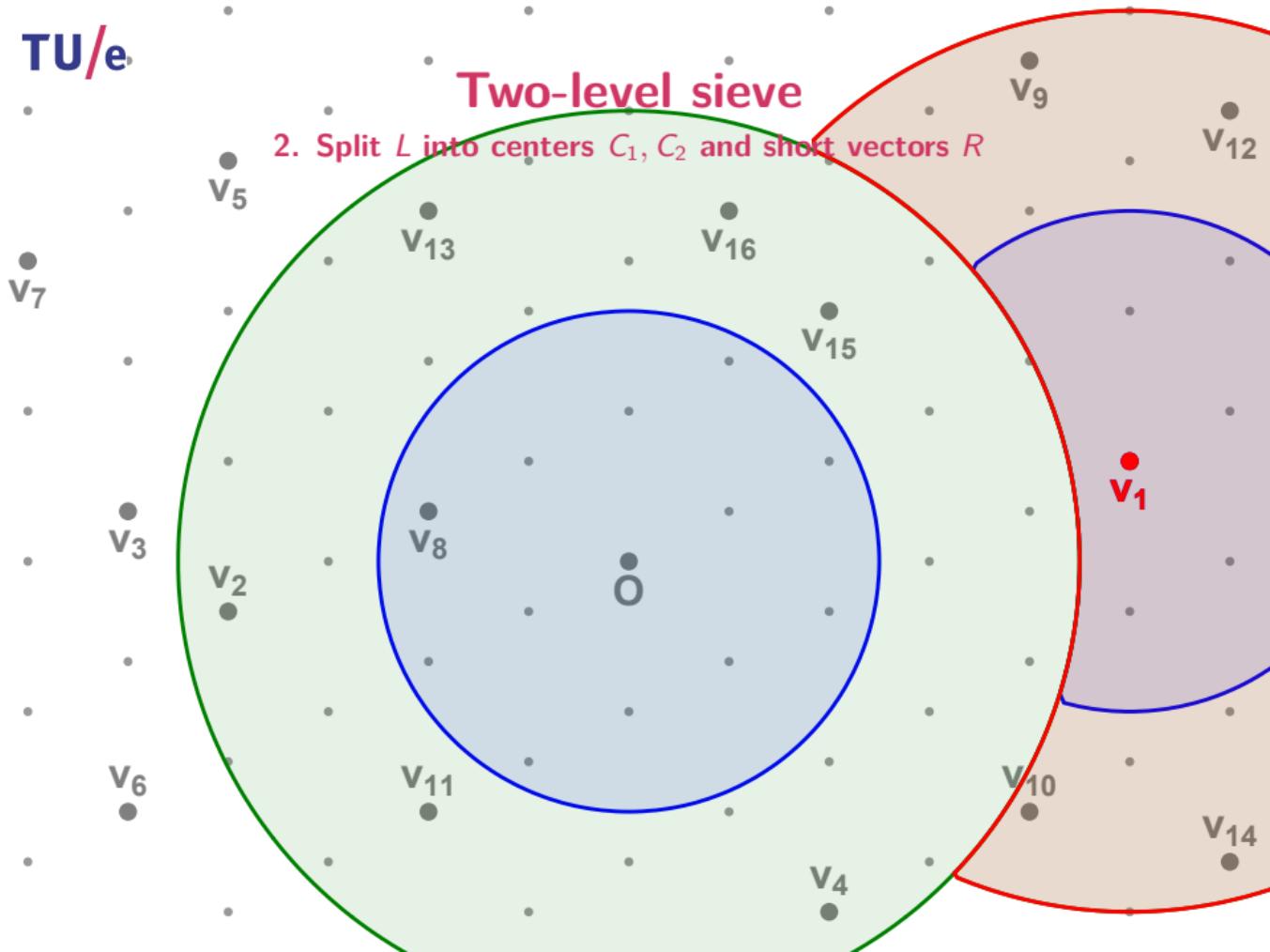
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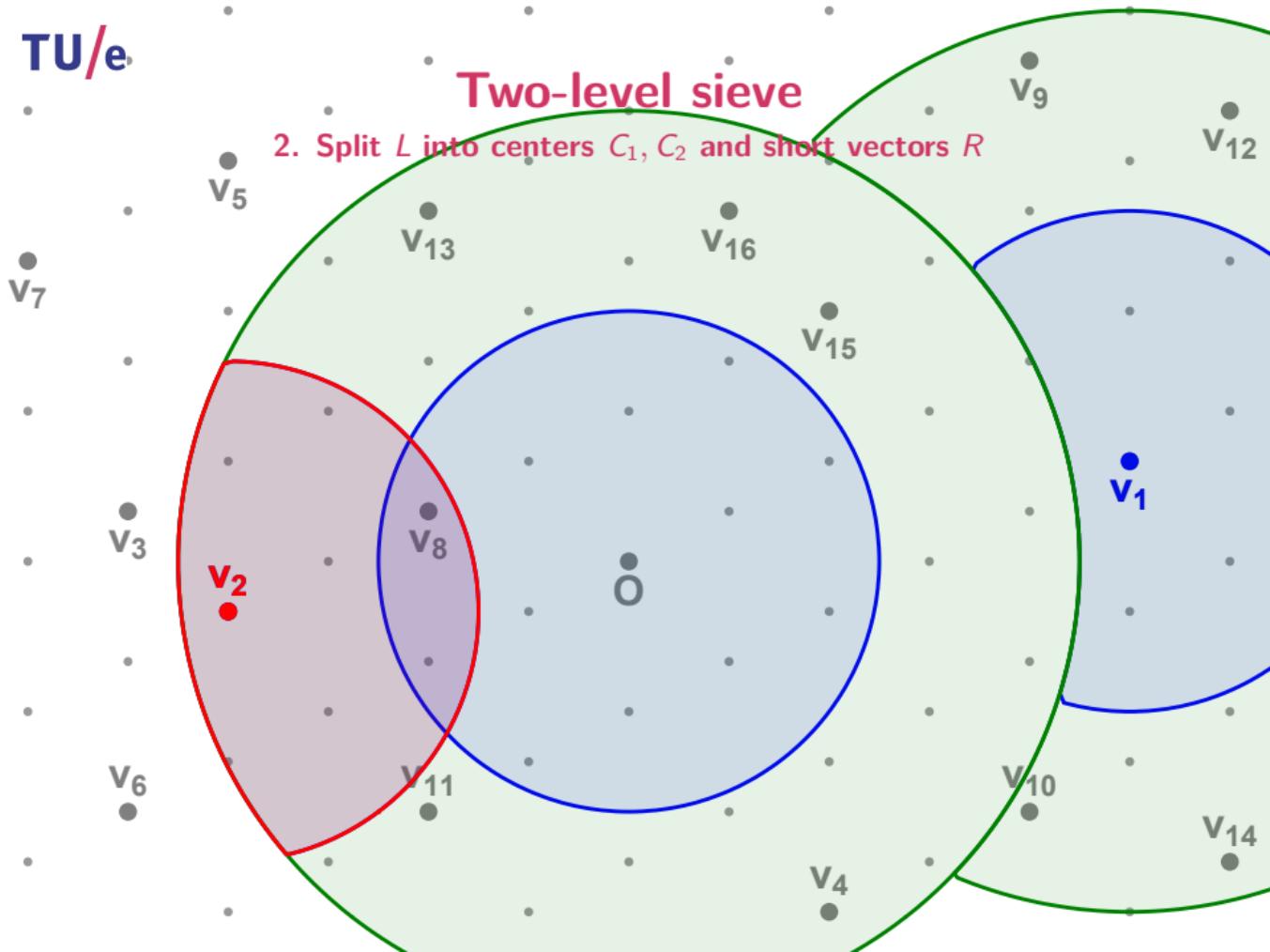
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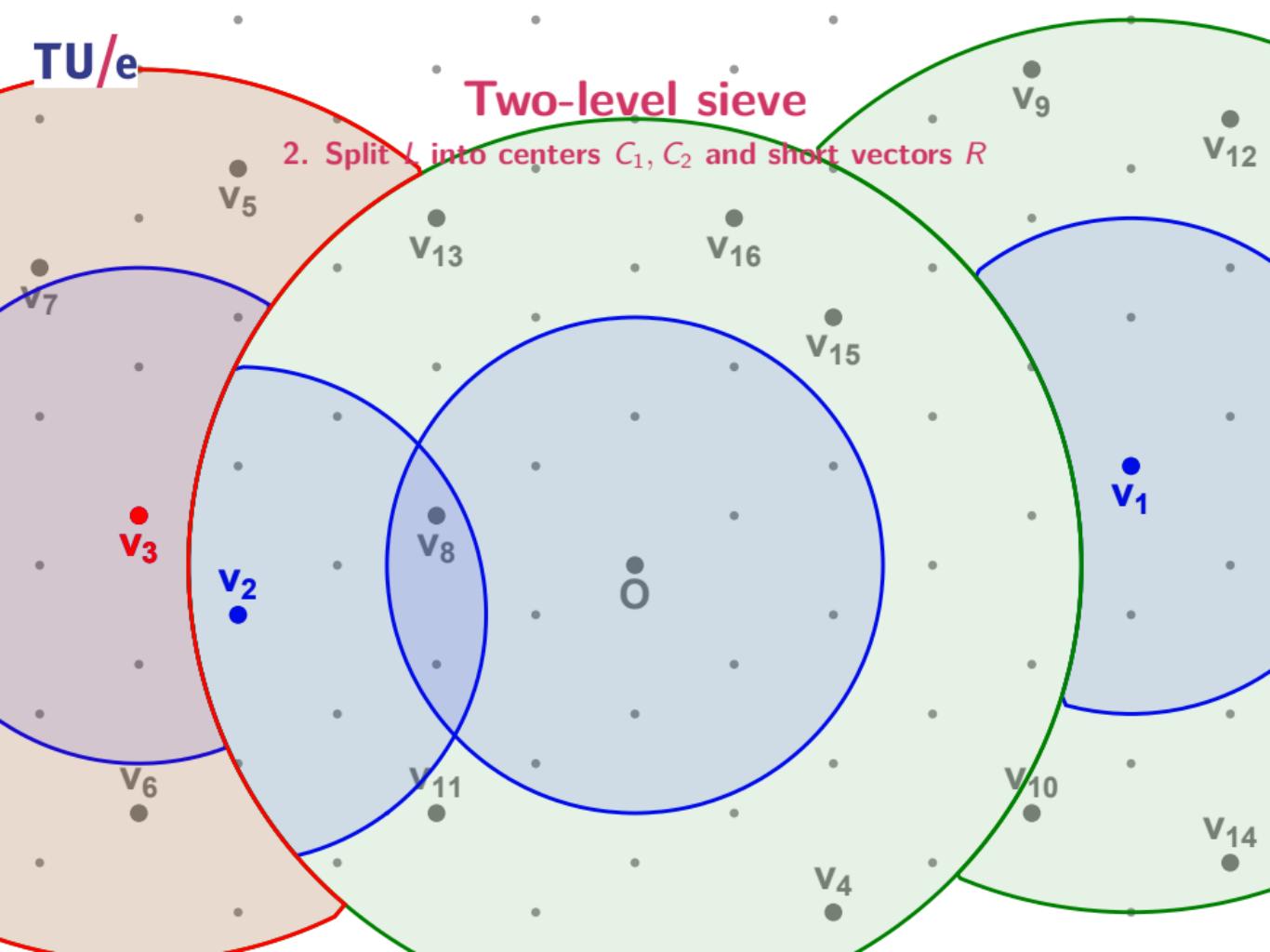
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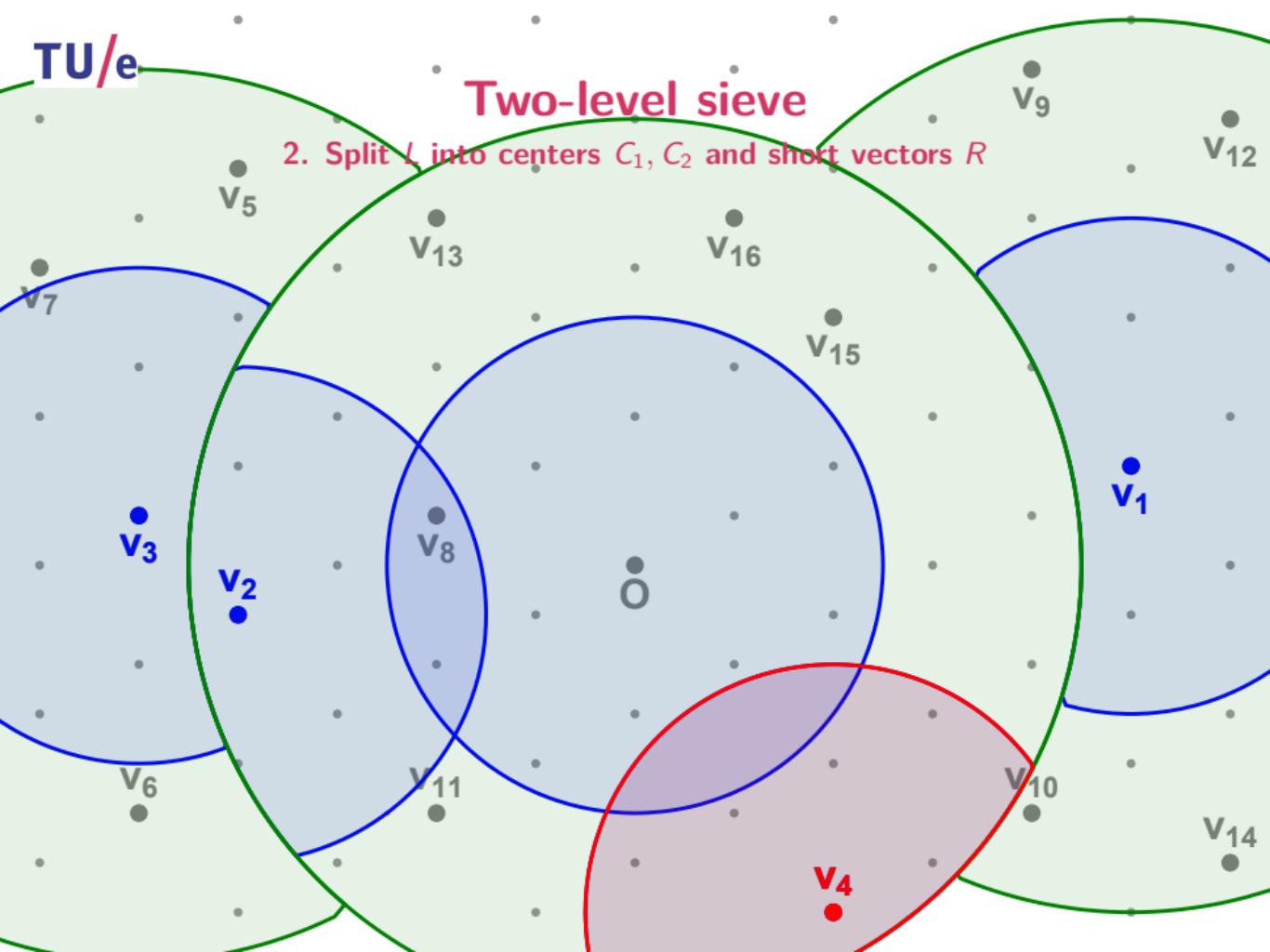
Two-level sieve

2. Split \mathcal{V} into centers C_1, C_2 and short vectors R



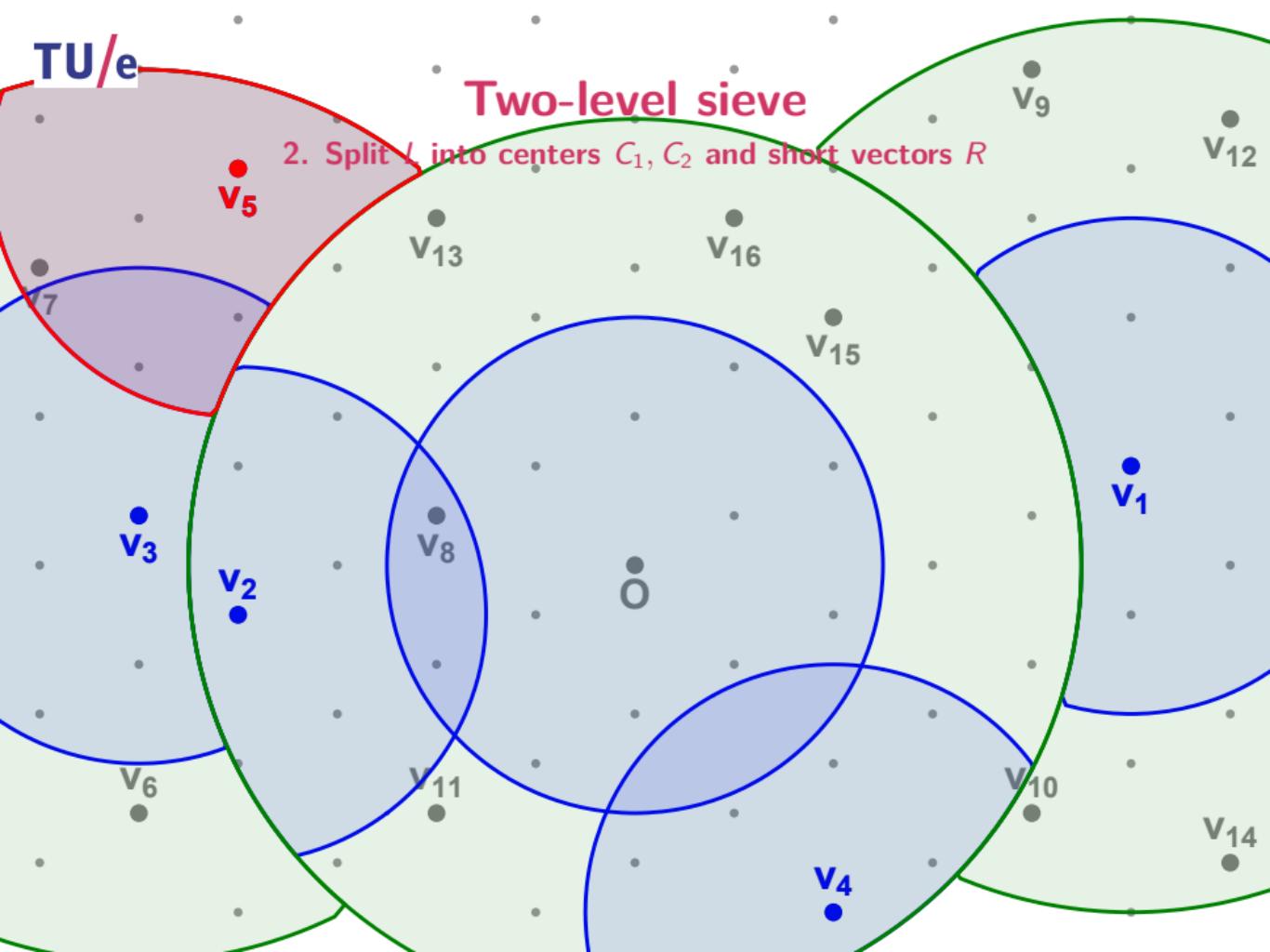
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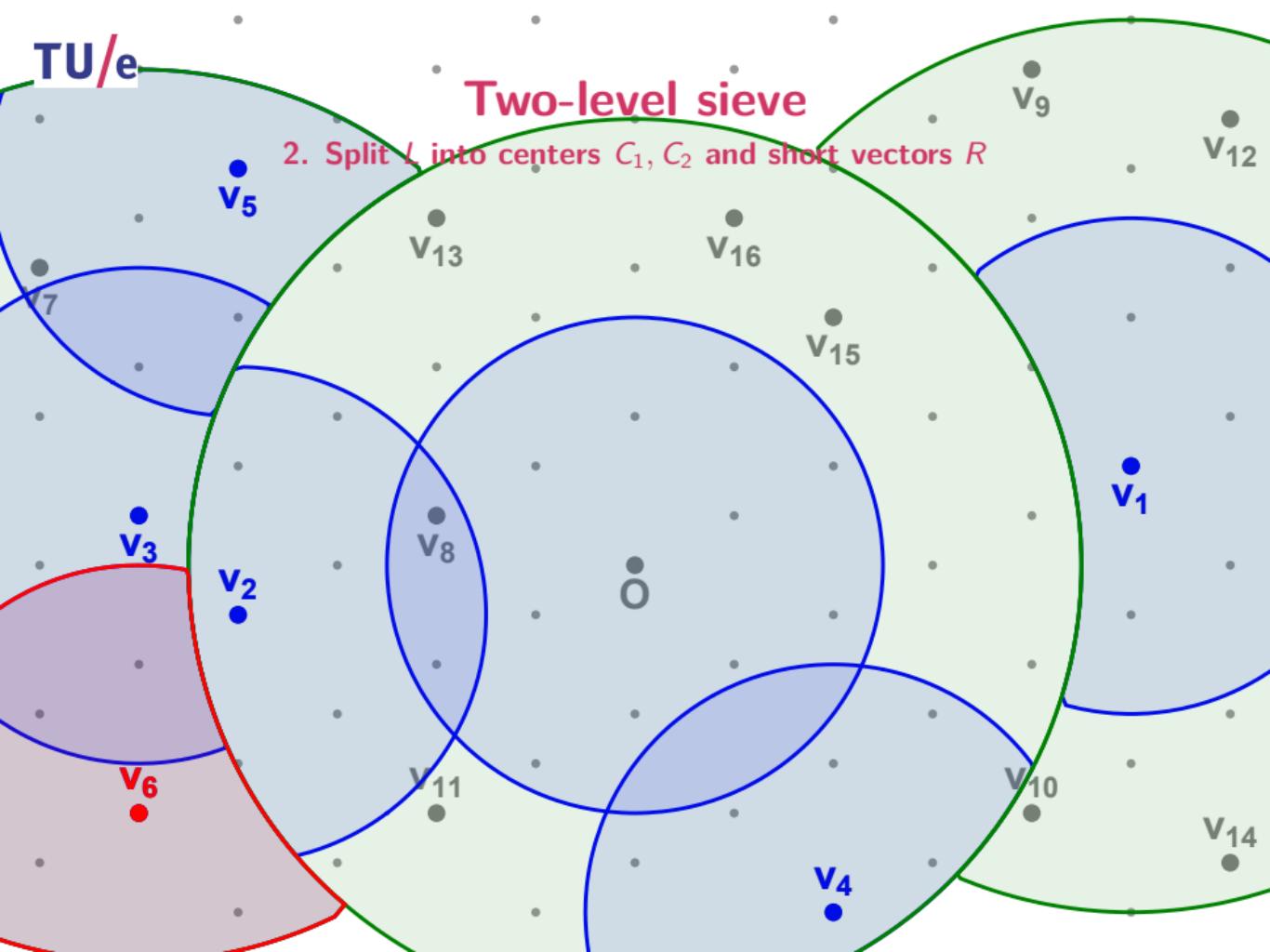
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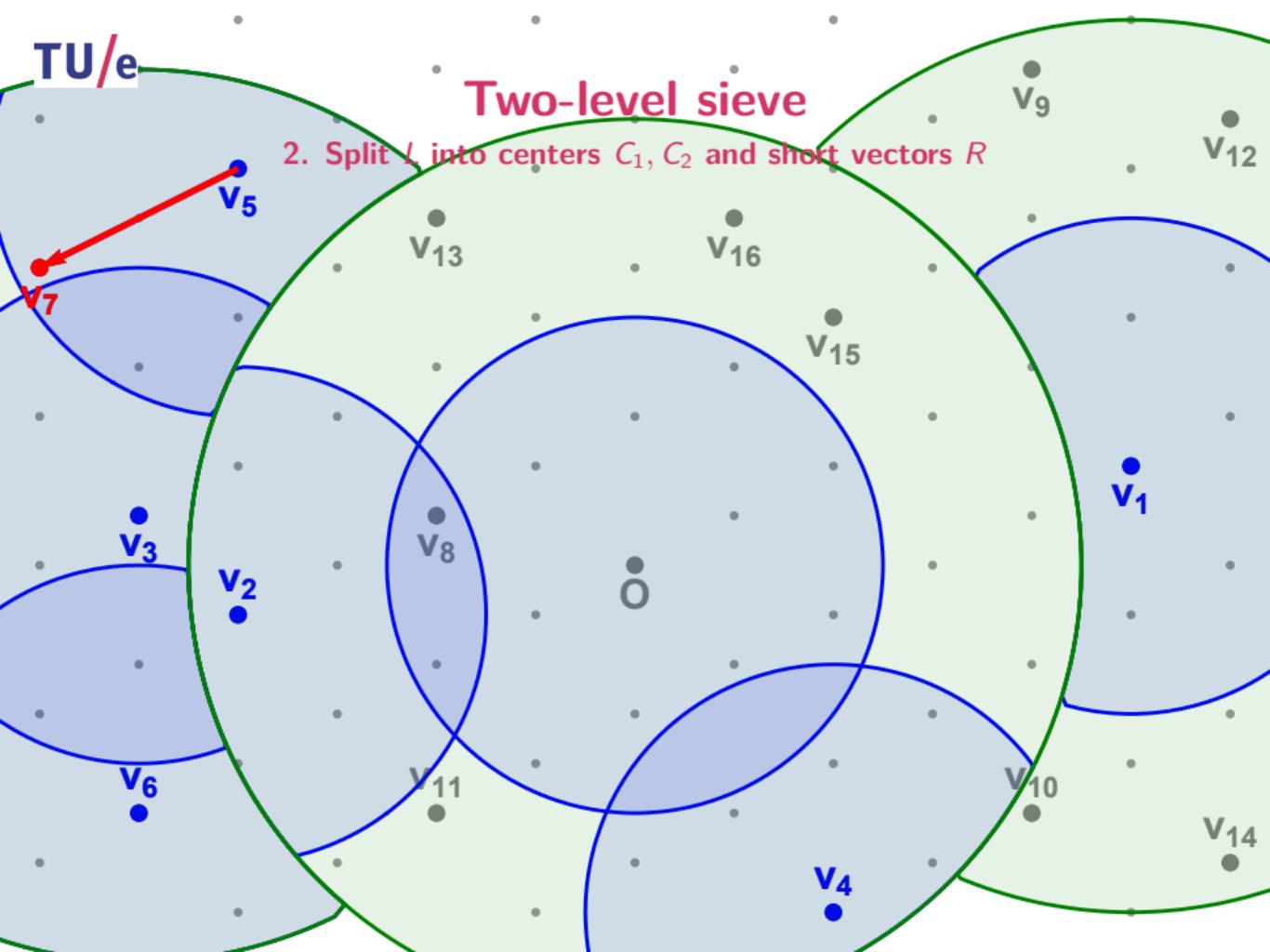
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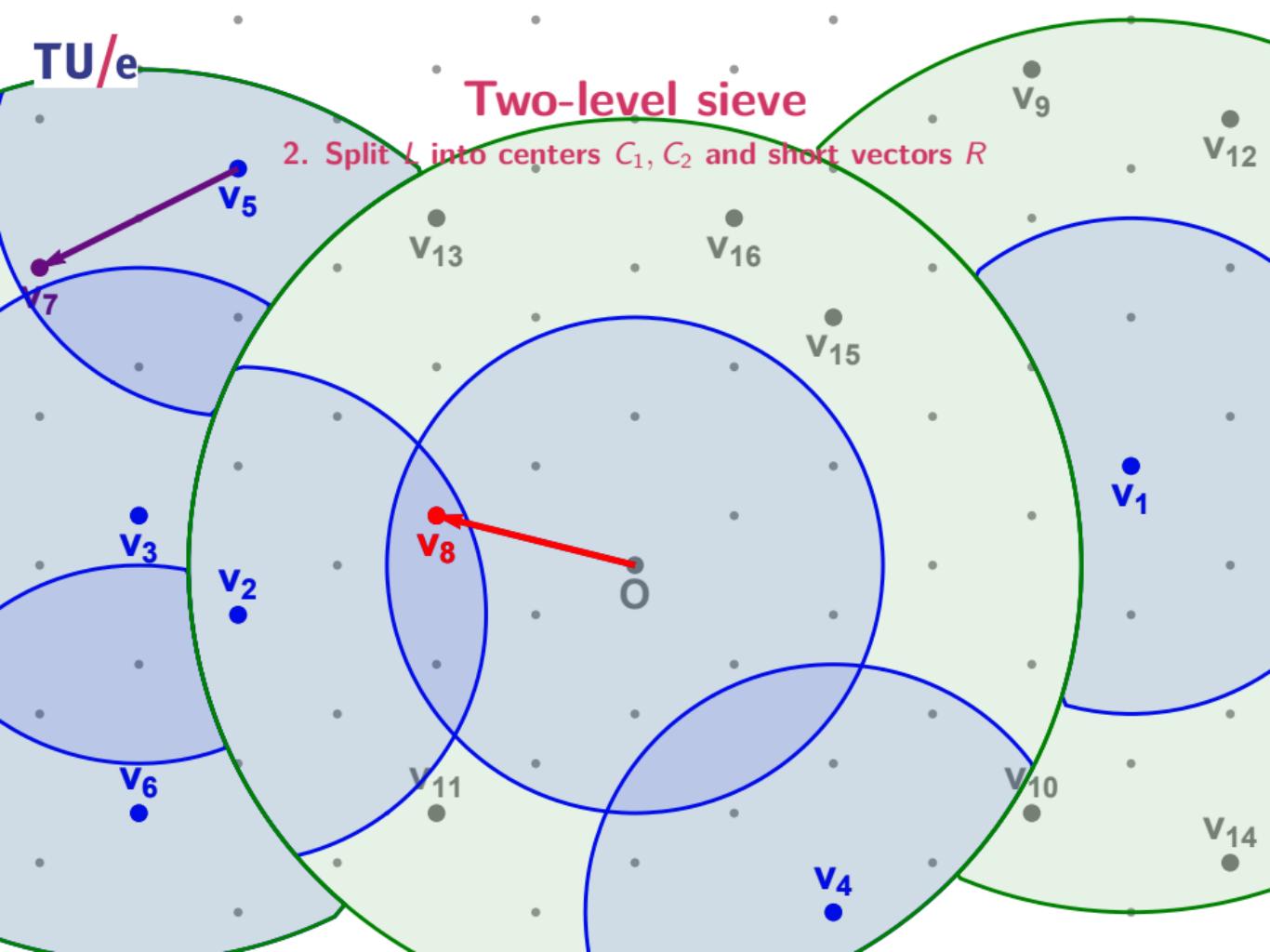
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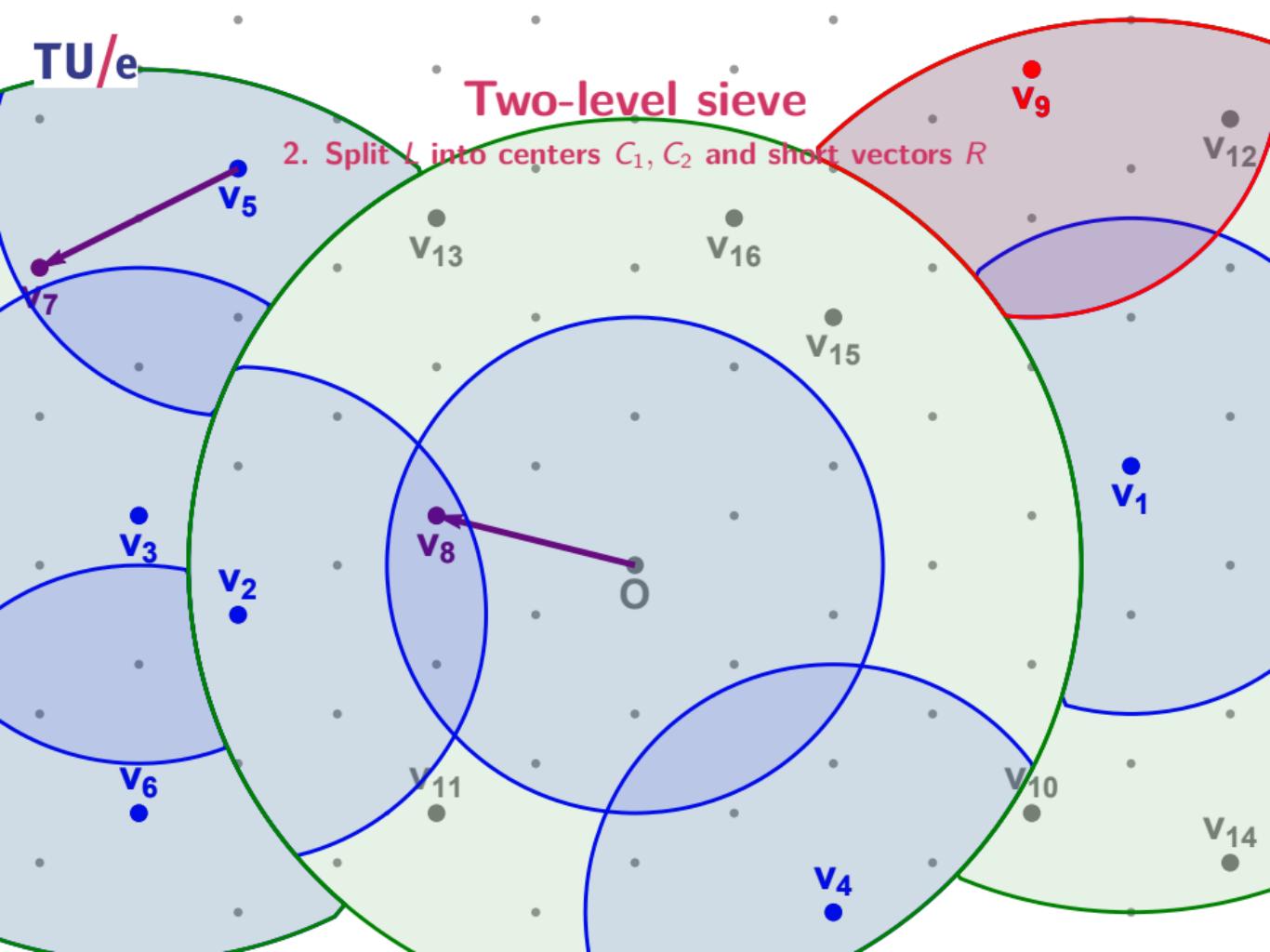
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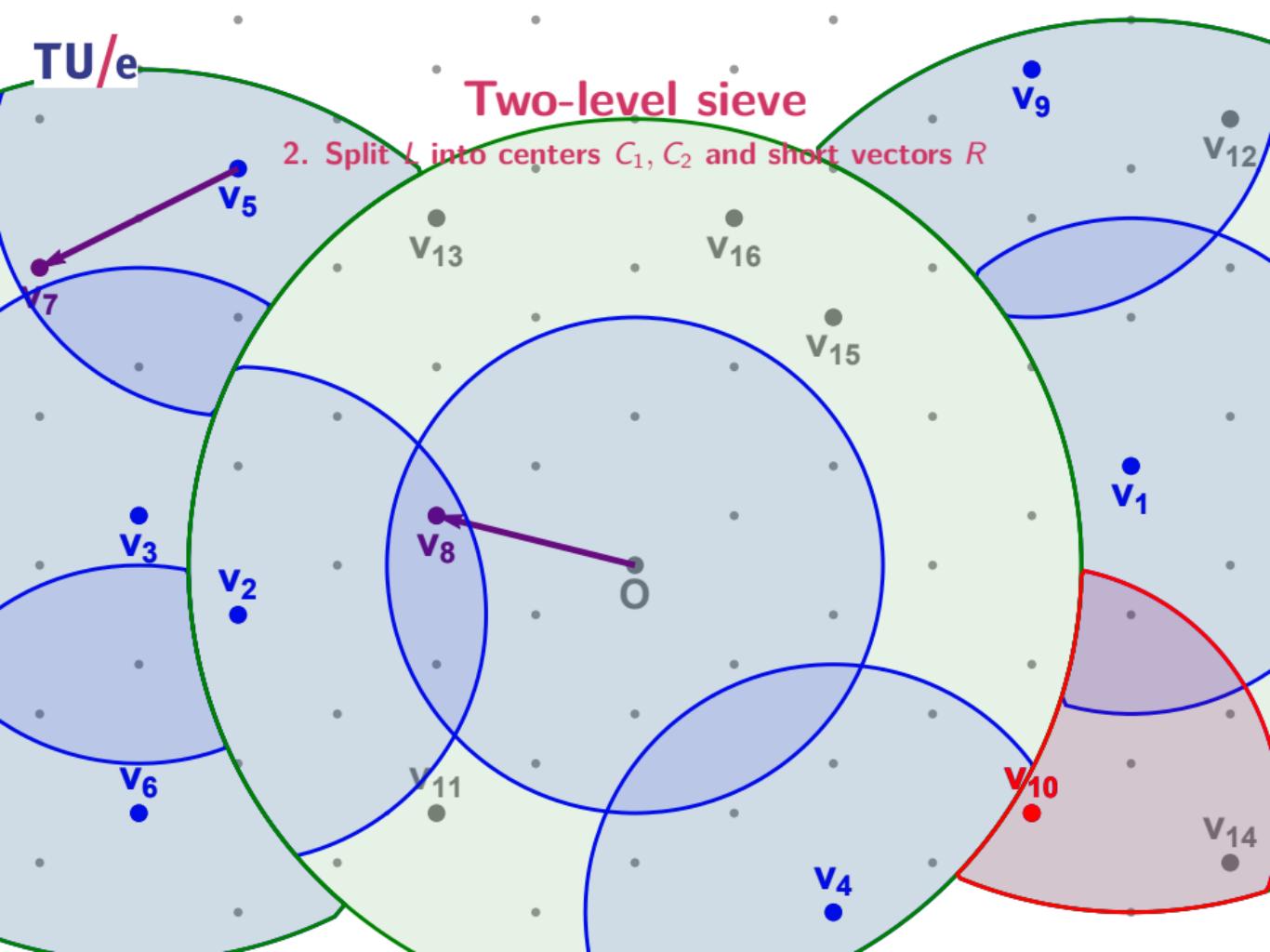
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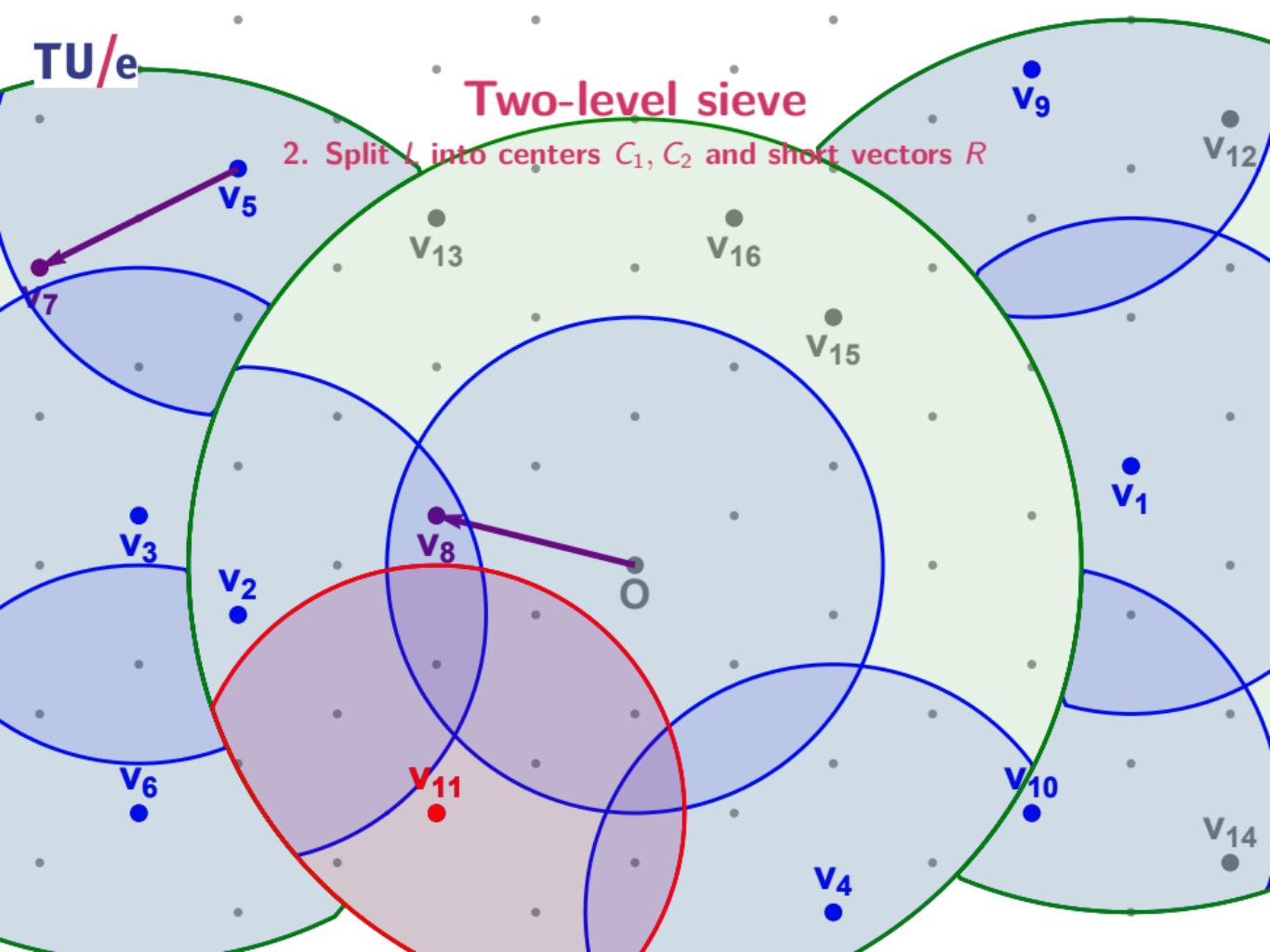
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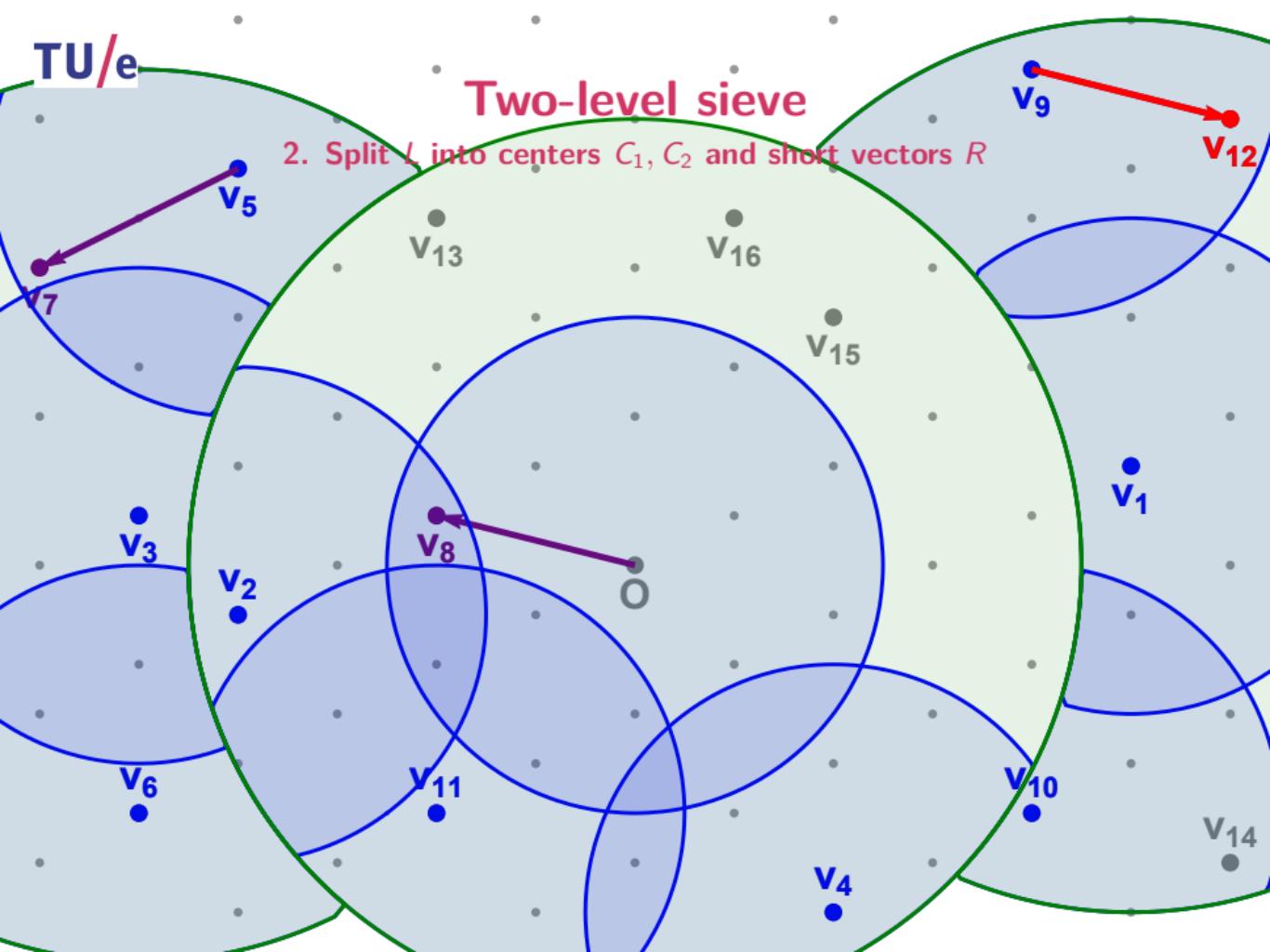
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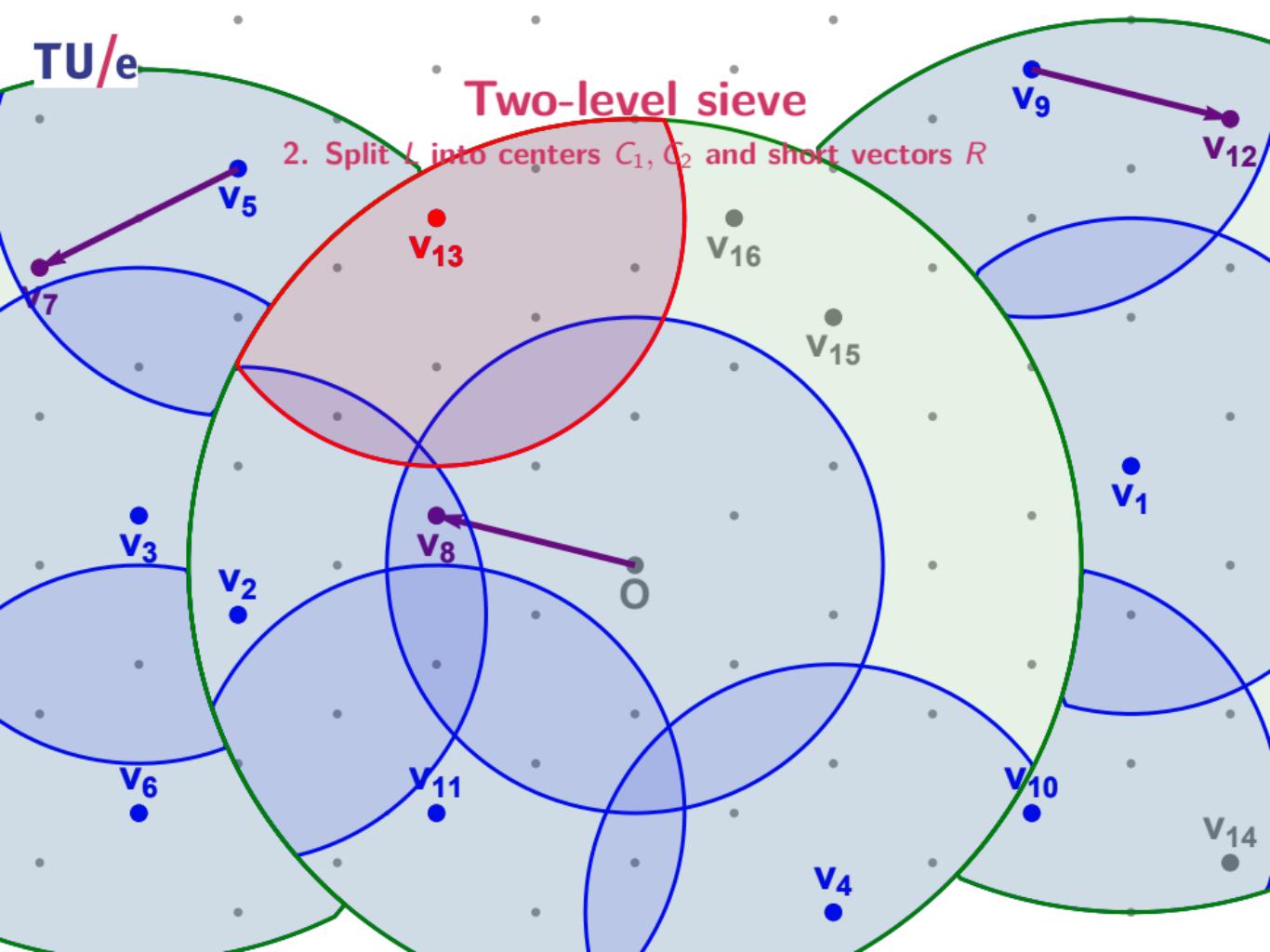
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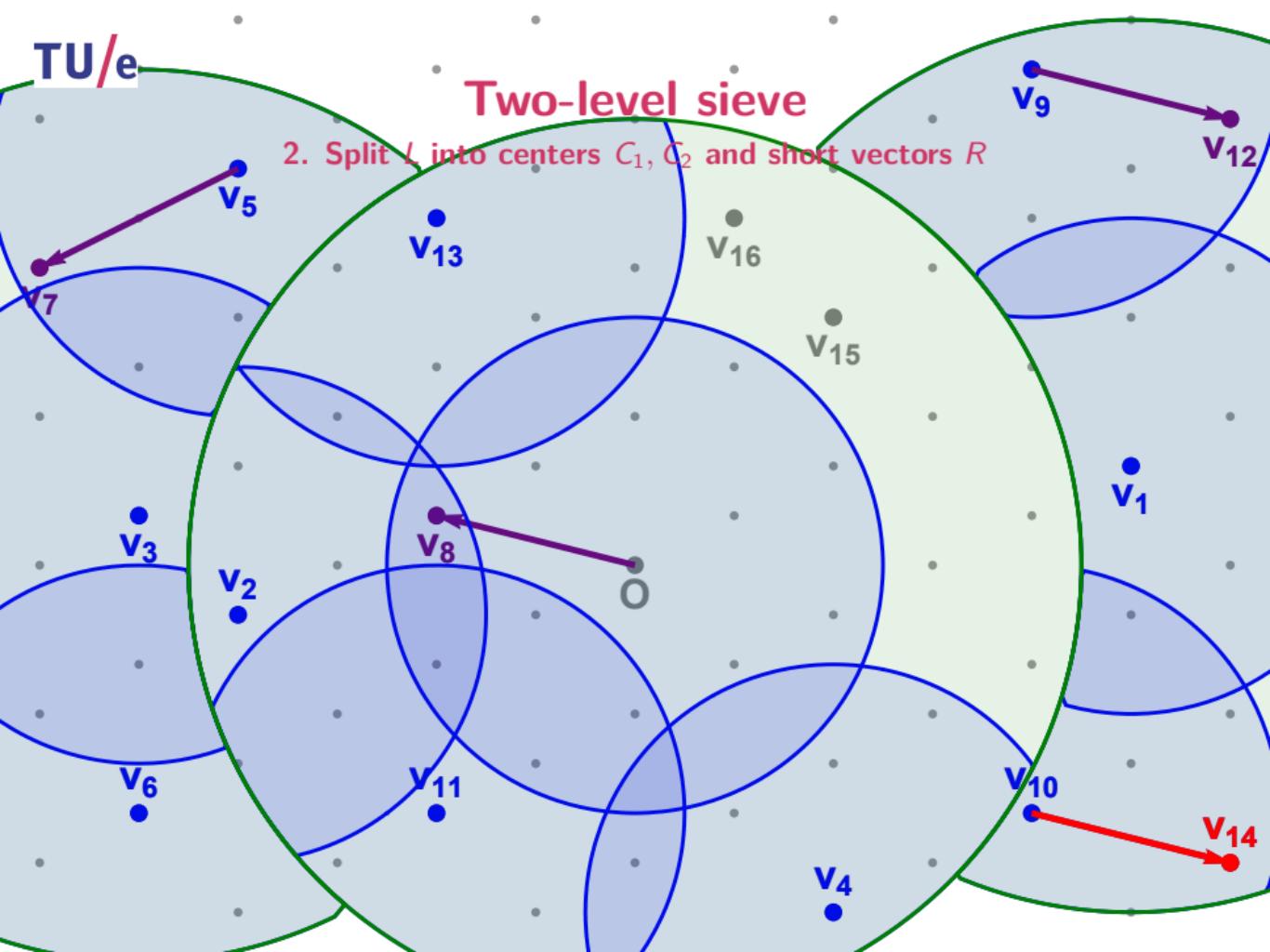
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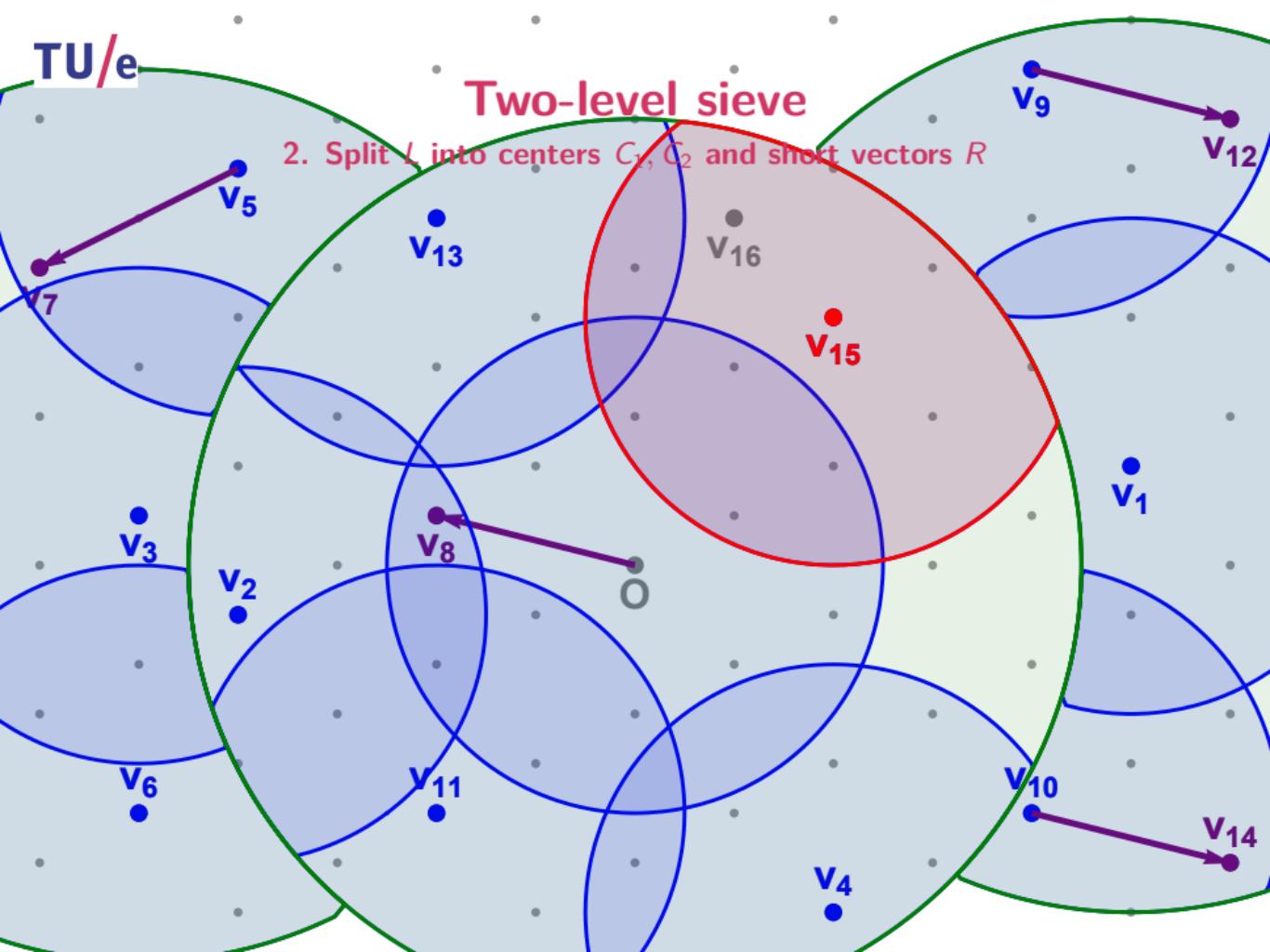
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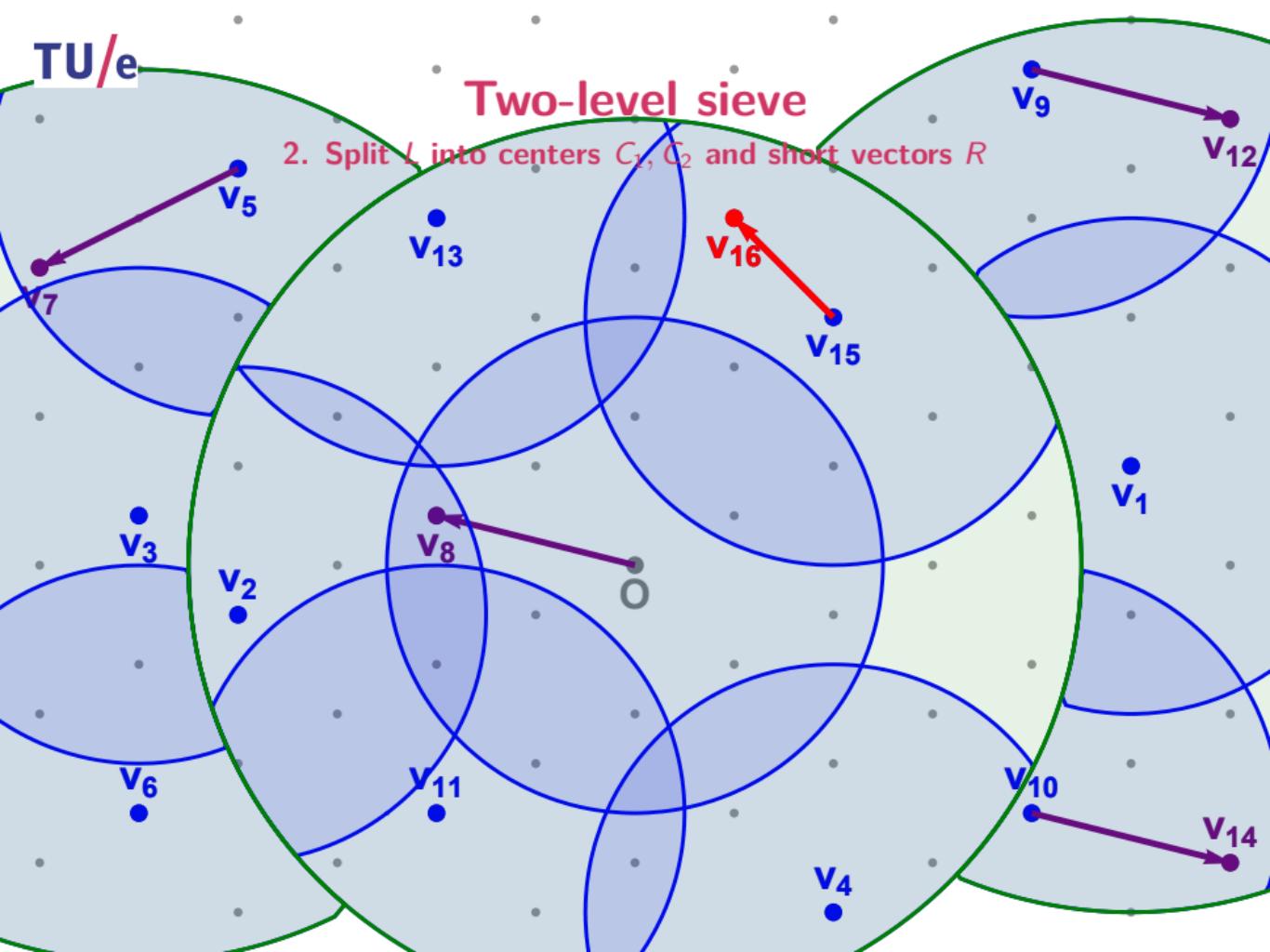
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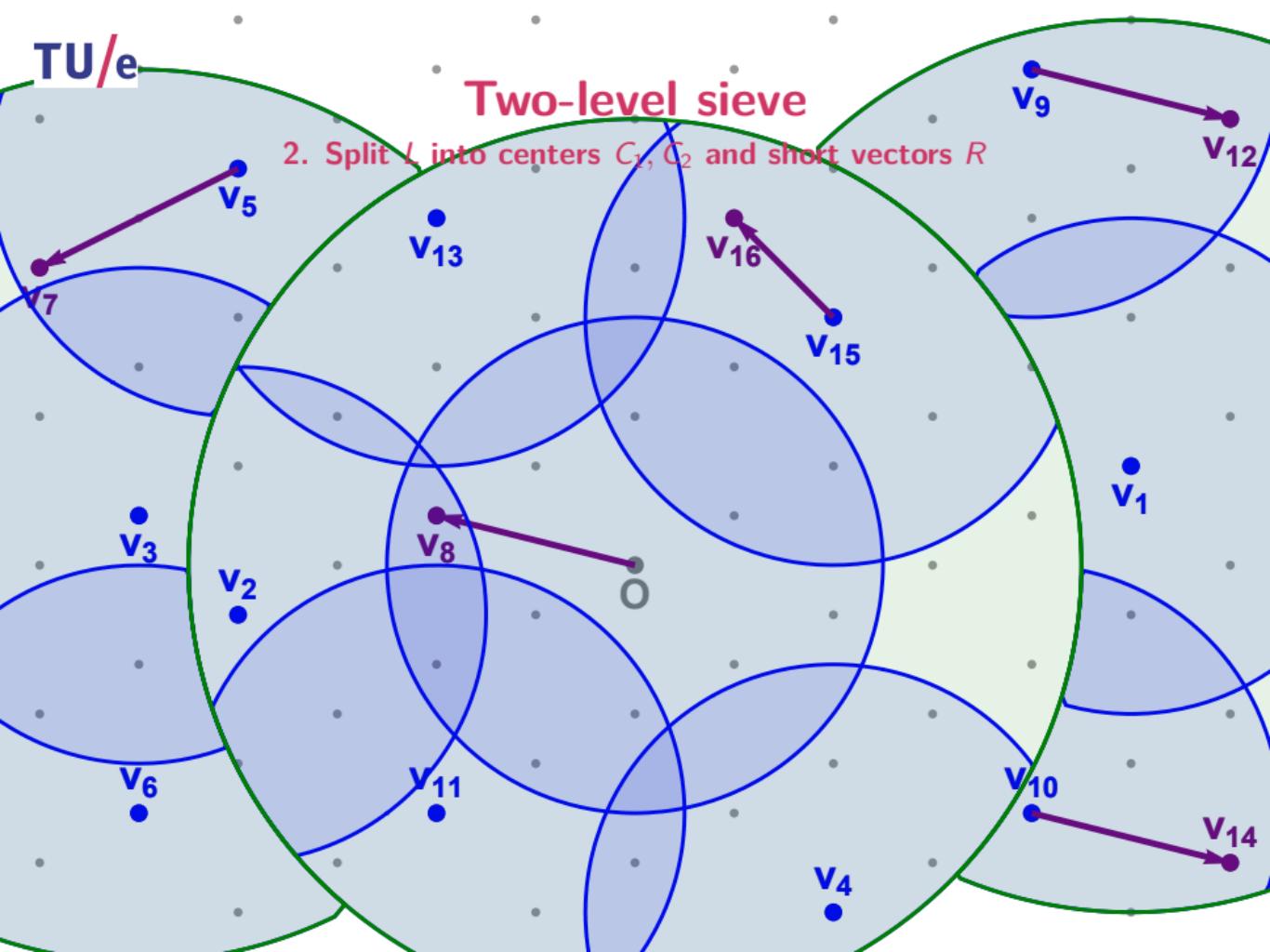
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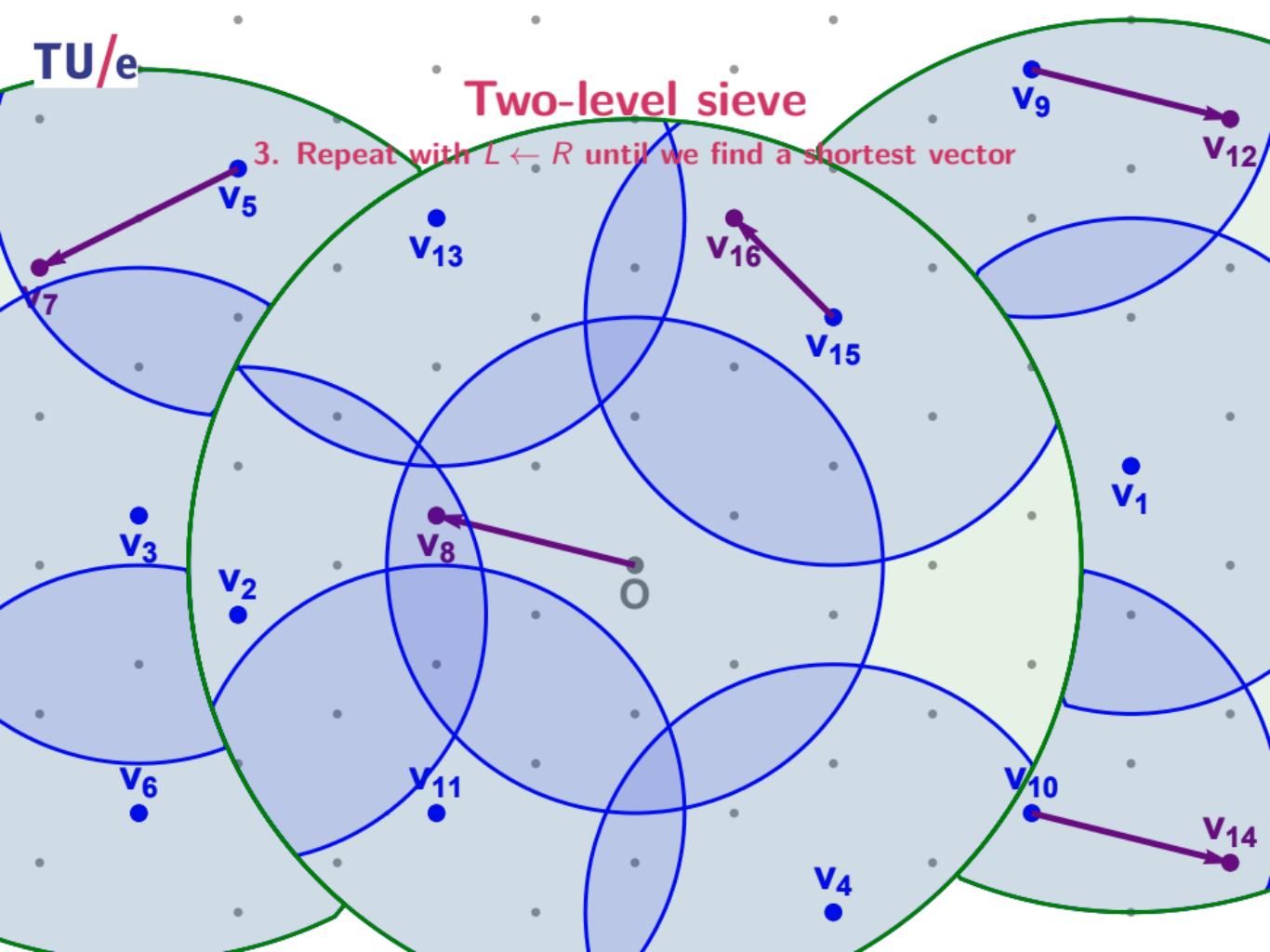
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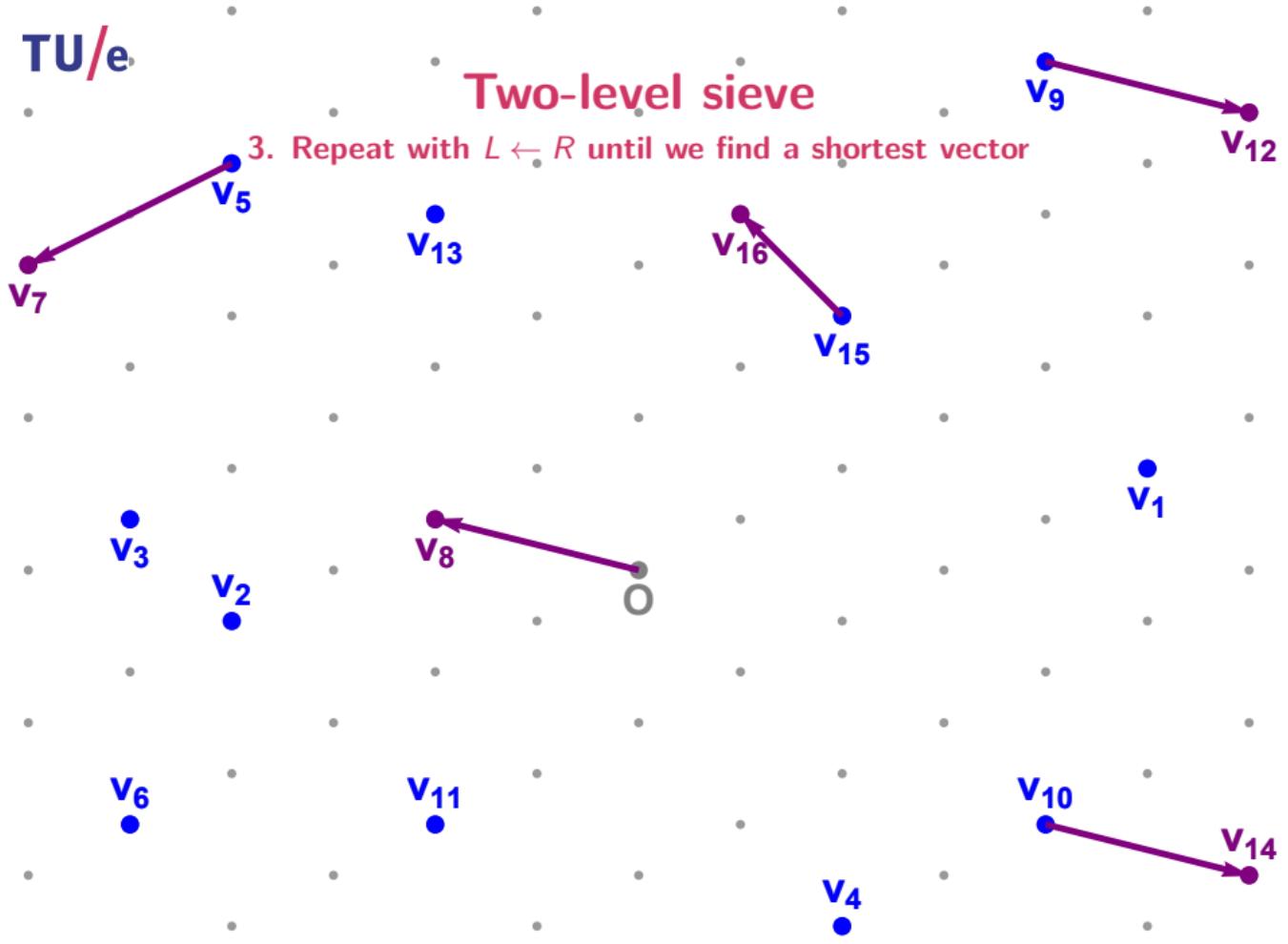
Two-level sieve

