

VR viewer

Tobias Eilertsen

Master's thesis in Software Engineering at

Department of Computer science, Electrical
engineering and Mathematical sciences,
Western Norway University of Applied Sciences

Department of Informatics,
University of Bergen

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Western Norway
University of
Applied Sciences



Abstract

During orthopedic surgery planning, the surgeons use CT images and 3D printing to analyze the fracture and help prepare for the surgery. Visualising three-dimensional data as 2D images limits how the data is comprehended. 3D printing has drawbacks related to the printing process, such as printing time and the need for support structures.

With Virtual Reality technology, the data can be visualized in 3D using a real-life scale to perceive depth and distances better. The improved visualisation can improve the preparation phase by increasing the anatomical understanding or removing the need for 3d printing. A VR application for viewing CT scans was created with assistance from surgeons at Haukland University Hospital.

The VR application is tested in order to evaluate how it affects the surgery planning. Medical professionals have tried the VR applications and participated in semi-structured interviews.

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Chapter 1

Introduction

This thesis is written as part of the University of Bergen and Western University of Applied Sciences master's program in software engineering. The project is a collaboration between Helse Vest IKT, HVL department of computer science and orthopedic surgeons at Haukeland University Hospital.

1.1 Context and Approach

A Computed Tomography (CT) scan is often performed when a hospital receives an injured patient considered for orthopedic surgery. The CT scan is displayed to the surgeon as a series of images, as a 3D model or as a printed physical model. The noninvasive CT scan allows the surgeons to better plan the surgery by understanding the anatomy of the fracture without opening the skin of the patient.

If a surgeon has a good understanding of the fracture anatomy and better plans the surgery, the surgery has less risk of complications and could give a better result. Printed models helps neurosurgeons practice [50] and improves planning and performing surgery in Orthopedics [9]. Medical imaging plays a big role in surgery planning, and the visualization of data affects how it is interpreted [6].

Of the different visualization methods used, Virtual Reality (VR) is among the newest technologies. It is starting to be applied more in several fields, including medicine. VR also has some unique benefits discussed later.

1.2 Problem Description

Visualizing the model in 2D limits the understanding of the fracture because of the lack of scale and depth. The problem applies to both 2D images and 3D models. A considered solution is visualizing the model in Augmented Reality (AR) or Virtual Reality (VR) to give medical personnel a good understanding of the problem area. VR has multiple potential benefits, and the surgery planning process can benefit from this. As the users are already looking at 3D models on

2D screens, visualizing in VR could improve the surgeon's fracture understanding and by this ensure patient safety. The entire planning process could also be more effective by removing or reducing the need for 3D printed models.

1.2.1 Research questions

The problem description leads us to the following research question and sub-questions.

How can VR technology improve orthopedic surgery planning?

- Can VR give a better preparation for surgery?
- Can VR replace 3D printing while using fewer resources or less time?

1.3 Methodology

The project includes a minimum viable product VR application of a standard viewer that is user-friendly enough to test with non-technical persons and with functionality that covers the use cases of a printed model. The application is tested on medical personnel to evaluate any benefits on anatomical understanding and how it affects surgery planning and effectiveness. The application will be available for further development and study.

Firstly, a application VR viewer will be created with the help of VR frameworks and guidance from both orthopedic surgeons and developers with experience in medical technology.

In order to answer the research question, we have evaluate the usability of the final application. This thesis has used qualitative methods by interviewing related personnel to evaluate the planning improvements, including anatomical understanding, cooperation, and the effectiveness of the planning process. The functionality will also be compared to the existing solutions, possibly by making a direct comparison by using a 3D model, printed model, and VR viewer on the same case.

1.4 Related works

Several other variants of VR viewers already exist. Typical functionality for existing solutions includes viewing DICOM files as 3D models and a basic VR interface for inspecting the model and viewing DICOM slice images. Some applications are part of more extensive enterprise solutions including other medical imaging tools, management systems, and more. No solutions found are open-source or free. The existing solutions differ in visualizing the model as a solid mesh or as a volume. ImmersiveView VR [30] and MedicalImagingVR [37] renders the scan as a transparent volume. Most solutions also include a standard 2D plane rendering for viewing slices. Some solutions like medical holodeck [28] *MedicalimagingXR* offer a detailed visualization including anatomical layers like muscle and bone. However, the data is created manually with a custom dataset and can not be used for viewing a specific patient. Some solutions are

also created specifically for other areas than orthopedics, like Sentiar Command-DEP [12] for heart surgery. Early AR solutions exist intended for use during surgery, including surgical theater [61] intended for neurosurgery [4]. Other similar solutions are *The body VR: anatomy Viewer* [58], DICOM VR [14], Ceevra [7] and Dicom Director Intravision XR [15].

The application developed in this project differs itself by visualising the model in separate pieces and allowing users to adjust the model. In addition, no other open-source solutions are found specifically for viewing DICOM data in VR, and few free VR viewers are found.

A 2019 study in visualising Patient data with VR [60] implemented a VR viewer for DICOM data and tried to measure anatomical understanding compared to 2D images. The study did not investigate the efficiency of the planning phase, and it did not consider 3D printing.

1.5 Contribution

1.6 Outline

Chapter 2

Background

This chapter will present some of the knowledge that our research is built upon.

2.1 Orthopedic surgery

Orthopedic surgery is surgery involving the musculoskeletal system. Cases range from trauma surgery, where high impact forces cause injuries to infections and tumors [53].

Orthopedic surgeons do both elective (planned) and emergency surgery. Surgery includes prosthesis surgery, tumors and infections. In elective surgery, the surgeons will have days or weeks to plan out the surgery. A team of usually two surgeons will plan the surgery together. The surgeons will diagnose the patient from the following features: history, clinical examination, medical imaging, and any special investigations. History includes the patient's complaint and any previous injuries. Clinical investigation means examining the sources of the symptoms and the body as a whole. Medical imaging, including X-ray, ultrasound, CT, and Magnetic Resonance Imaging (MRI), gives the surgeons a detailed insight into bones or soft tissue structures [53]. Medical imaging is used to locate the fracture or the number of fractures and inform the surgeon on the anatomy, such as fracture line and fracture type. The data can also show bone condition if any joints are involved and swelling of soft tissue. All this information helps confirm the diagnosis, study the fracture and plan the treatment [17]. The different imaging types have different uses and advantages. X-ray is always the first choice as it simpler and cheaper [17]. A CT scan is used to get better information in complicated fractures. MRI can additionally detect soft tissue and ligament injuries.

When deemed necessary by the surgeon, a 3D printed model of the fracture is created. The 3D print requires a previous three-dimensional scan such as a CT. The model can improve the surgeon's understanding of the fracture, and allow the surgeon to adjust and practice with implants. The medical imaging and 3D printing is done by radiologists at the hospital.

This project was based on elective surgery planning in a complicated fracture.

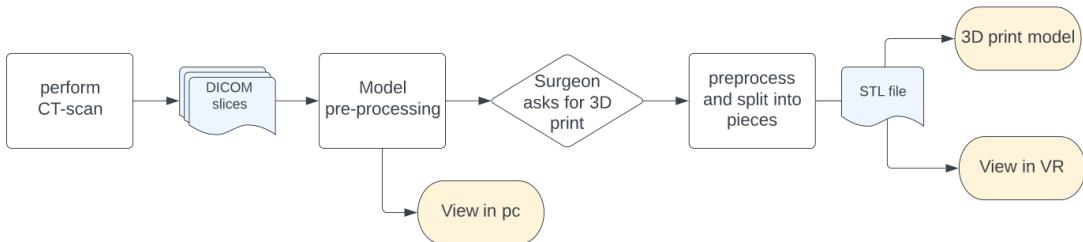


Figure 2.1: Workflow of how medical imaging is used

2.1.1 Orthopedic methods

Fracture treatment in orthopedics can be divided into several methods outlined in Textbook of Orthopedics [17]. Nonoperative methods include straps, slings or casts. Nonoperative methods have no infection risk or surgical risk.

Operative methods are used for anatomic reduction. Reduction is how bones are set in close proximity after a fracture, and is important for proper healing [verywell]. When surgery is decided, the fracture is typically fixed internally by implants. Internal Fixation means to internally set and stabilize bones [17]. Implants include screws, nails, and plates made from steel, titanium, or plastics. Treatment of fractures by external fixation is also possible. External fixation means a metallic frame is connected to the bone with pins.

