



**Implenia**

MOCKUP DATA  
HackZurich 2023

**UPDATE  
LITIGATION  
CASES**

**MOCKUP DATA**  
**HackZurich 2023**

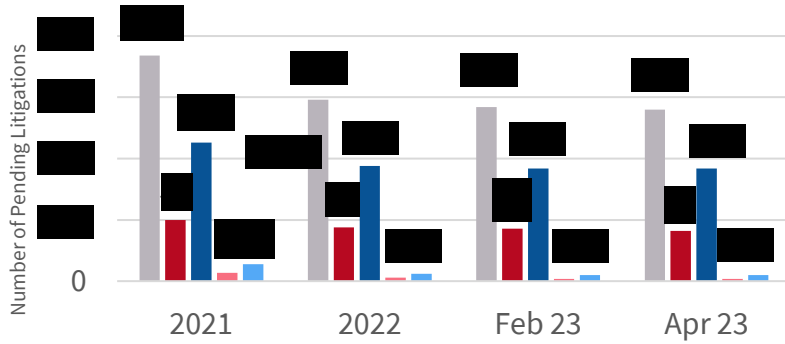


**LITIGATION METRICS**

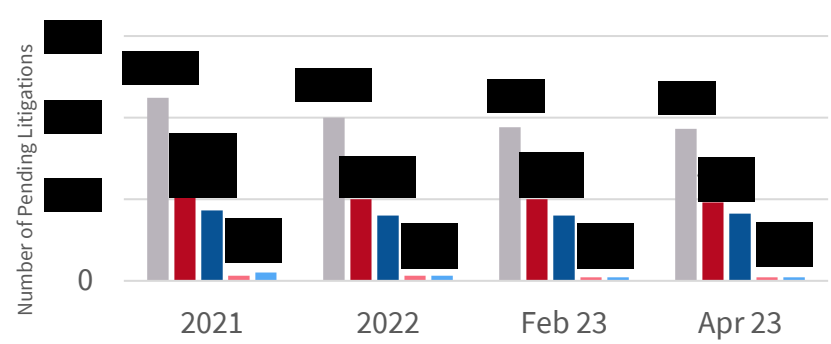
# TOTAL NUMBER OF PENDING LITIGATIONS PER DIVISION

MOCKUP DATA  