3. Repeat with $L \leftarrow R$ until we find a shortest vector



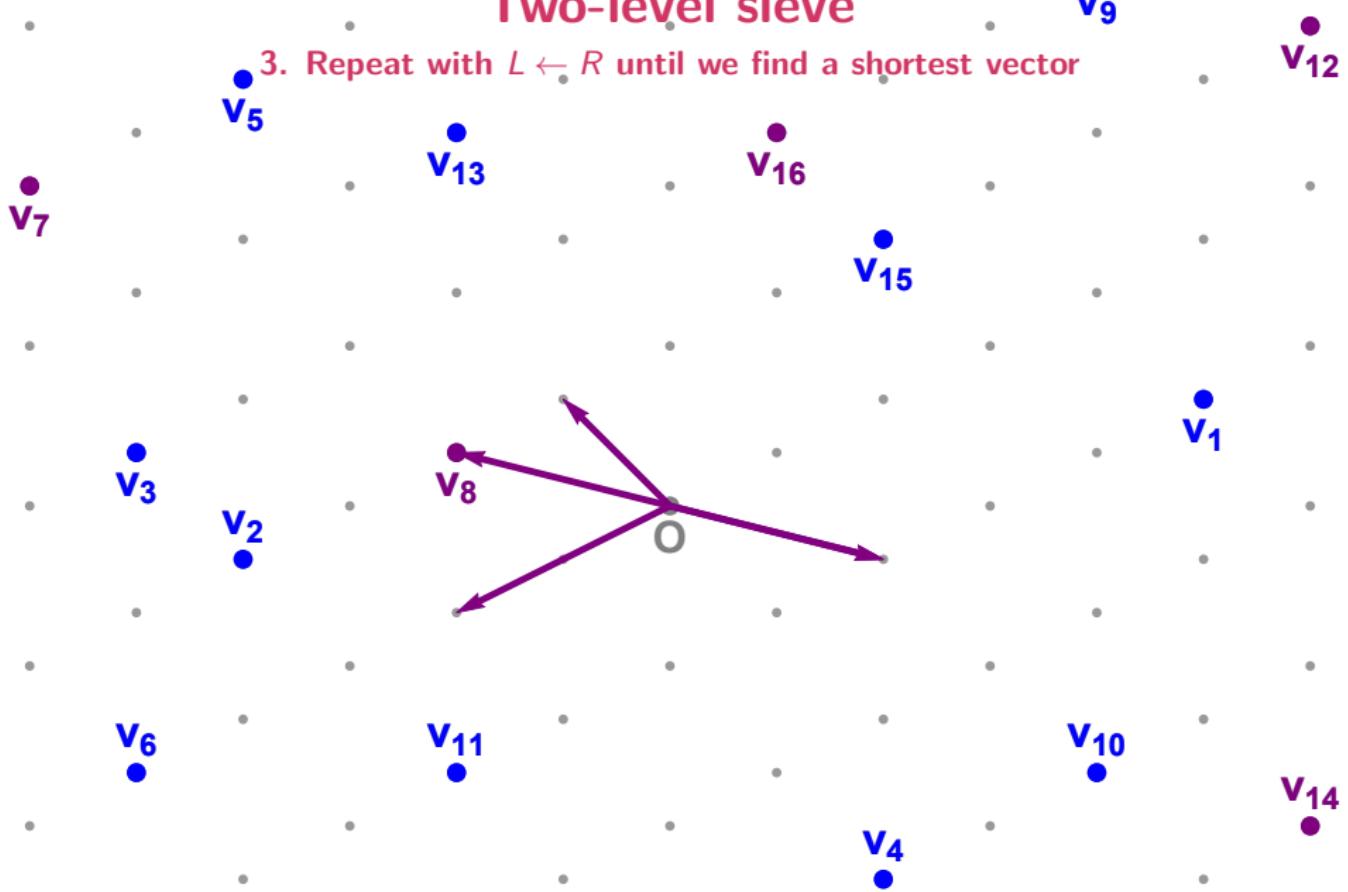
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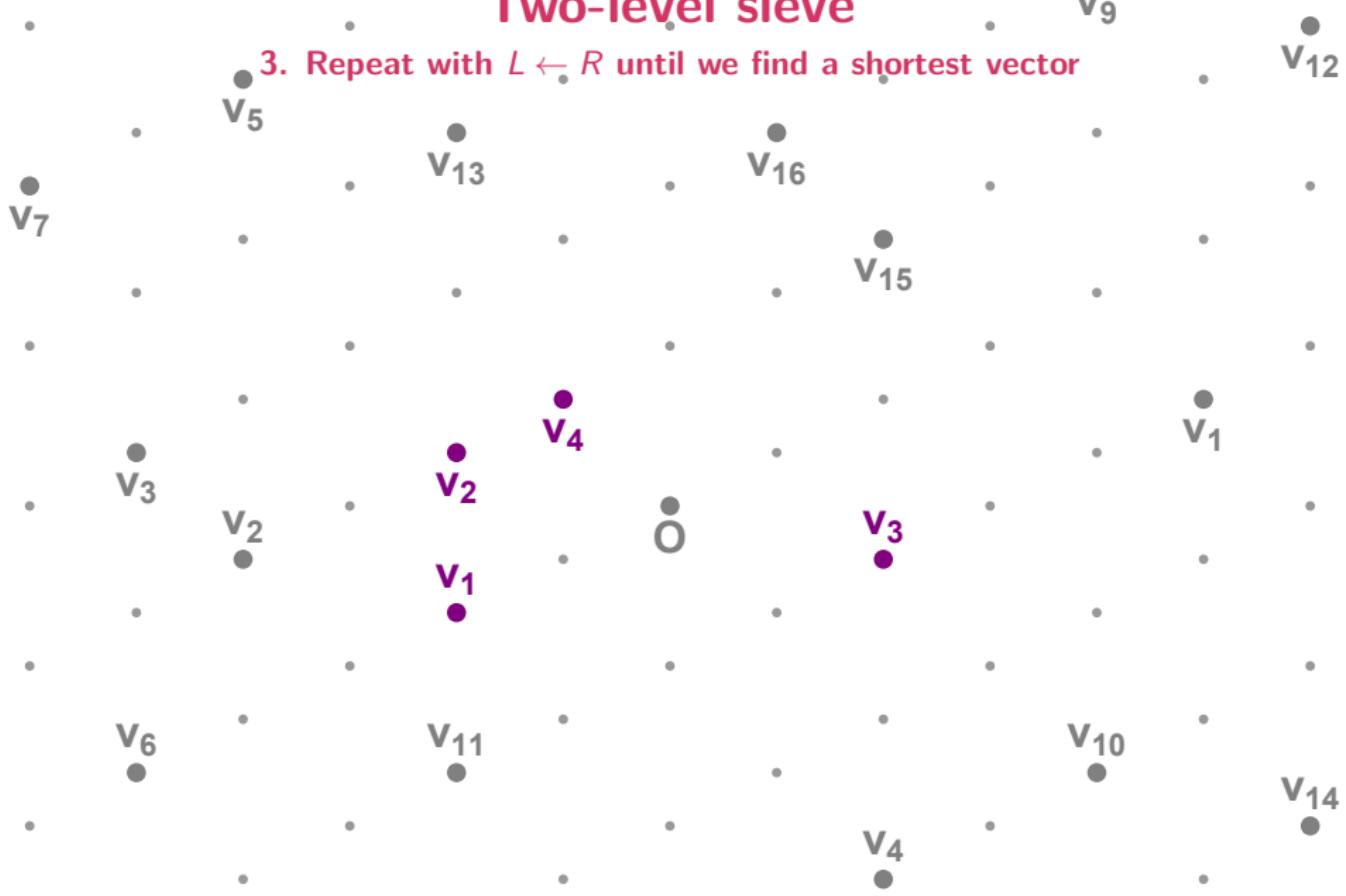
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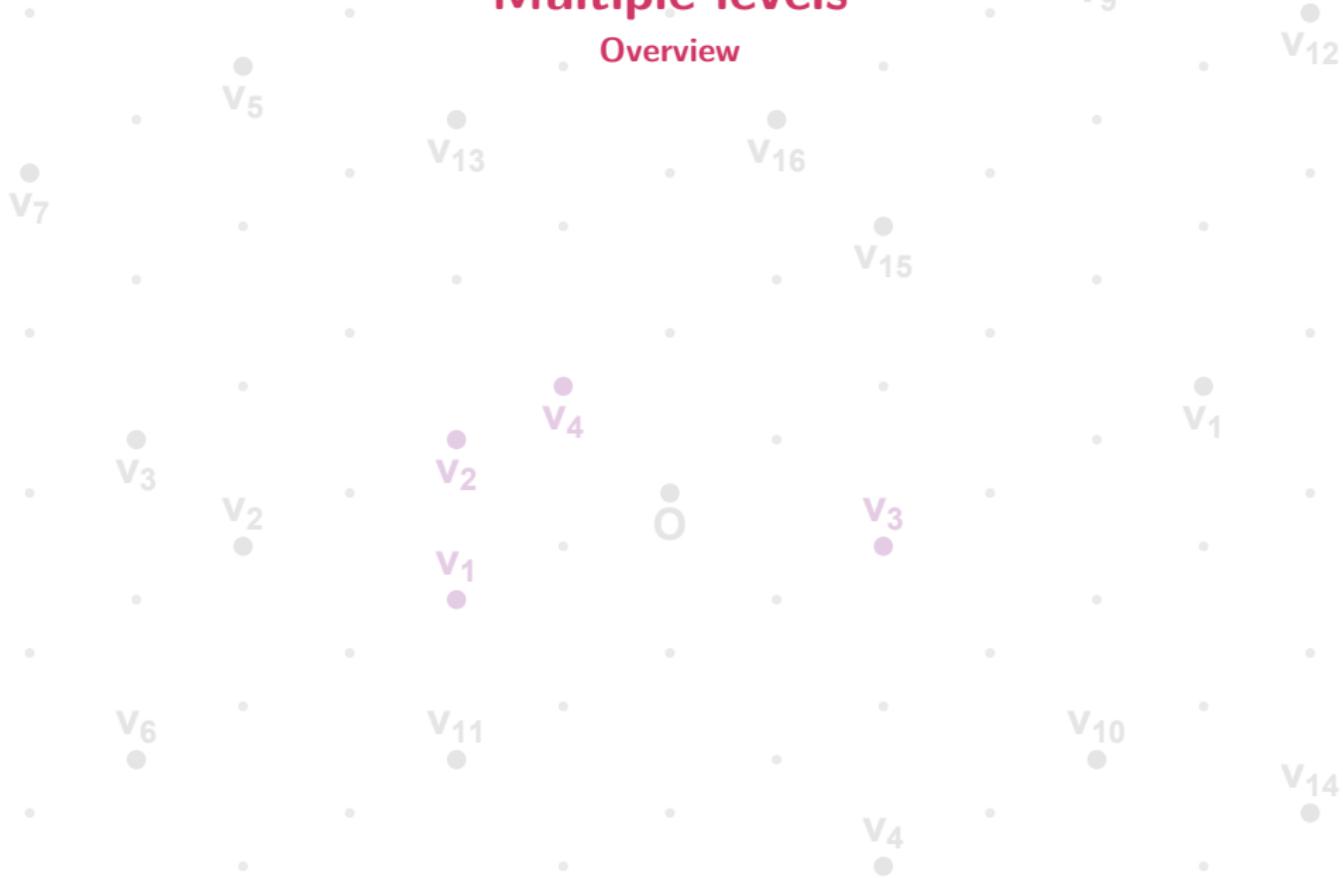
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Multiple levels

Overview



Multiple levels

Overview

Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The one-level sieve runs in time $2^{0.4150n}$ and space $2^{0.2075n}$.



Multiple levels

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Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The one-level sieve runs in time $2^{0.4150n}$ and space $2^{0.2075n}$.

Heuristic (Wang et al., ASIACCS'11)

The two-level sieve runs in time $2^{0.3836n}$ and space $2^{0.2557n}$.

Multiple levels

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Heuristic (Zhang et al., SAC'13)

The three-level sieve runs in time $2^{0.3778n}$ and space $2^{0.2833n}$.

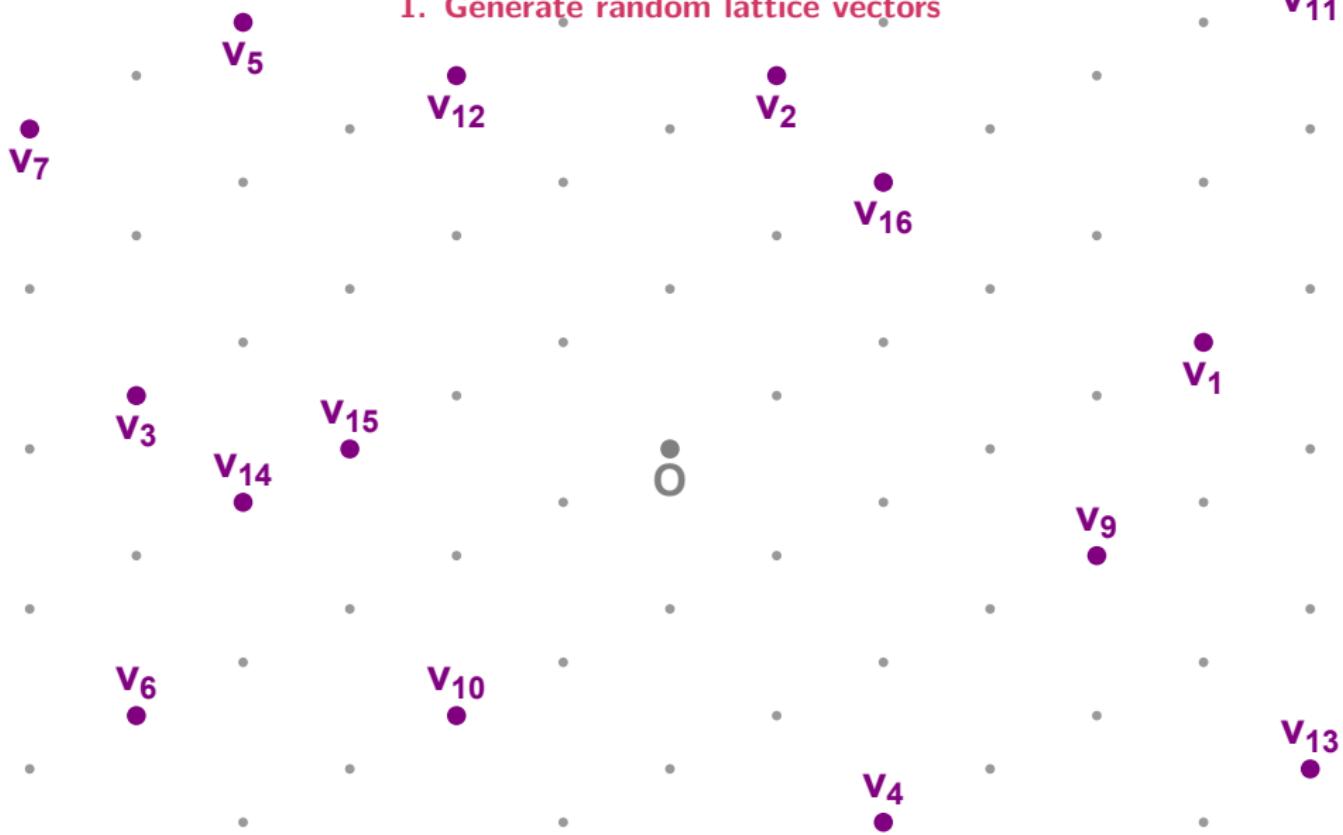
GaussSieve

1. Generate random lattice vectors



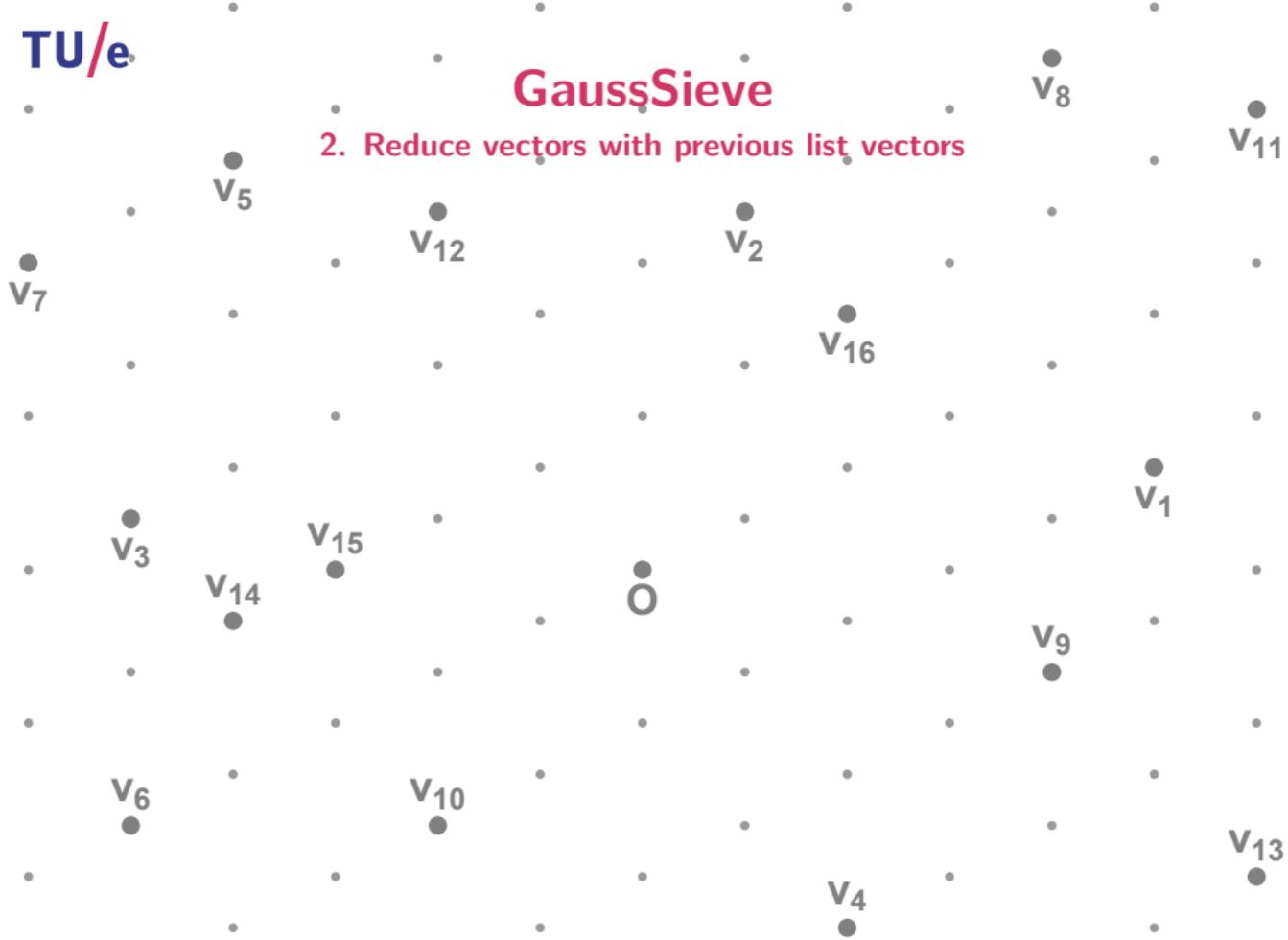
GaussSieve

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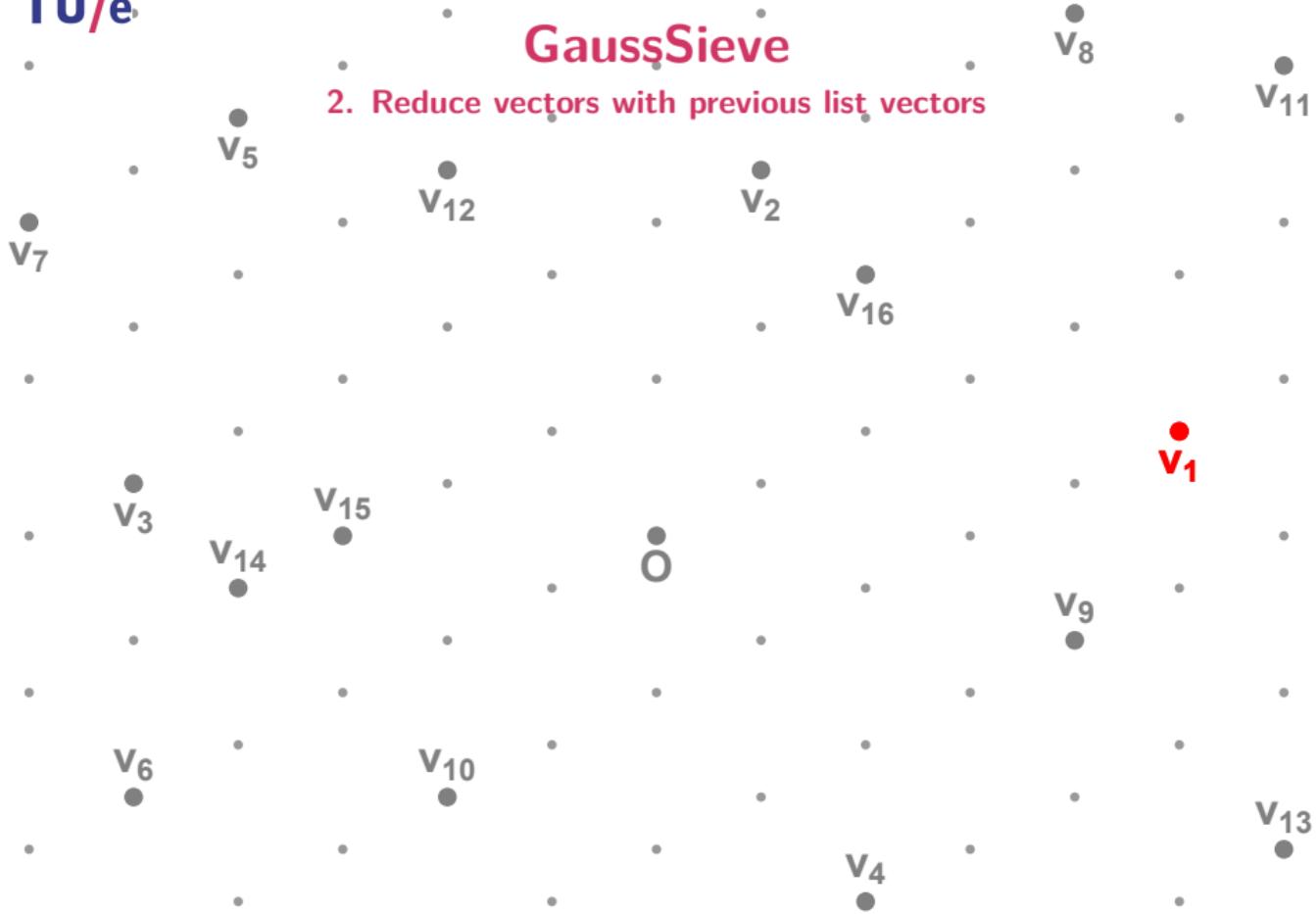
GaussSieve

