

Analyzing the horizontal orientation of the crustal stress adjacent to plate boundaries

Tobias Stephan

Lakehead University, Thunder Bay, ON

09/10/2023



Lakehead
UNIVERSITY

- Course material available through:

https://github.com/tobiste/R_Geo_workshop

- Software:

- R + RStudio: <https://www.rstudio.com/products/rstudio/download/>

- QGIS: <https://www.qgis.org/en/site/>

- Any issues: tstephan@lakeheadu.ca

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications
 - evaluation of underground constructions, mining, quarrying
 - constructions, blasting
 - seismic hazard assessment
 - dam safety

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications
 - evaluation of underground constructions, mining, quarrying constructions, blasting
 - drilling and stimulation of petroleum, geothermal and water wells
 - hydrofracturing

Stress application

How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

Why matter?

- academic: tectonic processes
- geotechnical applications
 - evaluation of underground constructions, mining, quarrying constructions, blasting
 - drilling and stimulation of petroleum, geothermal and water wells
 - hydrofracturing

Stress application

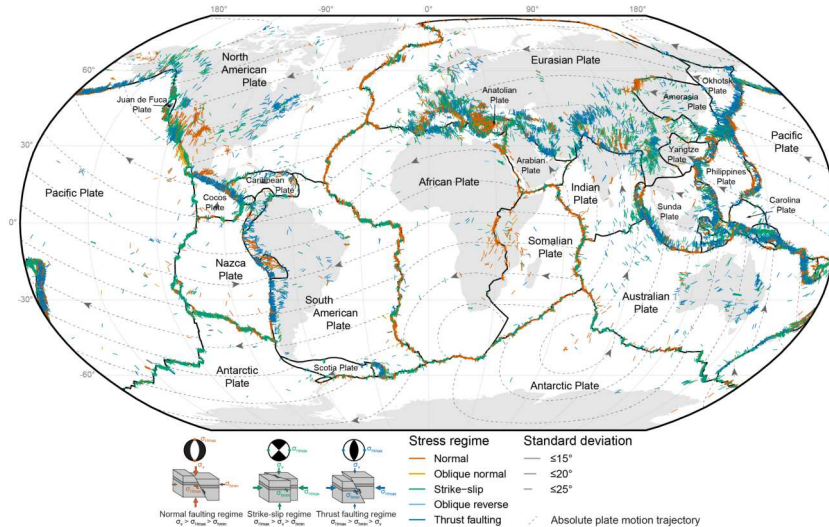
How to measure stress (indirectly)? / indicators?

- borehole breakouts
- overcoring
- hydraulic fracturing
- geological structures (fault slip, fractures, stylolites, ...)
- inversion of focal mechanisms

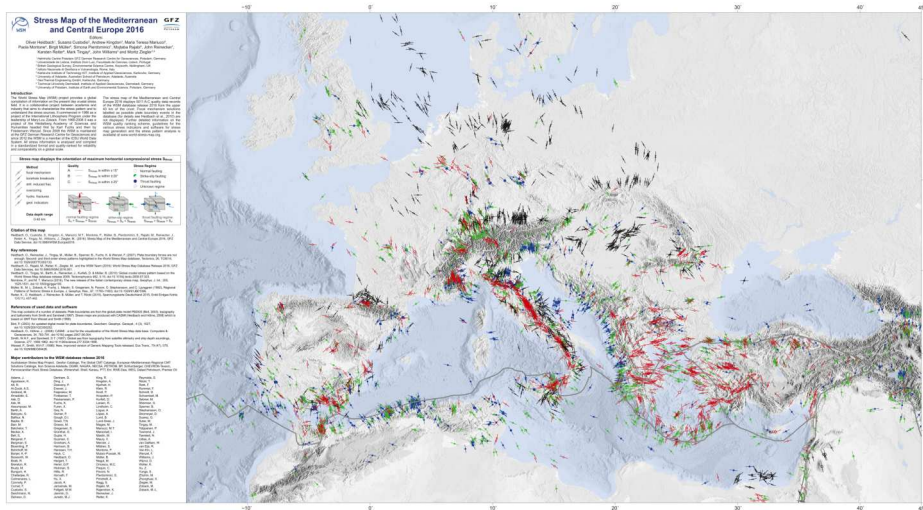
Why matter?

- academic: tectonic processes
- geotechnical applications
 - evaluation of underground constructions, mining, quarrying constructions, blasting
 - drilling and stimulation of petroleum, geothermal and water wells
 - hydrofracturing

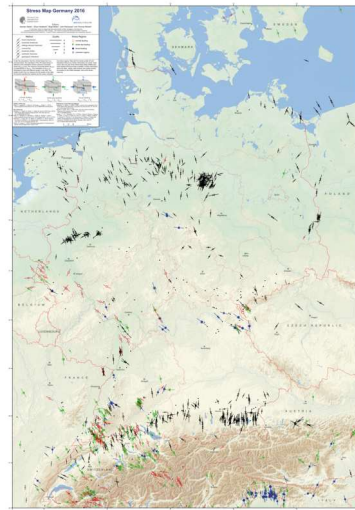
World stress map



World stress map

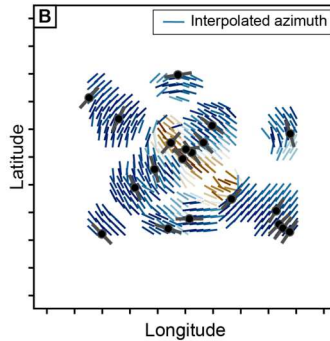
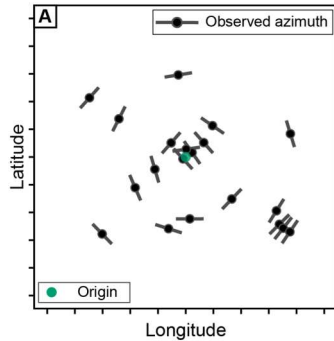


