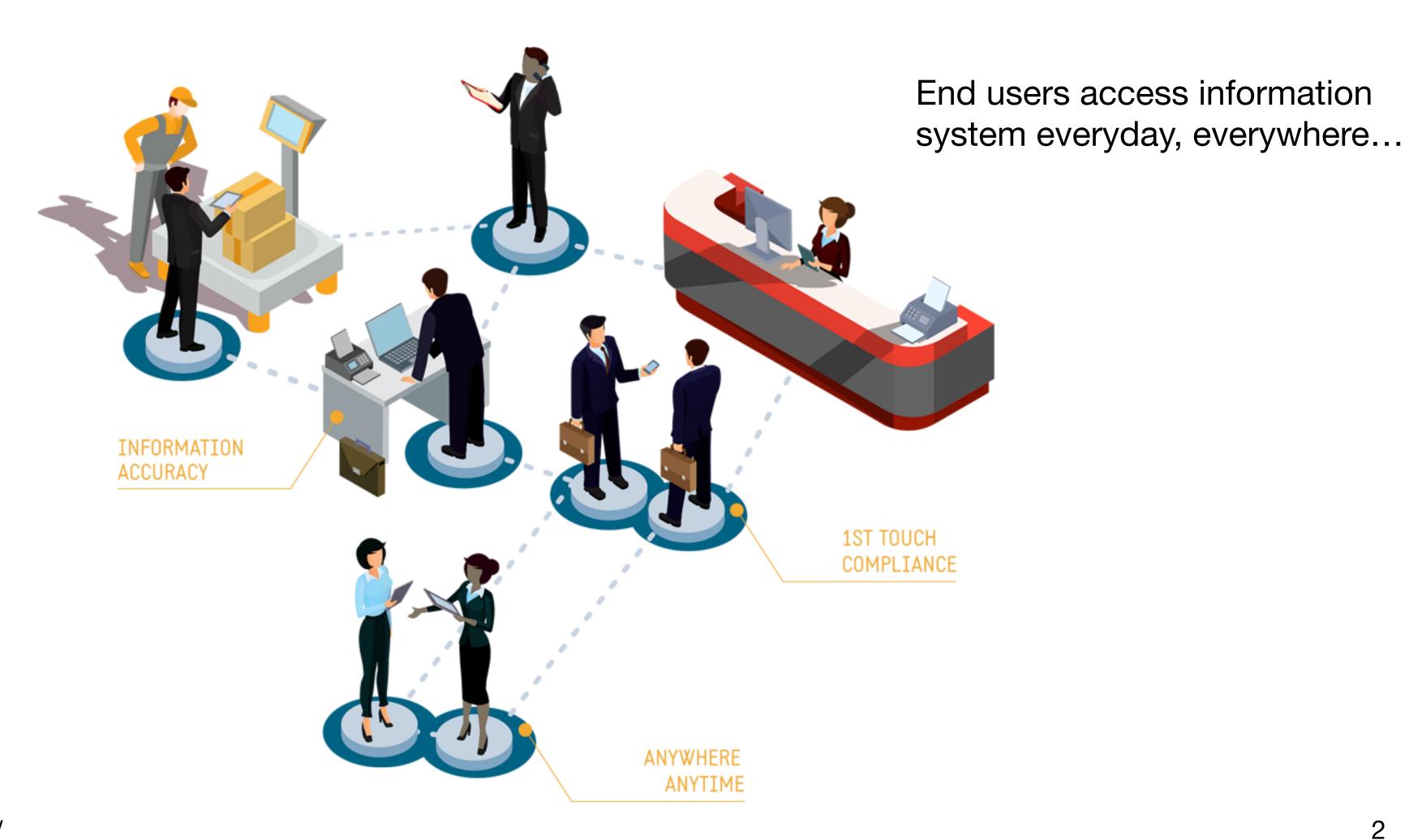
# Photon: A Robust Cross-Domain Text-to-SQL System

Jichuan Zeng\*, Victoria Lin\*, Caiming Xiong, Richard Socher, Michael R. Lyu, Irwin King, Steven C.H. Hoi











Scenario 1: Everyone is a programming master







Scenario 1: Everyone is a programming master

SELECT Quantity FROM Product **WHERE** Name = "Hoverboard x10"

SELECT T2.name FROM Instructor AS T1 JOIN Department AS T2 ON T1.Department ID = T2.ID GROUP BY T1.Department ID HAVING AVG(T1.Rating) > (SELECT AVG(Rating) FROM Instructor)



SELECT Name FROM Country WHERE Continent = "Asia" ORDER BY LifeExpectancy LIMIT 1

SELECT Arriving Time FROM Flights

**WHERE** Flight Number = "CZ327"





Scenario 2: Everyone simply talks

to their information system







Scenario 2: Everyone simply talks

to their information system

How many "Hoverboard x10" are left in stock?

