Object-Oriented Programming III

2017/2018, Semester 1

Week 11

Today

- Lambda Expressions
- □ Java 8 Streams

Java >=8

- A significant improvement of Java >= 8 over older Java versions is its better support for *functional programming*
- Functional programming (FP) concepts are crucial for modern programming, in particular with a focus on large-scale data processing and parallel computing
- •Java is not a FP language and Java 8 is still far from optimal for FP (look at, e.g., Scala, Haskell, Swift, F# instead for better support), but improved a lot over Java <=7
- A few benefits & use cases of FP in general (but some aren't enforced by Java 8):
 - Easier programming if programmer doesn't need to care about *side effects*
 - Much easier <u>parallelization</u> of task, e.g., because (pure) FP doesn't allow for modification of shared resources (-> *immutability*)
 - Modern "Big data" frameworks like Apache Spark and "Big data" programming models such as MapReduce are heavily geared towards the FP paradigm
 - FP makes it easier to formulate -->bulk, -->aggregate and -->pipelined operations on data collections and streams
 - Easier optimization of complex chains of operations due to -->laziness (often, but not always, supported in FP)
- This module doesn't cover real FP, except for a few important aspects introduced in Java 8: Lambda expressions ("lambdas") and Java 8 Streams...

Java >=8

- Java >=8 isn't a FP language, but you can use the following guidelines to use it in a somewhat functional way
- At the same time, the guidelines below are good advice for working with parallel/distributed operations on data (avoidance of race conditions)
- Guidelines
 - Prefer immutability, avoid mutation (in-place modification of existing data, e.g., variables, instead of creating a new (modified) object), where possible
 - Avoid global variables (e.g., public static fields)
 - Avoid *side effects* of functions. The results of functions/methods should ideally only depend on their arguments. Methods/functions should not manipulate shared state, as far as possible.
 - Where possible, rely on inherently "parallel" data structures instead of designing parallel solutions manually (e.g., use parallel streams with Java 8 -> later today in the lecture)

- We motivate Lambda Expressions by quickly revising a concept from Java <=7 (-->last week)...
- You have seen that Java allows for inner classes without a name a.k.a. anonymous classes
- They are often used in the context of GUI programming (e.g., for event handling callbacks), but are also important more generally for creating function objects (sometimes called "functors", although that term is ambiguous): objects whose sole purpose is to store or pass around a function:
 - 1) Suppose you want to call a method with some other function fn as an *argument* (*), e.g., x = someMethod(a,b,fn,c);
 - Not directly possible with "traditional" (pre-8) Java, since methods/functions aren't objects
 - 2) Solution: we "wrap" fn inside of a new object o (a *functor*) whose class C has fn as a method.

 Inside the body of someMethod, we then simply can call o.fn(...)
 - 3) Since we need class C only for this purpose, it doesn't need a name -> anonymous classes
- (*) Note: we are talking about using the *entire* function fn as argument, not about using the result of calling fn as argument. In Java pre-8, functions are <u>not first-class citizens</u>, so this is not directly possible there.

• Such function objects using anonymous classes are the Java <= 7 precursor of lambda expressions.

```
• Example:

Creates a new object of an anonymous class which implements interface ActionListener

which implements interface ActionListener

menuItem.addActionListener(new ActionListener() {

public void actionPerformed(ActionEvent event) { // the function "wrapped" inside the object ...Code of the function...
}

});
```

- A function (like addActionListener) which takes another function (like actionPerformed) as an argument or returns a function as result is called higherorder function
 - (No need to understand the purpose of addActionListener at this time but important to see that it indirectly takes another method as argument!)
- Many contemporary JVM-based frameworks, such as Apache Spark, make heavy use of higher-order functions.