2.1.2 Brief history of medical imaging

The first used imaging tool was the X-Ray, discovered in 1895 [27] [52]. As the energy in the radiation is absorbed at a different rate by tissue and bone mass, it is possible to create an image of the bone. The image is displayed as a projection from the X-ray angle. During the first half of the 20th century, additional techniques with several X-Rays allowed to isolate a slice of bone without over- and underlying tissue. Often X-ray from several angles is required to view the fracture without being obscured by other bone masses [17].

A big leap in medical imaging was the CT scan (computed tomography) [6]. CT scans (Computed Tomography) were invented during the 1970s. During a CT scan, an X-ray tube is rotated around the tissue, scanning from all angles while detecting the absorption/reflection of the tissue. CT scans overcome the two-dimensional X-ray limits and create detailed image data that can be visualized in any plane without superimposing the image with tissue above and below the selected layer [27]. The detail of a CT scan depends on the hardware used, as well as the trade-off where higher resolution gives the patient higher radiation [6]. The higher resolution is in complex cases preferred over X-ray to evaluate intra-articular fractures (crossing a joint) or locate smaller fractures [17]. CT scans can eliminate the need for repeated imaging in the case of a trauma patient [53]. MRI (Magnetic Resonance Imaging) was also developed during the 70s. It uses a strong magnetic field and radio signal frequencies to scan. MRI has comparable

accuracy to CT but can also view soft tissue. MRI also avoids radiation [53].

The imaging used for testing in this report is from CT scans done by Radiological department of Haukeland University Hospital.

2.1.3 Visualisation of medical imaging

The output of both CT and MRI scans is a three-dimensional scan. The output is typically represented as slices, where a slice is a 2D picture repeating along an angle. The pixel at coordinate (x, y) at slice number z represent the absorption at the point (x, y, z) [10]. Each pixel in a slice represents a voxel in a volume, and all the slices combined make up volumetric data or a three-dimensional point cloud [10]. The slice thickness of a slice can be below 1 mm, giving a high-resolution scan where a single point is less than one cubic millimeter [27]. Figure 2.3 shows the workflow of converting from slices to voxels and further to a mesh model.



Figure 2.2: Screenshot of Materialise application [36]

When rendering a 2D image, the volume must be projected to two-dimensional space. The values in a single slice can be used to view the intersection at a specific slice number. A line of values along all the slices can be used to view the intersection at another plane. All slices can be combined to show either the average or the maximum intensity [22]. The values are converted to greyscale by mapping ranges of values to greyscale pixels. This mapping function determines the brightness and contrast of the final image.

A specific algorithm is needed to render the scan as a 3D surface mesh. Rendering the model includes preprocessing the volume and classification to determine the type of tissue based on voxel value. A simple approach is thresholding, a binary classification where a polygon is created on any volume point that matches the threshold value. A different threshold can be selected to visualize tissue with different densities, typically bone [22].

Figure 2.2 shows the application Materialise used at Haukeland University Hospital. Both CT slices from different angles and a rendered model is shown side by side.

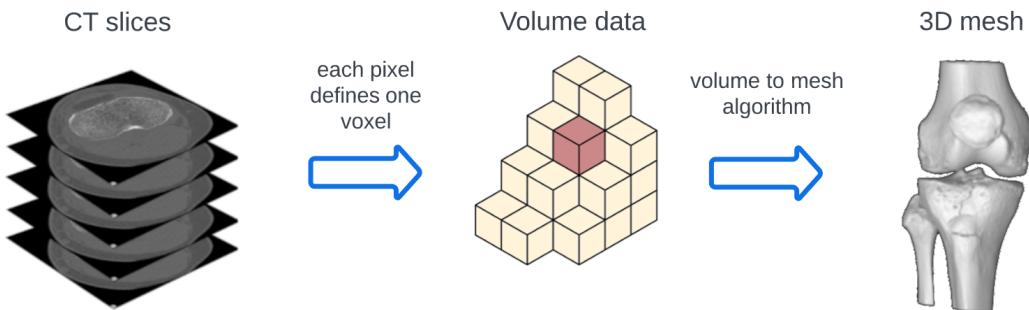


Figure 2.3: DICOM data conversion to a polygon mesh

Data formats

The output of most medical imaging is standardized with the Digital Imaging and Communications in Medicine (DICOM) format [13]. The DICOM format includes metadata and pixel data. CT scans are saved as several files, where each file represents one slice in the scan.

If the data is represented as a 3D mesh, several formats can be used. The Wavefront Object file format (OBJ) [43] is a standard format for objects defined by polygons and surfaces. 3D mesh formats such as OBJ differs from DICOM by not storing volume data. Any density data is deleted when converting from DICOM to OBJ. Another mesh format is the Stereo lithography file (STL) [51]. The STL standard is created specifically for 3D printing. STL includes polygon info similar to OBJ files.

3D printing

An alternative to digital representation is to print the model with a 3D printer, illustrated in figure 2.4. A 3D model can help increase accuracy when performing a procedure [50], especially in complex fractures [9]. 3D printing has many advantages, such as the surgeon physically holding the model, measuring the model, trying out equipment, and practicing with the model.

The most significant disadvantage to 3D printing is that the printing process can take more than 24 hours, depending on model size, materials, and printer. The waiting time is, in some cases, too long. Another drawback is not having any digital tools such as transparency control, displaying cross-sections, or being able to alter the model after it is printed. A physical plastic model also needs support structures that can lead to an inaccurate representation of the fracture or get in the way of viewing the model. The support structure issue is especially obvious in a fracture with many small bone fragments. A similar problem is not being able to print any fractures on the inside of volumes.

The cost of a 3D printer and material depends on printer type and size requirements but is typically low. Extrusion-based plastic printers are commercially available at as low as 200 USD/ 1800 NOK, with very cheap materials. The cost of 3D printing in industry or medicine comes from personnel, training, and

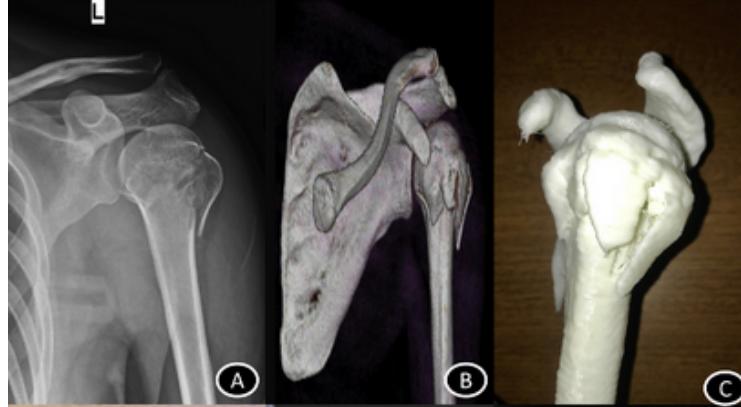


Figure 2.4: Humeral (upper arm) fracture
 A fracture from initial scan to finished print. (A) preoperative X-ray; (B) 3D model;
 (C) 3D printed model. Image from study on virtual preoperative planning [42]

time usage [50].

2.2 Extended Reality

Extended Reality (XR) “is devices capable of overlaying digital information onto the physical world or incorporating aspects of the physical world into virtual scenes” [3]. XR is typically split into Virtual Reality (VR) and Augmented Reality (AR). Virtual Reality is the use of VR technology to sense the user’s state and actions and augment sensory feedback to immerse the user in a 3D virtual environment [40].

The virtual reality system works by tricking the senses by displaying computer-generated stimuli that replace stimuli from the real world. The user typically perceives the virtual environment through a Head-mounted Display (HMD), sound, and haptic feedback (vibration). The virtual environment is the computer-generated objects that the user interacts with. The virtual environment will often mimic properties in the real world, such as shape, color, or functionality.

With more specialized hardware, additional stimuli such as temperature, smell, and more are possible [20]. To make the virtual environment seem real, it must respond to the user’s actions. Current commercial Virtual Reality headsets track the user’s head and hands and allow for button inputs [44]. Modern HMDs use 6 Degrees of Freedom (DOF), which means the user is tracked in three-dimensional position and rotation [35]. The tracking is used by the VR application to simulate walking, picking up objects, and more.

2.2.1 Augmented and Mixed Reality

Augmented Reality and Mixed Reality (MR) is an addition to VR where the real world is viewed together with the digital environment [26]. Several definitions exists, according to Intel [31] AR is a digital overlay onto the real world while MR means interacting with both digital and real elements. For simplicity this

thesis will use the term Augmented Reality to describe devices showing both a virtual and a real environment.