2. Reduce vectors with previous list vectors



GaussSieve

2. Reduce vectors with previous list vectors



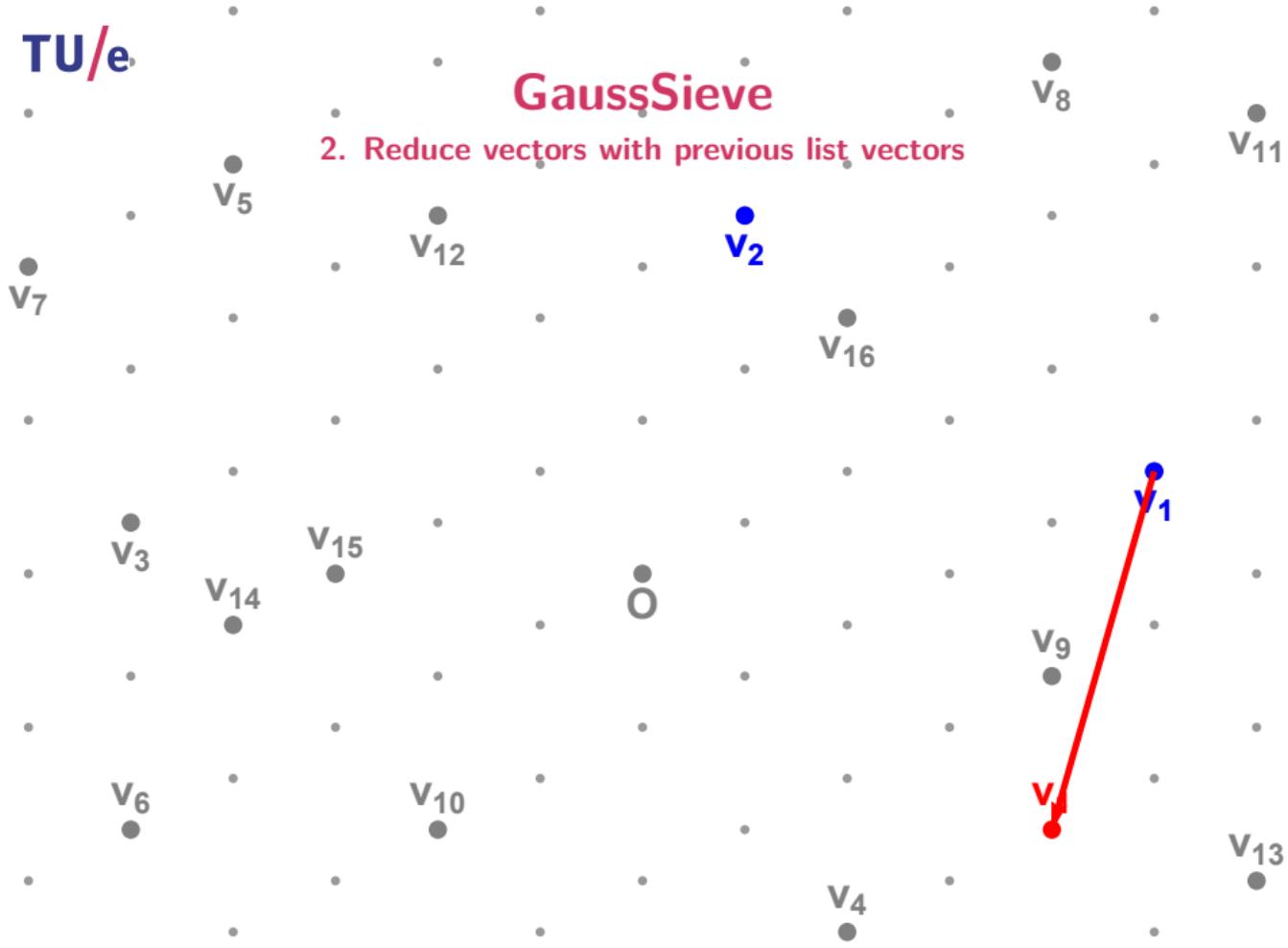
GaussSieve

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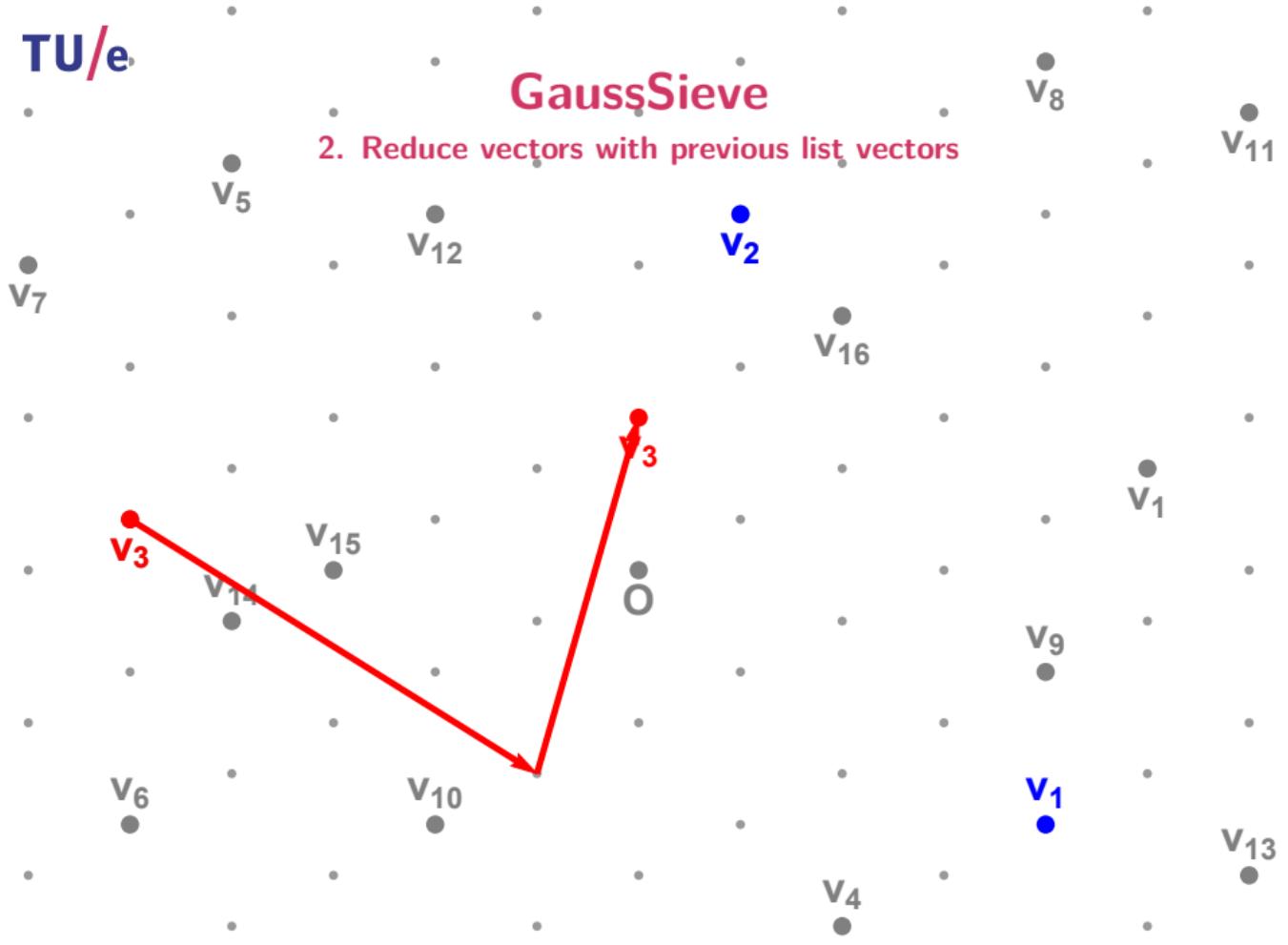
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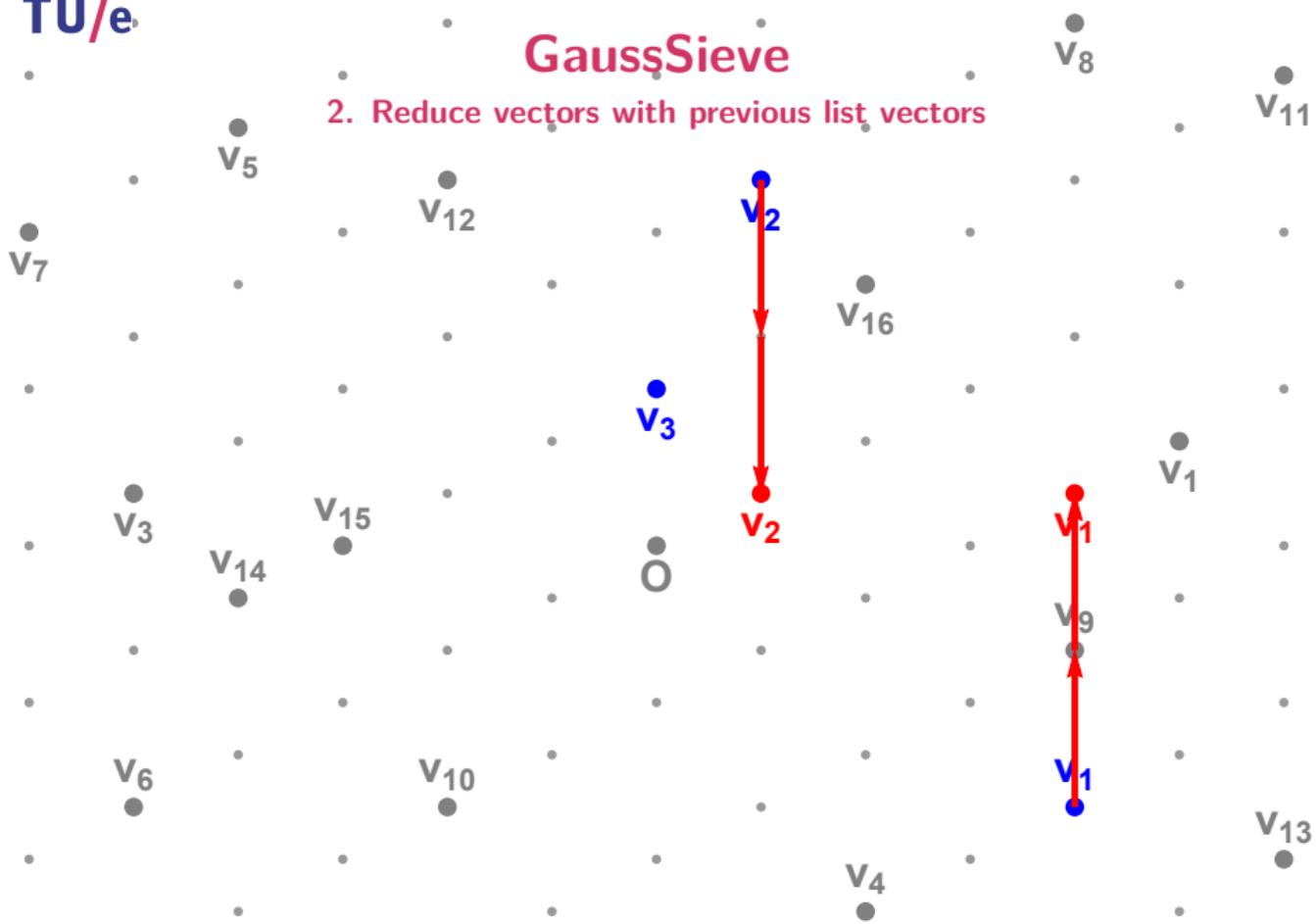
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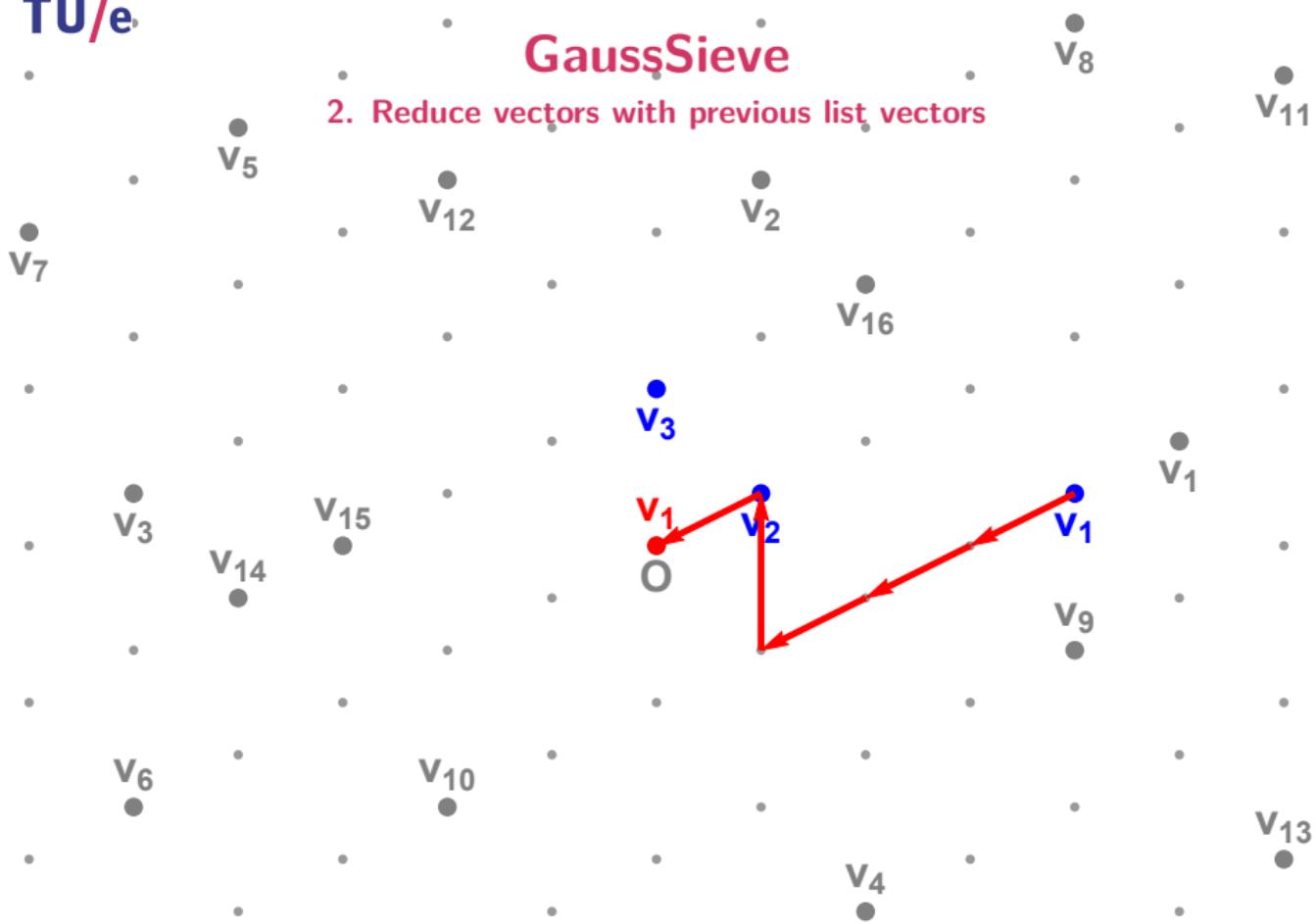
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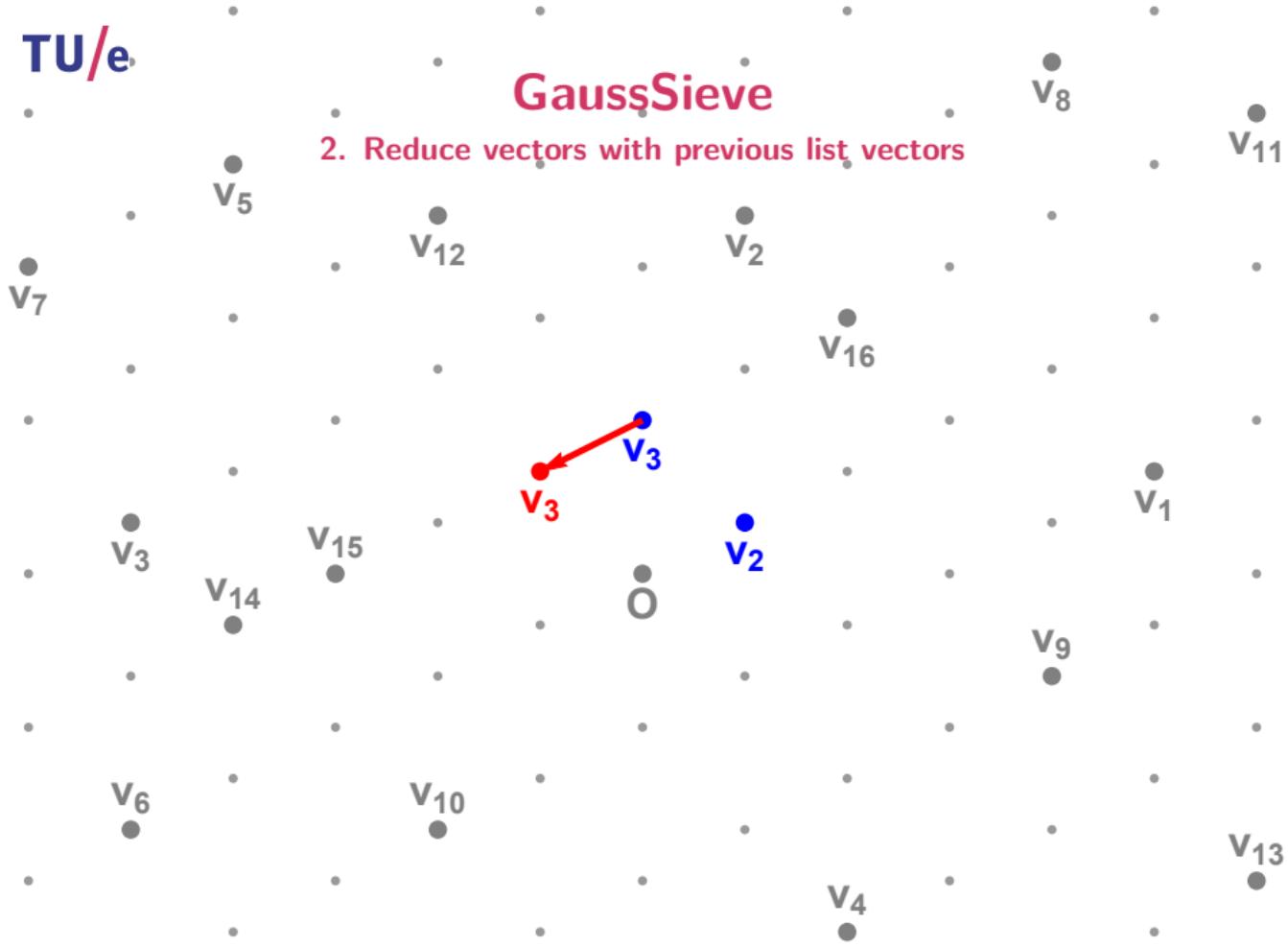
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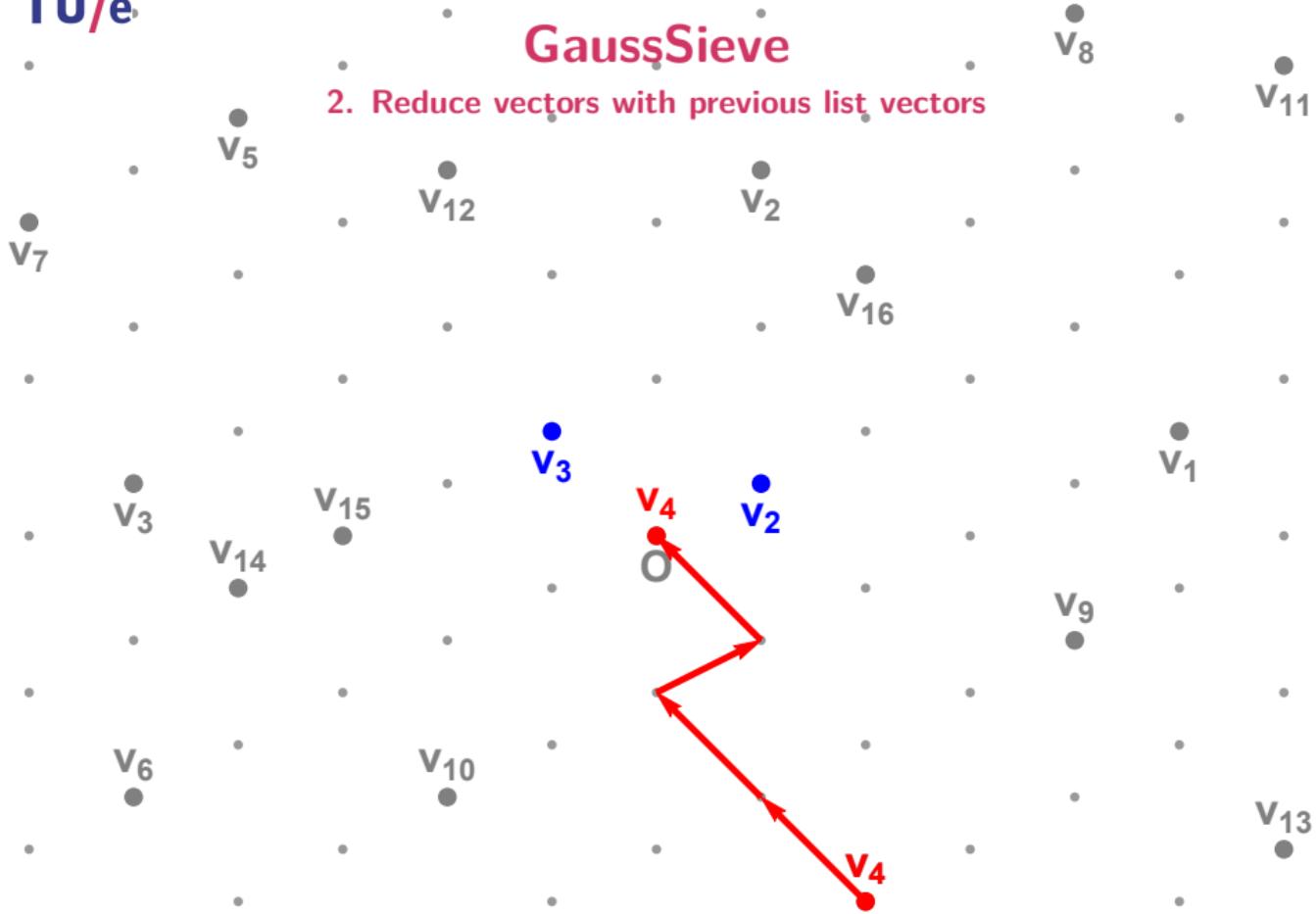
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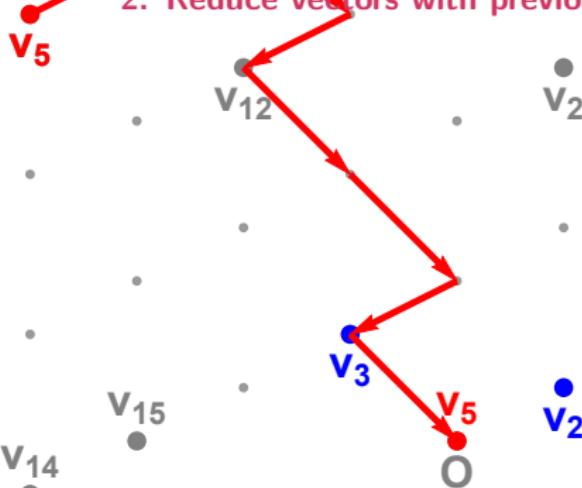
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GaussSieve

2. Reduce vectors with previous list vectors



v_6

v_3

v_{14}

v_5

v_{10}

v_{12}

v_3

v_5

v_2

v_2

v_4

v_{16}

v_9

v_8

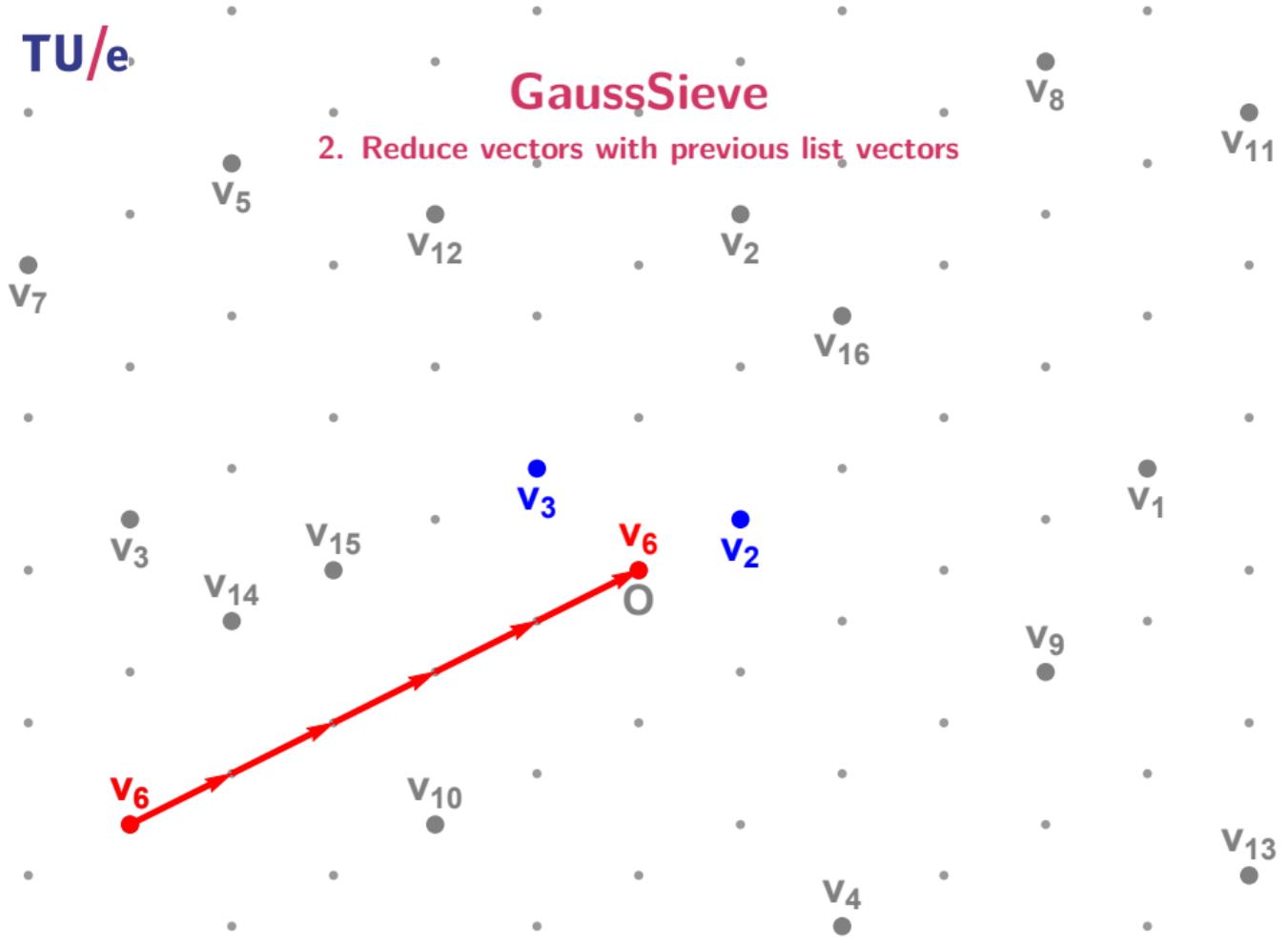
v_1

v_{13}

v_{11}

GaussSieve

2. Reduce vectors with previous list vectors



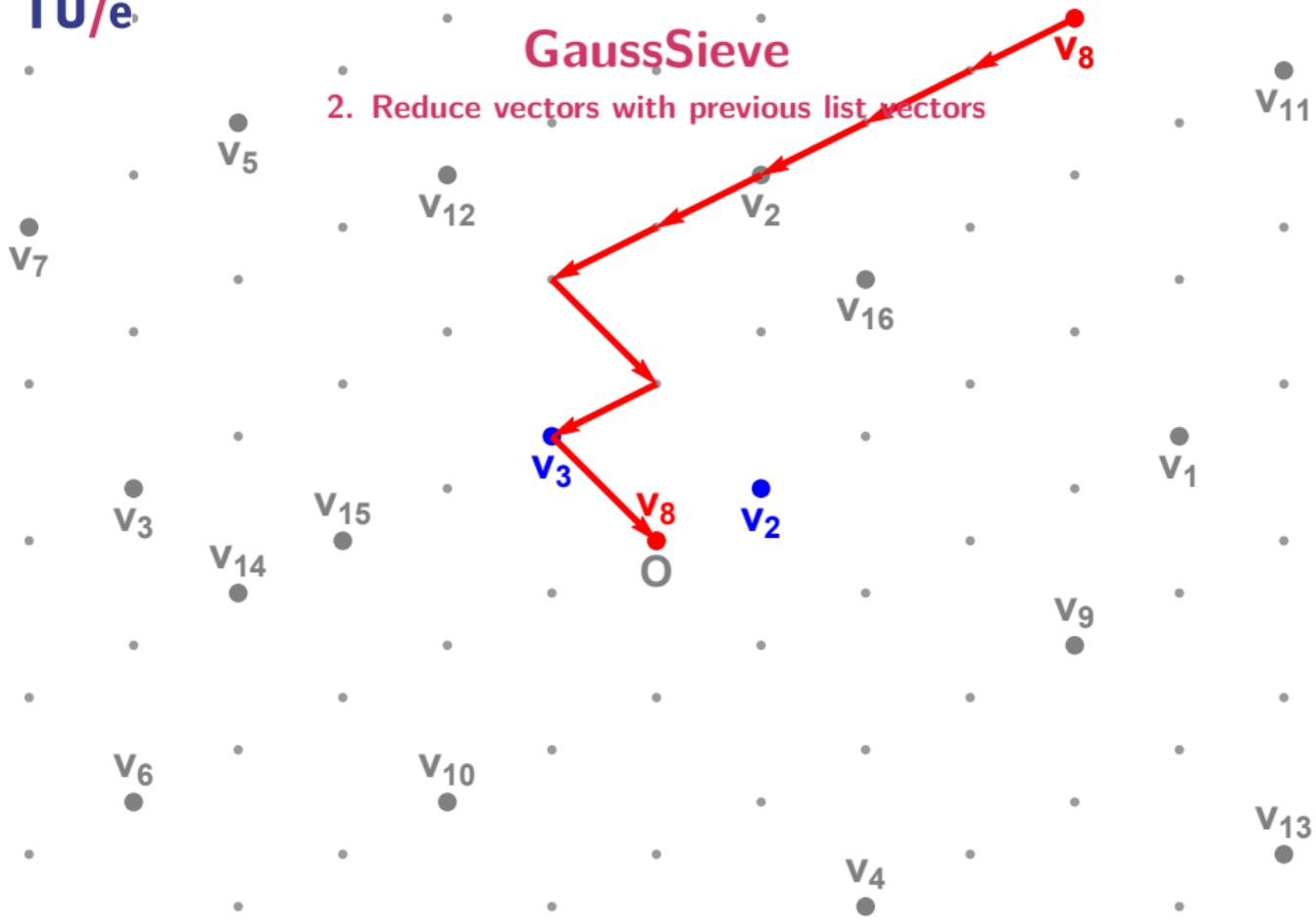
GaussSieve

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 v_7 v_5 v_{12} v_2 v_{16} v_3 v_{14} v_{15} v_2 v_1 v_9 v_6 v_{10} v_4 v_{13} v_3 v_7 O

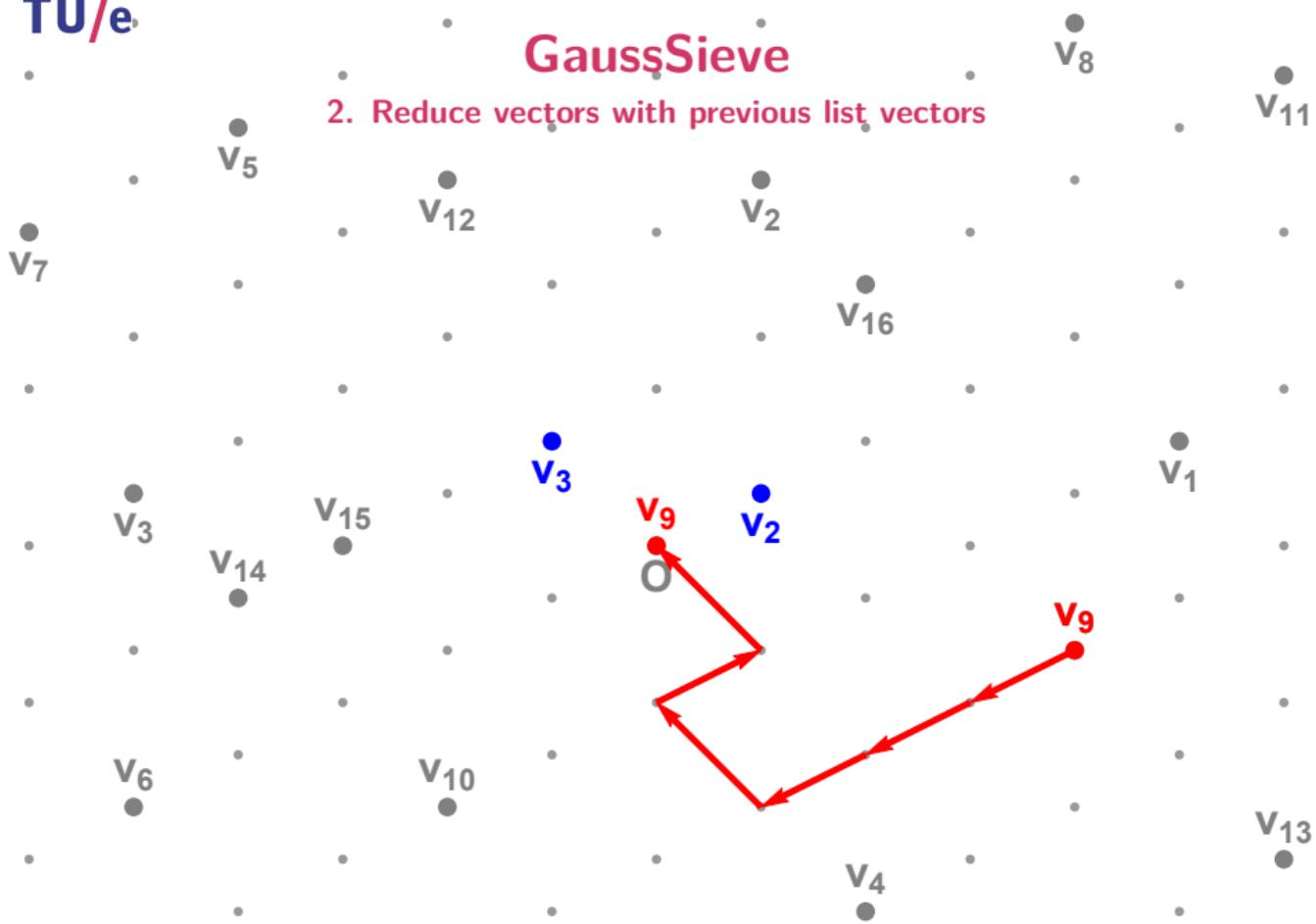
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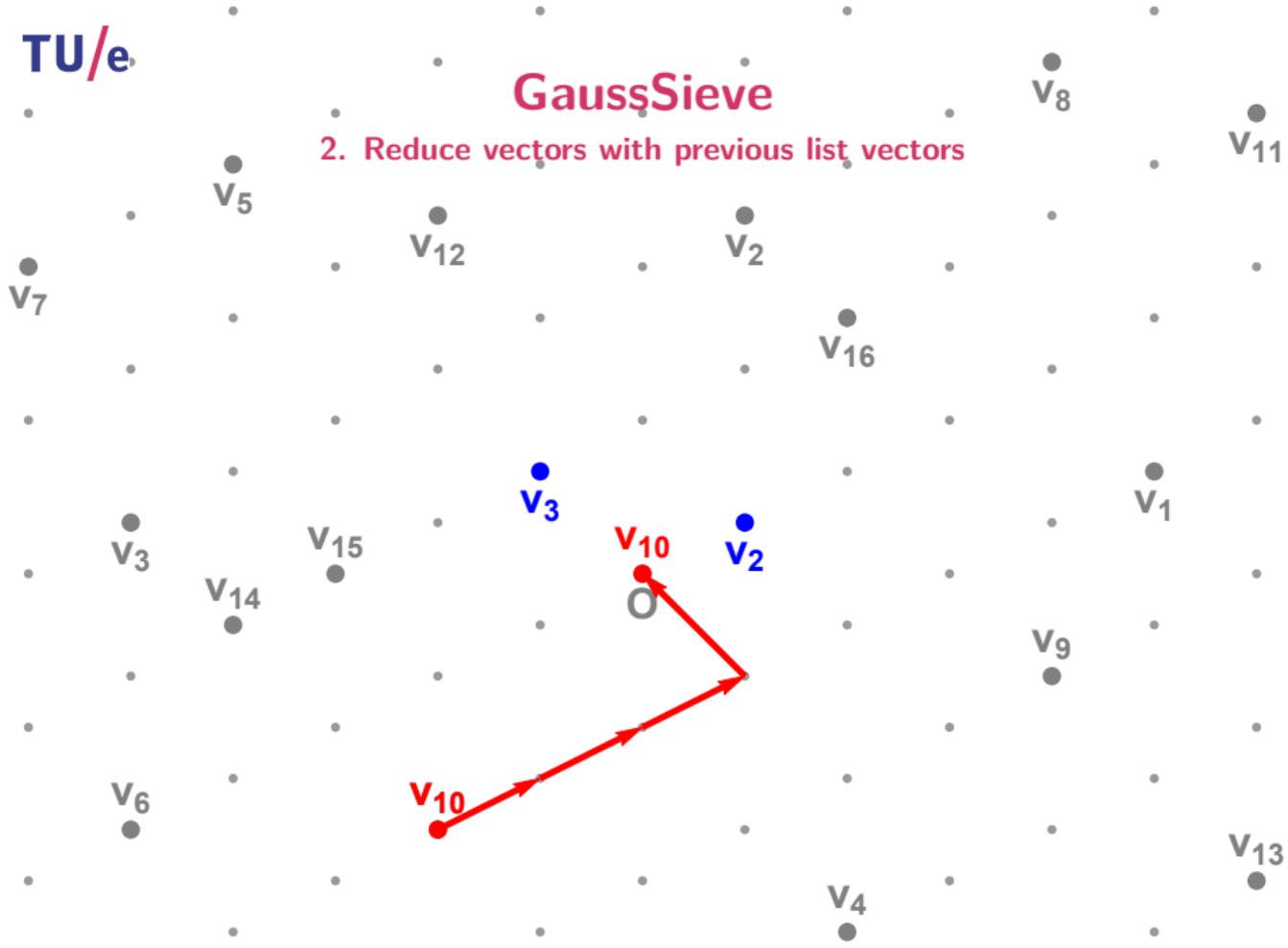
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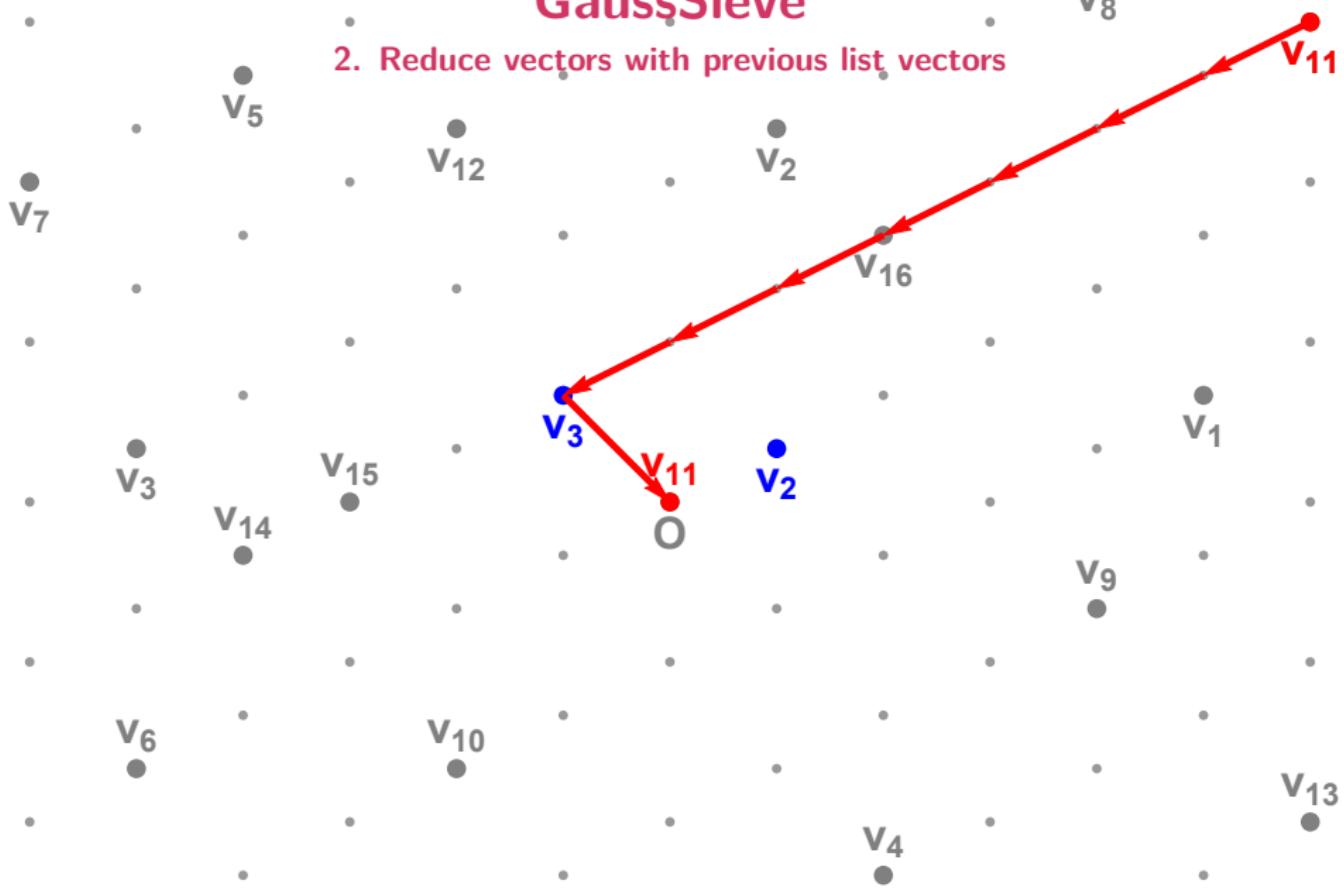
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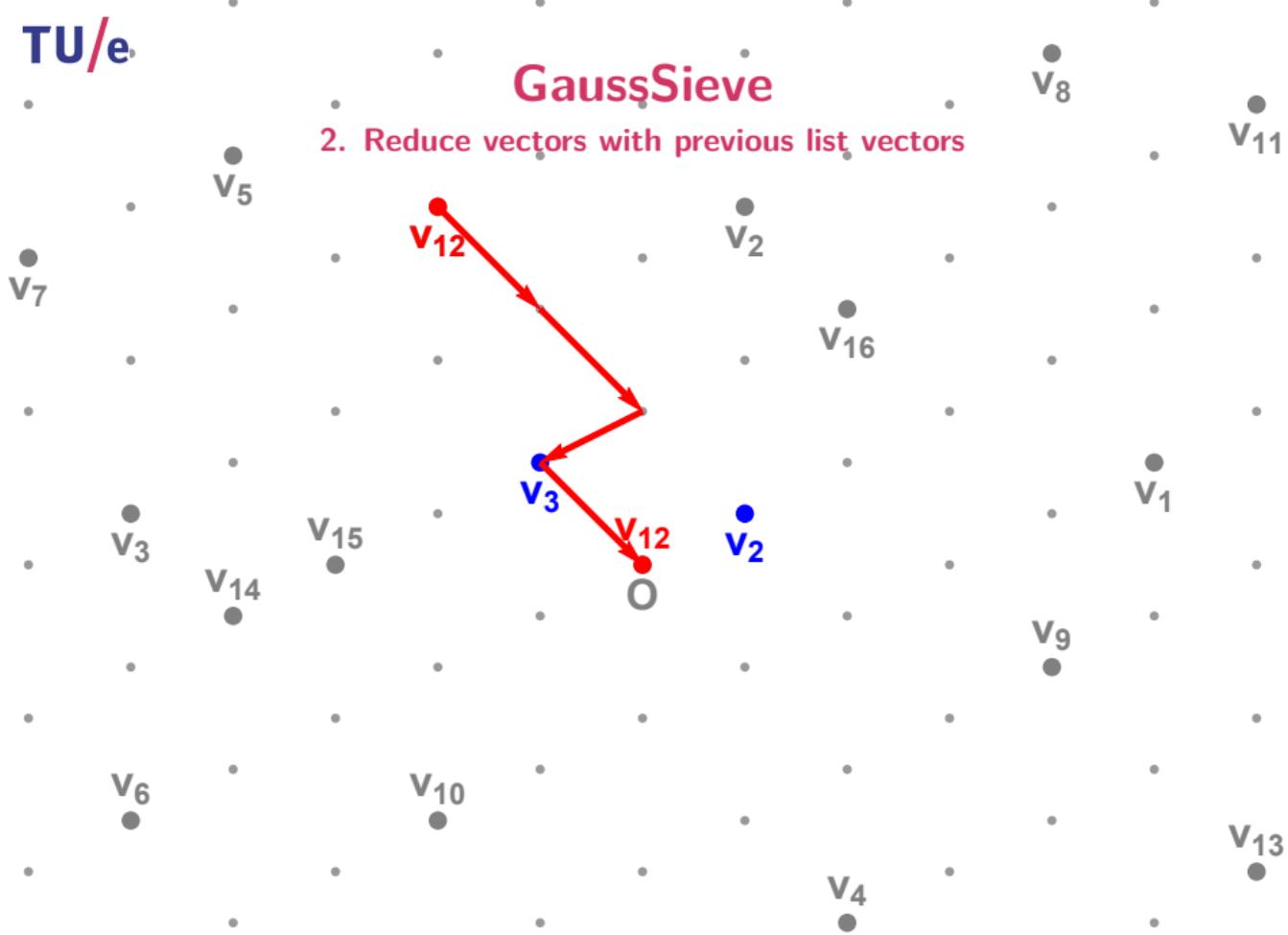
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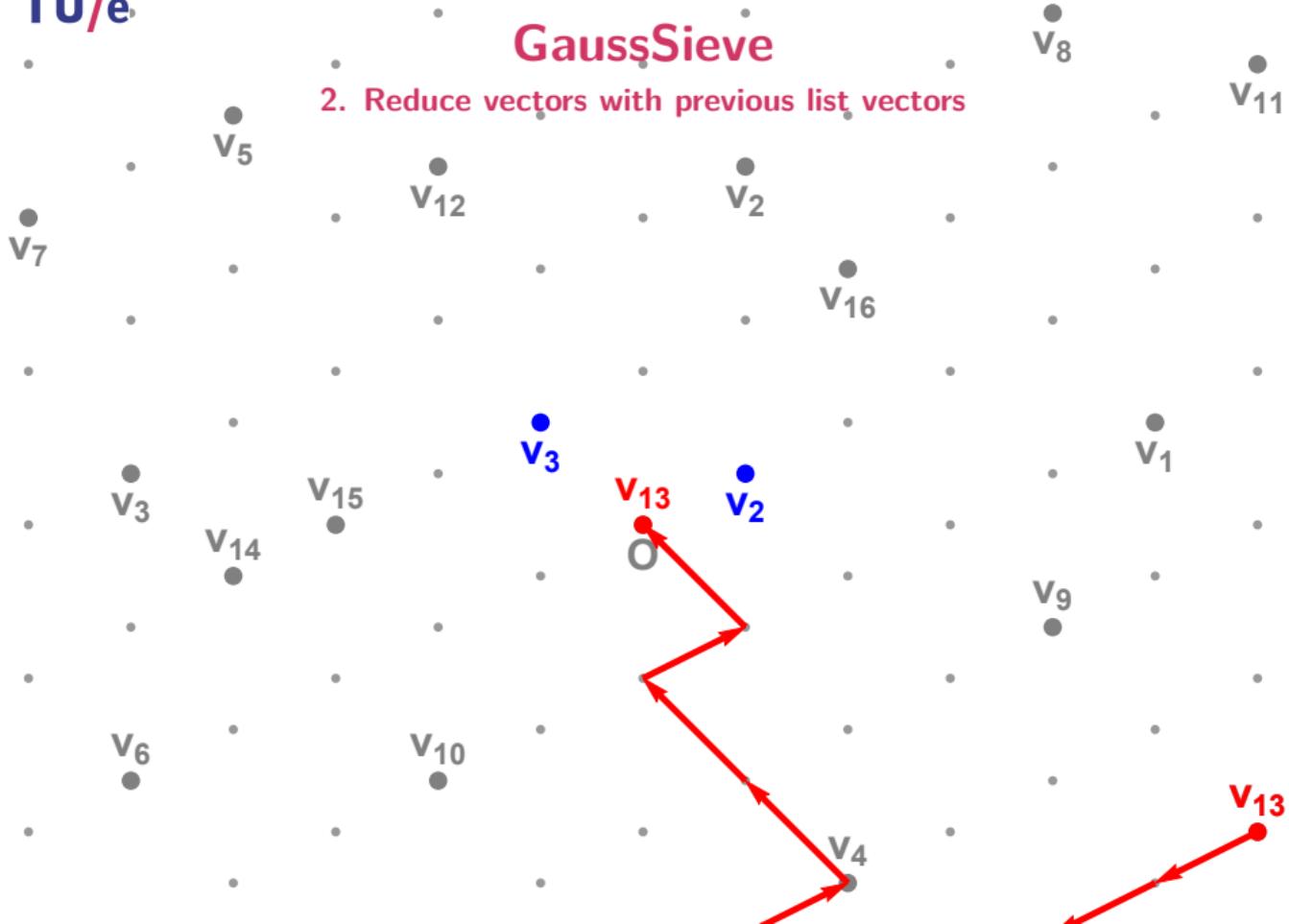
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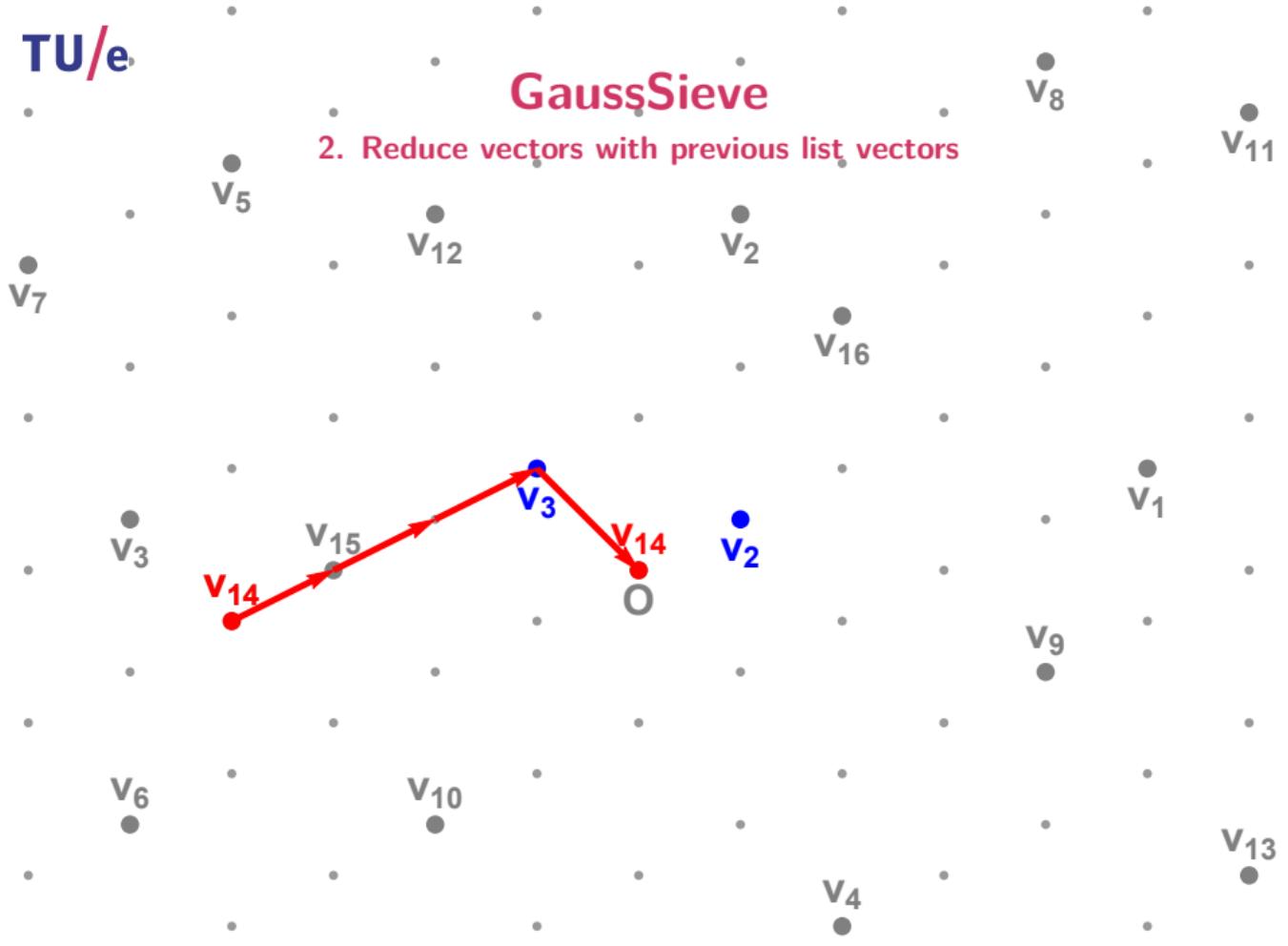
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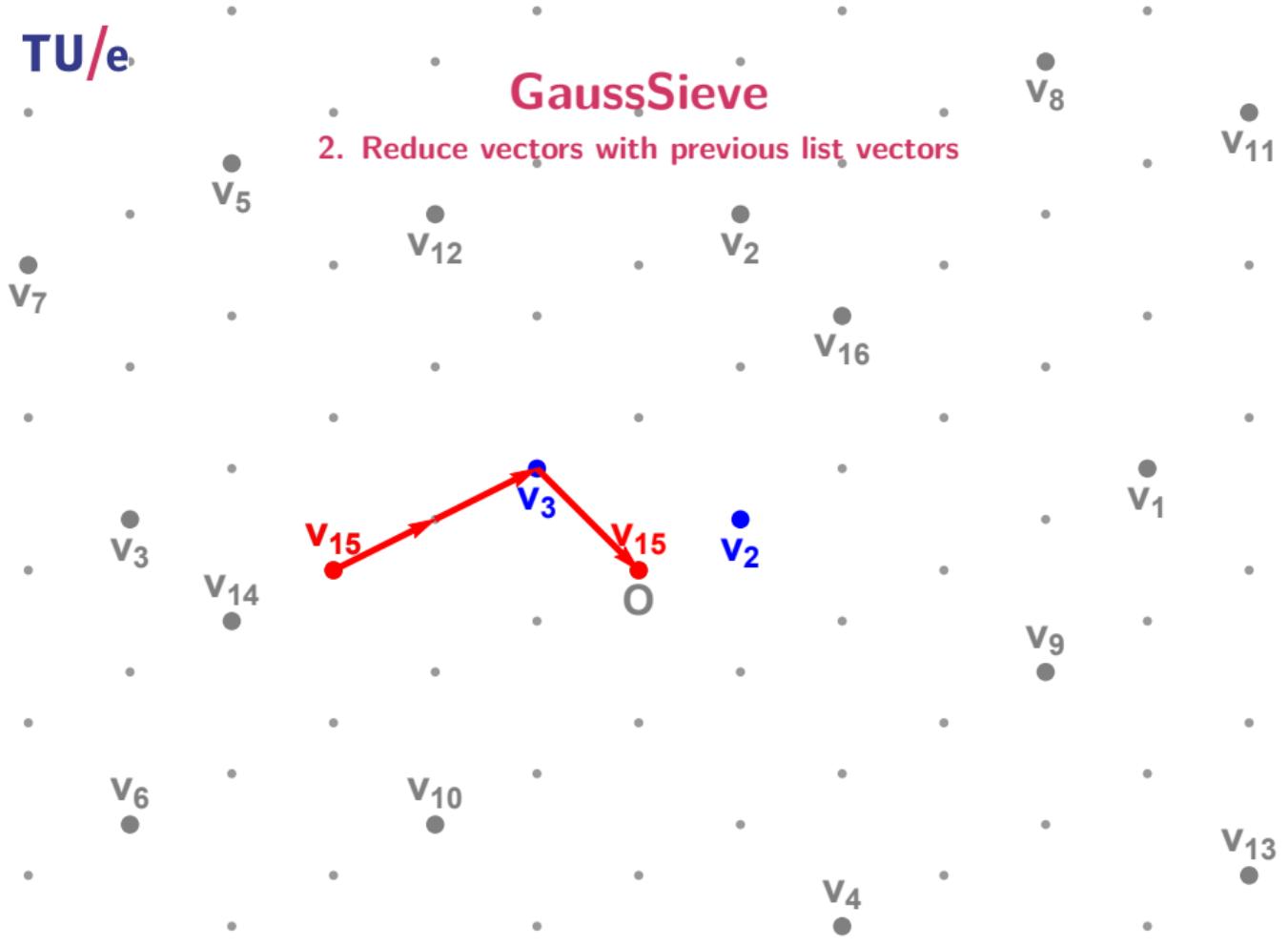
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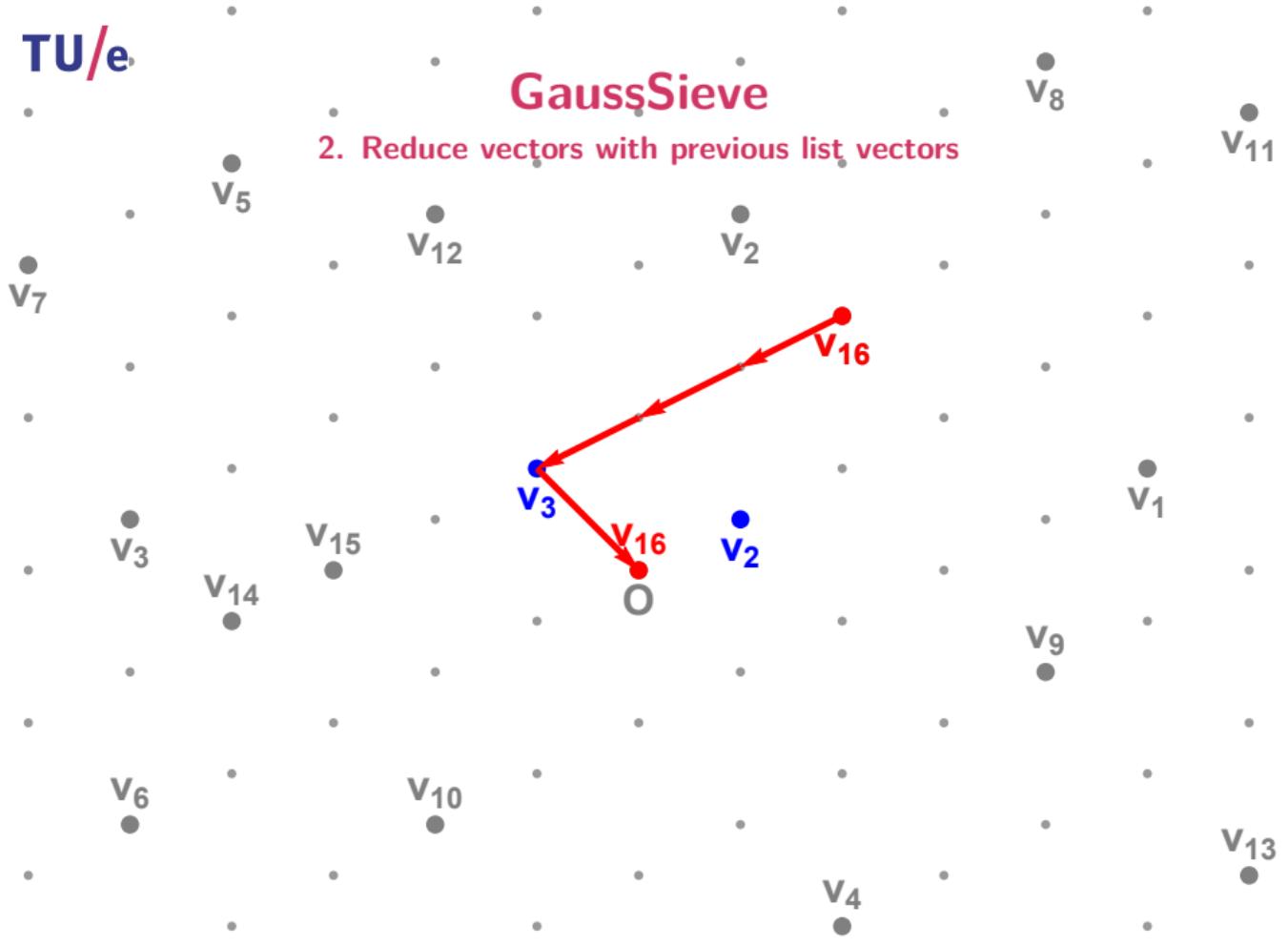
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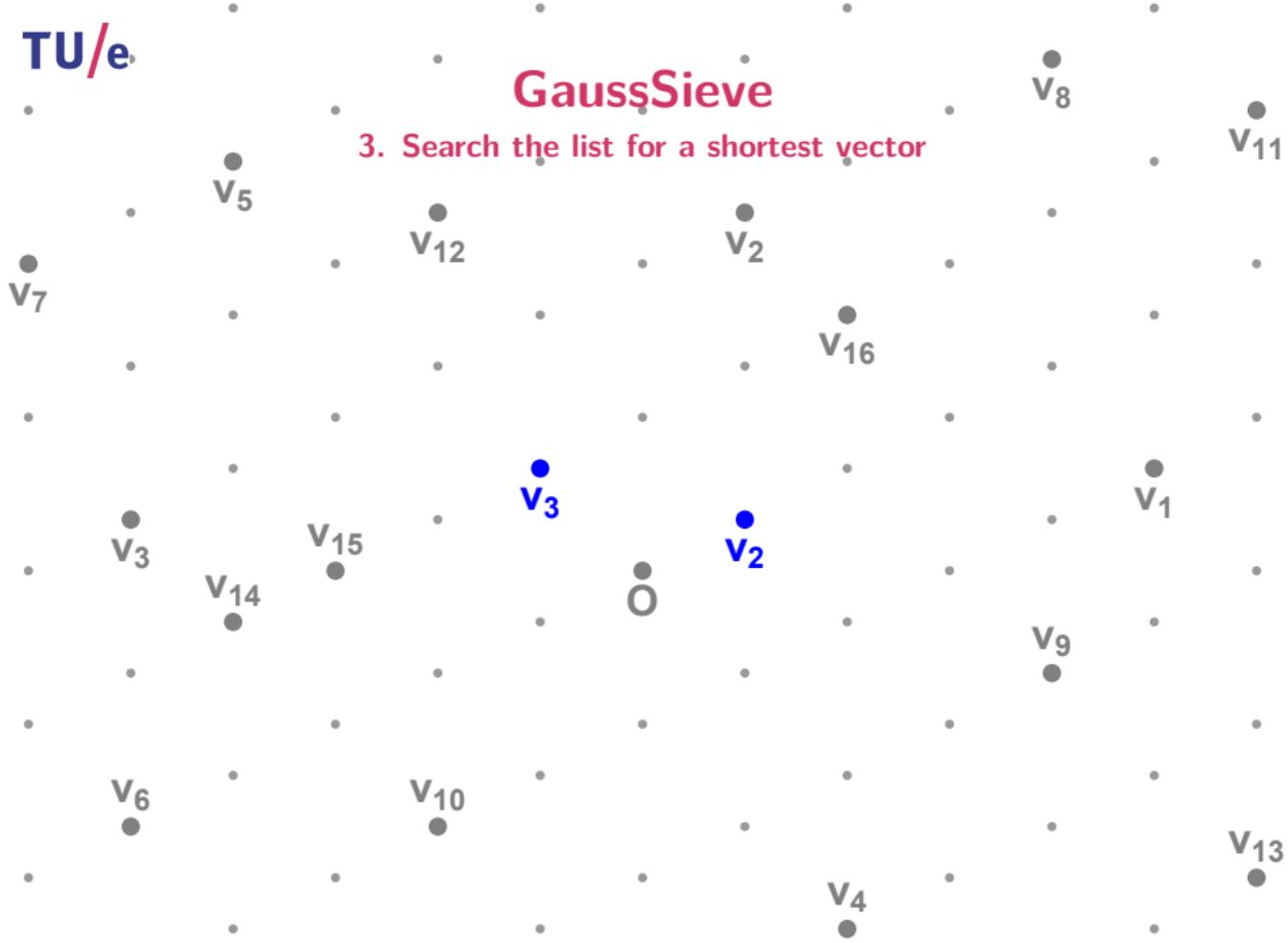
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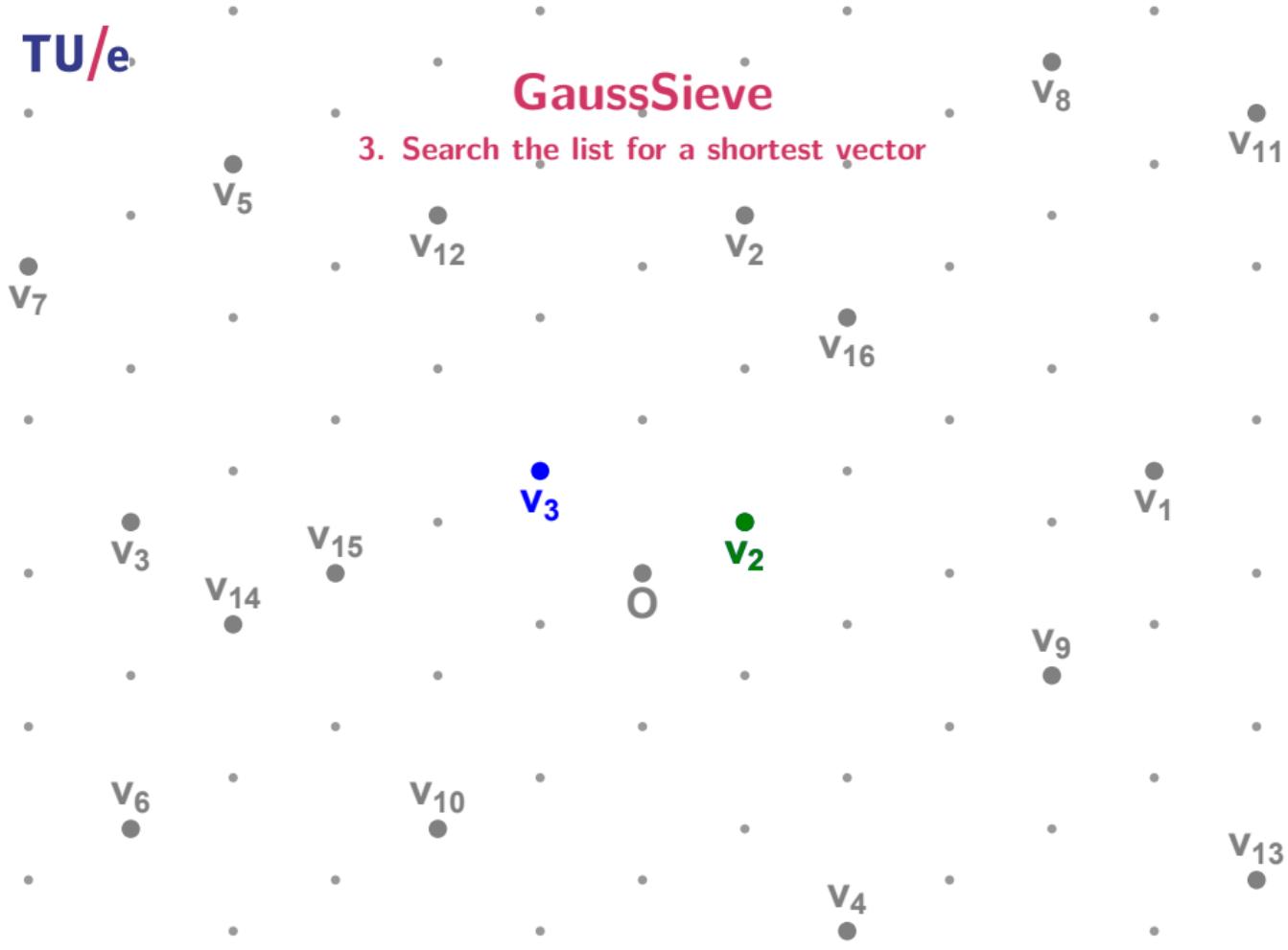
GaussSieve