World stress map

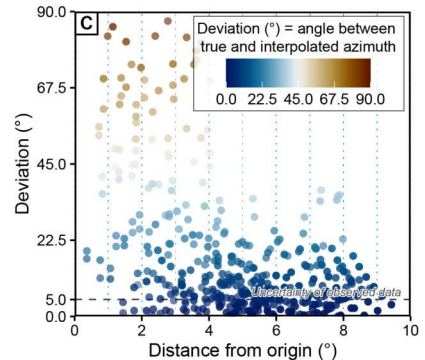


Analyzing stress

Matter of the perspective

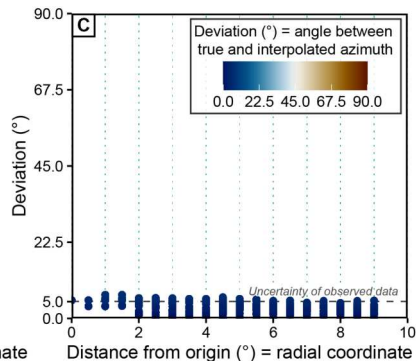
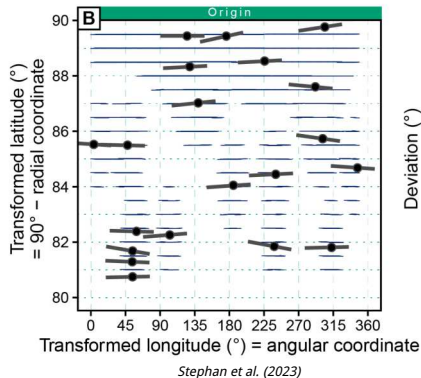
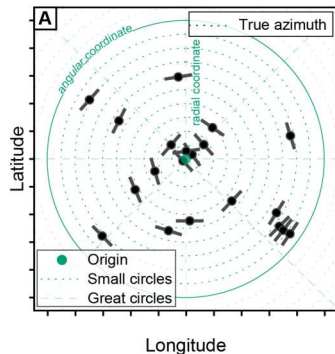


Stephan et al. (2023)



Analyzing stress

Matter of the perspective



Analyzing stress

Obstacles

- 1 Statistical analysis of angular data (homogenous stress field?)
- 2 Geo-data (field geometry depends on geographic location)
- 3 What is the stress source?
- 4 Interpretation of stress field variations



Stress composition

$$\sigma = \sigma_{\text{ref}} + (\sigma_{\text{thermal}} + \sigma_{\text{terrestrial}} + \sigma_{\text{residual}}) + \sigma_{\text{tectonic}}$$

σ_{ref} e.g. lithostatic reference state of stress: $\sigma_1 = \sigma_2 = \sigma_3 = \rho g z$

σ_{thermal} thermal expansion

$\sigma_{\text{terrestrial}}$ topography

σ_{residual} locked into rock when elastic deformation remains after removal of tectonic stress

Sources of tectonic stress

1st order plate boundary forces (e.g. subduction, ridge-push, collision, basal drag)

2nd order large volume forces (e.g. isostatic compensation, topography, lithosphere thickness variations, deglaciation)

3rd order geological structures (e.g. salt diapirs), strong earthquakes, detachment zones (e.g. evaporates, overpressured shales)

TC6014

HEIDBACH ET AL.: WORLD STRESS MAP DATABASE RELEASE 2005

TC6014

Table 2. Sources of Crustal Stresses on Different Spatial Scales

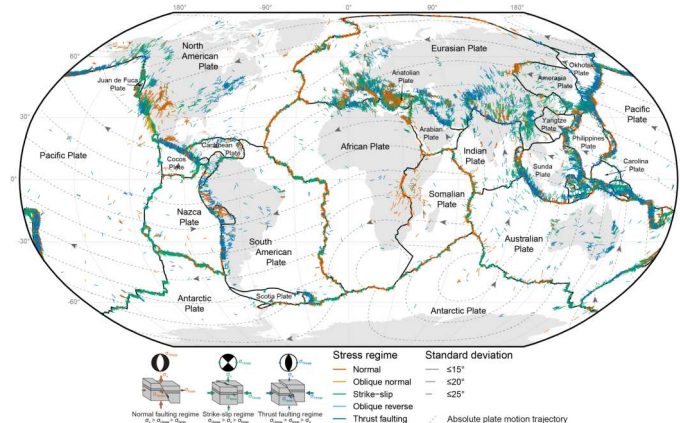
Source	Examples	Effect on Stress Field	Length Scale
Plate boundary forces	ridge push, collision, subduction, mantle drag	<i>first-order control</i>	100 s to 1000 s of km
Large volume forces	mountain ranges, isostatic compensation, continent-ocean transition, Moho, lithosphere thickness variations, large basins	<i>second-order control</i> rotation of stress field due to mechanical and density contrasts between units	100 s of km
Flexural forces	deglaciation, subduction zones	<i>second-order control</i>	100 s of km
Detachment zones	evaporites, overpressured shales, low-angle faults	<i>second- to third-order control</i> changes mechanically overlying rocks from first- or second-order stress field	10 s to 100 s of km
Strong earthquakes	plate boundaries, major intraplate faults	<i>second- to third-order control</i> temporal changes linked to the seismic cycle	10 s to 100 s of km
Geological structures	faults, fractures, diapirs, folds	<i>third-order control</i> change due to mechanical and density contrasts between units	0.01 – 10 km

Heidbach et al. (2016)