AR comes in different variants; some mobile apps use the camera to create an augmented environment shown in 2D. More expensive AR HMDs work similarly to VR HMDs, except for the transparent viewing glass. Mixed Reality devices primarily exists as expensive HMDs, such as the Hololens [29]. AR HMDs are used in medical, industrial, and military devices to show critical information while users operate devices or perform a job in real life. Examples of this are a surgeon viewing medical information during a surgery or a pilot viewing a Heads Up Display while flying [40] [39]. AR has some uses not relevant to VR because it does not completely disconnect the user from the real world, but has some disadvantages such as reduced field of view compared to VR, poor visibility in bright light [26] and drastically higher cost [28].

2.2.2 Professional usage of XR

While becoming more popular in mainstream entertainment, XR has been used in professional environments for many years. An advantage of XR compared to mouse/keyboard devices is touch-free interfaces with hand tracking, making it better for a sterile environment [3].

VR has been developed by the US Airforce since the 1980s for pilot interfaces [39]. VR and AR are often used in the medical field for training or education because the actual situation would be unpractical or dangerous [24]. VR is used in cardiology and neurology for monitoring and is emerging in other medical fields such as rehabilitation and training [32]. VR is used in cardiology and neurology for monitoring and is emerging in other medical fields such as rehabilitation and training [32].

2.2.3 Advantages and disadvantages

A disadvantage with wearing an HMD is fatigue, both physical fatigue caused by the weight or eye fatigue and motion sickness [38]. Image imperfections cause eye fatigue in the HMD [34], and motion sickness is caused by sensory conflict. According to a study on motion sickness factors, 59 % of the subjects experienced motion sickness after 14 minutes on average. The remaining subjects did not experience any illness [34]. However, Motion sickness can be mitigated in several ways described later.

A use-case of XR is simulating a subject physically out of reach, such as a planet in space or the inside of a patient's knee. For physically impaired users, this advantage is even more relevant. XR can also simulate situations that would otherwise be dangerous, for example, an untrained surgeon performing surgery alone. Another advantage is that VR is more immersive than other mediums. The immersion makes the user feel more stress or fear and makes it feasible to prepare personnel for stressful situations, such as the police. The added immersion also makes teaching or training more motivating for the student [24] while improving learning outcomes [23].

2.2.4 Designing for VR

VR is relatively new in mainstream media, and as such, there are few agreed-upon standards for designing the User Experience (UX). Common VR tips from game designers [62] [63] are immersing the user in a environment with real life interactions instead of just text. Other tips include keeping the user comfortable by keeping the user in control of movement, avoiding bright colors and placing objects at an appropriate height.

Some practical measures to counter motion sickness and fatigue are reducing Field of View (FOV), varying latency between user input and display update, flickering, moving content in the virtual environment, and using several stimuli (audio, haptic feedback) [8]. Some of these are hardware-dependent and not relevant for this project, so moving content is the most relevant measure in this project.

2.2.5 Game Engine

A game engine is a framework specifically used for developing games [25]. Several third party game engines are available, the most popular being Unity and Unreal Engine. Using a game engine speeds up the development as it includes systems needed, like rendering, animations, and physics.

The Unity game engine [54] was used for developing the application. Unity is widely used for game development [16] and is well documented online with a lot of community-supported plugins. The author also had previous experience with Unity development. Unity has good support for different VR and XR platforms [56] including the OpenXR standard widely used by commercial VR headsets [45]. OpenXR allows creating VR games with Unity handling most VR logic.

Part of the Unity VR support is the XR interaction Toolkit (XRTK) [64]. It is a high-level framework for using XR interactions with Unity events. The toolkit also includes components for selecting/grabbing, haptic feedback, and button interaction.

To develop for several XR platforms, the different controllers have a mutual interface for setting keybindings, called an XR controller. Almost all commercial VR controllers support an index finger trigger, a joystick/trackpad with 2D directional input, a grip button, and at least one extra button [54].

Entity Component System

Development in Unity is based around the Entity Component System [18]. An entity is every object populating the game. The base class for all entities is the GameObject. Every model, player and menu is a GameObject.

Components attaches to one or more Entities to define the behaviour. Entities can have several components. Components can provide game logic, movement, user input and much more. Components are scripted in C# and the .NET framework.

Chapter 3

Design and Implementation

The author developed the application in 6 months. The author had no medical experience and was supported by staff at Helse vest with guidance and expertise in orthopedics, medical imaging and Unity, and VR development.

3.1 Demonstration

gameplay

3.2 Design methods

3.2.1 Research Method

The research question was answered by evaluating the VR application regarding the research questions. A mixed testing method was used to evaluate the application.

The most significant part of the study was developing the application that fills the need for viewing CT data. This was accomplished by uncovering user needs with interviews and testing the application on users. When the application met minimum specifications, an evaluation was performed.

The evaluation at the end of the project aims to answer both research questions. The evaluation was done in the following way: The project participants use the application on their own in a relevant scenario. The users respond to a System Usability Scale form and a semi-structured interview. The questions are specific to the research questions.

The responses are gathered and used to answer the questions.

3.2.2 Iterative Development

The application is developed using iterative development and elements from Design Thinking.

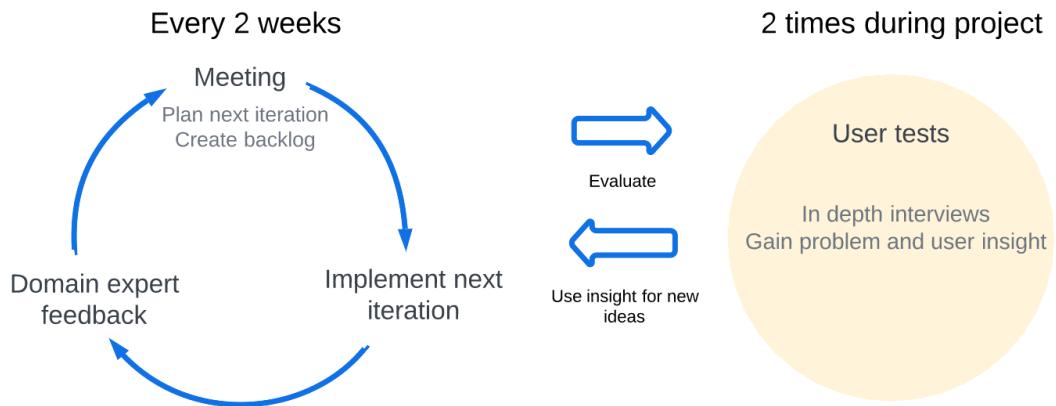


Figure 3.1: Development method weekly iterations illustrated on the left, and user testing on the right.

Iterative development was used to cooperate effectively with the team and increase the development speed. The team had regular meetings, and this fits well with the iterative cycles (figure 3.1). The cycles facilitated frequent feedback from the domain experts. The feedback could then be implemented and tested at the next meeting.

TODO: bilde av early prototype?

The team had regular biweekly meetings with a demonstration and next iteration planning. The meeting was a valuable way of sharing interdisciplinary information and getting feedback from domain experts. Scrum elements such as Sprint retrospective and daily scrum were not relevant as only one person worked full time on the project. After the demonstration, the backlog was updated with tasks prioritized by value. The value is determined by the time needed to implement compared to how important the functionality is to the product owner. The top tasks that fit within two weeks are added to the backlog, and the remaining are stored in the product backlog and considered for the next cycle. During the next two weeks, as many tasks as possible were implemented and demonstrated in the next meeting.

The frequent meeting demonstrations worked really well with VR development, as the different features are hard to understand without trying the application. Fast prototypes are essential to cooperate efficiently when the product owner or test users have a limited understanding of the technology.

Design thinking elements was used because both the medical domain and user needs were challenging to understand. Specifically the empathise, define and ideate stages was used. Frequent prototyping would be too time consuming to be relevant. Design Thinking helps to understand an unfamiliar domain and what the needs of the users are. The application demos served as smaller user testing sessions. The medical personnel in the team would try out the application and any new features and give feedback and ideas during testing. User testing would often lead to new ideas. Optimally the test subjects should have been personnel outside the project without any bias, but busy schedules

and COVID restrictions limited this.

A more in-depth user testing was done twice in the project. This included testing the application with orthopedic surgeons to gain more insight into user experience and user needs. Optimally this would be done frequently at the end of iterations, but the test users were unavailable. The tests are described in detail in a later chapter.