3. Search the list for a shortest vector



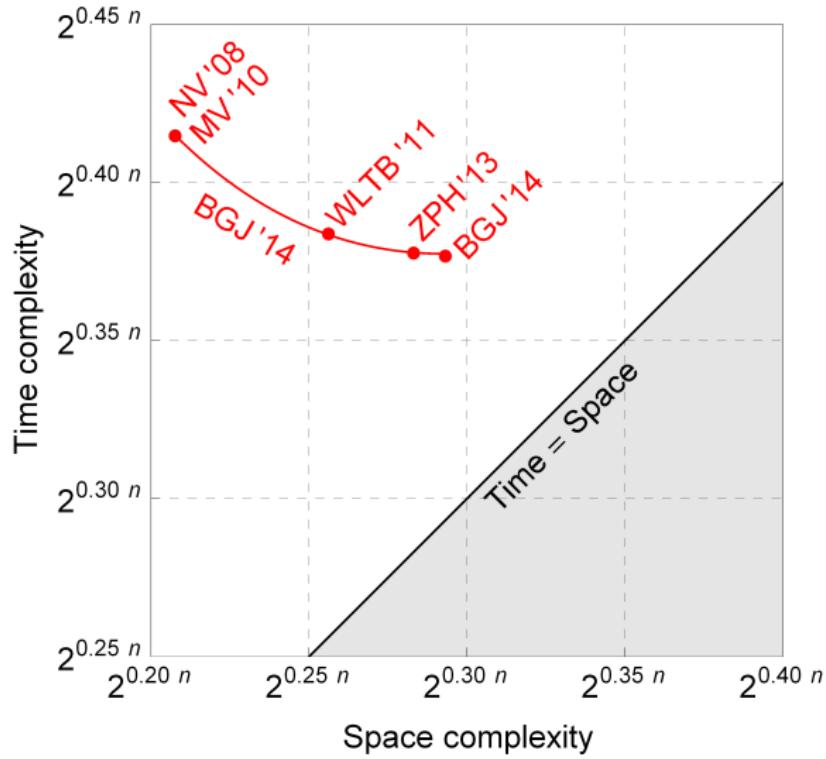
GaussSieve

3. Search the list for a shortest vector



Sieving

Space/time trade-off



Outline

Lattices

- Enumeration algorithms
 - Fincke-Pohst enumeration
 - Kannan enumeration
- Pruning the enumeration tree.

The Voronoï cell algorithm

- Sieving algorithms
 - Nguyen-Vidick sieve
 - Multiple levels
 - GaussSieve

Sieving using angular locality-sensitive hashing

- Nguyen-Vidick sieve
- GaussSieve

Locality-sensitive hashing

Introduction

“The key idea is to use hash functions such that the probability of collision is much higher for objects that are close to each other than for those that are far apart.”

– Indyk and Motwani, STOC’98

Locality-sensitive hashing

Introduction

“The key idea is to use hash functions such that the probability of collision is much higher for objects that are close to each other than for those that are far apart.”

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“Unfortunately, the estimated improvement is too small to outweigh the increase of the constants for dimensions of practical interest. [...] We implemented the LSH algorithm of Datar et al. and found that it performed only marginally better than the naive algorithm for dimensions higher than 50.”

– Nguyen and Vidick, J. Math. Crypt. ’08

Nguyen-Vidick sieve with LSH

1. Sample a list L of random lattice vectors



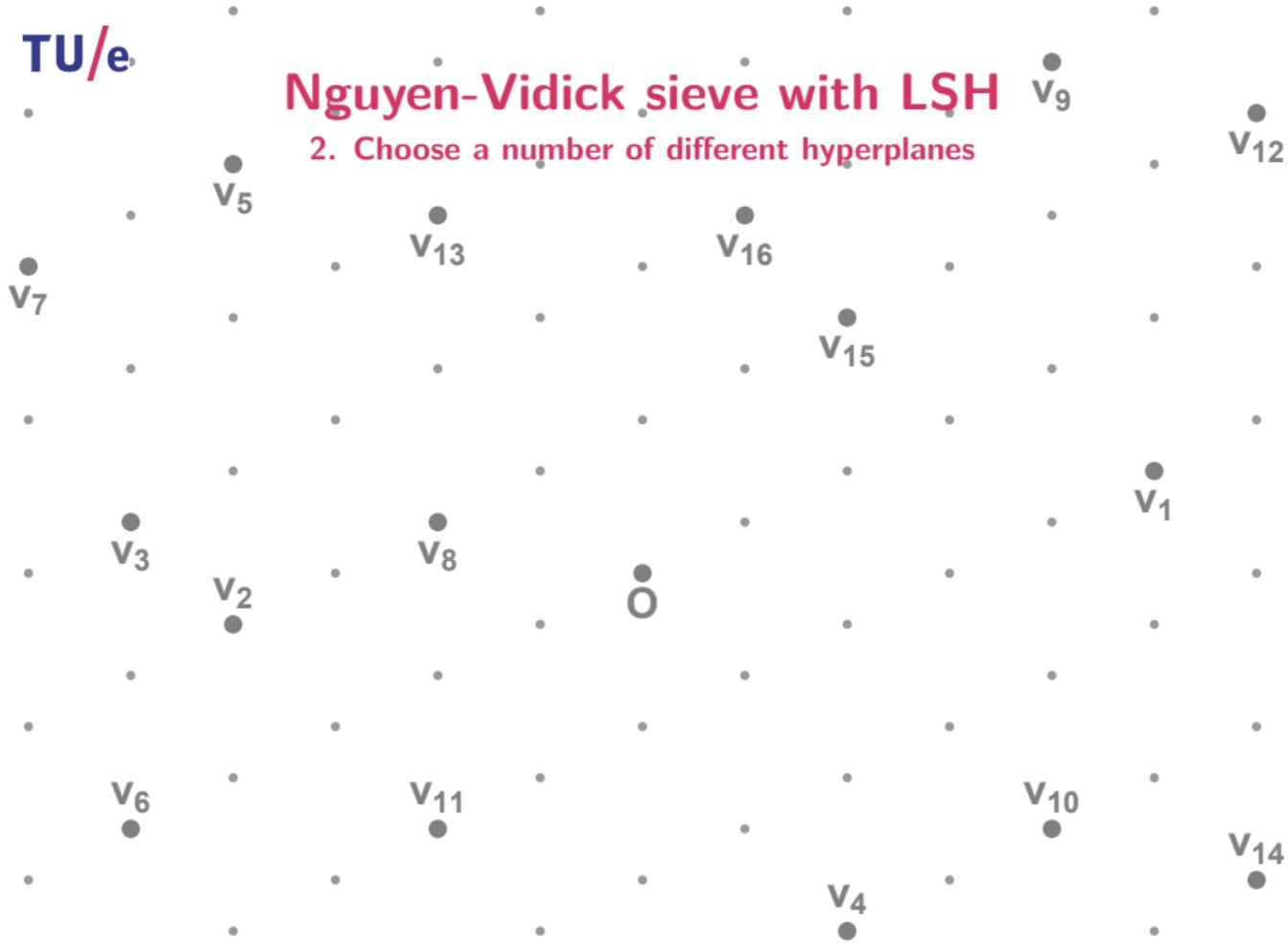
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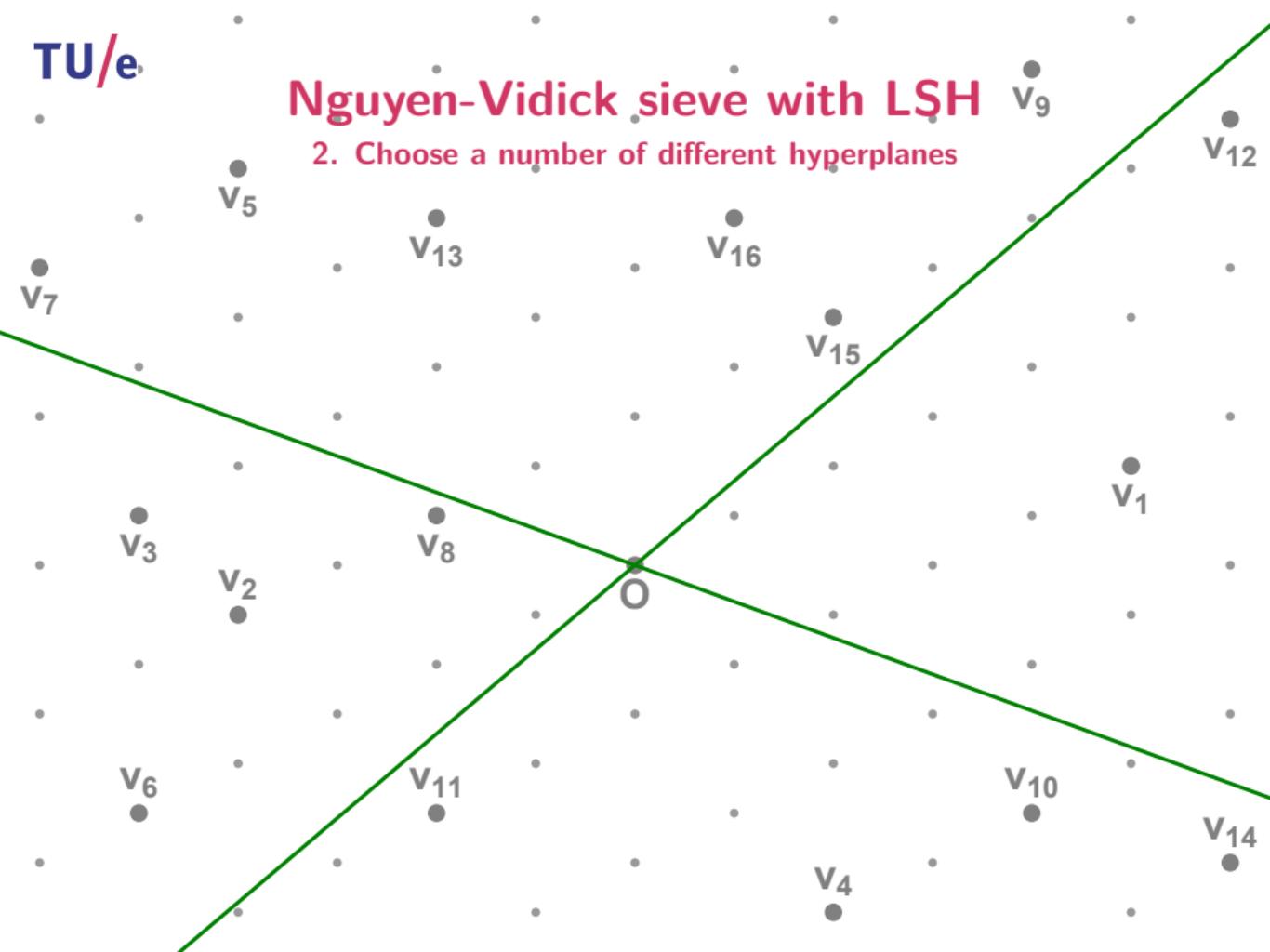
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2. Choose a number of different hyperplanes



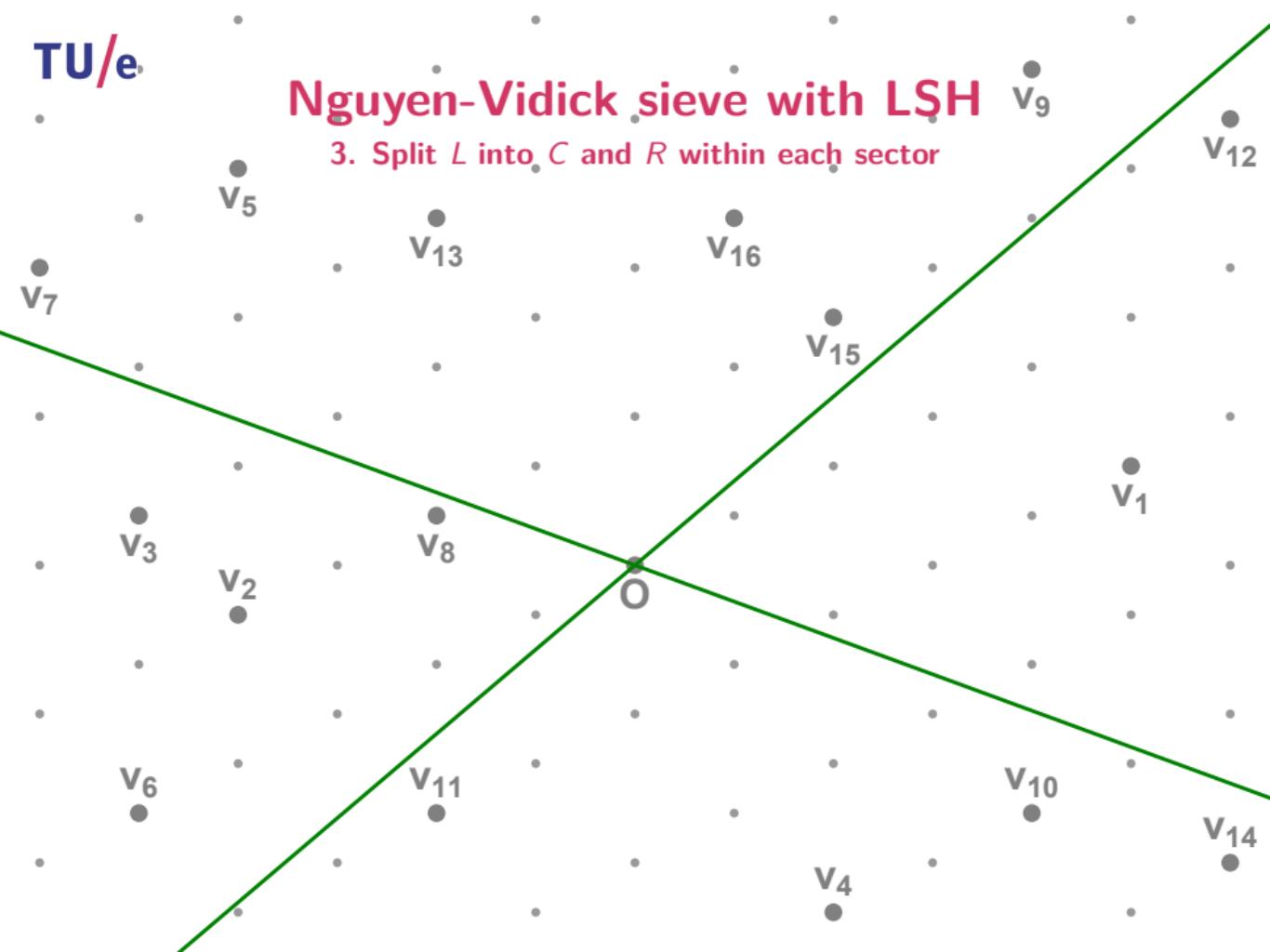
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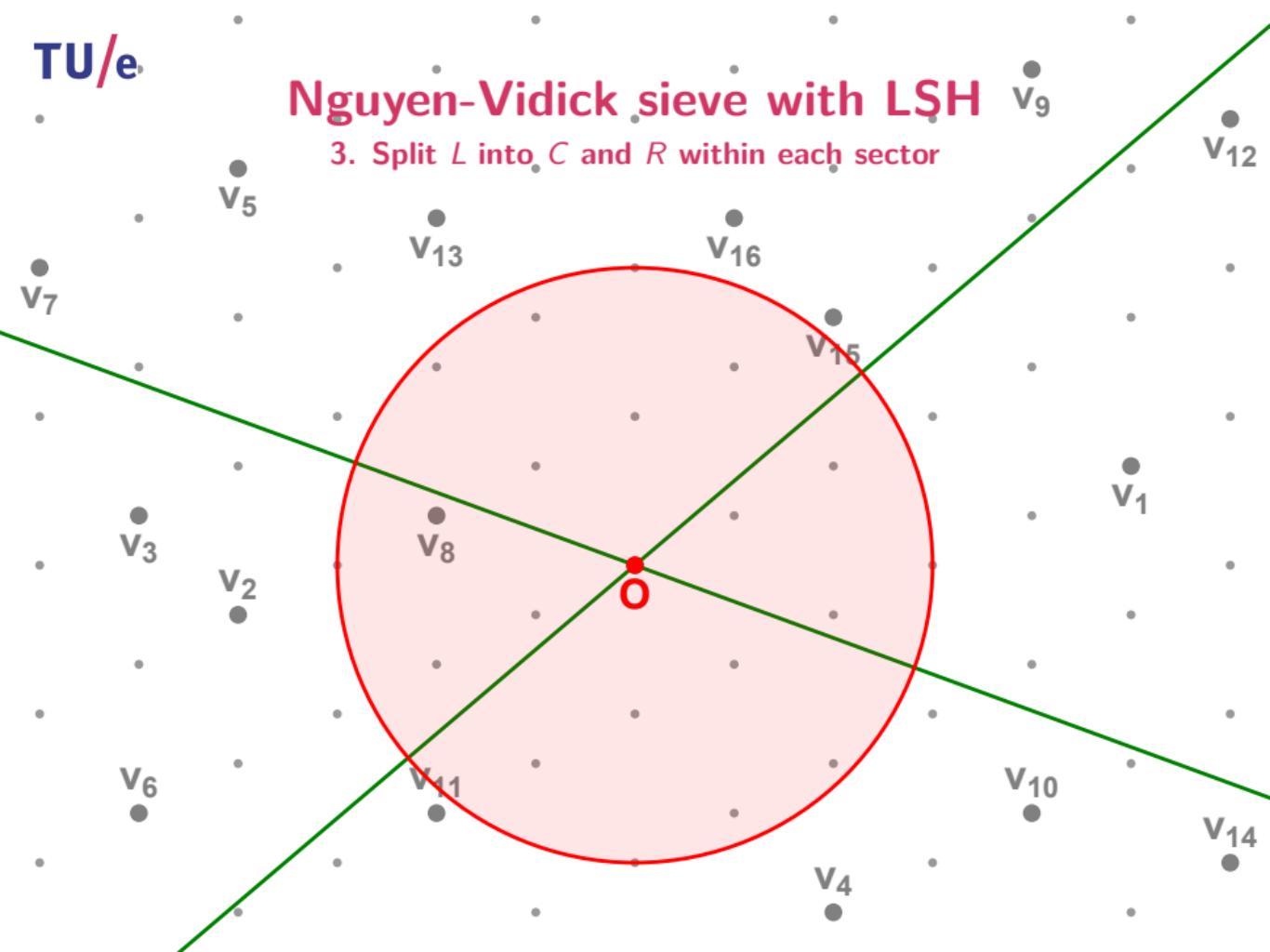
Nguyen-Vidick sieve with LSH

