



Mental Rotation of Three-Dimensional Objects

Roger N. Shepard; Jacqueline Metzler

Science, New Series, Vol. 171, No. 3972. (Feb. 19, 1971), pp. 701-703.

Stable URL:

<http://links.jstor.org/sici?sici=0036-8075%2819710219%293%3A171%3A3972%3C701%3AMROTO%3E2.0.CO%3B2-M>

Science is currently published by American Association for the Advancement of Science.

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at <http://www.jstor.org/about/terms.html>. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at <http://www.jstor.org/journals/aaas.html>.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

The JSTOR Archive is a trusted digital repository providing for long-term preservation and access to leading academic journals and scholarly literature from around the world. The Archive is supported by libraries, scholarly societies, publishers, and foundations. It is an initiative of JSTOR, a not-for-profit organization with a mission to help the scholarly community take advantage of advances in technology. For more information regarding JSTOR, please contact support@jstor.org.

solution is shown for day 1 only (16), because, even though the intake was relatively small (mean intake, 98 ml), the cats became ill. Two drank sucrose almost continuously up to the criterion and subsequently vomited and developed diarrhea. The others did not vomit but developed diarrhea. The illness apparently led to conditioned aversion. After a week's rest, cats rejected 0.375M sucrose (mean intake, 18 ml). This same thing happened with 0.5M sucrose solution and 24-hour intake.

Frings's (9) finding that sucrose in dilute milk (one part milk to four parts water) is preferred by cats fits in well with the result presented here. Mean sodium and chlorine content for whole milk so diluted would approximate 0.006M NaCl (17). The exact whole-mouth salivary NaCl concentration for the cat is not known, but it must fall between 0.01M and 0.16M NaCl (18). For adapting concentrations in this range, electrophysiological data (3) suggest that the 0.006M NaCl in the milk used by Frings would at least partially suppress the water-after-NaCl response.

The taste of water has been widely ignored in behavioral testing. It is now clear that water should be regarded not as a neutral solvent but rather as a taste stimulus itself. The implications for receptor mechanisms are still unclear. Water appears to produce some responses by removing other stimuli (2), but it may also stimulate receptors directly [see (19) for a review of various structural models of water]. Nevertheless, electrophysiological studies can suggest how water tastes can be manipulated to assess the taste of any given substance in water.

L. M. BARTOSHUK

John B. Pierce Foundation Laboratory,
290 Congress Avenue,
New Haven, Connecticut 06519

M. A. HARNED

L. H. PARKS

Pioneering Research Laboratory,
U.S. Army Natick Laboratories,
Natick, Massachusetts 01760

References and Notes

1. G. Liljestrand and Y. Zotterman, *Acta Physiol. Scand.* **32**, 291 (1954); Y. Zotterman, *ibid.* **37**, 60 (1956); — and H. Diamant, *Nature* **183**, 191 (1959).
2. M. J. Cohen, S. Hagiwara, Y. Zotterman, *Acta Physiol. Scand.* **33**, 316 (1955).
3. L. M. Bartoshuk, thesis, Brown University (1965).
4. — and C. Pfaffmann, *Fed. Proc.* **24**, 207 (1965); C. Pfaffmann, in *Olfaction and Taste* C. Pfaffmann, Ed. (Rockefeller Univ. Press, New York, 1969), vol. 3, p. 528.

