

# Sieving for shortest vectors in lattices using locality-sensitive hashing

Thijs Laarhoven

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ENS Lyon, Lyon, France  
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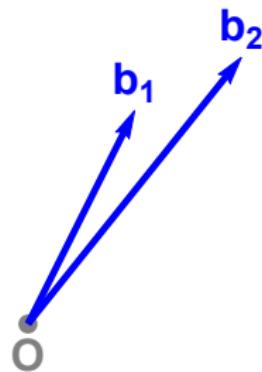
# Lattices

What is a lattice?



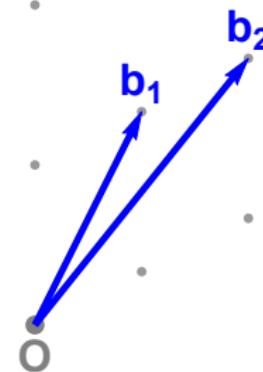
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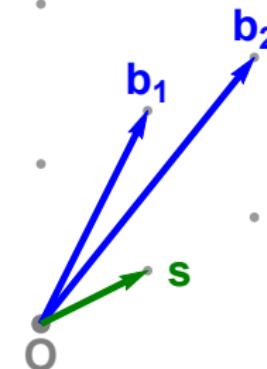
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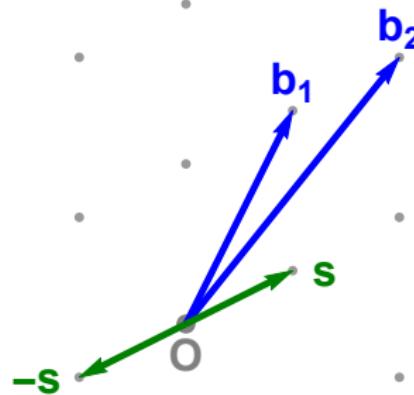
## Lattices

Shortest Vector Problem (SVP)



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## SVP algorithms

	Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$
Provable SVP	Enumeration [Poh81, Kan83, ..., GNR10]	$\Omega(n \log n)$	$O(\log n)$
	AKS-sieve [AKS01, NV08, MV10, HPS11]	$3.398n$	$1.985n$
	ListSieve [MV10, MDB14]	$3.199n$	$1.327n$
	AKS-sieve-birthday [PS09, HPS11]	$2.648n$	$1.324n$
	ListSieve-birthday [PS09]	$2.465n$	$1.233n$
	Voronoi cell algorithm [MV10b]	$2.000n$	$1.000n$
	Discrete Gaussian sampling [ADRS15, ADS15]	$1.000n$	$1.000n$
Heuristic SVP	NV-sieve [NV08]	$0.415n$	$0.208n$
	GaussSieve [MV10, ..., IKMT14, BNvdP14]	$0.415n?$	$0.208n$
	Two-level sieve [WLTB11]	$0.384n$	$0.256n$
	Three-level sieve [ZPH13]	$0.3778n$	$0.283n$
	Decomposition approach [BGJ14]	$0.3774n$	$0.293n$
	HashSieve [Laa15, MLB15]	$0.337n$	$0.208n^*$
	Another NNS sieve [BGJ15]	$0.311n$	$0.208n$
	SphereSieve [LdW15]	$0.298n$	$0.208n$
	CrossPolytopeSieve [BL15]	$0.298n$	$0.208n^*$

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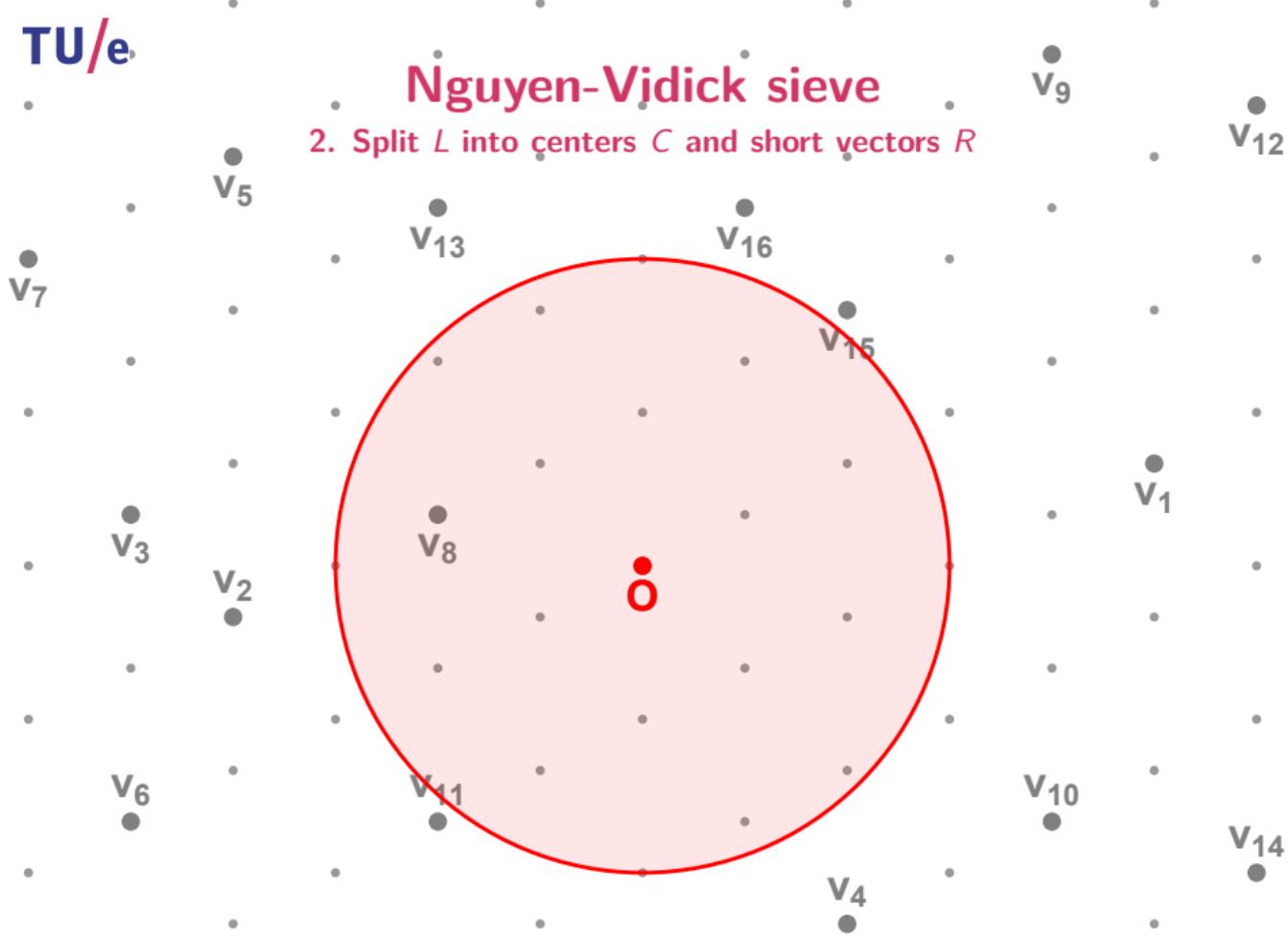
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2. Split  $L$  into centers  $C$  and short vectors  $R$



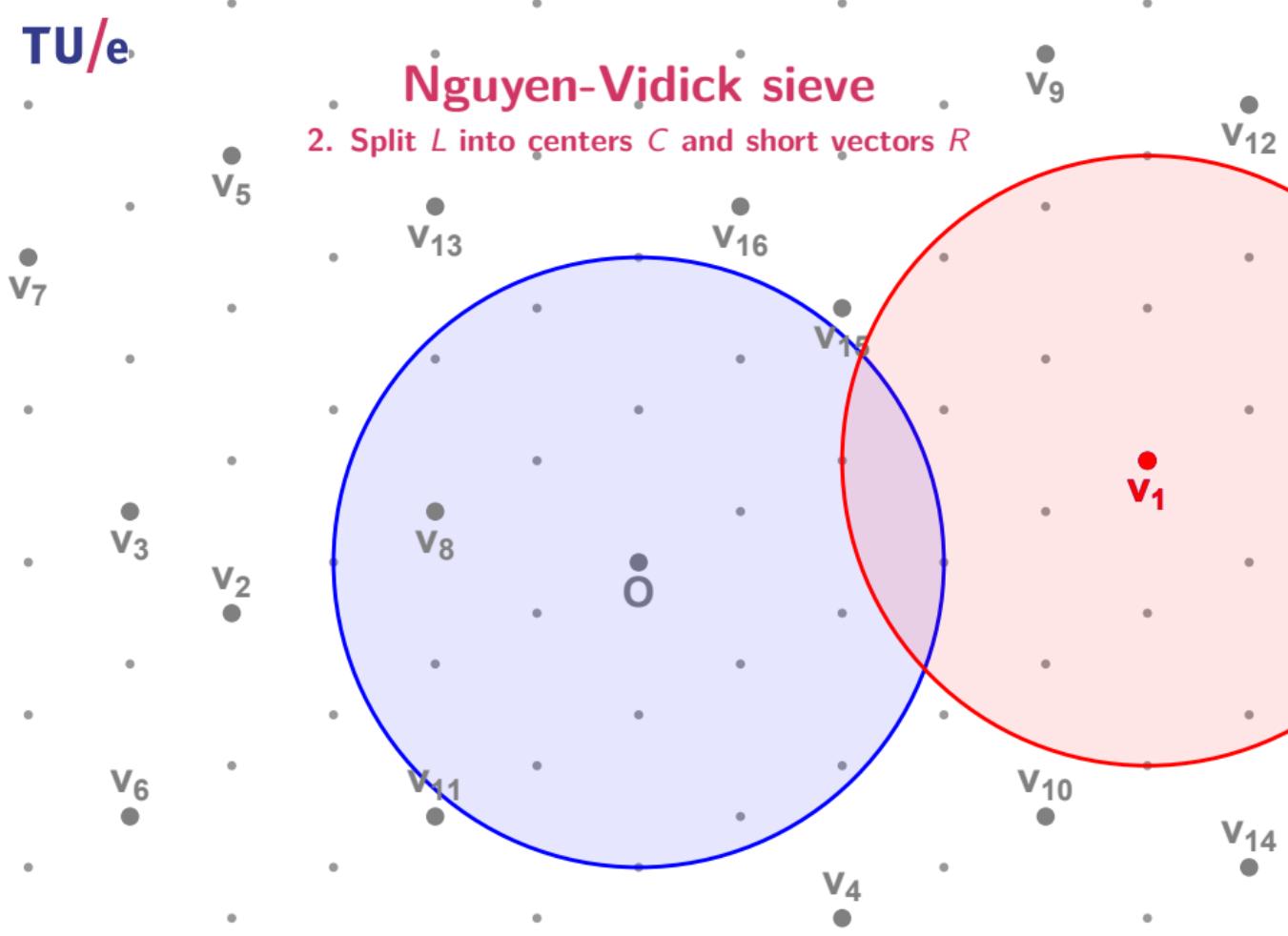
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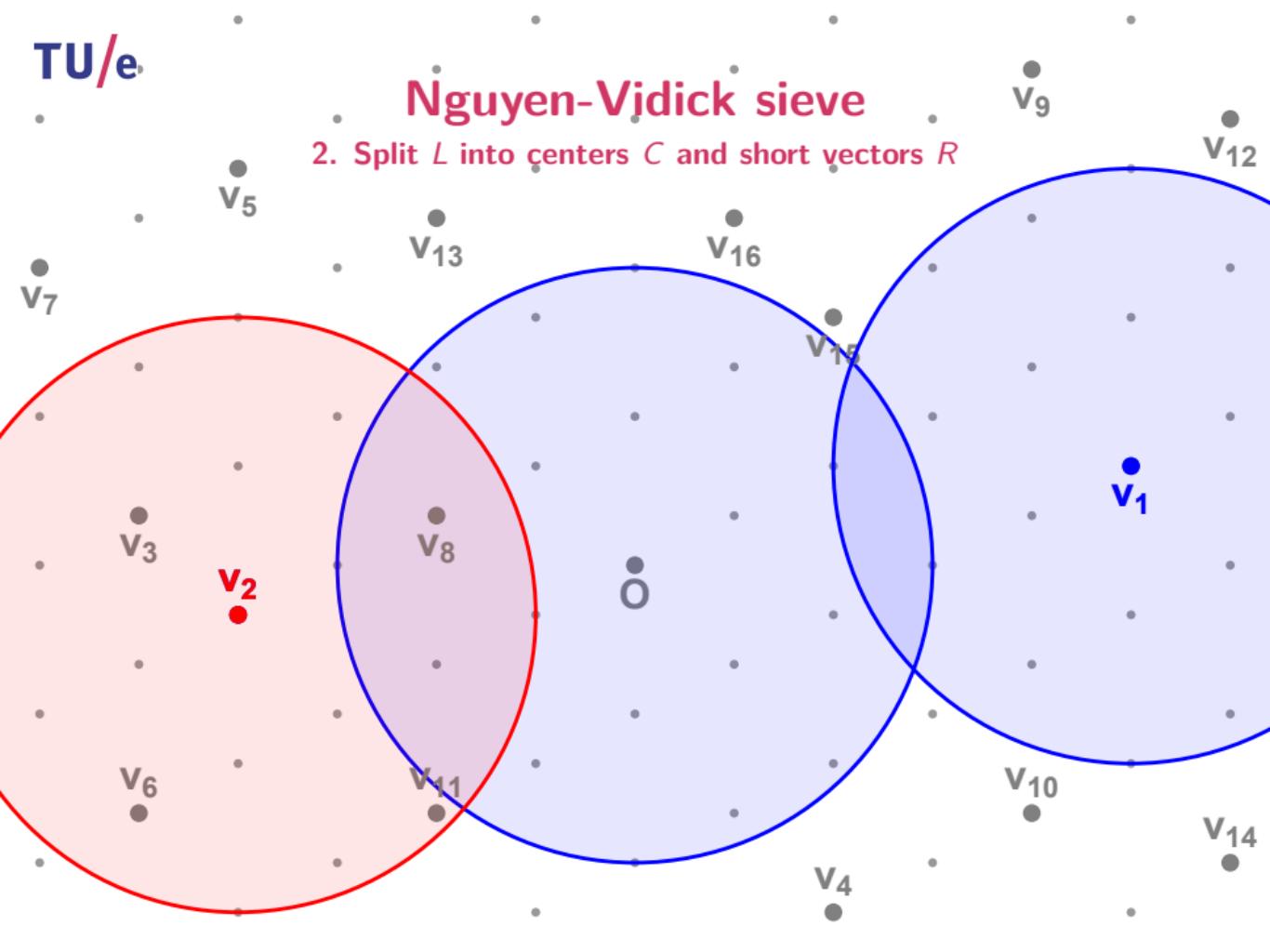
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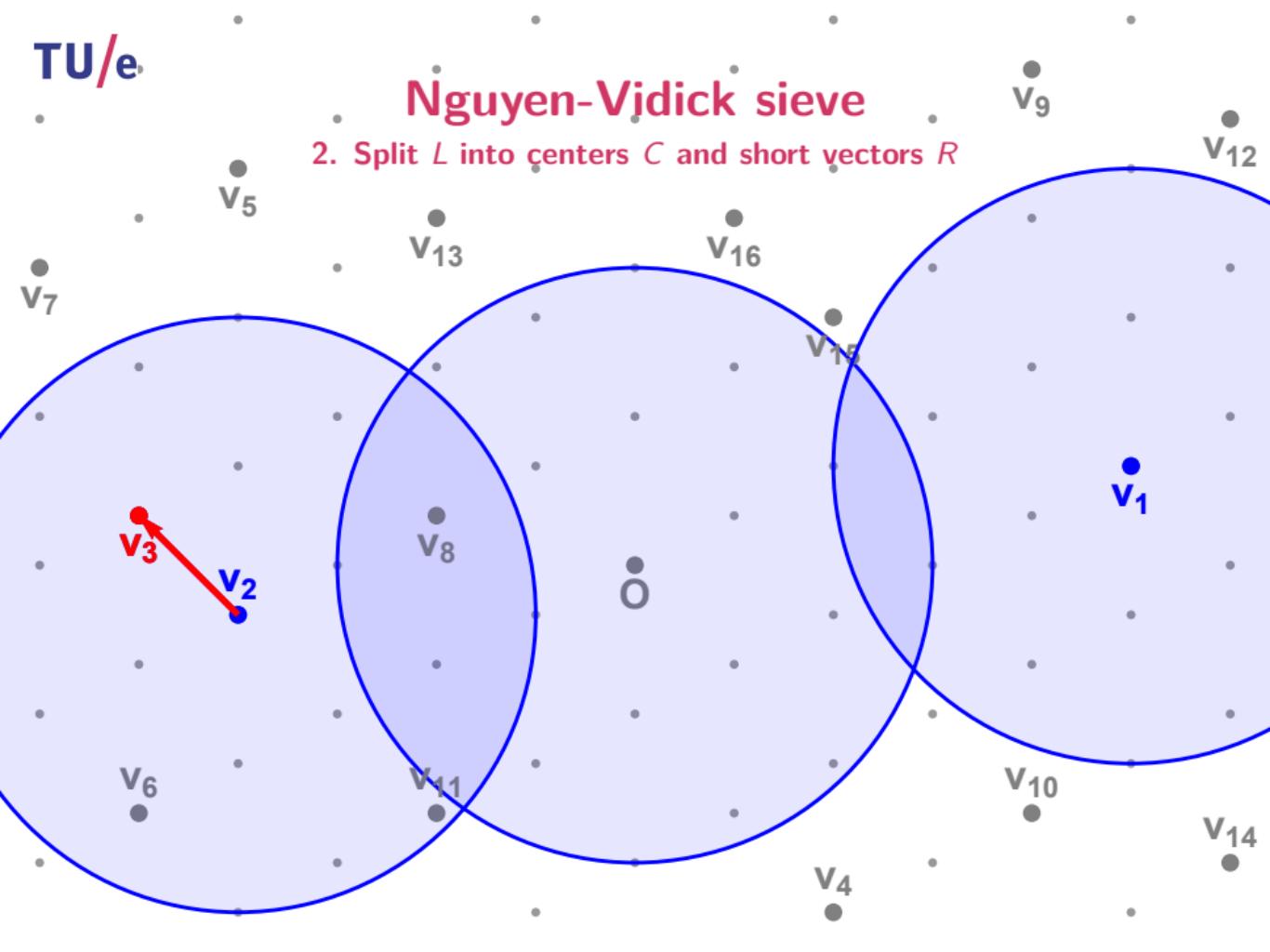
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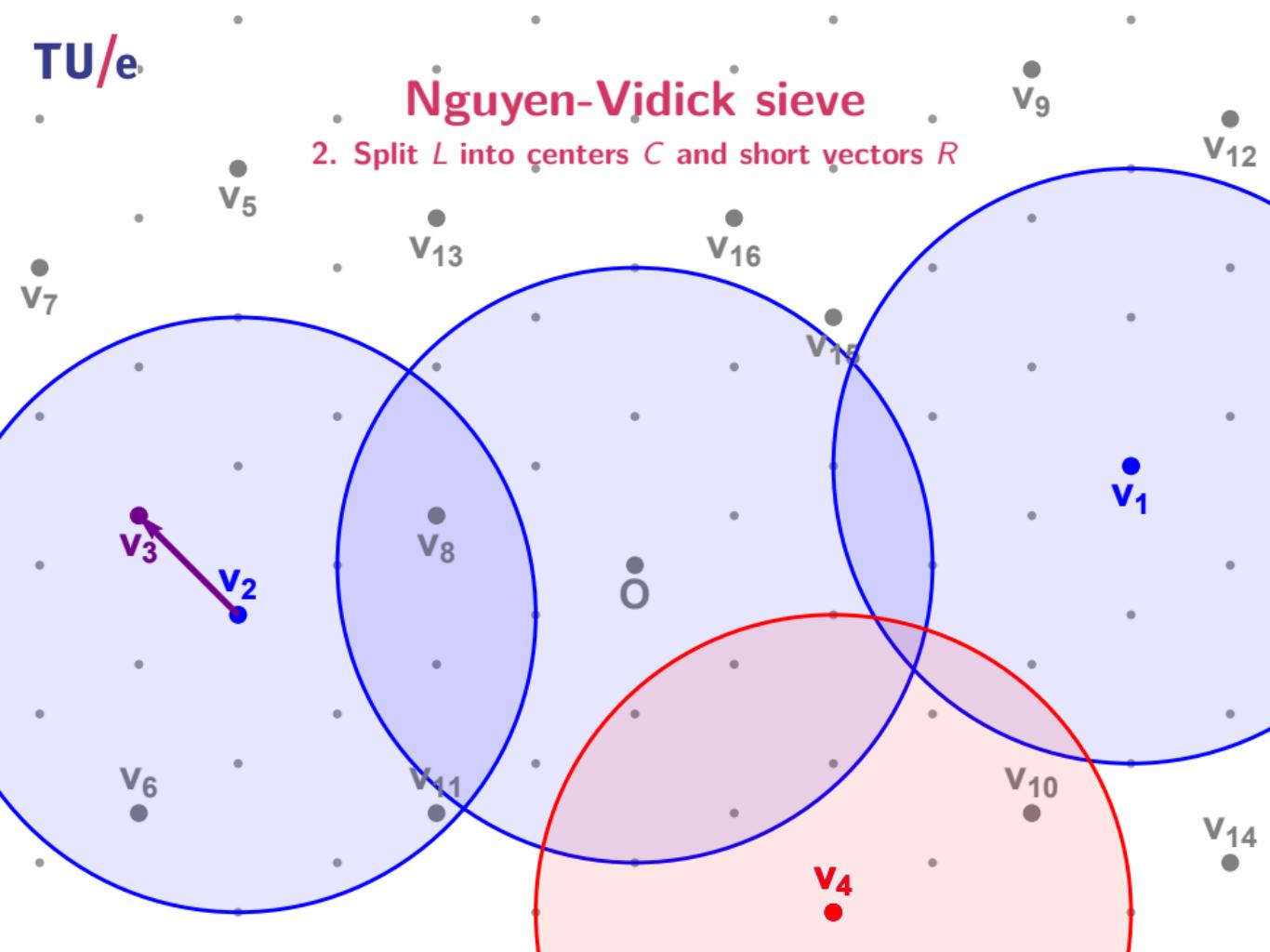
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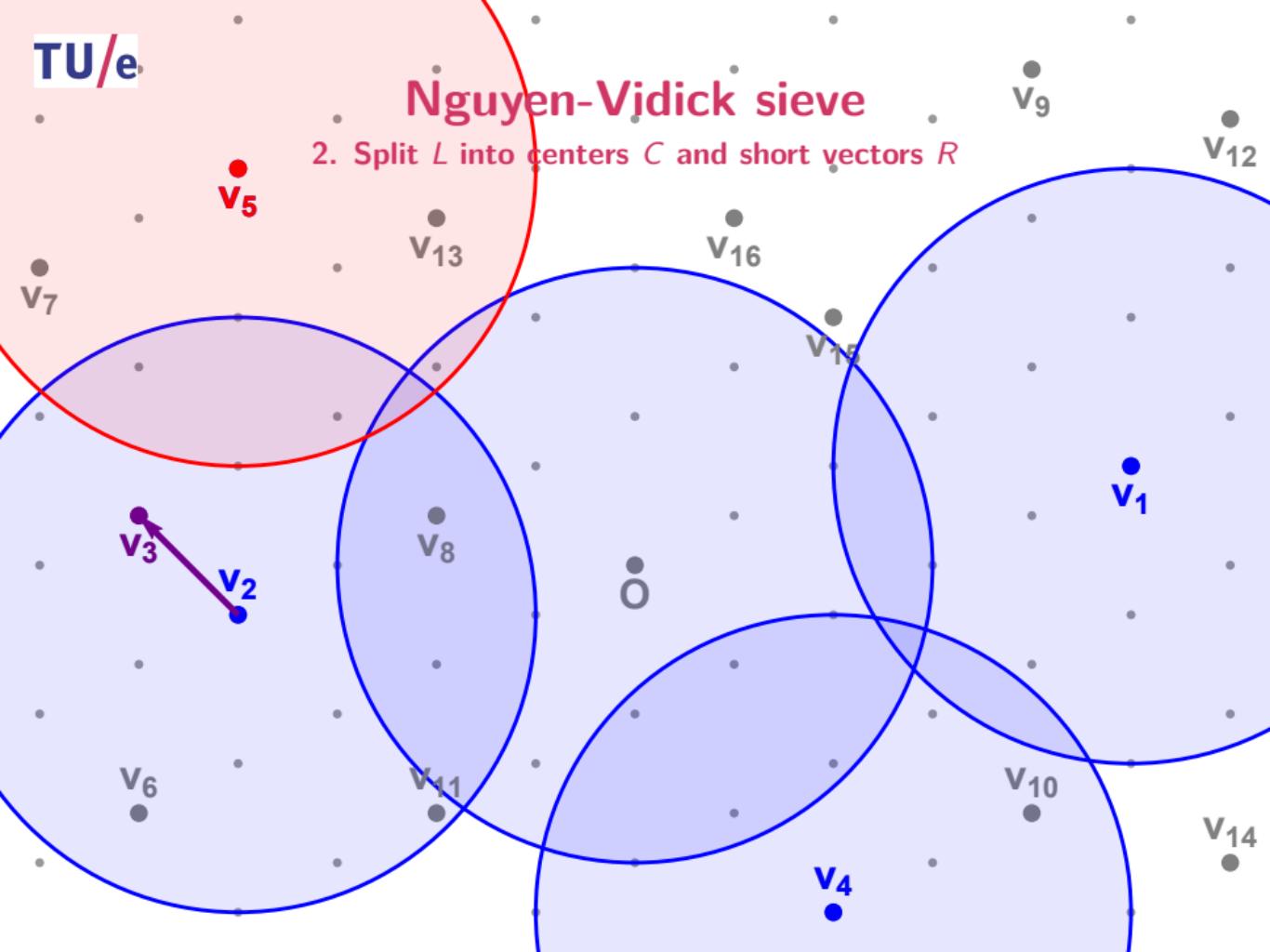
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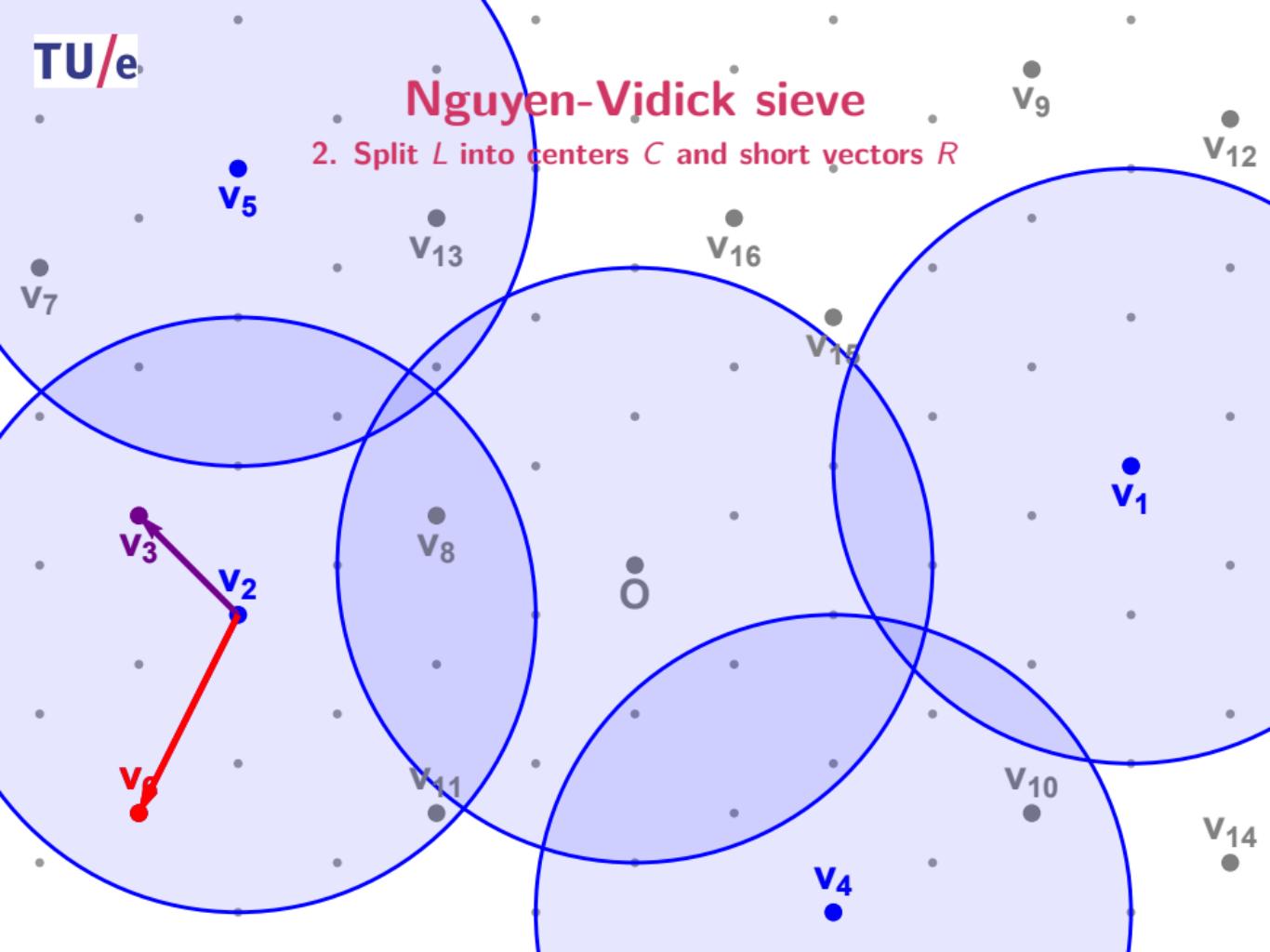
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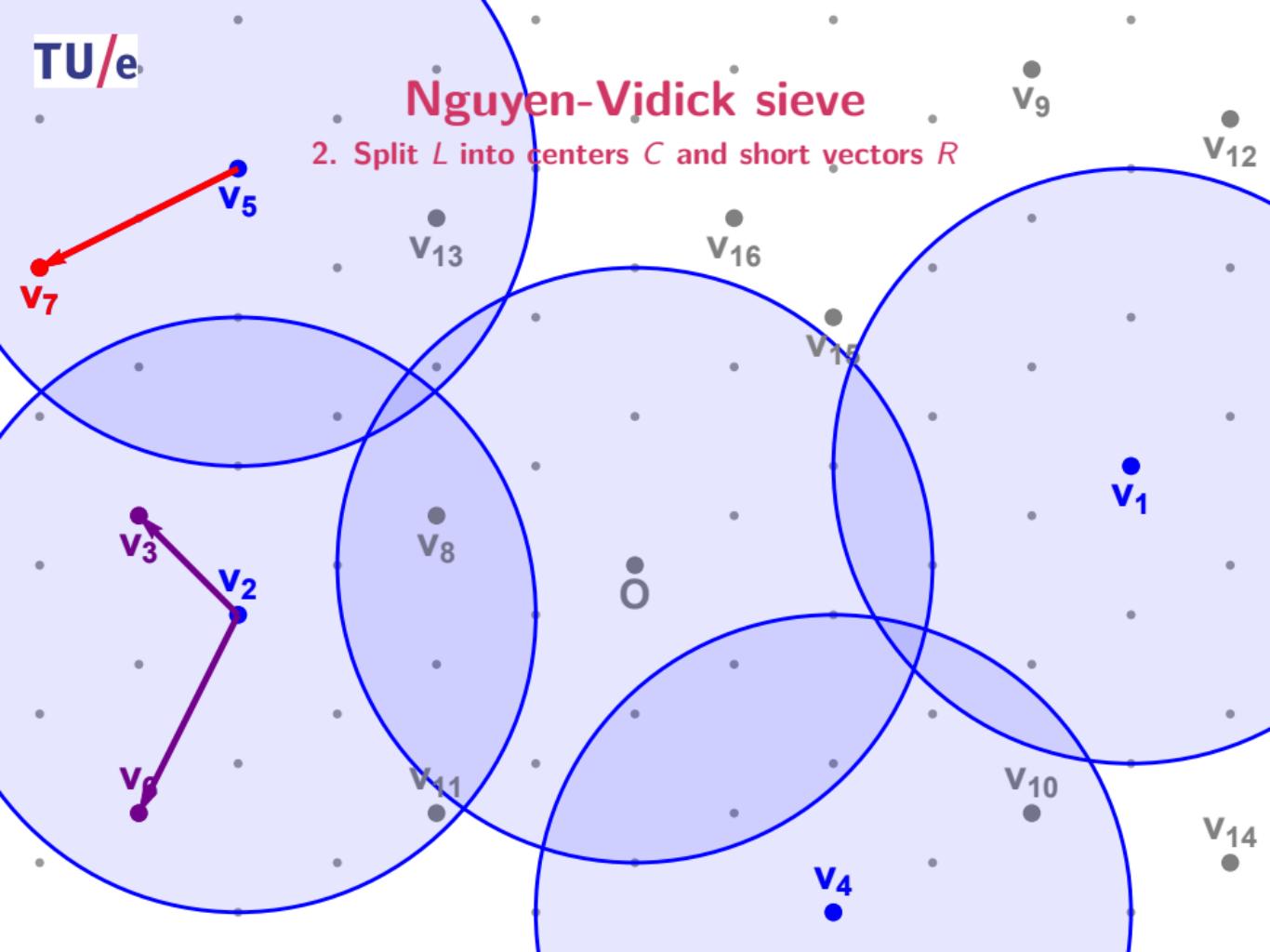
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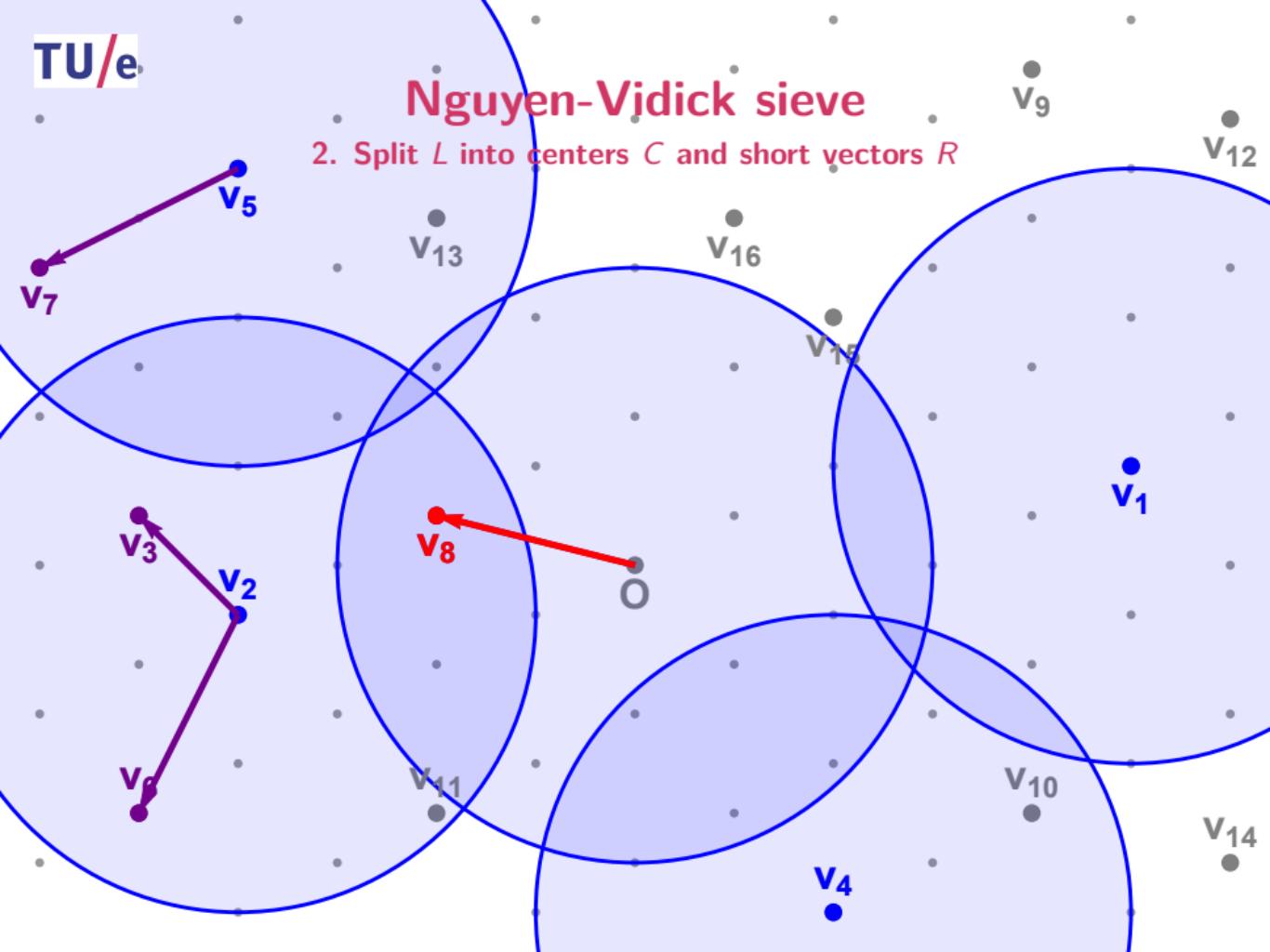
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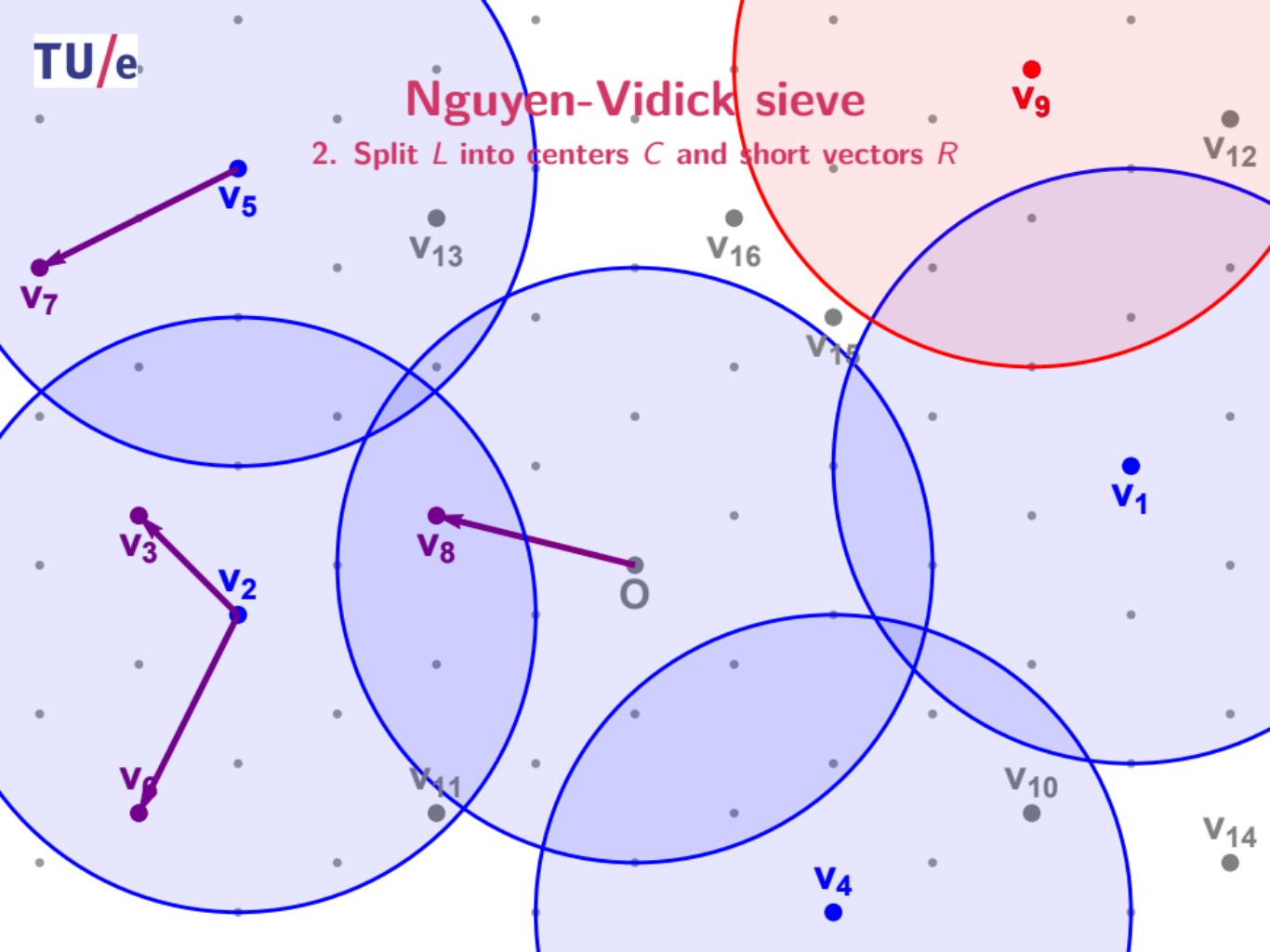
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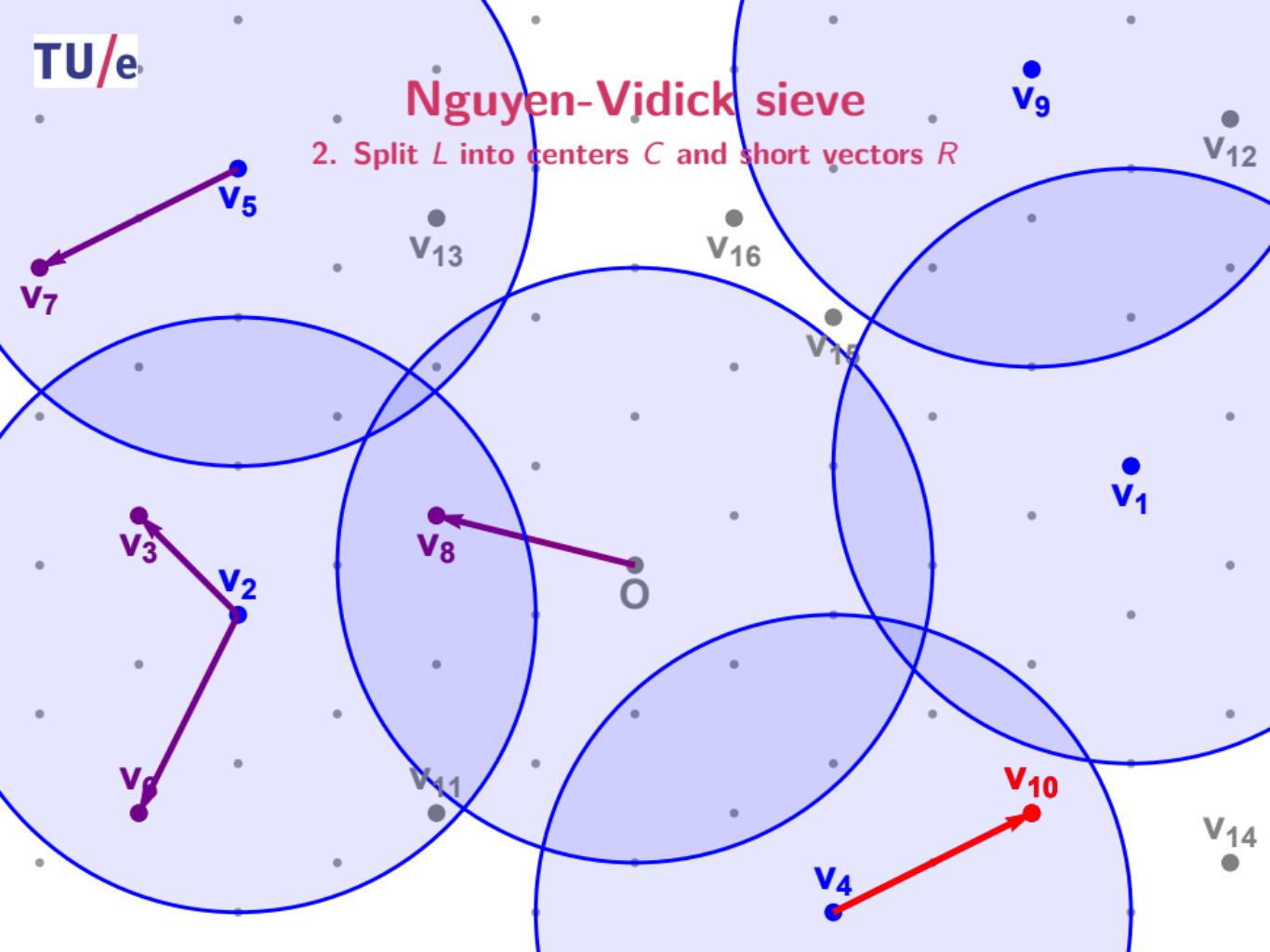
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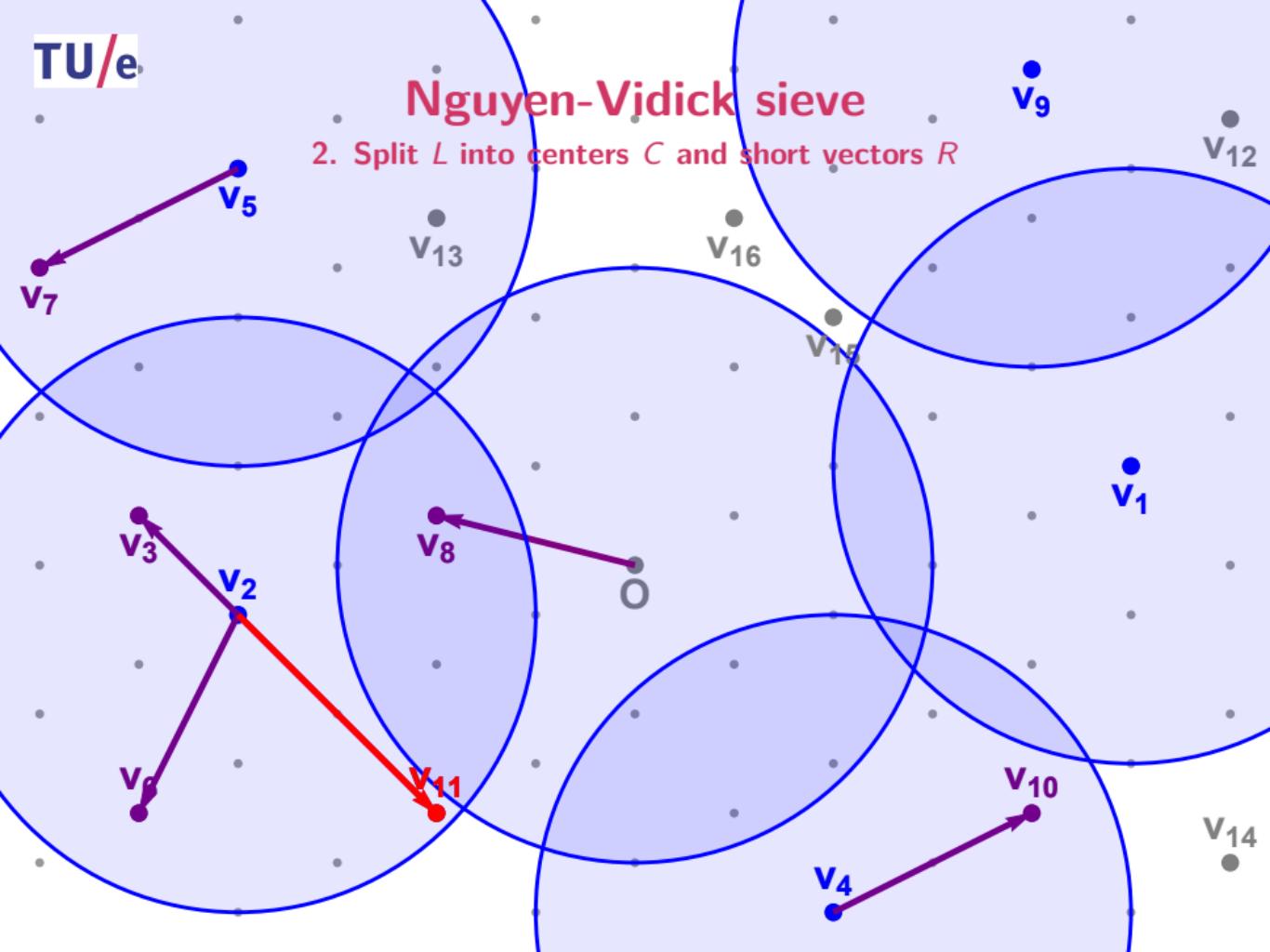
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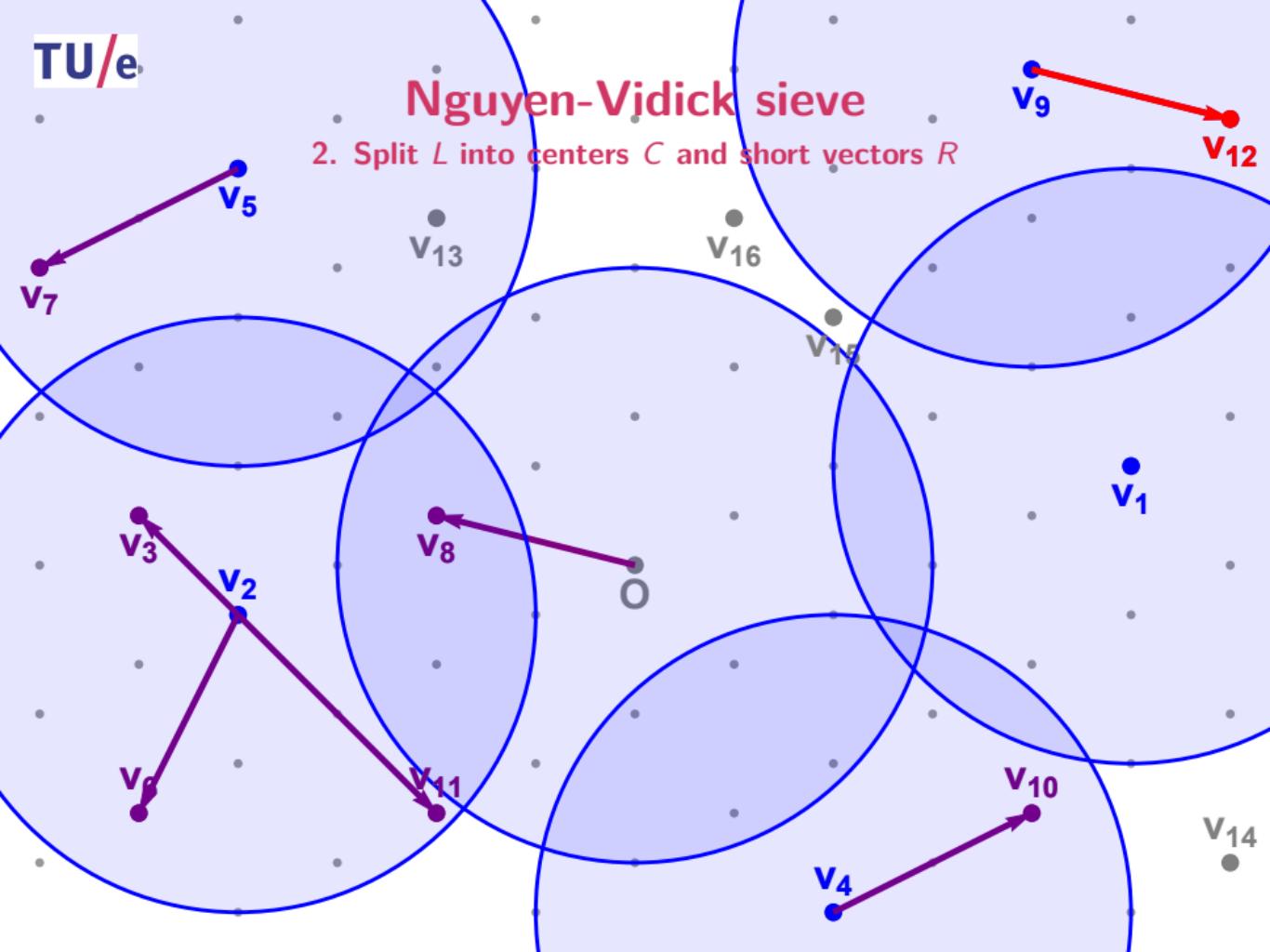
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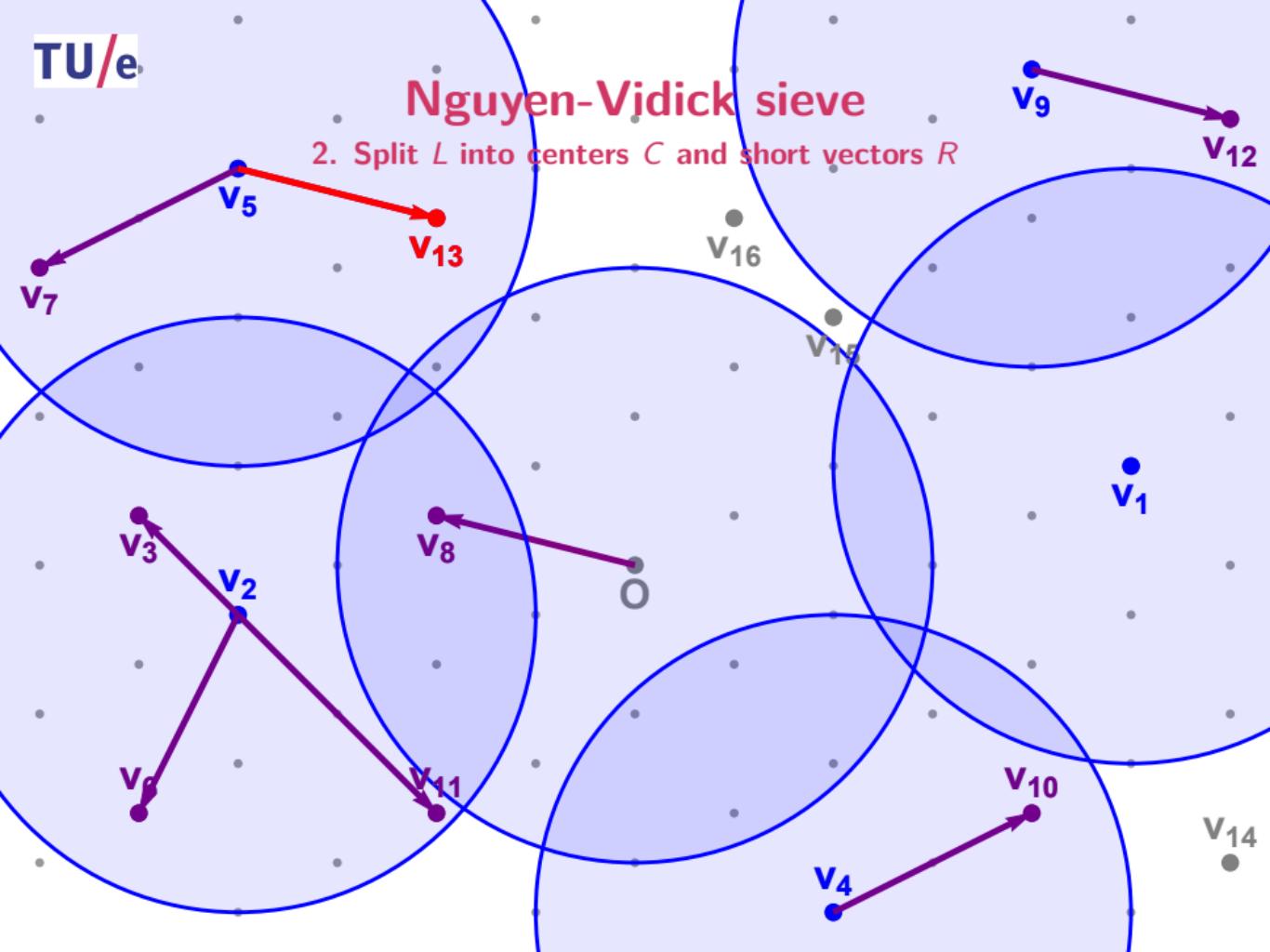
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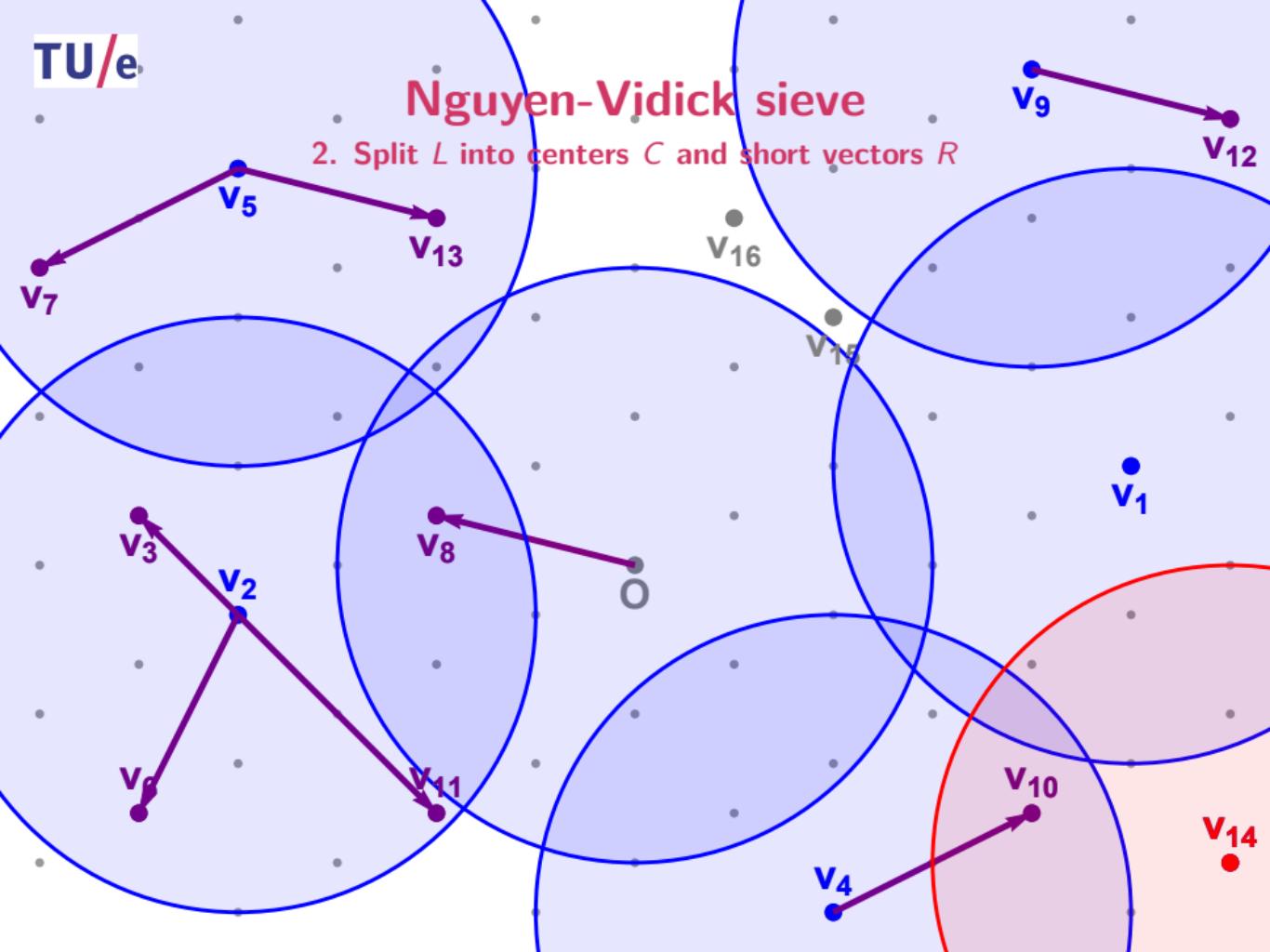
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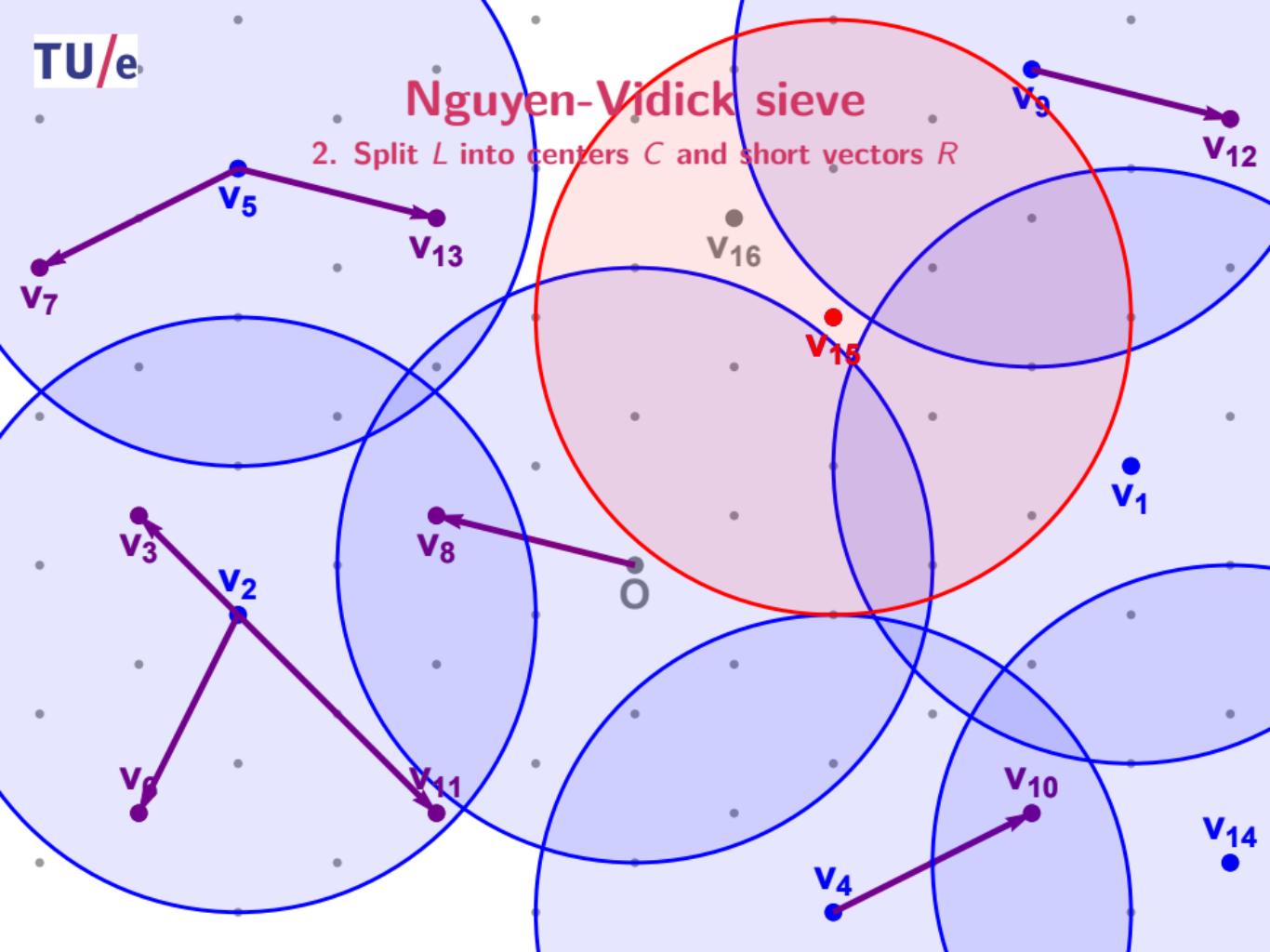
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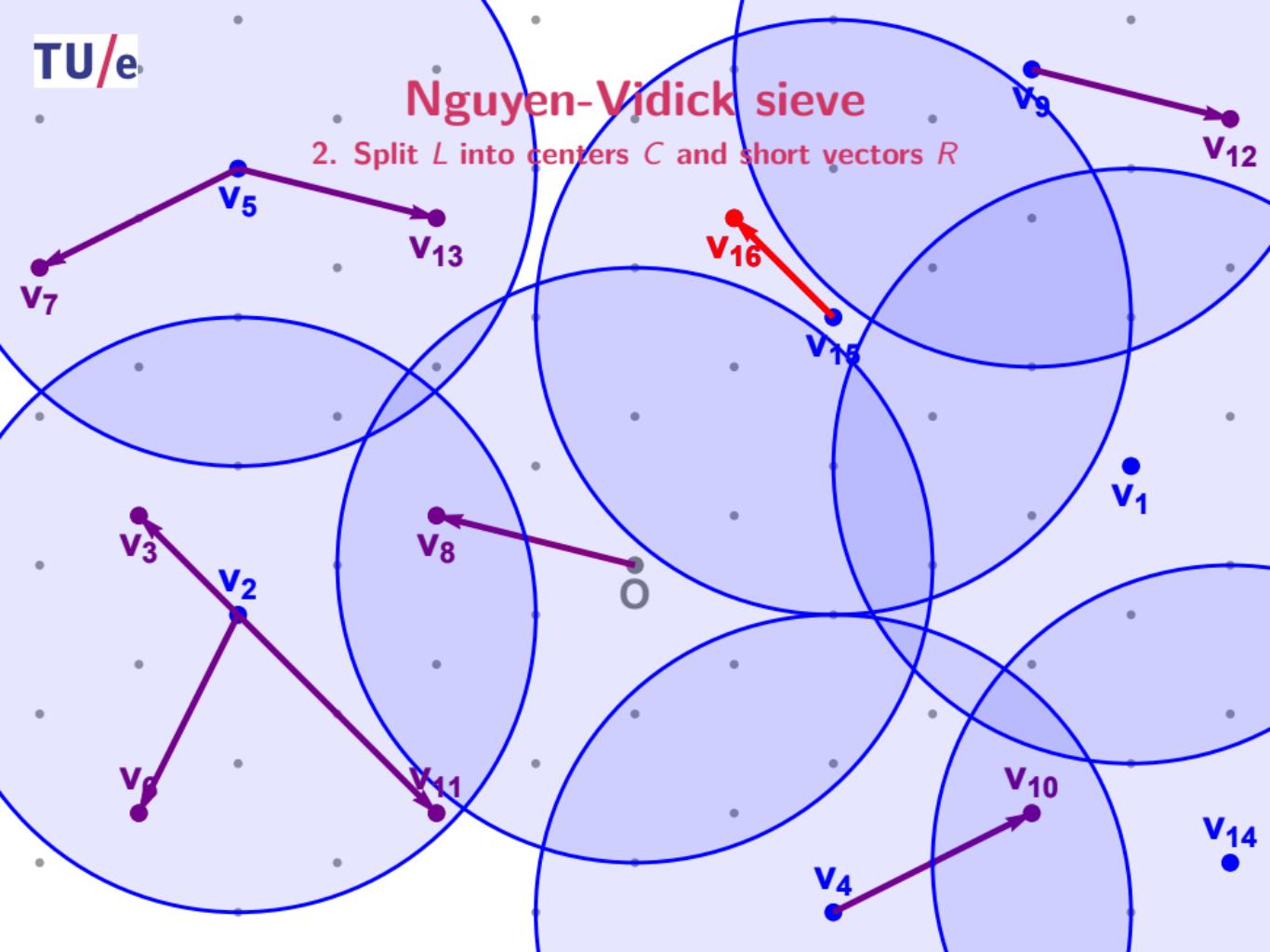
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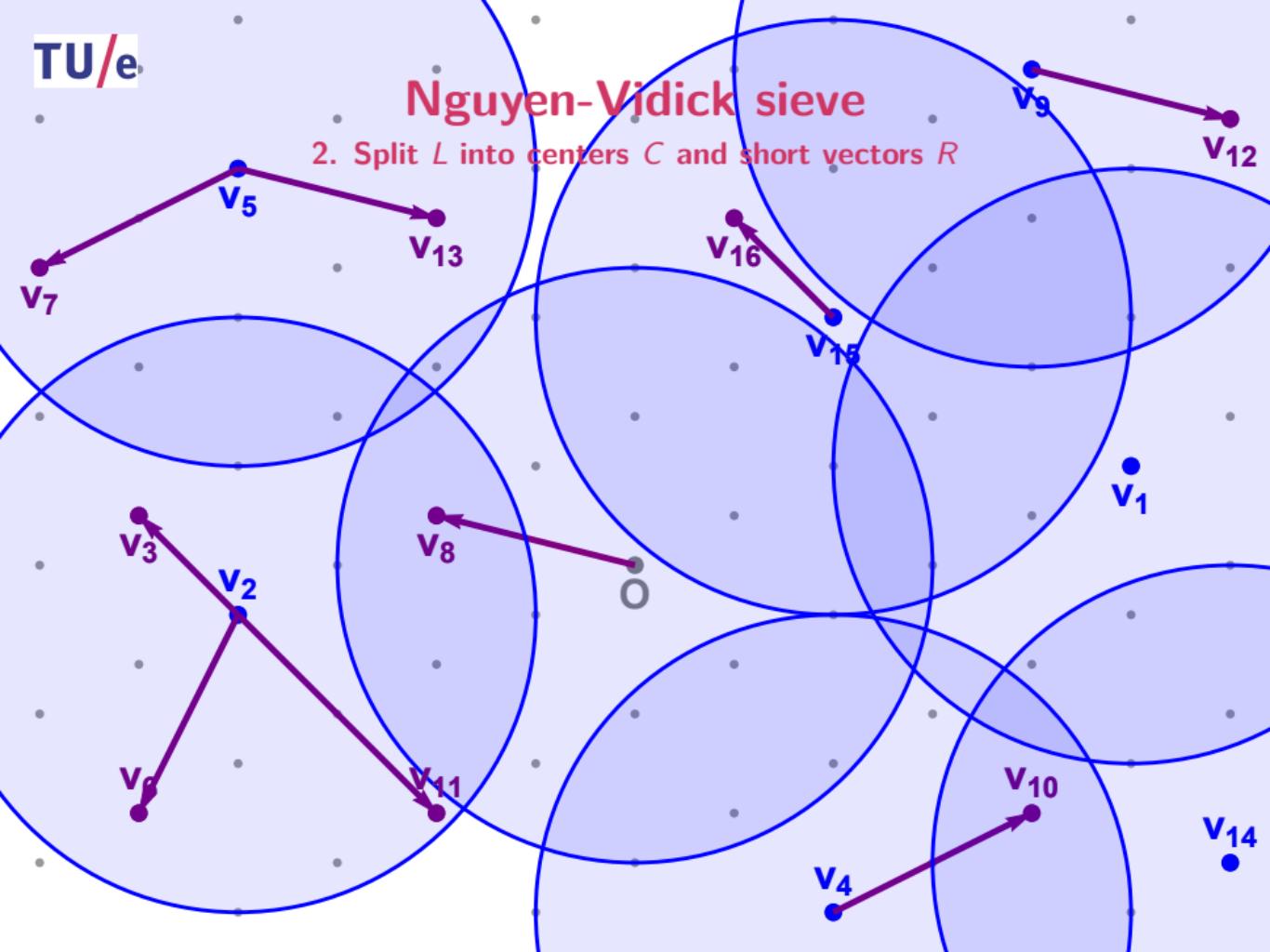
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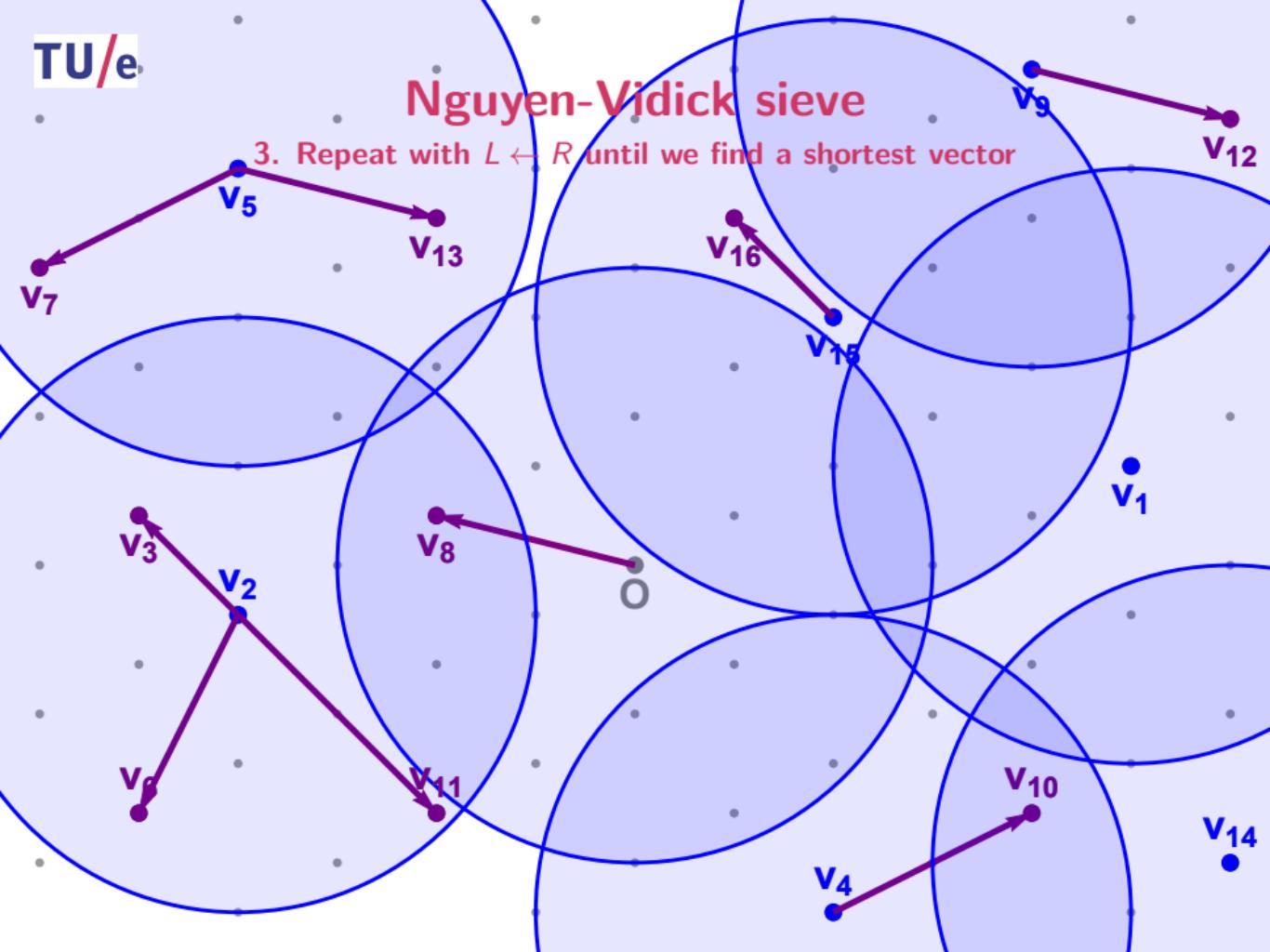
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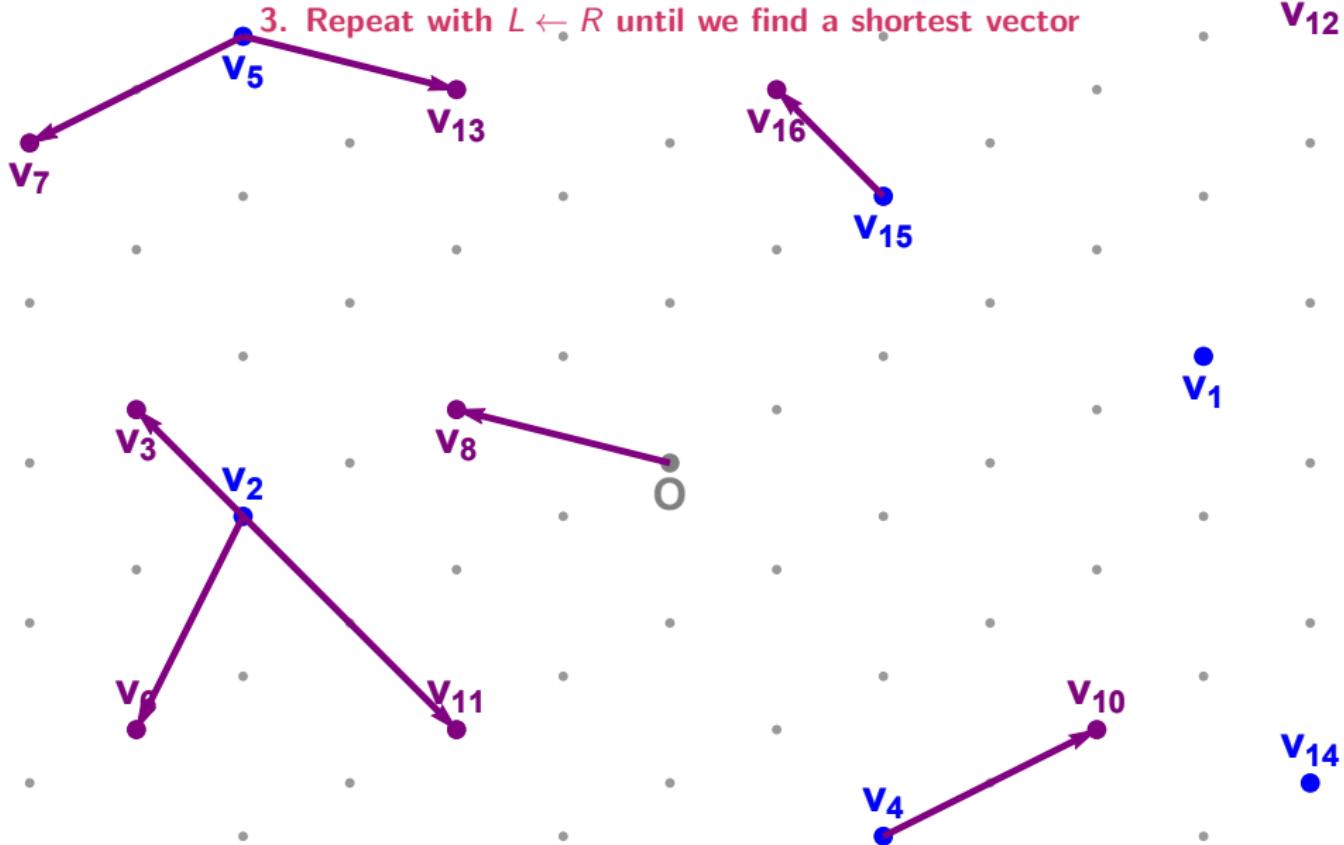
## Nguyen-Vidick sieve

3. Repeat with  $L \leftarrow R$  until we find a shortest vector



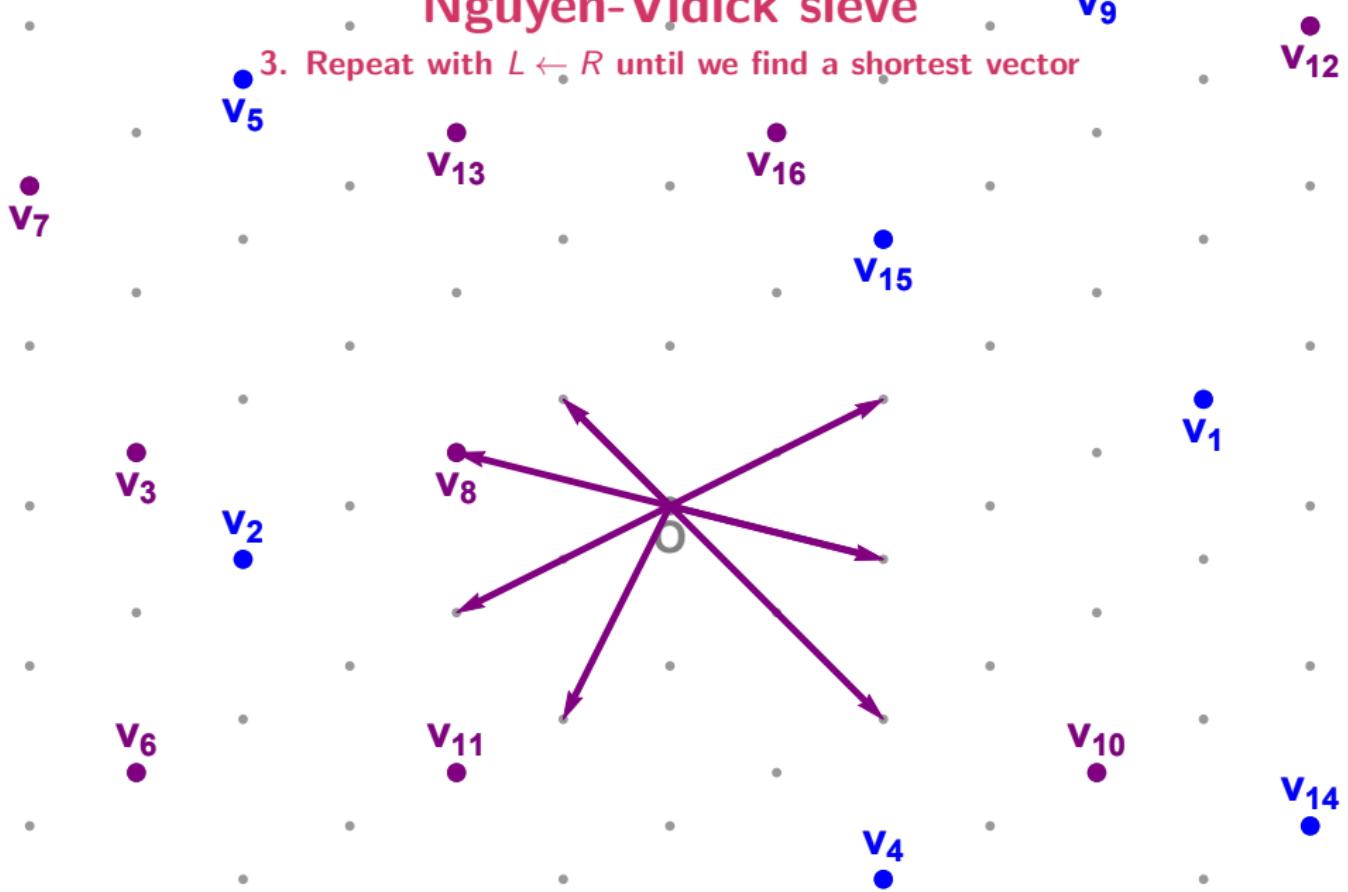
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- Space complexity:  $\sqrt{4/3}^n \approx 2^{0.21n+o(n)}$  vectors
  - ▶ Need  $\sqrt{4/3}^n$  vectors to cover all corners of  $\mathbb{R}^n$

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  - ▶ Need  $\sqrt{4/3}^n$  vectors to cover all corners of  $\mathbb{R}^n$
- Time complexity:  $(4/3)^n \approx 2^{0.42n+o(n)}$ 
  - ▶ Comparing a target vector to all centers:  $2^{0.21n+o(n)}$
  - ▶ Repeating this for each list vector:  $2^{0.21n+o(n)}$
  - ▶ Repeating the whole sieving procedure:  $\text{poly}(n)$

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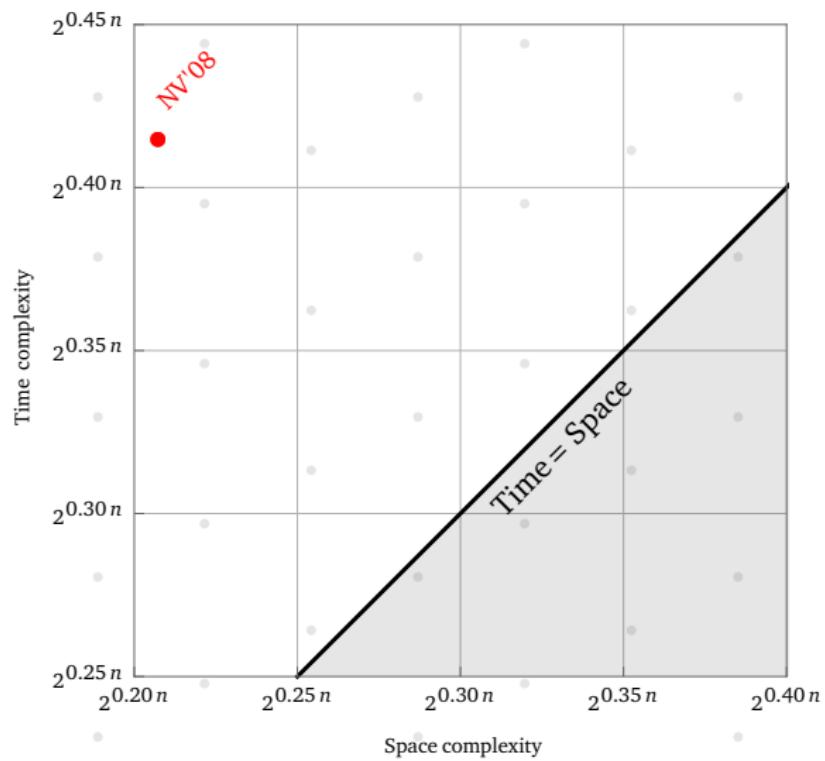
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Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The NV-sieve runs in time  $2^{0.42n+o(n)}$  and space  $2^{0.21n+o(n)}$ .

