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# Asymptotically Optimal **Inapproximability** of Maxmin $k$ -Cut **Reconfiguration**



⇐ **Shuichi Hirahara**  
(National Institute of Informatics, Japan)

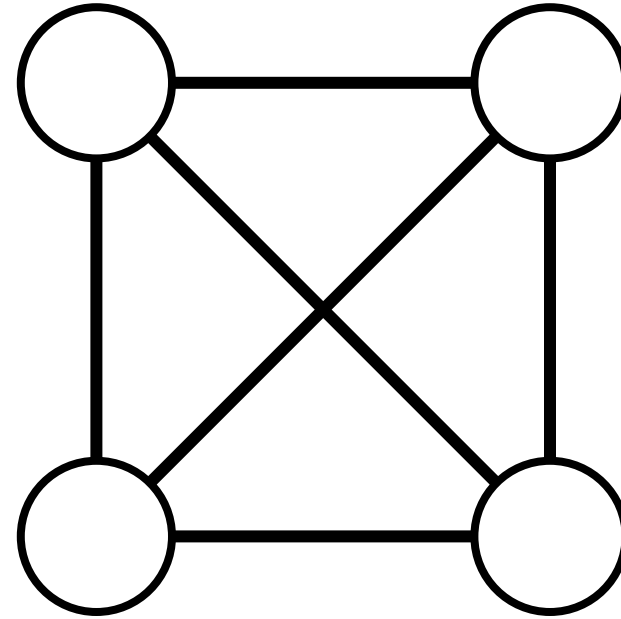
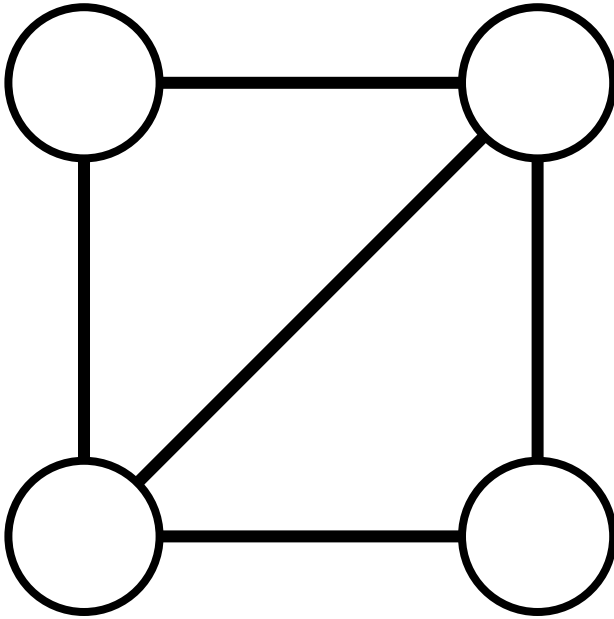
**Naoto Ohsaka** ⇒  
(CyberAgent, Inc., Japan)



