

# Efficient Probabilistic Group Testing Based on Traitor Tracing

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Monticello, Illinois, USA  
(October 4, 2013)

## Problem: Blood Testing



Antonino

Boris

Caroline

David

Eve

Fred

Gábor












Henry

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## Problem: Blood Testing












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Antonino	1	0	0	0	0	0	0	0			
Boris	0	1	0	0	0	0	0	0			
Caroline	0	0	1	0	0	0	0	0			
David	0	0	0	1	0	0	0	0			
Eve	0	0	0	0	1	0	0	0			
Fred	0	0	0	0	0	1	0	0			
Gábor	0	0	0	0	0	0	1	0			
Henry	0	0	0	0	0	0	0	1			

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## Problem: Blood Testing

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Antonino	1	0	0	0	0	0	0	0			
Boris	0	1	0	0	0	0	0	0			
Caroline	0	0	1	0	0	0	0	0			
David	0	0	0	1	0	0	0	0			
Eve	0	0	0	0	1	0	0	0			
Fred	0	0	0	0	0	1	0	0			
Gábor	0	0	0	0	0	0	1	0			
Henry	0	0	0	0	0	0	0	1			
Results	0	0	1	0	0	0	0	0			

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Antonino	1	0	0	0	0	0	0	0			
Boris	0	1	0	0	0	0	0	0			
Caroline	0	0	1	0	0	0	0	0			
David	0	0	0	1	0	0	0	0			
Eve	0	0	0	0	1	0	0	0			
Fred	0	0	0	0	0	1	0	0			
Gábor	0	0	0	0	0	0	1	0			
Henry	0	0	0	0	0	0	0	1			
Results	0	0	1	0	0	0	0	0			

## Solution: Using Pools

[illegible]

## Solution: Using Pools

[illegible]





## Problem: Multiple ( $K$ ) “Defectives”

[illegible]












[illegible]



## Solution: Group Testing












[illegible]

## Solution: Group Testing

											
Antonino	?	?	?	?	?	?	?	?	?	?	?
Boris	?	?	?	?	?	?	?	?	?	?	?
Caroline	?	?	?	?	?	?	?	?	?	?	?
David	?	?	?	?	?	?	?	?	?	?	?
Eve	?	?	?	?	?	?	?	?	?	?	?
Fred	?	?	?	?	?	?	?	?	?	?	?
Gábor	?	?	?	?	?	?	?	?	?	?	?
Henry	?	?	?	?	?	?	?	?	?	?	?
Results	?	?	?	?	?	?	?	?	?	?	?

1. An algorithm to construct group testing matrices


## Solution: Group Testing

											
Antonino	?	?	?	?	?	?	?	?	?	?	?
Boris	?	?	?	?	?	?	?	?	?	?	?
Caroline	?	?	?	?	?	?	?	?	?	?	?
David	?	?	?	?	?	?	?	?	?	?	?
Eve	?	?	?	?	?	?	?	?	?	?	?
Fred	?	?	?	?	?	?	?	?	?	?	?
Gábor	?	?	?	?	?	?	?	?	?	?	?
Henry	?	?	?	?	?	?	?	?	?	?	?
Results	?	?	?	?	?	?	?	?	?	?	?

1. An algorithm to construct group testing matrices
2. An algorithm to link test results to infected people

## Solution: Group Testing

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Antonino	$X \in \{0, 1\}^{N \times T}$
Boris	
Caroline	
David	
Eve	
Fred	
Gábor	
Henry	
Results	$y \in \{0, 1\}^T$

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## Solution: Group Testing

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Antonino	
Boris	
Caroline	$X \in \{0, 1\}^{N \times T}$
David	
Eve	
Fred	
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Henry	deterministic: $T = \Omega(K^2 \log N)$
Results	$y \in \{0, 1\}^T$


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# A Group Testing Framework

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2. An algorithm to link test results to infected people

# A Group Testing Framework

1. An algorithm to construct group testing matrices
  - 1a. For each test  $i$ , person  $j$ , choose  $X_{j,i} = 1$  with prob.  $p$ .
    - ▶ Intuitively:  $p \approx \frac{1}{K}$ .
    - ▶ Precise value of  $p$  depends on  $N, K, \varepsilon$ .
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2. An algorithm to link test results to infected people
  - 2a. For each test  $i$ , person  $j$ , compute  $S_{j,i} = g(X_{j,i}, y_i)$ .
    - ▶ Positive scores ( $S_{j,i} > 0$ ) for matches ( $X_{j,i} = y_i$ ).
    - ▶ Negative scores ( $S_{j,i} < 0$ ) for differences ( $X_{j,i} \neq y_i$ ).
    - ▶ Large scores ( $|S_{j,i}| \gg 0$ ) for rare events.