# Nguyen-Vidick sieve

Space/time trade-off



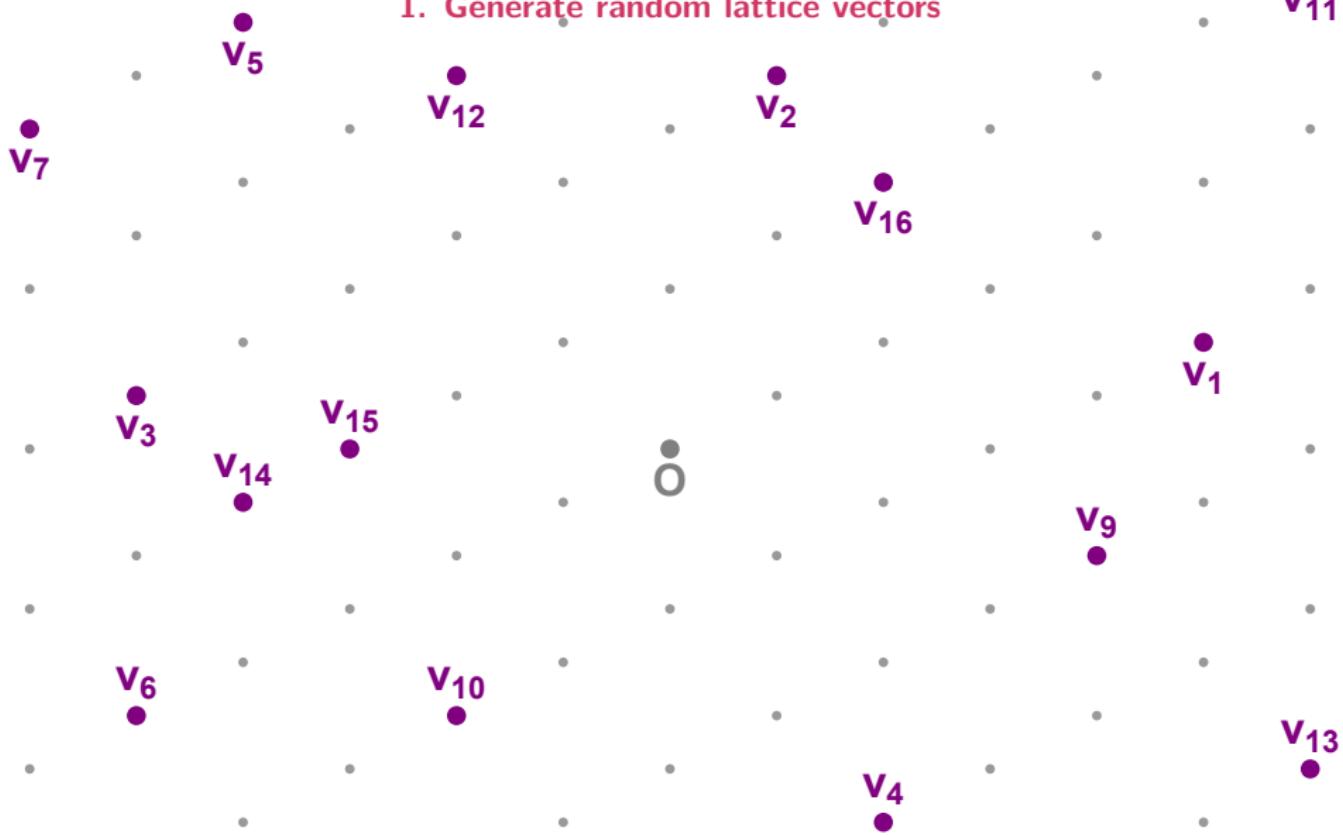
# GaussSieve

1. Generate random lattice vectors



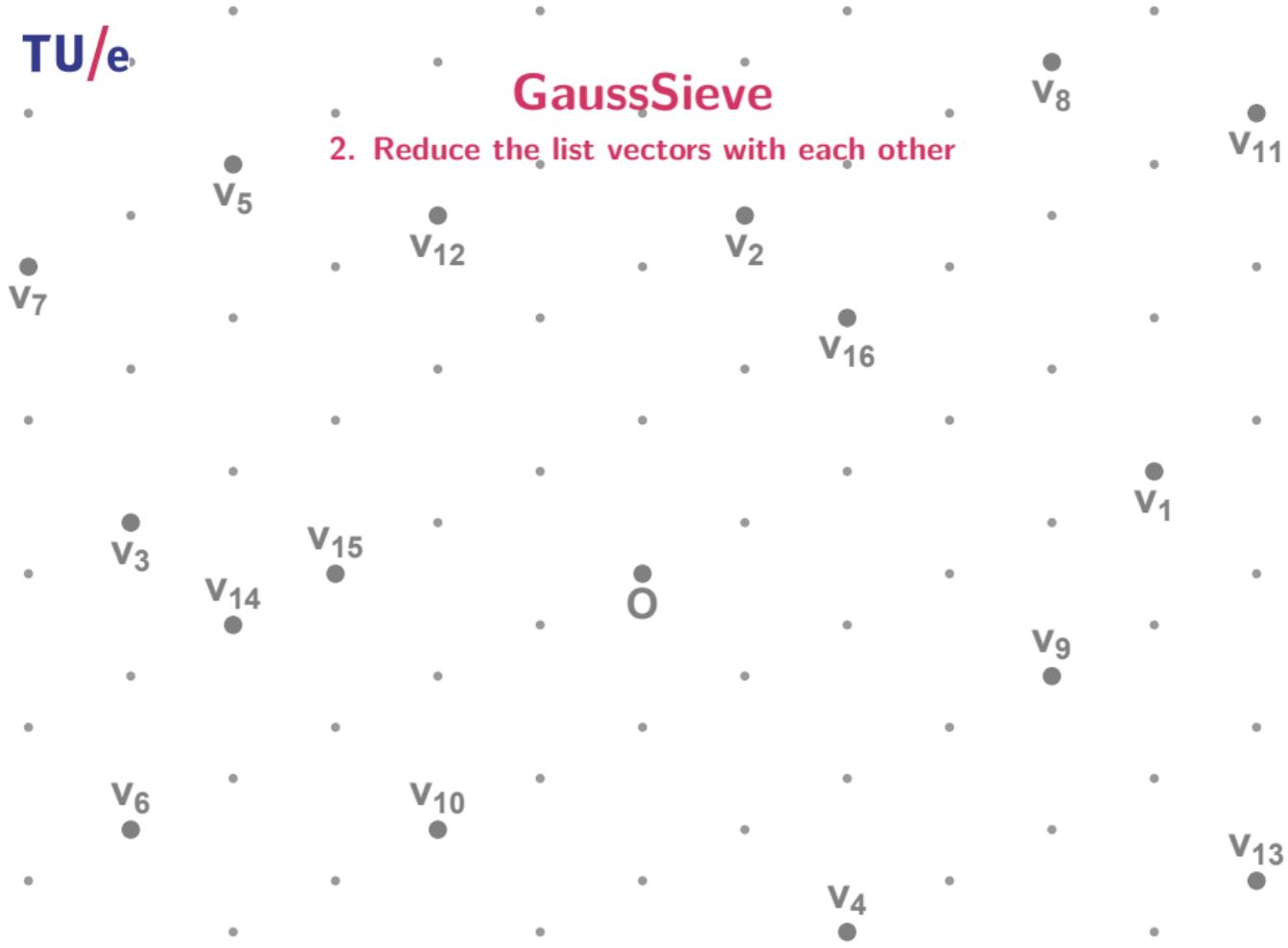
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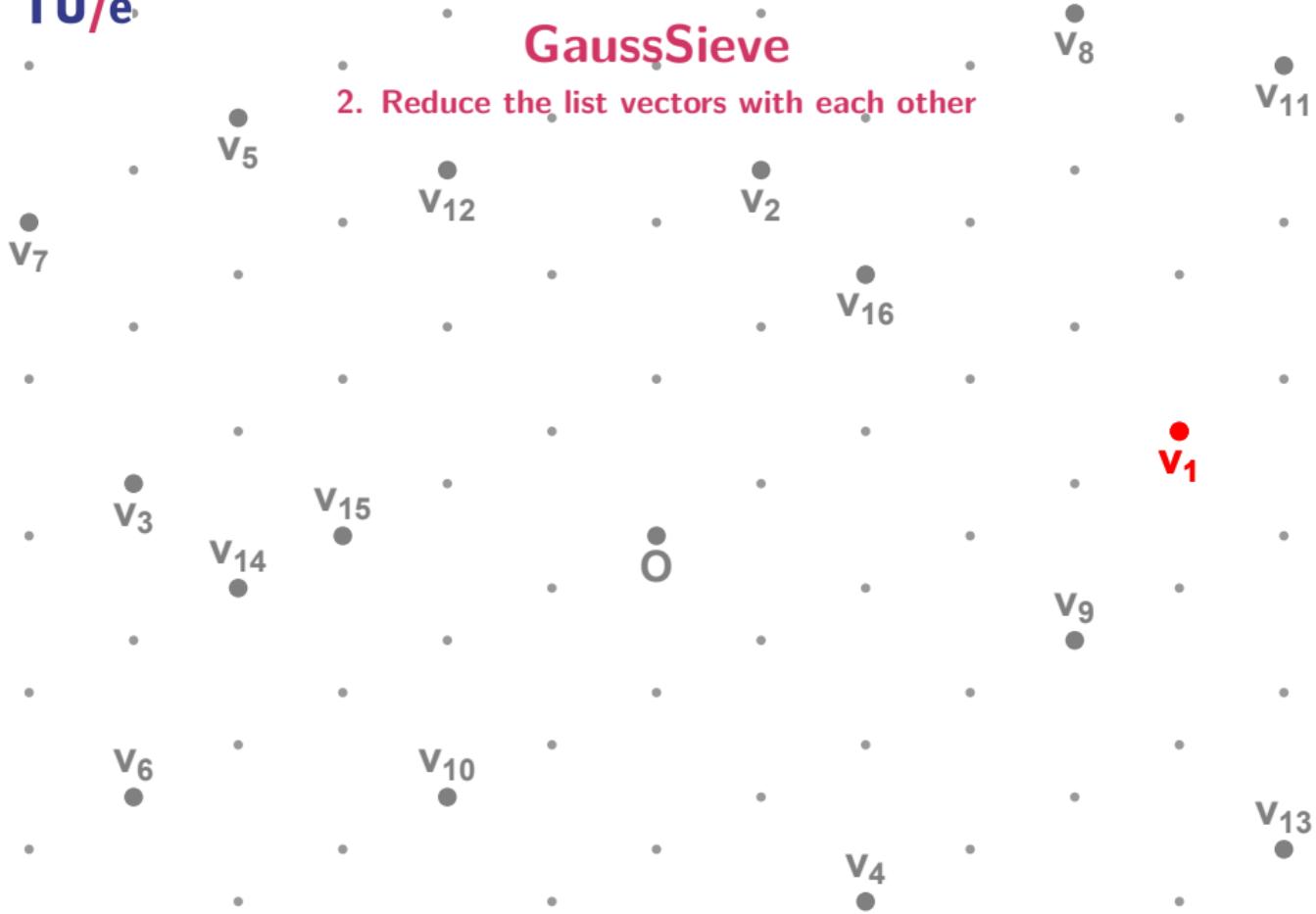
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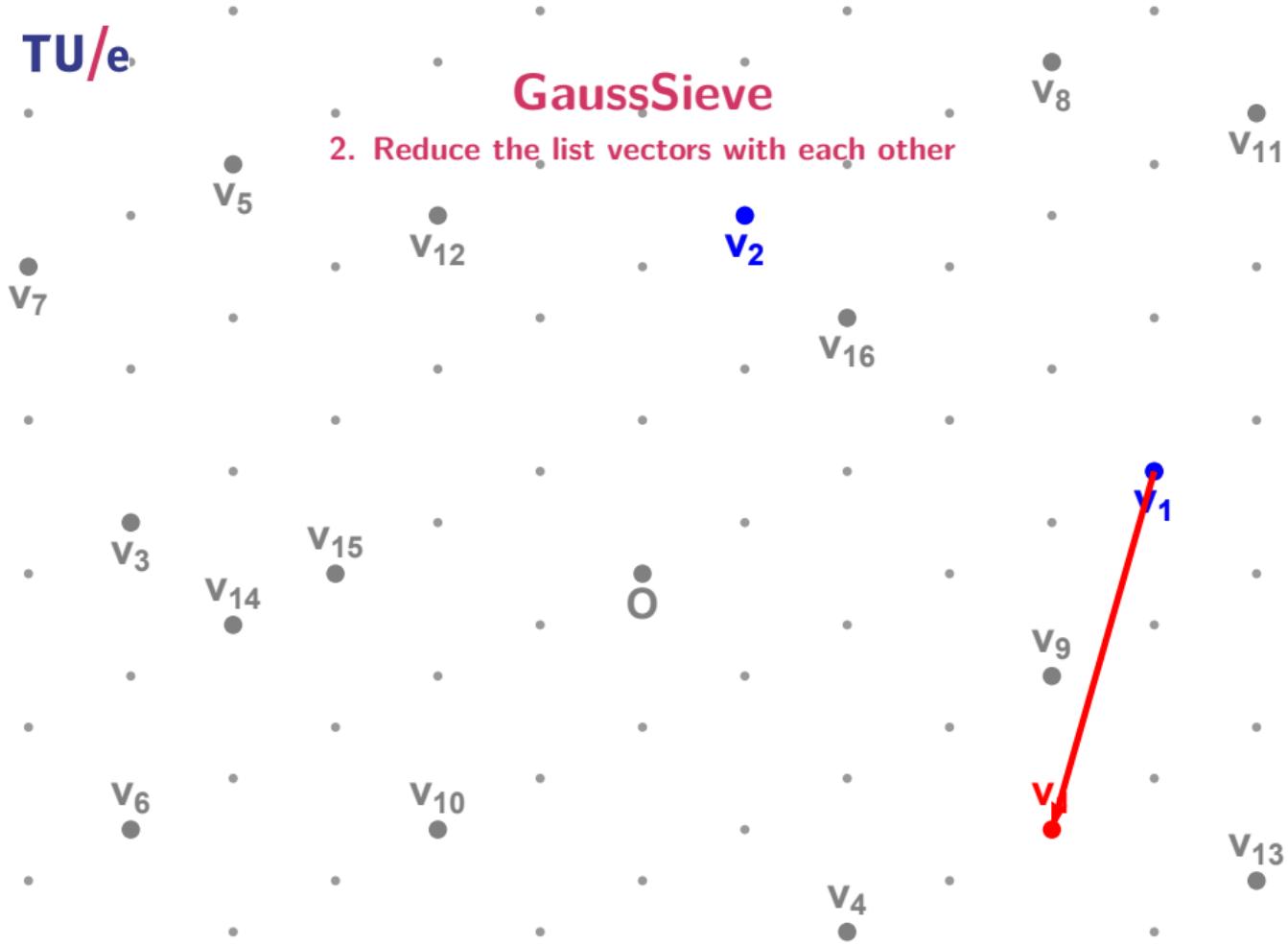
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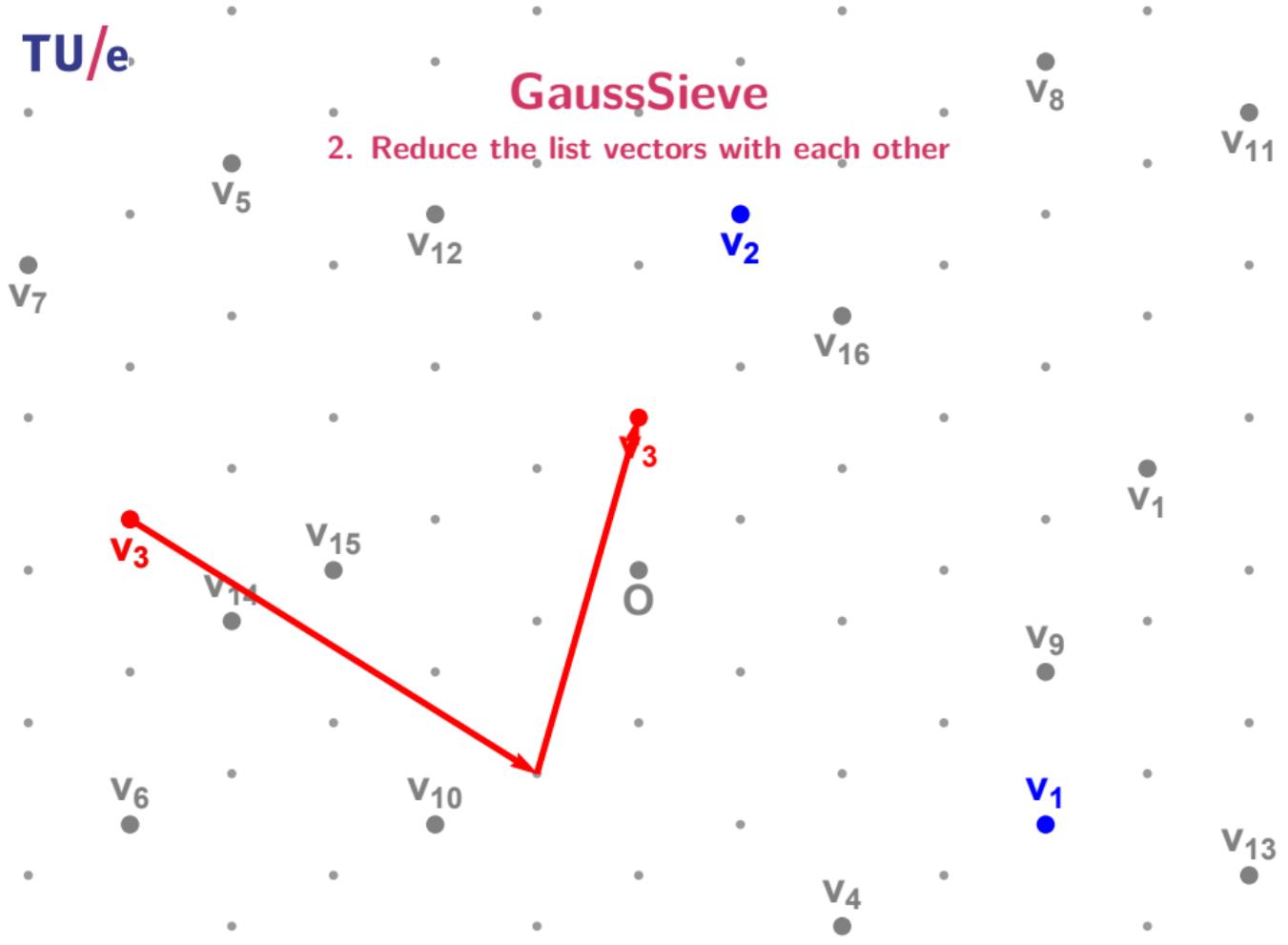
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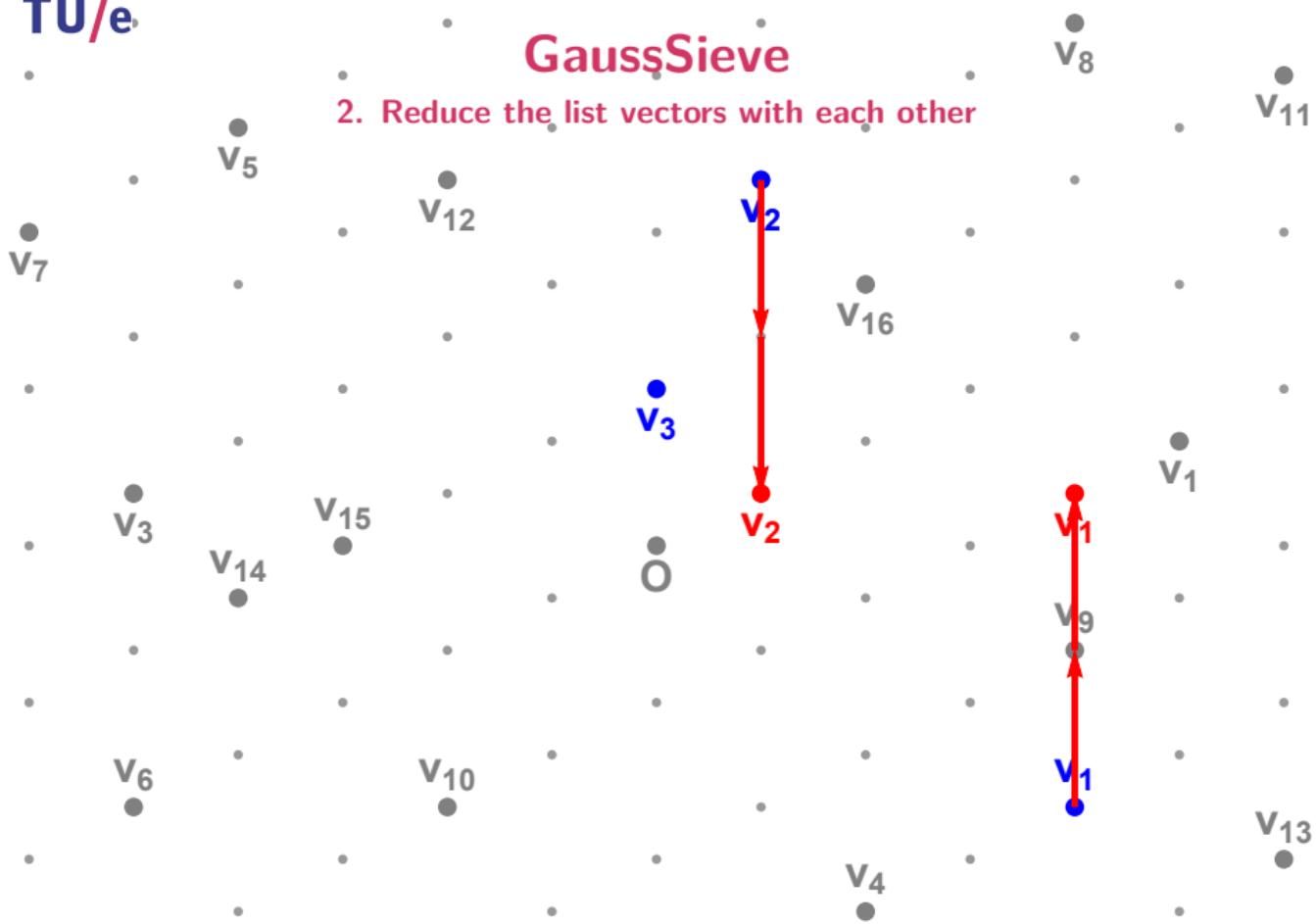
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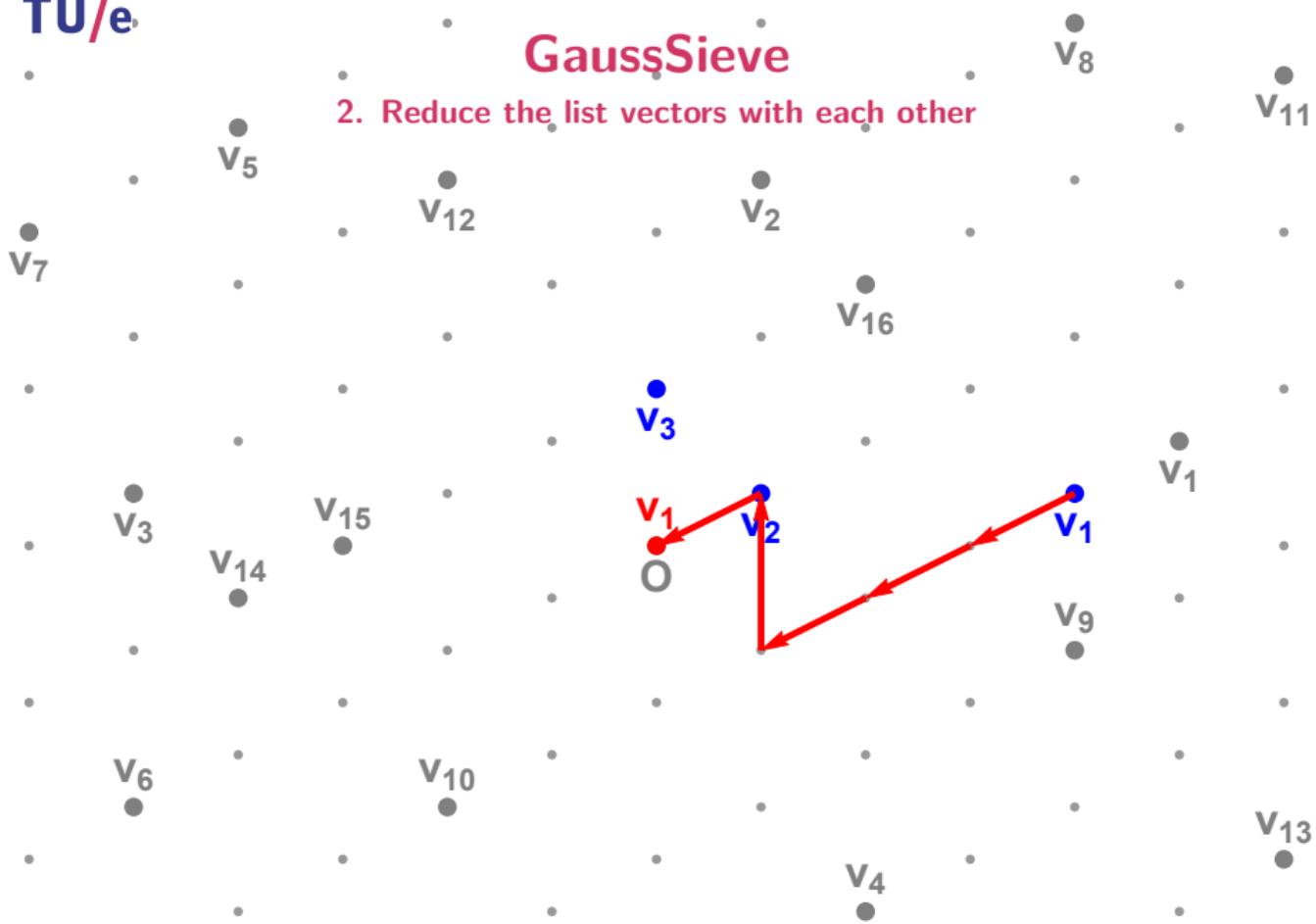
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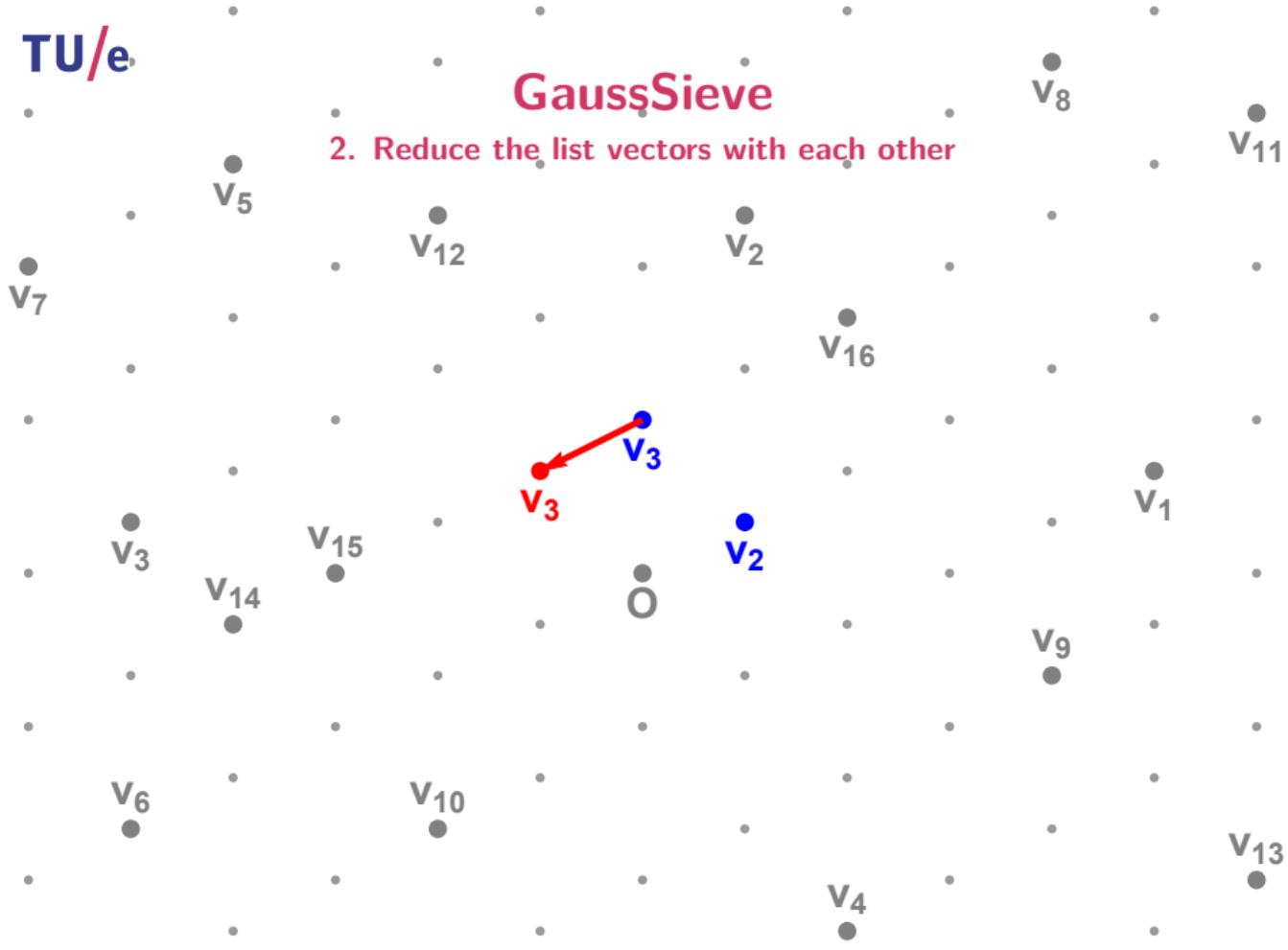
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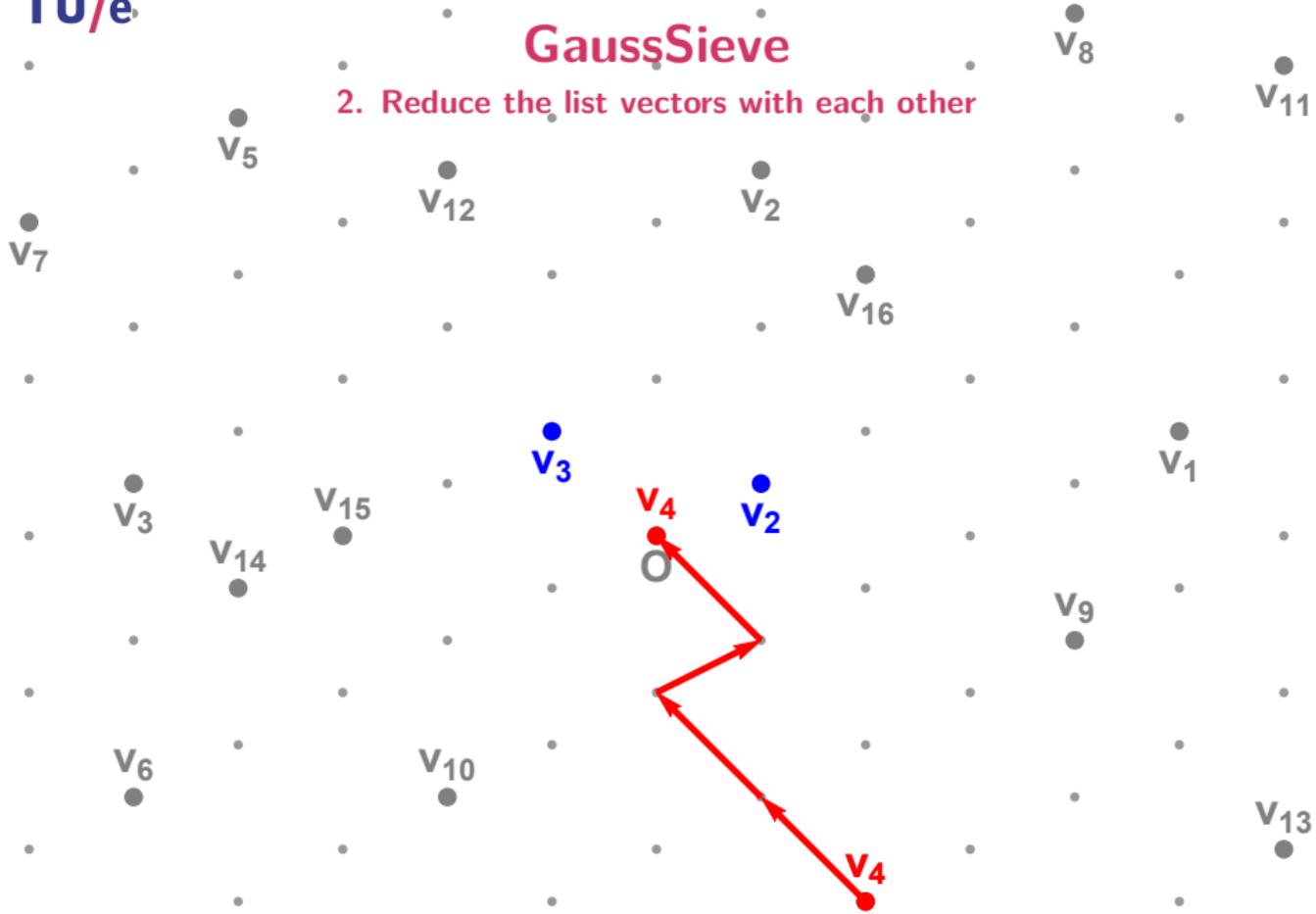
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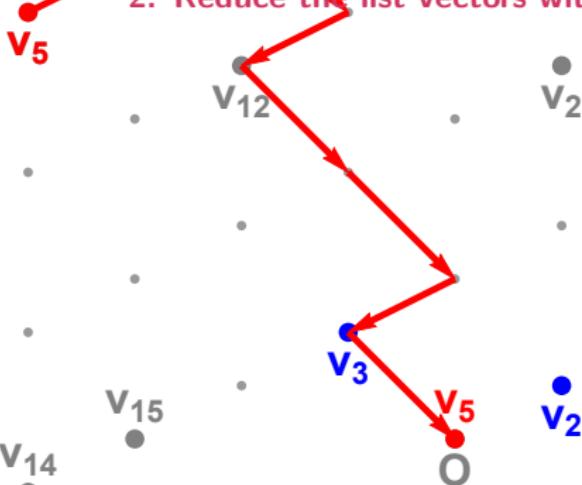
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$v_6$

$v_3$

$v_{14}$

$v_{15}$

$v_{10}$

$v_5$

$v_{12}$

$v_3$

$v_5$

$v_2$

$v_2$

$v_4$

$v_{16}$

$v_9$

$v_1$

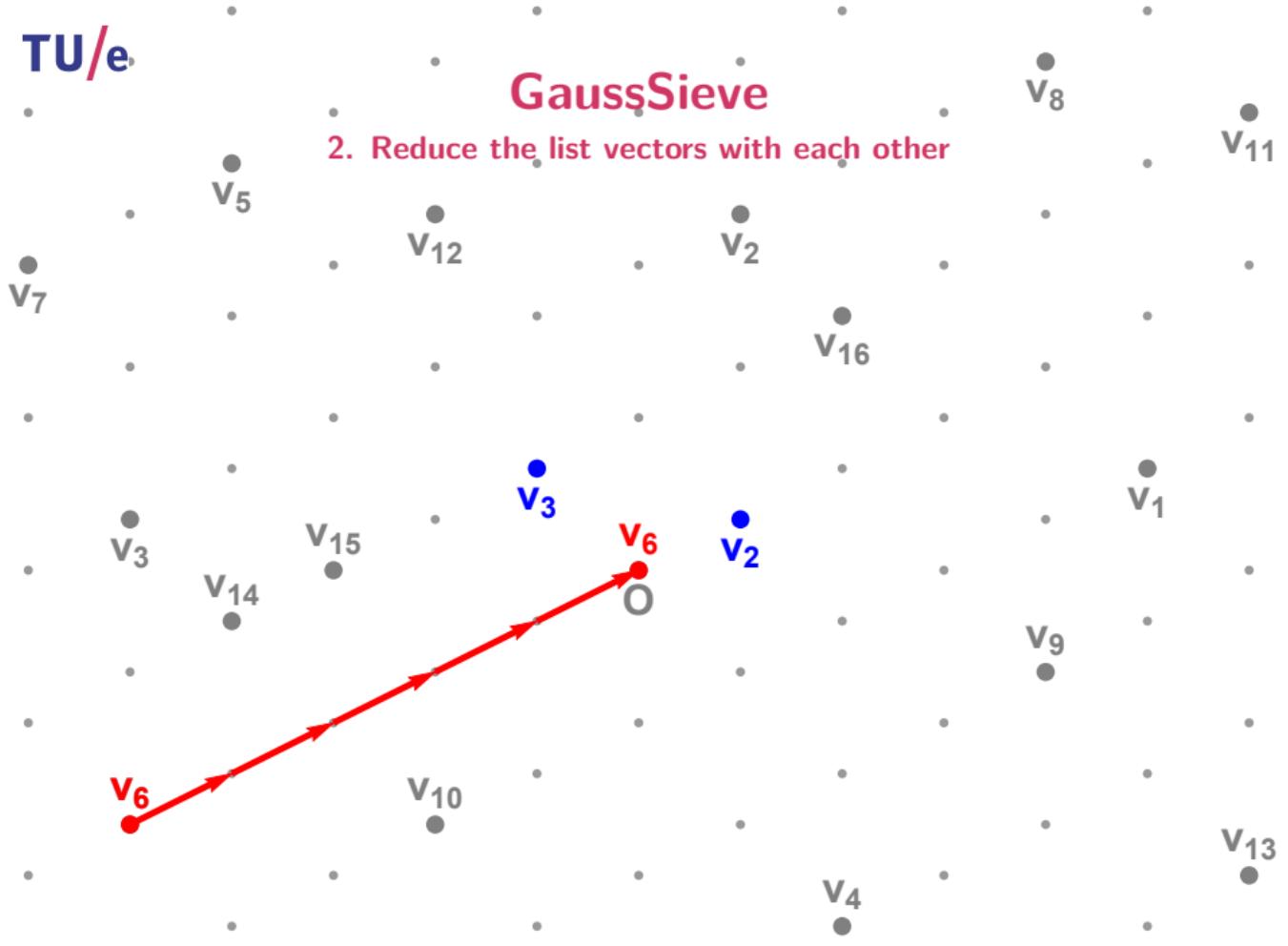
$v_8$

$v_{13}$

$v_{11}$

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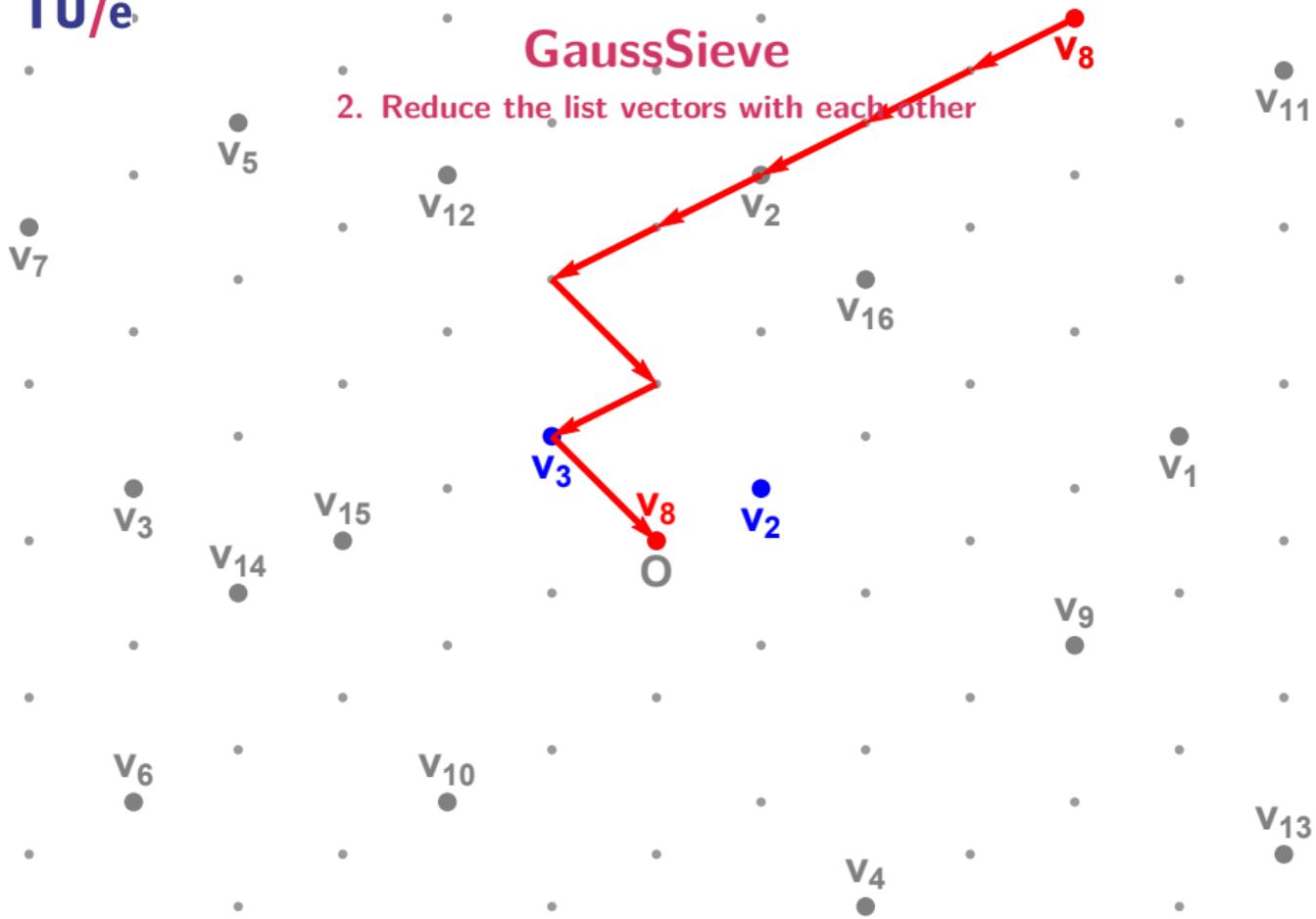
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 $v_7$  $v_5$  $v_{12}$  $v_2$  $v_{16}$  $v_3$  $v_{14}$  $v_{15}$  $v_2$  $v_6$  $v_{10}$  $v_4$  $v_9$  $v_1$  $v_{13}$  $v_{11}$  $O$  $v_3$  $v_7$

