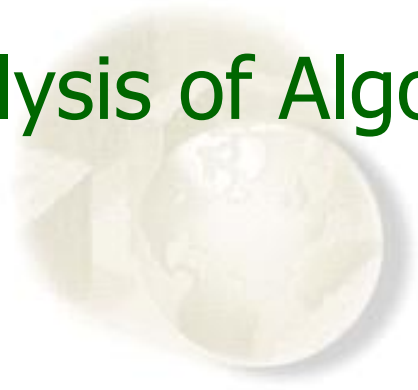


# Design and Analysis of Algorithms

## Review

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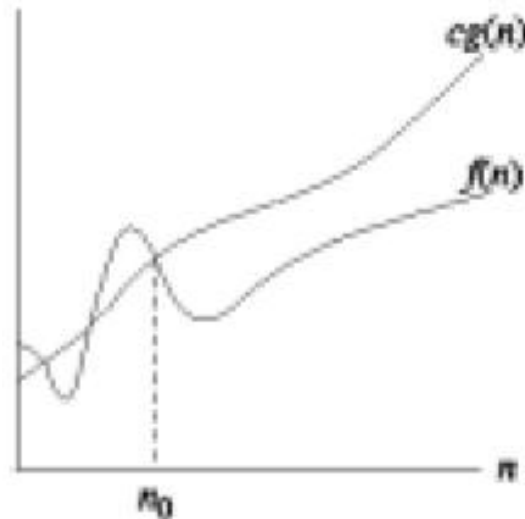
# Analysis of Algorithms





# O-notation

- $O(g(n)) = \{f(n): \text{There exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$ 
  - $O(.)$  is used to asymptotically **upper bound** a function.
  - $O(.)$  is used to bound worst-case running time.





# O-notation

- **Examples:**

-- $1/3n^2 - 3n \in O(n^2)$  because  $1/3n^2 - 3n \leq cn^2$  if  $c \geq 1/3 - 3/n$   
which holds for  $c = 1/3$  and  $n > 9$

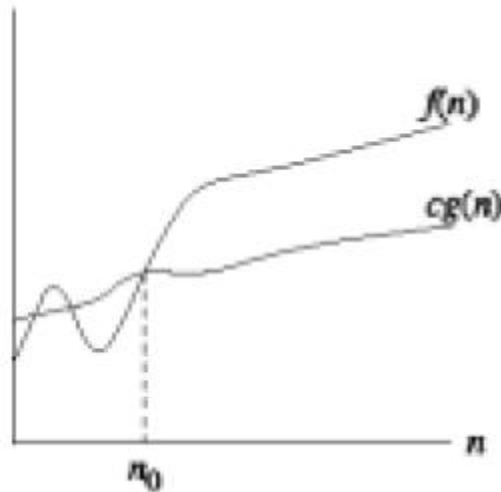
-- $k_1n^2 + k_2n + k_3 \in O(n^2)$  because  $k_1n^2 + k_2n + k_3 \leq (k_1 + |k_2| + |k_3|)n^2$   
and for  $c > k_1 + |k_2| + |k_3|$  and  $n \geq 1$ ,  $k_1n^2 + k_2n + k_3 \leq cn^2$

-- $k_1n^2 + k_2n + k_3 \in O(n^3)$  as  $k_1n^2 + k_2n + k_3 \leq (k_1 + |k_2| + |k_3|)n^3$   
(upper bound)



# $\Omega$ -notation

- $\Omega(g(n)) = \{f(n) : \text{There exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$   
--We use  $\Omega$ -notation to give a lower bound on a function.





# $\Omega$ -notation

- **Examples:**

-- $1/3n^2 - 3n \in \Omega(n^2)$  because  $1/3n^2 - 3n \geq cn^2$  if  $c \leq 1/3 - 3/n$   
which holds for  $c = 1/6$  and  $n > 18$      **$c = 1/3$  and  $n > 9$ 】**

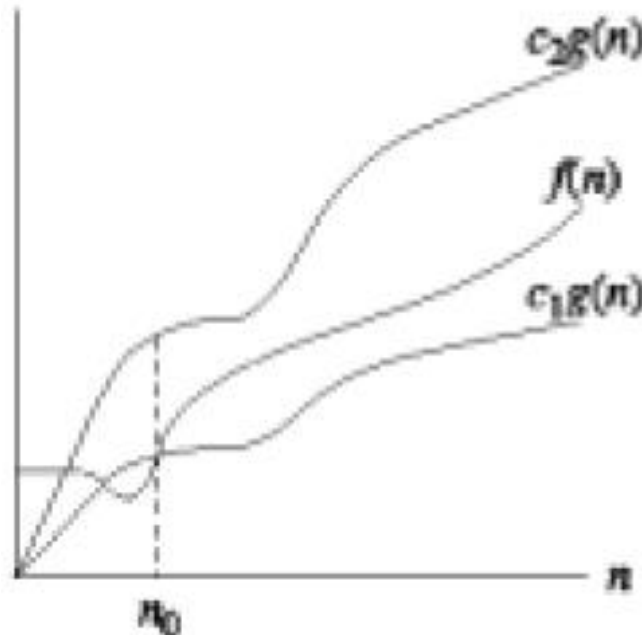
-- $k_1n^2 + k_2n + k_3 \in \Omega(n^2)$

-- $k_1n^2 + k_2n + k_3 \in \Omega(n)$  (lower bound)



# $\Theta$ -notation

- $\Theta(g(n)) = \{f(n)\}$ : There exist positive constants  $c_1$ ,  $c_2$  and  $n_0$  such that  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$  for all  $n \geq n_0$ 
  - We use  $\Theta$ -notation to give a **tight bound** on a function.
  - $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$





# $\Theta$ -notation

- **Examples:**

--  $k_1n^2 + k_2n + k_3 \in \Theta(n^2)$

--The worst case running time of insertion-sort is  $\Theta(n^2)$

--  $6n \lg n + \sqrt{n} \lg^2 n = \Theta(n \lg n)$

>> We need to find  $c_1, c_2, n_0 > 0$  such that  $c_1 n \lg n \leq 6n \lg n + \sqrt{n} \lg^2 n \leq c_2 n \lg n$  for  $n \geq n_0$ .

>>  $c_1 n \lg n \leq 6n \lg n + \sqrt{n} \lg^2 n \rightarrow c_1 \leq 6 + \lg n / \sqrt{n}$ , which is true if we choose  $c_1 = 6$  and  $n_0 = 1$ .  $6n \lg n + \sqrt{n} \lg^2 n \leq c_2 n \lg n \rightarrow 6 + \lg n / \sqrt{n} \leq c_2$ , which is true if we choose  $c_2 = 7$  and  $n_0 = 2$ . This is because  $\lg n \leq \sqrt{n}$  if  $n \geq 2$ . So  $c_1 = 6, c_2 = 7$  and  $n_0 = 2$  works.





1.  $\frac{1}{3}n^2 - 3n \in O(n^2)$

证:  $f(n) = \frac{1}{3}n^2 - 3n$

$g(n) = n^2$

由定义, 要求

$0 \leq \frac{1}{3}n^2 - 3n \leq cn^2$

$\frac{1}{3}n^2 - 3n \geq 0 \Rightarrow \frac{1}{3}n \geq 3 \Rightarrow n \geq 9$

$\frac{1}{3}n^2 - 3n \leq cn^2 \Rightarrow \frac{1}{3} - \frac{3}{n} \leq c \Rightarrow c \geq \frac{1}{3} \Rightarrow c = \frac{1}{3}$

2.  $|k_1n^2 + k_2n + k_3| \in O(n^2)$

证:  $|k_1n^2 + k_2n + k_3| \leq (|k_1| + |k_2| + |k_3|)n^2 \leq cn^2$

$c \geq |k_1| + |k_2| + |k_3| \quad \text{且 } n \geq 1$

3.  $k_1n^2 + k_2n + k_3 \in O(n^3)$

证: 同 2.

4.  $\frac{1}{3}n^2 - 3n \in \Omega(n^2)$

证:  $\frac{1}{3}n^2 - 3n \geq cn^2$

$\frac{1}{3} - \frac{3}{n} \geq c \Rightarrow c = \frac{1}{3}, n \geq 9$

5.  $6n \lg n + \sqrt{n} \lg n^2 = O(n \lg n)$

证:  $C_1 n \lg n \leq 6n \lg n + \sqrt{n} \lg n^2 \leq C_2 n \lg n \quad \text{且 } n \geq n_0, C_1, C_2 > 0$

①  $C_1 n \lg n \leq 6n \lg n + \sqrt{n} \lg n^2$

$\Rightarrow C_1 \leq 6 + \frac{\lg n}{\sqrt{n}}$

$\Rightarrow \text{取 } C_1 = 6, n_0 = 1$

②  $6n \lg n + \sqrt{n} \lg n^2 \leq C_2 n \lg n$

$\Rightarrow 6 + \frac{\lg n}{\sqrt{n}} \leq C_2$

$\because \frac{\lg n}{\sqrt{n}} \leq 1$

$\frac{\lg 2}{\sqrt{2}} = \frac{0.693}{1.414} = 0.49$

$\Rightarrow C_2 = 7, n_0 = 2$

综合①和②得

$C_1 = 6, C_2 = 7, n_0 = 2$



# Analysis of insertion sort

INSERT-SORT (A)		cost	times
1	for $j \leftarrow 2$ to $\text{length}[A]$	$c_1$	$n$
2	do $\text{key} \leftarrow A[j]$	$c_2$	$n-1$
3	▷ Insert $A[j]$ into the sorted sequence $A[1..j-1]$	0	$n-1$
4	$i \leftarrow j-1$	$c_4$	$n-1$
5	while $i > 0$ and $A[i] > \text{key}$	$c_5$	$\sum_{j=2}^n t_j$
6	do $A[i+1] \leftarrow A[i]$ ▷ move item back	$c_6$	$\sum_{j=2}^n (t_j - 1)$
7	$i \leftarrow i-1$	$c_7$	$\sum_{j=2}^n (t_j - 1)$
8	$A[i+1] \leftarrow \text{key}$ ▷ find the insertion position	$c_8$	$n-1$

**$t_j$  : the number of times the while loop test in line 5 is executed for the  $j$  value.**



# Proof by Induction

- **Claim.**  $T(n) = n \log_2 n$  (when  $n$  is a power of 2).