Link between plate motion and the orientation of stress tensor

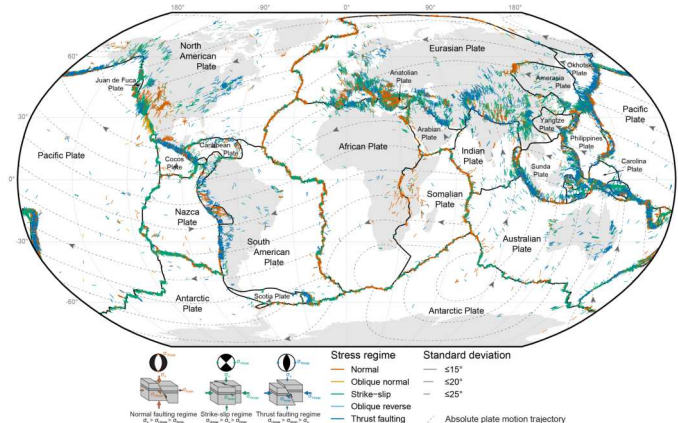
- 1** Strongest tectonic force(s) = plate boundary forces (Forsyth & Uyeda, 1975): Plate boundary forces = source for most of the lithospheric stresses (e.g. Zoback & Zoback 1989)
- 2** $\sigma_{Hmax} \approx \sigma_{plate\ boundary}$
- 3** Forces along trajectories of the plates' relative motion (Forsyth & Uyeda, 1975)
- 4** Stress along trajectories of the plates' torque and relative rotation (Wdowinski, 1999)



Stephan et al. (2023)

Link between plate motion and the orientation of stress tensor

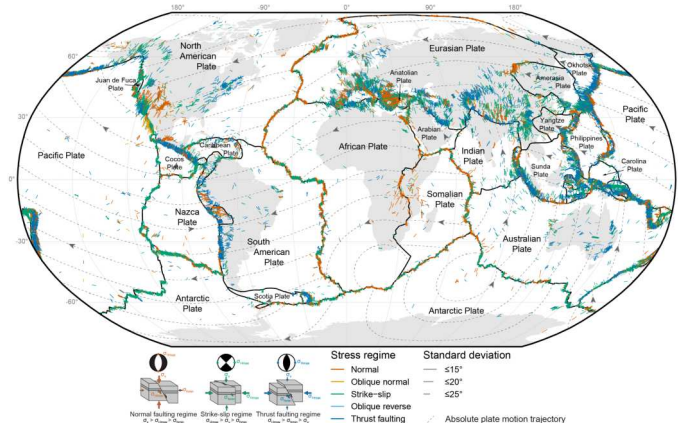
- 1 Strongest tectonic force(s) = plate boundary forces (Forsyth & Uyeda, 1975): Plate boundary forces = source for most of the lithospheric stresses (e.g. Zoback & Zoback 1989)
- 2 $\sigma_{Hmax} \approx \sigma_{plate\ boundary}$
- 3 Forces along trajectories of the plates' relative motion (Forsyth & Uyeda, 1975)
- 4 Stress along trajectories of the plates' torque and relative rotation (Wdowinski, 1999)



Stephan et al. (2023)

Link between plate motion and the orientation of stress tensor

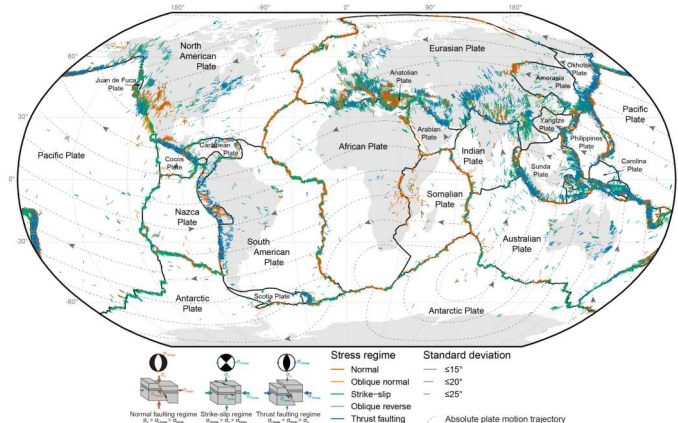
- 1 Strongest tectonic force(s) = plate boundary forces (Forsyth & Uyeda, 1975): Plate boundary forces = source for most of the lithospheric stresses (e.g. Zoback & Zoback 1989)
- 2 $\sigma_{Hmax} \approx \sigma_{plate\ boundary}$
- 3 Forces along trajectories of the plates' relative motion (Forsyth & Uyeda, 1975)
- 4 Stress along trajectories of the plates' torque and relative rotation (Wdowinski, 1999)



Stephan et al. (2023)

Link between plate motion and the orientation of stress tensor

- 1 Strongest tectonic force(s) = plate boundary forces (Forsyth & Uyeda, 1975): Plate boundary forces = source for most of the lithospheric stresses (e.g. Zoback & Zoback 1989)
- 2 $\sigma_{Hmax} \approx \sigma_{plate\ boundary}$
- 3 Forces along trajectories of the plates' relative motion (Forsyth & Uyeda, 1975)
- 4 Stress along trajectories of the plates' torque and relative rotation (Wdowinski, 1999)



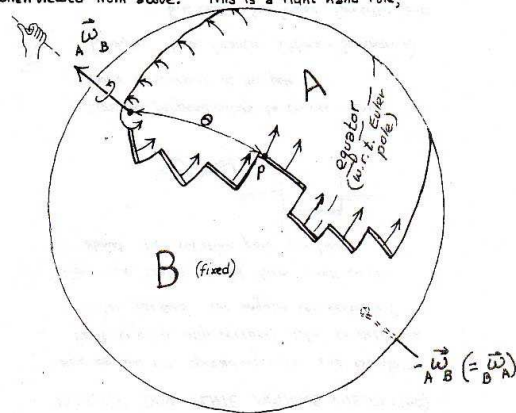
Stephan et al. (2023)