- Purpose: we want to pass function actionPerformed into method addActionListener as an argument
- Since this is not directly possible in Java pre-8, a new object (the functor which "wraps" actionPerformed) is created and used as argument for method addActionListener
- The new object is an instance of an unnamed (i.e., anonymous) class which implements interface ActionListener
- The interface needs to declare the function which we want to pass into addActionListener as an argument, that is, function actionPerformed
- The only purpose of this anonymous class is to provide a method implementation (of method actionPerformed(...) which is specified in interface ActionListener) and to pass this method on to some other method (here: addActionListener(...)).
- The example is from GUI programming (Java Swing), but it is a universal pattern which is seen in many other use cases too

```
This is how the interface from the previous example is defined: public interface ActionListener extends EventListener { public void actionPerformed(ActionEvent e); // abstract method }
```

When you create the function object as an instance of the anonymous class (that is, using new ActionListener() {...}), you provide an implementation of abstract method actionPerformed.

- In Java 8, such an interface is called a *functional interface* (can you guess why?)
- Later, such interface are also used for determining the type of lambda expressions. I.e., even with lambda expression, in Java 8 we unfortunately will still require some of the machinery of anonymous classes...

- You could likewise use an ordinary class (in the example before, you'd create a normal class which implements ActionListeners and pass on an object of this class to menultem.addActionListener())
- But that would require even more coding overhead. And since you don't require this class elsewhere, the class name would be unnecessary.
- Anonymous classes can also be used to specify more than one method.
 Also, instead of an interface, the anonymous class could also extend a class, using exactly the same syntax pattern:

```
new Superclass() {
           (...overriding/implementing methods of SuperClass...)
}
```

- A good thing about anonymous classes is that they can be used with knowledge of "traditional" (pre-8) Java only
- However, they have severe shortcomings:
 - Verbose, difficult to read, not very intuitive
 - Runtime overhead, object creation from anonymous class is quite costly
- Java 8, we typically use Lambda expressions instead to "pass around functions"...

- Lambda expressions can be seen as <u>anonymous functions</u> (functions without names) which are also objects (e.g., they can be stored in variables, object and class fields, arrays, lists and other data structures, used as method arguments...)
- Since we can use them everywhere where also an ordinary object can be used (e.g., store them in variables, fields, use them as method arguments...), they are first-class citizens of Java, making functions also first-class citizens in Java >=8 (well, sort of).
- They are based on one of the oldest concepts in Computer Science (*lambda abstractions*) and they are also available in many other modern programming languages, e.g. Python, Scala or C# (in other forms)
- A first example: a Lambda expression in Java 8 which represents the *addition* operation:

```
(int x, int y) \rightarrow x + y
```

This function takes two integer arguments. Its result is the sum of these arguments.

```
    A closer look...
    (int x, int y) -> x + y
    Parameters (with types, here: int)

Result expression (computes the result from the given arguments)
```

- Parameters are optional. If there are no parameter, write () before the arrow
- Lambda expressions can use arbitrary blocks of code to produce a result, e.g.,

```
x -> {
      double d = 5.0;
      System.out.println("x: " + x);
      doubld dx = d * x;
      return dc - 7.2;
    }
```

- Effectively, Lambda expression represent methods directly *as objects*, i.e., they can be seen as a form of functors (although they are usually not called "functors", as the term "functor" has a different meaning in Functional Programming, where Lambda expressions originate from)
- They can be used basically like any ordinary Java object. E.g., they can be assigned to variables and fields, passed on as arguments of methods, or even used as arguments into other Lambda expressions...

- You can provide a Lambda expression everywhere where an object with a matching functional interface as type is expected
- A functional interface is an interface with a single abstract method (just as the interfaces which we have used to create anonymous classes)

• Java 8 has a number of pre-defined functional interfaces, e.g., for *predicates* (Boolean Lambda expressions), *consumers*, and even java.lang.Runnable. E.g.,

You can also create your own functional interfaces using a new annotation:

```
@FunctionalInterface
```

• Once we know the functional interface, we can use it as the <u>type</u> of the Lambda expression. E.g., as type of a variable or as type of a parameter of some other method. That variable can then be assigned (that parameter can then take as argument) a lambda expression of that type.