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ \underbrace{2T(n/2)}_{\text{Sorting both halves}} + \underbrace{\Theta(n)}_{\text{merging}} & \text{otherwise} \end{cases}$$

$$\begin{aligned} T(2n) &= 2T(n) + 2n \\ &= 2n \log_2 n + 2n \\ &= 2n(\log_2(2n) - 1) + 2n \\ &= 2n \log_2(2n) \end{aligned}$$

- **Proof. (by induction on  $n$ )**
  - Base case:  $n = 1$ .
  - Inductive hypothesis:  $T(n) = n \log_2 n$ .
  - Goal: show that  $T(2n) = 2n \log_2(2n)$



- ## Make a good guess



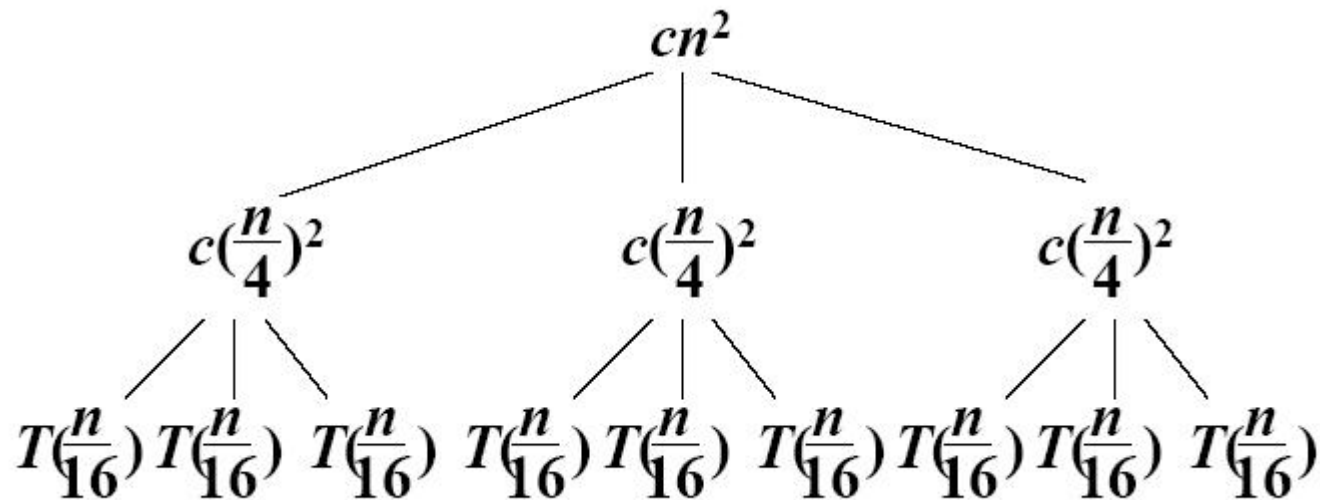
# Changing Variables

- Use algebraic manipulation to make an unknown recurrence similar to what you have seen before.
  - Consider  $T(n) = 2T(\sqrt{n}) + \lg n$ ,
  - Rename  $m = \lg n$  and we have  
 $T(2^m) = 2T(2^{m/2}) + m$ .
  - Set  $S(m) = T(2^m)$  and we have  
 $S(m) = 2S(m/2) + m \rightarrow S(m) = O(m \lg m)$
  - Changing back from  $S(m)$  to  $T(n)$ , we have  
 $T(n) = T(2^m) = S(m) = O(m \lg m) = O(\lg n \lg \lg n)$



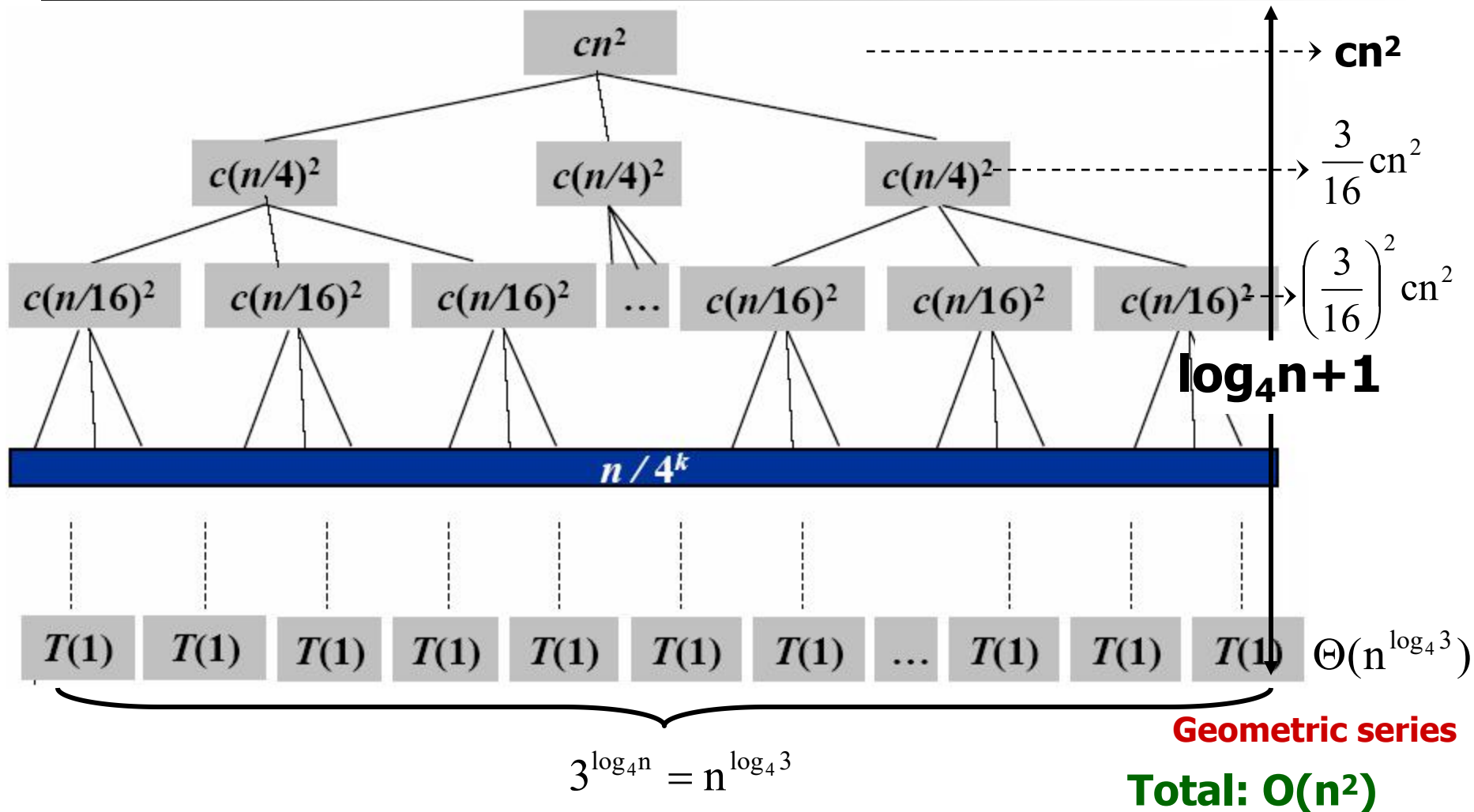
# The Construction of a Recursion Tree

- Solve  $T(n) = 3T(n/4) + \Theta(n^2)$ , we have





# Construction of Recursion Tree



- The fully expanded tree has  $\lg_4 n + 1$  levels, i.e., it has height  $\lg_4 n$



### 3. Master Method

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- It provides a “cookbook” method for solving recurrences of the form:

$$T(n) = a T(n/b) + f(n)$$

Where  $a \geq 1$  and  $b > 1$  are constants and  $f(n)$  is an asymptotically positive function.



$$T(n) = Cn^2 \left( 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots + \left(\frac{3}{16}\right)^{\log_4 n} \right) + n^{\log_4 3}$$

