

# Sieving for shortest vectors in lattices using locality-sensitive hashing

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Microsoft Research, Redmond (WA), USA  
(June 22, 2015)

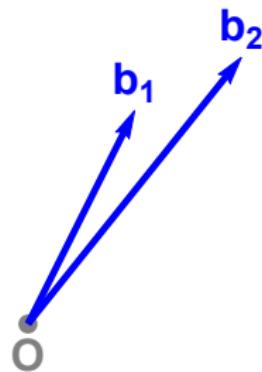
# Lattices

What is a lattice?



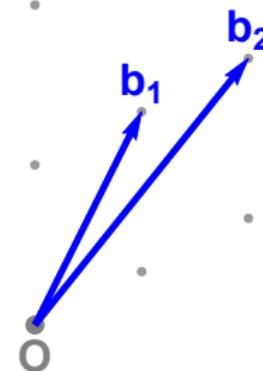
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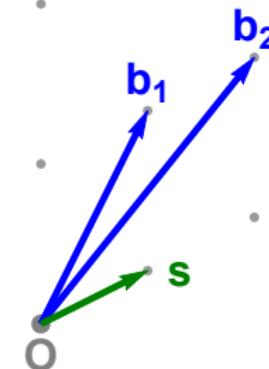
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What is a lattice?



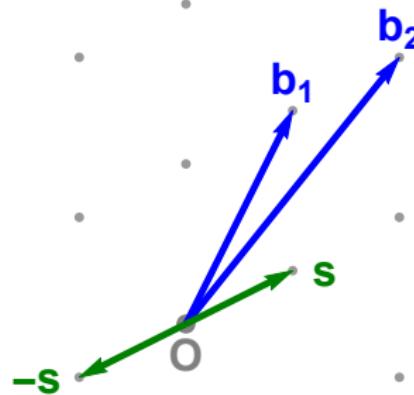
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Shortest Vector Problem (SVP)



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## SVP algorithms

	Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$
Provable SVP	Enumeration [Poh81, Kan83, ..., GNR10]	$\Omega(n \log n)$	$O(\log n)$
	AKS-sieve [AKS01, NV08, MV10, HPS11]	$3.398n$	$1.985n$
	ListSieve [MV10, MDB14]	$3.199n$	$1.327n$
	AKS-sieve-birthday [PS09, HPS11]	$2.648n$	$1.324n$
	ListSieve-birthday [PS09]	$2.465n$	$1.233n$
	Voronoi cell algorithm [MV10b]	$2.000n$	$1.000n$
	Discrete Gaussian sampling [ADRS15, ADS15]	$1.000n$	$1.000n$
Heuristic SVP	NV-sieve [NV08]	$0.415n$	$0.208n$
	GaussSieve [MV10, ..., IKMT14, BNvdP14]	$0.415n?$	$0.208n$
	Two-level sieve [WLTB11]	$0.384n$	$0.256n$
	Three-level sieve [ZPH13]	$0.3778n$	$0.283n$
	Decomposition approach [BGJ14]	$0.3774n$	$0.293n$
	HashSieve [Laa15, MLB15]	$0.337n$	$0.208n^*$
	Another NNS sieve [BGJ15]	$0.311n$	$0.208n$
	SphereSieve [LdW15]	$0.298n$	$0.208n$
	CrossPolytopeSieve [BL15]	$0.298n$	$0.208n^*$

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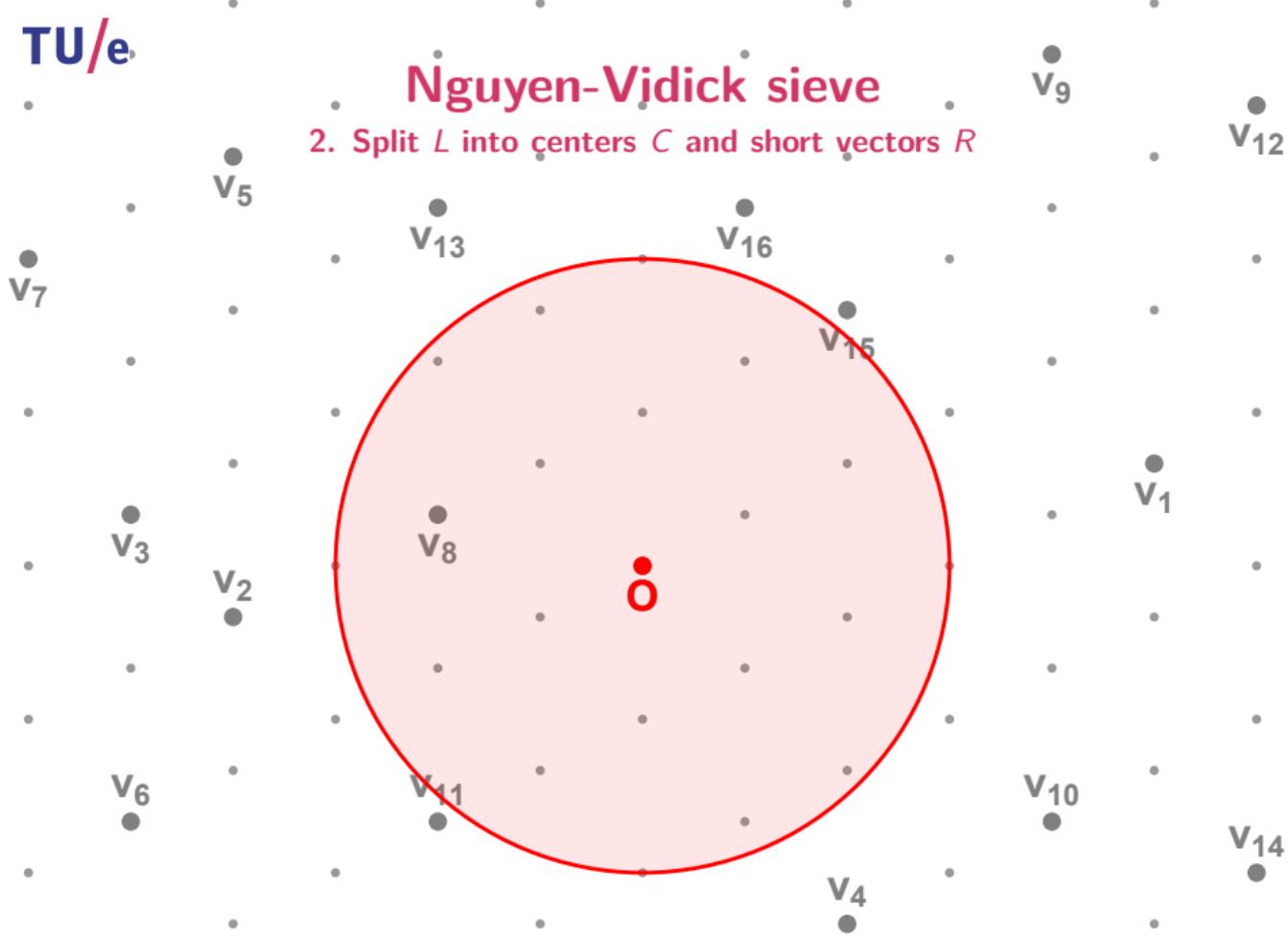
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2. Split  $L$  into centers  $C$  and short vectors  $R$



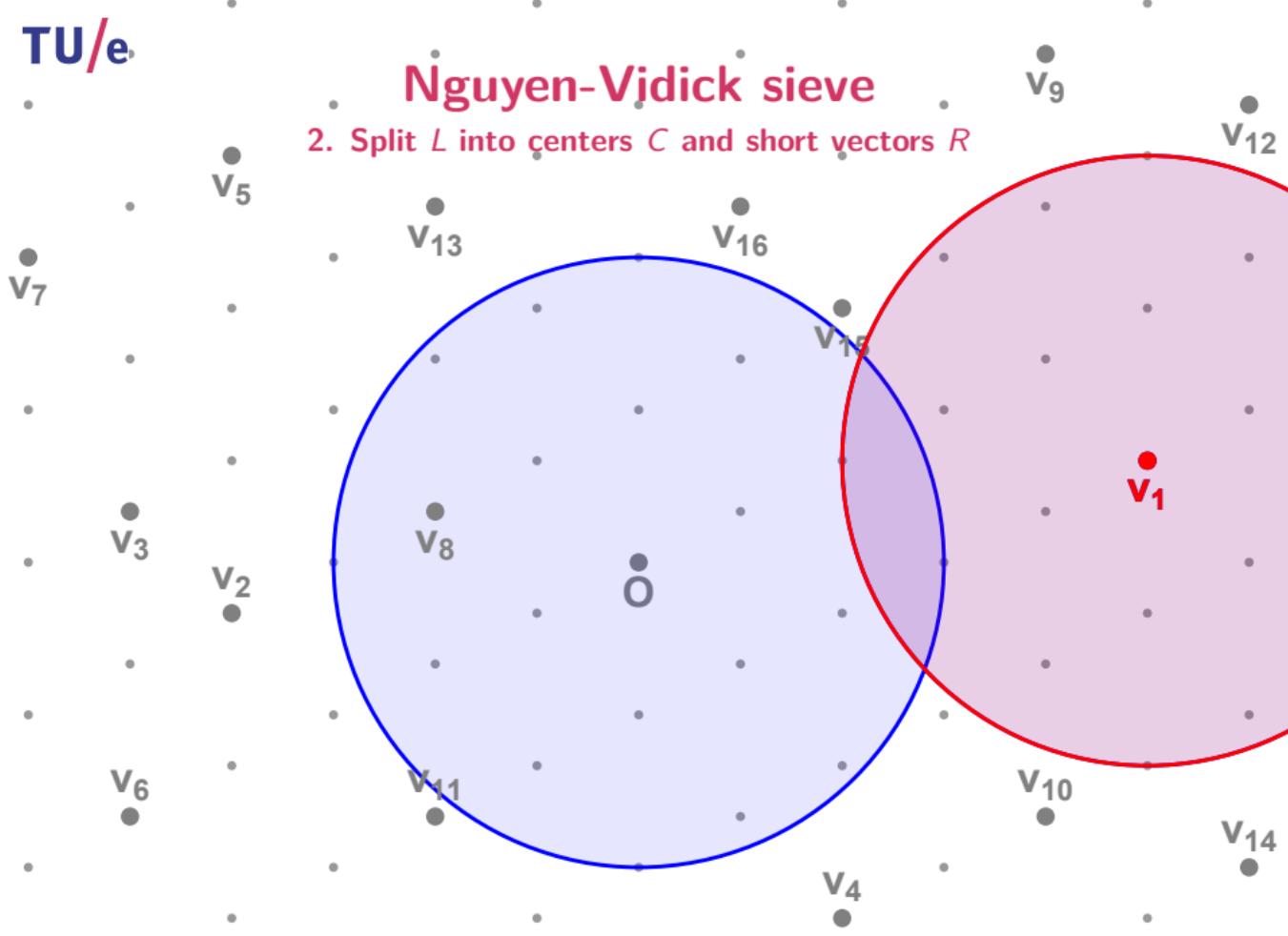
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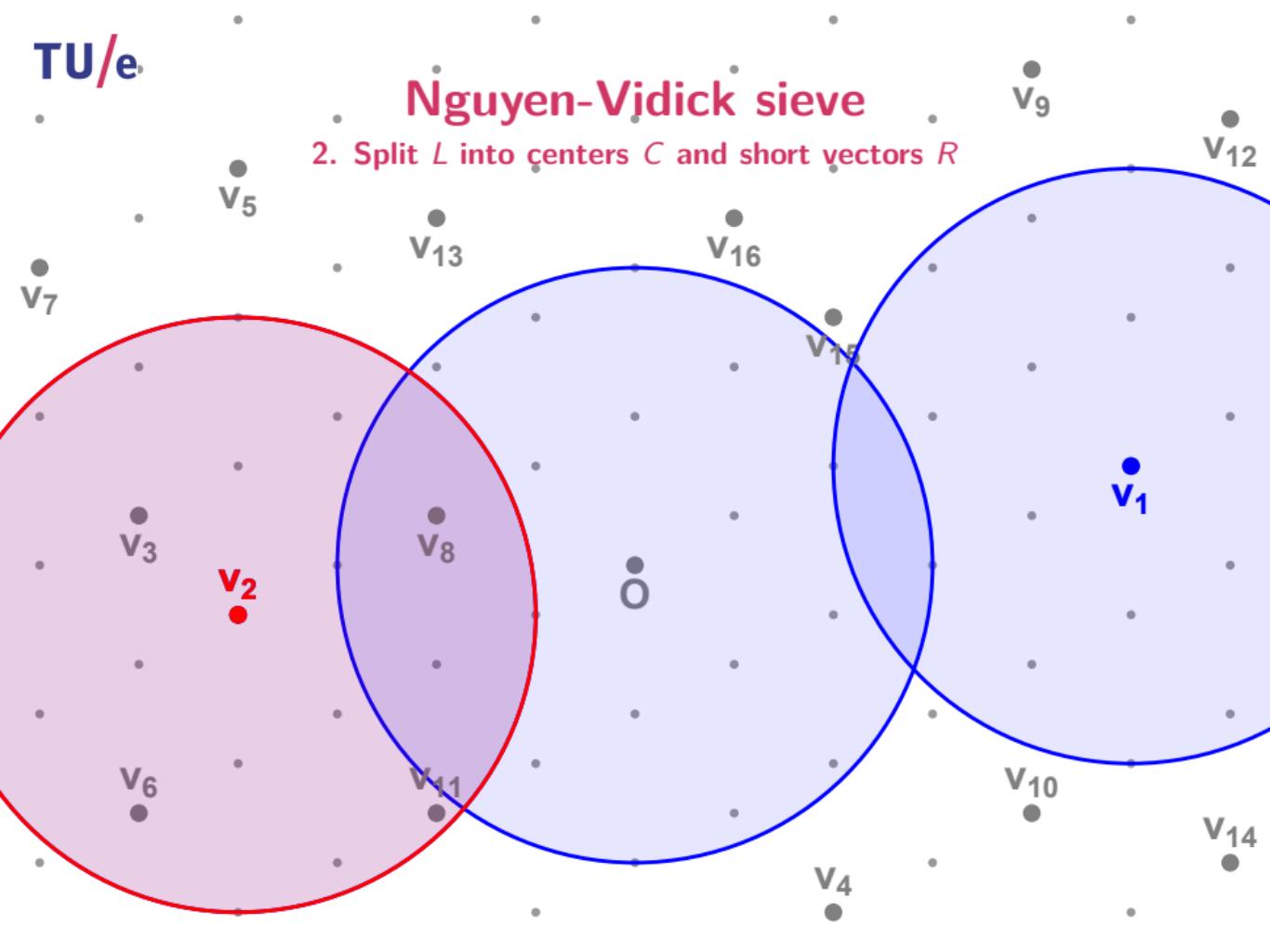
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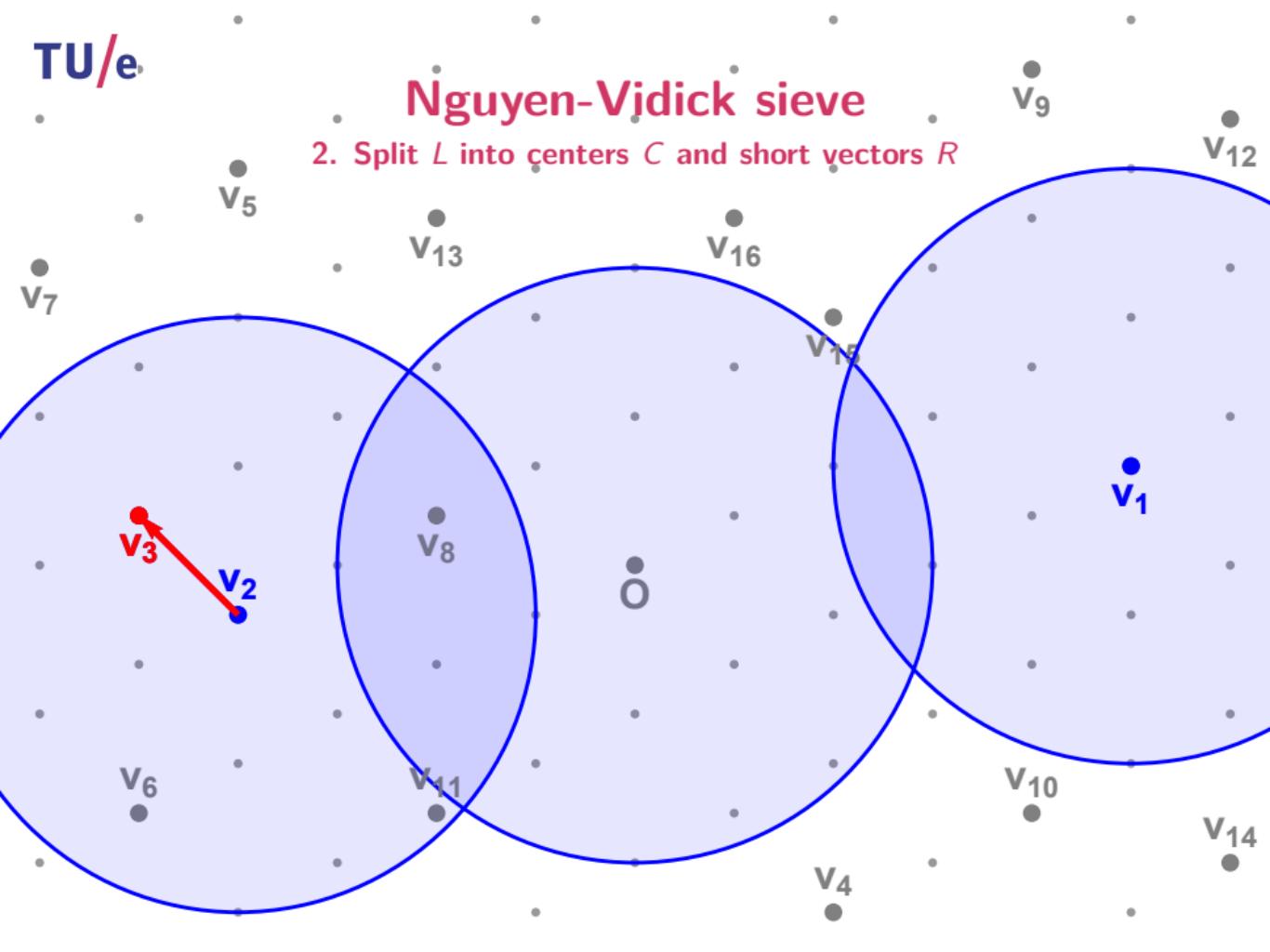
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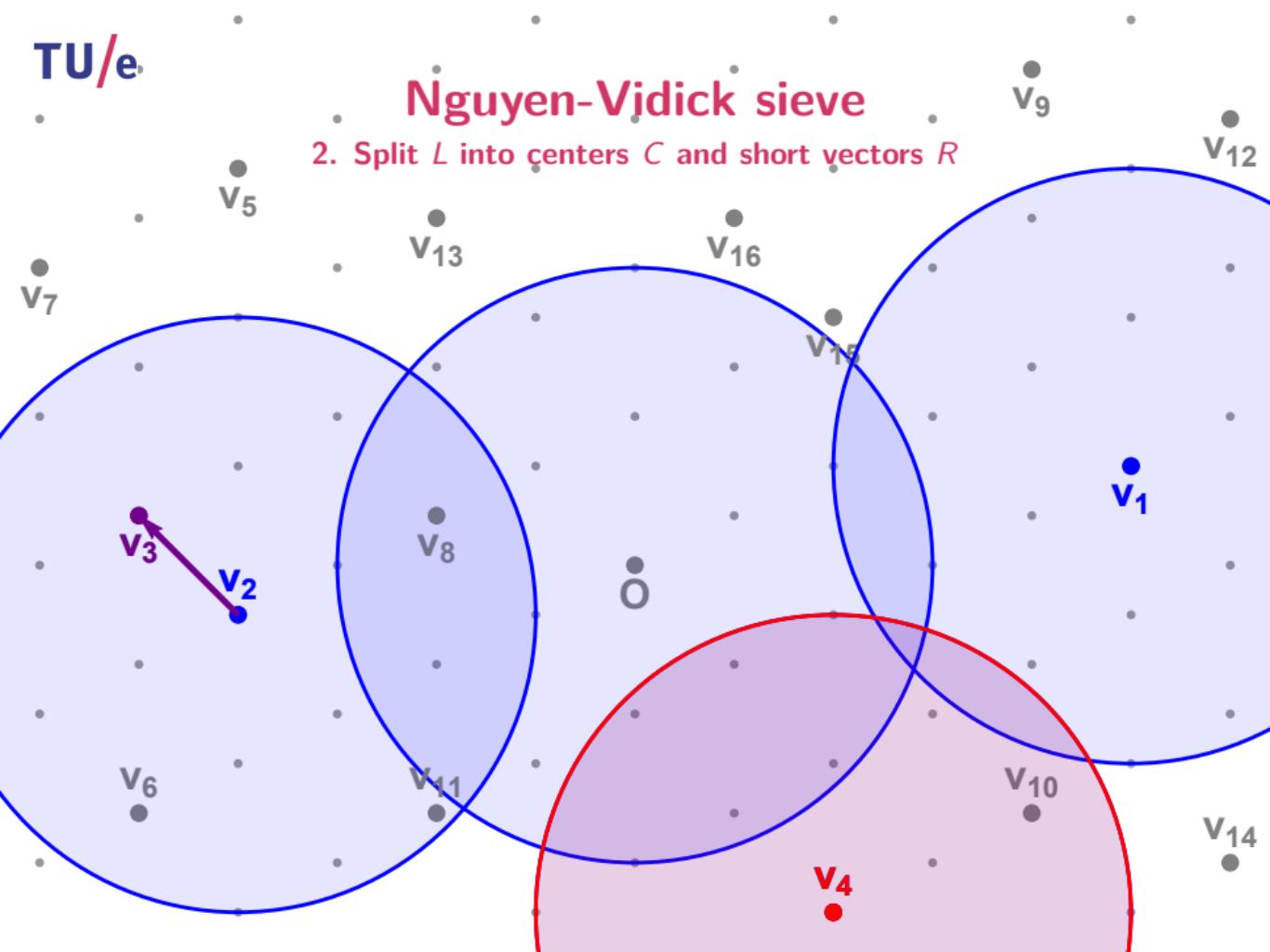
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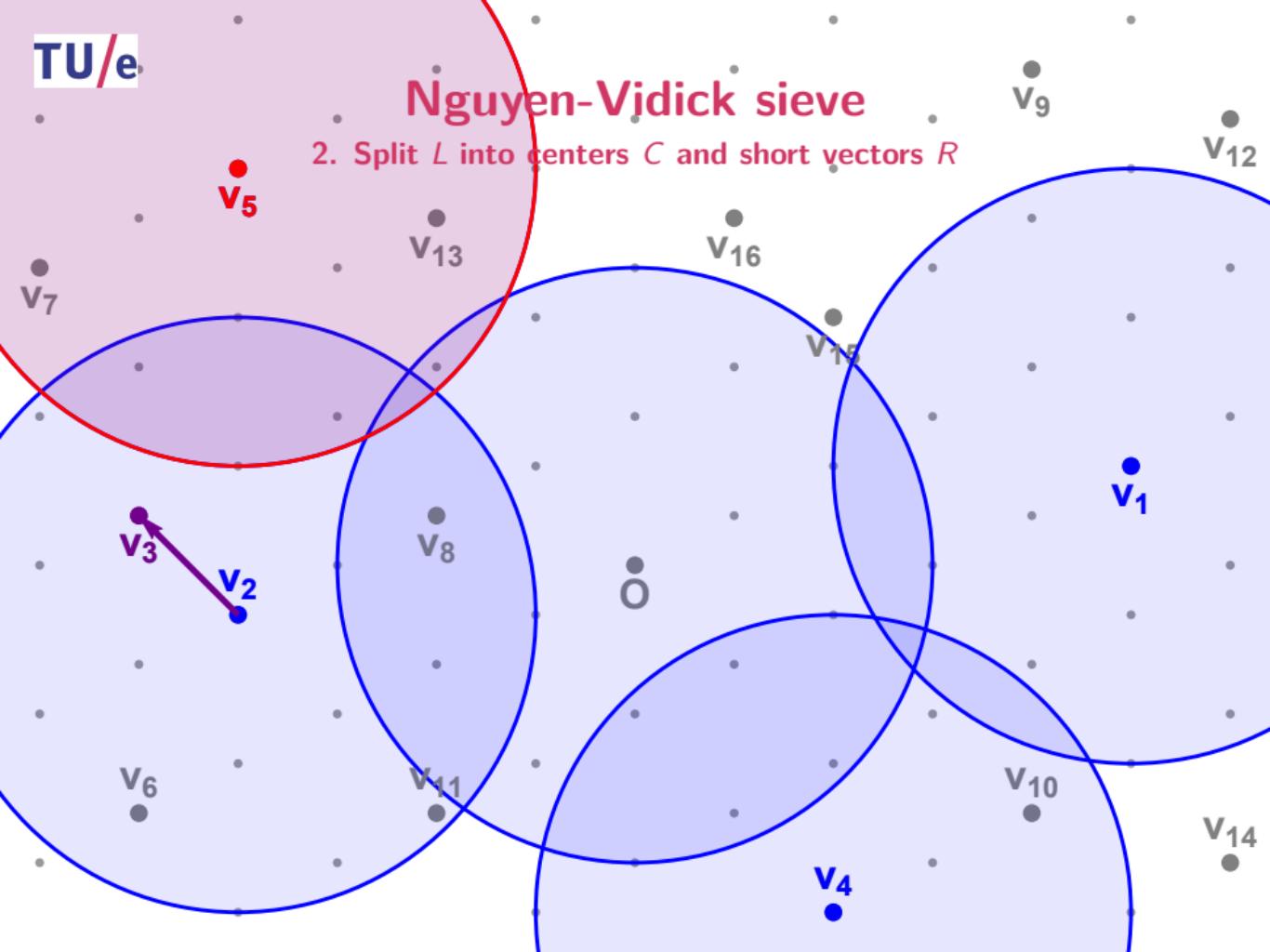
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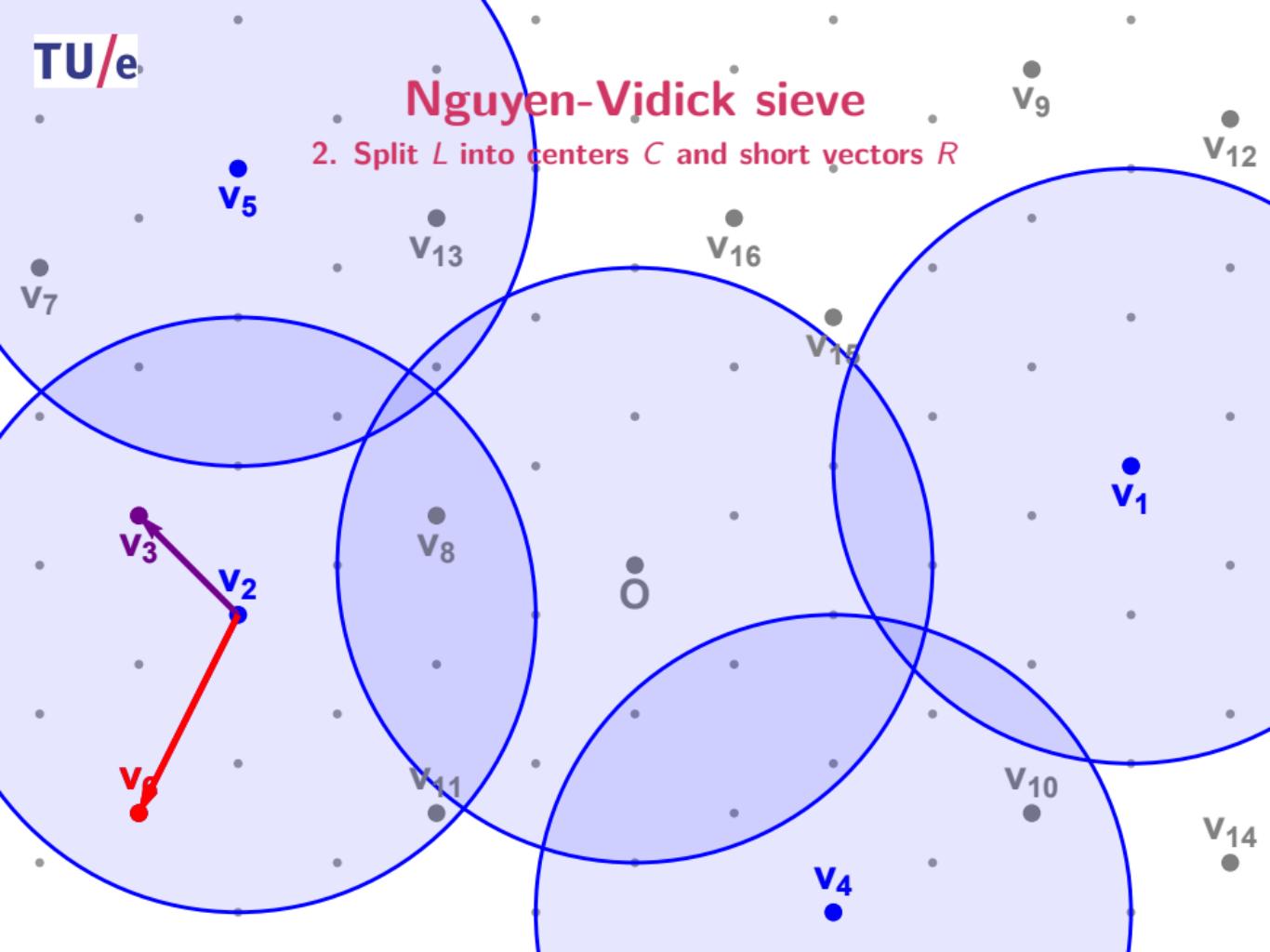
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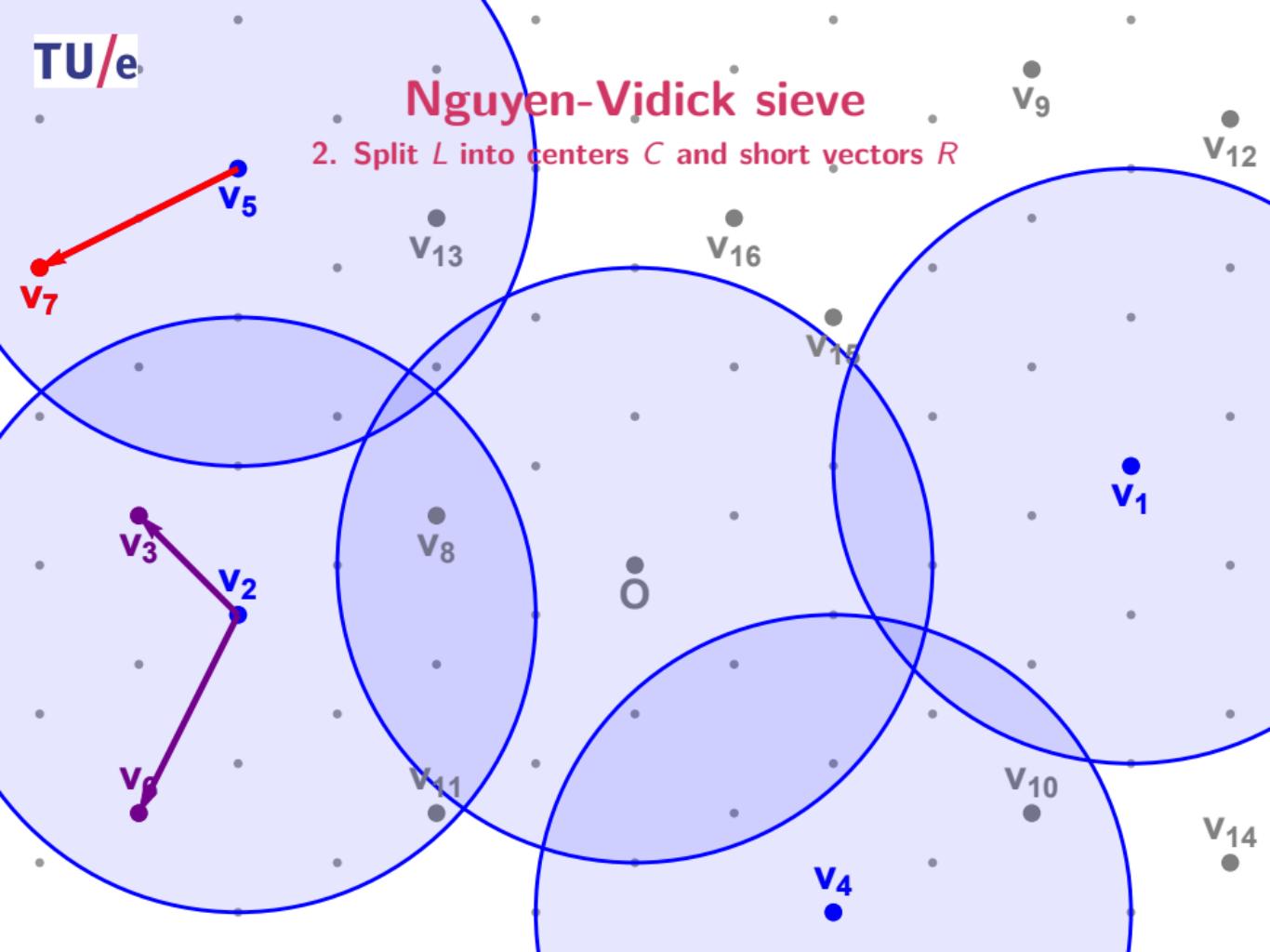
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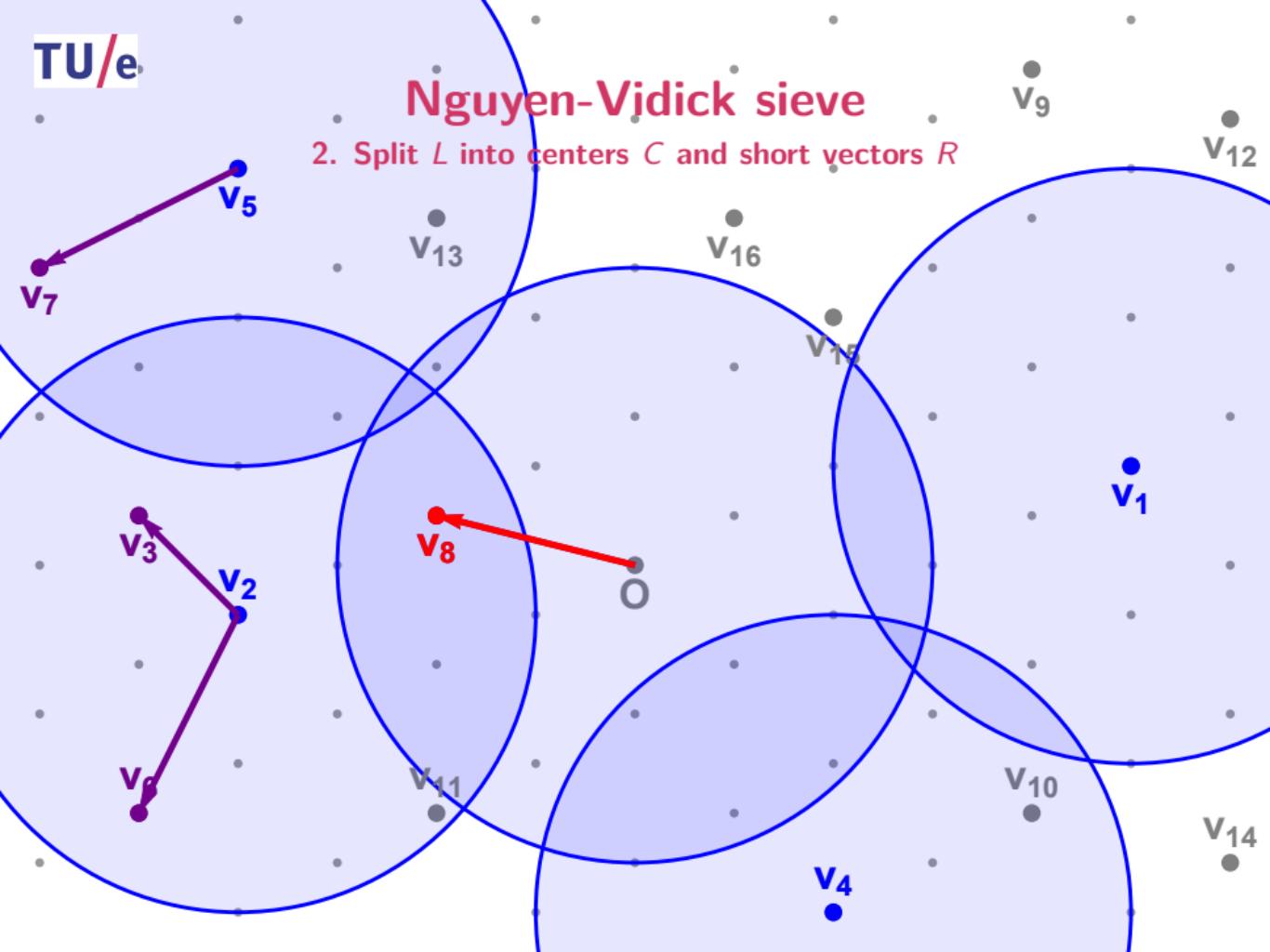
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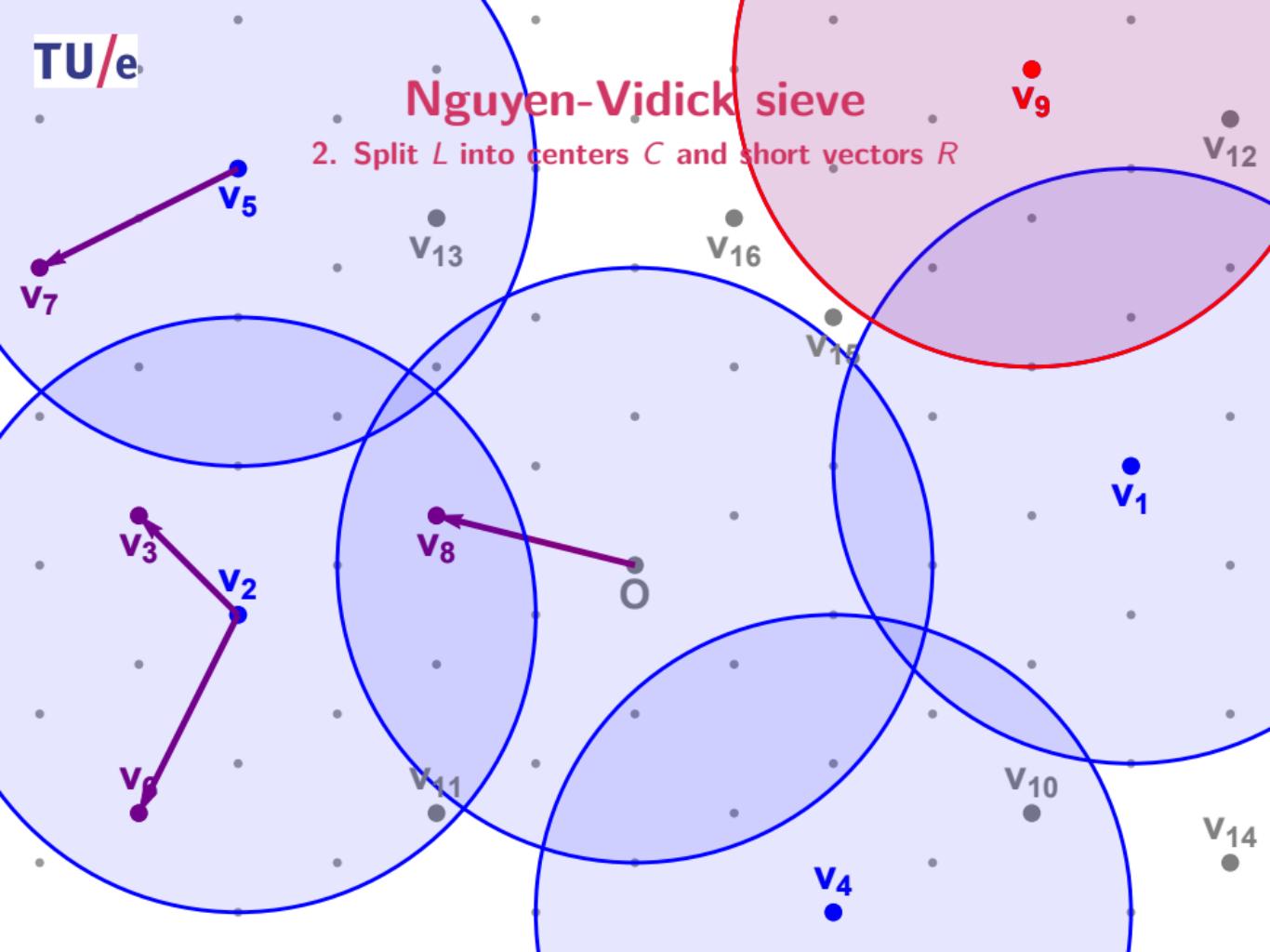
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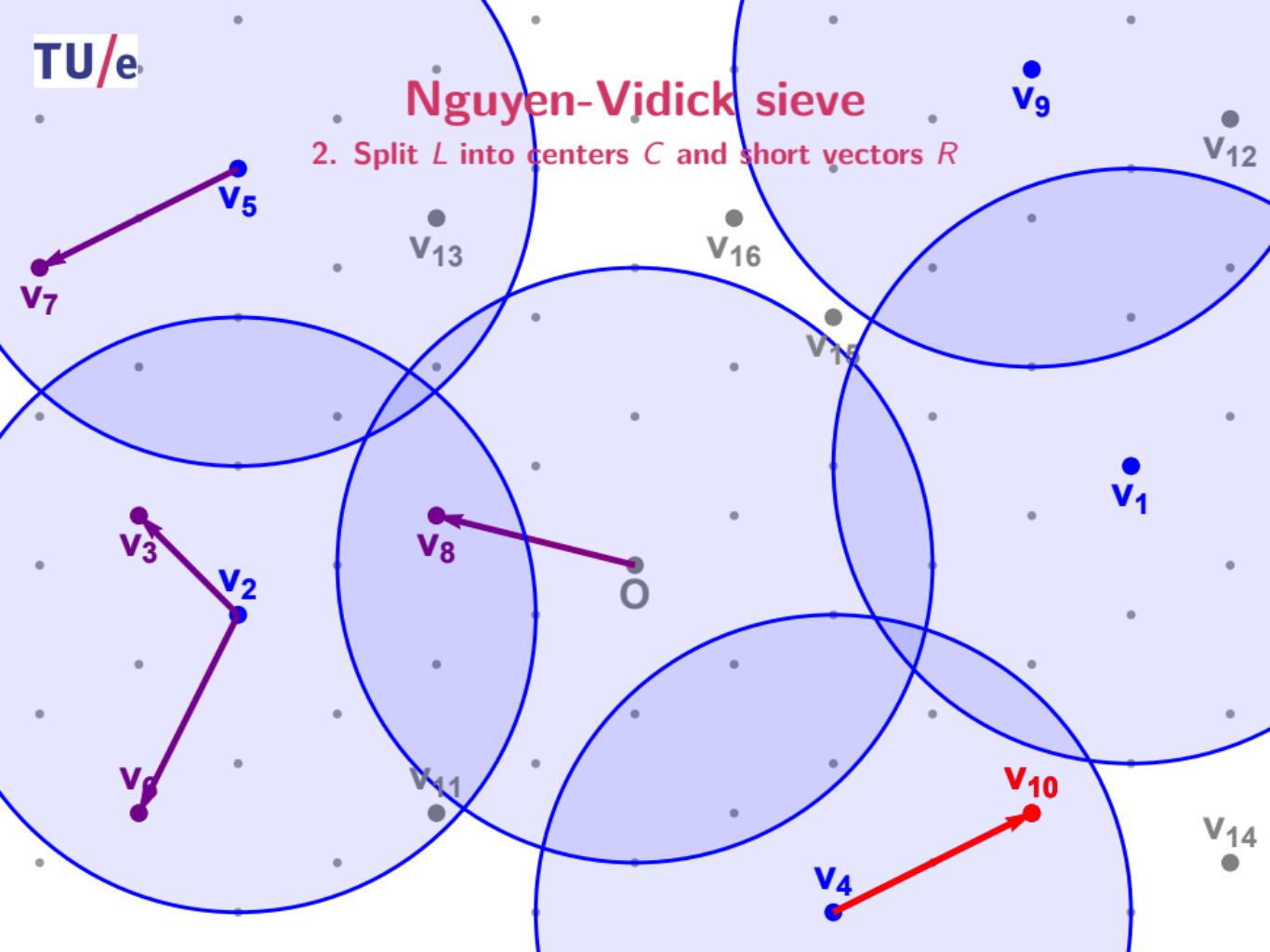
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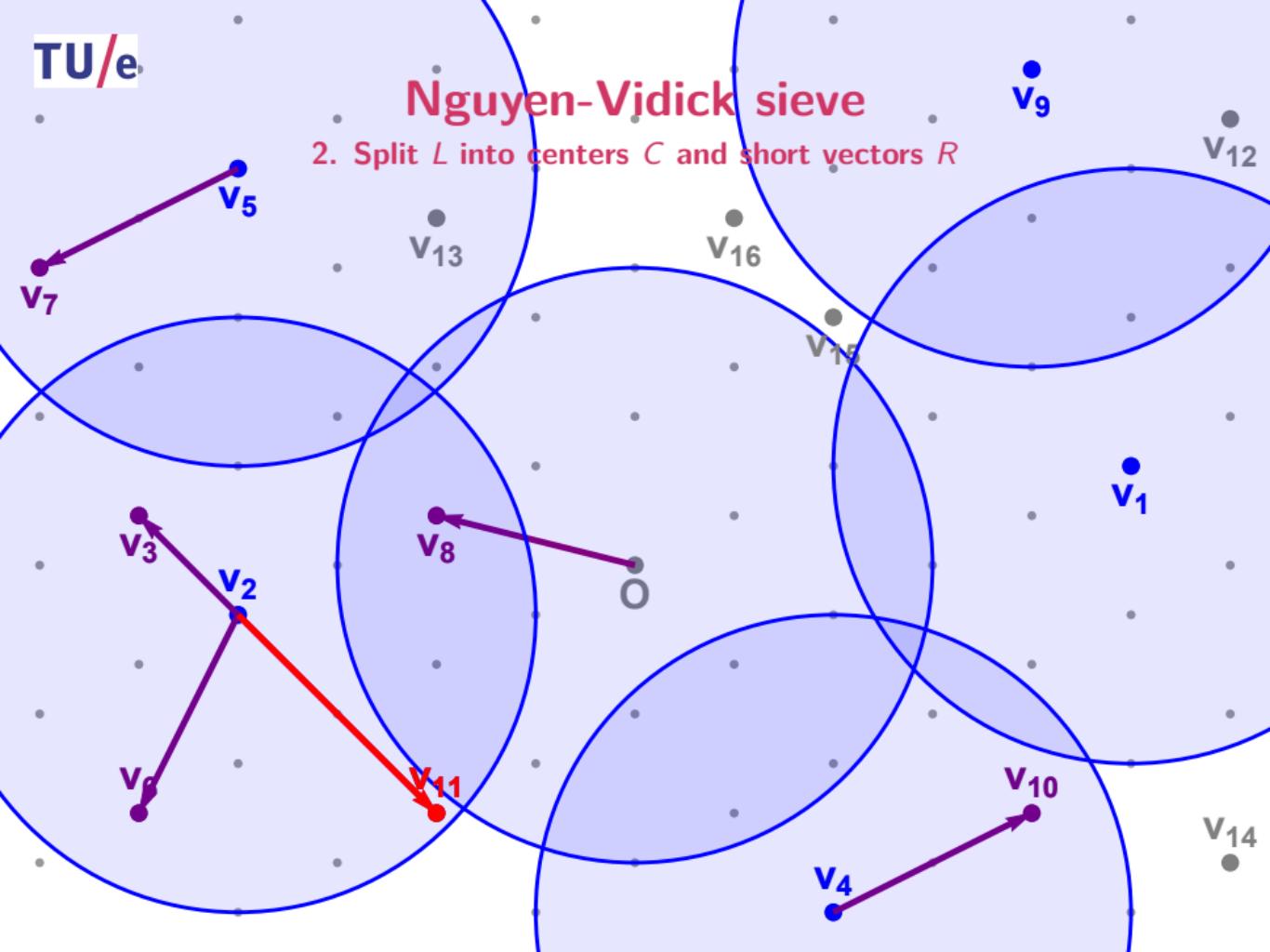
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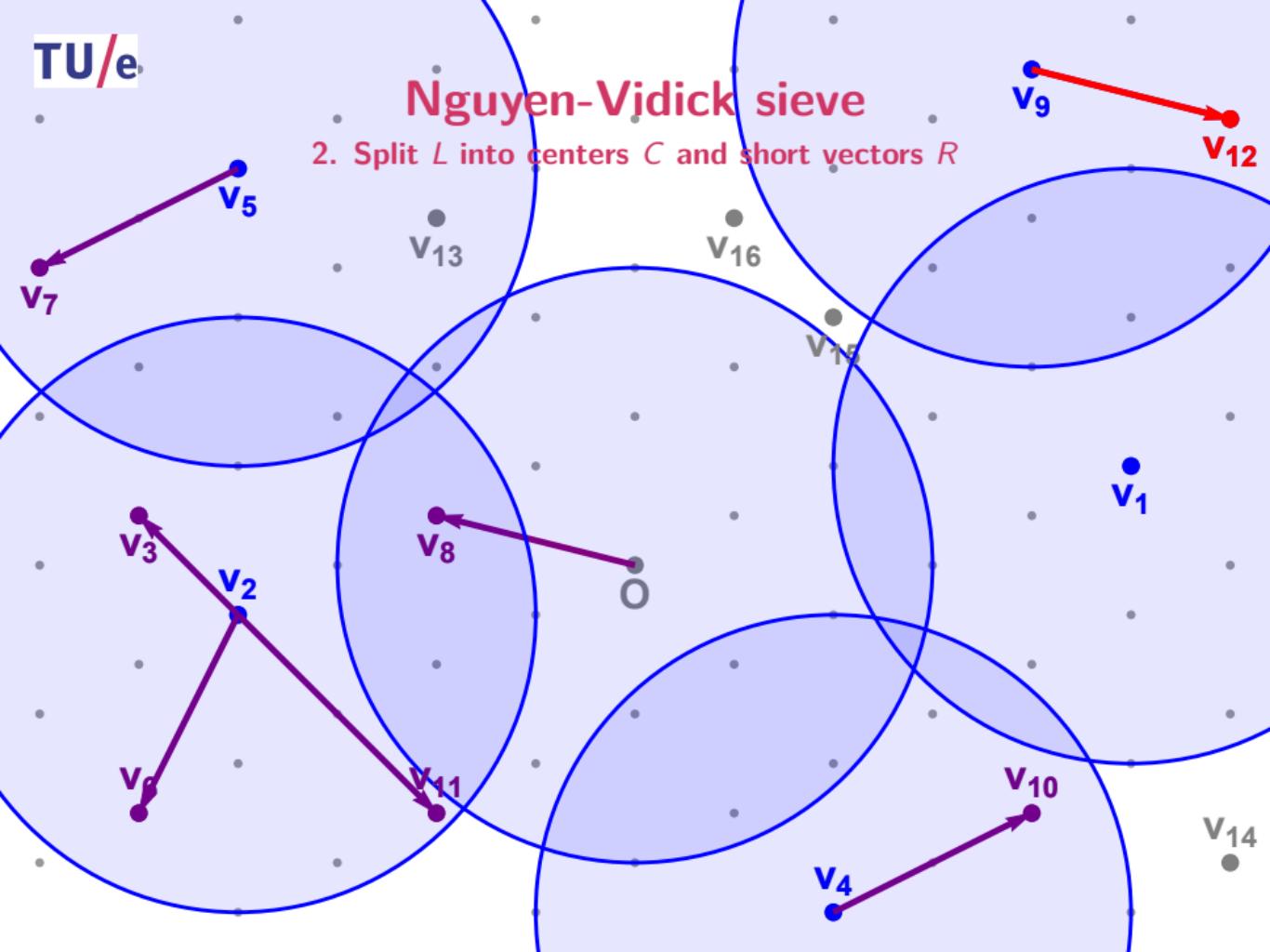
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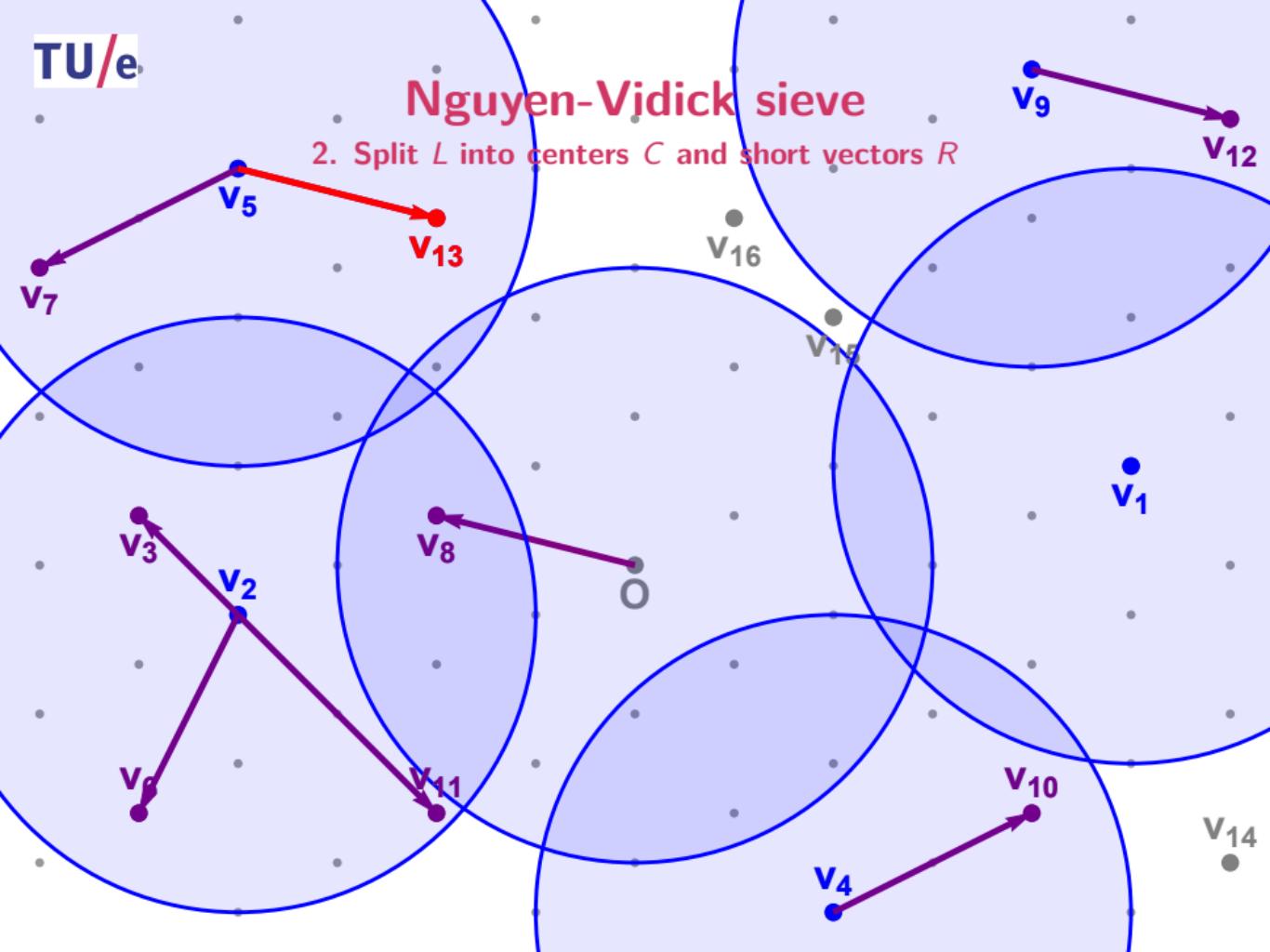
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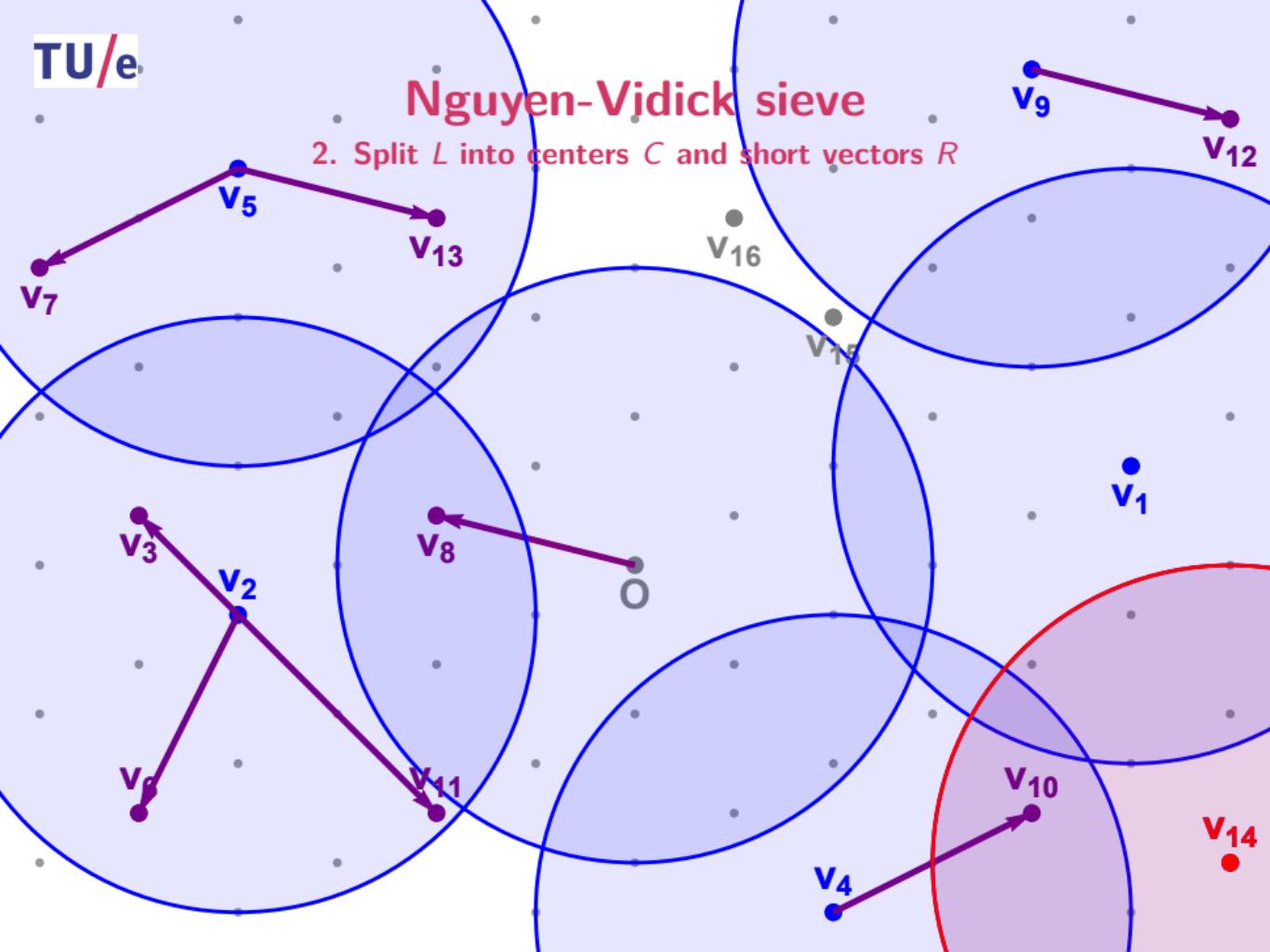
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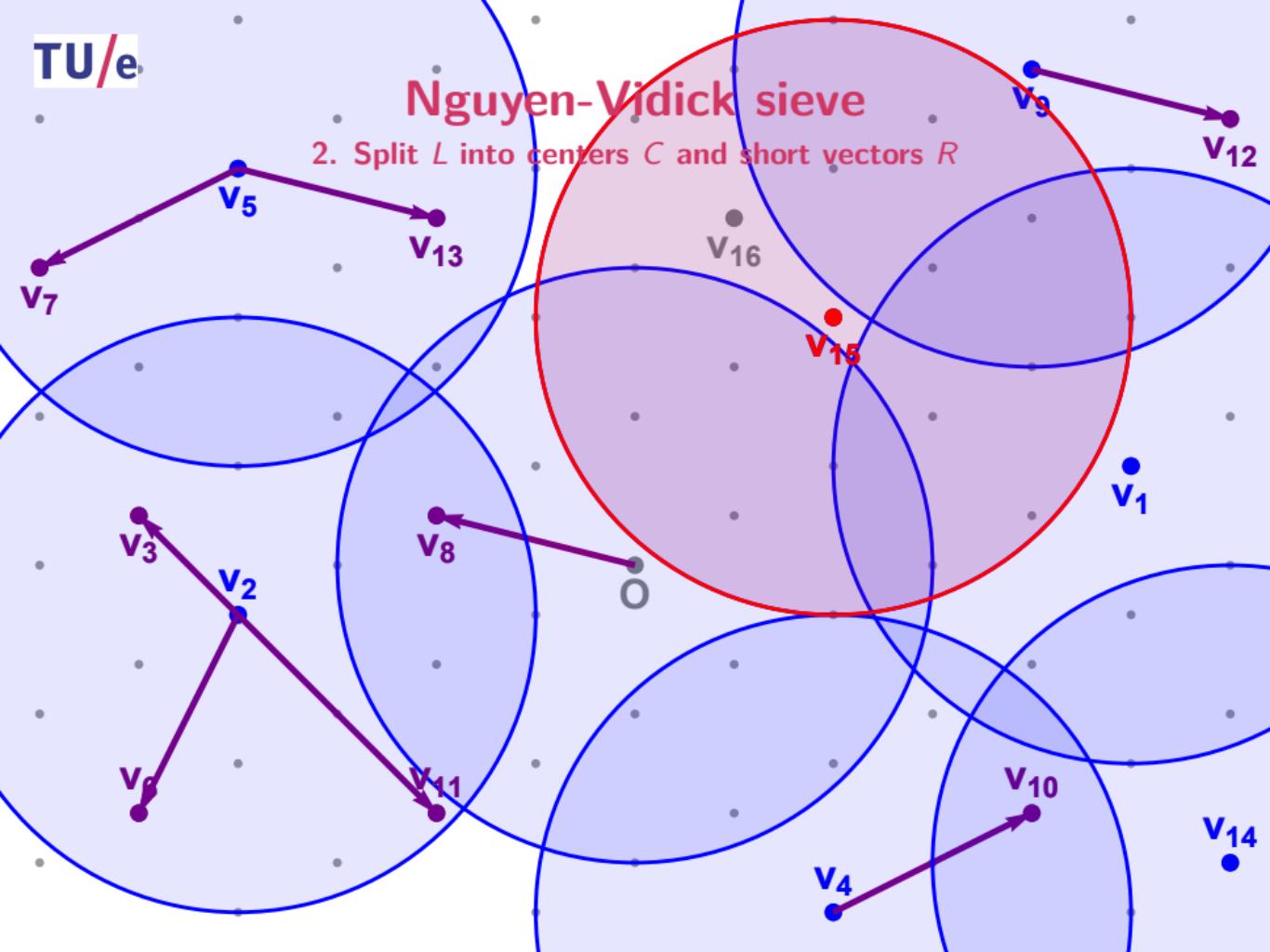
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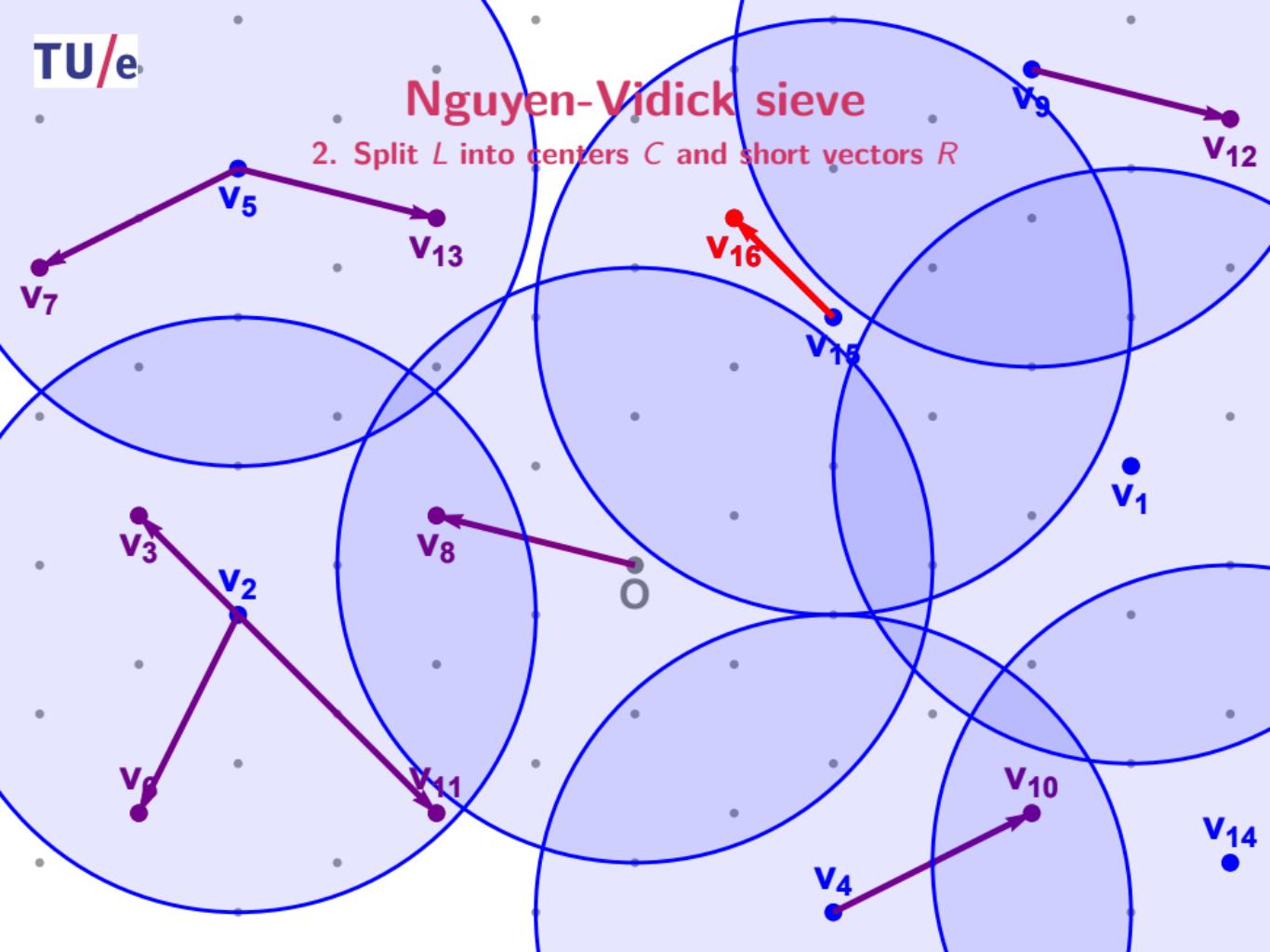
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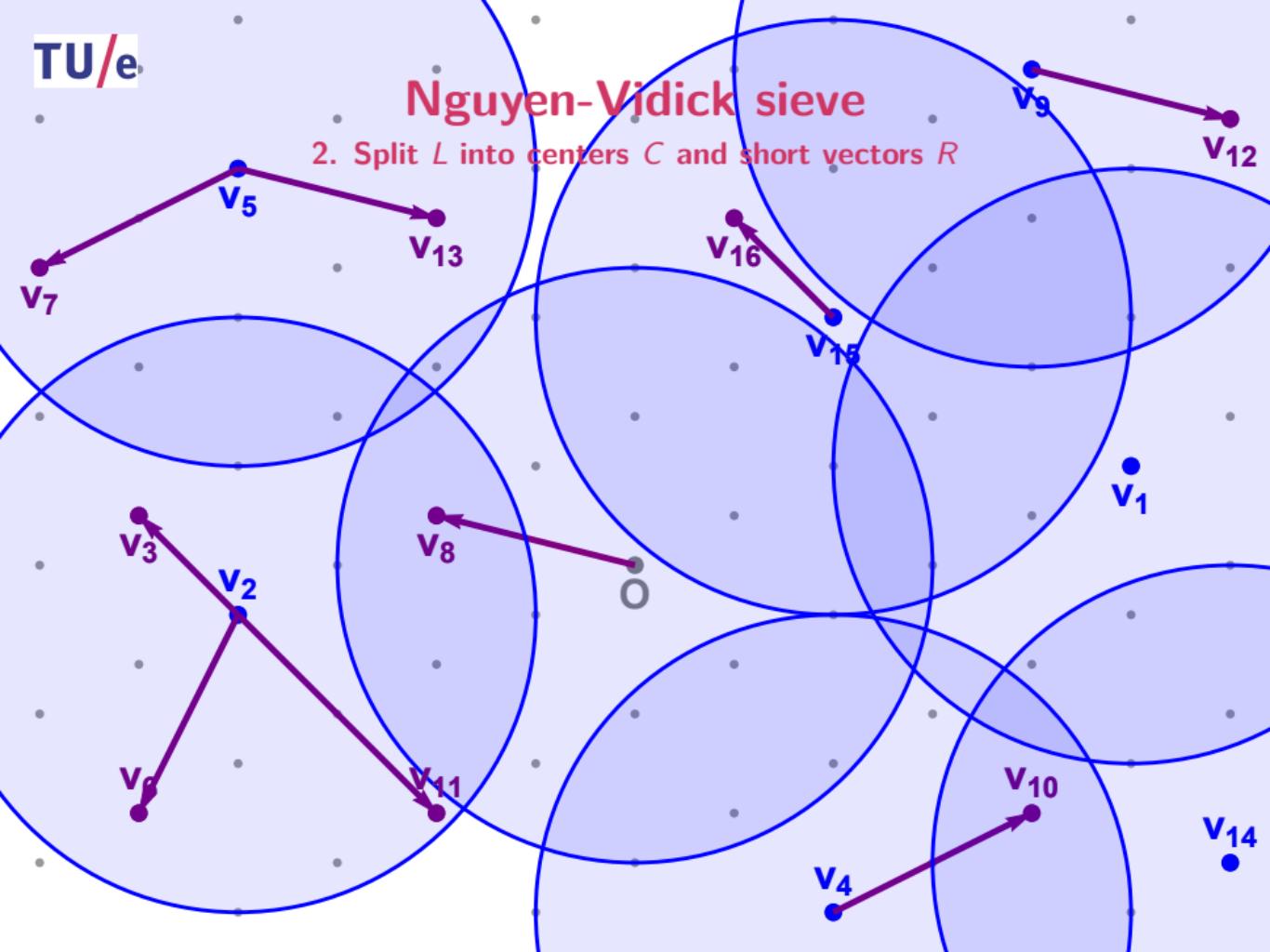
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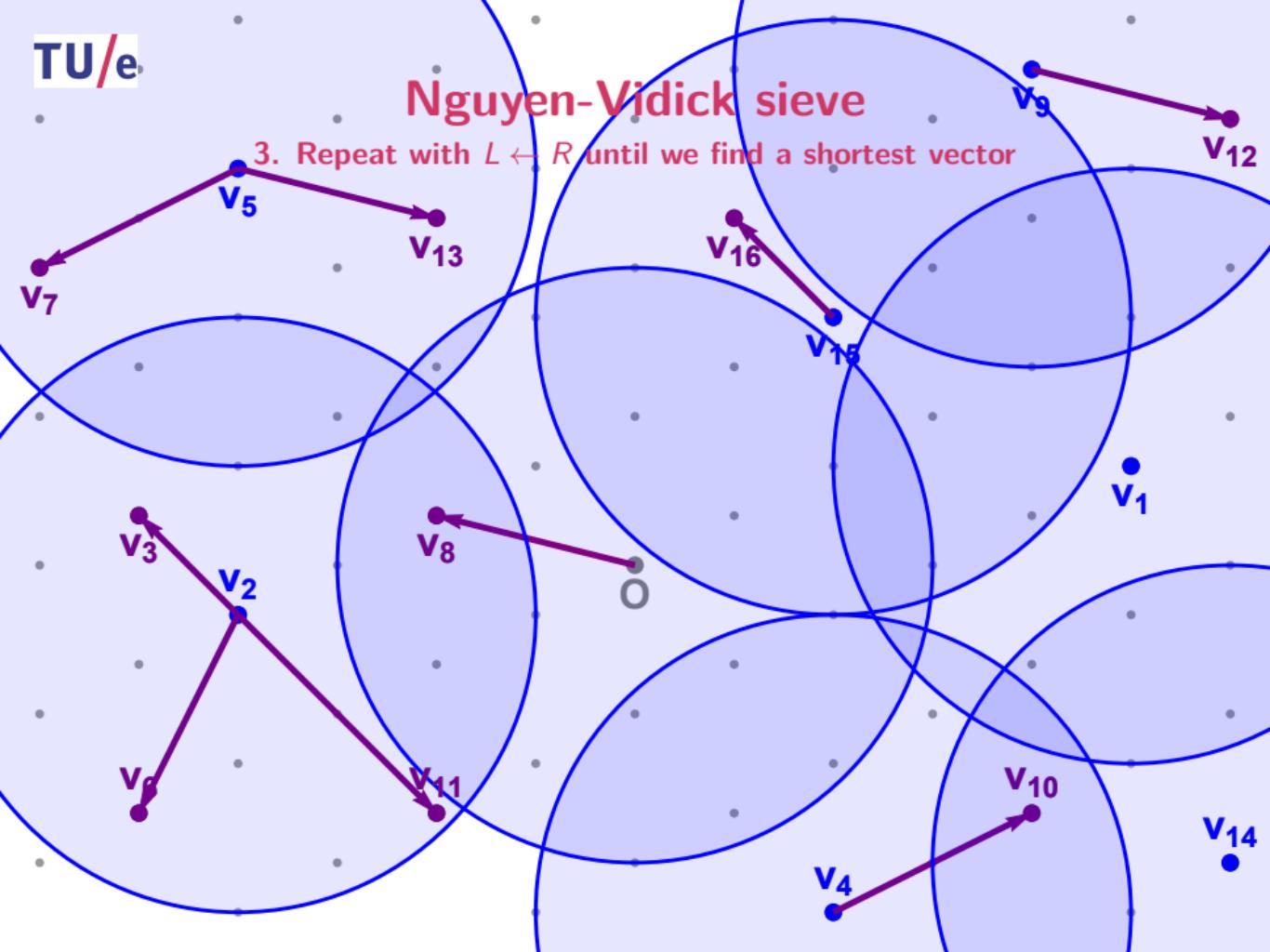
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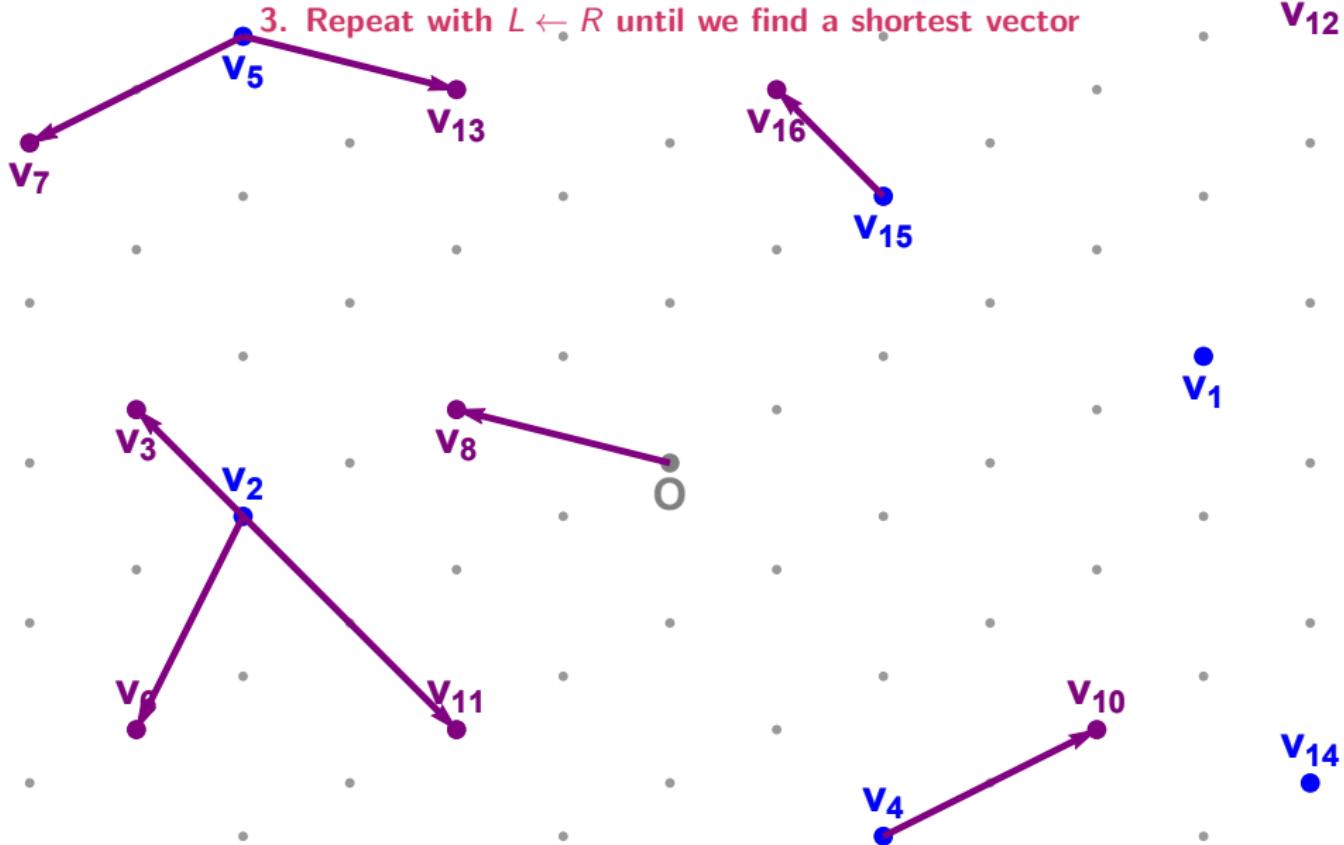
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3. Repeat with  $L \leftarrow R$  until we find a shortest vector



