

Sieving for shortest vectors in lattices using (spherical) locality-sensitive hashing

Thijs Laarhoven, Benne de Weger

mail@thijs.com
http://www.thijs.com/

Latincrypt 2015, Guadalajara, Mexico (August 24, 2015)

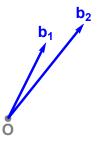
Lattices

What is a lattice?



Lattices

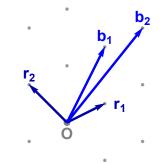
What is a lattice?



TU/e Lattices What is a lattice?

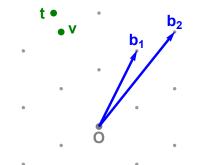
Lattices

Lattice basis reduction



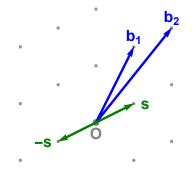
TU/e Lattices Closest Vector Problem (CVP)

TU/e Lattices Closest Vector Problem (CVP)



TU/e Lattices Shortest Vector Problem (SVP)

TU/e Lattices Shortest Vector Problem (SVP)





Lattices
Exact SVP algorithms

	Algorithm	$log_2(Time)$	$log_2(Space)$
Provable SVP	Enumeration [Poh81, Kan83,, GNR10]	$\Omega(n \log n)$	$O(\log n)$
	AKS-sieve [AKS01, NV08, MV10, HPS11]	3.398 <i>n</i>	1.985 <i>n</i>
	ListSieve [MV10, MDB14]	3.199 <i>n</i>	• 1.327 <i>n</i>
	AKS-sieve-birthday [PS09, HPS11]	2.648 <i>n</i>	1.324n
	ListSieve-birthday [PS09]	2.465 <i>n</i>	1.233n
Pro	Voronoi cell algorithm [MV10b]	2.000 <i>n</i>	1.000 <i>n</i>
•	Discrete Gaussian sampling [ADRS15]	1.000 <i>n</i>	• 1.000 <i>n</i>
	Nguyen-Vidick sieve [NV08]	0.415 <i>n</i>	0.208 <i>n</i>
	GaussSieve [MV10,, IKMT14, BNvdP14]	0.415 <i>n</i> ?	0.208 <i>n</i>
SVP	Two-level sieve [WLTB11]	0.384 <i>n</i>	0.256 <i>n</i>
uristic S	Three-level sieve [ZPH13]	0.3778 <i>n</i>	0.283 <i>n</i>
	Overlattice sieving [BGJ14]	0.3774 <i>n</i>	0.293 <i>n</i>
	Hyperplane LSH [Laa15, MLB15]	0.337 <i>n</i>	0.208 <i>n</i>
Ë			



Lattices
Exact SVP algorithms

	Algorithm	$log_2(Time)$	$log_2(Space)$
	Enumeration [Poh81, Kan83,, GNR10]	$\Omega(n \log n)$	$O(\log n)$
SVP	AKS-sieve [AKS01, NV08, MV10, HPS11]	3.398 <i>n</i>	1.985 <i>n</i>
S	ListSieve [MV10, MDB14]	3.199 <i>n</i>	° 1.327 <i>n</i>
ple	AKS-sieve-birthday [PS09, HPS11]	2.648 <i>n</i>	1.324n
Provable	ListSieve-birthday [PS09]	2.465 <i>n</i>	1.233n
Pro	Voronoi cell algorithm [MV10b]	2.000 <i>n</i>	1.000 <i>n</i>
•	Discrete Gaussian sampling [ADRS15]	1.000 <i>n</i>	• 1.000 <i>n</i>
-	Nguyen-Vidick sieve [NV08]	0.415 <i>n</i>	0.208 <i>n</i>
SVP	GaussSieve [MV10,, IKMT14, BNvdP14]	0.415 <i>n</i> ?	0.208 <i>n</i>
	Two-level sieve [WLTB11]	0.384 <i>n</i>	0.256 <i>n</i>
S	Three-level sieve [ZPH13]	0.3778 <i>n</i>	0.283 <i>n</i>
ţi	Overlattice sieving [BGJ14]	0.3774 <i>n</i>	0.293 <i>n</i>
Heuristic	Hyperplane LSH [Laa15, MLB15]	0.337 <i>n</i>	0.208 <i>n</i>
£			
	Spherical LSH [LdW15]	0.298 <i>n</i>	0.208 <i>n</i>
	•	•	



Lattices
Exact SVP algorithms

	Algorithm	$log_2(Time)$	$\log_2(Space)$
Provable SVP	Enumeration [Poh81, Kan83,, GNR10] AKS-sieve [AKS01, NV08, MV10, HPS11] ListSieve [MV10, MDB14] AKS-sieve-birthday [PS09, HPS11] ListSieve-birthday [PS09] Voronoi cell algorithm [MV10b] Discrete Gaussian sampling [ADRS15]	Ω(n log n) 3.398n 3.199n 2.648n 2.465n 2.000n 1.000n	O(log n) 1.985n 1.327n 1.324n 1.233n 1.000n
Heuristic SVP	Nguyen-Vidick sieve [NV08] GaussSieve [MV10,, IKMT14, BNvdP14] Two-level sieve [WLTB11] Three-level sieve [ZPH13] Overlattice sieving [BGJ14] Hyperplane LSH [Laa15, MLB15] May and Ozerov's NNS method [BGJ15] Spherical LSH [LdW15] Cross-polytope LSH [BL15]	0.415n 0.415n? 0.384n 0.3778n 0.3774n 0.337n 0.311n 0.298n 0.298n	0.208n 0.208n 0.256n 0.283n 0.293n 0.208n 0.208n 0.208n 0.208n

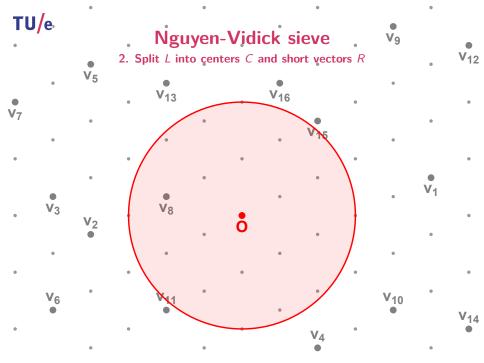
TU/e Nguyen-Vidick sieve

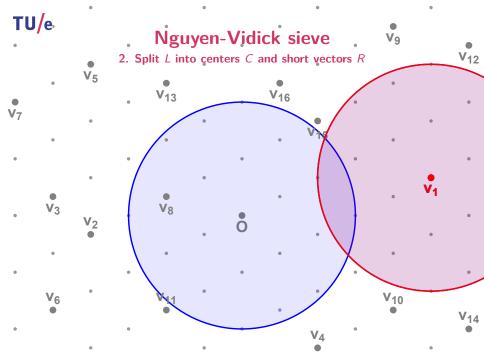
Nguyen-Vidick sieve

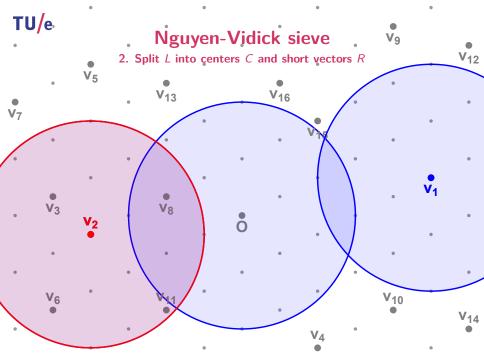
1. Sample a list L of random lattice vectors