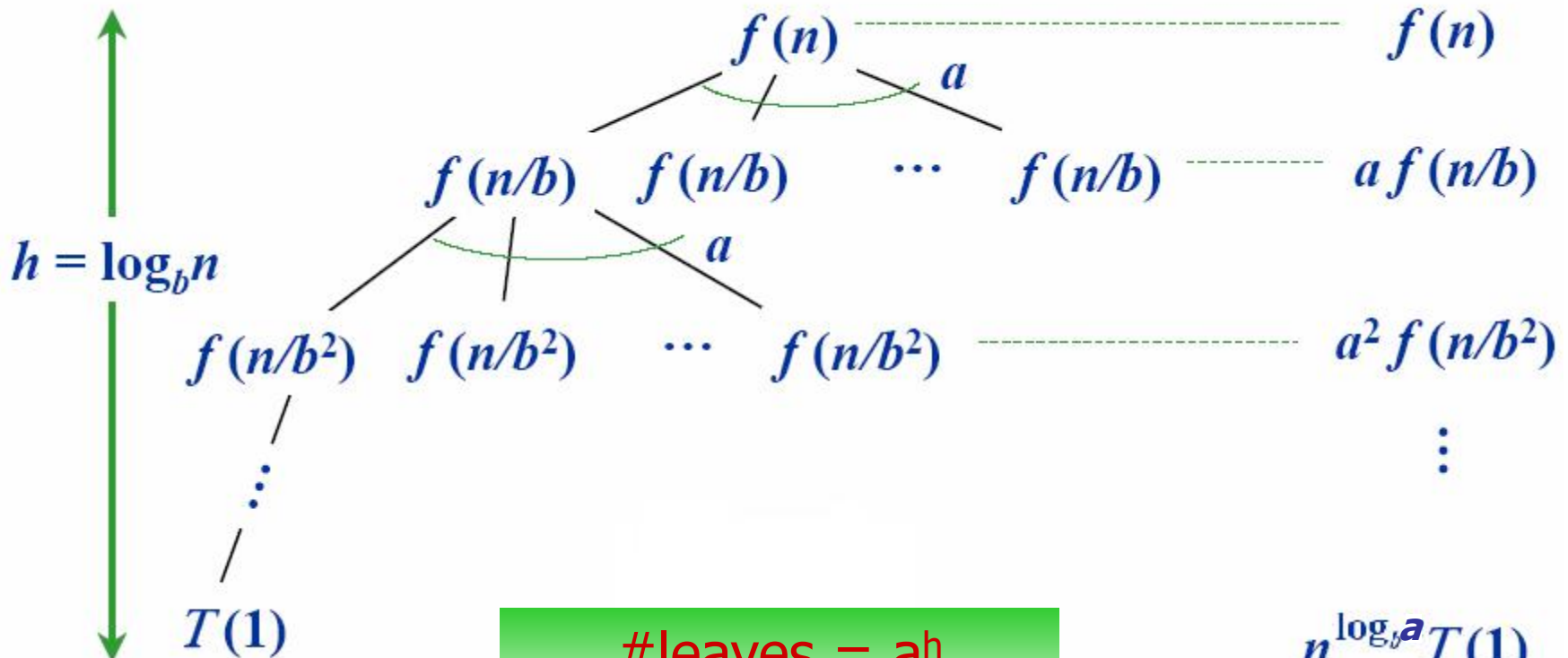
$$= Cn^2 \left( 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots + \left(\frac{3}{16}\right)^{\infty} \right) + n^{\log_4 3}$$

等比级数收敛.



# Idea of master theorem

- Recursion tree



$$\begin{aligned} \# \text{leaves} &= a^h \\ &= a^{\log_b n} \\ &= n^{\log_b a} \end{aligned}$$

$$n^{\log_b a} T(1)$$



# Three common cases

- Compare  $f(n)$  with  $n^{\log_b a}$  :
  - 1.  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ 
    - »  $f(n)$  grows polynomially slower than  $n^{\log_b a}$  (by an  $n^\epsilon$  factor),
    - » Solution:  $T(n) = \Theta(n^{\log_b a})$
  - 2.  $f(n) = \Theta(n^{\log_b a} \lg^k n)$  for some constant  $k \geq 0$ 
    - »  $f(n)$  and  $n^{\log_b a}$  grow at similar rates,
    - » Solution:  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$
  - 3.  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ 
    - »  $f(n)$  grows polynomially faster than  $n^{\log_b a}$  (by an  $n^\epsilon$  factor),
    - » and  $f(n)$  satisfies the regularity condition that  $af(n/b) \leq cf(n)$  for some constant  $c < 1$
    - » Solution:  $T(n) = \Theta(f(n))$

# Design of Algorithms





# Divide - and - Conquer

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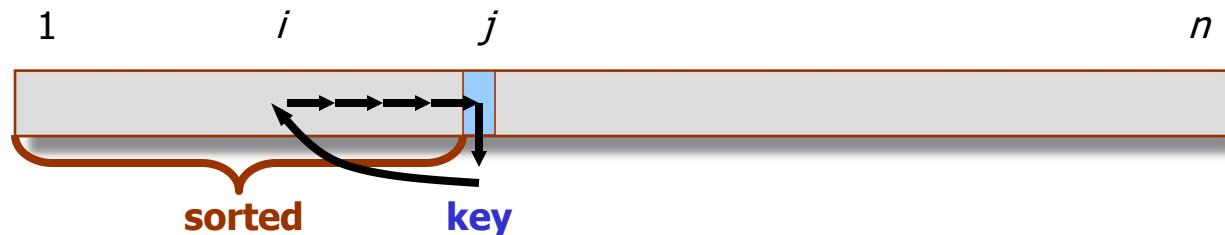
- To solve  $P$ :
  - **Divide**  $P$  into smaller problems  $P_1, P_2, \dots, P_k$ .
  - **Conquer** by solving the (smaller) subproblems recursively.
  - **Combine** the solutions to  $P_1, P_2, \dots, P_k$  into the solution for  $P$ .



# Insertion Sort

INSERT-SORT (A)

```
1  for  $j \leftarrow 2$  to  $\text{length}[A]$ 
2      do  $\text{key} \leftarrow A[j]$ 
3           $\triangleright$  Insert  $A[j]$  into the sorted sequence  $A[1..j-1]$ 
4       $i \leftarrow j-1$ 
5      while  $i > 0$  and  $A[i] > \text{key}$ 
6          do  $A[i+1] \leftarrow A[i]$   $\triangleright$  move item back
7           $i \leftarrow i-1$ 
8       $A[i+1] \leftarrow \text{key}$   $\triangleright$  find the insertion position
```





# Merge-Sort ( $A, p, r$ )

- **INPUT:** a sequence of  $n$  numbers stored in array  $A$
- **OUTPUT:** an ordered sequence of  $n$  numbers

MERGE-SORT ( $A, p, r$ )

1    if  $p < r$

2        then  $q \leftarrow \lfloor (p + r) / 2 \rfloor$

3            MERGE-SORT( $A, p, q$ )

4            MERGE-SORT( $A, q + 1, r$ )

5            MERGE( $A, p, q, r$ )



# Merge (A, p, q, r)

MERGE (A, p, q, r)

1  $n_1 \leftarrow q - p + 1$

2  $n_2 \leftarrow r - q$

3 create arrays  $L[1..n_1 + 1]$  and  $R[1..n_2 + 1]$

4 for  $i \leftarrow 1$  to  $n_1$

5     do  $L[i] \leftarrow A[p + i - 1]$

6 for  $j \leftarrow 1$  to  $n_2$

7     do  $R[j] \leftarrow A[q + j]$

8  $L[n_1 + 1] \leftarrow \infty$

9  $R[n_2 + 1] \leftarrow \infty$

10  $i \leftarrow 1$

11  $j \leftarrow 1$

12 for  $k \leftarrow p$  to  $r$

13     do if  $L[i] \leq R[j]$

14         then  $A[k] \leftarrow L[i]$

15              $i \leftarrow i + 1$

16         else  $A[k] \leftarrow R[j]$

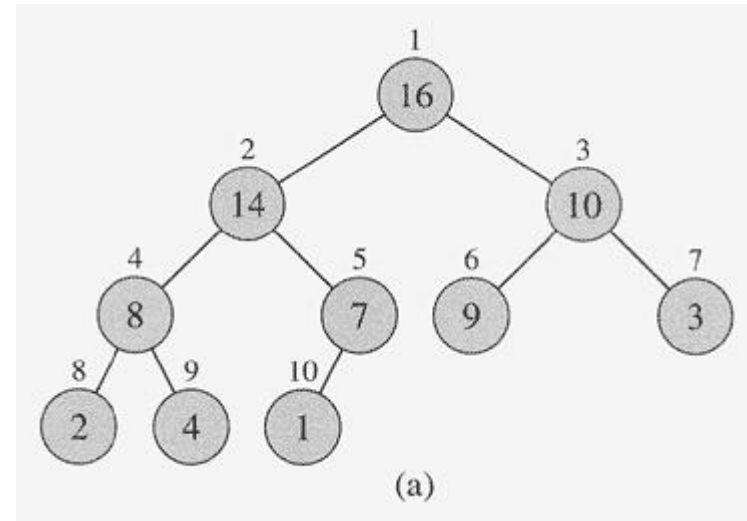
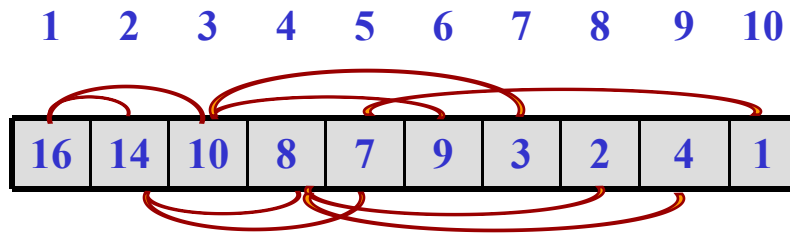
17              $j \leftarrow j + 1$





# Heap Sort

- Viewed as a binary tree, it is completely filled on all levels except possibly the last.
- $PARENT(i) = \lfloor i/2 \rfloor$ ,  $LEFT(i) = 2i$ , and  $RIGHT(i) = 2i + 1$ .