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    - ▶ Large scores ( $|S_{j,i}| \gg 0$ ) for rare events.
  - 2b. Mark person  $j$  infected iff  $\sum_i S_{j,i} > Z$  (threshold).
    - ▶ Large  $Z$ : Fewer false positives, more false negatives.
    - ▶ Small  $Z$ : More false positives, fewer false negatives.



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    - ▶ Large  $Z$ : Fewer false positives, more false negatives.
    - ▶ Small  $Z$ : More false positives, fewer false negatives.

Exact choices of  $p$ ,  $g$ , and  $Z$  depend on the model/parameters.

## Traditional Group Testing

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$$g(X_{j,i}, y_i) = \begin{cases} +p/(1-p), & \text{if } X_{j,i} = 0, y_i = 0, \\ -p(1-p)^{K-1}/(1-(1-p)^K), & \text{if } X_{j,i} = 0, y_i = 1, \\ -1, & \text{if } X_{j,i} = 1, y_i = 0, \\ +(1-p)^K/(1-(1-p)^K), & \text{if } X_{j,i} = 1, y_i = 1. \end{cases}$$

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## Traditional Group Testing

1. An algorithm to construct group testing matrices
  - 1a. For each test  $i$ , person  $j$ , choose  $X_{j,i} = 1$  with prob.  $p$ .

$$p = \operatorname{argmin}_q T(N, K, \varepsilon, q).$$

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$$Z = Z(N, K, \varepsilon, p),$$

$$T = T(N, K, \varepsilon, p).$$

**Example:**  $N = 8$ ,  $K = 3$ ,  $\varepsilon = 10^{-2}$

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1. An algorithm to construct group testing matrices
  - 1a. For each test  $i$ , person  $j$ , choose  $X_{j,i} = 1$  with prob.  $p$ .

$$p = 0.25 \dots$$

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$$g(X_{j,i}, y_i) = \begin{cases} +0.34 \dots, & \text{if } X_{j,i} = 0, y_i = 0, \\ -0.24 \dots, & \text{if } X_{j,i} = 0, y_i = 1, \\ -1, & \text{if } X_{j,i} = 1, y_i = 0, \\ +0.69 \dots, & \text{if } X_{j,i} = 1, y_i = 1. \end{cases}$$

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- 2b. Mark person  $j$  infected iff  $\sum_i S_{j,i} > Z$  (threshold).

$$Z = 22.62 \dots,$$







$$T = 160.$$

## Example: Group testing matrix

						...	
Antonino	$X_{1,1}$	$X_{1,2}$	$X_{1,3}$	$X_{1,4}$	$X_{1,5}$	...	$X_{1,160}$
Boris	$X_{2,1}$	$X_{2,2}$	$X_{2,3}$	$X_{2,4}$	$X_{2,5}$	...	$X_{2,160}$
Caroline	$X_{3,1}$	$X_{3,2}$	$X_{3,3}$	$X_{3,4}$	$X_{3,5}$	...	$X_{3,160}$
David	$X_{4,1}$	$X_{4,2}$	$X_{4,3}$	$X_{4,4}$	$X_{4,5}$	...	$X_{4,160}$
Eve	$X_{5,1}$	$X_{5,2}$	$X_{5,3}$	$X_{5,4}$	$X_{5,5}$	...	$X_{5,160}$
Fred	$X_{6,1}$	$X_{6,2}$	$X_{6,3}$	$X_{6,4}$	$X_{6,5}$	...	$X_{6,160}$
Gábor	$X_{7,1}$	$X_{7,2}$	$X_{7,3}$	$X_{7,4}$	$X_{7,5}$	...	$X_{7,160}$
Henry	$X_{8,1}$	$X_{8,2}$	$X_{8,3}$	$X_{8,4}$	$X_{8,5}$	...	$X_{8,160}$
Copy	$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	...	$y_{160}$







## Example: Group testing matrix

1a. For each test  $i$ , person  $j$ , set  $X_{j,i} = 1$  with prob.  $p$ .