# Example 1

## $k$ -Coloring

Q. Is there a proper 3-coloring of a given graph?

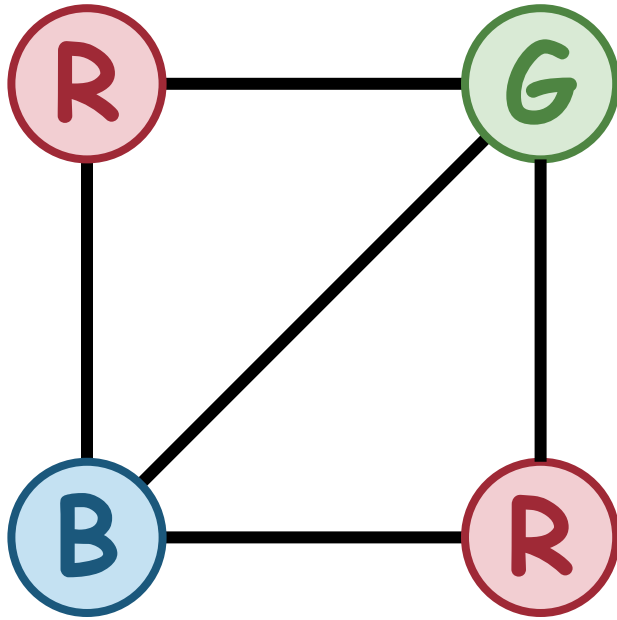


## Example 1

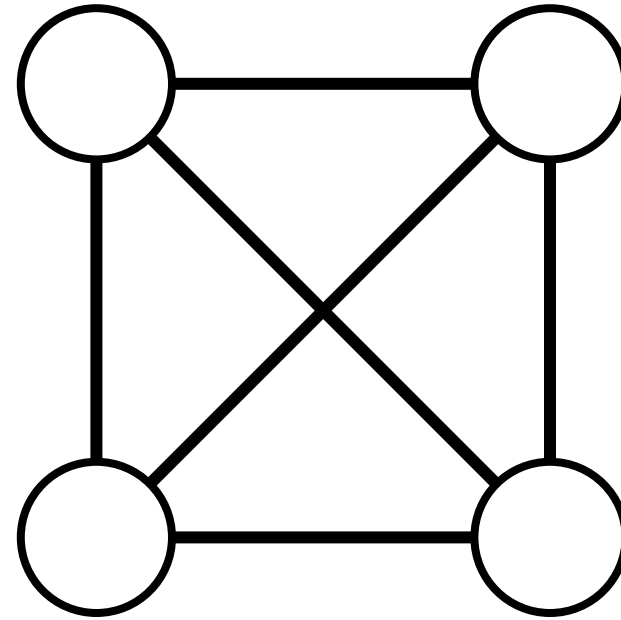


# $k$ -Coloring

Q. Is there a proper 3-coloring of a given graph?



**YES**



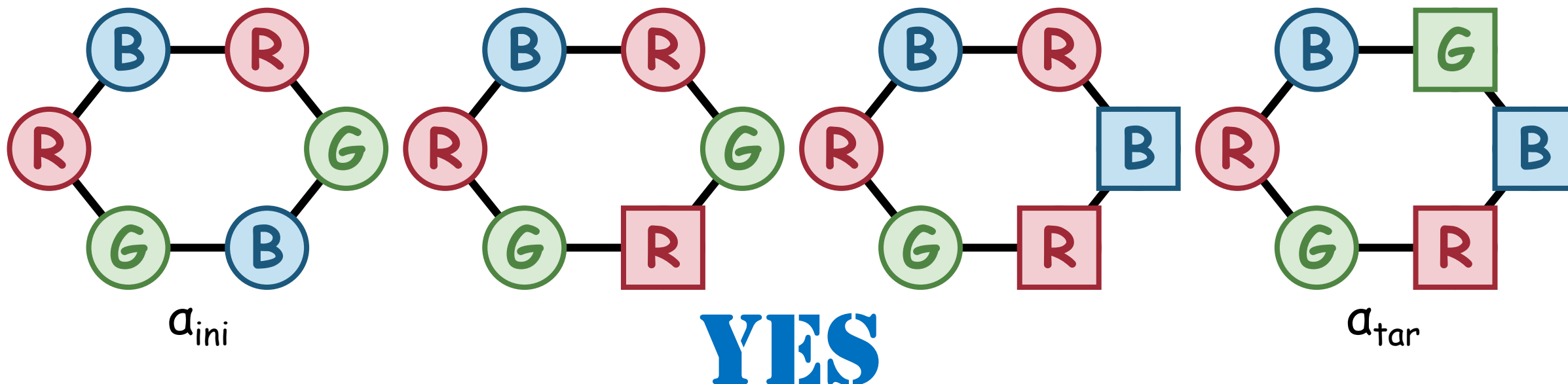
**NO**

## Example 2

# $k$ -Coloring Reconfiguration

[Cereceda-van den Heuvel-Johnson circa 2010]

- **Input:** graph  $G$  & proper  $k$ -colorings  $a_{\text{ini}}, a_{\text{tar}}: V(G) \rightarrow [k]$
- **Output:**  $\vec{a} = (a^{(1)} := a_{\text{ini}}, \dots, a^{(T)} := a_{\text{tar}})$  (reconf. sequence) s.t.
  - $\forall a^{(t)}$  is proper (feasibility)
  - $\Delta(a^{(t)}, a^{(t+1)}) \leq 1$  (adjacency)

