

# Microscopic Models for Mobile Anyons from Fusion Categories

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## Abstract

We develop a systematic framework for constructing microscopic lattice models describing mobile (itinerant) anyons arising from an arbitrary fusion category. Unlike existing models such as the golden chain where anyons are fixed at predetermined positions, our framework allows both the positions and number of anyons to fluctuate dynamically. Working in a first-quantised formalism on a one-dimensional chain with open boundary conditions, we construct Hilbert spaces that accommodate variable anyon number and anyon mobility, and define microscopic Hamiltonians for physically motivated scenarios. The framework is validated by reduction to known limiting cases including standard bosonic/fermionic systems and tightly-packed fusion chains.

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# Part I

## Preliminaries

### 1 Fusion Ring

**Assumptions.**

- (A1) Finite set of simple objects  $\{X_i\}_{i=0}^{d_C-1}$ .
- (A2) Structure constants  $N_{ab}^c \in \mathbb{Z}_{\geq 0}$  are associative and unital with unit  $\mathbf{1}$ .

#### 1.1 Simple Objects

**Definition 1.1** (Simple object). Let  $\mathcal{C}$  be a fusion category over field  $\mathbb{C}$ . An object  $X \in \mathcal{C}$  is *simple* if it satisfies all three conditions:

1. **Nonzero:**  $X \neq 0$  (in the sense that  $X$  is not the zero object).
2. **Indecomposable:** If  $X \cong Y \oplus Z$ , then  $Y = 0$  or  $Z = 0$ .
3. **Schur:**  $\text{End}(X) \cong \mathbb{C}$  (all endomorphisms are scalar multiples of the identity).

*Consequence 1.2.* By semisimplicity of fusion categories (Deligne's theorem), every object  $A \in \mathcal{C}$  decomposes as a finite direct sum of simple objects:

$$A \cong \bigoplus_{i \in I} X_i^{\oplus m_i} \quad (1)$$

where  $X_i$  are simple and  $m_i \in \mathbb{Z}_{\geq 0}$  are multiplicities.

*Remark 1.3.* For our purposes, we work with fusion categories where the simple objects are *distinguishable* by their labels:  $\{X_0, X_1, \dots, X_{d_C-1}\}$  with  $X_0 = \mathbf{1}$  (the tensor unit/vacuum).

*Reference:* Etingof–Nikshych–Ostrik, *Ann. Math.* **162** (2005), Theorem 2.7 [ENO05] `[unverified]`

#### 1.2 Fusion Ring Definition

**Definition 1.4** (Fusion ring). A *fusion ring* is a finitely generated free abelian group  $R = \bigoplus_{i \in I} \mathbb{Z} X_i$  with a ring structure satisfying:

1.  $X_0 = \mathbf{1}$  is the unit element.
2. The product of basis elements satisfies

$$X_i X_j = \sum_{k \in I} N_{ij}^k X_k, \quad (2)$$

where  $N_{ij}^k \in \mathbb{Z}_{\geq 0}$  are the *fusion coefficients* (or fusion multiplicities).

3. There exists an involution  $i \mapsto i^*$  such that

$$N_{ij}^0 = \delta_{i,j^*}. \quad (3)$$

The involution gives duality:  $X_i^* = X_{i^*}$ . Associativity follows from the ring axioms:

$$\sum_e N_{ij}^e N_{ek}^\ell = \sum_e N_{jk}^e N_{ie}^\ell \quad \text{for all } i, j, k, \ell \in I. \quad (4)$$

*Remark 1.5.* Fusion rings are generally *not commutative*, i.e.,  $N_{ij}^k \neq N_{ji}^k$  in general.

*Reference:* Etingof–Nikshych–Ostrik, *Ann. Math.* **162** (2005), 581–642, Def. 3.1 [ENO05] `[unverified]`

## 2 Fusion Categories

**Assumptions.**

- (A3.1.2.1) Fusion ring  $(R, \{X_i\}_{i \in I}, \mathbf{1})$  with  $X_0 = \mathbf{1}$  and  $N_{ij}^k \in \mathbb{Z}_{\geq 0}$  (Definition 1.4).
- (A3.1.2.2) Associator data  $F$  (and, when present, braiding data  $R$ ) satisfy the pentagon/hexagon equations.

**Definition 2.1** (Fusion category). A *fusion category* over an algebraically closed field  $k$  (usually  $k = \mathbb{C}$ ) is a  $k$ -linear, semisimple, rigid monoidal category

$$(\mathcal{C}, \otimes, \mathbf{1}) \tag{5}$$

satisfying the following conditions:

1. **Finiteness:** There are finitely many isomorphism classes of simple objects. Every object decomposes as a finite direct sum of simples.
2. **Semisimplicity:** All morphism spaces  $\text{Mor}(X, Y)$  are finite-dimensional  $k$ -vector spaces, and the category is abelian and semisimple.
3. **Rigidity:** Every object  $X \in \mathcal{C}$  has a left and right dual  $X^*$  with evaluation and coevaluation morphisms satisfying the rigidity axioms.
4. **Simple unit:** The tensor unit  $\mathbf{1}$  is simple:  $\text{End}(\mathbf{1}) \cong k$ .
5. **Finite  $k$ -linearity:** The monoidal structure is bilinear over  $k$ , and composition and tensor product of morphisms are  $k$ -linear.

**Definition 2.2** (Grothendieck ring). From any fusion category  $\mathcal{C}$ , we construct its *Grothendieck ring*  $K_0(\mathcal{C})$  by

$$K_0(\mathcal{C}) = \bigoplus_{[X] \in \text{Irr}(\mathcal{C})} \mathbb{Z}[X], \tag{6}$$

with multiplication

$$[X] \cdot [Y] = \sum_Z N_{XY}^Z [Z], \tag{7}$$

where  $N_{XY}^Z = \dim_k \text{Mor}(X \otimes Y, Z)$  is the fusion multiplicity. The Grothendieck ring  $K_0(\mathcal{C})$  is a fusion ring (Definition 1.4), establishing that *fusion categories categorify fusion rings*.

**Definition 2.3** (Braided fusion category). If additionally  $\mathcal{C}$  is equipped with a braiding (natural isomorphisms  $c_{X,Y} : X \otimes Y \rightarrow Y \otimes X$  satisfying hexagon identities), we call  $\mathcal{C}$  a *braided fusion category*.

*Reference:* Etingof–Nikshych–Ostrik, *Ann. Math.* **162** (2005), 581–642, Def. 2.1 [ENO05] [unverified]

## 2.1 F-Symbols and Pentagon Equation

**Definition 2.4** (F-symbols). The *associator* is a natural isomorphism

$$\alpha_{a,b,c} : (a \otimes b) \otimes c \xrightarrow{\sim} a \otimes (b \otimes c) \quad (8)$$

that satisfies the pentagon equation. In a skeletal category (where objects are direct sums of simple objects  $X_i$ ), the associator is determined by its matrix elements, the *F-symbols*.

For simple objects  $a, b, c, d$ , the isomorphism decomposes into blocks indexed by intermediate fusion channels  $e$  (for  $(a \otimes b) \rightarrow e \rightarrow d$ ) and  $f$  (for  $(b \otimes c) \rightarrow f \rightarrow d$ ). The change of basis is given by the *F-move*:

$$|(a \otimes b) \otimes c \rightarrow d; e, \alpha, \beta\rangle = \sum_{f, \mu, \nu} (F_{abc}^d)_{e, \alpha, \beta}^{f, \mu, \nu} |a \otimes (b \otimes c) \rightarrow d; f, \mu, \nu\rangle \quad (9)$$

where  $\alpha, \beta, \mu, \nu$  are multiplicity indices.

**Definition 2.5** (Pentagon equation). The *pentagon equation* ensures that the two paths to re-associate  $((a \otimes b) \otimes c) \otimes d$  to  $a \otimes (b \otimes (c \otimes d))$  coincide. In terms of F-symbols (suppressing multiplicity indices):

$$\sum_k (F_{a,b,c}^k)_e^l (F_{a,k,d}^p)_l^m (F_{b,c,d}^p)_k^n = (F_{a,b,n}^p)_e^m (F_{e,c,d}^m)_l^n \quad (10)$$

This coherence condition is required for the fusion category to be well-defined.

## 2.2 R-Symbols and Hexagon Equations

**Definition 2.6** (R-symbols). For a braided fusion category, the *braiding isomorphism*  $c_{a,b} : a \otimes b \rightarrow b \otimes a$  provides a natural way to permute tensor factors. For simple objects  $a, b, c$ , the braiding isomorphism is represented by its matrix elements, the *R-symbols*.

**Definition 2.7** (Hexagon equations). The *hexagon equations* are coherence conditions that relate the associator (F-symbols) and the braiding (R-symbols), ensuring consistency between re-associating and braiding operations. The first hexagon equation:

$$c_{a,b \otimes c} \circ (1_a \otimes c_{b,c}) = ((c_{a,b} \otimes 1_c) \circ F_{b,a,c} \circ (1_b \otimes c_{a,c})) \circ F_{a,c,b}^{-1} \quad (11)$$

This equation (and its dual) ensures that braiding past a composite object can be decomposed consistently.

*Reference:* Etingof–Nikshych–Ostrik, *Ann. Math.* **162** (2005), §2.1 [ENO05] [unverified]

## 3 Morphism Spaces and Multiplicities

**Assumptions.**

(A3.1.3.1) Fusion category  $(\mathcal{C}, \otimes, \mathbf{1})$  over an algebraically closed field  $k$  (Definition 2.1).

(A3.1.3.2)  $\mathcal{C}$  is semisimple and  $k$ -linear, so all morphism spaces are finite-dimensional  $k$ -vector spaces.

**Definition 3.1** (Morphism space). For any objects  $A, B \in \mathcal{C}$ ,

$$\text{Mor}(A, B) := \text{Hom}_{\mathcal{C}}(A, B) \quad (12)$$

is a finite-dimensional  $k$ -vector space. If  $A, B$  are simple, Schur's lemma implies  $\dim \text{Mor}(A, B) = \delta_{A,B}$ .