Plate motion on a sphere = rotation

Geometrical analysis of plate motion

- Plate tectonics describe motion of lithospheric plates over the asthenosphere
- *Spherical polygons rotate on the outer shell of a sphere*
- Parametrization in terms of angle and axis
- Rotation axis passes through centre of Earth (Euler pole)

Sign convention: ${}^A\vec{\omega}_B$ is positive if the rotation is counter-clockwise when viewed from above. This is a right hand rule,



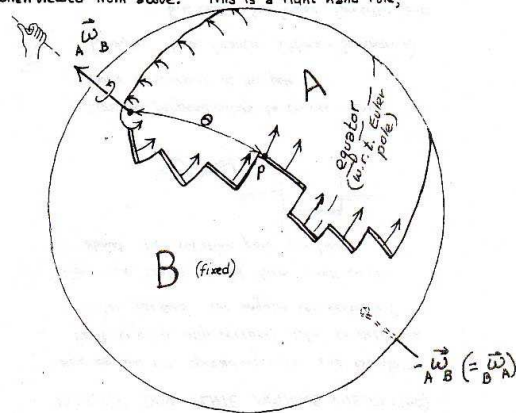
Cox & Hart (1986)

Plate motion on a sphere = rotation

Geometrical analysis of plate motion

- Plate tectonics describe motion of lithospheric plates over the asthenosphere
- *Spherical polygons rotate on the outer shell of a sphere*
- Parametrization in terms of angle and axis
- Rotation axis passes through centre of Earth (Euler pole)

Sign convention: ${}^A\vec{\omega}_B$ is positive if the rotation is counter-clockwise when viewed from above. This is a right hand rule,



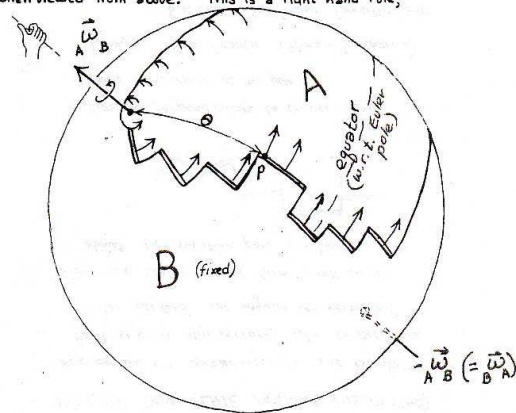
Cox & Hart (1986)

Plate motion on a sphere = rotation

Geometrical analysis of plate motion

- Plate tectonics describe motion of lithospheric plates over the asthenosphere
- *Spherical polygons rotate on the outer shell of a sphere*
- Parametrization in terms of angle and axis
- Rotation axis passes through centre of Earth (Euler pole)

Sign convention: ${}^A\vec{\omega}_B$ is positive if the rotation is counter-clockwise when viewed from above. This is a right hand rule,



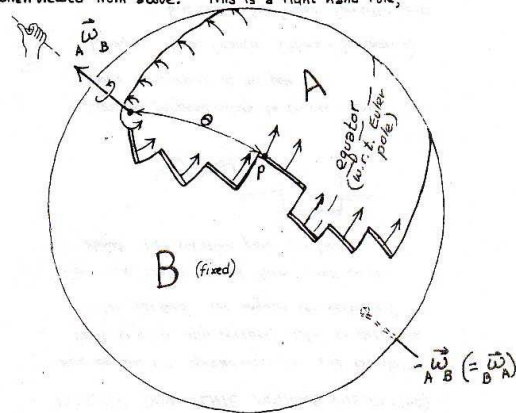
Cox & Hart (1986)

Plate motion on a sphere = rotation

Geometrical analysis of plate motion

- Plate tectonics describe motion of lithospheric plates over the asthenosphere
- *Spherical polygons rotate on the outer shell of a sphere*
- Parametrization in terms of angle and axis
- Rotation axis passes through centre of Earth (**Euler pole**)

Sign convention: ${}^A\vec{\omega}_B$ is positive if the rotation is counter-clockwise when viewed from above. This is a right hand rule,



Cox & Hart (1986)

Horizontal stress at Earth's surface

Anderson's Law

- Air has no shear stress
- Anderson (1951): At Earth's surface the principal stress directions are directions of zero shear stress
- Earth's surface = principal plane of stress, containing two of the principal stress directions
- third principal stress direction is normal to the Earth's surface



Horizontal stress at Earth's surface

Anderson's Law

- Air has no shear stress
- Anderson (1951): At Earth's surface the principal stress directions are directions of zero shear stress
- Earth's surface = principal plane of stress, containing two of the principal stress directions
- third principal stress direction is normal to the Earth's surface



Horizontal stress at Earth's surface

Anderson's Law

- Air has no shear stress
- Anderson (1951): At Earth's surface the principal stress directions are directions of zero shear stress
- Earth's surface = principal plane of stress, containing two of the principal stress directions
- third principal stress direction is normal to the Earth's surface



Horizontal stress at Earth's surface

Anderson's Law

- Air has no shear stress
- Anderson (1951): At Earth's surface the principal stress directions are directions of zero shear stress
- Earth's surface = principal plane of stress, containing two of the principal stress directions
- third principal stress direction is normal to the Earth's surface



Plate motion vs. horizontal stress (1)