# Quick Sort

## QUICKSORT

```
QUICKSORT (A, p, r)
1  if p < r
2    then q ← PARTITION ( A, p, r)
3         QUICKSORT (A, p, q-1)
4         QUICKSORT (A, q+1, r)
```

Initial call Quicksort(A, 1, n)



# Lower Bound for decision-tree Sorting

**Theorem.** Any comparison sorting algorithm requires  $\Omega(n \lg n)$  comparisons in the worst case

**Proof.** Worst case dictated by tree height  $h$ .

--  $n!$  different orderings.

-- One (or more) leaves corresponding to each ordering.

-- Binary tree with  $n!$  leaves must have height

$$\therefore h \geq \lg(n!)$$

$$\geq \lg(n/e)^n$$

$$= n \lg n - n \lg e$$

$$= \Omega(n \lg n)$$

$\lg$  is mono. increasing

Stirling's formula



# Counting Sort

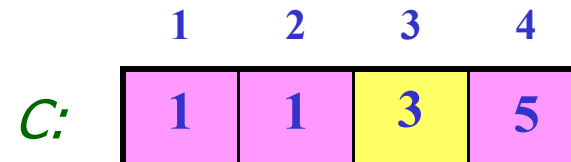
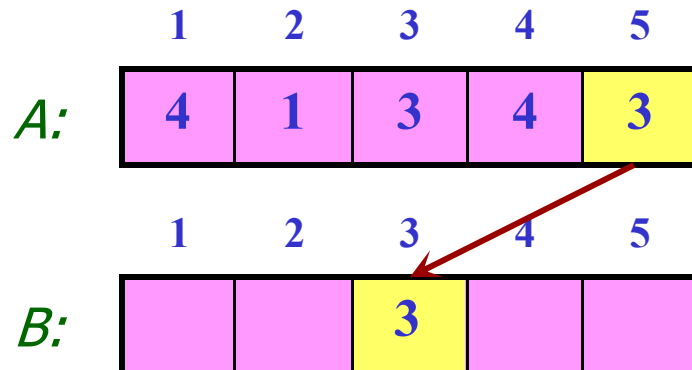
- **Counting sort: No comparisons between elements.**
  - Input:  $A[1..n]$ , where  $A[j] \in \{1, 2, \dots, k\}$
  - Output:  $B[1..n]$ , sorted.
  - Auxiliary storage:  $C[1..k]$ .
- For  $x$  in  $A$ , if there are  $17$  elements less than  $x$  in  $A$ , then  $x$  belongs in output position  $18$ .
- How if several elements in  $A$  have the same value?
  - Put the *1st* in position  $18$ , *2nd* in position  $19$ , *3rd* in position  $20$ ,...
- How if there are  $17$  elements not greater than  $x$  in  $A$ ?
  - Put the *last one* in position  $17$ , the *penultimate one* in position  $16$ ,...



# Counting-sort example

## COUNTING SORT

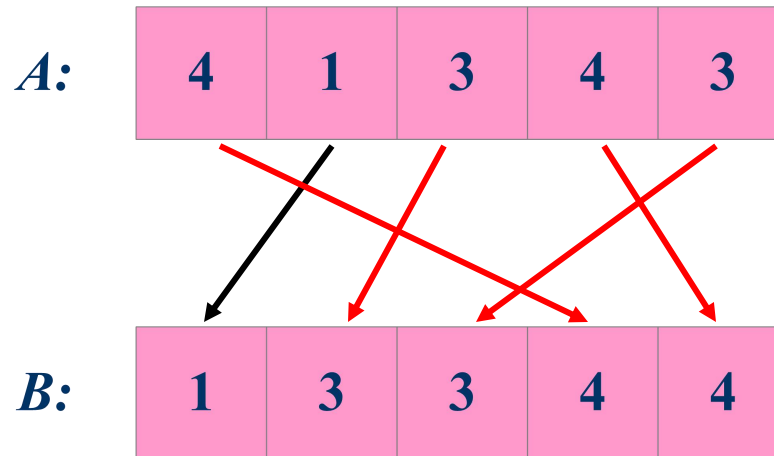
```
COUNTING-SORT (A, B, k)
1  for i ← 1 to k
2      do C[i] ← 0
3  for j ← 1 to length[A]
4      do C[A[j]] ← C[A[j]]+1
5  //C[i] now contains the number of elements equal to i.
6  for i ← 2 to k
7      do C[i] ← C[i]+ C[i-1]
8  //C[i] now contains the number of elements less than or equal to i.
9  for j ← length[A] downto 1
10     do B[C[A[j]]] ← A[j]
11     C[A[j]] ← C[A[j]]-1
```





# Stable sorting

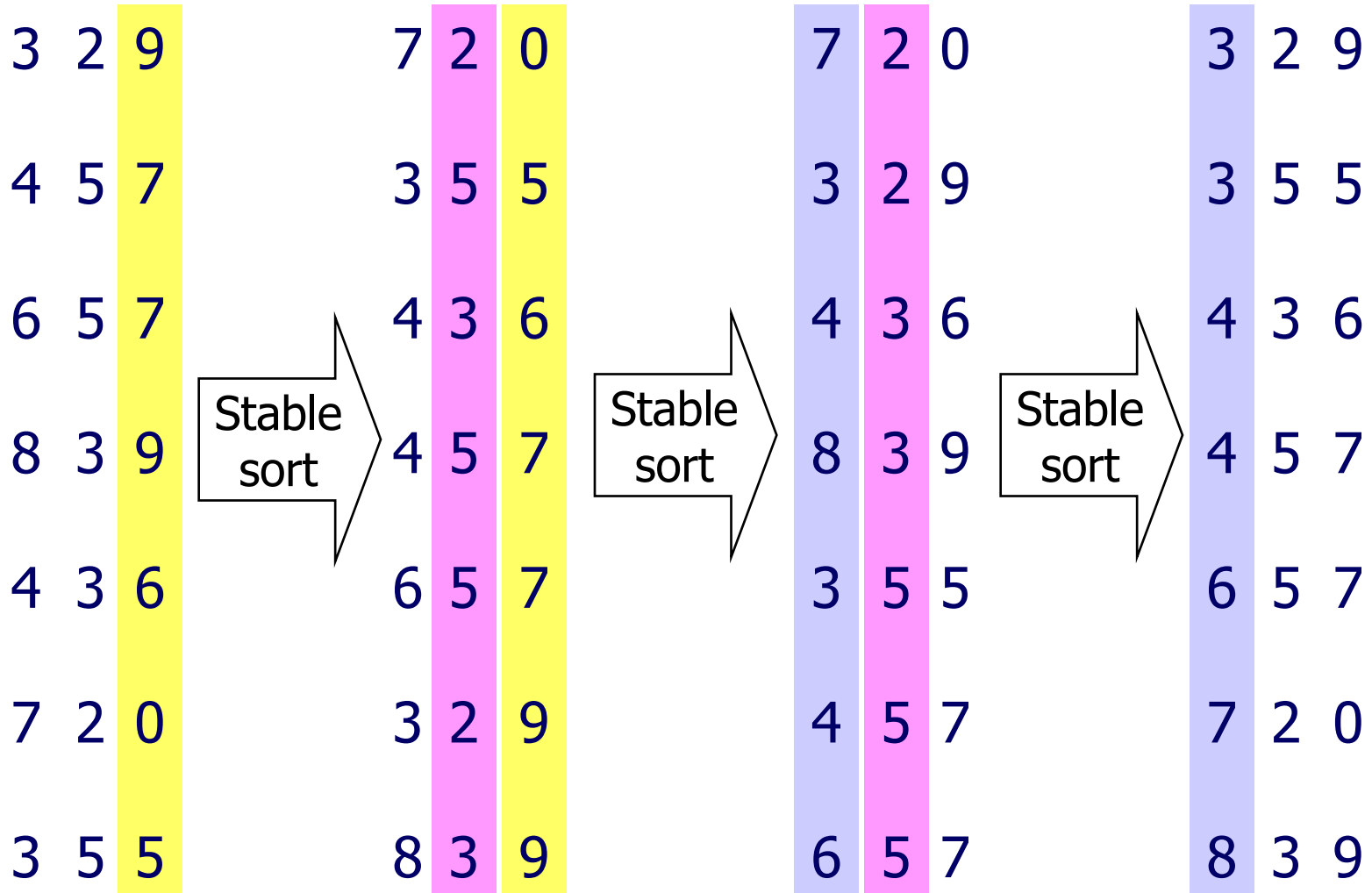
- Counting sort is a stable sort: it preserves the input order among equal elements.



- Exercise: Where other sorts have this property?