Example:

```
Double someOtherMethod(MyFnInterface fn) {
	return fn.doSomething(4.3); \( \frac{1}{2} \) we evaluate the Lambda expression
}

Double r = someOtherMethod((Double x) -> x*2.0);

System.out.println(r);
```

- In Computer Science, Lambda expressions (a Java 8 term) are more commonly known as *Lambda abstractions* (but these are a mathematical concept and have a somewhat different syntax and semantics)
- Lambda abstractions are a corner stone of functional programming
- Their first use was as the constituents of the Lambda calculus
- They are supported by many modern programming languages (including, e.g., Python,

JavaScript and C++ 11), not just "real" functional programming languages

- What can you do with Lambda expressions?
- Lots of things... Just a few examples:
- Firstly, several modern data processing frameworks are based on functional programming principles (e.g., Apache Spark, Hadoop, Akka...)
- Use cases similar to function objects of anonymous classes but much easier to use
- E.g., they are useful for passing functions on as if they where ordinary objects. E.g., if you want to create a callback (e.g., for event handling), or to deploy a task to another, remote, computer, you can wrap this task in a Lambda expression and submit it to the remote machine.
- They are used with higher-order functions (functions which take functions as arguments), in particular for manipulation, filtering and aggregation of collections (aggregate and other bulk operations).

 Pipelines of such manipulation functions are a crucial concept of modern DA.
- They generally lead to more compact and readable code

- Data parallelism is also a typical use case for Lambda expressions
- Assume you can split your (large amount of) data into several segments...
- Next, you want to apply the same function fn to each of these segments (all applications of fn to be performed *in parallel*, independently from each other)
- You can use Java 8 streams (-->more details later in this lecture) for the actual parallelization (*). You need to tell them which function fn to apply.
- Pseudocode (not real Java):
 foreEachInParallel(segment in data) {
 apply(fn, segment); //applies function fn to the data segment
 }

Use a Lambda expression to represent fn

(*) Of course, you could alternatively parallelize this pseudo-code example "manually" using conventional multithreading, i.e., data parallelism is also possible with older Java versions, it is just somewhat more cumbersome to program

Question: do you need to care about race conditions in the aforementioned scenario?

- Another application of Lambda expressions in the context of concurrency: creating instances of task classes ("runnable object") (which are then used with conventional Java threads for parallelism)...
- You can do this using a named class (see lectures about concurrency), but often you want to avoid the overhead of creating a new task class. Anonymous classes could also be used, but still to verbose.
- So we instead use Lambda expressions now, using interface Runnable as their functional interface ...

```
public class RunnableTest {
 public static void main(String[] args) {
  // Anonymous class which implements interface Runnable (old-style Java)
  Runnable r1 = new Runnable() {
    @Override public void run(){
       System.out.println("Hello world one!");
  };
  // Lambda Runnable (Java 8) as a better alternative to the above:
  Runnable r2 = () -> System.out.println("Hello world two!"); // much more concise and easier to read
   ... (we can now take object r1 or r2 and pass it into a thread constructor as usual - omitted)
}}
```

```
// Another example (from the Oracle tutorials)...
 // (For full example see <a href="http://www.oracle.com/webfolder/technetwork/tutorials/obe/java/Lambda-">http://www.oracle.com/webfolder/technetwork/tutorials/obe/java/Lambda-</a>
QuickStart/index.html)
   // Calculate average age of pilots old style (Java 7):
   System.out.println("== Calc Old Style ==");
   int sum = 0;
   int count = 0;
   for (Person p:pl){
    if (p.getAge() \ge 23 \&\& p.getAge() \le 65)
     sum = sum + p.getAge();
     count++;
   long average = sum / count;
   System.out.println("Total Ages: " + sum);
   System.out.println("Average Age: " + average);
```

```
// Calculating the sum of persons' ages new style (with Java 8 Streams (*)):

Predicate<Person> allPilots = p -> p.getAge() >= 23 && p.getAge() <= 65;

long totalAge = pl.stream()
    .filter(allPilots)
    .mapToInt(p -> p.getAge())
    .sum();

A Lambda expression with Boolean result type - ideal for filtering things

// Get average of ages, using a "parallel stream"

OptionalDouble averageAge = pl
    .parallelStream()
    .filter(allPilots)
    .mapToDouble(p -> p.getAge())
    .average();
```

(*) we will see on the next slides in more detail what stream(), parallelStream(), map(), etc mean. Until then, think of Java 8 Streams as a kind of sequence of objects.