5. L. M. Bartoshuk, D. H. McBurney, C. Pfaffmann, *Science* **143**, 967 (1964); L. M. Bartoshuk, *Percept. Psychophys.* **3**, 69 (1968); D. H. McBurney, *J. Exp. Psychol.* **72**, 869 (1966); in *Olfaction and Taste*, C. Pfaffmann, Ed. (Rockefeller Univ. Press, New York, 1969), vol. 3, p. 407.
6. O. Maller and M. R. Kare, *Anim. Behav.* **15**, 8 (1967); V. G. Dethier and M. V. Rhoades, *J. Exp. Zool.* **126**, 177 (1954); C. Duncan, *Anim. Behav.* **8**, 54 (1960); H. L. Jacobs and M. L. Scott, *Poultry Sci.* **36**, 8 (1957); B. P. Halpern, *Amer. J. Physiol.* **203**, 541 (1962); M. R. Kare and M. S. Ficken, in *Olfaction and Taste*, Y. Zotterman, Ed. (Pergamon, New York, 1963), vol. 2, p. 285; J. Ganchrow and G. L. Fisher, *Psychol. Rep.* **22**, 503 (1968); C. Pfaffmann, *Amer. Psychol.* **20**, 21 (1965).
7. J. A. Carpenter, *J. Comp. Physiol. Psychol.* **49**, 139 (1956).
8. Y. Zotterman, *Skand. Archiv Physiol.* **72**, 73 (1935); C. Pfaffmann, *J. Cell. Comp. Physiol.* **17**, 243 (1941).
9. H. Frings, *Experientia (Basel)* **7**, 424 (1951).
10. C. Pfaffmann, *J. Neurophysiol.* **18**, 492 (1955).
11. The sensitivities to NaCl, QHCl, sucrose, and HCl appear to be independently associated in accordance with the random distribution hypothesis of M. Frank and C. Pfaffmann [*Science* **164**, 1183 (1969)]. In addition, the four contingencies producing water responses also appear to be independently associated in accordance with this hypothesis.
12. The correlation coefficient for water-after-NaCl responses and NaCl responses was -0.83 ($P < .005$). Only those fibers were included for which at least one response met the criterion. Previous reports of a negative correlation between water responses and NaCl responses [Cohen *et al.* (2); J. Nagaki, S. Yamashita, M. Sato, *Jap. J. Physiol.* **14**, 67 (1964)] probably reflect the negative correlation between water-after-NaCl and NaCl responses since the rinse was Ringer solution. The correlation coefficients for NaCl and water-after-QHCl, water-after-sucrose, and water-after-HCl are -0.65 ($P < .05$), -0.54 ($P > .05$), and -0.28 ($P > .2$), respectively.
13. We thank M. Dvorak and O. Stark of the Food Sciences Laboratory at the Natick Army Laboratories for analyses of the atomic absorption spectra of tap and distilled water samples. Samples were analyzed for Na, K, Ca, Mg, and halide. Tap water checked weekly for 2 months remained relatively constant with mean levels of $9.6 \times 10^{-4}M$ Na, $6.8 \times 10^{-5}M$ K, $7.1 \times 10^{-4}M$ Ca, $2.2 \times 10^{-4}M$ Mg, and $1.0 \times 10^{-3}M$ halide (predominantly Cl). Distilled water contained $8.7 \times 10^{-7}M$ Na, $5.1 \times 10^{-6}M$ K, $2.5 \times 10^{-6}M$ Ca, and $4.1 \times 10^{-7}M$ Mg.
14. P. Hore and M. Messer, *Comp. Biochem. Physiol.* **24**, 717 (1968).
15. S. Siegel, *Nonparametric Statistics* (McGraw-Hill, New York, 1956).
16. Since testing was discontinued after 1 day, the position of sucrose was not counterbalanced. To ensure that position preference could not account for the results at a sucrose concentration of 0.375M, position preference under all other conditions was tested with a two-tailed Walsh test (15). The results were not significant ($P > .1$).
17. K. Diem, Ed., *Scientific Tables* (Geigy Pharmaceuticals, Ardsley, N.Y., ed. 6, 1962), p. 516.
18. L. H. Schneyer and C. A. Schneyer, in *Handbook of Physiology: Alimentary Canal*, C. F. Code, Ed. (American Physiological Society, Washington, D.C., 1967), vol. 2.
19. O. Eisenberg and W. Kauzmann, *The Structure and Properties of Water* (Oxford Univ. Press, New York, 1969).
20. Electrophysiological data and preliminary behavioral data were obtained at Brown University where work was supported in part by a PHS predoctoral fellowship to L.M.B. and NSF grants G-14332 (to C. Pfaffmann) and GB-2754 (to C. Pfaffmann and L.M.B.). Final behavioral data were collected at the U.S. Army Natick Laboratories. We thank R. L. Gentile, J. C. Stevens, L. E. Marks, and W. S. Cain for valuable comments on the manuscript.

19 October 1970; revised 13 November 1970 ■

Mental Rotation of Three-Dimensional Objects

Abstract. *The time required to recognize that two perspective drawings portray objects of the same three-dimensional shape is found to be (i) a linearly increasing function of the angular difference in the portrayed orientations of the two objects and (ii) no shorter for differences corresponding simply to a rigid rotation of one of the two-dimensional drawings in its own picture plane than for differences corresponding to a rotation of the three-dimensional object in depth.*

Human subjects are often able to determine that two two-dimensional pictures portray objects of the same three-dimensional shape even though the objects are depicted in very different orientations. The experiment reported here was designed to measure the time that subjects require to determine such identity of shape as a function of the angular difference in the portrayed orientations of the two three-dimensional objects.