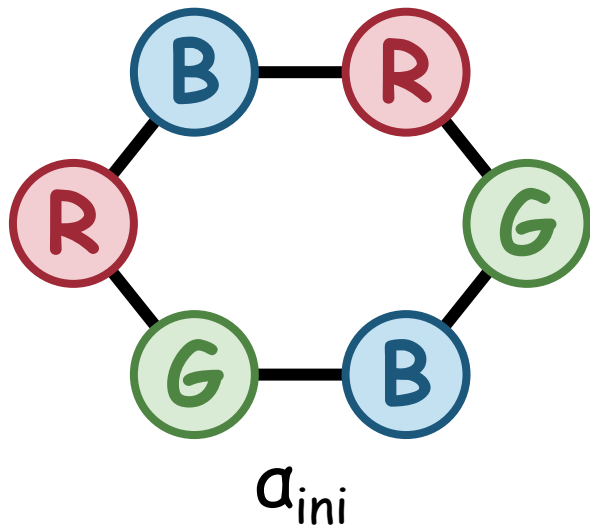


### Example 3

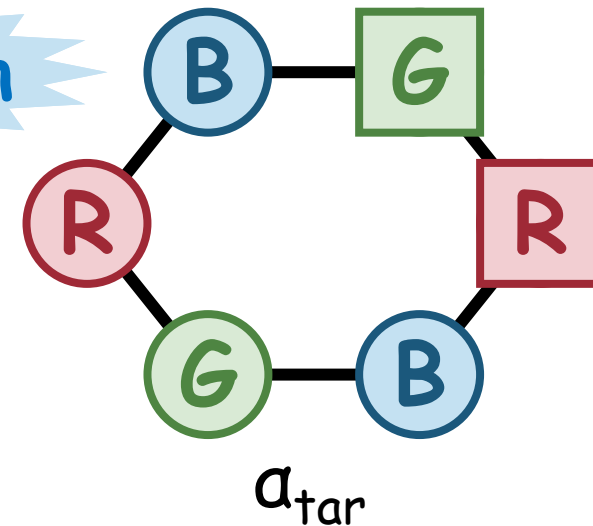
# $k$ -Coloring Reconfiguration

[Cereceda-van den Heuvel-Johnson circa 2010]

- **Input:** graph  $G$  & proper  $k$ -colorings  $a_{\text{ini}}, a_{\text{tar}}: V(G) \rightarrow [k]$
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  - $\forall a^{(t)}$  is proper (feasibility)
  - $\Delta(a^{(t)}, a^{(t+1)}) \leq 1$  (adjacency)



**NO**



# Complexity of $k$ -Coloring Reconfiguration



witness = coloring  
polynomially long

$k$	2	3	4	$\Delta+1$	$\geq \Delta+2$
$k$ -Coloring	P	<b>NP-comp.</b> [Garey-Johnson-Stockmeyer 1976] [Lovász 1973] [Stockmeyer 1973]		<b>YES</b> (greedy coloring)	
$k$ -Coloring Reconf.	<b>P</b> [Cereceda-van den Heuvel 2011]		<b>PSPACE-comp.</b> [Bonsma-Cereceda 2009]		<b>YES</b> [Jerrum 1995]

witness = reconf. sequence?  
exponentially long

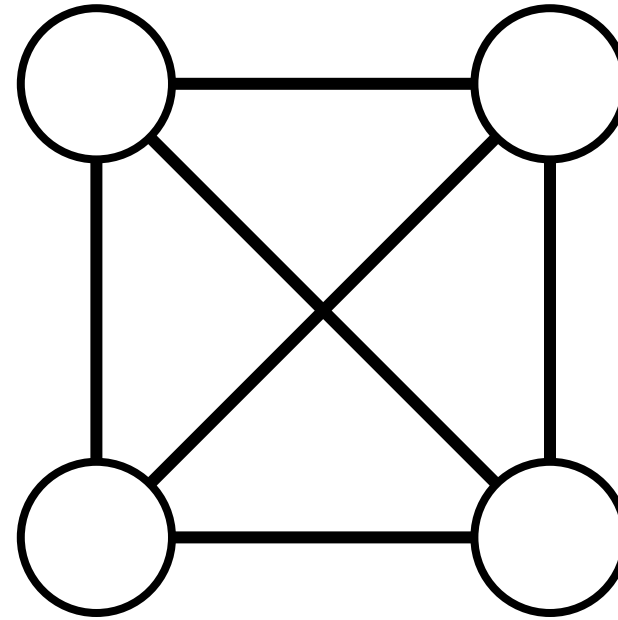
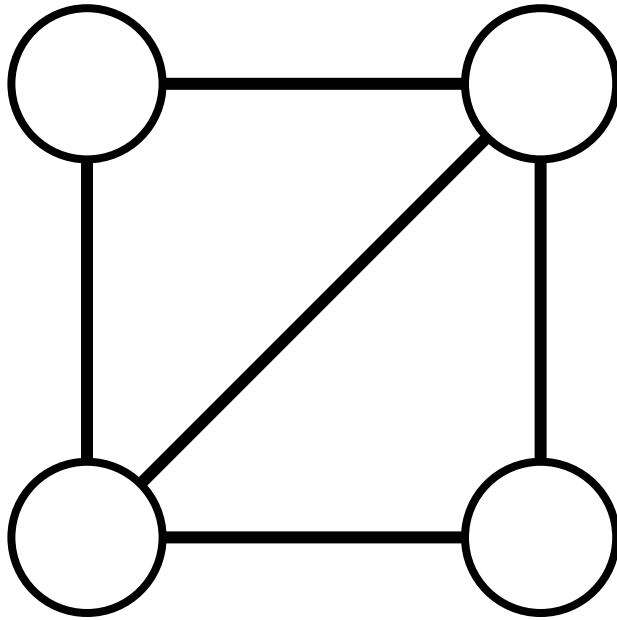
## Example 4