- On the previous slide, you have seen an example for another important addition which came with Java 8: *Java 8 Streams*
- Not to be confused with Java's Input/Output (I/O) streams!
- Java 8 Streams are part of java.util.stream. Sometimes, we refer to them just as "streams" if there is no ambiguity.
- They are actually not data structures, but a way of accessing of and computing with data iteratively or in parallel
- Each stream has a *source* where its data comes from (e.g., a collection data structure such as an ArrayList or a Set)
- A stream doesn't replicate and store the data from its source but makes it accessible and transforms it in certain ways
- The original data is being operated on in a sequence of stream *operations*, transforming the data in the stream step-by-step and finally computing some end result

- Features of stream over normal collections and iterators:
- Many stream operations work *lazily*:
 They *materialize* (=actually compute) intermediate results only as far as required for computing the end result
- They don't manipulate their respective sources
- They can be unbounded, even infinite (due to their laziness)

- Stream operations provide computations on the stream elements
- E.g., filtering, mapping or traversing
- Intermediate stream operations do so by creating a new stream from an existing stream. Typically, multiple intermediate operations are chained together
- Terminal operations return a final non-stream result (e.g., individual data items or a collection), or nothing / void (*)
- Once traversed or otherwise operated on, existing streams cannot be reused (**) (but you can create a new stream from an existing stream, see above)

- (*) What could such a "nothing" result be good for? Is it a problem if the terminal operation doesn't yield an actual result?
- (**) What other sort of data structure in Java does this "feature" remind you of?

• Examples for stream operations:

```
Creates a stream from source
• filter, e.g.,
                                                         collection (e.g., ArrayList) "persons"
  Stream<Person> personsOver18 = persons.stream()
     .filter(p -> p.getAge() > 18);
                  A Lambda expression which parameterizes the filter operation
• map, e.g.,
     Stream<Student> myStream = persons.stream()
        .map(person -> new Student(person));
 (assume persons is a list of objects of some class Person)

    forEach, e.g.,

   someStream.forEach(p -> p.setLastName("Doe")) // forEach is a terminal operation
                             Careful - uses a
                             side-effect (why
```

might this be a problem?)

- The operation (e.g., the filter condition or the map expression) is typically parameterized by a *lambda expression* (but can alternatively also be an ordinary method, using a *method reference*, e.g., Person::compareAge)
- The lambda expression tells the, e.g., map or filter operation what to do with each individual data item in the stream (e.g., to check for some condition or to calculate some new value from it)
- The result of the overall map, filter, etc. operation is determined by the outcome of these lambda expression applications on the data items.
- •E.g., if the outcome of p -> p.getAge() > 18 in the *filter* operation on the previous slide is "false" for some concrete person p, that person does not become part of the result (the new stream) produced by filter(p -> p.getAge() > 18)
- map(person -> new Student(person)) converts a stream of person objects into a new stream of Student objects (with this conversion specified by the lambda expression)
- for Each simply traverses a stream and applies the lambda expression to each item

- Instead of a lambda expression, we could also provide a block of Java statements with a return statement to parameterize map, filter, for Each, etc, but this would not be very "functional" (but sometimes unavoidable).
- Because most stream operations are parameterized with lambda expressions (i.e., functions), the respective methods (such as map or filter) need to be *higher-order functions*, that is, functions which take other functions as arguments!

...are called *pipelines*

Chains of operations such as filter(...).map(...).sum() such as in personsList

 .stream()
 .filter(allPilots)
 .mapToInt(p -> p.getAge())
 .sum();

- Operations such as sum(), average() or forEach() are called *aggregate operations* (because they work on a bulk of data items over which they iterate) (*)
- Each intermediate aggregate operation produces a new stream from the previous one
- Aggregate operations and pipelines (but not normally using Java 8 Streams,) are also the basic programming techniques with many "Big Data" Data Analytics frameworks such as in the *MapReduce* approach in Apache Hadoop or Spark.
- Also observe the similarity to SQL operations (e.g., SELECT ... FROM ... WHERE ...)
- (*) Normally, all "bulk" operations are called "aggregate operations", but sometimes only intermediate or reduction operations (the latter are terminal operations which generate a single non-stream value, e.g., sum()).