3.3 Project overview

3.4 Design

The final product is a VR viewer for viewing STL files and DICOM data. A VR solution was selected for faster development and better hardware availability than AR, while the AR advantages were not relevant for the planning phase. The solution uses the STL files and DICOM data already produced at the hospital and requires no extra data other than what is used for 3D printing.

The primary goal for the UX design is ease of use for non-technical users, as current VR solutions at Haukeland University Hospital are cumbersome programs. A secondary goal is to make users understand the fracture well and facilitate planning the surgery.

3.4.1 Hardware

The VR devices used for this development and testing was the Oculus Quest 1 and Quest 2 [44]. The hardware was selected out of availability reasons and the practicality of having a standalone device. The Quest 1 and 2 are very popular consumer standalone VR headsets. When in standalone mode, the headset uses integrated graphics and is battery powered. The standalone mode performance is far inferior to a headset connected to a powerful computer, as can be seen in figure 3.2 the Quest struggles with dense geometry, textures and post-processing effects. There are other headsets with better displays and motion tracking, but the Quest devices are sufficient.

Testing in standalone mode at different locations was very convenient, but it had a drawback as the project needed to be tailored to run on a low performance android device. This led to problems with both high resolution data and different bugs related to the android build.

3.4.2 VR interface

To make the VR interface easy to use, the focus was primarily on familiarity with current tools and a simple design. I used MicroDICOM [14] as a reference for a familiar tool. Familiarity with current tools means taking interactions from programs such as CT viewers and adopting the same interactions to VR to make it recognizable and consistent to the user. An example of this is navigating a menu in VR the same way one would navigate a menu with a pc mouse. All unnecessary interactions and information is removed to allow new users with little VR experience to start using the program quickly. The goal is to make



Figure 3.2: The video game *Apex Legends* running on a desktop computer compared to Quest 1 in standalone mode [59].

the experience less overwhelming and lower the skill required to use the viewer effectively.

Architecture

The architecture of the Unity application is based around a singleton 'GameController' module. The GameController manages important states and contains references to the other modules, shown in figure 3.3. The modules have different responsibilities like multiplayer or menu interaction and communicates through the GameController. This solution is easy to extend and prevents a lot of cross-references between components. A module typically consists of a GameObject with the same name, and one or more components attached to the GameObject. In some cases the GameObject has several GameObjects as children. As an example the Model Container has a child for every part of the model. This is indicated by one to many relationships in the figure 3.3.

The components related to the model have several instances and are drawn as one-to-many relations in the figure. This allows to swap between models while keeping info on positions and implants.

Learning process

A video game style tutorial was considered, where the user would go through tasks with hints or descriptions of the features in the application. However, this was not implemented as early testing revealed users were learning the controls quickly.

Every button has a description displayed as text above the button to remind the user of the keybindings as in figure 3.4. An image can optionally be displayed, showing the user the keybindings. The hints were implemented as users

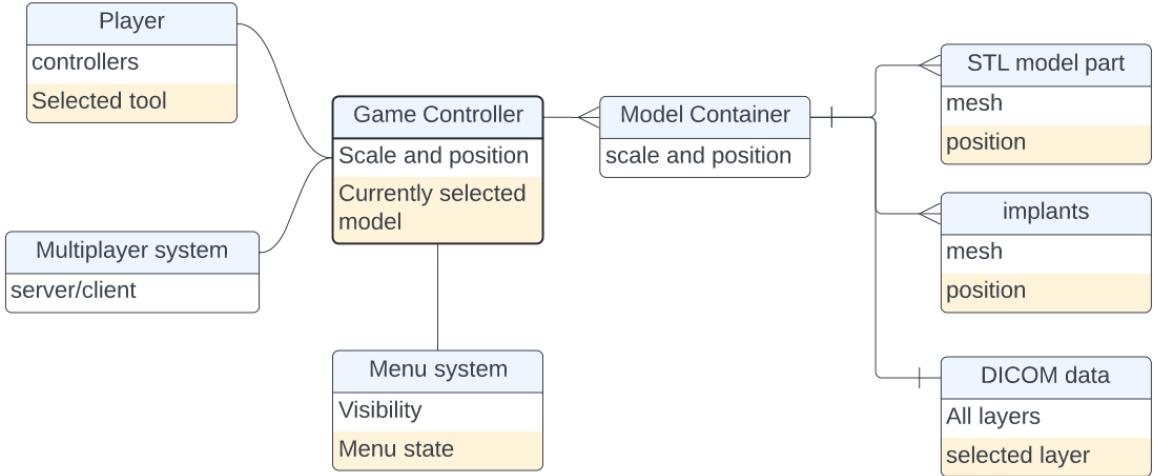


Figure 3.3: Unity project modules

repeatedly forgot button controls in testing.



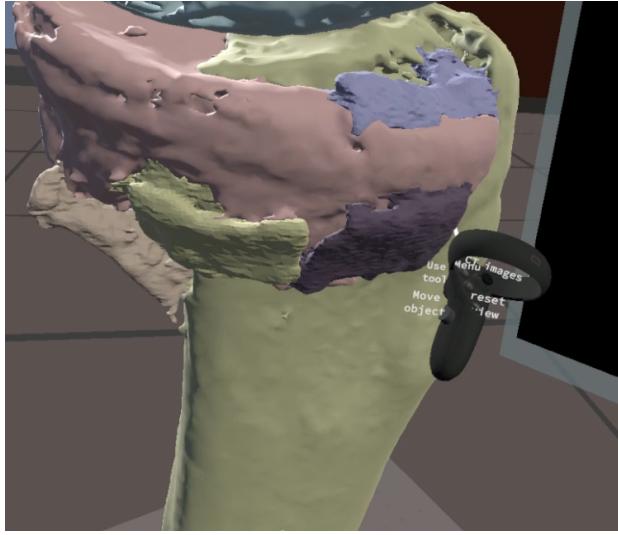
(a)

Figure 3.4: Controller hints

Grab interaction

The most used part of the user interface is moving fracture parts to inspect or rearrange them. Rearranging could be important for fixation planning. The grab should mimic how users move objects in real life to make this easy to use. When the user places the hand close to a model part, the color is tinted to highlight the model. When the grab button is pressed, the highlighted model part is picked up, and a short audio clip is played.

One issue is whether the user wants to move either the entire model or move



(a)

Figure 3.5: Grabbing an object

Notice how the model part close to the controller is focused with a darker color.

a part of the model when grabbing. Including both could introduce mode issues [19], so the grab can only have one use. It was decided that the grab interaction always picks up a model part. A joystick input moves the entire model, which is less precise than grabbing.

The grab functionality is implemented using Unity’s built-in *Interactable* system for XR [64] development. This allows to set up grabbing, throwing, and more. By default, the grab will move the center of the interactable to the user’s hand. The built-in grab action is cumbersome when precisely adjusting larger objects, as moving the interactable a small distance requires repositioning it from wherever the center is. To solve this, the interactable script is modified to keep the current position when grabbed and then follow the relative motion of the hand.

To allow for grabbing small objects or objects that are partly overlapping, the closest object is always selected. The default Unity XR behavior is selecting a new object in every frame, and this would lead to the user grabbing several objects simultaneously. To solve this, any interactable is highlighted when the users hand is within the collision box, as in figure 3.5. It stays highlighted until the hand is outside of the collision box. The grab action is only allowed to select the hovered object, until the grab is released.

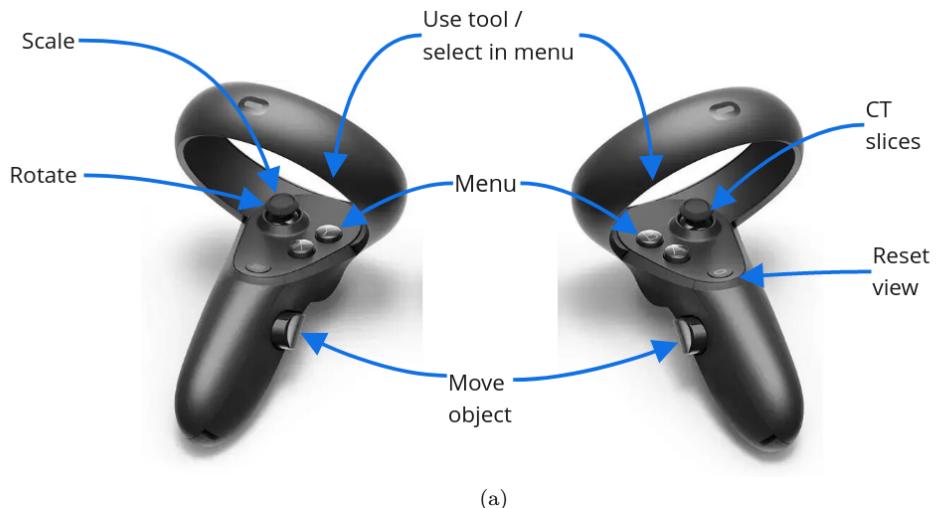
Using Oculus hand tracking [49] could be beneficial, but is currently not recommended for high degrees of precision. Future versions of hand tracking or Hololens hand tracking could improve the interact experience in the future.