HackZurich 2023

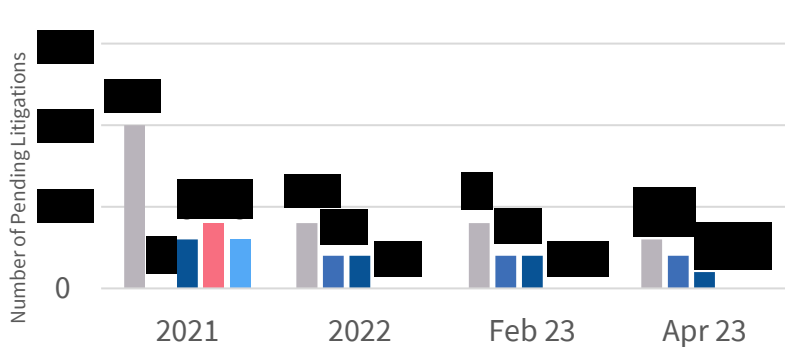
Buildings



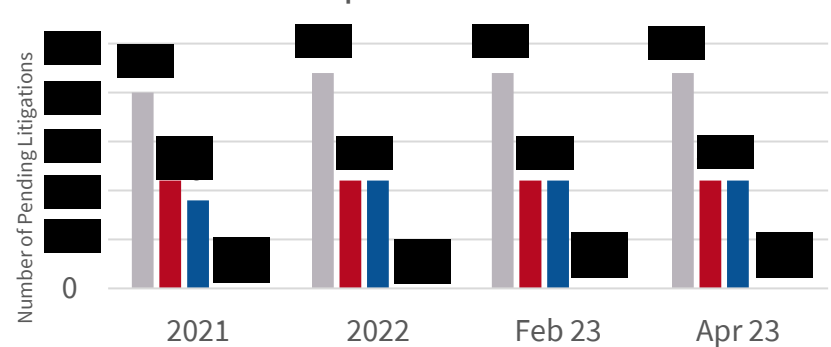
Civil Engineering



Real Estate

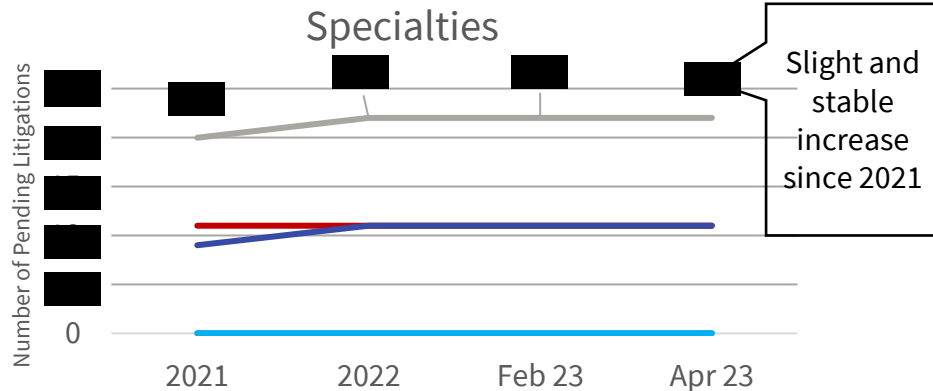
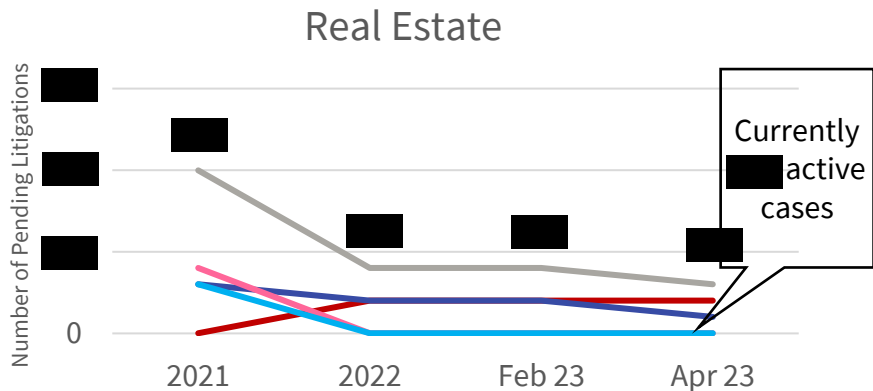
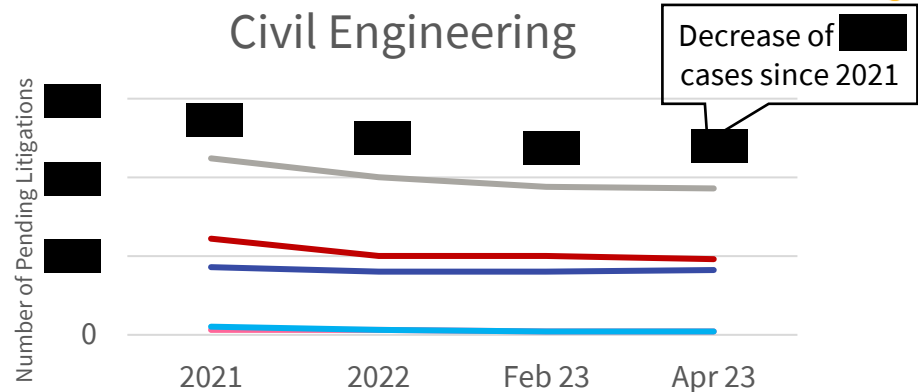
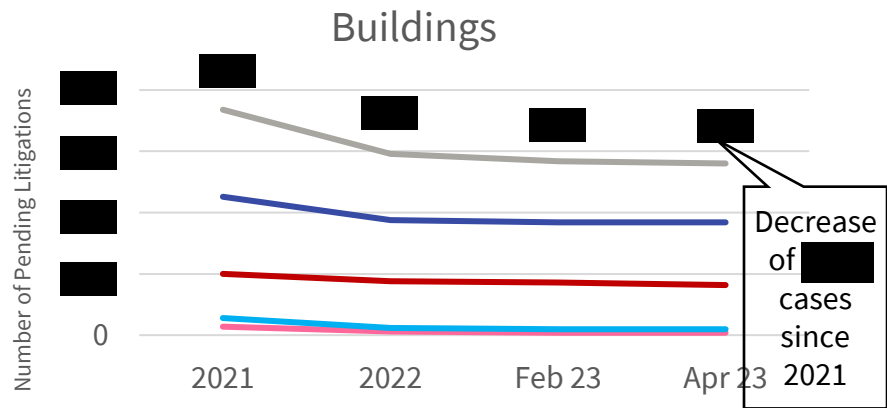


