

Symbol Suffixes - Behind the Dot and Fifth Letter Extensions

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A ticker symbol suffixed with additional letters identify that there are additional circumstances with the stock beyond a normal stock issue. The TSX and NYSE listings have the appended suffix extension "behind-the-dot". Nasdaq and OTC Markets have the appended suffix extension as a fifth letter.

TSX and TSXV

TSX "behind the dot" extensions and other special code		
A-B – class of shares	NO, NS, NT – notes	S – special U.S. terms
DB – debenture	P – Capital Pool Company	U, V – U.S. funds
E – equity dividend	PR – preferred	UN – units
H – NEX market	R – subscription receipts	W – when issued
IR – installment receipts	RT – rights	WT – warrants

NASDAQ, NYSE, OTC Markets

A – Class "A"	K – Nonvoting (common)	U – Units
B – Class "B"	L – Miscellaneous (situations such as foreign preferred, preferred when-issued, a second class of units, a third class of warrants, or a sixth class of preferred stock).	V – Pending issue and distribution - when issued and when distributed (shares that are set to split or have another similar pending corporate actions).
C – Issuer Qualification Exception – (This is temporarily used to denote that the company is not meeting all listing requirements, but can remain on the exchange for the time being).	M – fourth class – preferred shares	W – Warrants
D – New issue or reverse split - This is temporarily used to denote a stock split or corporate reorganization.	N – third class – preferred shares	X – Mutual fund

E – Delinquent SEC filings - This is temporarily used to denote delinquent regulatory filings.	O – second class – preferred shares	Y – American Depository Receipt (ADR - Foreign Company)
F – Foreign issue	P – first class preferred shares	Z – Miscellaneous (situations such as a second class of warrants, a fifth class of preferred stock, a stub, a foreign preferred when-issued, or any unit, receipt, or certificate representing a limited partnership interest).
G – first convertible bond	Q – In bankruptcy	Special codes
H – second convertible bond	R – Rights	PK – A Pink Sheet, indicating over-the-counter
I – third convertible bond	S – Shares of beneficial interest	SC – Nasdaq Small Cap
J – Voting share – This is temporarily used to denote a shareholder vote situation.	T – With warrants or rights	NM – Nasdaq National Market



Preview

