

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Cindy Axne

Status: Member State/District: IAo₃

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | | 3M Company (MMM) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | 3M Company (MMM) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S 01K | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | 3M Company (MMM) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S (partial) | 04/17/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 05/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S (partial) | 04/17/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 05/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S (partial) | 04/17/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 05/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 06/10/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 08/20/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P | 06/10/2021 | 09/27/2021 | \$1,001 - \$15,000 | Г |
| | SP | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 08/20/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 06/10/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | American Tower Corporation (AMT) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 12/10/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Arch Capital Group Ltd. (ACGL) [ST] FILING STATUS: New | P | 12/15/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | Subholding Of: Creation Agents LLC 40 | 01K | | | | |
| | SP | AutoZone, Inc. (AZO) [ST] | P | 01/20/2021 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | SP | AutoZone, Inc. (AZO) [ST] | P | 12/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New Subholding Of: Creation Agents LLC 40 | 01K | | | | |
| | | AutoZone, Inc. (AZO) [ST] | P | 12/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | SP | AutoZone, Inc. (AZO) [ST] | P | 12/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Becton, Dickinson and Company (BDX) [ST] | P | 04/23/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | | Becton, Dickinson and Company (BDX) [ST] | P | 04/23/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | | Becton, Dickinson and Company | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | (BDX) [ST] FILING STATUS: New | | | | | |
| | | Subholding Of: Cindy Axne IRA | | | | | |
| | SP | Becton, Dickinson and Company (BDX) [ST] | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New | o d W | | | | |
| | | Subholding Of: Creation Agents LLC 40 | | | | | |
| | SP | Becton, Dickinson and Company (BDX) [ST] | P | 04/23/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Filing Status: New Subholding Of: John Axne IRA | | | | | |
| | | | | | | | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Becton, Dickinson and Company (BDX) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 02/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 07/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 04/23/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 02/15/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Biglari Holdings Inc. Class B (BH) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 02/28/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Biglari Holdings Inc. Class B (BH) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 03/04/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Brown Forman Inc Class B (BF.B) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 02/15/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Brown Forman Inc Class B (BF.B) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 02/15/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Brown Forman Inc Class B (BF.B) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 02/15/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | CarMax Inc (KMX) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 04/01/2020 | 09/27/2021 | \$1,001 - \$15,000 | Г |
| | | CarMax Inc (KMX) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S (partial) | 03/27/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | CarMax Inc (KMX) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | S 01K | 04/01/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | S 01K | 02/27/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 02/27/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 02/27/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Church & Dwight Company, Inc. (CHD) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 08/27/2021 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Church & Dwight Company, Inc. (CHD) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 06/11/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Church & Dwight Company, Inc. (CHD) [ST] FILING STATUS: New | P | 08/27/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Colgate-Palmolive Company (CL) [ST] | P | 12/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New Subholding Of: Creation Agents LLC 40 | 01K | | | | |
| | SP | Covetrus, Inc. (CVET) [ST] | P | 02/08/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | | Covetrus, Inc. (CVET) [ST] | P | 02/06/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | SP | Covetrus, Inc. (CVET) [ST] | P | 02/06/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Dollar Tree, Inc. (DLTR) [ST] | S (partial) | 03/06/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Dollar Tree, Inc. (DLTR) [ST] | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Dollar Tree, Inc. (DLTR) [ST] | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | | Dollar Tree, Inc. (DLTR) [ST] | S | 03/09/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | | Dow Inc. (DOW) [ST] | S | 05/10/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | SP | Dow Inc. (DOW) [ST] | S | 05/10/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | FILING STATUS: New | | | | | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|-----------------|----------------------|--------------------------|---------------------|
| | | SUBHOLDING OF: John Axne IRA | | | | | |
| | | Dow Inc. (DOW) [ST] | E | 03/21/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA DESCRIPTION: Received shares of Dow Ir | ac. (DOW) in excha | ange for DowDi | ıPont Inc. (DWDF | ') as as a result of a n | nerger. |
| | SP | Dow Inc. (DOW) [ST] | E | 03/21/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA DESCRIPTION: Received shares of Dow Ir | ac. (DOW) in excha | ange for DowDi | ıPont Inc. (DWDF | ') as as a result of a n | nerger. |
| | | DuPont de Nemours, Inc. (DD) | E | 06/03/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA DESCRIPTION: Received shares of DuPon merger. | t de Nemours, Inc | . (DD) in excha | nge for DowDuPo | nt Inc. (DWDP) as as | s a result of a |
| | SP | DuPont de Nemours, Inc. (DD) [ST] | E | 06/03/2019 | 10/07/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 DESCRIPTION: Received shares of DuPon merger. | | . (DD) in excha | nge for DowDuPo | nt Inc. (DWDP) as as | s a result of a |
| | SP | DuPont de Nemours, Inc. (DD) [ST] | E | 06/03/2019 | 10/07/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: John Axne IRA DESCRIPTION: Received shares of DuPon merger. | t de Nemours, Inc | . (DD) in excha | nge for DowDuPo | nt Inc. (DWDP) as as | s a result of a |
| | SP | DuPont de Nemours, Inc. (DD) | S | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | Г |
| | | [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | | , , , | <i>7</i> , ,, | . , | |
| | | DuPont de Nemours, Inc. (DD) [ST] | S | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | SP | DuPont de Nemours, Inc. (DD) | S | 11/22/2019 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | SP | Enstar Group Limited - Ordinary Shares (ESGR) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | S 01K | 05/21/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Enstar Group Limited - Ordinary Shares (ESGR) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 05/20/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Enstar Group Limited - Ordinary Shares (ESGR) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 05/21/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | JT | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Cindy and John Axne J | | 06/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | <u></u> |