Specialties

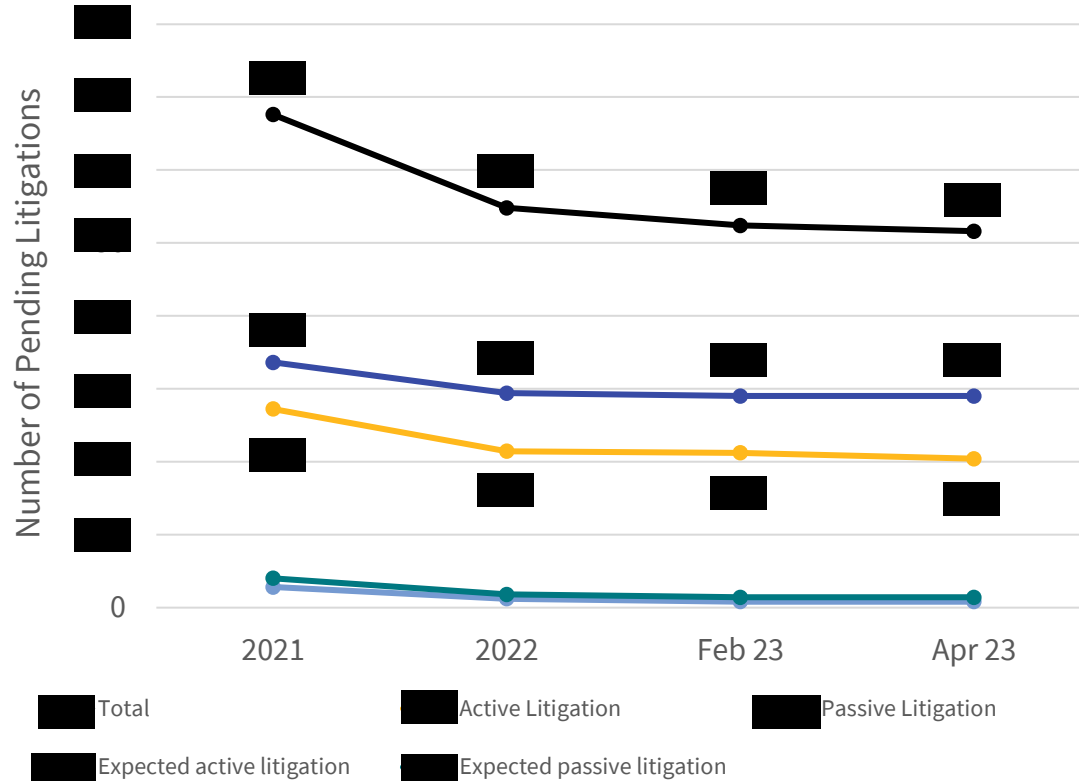


# TOTAL NUMBER OF PENDING LITIGATIONS PER DIVISION

MOCKUP DATA  
HackZurich 2023



## TOTAL NUMBER OF PENDING LITIGATIONS PER CATEGORY

MOCKUP DATA  
HackZurich 2023

## Comments

- **Total number** of pending litigations on Group average could be [redacted] by [redacted] from 2021 to April 2023
- While number of **active litigations** [redacted] by [redacted], the **passive litigations** were [redacted] by [redacted]
- Number of **passive litigations** remains **higher** [redacted] compared to the number of **active litigations** [redacted]
- Both active as well as passive **expected cases** remained relatively **stable**