This angular difference was produced either by a rigid rotation of one of two identical pictures in its own picture plane or by a much more complex, nonrigid transformation, of one of the pictures, that corresponds to a (rigid) rotation of the three-dimensional object in depth.

This reaction time is found (i) to

increase linearly with the angular difference in portrayed orientation and (ii) to be no longer for a rotation in depth than for a rotation merely in the picture plane. These findings appear to place rather severe constraints on possible explanations of how subjects go about determining identity of shape of differently oriented objects. They are, however, consistent with an explanation suggested by the subjects themselves. Although introspective reports must be interpreted with caution, all subjects claimed (i) that to make the required comparison they first had to imagine one object as rotated into the same orientation as the other and that they could carry out this "mental rotation" at no greater than a certain limiting rate; and (ii) that, since they perceived the two-dimensional pictures as objects

in three-dimensional space, they could imagine the rotation around whichever axis was required with equal ease.

In the experiment each of eight adult subjects was presented with 1600 pairs of perspective line drawings. For each pair the subject was asked to pull a right-hand lever as soon as he determined that the two drawings portrayed objects that were congruent with respect to three-dimensional shape and to pull a left-hand lever as soon as he determined that the two drawings depicted objects of different three-dimensional shapes. According to a random sequence, in half of the pairs (the "same" pairs) the two objects could be rotated into congruence with each other (as in Fig. 1, A and B), and in the other half (the "different" pairs) the two objects differed by a reflection as well as a rotation and could not be rotated into congruence (as in Fig. 1C).

The choice of objects that were mirror images or "isomers" of each other for the "different" pairs was intended to prevent subjects from discovering

some distinctive feature possessed by only one of the two objects and thereby reaching a decision of noncongruence without actually having to carry out any mental rotation. As a further precaution, the ten different three-dimensional objects depicted in the various perspective drawings were chosen to be relatively unfamiliar and meaningless in overall three-dimensional shape.

Each object consisted of ten solid cubes attached face-to-face to form a rigid armlike structure with exactly three right-angled "elbows" (see Fig. 1). The set of all ten shapes included two subsets of five: within either subset, no shape could be transformed into itself or any other by any reflection or rotation (short of 360°). However, each shape in either subset was the mirror image of one shape in the other subset, as required for the construction of the "different" pairs.

For each of the ten objects, 18 different perspective projections—corresponding to one complete turn around the vertical axis by 20° steps—were generated by digital computer and associated graphical output (1). Seven of the 18 perspective views of each object were then selected so as (i) to avoid any views in which some part of the object was wholly occluded by another part and yet (ii) to permit the construction of two pairs that differed in orientation by each possible angle, in 20° steps, from 0° to 180°. These 70 line drawings were then reproduced by photo-offset process and were attached to cards in pairs for presentation to the subjects.

Half of the "same" pairs (the "depth" pairs) represented two objects that differed by some multiple of a 20° rotation about a vertical axis (Fig. 1B). For each of these pairs, copies of two appropriately perspective views were simply attached to the cards in the orientation in which they were originally generated. The other half of the "same" pairs (the "picture-plane" pairs) represented two objects that differed by some multiple of a 20° rotation in the plane of the drawings themselves (Fig. 1A). For each of these, one of the seven perspective views was selected for each object and two copies of this picture were attached to the card in appropriately different orientations. Altogether, the 1600 pairs presented to each subject included 800 "same" pairs, which consisted of 400 unique pairs (20 "depth" and 20 "picture-plane" pairs at each of the ten angular differences from 0° to 180°), each of which was

presented twice. The remaining 800 pairs, randomly intermixed with these, consisted of 400 unique "different" pairs, each of which (again) was presented twice. Each of these "different" pairs corresponded to one "same" pair (of either the "depth" or "picture-plane" variety) in which, however, one of the three-dimensional objects had been reflected about some plane in three-dimensional space. Thus the two objects in each "different" pair differed, in general, by both a reflection and a rotation.