3. Split L into C and R within each sector



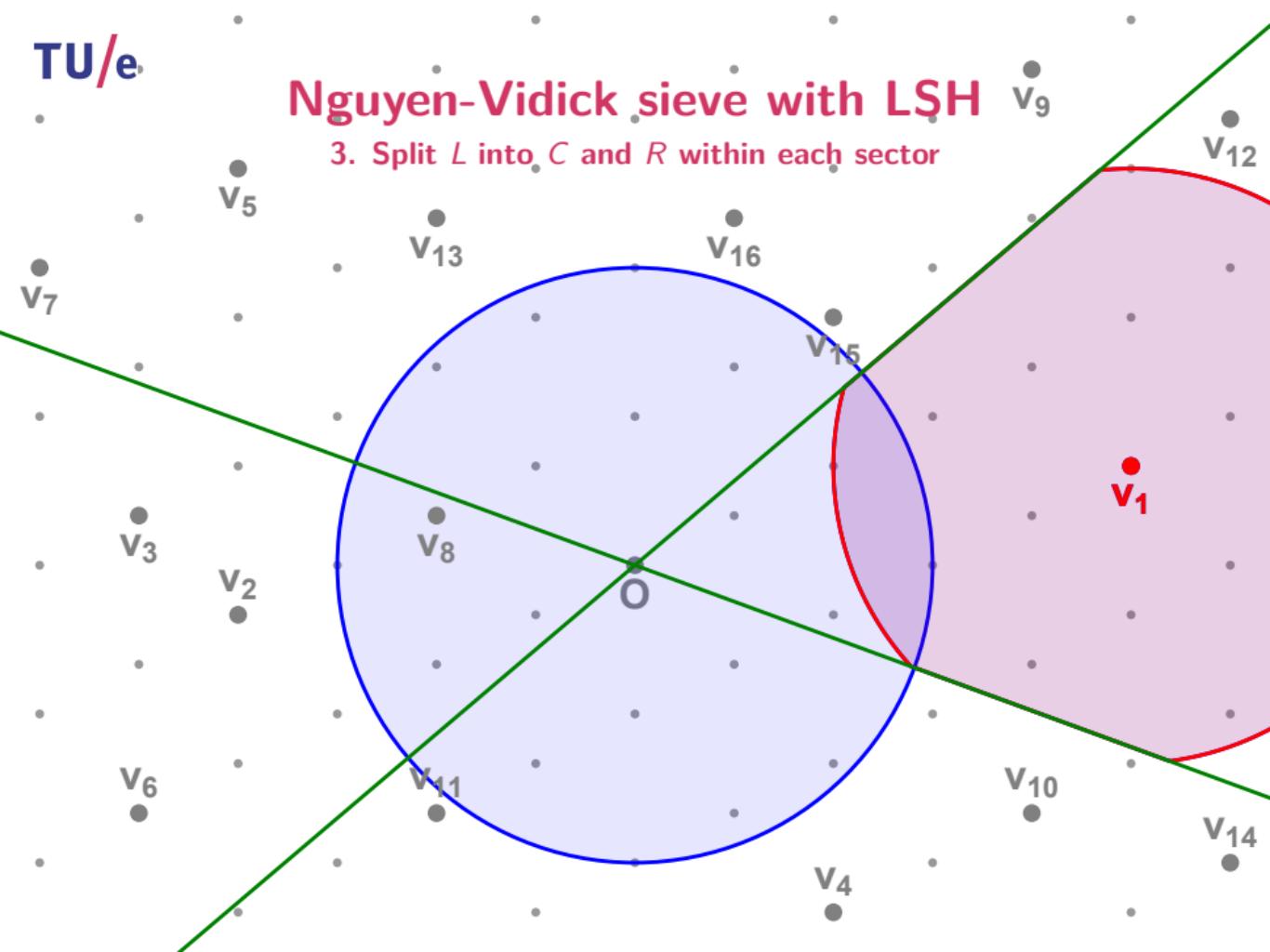
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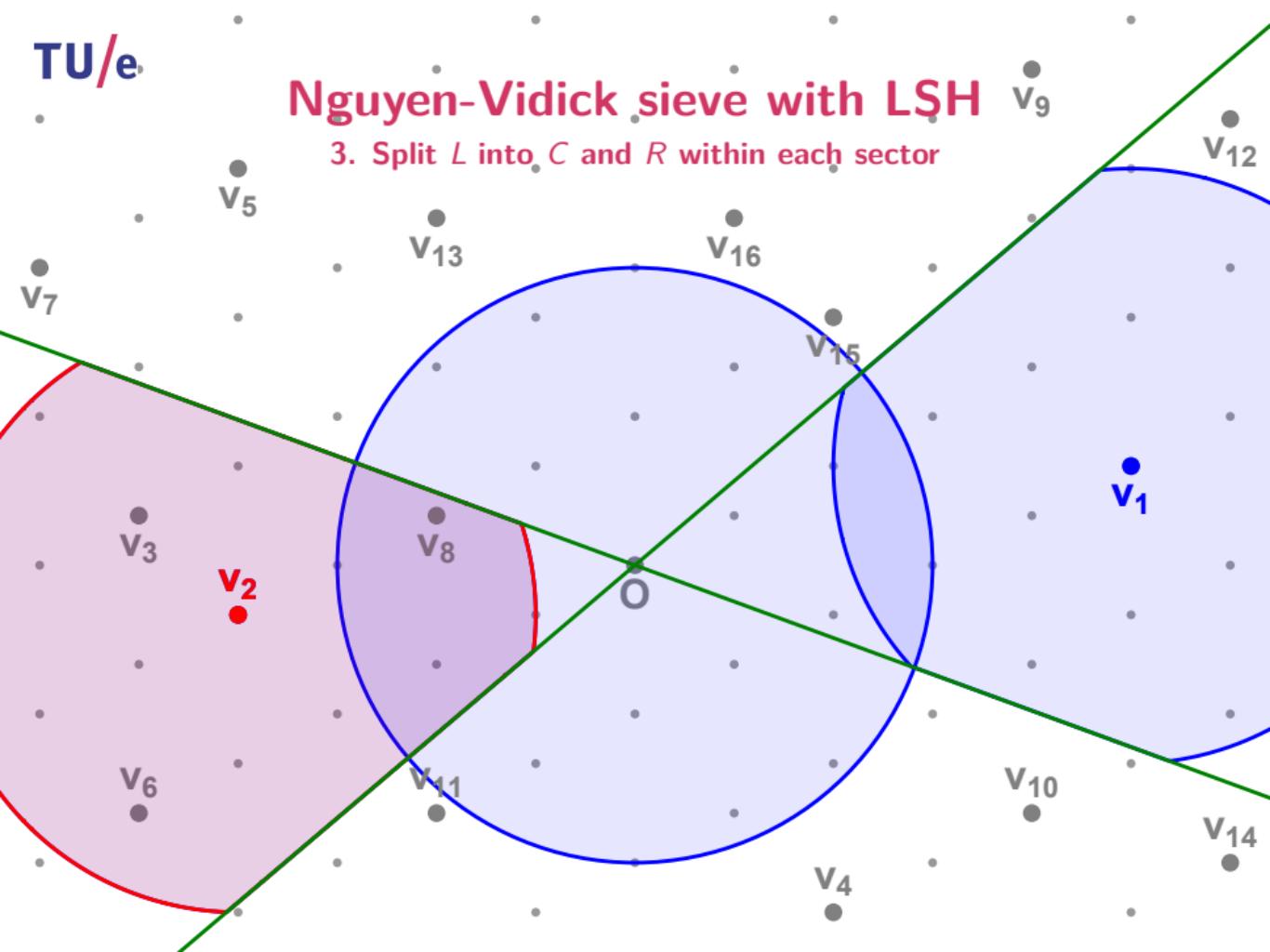
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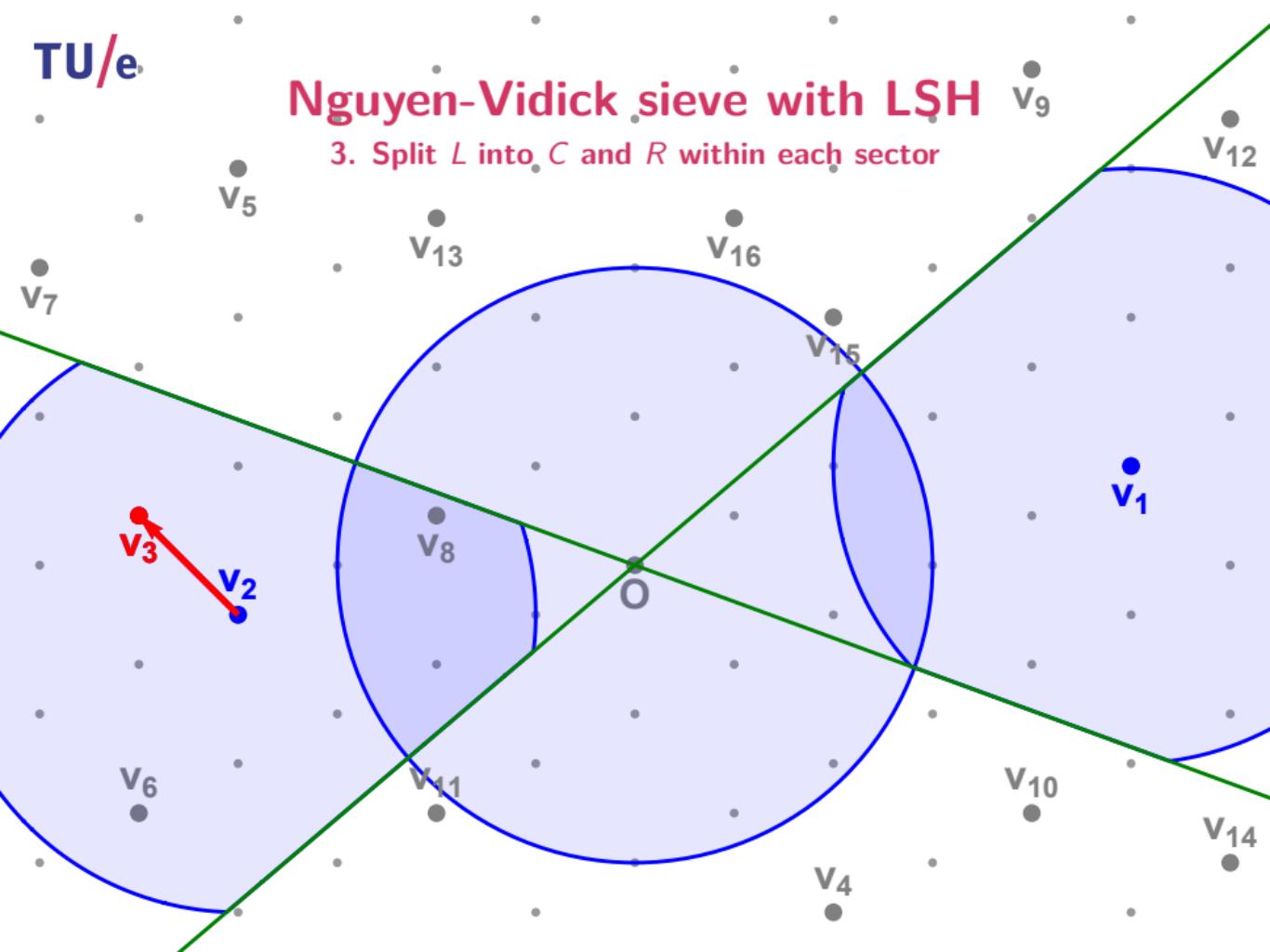
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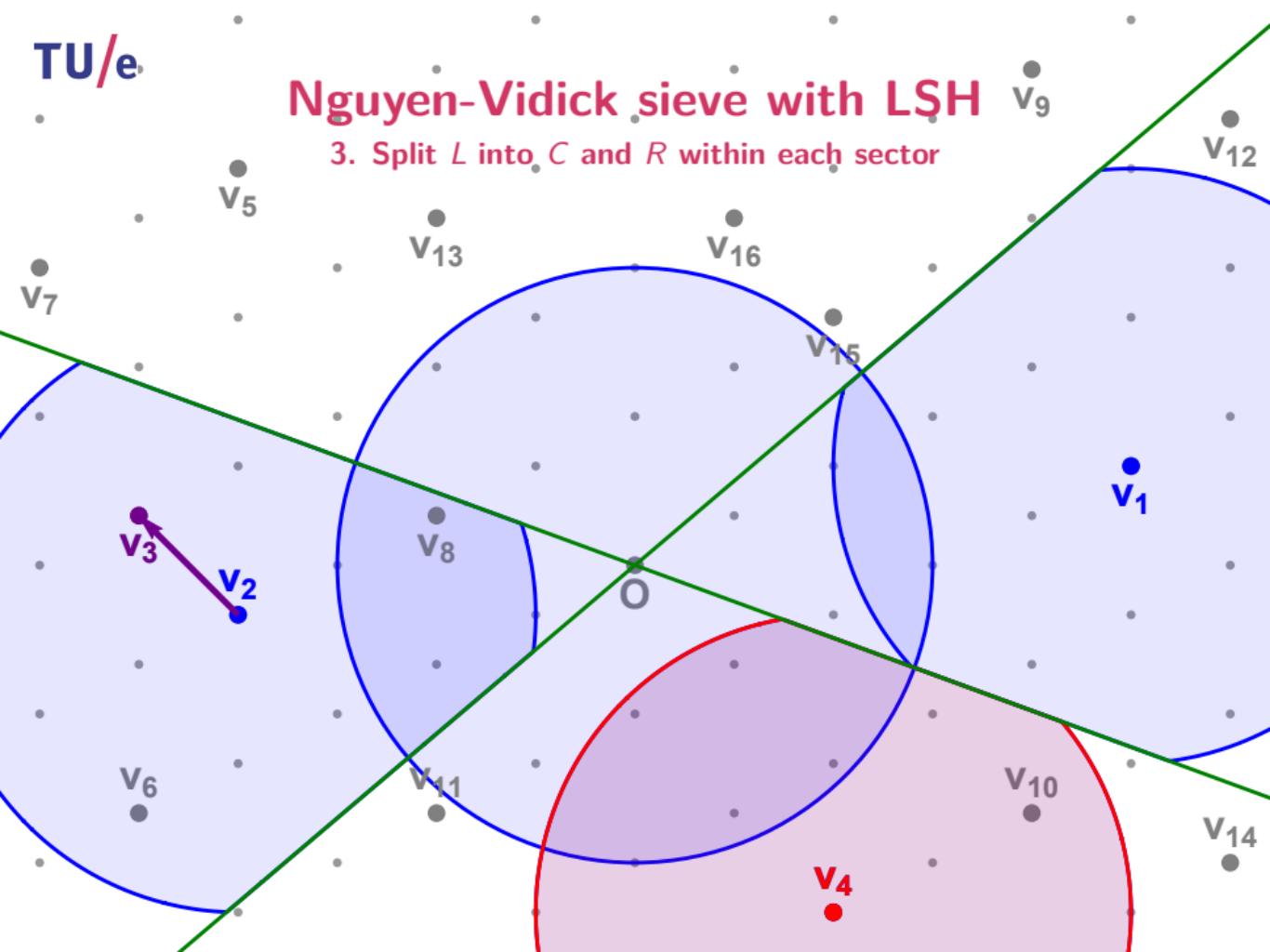
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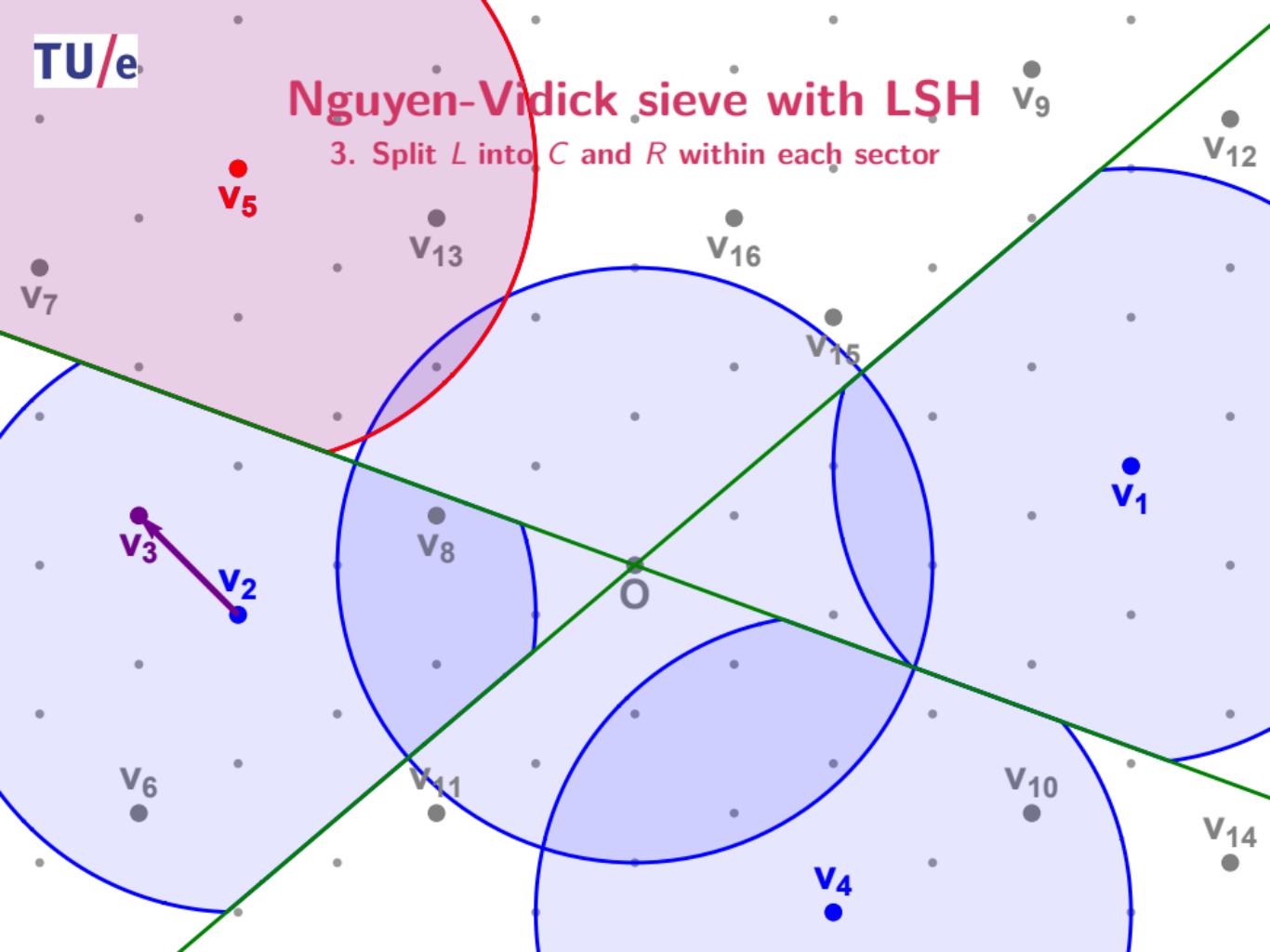
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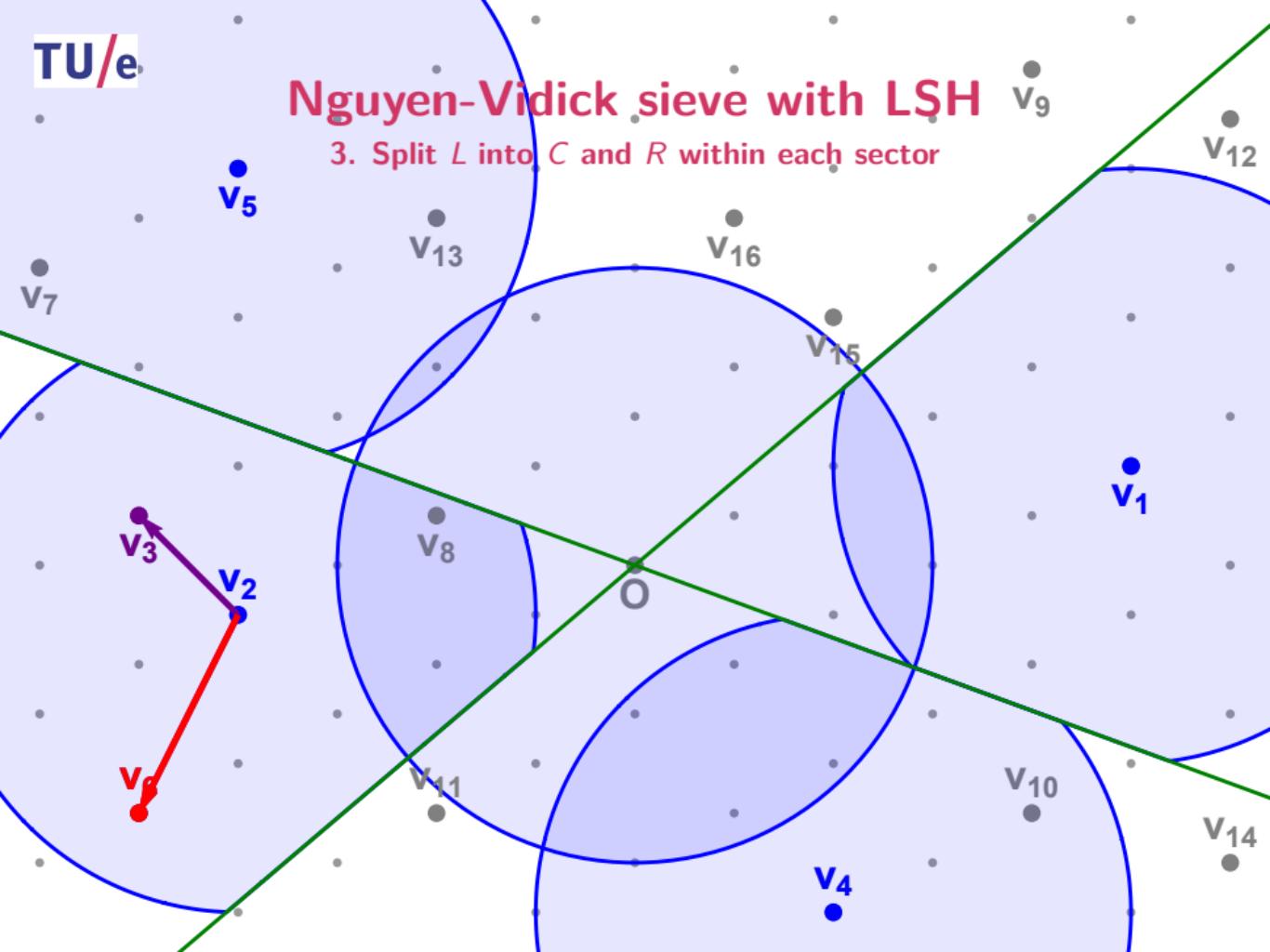
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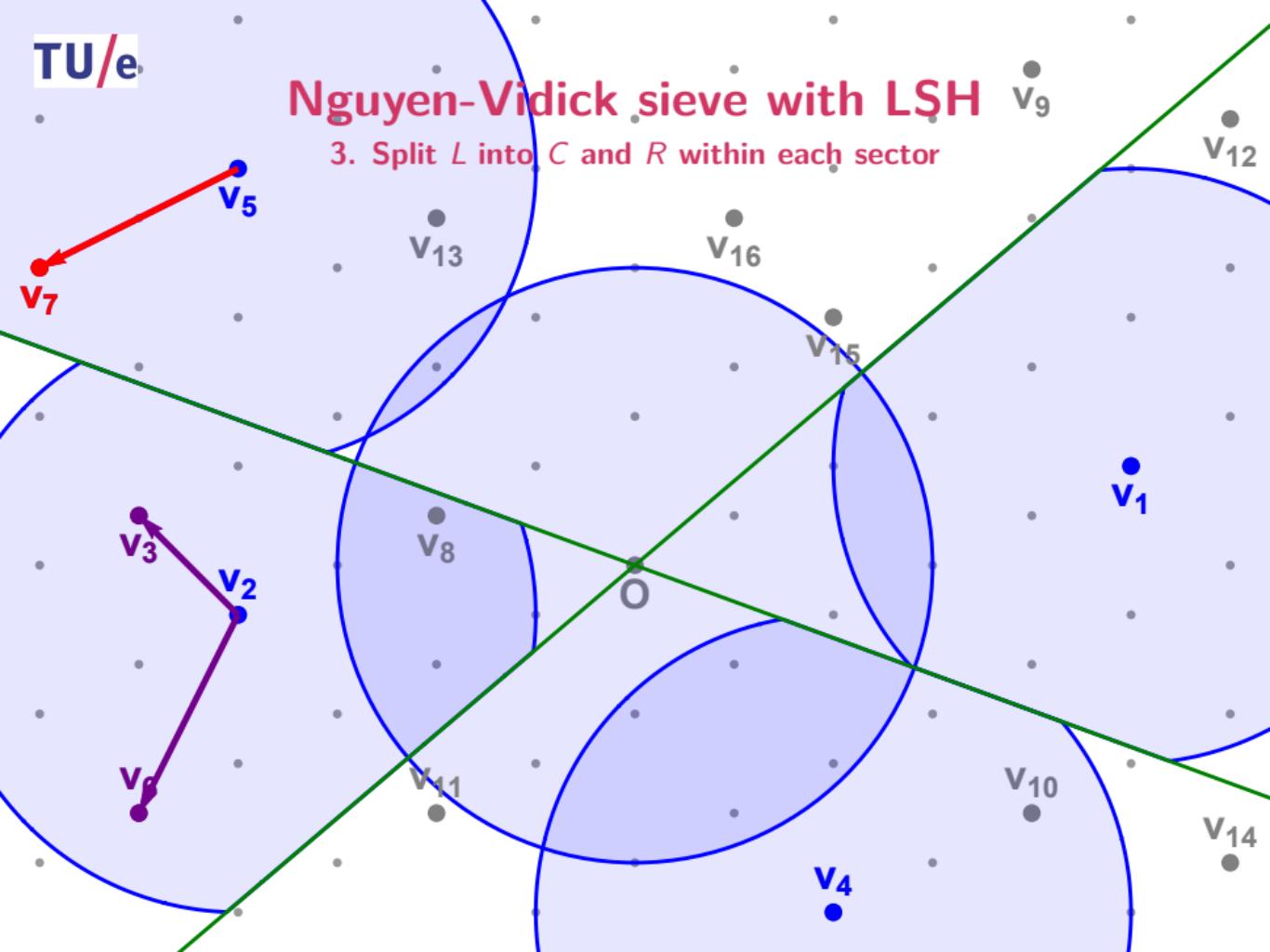
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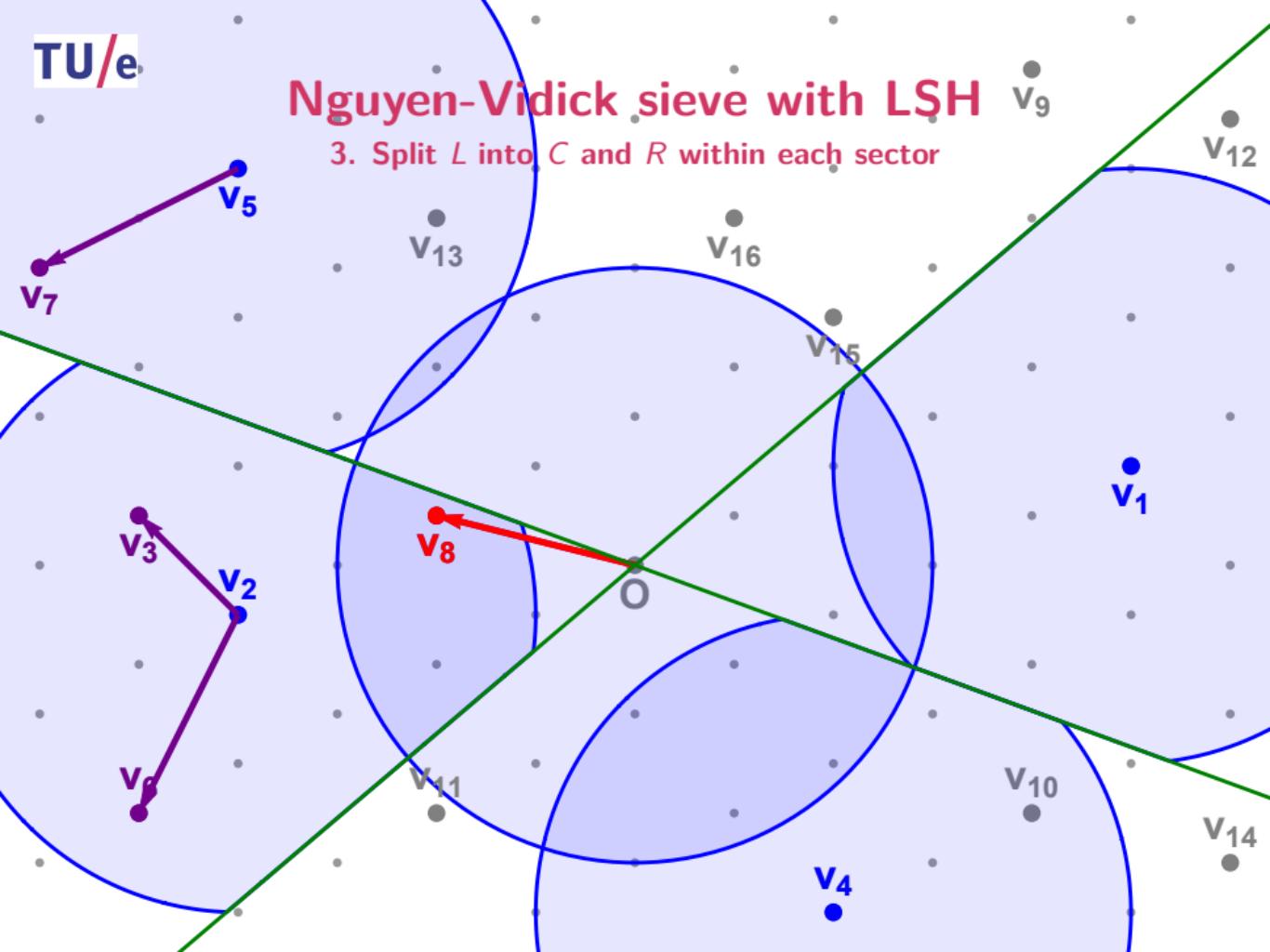
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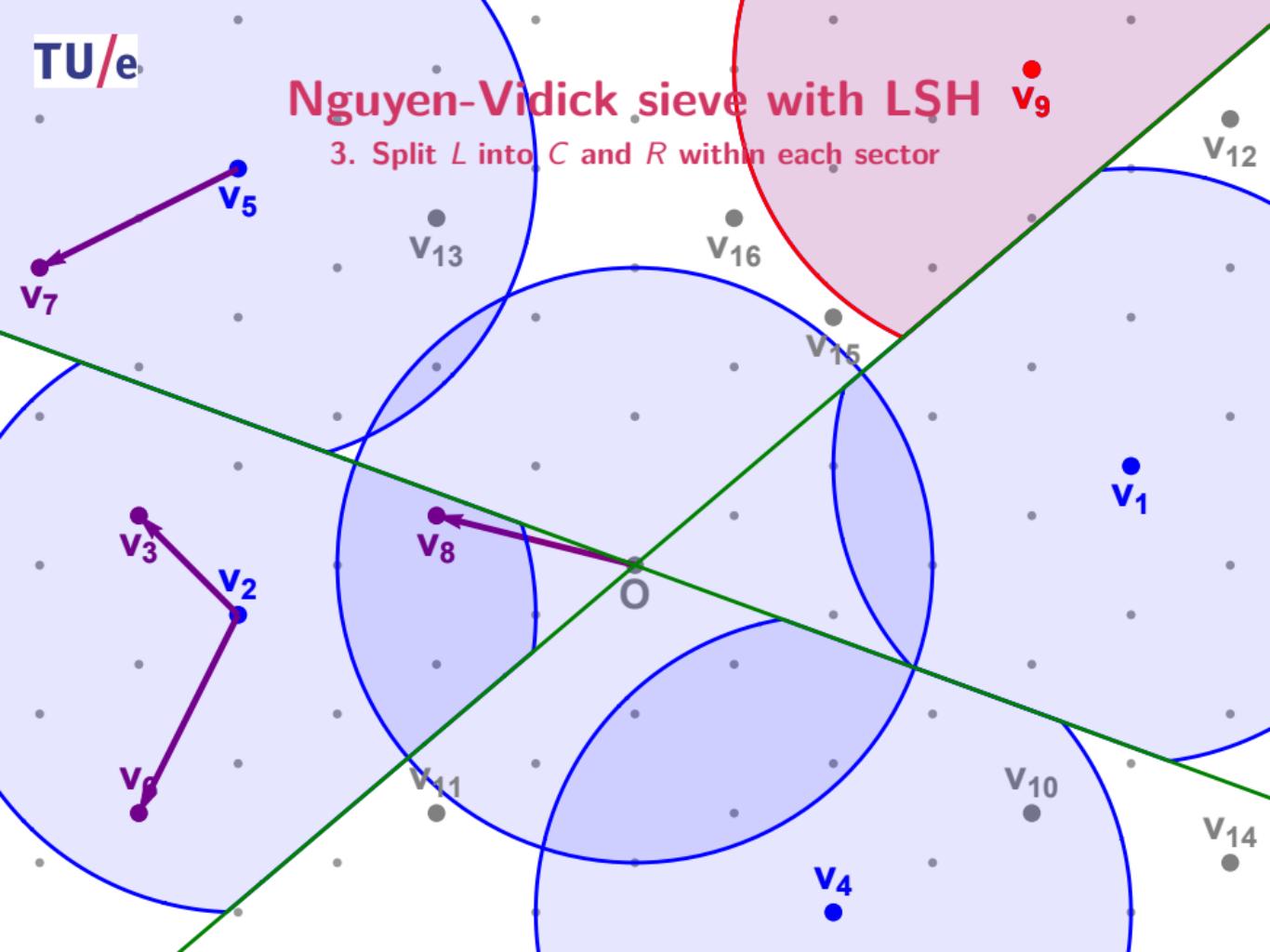
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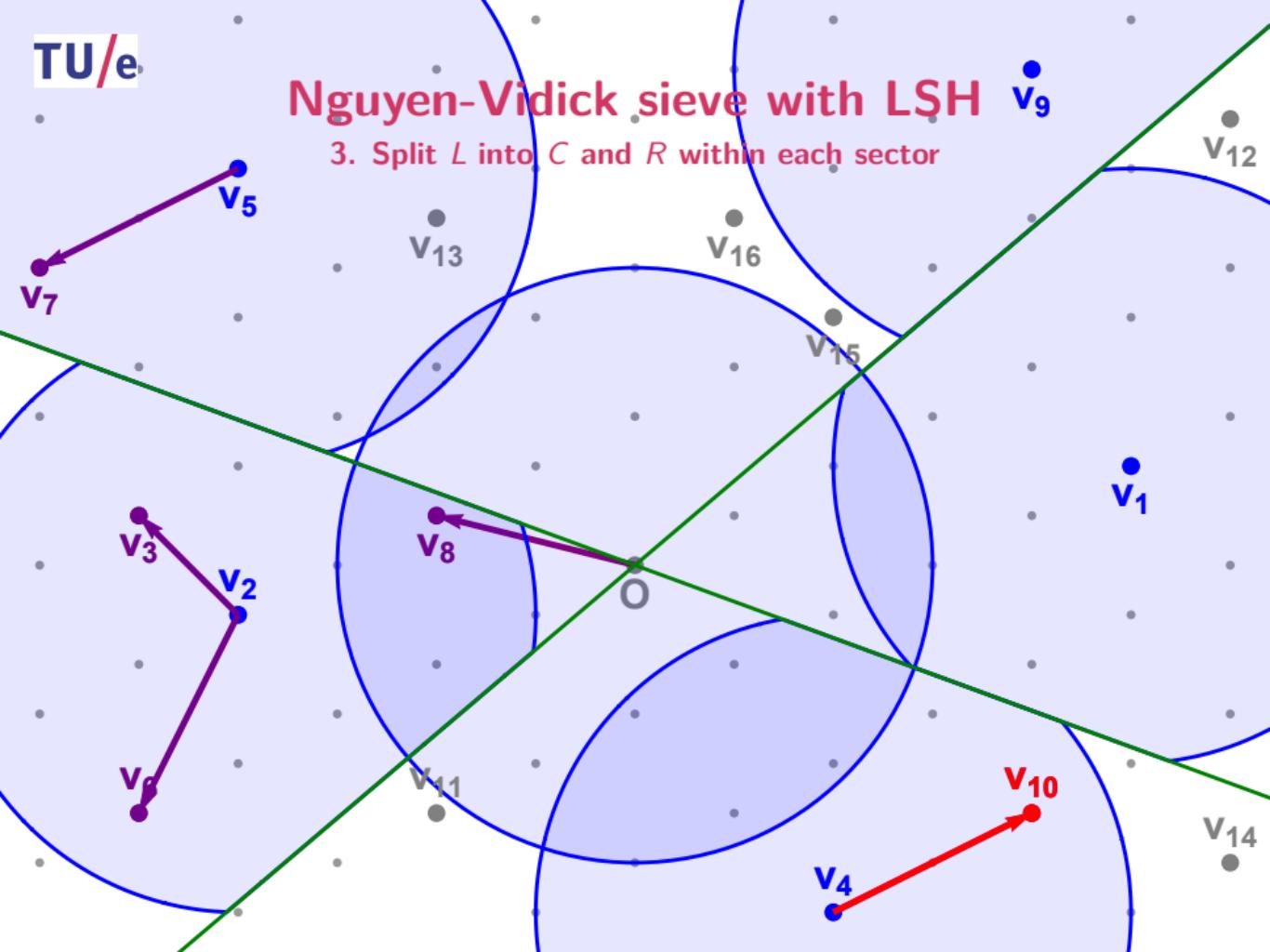
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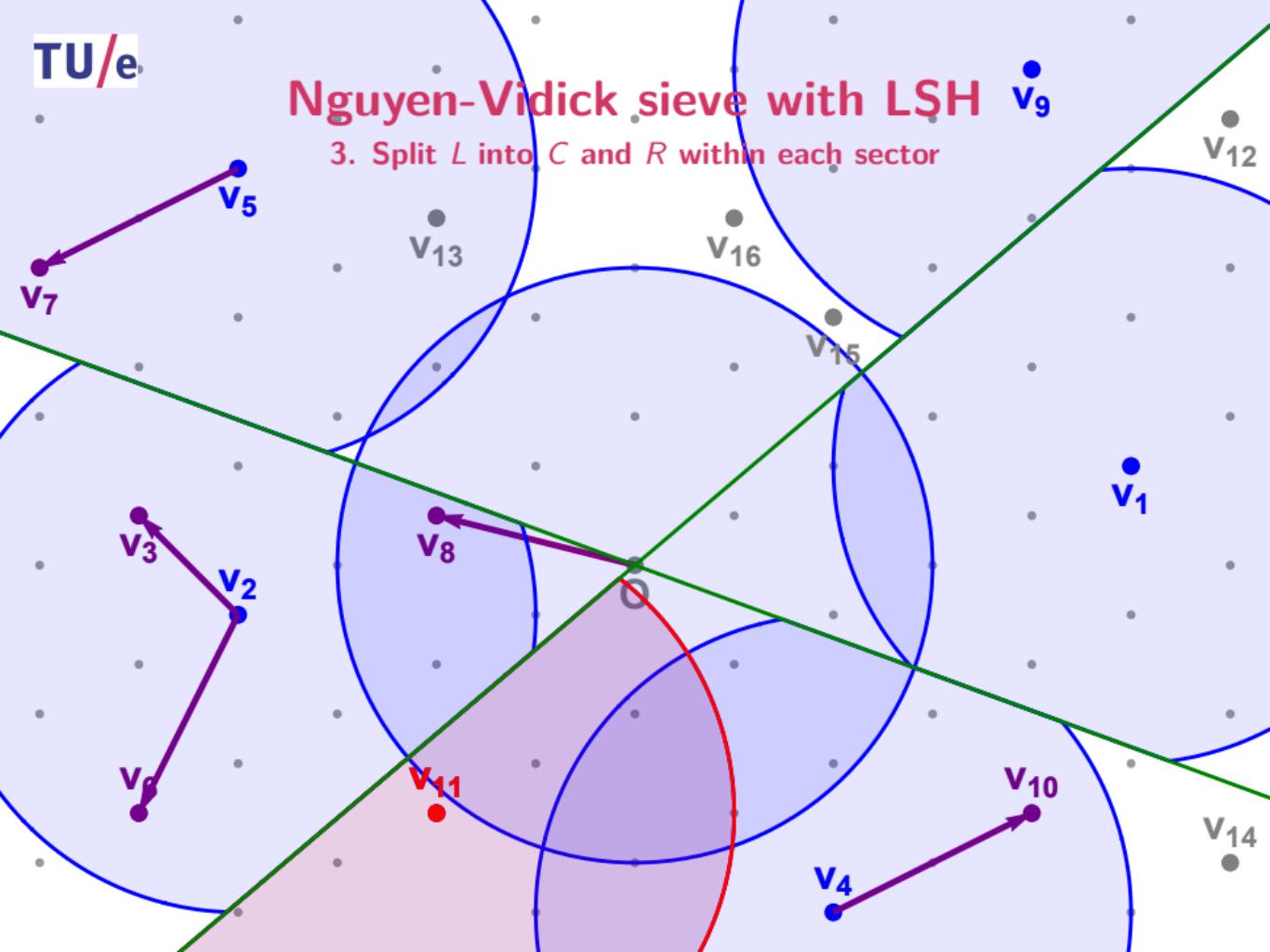
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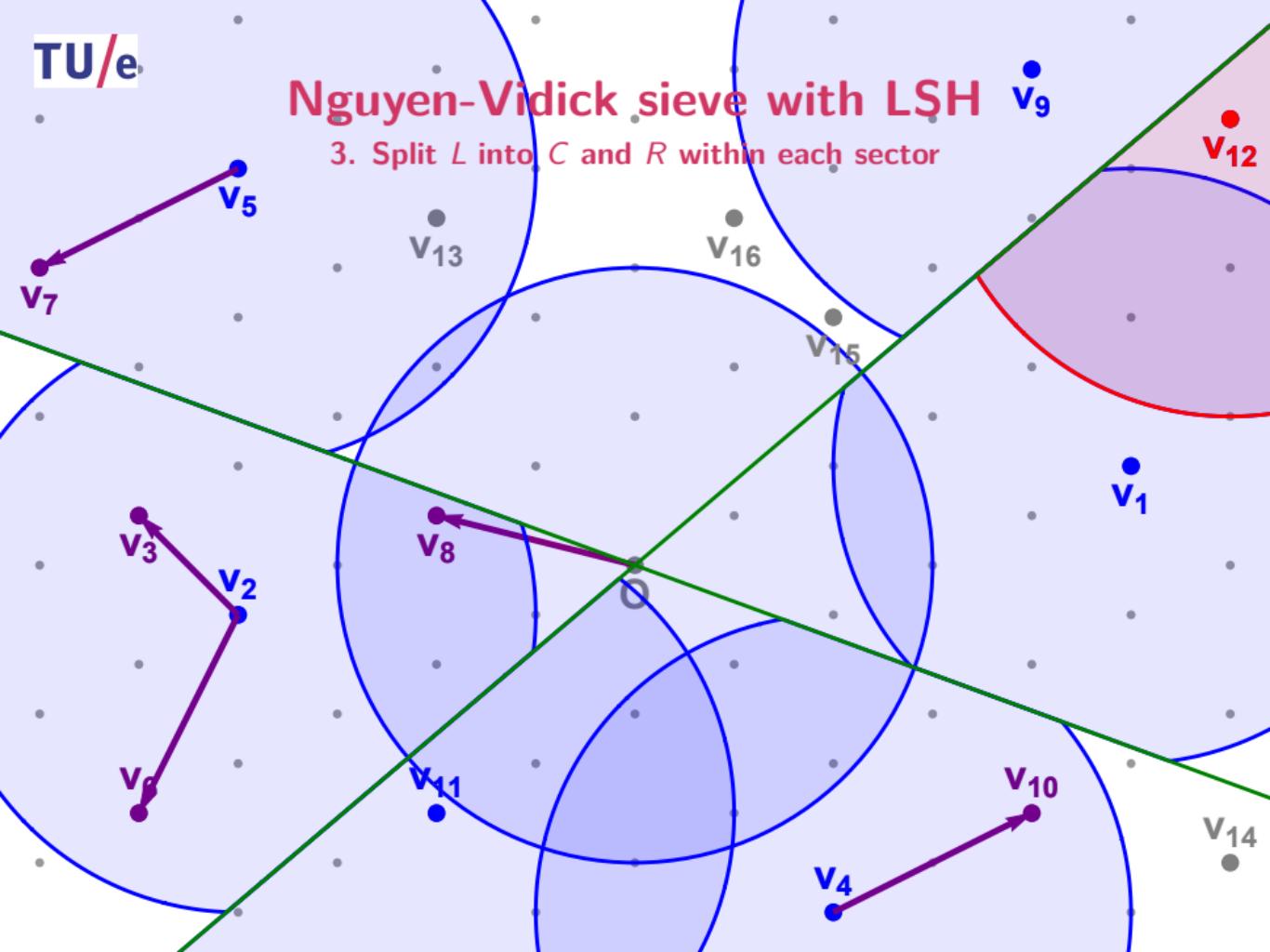
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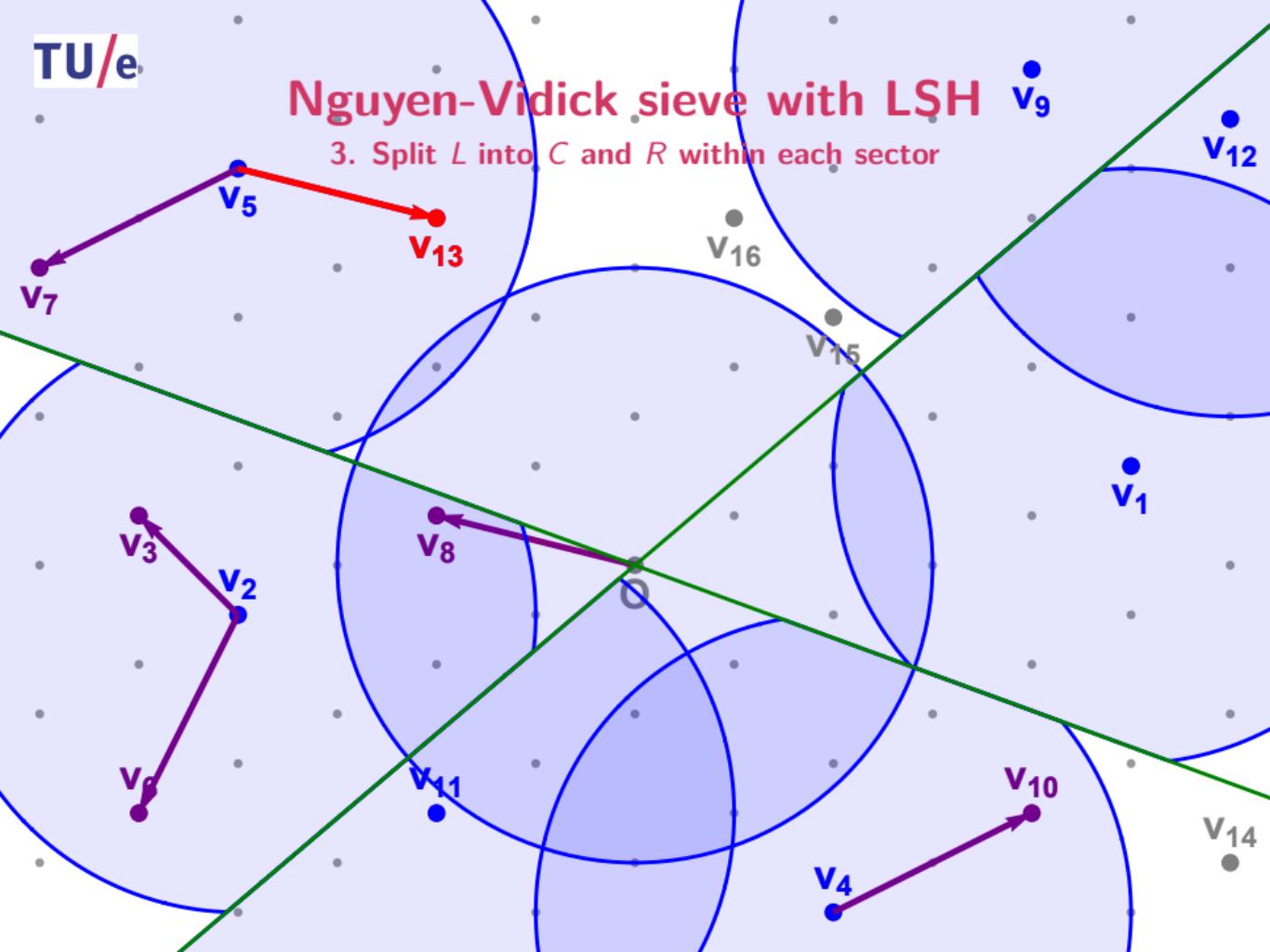
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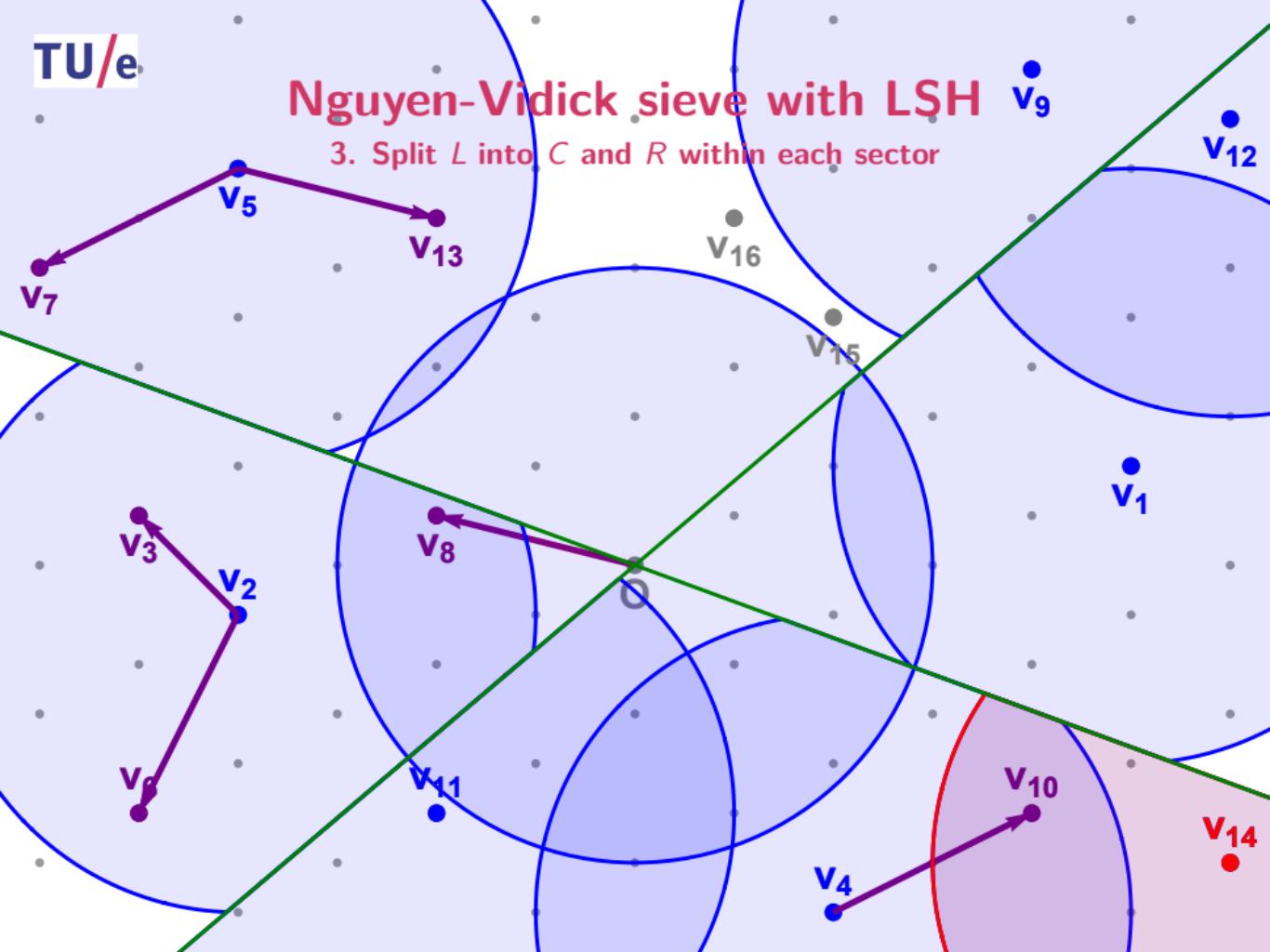
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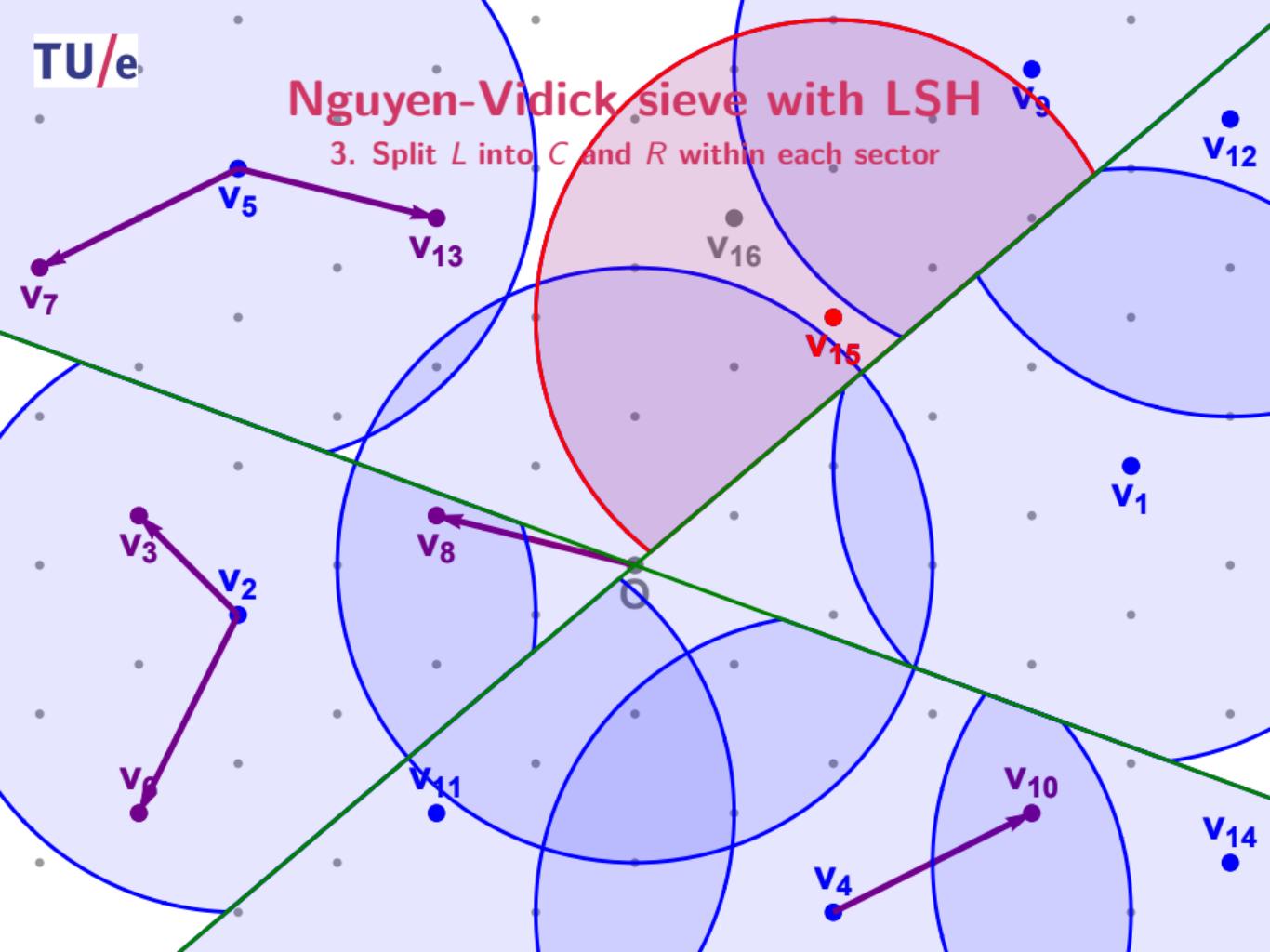
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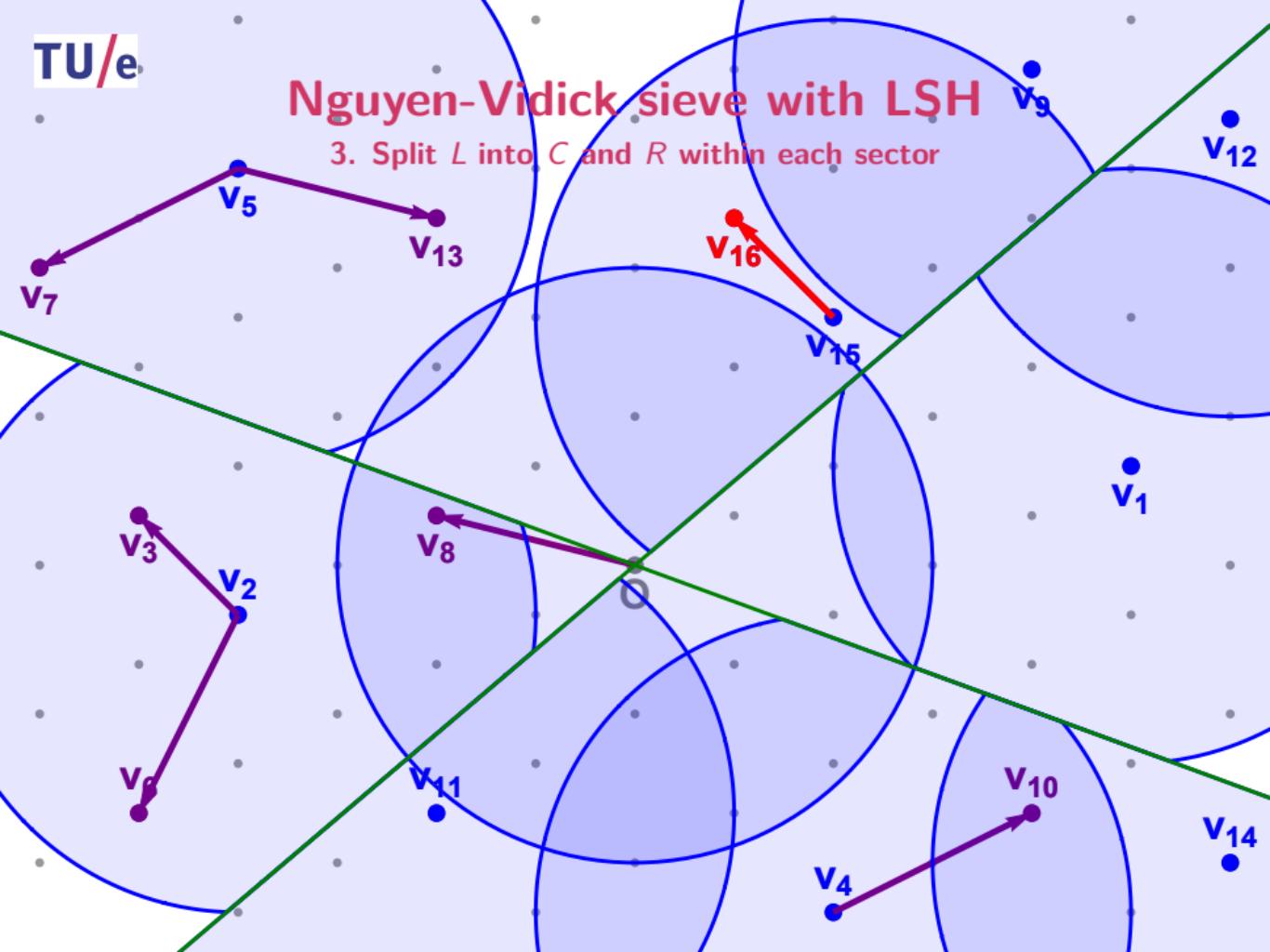
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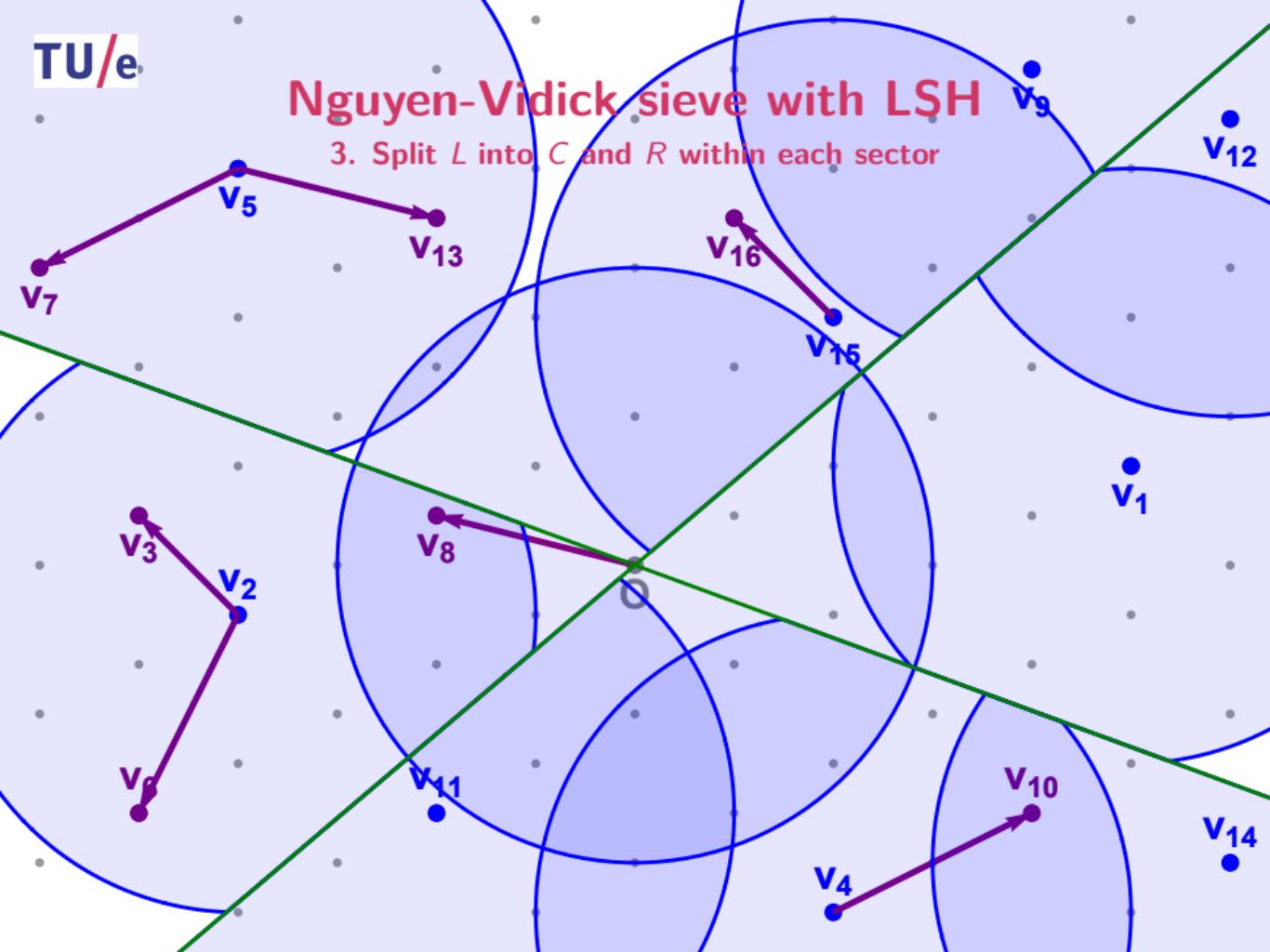
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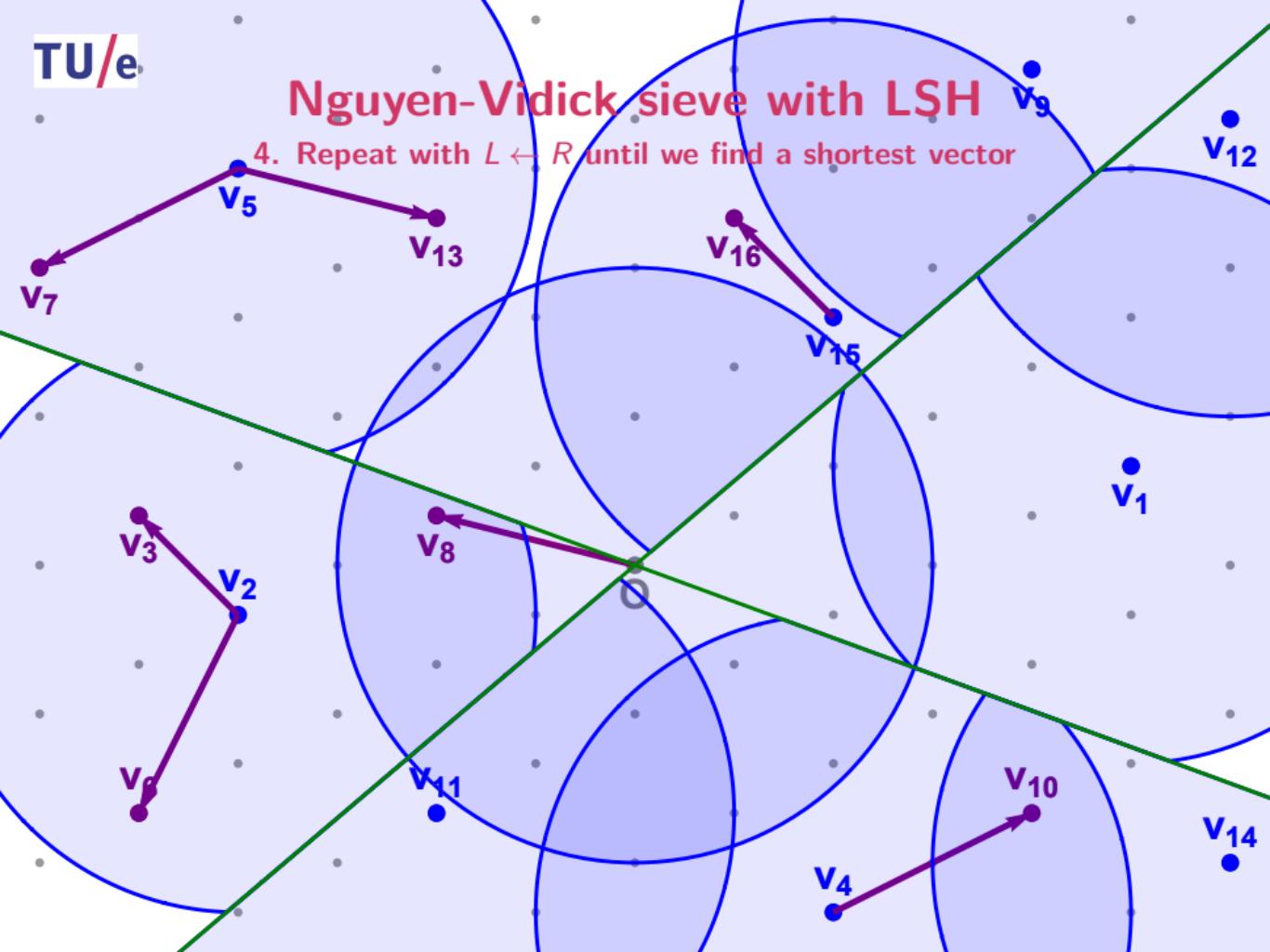
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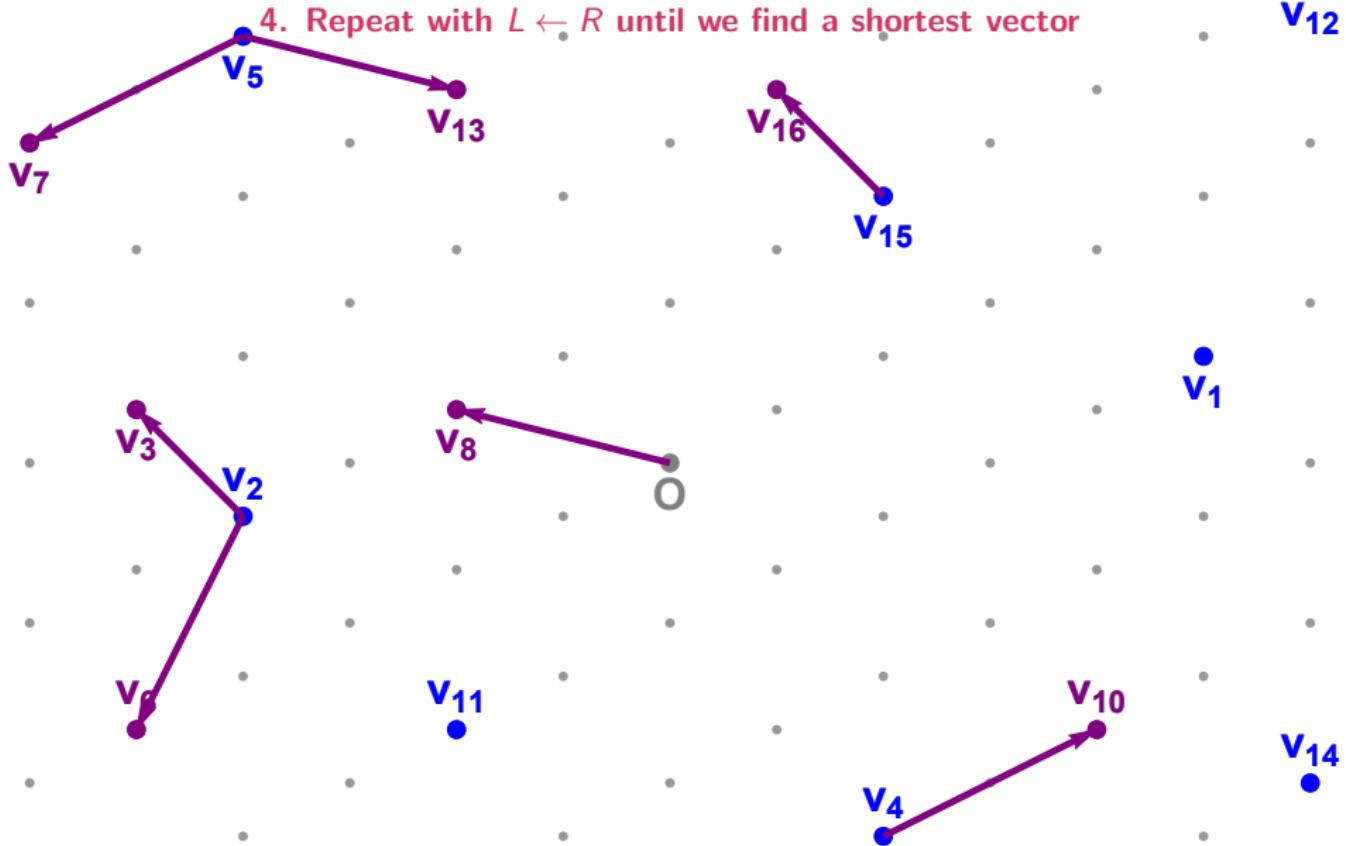