*MOCKUP DATA  
HackZurich 2023*

**LITIGATION CASE  
UPDATE**

## VARIOUS- LITIGATION CASES

## OVERALL REAL CASE VS. BOOKED AMOUNTS FOR LITIGATION CASES

MOCKUP DATA  
HackZurich 2023

All values in CHF mn	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC - B
Total <b>Active</b> Litigation Cases Real Estate				
Total <b>Passive</b> Litigation Cases Real Estate				
<b>Total Litigation Cases Real Estate</b>				
Total <b>Active</b> Litigation Cases Buildings				
Total <b>Passive</b> Litigation Cases Buildings				
<b>Total Litigation Cases Buildings</b>				
Total <b>Active</b> Litigation Cases Civil Engineering				
Total <b>Passive</b> Litigation Cases Civil Engineering				
<b>Total Litigation Cases Civil Engineering</b>				
Total <b>Active</b> Litigation Cases Specialties				
Total <b>Passive</b> Litigation Cases Specialties				
<b>Total Litigation Cases Specialties</b>				
<b>Total Active/Passive Litigation Cases all Divisions/Functions (net value)</b>				

**Note:** Gap between Booked Amount and Real Case are caused by more than one litigation proceeding per project. The provisions (Booked Amounts) and the respective risk assessment are, however, conducted on the entire project and map the overall risk.

# LITIGATION CASES – DIVISION REAL ESTATE

## TOP ACTIVE LITIGATION CASES

AS OF

MOCKUP DATA  
HackZurich 2023

**A:** Active litigation (Implemia as claimant); **EA:** Expected active litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
		No current Top Active Cases						
Top Active Litigation Cases								
Other Active Litigation Cases								
Total Active Litigation Cases								



# LITIGATION CASES – DIVISION REAL ESTATE

## TOP PASSIVE LITIGATION CASES

AS OF

MOCKUP DATA  
HackZurich 2023

**P:** Passive litigation (Implenla as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
		No current Top Passive Cases						
Top Passive Litigation Cases								
Other Passive Litigation Cases								
Total Passive Litigation Cases								

# LITIGATION CASES – DIVISION BUILDINGS

## TOP ACTIVE LITIGATION CASES

AS OF

MOCKUP DATA  
HackZurich 2023

**A:** Active litigation (Implenia as claimant); **EA:** Expected active litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
A		Claiming costs for damages from subcontractor	2 proceedings ongoing (Facade costs; final invoice and contractual penalty).	2016				
A		Claiming outstanding compensation for works	Expertise by the court expert of the cooling system etc.	2020				
Top Active Litigation Cases								
Other Active Litigation Cases								
Total Active Litigation Cases								

# LITIGATION CASES – DIVISION BUILDINGS

## TOP ACTIVE CLAIMS

AS OF

MOCKUP DATA  
HackZurich 2023

AC: Active Claims (Implenla as claimant)

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
AC				2020				
AC				2020				

# LITIGATION CASES – DIVISION BUILDINGS

## TOP PASSIVE LITIGATION CASES (1/2)

**P:** Passive litigation (Implemia as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
EP / EA				2021				
P				2008				

# LITIGATION CASES – DIVISION BUILDINGS

## TOP PASSIVE LITIGATION CASES (2/2)

**P:** Passive litigation (Implenla as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
P				2016				
P				2018				
Top Passive Litigation Cases								
Other Passive Litigation Cases								
Total Passive Litigation Cases								

**Note to JTI/Gartner:** Case includes various legal cases - overall there is no significant difference between RC and BA  
Claim extension by JTI not yet considered in the figures, as assessment is still ongoing

# LITIGATION CASES – DIVISION CIVIL ENGINEERING

## TOP ACTIVE LITIGATION CASES (1/2)

AS OF

MOCKUP DATA  
HackZurich 2023

**A:** Active litigation (Implemia as claimant); **EA:** Expected active litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
A				2019				
A				2021				

# LITIGATION CASES – DIVISION CIVIL ENGINEERING

## TOP ACTIVE LITIGATION CASES (2/2)

AS OF

MOCKUP DATA  
HackZurich 2023

**A:** Active litigation (Implemenia as claimant); **EA:** Expected active litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
A				2021				
A				2018				
Top Active Litigation Cases								
Other Active Litigation Cases								
Total Active Litigation Cases								

# LITIGATION CASES – DIVISION CIVIL ENGINEERING

## TOP ACTIVE CLAIMS

AS OF

MOCKUP DATA  
HackZurich 2023

AC: Active Claims (Implenia as claimant)