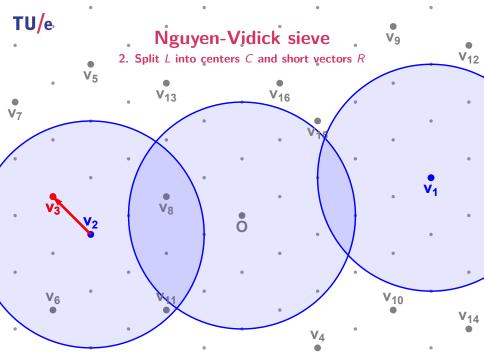


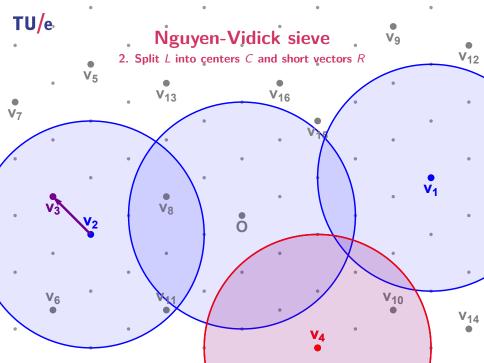


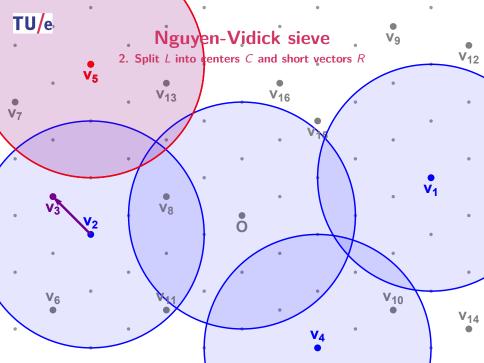


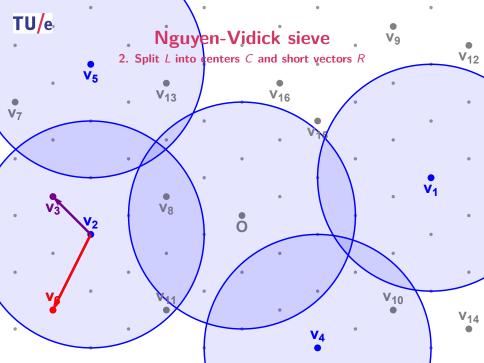


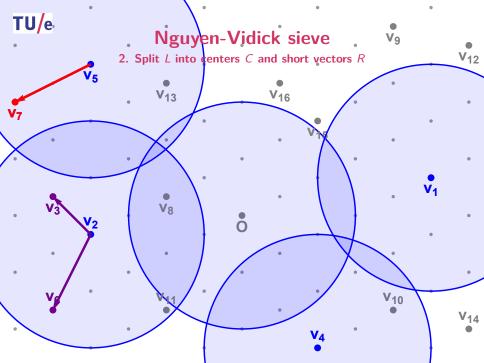


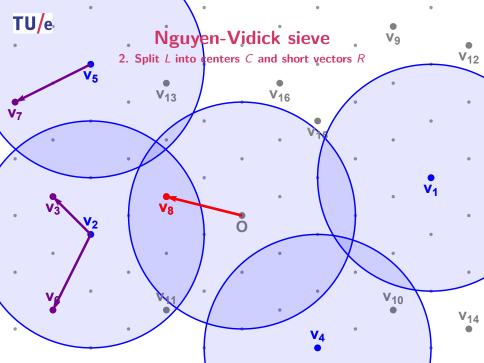


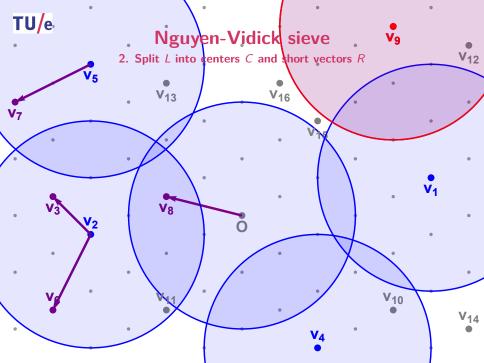


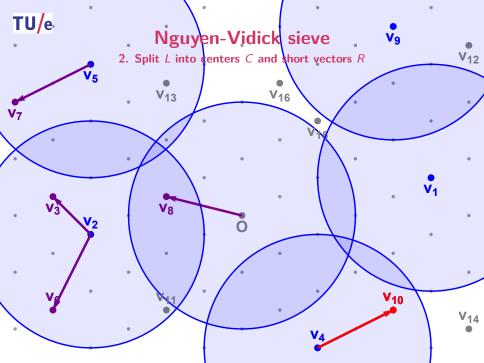


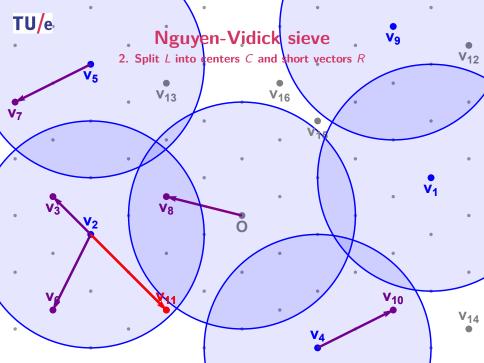


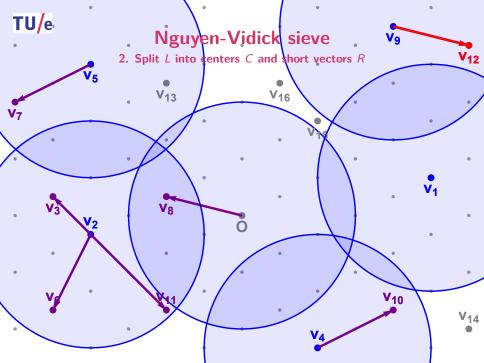


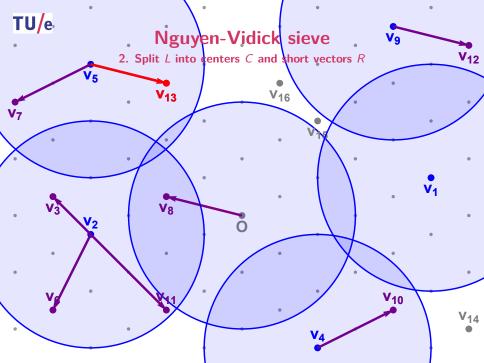


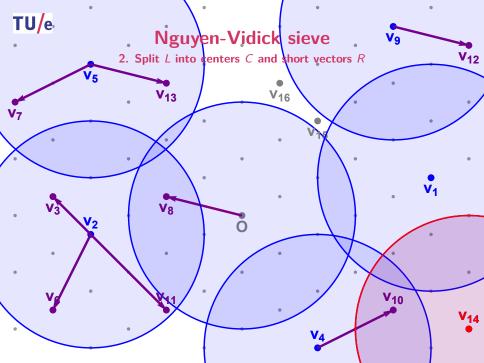


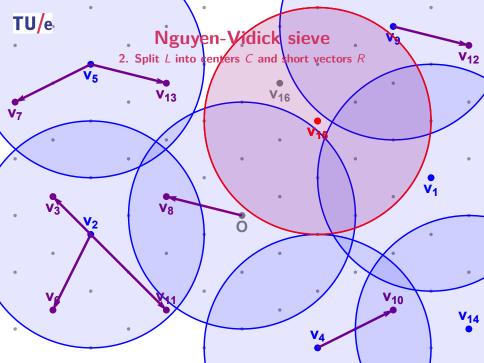


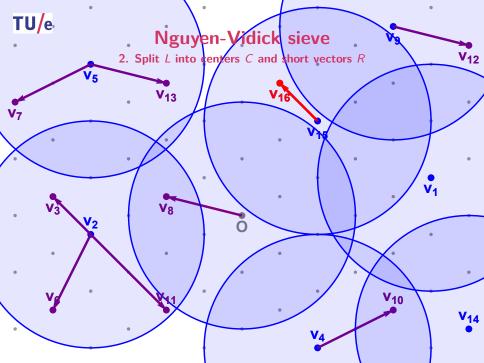


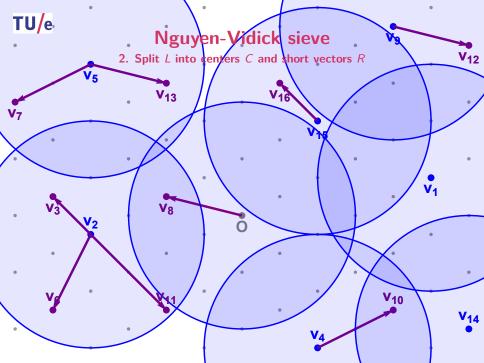


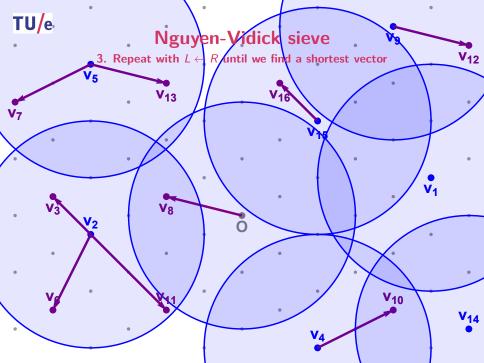


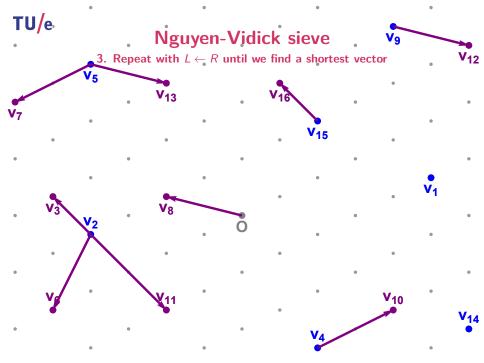


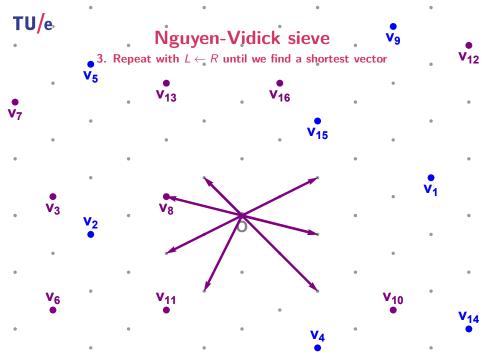


















Overview

 Heuristic assumption: Normalized vectors are uniformly distributed on the unit sphere



Overview

- Heuristic assumption: Normalized vectors are uniformly distributed on the unit sphere
- Space complexity: $(\sqrt{4/3})^n \approx 2^{0.208n+o(n)}$ vectors
 - ► Each center covers $(\sin \frac{\pi}{3})^{-n} = (\sqrt{3/4})^n$ of the space
 - ▶ Need $(\sqrt{4/3})^{n+o(n)}$ vectors to cover all corners of \mathbb{R}^n



Overview