# Max $k$ -Cut

approx. version of  $k$ -Coloring

Q. Find a 3-coloring maximizing frac. of bichromatic edges



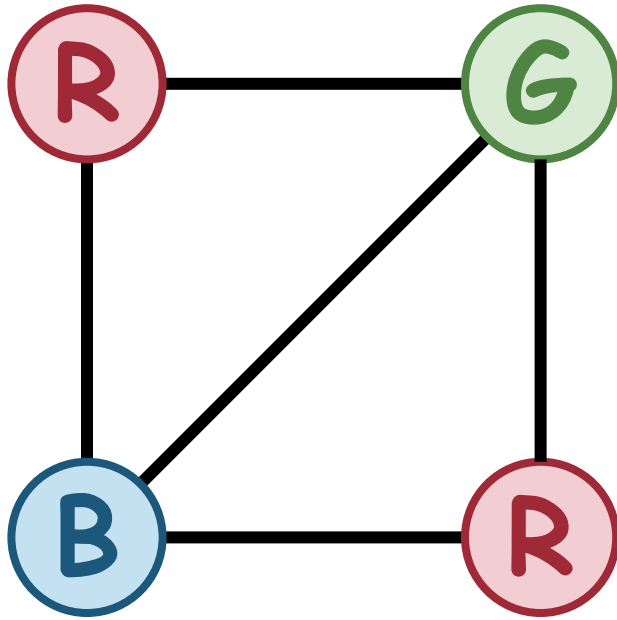
## Example 4



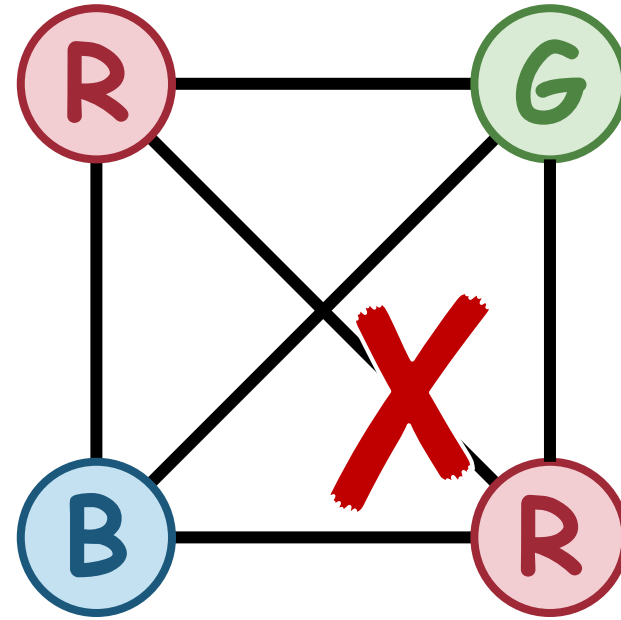
# Max $k$ -Cut

approx. version of  $k$ -Coloring

Q. Find a 3-coloring maximizing frac. of bichromatic edges



5/5



5/6

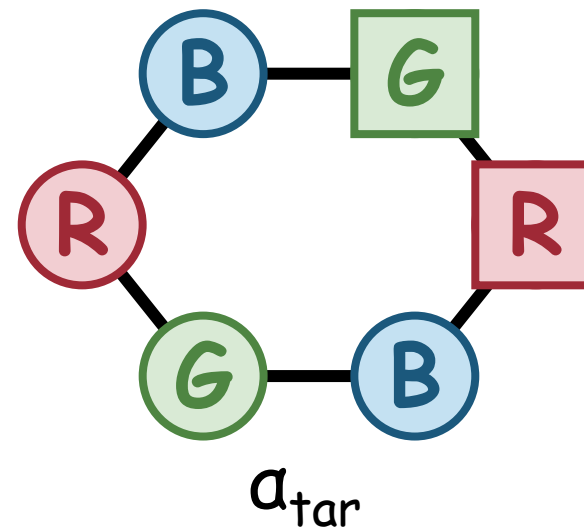
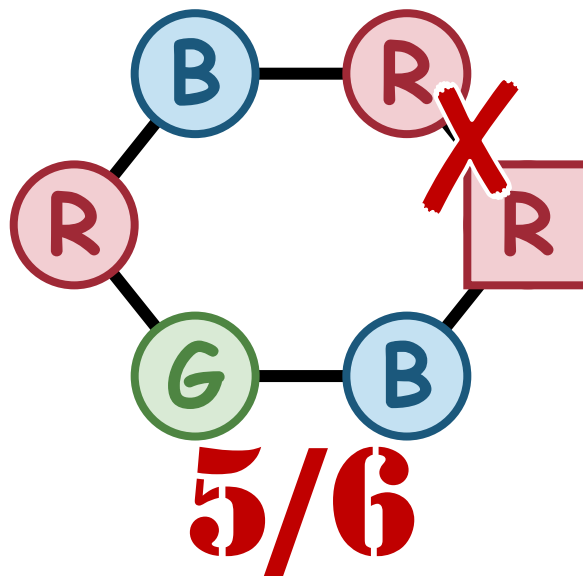
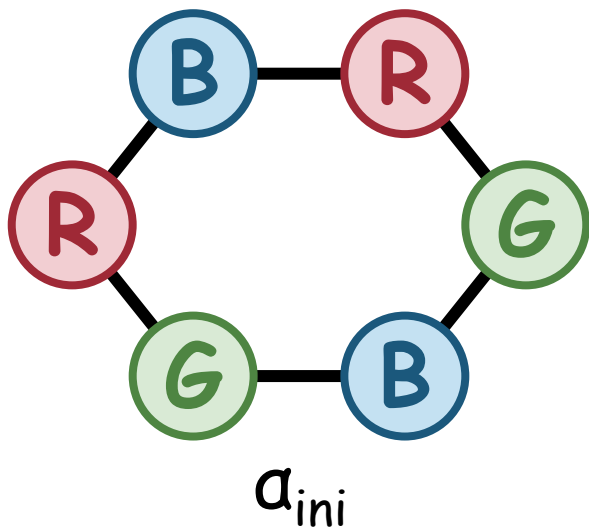