Nguyen-Vidick sieve with LSH

4. Repeat with $L \leftarrow R$ until we find a shortest vector

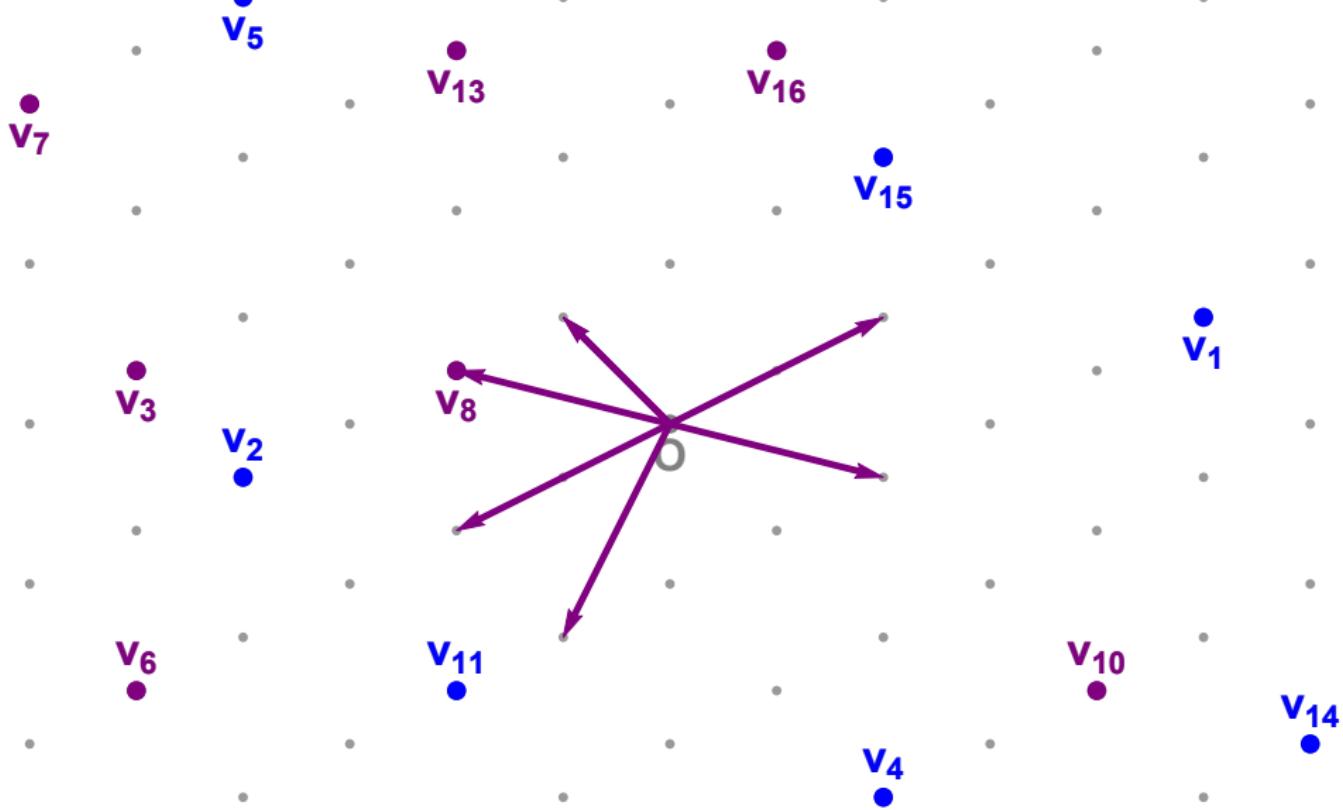


Nguyen-Vidick sieve with LSH

 v_9 v_{12} 4. Repeat with $L \leftarrow R$ until we find a shortest vector

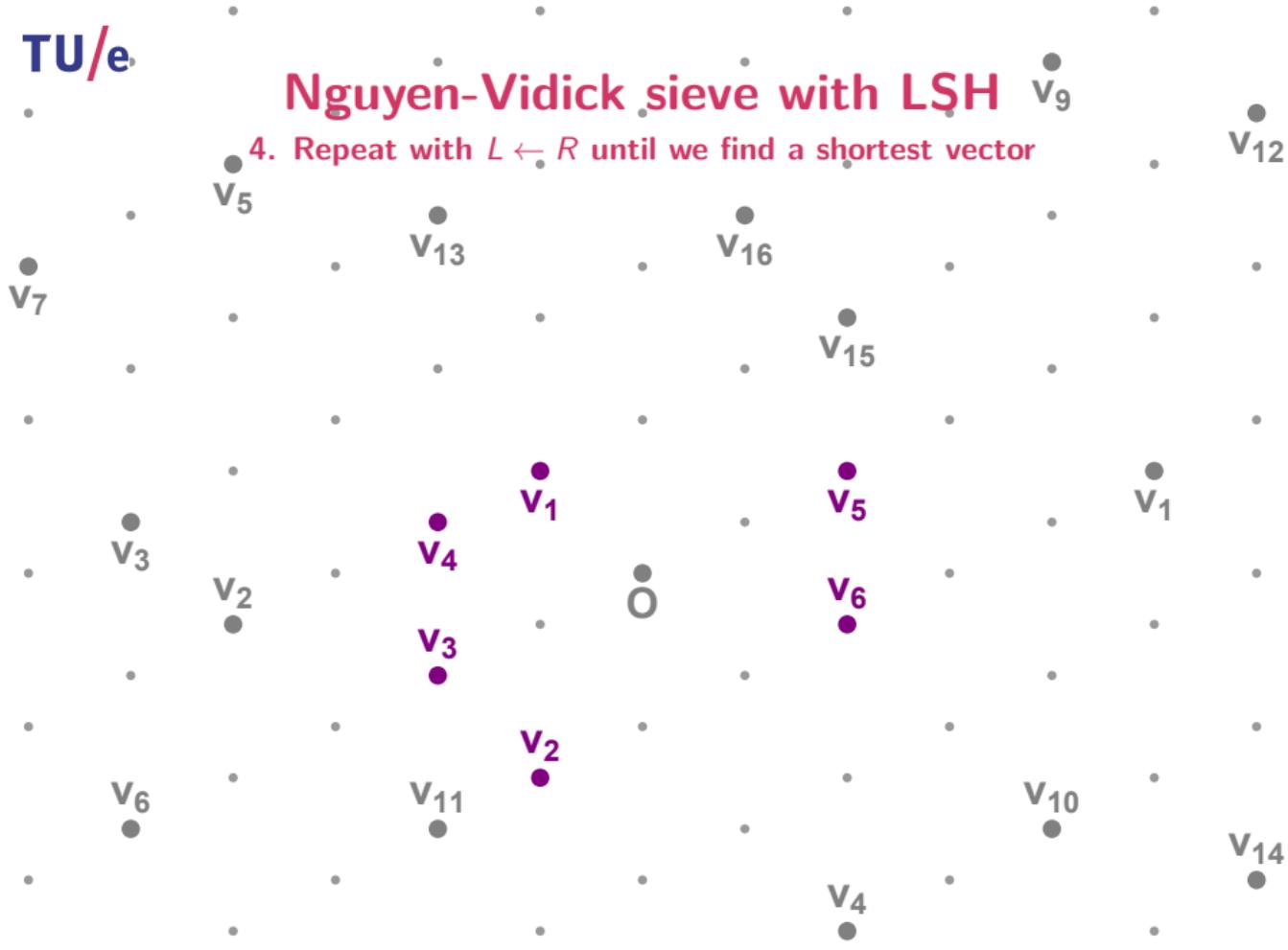
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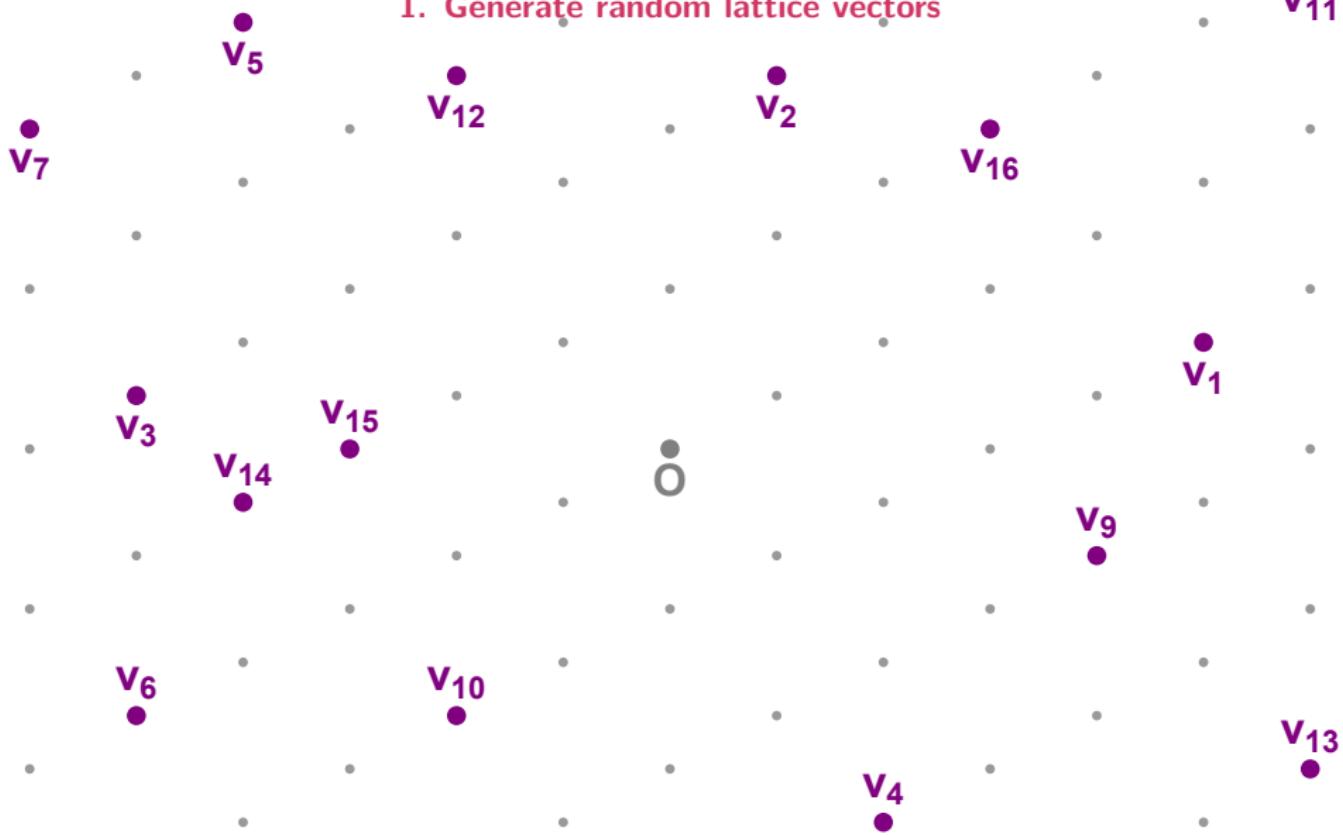
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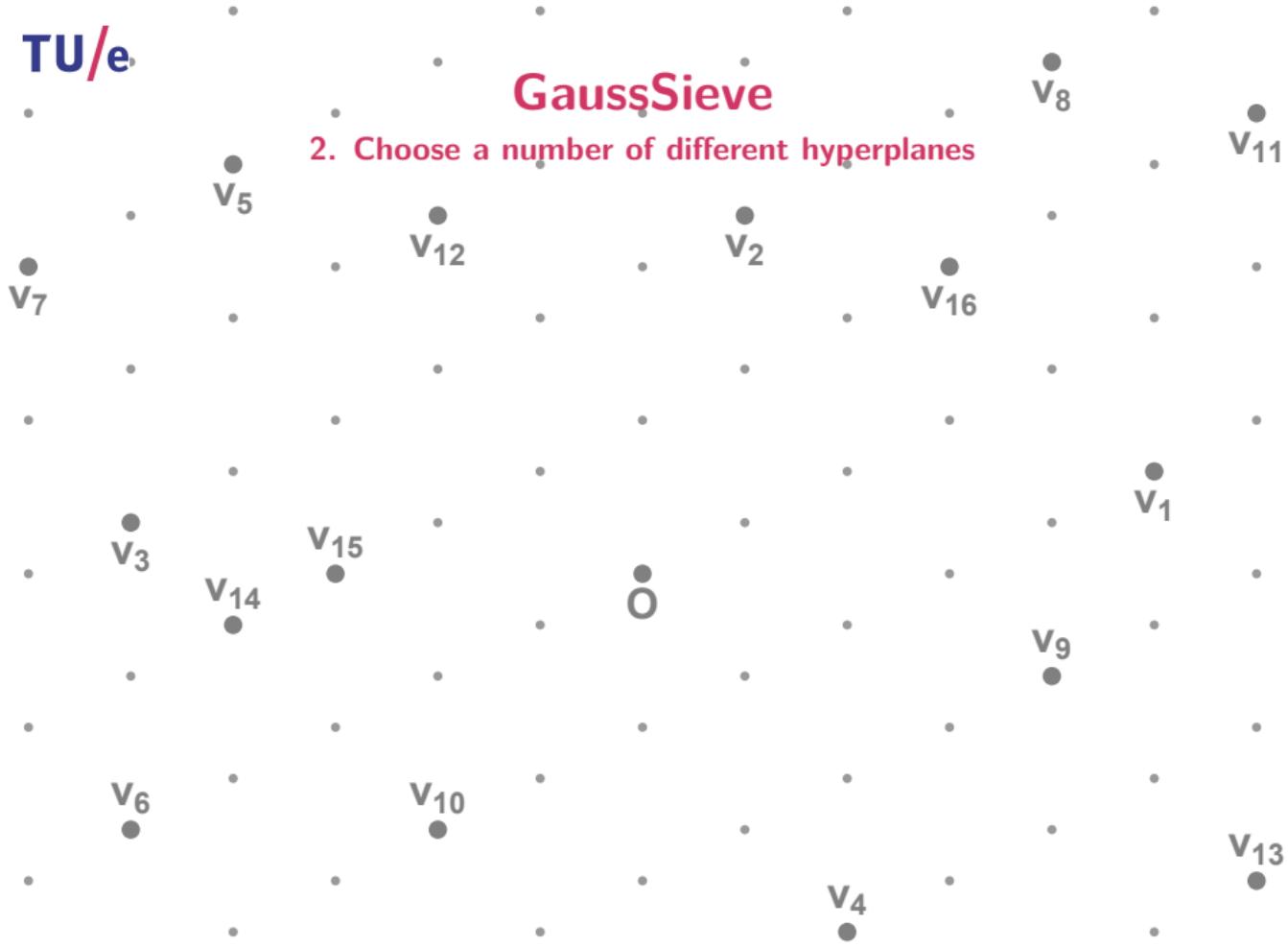
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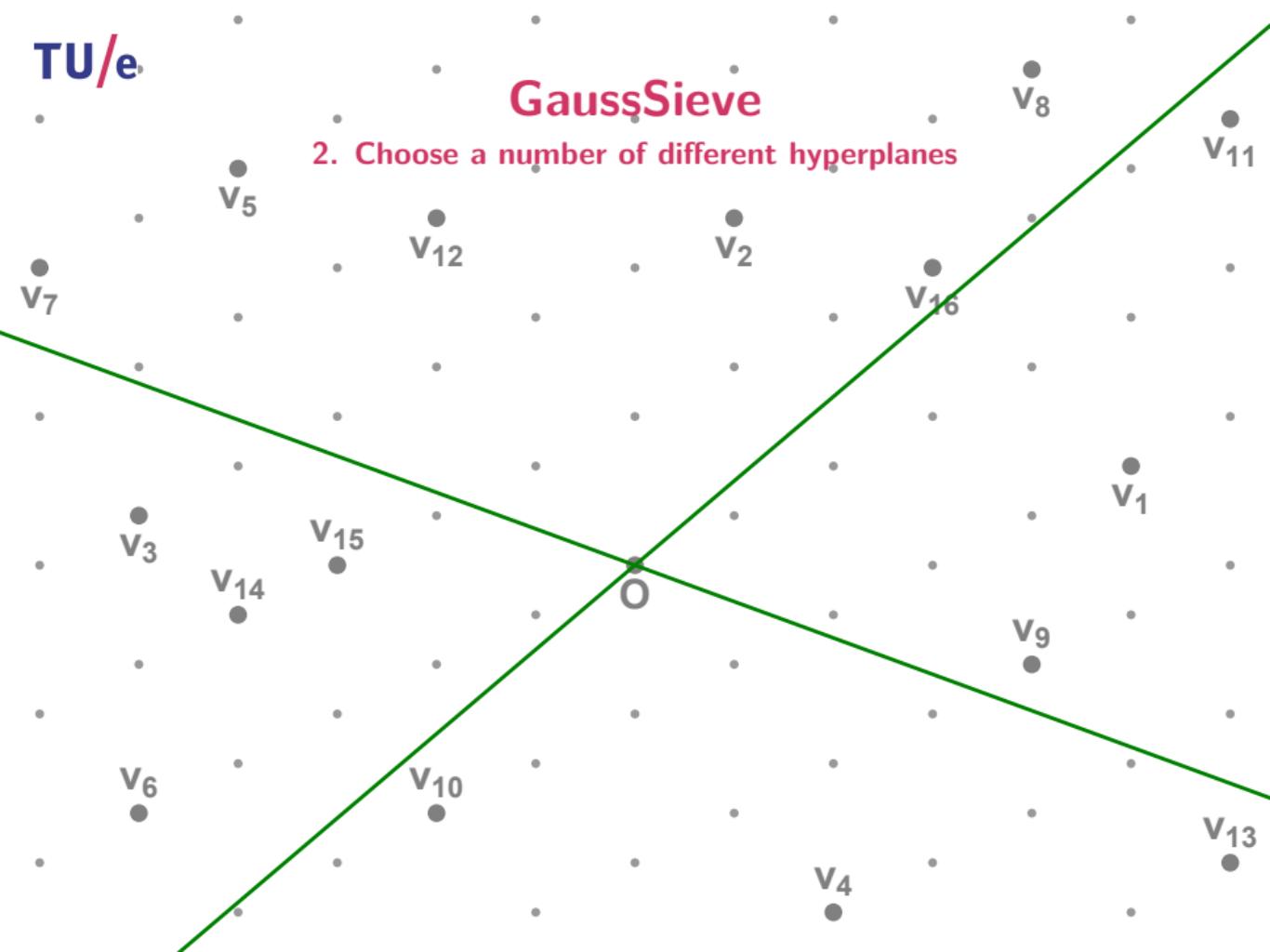
GaussSieve

2. Choose a number of different hyperplanes



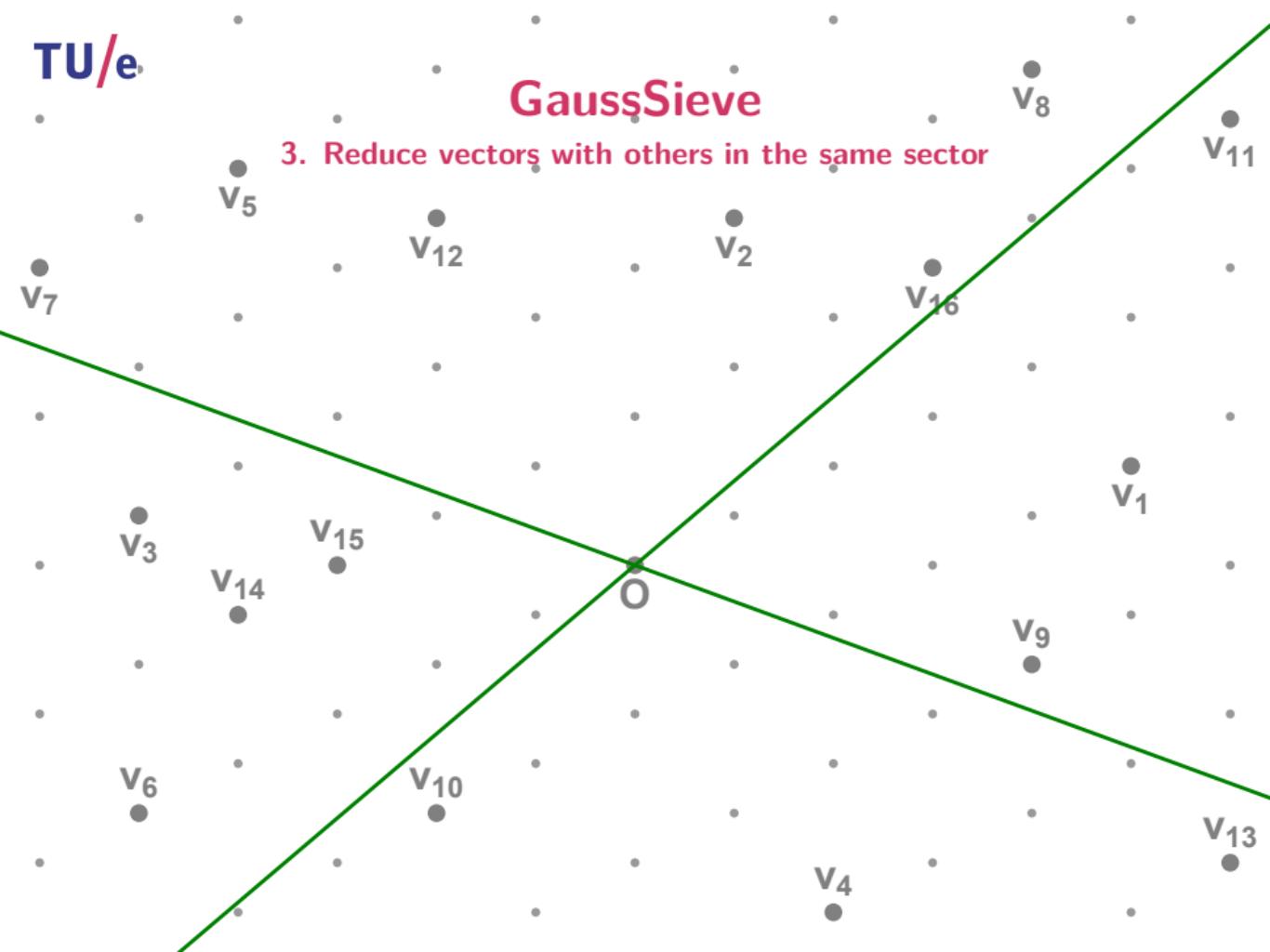
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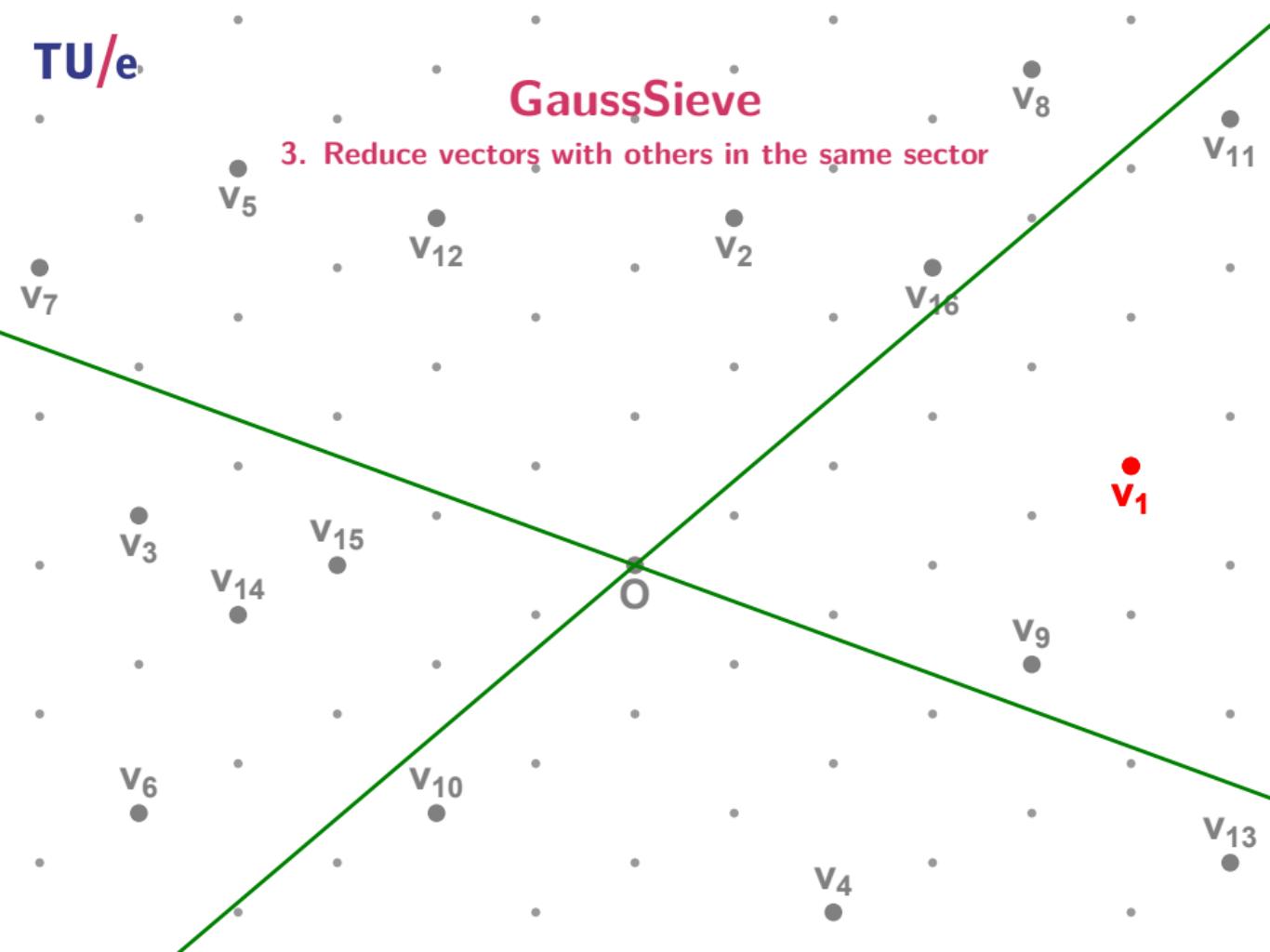
GaussSieve