> Which departments have instructors in general rated above average?





## Desiderata



Accurately map NL input to executable SQL queries



## Desiderata



Accurately map NL input to executable SQL queries

Work across different databases

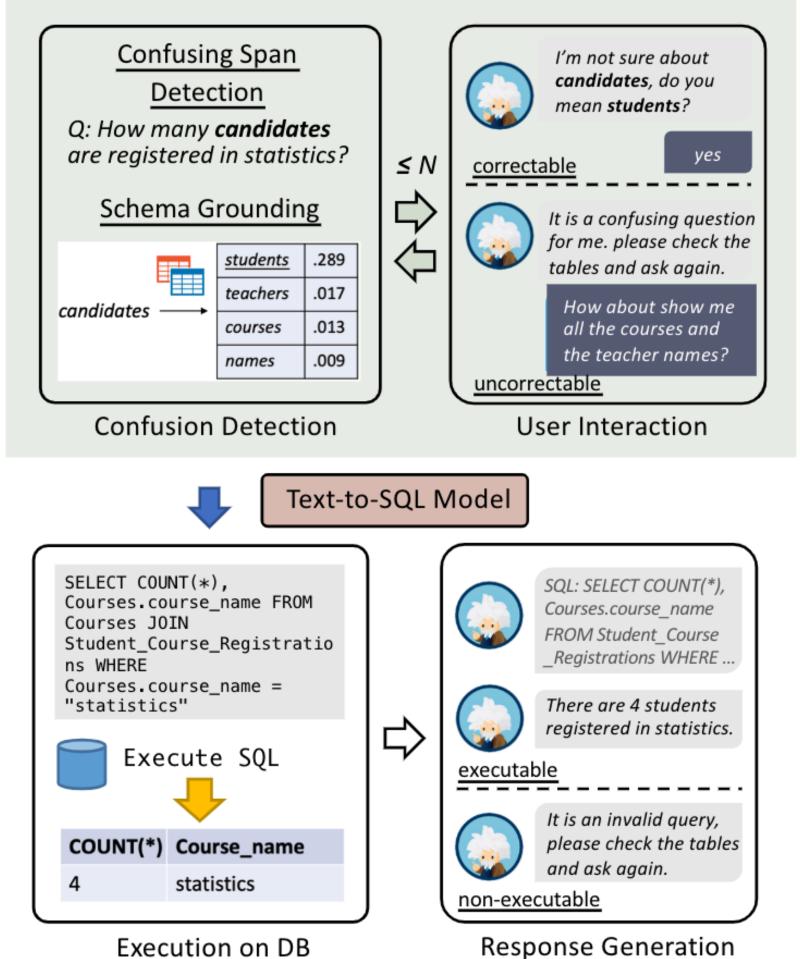
Robustness - "don't know" is better than mistakes

Support user interaction



## Photon: A Robust Cross-Domain Text-to-SQL System





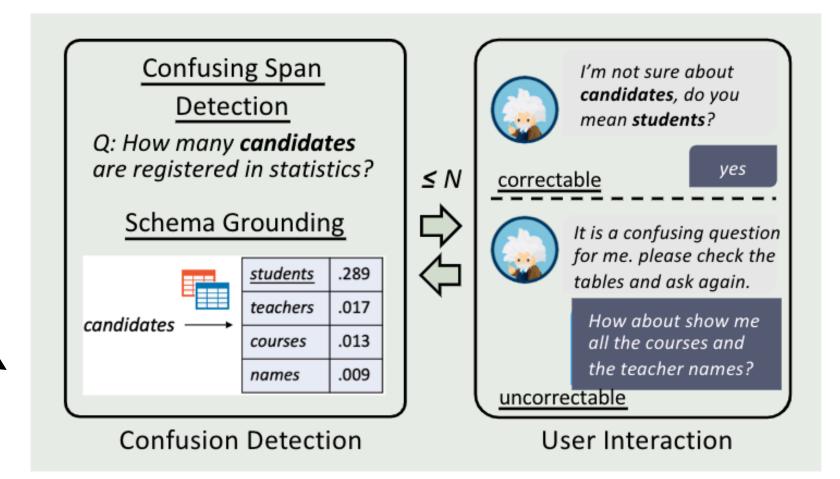


Execution on DB

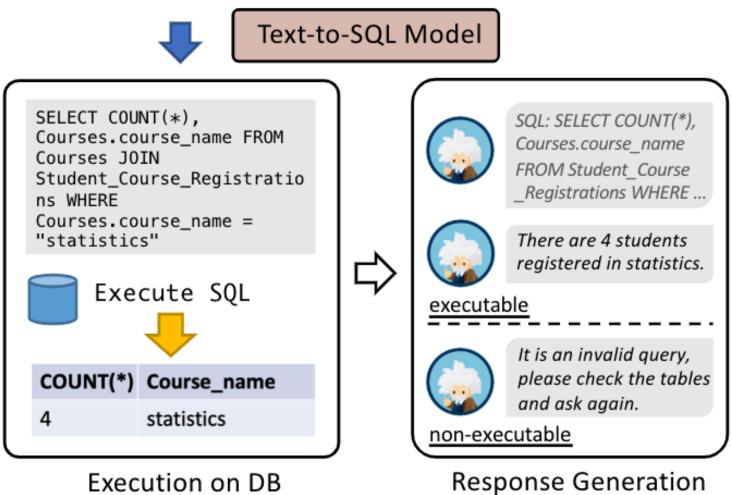
### Photon: A Robust Cross-Domain Text-to-SQL System