*Reference:* Etingof–Nikshych–Ostrik, §2 [ENO05] [unverified]

**Definition 3.2** (Fusion multiplicity space). For simple objects  $X_a, X_b, X_c \in \text{Irr}(\mathcal{C})$ , the space

$$\text{Mor}(X_a \otimes X_b, X_c) \quad (13)$$

has dimension  $N_{ab}^c = \dim \text{Mor}(X_a \otimes X_b, X_c) \in \mathbb{Z}_{\geq 0}$ . A *multiplicity basis* is any choice of morphisms

$$f_{ab \rightarrow c}^{(\mu)} : X_a \otimes X_b \rightarrow X_c, \quad \mu = 1, \dots, N_{ab}^c. \quad (14)$$

No canonical choice exists; computations must remain basis-independent.

**Claim 3.3** (Multiplicity-free simplification). *In the multiplicity-free case ( $N_{ab}^c \in \{0, 1\}$ ), each space  $\text{Mor}(X_a \otimes X_b, X_c)$  is either  $\{0\}$  or a one-dimensional  $k$ -line. Basis dependence disappears, and  $f_{ab \rightarrow c}^{(1)}$  can be chosen uniquely up to phase.*

*Remark 3.4.* Duals:  $\text{Mor}(\mathbf{1}, X_a \otimes X_b)$  is canonically dual to  $\text{Mor}(X_a^* \otimes X_b^*, \mathbf{1})$  via rigidity. Normalisation choices for evaluation/coevaluation maps must be consistent.

*Remark 3.5.* Basis independence is essential for categorical definitions. Fusion-tree bases are admissible for computations (e.g., numerical evaluation of  $F$ -symbols) but must be removed from statements of definitions and theorems.

## 4 Examples of Fusion Categories

This section enumerates concrete fusion categories used in mobile anyon models. Each example specifies fusion rules,  $F$ -symbols,  $R$ -symbols (if braided), and the simple object count (rank).

**Assumptions.**

(A3.1.8.1) Fusion categories listed here are semisimple and rigid.

(A3.1.8.2) Numerical  $F$ / $R$ -symbols are computed via standard references (Kitaev, Rowell).

### 4.1 Fibonacci Category (Multiplicity-Free)

**Category:**  $\mathcal{C}_{\text{Fib}}$

**Rank:**  $d = 2$

**Simple objects:**  $\mathbf{1} = X_0, \tau = X_1$

#### 4.1.1 Fusion Rules

$\otimes$	$\mathbf{1}$	$\tau$
$\mathbf{1}$	$\mathbf{1}$	$\tau$
$\tau$	$\tau$	$\mathbf{1} \oplus \tau$

**Multiplicities:**  $N_{\tau\tau}^{\mathbf{1}} = N_{\tau\tau}^{\tau} = 1$  (multiplicity-free).

#### 4.1.2 Quantum Dimensions

- $d_{\mathbf{1}} = 1$
- $d_{\tau} = \phi = \frac{1+\sqrt{5}}{2}$  (golden ratio)



#### 4.1.3 F-Symbols (Associator)

For the only nontrivial fusion channel  $\tau \otimes \tau \rightarrow \mathbf{1} \oplus \tau$ :

$$F_{\tau,\tau,\tau}^e = \begin{pmatrix} \phi^{-1/2} & \phi^{-1/2} \\ \phi^{-1/2} & -\phi^{1/2} \end{pmatrix}_{e'}^e \quad (15)$$

where the matrix connects the two fusion orders:  $(\tau \otimes \tau) \otimes \tau$  vs.  $\tau \otimes (\tau \otimes \tau)$ .

**Numerical:**  $\phi^{-1/2} \approx 0.7861$  [Kit06] [unverified]

#### 4.1.4 R-Symbols (Braiding)

Fibonacci category is non-braided (bosonic). If braiding is added:

$$R_{\tau,\tau}^e = e^{2\pi i \theta_e} \quad (16)$$

where  $\theta_1 = 0$  and  $\theta_\tau = 4\pi/5$  (standard normalisation).

### 4.2 Ising Category (Multiplicity-Free)

**Category:**  $\mathcal{C}_{\text{Ising}}$

**Rank:**  $d = 3$

**Simple objects:**  $\mathbf{1} = X_0, \sigma = X_1, \psi = X_2$

#### 4.2.1 Fusion Rules

$\otimes$	$\mathbf{1}$	$\sigma$	$\psi$
$\mathbf{1}$	$\mathbf{1}$	$\sigma$	$\psi$
$\sigma$	$\sigma$	$\mathbf{1} \oplus \psi$	$\sigma$
$\psi$	$\psi$	$\sigma$	$\mathbf{1}$

**Multiplicities:** All fusion coefficients are 0 or 1 (multiplicity-free).

#### 4.2.2 Quantum Dimensions

- $d_1 = 1$
- $d_\sigma = \sqrt{2}$
- $d_\psi = 1$

#### 4.2.3 F-Symbols

Non-trivial associators exist for  $\sigma \otimes \sigma \rightarrow \mathbf{1} \oplus \psi$ :

$$F_{\sigma,\sigma,\sigma} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad (17)$$

#### 4.2.4 R-Symbols

For braided (modular) Ising category:

$$R_{\sigma,\sigma}^1 = e^{i\pi/8}, \quad R_{\sigma,\sigma}^\psi = e^{-3i\pi/8} \quad (18)$$

### 4.3 $\mathbb{Z}_N$ Category (with Multiplicity)

**Category:**  $\mathcal{C}_{\mathbb{Z}_N}$

**Rank:**  $d = N$

**Simple objects:**  $X_0, X_1, \dots, X_{N-1}$  (cyclic group)

#### 4.3.1 Fusion Rules

$$X_a \otimes X_b = X_{(a+b) \bmod N} \quad (19)$$

**Multiplicities:** All  $N_{ab}^c = 0$  or 1 (multiplicity-free for standard abelian fusion).

#### 4.3.2 Quantum Dimensions

$d_{X_a} = 1$  for all  $a$  (abelian anyons have dimension 1).

#### 4.3.3 F-Symbols

For abelian (group-like) fusion, all nontrivial associators are trivial:

$$F_{a,b,c}^e = 1 \quad (20)$$

#### 4.3.4 R-Symbols

Braiding given by a 2-cocycle  $\sigma(a, b) \in U(1)$ :

$$R_{a,b}^{a+b} = \sigma(a, b) \quad (21)$$

**Example 4.1** ( $\mathbb{Z}_2$  with fermionic statistics).

$$R_{f,f}^1 = -1 \quad (22)$$

### 4.4 sVec Category (Fermionic)

**Category:**  $\mathcal{C}_{\text{sVec}}$

**Rank:**  $d = 2$

**Simple objects:**  $\mathbf{1} = X_0$  (boson),  $\psi = X_1$  (fermion)

#### 4.4.1 Fusion Rules

$\otimes$	$\mathbf{1}$	$\psi$
$\mathbf{1}$	$\mathbf{1}$	$\psi$
$\psi$	$\psi$	$\mathbf{1}$

**Multiplicities:** Multiplicity-free ( $N_{\psi\psi}^1 = 1$ ).

#### 4.4.2 Quantum Dimensions

- $d_1 = 1$
- $d_\psi = 1$  (fermionic dimension)

#### 4.4.3 F-Symbols

For fermionic fusion (super-case), the associator has an extra sign:

$$F_{\psi,\psi,\psi}^1 = 1 \quad (\text{standard}) \quad (23)$$

But crossing rules differ due to Fermi statistics.

#### 4.4.4 R-Symbols

$$R_{\psi,\psi}^1 = e^{i\pi} = -1 \quad (24)$$

(fermionic exchange is anticommuting; eigenvalue is  $-1$ ).

Category	Rank	Mult-Free?	Braided?	Modular?	Status
Fibonacci	2	✓	×	—	Implemented
Ising	3	✓	✓	✓	Implemented
$\mathbb{Z}_N$	$N$	✓	✓	×	Template
sVec	2	✓	✓	—	Fermionic

Table 1: Summary of fusion category examples.

## 4.5 Summary Table

*Remark 4.2. Unverified:* All F/R-symbol values marked [unverified] pending HITL review against literature.

*Remark 4.3. Not exhaustive:* Other categories (Potts, Virasoro, etc.) can be added as needed.

## 5 Temperley–Lieb Categories

### Assumptions.

- (A1) Loop fugacity  $n = q + q^{-1}$  for quantum parameter  $q$ .
- (A2) At roots of unity  $q = e^{i\pi/p}$ , the category truncates to finitely many simples.
- (A3) Standard normalisation: loop evaluates to  $\delta = -[2]_q$ .

### 5.1 Overview

The *Temperley–Lieb (TL) category* is a fundamental example connecting fusion categories to statistical mechanics, loop models, and conformal field theory. TL categories provide:

1. A continuous interpolation between solvable models via the loop fugacity parameter.
2. Concrete realisations of Fibonacci ( $q = e^{i\pi/5}$ ) and Ising ( $q = e^{i\pi/4}$ ) anyons.
3. Direct connection to critical phenomena and CFT via the central charge formula.

### 5.2 Loop Fugacity Parametrisation

The TL category is parametrised by the *loop fugacity*  $n$ , related to the quantum parameter  $q$  by:

$$n = q + q^{-1} = 2 \cos \theta, \quad q = e^{i\theta}. \quad (25)$$

#### Example 5.1 (Key values of loop fugacity).

$p$ (for $q = e^{i\pi/p}$ )	$n = 2 \cos(\pi/p)$	Physical model
$p = 3$	$n = 1$	Percolation
$p = 4$	$n = \sqrt{2}$	Ising ( $\sigma$ -anyon)
$p = 5$	$n = \phi = \frac{1+\sqrt{5}}{2}$	Fibonacci ( $\tau$ -anyon)
$p = 6$	$n = \sqrt{3}$	3-state Potts
$p \rightarrow \infty$	$n \rightarrow 2$	Free fermion

At roots of unity, the representation theory truncates, yielding *modular tensor categories* with finitely many simple objects.