## Example 5

# Maxmin $k$ -Cut Reconfiguration

approx. version of  
 $k$ -Coloring Reconf.

- **Input:** graph  $G$  &  $k$ -colorings  $a_{\text{ini}}, a_{\text{tar}}: V(G) \rightarrow [k]$
- **Output:**  $\vec{a} = (a^{(1)} := a_{\text{ini}}, \dots, a^{(T)} := a_{\text{tar}})$  (reconf. sequence) s.t.
  - ~~$\forall a^{(t)}$  is proper~~ (feasibility)
  - $\Delta(a^{(t)}, a^{(t+1)}) \leq 1$  (adjacency)
- **Goal:** maximize  $\min_t$  (frac. of bichromatic edges on  $a^{(t)}$ )



# Complexity of Maxmin $k$ -Cut Reconf.

- **PSPACE**-hard to **solve exactly**  $\forall k \geq 4$

Since  $k$ -Coloring Reconfiguration is **PSPACE**-complete  
[Bonsma-Cereceda 2009]

- **PSPACE**-hard to **approximate** if  $k = 4$

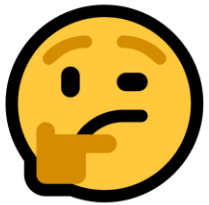
Follows from the PCRP theorem + gap-preserving reductions  
[Bonsma-Cereceda 2009] [Hirahara-O. STOC 2024]  
[Karthik C. S.-Manurangsi 2023] [O. STACS 2023]

Q. What is asymptotic behavior of approximability  
w.r.t. the number  $k$  of available colors?

# Our contribution

**Optimal approx. factor =  $1 - \Theta(\frac{1}{k})$**

- PSPACE-hardness of  $(1 - \frac{\varepsilon}{k})$ -approx.
- $(1 - \frac{2}{k})$ -approx. algorithm



Just like Max  $k$ -Cut... not surprising.

[Kann-Khanna-Lagergren-Panconesi 1997] [Guruswami-Sinop 2013]

Gap reductions between reconfiguration problems are **NONTRIVIAL**

Proof overview

# PSPACE-hardness

**Input:** graph  $G$  &  $k$ -colorings  $a_{\text{ini}}, a_{\text{tar}}$

$\text{opt}_G(a_{\text{ini}}, a_{\text{tar}}) := \text{opt. value}$

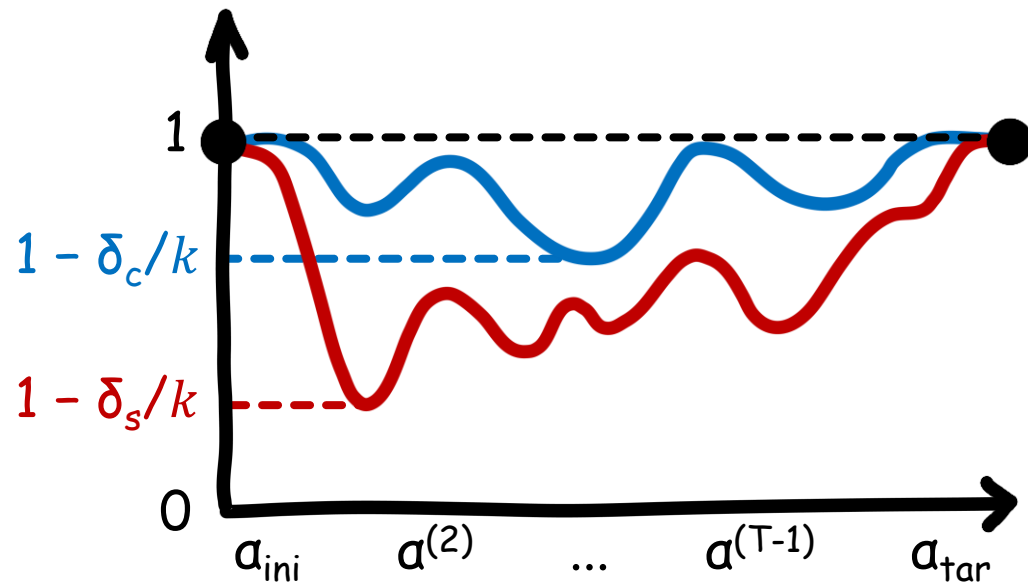
**PSPACE-hard** to distinguish between

(Completeness)  $\text{opt}_G(a_{\text{ini}}, a_{\text{tar}}) \geq 1 - \delta_c/k$