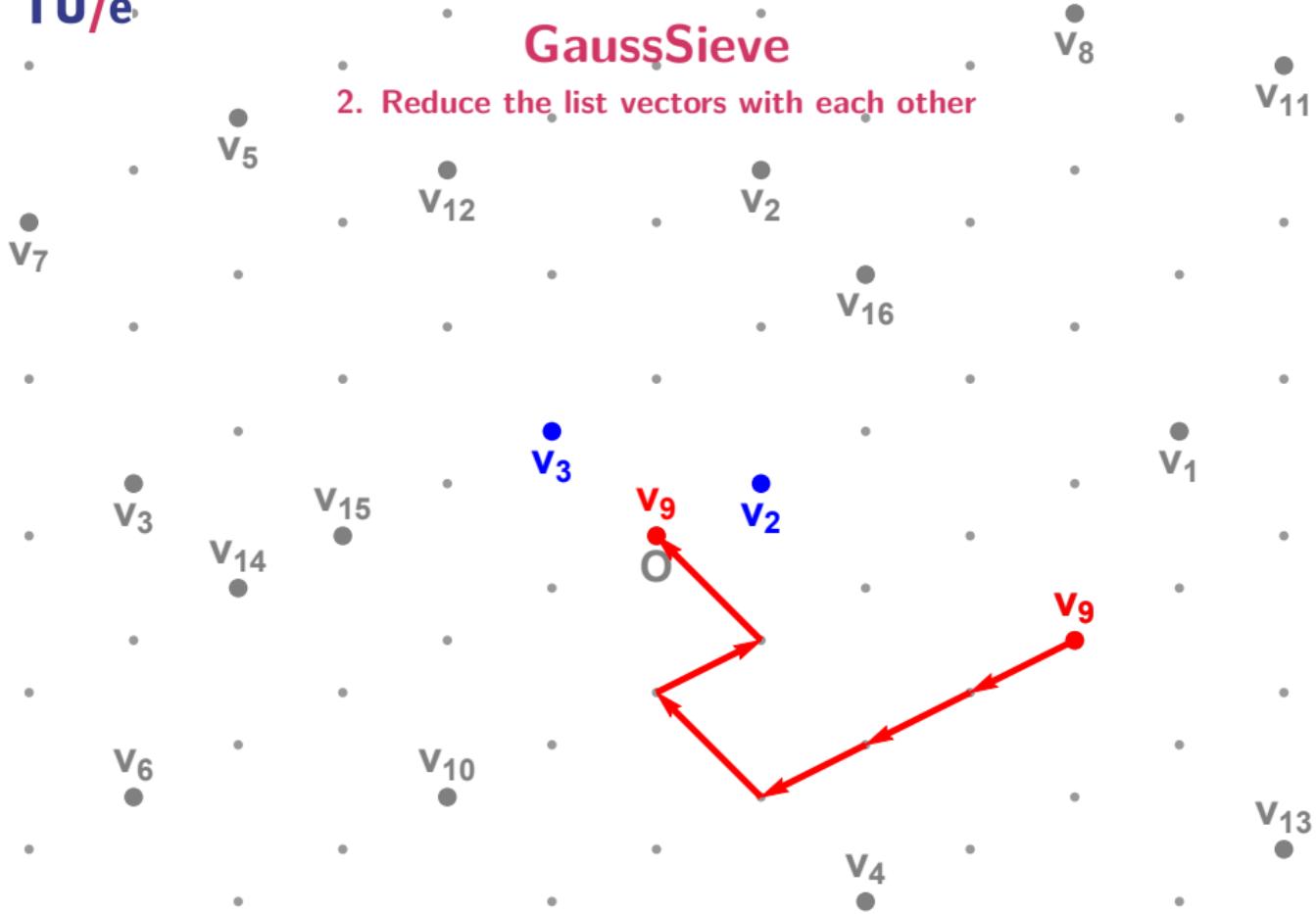
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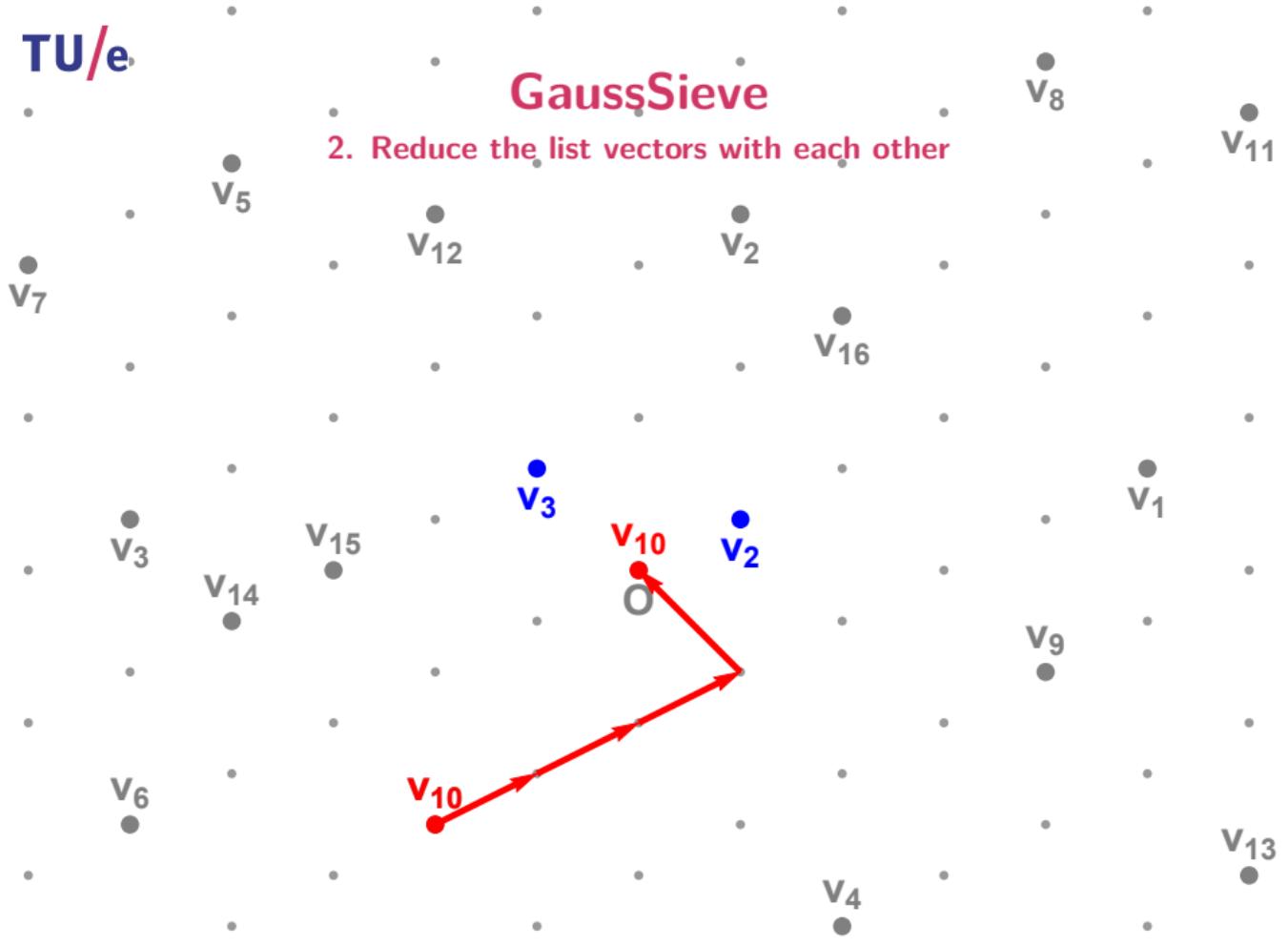
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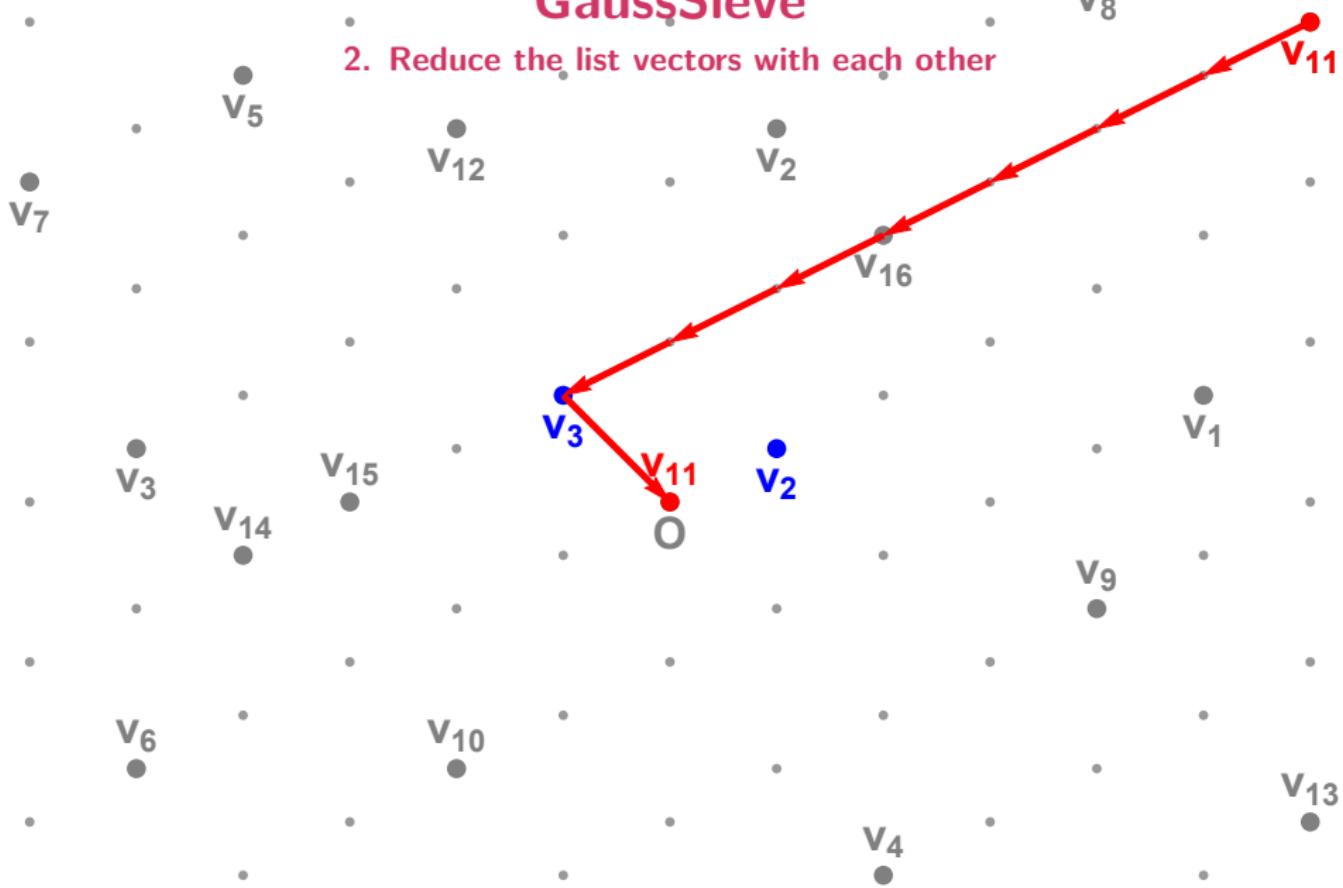
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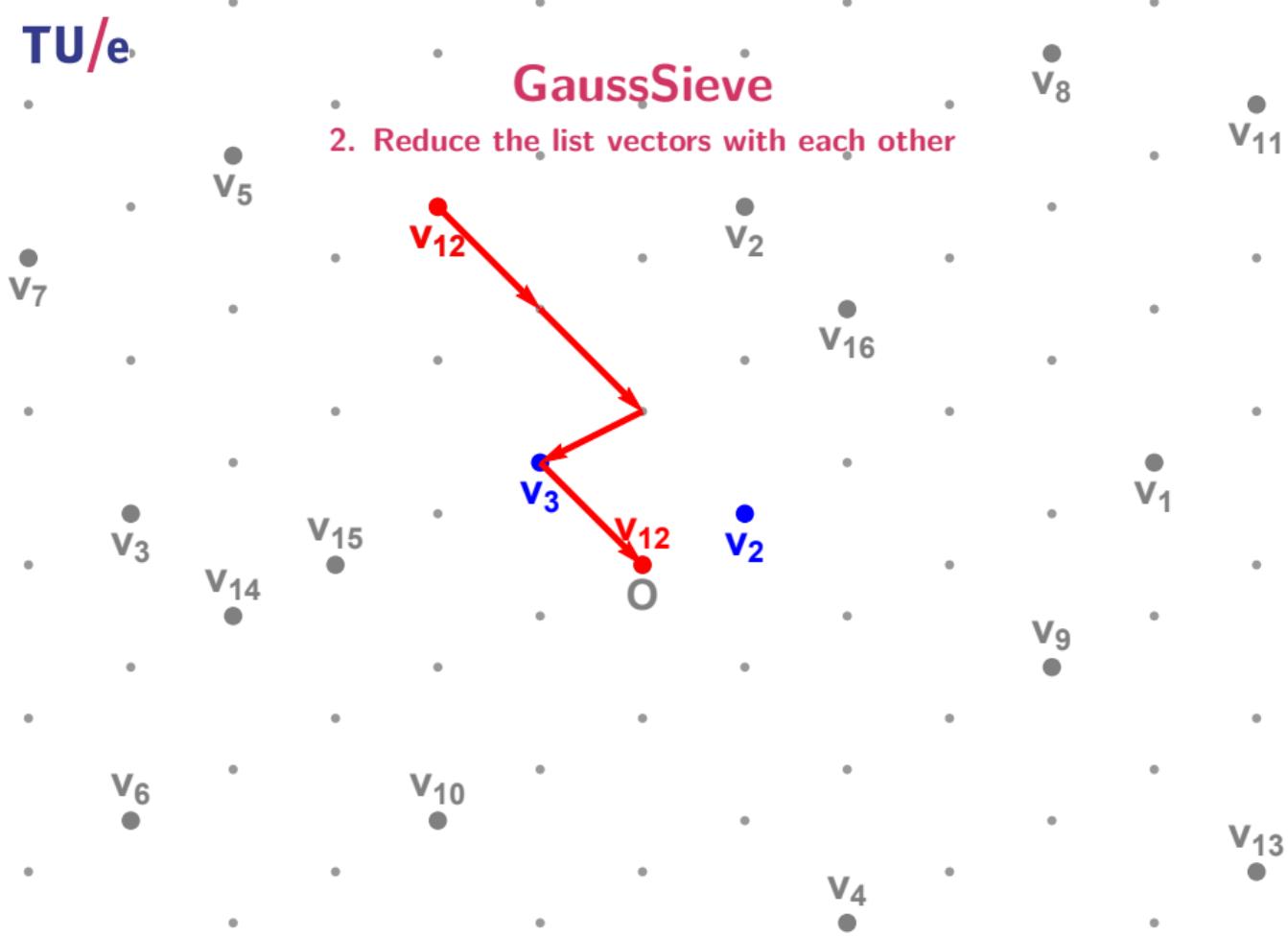
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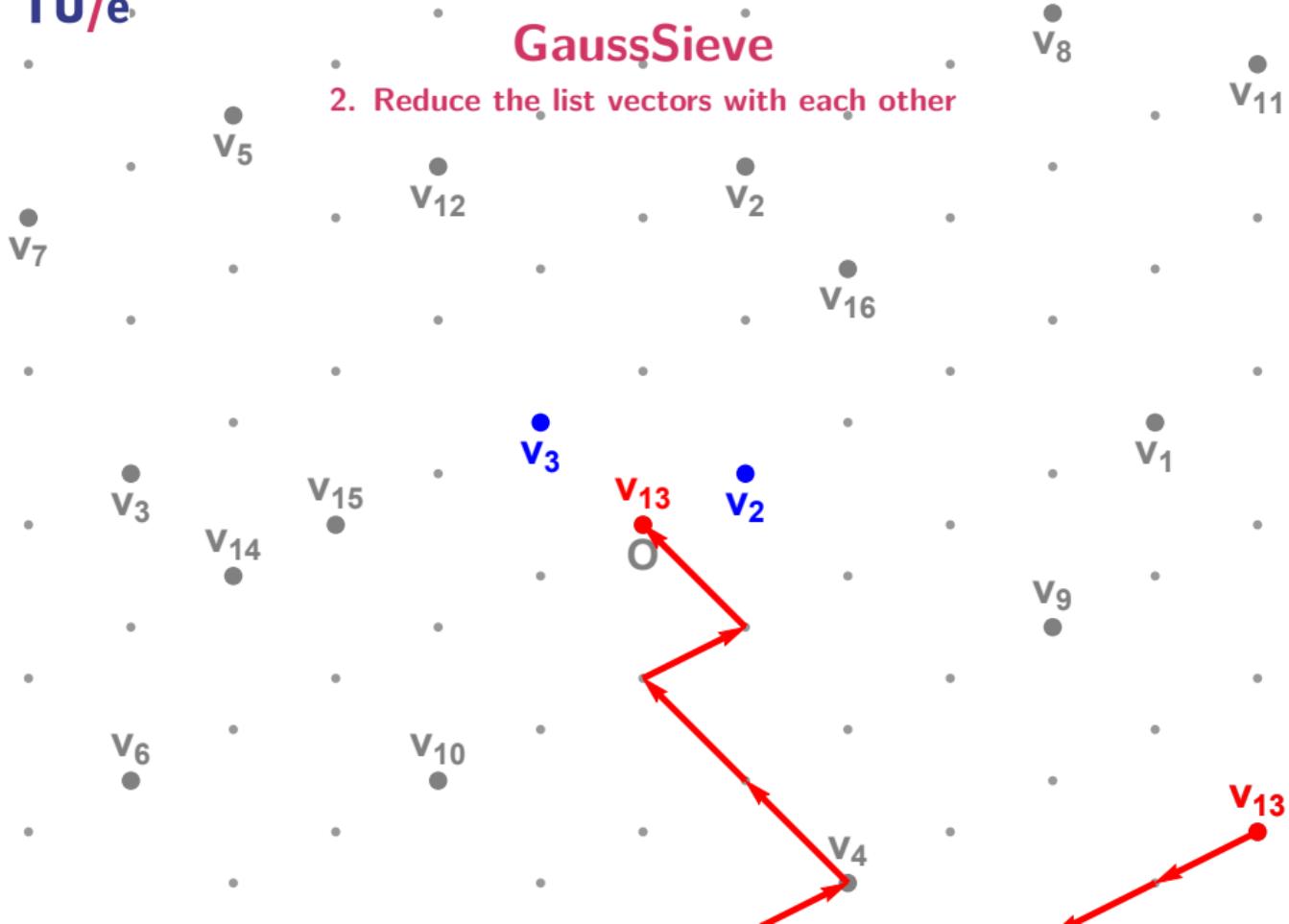
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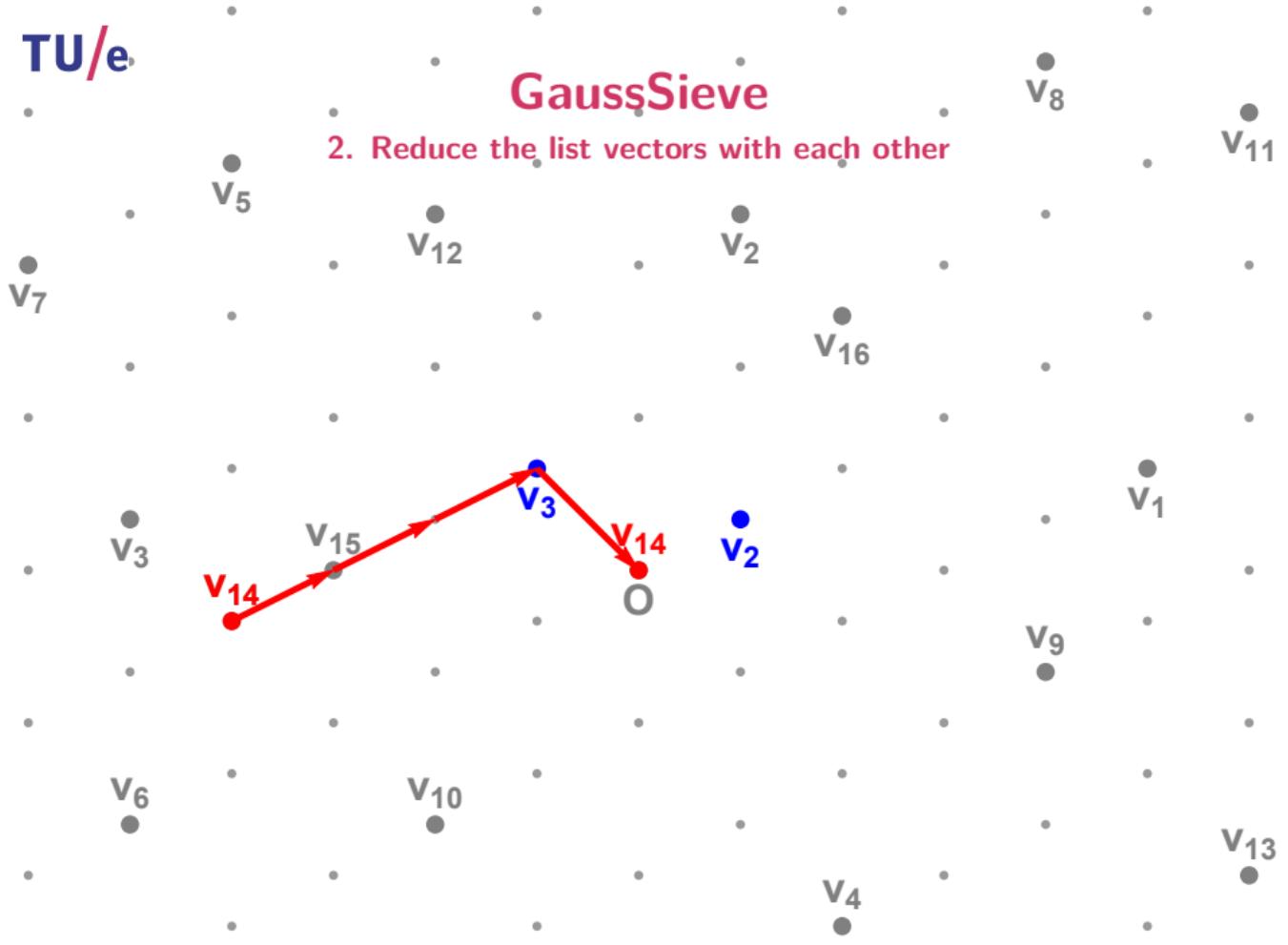
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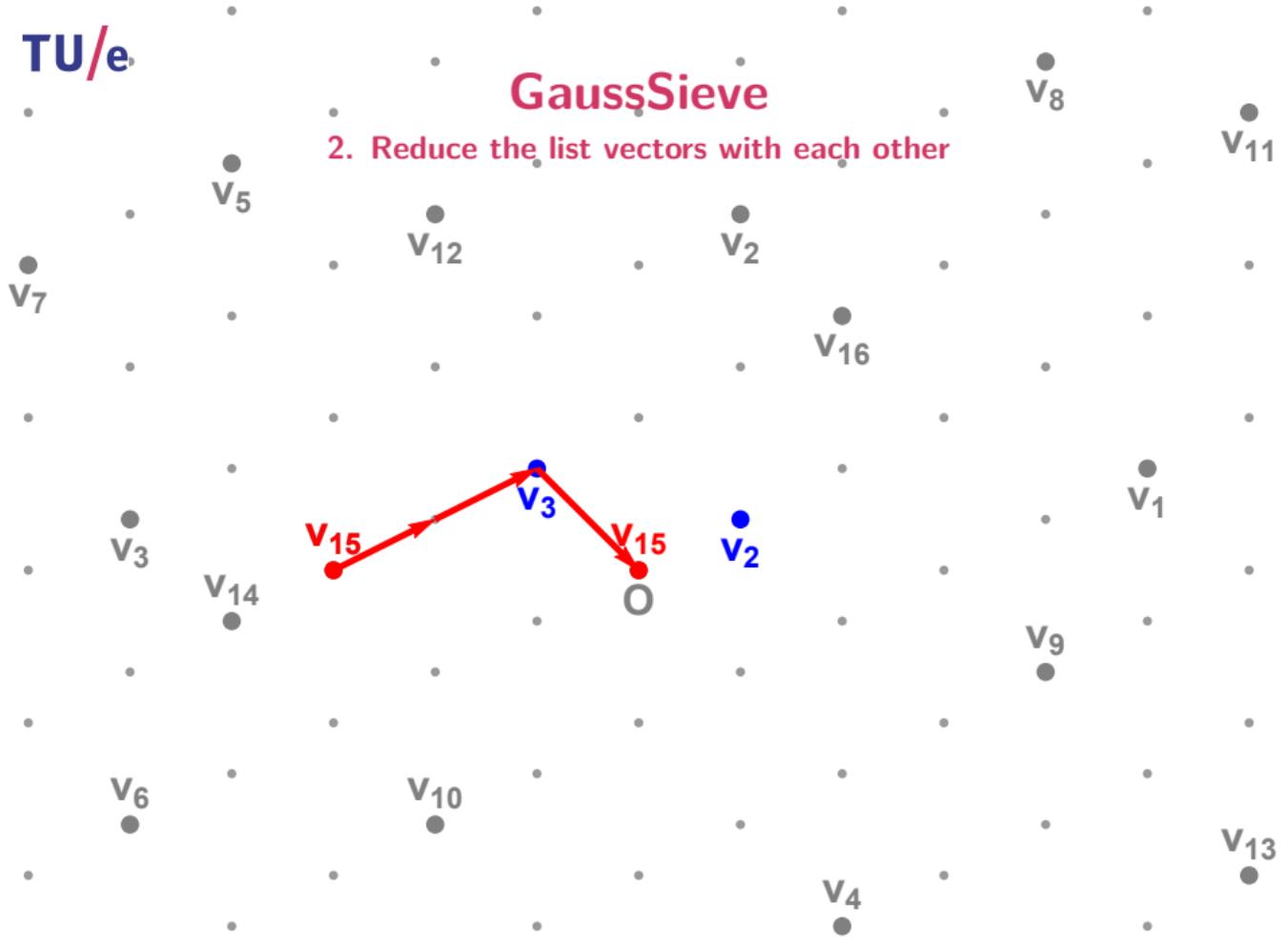
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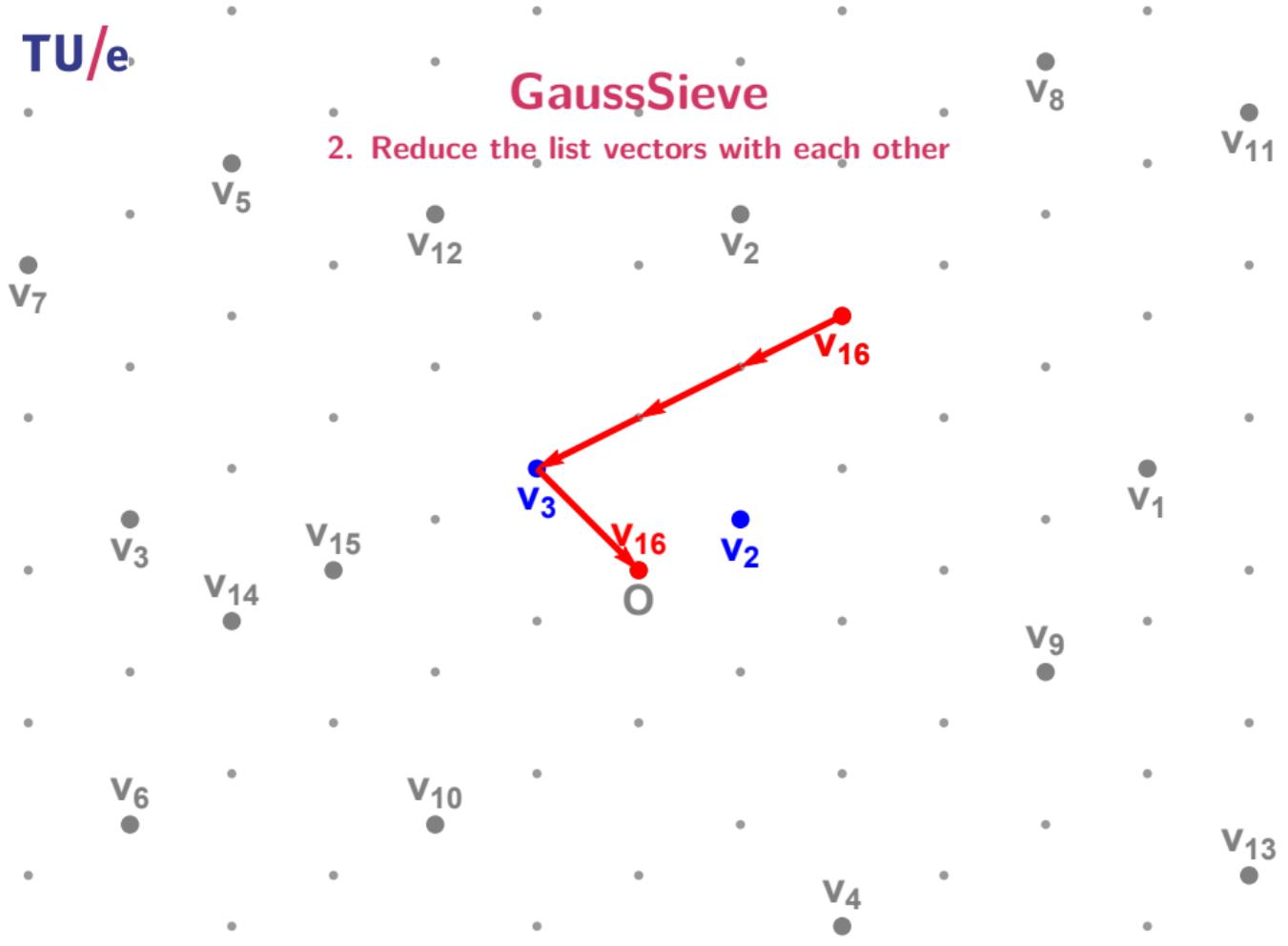
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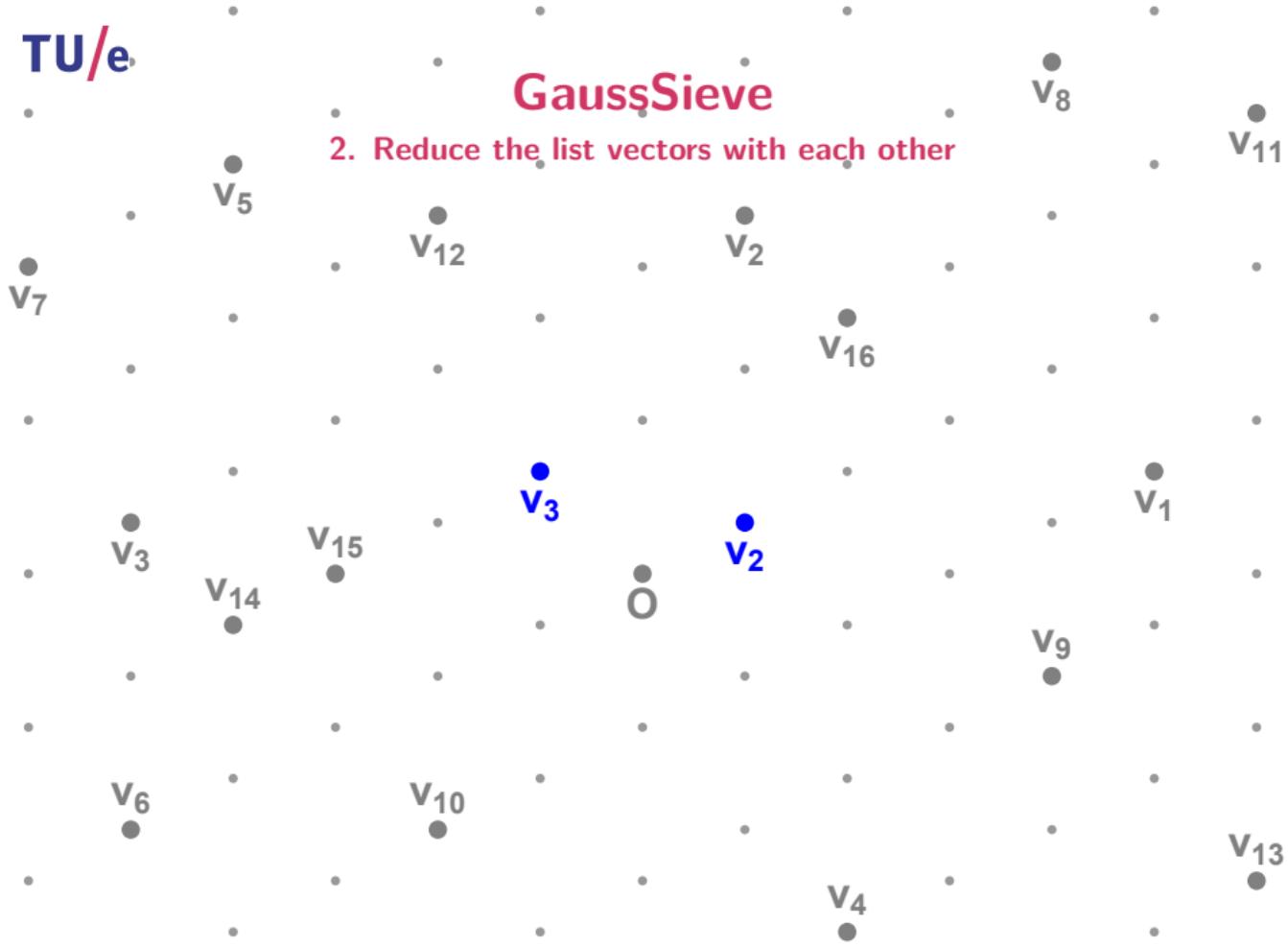
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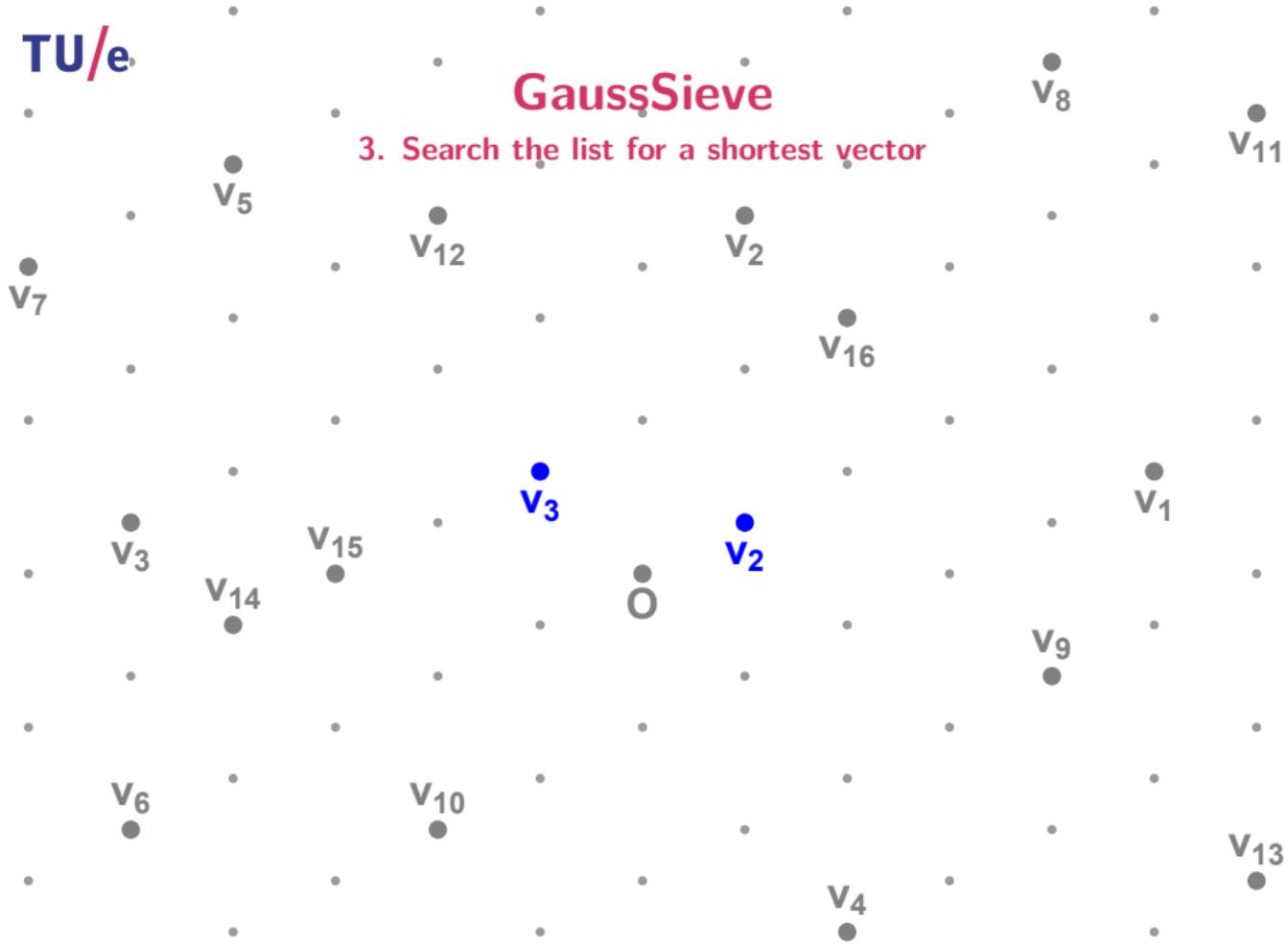
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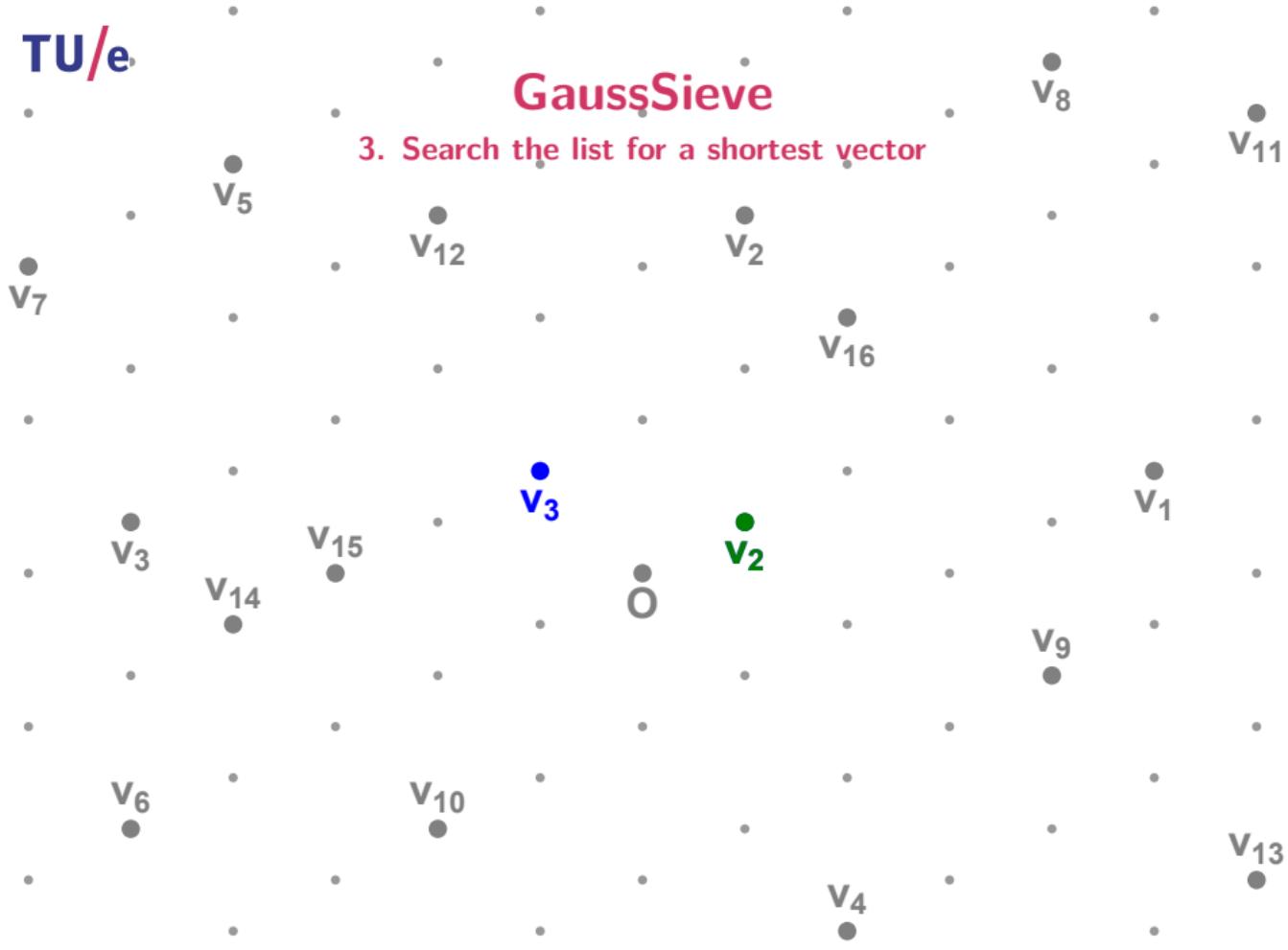
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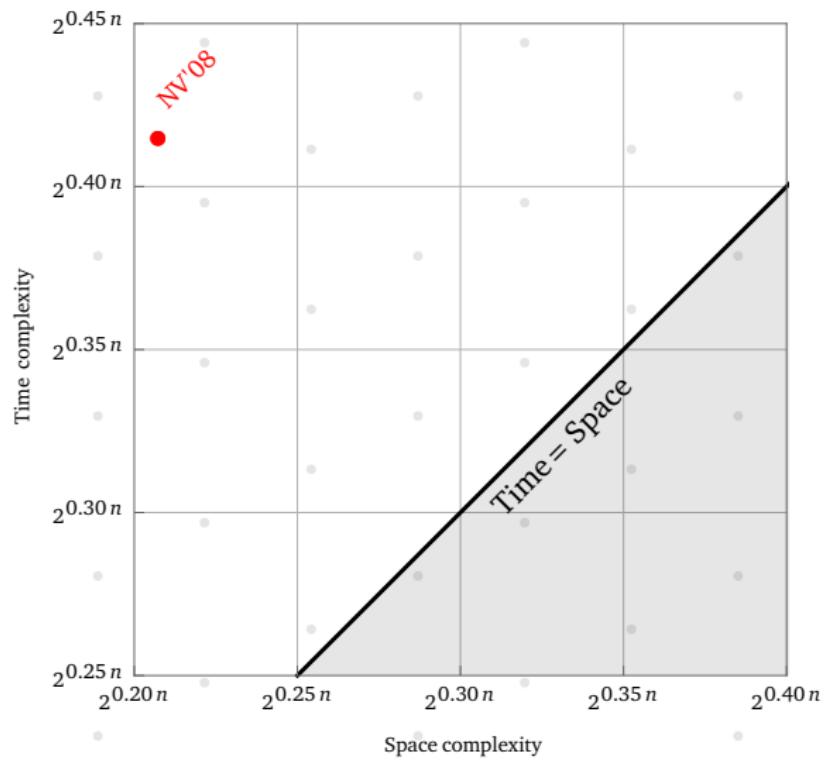
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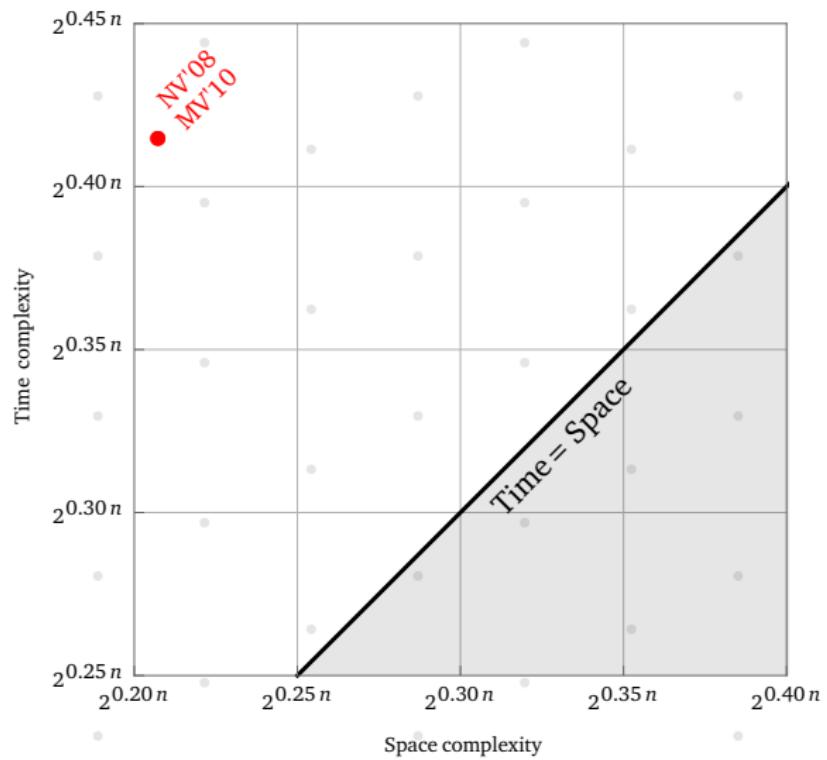
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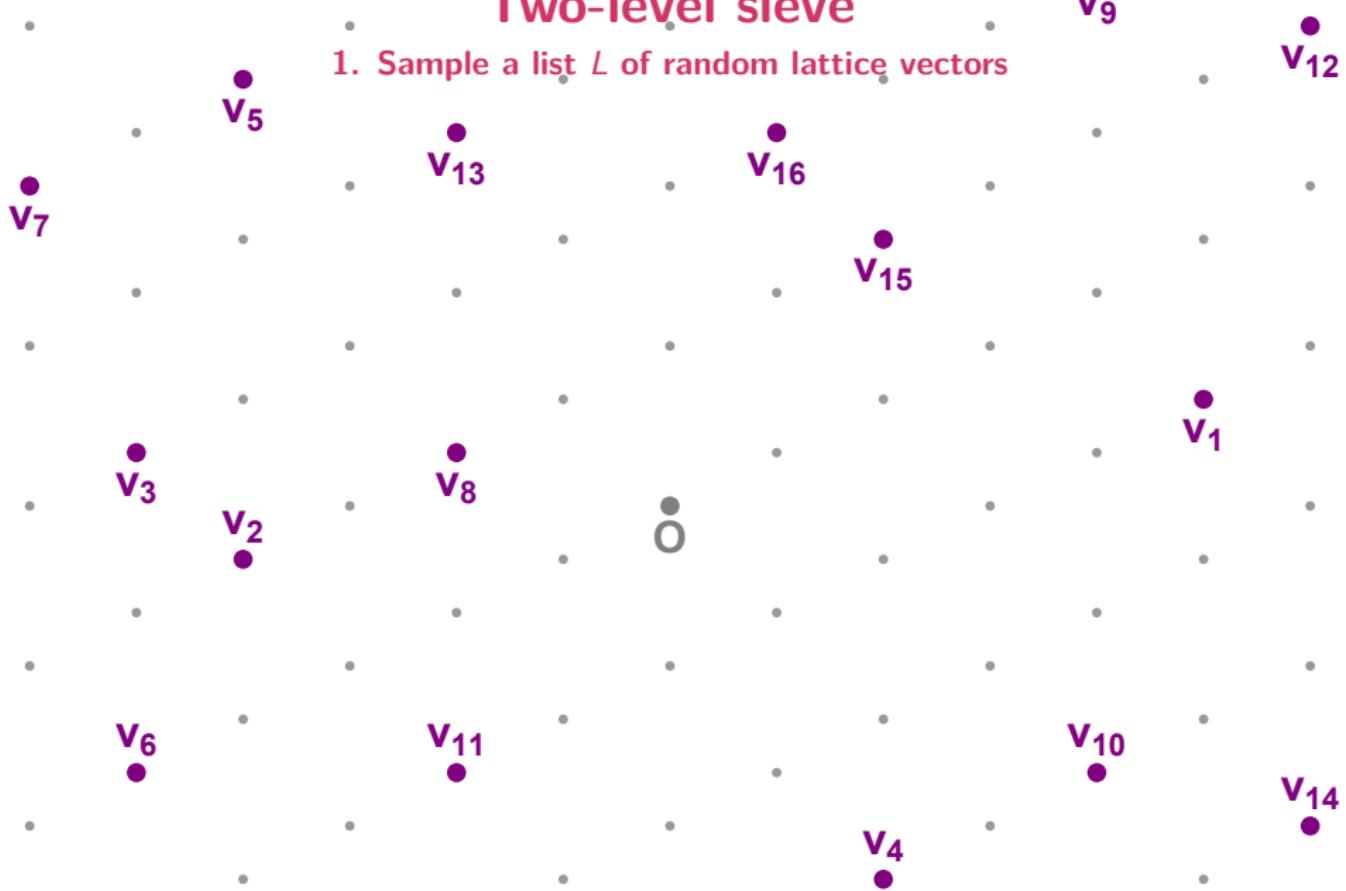
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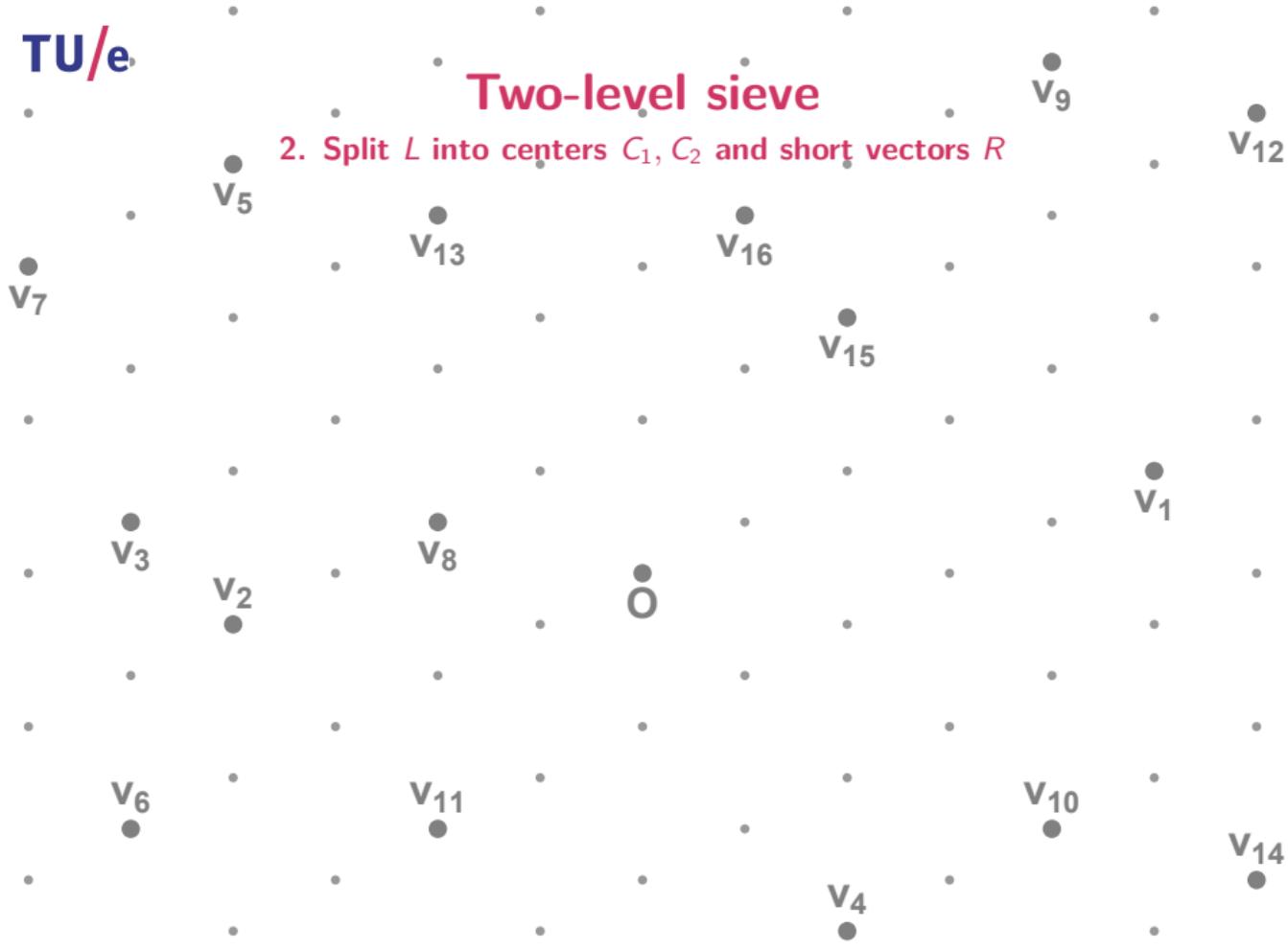
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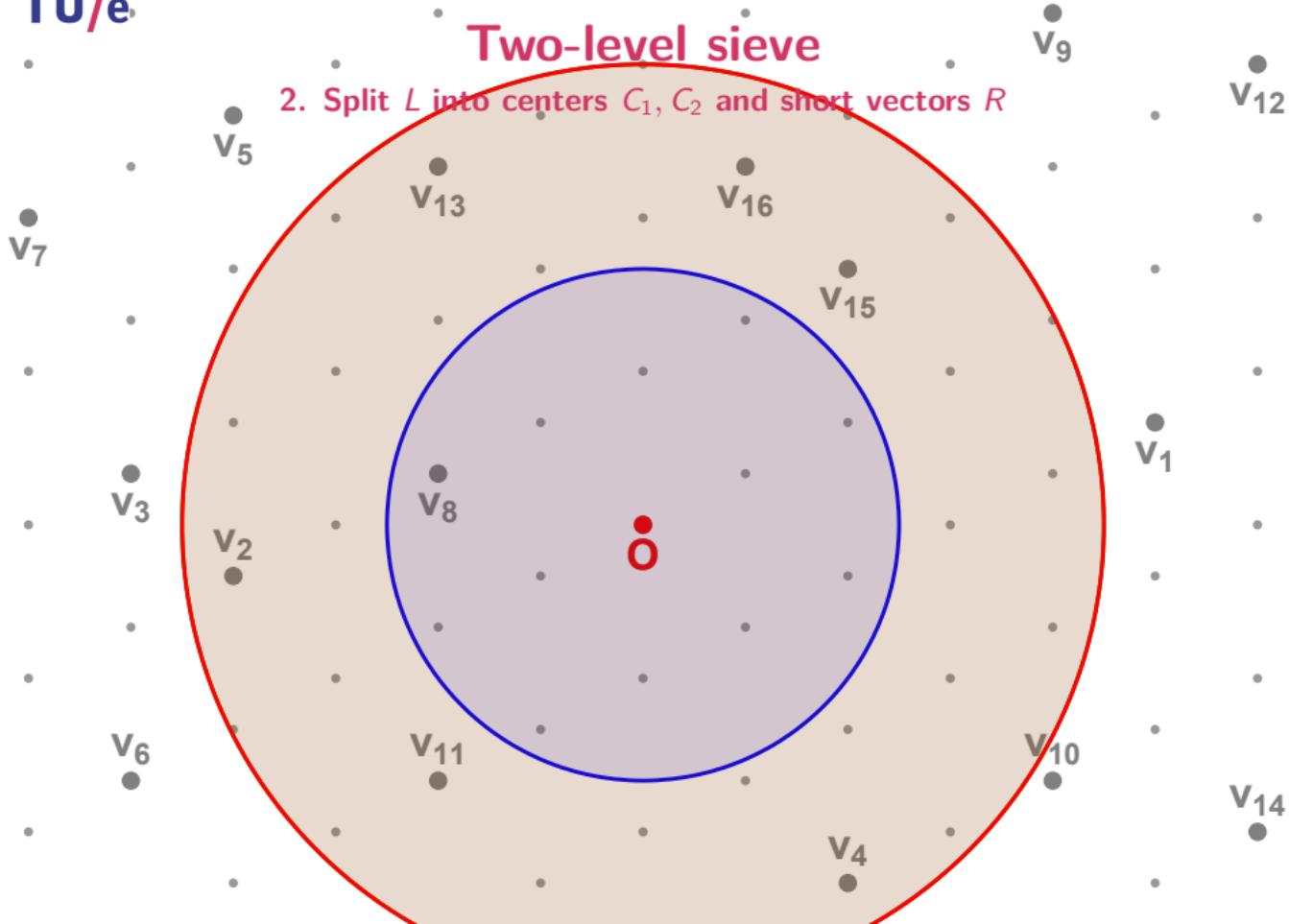
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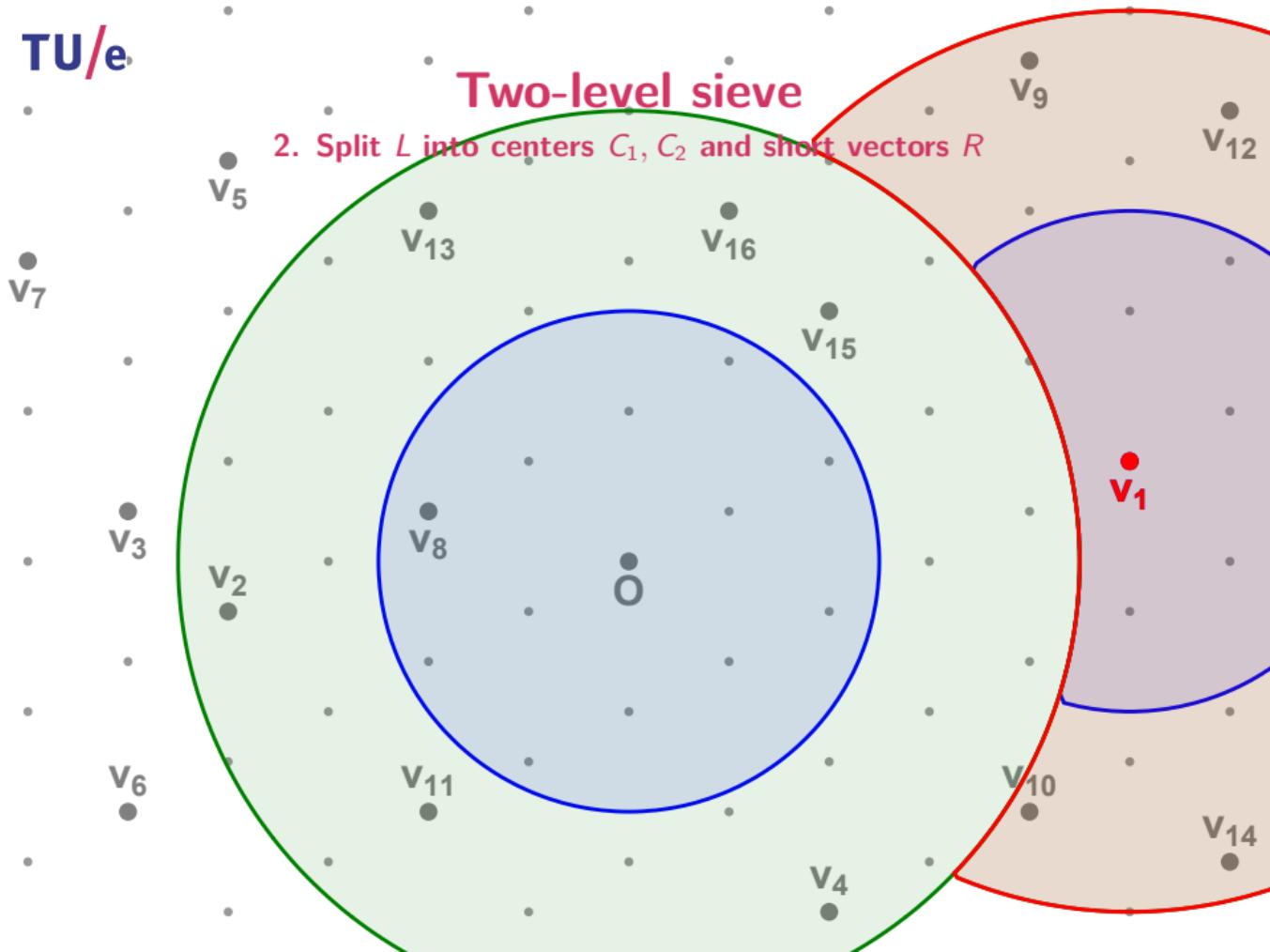
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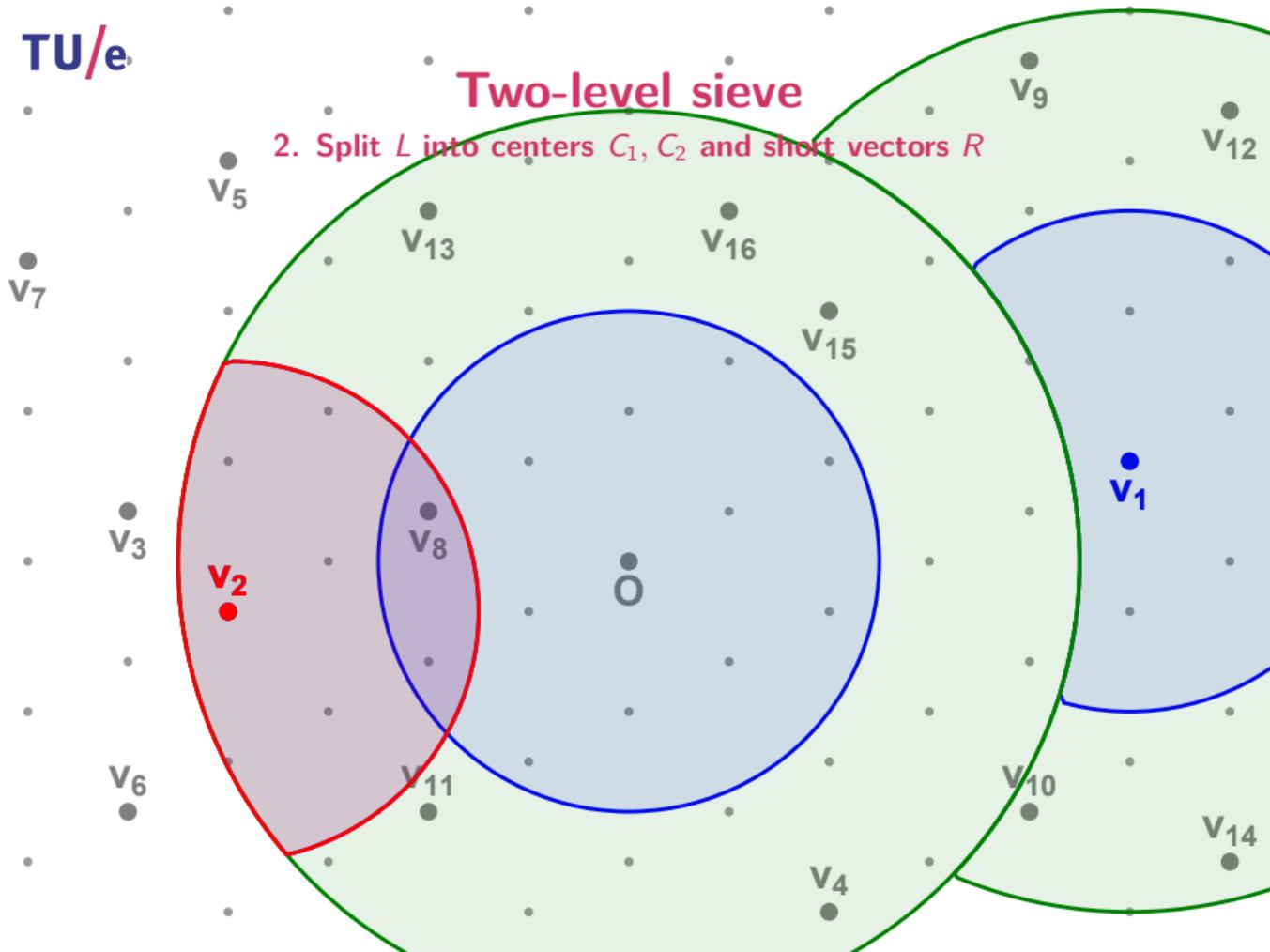
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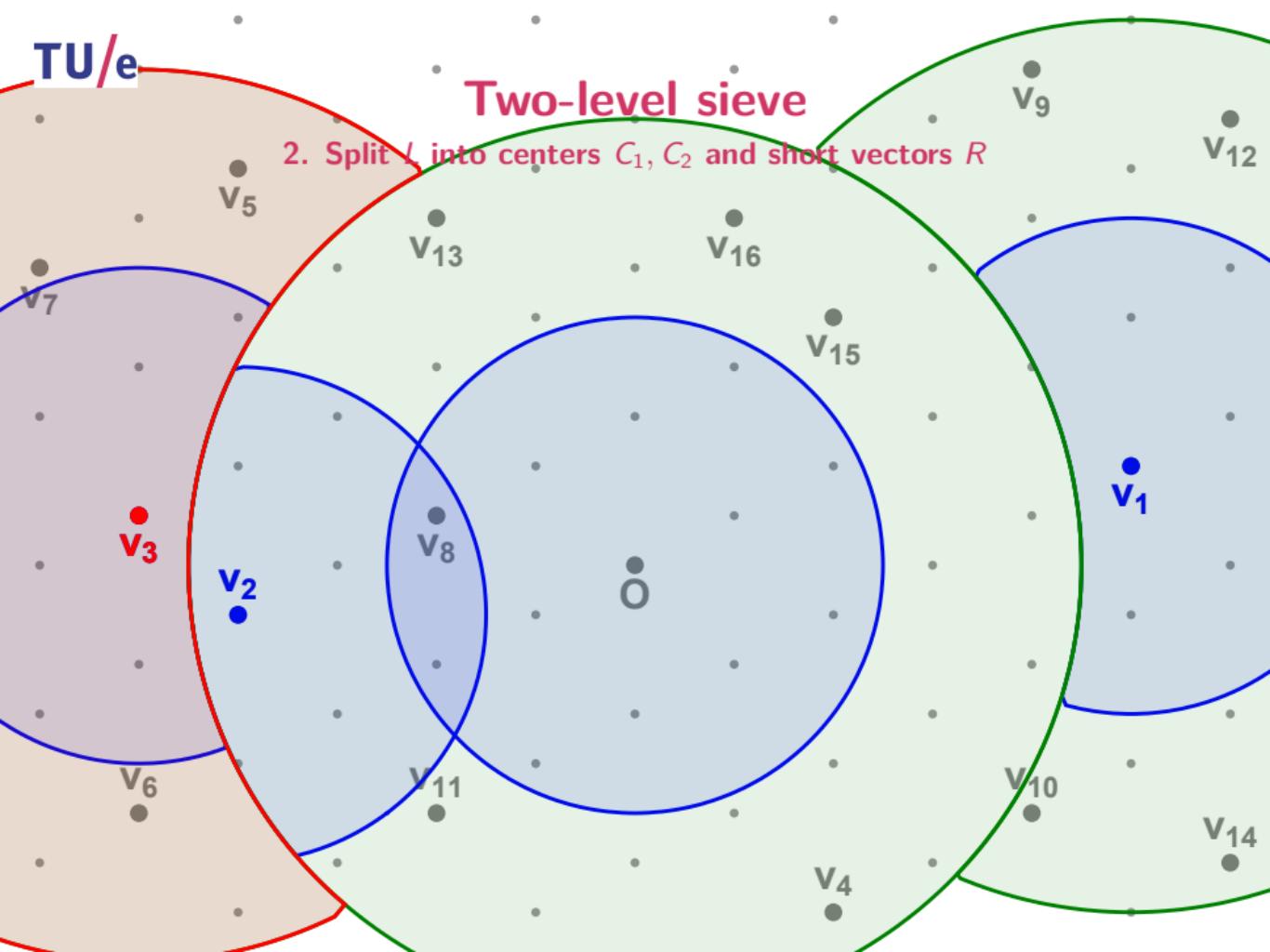
## Two-level sieve

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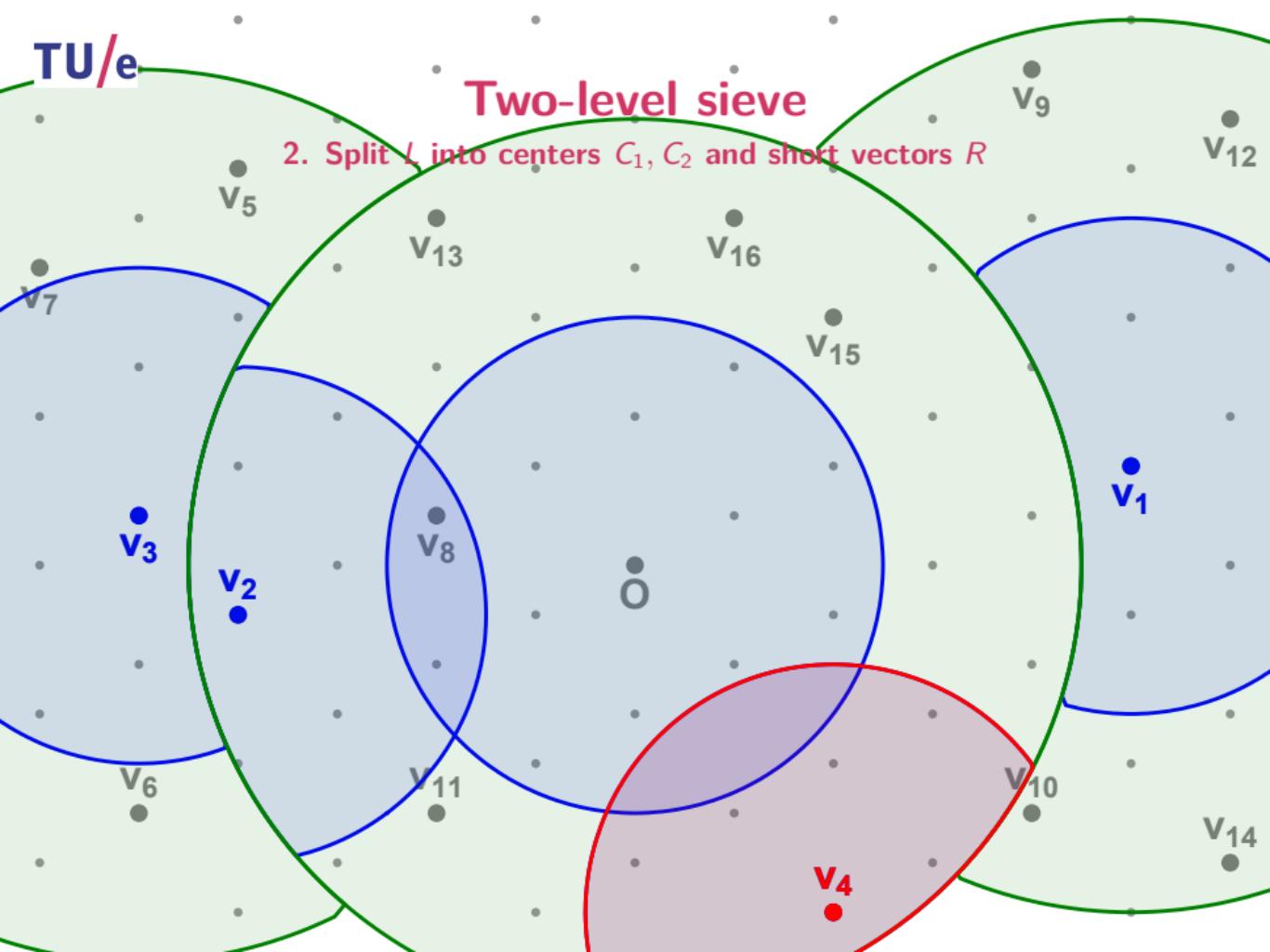
## Two-level sieve