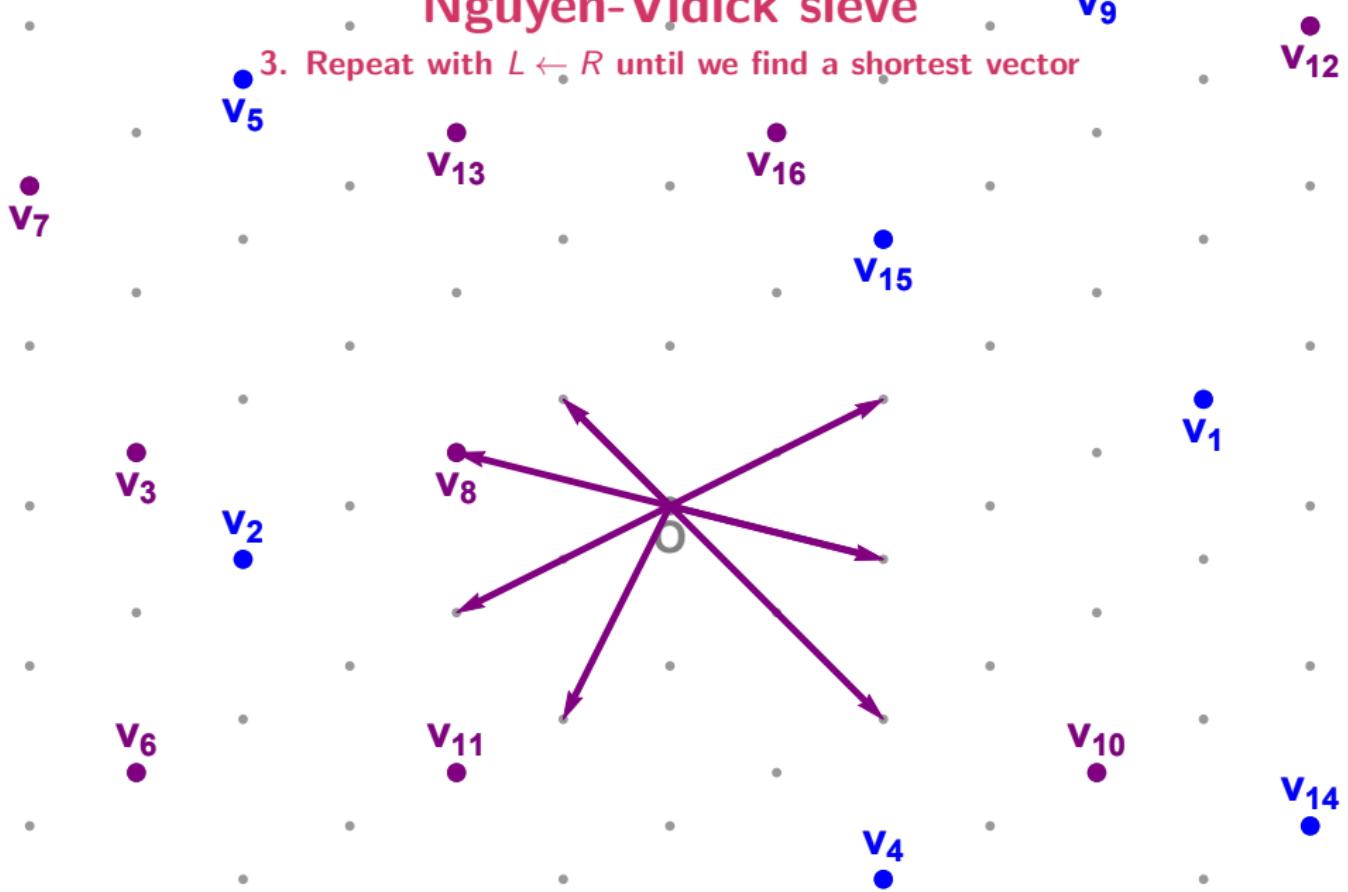
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- Space complexity:  $\sqrt{4/3}^n \approx 2^{0.21n+o(n)}$  vectors
  - ▶ Need  $\sqrt{4/3}^n$  vectors to cover all corners of  $\mathbb{R}^n$

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  - ▶ Need  $\sqrt{4/3}^n$  vectors to cover all corners of  $\mathbb{R}^n$
- Time complexity:  $(4/3)^n \approx 2^{0.42n+o(n)}$ 
  - ▶ Comparing a target vector to all centers:  $2^{0.21n+o(n)}$
  - ▶ Repeating this for each list vector:  $2^{0.21n+o(n)}$
  - ▶ Repeating the whole sieving procedure:  $\text{poly}(n)$

# Nguyen-Vidick sieve

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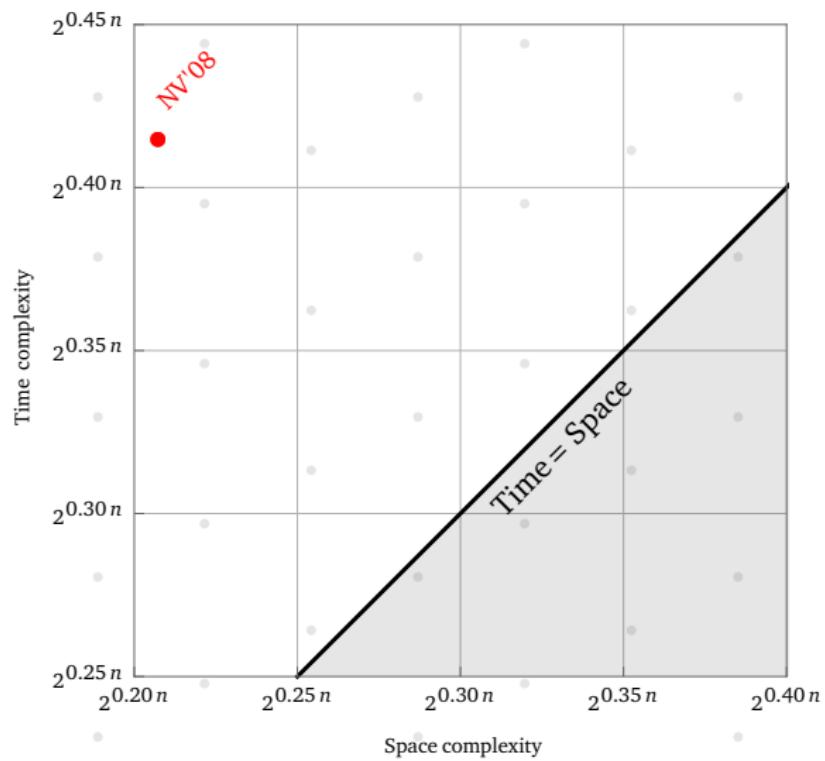
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Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The NV-sieve runs in time  $2^{0.42n+o(n)}$  and space  $2^{0.21n+o(n)}$ .