- Plate boundary forces (e.g. slab-pull, ridge push) control first-order intraplate deformation (*Zoback et al. 1989*)
- Max. horizontal stress (σ_{Hmax}) is parallel or perpendicular to relative plate motion direction (*Wdowinski 1998*)

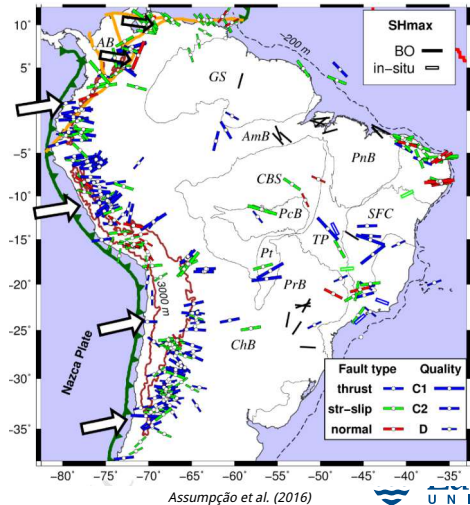


Plate motion vs. horizontal stress (1)

- Plate boundary forces (e.g. slab-pull, ridge push) control first-order intraplate deformation (Zoback *et al.* 1989)
- Max. horizontal stress (σ_{Hmax}) is parallel or perpendicular to relative plate motion direction (Wdowinski 1998)

Orientation of tectonic features relative to plate motion

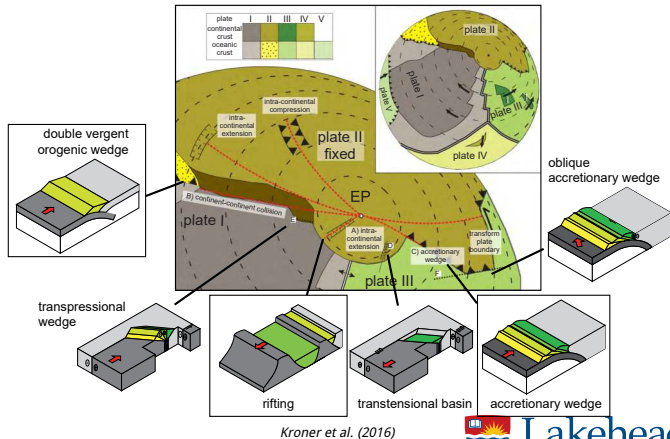
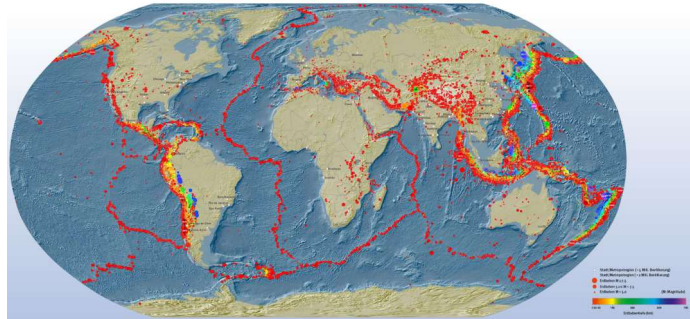


Plate motion vs. horizontal stress (2)

Rigid plates concept

- Assumption: rigid plates, i.e. **entire** deformation is accumulated along plate boundaries
- Diffuse plate boundaries and far-field stress????
- How is the stress transferred to the plate's interior?
- Additional processes controlling the orientation of intraplate stress?

Global earthquake distribution



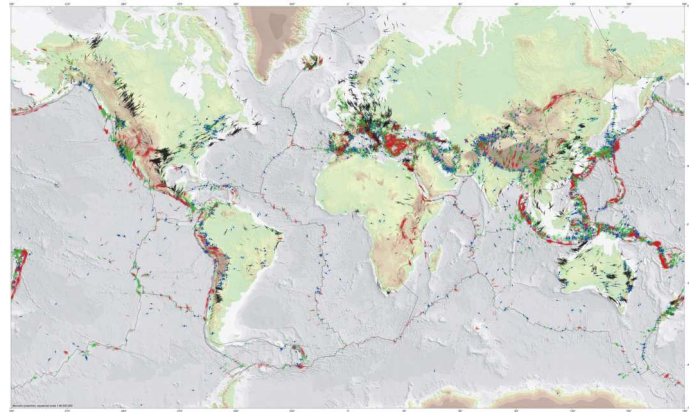
GFZ Potsdam

Plate motion vs. horizontal stress (2)

Rigid plates concept

- Assumption: rigid plates, i.e. **entire** deformation is accumulated along plate boundaries
- Diffuse plate boundaries and far-field stress????
- How is the stress transferred to the plate's interior?
- Additional processes controlling the orientation of intraplate stress?

Global crustal present-day stress field



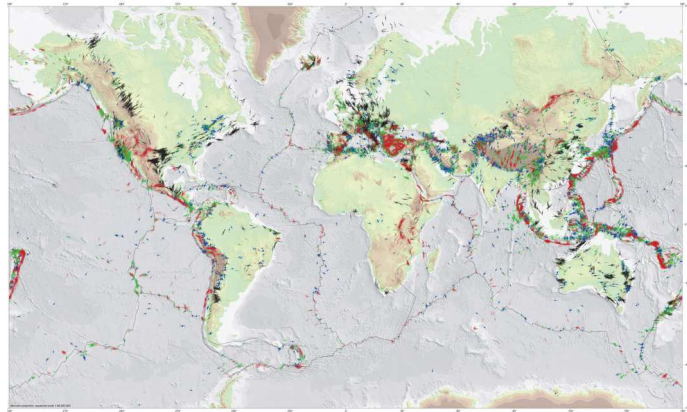
World Stress Map Project 2016

Plate motion vs. horizontal stress (2)

Rigid plates concept

- Assumption: rigid plates, i.e. **entire** deformation is accumulated along plate boundaries
- Diffuse plate boundaries and far-field stress????
- How is the stress transferred to the plate's interior?
- Additional processes controlling the orientation of intraplate stress?