						...	
Antonino	$X_{1,1}$	$X_{1,2}$	$X_{1,3}$	$X_{1,4}$	$X_{1,5}$	...	$X_{1,160}$
Boris	$X_{2,1}$	$X_{2,2}$	$X_{2,3}$	$X_{2,4}$	$X_{2,5}$	...	$X_{2,160}$
Caroline	$X_{3,1}$	$X_{3,2}$	$X_{3,3}$	$X_{3,4}$	$X_{3,5}$	...	$X_{3,160}$
David	$X_{4,1}$	$X_{4,2}$	$X_{4,3}$	$X_{4,4}$	$X_{4,5}$	...	$X_{4,160}$
Eve	$X_{5,1}$	$X_{5,2}$	$X_{5,3}$	$X_{5,4}$	$X_{5,5}$	...	$X_{5,160}$
Fred	$X_{6,1}$	$X_{6,2}$	$X_{6,3}$	$X_{6,4}$	$X_{6,5}$	...	$X_{6,160}$
Gábor	$X_{7,1}$	$X_{7,2}$	$X_{7,3}$	$X_{7,4}$	$X_{7,5}$	...	$X_{7,160}$
Henry	$X_{8,1}$	$X_{8,2}$	$X_{8,3}$	$X_{8,4}$	$X_{8,5}$	...	$X_{8,160}$
Copy	$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	...	$y_{160}$







## Example: Group testing matrix

1a. For each test  $i$ , person  $j$ , set  $X_{j,i} = 1$  with prob.  $p$ .

						...	
Antonino	0	0	0	0	0	...	0
Boris	1	0	1	1	1	...	1
Caroline	0	0	0	1	0	...	0
David	0	0	1	1	1	...	0
Eve	0	0	0	0	0	...	0
Fred	1	0	1	0	0	...	0
Gábor	0	0	1	0	0	...	0
Henry	0	0	0	0	1	...	0
Copy	$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	...	$y_{160}$

## Example: Running the tests







Infected samples determine the test results.

						...	
Antonino	.	.	.	.	.	...	.
Boris	.	.	.	.	.	...	.
Caroline	0	0	0	1	0	...	0
David	.	.	.	.	.	...	.
Eve	0	0	0	0	0	...	0
Fred	.	.	.	.	.	...	.
Gábor	.	.	.	.	.	...	.
Henry	0	0	0	0	1	...	0
Results	$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	...	$y_{160}$

Infected = {Caroline, Eve, Henry}

## Example: Running the tests







Infected samples determine the test results.

						...	
Antonino	.	.	.	.	.	...	.
Boris	.	.	.	.	.	...	.
Caroline	0	0	0	1	0	...	0
David	.	.	.	.	.	...	.
Eve	0	0	0	0	0	...	0
Fred	.	.	.	.	.	...	.
Gábor	.	.	.	.	.	...	.
Henry	0	0	0	0	1	...	0
Results	0	0	0	1	1	...	0

Infected = {Caroline, Eve, Henry}

## Example: Scores







We perform the tests and the results come back.

						...	
Antonino	0	0	0	0	0	...	0
Boris	1	0	1	1	1	...	1
Caroline	0	0	0	1	0	...	0
David	0	0	1	1	1	...	0
Eve	0	0	0	0	0	...	0
Fred	1	0	1	0	0	...	0
Gábor	0	0	1	0	0	...	0
Henry	0	0	0	0	1	...	0
Results	0	0	0	1	1	...	0

Infected = {Caroline, Eve, Henry}

## Example: Scores

2a. For each test  $i$ , person  $j$ , compute  $S_{j,i} = g(X_{j,i}, y_i)$ .







						...	
Antonino	0	0	0	0	0	...	0
Boris	1	0	1	1	1	...	1
Caroline	0	0	0	1	0	...	0
David	0	0	1	1	1	...	0
Eve	0	0	0	0	0	...	0
Fred	1	0	1	0	0	...	0
Gábor	0	0	1	0	0	...	0
Henry	0	0	0	0	1	...	0
Results	0	0	0	1	1	...	0

Infected = {Caroline, Eve, Henry}



## Example: Scores







2a. For each test  $i$ , person  $j$ , compute  $S_{j,i} = g(X_{j,i}, y_i)$ .