$\exists$  reconf. sequence  $\forall k$ -coloring  $(1 - \delta_c/k)$ -frac. of edges are bichromatic

(Soundness)  $\text{opt}_G(a_{\text{ini}}, a_{\text{tar}}) < 1 - \delta_s/k$

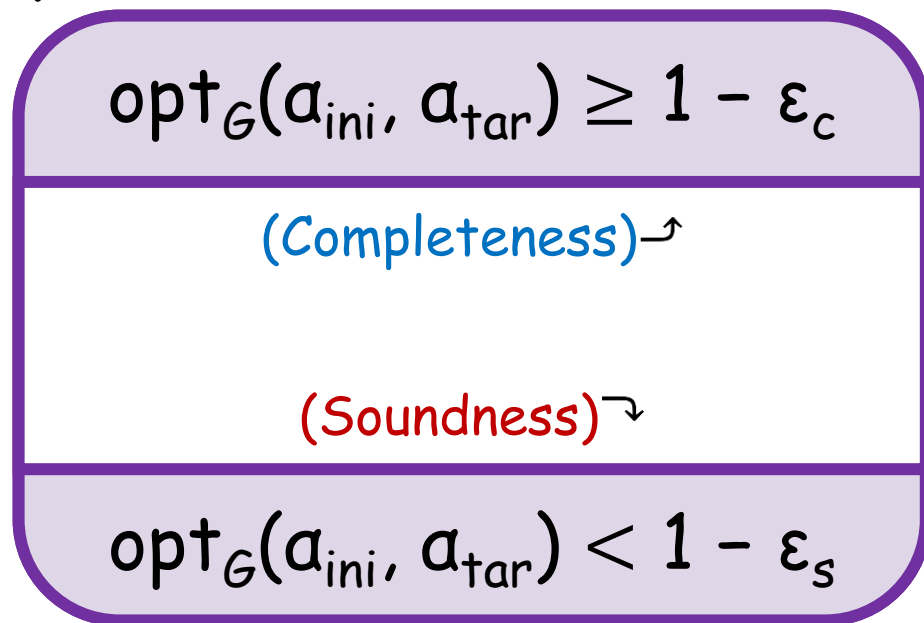
$\forall$  reconf. sequence  $\exists k$ -coloring  $(\delta_s/k)$ -frac. of edges are monochromatic



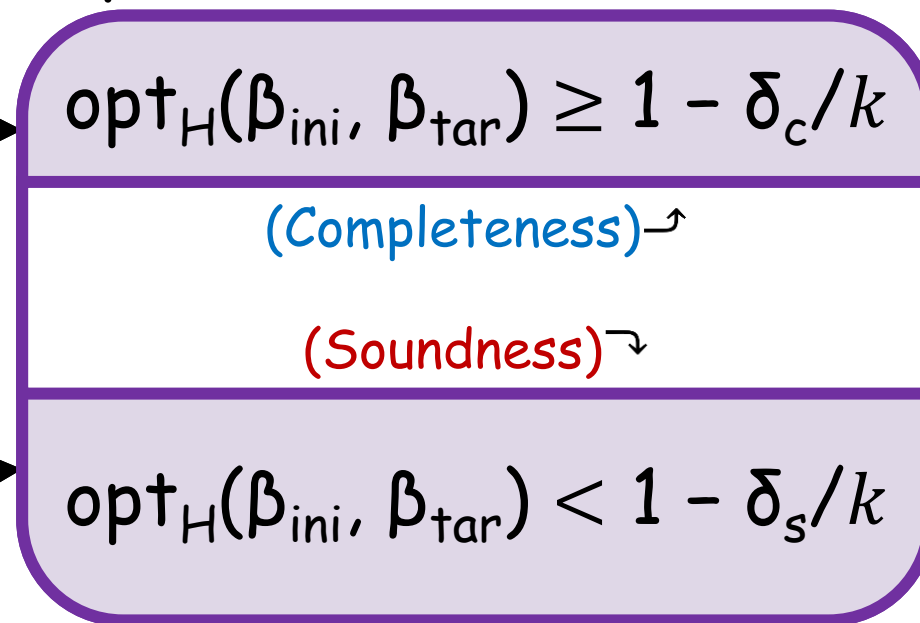
$\therefore$  Maxmin  $k$ -Cut Reconfiguration is PSPACE-hard  
to approximate within  $1 - (\delta_s - \delta_c)/k$

# Main gap-preserving reduction

Gap 2-Cut Reconf.  $(G, a_{\text{ini}}, a_{\text{tar}})$



Gap  $k$ -Cut Reconf.  $(H, \beta_{\text{ini}}, \beta_{\text{tar}})$



😊  $\delta_c < \delta_s$  depend only on  $\varepsilon_c < \varepsilon_s$

- **PSPACE**-hardness of  follows from the PCRP theorem (two talks ago!)