- Heuristic assumption: Normalized vectors are uniformly distributed on the unit sphere
- Space complexity: $(\sqrt{4/3})^n \approx 2^{0.208n+o(n)}$ vectors
 - ► Each center covers $(\sin \frac{\pi}{3})^{-n} = (\sqrt{3/4})^n$ of the space
 - ▶ Need $(\sqrt{4/3})^{n+o(n)}$ vectors to cover all corners of \mathbb{R}^n
- Time complexity: $(4/3)^n \approx 2^{0.415n+o(n)}$



Overview

- Heuristic assumption: Normalized vectors are uniformly distributed on the unit sphere
- Space complexity: $(\sqrt{4/3})^n \approx 2^{0.208n+o(n)}$ vectors
 - ► Each center covers $(\sin \frac{\pi}{3})^{-n} = (\sqrt{3/4})^n$ of the space
 - ▶ Need $(\sqrt{4/3})^{n+o(n)}$ vectors to cover all corners of \mathbb{R}^n
- Time complexity: $(4/3)^n \approx 2^{0.415n + o(n)}$

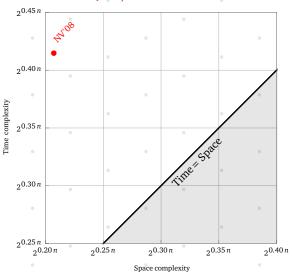
Theorem (Nguyen and Vidick, J. Math. Crypt. '08)

The Nguyen-Vidick sieve heuristically solves SVP in time $2^{0.415n+o(n)}$ and space $2^{0.208n+o(n)}$.

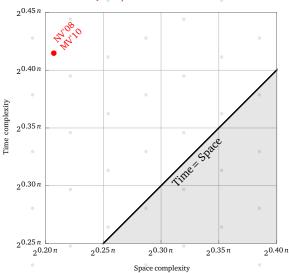




Nguyen-Vidick sieve

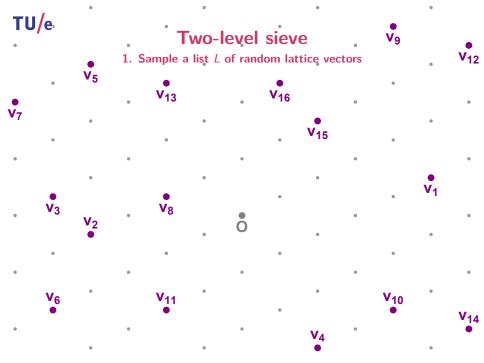


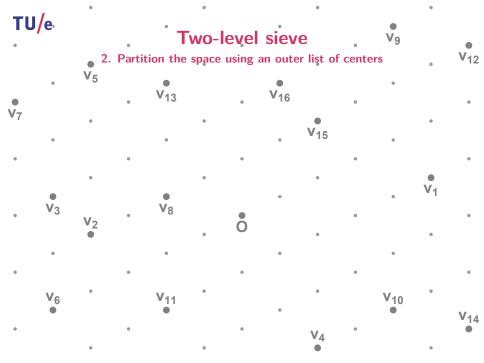
GaussSieve

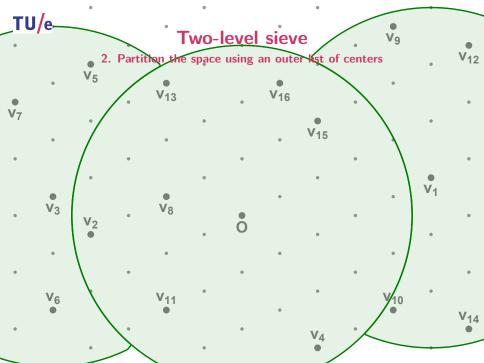


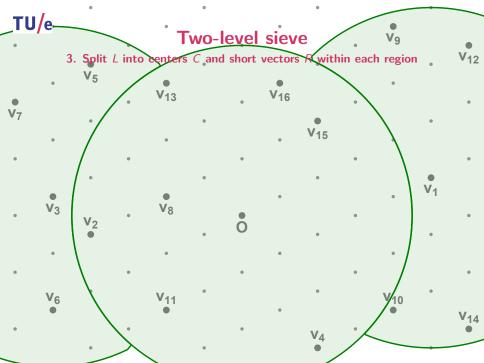
Two-level sieve

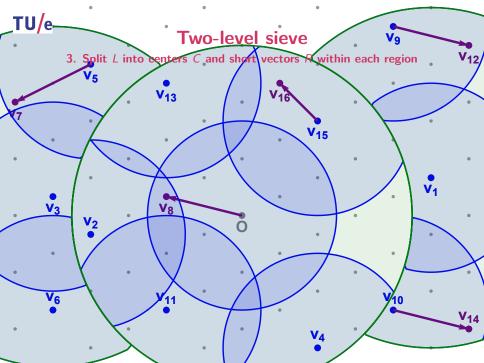
1. Sample a list L of random lattice vectors