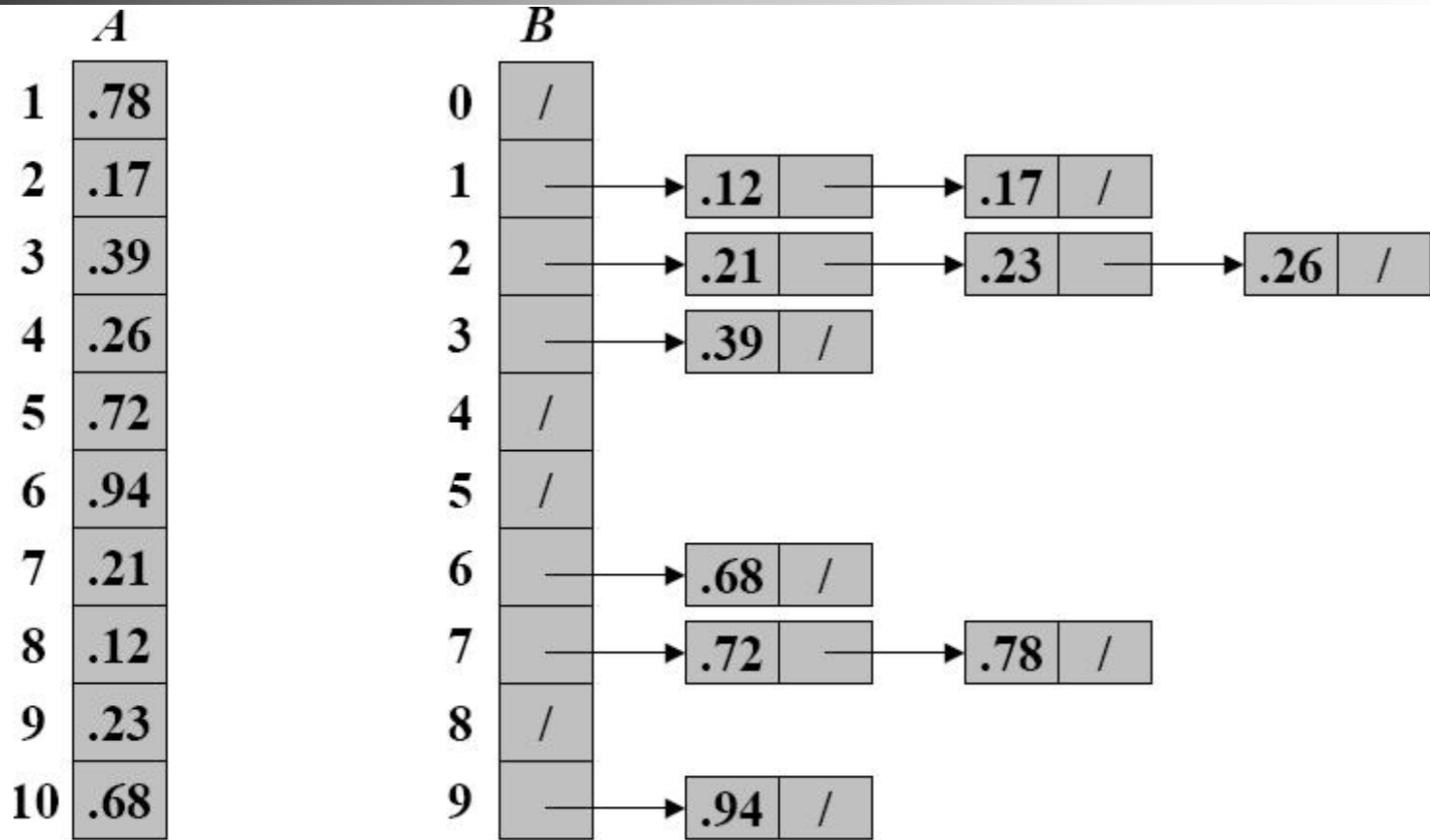


# radix sort





# Bucket Sort



(a) The input array  $A[1..10]$

(b) The array  $B[0..9]$  of sorted lists (buckets) after line 5 of the algorithm. Bucket  $i$  holds values in the half-open interval  $[i/10, (i+1)/10)$





# Summary of sorting algorithms

Sorting methods	Worst Case	Best Case	Average Case	Application
Insert Sort	$n^2$	$n$	$n^2$	Very fast when $n < 50$
Bubble Sort	$n^2$	$n$	$n^2$	Very fast when $n < 50$
Merge Sort	$n \lg n$	$n \lg n$	$n \lg n$	Need extra space; good for external sort
Heap Sort	$n \lg n$	$n \lg n$	$n \lg n$	Good for real-time app.
Quick Sort	$n^2$	$n \lg n$	$n \lg n$	Practical and fast
Counting Sort	$k+n$	$k+n$	$k+n$	Small, fixed range; Need extra space
Radix Sort	$d(k+n)$	$d(k+n)$	$d(k+n)$	Fixed range; Need extra space
Bucket Sort	$n$	$n$	$n$	Uniform distribution

- Which in place?
- Which stable?



# Summary of sorting algorithms

排序算法	平均时间复杂度	最好情况	最坏情况	空间复杂度	排序方式	稳定性
冒泡排序	$O(n^2)$	$O(n)$	$O(n^2)$	$O(1)$	In-place	稳定
选择排序	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$	In-place	不稳定
插入排序	$O(n^2)$	$O(n)$	$O(n^2)$	$O(1)$	In-place	稳定
希尔排序	$O(n \log n)$	$O(n \log^2 n)$	$O(n \log^2 n)$	$O(1)$	In-place	不稳定
归并排序	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$	Out-place	稳定
快速排序	$O(n \log n)$	$O(n \log n)$	$O(n^2)$	$O(\log n)$	In-place	不稳定
堆排序	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(1)$	In-place	不稳定
计数排序	$O(n + k)$	$O(n + k)$	$O(n + k)$	$O(k)$	Out-place	稳定
桶排序	$O(n + k)$	$O(n + k)$	$O(n^2)$	$O(n + k)$	Out-place	稳定
基数排序	$O(n \times k)$	$O(n \times k)$	$O(n \times k)$	$O(n + k)$	Out-place	稳定

# Design of Algorithms

## Dynamic Programming





# Optimization Problems

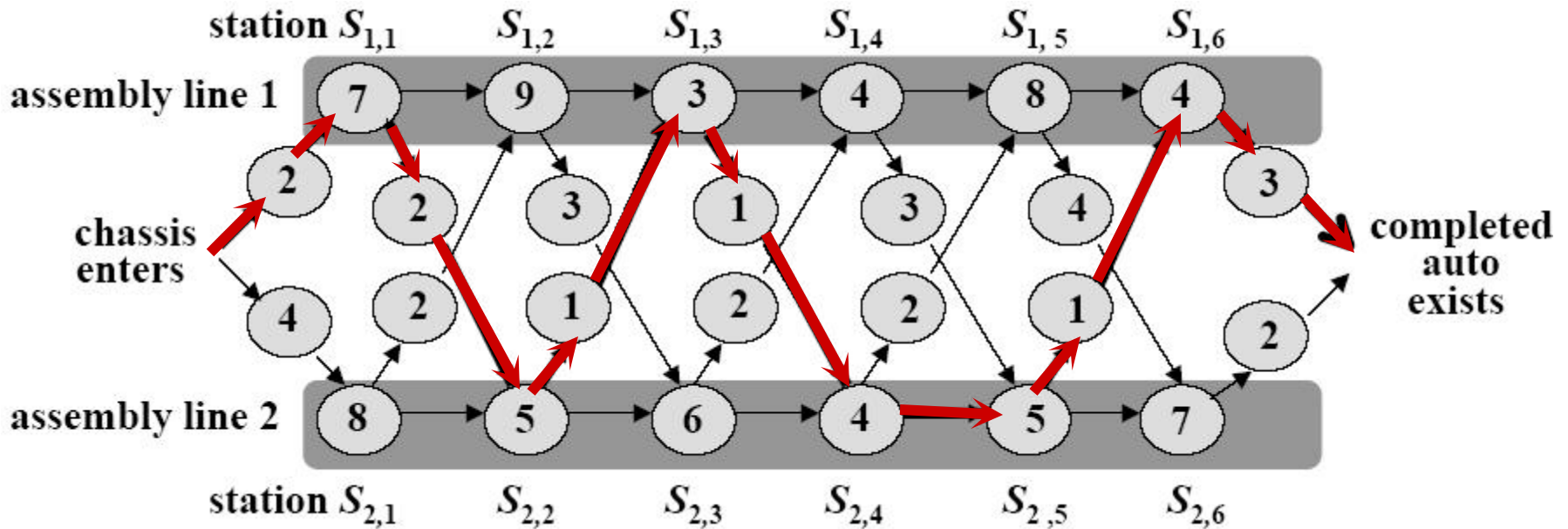
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- A design technique, like divide-and-conquer.
- Works bottom-up rather than top-down.
- Useful for optimization problems.
- **Four-step method:**
  1. Characterize the structure of the optimal solution.
  2. Recursively define the value of the optimal solution.
  3. Compute the value of the solution in a bottom-up fashion.
  4. Construct the optimal solution using the computed information.



# Construct an optimal solution

Can we avoid some computations?