2. Split  $\mathcal{V}$  into centers  $C_1, C_2$  and short vectors  $R$



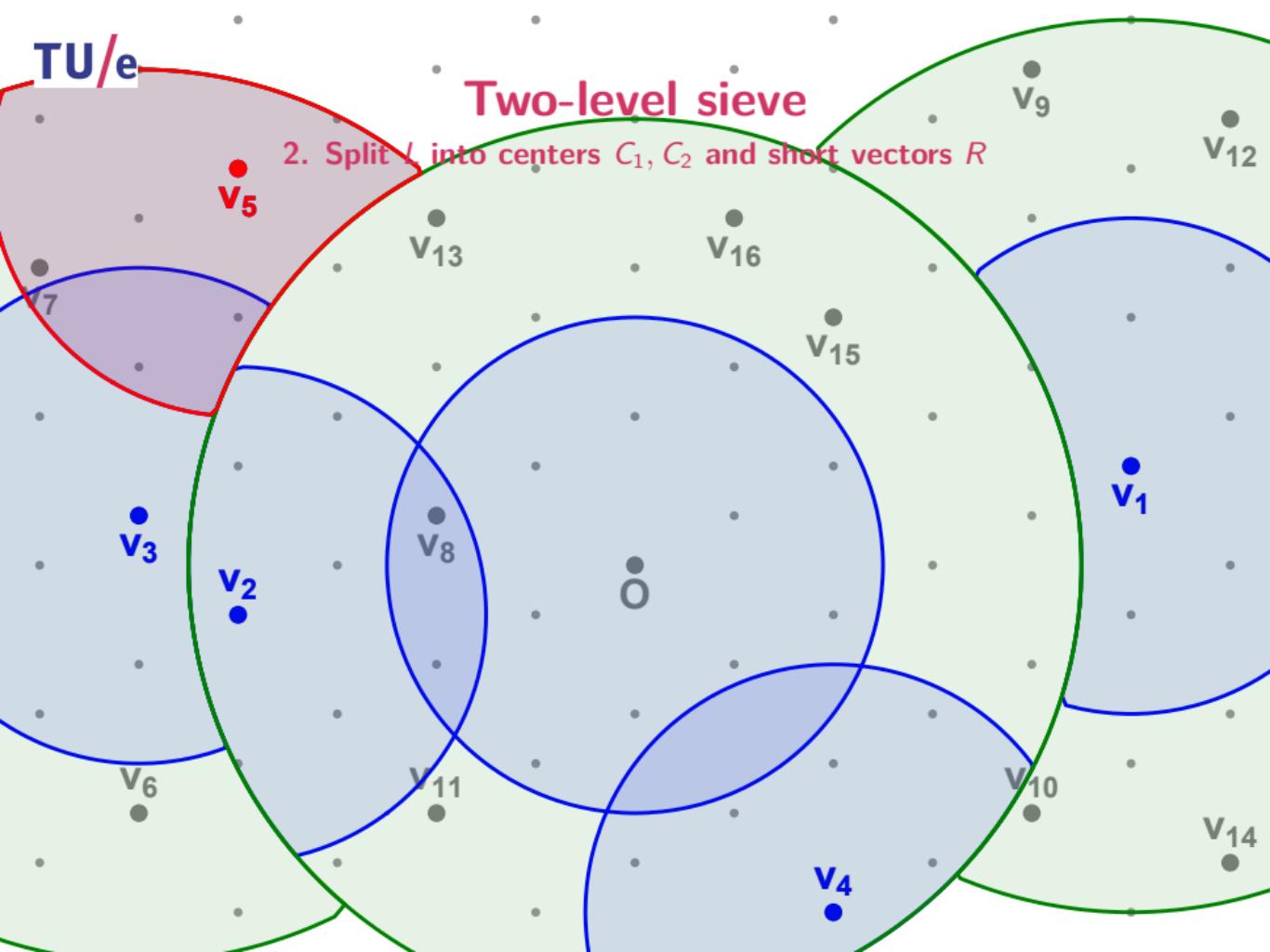
## Two-level sieve

2. Split  $L$  into centers  $C_1, C_2$  and short vectors  $R$



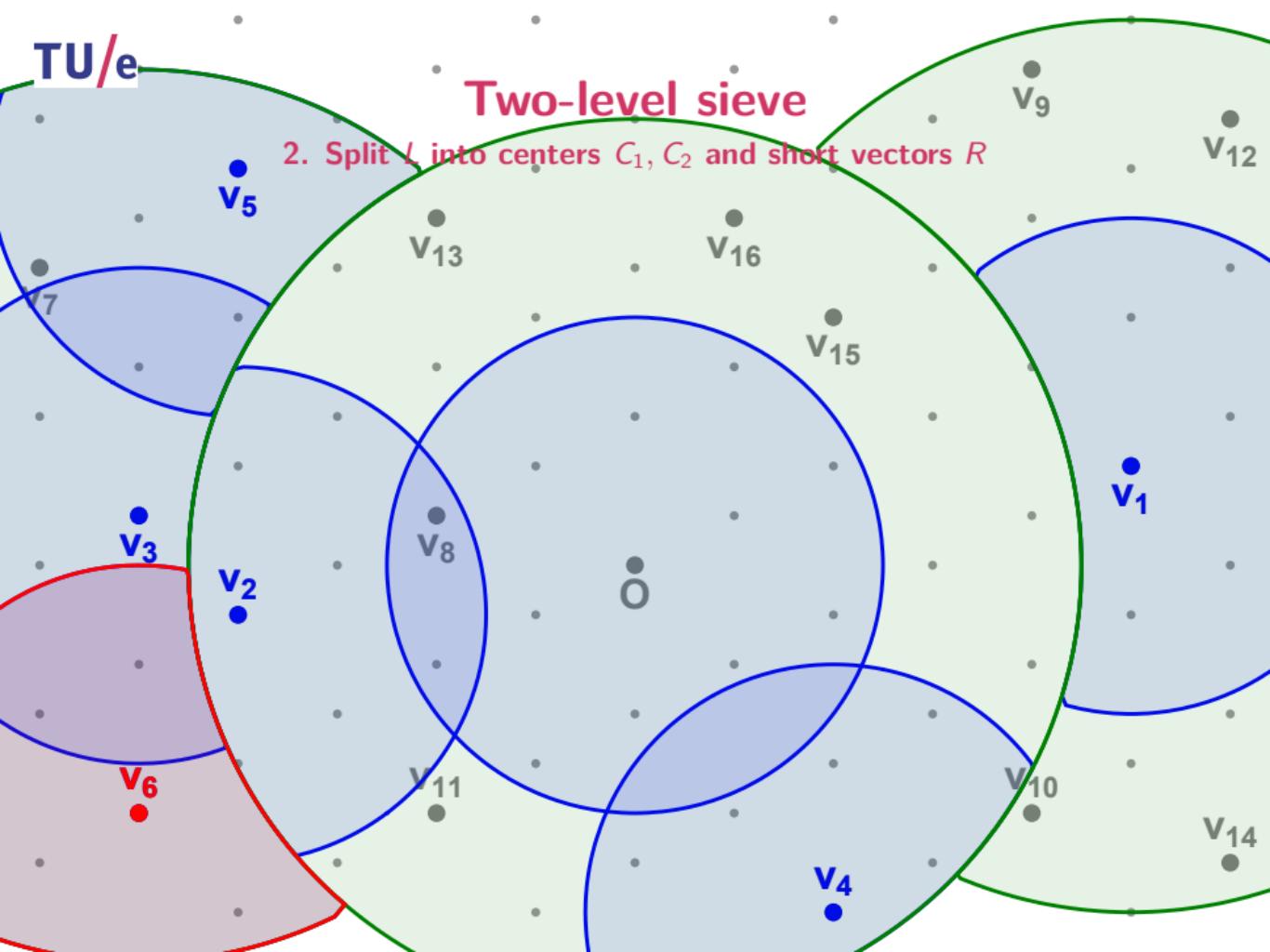
## Two-level sieve

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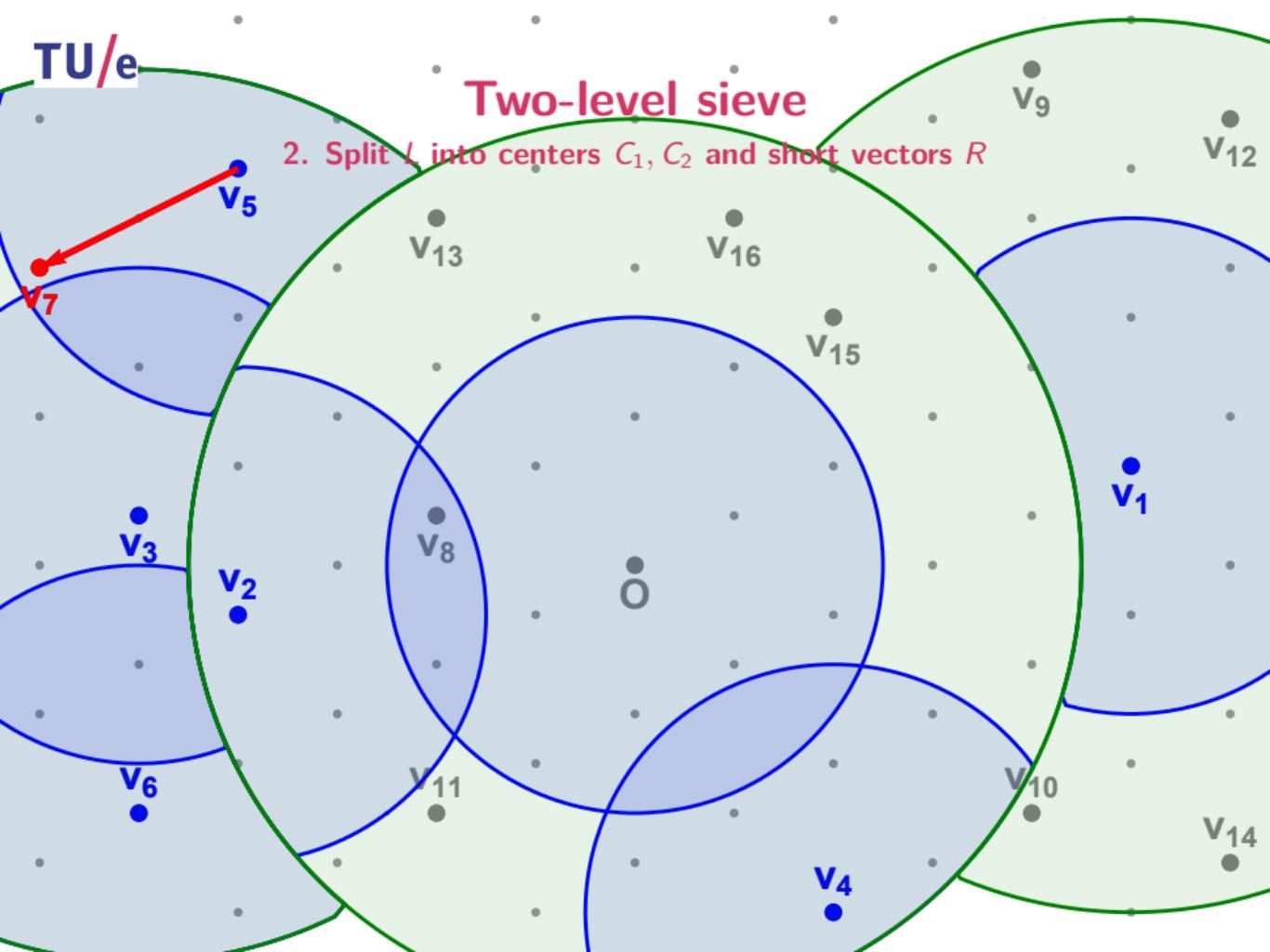
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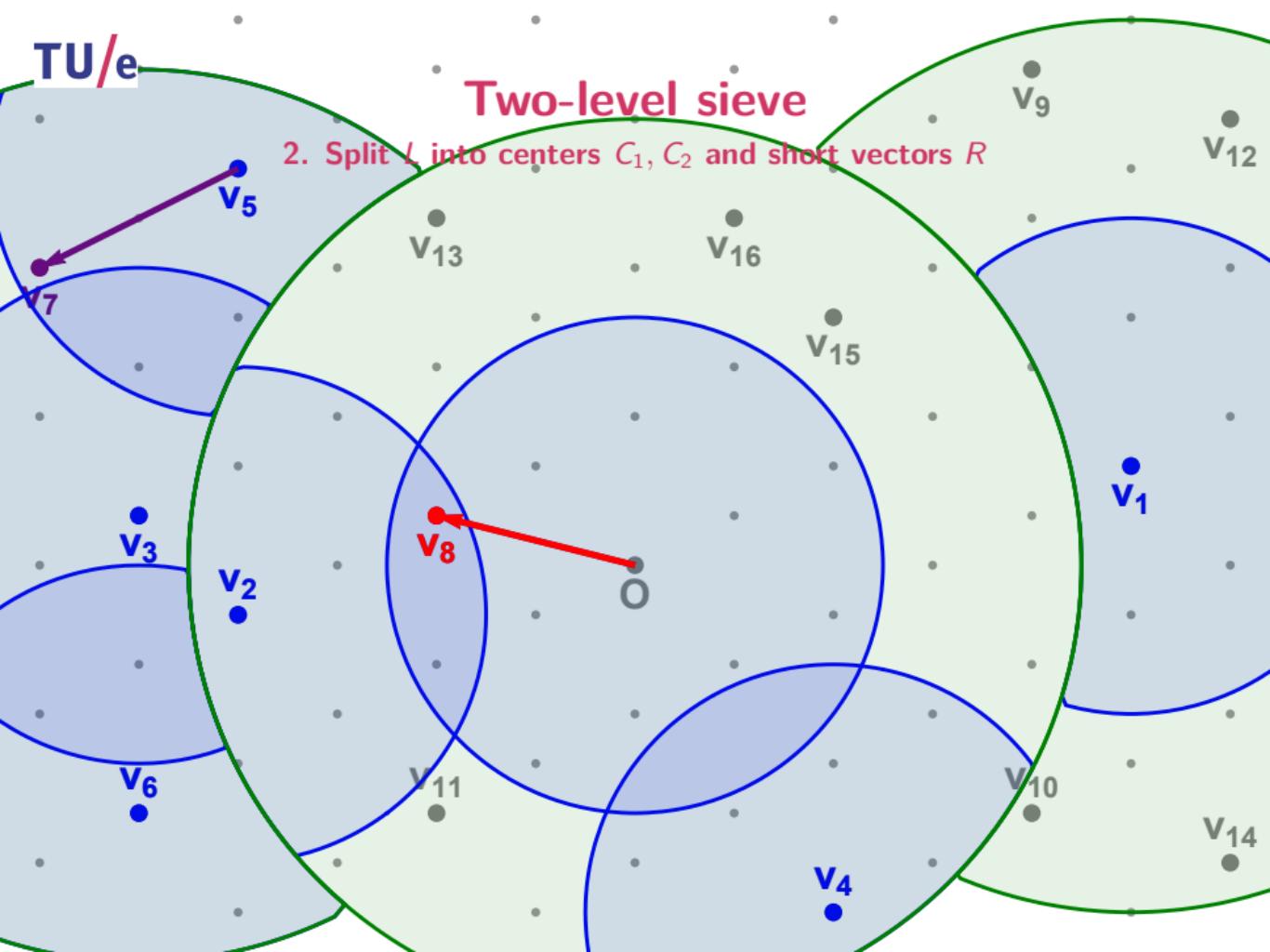
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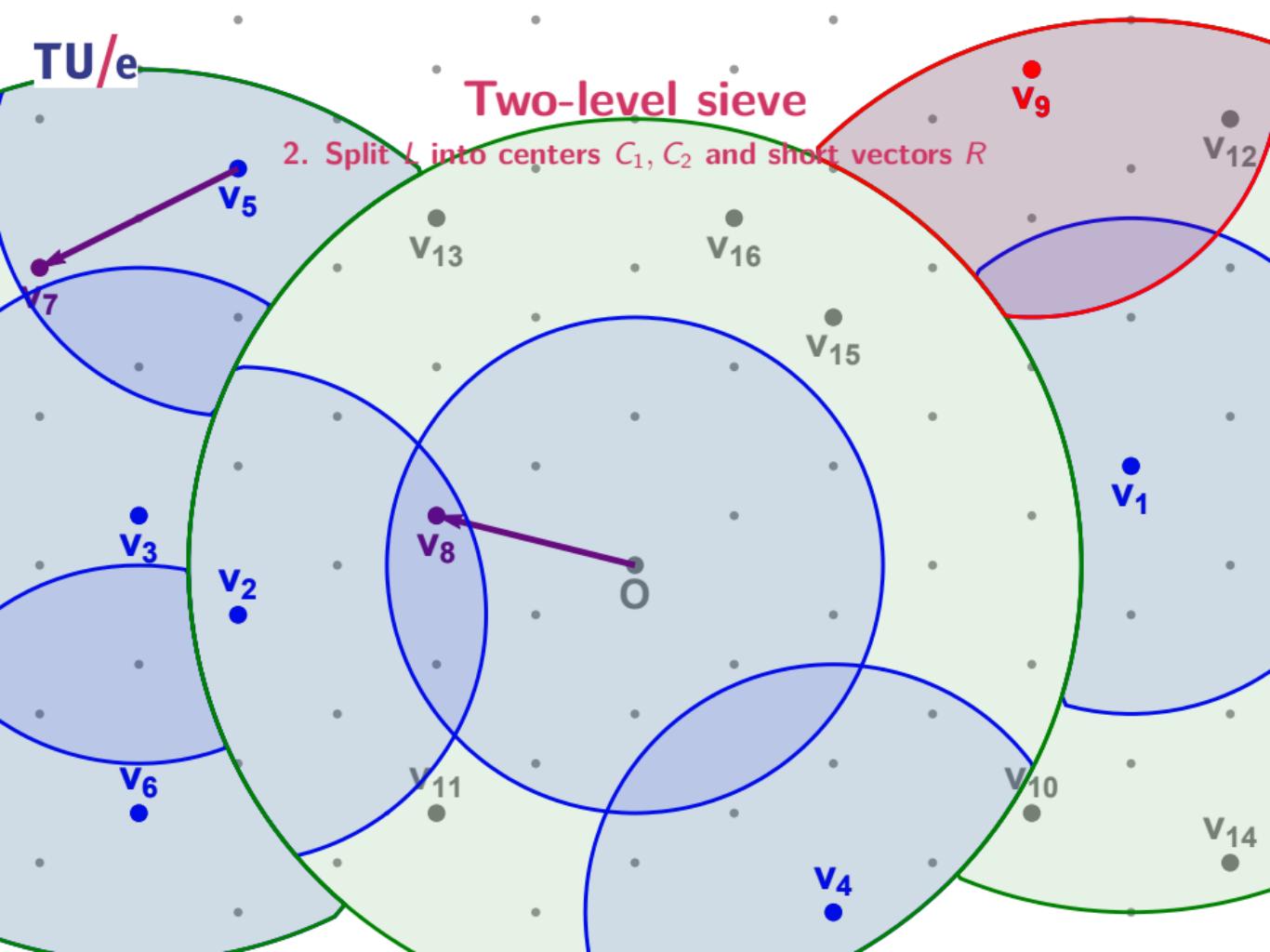
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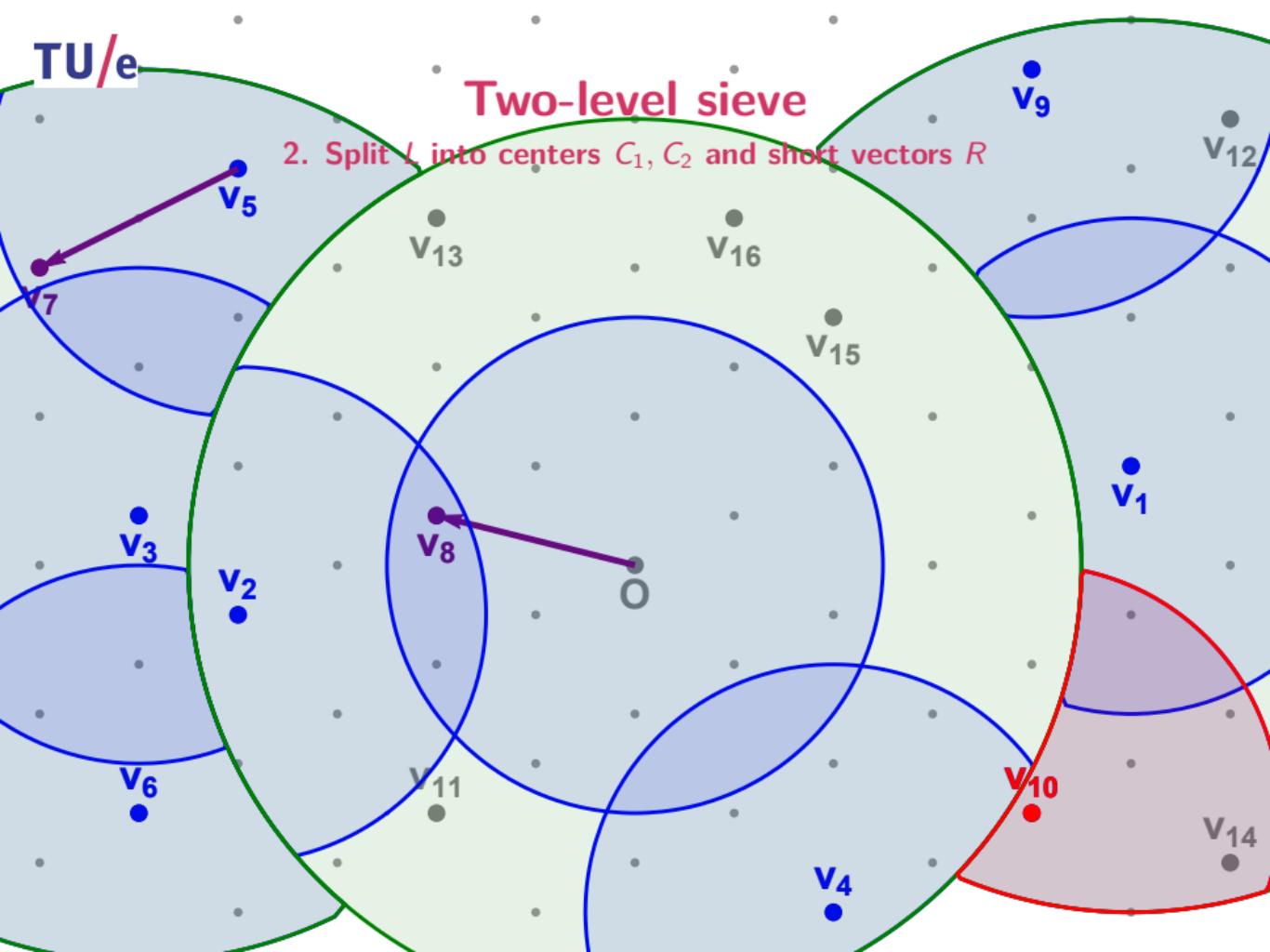
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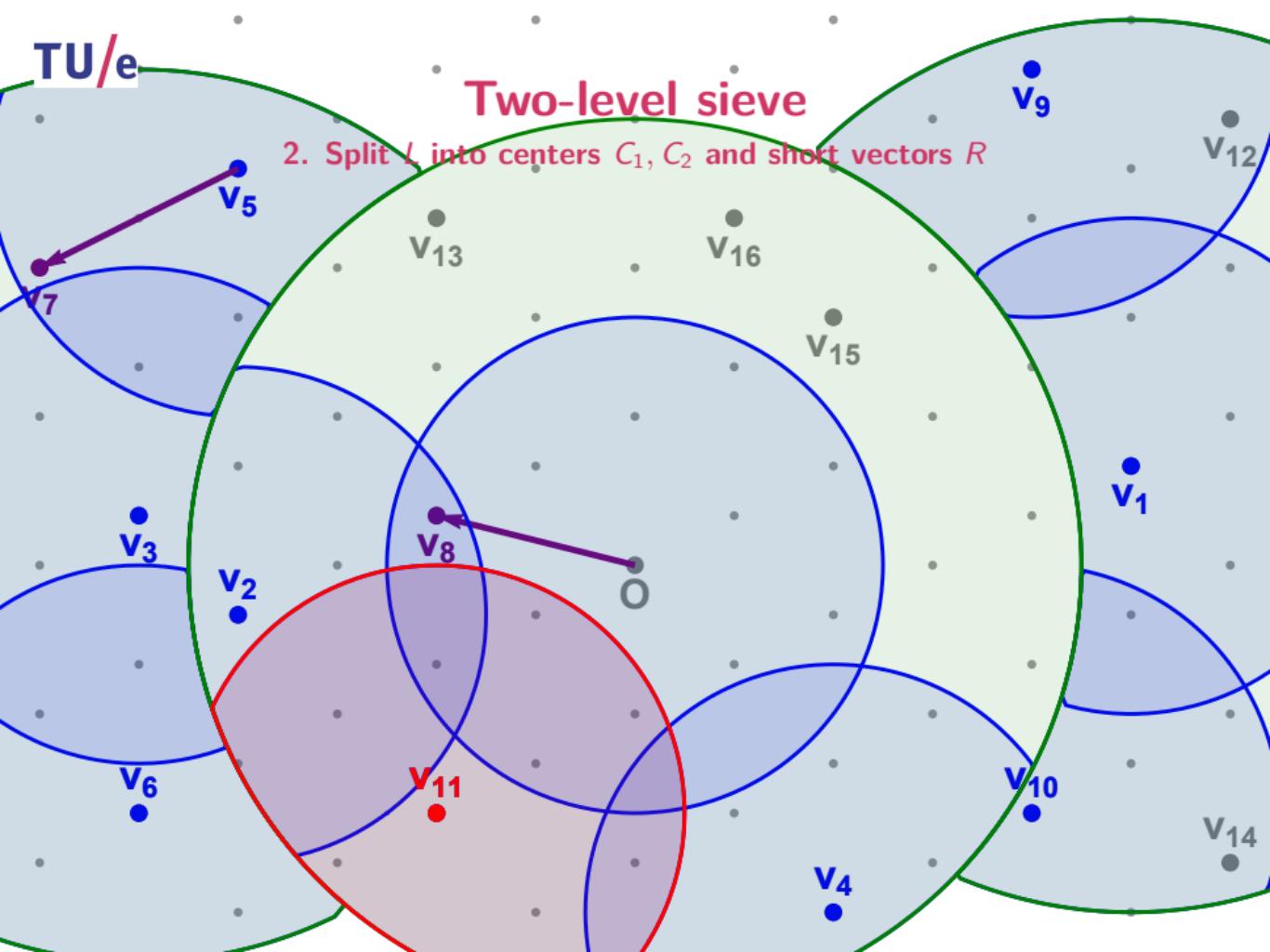
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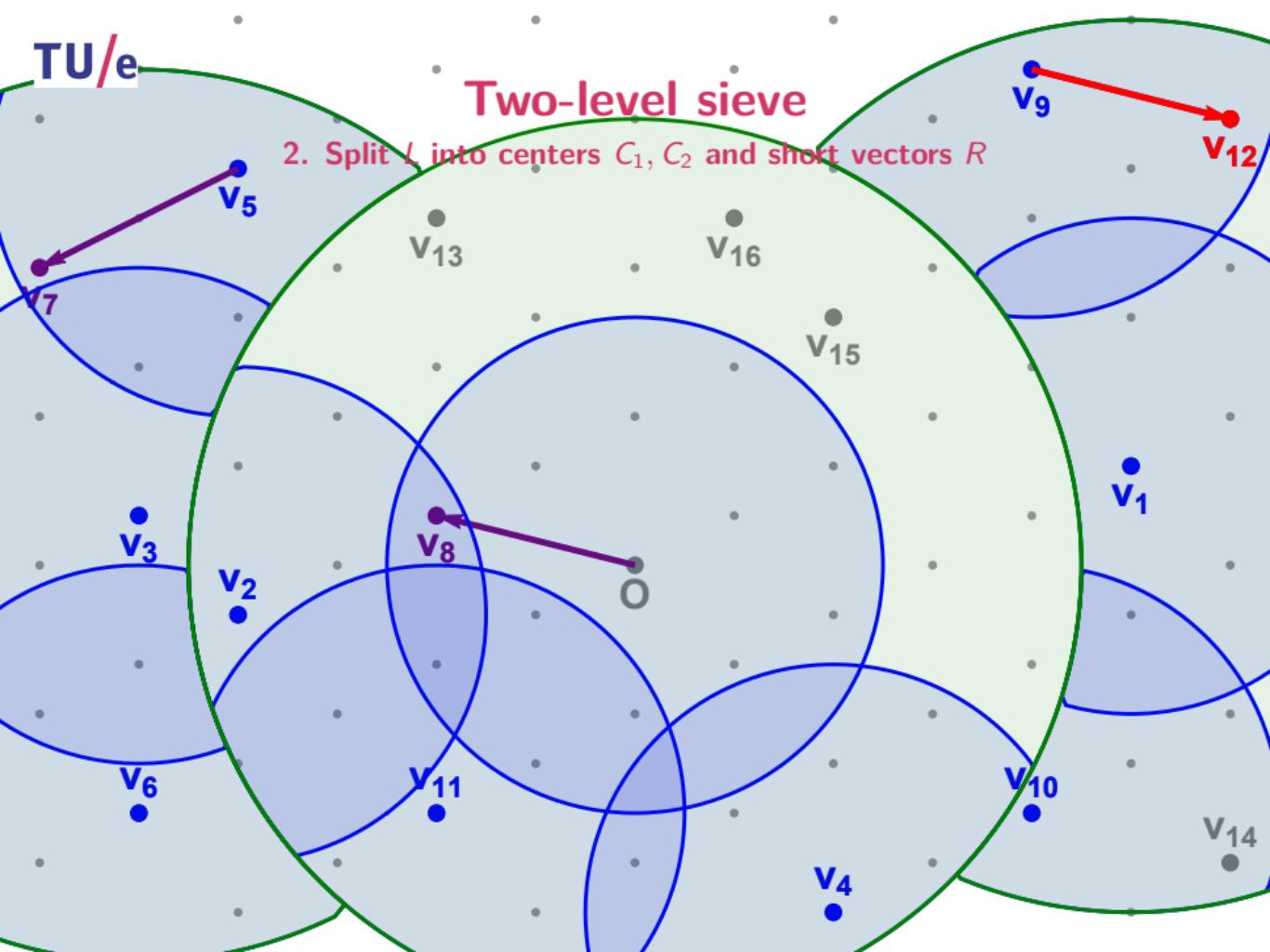
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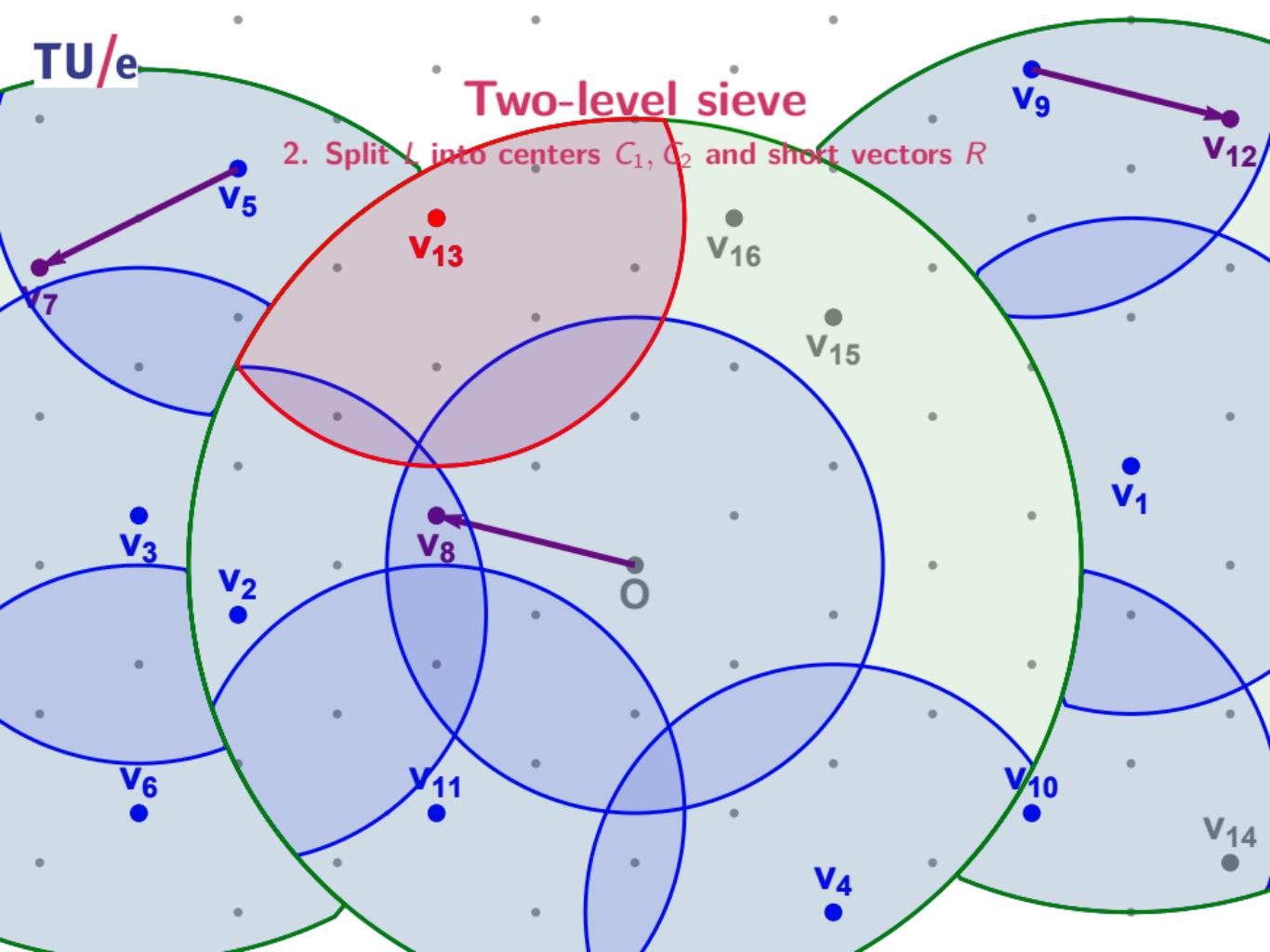
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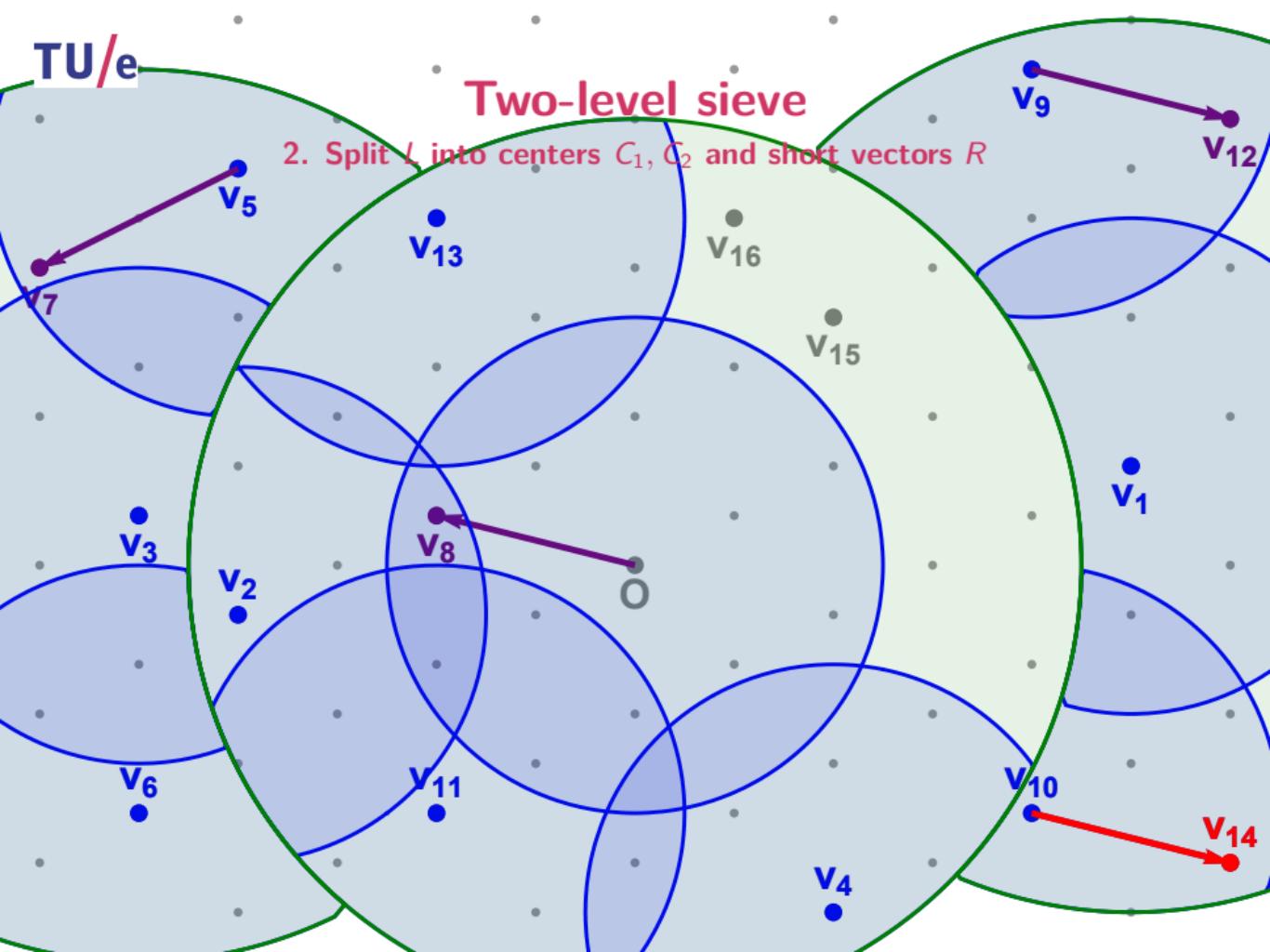
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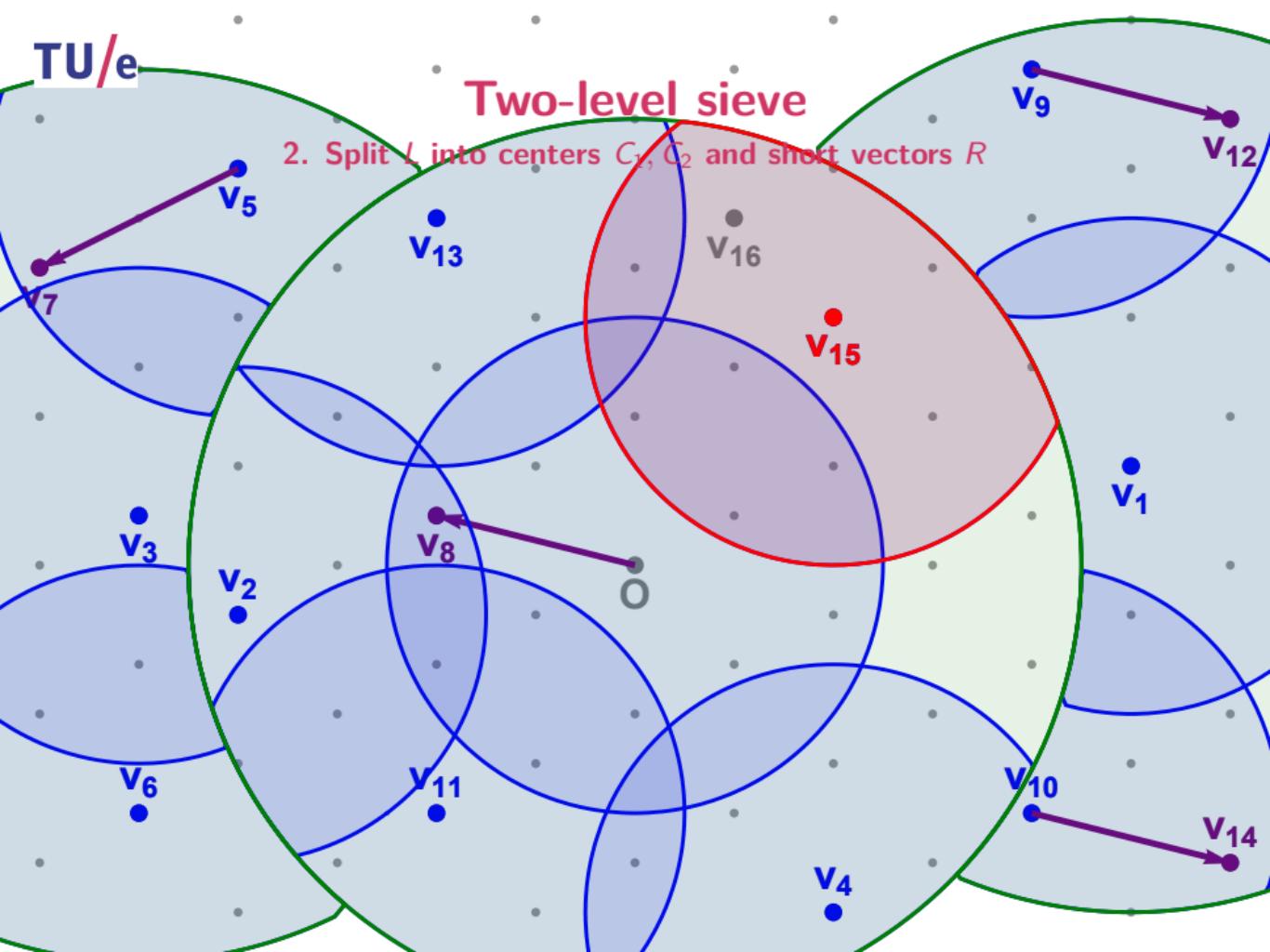
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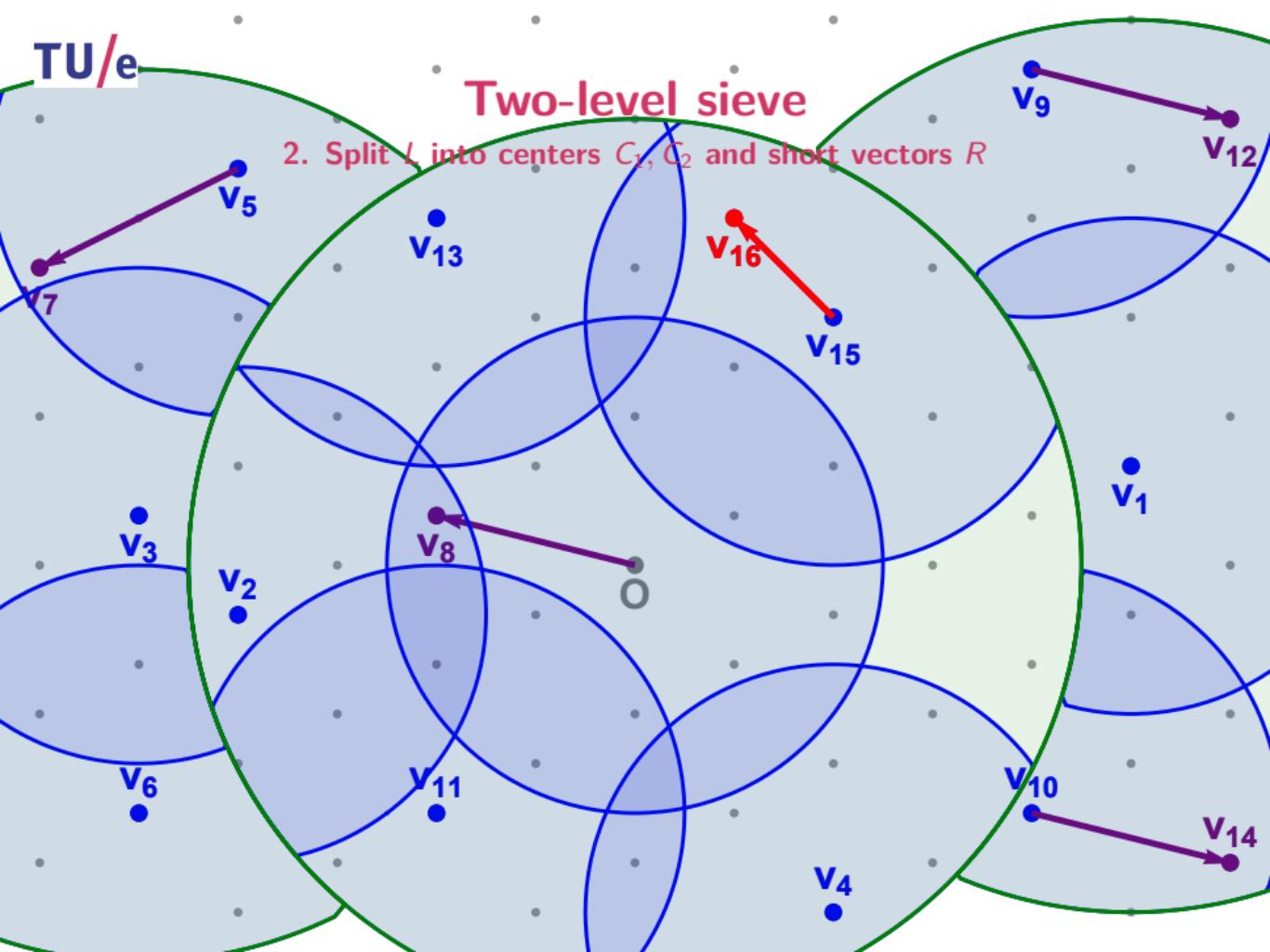
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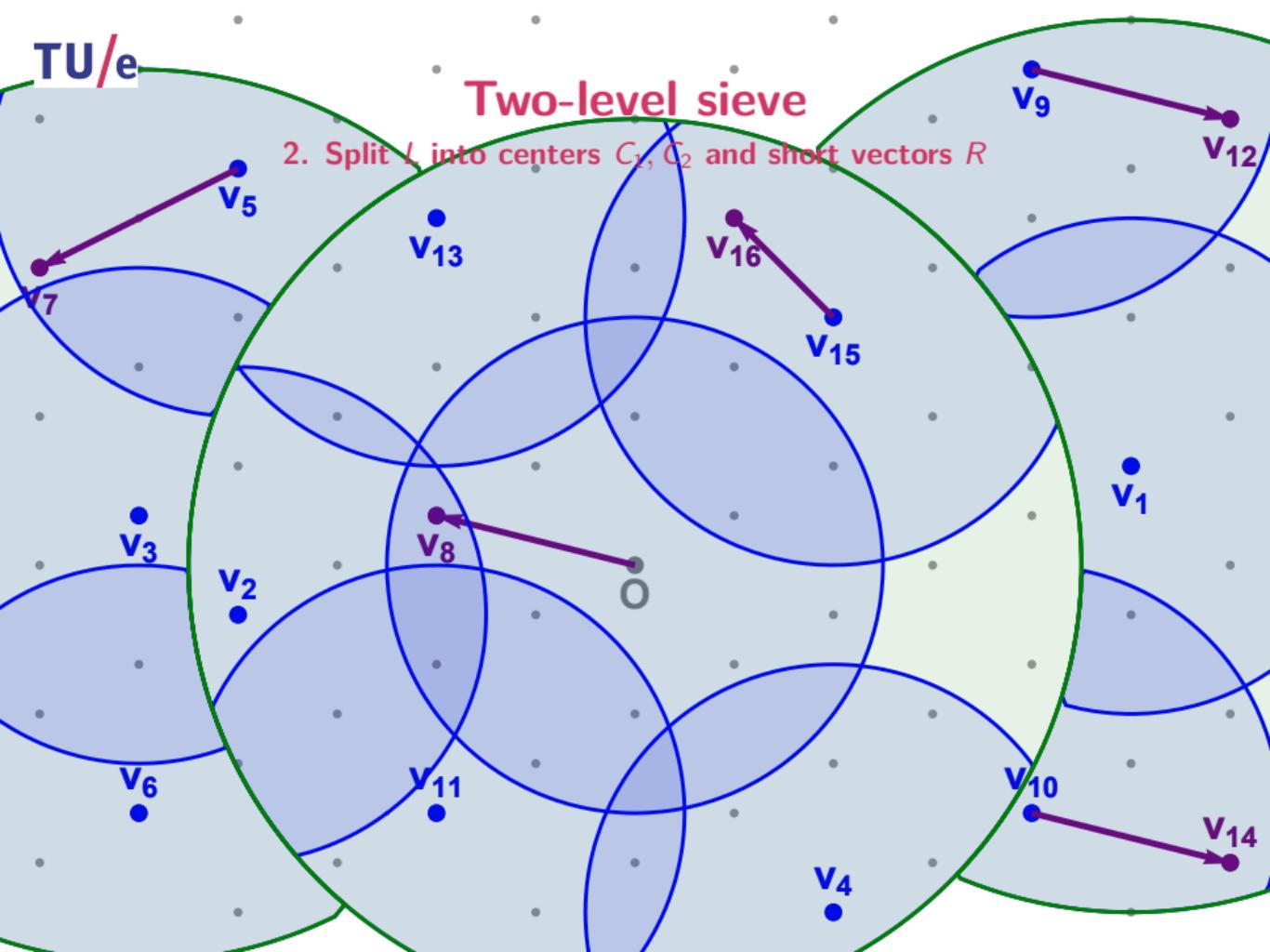
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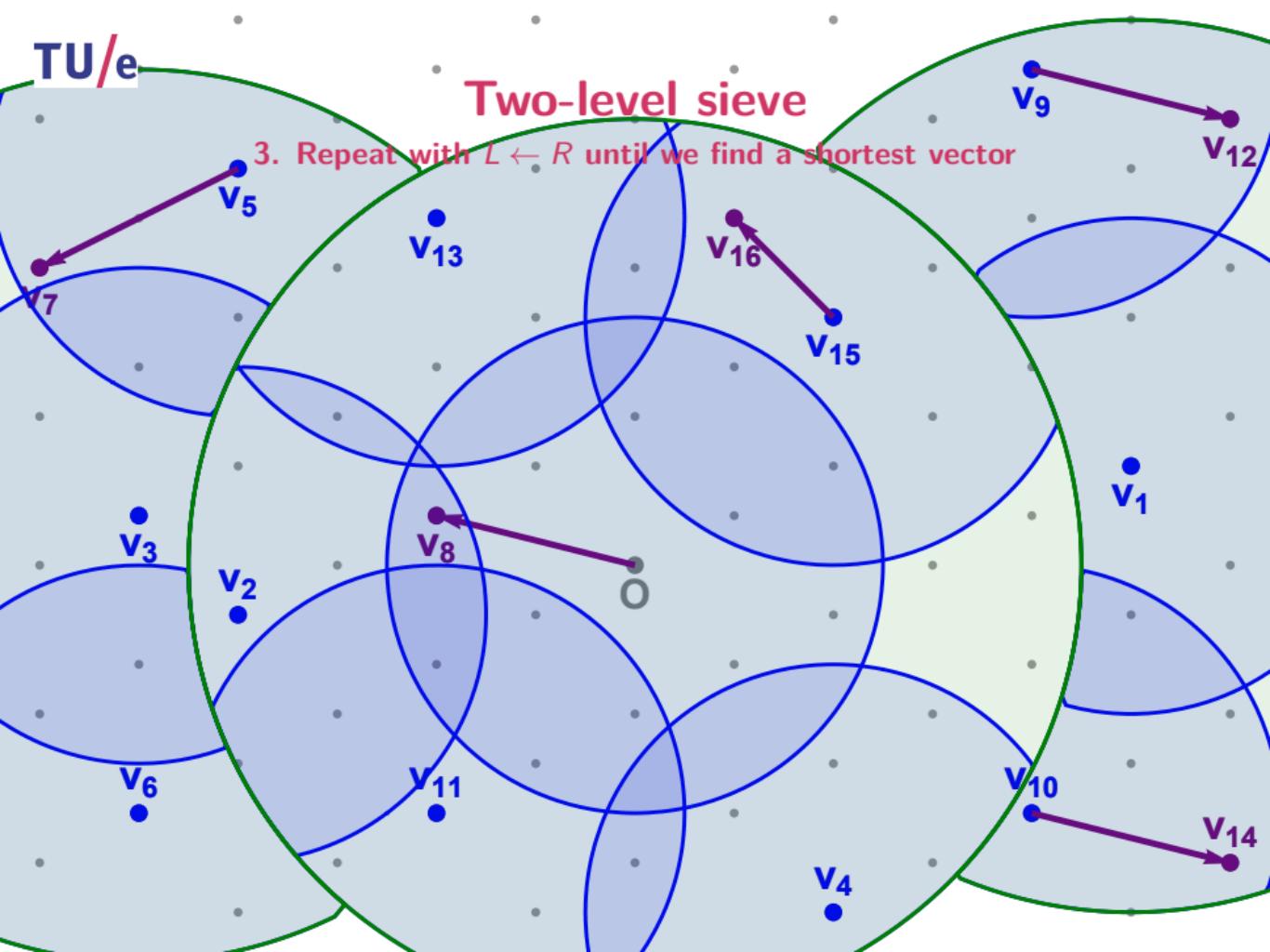
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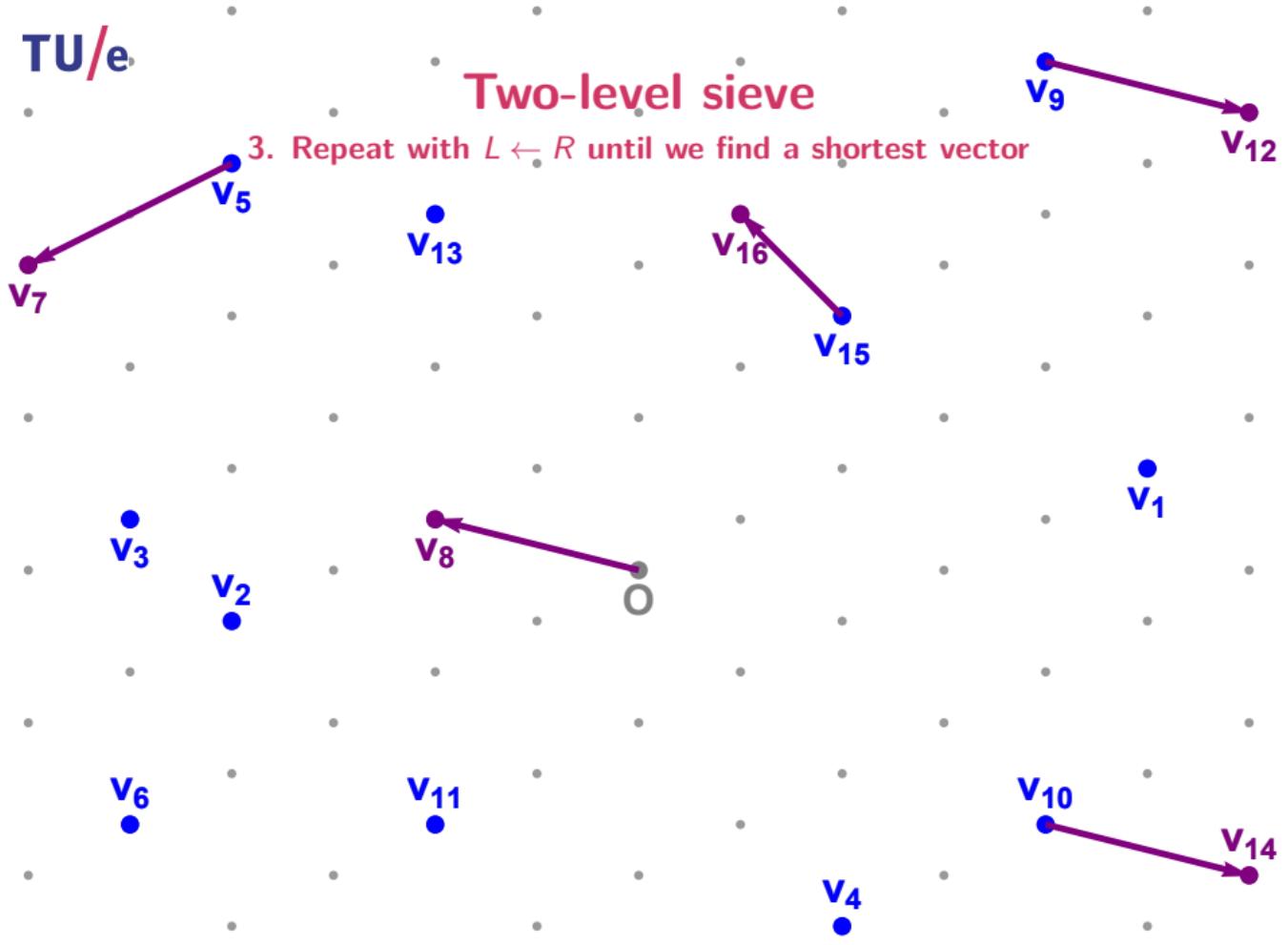
## Two-level sieve

3. Repeat with  $L \leftarrow R$  until we find a shortest vector



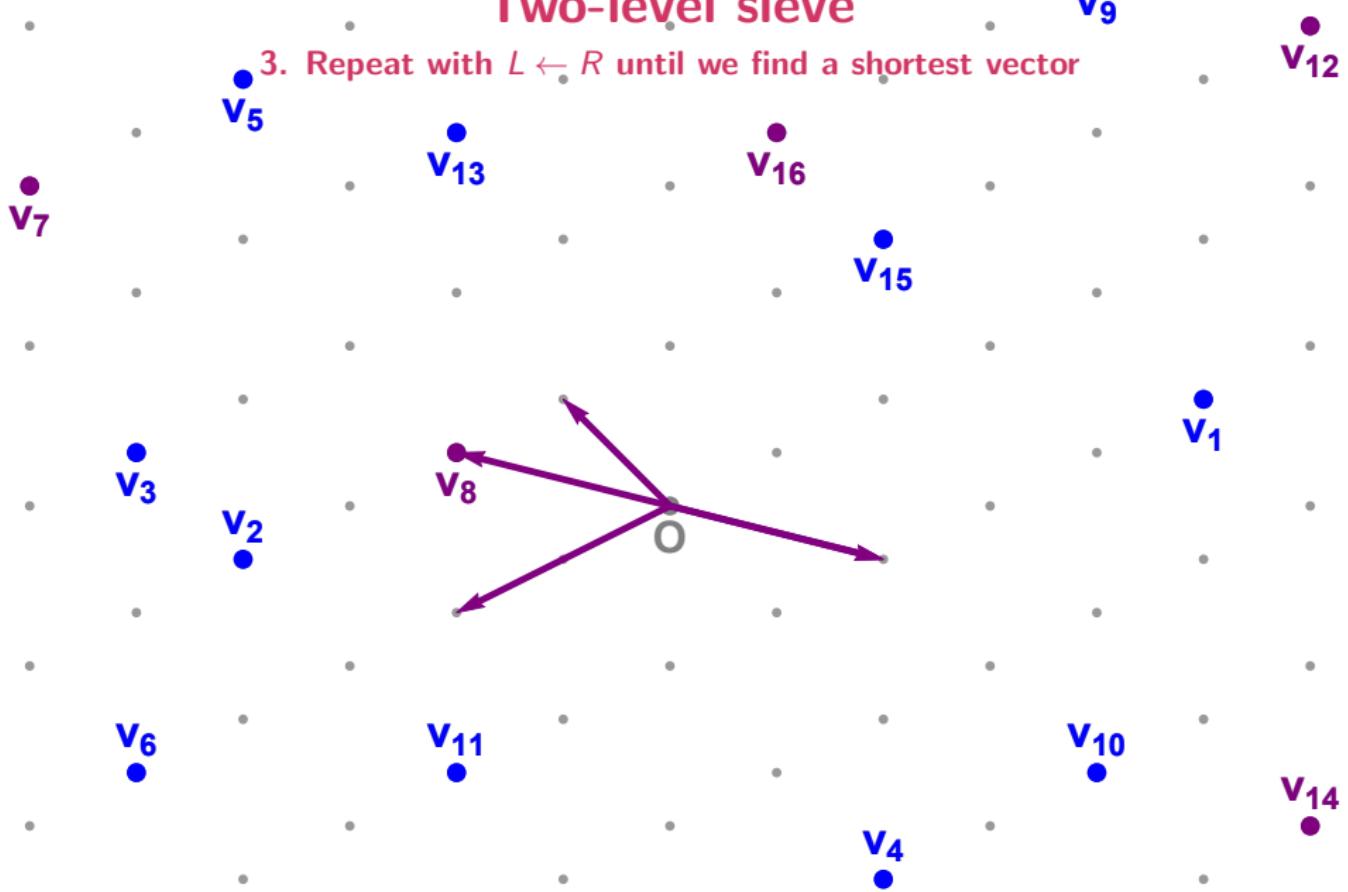
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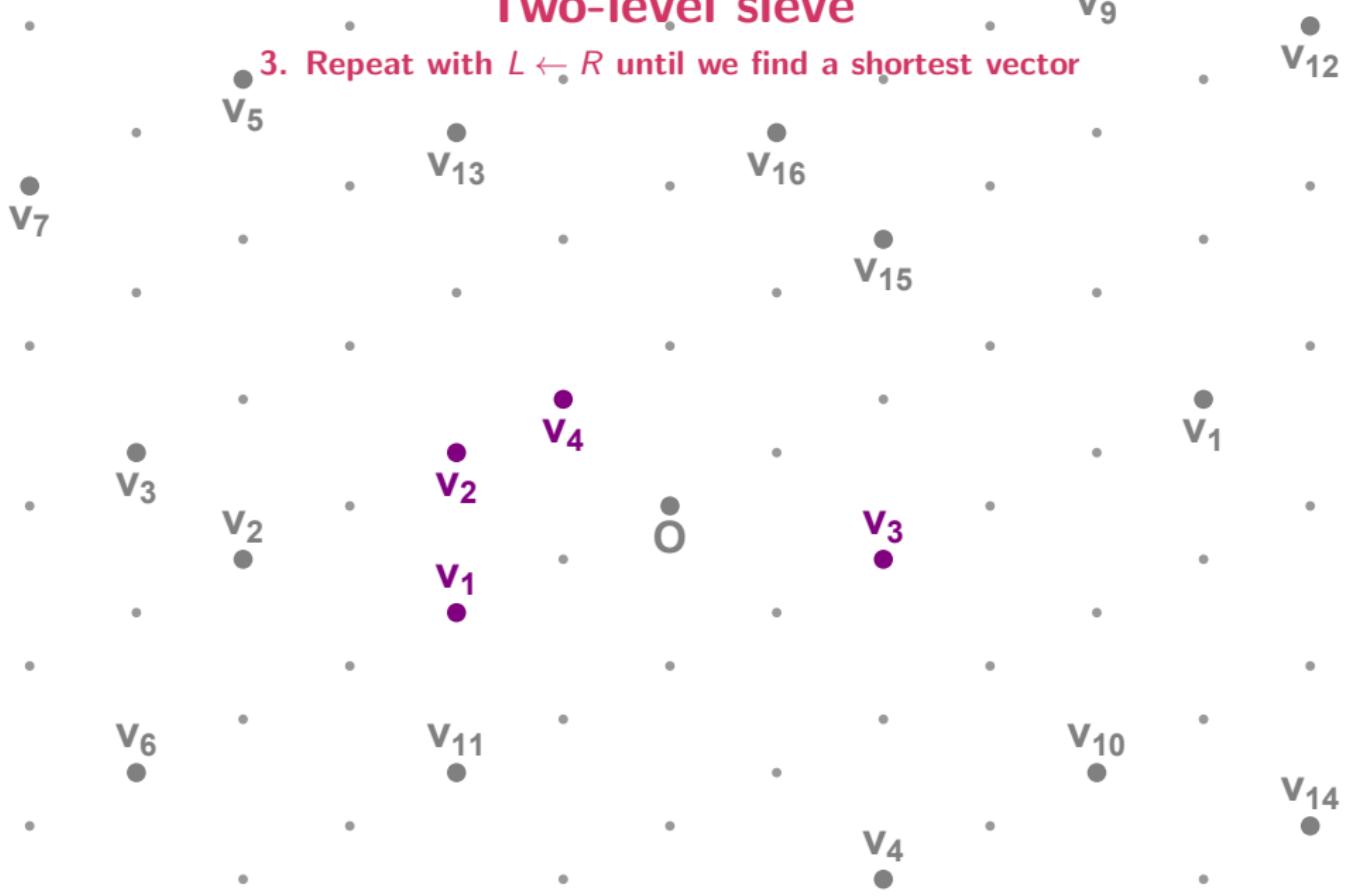
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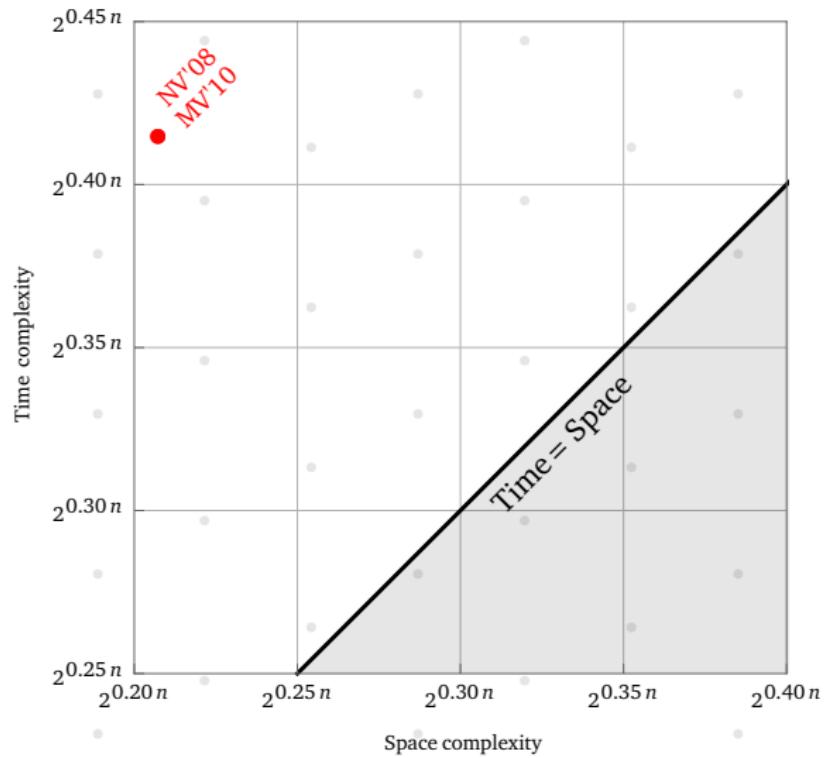
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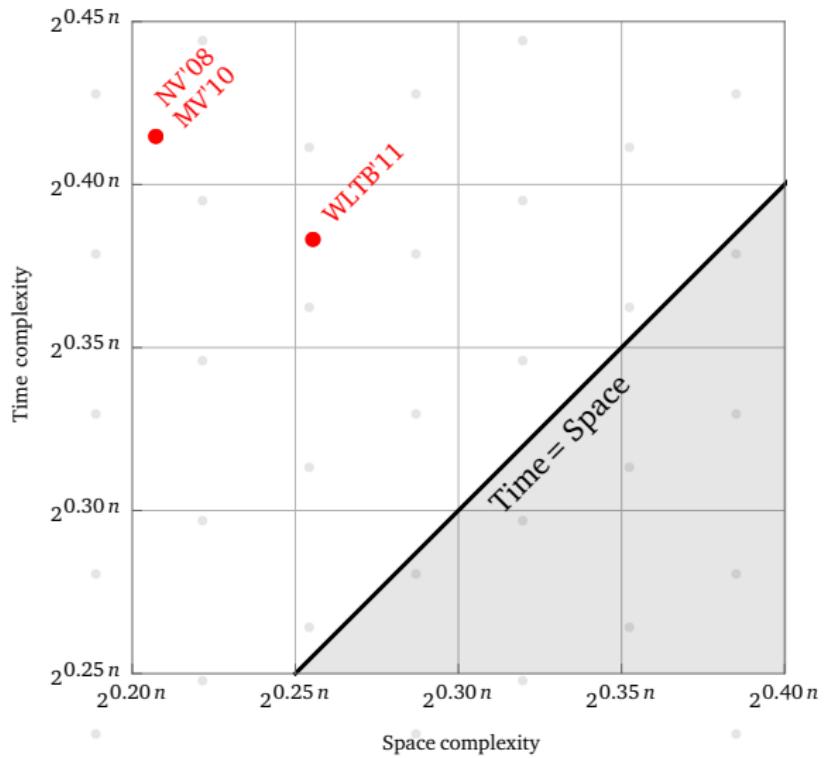
# Two-level sieve

## Space/time trade-off



# Two-level sieve

## Space/time trade-off



# Three-level sieve

## Overview

Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The one-level sieve runs in time  $2^{0.4150n}$  and space  $2^{0.2075n}$ .

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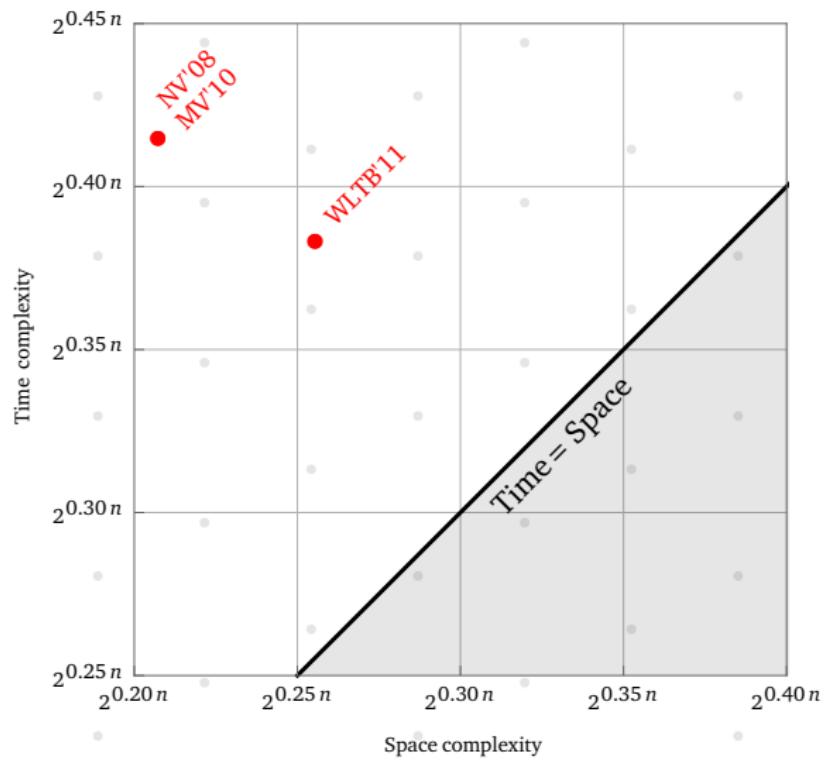
The three-level sieve runs in time  $2^{0.3778n}$  and space  $2^{0.2833n}$ .

Conjecture

The four-level sieve runs in time  $2^{0.3774n}$  and space  $2^{0.2925n}$ , and higher-level sieves are not faster than this.

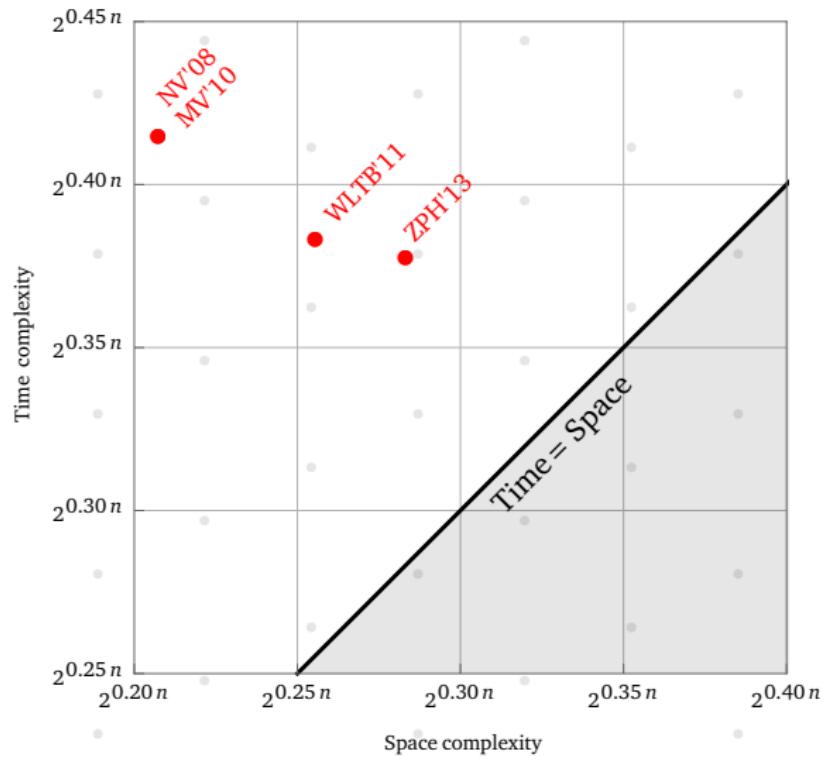
# Three-level sieve

## Space/time trade-off



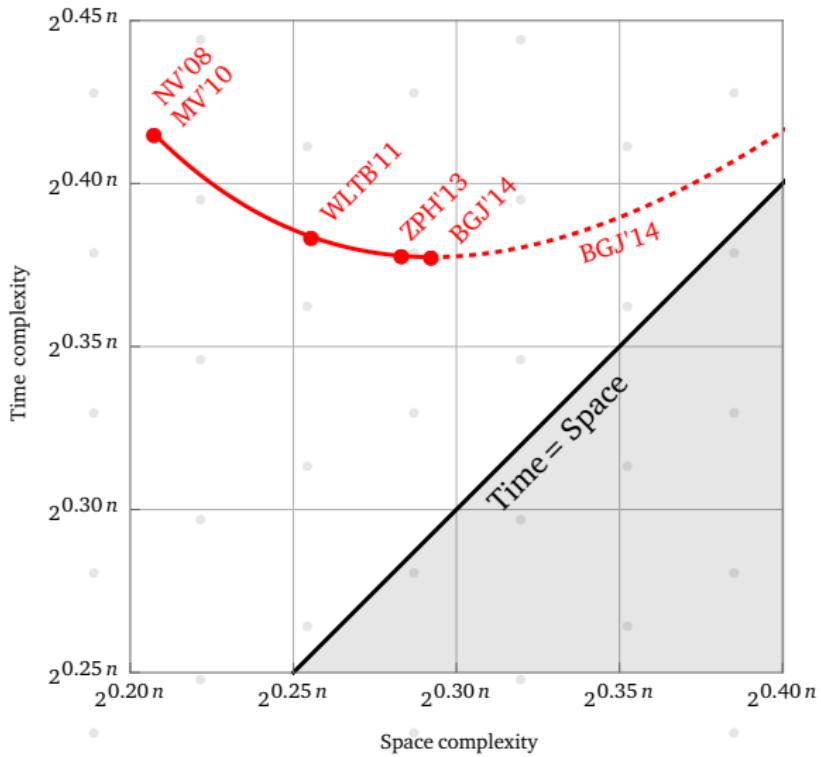
# Three-level sieve

## Space/time trade-off



# Decomposition approach

## Space/time trade-off



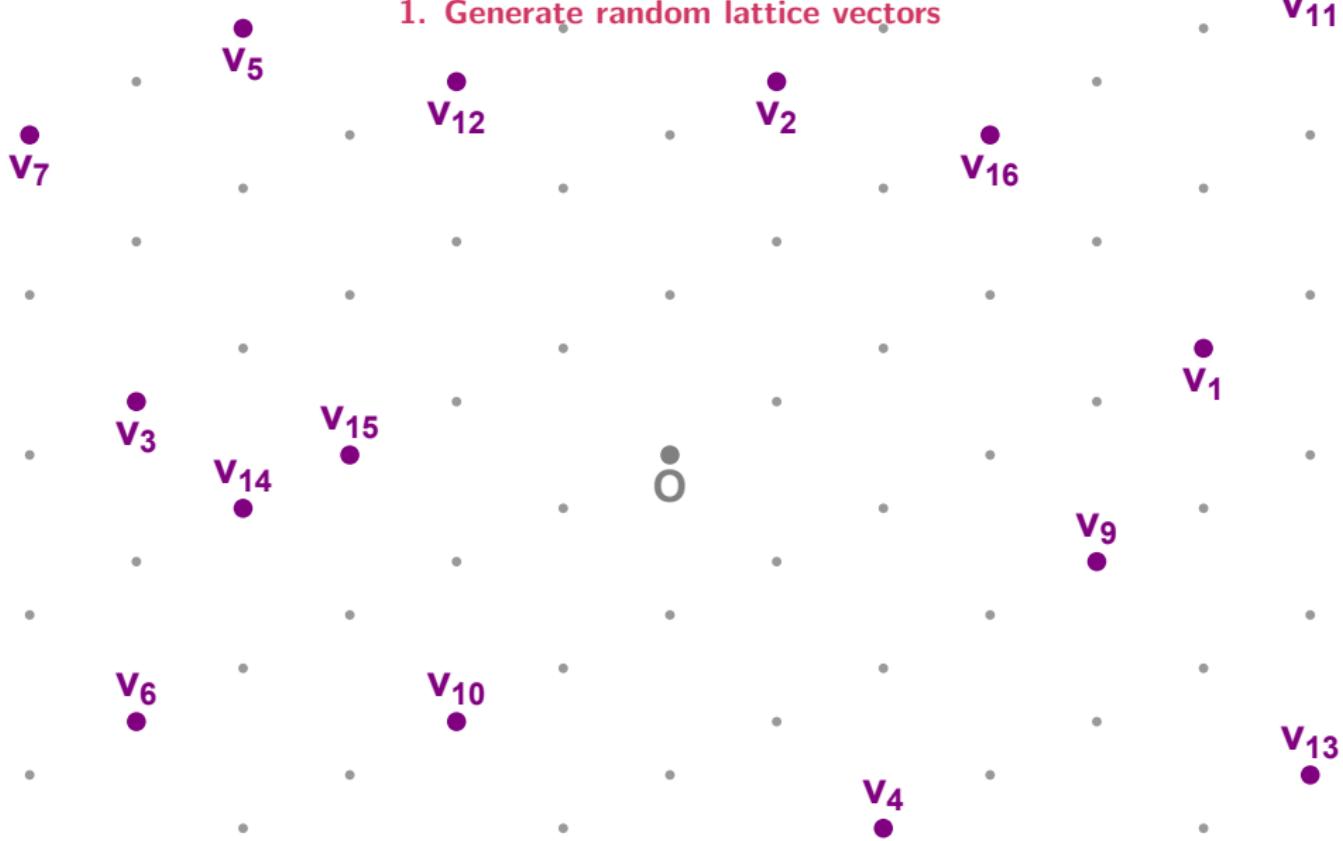
# HashSieve (GS)

1. Generate random lattice vectors



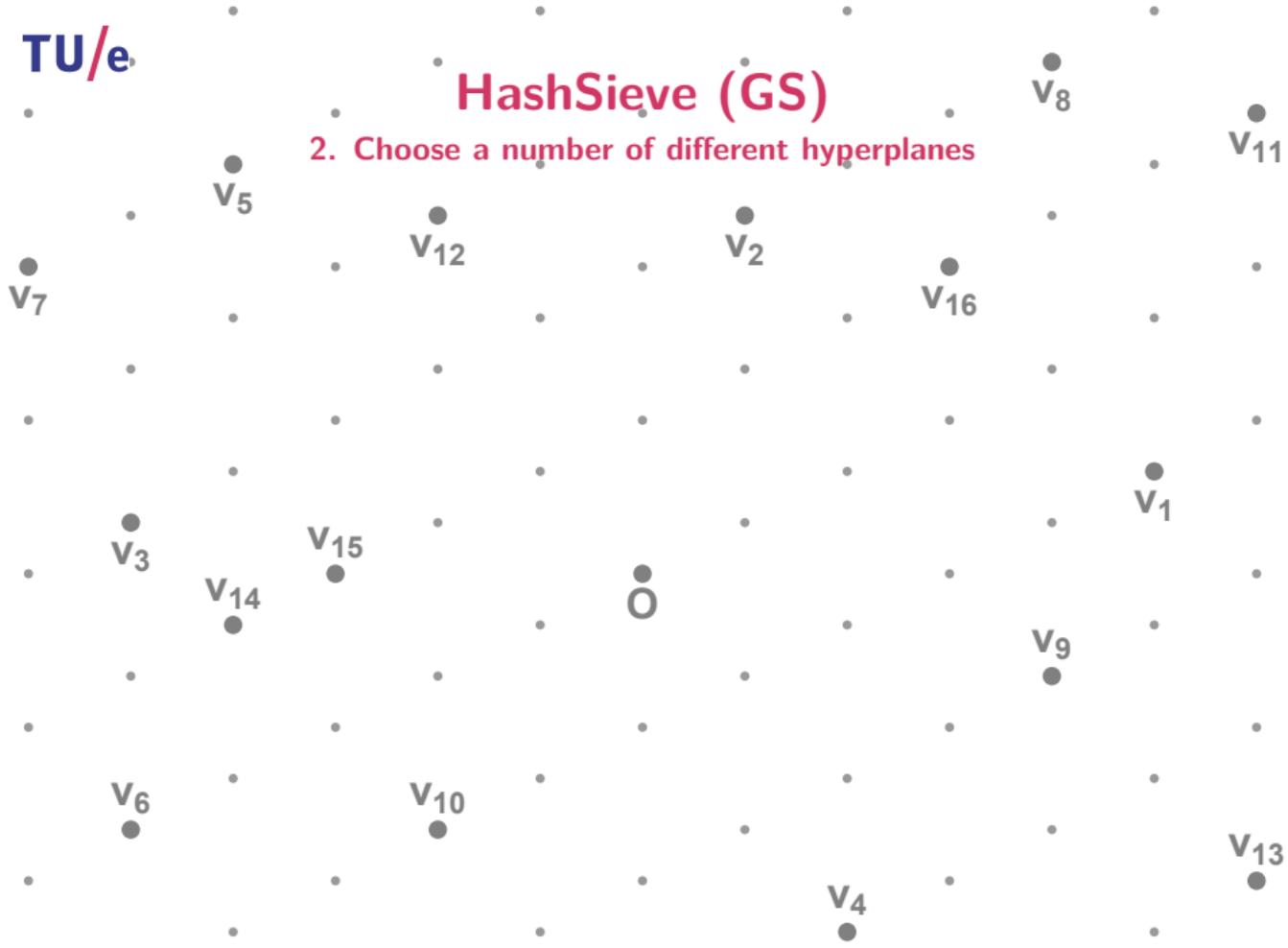
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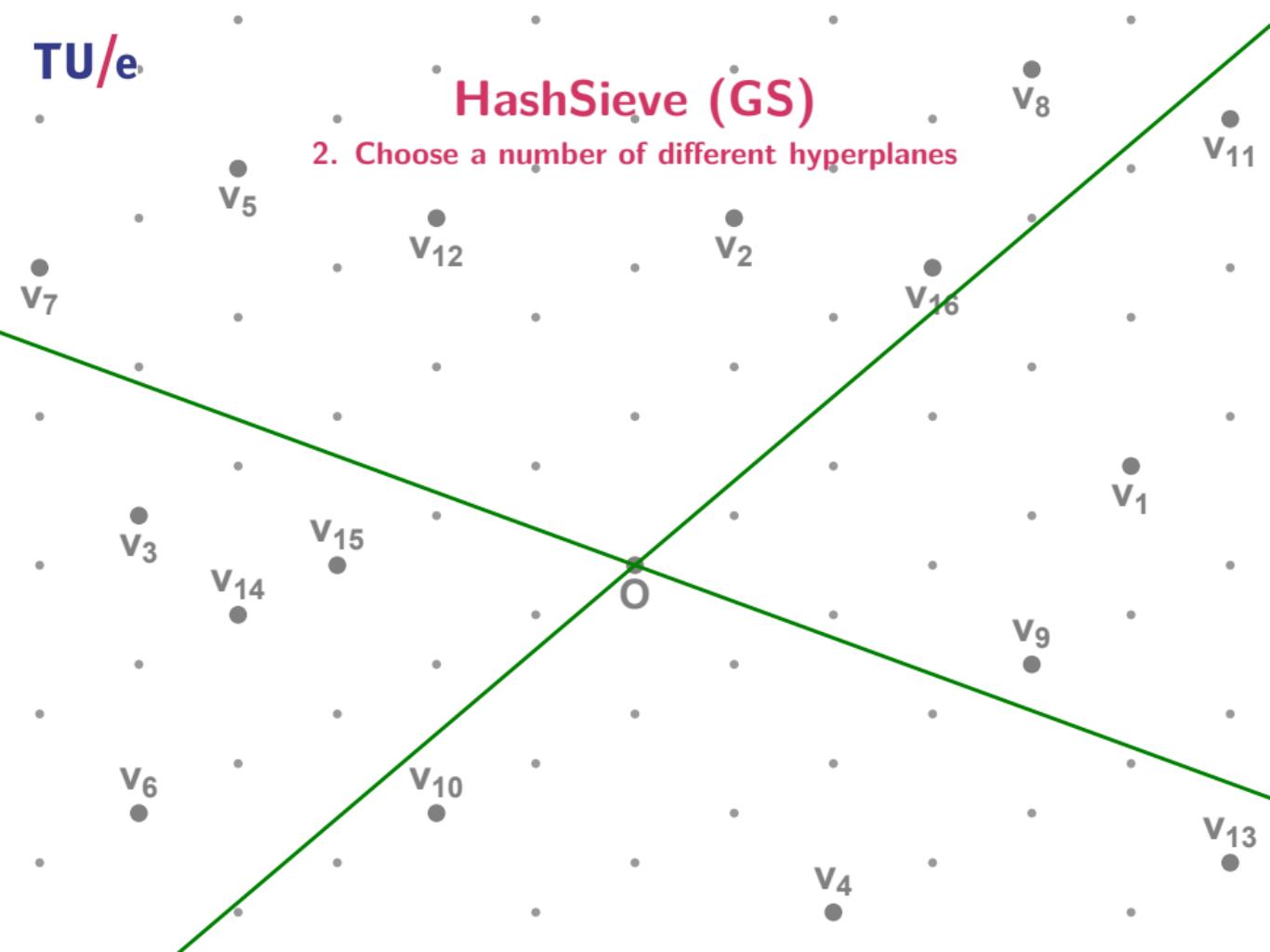
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2. Choose a number of different hyperplanes



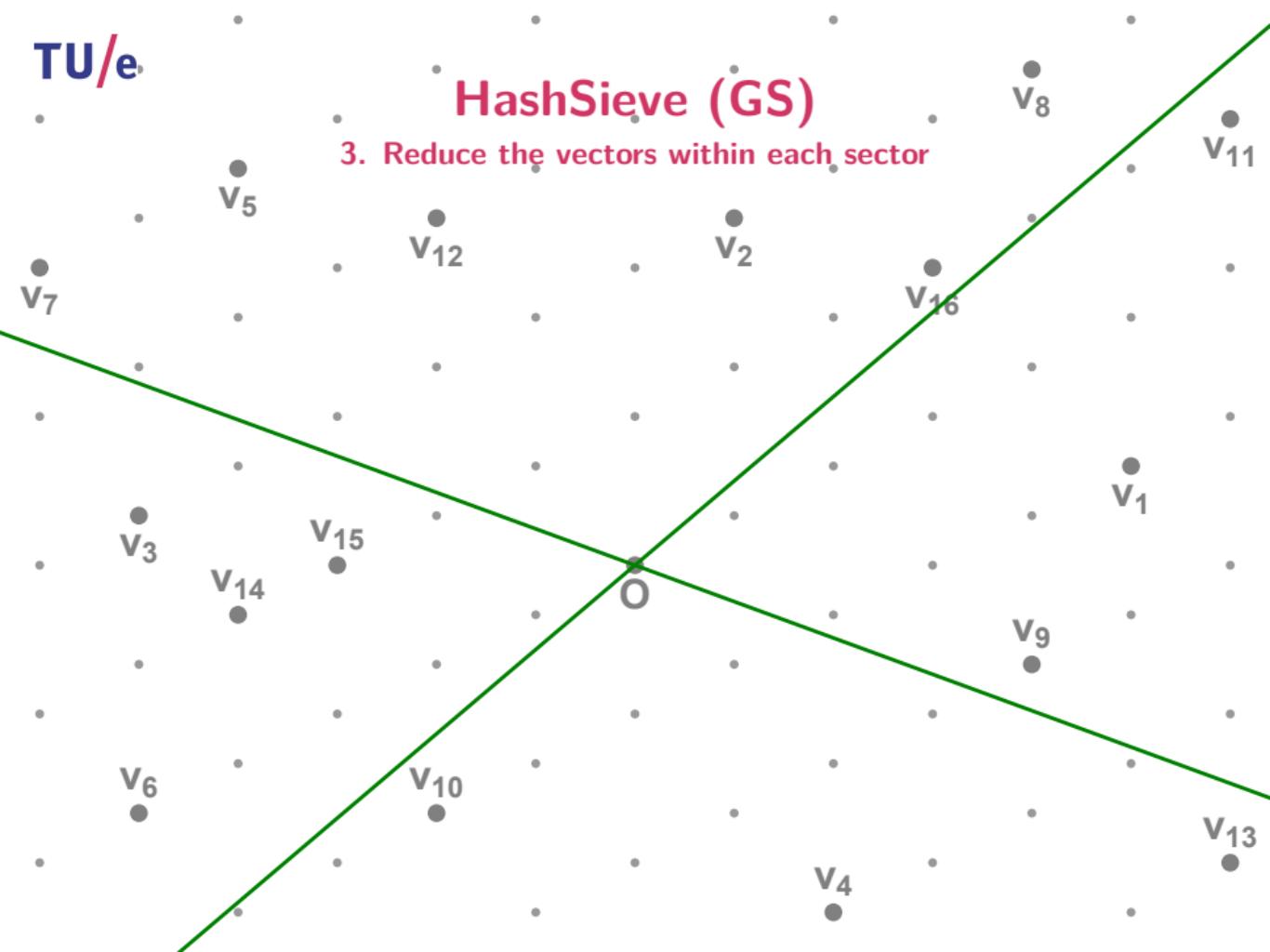
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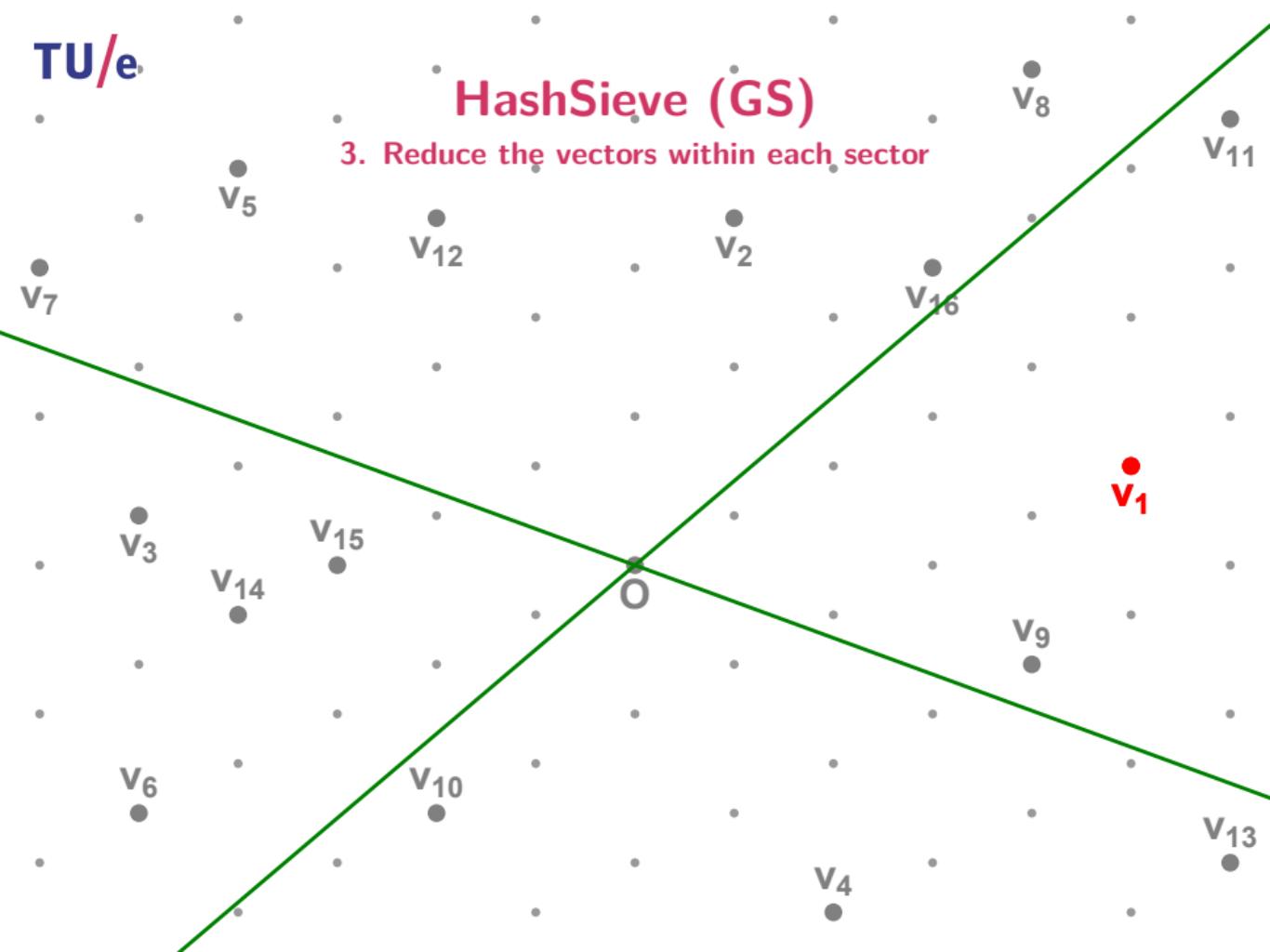
# HashSieve (GS)