### 5.3 Quantum Numbers

**Definition 5.2** (Quantum integer). For  $q = e^{i\pi/p}$ , the *quantum integer* is:

$$[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}} = \frac{\sin(n\pi/p)}{\sin(\pi/p)}. \quad (26)$$

**Definition 5.3** (Quantum factorial and binomial). The *quantum factorial* and *quantum binomial* are:

$$[n]!_q = [1]_q [2]_q \cdots [n]_q, \quad \binom{n}{k}_q = \frac{[n]!_q}{[k]!_q [n-k]!_q}. \quad (27)$$

*Remark 5.4.* At roots of unity,  $[p-1]_q = 0$ , causing the truncation of the representation theory.

### 5.4 Simple Objects and Fusion Rules

At generic  $q$ , the TL category has infinitely many simple objects labelled by half-integers  $j \in \{0, \frac{1}{2}, 1, \frac{3}{2}, \dots\}$ , corresponding to spins in the representation theory of  $U_q(\mathfrak{sl}_2)$ .

At roots of unity  $q = e^{i\pi/p}$ , the category *truncates* to:

$$j \in \left\{0, \frac{1}{2}, 1, \dots, \frac{p-2}{2}\right\}. \quad (28)$$

**Definition 5.5** (TL fusion rules). The fusion rules are the  $SU(2)_q$  rules with truncation:

$$j_1 \otimes j_2 = \bigoplus_{j=|j_1-j_2|}^{\min(j_1+j_2, p-2-j_1-j_2)} j. \quad (29)$$

### 5.5 Connection to Key Examples

**Example 5.6** (Fibonacci anyons,  $p = 5$ ). Two simple objects  $\{\mathbf{1}, \tau\}$  with  $\tau \otimes \tau = \mathbf{1} \oplus \tau$ .

**Example 5.7** (Ising anyons,  $p = 4$ ). Three simple objects  $\{\mathbf{1}, \sigma, \psi\}$  with:

$$\sigma \otimes \sigma = \mathbf{1} \oplus \psi, \quad (30)$$

$$\psi \otimes \psi = \mathbf{1}, \quad (31)$$

$$\sigma \otimes \psi = \sigma. \quad (32)$$

### 5.6 Critical Behaviour

**Theorem 5.8** (Central charge). At  $q = e^{i\pi/p}$ , the TL algebra describes critical points with central charge:

$$c = 1 - \frac{6(p-1)^2}{p}. \quad (33)$$

*This connects TL categories to minimal model CFTs  $\mathcal{M}(p, p-1)$ .*

*Reference:* Kauffman–Lins, *Temperley–Lieb Recoupling Theory* (1994) [unverified]; Jones, *Inventiones Math.* **72** (1983), 1–25 [unverified]

## 6 Fock Space Perspective (First Quantisation)

**Assumptions.**

(A3.2.1) First-quantised formalism only (no  $a^\dagger, a$ ).

(A3.2.2) Fock space is a direct sum of fixed-number sectors.

## 6.1 Standard Fock Space

**Definition 6.1** (Fock space). The *Fock space*  $\mathcal{F}$  is the direct sum of  $N$ -particle Hilbert spaces  $\mathcal{H}_N$  for all possible particle numbers  $N \geq 0$ :

$$\mathcal{F} = \bigoplus_{N=0}^{\infty} \mathcal{H}_N = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \cdots \quad (34)$$

where  $\mathcal{H}_0 \cong \mathbb{C}$  is the vacuum sector spanned by the vacuum state  $|\Omega\rangle$ .

*Remark 6.2.* In this first-quantised approach, a state  $|\Psi\rangle \in \mathcal{F}$  is a sequence of wavefunctions (or categorical states)  $|\Psi\rangle = (\psi_0, \psi_1, \psi_2, \dots)$ , where  $\psi_N \in \mathcal{H}_N$  is the projection of the state onto the  $N$ -particle sector.

**Constraint 6.3.** We strictly avoid the use of second-quantised creation/annihilation operators  $(a_i^\dagger, a_i)$  as fundamental building blocks. While convenient for bosons/fermions, they obscure the categorical data (braiding, fusion) essential for anyons.

## 6.2 Direct Sum as “OR Quantifier”

The direct sum ( $\oplus$ ) operation represents a logical “OR” or superposition of different particle number sectors.

- A state in  $\mathcal{H}_1 \oplus \mathcal{H}_2$  describes a system that is in a superposition of having 1 particle **OR** 2 particles.
- This contrasts with the tensor product (see §6.3).

This perspective highlights that the total Hilbert space allows for quantum fluctuations in particle number, even if dynamics (Hamiltonian) conserve it.

## 6.3 Tensor Product as “AND Quantifier”

The tensor product ( $\otimes$ ) operation represents a logical “AND” or composition of subsystems.

- Within a fixed  $N$ -particle sector  $\mathcal{H}_N$ , the structure involves tensor products of single-particle spaces (or local site spaces):

$$\mathcal{H}_N \sim \mathcal{H}_{\text{loc}} \otimes \cdots \otimes \mathcal{H}_{\text{loc}} \quad (\text{schematically}) \quad (35)$$

- A state  $|\phi\rangle \otimes |\chi\rangle$  describes a system where part A is in state  $\phi$  **AND** part B is in state  $\chi$ .

*Remark 6.4* (Anyonic nuance). For anyons,  $\mathcal{H}_N$  is not a simple tensor product of single-particle spaces due to fusion constraints (fusion spaces are not product spaces). However, the *ambient* space in which  $\mathcal{H}_N$  is embedded (before fusion constraints) often has a tensor product structure (e.g., sites on a lattice).

## 6.4 First-Quantised Operators

**Definition 6.5** (Operator on Fock space). An operator  $\hat{O} : \mathcal{F} \rightarrow \mathcal{F}$  is defined by its action on each sector  $\mathcal{H}_N$  and maps between sectors. It can be represented as a matrix of operators  $\hat{O}_{MN} : \mathcal{H}_N \rightarrow \mathcal{H}_M$ .

**Definition 6.6** (Number-conserving operator). An operator  $\hat{H}$  is *number-conserving* if it maps each sector  $\mathcal{H}_N$  to itself ( $\hat{H}_{MN} = 0$  for  $M \neq N$ ). It decomposes as a direct sum of operators acting on fixed-number sectors:

$$\hat{H} = \bigoplus_{N=0}^{\infty} \hat{H}_N \quad (36)$$

where  $\hat{H}_N : \mathcal{H}_N \rightarrow \mathcal{H}_N$ .

**Example 6.7.** The Hamiltonian for mobile anyons is typically number-conserving (unless studying source terms), so we construct it by defining a sequence of Hamiltonians  $H_N$  for each  $N$ -anyon configuration space.

## 6.5 Summary

Structure	Symbol	Interpretation	Logical Equiv.
Direct Sum	$\oplus$	Superposition of sectors	<b>OR</b>
Tensor Product	$\otimes$	Composition of parts	<b>AND</b>
Fock Space	$\mathcal{F}$	$\bigoplus_N \mathcal{H}_N$	Variable particle number
Operator	$\hat{O}$	$\bigoplus_N \hat{O}_N$ (if conserved)	Collection of $N$ -particle ops

## 7 Quantum Mechanics for Lattice Models

**Assumptions.**

(A3.3.1) All Hilbert spaces are finite-dimensional.

(A3.3.2) Hamiltonians are Hermitian.

### 7.1 Hilbert Spaces, States, Observables

**Definition 7.1** (Hilbert space). A *Hilbert space*  $\mathcal{H}$  is a complex vector space equipped with an inner product  $\langle \cdot | \cdot \rangle : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{C}$  that is complete with respect to the induced norm. In this work, all Hilbert spaces are finite-dimensional.

**Definition 7.2** (State). A *state* is a nonzero vector  $|\psi\rangle \in \mathcal{H}$ . Two states are physically equivalent if they differ by a nonzero scalar. Normalised states satisfy  $\langle \psi | \psi \rangle = 1$ .

**Definition 7.3** (Observable). An *observable* is a Hermitian operator  $A : \mathcal{H} \rightarrow \mathcal{H}$ , i.e.,  $A = A^\dagger$  where  $\langle \phi | A \psi \rangle = \langle A \phi | \psi \rangle$  for all  $|\phi\rangle, |\psi\rangle$ .

### 7.2 Hamiltonians for Lattice Systems

**Definition 7.4** (Hamiltonian). A *Hamiltonian* is a Hermitian operator  $H : \mathcal{H} \rightarrow \mathcal{H}$  representing the total energy of the system.

**Definition 7.5** (Local Hamiltonian). A Hamiltonian  $H$  is *local* if it decomposes as a sum of terms, each acting nontrivially on a bounded number of sites:

$$H = \sum_j h_j \quad (37)$$

where each  $h_j$  acts on sites in a neighbourhood of  $j$ .

**Definition 7.6** (Nearest-neighbour Hamiltonian). A Hamiltonian is *nearest-neighbour* if each term  $h_j$  acts nontrivially only on sites  $j$  and  $j + 1$ .

### 7.3 Ground States, Spectrum, Partition Function

**Definition 7.7** (Spectrum). The *spectrum* of  $H$  is the set of eigenvalues  $\{E_0, E_1, \dots\}$  ordered so that  $E_0 \leq E_1 \leq \dots$ .

**Definition 7.8** (Ground state). A *ground state* is an eigenvector of  $H$  with eigenvalue  $E_0$  (the minimum eigenvalue).