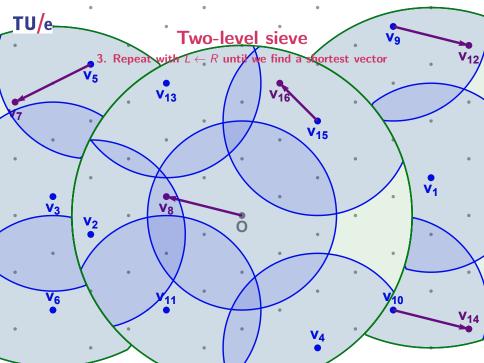


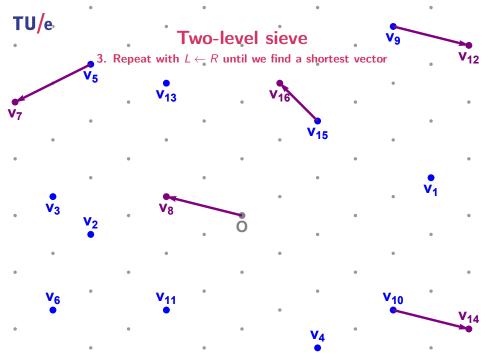


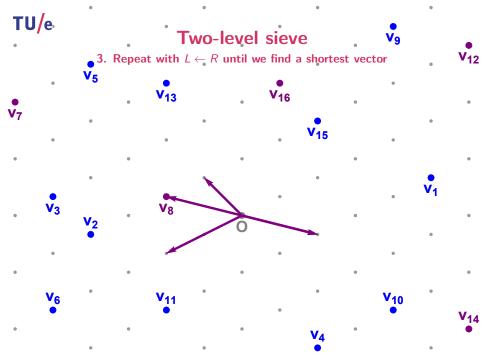


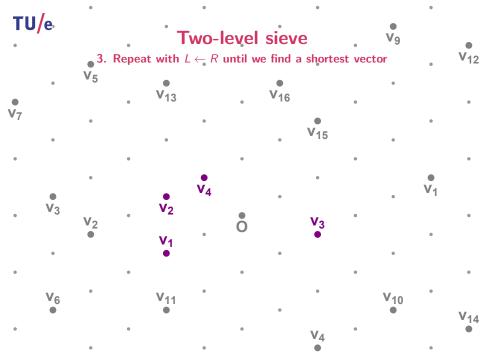




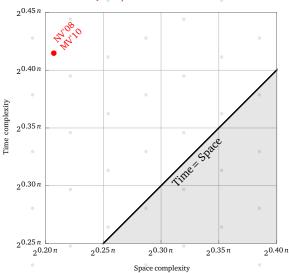




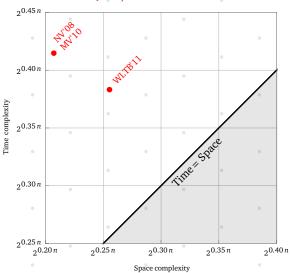




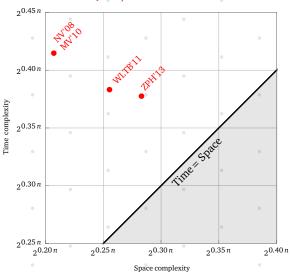
Two-level sieve



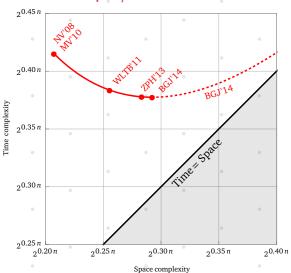
Two-level sieve



Three-level sieve

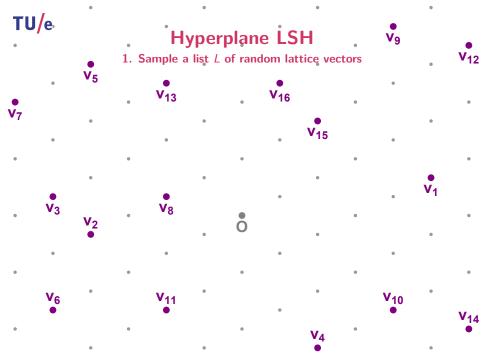


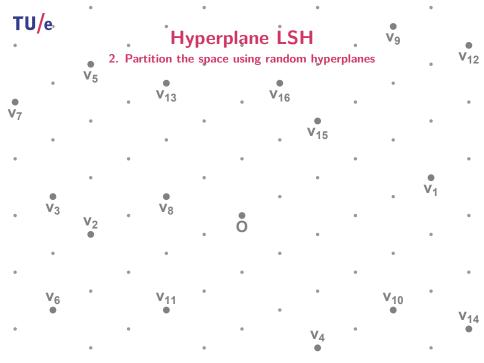
Overlattice sieving

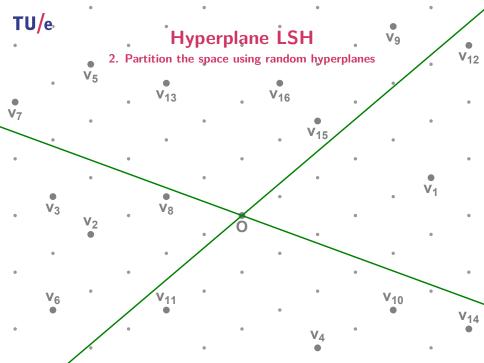


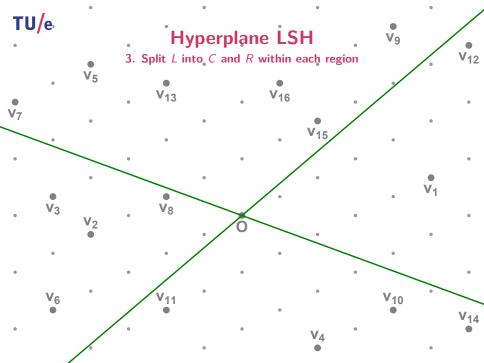
Hyperplane LSH

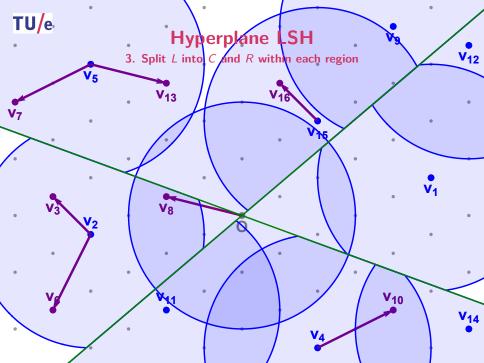
1. Sample a list L of random lattice vectors