3. Reduce the vectors within each sector



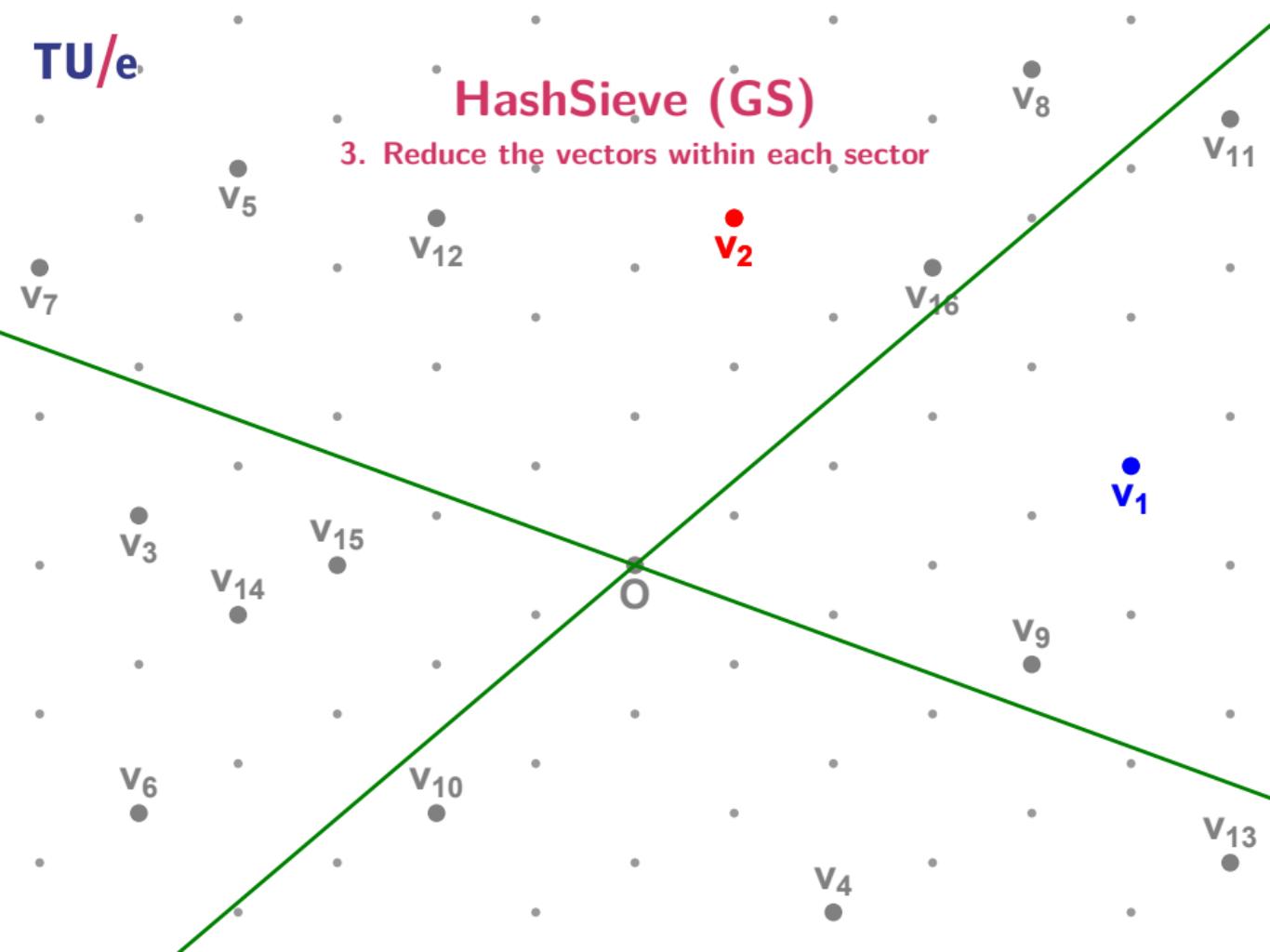
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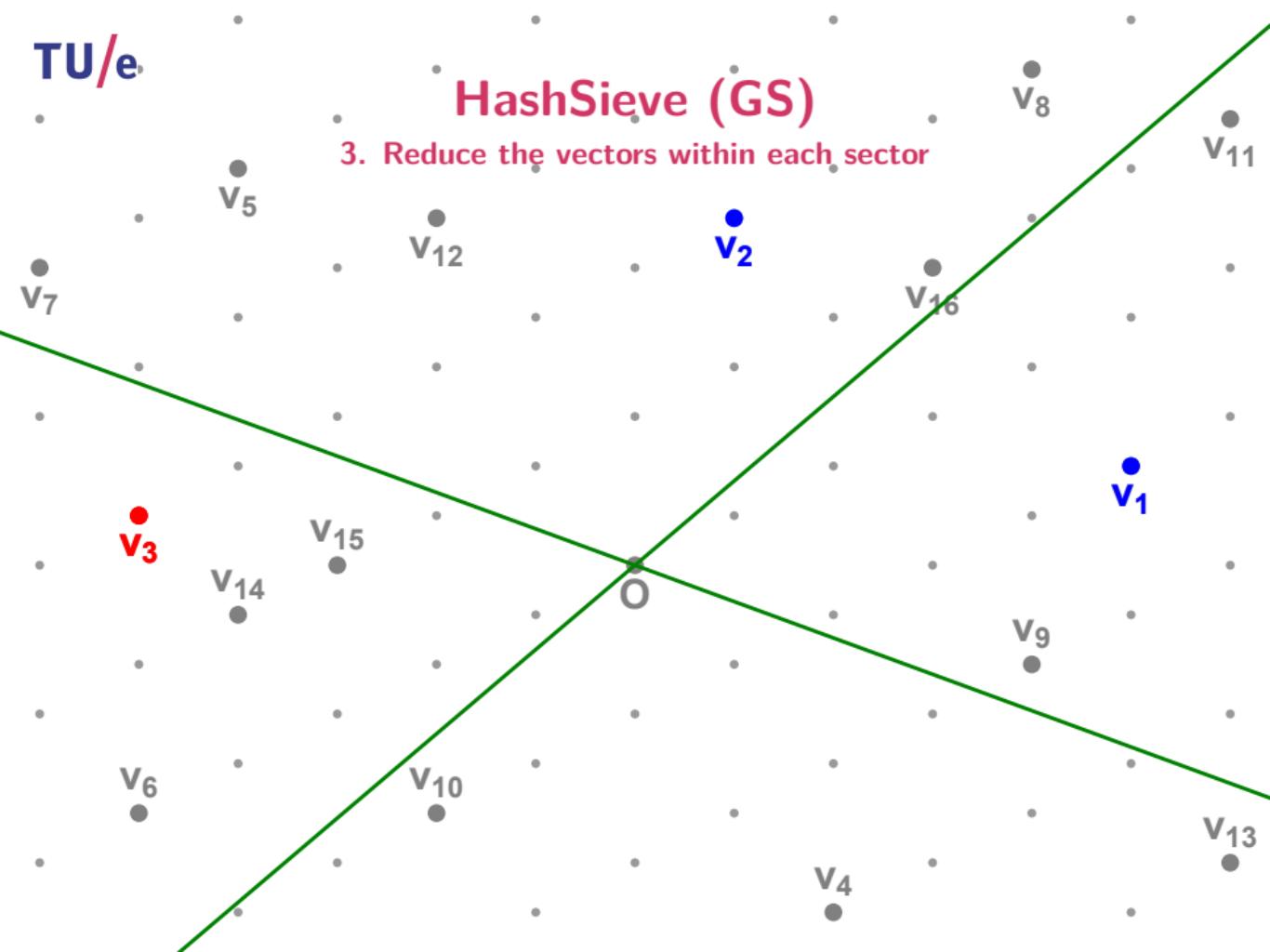
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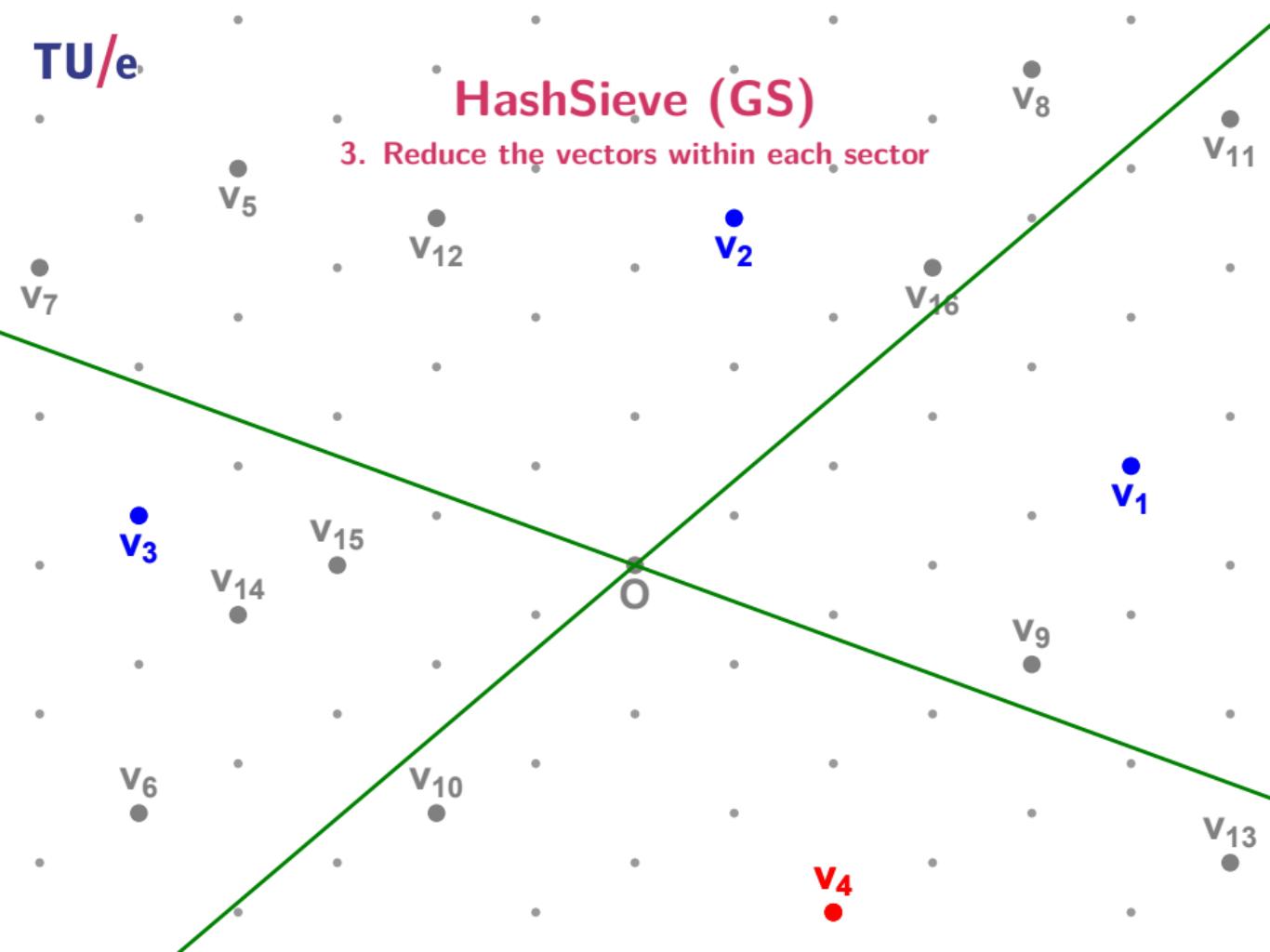
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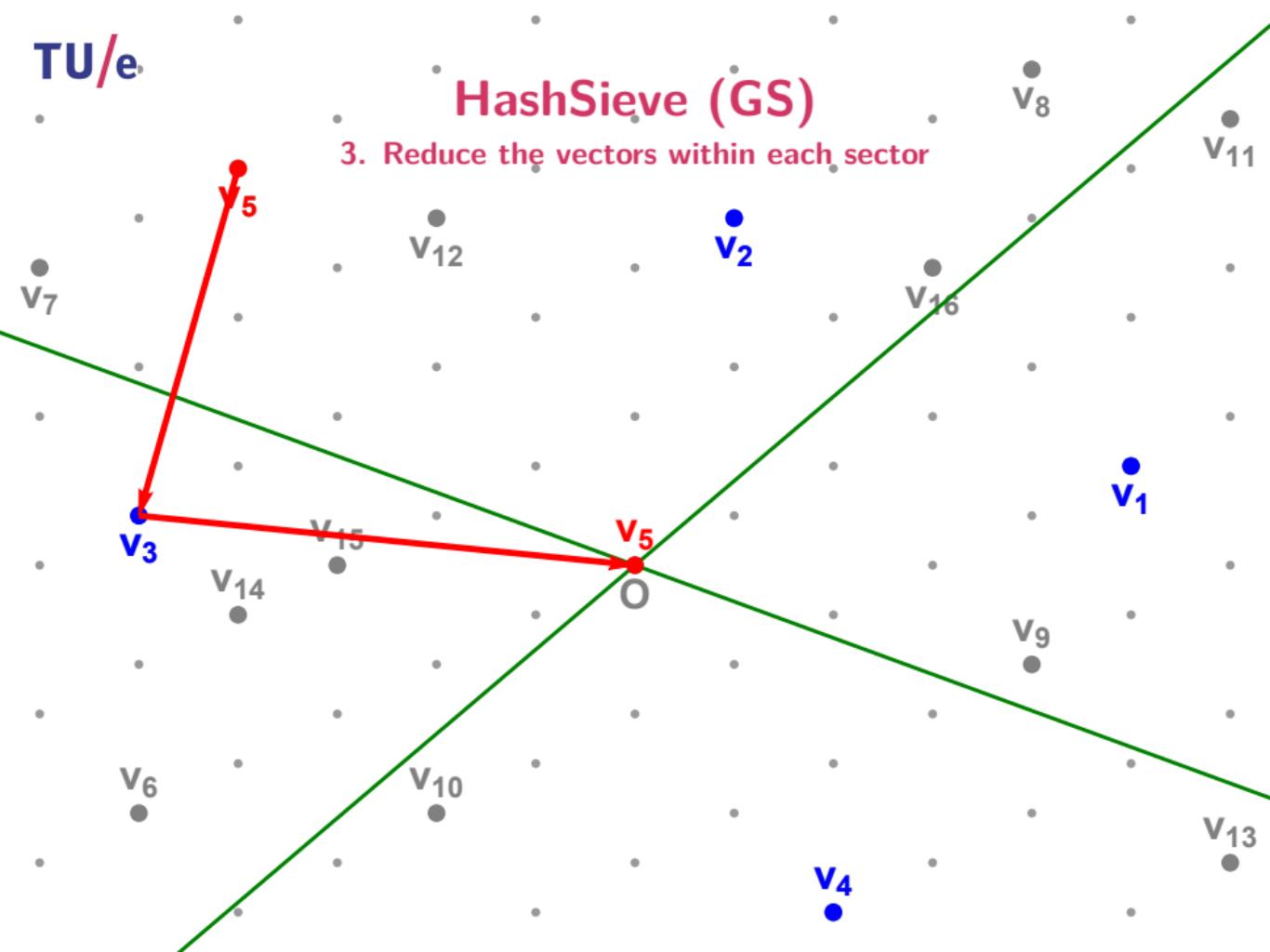
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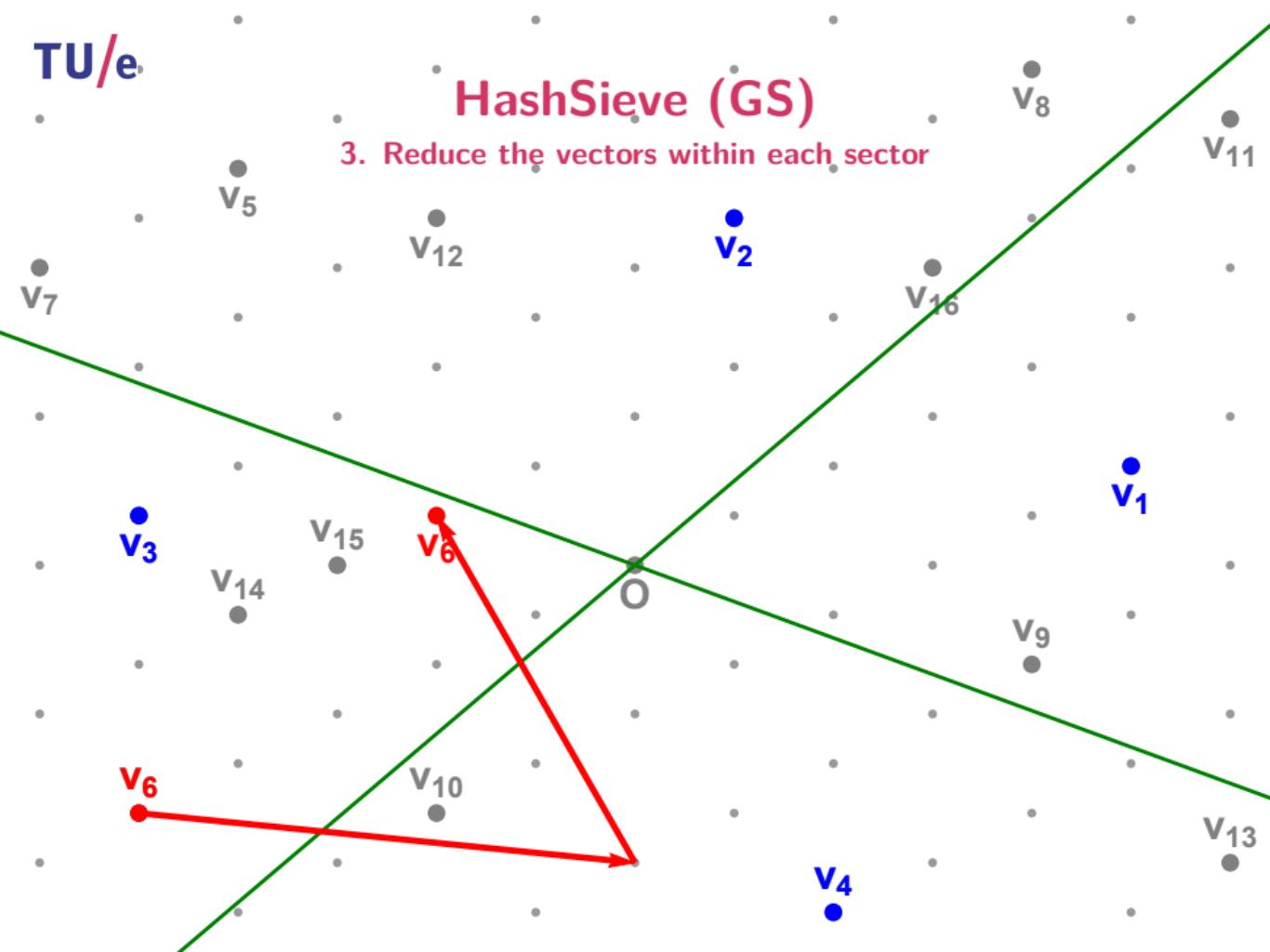
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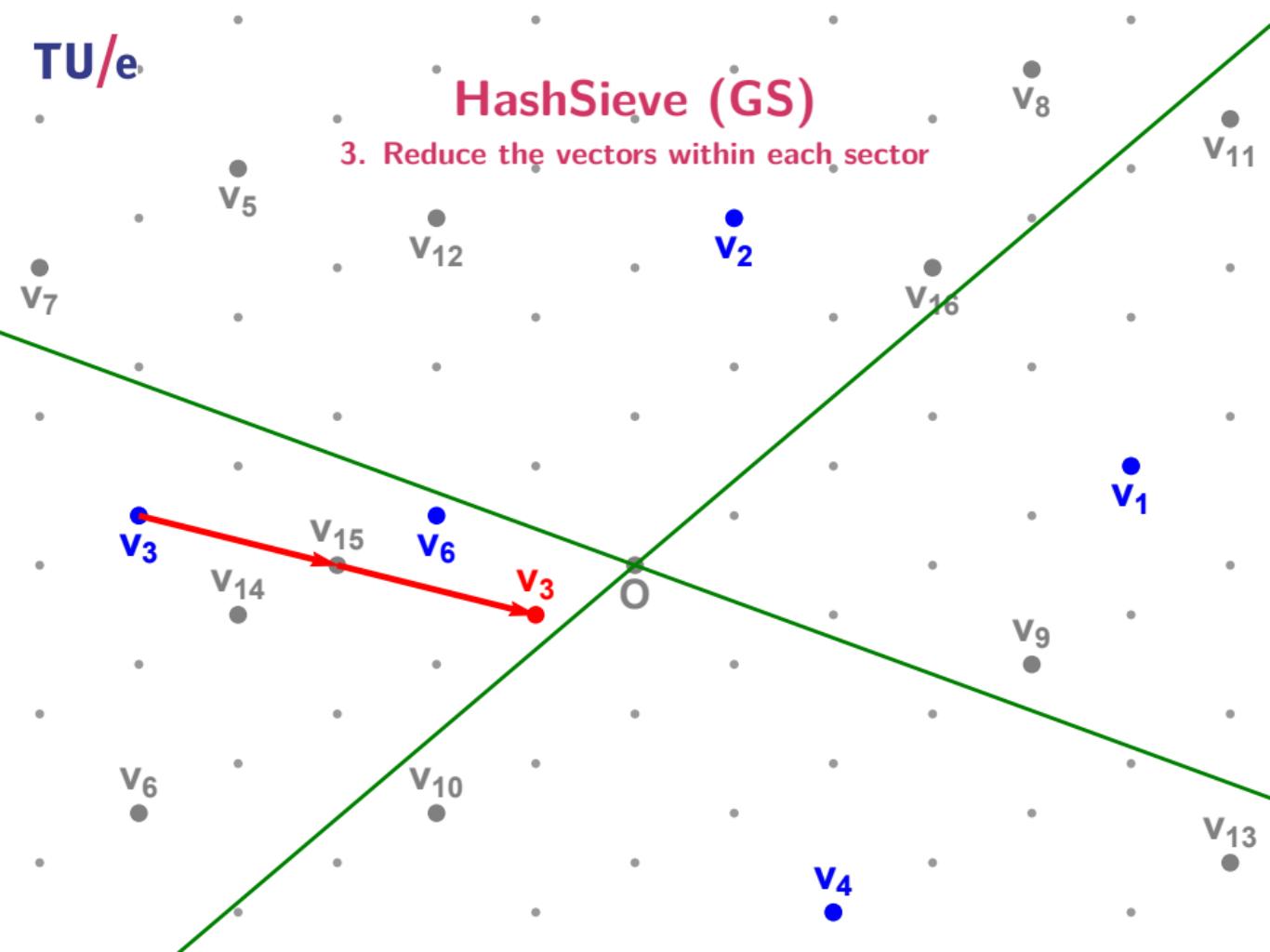
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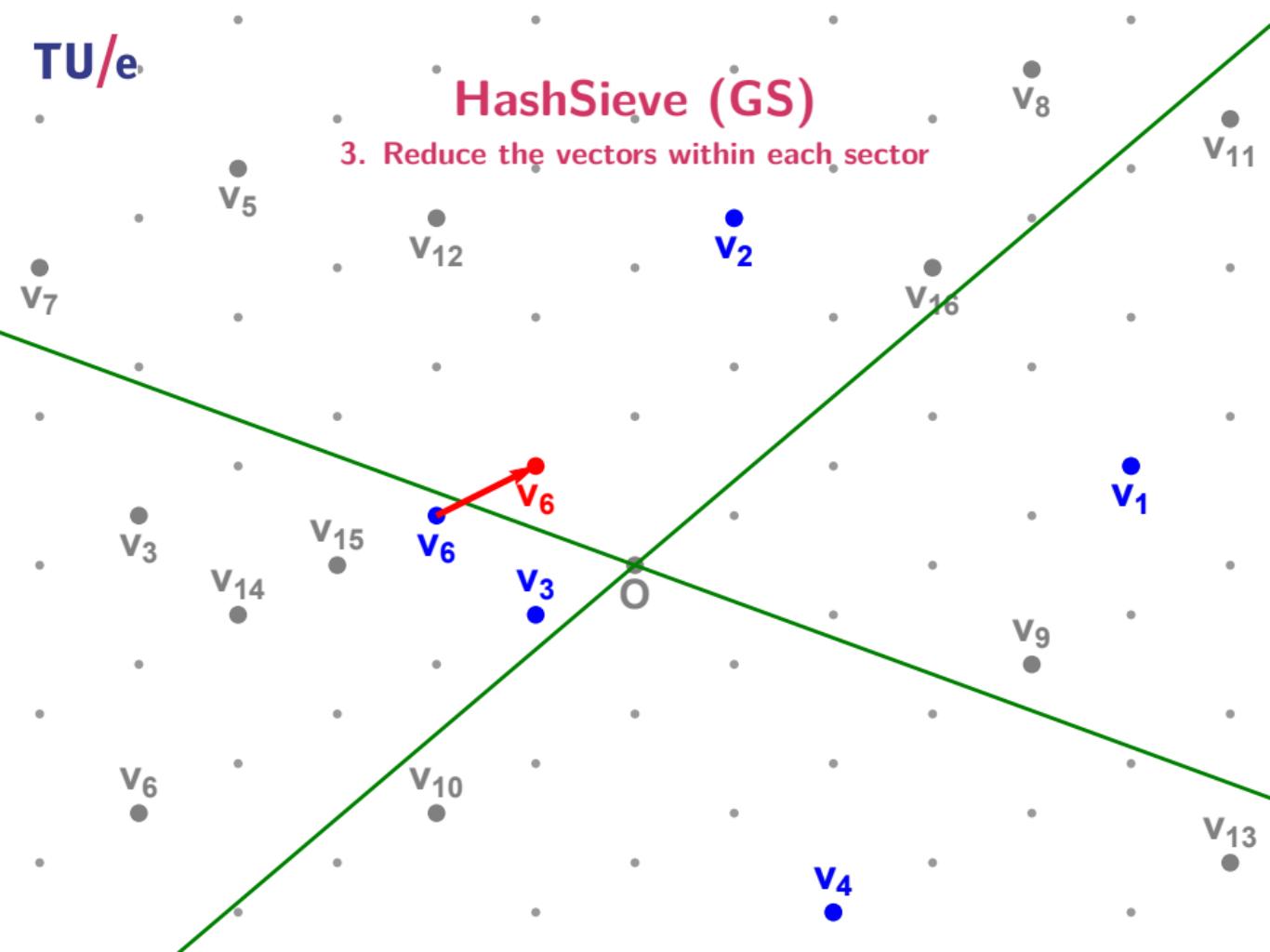
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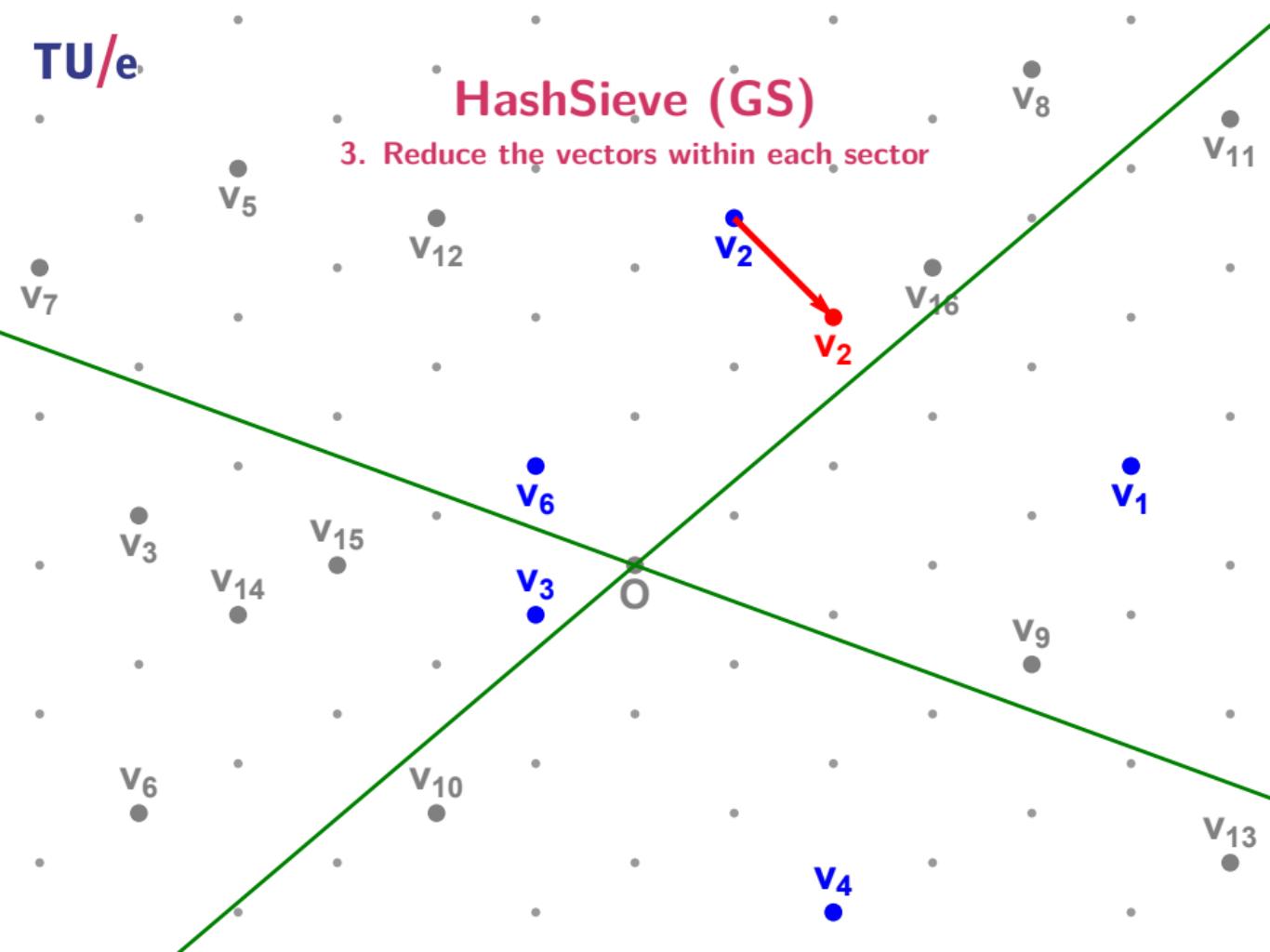
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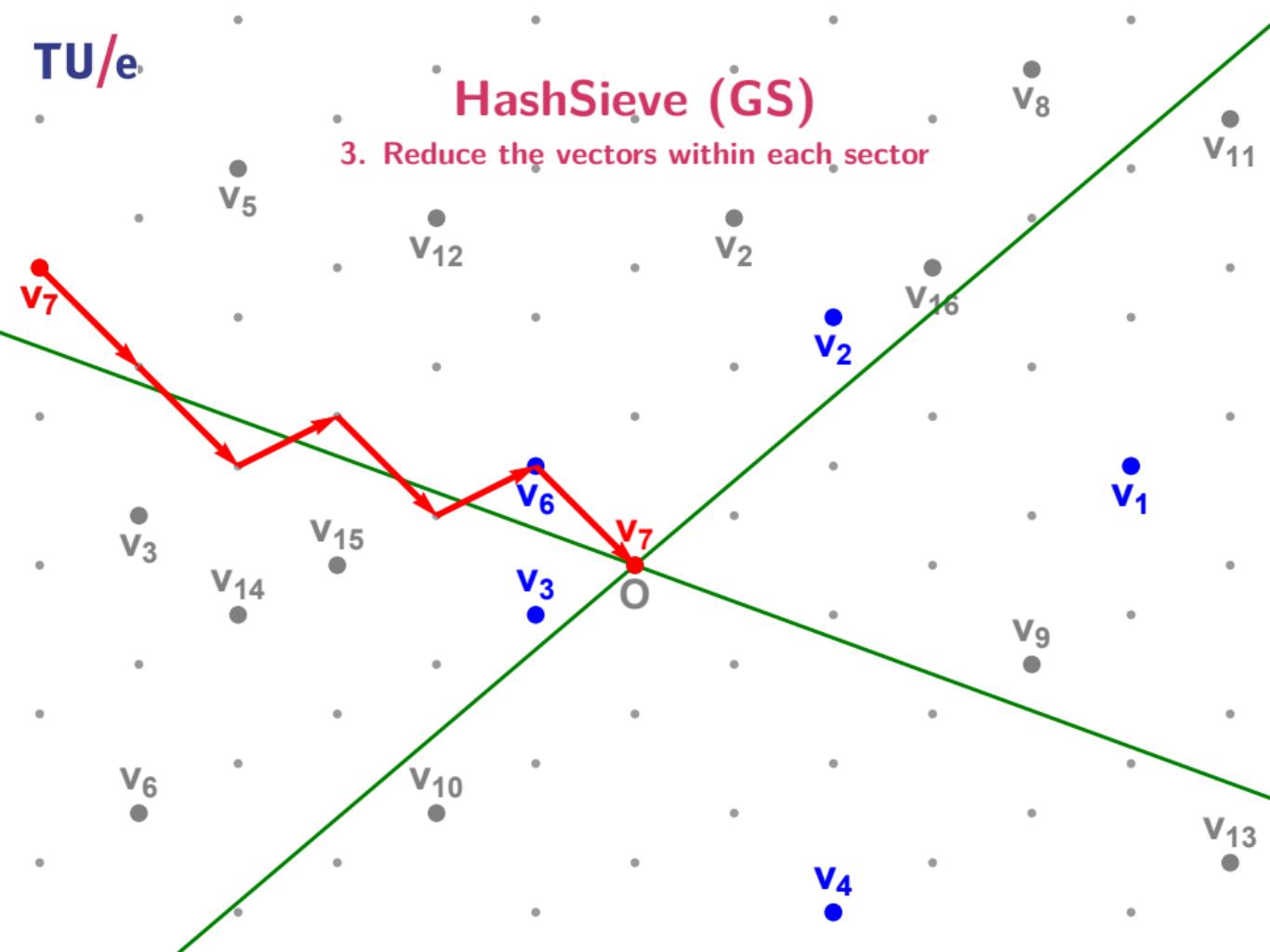
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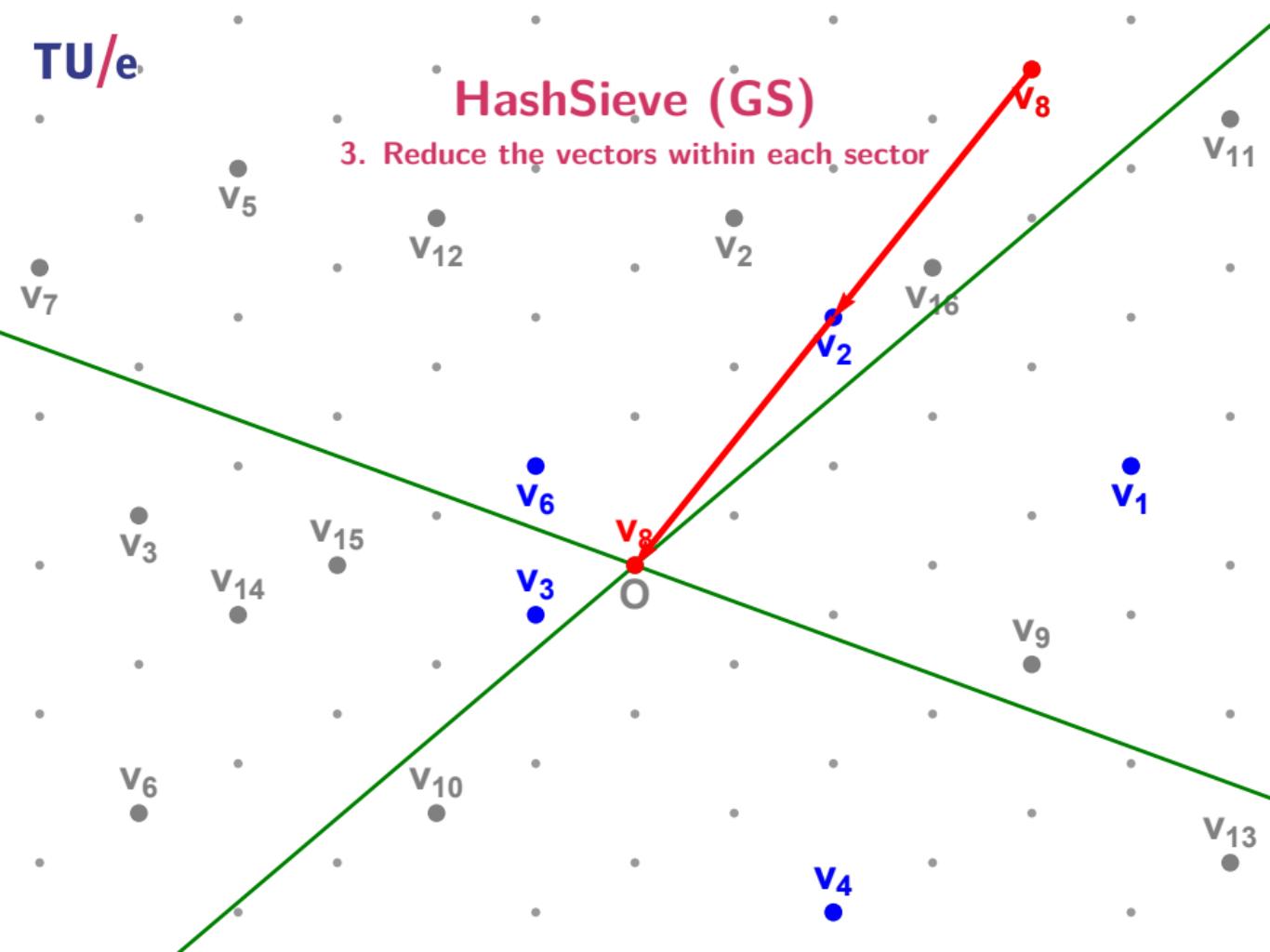
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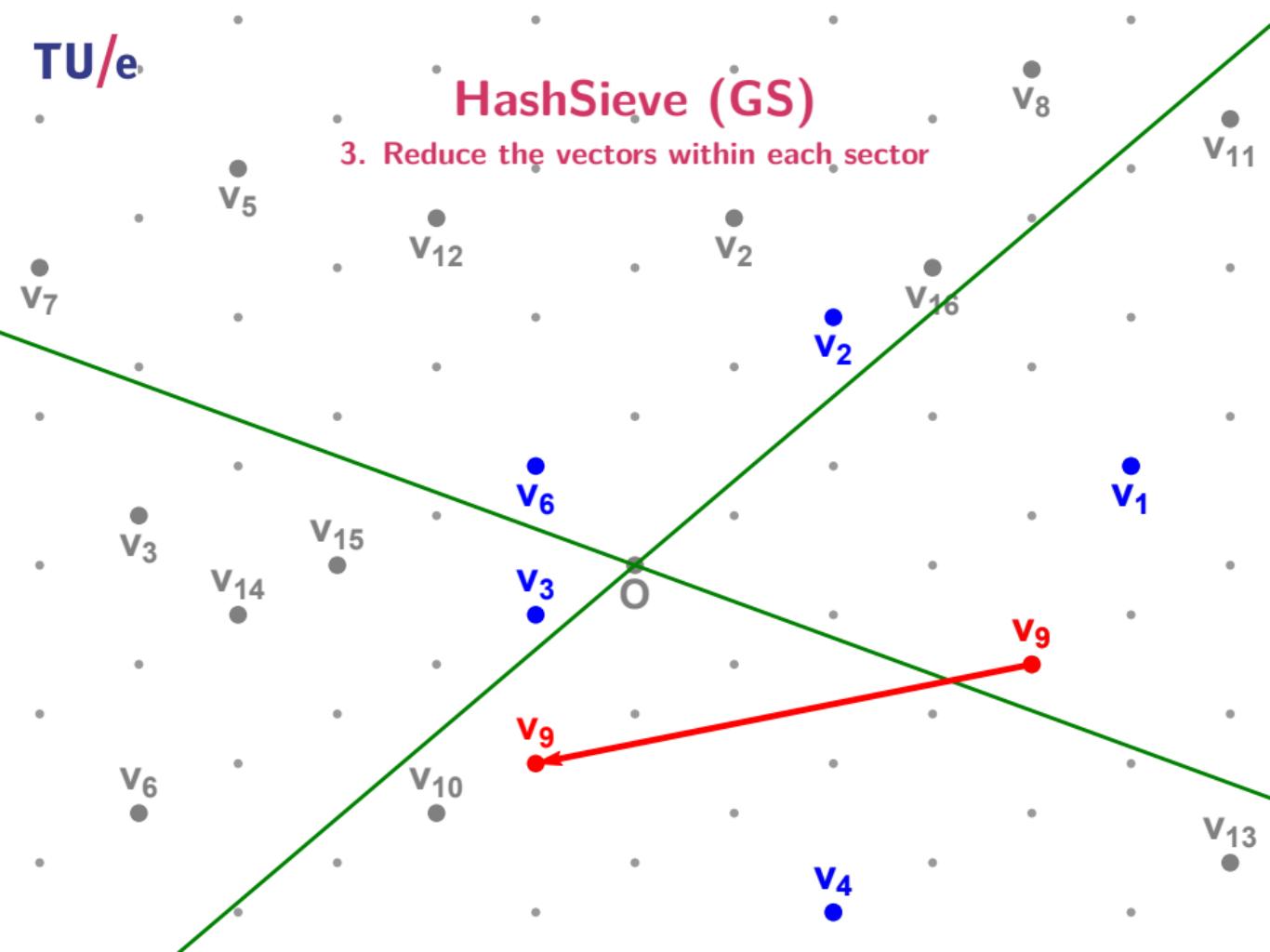
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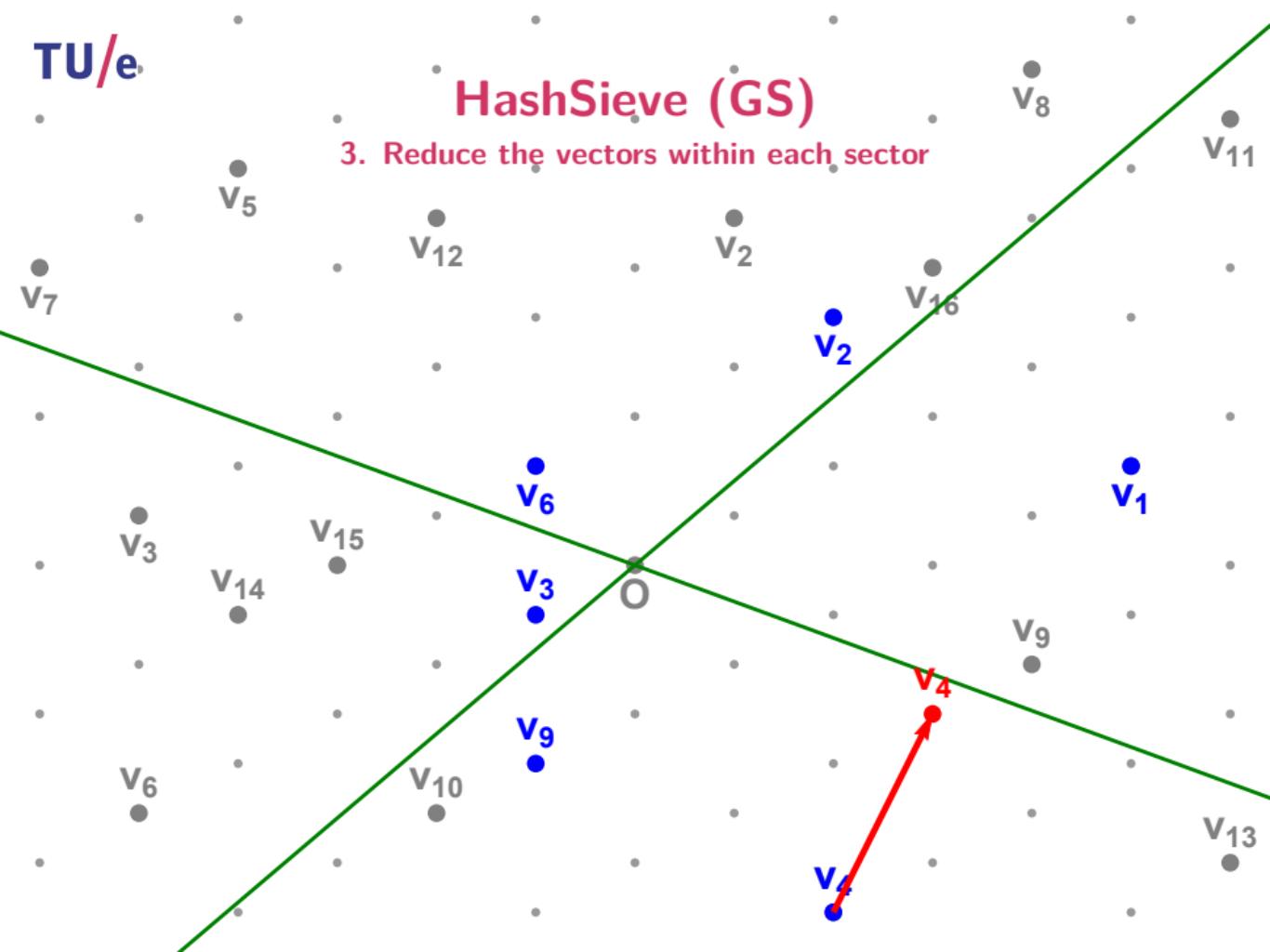
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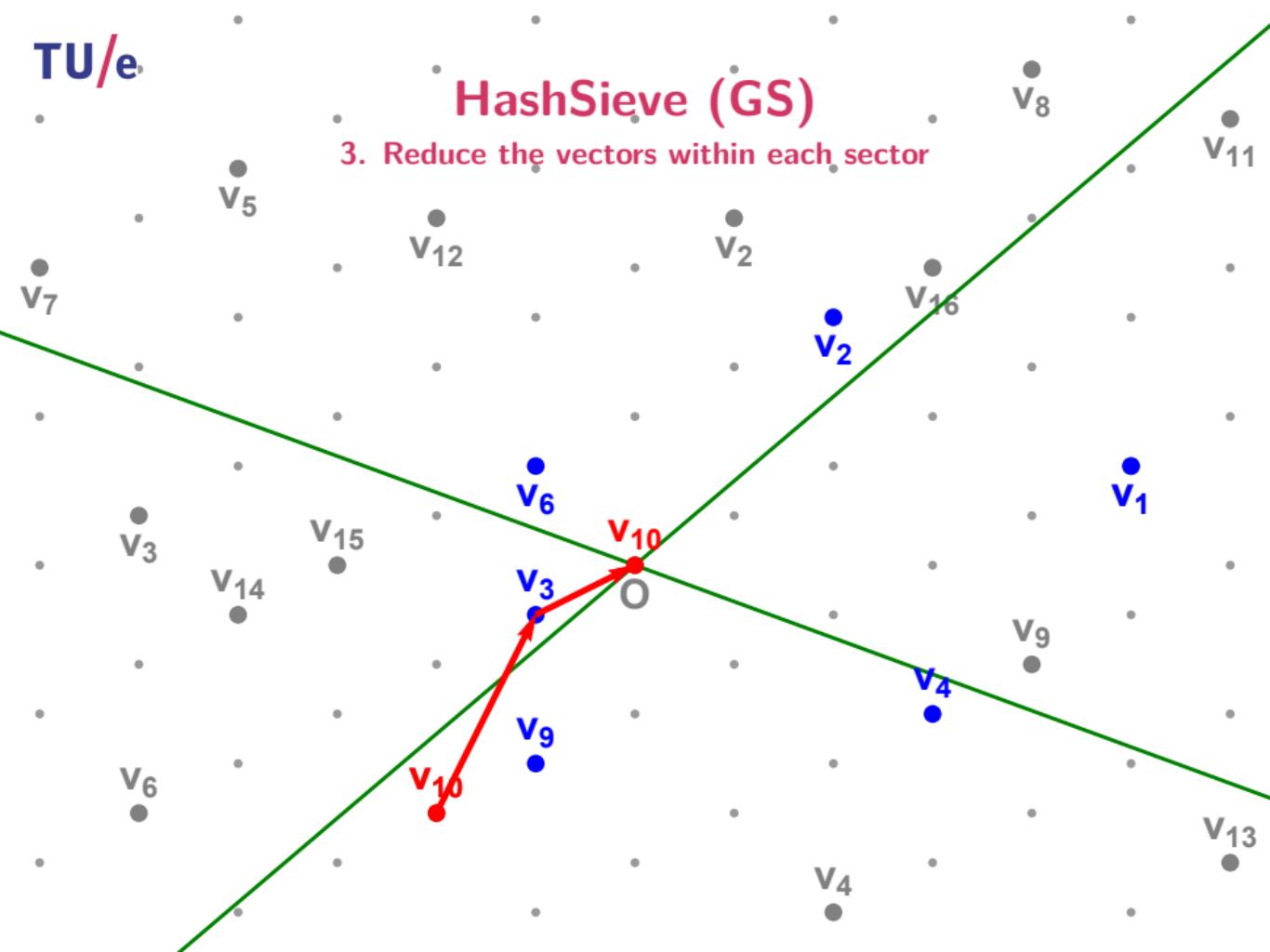
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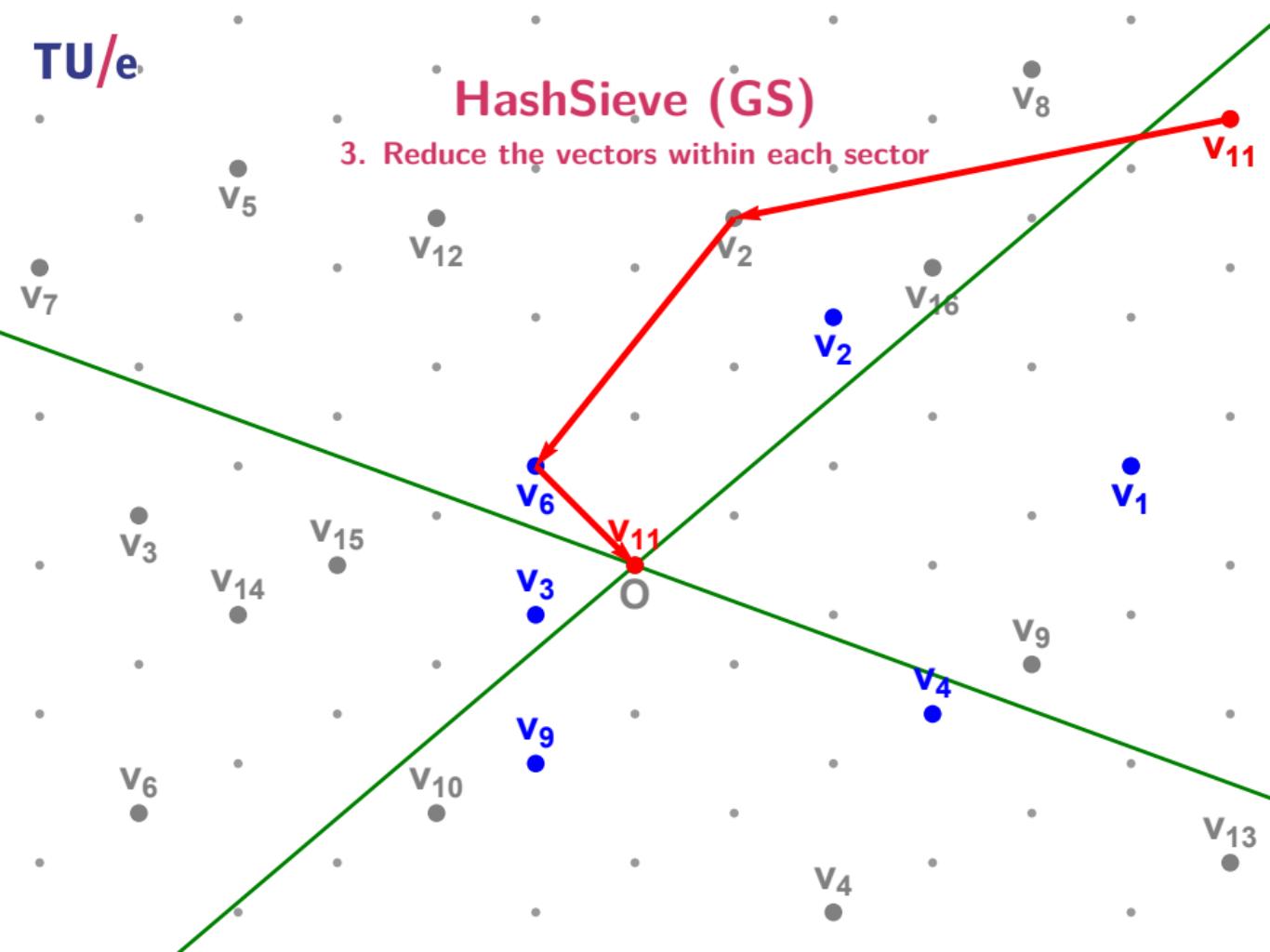
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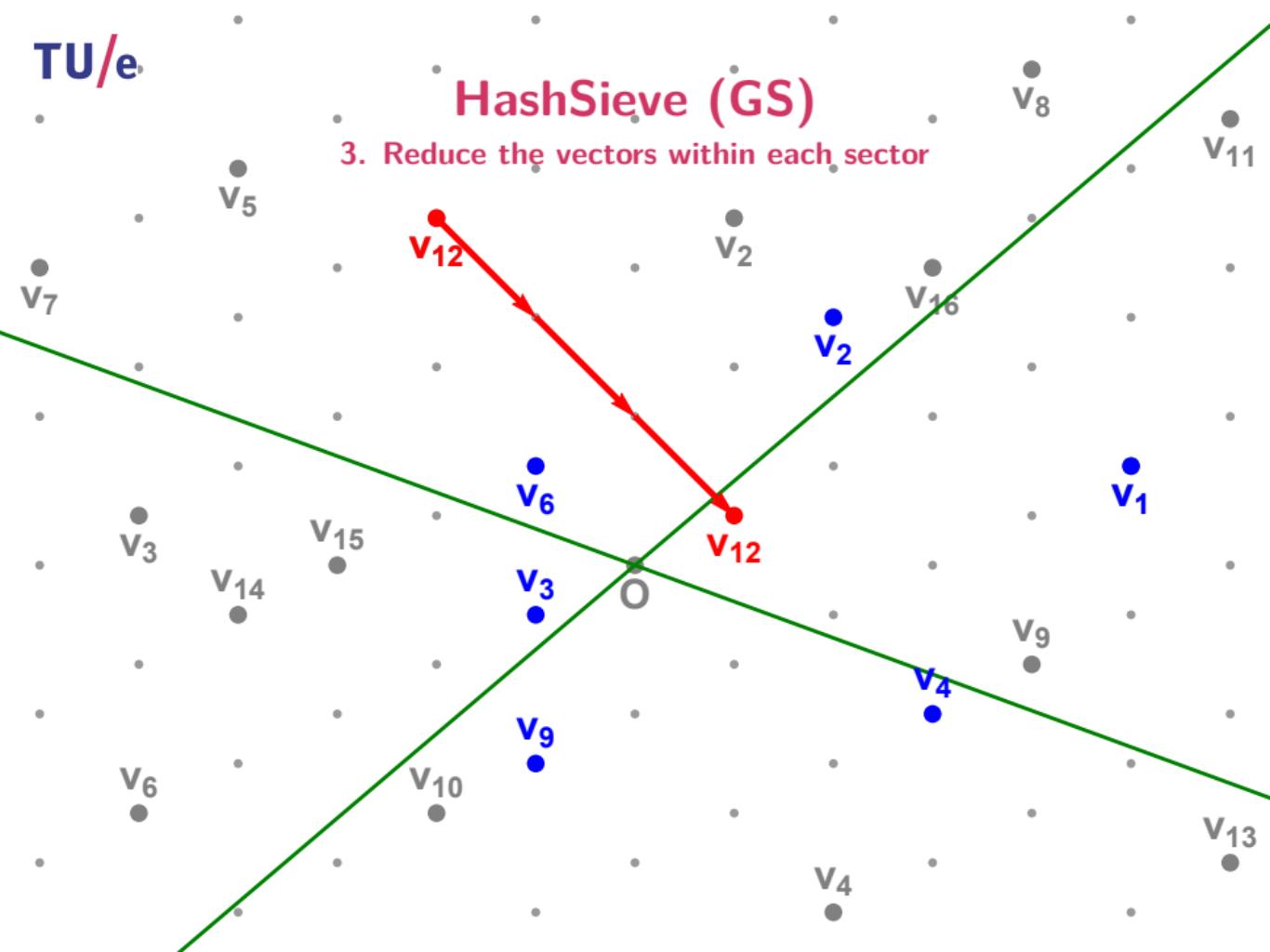
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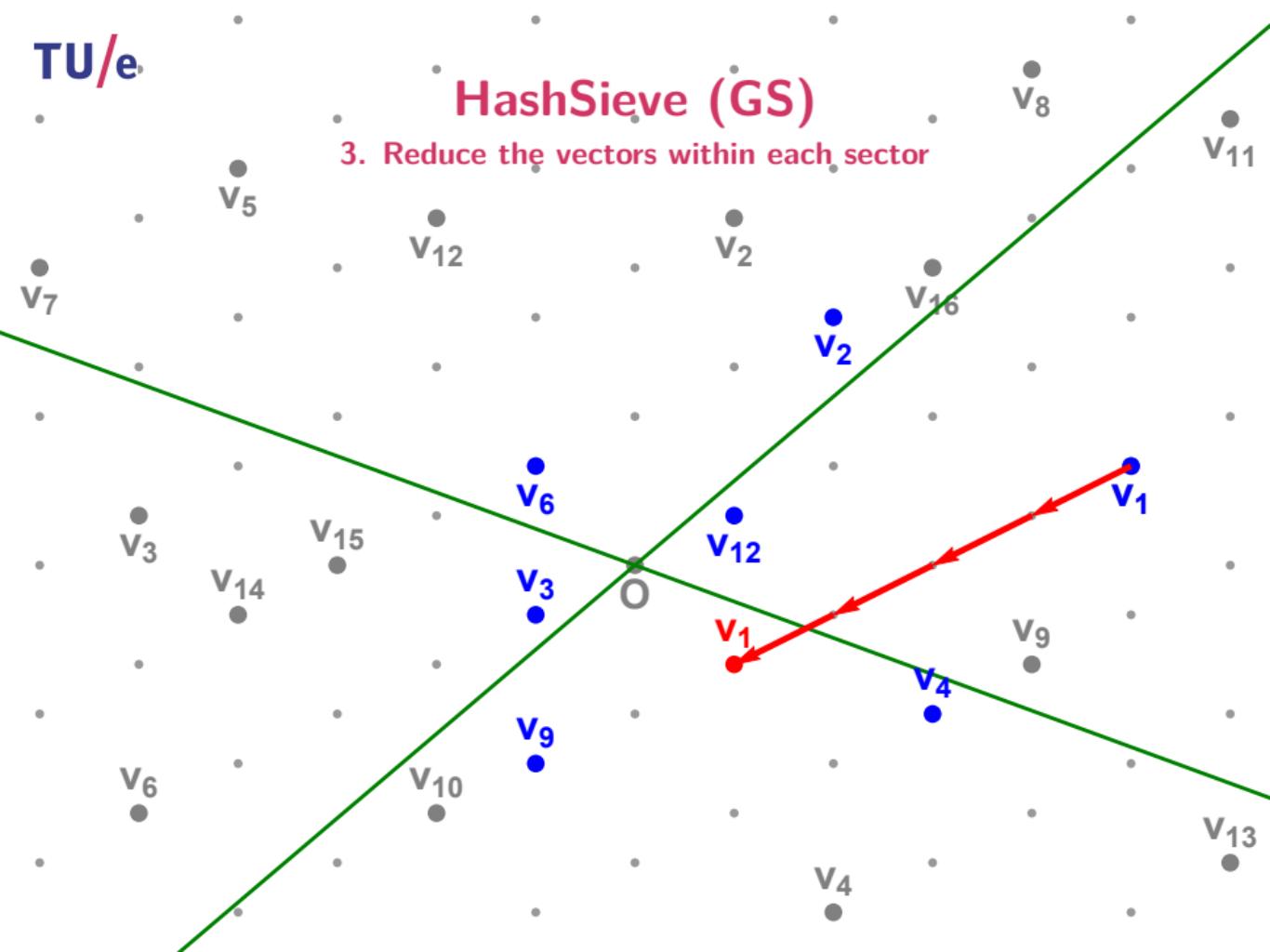
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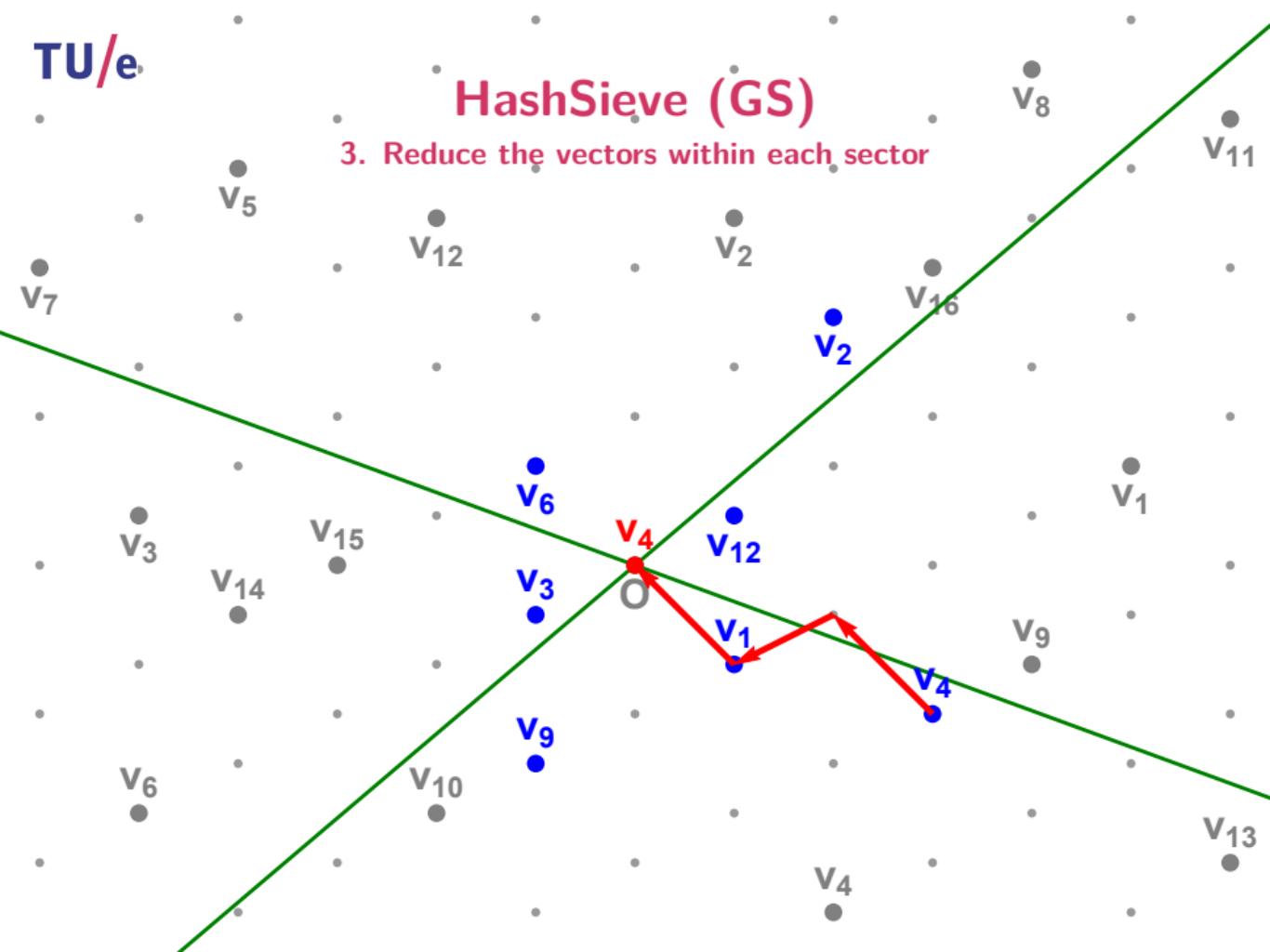
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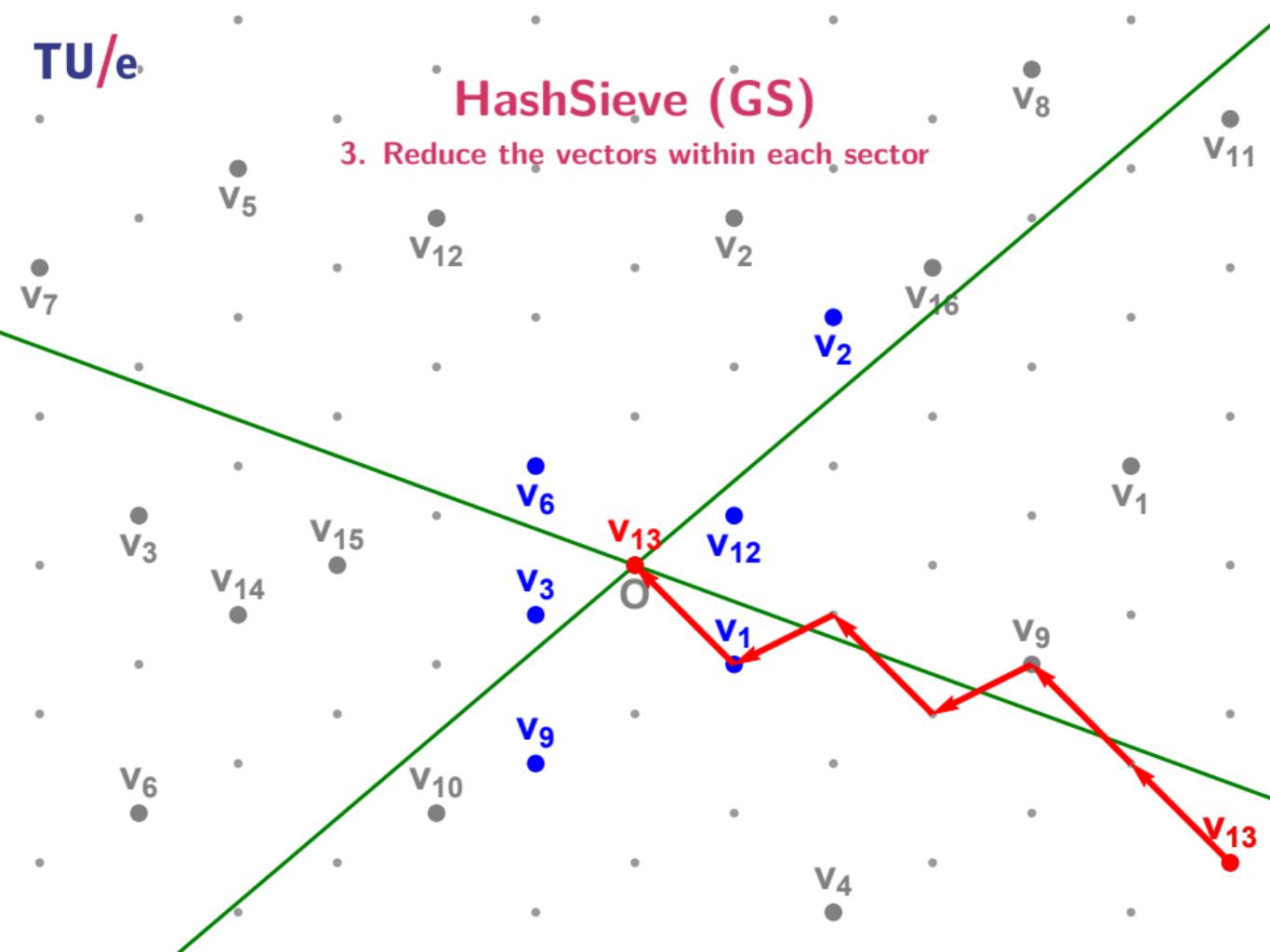
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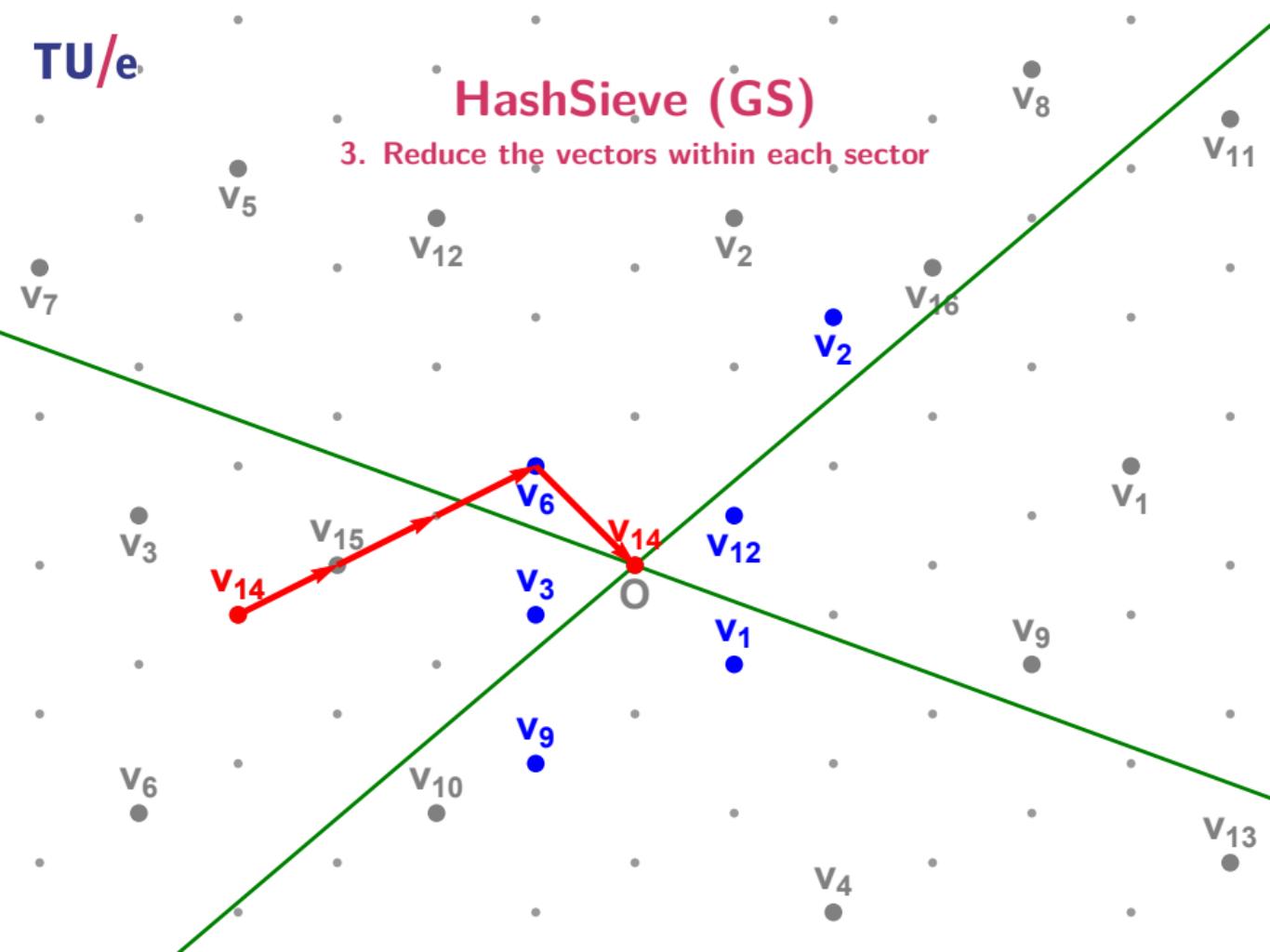
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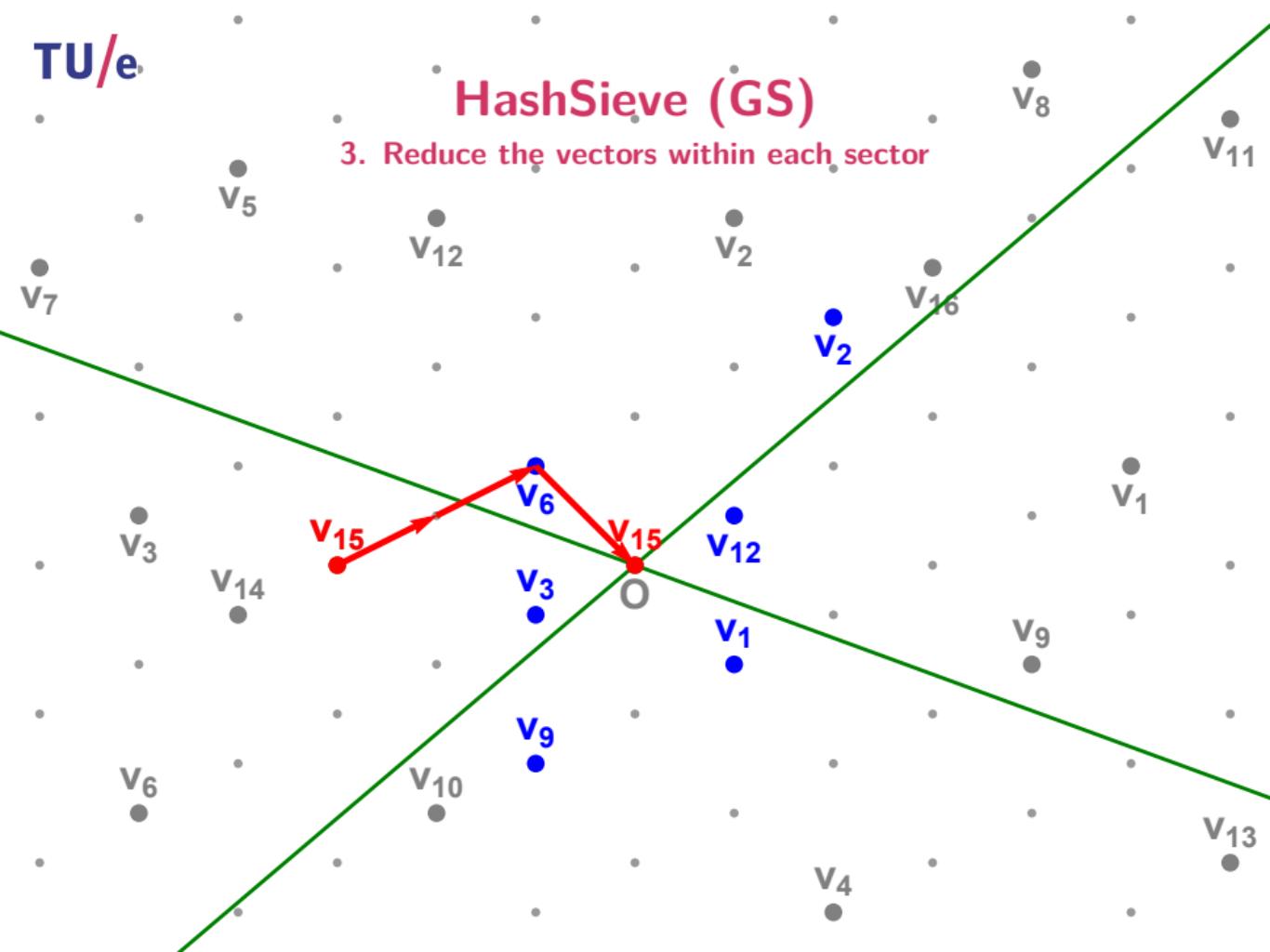
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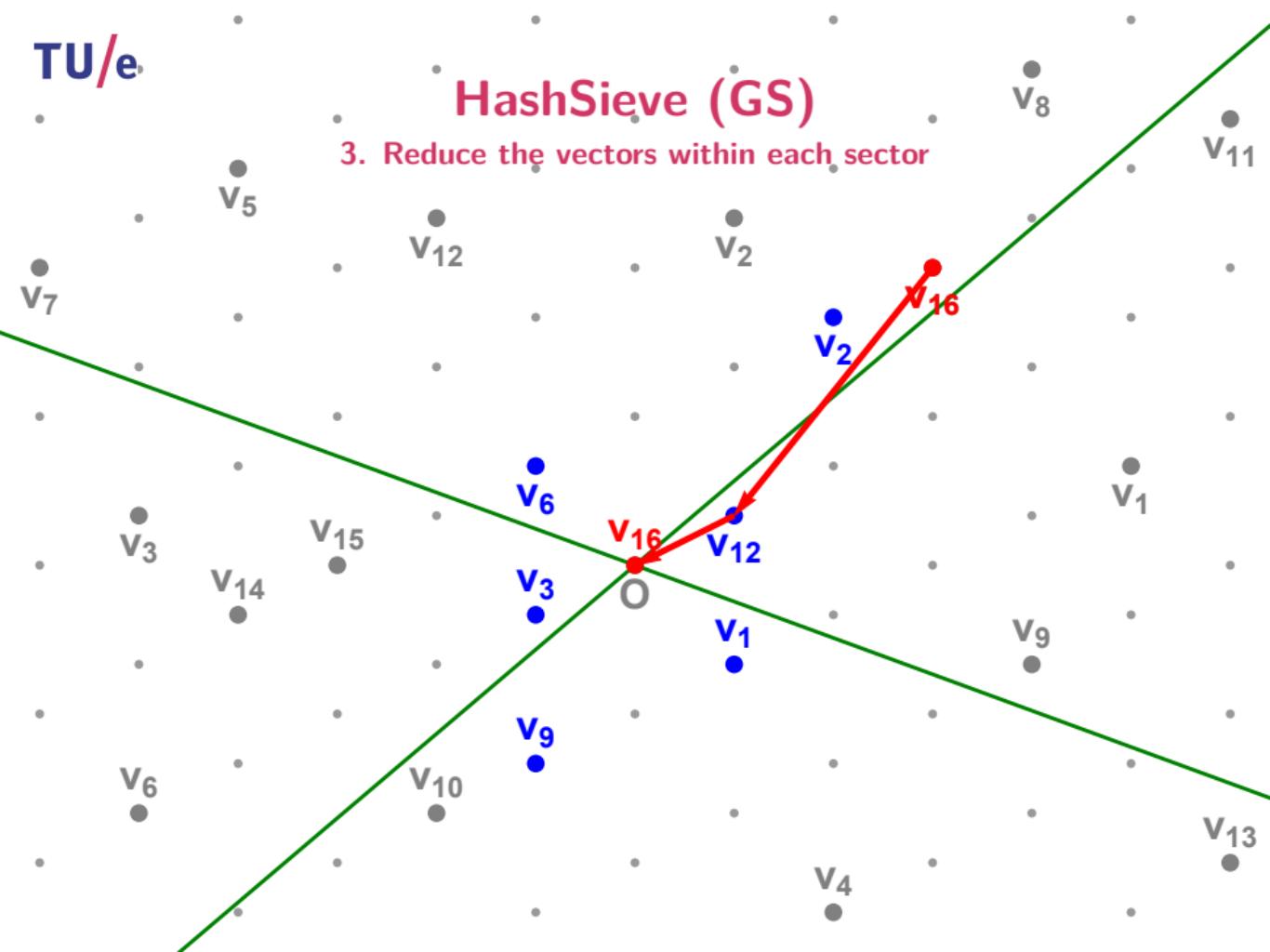
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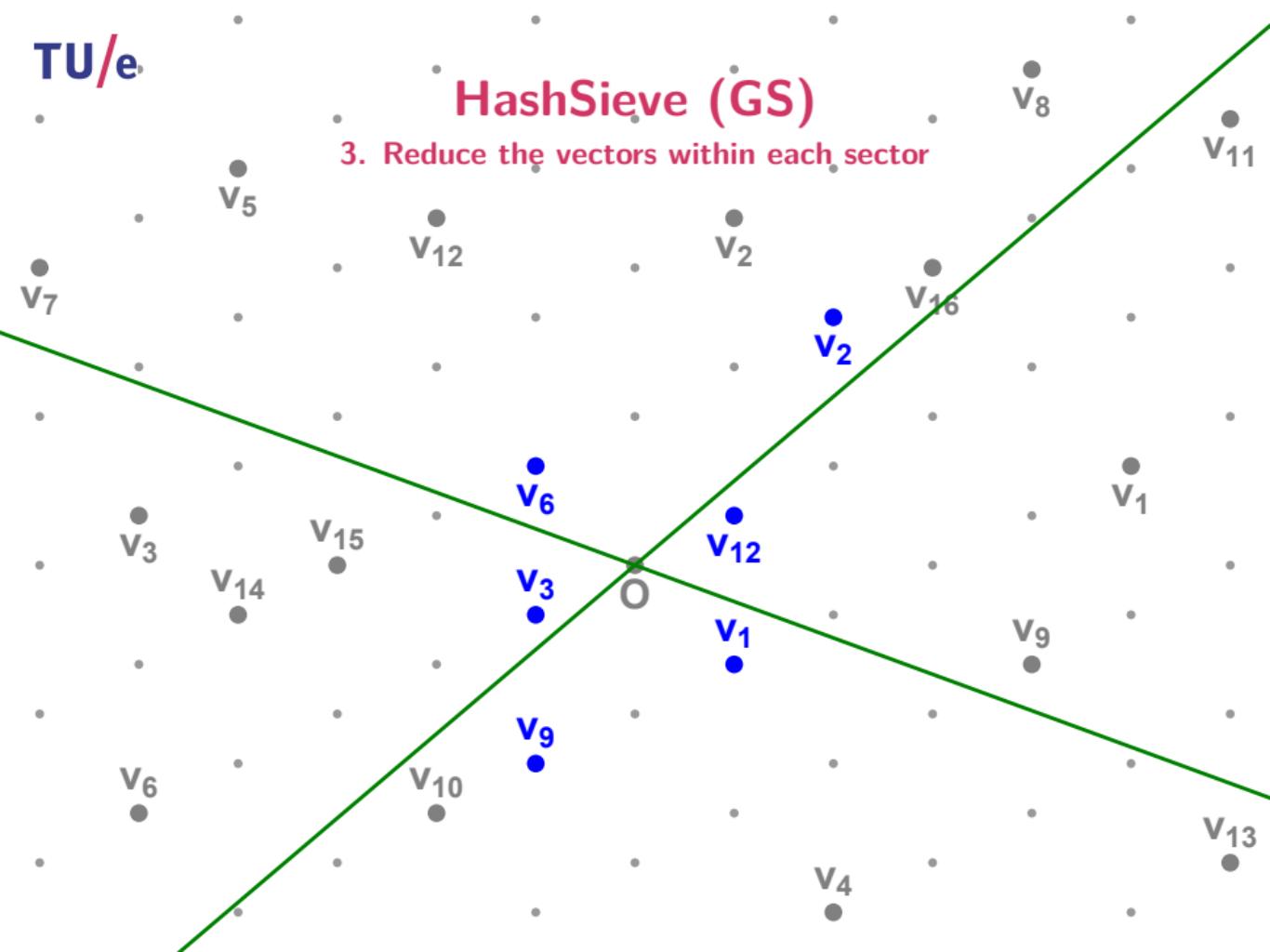
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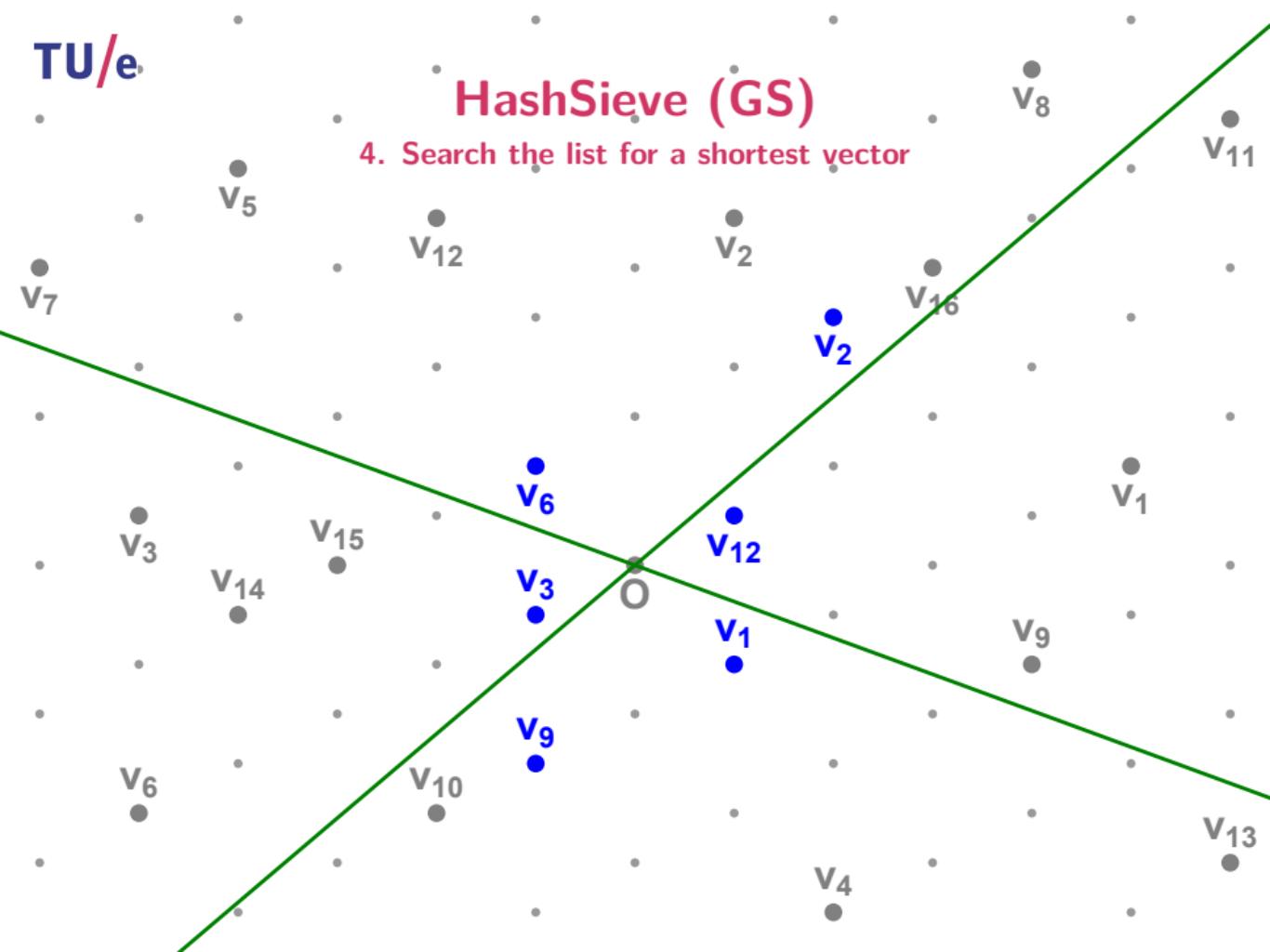
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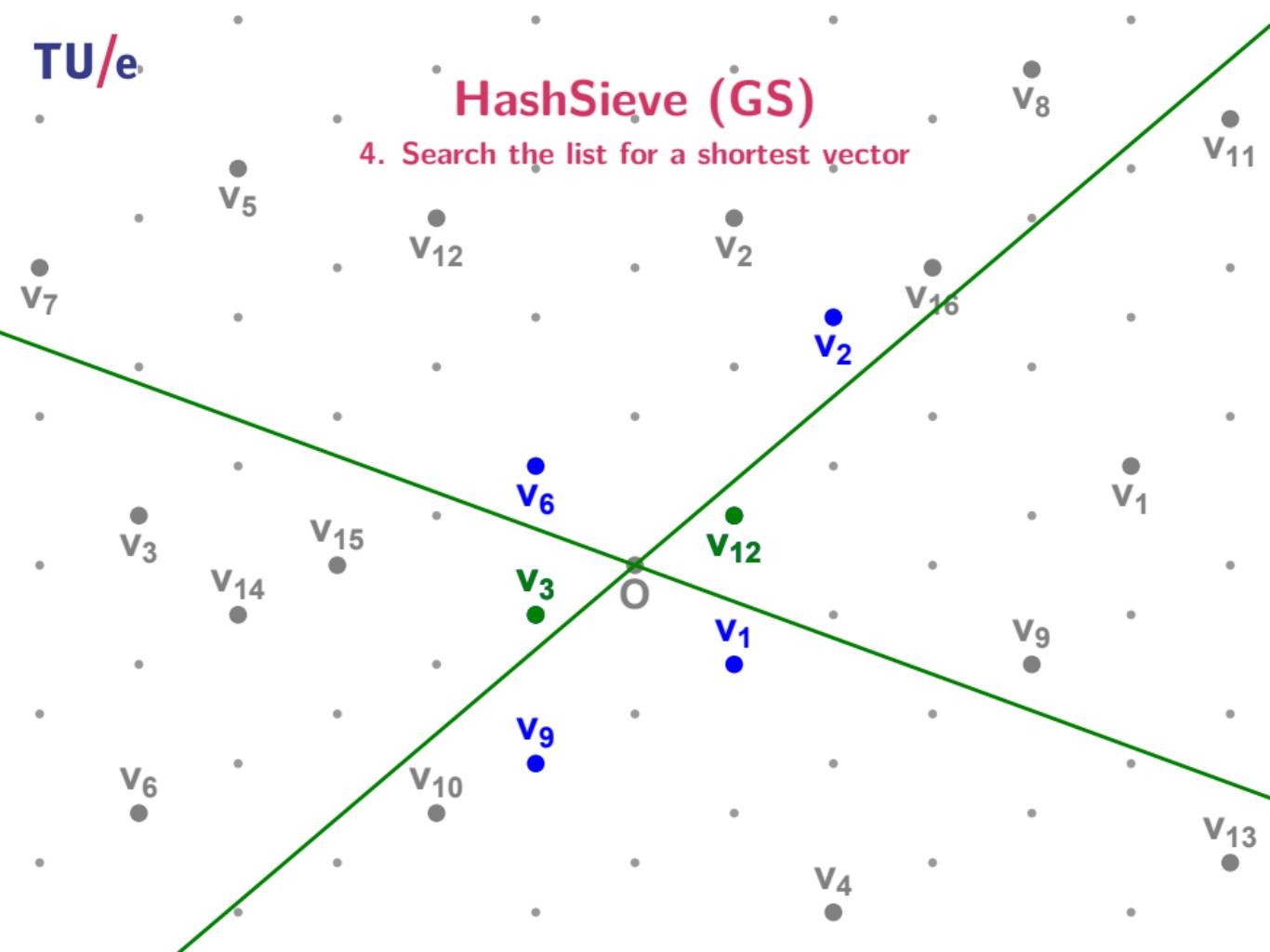
## HashSieve (GS)