3. Reduce vectors with others in the same sector



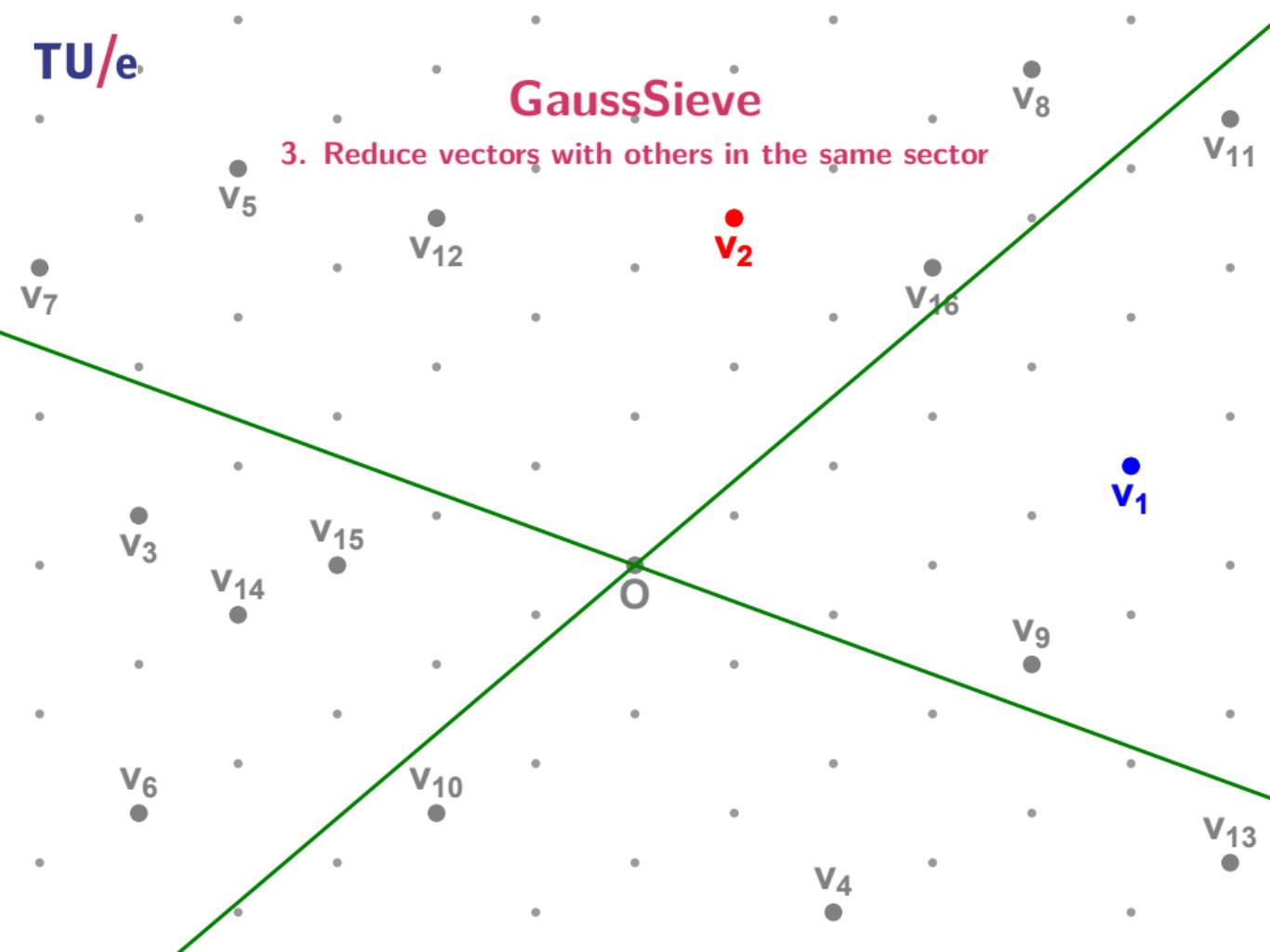
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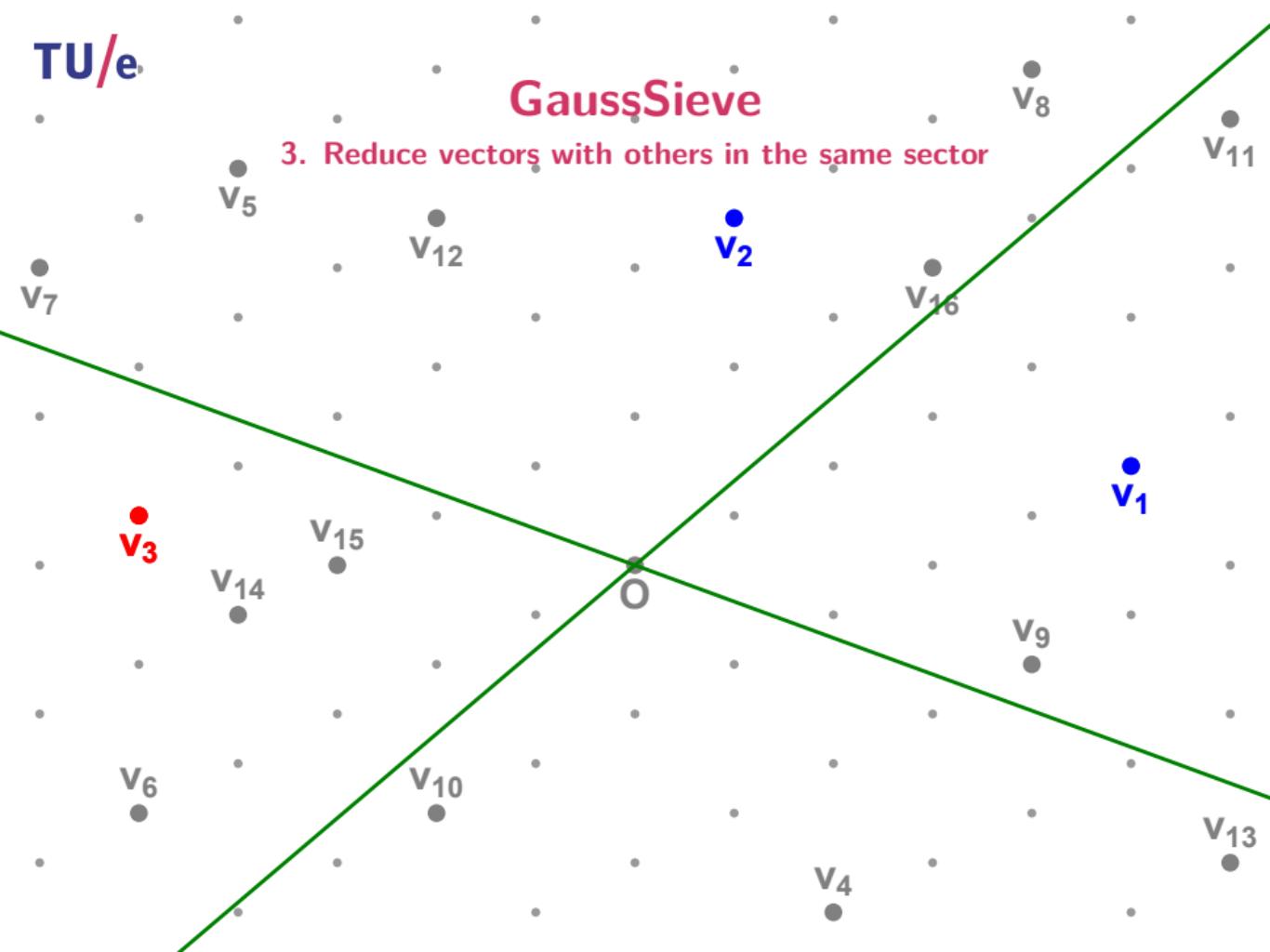
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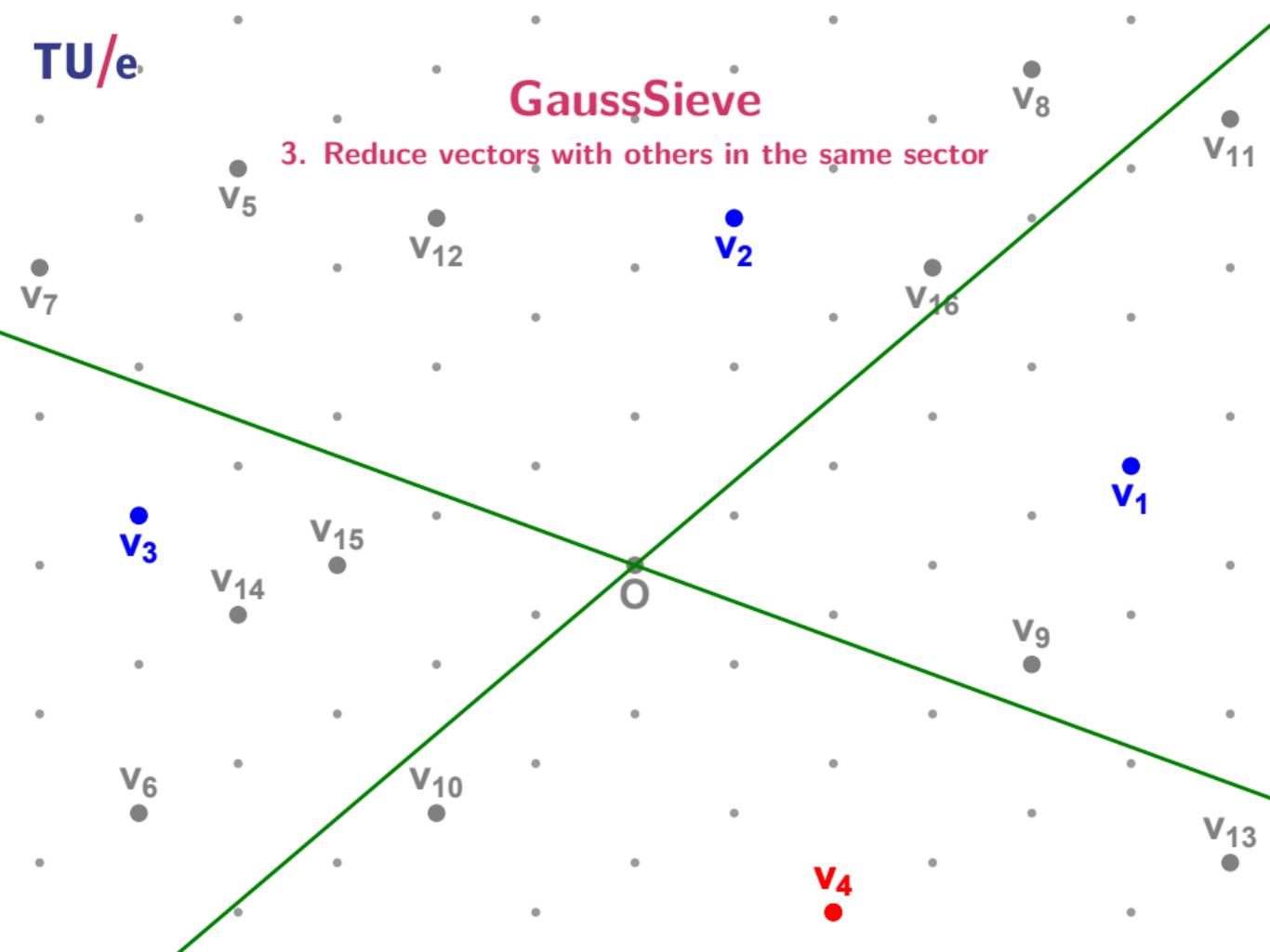
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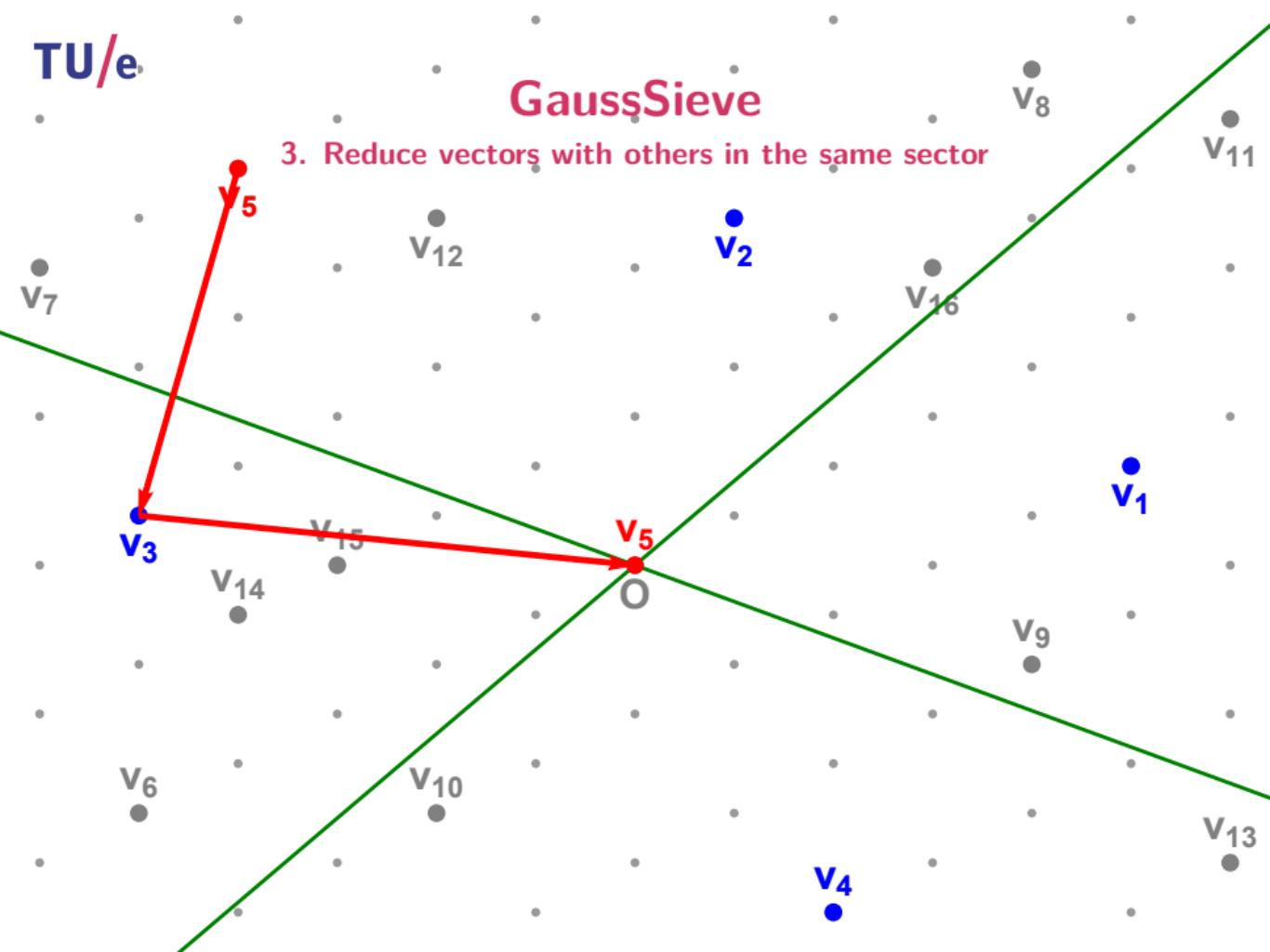
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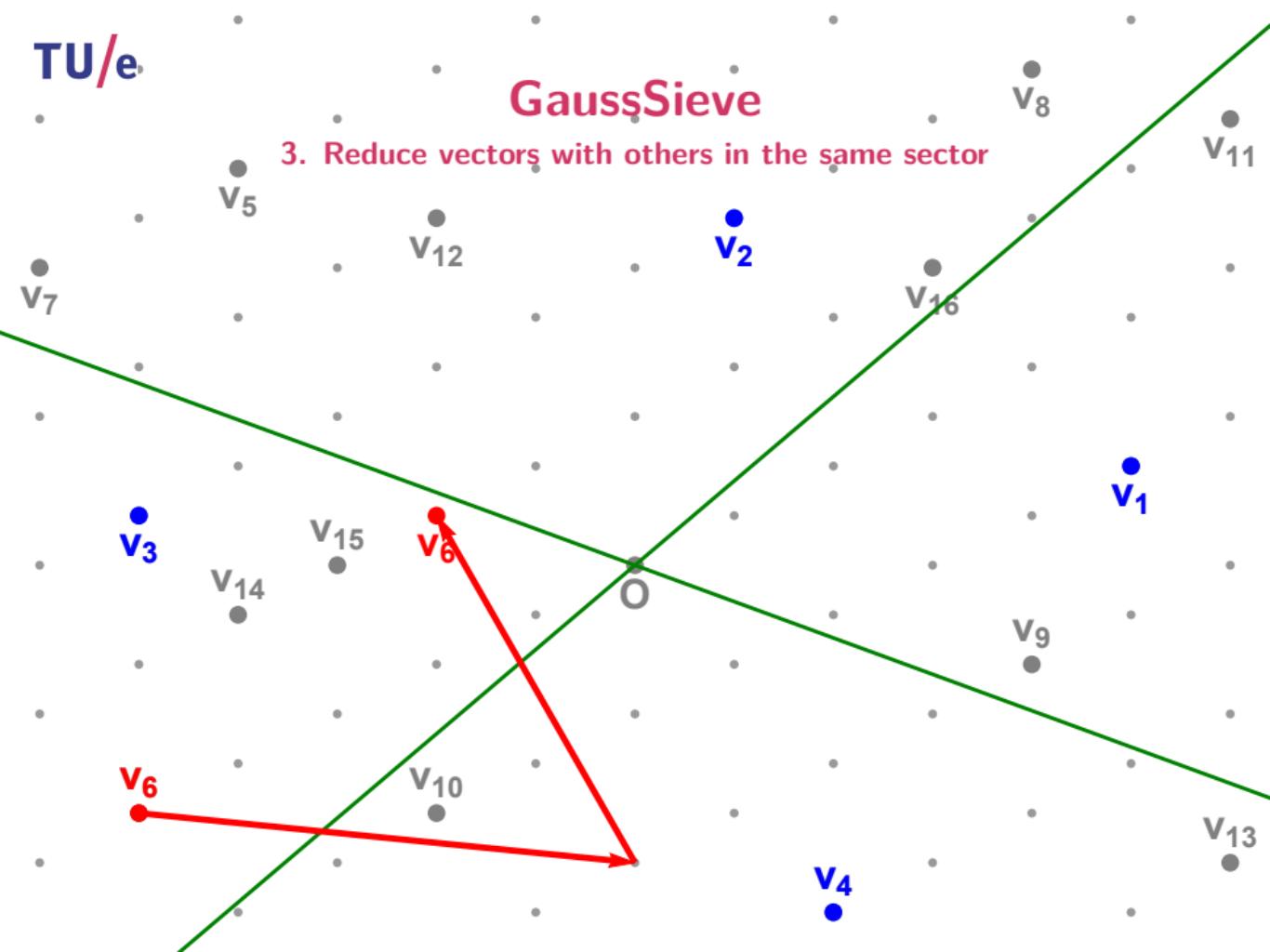
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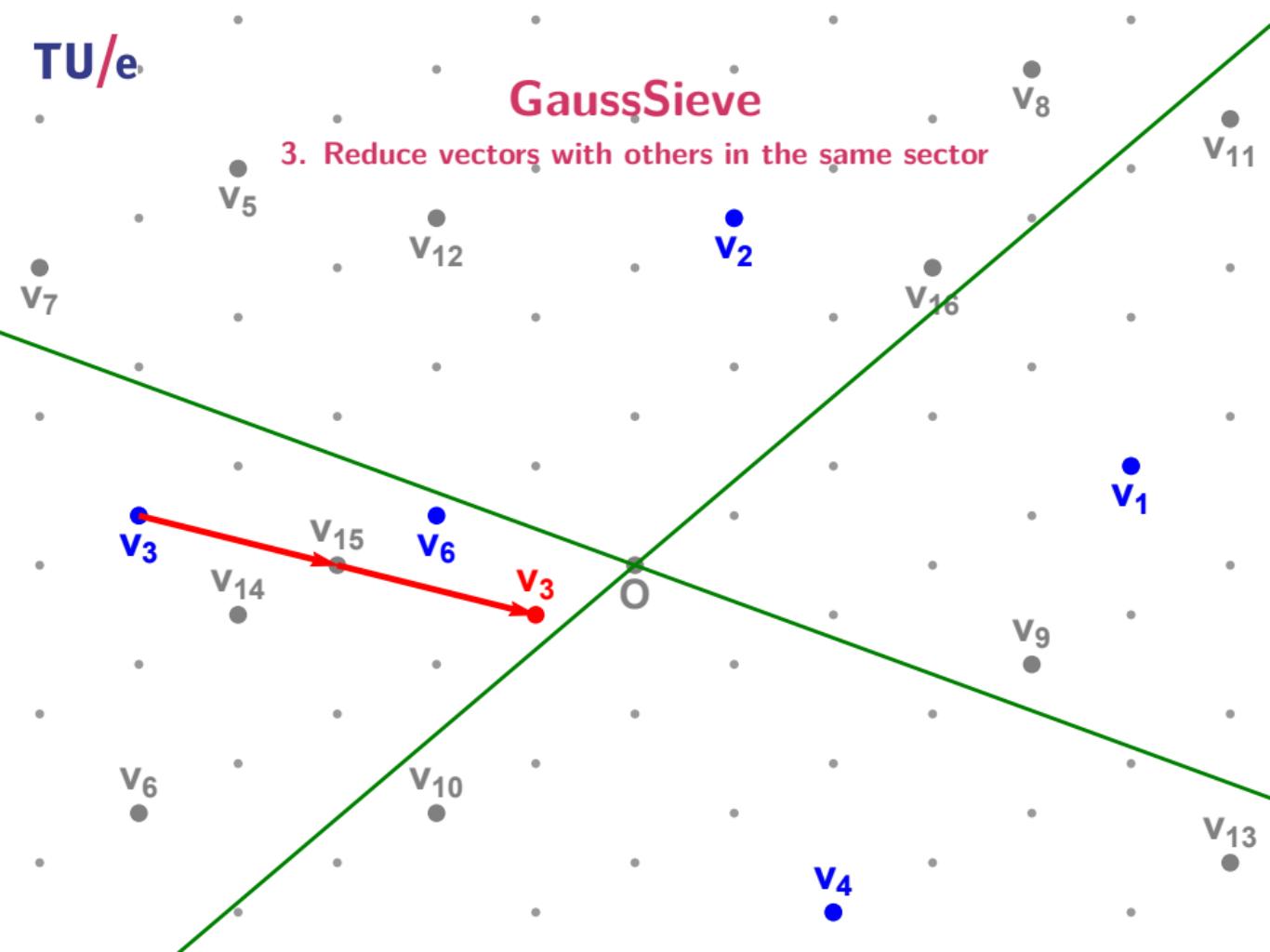
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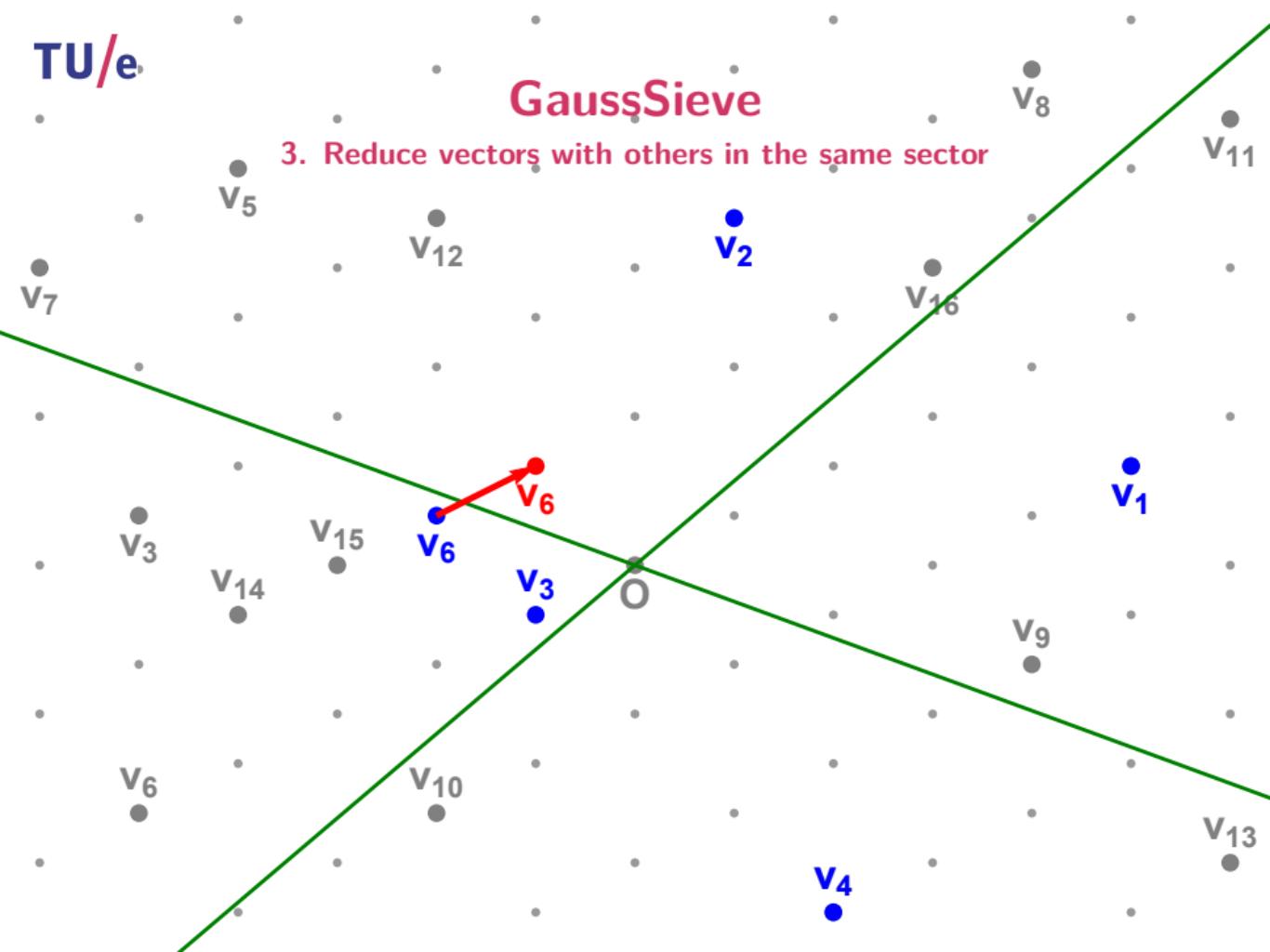
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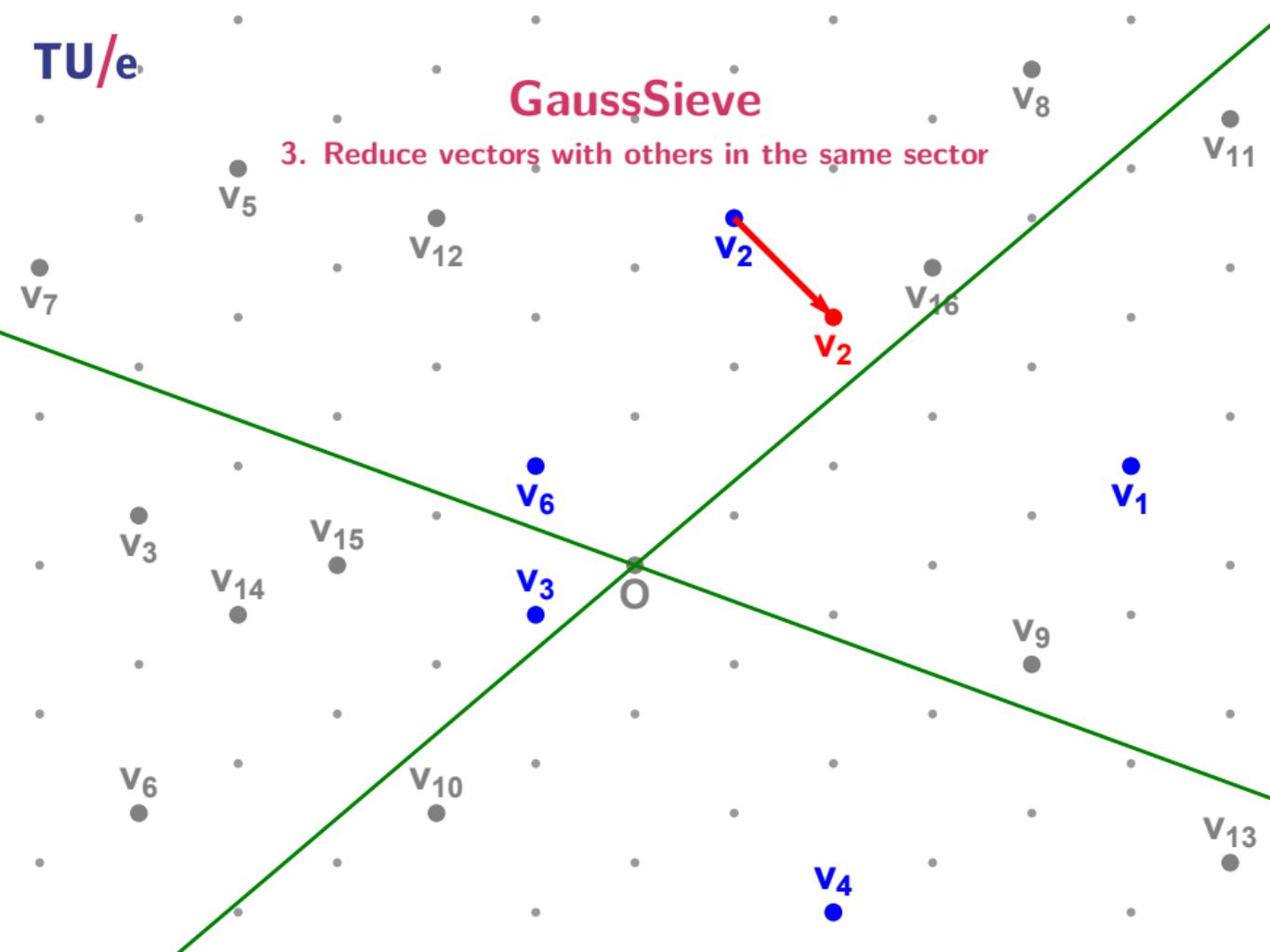
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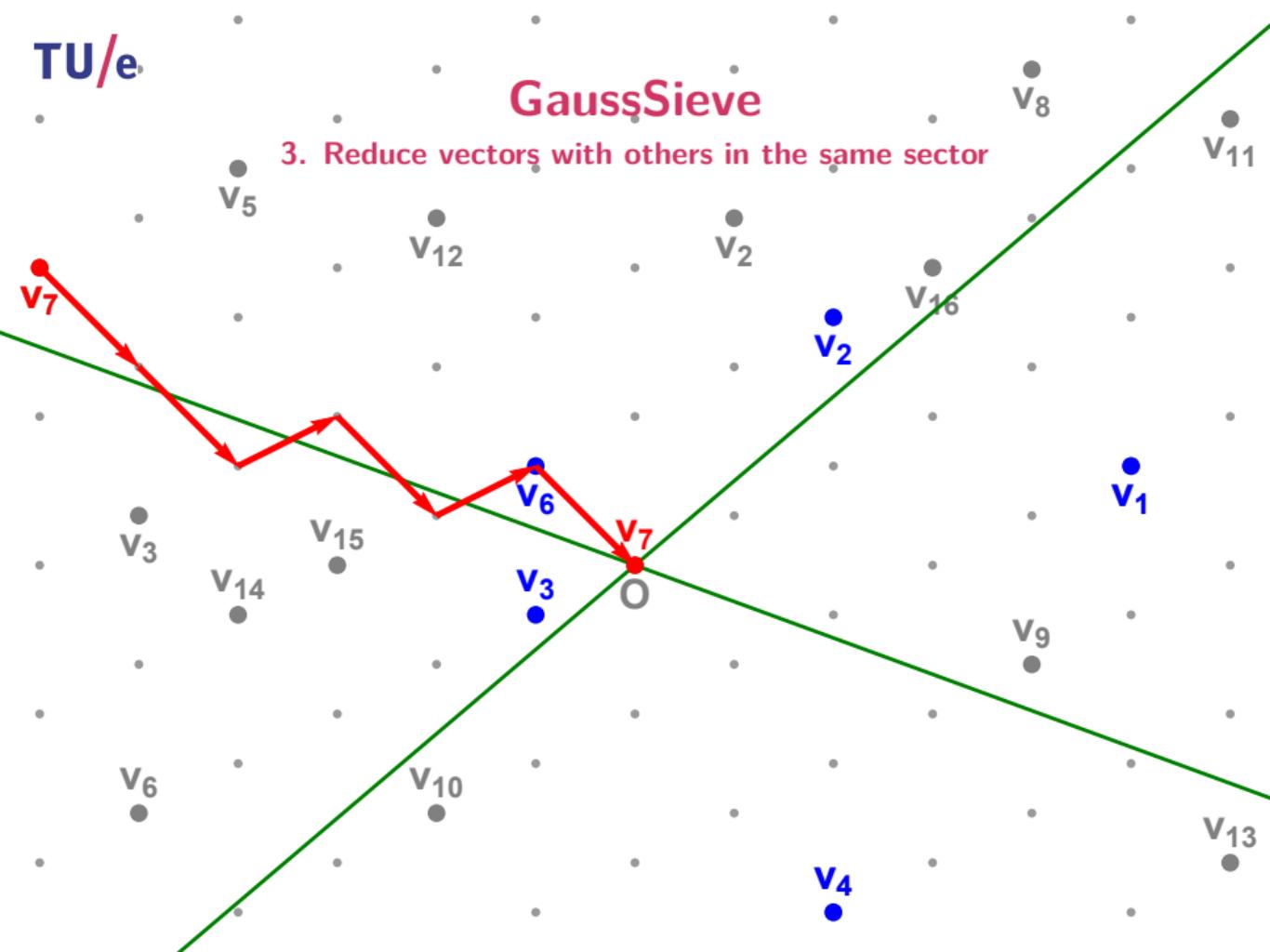
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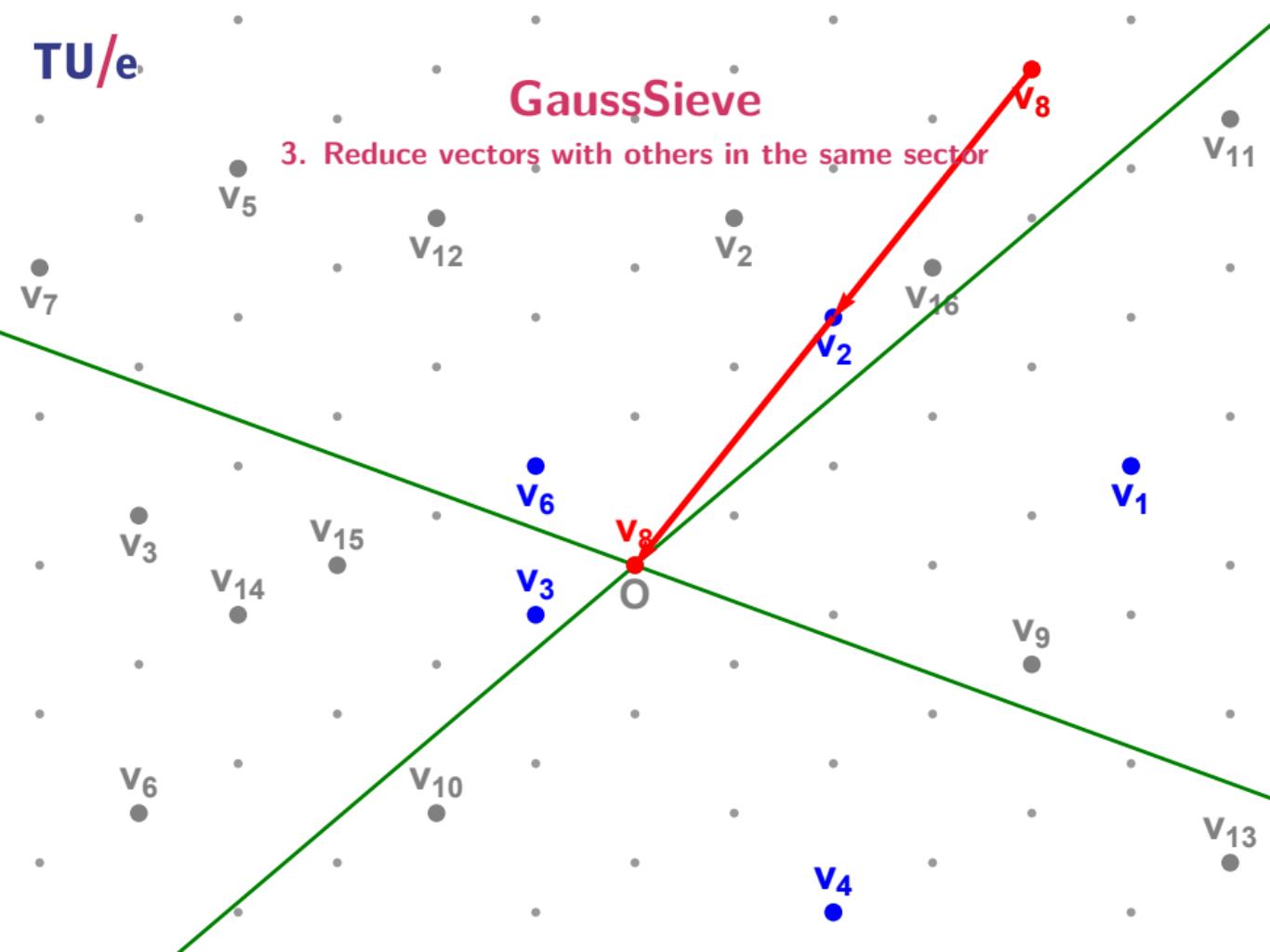
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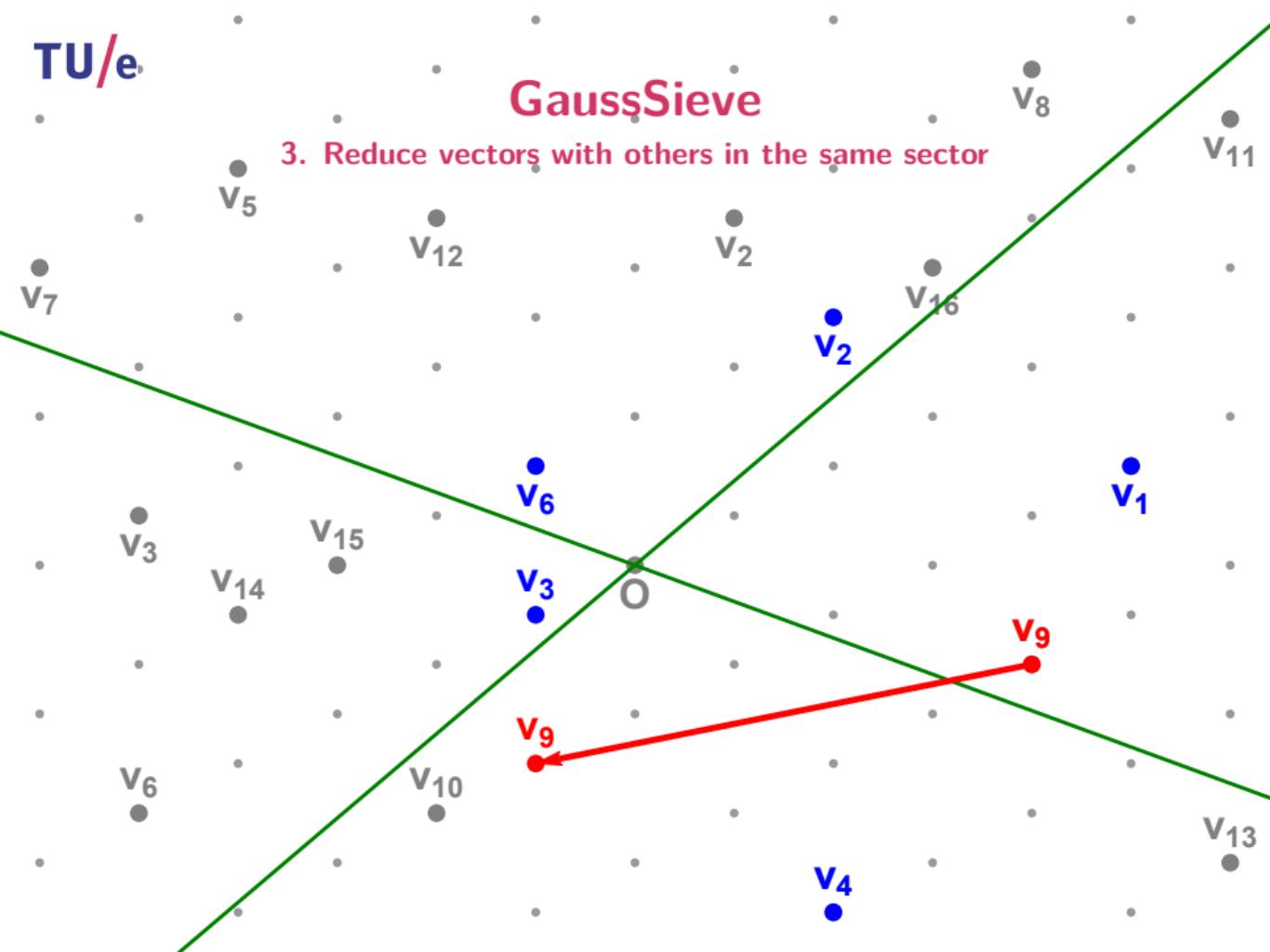
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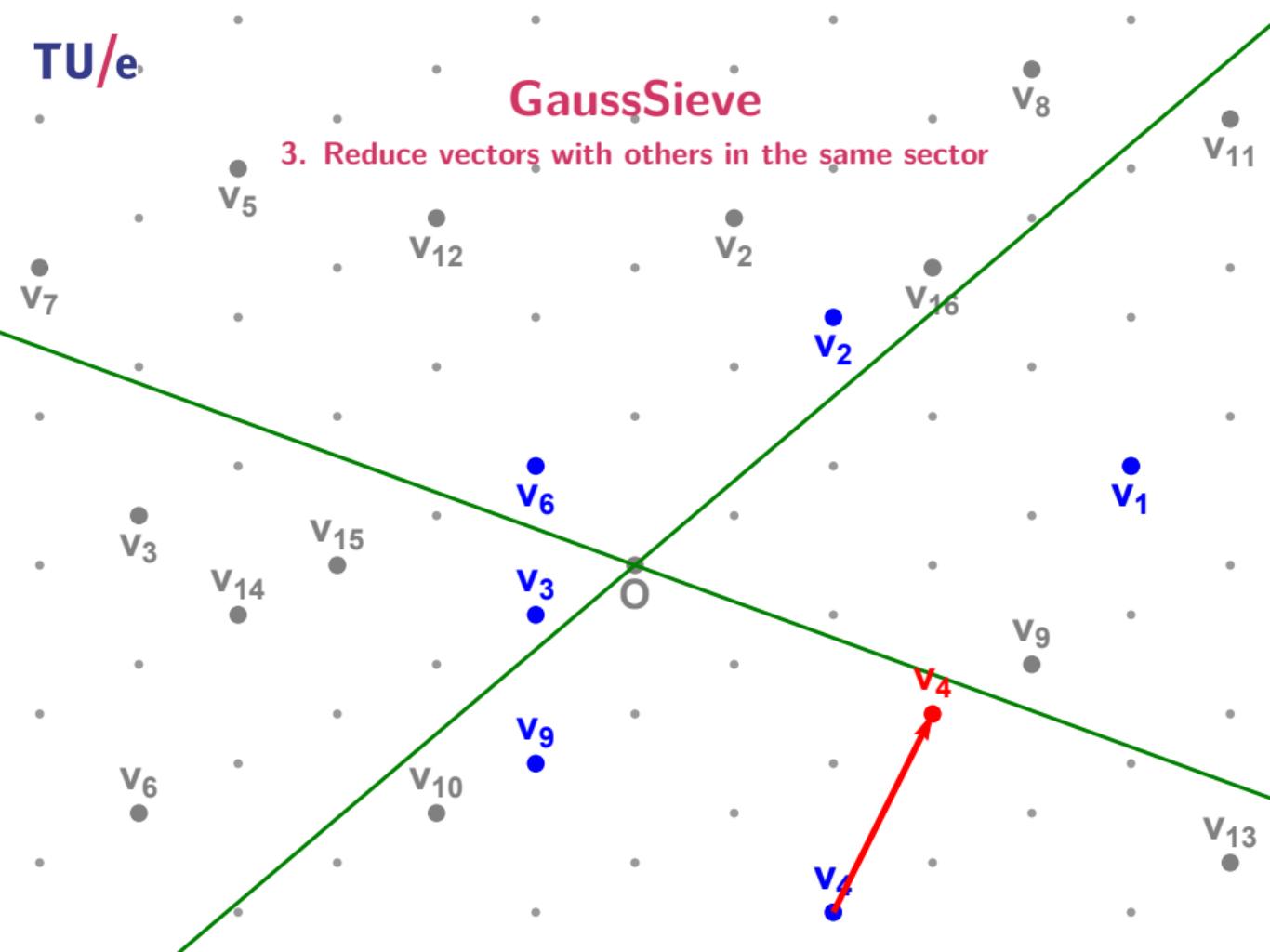
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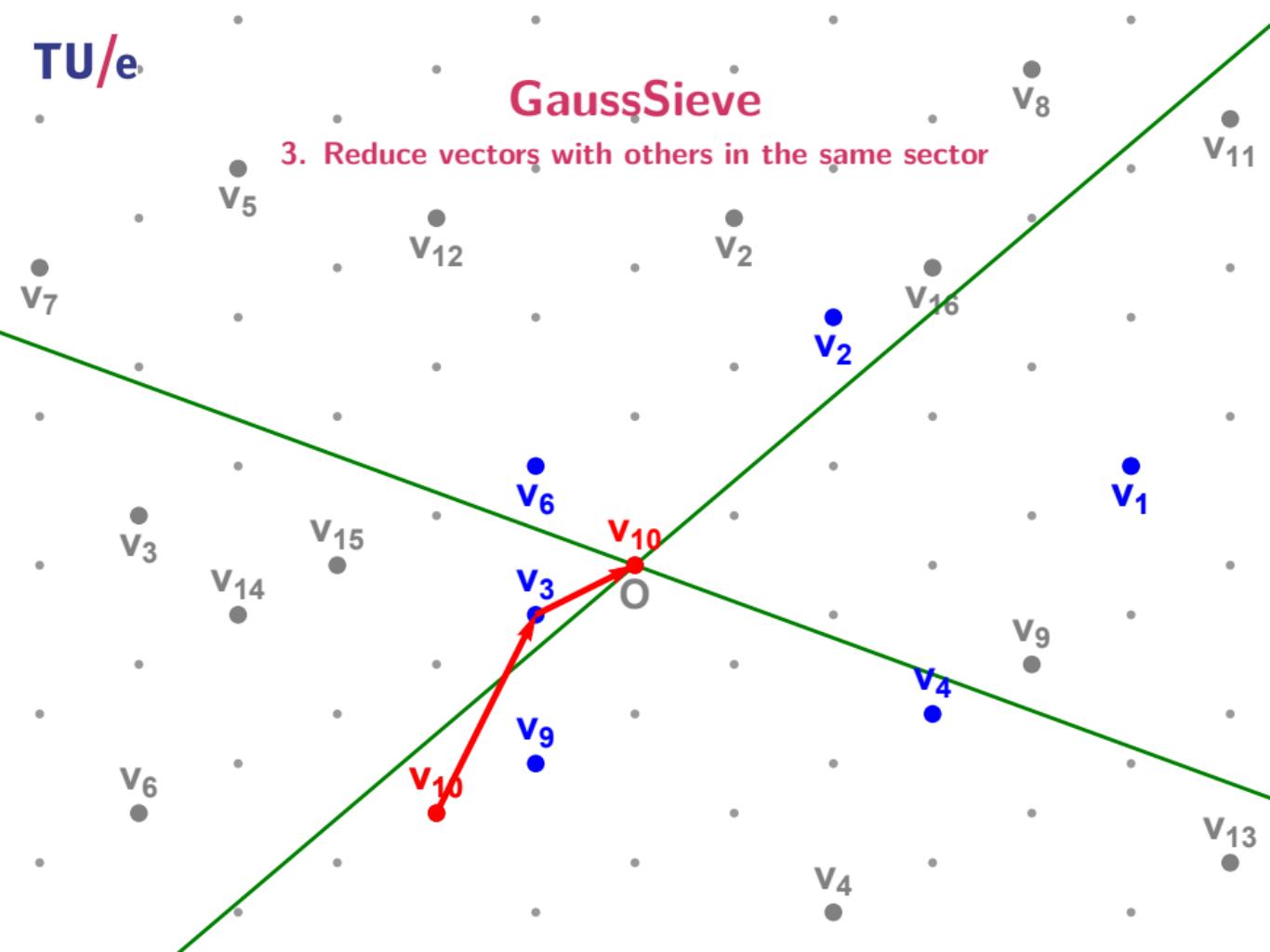
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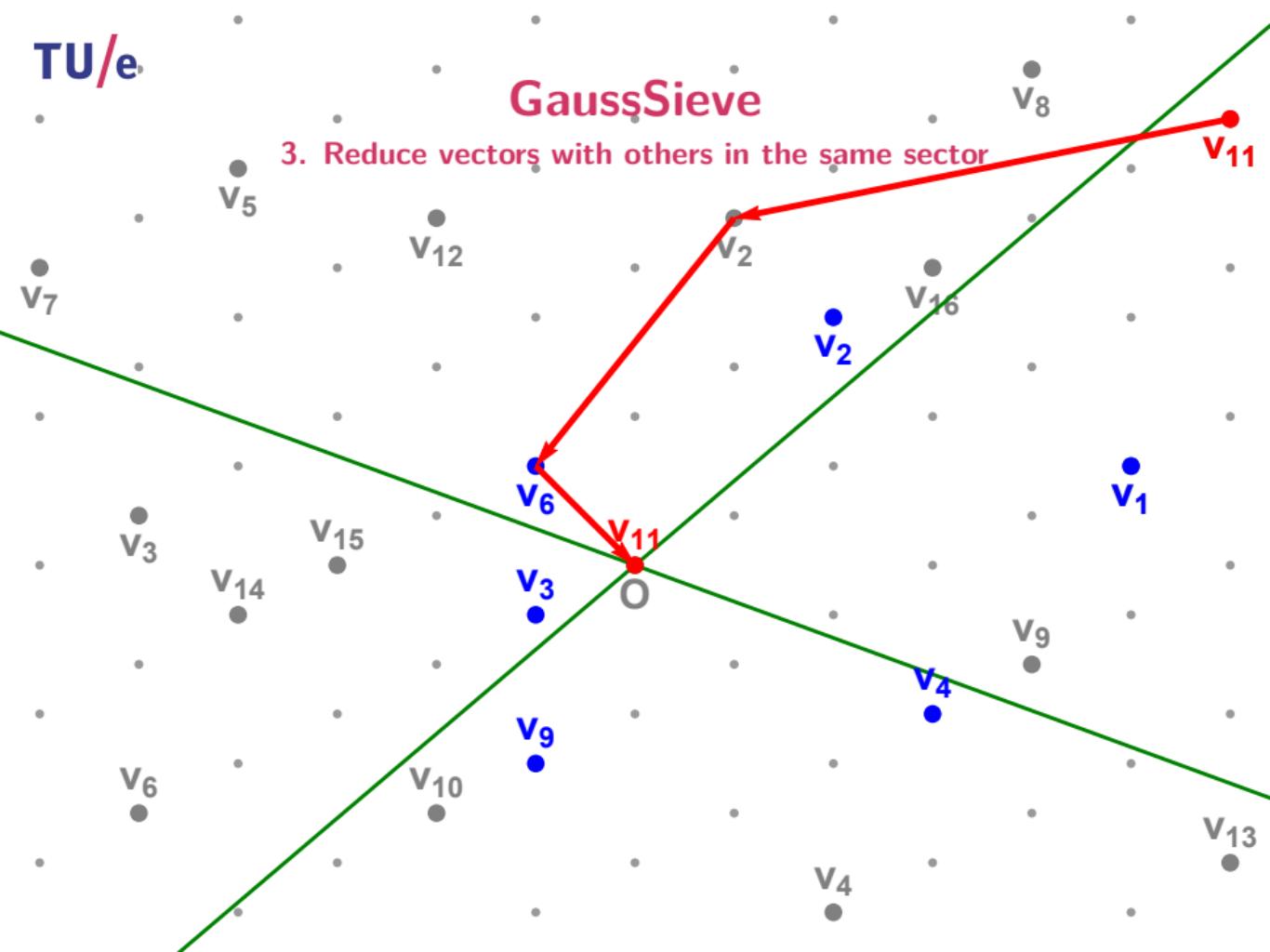
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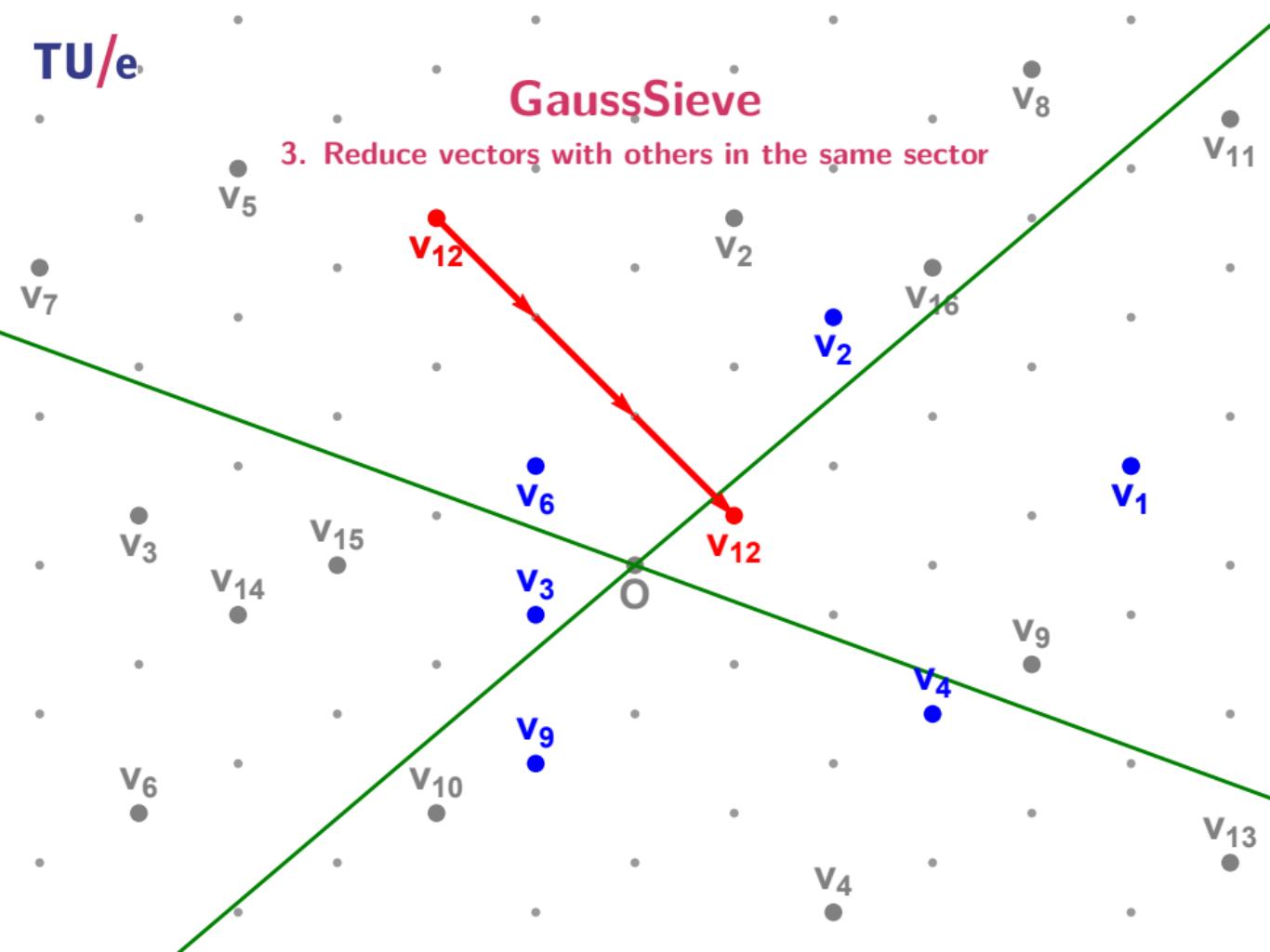
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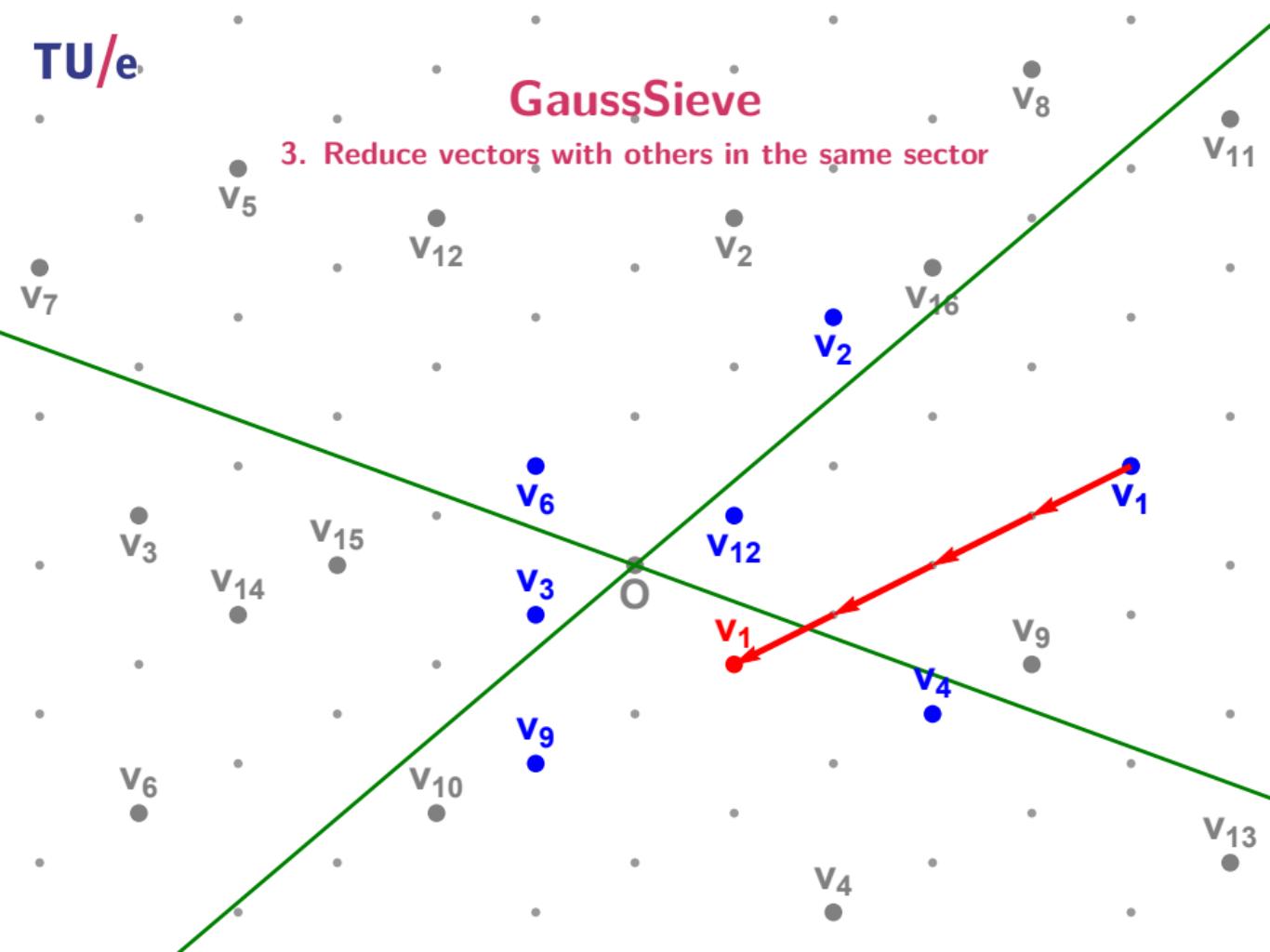
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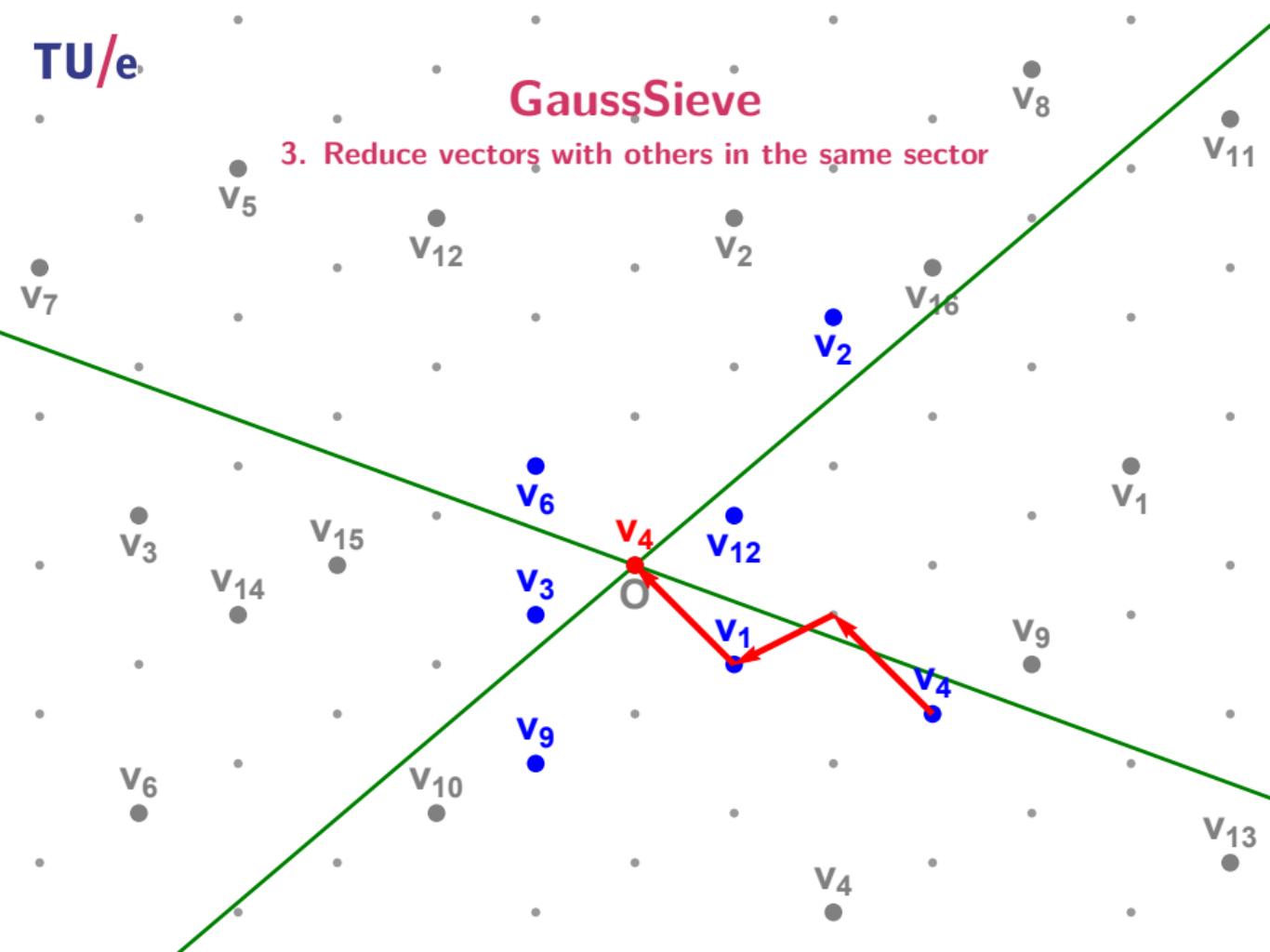
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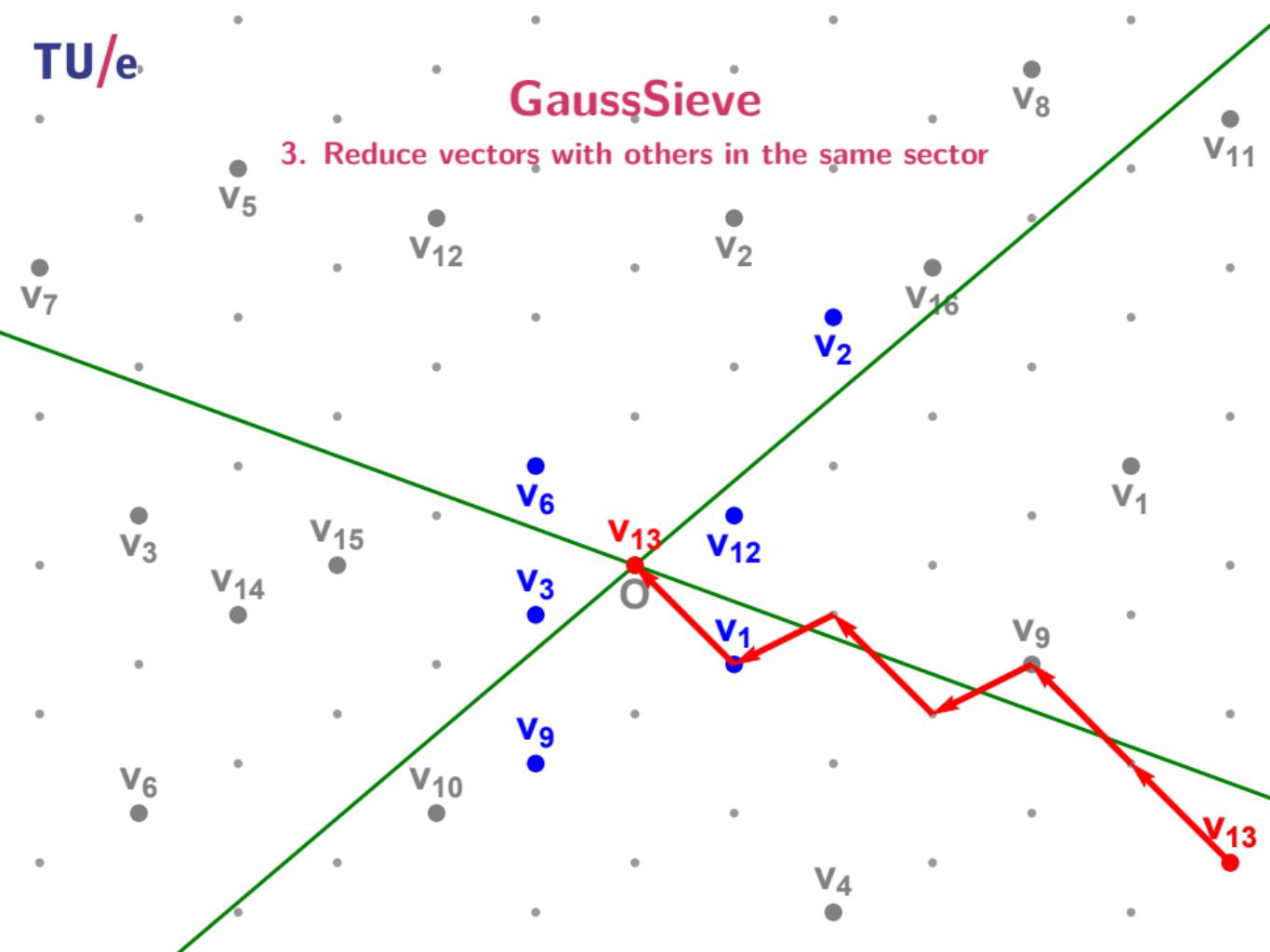
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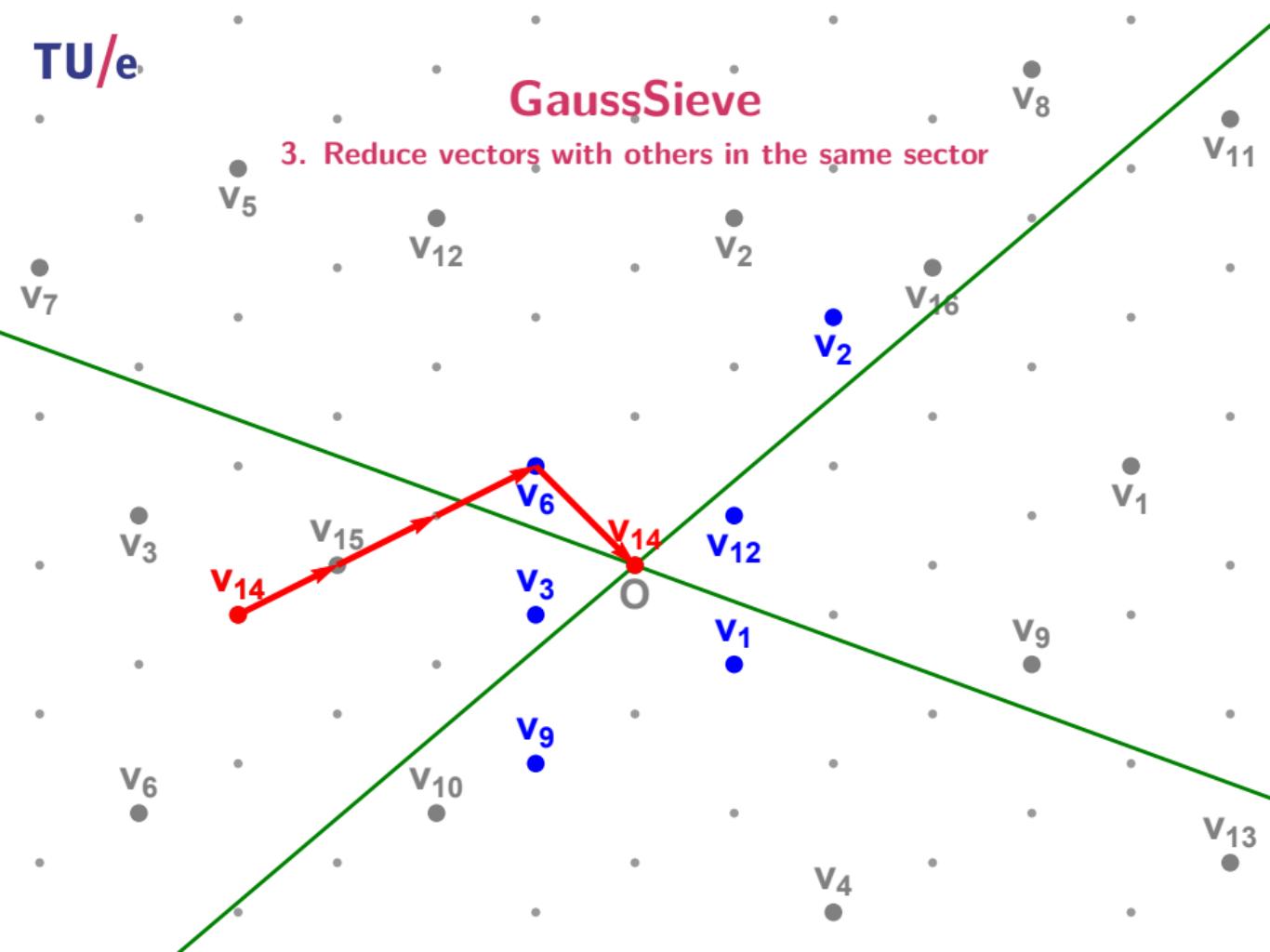
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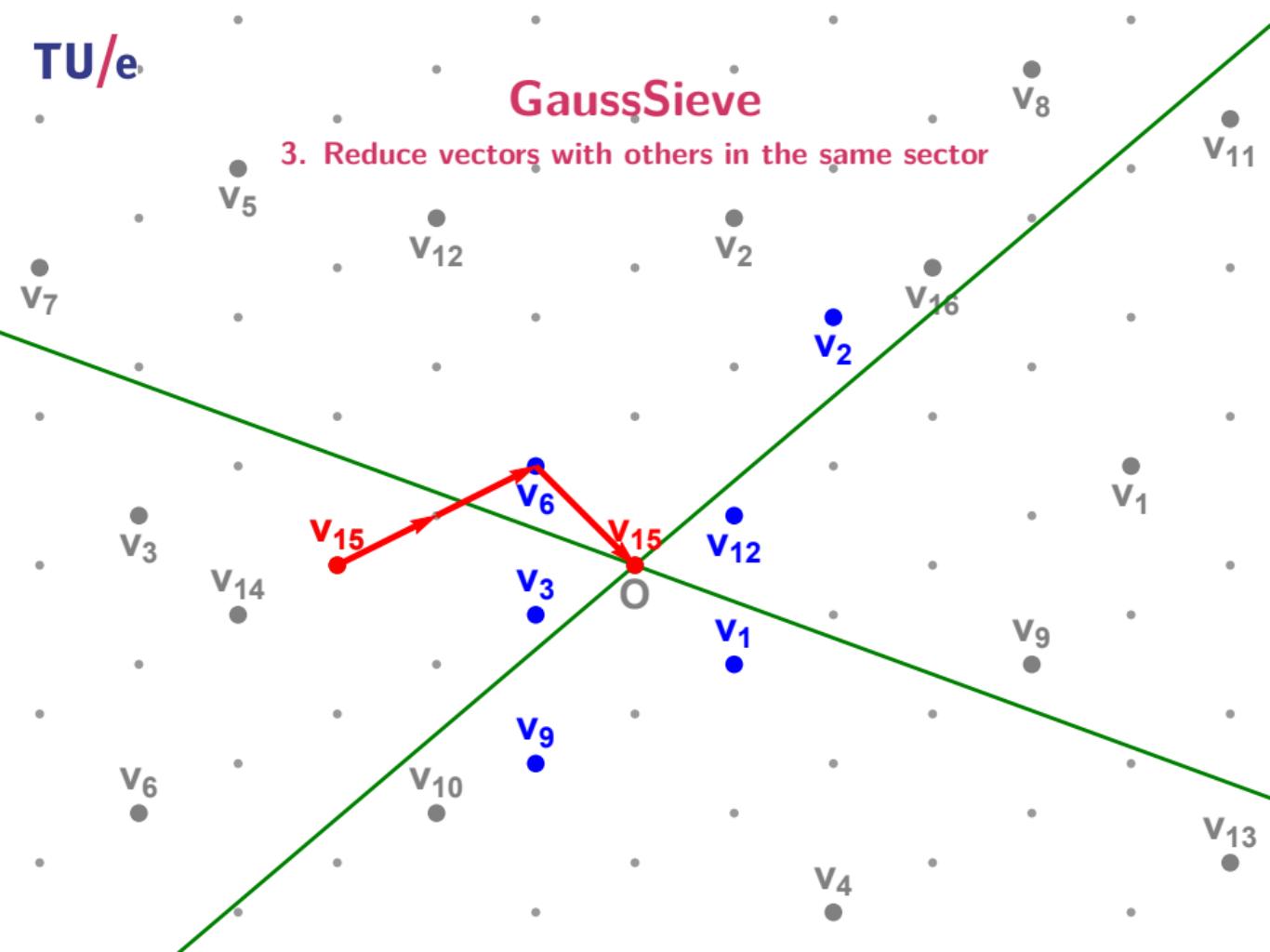
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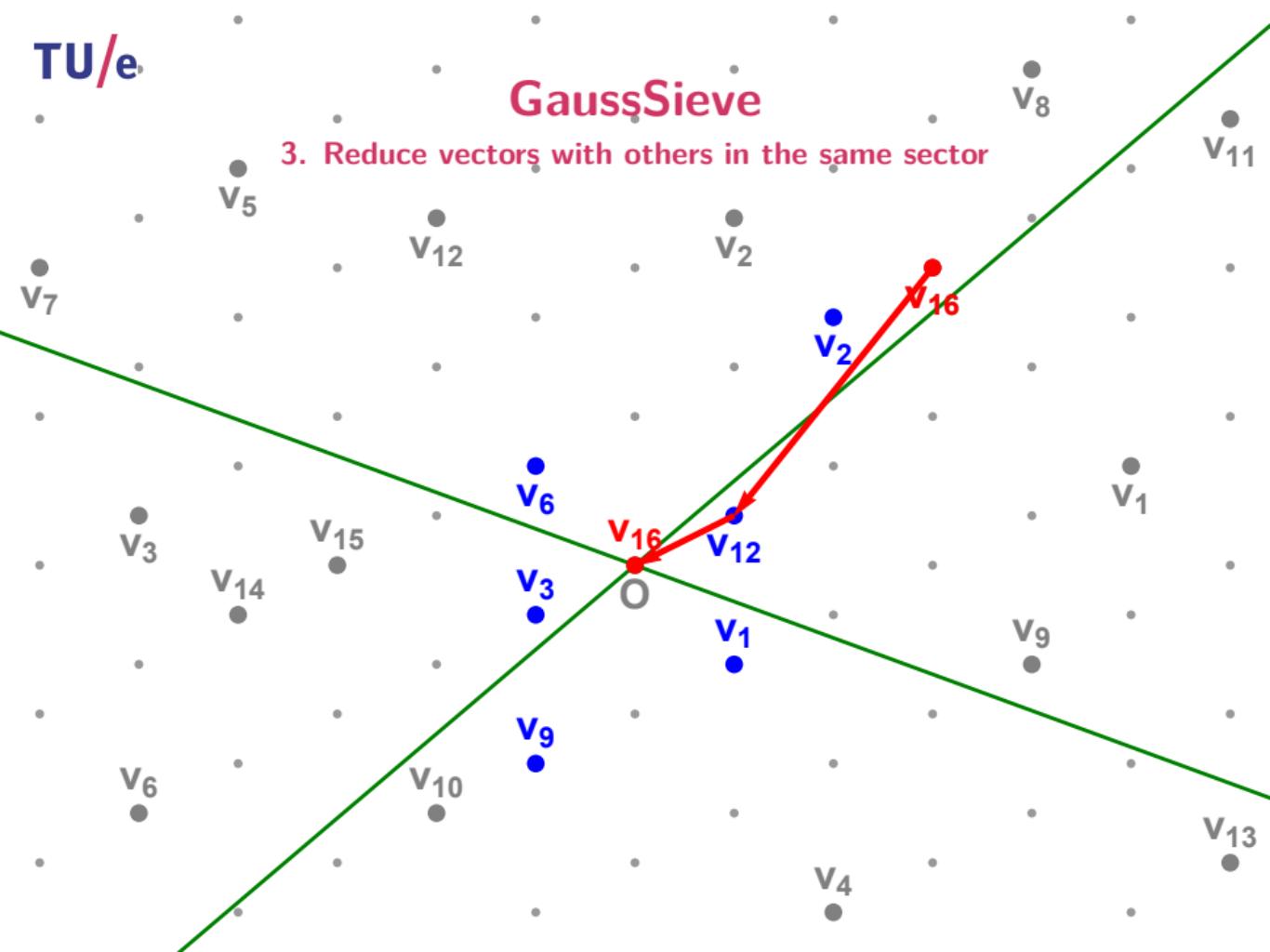
GaussSieve