Global crustal present-day stress field



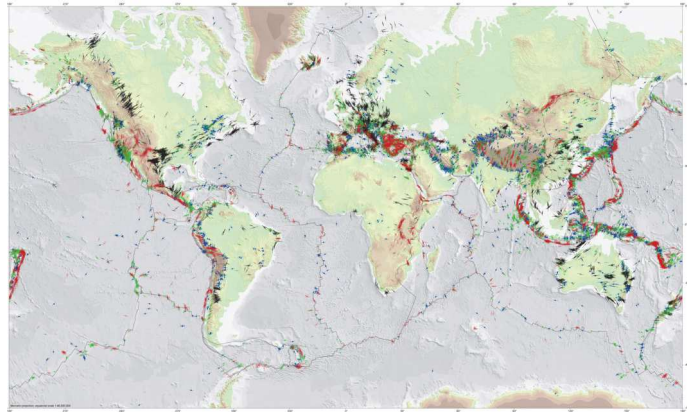
World Stress Map Project 2016

Plate motion vs. horizontal stress (2)

Rigid plates concept

- Assumption: rigid plates, i.e. **entire** deformation is accumulated along plate boundaries
- Diffuse plate boundaries and far-field stress????
- How is the stress transferred to the plate's interior?
- Additional processes controlling the orientation of intraplate stress?

Global crustal present-day stress field



World Stress Map Project 2016

Method

Quantifying the link between plate motion and first-order stress

- 1 Infer relative motion from absolute motions or other relative motions (compositions of rotations)
 - Present day: calculate equivalent rotations (i.e. the motion between the fixed plate (where the deformation happens) and the colliding/diverging plate)
 - Past: intermediate, equivalent rotation of plate motion history
- 2 Calculate the plate motion vector (trajectory) at coordinates of interest (azimuth of great circle direction between the resulting Euler pole and a given location)
- 3 Calculate angular difference between the plate motion trajectories and the (paleo-) σ_{Hmax} direction

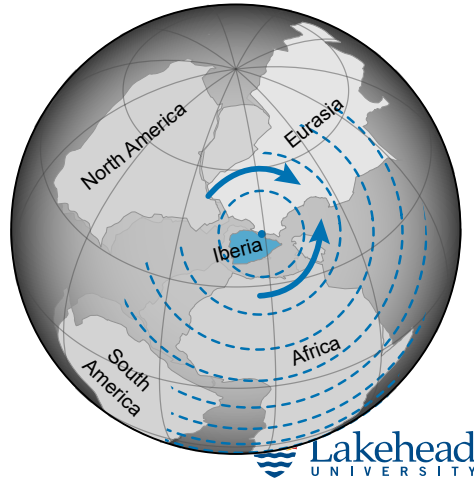
175 Ma



Method

Quantifying the link between plate motion and first-order stress

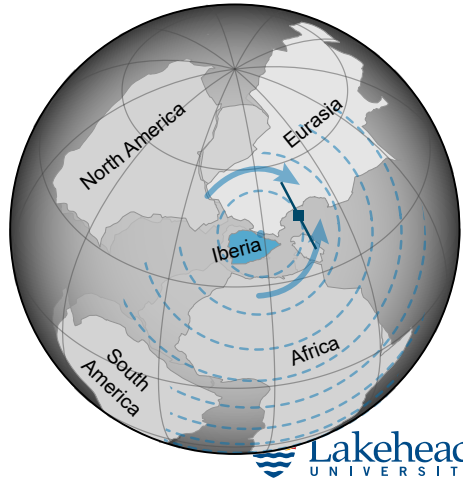
- 1 Infer relative motion from absolute motions or other relative motions (compositions of rotations)
 - Present day: calculate equivalent rotations (i.e. the motion between the fixed plate (where the deformation happens) and the colliding/diverging plate)
 - Past: intermediate, equivalent rotation of plate motion history
- 2 Calculate the plate motion vector (trajectory) at coordinates of interest (azimuth of great circle direction between the resulting Euler pole and a given location)
- 3 Calculate angular difference between the plate motion trajectories and the (paleo-) σ_{Hmax} direction



Method

Quantifying the link between plate motion and first-order stress

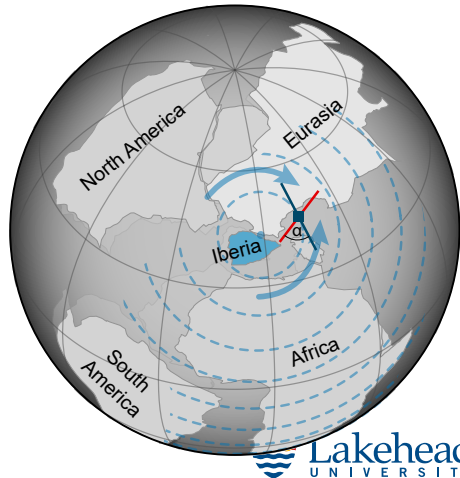
- 1 Infer relative motion from absolute motions or other relative motions (compositions of rotations)
 - Present day: calculate equivalent rotations (i.e. the motion between the fixed plate (where the deformation happens) and the colliding/diverging plate)
 - Past: intermediate, equivalent rotation of plate motion history
- 2 Calculate the plate motion vector (trajectory) at coordinates of interest (azimuth of great circle direction between the resulting Euler pole and a given location)
- 3 Calculate angular difference between the plate motion trajectories and the (paleo-) σ_{Hmax} direction