4. Search the list for a shortest vector



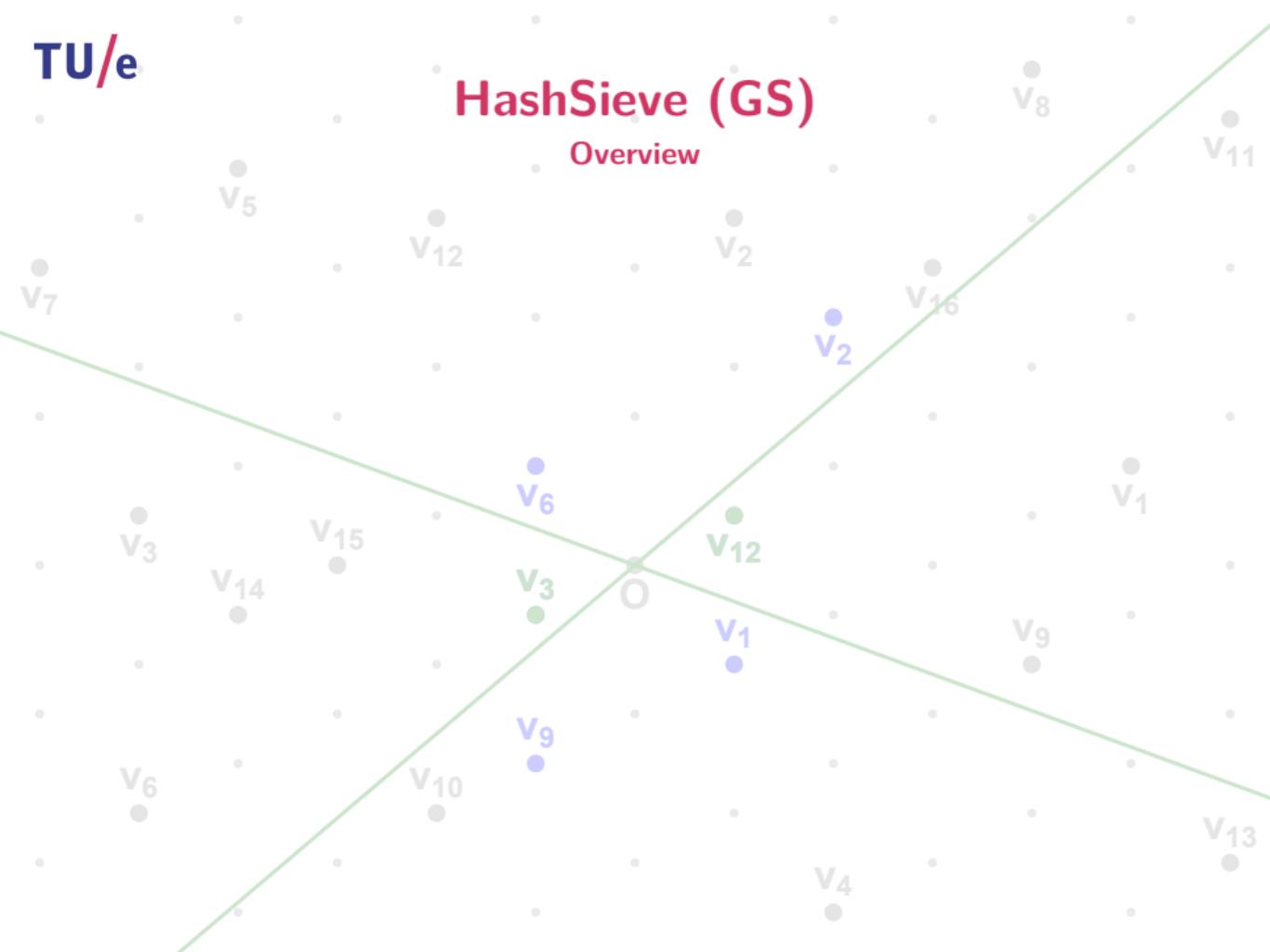
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# HashSieve (GS)

## Overview



# HashSieve (GS)

## Overview

- Two parameters to tune
  - ▶  $k = O(n)$ : Number of hyperplanes, leading to  $2^k$  regions
  - ▶  $t = 2^{O(n)}$ : Number of different, independent hash tables

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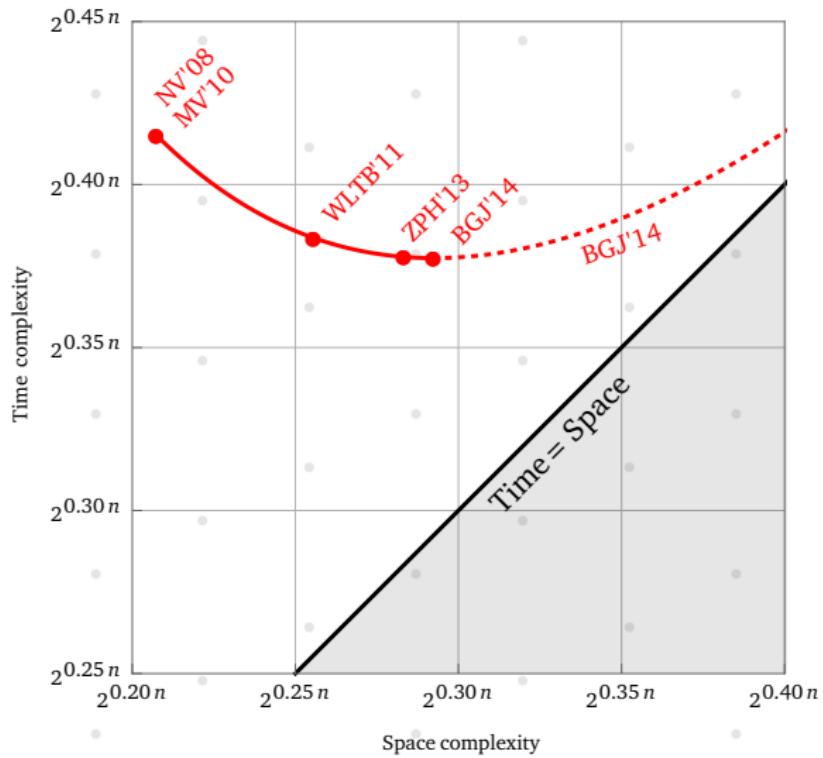
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## Heuristic

The HashSieve (GS) runs in time and space  $2^{0.34n+o(n)}$ .

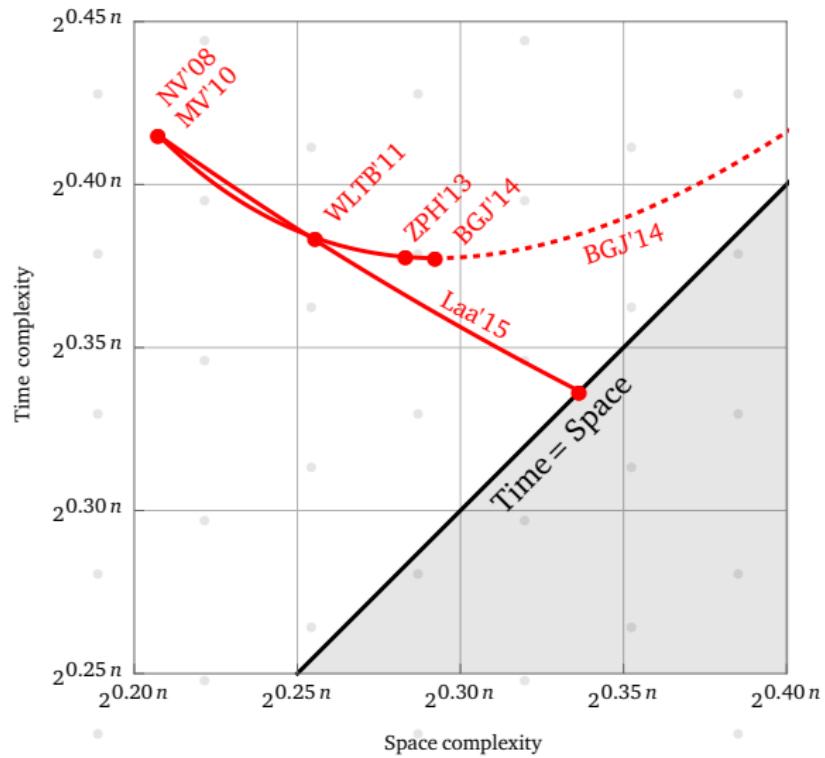
# HashSieve (GS)

## Space/time trade-off



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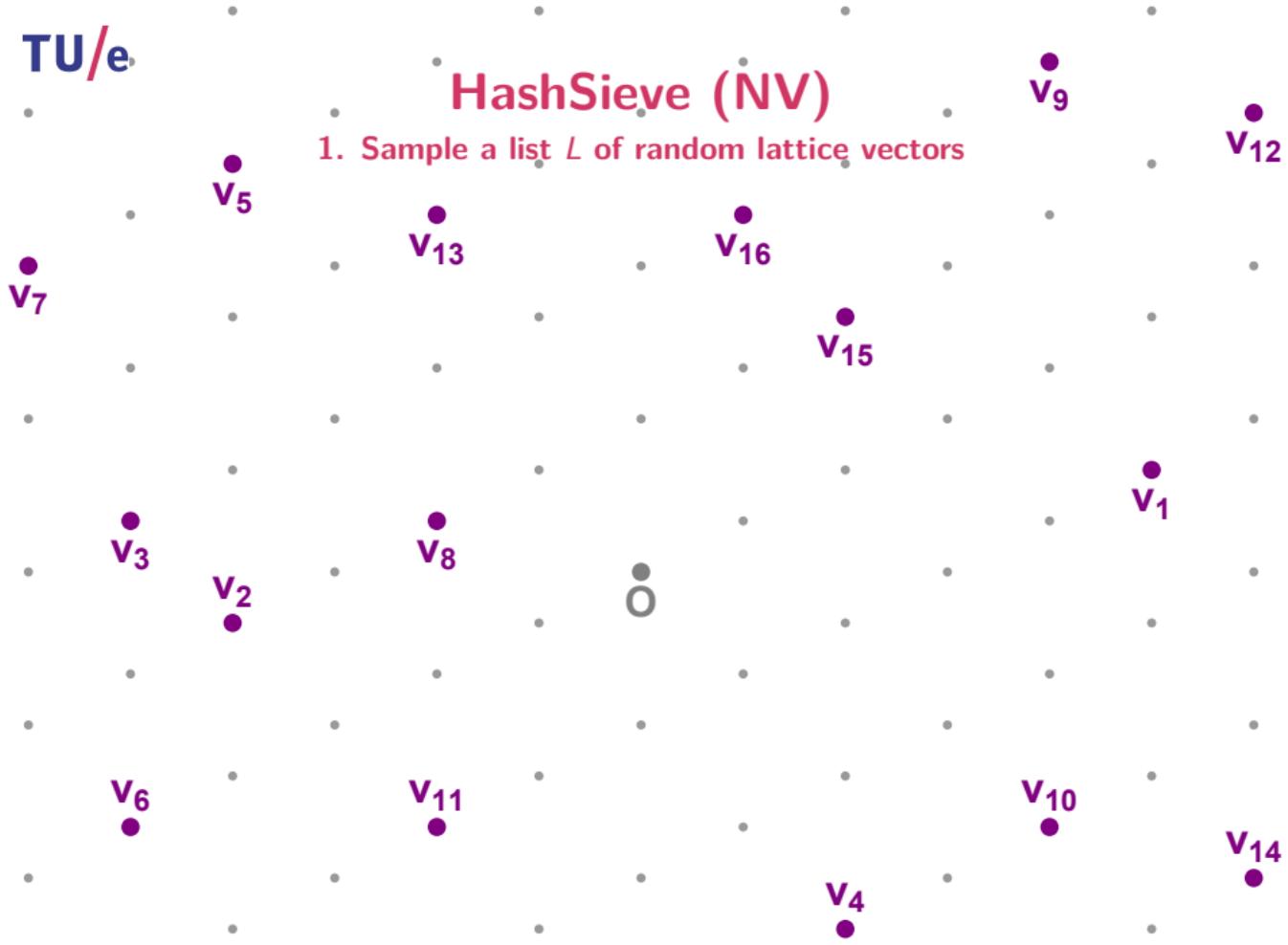
# HashSieve (NV)

1. Sample a list  $L$  of random lattice vectors



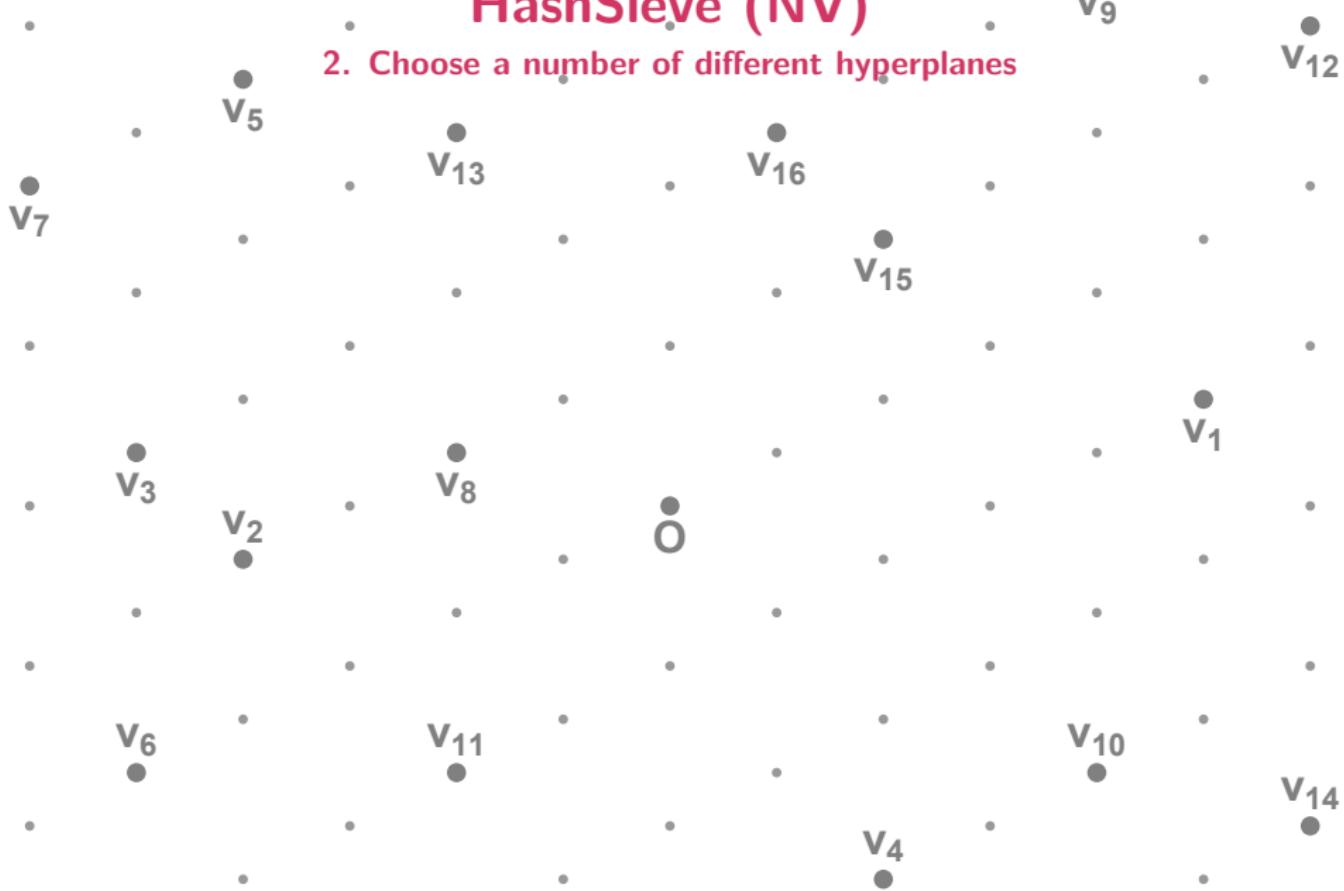
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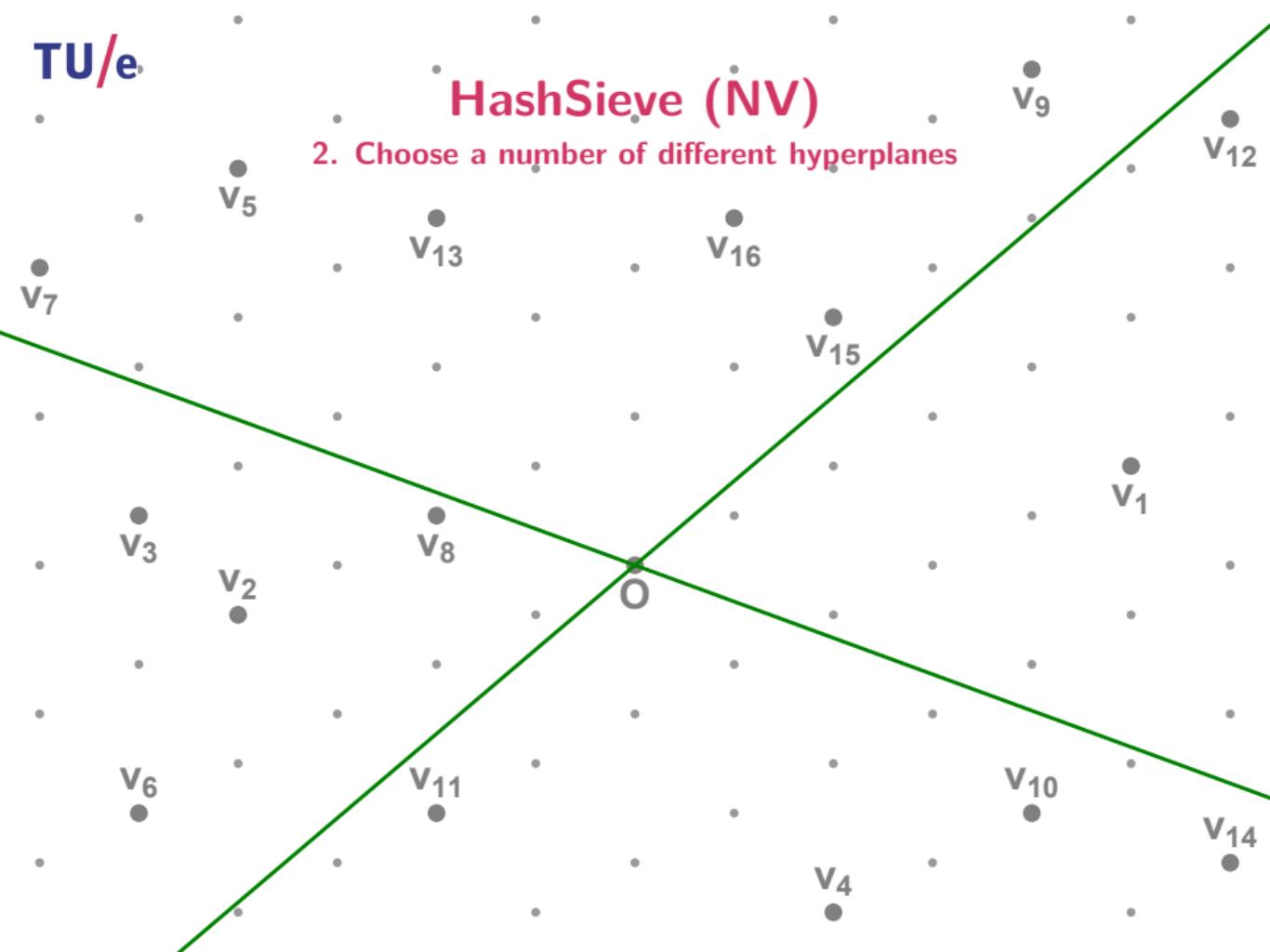
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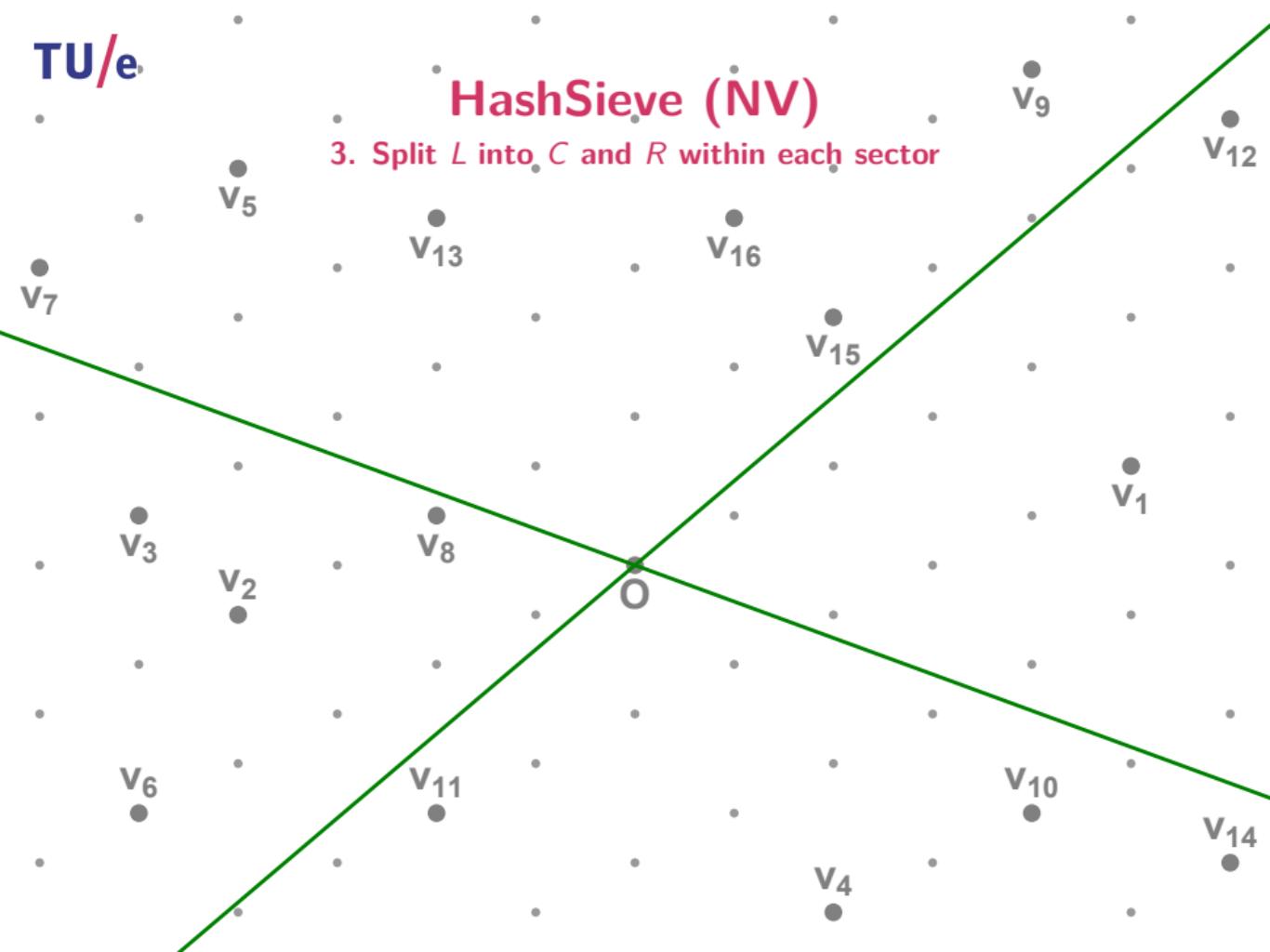
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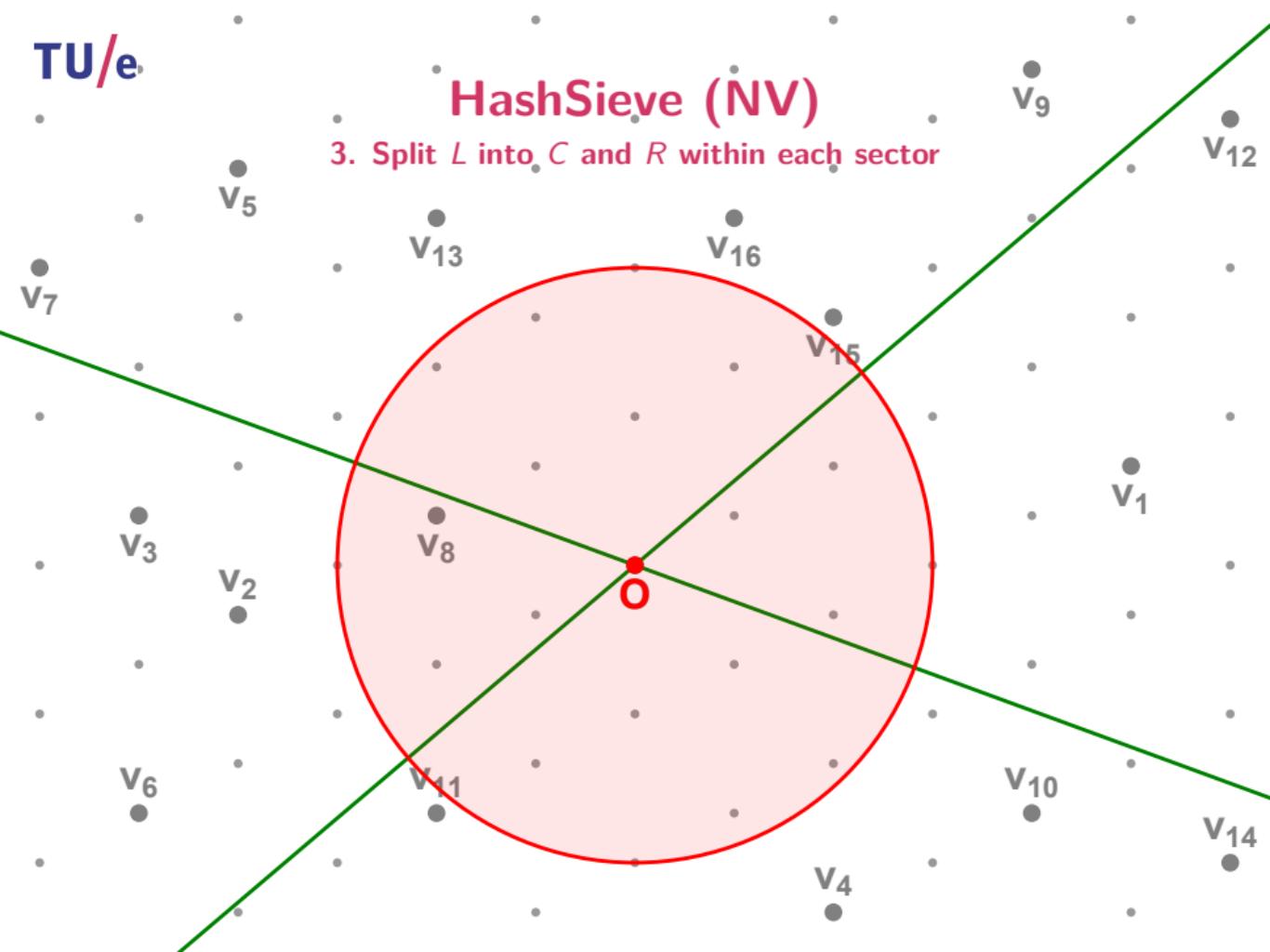
# HashSieve (NV)