$j$	1	2	3	4	5	6
$f_1[j]$	9	18	20	24	32	35
$f_2[j]$	12	16	22	25	30	37

$f^* = 38$

$j$	2	3	4	5	6
$l_1[j]$	1	2	1	1	2
$l_2[j]$	1	2	1	2	2

$l^* = 1$



# Recursive Formula

- Let  $f_1[j]$  denote the fastest possible time (which is the values of optimal solution, optimal substructure) to get the chassis through  $S_{1,j}$
- Have the following formulas:

$$f_1[j] = \begin{cases} e_1 + a_{1,1} & \text{if } j = 1 \\ \min(f_1[j-1] + a_{1,j}, f_2[j-1] + t_{2,j-1} + a_{1,j}) & \text{if } j \geq 2 \end{cases}$$

Using symmetric reasoning, we can get the fastest way through station  $S_{2,j}$

$$f_2[j] = \begin{cases} e_2 + a_{2,1} & \text{if } j = 1 \\ \min(f_2[j-1] + a_{2,j}, f_1[j-1] + t_{1,j-1} + a_{2,j}) & \text{if } j \geq 2 \end{cases}$$

- Total time:

$$f^* = \min(f_1[n] + x_1, f_2[n] + x_2)$$



# Matrix-Chain Multiplication

- We would like to find the split that uses the minimum number of multiplications. Thus,

$$m[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k < j} \{ m[i, k] + m[k+1, j] + p_{i-1}p_kp_j \} & \text{if } i < j \end{cases}$$

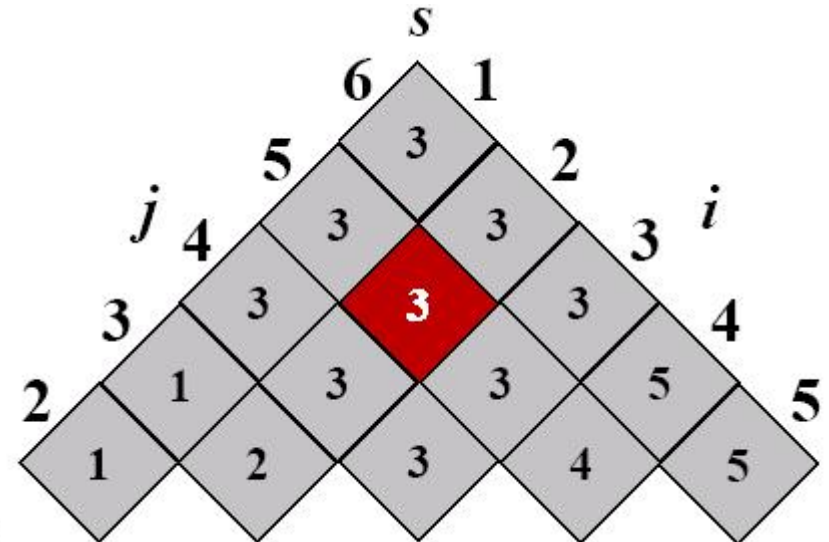
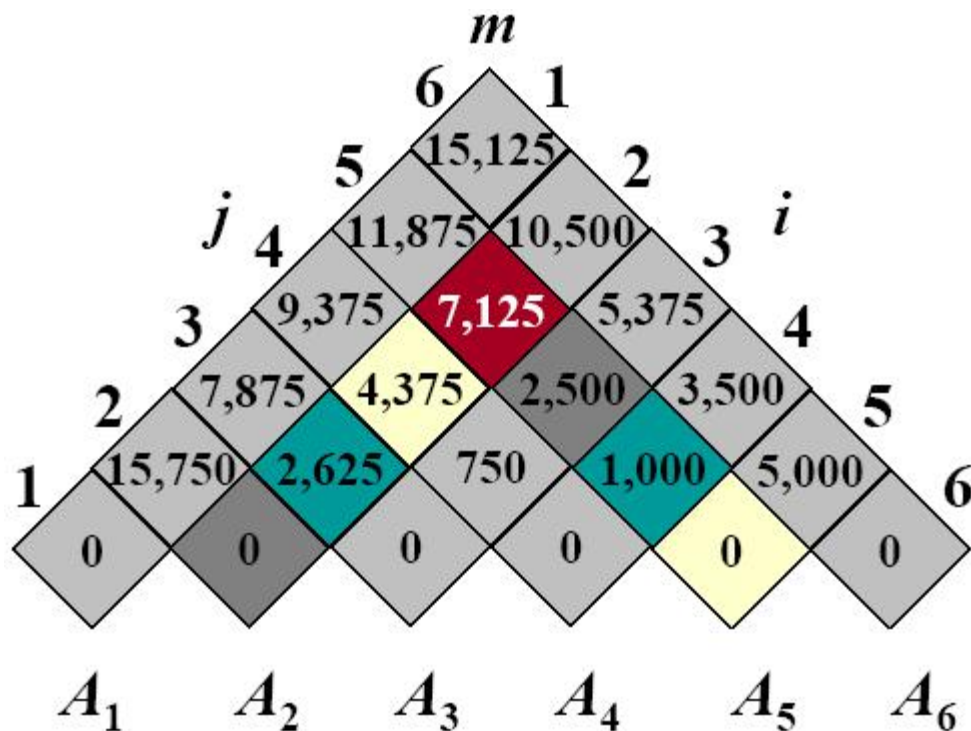
- $m[i, k]$  = optimal cost for  $A_i \times \dots \times A_k$
  - $m[k+1, j]$  = optimal cost for  $A_{k+1} \times \dots \times A_j$
  - $p_{i-1}p_kp_j$  = cost for  $(A_i \times \dots \times A_k) \times (A_{k+1} \times \dots \times A_j)$
- To obtain the actual parenthesization, keep track of the optimal  $k$  for each pair  $(i, j)$  as  $s[i, j]$ .





# Example: DP for CMM

- The optimal solution is  $((A_1(A_2A_3))((A_4A_5)A_6))$



**matrix dimension**

$A_1$	$30 \times 35$
$A_2$	$35 \times 15$
$A_3$	$15 \times 5$
$A_4$	$5 \times 10$
$A_5$	$10 \times 20$
$A_6$	$20 \times 25$



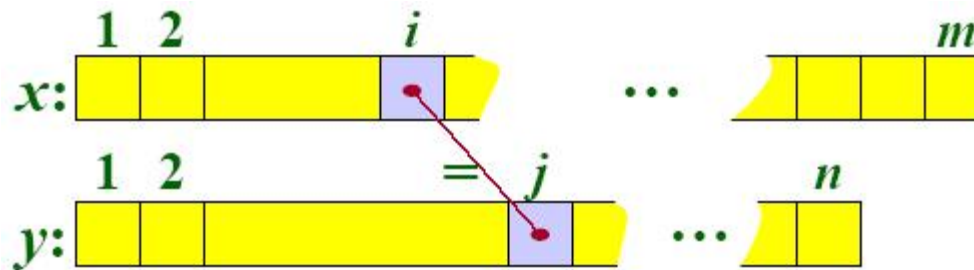


# LCS

- Theorem.

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j \\ \max(c[i, j-1], c[i-1, j]) & \text{otherwise} \end{cases}$$

- Proof. Case  $x[i] = y[j]$ :



- Let  $z[1..k] = \text{LCS}(x[1..i], y[1..j])$ , where  $c[i, j] = k$ . Then  $z[k] = x[i]$ , or else  $z$  could be extended. Thus,  $z[1..k-1]$  is CS of  $x[1..i-1]$  and  $y[1..j-1]$



# Computing the length of an LCS

- The sequences are  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$

- Compute  $c[i, j]$  row by row for  $i = 1..m, j = 1..n$ .  
Time =  $\Theta(mn)$

- Reconstruct LCS by tracing backwards.  
Space =  $\Theta(mn)$ .

		<i>j</i>	0	1	2	3	4	5	6
			$y_j$	<b>B</b>	D	<b>C</b>	A	<b>B</b>	<b>A</b>
<i>i</i>	$x_i$	0	0	0	0	0	0	0	0
1	A	0	0	↑	↑	↑	↖1	←1	↖1
2	<b>B</b>	0	↖1	1	←1	←1	↑1	↖2	←2
3	<b>C</b>	0	↑1	↑1	1	2	←2	↑2	↑2
4	<b>B</b>	0	↖1	1	↑1	↑2	↑2	↖3	←3
5	D	0	↑1	↖2	2	↑2	↑2	3	↑3
6	<b>A</b>	0	↑1	↑2	↑2	3	↖3	↑3	↖4
7	B	0	↖1	↑2	↑2	↑3	↑3	↖4	4



# DP for 0-1 Knapsack Problem

$$c[i, w] = \begin{cases} 0 & \text{if } i=0 \text{ or } w=0 \\ c[i-1, w] & \text{if } w_i > w \\ \max(c[i-1, w-w_i] + v_i, c[i-1, w]) & \text{if } i > 0 \text{ and } w \geq w_i \end{cases}$$

## DYNAMIC PROGRAMMING FOR 0/1 KNAPSACK

KNAPSACK-DP( $v, w, n, W$ )

```
1  for  $w \leftarrow 0$  to  $W$ 
2    do  $c[0, w] = 0$ 
3  for  $i \leftarrow 1$  to  $n$ 
4    do  $c[i, 0] \leftarrow 0$ 
5      for  $w \leftarrow 1$  to  $W$ 
6        do if  $w[i] \leq w$ 
7          then if  $v[i] + c[i-1, w-w[i]] > c[i-1, w]$ 
8            then  $c[i, w] \leftarrow v[i] + c[i-1, w-w[i]]$ 
9          else  $c[i, w] \leftarrow c[i-1, w]$ 
10       else  $c[i, w] \leftarrow c[i-1, w]$ 
```