[Bonsma-Cereceda 2009] [Hirahara-O. STOC 2024]

[Karthik C. S.-Manurangsi 2023] [O. STACS 2023]

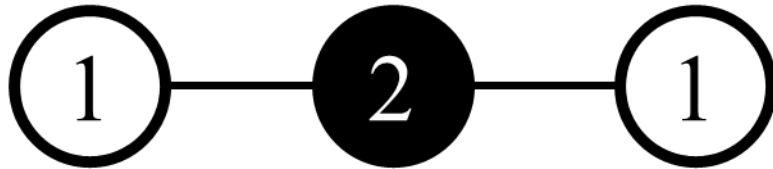
Proof overview

# Why existing reductions do not work?

Apply reduction from Max 2-Cut to Max  $k$ -Cut

[Kann-Khanna-Lagergren-Panconesi 1997] [Guruswami-Sinop 2013]

2-coloring  $a_{\text{ini}}$  of  $G$



2-coloring  $a_{\text{tar}}$  of  $G$



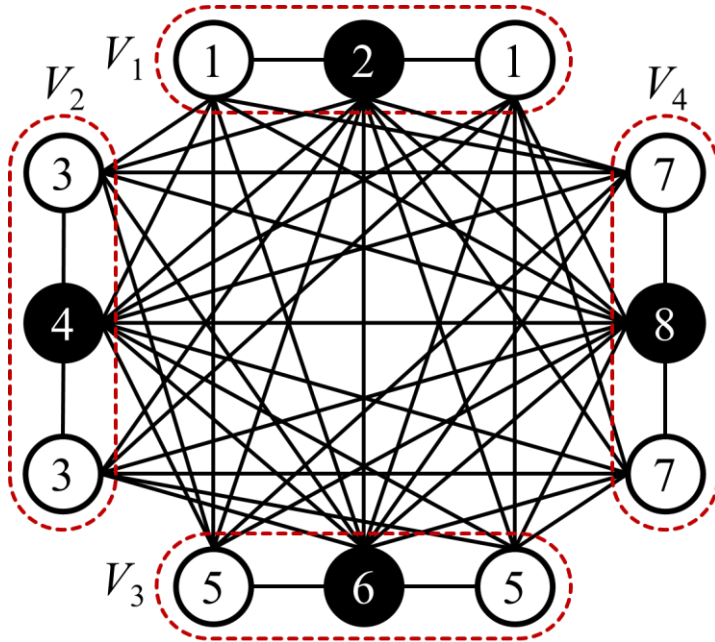
⚠  $\text{opt}_G(a_{\text{ini}}, a_{\text{tar}}) = \frac{1}{2}$

# Why existing reductions do not work?

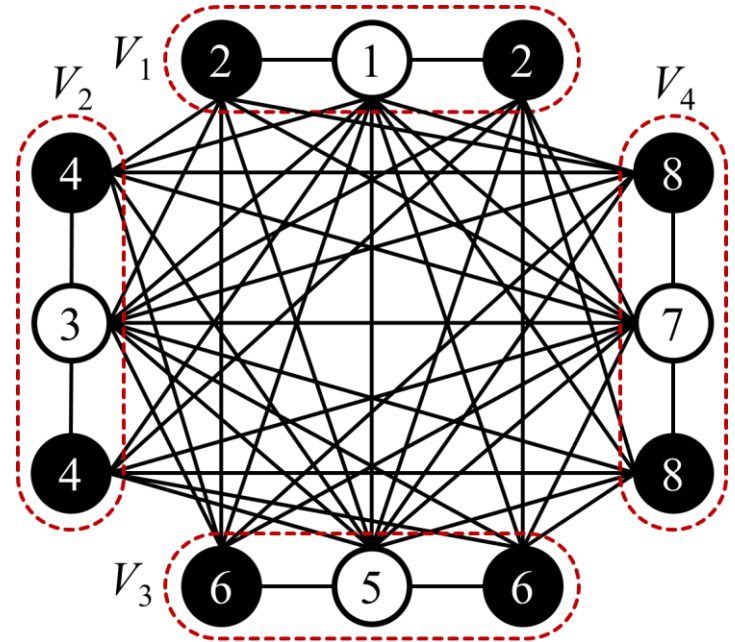
Apply reduction from Max 2-Cut to Max  $k$ -Cut

[Kann-Khanna-Lagergren-Panconesi 1997] [Guruswami-Sinop 2013]