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
AC				n.a				
AC				n.a				



# LITIGATION CASES – DIVISION CIVIL ENGINEERING

## TOP PASSIVE LITIGATION CASES (1/2)

AS OF

MOCKUP DATA  
HackZurich 2023

**P:** Passive litigation (Implemia as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
P				2022				

# LITIGATION CASES – DIVISION CIVIL ENGINEERING

## TOP PASSIVE LITIGATION CASES (2/2)

AS OF

MOCKUP DATA  
HackZurich 2023

**P:** Passive litigation (Implemenia as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
P				2018				
P				2021				
Top Passive Litigation Cases								
Other Passive Litigation Cases								
Total Passive Litigation Cases								

# LITIGATION CASES – DIVISION SPECIALTIES

## TOP ACTIVE LITIGATION CASES

**A:** Active litigation (Implenia as claimant); **EA:** Expected active litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
A				2021				
A				2020				
Top Active Litigation Cases								
Other Active Litigation Cases								
Total Active Litigation Cases								

# LITIGATION CASES – DIVISION SPECIALTIES

## TOP PASSIVE LITIGATION CASES

AS OF

MOCKUP DATA  
HackZurich 2023

**P:** Passive litigation (Implemia as defendant); **EP:** Expected passive litigation

All values in CHF mn

Cat	Counter-party	Subject Matter	Next Step	Pending since	Value in Dispute	Real Case (RC)	Booked Amount (B)	Δ RC-B
P				2019				
P				2020				
Top Passive Litigation Cases								
Other Passive Litigation Cases								
Total Passive Litigation Cases								



**Implenia**

MOCKUP DATA  
HackZurich 2023



Opfikon, 21 June 2023  
German Grüniger  
General Counsel | Chief Compliance Officer

# FUNCTION REVIEW

## LEGAL AND COMPLIANCE

## TOP CASES – DIVISION BUILDINGS

Updates since last FR  
MOCKUP DATA  
HackZurich 2023

Cat	Case	Claimant/Respondent	Description	Value in Dispute	(Potential) Impact
EA	<div>IBU CH</div>	Implenia Schweiz AG /		n.a.	n.a.
	Previous steps:				
	Next steps: Discussions with client regarding the type of material to be used are ongoing (first visual inspections have taken place). Clarification of the cost implications and technical feasibility is still being clarified.				

**A:** Active litigation (Implenia as Claimant); **EA:** Expected active litigation **P:** Passive litigation (Implenia as Defendant); **EP:** Expected passive litigation;  
**C:** Claim; **RC:** Real Case; **BA:** Booked Amount

# TOP CASES – DIVISION BUILDINGS

Updates since last FR  
**MOCKUP DATA**  
 HackZurich 2023

Cat	Case	Claimant/Respondent	Description	Value in Dispute	(Potential) Impact
EP	<div><div></div><div></div><div>(IBU CH)</div></div>	<div></div> <div>Immobilien AG / Implenia Schweiz AG</div>	<div></div>	n.a.	n.a.
	<div>Previous steps:</div> <div></div>				
	<div><b>Update:</b> The expert has reported difficulties in assessing the performance status at the time of termination of the contract. The court ordered documents be provided to the expert. The expert tries to make an assessment on the basis of this additional documents.</div>				

**A:** Active litigation (Implenia as Claimant); **EA:** Expected active litigation **P:** Passive litigation (Implenia as Defendant); **EP:** Expected passive litigation;  
**C:** Claim; **RC:** Real Case; **BA:** Booked Amount

4) FUNCTION DEEP DIVE | A) OPEN LITIGATION CASES AND CLAIMS

# TOP CASES – DIVISION BUILDINGS

Cat	Case	Claimant/Respondent	Description	Value in Dispute	(Potential) Impact
P	<div><div></div><div>(IBU CH)</div></div>	<div><div></div><div>Implenia Schweiz AG</div></div>	<div><div></div></div>	MCHF <div></div> [to be adjusted as soon as the assessment of the case is possible]	Case includes various legal cases - overall there is no significant difference between RC and BA.
	<div>Previous steps:</div> <div></div>				
<div>Update:</div> <div><div></div> has filed its replica in December 2022 and has increased its claims to more than MCHF <div></div> Implenia's reply has been submitted on 12 May 2023. The hearings will take place in November 2023.</div>					





**Implenia**

MOCKUP DATA  
HackZurich 2023