**Definition 7.9** (Spectral gap). The *spectral gap* is  $\Delta = E_1 - E_0$ .

**Definition 7.10** (Partition function). The *partition function* at inverse temperature  $\beta$  is

$$Z(\beta) = \text{Tr}(e^{-\beta H}) = \sum_n e^{-\beta E_n} \quad (38)$$

### 7.4 Tensor Product Structure

**Definition 7.11** (Tensor product of Hilbert spaces). Given Hilbert spaces  $\mathcal{H}_1, \mathcal{H}_2$ , the *tensor product*  $\mathcal{H}_1 \otimes \mathcal{H}_2$  is a Hilbert space with:

- $\dim(\mathcal{H}_1 \otimes \mathcal{H}_2) = \dim(\mathcal{H}_1) \cdot \dim(\mathcal{H}_2)$
- Basis:  $\{|i\rangle \otimes |j\rangle\}$  for bases  $\{|i\rangle\}$  of  $\mathcal{H}_1$  and  $\{|j\rangle\}$  of  $\mathcal{H}_2$

**Definition 7.12** (Multi-site Hilbert space). For  $n$  sites with local Hilbert space  $\mathcal{H}_{\text{loc}}$  of dimension  $d$ , the total Hilbert space is

$$\mathcal{H}_{\text{total}} = \bigotimes_{j=1}^n \mathcal{H}_{\text{loc}} \cong \mathbb{C}^{d^n} \quad (39)$$

*Remark 7.13.* The tensor product represents the “AND quantifier”: a state in  $\mathcal{H}_1 \otimes \mathcal{H}_2$  describes a system where both subsystem 1 AND subsystem 2 exist simultaneously. Cf. §6.

### 7.5 Summary

Concept	Symbol	Definition
Hilbert space	$\mathcal{H}$	Finite-dim complex inner product space
State	$ \psi\rangle$	Nonzero vector in $\mathcal{H}$
Observable	$A$	Hermitian operator
Hamiltonian	$H$	Hermitian operator (energy)
Ground state	$ \psi_0\rangle$	Eigenvector with minimal eigenvalue
Partition function	$Z(\beta)$	$\text{Tr}(e^{-\beta H})$
Tensor product	$\otimes$	“AND quantifier” for composite systems

## 8 Lattice Geometry

**Assumptions.**

(A3.4.1) Spatial dimension is 1.

(A3.4.2) Open boundary conditions (no PBCs).

(A3.4.3) Finite number of sites.

## 8.1 One-Dimensional Chain

**Definition 8.1** (Lattice). A *lattice*  $\Lambda$  is a finite set of sites. In this work:

$$\Lambda = \{0, 1, 2, \dots, n-1\} \quad (40)$$

where  $n = |\Lambda|$  is the number of sites.

*Remark 8.2.* We use 0-based indexing for sites to align with physical position  $x_j = \epsilon \cdot j$ .

**Definition 8.3** (Neighbour relation). Sites  $i$  and  $j$  are *neighbours* if  $|i - j| = 1$ . We write  $i \sim j$ .

**Definition 8.4** (Boundary sites). The *boundary* of  $\Lambda$  consists of sites 0 and  $n-1$ . The *bulk* consists of sites  $\{1, \dots, n-2\}$ .

## 8.2 Lattice Spacing and Physical Position

**Definition 8.5** (Physical length). The *physical length* of the system is  $L > 0$ .

**Definition 8.6** (Lattice spacing). The *lattice spacing* is

$$\epsilon = \frac{L}{n} \quad (41)$$

**Definition 8.7** (Physical position). The *physical position* of site  $j$  is

$$x_j = \epsilon \cdot j = \frac{L \cdot j}{n} \quad (42)$$

so that  $x_0 = 0$  and  $x_{n-1} = L(1 - 1/n) < L$ .

**Convention 8.8.** Where convenient, we set  $\epsilon = 1$  (equivalently,  $L = n$ ), so that site index equals physical position.

## 8.3 Open Boundary Conditions

**Definition 8.9** (Open boundary conditions). A system has *open boundary conditions* (OBC) if:

1. The lattice is a finite chain with distinct endpoints
2. Site 0 has only one neighbour (site 1)
3. Site  $n-1$  has only one neighbour (site  $n-2$ )

*Remark 8.10.* This contrasts with *periodic boundary conditions* (PBC) where site  $n-1$  is also a neighbour of site 0. We exclude PBCs in this work (Assumption A3.4.2).

*Remark 8.11.* OBC implies:

- No topological ground state degeneracy from nontrivial cycles
- Edge effects may be present
- Total charge is well-defined without ambiguity from winding



## 8.4 Local Hilbert Spaces

**Definition 8.12** (Local Hilbert space). Each site  $j \in \Lambda$  carries a *local Hilbert space*  $\mathcal{H}_j$ . For identical sites:

$$\mathcal{H}_j \cong \mathcal{H}_{\text{loc}} \quad \forall j \quad (43)$$

**Definition 8.13** (Local dimension). The *local dimension* is  $d_{\text{loc}} = \dim(\mathcal{H}_{\text{loc}})$ .

**Definition 8.14** (Total Hilbert space). The *total Hilbert space* for the lattice is

$$\mathcal{H}_{\text{total}} = \bigotimes_{j=0}^{n-1} \mathcal{H}_j \cong \mathbb{C}^{d^n} \quad (44)$$

*Remark 8.15.* For mobile anyons, the local Hilbert space structure is more subtle—see §10. The “local dimension” depends on the occupation at that site.

## 8.5 Summary

Concept	Symbol	Value/Definition
Number of sites	$n$	$ \Lambda $
Site indices	$j$	$0, 1, \dots, n-1$
Physical length	$L$	System size
Lattice spacing	$\epsilon$	$L/n$
Physical position	$x_j$	$\epsilon \cdot j$
Local Hilbert space	$\mathcal{H}_j$	Space at site $j$
Local dimension	$d_{\text{loc}}$	$\dim(\mathcal{H}_{\text{loc}})$
Total dimension	—	$d^n$

## 8.6 Notation Conventions

Throughout this project:

- Sites are **0-indexed**:  $j \in \{0, 1, \dots, n-1\}$
- Boundary conditions are **open** (OBC)
- Default:  $\epsilon = 1$  unless stated otherwise
- Tensor products are ordered left-to-right:  $\mathcal{H}_0 \otimes \mathcal{H}_1 \otimes \dots \otimes \mathcal{H}_{n-1}$

## Part II

# Hilbert Space for Mobile Anyons

## 9 Classical Configuration Space

**Assumptions.**

(A4.1.1) Fusion category  $\mathcal{C}$  with simples  $\{X_0, X_1, \dots, X_{d-1}\}$  where  $X_0 = \mathbf{1}$ .

(A4.1.2) Lattice  $\Lambda = \{0, \dots, n-1\}$  with OBC.

## 9.1 Anyon Positions

**Definition 9.1** (Configuration). A *configuration* of  $N$  anyons is a tuple

$$\mathbf{x} = (x_1, x_2, \dots, x_N) \in \Lambda^N \quad (45)$$

satisfying  $0 \leq x_1 \leq x_2 \leq \dots \leq x_N \leq n-1$ .

*Remark 9.2.* The ordering  $x_1 \leq \dots \leq x_N$  reflects indistinguishability up to exchange. Distinct orderings related by permutation represent the same physical configuration.

## 9.2 Anyon Labels

**Definition 9.3** (Labelled configuration). A *labelled configuration* is a pair  $(\mathbf{x}, \mathbf{k})$  where:

- $\mathbf{x} = (x_1, \dots, x_N)$  is a configuration
- $\mathbf{k} = (k_1, \dots, k_N)$  with  $k_j \in \{1, \dots, d-1\}$  labels the anyon type at position  $x_j$

**Convention 9.4.** Label 0 denotes vacuum (no anyon). Labels  $1, \dots, d-1$  denote the nontrivial simple objects  $X_1, \dots, X_{d-1}$ .

## 9.3 Configuration Space

**Definition 9.5** ( $N$ -anyon configuration space).

$$\text{Conf}_N = \{(\mathbf{x}, \mathbf{k}) : \mathbf{x} \in \Lambda^N \text{ ordered, } k_j \in \{1, \dots, d-1\}\} \quad (46)$$

**Definition 9.6** (Hard-core configuration space). With hard-core constraint:

$$\text{Conf}_N^{\text{HC}} = \{(\mathbf{x}, \mathbf{k}) \in \text{Conf}_N : x_1 < x_2 < \dots < x_N\} \quad (47)$$

**Claim 9.7.**  $|\text{Conf}_N^{\text{HC}}| = \binom{n}{N}(d-1)^N$ .

## 9.4 Mixed Character

*Remark 9.8.* Configurations have mixed identical/distinguishable character:

- Anyons with *same label*  $k_i = k_j$  are identical
- Anyons with *different labels*  $k_i \neq k_j$  are distinguishable

This is handled by the morphism space structure in §10.

# 10 Hilbert Space for Mobile Anyons

## 10.1 Notation: Disambiguation of Symbol $N$

**Convention 10.1** (Disambiguation of  $N$ ). The symbol  $N$  is overloaded in this section with three distinct meanings. Context distinguishes them:

Context	Meaning	Example
Subscript: $\mathcal{H}_N, \mathcal{H}_N^{(c)}$	Particle number sector	$\mathcal{H}_3$ = sector with 3 anyons
Superscript: $N_{ab}^c$	Fusion multiplicity	$N_{12}^0 \in \{0, 1\}$ in Fibonacci
Variable: $N \in \{1, \dots, n\}$	Particle number (variable)	$\bigoplus_{N=0}^n$

All three use the same letter but are syntactically distinct. Avoid using plain  $N$  alone; always include subscript/superscript or context.

### Assumptions.

(A4.2.1) Fusion category  $\mathcal{C}$  with unit  $\mathbf{1}$  and simples  $X_0 = \mathbf{1}, X_1, \dots, X_{d-1}$ .

(A4.2.2)  $n$  lattice sites, OBC.

## 10.2 Morphism Spaces

**Definition 10.2** (Morphism space). For objects  $A, B \in \mathcal{C}$ :

$$\text{Mor}(A, B) = \text{Hom}_{\mathcal{C}}(A, B) \quad (48)$$

This is a finite-dimensional complex vector space with  $\dim \text{Mor}(A, B) = \delta_{A,B}$  for simple  $A, B$  (in the multiplicity-free case).

*Remark 10.3.* With multiplicity:  $\dim \text{Mor}(X_a \otimes X_b, X_c) = N_{ab}^c$ .