Another, more complex, example for such a pipeline, now using a stream of shopping transactions:

List<Integer> transactionsIds =

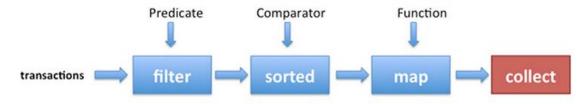
transactions.stream() // we obtain a stream from collection transactions

.filter(t -> t.getType() == Transaction.GROCERY)

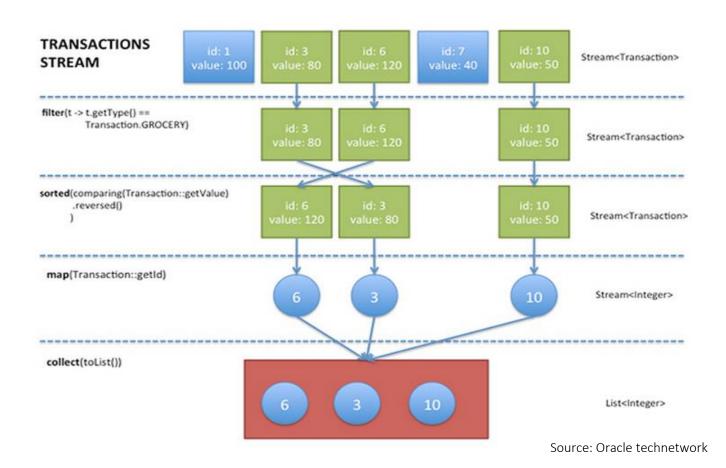
.sorted(comparing(Transaction::getValue).reversed())

.map(Transaction::getId)

.collect(toList()); // collect creates a list (i.e., a collection) from the stream (terminal operation)



Source: Oracle technetwork



• Stream operations can *automatically* make use of parallel computing (on multi-core processors), which is much easier than working manually with threads (although parallel streams also make use of threads internally, of course)

```
List<Integer> transactionsIds =
    transactions.parallelStream()
    .filter(t -> t.getType() == Transaction.GROCERY)
    .sorted(comparing(Transaction::getValue).reversed())
    .map(Transaction::getId)
    .collect(toList());
```

- Pipelines of operations can be automatically optimized (so-called *short-circuiting* merging of operations)
- Streams can even be infinite (how that?):

```
Stream<Integer> numbers = Stream.iterate(0, n \rightarrow n + 10); // 0, 10, 20, 30, ...
```

Note: Race conditions can still occur with parallel streams if the streams are not used correctly!

So you should still try to ensure that your data is immutable (or even better: not shared at all among operations), and that the functions applied on the data don't have any side effects, etc.

- As mentioned before, *intermediate* stream operations (e.g., map, filter) return a new stream, whereas *terminal* operations such as forEach "close" the stream and return some ordinary data item(s) (or void)
- Because streams are *lazy*, the "materialize" their intermediate operation results only with terminal operations. E.g.,

```
Stream.of("a", "b", "c")
   .filter(x -> {
        System.out.println("filter: " + x);
        return true;
    });

doesn't print anything, because there is no terminal operation! But if you add
   .forEach(x -> System.out.println("forEach: " + x));
at the end, it prints the items (even twice, both in filter and forEach!).
```

- Similar to iterators, a closed stream cannot be "reused". You cannot iterate over the same stream again using aggregate or other stream operations
- However, we can
 - 1) create a new stream from an existing one (that's what intermediate operations such as "map" or "filter" do)

or

2) terminally convert a stream into an ordinary collection (or even just a scalar value such as a single number) at the end of all previous stream operations. Ordinary collections like ArrayLists can be traversed and modified infinitely often.

Example:

myStream.collect(Collectors.toList()); // converts a stream into an ordinary list