The 1600 pairs were grouped into blocks of not more than 200 and presented over eight to ten 1-hour sessions (depending upon the subject). Also, although it is only of incidental interest here, each such block of presentations was either "pure," in that all pairs involved rotations of the same type ("depth" or "picture-plane"), or "mixed," in that the two types of rota-

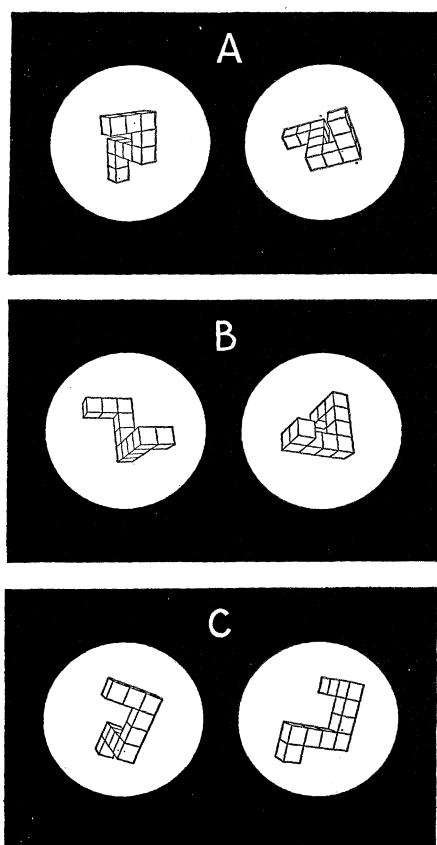


Fig. 1. Examples of pairs of perspective line drawings presented to the subjects. (A) A "same" pair, which differs by an 80° rotation in the picture plane; (B) a "same" pair, which differs by an 80° rotation in depth; and (C) a "different" pair, which cannot be brought into congruence by any rotation.

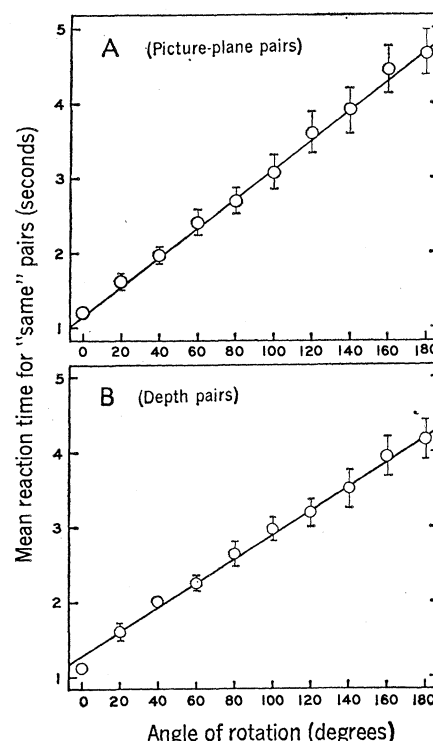


Fig. 2. Mean reaction times to two perspective line drawings portraying objects of the same three-dimensional shape. Times are plotted as a function of angular difference in portrayed orientation: (A) for pairs differing by a rotation in the picture plane only; and (B) for pairs differing by a rotation in depth. (The centers of the circles indicate the means and, when they extend far enough to show around these circles, the vertical bars around each circle indicate a conservative estimate of the standard error of that mean based on the distribution of the eight component means contributed by the individual subjects.)

tion were randomly intermixed within the same block.

Each trial began with a warning tone, which was followed half a second later by the presentation of a stimulus pair and the simultaneous onset of a timer. The lever-pulling response stopped the timer, recorded the subject's reaction time and terminated the visual display. The line drawings, which averaged between 4 and 5 cm in maximum linear extent, appeared at a viewing distance of about 60 cm. They were positioned, with a center-to-center spacing that subtended a visual angle of 9°, in two circular apertures in a vertical black surface (see Fig. 1, A to C).

The subjects were instructed to respond as quickly as possible while keeping errors to a minimum. On the average only 3.2 percent of the responses were incorrect (ranging from 0.6 to 5.7 percent for individual subjects). The reaction-time data presented below include only the 96.8 percent correct responses. However, the data for the incorrect responses exhibit a similar pattern.