Separation mode

The grabbing functionality introduces a problem: If the user moves a model part, the CT images will no longer be similar to the model displayed. Also, if the user is measuring the distance between two model parts, it gives an invalid result if the parts are moved. The solution to this is a separation mode. Moving any model part enters separation mode and reverting to the original position exits separation mode. In separation mode, the CT images are hidden, and measurements are hidden. A large button appears to display the current mode, prompting the user to click the button to reset the model and once again view CT images.

Keybindings

Controller keybindings shown in figure 3.6 was set to make the controllers intuitive and easy to use. This configuration seemed to work well in testing.



(a)

Figure 3.6: Keybindings on Quest controllers
This illustration is also used within the application.

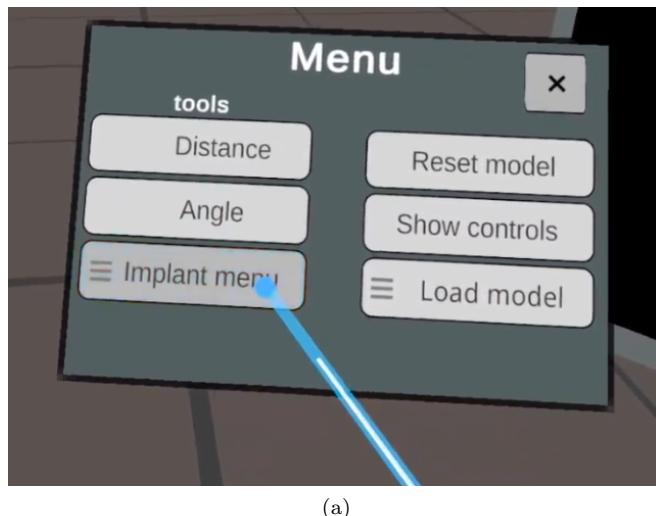
The most common operations are bound to trigger and grip button, which is easily reachable on most controllers. The controller trigger mimics a mouse click on a pc, and so it is used for clicking buttons and using selected tools. The grip button mimics a real-world grabbing gesture and is used for grabbing a model part. The primary button is used for opening the menu. For ease of use, the two controllers are mostly mirrored, except for the joystick. The right joystick is used for scrolling through CT slices, similar to how the scroll wheel is used in CT image viewers. The left joystick rotates and scales the entire model while keeping all the model parts correctly positioned.

The trigger button is also used for menu selection for consistency with other VR apps. This introduces conflicting keybindings with the *use tool* button. This is solved by only performing one of the actions based on the context. If a menu is open or a button is hovered, a menu click is performed. Otherwise, *use tool* is

performed. This workaround simplifies the controls by using less buttons.

Menu system

A menu system is implemented to allow the user to navigate less common operations. The different operations are shown in 3.7. When the menu button is pressed, the menu screen appears in front of the user. It is shown in world space, which means the text appears as a physical screen. The model is temporarily hidden to ensure the menu is always in the user's field of view. Placing content at the center of the screen avoids a typical VR UX problem where some information is not visible to the user.



(a)

Figure 3.7: Menu screen
The main menu interface. The user is pointing at one of the buttons.

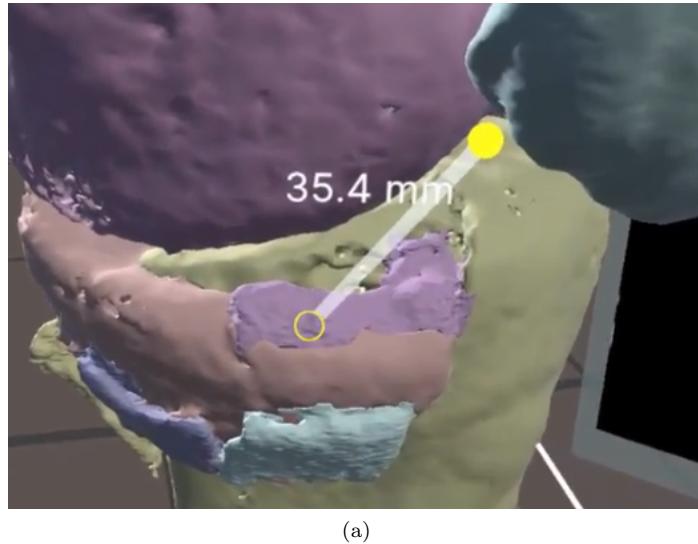
The menu includes access to less frequent actions that do not require their own keybinding. The initial menu includes fast access to simple tools (e.g., measurement) and buttons to sub-menus. A hamburger menu icon suggests the button leads to a sub-menu.

The menu layout consists of one or more vertical lists of buttons. Several columns of lists are used to separate buttons into categories. To keep the menu simple and consistent, only buttons are used for interacting with the menu. The 'cross' button from pc programs is used for exiting the menu. The sub-menus are implemented when multiple options are present. It is used for selecting an implant type or loading a new dataset.

Measurements

The most used functionality available in desktop CT viewers should also be available in the VR viewer. The needed tools are, according to early testing, measurement of distance and measurement of angles. Taking measurements allows surgeons to estimate sizes and plan what tools to use during a surgery. Figure 3.8 shows a distance measurement.

The measure tools works by selecting a start and an end point. The angle measurement additionally requires a middle point. After placing the first point, a preview of the measurement result is shown as a line to give feedback to the user of the current state. Using the outline shader mentioned previously makes it possible to see the placed points even when obscured by the model, and the line between the points is always visible. This, combined with depth in VR makes it easy to understand where the points are placed and what the length and angle is.



(a)

Figure 3.8: Distance measurement

The yellow hollow circle is inside the model with outline rendered on top. The filled circle is in front of the model. Distance in mm also drawn on top.

Audio

Audio is mainly used for interaction feedback. If the user successfully picks up a model part, a sound is played to indicate the user is holding something. Audio is also used for feedback on the measurement tools. Audio in VR increases presence and investment and reduces distractions [33].

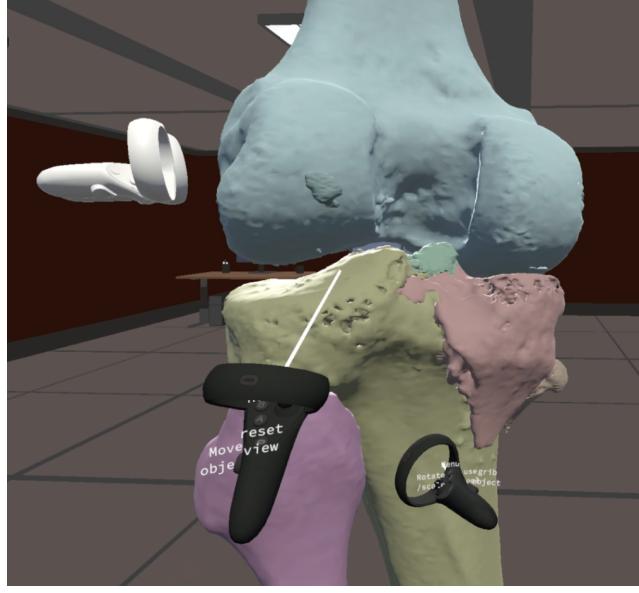
Motion sickness

The most relevant motion sickness factor for this project was the use of motion and static content. The only moving content is the fracture model, which only moves because of player input. The environment is designed like an office room and is always static. All menus and other objects are also static, with a few exceptions. During multiplayer, the model may move caused by another player's input, which might cause some motion sickness if the model is viewed by the user. This could be solved by hiding the model when it moves, but it could interfere with the multiplayer experience if the model suddenly disappears.

3.4.3 Multiplayer design

The network discovery and session connection parts required for pairing two Quest devices over local networks or internet was not completed due to some network issues and lack of time. Multiplayer was not used in testing, but questions were still asked regarding its usefulness during interviews.