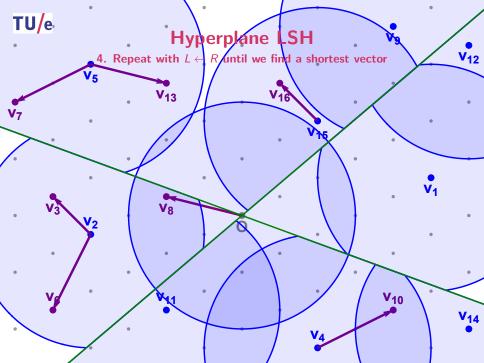


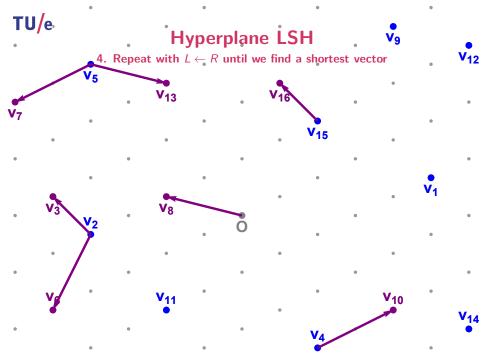


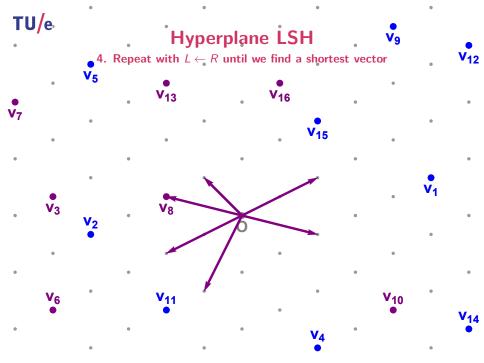


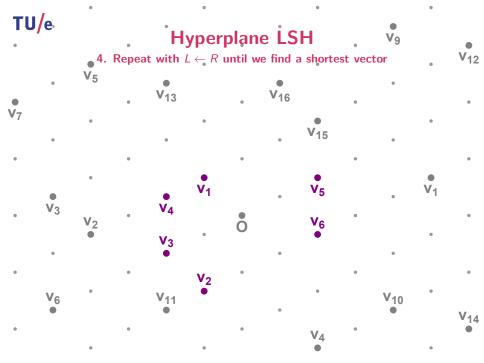




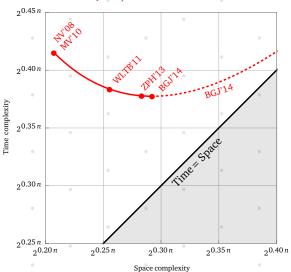




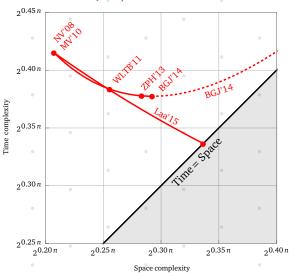




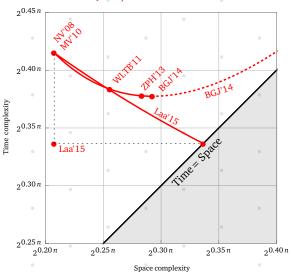
Hyperplane LSH



Hyperplane LSH

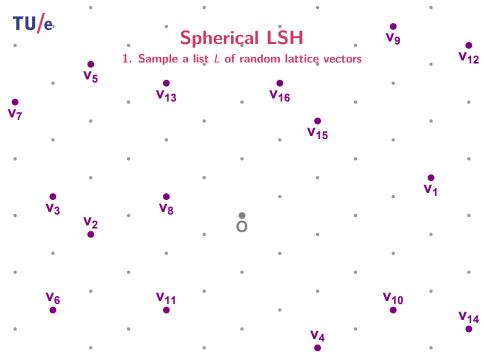


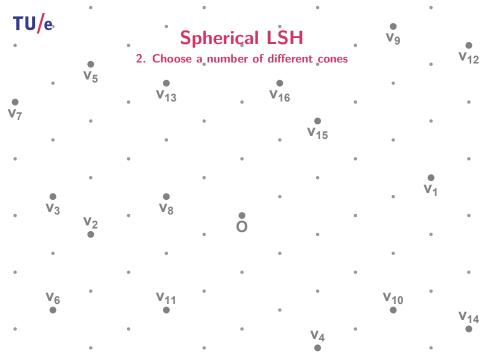
Hyperplane LSH

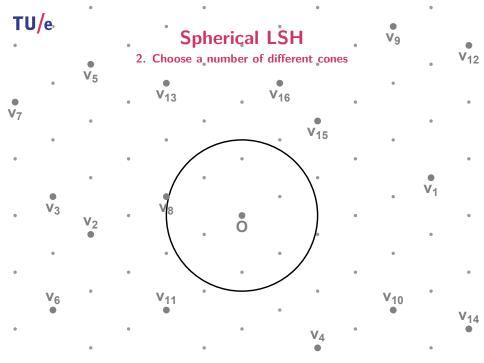


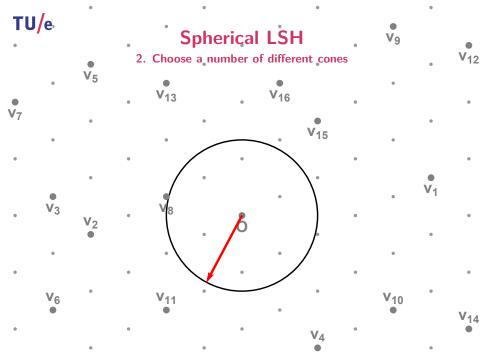
Spherical LSH

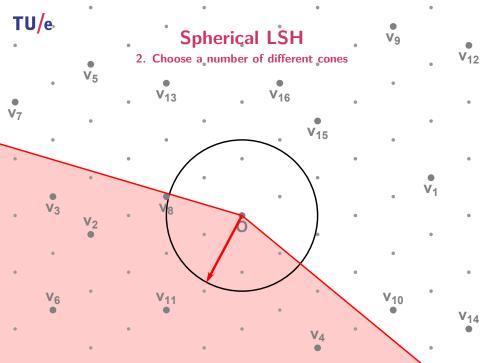
1. Sample a list L of random lattice vectors