In Fig. 2, the overall means of the reaction times as a function of angular difference in orientation for all correct (right-hand) responses to "same" pairs are plotted separately for the pairs differing by a rotation in the picture plane (Fig. 2A) and for the pairs differing by a rotation in depth (Fig. 2B). In both cases, reaction time is a strikingly linear function of the angular difference between the two three-dimensional objects portrayed. The mean reaction times for individual subjects increased from a value of about 1 second at 0° of rotation for all subjects to values ranging from 4 to 6 seconds at 180° of rotation, depending upon the particular individual. Moreover, despite such variations in slope, the linearity of the function is clearly evident when the data are plotted separately for individual three-dimensional objects or for individual subjects. Polynomial regression lines were computed separately for each subject under each type of rotation. In all 16 cases the functions were found to have a highly significant linear component ($P < .001$) when tested against deviations from linearity. No significant quadratic or higher-order effects were found ($P > .05$, in all cases).

The angle through which different three-dimensional shapes must be rotated to achieve congruence is not, of course, defined. Therefore, a function like those plotted in Fig. 2 cannot be constructed in any straightforward man-

ner for the "different" pairs. The overall mean reaction time for these pairs was found, however, to be 3.8 seconds—nearly a second longer than the corresponding overall means for the "same" pairs. (In the postexperimental interview, the subjects typically reported that they attempted to rotate one end of one object into congruence with the corresponding end of the other object; they discovered that the two objects were *different* when, after this "rotation," the two free ends still remained noncongruent.)

Not only are the two functions shown in Fig. 2 both linear but they are very similar to each other with respect to intercept and slope. Indeed, for the larger angular differences the reaction times were, if anything, somewhat shorter for rotation in depth than for rotation in the picture plane. However, since this small difference is either absent or reversed in four of the eight subjects, it is of doubtful significance. The determination of identity of shape may therefore be based, in both cases, upon a process of the same general kind. If we can describe this process as some sort of "mental rotation in three-dimensional space," then the slope of the obtained functions indicates that the average rate at which these particular objects can be thus "rotated" is roughly 60° per second.

Of course the plotted reaction times necessarily include any times taken by the subjects to decide how to process

the pictures in each presented pair as well as the time taken actually to carry out the process, once it was chosen. However, even for these highly practiced subjects, the reaction times were still linear and were no more than 20 percent lower in the "pure" blocks of presentations (in which the subjects knew both the axis and the direction of the required rotation in advance of each presentation) than in the "mixed" blocks (in which the axis of rotation was unpredictable). Tentatively, this suggests that 80 percent of a typical one of these reaction times may represent some such process as "mental rotation" itself, rather than a preliminary process of preparation or search. Nevertheless, in further research now underway, we are seeking clarification of this point and others.

ROGER N. SHEPARD
JACQUELINE METZLER

Department of Psychology,
Stanford University,
Stanford, California 94305

References and Notes

1. Mrs. Jih-Jie Chang of the Bell Telephone Laboratories generated the 180 perspective projections for us by means of the Bell Laboratories' Stromberg-Carlson 4020 microfilm recorder and the computer program for constructing such projections developed there by A. M. Noll. See, for example, A. M. Noll, *Computers Automation* 14, 20 (1965).
2. We thank Mrs. Chang [see (1)]; and we also thank Dr. J. D. Elashoff for her suggestions concerning the statistical analyses. Assistance in the computer graphics was provided by the Bell Telephone Laboratories. Supported by NSF grant GS-2283 to R.N.S.

9 March 1970; revised 8 September 1970 ■

Neural Pathways Associated with Hypothalamically Elicited Attack Behavior in Cats

Abstract. *Small electrolytic lesions were made in cats through electrodes, which, when stimulated, elicited either quiet biting attack or affective paw strike attack upon rats. The Nauta method for impregnating degenerating axoplasm was used to reveal that degeneration resulting from lesions at quiet attack sites followed largely along the course of the medial forebrain bundle, while the degeneration after lesions of affective attack sites was concentrated more heavily in the periventricular system.*

Although it is now firmly established that the hypothalamus is intimately involved in the elaboration of aggressive behavior (1), very little is known about the neural pathways through which such behavior is mediated. In an attempt to trace out the circuits which may be associated with a cat's attack upon a rat we have employed neuroanatomic techniques in conjunction with stimulation experiments.

The development of silver stains

capable of selectively impregnating degenerating axoplasm by Nauta (2) has dramatically increased the ability of neuroanatomists to determine the polarity of conduction and the areas of termination of finely myelinated and unmyelinated fiber systems. The first step in tracing out degeneration by this technique consists in destroying a small amount of neural tissue in a selected anatomic target area and then permitting the animal to survive for a