The goal of the multiplayer feature was to allow two or more surgeons to cooperate effectively. Having one surgeon using a VR headset and another using a screen is likely interfering because the surgeons are seeing different models. The multiplayer component allows the surgeons to use the same tools while seeing the same model, and should increase communication and cooperation. Multiplayer should not make the application more difficult to use. All interactions should be similar to the usual experience, and cooperation should not require any extra steps, except connecting to the multiplayer server. Any important information should be available to all players, and irrelevant information (another player opening a menu) should not disturb users.



(a)

Figure 3.9: Multiplayer
Image showing a session with another user with white controllers.

The multiplayer interface is a menu shown at startup. The user can select either to start a new session in which other users can join, or join an existing session. The sessions are hard-coded to always run on the same network port and have no authentication, as this would take too much development time and give no value. The server is also hosted by the user starting the session instead of a dedicated server, for easier development.

All model parts are synchronized between players, so any models and movements are visible for all users. The position is continuously shared whenever a user is grabbing a model. As shown in figure 3.9 other users' controllers are also visible

in order to communicate and point at locations.

Mirror

The networking was built with Mirror, a downloadable Unity asset. Mirror is a high-level networking API built on deprecated Unity networking [41]. Mirror has good support for creating a client/server pattern and classic video game related operations such as spawning in players at the start of the game and synchronizing objects across all clients.

3.5 DICOM data visualisation

I received several anonymized CT scan files from Helse Vest to use as input data. The CT scans are sent as DICOM packages, where the scan is stored as several files, each representing a slice. The model is also split up into separate bone fragments by radiologists at Haukeland University Hospital. The files include 3D surface models as STL files, where each file contains one bone.

3.5.1 Unity with custom file types

To be able to read the DICOM files, the build produced by Unity needs access to the files. Unity has several built-in solutions to this. The most common is the Resources functionality [47] that builds the application and includes all files in a ‘Resources’ folder. Android projects are built in the standard APK format and also require special file paths and using the built-in web request to read files. This is not well documented, especially for the Quest, so this method was scrapped.

The easiest way of referencing files is usually setting a reference in the unity editor, and the file will be included in the build. This works great with common files like images, but Unity does not allow custom file types without ‘hacking’ the Unity inspector and creating a custom file importer [48], that has lacking documentation. The solution to this is to rename all DICOM files to name.bytes. This makes Unity handle the files as ‘TextAsset’ text files [57]. The files are then opened as text files and create a byte stream. The byte stream is then used for rendering with the DICOM library.

3.5.2 Rendering CT image

The user can view CT slices in 2D while simultaneously viewing the model as illustrated in figure 3.10. This is done because of two reasons: to bridge the gap going from traditional 2D images to VR and retain the density data lost when converting to a mesh model. An additional benefit is the use case of practicing reading CT images while viewing the corresponding model.

To render CT images from the DICOM data, the .NET library *Fellow Oak DICOM* [21] is used. It is an open-source library for parsing DICOM data and image rendering. The data from the DICOM files are used to create a *Slice* object for each of the files. The slice object implements reading the density value at position (x, y) at that slice. A Unity Texture is created for each slice to render the image in Unity.

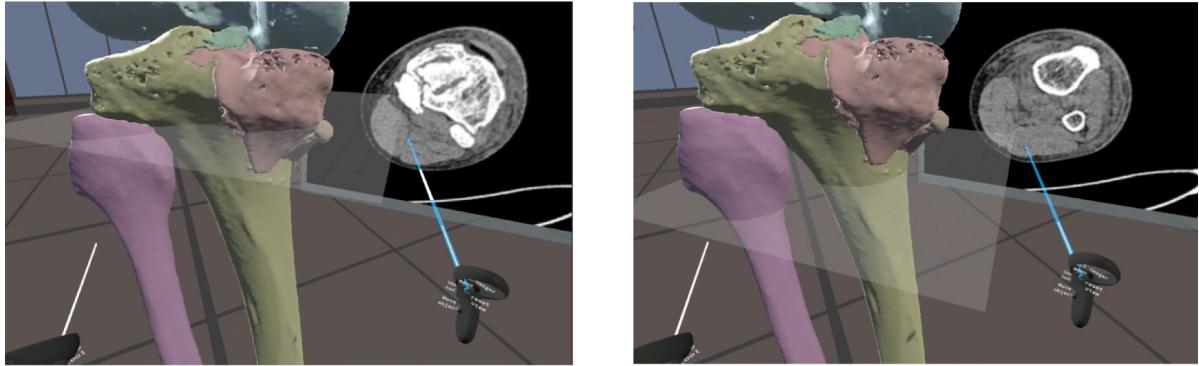


Figure 3.10: CT image

The user is moving the CT slice plane (transparent grey) up and down to inspect the Tibia and Fibula (Shinbone/Calf Bone). The CT image shows the CT scan of the two bones. [42]

Every pixel on the texture is set to the greyscale color correlating to the density value. To find the color in a position, calculate $(density - minDensity) / (maxDensity - minDensity)$. If result is 1, it has maximum density and is set to white color.

The slice number is calculated to convert between the selected height and the rendered CT image. This is done by getting the model's height and reading the y position of the selection plane. The height percentage of the plane is rounded down to the closest slice number, and that slice is shown.

3.5.3 Rendering bone fragments

In STL files all vertices are stored coordinates relative to the center, so by inserting all STL files at the same world space coordinate, the bone fragments will be placed correctly relative to each other. The STL files are exported to .obj files using blender and rendered with Unity.

Accurate scaling of the model is important, both to give a realistic view for the user and for tools like measurement and implants. The STL files used for input does not contain physical size data, but uses a convention where one unit corresponds to one mm. In Unity a single unit is rendered as one meter in VR. By scaling the Unity object so a model unit is 1/1000 of a Unity unit the sizes are perceived as true to life. When scaling the model, all measurements and implants are scaled simultaneously. Being able to view life-sized models could make the planning closer to the surgery and make tools more familiar to the user.

Separating bone fragments

Adding some form of manual splitting of meshes was considered. This would allow the user to split the model pieces into separate pieces further. This functionality would also make it possible to use models that are not split into pieces beforehand.

An example open-source framework called Ezy-slice [5] was tested. This was later abandoned as the model was manually segmented at Haukeland University Hospital.

3.5.4 Alternative slice visualisation

While rendering the selected slice alongside the model was useful, there was still a some disconnect between the model and the CT image. To improve upon this, the CT image was rendered at the exact position where it would intersect the model (figure 3.11). Now the user sees both the model and the CT data simultaneously.

This required removing the part of the model above/under the intersection plane, so it did not obscure the image. For this, a Unity Assetstore shader was used to view the cross-section of a mesh [2].

This effect can be disturbing as the entire model is not visible and the image can obscure objects behind. To allow for standard rendering, intersection rendering is made optional. The option defaults to showing the entire model because it is easier to understand. The toggle button is shown in the CT image options.

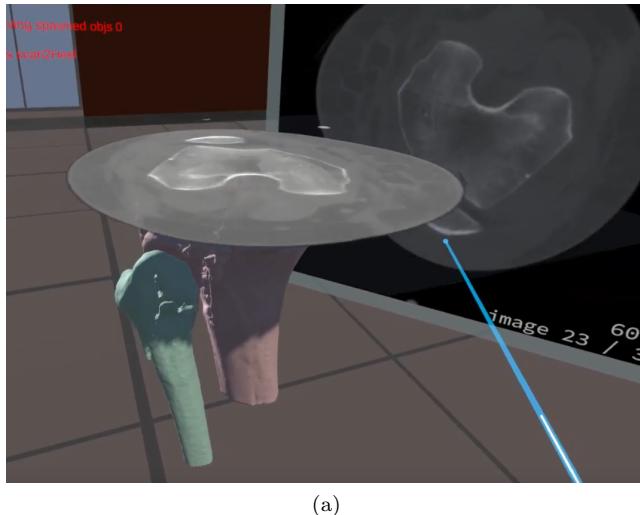
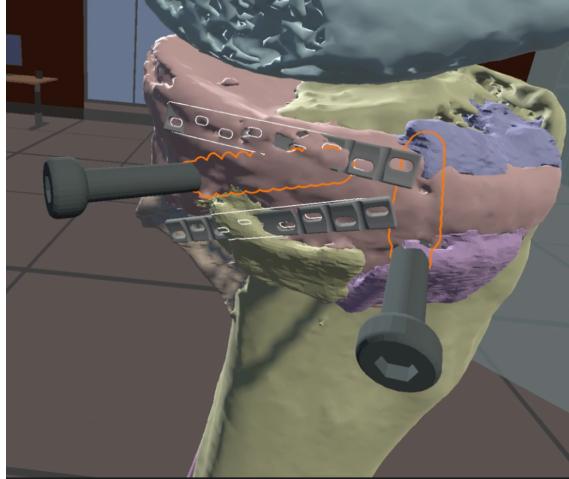


Figure 3.11: CT intersection

The selected CT slice is shown both in 2D and as a overlay on top of model. Notice that the upper part of the model is not rendered.