A SOTA neural text-to-SQL parser



A novel confusion detection approach



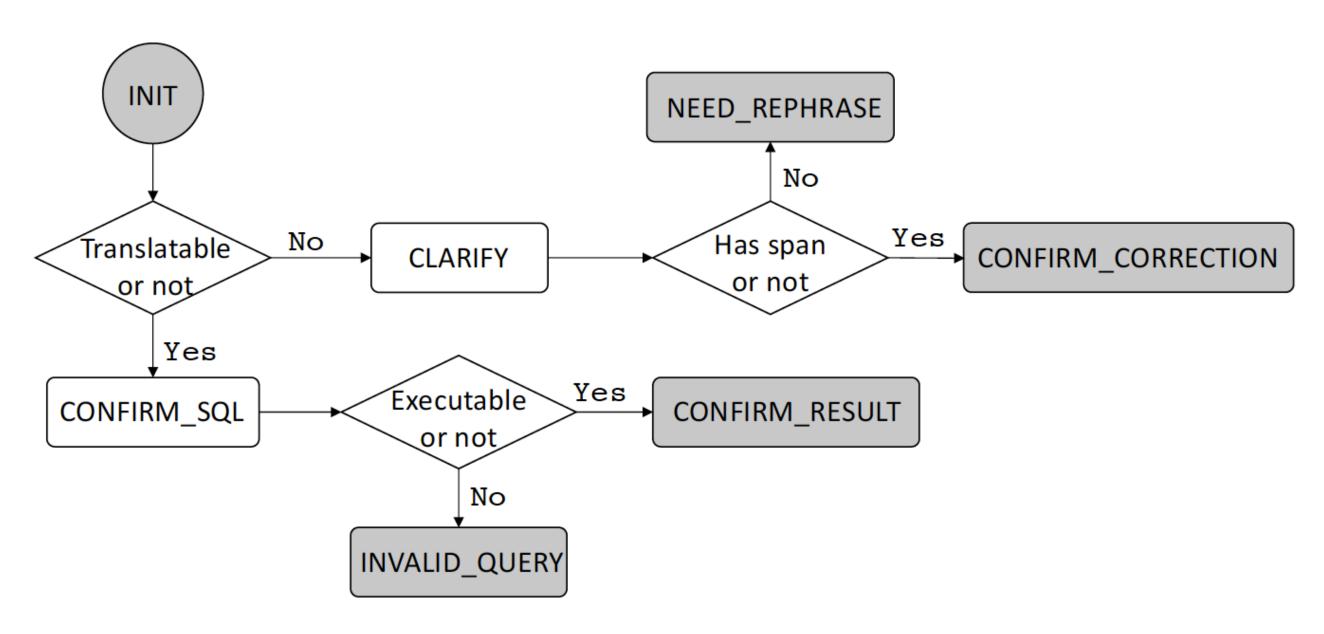
Template-based response generation for user interaction