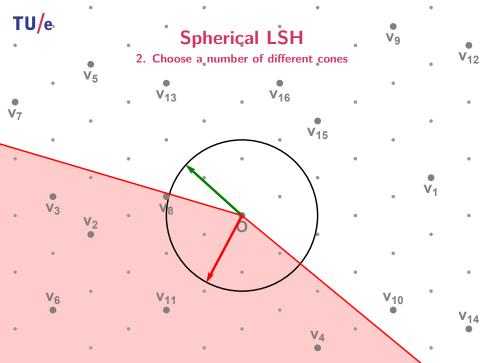


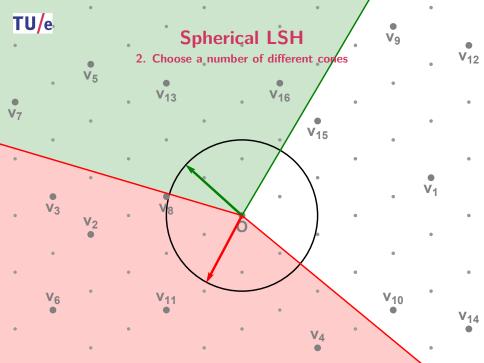


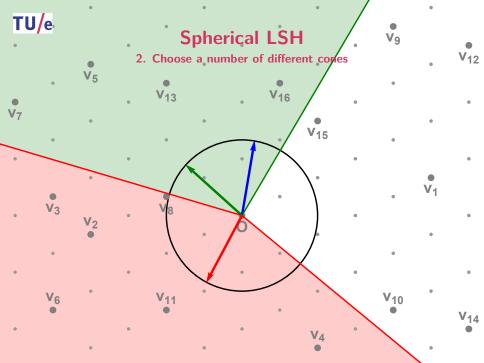


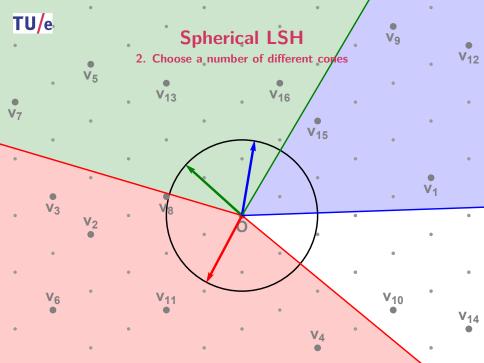


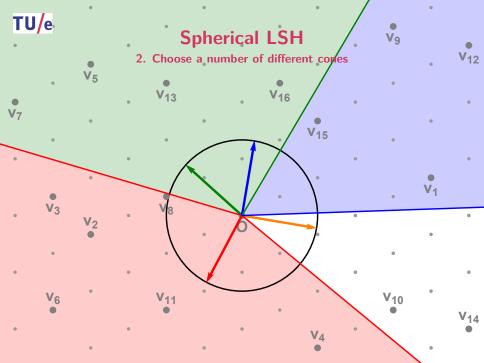


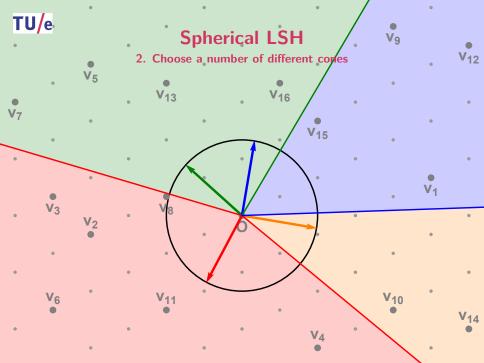


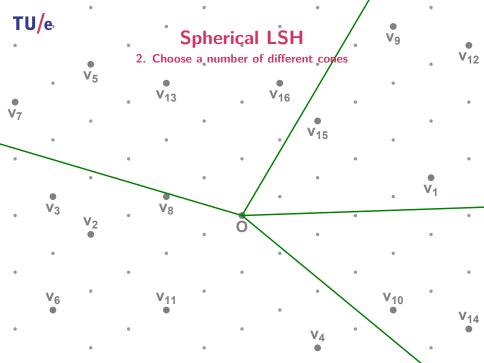


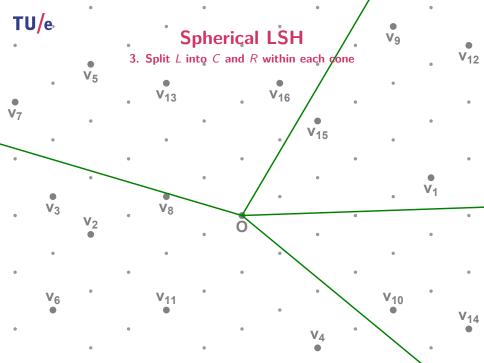


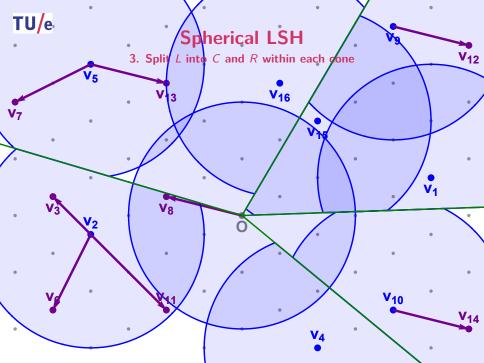


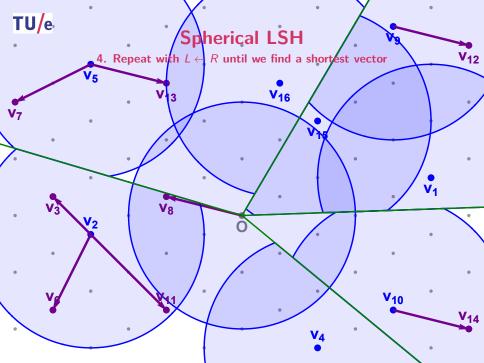


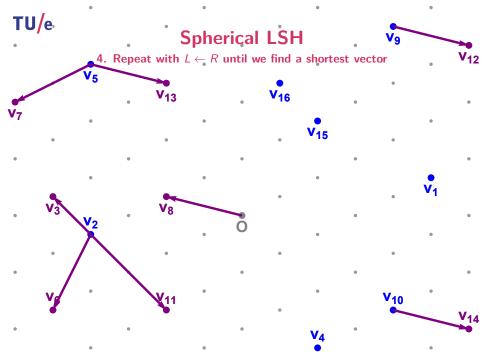


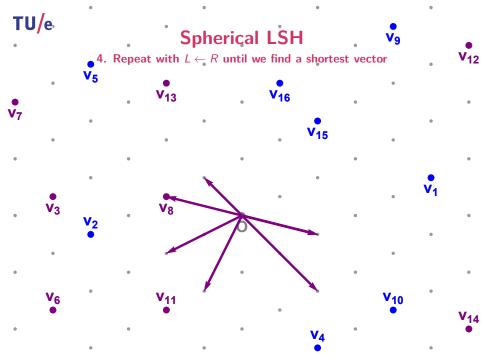


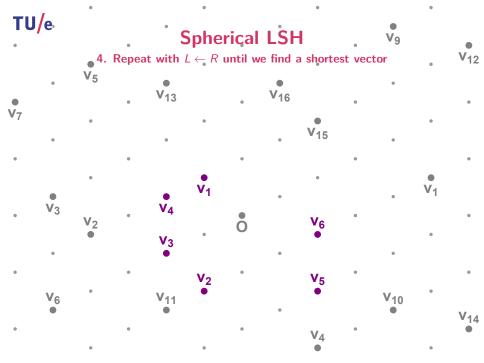


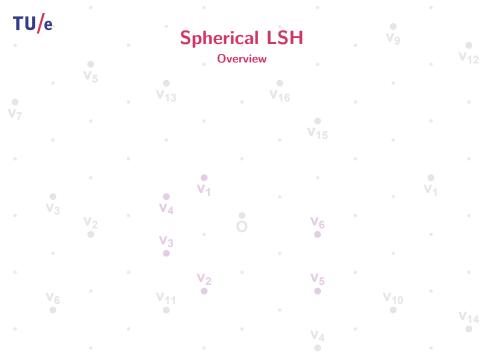














Spherical LSH

Overview

- Two parameters to tune

 - ▶ $k = O(\sqrt{n})$: Number of conic partitions per hash table ▶ $t = 2^{O(n)}$: Number of different, independent hash tables



Spherical LSH

Overview

- Two parameters to tune
 - $k = O(\sqrt{n})$: Number of conic partitions per hash table
 - $t = 2^{O(n)}$. Number of different, independent hash tables
- Space complexity: $2^{0.298n+o(n)}$
 - Number of vectors: $2^{0.208n+o(n)}$
 - Number of hash tables: $2^{0.090n+o(n)}$
 - ► Each hash table contains all vectors

Spherical LSH

Overview

- Two parameters to tune
 - $k = O(\sqrt{n})$: Number of conic partitions per hash table
 - $t = 2^{O(n)}$: Number of different, independent hash tables
- Space complexity: $2^{0.298n+o(n)}$
 - Number of vectors: $2^{0.208n+o(n)}$
 - Number of hash tables: $2^{0.090n+o(n)}$
 - ► Each hash table contains all vectors
- Time complexity: $2^{0.298n+o(n)}$
 - Cost of computing hashes: $2^{0.090n+o(n)}$
 - Candidate nearest vectors: $2^{0.090n+o(n)}$
 - ▶ Repeat this for each list vector: $2^{0.208n+o(n)}$

Spherical LSH

Overview

- Two parameters to tune
 - $k = O(\sqrt{n})$: Number of conic partitions per hash table
 - $t = 2^{O(n)}$: Number of different, independent hash tables
- Space complexity: $2^{0.298n+o(n)}$
 - Number of vectors: $2^{0.208n+o(n)}$
 - Number of hash tables: $2^{0.090n+o(n)}$
 - Each hash table contains all vectors
- Time complexity: $2^{0.298n+o(n)}$
 - Cost of computing hashes: $2^{0.090n+o(n)}$
 - Candidate nearest vectors: $2^{0.090n+o(n)}$
 - Repeat this for each list vector: $2^{0.208n+o(n)}$

$\mathsf{Theorem}$

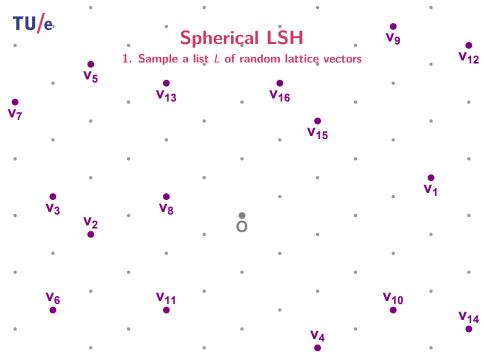
Sieving with spherical LSH heuristically solves SVP in time and space $2^{0.298n+o(n)}$.