						...	
Antonino	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3
Boris	-1.0	+0.3	-1.0	+0.7	+0.7	...	-1.0
Caroline	+0.3	+0.3	+0.3	+0.7	-0.2	...	+0.3
David	+0.3	+0.3	-1.0	+0.7	+0.7	...	+0.3
Eve	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3
Fred	-1.0	+0.3	-1.0	-0.2	-0.2	...	+0.3
Gábor	+0.3	+0.3	-1.0	-0.2	-0.2	...	+0.3
Henry	+0.3	+0.3	+0.3	-0.2	+0.7	...	+0.3
Results	0	0	0	1	1	...	0

Infected = {Caroline, Eve, Henry}

## Example: Scores







2b. Mark person  $j$  infected iff  $\sum_i S_{j,i} > Z$  (threshold).

						...		$\sum_i S_{j,i}$
Antonino	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	0
Boris	-1.0	+0.3	-1.0	+0.7	+0.7	...	-1.0	0
Caroline	+0.3	+0.3	+0.3	+0.7	-0.2	...	+0.3	0
David	+0.3	+0.3	-1.0	+0.7	+0.7	...	+0.3	0
Eve	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	0
Fred	-1.0	+0.3	-1.0	-0.2	-0.2	...	+0.3	0
Gábor	+0.3	+0.3	-1.0	-0.2	-0.2	...	+0.3	0
Henry	+0.3	+0.3	+0.3	-0.2	+0.7	...	+0.3	0
Results	0	0	0	1	1	...	0	

Infected = {Caroline, Eve, Henry}

## Example: Scores







2b. Mark person  $j$  infected iff  $\sum_i S_{j,i} > Z$  (threshold).

						...		$\sum_i S_{j,i}$
Antonino	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	-5
Boris	-1.0	+0.3	-1.0	+0.7	+0.7	...	-1.0	-12
Caroline	+0.3	+0.3	+0.3	+0.7	-0.2	...	+0.3	+41
David	+0.3	+0.3	-1.0	+0.7	+0.7	...	+0.3	-3
Eve	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	+38
Fred	-1.0	+0.3	-1.0	-0.2	-0.2	...	+0.3	+10
Gábor	+0.3	+0.3	-1.0	-0.2	-0.2	...	+0.3	-1
Henry	+0.3	+0.3	+0.3	-0.2	+0.7	...	+0.3	+40
Results	0	0	0	1	1	...	0	

Infected = {Caroline, Eve, Henry}

## Example: Scores

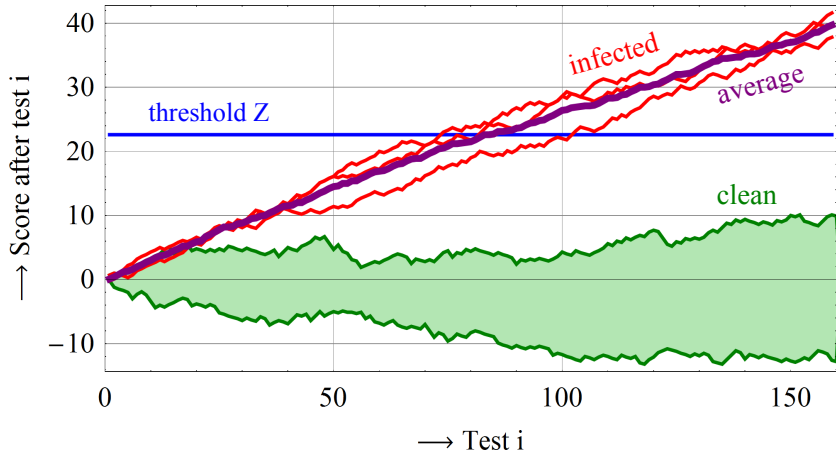
2b. Mark person  $j$  infected iff  $\sum_i S_{j,i} > Z$  (threshold).