## Interaction Flow





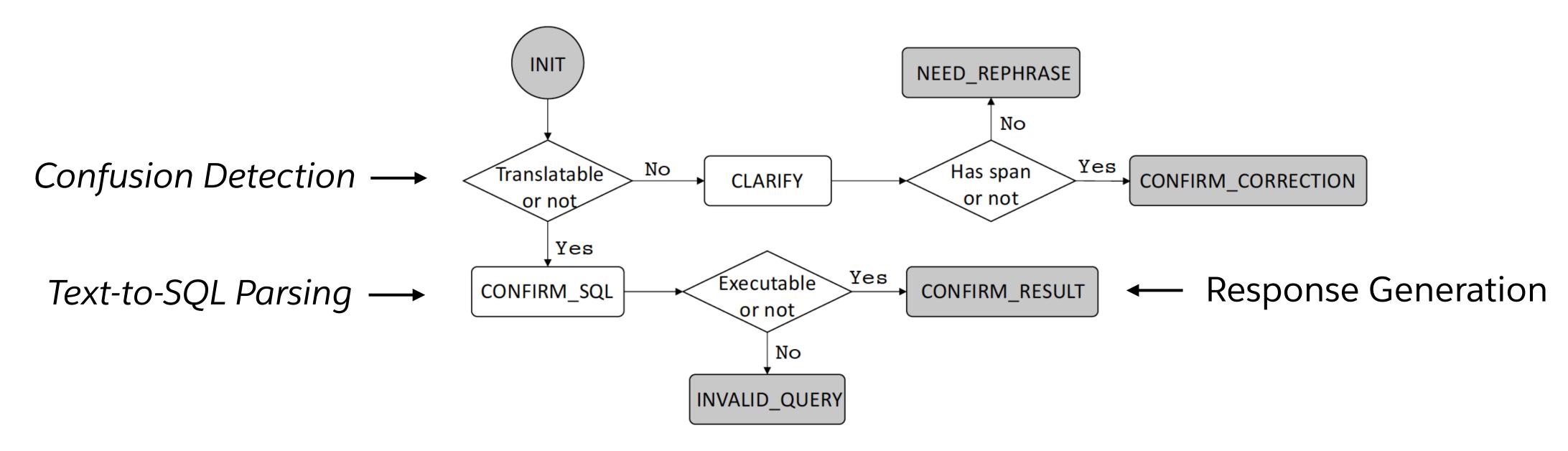
#### **Response Template**

CONFIRM_RESULT	"SQL: {PRED SQL}. {NL RESPONSE}"
CONFIRM_CORRECTION	"Sorry, {CONF_TOKENS} is confusing in our scenario, do you mean {CORR_TOKENS}?"
NEED_REPHRASE	"Sorry, it is a confusing question for me, please rephrase your question and ask again."
INVALID_QUERY	"Sorry, it is an invalidate query, please check the table names and associated fields of interest."



# Interaction Flow





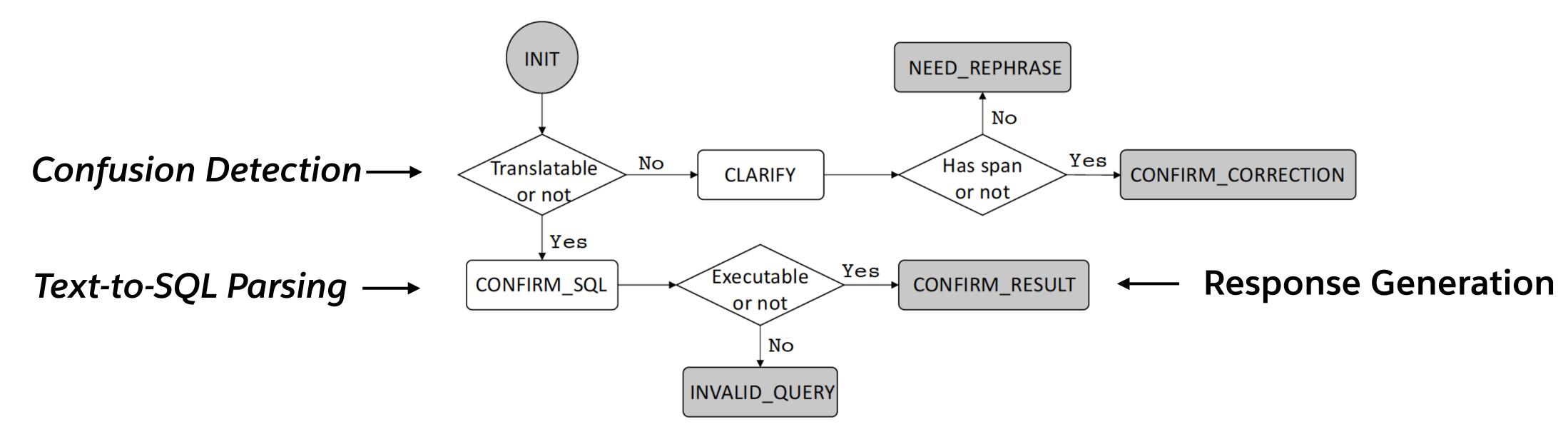
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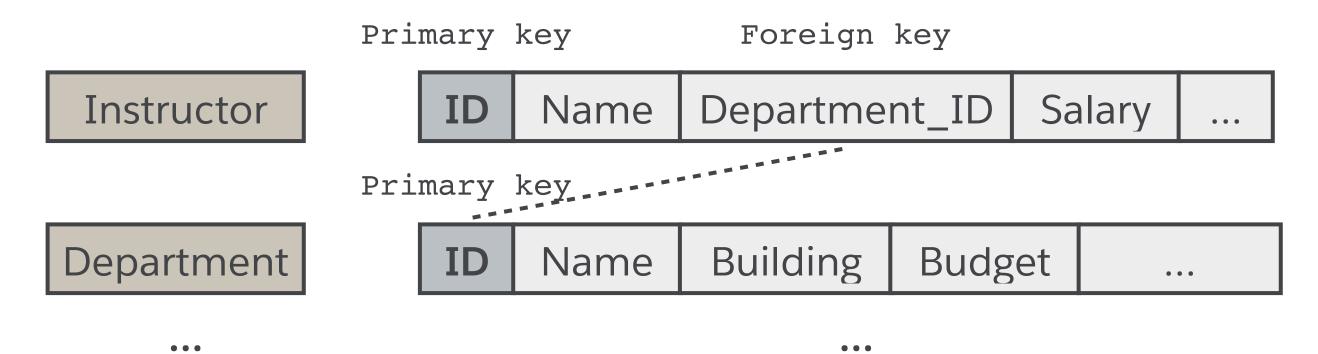