# Nguyen-Vidick sieve

Space/time trade-off



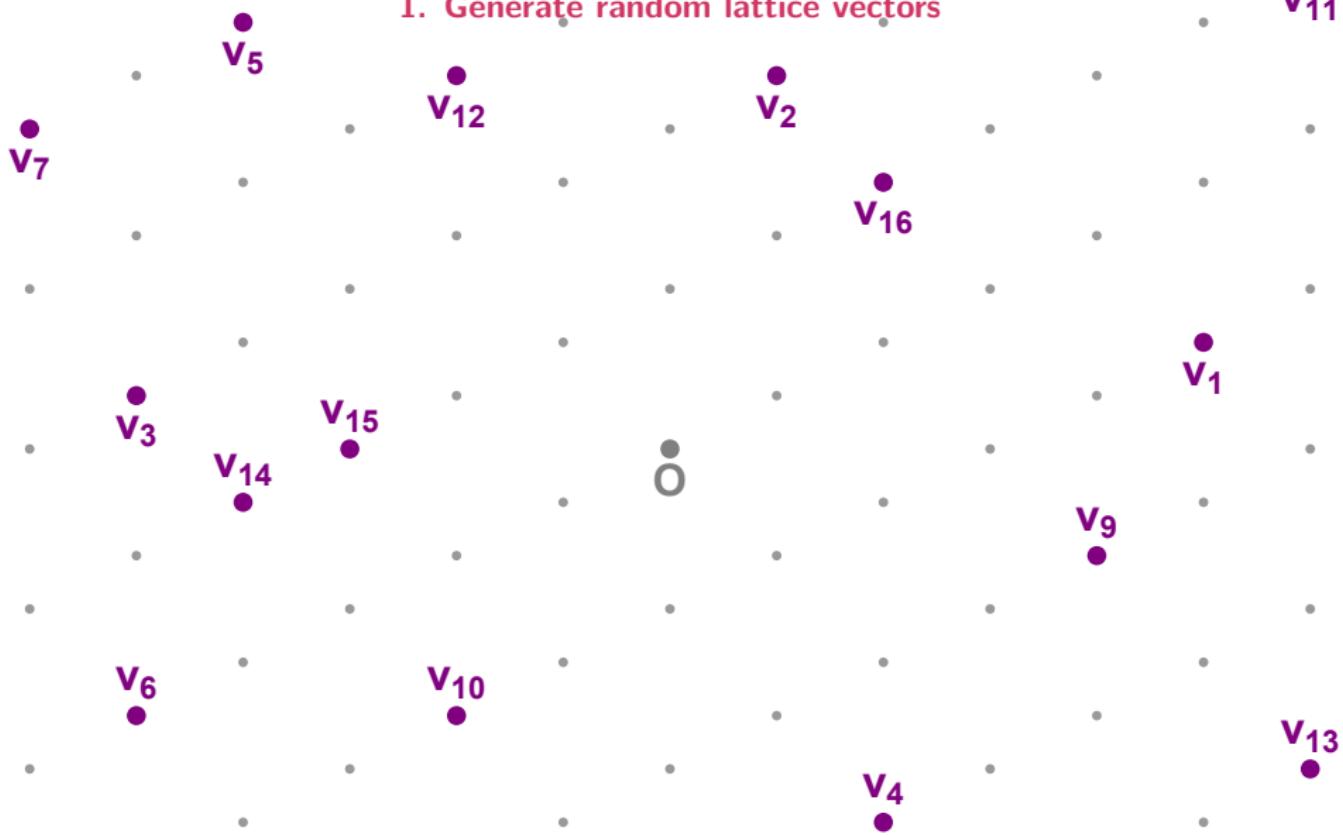
# GaussSieve

1. Generate random lattice vectors



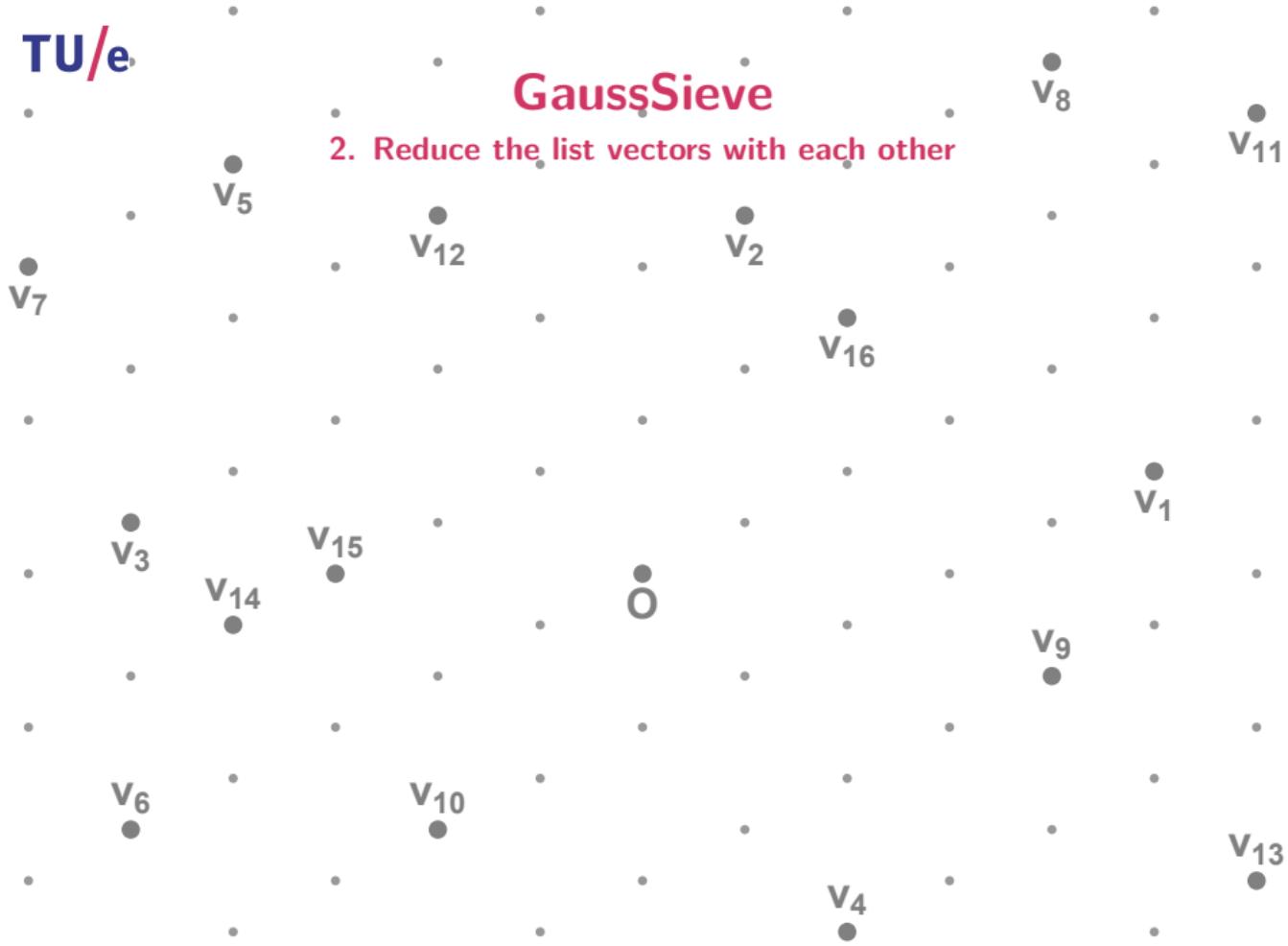
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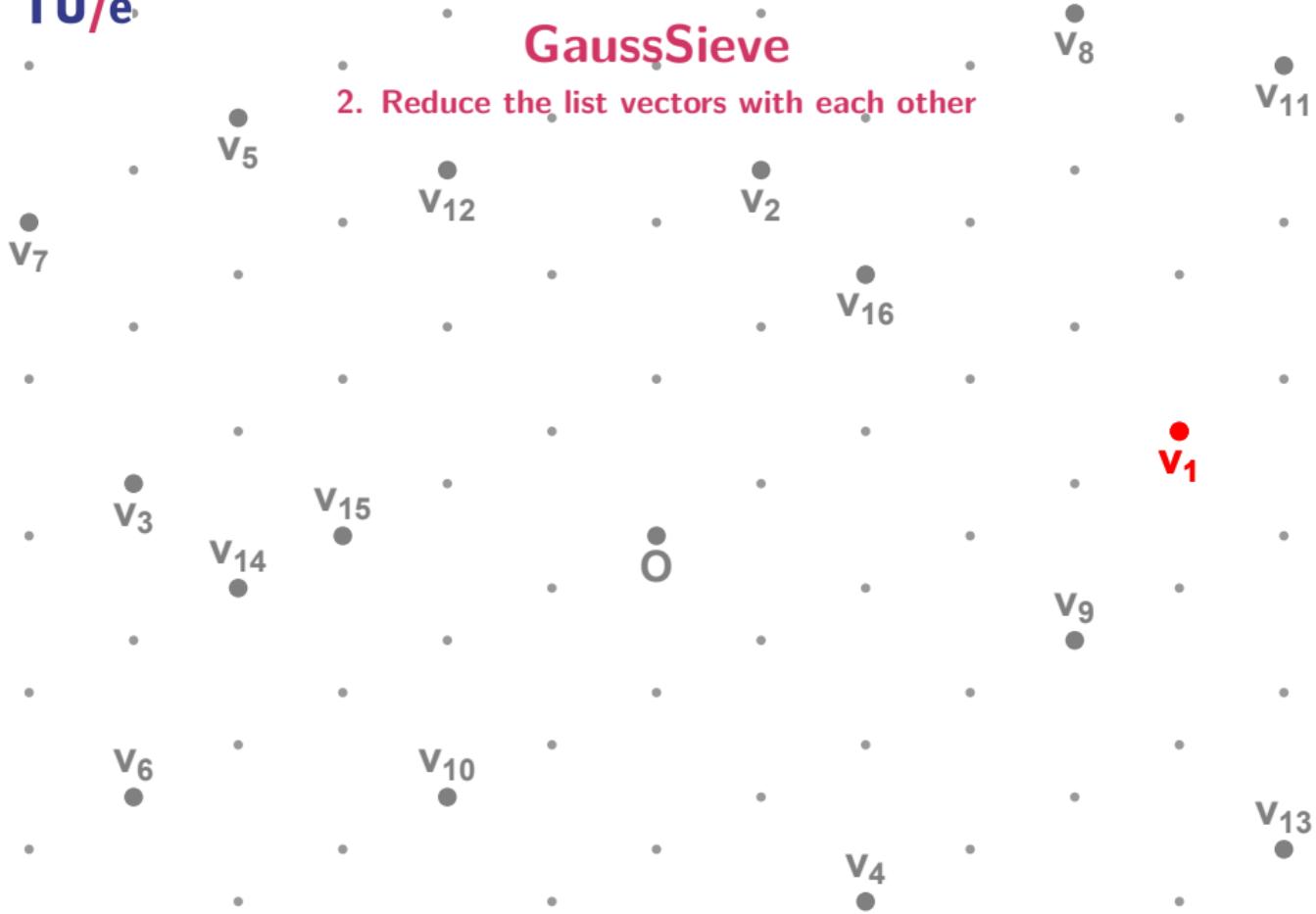
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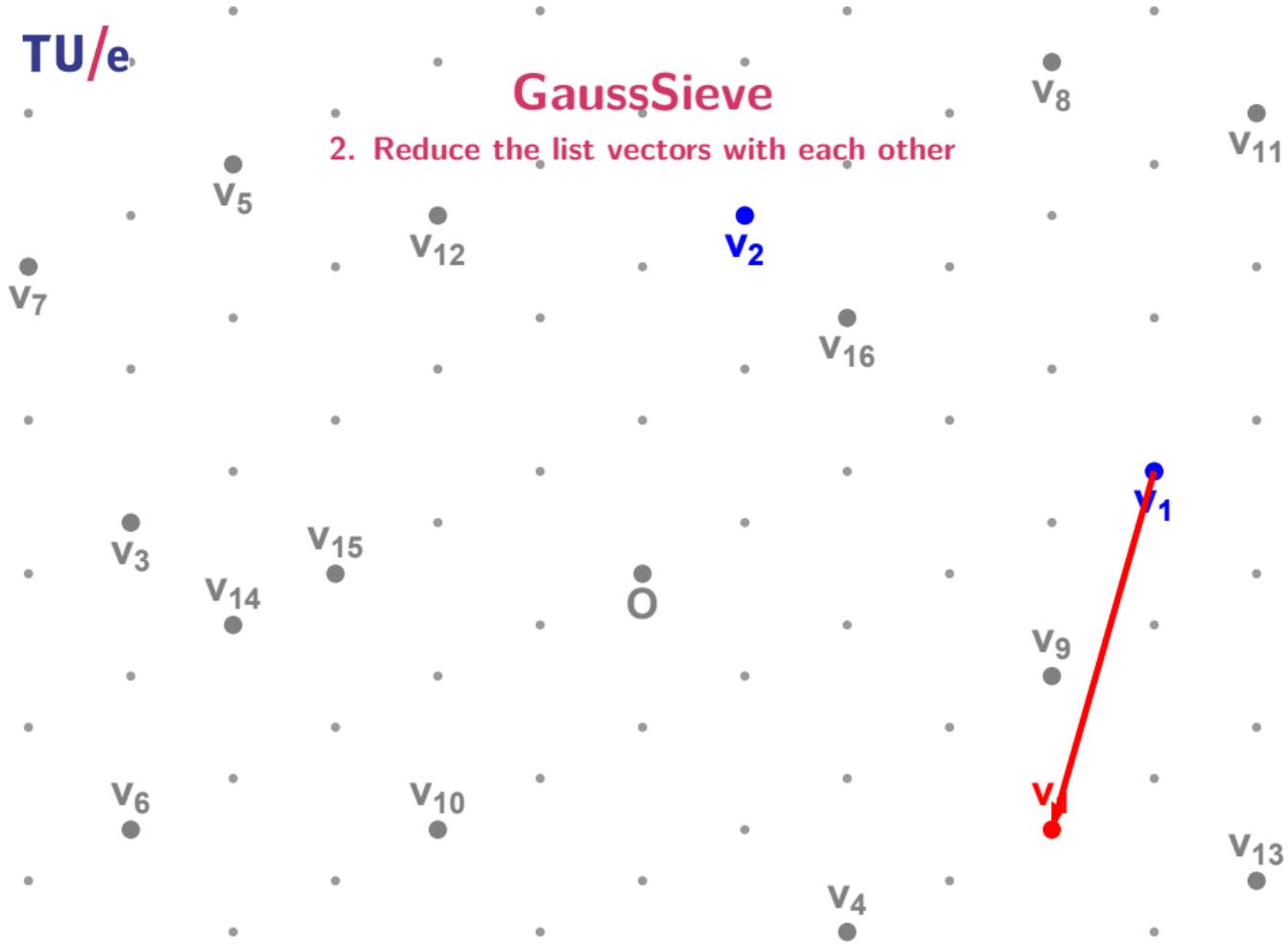
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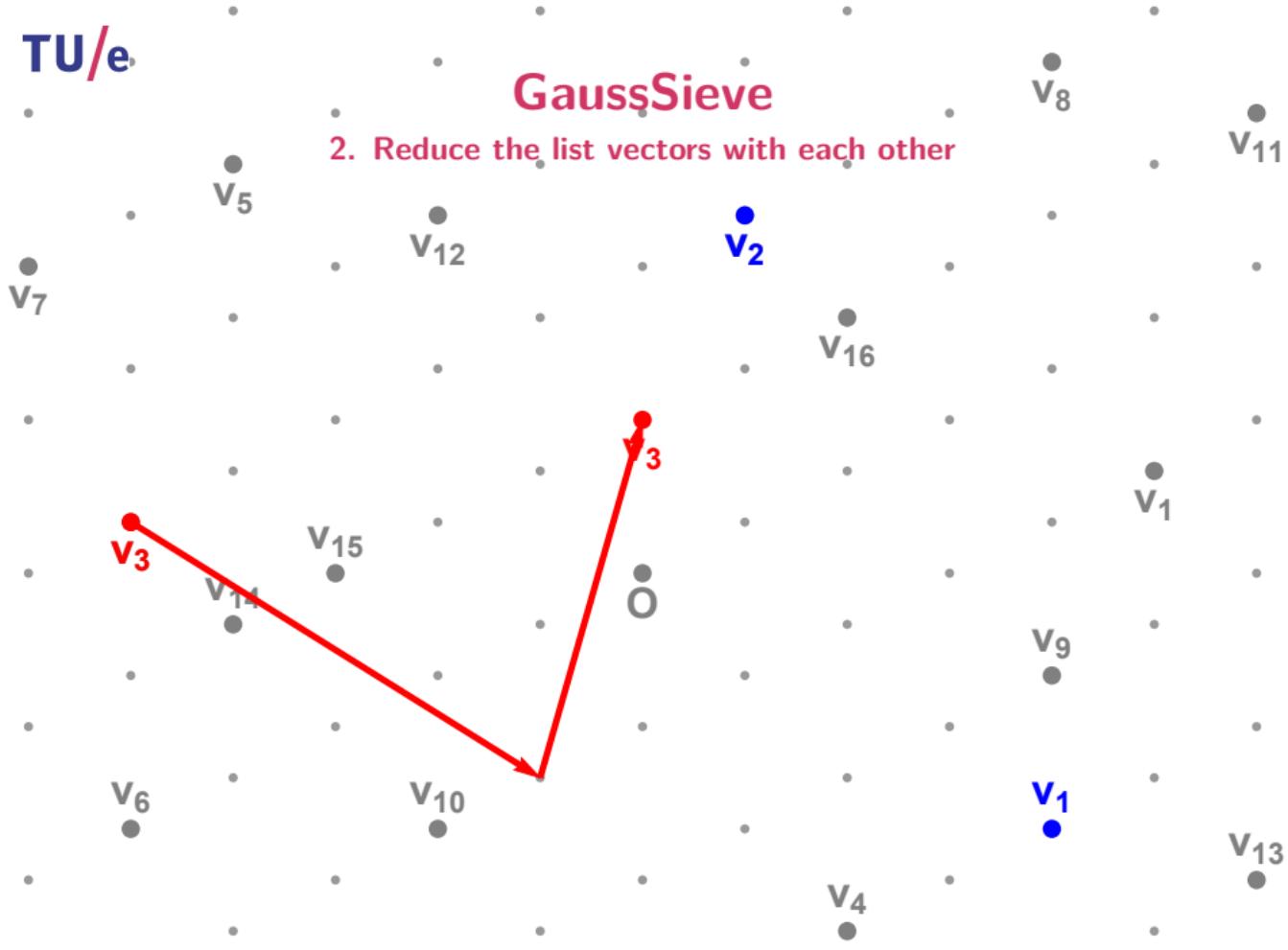
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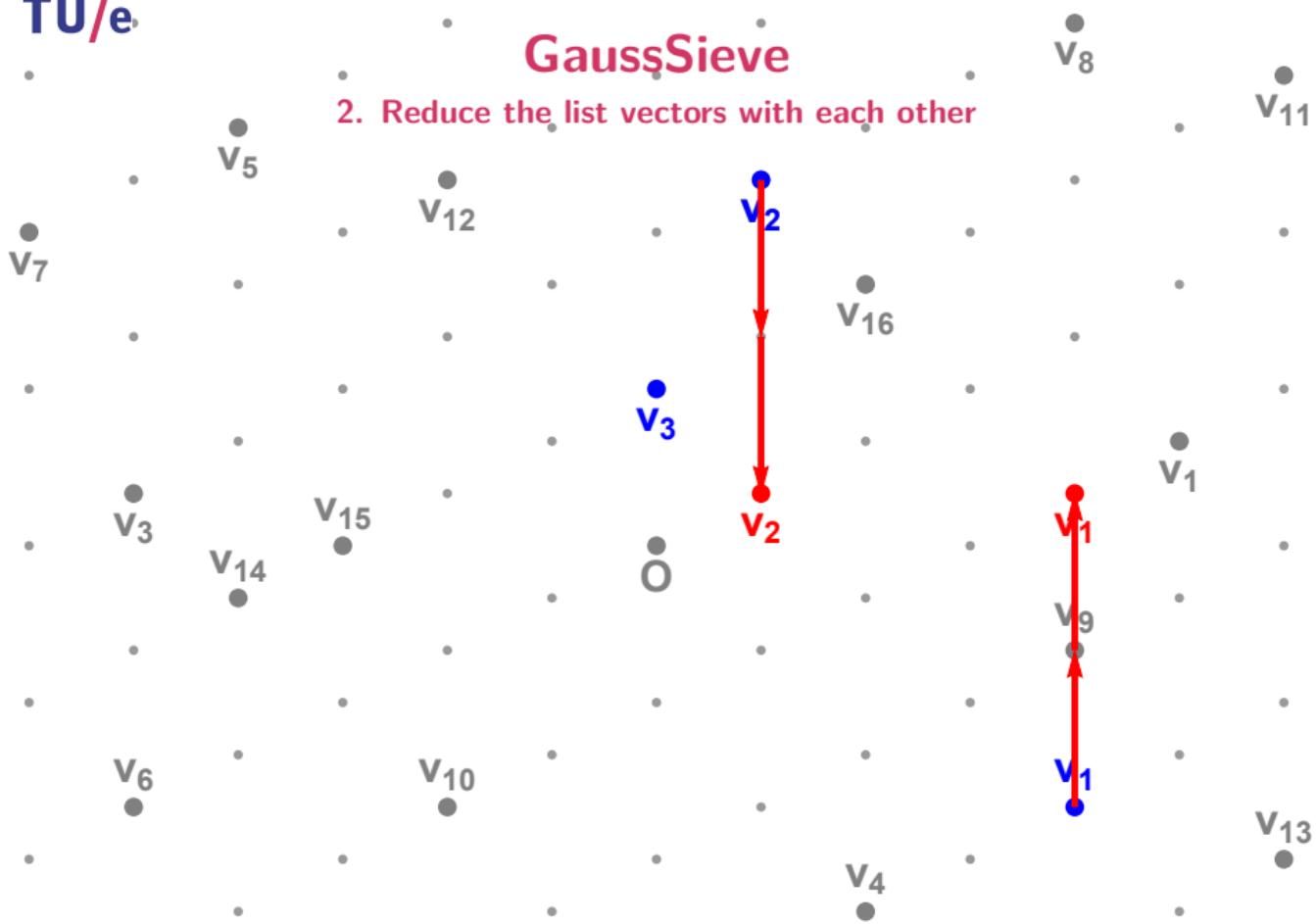
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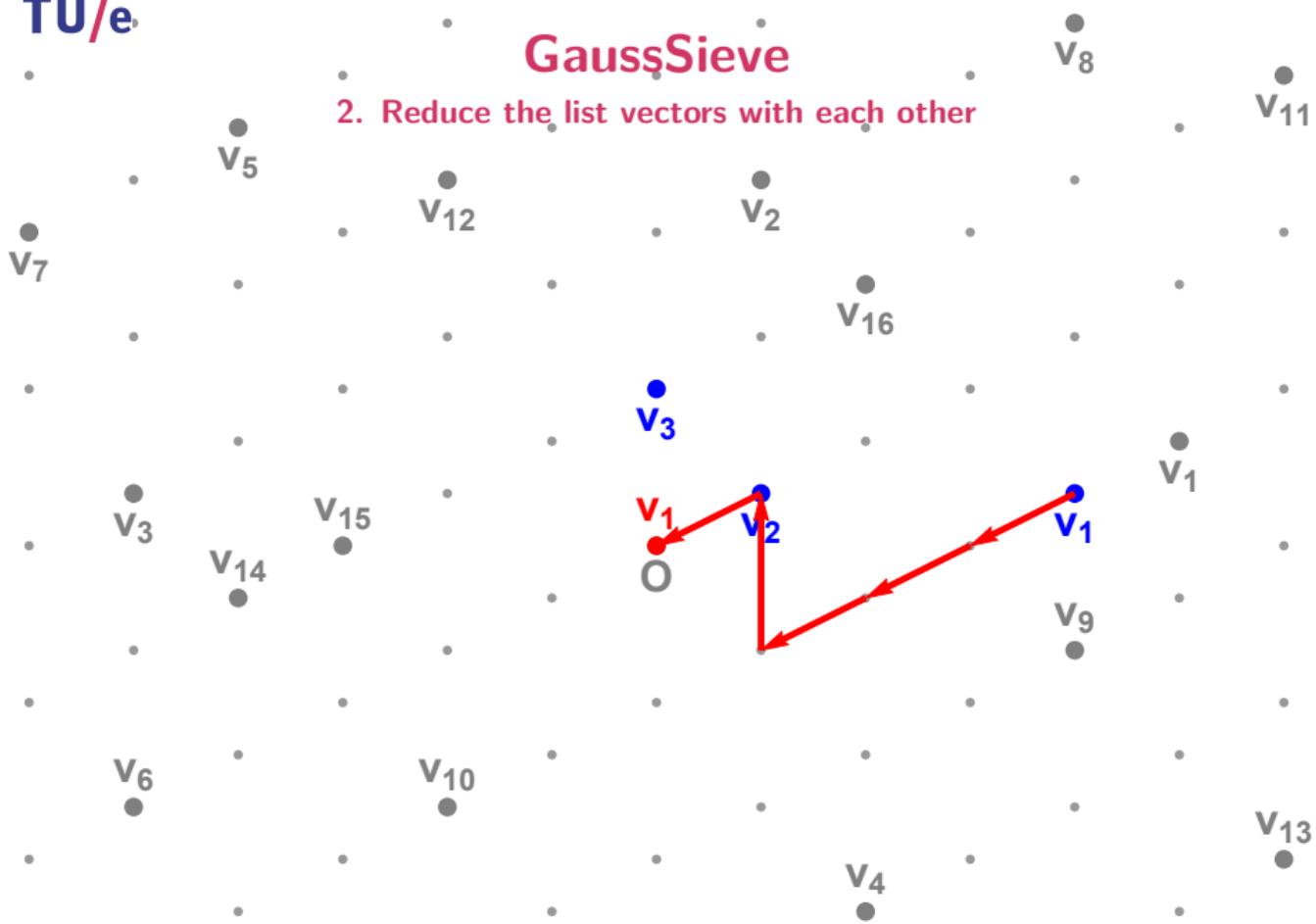
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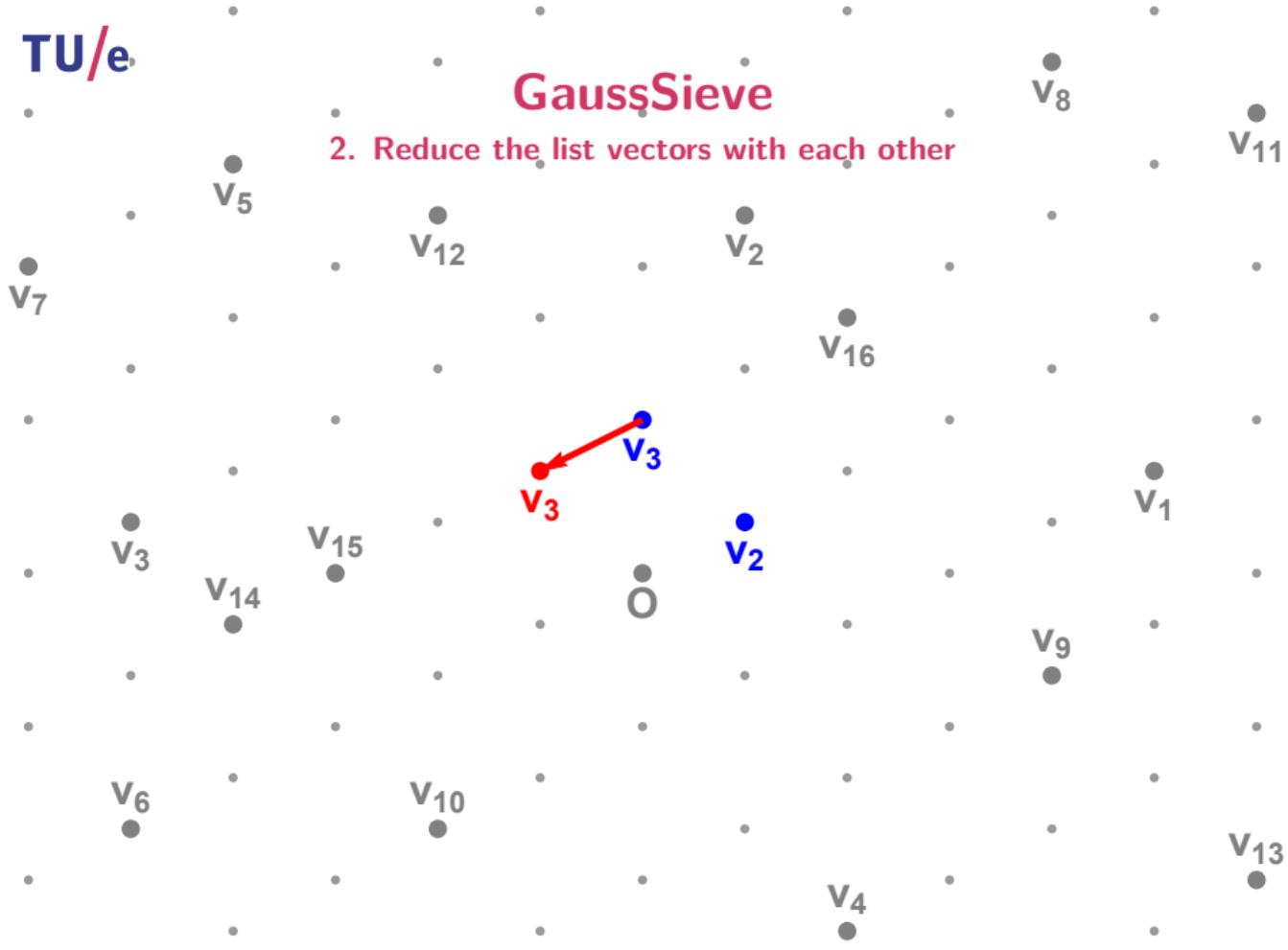
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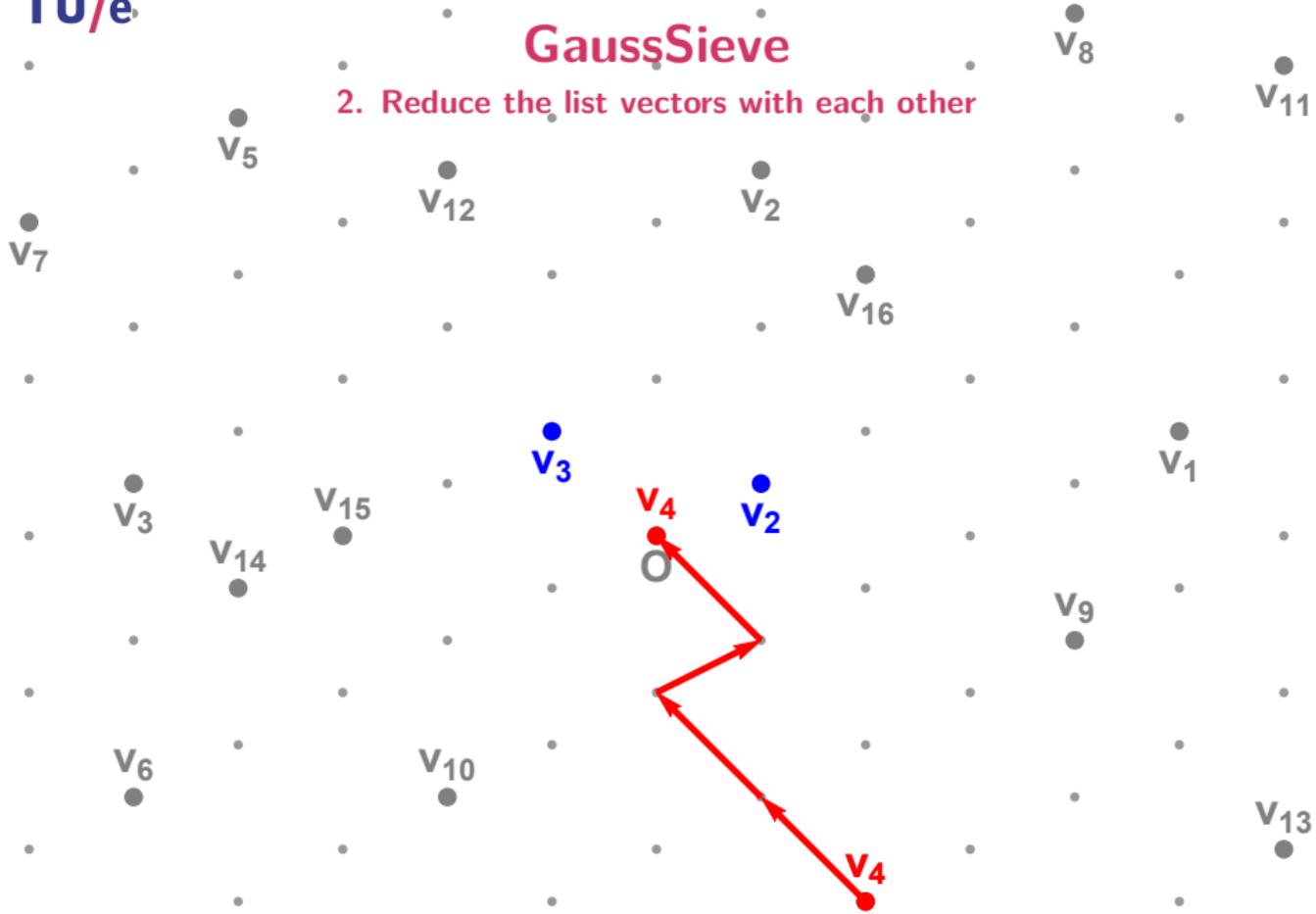
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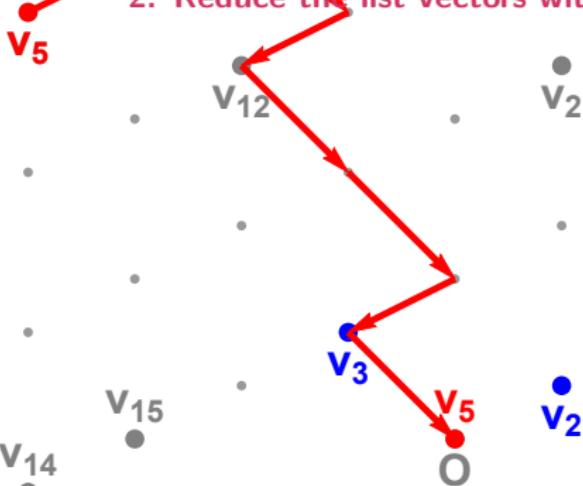
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$v_6$

$v_3$

$v_{14}$

$v_{15}$

$v_{10}$

$v_5$

$v_{12}$

$v_3$

$v_5$

$v_2$

$v_2$

$v_4$

$v_{16}$

$v_9$

$v_1$

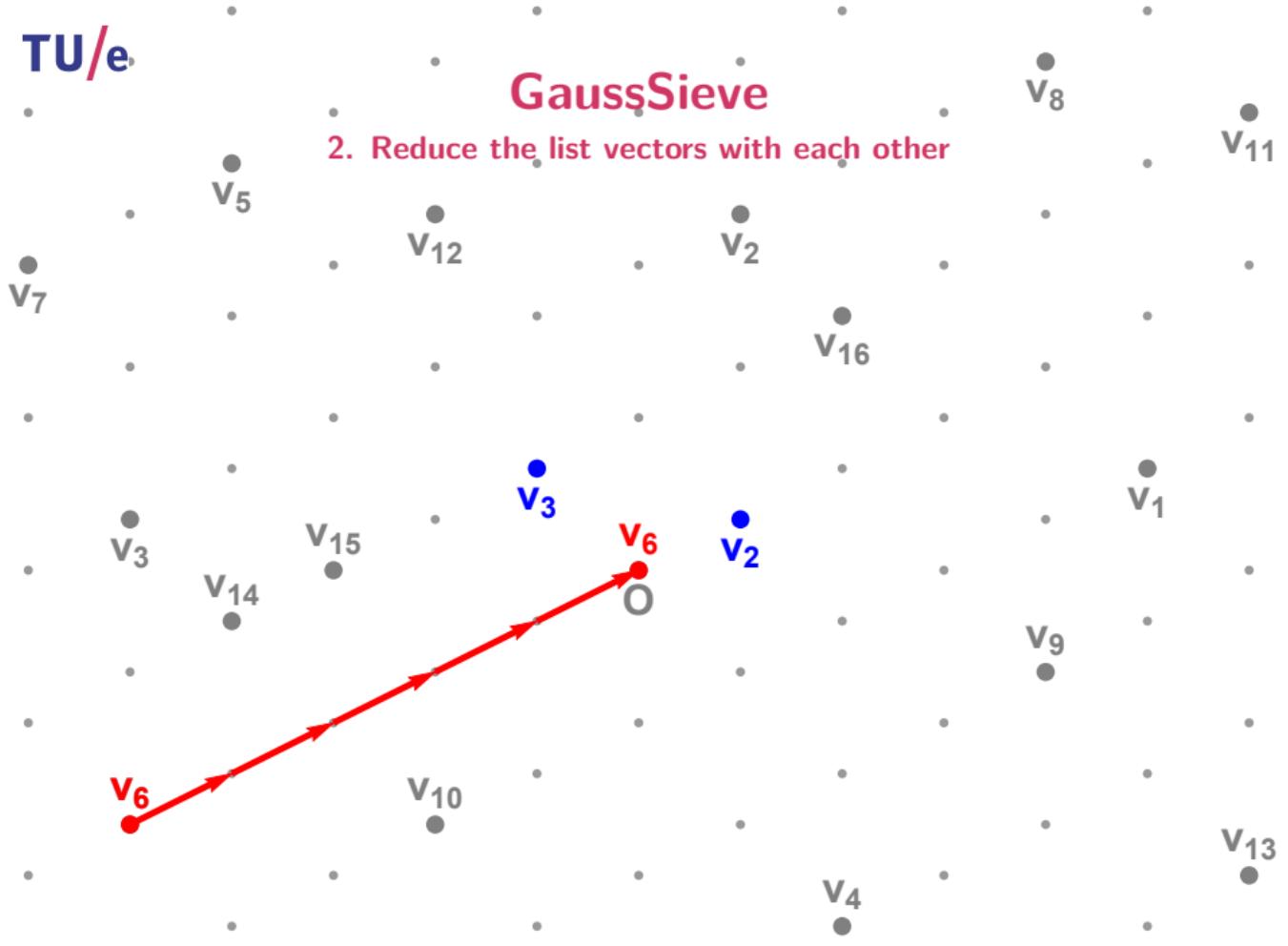
$v_8$

$v_{13}$

$v_{11}$

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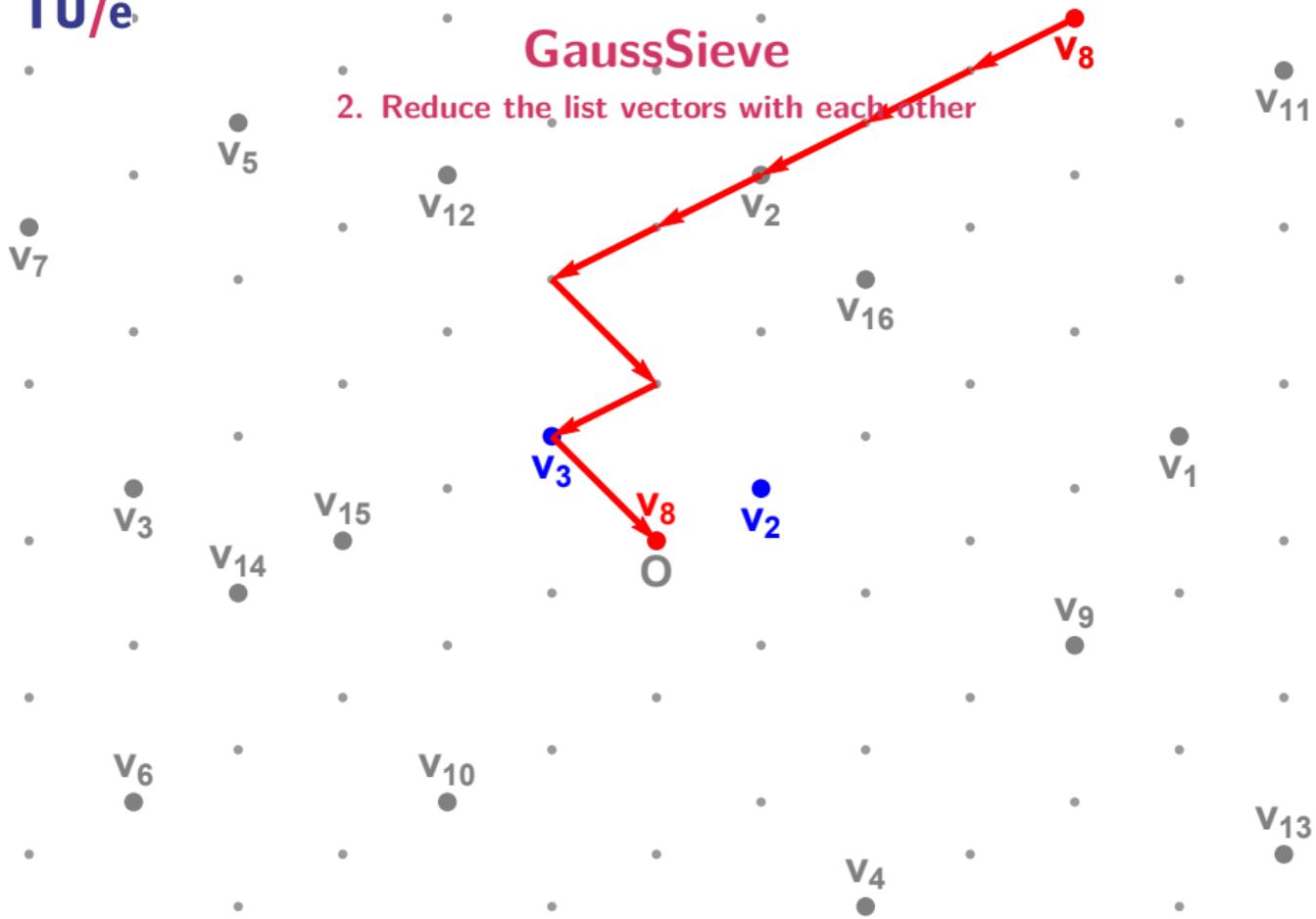
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 $v_7$  $v_5$  $v_{12}$  $v_2$  $v_{16}$  $v_3$  $v_{14}$  $v_{15}$  $v_2$  $v_1$  $v_9$  $v_6$  $v_{10}$  $v_4$  $v_{13}$  $v_3$  $v_7$  $O$