When overlaying the slice texture onto the intersection plane, the image will completely obscure the model when looking down at the image. This removes the point of overlaying the CT image, so the picture is created as partially transparent. When the texture is created, the grey value is used as input for calculating the transparency of the pixel. This results in most of the outside of the image being completely transparent.



(a)

Figure 3.12: Implants

Picture showing 4 implants placed on and inside the fracture. Outline makes it easy to understand the exact position.

3.5.5 Implants

The menu has a sub-menu for choosing what implant to add to the scene (figure 3.13). It consists of a list with a description and a preview of the implant. Pressing a button will spawn the selected implant in front of the user, and can then be moved in the same way a model part can be moved.

To improve the surgeon’s ability to line up screws and find what parts of the fracture is penetrated by the screw/object an outline is shown as in figure A.2. This allows the user to see where an object is compared to any other parts, even if it is entirely obscured by the model. This is achieved by a shader using a depth buffer to only draw the outline when obscured by other meshes [55].

3.6 Performance

Performance guidelines for Oculus Quest hardware are outlined by the Oculus developers for the Quest 1 in the documentation [44].

The recommended triangle count is between 350k and 500k triangles [46]. The imported meshes can have a high vertex count and are reduced manually using remeshing in MeshLab [11] to bring the total to under 500k. The reduced quality is barely noticeable when viewed in the resolution of the Quest HMD. The mesh simplification could be automated, but this limit would be irrelevant if using a headset with a modern GPU for dedicated rendering.

TODO: performance data?

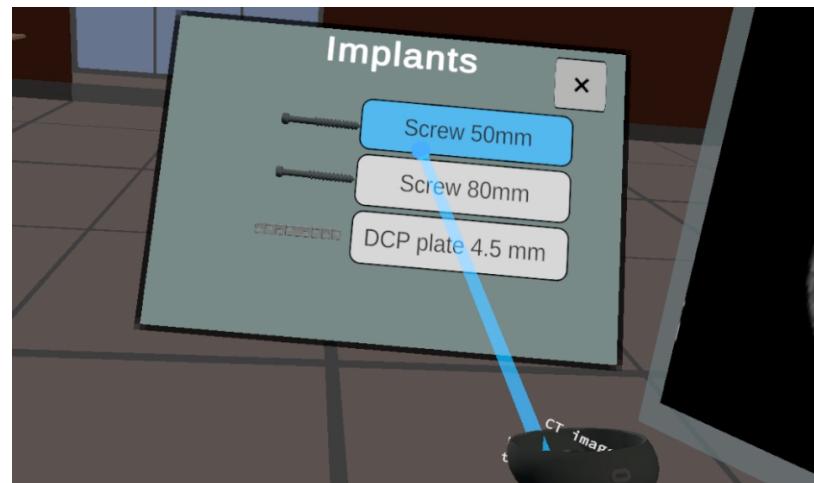


Figure 3.13: Implants menu
The menu used for selecting implants, with a side by side preview.

Chapter 4

Results and Discussion

Two user tests were performed during the project to evaluate the application and try to answer the research questions. In this chapter, both tests and the results are described.

4.1 Evaluation

4.1.1 Participants

The application was tested on two orthopedic surgeons currently working at Haukland University Hospital. Both surgeons had more than ten years of experience in orthopedics and claimed to be very proficient at reading CT images and recognizing fractures. Both participants had limited experience with any virtual reality, rating their own experience at 3 out of 10.

Having only two participants means it is difficult to conclude any of the research questions fully. However, the semi-structured interview does provide considerable insight into the research question.

4.1.2 First test

Date: 16 Jan. 2022

This was the first test done with professional end-users. Previous to this test, only the surgeon on the team had proposed what functionality was needed in the product.

The goal was to determine if any of the proposed use cases and implemented features were relevant for end users and if any additional requirements were needed.

Before the test, the intended use cases were described to the participants. The intended use case was improving the understanding compared to traditional slice visualisation given a complex fracture. Testing was performed by introducing the application and explaining how to use the VR controllers. Afterward, each surgeon would have 5 to 10 minutes to try out all features at their own pace.

The HMD was mirrored to a screen to observe what the user was doing. Minimal guidance was given during the testing, except for clarifying questions on bugs or limitations.

Results

The participants struggled with the controls for the first two minutes, especially understanding what buttons to press and how to pick up model parts. After the initial struggle, the participants easily interacted with the program.

The application had no way of moving the entire model closer to the player and relied instead on the *Reset View* button integrated on the Quest. It was hard to find and use this button for the user.

After testing the application, the participants gave feedback on the functionality. The model is missing data on bone density because all bones look the same. The density is relevant because only solid bones can be drilled into. The model is also lacking some info on bone mass because bone with density below a density threshold could have been ignored when making the model.

The model pieces were in large segments and so smaller fracture parts could not be moved individually. Smaller pieces should have been movable in order to perform reduction on the fracture.

Both surgeons agreed that using VR to understand the fractures was a minor improvement from 2D and 3D models. The reason stated was that both surgeons are very experienced with reading 2D CT images and can easily recognize a familiar fracture on CT images, often without any 3D model. Both suggest a use case for training or education where CT imaging is harder to read.

4.1.3 Second Test

Date: 5 May 2022

Between the first and second test the application was improved based on the previous results. A similar fracture to the first test was split up into three times as many smaller individual pieces to allow for reduction planning. Implants was also further developed. Other miscellaneous changes were added to improve the user experience.

The approach to this test was similar, except for a more structured evaluation. After the participants finished testing, a semi-structured interview, including System Usability Scale questions, was done.

System Usability Scale [1] (SUS) was used to get quantitative data to be able to compare between versions or make conclusions on the usability. The SUS results are, however not reliable with only two participants.

Results

As the surgeons had tried an earlier version, they quickly got used to the controls, even with four months between tests. Manipulating the model seems easy and requires no guidance. The functionality that is available in other programs, such as scaling/zoom, rotation and CT images was familiar and easy to use.

Some of the application design did not work out as expected. When rotating the model, one participant tried to rotate on another axis, but only z-axis rotation is supported. Viewing the model from the z-axis (top down view) is common in other viewers. The application had no way of resetting the model position, sometimes causing the user to reach into a wall or furniture. There is also no way of picking up a model physically out of reach.

One participant mentioned that using controllers to manipulate tilt and rotation is easier with VR controllers compared to mouse/keyboard. This is relevant for planning with implants.

A participant said moving model parts for planning surgery is seldom relevant as bones are often moved very short distances. Bones are attached to soft tissue, and moving them is very restricted. Also the surgeon almost always knows where fracture parts are supposed to be, and does not need to plan the movements. There is however, exceptions to this with specific complicated fractures.

Measurement tools are helpful, as they are also used in traditional programs, and missing them would be a disadvantage.

The general feedback is that the benefit from visualising in VR is pretty insignificant in almost all cases, as the information gained from 2D images and 3D models is sufficient. This is mainly due to experience and how easy it is for surgeons to recognize a familiar fracture. Often the surgeon has performed the same type of surgery 100+ times and only needs to recognize the type of fracture. Additionally, creating the required 3D model makes the benefit less significant. The slight improvement in visualisation is often not worth creating images for 3D rendering and bothering to view the model in VR.

A participant said when comparing to 3D printing that he rarely requested prints, of the same reasons mentioned earlier: When viewing CT images he very often recognizes a familiar fracture.

Some of the interview results are from the surgeon on the team that was included in the development process. He could be biased on how easy the software is to use or on how useful it is, but is still included for sake of discussion.

The result from the SUS questions was 58.75 points out of 100. The sample size is too small to conclude anything, but the result can still be a topic of discussion. The questions used and average scores are available in the appendix.