#### Spider Dataset (Yu et al. 2018)

**Expert-annotated**, cross-domain, complex text-to-SQL dataset

	Train	Dev	Test
# DBs	146	20	40
# Examples	8,659	1,034	2,147

#### **Database**



**Question** What are the name and budget of the departments with average instructor salary above the overall average?

#### **SQL**

```
SELECT T2.name, T2.budget
FROM Instructor AS T1 JOIN Department AS T2 ON
T1.Department_ID = T2.ID
GROUP BY T1.Department_ID
HAVING AVG(T1.salary) >
    (SELECT AVG(Salary) FROM Instructor)
```



Serialize DB schema







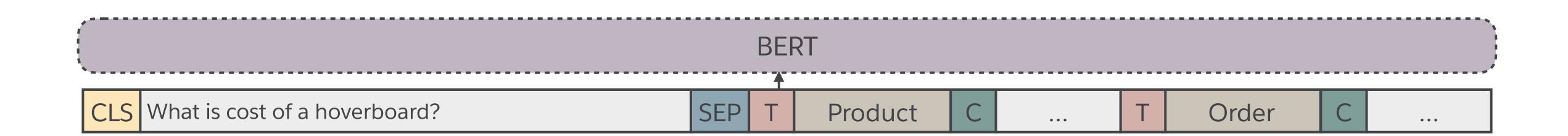
Text-table joint encoding

**CLS** What is cost of a hoverboard? SEP Product Order



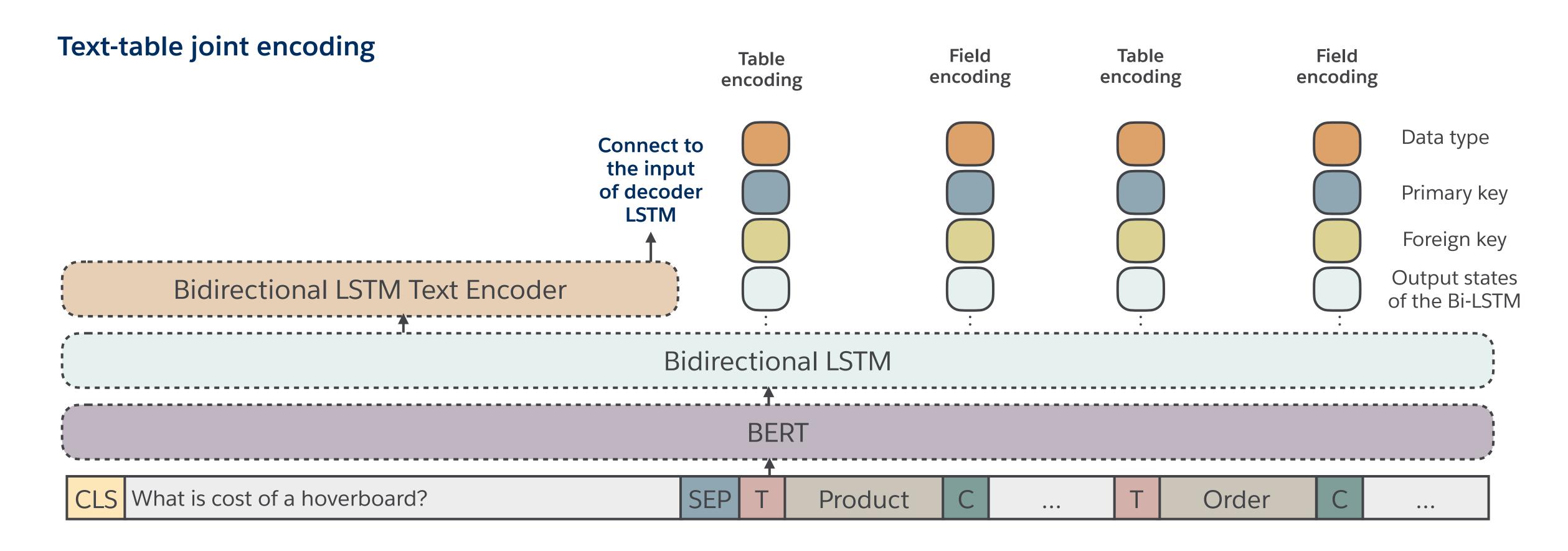


Text-table joint encoding



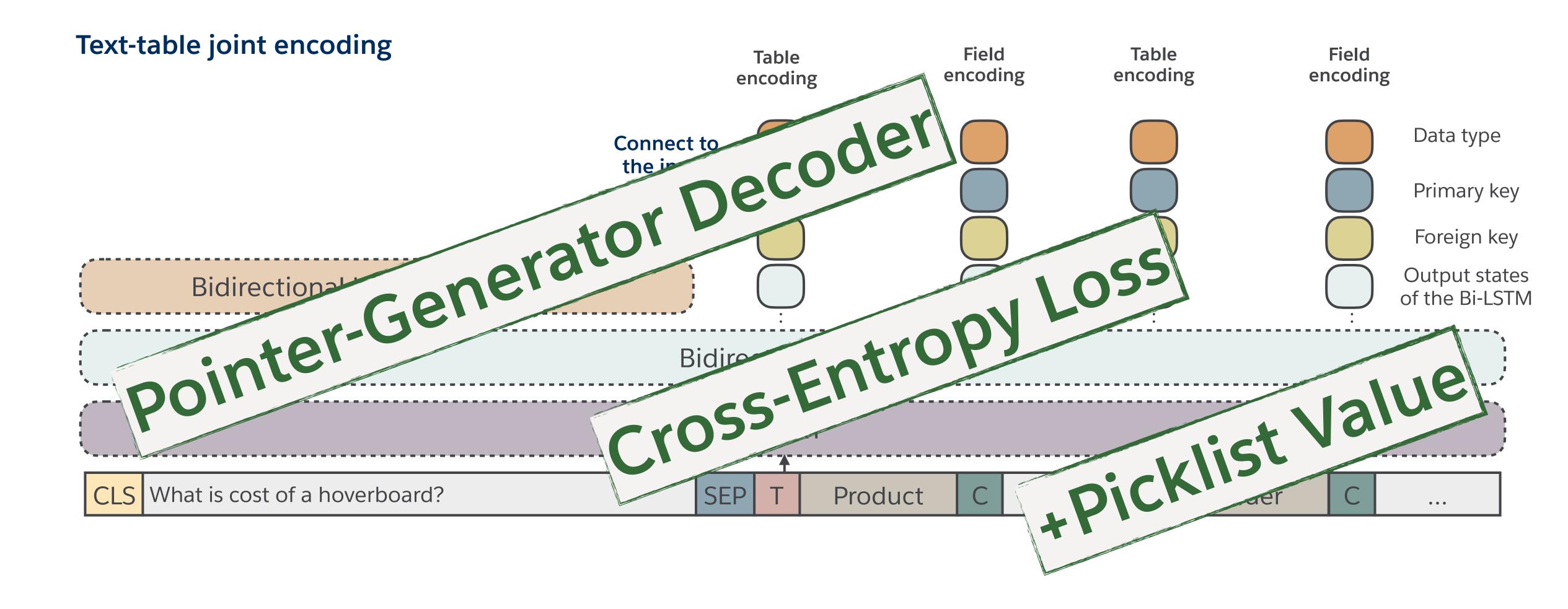














# Text-to-SQL Semantic Parsing Evaluation

Model	EM Acc.
GNN (Bogin et al., 2019a)	40.7
Global-GNN (Bogin et al., 2019b)	52.7
EditSQL + BERT (Zhang et al., 2019)	57.6
GNN+Bertrand-DR <sup>†</sup> (Kelkar et al., 2020)	57.9
EditSQL+Bertrand-DR <sup>†</sup> (Kelkar et al., 2020)	58.5
IRNet + BERT (Guo et al., 2019)	61.9
RYANSQL + BERT † (Choi et al., 2020)	66.6
PHOTON	63.2

<sup>†</sup> denotes unpublished work on arXiv.

Table 3: Experimental results on the Spider Dev set (%). EM Acc. denotes the exact set match accuracy.





What is the total?







How many tourists visited all of the 10 attractions?



Hey, lovely weather







Underspecified



What is the total?

Show me homes with good schools





How many tourists visited all of the 10 attractions?



Hey, lovely weather









What is the total?

Show me homes with good schools



**Ambiguous** 



How many tourists visited all of the 10 attractions?

Hey, lovely weather











What is the total?

Show me homes with good schools





How many tourists visited all of the 10 attractions?

Out-of-scope



Hey, lovely weather







What is the total?

Show me homes with good schools





How many tourists visited all of the 10 attractions?