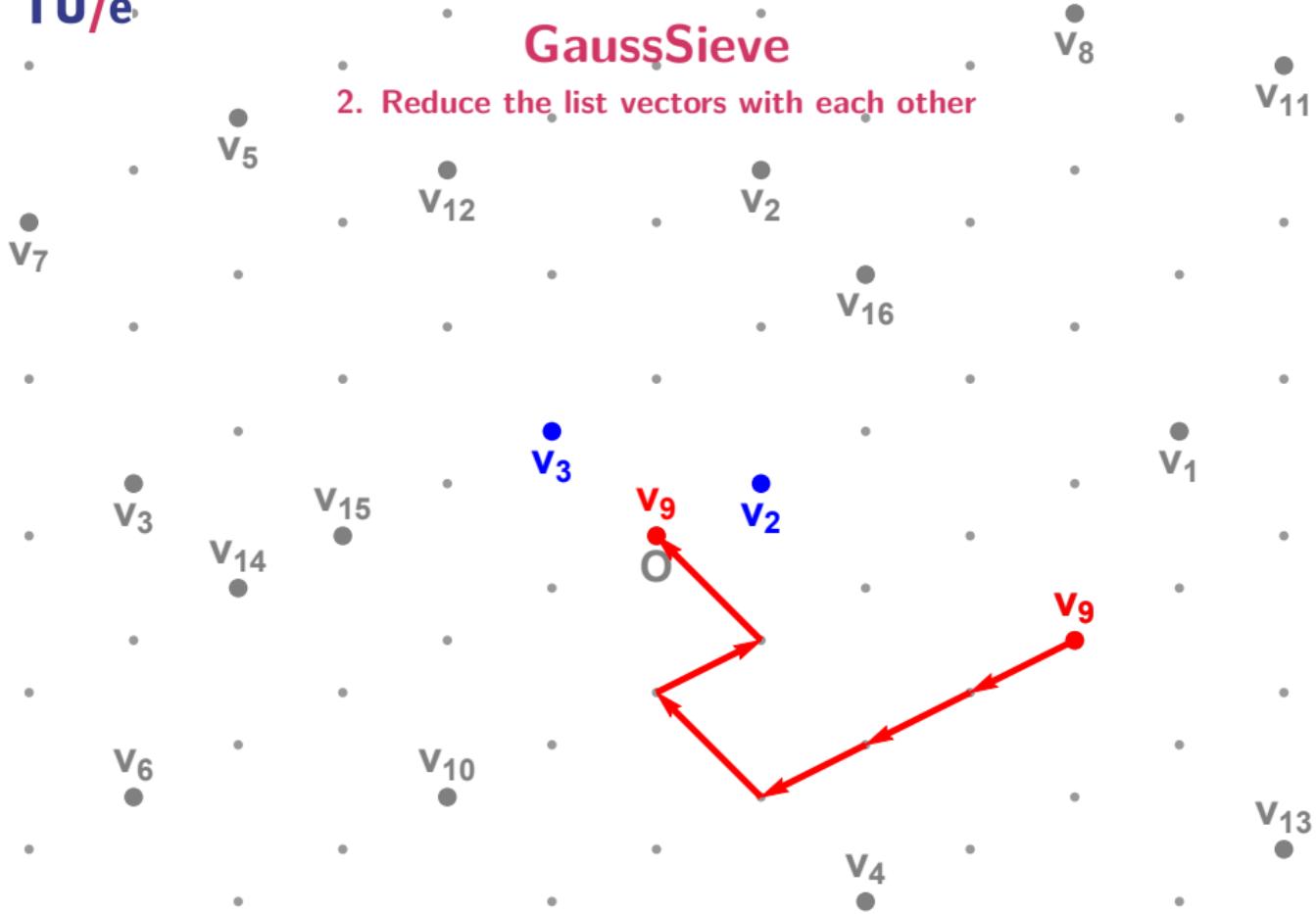
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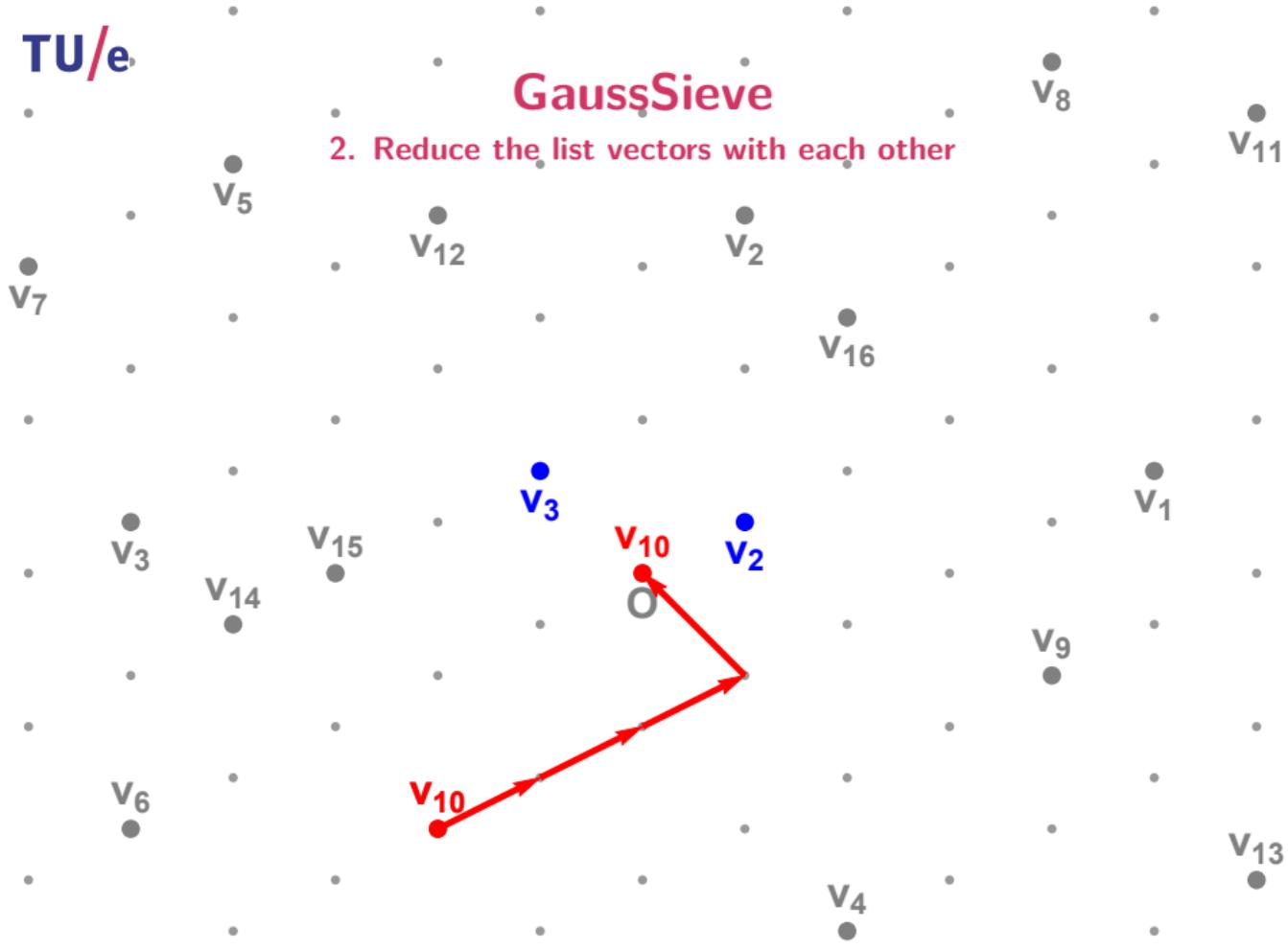
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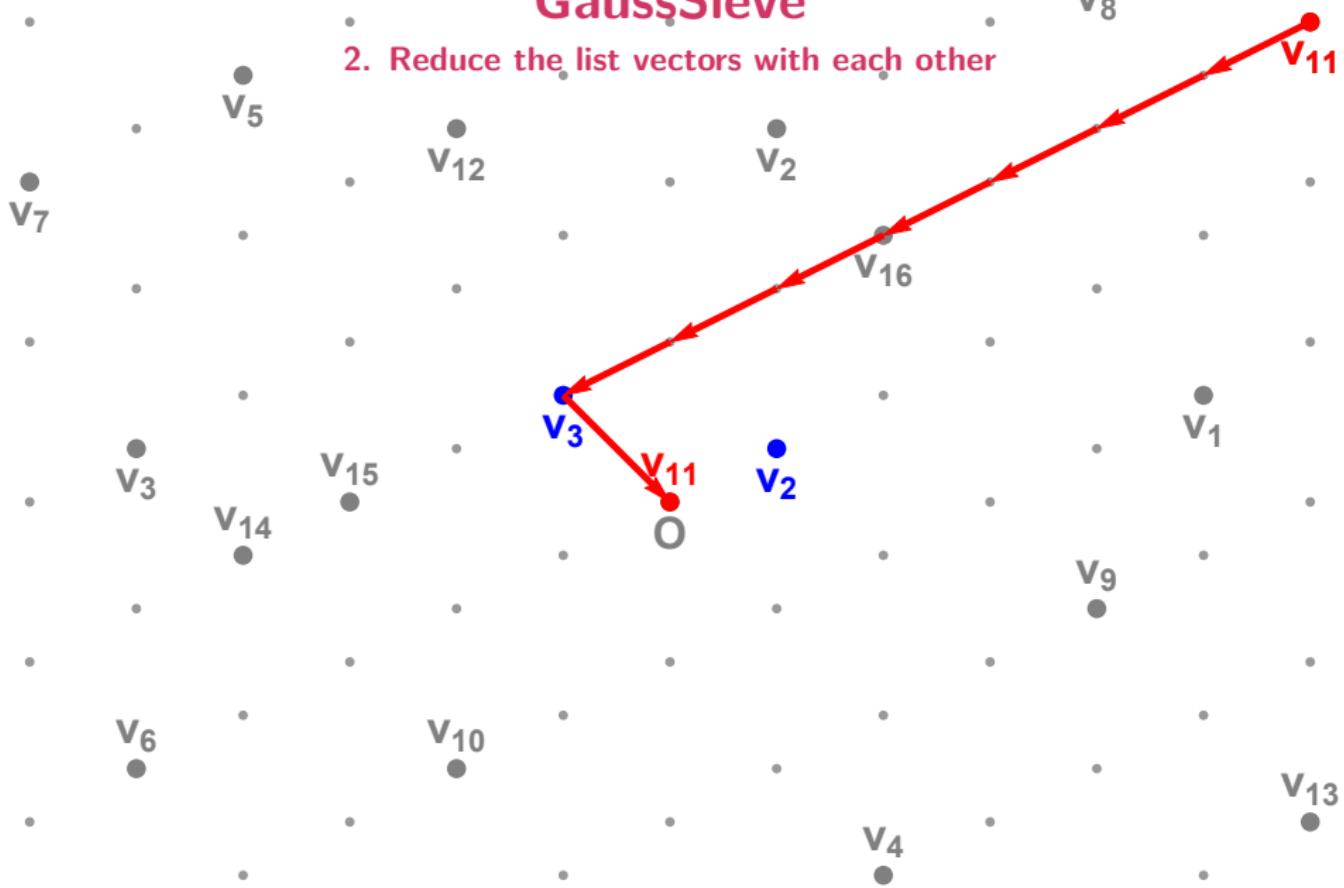
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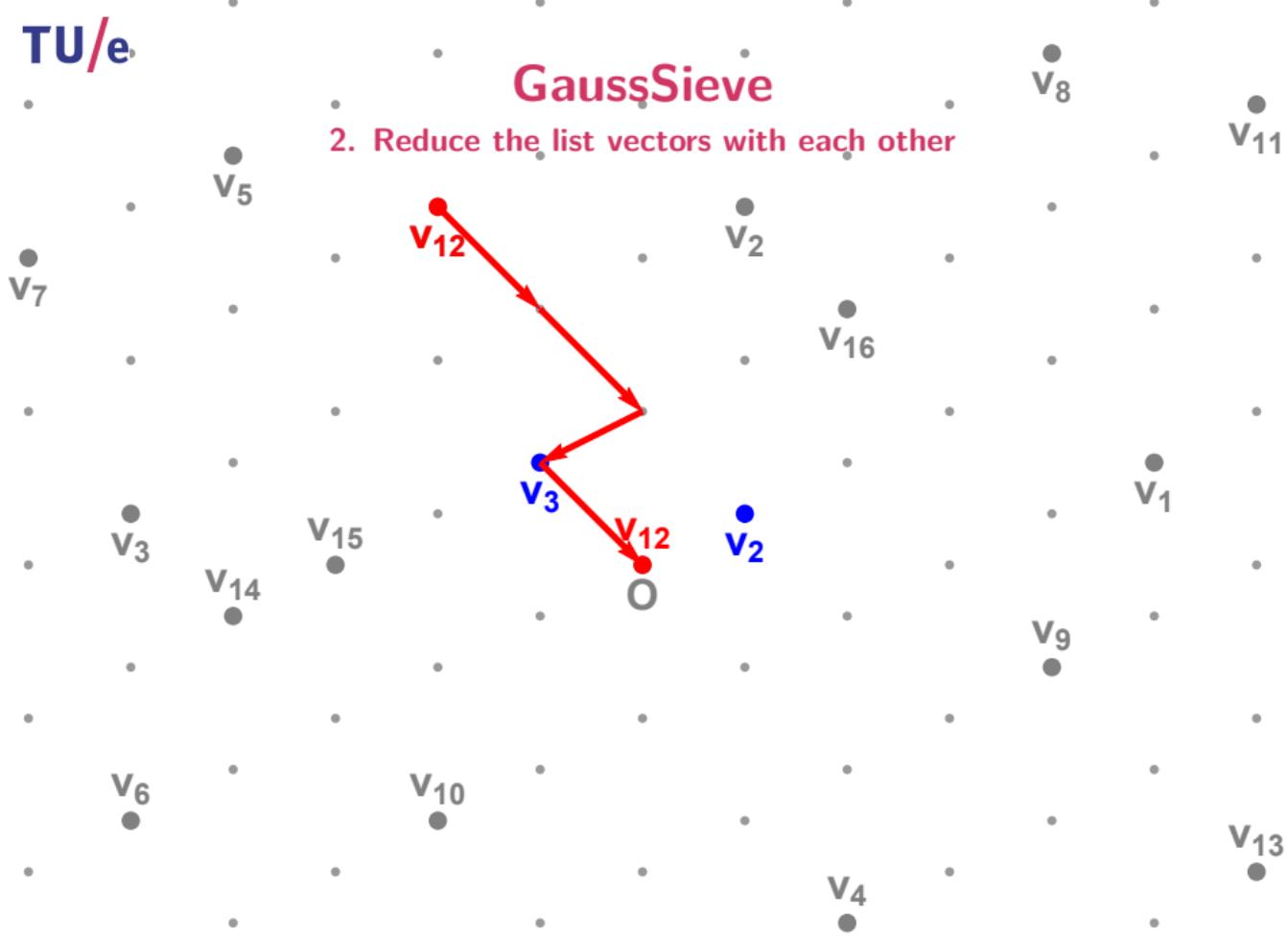
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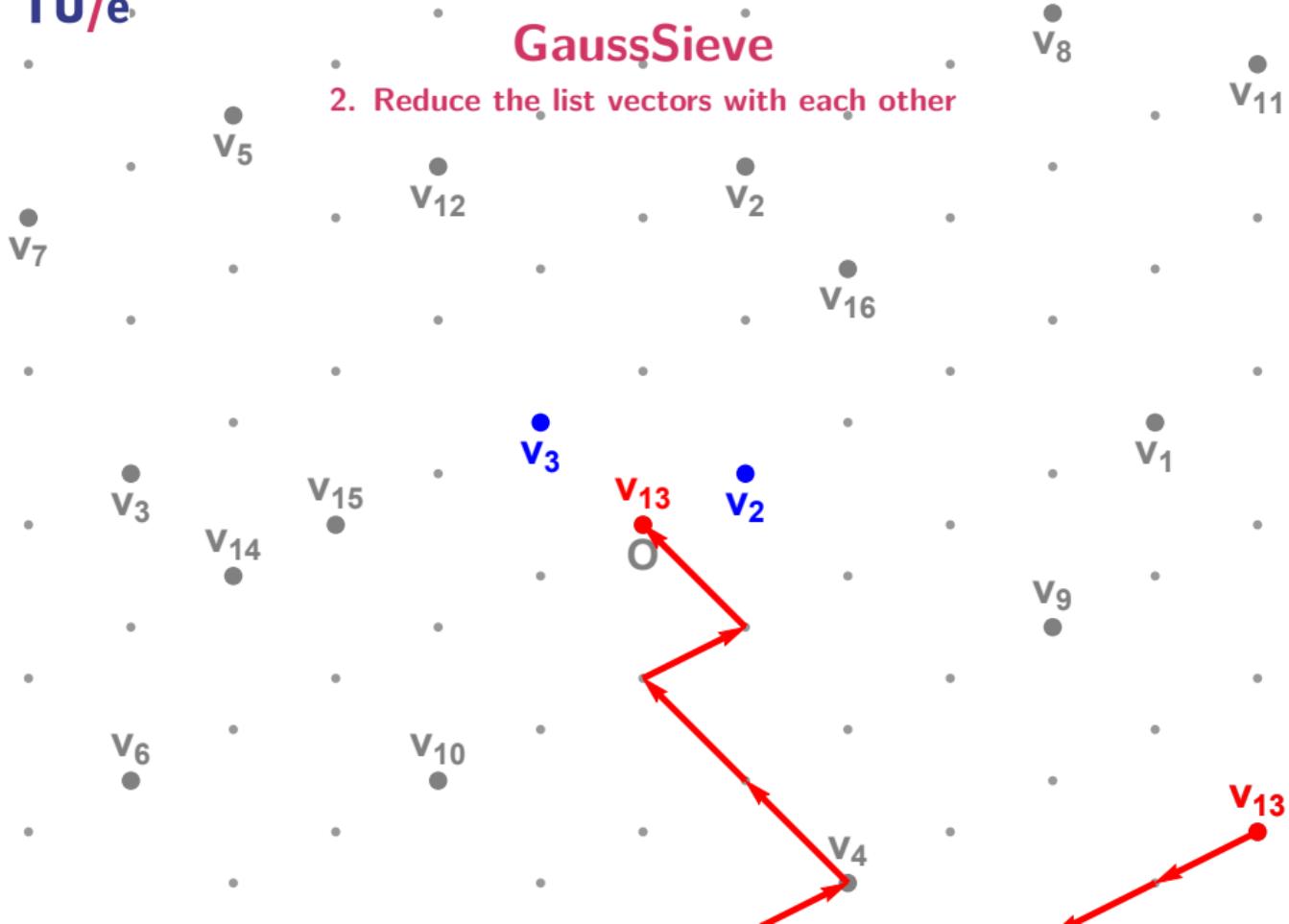
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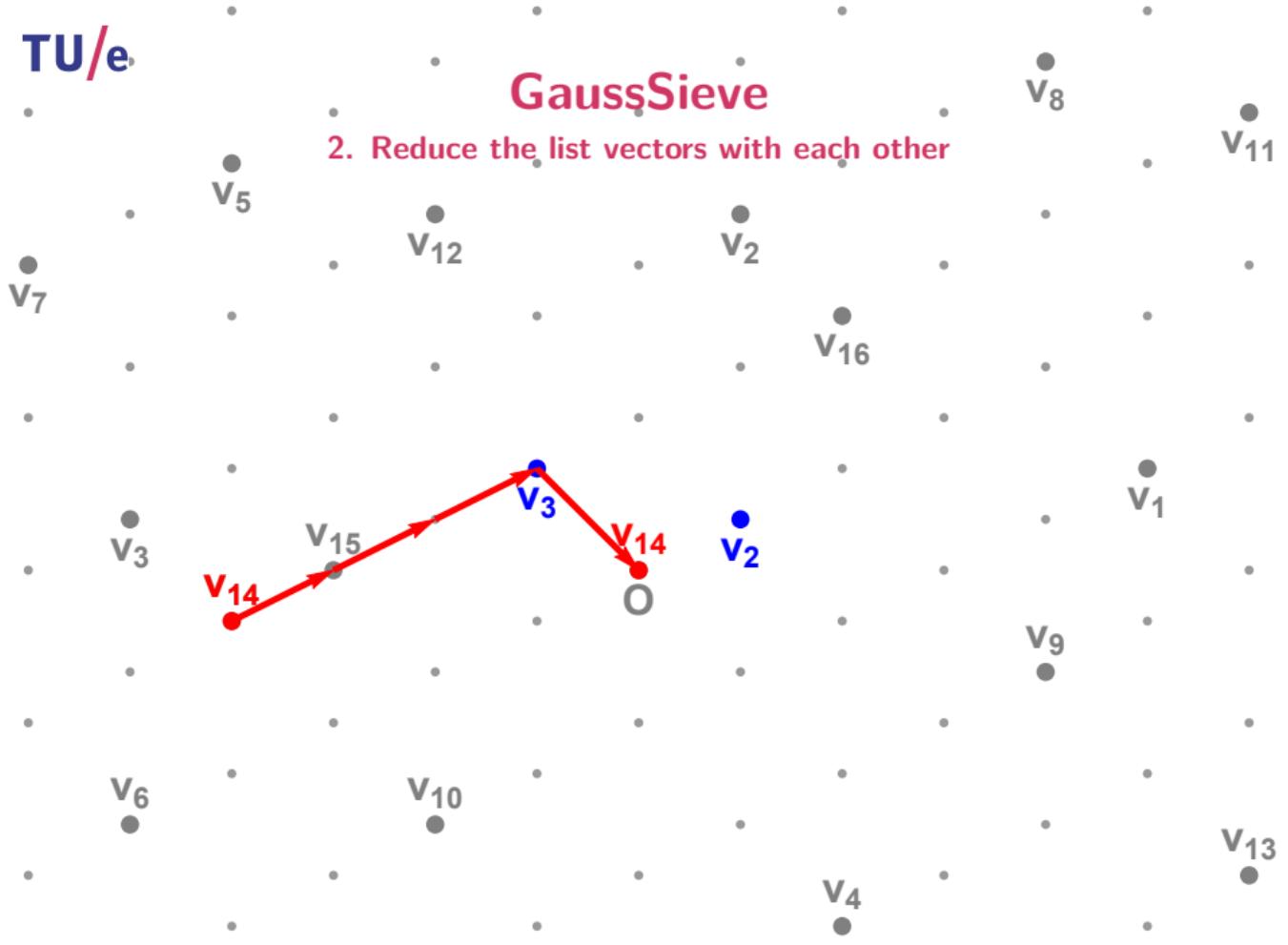
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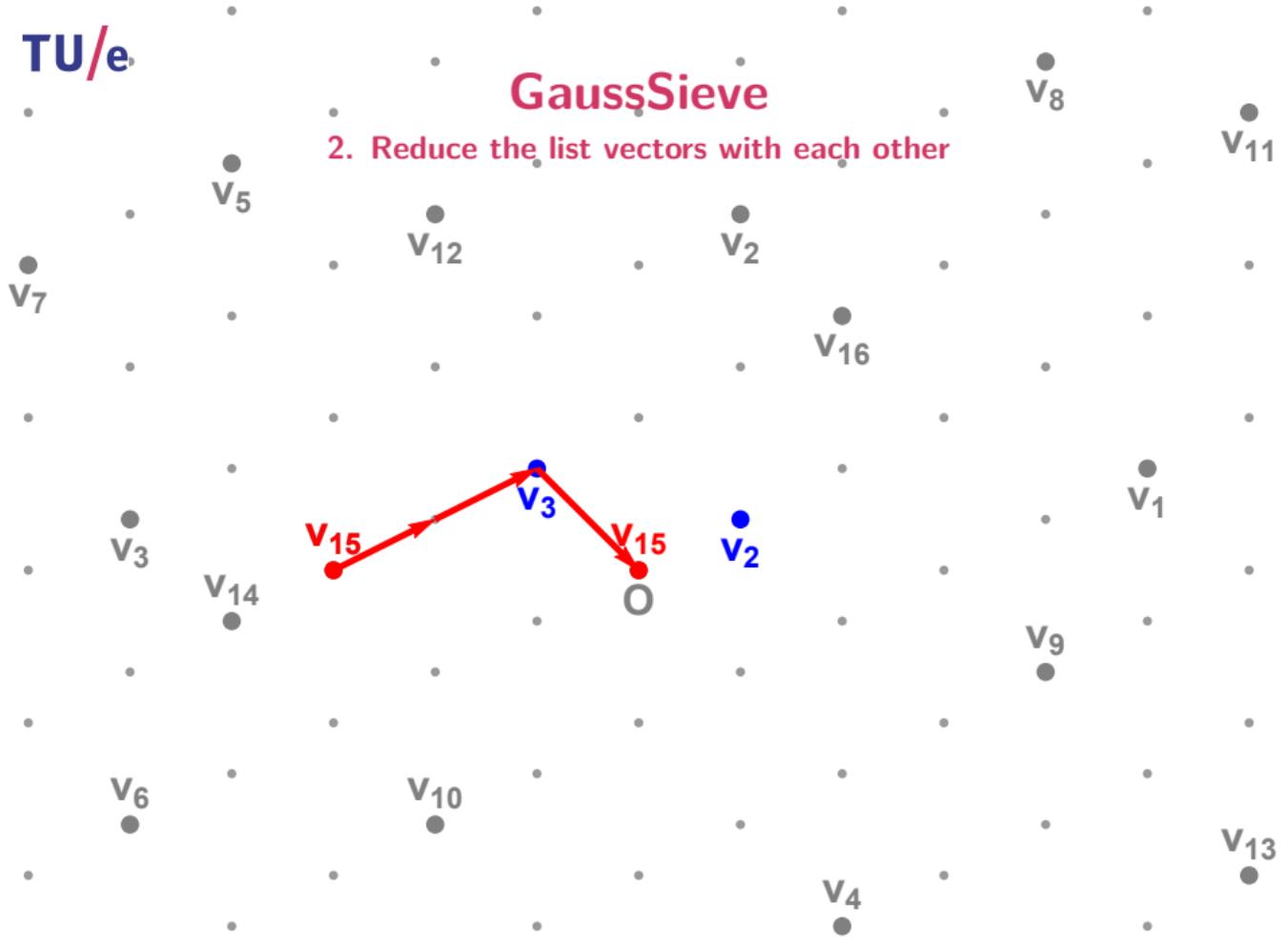
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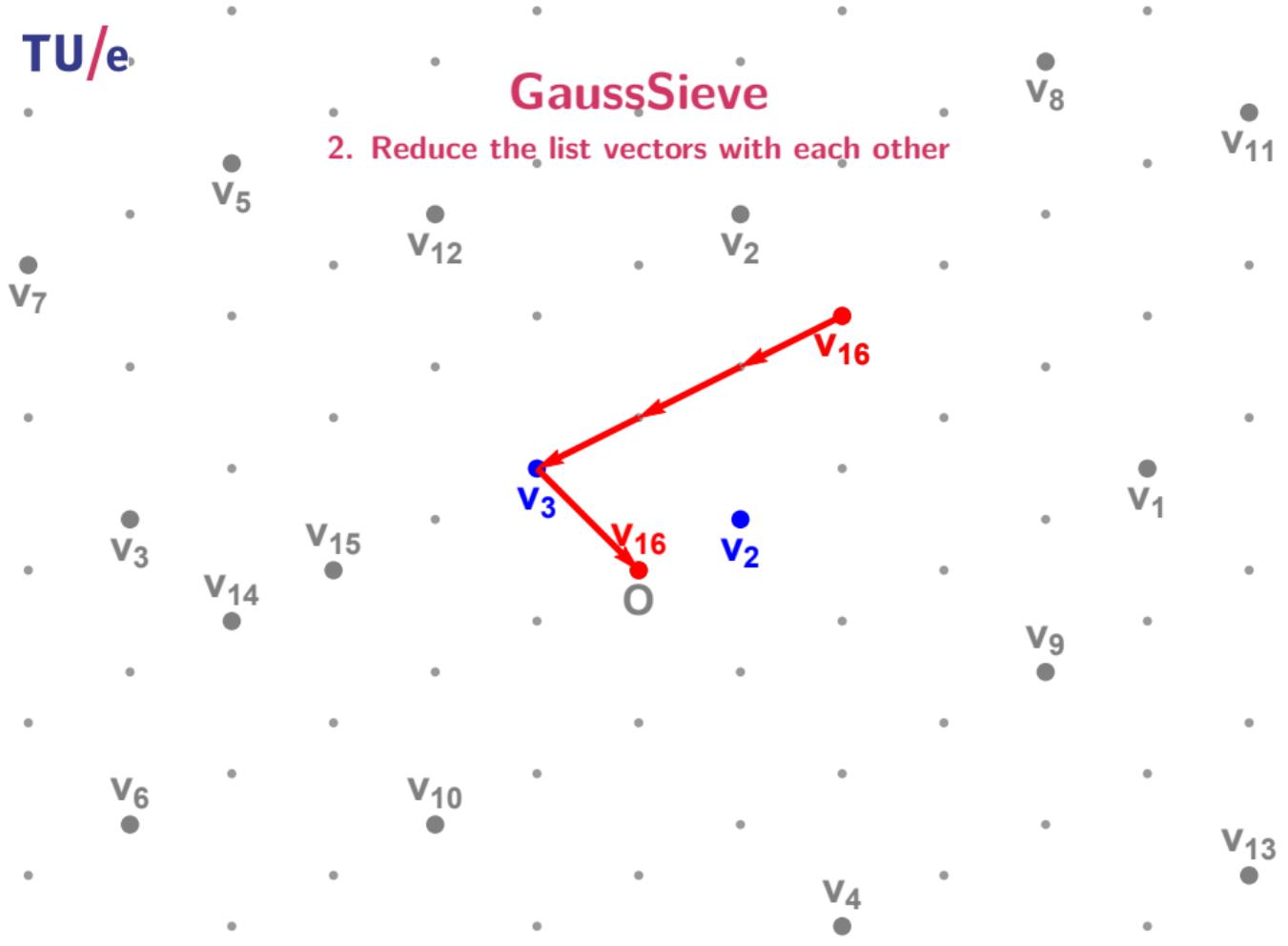
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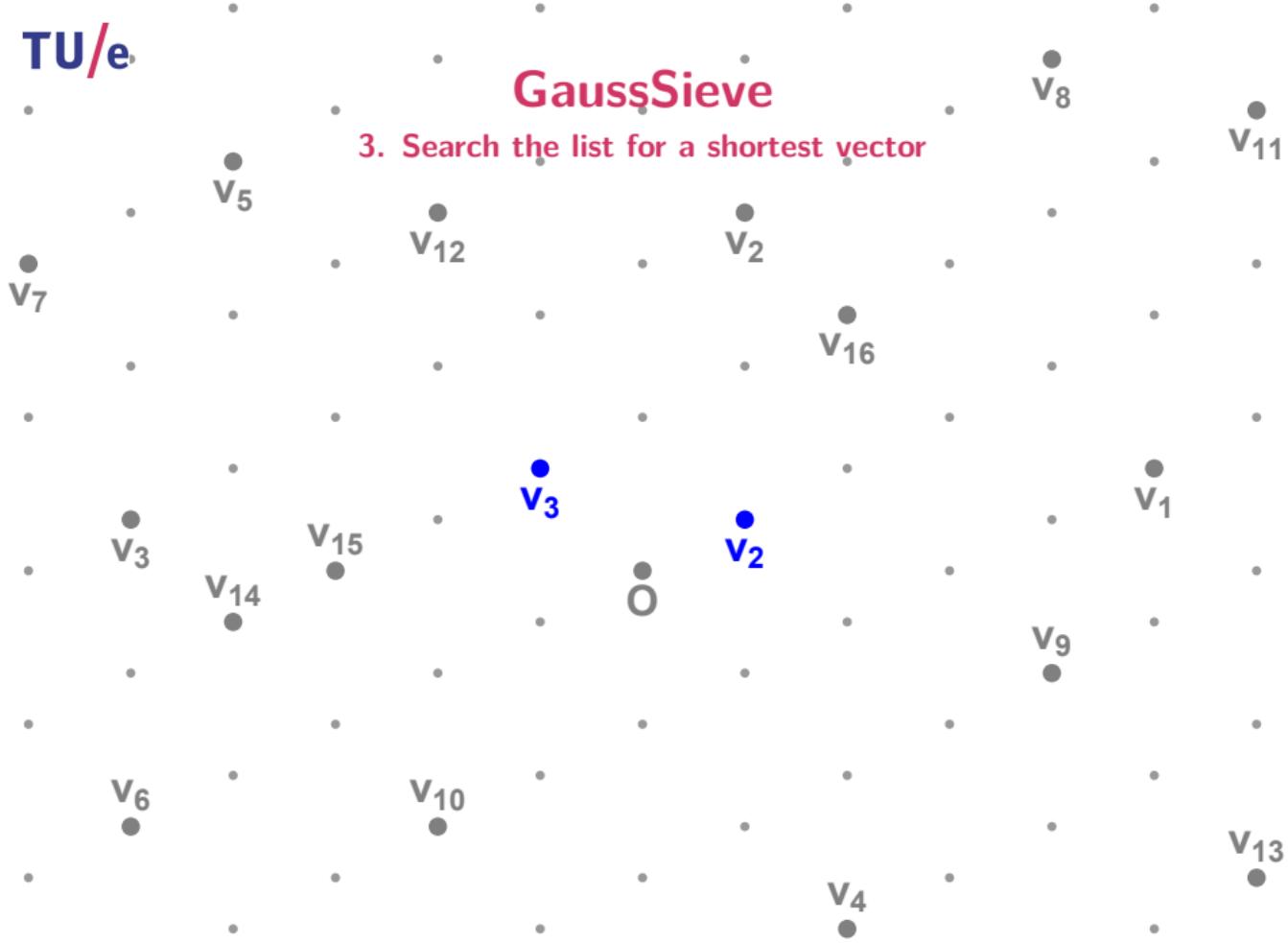
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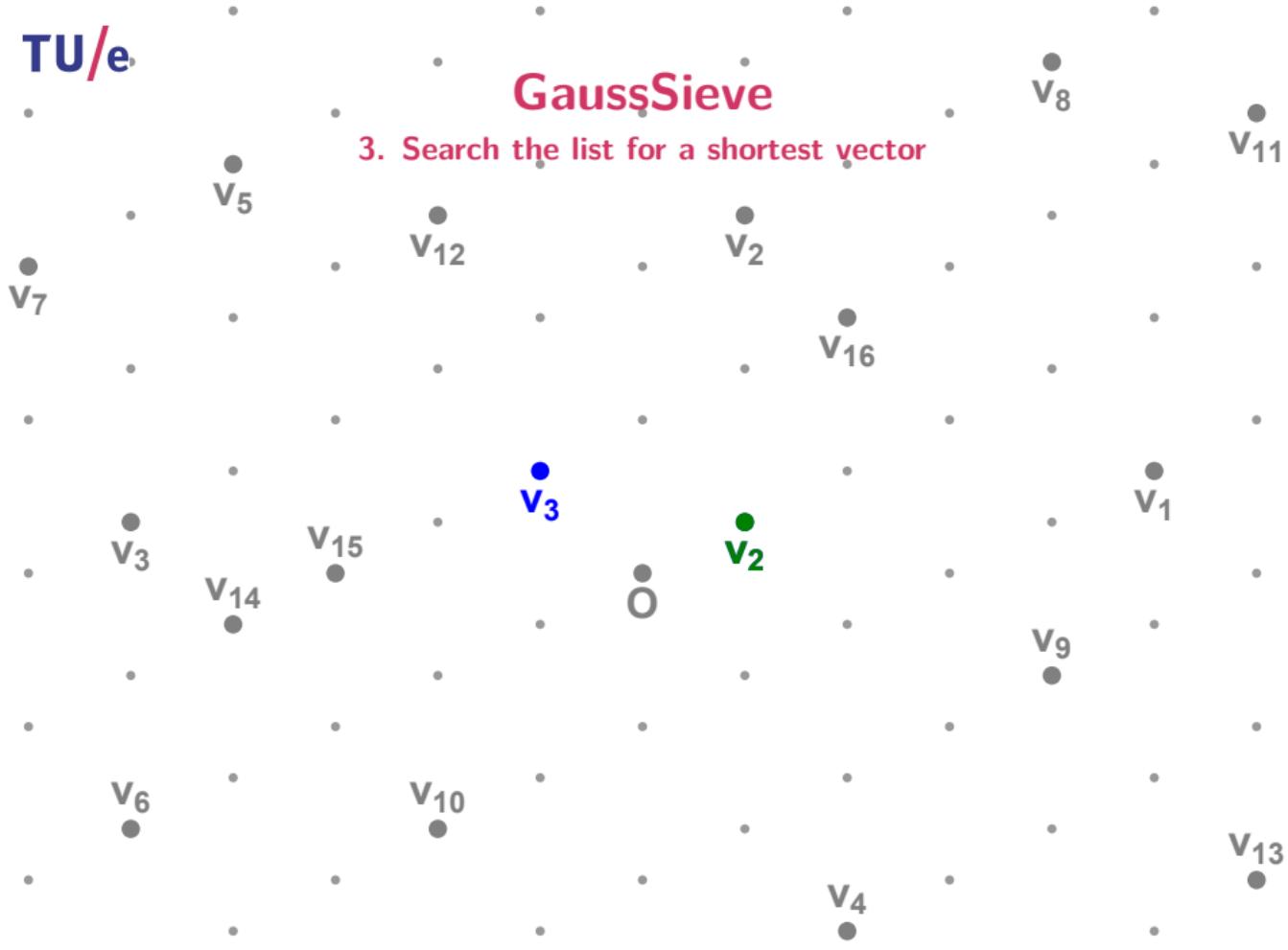
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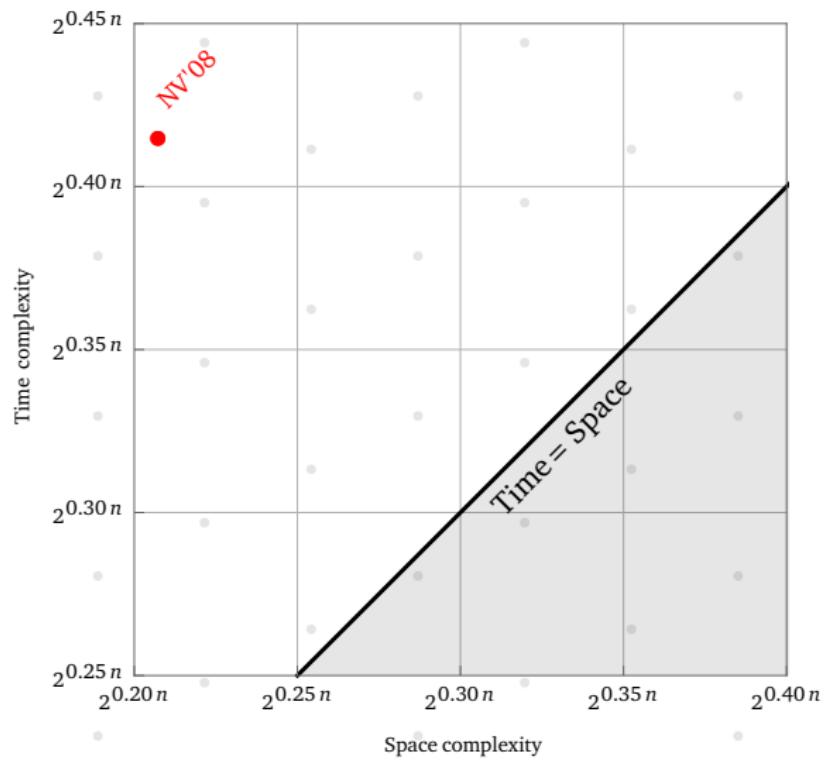
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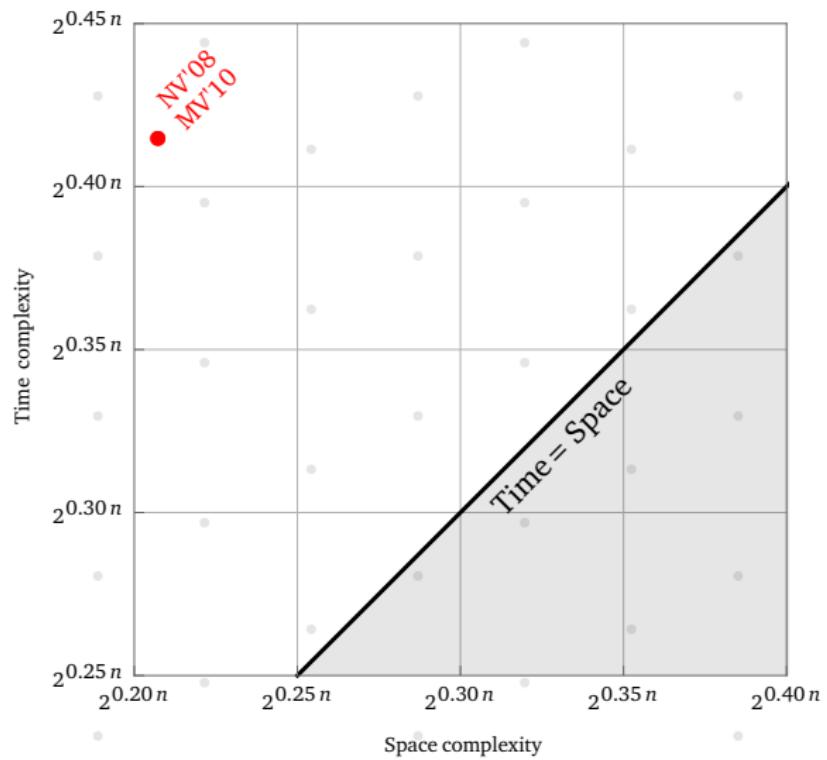
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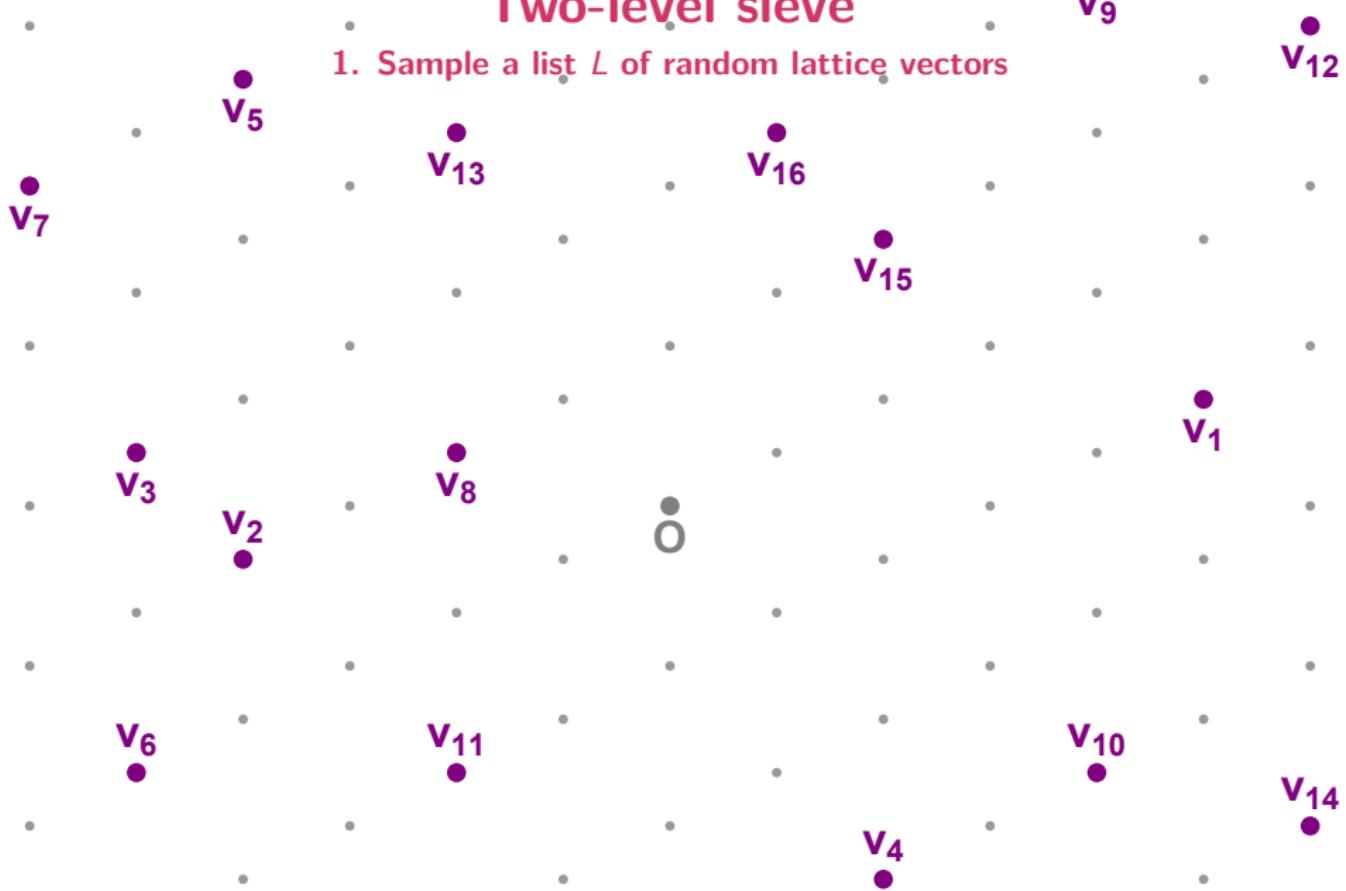
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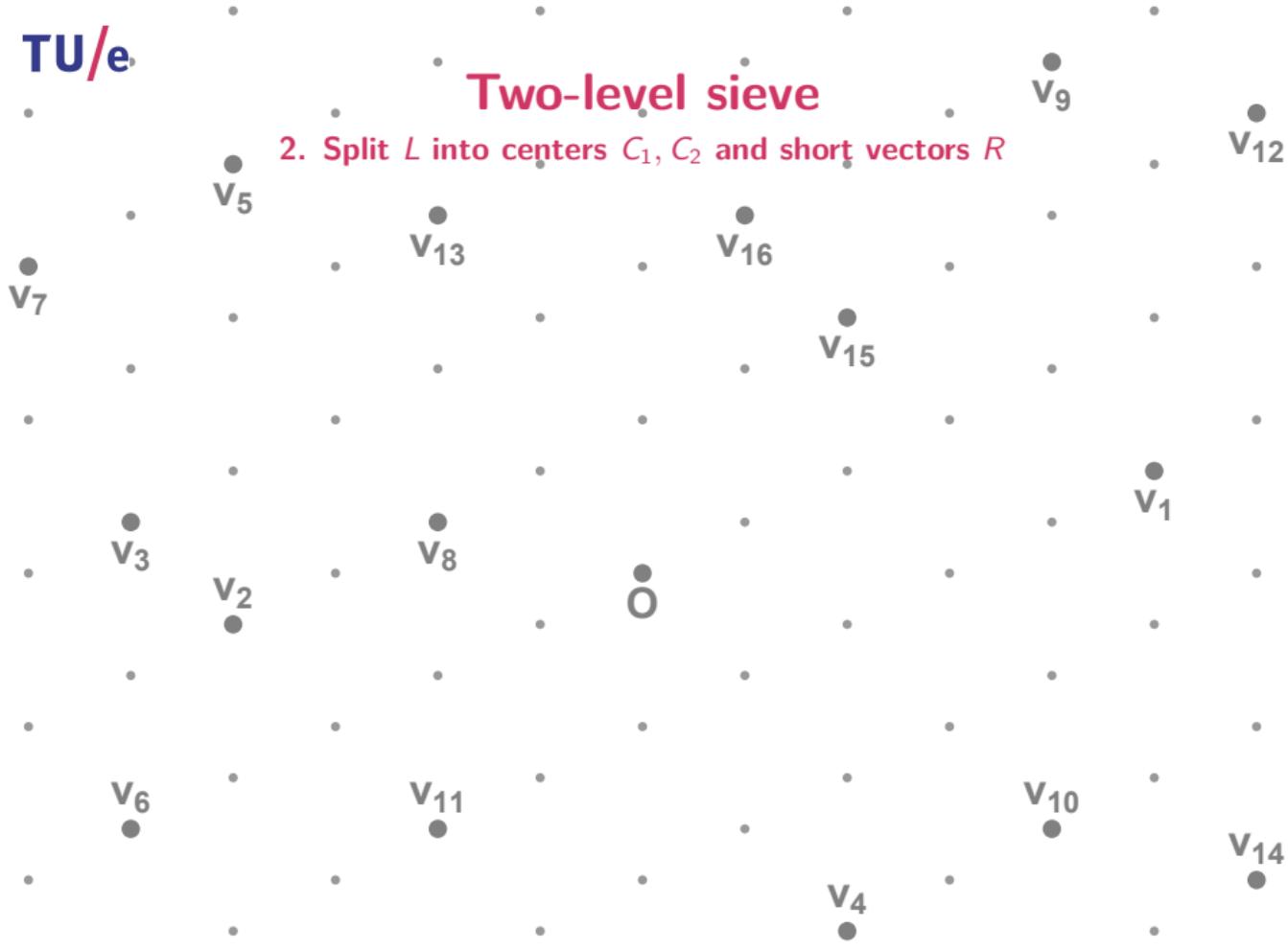
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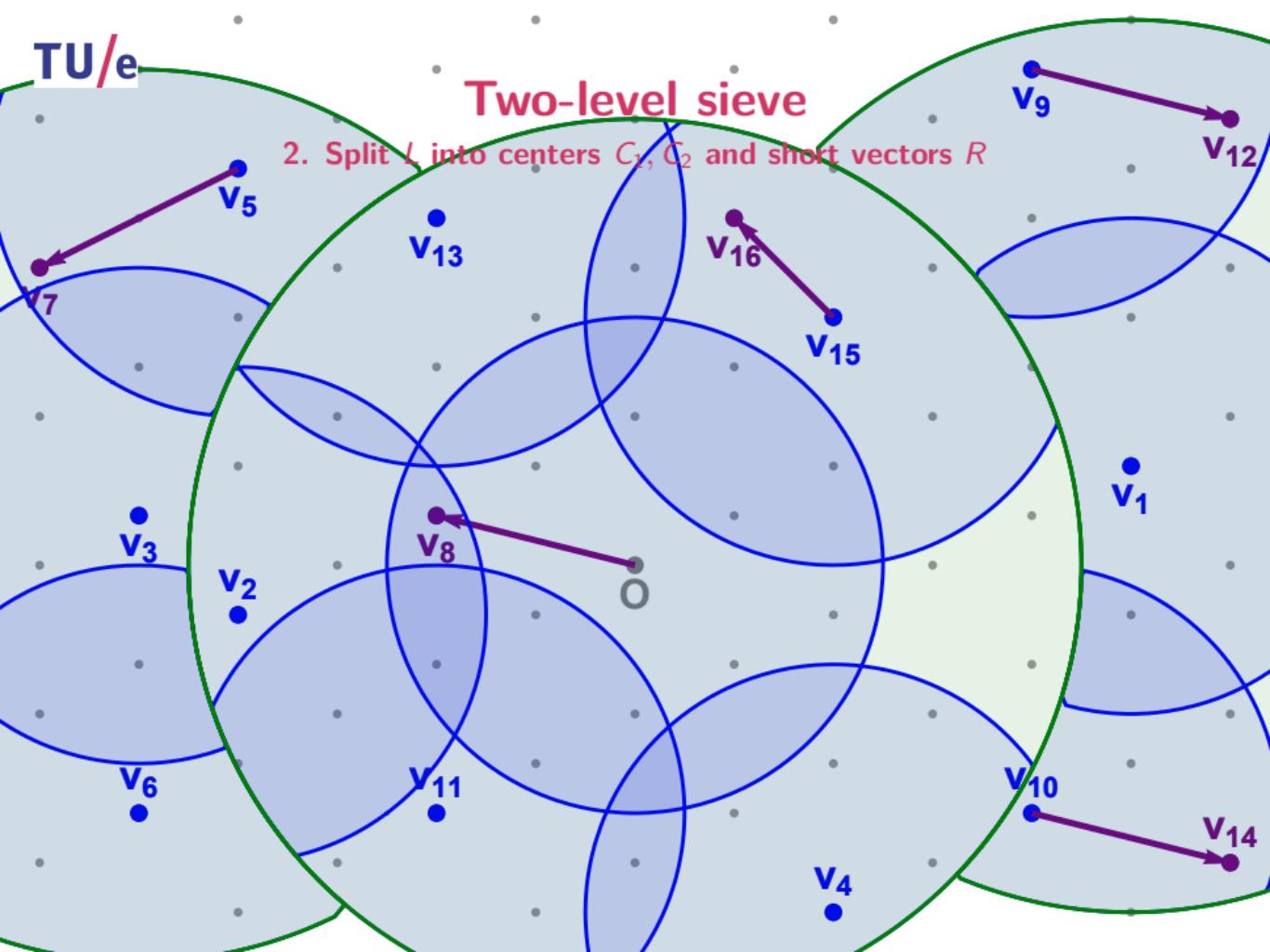
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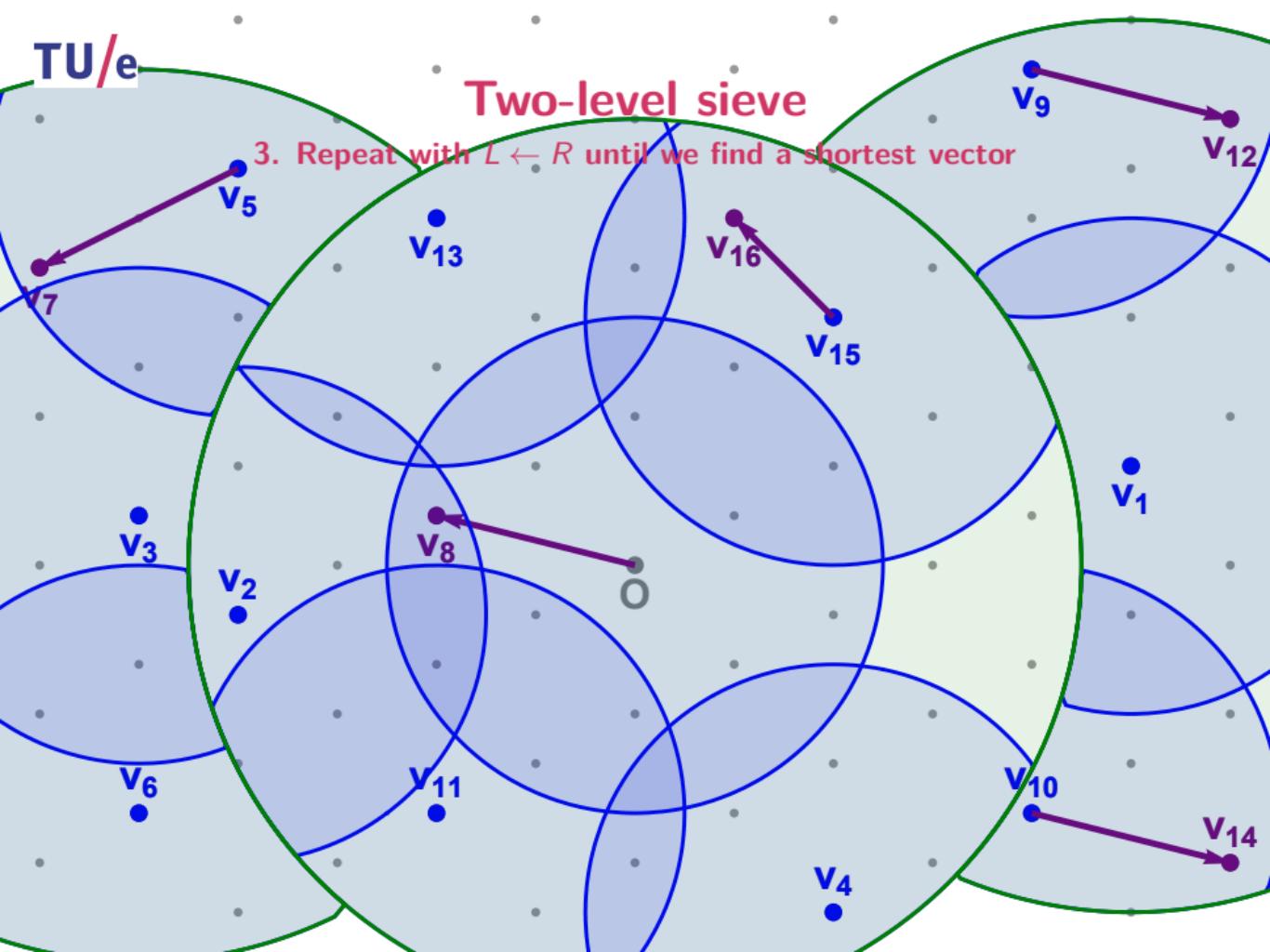
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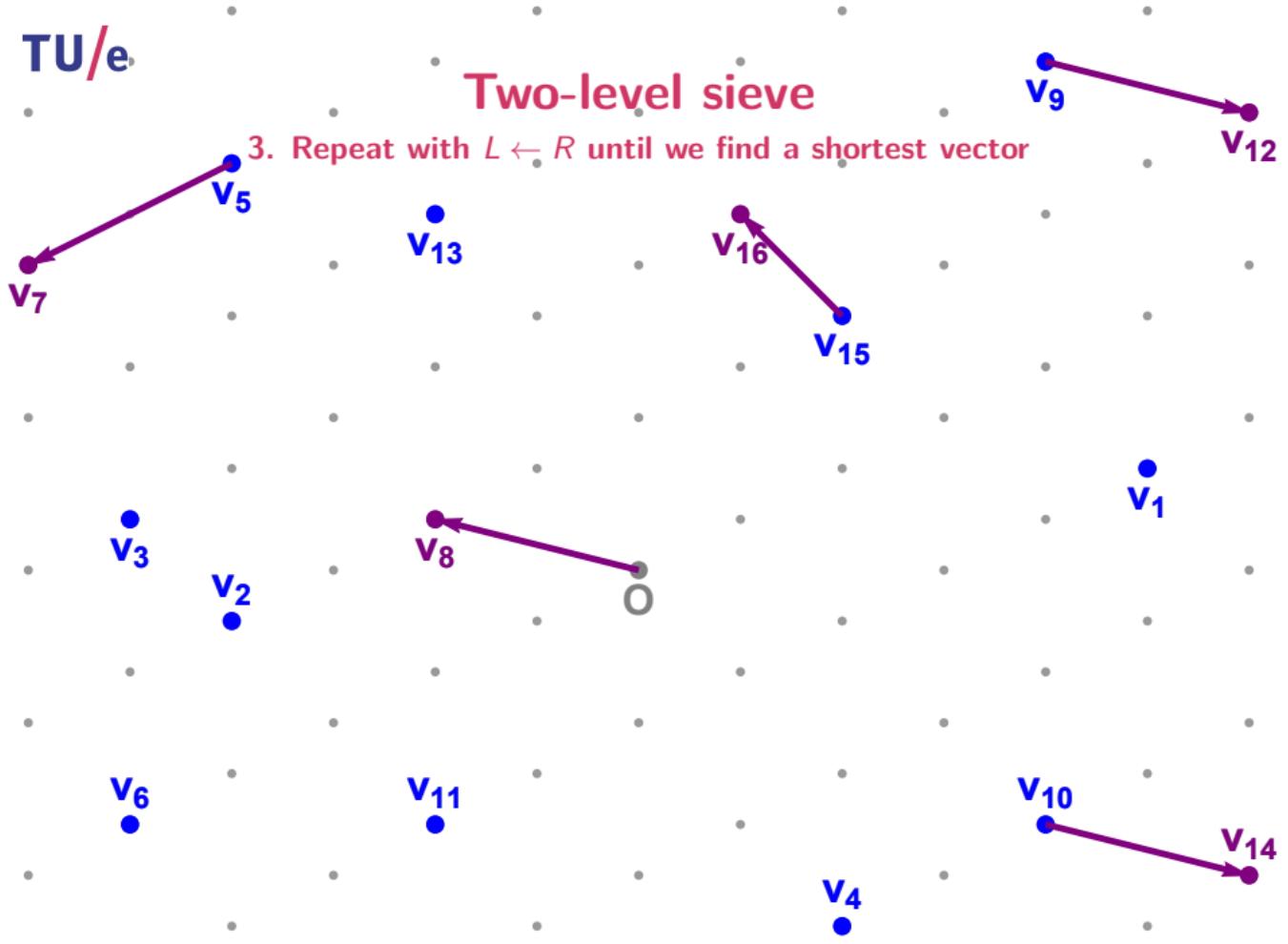
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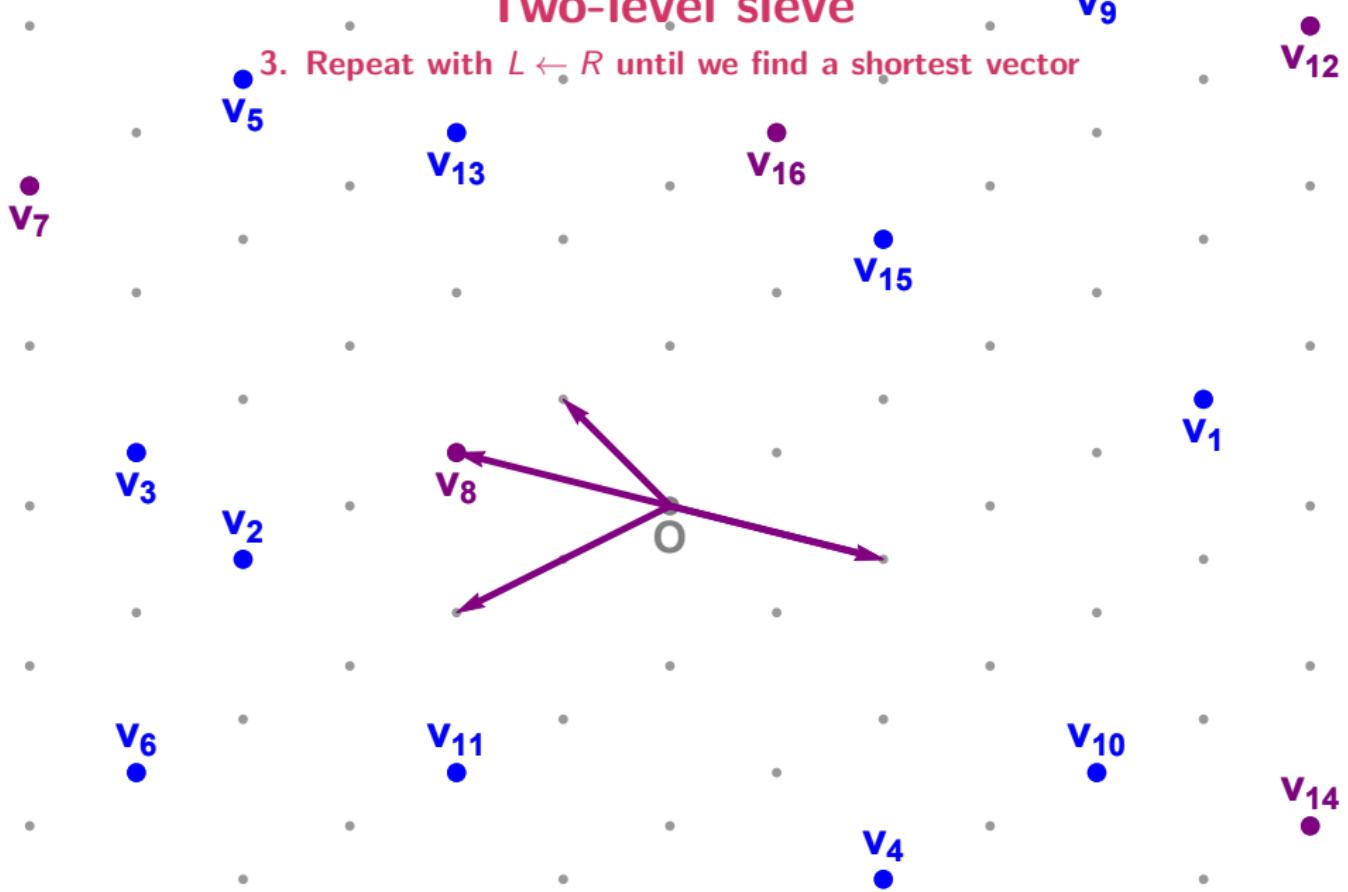
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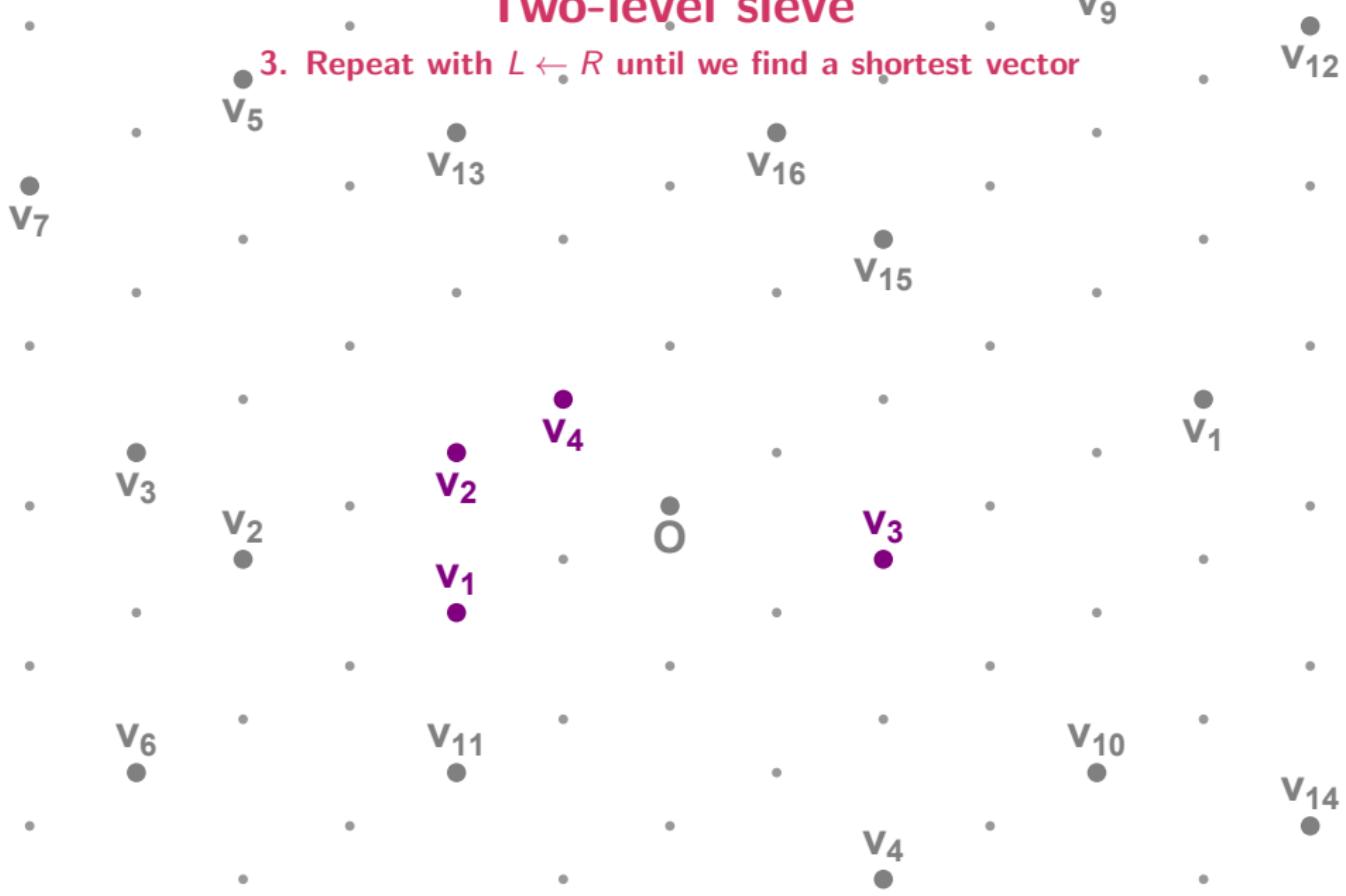
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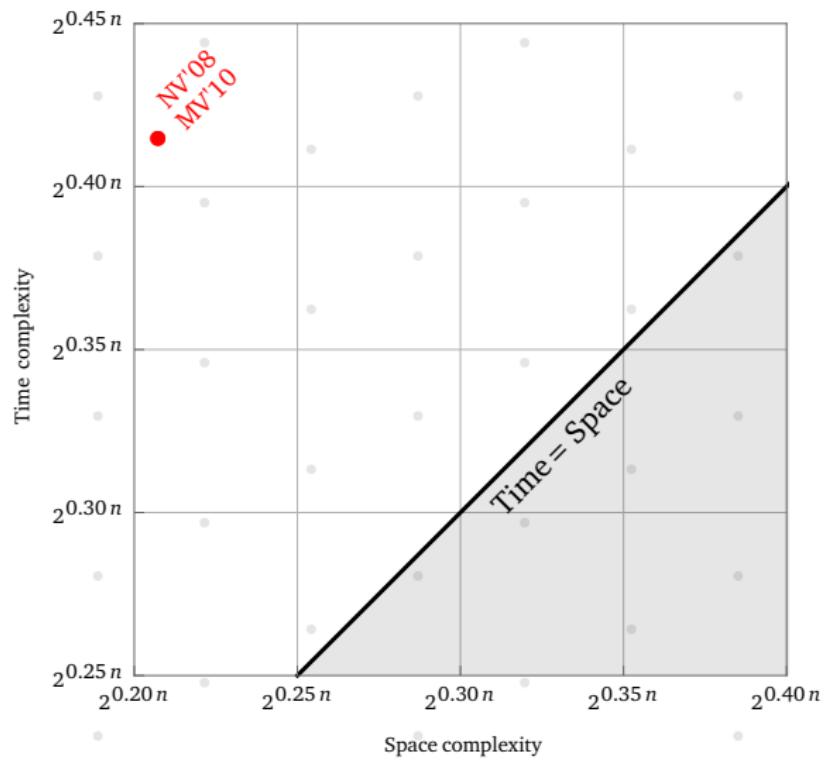
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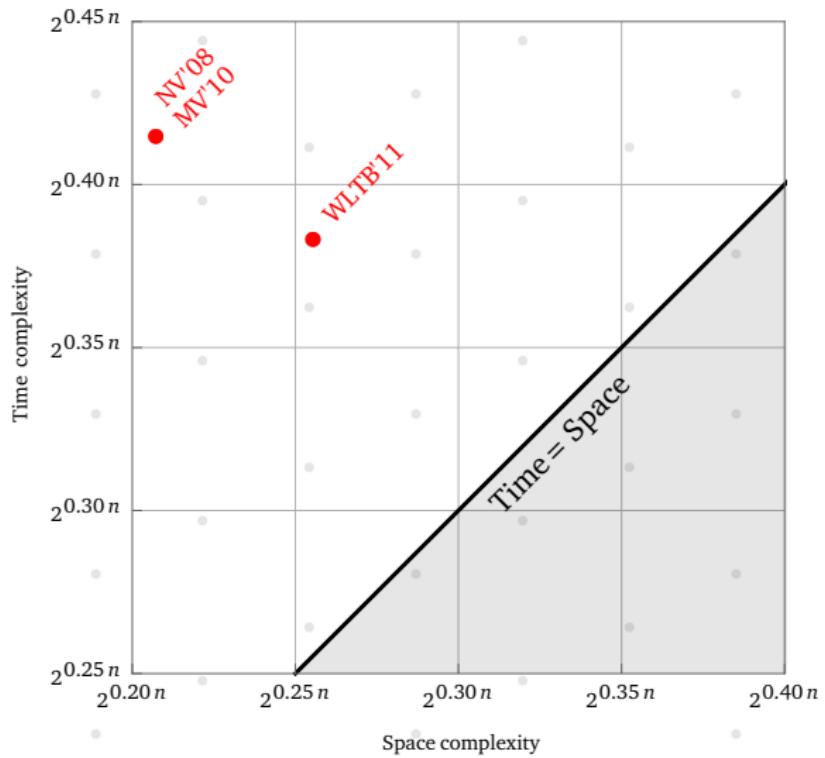
# Two-level sieve

## Space/time trade-off



# Two-level sieve

## Space/time trade-off



# Three-level sieve

## Overview

Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The one-level sieve runs in time  $2^{0.4150n}$  and space  $2^{0.2075n}$ .

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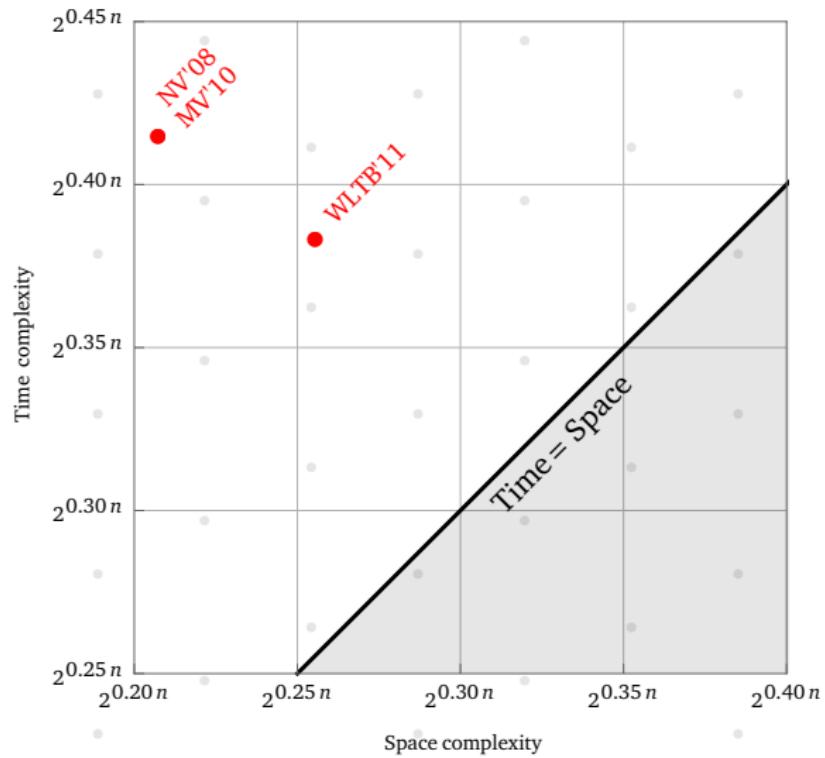
The three-level sieve runs in time  $2^{0.3778n}$  and space  $2^{0.2833n}$ .