Method

Quantifying the link between plate motion and first-order stress

- 1 Infer relative motion from absolute motions or other relative motions (compositions of rotations)
 - Present day: calculate equivalent rotations (i.e. the motion between the fixed plate (where the deformation happens) and the colliding/diverging plate)
 - Past: intermediate, equivalent rotation of plate motion history
- 2 Calculate the plate motion vector (trajectory) at coordinates of interest (azimuth of great circle direction between the resulting Euler pole and a given location)
- 3 Calculate angular difference between the plate motion trajectories and the (paleo-) σ_{Hmax} direction



Method

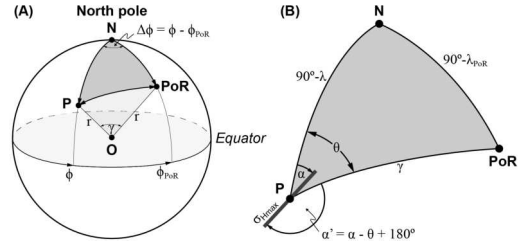
Quantifying the link between plate motion and first-order stress

- 1 Infer relative motion from absolute motions or other relative motions (compositions of rotations)
 - Present day: calculate equivalent rotations (i.e. the motion between the fixed plate (where the deformation happens) and the colliding/diverging plate)
 - Past: intermediate, equivalent rotation of plate motion history
- 2 Calculate the plate motion vector (trajectory) at coordinates of interest (azimuth of great circle direction between the resulting Euler pole and a given location)
- 3 Calculate angular difference between the plate motion trajectories and the (paleo-) σ_{Hmax} direction

Method

Geometrical concept

$$\sin \theta = \frac{\sin \Delta\phi \sin (90^\circ - \lambda_{\text{PoR}})}{\sin \gamma} = \frac{\sin \Delta\phi \cos \lambda_{\text{PoR}}}{\sin \gamma}$$



Predicted azimuth (β) of maximum horizontal stress (σ_{Hmax}) adjacent to the various plate boundary types in the geographical coordinate

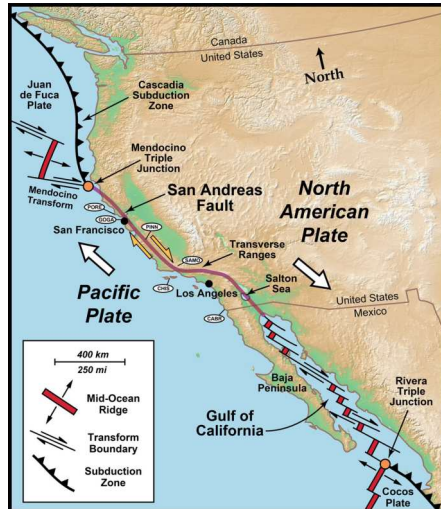
	Displacement of plate boundary	Stress regime	σ_{Hmax} azimuth	Geometry of trajectories
reference system.	Outward	normal fault	$\beta = \theta$	great circles
	Tangential (L)	strike-slip (L)	$\beta = \theta + 45^\circ$	counterclockwise loxodromes
	Inward	thrust	$\beta = \theta + 90^\circ$	small circles
	Tangential (R)	strike-slip (R)	$\beta = \theta + 135^\circ$	clockwise loxodromes

The minimum horizontal stress is perpendicular to β . Hence, it follows the trajectories perpendicular to those predicted for σ_{Hmax} .

Abbreviations: L – left-lateral, R – right-lateral.