						...		$\sum_i S_{j,i}$
Antonino	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	-5
Boris	-1.0	+0.3	-1.0	+0.7	+0.7	...	-1.0	-12
Caroline	+0.3	+0.3	+0.3	+0.7	-0.2	...	+0.3	+41
David	+0.3	+0.3	-1.0	+0.7	+0.7	...	+0.3	-3
Eve	+0.3	+0.3	+0.3	-0.2	-0.2	...	+0.3	+38
Fred	-1.0	+0.3	-1.0	-0.2	-0.2	...	+0.3	+10
Gábor	+0.3	+0.3	-1.0	-0.2	-0.2	...	+0.3	-1
Henry	+0.3	+0.3	+0.3	-0.2	+0.7	...	+0.3	+40
Results	0	0	0	1	1	...	0	

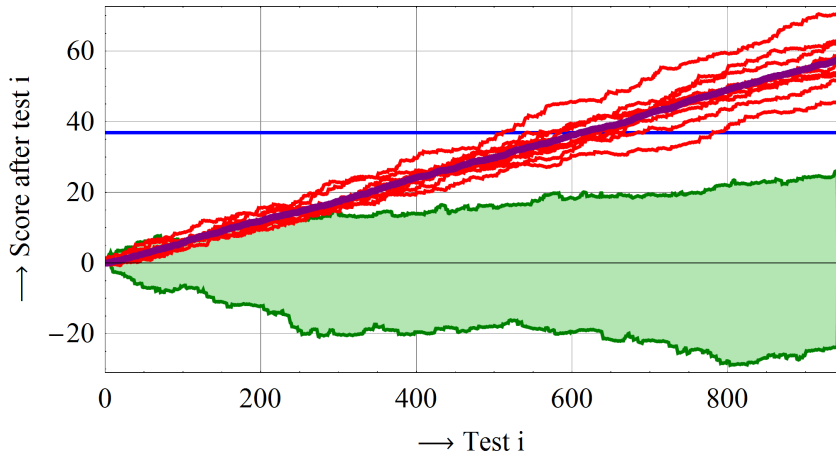
Infected = {Caroline, Eve, Henry}

Marked = {Caroline, Eve, Henry}

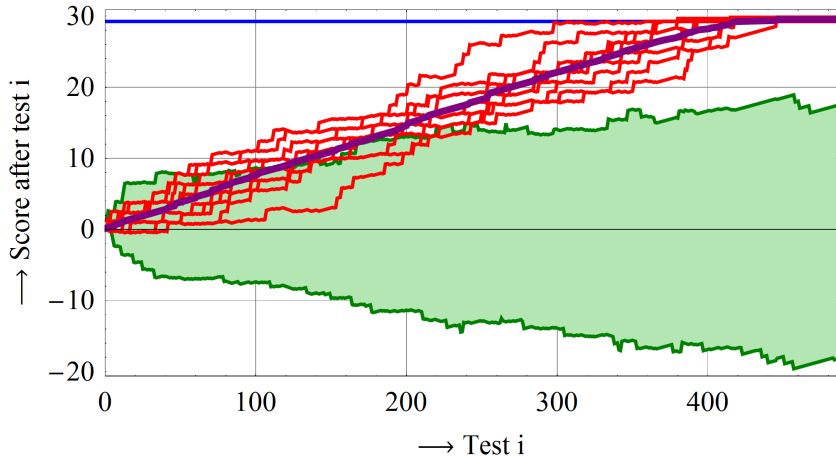
## Example: Scores



## Larger Example: Non-Adaptive



## Larger Example: Adaptive



## Framework: Other Models

### Traditional group testing

- Positive test result iff at least one tested is infected

### Noisy group testing

- Dilution: Clean sample testing positive
- Additive: Infected sample testing negative
- Combined: Any wrong test result
- ...

### Threshold group testing

- Majority: Positive iff more than  $\ell$  infected
- Bernoulli: Few infected tested, random result
- Linear: More infected, more positive results
- ...



## Traditional group testing

- Tests required:  $T \sim 2K \ln N$

## Noisy group testing

- Dilution:  $T \sim 2K \ln N / (1 - r)$
- Additive:  $T \sim 2K \ln N / (1 - \sqrt{2r})$
- Combined:  $T \sim 2K \ln N / (1 - \sqrt{2r})$
- ...

## Threshold group testing

- Majority:  $T \sim \pi K \ln N$
- Bernoulli:  $T \sim 4K \ln N$
- Linear:  $T \sim 2K^2 \ln N$
- ...

## Conclusion

Framework for probabilistic group testing

- Score-based construction
- Speed-ups in the adaptive setting (see paper)
- Versatile construction (see paper)

Results when applied to common models:

- Traditional model:  $T \sim 2K \ln N$
- Dilution noise:  $T \sim 2K \ln N / (1 - r)$
- Additive noise:  $T \sim 2K \ln N / (1 - \sqrt{2r})$
- Threshold models:  $T = \Theta(K \ln N)$

**Questions?**