| | SP | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | | 06/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 01/18/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P 01K | 01/18/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 06/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 06/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 01/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 01/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Illinois Tool Works Inc. (ITW) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 03/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Illinois Tool Works Inc. (ITW) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 03/23/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Illinois Tool Works Inc. (ITW) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S (partial) | 03/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Jefferies Financial Group Inc. (JEF) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 02/04/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 07/18/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 12/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 07/18/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New | P | 02/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 07/18/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S (partial) | 04/21/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 02/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 03/13/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 03/13/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 03/13/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Keysight Technologies Inc. (KEYS) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | | 06/11/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Liberty Broadband Corporation - Class A (LBRDA) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P D1K | 12/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Liberty Broadband Corporation - Class A (LBRDA) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 04/08/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 DESCRIPTION: Preferred security | P 01K | 09/17/2021 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA DESCRIPTION: Preferred security | P | 09/17/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS] FILING STATUS: New SUBHOLDING OF: John Axne IRA DESCRIPTION: Preferred security | P | 09/17/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Lockheed Martin Corporation (LMT) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 01/20/2021 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 12/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S (partial) | 08/30/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 04/11/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S (partial) | 08/27/2021 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 04/23/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 04/11/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 04/11/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Markel Corporation (MKL) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S (partial) | 08/27/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 04/22/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 03/19/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 04/22/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P 01K | 10/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P | 03/19/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P | 06/25/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | O'Reilly Automotive, Inc. (ORLY) [ST] | P | 12/10/2020 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | SP | Post Holdings, Inc. (POST) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 09/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Post Holdings, Inc. (POST) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 09/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Post Holdings, Inc. (POST) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 09/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 06/25/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 11/06/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 12/10/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 01/20/2021 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 11/06/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New | S | 03/12/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | | SUBHOLDING OF: John Axne IRA | | | | | |
| | SP | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 08/08/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | | 03/12/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | | 08/08/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 03/12/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 08/08/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | SS&C Technologies Holdings, Inc. (SSNC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 09/06/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Starbucks Corporation (SBUX) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 03/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Starbucks Corporation (SBUX) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 06/22/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Starbucks Corporation (SBUX) [ST] FILING STATUS: New | P | 03/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | | Subholding Of: Creation Agents LLC 4 | 01K | | | | |
| | SP | Starbucks Corporation (SBUX) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P O1K | 06/22/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Starbucks Corporation (SBUX) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 03/30/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Stryker Corporation (SYK) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P | 07/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Stryker Corporation (SYK) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 07/17/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Stryker Corporation (SYK) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P 01K | 12/31/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Stryker Corporation (SYK) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 07/17/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | The Kraft Heinz Company (KHC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 04/09/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 01/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 01/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Unilever PLC (UL) [ST] | S | 12/16/2020 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|-------------------------------|----------------------|---|---------------------|
| | | FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | | | | | |
| | SP | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S (partial) | 12/11/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | S 01K | 12/16/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 01/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 12/16/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | | 10/04/2019 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 DESCRIPTION: US Bancorp DEP SHS PFI | 01K | 04/02/2019 ve Series F6.5% | | \$1,001 - \$15,000 on: Preferred Stock | |
| | | US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA DESCRIPTION: US Bancorp DEP SHS PFI | | 04/02/2019 ve Series F6.5% | | \$1,001 - \$15,000 on: Preferred Stock | П |
| | SP | US Bancorp Dep SHS PFD F Non- Cumulative Series F6.5% [CS] FILING STATUS: New SUBHOLDING OF: John Axne IRA DESCRIPTION: US Bancorp DEP SHS PFI | | 04/02/2019 ve Series F6.5% | | \$1,001 - \$15,000 on: Preferred Stock | П |
| | SP | Visa Inc. (V) [ST] | P | 10/28/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | 01K | | | | |
| | SP | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 06/25/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 03/19/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 03/19/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 11/23/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 04/22/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 11/23/2020 | 09/27/2021 | \$1,001 - \$15,000 | |
| | SP | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | S | 12/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | S | 12/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 40 | P 01K | 09/09/2019 | 09/27/2021 | \$1,001 - \$15,000 | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: Cindy Axne IRA | P | 09/09/2019 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | P 01K | 02/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: Creation Agents LLC 4 | S 01K | 12/24/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |
| | SP | Wells Fargo & Company (WFC) [ST] FILING STATUS: New SUBHOLDING OF: John Axne IRA | P | 02/13/2020 | 09/27/2021 | \$1,001 - \$15,000 | П |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- o Cindy Axne IRA
- Creation Agents LLC 401K (Owner: SP)
- John Axne IRA (Owner: SP)

COMMENTS

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Cindy Axne, 10/08/2021