# Design of Algorithms



Greedy



# Greedy Method

---

- **For many optimization problem, Dynamic Programming is overkill. A greedy algorithm always make the choice that looks best at every step. That is, it makes local optimal solution in the hope that this choice will lead to a globally optimal one.**
  - **I make the shortest path to the target at each step. Sometime I win, sometime I lose.**



# Fractional Knapsack

- There are 5 items that have a value and weight list below, the knapsack can contain at most 100 Lbs.

value(SUS)	20	30	65	40	60
weight(Lbs)	10	20	30	40	50
value/weight	2	1.5	2.1	1	1.2

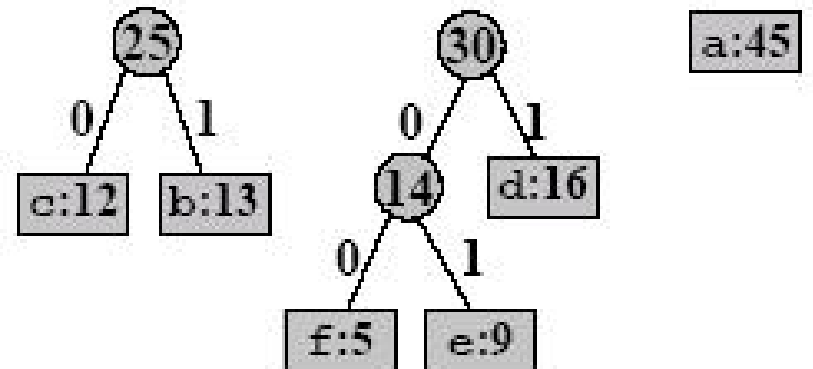
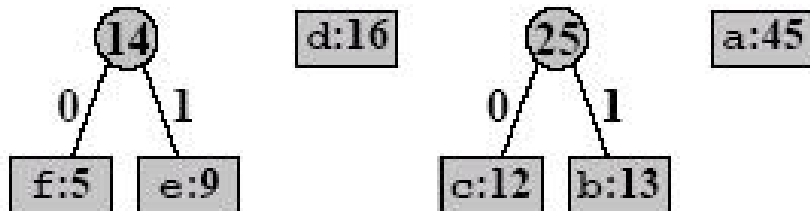
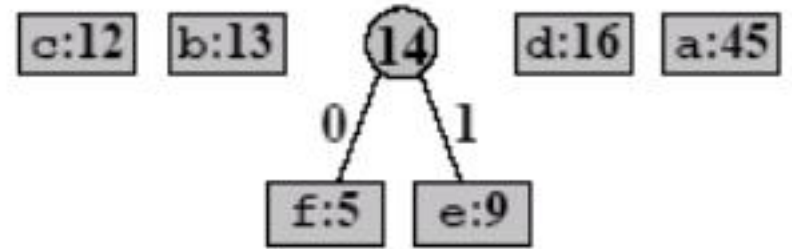
- **Method 1 choose the least weight first**
  - Total Weight =  $10 + 20 + 30 + 40 = 100$
  - Total Value =  $20 + 30 + 65 + 40 = 155$
- **Method 2 choose the most expensive first**
  - Total Weight =  $30 + 50 + 20 = 100$
  - Total Value =  $65 + 60 + 20 = 145$
- **Method 3 choose the most value/ weight per unit first**
  - Total Weight =  $30 + 10 + 20 + 40 = 100$
  - Total Value =  $65 + 20 + 30 + 48 = 163$

**What can you draw?**



# Example of Huffman codes

f:5 e:9 c:12 b:13 d:16 a:45



# Design of Algorithms







# Shortest paths

---

## Single-source shortest paths

- Nonnegative edge weights
  - >> Dijkstra's algorithm:  $O(E + V \lg V)$
- General
  - >> Bellman-Ford:  $O(VE)$

## All-pairs shortest paths

- Nonnegative edge weights
  - >> Dijkstra's algorithm  $|V|$  times:  $O(VE + V^2 \lg V)$
- General
  - >> Three algorithms today

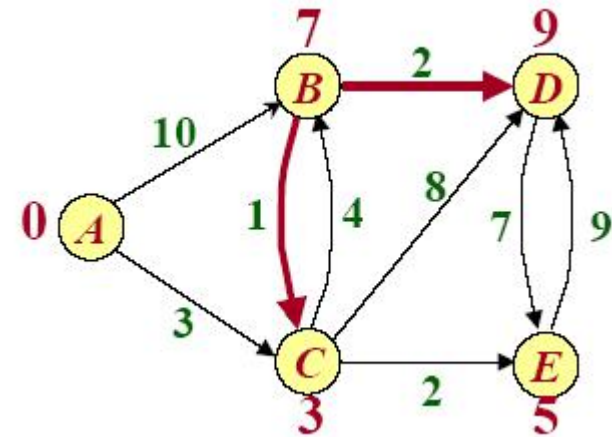
Floyd-Warshall algorithm



# Example of Dijkstra's algorithm

"D"  $\leftarrow$  EXTRACT-MIN( $Q$ ):

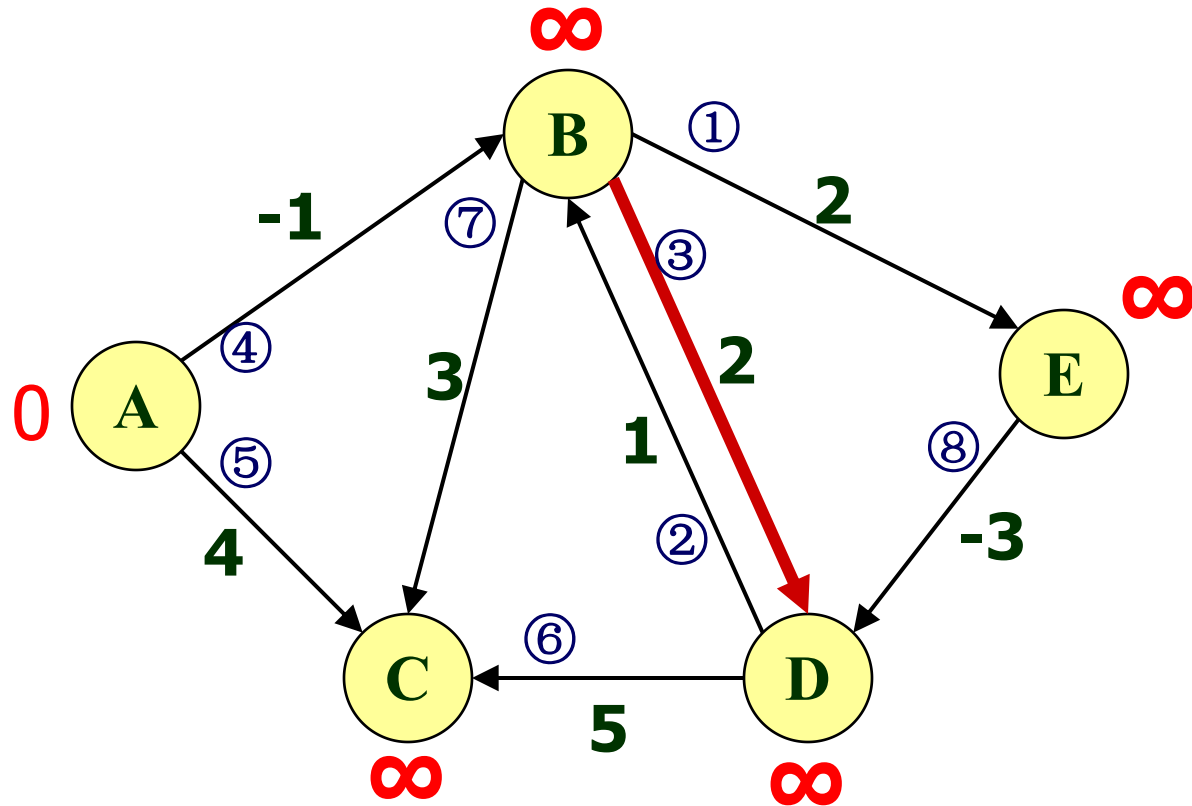
$Q$ :	$A$	$B$	$C$	$D$	$E$
	0	$\infty$	$\infty$	$\infty$	$\infty$
		10	3	$\infty$	$\infty$
		7		11	5
		7		11	
				9	