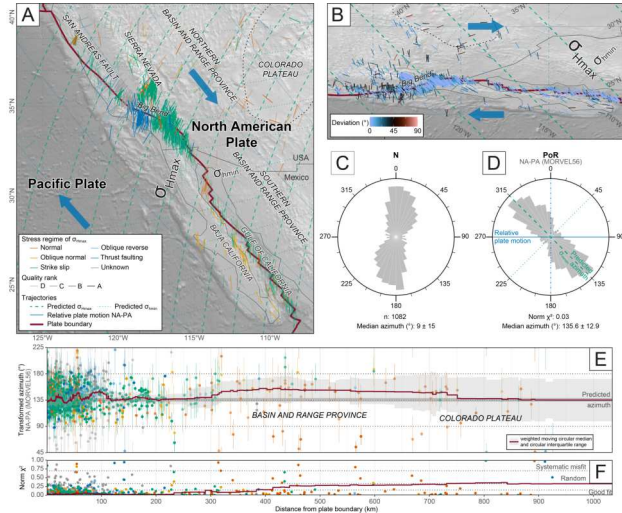
Application

San Andreas Fault – Gulf of California



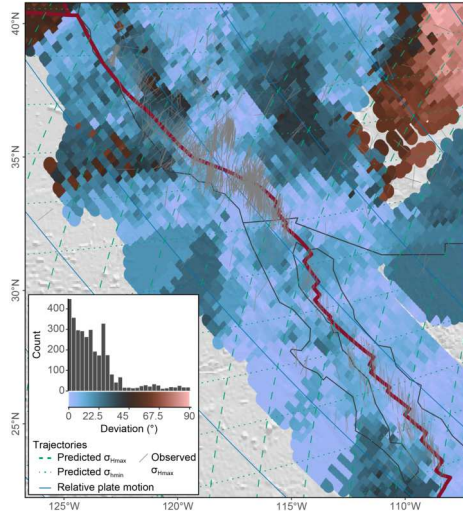
Application

San Andreas Fault – Gulf of California



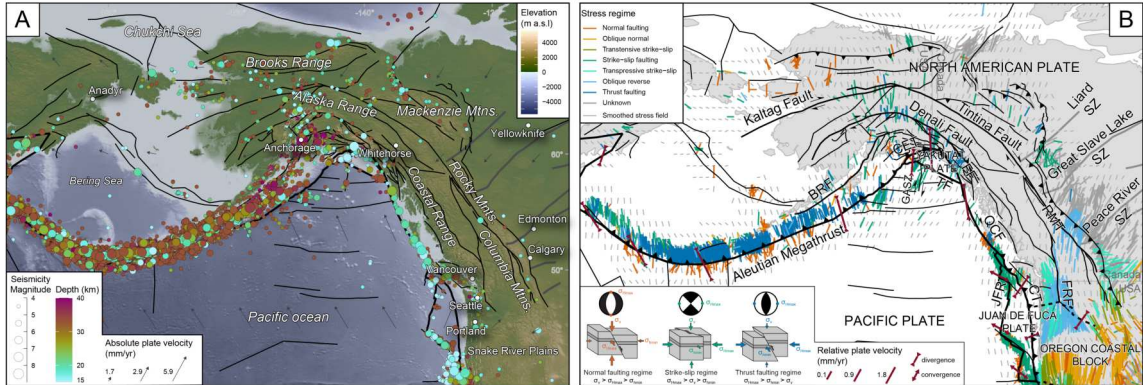
Application

San Andreas Fault – Gulf of California



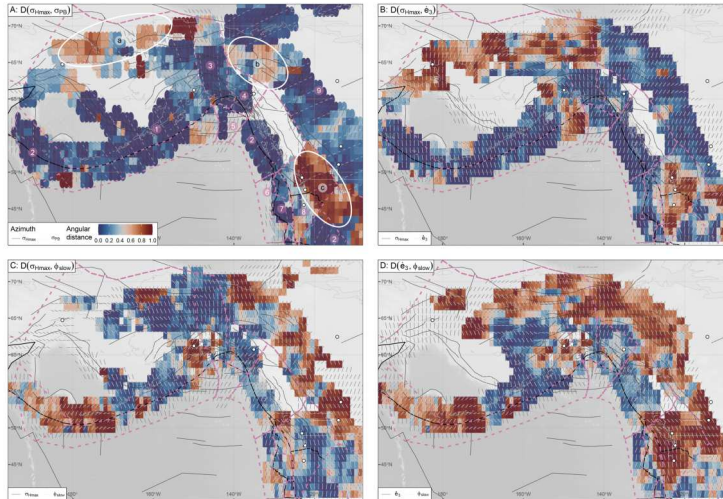
Application

Alaska – Canadian Cordillera



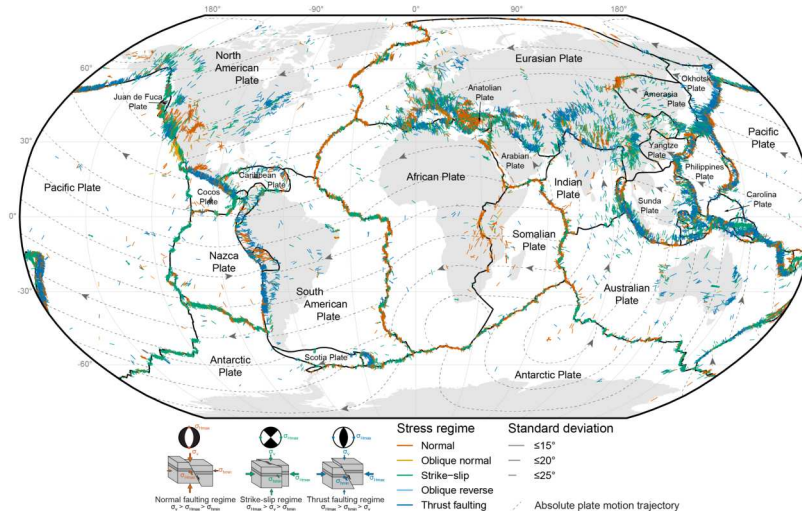
Application

Alaska – Canadian Cordillera



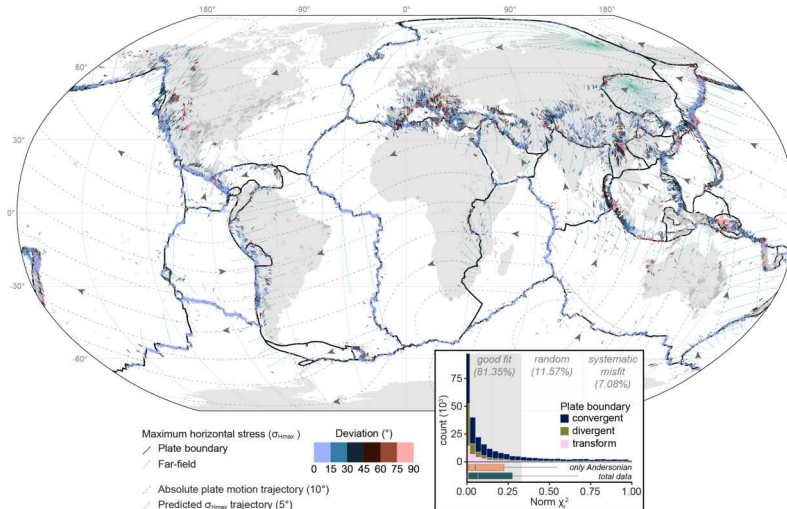
Application

Plate boundaries



Application

Plate boundaries



Outline short course

- Circular statistics for orientation data
 - Plate motion concepts (TS, UK) and mathematics (HS)
 - Link between plate motion and stress/strain (UK)
 - Stress field analysis
 - Lineament analysis ...
-

Additionally: R, GIS