## 10.3 $N$ -Anyon Sector with Definite Charge

**Definition 10.4** (Site occupation). For a labelled configuration  $(\mathbf{x}, \mathbf{k})$  on  $n$  sites, define the *site label*  $s_j \in \{0, 1, \dots, d-1\}$  for each site  $j \in \{0, \dots, n-1\}$ :

$$s_j = \begin{cases} k_i & \text{if } x_i = j \text{ for some } i \in \{1, \dots, N\} \\ 0 & \text{otherwise (site unoccupied, i.e., vacuum } \mathbf{1}) \end{cases} \quad (49)$$

**Definition 10.5** (Object for configuration). The *tensor product object* for a labelled configuration  $(\mathbf{x}, \mathbf{k})$  is:

$$\mathcal{O}(\mathbf{x}, \mathbf{k}) = X_{s_0} \otimes X_{s_1} \otimes \dots \otimes X_{s_{n-1}} \quad (50)$$

This is a tensor product over all  $n$  sites, with  $X_0 = \mathbf{1}$  (vacuum) at unoccupied sites.

**Definition 10.6** ( $N$ -anyon space, definite charge). The space of  $N$  anyons with total charge  $c$ :

$$\mathcal{H}_N^{(c)} = \bigoplus_{(\mathbf{x}, \mathbf{k}) \in \text{Conf}_N} \text{Mor}(X_c, \mathcal{O}(\mathbf{x}, \mathbf{k})) \quad (51)$$

*Remark 10.7.* A state  $|\psi\rangle \in \mathcal{H}_N^{(c)}$  is a superposition over configurations, with amplitudes in the appropriate morphism spaces. The morphism  $\text{Mor}(X_c, \mathcal{O}(\mathbf{x}, \mathbf{k}))$  describes how anyons at the specified positions fuse to total charge  $X_c$ .

## 10.4 $N$ -Anyon Sector with Indefinite Charge

**Definition 10.8** ( $N$ -anyon space, indefinite charge).

$$\mathcal{H}_N = \bigoplus_{c=0}^{d-1} \mathcal{H}_N^{(c)} \quad (52)$$

*Remark 10.9.* Direct sum = “OR quantifier”: the system has charge  $X_0$  OR charge  $X_1$  OR  $\dots$

## 10.5 Total Hilbert Space

**Definition 10.10** (Total Hilbert space).

$$\mathcal{H} = \bigoplus_{N=0}^n \mathcal{H}_N \quad (53)$$

where  $\mathcal{H}_0 = \mathbb{C}$  (vacuum sector).

*Remark 10.11.* Direct sum over  $N$  = “OR quantifier” for particle number. This is the first-quantised Fock space structure (cf. §6).

## 10.6 Fusion Trees

**Definition 10.12** (Fusion tree). A *fusion tree*  $\tau$  for a configuration  $(\mathbf{x}, \mathbf{k})$  with  $N$  anyons is a labelling of internal nodes in a **binary tree** that encodes how the anyons fuse step-by-step to produce a final total charge. Formally:

1. **Leaves:** The  $N$  anyons occupy the leaves, labelled with their types  $X_{k_1}, \dots, X_{k_N}$ .
2. **Internal nodes:** Each internal node is labelled with an intermediate fusion result  $X_e$  (some simple object of  $\mathcal{C}$ ).
3. **Binary structure:** Each internal node has exactly two children (left and right), and the label at that node represents the fusion result: left child  $\otimes$  right child.
4. **Root:** The root node is labelled with the final total charge  $X_c$ .

*Remark 10.13.* The fusion tree is not unique (not canonical) due to associativity of tensor products: different parenthesisations yield different tree structures, all yielding the same tensor product result. For multiplicity-free categories ( $N_{ab}^c \in \{0, 1\}$ ), all choices span the same morphism space  $\text{Mor}(X_c, \mathcal{O}(\mathbf{x}, \mathbf{k}))$ , but individual basis vectors depend on the choice.

**Claim 10.14.** *Different fusion trees  $\tau \neq \tau'$  for the same configuration and charge are orthogonal basis vectors. The transition amplitudes between different tree bases are determined by the  $F$ -symbols of the category.*

## 10.7 Explicit Construction

For hard-core anyons, a basis of  $\mathcal{H}_N^{(c)}$  is indexed by:

1. Configuration  $(\mathbf{x}, \mathbf{k}) \in \text{Conf}_N^{\text{HC}}$
2. Fusion tree  $\tau$  from  $\mathcal{O}(\mathbf{x}, \mathbf{k})$  to  $X_c$
3. Multiplicity indices (if  $N_{ab}^c > 1$ )

**Claim 10.15.** *For multiplicity-free categories:*

$$\dim \mathcal{H}_N^{(c)} = \sum_{(\mathbf{x}, \mathbf{k})} \dim \text{Mor}(X_c, \mathcal{O}(\mathbf{x}, \mathbf{k})) \quad (54)$$

## 11 Operators as Morphisms

**Planning ref:** §4.3

**Dependency note:** This section is logically prior to §10. We define operators as abstract categorical morphisms first, then show in §10 how they act on the concrete Hilbert space.

**Assumptions.**

(A4.3.1) We work with finite tensor products of simple objects.

(A4.3.2) The fusion category  $\mathcal{C}$  has finitely many simple objects.

### 11.1 Abstract Operator Definition (Category-Level)

**Definition 11.1** (Morphism space operator). An *operator* is an element of a morphism space:

$$\mathcal{O} \in \text{Mor}(A, B) \quad (55)$$

where  $A, B$  are tensor products of simple objects from  $\mathcal{C}$ .

*Remark 11.2.* This is a purely categorical definition, independent of any representation or Hilbert space. Morphisms are abstract; they follow categorical axioms (composition, associativity, identities).

**Definition 11.3** (Operator as morphism sum). The space of all operators in this categorical sense is:

$$\mathfrak{Op}_{\text{cat}} = \bigoplus_{n_A, n_B \in \mathbb{Z}_{\geq 0}} \bigoplus_{\substack{(a_1, \dots, a_{n_A}) \\ a_i \in \{1, \dots, d-1\}}} \bigoplus_{\substack{(b_1, \dots, b_{n_B}) \\ b_j \in \{1, \dots, d-1\}}} \text{Mor}(X_{a_1} \otimes \dots \otimes X_{a_{n_A}}, X_{b_1} \otimes \dots \otimes X_{b_{n_B}}) \quad (56)$$

where the nested direct sums run over:

- $n_A, n_B \in \mathbb{Z}_{\geq 0}$  — number of non-vacuum factors
- Labels  $a_i, b_j \in \{1, \dots, d-1\}$  — indices of simple objects (excluding vacuum  $X_0 = \mathbf{1}$ )

By semisimplicity,  $\text{Mor}(A, B)$  is a finite-dimensional vector space for each choice of  $A, B$ .

*Remark 11.4.* Objects with  $n_A = 0$  are identified with  $\mathbf{1}$  (tensor unit), so  $X_\emptyset = \mathbf{1}$ . This definition is independent of the Hilbert space  $\mathcal{H}$  and does not require it to be defined yet.

### 11.2 Action on Hilbert Space (Concrete Representation)

Once the Hilbert space  $\mathcal{H}$  is defined (Definition 10.10), morphism operators are promoted to linear maps via a representation:

**Definition 11.5** (Representation of operators on  $\mathcal{H}$ ). A *representation* of the morphism space  $\text{Mor}(A, B)$  on the Hilbert space  $\mathcal{H}$  is a linear embedding:

$$\rho : \text{Mor}(A, B) \rightarrow \text{Lin}(\mathcal{H}_A \rightarrow \mathcal{H}_B) \quad (57)$$

where  $\mathcal{H}_A$  denotes the sector of  $\mathcal{H}$  corresponding to object  $A$ , and  $\text{Lin}$  denotes linear maps.

The full operator algebra on  $\mathcal{H}$  is:

$$\text{End}(\mathcal{H}) = \bigoplus_{A, B} \text{Lin}(\mathcal{H}_A \rightarrow \mathcal{H}_B) \quad (58)$$

### 11.3 Particle-Number Conservation (at Category Level)

**Definition 11.6** (Particle-number of object). For an object  $A = X_{a_1} \otimes \cdots \otimes X_{a_n}$ , define:

$$N(A) = n \quad (59)$$

(the number of nontrivial factors, excluding vacuum  $\mathbf{1}$ ).

**Definition 11.7** (Particle-conserving morphism). A morphism  $\phi \in \text{Mor}(A, B)$  is *particle-conserving* if:

$$N(A) = N(B) \quad (60)$$

**Definition 11.8** (Particle-changing morphism). A morphism  $\phi \in \text{Mor}(A, B)$  is *particle-changing* if:

$$N(A) \neq N(B) \quad (61)$$

**Example 11.9.** •  $\text{Mor}(X_a \otimes X_b, X_c)$  is particle-annihilating:  $N(A) = 2, N(B) = 1$ .

•  $\text{Mor}(X_a, X_b \otimes X_c)$  is particle-creating:  $N(A) = 1, N(B) = 2$ .

### 11.4 Locality

**Definition 11.10** (Support of object). For an object  $A = X_{a_1} \otimes \cdots \otimes X_{a_n}$ , define:

$$\text{supp}(A) = \{j : a_j \neq 0\} \quad (62)$$

(the set of factor positions that are nontrivial).

**Definition 11.11** ( $k$ -local morphism). A morphism  $\phi \in \text{Mor}(A, B)$  is  *$k$ -local* if:

$$|\text{supp}(A) \cup \text{supp}(B)| \leq k \quad (63)$$

**Example 11.12.** •  $\text{Mor}(X_a \otimes X_b, X_c \otimes X_d)$  is 2-local: only factors 0 and 1 are involved.

•  $\text{Mor}(X_a, X_a)$  is 1-local: only factor 0 is involved.

•  $\text{Mor}(X_a \otimes \mathbf{1}, \mathbf{1} \otimes X_a)$  is 2-local: acts on positions 0 and 1.

*Remark 11.13.* In the context of lattice systems, locality also specifies which lattice sites the morphism acts on. This is covered when embedding into the lattice in §14.

## 12 Hard-Core vs Soft-Core Anyons

### 12.1 Hard-Core Constraint

**Definition 12.1** (Hard-core anyons). Anyons are *hard-core* if at most one anyon occupies each site:

$$x_1 < x_2 < \cdots < x_N \quad (64)$$

*Consequence 12.2.* Maximum particle number is  $N_{\max} = n$  (number of sites).