Conjecture

The four-level sieve runs in time  $2^{0.3774n}$  and space  $2^{0.2925n}$ , and higher-level sieves are not faster than this.

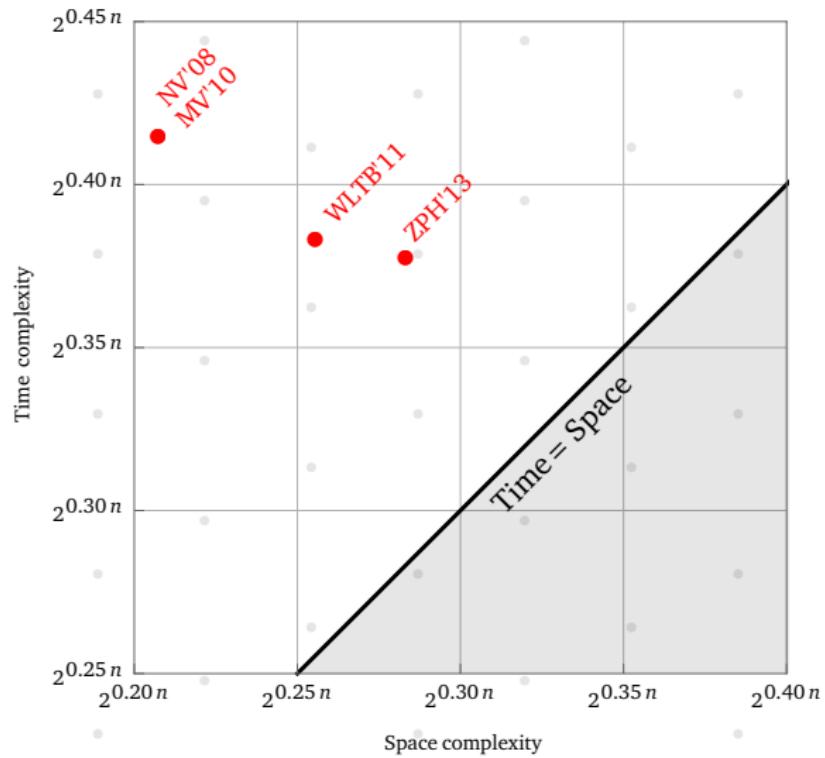
# Three-level sieve

## Space/time trade-off



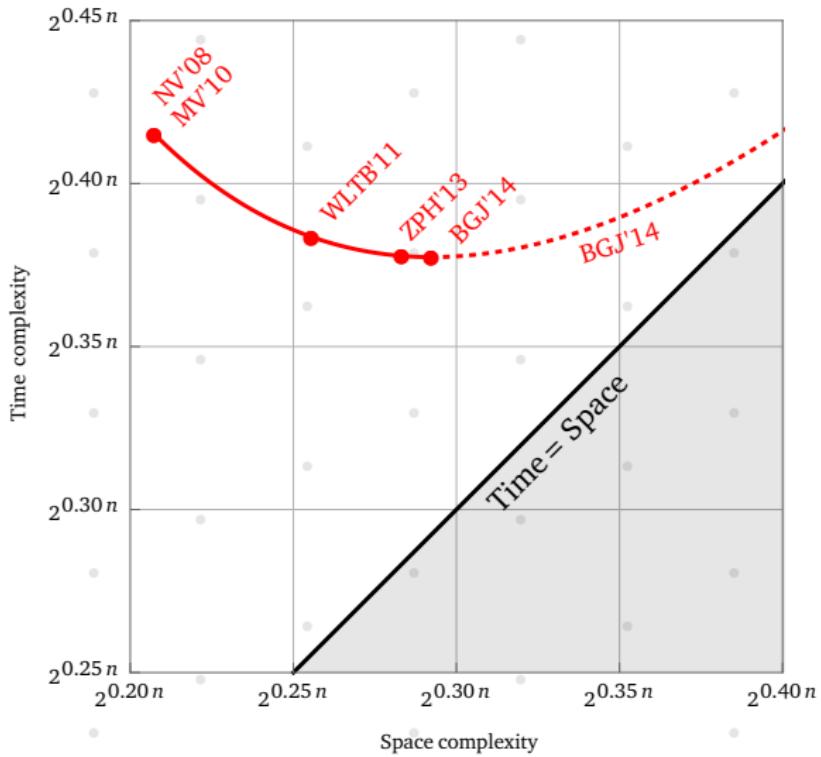
# Three-level sieve

## Space/time trade-off



# Decomposition approach

## Space/time trade-off



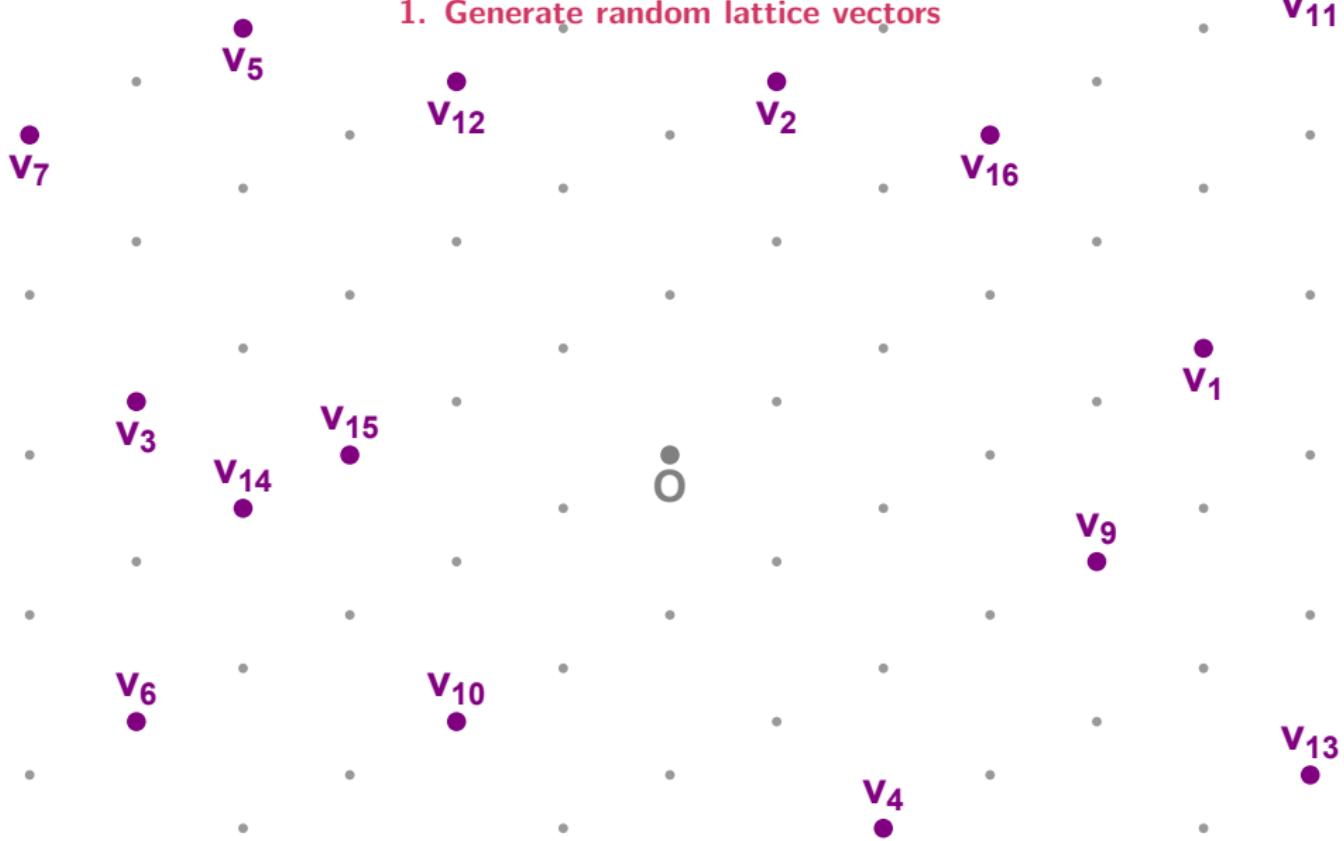
# HashSieve (GS)

1. Generate random lattice vectors



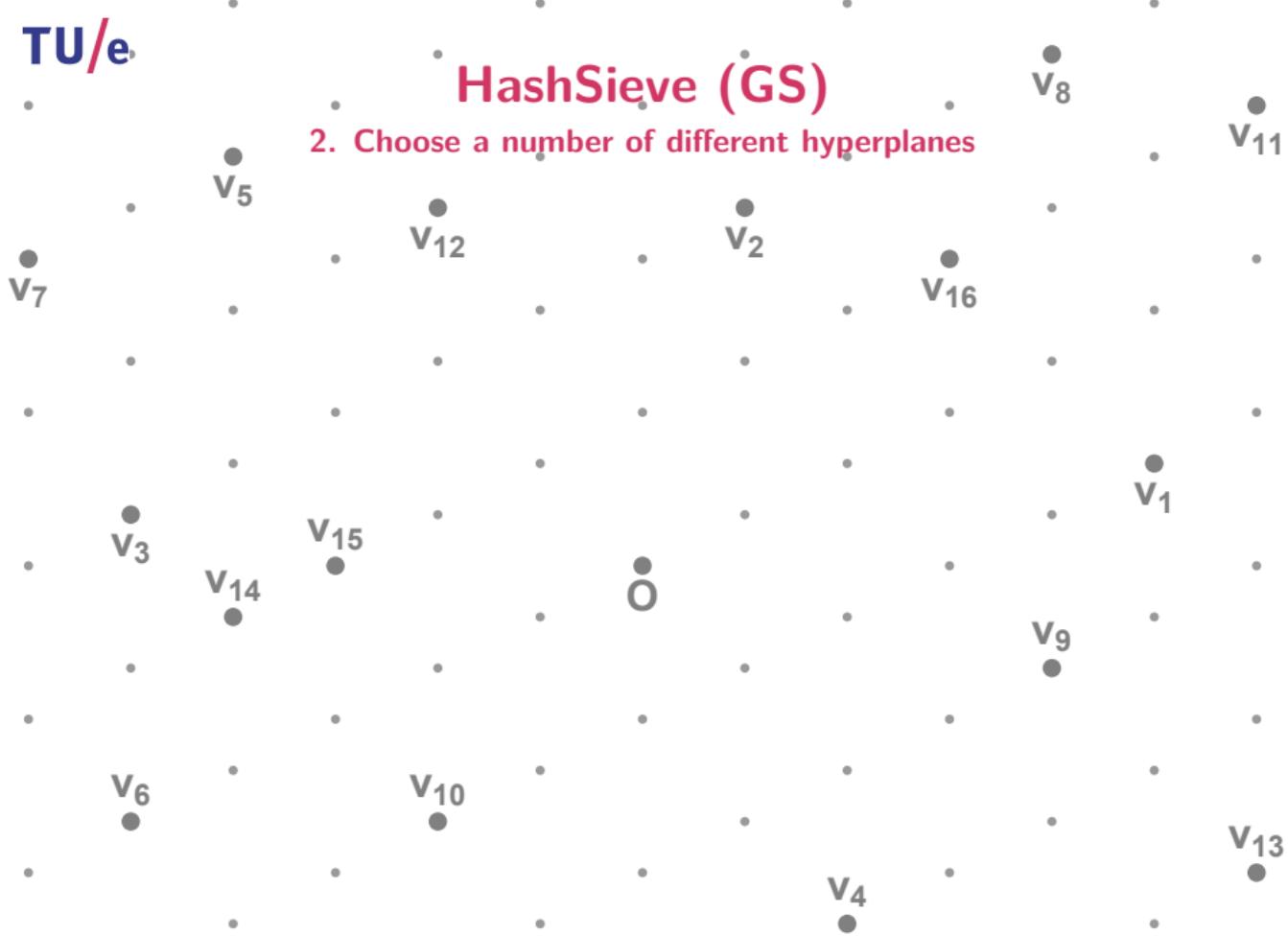
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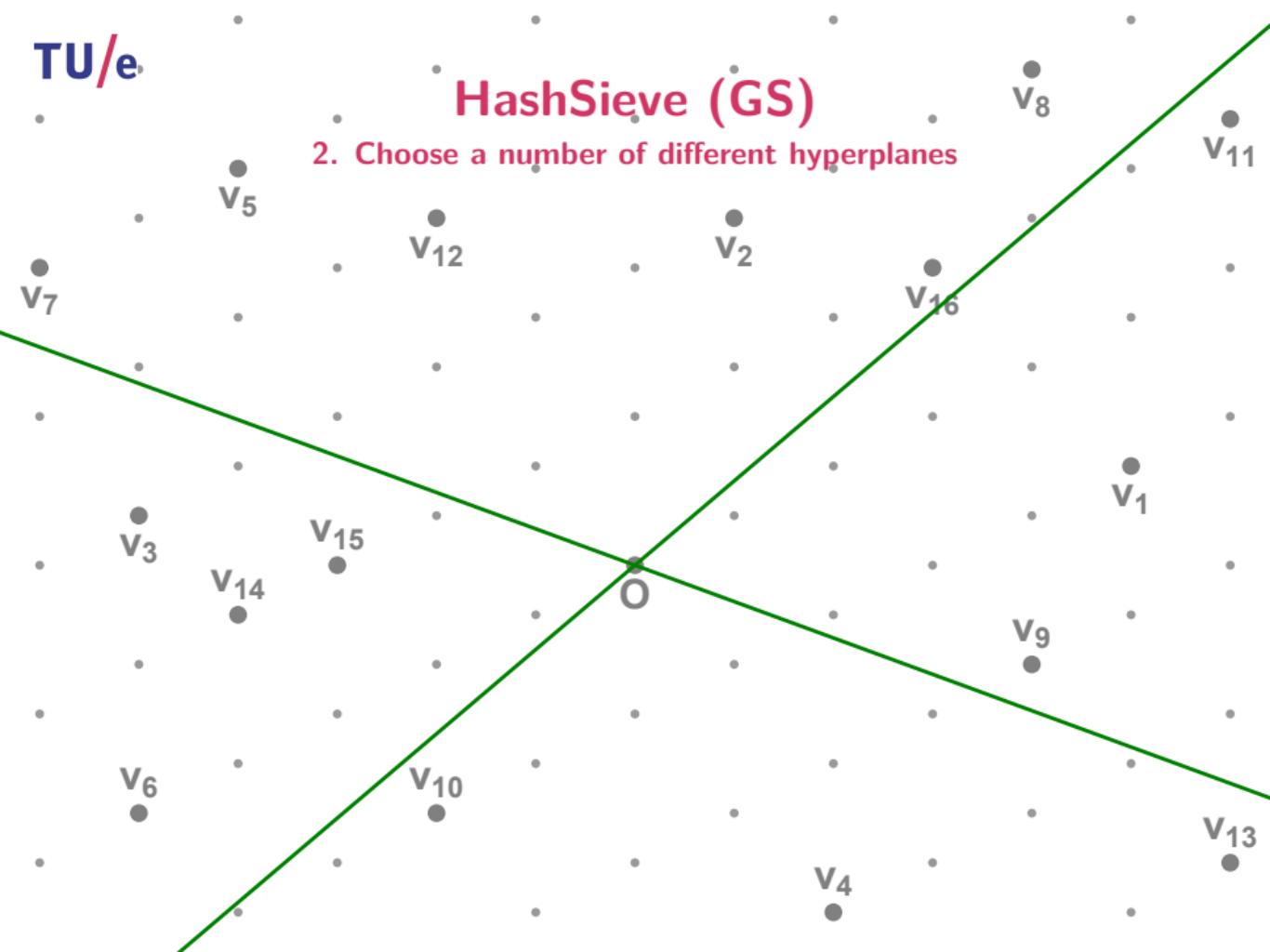
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2. Choose a number of different hyperplanes



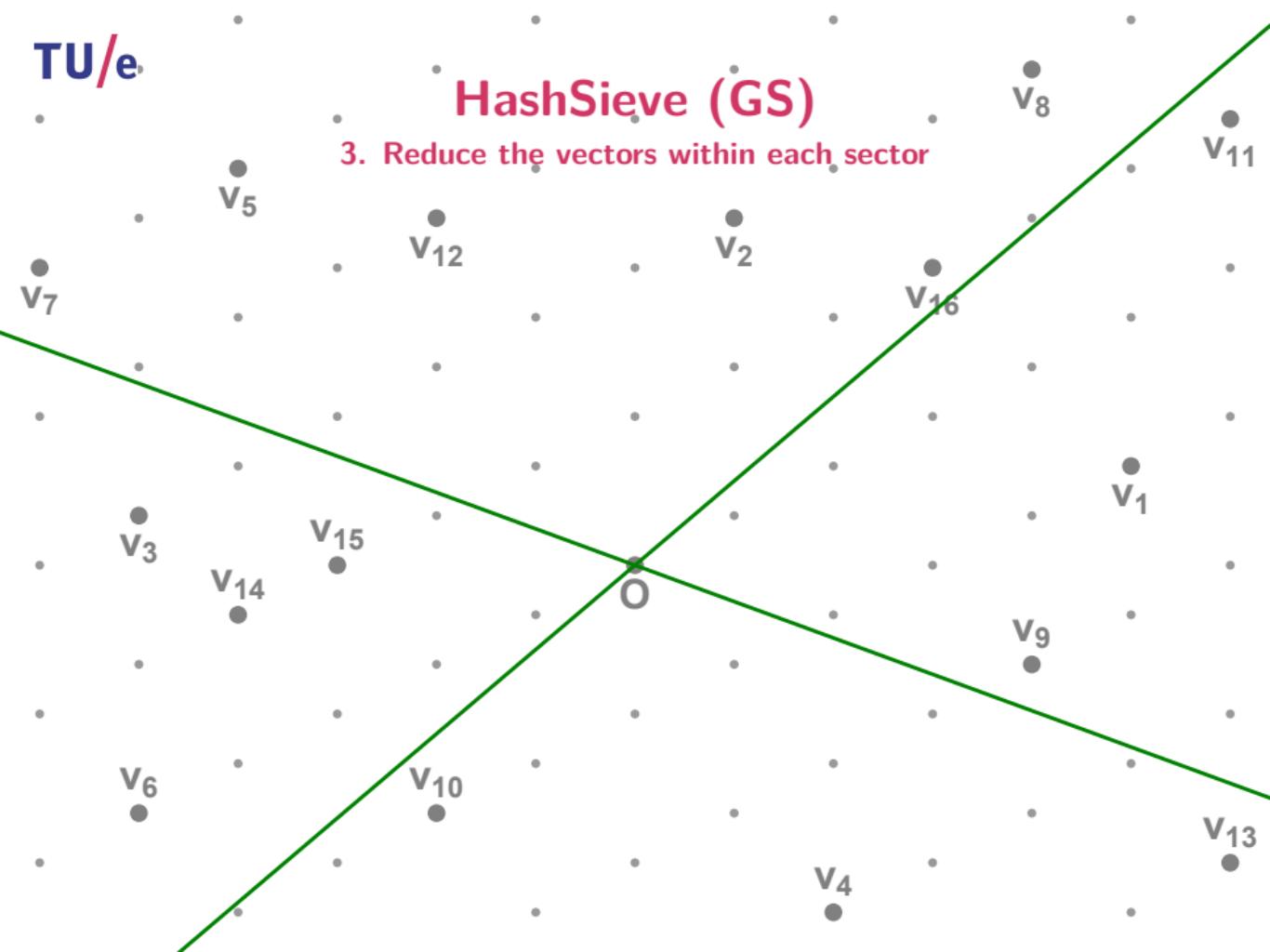
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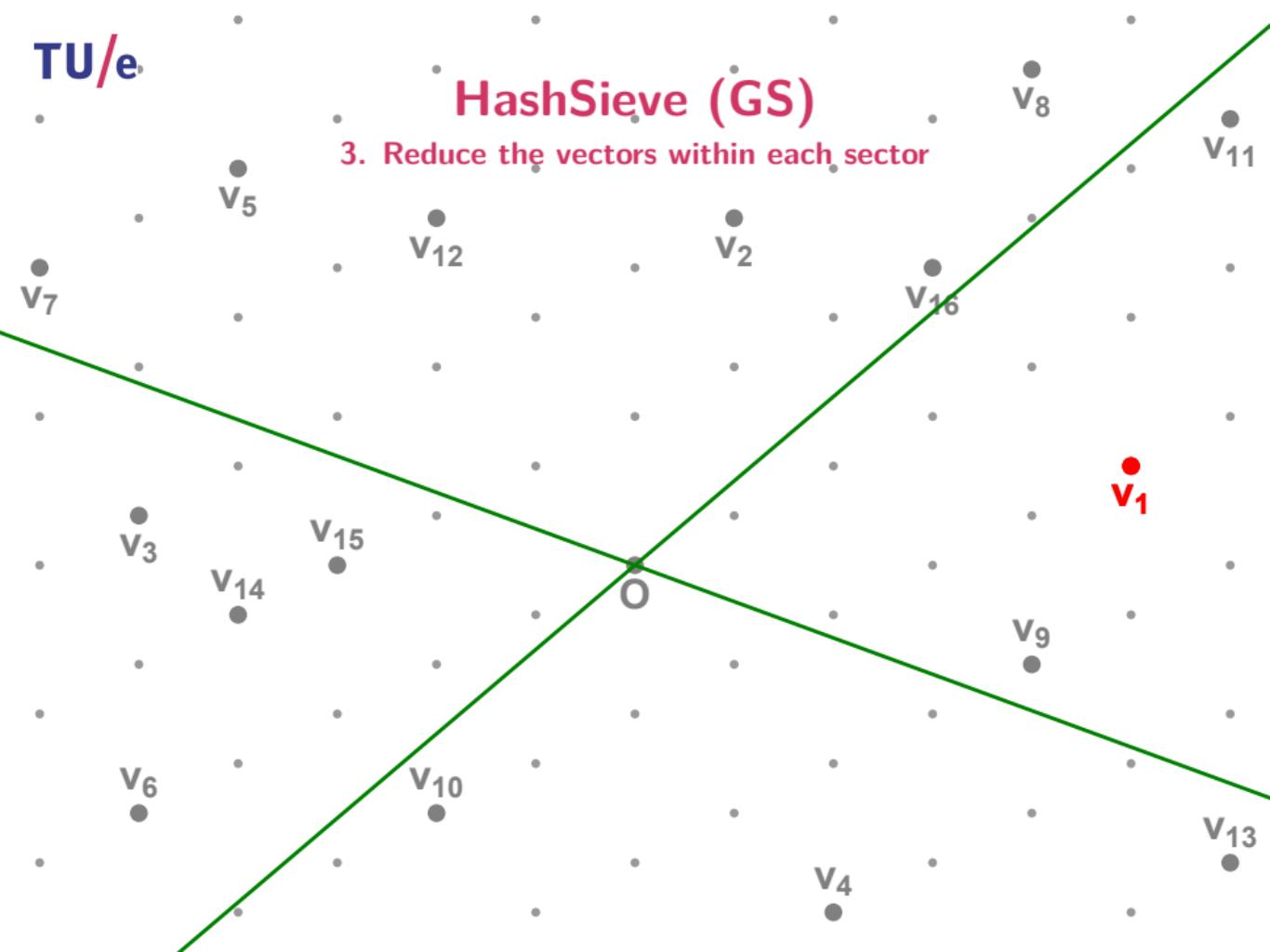
# HashSieve (GS)