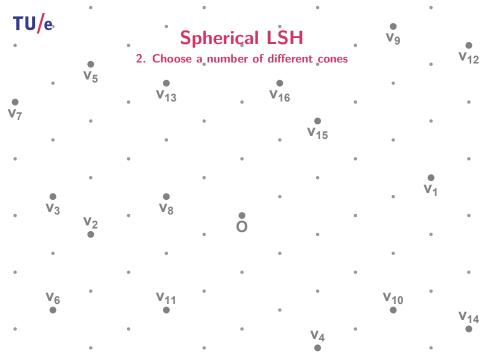


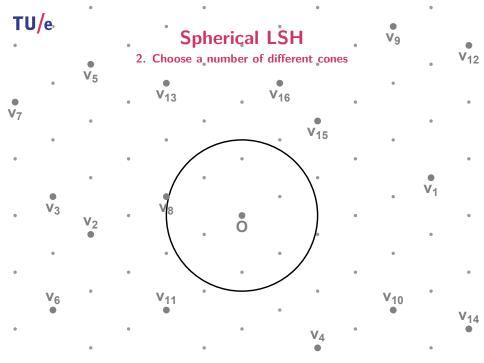


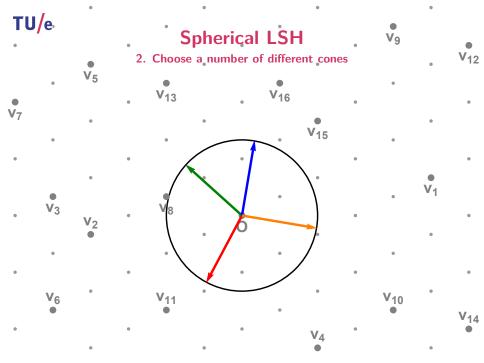
Spherical LSH

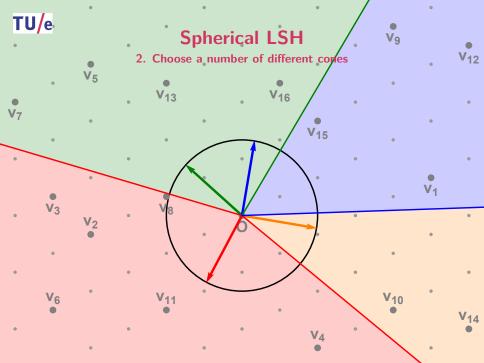
1. Sample a list L of random lattice vectors