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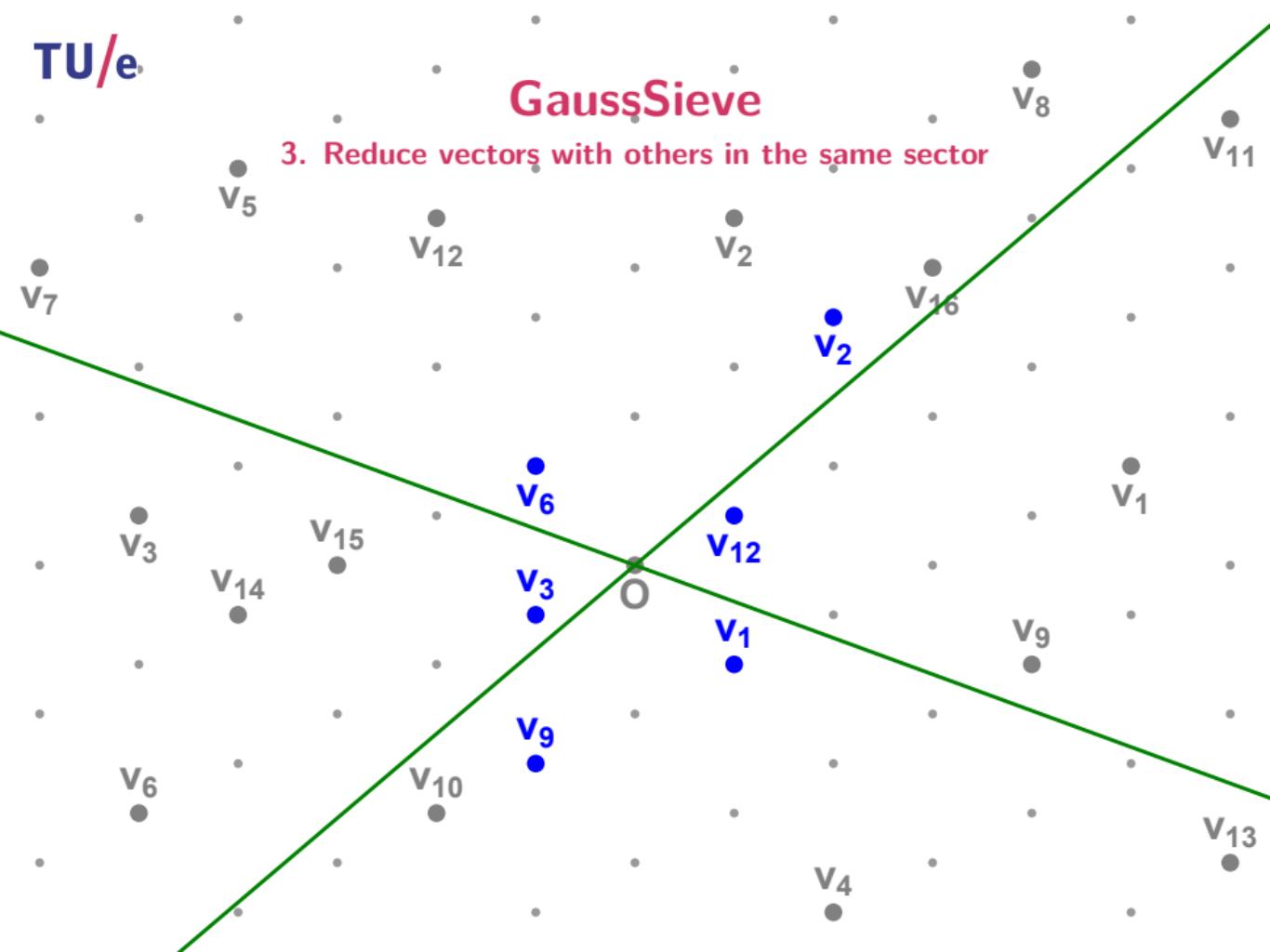
GaussSieve

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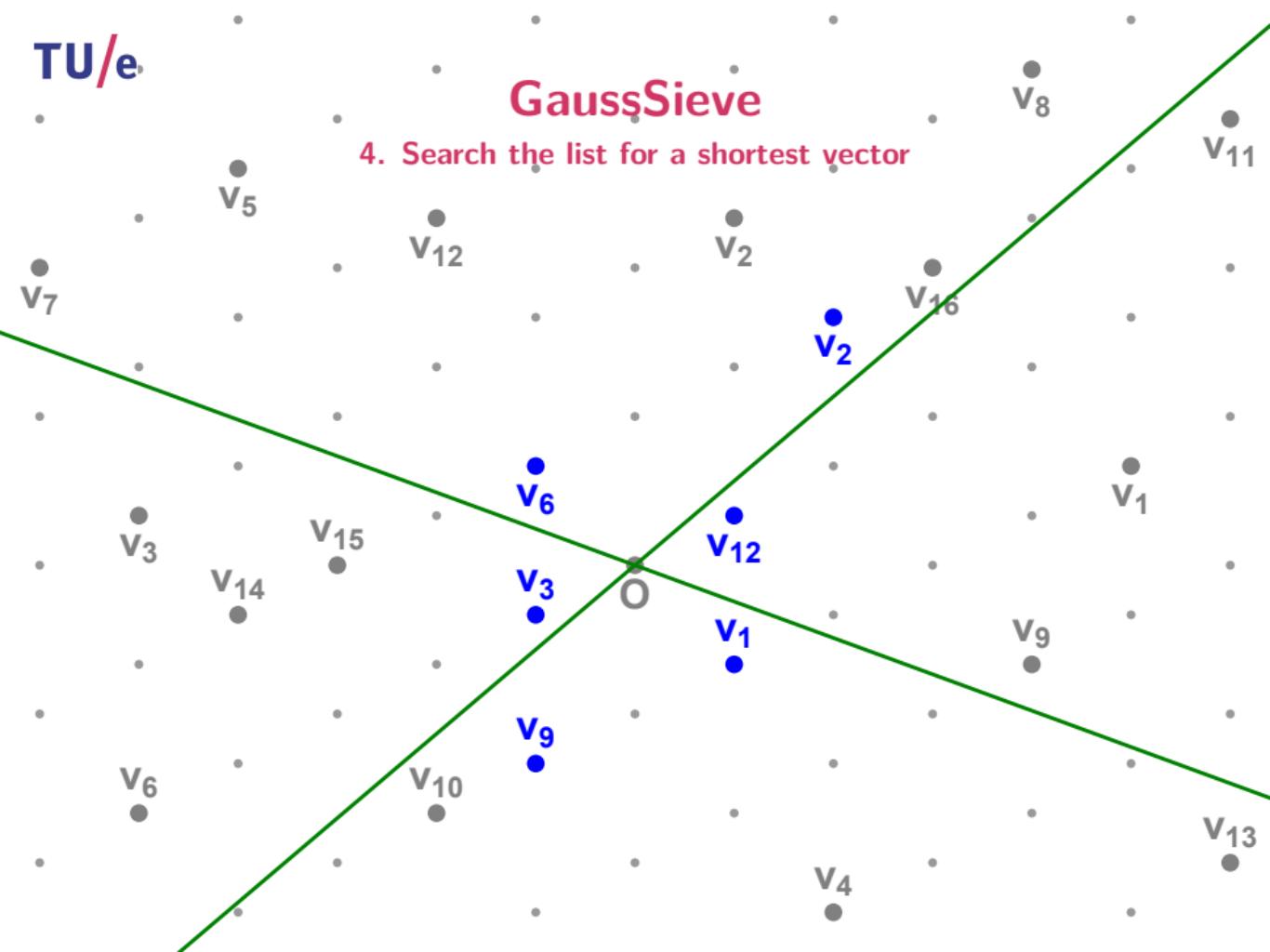
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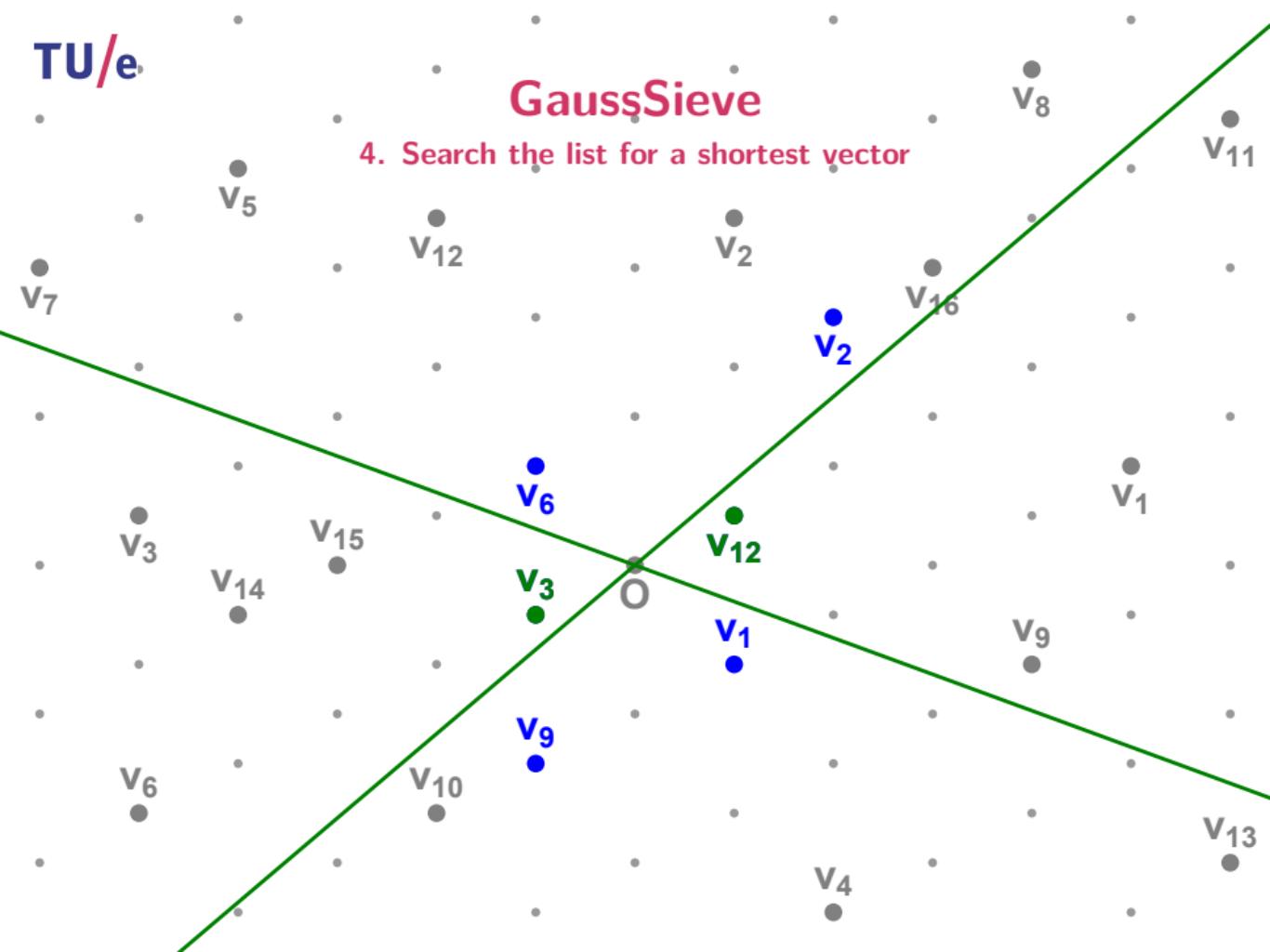
GaussSieve

4. Search the list for a shortest vector



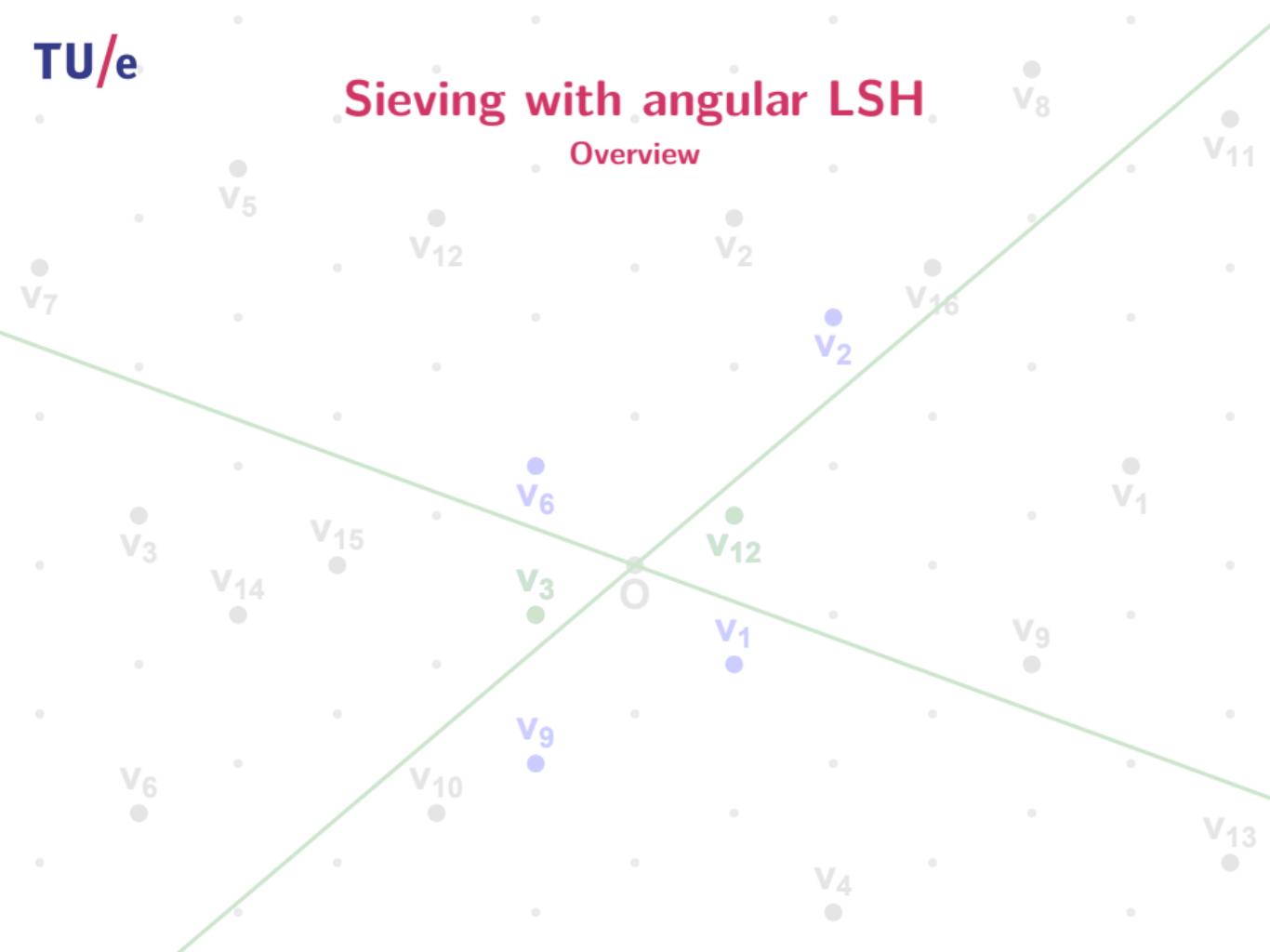
GaussSieve

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Sieving with angular LSH

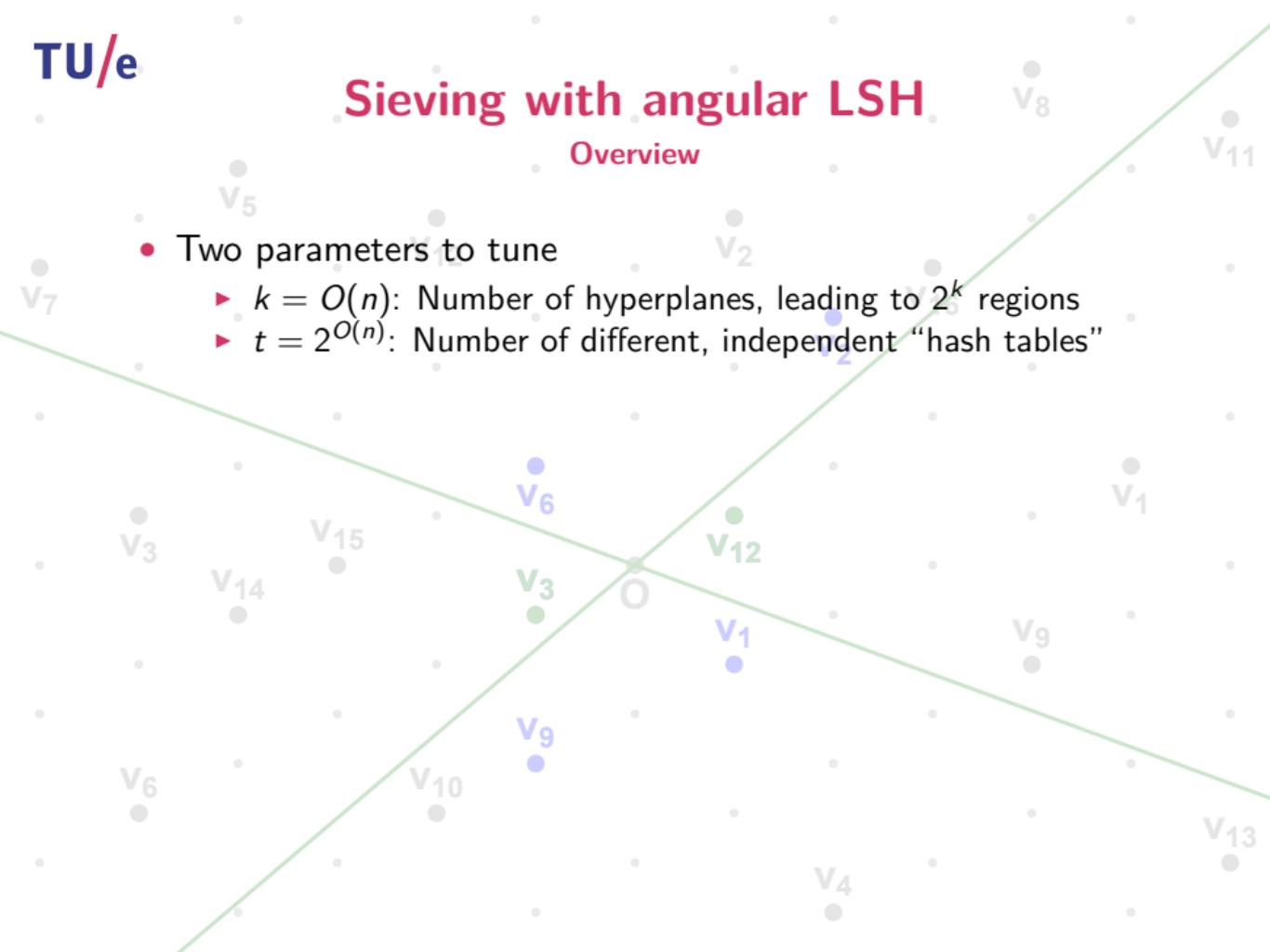
Overview



Sieving with angular LSH

Overview

- Two parameters to tune
 - ▶ $k = O(n)$: Number of hyperplanes, leading to 2^k regions
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Sieving with LSH runs in time $2^{0.34n+o(n)}$ and space $2^{0.34n+o(n)}$.

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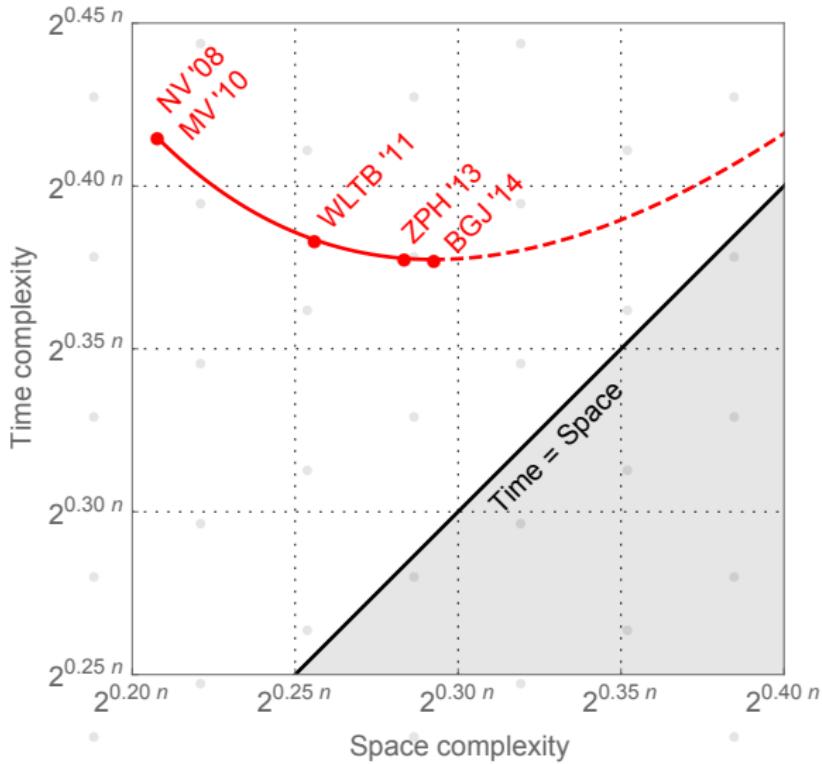
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- Full details online at <http://eprint.iacr.org/2014/744>

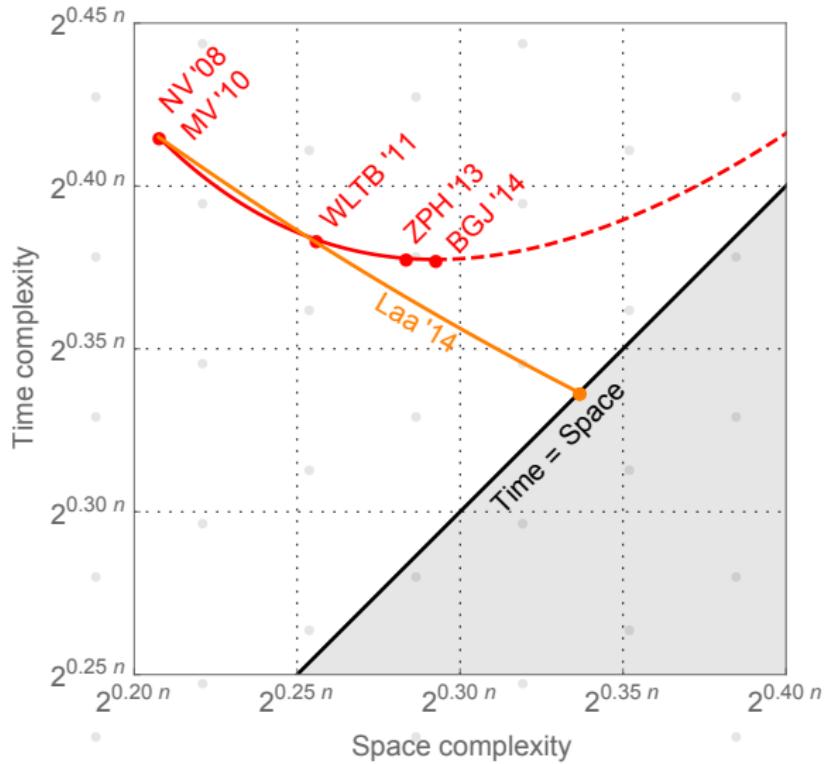
Results

Space/time trade-off



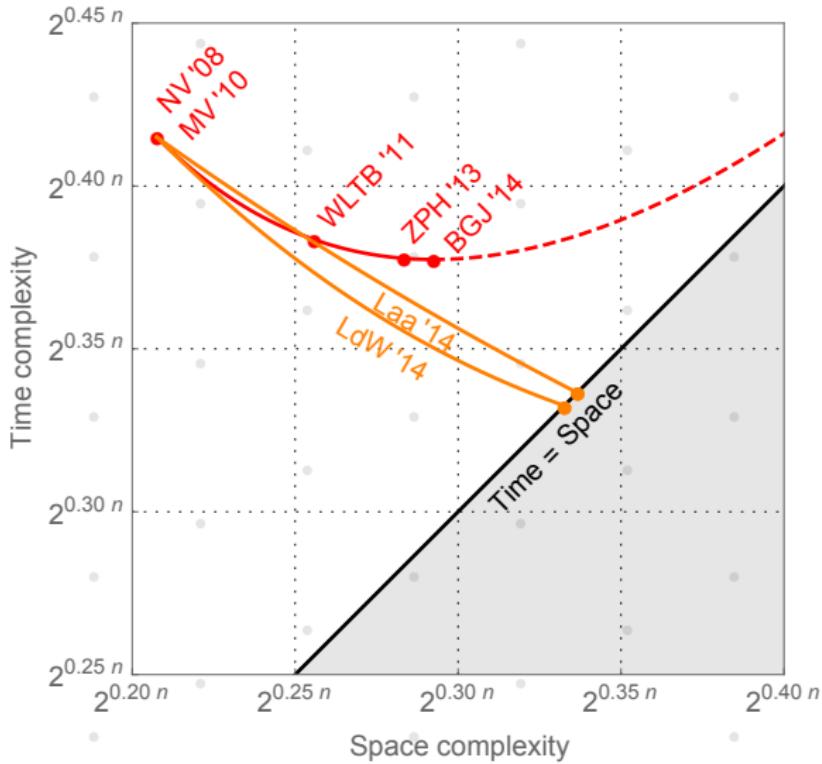
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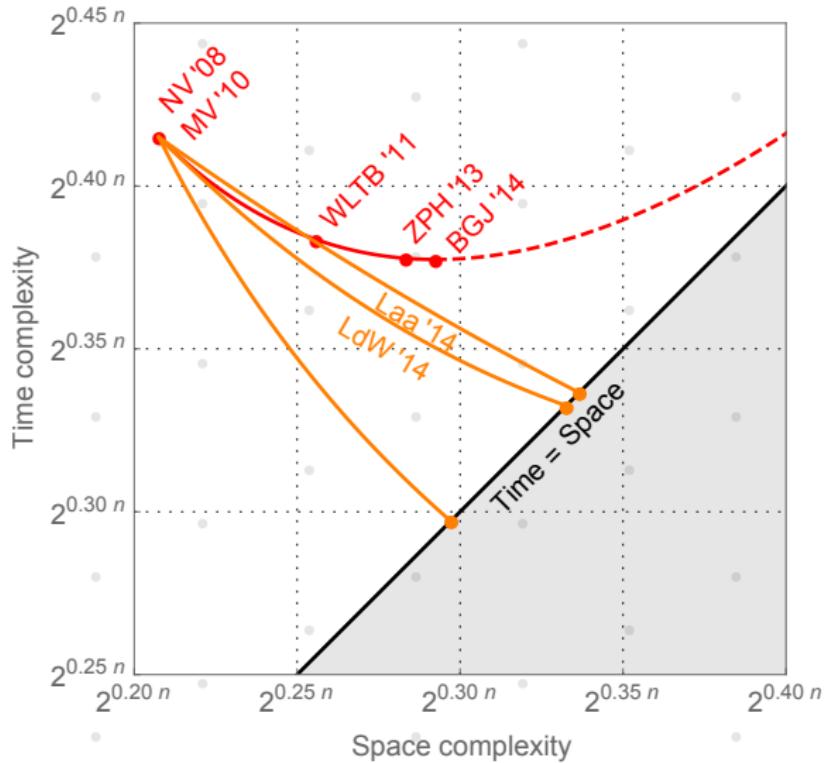
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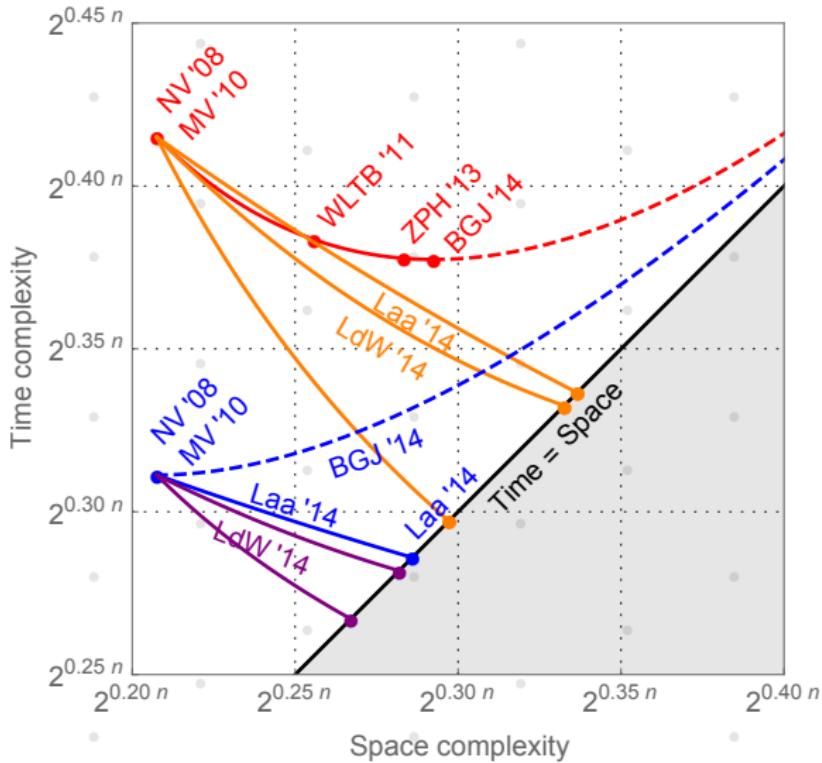
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Questions