Hey, lovely weather



Not a query



# Confusion Detection Dataset (UTran-SQL)

Transformation		Original data	Transformed data	Confusing text span	
		Q1: How many <i>conductors</i> are there?	ny <i>conductors</i> are there? Q1: How many <b>soloists</b> are there?		
		S1:    Conductor_ID    Name    A	ge    Nationlity   Year_of_Work	soloists	
	Swap	Q2: What are the maximum and minimum	Q2: What are the maximum and minimum values		
		values of area codes?	of types?	types	
Question		S2:    Vote_ID    Phone_Numbe	r    Area_Code    State    Created		
Question		Q1: How many countries exist? Q1: How many are there?		WILOI E CENTENCE	
		S1:    CoutryId    Cour	WHOLE SENTENCE		
	Drop	Q2: What is the official language spoken in the	Q2: What are the people in the country where		
		country whose head of state is Beatrix?	Beatrix is located?	WHOLE SENTENCE	
		S2:    CountryCode    HeadOfState    Captital    Language    IsOfficial    Percentage			
Schema Drop		Q1: How much <i>surface area</i> do the countires in	the Carribean cover together?		
		S1:    Name    Continent    Region    SurfaceArea       S1:    Name    Continent    Region    Population    LifeExpectancy       LifeExpectancy		surface area	
					Q2: Find the name and age of the visitor who bought the most tickets at once.
		S2:   Customer_ID  Name  Level_of_membership   <i>Age</i>	S2:   Customer_ID  Name  Level_of_membership	age	

Table 5: Examples of question-side and schema-side transformations for generating training data for untranslatable question detection. Let Q denote the question and S denote the schema. For each transformation, we provide two examples, i.e., (Q1, S1) and (Q2, S2). The italic and bold fonts highlight phrases before and after transformations.

# Confusion Detection Dataset (UTran-SQL)

Transforn	nation	Original data	Transformed data	Confusing text span
		Q1: How many <i>conductors</i> are there?	Q1: How many soloists are there?	soloists
	Swap	S1:    Conductor_ID	ge    Nationlity   Year_of_Work    2: What are the maximum and minimum values	
	~ · · · · · · · · · · · · · · · · · · ·	Q2: What are the maximum of area code values of area code of state is Beatrix?  S1:    CourtyId    Cou	types?	types
Question		26/3/	T    Area_Code    State    Created    Q1: How many are there?	
		S1:    CoutryId    Cour	WHOLE SENT	
		official language spoken in the	Q2: What are the people in the country where	0
	390	whose head of state is Beatrix?	Beatrix is located?	- or 19
		S2:    CountryCode    HeadOfState    Capt Q1: How much <i>surface area</i> do the countires in	the Carribean cover to	ering.
				surface area
Schema Drop	a Drop	Population    LifeExpectancy	750	
		Q2: Find the name and <i>age</i> of the visitor S2:   Customer ID  Name  Level of mem	S1:    Name    Name	age
		Age	Name  Level_of_membership	

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# UTran-SQL Data Statistics



	Spid	er	<b>Spider</b> <sub>UTran</sub>		
	Train	Dev	Train	Dev	
# Q	8,659	1,034	13,392	1,631	
# UTran Q	0	0	4,733	597	
# Schema	146	20	918	112	

Table 1: Data split of Spider and Spider<sub>UTran</sub>. Q represents the all the questions, UTran Q represents the untranslatable questions.



# UTran-SQL Data Statistics



	Spider		pider Spider <sub>UTran</sub>	
	Train	Dev	Train	Dev
# Q	8,659	1,034	13,392	1,631
# UTran Q	0	0	4,733	597
# Schema	146	20	918	

Table 1: Data split of Spider of Spi



#### Confusion Detection Model



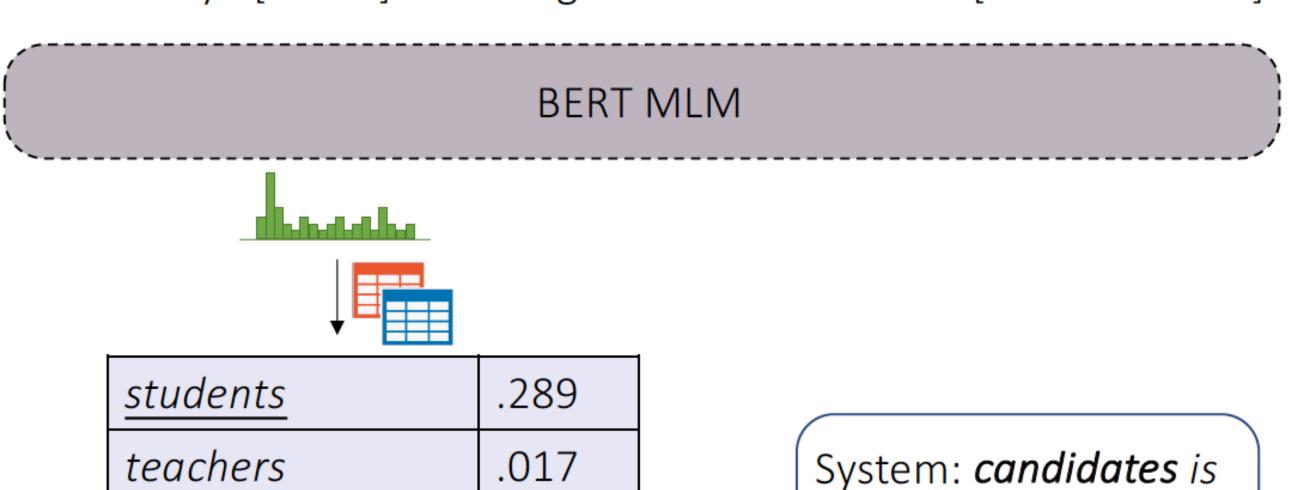
- Translatability prediction: binary classification based on [CLS] representation of the BERT text-table encoder
- Confusion span detection: predicting the start and end token indices