3. Reduce the vectors within each sector



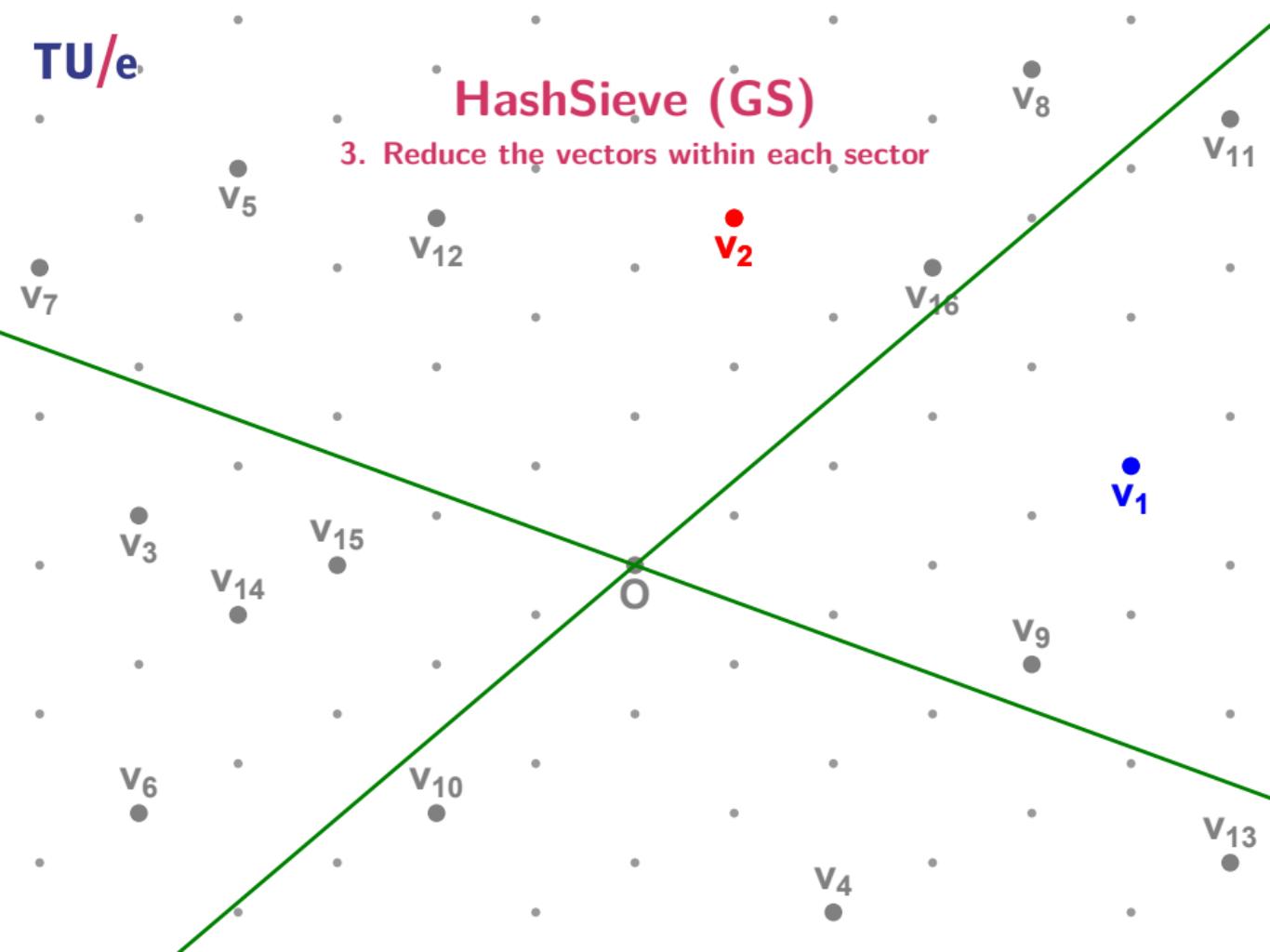
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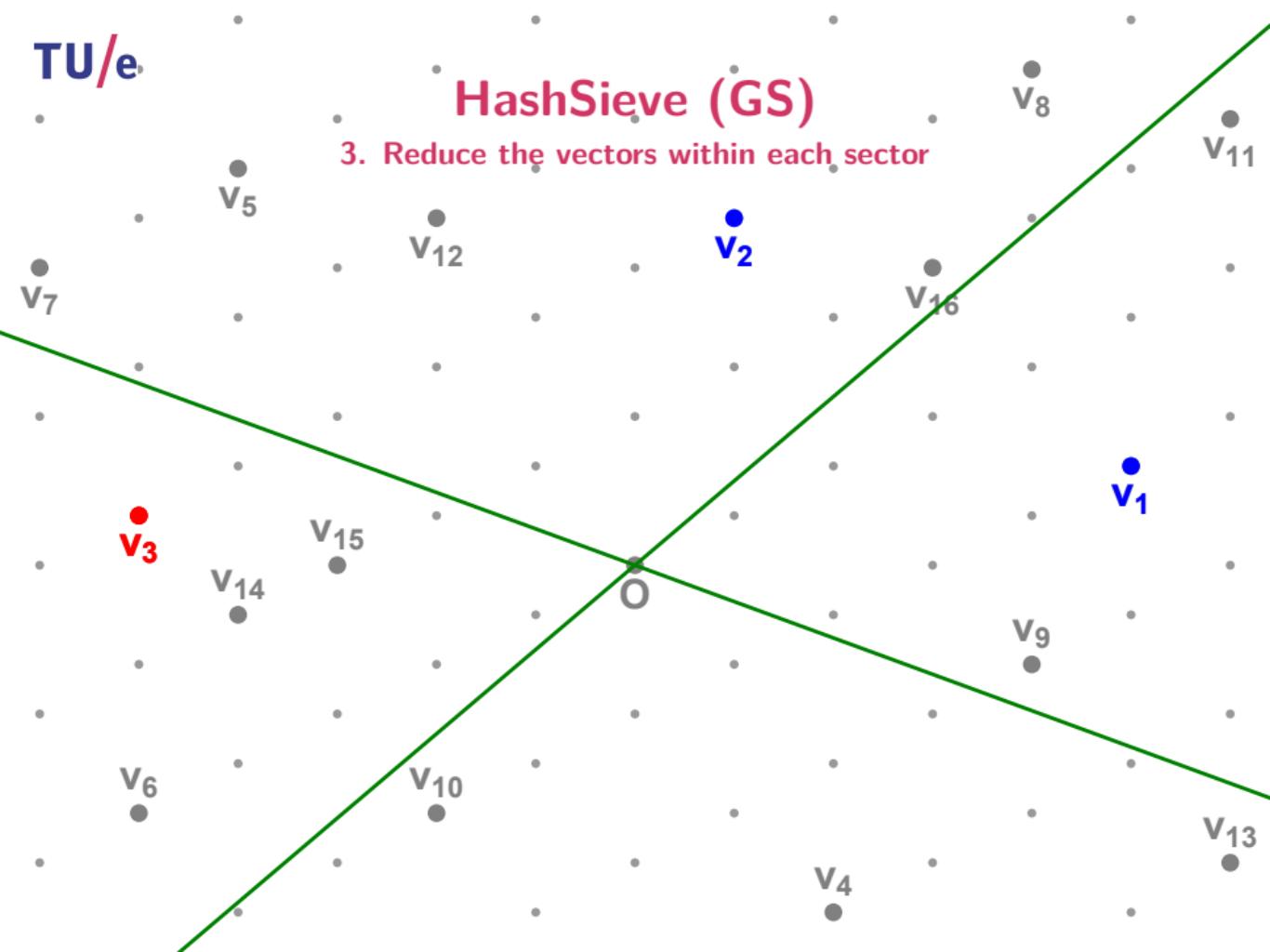
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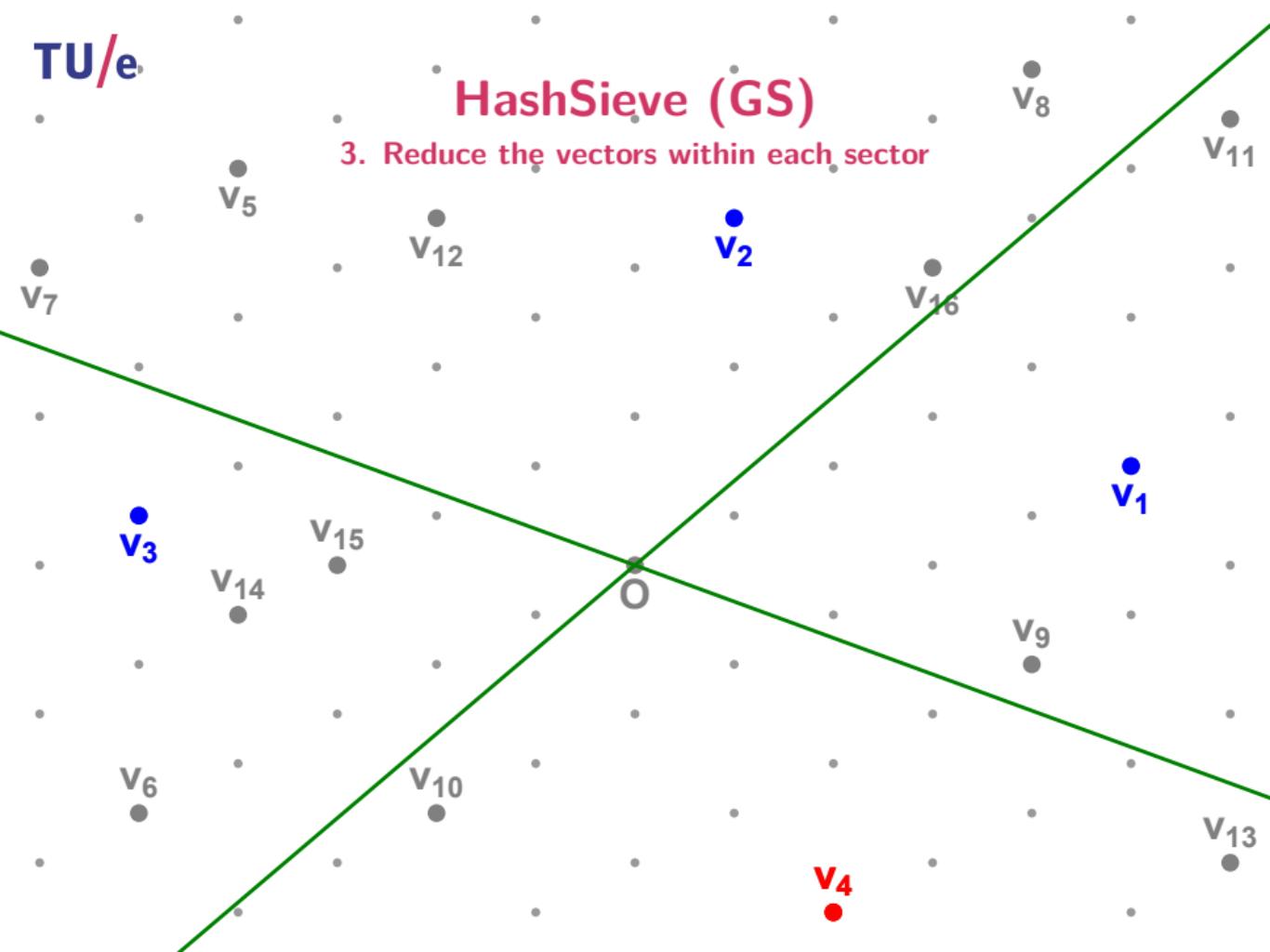
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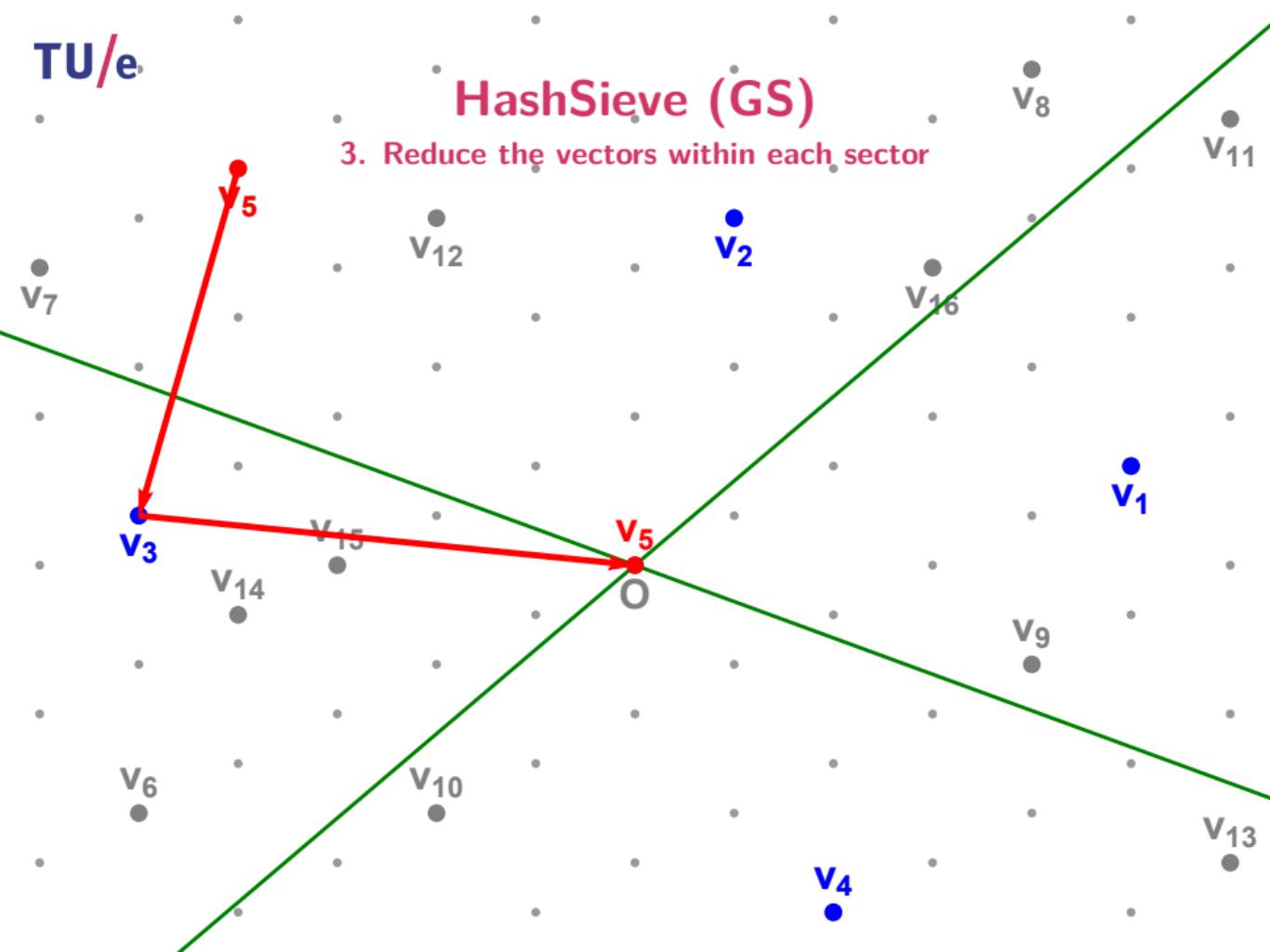
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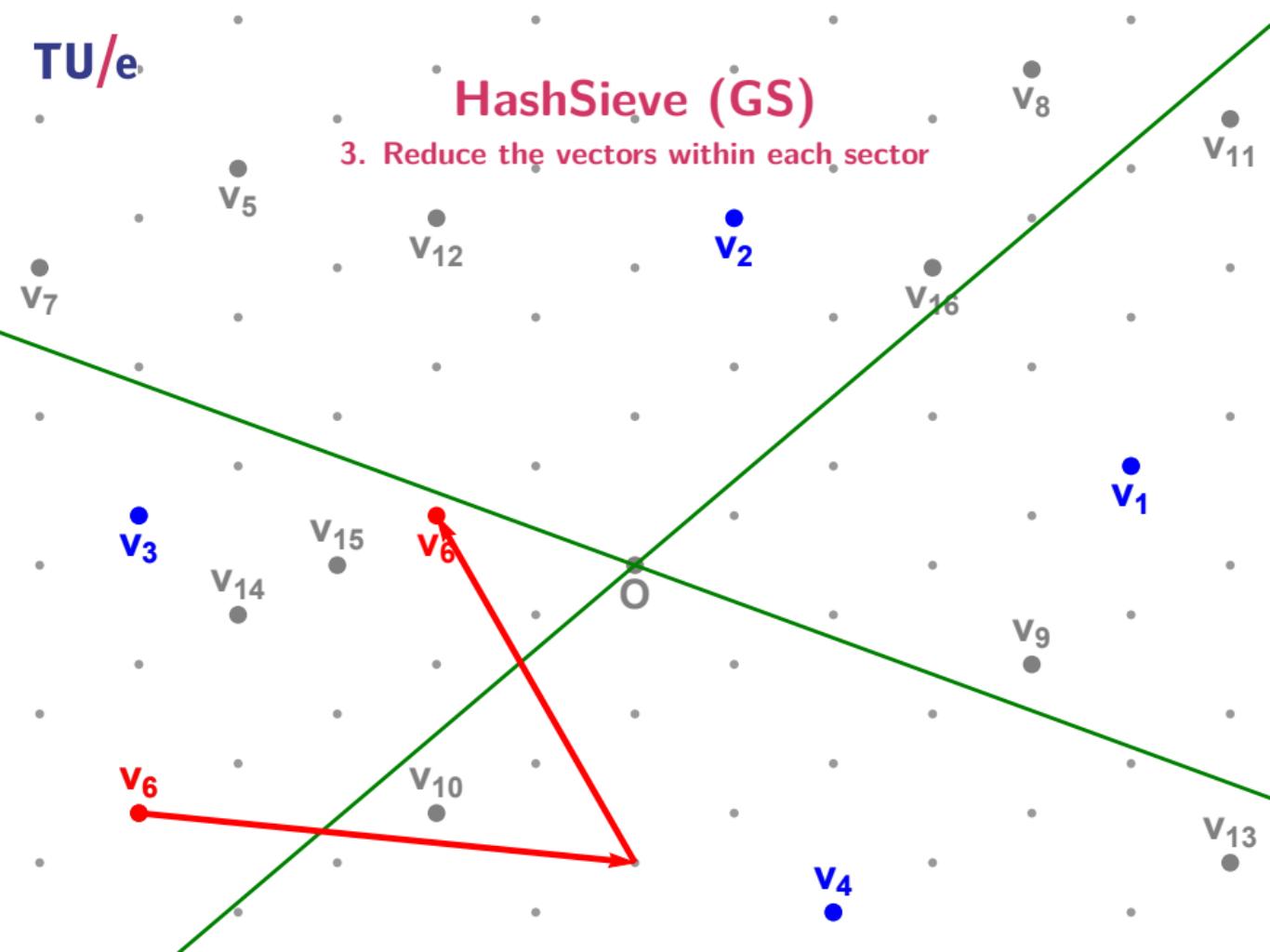
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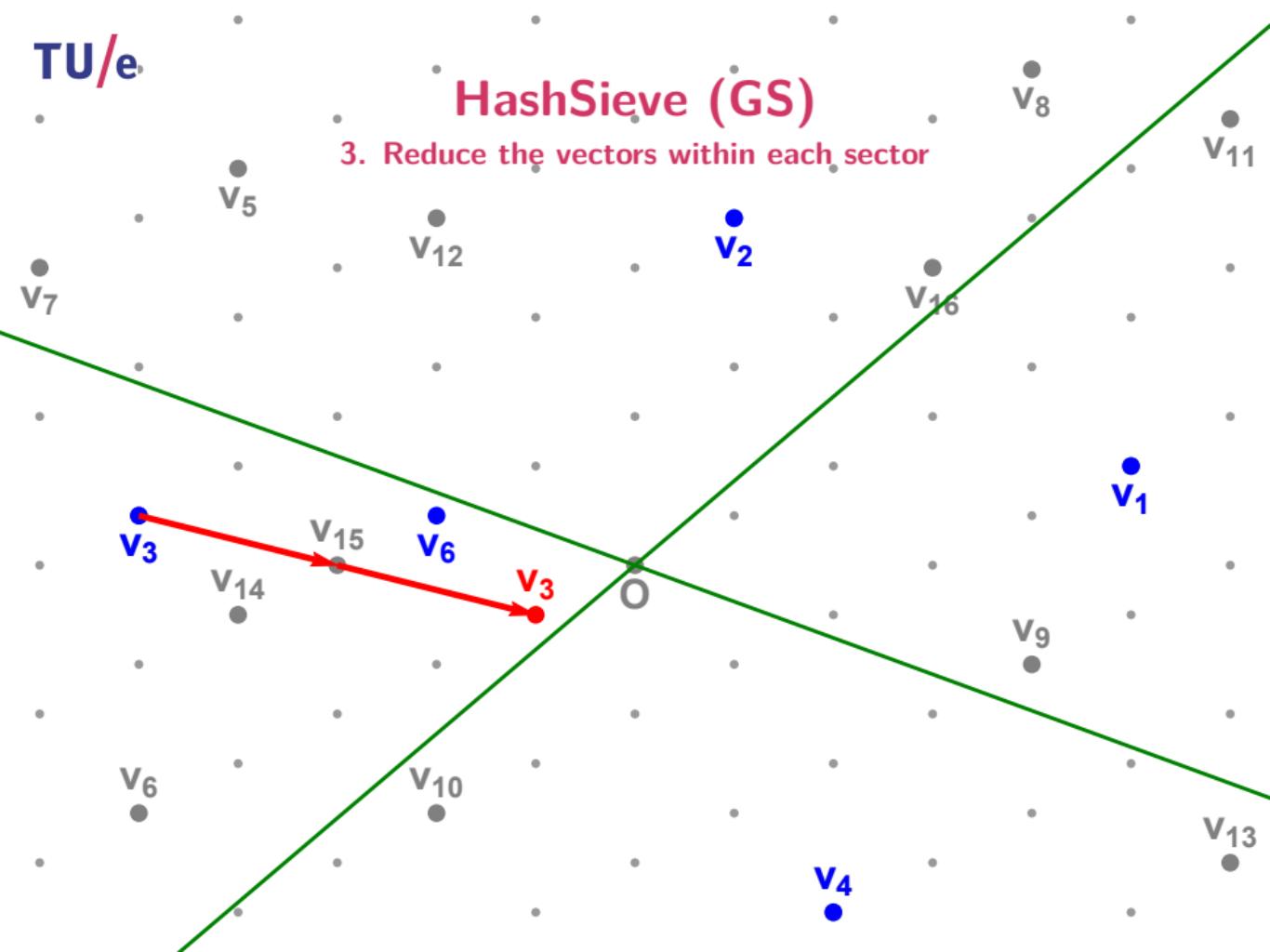
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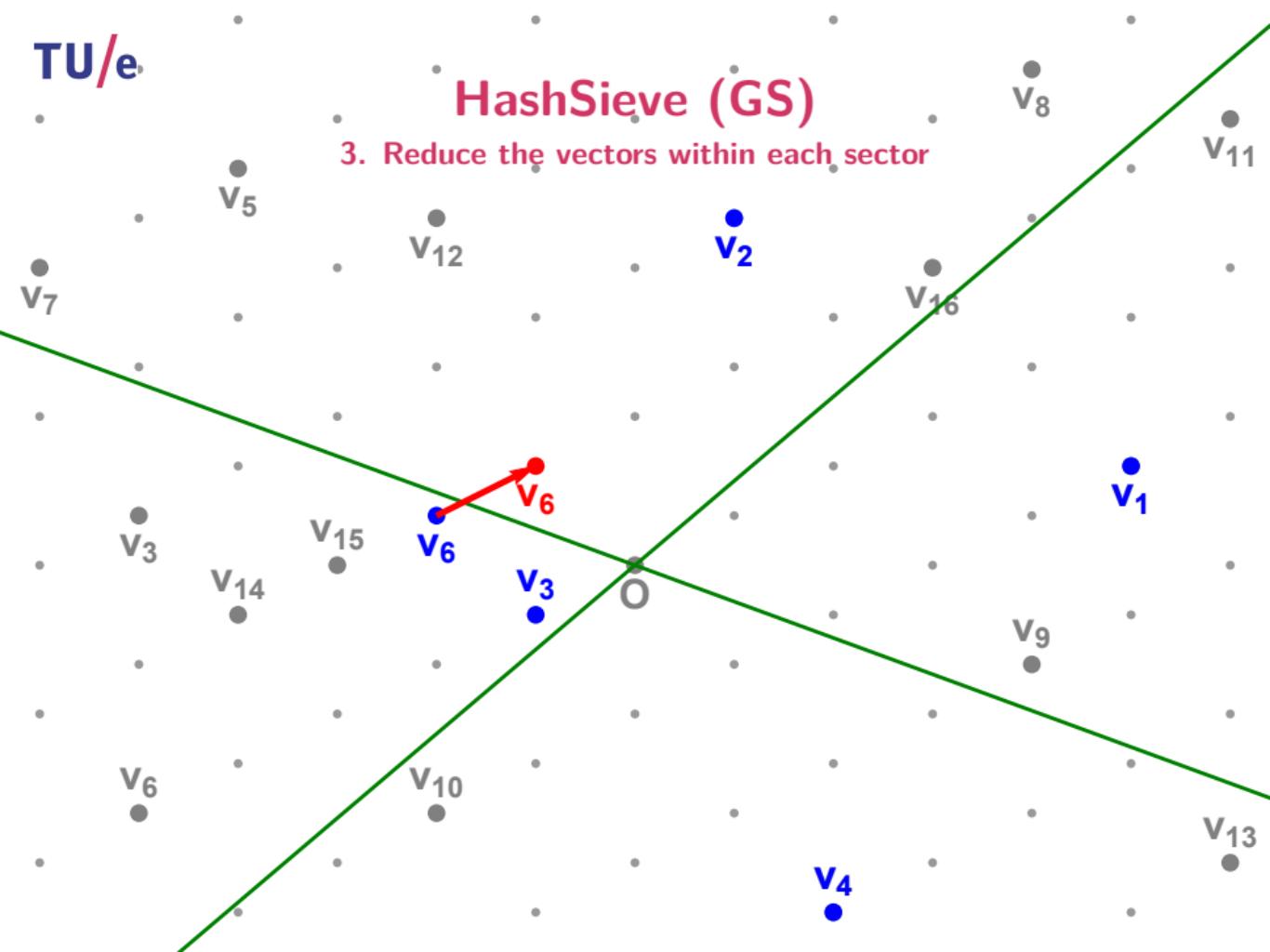
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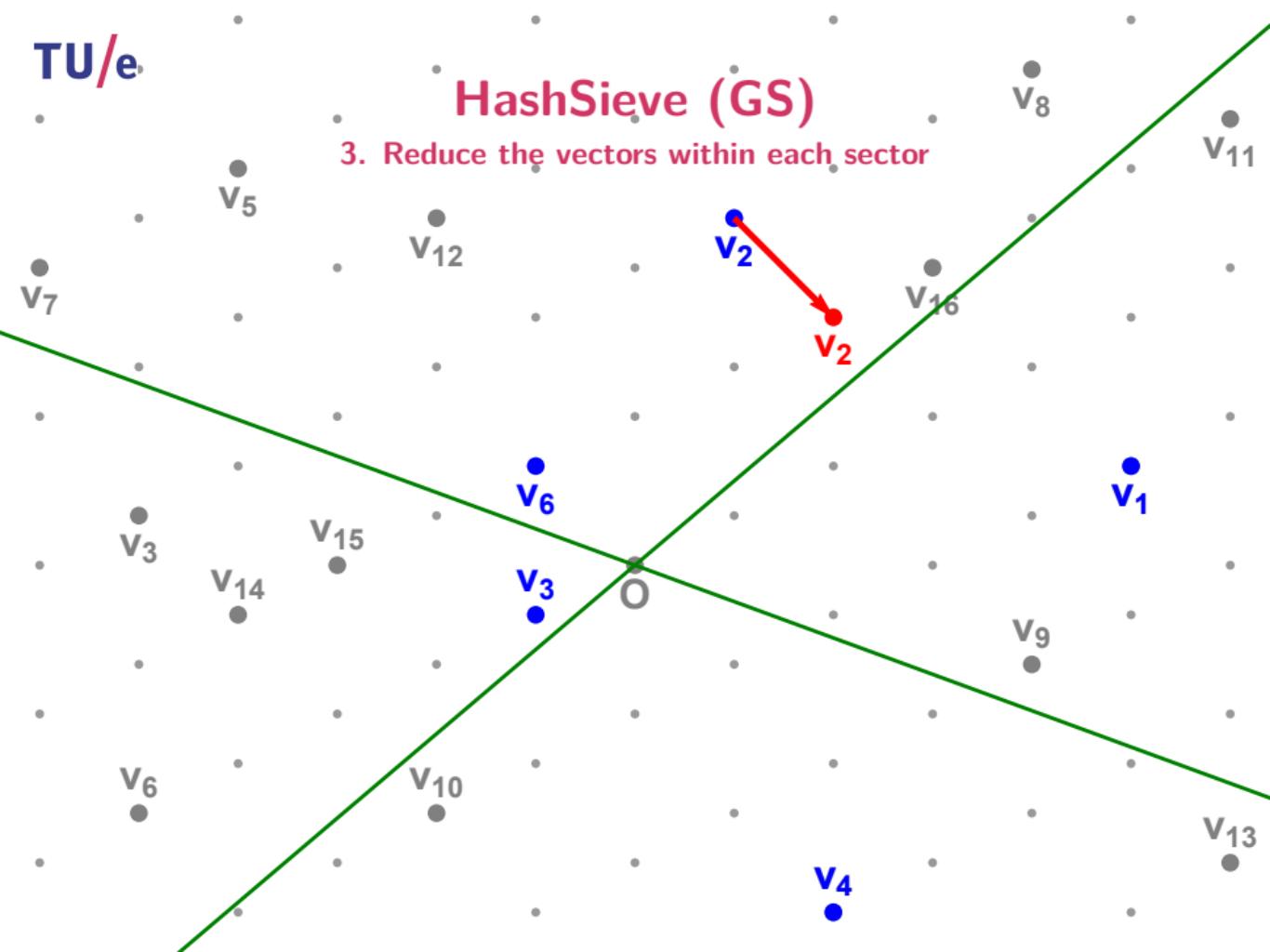
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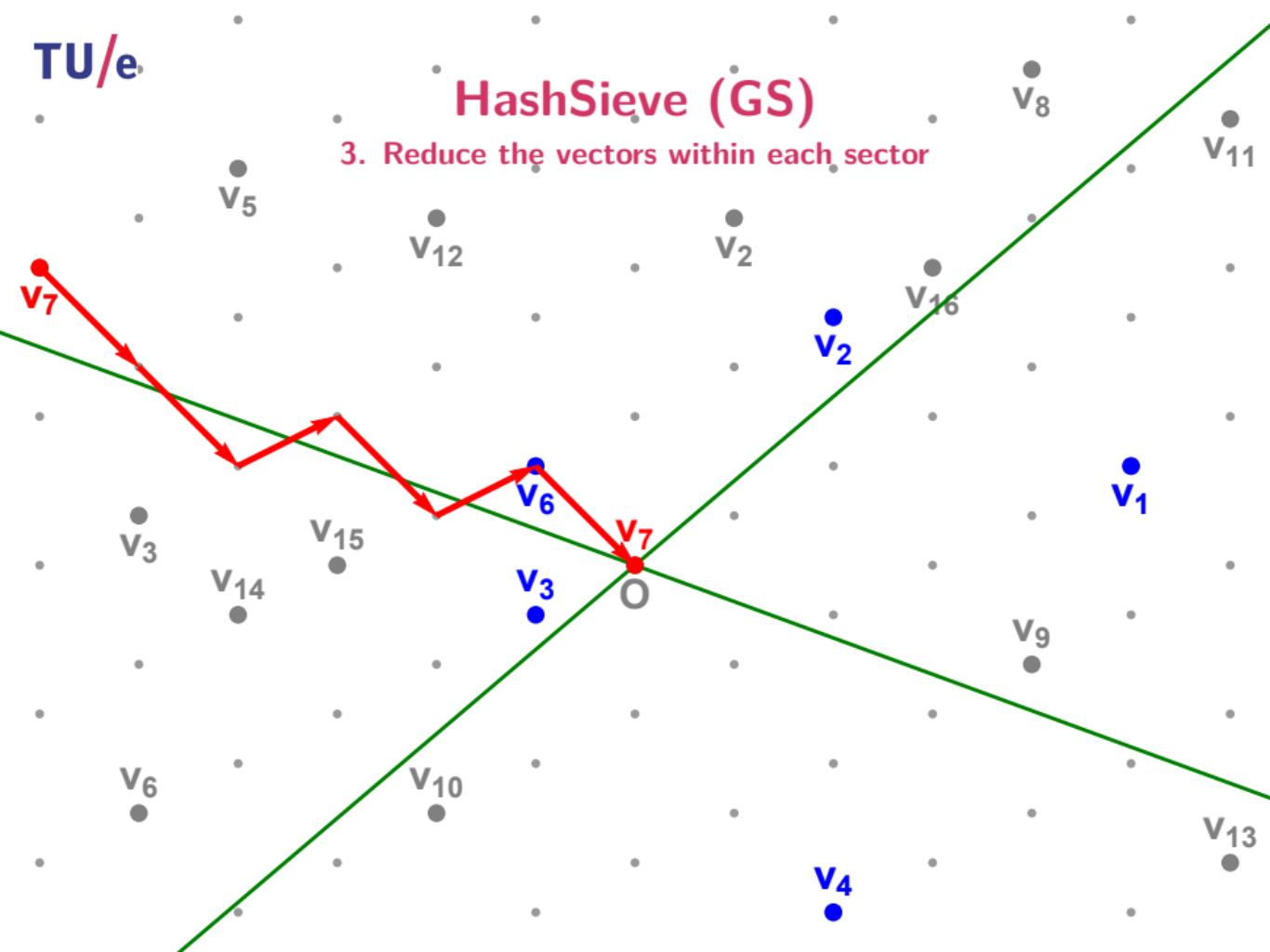
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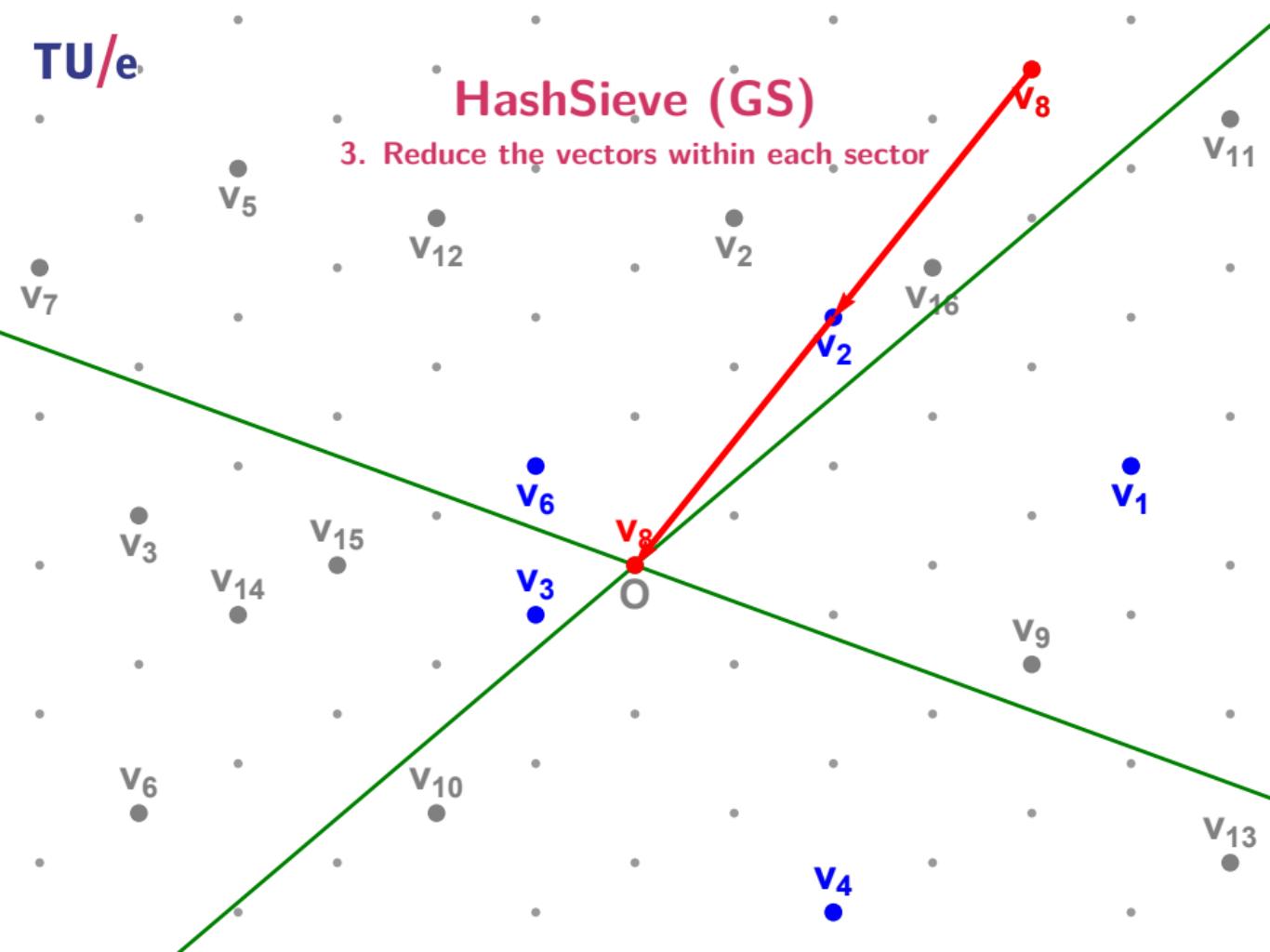
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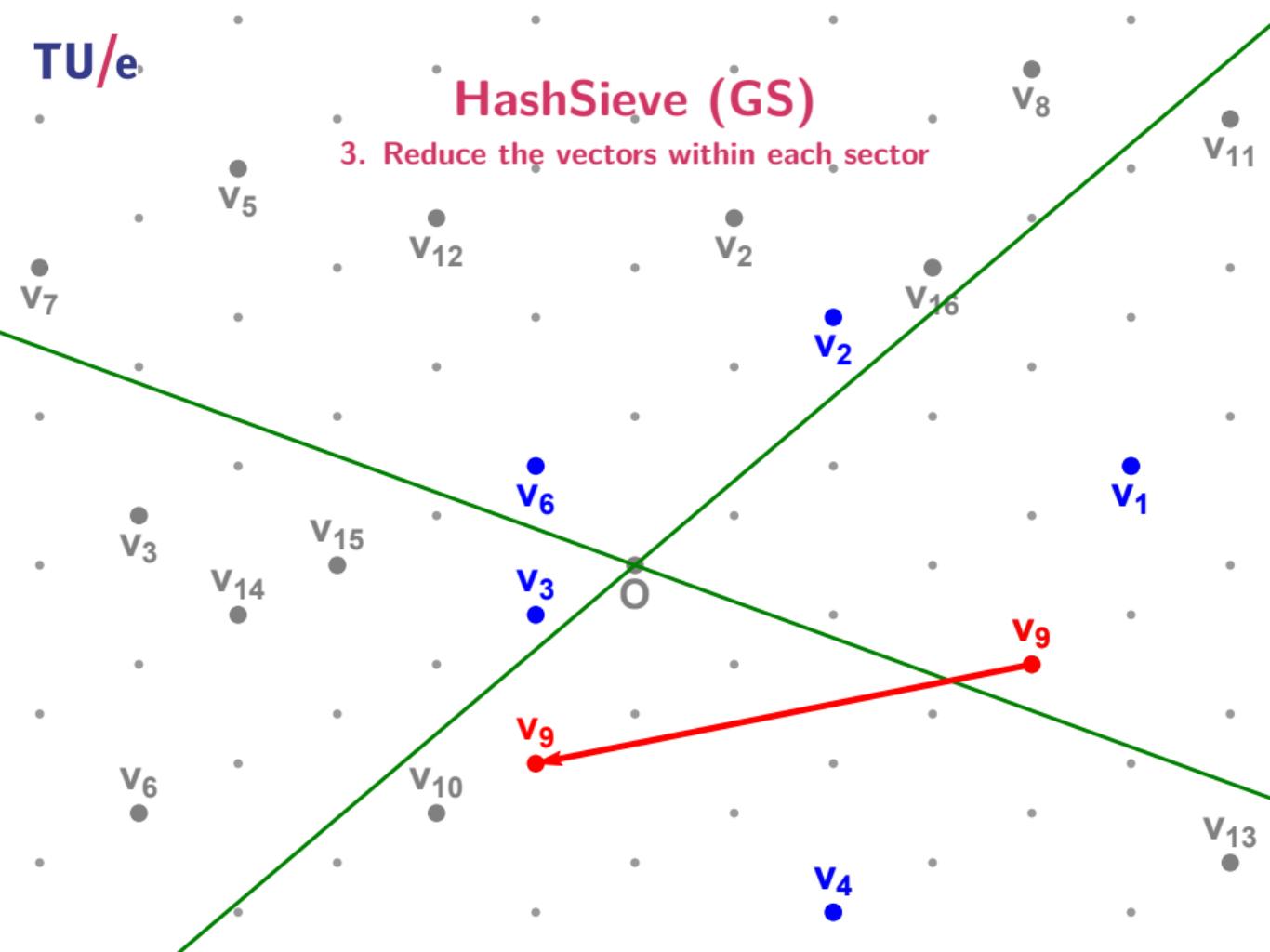
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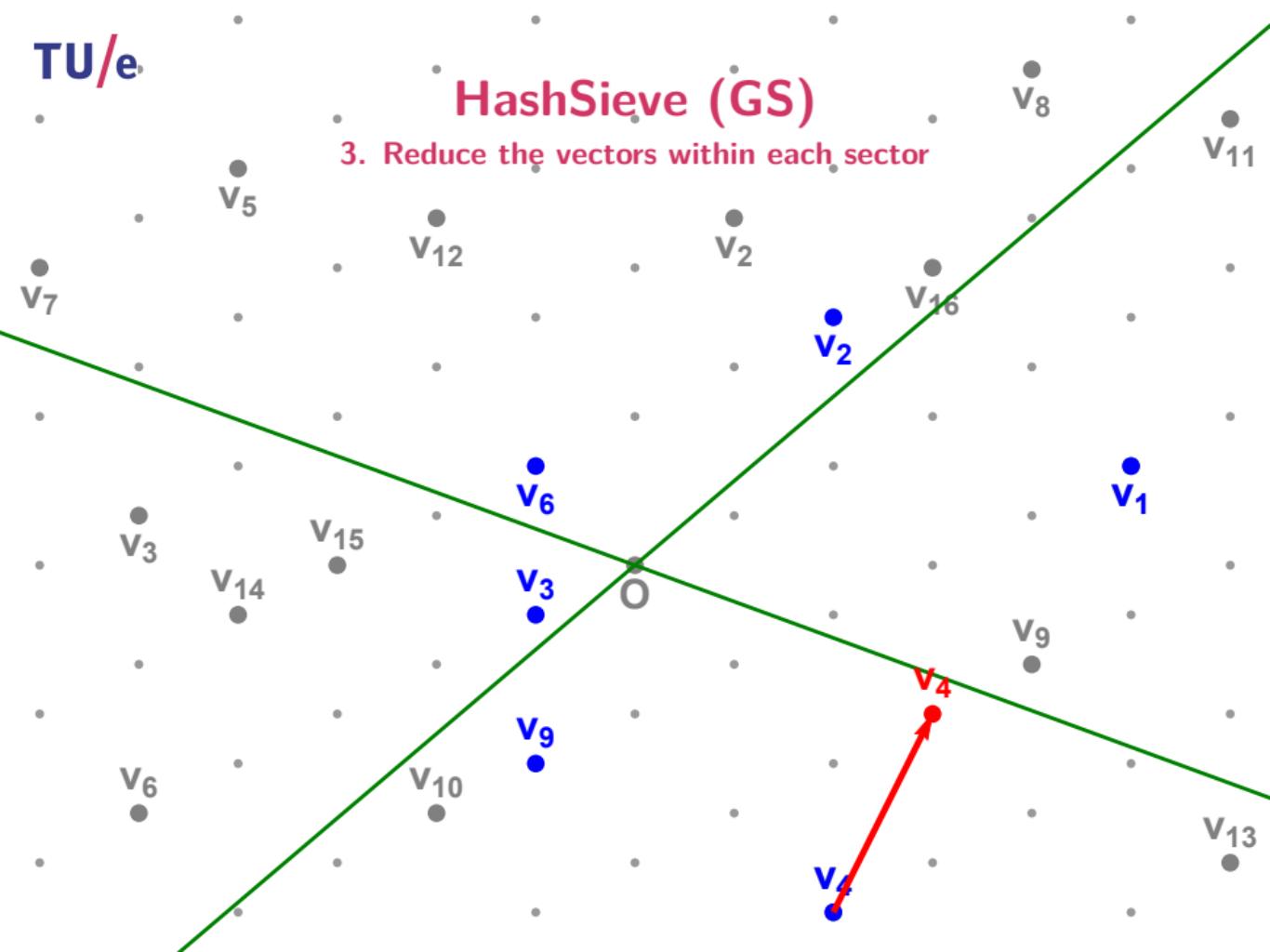
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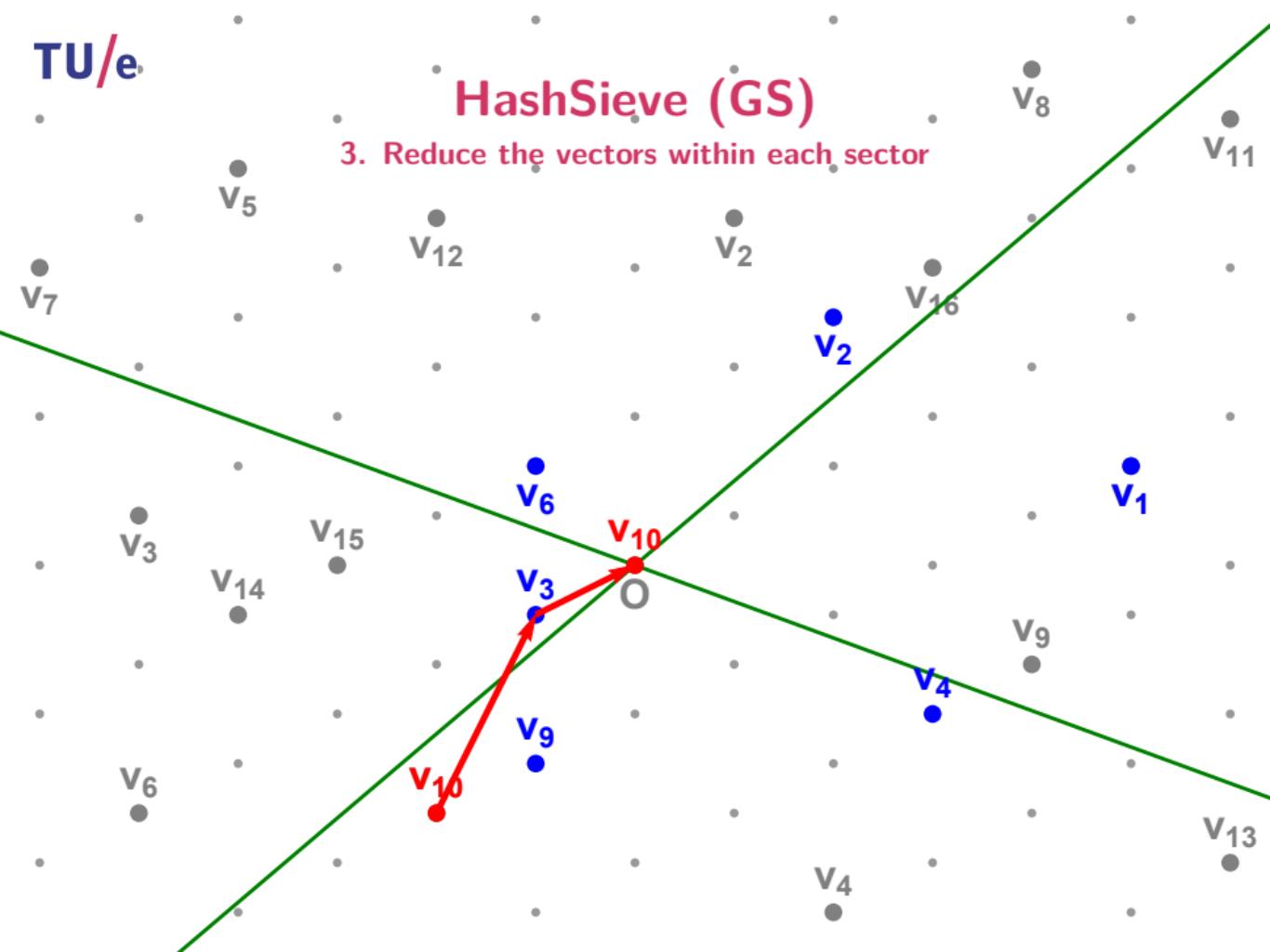
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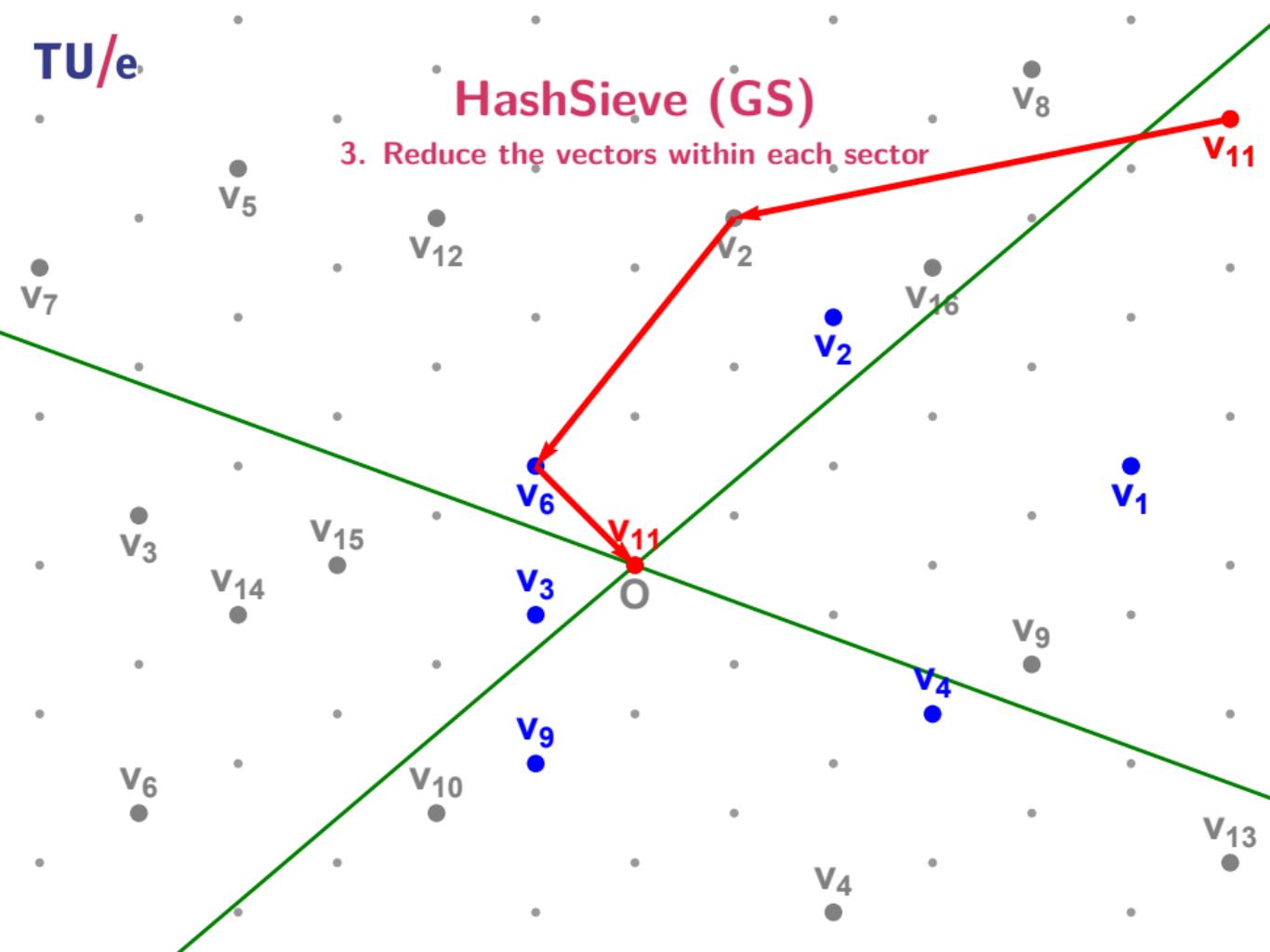
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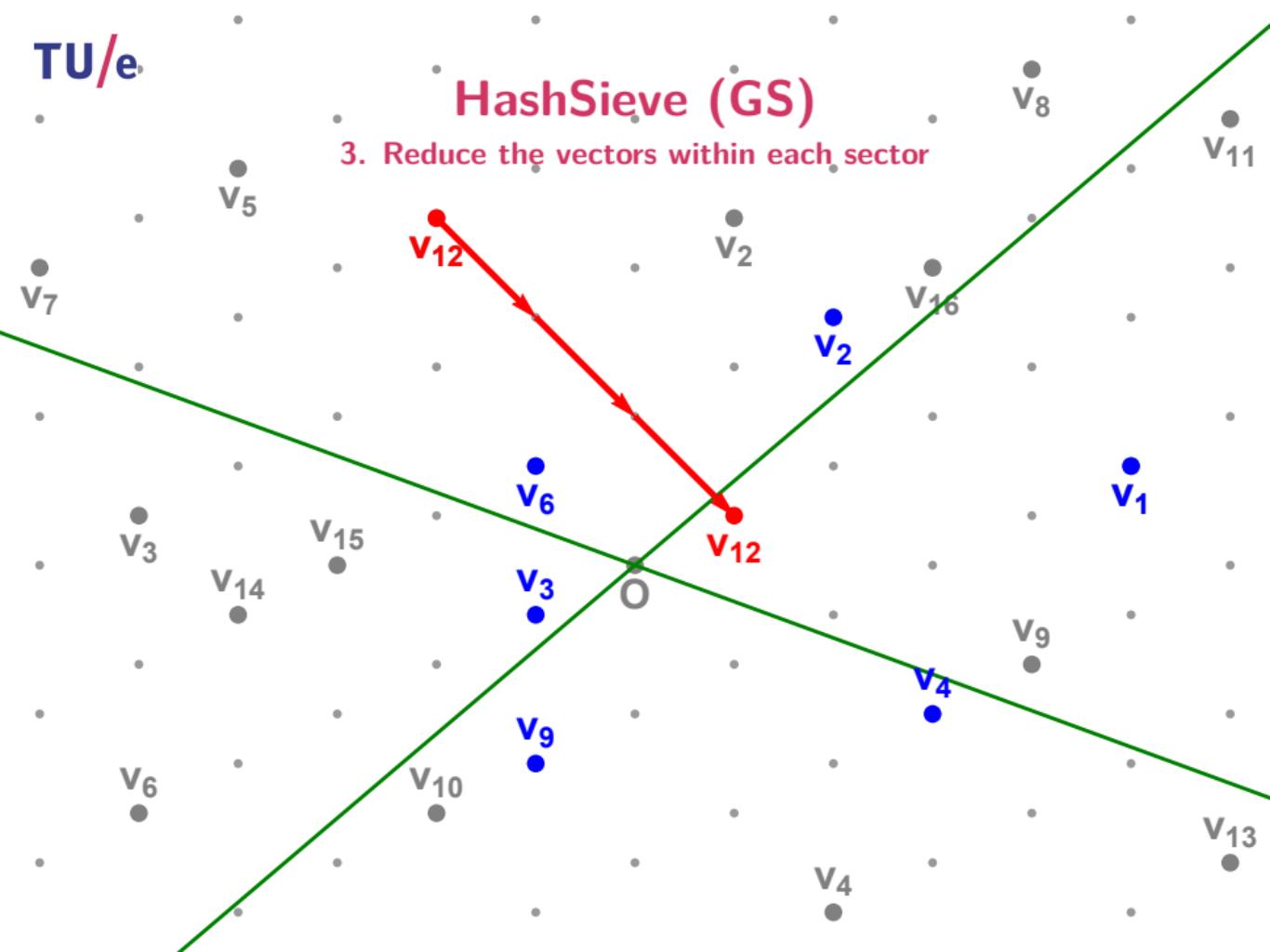
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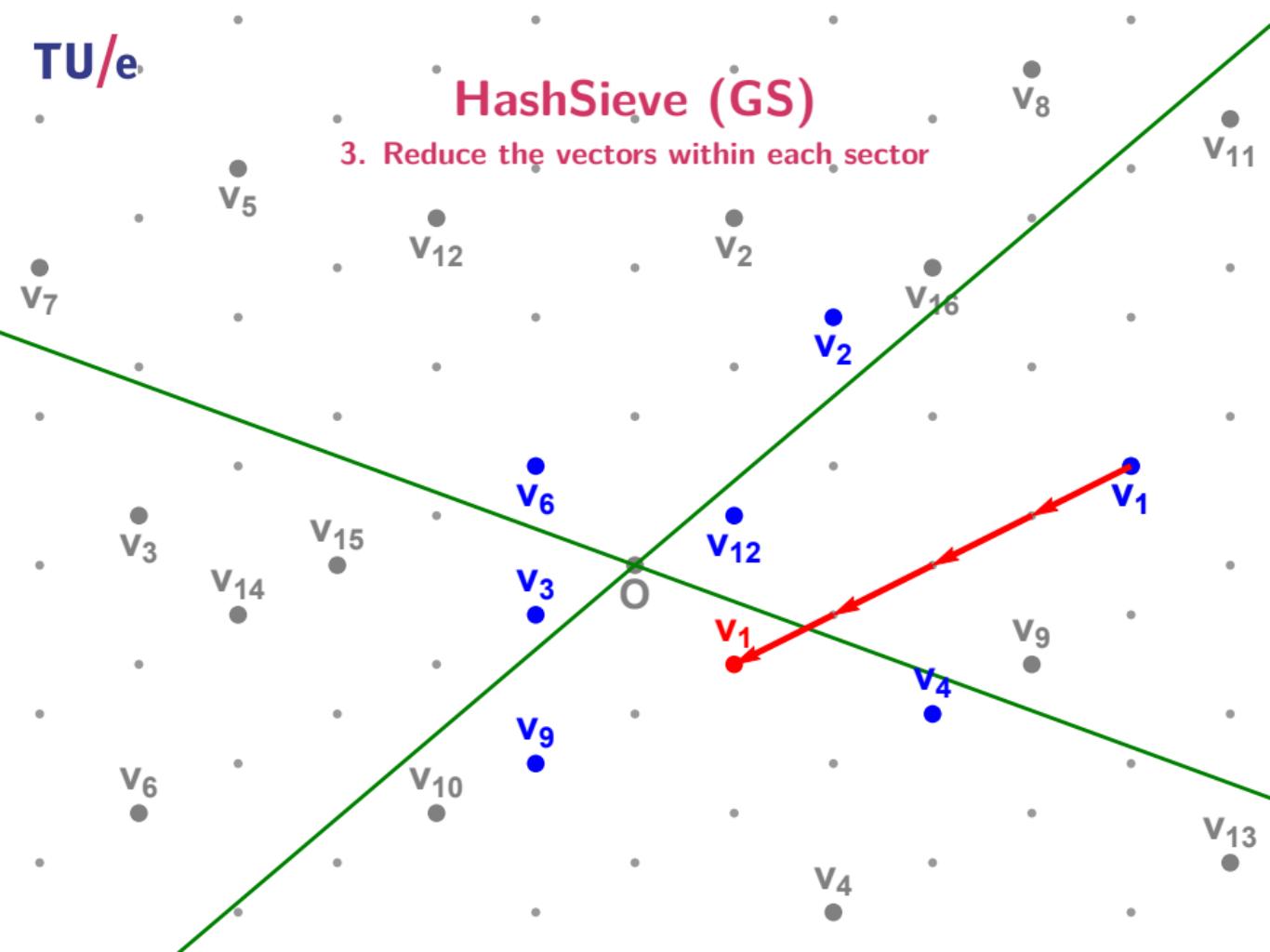
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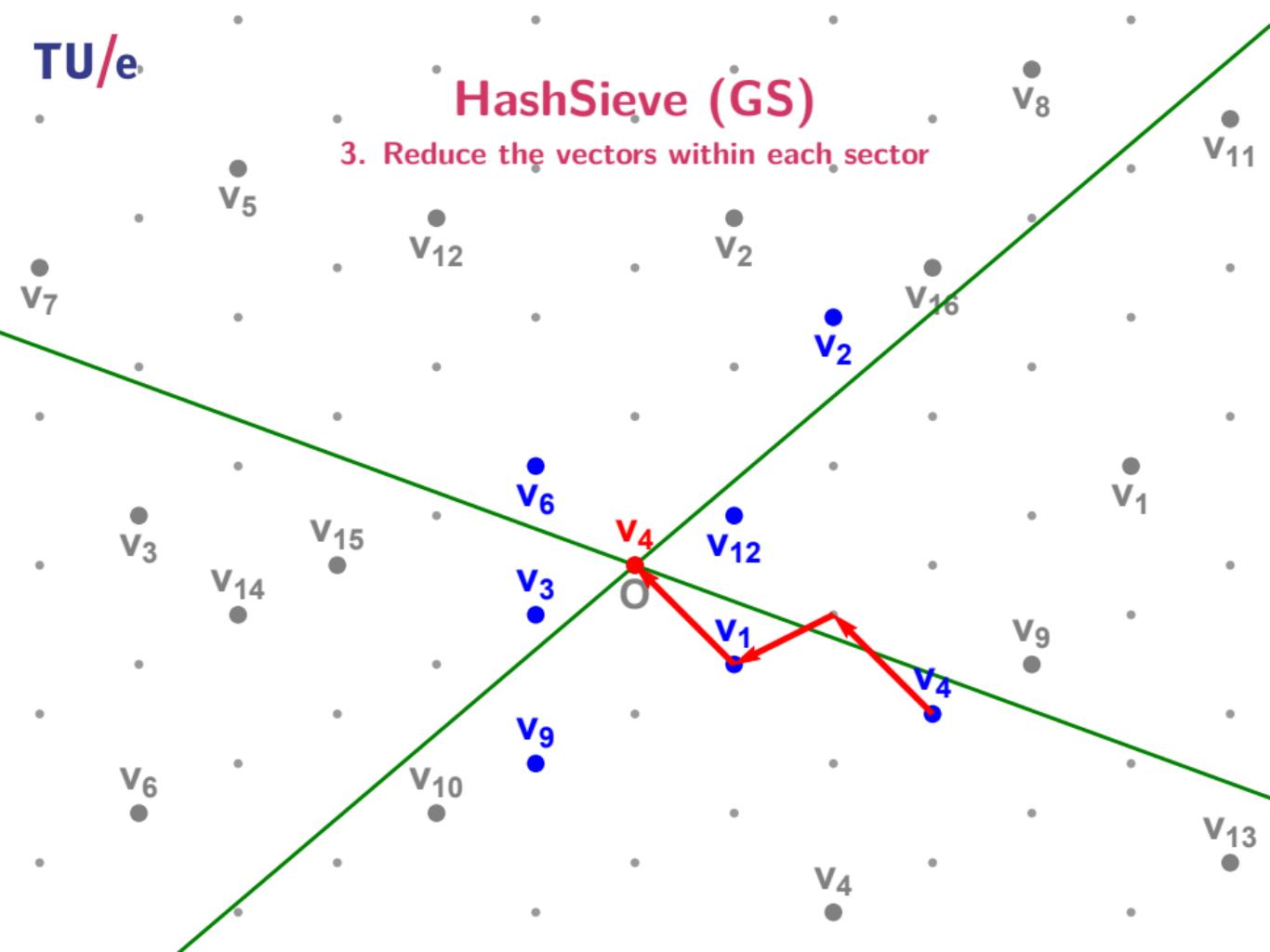
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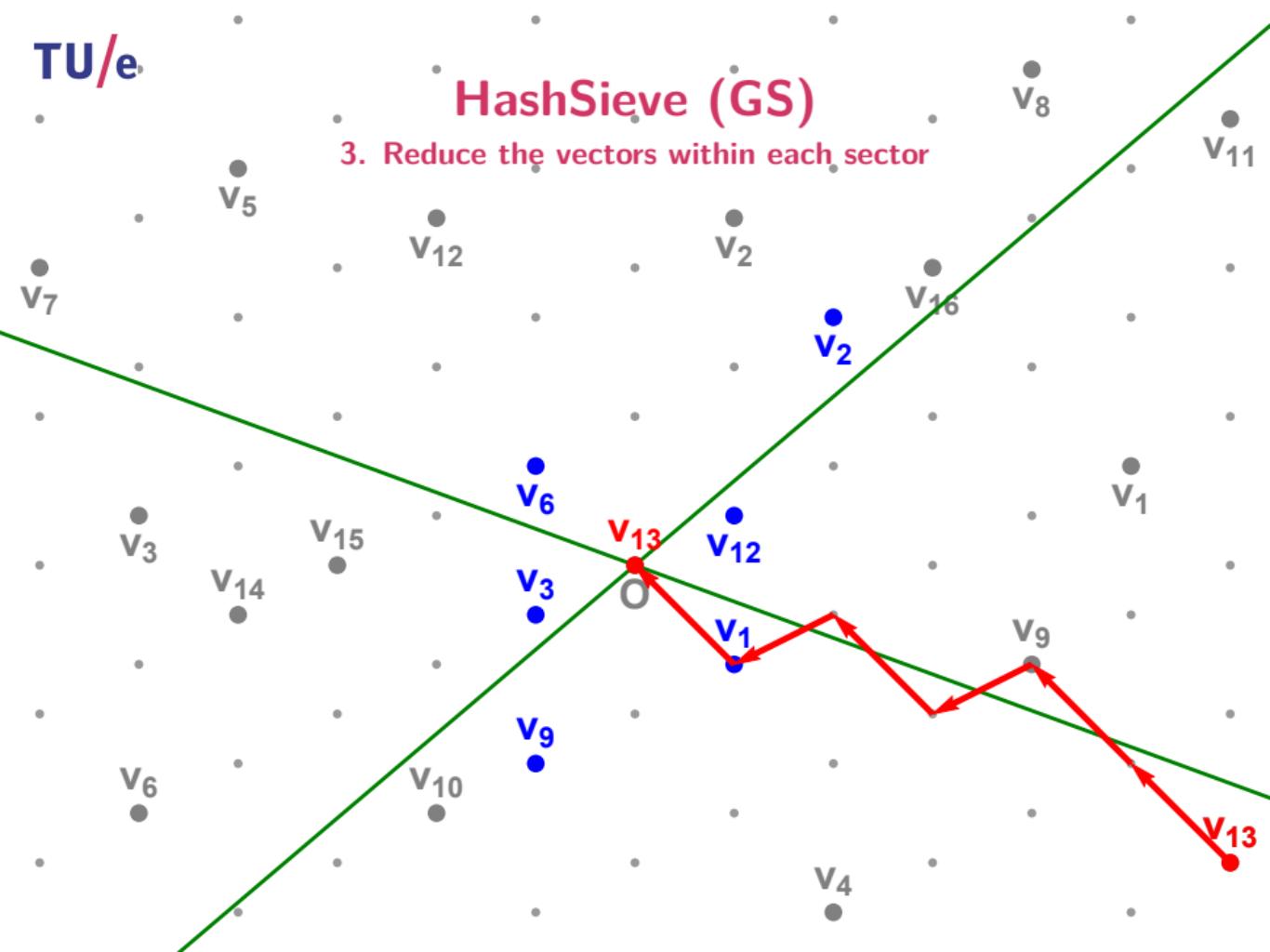
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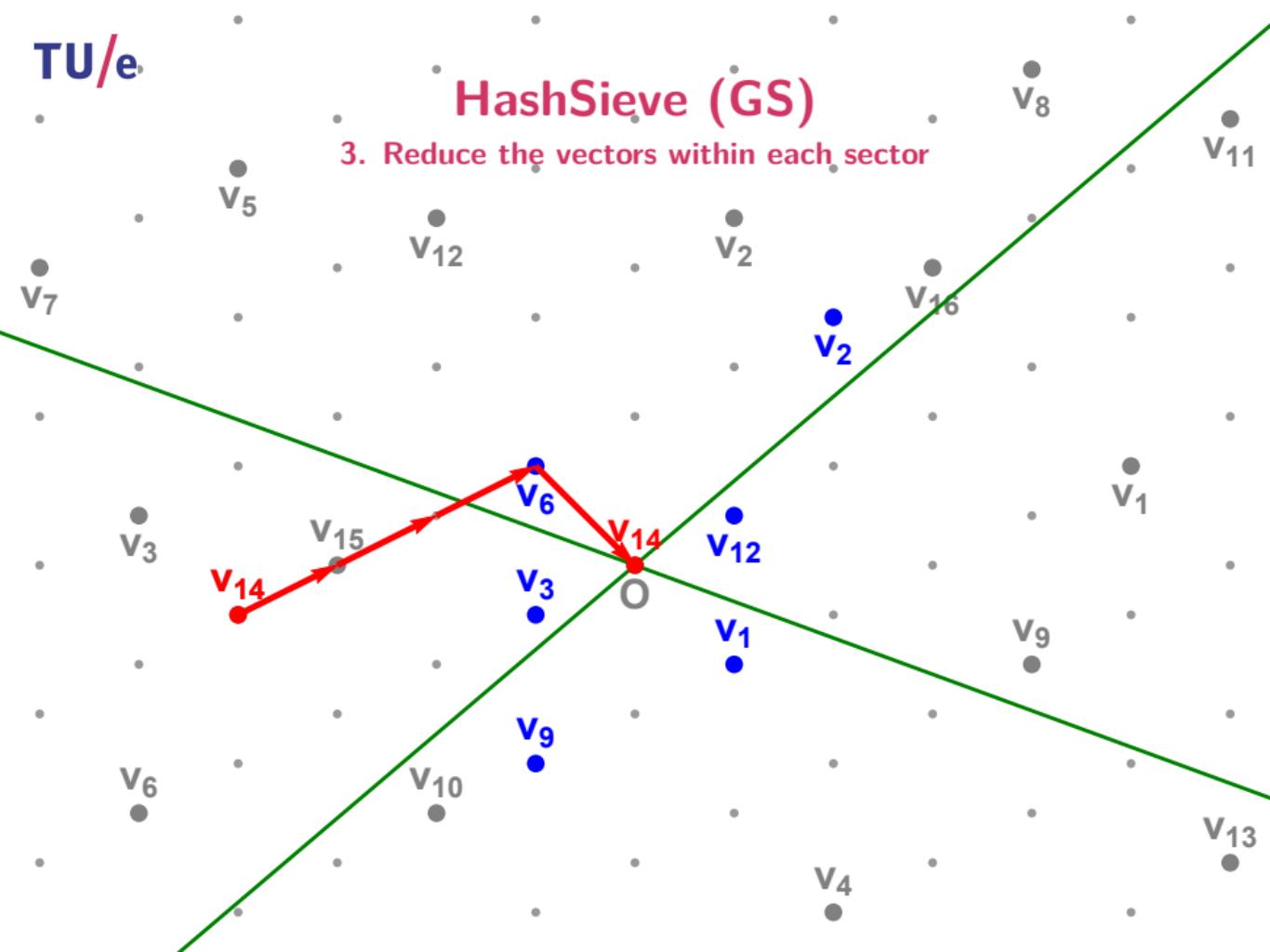
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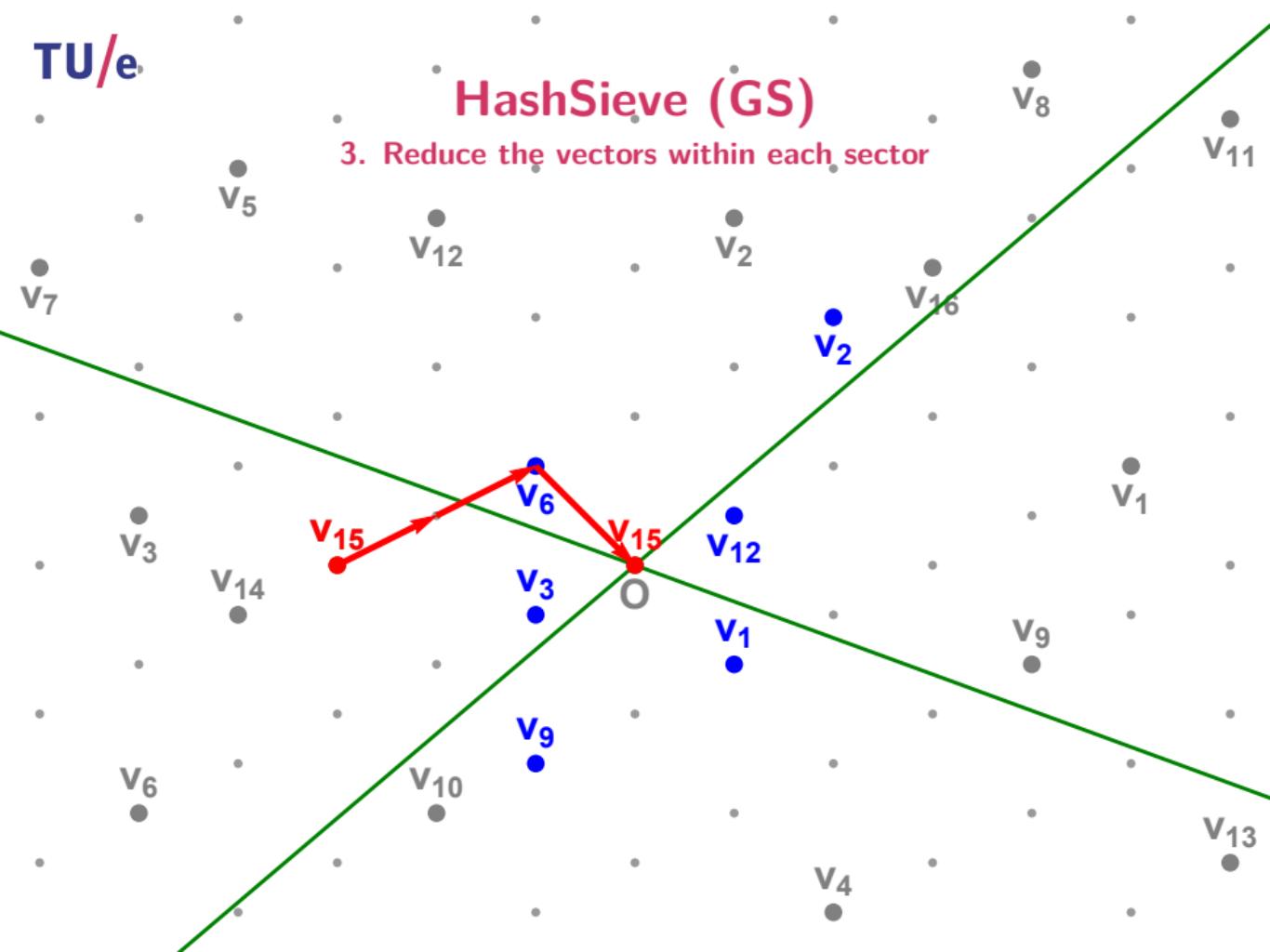
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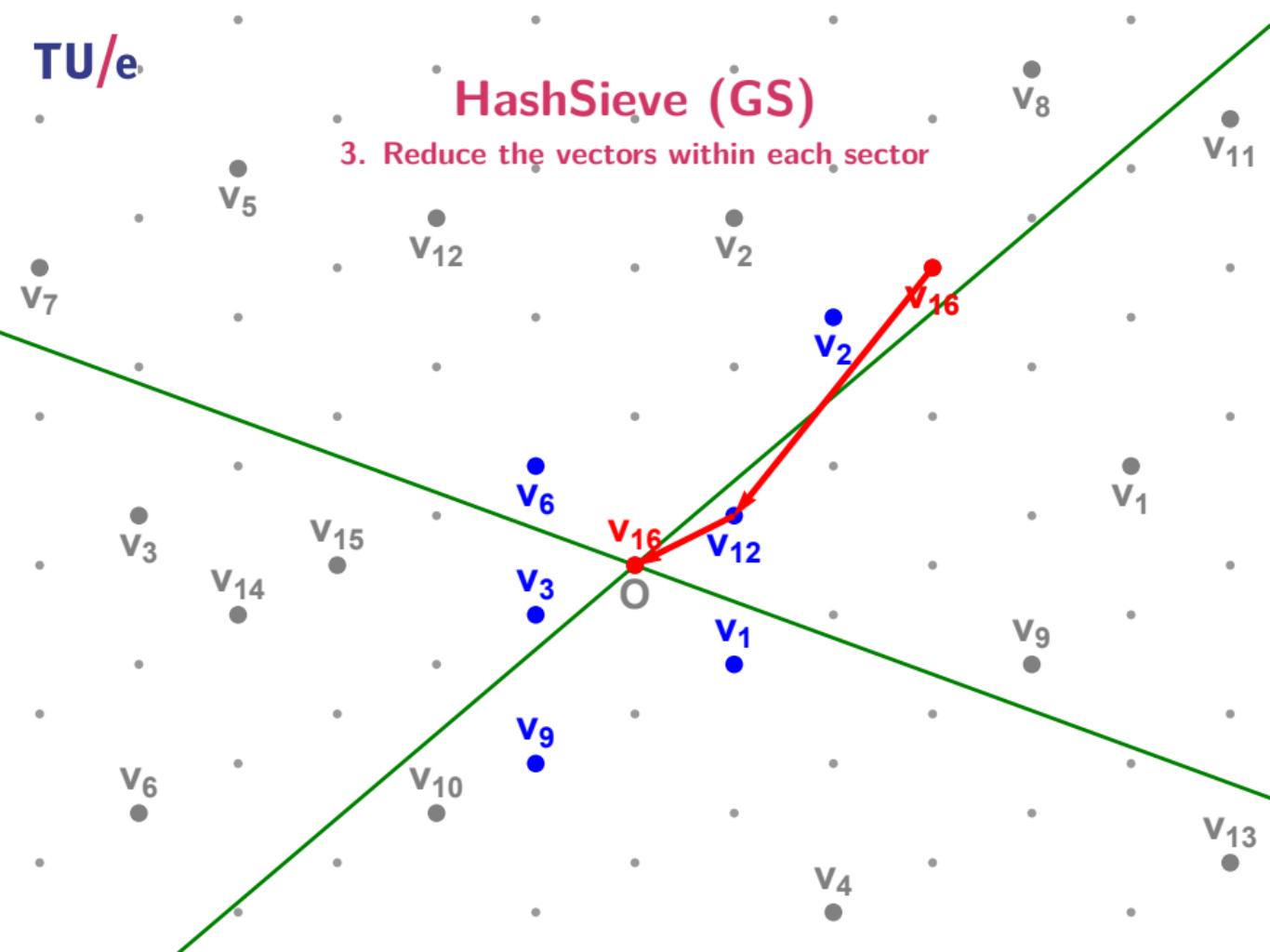
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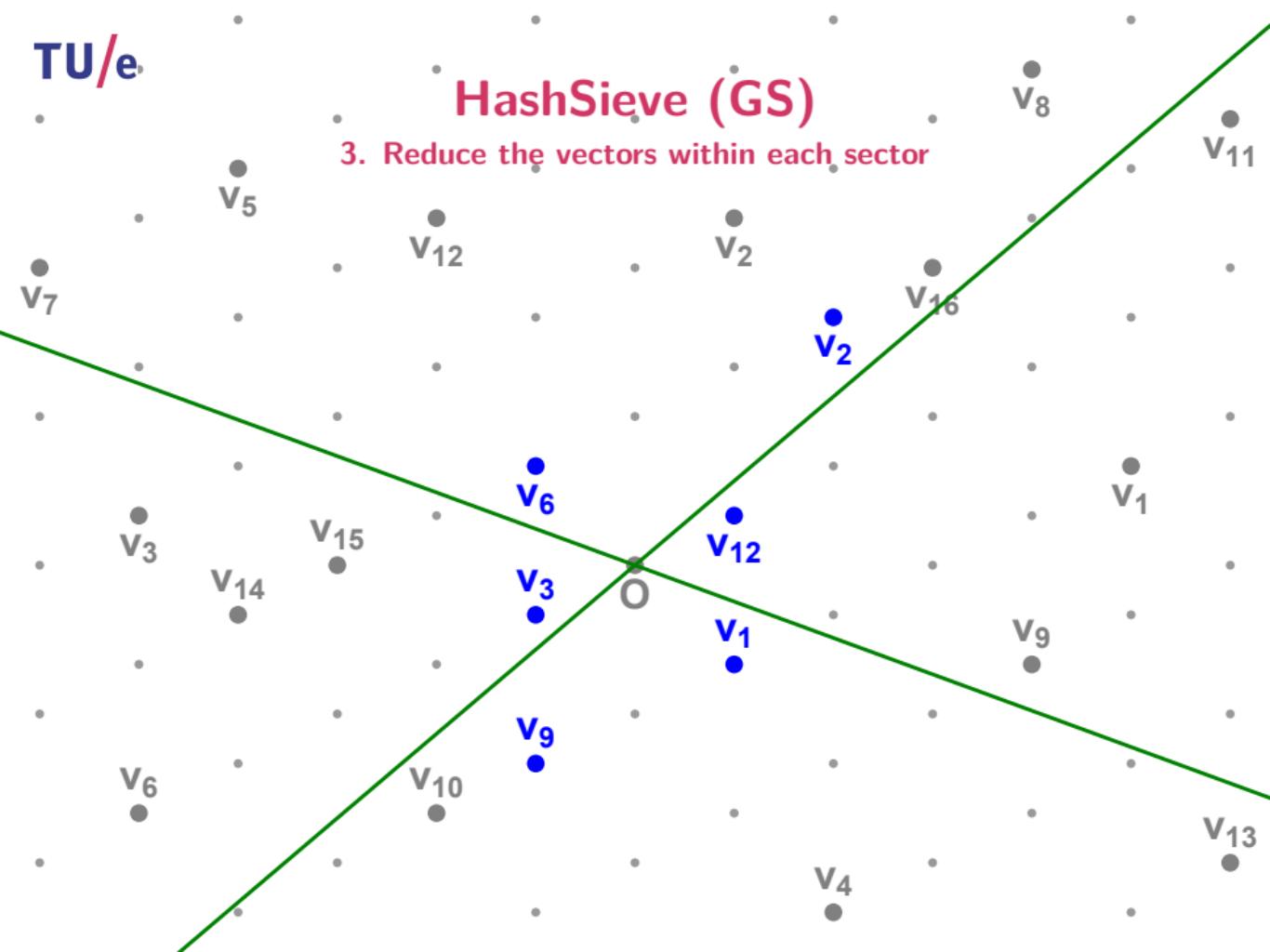
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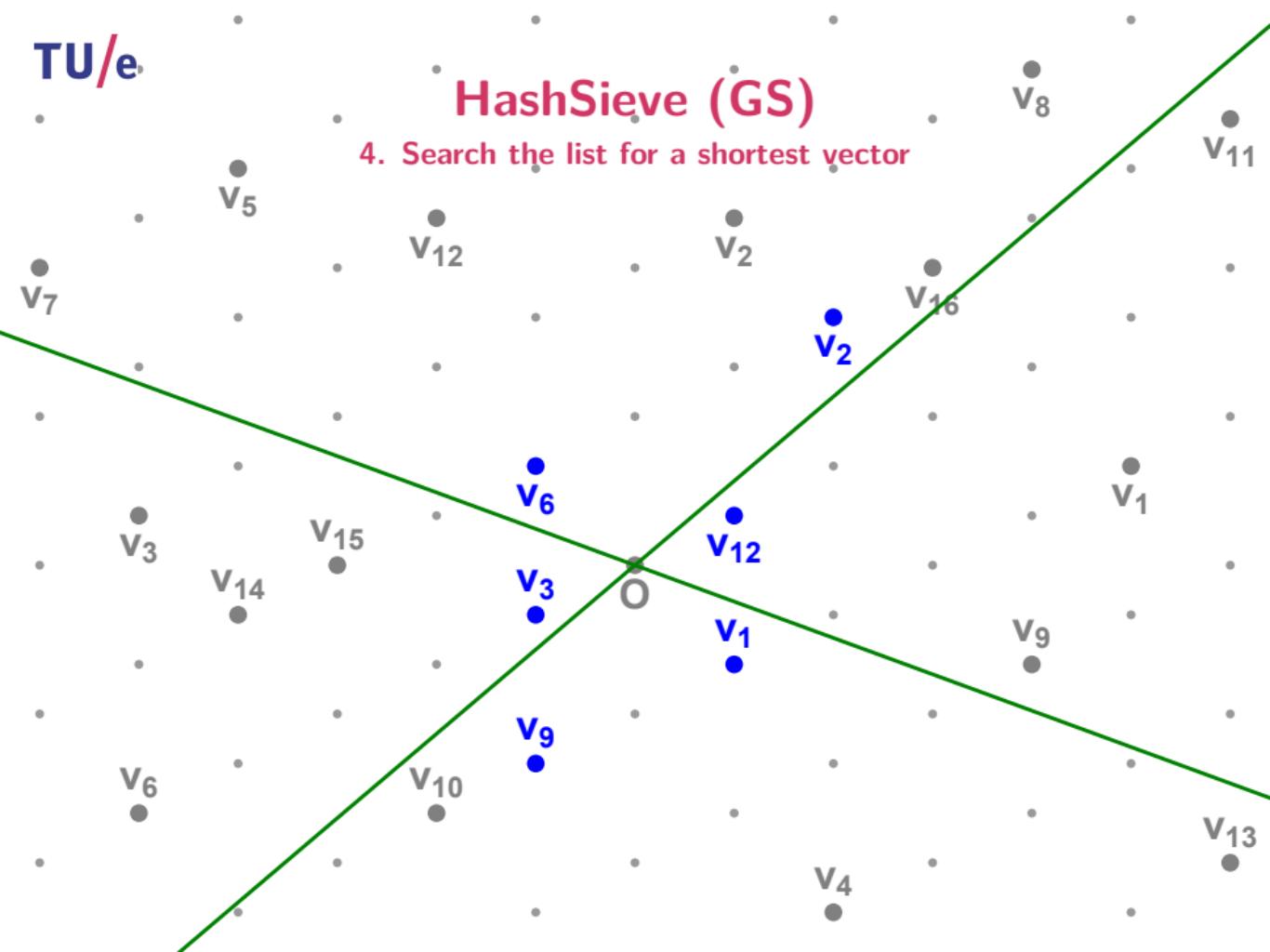
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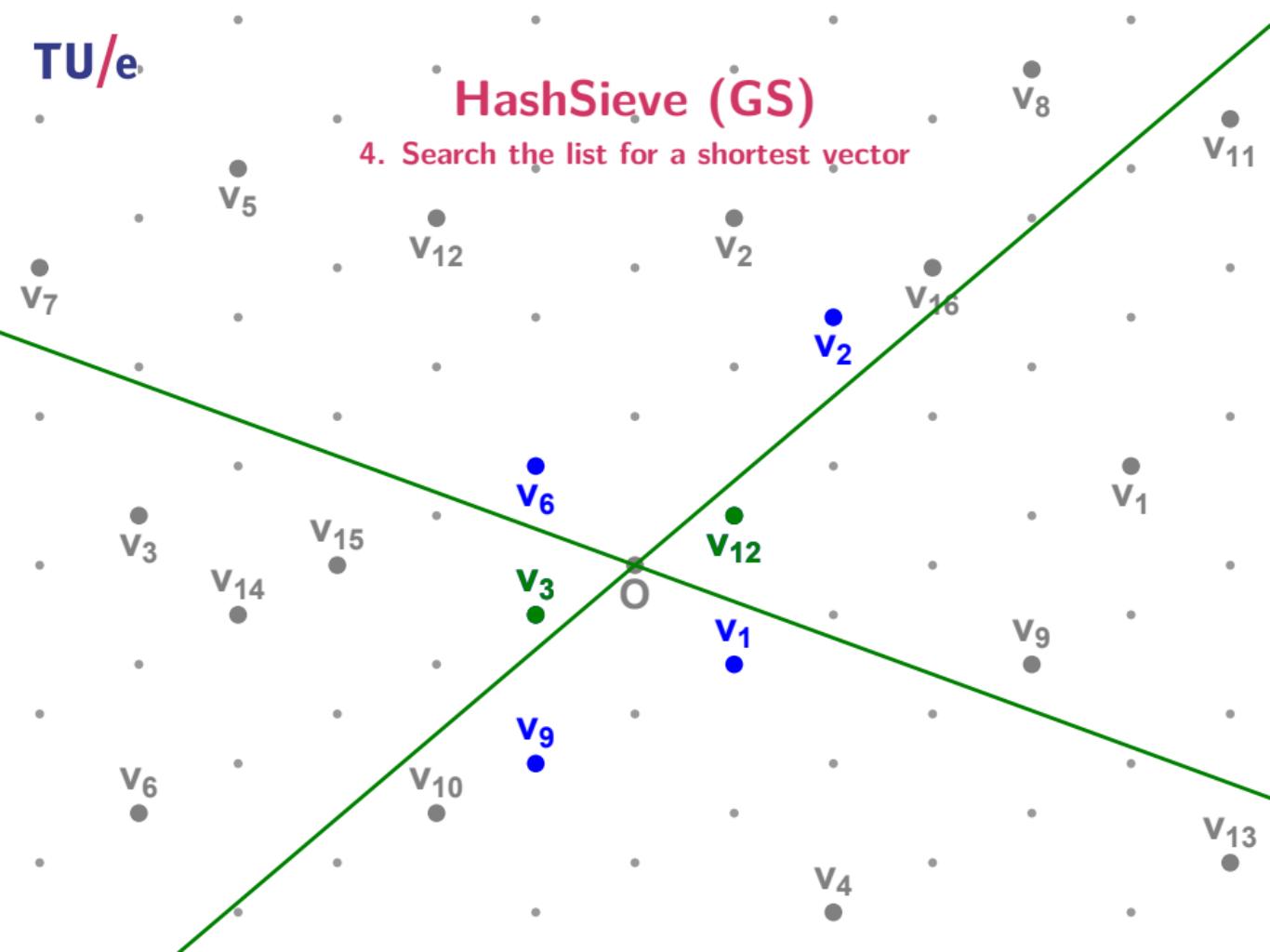
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4. Search the list for a shortest vector