*Remark 12.3.* Hard-core is natural for:

- Impenetrable particles (infinite on-site repulsion)
- Lattice models where sites represent localised orbitals

## 12.2 Soft-Core: Multiple Occupancy

**Definition 12.4** (Soft-core anyons). Anyons are *soft-core* if multiple anyons may occupy the same site:

$$x_1 \leq x_2 \leq \cdots \leq x_N \quad (65)$$

**Definition 12.5** (On-site fusion space). When anyons  $X_a, X_b$  occupy the same site, the local state space is:

$$\bigoplus_c N_{ab}^c \cdot \text{Mor}(X_a \otimes X_b, X_c) \quad (66)$$

representing the possible fusion outcomes.

*Remark 12.6.* Soft-core requires tracking on-site fusion structure.

## 12.3 Hilbert Space Modifications

Regime	Config space	Local structure	$N_{\max}$
Hard-core	$x_i < x_j$ for $i < j$	One anyon/site	$n$
Soft-core	$x_i \leq x_j$ for $i < j$	Fusion at each site	$\infty$ (needs cutoff)

**Definition 12.7** (Hard-core Hilbert space).

$$\mathcal{H}^{\text{HC}} = \bigoplus_{N=0}^n \mathcal{H}_N^{\text{HC}} \quad (67)$$

where  $\mathcal{H}_N^{\text{HC}}$  uses  $\text{Conf}_N^{\text{HC}}$ .

## 12.4 Physical Motivation

**Hard-core regime:**

- Models impenetrable anyons
- Connection to Girardeau mapping (§??)
- Simpler Hilbert space structure

**Soft-core regime:**

- Models “bosonic” anyons that can bunch
- Richer on-site physics
- Connection to Levin–Wen models when particles can annihilate

**Convention 12.8.** Unless stated otherwise, we work in the **hard-core** regime.

## Part III

# Dynamics for Mobile Anyons

## 13 Matrix Elements of 2-Local Operators

Planning ref: §4.5

Status: Draft

Assumptions.

(A4.5.1) Fusion category  $\mathcal{C}$  with unit  $\mathbf{1}$  and simples  $X_0 = \mathbf{1}, X_1, \dots, X_{d-1}$ .

(A4.5.2) Hard-core constraint: at most one anyon per site.

(A4.5.3) Fusion tree basis fixed for  $\mathcal{H}_N^{(c)}$  (per Definition 10.12).

(A4.5.4) Multiplicity-free fusion rules (i.e.,  $N_{ab}^c \in \{0, 1\}$ ).

### 13.1 Fusion Trees as Basis Data

**Definition 13.1** (Fusion tree basis states). A fusion tree  $\tau$  (defined in Definition 10.12) specifies one of several basis choices for the morphism space  $\text{Mor}(X_c, \mathcal{O}(\mathbf{x}, \mathbf{k}))$ . We denote basis states as:

$$|(\mathbf{x}, \mathbf{k}), \tau, c\rangle \in \mathcal{H}_N^{(c)} \quad (68)$$

where:

- $(\mathbf{x}, \mathbf{k})$  is the configuration (positions and anyon types)
- $\tau$  encodes the fusion tree structure
- $c$  is the total charge

*Remark 13.2.* The fusion tree is not canonical; different choices of parenthesisation yield different bases. For multiplicity-free categories, all choices span the same space, but individual basis vectors depend on the choice.

*Remark 13.3.* When two basis states have different fusion trees  $\tau \neq \tau'$  (even for the same configuration and charge), they are orthogonal basis vectors. The Gram matrix between them is determined by the F-symbols of the category.

### 13.2 Matrix Element Definitions

**Definition 13.4** (Matrix element for 2-local morphism). Given:

- Basis states  $|\psi\rangle = |(\mathbf{x}, \mathbf{k}), \tau, c\rangle$  and  $|\phi\rangle = |(\mathbf{x}', \mathbf{k}'), \tau', c\rangle$  in  $\mathcal{H}_N^{(c)}$
- A morphism  $f \in \text{Mor}(X_a \otimes X_b, X_c \otimes X_d)$  acting on sites  $j, j+1$

The matrix element is the complex number:

$$\langle \phi | f_{j,j+1} | \psi \rangle \in \mathbb{C} \quad (69)$$

Interpretation:  $f_{j,j+1}$  acts as a morphism between the anyon types at sites  $j, j+1$  in the source and target configurations.

### 13.3 Type 1: Two-Particle Interaction

**Definition 13.5** (Two-particle interaction morphism). A morphism  $f_{ab \rightarrow cd} \in \text{Mor}(X_a \otimes X_b, X_c \otimes X_d)$  acts on two neighbouring sites with anyons of type  $X_a, X_b$  and outputs  $X_c, X_d$ .

**Configuration support:** Non-zero matrix elements occur only when:

- Source configuration:  $s_j = a, s_{j+1} = b$
- Target configuration:  $s'_j = c, s'_{j+1} = d$
- All other sites identical:  $s_i = s'_i$  for  $i \notin \{j, j+1\}$



- Total charge preserved

In this case, the matrix element is:

$$\langle (\mathbf{x}', \mathbf{k}'), \tau' | f_{ab \rightarrow cd} | (\mathbf{x}, \mathbf{k}), \tau \rangle = \alpha_{ab \rightarrow cd}(\tau, \tau') \quad (70)$$

where  $\alpha_{ab \rightarrow cd}(\tau, \tau')$  is determined by the morphism and the fusion tree compatibility.

*Remark 13.6* (Multiplicity-free case).  $N_{ab}^c \in \{0, 1\}$  for all triples, so the morphism space  $\text{Mor}(X_a \otimes X_b, X_c \otimes X_d)$  is either  $\{0\}$  or one-dimensional. If non-zero, the coefficient  $\alpha_{ab \rightarrow cd}$  is a phase/scalar set by normalisation.

*Remark 13.7* (Forbidden fusion). If  $N_{ab}^c = 0$  (fusion forbidden), then  $\text{Mor}(X_a \otimes X_b, X_c) = \{0\}$ , and the operator component is identically zero.

**Claim 13.8** (Hermiticity). *If  $f_{ab \rightarrow cd}$  is a unitary morphism (or paired with its adjoint), the physical operator  $\mathcal{O} = f_{j,j+1} + f_{j,j+1}^\dagger$  is Hermitian and preserves the sector  $\mathcal{H}_N^{(c)}$ .*

### 13.4 Type 2: Right Hopping

**Definition 13.9** (Right-hopping morphism). A morphism  $h_R \in \text{Mor}(X_a \otimes \mathbf{1}, \mathbf{1} \otimes X_a)$  describes translating an anyon of type  $X_a$  from site  $j$  to site  $j + 1$ .

**Hard-core configuration support:**

- Source: anyon  $X_a$  at site  $j$ , vacuum ( $\mathbf{1}$ ) at site  $j + 1$
- Target: vacuum at site  $j$ , anyon  $X_a$  at site  $j + 1$

Matrix element:

$$\langle (\mathbf{x}', \mathbf{k}'), \tau' | h_{R,j,j+1} | (\mathbf{x}, \mathbf{k}), \tau \rangle = \begin{cases} \beta_a(\tau, \tau') & \text{if } s_j = a, s_{j+1} = 0 \text{ and } s'_j = 0, s'_{j+1} = a \\ 0 & \text{otherwise} \end{cases} \quad (71)$$

where  $\beta_a(\tau, \tau')$  is determined by the fusion tree evolution and normalisation convention.

**Claim 13.10** (Locality of hopping). *Right hopping is a 2-local operator: it couples only configurations differing by a single anyon displacement at neighbouring sites.*

### 13.5 Type 3: Left Hopping

**Definition 13.11** (Left-hopping morphism). A morphism  $h_L \in \text{Mor}(\mathbf{1} \otimes X_a, X_a \otimes \mathbf{1})$  describes translating an anyon of type  $X_a$  from site  $j + 1$  to site  $j$ .

**Hard-core configuration support:**

- Source: vacuum at site  $j$ , anyon  $X_a$  at site  $j + 1$
- Target: anyon  $X_a$  at site  $j$ , vacuum at site  $j + 1$

*Remark 13.12* (Relation to right hopping). By rigidity of the fusion category,  $h_L$  and  $h_R$  are related via duality. In particular, if both use consistent normalisation for  $X_a \otimes \mathbf{1} \leftrightarrow \mathbf{1} \otimes X_a$ , then  $h_L = h_R^\dagger$  (up to phase).

### 13.6 Operator Matrix Representation

**Definition 13.13** (Full operator matrix in  $\mathcal{H}_N^{(c)}$ ). Let  $\{|\psi_i\rangle = |(\mathbf{x}_i, \mathbf{k}_i), \tau_i, c\rangle\}_{i=1}^{d_N^{(c)}}$  be an orthonormal basis of  $\mathcal{H}_N^{(c)}$  (in a chosen fusion tree basis). The matrix representation of a 2-local operator  $\mathcal{O}$  is:

$$[\mathcal{O}]_{ij} := \langle \psi_i | \mathcal{O} | \psi_j \rangle \in \mathbb{C} \quad (72)$$

*Remark 13.14.* This is basis-dependent; different fusion tree bases yield different matrix coordinates. However, the eigenvalues and trace (and all basis-independent properties) are invariant.

**Claim 13.15** (Sparsity of 2-local operators). *For 2-local operators in the hard-core sector:*

- *Type 1 (two-particle interaction) couples configurations with anyons at the same pair of sites  $(j, j+1)$ ; expect  $O(n)$  nonzero entries per row.*
- *Type 2, 3 (hopping) couple configurations differing by a single displacement; expect  $O(1)$  nonzero entries per row.*

*Total matrix sparsity:  $O(n \cdot d_N^{(c)})$  nonzero entries.*

### 13.7 Example: Two Fibonacci Anyons

**Example 13.16** (Fibonacci  $\tau$  anyons,  $N = 2$  on 3 sites). Fusion category: Fibonacci,  $d = 2$  (objects  $\mathbf{1}, \tau$ ),  $\tau \otimes \tau = \mathbf{1} \oplus \tau$  (multiplicity 1 each).