# Question Rephrasing Model



Original input: How many candidates are registered in statistics?

**Processed input:** How many [MASK] are registered in statistics? [TABLE NAMES]



**Table&Column Names** 

<u>students</u>	.289
teachers	.017
courses	.013
names	.009
student details	.008

System: **candidates** is confusing here, do you mean **students**?





#### Limitations



- We assume only one confusion span per sentence
- We assume the confusion span is a column mention
- The transformation rules can introduce errors
- Alternatives for confusion detection in text-to-SQL are worth exploring
  - Yao et al. 2019
  - Yao et al. 2020 (concurrent)
- Limited set of user actions are considered



#### Additional Demo Features



- Upload your own DBs for testing
- Effective DB schema visualization and data browsing
- Rate your experience and provide feedback





#### Related Work

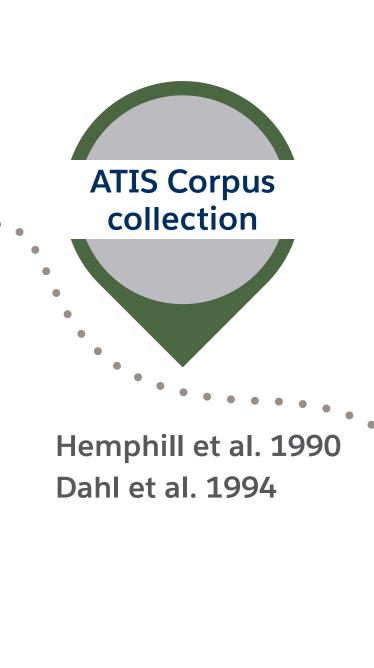
Seq2Seq-style

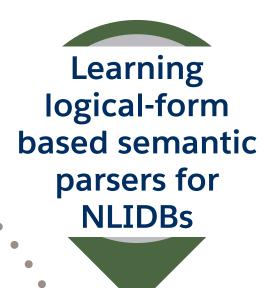
neural

semantic

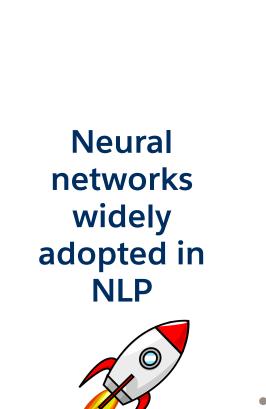
parsing

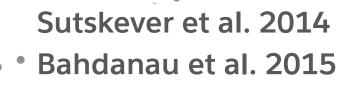


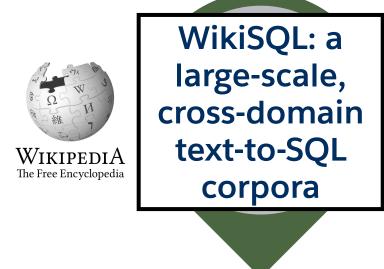




**Zelle and Mooney 1996** Popescu et al. 2003 **Zettlemoyer and Collins** 2005







Zhong et al. 2017

Dong and Lapata 2016

Spider: expertannotated, large-scale, cross-domain, complex Yu et al. 2018b •

LM pretraining: **BERT** 

TypeSQL, column attention, sketchbased, execution guided, RL, meta-learning

Xu et al. 2017 Dong and Lapata 2018 • \*Wang et al. 2018 Yu et al. 2018a

> **Table-Aware BERT Encoder**, surpassed humanperformance on WikiSQL

\* • • • • • Hwang et al. 2019

Syntax-guided, GNN, schema linking, SemQL

Yu et al. 2018c Bogin et al. 2019 Shin et al. 2019 Guo et al. 2019 Wang et al. 2020

Devlin et al. 2018



#### Related Work



 Most state-of-the-art cross-domain, complex text-to-SQL semantic parsers are not well packaged for user test and interaction

Most existing NLIDB systems are DB-specific or non-interactive



# Live Demo: <a href="http://naturalsql.com/">http://naturalsql.com/</a>

# Join us at the Q&A sessions

Tuesday July 7, 2020 UTC+0 17:00-17:45 Tuesday July 7, 2020 UTC+0 20:00-20:45