4.2 Discussion

4.2.1 Final product

The final application is overall working well and mostly accomplishes the first design goal of being easy to use. The other goal of giving users a good understanding of the fracture is partly met, VR gives some benefits to visualisation, but it is a small increase compared to other tools. There are some user experience errors apparent in testing that should be improved. The most important is handling Interactable objects physically out of reach. Some VR games use a "force grab" mechanic where grabbing a distant object moves it towards the users hand, which could have solved the issue.

As development and testing was done on a particular headset, hardware choice and limitations could have an impact on results. The Quest 2 is a fairly recent headset released in 2020 [44], but is a cheap consumer headset with lower specifications than more expensive alternatives. This primarily affected how well the application could render large datasets without lagging. Testing could potentially have compared headsets with different resolutions and performance to find if this causes a worse user experience. Virtual Reality and Augmented Reality is fields with a lot of development, and future hardware will probably make VR or AR an even better alternative.

4.2.2 Research questions

As the only participants are expert surgeons, the user tests may not have targeted the most relevant end-users: less experienced surgeons. This result describes what experienced surgeons that are very familiar with current CT tools think of VR. Testing users with less experience or in training was not done due to time constraints but would be interesting.

The SUS score of 59 indicates a less than average application usability, which is 68 [1]. The low usability score is probably skewed by scepticism towards the use-case and not just the user experience of the application itself. The question *I want to use the application often* had a score of 1/5 because of what tools they already use are sufficient, and not the applications usability. This question does however imply that the application is not very useful for experienced surgeons.

VR has documented benefits in learning and education. The benefits could apply when using the application for less experienced end users, or in training.

Compared to existing tools

Comparing the VR viewer to the existing 2D and 3D tool used at Haukeland University Hospital called Materialise, there are definitely advantages.

Possible benefits are related to controller manipulation and depth perception. Rendering with depth is likely not useful for experienced surgeons, but is promising for less experienced surgeons. Controllers could be beneficial for complex planning of surgeries because of the easy 3D manipulation.

Compared to 3D printing

VR has some obvious benefits over 3D printing such as digital tools and more complex visualization. However an important question is whether to compare VR to just 3D printing or printing in addition to 2D tools. As 2D tools are often used together with 3D printing, VR should be compared to both. Some advantages to VR like transparency or cross sections is also available in 2D applications.

Tools like measurement already exist in current 2D tools. The similar tools in VR gives few benefits except for better controller manipulation when placing or moving objects. Controller manipulation seemed to be the best advantage but did not have many practical use cases, according to interviews. Implants have some advantages when digital, such as 'screwing' in a screw, and then resetting

the model. Implants can also be adjusted and manipulated digitally without having a physical model.

3D printing has some advantages hard to recreate in VR. The first is physical touch, which controllers can not reproduce. Another is accurately using tools or implants with the model, which can be simulated, but with a lower precision than real life.

VR has the advantage of remote usage or remote cooperation, which is very limited with physical prints. This has however not been tested in this project.

While VR has some potential advantages to 3D printing, the test participants does not believe it helps much in surgery planning. It has advantages over 3D printing, but the participants rarely uses printing anyways. It has a lot of potential in complex fractures where printing is limited and the fracture is hard to understand, but these kind of cases are rare.

Chapter 5

Conclusion

Given the evaluation results described earlier, we try to answer the proposed research question by looking at the two sub-questions:

5.1 How can VR improve surgery planning

With experienced surgeons as the target group, there was no indication of VR itself improving the quality of the planning and improving the outcome of the surgery.

5.2 How can VR replace 3D printing

It is established that VR is a technology that requires fewer resources compared to 3D printing. In the context of surgery planning, it seems VR can give the same advantages as 3D printing, making it a feasible alternative. However, as both have unique advantages, VR can not be considered a complete replacement.

Chapter 6

Further Work

This project would require more testing for it to be reliable. Testing should include a higher sample size and broader demography of specializations, ages, and experience.

6.1 Educational Tool

As previously suggested, a likely relevant target group for a similar project would be surgeons in training, undergoing education, or with less experience. This could possibly include educational tools tailored for training.

6.2 Other fields

There are many other medical fields beside orthopedics that use scan data for diagnosis and planning. CT, MRI, PET and ultrasound can all produce image slices and 3D models, and these could be visualized with a very similar application, especially anything using the standard DICOM format. Examples are neurosurgery or cardiovascular surgery that typically use MRI scans instead of CT, and also require some planning in advance.

6.3 Education

Appendix A

Source code

The source code for the plug-in is available at this URL: <https://github.com/>
....

The source code for the underlying ...: <https://github.com/>....

System Usability Scale 1 - 5

- questions
 - Average of answers
 - Calculated sus score
1. Jeg vil bruke applikasjonen ofte
 - a. 1
 - i. 0
 - a. 2.5
 - i. 2.5
 2. Applikasjonen er unødvendig komplex
 - a. 2.5
 - i. 2.5
 3. Applikasjonen er enkel å bruke
 - a. 3
 - i. 2
 4. Jeg trenger teknisk hjelp for å bruke applikasjonen
 - a. 3.5
 - i. 1.5
 5. Alt av funksjonalitet fungerer bra sammen
 - a. 2.5
 - i. 1.5
 6. Det var mye inkonsistent i applikasjonen
 - a. 1.5
 - i. 3.5
 7. Det er lett å lære seg fort
 - a. 4
 - i. 3
 8. Applikasjonen er tungvint å bruke
 - a. 1.5
 - i. 3.5
 9. Jeg følte meg trygg på bruke applikasjonen
 - a. 3.5
 - i. 2.5
 10. Jeg måtte lære meg mye for å ta applikasjonen i bruk
 - a. 1.5
 - i. 3.5

Sum = 0+2.5+2+1.5+1.5+3.5+3+3.5+2.5+3.5 = 23.5

Total score: $23.5 \times 2.5 = 58.75$

Figure A.1: SUS scores

Bruker test 17 Januar

Mål:

- Hvor mye forståelse gir 3d modellen
- Navigere menyer og funksjonalitet
- Finne brukerfeil

Test method: individual walk through and semi structured interview

Notater:

Tilstede: 2 ortopedene og knut

Notater

Vansklig å forklare hvilke knapper som er hva, spesielt reset button

Vansklig å skjønne at man skal plukke opp med hånda i starten

Vansklig med briller

feedback:

- Modellen mister informasjon om tettheten til deler / bløte deler forsvinner helt
 - Ønsker å vite om deler er tette nok til f.eks. å borre i
 - Enten vise på modellen hvilke deler som er tette, eller jobbe med visualisering av CT bildene parallelt med modellen
- Ønskelig å flytte mindre beinbiter for å kunne reponere bruddet
 - Ikke lett å gjøre i 2D med rotering i flere plan
 - Kanskje få Rolf Arne til å dele opp en modell finere
- Bruksområder
 - Brudd med skruer der man må unngå nerver ol (begrenset av bare CT data)
 - Kalkanus brudd
 - Opplæring
- Plassere skruer o.l. Kan være nyttig å planlegge i 3d over 2d

Figure A.2: Interview notes from evaluation

Intervju spørsmål Test 2 Mai

- Hva er nytteverdien sammenlignet med 3D printing?
 - Bedre Forståelse av brudd?
 - Bedre Planlegging av inngrep/reponering?
- Kan applikasjonen gi et bedre utfall av en operasjon?
 - Områder/scenarioer der det kan være nyttig?
 - (spesifikke bruddtyper, brudd i ledd, kort varsel før operasjon, mindre erfaren ortoped, kompliserte inngrep med implantater)
- Hvilke bruksområder kan det ha i utdanning/opplæring?
- Bruksområder i andre fagområder?

Notater:

Sliter med å strekke seg frem til objekter fordi viewet ikke er resettet

Feedback:

Burde kunne rotere hele figuren på flere akser

Det er lettere å tilte med VR kontrollere

Vet hvor ting skal være, og trenger ikke flytte noe særlig på de

Noen caser hvor det ikke er tilfelle. I et tilfelle var det mange bein jeg ikke visste kom fra.
Skal ofte bevege brudd biter lite, og de henger fast i bløtvev. Skal bare pirke litt på de, og det
trenger man ikke planlegge så nøyne

Mer nyttig tildig i karrieren, ikke hvis man har gjort bruddet 100+ ganger. Da kjenner man det
igjen fra CT og vet hva man skal gjøre

Marginal gevinst i forståelse for erfarne kontra 3D bilder
Sannsynligvis mye treningspotensiale for yngre ortopeder

Greit med måleverktøy, det er nyttig

Figure A.3: Interview notes from evaluation

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