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# HashSieve (GS)

## Overview



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- Two parameters to tune
  - ▶  $k = O(n)$ : Number of hyperplanes, leading to  $2^k$  regions
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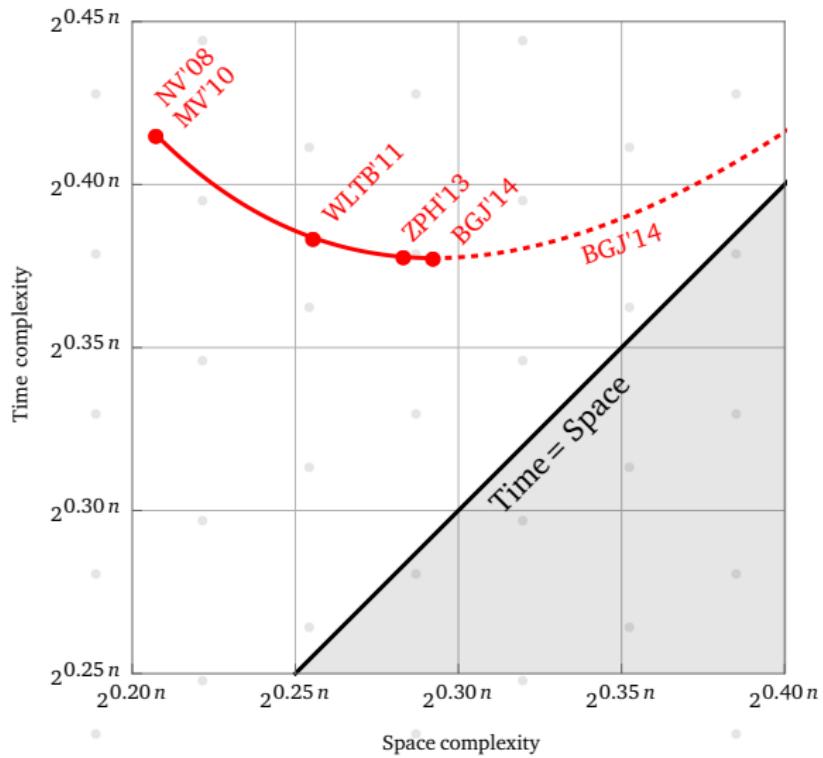
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## Heuristic

The HashSieve (GS) runs in time and space  $2^{0.34n+o(n)}$ .

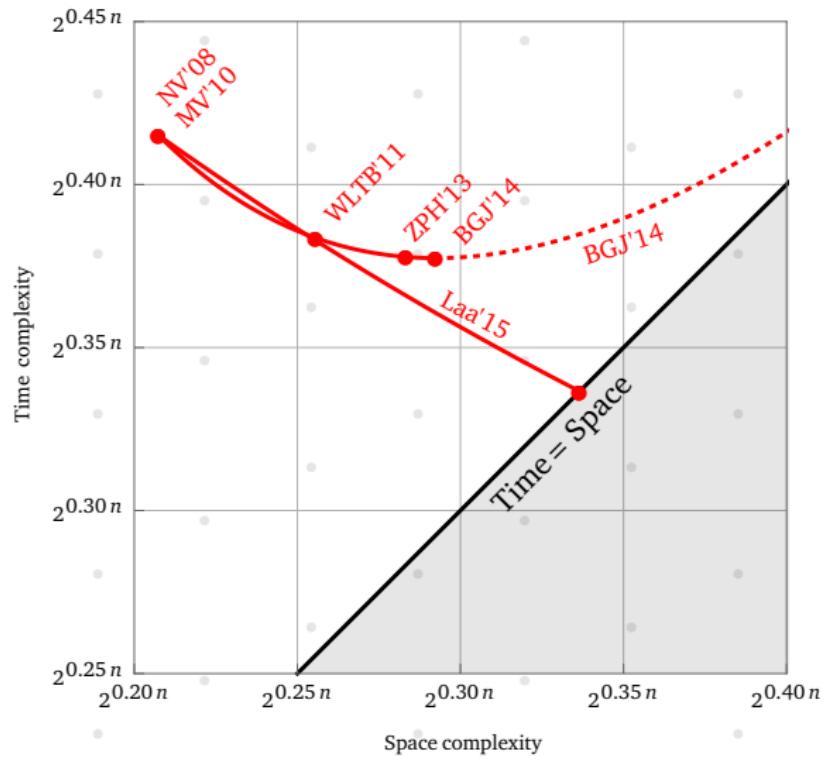
# HashSieve (GS)

## Space/time trade-off



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## Space/time trade-off



# HashSieve (GS)

## Experimental results

Dimension	90	92	94	96	98	100
Hyperplanes ( $k$ )	20	20	21	21	22	22
Hash tables ( $t$ )	3126	3738	4470	465	533	637
Probing?	N	N	N	Y	Y	Y
BKZ- $\beta$ preproc.	34	34	34	34	40	40
Used vectors ( $\cdot 10^6$ )	2.43	3.00	4.50	5.57	7.05	10.05
Time (hours)	0.86	1.72	3.74	6.52	10.03	18.19
Memory (GB)	310	380	872	95	113	256

# HashSieve (GS)

## SVP challenge

22	118	2782	0	Kenji Kashiwabara and Masaharu Fukase	<a href="#">vec</a>	Other	2013-08-12	1.00811
23	118	2868	8	Yuanmi Chen and Phong Nguyen	<a href="#">vec</a>	ENUM	2013-02-13	1.04441
24	116	2743	0	Thorsten Kleinjung	<a href="#">vec</a>	Sieving	2014-05-2	1.00492
25	116	2764	0	Kenji Kashiwabara and Masaharu Fukase	<a href="#">vec</a>	Other	2014-03-21	1.01287
26	116	2786	0	Kenji Kashiwabara and Masaharu Fukase	<a href="#">vec</a>	Other	2013-08-3	1.02075
40	108	2508	0	Yuanmi Chen and Phong Nguyen	<a href="#">vec</a>	Other	2010-06-16	0.95162
41	108	2755	0	Yuanmi Chen and Phong Nguyen	<a href="#">vec</a>	Other	2010-05-30	1.04519
42	107	2626	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.00056
43	107	2713	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03379
44	107	2716	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03490
45	107	2719	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03609
46	107	2720	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03657
47	107	2721	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03688
48	107	2722	0	Artur Mariano	<a href="#">vec</a>	Sieving	2015-05-22	1.03721
49	107	2724	8	Po-Chun Kuo, Michael Schneider	<a href="#">vec</a>	ENUM,BKZ	2011-03-12	1.03655

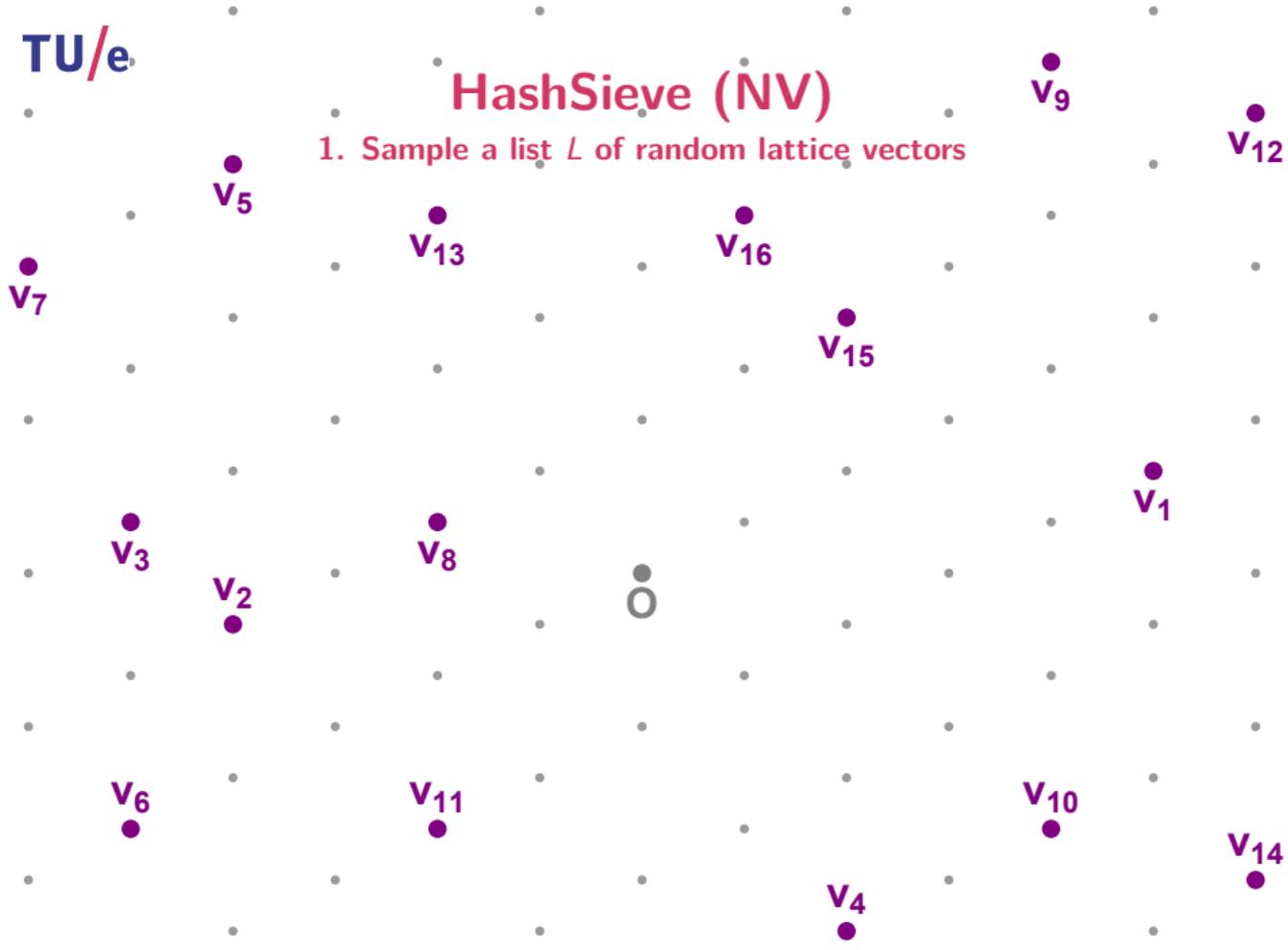
# HashSieve (NV)

1. Sample a list  $L$  of random lattice vectors



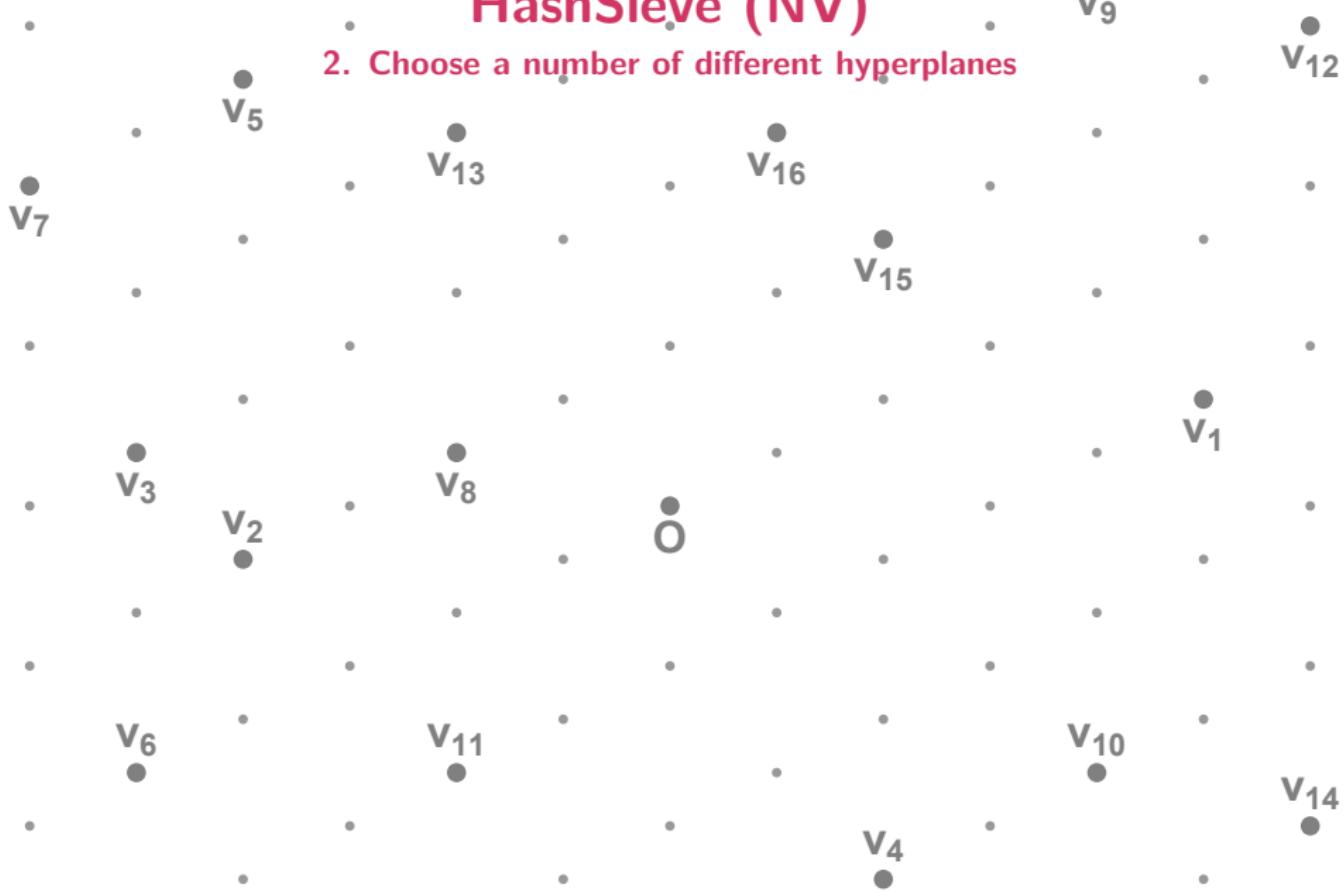
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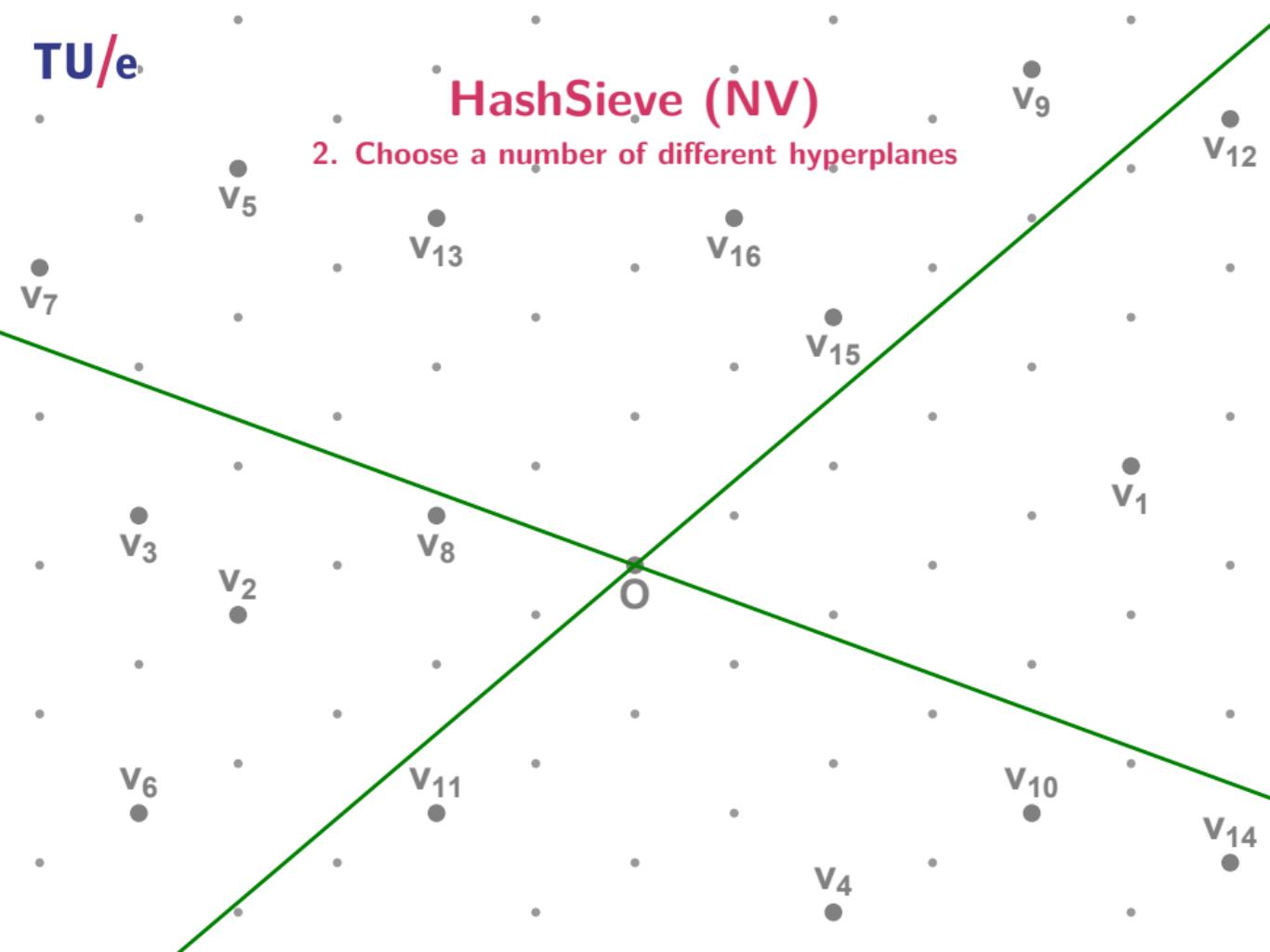
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2. Choose a number of different hyperplanes



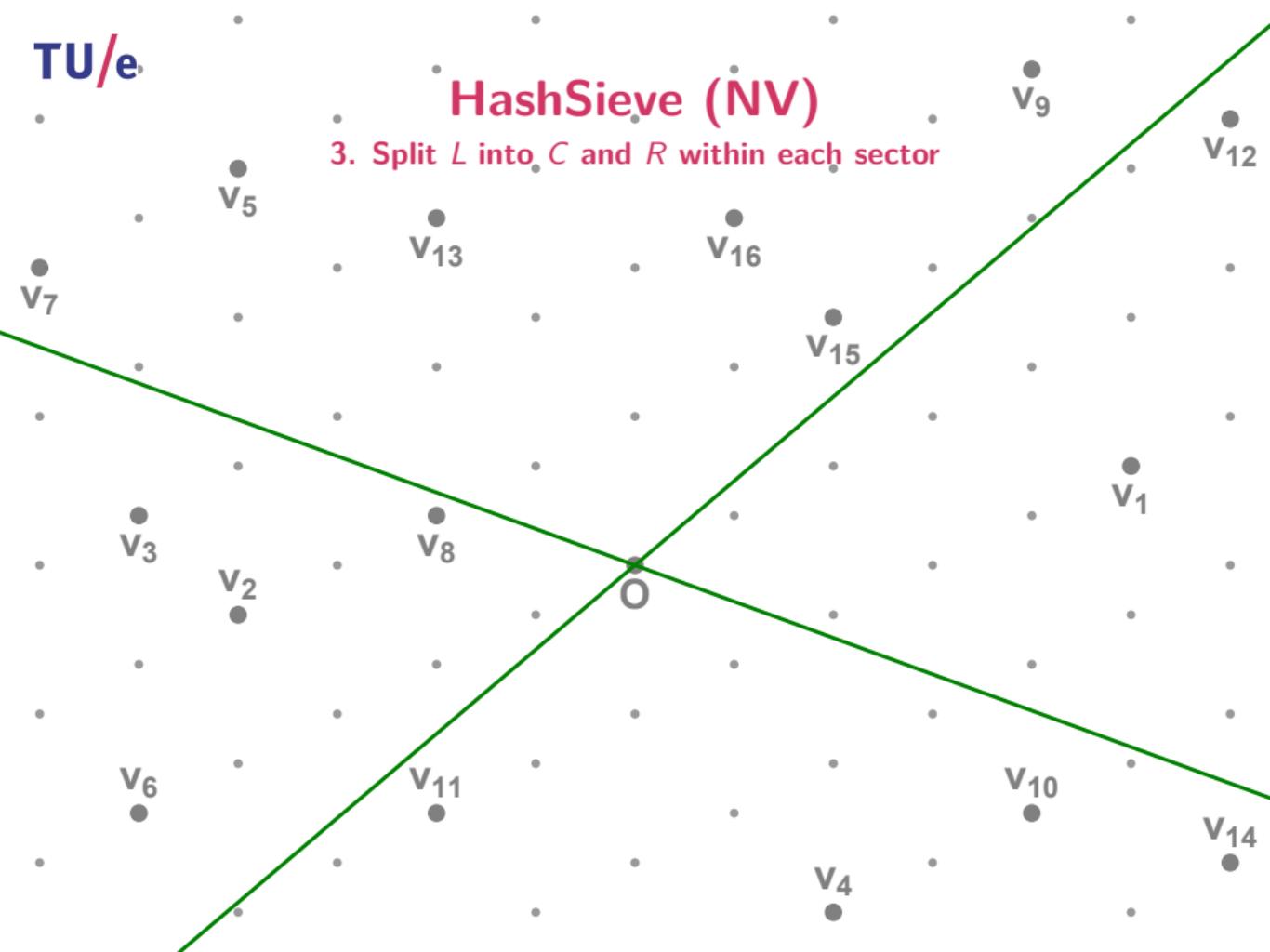
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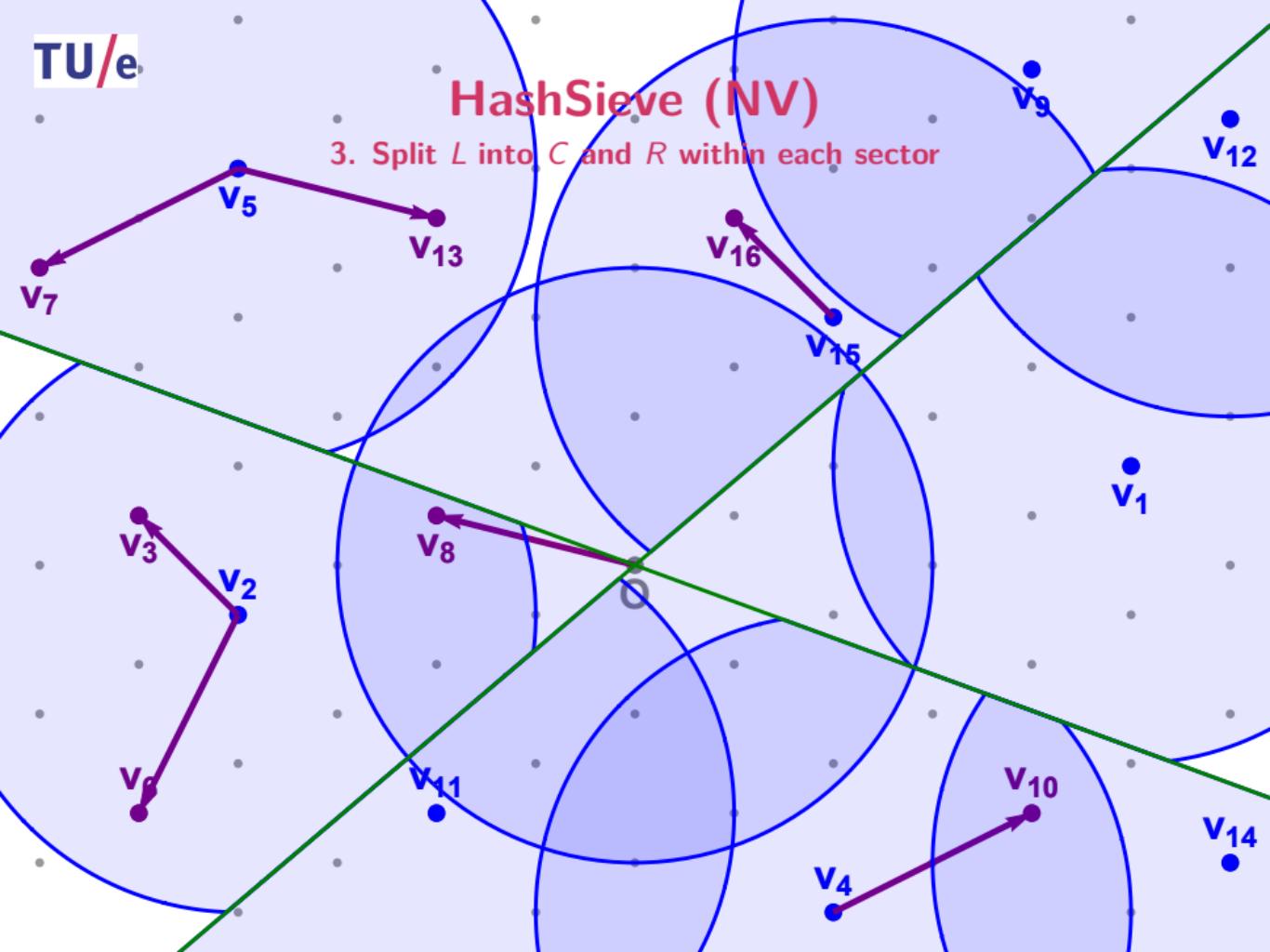
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3. Split  $L$  into  $C$  and  $R$  within each sector



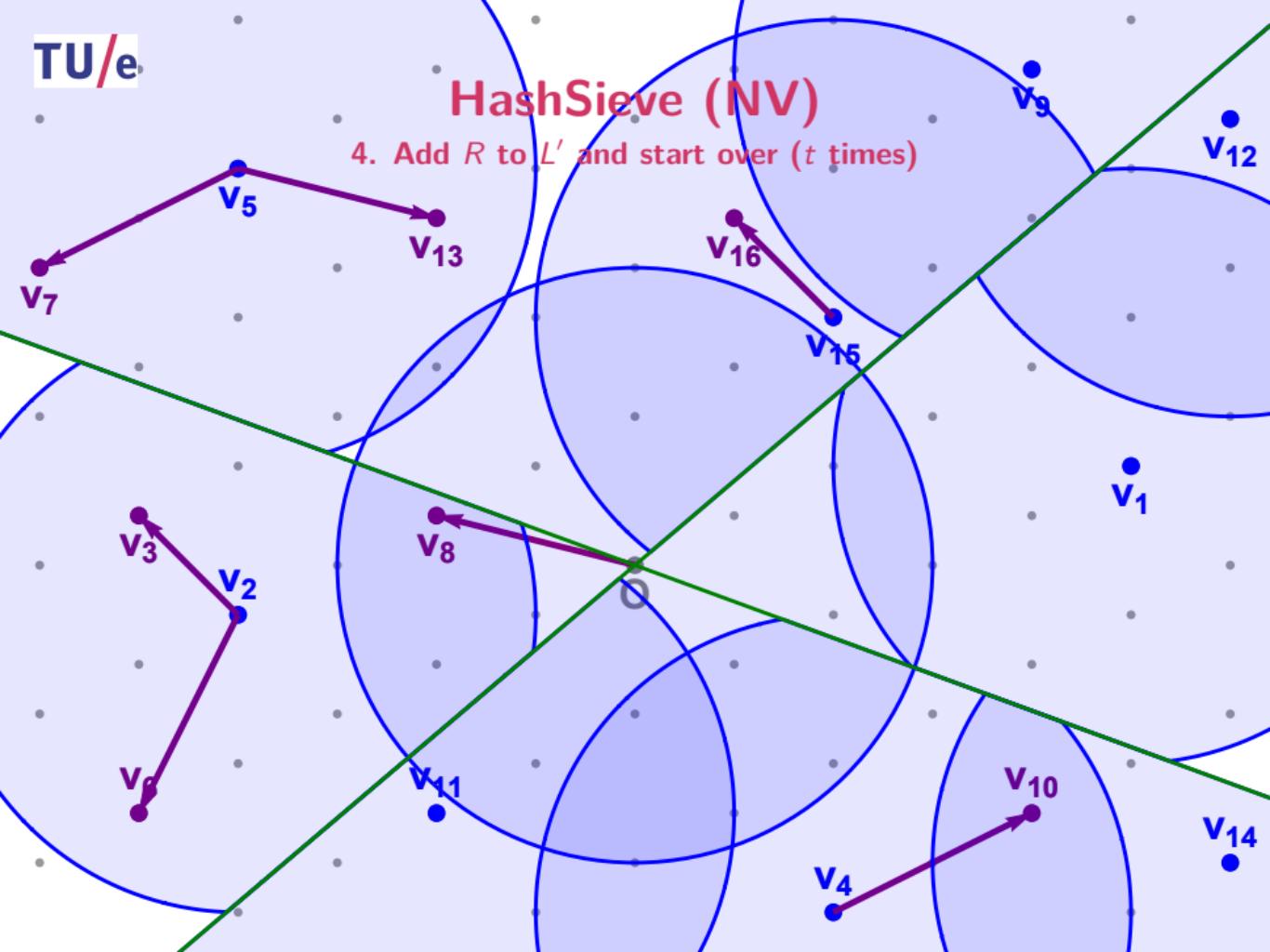
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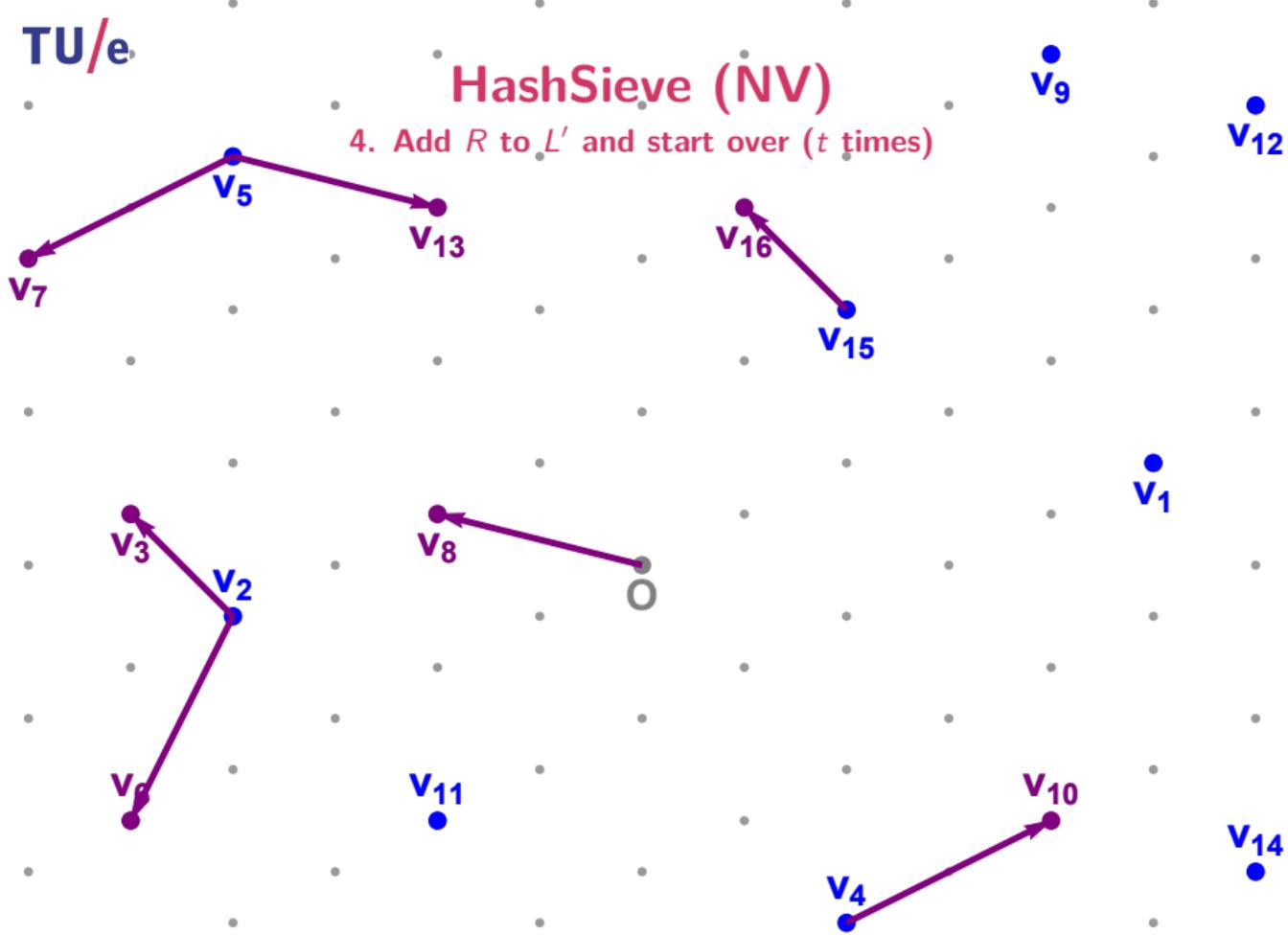
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4. Add  $R$  to  $L'$  and start over ( $t$  times)