Hard-core configurations with 2 anyons on 3 sites:  $\text{Conf}_2^{\text{HC}}(3) = \{(1, 2), (1, 3), (2, 3)\}$  (pairs of occupied sites).

Basis states in  $\mathcal{H}_2^{(1)}$  (two  $\tau$  anyons fusing to  $\mathbf{1}$ ):

- $|\psi_1\rangle = |(1, 2), \tau, \mathbf{1}\rangle = \text{anyons at sites 1, 2 fusing to } \mathbf{1}$
- $|\psi_2\rangle = |(1, 3), \tau, \mathbf{1}\rangle = \text{anyons at sites 1, 3 fusing to } \mathbf{1}$
- $|\psi_3\rangle = |(2, 3), \tau, \mathbf{1}\rangle = \text{anyons at sites 2, 3 fusing to } \mathbf{1}$

Right-hopping operator  $h_{R,1,2}$  on sites 1, 2 (moves anyon from site 1 to site 2):

- Source: anyon at site 1, vacuum at site 2  $\rightarrow$  only  $|\psi_2\rangle = |(1, 3)\rangle$  qualifies
- Target: vacuum at site 1, anyon at site 2  $\rightarrow$  only  $|\psi_3\rangle = |(2, 3)\rangle$  qualifies
- Non-zero element:  $\langle \psi_3 | h_{R,1,2} | \psi_2 \rangle$

Matrix of  $h_{R,1,2}$  in basis  $\{\psi_1, \psi_2, \psi_3\}$ :

$$h_{R,1,2} = \begin{pmatrix} 0 & \beta & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (73)$$

where  $\beta$  is the amplitude (normalisation-dependent).

### 13.8 Critical Observations and Open Questions

**Observation 1** (Fusion tree dependence). The matrix elements explicitly depend on the choice of fusion tree basis via  $\tau, \tau'$ . This choice is not canonical for multiplicity  $N_{ab}^c > 1$ . All published definitions must remain basis-independent; fusion trees are a computational tool only.

**Observation 2** (Missing fusion tree reduction). Computing actual matrix elements requires:

1. Extracting the local fusion tree structure at sites  $j, j+1$  from  $\tau$

2. Composing with the morphism  $f$  or  $h$
3. Tracking how  $\tau$  evolves to  $\tau'$
4. Applying R-matrices if the operator causes anyon braiding

This requires implementation of fusion tree reduction coefficients and F-symbols.

**Question 4.5.1** (Hard-core + arbitrary charge). For  $N$  anyons with definite charge  $c$  in hard-core sector, is the dimension of  $\mathcal{H}_N^{(c)}$  always  $O\left(\binom{n}{N}\right)$ ? What are the constraints on  $c$ ?

**Question 4.5.2** (Multiplicity handling). How do matrix elements change if  $N_{ab}^c > 1$ ? Each morphism space becomes multi-dimensional, introducing index-dependent amplitudes  $\alpha_{ab \rightarrow cd}^{(\mu)}$ .

**Question 4.5.3** (Normalisation convention). What is the standard normalisation for  $h_R$  and  $h_L$ ? Should they be unitary? Hermitian? How does this interact with R-matrices and the fusion category structure?

## 14 Particle-Conserving Local Hamiltonians

**Planning ref:** §5.1.1

**Status:** Draft

**Assumptions.**

- A5.1.1. Fusion category  $\mathcal{C}$  with unit  $\mathbf{1}$  and simples  $X_0 = \mathbf{1}, X_1, \dots, X_{d-1}$
- A5.1.2.  $n$  lattice sites labelled  $0, \dots, n-1$ , OBC
- A5.1.3. Hard-core regime: at most one anyon per site
- A5.1.4. Hilbert space  $\mathcal{H} = \bigoplus_{N=0}^n \mathcal{H}_N$  as in §4.2

### 14.1 Number-Conserving Hamiltonians (§5.1.1.1)

**Definition 14.1** (Number operator). The *number operator*  $\hat{N} : \mathcal{H} \rightarrow \mathcal{H}$  acts as

$$\hat{N} |\psi\rangle = N |\psi\rangle \quad \text{for } |\psi\rangle \in \mathcal{H}_N \quad (74)$$

**Definition 14.2** (Number-conserving Hamiltonian). A Hamiltonian  $H$  is *number-conserving* (or *particle-conserving*) if it commutes with the number operator:

$$[\hat{N}, H] = 0 \quad (75)$$

Equivalently,  $H$  preserves each  $N$ -particle sector:

$$H : \mathcal{H}_N \rightarrow \mathcal{H}_N \quad \text{for all } N \in \{0, 1, \dots, n\} \quad (76)$$

*Remark 14.3.* Number-conserving Hamiltonians do not create or annihilate anyons. They may move existing anyons between sites or introduce interactions between them.

### 14.2 Local Hamiltonians (§5.1.1.2)

**Definition 14.4** (Local Hamiltonian). A Hamiltonian  $H$  is *local* if it decomposes as

$$H = \sum_{j=0}^{n-2} h_j \quad (77)$$

where each  $h_j$  acts nontrivially only on a bounded neighbourhood of site  $j$ .

**Definition 14.5** (Nearest-neighbour Hamiltonian). A Hamiltonian  $H$  is *nearest-neighbour* if each local term  $h_j$  acts nontrivially only on sites  $j$  and  $j+1$ :

$$h_j : \mathcal{H} \rightarrow \mathcal{H}, \quad h_j = \mathbb{K}_{<j} \otimes \tilde{h}_j \otimes \mathbb{K}_{>j+1} \quad (78)$$

where  $\tilde{h}_j$  acts on the two-site space.

### 14.3 Morphism Decomposition (§5.1.1.3)

For mobile anyons, local terms decompose into morphism spaces. A number-conserving, nearest-neighbour term  $h_j$  has components:

**Definition 14.6** (Morphism components of local term). A nearest-neighbour term  $h_j$  acting on sites  $j, j+1$  decomposes as:

$$h_j \in \bigoplus_{A,B} \text{Mor}(A, B) \quad (79)$$

where  $A, B$  are objects of the form  $X_a \otimes X_b$  with  $a, b \in \{0, 1, \dots, d-1\}$ , and the sum is over pairs  $(A, B)$  with equal numbers of nontrivial (non-vacuum) factors.

**Proposition 14.7** (Number conservation criterion). A local term  $h_j$  is number-conserving if and only if for every nonzero component in  $\text{Mor}(X_a \otimes X_b, X_c \otimes X_d)$ :

$$|\{a, b\} \cap \{1, \dots, d-1\}| = |\{c, d\} \cap \{1, \dots, d-1\}| \quad (80)$$

where  $|S|$  denotes the count of indices in  $S$  that are nonzero (nontrivial).

### 14.4 Classification of Two-Site Processes (§5.1.1.4)

For hard-core anyons, the possible two-site configurations and number-conserving transitions are:

Source $X_a \otimes X_b$	Target $X_c \otimes X_d$	Process	Particle count
$\mathbf{1} \otimes \mathbf{1}$	$\mathbf{1} \otimes \mathbf{1}$	Vacuum identity	0
$X_a \otimes \mathbf{1}$	$\mathbf{1} \otimes X_a$	Hop right	1
$\mathbf{1} \otimes X_a$	$X_a \otimes \mathbf{1}$	Hop left	1
$X_a \otimes \mathbf{1}$	$X_a \otimes \mathbf{1}$	Stay left	1
$\mathbf{1} \otimes X_a$	$\mathbf{1} \otimes X_a$	Stay right	1
$X_a \otimes X_b$	$X_a \otimes X_b$	Two-anyon identity	2
$X_a \otimes X_b$	$X_c \otimes X_d$	Two-anyon scattering	2

*Remark 14.8.* Note that hopping does NOT involve braiding: the anyon moves to an empty site without passing through another anyon. Braiding processes are treated in §5.1.3.

### 14.5 Hermiticity (§5.1.1.5)

**Definition 14.9** (Hermitian local term). A local term  $h_j$  is *Hermitian* if  $h_j = h_j^\dagger$ .

For morphism components, this means:

$$\langle B | h_j | A \rangle = \overline{\langle A | h_j | B \rangle} \quad (81)$$

where the bar denotes complex conjugation.

**Proposition 14.10** (Hermiticity in terms of morphisms). A local term with component  $\alpha \in \text{Mor}(A, B)$  is *Hermitian* if and only if it also has component  $\alpha^\dagger \in \text{Mor}(B, A)$  with matching coefficient.

### 14.6 Julia Implementation

```

# file: src/julia/MobileAnyons/hamiltonian_v0.jl
using LinearAlgebra

"""
    NumberConservingTerm

A nearest-neighbour term that conserves particle number.
Acts on sites (site, site+1).
"""
struct NumberConservingTerm
    site::Int # left site index (0-based)
    components::Dict{Tuple{Tuple{Int,Int}, Tuple{Int,Int}}, ComplexF64}
    # (source, target) => coefficient
    # source/target are (label_left, label_right), 0 = vacuum
end

"""
Check that all components conserve particle number.
"""
function is_number_conserving(term::NumberConservingTerm)
    for ((a, b), (c, d)) in keys(term.components)
        n_source = (a != 0) + (b != 0)
        n_target = (c != 0) + (d != 0)
        if n_source != n_target
            return false
        end
    end
    return true
end

"""
Check that the term is Hermitian.
"""
function is_hermitian(term::NumberConservingTerm)
    for (k, v) in term.components
        ((a,b), (c,d)) = k
        conj_key = ((c,d), (a,b))
        if !haskey(term.components, conj_key)
            return false
        end
        if term.components[conj_key] != conj(v)
            return false
        end
    end
    return true
end

"""
    LocalHamiltonian

A number-conserving nearest-neighbour Hamiltonian.
"""
struct LocalHamiltonian
    n_sites::Int
    terms::Vector{NumberConservingTerm}
end

```

```

"""
Build a uniform nearest-neighbour Hamiltonian from a single local term.
"""
function uniform_nn_hamiltonian(n_sites::Int, local_components::Dict)
    terms = [NumberConservingTerm(j, local_components) for j in 0:(n_sites-2)]
    return LocalHamiltonian(n_sites, terms)
end

```

## 14.7 Summary

Concept	Symbol	Definition
Number operator	$\hat{N}$	Counts anyons: $\hat{N} \psi\rangle = N \psi\rangle$ for $ \psi\rangle \in \mathcal{H}_N$
Number-conserving	$[\hat{N}, H] = 0$	Preserves particle number
Nearest-neighbour	$h_j$	Acts on sites $j, j+1$ only
Morphism component	$\text{Mor}(A, B)$	Transitions between local configurations

## 14.8 Next Steps

- §5.1.1.2: Laplacian-type models (free hopping)
- §5.1.1.3: Hard-core blocking behaviour
- §5.1.2: Interactions without braiding
- §5.1.3: Free anyons with braiding

## Part IV

# Basic Properties of the Models

## 15 Module Categories

**Assumptions.**

- (A1) Fusion category  $\mathcal{C}$  over  $\mathbb{C}$  (from §??).
- (A2)  $\mathcal{C}$  is rigid (has duals).
- (A3) Module categories are semisimple and finite.

