# Excitatory and suppressive features of auditory neurons in avian cortex

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## **Abstract**

The ability to process auditory signals and ignore the noise is an influential reason why animals depend on acoustic signals for communication and survival. Previous work on auditory receptive fields has uncovered many different types of auditory neurons: broadband neurons are tuned to signals across all frequency ranges but only at a specific time. Narrow-band neurons respond only to signals in very specific time and frequency windows. While there has been a lot of work in describing receptive fields of different auditory processing regions, little has been done to connect this work with computational theories of auditory processing. Here we analyze responses of auditory neurons in songbirds in four different regions in auditory cortex to various songs and find various principles that explain how auditory processing is organized. First, there are more suppressive features than excitatory features further downstream, indicating more feature selectivity as the signal is transmitted through cortex. Second, quadrature pairs and cross-orientation suppression, etc. This principles is consistent with the time-dilation theory of auditory processing.

#### 1 Introduction

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To address these questions of how the receptive fields of auditory neurons are organized, we utilized the statistical model of maximum likelihood estimation to determine the receptive fields of auditory neurons. After computing these receptive fields, we fit them as a linear combination of gammatones and gaussian filters in order to analyze the features of these receptive fields. After performing this modelling, we conclude that (1) suppression is increased as a signal is transduced along the auditory pathway, (2) auditory signalling is composed primarily of broadband and narrowband receptive fields with little intermediate receptive fields, (3) ...... Overall, these findings show that ...

## 2 Quadratic model

**Methods** Using a published dataset of neural responses in songbirds to various songs on the CRCNS data sharing website, we sought to build a model that maps the input stimuli to the collected neural responses with high probability. The dataset included neural responses in songbirds from various regions in auditory cortex (mld, field L, OV, and CM) to different song recordings. Treating each song as a d-dimensional vector over time x, we computed the predicited response Y' of the model as follows:

$$Y = \sigma(a + \mathbf{h} \cdot x + x\mathbf{J}x^T)$$

where  $\sigma$  is the sigmoidal function

$$\sigma(x) = \frac{1}{1 + e^{-x}}.$$

Submitted to 32nd Conference on Neural Information Processing Systems (NIPS 2018). Do not distribute.

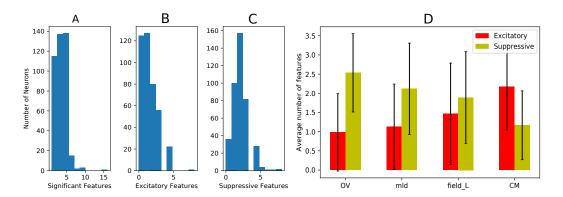


Figure 1: The distribution of the number of significant, excitatory, and suppressive features computed through the convolutional model. (A) The total number of significant dimensions of J. (B) The number of significant dimensions with positive eigenvalues. (C) The number of significant dimensions with negative eigenvalues. (D) Average number of excitatory and suppressive features for each region in auditory cortex.

Here h is a d-dimensional filter that illustrate the linear features of the model.  ${\bf J}$  is a  $d \times d$ -dimensional matrix comprised of the quadratic features that best fit the model. a is simply the bias of the neuron. All of the parameters  $a, h, {\bf J}$  were fit by minimizing the negative log-likelihood of the model.

We divided the training data into fourths. Three-fourths of the data were used to calculate the gradient and the other fourth was used as a validation set to determine convergence. We ran 4-fold cross validation using a different fourth of the training data as a holdout set and averaged each parameter over the four trials to control for variability in the optimization process. To test the model, we held out recordings for each neuron and then tested our model on these held out recordings.

### 33 Model accuracy

 **Receptive fields** In order to compute the basis of pertinent dimensions of J along which the neuron responds most strongly, we performed eigendecomposition of J to get the eigenvectors and eigenvalues. This resulted in a number of eigenvectors and eigenvalues, only a few of which were significant. After significance testing, only a few eigenvectors remained for each neuron. These eigenvectors illustrate the dimensions along which a neuron responds most maximally to and thus can be considered the receptive fields of the neuron. Eigenvectors with positive eigenvalues are excitatory features and those with negative eigenvalues are suppressive features. An example of eigendecomposition is shown in

#### **Excitatory and suppressive features**

## **3** Linear combination of gammatones

**Methods** Gammatones are widely used as auditory filters. In order to model the receptive fields computed above, we attempt to build J using a linear combination of gammatones. A gammatone is described by the following equation:

$$g(t) = a(t - t_0)^{n-1}e^{-2\pi b(t - t_0)}\cos(2\pi f(t - t_0) + \phi),$$

where a controls the amplitude,  $t_0$  controls the onset time, n controls the filter order, b controls the decay rate, f controls the central frequency, and  $\phi$  controls the phase. Since, this is only a function of time, we take the outer product of g(t) and a Gaussian filter along the frequency dimension, with central frequency  $f_0$  and standard deviation  $\sigma$  to get a 2-d dimensional receptive field, denoted as S. Example gammatones are shown in figure.

With a set of gammatones we can approximate J as

$$\mathbf{J}' = \sum_{i} SS^{T}.$$

- 49 We ignore any weights as the S matrices are normalized when performing the fit. We choose to fit the
- 50 onset time, filter order and decay rate of the gammatone, as well as the central frequency and standard
- 51 deviation of the gaussian using differential evolution. All other paramaters are either redundant
- because normalization is performed (a) or irrelevant to the problem we are interested in  $(\phi)$ . We seek
- to minimize the mean difference between  ${f J}$  as computed using the quadratic model and the new  ${f J}'$ .
- The excitatory and suppressive parts of J were fit seperately and only the significant eigenvectors are
- taken into consideration. The exact approach we took to solve the differential evolution problem can
- 56 be read about in
- 57 Results
- 58 Analysis of different auditory regions
- 59 Cross-order suppression
- 60 Quadrature pairs
- 4 Discussion
- 62 References
- 63 References follow the acknowledgments. Use unnumbered first-level heading for the references. Any
- choice of citation style is acceptable as long as you are consistent. It is permissible to reduce the font
- size to small (9 point) when listing the references. Remember that you can go over 8 pages as
- long as the subsequent ones contain only cited references.
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