3. Split  $L$  into  $C$  and  $R$  within each sector



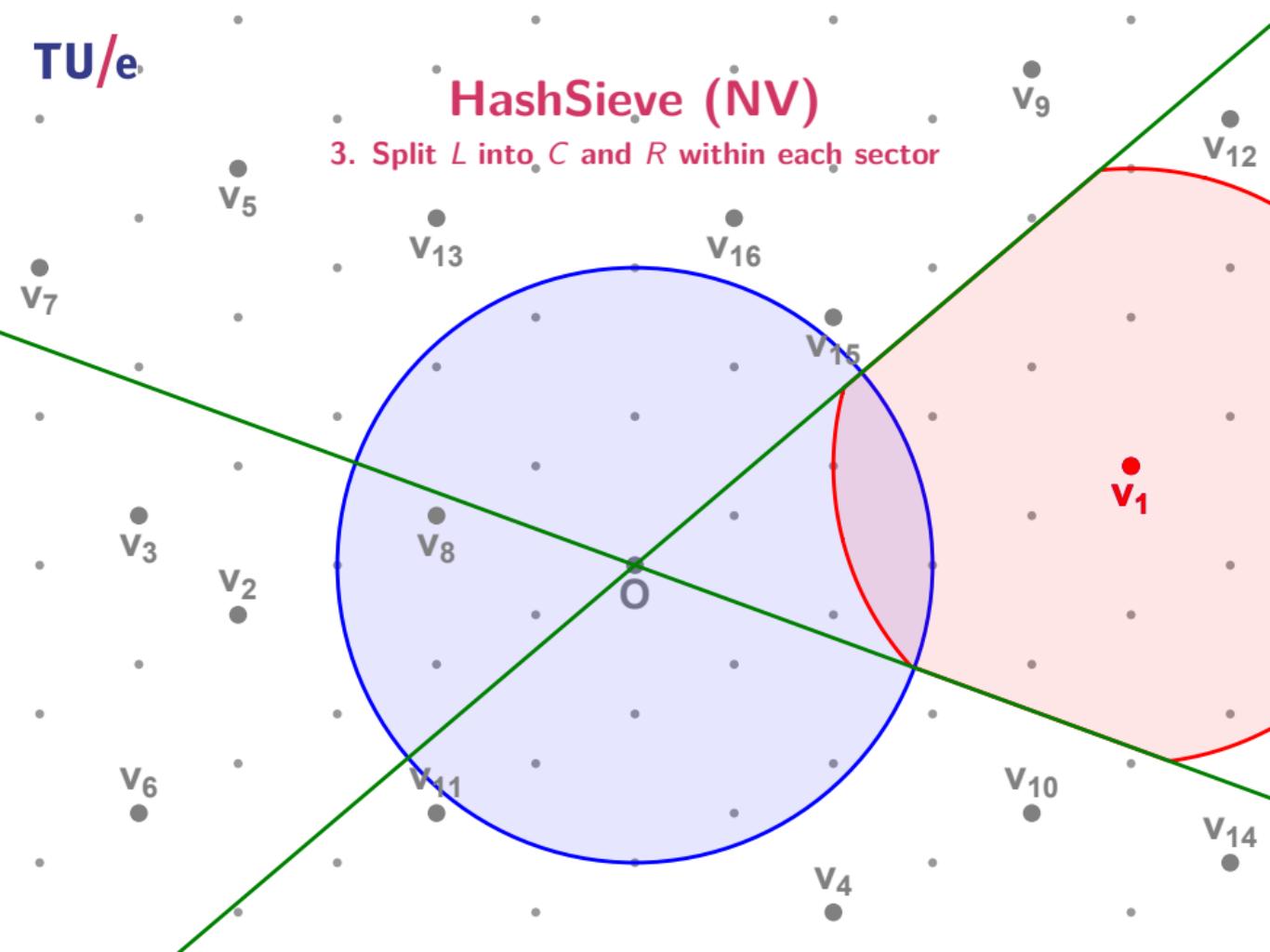
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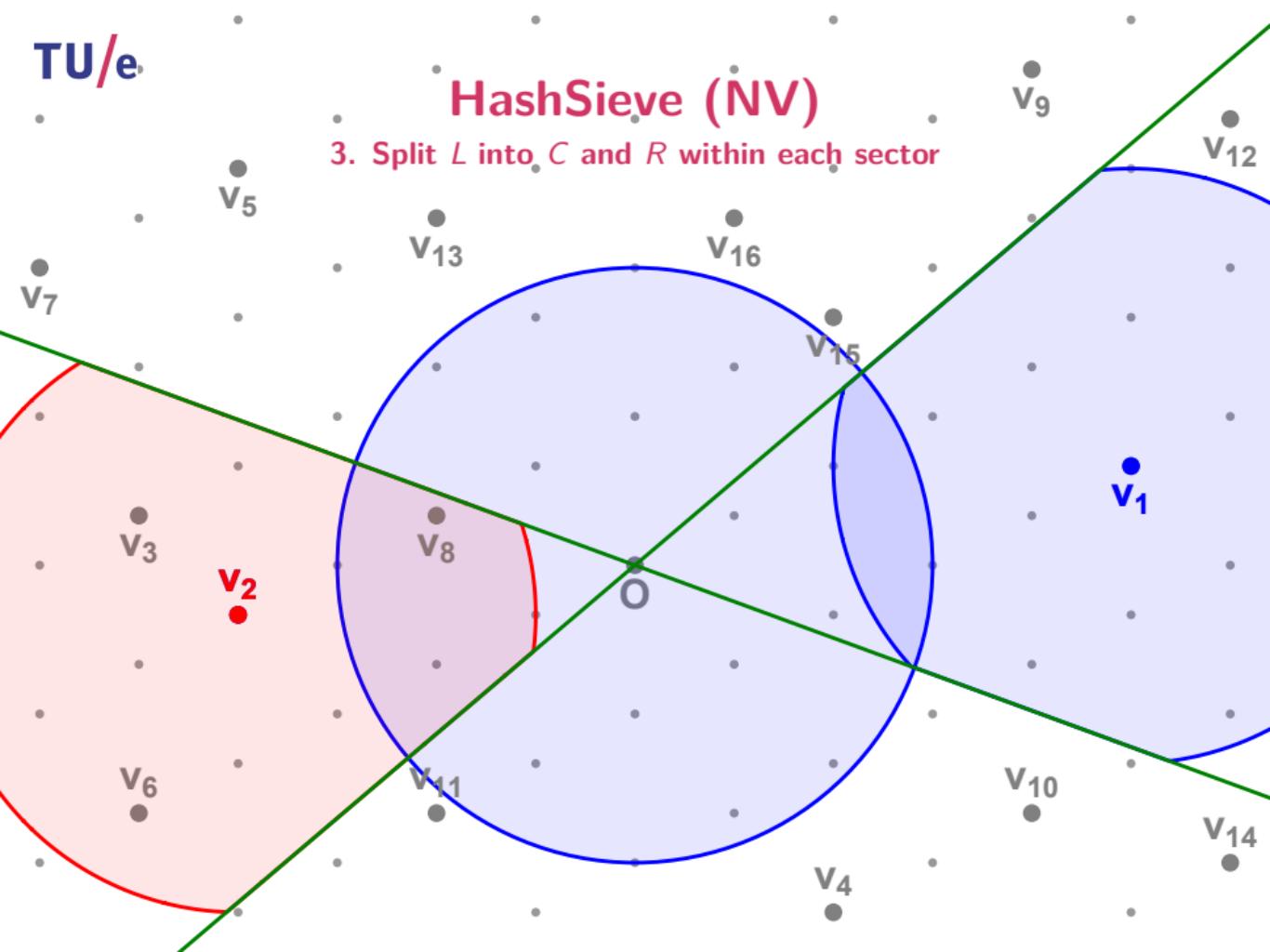
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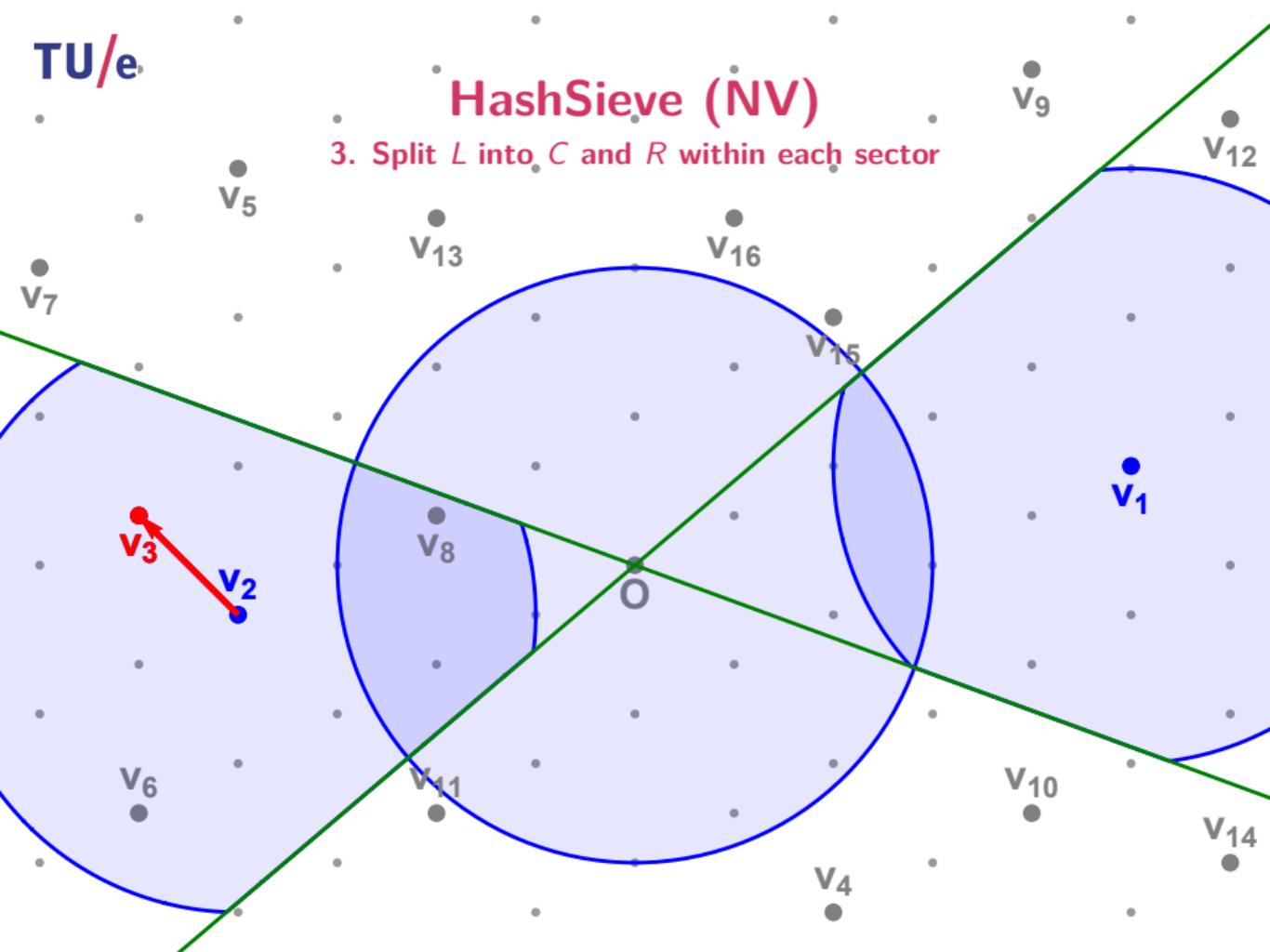
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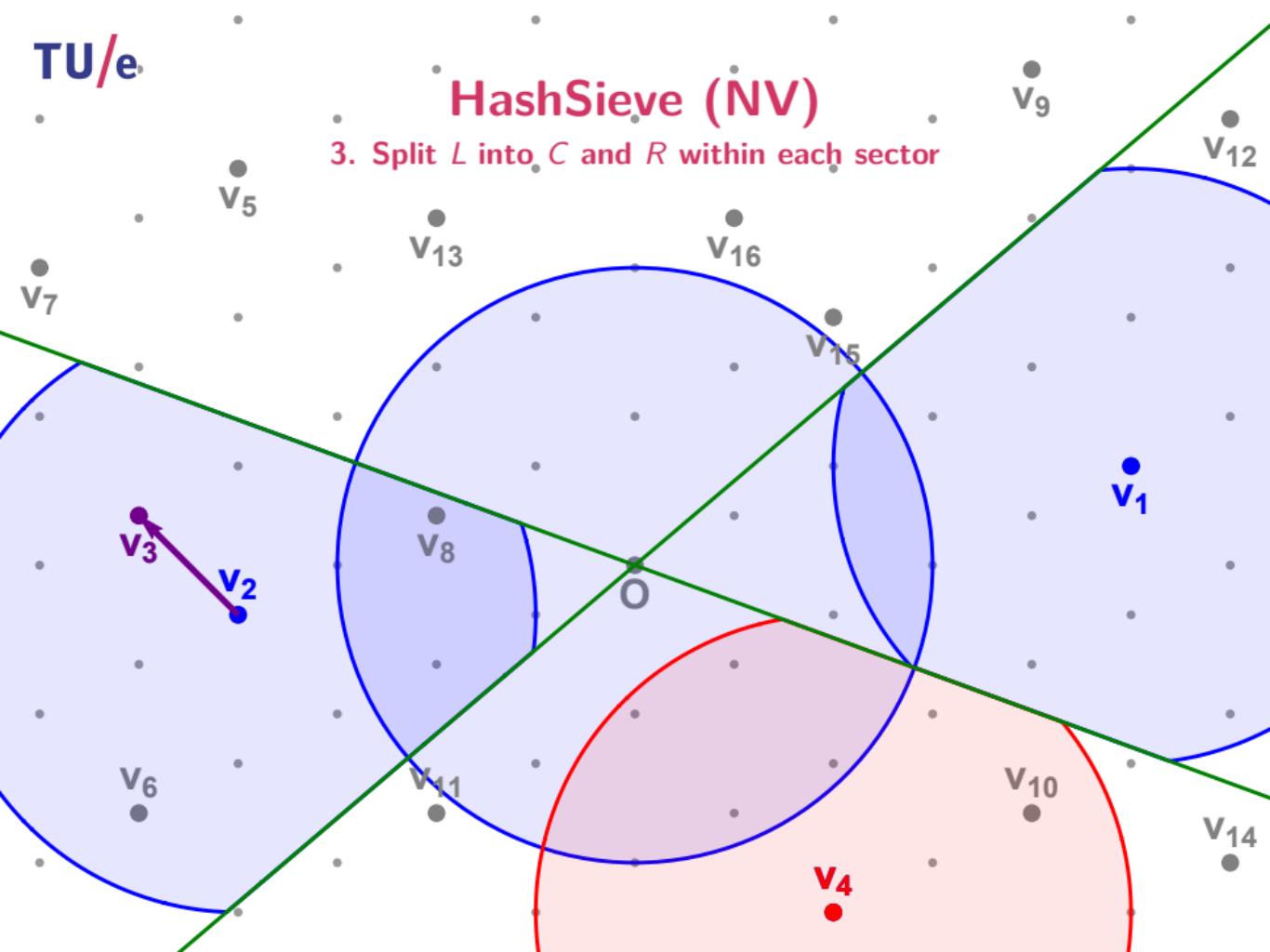
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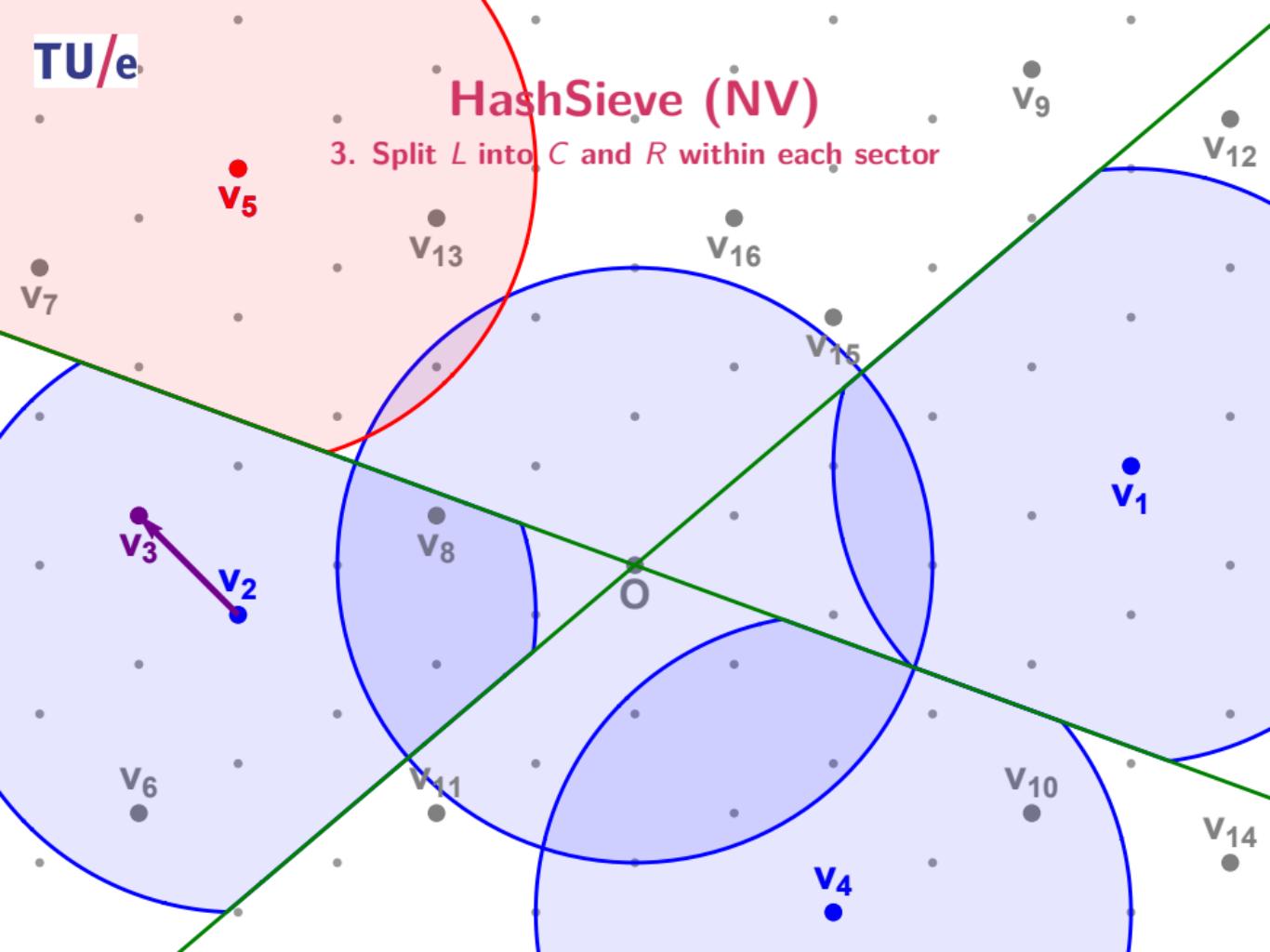
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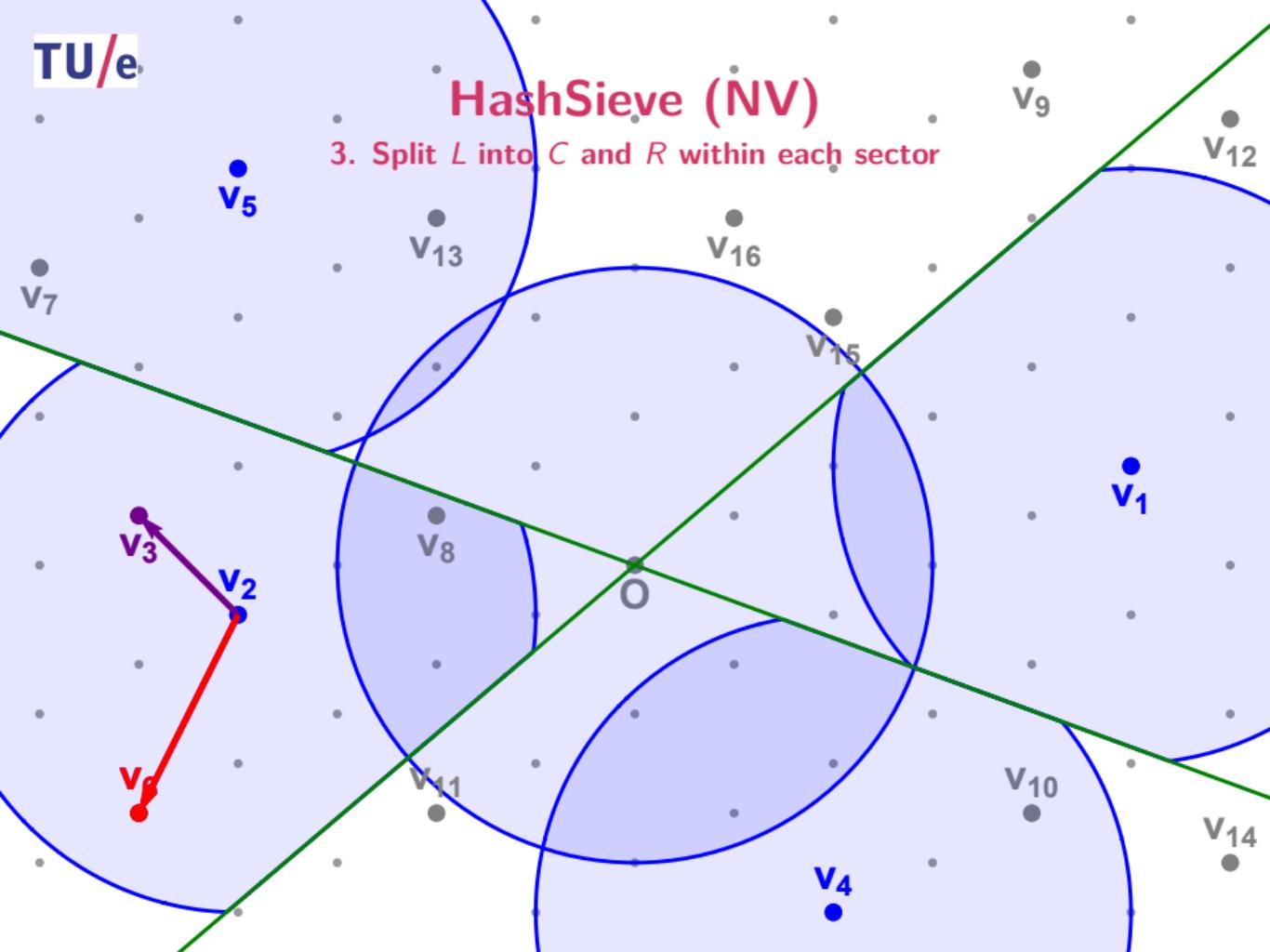
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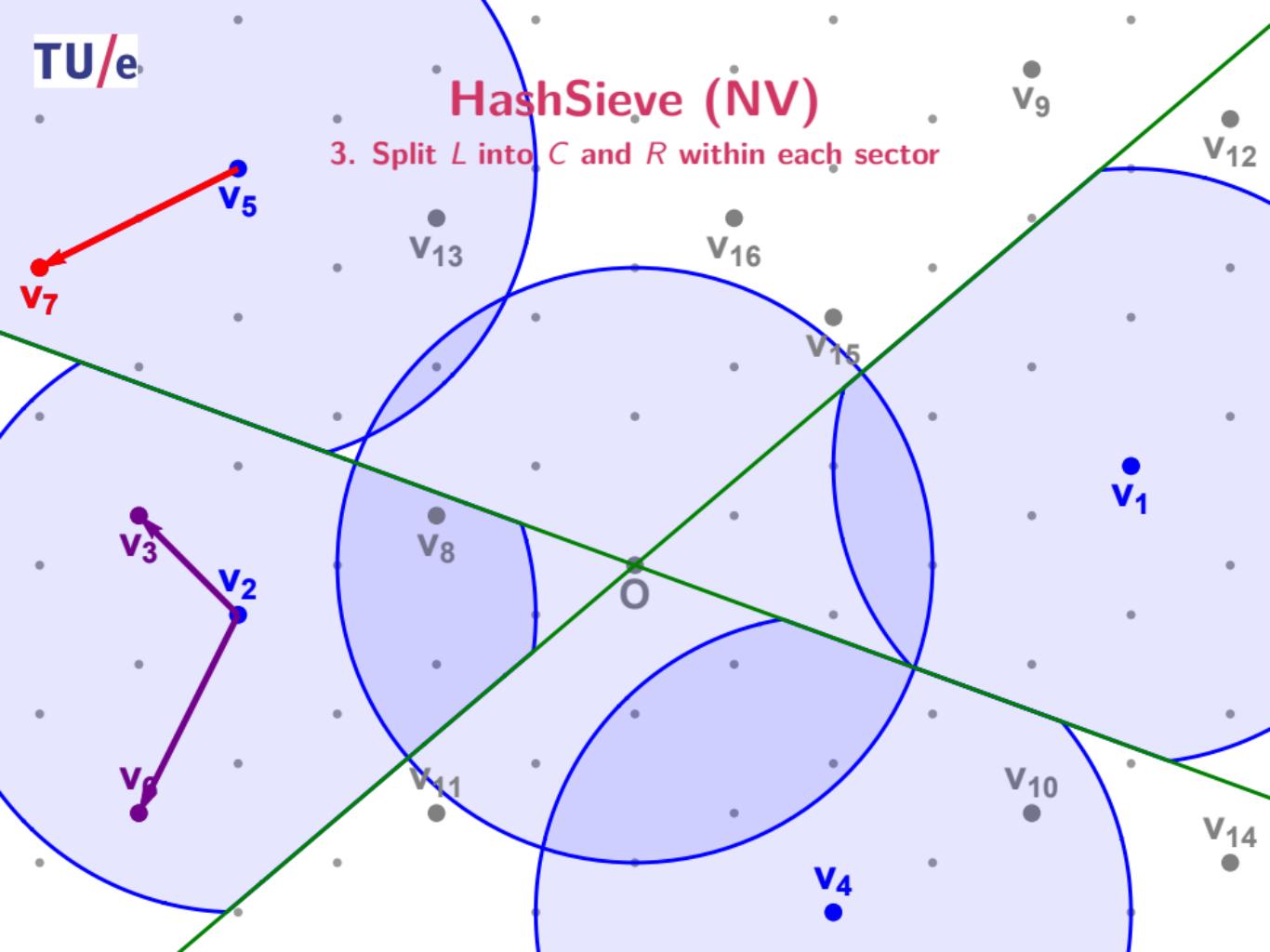
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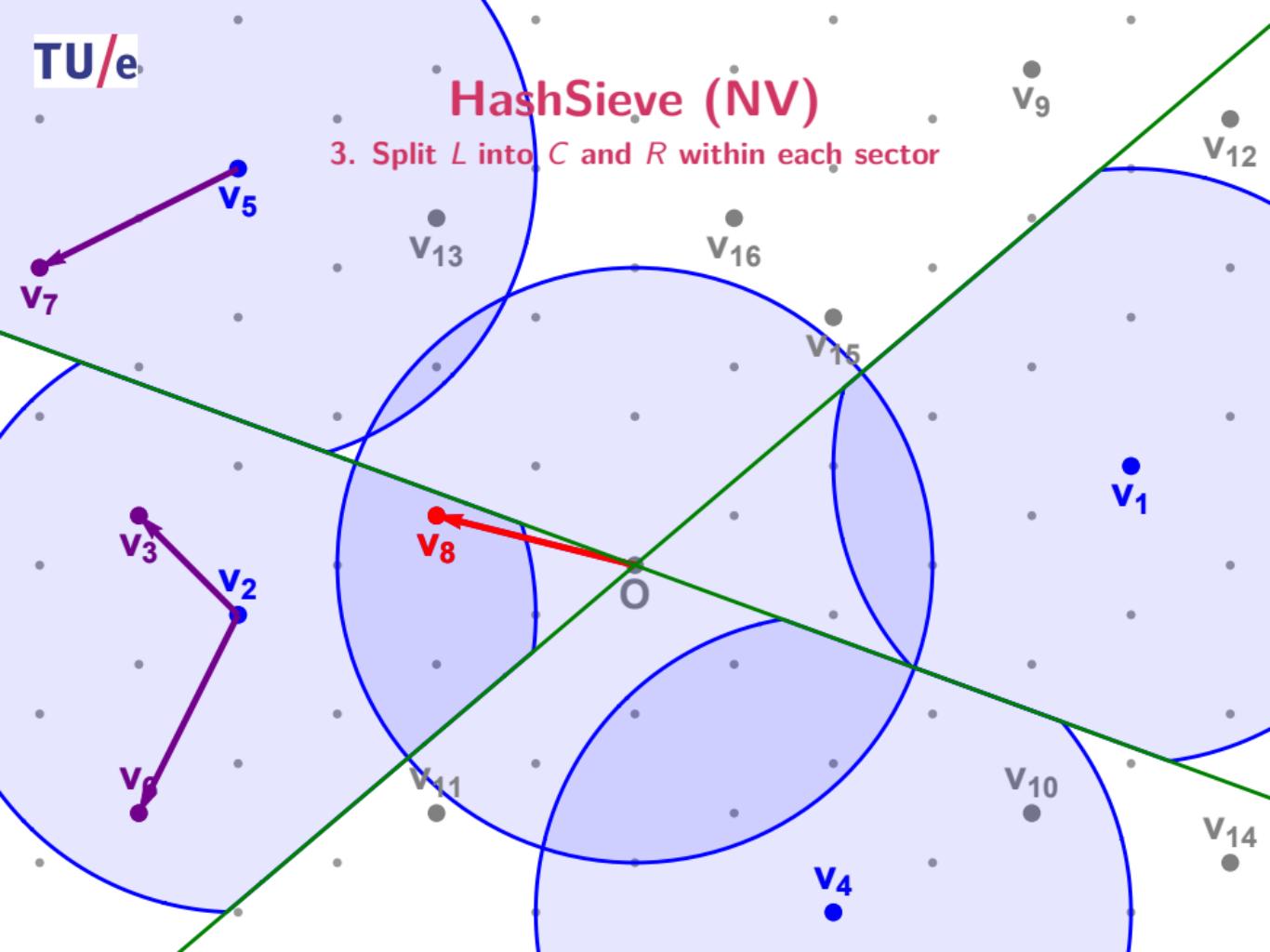
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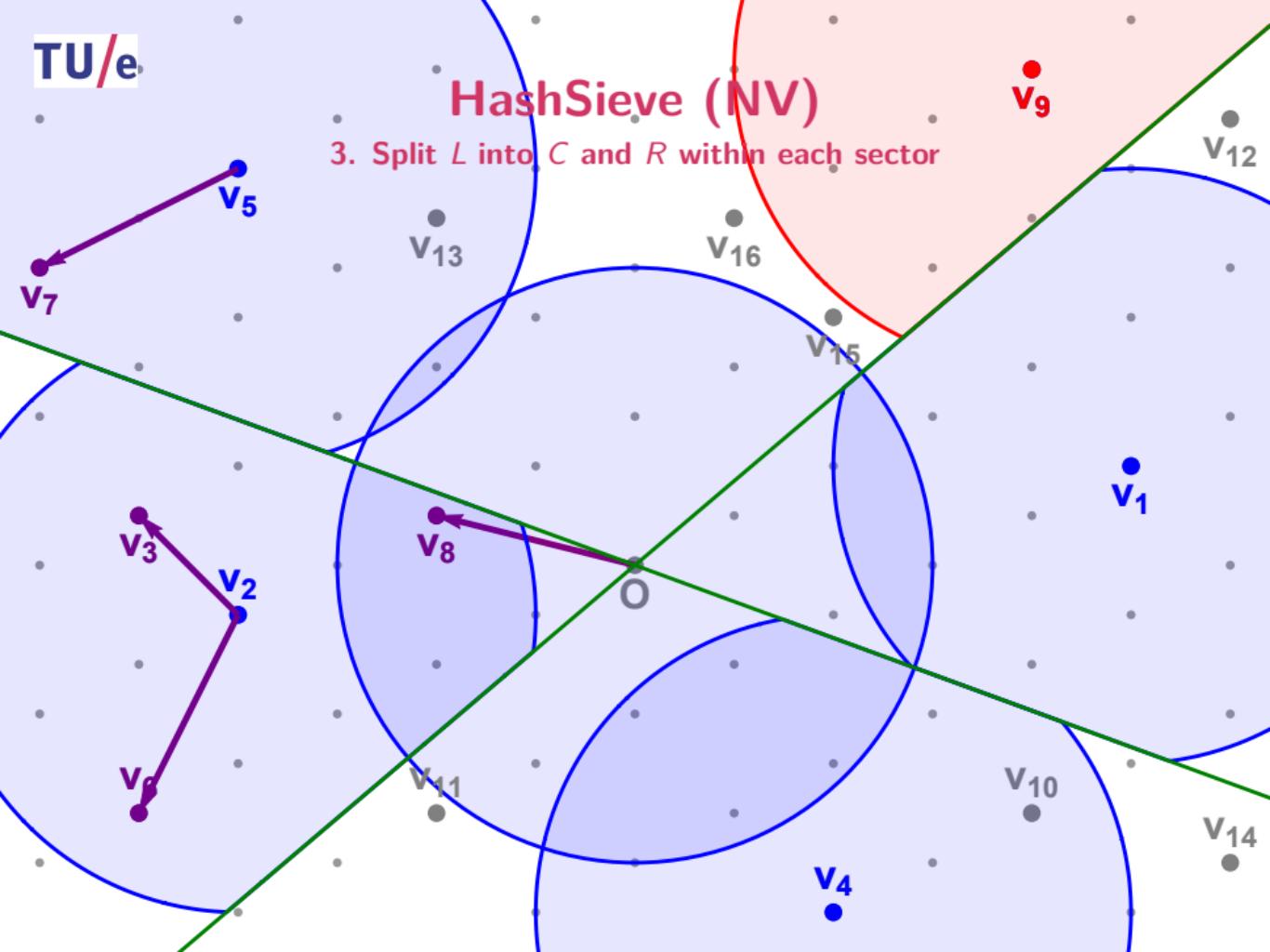
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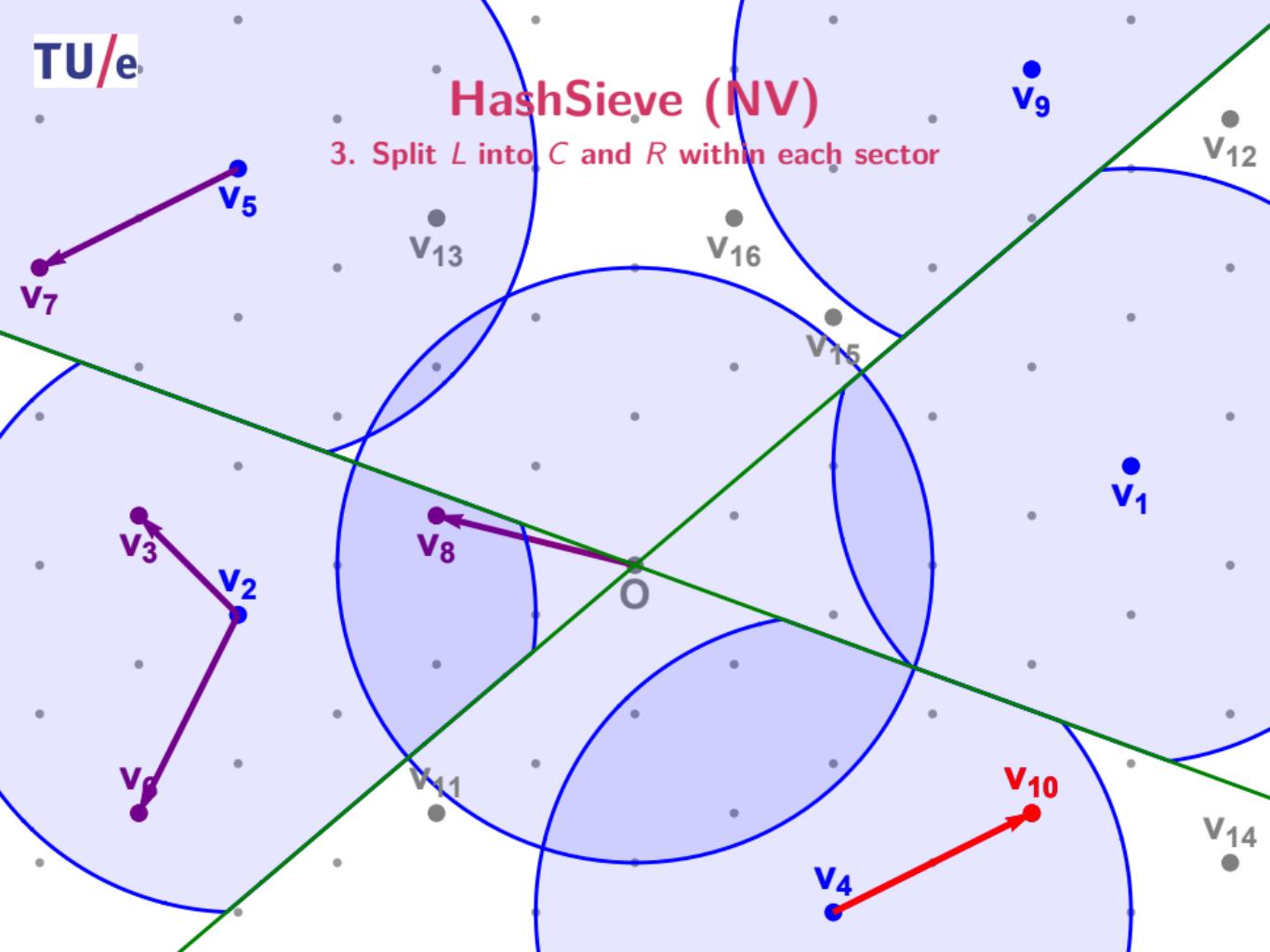
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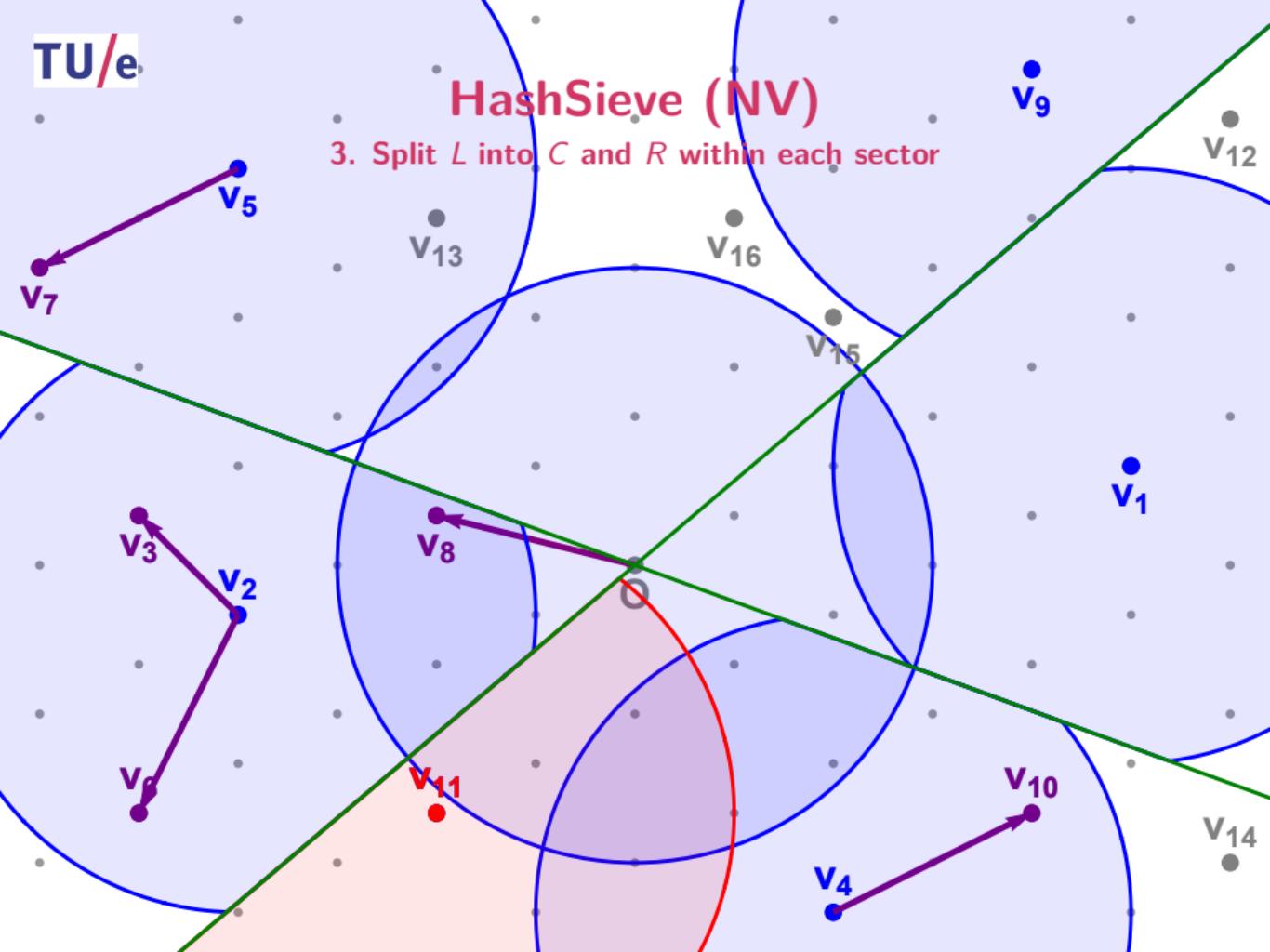
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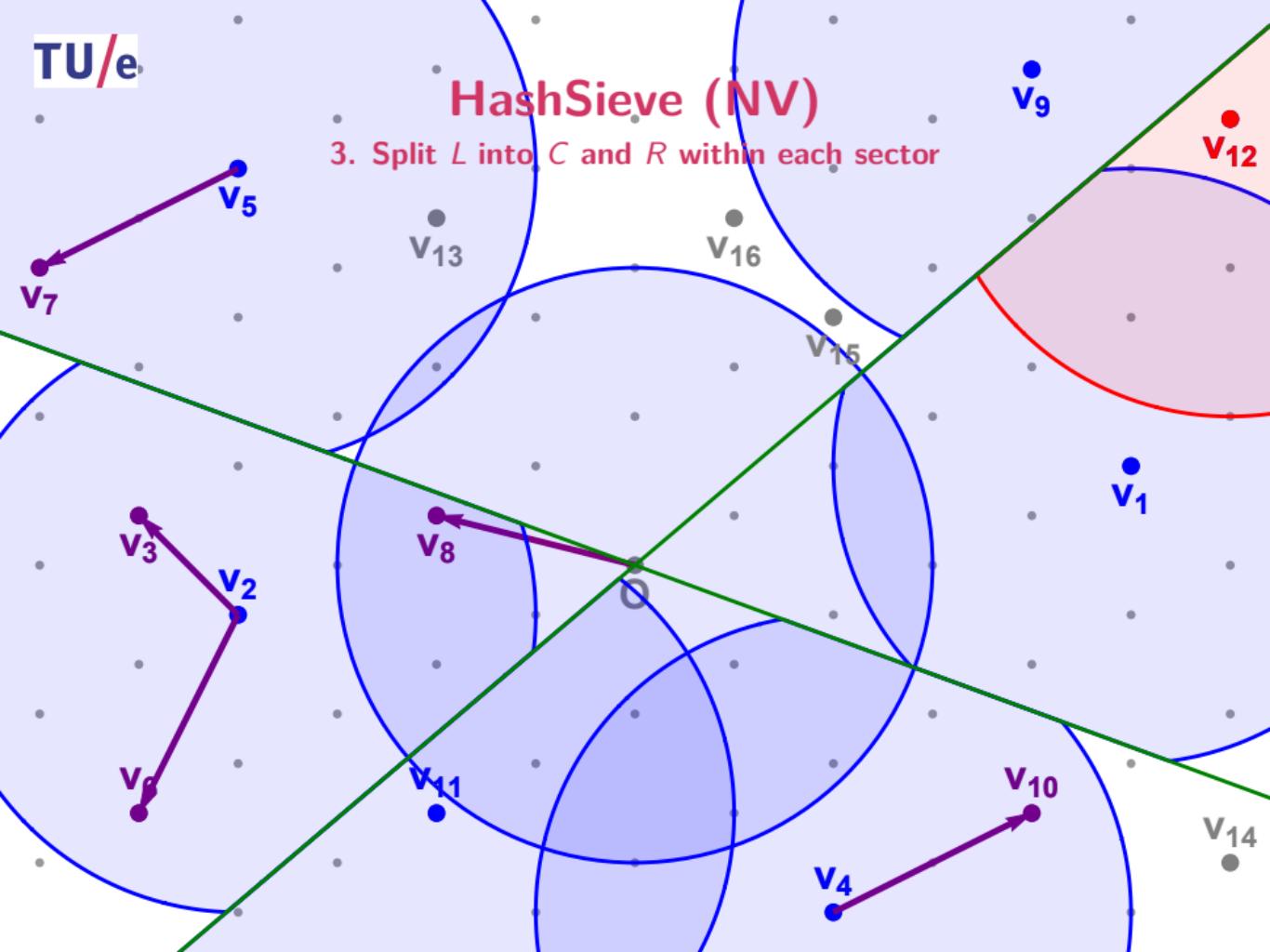
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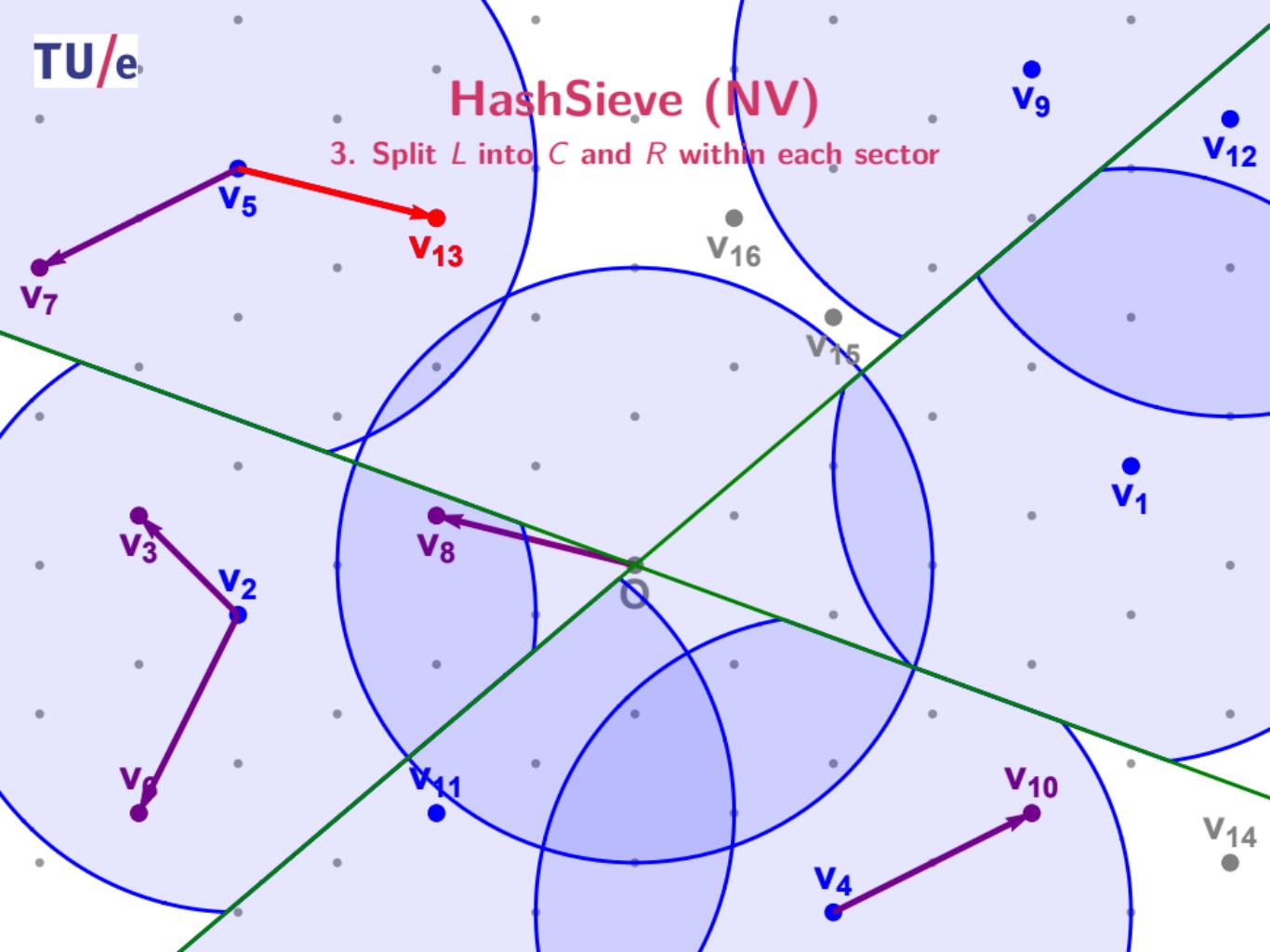
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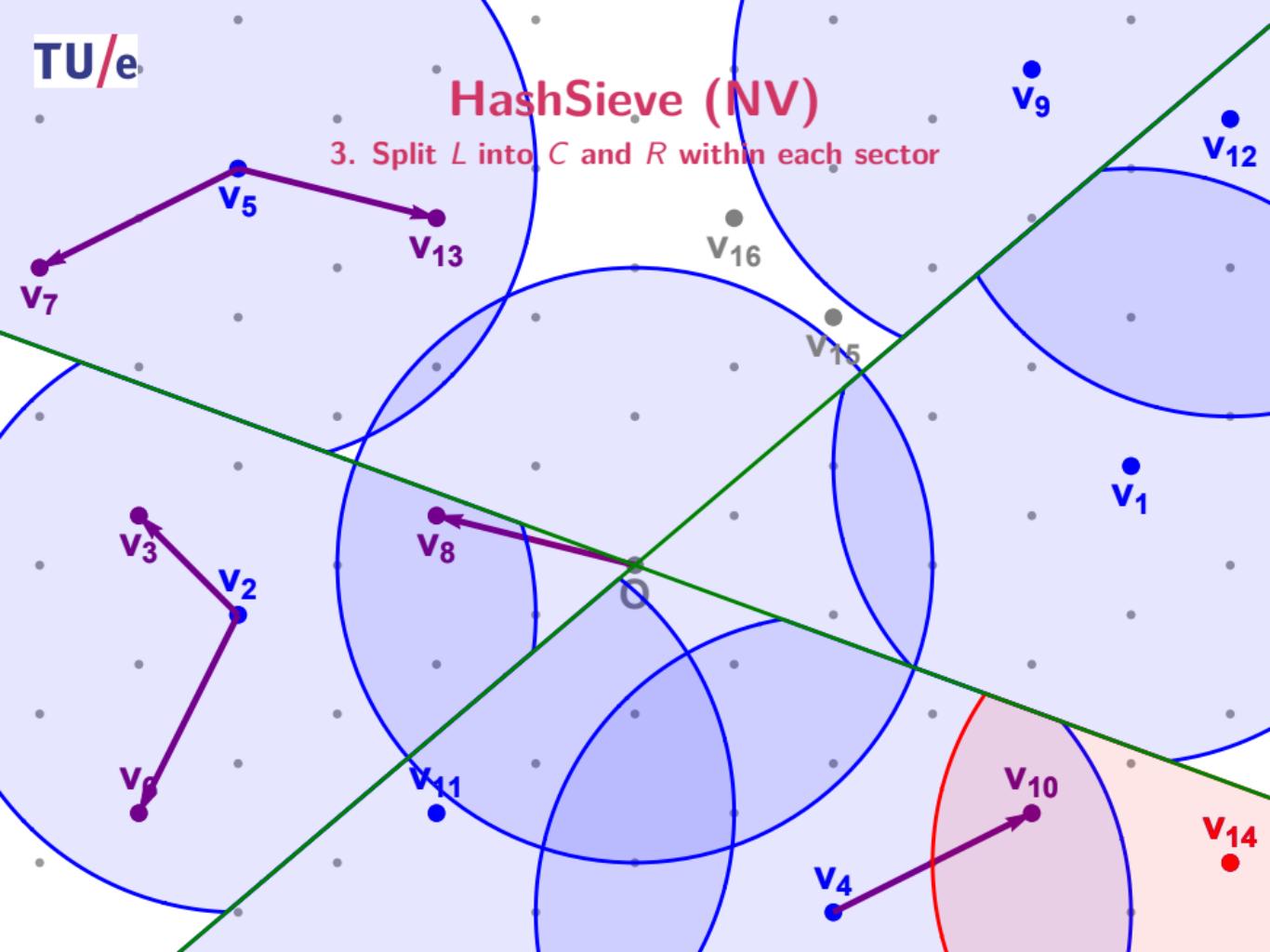
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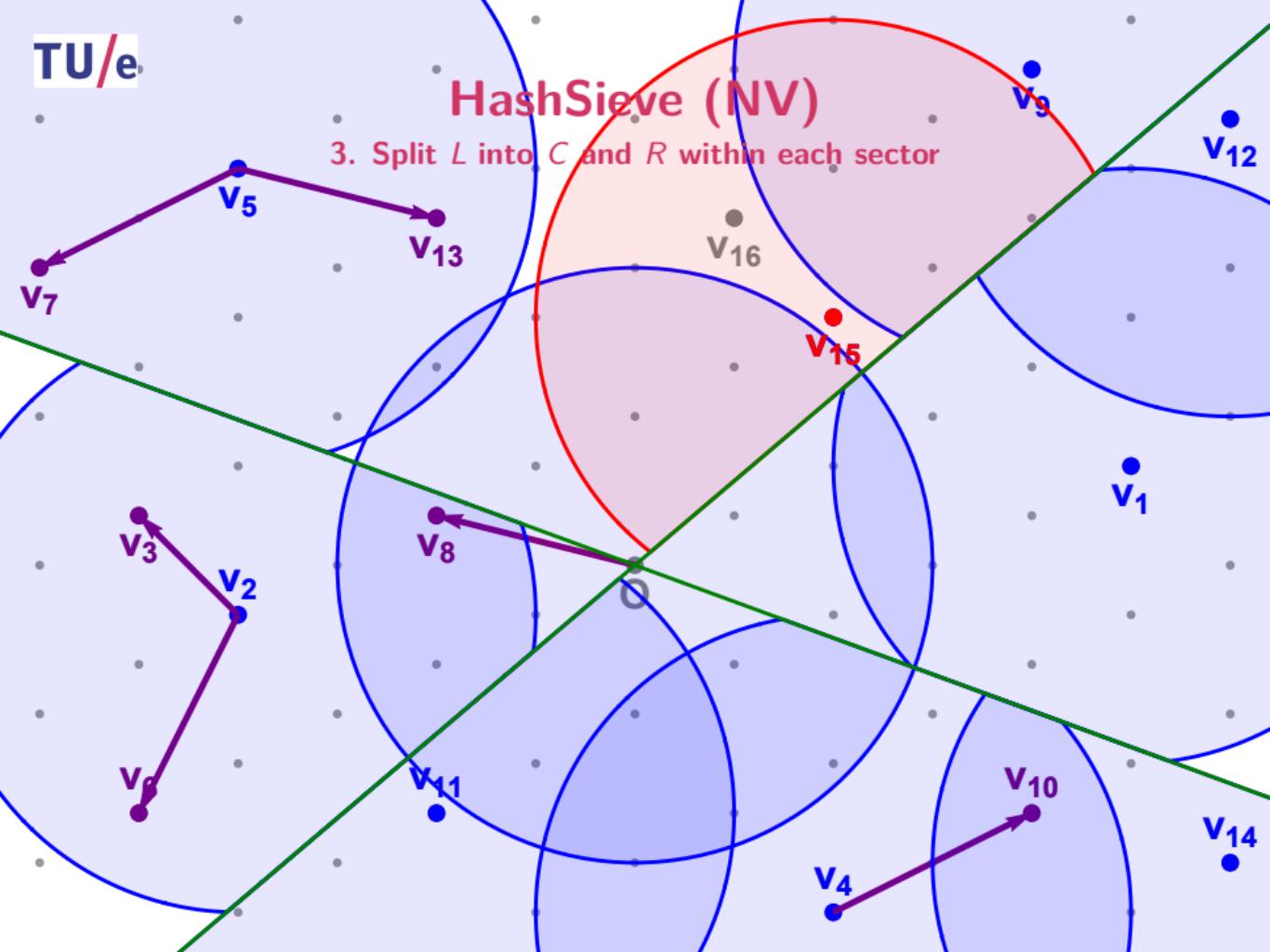
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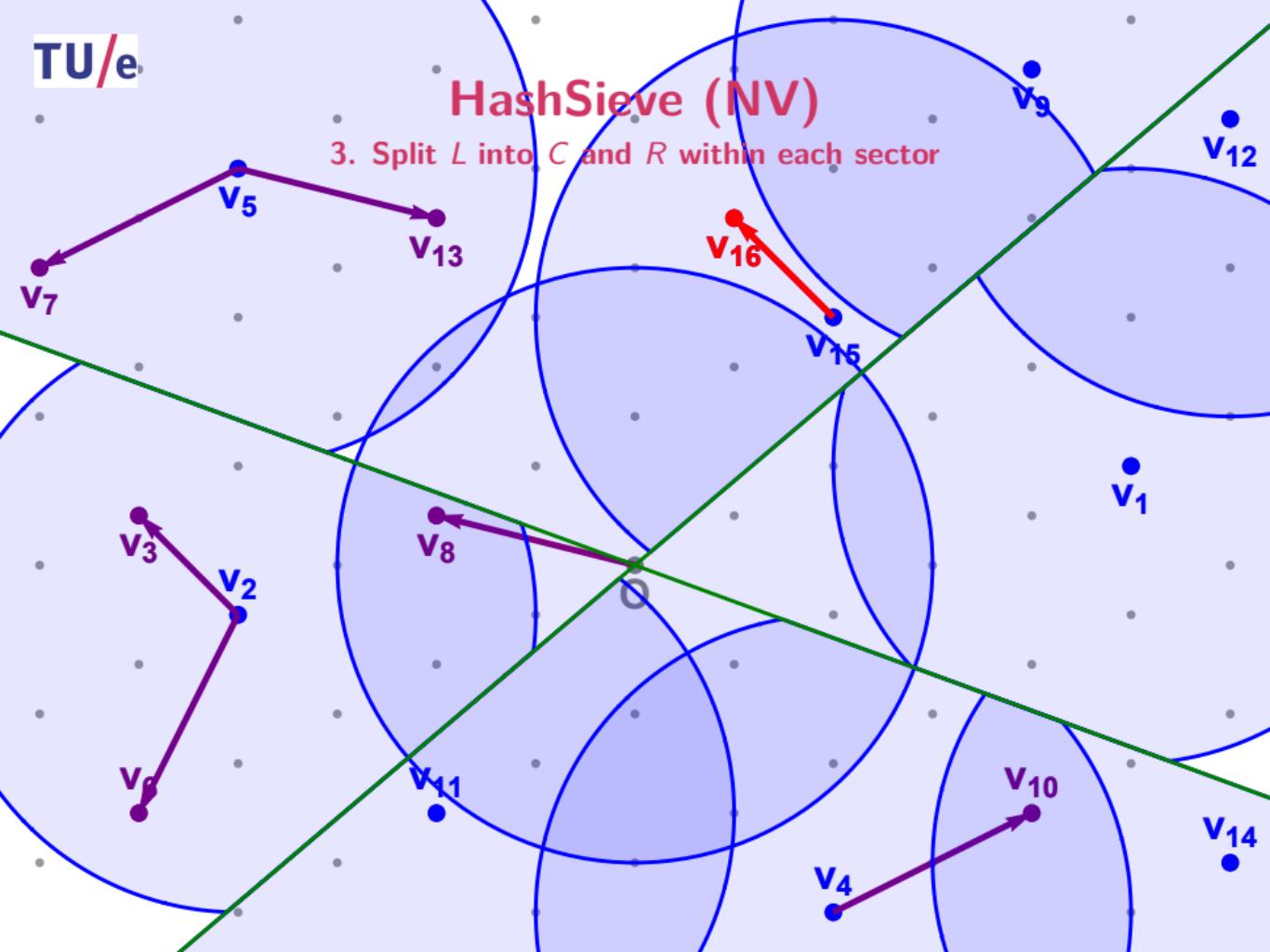
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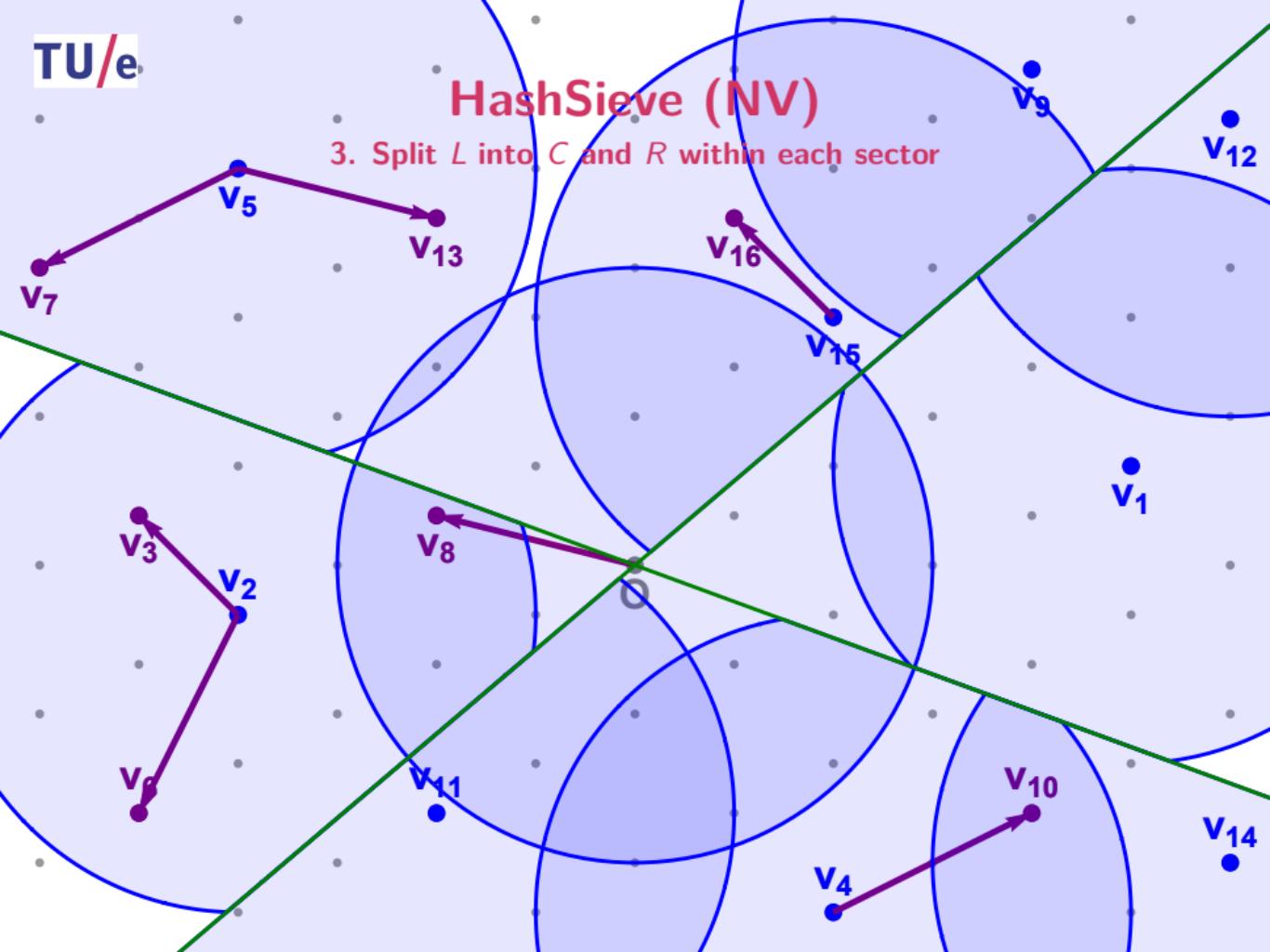
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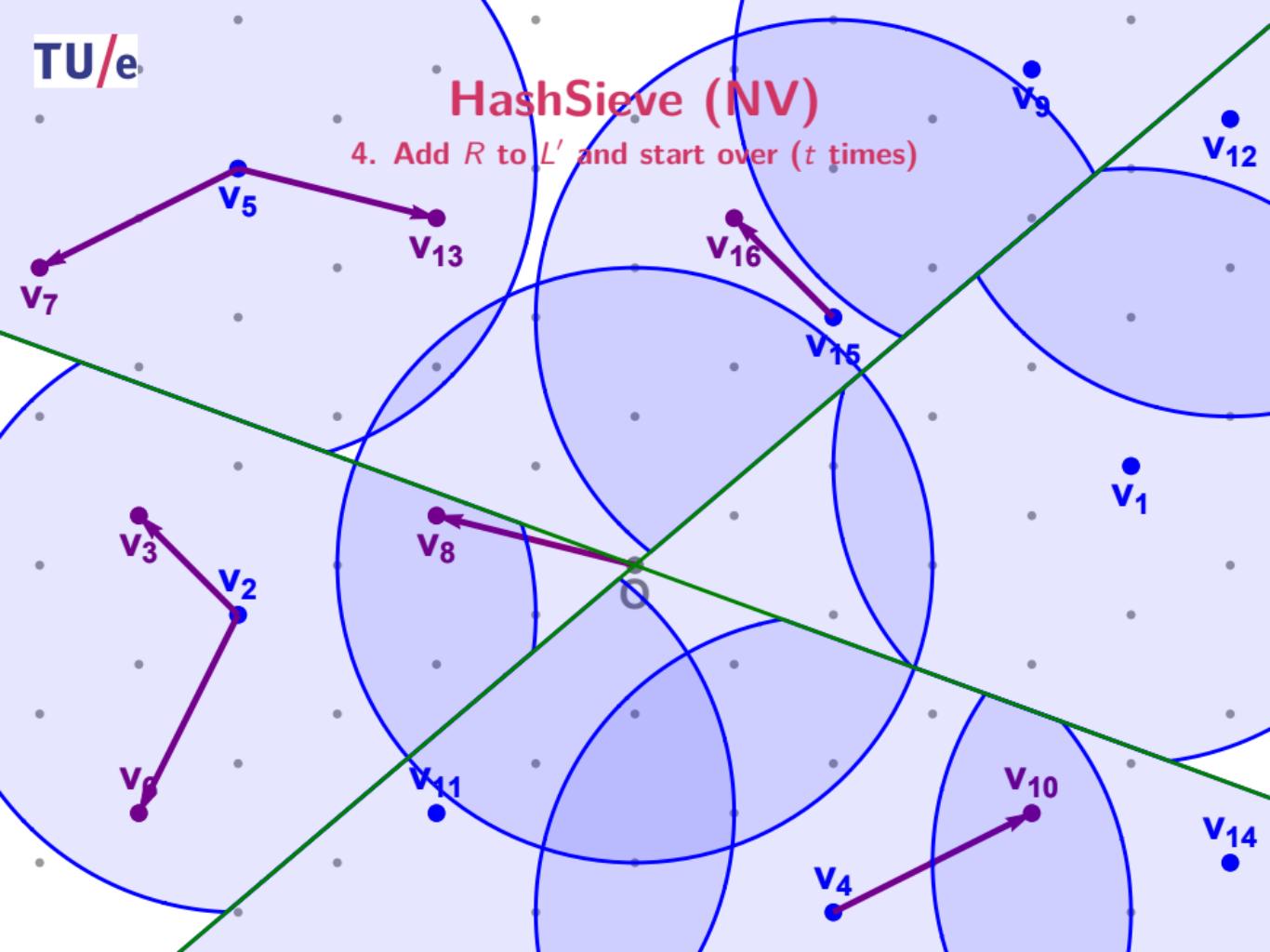
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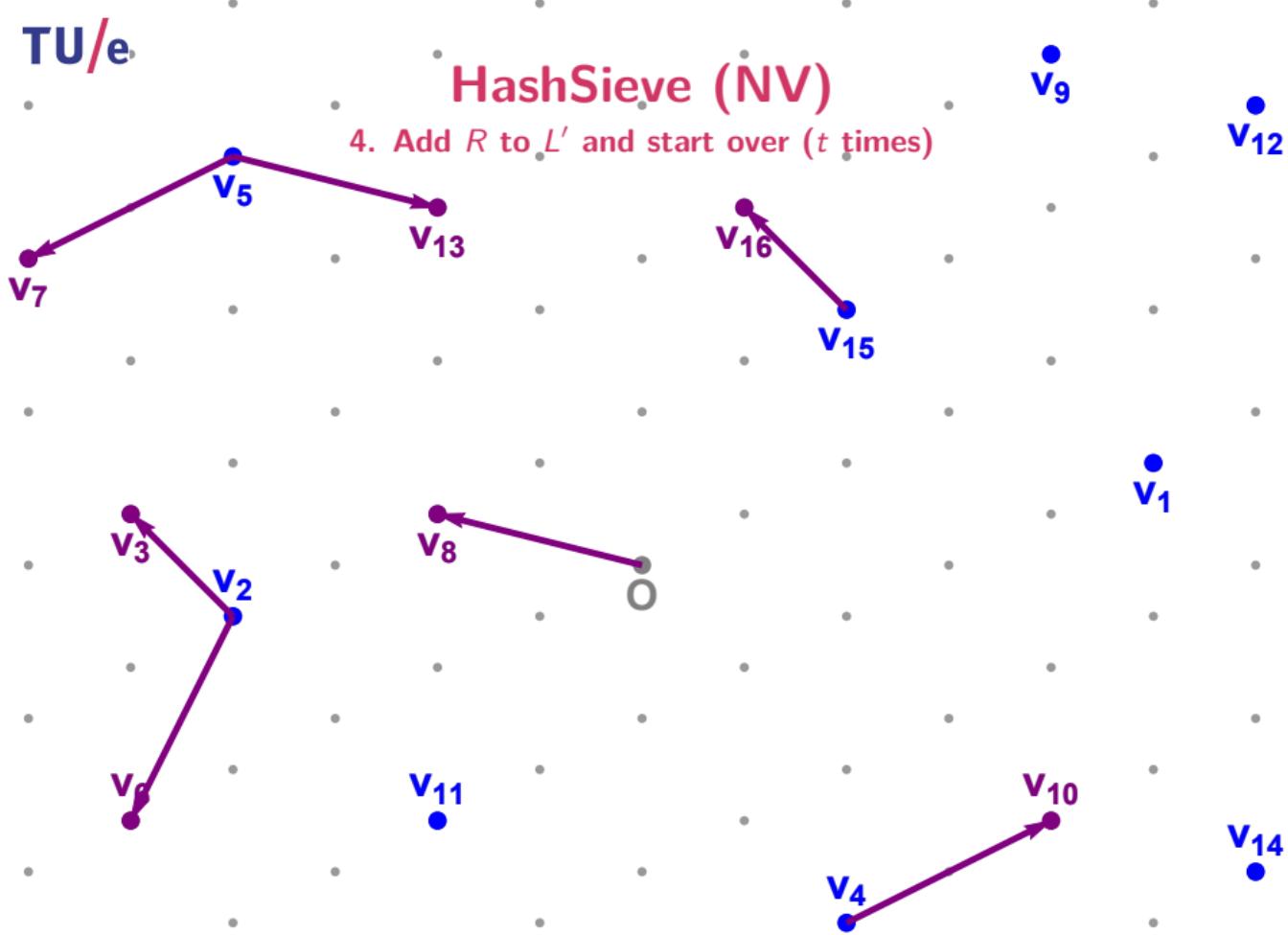
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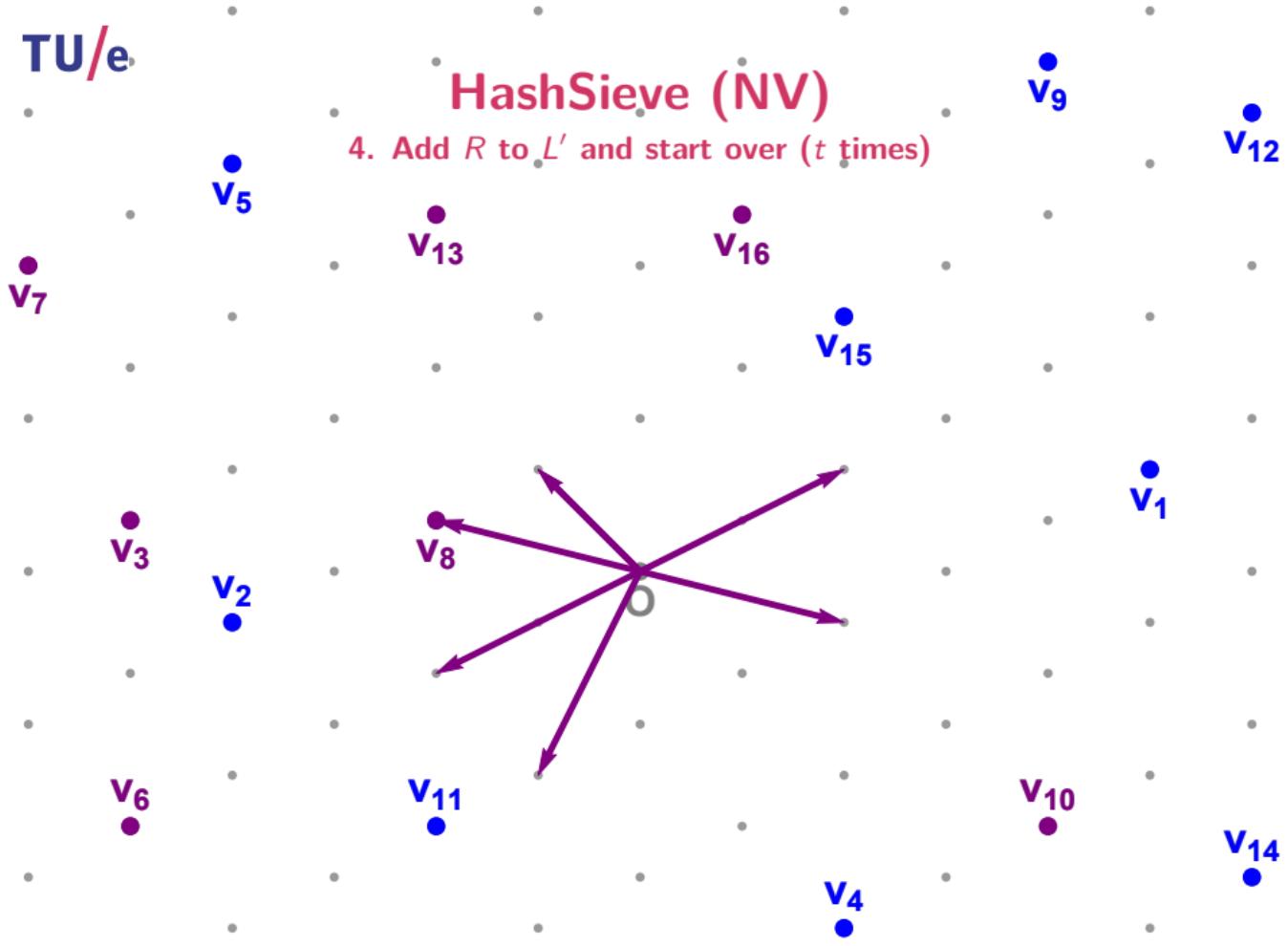
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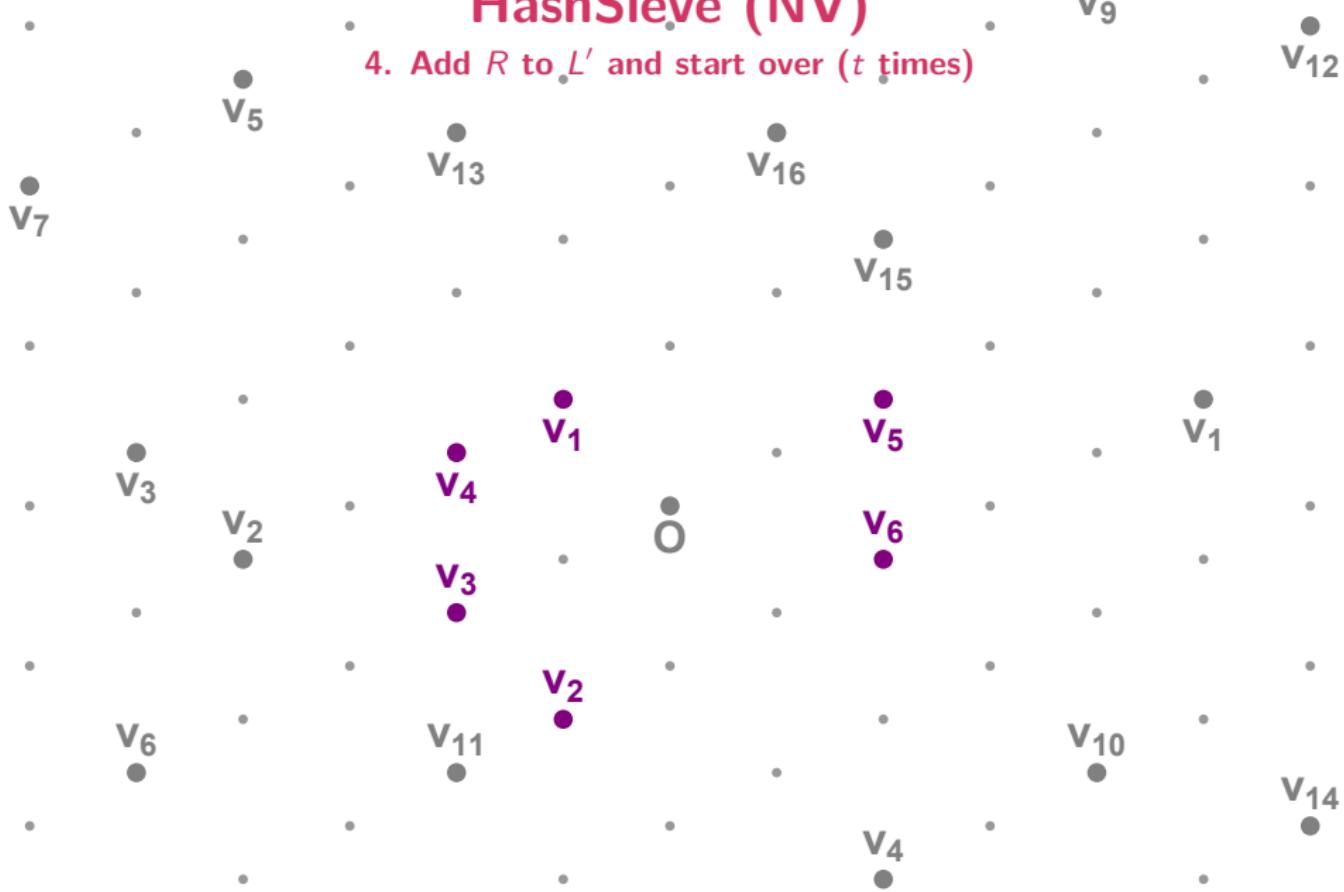
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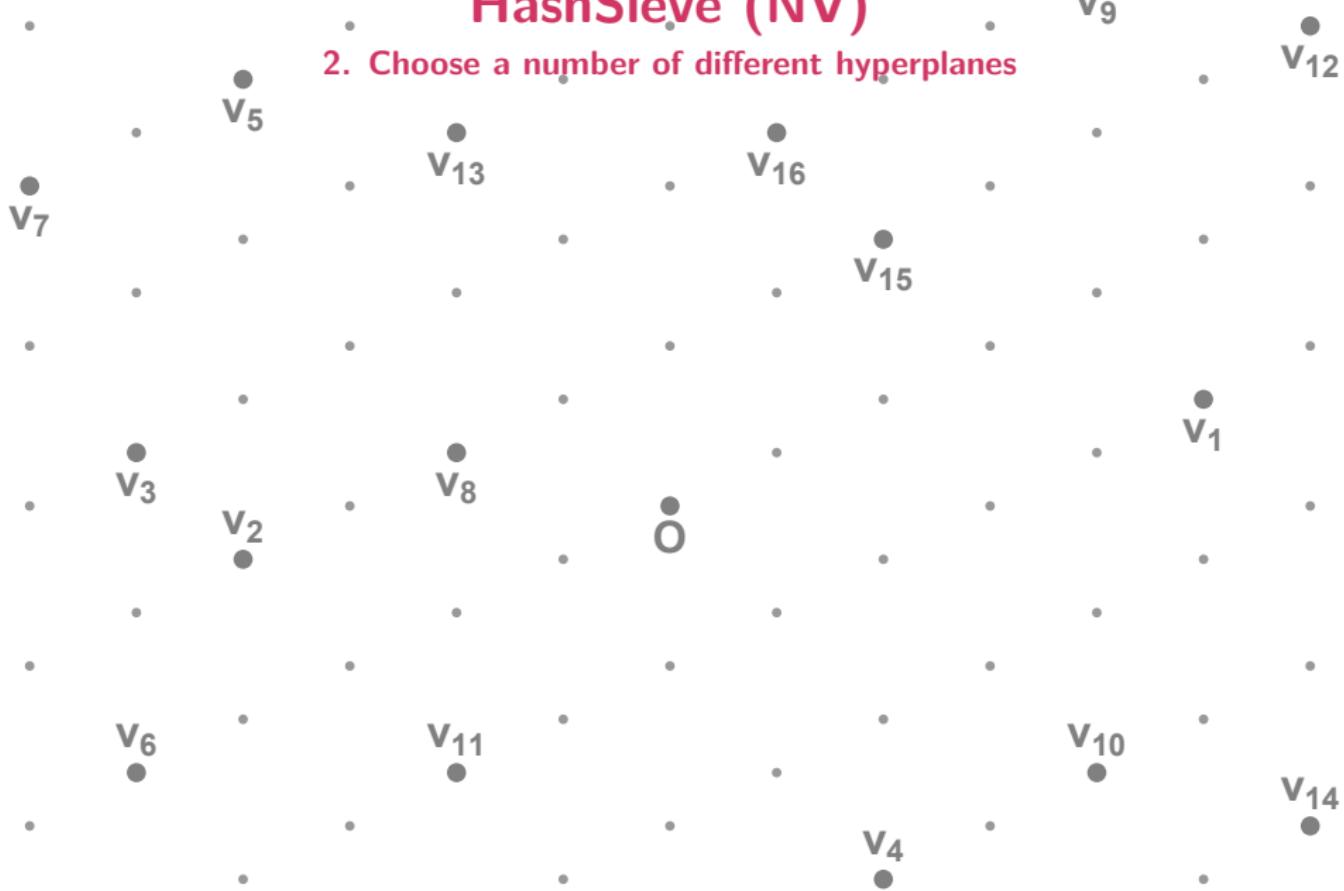
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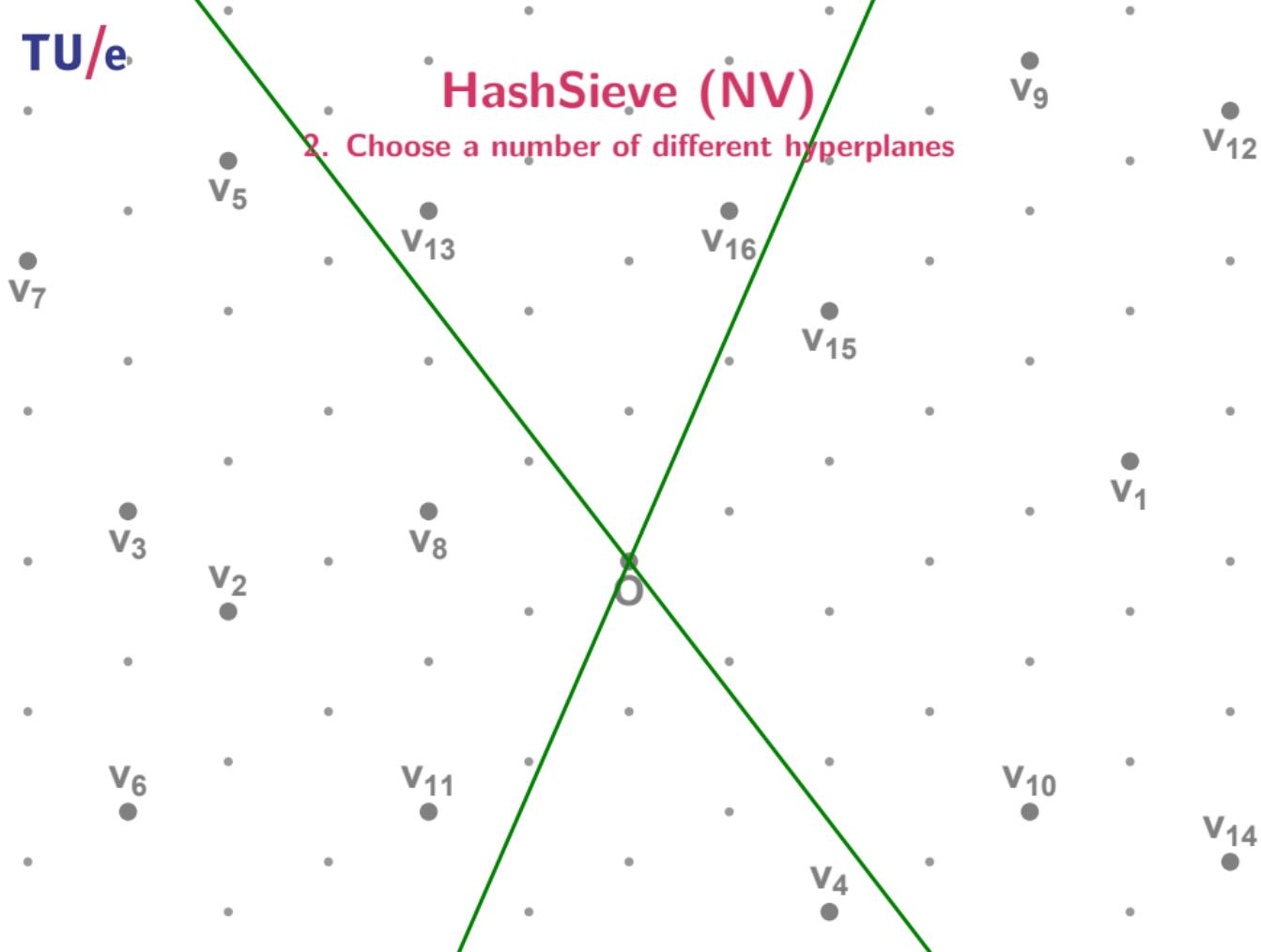
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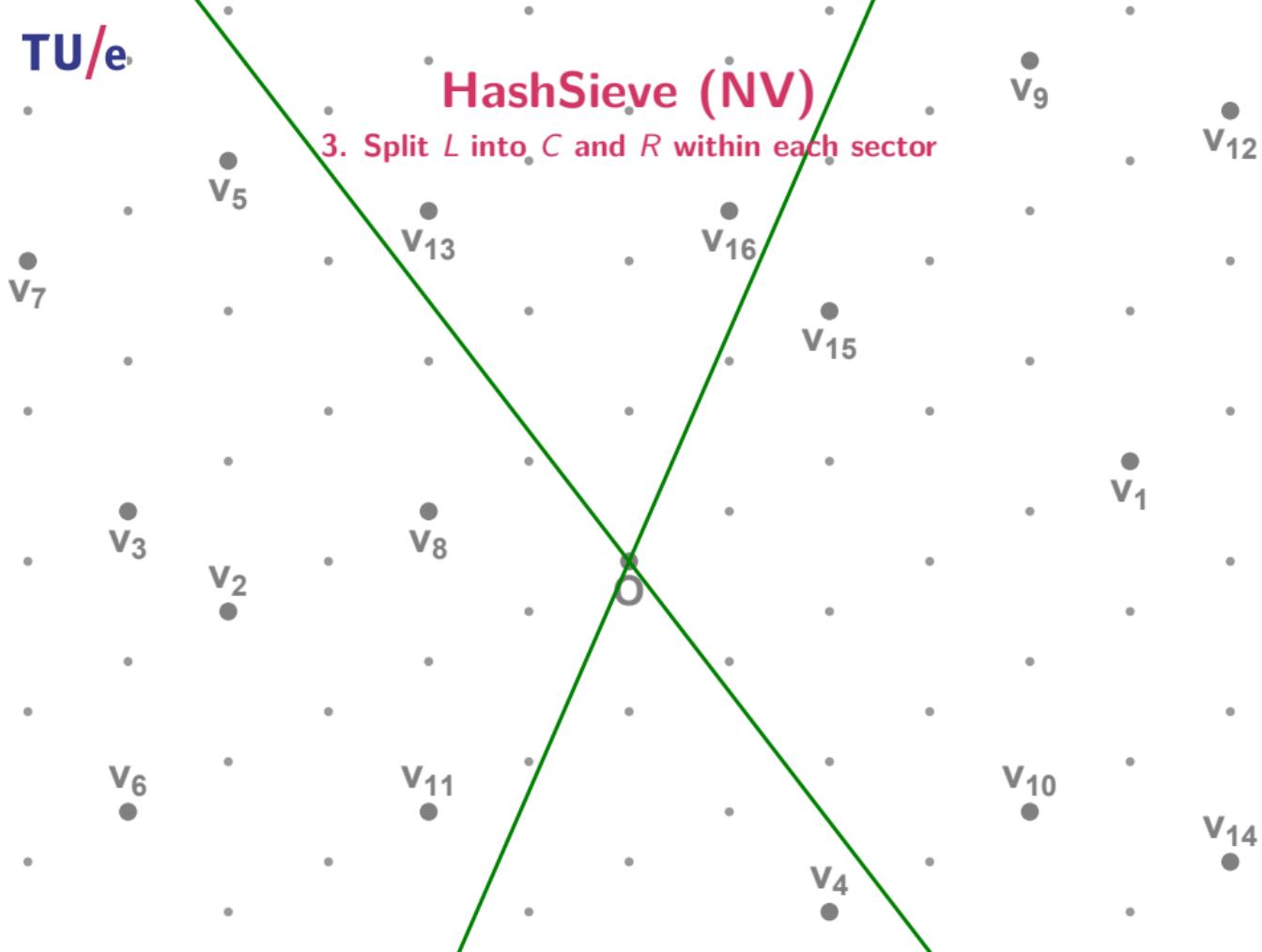
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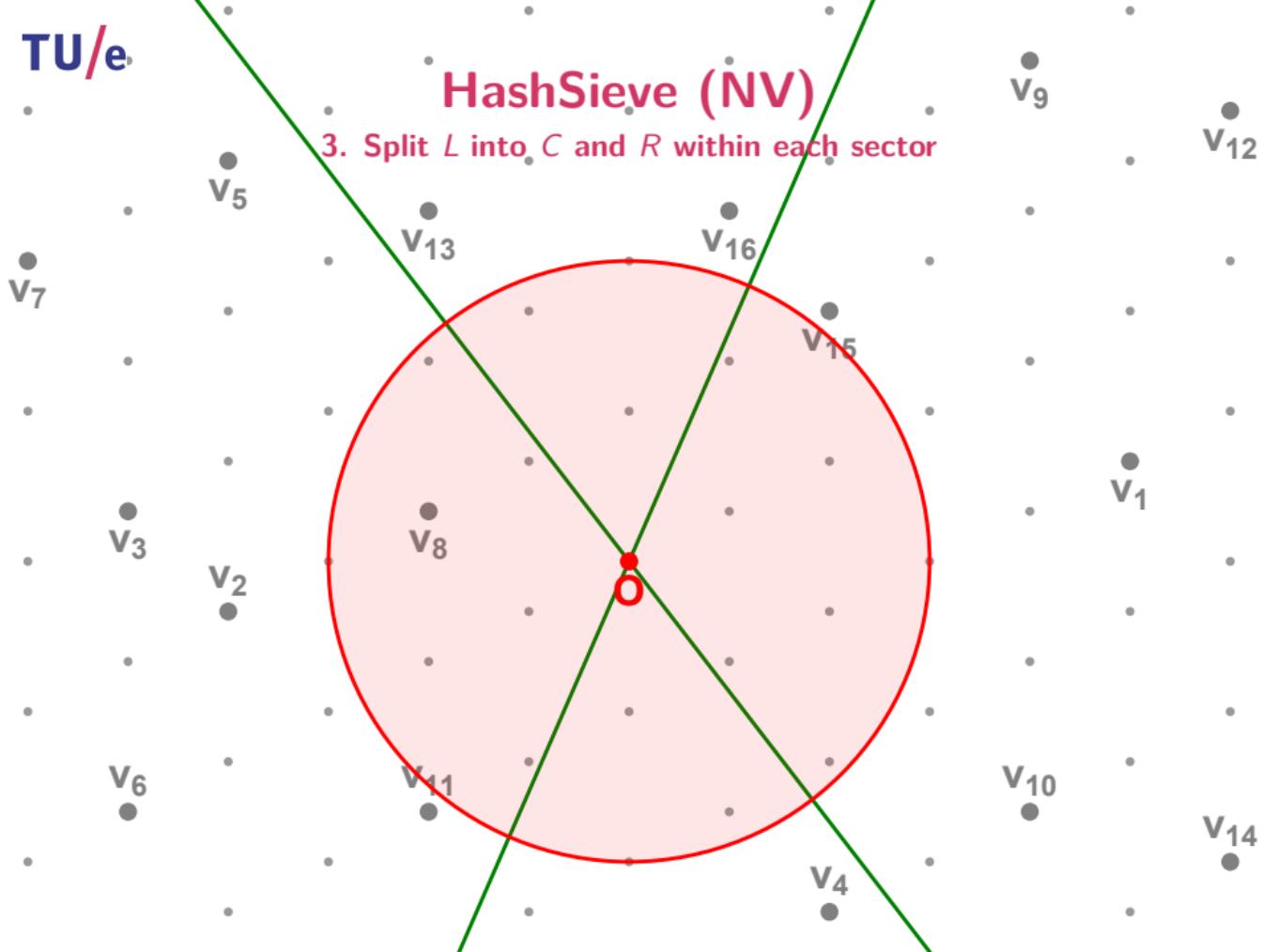
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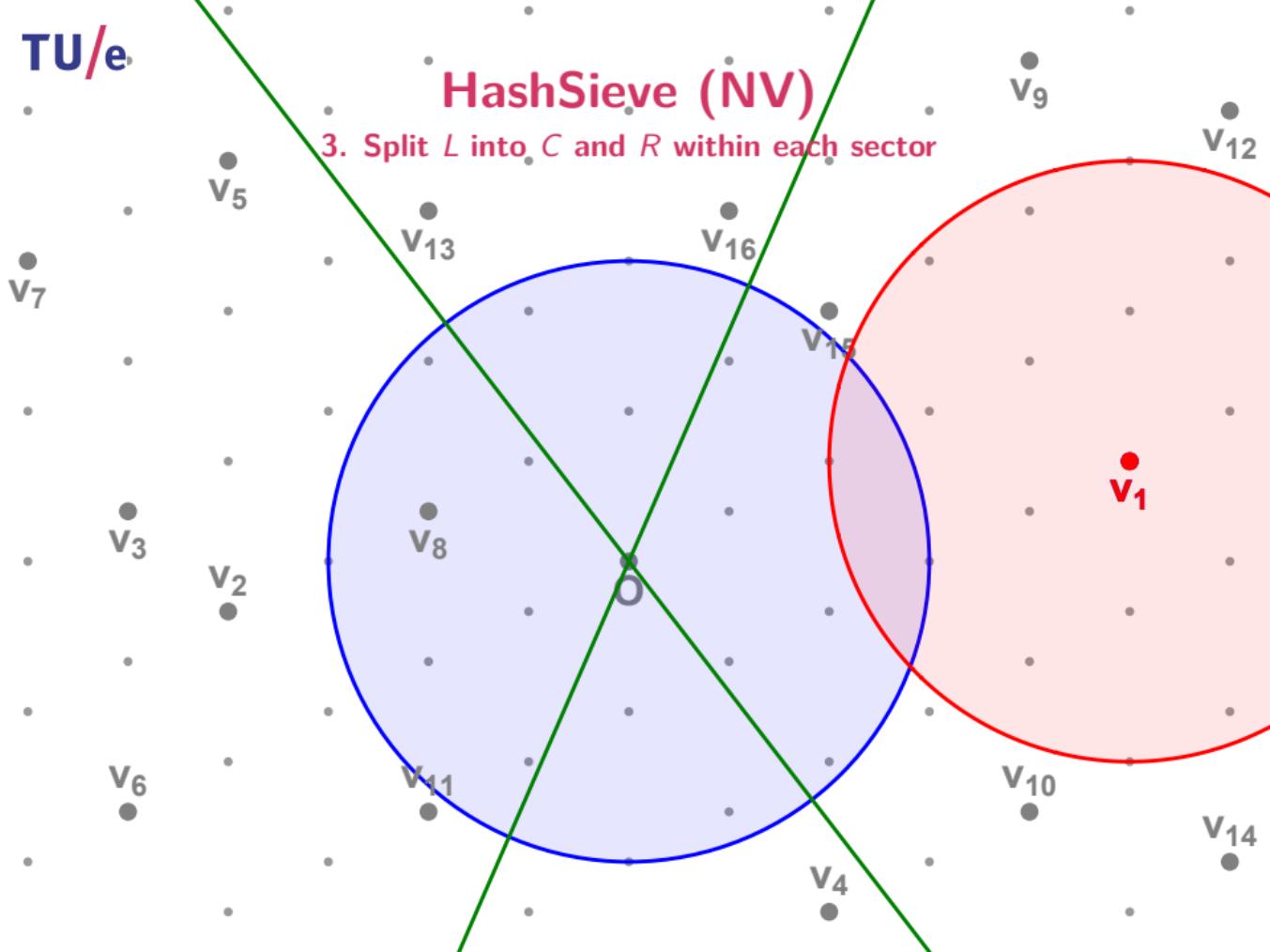
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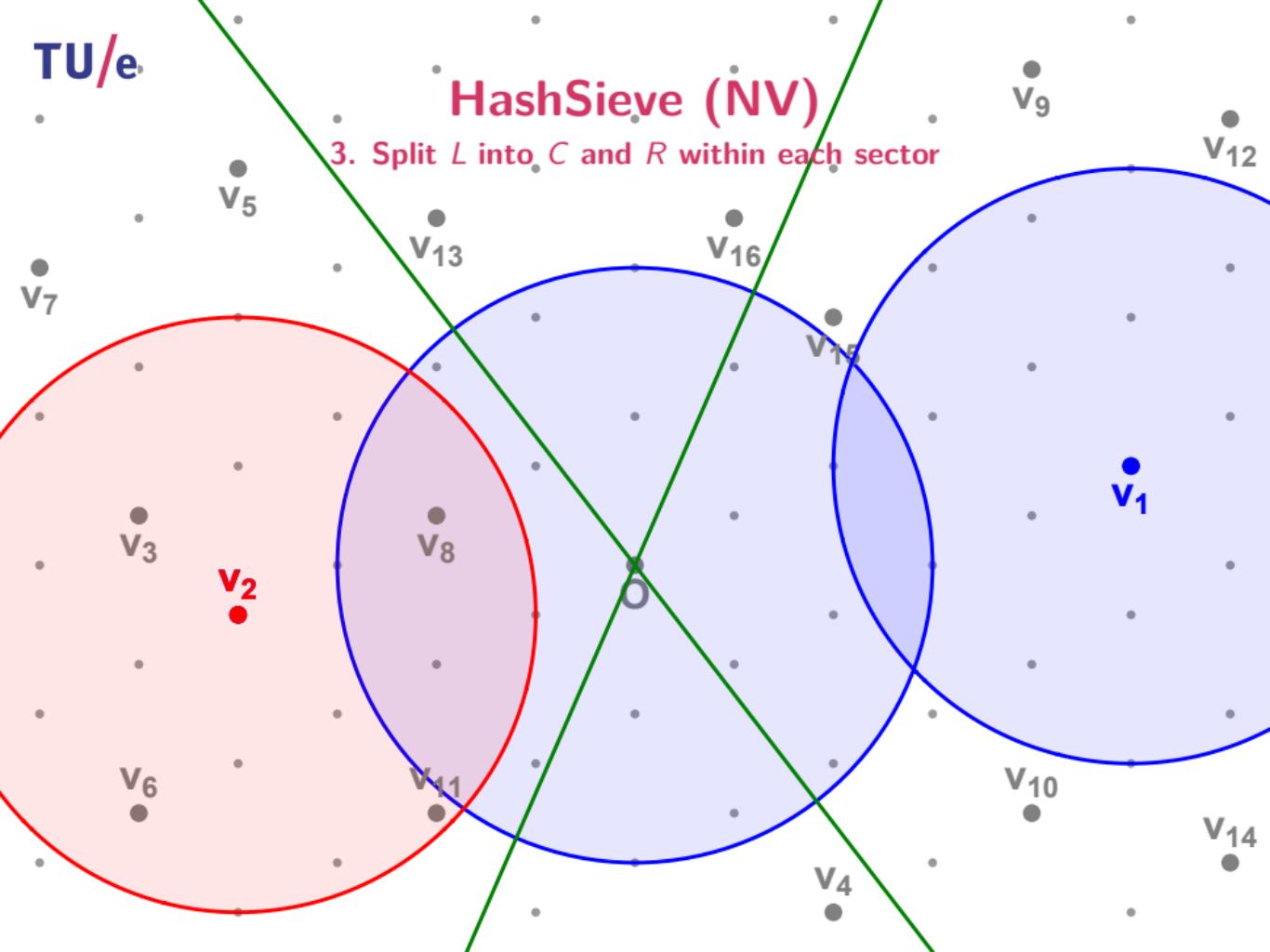
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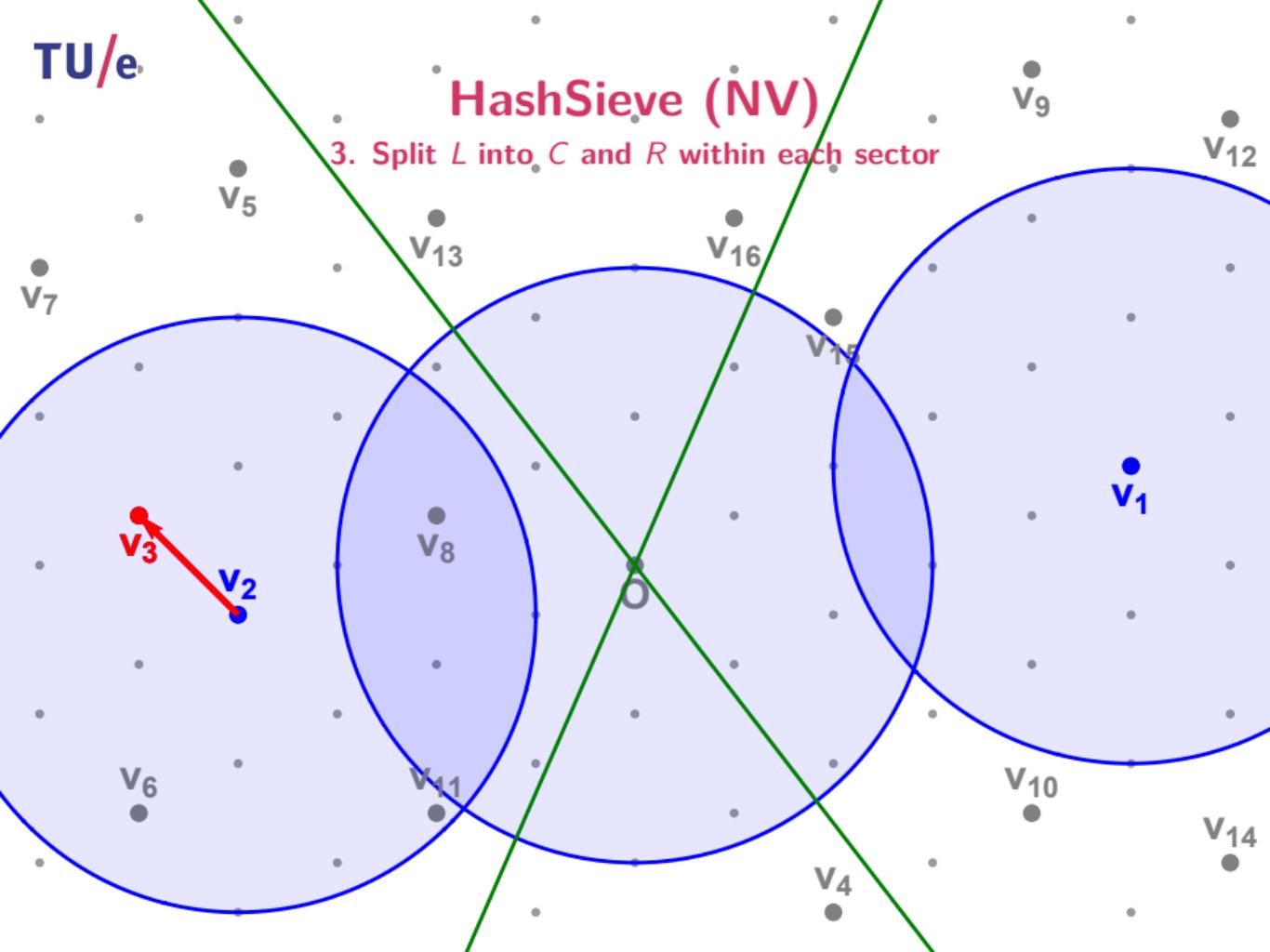
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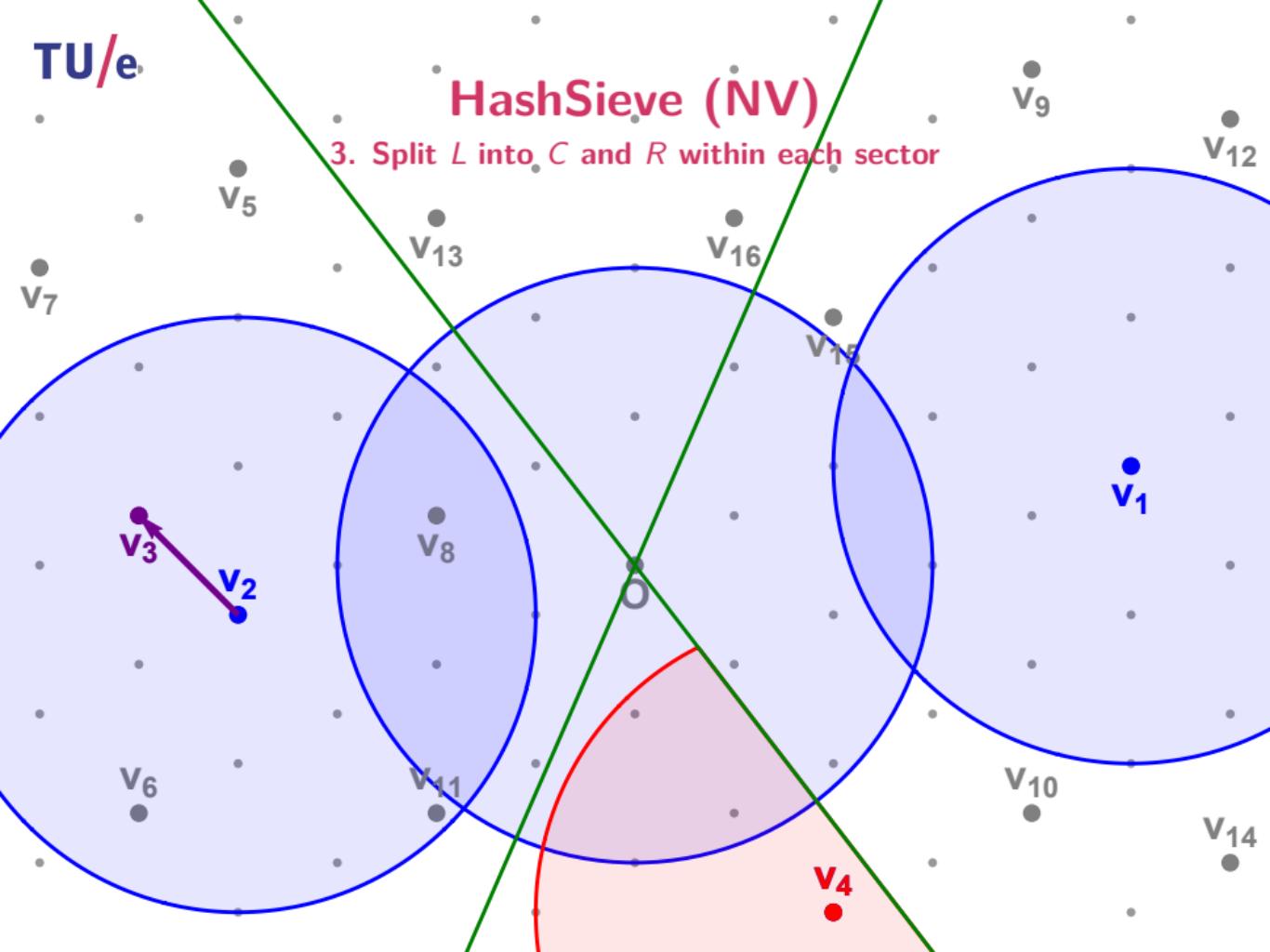
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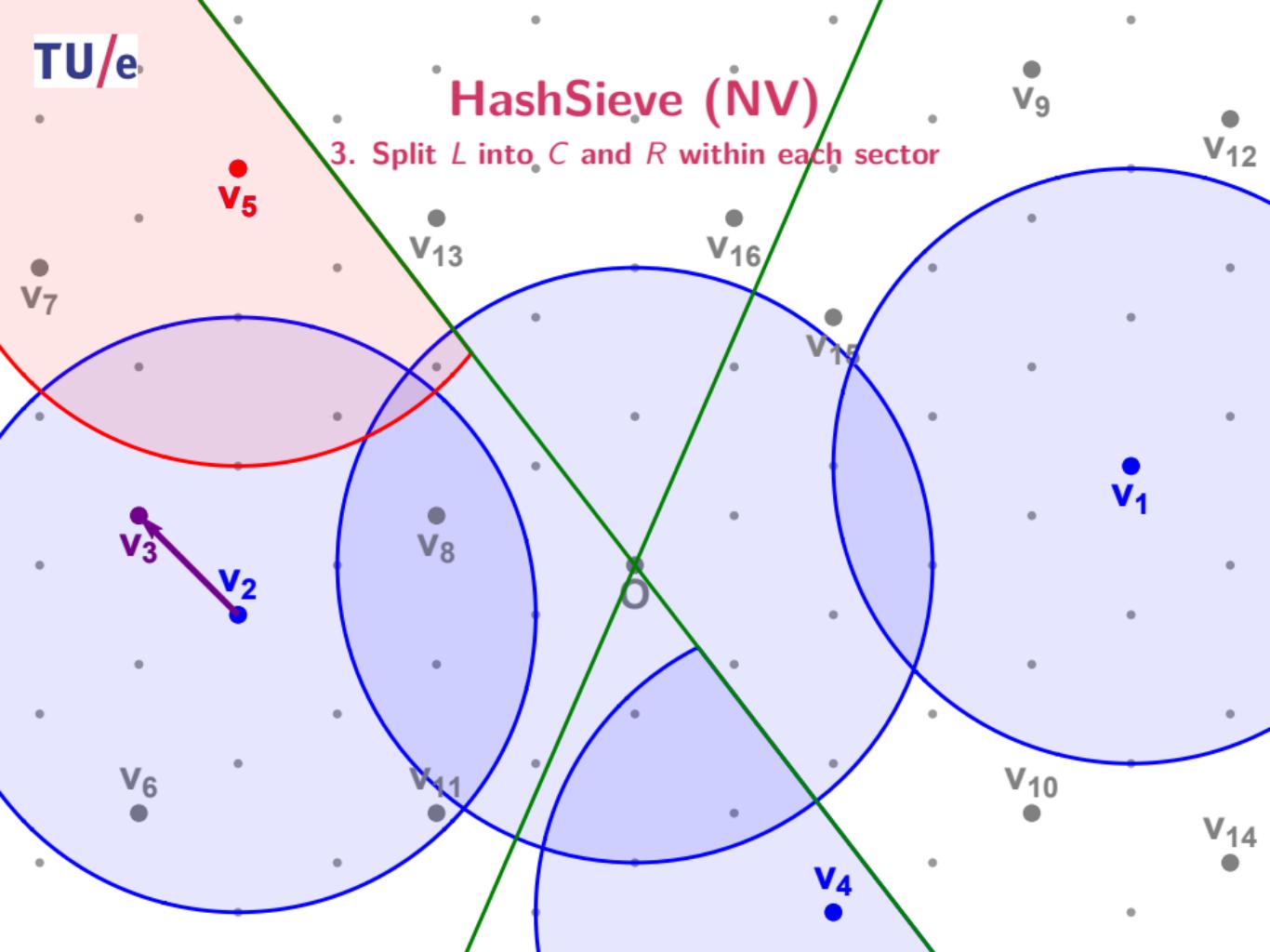
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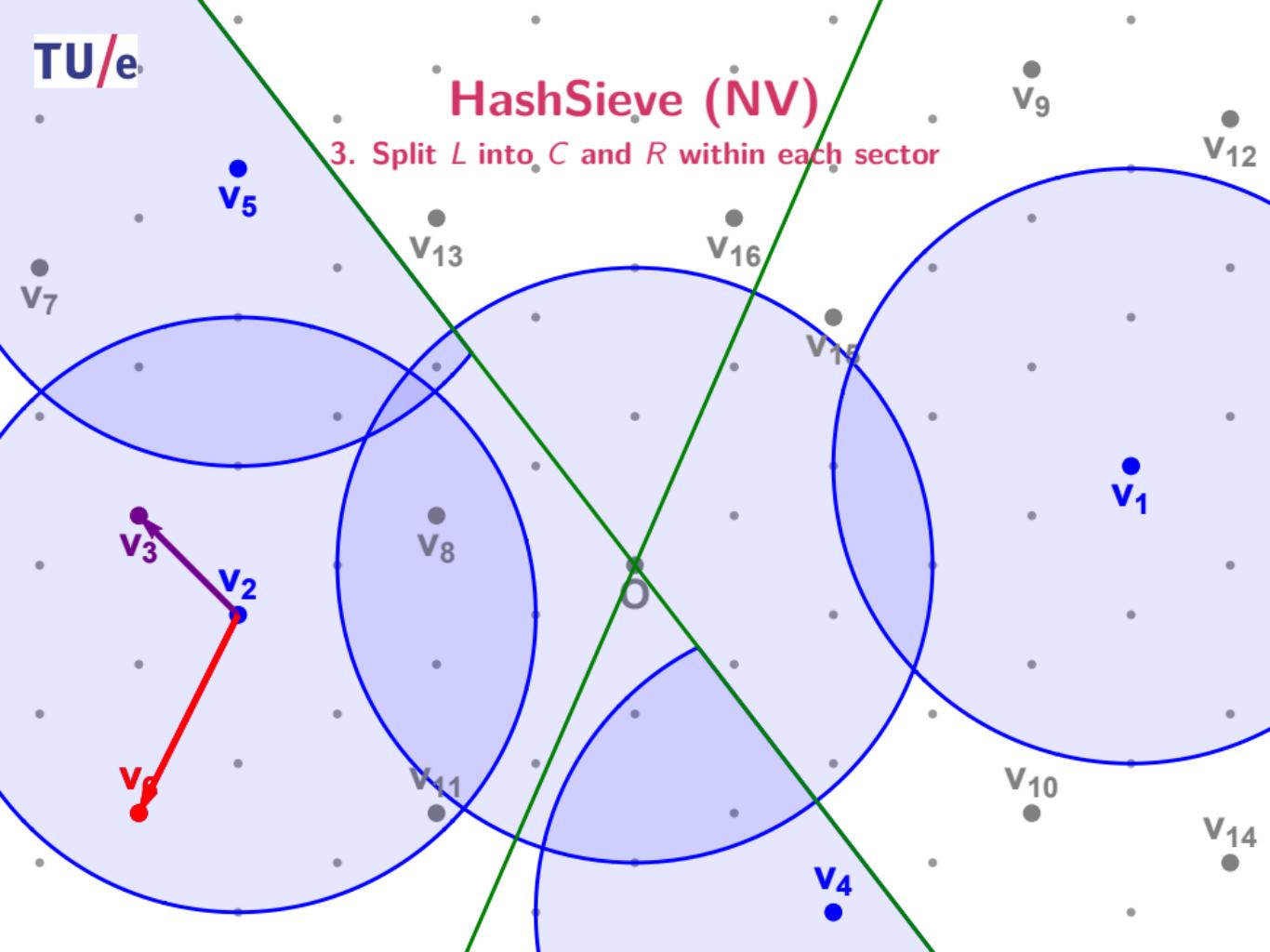
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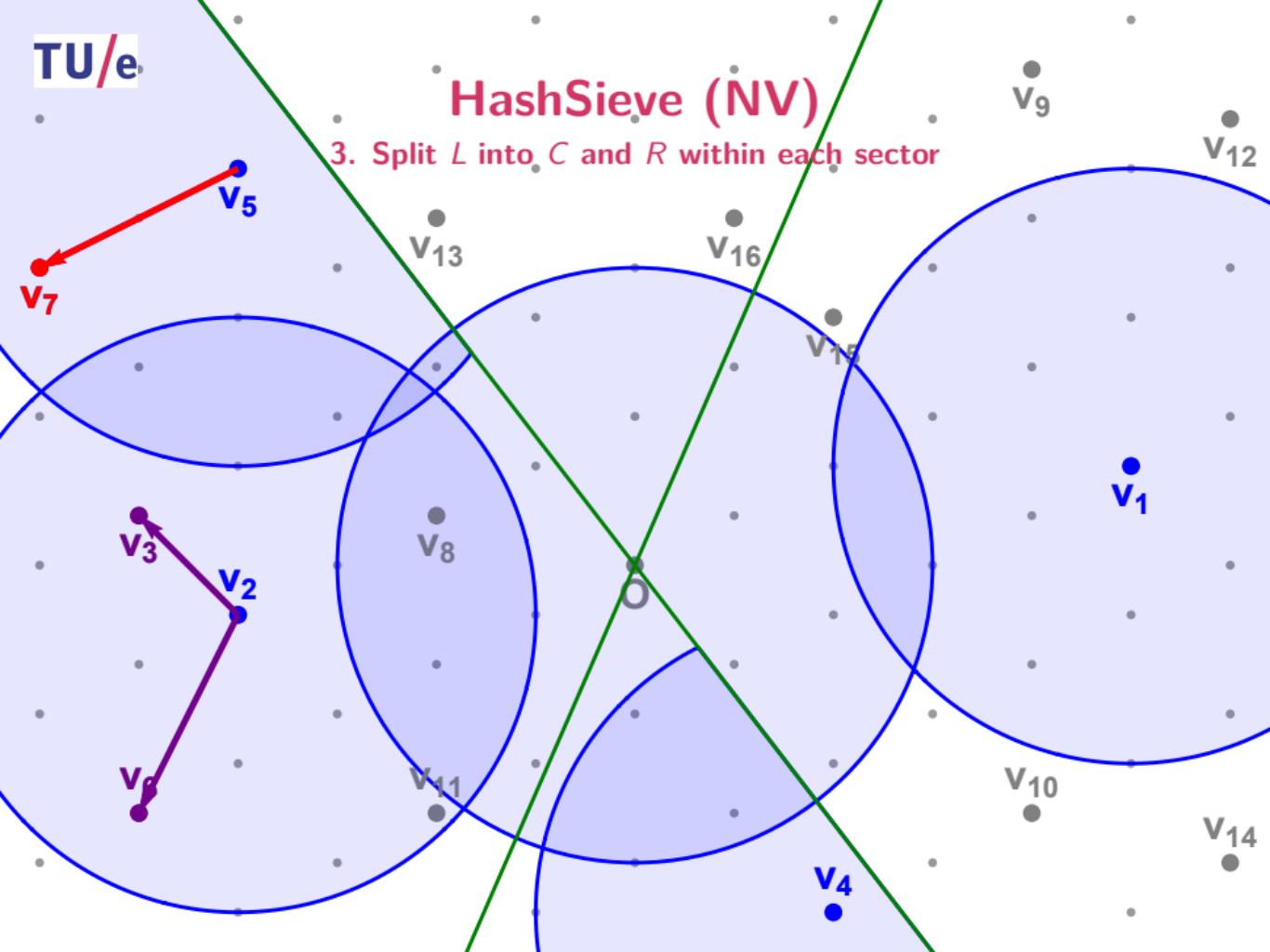
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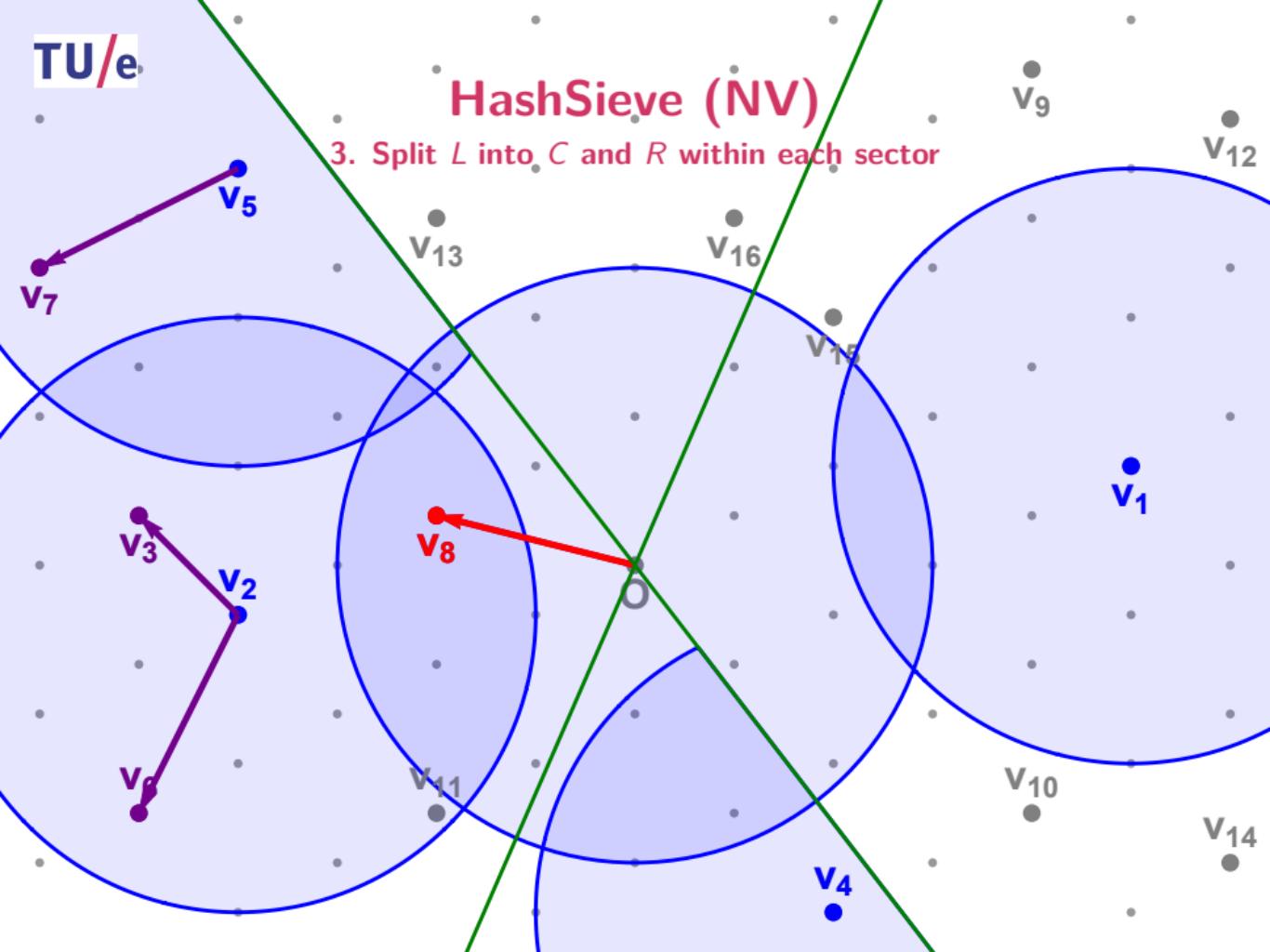
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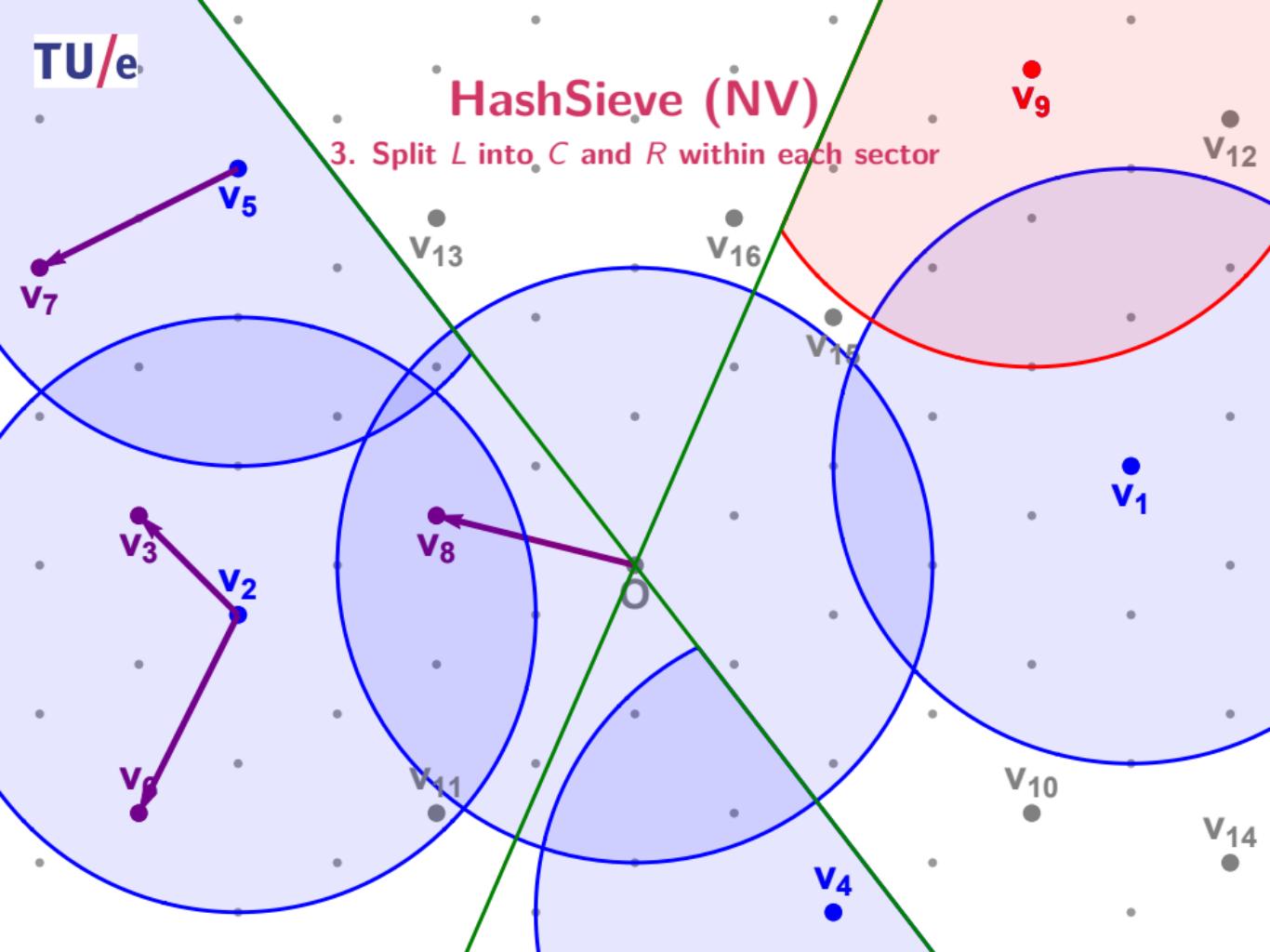
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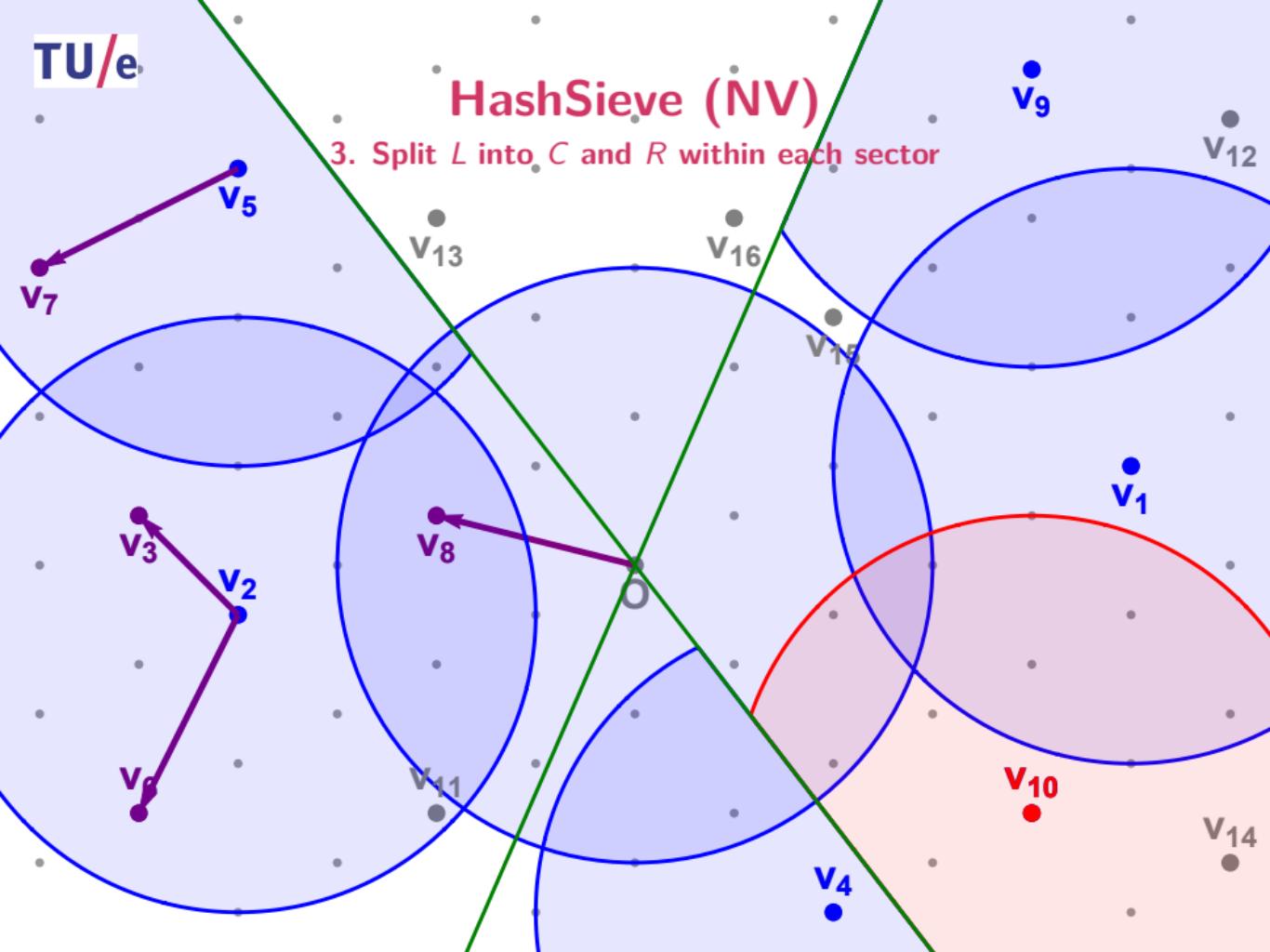
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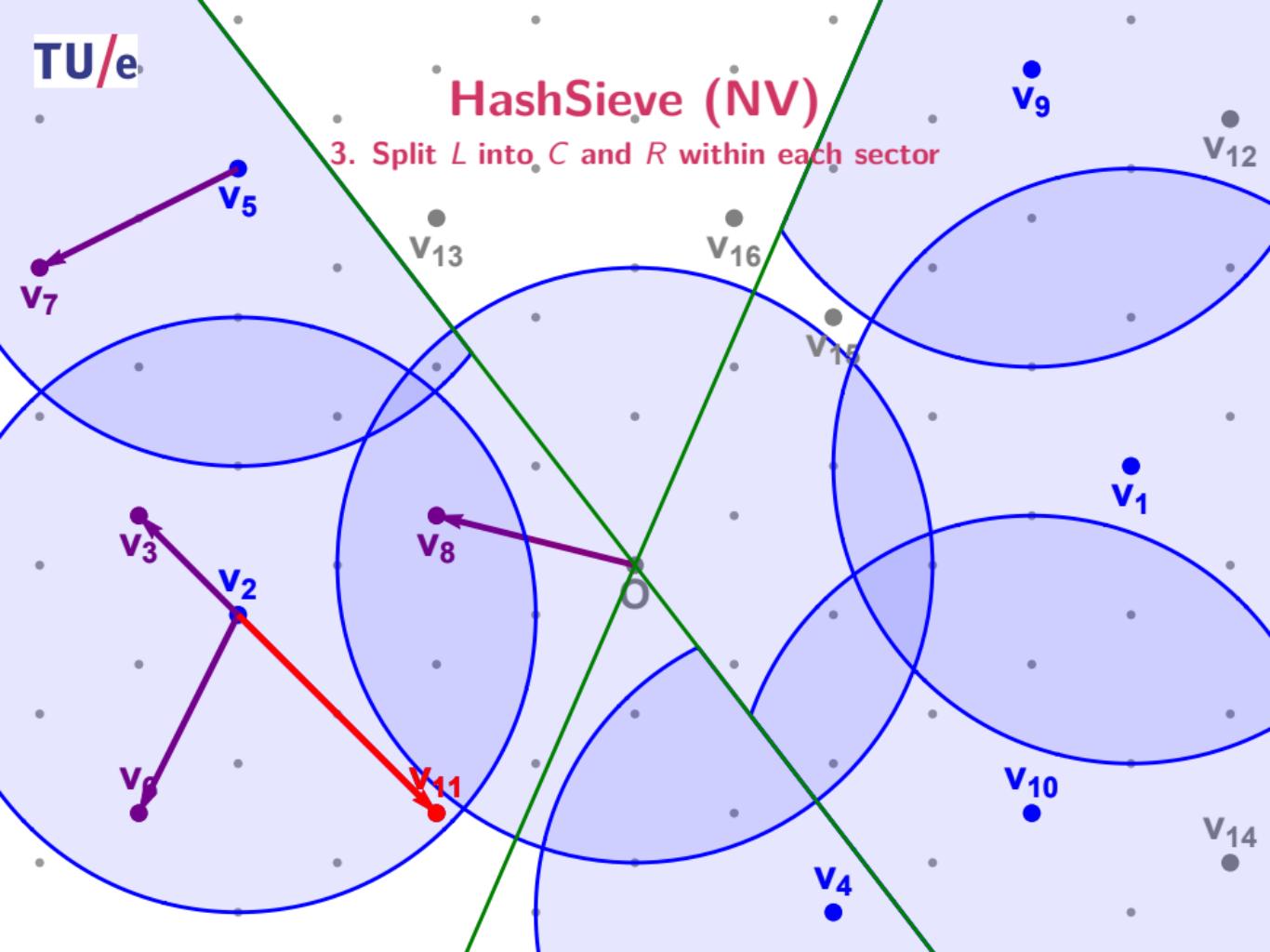
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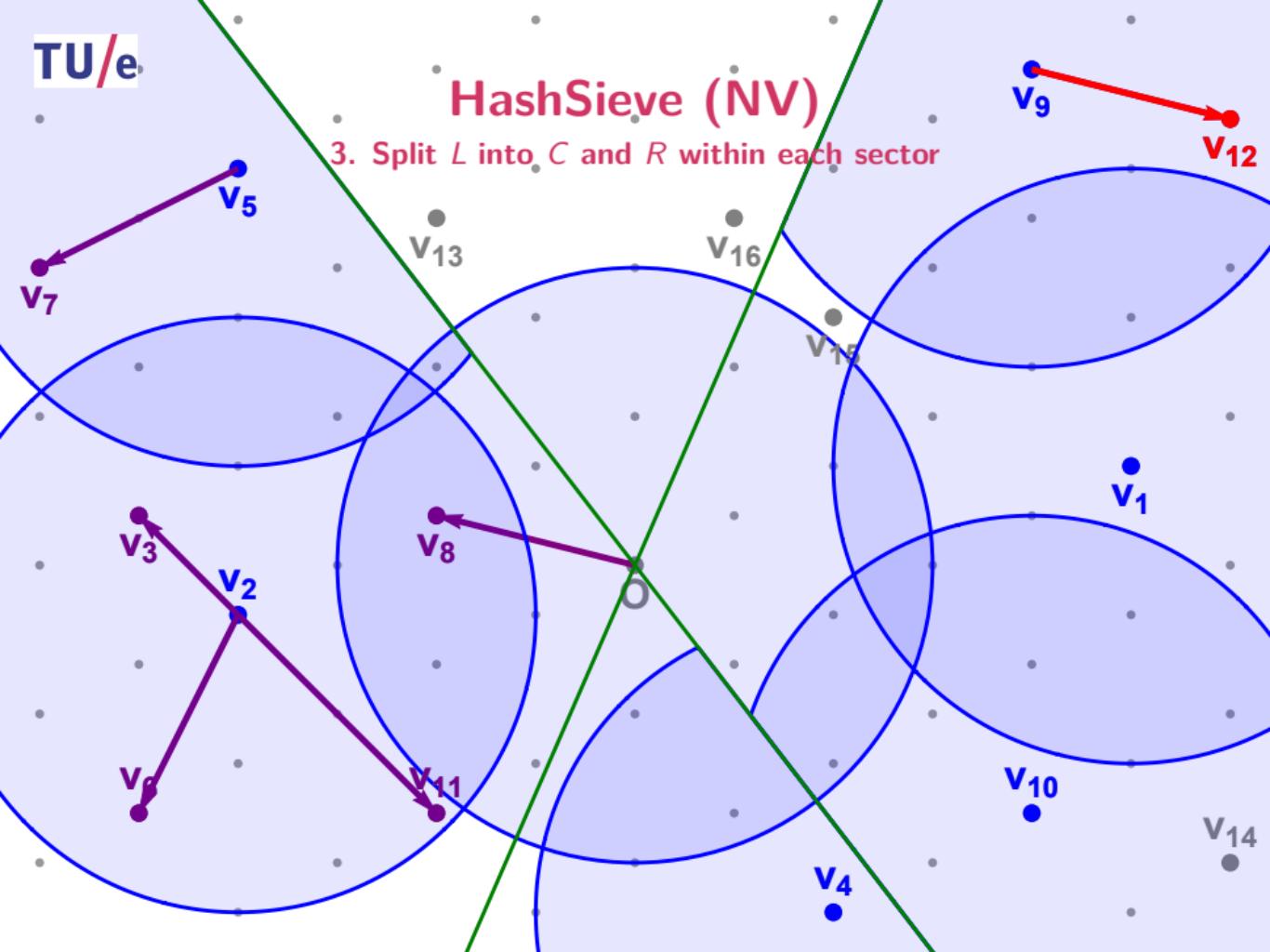
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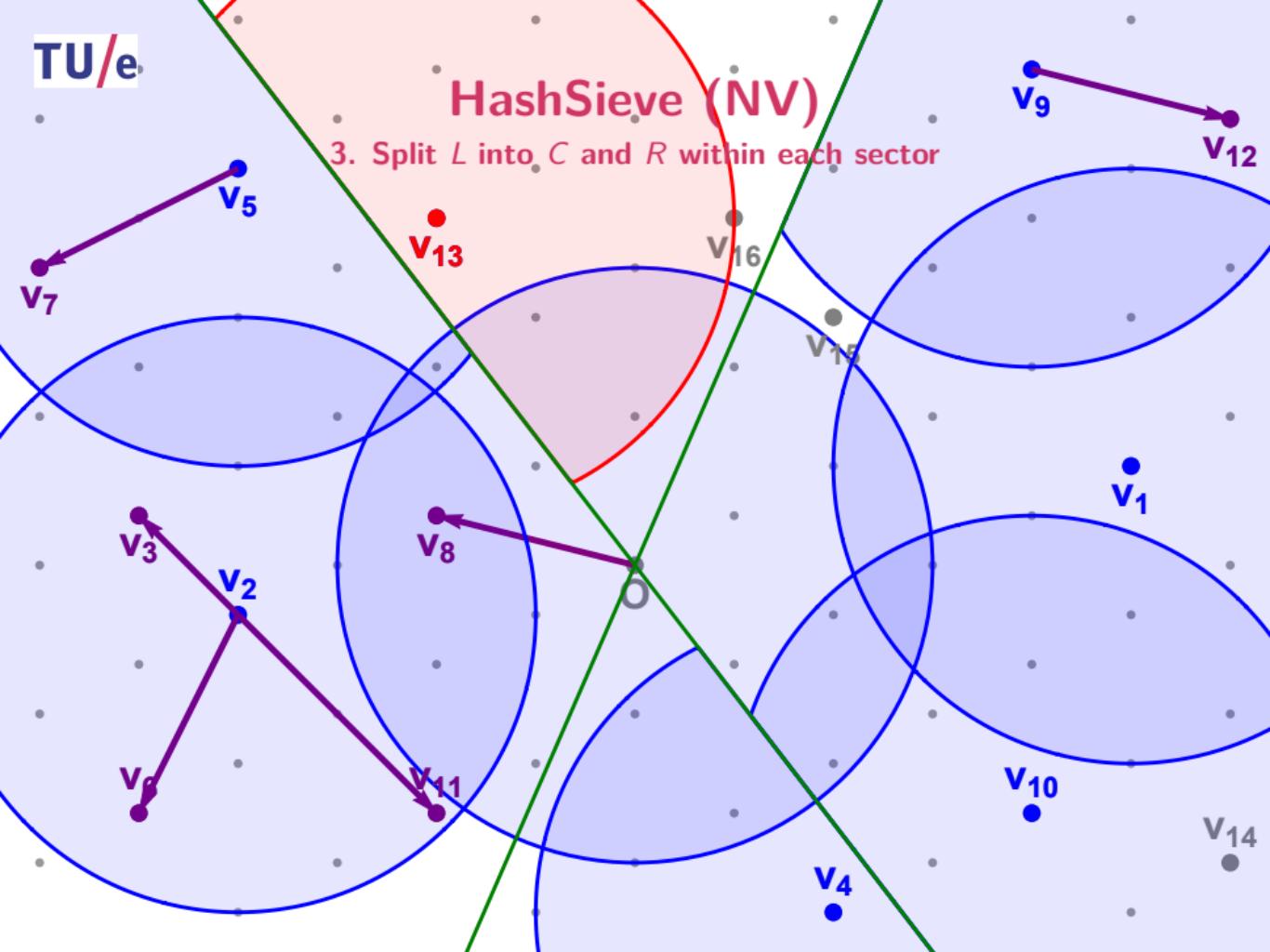
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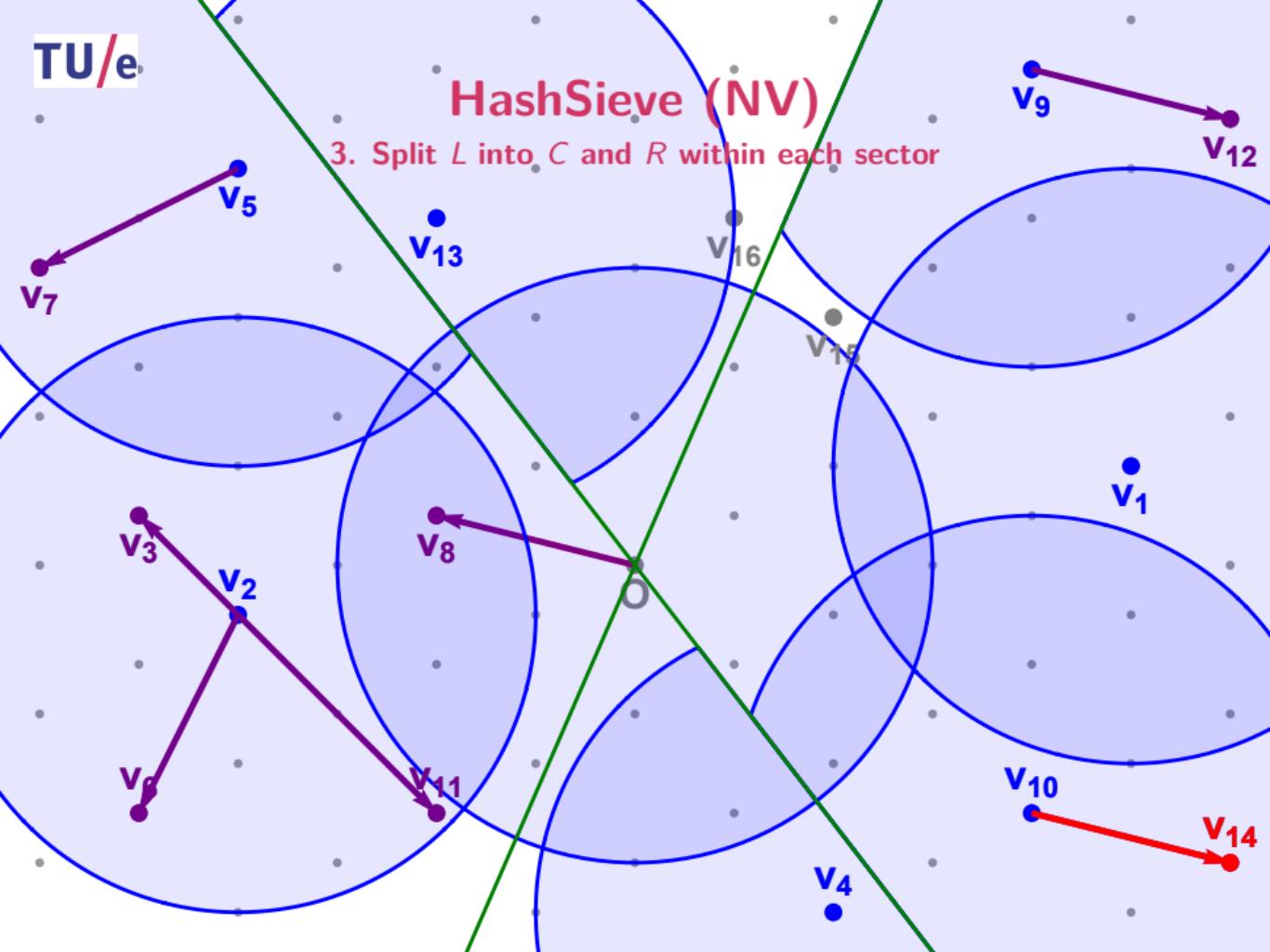
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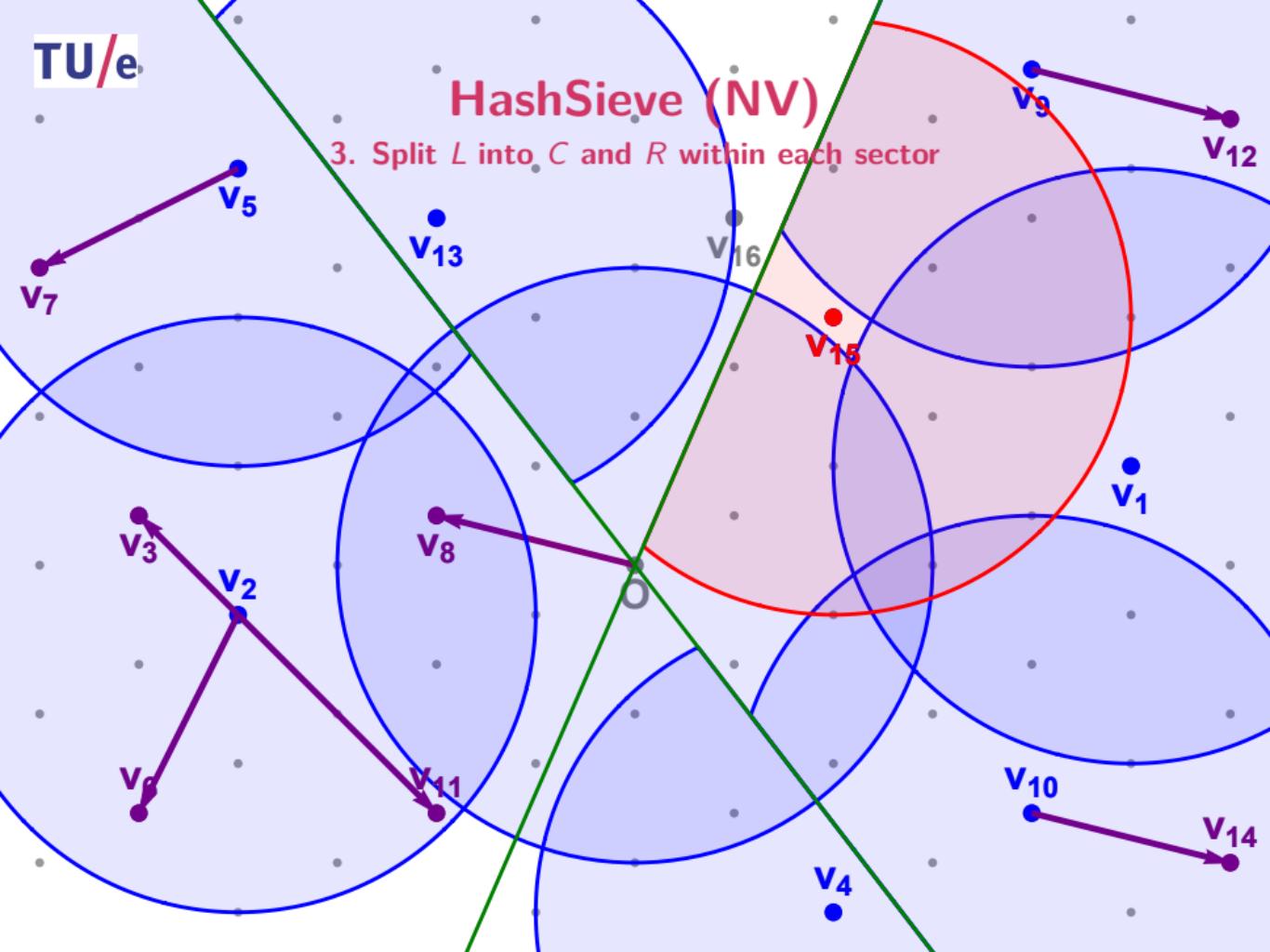
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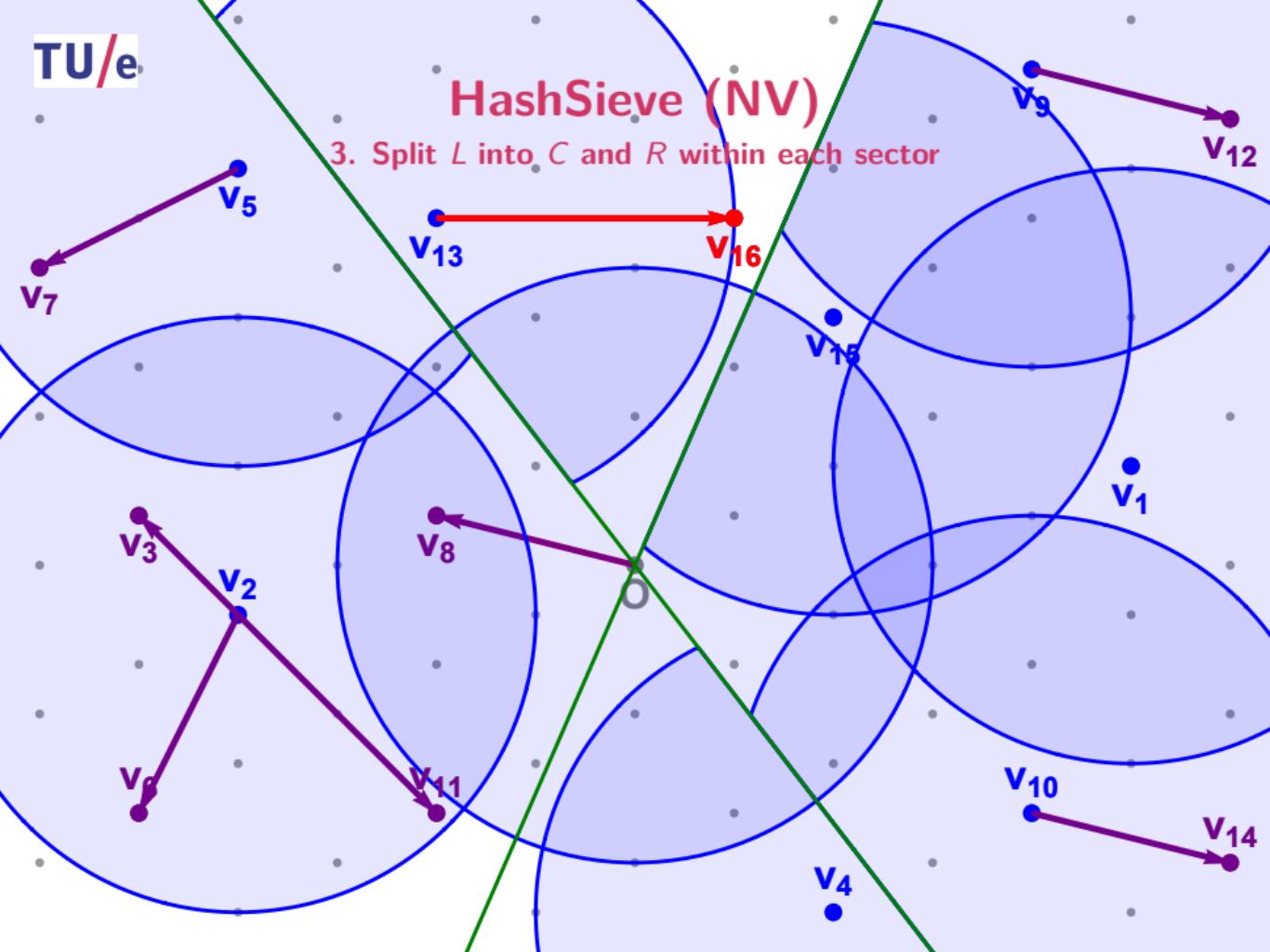
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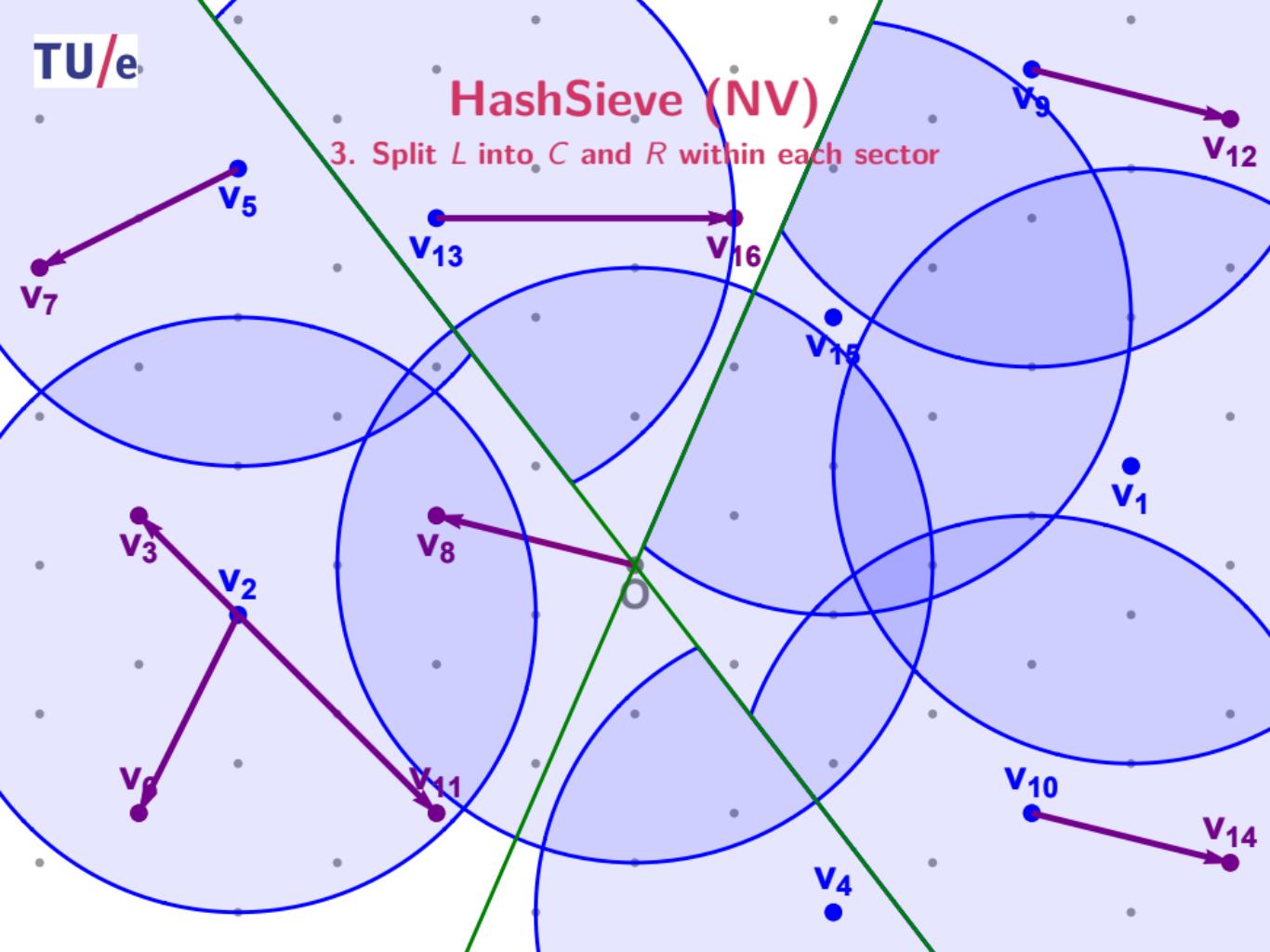
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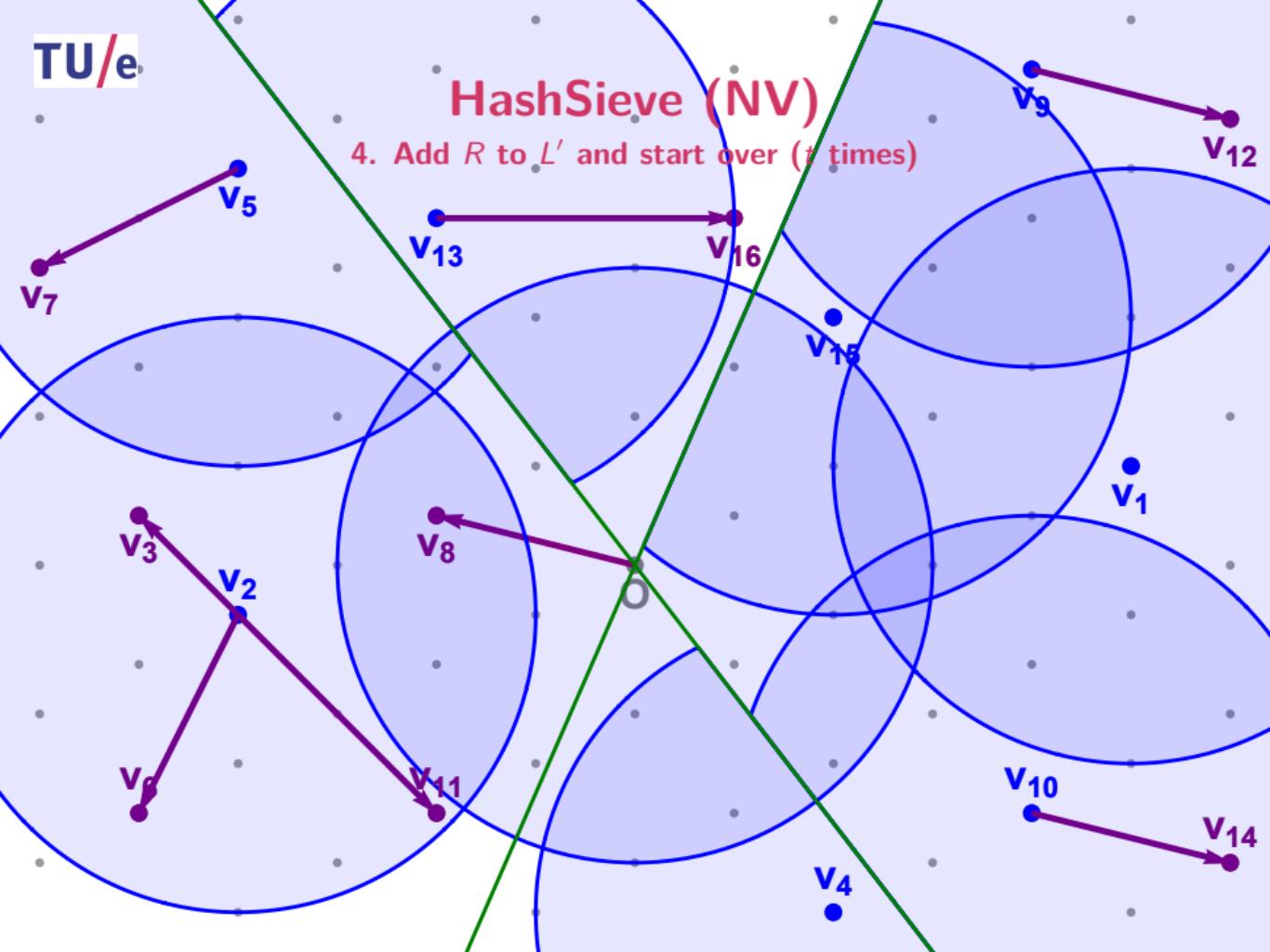
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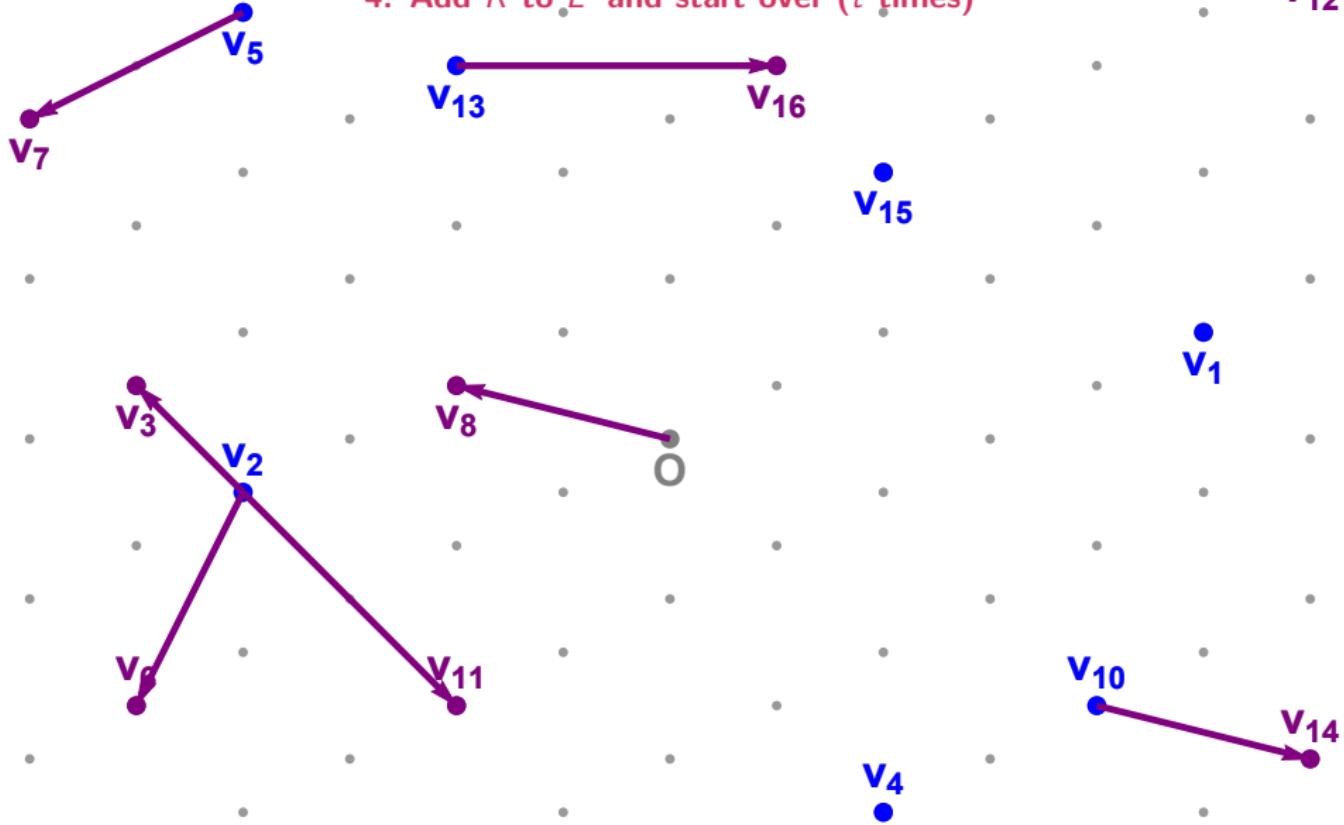
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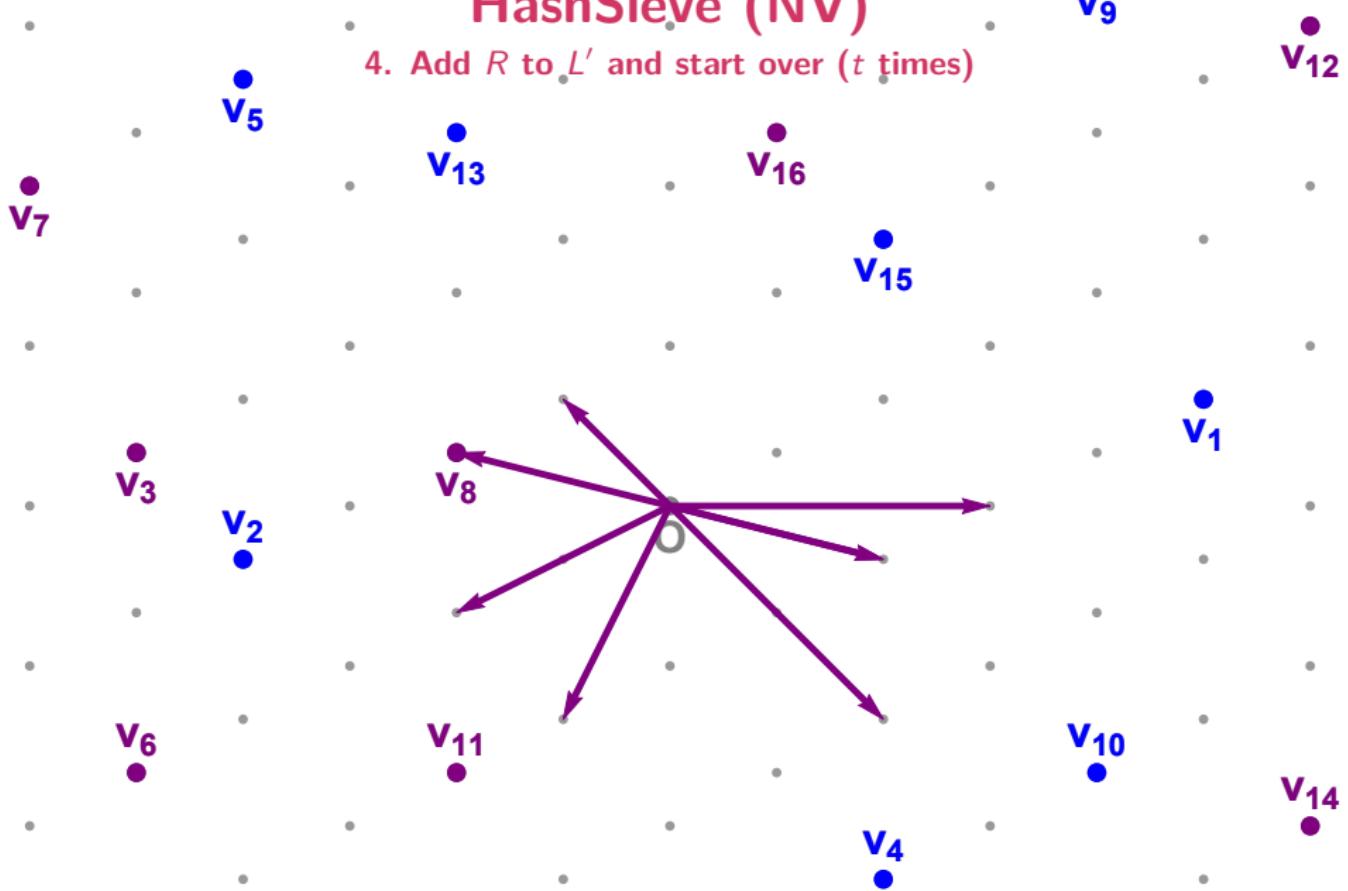
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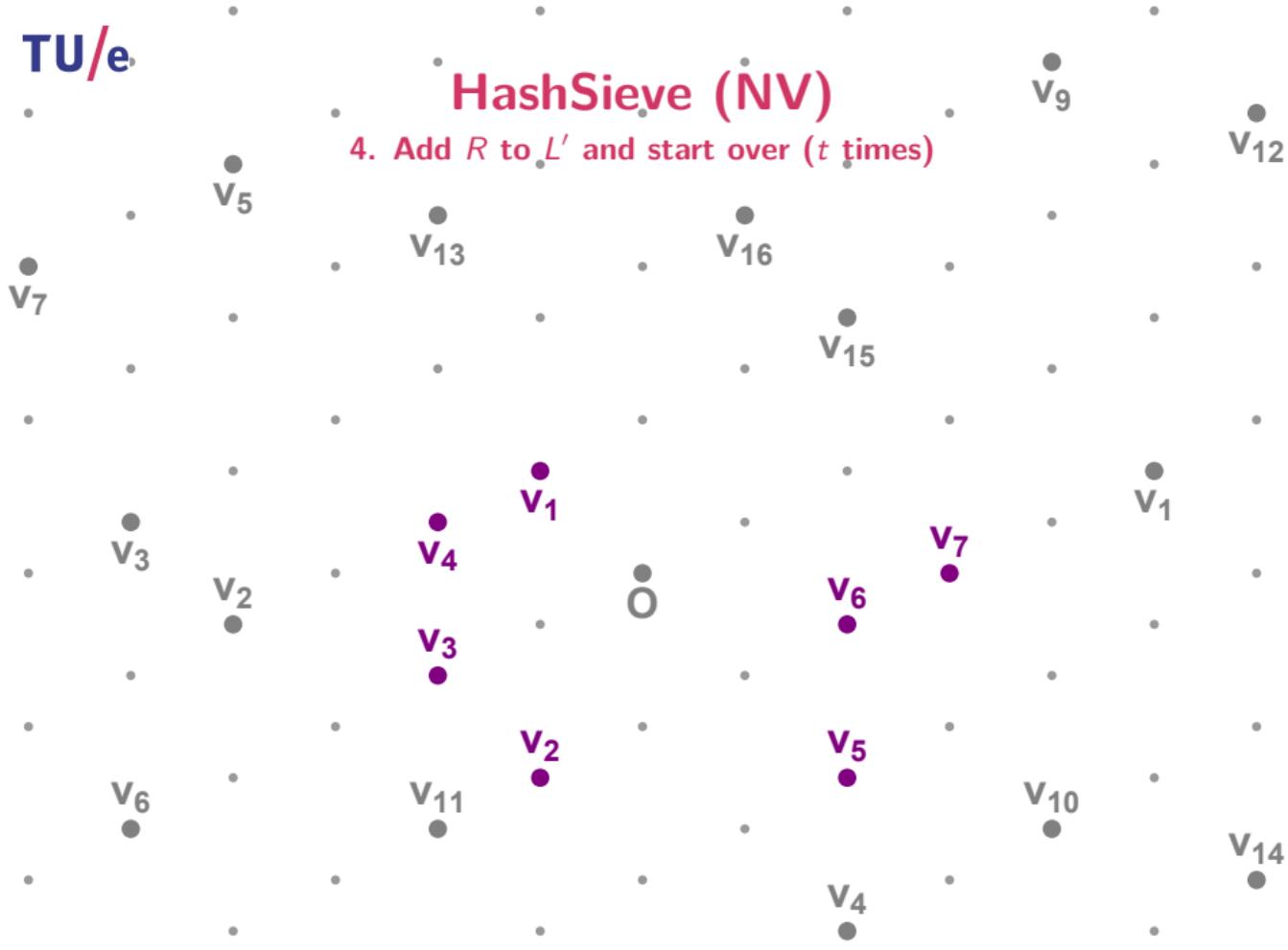
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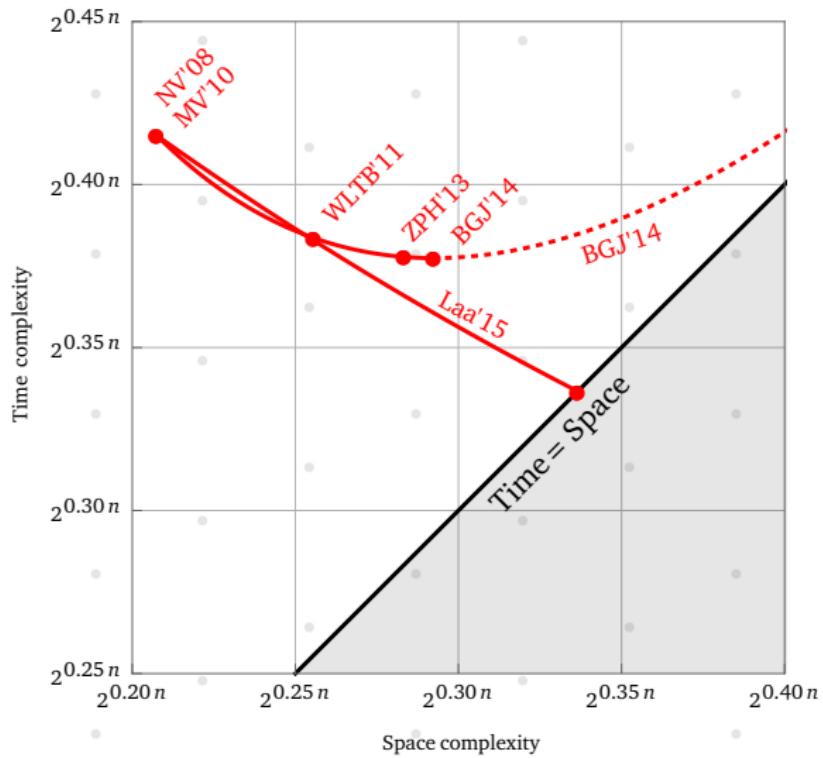
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### Heuristic