$k$ -coloring  $\beta_{\text{ini}}$  of  $H$



$k$ -coloring  $\beta_{\text{tar}}$  of  $H$



$\text{opt}_H(\beta_{\text{ini}}, \beta_{\text{tar}}) \geq 1 - O(1/k^2)$

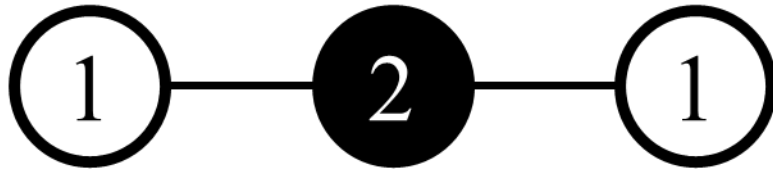
Recolor vertices of  $V_1, V_2, \dots, V_{k/2}$  in this order

# Our construction

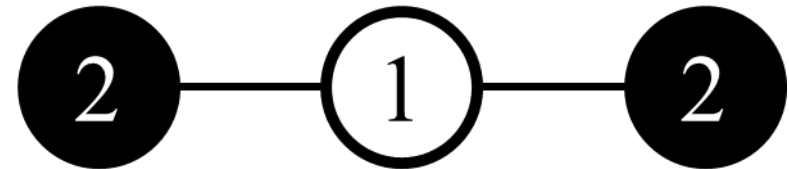
💡 "Encode" a 2-coloring of a vertex by a  $k$ -coloring of a  $k \times k$  grid

(See the paper for motivation)

2-coloring  $a_{\text{ini}}$  of  $G$



2-coloring  $a_{\text{tar}}$  of  $G$





# Our construction

(See the paper for motivation)

 $k$ -coloring  $\beta_{\text{tar}}$  of  $H$ 

1	1	1	1	1	1	1	1
2	2	2	2	2	2	2	2
3	3	3	3	3	3	3	3
4	4	4	4	4	4	4	4
5	5	5	5	5	5	5	5
6	6	6	6	6	6	6	6
7	7	7	7	7	7	7	7
8	8	8	8	8	8	8	8

[illegible]

1	1	1	1	1	1	1	1
2	2	2	2	2	2	2	2
3	3	3	3	3	3	3	3
4	4	4	4	4	4	4	4
5	5	5	5	5	5	5	5
6	6	6	6	6	6	6	6
7	7	7	7	7	7	7	7
8	8	8	8	8	8	8	8

[illegible]

1	1	1	1	1	1	1	1
2	2	2	2	2	2	2	2
3	3	3	3	3	3	3	3
4	4	4	4	4	4	4	4
5	5	5	5	5	5	5	5
6	6	6	6	6	6	6	6
7	7	7	7	7	7	7	7
8	8	8	8	8	8	8	8

[illegible]

"vertical stripe" = 2

17

## Proof overview

# 🔑 "Stripe" testing

Test if a  $k$ -coloring of a  $k \times k$  grid is close to being "striped"

$\frac{1}{4}$ -far from being "striped"

4	1	1	8	1	1	1	1
2	2	2	2	6	2		
3	5	6	2	7	2	3	3
4	8	4	4	4	4		4
3	5	5	5	2	5	5	5
6	6	6	6	6	7	6	2
7	1	7	5	7	7	7	7
8	8	3	8	8	4	8	8

2-query verifier  $V$  given oracle access to  $f: [k]^2 \rightarrow [k]$  s.t.

- $f$  is "striped"  $\Rightarrow V^f$  **accepts** w.p. 1
- $f$  is  $\varepsilon$ -far from being "striped"  $\Rightarrow V^f$  **rejects** w.p.  $\Omega(\varepsilon/k)$

## Proof overview

# 🔑 "Stripe" testing

Test if a  $k$ -coloring of a  $k \times k$  grid is close to being "striped"

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4	1	1	8	1	1	1	1
2	2	2	2	2	7	6	2
3	3	6	3	7	3	3	3
4	8	4	4	4	4	1	4
3	2	2	5	5	5	5	5
6	6	6	6	6	7	6	2
7	1	7	7	7	7	7	7
8	8	3	8	4	8	8	8

2-query verifier  $V$  given oracle access to  $f: [k]^2 \rightarrow [k]$  s.t.

- $f$  is "striped"  $\Rightarrow V^f$  **accepts** w.p. 1
- $f$  is  $\varepsilon$ -far from being "striped"  $\Rightarrow V^f$  **rejects** w.p.  $\Omega(\varepsilon/k)$

# Conclusion

 Approx. threshold of Maxmin  $k$ -Cut Reconf. =  $1 - \Theta(1/k)$

- Optimal hidden constant in  $\Theta(1/k)$ ?
- Perfect completeness?
- Improved analysis of (generalized) "stripe" testing?

**THANK YOU!**

4	1	1	8	1	1	1	1
2	2	2	2	2	7	6	2
3	3	6	3	7	3	3	3
4	8	4	4	4	4	1	4
3	5	5	5	2	5	5	5
6	6	6	6	6	7	6	2
7	1	7	5	7	7	7	7
8	8	3	8	8	4	8	8