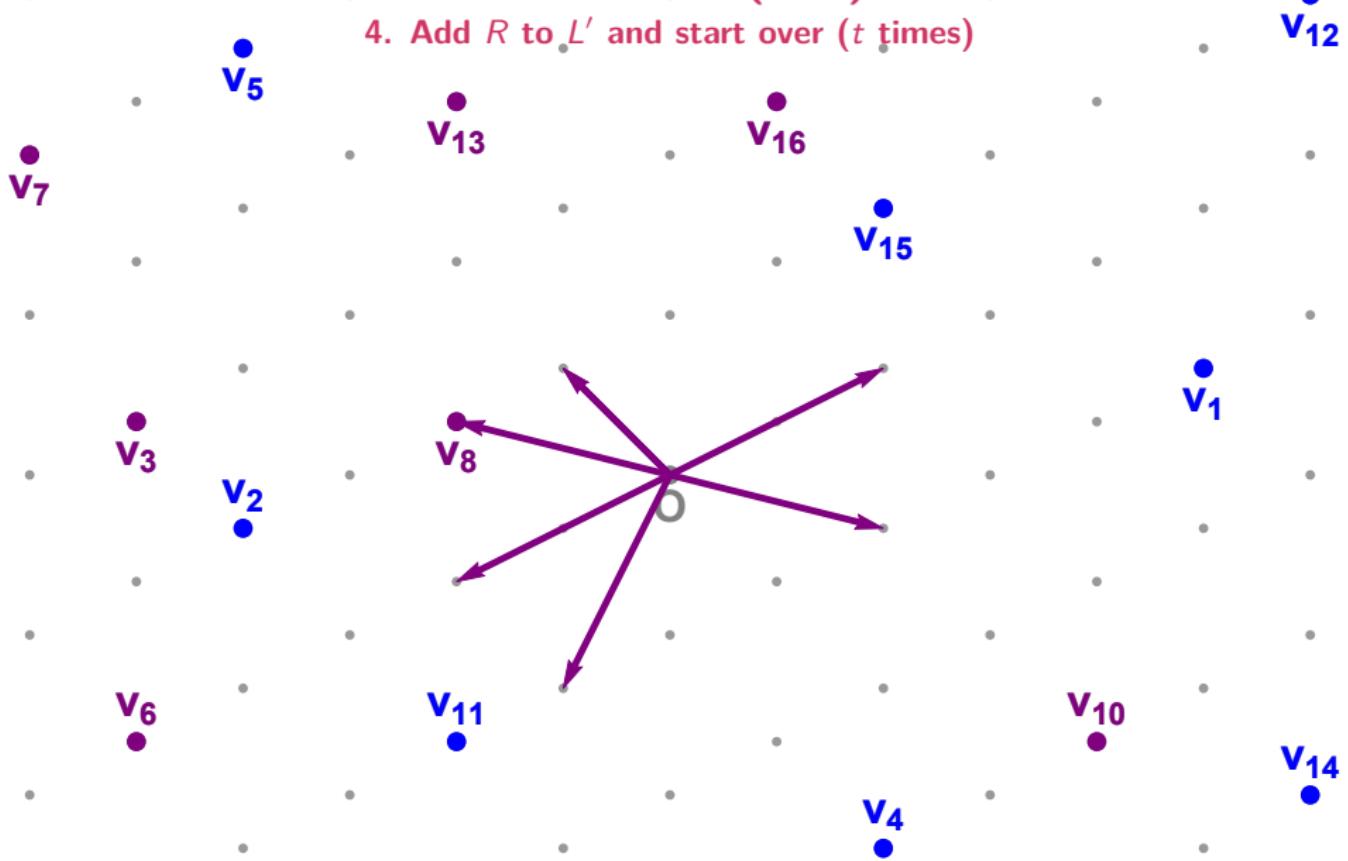
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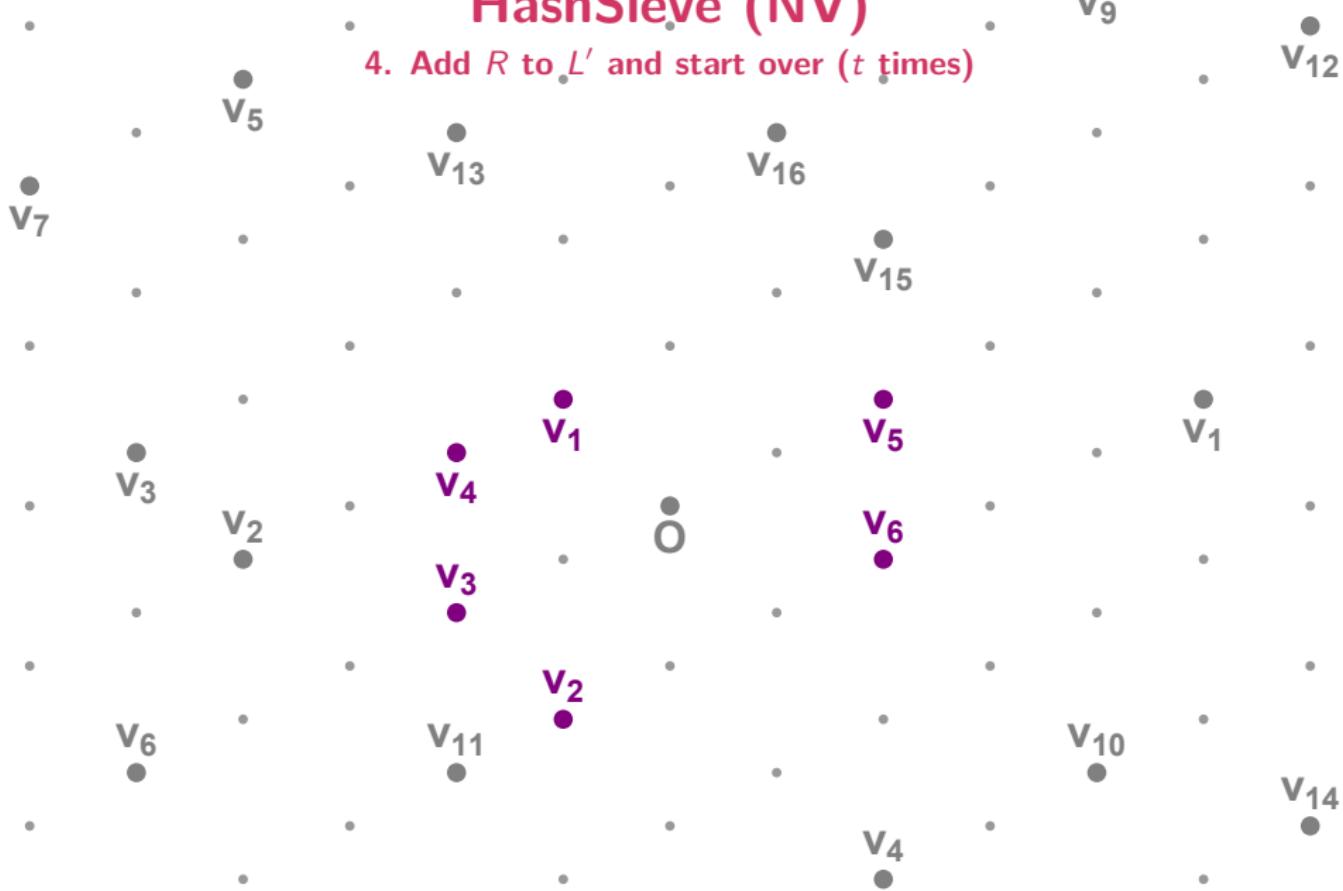
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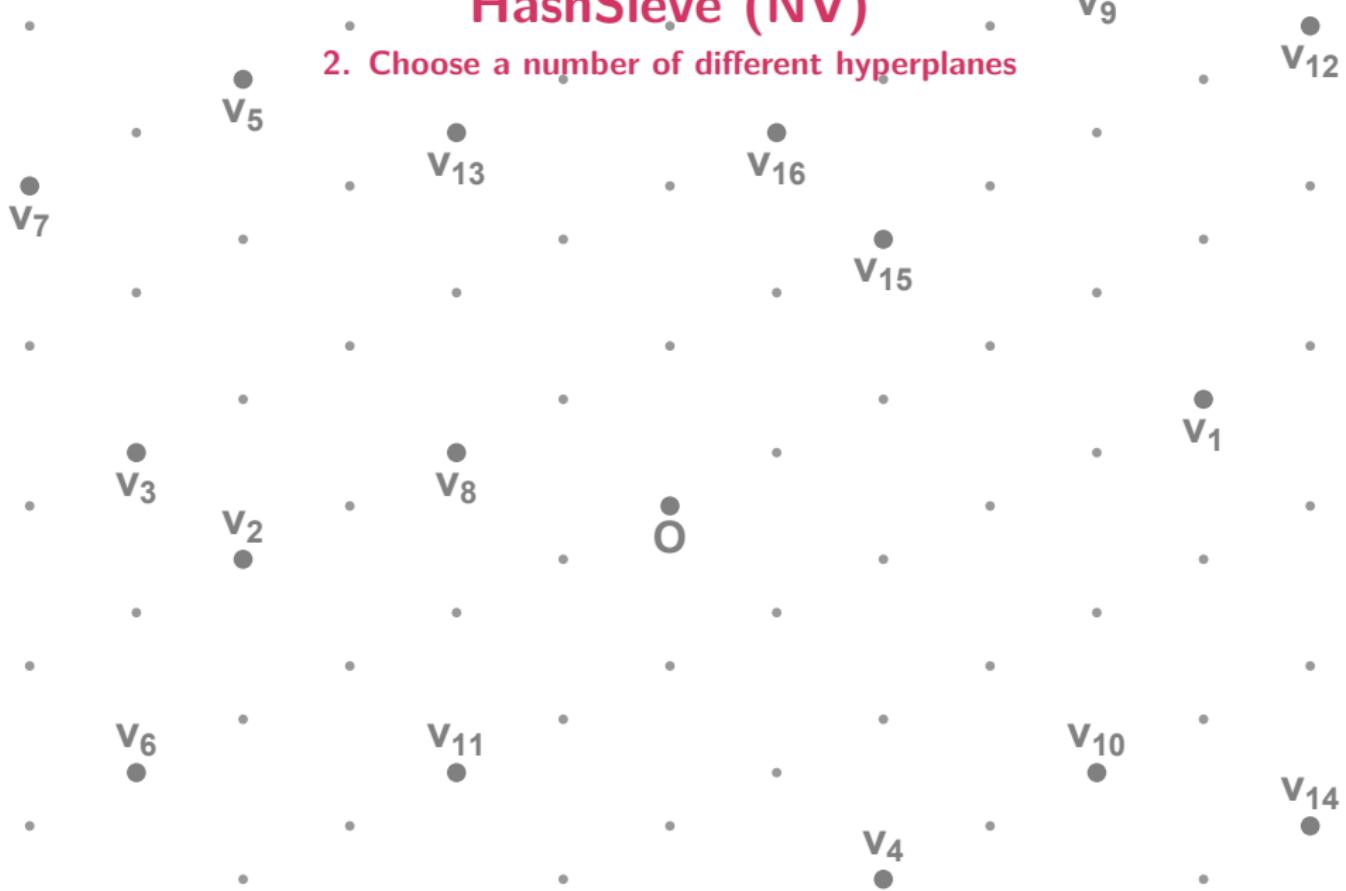
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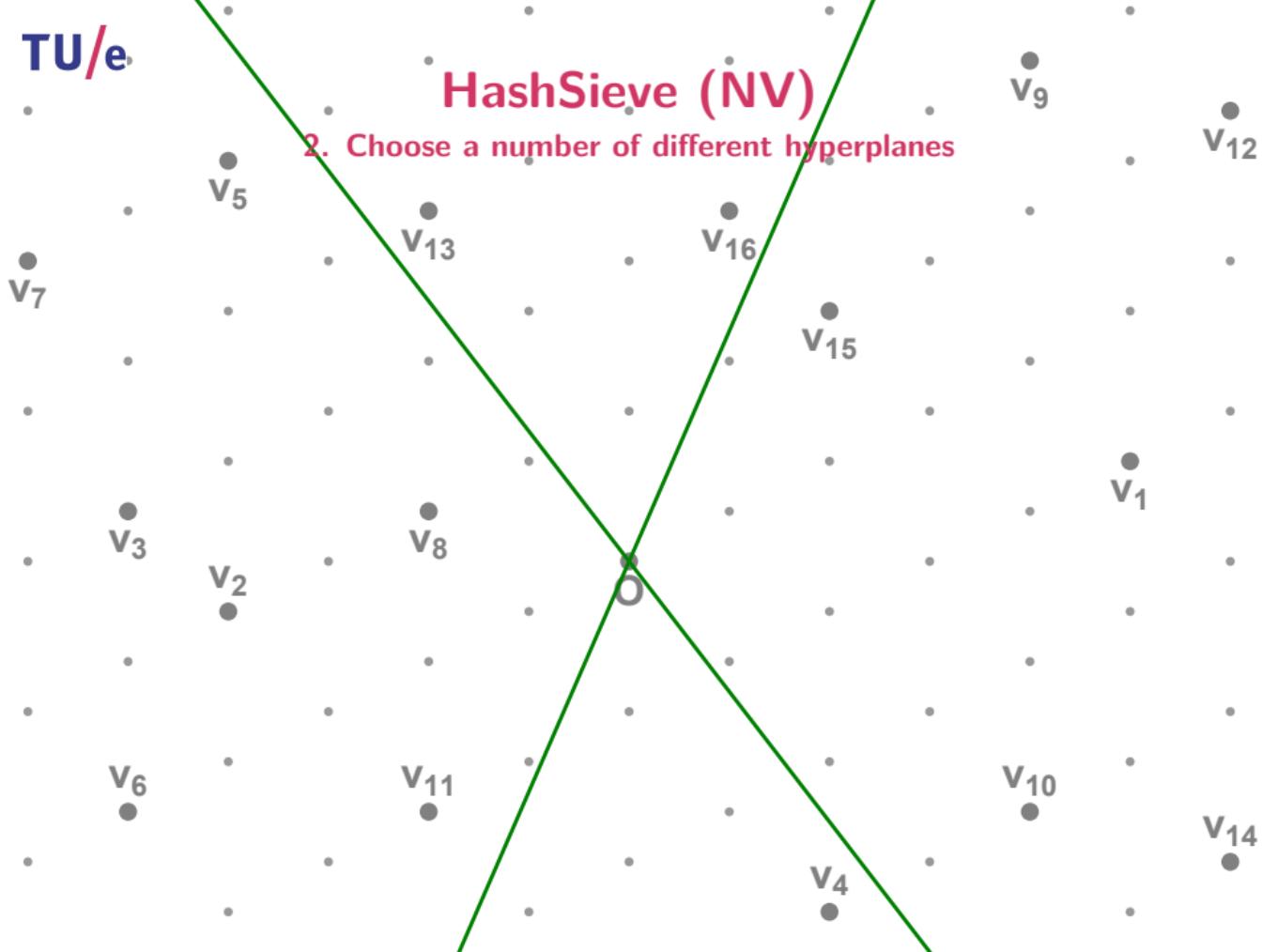
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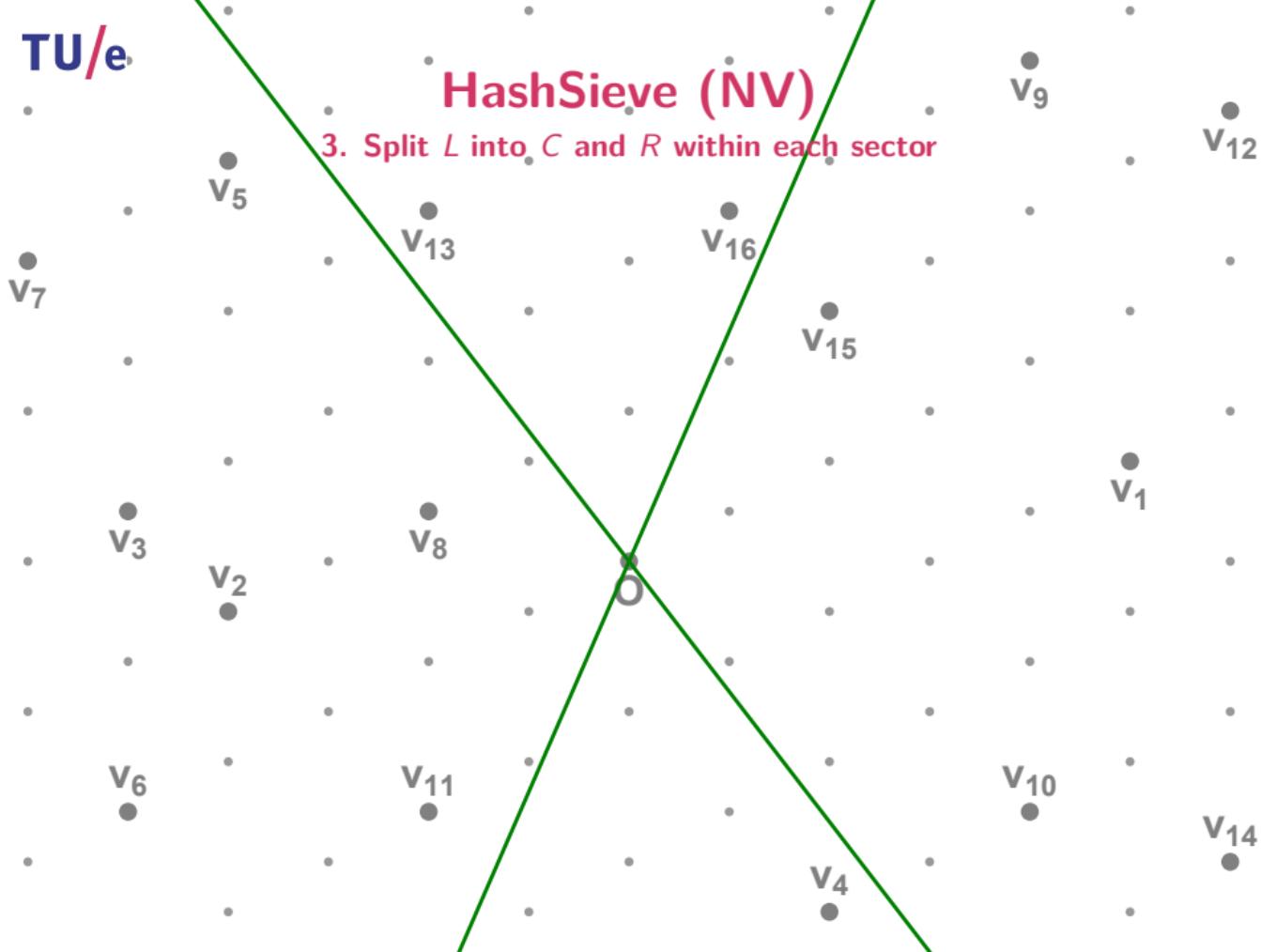
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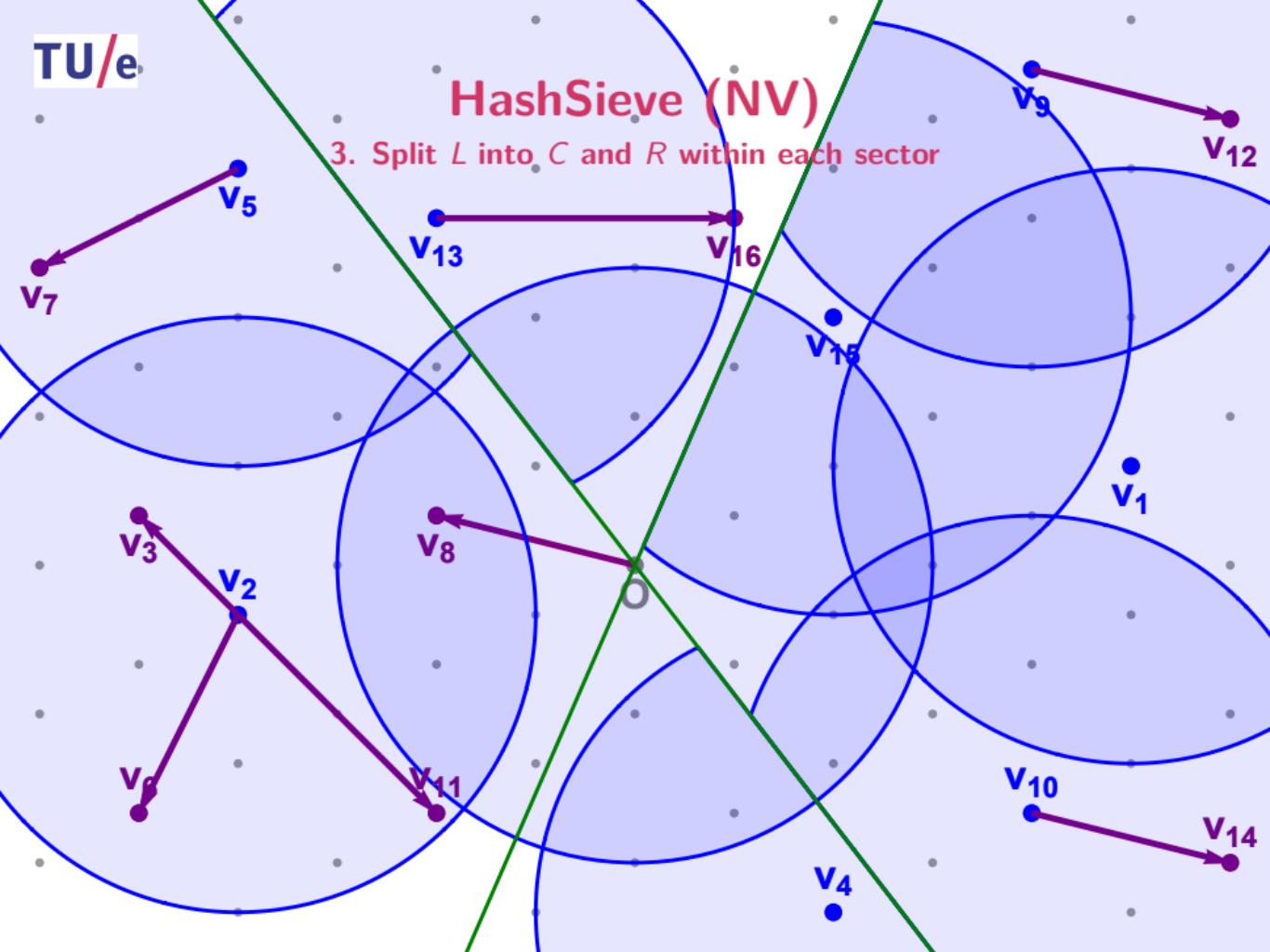
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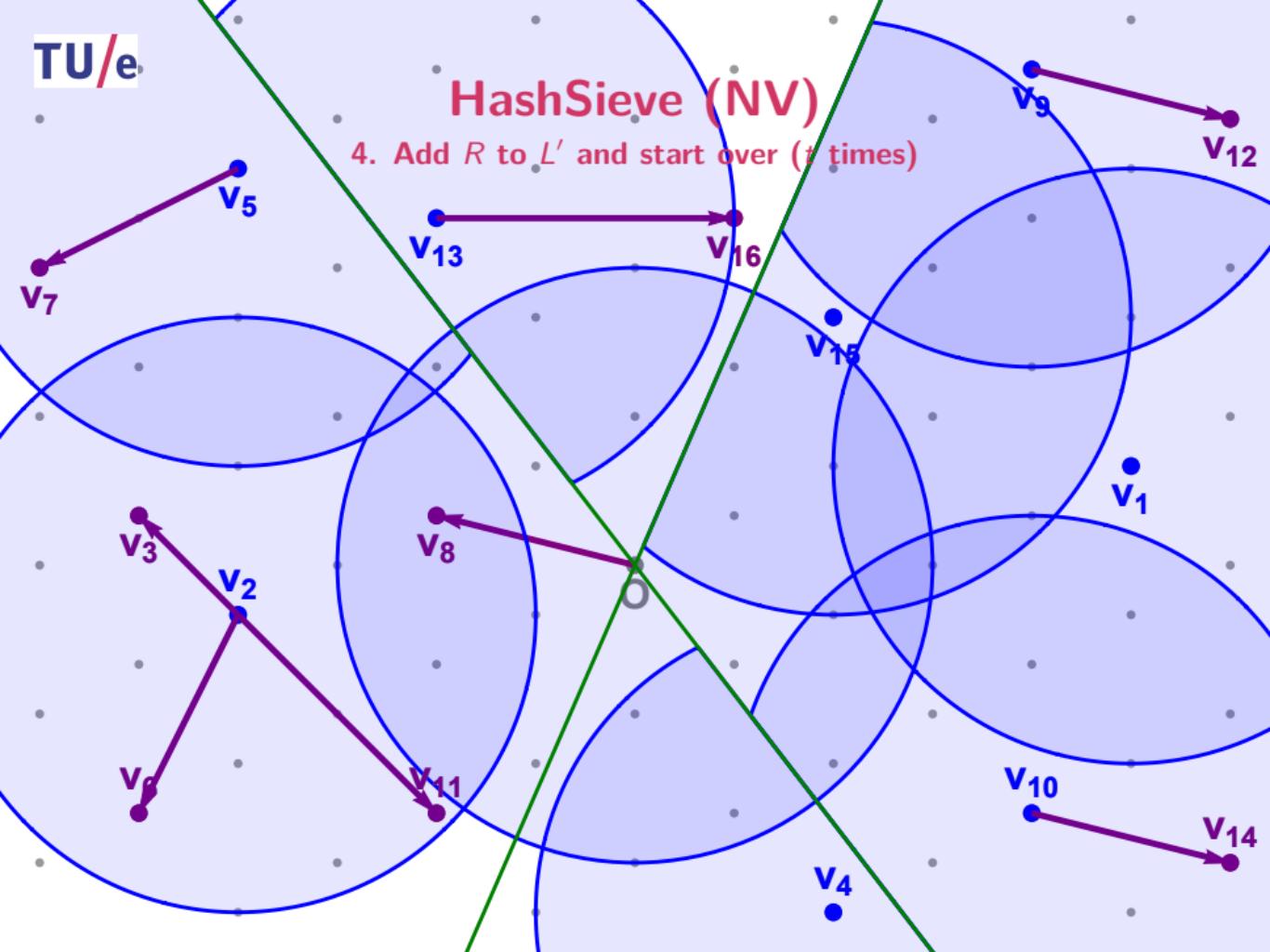
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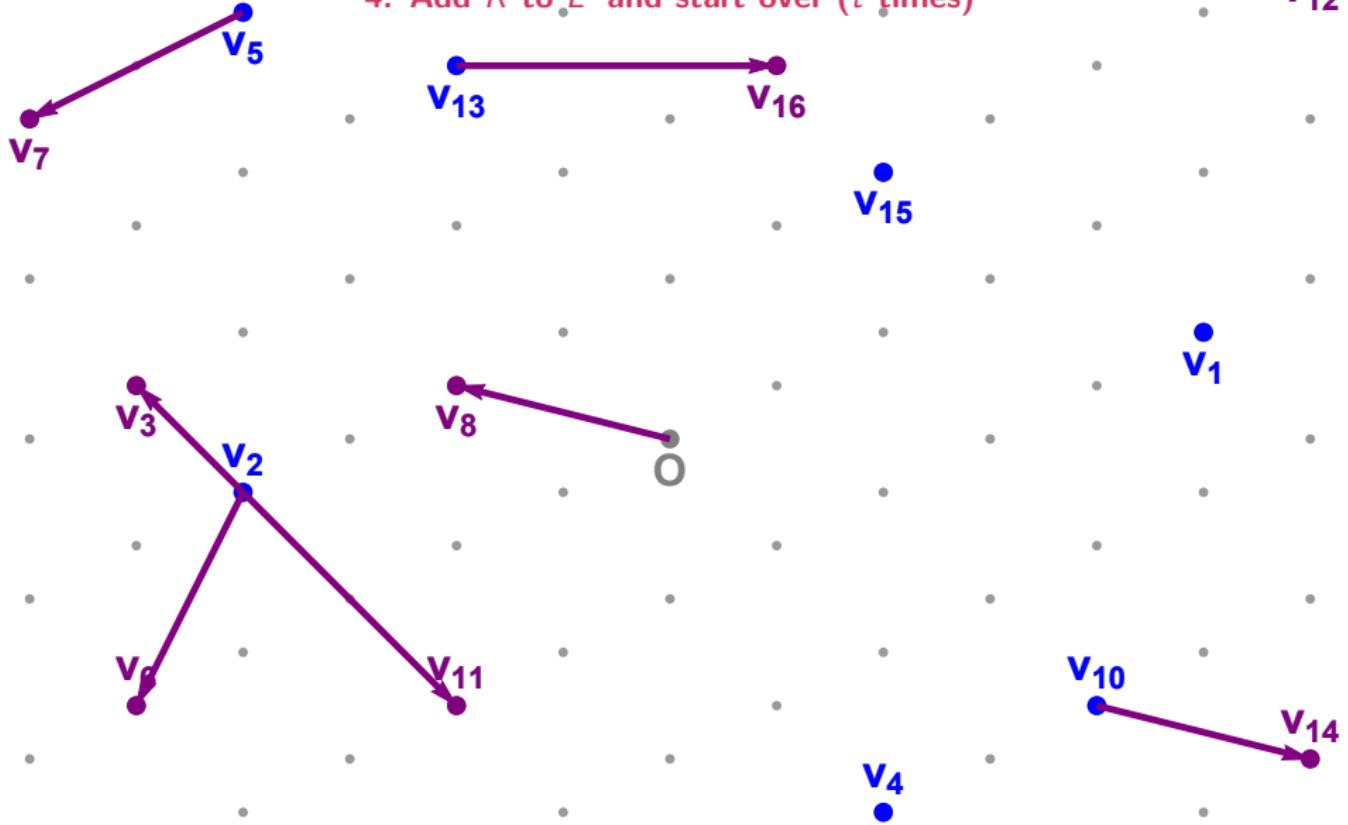
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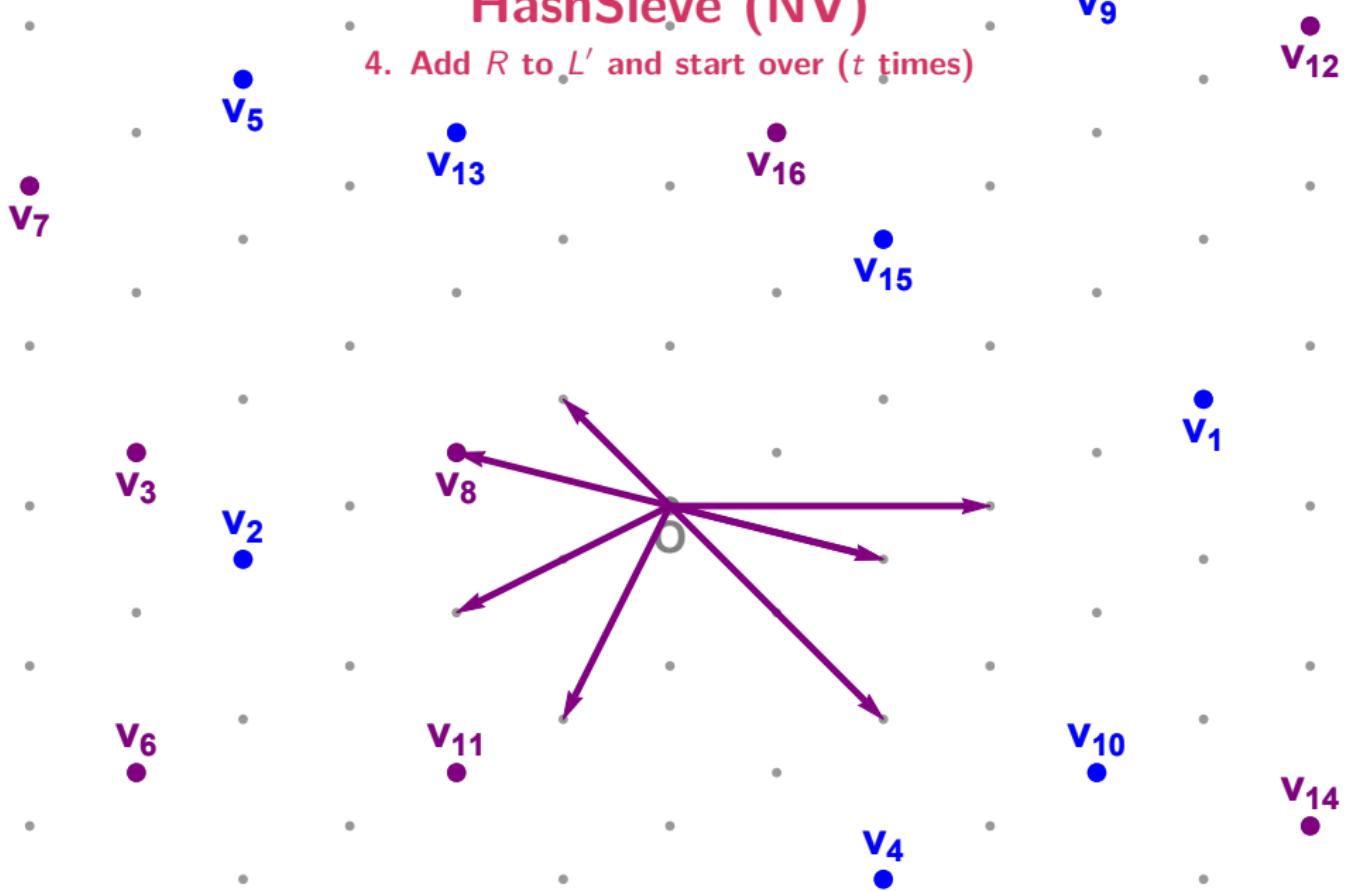
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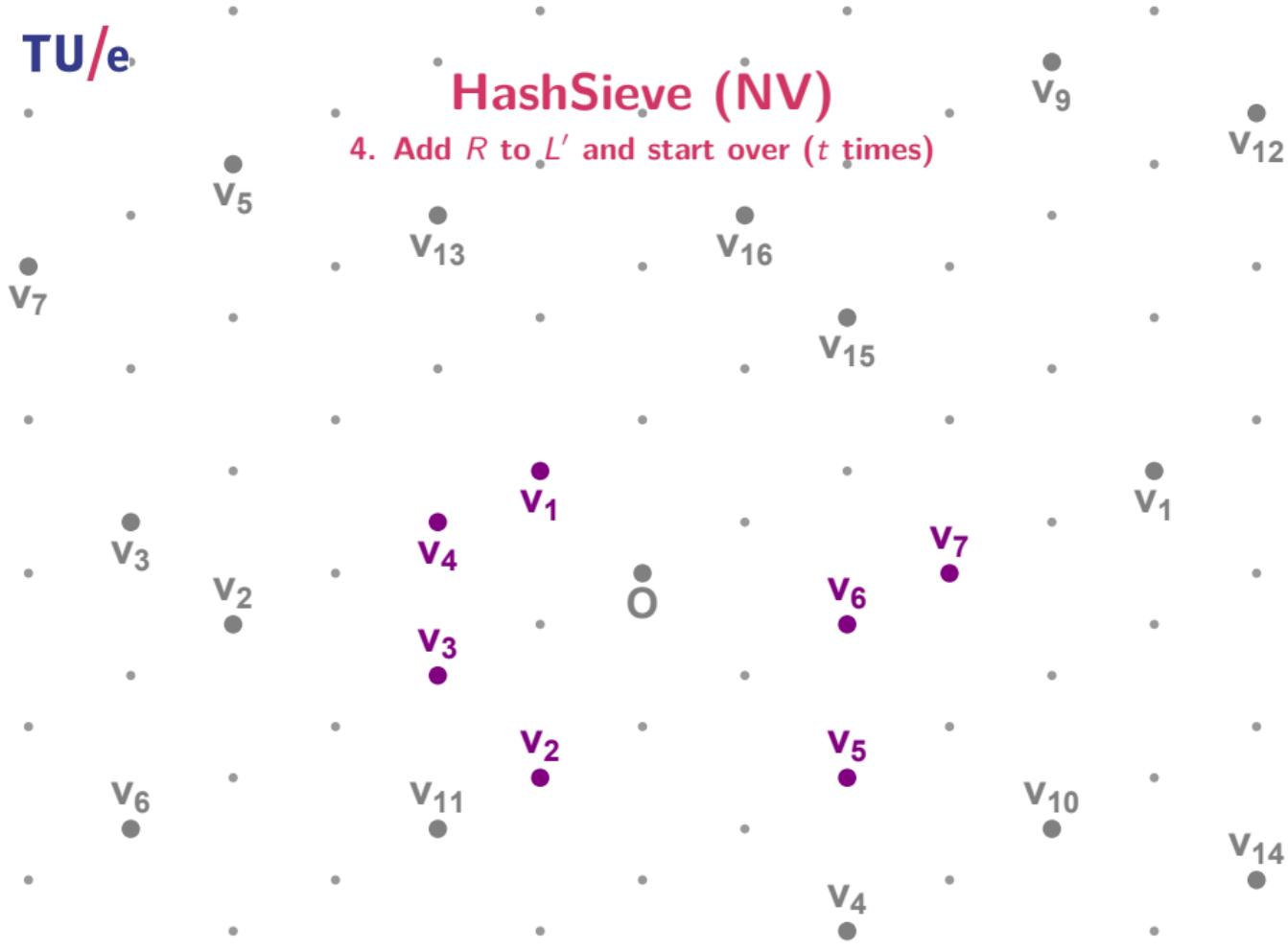
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# HashSieve (NV)

## Overview



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- Same  $k$  (hyperplanes) and  $t$  (hash tables) as before

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- Same  $k$  (hyperplanes) and  $t$  (hash tables) as before
- Space complexity:  $2^{0.21n+o(n)}$ 
  - ▶ Before: store  $2^{0.13n}$  hash tables containing all  $2^{0.21n}$  vectors
  - ▶ Now: process  $2^{0.13n}$  hash tables one by one

# HashSieve (NV)

## Overview

- Same  $k$  (hyperplanes) and  $t$  (hash tables) as before
- Space complexity:  $2^{0.21n+o(n)}$ 
  - ▶ Before: store  $2^{0.13n}$  hash tables containing all  $2^{0.21n}$  vectors
  - ▶ Now: process  $2^{0.13n}$  hash tables one by one
- Time complexity:  $2^{0.34n+o(n)}$ 
  - ▶ Compute one hash, and go through  $2^{o(n)}$  vectors
  - ▶ Repeat this for each of  $2^{0.21n}$  vectors
  - ▶ Repeat this for each of  $2^{0.13n}$  hash tables

# HashSieve (NV)

## Overview

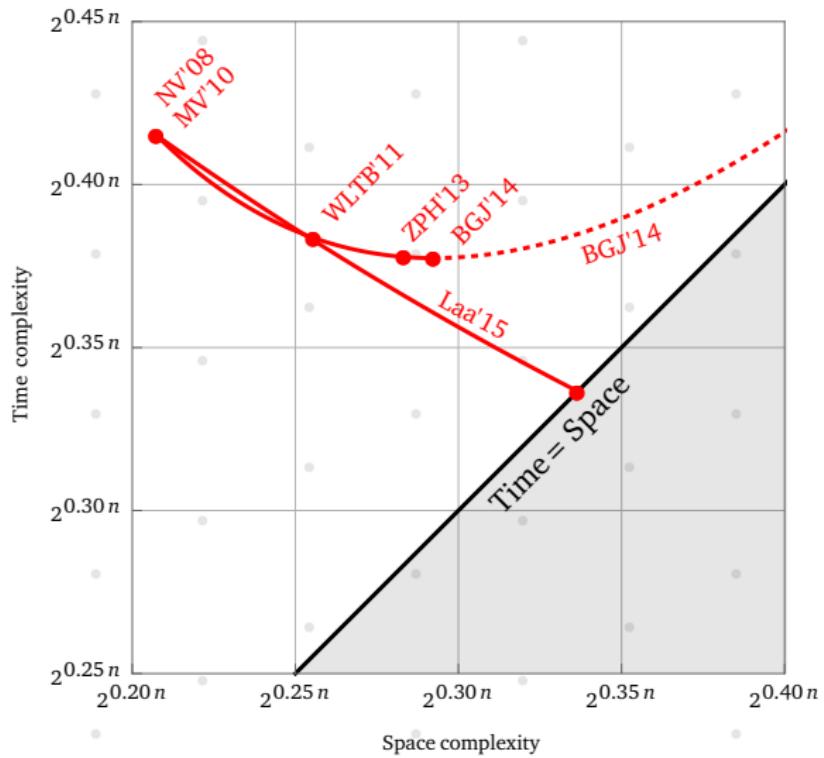
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### Heuristic

The HashSieve (NV) runs in time  $2^{0.34n+o(n)}$  and space  $2^{0.21n+o(n)}$ .

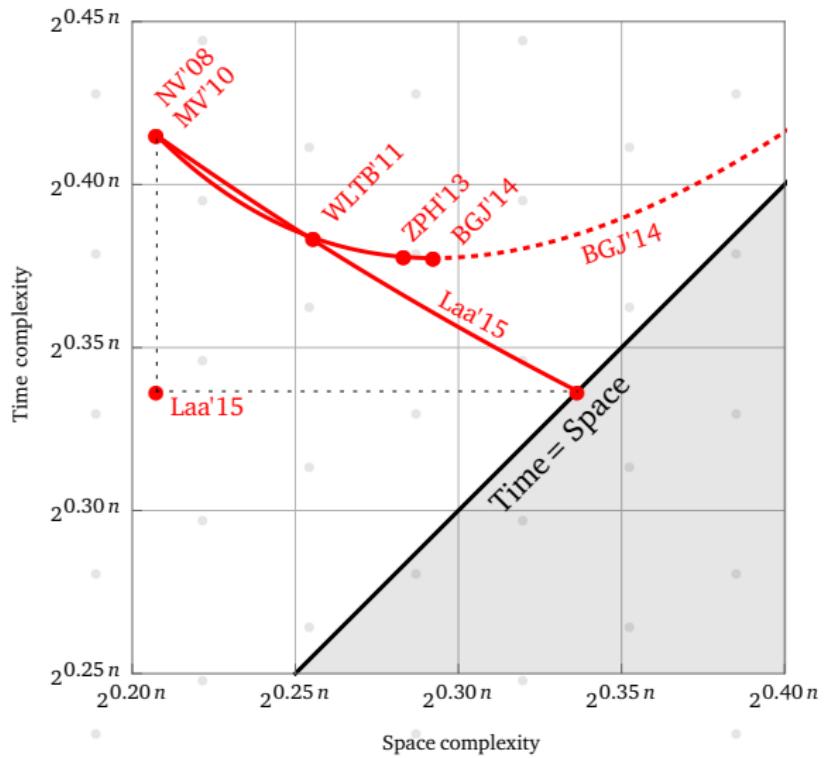
# HashSieve (NV)

## Space/time trade-off



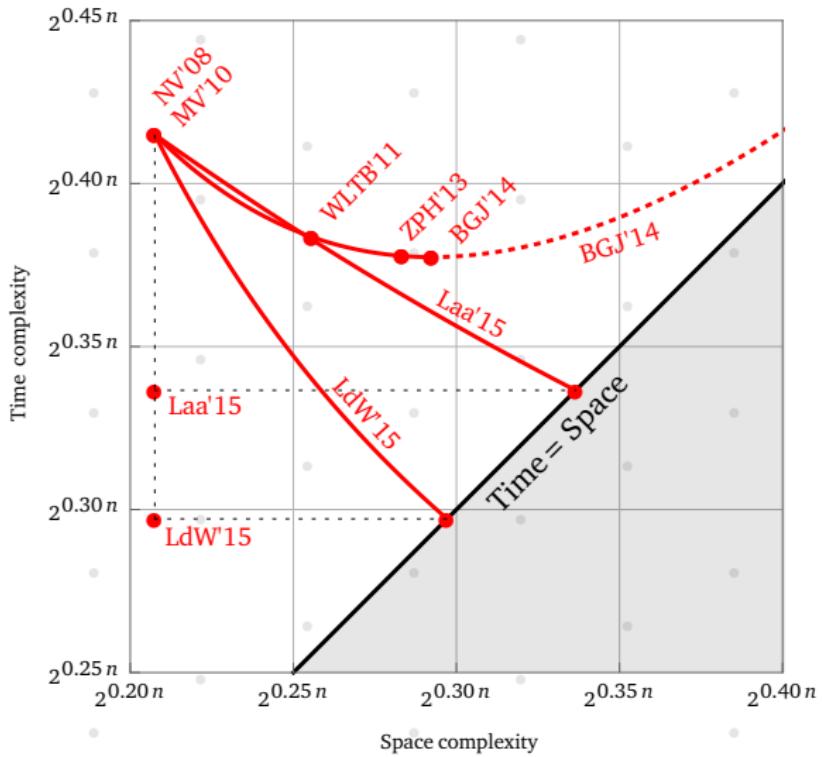
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## Space/time trade-off



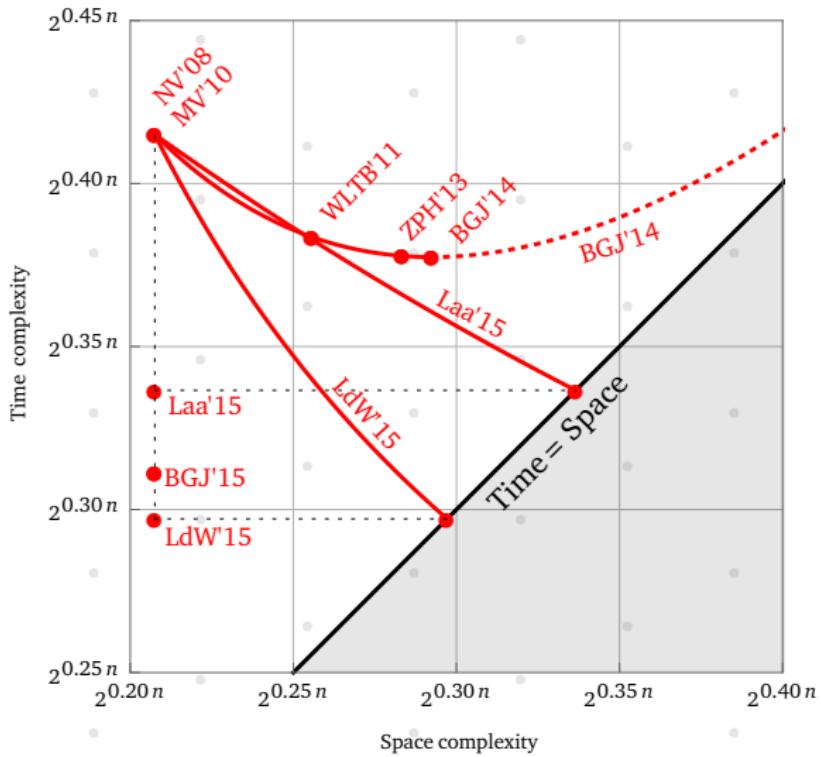
# SphereSieve

## Space/time trade-off



# Another NNS sieve

Space/time trade-off



# CrossPolytopeSieve

## Main ideas

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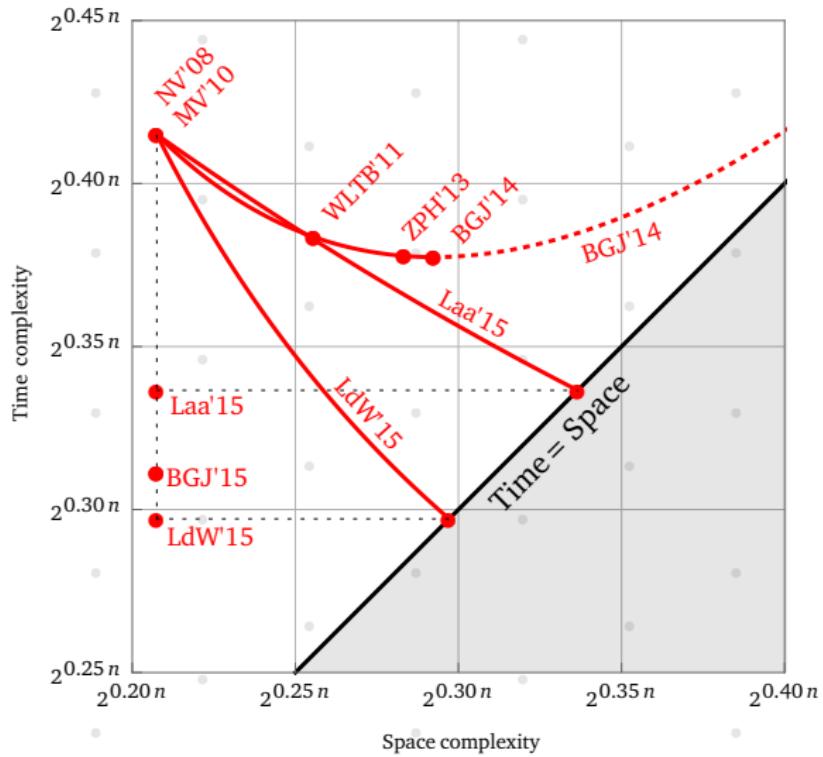
# CrossPolytopeSieve

## Main ideas

- HashSieve: divide space into “equal” parts with hyperplanes
- Observation: orthogonal hyperplanes better than random ones
  - ▶ Corresponds to “hypercube hashing”
- Observation: hypercube not “most symmetric” object ( $k \ll n$ )
- Idea: divide space into regions using regular polytopes
  - ▶ Hypercube  $\Rightarrow$  HashSieve with orthogonal hyperplanes
  - ▶ Cross polytope  $\Rightarrow$  CrossPolytopeSieve
  - ▶ Simplex  $\Rightarrow$  similar to CrossPolytopeSieve

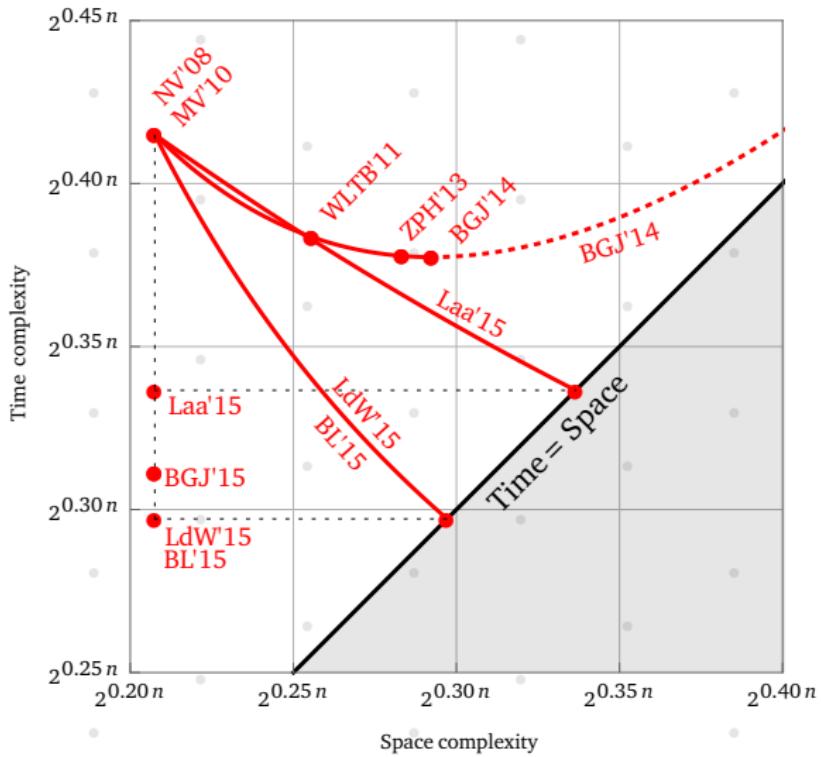
# CrossPolytopeSieve

Space/time trade-off



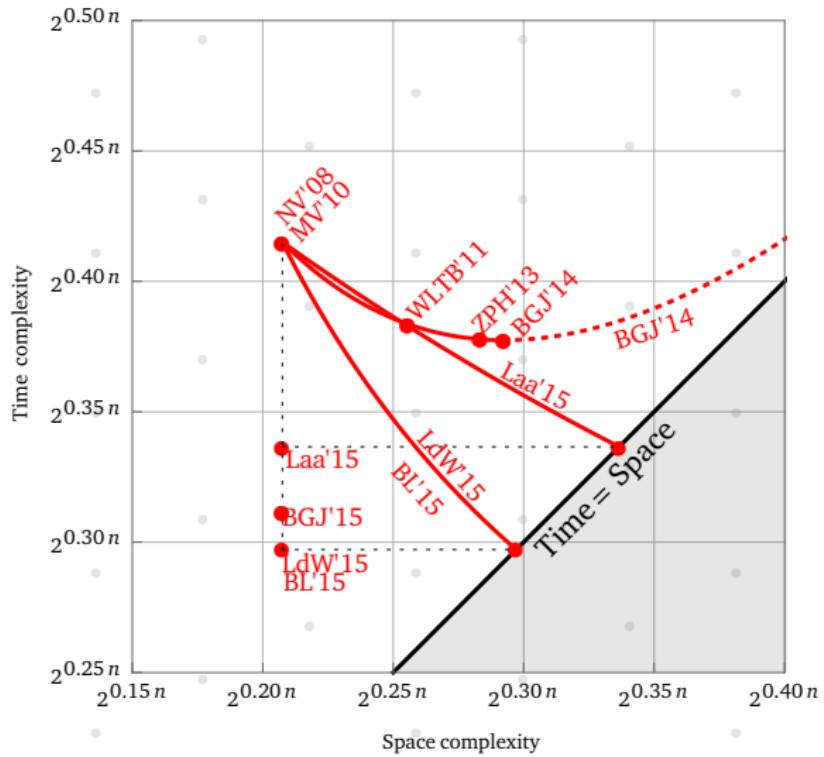
# CrossPolytopeSieve

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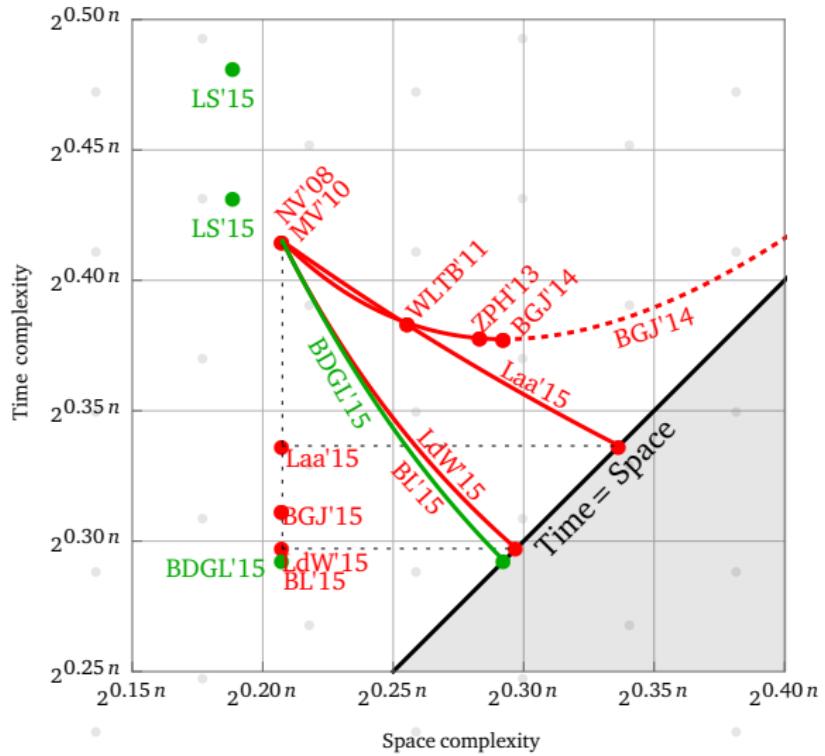
# Ongoing work

## Space/time trade-off



# Ongoing work

## Space/time trade-off



# Questions