The HashSieve (NV) runs in time  $2^{0.34n+o(n)}$  and space  $2^{0.21n+o(n)}$ .

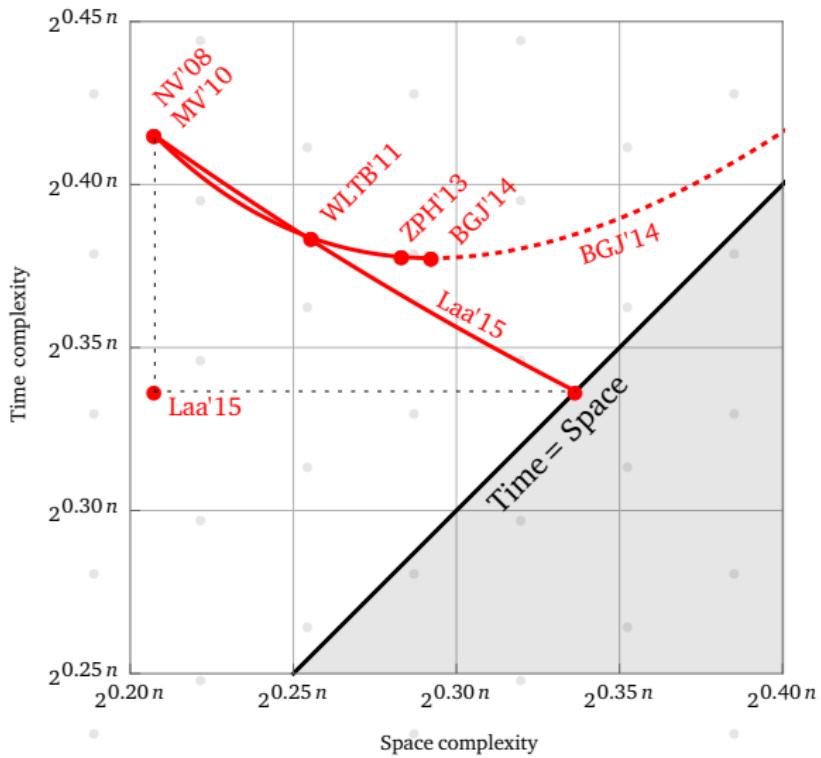
# HashSieve (NV)

## Space/time trade-off



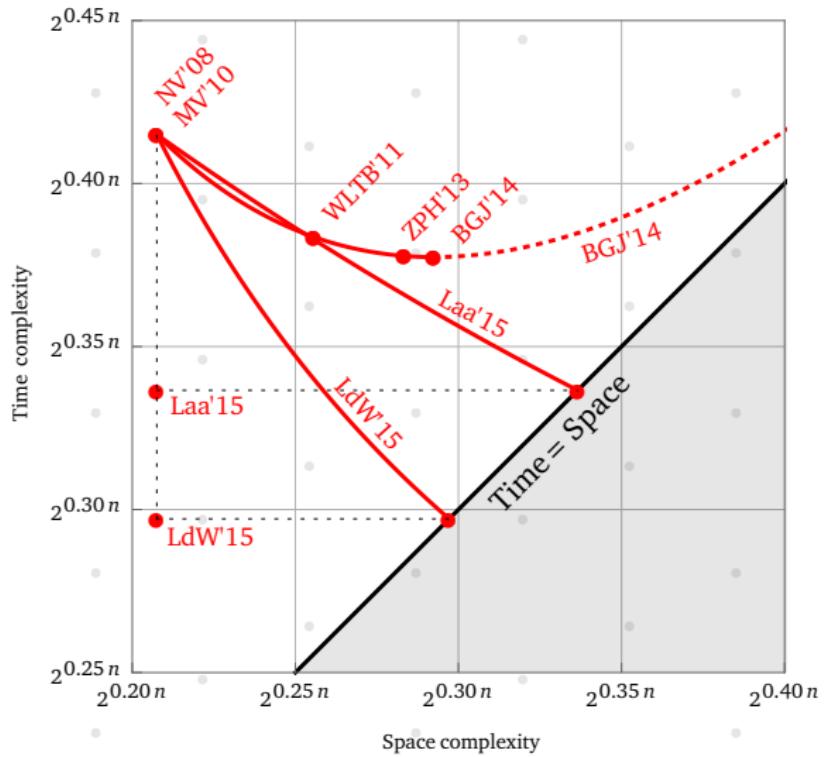
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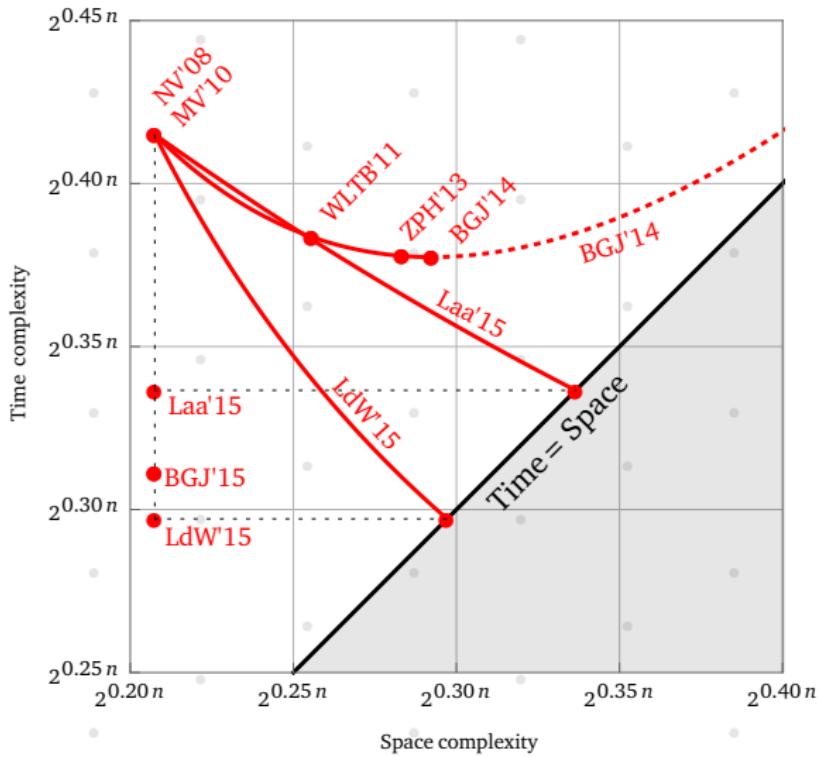
# SphereSieve

## Space/time trade-off



# Another NNS sieve

Space/time trade-off



# CrossPolytopeSieve

## Main ideas

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- Practice: orthogonal hyperplanes better than random ones
  - ▶ Orthogonal hyperplanes cause more “equal” divisions
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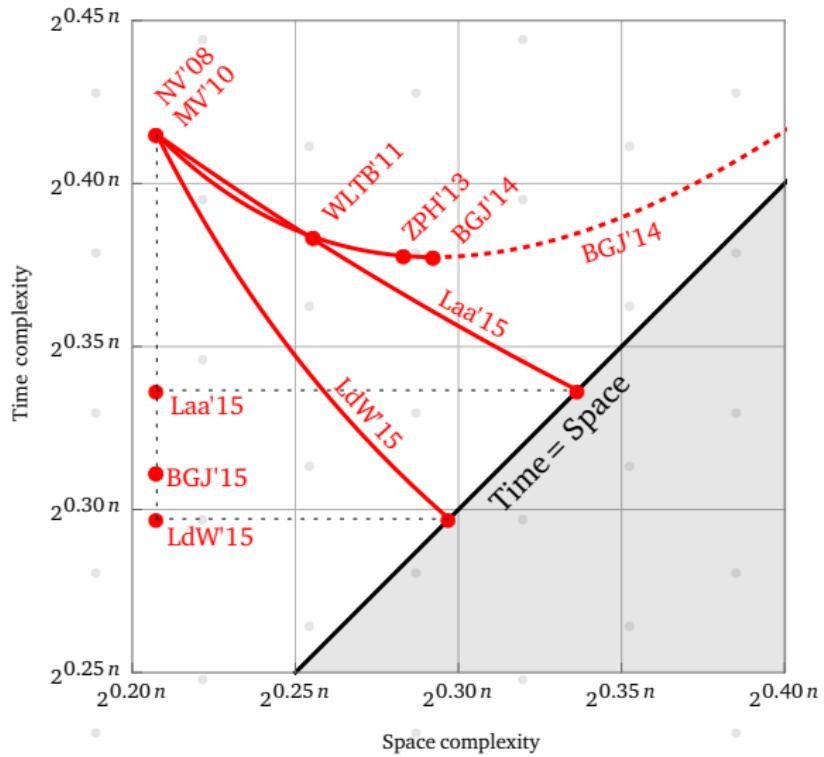
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- Idea: divide space into regions using regular polytopes
  - ▶ Hypercube  $\implies$  HashSieve with orthogonal hyperplanes
  - ▶ Cross polytope  $\implies$  CrossPolytopeSieve
  - ▶ Simplex  $\implies$  similar to CrossPolytopeSieve

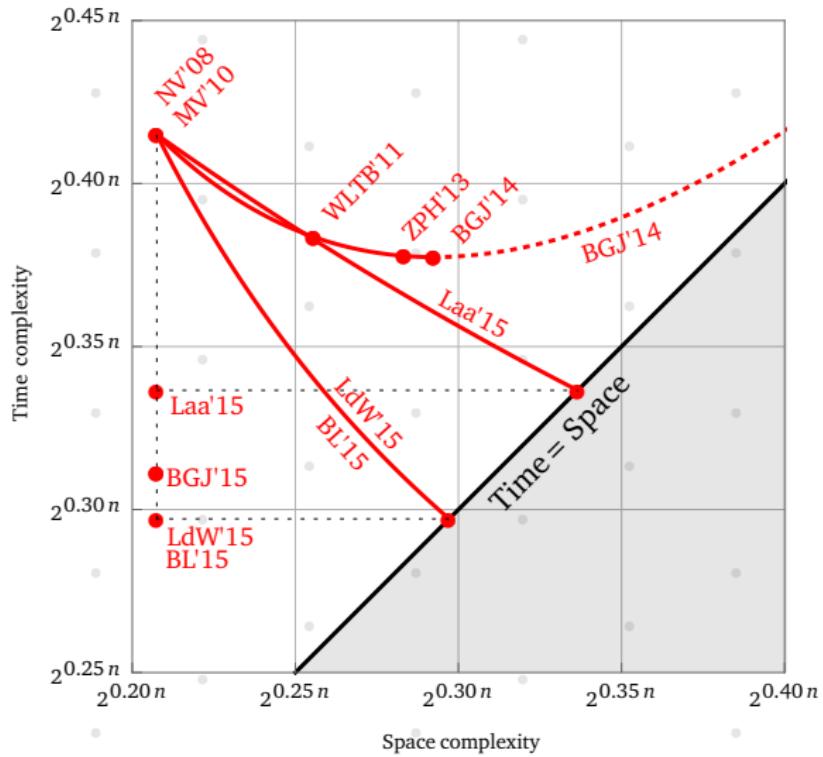
# CrossPolytopeSieve

Space/time trade-off



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# Open problems

- Heuristic sieving
  - ▶ Other LSH methods? (other symmetric objects?)
  - ▶ SVP challenge records?
  - ▶ Crossover point with enumeration?
  - ▶ Ideal lattice sieving speedups?
- Provable sieving
  - ▶ Better exponent with LSH?
  - ▶ Use idea of partitioning the space?
- Other SVP algorithms
  - ▶ Voronoi cell with LSH?
  - ▶ Solve CVPP faster with LSH?
  - ▶ Combine sieving with enumeration?

# Questions