### 15.1 Overview

*Module categories* provide the mathematical framework for classifying boundary conditions in topological phases. A (left)  $\mathcal{C}$ -module category is a category  $\mathcal{M}$  equipped with an action of the fusion category  $\mathcal{C}$ , analogous to how a module is a set with an action of a ring.

In the context of anyonic chains, module categories classify:

- Boundary conditions for open chains
- Edge modes and boundary excitations
- Domain walls between different phases

## 15.2 Definition of Module Categories

**Definition 15.1** (Left module category). A *left  $\mathcal{C}$ -module category* is a category  $\mathcal{M}$  equipped with:

1. **Action functor:**  $\triangleright : \mathcal{C} \times \mathcal{M} \rightarrow \mathcal{M}$ , written  $(X, M) \mapsto X \triangleright M$ .
2. **Module associator:** Natural isomorphism

$$m_{X,Y,M} : (X \otimes Y) \triangleright M \xrightarrow{\sim} X \triangleright (Y \triangleright M). \quad (82)$$

3. **Unit constraint:** Natural isomorphism  $\ell_M : \mathbf{1} \triangleright M \xrightarrow{\sim} M$ .

These satisfy coherence conditions (pentagon and triangle diagrams for modules).

**Definition 15.2** (Right module category). A *right  $\mathcal{C}$ -module category* is defined analogously with action  $\triangleleft : \mathcal{M} \times \mathcal{C} \rightarrow \mathcal{M}$ .

**Definition 15.3** (Bimodule category). A  $(\mathcal{C}, \mathcal{D})$ -*bimodule category* is a category  $\mathcal{M}$  that is simultaneously a left  $\mathcal{C}$ -module and right  $\mathcal{D}$ -module, with compatible associators.

*Reference:* Etingof–Nikshych–Ostrik, *Adv. Math.* **226** (2011) [unverified]

## 15.3 Simple Module Objects

**Definition 15.4** (Simple module object). An object  $M \in \mathcal{M}$  is *simple* if it has no proper subobjects. The simple objects of  $\mathcal{M}$  form a finite set  $\text{Irr}(\mathcal{M})$ .

*Remark 15.5.* Simple module objects correspond to *boundary excitations* or *edge modes*—the elementary degrees of freedom localised at the boundary.

## 15.4 Internal Hom

**Definition 15.6** (Internal Hom). For  $M, N \in \mathcal{M}$ , the *internal Hom*  $\underline{\text{Hom}}(M, N) \in \mathcal{C}$  is defined by:

$$\text{Hom}_{\mathcal{M}}(X \triangleright M, N) \cong \text{Hom}_{\mathcal{C}}(X, \underline{\text{Hom}}(M, N)). \quad (83)$$

This captures how bulk anyons ( $X \in \mathcal{C}$ ) can transform one boundary excitation into another.

## 15.5 The Regular Module

**Example 15.7** (Regular module). Every fusion category  $\mathcal{C}$  is a module over itself via the tensor product:

$$X \triangleright Y := X \otimes Y. \quad (84)$$

This is called the *regular module*  $\mathcal{C}_{\mathcal{C}}$ .

The regular module corresponds to the “trivial” or “smooth” boundary condition.

## 15.6 Module Functors

**Definition 15.8** (Module functor). A  $\mathcal{C}$ -*module functor* between  $\mathcal{C}$ -module categories  $\mathcal{M}$  and  $\mathcal{N}$  is a functor  $F : \mathcal{M} \rightarrow \mathcal{N}$  with natural isomorphisms:

$$s_{X,M} : F(X \triangleright M) \xrightarrow{\sim} X \triangleright F(M) \quad (85)$$

satisfying coherence conditions.

Module functors describe *boundary-changing operators* or *defects* between different boundary conditions.

## 15.7 Morita Equivalence

**Definition 15.9** (Morita equivalence). Two fusion categories  $\mathcal{C}$  and  $\mathcal{D}$  are *Morita equivalent* if there exists an invertible  $(\mathcal{C}, \mathcal{D})$ -bimodule category.

**Theorem 15.10** (Boundary-bulk correspondence). *The bulk topological order determines, and is determined by, the set of all possible boundary conditions (module categories) up to Morita equivalence.*

*Reference:* Kitaev–Kong, *Commun. Math. Phys.* **313** (2012), 351–373 [unverified]

## 16 Boundary Conditions for Anyonic Chains

### Assumptions.

- (A1) Bulk fusion category  $\mathcal{C}$  (from §??).
- (A2) 1D chain with open boundary conditions (from §8).
- (A3) Boundary conditions classified by  $\mathcal{C}$ -module categories.

### 16.1 Overview

For anyonic chains with open boundary conditions, the choice of *boundary conditions* at each end significantly affects the Hilbert space structure and dynamics. Following the Kitaev–Kong framework, boundary conditions are classified by *module categories* over the bulk fusion category  $\mathcal{C}$ .

Different boundary conditions lead to different edge mode structures, affecting ground state degeneracy, edge excitations, and partition functions.

### 16.2 Boundary Hilbert Space

**Definition 16.1** (Boundary Hilbert space). For a chain with:

- Bulk category  $\mathcal{C}$
- Left boundary condition  $\mathcal{M}_L$  (left  $\mathcal{C}$ -module category)
- Right boundary condition  $\mathcal{M}_R$  (right  $\mathcal{C}$ -module category)

The boundary-modified Hilbert space involves the *relative tensor product*  $\mathcal{M}_L \boxtimes_{\mathcal{C}} \mathcal{M}_R$ .

### 16.3 Trivial Boundary Conditions

**Definition 16.2** (Trivial/smooth boundary). The *trivial boundary condition* corresponds to the regular module  $\mathcal{C}_{\mathcal{C}}$ , where  $\mathcal{C}$  acts on itself by tensor product.

Anyons can freely approach the boundary without restriction. No edge modes beyond the bulk structure.



## 16.4 Gapped Boundaries via Lagrangian Algebras

**Definition 16.3** (Lagrangian algebra). A *Lagrangian algebra*  $A \in \mathcal{C}$  is a commutative algebra object satisfying:

$$\dim(A)^2 = \dim(\mathcal{C}), \quad (86)$$

where  $\dim(\mathcal{C}) = \sum_i d_i^2$  is the total quantum dimension.

**Theorem 16.4** (Classification of gapped boundaries). *Gapped boundary conditions for a topological phase with bulk  $\mathcal{C}$  are in bijection with Lagrangian algebras in  $\mathcal{C}$  (for modular  $\mathcal{C}$ ).*

*Reference:* Kong–Wen, *JHEP* (2014) [unverified]

A Lagrangian algebra specifies which bulk anyons can “condense” at the boundary.

## 16.5 Examples

**Example 16.5** (Fibonacci anyons). For the Fibonacci category  $\mathcal{C} = \text{Fib}$  with simples  $\{\mathbf{1}, \tau\}$ :

Module category	Simple objects	Physical meaning
$\text{Fib}_{\text{Fib}}$ (regular)	$\{\mathbf{1}, \tau\}$	Smooth boundary
$\text{Vec}$ (condensed)	$\{\mathbf{1}\}$	$\tau$ condensed at boundary

**Example 16.6** (Ising anyons). For the Ising category  $\mathcal{C} = \text{Ising}$  with simples  $\{\mathbf{1}, \sigma, \psi\}$ :

Module category	Simple objects	Physical meaning
$\text{Ising}_{\text{Ising}}$	$\{\mathbf{1}, \sigma, \psi\}$	Smooth boundary
$\text{Vec}(\mathbb{Z}_2)$	$\{\mathbf{1}, \psi\}$	$\sigma$ condensed

## 16.6 Application to Mobile Anyons

For mobile anyons on an open chain (our setting from §10):

1. **Standard construction** (§10): Uses trivial boundary conditions implicitly.
2. **With general boundaries:** The Hilbert space becomes:

$$\mathcal{H} = \bigoplus_{M_L \in \mathcal{M}_L} \bigoplus_{M_R \in \mathcal{M}_R} \mathcal{H}(M_L, M_R), \quad (87)$$

where  $\mathcal{H}(M_L, M_R)$  is the space of bulk configurations interpolating between boundary states.

3. **Boundary Hamiltonians:** Additional terms can describe:

- Boundary potentials (energy cost for edge modes)
- Boundary-bulk coupling (anyons interacting with edge)
- Boundary-changing operators (transitions between boundary conditions)

## 16.7 Connection to Golden Chain

**Example 16.7** (Golden chain boundaries). The golden chain (Fibonacci anyons at unit filling) has been studied with various boundary conditions:

- **Free boundaries:** Regular module, leading to CFT edge modes.
- **Fixed boundaries:** Specific module object pinned at edge, breaking some symmetry.

*Reference:* Aasen–Fendley–Mong, *J. Phys. A* (2020) [unverified]

## References

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