$S$ : {A, C, E, B, D}



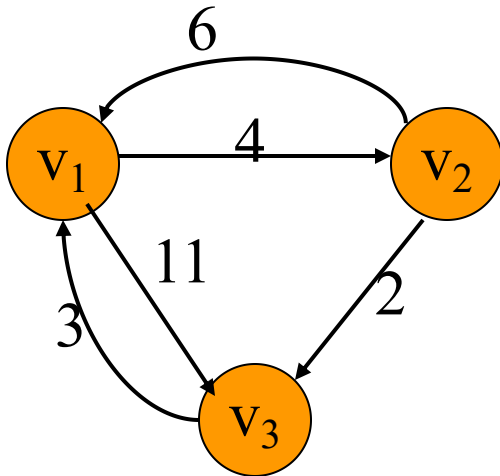
# Example of Bellman-Ford





# Floyd-Warshall algorithm

$$C^k(i,j) = \min\{C^{k-1}(i,j), C^{k-1}(i,k) + C^{k-1}(k,j)\}$$



$$C^0 = A =$$

	1	2	3
1	0	4	11
2	6	0	2
3	3	$\infty$	0

$$C^1 =$$

	1	2	3
1	0	4	11
2	6	0	2
3	3	7	0

$$C^2 =$$

	1	2	3
1	0	4	6
2	6	0	2
3	3	7	0

$$C^3 =$$

	1	2	3
1	0	4	6
2	5	0	2
3	3	7	0



# Johnson's algorithm

## Johnson's algorithm

### JOHNSON( $G$ )

```
1  compute  $G'$ , where  $V[G'] = V[G] \cup \{s\}$   
     $E[G'] = E[G] \cup \{(s, v) : v \in V[G], \text{ and}$   
     $w(s, v) = 0 \text{ for all } v \in V[G]$   
2  if BELLMAN-FORD( $G', w, s$ ) = FALSE  
3  then print "the input graph contains a negative-weight cycle"  
4  else for each vertex  $v \in V[G]$   
5      do set  $h(v)$  to the value of  $\delta(s, v)$   
           computed by the Bellman-Ford algorithm  
6      for each edge  $(u, v) \in E[G]$   
7          do  $w'(u, v) \leftarrow w(u, v) + h(u) - h(v)$   
8      for each vertex  $u \in V[G]$   
9          do run DIJKSTRA( $G, w', u$ ) to compute  $\delta'(u, v)$  for all  $v \in V[G]$   
10     for each  $v \in V[G]$   
11     do  $d_{uv} \leftarrow \delta'(u, v) + h(v) - h(u)$ 
```

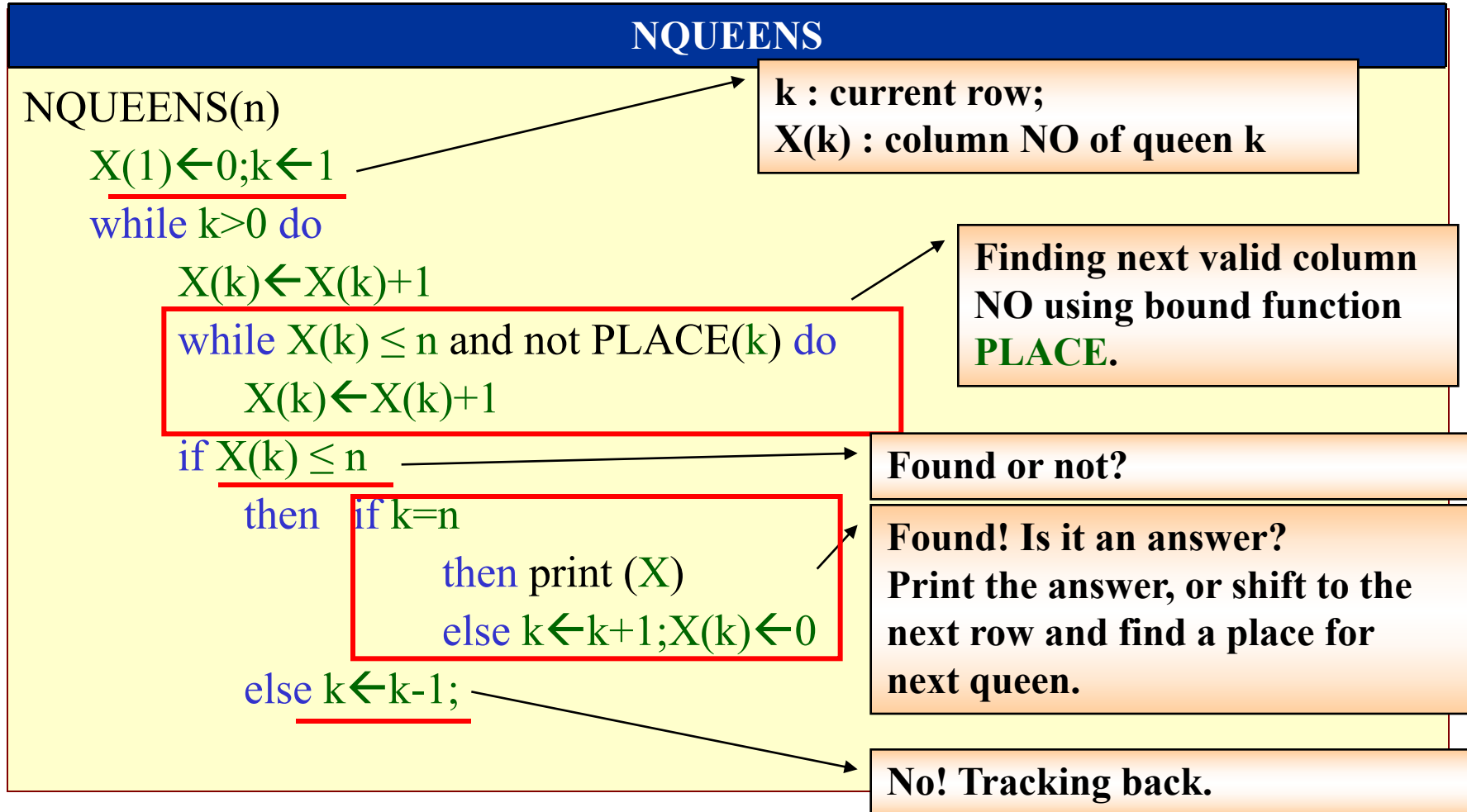
$$h(v) \leq h(u) + w(u, v) ;$$
$$0 \leq w(u, v) + h(u) - h(v) ;$$

# Design of Algorithms





# Back-Tracking Algorithm for n-Queen problem



# Design of Algorithms

NP Problem

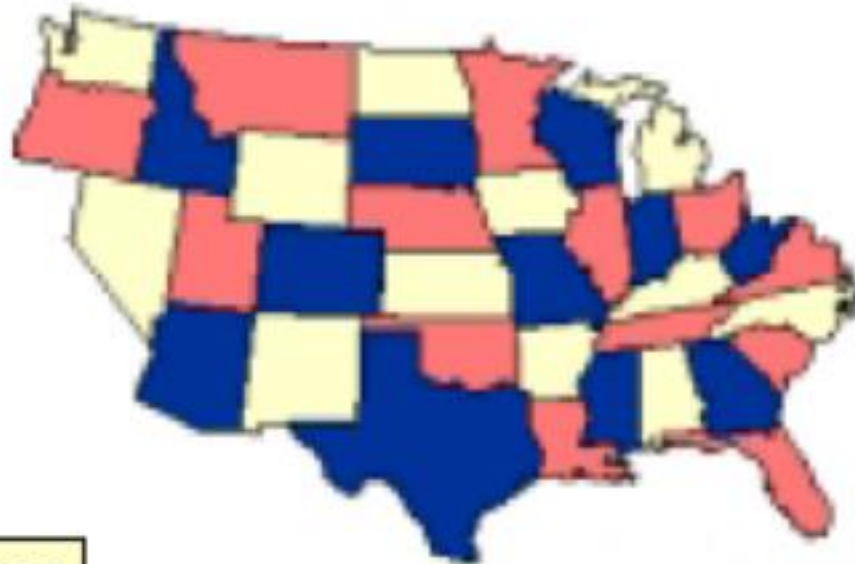






# Some Hard Problems

- **3-COLOR**: Given a planar map, can it be colored using 3 colors so that no adjacent regions have the same color?

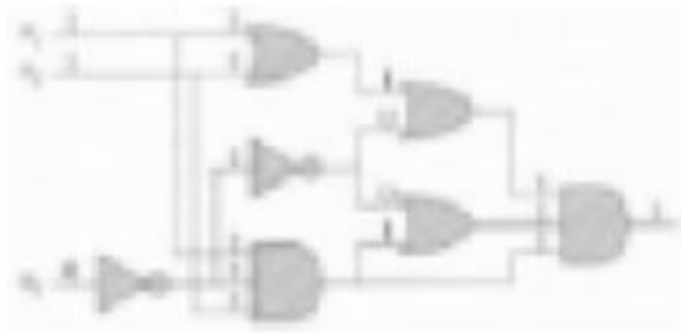


YES instance

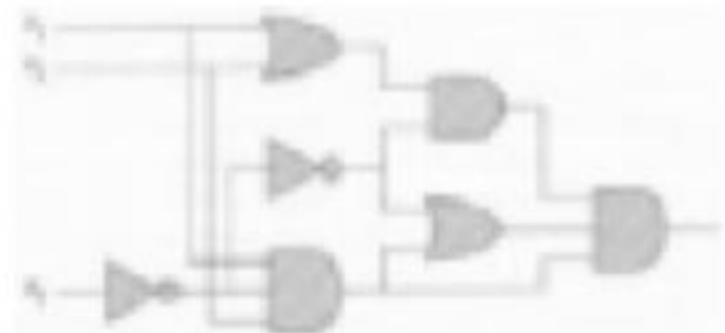


# Some Hard Problems

- **CIRCUIT-SAT**: Is there a way to assign inputs to a given Boolean (combinational) circuit that makes it true?



**YES instance**



**NO instance**



# Some Hard Problems

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- **TSP**: A traveling salesperson needs to visit  $N$  cities. Is there a route of length at most  $D$ ?

