

Setting:-Regular lattice in d-dimensions

lattice points are spaced regularly.

Original Hubbard Model : The degrees of freedom are spin  $-\frac{1}{2}$  fermionic electronsBose Hubbard Model : describes spinless bosons on a regular lattice

Exa:- These bosons could represent Cooper pairs of electrons undergoing Josephson tunneling between superconductivity islands

or

Helium atoms moving on a substrate

Degrees of Freedom $\hat{b}_i$  :- annihilates bosons on the site  $i$  $\hat{b}_i^\dagger$  :- Creates bosons on the site  $i$ 

$$[\hat{b}_i, \hat{b}_i^\dagger] = \delta_{ii}$$

Def:- Boson number operator

$$\hat{n}_{bi} = \hat{b}_i^\dagger \hat{b}_i$$

Counts the number of bosons on site  $i$ 

There can be arbitrary number of bosons on each site

$$\mathcal{H} = \bigoplus |\{m_j\}\rangle \quad \text{s.t.} \quad \hat{n}_{bi} |\{m_j\}\rangle = m_i |\{m_j\}\rangle$$

Vacuum state with no bosons:  $|\sum m_j = 0\rangle$

## Hamiltonian

$$H_B = -\omega \sum_{\langle i,j \rangle} (\hat{b}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{b}_i) - \mu \sum_i \hat{n}_{bi} + \frac{U}{2} \sum_i \hat{n}_{bi} (\hat{n}_{bi} - 1)$$

allows hopping of bosons from site  $i$  to site  $j$

Exa:-

Site  $\Leftrightarrow$  Superconducting grain

$\omega \Leftrightarrow$  Josephson tunneling

bosons  $\Leftrightarrow$  Cooper pairs.

Chemical potential of bosons  
Change in  $\mu \Rightarrow$  change in  
total number of bosons

$\mu = \frac{\partial F}{\partial N} \Big| \rightarrow$  partial derivative of free  
energy wrt amount of the  
species, other species  
& temp. being constant.

fixed  $\mu \Rightarrow$  Grand Canonical Ensemble ( $\mu VT$  ensemble)

fixed  $N \Rightarrow$  Canonical Ensemble ( $NVT$  ensemble)

theoretically easy!

Results are interconvertible through Legendre transformation!

$U > 0$  :- On-site repulsion

Exa:- Charging energy of each superconducting grain

### Bose Hubbard Model (HB) and O(N) rotor Hamiltonian (HR)

$$H_R = \frac{Jg}{2} \sum_i \hat{L}_i^2 - J \sum_{\langle ij \rangle} \hat{n}_i \cdot \hat{n}_j$$



Claim:- It has O(N) symmetry.

Similarly

HB is invariant under global  $U(1) \equiv O(2)$  transformation

$$\hat{b}_i \rightarrow \hat{b}_i e^{i\phi}$$

$$H_B = -w \sum_{\langle ij \rangle} [\hat{b}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{b}_i] - \mu \sum_i \hat{n}_{bi} + \frac{U}{2} \sum_i \hat{n}_{bi} (\hat{n}_{bi} - 1)$$

$$\hat{b}_i \rightarrow \hat{b}_i e^{i\phi} \Rightarrow \hat{b}_i^\dagger \rightarrow \hat{b}_i^\dagger e^{-i\phi}$$

$\Rightarrow H_B$  is invariant under  $U(1)$  phase transformation.

### Quantum Rotor Model v/s Bose Hubbard Model

HR	HB	Remark.
J term	$w$ term	Both couple neighbouring sites in a manner that prefers a state that breaks the global symmetry. <span style="float: right;">Note</span>
$Jg$ term	$U$ term	

$$|\Psi_{SF}\rangle = \frac{1}{\sqrt{N}} \left( \frac{1}{\sqrt{N_{sites}}} \sum_i b_i^\dagger \right)^{N_{atoms}} |0\rangle$$

$$|\Psi_{Mott}\rangle = \prod_i b_i^\dagger |0\rangle$$

Under  $b_i \rightarrow b_i e^{i\phi}$  both  $|\Psi_{SF}\rangle$  &  $|\Psi_{Mott}\rangle$  just acquire a global phase.

Phase 1  $\therefore$   $U(1)$  Symmetry is broken

Phase 2  $\therefore$   $U(1)$  Symmetry is unbroken

Phase transition occurs as a function of  $\left(\frac{w}{U}\right)$ .

Let us analyze the two regimes

①  $w \ll U$

$$H = \frac{U}{2} \sum_i n_i(n_i - 1) - \mu \sum_i n_i$$

$$n=0 \quad E=0$$

$$n=1 \quad E=-\mu$$

$$n=2 \quad E=-2\mu + U$$

$\vdots$

$$E_n = -n\mu + \frac{U}{2} n(n-1)$$



Claim:-

$$[\hat{N}_b, H_B] = 0.$$

$$N_b = \sum_c n_{bc}$$

$$\hat{n}_{bc} = \hat{b}_c^\dagger \hat{b}_c$$

Proof:-

$$\hat{n}_{bc} = \hat{b}_c^\dagger \hat{b}_c$$

$$\hat{N}_b = \sum_c \hat{b}_c^\dagger \hat{b}_c$$

We know that  $[A+B+C, D] = [A, D] + [B, D] + [C, D]$

$$A = \omega \sum_{\langle i,j \rangle} (\hat{b}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{b}_i)$$

$$D = \sum_c \hat{b}_c^\dagger \hat{b}_c$$

$$\left[ \sum_{\langle i,j \rangle} \hat{b}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{b}_i, \sum_c \hat{b}_c^\dagger \hat{b}_c \right]$$

$$= N^2(N-1) - N^2(N-1)$$

$$= 0$$

$$B = -\mu \sum_c \hat{n}_{bc}$$

$$[B, A] = 0$$

$$[C, A] = 0$$

thus,  $[\hat{N}_b, H_B] = 0.$



Since

$$[\hat{N}_6, \hat{H}] = 0$$

We have the conservation of total number of bosons.

→  $\mu$  term in  $H_B$  does not break global  $U(1)$  symmetry for any value of  $\mu$ .

Exercise:-  $\hat{N}_6$  is the generator of  $U(1)$  transformation.

### Mean Field theory

Goal:- to model the properties of  $H_B$  by the best possible sum of single site Hamiltonians

$$H_{MF} = \sum_i \left\{ -\mu \hat{n}_{6i} + \frac{U}{2} \hat{n}_{6i} (\hat{n}_{6i} - 1) - \psi_B^* \hat{b}_i - \psi_B \hat{b}_i^\dagger \right\}$$

How do we come up with such a prescription at first instance?

- $\psi_B$  is a field which describes the influence of neighboring sites (on site  $i$ )
- No longer symmetric under global  $U(1)$  transformation.
- For  $\psi_B \neq 0$ ,  $[\hat{M}, \hat{H}_B] \neq 0$   
 $\Rightarrow$  total number of particles is not conserved.

$\Psi_B \neq 0$   $\therefore$  Broken symmetry phase

$\Psi_B = 0$   $\therefore$  Symmetric phase

Claim: The state that breaks the  $U(1)$  symmetry will have nonzero stiffness to rotations of the order parameter.

$\downarrow$   
Superfluid density characterizing a superfluid ground state of bosons

Verify by reading rotor model

Since the mean field Hamiltonian is the same on each site, ground state does not spontaneously break translational symmetry of the lattice. This is however possible, but will remove it to avoid complications.

Why? How?

Finding the