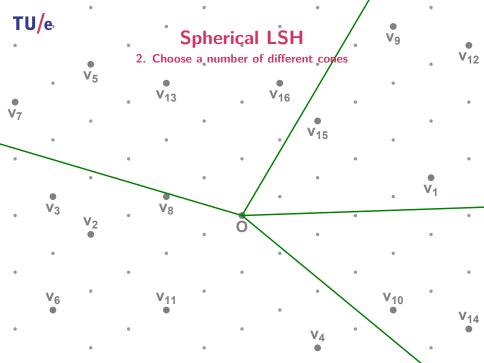


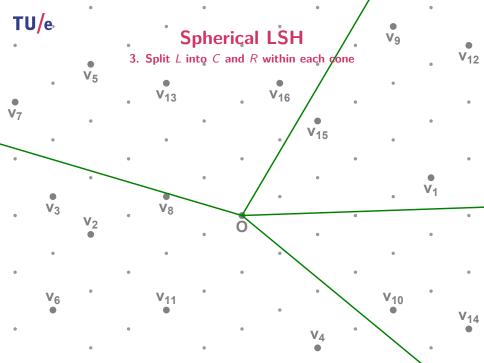


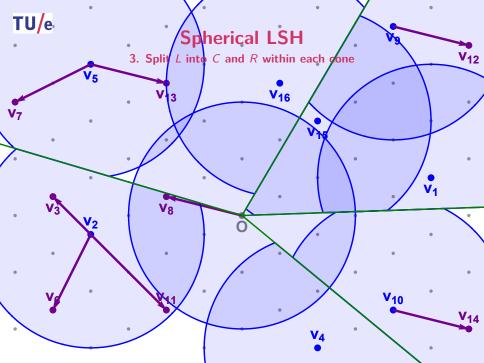


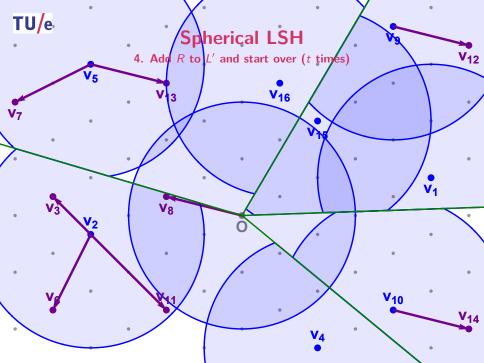


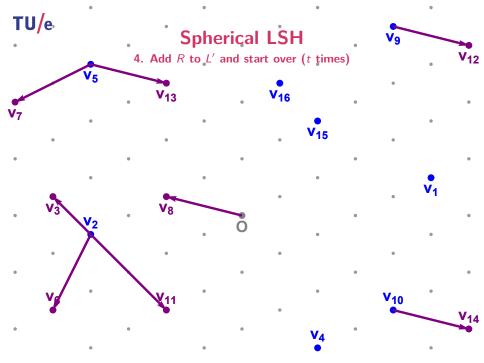


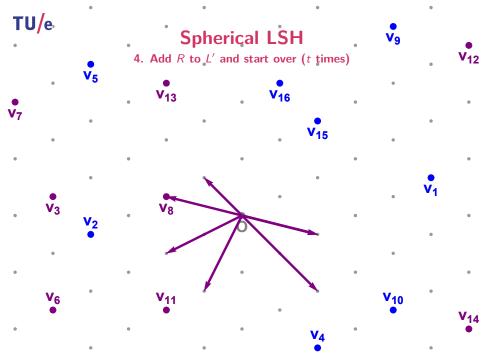


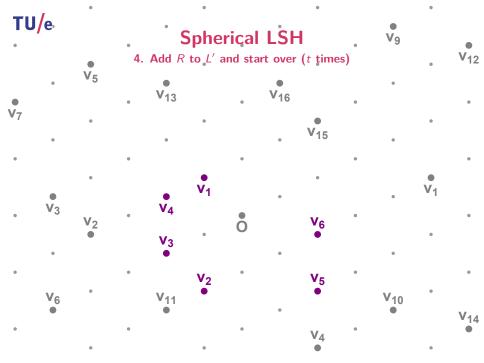


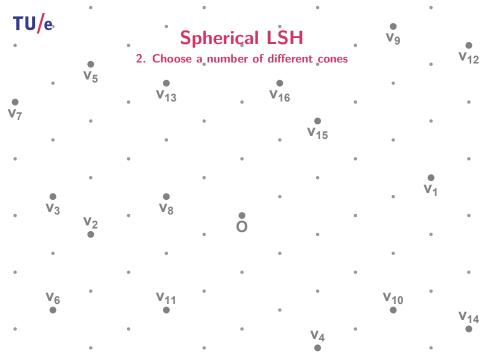


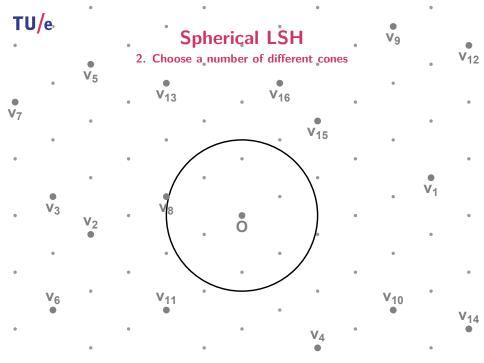


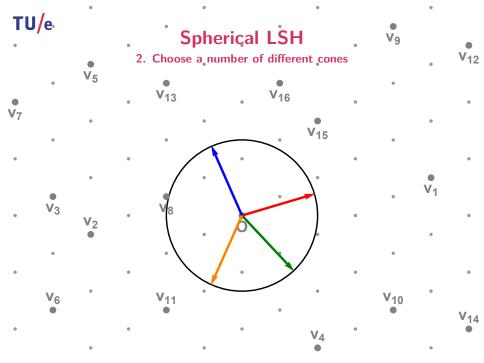


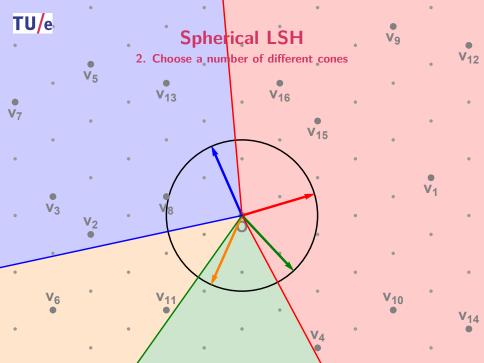


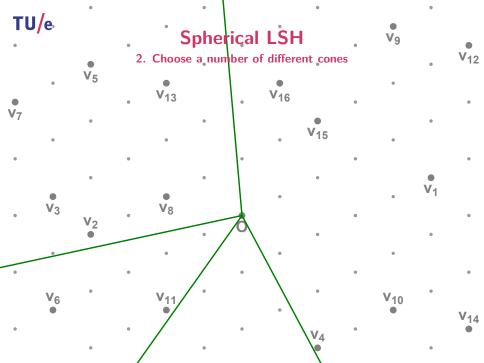


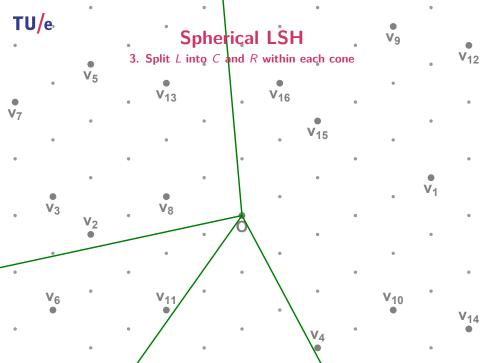


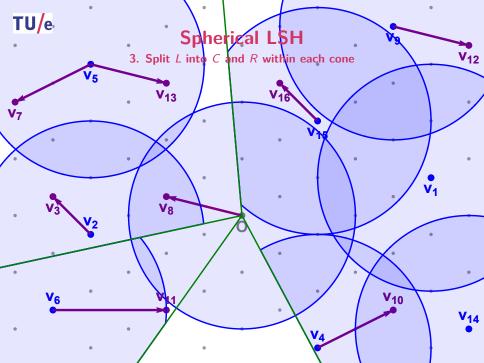


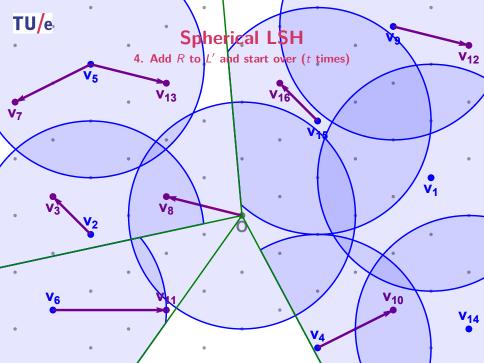


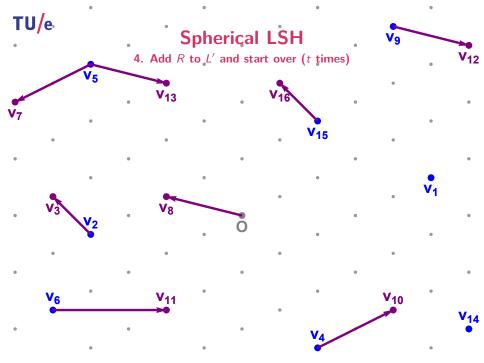


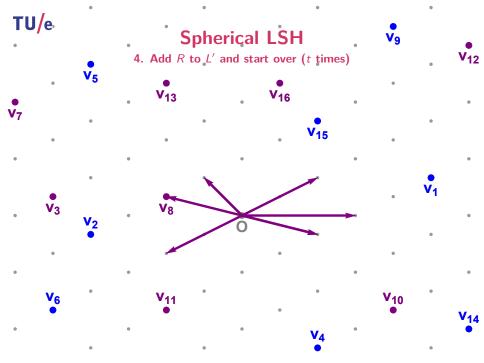


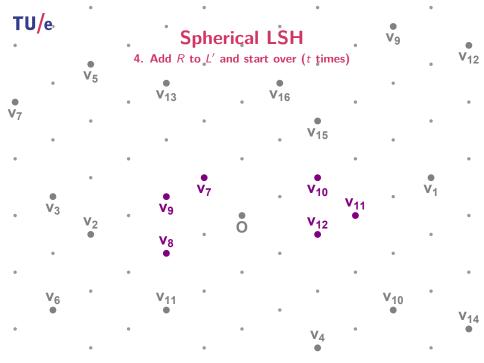














Spherical LSH Overview

• Same k (conic partitions) and t (hash tables) as before



Spherical LSH

Overview

- Same k (conic partitions) and t (hash tables) as before
- Space complexity: $2^{0.208n+o(n)}$
 - ▶ Before: store $2^{0.090n}$ hash tables containing all $2^{0.208n}$ vectors
 - Now: process $2^{0.090n}$ hash tables one by one



Spherical LSH

Overview

- Same k (conic partitions) and t (hash tables) as before
- Space complexity: $2^{0.208n+o(n)}$
 - ▶ Before: store $2^{0.090n}$ hash tables containing all $2^{0.208n}$ vectors
 - ▶ Now: process 2^{0.090n} hash tables one by one
- Time complexity: $2^{0.298n+o(n)}$
 - ▶ Compute one hash, and go through $2^{o(n)}$ vectors
 - ► Repeat this for each of 2^{0.208n} vectors
 - ▶ Repeat this for each of 2^{0.090n} hash tables



Spherical LSH

Overview

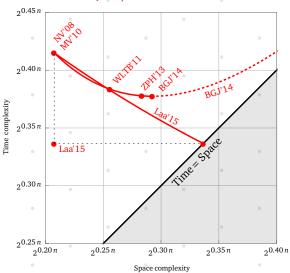
- Same k (conic partitions) and t (hash tables) as before
- Space complexity: $2^{0.208n+o(n)}$
 - ▶ Before: store $2^{0.090n}$ hash tables containing all $2^{0.208n}$ vectors
 - Now: process $2^{0.090n}$ hash tables one by one
- Time complexity: $2^{0.298n+o(n)}$
 - ▶ Compute one hash, and go through $2^{o(n)}$ vectors
 - ▶ Repeat this for each of 2^{0.208n} vectors
 - ▶ Repeat this for each of 2^{0.090n} hash tables

Heuristic

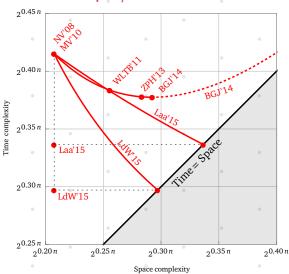
Sieving with spherical LSH heuristically solves SVP in time $2^{0.298n+o(n)}$ and space $2^{0.208n+o(n)}$.



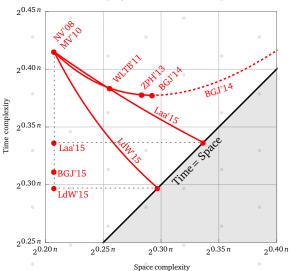
Spherical LSH



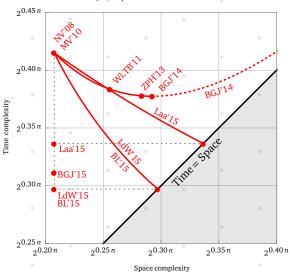
Spherical LSH



May and Ozerov's NNS method



Cross-polytope LSH



TU/e Questions? [vdP'12]